

# Isabelle/HOL — Higher-Order Logic

June 21, 2010

## Contents

<b>1</b>	<b>Code-Generator: Loading the code generator modules</b>	<b>10</b>
<b>2</b>	<b>HOL: The basis of Higher-Order Logic</b>	<b>10</b>
2.1	Primitive logic	11
2.1.1	Core syntax	11
2.1.2	Additional concrete syntax	12
2.1.3	Axioms and basic definitions	13
2.2	Fundamental rules	14
2.2.1	Equality	14
2.2.2	Congruence rules for application	15
2.2.3	Equality of booleans – iff	15
2.2.4	True	16
2.2.5	Universal quantifier	16
2.2.6	False	17
2.2.7	Negation	17
2.2.8	Implication	17
2.2.9	Existential quantifier	18
2.2.10	Conjunction	18
2.2.11	Disjunction	19
2.2.12	Classical logic	19
2.2.13	Unique existence	20
2.2.14	THE: definite description operator	21
2.2.15	Classical intro rules for disjunction and existential quantifiers	22
2.2.16	Intuitionistic Reasoning	23
2.2.17	Atomizing meta-level connectives	24
2.2.18	Atomizing elimination rules	25
2.3	Package setup	26
2.3.1	Sledgehammer setup	26
2.3.2	Classical Reasoner setup	26
2.3.3	Simplifier	29

2.3.4	Generic cases and induction . . . . .	38
2.3.5	Coherent logic . . . . .	41
2.3.6	Reorienting equalities . . . . .	42
2.4	Other simple lemmas and lemma duplicates . . . . .	42
2.5	Basic ML bindings . . . . .	43
2.6	Code generator setup . . . . .	44
2.6.1	SML code generator setup . . . . .	44
2.6.2	Generic code generator preprocessor setup . . . . .	45
2.6.3	Equality . . . . .	45
2.6.4	Generic code generator foundation . . . . .	46
2.6.5	Generic code generator target languages . . . . .	48
2.6.6	Evaluation and normalization by evaluation . . . . .	49
2.7	Counterexample Search Units . . . . .	50
2.7.1	Quickcheck . . . . .	50
2.7.2	Nitpick setup . . . . .	50
2.8	Preprocessing for the predicate compiler . . . . .	51
2.9	Legacy tactics and ML bindings . . . . .	51
<b>3</b>	<b>Orderings: Abstract orderings</b>	<b>53</b>
3.1	Syntactic orders . . . . .	53
3.2	Quasi orders . . . . .	54
3.3	Partial orders . . . . .	55
3.4	Linear (total) orders . . . . .	56
3.5	Reasoning tools setup . . . . .	59
3.6	Bounded quantifiers . . . . .	65
3.7	Transitivity reasoning . . . . .	66
3.8	Monotonicity, least value operator and min/max . . . . .	72
3.9	Top and bottom elements . . . . .	74
3.10	Dense orders . . . . .	74
3.11	Wellorders . . . . .	75
3.12	Order on bool . . . . .	76
3.13	Order on functions . . . . .	77
3.14	Name duplicates . . . . .	78
<b>4</b>	<b>Groups: Groups, also combined with orderings</b>	<b>79</b>
4.1	Fact collections . . . . .	79
4.2	Abstract structures . . . . .	80
4.3	Generic operations . . . . .	81
4.4	Semigroups and Monoids . . . . .	82
4.5	Groups . . . . .	84
4.6	(Partially) Ordered Groups . . . . .	88
4.7	Support for reasoning about signs . . . . .	91
4.8	Tools setup . . . . .	103

<b>5</b>	<b>Lattices: Abstract lattices</b>	<b>105</b>
5.1	Abstract semilattice . . . . .	105
5.2	Idempotent semigroup . . . . .	106
5.3	Concrete lattices . . . . .	106
5.3.1	Intro and elim rules . . . . .	107
5.3.2	Equational laws . . . . .	108
5.3.3	Strict order . . . . .	111
5.4	Distributive lattices . . . . .	112
5.5	Bounded lattices and boolean algebras . . . . .	112
5.6	Uniqueness of inf and sup . . . . .	116
5.7	$\min/\max$ on linear orders as special case of $op \sqcap/op \sqcup$ . . . .	116
5.8	Bool as lattice . . . . .	117
5.9	Fun as lattice . . . . .	118
<b>6</b>	<b>Set: Set theory for higher-order logic</b>	<b>119</b>
6.1	Sets as predicates . . . . .	119
6.2	Subsets and bounded quantifiers . . . . .	121
6.3	Basic operations . . . . .	128
6.3.1	Subsets . . . . .	128
6.3.2	Equality . . . . .	129
6.3.3	The universal set – UNIV . . . . .	129
6.3.4	The empty set . . . . .	130
6.3.5	The Powerset operator – Pow . . . . .	131
6.3.6	Set complement . . . . .	131
6.3.7	Binary union – Un . . . . .	131
6.3.8	Binary intersection – Int . . . . .	132
6.3.9	Set difference . . . . .	133
6.3.10	Augmenting a set – <i>insert</i> . . . . .	133
6.3.11	Singletons, using insert . . . . .	134
6.3.12	Image of a set under a function . . . . .	135
6.3.13	Some rules with <i>if</i> . . . . .	136
6.4	Further operations and lemmas . . . . .	137
6.4.1	The “proper subset” relation . . . . .	137
6.4.2	Derived rules involving subsets. . . . .	138
6.4.3	Equalities involving union, intersection, inclusion, etc. . . . .	138
6.4.4	Monotonicity of various operations . . . . .	148
6.4.5	Inverse image of a function . . . . .	149
6.4.6	Getting the Contents of a Singleton Set . . . . .	151
6.4.7	Least value operator . . . . .	151
6.5	Misc . . . . .	151
<b>7</b>	<b>Typedef: HOL type definitions</b>	<b>153</b>

<b>8 Complete-Lattice: Complete lattices, with special focus on sets</b>	<b>155</b>
8.1 Syntactic infimum and supremum operations . . . . .	156
8.2 Abstract complete lattices . . . . .	156
8.3 <i>bool</i> and $- \Rightarrow -$ as complete lattice . . . . .	158
8.4 Union . . . . .	160
8.5 Unions of families . . . . .	161
8.6 Inter . . . . .	164
8.7 Intersections of families . . . . .	166
8.8 Distributive laws . . . . .	168
8.9 Complement . . . . .	169
8.10 Miniscoping and maxiscoping . . . . .	169
<b>9 Inductive: Knaster-Tarski Fixpoint Theorem and inductive definitions</b>	<b>172</b>
9.1 Least and greatest fixed points . . . . .	172
9.2 Proof of Knaster-Tarski Theorem using <i>lfp</i> . . . . .	173
9.3 General induction rules for least fixed points . . . . .	173
9.4 Proof of Knaster-Tarski Theorem using <i>gfp</i> . . . . .	174
9.5 Coinduction rules for greatest fixed points . . . . .	175
9.6 Even Stronger Coinduction Rule, by Martin Coen . . . . .	176
9.7 Inductive predicates and sets . . . . .	177
9.8 Inductive datatypes and primitive recursion . . . . .	177
<b>10 Fun: Notions about functions</b>	<b>178</b>
10.1 The Identity Function <i>id</i> . . . . .	178
10.2 The Composition Operator $f \circ g$ . . . . .	179
10.3 The Forward Composition Operator <i>fcomp</i> . . . . .	179
10.4 Injectivity and Surjectivity . . . . .	180
10.5 Function Updating . . . . .	185
10.6 <i>override-on</i> . . . . .	187
10.7 <i>swap</i> . . . . .	187
10.8 Inversion of injective functions . . . . .	188
10.9 Proof tool setup . . . . .	189
10.10 Code generator setup . . . . .	190
<b>11 Product-Type: Cartesian products</b>	<b>191</b>
11.1 <i>bool</i> is a datatype . . . . .	191
11.2 The <i>unit</i> type . . . . .	191
11.3 The product type . . . . .	193
11.3.1 Type definition . . . . .	193
11.3.2 Tuple syntax . . . . .	194
11.3.3 Code generator setup . . . . .	196
11.3.4 Fundamental operations and properties . . . . .	198

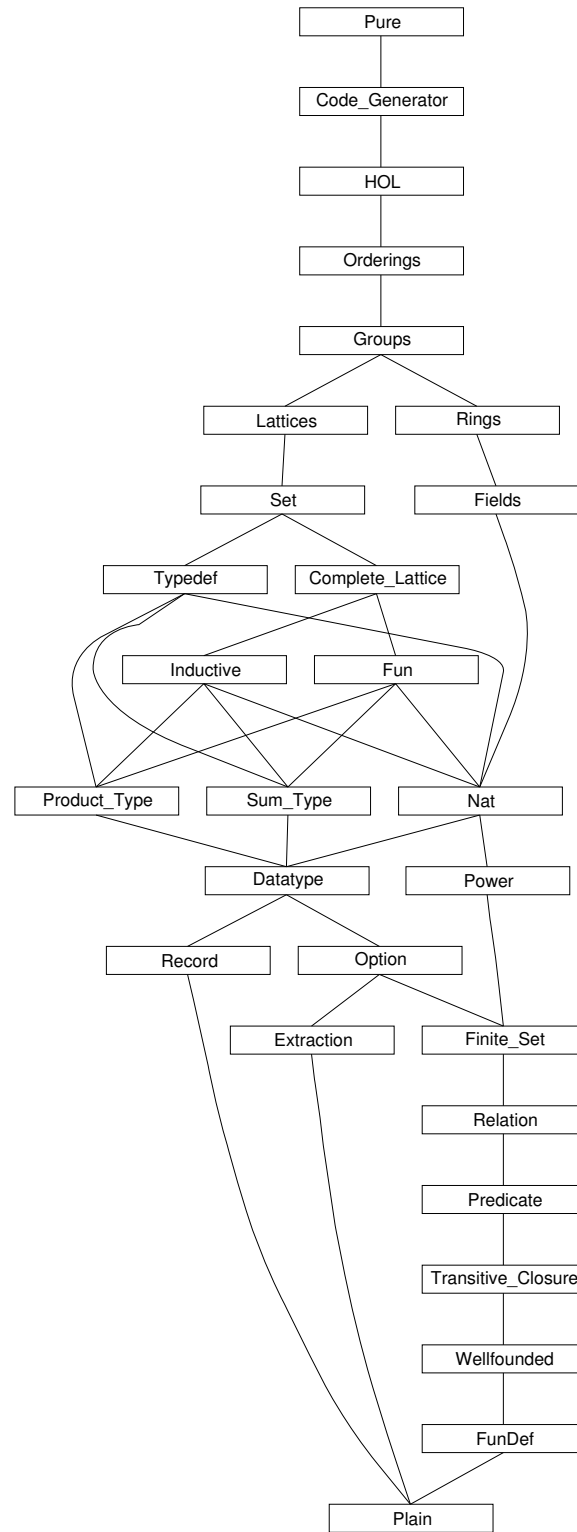
11.3.5	Derived operations . . . . .	206
11.4	Inductively defined sets . . . . .	214
11.5	Legacy theorem bindings and duplicates . . . . .	214
<b>12</b>	<b>Sum-Type: The Disjoint Sum of Two Types</b>	<b>214</b>
12.1	Construction of the sum type and its basic abstract operations	215
12.2	Projections . . . . .	216
12.3	The Disjoint Sum of Sets . . . . .	218
<b>13</b>	<b>Rings: Rings</b>	<b>218</b>
<b>14</b>	<b>Fields: Fields</b>	<b>246</b>
<b>15</b>	<b>Nat: Natural numbers</b>	<b>264</b>
15.1	Type <i>ind</i> . . . . .	265
15.2	Type <i>nat</i> . . . . .	265
15.3	Arithmetic operators . . . . .	267
15.3.1	Addition . . . . .	269
15.3.2	Difference . . . . .	269
15.3.3	Multiplication . . . . .	270
15.4	Orders on <i>nat</i> . . . . .	271
15.4.1	Operation definition . . . . .	271
15.4.2	Introduction properties . . . . .	273
15.4.3	Elimination properties . . . . .	273
15.4.4	Inductive (?) properties . . . . .	274
15.4.5	<i>min</i> and <i>max</i> . . . . .	277
15.4.6	Monotonicity of Addition . . . . .	278
15.4.7	Additional theorems about $op \leq$ . . . . .	280
15.4.8	More results about difference . . . . .	284
15.4.9	Monotonicity of Multiplication . . . . .	286
15.5	Natural operation of natural numbers on functions . . . . .	288
15.6	Embedding of the Naturals into any <i>semiring-1: of-nat</i> . . . . .	289
15.7	The Set of Natural Numbers . . . . .	291
15.8	Further Arithmetic Facts Concerning the Natural Numbers . . . . .	292
15.9	The divides relation on <i>nat</i> . . . . .	296
15.10	size of a datatype value . . . . .	298
15.11	code module namespace . . . . .	298
<b>16</b>	<b>Datatype: Datatype package: constructing datatypes from Cartesian Products and Disjoint Sums</b>	<b>298</b>
16.1	The datatype universe . . . . .	298
16.2	Freeness: Distinctness of Constructors . . . . .	301
16.3	Set Constructions . . . . .	304

<b>17 Record: Extensible records with structural subtyping</b>	<b>309</b>
17.1 Introduction . . . . .	309
17.2 Operators and lemmas for types isomorphic to tuples . . . . .	310
17.3 Logical infrastructure for records . . . . .	311
17.4 Concrete record syntax . . . . .	317
17.5 Record package . . . . .	318
<b>18 Power: Exponentiation</b>	<b>318</b>
18.1 Powers for Arbitrary Monoids . . . . .	318
18.2 Exponentiation for the Natural Numbers . . . . .	326
18.3 Code generator tweak . . . . .	327
<b>19 Option: Datatype option</b>	<b>328</b>
19.0.1 Operations . . . . .	328
19.0.2 Code generator setup . . . . .	329
<b>20 Finite-Set: Finite sets</b>	<b>330</b>
20.1 Predicate for finite sets . . . . .	330
20.2 Class <i>finite</i> . . . . .	340
20.3 A basic fold functional for finite sets . . . . .	341
20.3.1 From <i>fold-graph</i> to <i>fold</i> . . . . .	342
20.3.2 Expressing set operations via <i>fold</i> . . . . .	345
20.4 The derived combinator <i>fold-image</i> . . . . .	347
20.5 A fold functional for non-empty sets . . . . .	350
20.5.1 Determinacy for <i>fold1Set</i> . . . . .	355
20.5.2 Lemmas about <i>fold1</i> . . . . .	355
20.6 Locales as mini-packages for fold operations . . . . .	355
20.6.1 The natural case . . . . .	355
20.6.2 The natural case with idempotency . . . . .	357
20.6.3 The image case with fixed function . . . . .	358
20.6.4 The image case with flexible function . . . . .	360
20.6.5 The image case with fixed function and idempotency . . . . .	362
20.6.6 The image case with flexible function and idempotency . . . . .	363
20.6.7 The neutral-less case . . . . .	363
20.6.8 The neutral-less case with idempotency . . . . .	365
20.7 Finite cardinality . . . . .	366
20.7.1 Cardinality of image . . . . .	371
20.7.2 Cardinality of sums . . . . .	372
20.7.3 Cardinality of the Powerset . . . . .	373
20.7.4 Relating injectivity and surjectivity . . . . .	373

<b>21 Relation: Relations</b>	<b>374</b>
21.1 Definitions . . . . .	374
21.2 The identity relation . . . . .	376
21.3 Diagonal: identity over a set . . . . .	376
21.4 Composition of two relations . . . . .	377
21.5 Reflexivity . . . . .	378
21.6 Antisymmetry . . . . .	378
21.7 Symmetry . . . . .	379
21.8 Transitivity . . . . .	379
21.9 Irreflexivity . . . . .	380
21.10 Totality . . . . .	380
21.11 Converse . . . . .	380
21.12 Domain . . . . .	381
21.13 Range . . . . .	382
21.14 Field . . . . .	383
21.15 Image of a set under a relation . . . . .	384
21.16 Single valued relations . . . . .	385
21.17 Graphs given by <i>Collect</i> . . . . .	386
21.18 Inverse image . . . . .	386
21.19 Finiteness . . . . .	386
21.20 Miscellaneous . . . . .	387
<b>22 Predicate: Predicates as relations and enumerations</b>	<b>387</b>
22.1 Predicates as (complete) lattices . . . . .	387
22.1.1 Equality . . . . .	388
22.1.2 Order relation . . . . .	388
22.1.3 Top and bottom elements . . . . .	389
22.1.4 Binary union . . . . .	389
22.1.5 Binary intersection . . . . .	390
22.1.6 Unions of families . . . . .	390
22.1.7 Intersections of families . . . . .	391
22.2 Predicates as relations . . . . .	392
22.2.1 Composition . . . . .	392
22.2.2 Converse . . . . .	392
22.2.3 Domain . . . . .	393
22.2.4 Range . . . . .	393
22.2.5 Inverse image . . . . .	394
22.2.6 Powerset . . . . .	394
22.2.7 Properties of relations . . . . .	394
22.3 Predicates as enumerations . . . . .	394
22.3.1 The type of predicate enumerations (a monad) . . . . .	394
22.3.2 Emptiness check and definite choice . . . . .	397
22.3.3 Derived operations . . . . .	399
22.3.4 Implementation . . . . .	401

<b>23 Transitive-Closure: Reflexive and Transitive closure of a relation</b>	<b>406</b>
23.1 Reflexive closure . . . . .	407
23.2 Reflexive-transitive closure . . . . .	407
23.3 Transitive closure . . . . .	412
23.4 The power operation on relations . . . . .	420
23.5 Setup of transitivity reasoner . . . . .	423
<b>24 Wellfounded: Well-founded Recursion</b>	<b>424</b>
24.1 Basic Definitions . . . . .	424
24.2 Basic Results . . . . .	426
24.3 Well-Foundedness Results for Unions . . . . .	429
24.4 Acyclic relations . . . . .	432
24.5 <i>nat</i> is well-founded . . . . .	433
24.6 Accessible Part . . . . .	434
24.7 Tools for building wellfounded relations . . . . .	437
24.8 Weakly decreasing sequences (w.r.t. some well-founded order) stabilize. . . . .	441
24.9 size of a datatype value . . . . .	442
<b>25 FunDef: Function Definitions and Termination Proofs</b>	<b>442</b>
25.1 Definitions with default value. . . . .	443
25.2 Measure Functions . . . . .	445
25.3 Congruence Rules . . . . .	445
25.4 Simp rules for termination proofs . . . . .	445
25.5 Decomposition . . . . .	446
25.6 Reduction Pairs . . . . .	446
25.7 Concrete orders for SCNP termination proofs . . . . .	447
25.8 Tool setup . . . . .	448
<b>26 Extraction: Program extraction for HOL</b>	<b>449</b>
26.1 Setup . . . . .	449
26.2 Type of extracted program . . . . .	449
26.3 Realizability . . . . .	450
26.4 Computational content of basic inference rules . . . . .	452
<b>27 Plain: Plain HOL</b>	<b>457</b>





## 1 Code-Generator: Loading the code generator modules

```

theory Code-Generator
imports Pure
uses
  ~~ /src/Tools/auto-solve.ML
  ~~ /src/Tools/auto-counterexample.ML
  ~~ /src/Tools/quickcheck.ML
  ~~ /src/Tools/value.ML
  ~~ /src/Tools/Code/code-preproc.ML
  ~~ /src/Tools/Code/code-thingol.ML
  ~~ /src/Tools/Code/code-printer.ML
  ~~ /src/Tools/Code/code-target.ML
  ~~ /src/Tools/Code/code-ml.ML
  ~~ /src/Tools/Code/code-eval.ML
  ~~ /src/Tools/Code/code-haskell.ML
  ~~ /src/Tools/Code/code-scala.ML
  ~~ /src/Tools/nbe.ML
begin

setup ⟨⟨
  Code-Preproc.setup
  #> Code-ML.setup
  #> Code-Eval.setup
  #> Code-Haskell.setup
  #> Code-Scala.setup
  #> Nbe.setup
  #> Quickcheck.setup
  ⟩⟩

end

```

## 2 HOL: The basis of Higher-Order Logic

```

theory HOL
imports Pure ~~ /src/Tools/Code-Generator
uses
  (Tools/hologic.ML)
  ~~ /src/Tools/IsaPlanner/zipper.ML
  ~~ /src/Tools/IsaPlanner/isand.ML
  ~~ /src/Tools/IsaPlanner/rw-tools.ML
  ~~ /src/Tools/IsaPlanner/rw-inst.ML
  ~~ /src/Tools/intuitionistic.ML
  ~~ /src/Tools/project-rule.ML
  ~~ /src/Tools/cong-tac.ML
  ~~ /src/Provers/hypsubst.ML

```

```

~~/src/Provers/splitter.ML
~~/src/Provers/classical.ML
~~/src/Provers/blast.ML
~~/src/Provers/clasimp.ML
~~/src/Tools/coherent.ML
~~/src/Tools/eqsubst.ML
~~/src/Provers/quantifier1.ML
(Tools/simpdata.ML)
~~/src/Tools/random-word.ML
~~/src/Tools/atomize-elim.ML
~~/src/Tools/induct.ML
(~~/src/Tools/induct-tacs.ML)
(Tools/recfun-codegen.ML)
begin

setup << Intuitionistic.method-setup @{binding iprover} >>

```

## 2.1 Primitive logic

### 2.1.1 Core syntax

```

classes type
default-sort type
setup << Object-Logic.add-base-sort @{sort type} >>

```

```

arities
  fun :: (type, type) type
  itself :: (type) type

```

global

```
typedecl bool
```

```

judgment
  Trueprop      :: bool => prop          ((-) 5)

```

```

consts
  Not          :: bool => bool           (~ - [40] 40)
  True         :: bool
  False        :: bool

  The          :: ('a => bool) => 'a
  All          :: ('a => bool) => bool      (binder ALL 10)
  Ex           :: ('a => bool) => bool      (binder EX 10)
  Ex1          :: ('a => bool) => bool      (binder EX! 10)
  Let          :: ['a, 'a => 'b] => 'b

  op =         :: ['a, 'a] => bool        (infixl = 50)
  op &         :: [bool, bool] => bool     (infixr & 35)
  op |         :: [bool, bool] => bool     (infixr | 30)

```

*op*  $-->$       $:: [bool, bool] => bool$      (**infixr**  $-->$  25)

## local

### consts

*If*      $:: [bool, 'a, 'a] => 'a$      ((*if* (-)/ *then* (-)/ *else* (-)) [0, 0, 10] 10)

## 2.1.2 Additional concrete syntax

### notation (output)

*op* = (**infix** = 50)

### abbreviation

*not-equal*  $:: ['a, 'a] => bool$  (**infixl**  $\sim =$  50) **where**  
*x*  $\sim =$  *y*  $== \sim (x = y)$

### notation (output)

*not-equal* (**infix**  $\sim =$  50)

### notation (xsymbols)

*Not* ( $\neg$  - [40] 40) **and**  
*op* & (**infixr**  $\wedge$  35) **and**  
*op* | (**infixr**  $\vee$  30) **and**  
*op*  $-->$  (**infixr**  $\longrightarrow$  25) **and**  
*not-equal* (**infix**  $\neq$  50)

### notation (HTML output)

*Not* ( $\neg$  - [40] 40) **and**  
*op* & (**infixr**  $\wedge$  35) **and**  
*op* | (**infixr**  $\vee$  30) **and**  
*not-equal* (**infix**  $\neq$  50)

### abbreviation (iff)

*iff*  $:: [bool, bool] => bool$  (**infixr**  $<->$  25) **where**  
*A*  $<->$  *B*  $== A = B$

### notation (xsymbols)

*iff* (**infixr**  $\longleftrightarrow$  25)

### nonterminals

*letbinds* *letbind*  
*case-syn* *cases-syn*

### syntax

*-The*      $:: [pttrn, bool] => 'a$      ((3*THE*  $\neg$ / -) [0, 10] 10)  
*-bind*      $:: [pttrn, 'a] => letbind$      ((2- =/ -) 10)  
             $:: letbind => letbinds$      (-)  
*-binds*      $:: [letbind, letbinds] => letbinds$      (-;/ -)

$-Let \quad :: [letbinds, 'a] \Rightarrow 'a \quad ((let (-) / in (-)) [0, 10] 10)$   
 $-case-syntax :: ['a, cases-syn] \Rightarrow 'b \quad ((case - of / -) 10)$   
 $-case1 \quad :: ['a, 'b] \Rightarrow case-syn \quad ((2- \Rightarrow / -) 10)$   
 $\quad \quad \quad :: case-syn \Rightarrow cases-syn \quad (-)$   
 $-case2 \quad :: [case-syn, cases-syn] \Rightarrow cases-syn \quad (- / | -)$

**translations**

$THE \ x. P \quad == \ CONST \ The \ (\%x. P)$   
 $-Let \ (-binds \ b \ bs) \ e \ == \ -Let \ b \ (-Let \ bs \ e)$   
 $let \ x = a \ in \ e \quad == \ CONST \ Let \ a \ (\%x. e)$

**print-translation**  $\ll$ 

$[(\@ \{const-syntax \ The\}, fn \ [Abs \ abs] \Rightarrow$   
 $\quad let \ val \ (x, t) = atomic-abs-tr' \ abs$   
 $\quad in \ Syntax.const \ \@ \{syntax-const -The\} \ \$ \ x \ \$ \ t \ end)]$   
 $\gg$  — To avoid eta-contraction of body

**syntax** (*xsymbols*)

$-case1 \quad :: ['a, 'b] \Rightarrow case-syn \quad ((2- \Rightarrow / -) 10)$

**notation** (*xsymbols*)

$All \ (binder \ \forall \ 10) \ and$   
 $Ex \ (binder \ \exists \ 10) \ and$   
 $Ex1 \ (binder \ \exists! \ 10)$

**notation** (*HTML output*)

$All \ (binder \ \forall \ 10) \ and$   
 $Ex \ (binder \ \exists \ 10) \ and$   
 $Ex1 \ (binder \ \exists! \ 10)$

**notation** (*HOL*)

$All \ (binder \ ! \ 10) \ and$   
 $Ex \ (binder \ ? \ 10) \ and$   
 $Ex1 \ (binder \ ?! \ 10)$

**2.1.3 Axioms and basic definitions****axioms**

$refl: \quad t = (t::'a)$   
 $subst: \quad s = t \implies P \ s \implies P \ t$   
 $ext: \quad (!x::'a. (f \ x :: 'b) = g \ x) \implies (\%x. f \ x) = (\%x. g \ x)$

— Extensionality is built into the meta-logic, and this rule expresses a related property. It is an eta-expanded version of the traditional rule, and similar to the ABS rule of HOL

$the-eq-trivial: \ (THE \ x. x = a) = (a::'a)$

$impI: \quad (P \implies Q) \implies P \dashv\dashv Q$

*mp*:  $[| P \multimap Q; P |] \implies Q$

### defs

*True-def*:  $True \implies (\%x::bool. x) = (\%x. x)$   
*All-def*:  $All(P) \implies (P = (\%x. True))$   
*Ex-def*:  $Ex(P) \implies !Q. (!x. P x \multimap Q) \multimap Q$   
*False-def*:  $False \implies (!P. P)$   
*not-def*:  $\sim P \implies P \multimap False$   
*and-def*:  $P \& Q \implies !R. (P \multimap Q \multimap R) \multimap R$   
*or-def*:  $P | Q \implies !R. (P \multimap R) \multimap (Q \multimap R) \multimap R$   
*Ex1-def*:  $Ex1(P) \implies ? x. P(x) \& (! y. P(y) \multimap y=x)$

### axioms

*iff*:  $(P \multimap Q) \multimap (Q \multimap P) \multimap (P=Q)$   
*True-or-False*:  $(P=True) | (P=False)$

### defs

*Let-def* [code]:  $Let\ s\ f \implies f(s)$   
*if-def*:  $If\ P\ x\ y \implies THE\ z::'a. (P=True \multimap z=x) \& (P=False \multimap z=y)$

### finalconsts

*op* =  
*op*  $\multimap$   
*The*

### axiomatization

*undefined* :: 'a

### class default =

*fixes default* :: 'a

## 2.2 Fundamental rules

### 2.2.1 Equality

**lemma** *sym*:  $s = t \implies t = s$

**by** (*erule subst*) (*rule refl*)

**lemma** *ssubst*:  $t = s \implies P\ s \implies P\ t$

**by** (*drule sym*) (*erule subst*)

**lemma** *trans*:  $[| r=s; s=t |] \implies r=t$

**by** (*erule subst*)

**lemma** *meta-eq-to-obj-eq*:

**assumes** *meq*:  $A = B$

**shows**  $A = B$

**by** (*unfold meq*) (*rule refl*)

Useful with *erule* for proving equalities from known equalities.

```
lemma box-equals: [| a=b; a=c; b=d |] ==> c=d
  apply (rule trans)
  apply (rule trans)
  apply (rule sym)
  apply assumption+
done
```

For calculational reasoning:

```
lemma forw-subst: a = b ==> P b ==> P a
  by (rule ssubst)
```

```
lemma back-subst: P a ==> a = b ==> P b
  by (rule subst)
```

### 2.2.2 Congruence rules for application

Similar to *AP-THM* in Gordon’s HOL.

```
lemma fun-cong: (f::'a=>'b) = g ==> f(x)=g(x)
  apply (erule subst)
  apply (rule refl)
done
```

Similar to *AP-TERM* in Gordon’s HOL and FOL’s *subst-context*.

```
lemma arg-cong: x=y ==> f(x)=f(y)
  apply (erule subst)
  apply (rule refl)
done
```

```
lemma arg-cong2: [| a = b; c = d |] ==> f a c = f b d
  apply (erule ssubst)+
  apply (rule refl)
done
```

```
lemma cong: [| f = g; (x::'a) = y |] ==> f x = g y
  apply (erule subst)+
  apply (rule refl)
done
```

```
ML << val cong-tac = Cong-Tac.cong-tac @ {thm cong} >>
```

### 2.2.3 Equality of booleans – iff

```
lemma iffI: assumes P ==> Q and Q ==> P shows P=Q
  by (iprover intro: iff [THEN mp, THEN mp] impI assms)
```

```
lemma iffD2: [| P=Q; Q |] ==> P
  by (erule ssubst)
```

**lemma** *rev-iffD2*:  $[\mid Q; P=Q \mid] ==> P$   
**by** (*erule iffD2*)

**lemma** *iffD1*:  $Q = P ==> Q ==> P$   
**by** (*drule sym*) (*rule iffD2*)

**lemma** *rev-iffD1*:  $Q ==> Q = P ==> P$   
**by** (*drule sym*) (*rule rev-iffD2*)

**lemma** *iffE*:  
**assumes** *major*:  $P=Q$   
**and** *minor*:  $[\mid P --> Q; Q --> P \mid] ==> R$   
**shows**  $R$   
**by** (*iprover intro: minor impI major [THEN iffD2] major [THEN iffD1]*)

#### 2.2.4 True

**lemma** *TrueI*:  $True$   
**unfolding** *True-def* **by** (*rule refl*)

**lemma** *eqTrueI*:  $P ==> P = True$   
**by** (*iprover intro: iffI TrueI*)

**lemma** *eqTrueE*:  $P = True ==> P$   
**by** (*erule iffD2*) (*rule TrueI*)

#### 2.2.5 Universal quantifier

**lemma** *allI*: **assumes**  $!!x::'a. P(x)$  **shows**  $ALL x. P(x)$   
**unfolding** *All-def* **by** (*iprover intro: ext eqTrueI assms*)

**lemma** *spec*:  $ALL x::'a. P(x) ==> P(x)$   
**apply** (*unfold All-def*)  
**apply** (*rule eqTrueE*)  
**apply** (*erule fun-cong*)  
**done**

**lemma** *allE*:  
**assumes** *major*:  $ALL x. P(x)$   
**and** *minor*:  $P(x) ==> R$   
**shows**  $R$   
**by** (*iprover intro: minor major [THEN spec]*)

**lemma** *all-dupE*:  
**assumes** *major*:  $ALL x. P(x)$   
**and** *minor*:  $[\mid P(x); ALL x. P(x) \mid] ==> R$   
**shows**  $R$   
**by** (*iprover intro: minor major major [THEN spec]*)



### 2.2.6 False

Depends upon *spec*; it is impossible to do propositional logic before quantifiers!

```
lemma FalseE: False ==> P
  apply (unfold False-def)
  apply (erule spec)
  done
```

```
lemma False-neg-True: False = True ==> P
  by (erule eqTrueE [THEN FalseE])
```

### 2.2.7 Negation

```
lemma notI:
  assumes P ==> False
  shows ~P
  apply (unfold not-def)
  apply (iprover intro: impI assms)
  done
```

```
lemma False-not-True: False ~ = True
  apply (rule notI)
  apply (erule False-neg-True)
  done
```

```
lemma True-not-False: True ~ = False
  apply (rule notI)
  apply (erule sym)
  apply (erule False-neg-True)
  done
```

```
lemma notE: [| ~P; P |] ==> R
  apply (unfold not-def)
  apply (erule mp [THEN FalseE])
  apply assumption
  done
```

```
lemma notI2: (P ==> ~ Pa) ==> (P ==> Pa) ==> ~ P
  by (erule notE [THEN notI]) (erule meta-mp)
```

### 2.2.8 Implication

```
lemma impE:
  assumes P-->Q P Q ==> R
  shows R
  by (iprover intro: assms mp)
```

**lemma** *rev-mp*:  $[ [ P; P \dashv\dashv Q ] \implies Q ]$   
**by** (*iprover* *intro*: *mp*)

**lemma** *contrapos-nn*:  
**assumes** *major*:  $\sim Q$   
**and** *minor*:  $P \implies Q$   
**shows**  $\sim P$   
**by** (*iprover* *intro*: *notI* *minor* *major* [*THEN notE*])

**lemma** *contrapos-pn*:  
**assumes** *major*:  $Q$   
**and** *minor*:  $P \implies \sim Q$   
**shows**  $\sim P$   
**by** (*iprover* *intro*: *notI* *minor* *major* *notE*)

**lemma** *not-sym*:  $t \sim s \implies s \sim t$   
**by** (*erule* *contrapos-nn*) (*erule* *sym*)

**lemma** *eq-neq-eq-imp-neq*:  $[ [ x = a ; a \sim b; b = y ] \implies x \sim y ]$   
**by** (*erule* *subst*, *erule* *ssubst*, *assumption*)

**lemma** *rev-contrapos*:  
**assumes** *pq*:  $P \implies Q$   
**and** *nq*:  $\sim Q$   
**shows**  $\sim P$   
**apply** (*rule* *nq* [*THEN contrapos-nn*])  
**apply** (*erule* *pq*)  
**done**

## 2.2.9 Existential quantifier

**lemma** *exI*:  $P\ x \implies \exists x::'a. P\ x$   
**apply** (*unfold* *Ex-def*)  
**apply** (*iprover* *intro*: *allI* *allE* *impI* *mp*)  
**done**

**lemma** *exE*:  
**assumes** *major*:  $\exists x::'a. P(x)$   
**and** *minor*:  $!!x. P(x) \implies Q$   
**shows**  $Q$   
**apply** (*rule* *major* [*unfolded Ex-def*, *THEN spec*, *THEN mp*])  
**apply** (*iprover* *intro*: *impI* [*THEN allI*] *minor*)  
**done**

## 2.2.10 Conjunction

**lemma** *conjI*:  $[ [ P; Q ] \implies P \& Q ]$   
**apply** (*unfold* *and-def*)

```

apply (iprover intro: impI [THEN allI] mp)
done

```

```

lemma conjunct1: [| P & Q |] ==> P
apply (unfold and-def)
apply (iprover intro: impI dest: spec mp)
done

```

```

lemma conjunct2: [| P & Q |] ==> Q
apply (unfold and-def)
apply (iprover intro: impI dest: spec mp)
done

```

```

lemma conjE:
  assumes major: P&Q
    and minor: [| P; Q |] ==> R
  shows R
apply (rule minor)
apply (rule major [THEN conjunct1])
apply (rule major [THEN conjunct2])
done

```

```

lemma context-conjI:
  assumes P P ==> Q shows P & Q
by (iprover intro: conjI assms)

```

### 2.2.11 Disjunction

```

lemma disjI1: P ==> P|Q
apply (unfold or-def)
apply (iprover intro: allI impI mp)
done

```

```

lemma disjI2: Q ==> P|Q
apply (unfold or-def)
apply (iprover intro: allI impI mp)
done

```

```

lemma disjE:
  assumes major: P|Q
    and minorP: P ==> R
    and minorQ: Q ==> R
  shows R
by (iprover intro: minorP minorQ impI
      major [unfolded or-def, THEN spec, THEN mp, THEN mp])

```

### 2.2.12 Classical logic

```

lemma classical:
  assumes prem: ~P ==> P

```

```

  shows  $P$ 
  apply (rule True-or-False [THEN disjE, THEN eqTrueE])
  apply assumption
  apply (rule notI [THEN prem, THEN eqTrueI])
  apply (erule subst)
  apply assumption
  done

```

```

lemmas ccontr = FalseE [THEN classical, standard]

```

```

lemma rev-notE:
  assumes prem:  $P$ 
  and premnot:  $\sim R \implies \sim P$ 
  shows  $R$ 
  apply (rule ccontr)
  apply (erule notE [OF premnot prem])
  done

```

```

lemma notnotD:  $\sim\sim P \implies P$ 
  apply (rule classical)
  apply (erule notE)
  apply assumption
  done

```

```

lemma contrapos-pp:
  assumes p1:  $Q$ 
  and p2:  $\sim P \implies \sim Q$ 
  shows  $P$ 
  by (iprover intro: classical p1 p2 notE)

```

### 2.2.13 Unique existence

```

lemma ex1I:
  assumes  $P\ a\ !!x. P(x) \implies x=a$ 
  shows  $EX!\ x. P(x)$ 
  by (unfold Ex1-def, iprover intro: assms exI conjI allI impI)

```

Sometimes easier to use: the premises have no shared variables. Safe!

```

lemma ex-ex1I:
  assumes ex-prem:  $EX\ x. P(x)$ 
  and eq:  $!!x\ y. [P(x); P(y)] \implies x=y$ 
  shows  $EX!\ x. P(x)$ 
  by (iprover intro: ex-prem [THEN exE] ex1I eq)

```

```

lemma ex1E:
  assumes major:  $EX!\ x. P(x)$ 
  and minor:  $!!x. [P(x); ALL\ y. P(y) \dashrightarrow y=x] \implies R$ 

```

```

  shows  $R$ 
apply (rule major [unfolded  $Ex1$ -def, THEN  $exE$ ])
apply (erule conjE)
apply (iprover intro: minor)
done

```

```

lemma  $ex1$ -implies-ex:  $EX! x. P x ==> EX x. P x$ 
apply (erule  $ex1E$ )
apply (rule  $exI$ )
apply assumption
done

```

#### 2.2.14 THE: definite description operator

```

lemma the-equality:
  assumes prema:  $P a$ 
    and premx:  $!!x. P x ==> x=a$ 
  shows  $(THE x. P x) = a$ 
apply (rule trans [OF - the-eq-trivial])
apply (rule-tac  $f = The$  in arg-cong)
apply (rule ext)
apply (rule iffI)
  apply (erule premx)
apply (erule ssubst, rule prema)
done

```

```

lemma theI:
  assumes  $P a$  and  $!!x. P x ==> x=a$ 
  shows  $P (THE x. P x)$ 
by (iprover intro: assms the-equality [THEN ssubst])

```

```

lemma theI':  $EX! x. P x ==> P (THE x. P x)$ 
apply (erule  $ex1E$ )
apply (erule theI)
apply (erule allE)
apply (erule mp)
apply assumption
done

```

```

lemma theI2:
  assumes  $P a !!x. P x ==> x=a !!x. P x ==> Q x$ 
  shows  $Q (THE x. P x)$ 
by (iprover intro: assms theI)

```

```

lemma theI12: assumes  $EX! x. P x \wedge x. P x ==> Q x$  shows  $Q (THE x. P x)$ 
by (iprover intro: assms(2) theI2 [where  $P=P$  and  $Q=Q$ ]  $ex1E$  [OF assms(1)]
    elim: allE impE)

```

```

lemma the1-equality [elim?]: [| EX!x. P x; P a |] ==> (THE x. P x) = a
apply (rule the-equality)
apply assumption
apply (erule ex1E)
apply (erule all-dupE)
apply (drule mp)
apply assumption
apply (erule ssubst)
apply (erule allE)
apply (erule mp)
apply assumption
done

```

```

lemma the-sym-eq-trivial: (THE y. x=y) = x
apply (rule the-equality)
apply (rule refl)
apply (erule sym)
done

```

### 2.2.15 Classical intro rules for disjunction and existential quantifiers

```

lemma disjCI:
  assumes  $\sim Q \implies P$  shows  $P \mid Q$ 
apply (rule classical)
apply (iprover intro: assms disjI1 disjI2 notI elim: notE)
done

```

```

lemma excluded-middle:  $\sim P \mid P$ 
by (iprover intro: disjCI)

```

case distinction as a natural deduction rule. Note that  $\neg P$  is the second case, not the first

```

lemma case-split [case-names True False]:
  assumes prem1:  $P \implies Q$ 
  and prem2:  $\sim P \implies Q$ 
  shows  $Q$ 
apply (rule excluded-middle [THEN disjE])
apply (erule prem2)
apply (erule prem1)
done

```

```

lemma impCE:
  assumes major:  $P \dashv\dashv Q$ 
  and minor:  $\sim P \implies R \quad Q \implies R$ 
  shows  $R$ 
apply (rule excluded-middle [of P, THEN disjE])
apply (iprover intro: minor major [THEN mp]) +

```

done

lemma *impCE'*:  
 assumes *major*:  $P \multimap Q$   
 and *minor*:  $Q \implies R \sim P \implies R$   
 shows *R*  
 apply (rule *excluded-middle* [of *P*, *THEN disjE*])  
 apply (iprover *intro: minor major [THEN mp]*)  
 done

lemma *iffCE*:  
 assumes *major*:  $P = Q$   
 and *minor*:  $[P; Q] \implies R \quad [\sim P; \sim Q] \implies R$   
 shows *R*  
 apply (rule *major [THEN iffE]*)  
 apply (iprover *intro: minor elim: impCE notE*)  
 done

lemma *exCI*:  
 assumes *ALL* *x*.  $\sim P(x) \implies P(a)$   
 shows *EX* *x*.  $P(x)$   
 apply (rule *ccontr*)  
 apply (iprover *intro: asms exI allI notI notE [of  $\exists x. P x$ ]*)  
 done

## 2.2.16 Intuitionistic Reasoning

lemma *impE'*:  
 assumes 1:  $P \multimap Q$   
 and 2:  $Q \implies R$   
 and 3:  $P \multimap Q \implies P$   
 shows *R*  
 proof –  
 from 3 and 1 have *P* .  
 with 1 have *Q* by (rule *impE*)  
 with 2 show *R* .  
 qed

lemma *allE'*:  
 assumes 1: *ALL* *x*. *P x*  
 and 2:  $P x \implies \text{ALL } x. P x \implies Q$   
 shows *Q*  
 proof –  
 from 1 have *P x* by (rule *spec*)  
 from *this* and 1 show *Q* by (rule 2)  
 qed

```

lemma notE':
  assumes 1:  $\sim P$ 
    and 2:  $\sim P \implies P$ 
  shows  $R$ 
proof –
  from 2 and 1 have  $P$  .
  with 1 show  $R$  by (rule notE)
qed

lemma TrueE:  $True \implies P \implies P$  .
lemma notFalseE:  $\sim False \implies P \implies P$  .

lemmas [Pure.elim!] = disjE iffE FalseE conjE exE TrueE notFalseE
  and [Pure.intro!] = iffI conjI impI TrueI notI allI refl
  and [Pure.elim 2] = allE notE' impE'
  and [Pure.intro] = exI disjI2 disjI1

lemmas [trans] = trans
  and [sym] = sym not-sym
  and [Pure.elim?] = iffD1 iffD2 impE

use Tools/hologic.ML

```

### 2.2.17 Atomizing meta-level connectives

**axiomatization where**

*eq-reflection*:  $x = y \implies x \equiv y$

**lemma** *atomize-all* [*atomize*]:  $(!!x. P x) == Trueprop (ALL x. P x)$

**proof**

**assume**  $!!x. P x$

**then show**  $ALL x. P x$  ..

**next**

**assume**  $ALL x. P x$

**then show**  $!!x. P x$  **by** (*rule allE*)

**qed**

**lemma** *atomize-imp* [*atomize*]:  $(A \implies B) == Trueprop (A \dashrightarrow B)$

**proof**

**assume**  $r: A \implies B$

**show**  $A \dashrightarrow B$  **by** (*rule impI*) (*rule r*)

**next**

**assume**  $A \dashrightarrow B$  **and**  $A$

**then show**  $B$  **by** (*rule mp*)

**qed**

**lemma** *atomize-not*:  $(A \implies False) == Trueprop (\sim A)$

**proof**

**assume**  $r: A \implies False$



```

  show  $\sim A$  by (rule notI) (rule r)
next
  assume  $\sim A$  and  $A$ 
  then show False by (rule notE)
qed

```

```

lemma atomize-eq [atomize]:  $(x == y) == \text{Trueprop } (x = y)$ 
proof
  assume  $x == y$ 
  show  $x = y$  by (unfold  $\langle x == y \rangle$ ) (rule refl)
next
  assume  $x = y$ 
  then show  $x == y$  by (rule eq-reflection)
qed

```

```

lemma atomize-conj [atomize]:  $(A \&\&\& B) == \text{Trueprop } (A \& B)$ 
proof
  assume conj:  $A \&\&\& B$ 
  show  $A \& B$ 
  proof (rule conjI)
    from conj show  $A$  by (rule conjunctionD1)
    from conj show  $B$  by (rule conjunctionD2)
  qed
next
  assume conj:  $A \& B$ 
  show  $A \&\&\& B$ 
  proof -
    from conj show  $A$  ..
    from conj show  $B$  ..
  qed
qed

```

```

lemmas [symmetric, rulify] = atomize-all atomize-imp
and [symmetric, defn] = atomize-all atomize-imp atomize-eq

```

### 2.2.18 Atomizing elimination rules

```

setup AtomizeElim.setup

```

```

lemma atomize-exL[atomize-elim]:  $(!!x. P\ x ==> Q) == ((EX\ x. P\ x) ==> Q)$ 
by rule iprover+

```

```

lemma atomize-conjL[atomize-elim]:  $(A ==> B ==> C) == (A \& B ==> C)$ 
by rule iprover+

```

```

lemma atomize-disjL[atomize-elim]:  $((A ==> C) ==> (B ==> C) ==> C)$ 
 $== ((A \mid B ==> C) ==> C)$ 
by rule iprover+

```

**lemma** *atomize-elim* $L[atomize-elim]$ :  $(!!B. (A ==> B) ==> B) == Trueprop A$   
 ..

## 2.3 Package setup

### 2.3.1 Sledgehammer setup

Theorems blacklisted to Sledgehammer. These theorems typically produce clauses that are prolific (match too many equality or membership literals) and relate to seldom-used facts. Some duplicate other rules.

```
ML <<
structure No-ATPs = Named-Thms
(
  val name = no-atp
  val description = theorems that should be filtered out by Sledgehammer
)
>>

setup << No-ATPs.setup >>
```

### 2.3.2 Classical Reasoner setup

**lemma** *imp-elim*:  $P \dashv\dashv Q ==> (\sim R ==> P) ==> (Q ==> R) ==> R$   
**by** (*rule classical*) *iprover*

**lemma** *swap*:  $\sim P ==> (\sim R ==> P) ==> R$   
**by** (*rule classical*) *iprover*

**lemma** *thin-refl*:  
 $\bigwedge X. \llbracket x=x; PROP W \rrbracket \implies PROP W$  .

```
ML <<
structure Hypsubst = HypsubstFun(
  struct
    structure Simplifier = Simplifier
    val dest-eq = HOLogic.dest-eq
    val dest-Trueprop = HOLogic.dest-Trueprop
    val dest-imp = HOLogic.dest-imp
    val eq-reflection = @{thm eq-reflection}
    val rev-eq-reflection = @{thm meta-eq-to-obj-eq}
    val imp-intr = @{thm impI}
    val rev-mp = @{thm rev-mp}
    val subst = @{thm subst}
    val sym = @{thm sym}
    val thin-refl = @{thm thin-refl};
    val prop-subst = @{lemma PROP P t ==> PROP prop (x = t ==> PROP P
x)
                                by (unfold prop-def) (drule eq-reflection, unfold)}
  end);
```

```
open Hypsubst;
```

```
structure Classical = ClassicalFun(
struct
  val imp-elim = @{thm imp-elim}
  val not-elim = @{thm notE}
  val swap = @{thm swap}
  val classical = @{thm classical}
  val sizef = Drule.size-of-thm
  val hyp-subst-tacs = [Hypsubst.hyp-subst-tac]
end);
```

```
structure Basic-Classical: BASIC-CLASSICAL = Classical;
open Basic-Classical;
```

```
ML-Antiquote.value claset
(Scan.succeed Classical.claset-of (ML-Context.the-local-context ()));
>>
```

```
setup Classical.setup
```

```
setup <<
let
  fun non-bool-eq (@{const-name op =}, Type (-, [T, -])) = T <> @{typ bool}
    | non-bool-eq - = false;
  val hyp-subst-tac' =
    SUBGOAL (fn (goal, i) =>
      if Term.exists-Const non-bool-eq goal
      then Hypsubst.hyp-subst-tac i
      else no-tac);
in
  Hypsubst.hypsubst-setup
  (*prevent substitution on bool*)
  #> Context-Rules.addSWrapper (fn tac => hyp-subst-tac' ORELSE' tac)
end
>>
```

```
declare iffI [intro!]
and notI [intro!]
and impI [intro!]
and disjCI [intro!]
and conjI [intro!]
and TrueI [intro!]
and refl [intro!]
```

```
declare iffCE [elim!]
and FalseE [elim!]
and impCE [elim!]
and disjE [elim!]
```

```

and conjE [elim!]

declare ex-ex1I [intro!]
  and allI [intro!]
  and the-equality [intro]
  and exI [intro]

declare exE [elim!]
  allE [elim]

ML ⟨⟨ val HOL-cs = @{claset} ⟩⟩

lemma contrapos-np:  $\sim Q \implies (\sim P \implies Q) \implies P$ 
  apply (erule swap)
  apply (erule (1) meta-mp)
  done

declare ex-ex1I [rule del, intro! 2]
  and ex1I [intro]

lemmas [intro?] = ext
  and [elim?] = ex1-implies-ex

lemma alt-ex1E [elim!]:
  assumes major:  $\exists!x. P x$ 
  and prem:  $\bigwedge x. \llbracket P x; \forall y y'. P y \wedge P y' \longrightarrow y = y' \rrbracket \implies R$ 
  shows R
  apply (rule ex1E [OF major])
  apply (rule prem)
  apply (tactic ⟨⟨ ares-tac @{thms allI} 1 ⟩⟩)+
  apply (tactic ⟨⟨ etac (Classical.dup-elim @{thm allE}) 1 ⟩⟩)
  apply iprover
  done

ML ⟨⟨
  structure Blast = Blast
  (
    val thy = @{theory}
    type claset = Classical.claset
    val equality-name = @{const-name op =}
    val not-name = @{const-name Not}
    val notE = @{thm notE}
    val ccontr = @{thm ccontr}
    val contr-tac = Classical.contr-tac
    val dup-intr = Classical.dup-intr
    val hyp-subst-tac = Hypsubst.blast-hyp-subst-tac
    val rep-cs = Classical.rep-cs
    val cla-modifiers = Classical.cla-modifiers
  )
  ⟩⟩

```

```

    val cla-meth' = Classical.cla-meth'
  );
  val blast-tac = Blast.blast-tac;
  >>

```

```

setup Blast.setup

```

### 2.3.3 Simplifier

**lemma** *eta-contract-eq*:  $(\%s. f\ s) = f \ ..$

**lemma** *simp-thms*:

```

shows not-not:  $(\sim \sim P) = P$ 
and Not-eq-iff:  $((\sim P) = (\sim Q)) = (P = Q)$ 
and
   $(P \sim = Q) = (P = (\sim Q))$ 
   $(P \mid \sim P) = \text{True} \quad (\sim P \mid P) = \text{True}$ 
   $(x = x) = \text{True}$ 
and not-True-eq-False [code]:  $(\neg \text{True}) = \text{False}$ 
and not-False-eq-True [code]:  $(\neg \text{False}) = \text{True}$ 
and
   $(\sim P) \sim = P \quad P \sim = (\sim P)$ 
   $(\text{True} = P) = P$ 
and eq-True:  $(P = \text{True}) = P$ 
and  $(\text{False} = P) = (\sim P)$ 
and eq-False:  $(P = \text{False}) = (\neg P)$ 
and
   $(\text{True} \dashrightarrow P) = P \quad (\text{False} \dashrightarrow P) = \text{True}$ 
   $(P \dashrightarrow \text{True}) = \text{True} \quad (P \dashrightarrow P) = \text{True}$ 
   $(P \dashrightarrow \text{False}) = (\sim P) \quad (P \dashrightarrow \sim P) = (\sim P)$ 
   $(P \ \& \ \text{True}) = P \quad (\text{True} \ \& \ P) = P$ 
   $(P \ \& \ \text{False}) = \text{False} \quad (\text{False} \ \& \ P) = \text{False}$ 
   $(P \ \& \ P) = P \quad (P \ \& \ (P \ \& \ Q)) = (P \ \& \ Q)$ 
   $(P \ \& \ \sim P) = \text{False} \quad (\sim P \ \& \ P) = \text{False}$ 
   $(P \mid \text{True}) = \text{True} \quad (\text{True} \mid P) = \text{True}$ 
   $(P \mid \text{False}) = P \quad (\text{False} \mid P) = P$ 
   $(P \mid P) = P \quad (P \mid (P \mid Q)) = (P \mid Q)$  and
   $(\text{ALL } x. P) = P \quad (\text{EX } x. P) = P \quad \text{EX } x. x=t \quad \text{EX } x. t=x$ 
and
  !!P.  $(\text{EX } x. x=t \ \& \ P(x)) = P(t)$ 
  !!P.  $(\text{EX } x. t=x \ \& \ P(x)) = P(t)$ 
  !!P.  $(\text{ALL } x. x=t \dashrightarrow P(x)) = P(t)$ 
  !!P.  $(\text{ALL } x. t=x \dashrightarrow P(x)) = P(t)$ 
by (blast, blast, blast, blast, blast, iprover+)

```

**lemma** *disj-absorb*:  $(A \mid A) = A$

**by** *blast*

**lemma** *disj-left-absorb*:  $(A \mid (A \mid B)) = (A \mid B)$

**by** *blast*

**lemma** *conj-absorb*:  $(A \ \& \ A) = A$   
**by** *blast*

**lemma** *conj-left-absorb*:  $(A \ \& \ (A \ \& \ B)) = (A \ \& \ B)$   
**by** *blast*

**lemma** *eq-ac*:  
**shows** *eq-commute*:  $(a=b) = (b=a)$   
**and** *eq-left-commute*:  $(P=(Q=R)) = (Q=(P=R))$   
**and** *eq-assoc*:  $((P=Q)=R) = (P=(Q=R))$  **by** (*iprover*, *blast*+)   
**lemma** *neg-commute*:  $(a \sim b) = (b \sim a)$  **by** *iprover*

**lemma** *conj-comms*:  
**shows** *conj-commute*:  $(P \ \& \ Q) = (Q \ \& \ P)$   
**and** *conj-left-commute*:  $(P \ \& \ (Q \ \& \ R)) = (Q \ \& \ (P \ \& \ R))$  **by** *iprover*+  
**lemma** *conj-assoc*:  $((P \ \& \ Q) \ \& \ R) = (P \ \& \ (Q \ \& \ R))$  **by** *iprover*

**lemmas** *conj-ac* = *conj-commute conj-left-commute conj-assoc*

**lemma** *disj-comms*:  
**shows** *disj-commute*:  $(P \ | \ Q) = (Q \ | \ P)$   
**and** *disj-left-commute*:  $(P \ | \ (Q \ | \ R)) = (Q \ | \ (P \ | \ R))$  **by** *iprover*+  
**lemma** *disj-assoc*:  $((P \ | \ Q) \ | \ R) = (P \ | \ (Q \ | \ R))$  **by** *iprover*

**lemmas** *disj-ac* = *disj-commute disj-left-commute disj-assoc*

**lemma** *conj-disj-distribL*:  $(P \ \& \ (Q \ | \ R)) = (P \ \& \ Q \ | \ P \ \& \ R)$  **by** *iprover*  
**lemma** *conj-disj-distribR*:  $((P \ | \ Q) \ \& \ R) = (P \ \& \ R \ | \ Q \ \& \ R)$  **by** *iprover*

**lemma** *disj-conj-distribL*:  $(P \ | \ (Q \ \& \ R)) = ((P \ | \ Q) \ \& \ (P \ | \ R))$  **by** *iprover*  
**lemma** *disj-conj-distribR*:  $((P \ \& \ Q) \ | \ R) = ((P \ | \ R) \ \& \ (Q \ | \ R))$  **by** *iprover*

**lemma** *imp-conjR*:  $(P \ \longrightarrow \ (Q \ \& \ R)) = ((P \ \longrightarrow \ Q) \ \& \ (P \ \longrightarrow \ R))$  **by** *iprover*  
**lemma** *imp-conjL*:  $((P \ \& \ Q) \ \longrightarrow \ R) = (P \ \longrightarrow \ (Q \ \longrightarrow \ R))$  **by** *iprover*  
**lemma** *imp-disjL*:  $((P \ | \ Q) \ \longrightarrow \ R) = ((P \ \longrightarrow \ R) \ \& \ (Q \ \longrightarrow \ R))$  **by** *iprover*

These two are specialized, but *imp-disj-not1* is useful in *Auth/Yahalom*.

**lemma** *imp-disj-not1*:  $(P \ \longrightarrow \ Q \ | \ R) = (\sim Q \ \longrightarrow \ P \ \longrightarrow \ R)$  **by** *blast*  
**lemma** *imp-disj-not2*:  $(P \ \longrightarrow \ Q \ | \ R) = (\sim R \ \longrightarrow \ P \ \longrightarrow \ Q)$  **by** *blast*

**lemma** *imp-disj1*:  $((P \ \longrightarrow \ Q) \ | \ R) = (P \ \longrightarrow \ Q \ | \ R)$  **by** *blast*  
**lemma** *imp-disj2*:  $(Q \ | \ (P \ \longrightarrow \ R)) = (P \ \longrightarrow \ Q \ | \ R)$  **by** *blast*

**lemma** *imp-cong*:  $(P = P') \implies (P' \implies (Q = Q')) \implies ((P \ \longrightarrow \ Q) = (P' \ \longrightarrow \ Q'))$   
**by** *iprover*

**lemma** *de-Morgan-disj*:  $(\sim(P \mid Q)) = (\sim P \ \& \ \sim Q)$  **by** *iprover*  
**lemma** *de-Morgan-conj*:  $(\sim(P \ \& \ Q)) = (\sim P \mid \sim Q)$  **by** *blast*  
**lemma** *not-imp*:  $(\sim(P \dashrightarrow Q)) = (P \ \& \ \sim Q)$  **by** *blast*  
**lemma** *not-iff*:  $(P \sim Q) = (P = (\sim Q))$  **by** *blast*  
**lemma** *disj-not1*:  $(\sim P \mid Q) = (P \dashrightarrow Q)$  **by** *blast*  
**lemma** *disj-not2*:  $(P \mid \sim Q) = (Q \dashrightarrow P)$  — changes orientation :-(  
**by** *blast*  
**lemma** *imp-conv-disj*:  $(P \dashrightarrow Q) = ((\sim P) \mid Q)$  **by** *blast*  
  
**lemma** *iff-conv-conj-imp*:  $(P = Q) = ((P \dashrightarrow Q) \ \& \ (Q \dashrightarrow P))$  **by** *iprover*  
  
**lemma** *cases-simp*:  $((P \dashrightarrow Q) \ \& \ (\sim P \dashrightarrow Q)) = Q$   
— Avoids duplication of subgoals after *split-if*, when the true and false  
— cases boil down to the same thing.  
**by** *blast*  
  
**lemma** *not-all*:  $(\sim (! x. P(x))) = (? x. \sim P(x))$  **by** *blast*  
**lemma** *imp-all*:  $((! x. P \ x) \dashrightarrow Q) = (? x. P \ x \dashrightarrow Q)$  **by** *blast*  
**lemma** *not-ex*:  $(\sim (? x. P(x))) = (! x. \sim P(x))$  **by** *iprover*  
**lemma** *imp-ex*:  $((? x. P \ x) \dashrightarrow Q) = (! x. P \ x \dashrightarrow Q)$  **by** *iprover*  
**lemma** *all-not-ex*:  $(ALL \ x. P \ x) = (\sim (EX \ x. \sim P \ x))$  **by** *blast*  
  
**declare** *All-def* [*no-atp*]  
  
**lemma** *ex-disj-distrib*:  $(? x. P(x) \mid Q(x)) = ((? x. P(x)) \mid (? x. Q(x)))$  **by** *iprover*  
**lemma** *all-conj-distrib*:  $(! x. P(x) \ \& \ Q(x)) = ((! x. P(x)) \ \& \ (! x. Q(x)))$  **by** *iprover*  
  
The  $\&$  congruence rule: not included by default! May slow rewrite proofs  
down by as much as 50%  
  
**lemma** *conj-cong*:  
 $(P = P') ==> (P' ==> (Q = Q')) ==> ((P \ \& \ Q) = (P' \ \& \ Q'))$   
**by** *iprover*  
  
**lemma** *rev-conj-cong*:  
 $(Q = Q') ==> (Q' ==> (P = P')) ==> ((P \ \& \ Q) = (P' \ \& \ Q'))$   
**by** *iprover*  
  
The  $\mid$  congruence rule: not included by default!  
  
**lemma** *disj-cong*:  
 $(P = P') ==> (\sim P' ==> (Q = Q')) ==> ((P \mid Q) = (P' \mid Q'))$   
**by** *blast*  
  
if-then-else rules  
  
**lemma** *if-True* [*code*]:  $(if \ True \ then \ x \ else \ y) = x$   
**by** (*unfold if-def*) *blast*  
  
**lemma** *if-False* [*code*]:  $(if \ False \ then \ x \ else \ y) = y$

```

by (unfold if-def) blast

lemma if-P:  $P \implies (if\ P\ then\ x\ else\ y) = x$ 
by (unfold if-def) blast

lemma if-not-P:  $\sim P \implies (if\ P\ then\ x\ else\ y) = y$ 
by (unfold if-def) blast

lemma split-if:  $P (if\ Q\ then\ x\ else\ y) = ((Q \implies P(x)) \ \&\ (\sim Q \implies P(y)))$ 
apply (rule case-split [of Q])
apply (simplesubst if-P)
prefer 3 apply (simplesubst if-not-P, blast+)
done

lemma split-if-asm:  $P (if\ Q\ then\ x\ else\ y) = (\sim((Q \ \&\ \sim P\ x) \mid (\sim Q \ \&\ \sim P\ y)))$ 
by (simplesubst split-if, blast)

lemmas if-splits [no-atp] = split-if split-if-asm

lemma if-cancel:  $(if\ c\ then\ x\ else\ x) = x$ 
by (simplesubst split-if, blast)

lemma if-eq-cancel:  $(if\ x = y\ then\ y\ else\ x) = x$ 
by (simplesubst split-if, blast)

lemma if-bool-eq-conj:  $(if\ P\ then\ Q\ else\ R) = ((P \implies Q) \ \&\ (\sim P \implies R))$ 
  — This form is useful for expanding ifs on the RIGHT of the  $\implies$  symbol.
by (rule split-if)

lemma if-bool-eq-disj:  $(if\ P\ then\ Q\ else\ R) = ((P \ \&\ Q) \mid (\sim P \ \&\ R))$ 
  — And this form is useful for expanding ifs on the LEFT.
apply (simplesubst split-if, blast)
done

lemma Eq-TrueI:  $P \implies P == True$  by (unfold atomize-eq) iprover
lemma Eq-FalseI:  $\sim P \implies P == False$  by (unfold atomize-eq) iprover

let rules for simproc

lemma Let-folded:  $f\ x \equiv g\ x \implies Let\ x\ f \equiv Let\ x\ g$ 
by (unfold Let-def)

lemma Let-unfold:  $f\ x \equiv g \implies Let\ x\ f \equiv g$ 
by (unfold Let-def)

The following copy of the implication operator is useful for fine-tuning congruence rules. It instructs the simplifier to simplify its premise.

definition simp-implies ::  $[prop, prop] \implies prop$  (infixr =simp=> 1) where
  [code del]:  $simp-implies \equiv op \implies$ 

```



```

lemma simp-impliesI:
  assumes  $PQ: (PROP\ P \Longrightarrow PROP\ Q)$ 
  shows  $PROP\ P =_{simp}=> PROP\ Q$ 
  apply (unfold simp-implies-def)
  apply (rule  $PQ$ )
  apply assumption
  done

lemma simp-impliesE:
  assumes  $PQ: PROP\ P =_{simp}=> PROP\ Q$ 
  and  $P: PROP\ P$ 
  and  $QR: PROP\ Q \Longrightarrow PROP\ R$ 
  shows  $PROP\ R$ 
  apply (rule  $QR$ )
  apply (rule  $PQ$  [unfolded simp-implies-def])
  apply (rule  $P$ )
  done

lemma simp-implies-cong:
  assumes  $PP': PROP\ P == PROP\ P'$ 
  and  $P'QQ': PROP\ P' ==> (PROP\ Q == PROP\ Q')$ 
  shows  $(PROP\ P =_{simp}=> PROP\ Q) == (PROP\ P' =_{simp}=> PROP\ Q')$ 
proof (unfold simp-implies-def, rule equal-intr-rule)
  assume  $PQ: PROP\ P \Longrightarrow PROP\ Q$ 
  and  $P': PROP\ P'$ 
  from  $PP'$  [symmetric] and  $P'$  have  $PROP\ P$ 
    by (rule equal-elim-rule1)
  then have  $PROP\ Q$  by (rule  $PQ$ )
  with  $P'QQ'$  [OF P'] show  $PROP\ Q'$  by (rule equal-elim-rule1)
next
  assume  $P'Q': PROP\ P' \Longrightarrow PROP\ Q'$ 
  and  $P: PROP\ P$ 
  from  $PP'$  and  $P$  have  $P': PROP\ P'$  by (rule equal-elim-rule1)
  then have  $PROP\ Q'$  by (rule  $P'Q'$ )
  with  $P'QQ'$  [OF P', symmetric] show  $PROP\ Q$ 
    by (rule equal-elim-rule1)
qed

lemma uncurry:
  assumes  $P \longrightarrow Q \longrightarrow R$ 
  shows  $P \wedge Q \longrightarrow R$ 
  using assms by blast

lemma iff-allI:
  assumes  $\bigwedge x. P\ x = Q\ x$ 
  shows  $(\forall x. P\ x) = (\forall x. Q\ x)$ 
  using assms by blast

```

```

lemma iff-exI:
  assumes  $\bigwedge x. P\ x = Q\ x$ 
  shows  $(\exists x. P\ x) = (\exists x. Q\ x)$ 
  using assms by blast

```

```

lemma all-comm:
   $(\forall x\ y. P\ x\ y) = (\forall y\ x. P\ x\ y)$ 
  by blast

```

```

lemma ex-comm:
   $(\exists x\ y. P\ x\ y) = (\exists y\ x. P\ x\ y)$ 
  by blast

```

```

use Tools/simpdata.ML
ML  $\ll$  open Simpdata  $\gg$ 

```

```

setup  $\ll$ 
  Simplifier.method-setup Splitter.split-modifiers
   $\#>$  Simplifier.map-simpset (K Simpdata.simpset-simprocs)
   $\#>$  Splitter.setup
   $\#>$  clasimp-setup
   $\#>$  EqSubst.setup
 $\gg$ 

```

Simproc for proving  $(y = x) == \text{False}$  from premise  $\sim(x = y)$ :

```

simproc-setup neq (x = y) =  $\ll$  fn - =>
  let
    val neq-to-EQ-False = @{thm not-sym} RS @{thm Eq-FalseI};
    fun is-neq eq lhs rhs thm =
      (case Thm.prop-of thm of
        - $ (Not $ (eq' $ l' $ r')) =>
          Not = HOLogic.Not andalso eq' = eq andalso
          r' aconv lhs andalso l' aconv rhs
        | - => false);
    fun proc ss ct =
      (case Thm.term-of ct of
        eq $ lhs $ rhs =>
          (case find-first (is-neq eq lhs rhs) (Simplifier.premis-of-ss ss) of
            SOME thm => SOME (thm RS neq-to-EQ-False)
          | NONE => NONE)
        | - => NONE);
  in proc end;
 $\gg$ 

```

```

simproc-setup let-simp (Let x f) =  $\ll$ 
  let
    val (f-Let-unfold, x-Let-unfold) =
      let val [(- $ (f $ x) $ -)] = premis-of @{thm Let-unfold}
      in (cterm-of @{theory} f, cterm-of @{theory} x) end

```

```

val (f-Let-folded, x-Let-folded) =
  let val [(- $ (f $ x) $ -)] = prems-of @{thm Let-folded}
  in (cterm-of @{theory} f, cterm-of @{theory} x) end;
val g-Let-folded =
  let val [(- $ - $ (g $ -))] = prems-of @{thm Let-folded}
  in cterm-of @{theory} g end;
fun count-loose (Bound i) k = if i >= k then 1 else 0
  | count-loose (s $ t) k = count-loose s k + count-loose t k
  | count-loose (Abs (-, -, t)) k = count-loose t (k + 1)
  | count-loose - - = 0;
fun is-trivial-let (Const (@{const-name Let}, -) $ x $ t) =
  case t
  of Abs (-, -, t') => count-loose t' 0 <= 1
  | - => true;
in fn - => fn ss => fn ct => if is-trivial-let (Thm.term-of ct)
  then SOME @{thm Let-def} (*no or one occurrence of bound variable*)
  else let (*Norbert Schirmer's case*)
    val ctxt = Simplifier.the-context ss;
    val thy = ProofContext.theory-of ctxt;
    val t = Thm.term-of ct;
    val ([t'], ctxt') = Variable.import-terms false [t] ctxt;
  in Option.map (hd o Variable.export ctxt' ctxt o single)
    (case t' of Const (@{const-name Let},-) $ x $ f => (* x and f are already in
normal form *))
    if is-Free x orelse is-Bound x orelse is-Const x
    then SOME @{thm Let-def}
    else
      let
        val n = case f of (Abs (x, -, -)) => x | - => x;
        val cx = cterm-of thy x;
        val {T = xT, ...} = rep-cterm cx;
        val cf = cterm-of thy f;
        val fx-g = Simplifier.rewrite ss (Thm.capply cf cx);
        val (- $ - $ g) = prop-of fx-g;
        val g' = abstract-over (x,g);
      in (if (g aconv g')
        then
          let
            val rl =
              cterm-instantiate [(f-Let-unfold, cf), (x-Let-unfold, cx)] @{thm
Let-unfold};
          in SOME (rl OF [fx-g]) end
        else if Term.betapply (f, x) aconv g then NONE (*avoid identity
conversion*)
        else let
          val abs-g' = Abs (n,xT,g');
          val g'x = abs-g'$x;
          val g-g'x = Thm.symmetric (Thm.beta-conversion false (cterm-of
thy g'x));

```

```

      val rl = cterm-instantiate
        [(f-Let-folded, cterm-of thy f), (x-Let-folded, cx),
         (g-Let-folded, cterm-of thy abs-g')]
        @{thm Let-folded};
    in SOME (rl OF [Thm.transitive fx-g g-g'x])
    end)
  end
| - => NONE)
end
end >>

```

**lemma** *True-implies-equals*:  $(\text{True} \implies \text{PROP } P) \equiv \text{PROP } P$

**proof**

**assume**  $\text{True} \implies \text{PROP } P$

**from** *this* [OF TrueI] **show**  $\text{PROP } P$  .

**next**

**assume**  $\text{PROP } P$

**then show**  $\text{PROP } P$  .

**qed**

**lemma** *ex-simps*:

!!P Q.  $(\text{EX } x. P \ x \ \& \ Q) = ((\text{EX } x. P \ x) \ \& \ Q)$

!!P Q.  $(\text{EX } x. P \ \& \ Q \ x) = (P \ \& \ (\text{EX } x. Q \ x))$

!!P Q.  $(\text{EX } x. P \ x \ | \ Q) = ((\text{EX } x. P \ x) \ | \ Q)$

!!P Q.  $(\text{EX } x. P \ | \ Q \ x) = (P \ | \ (\text{EX } x. Q \ x))$

!!P Q.  $(\text{EX } x. P \ x \ \longrightarrow \ Q) = ((\text{ALL } x. P \ x) \ \longrightarrow \ Q)$

!!P Q.  $(\text{EX } x. P \ \longrightarrow \ Q \ x) = (P \ \longrightarrow \ (\text{EX } x. Q \ x))$

— Miniscoping: pushing in existential quantifiers.

**by** (*iprover* | *blast*)+

**lemma** *all-simps*:

!!P Q.  $(\text{ALL } x. P \ x \ \& \ Q) = ((\text{ALL } x. P \ x) \ \& \ Q)$

!!P Q.  $(\text{ALL } x. P \ \& \ Q \ x) = (P \ \& \ (\text{ALL } x. Q \ x))$

!!P Q.  $(\text{ALL } x. P \ x \ | \ Q) = ((\text{ALL } x. P \ x) \ | \ Q)$

!!P Q.  $(\text{ALL } x. P \ | \ Q \ x) = (P \ | \ (\text{ALL } x. Q \ x))$

!!P Q.  $(\text{ALL } x. P \ x \ \longrightarrow \ Q) = ((\text{EX } x. P \ x) \ \longrightarrow \ Q)$

!!P Q.  $(\text{ALL } x. P \ \longrightarrow \ Q \ x) = (P \ \longrightarrow \ (\text{ALL } x. Q \ x))$

— Miniscoping: pushing in universal quantifiers.

**by** (*iprover* | *blast*)+

**lemmas** [*simp*] =

*triv-forall-equality*

*True-implies-equals*

*if-True*

*if-False*

*if-cancel*

*if-eq-cancel*

*imp-disjL*

*conj-assoc*  
*disj-assoc*  
*de-Morgan-conj*  
*de-Morgan-disj*  
*imp-disj1*  
*imp-disj2*  
*not-imp*  
*disj-not1*  
*not-all*  
*not-ex*  
*cases-simp*  
*the-eq-trivial*  
*the-sym-eq-trivial*  
*ex-simps*  
*all-simps*  
*simp-thms*

**lemmas**  $[cong] = imp-cong \text{ simp-implies-cong}$

**lemmas**  $[split] = split-if$

**ML**  $\ll \text{val HOL-ss} = @\{simpset\} \gg$

Simplifies  $x$  assuming  $c$  and  $y$  assuming  $\neg c$

**lemma** *if-cong*:

**assumes**  $b = c$

**and**  $c \implies x = u$

**and**  $\neg c \implies y = v$

**shows**  $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v)$

**unfolding** *if-def* **using** *assms* **by** *simp*

Prevents simplification of  $x$  and  $y$ : faster and allows the execution of functional programs.

**lemma** *if-weak-cong*  $[cong]$ :

**assumes**  $b = c$

**shows**  $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } x \text{ else } y)$

**using** *assms* **by**  $(\text{rule } arg-cong)$

Prevents simplification of  $t$ : much faster

**lemma** *let-weak-cong*:

**assumes**  $a = b$

**shows**  $(\text{let } x = a \text{ in } t \ x) = (\text{let } x = b \text{ in } t \ x)$

**using** *assms* **by**  $(\text{rule } arg-cong)$

To tidy up the result of a *simproc*. Only the RHS will be simplified.

**lemma** *eq-cong2*:

**assumes**  $u = u'$

**shows**  $(t \equiv u) \equiv (t \equiv u')$

**using** *assms* **by** *simp*

**lemma** *if-distrib*:

$f \text{ (if } c \text{ then } x \text{ else } y) = (\text{if } c \text{ then } f \ x \text{ else } f \ y)$   
**by** *simp*

This lemma restricts the effect of the rewrite rule  $u=v$  to the left-hand side of an equality. Used in  $\{Integ, Real\}/simproc.ML$

**lemma** *restrict-to-left*:

**assumes**  $x = y$   
**shows**  $(x = z) = (y = z)$   
**using** *assms* **by** *simp*

### 2.3.4 Generic cases and induction

Rule projections:

**ML**  $\langle\langle$   
 $structure \ Project\text{-}Rule = \ Project\text{-}Rule$   
 $($   
 $\quad val \ conjunct1 = @\{thm \ conjunct1\}$   
 $\quad val \ conjunct2 = @\{thm \ conjunct2\}$   
 $\quad val \ mp = @\{thm \ mp\}$   
 $)$   
 $\rangle\rangle$

**definition** *induct-forall* **where**

$induct\text{-}forall \ P == \forall x. \ P \ x$

**definition** *induct-implies* **where**

$induct\text{-}implies \ A \ B == A \longrightarrow B$

**definition** *induct-equal* **where**

$induct\text{-}equal \ x \ y == x = y$

**definition** *induct-conj* **where**

$induct\text{-}conj \ A \ B == A \wedge B$

**definition** *induct-true* **where**

$induct\text{-}true == True$

**definition** *induct-false* **where**

$induct\text{-}false == False$

**lemma** *induct-forall-eq*:  $(!!x. \ P \ x) == Trueprop \ (induct\text{-}forall \ (\lambda x. \ P \ x))$

**by** *(unfold atomize-all induct-forall-def)*

**lemma** *induct-implies-eq*:  $(A ==> B) == Trueprop \ (induct\text{-}implies \ A \ B)$

**by** *(unfold atomize-imp induct-implies-def)*

```

lemma induct-equal-eq: (x == y) == Trueprop (induct-equal x y)
  by (unfold atomize-eq induct-equal-def)

lemma induct-conj-eq: (A &&& B) == Trueprop (induct-conj A B)
  by (unfold atomize-conj induct-conj-def)

lemmas induct-atomize' = induct-forall-eq induct-implies-eq induct-conj-eq
lemmas induct-atomize = induct-atomize' induct-equal-eq
lemmas induct-rulify' [symmetric, standard] = induct-atomize'
lemmas induct-rulify [symmetric, standard] = induct-atomize
lemmas induct-rulify-fallback =
  induct-forall-def induct-implies-def induct-equal-def induct-conj-def
  induct-true-def induct-false-def

lemma induct-forall-conj: induct-forall (λx. induct-conj (A x) (B x)) =
  induct-conj (induct-forall A) (induct-forall B)
  by (unfold induct-forall-def induct-conj-def) iprover

lemma induct-implies-conj: induct-implies C (induct-conj A B) =
  induct-conj (induct-implies C A) (induct-implies C B)
  by (unfold induct-implies-def induct-conj-def) iprover

lemma induct-conj-curry: (induct-conj A B ==> PROP C) == (A ==> B ==>
  PROP C)
proof
  assume r: induct-conj A B ==> PROP C and A B
  show PROP C by (rule r) (simp add: induct-conj-def ⟨A⟩ ⟨B⟩)
next
  assume r: A ==> B ==> PROP C and induct-conj A B
  show PROP C by (rule r) (simp-all add: ⟨induct-conj A B⟩ [unfolded induct-conj-def])
qed

lemmas induct-conj = induct-forall-conj induct-implies-conj induct-conj-curry

lemma induct-trueI: induct-true
  by (simp add: induct-true-def)

```

Method setup.

```

ML ⟨⟨
  structure Induct = Induct
  (
    val cases-default = @{thm case-split}
    val atomize = @{thms induct-atomize}
    val rulify = @{thms induct-rulify}
    val rulify-fallback = @{thms induct-rulify-fallback}
    val equal-def = @{thm induct-equal-def}
    fun dest-def (Const (@{const-name induct-equal}, -) $ t $ u) = SOME (t, u)
    | dest-def - = NONE
  )

```

```

    val trivial-tac = match-tac @{thms induct-trueI}
  )
  >>

setup <<
  Induct.setup #>
  Context.theory-map (Induct.map-simpset (fn ss => ss
    setmksimps (fn ss => Simpdata.mksimps Simpdata.mksimps-pairs ss #>
      map (Simplifier.rewrite-rule (map Thm.symmetric
        @{thms induct-rulify-fallback}))))
  addsimprocs
  [Simplifier.simproc @{theory} swap-induct-false
    [induct-false ==> PROP P ==> PROP Q]
    (fn - => fn - =>
      (fn - $ (P as - $ @{const induct-false}) $ (- $ Q $ -) =>
        if P <> Q then SOME Drule.swap-prems-eq else NONE
        | - => NONE)),
  Simplifier.simproc @{theory} induct-equal-conj-curry
    [induct-conj P Q ==> PROP R]
    (fn - => fn - =>
      (fn - $ (- $ P) $ - =>
        let
          fun is-conj (@{const induct-conj} $ P $ Q) =
            is-conj P andalso is-conj Q
            | is-conj (Const (@{const-name induct-equal}, -) $ - $ -) = true
            | is-conj @{const induct-true} = true
            | is-conj @{const induct-false} = true
            | is-conj - = false
          in if is-conj P then SOME @{thm induct-conj-curry} else NONE end
          | - => NONE)))]))
  >>

```

Pre-simplification of induction and cases rules

**lemma** [induct-simp]: (!x. induct-equal x t ==> PROP P x) == PROP P t  
**unfolding** induct-equal-def

**proof**

**assume** R: !x. x = t ==> PROP P x

**show** PROP P t **by** (rule R [OF refl])

**next**

**fix** x **assume** PROP P t x = t

**then show** PROP P x **by** simp

**qed**

**lemma** [induct-simp]: (!x. induct-equal t x ==> PROP P x) == PROP P t  
**unfolding** induct-equal-def

**proof**

**assume** R: !x. t = x ==> PROP P x

**show** PROP P t **by** (rule R [OF refl])

**next**



```

fix  $x$  assume  $PROP\ P\ t\ t = x$ 
then show  $PROP\ P\ x$  by simp
qed

```

```

lemma [induct-simp]: ( $induct\ false ==> P$ ) == Trueprop induct-true
unfolding induct-false-def induct-true-def
by (iprover intro: equal-intr-rule)

```

```

lemma [induct-simp]: ( $induct\ true ==> PROP\ P$ ) ==  $PROP\ P$ 
unfolding induct-true-def
proof
  assume  $R: True \implies PROP\ P$ 
  from TrueI show  $PROP\ P$  by (rule R)
next
  assume  $PROP\ P$ 
  then show  $PROP\ P$  .
qed

```

```

lemma [induct-simp]: ( $PROP\ P ==> induct\ true$ ) == Trueprop induct-true
unfolding induct-true-def
by (iprover intro: equal-intr-rule)

```

```

lemma [induct-simp]: ( $!!x. induct\ true$ ) == Trueprop induct-true
unfolding induct-true-def
by (iprover intro: equal-intr-rule)

```

```

lemma [induct-simp]:  $induct\ implies\ induct\ true\ P == P$ 
by (simp add: induct-implies-def induct-true-def)

```

```

lemma [induct-simp]: ( $x = x$ ) = True
by (rule simp-thms)

```

```

hide-const induct-forall induct-implies induct-equal induct-conj induct-true induct-false

```

```

use  $\sim\sim/src/Tools/induct-tacs.ML$ 
setup InductTacs.setup

```

### 2.3.5 Coherent logic

```

ML <<
  structure Coherent = Coherent
  (
    val atomize-elimL = @{thm atomize-elimL}
    val atomize-exL = @{thm atomize-exL}
    val atomize-conjL = @{thm atomize-conjL}
    val atomize-disjL = @{thm atomize-disjL}
    val operator-names =
      [ @{const-name op |}, @{const-name op &}, @{const-name Ex} ]
  );

```

»

**setup** *Coherent.setup*

### 2.3.6 Reorienting equalities

```

ML <<
signature REORIENT-PROC =
sig
  val add : (term -> bool) -> theory -> theory
  val proc : morphism -> simpset -> cterm -> thm option
end;

structure Reorient-Proc : REORIENT-PROC =
struct
  structure Data = Theory-Data
  (
    type T = ((term -> bool) * stamp) list;
    val empty = [];
    val extend = I;
    fun merge data : T = Library.merge (eq-snd op =) data;
  );
  fun add m = Data.map (cons (m, stamp ())) ;
  fun matches thy t = exists (fn (m, -) => m t) (Data.get thy);

  val meta-reorient = @{thm eq-commute [THEN eq-reflection]};
  fun proc phi ss ct =
    let
      val ctxt = Simplifier.the-context ss;
      val thy = ProofContext.theory-of ctxt;
    in
      case Thm.term-of ct of
        (- $ t $ u) => if matches thy u then NONE else SOME meta-reorient
      | - => NONE
    end;
end;
>>

```

## 2.4 Other simple lemmas and lemma duplicates

**lemma** *ex1-eq* [*iff*]:  $EX! x. x = t \rightarrow EX! x. t = x$   
**by** *blast+*

**lemma** *choice-eq*:  $(ALL x. EX! y. P x y) = (EX! f. ALL x. P x (f x))$   
**apply** (*rule iffI*)  
**apply** (*rule-tac a = %x. THE y. P x y in ex1I*)  
**apply** (*fast dest!: theI'*)  
**apply** (*fast intro: ext the1-equality [symmetric]*)  
**apply** (*erule ex1E*)  
**apply** (*rule allI*)

```

apply (rule ex1I)
apply (erule spec)
apply (erule-tac  $x = \%z.$  if  $z = x$  then  $y$  else  $f z$  in allE)
apply (erule impE)
apply (rule allI)
apply (case-tac  $xa = x$ )
apply (drule-tac  $[\beta]$   $x = x$  in fun-cong, simp-all)
done

```

**lemmas** eq-sym-conv = eq-commute

**lemma** nnf-simps:

$$\begin{aligned}
 (\neg(P \wedge Q)) &= (\neg P \vee \neg Q) \quad (\neg(P \vee Q)) = (\neg P \wedge \neg Q) \quad (P \longrightarrow Q) = (\neg P \vee Q) \\
 (P = Q) &= ((P \wedge Q) \vee (\neg P \wedge \neg Q)) \quad (\neg(P = Q)) = ((P \wedge \neg Q) \vee (\neg P \wedge Q)) \\
 (\neg \neg(P)) &= P
 \end{aligned}$$

**by** blast+

## 2.5 Basic ML bindings

```

ML ⟨⟨
  val FalseE = @{thm FalseE}
  val Let-def = @{thm Let-def}
  val TrueI = @{thm TrueI}
  val allE = @{thm allE}
  val allI = @{thm allI}
  val all-dupE = @{thm all-dupE}
  val arg-cong = @{thm arg-cong}
  val box-equals = @{thm box-equals}
  val ccontr = @{thm ccontr}
  val classical = @{thm classical}
  val conjE = @{thm conjE}
  val conjI = @{thm conjI}
  val conjunct1 = @{thm conjunct1}
  val conjunct2 = @{thm conjunct2}
  val disjCI = @{thm disjCI}
  val disjE = @{thm disjE}
  val disjI1 = @{thm disjI1}
  val disjI2 = @{thm disjI2}
  val eq-reflection = @{thm eq-reflection}
  val ex1E = @{thm ex1E}
  val ex1I = @{thm ex1I}
  val ex1-implies-ex = @{thm ex1-implies-ex}
  val exE = @{thm exE}
  val exI = @{thm exI}
  val excluded-middle = @{thm excluded-middle}
  val ext = @{thm ext}
  val fun-cong = @{thm fun-cong}
  val iffD1 = @{thm iffD1}

```

```

val iffD2 = @{thm iffD2}
val iffI = @{thm iffI}
val impE = @{thm impE}
val impI = @{thm impI}
val meta-eq-to-obj-eq = @{thm meta-eq-to-obj-eq}
val mp = @{thm mp}
val notE = @{thm notE}
val notI = @{thm notI}
val not-all = @{thm not-all}
val not-ex = @{thm not-ex}
val not-iff = @{thm not-iff}
val not-not = @{thm not-not}
val not-sym = @{thm not-sym}
val refl = @{thm refl}
val rev-mp = @{thm rev-mp}
val spec = @{thm spec}
val ssubst = @{thm ssubst}
val subst = @{thm subst}
val sym = @{thm sym}
val trans = @{thm trans}
>>

```

## 2.6 Code generator setup

### 2.6.1 SML code generator setup

```
use Tools/recfun-codegen.ML
```

```

setup <<
  Codegen.setup
  #> RecfunCodegen.setup
  #> Codegen.map-unfold (K HOL-basic-ss)
>>

types-code
  bool (bool)
attach (term-of) <<
  fun term-of-bool b = if b then HOLogic.true-const else HOLogic.false-const;
>>
attach (test) <<
  fun gen-bool i =
    let val b = one-of [false, true]
    in (b, fn () => term-of-bool b) end;
>>
  prop (bool)
attach (term-of) <<
  fun term-of-prop b =
    HOLogic.mk-Trueprop (if b then HOLogic.true-const else HOLogic.false-const);
>>

```

**consts-code**

```

Trueprop ((-))
True      (true)
False     (false)
Not       (Bool.not)
op |      ((- orelse/ -))
op &      ((- andalso/ -))
If        ((if -/ then -/ else -))

```

**setup**  $\ll$ 

```

let

```

```

fun eq-codegen thy defs dep thyname b t gr =
  (case strip-comb t of
    (Const (@{const-name op =}, Type (-, [Type (fun, -), -]), -) => NONE
  | (Const (@{const-name op =}, -, [t, u]) =>
    let
      val (pt, gr') = Codegen.invoke-codegen thy defs dep thyname false t gr;
      val (pu, gr'') = Codegen.invoke-codegen thy defs dep thyname false u gr';
      val (-, gr''') = Codegen.invoke-tycodegen thy defs dep thyname false
        HOLLogic.boolT gr'';
    in
      SOME (Codegen.parens
        (Pretty.block [pt, Codegen.str =, Pretty.brk 1, pu]), gr''')
    end
  | (t as Const (@{const-name op =}, -, ts) => SOME (Codegen.invoke-codegen
    thy defs dep thyname b (Codegen.eta-expand t ts 2) gr)
  | - => NONE);

in
  Codegen.add-codegen eq-codegen eq-codegen
end

```

**2.6.2 Generic code generator preprocessor setup****setup**  $\ll$ 

```

Code-Preproc.map-pre (K HOL-basic-ss)
#> Code-Preproc.map-post (K HOL-basic-ss)

```

**2.6.3 Equality****class** *eq* =

```

fixes eq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
assumes eq-equals: eq x y  $\longleftrightarrow$  x = y

```

**begin**

```

lemma eq [code-unfold, code-inline del]: eq = (op =)

```

```

by (rule ext eq-equals)+

```

```

lemma eq-refl:  $eq\ x\ x \longleftrightarrow True$ 
  unfolding eq by rule+

lemma equals-eq:  $(op =) \equiv eq$ 
  by (rule eq-reflection) (rule ext, rule ext, rule sym, rule eq-equals)

declare equals-eq [symmetric, code-post]

end

declare equals-eq [code]

setup ⟨⟨
  Code-Preproc.map-pre (fn simpset =>
    simpset addsimprocs [Simplifier.simproc-i @{theory} eq [@{term op =}]
      (fn thy => fn - => fn t as Const (-, T) => case strip-type T
        of ((T as Type -) :: -, -) => SOME @{thm equals-eq}
          | - => NONE))])
  ⟩⟩

```

## 2.6.4 Generic code generator foundation

Datatypes

**code-datatype** *True False*

**code-datatype** *TYPE('a::{})*

**code-datatype** *prop Trueprop*

Code equations

```

lemma [code]:
  shows  $(True \implies PROP\ Q) \equiv PROP\ Q$ 
  and  $(PROP\ Q \implies True) \equiv Trueprop\ True$ 
  and  $(P \implies R) \equiv Trueprop\ (P \longrightarrow R)$  by (auto intro!: equal-intr-rule)

```

```

lemma [code]:
  shows  $False \wedge P \longleftrightarrow False$ 
  and  $True \wedge P \longleftrightarrow P$ 
  and  $P \wedge False \longleftrightarrow False$ 
  and  $P \wedge True \longleftrightarrow P$  by simp-all

```

```

lemma [code]:
  shows  $False \vee P \longleftrightarrow P$ 
  and  $True \vee P \longleftrightarrow True$ 
  and  $P \vee False \longleftrightarrow P$ 
  and  $P \vee True \longleftrightarrow True$  by simp-all

```

```

lemma [code]:

```

```

shows (False  $\longrightarrow$  P)  $\longleftrightarrow$  True
and (True  $\longrightarrow$  P)  $\longleftrightarrow$  P
and (P  $\longrightarrow$  False)  $\longleftrightarrow$   $\neg$  P
and (P  $\longrightarrow$  True)  $\longleftrightarrow$  True by simp-all

instantiation itself :: (type) eq
begin

definition eq-itself :: 'a itself  $\Rightarrow$  'a itself  $\Rightarrow$  bool where
  eq-itself x y  $\longleftrightarrow$  x = y

instance proof
qed (fact eq-itself-def)

end

lemma eq-itself-code [code]:
  eq-class.eq TYPE('a) TYPE('a)  $\longleftrightarrow$  True
  by (simp add: eq)

Equality
declare simp-thms(6) [code nbe]

setup  $\langle\langle$ 
  Sign.add-const-constraint (@{const-name eq}, SOME @{typ 'a::type  $\Rightarrow$  'a  $\Rightarrow$  bool})
 $\rangle\rangle$ 

lemma equals-alias-cert: OFCLASS('a, eq-class)  $\equiv$  ((op = :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\equiv$ 
eq) (is ?ofclass  $\equiv$  ?eq)
proof
  assume PROP ?ofclass
  show PROP ?eq
  by (tactic  $\langle\langle$  ALLGOALS (rtac (Thm.unconstrainT @{thm equals-eq})  $\rangle\rangle$ )
    (fact  $\langle$ PROP ?ofclass $\rangle$ )
  next
  assume PROP ?eq
  show PROP ?ofclass proof
  qed (simp add:  $\langle$ PROP ?eq $\rangle$ )
qed

setup  $\langle\langle$ 
  Sign.add-const-constraint (@{const-name eq}, SOME @{typ 'a::eq  $\Rightarrow$  'a  $\Rightarrow$  bool})
 $\rangle\rangle$ 

setup  $\langle\langle$ 
  Nbe.add-const-alias @{thm equals-alias-cert}
 $\rangle\rangle$ 

```

**hide-const** (**open**) *eq*

Cases

**lemma** *Let-case-cert*:

**assumes**  $CASE \equiv (\lambda x. \text{Let } x \text{ } f)$   
**shows**  $CASE \ x \equiv f \ x$   
**using** *assms* **by** *simp-all*

**lemma** *If-case-cert*:

**assumes**  $CASE \equiv (\lambda b. \text{If } b \text{ } f \text{ } g)$   
**shows**  $(CASE \ True \equiv f) \ \&\&\& \ (CASE \ False \equiv g)$   
**using** *assms* **by** *simp-all*

**setup**  $\langle\langle$

*Code.add-case*  $\@ \{thm \text{ Let-case-cert} \}$   
 $\#>$  *Code.add-case*  $\@ \{thm \text{ If-case-cert} \}$   
 $\#>$  *Code.add-undefined*  $\@ \{const-name \text{ undefined} \}$   
 $\rangle\rangle$

**code-abort** *undefined*

### 2.6.5 Generic code generator target languages

type *bool*

**code-type** *bool*

(*SML bool*)  
(*OCaml bool*)  
(*Haskell Bool*)  
(*Scala Boolean*)

**code-const** *True and False and Not and op & and op | and If*

(*SML true and false and not*  
**and** **infixl** 1 *andalso* **and** **infixl** 0 *orelse*  
**and**  $!(if \ (-) / \ then \ (-) / \ else \ (-))$ )  
(*OCaml true and false and not*  
**and** **infixl** 4  $\&\&$  **and** **infixl** 2  $||$   
**and**  $!(if \ (-) / \ then \ (-) / \ else \ (-))$ )  
(*Haskell True and False and not*  
**and** **infixl** 3  $\&\&$  **and** **infixl** 2  $||$   
**and**  $!(if \ (-) / \ then \ (-) / \ else \ (-))$ )  
(*Scala true and false and '!* -  
**and** **infixl** 3  $\&\&$  **and** **infixl** 1  $||$   
**and**  $!(if \ ((-)) / \ (-) / \ else \ (-))$ )

**code-reserved** *SML*

*bool true false not*

**code-reserved** *OCaml*

*bool not*



**code-reserved** *Scala*

*Boolean*

using built-in Haskell equality

**code-class** *eq*

*(Haskell Eq)*

**code-const** *eq-class.eq*

*(Haskell infixl 4 ==)*

**code-const** *op =*

*(Haskell infixl 4 ==)*

undefined

**code-const** *undefined*

*(SML !(raise/ Fail/ undefined))*

*(OCaml failwith/ undefined)*

*(Haskell error/ undefined)*

*(Scala !error(undefined))*

### 2.6.6 Evaluation and normalization by evaluation

Avoid some named infixes in evaluation environment

**code-reserved** *Eval oo ooo oooo upto downto orf andf*

**setup**  $\ll$

*Value.add-evaluator (SML, Codegen.eval-term o ProofContext.theory-of)*

$\gg$

**ML**  $\ll$

*structure Eval-Method =*

*struct*

*val eval-ref : (unit -> bool) option Unsynchronized.ref = Unsynchronized.ref NONE;*

*end;*

$\gg$

**oracle** *eval-oracle* =  $\ll$  *fn ct =>*

*let*

*val thy = Thm.theory-of-cterm ct;*

*val t = Thm.term-of ct;*

*val dummy = @{cprop True};*

*in case try HOLogic.dest-Trueprop t*

*of SOME t' => if Code-Eval.eval NONE*

*(Eval-Method.eval-ref, Eval-Method.eval-ref) (K I) thy t' []*

```

      then Thm.capply (Thm.capply @{cterm op ≡ :: prop ⇒ prop ⇒ prop} ct)
dummy
      else dummy
    | NONE => dummy
  end
>>

```

```

ML <<
fun gen-eval-method conv ctxt = SIMPLE-METHOD'
  (CONVERSION (Conv.params-conv (~1) (K (Conv.concl-conv (~1) conv))
  ctxt)
  THEN' rtac TrueI)
>>

```

```

method-setup eval = << Scan.succeed (gen-eval-method eval-oracle) >>
  solve goal by evaluation

```

```

method-setup evaluation = << Scan.succeed (gen-eval-method Codegen.evaluation-conv)
>>
  solve goal by evaluation

```

```

method-setup normalization = <<
  Scan.succeed (K (SIMPLE-METHOD' (CONVERSION Nbe.norm-conv THEN'
  (fn k => TRY (rtac TrueI k))))))
>> solve goal by normalization

```

## 2.7 Counterexample Search Units

### 2.7.1 Quickcheck

```

quickcheck-params [size = 5, iterations = 50]

```

### 2.7.2 Nitpick setup

```

ML <<
structure Nitpick-Defs = Named-Thms
(
  val name = nitpick-def
  val description = alternative definitions of constants as needed by Nitpick
)
structure Nitpick-Simps = Named-Thms
(
  val name = nitpick-simp
  val description = equational specification of constants as needed by Nitpick
)
structure Nitpick-Psimps = Named-Thms
(
  val name = nitpick-psimp
  val description = partial equational specification of constants as needed by Nitpick
)

```

```

structure Nitpick-Choice-Specs = Named-Thms
(
  val name = nitpick-choice-spec
  val description = choice specification of constants as needed by Nitpick
)
>>

setup <<
  Nitpick-Defs.setup
  #> Nitpick-Simps.setup
  #> Nitpick-Psimps.setup
  #> Nitpick-Choice-Specs.setup
>>

```

## 2.8 Preprocessing for the predicate compiler

```

ML <<
structure Predicate-Compile-Alternative-Defs = Named-Thms
(
  val name = code-pred-def
  val description = alternative definitions of constants for the Predicate Compiler
)
structure Predicate-Compile-Inline-Defs = Named-Thms
(
  val name = code-pred-inline
  val description = inlining definitions for the Predicate Compiler
)
structure Predicate-Compile-Simps = Named-Thms
(
  val name = code-pred-simp
  val description = simplification rules for the optimisations in the Predicate Compiler
)
>>

setup <<
  Predicate-Compile-Alternative-Defs.setup
  #> Predicate-Compile-Inline-Defs.setup
  #> Predicate-Compile-Simps.setup
>>

```

## 2.9 Legacy tactics and ML bindings

```

ML <<
fun strip-tac i = REPEAT (resolve-tac [impI, allI] i);

(* combination of (spec RS spec RS ...(j times) ... spec RS mp) *)
local
  fun wrong-prem (Const (@{const-name All}, -) $ Abs (-, -, t)) = wrong-prem t
    | wrong-prem (Bound -) = true
    | wrong-prem - = false;

```

```

  val filter-right = filter (not o wrong-prem o HOLogic.dest-Trueprop o hd o Thm.premsof);
in
  fun smp i = funpow i (fn m => filter-right ([spec] RL m)) ([mp]);
  fun smp-tac j = EVERY'[dresolve-tac (smp j), atac];
end;

val all-conj-distrib = thm all-conj-distrib;
val all-simps = thms all-simps;
val atomize-not = thm atomize-not;
val case-split = thm case-split;
val cases-simp = thm cases-simp;
val choice-eq = thm choice-eq;
val cong = thm cong;
val conj-comms = thms conj-comms;
val conj-cong = thm conj-cong;
val de-Morgan-conj = thm de-Morgan-conj;
val de-Morgan-disj = thm de-Morgan-disj;
val disj-assoc = thm disj-assoc;
val disj-comms = thms disj-comms;
val disj-cong = thm disj-cong;
val eq-ac = thms eq-ac;
val eq-cong2 = thm eq-cong2;
val Eq-FalseI = thm Eq-FalseI;
val Eq-TrueI = thm Eq-TrueI;
val Ex1-def = thm Ex1-def;
val ex-disj-distrib = thm ex-disj-distrib;
val ex-simps = thms ex-simps;
val if-cancel = thm if-cancel;
val if-eq-cancel = thm if-eq-cancel;
val if-False = thm if-False;
val iff-conv-conj-imp = thm iff-conv-conj-imp;
val iff = thm iff;
val if-splits = thms if-splits;
val if-True = thm if-True;
val if-weak-cong = thm if-weak-cong;
val imp-all = thm imp-all;
val imp-cong = thm imp-cong;
val imp-conjL = thm imp-conjL;
val imp-conjR = thm imp-conjR;
val imp-conv-disj = thm imp-conv-disj;
val simp-implies-def = thm simp-implies-def;
val simp-thms = thms simp-thms;
val split-if = thm split-if;
val the1-equality = thm the1-equality;
val theI = thm theI;
val theI' = thm theI';
val True-implies-equals = thm True-implies-equals;
val nnf-conv = Simplifier.rewrite (HOL-basic-ss addsimps simp-thms @ @{thms
nnf-simps})

```

»

end

### 3 Orderings: Abstract orderings

```
theory Orderings
imports HOL
uses
  ~~/src/Provers/order.ML
  ~~/src/Provers/quasi.ML
begin
```

#### 3.1 Syntactic orders

```
class ord =
  fixes less-eq :: 'a ⇒ 'a ⇒ bool
    and less :: 'a ⇒ 'a ⇒ bool
begin

notation
  less-eq (op <=) and
  less-eq ((-/ <= -) [51, 51] 50) and
  less (op <) and
  less ((-/ < -) [51, 51] 50)

notation (xsymbols)
  less-eq (op ≤) and
  less-eq ((-/ ≤ -) [51, 51] 50)

notation (HTML output)
  less-eq (op ≤) and
  less-eq ((-/ ≤ -) [51, 51] 50)

abbreviation (input)
  greater-eq (infix >= 50) where
    x >= y ≡ y <= x

notation (input)
  greater-eq (infix ≥ 50)

abbreviation (input)
  greater (infix > 50) where
    x > y ≡ y < x

end
```

### 3.2 Quasi orders

```

class preorder = ord +
  assumes less-le-not-le:  $x < y \longleftrightarrow x \leq y \wedge \neg (y \leq x)$ 
  and order-refl [iff]:  $x \leq x$ 
  and order-trans:  $x \leq y \implies y \leq z \implies x \leq z$ 
begin

```

Reflexivity.

```

lemma eq-refl:  $x = y \implies x \leq y$ 
  — This form is useful with the classical reasoner.
by (erule ssubst) (rule order-refl)

```

```

lemma less-irrefl [iff]:  $\neg x < x$ 
by (simp add: less-le-not-le)

```

```

lemma less-imp-le:  $x < y \implies x \leq y$ 
unfolding less-le-not-le by blast

```

Asymmetry.

```

lemma less-not-sym:  $x < y \implies \neg (y < x)$ 
by (simp add: less-le-not-le)

```

```

lemma less-asym:  $x < y \implies (\neg P \implies y < x) \implies P$ 
by (drule less-not-sym, erule contrapos-np) simp

```

Transitivity.

```

lemma less-trans:  $x < y \implies y < z \implies x < z$ 
by (auto simp add: less-le-not-le intro: order-trans)

```

```

lemma le-less-trans:  $x \leq y \implies y < z \implies x < z$ 
by (auto simp add: less-le-not-le intro: order-trans)

```

```

lemma less-le-trans:  $x < y \implies y \leq z \implies x < z$ 
by (auto simp add: less-le-not-le intro: order-trans)

```

Useful for simplification, but too risky to include by default.

```

lemma less-imp-not-less:  $x < y \implies (\neg y < x) \longleftrightarrow \text{True}$ 
by (blast elim: less-asym)

```

```

lemma less-imp-triv:  $x < y \implies (y < x \longrightarrow P) \longleftrightarrow \text{True}$ 
by (blast elim: less-asym)

```

Transitivity rules for calculational reasoning

```

lemma less-asym':  $a < b \implies b < a \implies P$ 
by (rule less-asym)

```

Dual order

```

lemma dual-preorder:
  class.preorder (op  $\geq$ ) (op  $>$ )
proof qed (auto simp add: less-le-not-le intro: order-trans)

end

```

### 3.3 Partial orders

```

class order = preorder +
  assumes antisym:  $x \leq y \implies y \leq x \implies x = y$ 
begin

```

Reflexivity.

```

lemma less-le:  $x < y \iff x \leq y \wedge x \neq y$ 
by (auto simp add: less-le-not-le intro: antisym)

```

```

lemma le-less:  $x \leq y \iff x < y \vee x = y$ 
  — NOT suitable for iff, since it can cause PROOF FAILED.
by (simp add: less-le) blast

```

```

lemma le-imp-less-or-eq:  $x \leq y \implies x < y \vee x = y$ 
unfolding less-le by blast

```

Useful for simplification, but too risky to include by default.

```

lemma less-imp-not-eq:  $x < y \implies (x = y) \iff \text{False}$ 
by auto

```

```

lemma less-imp-not-eq2:  $x < y \implies (y = x) \iff \text{False}$ 
by auto

```

Transitivity rules for calculational reasoning

```

lemma neq-le-trans:  $a \neq b \implies a \leq b \implies a < b$ 
by (simp add: less-le)

```

```

lemma le-neq-trans:  $a \leq b \implies a \neq b \implies a < b$ 
by (simp add: less-le)

```

Asymmetry.

```

lemma eq-iff:  $x = y \iff x \leq y \wedge y \leq x$ 
by (blast intro: antisym)

```

```

lemma antisym-conv:  $y \leq x \implies x \leq y \iff x = y$ 
by (blast intro: antisym)

```

```

lemma less-imp-neq:  $x < y \implies x \neq y$ 
by (erule contrapos-pn, erule subst, rule less-irrefl)

```

Least value operator

**definition** (*in ord*)

*Least* :: ( $'a \Rightarrow \text{bool}$ )  $\Rightarrow 'a$  (**binder** *LEAST* 10) **where**

*Least*  $P = (\text{THE } x. P\ x \wedge (\forall y. P\ y \longrightarrow x \leq y))$

**lemma** *Least-equality*:

**assumes**  $P\ x$

**and**  $\bigwedge y. P\ y \Longrightarrow x \leq y$

**shows**  $\text{Least } P = x$

**unfolding** *Least-def* **by** (*rule the-equality*)

(*blast intro: assms antisym*) $+$

**lemma** *LeastI2-order*:

**assumes**  $P\ x$

**and**  $\bigwedge y. P\ y \Longrightarrow x \leq y$

**and**  $\bigwedge x. P\ x \Longrightarrow \forall y. P\ y \longrightarrow x \leq y \Longrightarrow Q\ x$

**shows**  $Q\ (\text{Least } P)$

**unfolding** *Least-def* **by** (*rule theI2*)

(*blast intro: assms antisym*) $+$

Dual order

**lemma** *dual-order*:

*class.order* ( $op \geq$ ) ( $op >$ )

**by** (*intro-locales, rule dual-preorder*) (*unfold-locales, rule antisym*)

**end**

### 3.4 Linear (total) orders

**class** *linorder* = *order* +

**assumes** *linear*:  $x \leq y \vee y \leq x$

**begin**

**lemma** *less-linear*:  $x < y \vee x = y \vee y < x$

**unfolding** *less-le* **using** *less-le linear* **by** *blast*

**lemma** *le-less-linear*:  $x \leq y \vee y < x$

**by** (*simp add: le-less less-linear*)

**lemma** *le-cases* [*case-names le ge*]:

$(x \leq y \Longrightarrow P) \Longrightarrow (y \leq x \Longrightarrow P) \Longrightarrow P$

**using** *linear* **by** *blast*

**lemma** *linorder-cases* [*case-names less equal greater*]:

$(x < y \Longrightarrow P) \Longrightarrow (x = y \Longrightarrow P) \Longrightarrow (y < x \Longrightarrow P) \Longrightarrow P$

**using** *less-linear* **by** *blast*

**lemma** *not-less*:  $\neg x < y \longleftrightarrow y \leq x$

**apply** (*simp add: less-le*)

**using** *linear* **apply** (*blast intro: antisym*)



done

**lemma** *not-less-iff-gr-or-eq*:

$\neg(x < y) \longleftrightarrow (x > y \mid x = y)$

**apply** (*simp add: not-less le-less*)

**apply** *blast*

done

**lemma** *not-le*:  $\neg x \leq y \longleftrightarrow y < x$

**apply** (*simp add: less-le*)

**using** *linear* **apply** (*blast intro: antisym*)

done

**lemma** *neq-iff*:  $x \neq y \longleftrightarrow x < y \vee y < x$

**by** (*cut-tac x = x and y = y in less-linear, auto*)

**lemma** *neqE*:  $x \neq y \Longrightarrow (x < y \Longrightarrow R) \Longrightarrow (y < x \Longrightarrow R) \Longrightarrow R$

**by** (*simp add: neq-iff*) *blast*

**lemma** *antisym-conv1*:  $\neg x < y \Longrightarrow x \leq y \longleftrightarrow x = y$

**by** (*blast intro: antisym dest: not-less [THEN iffD1]*)

**lemma** *antisym-conv2*:  $x \leq y \Longrightarrow \neg x < y \longleftrightarrow x = y$

**by** (*blast intro: antisym dest: not-less [THEN iffD1]*)

**lemma** *antisym-conv3*:  $\neg y < x \Longrightarrow \neg x < y \longleftrightarrow x = y$

**by** (*blast intro: antisym dest: not-less [THEN iffD1]*)

**lemma** *leI*:  $\neg x < y \Longrightarrow y \leq x$

**unfolding** *not-less* .

**lemma** *leD*:  $y \leq x \Longrightarrow \neg x < y$

**unfolding** *not-less* .

**lemma** *not-leE*:  $\neg y \leq x \Longrightarrow x < y$

**unfolding** *not-le* .

Dual order

**lemma** *dual-linorder*:

*class.linorder* (*op*  $\geq$ ) (*op*  $>$ )

**by** (*rule class.linorder.intro, rule dual-order*) (*unfold-locales, rule linear*)

min/max

**definition** (*in ord*) *min* ::  $'a \Rightarrow 'a \Rightarrow 'a$  **where**

[*code del*]: *min* *a b* = (*if* *a*  $\leq$  *b* *then* *a* *else* *b*)

**definition** (*in ord*) *max* ::  $'a \Rightarrow 'a \Rightarrow 'a$  **where**

[*code del*]: *max* *a b* = (*if* *a*  $\leq$  *b* *then* *b* *else* *a*)

**lemma** *min-le-iff-disj*:

$$\min x y \leq z \iff x \leq z \vee y \leq z$$

**unfolding** *min-def* **using** *linear* **by** (*auto intro: order-trans*)

**lemma** *le-max-iff-disj*:

$$z \leq \max x y \iff z \leq x \vee z \leq y$$

**unfolding** *max-def* **using** *linear* **by** (*auto intro: order-trans*)

**lemma** *min-less-iff-disj*:

$$\min x y < z \iff x < z \vee y < z$$

**unfolding** *min-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

**lemma** *less-max-iff-disj*:

$$z < \max x y \iff z < x \vee z < y$$

**unfolding** *max-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

**lemma** *min-less-iff-conj* [*simp*]:

$$z < \min x y \iff z < x \wedge z < y$$

**unfolding** *min-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

**lemma** *max-less-iff-conj* [*simp*]:

$$\max x y < z \iff x < z \wedge y < z$$

**unfolding** *max-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

**lemma** *split-min* [*no-atp*]:

$$P (\min i j) \iff (i \leq j \longrightarrow P i) \wedge (\neg i \leq j \longrightarrow P j)$$

**by** (*simp add: min-def*)

**lemma** *split-max* [*no-atp*]:

$$P (\max i j) \iff (i \leq j \longrightarrow P j) \wedge (\neg i \leq j \longrightarrow P i)$$

**by** (*simp add: max-def*)

**end**

Explicit dictionaries for code generation

**lemma** *min-ord-min* [*code, code-unfold, code-inline del*]:

$$\min = \text{ord.min } (op \leq)$$

**by** (*rule ext*)+ (*simp add: min-def ord.min-def*)

**declare** *ord.min-def* [*code*]

**lemma** *max-ord-max* [*code, code-unfold, code-inline del*]:

$$\max = \text{ord.max } (op \leq)$$

**by** (*rule ext*)+ (*simp add: max-def ord.max-def*)

**declare** *ord.max-def* [*code*]

### 3.5 Reasoning tools setup

ML  $\ll$

```
signature ORDERS =
sig
  val print-structures: Proof.context -> unit
  val setup: theory -> theory
  val order-tac: Proof.context -> thm list -> int -> tactic
end;

structure Orders: ORDERS =
struct

(** Theory and context data **)

fun struct-eq ((s1: string, ts1), (s2, ts2)) =
  (s1 = s2) andalso eq-list (op aconv) (ts1, ts2);

structure Data = Generic-Data
(
  type T = ((string * term list) * Order-Tac.less-arith) list;
  (* Order structures:
     identifier of the structure, list of operations and record of theorems
     needed to set up the transitivity reasoner,
     identifier and operations identify the structure uniquely. *)
  val empty = [];
  val extend = I;
  fun merge data = AList.join struct-eq (K fst) data;
);

fun print-structures ctxt =
let
  val structs = Data.get (Context.Proof ctxt);
  fun pretty-term t = Pretty.block
    [Pretty.quote (Syntax.pretty-term ctxt t), Pretty.brk 1,
     Pretty.str ::, Pretty.brk 1,
     Pretty.quote (Syntax.pretty-typ ctxt (type-of t))];
  fun pretty-struct ((s, ts), _) = Pretty.block
    [Pretty.str s, Pretty.str :, Pretty.brk 1,
     Pretty.enclose ( ) (Pretty.breaks (map pretty-term ts))];
in
  Pretty.writeln (Pretty.big-list Order structures: (map pretty-struct structs))
end;

(** Method **)

fun struct-tac ((s, [eq, le, less]), thms) ctxt prems =
let
```

```

fun decomp thy (@{const Trueprop} $ t) =
  let
    fun excluded t =
      (* exclude numeric types: linear arithmetic subsumes transitivity *)
      let val T = type-of t
      in
        T = Hologic.natT orelse T = Hologic.intT orelse T = Hologic.realT
      end;
    fun rel (bin-op $ t1 $ t2) =
      if excluded t1 then NONE
      else if Pattern.matches thy (eq, bin-op) then SOME (t1, =, t2)
      else if Pattern.matches thy (le, bin-op) then SOME (t1, <=, t2)
      else if Pattern.matches thy (less, bin-op) then SOME (t1, <, t2)
      else NONE
      | rel - = NONE;
    fun dec (Const (@{const-name Not}, -) $ t) = (case rel t
      of NONE => NONE
      | SOME (t1, rel, t2) => SOME (t1, ~ ^ rel, t2))
      | dec x = rel x;
    in dec t end
  | decomp thy - = NONE;
  in
    case s of
      order => Order-Tac.partial-tac decomp thms ctxt prems
    | linorder => Order-Tac.linear-tac decomp thms ctxt prems
    | - => error (Unknown kind of order ' ^ s ^ ' encountered in transitivity
reasoner.)
    end

fun order-tac ctxt prems =
  FIRST' (map (fn s => CHANGED o struct-tac s ctxt prems) (Data.get (Context.Proof
ctxt)));

(** Attribute **)

fun add-struct-thm s tag =
  Thm.declaration-attribute
  (fn thm => Data.map (AList.map-default struct-eq (s, Order-Tac.empty TrueI)
(Order-Tac.update tag thm)));
fun del-struct s =
  Thm.declaration-attribute
  (fn - => Data.map (AList.delete struct-eq s));

val attrib-setup =
  Attrib.setup @{binding order}
  (Scan.lift ((Args.add -- Args.name >> (fn (-, s) => SOME s) || Args.del
>> K NONE) --|
  Args.colon (* FIXME || Scan.succeed true *) ) -- Scan.lift Args.name --

```

```

    Scan.repeat Args.term
    >> (fn ((SOME tag, n), ts) => add-struct-thm (n, ts) tag
        | ((NONE, n), ts) => del-struct (n, ts)))
    theorems controlling transitivity reasoner;

(** Diagnostic command **)

val - =
  Outer-Syntax.improper-command print-orders
  print order structures available to transitivity reasoner Keyword.diag
  (Scan.succeed (Toplevel.no-timing o Toplevel.unknown-context o
    Toplevel.keep (print-structures o Toplevel.context-of)));

(** Setup **)

val setup =
  Method.setup @{binding order} (Scan.succeed (fn ctxt => SIMPLE-METHOD'
    (order-tac ctxt [])))
  transitivity reasoner #>
  attrib-setup;

end;

>>

setup Orders.setup

Declarations to set up transitivity reasoner of partial and linear orders.

context order
begin

declare less-irrefl [THEN notE, order add less-reflE: order op = :: 'a ⇒ 'a ⇒
  bool op <= op <]

declare order-refl [order add le-refl: order op = :: 'a => 'a => bool op <= op
  <]

declare less-imp-le [order add less-imp-le: order op = :: 'a => 'a => bool op <=
  op <]

declare antisym [order add eqI: order op = :: 'a => 'a => bool op <= op <]

declare eq-refl [order add eqD1: order op = :: 'a => 'a => bool op <= op <]

declare sym [THEN eq-refl, order add eqD2: order op = :: 'a => 'a => bool op

```

$\leq op <$ ]

**declare** *less-trans* [order add *less-trans*: order  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**declare** *less-le-trans* [order add *less-le-trans*: order  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**declare** *le-less-trans* [order add *le-less-trans*: order  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**declare** *order-trans* [order add *le-trans*: order  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**declare** *le-neq-trans* [order add *le-neq-trans*: order  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**declare** *neq-le-trans* [order add *neq-le-trans*: order  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**declare** *less-imp-neq* [order add *less-imp-neq*: order  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**declare** *eq-neq-eq-imp-neq* [order add *eq-neq-eq-imp-neq*: order  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**declare** *not-sym* [order add *not-sym*: order  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**end**

**context** *linorder*  
**begin**

**declare** [[order del: order  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]]

**declare** *less-irrefl* [THEN *notE*, order add *less-reflE*: *linorder*  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**declare** *order-refl* [order add *le-refl*: *linorder*  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**declare** *less-imp-le* [order add *less-imp-le*: *linorder*  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**declare** *not-less* [THEN *iffD2*, order add *not-lessI*: *linorder*  $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$ ]

**declare** *not-le* [THEN *iffD2*, order add *not-leI*: *linorder*  $op = :: 'a \Rightarrow 'a \Rightarrow bool$

*op* <= *op* <]

**declare** *not-less* [THEN *iffD1*, order add *not-lessD*: *linorder op* = :: '*a* => '*a* =>  
bool *op* <= *op* <]

**declare** *not-le* [THEN *iffD1*, order add *not-leD*: *linorder op* = :: '*a* => '*a* =>  
bool *op* <= *op* <]

**declare** *antisym* [order add *eqI*: *linorder op* = :: '*a* => '*a* => bool *op* <= *op* <]

**declare** *eq-refl* [order add *eqD1*: *linorder op* = :: '*a* => '*a* => bool *op* <= *op* <]

**declare** *sym* [THEN *eq-refl*, order add *eqD2*: *linorder op* = :: '*a* => '*a* => bool  
*op* <= *op* <]

**declare** *less-trans* [order add *less-trans*: *linorder op* = :: '*a* => '*a* => bool *op* <=  
*op* <]

**declare** *less-le-trans* [order add *less-le-trans*: *linorder op* = :: '*a* => '*a* => bool  
*op* <= *op* <]

**declare** *le-less-trans* [order add *le-less-trans*: *linorder op* = :: '*a* => '*a* => bool  
*op* <= *op* <]

**declare** *order-trans* [order add *le-trans*: *linorder op* = :: '*a* => '*a* => bool *op* <=  
*op* <]

**declare** *le-neq-trans* [order add *le-neq-trans*: *linorder op* = :: '*a* => '*a* => bool *op*  
<= *op* <]

**declare** *neq-le-trans* [order add *neq-le-trans*: *linorder op* = :: '*a* => '*a* => bool *op*  
<= *op* <]

**declare** *less-imp-neq* [order add *less-imp-neq*: *linorder op* = :: '*a* => '*a* => bool  
*op* <= *op* <]

**declare** *eq-neq-eq-imp-neq* [order add *eq-neq-eq-imp-neq*: *linorder op* = :: '*a* => '*a*  
=> bool *op* <= *op* <]

**declare** *not-sym* [order add *not-sym*: *linorder op* = :: '*a* => '*a* => bool *op* <=  
*op* <]

**end**

**setup** <<  
*let*

*fun* *prp t thm* = (#*prop* (*rep-thm thm*) = *t*);

```

fun prove-antisym-le sg ss ((le as Const(-,T)) $ r $ s) =
  let val prems = prems-of-ss ss;
      val less = Const (@{const-name less}, T);
      val t = HOLogic.mk-Trueprop(le $ s $ r);
  in case find-first (prp t) prems of
      NONE =>
        let val t = HOLogic.mk-Trueprop(HOLogic.Not $ (less $ r $ s))
        in case find-first (prp t) prems of
            NONE => NONE
          | SOME thm => SOME(mk-meta-eq(thm RS @{thm linorder-class.antisym-conv1}))
        end
      | SOME thm => SOME(mk-meta-eq(thm RS @{thm order-class.antisym-conv}))
  end
end
handle THM - => NONE;

fun prove-antisym-less sg ss (NotC $ ((less as Const(-,T)) $ r $ s)) =
  let val prems = prems-of-ss ss;
      val le = Const (@{const-name less-eq}, T);
      val t = HOLogic.mk-Trueprop(le $ r $ s);
  in case find-first (prp t) prems of
      NONE =>
        let val t = HOLogic.mk-Trueprop(NotC $ (less $ s $ r))
        in case find-first (prp t) prems of
            NONE => NONE
          | SOME thm => SOME(mk-meta-eq(thm RS @{thm linorder-class.antisym-conv3}))
        end
      | SOME thm => SOME(mk-meta-eq(thm RS @{thm linorder-class.antisym-conv2}))
  end
end
handle THM - => NONE;

fun add-simprocs procs thy =
  Simplifier.map-simpset (fn ss => ss
    addsimprocs (map (fn (name, raw-ts, proc) =>
      Simplifier.simproc thy name raw-ts proc) procs)) thy;
fun add-solver name tac =
  Simplifier.map-simpset (fn ss => ss addSolver
    mk-solver' name (fn ss => tac (Simplifier.the-context ss) (Simplifier.prems-of-ss
ss)));

in
  add-simprocs [
    (antisym le, [(x::'a::order) <= y], prove-antisym-le),
    (antisym less, [~ (x::'a::linorder) < y], prove-antisym-less)
  ]
#> add-solver Transitivity Orders.order-tac
(* Adding the transitivity reasoners also as safe solvers showed a slight
speed up, but the reasoning strength appears to be not higher (at least
no breaking of additional proofs in the entire HOL distribution, as

```



of 5 March 2004, was observed). \*)  
end  
»

### 3.6 Bounded quantifiers

#### syntax

-All-less :: [idt, 'a, bool] => bool (( $\exists ALL$  -<./ -) [0, 0, 10] 10)  
-Ex-less :: [idt, 'a, bool] => bool (( $\exists EX$  -<./ -) [0, 0, 10] 10)  
-All-less-eq :: [idt, 'a, bool] => bool (( $\exists ALL$  -<=./ -) [0, 0, 10] 10)  
-Ex-less-eq :: [idt, 'a, bool] => bool (( $\exists EX$  -<=./ -) [0, 0, 10] 10)  
  
-All-greater :: [idt, 'a, bool] => bool (( $\exists ALL$  ->./ -) [0, 0, 10] 10)  
-Ex-greater :: [idt, 'a, bool] => bool (( $\exists EX$  ->./ -) [0, 0, 10] 10)  
-All-greater-eq :: [idt, 'a, bool] => bool (( $\exists ALL$  ->=./ -) [0, 0, 10] 10)  
-Ex-greater-eq :: [idt, 'a, bool] => bool (( $\exists EX$  ->=./ -) [0, 0, 10] 10)

#### syntax (xsymbols)

-All-less :: [idt, 'a, bool] => bool (( $\exists \forall$  -<./ -) [0, 0, 10] 10)  
-Ex-less :: [idt, 'a, bool] => bool (( $\exists \exists$  -<./ -) [0, 0, 10] 10)  
-All-less-eq :: [idt, 'a, bool] => bool (( $\exists \forall$  -<=./ -) [0, 0, 10] 10)  
-Ex-less-eq :: [idt, 'a, bool] => bool (( $\exists \exists$  -<=./ -) [0, 0, 10] 10)  
  
-All-greater :: [idt, 'a, bool] => bool (( $\exists \forall$  ->./ -) [0, 0, 10] 10)  
-Ex-greater :: [idt, 'a, bool] => bool (( $\exists \exists$  ->./ -) [0, 0, 10] 10)  
-All-greater-eq :: [idt, 'a, bool] => bool (( $\exists \forall$  ->=./ -) [0, 0, 10] 10)  
-Ex-greater-eq :: [idt, 'a, bool] => bool (( $\exists \exists$  ->=./ -) [0, 0, 10] 10)

#### syntax (HOL)

-All-less :: [idt, 'a, bool] => bool (( $\exists!$  -<./ -) [0, 0, 10] 10)  
-Ex-less :: [idt, 'a, bool] => bool (( $\exists?$  -<./ -) [0, 0, 10] 10)  
-All-less-eq :: [idt, 'a, bool] => bool (( $\exists!$  -<=./ -) [0, 0, 10] 10)  
-Ex-less-eq :: [idt, 'a, bool] => bool (( $\exists?$  -<=./ -) [0, 0, 10] 10)

#### syntax (HTML output)

-All-less :: [idt, 'a, bool] => bool (( $\exists \forall$  -<./ -) [0, 0, 10] 10)  
-Ex-less :: [idt, 'a, bool] => bool (( $\exists \exists$  -<./ -) [0, 0, 10] 10)  
-All-less-eq :: [idt, 'a, bool] => bool (( $\exists \forall$  -<=./ -) [0, 0, 10] 10)  
-Ex-less-eq :: [idt, 'a, bool] => bool (( $\exists \exists$  -<=./ -) [0, 0, 10] 10)  
  
-All-greater :: [idt, 'a, bool] => bool (( $\exists \forall$  ->./ -) [0, 0, 10] 10)  
-Ex-greater :: [idt, 'a, bool] => bool (( $\exists \exists$  ->./ -) [0, 0, 10] 10)  
-All-greater-eq :: [idt, 'a, bool] => bool (( $\exists \forall$  ->=./ -) [0, 0, 10] 10)  
-Ex-greater-eq :: [idt, 'a, bool] => bool (( $\exists \exists$  ->=./ -) [0, 0, 10] 10)

#### translations

$ALL\ x < y. P \Rightarrow ALL\ x. x < y \longrightarrow P$   
 $EX\ x < y. P \Rightarrow EX\ x. x < y \wedge P$   
 $ALL\ x <= y. P \Rightarrow ALL\ x. x <= y \longrightarrow P$

$$\begin{aligned}
EX\ x <= y. P & \Rightarrow EX\ x. x <= y \wedge P \\
ALL\ x > y. P & \Rightarrow ALL\ x. x > y \longrightarrow P \\
EX\ x > y. P & \Rightarrow EX\ x. x > y \wedge P \\
ALL\ x >= y. P & \Rightarrow ALL\ x. x >= y \longrightarrow P \\
EX\ x >= y. P & \Rightarrow EX\ x. x >= y \wedge P
\end{aligned}$$

**print-translation**  $\ll$

*let*

```

val All-binder = Syntax.binder-name @{const-syntax All};
val Ex-binder  = Syntax.binder-name @{const-syntax Ex};
val impl       = @{const-syntax op -->};
val conj       = @{const-syntax op &};
val less       = @{const-syntax less};
val less-eq    = @{const-syntax less-eq};

```

*val trans =*

```

[((All-binder, impl, less),
  (@{syntax-const -All-less}, @{syntax-const -All-greater})),
 ((All-binder, impl, less-eq),
  (@{syntax-const -All-less-eq}, @{syntax-const -All-greater-eq})),
 ((Ex-binder, conj, less),
  (@{syntax-const -Ex-less}, @{syntax-const -Ex-greater})),
 ((Ex-binder, conj, less-eq),
  (@{syntax-const -Ex-less-eq}, @{syntax-const -Ex-greater-eq}))];

```

*fun matches-bound v t =*

```

(case t of
  Const (@{syntax-const -bound}, -) $ Free (v', -) => v = v'
 | - => false);

```

*fun contains-var v = Term.exists-subterm (fn Free (x, -) => x = v | - => false);*

*fun mk v c n P = Syntax.const c \$ Syntax.mark-bound v \$ n \$ P;*

*fun tr' q = (q,*

```

  fn [Const (@{syntax-const -bound}, -) $ Free (v, -),
      Const (c, -) $ (Const (d, -) $ t $ u) $ P] =>
    (case AList.lookup (op =) trans (q, c, d) of
      NONE => raise Match
    | SOME (l, g) =>
      if matches-bound v t andalso not (contains-var v u) then mk v l u P
      else if matches-bound v u andalso not (contains-var v t) then mk v g t P
      else raise Match)
  | - => raise Match);

```

*in [tr' All-binder, tr' Ex-binder] end*

$\gg$

### 3.7 Transitivity reasoning

**context** *ord*

**begin**

**lemma** *ord-le-eq-trans*:  $a \leq b \implies b = c \implies a \leq c$   
**by** (*rule subst*)

**lemma** *ord-eq-le-trans*:  $a = b \implies b \leq c \implies a \leq c$   
**by** (*rule ssubst*)

**lemma** *ord-less-eq-trans*:  $a < b \implies b = c \implies a < c$   
**by** (*rule subst*)

**lemma** *ord-eq-less-trans*:  $a = b \implies b < c \implies a < c$   
**by** (*rule ssubst*)

**end**

**lemma** *order-less-subst2*:  $(a::'a::order) < b \implies f\ b < (c::'c::order) \implies$   
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies f\ a < c$   
**proof** –  
 assume  $r: !!x\ y. x < y \implies f\ x < f\ y$   
 assume  $a < b$  **hence**  $f\ a < f\ b$  **by** (*rule r*)  
 also assume  $f\ b < c$   
 finally (*less-trans*) **show** *?thesis* .  
**qed**

**lemma** *order-less-subst1*:  $(a::'a::order) < f\ b \implies (b::'b::order) < c \implies$   
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies a < f\ c$   
**proof** –  
 assume  $r: !!x\ y. x < y \implies f\ x < f\ y$   
 assume  $a < f\ b$   
 also assume  $b < c$  **hence**  $f\ b < f\ c$  **by** (*rule r*)  
 finally (*less-trans*) **show** *?thesis* .  
**qed**

**lemma** *order-le-less-subst2*:  $(a::'a::order) <= b \implies f\ b < (c::'c::order) \implies$   
 $(!!x\ y. x <= y \implies f\ x <= f\ y) \implies f\ a < c$   
**proof** –  
 assume  $r: !!x\ y. x <= y \implies f\ x <= f\ y$   
 assume  $a <= b$  **hence**  $f\ a <= f\ b$  **by** (*rule r*)  
 also assume  $f\ b < c$   
 finally (*le-less-trans*) **show** *?thesis* .  
**qed**

**lemma** *order-le-less-subst1*:  $(a::'a::order) <= f\ b \implies (b::'b::order) < c \implies$   
 $(!!x\ y. x <= y \implies f\ x <= f\ y) \implies a < f\ c$   
**proof** –  
 assume  $r: !!x\ y. x <= y \implies f\ x <= f\ y$   
 assume  $a <= f\ b$   
 also assume  $b < c$  **hence**  $f\ b < f\ c$  **by** (*rule r*)  
 finally (*le-less-trans*) **show** *?thesis* .

qed

**lemma** *order-less-le-subst2*:  $(a::'a::order) < b ==> f\ b <= (c::'c::order) ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> f\ a < c$

**proof** –

assume  $r: !!x\ y. x < y ==> f\ x < f\ y$   
 assume  $a < b$  hence  $f\ a < f\ b$  by (rule  $r$ )  
 also assume  $f\ b <= c$   
 finally (*less-le-trans*) show ?thesis .

qed

**lemma** *order-less-le-subst1*:  $(a::'a::order) < f\ b ==> (b::'b::order) <= c ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> a < f\ c$

**proof** –

assume  $r: !!x\ y. x <= y ==> f\ x <= f\ y$   
 assume  $a < f\ b$   
 also assume  $b <= c$  hence  $f\ b <= f\ c$  by (rule  $r$ )  
 finally (*less-le-trans*) show ?thesis .

qed

**lemma** *order-subst1*:  $(a::'a::order) <= f\ b ==> (b::'b::order) <= c ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> a <= f\ c$

**proof** –

assume  $r: !!x\ y. x <= y ==> f\ x <= f\ y$   
 assume  $a <= f\ b$   
 also assume  $b <= c$  hence  $f\ b <= f\ c$  by (rule  $r$ )  
 finally (*order-trans*) show ?thesis .

qed

**lemma** *order-subst2*:  $(a::'a::order) <= b ==> f\ b <= (c::'c::order) ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> f\ a <= c$

**proof** –

assume  $r: !!x\ y. x <= y ==> f\ x <= f\ y$   
 assume  $a <= b$  hence  $f\ a <= f\ b$  by (rule  $r$ )  
 also assume  $f\ b <= c$   
 finally (*order-trans*) show ?thesis .

qed

**lemma** *ord-le-eq-subst*:  $a <= b ==> f\ b = c ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> f\ a <= c$

**proof** –

assume  $r: !!x\ y. x <= y ==> f\ x <= f\ y$   
 assume  $a <= b$  hence  $f\ a <= f\ b$  by (rule  $r$ )  
 also assume  $f\ b = c$   
 finally (*ord-le-eq-trans*) show ?thesis .

qed

**lemma** *ord-eq-le-subst*:  $a = f\ b ==> b <= c ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> a <= f\ c$

**proof** –

**assume**  $r: !!x\ y. x \leq y \implies f\ x \leq f\ y$   
  **assume**  $a = f\ b$   
  **also assume**  $b \leq c$  **hence**  $f\ b \leq f\ c$  **by** (rule  $r$ )  
  **finally** (ord-eq-le-trans) **show** ?thesis .

**qed**

**lemma** ord-less-eq-subst:  $a < b \implies f\ b = c \implies$   
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies f\ a < c$

**proof** –

**assume**  $r: !!x\ y. x < y \implies f\ x < f\ y$   
  **assume**  $a < b$  **hence**  $f\ a < f\ b$  **by** (rule  $r$ )  
  **also assume**  $f\ b = c$   
  **finally** (ord-less-eq-trans) **show** ?thesis .

**qed**

**lemma** ord-eq-less-subst:  $a = f\ b \implies b < c \implies$   
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies a < f\ c$

**proof** –

**assume**  $r: !!x\ y. x < y \implies f\ x < f\ y$   
  **assume**  $a = f\ b$   
  **also assume**  $b < c$  **hence**  $f\ b < f\ c$  **by** (rule  $r$ )  
  **finally** (ord-eq-less-trans) **show** ?thesis .

**qed**

Note that this list of rules is in reverse order of priorities.

**lemmas** [trans] =  
  order-less-subst2  
  order-less-subst1  
  order-le-less-subst2  
  order-le-less-subst1  
  order-less-le-subst2  
  order-less-le-subst1  
  order-subst2  
  order-subst1  
  ord-le-eq-subst  
  ord-eq-le-subst  
  ord-less-eq-subst  
  ord-eq-less-subst  
  forw-subst  
  back-subst  
  rev-mp  
  mp

**lemmas** (in order) [trans] =  
  neq-le-trans  
  le-neq-trans

**lemmas** (in preorder) [trans] =

*less-trans*  
*less-asym'*  
*le-less-trans*  
*less-le-trans*  
*order-trans*

**lemmas** (in *order*) [*trans*] =  
*antisym*

**lemmas** (in *ord*) [*trans*] =  
*ord-le-eq-trans*  
*ord-eq-le-trans*  
*ord-less-eq-trans*  
*ord-eq-less-trans*

**lemmas** [*trans*] =  
*trans*

**lemmas** *order-trans-rules* =  
*order-less-subst2*  
*order-less-subst1*  
*order-le-less-subst2*  
*order-le-less-subst1*  
*order-less-le-subst2*  
*order-less-le-subst1*  
*order-subst2*  
*order-subst1*  
*ord-le-eq-subst*  
*ord-eq-le-subst*  
*ord-less-eq-subst*  
*ord-eq-less-subst*  
*forw-subst*  
*back-subst*  
*rev-mp*  
*mp*  
*neq-le-trans*  
*le-neq-trans*  
*less-trans*  
*less-asym'*  
*le-less-trans*  
*less-le-trans*  
*order-trans*  
*antisym*  
*ord-le-eq-trans*  
*ord-eq-le-trans*  
*ord-less-eq-trans*  
*ord-eq-less-trans*  
*trans*

These support proving chains of decreasing inequalities  $a \leq b \leq c \dots$  in

Isar proofs.

**lemma** *xt1*:

```

  a = b ==> b > c ==> a > c
  a > b ==> b = c ==> a > c
  a = b ==> b >= c ==> a >= c
  a >= b ==> b = c ==> a >= c
  (x::'a::order) >= y ==> y >= x ==> x = y
  (x::'a::order) >= y ==> y >= z ==> x >= z
  (x::'a::order) > y ==> y >= z ==> x > z
  (x::'a::order) >= y ==> y > z ==> x > z
  (a::'a::order) > b ==> b > a ==> P
  (x::'a::order) > y ==> y > z ==> x > z
  (a::'a::order) >= b ==> a ~ = b ==> a > b
  (a::'a::order) ~ = b ==> a >= b ==> a > b
  a = f b ==> b > c ==> (!!x y. x > y ==> f x > f y) ==> a > f c
  a > b ==> f b = c ==> (!!x y. x > y ==> f x > f y) ==> f a > c
  a = f b ==> b >= c ==> (!!x y. x >= y ==> f x >= f y) ==> a >= f c
  a >= b ==> f b = c ==> (!!x y. x >= y ==> f x >= f y) ==> f a >= c
by auto

```

**lemma** *xt2*:

```

  (a::'a::order) >= f b ==> b >= c ==> (!!x y. x >= y ==> f x >= f y) ==>
  a >= f c
by (subgoal-tac f b >= f c, force, force)

```

**lemma** *xt3*: (a::'a::order) >= b ==> (f b::'b::order) >= c ==>

```

  (!!x y. x >= y ==> f x >= f y) ==> f a >= c

```

**by** (*subgoal-tac* f a >= f b, *force*, *force*)

**lemma** *xt4*: (a::'a::order) > f b ==> (b::'b::order) >= c ==>

```

  (!!x y. x >= y ==> f x >= f y) ==> a > f c

```

**by** (*subgoal-tac* f b >= f c, *force*, *force*)

**lemma** *xt5*: (a::'a::order) > b ==> (f b::'b::order) >= c ==>

```

  (!!x y. x > y ==> f x > f y) ==> f a > c

```

**by** (*subgoal-tac* f a > f b, *force*, *force*)

**lemma** *xt6*: (a::'a::order) >= f b ==> b > c ==>

```

  (!!x y. x > y ==> f x > f y) ==> a > f c

```

**by** (*subgoal-tac* f b > f c, *force*, *force*)

**lemma** *xt7*: (a::'a::order) >= b ==> (f b::'b::order) > c ==>

```

  (!!x y. x >= y ==> f x >= f y) ==> f a > c

```

**by** (*subgoal-tac* f a >= f b, *force*, *force*)

**lemma** *xt8*: (a::'a::order) > f b ==> (b::'b::order) > c ==>

```

  (!!x y. x > y ==> f x > f y) ==> a > f c

```

**by** (*subgoal-tac* f b > f c, *force*, *force*)

```

lemma xt9: (a::'a::order) > b ==> (f b::'b::order) > c ==>
  (!!x y. x > y ==> f x > f y) ==> f a > c
by (subgoal-tac f a > f b, force, force)

```

```

lemmas xtrans = xt1 xt2 xt3 xt4 xt5 xt6 xt7 xt8 xt9

```

### 3.8 Monotonicity, least value operator and min/max

```

context order
begin

```

```

definition mono :: ('a => 'b::order) => bool where
  mono f <==> (∀ x y. x ≤ y ==> f x ≤ f y)

```

```

lemma monoI [intro?]:
  fixes f :: 'a => 'b::order
  shows (∧ x y. x ≤ y ==> f x ≤ f y) ==> mono f
  unfolding mono-def by iprover

```

```

lemma monoD [dest?]:
  fixes f :: 'a => 'b::order
  shows mono f ==> x ≤ y ==> f x ≤ f y
  unfolding mono-def by iprover

```

```

definition strict-mono :: ('a => 'b::order) => bool where
  strict-mono f <==> (∀ x y. x < y ==> f x < f y)

```

```

lemma strict-monoI [intro?]:
  assumes ∧ x y. x < y ==> f x < f y
  shows strict-mono f
  using assms unfolding strict-mono-def by auto

```

```

lemma strict-monoD [dest?]:
  strict-mono f ==> x < y ==> f x < f y
  unfolding strict-mono-def by auto

```

```

lemma strict-mono-mono [dest?]:

```

```

  assumes strict-mono f
  shows mono f

```

```

proof (rule monoI)

```

```

  fix x y

```

```

  assume x ≤ y

```

```

  show f x ≤ f y

```

```

  proof (cases x = y)

```

```

    case True then show ?thesis by simp

```

```

  next

```

```

    case False with ⟨x ≤ y⟩ have x < y by simp

```

```

    with assms strict-monoD have f x < f y by auto

```

```

    then show ?thesis by simp

```



qed  
qed

end

context *linorder*  
begin

lemma *strict-mono-eq*:  
  assumes *strict-mono* *f*  
  shows  $f\ x = f\ y \longleftrightarrow x = y$   
proof  
  assume  $f\ x = f\ y$   
  show  $x = y$  **proof** (*cases*  $x\ y$  *rule*: *linorder-cases*)  
    case *less* **with** *assms* *strict-monoD* **have**  $f\ x < f\ y$  **by** *auto*  
    **with**  $\langle f\ x = f\ y \rangle$  **show** *?thesis* **by** *simp*  
  next  
    case *equal* **then** **show** *?thesis* .  
  next  
    case *greater* **with** *assms* *strict-monoD* **have**  $f\ y < f\ x$  **by** *auto*  
    **with**  $\langle f\ x = f\ y \rangle$  **show** *?thesis* **by** *simp*  
  qed  
qed *simp*

lemma *strict-mono-less-eq*:  
  assumes *strict-mono* *f*  
  shows  $f\ x \leq f\ y \longleftrightarrow x \leq y$   
proof  
  assume  $x \leq y$   
  **with** *assms* *strict-mono-mono* *monoD* **show**  $f\ x \leq f\ y$  **by** *auto*  
next  
  assume  $f\ x \leq f\ y$   
  show  $x \leq y$  **proof** (*rule* *ccontr*)  
    assume  $\neg x \leq y$  **then** **have**  $y < x$  **by** *simp*  
    **with** *assms* *strict-monoD* **have**  $f\ y < f\ x$  **by** *auto*  
    **with**  $\langle f\ x \leq f\ y \rangle$  **show** *False* **by** *simp*  
  qed  
qed

lemma *strict-mono-less*:  
  assumes *strict-mono* *f*  
  shows  $f\ x < f\ y \longleftrightarrow x < y$   
  using *assms*  
  **by** (*auto simp add: less-le Orderings.less-le strict-mono-eq strict-mono-less-eq*)

lemma *min-of-mono*:  
  fixes  $f :: 'a \Rightarrow 'b::linorder$   
  shows  $mono\ f \implies min\ (f\ m)\ (f\ n) = f\ (min\ m\ n)$   
  **by** (*auto simp: mono-def Orderings.min-def min-def intro: Orderings.antisym*)

```

lemma max-of-mono:
  fixes  $f :: 'a \Rightarrow 'b::linorder$ 
  shows  $mono\ f \implies \max\ (f\ m)\ (f\ n) = f\ (\max\ m\ n)$ 
  by (auto simp: mono-def Orderings.max-def max-def intro: Orderings.antisym)

end

```

```

lemma min-leastL:  $(!!x. least\ \leq\ x) \implies \min\ least\ x = least$ 
by (simp add: min-def)

```

```

lemma max-leastL:  $(!!x. least\ \leq\ x) \implies \max\ least\ x = x$ 
by (simp add: max-def)

```

```

lemma min-leastR:  $(\bigwedge x::'a::order. least\ \leq\ x) \implies \min\ x\ least = least$ 
apply (simp add: min-def)
apply (blast intro: antisym)
done

```

```

lemma max-leastR:  $(\bigwedge x::'a::order. least\ \leq\ x) \implies \max\ x\ least = x$ 
apply (simp add: max-def)
apply (blast intro: antisym)
done

```

### 3.9 Top and bottom elements

```

class top = preorder +
  fixes  $top :: 'a$ 
  assumes top-greatest [simp]:  $x \leq top$ 

```

```

class bot = preorder +
  fixes  $bot :: 'a$ 
  assumes bot-least [simp]:  $bot \leq x$ 

```

### 3.10 Dense orders

```

class dense-linorder = linorder +
  assumes gt-ex:  $\exists y. x < y$ 
  and lt-ex:  $\exists y. y < x$ 
  and dense:  $x < y \implies (\exists z. x < z \wedge z < y)$ 
begin

```

```

lemma dense-le:
  fixes  $y\ z :: 'a$ 
  assumes  $\bigwedge x. x < y \implies x \leq z$ 
  shows  $y \leq z$ 
proof (rule ccontr)
  assume  $\neg ?thesis$ 
  hence  $z < y$  by simp
  from dense[OF this]

```

obtain  $x$  where  $x < y$  and  $z < x$  by *safe*  
 moreover have  $x \leq z$  using *assms*[*OF*  $\langle x < y \rangle$ ] .  
 ultimately show *False* by *auto*  
 qed

lemma *dense-le-bounded*:

fixes  $x\ y\ z :: 'a$   
 assumes  $x < y$   
 assumes \*:  $\bigwedge w. \llbracket x < w ; w < y \rrbracket \implies w \leq z$   
 shows  $y \leq z$   
 proof (rule *dense-le*)  
 fix  $w$  assume  $w < y$   
 from *dense*[*OF*  $\langle x < y \rangle$ ] obtain  $u$  where  $x < u < y$  by *safe*  
 from *linear*[*of*  $u\ w$ ]  
 show  $w \leq z$   
 proof (rule *disjE*)  
 assume  $u \leq w$   
 from *less-le-trans*[*OF*  $\langle x < u \rangle \langle u \leq w \rangle$ ]  $\langle w < y \rangle$   
 show  $w \leq z$  by (rule \*)  
 next  
 assume  $w \leq u$   
 from  $\langle w \leq u \rangle$  \* [*OF*  $\langle x < u \rangle \langle u < y \rangle$ ]  
 show  $w \leq z$  by (rule *order-trans*)  
 qed  
 qed  
 end

### 3.11 Wellorders

class *wellorder* = *linorder* +  
 assumes *less-induct* [*case-names less*]:  $(\bigwedge x. (\bigwedge y. y < x \implies P\ y) \implies P\ x) \implies P\ a$   
 begin

lemma *wellorder-Least-lemma*:

fixes  $k :: 'a$   
 assumes  $P\ k$   
 shows *LeastI*:  $P\ (\text{LEAST } x. P\ x)$  and *Least-le*:  $(\text{LEAST } x. P\ x) \leq k$   
 proof –  
 have  $P\ (\text{LEAST } x. P\ x) \wedge (\text{LEAST } x. P\ x) \leq k$   
 using *assms* proof (induct  $k$  rule: *less-induct*)  
 case (*less x*) then have  $P\ x$  by *simp*  
 show ?case proof (rule *classical*)  
 assume *assm*:  $\neg (P\ (\text{LEAST } a. P\ a) \wedge (\text{LEAST } a. P\ a) \leq x)$   
 have  $\bigwedge y. P\ y \implies x \leq y$   
 proof (rule *classical*)  
 fix  $y$   
 assume  $P\ y$  and  $\neg x \leq y$

```

with less have  $P \text{ (LEAST } a. P a) \text{ and } (LEAST a. P a) \leq y$ 
by (auto simp add: not-le)
with assm have  $x < (LEAST a. P a) \text{ and } (LEAST a. P a) \leq y$ 
by auto
then show  $x \leq y$  by auto
qed
with  $\langle P x \rangle$  have Least:  $(LEAST a. P a) = x$ 
by (rule Least-equality)
with  $\langle P x \rangle$  show ?thesis by simp
qed
qed
then show  $P \text{ (LEAST } x. P x) \text{ and } (LEAST x. P x) \leq k$  by auto
qed

```

— The following 3 lemmas are due to Brian Huffman

**lemma** *LeastI-ex*:  $\exists x. P x \implies P \text{ (Least } P)$   
**by** (*erule exE*) (*erule LeastI*)

**lemma** *LeastI2*:  
 $P a \implies (\bigwedge x. P x \implies Q x) \implies Q \text{ (Least } P)$   
**by** (*blast intro: LeastI*)

**lemma** *LeastI2-ex*:  
 $\exists a. P a \implies (\bigwedge x. P x \implies Q x) \implies Q \text{ (Least } P)$   
**by** (*blast intro: LeastI-ex*)

**lemma** *not-less-Least*:  $k < (LEAST x. P x) \implies \neg P k$   
**apply** (*simp (no-asm-use) add: not-le [symmetric]*)  
**apply** (*erule contrapos-nn*)  
**apply** (*erule Least-le*)  
**done**

**end**

### 3.12 Order on bool

**instantiation** *bool* ::  $\{order, top, bot\}$   
**begin**

**definition**  
*le-bool-def* [*code del*]:  $P \leq Q \longleftrightarrow P \longrightarrow Q$

**definition**  
*less-bool-def* [*code del*]:  $(P::bool) < Q \longleftrightarrow \neg P \wedge Q$

**definition**  
*top-bool-eq*:  $top = True$

**definition**

*bot-bool-eq*:  $bot = False$

**instance proof**

**qed** (*auto simp add: bot-bool-eq top-bool-eq less-bool-def, auto simp add: le-bool-def*)

**end**

**lemma** *le-boolI*:  $(P \implies Q) \implies P \leq Q$   
**by** (*simp add: le-bool-def*)

**lemma** *le-boolI'*:  $P \longrightarrow Q \implies P \leq Q$   
**by** (*simp add: le-bool-def*)

**lemma** *le-boolE*:  $P \leq Q \implies P \implies (Q \implies R) \implies R$   
**by** (*simp add: le-bool-def*)

**lemma** *le-boolD*:  $P \leq Q \implies P \longrightarrow Q$   
**by** (*simp add: le-bool-def*)

**lemma** *bot-boolE*:  $bot \implies P$   
**by** (*simp add: bot-bool-eq*)

**lemma** *top-boolI*: *top*  
**by** (*simp add: top-bool-eq*)

**lemma** [*code*]:  
 $False \leq b \longleftrightarrow True$   
 $True \leq b \longleftrightarrow b$   
 $False < b \longleftrightarrow b$   
 $True < b \longleftrightarrow False$   
**unfolding** *le-bool-def less-bool-def* **by** *simp-all*

### 3.13 Order on functions

**instantiation** *fun* :: (*type*, *ord*) *ord*  
**begin**

**definition**

*le-fun-def* [*code del*]:  $f \leq g \longleftrightarrow (\forall x. f\ x \leq g\ x)$

**definition**

*less-fun-def* [*code del*]:  $(f :: 'a \Rightarrow 'b) < g \longleftrightarrow f \leq g \wedge \neg (g \leq f)$

**instance ..**

**end**

**instance** *fun* :: (*type*, *preorder*) *preorder* **proof**  
**qed** (*auto simp add: le-fun-def less-fun-def*)

```

    intro: order-trans antisym intro!: ext)

instance fun :: (type, order) order proof
qed (auto simp add: le-fun-def intro: antisym ext)

instantiation fun :: (type, top) top
begin

definition
  top-fun-eq: top = ( $\lambda x.$  top)

instance proof
qed (simp add: top-fun-eq le-fun-def)

end

instantiation fun :: (type, bot) bot
begin

definition
  bot-fun-eq: bot = ( $\lambda x.$  bot)

instance proof
qed (simp add: bot-fun-eq le-fun-def)

end

lemma le-funI: ( $\bigwedge x. f\ x \leq g\ x$ )  $\implies f \leq g$ 
  unfolding le-fun-def by simp

lemma le-funE:  $f \leq g \implies (f\ x \leq g\ x \implies P) \implies P$ 
  unfolding le-fun-def by simp

lemma le-funD:  $f \leq g \implies f\ x \leq g\ x$ 
  unfolding le-fun-def by simp

```

### 3.14 Name duplicates

```

lemmas order-eq-refl = preorder-class.eq-refl
lemmas order-less-irrefl = preorder-class.less-irrefl
lemmas order-less-imp-le = preorder-class.less-imp-le
lemmas order-less-not-sym = preorder-class.less-not-sym
lemmas order-less-asymp = preorder-class.less-asymp
lemmas order-less-trans = preorder-class.less-trans
lemmas order-le-less-trans = preorder-class.le-less-trans
lemmas order-less-le-trans = preorder-class.less-le-trans
lemmas order-less-imp-not-less = preorder-class.less-imp-not-less
lemmas order-less-imp-triv = preorder-class.less-imp-triv
lemmas order-less-asymp' = preorder-class.less-asymp'

```

```

lemmas order-less-le = order-class.less-le
lemmas order-le-less = order-class.le-less
lemmas order-le-imp-less-or-eq = order-class.le-imp-less-or-eq
lemmas order-less-imp-not-eq = order-class.less-imp-not-eq
lemmas order-less-imp-not-eq2 = order-class.less-imp-not-eq2
lemmas order-neq-le-trans = order-class.neq-le-trans
lemmas order-le-neq-trans = order-class.le-neq-trans
lemmas order-antisym = order-class.antisym
lemmas order-eq-iff = order-class.eq-iff
lemmas order-antisym-conv = order-class.antisym-conv

lemmas linorder-linear = linorder-class.linear
lemmas linorder-less-linear = linorder-class.less-linear
lemmas linorder-le-less-linear = linorder-class.le-less-linear
lemmas linorder-le-cases = linorder-class.le-cases
lemmas linorder-not-less = linorder-class.not-less
lemmas linorder-not-le = linorder-class.not-le
lemmas linorder-neq-iff = linorder-class.neq-iff
lemmas linorder-neqE = linorder-class.neqE
lemmas linorder-antisym-conv1 = linorder-class.antisym-conv1
lemmas linorder-antisym-conv2 = linorder-class.antisym-conv2
lemmas linorder-antisym-conv3 = linorder-class.antisym-conv3

end

```

## 4 Groups: Groups, also combined with orderings

```

theory Groups
imports Orderings
uses (~~/src/Provers/Arith/abel-cancel.ML)
begin

```

### 4.1 Fact collections

```

ML ⟨⟨
  structure Ac-Simps = Named-Thms(
    val name = ac-simps
    val description = associativity and commutativity simplification rules
  )
  ⟩⟩

```

```

setup Ac-Simps.setup

```

The rewrites accumulated in *algebra-simps* deal with the classical algebraic structures of groups, rings and family. They simplify terms by multiplying everything out (in case of a ring) and bringing sums and products into a canonical form (by ordered rewriting). As a result it decides group and ring

equalities but also helps with inequalities.

Of course it also works for fields, but it knows nothing about multiplicative inverses or division. This is catered for by *field-simps*.

```
ML <<
structure Algebra-Simps = Named-Thms(
  val name = algebra-simps
  val description = algebra simplification rules
)
>>
```

```
setup Algebra-Simps.setup
```

Lemmas *field-simps* multiply with denominators in (in)equations if they can be proved to be non-zero (for equations) or positive/negative (for inequalities). Can be too aggressive and is therefore separate from the more benign *algebra-simps*.

```
ML <<
structure Field-Simps = Named-Thms(
  val name = field-simps
  val description = algebra simplification rules for fields
)
>>
```

```
setup Field-Simps.setup
```

## 4.2 Abstract structures

These locales provide basic structures for interpretation into bigger structures; extensions require careful thinking, otherwise undesired effects may occur due to interpretation.

```
locale semigroup =
  fixes f :: 'a ⇒ 'a ⇒ 'a (infixl * 70)
  assumes assoc [ac-simps]: a * b * c = a * (b * c)
```

```
locale abel-semigroup = semigroup +
  assumes commute [ac-simps]: a * b = b * a
begin
```

```
lemma left-commute [ac-simps]:
  b * (a * c) = a * (b * c)
```

```
proof -
```

```
  have (b * a) * c = (a * b) * c
    by (simp only: commute)
```

```
  then show ?thesis
```

```
    by (simp only: assoc)
```

```
qed
```



**end**

**locale** *monoid* = *semigroup* +  
**fixes** *z* :: 'a (1)  
**assumes** *left-neutral* [simp]:  $1 * a = a$   
**assumes** *right-neutral* [simp]:  $a * 1 = a$

**locale** *comm-monoid* = *abel-semigroup* +  
**fixes** *z* :: 'a (1)  
**assumes** *comm-neutral*:  $a * 1 = a$

**sublocale** *comm-monoid* < *monoid* **proof**  
**qed** (*simp-all add: commute comm-neutral*)

### 4.3 Generic operations

**class** *zero* =  
**fixes** *zero* :: 'a (0)

**class** *one* =  
**fixes** *one* :: 'a (1)

**hide-const** (**open**) *zero one*

**syntax**  
*-index1* :: *index* (1)

**translations**  
*(index)* <sub>1</sub> => (*index*)  $\diamond$

**lemma** *Let-0* [simp]:  $\text{Let } 0 \ f = f \ 0$   
**unfolding** *Let-def* ..

**lemma** *Let-1* [simp]:  $\text{Let } 1 \ f = f \ 1$   
**unfolding** *Let-def* ..

**setup** <<  
*Reorient-Proc.add*  
 (fn *Const*(@{*const-name* *Groups.zero*}, -) => *true*  
   | *Const*(@{*const-name* *Groups.one*}, -) => *true*  
   | - => *false*)  
 >>

**simproc-setup** *reorient-zero* ( $0 = x$ ) = *Reorient-Proc.proc*  
**simproc-setup** *reorient-one* ( $1 = x$ ) = *Reorient-Proc.proc*

**typed-print-translation** <<  
*let*  
 fun *tr'* *c* = (*c*, fn *show-sorts* => fn *T* => fn *ts* =>  
   if (not *o null*) *ts* orelse *T* = dummy*T*

```

    orelse not (! show-types) andalso can Term.dest-Type T
  then raise Match
    else Syntax.const Syntax.constrainC $ Syntax.const c $ Syntax.term-of-typ
show-sorts T);
in map tr' [@{const-syntax Groups.one}, @{const-syntax Groups.zero}] end;
>> — show types that are presumably too general

```

```

class plus =
  fixes plus :: 'a ⇒ 'a ⇒ 'a (infixl + 65)

```

```

class minus =
  fixes minus :: 'a ⇒ 'a ⇒ 'a (infixl - 65)

```

```

class uminus =
  fixes uminus :: 'a ⇒ 'a (- - [81] 80)

```

```

class times =
  fixes times :: 'a ⇒ 'a ⇒ 'a (infixl * 70)

```

```

use ~~/src/Provers/Arith/abel-cancel.ML

```

#### 4.4 Semigroups and Monoids

```

class semigroup-add = plus +
  assumes add-assoc [algebra-simps, field-simps]: (a + b) + c = a + (b + c)

```

```

sublocale semigroup-add < add!: semigroup plus proof
qed (fact add-assoc)

```

```

class ab-semigroup-add = semigroup-add +
  assumes add-commute [algebra-simps, field-simps]: a + b = b + a

```

```

sublocale ab-semigroup-add < add!: ab-semigroup plus proof
qed (fact add-commute)

```

```

context ab-semigroup-add
begin

```

```

lemmas add-left-commute [algebra-simps, field-simps] = add.left-commute

```

```

theorems add-ac = add-assoc add-commute add-left-commute

```

```

end

```

```

theorems add-ac = add-assoc add-commute add-left-commute

```

```

class semigroup-mult = times +
  assumes mult-assoc [algebra-simps, field-simps]: (a * b) * c = a * (b * c)

```

**sublocale** *semigroup-mult* < *mult!*: *semigroup times* **proof**  
**qed** (*fact mult-assoc*)

**class** *ab-semigroup-mult* = *semigroup-mult* +  
**assumes** *mult-commute* [*algebra-simps*, *field-simps*]:  $a * b = b * a$

**sublocale** *ab-semigroup-mult* < *mult!*: *abel-semigroup times* **proof**  
**qed** (*fact mult-commute*)

**context** *ab-semigroup-mult*  
**begin**

**lemmas** *mult-left-commute* [*algebra-simps*, *field-simps*] = *mult.left-commute*

**theorems** *mult-ac* = *mult-assoc mult-commute mult-left-commute*

**end**

**theorems** *mult-ac* = *mult-assoc mult-commute mult-left-commute*

**class** *monoid-add* = *zero* + *semigroup-add* +  
**assumes** *add-0-left*:  $0 + a = a$   
**and** *add-0-right*:  $a + 0 = a$

**sublocale** *monoid-add* < *add!*: *monoid plus 0* **proof**  
**qed** (*fact add-0-left add-0-right*) +

**lemma** *zero-reorient*:  $0 = x \longleftrightarrow x = 0$   
**by** (*rule eq-commute*)

**class** *comm-monoid-add* = *zero* + *ab-semigroup-add* +  
**assumes** *add-0*:  $0 + a = a$

**sublocale** *comm-monoid-add* < *add!*: *comm-monoid plus 0* **proof**  
**qed** (*insert add-0, simp add: ac-simps*)

**subclass** (**in** *comm-monoid-add*) *monoid-add* **proof**  
**qed** (*fact add.left-neutral add.right-neutral*) +

**class** *monoid-mult* = *one* + *semigroup-mult* +  
**assumes** *mult-1-left*:  $1 * a = a$   
**and** *mult-1-right*:  $a * 1 = a$

**sublocale** *monoid-mult* < *mult!*: *monoid times 1* **proof**  
**qed** (*fact mult-1-left mult-1-right*) +

**lemma** *one-reorient*:  $1 = x \longleftrightarrow x = 1$   
**by** (*rule eq-commute*)

```
class comm-monoid-mult = one + ab-semigroup-mult +
  assumes mult-1:  $1 * a = a$ 
```

```
sublocale comm-monoid-mult < mult!: comm-monoid times 1 proof
qed (insert mult-1, simp add: ac-simps)
```

```
subclass (in comm-monoid-mult) monoid-mult proof
qed (fact mult.left-neutral mult.right-neutral)+
```

```
class cancel-semigroup-add = semigroup-add +
  assumes add-left-imp-eq:  $a + b = a + c \implies b = c$ 
  assumes add-right-imp-eq:  $b + a = c + a \implies b = c$ 
begin
```

```
lemma add-left-cancel [simp]:
 $a + b = a + c \longleftrightarrow b = c$ 
by (blast dest: add-left-imp-eq)
```

```
lemma add-right-cancel [simp]:
 $b + a = c + a \longleftrightarrow b = c$ 
by (blast dest: add-right-imp-eq)
```

```
end
```

```
class cancel-ab-semigroup-add = ab-semigroup-add +
  assumes add-imp-eq:  $a + b = a + c \implies b = c$ 
begin
```

```
subclass cancel-semigroup-add
proof
  fix a b c :: 'a
  assume a + b = a + c
  then show b = c by (rule add-imp-eq)
next
  fix a b c :: 'a
  assume b + a = c + a
  then have a + b = a + c by (simp only: add-commute)
  then show b = c by (rule add-imp-eq)
qed
```

```
end
```

```
class cancel-comm-monoid-add = cancel-ab-semigroup-add + comm-monoid-add
```

## 4.5 Groups

```
class group-add = minus + uminus + monoid-add +
  assumes left-minus [simp]:  $- a + a = 0$ 
  assumes diff-minus:  $a - b = a + (- b)$ 
```

**begin**

**lemma** *minus-unique*:

**assumes**  $a + b = 0$  **shows**  $- a = b$

**proof**  $-$

**have**  $- a = - a + (a + b)$  **using** *assms* **by** *simp*

**also have**  $\dots = b$  **by** (*simp add: add-assoc [symmetric]*)

**finally show** *?thesis* .

**qed**

**lemmas** *equals-zero-I = minus-unique*

**lemma** *minus-zero [simp]*:  $- 0 = 0$

**proof**  $-$

**have**  $0 + 0 = 0$  **by** (*rule add-0-right*)

**thus**  $- 0 = 0$  **by** (*rule minus-unique*)

**qed**

**lemma** *minus-minus [simp]*:  $- (- a) = a$

**proof**  $-$

**have**  $- a + a = 0$  **by** (*rule left-minus*)

**thus**  $- (- a) = a$  **by** (*rule minus-unique*)

**qed**

**lemma** *right-minus [simp]*:  $a + - a = 0$

**proof**  $-$

**have**  $a + - a = - (- a) + - a$  **by** *simp*

**also have**  $\dots = 0$  **by** (*rule left-minus*)

**finally show** *?thesis* .

**qed**

**lemma** *minus-add-cancel*:  $- a + (a + b) = b$

**by** (*simp add: add-assoc [symmetric]*)

**lemma** *add-minus-cancel*:  $a + (- a + b) = b$

**by** (*simp add: add-assoc [symmetric]*)

**lemma** *minus-add*:  $- (a + b) = - b + - a$

**proof**  $-$

**have**  $(a + b) + (- b + - a) = 0$

**by** (*simp add: add-assoc add-minus-cancel*)

**thus**  $- (a + b) = - b + - a$

**by** (*rule minus-unique*)

**qed**

**lemma** *right-minus-eq*:  $a - b = 0 \longleftrightarrow a = b$

**proof**

**assume**  $a - b = 0$

**have**  $a = (a - b) + b$  **by** (*simp add: diff-minus add-assoc*)

also have  $\dots = b$  using  $\langle a - b = 0 \rangle$  by *simp*  
 finally show  $a = b$  .  
 next  
 assume  $a = b$  thus  $a - b = 0$  by (*simp add: diff-minus*)  
 qed

**lemma** *diff-self* [*simp*]:  $a - a = 0$   
 by (*simp add: diff-minus*)

**lemma** *diff-0* [*simp*]:  $0 - a = - a$   
 by (*simp add: diff-minus*)

**lemma** *diff-0-right* [*simp*]:  $a - 0 = a$   
 by (*simp add: diff-minus*)

**lemma** *diff-minus-eq-add* [*simp*]:  $a - - b = a + b$   
 by (*simp add: diff-minus*)

**lemma** *neg-equal-iff-equal* [*simp*]:  
 $- a = - b \longleftrightarrow a = b$

**proof**  
 assume  $- a = - b$   
 hence  $- (- a) = - (- b)$  by *simp*  
 thus  $a = b$  by *simp*  
 next  
 assume  $a = b$   
 thus  $- a = - b$  by *simp*  
 qed

**lemma** *neg-equal-0-iff-equal* [*simp*]:  
 $- a = 0 \longleftrightarrow a = 0$   
 by (*subst neg-equal-iff-equal [symmetric], simp*)

**lemma** *neg-0-equal-iff-equal* [*simp*]:  
 $0 = - a \longleftrightarrow 0 = a$   
 by (*subst neg-equal-iff-equal [symmetric], simp*)

The next two equations can make the simplifier loop!

**lemma** *equation-minus-iff*:  
 $a = - b \longleftrightarrow b = - a$   
**proof** -  
 have  $- (- a) = - b \longleftrightarrow - a = b$  by (*rule neg-equal-iff-equal*)  
 thus ?thesis by (*simp add: eq-commute*)  
 qed

**lemma** *minus-equation-iff*:  
 $- a = b \longleftrightarrow - b = a$   
**proof** -  
 have  $- a = - (- b) \longleftrightarrow a = - b$  by (*rule neg-equal-iff-equal*)

```

    thus ?thesis by (simp add: eq-commute)
qed

lemma diff-add-cancel:  $a - b + b = a$ 
by (simp add: diff-minus add-assoc)

lemma add-diff-cancel:  $a + b - b = a$ 
by (simp add: diff-minus add-assoc)

declare diff-minus[symmetric, algebra-simps, field-simps]

lemma eq-neg-iff-add-eq-0:  $a = -b \longleftrightarrow a + b = 0$ 
proof
  assume  $a = -b$  then show  $a + b = 0$  by simp
next
  assume  $a + b = 0$ 
  moreover have  $a + (b + -b) = (a + b) + -b$ 
    by (simp only: add-assoc)
  ultimately show  $a = -b$  by simp
qed

end

class ab-group-add = minus + uminus + comm-monoid-add +
  assumes ab-left-minus:  $-a + a = 0$ 
  assumes ab-diff-minus:  $a - b = a + (-b)$ 
begin

subclass group-add
  proof qed (simp-all add: ab-left-minus ab-diff-minus)

subclass cancel-comm-monoid-add
proof
  fix  $a\ b\ c :: 'a$ 
  assume  $a + b = a + c$ 
  then have  $-a + a + b = -a + a + c$ 
    unfolding add-assoc by simp
  then show  $b = c$  by simp
qed

lemma uminus-add-conv-diff[algebra-simps, field-simps]:
   $-a + b = b - a$ 
by (simp add: diff-minus add-commute)

lemma minus-add-distrib [simp]:
   $-(a + b) = -a + -b$ 
by (rule minus-unique) (simp add: add-ac)

lemma minus-diff-eq [simp]:

```

$-(a - b) = b - a$   
**by** (*simp add: diff-minus add-commute*)

**lemma** *add-diff-eq*[*algebra-simps, field-simps*]:  $a + (b - c) = (a + b) - c$   
**by** (*simp add: diff-minus add-ac*)

**lemma** *diff-add-eq*[*algebra-simps, field-simps*]:  $(a - b) + c = (a + c) - b$   
**by** (*simp add: diff-minus add-ac*)

**lemma** *diff-eq-eq*[*algebra-simps, field-simps*]:  $a - b = c \longleftrightarrow a = c + b$   
**by** (*auto simp add: diff-minus add-assoc*)

**lemma** *eq-diff-eq*[*algebra-simps, field-simps*]:  $a = c - b \longleftrightarrow a + b = c$   
**by** (*auto simp add: diff-minus add-assoc*)

**lemma** *diff-diff-eq*[*algebra-simps, field-simps*]:  $(a - b) - c = a - (b + c)$   
**by** (*simp add: diff-minus add-ac*)

**lemma** *diff-diff-eq2*[*algebra-simps, field-simps*]:  $a - (b - c) = (a + c) - b$   
**by** (*simp add: diff-minus add-ac*)

**lemma** *eq-iff-diff-eq-0*:  $a = b \longleftrightarrow a - b = 0$   
**by** (*simp add: algebra-simps*)

**lemma** *diff-eq-0-iff-eq* [*simp, no-atp*]:  $a - b = 0 \longleftrightarrow a = b$   
**by** (*simp add: algebra-simps*)

**end**

## 4.6 (Partially) Ordered Groups

The theory of partially ordered groups is taken from the books:

- *Lattice Theory* by Garret Birkhoff, American Mathematical Society 1979
- *Partially Ordered Algebraic Systems*, Pergamon Press 1963

Most of the used notions can also be looked up in

- <http://www.mathworld.com> by Eric Weisstein et. al.
- *Algebra I* by van der Waerden, Springer.

**class** *ordered-ab-semigroup-add* = *order* + *ab-semigroup-add* +  
**assumes** *add-left-mono*:  $a \leq b \implies c + a \leq c + b$   
**begin**



**lemma** *add-right-mono*:

$$a \leq b \implies a + c \leq b + c$$

**by** (*simp* *add*: *add-commute* [*of* - *c*] *add-left-mono*)

non-strict, in both arguments

**lemma** *add-mono*:

$$a \leq b \implies c \leq d \implies a + c \leq b + d$$

**apply** (*erule* *add-right-mono* [*THEN* *order-trans*])

**apply** (*simp* *add*: *add-commute* *add-left-mono*)

**done**

**end**

**class** *ordered-cancel-ab-semigroup-add* =

*ordered-ab-semigroup-add* + *cancel-ab-semigroup-add*

**begin**

**lemma** *add-strict-left-mono*:

$$a < b \implies c + a < c + b$$

**by** (*auto* *simp* *add*: *less-le* *add-left-mono*)

**lemma** *add-strict-right-mono*:

$$a < b \implies a + c < b + c$$

**by** (*simp* *add*: *add-commute* [*of* - *c*] *add-strict-left-mono*)

Strict monotonicity in both arguments

**lemma** *add-strict-mono*:

$$a < b \implies c < d \implies a + c < b + d$$

**apply** (*erule* *add-strict-right-mono* [*THEN* *less-trans*])

**apply** (*erule* *add-strict-left-mono*)

**done**

**lemma** *add-less-le-mono*:

$$a < b \implies c \leq d \implies a + c < b + d$$

**apply** (*erule* *add-strict-right-mono* [*THEN* *less-le-trans*])

**apply** (*erule* *add-left-mono*)

**done**

**lemma** *add-le-less-mono*:

$$a \leq b \implies c < d \implies a + c < b + d$$

**apply** (*erule* *add-right-mono* [*THEN* *le-less-trans*])

**apply** (*erule* *add-strict-left-mono*)

**done**

**end**

**class** *ordered-ab-semigroup-add-imp-le* =

*ordered-cancel-ab-semigroup-add* +

**assumes** *add-le-imp-le-left*:  $c + a \leq c + b \implies a \leq b$   
**begin**

**lemma** *add-less-imp-less-left*:  
**assumes** *less*:  $c + a < c + b$  **shows**  $a < b$   
**proof** –  
**from** *less* **have** *le*:  $c + a \leq c + b$  **by** (*simp add: order-le-less*)  
**have**  $a \leq b$   
**apply** (*insert le*)  
**apply** (*drule add-le-imp-le-left*)  
**by** (*insert le, drule add-le-imp-le-left, assumption*)  
**moreover** **have**  $a \neq b$   
**proof** (*rule ccontr*)  
**assume**  $\sim(a \neq b)$   
**then** **have**  $a = b$  **by** *simp*  
**then** **have**  $c + a = c + b$  **by** *simp*  
**with** *less* **show** *False* **by** *simp*  
**qed**  
**ultimately** **show**  $a < b$  **by** (*simp add: order-le-less*)  
**qed**

**lemma** *add-less-imp-less-right*:  
 $a + c < b + c \implies a < b$   
**apply** (*rule add-less-imp-less-left [of c]*)  
**apply** (*simp add: add-commute*)  
**done**

**lemma** *add-less-cancel-left* [*simp*]:  
 $c + a < c + b \iff a < b$   
**by** (*blast intro: add-less-imp-less-left add-strict-left-mono*)

**lemma** *add-less-cancel-right* [*simp*]:  
 $a + c < b + c \iff a < b$   
**by** (*blast intro: add-less-imp-less-right add-strict-right-mono*)

**lemma** *add-le-cancel-left* [*simp*]:  
 $c + a \leq c + b \iff a \leq b$   
**by** (*auto, drule add-le-imp-le-left, simp-all add: add-left-mono*)

**lemma** *add-le-cancel-right* [*simp*]:  
 $a + c \leq b + c \iff a \leq b$   
**by** (*simp add: add-commute [of a c] add-commute [of b c]*)

**lemma** *add-le-imp-le-right*:  
 $a + c \leq b + c \implies a \leq b$   
**by** *simp*

**lemma** *max-add-distrib-left*:  
 $\max x y + z = \max (x + z) (y + z)$

```

unfolding max-def by auto

lemma min-add-distrib-left:
   $\min x y + z = \min (x + z) (y + z)$ 
  unfolding min-def by auto

end

### 4.7 Support for reasoning about signs

class ordered-comm-monoid-add =
  ordered-cancel-ab-semigroup-add + comm-monoid-add
begin

lemma add-pos-nonneg:
  assumes  $0 < a$  and  $0 \leq b$  shows  $0 < a + b$ 
proof –
  have  $0 + 0 < a + b$ 
  using assms by (rule add-less-le-mono)
  then show ?thesis by simp
qed

lemma add-pos-pos:
  assumes  $0 < a$  and  $0 < b$  shows  $0 < a + b$ 
by (rule add-pos-nonneg) (insert assms, auto)

lemma add-nonneg-pos:
  assumes  $0 \leq a$  and  $0 < b$  shows  $0 < a + b$ 
proof –
  have  $0 + 0 < a + b$ 
  using assms by (rule add-le-less-mono)
  then show ?thesis by simp
qed

lemma add-nonneg-nonneg [simp]:
  assumes  $0 \leq a$  and  $0 \leq b$  shows  $0 \leq a + b$ 
proof –
  have  $0 + 0 \leq a + b$ 
  using assms by (rule add-mono)
  then show ?thesis by simp
qed

lemma add-neg-nonpos:
  assumes  $a < 0$  and  $b \leq 0$  shows  $a + b < 0$ 
proof –
  have  $a + b < 0 + 0$ 
  using assms by (rule add-less-le-mono)
  then show ?thesis by simp
qed

```

```

lemma add-neg-neg:
  assumes  $a < 0$  and  $b < 0$  shows  $a + b < 0$ 
by (rule add-neg-nonpos) (insert assms, auto)

lemma add-nonpos-neg:
  assumes  $a \leq 0$  and  $b < 0$  shows  $a + b < 0$ 
proof -
  have  $a + b < 0 + 0$ 
  using assms by (rule add-le-less-mono)
  then show ?thesis by simp
qed

lemma add-nonpos-nonpos:
  assumes  $a \leq 0$  and  $b \leq 0$  shows  $a + b \leq 0$ 
proof -
  have  $a + b \leq 0 + 0$ 
  using assms by (rule add-mono)
  then show ?thesis by simp
qed

lemmas add-sign-intros =
  add-pos-nonneg add-pos-pos add-nonneg-pos add-nonneg-nonneg
  add-neg-nonpos add-neg-neg add-nonpos-neg add-nonpos-nonpos

lemma add-nonneg-eq-0-iff:
  assumes  $x: 0 \leq x$  and  $y: 0 \leq y$ 
  shows  $x + y = 0 \iff x = 0 \wedge y = 0$ 
proof (intro iffI conjI)
  have  $x = x + 0$  by simp
  also have  $x + 0 \leq x + y$  using  $y$  by (rule add-left-mono)
  also assume  $x + y = 0$ 
  also have  $0 \leq x$  using  $x$  .
  finally show  $x = 0$  .
next
  have  $y = 0 + y$  by simp
  also have  $0 + y \leq x + y$  using  $x$  by (rule add-right-mono)
  also assume  $x + y = 0$ 
  also have  $0 \leq y$  using  $y$  .
  finally show  $y = 0$  .
next
  assume  $x = 0 \wedge y = 0$ 
  then show  $x + y = 0$  by simp
qed

end

class ordered-ab-group-add =
  ab-group-add + ordered-ab-semigroup-add

```

**begin**

**subclass** *ordered-cancel-ab-semigroup-add* ..

**subclass** *ordered-ab-semigroup-add-imp-le*

**proof**

**fix**  $a\ b\ c :: 'a$

**assume**  $c + a \leq c + b$

**hence**  $(-c) + (c + a) \leq (-c) + (c + b)$  **by** (*rule add-left-mono*)

**hence**  $((-c) + c) + a \leq ((-c) + c) + b$  **by** (*simp only: add-assoc*)

**thus**  $a \leq b$  **by** *simp*

**qed**

**subclass** *ordered-comm-monoid-add* ..

**lemma** *max-diff-distrib-left*:

**shows**  $\max\ x\ y - z = \max\ (x - z)\ (y - z)$

**by** (*simp add: diff-minus, rule max-add-distrib-left*)

**lemma** *min-diff-distrib-left*:

**shows**  $\min\ x\ y - z = \min\ (x - z)\ (y - z)$

**by** (*simp add: diff-minus, rule min-add-distrib-left*)

**lemma** *le-imp-neg-le*:

**assumes**  $a \leq b$  **shows**  $-b \leq -a$

**proof** -

**have**  $-a + a \leq -a + b$  **using**  $a \leq b$  **by** (*rule add-left-mono*)

**hence**  $0 \leq -a + b$  **by** *simp*

**hence**  $0 + (-b) \leq (-a + b) + (-b)$  **by** (*rule add-right-mono*)

**thus** *?thesis* **by** (*simp add: add-assoc*)

**qed**

**lemma** *neg-le-iff-le* [*simp*]:  $-b \leq -a \longleftrightarrow a \leq b$

**proof**

**assume**  $-b \leq -a$

**hence**  $-(-a) \leq -(-b)$  **by** (*rule le-imp-neg-le*)

**thus**  $a \leq b$  **by** *simp*

**next**

**assume**  $a \leq b$

**thus**  $-b \leq -a$  **by** (*rule le-imp-neg-le*)

**qed**

**lemma** *neg-le-0-iff-le* [*simp*]:  $-a \leq 0 \longleftrightarrow 0 \leq a$

**by** (*subst neg-le-iff-le [symmetric], simp*)

**lemma** *neg-0-le-iff-le* [*simp*]:  $0 \leq -a \longleftrightarrow a \leq 0$

**by** (*subst neg-le-iff-le [symmetric], simp*)

**lemma** *neg-less-iff-less* [*simp*]:  $-b < -a \longleftrightarrow a < b$

**by** (*force simp add: less-le*)

**lemma** *neg-less-0-iff-less* [*simp*]:  $- a < 0 \longleftrightarrow 0 < a$   
**by** (*subst neg-less-iff-less [symmetric], simp*)

**lemma** *neg-0-less-iff-less* [*simp*]:  $0 < - a \longleftrightarrow a < 0$   
**by** (*subst neg-less-iff-less [symmetric], simp*)

The next several equations can make the simplifier loop!

**lemma** *less-minus-iff*:  $a < - b \longleftrightarrow b < - a$   
**proof** –  
   **have**  $(- (-a) < - b) = (b < - a)$  **by** (*rule neg-less-iff-less*)  
   **thus** *?thesis* **by** *simp*  
**qed**

**lemma** *minus-less-iff*:  $- a < b \longleftrightarrow - b < a$   
**proof** –  
   **have**  $(- a < - (-b)) = (- b < a)$  **by** (*rule neg-less-iff-less*)  
   **thus** *?thesis* **by** *simp*  
**qed**

**lemma** *le-minus-iff*:  $a \leq - b \longleftrightarrow b \leq - a$   
**proof** –  
   **have** *mm*:  $!! a (b::'a). (-(-a)) < -b \implies -(-b) < -a$  **by** (*simp only: minus-less-iff*)  
   **have**  $(- (- a) \leq -b) = (b \leq - a)$   
     **apply** (*auto simp only: le-less*)  
     **apply** (*drule mm*)  
     **apply** (*simp-all*)  
     **apply** (*drule mm[simplified], assumption*)  
   **done**  
   **then show** *?thesis* **by** *simp*  
**qed**

**lemma** *minus-le-iff*:  $- a \leq b \longleftrightarrow - b \leq a$   
**by** (*auto simp add: le-less minus-less-iff*)

**lemma** *less-iff-diff-less-0*:  $a < b \longleftrightarrow a - b < 0$   
**proof** –  
   **have**  $(a < b) = (a + (- b) < b + (-b))$   
     **by** (*simp only: add-less-cancel-right*)  
   **also have**  $\dots = (a - b < 0)$  **by** (*simp add: diff-minus*)  
   **finally show** *?thesis* .  
**qed**

**lemma** *diff-less-eq[algebra-simps, field-simps]*:  $a - b < c \longleftrightarrow a < c + b$   
**apply** (*subst less-iff-diff-less-0 [of a]*)  
**apply** (*rule less-iff-diff-less-0 [of - c, THEN ssubst]*)  
**apply** (*simp add: diff-minus add-ac*)  
**done**

```

lemma less-diff-eq[algebra-simps, field-simps]:  $a < c - b \iff a + b < c$ 
apply (subst less-iff-diff-less-0 [of  $a + b$ ])
apply (subst less-iff-diff-less-0 [of  $a$ ])
apply (simp add: diff-minus add-ac)
done

```

```

lemma diff-le-eq[algebra-simps, field-simps]:  $a - b \leq c \iff a \leq c + b$ 
by (auto simp add: le-less diff-less-eq diff-add-cancel add-diff-cancel)

```

```

lemma le-diff-eq[algebra-simps, field-simps]:  $a \leq c - b \iff a + b \leq c$ 
by (auto simp add: le-less less-diff-eq diff-add-cancel add-diff-cancel)

```

```

lemma le-iff-diff-le-0:  $a \leq b \iff a - b \leq 0$ 
by (simp add: algebra-simps)

```

**end**

```

class linordered-ab-semigroup-add =
  linorder + ordered-ab-semigroup-add

```

```

class linordered-cancel-ab-semigroup-add =
  linorder + ordered-cancel-ab-semigroup-add
begin

```

```

subclass linordered-ab-semigroup-add ..

```

```

subclass ordered-ab-semigroup-add-imp-le
proof
  fix  $a\ b\ c :: 'a$ 
  assume le:  $c + a \leq c + b$ 
  show  $a \leq b$ 
  proof (rule ccontr)
    assume  $w: \sim a \leq b$ 
    hence  $b \leq a$  by (simp add: linorder-not-le)
    hence le2:  $c + b \leq c + a$  by (rule add-left-mono)
    have  $a = b$ 
    apply (insert le)
    apply (insert le2)
    apply (drule antisym, simp-all)
    done
  with  $w$  show False
  by (simp add: linorder-not-le [symmetric])
qed
qed

```

**end**

```

class linordered-ab-group-add = linorder + ordered-ab-group-add

```

**begin**

**subclass** *linordered-cancel-ab-semigroup-add* ..

**lemma** *neg-less-eq-nonneg* [*simp*]:

$- a \leq a \iff 0 \leq a$

**proof**

**assume**  $A: - a \leq a$  **show**  $0 \leq a$

**proof** (*rule classical*)

**assume**  $\neg 0 \leq a$

**then have**  $a < 0$  **by** *auto*

**with**  $A$  **have**  $- a < 0$  **by** (*rule le-less-trans*)

**then show** *?thesis* **by** *auto*

**qed**

**next**

**assume**  $A: 0 \leq a$  **show**  $- a \leq a$

**proof** (*rule order-trans*)

**show**  $- a \leq 0$  **using**  $A$  **by** (*simp add: minus-le-iff*)

**next**

**show**  $0 \leq a$  **using**  $A$  .

**qed**

**qed**

**lemma** *neg-less-nonneg* [*simp*]:

$- a < a \iff 0 < a$

**proof**

**assume**  $A: - a < a$  **show**  $0 < a$

**proof** (*rule classical*)

**assume**  $\neg 0 < a$

**then have**  $a \leq 0$  **by** *auto*

**with**  $A$  **have**  $- a < 0$  **by** (*rule less-le-trans*)

**then show** *?thesis* **by** *auto*

**qed**

**next**

**assume**  $A: 0 < a$  **show**  $- a < a$

**proof** (*rule less-trans*)

**show**  $- a < 0$  **using**  $A$  **by** (*simp add: minus-le-iff*)

**next**

**show**  $0 < a$  **using**  $A$  .

**qed**

**qed**

**lemma** *less-eq-neg-nonpos* [*simp*]:

$a \leq - a \iff a \leq 0$

**proof**

**assume**  $A: a \leq - a$  **show**  $a \leq 0$

**proof** (*rule classical*)

**assume**  $\neg a \leq 0$

**then have**  $0 < a$  **by** *auto*



```

    then have  $0 < - a$  using  $A$  by (rule less-le-trans)
    then show ?thesis by auto
  qed
next
  assume  $A: a \leq 0$  show  $a \leq - a$ 
  proof (rule order-trans)
    show  $0 \leq - a$  using  $A$  by (simp add: minus-le-iff)
  next
    show  $a \leq 0$  using  $A$  .
  qed
qed

```

```

lemma equal-neg-zero [simp]:
   $a = - a \longleftrightarrow a = 0$ 
proof
  assume  $a = 0$  then show  $a = - a$  by simp
next
  assume  $A: a = - a$  show  $a = 0$ 
  proof (cases  $0 \leq a$ )
    case True with  $A$  have  $0 \leq - a$  by auto
    with le-minus-iff have  $a \leq 0$  by simp
    with  $A$  show ?thesis by (auto intro: order-trans)
  next
    case False then have  $B: a \leq 0$  by auto
    with  $A$  have  $- a \leq 0$  by auto
    with  $B$  show ?thesis by (auto intro: order-trans)
  qed
qed

```

```

lemma neg-equal-zero [simp]:
   $- a = a \longleftrightarrow a = 0$ 
  by (auto dest: sym)

```

```

lemma double-zero [simp]:
   $a + a = 0 \longleftrightarrow a = 0$ 
proof
  assume  $assm: a + a = 0$ 
  then have  $a: - a = a$  by (rule minus-unique)
  then show  $a = 0$  by (simp only: neg-equal-zero)
qed simp

```

```

lemma double-zero-sym [simp]:
   $0 = a + a \longleftrightarrow a = 0$ 
  by (rule, drule sym) simp-all

```

```

lemma zero-less-double-add-iff-zero-less-single-add [simp]:
   $0 < a + a \longleftrightarrow 0 < a$ 
proof
  assume  $0 < a + a$ 

```

```

then have  $0 - a < a$  by (simp only: diff-less-eq)
then have  $-a < a$  by simp
then show  $0 < a$  by (simp only: neg-less-nonneg)
next
  assume  $0 < a$ 
  with this have  $0 + 0 < a + a$ 
    by (rule add-strict-mono)
  then show  $0 < a + a$  by simp
qed

```

```

lemma zero-le-double-add-iff-zero-le-single-add [simp]:
   $0 \leq a + a \longleftrightarrow 0 \leq a$ 
  by (auto simp add: le-less)

```

```

lemma double-add-less-zero-iff-single-add-less-zero [simp]:
   $a + a < 0 \longleftrightarrow a < 0$ 
proof -
  have  $\neg a + a < 0 \longleftrightarrow \neg a < 0$ 
    by (simp add: not-less)
  then show ?thesis by simp
qed

```

```

lemma double-add-le-zero-iff-single-add-le-zero [simp]:
   $a + a \leq 0 \longleftrightarrow a \leq 0$ 
proof -
  have  $\neg a + a \leq 0 \longleftrightarrow \neg a \leq 0$ 
    by (simp add: not-le)
  then show ?thesis by simp
qed

```

```

lemma le-minus-self-iff:
   $a \leq -a \longleftrightarrow a \leq 0$ 
proof -
  from add-le-cancel-left [of  $-a$   $a + a$   $0$ ]
  have  $a \leq -a \longleftrightarrow a + a \leq 0$ 
    by (simp add: add-assoc [symmetric])
  thus ?thesis by simp
qed

```

```

lemma minus-le-self-iff:
   $-a \leq a \longleftrightarrow 0 \leq a$ 
proof -
  from add-le-cancel-left [of  $-a$   $0$   $a + a$ ]
  have  $-a \leq a \longleftrightarrow 0 \leq a + a$ 
    by (simp add: add-assoc [symmetric])
  thus ?thesis by simp
qed

```

```

lemma minus-max-eq-min:

```

```

    -  $\max x y = \min (-x) (-y)$ 
    by (auto simp add: max-def min-def)

lemma minus-min-eq-max:
  -  $\min x y = \max (-x) (-y)$ 
  by (auto simp add: max-def min-def)

end

context ordered-comm-monoid-add
begin

lemma add-increasing:
   $0 \leq a \implies b \leq c \implies b \leq a + c$ 
  by (insert add-mono [of 0 a b c], simp)

lemma add-increasing2:
   $0 \leq c \implies b \leq a \implies b \leq a + c$ 
  by (simp add: add-increasing add-commute [of a])

lemma add-strict-increasing:
   $0 < a \implies b \leq c \implies b < a + c$ 
  by (insert add-less-le-mono [of 0 a b c], simp)

lemma add-strict-increasing2:
   $0 \leq a \implies b < c \implies b < a + c$ 
  by (insert add-le-less-mono [of 0 a b c], simp)

end

class abs =
  fixes abs :: 'a  $\Rightarrow$  'a
begin

notation (xsymbols)
  abs (|·|)

notation (HTML output)
  abs (|·|)

end

class sgn =
  fixes sgn :: 'a  $\Rightarrow$  'a

class abs-if = minus + uminus + ord + zero + abs +
  assumes abs-if:  $|a| = (\text{if } a < 0 \text{ then } -a \text{ else } a)$ 

class sgn-if = minus + uminus + zero + one + ord + sgn +

```

**assumes** *sgn-if*:  $\text{sgn } x = (\text{if } x = 0 \text{ then } 0 \text{ else if } 0 < x \text{ then } 1 \text{ else } -1)$   
**begin**

**lemma** *sgn0* [*simp*]:  $\text{sgn } 0 = 0$   
**by** (*simp add:sgn-if*)

**end**

**class** *ordered-ab-group-add-abs* = *ordered-ab-group-add* + *abs* +  
**assumes** *abs-ge-zero* [*simp*]:  $|a| \geq 0$   
**and** *abs-ge-self*:  $a \leq |a|$   
**and** *abs-leI*:  $a \leq b \implies -a \leq b \implies |a| \leq b$   
**and** *abs-minus-cancel* [*simp*]:  $|-a| = |a|$   
**and** *abs-triangle-ineq*:  $|a + b| \leq |a| + |b|$   
**begin**

**lemma** *abs-minus-le-zero*:  $-|a| \leq 0$   
**unfolding** *neg-le-0-iff-le* **by** *simp*

**lemma** *abs-of-nonneg* [*simp*]:  
**assumes** *nonneg*:  $0 \leq a$  **shows**  $|a| = a$   
**proof** (*rule antisym*)  
**from** *nonneg le-imp-neg-le* **have**  $-a \leq 0$  **by** *simp*  
**from** *this nonneg* **have**  $-a \leq a$  **by** (*rule order-trans*)  
**then show**  $|a| \leq a$  **by** (*auto intro: abs-leI*)  
**qed** (*rule abs-ge-self*)

**lemma** *abs-idempotent* [*simp*]:  $||a|| = |a|$   
**by** (*rule antisym*)  
*(auto intro!: abs-ge-self abs-leI order-trans [of - |a| 0 |a|])*

**lemma** *abs-eq-0* [*simp*]:  $|a| = 0 \iff a = 0$   
**proof** –  
**have**  $|a| = 0 \implies a = 0$   
**proof** (*rule antisym*)  
**assume** *zero*:  $|a| = 0$   
**with** *abs-ge-self* **show**  $a \leq 0$  **by** *auto*  
**from** *zero* **have**  $|-a| = 0$  **by** *simp*  
**with** *abs-ge-self* [*of - a*] **have**  $-a \leq 0$  **by** *auto*  
**with** *neg-le-0-iff-le* **show**  $0 \leq a$  **by** *auto*  
**qed**  
**then show** *?thesis* **by** *auto*  
**qed**

**lemma** *abs-zero* [*simp*]:  $|0| = 0$   
**by** *simp*

**lemma** *abs-0-eq* [*simp*, *no-atp*]:  $0 = |a| \iff a = 0$   
**proof** –

have  $0 = |a| \iff |a| = 0$  **by** (*simp only: eq-ac*)  
 thus *?thesis* **by** *simp*  
**qed**

**lemma** *abs-le-zero-iff* [*simp*]:  $|a| \leq 0 \iff a = 0$   
**proof** –  
 assume  $|a| \leq 0$   
 then have  $|a| = 0$  **by** (*rule antisym*) *simp*  
 thus  $a = 0$  **by** *simp*  
**next**  
 assume  $a = 0$   
 thus  $|a| \leq 0$  **by** *simp*  
**qed**

**lemma** *zero-less-abs-iff* [*simp*]:  $0 < |a| \iff a \neq 0$   
**by** (*simp add: less-le*)

**lemma** *abs-not-less-zero* [*simp*]:  $\neg |a| < 0$   
**proof** –  
 have  $a: \bigwedge x y. x \leq y \implies \neg y < x$  **by** *auto*  
 show *?thesis* **by** (*simp add: a*)  
**qed**

**lemma** *abs-ge-minus-self*:  $- a \leq |a|$   
**proof** –  
 have  $- a \leq |-a|$  **by** (*rule abs-ge-self*)  
 then show *?thesis* **by** *simp*  
**qed**

**lemma** *abs-minus-commute*:  
 $|a - b| = |b - a|$   
**proof** –  
 have  $|a - b| = |- (a - b)|$  **by** (*simp only: abs-minus-cancel*)  
 also have  $\dots = |b - a|$  **by** *simp*  
 finally show *?thesis* .  
**qed**

**lemma** *abs-of-pos*:  $0 < a \implies |a| = a$   
**by** (*rule abs-of-nonneg, rule less-imp-le*)

**lemma** *abs-of-nonpos* [*simp*]:  
 assumes  $a \leq 0$  shows  $|a| = - a$   
**proof** –  
 let  $?b = - a$   
 have  $- ?b \leq 0 \implies |- ?b| = - (- ?b)$   
 unfolding *abs-minus-cancel* [of  $?b$ ]  
 unfolding *neg-le-0-iff-le* [of  $?b$ ]  
 unfolding *minus-minus* **by** (*erule abs-of-nonneg*)  
 then show *?thesis* **using** *assms* **by** *auto*

qed

**lemma** *abs-of-neg*:  $a < 0 \implies |a| = -a$   
**by** (*rule abs-of-nonpos*, *rule less-imp-le*)

**lemma** *abs-le-D1*:  $|a| \leq b \implies a \leq b$   
**by** (*insert abs-ge-self*, *blast intro: order-trans*)

**lemma** *abs-le-D2*:  $|a| \leq b \implies -a \leq b$   
**by** (*insert abs-le-D1 [of - a]*, *simp*)

**lemma** *abs-le-iff*:  $|a| \leq b \iff a \leq b \wedge -a \leq b$   
**by** (*blast intro: abs-leI dest: abs-le-D1 abs-le-D2*)

**lemma** *abs-triangle-ineq2*:  $|a| - |b| \leq |a - b|$   
**proof** –  
**have**  $|a| = |b + (a - b)|$   
   **by** (*simp add: algebra-simps add-diff-cancel*)  
**then have**  $|a| \leq |b| + |a - b|$   
   **by** (*simp add: abs-triangle-ineq*)  
**then show** *?thesis*  
   **by** (*simp add: algebra-simps*)

qed

**lemma** *abs-triangle-ineq2-sym*:  $|a| - |b| \leq |b - a|$   
**by** (*simp only: abs-minus-commute [of b] abs-triangle-ineq2*)

**lemma** *abs-triangle-ineq3*:  $||a| - |b|| \leq |a - b|$   
**by** (*simp add: abs-le-iff abs-triangle-ineq2 abs-triangle-ineq2-sym*)

**lemma** *abs-triangle-ineq4*:  $|a - b| \leq |a| + |b|$   
**proof** –  
**have**  $|a - b| = |a + -b|$  **by** (*subst diff-minus, rule refl*)  
**also have**  $\dots \leq |a| + |-b|$  **by** (*rule abs-triangle-ineq*)  
**finally show** *?thesis* **by** *simp*

qed

**lemma** *abs-diff-triangle-ineq*:  $|a + b - (c + d)| \leq |a - c| + |b - d|$   
**proof** –  
**have**  $|a + b - (c + d)| = |(a - c) + (b - d)|$  **by** (*simp add: diff-minus add-ac*)  
**also have**  $\dots \leq |a - c| + |b - d|$  **by** (*rule abs-triangle-ineq*)  
**finally show** *?thesis* .

qed

**lemma** *abs-add-abs* [*simp*]:  
 $||a| + |b|| = |a| + |b|$  (**is**  $?L = ?R$ )  
**proof** (*rule antisym*)  
**show**  $?L \geq ?R$  **by** (*rule abs-ge-self*)  
**next**

```

  have ?L ≤ ||a|| + ||b|| by (rule abs-triangle-ineq)
  also have ... = ?R by simp
  finally show ?L ≤ ?R .
qed

```

end

Needed for abelian cancellation simprocs:

```

lemma add-cancel-21: ((x::'a::ab-group-add) + (y + z) = y + u) = (x + z = u)
apply (subst add-left-commute)
apply (subst add-left-cancel)
apply simp
done

```

```

lemma add-cancel-end: (x + (y + z) = y) = (x = - (z::'a::ab-group-add))
apply (subst add-cancel-21 [of - - 0, simplified])
apply (simp add: add-right-cancel [symmetric, of x - z z, simplified])
done

```

```

lemma less-eqI: (x::'a::ordered-ab-group-add) - y = x' - y' ⇒ (x < y) = (x'
< y')
by (simp add: less-iff-diff-less-0 [of x y] less-iff-diff-less-0 [of x' y'])

```

```

lemma le-eqI: (x::'a::ordered-ab-group-add) - y = x' - y' ⇒ (y <= x) = (y'
<= x')
apply (simp add: le-iff-diff-le-0 [of y x] le-iff-diff-le-0 [of y' x'])
apply (simp add: neg-le-iff-le [symmetric, of y-x 0] neg-le-iff-le [symmetric, of
y'-x' 0])
done

```

```

lemma eq-eqI: (x::'a::ab-group-add) - y = x' - y' ⇒ (x = y) = (x' = y')
by (simp only: eq-iff-diff-eq-0 [of x y] eq-iff-diff-eq-0 [of x' y'])

```

```

lemma diff-def: (x::'a::ab-group-add) - y == x + (-y)
by (simp add: diff-minus)

```

```

lemma le-add-right-mono:
  assumes
    a <= b + (c::'a::ordered-ab-group-add)
    c <= d
  shows a <= b + d
  apply (rule-tac order-trans [where y = b+c])
  apply (simp-all add: prems)
  done

```

## 4.8 Tools setup

```

lemma add-mono-thms-linordered-semiring [no-atp]:
  fixes i j k :: 'a::ordered-ab-semigroup-add

```

shows  $i \leq j \wedge k \leq l \implies i + k \leq j + l$   
 and  $i = j \wedge k \leq l \implies i + k \leq j + l$   
 and  $i \leq j \wedge k = l \implies i + k \leq j + l$   
 and  $i = j \wedge k = l \implies i + k = j + l$   
 by (rule add-mono, clarify+)+

lemma add-mono-thms-linordered-field [no-atp]:  
 fixes  $i\ j\ k :: 'a::\text{ordered-cancel-ab-semigroup-add}$   
 shows  $i < j \wedge k = l \implies i + k < j + l$   
 and  $i = j \wedge k < l \implies i + k < j + l$   
 and  $i < j \wedge k \leq l \implies i + k < j + l$   
 and  $i \leq j \wedge k < l \implies i + k < j + l$   
 and  $i < j \wedge k < l \implies i + k < j + l$   
 by (auto intro: add-strict-right-mono add-strict-left-mono  
 add-less-le-mono add-le-less-mono add-strict-mono)

Simplification of  $x - y < (0::'a)$ , etc.

lemmas diff-less-0-iff-less [simp, no-atp] = less-iff-diff-less-0 [symmetric]  
 lemmas diff-le-0-iff-le [simp, no-atp] = le-iff-diff-le-0 [symmetric]

ML  $\ll$   
 structure ab-group-add-cancel = Abel-Cancel  
 (

(\* term order for abelian groups \*)

fun agrp-ord (Const (a, -)) = find-index (fn a' => a = a')  
 [@{const-name Groups.zero}, @{const-name Groups.plus},  
 @{const-name Groups.uminus}, @{const-name Groups.minus}]  
 | agrp-ord - = ~1;

fun termless-agrp (a, b) = (Term-Ord.term-lpo agrp-ord (a, b) = LESS);

local  
 val ac1 = mk-meta-eq @{thm add-assoc};  
 val ac2 = mk-meta-eq @{thm add-commute};  
 val ac3 = mk-meta-eq @{thm add-left-commute};  
 fun solve-add-ac thy - (- \$ (Const (@{const-name Groups.plus},-) \$ - \$ -) \$ -) =  
 SOME ac1  
 | solve-add-ac thy - (- \$ x \$ (Const (@{const-name Groups.plus},-) \$ y \$ z)) =  
 if termless-agrp (y, x) then SOME ac3 else NONE  
 | solve-add-ac thy - (- \$ x \$ y) =  
 if termless-agrp (y, x) then SOME ac2 else NONE  
 | solve-add-ac thy - - = NONE  
in  
 val add-ac-proc = Simplifier.simproc @{theory}  
 add-ac-proc [x + y::'a::ab-semigroup-add] solve-add-ac;  
end;



```

val eq-reflection = @{thm eq-reflection};

val T = @{typ 'a::ab-group-add};

val cancel-ss = HOL-basic-ss settermless termless-agrp
  addsimprocs [add-ac-proc] addsimps
  [@{thm add-0-left}, @{thm add-0-right}, @{thm diff-def},
   @{thm minus-add-distrib}, @{thm minus-minus}, @{thm minus-zero},
   @{thm right-minus}, @{thm left-minus}, @{thm add-minus-cancel},
   @{thm minus-add-cancel}];

val sum-pats = [@{cterm x + y::'a::ab-group-add}, @{cterm x - y::'a::ab-group-add}];

val eqI-rules = [@{thm less-eqI}, @{thm le-eqI}, @{thm eq-eqI}];

val dest-eqI =
  fst o HOLogic.dest-bin @{const-name op =} HOLogic.boolT o HOLogic.dest-Trueprop
  o concl-of;

);
⟩⟩

ML ⟨⟨
  Addsimprocs [ab-group-add-cancel.sum-conv, ab-group-add-cancel.rel-conv];
⟩⟩

code-modulename SML
  Groups Arith

code-modulename OCaml
  Groups Arith

code-modulename Haskell
  Groups Arith

end

```

## 5 Lattices: Abstract lattices

```

theory Lattices
imports Orderings Groups
begin

```

### 5.1 Abstract semilattice

This locales provide a basic structure for interpretation into bigger structures; extensions require careful thinking, otherwise undesired effects may

occur due to interpretation.

```
locale semilattice = abel-semigroup +
  assumes idem [simp]:  $f\ a\ a = a$ 
begin
```

```
lemma left-idem [simp]:
   $f\ a\ (f\ a\ b) = f\ a\ b$ 
  by (simp add: assoc [symmetric])
```

```
end
```

## 5.2 Idempotent semigroup

```
class ab-semigroup-idem-mult = ab-semigroup-mult +
  assumes mult-idem:  $x * x = x$ 
```

```
sublocale ab-semigroup-idem-mult < times!: semilattice times proof
qed (fact mult-idem)
```

```
context ab-semigroup-idem-mult
begin
```

```
lemmas mult-left-idem = times.left-idem
```

```
end
```

## 5.3 Concrete lattices

```
notation
```

```
less-eq (infix  $\sqsubseteq$  50) and
less (infix  $\sqsubset$  50) and
top ( $\top$ ) and
bot ( $\perp$ )
```

```
class semilattice-inf = order +
  fixes inf :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\sqcap$  70)
  assumes inf-le1 [simp]:  $x \sqcap y \sqsubseteq x$ 
  and inf-le2 [simp]:  $x \sqcap y \sqsubseteq y$ 
  and inf-greatest:  $x \sqsubseteq y \Longrightarrow x \sqsubseteq z \Longrightarrow x \sqsubseteq y \sqcap z$ 
```

```
class semilattice-sup = order +
  fixes sup :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\sqcup$  65)
  assumes sup-ge1 [simp]:  $x \sqsubseteq x \sqcup y$ 
  and sup-ge2 [simp]:  $y \sqsubseteq x \sqcup y$ 
  and sup-least:  $y \sqsubseteq x \Longrightarrow z \sqsubseteq x \Longrightarrow y \sqcup z \sqsubseteq x$ 
begin
```

Dual lattice

```
lemma dual-semilattice:
```

```

class.semilattice-inf (op ≥) (op >) sup
by (rule class.semilattice-inf.intro, rule dual-order)
  (unfold-locales, simp-all add: sup-least)

end

```

```

class lattice = semilattice-inf + semilattice-sup

```

### 5.3.1 Intro and elim rules

```

context semilattice-inf
begin

```

```

lemma le-infI1:
  a ⊆ x ⟹ a ⊓ b ⊆ x
  by (rule order-trans) auto

```

```

lemma le-infI2:
  b ⊆ x ⟹ a ⊓ b ⊆ x
  by (rule order-trans) auto

```

```

lemma le-infI: x ⊆ a ⟹ x ⊆ b ⟹ x ⊆ a ⊓ b
  by (rule inf-greatest)

```

```

lemma le-infE: x ⊆ a ⊓ b ⟹ (x ⊆ a ⟹ x ⊆ b ⟹ P) ⟹ P
  by (blast intro: order-trans inf-le1 inf-le2)

```

```

lemma le-inf-iff [simp]:
  x ⊆ y ⊓ z ⟷ x ⊆ y ∧ x ⊆ z
  by (blast intro: le-infI elim: le-infE)

```

```

lemma le-iff-inf:
  x ⊆ y ⟷ x ⊓ y = x
  by (auto intro: le-infI1 antisym dest: eq-iff [THEN iffD1])

```

```

lemma inf-mono: a ⊆ c ⟹ b ≤ d ⟹ a ⊓ b ⊆ c ⊓ d
  by (fast intro: inf-greatest le-infI1 le-infI2)

```

```

lemma mono-inf:
  fixes f :: 'a ⇒ 'b::semilattice-inf
  shows mono f ⟹ f (A ⊓ B) ⊆ f A ⊓ f B
  by (auto simp add: mono-def intro: Lattices.inf-greatest)

```

```

end

```

```

context semilattice-sup
begin

```

```

lemma le-supI1:

```

```

 $x \sqsubseteq a \implies x \sqsubseteq a \sqcup b$ 
by (rule order-trans) auto

lemma le-supI2:
 $x \sqsubseteq b \implies x \sqsubseteq a \sqcup b$ 
by (rule order-trans) auto

lemma le-supI:
 $a \sqsubseteq x \implies b \sqsubseteq x \implies a \sqcup b \sqsubseteq x$ 
by (rule sup-least)

lemma le-supE:
 $a \sqcup b \sqsubseteq x \implies (a \sqsubseteq x \implies b \sqsubseteq x \implies P) \implies P$ 
by (blast intro: order-trans sup-ge1 sup-ge2)

lemma le-sup-iff [simp]:
 $x \sqcup y \sqsubseteq z \iff x \sqsubseteq z \wedge y \sqsubseteq z$ 
by (blast intro: le-supI elim: le-supE)

lemma le-iff-sup:
 $x \sqsubseteq y \iff x \sqcup y = y$ 
by (auto intro: le-supI2 antisym dest: eq-iff [THEN iffD1])

lemma sup-mono:  $a \sqsubseteq c \implies b \leq d \implies a \sqcup b \sqsubseteq c \sqcup d$ 
by (fast intro: sup-least le-supI1 le-supI2)

lemma mono-sup:
  fixes f :: 'a  $\Rightarrow$  'b::semilattice-sup
  shows mono f  $\implies f A \sqcup f B \sqsubseteq f (A \sqcup B)$ 
  by (auto simp add: mono-def intro: Lattices.sup-least)

end

5.3.2 Equational laws

sublocale semilattice-inf < inf!: semilattice inf
proof
  fix a b c
  show  $(a \sqcap b) \sqcap c = a \sqcap (b \sqcap c)$ 
    by (rule antisym) (auto intro: le-infI1 le-infI2)
  show  $a \sqcap b = b \sqcap a$ 
    by (rule antisym) auto
  show  $a \sqcap a = a$ 
    by (rule antisym) auto
qed

context semilattice-inf
begin

```

**lemma** *inf-assoc*:  $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$   
**by** (*fact inf.assoc*)

**lemma** *inf-commute*:  $(x \sqcap y) = (y \sqcap x)$   
**by** (*fact inf.commute*)

**lemma** *inf-left-commute*:  $x \sqcap (y \sqcap z) = y \sqcap (x \sqcap z)$   
**by** (*fact inf.left-commute*)

**lemma** *inf-idem*:  $x \sqcap x = x$   
**by** (*fact inf.idem*)

**lemma** *inf-left-idem*:  $x \sqcap (x \sqcap y) = x \sqcap y$   
**by** (*fact inf.left-idem*)

**lemma** *inf-absorb1*:  $x \sqsubseteq y \implies x \sqcap y = x$   
**by** (*rule antisym*) *auto*

**lemma** *inf-absorb2*:  $y \sqsubseteq x \implies x \sqcap y = y$   
**by** (*rule antisym*) *auto*

**lemmas** *inf-aci* = *inf-commute inf-assoc inf-left-commute inf-left-idem*

**end**

**sublocale** *semilattice-sup* < *sup!*: *semilattice sup*

**proof**

**fix** *a b c*

**show**  $(a \sqcup b) \sqcup c = a \sqcup (b \sqcup c)$

**by** (*rule antisym*) (*auto intro: le-supI1 le-supI2*)

**show**  $a \sqcup b = b \sqcup a$

**by** (*rule antisym*) *auto*

**show**  $a \sqcup a = a$

**by** (*rule antisym*) *auto*

**qed**

**context** *semilattice-sup*

**begin**

**lemma** *sup-assoc*:  $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$   
**by** (*fact sup.assoc*)

**lemma** *sup-commute*:  $(x \sqcup y) = (y \sqcup x)$   
**by** (*fact sup.commute*)

**lemma** *sup-left-commute*:  $x \sqcup (y \sqcup z) = y \sqcup (x \sqcup z)$   
**by** (*fact sup.left-commute*)

**lemma** *sup-idem*:  $x \sqcup x = x$

```

by (fact sup.idem)

lemma sup-left-idem:  $x \sqcup (x \sqcap y) = x \sqcup y$ 
by (fact sup.left-idem)

lemma sup-absorb1:  $y \sqsubseteq x \implies x \sqcup y = x$ 
by (rule antisym) auto

lemma sup-absorb2:  $x \sqsubseteq y \implies x \sqcup y = y$ 
by (rule antisym) auto

lemmas sup-aci = sup-commute sup-assoc sup-left-commute sup-left-idem

end

context lattice
begin

lemma dual-lattice:
  class.lattice (op  $\geq$ ) (op  $>$ ) sup inf
by (rule class.lattice.intro, rule dual-semilattice, rule class.semilattice-sup.intro,
rule dual-order)
  (unfold-locales, auto)

lemma inf-sup-absorb:  $x \sqcap (x \sqcup y) = x$ 
by (blast intro: antisym inf-le1 inf-greatest sup-ge1)

lemma sup-inf-absorb:  $x \sqcup (x \sqcap y) = x$ 
by (blast intro: antisym sup-ge1 sup-least inf-le1)

lemmas inf-sup-aci = inf-aci sup-aci

lemmas inf-sup-ord = inf-le1 inf-le2 sup-ge1 sup-ge2

Towards distributivity

lemma distrib-sup-le:  $x \sqcup (y \sqcap z) \sqsubseteq (x \sqcup y) \sqcap (x \sqcup z)$ 
by (auto intro: le-infI1 le-infI2 le-supI1 le-supI2)

lemma distrib-inf-le:  $(x \sqcap y) \sqcup (x \sqcap z) \sqsubseteq x \sqcap (y \sqcup z)$ 
by (auto intro: le-infI1 le-infI2 le-supI1 le-supI2)

If you have one of them, you have them all.

lemma distrib-imp1:
assumes D:  $\forall x y z. x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$ 
shows  $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$ 
proof–
  have  $x \sqcup (y \sqcap z) = (x \sqcup (x \sqcap z)) \sqcup (y \sqcap z)$  by(simp add:sup-inf-absorb)
  also have  $\dots = x \sqcup (z \sqcap (x \sqcup y))$  by(simp add:D inf-commute sup-assoc)
  also have  $\dots = ((x \sqcup y) \sqcap x) \sqcup ((x \sqcup y) \sqcap z)$ 

```

```

    by(simp add:inf-sup-absorb inf-commute)
    also have ... = (x ⊔ y) ⊓ (x ⊔ z) by(simp add:D)
    finally show ?thesis .
qed

```

**lemma** *distrib-imp2*:

**assumes** *D*:  $\forall x y z. x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

**shows**  $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$

**proof** –

**have**  $x \sqcap (y \sqcup z) = (x \sqcap (x \sqcup z)) \sqcap (y \sqcup z)$  **by**(simp add:inf-sup-absorb)

**also have**  $\dots = x \sqcap (z \sqcup (x \sqcap y))$  **by**(simp add:D sup-commute inf-assoc)

**also have**  $\dots = ((x \sqcap y) \sqcup x) \sqcap ((x \sqcap y) \sqcup z)$

**by**(simp add:sup-inf-absorb sup-commute)

**also have**  $\dots = (x \sqcap y) \sqcup (x \sqcap z)$  **by**(simp add:D)

**finally show** ?thesis .

qed

end

### 5.3.3 Strict order

**context** *semilattice-inf*

**begin**

**lemma** *less-infI1*:

$a \sqsubset x \implies a \sqcap b \sqsubset x$

**by** (auto simp add: less-le inf-absorb1 intro: le-infI1)

**lemma** *less-infI2*:

$b \sqsubset x \implies a \sqcap b \sqsubset x$

**by** (auto simp add: less-le inf-absorb2 intro: le-infI2)

end

**context** *semilattice-sup*

**begin**

**lemma** *less-supI1*:

$x \sqsubset a \implies x \sqsubset a \sqcup b$

**proof** –

**interpret** *dual*: *semilattice-inf*  $op \geq op > sup$

**by** (fact dual-semilattice)

**assume**  $x \sqsubset a$

**then show**  $x \sqsubset a \sqcup b$

**by** (fact dual.less-infI1)

qed

**lemma** *less-supI2*:

$x \sqsubset b \implies x \sqsubset a \sqcup b$

```

proof –
  interpret dual: semilattice-inf op ≥ op > sup
    by (fact dual-semilattice)
  assume  $x \sqsubseteq b$ 
  then show  $x \sqsubseteq a \sqcup b$ 
    by (fact dual.less-infI2)
qed

end

```

## 5.4 Distributive lattices

```

class distrib-lattice = lattice +
  assumes sup-inf-distrib1:  $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$ 

```

```

context distrib-lattice
begin

```

```

lemma sup-inf-distrib2:
   $(y \sqcap z) \sqcup x = (y \sqcup x) \sqcap (z \sqcup x)$ 
by(simp add: inf-sup-aci sup-inf-distrib1)

```

```

lemma inf-sup-distrib1:
   $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$ 
by(rule distrib-imp2[OF sup-inf-distrib1])

```

```

lemma inf-sup-distrib2:
   $(y \sqcup z) \sqcap x = (y \sqcap x) \sqcup (z \sqcap x)$ 
by(simp add: inf-sup-aci inf-sup-distrib1)

```

```

lemma dual-distrib-lattice:
  class.distrib-lattice (op ≥) (op >) sup inf
  by (rule class.distrib-lattice.intro, rule dual-lattice)
    (unfold-locals, fact inf-sup-distrib1)

```

```

lemmas sup-inf-distrib =
  sup-inf-distrib1 sup-inf-distrib2

```

```

lemmas inf-sup-distrib =
  inf-sup-distrib1 inf-sup-distrib2

```

```

lemmas distrib =
  sup-inf-distrib1 sup-inf-distrib2 inf-sup-distrib1 inf-sup-distrib2

```

```

end

```

## 5.5 Bounded lattices and boolean algebras

```

class bounded-lattice-bot = lattice + bot
begin

```



```

lemma inf-bot-left [simp]:
   $\perp \sqcap x = \perp$ 
  by (rule inf-absorb1) simp

lemma inf-bot-right [simp]:
   $x \sqcap \perp = \perp$ 
  by (rule inf-absorb2) simp

lemma sup-bot-left [simp]:
   $\perp \sqcup x = x$ 
  by (rule sup-absorb2) simp

lemma sup-bot-right [simp]:
   $x \sqcup \perp = x$ 
  by (rule sup-absorb1) simp

lemma sup-eq-bot-iff [simp]:
   $x \sqcup y = \perp \iff x = \perp \wedge y = \perp$ 
  by (simp add: eq-iff)

end

class bounded-lattice-top = lattice + top
begin

lemma sup-top-left [simp]:
   $\top \sqcup x = \top$ 
  by (rule sup-absorb1) simp

lemma sup-top-right [simp]:
   $x \sqcup \top = \top$ 
  by (rule sup-absorb2) simp

lemma inf-top-left [simp]:
   $\top \sqcap x = x$ 
  by (rule inf-absorb2) simp

lemma inf-top-right [simp]:
   $x \sqcap \top = x$ 
  by (rule inf-absorb1) simp

lemma inf-eq-top-iff [simp]:
   $x \sqcap y = \top \iff x = \top \wedge y = \top$ 
  by (simp add: eq-iff)

end

class bounded-lattice = bounded-lattice-bot + bounded-lattice-top

```

**begin**

**lemma** *dual-bounded-lattice*:

*class.bounded-lattice* (*op*  $\geq$ ) (*op*  $>$ ) (*op*  $\sqcup$ ) (*op*  $\sqcap$ )  $\top$   $\perp$   
**by** *unfold-locales* (*auto simp add: less-le-not-le*)

**end**

**class** *boolean-algebra* = *distrib-lattice* + *bounded-lattice* + *minus* + *uminus* +

**assumes** *inf-compl-bot*:  $x \sqcap -x = \perp$

**and** *sup-compl-top*:  $x \sqcup -x = \top$

**assumes** *diff-eq*:  $x - y = x \sqcap -y$

**begin**

**lemma** *dual-boolean-algebra*:

*class.boolean-algebra* ( $\lambda x y. x \sqcup -y$ ) *uminus* (*op*  $\geq$ ) (*op*  $>$ ) (*op*  $\sqcup$ ) (*op*  $\sqcap$ )  $\top$   $\perp$

**by** (*rule class.boolean-algebra.intro*, *rule dual-bounded-lattice*, *rule dual-distrib-lattice*)  
(*unfold-locales*, *auto simp add: inf-compl-bot sup-compl-top diff-eq*)

**lemma** *compl-inf-bot*:

$-x \sqcap x = \perp$

**by** (*simp add: inf-commute inf-compl-bot*)

**lemma** *compl-sup-top*:

$-x \sqcup x = \top$

**by** (*simp add: sup-commute sup-compl-top*)

**lemma** *compl-unique*:

**assumes**  $x \sqcap y = \perp$

**and**  $x \sqcup y = \top$

**shows**  $-x = y$

**proof**  $-$

**have**  $(x \sqcap -x) \sqcup (-x \sqcap y) = (x \sqcap y) \sqcup (-x \sqcap y)$

**using** *inf-compl-bot* *assms(1)* **by** *simp*

**then have**  $(-x \sqcap x) \sqcup (-x \sqcap y) = (y \sqcap x) \sqcup (y \sqcap -x)$

**by** (*simp add: inf-commute*)

**then have**  $-x \sqcap (x \sqcup y) = y \sqcap (x \sqcup -x)$

**by** (*simp add: inf-sup-distrib1*)

**then have**  $-x \sqcap \top = y \sqcap \top$

**using** *sup-compl-top* *assms(2)* **by** *simp*

**then show**  $-x = y$  **by** *simp*

**qed**

**lemma** *double-compl* [*simp*]:

$-(-x) = x$

**using** *compl-inf-bot* *compl-sup-top* **by** (*rule compl-unique*)

**lemma** *compl-eq-compl-iff* [*simp*]:

$-x = -y \longleftrightarrow x = y$

```

proof
  assume  $\neg x = \neg y$ 
  then have  $\neg(\neg x) = \neg(\neg y)$  by (rule arg-cong)
  then show  $x = y$  by simp
next
  assume  $x = y$ 
  then show  $\neg x = \neg y$  by simp
qed

lemma compl-bot-eq [simp]:
   $\neg \perp = \top$ 
proof  $\neg$ 
  from sup-compl-top have  $\perp \sqcup \neg \perp = \top$  .
  then show ?thesis by simp
qed

lemma compl-top-eq [simp]:
   $\neg \top = \perp$ 
proof  $\neg$ 
  from inf-compl-bot have  $\top \sqcap \neg \top = \perp$  .
  then show ?thesis by simp
qed

lemma compl-inf [simp]:
   $\neg(x \sqcap y) = \neg x \sqcup \neg y$ 
proof (rule compl-unique)
  have  $(x \sqcap y) \sqcap (\neg x \sqcup \neg y) = (y \sqcap (x \sqcap \neg x)) \sqcup (x \sqcap (y \sqcap \neg y))$ 
    by (simp only: inf-sup-distrib inf-aci)
  then show  $(x \sqcap y) \sqcap (\neg x \sqcup \neg y) = \perp$ 
    by (simp add: inf-compl-bot)
next
  have  $(x \sqcap y) \sqcup (\neg x \sqcup \neg y) = (\neg y \sqcup (x \sqcup \neg x)) \sqcap (\neg x \sqcup (y \sqcup \neg y))$ 
    by (simp only: sup-inf-distrib sup-aci)
  then show  $(x \sqcap y) \sqcup (\neg x \sqcup \neg y) = \top$ 
    by (simp add: sup-compl-top)
qed

lemma compl-sup [simp]:
   $\neg(x \sqcup y) = \neg x \sqcap \neg y$ 
proof  $\neg$ 
  interpret boolean-algebra  $\lambda x y. x \sqcup \neg y$  uminus op  $\geq$  op  $>$  op  $\sqcup$  op  $\sqcap$   $\top$   $\perp$ 
    by (rule dual-boolean-algebra)
  then show ?thesis by simp
qed

lemma compl-mono:
   $x \sqsubseteq y \implies \neg y \sqsubseteq \neg x$ 
proof  $\neg$ 
  assume  $x \sqsubseteq y$ 

```

```

then have  $x \sqcup y = y$  by (simp only: le-iff-sup)
then have  $\neg (x \sqcup y) = \neg y$  by simp
then have  $\neg x \sqcap \neg y = \neg y$  by simp
then have  $\neg y \sqcap \neg x = \neg y$  by (simp only: inf-commute)
then show  $\neg y \sqsubseteq \neg x$  by (simp only: le-iff-inf)
qed

```

```

lemma compl-le-compl-iff:
   $\neg x \leq \neg y \iff y \leq x$ 
by (auto dest: compl-mono)

```

end

## 5.6 Uniqueness of inf and sup

```

lemma (in semilattice-inf) inf-unique:
  fixes f (infixl  $\Delta$  70)
  assumes le1:  $\bigwedge x y. x \Delta y \sqsubseteq x$  and le2:  $\bigwedge x y. x \Delta y \sqsubseteq y$ 
  and greatest:  $\bigwedge x y z. x \sqsubseteq y \implies x \sqsubseteq z \implies x \sqsubseteq y \Delta z$ 
  shows  $x \sqcap y = x \Delta y$ 
proof (rule antisym)
  show  $x \Delta y \sqsubseteq x \sqcap y$  by (rule le-infI) (rule le1, rule le2)
next
  have leI:  $\bigwedge x y z. x \sqsubseteq y \implies x \sqsubseteq z \implies x \sqsubseteq y \Delta z$  by (blast intro: greatest)
  show  $x \sqcap y \sqsubseteq x \Delta y$  by (rule leI) simp-all
qed

```

```

lemma (in semilattice-sup) sup-unique:
  fixes f (infixl  $\nabla$  70)
  assumes ge1 [simp]:  $\bigwedge x y. x \sqsubseteq x \nabla y$  and ge2:  $\bigwedge x y. y \sqsubseteq x \nabla y$ 
  and least:  $\bigwedge x y z. y \sqsubseteq x \implies z \sqsubseteq x \implies y \nabla z \sqsubseteq x$ 
  shows  $x \sqcup y = x \nabla y$ 
proof (rule antisym)
  show  $x \sqcup y \sqsubseteq x \nabla y$  by (rule le-supI) (rule ge1, rule ge2)
next
  have leI:  $\bigwedge x y z. x \sqsubseteq z \implies y \sqsubseteq z \implies x \nabla y \sqsubseteq z$  by (blast intro: least)
  show  $x \nabla y \sqsubseteq x \sqcup y$  by (rule leI) simp-all
qed

```

## 5.7 min/max on linear orders as special case of $op \sqcap / op \sqcup$

sublocale linorder < min-max!: distrib-lattice less-eq less min max

proof

```

  fix x y z
  show  $\max x (\min y z) = \min (\max x y) (\max x z)$ 
  by (auto simp add: min-def max-def)
qed (auto simp add: min-def max-def not-le less-imp-le)

```

```

lemma inf-min:  $\inf = (\min :: 'a :: \{ \text{semilattice-inf, linorder} \} \Rightarrow 'a \Rightarrow 'a)$ 
by (rule ext)+ (auto intro: antisym)

```

**lemma** *sup-max*:  $\text{sup} = (\text{max} :: 'a::\{\text{semilattice-sup}, \text{linorder}\} \Rightarrow 'a \Rightarrow 'a)$   
**by** (*rule ext*) + (*auto intro: antisym*)

**lemmas** *le-maxI1* = *min-max.sup-ge1*

**lemmas** *le-maxI2* = *min-max.sup-ge2*

**lemmas** *min-ac* = *min-max.inf-assoc min-max.inf-commute*  
*min-max.inf.left-commute*

**lemmas** *max-ac* = *min-max.sup-assoc min-max.sup-commute*  
*min-max.sup.left-commute*

## 5.8 Bool as lattice

**instantiation** *bool* :: *boolean-algebra*  
**begin**

**definition**  
*bool-Compl-def*:  $\text{uminus} = \text{Not}$

**definition**  
*bool-diff-def*:  $A - B \longleftrightarrow A \wedge \neg B$

**definition**  
*inf-bool-eq*:  $P \sqcap Q \longleftrightarrow P \wedge Q$

**definition**  
*sup-bool-eq*:  $P \sqcup Q \longleftrightarrow P \vee Q$

**instance proof**  
**qed** (*simp-all add: inf-bool-eq sup-bool-eq le-bool-def*  
*bot-bool-eq top-bool-eq bool-Compl-def bool-diff-def, auto*)

**end**

**lemma** *sup-boolI1*:  
 $P \Longrightarrow P \sqcup Q$   
**by** (*simp add: sup-bool-eq*)

**lemma** *sup-boolI2*:  
 $Q \Longrightarrow P \sqcup Q$   
**by** (*simp add: sup-bool-eq*)

**lemma** *sup-boolE*:  
 $P \sqcup Q \Longrightarrow (P \Longrightarrow R) \Longrightarrow (Q \Longrightarrow R) \Longrightarrow R$   
**by** (*auto simp add: sup-bool-eq*)

## 5.9 Fun as lattice

**instantiation** *fun* :: (*type*, *lattice*) *lattice*  
**begin**

**definition**

*inf-fun-eq* [*code del*]:  $f \sqcap g = (\lambda x. f\ x \sqcap g\ x)$

**definition**

*sup-fun-eq* [*code del*]:  $f \sqcup g = (\lambda x. f\ x \sqcup g\ x)$

**instance proof**

**qed** (*simp-all add: le-fun-def inf-fun-eq sup-fun-eq*)

**end**

**instance** *fun* :: (*type*, *distrib-lattice*) *distrib-lattice*

**proof**

**qed** (*simp-all add: inf-fun-eq sup-fun-eq sup-inf-distrib1*)

**instance** *fun* :: (*type*, *bounded-lattice*) *bounded-lattice* ..

**instantiation** *fun* :: (*type*, *uminus*) *uminus*

**begin**

**definition**

*fun-Compl-def*:  $-\ A = (\lambda x. -\ A\ x)$

**instance** ..

**end**

**instantiation** *fun* :: (*type*, *minus*) *minus*

**begin**

**definition**

*fun-diff-def*:  $A - B = (\lambda x. A\ x - B\ x)$

**instance** ..

**end**

**instance** *fun* :: (*type*, *boolean-algebra*) *boolean-algebra*

**proof**

**qed** (*simp-all add: inf-fun-eq sup-fun-eq bot-fun-eq top-fun-eq fun-Compl-def fun-diff-def  
inf-compl-bot sup-compl-top diff-eq*)

**no-notation**

*less-eq* (**infix**  $\sqsubseteq$  50) **and**

```

less (infix  $\sqsubset$  50) and
inf (infixl  $\sqcap$  70) and
sup (infixl  $\sqcup$  65) and
top ( $\top$ ) and
bot ( $\perp$ )

end

```

## 6 Set: Set theory for higher-order logic

```

theory Set
imports Lattices
begin

```

### 6.1 Sets as predicates

```
global
```

```
types 'a set = 'a => bool
```

```
consts
```

```

Collect      :: ('a => bool) => 'a set      — comprehension
op :         :: 'a => 'a set => bool        — membership

```

```
local
```

```
notation
```

```

op : (op :) and
op : ((-/ : -) [50, 51] 50)

```

```
defs
```

```

mem-def [code]: x : S == S x
Collect-def [code]: Collect P == P

```

```
abbreviation
```

```
not-mem x A ==  $\sim$  (x : A) — non-membership
```

```
notation
```

```

not-mem (op  $\sim$ :) and
not-mem ((-/  $\sim$ : -) [50, 51] 50)

```

```
notation (xsymbols)
```

```

op : (op  $\in$ ) and
op : ((-/  $\in$  -) [50, 51] 50) and
not-mem (op  $\notin$ ) and
not-mem ((-/  $\notin$  -) [50, 51] 50)

```

```
notation (HTML output)
```

```

op : (op ∈) and
op : ((-/ ∈ -) [50, 51] 50) and
not-mem (op ∉) and
not-mem ((-/ ∉ -) [50, 51] 50)

```

Set comprehensions

**syntax**

```
-Coll :: ptnrn => bool => 'a set  ((1{-./ -})
```

**translations**

```
{x. P} == CONST Collect (%x. P)
```

**syntax**

```
-Collect :: idt => 'a set => bool => 'a set  ((1{- ./ -/ -})
```

**syntax** (*xsymbols*)

```
-Collect :: idt => 'a set => bool => 'a set  ((1{- ∈/ -/ -})
```

**translations**

```
{x:A. P} => {x. x:A & P}
```

**lemma** *mem-Collect-eq [iff]*:  $(a : \{x. P(x)\}) = P(a)$

**by** (*simp add: Collect-def mem-def*)

**lemma** *Collect-mem-eq [simp]*:  $\{x. x:A\} = A$

**by** (*simp add: Collect-def mem-def*)

**lemma** *CollectI*:  $P(a) ==> a : \{x. P(x)\}$

**by** *simp*

**lemma** *CollectD*:  $a : \{x. P(x)\} ==> P(a)$

**by** *simp*

**lemma** *Collect-cong*:  $(!!x. P x = Q x) ==> \{x. P(x)\} = \{x. Q(x)\}$

**by** *simp*

Simproc for pulling  $x=t$  in  $\{x. \dots \& x=t \& \dots\}$  to the front (and similarly for  $t=x$ ):

**setup**  $\ll$

*let*

```

val Coll-perm-tac = rtac @{thm Collect-cong} 1 THEN rtac @{thm iffI} 1 THEN
  ALLGOALS(EVERY'[REPEAT-DETERM o (etac @{thm conjE}),
    DEPTH-SOLVE-1 o (ares-tac [@{thm conjI}])])

```

```
val defColl-regroup = Simplifier.simproc @{theory}
```

```
  defined Collect [{x. P x & Q x}]
```

```
  (Quantifier1.rearrange-Coll Coll-perm-tac)
```

*in*

```
Simplifier.map-simpset (fn ss => ss addsimprocs [defColl-regroup])
```

*end*

$\gg$

**lemmas** *CollectE* = *CollectD* [*elim-format*]



Set enumerations

**abbreviation** *empty* :: 'a set ({} ) **where**  
 {}  $\equiv$  bot

**definition** *insert* :: 'a  $\Rightarrow$  'a set  $\Rightarrow$  'a set **where**  
*insert-compr*: *insert* a B = {x. x = a  $\vee$  x  $\in$  B}

**syntax**

-*Finset* :: args  $\Rightarrow$  'a set ({}(-))

**translations**

{x, xs} == CONST *insert* x {xs}  
 {x} == CONST *insert* x {}

## 6.2 Subsets and bounded quantifiers

**abbreviation**

*subset* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
*subset*  $\equiv$  less

**abbreviation**

*subset-eq* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
*subset-eq*  $\equiv$  less-eq

**notation (output)**

*subset* (op <) **and**  
*subset* ((-/ < -) [50, 51] 50) **and**  
*subset-eq* (op <=) **and**  
*subset-eq* ((-/ <= -) [50, 51] 50)

**notation (xsymbols)**

*subset* (op  $\subset$ ) **and**  
*subset* ((-/  $\subset$  -) [50, 51] 50) **and**  
*subset-eq* (op  $\subseteq$ ) **and**  
*subset-eq* ((-/  $\subseteq$  -) [50, 51] 50)

**notation (HTML output)**

*subset* (op  $\subset$ ) **and**  
*subset* ((-/  $\subset$  -) [50, 51] 50) **and**  
*subset-eq* (op  $\subseteq$ ) **and**  
*subset-eq* ((-/  $\subseteq$  -) [50, 51] 50)

**abbreviation (input)**

*supset* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
*supset*  $\equiv$  greater

**abbreviation (input)**

*supset-eq* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**  
*supset-eq*  $\equiv$  greater-eq

**notation** (*xsymbols*)

*supset* (*op*  $\supset$ ) **and**  
*supset* ((*-*/ $\supset$  *-*) [50, 51] 50) **and**  
*supset-eq* (*op*  $\supseteq$ ) **and**  
*supset-eq* ((*-*/ $\supseteq$  *-*) [50, 51] 50)

**global****consts**

*Ball* :: '*a set* => ('*a* => *bool*) => *bool* — bounded universal quantifiers

*Bex* :: '*a set* => ('*a* => *bool*) => *bool* — bounded existential quantifiers

**local****defs**

*Ball-def*: *Ball* *A P* == *ALL* *x*. *x*:*A* --> *P*(*x*)  
*Bex-def*: *Bex* *A P* == *EX* *x*. *x*:*A* & *P*(*x*)

**syntax**

*-Ball* :: *pttrn* => '*a set* => *bool* => *bool* ((*3ALL* *-:/* *-*) [0, 0, 10] 10)  
*-Bex* :: *pttrn* => '*a set* => *bool* => *bool* ((*3EX* *-:/* *-*) [0, 0, 10] 10)  
*-Bex1* :: *pttrn* => '*a set* => *bool* => *bool* ((*3EX!* *-:/* *-*) [0, 0, 10] 10)  
*-Bleast* :: *id* => '*a set* => *bool* => '*a* ((*3LEAST* *-:/* *-*) [0, 0, 10] 10)

**syntax** (*HOL*)

*-Ball* :: *pttrn* => '*a set* => *bool* => *bool* ((*3!* *-:/* *-*) [0, 0, 10] 10)  
*-Bex* :: *pttrn* => '*a set* => *bool* => *bool* ((*3?* *-:/* *-*) [0, 0, 10] 10)  
*-Bex1* :: *pttrn* => '*a set* => *bool* => *bool* ((*3?!* *-:/* *-*) [0, 0, 10] 10)

**syntax** (*xsymbols*)

*-Ball* :: *pttrn* => '*a set* => *bool* => *bool* ((*3V* *-€/* *-*) [0, 0, 10] 10)  
*-Bex* :: *pttrn* => '*a set* => *bool* => *bool* ((*3E* *-€/* *-*) [0, 0, 10] 10)  
*-Bex1* :: *pttrn* => '*a set* => *bool* => *bool* ((*3E!* *-€/* *-*) [0, 0, 10] 10)  
*-Bleast* :: *id* => '*a set* => *bool* => '*a* ((*3LEAST* *-€/* *-*) [0, 0, 10] 10)

**syntax** (*HTML output*)

*-Ball* :: *pttrn* => '*a set* => *bool* => *bool* ((*3V* *-€/* *-*) [0, 0, 10] 10)  
*-Bex* :: *pttrn* => '*a set* => *bool* => *bool* ((*3E* *-€/* *-*) [0, 0, 10] 10)  
*-Bex1* :: *pttrn* => '*a set* => *bool* => *bool* ((*3E!* *-€/* *-*) [0, 0, 10] 10)

**translations**

*ALL* *x*:*A*. *P* == *CONST* *Ball* *A* (%*x*. *P*)  
*EX* *x*:*A*. *P* == *CONST* *Bex* *A* (%*x*. *P*)  
*EX!* *x*:*A*. *P* == *EX!* *x*. *x*:*A* & *P*  
*LEAST* *x*:*A*. *P* == *LEAST* *x*. *x*:*A* & *P*

**syntax (output)**

```

-setlessAll :: [idt, 'a, bool] => bool ((3ALL -<-./ -) [0, 0, 10] 10)
-setlessEx  :: [idt, 'a, bool] => bool ((3EX -<-./ -) [0, 0, 10] 10)
-settleAll  :: [idt, 'a, bool] => bool ((3ALL -<=.-./ -) [0, 0, 10] 10)
-settleEx   :: [idt, 'a, bool] => bool ((3EX -<=.-./ -) [0, 0, 10] 10)
-settleEx1  :: [idt, 'a, bool] => bool ((3EX! -<=.-./ -) [0, 0, 10] 10)

```

**syntax (xsymbols)**

```

-setlessAll :: [idt, 'a, bool] => bool ((3∀ -C-./ -) [0, 0, 10] 10)
-setlessEx  :: [idt, 'a, bool] => bool ((3∃ -C-./ -) [0, 0, 10] 10)
-settleAll  :: [idt, 'a, bool] => bool ((3∀ -⊆-./ -) [0, 0, 10] 10)
-settleEx   :: [idt, 'a, bool] => bool ((3∃ -⊆-./ -) [0, 0, 10] 10)
-settleEx1  :: [idt, 'a, bool] => bool ((3∃! -⊆-./ -) [0, 0, 10] 10)

```

**syntax (HOL output)**

```

-setlessAll :: [idt, 'a, bool] => bool ((3! -<-./ -) [0, 0, 10] 10)
-setlessEx  :: [idt, 'a, bool] => bool ((3? -<-./ -) [0, 0, 10] 10)
-settleAll  :: [idt, 'a, bool] => bool ((3! -<=.-./ -) [0, 0, 10] 10)
-settleEx   :: [idt, 'a, bool] => bool ((3? -<=.-./ -) [0, 0, 10] 10)
-settleEx1  :: [idt, 'a, bool] => bool ((3?! -<=.-./ -) [0, 0, 10] 10)

```

**syntax (HTML output)**

```

-setlessAll :: [idt, 'a, bool] => bool ((3∀ -C-./ -) [0, 0, 10] 10)
-setlessEx  :: [idt, 'a, bool] => bool ((3∃ -C-./ -) [0, 0, 10] 10)
-settleAll  :: [idt, 'a, bool] => bool ((3∀ -⊆-./ -) [0, 0, 10] 10)
-settleEx   :: [idt, 'a, bool] => bool ((3∃ -⊆-./ -) [0, 0, 10] 10)
-settleEx1  :: [idt, 'a, bool] => bool ((3∃! -⊆-./ -) [0, 0, 10] 10)

```

**translations**

```

∀ A ⊂ B. P  =>  ALL A. A ⊂ B --> P
∃ A ⊂ B. P  =>  EX A. A ⊂ B & P
∀ A ⊆ B. P  =>  ALL A. A ⊆ B --> P
∃ A ⊆ B. P  =>  EX A. A ⊆ B & P
∃! A ⊆ B. P =>  EX! A. A ⊆ B & P

```

**print-translation <<**

let

```

val Type (set-type, -) = @{typ 'a set}; (* FIXME 'a => bool (!?) *)
val All-binder = Syntax.binder-name @{const-syntax All};
val Ex-binder  = Syntax.binder-name @{const-syntax Ex};
val impl = @{const-syntax op -->};
val conj = @{const-syntax op &};
val sbset = @{const-syntax subset};
val sbset-eq = @{const-syntax subset-eq};

```

val trans =

```

(((All-binder, impl, sbset), @{syntax-const -setlessAll}),
 ((All-binder, impl, sbset-eq), @{syntax-const -settleAll}),

```

```

((Ex-binder, conj, sbset), @{syntax-const -setlessEx}),
((Ex-binder, conj, sbset-eq), @{syntax-const -setleEx}]);

fun mk v v' c n P =
  if v = v' andalso not (Term.exists-subterm (fn Free (x, -) => x = v | - =>
false) n)
  then Syntax.const c $ Syntax.mark-bound v' $ n $ P else raise Match;

fun tr' q = (q,
  fn [Const (@{syntax-const -bound}, -) $ Free (v, Type (T, -)),
    Const (c, -) $
      (Const (d, -) $ (Const (@{syntax-const -bound}, -) $ Free (v', -)) $ n)
  $ P] =>
  if T = set-type then
    (case AList.lookup (op =) trans (q, c, d) of
      NONE => raise Match
    | SOME l => mk v v' l n P)
    else raise Match
  | - => raise Match);
in
  [tr' All-binder, tr' Ex-binder]
end
>>

```

Translate between  $\{e \mid x1...xn. P\}$  and  $\{u. EX\ x1..xn. u = e \ \& \ P\}$ ;  $\{y. EX\ x1..xn. y = e \ \& \ P\}$  is only translated if  $[0..n]$  subset  $bvs(e)$ .

#### syntax

-Setcompr :: 'a => idts => bool => 'a set ((1{- |/-/ -}))

#### parse-translation <<

```

let
  val ex-tr = snd (mk-binder-tr (EX , @{const-syntax Ex}));

  fun nvars (Const (@{syntax-const -idts}, -) $ - $ idts) = nvars idts + 1
    | nvars - = 1;

  fun setcompr-tr [e, idts, b] =
    let
      val eq = Syntax.const @{const-syntax op =} $ Bound (nvars idts) $ e;
      val P = Syntax.const @{const-syntax op &} $ eq $ b;
      val exP = ex-tr [idts, P];
    in Syntax.const @{const-syntax Collect} $ Term.absdummy (dummyT, exP)
    end;

  in [(@{syntax-const -Setcompr}, setcompr-tr)] end;
>>

```

#### print-translation <<

[Syntax.preserve-binder-abs2-tr' @{const-syntax Ball} @{syntax-const -Ball},

*Syntax.preserve-binder-abs2-tr' @ {const-syntax Bex} @ {syntax-const -Bex}*]  
 >> — to avoid eta-contraction of body

**print-translation** <<

let

val *ex-tr'* = *snd* (*mk-binder-tr'* (@ {const-syntax *Ex*}, *DUMMY*));

fun *setcompr-tr'* [*Abs* (*abs as* (-, -, *P*))] =

let

fun *check* (*Const* (@ {const-syntax *Ex*}, -) \$ *Abs* (-, -, *P*), *n*) = *check* (*P*, *n* + 1)

| *check* (*Const* (@ {const-syntax *op* &}, -) \$  
     (*Const* (@ {const-syntax *op* =}, -) \$ *Bound m* \$ *e*) \$ *P*, *n*) =  
     *n* > 0 andalso *m* = *n* andalso not (*loose-bvar1* (*P*, *n*)) andalso  
     subset (*op* =) (0 upto (*n* - 1), *add-loose-bnos* (*e*, 0, []))  
 | *check* - = false;

fun *tr'* (- \$ *abs*) =

let val - \$ *idts* \$ (- \$ (- \$ - \$ *e*) \$ *Q*) = *ex-tr'* [*abs*]

in *Syntax.const* @ {syntax-const -Setcompr} \$ *e* \$ *idts* \$ *Q* end;

in

if *check* (*P*, 0) then *tr' P*

else

let

val (*x as* - \$ *Free*(*xN*, -), *t*) = *atomic-abs-tr'* *abs*;

val *M* = *Syntax.const* @ {syntax-const -Coll} \$ *x* \$ *t*;

in

case *t* of

*Const* (@ {const-syntax *op* &}, -) \$

(*Const* (@ {const-syntax *op* :}, -) \$

(*Const* (@ {syntax-const -bound}, -) \$ *Free* (*yN*, -)) \$ *A*) \$ *P* =>

if *xN* = *yN* then *Syntax.const* @ {syntax-const -Collect} \$ *x* \$ *A* \$ *P* else

*M*

| - => *M*

end

end;

in [(@ {const-syntax *Collect*}, *setcompr-tr'*)] end;

>>

**setup** <<

let

val *unfold-bex-tac* = *unfold-tac* @ {thms *Bex-def*};

fun *prove-bex-tac ss* = *unfold-bex-tac ss THEN Quantifier1.prove-one-point-ex-tac*;

val *rearrange-bex* = *Quantifier1.rearrange-bex prove-bex-tac*;

val *unfold-ball-tac* = *unfold-tac* @ {thms *Ball-def*};

fun *prove-ball-tac ss* = *unfold-ball-tac ss THEN Quantifier1.prove-one-point-all-tac*;

val *rearrange-ball* = *Quantifier1.rearrange-ball prove-ball-tac*;

val *defBEX-regroup* = *Simplifier.simproc* @ {theory}

defined *BEX* [*EX x:A. P x* & *Q x*] *rearrange-bex*;

```

    val defBALL-regroup = Simplifier.simproc @{theory}
      defined BALL [ALL x:A. P x ==> Q x] rearrange-ball;
  in
    Simplifier.map-simpset (fn ss => ss addsimprocs [defBALL-regroup, defBEX-regroup])
  end
  >>

```

```

lemma ballI [intro!]: (!x. x:A ==> P x) ==> ALL x:A. P x
  by (simp add: Ball-def)

```

```

lemmas strip = impI allI ballI

```

```

lemma bspec [dest?]: ALL x:A. P x ==> x:A ==> P x
  by (simp add: Ball-def)

```

Gives better instantiation for bound:

```

declaration << fn - ==>
  Classical.map-cs (fn cs => cs addbefore (bspec, datac @{thm bspec} 1))
  >>

```

```

ML <<
  structure Simpdata =
  struct

```

```

    open Simpdata;

```

```

    val mksimps-pairs = [(@{const-name Ball}, @{thms bspec})] @ mksimps-pairs;

```

```

    end;

```

```

    open Simpdata;
  >>

```

```

declaration << fn - ==>
  Simplifier.map-ss (fn ss => ss setmksimps (mksimps mksimps-pairs))
  >>

```

```

lemma ballE [elim]: ALL x:A. P x ==> (P x ==> Q) ==> (x ~: A ==> Q)
  ==> Q
  by (unfold Ball-def) blast

```

```

lemma bexI [intro]: P x ==> x:A ==> EX x:A. P x
  — Normally the best argument order: P x constrains the choice of x ∈ A.
  by (unfold Bex-def) blast

```

```

lemma rev-bexI [intro?]: x:A ==> P x ==> EX x:A. P x
  — The best argument order when there is only one x ∈ A.
  by (unfold Bex-def) blast

```

**lemma** *bexCI*:  $(\text{ALL } x:A. \sim P x \implies P a) \implies a:A \implies \text{EX } x:A. P x$   
**by** (*unfold Bex-def*) *blast*

**lemma** *bexE* [*elim!*]:  $\text{EX } x:A. P x \implies (!x. x:A \implies P x \implies Q) \implies Q$   
**by** (*unfold Bex-def*) *blast*

**lemma** *ball-triv* [*simp*]:  $(\text{ALL } x:A. P) = ((\text{EX } x. x:A) \dashv\vdash P)$   
 — Trivial rewrite rule.  
**by** (*simp add: Ball-def*)

**lemma** *bex-triv* [*simp*]:  $(\text{EX } x:A. P) = ((\text{EX } x. x:A) \& P)$   
 — Dual form for existentials.  
**by** (*simp add: Bex-def*)

**lemma** *bex-triv-one-point1* [*simp*]:  $(\text{EX } x:A. x = a) = (a:A)$   
**by** *blast*

**lemma** *bex-triv-one-point2* [*simp*]:  $(\text{EX } x:A. a = x) = (a:A)$   
**by** *blast*

**lemma** *bex-one-point1* [*simp*]:  $(\text{EX } x:A. x = a \& P x) = (a:A \& P a)$   
**by** *blast*

**lemma** *bex-one-point2* [*simp*]:  $(\text{EX } x:A. a = x \& P x) = (a:A \& P a)$   
**by** *blast*

**lemma** *ball-one-point1* [*simp*]:  $(\text{ALL } x:A. x = a \dashv\vdash P x) = (a:A \dashv\vdash P a)$   
**by** *blast*

**lemma** *ball-one-point2* [*simp*]:  $(\text{ALL } x:A. a = x \dashv\vdash P x) = (a:A \dashv\vdash P a)$   
**by** *blast*

Congruence rules

**lemma** *ball-cong*:  
 $A = B \implies (!x. x:B \implies P x = Q x) \implies$   
 $(\text{ALL } x:A. P x) = (\text{ALL } x:B. Q x)$   
**by** (*simp add: Ball-def*)

**lemma** *strong-ball-cong* [*cong*]:  
 $A = B \implies (!x. x:B \implies P x = Q x) \implies$   
 $(\text{ALL } x:A. P x) = (\text{ALL } x:B. Q x)$   
**by** (*simp add: simp-implies-def Ball-def*)

**lemma** *bex-cong*:  
 $A = B \implies (!x. x:B \implies P x = Q x) \implies$   
 $(\text{EX } x:A. P x) = (\text{EX } x:B. Q x)$   
**by** (*simp add: Bex-def cong: conj-cong*)

**lemma** *strong-bex-cong* [*cong*]:

$A = B ==> (!!x. x:B =simp==> P x = Q x) ==>$   
 $(EX x:A. P x) = (EX x:B. Q x)$   
**by** (*simp add: simp-implies-def Bex-def cong: conj-cong*)

### 6.3 Basic operations

#### 6.3.1 Subsets

**lemma** *subsetI* [*intro!*]:  $(\bigwedge x. x \in A \implies x \in B) \implies A \subseteq B$   
**unfolding** *mem-def* **by** (*rule le-funI, rule le-boolI*)

Map the type '*a set* => *anything*' to just '*a*'; for overloading constants whose first argument has type '*a set*'.

**lemma** *subsetD* [*elim, intro?*]:  $A \subseteq B ==> c \in A ==> c \in B$   
**unfolding** *mem-def* **by** (*erule le-funE, erule le-boolE*)  
 — Rule in Modus Ponens style.

**lemma** *rev-subsetD* [*no-atp,intro?*]:  $c \in A ==> A \subseteq B ==> c \in B$   
 — The same, with reversed premises for use with *erule* – cf *rev-mp*.  
**by** (*rule subsetD*)

Converts  $A \subseteq B$  to  $x \in A \implies x \in B$ .

**lemma** *subsetCE* [*no-atp,elim*]:  $A \subseteq B ==> (c \notin A ==> P) ==> (c \in B ==> P) ==> P$   
 — Classical elimination rule.  
**unfolding** *mem-def* **by** (*blast dest: le-funE le-boolE*)

**lemma** *subset-eq* [*no-atp*]:  $A \leq B = (\forall x \in A. x \in B)$  **by** *blast*

**lemma** *contra-subsetD* [*no-atp*]:  $A \subseteq B ==> c \notin B ==> c \notin A$   
**by** *blast*

**lemma** *subset-refl* [*simp*]:  $A \subseteq A$   
**by** (*fact order-refl*)

**lemma** *subset-trans*:  $A \subseteq B ==> B \subseteq C ==> A \subseteq C$   
**by** (*fact order-trans*)

**lemma** *set-rev-mp*:  $x:A ==> A \subseteq B ==> x:B$   
**by** (*rule subsetD*)

**lemma** *set-mp*:  $A \subseteq B ==> x:A ==> x:B$   
**by** (*rule subsetD*)

**lemma** *eq-mem-trans*:  $a=b ==> b \in A ==> a \in A$   
**by** *simp*

**lemmas** *basic-trans-rules* [*trans*] =  
*order-trans-rules set-rev-mp set-mp eq-mem-trans*



### 6.3.2 Equality

**lemma** *set-ext*: **assumes** *prem*:  $(!!x. (x:A) = (x:B))$  **shows**  $A = B$   
**apply** (*rule* *prem* [*THEN ext*, *THEN arg-cong*, *THEN box-equals*])  
**apply** (*rule Collect-mem-eq*)  
**apply** (*rule Collect-mem-eq*)  
**done**

**lemma** *expand-set-eq*:  $(A = B) = (ALL\ x. (x:A) = (x:B))$   
**by**(*auto intro:set-ext*)

**lemma** *subset-antisym* [*intro!*]:  $A \subseteq B ==> B \subseteq A ==> A = B$   
— Anti-symmetry of the subset relation.  
**by** (*iprover intro: set-ext subsetD*)

Equality rules from ZF set theory – are they appropriate here?

**lemma** *equalityD1*:  $A = B ==> A \subseteq B$   
**by** *simp*

**lemma** *equalityD2*:  $A = B ==> B \subseteq A$   
**by** *simp*

Be careful when adding this to the claset as *subset-empty* is in the simpset:  
 $A = \{\}$  goes to  $\{\} \subseteq A$  and  $A \subseteq \{\}$  and then back to  $A = \{\}$ !

**lemma** *equalityE*:  $A = B ==> (A \subseteq B ==> B \subseteq A ==> P) ==> P$   
**by** *simp*

**lemma** *equalityCE* [*elim*]:  
 $A = B ==> (c \in A ==> c \in B ==> P) ==> (c \notin A ==> c \notin B ==> P)$   
 $==> P$   
**by** *blast*

**lemma** *eqset-imp-iff*:  $A = B ==> (x : A) = (x : B)$   
**by** *simp*

**lemma** *equelem-imp-iff*:  $x = y ==> (x : A) = (y : A)$   
**by** *simp*

### 6.3.3 The universal set – UNIV

**abbreviation** *UNIV* :: 'a set **where**  
 $UNIV \equiv top$

**lemma** *UNIV-def*:  
 $UNIV = \{x. True\}$   
**by** (*simp add: top-fun-eq top-bool-eq Collect-def*)

**lemma** *UNIV-I* [*simp*]:  $x : UNIV$

**by** (*simp add: UNIV-def*)

**declare** *UNIV-I* [*intro*] — unsafe makes it less likely to cause problems

**lemma** *UNIV-witness* [*intro?*]:  $EX\ x. x : UNIV$   
**by** *simp*

**lemma** *subset-UNIV* [*simp*]:  $A \subseteq UNIV$   
**by** (*rule subsetI*) (*rule UNIV-I*)

Eta-contracting these two rules (to remove  $P$ ) causes them to be ignored because of their interaction with congruence rules.

**lemma** *ball-UNIV* [*simp*]:  $Ball\ UNIV\ P = All\ P$   
**by** (*simp add: Ball-def*)

**lemma** *bex-UNIV* [*simp*]:  $Bex\ UNIV\ P = Ex\ P$   
**by** (*simp add: Bex-def*)

**lemma** *UNIV-eq-I*:  $(\bigwedge x. x \in A) \implies UNIV = A$   
**by** *auto*

#### 6.3.4 The empty set

**lemma** *empty-def*:  
 $\{\} = \{x. False\}$   
**by** (*simp add: bot-fun-eq bot-bool-eq Collect-def*)

**lemma** *empty-iff* [*simp*]:  $(c : \{\}) = False$   
**by** (*simp add: empty-def*)

**lemma** *emptyE* [*elim!*]:  $a : \{\} \implies P$   
**by** *simp*

**lemma** *empty-subsetI* [*iff*]:  $\{\} \subseteq A$   
 — One effect is to delete the ASSUMPTION  $\{\} \subseteq A$   
**by** *blast*

**lemma** *equals0I*:  $(!!y. y \in A \implies False) \implies A = \{\}$   
**by** *blast*

**lemma** *equals0D*:  $A = \{\} \implies a \notin A$   
 — Use for reasoning about disjointness:  $A\ Int\ B = \{\}$   
**by** *blast*

**lemma** *ball-empty* [*simp*]:  $Ball\ \{\}\ P = True$   
**by** (*simp add: Ball-def*)

**lemma** *bex-empty* [*simp*]:  $Bex\ \{\}\ P = False$   
**by** (*simp add: Bex-def*)

**lemma** *UNIV-not-empty* [iff]:  $UNIV \sim = \{\}$   
**by** (*blast elim: equalityE*)

### 6.3.5 The Powerset operator – Pow

**definition** *Pow* :: 'a set => 'a set set **where**  
*Pow-def*:  $Pow\ A = \{B. B \leq A\}$

**lemma** *Pow-iff* [iff]:  $(A \in Pow\ B) = (A \subseteq B)$   
**by** (*simp add: Pow-def*)

**lemma** *PowI*:  $A \subseteq B ==> A \in Pow\ B$   
**by** (*simp add: Pow-def*)

**lemma** *PowD*:  $A \in Pow\ B ==> A \subseteq B$   
**by** (*simp add: Pow-def*)

**lemma** *Pow-bottom*:  $\{\} \in Pow\ B$   
**by** *simp*

**lemma** *Pow-top*:  $A \in Pow\ A$   
**by** *simp*

### 6.3.6 Set complement

**lemma** *Compl-iff* [simp]:  $(c \in -A) = (c \notin A)$   
**by** (*simp add: mem-def fun-Compl-def bool-Compl-def*)

**lemma** *ComplI* [intro!]:  $(c \in A ==> False) ==> c \in -A$   
**by** (*unfold mem-def fun-Compl-def bool-Compl-def*) *blast*

This form, with negated conclusion, works well with the Classical prover. Negated assumptions behave like formulae on the right side of the notional turnstile ...

**lemma** *ComplD* [dest!]:  $c : -A ==> c \sim A$   
**by** (*simp add: mem-def fun-Compl-def bool-Compl-def*)

**lemmas** *ComplE* = *ComplD* [elim-format]

**lemma** *Compl-eq*:  $-A = \{x. \sim x : A\}$  **by** *blast*

### 6.3.7 Binary union – Un

**abbreviation** *union* :: 'a set => 'a set => 'a set (**infixl** *Un* 65) **where**  
*op Un*  $\equiv sup$

**notation** (*xsymbols*)  
*union* (**infixl**  $\cup$  65)

**notation** (*HTML output*)

*union* (**infixl**  $\cup$  65)

**lemma** *Un-def*:

$A \cup B = \{x. x \in A \vee x \in B\}$

**by** (*simp add: sup-fun-eq sup-bool-eq Collect-def mem-def*)

**lemma** *Un-iff* [*simp*]:  $(c : A \text{ Un } B) = (c:A \mid c:B)$

**by** (*unfold Un-def*) *blast*

**lemma** *UnI1* [*elim?*]:  $c:A \implies c : A \text{ Un } B$

**by** *simp*

**lemma** *UnI2* [*elim?*]:  $c:B \implies c : A \text{ Un } B$

**by** *simp*

Classical introduction rule: no commitment to  $A$  vs  $B$ .

**lemma** *UnCI* [*intro!*]:  $(c\sim:B \implies c:A) \implies c : A \text{ Un } B$

**by** *auto*

**lemma** *UnE* [*elim!*]:  $c : A \text{ Un } B \implies (c:A \implies P) \implies (c:B \implies P) \implies P$

**by** (*unfold Un-def*) *blast*

**lemma** *insert-def*:  $\text{insert } a \ B = \{x. x = a\} \cup B$

**by** (*simp add: Collect-def mem-def insert-compr Un-def*)

**lemma** *mono-Un*:  $\text{mono } f \implies f \ A \cup f \ B \subseteq f \ (A \cup B)$

**by** (*fact mono-sup*)

### 6.3.8 Binary intersection – Int

**abbreviation** *inter* ::  $'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set}$  (**infixl** *Int* 70) **where**

*op Int*  $\equiv$  *inf*

**notation** (*xsymbols*)

*inter* (**infixl**  $\cap$  70)

**notation** (*HTML output*)

*inter* (**infixl**  $\cap$  70)

**lemma** *Int-def*:

$A \cap B = \{x. x \in A \wedge x \in B\}$

**by** (*simp add: inf-fun-eq inf-bool-eq Collect-def mem-def*)

**lemma** *Int-iff* [*simp*]:  $(c : A \text{ Int } B) = (c:A \ \& \ c:B)$

**by** (*unfold Int-def*) *blast*

**lemma** *IntI* [*intro!*]:  $c:A \implies c:B \implies c : A \text{ Int } B$   
**by** *simp*

**lemma** *IntD1*:  $c : A \text{ Int } B \implies c:A$   
**by** *simp*

**lemma** *IntD2*:  $c : A \text{ Int } B \implies c:B$   
**by** *simp*

**lemma** *IntE* [*elim!*]:  $c : A \text{ Int } B \implies (c:A \implies c:B \implies P) \implies P$   
**by** *simp*

**lemma** *mono-Int*:  $\text{mono } f \implies f (A \cap B) \subseteq f A \cap f B$   
**by** (*fact mono-inf*)

### 6.3.9 Set difference

**lemma** *Diff-iff* [*simp*]:  $(c : A - B) = (c:A \ \& \ c\sim:B)$   
**by** (*simp add: mem-def fun-diff-def bool-diff-def*)

**lemma** *DiffI* [*intro!*]:  $c : A \implies c \sim : B \implies c : A - B$   
**by** *simp*

**lemma** *DiffD1*:  $c : A - B \implies c : A$   
**by** *simp*

**lemma** *DiffD2*:  $c : A - B \implies c : B \implies P$   
**by** *simp*

**lemma** *DiffE* [*elim!*]:  $c : A - B \implies (c:A \implies c\sim:B \implies P) \implies P$   
**by** *simp*

**lemma** *set-diff-eq*:  $A - B = \{x. x : A \ \& \ \sim x : B\}$  **by** *blast*

**lemma** *Compl-eq-Diff-UNIV*:  $\neg A = (UNIV - A)$   
**by** *blast*

### 6.3.10 Augmenting a set – insert

**lemma** *insert-iff* [*simp*]:  $(a : \text{insert } b \ A) = (a = b \mid a:A)$   
**by** (*unfold insert-def*) *blast*

**lemma** *insertI1*:  $a : \text{insert } a \ B$   
**by** *simp*

**lemma** *insertI2*:  $a : B \implies a : \text{insert } b \ B$   
**by** *simp*

**lemma** *insertE* [*elim!*]:  $a : \text{insert } b \ A \implies (a = b \implies P) \implies (a:A \implies P) \implies P$

**by** (*unfold insert-def*) *blast*

**lemma** *insertCI* [*intro!*]:  $(a \sim B \implies a = b) \implies a : \text{insert } b \ B$   
 — Classical introduction rule.  
**by** *auto*

**lemma** *subset-insert-iff*:  $(A \subseteq \text{insert } x \ B) = (\text{if } x:A \text{ then } A - \{x\} \subseteq B \text{ else } A \subseteq B)$   
**by** *auto*

**lemma** *set-insert*:  
 assumes  $x \in A$   
 obtains  $B$  where  $A = \text{insert } x \ B$  and  $x \notin B$   
**proof**  
 from *assms* show  $A = \text{insert } x \ (A - \{x\})$  **by** *blast*  
**next**  
 show  $x \notin A - \{x\}$  **by** *blast*  
**qed**

**lemma** *insert-ident*:  $x \sim A \implies x \sim B \implies (\text{insert } x \ A = \text{insert } x \ B) = (A = B)$   
**by** *auto*

### 6.3.11 Singletons, using insert

**lemma** *singletonI* [*intro!,no-atp*]:  $a : \{a\}$   
 — Redundant? But unlike *insertCI*, it proves the subgoal immediately!  
**by** (*rule insertI1*)

**lemma** *singletonD* [*dest!,no-atp*]:  $b : \{a\} \implies b = a$   
**by** *blast*

**lemmas** *singletonE* = *singletonD* [*elim-format*]

**lemma** *singleton-iff*:  $(b : \{a\}) = (b = a)$   
**by** *blast*

**lemma** *singleton-inject* [*dest!*]:  $\{a\} = \{b\} \implies a = b$   
**by** *blast*

**lemma** *singleton-insert-inj-eq* [*iff,no-atp*]:  
 $(\{b\} = \text{insert } a \ A) = (a = b \ \& \ A \subseteq \{b\})$   
**by** *blast*

**lemma** *singleton-insert-inj-eq'* [*iff,no-atp*]:  
 $(\text{insert } a \ A = \{b\}) = (a = b \ \& \ A \subseteq \{b\})$   
**by** *blast*

**lemma** *subset-singletonD*:  $A \subseteq \{x\} \implies A = \{\} \mid A = \{x\}$

by *fast*

**lemma** *singleton-conv* [*simp*]:  $\{x. x = a\} = \{a\}$   
by *blast*

**lemma** *singleton-conv2* [*simp*]:  $\{x. a = x\} = \{a\}$   
by *blast*

**lemma** *diff-single-insert*:  $A - \{x\} \subseteq B \implies x \in A \implies A \subseteq \text{insert } x B$   
by *blast*

**lemma** *doubleton-eq-iff*:  $(\{a, b\} = \{c, d\}) = (a=c \ \& \ b=d \mid a=d \ \& \ b=c)$   
by (*blast elim: equalityE*)

### 6.3.12 Image of a set under a function

Frequently  $b$  does not have the syntactic form of  $f x$ .

**definition** *image* ::  $('a \Rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set}$  (*infixr* ‘90) **where**  
*image-def* [*no-atp*]:  $f \text{ ‘ } A = \{y. \text{EX } x:A. y = f(x)\}$

**abbreviation**

*range* ::  $('a \Rightarrow 'b) \Rightarrow 'b \text{ set}$  **where** — of function  
*range*  $f == f \text{ ‘ } \text{UNIV}$

**lemma** *image-eqI* [*simp, intro*]:  $b = f x \implies x:A \implies b : f \text{ ‘ } A$   
by (*unfold image-def*) *blast*

**lemma** *imageI*:  $x : A \implies f x : f \text{ ‘ } A$   
by (*rule image-eqI*) (*rule refl*)

**lemma** *rev-image-eqI*:  $x:A \implies b = f x \implies b : f \text{ ‘ } A$   
— This version’s more effective when we already have the required  $x$ .  
by (*unfold image-def*) *blast*

**lemma** *imageE* [*elim!*]:  
 $b : (\%x. f x) \text{ ‘ } A \implies (!x. b = f x \implies x:A \implies P) \implies P$   
— The eta-expansion gives variable-name preservation.  
by (*unfold image-def*) *blast*

**lemma** *image-Un*:  $f \text{ ‘ } (A \text{ Un } B) = f \text{ ‘ } A \text{ Un } f \text{ ‘ } B$   
by *blast*

**lemma** *image-iff*:  $(z : f \text{ ‘ } A) = (\text{EX } x:A. z = f x)$   
by *blast*

**lemma** *image-subset-iff*:  $(f \text{ ‘ } A \subseteq B) = (\forall x \in A. f x \in B)$   
— This rewrite rule would confuse users if made default.  
by *blast*

**lemma** *subset-image-iff*:  $(B \subseteq f^*A) = (EX\ AA.\ AA \subseteq A \ \& \ B = f^*AA)$   
**apply** *safe*  
**prefer** 2 **apply** *fast*  
**apply** (*rule-tac*  $x = \{a.\ a : A \ \& \ f\ a : B\}$  **in** *exI*, *fast*)  
**done**

**lemma** *image-subsetI*:  $(!!x.\ x \in A ==> f\ x \in B) ==> f^*A \subseteq B$   
 — Replaces the three steps *subsetI*, *imageE*, *hypsubst*, but breaks too many existing proofs.  
**by** *blast*

Range of a function – just a translation for image!

**lemma** *range-eqI*:  $b = f\ x ==> b \in \text{range}\ f$   
**by** *simp*

**lemma** *rangeI*:  $f\ x \in \text{range}\ f$   
**by** *simp*

**lemma** *rangeE* [*elim?*]:  $b \in \text{range}\ (\lambda x.\ f\ x) ==> (!!x.\ b = f\ x ==> P) ==> P$   
**by** *blast*

### 6.3.13 Some rules with *if*

Elimination of  $\{x.\ \dots \ \& \ x=t \ \& \ \dots\}$ .

**lemma** *Collect-conv-if*:  $\{x.\ x=a \ \& \ P\ x\} = (\text{if}\ P\ a\ \text{then}\ \{a\}\ \text{else}\ \{\})$   
**by** *auto*

**lemma** *Collect-conv-if2*:  $\{x.\ a=x \ \& \ P\ x\} = (\text{if}\ P\ a\ \text{then}\ \{a\}\ \text{else}\ \{\})$   
**by** *auto*

Rewrite rules for boolean case-splitting: faster than *split-if* [*split*].

**lemma** *split-if-eq1*:  $((\text{if}\ Q\ \text{then}\ x\ \text{else}\ y) = b) = ((Q \longrightarrow x = b) \ \& \ (\sim Q \longrightarrow y = b))$   
**by** (*rule split-if*)

**lemma** *split-if-eq2*:  $(a = (\text{if}\ Q\ \text{then}\ x\ \text{else}\ y)) = ((Q \longrightarrow a = x) \ \& \ (\sim Q \longrightarrow a = y))$   
**by** (*rule split-if*)

Split ifs on either side of the membership relation. Not for [*simp*] – can cause goals to blow up!

**lemma** *split-if-mem1*:  $((\text{if}\ Q\ \text{then}\ x\ \text{else}\ y) : b) = ((Q \longrightarrow x : b) \ \& \ (\sim Q \longrightarrow y : b))$   
**by** (*rule split-if*)

**lemma** *split-if-mem2*:  $(a : (\text{if}\ Q\ \text{then}\ x\ \text{else}\ y)) = ((Q \longrightarrow a : x) \ \& \ (\sim Q \longrightarrow a : y))$



**by** (*rule split-if* [**where**  $P = \%S. a : S$ ])

**lemmas** *split-ifs* = *if-bool-eq-conj split-if-eq1 split-if-eq2 split-if-mem1 split-if-mem2*

## 6.4 Further operations and lemmas

### 6.4.1 The “proper subset” relation

**lemma** *psubsetI* [*intro!,no-atp*]:  $A \subseteq B \implies A \neq B \implies A \subset B$   
**by** (*unfold less-le*) *blast*

**lemma** *psubsetE* [*elim!,no-atp*]:  
 $[|A \subset B; [|A \subseteq B; \sim (B \subseteq A)|] \implies R|] \implies R$   
**by** (*unfold less-le*) *blast*

**lemma** *psubset-insert-iff*:  
 $(A \subset \text{insert } x \ B) = (\text{if } x \in B \text{ then } A \subset B \text{ else if } x \in A \text{ then } A - \{x\} \subset B \text{ else } A \subseteq B)$   
**by** (*auto simp add: less-le subset-insert-iff*)

**lemma** *psubset-eq*:  $(A \subset B) = (A \subseteq B \ \& \ A \neq B)$   
**by** (*simp only: less-le*)

**lemma** *psubset-imp-subset*:  $A \subset B \implies A \subseteq B$   
**by** (*simp add: psubset-eq*)

**lemma** *psubset-trans*:  $[|A \subset B; B \subset C|] \implies A \subset C$   
**apply** (*unfold less-le*)  
**apply** (*auto dest: subset-antisym*)  
**done**

**lemma** *psubsetD*:  $[|A \subset B; c \in A|] \implies c \in B$   
**apply** (*unfold less-le*)  
**apply** (*auto dest: subsetD*)  
**done**

**lemma** *psubset-subset-trans*:  $A \subset B \implies B \subseteq C \implies A \subset C$   
**by** (*auto simp add: psubset-eq*)

**lemma** *subset-psubset-trans*:  $A \subseteq B \implies B \subset C \implies A \subset C$   
**by** (*auto simp add: psubset-eq*)

**lemma** *psubset-imp-ex-mem*:  $A \subset B \implies \exists b. b \in (B - A)$   
**by** (*unfold less-le*) *blast*

**lemma** *atomize-ball*:  
 $(!!x. x \in A \implies P \ x) == \text{Trueprop } (\forall x \in A. P \ x)$   
**by** (*simp only: Ball-def atomize-all atomize-imp*)

**lemmas** [*symmetric, rulify*] = *atomize-ball*

and  $[symmetric, defn] = atomize-ball$

#### 6.4.2 Derived rules involving subsets.

*insert.*

**lemma** *subset-insertI*:  $B \subseteq insert\ a\ B$   
**by** (*rule subsetI*) (*erule insertI2*)

**lemma** *subset-insertI2*:  $A \subseteq B \implies A \subseteq insert\ b\ B$   
**by** *blast*

**lemma** *subset-insert*:  $x \notin A \implies (A \subseteq insert\ x\ B) = (A \subseteq B)$   
**by** *blast*

Finite Union – the least upper bound of two sets.

**lemma** *Un-upper1*:  $A \subseteq A \cup B$   
**by** (*fact sup-ge1*)

**lemma** *Un-upper2*:  $B \subseteq A \cup B$   
**by** (*fact sup-ge2*)

**lemma** *Un-least*:  $A \subseteq C \implies B \subseteq C \implies A \cup B \subseteq C$   
**by** (*fact sup-least*)

Finite Intersection – the greatest lower bound of two sets.

**lemma** *Int-lower1*:  $A \cap B \subseteq A$   
**by** (*fact inf-le1*)

**lemma** *Int-lower2*:  $A \cap B \subseteq B$   
**by** (*fact inf-le2*)

**lemma** *Int-greatest*:  $C \subseteq A \implies C \subseteq B \implies C \subseteq A \cap B$   
**by** (*fact inf-greatest*)

Set difference.

**lemma** *Diff-subset*:  $A - B \subseteq A$   
**by** *blast*

**lemma** *Diff-subset-conv*:  $(A - B \subseteq C) = (A \subseteq B \cup C)$   
**by** *blast*

#### 6.4.3 Equalities involving union, intersection, inclusion, etc.

$\{\}$ .

**lemma** *Collect-const* [*simp*]:  $\{s. P\} = (if\ P\ then\ UNIV\ else\ \{\})$   
 — supersedes *Collect-False-empty*

**by** *auto*

**lemma** *subset-empty* [*simp*]:  $(A \subseteq \{\}) = (A = \{\})$   
**by** *blast*

**lemma** *not-psubset-empty* [*iff*]:  $\neg (A < \{\})$   
**by** (*unfold less-le*) *blast*

**lemma** *Collect-empty-eq* [*simp*]:  $(\text{Collect } P = \{\}) = (\forall x. \neg P x)$   
**by** *blast*

**lemma** *empty-Collect-eq* [*simp*]:  $(\{\} = \text{Collect } P) = (\forall x. \neg P x)$   
**by** *blast*

**lemma** *Collect-neg-eq*:  $\{x. \neg P x\} = - \{x. P x\}$   
**by** *blast*

**lemma** *Collect-disj-eq*:  $\{x. P x \mid Q x\} = \{x. P x\} \cup \{x. Q x\}$   
**by** *blast*

**lemma** *Collect-imp-eq*:  $\{x. P x \longrightarrow Q x\} = -\{x. P x\} \cup \{x. Q x\}$   
**by** *blast*

**lemma** *Collect-conj-eq*:  $\{x. P x \ \& \ Q x\} = \{x. P x\} \cap \{x. Q x\}$   
**by** *blast*

*insert.*

**lemma** *insert-is-Un*:  $\text{insert } a \ A = \{a\} \ \text{Un } A$   
 — NOT SUITABLE FOR REWRITING since  $\{a\} == \text{insert } a \ \{\}$   
**by** *blast*

**lemma** *insert-not-empty* [*simp*]:  $\text{insert } a \ A \neq \{\}$   
**by** *blast*

**lemmas** *empty-not-insert* = *insert-not-empty* [*symmetric, standard*]  
**declare** *empty-not-insert* [*simp*]

**lemma** *insert-absorb*:  $a \in A ==> \text{insert } a \ A = A$   
 — [*simp*] causes recursive calls when there are nested inserts  
 — with *quadratic* running time  
**by** *blast*

**lemma** *insert-absorb2* [*simp*]:  $\text{insert } x \ (\text{insert } x \ A) = \text{insert } x \ A$   
**by** *blast*

**lemma** *insert-commute*:  $\text{insert } x \ (\text{insert } y \ A) = \text{insert } y \ (\text{insert } x \ A)$   
**by** *blast*

**lemma** *insert-subset* [*simp*]:  $(\text{insert } x \ A \subseteq B) = (x \in B \ \& \ A \subseteq B)$

**by** *blast*

**lemma** *mk-disjoint-insert*:  $a \in A \implies \exists B. A = \text{insert } a B \ \& \ a \notin B$   
 — use new  $B$  rather than  $A - \{a\}$  to avoid infinite unfolding  
**apply** (*rule-tac*  $x = A - \{a\}$  **in** *exI*, *blast*)  
**done**

**lemma** *insert-Collect*:  $\text{insert } a (\text{Collect } P) = \{u. u \neq a \longrightarrow P u\}$   
**by** *auto*

**lemma** *insert-inter-insert*[*simp*]:  $\text{insert } a A \cap \text{insert } a B = \text{insert } a (A \cap B)$   
**by** *blast*

**lemma** *insert-disjoint* [*simp*,*no-atp*]:  
 $(\text{insert } a A \cap B = \{\}) = (a \notin B \wedge A \cap B = \{\})$   
 $(\{\} = \text{insert } a A \cap B) = (a \notin B \wedge \{\} = A \cap B)$   
**by** *auto*

**lemma** *disjoint-insert* [*simp*,*no-atp*]:  
 $(B \cap \text{insert } a A = \{\}) = (a \notin B \wedge B \cap A = \{\})$   
 $(\{\} = A \cap \text{insert } b B) = (b \notin A \wedge \{\} = A \cap B)$   
**by** *auto*

*image.*

**lemma** *image-empty* [*simp*]:  $f' \{\} = \{\}$   
**by** *blast*

**lemma** *image-insert* [*simp*]:  $f' \text{insert } a B = \text{insert } (f a) (f' B)$   
**by** *blast*

**lemma** *image-constant*:  $x \in A \implies (\lambda x. c)' A = \{c\}$   
**by** *auto*

**lemma** *image-constant-conv*:  $(\%x. c)' A = (\text{if } A = \{\} \text{ then } \{\} \text{ else } \{c\})$   
**by** *auto*

**lemma** *image-image*:  $f' (g' A) = (\lambda x. f (g x))' A$   
**by** *blast*

**lemma** *insert-image* [*simp*]:  $x \in A \implies \text{insert } (f x) (f' A) = f' A$   
**by** *blast*

**lemma** *image-is-empty* [*iff*]:  $(f' A = \{\}) = (A = \{\})$   
**by** *blast*

**lemma** *empty-is-image*[*iff*]:  $(\{\} = f' A) = (A = \{\})$   
**by** *blast*

**lemma** *image-Collect* [no-atp]:  $f \cdot \{x. P\ x\} = \{f\ x \mid x. P\ x\}$

— NOT suitable as a default simp rule: the RHS isn’t simpler than the LHS, with its implicit quantifier and conjunction. Also image enjoys better equational properties than does the RHS.

**by** *blast*

**lemma** *if-image-distrib* [simp]:

$(\lambda x. \text{if } P\ x \text{ then } f\ x \text{ else } g\ x) \cdot S$   
 $= (f \cdot (S \cap \{x. P\ x\})) \cup (g \cdot (S \cap \{x. \neg P\ x\}))$

**by** (*auto simp add: image-def*)

**lemma** *image-cong*:  $M = N \implies (!x. x \in N \implies f\ x = g\ x) \implies f \cdot M = g \cdot N$

**by** (*simp add: image-def*)

*range.*

**lemma** *full-SetCompr-eq* [no-atp]:  $\{u. \exists x. u = f\ x\} = \text{range } f$

**by** *auto*

**lemma** *range-composition*:  $\text{range } (\lambda x. f\ (g\ x)) = f \cdot \text{range } g$

**by** (*subst image-image, simp*)

*Int*

**lemma** *Int-absorb* [simp]:  $A \cap A = A$

**by** (*fact inf-idem*)

**lemma** *Int-left-absorb*:  $A \cap (A \cap B) = A \cap B$

**by** (*fact inf-left-idem*)

**lemma** *Int-commute*:  $A \cap B = B \cap A$

**by** (*fact inf-commute*)

**lemma** *Int-left-commute*:  $A \cap (B \cap C) = B \cap (A \cap C)$

**by** (*fact inf-left-commute*)

**lemma** *Int-assoc*:  $(A \cap B) \cap C = A \cap (B \cap C)$

**by** (*fact inf-assoc*)

**lemmas** *Int-ac = Int-assoc Int-left-absorb Int-commute Int-left-commute*

— Intersection is an AC-operator

**lemma** *Int-absorb1*:  $B \subseteq A \implies A \cap B = B$

**by** (*fact inf-absorb2*)

**lemma** *Int-absorb2*:  $A \subseteq B \implies A \cap B = A$

**by** (*fact inf-absorb1*)

**lemma** *Int-empty-left* [simp]:  $\{\} \cap B = \{\}$

**by** (*fact inf-bot-left*)

**lemma** *Int-empty-right* [simp]:  $A \cap \{\} = \{\}$   
**by** (*fact inf-bot-right*)

**lemma** *disjoint-eq-subset-Compl*:  $(A \cap B = \{\}) = (A \subseteq -B)$   
**by** *blast*

**lemma** *disjoint-iff-not-equal*:  $(A \cap B = \{\}) = (\forall x \in A. \forall y \in B. x \neq y)$   
**by** *blast*

**lemma** *Int-UNIV-left* [simp]:  $UNIV \cap B = B$   
**by** (*fact inf-top-left*)

**lemma** *Int-UNIV-right* [simp]:  $A \cap UNIV = A$   
**by** (*fact inf-top-right*)

**lemma** *Int-Un-distrib*:  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$   
**by** (*fact inf-sup-distrib1*)

**lemma** *Int-Un-distrib2*:  $(B \cup C) \cap A = (B \cap A) \cup (C \cap A)$   
**by** (*fact inf-sup-distrib2*)

**lemma** *Int-UNIV* [simp, no-atp]:  $(A \cap B = UNIV) = (A = UNIV \ \& \ B = UNIV)$   
**by** (*fact inf-eq-top-iff*)

**lemma** *Int-subset-iff* [simp]:  $(C \subseteq A \cap B) = (C \subseteq A \ \& \ C \subseteq B)$   
**by** (*fact le-inf-iff*)

**lemma** *Int-Collect*:  $(x \in A \cap \{x. P \ x\}) = (x \in A \ \& \ P \ x)$   
**by** *blast*

*Un.*

**lemma** *Un-absorb* [simp]:  $A \cup A = A$   
**by** (*fact sup-idem*)

**lemma** *Un-left-absorb*:  $A \cup (A \cup B) = A \cup B$   
**by** (*fact sup-left-idem*)

**lemma** *Un-commute*:  $A \cup B = B \cup A$   
**by** (*fact sup-commute*)

**lemma** *Un-left-commute*:  $A \cup (B \cup C) = B \cup (A \cup C)$   
**by** (*fact sup-left-commute*)

**lemma** *Un-assoc*:  $(A \cup B) \cup C = A \cup (B \cup C)$   
**by** (*fact sup-assoc*)

**lemmas** *Un-ac = Un-assoc Un-left-absorb Un-commute Un-left-commute*  
 — Union is an AC-operator

**lemma** *Un-absorb1*:  $A \subseteq B \implies A \cup B = B$   
**by** (*fact sup-absorb2*)

**lemma** *Un-absorb2*:  $B \subseteq A \implies A \cup B = A$   
**by** (*fact sup-absorb1*)

**lemma** *Un-empty-left* [*simp*]:  $\{\} \cup B = B$   
**by** (*fact sup-bot-left*)

**lemma** *Un-empty-right* [*simp*]:  $A \cup \{\} = A$   
**by** (*fact sup-bot-right*)

**lemma** *Un-UNIV-left* [*simp*]:  $UNIV \cup B = UNIV$   
**by** (*fact sup-top-left*)

**lemma** *Un-UNIV-right* [*simp*]:  $A \cup UNIV = UNIV$   
**by** (*fact sup-top-right*)

**lemma** *Un-insert-left* [*simp*]:  $(\text{insert } a \ B) \cup C = \text{insert } a \ (B \cup C)$   
**by** *blast*

**lemma** *Un-insert-right* [*simp*]:  $A \cup (\text{insert } a \ B) = \text{insert } a \ (A \cup B)$   
**by** *blast*

**lemma** *Int-insert-left*:  
 $(\text{insert } a \ B) \text{ Int } C = (\text{if } a \in C \text{ then } \text{insert } a \ (B \cap C) \text{ else } B \cap C)$   
**by** *auto*

**lemma** *Int-insert-left-if0* [*simp*]:  
 $a \notin C \implies (\text{insert } a \ B) \text{ Int } C = B \cap C$   
**by** *auto*

**lemma** *Int-insert-left-if1* [*simp*]:  
 $a \in C \implies (\text{insert } a \ B) \text{ Int } C = \text{insert } a \ (B \text{ Int } C)$   
**by** *auto*

**lemma** *Int-insert-right*:  
 $A \cap (\text{insert } a \ B) = (\text{if } a \in A \text{ then } \text{insert } a \ (A \cap B) \text{ else } A \cap B)$   
**by** *auto*

**lemma** *Int-insert-right-if0* [*simp*]:  
 $a \notin A \implies A \text{ Int } (\text{insert } a \ B) = A \text{ Int } B$   
**by** *auto*

**lemma** *Int-insert-right-if1* [*simp*]:  
 $a \in A \implies A \text{ Int } (\text{insert } a \ B) = \text{insert } a \ (A \text{ Int } B)$   
**by** *auto*

**lemma** *Un-Int-distrib*:  $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$   
**by** (*fact sup-inf-distrib1*)

**lemma** *Un-Int-distrib2*:  $(B \cap C) \cup A = (B \cup A) \cap (C \cup A)$   
**by** (*fact sup-inf-distrib2*)

**lemma** *Un-Int-crazy*:  
 $(A \cap B) \cup (B \cap C) \cup (C \cap A) = (A \cup B) \cap (B \cup C) \cap (C \cup A)$   
**by** *blast*

**lemma** *subset-Un-eq*:  $(A \subseteq B) = (A \cup B = B)$   
**by** (*fact le-iff-sup*)

**lemma** *Un-empty [iff]*:  $(A \cup B = \{\}) = (A = \{\} \ \& \ B = \{\})$   
**by** (*fact sup-eq-bot-iff*)

**lemma** *Un-subset-iff [simp]*:  $(A \cup B \subseteq C) = (A \subseteq C \ \& \ B \subseteq C)$   
**by** (*fact le-sup-iff*)

**lemma** *Un-Diff-Int*:  $(A - B) \cup (A \cap B) = A$   
**by** *blast*

**lemma** *Diff-Int2*:  $A \cap C - B \cap C = A \cap C - B$   
**by** *blast*

Set complement

**lemma** *Compl-disjoint [simp]*:  $A \cap -A = \{\}$   
**by** (*fact inf-compl-bot*)

**lemma** *Compl-disjoint2 [simp]*:  $-A \cap A = \{\}$   
**by** (*fact compl-inf-bot*)

**lemma** *Compl-partition*:  $A \cup -A = UNIV$   
**by** (*fact sup-compl-top*)

**lemma** *Compl-partition2*:  $-A \cup A = UNIV$   
**by** (*fact compl-sup-top*)

**lemma** *double-complement [simp]*:  $-(-A) = (A::'a \text{ set})$   
**by** (*fact double-compl*)

**lemma** *Compl-Un [simp]*:  $-(A \cup B) = (-A) \cap (-B)$   
**by** (*fact compl-sup*)

**lemma** *Compl-Int [simp]*:  $-(A \cap B) = (-A) \cup (-B)$   
**by** (*fact compl-inf*)

**lemma** *subset-Compl-self-eq*:  $(A \subseteq -A) = (A = \{\})$   
**by** *blast*



**lemma** *Un-Int-assoc-eq*:  $((A \cap B) \cup C = A \cap (B \cup C)) = (C \subseteq A)$

— Halmos, Naive Set Theory, page 16.

**by** *blast*

**lemma** *Compl-UNIV-eq* [*simp*]:  $-UNIV = \{\}$

**by** (*fact compl-top-eq*)

**lemma** *Compl-empty-eq* [*simp*]:  $-\{\} = UNIV$

**by** (*fact compl-bot-eq*)

**lemma** *Compl-subset-Compl-iff* [*iff*]:  $(-A \subseteq -B) = (B \subseteq A)$

**by** (*fact compl-le-compl-iff*)

**lemma** *Compl-eq-Compl-iff* [*iff*]:  $(-A = -B) = (A = (B::'a \text{ set}))$

**by** (*fact compl-eq-compl-iff*)

Bounded quantifiers.

The following are not added to the default simpset because (a) they duplicate the body and (b) there are no similar rules for *Int*.

**lemma** *ball-Un*:  $(\forall x \in A \cup B. P x) = ((\forall x \in A. P x) \ \& \ (\forall x \in B. P x))$

**by** *blast*

**lemma** *bex-Un*:  $(\exists x \in A \cup B. P x) = ((\exists x \in A. P x) \mid (\exists x \in B. P x))$

**by** *blast*

Set difference.

**lemma** *Diff-eq*:  $A - B = A \cap (-B)$

**by** *blast*

**lemma** *Diff-eq-empty-iff* [*simp,no-atp*]:  $(A - B = \{\}) = (A \subseteq B)$

**by** *blast*

**lemma** *Diff-cancel* [*simp*]:  $A - A = \{\}$

**by** *blast*

**lemma** *Diff-idemp* [*simp*]:  $(A - B) - B = A - (B::'a \text{ set})$

**by** *blast*

**lemma** *Diff-triv*:  $A \cap B = \{\} ==> A - B = A$

**by** (*blast elim: equalityE*)

**lemma** *empty-Diff* [*simp*]:  $\{\} - A = \{\}$

**by** *blast*

**lemma** *Diff-empty* [*simp*]:  $A - \{\} = A$

**by** *blast*

**lemma** *Diff-UNIV* [simp]:  $A - UNIV = \{\}$   
**by** *blast*

**lemma** *Diff-insert0* [simp,no-atp]:  $x \notin A \implies A - \text{insert } x B = A - B$   
**by** *blast*

**lemma** *Diff-insert*:  $A - \text{insert } a B = A - B - \{a\}$   
 — NOT SUITABLE FOR REWRITING since  $\{a\} == \text{insert } a 0$   
**by** *blast*

**lemma** *Diff-insert2*:  $A - \text{insert } a B = A - \{a\} - B$   
 — NOT SUITABLE FOR REWRITING since  $\{a\} == \text{insert } a 0$   
**by** *blast*

**lemma** *insert-Diff-if*:  $\text{insert } x A - B = (\text{if } x \in B \text{ then } A - B \text{ else } \text{insert } x (A - B))$   
**by** *auto*

**lemma** *insert-Diff1* [simp]:  $x \in B \implies \text{insert } x A - B = A - B$   
**by** *blast*

**lemma** *insert-Diff-single*[simp]:  $\text{insert } a (A - \{a\}) = \text{insert } a A$   
**by** *blast*

**lemma** *insert-Diff*:  $a \in A \implies \text{insert } a (A - \{a\}) = A$   
**by** *blast*

**lemma** *Diff-insert-absorb*:  $x \notin A \implies (\text{insert } x A) - \{x\} = A$   
**by** *auto*

**lemma** *Diff-disjoint* [simp]:  $A \cap (B - A) = \{\}$   
**by** *blast*

**lemma** *Diff-partition*:  $A \subseteq B \implies A \cup (B - A) = B$   
**by** *blast*

**lemma** *double-diff*:  $A \subseteq B \implies B \subseteq C \implies B - (C - A) = A$   
**by** *blast*

**lemma** *Un-Diff-cancel* [simp]:  $A \cup (B - A) = A \cup B$   
**by** *blast*

**lemma** *Un-Diff-cancel2* [simp]:  $(B - A) \cup A = B \cup A$   
**by** *blast*

**lemma** *Diff-Un*:  $A - (B \cup C) = (A - B) \cap (A - C)$   
**by** *blast*

**lemma** *Diff-Int*:  $A - (B \cap C) = (A - B) \cup (A - C)$

by *blast*

**lemma** *Un-Diff*:  $(A \cup B) - C = (A - C) \cup (B - C)$   
by *blast*

**lemma** *Int-Diff*:  $(A \cap B) - C = A \cap (B - C)$   
by *blast*

**lemma** *Diff-Int-distrib*:  $C \cap (A - B) = (C \cap A) - (C \cap B)$   
by *blast*

**lemma** *Diff-Int-distrib2*:  $(A - B) \cap C = (A \cap C) - (B \cap C)$   
by *blast*

**lemma** *Diff-Compl [simp]*:  $A - (\neg B) = A \cap B$   
by *auto*

**lemma** *Compl-Diff-eq [simp]*:  $\neg (A - B) = \neg A \cup B$   
by *blast*

Quantification over type *bool*.

**lemma** *bool-induct*:  $P \text{ True} \implies P \text{ False} \implies P x$   
by (*cases x*) *auto*

**lemma** *all-bool-eq*:  $(\forall b. P b) \longleftrightarrow P \text{ True} \wedge P \text{ False}$   
by (*auto intro: bool-induct*)

**lemma** *bool-contrapos*:  $P x \implies \neg P \text{ False} \implies P \text{ True}$   
by (*cases x*) *auto*

**lemma** *ex-bool-eq*:  $(\exists b. P b) \longleftrightarrow P \text{ True} \vee P \text{ False}$   
by (*auto intro: bool-contrapos*)

*Pow*

**lemma** *Pow-empty [simp]*:  $\text{Pow } \{\} = \{\{\}\}$   
by (*auto simp add: Pow-def*)

**lemma** *Pow-insert*:  $\text{Pow } (\text{insert } a \ A) = \text{Pow } A \cup (\text{insert } a \ ' \text{Pow } A)$   
by (*blast intro: image-eqI [where ?x = u - \{a\}, standard]*)

**lemma** *Pow-Compl*:  $\text{Pow } (\neg A) = \{-B \mid B. A \in \text{Pow } B\}$   
by (*blast intro: exI [where ?x = - u, standard]*)

**lemma** *Pow-UNIV [simp]*:  $\text{Pow } \text{UNIV} = \text{UNIV}$   
by *blast*

**lemma** *Un-Pow-subset*:  $\text{Pow } A \cup \text{Pow } B \subseteq \text{Pow } (A \cup B)$   
by *blast*

**lemma** *Pow-Int-eq* [*simp*]:  $\text{Pow } (A \cap B) = \text{Pow } A \cap \text{Pow } B$   
**by** *blast*

Miscellany.

**lemma** *set-eq-subset*:  $(A = B) = (A \subseteq B \ \& \ B \subseteq A)$   
**by** *blast*

**lemma** *subset-iff*:  $(A \subseteq B) = (\forall t. t \in A \longrightarrow t \in B)$   
**by** *blast*

**lemma** *subset-iff-psubset-eq*:  $(A \subseteq B) = ((A \subset B) \mid (A = B))$   
**by** (*unfold less-le*) *blast*

**lemma** *all-not-in-conv* [*simp*]:  $(\forall x. x \notin A) = (A = \{\})$   
**by** *blast*

**lemma** *ex-in-conv*:  $(\exists x. x \in A) = (A \neq \{\})$   
**by** *blast*

**lemma** *distinct-lemma*:  $f\ x \neq f\ y \implies x \neq y$   
**by** *iprover*

#### 6.4.4 Monotonicity of various operations

**lemma** *image-mono*:  $A \subseteq B \implies f^*A \subseteq f^*B$   
**by** *blast*

**lemma** *Pow-mono*:  $A \subseteq B \implies \text{Pow } A \subseteq \text{Pow } B$   
**by** *blast*

**lemma** *insert-mono*:  $C \subseteq D \implies \text{insert } a\ C \subseteq \text{insert } a\ D$   
**by** *blast*

**lemma** *Un-mono*:  $A \subseteq C \implies B \subseteq D \implies A \cup B \subseteq C \cup D$   
**by** (*fact sup-mono*)

**lemma** *Int-mono*:  $A \subseteq C \implies B \subseteq D \implies A \cap B \subseteq C \cap D$   
**by** (*fact inf-mono*)

**lemma** *Diff-mono*:  $A \subseteq C \implies D \subseteq B \implies A - B \subseteq C - D$   
**by** *blast*

**lemma** *Compl-anti-mono*:  $A \subseteq B \implies -B \subseteq -A$   
**by** (*fact compl-mono*)

Monotonicity of implications.

**lemma** *in-mono*:  $A \subseteq B \implies x \in A \longrightarrow x \in B$

```

apply (rule impI)
apply (erule subsetD, assumption)
done

```

```

lemma conj-mono:  $P1 \multimap Q1 \implies P2 \multimap Q2 \implies (P1 \ \& \ P2) \multimap (Q1 \ \& \ Q2)$ 
by iprover

```

```

lemma disj-mono:  $P1 \multimap Q1 \implies P2 \multimap Q2 \implies (P1 \ | \ P2) \multimap (Q1 \ | \ Q2)$ 
by iprover

```

```

lemma imp-mono:  $Q1 \multimap P1 \implies P2 \multimap Q2 \implies (P1 \multimap P2) \multimap (Q1 \multimap Q2)$ 
by iprover

```

```

lemma imp-refl:  $P \multimap P \ ..$ 

```

```

lemma not-mono:  $Q \multimap P \implies \sim P \multimap \sim Q$ 
by iprover

```

```

lemma ex-mono:  $(!!x. P \ x \multimap Q \ x) \implies (EX \ x. P \ x) \multimap (EX \ x. Q \ x)$ 
by iprover

```

```

lemma all-mono:  $(!!x. P \ x \multimap Q \ x) \implies (ALL \ x. P \ x) \multimap (ALL \ x. Q \ x)$ 
by iprover

```

```

lemma Collect-mono:  $(!!x. P \ x \multimap Q \ x) \implies Collect \ P \subseteq Collect \ Q$ 
by blast

```

```

lemma Int-Collect-mono:
   $A \subseteq B \implies (!!x. x \in A \implies P \ x \multimap Q \ x) \implies A \cap Collect \ P \subseteq B \cap Collect \ Q$ 
by blast

```

```

lemmas basic-monos =
  subset-refl imp-refl disj-mono conj-mono
  ex-mono Collect-mono in-mono

```

```

lemma eq-to-mono:  $a = b \implies c = d \implies b \multimap d \implies a \multimap c$ 
by iprover

```

### 6.4.5 Inverse image of a function

```

definition vimage :: ('a => 'b) => 'b set => 'a set (infixr -' 90) where
  [code del]:  $f \ -' \ B == \{x. f \ x : B\}$ 

```

```

lemma vimage-eq [simp]:  $(a : f \ -' \ B) = (f \ a : B)$ 
by (unfold vimage-def) blast

```

**lemma** *vimage-singleton-eq*:  $(a : f -' \{b\}) = (f a = b)$   
**by** *simp*

**lemma** *vimageI* [*intro*]:  $f a = b ==> b:B ==> a : f -' B$   
**by** (*unfold vimage-def*) *blast*

**lemma** *vimageI2*:  $f a : A ==> a : f -' A$   
**by** (*unfold vimage-def*) *fast*

**lemma** *vimageE* [*elim!*]:  $a: f -' B ==> (!!x. f a = x ==> x:B ==> P) ==> P$   
**by** (*unfold vimage-def*) *blast*

**lemma** *vimageD*:  $a : f -' A ==> f a : A$   
**by** (*unfold vimage-def*) *fast*

**lemma** *vimage-empty* [*simp*]:  $f -' \{\} = \{\}$   
**by** *blast*

**lemma** *vimage-Compl*:  $f -' (-A) = -(f -' A)$   
**by** *blast*

**lemma** *vimage-Un* [*simp*]:  $f -' (A \text{ Un } B) = (f -' A) \text{ Un } (f -' B)$   
**by** *blast*

**lemma** *vimage-Int* [*simp*]:  $f -' (A \text{ Int } B) = (f -' A) \text{ Int } (f -' B)$   
**by** *fast*

**lemma** *vimage-Collect-eq* [*simp*]:  $f -' \text{Collect } P = \{y. P (f y)\}$   
**by** *blast*

**lemma** *vimage-Collect*:  $(!!x. P (f x) = Q x) ==> f -' (\text{Collect } P) = \text{Collect } Q$   
**by** *blast*

**lemma** *vimage-insert*:  $f -' (\text{insert } a B) = (f -' \{a\}) \text{ Un } (f -' B)$   
 — NOT suitable for rewriting because of the recurrence of  $\{a\}$ .  
**by** *blast*

**lemma** *vimage-Diff*:  $f -' (A - B) = (f -' A) - (f -' B)$   
**by** *blast*

**lemma** *vimage-UNIV* [*simp*]:  $f -' \text{UNIV} = \text{UNIV}$   
**by** *blast*

**lemma** *vimage-mono*:  $A \subseteq B ==> f -' A \subseteq f -' B$   
 — monotonicity  
**by** *blast*

**lemma** *vimage-image-eq* [*no-atp*]:  $f -' (f -' A) = \{y. EX x:A. f x = f y\}$   
**by** (*blast intro: sym*)

**lemma** *image-vimage-subset*:  $f -' (f -' A) \leq A$   
**by** *blast*

**lemma** *image-vimage-eq* [*simp*]:  $f -' (f -' A) = A \text{ Int range } f$   
**by** *blast*

**lemma** *vimage-const* [*simp*]:  $((\lambda x. c) -' A) = (\text{if } c \in A \text{ then UNIV else } \{\})$   
**by** *auto*

**lemma** *vimage-if* [*simp*]:  $((\lambda x. \text{if } x \in B \text{ then } c \text{ else } d) -' A) =$   
 $(\text{if } c \in A \text{ then } (\text{if } d \in A \text{ then UNIV else } B)$   
 $\text{else if } d \in A \text{ then } -B \text{ else } \{\})$   
**by** (*auto simp add: vimage-def*)

**lemma** *vimage-inter-cong*:  
 $(\bigwedge w. w \in S \implies f w = g w) \implies f -' y \cap S = g -' y \cap S$   
**by** *auto*

**lemma** *image-Int-subset*:  $f'(A \text{ Int } B) \leq f'A \text{ Int } f'B$   
**by** *blast*

**lemma** *image-diff-subset*:  $f'A - f'B \leq f'(A - B)$   
**by** *blast*

#### 6.4.6 Getting the Contents of a Singleton Set

**definition** *contents* :: 'a set  $\Rightarrow$  'a **where**  
 $[code del]: \text{contents } X = (\text{THE } x. X = \{x\})$

**lemma** *contents-eq* [*simp*]:  $\text{contents } \{x\} = x$   
**by** (*simp add: contents-def*)

#### 6.4.7 Least value operator

**lemma** *Least-mono*:  
 $\text{mono } (f::'a::\text{order} \Rightarrow 'b::\text{order}) \implies EX x:S. ALL y:S. x \leq y$   
 $\implies (\text{LEAST } y. y : f -' S) = f (\text{LEAST } x. x : S)$   
 — Courtesy of Stephan Merz  
**apply** *clarify*  
**apply** (*erule-tac*  $P = \%x. x : S$  **in** *LeastI2-order*, *fast*)  
**apply** (*rule* *LeastI2-order*)  
**apply** (*auto elim: monoD intro!: order-antisym*)  
**done**

### 6.5 Misc

Rudimentary code generation

**lemma** *insert-code* [code]:  $\text{insert } y \ A \ x \longleftrightarrow y = x \vee A \ x$   
**by** (*auto simp add: insert-compr Collect-def mem-def*)

**lemma** *vimage-code* [code]:  $(f - 'A) \ x = A \ (f \ x)$   
**by** (*simp add: vimage-def Collect-def mem-def*)

Misc theorem and ML bindings

**lemmas** *equalityI = subset-antisym*

**ML**  $\langle\langle$   
*val Ball-def* = @{thm Ball-def}  
*val Bex-def* = @{thm Bex-def}  
*val CollectD* = @{thm CollectD}  
*val CollectE* = @{thm CollectE}  
*val CollectI* = @{thm CollectI}  
*val Collect-conj-eq* = @{thm Collect-conj-eq}  
*val Collect-mem-eq* = @{thm Collect-mem-eq}  
*val IntD1* = @{thm IntD1}  
*val IntD2* = @{thm IntD2}  
*val IntE* = @{thm IntE}  
*val IntI* = @{thm IntI}  
*val Int-Collect* = @{thm Int-Collect}  
*val UNIV-I* = @{thm UNIV-I}  
*val UNIV-witness* = @{thm UNIV-witness}  
*val UnE* = @{thm UnE}  
*val UnI1* = @{thm UnI1}  
*val UnI2* = @{thm UnI2}  
*val ballE* = @{thm ballE}  
*val ballI* = @{thm ballI}  
*val bexCI* = @{thm bexCI}  
*val bexE* = @{thm bexE}  
*val bexI* = @{thm bexI}  
*val bex-triv* = @{thm bex-triv}  
*val bspec* = @{thm bspec}  
*val contra-subsetD* = @{thm contra-subsetD}  
*val distinct-lemma* = @{thm distinct-lemma}  
*val eq-to-mono* = @{thm eq-to-mono}  
*val equalityCE* = @{thm equalityCE}  
*val equalityD1* = @{thm equalityD1}  
*val equalityD2* = @{thm equalityD2}  
*val equalityE* = @{thm equalityE}  
*val equalityI* = @{thm equalityI}  
*val imageE* = @{thm imageE}  
*val imageI* = @{thm imageI}  
*val image-Un* = @{thm image-Un}  
*val image-insert* = @{thm image-insert}  
*val insert-commute* = @{thm insert-commute}  
*val insert-iff* = @{thm insert-iff}  
*val mem-Collect-eq* = @{thm mem-Collect-eq}



```

val rangeE = @{thm rangeE}
val rangeI = @{thm rangeI}
val range-eqI = @{thm range-eqI}
val subsetCE = @{thm subsetCE}
val subsetD = @{thm subsetD}
val subsetI = @{thm subsetI}
val subset-refl = @{thm subset-refl}
val subset-trans = @{thm subset-trans}
val vimageD = @{thm vimageD}
val vimageE = @{thm vimageE}
val vimageI = @{thm vimageI}
val vimageI2 = @{thm vimageI2}
val vimage-Collect = @{thm vimage-Collect}
val vimage-Int = @{thm vimage-Int}
val vimage-Un = @{thm vimage-Un}
>>

end

```

## 7 Typedef: HOL type definitions

```

theory Typedef
imports Set
uses
  (Tools/typedef.ML)
  (Tools/typecopy.ML)
  (Tools/typedef-codegen.ML)
begin

ML <<
  structure HOL = struct val thy = theory HOL end;
  >> — belongs to theory HOL

locale type-definition =
  fixes Rep and Abs and A
  assumes Rep: Rep x ∈ A
    and Rep-inverse: Abs (Rep x) = x
    and Abs-inverse: y ∈ A ==> Rep (Abs y) = y
  — This will be axiomatized for each typedef!
begin

lemma Rep-inject:
  (Rep x = Rep y) = (x = y)
proof
  assume Rep x = Rep y
  then have Abs (Rep x) = Abs (Rep y) by (simp only:)
  moreover have Abs (Rep x) = x by (rule Rep-inverse)
  moreover have Abs (Rep y) = y by (rule Rep-inverse)

```

```

    ultimately show  $x = y$  by simp
next
  assume  $x = y$ 
  thus  $\text{Rep } x = \text{Rep } y$  by (simp only:)
qed

```

```

lemma Abs-inject:
  assumes  $x: x \in A$  and  $y: y \in A$ 
  shows  $(\text{Abs } x = \text{Abs } y) = (x = y)$ 
proof
  assume  $\text{Abs } x = \text{Abs } y$ 
  then have  $\text{Rep } (\text{Abs } x) = \text{Rep } (\text{Abs } y)$  by (simp only:)
  moreover from  $x$  have  $\text{Rep } (\text{Abs } x) = x$  by (rule Abs-inverse)
  moreover from  $y$  have  $\text{Rep } (\text{Abs } y) = y$  by (rule Abs-inverse)
  ultimately show  $x = y$  by simp
next
  assume  $x = y$ 
  thus  $\text{Abs } x = \text{Abs } y$  by (simp only:)
qed

```

```

lemma Rep-cases [cases set]:
  assumes  $y: y \in A$ 
  and hyp:  $!!x. y = \text{Rep } x \implies P$ 
  shows  $P$ 
proof (rule hyp)
  from  $y$  have  $\text{Rep } (\text{Abs } y) = y$  by (rule Abs-inverse)
  thus  $y = \text{Rep } (\text{Abs } y)$  ..
qed

```

```

lemma Abs-cases [cases type]:
  assumes  $r: !!y. x = \text{Abs } y \implies y \in A \implies P$ 
  shows  $P$ 
proof (rule r)
  have  $\text{Abs } (\text{Rep } x) = x$  by (rule Rep-inverse)
  thus  $x = \text{Abs } (\text{Rep } x)$  ..
  show  $\text{Rep } x \in A$  by (rule Rep)
qed

```

```

lemma Rep-induct [induct set]:
  assumes  $y: y \in A$ 
  and hyp:  $!!x. P (\text{Rep } x)$ 
  shows  $P y$ 
proof -
  have  $P (\text{Rep } (\text{Abs } y))$  by (rule hyp)
  moreover from  $y$  have  $\text{Rep } (\text{Abs } y) = y$  by (rule Abs-inverse)
  ultimately show  $P y$  by simp
qed

```

```

lemma Abs-induct [induct type]:

```

```

    assumes  $r: !!y. y \in A ==> P (Abs\ y)$ 
    shows  $P\ x$ 
  proof -
    have  $Rep\ x \in A$  by (rule Rep)
    then have  $P (Abs (Rep\ x))$  by (rule r)
    moreover have  $Abs (Rep\ x) = x$  by (rule Rep-inverse)
    ultimately show  $P\ x$  by simp
  qed

lemma Rep-range:  $range\ Rep = A$ 
proof
  show  $range\ Rep \leq A$  using Rep by (auto simp add: image-def)
  show  $A \leq range\ Rep$ 
  proof
    fix  $x$  assume  $x : A$ 
    hence  $x = Rep (Abs\ x)$  by (rule Abs-inverse [symmetric])
    thus  $x : range\ Rep$  by (rule range-eqI)
  qed
qed

lemma Abs-image:  $Abs\ 'A = UNIV$ 
proof
  show  $Abs\ 'A \leq UNIV$  by (rule subset-UNIV)
next
  show  $UNIV \leq Abs\ 'A$ 
  proof
    fix  $x$ 
    have  $x = Abs (Rep\ x)$  by (rule Rep-inverse [symmetric])
    moreover have  $Rep\ x : A$  by (rule Rep)
    ultimately show  $x : Abs\ 'A$  by (rule image-eqI)
  qed
qed

end

use Tools/typedef.ML setup Typedef.setup
use Tools/typecopy.ML setup Typecopy.setup
use Tools/typedef-codegen.ML setup TypedefCodegen.setup

end

```

## 8 Complete-Lattice: Complete lattices, with special focus on sets

```

theory Complete-Lattice
imports Set
begin

```

**notation**

*less-eq* (**infix**  $\sqsubseteq$  50) **and**  
*less* (**infix**  $\sqsubset$  50) **and**  
*inf* (**infixl**  $\sqcap$  70) **and**  
*sup* (**infixl**  $\sqcup$  65) **and**  
*top* ( $\top$ ) **and**  
*bot* ( $\perp$ )

**8.1 Syntactic infimum and supremum operations**

**class** *Inf* =  
 fixes *Inf* :: 'a set  $\Rightarrow$  'a ( $\sqcap$  - [900] 900)

**class** *Sup* =  
 fixes *Sup* :: 'a set  $\Rightarrow$  'a ( $\sqcup$  - [900] 900)

**8.2 Abstract complete lattices**

**class** *complete-lattice* = *bounded-lattice* + *Inf* + *Sup* +  
 assumes *Inf-lower*:  $x \in A \Rightarrow \sqcap A \sqsubseteq x$   
 and *Inf-greatest*:  $(\bigwedge x. x \in A \Rightarrow z \sqsubseteq x) \Rightarrow z \sqsubseteq \sqcap A$   
 assumes *Sup-upper*:  $x \in A \Rightarrow x \sqsubseteq \sqcup A$   
 and *Sup-least*:  $(\bigwedge x. x \in A \Rightarrow x \sqsubseteq z) \Rightarrow \sqcup A \sqsubseteq z$   
**begin**

**lemma** *dual-complete-lattice*:

*class.complete-lattice* *Sup* (*op*  $\geq$ ) (*op*  $>$ ) (*op*  $\sqcup$ ) (*op*  $\sqcap$ )  $\top$   $\perp$   
**by** (*auto intro*: *class.complete-lattice.intro dual-bounded-lattice*)  
 (*unfold-locales*, (*fact bot-least top-greatest*  
*Sup-upper Sup-least Inf-lower Inf-greatest*)+)

**lemma** *Inf-Sup*:  $\sqcap A = \sqcup \{b. \forall a \in A. b \sqsubseteq a\}$   
**by** (*auto intro*: *antisym Inf-lower Inf-greatest Sup-upper Sup-least*)

**lemma** *Sup-Inf*:  $\sqcup A = \sqcap \{b. \forall a \in A. a \sqsubseteq b\}$   
**by** (*auto intro*: *antisym Inf-lower Inf-greatest Sup-upper Sup-least*)

**lemma** *Inf-empty*:

$\sqcap \{\} = \top$   
**by** (*auto intro*: *antisym Inf-greatest*)

**lemma** *Sup-empty*:

$\sqcup \{\} = \perp$   
**by** (*auto intro*: *antisym Sup-least*)

**lemma** *Inf-insert*:  $\sqcap \text{insert } a \ A = a \sqcap \sqcap A$   
**by** (*auto intro*: *le-infI le-infI1 le-infI2 antisym Inf-greatest Inf-lower*)

**lemma** *Sup-insert*:  $\sqcup \text{insert } a \ A = a \sqcup \sqcup A$

**by** (*auto intro: le-supI le-supI1 le-supI2 antisym Sup-least Sup-upper*)

**lemma** *Inf-singleton* [*simp*]:

$\sqcap \{a\} = a$

**by** (*auto intro: antisym Inf-lower Inf-greatest*)

**lemma** *Sup-singleton* [*simp*]:

$\sqcup \{a\} = a$

**by** (*auto intro: antisym Sup-upper Sup-least*)

**lemma** *Inf-binary*:

$\sqcap \{a, b\} = a \sqcap b$

**by** (*simp add: Inf-empty Inf-insert*)

**lemma** *Sup-binary*:

$\sqcup \{a, b\} = a \sqcup b$

**by** (*simp add: Sup-empty Sup-insert*)

**lemma** *Inf-UNIV*:

$\sqcap UNIV = bot$

**by** (*simp add: Sup-Inf Sup-empty [symmetric]*)

**lemma** *Sup-UNIV*:

$\sqcup UNIV = top$

**by** (*simp add: Inf-Sup Inf-empty [symmetric]*)

**lemma** *Sup-le-iff*:  $Sup\ A \sqsubseteq b \longleftrightarrow (\forall a \in A. a \sqsubseteq b)$

**by** (*auto intro: Sup-least dest: Sup-upper*)

**lemma** *le-Inf-iff*:  $b \sqsubseteq Inf\ A \longleftrightarrow (\forall a \in A. b \sqsubseteq a)$

**by** (*auto intro: Inf-greatest dest: Inf-lower*)

**definition** *SUPR* ::  $'b\ set \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a$  **where**

$SUPR\ A\ f = \sqcup (f \, 'A)$

**definition** *INFI* ::  $'b\ set \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a$  **where**

$INFI\ A\ f = \sqcap (f \, 'A)$

**end**

**syntax**

-*SUP1*    ::  $pttrns \Rightarrow 'b \Rightarrow 'b$                      $((\exists SUP \ -./ \ -) [0, 10] 10)$   
 -*SUP*    ::  $pttrn \Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b$              $((\exists SUP \ -./ \ -) [0, 0, 10] 10)$   
 -*INF1*    ::  $pttrns \Rightarrow 'b \Rightarrow 'b$                      $((\exists INF \ -./ \ -) [0, 10] 10)$   
 -*INF*    ::  $pttrn \Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b$              $((\exists INF \ -./ \ -) [0, 0, 10] 10)$

**translations**

$SUP\ x\ y. B \quad == \quad SUP\ x. SUP\ y. B$   
 $SUP\ x. B \quad == \quad CONST\ SUPR\ CONST\ UNIV\ (\%x. B)$

$$\begin{aligned}
SUP\ x.\ B &== SUP\ x:CONST\ UNIV.\ B \\
SUP\ x:A.\ B &== CONST\ SUPR\ A\ (\%x.\ B) \\
INF\ x\ y.\ B &== INF\ x.\ INF\ y.\ B \\
INF\ x.\ B &== CONST\ INFI\ CONST\ UNIV\ (\%x.\ B) \\
INF\ x.\ B &== INF\ x:CONST\ UNIV.\ B \\
INF\ x:A.\ B &== CONST\ INFI\ A\ (\%x.\ B)
\end{aligned}$$

**print-translation**  $\ll$   
 $[Syntax.preserve-binder-abs2-tr' @ \{const-syntax\ SUPR\} @ \{syntax-const -SUP\},$   
 $Syntax.preserve-binder-abs2-tr' @ \{const-syntax\ INFI\} @ \{syntax-const -INF\}]$   
 $\gg$  — to avoid eta-contraction of body

**context** *complete-lattice*  
**begin**

**lemma** *le-SUPI*:  $i : A \implies M\ i \sqsubseteq (SUP\ i:A.\ M\ i)$   
**by** (*auto simp add: SUPR-def intro: Sup-upper*)

**lemma** *SUP-leI*:  $(\bigwedge i.\ i : A \implies M\ i \sqsubseteq u) \implies (SUP\ i:A.\ M\ i) \sqsubseteq u$   
**by** (*auto simp add: SUPR-def intro: Sup-least*)

**lemma** *INF-leI*:  $i : A \implies (INF\ i:A.\ M\ i) \sqsubseteq M\ i$   
**by** (*auto simp add: INFI-def intro: Inf-lower*)

**lemma** *le-INFI*:  $(\bigwedge i.\ i : A \implies u \sqsubseteq M\ i) \implies u \sqsubseteq (INF\ i:A.\ M\ i)$   
**by** (*auto simp add: INFI-def intro: Inf-greatest*)

**lemma** *SUP-le-iff*:  $(SUP\ i:A.\ M\ i) \sqsubseteq u \longleftrightarrow (\forall i \in A.\ M\ i \sqsubseteq u)$   
**unfolding** *SUPR-def* **by** (*auto simp add: Sup-le-iff*)

**lemma** *le-INF-iff*:  $u \sqsubseteq (INF\ i:A.\ M\ i) \longleftrightarrow (\forall i \in A.\ u \sqsubseteq M\ i)$   
**unfolding** *INFI-def* **by** (*auto simp add: le-Inf-iff*)

**lemma** *SUP-const[simp]*:  $A \neq \{\} \implies (SUP\ i:A.\ M) = M$   
**by** (*auto intro: antisym SUP-leI le-SUPI*)

**lemma** *INF-const[simp]*:  $A \neq \{\} \implies (INF\ i:A.\ M) = M$   
**by** (*auto intro: antisym INF-leI le-INFI*)

**end**

### 8.3 *bool* and $- \Rightarrow -$ as complete lattice

**instantiation** *bool* :: *complete-lattice*  
**begin**

**definition**  
*Inf-bool-def*:  $\bigcap A \longleftrightarrow (\forall x \in A.\ x)$

**definition**

*Sup-bool-def*:  $\sqcup A \longleftrightarrow (\exists x \in A. x)$

**instance proof**

**qed** (*auto simp add: Inf-bool-def Sup-bool-def le-bool-def*)

**end**

**lemma** *Inf-empty-bool* [*simp*]:

$\sqcap \{\}$

**unfolding** *Inf-bool-def* **by** *auto*

**lemma** *not-Sup-empty-bool* [*simp*]:

$\neg \sqcup \{\}$

**unfolding** *Sup-bool-def* **by** *auto*

**lemma** *INFI-bool-eq*:

*INFI* = *Ball*

**proof** (*rule ext*)+

**fix** *A* :: 'a set

**fix** *P* :: 'a  $\Rightarrow$  bool

**show**  $(\text{INF } x:A. P\ x) \longleftrightarrow (\forall x \in A. P\ x)$

**by** (*auto simp add: Ball-def INFI-def Inf-bool-def*)

**qed**

**lemma** *SUPR-bool-eq*:

*SUPR* = *Bex*

**proof** (*rule ext*)+

**fix** *A* :: 'a set

**fix** *P* :: 'a  $\Rightarrow$  bool

**show**  $(\text{SUP } x:A. P\ x) \longleftrightarrow (\exists x \in A. P\ x)$

**by** (*auto simp add: Bex-def SUPR-def Sup-bool-def*)

**qed**

**instantiation** *fun* :: (*type*, *complete-lattice*) *complete-lattice*

**begin**

**definition**

*Inf-fun-def* [*code del*]:  $\sqcap A = (\lambda x. \sqcap \{y. \exists f \in A. y = f\ x\})$

**definition**

*Sup-fun-def* [*code del*]:  $\sqcup A = (\lambda x. \sqcup \{y. \exists f \in A. y = f\ x\})$

**instance proof**

**qed** (*auto simp add: Inf-fun-def Sup-fun-def le-fun-def*

*intro: Inf-lower Sup-upper Inf-greatest Sup-least*)

**end**

**lemma** *Inf-empty-fun*:  
 $\sqcap \{\} = (\lambda \cdot. \sqcap \{\})$   
**by** (*simp add: Inf-fun-def*)

**lemma** *Sup-empty-fun*:  
 $\sqcup \{\} = (\lambda \cdot. \sqcup \{\})$   
**by** (*simp add: Sup-fun-def*)

## 8.4 Union

**abbreviation** *Union* :: 'a set set  $\Rightarrow$  'a set **where**  
 $Union\ S \equiv \sqcup S$

**notation** (*xsymbols*)  
 $Union\ (\bigcup - [90] 90)$

**lemma** *Union-eq*:  
 $\bigcup A = \{x. \exists B \in A. x \in B\}$   
**proof** (*rule set-ext*)  
**fix**  $x$   
**have**  $(\exists Q \in \{P. \exists B \in A. P \longleftrightarrow x \in B\}. Q) \longleftrightarrow (\exists B \in A. x \in B)$   
**by** *auto*  
**then show**  $x \in \bigcup A \longleftrightarrow x \in \{x. \exists B \in A. x \in B\}$   
**by** (*simp add: Sup-fun-def Sup-bool-def*) (*simp add: mem-def*)  
**qed**

**lemma** *Union-iff* [*simp, no-atp*]:  
 $A \in \bigcup C \longleftrightarrow (\exists X \in C. A \in X)$   
**by** (*unfold Union-eq blast*)

**lemma** *UnionI* [*intro*]:  
 $X \in C \Longrightarrow A \in X \Longrightarrow A \in \bigcup C$   
— The order of the premises presupposes that  $C$  is rigid;  $A$  may be flexible.  
**by** *auto*

**lemma** *UnionE* [*elim!*]:  
 $A \in \bigcup C \Longrightarrow (\bigwedge X. A \in X \Longrightarrow X \in C \Longrightarrow R) \Longrightarrow R$   
**by** *auto*

**lemma** *Union-upper*:  $B \in A \Longrightarrow B \subseteq Union\ A$   
**by** (*iprover intro: subsetI UnionI*)

**lemma** *Union-least*:  $(!!X. X \in A \Longrightarrow X \subseteq C) \Longrightarrow Union\ A \subseteq C$   
**by** (*iprover intro: subsetI elim: UnionE dest: subsetD*)

**lemma** *Un-eq-Union*:  $A \cup B = \bigcup \{A, B\}$   
**by** *blast*

**lemma** *Union-empty* [*simp*]:  $Union(\{\}) = \{\}$



by *blast*

**lemma** *Union-UNIV* [simp]:  $\text{Union UNIV} = \text{UNIV}$   
by *blast*

**lemma** *Union-insert* [simp]:  $\text{Union} (\text{insert } a \ B) = a \cup \bigcup B$   
by *blast*

**lemma** *Union-Un-distrib* [simp]:  $\bigcup (A \ \text{Un} \ B) = \bigcup A \cup \bigcup B$   
by *blast*

**lemma** *Union-Int-subset*:  $\bigcup (A \cap B) \subseteq \bigcup A \cap \bigcup B$   
by *blast*

**lemma** *Union-empty-conv* [simp,no-atp]:  $(\bigcup A = \{\}) = (\forall x \in A. \ x = \{\})$   
by *blast*

**lemma** *empty-Union-conv* [simp,no-atp]:  $(\{\} = \bigcup A) = (\forall x \in A. \ x = \{\})$   
by *blast*

**lemma** *Union-disjoint*:  $(\bigcup C \cap A = \{\}) = (\forall B \in C. \ B \cap A = \{\})$   
by *blast*

**lemma** *subset-Pow-Union*:  $A \subseteq \text{Pow} (\bigcup A)$   
by *blast*

**lemma** *Union-Pow-eq* [simp]:  $\bigcup (\text{Pow } A) = A$   
by *blast*

**lemma** *Union-mono*:  $A \subseteq B \implies \bigcup A \subseteq \bigcup B$   
by *blast*

## 8.5 Unions of families

**abbreviation** *UNION* ::  $'a \ \text{set} \Rightarrow ('a \Rightarrow 'b \ \text{set}) \Rightarrow 'b \ \text{set}$  **where**  
 $\text{UNION} \equiv \text{SUPR}$

**syntax**

-UNION1    ::  $\text{pttrns} \Rightarrow 'b \ \text{set} \Rightarrow 'b \ \text{set}$      $((\text{UN} \ \_ / \ \_) \ [0, 10] \ 10)$   
-UNION    ::  $\text{pttrn} \Rightarrow 'a \ \text{set} \Rightarrow 'b \ \text{set} \Rightarrow 'b \ \text{set}$   $((\text{UN} \ \_ : \_ / \ \_) \ [0, 0, 10] \ 10)$

**syntax** (*xsymbols*)

-UNION1    ::  $\text{pttrns} \Rightarrow 'b \ \text{set} \Rightarrow 'b \ \text{set}$      $((\text{UN} \ \_ / \ \_) \ [0, 10] \ 10)$   
-UNION    ::  $\text{pttrn} \Rightarrow 'a \ \text{set} \Rightarrow 'b \ \text{set} \Rightarrow 'b \ \text{set}$   $((\text{UN} \ \_ \in \_ / \ \_) \ [0, 0, 10] \ 10)$

**syntax** (*latex output*)

-UNION1    ::  $\text{pttrns} \Rightarrow 'b \ \text{set} \Rightarrow 'b \ \text{set}$      $((\text{UN} \ (00 \ \_) / \ \_) \ [0, 10] \ 10)$   
-UNION    ::  $\text{pttrn} \Rightarrow 'a \ \text{set} \Rightarrow 'b \ \text{set} \Rightarrow 'b \ \text{set}$   $((\text{UN} \ (00 \ \_ \in \_) / \ \_) \ [0, 0, 10] \ 10)$

10)

**translations**

$$\begin{aligned} UN\ x\ y.\ B &== UN\ x.\ UN\ y.\ B \\ UN\ x.\ B &== CONST\ UNION\ CONST\ UNIV\ (\%x.\ B) \\ UN\ x.\ B &== UN\ x:CONST\ UNIV.\ B \\ UN\ x:A.\ B &== CONST\ UNION\ A\ (\%x.\ B) \end{aligned}$$

Note the difference between ordinary xsymbol syntax of indexed unions and intersections (e.g.  $\bigcup_{a_1 \in A_1} B$ ) and their L<sup>A</sup>T<sub>E</sub>X rendition:  $\bigcup_{a_1 \in A_1} B$ . The former does not make the index expression a subscript of the union/intersection symbol because this leads to problems with nested subscripts in Proof General.

**print-translation**  $\ll$ 

$$[Syntax.preserve-binder-abs2-tr' @ \{const-syntax\ UNION\} @ \{syntax-const-UNION\}]$$

$\gg$  — to avoid eta-contraction of body

**lemma** *UNION-eq-Union-image*:
$$(\bigcup_{x \in A} B\ x) = \bigcup (B'A)$$

**by** (*fact SUPR-def*)

**lemma** *Union-def*:
$$\bigcup S = (\bigcup_{x \in S} x)$$

**by** (*simp add: UNION-eq-Union-image image-def*)

**lemma** *UNION-def* [*no-atp*]:
$$(\bigcup_{x \in A} B\ x) = \{y. \exists x \in A. y \in B\ x\}$$

**by** (*auto simp add: UNION-eq-Union-image Union-eq*)

**lemma** *Union-image-eq* [*simp*]:
$$\bigcup (B'A) = (\bigcup_{x \in A} B\ x)$$

**by** (*rule sym*) (*fact UNION-eq-Union-image*)

**lemma** *UN-iff* [*simp*]: ( $b: (UN\ x:A.\ B\ x)$ ) = ( $EX\ x:A.\ b: B\ x$ )

**by** (*unfold UNION-def*) *blast*

**lemma** *UN-I* [*intro*]:  $a:A ==> b: B\ a ==> b: (UN\ x:A.\ B\ x)$ 

— The order of the premises presupposes that  $A$  is rigid;  $b$  may be flexible.

**by** *auto*

**lemma** *UN-E* [*elim!*]:  $b : (UN\ x:A.\ B\ x) ==> (!!x. x:A ==> b: B\ x ==> R) ==> R$ 

**by** (*unfold UNION-def*) *blast*

**lemma** *UN-cong* [*cong*]:
$$A = B ==> (!!x. x:B ==> C\ x = D\ x) ==> (UN\ x:A.\ C\ x) = (UN\ x:B.\ D\ x)$$

**by** (*simp add: UNION-def*)

**lemma** *strong-UN-cong*:

$A = B \implies (!x. x:B \text{ simp} \implies C\ x = D\ x) \implies (\bigcup x:A. C\ x) = (\bigcup x:B. D\ x)$   
**by** (*simp add: UNION-def simp-implies-def*)

**lemma** *image-eq-UN*:  $f^*A = (\bigcup x:A. \{f\ x\})$

**by** *blast*

**lemma** *UN-upper*:  $a \in A \implies B\ a \subseteq (\bigcup x \in A. B\ x)$

**by** (*fact le-SUPI*)

**lemma** *UN-least*:  $(!x. x \in A \implies B\ x \subseteq C) \implies (\bigcup x \in A. B\ x) \subseteq C$

**by** (*iprover intro: subsetI elim: UN-E dest: subsetD*)

**lemma** *Collect-bex-eq* [*no-atp*]:  $\{x. \exists y \in A. P\ x\ y\} = (\bigcup y \in A. \{x. P\ x\ y\})$

**by** *blast*

**lemma** *UN-insert-distrib*:  $u \in A \implies (\bigcup x \in A. \text{insert}\ a\ (B\ x)) = \text{insert}\ a\ (\bigcup x \in A. B\ x)$

**by** *blast*

**lemma** *UN-empty* [*simp,no-atp*]:  $(\bigcup x \in \{\}. B\ x) = \{\}$

**by** *blast*

**lemma** *UN-empty2* [*simp*]:  $(\bigcup x \in A. \{\}) = \{\}$

**by** *blast*

**lemma** *UN-singleton* [*simp*]:  $(\bigcup x \in A. \{x\}) = A$

**by** *blast*

**lemma** *UN-absorb*:  $k \in I \implies A\ k \cup (\bigcup i \in I. A\ i) = (\bigcup i \in I. A\ i)$

**by** *auto*

**lemma** *UN-insert* [*simp*]:  $(\bigcup x \in \text{insert}\ a\ A. B\ x) = B\ a \cup \text{UNION}\ A\ B$

**by** *blast*

**lemma** *UN-Un* [*simp*]:  $(\bigcup i \in A \cup B. M\ i) = (\bigcup i \in A. M\ i) \cup (\bigcup i \in B. M\ i)$

**by** *blast*

**lemma** *UN-UN-flatten*:  $(\bigcup x \in (\bigcup y \in A. B\ y). C\ x) = (\bigcup y \in A. \bigcup x \in B\ y. C\ x)$

**by** *blast*

**lemma** *UN-subset-iff*:  $((\bigcup i \in I. A\ i) \subseteq B) = (\forall i \in I. A\ i \subseteq B)$

**by** (*fact SUP-le-iff*)

**lemma** *image-Union*:  $f^* \bigcup S = (\bigcup x \in S. f^* x)$

**by** *blast*

**lemma** *UN-constant* [*simp*]:  $(\bigcup y \in A. c) = (\text{if}\ A = \{\}\ \text{then}\ \{\}\ \text{else}\ c)$

**by** *auto*

**lemma** *UN-eq*:  $(\bigcup x \in A. B\ x) = \bigcup (\{Y. \exists x \in A. Y = B\ x\})$   
**by** *blast*

**lemma** *UNION-empty-conv*[*simp*]:  
 $(\{\} = (\bigcup x:A. B\ x)) = (\forall x \in A. B\ x = \{\})$   
 $((\bigcup x:A. B\ x) = \{\}) = (\forall x \in A. B\ x = \{\})$   
**by** *blast+*

**lemma** *Collect-ex-eq* [*no-atp*]:  $\{x. \exists y. P\ x\ y\} = (\bigcup y. \{x. P\ x\ y\})$   
**by** *blast*

**lemma** *ball-UN*:  $(\forall z \in \text{UNION } A\ B. P\ z) = (\forall x \in A. \forall z \in B\ x. P\ z)$   
**by** *blast*

**lemma** *bex-UN*:  $(\exists z \in \text{UNION } A\ B. P\ z) = (\exists x \in A. \exists z \in B\ x. P\ z)$   
**by** *blast*

**lemma** *Un-eq-UN*:  $A \cup B = (\bigcup b. \text{if } b \text{ then } A \text{ else } B)$   
**by** (*auto simp add: split-if-mem2*)

**lemma** *UN-bool-eq*:  $(\bigcup b::\text{bool}. A\ b) = (A\ \text{True} \cup A\ \text{False})$   
**by** (*auto intro: bool-contrapos*)

**lemma** *UN-Pow-subset*:  $(\bigcup x \in A. \text{Pow } (B\ x)) \subseteq \text{Pow } (\bigcup x \in A. B\ x)$   
**by** *blast*

**lemma** *UN-mono*:  
 $A \subseteq B ==> (!x. x \in A ==> f\ x \subseteq g\ x) ==>$   
 $(\bigcup x \in A. f\ x) \subseteq (\bigcup x \in B. g\ x)$   
**by** (*blast dest: subsetD*)

**lemma** *vmage-Union*:  $f\ -' (\text{Union } A) = (\bigcup X:A. f\ -' X)$   
**by** *blast*

**lemma** *vmage-UN*:  $f\ -' (\bigcup x:A. B\ x) = (\bigcup x:A. f\ -' B\ x)$   
**by** *blast*

**lemma** *vmage-eq-UN*:  $f\ -' B = (\bigcup y: B. f\ -' \{y\})$   
— NOT suitable for rewriting  
**by** *blast*

**lemma** *image-UN*:  $(f\ -' (\text{UNION } A\ B)) = (\bigcup x:A. (f\ -' (B\ x)))$   
**by** *blast*

## 8.6 Inter

**abbreviation** *Inter* :: 'a set set  $\Rightarrow$  'a set **where**

$Inter\ S \equiv \bigcap S$

**notation** (*xsymbols*)

$Inter\ (\bigcap - [90] 90)$

**lemma** *Inter-eq* [*code del*]:

$\bigcap A = \{x. \forall B \in A. x \in B\}$

**proof** (*rule set-ext*)

**fix**  $x$

**have**  $(\forall Q \in \{P. \exists B \in A. P \longleftrightarrow x \in B\}. Q) \longleftrightarrow (\forall B \in A. x \in B)$

**by** *auto*

**then show**  $x \in \bigcap A \longleftrightarrow x \in \{x. \forall B \in A. x \in B\}$

**by** (*simp add: Inf-fun-def Inf-bool-def*) (*simp add: mem-def*)

**qed**

**lemma** *Inter-iff* [*simp,no-atp*]:  $(A : Inter\ C) = (ALL\ X:C. A:X)$

**by** (*unfold Inter-eq*) *blast*

**lemma** *InterI* [*intro!*]:  $(!!X. X:C ==> A:X) ==> A : Inter\ C$

**by** (*simp add: Inter-eq*)

A “destruct” rule – every  $X$  in  $C$  contains  $A$  as an element, but  $A \in X$  can hold when  $X \in C$  does not! This rule is analogous to *spec*.

**lemma** *InterD* [*elim*]:  $A : Inter\ C ==> X:C ==> A:X$

**by** *auto*

**lemma** *InterE* [*elim*]:  $A : Inter\ C ==> (X\sim:C ==> R) ==> (A:X ==> R) ==> R$

— “Classical” elimination rule – does not require proving  $X \in C$ .

**by** (*unfold Inter-eq*) *blast*

**lemma** *Inter-lower*:  $B \in A ==> Inter\ A \subseteq B$

**by** *blast*

**lemma** *Inter-subset*:

$[! X. X \in A ==> X \subseteq B; A \sim = \{\}] ==> \bigcap A \subseteq B$

**by** *blast*

**lemma** *Inter-greatest*:  $(!!X. X \in A ==> C \subseteq X) ==> C \subseteq Inter\ A$

**by** (*iprover intro: InterI subsetI dest: subsetD*)

**lemma** *Int-eq-Inter*:  $A \cap B = \bigcap \{A, B\}$

**by** *blast*

**lemma** *Inter-empty* [*simp*]:  $\bigcap \{\} = UNIV$

**by** *blast*

**lemma** *Inter-UNIV* [*simp*]:  $\bigcap UNIV = \{\}$

**by** *blast*

**lemma** *Inter-insert* [simp]:  $\bigcap (\text{insert } a \ B) = a \cap \bigcap B$   
**by** blast

**lemma** *Inter-Un-subset*:  $\bigcap A \cup \bigcap B \subseteq \bigcap (A \cap B)$   
**by** blast

**lemma** *Inter-Un-distrib*:  $\bigcap (A \cup B) = \bigcap A \cap \bigcap B$   
**by** blast

**lemma** *Inter-UNIV-conv* [simp,no-atp]:  
 $(\bigcap A = \text{UNIV}) = (\forall x \in A. x = \text{UNIV})$   
 $(\text{UNIV} = \bigcap A) = (\forall x \in A. x = \text{UNIV})$   
**by** blast+

**lemma** *Inter-anti-mono*:  $B \subseteq A \implies \bigcap A \subseteq \bigcap B$   
**by** blast

## 8.7 Intersections of families

**abbreviation** *INTER* :: 'a set  $\Rightarrow$  ('a  $\Rightarrow$  'b set)  $\Rightarrow$  'b set **where**  
*INTER*  $\equiv$  *INFI*

**syntax**  
*-INTER1* :: ptnrs  $\Rightarrow$  'b set  $\Rightarrow$  'b set  $((\exists \text{INT} \text{ -./ -}) [0, 10] 10)$   
*-INTER* :: ptnrn  $\Rightarrow$  'a set  $\Rightarrow$  'b set  $\Rightarrow$  'b set  $((\exists \text{INT} \text{ :-./ -}) [0, 0, 10] 10)$

**syntax** (xsymbols)  
*-INTER1* :: ptnrs  $\Rightarrow$  'b set  $\Rightarrow$  'b set  $((\exists \bigcap \text{ -./ -}) [0, 10] 10)$   
*-INTER* :: ptnrn  $\Rightarrow$  'a set  $\Rightarrow$  'b set  $\Rightarrow$  'b set  $((\exists \bigcap \text{ -}\in\text{ -./ -}) [0, 0, 10] 10)$

**syntax** (latex output)  
*-INTER1* :: ptnrs  $\Rightarrow$  'b set  $\Rightarrow$  'b set  $((\exists \bigcap (00\text{ -}) / \text{ -}) [0, 10] 10)$   
*-INTER* :: ptnrn  $\Rightarrow$  'a set  $\Rightarrow$  'b set  $\Rightarrow$  'b set  $((\exists \bigcap (00\text{ -}\in\text{ -}) / \text{ -}) [0, 0, 10] 10)$

**translations**  
 $\text{INT } x \ y. B == \text{INT } x. \text{INT } y. B$   
 $\text{INT } x. B == \text{CONST INTER CONST UNIV } (\%x. B)$   
 $\text{INT } x. B == \text{INT } x:\text{CONST UNIV}. B$   
 $\text{INT } x:A. B == \text{CONST INTER } A (\%x. B)$

**print-translation**  $\llbracket$   
 $\llbracket \text{Syntax.preserve-binder-abs2-tr}' @ \{ \text{const-syntax INTER} \} @ \{ \text{syntax-const -INTER} \} \rrbracket$   
 $\rrbracket$  — to avoid eta-contraction of body

**lemma** *INTER-eq-Inter-image*:  
 $(\bigcap x \in A. B \ x) = \bigcap (B \ A)$

**by** (*fact INFI-def*)

**lemma** *Inter-def*:

$\bigcap S = (\bigcap_{x \in S}. x)$

**by** (*simp add: INTER-eq-Inter-image image-def*)

**lemma** *INTER-def*:

$(\bigcap_{x \in A}. B\ x) = \{y. \forall x \in A. y \in B\ x\}$

**by** (*auto simp add: INTER-eq-Inter-image Inter-eq*)

**lemma** *Inter-image-eq* [*simp*]:

$\bigcap (B^i A) = (\bigcap_{x \in A}. B\ x)$

**by** (*rule sym*) (*fact INTER-eq-Inter-image*)

**lemma** *INT-iff* [*simp*]:  $(b: (INT\ x:A. B\ x)) = (ALL\ x:A. b: B\ x)$

**by** (*unfold INTER-def*) *blast*

**lemma** *INT-I* [*intro!*]:  $(!!x. x:A ==> b: B\ x) ==> b: (INT\ x:A. B\ x)$

**by** (*unfold INTER-def*) *blast*

**lemma** *INT-D* [*elim*]:  $b: (INT\ x:A. B\ x) ==> a:A ==> b: B\ a$

**by** *auto*

**lemma** *INT-E* [*elim*]:  $b: (INT\ x:A. B\ x) ==> (b: B\ a ==> R) ==> (a^{\sim}:A ==> R) ==> R$

— “Classical” elimination – by the Excluded Middle on  $a \in A$ .

**by** (*unfold INTER-def*) *blast*

**lemma** *INT-cong* [*cong*]:

$A = B ==> (!!x. x:B ==> C\ x = D\ x) ==> (INT\ x:A. C\ x) = (INT\ x:B. D\ x)$

**by** (*simp add: INTER-def*)

**lemma** *Collect-ball-eq*:  $\{x. \forall y \in A. P\ x\ y\} = (\bigcap_{y \in A}. \{x. P\ x\ y\})$

**by** *blast*

**lemma** *Collect-all-eq*:  $\{x. \forall y. P\ x\ y\} = (\bigcap y. \{x. P\ x\ y\})$

**by** *blast*

**lemma** *INT-lower*:  $a \in A ==> (\bigcap_{x \in A}. B\ x) \subseteq B\ a$

**by** (*fact INF-leI*)

**lemma** *INT-greatest*:  $(!!x. x \in A ==> C \subseteq B\ x) ==> C \subseteq (\bigcap_{x \in A}. B\ x)$

**by** (*fact le-INF*)

**lemma** *INT-empty* [*simp*]:  $(\bigcap_{x \in \{\}}. B\ x) = UNIV$

**by** *blast*

**lemma** *INT-absorb*:  $k \in I ==> A\ k \cap (\bigcap_{i \in I}. A\ i) = (\bigcap_{i \in I}. A\ i)$

by *blast*

**lemma** *INT-subset-iff*:  $(B \subseteq (\bigcap_{i \in I}. A \ i)) = (\forall i \in I. B \subseteq A \ i)$   
 by (*fact le-INF-iff*)

**lemma** *INT-insert [simp]*:  $(\bigcap x \in \text{insert } a \ A. B \ x) = B \ a \cap \text{INTER } A \ B$   
 by *blast*

**lemma** *INT-Un*:  $(\bigcap i \in A \cup B. M \ i) = (\bigcap i \in A. M \ i) \cap (\bigcap i \in B. M \ i)$   
 by *blast*

**lemma** *INT-insert-distrib*:  
 $u \in A ==> (\bigcap x \in A. \text{insert } a \ (B \ x)) = \text{insert } a \ (\bigcap x \in A. B \ x)$   
 by *blast*

**lemma** *INT-constant [simp]*:  $(\bigcap y \in A. c) = (\text{if } A = \{\} \text{ then } \text{UNIV} \text{ else } c)$   
 by *auto*

**lemma** *INT-eq*:  $(\bigcap x \in A. B \ x) = \bigcap (\{Y. \exists x \in A. Y = B \ x\})$   
 — Look: it has an *existential* quantifier  
 by *blast*

**lemma** *INTER-UNIV-conv[simp]*:  
 $(\text{UNIV} = (\text{INT } x:A. B \ x)) = (\forall x \in A. B \ x = \text{UNIV})$   
 $((\text{INT } x:A. B \ x) = \text{UNIV}) = (\forall x \in A. B \ x = \text{UNIV})$   
 by *blast+*

**lemma** *INT-bool-eq*:  $(\bigcap b::\text{bool}. A \ b) = (A \ \text{True} \cap A \ \text{False})$   
 by (*auto intro: bool-induct*)

**lemma** *Pow-INT-eq*:  $\text{Pow } (\bigcap x \in A. B \ x) = (\bigcap x \in A. \text{Pow } (B \ x))$   
 by *blast*

**lemma** *INT-anti-mono*:  
 $B \subseteq A ==> (!x. x \in A ==> f \ x \subseteq g \ x) ==>$   
 $(\bigcap x \in A. f \ x) \subseteq (\bigcap x \in A. g \ x)$   
 — The last inclusion is POSITIVE!  
 by (*blast dest: subsetD*)

**lemma** *image-INT*:  $f - ' (\text{INT } x:A. B \ x) = (\text{INT } x:A. f \ - ' B \ x)$   
 by *blast*

## 8.8 Distributive laws

**lemma** *Int-Union*:  $A \cap \bigcup B = (\bigcup C \in B. A \cap C)$   
 by *blast*

**lemma** *Int-Union2*:  $\bigcup B \cap A = (\bigcup C \in B. C \cap A)$   
 by *blast*



**lemma** *Un-Union-image*:  $(\bigcup_{x \in C}. A \ x \cup B \ x) = \bigcup (A' C) \cup \bigcup (B' C)$   
 — Devlin, Fundamentals of Contemporary Set Theory, page 12, exercise 5:  
 — Union of a family of unions  
**by** *blast*

**lemma** *UN-Un-distrib*:  $(\bigcup_{i \in I}. A \ i \cup B \ i) = (\bigcup_{i \in I}. A \ i) \cup (\bigcup_{i \in I}. B \ i)$   
 — Equivalent version  
**by** *blast*

**lemma** *Un-Inter*:  $A \cup \bigcap B = (\bigcap_{C \in B}. A \cup C)$   
**by** *blast*

**lemma** *Int-Inter-image*:  $(\bigcap_{x \in C}. A \ x \cap B \ x) = \bigcap (A' C) \cap \bigcap (B' C)$   
**by** *blast*

**lemma** *INT-Int-distrib*:  $(\bigcap_{i \in I}. A \ i \cap B \ i) = (\bigcap_{i \in I}. A \ i) \cap (\bigcap_{i \in I}. B \ i)$   
 — Equivalent version  
**by** *blast*

**lemma** *Int-UN-distrib*:  $B \cap (\bigcup_{i \in I}. A \ i) = (\bigcup_{i \in I}. B \cap A \ i)$   
 — Halmos, Naive Set Theory, page 35.  
**by** *blast*

**lemma** *Un-INT-distrib*:  $B \cup (\bigcap_{i \in I}. A \ i) = (\bigcap_{i \in I}. B \cup A \ i)$   
**by** *blast*

**lemma** *Int-UN-distrib2*:  $(\bigcup_{i \in I}. A \ i) \cap (\bigcup_{j \in J}. B \ j) = (\bigcup_{i \in I}. \bigcup_{j \in J}. A \ i \cap B \ j)$   
**by** *blast*

**lemma** *Un-INT-distrib2*:  $(\bigcap_{i \in I}. A \ i) \cup (\bigcap_{j \in J}. B \ j) = (\bigcap_{i \in I}. \bigcap_{j \in J}. A \ i \cup B \ j)$   
**by** *blast*

## 8.9 Complement

**lemma** *Compl-UN* [*simp*]:  $-(\bigcup_{x \in A}. B \ x) = (\bigcap_{x \in A}. -B \ x)$   
**by** *blast*

**lemma** *Compl-INT* [*simp*]:  $-(\bigcap_{x \in A}. B \ x) = (\bigcup_{x \in A}. -B \ x)$   
**by** *blast*

## 8.10 Miniscoping and maxiscoping

Miniscoping: pushing in quantifiers and big Unions and Intersections.

**lemma** *UN-simps* [*simp*]:  
 $!!a \ B \ C. (UN \ x:C. insert \ a \ (B \ x)) = (if \ C=\{\} \ then \ \{\} \ else \ insert \ a \ (UN \ x:C. B \ x))$

$!!A \ B \ C. (UN \ x:C. A \ x \ Un \ B) = ((if \ C=\{\} \ then \ \{\} \ else \ (UN \ x:C. A \ x) \ Un \ B))$   
 $!!A \ B \ C. (UN \ x:C. A \ Un \ B \ x) = ((if \ C=\{\} \ then \ \{\} \ else \ A \ Un \ (UN \ x:C. B \ x)))$   
 $!!A \ B \ C. (UN \ x:C. A \ x \ Int \ B) = ((UN \ x:C. A \ x) \ Int \ B)$   
 $!!A \ B \ C. (UN \ x:C. A \ Int \ B \ x) = (A \ Int \ (UN \ x:C. B \ x))$   
 $!!A \ B \ C. (UN \ x:C. A \ x - B) = ((UN \ x:C. A \ x) - B)$   
 $!!A \ B \ C. (UN \ x:C. A - B \ x) = (A - (INT \ x:C. B \ x))$   
 $!!A \ B. (UN \ x: Union \ A. B \ x) = (UN \ y:A. UN \ x:y. B \ x)$   
 $!!A \ B \ C. (UN \ z: UNION \ A \ B. C \ z) = (UN \ x:A. UN \ z: B(x). C \ z)$   
 $!!A \ B \ f. (UN \ x:f'A. B \ x) = (UN \ a:A. B \ (f \ a))$   
**by auto**

**lemma** *INT-simps* [*simp*]:

$!!A \ B \ C. (INT \ x:C. A \ x \ Int \ B) = (if \ C=\{\} \ then \ UNIV \ else \ (INT \ x:C. A \ x) \ Int \ B)$   
 $!!A \ B \ C. (INT \ x:C. A \ Int \ B \ x) = (if \ C=\{\} \ then \ UNIV \ else \ A \ Int \ (INT \ x:C. B \ x))$   
 $!!A \ B \ C. (INT \ x:C. A \ x - B) = (if \ C=\{\} \ then \ UNIV \ else \ (INT \ x:C. A \ x) - B)$   
 $!!A \ B \ C. (INT \ x:C. A - B \ x) = (if \ C=\{\} \ then \ UNIV \ else \ A - (UN \ x:C. B \ x))$   
 $!!a \ B \ C. (INT \ x:C. insert \ a \ (B \ x)) = insert \ a \ (INT \ x:C. B \ x)$   
 $!!A \ B \ C. (INT \ x:C. A \ x \ Un \ B) = ((INT \ x:C. A \ x) \ Un \ B)$   
 $!!A \ B \ C. (INT \ x:C. A \ Un \ B \ x) = (A \ Un \ (INT \ x:C. B \ x))$   
 $!!A \ B. (INT \ x: Union \ A. B \ x) = (INT \ y:A. INT \ x:y. B \ x)$   
 $!!A \ B \ C. (INT \ z: UNION \ A \ B. C \ z) = (INT \ x:A. INT \ z: B(x). C \ z)$   
 $!!A \ B \ f. (INT \ x:f'A. B \ x) = (INT \ a:A. B \ (f \ a))$   
**by auto**

**lemma** *ball-simps* [*simp,no-atp*]:

$!!A \ P \ Q. (ALL \ x:A. P \ x \mid Q) = ((ALL \ x:A. P \ x) \mid Q)$   
 $!!A \ P \ Q. (ALL \ x:A. P \mid Q \ x) = (P \mid (ALL \ x:A. Q \ x))$   
 $!!A \ P \ Q. (ALL \ x:A. P \dashrightarrow Q \ x) = (P \dashrightarrow (ALL \ x:A. Q \ x))$   
 $!!A \ P \ Q. (ALL \ x:A. P \ x \dashrightarrow Q) = ((EX \ x:A. P \ x) \dashrightarrow Q)$   
 $!!P. (ALL \ x:\{\}. P \ x) = True$   
 $!!P. (ALL \ x:UNIV. P \ x) = (ALL \ x. P \ x)$   
 $!!a \ B \ P. (ALL \ x:insert \ a \ B. P \ x) = (P \ a \ \& \ (ALL \ x:B. P \ x))$   
 $!!A \ P. (ALL \ x:Union \ A. P \ x) = (ALL \ y:A. ALL \ x:y. P \ x)$   
 $!!A \ B \ P. (ALL \ x: UNION \ A \ B. P \ x) = (ALL \ a:A. ALL \ x: B \ a. P \ x)$   
 $!!P \ Q. (ALL \ x:Collect \ Q. P \ x) = (ALL \ x. Q \ x \dashrightarrow P \ x)$   
 $!!A \ P \ f. (ALL \ x:f'A. P \ x) = (ALL \ x:A. P \ (f \ x))$   
 $!!A \ P. (\sim (ALL \ x:A. P \ x)) = (EX \ x:A. \sim P \ x)$   
**by auto**

**lemma** *bex-simps* [*simp,no-atp*]:

$!!A \ P \ Q. (EX \ x:A. P \ x \ \& \ Q) = ((EX \ x:A. P \ x) \ \& \ Q)$   
 $!!A \ P \ Q. (EX \ x:A. P \ \& \ Q \ x) = (P \ \& \ (EX \ x:A. Q \ x))$   
 $!!P. (EX \ x:\{\}. P \ x) = False$

$!!P. (EX x:UNIV. P x) = (EX x. P x)$   
 $!!a B P. (EX x:insert a B. P x) = (P(a) \mid (EX x:B. P x))$   
 $!!A P. (EX x:Union A. P x) = (EX y:A. EX x:y. P x)$   
 $!!A B P. (EX x: UNION A B. P x) = (EX a:A. EX x:B a. P x)$   
 $!!P Q. (EX x:Collect Q. P x) = (EX x. Q x \& P x)$   
 $!!A P f. (EX x:f^*A. P x) = (EX x:A. P (f x))$   
 $!!A P. (\sim (EX x:A. P x)) = (ALL x:A. \sim P x)$   
**by auto**

**lemma ball-conj-distrib:**

$(ALL x:A. P x \& Q x) = ((ALL x:A. P x) \& (ALL x:A. Q x))$   
**by blast**

**lemma bex-disj-distrib:**

$(EX x:A. P x \mid Q x) = ((EX x:A. P x) \mid (EX x:A. Q x))$   
**by blast**

Maxiscoping: pulling out big Unions and Intersections.

**lemma UN-extend-simps:**

$!!a B C. insert a (UN x:C. B x) = (if C=\{\} then \{a\} else (UN x:C. insert a (B x)))$   
 $!!A B C. (UN x:C. A x) Un B = (if C=\{\} then B else (UN x:C. A x Un B))$   
 $!!A B C. A Un (UN x:C. B x) = (if C=\{\} then A else (UN x:C. A Un B x))$   
 $!!A B C. ((UN x:C. A x) Int B) = (UN x:C. A x Int B)$   
 $!!A B C. (A Int (UN x:C. B x)) = (UN x:C. A Int B x)$   
 $!!A B C. ((UN x:C. A x) - B) = (UN x:C. A x - B)$   
 $!!A B C. (A - (INT x:C. B x)) = (UN x:C. A - B x)$   
 $!!A B. (UN y:A. UN x:y. B x) = (UN x: Union A. B x)$   
 $!!A B C. (UN x:A. UN z: B(x). C z) = (UN z: UNION A B. C z)$   
 $!!A B f. (UN a:A. B (f a)) = (UN x:f^*A. B x)$   
**by auto**

**lemma INT-extend-simps:**

$!!A B C. (INT x:C. A x) Int B = (if C=\{\} then B else (INT x:C. A x Int B))$   
 $!!A B C. A Int (INT x:C. B x) = (if C=\{\} then A else (INT x:C. A Int B x))$   
 $!!A B C. (INT x:C. A x) - B = (if C=\{\} then UNIV-B else (INT x:C. A x - B))$   
 $!!A B C. A - (UN x:C. B x) = (if C=\{\} then A else (INT x:C. A - B x))$   
 $!!a B C. insert a (INT x:C. B x) = (INT x:C. insert a (B x))$   
 $!!A B C. ((INT x:C. A x) Un B) = (INT x:C. A x Un B)$   
 $!!A B C. A Un (INT x:C. B x) = (INT x:C. A Un B x)$   
 $!!A B. (INT y:A. INT x:y. B x) = (INT x: Union A. B x)$   
 $!!A B C. (INT x:A. INT z: B(x). C z) = (INT z: UNION A B. C z)$   
 $!!A B f. (INT a:A. B (f a)) = (INT x:f^*A. B x)$   
**by auto**

**no-notation**

*less-eq* (**infix**  $\sqsubseteq$  50) **and**

```

less (infix  $\sqsubset$  50) and
inf (infixl  $\sqcap$  70) and
sup (infixl  $\sqcup$  65) and
Inf ( $\sqcap$  - [900] 900) and
Sup ( $\sqcup$  - [900] 900) and
top ( $\top$ ) and
bot ( $\perp$ )

lemmas mem-simps =
  insert-iff empty-iff Un-iff Int-iff Compl-iff Diff-iff
  mem-Collect-eq UN-iff Union-iff INT-iff Inter-iff
  — Each of these has ALREADY been added [simp] above.

end

```

## 9 Inductive: Knaster-Tarski Fixpoint Theorem and inductive definitions

```

theory Inductive
imports Complete-Lattice
uses
  (Tools/inductive.ML)
  Tools/dseq.ML
  (Tools/inductive-codegen.ML)
  Tools/Datatype/datatype-aux.ML
  Tools/Datatype/datatype-prop.ML
  Tools/Datatype/datatype-case.ML
  (Tools/Datatype/datatype-abs-proofs.ML)
  (Tools/Datatype/datatype-data.ML)
  (Tools/old-primrec.ML)
  (Tools/primrec.ML)
  (Tools/Datatype/datatype-codegen.ML)
begin

```

### 9.1 Least and greatest fixed points

```

context complete-lattice
begin

```

#### definition

```

lfp :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a where
lfp f = Inf {u. f u  $\leq$  u} — least fixed point

```

#### definition

```

gfp :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a where
gfp f = Sup {u. u  $\leq$  f u} — greatest fixed point

```

## 9.2 Proof of Knaster-Tarski Theorem using *lfp*

*lfp* *f* is the least upper bound of the set  $\{u. f\ u \leq u\}$

**lemma** *lfp-lowerbound*:  $f\ A \leq A \implies lfp\ f \leq A$   
**by** (*auto simp add: lfp-def intro: Inf-lower*)

**lemma** *lfp-greatest*:  $(!!u. f\ u \leq u \implies A \leq u) \implies A \leq lfp\ f$   
**by** (*auto simp add: lfp-def intro: Inf-greatest*)

**end**

**lemma** *lfp-lemma2*:  $mono\ f \implies f\ (lfp\ f) \leq lfp\ f$   
**by** (*iprover intro: lfp-greatest order-trans monoD lfp-lowerbound*)

**lemma** *lfp-lemma3*:  $mono\ f \implies lfp\ f \leq f\ (lfp\ f)$   
**by** (*iprover intro: lfp-lemma2 monoD lfp-lowerbound*)

**lemma** *lfp-unfold*:  $mono\ f \implies lfp\ f = f\ (lfp\ f)$   
**by** (*iprover intro: order-antisym lfp-lemma2 lfp-lemma3*)

**lemma** *lfp-const*:  $lfp\ (\lambda x. t) = t$   
**by** (*rule lfp-unfold*) (*simp add: mono-def*)

## 9.3 General induction rules for least fixed points

**theorem** *lfp-induct*:

**assumes** *mono*:  $mono\ f$  **and** *ind*:  $f\ (inf\ (lfp\ f)\ P) \leq P$   
**shows**  $lfp\ f \leq P$

**proof** –

**have**  $inf\ (lfp\ f)\ P \leq lfp\ f$  **by** (*rule inf-le1*)  
**with** *mono* **have**  $f\ (inf\ (lfp\ f)\ P) \leq f\ (lfp\ f)$  **..**  
**also from** *mono* **have**  $f\ (lfp\ f) = lfp\ f$  **by** (*rule lfp-unfold [symmetric]*)  
**finally have**  $f\ (inf\ (lfp\ f)\ P) \leq lfp\ f$  **.**  
**from this and** *ind* **have**  $f\ (inf\ (lfp\ f)\ P) \leq inf\ (lfp\ f)\ P$  **by** (*rule le-infI*)  
**hence**  $lfp\ f \leq inf\ (lfp\ f)\ P$  **by** (*rule lfp-lowerbound*)  
**also have**  $inf\ (lfp\ f)\ P \leq P$  **by** (*rule inf-le2*)  
**finally show** *?thesis* **.**

**qed**

**lemma** *lfp-induct-set*:

**assumes** *lfp*:  $a: lfp(f)$   
**and** *mono*:  $mono(f)$   
**and** *indhyp*:  $!!x. [| x: f(lfp(f)\ Int\ \{x. P(x)\}) |] \implies P(x)$   
**shows**  $P(a)$   
**by** (*rule lfp-induct [THEN subsetD, THEN CollectD, OF mono - lfp]*)  
*(auto simp: intro: indhyp)*

**lemma** *lfp-ordinal-induct*:

**fixes**  $f :: 'a::complete-lattice \Rightarrow 'a$

```

assumes mono: mono f
and P-f:  $\bigwedge S. P\ S \implies P\ (f\ S)$ 
and P-Union:  $\bigwedge M. \forall S \in M. P\ S \implies P\ (\text{Sup } M)$ 
shows  $P\ (\text{lfp } f)$ 
proof –
  let  $?M = \{S. S \leq \text{lfp } f \wedge P\ S\}$ 
  have  $P\ (\text{Sup } ?M)$  using P-Union by simp
  also have  $\text{Sup } ?M = \text{lfp } f$ 
  proof (rule antisym)
    show  $\text{Sup } ?M \leq \text{lfp } f$  by (blast intro: Sup-least)
    hence  $f\ (\text{Sup } ?M) \leq f\ (\text{lfp } f)$  by (rule mono [THEN monoD])
    hence  $f\ (\text{Sup } ?M) \leq \text{lfp } f$  using mono [THEN lfp-unfold] by simp
    hence  $f\ (\text{Sup } ?M) \in ?M$  using P-f P-Union by simp
    hence  $f\ (\text{Sup } ?M) \leq \text{Sup } ?M$  by (rule Sup-upper)
    thus  $\text{lfp } f \leq \text{Sup } ?M$  by (rule lfp-lowerbound)
  qed
  finally show ?thesis .
qed

```

```

lemma lfp-ordinal-induct-set:
  assumes mono: mono f
  and P-f:  $\forall S. P\ S \implies P\ (f\ S)$ 
  and P-Union:  $\forall M. \forall S \in M. P\ S \implies P\ (\text{Union } M)$ 
  shows  $P\ (\text{lfp } f)$ 
  using assms by (rule lfp-ordinal-induct [where P=P])

```

Definition forms of *lfp-unfold* and *lfp-induct*, to control unfolding

```

lemma def-lfp-unfold:  $\llbracket h == \text{lfp}(f); \text{mono}(f) \rrbracket \implies h = f(h)$ 
by (auto intro!: lfp-unfold)

```

```

lemma def-lfp-induct:
   $\llbracket A == \text{lfp}(f); \text{mono}(f);$ 
     $f\ (\text{inf } A\ P) \leq P$ 
   $\rrbracket \implies A \leq P$ 
by (blast intro: lfp-induct)

```

```

lemma def-lfp-induct-set:
   $\llbracket A == \text{lfp}(f); \text{mono}(f); a:A;$ 
     $\forall x. \llbracket x: f(A\ \text{Int } \{x. P(x)\}) \rrbracket \implies P(x)$ 
   $\rrbracket \implies P(a)$ 
by (blast intro: lfp-induct-set)

```

```

lemma lfp-mono:  $(\forall Z. f\ Z \leq g\ Z) \implies \text{lfp } f \leq \text{lfp } g$ 
by (rule lfp-lowerbound [THEN lfp-greatest], blast intro: order-trans)

```

## 9.4 Proof of Knaster-Tarski Theorem using *gfp*

*gfp f* is the greatest lower bound of the set  $\{u. u \leq f\ u\}$

```

lemma gfp-upperbound:  $X \leq f X \implies X \leq \text{gfp } f$ 
  by (auto simp add: gfp-def intro: Sup-upper)

lemma gfp-least:  $(!!u. u \leq f u \implies u \leq X) \implies \text{gfp } f \leq X$ 
  by (auto simp add: gfp-def intro: Sup-least)

lemma gfp-lemma2:  $\text{mono } f \implies \text{gfp } f \leq f (\text{gfp } f)$ 
  by (iprover intro: gfp-least order-trans monoD gfp-upperbound)

lemma gfp-lemma3:  $\text{mono } f \implies f (\text{gfp } f) \leq \text{gfp } f$ 
  by (iprover intro: gfp-lemma2 monoD gfp-upperbound)

lemma gfp-unfold:  $\text{mono } f \implies \text{gfp } f = f (\text{gfp } f)$ 
  by (iprover intro: order-antisym gfp-lemma2 gfp-lemma3)

```

## 9.5 Coinduction rules for greatest fixed points

weak version

```

lemma weak-coinduct:  $[| a : X; X \subseteq f(X) |] \implies a : \text{gfp}(f)$ 
by (rule gfp-upperbound [THEN subsetD], auto)

lemma weak-coinduct-image:  $!!X. [| a : X; g'X \subseteq f (g'X) |] \implies g a : \text{gfp } f$ 
apply (erule gfp-upperbound [THEN subsetD])
apply (erule imageI)
done

```

```

lemma coinduct-lemma:
   $[| X \leq f (\text{sup } X (\text{gfp } f)); \text{mono } f |] \implies \text{sup } X (\text{gfp } f) \leq f (\text{sup } X (\text{gfp } f))$ 
apply (frule gfp-lemma2)
apply (drule mono-sup)
apply (rule le-supI)
apply assumption
apply (rule order-trans)
apply (rule order-trans)
apply assumption
apply (rule sup-ge2)
apply assumption
done

```

strong version, thanks to Coen and Frost

```

lemma coinduct-set:  $[| \text{mono}(f); a : X; X \subseteq f(X \text{ Un } \text{gfp}(f)) |] \implies a : \text{gfp}(f)$ 
by (blast intro: weak-coinduct [OF - coinduct-lemma])

lemma coinduct:  $[| \text{mono}(f); X \leq f (\text{sup } X (\text{gfp } f)) |] \implies X \leq \text{gfp}(f)$ 
apply (rule order-trans)
apply (rule sup-ge1)
apply (erule gfp-upperbound [OF coinduct-lemma])
apply assumption
done

```

**lemma** *gfp-fun-UnI2*:  $[| \text{mono}(f); a: \text{gfp}(f) |] \implies a: f(X \text{ Un } \text{gfp}(f))$   
**by** (*blast dest: GFP-lemma2 mono-Un*)

## 9.6 Even Stronger Coinduction Rule, by Martin Coen

Weakens the condition  $X \subseteq f X$  to one expressed using both *lfp* and *gfp*

**lemma** *coinduct3-mono-lemma*:  $\text{mono}(f) \implies \text{mono}(\%x. f(x) \text{ Un } X \text{ Un } B)$   
**by** (*iprover intro: subset-refl monoI Un-mono monoD*)

**lemma** *coinduct3-lemma*:

$[| X \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f))); \text{mono}(f) |]$   
 $\implies \text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f)) \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f)))$

**apply** (*rule subset-trans*)

**apply** (*erule coinduct3-mono-lemma [THEN lfp-lemma3]*)

**apply** (*rule Un-least [THEN Un-least]*)

**apply** (*rule subset-refl, assumption*)

**apply** (*rule GFP-unfold [THEN equalityD1, THEN subset-trans], assumption*)

**apply** (*rule monoD [where f=f], assumption*)

**apply** (*subst coinduct3-mono-lemma [THEN lfp-unfold], auto*)

**done**

**lemma** *coinduct3*:

$[| \text{mono}(f); a: X; X \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f))) |] \implies a: \text{gfp}(f)$

**apply** (*rule coinduct3-lemma [THEN [2] weak-coinduct]*)

**apply** (*rule coinduct3-mono-lemma [THEN lfp-unfold, THEN ssubst], auto*)

**done**

Definition forms of *gfp-unfold* and *coinduct*, to control unfolding

**lemma** *def-gfp-unfold*:  $[| A == \text{gfp}(f); \text{mono}(f) |] \implies A = f(A)$

**by** (*auto intro!: GFP-unfold*)

**lemma** *def-coinduct*:

$[| A == \text{gfp}(f); \text{mono}(f); X \leq f(\text{sup } X A) |] \implies X \leq A$

**by** (*iprover intro!: coinduct*)

**lemma** *def-coinduct-set*:

$[| A == \text{gfp}(f); \text{mono}(f); a: X; X \subseteq f(X \text{ Un } A) |] \implies a: A$

**by** (*auto intro!: coinduct-set*)

**lemma** *def-Collect-coinduct*:

$[| A == \text{gfp}(\%w. \text{Collect}(P(w))); \text{mono}(\%w. \text{Collect}(P(w)));$   
 $a: X; !!z. z: X \implies P(X \text{ Un } A) z |] \implies$

$a: A$

**apply** (*erule def-coinduct-set, auto*)

**done**

**lemma** *def-coinduct3*:



$$[| A == \text{gfp}(f); \text{mono}(f); a:X; X \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } A)) |] ==> a: A$$
  
**by** (*auto intro! coinduct3*)

Monotonicity of *gfp*!

**lemma** *gfp-mono*: (!Z.  $f Z \leq g Z$ ) ==>  $\text{gfp } f \leq \text{gfp } g$   
**by** (*rule gfp-upperbound [THEN gfp-least], blast intro: order-trans*)

## 9.7 Inductive predicates and sets

Package setup.

**theorems** *basic-monos* =  
*subset-refl imp-refl disj-mono conj-mono ex-mono all-mono if-bool-eq-conj*  
*Collect-mono in-mono vimage-mono*

**use** *Tools/inductive.ML*  
**setup** *Inductive.setup*

**theorems** [*mono*] =  
*imp-refl disj-mono conj-mono ex-mono all-mono if-bool-eq-conj*  
*imp-mono not-mono*  
*Ball-def Bex-def*  
*induct-rulify-fallback*

## 9.8 Inductive datatypes and primitive recursion

Package setup.

**use** *Tools/Datatype/datatype-abs-proofs.ML*  
**use** *Tools/Datatype/datatype-data.ML*  
**setup** *Datatype-Data.setup*

**use** *Tools/Datatype/datatype-codegen.ML*  
**setup** *Datatype-Codegen.setup*

**use** *Tools/old-primrec.ML*  
**use** *Tools/primrec.ML*

**use** *Tools/inductive-codegen.ML*  
**setup** *InductiveCodegen.setup*

Lambda-abstractions with pattern matching:

**syntax**  
 $\text{-lam-pats-syntax} :: \text{cases-syn} \Rightarrow 'a \Rightarrow 'b \quad ((\% -) 10)$   
**syntax** (*xsymbols*)  
 $\text{-lam-pats-syntax} :: \text{cases-syn} \Rightarrow 'a \Rightarrow 'b \quad ((\lambda -) 10)$

**parse-translation** (**advanced**)  $\ll$   
*let*  
 $\text{fun fun-tr ctxt [cs] =}$

```

    let
      val x = Free (Name.variant (Term.add-free-names cs []) x, dummyT);
      val ft = Datatype-Case.case-tr true Datatype-Data.info-of-constr ctxt [x, cs];
    in lambda x ft end
  in [(@{syntax-const -lam-pats-syntax}, fun-tr)] end
>>

end

```

## 10 Fun: Notions about functions

```

theory Fun
imports Complete-Lattice
begin

```

As a simplification rule, it replaces all function equalities by first-order equalities.

```

lemma expand-fun-eq: f = g  $\longleftrightarrow$  ( $\forall x. f\ x = g\ x$ )
apply (rule iffI)
apply (simp (no-asm-simp))
apply (rule ext)
apply (simp (no-asm-simp))
done

```

```

lemma apply-inverse:
  f x = u  $\implies$  ( $\bigwedge x. P\ x \implies g\ (f\ x) = x$ )  $\implies$  P x  $\implies$  x = g u
by auto

```

### 10.1 The Identity Function *id*

```

definition
  id :: 'a  $\Rightarrow$  'a
where
  id = ( $\lambda x. x$ )

```

```

lemma id-apply [simp]: id x = x
by (simp add: id-def)

```

```

lemma image-ident [simp]: (%x. x) ‘ Y = Y
by blast

```

```

lemma image-id [simp]: id ‘ Y = Y
by (simp add: id-def)

```

```

lemma vimage-ident [simp]: (%x. x) -‘ Y = Y
by blast

```

```

lemma vimage-id [simp]: id -‘ A = A

```

by (simp add: id-def)

## 10.2 The Composition Operator $f \circ g$

**definition**

$comp :: ('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'c$  (infixl 55)

**where**

$f \circ g = (\lambda x. f (g x))$

**notation** (*xsymbols*)

$comp$  (infixl 55)

**notation** (*HTML output*)

$comp$  (infixl 55)

compatibility

**lemmas**  $o\text{-def} = comp\text{-def}$

**lemma**  $o\text{-apply}$  [simp]:  $(f \circ g) x = f (g x)$

by (simp add: comp-def)

**lemma**  $o\text{-assoc}$ :  $f \circ (g \circ h) = f \circ g \circ h$

by (simp add: comp-def)

**lemma**  $id\text{-o}$  [simp]:  $id \circ g = g$

by (simp add: comp-def)

**lemma**  $o\text{-id}$  [simp]:  $f \circ id = f$

by (simp add: comp-def)

**lemma**  $o\text{-eq-dest}$ :

$a \circ b = c \circ d \implies a (b v) = c (d v)$

by (simp only: o-def) (fact fun-cong)

**lemma**  $o\text{-eq-elim}$ :

$a \circ b = c \circ d \implies ((\bigwedge v. a (b v) = c (d v)) \implies R) \implies R$

by (erule meta-mp) (fact o-eq-dest)

**lemma**  $image\text{-compose}$ :  $(f \circ g) \text{ ` } r = f \text{ ` } (g \text{ ` } r)$

by (simp add: comp-def, blast)

**lemma**  $image\text{-compose}$ :  $(g \circ f) \text{ - ` } x = f \text{ - ` } (g \text{ - ` } x)$

by auto

**lemma**  $UN\text{-o}$ :  $UNION A (g \circ f) = UNION (f \text{ ` } A) g$

by (unfold comp-def, blast)

## 10.3 The Forward Composition Operator $fcomp$

**definition**

*fcomp* :: ('a ⇒ 'b) ⇒ ('b ⇒ 'c) ⇒ 'a ⇒ 'c (**infixl** o> 60)

**where**

*f o> g* = (λ*x*. *g* (*f x*))

**lemma** *fcomp-apply*: (*f o> g*) *x* = *g* (*f x*)

**by** (*simp add: fcomp-def*)

**lemma** *fcomp-assoc*: (*f o> g*) *o> h* = *f o> (g o> h)*

**by** (*simp add: fcomp-def*)

**lemma** *id-fcomp* [*simp*]: *id o> g* = *g*

**by** (*simp add: fcomp-def*)

**lemma** *fcomp-id* [*simp*]: *f o> id* = *f*

**by** (*simp add: fcomp-def*)

**code-const** *fcomp*

(*Eval* **infixl** 1 #>)

**no-notation** *fcomp* (**infixl** o> 60)

## 10.4 Injectivity and Surjectivity

**definition**

*inj-on* :: ['a => 'b, 'a set] => bool **where**

— injective

*inj-on f A* == ! *x*:*A*. ! *y*:*A*. *f*(*x*)=*f*(*y*) --> *x*=*y*

A common special case: functions injective over the entire domain type.

**abbreviation**

*inj f* == *inj-on f UNIV*

**definition**

*bij-betw* :: ('a => 'b) => 'a set => 'b set => bool **where** — bijective

[*code del*]: *bij-betw f A B* ↔ *inj-on f A* & *f* ' *A* = *B*

**definition**

*surj* :: ('a => 'b) => bool **where**

— surjective

*surj f* == ! *y*. ? *x*. *y*=*f*(*x*)

**definition**

*bij* :: ('a => 'b) => bool **where**

— bijective

*bij f* == *inj f* & *surj f*

**lemma** *injI*:

**assumes** ∧*x y*. *f x* = *f y* ⇒ *x* = *y*

**shows** *inj f*

**using** *assms* **unfolding** *inj-on-def* **by** *auto*

For Proofs in *Tools/Datatype/datatype-rep-proofs*

**lemma** *datatype-injI*:

(!! *x. ALL y. f(x) = f(y) --> x=y*) ==> *inj(f)*  
**by** (*simp add: inj-on-def*)

**theorem** *range-ex1-eq*: *inj f ==> b : range f = (EX! x. b = f x)*

**by** (*unfold inj-on-def, blast*)

**lemma** *injD*: [*inj(f); f(x) = f(y)*] ==> *x=y*

**by** (*simp add: inj-on-def*)

**lemma** *inj-on-eq-iff*: *inj-on f A ==> x:A ==> y:A ==> (f(x) = f(y)) = (x=y)*

**by** (*force simp add: inj-on-def*)

**lemma** *inj-eq*: *inj f ==> (f(x) = f(y)) = (x=y)*

**by** (*simp add: inj-on-eq-iff*)

**lemma** *inj-on-id[simp]*: *inj-on id A*

**by** (*simp add: inj-on-def*)

**lemma** *inj-on-id2[simp]*: *inj-on (%x. x) A*

**by** (*simp add: inj-on-def*)

**lemma** *surj-id[simp]*: *surj id*

**by** (*simp add: surj-def*)

**lemma** *bij-id[simp]*: *bij id*

**by** (*simp add: bij-def*)

**lemma** *inj-onI*:

(!! *x y. [ x:A; y:A; f(x) = f(y) ] ==> x=y*) ==> *inj-on f A*  
**by** (*simp add: inj-on-def*)

**lemma** *inj-on-inverseI*: (!!*x. x:A ==> g(f(x)) = x*) ==> *inj-on f A*

**by** (*auto dest: arg-cong [of concl: g] simp add: inj-on-def*)

**lemma** *inj-onD*: [*inj-on f A; f(x)=f(y); x:A; y:A*] ==> *x=y*

**by** (*unfold inj-on-def, blast*)

**lemma** *inj-on-iff*: [*inj-on f A; x:A; y:A*] ==> (*f(x)=f(y)*) = (*x=y*)

**by** (*blast dest!: inj-onD*)

**lemma** *comp-inj-on*:

[*inj-on f A; inj-on g (f'A)*] ==> *inj-on (g o f) A*  
**by** (*simp add: comp-def inj-on-def*)

**lemma** *inj-on-imageI*: *inj-on (g o f) A ==> inj-on g (f ' A)*

```

apply(simp add:inj-on-def image-def)
apply blast
done

```

```

lemma inj-on-image-iff:  $\llbracket \text{ALL } x:A. \text{ ALL } y:A. (g(f\ x) = g(f\ y)) = (g\ x = g\ y);$ 
   $\text{inj-on } f\ A \rrbracket \implies \text{inj-on } g\ (f\ `A) = \text{inj-on } g\ A$ 
apply(unfold inj-on-def)
apply blast
done

```

```

lemma inj-on-contrad:  $\llbracket \text{inj-on } f\ A; \sim x=y; x:A; y:A \rrbracket \implies \sim f(x)=f(y)$ 
by (unfold inj-on-def, blast)

```

```

lemma inj-singleton:  $\text{inj } (\%s. \{s\})$ 
by (simp add: inj-on-def)

```

```

lemma inj-on-empty[iff]:  $\text{inj-on } f\ \{\}$ 
by(simp add: inj-on-def)

```

```

lemma subset-inj-on:  $\llbracket \text{inj-on } f\ B; A \leq B \rrbracket \implies \text{inj-on } f\ A$ 
by (unfold inj-on-def, blast)

```

```

lemma inj-on-Un:
   $\text{inj-on } f\ (A \cup B) =$ 
   $(\text{inj-on } f\ A \ \& \ \text{inj-on } f\ B \ \& \ f\ (A-B) \ \text{Int } f\ (B-A) = \{\})$ 
apply(unfold inj-on-def)
apply (blast intro:sym)
done

```

```

lemma inj-on-insert[iff]:
   $\text{inj-on } f\ (\text{insert } a\ A) = (\text{inj-on } f\ A \ \& \ f\ a \sim: f\ (A-\{a\}))$ 
apply(unfold inj-on-def)
apply (blast intro:sym)
done

```

```

lemma inj-on-diff:  $\text{inj-on } f\ A \implies \text{inj-on } f\ (A-B)$ 
apply(unfold inj-on-def)
apply (blast)
done

```

```

lemma surjI:  $(!!\ x. g(f\ x) = x) \implies \text{surj } g$ 
apply (simp add: surj-def)
apply (blast intro: sym)
done

```

```

lemma surj-range:  $\text{surj } f \implies \text{range } f = \text{UNIV}$ 
by (auto simp add: surj-def)

```

```

lemma surjD:  $\text{surj } f \implies \exists x. y = f\ x$ 

```

**by** (*simp add: surj-def*)

**lemma** *surjE*:  $\text{surj } f \implies (!x. y = f x \implies C) \implies C$   
**by** (*simp add: surj-def, blast*)

**lemma** *comp-surj*:  $[\text{surj } f; \text{surj } g] \implies \text{surj } (g \circ f)$   
**apply** (*simp add: comp-def surj-def, clarify*)  
**apply** (*drule-tac x = y in spec, clarify*)  
**apply** (*drule-tac x = x in spec, blast*)  
**done**

**lemma** *bijI*:  $[\text{inj } f; \text{surj } f] \implies \text{bij } f$   
**by** (*simp add: bij-def*)

**lemma** *bij-is-inj*:  $\text{bij } f \implies \text{inj } f$   
**by** (*simp add: bij-def*)

**lemma** *bij-is-surj*:  $\text{bij } f \implies \text{surj } f$   
**by** (*simp add: bij-def*)

**lemma** *bij-betw-imp-inj-on*:  $\text{bij-betw } f A B \implies \text{inj-on } f A$   
**by** (*simp add: bij-betw-def*)

**lemma** *bij-comp*:  $\text{bij } f \implies \text{bij } g \implies \text{bij } (g \circ f)$   
**by**(*fastsimp intro: comp-inj-on comp-surj simp: bij-def surj-range*)

**lemma** *bij-betw-trans*:  
 $\text{bij-betw } f A B \implies \text{bij-betw } g B C \implies \text{bij-betw } (g \circ f) A C$   
**by**(*auto simp add: bij-betw-def comp-inj-on*)

**lemma** *bij-betw-inv*: **assumes**  $\text{bij-betw } f A B$  **shows**  $\text{EX } g. \text{bij-betw } g B A$   
**proof** –

**have**  $i: \text{inj-on } f A$  **and**  $s: f \text{ ‘ } A = B$

**using** *assms* **by**(*auto simp: bij-betw-def*)

**let**  $?P = \%b a. a:A \wedge f a = b$  **let**  $?g = \%b. \text{The } (?P b)$

**{ fix**  $a b$  **assume**  $P: ?P b a$

**hence**  $ex1: \exists a. ?P b a$  **using**  $s$  **unfolding** *image-def* **by** *blast*

**hence**  $uex1: \exists! a. ?P b a$  **by**(*blast dest: inj-onD[OF i]*)

**hence**  $?g b = a$  **using** *the1-equality[OF uex1, OF P]*  $P$  **by** *simp*

**}** **note**  $g = \text{this}$

**have**  $\text{inj-on } ?g B$

**proof**(*rule inj-onI*)

**fix**  $x y$  **assume**  $x:B y:B$   $?g x = ?g y$

**from**  $s \langle x:B \rangle$  **obtain**  $a1$  **where**  $a1: ?P x a1$  **unfolding** *image-def* **by** *blast*

**from**  $s \langle y:B \rangle$  **obtain**  $a2$  **where**  $a2: ?P y a2$  **unfolding** *image-def* **by** *blast*

**from**  $g[\text{OF } a1] a1 g[\text{OF } a2] a2 \langle ?g x = ?g y \rangle$  **show**  $x=y$  **by** *simp*

**qed**

**moreover** **have**  $?g \text{ ‘ } B = A$

**proof**(*auto simp: image-def*)

```

fix b assume b:B
with s obtain a where P: ?P b a unfolding image-def by blast
thus ?g b ∈ A using g[OF P] by auto
next
fix a assume a:A
then obtain b where P: ?P b a using s unfolding image-def by blast
then have b:B using s unfolding image-def by blast
with g[OF P] show ∃ b∈B. a = ?g b by blast
qed
ultimately show ?thesis by(auto simp:bij-betw-def)
qed

```

```

lemma surj-image-vimage-eq: surj f ==> f ‘ (f –‘ A) = A
by (simp add: surj-range)

```

```

lemma inj-vimage-image-eq: inj f ==> f –‘ (f ‘ A) = A
by (simp add: inj-on-def, blast)

```

```

lemma vimage-subsetD: surj f ==> f –‘ B <= A ==> B <= f ‘ A
apply (unfold surj-def)
apply (blast intro: sym)
done

```

```

lemma vimage-subsetI: inj f ==> B <= f ‘ A ==> f –‘ B <= A
by (unfold inj-on-def, blast)

```

```

lemma vimage-subset-eq: bij f ==> (f –‘ B <= A) = (B <= f ‘ A)
apply (unfold bij-def)
apply (blast del: subsetI intro: vimage-subsetI vimage-subsetD)
done

```

```

lemma inj-on-Un-image-eq-iff: inj-on f (A ∪ B) ==> f ‘ A = f ‘ B ⟷ A = B
by(blast dest: inj-onD)

```

```

lemma inj-on-image-Int:
  [| inj-on f C; A<=C; B<=C |] ==> f‘(A Int B) = f‘A Int f‘B
apply (simp add: inj-on-def, blast)
done

```

```

lemma inj-on-image-set-diff:
  [| inj-on f C; A<=C; B<=C |] ==> f‘(A-B) = f‘A - f‘B
apply (simp add: inj-on-def, blast)
done

```

```

lemma image-Int: inj f ==> f‘(A Int B) = f‘A Int f‘B
by (simp add: inj-on-def, blast)

```

```

lemma image-set-diff: inj f ==> f‘(A-B) = f‘A - f‘B
by (simp add: inj-on-def, blast)

```



**lemma** *inj-image-mem-iff*:  $\text{inj } f \implies (f \text{ ` } a : f \text{ ` } A) = (a : A)$   
**by** (*blast dest: injD*)

**lemma** *inj-image-subset-iff*:  $\text{inj } f \implies (f \text{ ` } A \leq f \text{ ` } B) = (A \leq B)$   
**by** (*simp add: inj-on-def, blast*)

**lemma** *inj-image-eq-iff*:  $\text{inj } f \implies (f \text{ ` } A = f \text{ ` } B) = (A = B)$   
**by** (*blast dest: injD*)

**lemma** *image-INT*:  
 $\llbracket \text{inj-on } f \text{ ` } C; \text{ ALL } x:A. B \text{ ` } x \leq C; \text{ ` } j:A \rrbracket$   
 $\implies f \text{ ` } (\text{INTER } A \text{ ` } B) = (\text{INT } x:A. f \text{ ` } B \text{ ` } x)$   
**apply** (*simp add: inj-on-def, blast*)  
**done**

**lemma** *bij-image-INT*:  $\text{bij } f \implies f \text{ ` } (\text{INTER } A \text{ ` } B) = (\text{INT } x:A. f \text{ ` } B \text{ ` } x)$   
**apply** (*simp add: bij-def*)  
**apply** (*simp add: inj-on-def surj-def, blast*)  
**done**

**lemma** *surj-Compl-image-subset*:  $\text{surj } f \implies \neg(f \text{ ` } A) \leq f \text{ ` } (\neg A)$   
**by** (*auto simp add: surj-def*)

**lemma** *inj-image-Compl-subset*:  $\text{inj } f \implies f \text{ ` } (\neg A) \leq \neg(f \text{ ` } A)$   
**by** (*auto simp add: inj-on-def*)

**lemma** *bij-image-Compl-eq*:  $\text{bij } f \implies f \text{ ` } (\neg A) = \neg(f \text{ ` } A)$   
**apply** (*simp add: bij-def*)  
**apply** (*rule equalityI*)  
**apply** (*simp-all (no-asm-simp) add: inj-image-Compl-subset surj-Compl-image-subset*)  
**done**

**lemma** (*in ordered-ab-group-add*) *inj-uminus*[*simp, intro*]: *inj-on uminus A*  
**by** (*auto intro!: inj-onI*)

**lemma** (*in linorder*) *strict-mono-imp-inj-on*: *strict-mono f  $\implies$  inj-on f A*  
**by** (*auto intro!: inj-onI dest: strict-mono-eq*)

## 10.5 Function Updating

### definition

*fun-upd* ::  $('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a \Rightarrow 'b)$  **where**  
*fun-upd* *f* *a* *b* == % *x*. if *x*=*a* then *b* else *f* *x*

### nonterminals

*updbinds updbind*

**syntax**

```

-updbind :: ['a, 'a] => updbind      ((2- := / -))
          :: updbind => updbinds      (-)
-updbinds:: [updbind, updbinds] => updbinds (-, / -)
-Update   :: ['a, updbinds] => 'a      (-/'((-)') [1000, 0] 900)

```

**translations**

```

-Update f (-updbinds b bs) == -Update (-Update f b) bs
f(x:=y) == CONST fun-upd f x y

```

**lemma** *fun-upd-idem-iff*:  $(f(x:=y) = f) = (f\ x = y)$

**apply** (*simp add: fun-upd-def, safe*)

**apply** (*erule subst*)

**apply** (*rule-tac [2] ext, auto*)

**done**

**lemmas** *fun-upd-idem = fun-upd-idem-iff [THEN iffD2, standard]*

**lemmas** *fun-upd-triv = refl [THEN fun-upd-idem]*

**declare** *fun-upd-triv [iff]*

**lemma** *fun-upd-apply [simp]*:  $(f(x:=y))z = (if\ z=x\ then\ y\ else\ f\ z)$

**by** (*simp add: fun-upd-def*)

**lemma** *fun-upd-same*:  $(f(x:=y))\ x = y$

**by** *simp*

**lemma** *fun-upd-other*:  $z \sim x ==> (f(x:=y))\ z = f\ z$

**by** *simp*

**lemma** *fun-upd-upd [simp]*:  $f(x:=y, x:=z) = f(x:=z)$

**by** (*simp add: expand-fun-eq*)

**lemma** *fun-upd-twist*:  $a \sim c ==> (m(a:=b))(c:=d) = (m(c:=d))(a:=b)$

**by** (*rule ext, auto*)

**lemma** *inj-on-fun-updI*:  $\llbracket\ inj-on\ f\ A;\ y \notin f'A \rrbracket \implies inj-on\ (f(x:=y))\ A$

**by** (*fastsimp simp: inj-on-def image-def*)

**lemma** *fun-upd-image*:

$f(x:=y) \text{ ' } A = (if\ x \in A\ then\ insert\ y\ (f \text{ ' } (A - \{x\}))\ else\ f \text{ ' } A)$

**by** *auto*

**lemma** *fun-upd-comp*:  $f \circ (g(x := y)) = (f \circ g)(x := f\ y)$

**by** (*auto intro: ext*)

## 10.6 *override-on*

**definition**

*override-on* :: ( $'a \Rightarrow 'b$ )  $\Rightarrow$  ( $'a \Rightarrow 'b$ )  $\Rightarrow$   $'a \text{ set} \Rightarrow 'a \Rightarrow 'b$

**where**

*override-on*  $f \ g \ A = (\lambda a. \text{ if } a \in A \text{ then } g \ a \text{ else } f \ a)$

**lemma** *override-on-emptyset*[*simp*]: *override-on*  $f \ g \ \{\} = f$

**by**(*simp add: override-on-def*)

**lemma** *override-on-apply-notin*[*simp*]:  $a \sim: A \implies (\text{override-on } f \ g \ A) \ a = f \ a$

**by**(*simp add: override-on-def*)

**lemma** *override-on-apply-in*[*simp*]:  $a : A \implies (\text{override-on } f \ g \ A) \ a = g \ a$

**by**(*simp add: override-on-def*)

## 10.7 *swap*

**definition**

*swap* :: ( $'a \Rightarrow 'a$ )  $\Rightarrow$  ( $'a \Rightarrow 'b$ )  $\Rightarrow$  ( $'a \Rightarrow 'b$ )

**where**

*swap*  $a \ b \ f = f \ (a := f \ b, b := f \ a)$

**lemma** *swap-self* [*simp*]: *swap*  $a \ a \ f = f$

**by** (*simp add: swap-def*)

**lemma** *swap-commute*: *swap*  $a \ b \ f = \text{swap } b \ a \ f$

**by** (*rule ext, simp add: fun-upd-def swap-def*)

**lemma** *swap-nilpotent* [*simp*]: *swap*  $a \ b \ (\text{swap } a \ b \ f) = f$

**by** (*rule ext, simp add: fun-upd-def swap-def*)

**lemma** *swap-triple*:

**assumes**  $a \neq c$  **and**  $b \neq c$

**shows** *swap*  $a \ b \ (\text{swap } b \ c \ (\text{swap } a \ b \ f)) = \text{swap } a \ c \ f$

**using** *assms* **by** (*simp add: expand-fun-eq swap-def*)

**lemma** *comp-swap*:  $f \circ \text{swap } a \ b \ g = \text{swap } a \ b \ (f \circ g)$

**by** (*rule ext, simp add: fun-upd-def swap-def*)

**lemma** *inj-on-imp-inj-on-swap*:

$[|\text{inj-on } f \ A; a \in A; b \in A|] \implies \text{inj-on } (\text{swap } a \ b \ f) \ A$

**by** (*simp add: inj-on-def swap-def, blast*)

**lemma** *inj-on-swap-iff* [*simp*]:

**assumes**  $A: a \in A \ b \in A$  **shows**  $\text{inj-on } (\text{swap } a \ b \ f) \ A = \text{inj-on } f \ A$

**proof**

**assume**  $\text{inj-on } (\text{swap } a \ b \ f) \ A$

```

with A have inj-on (swap a b (swap a b f)) A
  by (iprover intro: inj-on-imp-inj-on-swap)
thus inj-on f A by simp
next
  assume inj-on f A
  with A show inj-on (swap a b f) A by (iprover intro: inj-on-imp-inj-on-swap)
qed

```

```

lemma surj-imp-surj-swap: surj f ==> surj (swap a b f)
apply (simp add: surj-def swap-def, clarify)
apply (case-tac y = f b, blast)
apply (case-tac y = f a, auto)
done

```

```

lemma surj-swap-iff [simp]: surj (swap a b f) = surj f
proof
  assume surj (swap a b f)
  hence surj (swap a b (swap a b f)) by (rule surj-imp-surj-swap)
  thus surj f by simp
next
  assume surj f
  thus surj (swap a b f) by (rule surj-imp-surj-swap)
qed

```

```

lemma bij-swap-iff: bij (swap a b f) = bij f
by (simp add: bij-def)

```

```

hide-const (open) swap

```

## 10.8 Inversion of injective functions

**definition** *the-inv-into* :: 'a set => ('a => 'b) => ('b => 'a) **where**  
*the-inv-into* A f == %x. THE y. y : A & f y = x

```

lemma the-inv-into-f-f:
  [| inj-on f A; x : A |] ==> the-inv-into A f (f x) = x
apply (simp add: the-inv-into-def inj-on-def)
apply blast
done

```

```

lemma f-the-inv-into-f:
  inj-on f A ==> y : f'A ==> f (the-inv-into A f y) = y
apply (simp add: the-inv-into-def)
apply (rule the1I2)
  apply(blast dest: inj-onD)
apply blast
done

```

```

lemma the-inv-into-into:

```

```

  [| inj-on f A; x : f ‘ A; A <= B |] ==> the-inv-into A f x : B
apply (simp add: the-inv-into-def)
apply (rule the1I2)
  apply (blast dest: inj-onD)
apply blast
done

```

```

lemma the-inv-into-onto[simp]:
  inj-on f A ==> the-inv-into A f ‘ (f ‘ A) = A
by (fast intro: the-inv-into-into the-inv-into-f-f[symmetric])

```

```

lemma the-inv-into-f-eq:
  [| inj-on f A; f x = y; x : A |] ==> the-inv-into A f y = x
  apply (erule subst)
  apply (erule the-inv-into-f-f, assumption)
done

```

```

lemma the-inv-into-comp:
  [| inj-on f (g ‘ A); inj-on g A; x : f ‘ g ‘ A |] ==>
    the-inv-into A (f o g) x = (the-inv-into A g o the-inv-into (g ‘ A) f) x
  apply (rule the-inv-into-f-eq)
  apply (fast intro: comp-inj-on)
  apply (simp add: f-the-inv-into-f the-inv-into-into)
  apply (simp add: the-inv-into-into)
done

```

```

lemma inj-on-the-inv-into:
  inj-on f A ==> inj-on (the-inv-into A f) (f ‘ A)
by (auto intro: inj-onI simp: image-def the-inv-into-f-f)

```

```

lemma bij-betw-the-inv-into:
  bij-betw f A B ==> bij-betw (the-inv-into A f) B A
by (auto simp add: bij-betw-def inj-on-the-inv-into the-inv-into-into)

```

```

abbreviation the-inv :: ('a => 'b) => ('b => 'a) where
  the-inv f ≡ the-inv-into UNIV f

```

```

lemma the-inv-f-f:
  assumes inj f
  shows the-inv f (f x) = x using assms UNIV-I
  by (rule the-inv-into-f-f)

```

## 10.9 Proof tool setup

simplifies terms of the form  $f(\dots, x := y, \dots, x := z, \dots)$  to  $f(\dots, x := z, \dots)$

```

simproc-setup fun-upd2 (f(v := w, x := y)) = << fn - =>

```

```

let

```

```

  fun gen-fun-upd NONE T - = NONE
  | gen-fun-upd (SOME f) T x y = SOME (Const (@{const-name fun-upd}, T)

```

```

$ f $ x $ y)
fun dest-fun-T1 (Type (-, T :: Ts)) = T
fun find-double (t as Const (@{const-name fun-upd}, T) $ f $ x $ y) =
  let
    fun find (Const (@{const-name fun-upd}, T) $ g $ v $ w) =
      if v aconv x then SOME g else gen-fun-upd (find g) T v w
    | find t = NONE
  in (dest-fun-T1 T, gen-fun-upd (find f) T x y) end

fun proc ss ct =
  let
    val ctxt = Simplifier.the-context ss
    val t = Thm.term-of ct
  in
    case find-double t of
      (T, NONE) => NONE
    | (T, SOME rhs) =>
        SOME (Goal.prove ctxt [] (Logic.mk-equals (t, rhs))
              (fn - =>
                 rtac eq-reflection 1 THEN
                 rtac ext 1 THEN
                 simp-tac (Simplifier.inherit-context ss @ {simpset}) 1))
    end
  in proc end
  >>

```

## 10.10 Code generator setup

### types-code

```

fun ((- ->/ -))
attach (term-of) <<
fun term-of-fun-type - aT - bT - = Free (<function>, aT --> bT);
>>
attach (test) <<
fun gen-fun-type aF aT bG bT i =
  let
    val tab = Unsynchronized.ref [];
    fun mk-upd (x, (-, y)) t = Const (Fun.fun-upd,
      (aT --> bT) --> aT --> bT --> aT --> bT) $ t $ aF x $ y ()
  in
    (fn x =>
      case AList.lookup op = (!tab) x of
        NONE =>
          let val p as (y, -) = bG i
          in (tab := (x, p) :: !tab; y) end
        | SOME (y, -) => y,
      fn () => Basics.fold mk-upd (!tab) (Const (HOL.undefined, aT --> bT)))
    end;
  >>

```

```

code-const op ◦
  (SML infixl 5 o)
  (Haskell infixr 9 .)

```

```

code-const id
  (Haskell id)

```

```

end

```

## 11 Product-Type: Cartesian products

```

theory Product-Type
imports Typedef Inductive Fun
uses
  (Tools/split-rule.ML)
  (Tools/inductive-set.ML)
begin

```

### 11.1 *bool* is a datatype

```

rep-datatype True False by (auto intro: bool-induct)

```

```

declare case-split [cases type: bool]
  — prefer plain propositional version

```

```

lemma
  shows [code]: eq-class.eq False P  $\longleftrightarrow \neg P$ 
    and [code]: eq-class.eq True P  $\longleftrightarrow P$ 
    and [code]: eq-class.eq P False  $\longleftrightarrow \neg P$ 
    and [code]: eq-class.eq P True  $\longleftrightarrow P$ 
    and [code nbe]: eq-class.eq P P  $\longleftrightarrow \text{True}$ 
  by (simp-all add: eq)

```

```

code-const eq-class.eq :: bool  $\Rightarrow$  bool  $\Rightarrow$  bool
  (Haskell infixl 4 ==)

```

```

code-instance bool :: eq
  (Haskell -)

```

### 11.2 The *unit* type

```

typedef unit = {True}
proof
  show True : ?unit ..
qed

```

```

definition

```

```

Unity :: unit    ('())
where
  () = Abs-unit True

```

```

lemma unit-eq [no-atp]: u = ()
  by (induct u) (simp add: unit-def Unity-def)

```

Simplification procedure for *unit-eq*. Cannot use this rule directly — it loops!

```

ML ⟨⟨
  val unit-eq-proc =
    let val unit-meta-eq = mk-meta-eq @ {thm unit-eq} in
      Simplifier.simproc @ {theory} unit-eq [x::unit]
        (fn - => fn - => fn t => if HOLogic.is-unit t then NONE else SOME
unit-meta-eq)
    end;

  Addsimprocs [unit-eq-proc];
  ⟩⟩

```

```

rep-datatype () by simp

```

```

lemma unit-all-eq1: (!x::unit. PROP P x) == PROP P ()
  by simp

```

```

lemma unit-all-eq2: (!x::unit. PROP P) == PROP P
  by (rule triv-forall-equality)

```

This rewrite counters the effect of *unit-eq-proc* on  $\%u::unit. f\ u$ , replacing it by  $f$  rather than by  $\%u. f\ ()$ .

```

lemma unit-abs-eta-conv [simp,no-atp]: (%u::unit. f ()) = f
  by (rule ext) simp

```

```

instantiation unit :: default
begin

```

```

definition default = ()

```

```

instance ..

```

```

end

```

```

lemma [code]:
  eq-class.eq (u::unit) v ⟷ True unfolding eq unit-eq [of u] unit-eq [of v] by
rule+

```

```

code-type unit
  (SML unit)
  (OCaml unit)

```



(*Haskell* ())  
 (*Scala* *Unit*)

**code-const** *Unity*

(*SML* ())  
 (*OCaml* ())  
 (*Haskell* ())  
 (*Scala* ())

**code-instance** *unit* :: *eq*

(*Haskell*  $-$ )

**code-const** *eq-class.eq* :: *unit*  $\Rightarrow$  *unit*  $\Rightarrow$  *bool*

(*Haskell* **infixl** 4  $==$ )

**code-reserved** *SML*

*unit*

**code-reserved** *OCaml*

*unit*

**code-reserved** *Scala*

*Unit*

## 11.3 The product type

### 11.3.1 Type definition

**definition** *Pair-Rep* :: '*a*  $\Rightarrow$  '*b*  $\Rightarrow$  '*a*  $\Rightarrow$  '*b*  $\Rightarrow$  *bool* **where**

*Pair-Rep* *a b* = ( $\lambda x y. x = a \wedge y = b$ )

**global**

**typedef** (*Prod*)

(*'a*, '*b*) \* (**infixr** \* 20)  
 = {*f*.  $\exists a b. f = \text{Pair-Rep } (a::'a) (b::'b)$ }

**proof**

**fix** *a b* **show** *Pair-Rep* *a b*  $\in$  ?*Prod*

**by** *rule+*

**qed**

**type-notation** (*xsymbols*)

\* (( $- \times / -$ ) [21, 20] 20)

**type-notation** (*HTML output*)

\* (( $- \times / -$ ) [21, 20] 20)

**consts**

*Pair* :: '*a*  $\Rightarrow$  '*b*  $\Rightarrow$  '*a*  $\times$  '*b*

**local**

**defs**

*Pair-def*:  $\text{Pair } a \ b == \text{Abs-Prod } (\text{Pair-Rep } a \ b)$

**rep-datatype** (*prod*) *Pair* **proof** –

**fix**  $P :: 'a \times 'b \Rightarrow \text{bool}$  **and**  $p$

**assume**  $\bigwedge a \ b. P (\text{Pair } a \ b)$

**then show**  $P \ p$  **by** (*cases p*) (*auto simp add: Prod-def Pair-def Pair-Rep-def*)

**next**

**fix**  $a \ c :: 'a$  **and**  $b \ d :: 'b$

**have**  $\text{Pair-Rep } a \ b = \text{Pair-Rep } c \ d \longleftrightarrow a = c \wedge b = d$

**by** (*auto simp add: Pair-Rep-def expand-fun-eq*)

**moreover have**  $\text{Pair-Rep } a \ b \in \text{Prod}$  **and**  $\text{Pair-Rep } c \ d \in \text{Prod}$

**by** (*auto simp add: Prod-def*)

**ultimately show**  $\text{Pair } a \ b = \text{Pair } c \ d \longleftrightarrow a = c \wedge b = d$

**by** (*simp add: Pair-def Abs-Prod-inject*)

**qed**

### 11.3.2 Tuple syntax

**global consts**

*split*  $:: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \times 'b \Rightarrow 'c$

**local defs**

*split-prod-case*:  $\text{split} == \text{prod-case}$

Patterns – extends pre-defined type *pttrn* used in abstractions.

**nonterminals**

*tuple-args patterns*

**syntax**

*-tuple*  $:: 'a \Rightarrow \text{tuple-args} \Rightarrow 'a * 'b \quad ((1 \ '(-, / -')))$

*-tuple-arg*  $:: 'a \Rightarrow \text{tuple-args} \quad (-)$

*-tuple-args*  $:: 'a \Rightarrow \text{tuple-args} \Rightarrow \text{tuple-args} \quad (-, / -)$

*-pattern*  $:: [\text{pttrn}, \text{patterns}] \Rightarrow \text{pttrn} \quad ('(-, / -'))$

$:: \text{pttrn} \Rightarrow \text{patterns} \quad (-)$

*-patterns*  $:: [\text{pttrn}, \text{patterns}] \Rightarrow \text{patterns} \quad (-, / -)$

**translations**

$(x, y) == \text{CONST Pair } x \ y$

*-tuple*  $x \ (-\text{tuple-args } y \ z) == -\text{tuple } x \ (-\text{tuple-arg } (-\text{tuple } y \ z))$

$\%(x, y, zs). b == \text{CONST split } (\%x \ (y, zs). b)$

$\%(x, y). b == \text{CONST split } (\%x \ y. b)$

*-abs*  $(\text{CONST Pair } x \ y) \ t \Rightarrow \%(x, y). t$

— The last rule accommodates tuples in ‘case C ... (x,y) ... =<sub>i</sub> ...’ The (x,y) is parsed as ‘Pair x y’ because it is logic, not pttrn

**print-translation**  $\ll$

```

let
  fun split-tr' [Abs (x, T, t as (Abs abs))] =
    (* split (%x y. t) => %(x,y) t *)
    let
      val (y, t') = atomic-abs-tr' abs;
      val (x', t'') = atomic-abs-tr' (x, T, t');
    in
      Syntax.const @{syntax-const -abs} $
        (Syntax.const @{syntax-const -pattern} $ x' $ y) $ t''
    end
  | split-tr' [Abs (x, T, (s as Const (@{const-syntax split}, -) $ t))] =
    (* split (%x. (split (%y z. t))) => %(x,y,z). t *)
    let
      val Const (@{syntax-const -abs}, -) $
        (Const (@{syntax-const -pattern}, -) $ y $ z) $ t' = split-tr' [t];
      val (x', t'') = atomic-abs-tr' (x, T, t');
    in
      Syntax.const @{syntax-const -abs} $
        (Syntax.const @{syntax-const -pattern} $ x' $
          (Syntax.const @{syntax-const -patterns} $ y $ z)) $ t''
    end
  | split-tr' [Const (@{const-syntax split}, -) $ t] =
    (* split (split (%x y z. t)) => %((x, y), z). t *)
    split-tr' [(split-tr' [t])] (* inner split-tr' creates next pattern *)
  | split-tr' [Const (@{syntax-const -abs}, -) $ x-y $ Abs abs] =
    (* split (%pttrn z. t) => %(pttrn,z). t *)
    let val (z, t) = atomic-abs-tr' abs in
      Syntax.const @{syntax-const -abs} $
        (Syntax.const @{syntax-const -pattern} $ x-y $ z) $ t
    end
  | split-tr' - = raise Match;
in [(@{const-syntax split}, split-tr')] end
>>

```

**typed-print-translation**  $\ll$ 

```

let
  fun split-guess-names-tr' - T [Abs (x, -, Abs -)] = raise Match
  | split-guess-names-tr' - T [Abs (x, xT, t)] =
    (case (head-of t) of
      Const (@{const-syntax split}, -) => raise Match
    | - =>
      let
        val (- :: yT :: -) = binder-types (domain-type T) handle Bind => raise
Match;
        val (y, t') = atomic-abs-tr' (y, yT, incr-boundvars 1 t $ Bound 0);
        val (x', t'') = atomic-abs-tr' (x, xT, t');
      in
        Syntax.const @{syntax-const -abs} $

```

```

      (Syntax.const @{syntax-const -pattern} $ x' $ y) $ t''
    end)
  | split-guess-names-tr' - T [t] =
    (case head-of t of
      Const (@{const-syntax split}, -) => raise Match
    | - =>
      let
        val (xT :: yT :: -) = binder-types (domain-type T) handle Bind => raise
Match;
        val (y, t') = atomic-abs-tr' (y, yT, incr-boundvars 2 t $ Bound 1 $
Bound 0);
        val (x', t'') = atomic-abs-tr' (x, xT, t');
      in
        Syntax.const @{syntax-const -abs} $
          (Syntax.const @{syntax-const -pattern} $ x' $ y) $ t''
        end)
    | split-guess-names-tr' - - = raise Match;
in [(@{const-syntax split}, split-guess-names-tr')] end
>>

```

### 11.3.3 Code generator setup

**lemma** *split-case-cert*:

**assumes** *CASE*  $\equiv$  *split f*  
**shows** *CASE* (*a*, *b*)  $\equiv$  *f a b*  
**using** *assms* **by** (*simp add: split-prod-case*)

```

setup <<
  Code.add-case @{thm split-case-cert}
>>

```

**code-type** \*  
 (*SML* **infix** 2 \*)  
 (*OCaml* **infix** 2 \*)  
 (*Haskell* !((-),/ (-)))  
 (*Scala* ((-),/ (-)))

**code-const** *Pair*  
 (*SML* !((-),/ (-)))  
 (*OCaml* !((-),/ (-)))  
 (*Haskell* !((-),/ (-)))  
 (*Scala* !((-),/ (-)))

**code-instance** \* :: *eq*  
 (*Haskell* -)

**code-const** *eq-class.eq* :: '*a*::*eq*  $\times$  '*b*::*eq*  $\Rightarrow$  '*a*  $\times$  '*b*  $\Rightarrow$  *bool*  
 (*Haskell* **infixl** 4 ==)

**types-code**

```

*      ((- */ -))
attach (term-of) ⟨⟨
fun term-of-id-42 aF aT bF bT (x, y) = HOLogic.pair-const aT bT $ aF x $ bF
y;
⟩⟩
attach (test) ⟨⟨
fun gen-id-42 aG aT bG bT i =
  let
    val (x, t) = aG i;
    val (y, u) = bG i
    in ((x, y), fn () => HOLogic.pair-const aT bT $ t () $ u ()) end;
⟩⟩

```

**consts-code**

```

Pair      ((-, / -))

```

**setup** ⟨⟨

```

let

```

```

fun strip-abs-split 0 t = ([], t)
  | strip-abs-split i (Abs (s, T, t)) =
    let
      val s' = Codegen.new-name t s;
      val v = Free (s', T)
      in apfst (cons v) (strip-abs-split (i-1) (subst-bound (v, t))) end
  | strip-abs-split i (u as Const (@{const-name split}, -) $ t) =
    (case strip-abs-split (i+1) t of
      (v :: v' :: vs, u) => (HOLogic.mk-prod (v, v') :: vs, u)
      | - => ([], u))
  | strip-abs-split i t =
    strip-abs-split i (Abs (x, hd (binder-types (fastype-of t)), t $ Bound 0));

```

```

fun let-codegen thy defs dep thynome brack t gr =

```

```

  (case strip-comb t of

```

```

    (t1 as Const (@{const-name Let}, -), t2 :: t3 :: ts) =>

```

```

    let

```

```

      fun dest-let (l as Const (@{const-name Let}, -) $ t $ u) =

```

```

        (case strip-abs-split 1 u of

```

```

          ([p], u') => apfst (cons (p, t)) (dest-let u')

```

```

          | - => ([], l))

```

```

        | dest-let t = ([], t);

```

```

      fun mk-code (l, r) gr =

```

```

        let

```

```

          val (pl, gr1) = Codegen.invoke-codegen thy defs dep thynome false l gr;

```

```

          val (pr, gr2) = Codegen.invoke-codegen thy defs dep thynome false r gr1;

```

```

          in ((pl, pr), gr2) end

```

```

      in case dest-let (t1 $ t2 $ t3) of

```

```

        ([], -) => NONE

```

```

| (ps, u) =>
  let
    val (qs, gr1) = fold-map mk-code ps gr;
    val (pu, gr2) = Codegen.invoke-codegen thy defs dep thyname false u gr1;
    val (pargs, gr3) = fold-map
      (Codegen.invoke-codegen thy defs dep thyname true) ts gr2
  in
    SOME (Codegen.mk-app brack
      (Pretty.blk (0, [Codegen.str let , Pretty.blk (0, flat
        (separate [Codegen.str ;, Pretty.brk 1] (map (fn (pl, pr) =>
          [Pretty.block [Codegen.str val , pl, Codegen.str =,
            Pretty.brk 1, pr]]) qs))),
        Pretty.brk 1, Codegen.str in , pu,
        Pretty.brk 1, Codegen.str end])) pargs, gr3)
  end
end
| - => NONE);

fun split-codegen thy defs dep thyname brack t gr = (case strip-comb t of
  (t1 as Const (@{const-name split}, -), t2 :: ts) =>
    let
      val ([p], u) = strip-abs-split 1 (t1 $ t2);
      val (q, gr1) = Codegen.invoke-codegen thy defs dep thyname false p gr;
      val (pu, gr2) = Codegen.invoke-codegen thy defs dep thyname false u gr1;
      val (pargs, gr3) = fold-map
        (Codegen.invoke-codegen thy defs dep thyname true) ts gr2
    in
      SOME (Codegen.mk-app brack
        (Pretty.block [Codegen.str (fn , q, Codegen.str =>,
          Pretty.brk 1, pu, Codegen.str )]) pargs, gr2)
    end
  | - => NONE);

in

  Codegen.add-codegen let-codegen let-codegen
  #> Codegen.add-codegen split-codegen split-codegen

end
>>

```

#### 11.3.4 Fundamental operations and properties

**lemma** *surj-pair* [simp]:  $EX\ x\ y. p = (x, y)$   
 by (cases p) simp

**global consts**

*fst* ::  $'a \times 'b \Rightarrow 'a$   
*snd* ::  $'a \times 'b \Rightarrow 'b$

**local defs**

*fst-def*:  $\text{fst } p == \text{case } p \text{ of } (a, b) \Rightarrow a$   
*snd-def*:  $\text{snd } p == \text{case } p \text{ of } (a, b) \Rightarrow b$

**lemma** *fst-conv* [*simp*, *code*]:  $\text{fst } (a, b) = a$   
**unfolding** *fst-def* **by** *simp*

**lemma** *snd-conv* [*simp*, *code*]:  $\text{snd } (a, b) = b$   
**unfolding** *snd-def* **by** *simp*

**code-const** *fst* **and** *snd*  
 (*Haskell fst and snd*)

**lemma** *prod-case-unfold*:  $\text{prod-case} = (\%c \ p. \ c \ (\text{fst } p) \ (\text{snd } p))$   
**by** (*simp add: expand-fun-eq split: prod.split*)

**lemma** *fst-eqD*:  $\text{fst } (x, y) = a ==> x = a$   
**by** *simp*

**lemma** *snd-eqD*:  $\text{snd } (x, y) = a ==> y = a$   
**by** *simp*

**lemma** *pair-collapse* [*simp*]:  $(\text{fst } p, \text{snd } p) = p$   
**by** (*cases p*) *simp*

**lemmas** *surjective-pairing* = *pair-collapse* [*symmetric*]

**lemma** *Pair-fst-snd-eq*:  $s = t \longleftrightarrow \text{fst } s = \text{fst } t \wedge \text{snd } s = \text{snd } t$   
**by** (*cases s, cases t*) *simp*

**lemma** *prod-eqI* [*intro?*]:  $\text{fst } p = \text{fst } q \implies \text{snd } p = \text{snd } q \implies p = q$   
**by** (*simp add: Pair-fst-snd-eq*)

**lemma** *split-conv* [*simp*, *code*]:  $\text{split } f \ (a, b) = f \ a \ b$   
**by** (*simp add: split-prod-case*)

**lemma** *splitI*:  $f \ a \ b \implies \text{split } f \ (a, b)$   
**by** (*rule split-conv [THEN iffD2]*)

**lemma** *splitD*:  $\text{split } f \ (a, b) \implies f \ a \ b$   
**by** (*rule split-conv [THEN iffD1]*)

**lemma** *split-Pair* [*simp*]:  $(\lambda(x, y). (x, y)) = \text{id}$   
**by** (*simp add: split-prod-case expand-fun-eq split: prod.split*)

**lemma** *split-eta*:  $(\lambda(x, y). f \ (x, y)) = f$   
 — Subsumes the old *split-Pair* when *f* is the identity function.  
**by** (*simp add: split-prod-case expand-fun-eq split: prod.split*)

**lemma** *split-comp*:  $\text{split } (f \circ g) \ x = f \ (g \ (\text{fst } x)) \ (\text{snd } x)$   
**by** (*cases x simp*)

**lemma** *split-twice*:  $\text{split } f \ (\text{split } g \ p) = \text{split } (\lambda x \ y. \text{split } f \ (g \ x \ y)) \ p$   
**by** (*cases p simp*)

**lemma** *The-split*:  $\text{The } (\text{split } P) = (\text{THE } xy. P \ (\text{fst } xy) \ (\text{snd } xy))$   
**by** (*simp add: split-prod-case prod-case-unfold*)

**lemma** *split-weak-cong*:  $p = q \implies \text{split } c \ p = \text{split } c \ q$   
— Prevents simplification of *c*: much faster  
**by** (*erule arg-cong*)

**lemma** *cond-split-eta*:  $(!!x \ y. f \ x \ y = g \ (x, \ y)) \implies (\% (x, \ y). f \ x \ y) = g$   
**by** (*simp add: split-eta*)

**lemma** *split-paired-all*:  $(!!x. \text{PROP } P \ x) == (!!a \ b. \text{PROP } P \ (a, \ b))$   
**proof**  
**fix** *a b*  
**assume**  $!!x. \text{PROP } P \ x$   
**then show**  $\text{PROP } P \ (a, \ b)$  .  
**next**  
**fix** *x*  
**assume**  $!!a \ b. \text{PROP } P \ (a, \ b)$   
**from**  $\langle \text{PROP } P \ (\text{fst } x, \ \text{snd } x) \rangle$  **show**  $\text{PROP } P \ x$  **by** *simp*  
**qed**

The rule *split-paired-all* does not work with the Simplifier because it also affects premises in congruence rules, where this can lead to premises of the form  $!!a \ b. \dots = ?P(a, \ b)$  which cannot be solved by reflexivity.

**lemmas** *split-tupled-all = split-paired-all unit-all-eq2*

**ML**  $\ll$   
(\* replace parameters of product type by individual component parameters \*)  
**val** *safe-full-simp-tac* = *generic-simp-tac true (true, false, false)*;  
**local** (\* filtering with exists-paired-all is an essential optimization \*)  
**fun** *exists-paired-all* (*Const* (*all*, *-*) \$ *Abs* (*-*, *T*, *t*)) =  
  *can HOLogic.dest-prodT T orelse exists-paired-all t*  
  | *exists-paired-all* (*t* \$ *u*) = *exists-paired-all t orelse exists-paired-all u*  
  | *exists-paired-all* (*Abs* (*-*, *-*, *t*)) = *exists-paired-all t*  
  | *exists-paired-all* *-* = *false*;  
**val** *ss* = *HOL-basic-ss*  
**addsims** [*@{thm split-paired-all}*], [*@{thm unit-all-eq2}*], [*@{thm unit-abs-eta-conv}*]  
**addsimprocs** [*unit-eq-proc*];  
**in**  
**val** *split-all-tac* = *SUBGOAL* (*fn* (*t*, *i*) =>  
  *if exists-paired-all t then safe-full-simp-tac ss i else no-tac*);  
**val** *unsafe-split-all-tac* = *SUBGOAL* (*fn* (*t*, *i*) =>



```

    if exists-paired-all t then full-simp-tac ss i else no-tac);
  fun split-all th =
    if exists-paired-all (Thm.prop-of th) then full-simplify ss th else th;
end;
>>

```

```

declaration << fn - =>
  Classical.map-cs (fn cs => cs addSbefore (split-all-tac, split-all-tac))
>>

```

**lemma** *split-paired-All* [simp]:  $(ALL\ x.\ P\ x) = (ALL\ a\ b.\ P\ (a,\ b))$   
 — [iff] is not a good idea because it makes *blast* loop  
**by** *fast*

**lemma** *split-paired-Ex* [simp]:  $(EX\ x.\ P\ x) = (EX\ a\ b.\ P\ (a,\ b))$   
**by** *fast*

**lemma** *split-paired-The*:  $(THE\ x.\ P\ x) = (THE\ (a,\ b).\ P\ (a,\ b))$   
 — Can’t be added to simpset: loops!  
**by** (*simp add: split-eta*)

Simplification procedure for *cond-split-eta*. Using *split-eta* as a rewrite rule is not general enough, and using *cond-split-eta* directly would render some existing proofs very inefficient; similarly for *split-beta*.

```

ML <<
local
  val cond-split-eta-ss = HOL-basic-ss addsimps @ {thms cond-split-eta};
  fun Pair-pat k 0 (Bound m) = (m = k)
    | Pair-pat k i (Const (@{const-name Pair}, -) $ Bound m $ t) =
      i > 0 andalso m = k + i andalso Pair-pat k (i - 1) t
    | Pair-pat - - - = false;
  fun no-args k i (Abs (-, -, t)) = no-args (k + 1) i t
    | no-args k i (t $ u) = no-args k i t andalso no-args k i u
    | no-args k i (Bound m) = m < k orelse m > k + i
    | no-args - - - = true;
  fun split-pat tp i (Abs (-, -, t)) = if tp 0 i t then SOME (i, t) else NONE
    | split-pat tp i (Const (@{const-name split}, -) $ Abs (-, -, t)) = split-pat tp (i
+ 1) t
    | split-pat tp i - = NONE;
  fun metaeq ss lhs rhs = mk-meta-eq (Goal.prove (Simplifier.the-context ss) [] []
    (HOLogic.mk-Trueprop (HOLogic.mk-eq (lhs, rhs)))
    (K (simp-tac (Simplifier.inherit-context ss cond-split-eta-ss) 1)));

  fun beta-term-pat k i (Abs (-, -, t)) = beta-term-pat (k + 1) i t
    | beta-term-pat k i (t $ u) =
      Pair-pat k i (t $ u) orelse (beta-term-pat k i t andalso beta-term-pat k i u)
    | beta-term-pat k i t = no-args k i t;
  fun eta-term-pat k i (f $ arg) = no-args k i f andalso Pair-pat k i arg
    | eta-term-pat - - - = false;

```

```

fun subst arg k i (Abs (x, T, t)) = Abs (x, T, subst arg (k+1) i t)
  | subst arg k i (t $ u) =
    if Pair-pat k i (t $ u) then incr-boundvars k arg
    else (subst arg k i t $ subst arg k i u)
  | subst arg k i t = t;
fun beta-proc ss (s as Const (@{const-name split}, -) $ Abs (-, -, t) $ arg) =
  (case split-pat beta-term-pat 1 t of
    SOME (i, f) => SOME (metaeq ss s (subst arg 0 i f))
  | NONE => NONE)
  | beta-proc - - = NONE;
fun eta-proc ss (s as Const (@{const-name split}, -) $ Abs (-, -, t)) =
  (case split-pat eta-term-pat 1 t of
    SOME (-, ft) => SOME (metaeq ss s (let val (f $ arg) = ft in f end))
  | NONE => NONE)
  | eta-proc - - = NONE;
in
  val split-beta-proc = Simplifier.simproc @{theory} split-beta [split f z] (K beta-proc);
  val split-eta-proc = Simplifier.simproc @{theory} split-eta [split f] (K eta-proc);
end;

Addsimprocs [split-beta-proc, split-eta-proc];
>>

```

**lemma** *split-beta* [mono]:  $(\%(x, y). P\ x\ y)\ z = P\ (fst\ z)\ (snd\ z)$   
**by** (subst surjective-pairing, rule split-conv)

**lemma** *split-split* [no-atp]:  $R(split\ c\ p) = (ALL\ x\ y. p = (x, y) \longrightarrow R(c\ x\ y))$   
 — For use with *split* and the Simplifier.  
**by** (insert surj-pair [of p], clarify, simp)

*split-split* could be declared as [split] done after the Splitter has been speeded up significantly; precompute the constants involved and don't do anything unless the current goal contains one of those constants.

**lemma** *split-split-asm* [no-atp]:  $R\ (split\ c\ p) = (\sim (EX\ x\ y. p = (x, y) \ \&\ (\sim R\ (c\ x\ y))))$   
**by** (subst split-split, simp)

*split* used as a logical connective or set former.

These rules are for use with *blast*; could instead call *simp* using *split* as rewrite.

**lemma** *splitI2*:  $!!p. [\![\ !a\ b. p = (a, b) \implies c\ a\ b\ ]\!] \implies split\ c\ p$   
**apply** (simp only: split-tupled-all)  
**apply** (simp (no-asm-simp))  
**done**

**lemma** *splitI2'*:  $!!p. [\![\ !a\ b. (a, b) = p \implies c\ a\ b\ x\ ]\!] \implies split\ c\ p\ x$   
**apply** (simp only: split-tupled-all)

```

apply (simp (no-asm-simp))
done

lemma splitE: split c p ==> (!!x y. p = (x, y) ==> c x y ==> Q) ==> Q
  by (induct p) (auto simp add: split-prod-case)

lemma splitE': split c p z ==> (!!x y. p = (x, y) ==> c x y z ==> Q) ==> Q
  by (induct p) (auto simp add: split-prod-case)

lemma splitE2:
  [| Q (split P z); !!x y. [| z = (x, y); Q (P x y) |] ==> R |] ==> R
proof -
  assume q: Q (split P z)
  assume r: !!x y. [| z = (x, y); Q (P x y) |] ==> R
  show R
    apply (rule r surjective-pairing)+
    apply (rule split-beta [THEN subst], rule q)
  done
qed

lemma splitD': split R (a,b) c ==> R a b c
  by simp

lemma mem-splitI: z: c a b ==> z: split c (a, b)
  by simp

lemma mem-splitI2: !!p. [| !!a b. p = (a, b) ==> z: c a b |] ==> z: split c p
by (simp only: split-tupled-all, simp)

lemma mem-splitE:
  assumes major: z ∈ split c p
  and cases:  $\bigwedge x y. p = (x, y) \implies z \in c x y \implies Q$ 
  shows Q
  by (rule major [unfolded split-prod-case prod-case-unfold] cases surjective-pairing)+

declare mem-splitI2 [intro!] mem-splitI [intro!] splitI2' [intro!] splitI2 [intro!] splitI
[intro!]
declare mem-splitE [elim!] splitE' [elim!] splitE [elim!]

ML ⟨⟨
  local (* filtering with exists-p-split is an essential optimization *)
    fun exists-p-split (Const (@{const-name split},-) $ - $ (Const (@{const-name
Pair},-) $ $-)) = true
      | exists-p-split (t $ u) = exists-p-split t orelse exists-p-split u
      | exists-p-split (Abs (_, -, t)) = exists-p-split t
      | exists-p-split - = false;
    val ss = HOL-basic-ss addsimps @{thms split-conv};
  in
    val split-conv-tac = SUBGOAL (fn (t, i) =>

```

```

    if exists-p-split t then safe-full-simp-tac ss i else no-tac);
end;
>>

```

```

declaration << fn - =>
  Classical.map-cs (fn cs => cs addSbefore (split-conv-tac, split-conv-tac))
>>

```

```

lemma split-eta-SetCompr [simp,no-atp]: (%u. EX x y. u = (x, y) & P (x, y)) =
P
  by (rule ext) fast

```

```

lemma split-eta-SetCompr2 [simp,no-atp]: (%u. EX x y. u = (x, y) & P x y) =
split P
  by (rule ext) fast

```

```

lemma split-part [simp]: (%(a,b). P & Q a b) = (%ab. P & split Q ab)
— Allows simplifications of nested splits in case of independent predicates.
by (rule ext) blast

```

```

lemma split-comp-eq:
  fixes f :: 'a => 'b => 'c and g :: 'd => 'a
  shows (%u. f (g (fst u)) (snd u)) = (split (%x. f (g x)))
  by (rule ext) auto

```

```

lemma pair-imageI [intro]: (a, b) : A ==> f a b : (%(a, b). f a b) ‘ A
apply (rule-tac x = (a, b) in image-eqI)
apply auto
done

```

```

lemma The-split-eq [simp]: (THE (x',y'). x = x' & y = y') = (x, y)
by blast

```

Setup of internal *split-rule*.

```

lemmas prod-caseI = prod.cases [THEN iffD2, standard]

```

```

lemma prod-caseI2: !!p. [| !!a b. p = (a, b) ==> c a b |] ==> prod-case c p
by auto

```

```

lemma prod-caseI2': !!p. [| !!a b. (a, b) = p ==> c a b x |] ==> prod-case c p x
by (auto simp: split-tupled-all)

```

```

lemma prod-caseE: prod-case c p ==> (!!x y. p = (x, y) ==> c x y ==> Q)
==> Q
by (induct p) auto

```

```

lemma prod-caseE': prod-case c p z ==> (!!x y. p = (x, y) ==> c x y z ==>

```

$Q) ==> Q$   
**by** (*induct p*) *auto*

**declare** *prod-caseI2'* [*intro!*] *prod-caseI2* [*intro!*] *prod-caseI* [*intro!*]  
**declare** *prod-caseE'* [*elim!*] *prod-caseE* [*elim!*]

**lemma** *prod-case-split*:  
*prod-case* = *split*  
**by** (*auto simp add: expand-fun-eq*)

**lemma** *prod-case-beta*:  
*prod-case f p* = *f (fst p) (snd p)*  
**unfolding** *prod-case-split split-beta ..*

**lemma** *prod-cases3* [*cases type*]:  
**obtains** (*fields*) *a b c* **where** *y* = (*a, b, c*)  
**by** (*cases y, case-tac b*) *blast*

**lemma** *prod-induct3* [*case-names fields, induct type*]:  
 (!!*a b c. P (a, b, c)*) ==> *P x*  
**by** (*cases x*) *blast*

**lemma** *prod-cases4* [*cases type*]:  
**obtains** (*fields*) *a b c d* **where** *y* = (*a, b, c, d*)  
**by** (*cases y, case-tac c*) *blast*

**lemma** *prod-induct4* [*case-names fields, induct type*]:  
 (!!*a b c d. P (a, b, c, d)*) ==> *P x*  
**by** (*cases x*) *blast*

**lemma** *prod-cases5* [*cases type*]:  
**obtains** (*fields*) *a b c d e* **where** *y* = (*a, b, c, d, e*)  
**by** (*cases y, case-tac d*) *blast*

**lemma** *prod-induct5* [*case-names fields, induct type*]:  
 (!!*a b c d e. P (a, b, c, d, e)*) ==> *P x*  
**by** (*cases x*) *blast*

**lemma** *prod-cases6* [*cases type*]:  
**obtains** (*fields*) *a b c d e f* **where** *y* = (*a, b, c, d, e, f*)  
**by** (*cases y, case-tac e*) *blast*

**lemma** *prod-induct6* [*case-names fields, induct type*]:  
 (!!*a b c d e f. P (a, b, c, d, e, f)*) ==> *P x*  
**by** (*cases x*) *blast*

**lemma** *prod-cases7* [*cases type*]:  
**obtains** (*fields*) *a b c d e f g* **where** *y* = (*a, b, c, d, e, f, g*)  
**by** (*cases y, case-tac f*) *blast*

**lemma** *prod-induct7* [*case-names fields, induct type*]:  
 ( $!!a\ b\ c\ d\ e\ f\ g. P\ (a, b, c, d, e, f, g)$ )  $\implies P\ x$   
 by (*cases x*) *blast*

**lemma** *split-def*:  
 $split = (\lambda c\ p. c\ (fst\ p)\ (snd\ p))$   
 unfolding *split-prod-case prod-case-unfold* ..

**definition** *internal-split* ::  $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \times 'b \Rightarrow 'c$  **where**  
*internal-split* == *split*

**lemma** *internal-split-conv*:  $internal-split\ c\ (a, b) = c\ a\ b$   
 by (*simp only: internal-split-def split-conv*)

**use** *Tools/split-rule.ML*  
**setup** *Split-Rule.setup*

**hide-const** *internal-split*

### 11.3.5 Derived operations

**global consts**  
 $curry :: ('a \times 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c$

**local defs**  
 $curry-def: \quad curry == (\%c\ x\ y. c\ (Pair\ x\ y))$

**lemma** *curry-conv* [*simp, code*]:  $curry\ f\ a\ b = f\ (a, b)$   
 by (*simp add: curry-def*)

**lemma** *curryI* [*intro!*]:  $f\ (a, b) \implies curry\ f\ a\ b$   
 by (*simp add: curry-def*)

**lemma** *curryD* [*dest!*]:  $curry\ f\ a\ b \implies f\ (a, b)$   
 by (*simp add: curry-def*)

**lemma** *curryE*:  $curry\ f\ a\ b \implies (f\ (a, b) \implies Q) \implies Q$   
 by (*simp add: curry-def*)

**lemma** *curry-split* [*simp*]:  $curry\ (split\ f) = f$   
 by (*simp add: curry-def split-def*)

**lemma** *split-curry* [*simp*]:  $split\ (curry\ f) = f$   
 by (*simp add: curry-def split-def*)

The composition-uncurry combinator.

**notation** *fcomp* (**infixl** *o>* 60)

**definition** *scomp* :: ( $'a \Rightarrow 'b \times 'c$ )  $\Rightarrow$  ( $'b \Rightarrow 'c \Rightarrow 'd$ )  $\Rightarrow$   $'a \Rightarrow 'd$  (**infixl**  $o \rightarrow 60$ )  
**where**

$f \ o \rightarrow \ g = (\lambda x. \text{split } g \ (f \ x))$

**lemma** *scomp-apply*:  $(f \ o \rightarrow \ g) \ x = \text{split } g \ (f \ x)$   
**by** (*simp add: scomp-def*)

**lemma** *Pair-scomp*:  $\text{Pair } x \ o \rightarrow \ f = f \ x$   
**by** (*simp add: expand-fun-eq scomp-apply*)

**lemma** *scomp-Pair*:  $x \ o \rightarrow \ \text{Pair} = x$   
**by** (*simp add: expand-fun-eq scomp-apply*)

**lemma** *scomp-scomp*:  $(f \ o \rightarrow \ g) \ o \rightarrow \ h = f \ o \rightarrow (\lambda x. \ g \ x \ o \rightarrow \ h)$   
**by** (*simp add: expand-fun-eq split-twice scomp-def*)

**lemma** *scomp-fcomp*:  $(f \ o \rightarrow \ g) \ o > \ h = f \ o \rightarrow (\lambda x. \ g \ x \ o > \ h)$   
**by** (*simp add: expand-fun-eq scomp-apply fcomp-def split-def*)

**lemma** *fcomp-scomp*:  $(f \ o > \ g) \ o \rightarrow \ h = f \ o > (g \ o \rightarrow \ h)$   
**by** (*simp add: expand-fun-eq scomp-apply fcomp-apply*)

**code-const** *scomp*  
*(Eval infixl 3 #->)*

**no-notation** *fcomp* (**infixl**  $o > 60$ )

**no-notation** *scomp* (**infixl**  $o \rightarrow 60$ )

*prod-fun* — action of the product functor upon functions.

**definition** *prod-fun* :: ( $'a \Rightarrow 'c$ )  $\Rightarrow$  ( $'b \Rightarrow 'd$ )  $\Rightarrow$   $'a \times 'b \Rightarrow 'c \times 'd$  **where**  
*[code del]:* *prod-fun*  $f \ g = (\lambda(x, y). (f \ x, g \ y))$

**lemma** *prod-fun [simp, code]*: *prod-fun*  $f \ g \ (a, b) = (f \ a, g \ b)$   
**by** (*simp add: prod-fun-def*)

**lemma** *fst-prod-fun[simp]*: *fst* (*prod-fun*  $f \ g \ x$ ) =  $f \ (\text{fst } x)$   
**by** (*cases x, auto*)

**lemma** *snd-prod-fun[simp]*: *snd* (*prod-fun*  $f \ g \ x$ ) =  $g \ (\text{snd } x)$   
**by** (*cases x, auto*)

**lemma** *fst-comp-prod-fun[simp]*: *fst*  $\circ$  *prod-fun*  $f \ g = f \circ \text{fst}$   
**by** (*rule ext*) *auto*

**lemma** *snd-comp-prod-fun[simp]*: *snd*  $\circ$  *prod-fun*  $f \ g = g \circ \text{snd}$   
**by** (*rule ext*) *auto*

**lemma** *prod-fun-compose*:

```

  prod-fun (f1 o f2) (g1 o g2) = (prod-fun f1 g1 o prod-fun f2 g2)
by (rule ext) auto

```

```

lemma prod-fun-ident [simp]: prod-fun (%x. x) (%y. y) = (%z. z)
  by (rule ext) auto

```

```

lemma prod-fun-imageI [intro]: (a, b) : r ==> (f a, g b) : prod-fun f g ‘ r
  apply (rule image-eqI)
  apply (rule prod-fun [symmetric], assumption)
done

```

```

lemma prod-fun-imageE [elim!]:
  assumes major: c: (prod-fun f g) ‘ r
  and cases: !!x y. [| c=(f(x),g(y)); (x,y):r |] ==> P
  shows P
  apply (rule major [THEN imageE])
  apply (case-tac x)
  apply (rule cases)
  apply (blast intro: prod-fun)
  apply blast
done

```

```

definition apfst :: ('a => 'c) => 'a × 'b => 'c × 'b where
  apfst f = prod-fun f id

```

```

definition apsnd :: ('b => 'c) => 'a × 'b => 'a × 'c where
  apsnd f = prod-fun id f

```

```

lemma apfst-conv [simp, code]:
  apfst f (x, y) = (f x, y)
  by (simp add: apfst-def)

```

```

lemma apsnd-conv [simp, code]:
  apsnd f (x, y) = (x, f y)
  by (simp add: apsnd-def)

```

```

lemma fst-apfst [simp]:
  fst (apfst f x) = f (fst x)
  by (cases x) simp

```

```

lemma fst-apsnd [simp]:
  fst (apsnd f x) = fst x
  by (cases x) simp

```

```

lemma snd-apfst [simp]:
  snd (apfst f x) = snd x
  by (cases x) simp

```



**lemma** *snd-apsnd* [*simp*]:  
 $\text{snd } (\text{apsnd } f \ x) = f \ (\text{snd } x)$   
**by** (*cases x simp*)

**lemma** *apfst-compose*:  
 $\text{apfst } f \ (\text{apfst } g \ x) = \text{apfst } (f \circ g) \ x$   
**by** (*cases x simp*)

**lemma** *apsnd-compose*:  
 $\text{apsnd } f \ (\text{apsnd } g \ x) = \text{apsnd } (f \circ g) \ x$   
**by** (*cases x simp*)

**lemma** *apfst-apsnd* [*simp*]:  
 $\text{apfst } f \ (\text{apsnd } g \ x) = (f \ (\text{fst } x), g \ (\text{snd } x))$   
**by** (*cases x simp*)

**lemma** *apsnd-apfst* [*simp*]:  
 $\text{apsnd } f \ (\text{apfst } g \ x) = (g \ (\text{fst } x), f \ (\text{snd } x))$   
**by** (*cases x simp*)

**lemma** *apfst-id* [*simp*] :  
 $\text{apfst } \text{id} = \text{id}$   
**by** (*simp add: expand-fun-eq*)

**lemma** *apsnd-id* [*simp*] :  
 $\text{apsnd } \text{id} = \text{id}$   
**by** (*simp add: expand-fun-eq*)

**lemma** *apfst-eq-conv* [*simp*]:  
 $\text{apfst } f \ x = \text{apfst } g \ x \longleftrightarrow f \ (\text{fst } x) = g \ (\text{fst } x)$   
**by** (*cases x simp*)

**lemma** *apsnd-eq-conv* [*simp*]:  
 $\text{apsnd } f \ x = \text{apsnd } g \ x \longleftrightarrow f \ (\text{snd } x) = g \ (\text{snd } x)$   
**by** (*cases x simp*)

**lemma** *apsnd-apfst-commute*:  
 $\text{apsnd } f \ (\text{apfst } g \ p) = \text{apfst } g \ (\text{apsnd } f \ p)$   
**by** *simp*

Disjoint union of a family of sets – Sigma.

**definition** *Sigma* :: [*'a set, 'a => 'b set*] => (*'a × 'b*) *set* **where**  
*Sigma-def*: *Sigma A B == UN x:A. UN y:B x. {Pair x y}*

**abbreviation**

*Times* :: [*'a set, 'b set*] => (*'a \* 'b*) *set*  
 (**infixr** *<\*>* 80) **where**  
*A <\*> B == Sigma A (%-. B)*

**notation** (*xsymbols*)  
*Times* (**infixr**  $\times 80$ )

**notation** (*HTML output*)  
*Times* (**infixr**  $\times 80$ )

**syntax**  
 $\text{-Sigma} :: [\text{pttrn}, 'a \text{ set}, 'b \text{ set}] \Rightarrow ('a * 'b) \text{ set} \ ((3\text{SIGMA} \text{ :-./ -}) [0, 0, 10] 10)$

**translations**  
 $\text{SIGMA } x:A. B == \text{CONST Sigma } A \ (\%x. B)$

**lemma** *SigmaI* [*intro!*]:  $[\![ a:A; b:B(a) ]\!] \Rightarrow (a,b) : \text{Sigma } A \ B$   
**by** (*unfold Sigma-def*) *blast*

**lemma** *SigmaE* [*elim!*]:  
 $[\![ c: \text{Sigma } A \ B; \text{!!}x \ y. [\![ x:A; y:B(x); c=(x,y) ]\!] \Rightarrow P ]\!] \Rightarrow P$   
 — The general elimination rule.  
**by** (*unfold Sigma-def*) *blast*

Elimination of  $(a, b) \in A \times B$  – introduces no eigenvariables.

**lemma** *SigmaD1*:  $(a, b) : \text{Sigma } A \ B \Rightarrow a : A$   
**by** *blast*

**lemma** *SigmaD2*:  $(a, b) : \text{Sigma } A \ B \Rightarrow b : B \ a$   
**by** *blast*

**lemma** *SigmaE2*:  
 $[\![ (a, b) : \text{Sigma } A \ B; [\![ a:A; b:B(a) ]\!] \Rightarrow P ]\!] \Rightarrow P$   
**by** *blast*

**lemma** *Sigma-cong*:  
 $[\![ A = B; \text{!!}x. x \in B \Rightarrow C \ x = D \ x ]\!] \Rightarrow (\text{SIGMA } x: A. C \ x) = (\text{SIGMA } x: B. D \ x)$   
**by** *auto*

**lemma** *Sigma-mono*:  $[\![ A \leq C; \text{!!}x. x:A \Rightarrow B \ x \leq D \ x ]\!] \Rightarrow \text{Sigma } A \ B \leq \text{Sigma } C \ D$   
**by** *blast*

**lemma** *Sigma-empty1* [*simp*]:  $\text{Sigma } \{\} \ B = \{\}$   
**by** *blast*

**lemma** *Sigma-empty2* [*simp*]:  $A \lt * > \{\} = \{\}$   
**by** *blast*

**lemma** *UNIV-Times-UNIV* [simp]:  $UNIV <*> UNIV = UNIV$   
**by** *auto*

**lemma** *Compl-Times-UNIV1* [simp]:  $\neg (UNIV <*> A) = UNIV <*> (\neg A)$   
**by** *auto*

**lemma** *Compl-Times-UNIV2* [simp]:  $\neg (A <*> UNIV) = (\neg A) <*> UNIV$   
**by** *auto*

**lemma** *mem-Sigma-iff* [iff]:  $((a,b): Sigma\ A\ B) = (a:A \ \&\ b:B(a))$   
**by** *blast*

**lemma** *Times-subset-cancel2*:  $x:C \implies (A <*> C \leq B <*> C) = (A \leq B)$   
**by** *blast*

**lemma** *Times-eq-cancel2*:  $x:C \implies (A <*> C = B <*> C) = (A = B)$   
**by** (*blast elim: equalityE*)

**lemma** *SetCompr-Sigma-eq*:  
 $Collect\ (split\ (\%x\ y.\ P\ x\ \&\ Q\ x\ y)) = (SIGMA\ x:Collect\ P.\ Collect\ (Q\ x))$   
**by** *blast*

**lemma** *Collect-split* [simp]:  $\{(a,b).\ P\ a\ \&\ Q\ b\} = Collect\ P\ <*>\ Collect\ Q$   
**by** *blast*

**lemma** *UN-Times-distrib*:  
 $(UN\ (a,b):(A <*> B).\ E\ a <*> F\ b) = (UNION\ A\ E) <*> (UNION\ B\ F)$   
— Suggested by Pierre Chartier  
**by** *blast*

**lemma** *split-paired-Ball-Sigma* [simp,no-atp]:  
 $(ALL\ z: Sigma\ A\ B.\ P\ z) = (ALL\ x:A.\ ALL\ y: B\ x.\ P(x,y))$   
**by** *blast*

**lemma** *split-paired-Bex-Sigma* [simp,no-atp]:  
 $(EX\ z: Sigma\ A\ B.\ P\ z) = (EX\ x:A.\ EX\ y: B\ x.\ P(x,y))$   
**by** *blast*

**lemma** *Sigma-Un-distrib1*:  $(SIGMA\ i:I\ Un\ J.\ C(i)) = (SIGMA\ i:I.\ C(i))\ Un\ (SIGMA\ j:J.\ C(j))$   
**by** *blast*

**lemma** *Sigma-Un-distrib2*:  $(SIGMA\ i:I.\ A(i)\ Un\ B(i)) = (SIGMA\ i:I.\ A(i))\ Un\ (SIGMA\ i:I.\ B(i))$   
**by** *blast*

**lemma** *Sigma-Int-distrib1*:  $(SIGMA\ i:I\ Int\ J.\ C(i)) = (SIGMA\ i:I.\ C(i))\ Int\ (SIGMA\ j:J.\ C(j))$   
**by** *blast*

**lemma** *Sigma-Int-distrib2*:  $(\text{SIGMA } i:I. A(i) \text{ Int } B(i)) = (\text{SIGMA } i:I. A(i)) \text{ Int } (\text{SIGMA } i:I. B(i))$   
**by** *blast*

**lemma** *Sigma-Diff-distrib1*:  $(\text{SIGMA } i:I - J. C(i)) = (\text{SIGMA } i:I. C(i)) - (\text{SIGMA } j:J. C(j))$   
**by** *blast*

**lemma** *Sigma-Diff-distrib2*:  $(\text{SIGMA } i:I. A(i) - B(i)) = (\text{SIGMA } i:I. A(i)) - (\text{SIGMA } i:I. B(i))$   
**by** *blast*

**lemma** *Sigma-Union*:  $\text{Sigma } (\text{Union } X) B = (\text{UN } A:X. \text{Sigma } A B)$   
**by** *blast*

Non-dependent versions are needed to avoid the need for higher-order matching, especially when the rules are re-oriented.

**lemma** *Times-Un-distrib1*:  $(A \text{ Un } B) <*> C = (A <*> C) \text{ Un } (B <*> C)$   
**by** *blast*

**lemma** *Times-Int-distrib1*:  $(A \text{ Int } B) <*> C = (A <*> C) \text{ Int } (B <*> C)$   
**by** *blast*

**lemma** *Times-Diff-distrib1*:  $(A - B) <*> C = (A <*> C) - (B <*> C)$   
**by** *blast*

**lemma** *Times-empty[simp]*:  $A \times B = \{\} \longleftrightarrow A = \{\} \vee B = \{\}$   
**by** *auto*

**lemma** *fst-image-times[simp]*:  $\text{fst } ' (A \times B) = (\text{if } B = \{\} \text{ then } \{\} \text{ else } A)$   
**by** *(auto intro!: image-eqI)*

**lemma** *snd-image-times[simp]*:  $\text{snd } ' (A \times B) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } B)$   
**by** *(auto intro!: image-eqI)*

**lemma** *insert-times-insert[simp]*:  
 $\text{insert } a A \times \text{insert } b B =$   
 $\text{insert } (a,b) (A \times \text{insert } b B \cup \text{insert } a A \times B)$   
**by** *blast*

**lemma** *vimage-Times*:  $f - ' (A \times B) = ((\text{fst} \circ f) - ' A) \cap ((\text{snd} \circ f) - ' B)$   
**by** *(auto, case-tac f x, auto)*

The following *prod-fun* lemmas are due to Joachim Breitner:

**lemma** *prod-fun-inj-on*:  
**assumes** *inj-on f A and inj-on g B*  
**shows** *inj-on (prod-fun f g) (A × B)*  
**proof** *(rule inj-onI)*

**fix**  $x :: 'a \times 'c$  **and**  $y :: 'a \times 'c$   
**assume**  $x \in A \times B$  **hence**  $\text{fst } x \in A$  **and**  $\text{snd } x \in B$  **by** *auto*  
**assume**  $y \in A \times B$  **hence**  $\text{fst } y \in A$  **and**  $\text{snd } y \in B$  **by** *auto*  
**assume**  $\text{prod-fun } f \ g \ x = \text{prod-fun } f \ g \ y$   
**hence**  $\text{fst } (\text{prod-fun } f \ g \ x) = \text{fst } (\text{prod-fun } f \ g \ y)$  **by** *(auto)*  
**hence**  $f \ (\text{fst } x) = f \ (\text{fst } y)$  **by** *(cases x, cases y, auto)*  
**with**  $\langle \text{inj-on } f \ A \rangle$  **and**  $\langle \text{fst } x \in A \rangle$  **and**  $\langle \text{fst } y \in A \rangle$   
**have**  $\text{fst } x = \text{fst } y$  **by** *(auto dest:dest:inj-onD)*  
**moreover from**  $\langle \text{prod-fun } f \ g \ x = \text{prod-fun } f \ g \ y \rangle$   
**have**  $\text{snd } (\text{prod-fun } f \ g \ x) = \text{snd } (\text{prod-fun } f \ g \ y)$  **by** *(auto)*  
**hence**  $g \ (\text{snd } x) = g \ (\text{snd } y)$  **by** *(cases x, cases y, auto)*  
**with**  $\langle \text{inj-on } g \ B \rangle$  **and**  $\langle \text{snd } x \in B \rangle$  **and**  $\langle \text{snd } y \in B \rangle$   
**have**  $\text{snd } x = \text{snd } y$  **by** *(auto dest:dest:inj-onD)*  
**ultimately show**  $x = y$  **by** *(rule prod-eqI)*  
**qed**

**lemma** *prod-fun-surj*:  
**assumes** *surj f* **and** *surj g*  
**shows** *surj (prod-fun f g)*  
**unfolding** *surj-def*  
**proof**  
**fix**  $y :: 'b \times 'd$   
**from**  $\langle \text{surj } f \rangle$  **obtain**  $a$  **where**  $\text{fst } y = f \ a$  **by** *(auto elim:surjE)*  
**moreover**  
**from**  $\langle \text{surj } g \rangle$  **obtain**  $b$  **where**  $\text{snd } y = g \ b$  **by** *(auto elim:surjE)*  
**ultimately have**  $(\text{fst } y, \text{snd } y) = \text{prod-fun } f \ g \ (a, b)$  **by** *auto*  
**thus**  $\exists x. y = \text{prod-fun } f \ g \ x$  **by** *auto*  
**qed**

**lemma** *prod-fun-surj-on*:  
**assumes**  $f \ 'A = A'$  **and**  $g \ 'B = B'$   
**shows**  $\text{prod-fun } f \ g \ ' (A \times B) = A' \times B'$   
**unfolding** *image-def*  
**proof** *(rule set-ext, rule iffI)*  
**fix**  $x :: 'a \times 'c$   
**assume**  $x \in \{y :: 'a \times 'c. \exists x :: 'b \times 'd \in A \times B. y = \text{prod-fun } f \ g \ x\}$   
**then obtain**  $y$  **where**  $y \in A \times B$  **and**  $x = \text{prod-fun } f \ g \ y$  **by** *blast*  
**from**  $\langle \text{image } f \ A = A' \rangle$  **and**  $\langle y \in A \times B \rangle$  **have**  $f \ (\text{fst } y) \in A'$  **by** *auto*  
**moreover from**  $\langle \text{image } g \ B = B' \rangle$  **and**  $\langle y \in A \times B \rangle$  **have**  $g \ (\text{snd } y) \in B'$  **by** *auto*  
**ultimately have**  $(f \ (\text{fst } y), g \ (\text{snd } y)) \in (A' \times B')$  **by** *auto*  
**with**  $\langle x = \text{prod-fun } f \ g \ y \rangle$  **show**  $x \in A' \times B'$  **by** *(cases y, auto)*  
**next**  
**fix**  $x :: 'a \times 'c$   
**assume**  $x \in A' \times B'$  **hence**  $\text{fst } x \in A'$  **and**  $\text{snd } x \in B'$  **by** *auto*  
**from**  $\langle \text{image } f \ A = A' \rangle$  **and**  $\langle \text{fst } x \in A' \rangle$  **have**  $\text{fst } x \in \text{image } f \ A$  **by** *auto*  
**then obtain**  $a$  **where**  $a \in A$  **and**  $\text{fst } x = f \ a$  **by** *(rule imageE)*  
**moreover from**  $\langle \text{image } g \ B = B' \rangle$  **and**  $\langle \text{snd } x \in B' \rangle$   
**obtain**  $b$  **where**  $b \in B$  **and**  $\text{snd } x = g \ b$  **by** *auto*

ultimately have  $(fst\ x, snd\ x) = prod\_fun\ f\ g\ (a, b)$  by auto  
 moreover from  $\langle a \in A \rangle$  and  $\langle b \in B \rangle$  have  $(a, b) \in A \times B$  by auto  
 ultimately have  $\exists y \in A \times B. x = prod\_fun\ f\ g\ y$  by auto  
 thus  $x \in \{x. \exists y \in A \times B. x = prod\_fun\ f\ g\ y\}$  by auto  
 qed

lemma swap-inj-on:  
 inj-on  $(\lambda(i, j). (j, i))\ A$   
 by (auto intro!: inj-onI)

lemma swap-product:  
 $(\% (i, j). (j, i))\ ' (A \times B) = B \times A$   
 by (simp add: split-def image-def) blast

lemma image-split-eq-Sigma:  
 $(\lambda x. (f\ x, g\ x))\ ' A = Sigma\ (f\ ' A)\ (\lambda x. g\ ' (f\ -'\ \{x\} \cap A))$   
 proof (safe intro!: imageI vimageI)  
 fix a b assume \*:  $a \in A\ b \in A$  and eq:  $f\ a = f\ b$   
 show  $(f\ b, g\ a) \in (\lambda x. (f\ x, g\ x))\ ' A$   
 using \* eq[symmetric] by auto  
 qed simp-all

## 11.4 Inductively defined sets

use Tools/inductive-set.ML  
 setup Inductive-Set.setup

## 11.5 Legacy theorem bindings and duplicates

lemma PairE:  
 obtains  $x\ y$  where  $p = (x, y)$   
 by (fact prod.exhaust)

lemma Pair-inject:  
 assumes  $(a, b) = (a', b')$   
 and  $a = a' ==> b = b' ==> R$   
 shows  $R$   
 using assms by simp

lemmas Pair-eq = prod.inject

lemmas split = split-conv — for backwards compatibility

end

# 12 Sum-Type: The Disjoint Sum of Two Types

theory Sum-Type

**imports** *Typedef Inductive Fun*  
**begin**

## 12.1 Construction of the sum type and its basic abstract operations

**definition** *Inl-Rep* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  bool  $\Rightarrow$  bool **where**  
*Inl-Rep* a x y p  $\longleftrightarrow$  x = a  $\wedge$  p

**definition** *Inr-Rep* :: 'b  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  bool  $\Rightarrow$  bool **where**  
*Inr-Rep* b x y p  $\longleftrightarrow$  y = b  $\wedge$   $\neg$  p

**global**

**typedef** (*Sum*) ('a, 'b) + (**infixr** + 10) = {f. ( $\exists$  a. f = *Inl-Rep* (a::'a))  $\vee$  ( $\exists$  b. f = *Inr-Rep* (b::'b))}  
**by** *auto*

**local**

**lemma** *Inl-RepI*: *Inl-Rep* a  $\in$  *Sum*  
**by** (*auto simp add: Sum-def*)

**lemma** *Inr-RepI*: *Inr-Rep* b  $\in$  *Sum*  
**by** (*auto simp add: Sum-def*)

**lemma** *inj-on-Abs-Sum*:  $A \subseteq \text{Sum} \implies \text{inj-on } \text{Abs-Sum } A$   
**by** (*rule inj-on-inverseI, rule Abs-Sum-inverse*) *auto*

**lemma** *Inl-Rep-inject*: *inj-on Inl-Rep A*  
**proof** (*rule inj-onI*)  
**show**  $\bigwedge a c. \text{Inl-Rep } a = \text{Inl-Rep } c \implies a = c$   
**by** (*auto simp add: Inl-Rep-def expand-fun-eq*)  
**qed**

**lemma** *Inr-Rep-inject*: *inj-on Inr-Rep A*  
**proof** (*rule inj-onI*)  
**show**  $\bigwedge b d. \text{Inr-Rep } b = \text{Inr-Rep } d \implies b = d$   
**by** (*auto simp add: Inr-Rep-def expand-fun-eq*)  
**qed**

**lemma** *Inl-Rep-not-Inr-Rep*: *Inl-Rep* a  $\neq$  *Inr-Rep* b  
**by** (*auto simp add: Inl-Rep-def Inr-Rep-def expand-fun-eq*)

**definition** *Inl* :: 'a  $\Rightarrow$  'a + 'b **where**  
*Inl* = *Abs-Sum*  $\circ$  *Inl-Rep*

**definition** *Inr* :: 'b  $\Rightarrow$  'a + 'b **where**  
*Inr* = *Abs-Sum*  $\circ$  *Inr-Rep*

**lemma** *inj-Inl* [*simp*]: *inj-on Inl A*  
**by** (*auto simp add: Inl-def intro!: comp-inj-on Inl-Rep-inject inj-on-Abs-Sum Inl-RepI*)

**lemma** *Inl-inject*: *Inl x = Inl y  $\implies$  x = y*  
**using** *inj-Inl* **by** (*rule injD*)

**lemma** *inj-Inr* [*simp*]: *inj-on Inr A*  
**by** (*auto simp add: Inr-def intro!: comp-inj-on Inr-Rep-inject inj-on-Abs-Sum Inr-RepI*)

**lemma** *Inr-inject*: *Inr x = Inr y  $\implies$  x = y*  
**using** *inj-Inr* **by** (*rule injD*)

**lemma** *Inl-not-Inr*: *Inl a  $\neq$  Inr b*  
**proof** –  
**from** *Inl-RepI* [*of a*] *Inr-RepI* [*of b*] **have**  $\{Inl\text{-}Rep\ a, Inr\text{-}Rep\ b\} \subseteq Sum$  **by**  
*auto*  
**with** *inj-on-Abs-Sum* **have** *inj-on Abs-Sum*  $\{Inl\text{-}Rep\ a, Inr\text{-}Rep\ b\}$  .  
**with** *Inl-Rep-not-Inr-Rep* [*of a b*] *inj-on-contrad* **have** *Abs-Sum* (*Inl-Rep a*)  $\neq$   
*Abs-Sum* (*Inr-Rep b*) **by** *auto*  
**then show** *?thesis* **by** (*simp add: Inl-def Inr-def*)  
**qed**

**lemma** *Inr-not-Inl*: *Inr b  $\neq$  Inl a*  
**using** *Inl-not-Inr* **by** (*rule not-sym*)

**lemma** *sumE*:  
**assumes**  $\bigwedge x::'a. s = Inl\ x \implies P$   
**and**  $\bigwedge y::'b. s = Inr\ y \implies P$   
**shows** *P*  
**proof** (*rule Abs-Sum-cases* [*of s*])  
**fix** *f*  
**assume** *s = Abs-Sum f* **and** *f  $\in$  Sum*  
**with** *assms* **show** *P* **by** (*auto simp add: Sum-def Inl-def Inr-def*)  
**qed**

**rep-datatype** (*sum*) *Inl Inr*  
**proof** –  
**fix** *P*  
**fix** *s :: 'a + 'b*  
**assume** *x:  $\bigwedge x::'a. P$  (Inl x)* **and** *y:  $\bigwedge y::'b. P$  (Inr y)*  
**then show** *P s* **by** (*auto intro: sumE* [*of s*])  
**qed** (*auto dest: Inl-inject Inr-inject simp add: Inl-not-Inr*)

## 12.2 Projections

**lemma** *sum-case-KK* [*simp*]: *sum-case* ( $\lambda x. a$ ) ( $\lambda x. a$ ) = ( $\lambda x. a$ )  
**by** (*rule ext*) (*simp split: sum.split*)



**lemma** *surjective-sum*:  $\text{sum-case } (\lambda x::'a. f \text{ (Inl } x)) (\lambda y::'b. f \text{ (Inr } y)) = f$

**proof**

fix  $s :: 'a + 'b$

show  $(\text{case } s \text{ of Inl } (x::'a) \Rightarrow f \text{ (Inl } x) \mid \text{Inr } (y::'b) \Rightarrow f \text{ (Inr } y)) = f \text{ } s$

by  $(\text{cases } s) \text{ simp-all}$

**qed**

**lemma** *sum-case-inject*:

assumes  $a$ :  $\text{sum-case } f1 \text{ } f2 = \text{sum-case } g1 \text{ } g2$

assumes  $r$ :  $f1 = g1 \Longrightarrow f2 = g2 \Longrightarrow P$

shows  $P$

**proof**  $(\text{rule } r)$

show  $f1 = g1$  **proof**

fix  $x :: 'a$

from  $a$  have  $\text{sum-case } f1 \text{ } f2 \text{ (Inl } x) = \text{sum-case } g1 \text{ } g2 \text{ (Inl } x)$  **by** *simp*

then show  $f1 \text{ } x = g1 \text{ } x$  **by** *simp*

**qed**

show  $f2 = g2$  **proof**

fix  $y :: 'b$

from  $a$  have  $\text{sum-case } f1 \text{ } f2 \text{ (Inr } y) = \text{sum-case } g1 \text{ } g2 \text{ (Inr } y)$  **by** *simp*

then show  $f2 \text{ } y = g2 \text{ } y$  **by** *simp*

**qed**

**qed**

**lemma** *sum-case-weak-cong*:

$s = t \Longrightarrow \text{sum-case } f \text{ } g \text{ } s = \text{sum-case } f \text{ } g \text{ } t$

— Prevents simplification of  $f$  and  $g$ : much faster.

**by** *simp*

**primrec** *Projl* ::  $'a + 'b \Rightarrow 'a$  **where**

*Projl-Inl*:  $\text{Projl } (\text{Inl } x) = x$

**primrec** *Projr* ::  $'a + 'b \Rightarrow 'b$  **where**

*Projr-Inr*:  $\text{Projr } (\text{Inr } x) = x$

**primrec** *Suml* ::  $('a \Rightarrow 'c) \Rightarrow 'a + 'b \Rightarrow 'c$  **where**

*Suml*  $f \text{ (Inl } x) = f \text{ } x$

**primrec** *Sumr* ::  $('b \Rightarrow 'c) \Rightarrow 'a + 'b \Rightarrow 'c$  **where**

*Sumr*  $f \text{ (Inr } x) = f \text{ } x$

**lemma** *Suml-inject*:

assumes  $\text{Suml } f = \text{Suml } g$  **shows**  $f = g$

**proof**

fix  $x :: 'a$

let  $?s = \text{Inl } x :: 'a + 'b$

from *assms* have  $\text{Suml } f \text{ } ?s = \text{Suml } g \text{ } ?s$  **by** *simp*

then show  $f \text{ } x = g \text{ } x$  **by** *simp*

**qed**

```

lemma Sumr-inject:
  assumes  $\text{Sumr } f = \text{Sumr } g$  shows  $f = g$ 
proof
  fix  $x :: 'b$ 
  let  $?s = \text{Inr } x :: 'a + 'b$ 
  from assms have  $\text{Sumr } f ?s = \text{Sumr } g ?s$  by simp
  then show  $f x = g x$  by simp
qed

```

### 12.3 The Disjoint Sum of Sets

**definition**  $\text{Plus} :: 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow ('a + 'b) \text{ set}$  (**infixr**  $<+>$  65) **where**  
 $A <+> B = \text{Inl } ` A \cup \text{Inr } ` B$

**lemma** *InlI* [*intro!*]:  $a \in A \Longrightarrow \text{Inl } a \in A <+> B$   
**by** (*simp add: Plus-def*)

**lemma** *InrI* [*intro!*]:  $b \in B \Longrightarrow \text{Inr } b \in A <+> B$   
**by** (*simp add: Plus-def*)

Exhaustion rule for sums, a degenerate form of induction

**lemma** *PlusE* [*elim!*]:  
 $u \in A <+> B \Longrightarrow (\bigwedge x. x \in A \Longrightarrow u = \text{Inl } x \Longrightarrow P) \Longrightarrow (\bigwedge y. y \in B \Longrightarrow u = \text{Inr } y \Longrightarrow P) \Longrightarrow P$   
**by** (*auto simp add: Plus-def*)

**lemma** *Plus-eq-empty-conv* [*simp*]:  $A <+> B = \{\} \longleftrightarrow A = \{\} \wedge B = \{\}$   
**by** *auto*

**lemma** *UNIV-Plus-UNIV* [*simp*]:  $\text{UNIV} <+> \text{UNIV} = \text{UNIV}$   
**proof** (*rule set-ext*)  
**fix**  $u :: 'a + 'b$   
**show**  $u \in \text{UNIV} <+> \text{UNIV} \longleftrightarrow u \in \text{UNIV}$  **by** (*cases u*) *auto*  
**qed**

**hide-const** (**open**) *Suml Sumr Projl Projr*

**end**

## 13 Rings: Rings

**theory** *Rings*  
**imports** *Groups*  
**begin**

**class** *semiring* = *ab-semigroup-add* + *semigroup-mult* +  
**assumes** *left-distrib*[*algebra-simps*, *field-simps*]:  $(a + b) * c = a * c + b * c$

**assumes** *right-distrib*[*algebra-simps*, *field-simps*]:  $a * (b + c) = a * b + a * c$   
**begin**

For the *combine-numerals* *simproc*

**lemma** *combine-common-factor*:  
 $a * e + (b * e + c) = (a + b) * e + c$   
**by** (*simp add: left-distrib add-ac*)

**end**

**class** *mult-zero* = *times* + *zero* +  
**assumes** *mult-zero-left* [*simp*]:  $0 * a = 0$   
**assumes** *mult-zero-right* [*simp*]:  $a * 0 = 0$

**class** *semiring-0* = *semiring* + *comm-monoid-add* + *mult-zero*

**class** *semiring-0-cancel* = *semiring* + *cancel-comm-monoid-add*  
**begin**

**subclass** *semiring-0*

**proof**

**fix**  $a :: 'a$   
**have**  $0 * a + 0 * a = 0 * a + 0$  **by** (*simp add: left-distrib [symmetric]*)  
**thus**  $0 * a = 0$  **by** (*simp only: add-left-cancel*)

**next**

**fix**  $a :: 'a$   
**have**  $a * 0 + a * 0 = a * 0 + 0$  **by** (*simp add: right-distrib [symmetric]*)  
**thus**  $a * 0 = 0$  **by** (*simp only: add-left-cancel*)

**qed**

**end**

**class** *comm-semiring* = *ab-semigroup-add* + *ab-semigroup-mult* +  
**assumes** *distrib*:  $(a + b) * c = a * c + b * c$   
**begin**

**subclass** *semiring*

**proof**

**fix**  $a b c :: 'a$   
**show**  $(a + b) * c = a * c + b * c$  **by** (*simp add: distrib*)  
**have**  $a * (b + c) = (b + c) * a$  **by** (*simp add: mult-ac*)  
**also have**  $\dots = b * a + c * a$  **by** (*simp only: distrib*)  
**also have**  $\dots = a * b + a * c$  **by** (*simp add: mult-ac*)  
**finally show**  $a * (b + c) = a * b + a * c$  **by blast**

**qed**

**end**

**class** *comm-semiring-0* = *comm-semiring* + *comm-monoid-add* + *mult-zero*

```

begin

subclass semiring-0 ..

end

class comm-semiring-0-cancel = comm-semiring + cancel-comm-monoid-add
begin

subclass semiring-0-cancel ..

subclass comm-semiring-0 ..

end

class zero-neq-one = zero + one +
  assumes zero-neq-one [simp]: 0 ≠ 1
begin

lemma one-neq-zero [simp]: 1 ≠ 0
by (rule not-sym) (rule zero-neq-one)

end

class semiring-1 = zero-neq-one + semiring-0 + monoid-mult

Abstract divisibility

class dvd = times
begin

definition dvd :: 'a ⇒ 'a ⇒ bool (infixl dvd 50) where
  [code del]: b dvd a ⟷ (∃ k. a = b * k)

lemma dvdI [intro?]: a = b * k ⟹ b dvd a
  unfolding dvd-def ..

lemma dvdE [elim?]: b dvd a ⟹ (∧ k. a = b * k ⟹ P) ⟹ P
  unfolding dvd-def by blast

end

class comm-semiring-1 = zero-neq-one + comm-semiring-0 + comm-monoid-mult
+ dvd

begin

subclass semiring-1 ..

lemma dvd-refl[simp]: a dvd a

```

```

proof
  show  $a = a * 1$  by simp
qed

lemma dvd-trans:
  assumes  $a \text{ dvd } b$  and  $b \text{ dvd } c$ 
  shows  $a \text{ dvd } c$ 
proof –
  from assms obtain  $v$  where  $b = a * v$  by (auto elim!: dvdE)
  moreover from assms obtain  $w$  where  $c = b * w$  by (auto elim!: dvdE)
  ultimately have  $c = a * (v * w)$  by (simp add: mult-assoc)
  then show ?thesis ..
qed

lemma dvd-0-left-iff [no-atp, simp]:  $0 \text{ dvd } a \longleftrightarrow a = 0$ 
by (auto intro: dvd-refl elim!: dvdE)

lemma dvd-0-right [iff]:  $a \text{ dvd } 0$ 
proof
  show  $0 = a * 0$  by simp
qed

lemma one-dvd [simp]:  $1 \text{ dvd } a$ 
by (auto intro!: dvdI)

lemma dvd-mult[simp]:  $a \text{ dvd } c \implies a \text{ dvd } (b * c)$ 
by (auto intro!: mult-left-commute dvdI elim!: dvdE)

lemma dvd-mult2[simp]:  $a \text{ dvd } b \implies a \text{ dvd } (b * c)$ 
  apply (subst mult-commute)
  apply (erule dvd-mult)
  done

lemma dvd-triv-right [simp]:  $a \text{ dvd } b * a$ 
by (rule dvd-mult) (rule dvd-refl)

lemma dvd-triv-left [simp]:  $a \text{ dvd } a * b$ 
by (rule dvd-mult2) (rule dvd-refl)

lemma mult-dvd-mono:
  assumes  $a \text{ dvd } b$ 
  and  $c \text{ dvd } d$ 
  shows  $a * c \text{ dvd } b * d$ 
proof –
  from  $\langle a \text{ dvd } b \rangle$  obtain  $b'$  where  $b = a * b'$  ..
  moreover from  $\langle c \text{ dvd } d \rangle$  obtain  $d'$  where  $d = c * d'$  ..
  ultimately have  $b * d = (a * c) * (b' * d')$  by (simp add: mult-ac)
  then show ?thesis ..
qed

```

**lemma** *dvd-mult-left*:  $a * b \text{ dvd } c \implies a \text{ dvd } c$

**by** (*simp add: dvd-def mult-assoc, blast*)

**lemma** *dvd-mult-right*:  $a * b \text{ dvd } c \implies b \text{ dvd } c$

**unfolding** *mult-ac* [of *a*] **by** (*rule dvd-mult-left*)

**lemma** *dvd-0-left*:  $0 \text{ dvd } a \implies a = 0$

**by** *simp*

**lemma** *dvd-add*[*simp*]:

**assumes**  $a \text{ dvd } b$  **and**  $a \text{ dvd } c$  **shows**  $a \text{ dvd } (b + c)$

**proof** –

**from**  $\langle a \text{ dvd } b \rangle$  **obtain**  $b'$  **where**  $b = a * b'$  ..

**moreover from**  $\langle a \text{ dvd } c \rangle$  **obtain**  $c'$  **where**  $c = a * c'$  ..

**ultimately have**  $b + c = a * (b' + c')$  **by** (*simp add: right-distrib*)

**then show** *?thesis* ..

**qed**

**end**

**class** *no-zero-divisors* = *zero* + *times* +

**assumes** *no-zero-divisors*:  $a \neq 0 \implies b \neq 0 \implies a * b \neq 0$

**begin**

**lemma** *divisors-zero*:

**assumes**  $a * b = 0$

**shows**  $a = 0 \vee b = 0$

**proof** (*rule classical*)

**assume**  $\neg (a = 0 \vee b = 0)$

**then have**  $a \neq 0$  **and**  $b \neq 0$  **by** *auto*

**with** *no-zero-divisors* **have**  $a * b \neq 0$  **by** *blast*

**with** *assms* **show** *?thesis* **by** *simp*

**qed**

**end**

**class** *semiring-1-cancel* = *semiring* + *cancel-comm-monoid-add*

+ *zero-neq-one* + *monoid-mult*

**begin**

**subclass** *semiring-0-cancel* ..

**subclass** *semiring-1* ..

**end**

**class** *comm-semiring-1-cancel* = *comm-semiring* + *cancel-comm-monoid-add*

+ *zero-neq-one* + *comm-monoid-mult*

**begin**

**subclass** *semiring-1-cancel* ..  
**subclass** *comm-semiring-0-cancel* ..  
**subclass** *comm-semiring-1* ..

**end**

**class** *ring* = *semiring* + *ab-group-add*  
**begin**

**subclass** *semiring-0-cancel* ..

Distribution rules

**lemma** *minus-mult-left*:  $-(a * b) = -a * b$   
**by** (*rule minus-unique*) (*simp add: left-distrib [symmetric]*)

**lemma** *minus-mult-right*:  $-(a * b) = a * -b$   
**by** (*rule minus-unique*) (*simp add: right-distrib [symmetric]*)

Extract signs from products

**lemmas** *mult-minus-left* [*simp, no-atp*] = *minus-mult-left* [*symmetric*]  
**lemmas** *mult-minus-right* [*simp, no-atp*] = *minus-mult-right* [*symmetric*]

**lemma** *minus-mult-minus* [*simp*]:  $-a * -b = a * b$   
**by** *simp*

**lemma** *minus-mult-commute*:  $-a * b = a * -b$   
**by** *simp*

**lemma** *right-diff-distrib*[*algebra-simps, field-simps*]:  $a * (b - c) = a * b - a * c$   
**by** (*simp add: right-distrib diff-minus*)

**lemma** *left-diff-distrib*[*algebra-simps, field-simps*]:  $(a - b) * c = a * c - b * c$   
**by** (*simp add: left-distrib diff-minus*)

**lemmas** *ring-distrib*[*no-atp*] =  
*right-distrib left-distrib left-diff-distrib right-diff-distrib*

**lemma** *eq-add-iff1*:  
 $a * e + c = b * e + d \iff (a - b) * e + c = d$   
**by** (*simp add: algebra-simps*)

**lemma** *eq-add-iff2*:  
 $a * e + c = b * e + d \iff c = (b - a) * e + d$   
**by** (*simp add: algebra-simps*)

**end**

```

lemmas ring-distrib[no-atp] =
  right-distrib left-distrib left-diff-distrib right-diff-distrib

class comm-ring = comm-semiring + ab-group-add
begin

subclass ring ..
subclass comm-semiring-0-cancel ..

end

class ring-1 = ring + zero-neq-one + monoid-mult
begin

subclass semiring-1-cancel ..

end

class comm-ring-1 = comm-ring + zero-neq-one + comm-monoid-mult

begin

subclass ring-1 ..
subclass comm-semiring-1-cancel ..

lemma dvd-minus-iff [simp]:  $x \text{ dvd } - y \longleftrightarrow x \text{ dvd } y$ 
proof
  assume  $x \text{ dvd } - y$ 
  then have  $x \text{ dvd } - 1 * - y$  by (rule dvd-mult)
  then show  $x \text{ dvd } y$  by simp
next
  assume  $x \text{ dvd } y$ 
  then have  $x \text{ dvd } - 1 * y$  by (rule dvd-mult)
  then show  $x \text{ dvd } - y$  by simp
qed

lemma minus-dvd-iff [simp]:  $- x \text{ dvd } y \longleftrightarrow x \text{ dvd } y$ 
proof
  assume  $- x \text{ dvd } y$ 
  then obtain  $k$  where  $y = - x * k$  ..
  then have  $y = x * - k$  by simp
  then show  $x \text{ dvd } y$  ..
next
  assume  $x \text{ dvd } y$ 
  then obtain  $k$  where  $y = x * k$  ..
  then have  $y = - x * - k$  by simp
  then show  $- x \text{ dvd } y$  ..
qed

```



**lemma** *dvd-diff* [*simp*]:  $x \text{ dvd } y \implies x \text{ dvd } z \implies x \text{ dvd } (y - z)$   
**by** (*simp only: diff-minus dvd-add dvd-minus-iff*)

**end**

**class** *ring-no-zero-divisors* = *ring* + *no-zero-divisors*  
**begin**

**lemma** *mult-eq-0-iff* [*simp*]:  
**shows**  $a * b = 0 \iff (a = 0 \vee b = 0)$   
**proof** (*cases a = 0 ∨ b = 0*)  
**case** *False* **then have**  $a \neq 0$  **and**  $b \neq 0$  **by** *auto*  
**then show** *?thesis* **using** *no-zero-divisors* **by** *simp*  
**next**  
**case** *True* **then show** *?thesis* **by** *auto*  
**qed**

Cancellation of equalities with a common factor

**lemma** *mult-cancel-right* [*simp, no-atp*]:  
 $a * c = b * c \iff c = 0 \vee a = b$   
**proof** –  
**have**  $(a * c = b * c) = ((a - b) * c = 0)$   
**by** (*simp add: algebra-simps*)  
**thus** *?thesis* **by** (*simp add: disj-commute*)  
**qed**

**lemma** *mult-cancel-left* [*simp, no-atp*]:  
 $c * a = c * b \iff c = 0 \vee a = b$   
**proof** –  
**have**  $(c * a = c * b) = (c * (a - b) = 0)$   
**by** (*simp add: algebra-simps*)  
**thus** *?thesis* **by** *simp*  
**qed**

**end**

**class** *ring-1-no-zero-divisors* = *ring-1* + *ring-no-zero-divisors*  
**begin**

**lemma** *square-eq-1-iff*:  
 $x * x = 1 \iff x = 1 \vee x = -1$   
**proof** –  
**have**  $(x - 1) * (x + 1) = x * x - 1$   
**by** (*simp add: algebra-simps*)  
**hence**  $x * x = 1 \iff (x - 1) * (x + 1) = 0$   
**by** *simp*  
**thus** *?thesis*  
**by** (*simp add: eq-neg-iff-add-eq-0*)  
**qed**

```

lemma mult-cancel-right1 [simp]:
   $c = b * c \longleftrightarrow c = 0 \vee b = 1$ 
by (insert mult-cancel-right [of 1 c b], force)

lemma mult-cancel-right2 [simp]:
   $a * c = c \longleftrightarrow c = 0 \vee a = 1$ 
by (insert mult-cancel-right [of a c 1], simp)

lemma mult-cancel-left1 [simp]:
   $c = c * b \longleftrightarrow c = 0 \vee b = 1$ 
by (insert mult-cancel-left [of c 1 b], force)

lemma mult-cancel-left2 [simp]:
   $c * a = c \longleftrightarrow c = 0 \vee a = 1$ 
by (insert mult-cancel-left [of c a 1], simp)

end

class idom = comm-ring-1 + no-zero-divisors
begin

subclass ring-1-no-zero-divisors ..

lemma square-eq-iff:  $a * a = b * b \longleftrightarrow (a = b \vee a = - b)$ 
proof
  assume  $a * a = b * b$ 
  then have  $(a - b) * (a + b) = 0$ 
    by (simp add: algebra-simps)
  then show  $a = b \vee a = - b$ 
    by (simp add: eq-neg-iff-add-eq-0)
next
  assume  $a = b \vee a = - b$ 
  then show  $a * a = b * b$  by auto
qed

lemma dvd-mult-cancel-right [simp]:
   $a * c \text{ dvd } b * c \longleftrightarrow c = 0 \vee a \text{ dvd } b$ 
proof –
  have  $a * c \text{ dvd } b * c \longleftrightarrow (\exists k. b * c = (a * k) * c)$ 
    unfolding dvd-def by (simp add: mult-ac)
  also have  $(\exists k. b * c = (a * k) * c) \longleftrightarrow c = 0 \vee a \text{ dvd } b$ 
    unfolding dvd-def by simp
  finally show ?thesis .
qed

lemma dvd-mult-cancel-left [simp]:
   $c * a \text{ dvd } c * b \longleftrightarrow c = 0 \vee a \text{ dvd } b$ 
proof –

```

```

have  $c * a \text{ dvd } c * b \longleftrightarrow (\exists k. b * c = (a * k) * c)$ 
  unfolding dvd-def by (simp add: mult-ac)
also have  $(\exists k. b * c = (a * k) * c) \longleftrightarrow c = 0 \vee a \text{ dvd } b$ 
  unfolding dvd-def by simp
finally show ?thesis .
qed

end

class inverse =
  fixes inverse :: 'a  $\Rightarrow$  'a
  and divide :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl '/' 70)

class division-ring = ring-1 + inverse +
  assumes left-inverse [simp]:  $a \neq 0 \implies \text{inverse } a * a = 1$ 
  assumes right-inverse [simp]:  $a \neq 0 \implies a * \text{inverse } a = 1$ 
  assumes divide-inverse:  $a / b = a * \text{inverse } b$ 
begin

subclass ring-1-no-zero-divisors
proof
  fix a b :: 'a
  assume a:  $a \neq 0$  and b:  $b \neq 0$ 
  show  $a * b \neq 0$ 
  proof
    assume ab:  $a * b = 0$ 
    hence  $0 = \text{inverse } a * (a * b) * \text{inverse } b$  by simp
    also have  $\dots = (\text{inverse } a * a) * (b * \text{inverse } b)$ 
      by (simp only: mult-assoc)
    also have  $\dots = 1$  using a b by simp
    finally show False by simp
  qed
qed

lemma nonzero-imp-inverse-nonzero:
   $a \neq 0 \implies \text{inverse } a \neq 0$ 
proof
  assume ianz:  $\text{inverse } a = 0$ 
  assume a  $\neq 0$ 
  hence  $1 = a * \text{inverse } a$  by simp
  also have  $\dots = 0$  by (simp add: ianz)
  finally have  $1 = 0$  .
  thus False by (simp add: eq-commute)
qed

lemma inverse-zero-imp-zero:
   $\text{inverse } a = 0 \implies a = 0$ 
apply (rule classical)
apply (drule nonzero-imp-inverse-nonzero)

```

**apply** *auto*  
**done**

**lemma** *inverse-unique*:  
**assumes** *ab*:  $a * b = 1$   
**shows**  $\text{inverse } a = b$   
**proof** –  
**have**  $a \neq 0$  **using** *ab* **by** (*cases*  $a = 0$ ) *simp-all*  
**moreover** **have**  $\text{inverse } a * (a * b) = \text{inverse } a$  **by** (*simp add: ab*)  
**ultimately show** *?thesis* **by** (*simp add: mult-assoc [symmetric]*)  
**qed**

**lemma** *nonzero-inverse-minus-eq*:  
 $a \neq 0 \implies \text{inverse } (-a) = - \text{inverse } a$   
**by** (*rule inverse-unique*) *simp*

**lemma** *nonzero-inverse-inverse-eq*:  
 $a \neq 0 \implies \text{inverse } (\text{inverse } a) = a$   
**by** (*rule inverse-unique*) *simp*

**lemma** *nonzero-inverse-eq-imp-eq*:  
**assumes**  $\text{inverse } a = \text{inverse } b$  **and**  $a \neq 0$  **and**  $b \neq 0$   
**shows**  $a = b$   
**proof** –  
**from**  $\langle \text{inverse } a = \text{inverse } b \rangle$   
**have**  $\text{inverse } (\text{inverse } a) = \text{inverse } (\text{inverse } b)$  **by** (*rule arg-cong*)  
**with**  $\langle a \neq 0 \rangle$  **and**  $\langle b \neq 0 \rangle$  **show**  $a = b$   
**by** (*simp add: nonzero-inverse-inverse-eq*)  
**qed**

**lemma** *inverse-1* [*simp*]:  $\text{inverse } 1 = 1$   
**by** (*rule inverse-unique*) *simp*

**lemma** *nonzero-inverse-mult-distrib*:  
**assumes**  $a \neq 0$  **and**  $b \neq 0$   
**shows**  $\text{inverse } (a * b) = \text{inverse } b * \text{inverse } a$   
**proof** –  
**have**  $a * (b * \text{inverse } b) * \text{inverse } a = 1$  **using** *assms* **by** *simp*  
**hence**  $a * b * (\text{inverse } b * \text{inverse } a) = 1$  **by** (*simp only: mult-assoc*)  
**thus** *?thesis* **by** (*rule inverse-unique*)  
**qed**

**lemma** *division-ring-inverse-add*:  
 $a \neq 0 \implies b \neq 0 \implies \text{inverse } a + \text{inverse } b = \text{inverse } a * (a + b) * \text{inverse } b$   
**by** (*simp add: algebra-simps*)

**lemma** *division-ring-inverse-diff*:  
 $a \neq 0 \implies b \neq 0 \implies \text{inverse } a - \text{inverse } b = \text{inverse } a * (b - a) * \text{inverse } b$   
**by** (*simp add: algebra-simps*)

**lemma** *right-inverse-eq*:  $b \neq 0 \implies a / b = 1 \iff a = b$

**proof**

**assume** *neq*:  $b \neq 0$   
  {  
    **hence**  $a = (a / b) * b$  **by** (*simp add: divide-inverse mult-assoc*)  
    **also assume**  $a / b = 1$   
    **finally show**  $a = b$  **by** *simp*  
  **next**  
    **assume**  $a = b$   
    **with neq show**  $a / b = 1$  **by** (*simp add: divide-inverse*)  
  }  
**qed**

**lemma** *nonzero-inverse-eq-divide*:  $a \neq 0 \implies \text{inverse } a = 1 / a$   
**by** (*simp add: divide-inverse*)

**lemma** *divide-self* [*simp*]:  $a \neq 0 \implies a / a = 1$   
**by** (*simp add: divide-inverse*)

**lemma** *divide-zero-left* [*simp*]:  $0 / a = 0$   
**by** (*simp add: divide-inverse*)

**lemma** *inverse-eq-divide*:  $\text{inverse } a = 1 / a$   
**by** (*simp add: divide-inverse*)

**lemma** *add-divide-distrib*:  $(a+b) / c = a/c + b/c$   
**by** (*simp add: divide-inverse algebra-simps*)

**lemma** *divide-1* [*simp*]:  $a / 1 = a$   
**by** (*simp add: divide-inverse*)

**lemma** *times-divide-eq-right* [*simp*]:  $a * (b / c) = (a * b) / c$   
**by** (*simp add: divide-inverse mult-assoc*)

**lemma** *minus-divide-left*:  $-(a / b) = (-a) / b$   
**by** (*simp add: divide-inverse*)

**lemma** *nonzero-minus-divide-right*:  $b \neq 0 \implies -(a / b) = a / (-b)$   
**by** (*simp add: divide-inverse nonzero-inverse-minus-eq*)

**lemma** *nonzero-minus-divide-divide*:  $b \neq 0 \implies (-a) / (-b) = a / b$   
**by** (*simp add: divide-inverse nonzero-inverse-minus-eq*)

**lemma** *divide-minus-left* [*simp, no-atp*]:  $(-a) / b = -(a / b)$   
**by** (*simp add: divide-inverse*)

**lemma** *diff-divide-distrib*:  $(a - b) / c = a / c - b / c$   
**by** (*simp add: diff-minus add-divide-distrib*)

**lemma** *nonzero-eq-divide-eq* [*field-simps*]:  $c \neq 0 \implies a = b / c \longleftrightarrow a * c = b$

**proof** –

**assume** [*simp*]:  $c \neq 0$

**have**  $a = b / c \longleftrightarrow a * c = (b / c) * c$  **by** *simp*

**also have**  $\dots \longleftrightarrow a * c = b$  **by** (*simp add: divide-inverse mult-assoc*)

**finally show** *?thesis* .

**qed**

**lemma** *nonzero-divide-eq-eq* [*field-simps*]:  $c \neq 0 \implies b / c = a \longleftrightarrow b = a * c$

**proof** –

**assume** [*simp*]:  $c \neq 0$

**have**  $b / c = a \longleftrightarrow (b / c) * c = a * c$  **by** *simp*

**also have**  $\dots \longleftrightarrow b = a * c$  **by** (*simp add: divide-inverse mult-assoc*)

**finally show** *?thesis* .

**qed**

**lemma** *divide-eq-imp*:  $c \neq 0 \implies b = a * c \implies b / c = a$

**by** (*simp add: divide-inverse mult-assoc*)

**lemma** *eq-divide-imp*:  $c \neq 0 \implies a * c = b \implies a = b / c$

**by** (*drule sym*) (*simp add: divide-inverse mult-assoc*)

**end**

**class** *division-ring-inverse-zero* = *division-ring* +

**assumes** *inverse-zero* [*simp*]:  $\text{inverse } 0 = 0$

**begin**

**lemma** *divide-zero* [*simp*]:

$a / 0 = 0$

**by** (*simp add: divide-inverse*)

**lemma** *divide-self-if* [*simp*]:

$a / a = (\text{if } a = 0 \text{ then } 0 \text{ else } 1)$

**by** *simp*

**lemma** *inverse-nonzero-iff-nonzero* [*simp*]:

$\text{inverse } a = 0 \longleftrightarrow a = 0$

**by** *rule* (*fact inverse-zero-imp-zero, simp*)

**lemma** *inverse-minus-eq* [*simp*]:

$\text{inverse } (- a) = - \text{inverse } a$

**proof** *cases*

**assume**  $a=0$  **thus** *?thesis* **by** *simp*

**next**

**assume**  $a \neq 0$

**thus** *?thesis* **by** (*simp add: nonzero-inverse-minus-eq*)

**qed**

```

lemma inverse-eq-imp-eq:
  inverse a = inverse b  $\implies$  a = b
apply (cases a=0 | b=0)
  apply (force dest!: inverse-zero-imp-zero
    simp add: eq-commute [of 0::'a])
apply (force dest!: nonzero-inverse-eq-imp-eq)
done

lemma inverse-eq-iff-eq [simp]:
  inverse a = inverse b  $\iff$  a = b
  by (force dest!: inverse-eq-imp-eq)

lemma inverse-inverse-eq [simp]:
  inverse (inverse a) = a
proof cases
  assume a=0 thus ?thesis by simp
next
  assume a $\neq$ 0
  thus ?thesis by (simp add: nonzero-inverse-inverse-eq)
qed

end

class mult-mono = times + zero + ord +
  assumes mult-left-mono: a  $\leq$  b  $\implies$  0  $\leq$  c  $\implies$  c * a  $\leq$  c * b
  assumes mult-right-mono: a  $\leq$  b  $\implies$  0  $\leq$  c  $\implies$  a * c  $\leq$  b * c

```

The theory of partially ordered rings is taken from the books:

- *Lattice Theory* by Garret Birkhoff, American Mathematical Society 1979
- *Partially Ordered Algebraic Systems*, Pergamon Press 1963

Most of the used notions can also be looked up in

- <http://www.mathworld.com> by Eric Weisstein et. al.
- *Algebra I* by van der Waerden, Springer.

```

class ordered-semiring = mult-mono + semiring-0 + ordered-ab-semigroup-add
begin

lemma mult-mono:
  a  $\leq$  b  $\implies$  c  $\leq$  d  $\implies$  0  $\leq$  b  $\implies$  0  $\leq$  c
   $\implies$  a * c  $\leq$  b * d
apply (erule mult-right-mono [THEN order-trans], assumption)
apply (erule mult-left-mono, assumption)
done

```

```

lemma mult-mono':
   $a \leq b \implies c \leq d \implies 0 \leq a \implies 0 \leq c$ 
   $\implies a * c \leq b * d$ 
apply (rule mult-mono)
apply (fast intro: order-trans) +
done

end

class ordered-cancel-semiring = mult-mono + ordered-ab-semigroup-add
  + semiring + cancel-comm-monoid-add
begin

subclass semiring-0-cancel ..
subclass ordered-semiring ..

lemma mult-nonneg-nonneg:  $0 \leq a \implies 0 \leq b \implies 0 \leq a * b$ 
using mult-left-mono [of 0 b a] by simp

lemma mult-nonneg-nonpos:  $0 \leq a \implies b \leq 0 \implies a * b \leq 0$ 
using mult-left-mono [of b 0 a] by simp

lemma mult-nonpos-nonneg:  $a \leq 0 \implies 0 \leq b \implies a * b \leq 0$ 
using mult-right-mono [of a 0 b] by simp

Legacy - use mult-nonpos-nonneg

lemma mult-nonneg-nonpos2:  $0 \leq a \implies b \leq 0 \implies b * a \leq 0$ 
by (drule mult-right-mono [of b 0], auto)

lemma split-mult-neg-le:  $(0 \leq a \ \& \ b \leq 0) \mid (a \leq 0 \ \& \ 0 \leq b) \implies a * b \leq 0$ 
by (auto simp add: mult-nonneg-nonpos mult-nonneg-nonpos2)

end

class linordered-semiring = semiring + comm-monoid-add + linordered-cancel-ab-semigroup-add
  + mult-mono
begin

subclass ordered-cancel-semiring ..

subclass ordered-comm-monoid-add ..

lemma mult-left-less-imp-less:
   $c * a < c * b \implies 0 \leq c \implies a < b$ 
by (force simp add: mult-left-mono not-le [symmetric])

lemma mult-right-less-imp-less:
   $a * c < b * c \implies 0 \leq c \implies a < b$ 

```



**by** (*force simp add: mult-right-mono not-le [symmetric]*)

**end**

**class** *linordered-semiring-1* = *linordered-semiring* + *semiring-1*  
**begin**

**lemma** *convex-bound-le*:

**assumes**  $x \leq a$   $y \leq a$   $0 \leq u$   $0 \leq v$   $u + v = 1$

**shows**  $u * x + v * y \leq a$

**proof**–

**from** *assms* **have**  $u * x + v * y \leq u * a + v * a$

**by** (*simp add: add-mono mult-left-mono*)

**thus** *?thesis* **using** *assms* **unfolding** *left-distrib[symmetric]* **by** *simp*

**qed**

**end**

**class** *linordered-semiring-strict* = *semiring* + *comm-monoid-add* + *linordered-cancel-ab-semigroup-add*  
 +

**assumes** *mult-strict-left-mono*:  $a < b \implies 0 < c \implies c * a < c * b$

**assumes** *mult-strict-right-mono*:  $a < b \implies 0 < c \implies a * c < b * c$

**begin**

**subclass** *semiring-0-cancel* ..

**subclass** *linordered-semiring*

**proof**

**fix**  $a\ b\ c :: 'a$

**assume** *A*:  $a \leq b$   $0 \leq c$

**from** *A* **show**  $c * a \leq c * b$

**unfolding** *le-less*

**using** *mult-strict-left-mono* **by** (*cases c = 0*) *auto*

**from** *A* **show**  $a * c \leq b * c$

**unfolding** *le-less*

**using** *mult-strict-right-mono* **by** (*cases c = 0*) *auto*

**qed**

**lemma** *mult-left-le-imp-le*:

$c * a \leq c * b \implies 0 < c \implies a \leq b$

**by** (*force simp add: mult-strict-left-mono -not-less [symmetric]*)

**lemma** *mult-right-le-imp-le*:

$a * c \leq b * c \implies 0 < c \implies a \leq b$

**by** (*force simp add: mult-strict-right-mono not-less [symmetric]*)

**lemma** *mult-pos-pos*:  $0 < a \implies 0 < b \implies 0 < a * b$

**using** *mult-strict-left-mono* [*of 0 b a*] **by** *simp*

**lemma** *mult-pos-neg*:  $0 < a \implies b < 0 \implies a * b < 0$   
**using** *mult-strict-left-mono* [of  $b \ 0 \ a$ ] **by** *simp*

**lemma** *mult-neg-pos*:  $a < 0 \implies 0 < b \implies a * b < 0$   
**using** *mult-strict-right-mono* [of  $a \ 0 \ b$ ] **by** *simp*

Legacy - use *mult-neg-pos*

**lemma** *mult-pos-neg2*:  $0 < a \implies b < 0 \implies b * a < 0$   
**by** (*drule mult-strict-right-mono* [of  $b \ 0$ ], *auto*)

**lemma** *zero-less-mult-pos*:  
 $0 < a * b \implies 0 < a \implies 0 < b$   
**apply** (*cases*  $b \leq 0$ )  
**apply** (*auto simp add: le-less not-less*)  
**apply** (*drule-tac mult-pos-neg* [of  $a \ b$ ])  
**apply** (*auto dest: less-not-sym*)  
**done**

**lemma** *zero-less-mult-pos2*:  
 $0 < b * a \implies 0 < a \implies 0 < b$   
**apply** (*cases*  $b \leq 0$ )  
**apply** (*auto simp add: le-less not-less*)  
**apply** (*drule-tac mult-pos-neg2* [of  $a \ b$ ])  
**apply** (*auto dest: less-not-sym*)  
**done**

Strict monotonicity in both arguments

**lemma** *mult-strict-mono*:  
**assumes**  $a < b$  **and**  $c < d$  **and**  $0 < b$  **and**  $0 \leq c$   
**shows**  $a * c < b * d$   
**using** *assms* **apply** (*cases*  $c = 0$ )  
**apply** (*simp add: mult-pos-pos*)  
**apply** (*erule mult-strict-right-mono* [THEN *less-trans*])  
**apply** (*force simp add: le-less*)  
**apply** (*erule mult-strict-left-mono, assumption*)  
**done**

This weaker variant has more natural premises

**lemma** *mult-strict-mono'*:  
**assumes**  $a < b$  **and**  $c < d$  **and**  $0 \leq a$  **and**  $0 \leq c$   
**shows**  $a * c < b * d$   
**by** (*rule mult-strict-mono*) (*insert assms, auto*)

**lemma** *mult-less-le-imp-less*:  
**assumes**  $a < b$  **and**  $c \leq d$  **and**  $0 \leq a$  **and**  $0 < c$   
**shows**  $a * c < b * d$   
**using** *assms* **apply** (*subgoal-tac*  $a * c < b * c$ )  
**apply** (*erule less-le-trans*)  
**apply** (*erule mult-left-mono*)

```

apply simp
apply (erule mult-strict-right-mono)
apply assumption
done

lemma mult-le-less-imp-less:
  assumes  $a \leq b$  and  $c < d$  and  $0 < a$  and  $0 \leq c$ 
  shows  $a * c < b * d$ 
  using assms apply (subgoal-tac  $a * c \leq b * c$ )
  apply (erule le-less-trans)
  apply (erule mult-strict-left-mono)
  apply simp
  apply (erule mult-right-mono)
  apply simp
  done

lemma mult-less-imp-less-left:
  assumes less:  $c * a < c * b$  and nonneg:  $0 \leq c$ 
  shows  $a < b$ 
proof (rule ccontr)
  assume  $\neg a < b$ 
  hence  $b \leq a$  by (simp add: linorder-not-less)
  hence  $c * b \leq c * a$  using nonneg by (rule mult-left-mono)
  with this and less show False by (simp add: not-less [symmetric])
qed

lemma mult-less-imp-less-right:
  assumes less:  $a * c < b * c$  and nonneg:  $0 \leq c$ 
  shows  $a < b$ 
proof (rule ccontr)
  assume  $\neg a < b$ 
  hence  $b \leq a$  by (simp add: linorder-not-less)
  hence  $b * c \leq a * c$  using nonneg by (rule mult-right-mono)
  with this and less show False by (simp add: not-less [symmetric])
qed

end

class linordered-semiring-1-strict = linordered-semiring-strict + semiring-1
begin

subclass linordered-semiring-1 ..

lemma convex-bound-lt:
  assumes  $x < a$   $y < a$   $0 \leq u$   $0 \leq v$   $u + v = 1$ 
  shows  $u * x + v * y < a$ 
proof –
  from assms have  $u * x + v * y < u * a + v * a$ 
  by (cases  $u = 0$ )

```

```

      (auto intro!: add-less-le-mono mult-strict-left-mono mult-left-mono)
      thus ?thesis using assms unfolding left-distrib[symmetric] by simp
    qed

  end

  class mult-mono1 = times + zero + ord +
    assumes mult-mono1:  $a \leq b \implies 0 \leq c \implies c * a \leq c * b$ 

  class ordered-comm-semiring = comm-semiring-0
    + ordered-ab-semigroup-add + mult-mono1
  begin

  subclass ordered-semiring
  proof
    fix a b c :: 'a
    assume  $a \leq b$   $0 \leq c$ 
    thus  $c * a \leq c * b$  by (rule mult-mono1)
    thus  $a * c \leq b * c$  by (simp only: mult-commute)
  qed

  end

  class ordered-cancel-comm-semiring = comm-semiring-0-cancel
    + ordered-ab-semigroup-add + mult-mono1
  begin

  subclass ordered-comm-semiring ..
  subclass ordered-cancel-semiring ..

  end

  class linordered-comm-semiring-strict = comm-semiring-0 + linordered-cancel-ab-semigroup-add
    +
    assumes mult-strict-left-mono-comm:  $a < b \implies 0 < c \implies c * a < c * b$ 
  begin

  subclass linordered-semiring-strict
  proof
    fix a b c :: 'a
    assume  $a < b$   $0 < c$ 
    thus  $c * a < c * b$  by (rule mult-strict-left-mono-comm)
    thus  $a * c < b * c$  by (simp only: mult-commute)
  qed

  subclass ordered-cancel-comm-semiring
  proof
    fix a b c :: 'a
    assume  $a \leq b$   $0 \leq c$ 

```

```

    thus  $c * a \leq c * b$ 
      unfolding le-less
      using mult-strict-left-mono by (cases  $c = 0$ ) auto
qed

end

class ordered-ring = ring + ordered-cancel-semiring
begin

subclass ordered-ab-group-add ..

lemma less-add-iff1:
   $a * e + c < b * e + d \iff (a - b) * e + c < d$ 
by (simp add: algebra-simps)

lemma less-add-iff2:
   $a * e + c < b * e + d \iff c < (b - a) * e + d$ 
by (simp add: algebra-simps)

lemma le-add-iff1:
   $a * e + c \leq b * e + d \iff (a - b) * e + c \leq d$ 
by (simp add: algebra-simps)

lemma le-add-iff2:
   $a * e + c \leq b * e + d \iff c \leq (b - a) * e + d$ 
by (simp add: algebra-simps)

lemma mult-left-mono-neg:
   $b \leq a \implies c \leq 0 \implies c * a \leq c * b$ 
  apply (drule mult-left-mono [of - - - c])
  apply simp-all
  done

lemma mult-right-mono-neg:
   $b \leq a \implies c \leq 0 \implies a * c \leq b * c$ 
  apply (drule mult-right-mono [of - - - c])
  apply simp-all
  done

lemma mult-nonpos-nonpos:  $a \leq 0 \implies b \leq 0 \implies 0 \leq a * b$ 
using mult-right-mono-neg [of  $a$   $0$   $b$ ] by simp

lemma split-mult-pos-le:
   $(0 \leq a \wedge 0 \leq b) \vee (a \leq 0 \wedge b \leq 0) \implies 0 \leq a * b$ 
by (auto simp add: mult-nonneg-nonneg mult-nonpos-nonpos)

end

```

```

class linordered-ring = ring + linordered-semiring + linordered-ab-group-add +
abs-if
begin

subclass ordered-ring ..

subclass ordered-ab-group-add-abs
proof
  fix a b
  show  $|a + b| \leq |a| + |b|$ 
    by (auto simp add: abs-if not-less)
    (auto simp del: minus-add-distrib simp add: minus-add-distrib [symmetric],
      auto intro!: less-imp-le add-neg-neg)
qed (auto simp add: abs-if)

lemma zero-le-square [simp]:  $0 \leq a * a$ 
  using linear [of 0 a]
  by (auto simp add: mult-nonneg-nonneg mult-nonpos-nonpos)

lemma not-square-less-zero [simp]:  $\neg (a * a < 0)$ 
  by (simp add: not-less)

end

class linordered-ring-strict = ring + linordered-semiring-strict
+ ordered-ab-group-add + abs-if
begin

subclass linordered-ring ..

lemma mult-strict-left-mono-neg:  $b < a \implies c < 0 \implies c * a < c * b$ 
using mult-strict-left-mono [of b a - c] by simp

lemma mult-strict-right-mono-neg:  $b < a \implies c < 0 \implies a * c < b * c$ 
using mult-strict-right-mono [of b a - c] by simp

lemma mult-neg-neg:  $a < 0 \implies b < 0 \implies 0 < a * b$ 
using mult-strict-right-mono-neg [of a 0 b] by simp

subclass ring-no-zero-divisors
proof
  fix a b
  assume  $a \neq 0$  then have  $A: a < 0 \vee 0 < a$  by (simp add: neq-iff)
  assume  $b \neq 0$  then have  $B: b < 0 \vee 0 < b$  by (simp add: neq-iff)
  have  $a * b < 0 \vee 0 < a * b$ 
  proof (cases  $a < 0$ )
    case True note  $A' = this$ 
    show ?thesis proof (cases  $b < 0$ )

```

```

    case True with A'
    show ?thesis by (auto dest: mult-neg-neg)
next
    case False with B have 0 < b by auto
    with A' show ?thesis by (auto dest: mult-strict-right-mono)
qed
next
    case False with A have A': 0 < a by auto
    show ?thesis proof (cases b < 0)
      case True with A'
      show ?thesis by (auto dest: mult-strict-right-mono-neg)
    next
      case False with B have 0 < b by auto
      with A' show ?thesis by (auto dest: mult-pos-pos)
    qed
  qed
then show a * b ≠ 0 by (simp add: neq-iff)
qed

lemma zero-less-mult-iff:
  0 < a * b ⟷ 0 < a ∧ 0 < b ∨ a < 0 ∧ b < 0
  apply (auto simp add: mult-pos-pos mult-neg-neg)
  apply (simp-all add: not-less le-less)
  apply (erule disjE) apply assumption defer
  apply (erule disjE) defer apply (drule sym) apply simp
  apply (erule disjE) defer apply (drule sym) apply simp
  apply (erule disjE) apply assumption apply (drule sym) apply simp
  apply (drule sym) apply simp
  apply (blast dest: zero-less-mult-pos)
  apply (blast dest: zero-less-mult-pos2)
done

lemma zero-le-mult-iff:
  0 ≤ a * b ⟷ 0 ≤ a ∧ 0 ≤ b ∨ a ≤ 0 ∧ b ≤ 0
  by (auto simp add: eq-commute [of 0] le-less not-less zero-less-mult-iff)

lemma mult-less-0-iff:
  a * b < 0 ⟷ 0 < a ∧ b < 0 ∨ a < 0 ∧ 0 < b
  apply (insert zero-less-mult-iff [of -a b])
  apply force
done

lemma mult-le-0-iff:
  a * b ≤ 0 ⟷ 0 ≤ a ∧ b ≤ 0 ∨ a ≤ 0 ∧ 0 ≤ b
  apply (insert zero-le-mult-iff [of -a b])
  apply force
done

```

Cancellation laws for  $c * a < c * b$  and  $a * c < b * c$ , also with the relations

$\leq$  and equality.

These “disjunction” versions produce two cases when the comparison is an assumption, but effectively four when the comparison is a goal.

**lemma** *mult-less-cancel-right-disj*:  
 $a * c < b * c \longleftrightarrow 0 < c \wedge a < b \vee c < 0 \wedge b < a$   
**apply** (cases  $c = 0$ )  
**apply** (auto simp add: neq-iff mult-strict-right-mono  
mult-strict-right-mono-neg)  
**apply** (auto simp add: not-less  
not-le [symmetric, of  $a*c$ ]  
not-le [symmetric, of  $a$ ])  
**apply** (erule-tac [!] notE)  
**apply** (auto simp add: less-imp-le mult-right-mono  
mult-right-mono-neg)  
**done**

**lemma** *mult-less-cancel-left-disj*:  
 $c * a < c * b \longleftrightarrow 0 < c \wedge a < b \vee c < 0 \wedge b < a$   
**apply** (cases  $c = 0$ )  
**apply** (auto simp add: neq-iff mult-strict-left-mono  
mult-strict-left-mono-neg)  
**apply** (auto simp add: not-less  
not-le [symmetric, of  $c*a$ ]  
not-le [symmetric, of  $a$ ])  
**apply** (erule-tac [!] notE)  
**apply** (auto simp add: less-imp-le mult-left-mono  
mult-left-mono-neg)  
**done**

The “conjunction of implication” lemmas produce two cases when the comparison is a goal, but give four when the comparison is an assumption.

**lemma** *mult-less-cancel-right*:  
 $a * c < b * c \longleftrightarrow (0 \leq c \longrightarrow a < b) \wedge (c \leq 0 \longrightarrow b < a)$   
**using** *mult-less-cancel-right-disj* [of  $a\ c\ b$ ] **by** auto

**lemma** *mult-less-cancel-left*:  
 $c * a < c * b \longleftrightarrow (0 \leq c \longrightarrow a < b) \wedge (c \leq 0 \longrightarrow b < a)$   
**using** *mult-less-cancel-left-disj* [of  $c\ a\ b$ ] **by** auto

**lemma** *mult-le-cancel-right*:  
 $a * c \leq b * c \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$   
**by** (simp add: not-less [symmetric] *mult-less-cancel-right-disj*)

**lemma** *mult-le-cancel-left*:  
 $c * a \leq c * b \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$   
**by** (simp add: not-less [symmetric] *mult-less-cancel-left-disj*)

**lemma** *mult-le-cancel-left-pos*:



$0 < c \implies c * a \leq c * b \longleftrightarrow a \leq b$   
**by** (*auto simp: mult-le-cancel-left*)

**lemma** *mult-le-cancel-left-neg*:  
 $c < 0 \implies c * a \leq c * b \longleftrightarrow b \leq a$   
**by** (*auto simp: mult-le-cancel-left*)

**lemma** *mult-less-cancel-left-pos*:  
 $0 < c \implies c * a < c * b \longleftrightarrow a < b$   
**by** (*auto simp: mult-less-cancel-left*)

**lemma** *mult-less-cancel-left-neg*:  
 $c < 0 \implies c * a < c * b \longleftrightarrow b < a$   
**by** (*auto simp: mult-less-cancel-left*)

**end**

**lemmas** *mult-sign-intros* =  
*mult-nonneg-nonneg mult-nonneg-nonpos*  
*mult-nonpos-nonneg mult-nonpos-nonpos*  
*mult-pos-pos mult-pos-neg*  
*mult-neg-pos mult-neg-neg*

**class** *ordered-comm-ring* = *comm-ring* + *ordered-comm-semiring*  
**begin**

**subclass** *ordered-ring* ..  
**subclass** *ordered-cancel-comm-semiring* ..

**end**

**class** *linordered-semidom* = *comm-semiring-1-cancel* + *linordered-comm-semiring-strict*  
 +

**assumes** *zero-less-one* [*simp*]:  $0 < 1$   
**begin**

**lemma** *pos-add-strict*:  
**shows**  $0 < a \implies b < c \implies b < a + c$   
**using** *add-strict-mono* [*of 0 a b c*] **by** *simp*

**lemma** *zero-le-one* [*simp*]:  $0 \leq 1$   
**by** (*rule zero-less-one* [*THEN less-imp-le*])

**lemma** *not-one-le-zero* [*simp*]:  $\neg 1 \leq 0$   
**by** (*simp add: not-le*)

**lemma** *not-one-less-zero* [*simp*]:  $\neg 1 < 0$   
**by** (*simp add: not-less*)

```

lemma less-1-mult:
  assumes  $1 < m$  and  $1 < n$ 
  shows  $1 < m * n$ 
  using assms mult-strict-mono [of 1 m 1 n]
  by (simp add: less-trans [OF zero-less-one])

end

class linordered-idom = comm-ring-1 +
  linordered-comm-semiring-strict + ordered-ab-group-add +
  abs-if + sgn-if

begin

subclass linordered-semiring-1-strict ..
subclass linordered-ring-strict ..
subclass ordered-comm-ring ..
subclass idom ..

subclass linordered-semidom
proof
  have  $0 \leq 1 * 1$  by (rule zero-le-square)
  thus  $0 < 1$  by (simp add: le-less)
qed

lemma linorder-neqE-linordered-idom:
  assumes  $x \neq y$  obtains  $x < y \mid y < x$ 
  using assms by (rule neqE)

These cancellation simprules also produce two cases when the comparison
is a goal.

lemma mult-le-cancel-right1:
   $c \leq b * c \longleftrightarrow (0 < c \longrightarrow 1 \leq b) \wedge (c < 0 \longrightarrow b \leq 1)$ 
by (insert mult-le-cancel-right [of 1 c b], simp)

lemma mult-le-cancel-right2:
   $a * c \leq c \longleftrightarrow (0 < c \longrightarrow a \leq 1) \wedge (c < 0 \longrightarrow 1 \leq a)$ 
by (insert mult-le-cancel-right [of a c 1], simp)

lemma mult-le-cancel-left1:
   $c \leq c * b \longleftrightarrow (0 < c \longrightarrow 1 \leq b) \wedge (c < 0 \longrightarrow b \leq 1)$ 
by (insert mult-le-cancel-left [of c 1 b], simp)

lemma mult-le-cancel-left2:
   $c * a \leq c \longleftrightarrow (0 < c \longrightarrow a \leq 1) \wedge (c < 0 \longrightarrow 1 \leq a)$ 
by (insert mult-le-cancel-left [of c a 1], simp)

lemma mult-less-cancel-right1:

```

$c < b * c \longleftrightarrow (0 \leq c \longrightarrow 1 < b) \wedge (c \leq 0 \longrightarrow b < 1)$   
**by** (*insert mult-less-cancel-right [of 1 c b], simp*)

**lemma** *mult-less-cancel-right2*:  
 $a * c < c \longleftrightarrow (0 \leq c \longrightarrow a < 1) \wedge (c \leq 0 \longrightarrow 1 < a)$   
**by** (*insert mult-less-cancel-right [of a c 1], simp*)

**lemma** *mult-less-cancel-left1*:  
 $c < c * b \longleftrightarrow (0 \leq c \longrightarrow 1 < b) \wedge (c \leq 0 \longrightarrow b < 1)$   
**by** (*insert mult-less-cancel-left [of c 1 b], simp*)

**lemma** *mult-less-cancel-left2*:  
 $c * a < c \longleftrightarrow (0 \leq c \longrightarrow a < 1) \wedge (c \leq 0 \longrightarrow 1 < a)$   
**by** (*insert mult-less-cancel-left [of c a 1], simp*)

**lemma** *sgn-sgn* [*simp*]:  
 $\text{sgn } (\text{sgn } a) = \text{sgn } a$   
**unfolding** *sgn-if* **by** *simp*

**lemma** *sgn-0-0*:  
 $\text{sgn } a = 0 \longleftrightarrow a = 0$   
**unfolding** *sgn-if* **by** *simp*

**lemma** *sgn-1-pos*:  
 $\text{sgn } a = 1 \longleftrightarrow a > 0$   
**unfolding** *sgn-if* **by** *simp*

**lemma** *sgn-1-neg*:  
 $\text{sgn } a = -1 \longleftrightarrow a < 0$   
**unfolding** *sgn-if* **by** *auto*

**lemma** *sgn-pos* [*simp*]:  
 $0 < a \implies \text{sgn } a = 1$   
**unfolding** *sgn-1-pos* .

**lemma** *sgn-neg* [*simp*]:  
 $a < 0 \implies \text{sgn } a = -1$   
**unfolding** *sgn-1-neg* .

**lemma** *sgn-times*:  
 $\text{sgn } (a * b) = \text{sgn } a * \text{sgn } b$   
**by** (*auto simp add: sgn-if zero-less-mult-iff*)

**lemma** *abs-sgn*:  $|k| = k * \text{sgn } k$   
**unfolding** *sgn-if abs-if* **by** *auto*

**lemma** *sgn-greater* [*simp*]:  
 $0 < \text{sgn } a \longleftrightarrow 0 < a$   
**unfolding** *sgn-if* **by** *auto*

```

lemma sgn-less [simp]:
  sgn a < 0  $\longleftrightarrow$  a < 0
  unfolding sgn-if by auto

lemma abs-dvd-iff [simp]: |m| dvd k  $\longleftrightarrow$  m dvd k
  by (simp add: abs-if)

lemma dvd-abs-iff [simp]: m dvd |k|  $\longleftrightarrow$  m dvd k
  by (simp add: abs-if)

lemma dvd-if-abs-eq:
  |l| = |k|  $\implies$  l dvd k
by(subst abs-dvd-iff[symmetric]) simp

end

```

Simprules for comparisons where common factors can be cancelled.

```

lemmas mult-compare-simps[no-atp] =
  mult-le-cancel-right mult-le-cancel-left
  mult-le-cancel-right1 mult-le-cancel-right2
  mult-le-cancel-left1 mult-le-cancel-left2
  mult-less-cancel-right mult-less-cancel-left
  mult-less-cancel-right1 mult-less-cancel-right2
  mult-less-cancel-left1 mult-less-cancel-left2
  mult-cancel-right mult-cancel-left
  mult-cancel-right1 mult-cancel-right2
  mult-cancel-left1 mult-cancel-left2

```

Reasoning about inequalities with division

```

context linordered-semidom
begin

lemma less-add-one: a < a + 1
proof –
  have a + 0 < a + 1
    by (blast intro: zero-less-one add-strict-left-mono)
  thus ?thesis by simp
qed

lemma zero-less-two: 0 < 1 + 1
by (blast intro: less-trans zero-less-one less-add-one)

end

context linordered-idom
begin

lemma mult-right-le-one-le:

```

```

 $0 \leq x \implies 0 \leq y \implies y \leq 1 \implies x * y \leq x$ 
by (auto simp add: mult-le-cancel-left2)

lemma mult-left-le-one-le:
 $0 \leq x \implies 0 \leq y \implies y \leq 1 \implies y * x \leq x$ 
by (auto simp add: mult-le-cancel-right2)

end

Absolute Value

context linordered-idom
begin

lemma mult-sgn-abs:
 $\text{sgn } x * |x| = x$ 
unfolding abs-if sgn-if by auto

lemma abs-one [simp]:
 $|1| = 1$ 
by (simp add: abs-if zero-less-one [THEN less-not-sym])

end

class ordered-ring-abs = ordered-ring + ordered-ab-group-add-abs +
assumes abs-eq-mult:
 $(0 \leq a \vee a \leq 0) \wedge (0 \leq b \vee b \leq 0) \implies |a * b| = |a| * |b|$ 

context linordered-idom
begin

subclass ordered-ring-abs proof
qed (auto simp add: abs-if not-less mult-less-0-iff)

lemma abs-mult:
 $|a * b| = |a| * |b|$ 
by (rule abs-eq-mult) auto

lemma abs-mult-self:
 $|a| * |a| = a * a$ 
by (simp add: abs-if)

lemma abs-mult-less:
 $|a| < c \implies |b| < d \implies |a| * |b| < c * d$ 
proof –
assume ac:  $|a| < c$ 
hence cpos:  $0 < c$  by (blast intro: le-less-trans abs-ge-zero)
assume  $|b| < d$ 
thus ?thesis by (simp add: ac cpos mult-strict-mono)
qed

```

```

lemma less-minus-self-iff:
   $a < - a \iff a < 0$ 
  by (simp only: less-le less-eq-neg-nonpos equal-neg-zero)

lemma abs-less-iff:
   $|a| < b \iff a < b \wedge - a < b$ 
  by (simp add: less-le abs-le-iff) (auto simp add: abs-if)

lemma abs-mult-pos:
   $0 \leq x \implies |y| * x = |y * x|$ 
  by (simp add: abs-mult)

end

code-modulename SML
  Rings Arith

code-modulename OCaml
  Rings Arith

code-modulename Haskell
  Rings Arith

end

```

## 14 Fields: Fields

```

theory Fields
imports Rings
begin

class field = comm-ring-1 + inverse +
  assumes field-inverse:  $a \neq 0 \implies \text{inverse } a * a = 1$ 
  assumes field-divide-inverse:  $a / b = a * \text{inverse } b$ 
begin

subclass division-ring
proof
  fix  $a :: 'a$ 
  assume  $a \neq 0$ 
  thus  $\text{inverse } a * a = 1$  by (rule field-inverse)
  thus  $a * \text{inverse } a = 1$  by (simp only: mult-commute)
next
  fix  $a b :: 'a$ 
  show  $a / b = a * \text{inverse } b$  by (rule field-divide-inverse)
qed

```

**subclass** *idom* ..

There is no slick version using division by zero.

**lemma** *inverse-add*:

$\llbracket a \neq 0; b \neq 0 \rrbracket$

$\implies \text{inverse } a + \text{inverse } b = (a + b) * \text{inverse } a * \text{inverse } b$

**by** (*simp add: division-ring-inverse-add mult-ac*)

**lemma** *nonzero-mult-divide-mult-cancel-left* [*simp, no-atp*]:

**assumes** [*simp*]:  $b \neq 0$  **and** [*simp*]:  $c \neq 0$  **shows**  $(c*a)/(c*b) = a/b$

**proof** –

**have**  $(c*a)/(c*b) = c * a * (\text{inverse } b * \text{inverse } c)$

**by** (*simp add: divide-inverse nonzero-inverse-mult-distrib*)

**also have**  $\dots = a * \text{inverse } b * (\text{inverse } c * c)$

**by** (*simp only: mult-ac*)

**also have**  $\dots = a * \text{inverse } b$  **by** *simp*

**finally show** *?thesis* **by** (*simp add: divide-inverse*)

**qed**

**lemma** *nonzero-mult-divide-mult-cancel-right* [*simp, no-atp*]:

$\llbracket b \neq 0; c \neq 0 \rrbracket \implies (a * c) / (b * c) = a / b$

**by** (*simp add: mult-commute [of - c]*)

**lemma** *times-divide-eq-left* [*simp*]:  $(b / c) * a = (b * a) / c$

**by** (*simp add: divide-inverse mult-ac*)

These are later declared as simp rules.

**lemmas** *times-divide-eq* [*no-atp*] = *times-divide-eq-right times-divide-eq-left*

**lemma** *add-frac-eq*:

**assumes**  $y \neq 0$  **and**  $z \neq 0$

**shows**  $x / y + w / z = (x * z + w * y) / (y * z)$

**proof** –

**have**  $x / y + w / z = (x * z) / (y * z) + (y * w) / (y * z)$

**using** *assms* **by** *simp*

**also have**  $\dots = (x * z + y * w) / (y * z)$

**by** (*simp only: add-divide-distrib*)

**finally show** *?thesis*

**by** (*simp only: mult-commute*)

**qed**

Special Cancellation Simprules for Division

**lemma** *nonzero-mult-divide-cancel-right* [*simp, no-atp*]:

$b \neq 0 \implies a * b / b = a$

**using** *nonzero-mult-divide-mult-cancel-right [of 1 b a]* **by** *simp*

**lemma** *nonzero-mult-divide-cancel-left* [*simp, no-atp*]:

$a \neq 0 \implies a * b / a = b$

**using** *nonzero-mult-divide-mult-cancel-left [of 1 a b]* **by** *simp*

**lemma** *nonzero-divide-mult-cancel-right* [*simp, no-atp*]:

$$\llbracket a \neq 0; b \neq 0 \rrbracket \implies b / (a * b) = 1 / a$$

**using** *nonzero-mult-divide-mult-cancel-right* [*of a b 1*] **by** *simp*

**lemma** *nonzero-divide-mult-cancel-left* [*simp, no-atp*]:

$$\llbracket a \neq 0; b \neq 0 \rrbracket \implies a / (a * b) = 1 / b$$

**using** *nonzero-mult-divide-mult-cancel-left* [*of b a 1*] **by** *simp*

**lemma** *nonzero-mult-divide-mult-cancel-left2* [*simp, no-atp*]:

$$\llbracket b \neq 0; c \neq 0 \rrbracket \implies (c * a) / (b * c) = a / b$$

**using** *nonzero-mult-divide-mult-cancel-left* [*of b c a*] **by** (*simp add: mult-ac*)

**lemma** *nonzero-mult-divide-mult-cancel-right2* [*simp, no-atp*]:

$$\llbracket b \neq 0; c \neq 0 \rrbracket \implies (a * c) / (c * b) = a / b$$

**using** *nonzero-mult-divide-mult-cancel-right* [*of b c a*] **by** (*simp add: mult-ac*)

**lemma** *add-divide-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x + y / z = (z * x + y) / z$$

**by** (*simp add: add-divide-distrib*)

**lemma** *divide-add-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x / z + y = (x + z * y) / z$$

**by** (*simp add: add-divide-distrib*)

**lemma** *diff-divide-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x - y / z = (z * x - y) / z$$

**by** (*simp add: diff-divide-distrib*)

**lemma** *divide-diff-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x / z - y = (x - z * y) / z$$

**by** (*simp add: diff-divide-distrib*)

**lemma** *diff-frac-eq*:

$$y \neq 0 \implies z \neq 0 \implies x / y - w / z = (x * z - w * y) / (y * z)$$

**by** (*simp add: field-simps*)

**lemma** *frac-eq-eq*:

$$y \neq 0 \implies z \neq 0 \implies (x / y = w / z) = (x * z = w * y)$$

**by** (*simp add: field-simps*)

**end**

**class** *field-inverse-zero* = *field* +

**assumes** *field-inverse-zero*: *inverse 0 = 0*

**begin**

**subclass** *division-ring-inverse-zero* **proof**

**qed** (*fact field-inverse-zero*)



This version builds in division by zero while also re-orienting the right-hand side.

```

lemma inverse-mult-distrib [simp]:
  inverse (a * b) = inverse a * inverse b
proof cases
  assume  $a \neq 0 \ \& \ b \neq 0$ 
  thus ?thesis by (simp add: nonzero-inverse-mult-distrib mult-ac)
next
  assume  $\sim (a \neq 0 \ \& \ b \neq 0)$ 
  thus ?thesis by force
qed

```

```

lemma inverse-divide [simp]:
  inverse (a / b) = b / a
  by (simp add: divide-inverse mult-commute)

```

Calculations with fractions

There is a whole bunch of simp-rules just for class *field* but none for class *field* and *nonzero-divides* because the latter are covered by a simproc.

```

lemma mult-divide-mult-cancel-left:
   $c \neq 0 \implies (c * a) / (c * b) = a / b$ 
apply (cases  $b = 0$ )
apply simp-all
done

```

```

lemma mult-divide-mult-cancel-right:
   $c \neq 0 \implies (a * c) / (b * c) = a / b$ 
apply (cases  $b = 0$ )
apply simp-all
done

```

```

lemma divide-divide-eq-right [simp, no-atp]:
   $a / (b / c) = (a * c) / b$ 
  by (simp add: divide-inverse mult-ac)

```

```

lemma divide-divide-eq-left [simp, no-atp]:
   $(a / b) / c = a / (b * c)$ 
  by (simp add: divide-inverse mult-assoc)

```

Special Cancellation Simprules for Division

```

lemma mult-divide-mult-cancel-left-if [simp, no-atp]:
  shows  $(c * a) / (c * b) = (\text{if } c = 0 \text{ then } 0 \text{ else } a / b)$ 
  by (simp add: mult-divide-mult-cancel-left)

```

Division and Unary Minus

```

lemma minus-divide-right:
   $-(a / b) = a / -b$ 

```

**by** (*simp add: divide-inverse*)

**lemma** *divide-minus-right* [*simp, no-atp*]:

$a / - b = - (a / b)$

**by** (*simp add: divide-inverse*)

**lemma** *minus-divide-divide*:

$(- a) / (- b) = a / b$

**apply** (*cases b=0, simp*)

**apply** (*simp add: nonzero-minus-divide-divide*)

**done**

**lemma** *eq-divide-eq*:

$a = b / c \longleftrightarrow (if\ c \neq 0\ then\ a * c = b\ else\ a = 0)$

**by** (*simp add: nonzero-eq-divide-eq*)

**lemma** *divide-eq-eq*:

$b / c = a \longleftrightarrow (if\ c \neq 0\ then\ b = a * c\ else\ a = 0)$

**by** (*force simp add: nonzero-divide-eq-eq*)

**lemma** *inverse-eq-1-iff* [*simp*]:

$inverse\ x = 1 \longleftrightarrow x = 1$

**by** (*insert inverse-eq-iff-eq [of x 1], simp*)

**lemma** *divide-eq-0-iff* [*simp, no-atp*]:

$a / b = 0 \longleftrightarrow a = 0 \vee b = 0$

**by** (*simp add: divide-inverse*)

**lemma** *divide-cancel-right* [*simp, no-atp*]:

$a / c = b / c \longleftrightarrow c = 0 \vee a = b$

**apply** (*cases c=0, simp*)

**apply** (*simp add: divide-inverse*)

**done**

**lemma** *divide-cancel-left* [*simp, no-atp*]:

$c / a = c / b \longleftrightarrow c = 0 \vee a = b$

**apply** (*cases c=0, simp*)

**apply** (*simp add: divide-inverse*)

**done**

**lemma** *divide-eq-1-iff* [*simp, no-atp*]:

$a / b = 1 \longleftrightarrow b \neq 0 \wedge a = b$

**apply** (*cases b=0, simp*)

**apply** (*simp add: right-inverse-eq*)

**done**

**lemma** *one-eq-divide-iff* [*simp, no-atp*]:

$1 = a / b \longleftrightarrow b \neq 0 \wedge a = b$

**by** (*simp add: eq-commute [of 1]*)

**lemma** *times-divide-times-eq*:

$(x / y) * (z / w) = (x * z) / (y * w)$   
**by** *simp*

**lemma** *add-frac-num*:

$y \neq 0 \implies x / y + z = (x + z * y) / y$   
**by** (*simp add: add-divide-distrib*)

**lemma** *add-num-frac*:

$y \neq 0 \implies z + x / y = (x + z * y) / y$   
**by** (*simp add: add-divide-distrib add.commute*)

**end**

Ordered Fields

**class** *linordered-field* = *field* + *linordered-idom*  
**begin**

**lemma** *positive-imp-inverse-positive*:

**assumes** *a-gt-0*:  $0 < a$   
**shows**  $0 < \text{inverse } a$

**proof** –

**have**  $0 < a * \text{inverse } a$   
**by** (*simp add: a-gt-0 [THEN less-imp-not-eq2]*)  
**thus**  $0 < \text{inverse } a$   
**by** (*simp add: a-gt-0 [THEN less-not-sym] zero-less-mult-iff*)

**qed**

**lemma** *negative-imp-inverse-negative*:

$a < 0 \implies \text{inverse } a < 0$   
**by** (*insert positive-imp-inverse-positive [of  $-a$ ],*  
*simp add: nonzero-inverse-minus-eq less-imp-not-eq*)

**lemma** *inverse-le-imp-le*:

**assumes** *invle*:  $\text{inverse } a \leq \text{inverse } b$  **and** *apos*:  $0 < a$   
**shows**  $b \leq a$

**proof** (*rule classical*)

**assume**  $\sim b \leq a$   
**hence**  $a < b$  **by** (*simp add: linorder-not-le*)  
**hence** *bpos*:  $0 < b$  **by** (*blast intro: apos less-trans*)  
**hence**  $a * \text{inverse } a \leq a * \text{inverse } b$   
**by** (*simp add: apos invle less-imp-le mult-left-mono*)  
**hence**  $(a * \text{inverse } a) * b \leq (a * \text{inverse } b) * b$   
**by** (*simp add: bpos less-imp-le mult-right-mono*)  
**thus**  $b \leq a$  **by** (*simp add: mult-assoc apos bpos less-imp-not-eq2*)

**qed**

**lemma** *inverse-positive-imp-positive*:

```

    assumes inv-gt-0:  $0 < \text{inverse } a$  and nz:  $a \neq 0$ 
    shows  $0 < a$ 
  proof -
    have  $0 < \text{inverse } (\text{inverse } a)$ 
      using inv-gt-0 by (rule positive-imp-inverse-positive)
    thus  $0 < a$ 
      using nz by (simp add: nonzero-inverse-inverse-eq)
  qed

```

```

lemma inverse-negative-imp-negative:
  assumes inv-less-0:  $\text{inverse } a < 0$  and nz:  $a \neq 0$ 
  shows  $a < 0$ 
  proof -
    have  $\text{inverse } (\text{inverse } a) < 0$ 
      using inv-less-0 by (rule negative-imp-inverse-negative)
    thus  $a < 0$  using nz by (simp add: nonzero-inverse-inverse-eq)
  qed

```

```

lemma linordered-field-no-lb:
   $\forall x. \exists y. y < x$ 
  proof
    fix  $x::'a$ 
    have  $m1: -(1::'a) < 0$  by simp
    from add-strict-right-mono[OF m1, where  $c=x$ ]
    have  $(-1) + x < x$  by simp
    thus  $\exists y. y < x$  by blast
  qed

```

```

lemma linordered-field-no-ub:
   $\forall x. \exists y. y > x$ 
  proof
    fix  $x::'a$ 
    have  $m1: (1::'a) > 0$  by simp
    from add-strict-right-mono[OF m1, where  $c=x$ ]
    have  $1 + x > x$  by simp
    thus  $\exists y. y > x$  by blast
  qed

```

```

lemma less-imp-inverse-less:
  assumes less:  $a < b$  and apos:  $0 < a$ 
  shows  $\text{inverse } b < \text{inverse } a$ 
  proof (rule ccontr)
    assume  $\sim \text{inverse } b < \text{inverse } a$ 
    hence  $\text{inverse } a \leq \text{inverse } b$  by simp
    hence  $\sim (a < b)$ 
      by (simp add: not-less inverse-le-imp-le [OF - apos])
    thus False by (rule notE [OF - less])
  qed

```

**lemma** *inverse-less-imp-less*:

$inverse\ a < inverse\ b \implies 0 < a \implies b < a$

**apply** (*simp add: less-le [of inverse a] less-le [of b]*)

**apply** (*force dest!: inverse-le-imp-le nonzero-inverse-eq-imp-eq*)

**done**

Both premises are essential. Consider -1 and 1.

**lemma** *inverse-less-iff-less [simp,no-atp]*:

$0 < a \implies 0 < b \implies inverse\ a < inverse\ b \longleftrightarrow b < a$

**by** (*blast intro: less-imp-inverse-less dest: inverse-less-imp-less*)

**lemma** *le-imp-inverse-le*:

$a \leq b \implies 0 < a \implies inverse\ b \leq inverse\ a$

**by** (*force simp add: le-less less-imp-inverse-less*)

**lemma** *inverse-le-iff-le [simp,no-atp]*:

$0 < a \implies 0 < b \implies inverse\ a \leq inverse\ b \longleftrightarrow b \leq a$

**by** (*blast intro: le-imp-inverse-le dest: inverse-le-imp-le*)

These results refer to both operands being negative. The opposite-sign case is trivial, since inverse preserves signs.

**lemma** *inverse-le-imp-le-neg*:

$inverse\ a \leq inverse\ b \implies b < 0 \implies b \leq a$

**apply** (*rule classical*)

**apply** (*subgoal-tac a < 0*)

**prefer 2 apply force**

**apply** (*insert inverse-le-imp-le [of -b -a]*)

**apply** (*simp add: nonzero-inverse-minus-eq*)

**done**

**lemma** *less-imp-inverse-less-neg*:

$a < b \implies b < 0 \implies inverse\ b < inverse\ a$

**apply** (*subgoal-tac a < 0*)

**prefer 2 apply** (*blast intro: less-trans*)

**apply** (*insert less-imp-inverse-less [of -b -a]*)

**apply** (*simp add: nonzero-inverse-minus-eq*)

**done**

**lemma** *inverse-less-imp-less-neg*:

$inverse\ a < inverse\ b \implies b < 0 \implies b < a$

**apply** (*rule classical*)

**apply** (*subgoal-tac a < 0*)

**prefer 2**

**apply force**

**apply** (*insert inverse-less-imp-less [of -b -a]*)

**apply** (*simp add: nonzero-inverse-minus-eq*)

**done**

**lemma** *inverse-less-iff-less-neg [simp,no-atp]*:

$a < 0 \implies b < 0 \implies \text{inverse } a < \text{inverse } b \longleftrightarrow b < a$   
**apply** (*insert inverse-less-iff-less* [of  $-b - a$ ])  
**apply** (*simp del: inverse-less-iff-less*  
*add: nonzero-inverse-minus-eq*)  
**done**

**lemma** *le-imp-inverse-le-neg*:  
 $a \leq b \implies b < 0 \implies \text{inverse } b \leq \text{inverse } a$   
**by** (*force simp add: le-less less-imp-inverse-less-neg*)

**lemma** *inverse-le-iff-le-neg* [*simp,no-atp*]:  
 $a < 0 \implies b < 0 \implies \text{inverse } a \leq \text{inverse } b \longleftrightarrow b \leq a$   
**by** (*blast intro: le-imp-inverse-le-neg dest: inverse-le-imp-le-neg*)

**lemma** *one-less-inverse*:  
 $0 < a \implies a < 1 \implies 1 < \text{inverse } a$   
**using** *less-imp-inverse-less* [of  $a$  1, *unfolded inverse-1*] .

**lemma** *one-le-inverse*:  
 $0 < a \implies a \leq 1 \implies 1 \leq \text{inverse } a$   
**using** *le-imp-inverse-le* [of  $a$  1, *unfolded inverse-1*] .

**lemma** *pos-le-divide-eq* [*field-simps*]:  $0 < c \implies (a \leq b/c) = (a*c \leq b)$   
**proof** –  
**assume** *less: 0 < c*  
**hence**  $(a \leq b/c) = (a*c \leq (b/c)*c)$   
**by** (*simp add: mult-le-cancel-right less-not-sym* [*OF less*] *del: times-divide-eq*)  
**also have**  $\dots = (a*c \leq b)$   
**by** (*simp add: less-imp-not-eq2* [*OF less*] *divide-inverse mult-assoc*)  
**finally show** *?thesis* .  
**qed**

**lemma** *neg-le-divide-eq* [*field-simps*]:  $c < 0 \implies (a \leq b/c) = (b \leq a*c)$   
**proof** –  
**assume** *less: c < 0*  
**hence**  $(a \leq b/c) = ((b/c)*c \leq a*c)$   
**by** (*simp add: mult-le-cancel-right less-not-sym* [*OF less*] *del: times-divide-eq*)  
**also have**  $\dots = (b \leq a*c)$   
**by** (*simp add: less-imp-not-eq* [*OF less*] *divide-inverse mult-assoc*)  
**finally show** *?thesis* .  
**qed**

**lemma** *pos-less-divide-eq* [*field-simps*]:  
 $0 < c \implies (a < b/c) = (a*c < b)$   
**proof** –  
**assume** *less: 0 < c*  
**hence**  $(a < b/c) = (a*c < (b/c)*c)$   
**by** (*simp add: mult-less-cancel-right-disj less-not-sym* [*OF less*] *del: times-divide-eq*)  
**also have**  $\dots = (a*c < b)$

by (simp add: less-imp-not-eq2 [OF less] divide-inverse mult-assoc)  
 finally show ?thesis .  
 qed

**lemma** neg-less-divide-eq [field-simps]:  
 $c < 0 \implies (a < b/c) = (b < a*c)$   
**proof** –  
 assume less:  $c < 0$   
 hence  $(a < b/c) = ((b/c)*c < a*c)$   
 by (simp add: mult-less-cancel-right-disj less-not-sym [OF less] del: times-divide-eq)  
 also have  $\dots = (b < a*c)$   
 by (simp add: less-imp-not-eq [OF less] divide-inverse mult-assoc)  
 finally show ?thesis .  
 qed

**lemma** pos-divide-less-eq [field-simps]:  
 $0 < c \implies (b/c < a) = (b < a*c)$   
**proof** –  
 assume less:  $0 < c$   
 hence  $(b/c < a) = ((b/c)*c < a*c)$   
 by (simp add: mult-less-cancel-right-disj less-not-sym [OF less] del: times-divide-eq)  
 also have  $\dots = (b < a*c)$   
 by (simp add: less-imp-not-eq2 [OF less] divide-inverse mult-assoc)  
 finally show ?thesis .  
 qed

**lemma** neg-divide-less-eq [field-simps]:  
 $c < 0 \implies (b/c < a) = (a*c < b)$   
**proof** –  
 assume less:  $c < 0$   
 hence  $(b/c < a) = (a*c < (b/c)*c)$   
 by (simp add: mult-less-cancel-right-disj less-not-sym [OF less] del: times-divide-eq)  
 also have  $\dots = (a*c < b)$   
 by (simp add: less-imp-not-eq [OF less] divide-inverse mult-assoc)  
 finally show ?thesis .  
 qed

**lemma** pos-divide-le-eq [field-simps]:  $0 < c \implies (b/c \leq a) = (b \leq a*c)$   
**proof** –  
 assume less:  $0 < c$   
 hence  $(b/c \leq a) = ((b/c)*c \leq a*c)$   
 by (simp add: mult-le-cancel-right less-not-sym [OF less] del: times-divide-eq)  
 also have  $\dots = (b \leq a*c)$   
 by (simp add: less-imp-not-eq2 [OF less] divide-inverse mult-assoc)  
 finally show ?thesis .  
 qed

**lemma** neg-divide-le-eq [field-simps]:  $c < 0 \implies (b/c \leq a) = (a*c \leq b)$   
**proof** –

```

assume less:  $c < 0$ 
hence  $(b/c \leq a) = (a * c \leq (b/c) * c)$ 
  by (simp add: mult-le-cancel-right less-not-sym [OF less] del: times-divide-eq)
also have  $\dots = (a * c \leq b)$ 
  by (simp add: less-imp-not-eq [OF less] divide-inverse mult-assoc)
finally show ?thesis .
qed

```

Lemmas *sign-simps* is a first attempt to automate proofs of positivity/negativity needed for *field-simps*. Have not added *sign-simps* to *field-simps* because the former can lead to case explosions.

```

lemmas sign-simps [no-atp] = algebra-simps
  zero-less-mult-iff mult-less-0-iff

```

```

lemmas (in -) sign-simps [no-atp] = algebra-simps
  zero-less-mult-iff mult-less-0-iff

```

```

lemma divide-pos-pos:
   $0 < x \implies 0 < y \implies 0 < x / y$ 
by (simp add: field-simps)

```

```

lemma divide-nonneg-pos:
   $0 \leq x \implies 0 < y \implies 0 \leq x / y$ 
by (simp add: field-simps)

```

```

lemma divide-neg-pos:
   $x < 0 \implies 0 < y \implies x / y < 0$ 
by (simp add: field-simps)

```

```

lemma divide-nonpos-pos:
   $x \leq 0 \implies 0 < y \implies x / y \leq 0$ 
by (simp add: field-simps)

```

```

lemma divide-pos-neg:
   $0 < x \implies y < 0 \implies x / y < 0$ 
by (simp add: field-simps)

```

```

lemma divide-nonneg-neg:
   $0 \leq x \implies y < 0 \implies x / y \leq 0$ 
by (simp add: field-simps)

```

```

lemma divide-neg-neg:
   $x < 0 \implies y < 0 \implies 0 < x / y$ 
by (simp add: field-simps)

```

```

lemma divide-nonpos-neg:
   $x \leq 0 \implies y < 0 \implies 0 \leq x / y$ 

```



**by**(*simp add:field-simps*)

**lemma** *divide-strict-right-mono*:

$[[a < b; 0 < c]] \implies a / c < b / c$

**by** (*simp add: less-imp-not-eq2 divide-inverse mult-strict-right-mono positive-imp-inverse-positive*)

**lemma** *divide-strict-right-mono-neg*:

$[[b < a; c < 0]] \implies a / c < b / c$

**apply** (*drule divide-strict-right-mono [of - -c], simp*)

**apply** (*simp add: less-imp-not-eq nonzero-minus-divide-right [symmetric]*)

**done**

The last premise ensures that  $a$  and  $b$  have the same sign

**lemma** *divide-strict-left-mono*:

$[[b < a; 0 < c; 0 < a*b]] \implies c / a < c / b$

**by**(*auto simp: field-simps times-divide-eq zero-less-mult-iff mult-strict-right-mono*)

**lemma** *divide-left-mono*:

$[[b \leq a; 0 \leq c; 0 < a*b]] \implies c / a \leq c / b$

**by**(*auto simp: field-simps times-divide-eq zero-less-mult-iff mult-right-mono*)

**lemma** *divide-strict-left-mono-neg*:

$[[a < b; c < 0; 0 < a*b]] \implies c / a < c / b$

**by**(*auto simp: field-simps times-divide-eq zero-less-mult-iff mult-strict-right-mono-neg*)

**lemma** *mult-imp-div-pos-le*:  $0 < y \implies x \leq z * y \implies$

$x / y \leq z$

**by** (*subst pos-divide-le-eq, assumption+*)

**lemma** *mult-imp-le-div-pos*:  $0 < y \implies z * y \leq x \implies$

$z \leq x / y$

**by**(*simp add:field-simps*)

**lemma** *mult-imp-div-pos-less*:  $0 < y \implies x < z * y \implies$

$x / y < z$

**by**(*simp add:field-simps*)

**lemma** *mult-imp-less-div-pos*:  $0 < y \implies z * y < x \implies$

$z < x / y$

**by**(*simp add:field-simps*)

**lemma** *frac-le*:  $0 \leq x \implies$

$x \leq y \implies 0 < w \implies w \leq z \implies x / z \leq y / w$

**apply** (*rule mult-imp-div-pos-le*)

**apply** *simp*

**apply** (*subst times-divide-eq-left*)

**apply** (*rule mult-imp-le-div-pos, assumption*)

```

  apply (rule mult-mono)
  apply simp-all
done

```

```

lemma frac-less:  $0 \leq x \implies$ 
   $x < y \implies 0 < w \implies w \leq z \implies x / z < y / w$ 
  apply (rule mult-imp-div-pos-less)
  apply simp
  apply (subst times-divide-eq-left)
  apply (rule mult-imp-less-div-pos, assumption)
  apply (erule mult-less-le-imp-less)
  apply simp-all
done

```

```

lemma frac-less2:  $0 < x \implies$ 
   $x \leq y \implies 0 < w \implies w < z \implies x / z < y / w$ 
  apply (rule mult-imp-div-pos-less)
  apply simp-all
  apply (rule mult-imp-less-div-pos, assumption)
  apply (erule mult-le-less-imp-less)
  apply simp-all
done

```

It’s not obvious whether these should be simprules or not. Their effect is to gather terms into one big fraction, like  $a*b*c / x*y*z$ . The rationale for that is unclear, but many proofs seem to need them.

```

lemma less-half-sum:  $a < b \implies a < (a+b) / (1+1)$ 
by (simp add: field-simps zero-less-two)

```

```

lemma gt-half-sum:  $a < b \implies (a+b)/(1+1) < b$ 
by (simp add: field-simps zero-less-two)

```

```

subclass dense-linorder
proof
  fix x y :: 'a
  from less-add-one show  $\exists y. x < y$  ..
  from less-add-one have  $x + (-1) < (x + 1) + (-1)$  by (rule add-strict-right-mono)
  then have  $x - 1 < x + 1 - 1$  by (simp only: diff-minus [symmetric])
  then have  $x - 1 < x$  by (simp add: algebra-simps)
  then show  $\exists y. y < x$  ..
  show  $x < y \implies \exists z > x. z < y$  by (blast intro!: less-half-sum gt-half-sum)
qed

```

```

lemma nonzero-abs-inverse:
   $a \neq 0 \implies |\text{inverse } a| = \text{inverse } |a|$ 
  apply (auto simp add: neq-iff abs-if nonzero-inverse-minus-eq
    negative-imp-inverse-negative)
  apply (blast intro: positive-imp-inverse-positive elim: less-asm)
done

```

**lemma** *nonzero-abs-divide*:

$b \neq 0 \implies |a / b| = |a| / |b|$

**by** (*simp add: divide-inverse abs-mult nonzero-abs-inverse*)

**lemma** *field-le-epsilon*:

**assumes**  $e: \bigwedge e. 0 < e \implies x \leq y + e$

**shows**  $x \leq y$

**proof** (*rule dense-le*)

**fix**  $t$  **assume**  $t < x$

**hence**  $0 < x - t$  **by** (*simp add: less-diff-eq*)

**from**  $e$  [*OF this*] **have**  $x + 0 \leq x + (y - t)$  **by** (*simp add: algebra-simps*)

**then have**  $0 \leq y - t$  **by** (*simp only: add-le-cancel-left*)

**then show**  $t \leq y$  **by** (*simp add: algebra-simps*)

**qed**

**end**

**class** *linordered-field-inverse-zero* = *linordered-field* + *field-inverse-zero*

**begin**

**lemma** *le-divide-eq*:

$(a \leq b/c) =$

$(\text{if } 0 < c \text{ then } a*c \leq b$

$\text{else if } c < 0 \text{ then } b \leq a*c$

$\text{else } a \leq 0)$

**apply** (*cases c=0, simp*)

**apply** (*force simp add: pos-le-divide-eq neg-le-divide-eq linorder-neq-iff*)

**done**

**lemma** *inverse-positive-iff-positive* [*simp*]:

$(0 < \text{inverse } a) = (0 < a)$

**apply** (*cases a = 0, simp*)

**apply** (*blast intro: inverse-positive-imp-positive positive-imp-inverse-positive*)

**done**

**lemma** *inverse-negative-iff-negative* [*simp*]:

$(\text{inverse } a < 0) = (a < 0)$

**apply** (*cases a = 0, simp*)

**apply** (*blast intro: inverse-negative-imp-negative negative-imp-inverse-negative*)

**done**

**lemma** *inverse-nonnegative-iff-nonnegative* [*simp*]:

$0 \leq \text{inverse } a \iff 0 \leq a$

**by** (*simp add: not-less [symmetric]*)

**lemma** *inverse-nonpositive-iff-nonpositive* [*simp*]:

$\text{inverse } a \leq 0 \iff a \leq 0$

**by** (*simp add: not-less [symmetric]*)

**lemma** *one-less-inverse-iff*:

$$1 < \text{inverse } x \iff 0 < x \wedge x < 1$$

**proof** *cases*

**assume**  $0 < x$

**with** *inverse-less-iff-less* [*OF zero-less-one, of x*]

**show** *?thesis* **by** *simp*

**next**

**assume** *notless*:  $\sim (0 < x)$

**have**  $\sim (1 < \text{inverse } x)$

**proof**

**assume**  $1 < \text{inverse } x$

**also with** *notless* **have**  $\dots \leq 0$  **by** *simp*

**also have**  $\dots < 1$  **by** (*rule zero-less-one*)

**finally show** *False* **by** *auto*

**qed**

**with** *notless* **show** *?thesis* **by** *simp*

**qed**

**lemma** *one-le-inverse-iff*:

$$1 \leq \text{inverse } x \iff 0 < x \wedge x \leq 1$$

**proof** (*cases x = 1*)

**case** *True* **then show** *?thesis* **by** *simp*

**next**

**case** *False* **then have**  $\text{inverse } x \neq 1$  **by** *simp*

**then have**  $1 \neq \text{inverse } x$  **by** *blast*

**then have**  $1 \leq \text{inverse } x \iff 1 < \text{inverse } x$  **by** (*simp add: le-less*)

**with** *False* **show** *?thesis* **by** (*auto simp add: one-less-inverse-iff*)

**qed**

**lemma** *inverse-less-1-iff*:

$$\text{inverse } x < 1 \iff x \leq 0 \vee 1 < x$$

**by** (*simp add: not-le [symmetric] one-le-inverse-iff*)

**lemma** *inverse-le-1-iff*:

$$\text{inverse } x \leq 1 \iff x \leq 0 \vee 1 \leq x$$

**by** (*simp add: not-less [symmetric] one-less-inverse-iff*)

**lemma** *divide-le-eq*:

$$(b/c \leq a) =$$

$$( \text{if } 0 < c \text{ then } b \leq a * c$$

$$\text{else if } c < 0 \text{ then } a * c \leq b$$

$$\text{else } 0 \leq a )$$

**apply** (*cases c=0, simp*)

**apply** (*force simp add: pos-divide-le-eq neg-divide-le-eq*)

**done**

**lemma** *less-divide-eq*:

$$(a < b/c) =$$

```

      (if 0 < c then a*c < b
        else if c < 0 then b < a*c
        else a < 0)
apply (cases c=0, simp)
apply (force simp add: pos-less-divide-eq neg-less-divide-eq)
done

```

```

lemma divide-less-eq:
  (b/c < a) =
    (if 0 < c then b < a*c
      else if c < 0 then a*c < b
      else 0 < a)
apply (cases c=0, simp)
apply (force simp add: pos-divide-less-eq neg-divide-less-eq)
done

```

### Division and Signs

```

lemma zero-less-divide-iff:
  (0 < a/b) = (0 < a & 0 < b | a < 0 & b < 0)
by (simp add: divide-inverse zero-less-mult-iff)

```

```

lemma divide-less-0-iff:
  (a/b < 0) =
    (0 < a & b < 0 | a < 0 & 0 < b)
by (simp add: divide-inverse mult-less-0-iff)

```

```

lemma zero-le-divide-iff:
  (0 ≤ a/b) =
    (0 ≤ a & 0 ≤ b | a ≤ 0 & b ≤ 0)
by (simp add: divide-inverse zero-le-mult-iff)

```

```

lemma divide-le-0-iff:
  (a/b ≤ 0) =
    (0 ≤ a & b ≤ 0 | a ≤ 0 & 0 ≤ b)
by (simp add: divide-inverse mult-le-0-iff)

```

### Division and the Number One

Simplify expressions equated with 1

```

lemma zero-eq-1-divide-iff [simp,no-atp]:
  (0 = 1/a) = (a = 0)
apply (cases a=0, simp)
apply (auto simp add: nonzero-eq-divide-eq)
done

```

```

lemma one-divide-eq-0-iff [simp,no-atp]:
  (1/a = 0) = (a = 0)
apply (cases a=0, simp)
apply (insert zero-neq-one [THEN not-sym])

```

**apply** (*auto simp add: nonzero-divide-eq-eq*)  
**done**

Simplify expressions such as  $0 < 1/x$  to  $0 < x$

**lemma** *zero-le-divide-1-iff* [*simp, no-atp*]:  
 $0 \leq 1 / a \iff 0 \leq a$   
**by** (*simp add: zero-le-divide-iff*)

**lemma** *zero-less-divide-1-iff* [*simp, no-atp*]:  
 $0 < 1 / a \iff 0 < a$   
**by** (*simp add: zero-less-divide-iff*)

**lemma** *divide-le-0-1-iff* [*simp, no-atp*]:  
 $1 / a \leq 0 \iff a \leq 0$   
**by** (*simp add: divide-le-0-iff*)

**lemma** *divide-less-0-1-iff* [*simp, no-atp*]:  
 $1 / a < 0 \iff a < 0$   
**by** (*simp add: divide-less-0-iff*)

**lemma** *divide-right-mono*:  
 $[|a \leq b; 0 \leq c|] \implies a/c \leq b/c$   
**by** (*force simp add: divide-strict-right-mono le-less*)

**lemma** *divide-right-mono-neg*:  $a \leq b \implies c \leq 0 \implies b / c \leq a / c$   
**apply** (*drule divide-right-mono [of - - - c]*)  
**apply** *auto*  
**done**

**lemma** *divide-left-mono-neg*:  $a \leq b \implies c \leq 0 \implies 0 < a * b \implies c / a \leq c / b$   
**apply** (*drule divide-left-mono [of - - - c]*)  
**apply** (*auto simp add: mult-commute*)  
**done**

Simplify quotients that are compared with the value 1.

**lemma** *le-divide-eq-1* [*no-atp*]:  
 $(1 \leq b / a) = ((0 < a \ \& \ a \leq b) \mid (a < 0 \ \& \ b \leq a))$   
**by** (*auto simp add: le-divide-eq*)

**lemma** *divide-le-eq-1* [*no-atp*]:  
 $(b / a \leq 1) = ((0 < a \ \& \ b \leq a) \mid (a < 0 \ \& \ a \leq b) \mid a=0)$   
**by** (*auto simp add: divide-le-eq*)

**lemma** *less-divide-eq-1* [*no-atp*]:  
 $(1 < b / a) = ((0 < a \ \& \ a < b) \mid (a < 0 \ \& \ b < a))$   
**by** (*auto simp add: less-divide-eq*)

**lemma** *divide-less-eq-1* [*no-atp*]:  
 $(b / a < 1) = ((0 < a \ \& \ b < a) \mid (a < 0 \ \& \ a < b) \mid a=0)$   
**by** (*auto simp add: divide-less-eq*)

Conditional Simplification Rules: No Case Splits

**lemma** *le-divide-eq-1-pos* [*simp,no-atp*]:  
 $0 < a \implies (1 \leq b/a) = (a \leq b)$   
**by** (*auto simp add: le-divide-eq*)

**lemma** *le-divide-eq-1-neg* [*simp,no-atp*]:  
 $a < 0 \implies (1 \leq b/a) = (b \leq a)$   
**by** (*auto simp add: le-divide-eq*)

**lemma** *divide-le-eq-1-pos* [*simp,no-atp*]:  
 $0 < a \implies (b/a \leq 1) = (b \leq a)$   
**by** (*auto simp add: divide-le-eq*)

**lemma** *divide-le-eq-1-neg* [*simp,no-atp*]:  
 $a < 0 \implies (b/a \leq 1) = (a \leq b)$   
**by** (*auto simp add: divide-le-eq*)

**lemma** *less-divide-eq-1-pos* [*simp,no-atp*]:  
 $0 < a \implies (1 < b/a) = (a < b)$   
**by** (*auto simp add: less-divide-eq*)

**lemma** *less-divide-eq-1-neg* [*simp,no-atp*]:  
 $a < 0 \implies (1 < b/a) = (b < a)$   
**by** (*auto simp add: less-divide-eq*)

**lemma** *divide-less-eq-1-pos* [*simp,no-atp*]:  
 $0 < a \implies (b/a < 1) = (b < a)$   
**by** (*auto simp add: divide-less-eq*)

**lemma** *divide-less-eq-1-neg* [*simp,no-atp*]:  
 $a < 0 \implies b/a < 1 \iff a < b$   
**by** (*auto simp add: divide-less-eq*)

**lemma** *eq-divide-eq-1* [*simp,no-atp*]:  
 $(1 = b/a) = ((a \neq 0 \ \& \ a = b))$   
**by** (*auto simp add: eq-divide-eq*)

**lemma** *divide-eq-eq-1* [*simp,no-atp*]:  
 $(b/a = 1) = ((a \neq 0 \ \& \ a = b))$   
**by** (*auto simp add: divide-eq-eq*)

**lemma** *abs-inverse* [*simp*]:  
 $|inverse \ a| =$   
 $inverse \ |a|$   
**apply** (*cases a=0, simp*)

```

apply (simp add: nonzero-abs-inverse)
done

```

```

lemma abs-divide [simp]:
   $|a / b| = |a| / |b|$ 
apply (cases b=0, simp)
apply (simp add: nonzero-abs-divide)
done

```

```

lemma abs-div-pos:  $0 < y \implies$ 
   $|x| / y = |x / y|$ 
apply (subst abs-divide)
apply (simp add: order-less-imp-le)
done

```

```

lemma field-le-mult-one-interval:
  assumes *:  $\bigwedge z. [0 < z ; z < 1] \implies z * x \leq y$ 
  shows  $x \leq y$ 
proof (cases  $0 < x$ )
  assume  $0 < x$ 
  thus ?thesis
    using dense-le-bounded[of 0 1 y/x] *
    unfolding le-divide-eq if-P[OF  $\langle 0 < x \rangle$ ] by simp
next
  assume  $\neg 0 < x$  hence  $x \leq 0$  by simp
  obtain s::'a where  $s: 0 < s \wedge s < 1$  using dense[of 0 1::'a] by auto
  hence  $x \leq s * x$  using mult-le-cancel-right[of 1 x s]  $\langle x \leq 0 \rangle$  by auto
  also note *[OF s]
  finally show ?thesis .
qed

end

```

```

code-modulename SML
  Fields Arith

```

```

code-modulename OCaml
  Fields Arith

```

```

code-modulename Haskell
  Fields Arith

```

```

end

```

## 15 Nat: Natural numbers

```

theory Nat
imports Inductive Typedef Fun Fields

```



**uses**

~~/src/Tools/rat.ML  
 ~~/src/Provers/Arith/cancel-sums.ML  
 Tools/arith-data.ML  
 (Tools/nat-arith.ML)  
 ~~/src/Provers/Arith/fast-lin-arith.ML  
 (Tools/lin-arith.ML)

**begin**

## 15.1 Type *ind*

**typedecl** *ind*

**axiomatization**

*Zero-Rep* :: *ind* **and**  
*Suc-Rep* :: *ind* ==> *ind*

**where**

— the axiom of infinity in 2 parts  
*Suc-Rep-inject*: *Suc-Rep* *x* = *Suc-Rep* *y* ==> *x* = *y* **and**  
*Suc-Rep-not-Zero-Rep*: *Suc-Rep* *x* ≠ *Zero-Rep*

## 15.2 Type *nat*

Type definition

**inductive** *Nat* :: *ind* ⇒ *bool*

**where**

*Zero-RepI*: *Nat* *Zero-Rep*  
 | *Suc-RepI*: *Nat* *i* ⇒ *Nat* (*Suc-Rep* *i*)

**global**

**typedef** (**open** *Nat*)

*nat* = *Nat*

**by** (*rule* *exI*, *unfold* *mem-def*, *rule* *Nat.Zero-RepI*)

**definition** *Suc* :: *nat* ==> *nat* **where**

*Suc-def*: *Suc* == (%*n*. *Abs-Nat* (*Suc-Rep* (*Rep-Nat* *n*)))

**local**

**instantiation** *nat* :: *zero*

**begin**

**definition** *Zero-nat-def* [*code del*]:

*0* = *Abs-Nat* *Zero-Rep*

**instance** ..

**end**

```

lemma Suc-not-Zero:  $Suc\ m \neq 0$ 
  by (simp add: Zero-nat-def Suc-def Abs-Nat-inject [unfolded mem-def]
    Rep-Nat [unfolded mem-def] Suc-RepI Zero-RepI Suc-Rep-not-Zero-Rep [unfolded
mem-def])

lemma Zero-not-Suc:  $0 \neq Suc\ m$ 
  by (rule not-sym, rule Suc-not-Zero not-sym)

lemma Suc-Rep-inject':  $Suc-Rep\ x = Suc-Rep\ y \longleftrightarrow x = y$ 
  by (rule iffI, rule Suc-Rep-inject) simp-all

rep-datatype 0 :: nat Suc
  apply (unfold Zero-nat-def Suc-def)
  apply (rule Rep-Nat-inverse [THEN subst]) — types force good instantiation
  apply (erule Rep-Nat [unfolded mem-def, THEN Nat.induct])
  apply (iprover elim: Abs-Nat-inverse [unfolded mem-def, THEN subst])
  apply (simp-all add: Abs-Nat-inject [unfolded mem-def] Rep-Nat [unfolded
mem-def]
    Suc-RepI Zero-RepI Suc-Rep-not-Zero-Rep [unfolded mem-def]
    Suc-Rep-not-Zero-Rep [unfolded mem-def, symmetric]
    Suc-Rep-inject' Rep-Nat-inject)
  done

lemma nat-induct [case-names 0 Suc, induct type: nat]:
  — for backward compatibility – names of variables differ
  fixes n
  assumes P 0
  and  $\bigwedge n. P\ n \implies P\ (Suc\ n)$ 
  shows  $P\ n$ 
  using assms by (rule nat.induct)

declare nat.exhaust [case-names 0 Suc, cases type: nat]

lemmas nat-rec-0 = nat.recs(1)
  and nat-rec-Suc = nat.recs(2)

lemmas nat-case-0 = nat.cases(1)
  and nat-case-Suc = nat.cases(2)

Injectiveness and distinctness lemmas

lemma inj-Suc[simp]: inj-on Suc N
  by (simp add: inj-on-def)

lemma Suc-neq-Zero:  $Suc\ m = 0 \implies R$ 
  by (rule notE, rule Suc-not-Zero)

lemma Zero-neq-Suc:  $0 = Suc\ m \implies R$ 
  by (rule Suc-neq-Zero, erule sym)

```

**lemma** *Suc-inject*:  $Suc\ x = Suc\ y \implies x = y$   
**by** (rule *inj-Suc* [THEN *injD*])

**lemma** *n-not-Suc-n*:  $n \neq Suc\ n$   
**by** (induct *n*) *simp-all*

**lemma** *Suc-n-not-n*:  $Suc\ n \neq n$   
**by** (rule *not-sym*, rule *n-not-Suc-n*)

A special form of induction for reasoning about  $m < n$  and  $m - n$

**lemma** *diff-induct*:  $(!!x. P\ x\ 0) \implies (!!y. P\ 0\ (Suc\ y)) \implies$   
 $(!!x\ y. P\ x\ y \implies P\ (Suc\ x)\ (Suc\ y)) \implies P\ m\ n$   
**apply** (rule-tac  $x = m$  **in** *spec*)  
**apply** (induct *n*)  
**prefer** 2  
**apply** (rule *allI*)  
**apply** (induct-tac *x*, *iprover*+)  
**done**

### 15.3 Arithmetic operators

**instantiation** *nat* :: {*minus*, *comm-monoid-add*}  
**begin**

**primrec** *plus-nat*  
**where**

*add-0*:  $0 + n = (n::nat)$   
| *add-Suc*:  $Suc\ m + n = Suc\ (m + n)$

**lemma** *add-0-right* [*simp*]:  $m + 0 = (m::nat)$   
**by** (induct *m*) *simp-all*

**lemma** *add-Suc-right* [*simp*]:  $m + Suc\ n = Suc\ (m + n)$   
**by** (induct *m*) *simp-all*

**declare** *add-0* [*code*]

**lemma** *add-Suc-shift* [*code*]:  $Suc\ m + n = m + Suc\ n$   
**by** *simp*

**primrec** *minus-nat*  
**where**

*diff-0*:  $m - 0 = (m::nat)$   
| *diff-Suc*:  $m - Suc\ n = (case\ m - n\ of\ 0 \implies 0 \mid Suc\ k \implies k)$

**declare** *diff-Suc* [*simp del*]  
**declare** *diff-0* [*code*]

**lemma** *diff-0-eq-0* [*simp*, *code*]:  $0 - n = (0::nat)$   
**by** (*induct n*) (*simp-all add: diff-Suc*)

**lemma** *diff-Suc-Suc* [*simp*, *code*]:  $Suc\ m - Suc\ n = m - n$   
**by** (*induct n*) (*simp-all add: diff-Suc*)

**instance proof**

**fix**  $n\ m\ q :: nat$   
**show**  $(n + m) + q = n + (m + q)$  **by** (*induct n*) *simp-all*  
**show**  $n + m = m + n$  **by** (*induct n*) *simp-all*  
**show**  $0 + n = n$  **by** *simp*  
**qed**

**end**

**hide-fact** (**open**) *add-0 add-0-right diff-0*

**instantiation**  $nat :: comm-semiring-1-cancel$   
**begin**

**definition**

*One-nat-def* [*simp*]:  $1 = Suc\ 0$

**primrec** *times-nat*

**where**

*mult-0*:  $0 * n = (0::nat)$   
 $|$  *mult-Suc*:  $Suc\ m * n = n + (m * n)$

**lemma** *mult-0-right* [*simp*]:  $(m::nat) * 0 = 0$   
**by** (*induct m*) *simp-all*

**lemma** *mult-Suc-right* [*simp*]:  $m * Suc\ n = m + (m * n)$   
**by** (*induct m*) (*simp-all add: add-left-commute*)

**lemma** *add-mult-distrib*:  $(m + n) * k = (m * k) + ((n * k)::nat)$   
**by** (*induct m*) (*simp-all add: add-assoc*)

**instance proof**

**fix**  $n\ m\ q :: nat$   
**show**  $0 \neq (1::nat)$  **unfolding** *One-nat-def* **by** *simp*  
**show**  $1 * n = n$  **unfolding** *One-nat-def* **by** *simp*  
**show**  $n * m = m * n$  **by** (*induct n*) *simp-all*  
**show**  $(n * m) * q = n * (m * q)$  **by** (*induct n*) (*simp-all add: add-mult-distrib*)  
**show**  $(n + m) * q = n * q + m * q$  **by** (*rule add-mult-distrib*)  
**assume**  $n + m = n + q$  **thus**  $m = q$  **by** (*induct n*) *simp-all*  
**qed**

**end**

### 15.3.1 Addition

**lemma** *nat-add-assoc*:  $(m + n) + k = m + ((n + k)::nat)$   
**by** (*rule add-assoc*)

**lemma** *nat-add-commute*:  $m + n = n + (m::nat)$   
**by** (*rule add-commute*)

**lemma** *nat-add-left-commute*:  $x + (y + z) = y + ((x + z)::nat)$   
**by** (*rule add-left-commute*)

**lemma** *nat-add-left-cancel* [*simp*]:  $(k + m = k + n) = (m = (n::nat))$   
**by** (*rule add-left-cancel*)

**lemma** *nat-add-right-cancel* [*simp*]:  $(m + k = n + k) = (m = (n::nat))$   
**by** (*rule add-right-cancel*)

Reasoning about  $m + 0 = 0$ , etc.

**lemma** *add-is-0* [*iff*]:  
**fixes**  $m\ n :: nat$   
**shows**  $(m + n = 0) = (m = 0 \ \& \ n = 0)$   
**by** (*cases m*) *simp-all*

**lemma** *add-is-1*:  
 $(m + n = Suc\ 0) = (m = Suc\ 0 \ \& \ n = 0 \mid m = 0 \ \& \ n = Suc\ 0)$   
**by** (*cases m*) *simp-all*

**lemma** *one-is-add*:  
 $(Suc\ 0 = m + n) = (m = Suc\ 0 \ \& \ n = 0 \mid m = 0 \ \& \ n = Suc\ 0)$   
**by** (*rule trans*, *rule eq-commute*, *rule add-is-1*)

**lemma** *add-eq-self-zero*:  
**fixes**  $m\ n :: nat$   
**shows**  $m + n = m \implies n = 0$   
**by** (*induct m*) *simp-all*

**lemma** *inj-on-add-nat* [*simp*]: *inj-on*  $(\%n::nat. n+k)\ N$   
**apply** (*induct k*)  
**apply** *simp*  
**apply** (*drule comp-inj-on* [*OF* - *inj-Suc*])  
**apply** (*simp add:o-def*)  
**done**

### 15.3.2 Difference

**lemma** *diff-self-eq-0* [*simp*]:  $(m::nat) - m = 0$   
**by** (*induct m*) *simp-all*

**lemma** *diff-diff-left*:  $(i::nat) - j - k = i - (j + k)$   
**by** (*induct i j rule: diff-induct*) *simp-all*

**lemma** *Suc-diff-diff* [simp]:  $(\text{Suc } m - n) - \text{Suc } k = m - n - k$   
**by** (simp add: diff-diff-left)

**lemma** *diff-commute*:  $(i::\text{nat}) - j - k = i - k - j$   
**by** (simp add: diff-diff-left add-commute)

**lemma** *diff-add-inverse*:  $(n + m) - n = (m::\text{nat})$   
**by** (induct n) simp-all

**lemma** *diff-add-inverse2*:  $(m + n) - n = (m::\text{nat})$   
**by** (simp add: diff-add-inverse add-commute [of m n])

**lemma** *diff-cancel*:  $(k + m) - (k + n) = m - (n::\text{nat})$   
**by** (induct k) simp-all

**lemma** *diff-cancel2*:  $(m + k) - (n + k) = m - (n::\text{nat})$   
**by** (simp add: diff-cancel add-commute)

**lemma** *diff-add-0*:  $n - (n + m) = (0::\text{nat})$   
**by** (induct n) simp-all

**lemma** *diff-Suc-1* [simp]:  $\text{Suc } n - 1 = n$   
**unfolding** One-nat-def **by** simp

Difference distributes over multiplication

**lemma** *diff-mult-distrib*:  $((m::\text{nat}) - n) * k = (m * k) - (n * k)$   
**by** (induct m n rule: diff-induct) (simp-all add: diff-cancel)

**lemma** *diff-mult-distrib2*:  $k * ((m::\text{nat}) - n) = (k * m) - (k * n)$   
**by** (simp add: diff-mult-distrib mult-commute [of k])  
 — NOT added as rewrites, since sometimes they are used from right-to-left

### 15.3.3 Multiplication

**lemma** *nat-mult-assoc*:  $(m * n) * k = m * ((n * k)::\text{nat})$   
**by** (rule mult-assoc)

**lemma** *nat-mult-commute*:  $m * n = n * (m::\text{nat})$   
**by** (rule mult-commute)

**lemma** *add-mult-distrib2*:  $k * (m + n) = (k * m) + ((k * n)::\text{nat})$   
**by** (rule right-distrib)

**lemma** *mult-is-0* [simp]:  $((m::\text{nat}) * n = 0) = (m=0 \mid n=0)$   
**by** (induct m) auto

**lemmas** *nat-distrib* =  
 add-mult-distrib add-mult-distrib2 diff-mult-distrib diff-mult-distrib2

```

lemma mult-eq-1-iff [simp]:  $(m * n = \text{Suc } 0) = (m = \text{Suc } 0 \ \& \ n = \text{Suc } 0)$ 
  apply (induct m)
    apply simp
  apply (induct n)
    apply auto
  done

```

```

lemma one-eq-mult-iff [simp, no-atp]:  $(\text{Suc } 0 = m * n) = (m = \text{Suc } 0 \ \& \ n = \text{Suc } 0)$ 
  apply (rule trans)
  apply (rule-tac [2] mult-eq-1-iff, fastsimp)
  done

```

```

lemma nat-mult-eq-1-iff [simp]:  $m * n = (1::\text{nat}) \longleftrightarrow m = 1 \ \wedge \ n = 1$ 
  unfolding One-nat-def by (rule mult-eq-1-iff)

```

```

lemma nat-1-eq-mult-iff [simp]:  $(1::\text{nat}) = m * n \longleftrightarrow m = 1 \ \wedge \ n = 1$ 
  unfolding One-nat-def by (rule one-eq-mult-iff)

```

```

lemma mult-cancel1 [simp]:  $(k * m = k * n) = (m = n \mid (k = (0::\text{nat})))$ 
proof –
  have  $k \neq 0 \implies k * m = k * n \implies m = n$ 
  proof (induct n arbitrary: m)
    case 0 then show  $m = 0$  by simp
  next
    case (Suc n) then show  $m = \text{Suc } n$ 
      by (cases m) (simp-all add: eq-commute [of 0])
  qed
  then show ?thesis by auto
qed

```

```

lemma mult-cancel2 [simp]:  $(m * k = n * k) = (m = n \mid (k = (0::\text{nat})))$ 
  by (simp add: mult-commute)

```

```

lemma Suc-mult-cancel1:  $(\text{Suc } k * m = \text{Suc } k * n) = (m = n)$ 
  by (subst mult-cancel1) simp

```

## 15.4 Orders on *nat*

### 15.4.1 Operation definition

```

instantiation nat :: linorder
begin

```

```

primrec less-eq-nat where
   $(0::\text{nat}) \leq n \longleftrightarrow \text{True}$ 
   $\mid \text{Suc } m \leq n \longleftrightarrow (\text{case } n \text{ of } 0 \Rightarrow \text{False} \mid \text{Suc } n \Rightarrow m \leq n)$ 

```

```

declare less-eq-nat.simps [simp del]

```

**lemma** [code]:  $(0::nat) \leq n \longleftrightarrow True$  **by** (simp add: less-eq-nat.simps)

**lemma** le0 [iff]:  $0 \leq (n::nat)$  **by** (simp add: less-eq-nat.simps)

**definition** less-nat **where**

less-eq-Suc-le:  $n < m \longleftrightarrow Suc\ n \leq m$

**lemma** Suc-le-mono [iff]:  $Suc\ n \leq Suc\ m \longleftrightarrow n \leq m$

**by** (simp add: less-eq-nat.simps(2))

**lemma** Suc-le-eq [code]:  $Suc\ m \leq n \longleftrightarrow m < n$

**unfolding** less-eq-Suc-le ..

**lemma** le-0-eq [iff]:  $(n::nat) \leq 0 \longleftrightarrow n = 0$

**by** (induct n) (simp-all add: less-eq-nat.simps(2))

**lemma** not-less0 [iff]:  $\neg n < (0::nat)$

**by** (simp add: less-eq-Suc-le)

**lemma** less-nat-zero-code [code]:  $n < (0::nat) \longleftrightarrow False$

**by** simp

**lemma** Suc-less-eq [iff]:  $Suc\ m < Suc\ n \longleftrightarrow m < n$

**by** (simp add: less-eq-Suc-le)

**lemma** less-Suc-eq-le [code]:  $m < Suc\ n \longleftrightarrow m \leq n$

**by** (simp add: less-eq-Suc-le)

**lemma** le-SucI:  $m \leq n \implies m \leq Suc\ n$

**by** (induct m arbitrary: n)

(simp-all add: less-eq-nat.simps(2) split: nat.splits)

**lemma** Suc-leD:  $Suc\ m \leq n \implies m \leq n$

**by** (cases n) (auto intro: le-SucI)

**lemma** less-SucI:  $m < n \implies m < Suc\ n$

**by** (simp add: less-eq-Suc-le) (erule Suc-leD)

**lemma** Suc-lessD:  $Suc\ m < n \implies m < n$

**by** (simp add: less-eq-Suc-le) (erule Suc-leD)

**instance**

**proof**

**fix** n m :: nat

**show**  $n < m \longleftrightarrow n \leq m \wedge \neg m \leq n$

**proof** (induct n arbitrary: m)

**case** 0 **then show** ?case **by** (cases m) (simp-all add: less-eq-Suc-le)

**next**

**case** (Suc n) **then show** ?case **by** (cases m) (simp-all add: less-eq-Suc-le)

**qed**



```

next
  fix n :: nat show n ≤ n by (induct n) simp-all
next
  fix n m :: nat assume n ≤ m and m ≤ n
  then show n = m
    by (induct n arbitrary: m)
      (simp-all add: less-eq-nat.simps(2) split: nat.splits)
next
  fix n m q :: nat assume n ≤ m and m ≤ q
  then show n ≤ q
  proof (induct n arbitrary: m q)
    case 0 show ?case by simp
  next
    case (Suc n) then show ?case
      by (simp-all (no-asm-use) add: less-eq-nat.simps(2) split: nat.splits, clarify,
        simp-all (no-asm-use) add: less-eq-nat.simps(2) split: nat.splits, clarify,
        simp-all (no-asm-use) add: less-eq-nat.simps(2) split: nat.splits)
  qed
next
  fix n m :: nat show n ≤ m ∨ m ≤ n
  by (induct n arbitrary: m)
    (simp-all add: less-eq-nat.simps(2) split: nat.splits)
qed

end

instantiation nat :: bot
begin

definition bot-nat :: nat where
  bot-nat = 0

instance proof
qed (simp add: bot-nat-def)

end

```

#### 15.4.2 Introduction properties

```

lemma lessI [iff]: n < Suc n
  by (simp add: less-Suc-eq-le)

```

```

lemma zero-less-Suc [iff]: 0 < Suc n
  by (simp add: less-Suc-eq-le)

```

#### 15.4.3 Elimination properties

```

lemma less-not-refl: ~ n < (n::nat)
  by (rule order-less-irrefl)

```

**lemma** *less-not-refl2*:  $n < m \implies m \neq (n::nat)$   
**by** (*rule not-sym*) (*rule less-imp-neq*)

**lemma** *less-not-refl3*:  $(s::nat) < t \implies s \neq t$   
**by** (*rule less-imp-neq*)

**lemma** *less-irrefl-nat*:  $(n::nat) < n \implies R$   
**by** (*rule notE*, *rule less-not-refl*)

**lemma** *less-zeroE*:  $(n::nat) < 0 \implies R$   
**by** (*rule notE*) (*rule not-less0*)

**lemma** *less-Suc-eq*:  $(m < Suc\ n) = (m < n \mid m = n)$   
**unfolding** *less-Suc-eq-le le-less ..*

**lemma** *less-Suc0* [*iff*]:  $(n < Suc\ 0) = (n = 0)$   
**by** (*simp add: less-Suc-eq*)

**lemma** *less-one* [*iff*, *no-atp*]:  $(n < (1::nat)) = (n = 0)$   
**unfolding** *One-nat-def* **by** (*rule less-Suc0*)

**lemma** *Suc-mono*:  $m < n \implies Suc\ m < Suc\ n$   
**by** *simp*

”Less than” is antisymmetric, sort of

**lemma** *less-antisym*:  $\llbracket \neg n < m; n < Suc\ m \rrbracket \implies m = n$   
**unfolding** *not-less less-Suc-eq-le* **by** (*rule antisym*)

**lemma** *nat-neq-iff*:  $((m::nat) \neq n) = (m < n \mid n < m)$   
**by** (*rule linorder-neq-iff*)

**lemma** *nat-less-cases*: **assumes** *major*:  $(m::nat) < n \implies P\ n\ m$   
**and** *eqCase*:  $m = n \implies P\ n\ m$  **and** *lessCase*:  $n < m \implies P\ n\ m$   
**shows**  $P\ n\ m$   
**apply** (*rule less-linear [THEN disjE]*)  
**apply** (*erule-tac [2] disjE*)  
**apply** (*erule lessCase*)  
**apply** (*erule sym [THEN eqCase]*)  
**apply** (*erule major*)  
**done**

#### 15.4.4 Inductive (?) properties

**lemma** *Suc-lessI*:  $m < n \implies Suc\ m \neq n \implies Suc\ m < n$   
**unfolding** *less-eq-Suc-le [of m] le-less* **by** *simp*

**lemma** *lessE*:  
**assumes** *major*:  $i < k$   
**and** *p1*:  $k = Suc\ i \implies P$  **and** *p2*:  $!!j. i < j \implies k = Suc\ j \implies P$

shows  $P$   
 proof –  
 from major have  $\exists j. i \leq j \wedge k = \text{Suc } j$   
 unfolding less-eq-Suc-le by (induct  $k$ ) simp-all  
 then have  $(\exists j. i < j \wedge k = \text{Suc } j) \vee k = \text{Suc } i$   
 by (clarsimp simp add: less-le)  
 with  $p1$   $p2$  show  $P$  by auto  
 qed

lemma less-SucE: assumes major:  $m < \text{Suc } n$   
 and less:  $m < n \implies P$  and eq:  $m = n \implies P$  shows  $P$   
 apply (rule major [THEN lessE])  
 apply (rule eq, blast)  
 apply (rule less, blast)  
 done

lemma Suc-lessE: assumes major:  $\text{Suc } i < k$   
 and minor:  $\forall j. i < j \implies k = \text{Suc } j \implies P$  shows  $P$   
 apply (rule major [THEN lessE])  
 apply (erule lessI [THEN minor])  
 apply (erule Suc-lessD [THEN minor], assumption)  
 done

lemma Suc-less-SucD:  $\text{Suc } m < \text{Suc } n \implies m < n$   
 by simp

lemma less-trans-Suc:  
 assumes le:  $i < j$  shows  $j < k \implies \text{Suc } i < k$   
 apply (induct  $k$ , simp-all)  
 apply (insert le)  
 apply (simp add: less-Suc-eq)  
 apply (blast dest: Suc-lessD)  
 done

Can be used with less-Suc-eq to get  $n = m \vee n < m$

lemma not-less-eq:  $\neg m < n \longleftrightarrow n < \text{Suc } m$   
 unfolding not-less less-Suc-eq-le ..

lemma not-less-eq-eq:  $\neg m \leq n \longleftrightarrow \text{Suc } n \leq m$   
 unfolding not-le Suc-le-eq ..

Properties of “less than or equal”

lemma le-imp-less-Suc:  $m \leq n \implies m < \text{Suc } n$   
 unfolding less-Suc-eq-le .

lemma Suc-n-not-le-n:  $\sim \text{Suc } n \leq n$   
 unfolding not-le less-Suc-eq-le ..

lemma le-Suc-eq:  $(m \leq \text{Suc } n) = (m \leq n \mid m = \text{Suc } n)$

**by** (*simp add: less-Suc-eq-le [symmetric] less-Suc-eq*)

**lemma** *le-SucE*:  $m \leq \text{Suc } n \implies (m \leq n \implies R) \implies (m = \text{Suc } n \implies R) \implies R$   
**by** (*drule le-Suc-eq [THEN iffD1], iprover+*)

**lemma** *Suc-leI*:  $m < n \implies \text{Suc}(m) \leq n$   
**unfolding** *Suc-le-eq* .

Stronger version of *Suc-leD*

**lemma** *Suc-le-lessD*:  $\text{Suc } m \leq n \implies m < n$   
**unfolding** *Suc-le-eq* .

**lemma** *less-imp-le-nat*:  $m < n \implies m \leq (n::\text{nat})$   
**unfolding** *less-eq-Suc-le* **by** (*rule Suc-leD*)

For instance,  $(\text{Suc } m < \text{Suc } n) = (\text{Suc } m \leq n) = (m < n)$

**lemmas** *le-simps* = *less-imp-le-nat less-Suc-eq-le Suc-le-eq*

Equivalence of  $m \leq n$  and  $m < n \vee m = n$

**lemma** *less-or-eq-imp-le*:  $m < n \mid m = n \implies m \leq (n::\text{nat})$   
**unfolding** *le-less* .

**lemma** *le-eq-less-or-eq*:  $(m \leq (n::\text{nat})) = (m < n \mid m = n)$   
**by** (*rule le-less*)

Useful with *blast*.

**lemma** *eq-imp-le*:  $(m::\text{nat}) = n \implies m \leq n$   
**by** *auto*

**lemma** *le-refl*:  $n \leq (n::\text{nat})$   
**by** *simp*

**lemma** *le-trans*:  $[[ i \leq j; j \leq k ]] \implies i \leq (k::\text{nat})$   
**by** (*rule order-trans*)

**lemma** *le-antisym*:  $[[ m \leq n; n \leq m ]] \implies m = (n::\text{nat})$   
**by** (*rule antisym*)

**lemma** *nat-less-le*:  $((m::\text{nat}) < n) = (m \leq n \ \& \ m \neq n)$   
**by** (*rule less-le*)

**lemma** *le-neq-implies-less*:  $(m::\text{nat}) \leq n \implies m \neq n \implies m < n$   
**unfolding** *less-le* ..

**lemma** *nat-le-linear*:  $(m::\text{nat}) \leq n \mid n \leq m$   
**by** (*rule linear*)

**lemmas** *linorder-neqE-nat* = *linorder-neqE* [**where** 'a = nat]

**lemma** *le-less-Suc-eq*:  $m \leq n \implies (n < \text{Suc } m) = (n = m)$   
**unfolding** *less-Suc-eq-le* **by** *auto*

**lemma** *not-less-less-Suc-eq*:  $\sim n < m \implies (n < \text{Suc } m) = (n = m)$   
**unfolding** *not-less* **by** (rule *le-less-Suc-eq*)

**lemmas** *not-less-simps* = *not-less-less-Suc-eq le-less-Suc-eq*

These two rules ease the use of primitive recursion. NOTE USE OF ==

**lemma** *def-nat-rec-0*:  $(!!n. f \ n == \text{nat-rec } c \ h \ n) \implies f \ 0 = c$   
**by** *simp*

**lemma** *def-nat-rec-Suc*:  $(!!n. f \ n == \text{nat-rec } c \ h \ n) \implies f \ (\text{Suc } n) = h \ n \ (f \ n)$   
**by** *simp*

**lemma** *not0-implies-Suc*:  $n \neq 0 \implies \exists m. n = \text{Suc } m$   
**by** (cases *n*) *simp-all*

**lemma** *gr0-implies-Suc*:  $n > 0 \implies \exists m. n = \text{Suc } m$   
**by** (cases *n*) *simp-all*

**lemma** *gr-implies-not0*: **fixes**  $n :: \text{nat}$  **shows**  $m < n \implies n \neq 0$   
**by** (cases *n*) *simp-all*

**lemma** *neq0-conv[iff]*: **fixes**  $n :: \text{nat}$  **shows**  $(n \neq 0) = (0 < n)$   
**by** (cases *n*) *simp-all*

This theorem is useful with *blast*

**lemma** *gr0I*:  $((n :: \text{nat}) = 0 \implies \text{False}) \implies 0 < n$   
**by** (rule *neq0-conv[THEN iffD1]*, *iprover*)

**lemma** *gr0-conv-Suc*:  $(0 < n) = (\exists m. n = \text{Suc } m)$   
**by** (fast intro: *not0-implies-Suc*)

**lemma** *not-gr0 [iff,no-atp]*:  $!!n :: \text{nat}. (\sim (0 < n)) = (n = 0)$   
**using** *neq0-conv* **by** *blast*

**lemma** *Suc-le-D*:  $(\text{Suc } n \leq m') \implies (? m. m' = \text{Suc } m)$   
**by** (induct *m'*) *simp-all*

Useful in certain inductive arguments

**lemma** *less-Suc-eq-0-disj*:  $(m < \text{Suc } n) = (m = 0 \mid (\exists j. m = \text{Suc } j \ \& \ j < n))$   
**by** (cases *m*) *simp-all*

#### 15.4.5 min and max

**lemma** *mono-Suc*: *mono Suc*

**by** (rule *monoI*) *simp*

**lemma** *min-0L* [*simp*]:  $\min\ 0\ n = (0::nat)$   
**by** (rule *min-leastL*) *simp*

**lemma** *min-0R* [*simp*]:  $\min\ n\ 0 = (0::nat)$   
**by** (rule *min-leastR*) *simp*

**lemma** *min-Suc-Suc* [*simp*]:  $\min\ (Suc\ m)\ (Suc\ n) = Suc\ (\min\ m\ n)$   
**by** (*simp add: mono-Suc min-of-mono*)

**lemma** *min-Suc1*:  
 $\min\ (Suc\ n)\ m = (case\ m\ of\ 0 ==> 0 \mid Suc\ m' ==> Suc(\min\ n\ m'))$   
**by** (*simp split: nat.split*)

**lemma** *min-Suc2*:  
 $\min\ m\ (Suc\ n) = (case\ m\ of\ 0 ==> 0 \mid Suc\ m' ==> Suc(\min\ m'\ n))$   
**by** (*simp split: nat.split*)

**lemma** *max-0L* [*simp*]:  $\max\ 0\ n = (n::nat)$   
**by** (rule *max-leastL*) *simp*

**lemma** *max-0R* [*simp*]:  $\max\ n\ 0 = (n::nat)$   
**by** (rule *max-leastR*) *simp*

**lemma** *max-Suc-Suc* [*simp*]:  $\max\ (Suc\ m)\ (Suc\ n) = Suc(\max\ m\ n)$   
**by** (*simp add: mono-Suc max-of-mono*)

**lemma** *max-Suc1*:  
 $\max\ (Suc\ n)\ m = (case\ m\ of\ 0 ==> Suc\ n \mid Suc\ m' ==> Suc(\max\ n\ m'))$   
**by** (*simp split: nat.split*)

**lemma** *max-Suc2*:  
 $\max\ m\ (Suc\ n) = (case\ m\ of\ 0 ==> Suc\ n \mid Suc\ m' ==> Suc(\max\ m'\ n))$   
**by** (*simp split: nat.split*)

#### 15.4.6 Monotonicity of Addition

**lemma** *Suc-pred* [*simp*]:  $n > 0 ==> Suc\ (n - Suc\ 0) = n$   
**by** (*simp add: diff-Suc split: nat.split*)

**lemma** *Suc-diff-1* [*simp*]:  $0 < n ==> Suc\ (n - 1) = n$   
**unfolding** *One-nat-def* **by** (rule *Suc-pred*)

**lemma** *nat-add-left-cancel-le* [*simp*]:  $(k + m \leq k + n) = (m \leq (n::nat))$   
**by** (*induct k*) *simp-all*

**lemma** *nat-add-left-cancel-less* [*simp*]:  $(k + m < k + n) = (m < (n::nat))$   
**by** (*induct k*) *simp-all*

**lemma** *add-gr-0* [*iff*]:  $!!m::nat. (m + n > 0) = (m > 0 \mid n > 0)$   
**by** (*auto dest:gr0-implies-Suc*)

strict, in 1st argument

**lemma** *add-less-mono1*:  $i < j ==> i + k < j + (k::nat)$   
**by** (*induct k*) *simp-all*

strict, in both arguments

**lemma** *add-less-mono*:  $[[i < j; k < l]] ==> i + k < j + (l::nat)$   
**apply** (*rule add-less-mono1 [THEN less-trans], assumption+*)  
**apply** (*induct j, simp-all*)  
**done**

Deleted *less-natE*; use *less-imp-Suc-add RS exE*

**lemma** *less-imp-Suc-add*:  $m < n ==> (\exists k. n = \text{Suc } (m + k))$   
**apply** (*induct n*)  
**apply** (*simp-all add: order-le-less*)  
**apply** (*blast elim!: less-SucE*  
           *intro!: Nat.add-0-right [symmetric] add-Suc-right [symmetric]*)  
**done**

strict, in 1st argument; proof is by induction on  $k > 0$

**lemma** *mult-less-mono2*:  $(i::nat) < j ==> 0 < k ==> k * i < k * j$   
**apply** (*auto simp: gr0-conv-Suc*)  
**apply** (*induct-tac m*)  
**apply** (*simp-all add: add-less-mono*)  
**done**

The naturals form an ordered *comm-semiring-1-cancel*

**instance** *nat* :: *linordered-semidom*

**proof**

**fix** *i j k* :: *nat*  
  **show**  $0 < (1::nat)$  **by** *simp*  
  **show**  $i \leq j ==> k + i \leq k + j$  **by** *simp*  
  **show**  $i < j ==> 0 < k ==> k * i < k * j$  **by** (*simp add: mult-less-mono2*)  
**qed**

**instance** *nat* :: *no-zero-divisors*

**proof**

**fix** *a::nat* **and** *b::nat* **show**  $a \sim 0 \implies b \sim 0 \implies a * b \sim 0$  **by** *auto*  
**qed**

**lemma** *nat-mult-1*:  $(1::nat) * n = n$   
**by** *simp*

**lemma** *nat-mult-1-right*:  $n * (1::nat) = n$   
**by** *simp*

15.4.7 Additional theorems about  $op \leq$ 

Complete induction, aka course-of-values induction

```

instance nat :: wellorder proof
  fix P and n :: nat
  assume step:  $\bigwedge n::nat. (\bigwedge m. m < n \implies P\ m) \implies P\ n$ 
  have  $\bigwedge q. q \leq n \implies P\ q$ 
  proof (induct n)
    case (0 n)
    have P 0 by (rule step) auto
    thus ?case using 0 by auto
  next
  case (Suc m n)
  then have  $n \leq m \vee n = \text{Suc } m$  by (simp add: le-Suc-eq)
  thus ?case
  proof
    assume  $n \leq m$  thus P n by (rule Suc(1))
  next
    assume n:  $n = \text{Suc } m$ 
    show P n
    by (rule step) (rule Suc(1), simp add: n le-simps)
  qed
qed
then show P n by auto
qed

```

**lemma** Least-Suc:

```

  [| P n; ~ P 0 |] ==> (LEAST n. P n) = Suc (LEAST m. P (Suc m))
  apply (case-tac n, auto)
  apply (frule LeastI)
  apply (drule-tac P = %x. P (Suc x) in LeastI)
  apply (subgoal-tac (LEAST x. P x) ≤ Suc (LEAST x. P (Suc x)))
  apply (erule-tac [2] Least-le)
  apply (case-tac LEAST x. P x, auto)
  apply (drule-tac P = %x. P (Suc x) in Least-le)
  apply (blast intro: order-antisym)
done

```

**lemma** Least-Suc2:

```

  [| P n; Q m; ~ P 0; !k. P (Suc k) = Q k |] ==> Least P = Suc (Least Q)
  apply (erule (1) Least-Suc [THEN ssubst])
  apply simp
done

```

**lemma** ex-least-nat-le:  $\neg P(0) \implies P(n::nat) \implies \exists k \leq n. (\forall i < k. \neg P\ i) \ \& \ P(k)$

```

  apply (cases n)
  apply blast
  apply (rule-tac x=LEAST k. P(k) in exI)
  apply (blast intro: Least-le dest: not-less-Least intro: LeastI-ex)

```



done

**lemma** *ex-least-nat-less*:  $\neg P(0) \implies P(n::nat) \implies \exists k < n. (\forall i \leq k. \neg P i) \ \& \ P(k+1)$   
**unfolding** *One-nat-def*  
**apply** (*cases n*)  
**apply** *blast*  
**apply** (*frule (1) ex-least-nat-le*)  
**apply** (*erule exE*)  
**apply** (*case-tac k*)  
**apply** *simp*  
**apply** (*rename-tac k1*)  
**apply** (*rule-tac x=k1 in exI*)  
**apply** (*auto simp add: less-eq-Suc-le*)  
done

**lemma** *nat-less-induct*:  
**assumes**  $!!n. \forall m::nat. m < n \longrightarrow P m \implies P n$  **shows**  $P n$   
**using** *assms less-induct* **by** *blast*

**lemma** *measure-induct-rule* [*case-names less*]:  
**fixes**  $f :: 'a \Rightarrow nat$   
**assumes** *step*:  $\bigwedge x. (\bigwedge y. f y < f x \implies P y) \implies P x$   
**shows**  $P a$   
**by** (*induct m*  $\equiv$  *a arbitrary: a rule: less-induct*) (*auto intro: step*)

old style induction rules:

**lemma** *measure-induct*:  
**fixes**  $f :: 'a \Rightarrow nat$   
**shows**  $(\bigwedge x. \forall y. f y < f x \longrightarrow P y \implies P x) \implies P a$   
**by** (*rule measure-induct-rule [of f P a]*) *iprover*

**lemma** *full-nat-induct*:  
**assumes** *step*:  $(!!n. (ALL m. Suc m \leq n \longrightarrow P m) \implies P n)$   
**shows**  $P n$   
**by** (*rule less-induct*) (*auto intro: step simp:le-simps*)

An induction rule for establishing binary relations

**lemma** *less-Suc-induct*:  
**assumes** *less*:  $i < j$   
**and** *step*:  $!!i. P i (Suc i)$   
**and** *trans*:  $!!i j k. i < j \implies j < k \implies P i j \implies P j k \implies P i k$   
**shows**  $P i j$   
**proof** –  
**from** *less* **obtain**  $k$  **where**  $j = Suc (i + k)$  **by** (*auto dest: less-imp-Suc-add*)  
**have**  $P i (Suc (i + k))$   
**proof** (*induct k*)  
**case** 0  
**show** ?*case* **by** (*simp add: step*)  
**next**

```

case (Suc k)
have 0 + i < Suc k + i by (rule add-less-mono1) simp
hence i < Suc (i + k) by (simp add: add-commute)
from trans[OF this lessI Suc step]
show ?case by simp
qed
thus P i j by (simp add: j)
qed

```

The method of infinite descent, frequently used in number theory. Provided by Roelof Oosterhuis.  $P(n)$  is true for all  $n \in \mathbb{N}$  if

- case “0”: given  $n = 0$  prove  $P(n)$ ,
- case “smaller”: given  $n > 0$  and  $\neg P(n)$  prove there exists a smaller integer  $m$  such that  $\neg P(m)$ .

A compact version without explicit base case:

```

lemma infinite-descent:
   $\llbracket \text{!!}n::\text{nat}. \neg P\ n \implies \exists m < n. \neg P\ m \rrbracket \implies P\ n$ 
by (induct n rule: less-induct, auto)

```

```

lemma infinite-descent0[case-names 0 smaller]:
   $\llbracket P\ 0; \text{!!}n. n > 0 \implies \neg P\ n \implies (\exists m::\text{nat}. m < n \wedge \neg P\ m) \rrbracket \implies P\ n$ 
by (rule infinite-descent) (case-tac n>0, auto)

```

Infinite descent using a mapping to  $\mathbb{N}$ :  $P(x)$  is true for all  $x \in D$  if there exists a  $V : D \rightarrow \mathbb{N}$  and

- case “0”: given  $V(x) = 0$  prove  $P(x)$ ,
- case “smaller”: given  $V(x) > 0$  and  $\neg P(x)$  prove there exists a  $y \in D$  such that  $V(y) < V(x)$  and  $\neg P(y)$ .

NB: the proof also shows how to use the previous lemma.

```

corollary infinite-descent0-measure [case-names 0 smaller]:
  assumes A0:  $\text{!!}x. V\ x = (0::\text{nat}) \implies P\ x$ 
  and A1:  $\text{!!}x. V\ x > 0 \implies \neg P\ x \implies (\exists y. V\ y < V\ x \wedge \neg P\ y)$ 
  shows P x
proof –
  obtain n where n = V x by auto
  moreover have  $\bigwedge x. V\ x = n \implies P\ x$ 
  proof (induct n rule: infinite-descent0)
  case 0 — i.e.  $V(x) = 0$ 
  with A0 show P x by auto
  next — now  $n > 0$  and  $P(x)$  does not hold for some  $x$  with  $V(x) = n$ 
  case (smaller n)
  then obtain x where vx n: V x = n and V x > 0  $\wedge \neg P\ x$  by auto

```

```

  with A1 obtain y where  $V\ y < V\ x \wedge \neg P\ y$  by auto
  with vxn obtain m where  $m = V\ y \wedge m < n \wedge \neg P\ y$  by auto
  then show ?case by auto
qed
ultimately show  $P\ x$  by auto
qed

```

Again, without explicit base case:

```

lemma infinite-descent-measure:
assumes !!x.  $\neg P\ x \implies \exists y. (V::'a \Rightarrow nat)\ y < V\ x \wedge \neg P\ y$  shows  $P\ x$ 
proof -
  from assms obtain n where  $n = V\ x$  by auto
  moreover have !!x.  $V\ x = n \implies P\ x$ 
  proof (induct n rule: infinite-descent, auto)
    fix x assume  $\neg P\ x$ 
    with assms show  $\exists m < V\ x. \exists y. V\ y = m \wedge \neg P\ y$  by auto
  qed
  ultimately show  $P\ x$  by auto
qed

```

A [clumsy] way of lifting  $<$  monotonicity to  $\leq$  monotonicity

```

lemma less-mono-imp-le-mono:
  [| !!i j::nat.  $i < j \implies f\ i < f\ j$ ;  $i \leq j$  |]  $\implies f\ i \leq ((f\ j)::nat)$ 
by (simp add: order-le-less) (blast)

```

non-strict, in 1st argument

```

lemma add-le-mono1:  $i \leq j \implies i + k \leq j + (k::nat)$ 
by (rule add-right-mono)

```

non-strict, in both arguments

```

lemma add-le-mono: [|  $i \leq j$ ;  $k \leq l$  |]  $\implies i + k \leq j + (l::nat)$ 
by (rule add-mono)

```

```

lemma le-add2:  $n \leq ((m + n)::nat)$ 
by (insert add-right-mono [of 0 m n], simp)

```

```

lemma le-add1:  $n \leq ((n + m)::nat)$ 
by (simp add: add-commute, rule le-add2)

```

```

lemma less-add-Suc1:  $i < Suc\ (i + m)$ 
by (rule le-less-trans, rule le-add1, rule lessI)

```

```

lemma less-add-Suc2:  $i < Suc\ (m + i)$ 
by (rule le-less-trans, rule le-add2, rule lessI)

```

```

lemma less-iff-Suc-add:  $(m < n) = (\exists k. n = Suc\ (m + k))$ 
by (iprover intro!: less-add-Suc1 less-imp-Suc-add)

```

**lemma** *trans-le-add1*:  $(i::nat) \leq j \implies i \leq j + m$   
**by** (*rule le-trans*, *assumption*, *rule le-add1*)

**lemma** *trans-le-add2*:  $(i::nat) \leq j \implies i \leq m + j$   
**by** (*rule le-trans*, *assumption*, *rule le-add2*)

**lemma** *trans-less-add1*:  $(i::nat) < j \implies i < j + m$   
**by** (*rule less-le-trans*, *assumption*, *rule le-add1*)

**lemma** *trans-less-add2*:  $(i::nat) < j \implies i < m + j$   
**by** (*rule less-le-trans*, *assumption*, *rule le-add2*)

**lemma** *add-lessD1*:  $i + j < (k::nat) \implies i < k$   
**apply** (*rule le-less-trans* [*of - i+j*])  
**apply** (*simp-all add: le-add1*)  
**done**

**lemma** *not-add-less1* [*iff*]:  $\sim (i + j < (i::nat))$   
**apply** (*rule notI*)  
**apply** (*drule add-lessD1*)  
**apply** (*erule less-irrefl* [*THEN notE*])  
**done**

**lemma** *not-add-less2* [*iff*]:  $\sim (j + i < (i::nat))$   
**by** (*simp add: add-commute*)

**lemma** *add-leD1*:  $m + k \leq n \implies m \leq (n::nat)$   
**apply** (*rule order-trans* [*of - m+k*])  
**apply** (*simp-all add: le-add1*)  
**done**

**lemma** *add-leD2*:  $m + k \leq n \implies k \leq (n::nat)$   
**apply** (*simp add: add-commute*)  
**apply** (*erule add-leD1*)  
**done**

**lemma** *add-leE*:  $(m::nat) + k \leq n \implies (m \leq n \implies k \leq n \implies R) \implies R$   
**by** (*blast dest: add-leD1 add-leD2*)

needs !!*k* for *add-ac* to work

**lemma** *less-add-eq-less*:  $!!k::nat. k < l \implies m + l = k + n \implies m < n$   
**by** (*force simp del: add-Suc-right*  
*simp add: less-iff-Suc-add add-Suc-right [symmetric] add-ac*)

#### 15.4.8 More results about difference

Addition is the inverse of subtraction: if  $n \leq m$  then  $n + (m - n) = m$ .

**lemma** *add-diff-inverse*:  $\sim m < n \implies n + (m - n) = (m::nat)$   
**by** (*induct m n rule: diff-induct*) *simp-all*

**lemma** *le-add-diff-inverse* [simp]:  $n \leq m \implies n + (m - n) = (m::nat)$   
**by** (simp add: add-diff-inverse linorder-not-less)

**lemma** *le-add-diff-inverse2* [simp]:  $n \leq m \implies (m - n) + n = (m::nat)$   
**by** (simp add: add-commute)

**lemma** *Suc-diff-le*:  $n \leq m \implies \text{Suc } m - n = \text{Suc } (m - n)$   
**by** (induct m n rule: diff-induct) simp-all

**lemma** *diff-less-Suc*:  $m - n < \text{Suc } m$   
**apply** (induct m n rule: diff-induct)  
**apply** (erule-tac [3] less-SucE)  
**apply** (simp-all add: less-Suc-eq)  
**done**

**lemma** *diff-le-self* [simp]:  $m - n \leq (m::nat)$   
**by** (induct m n rule: diff-induct) (simp-all add: le-SucI)

**lemma** *le-iff-add*:  $(m::nat) \leq n = (\exists k. n = m + k)$   
**by** (auto simp: le-add1 dest!: le-add-diff-inverse sym [of - n])

**lemma** *less-imp-diff-less*:  $(j::nat) < k \implies j - n < k$   
**by** (rule le-less-trans, rule diff-le-self)

**lemma** *diff-Suc-less* [simp]:  $0 < n \implies n - \text{Suc } i < n$   
**by** (cases n) (auto simp add: le-simps)

**lemma** *diff-add-assoc*:  $k \leq (j::nat) \implies (i + j) - k = i + (j - k)$   
**by** (induct j k rule: diff-induct) simp-all

**lemma** *diff-add-assoc2*:  $k \leq (j::nat) \implies (j + i) - k = (j - k) + i$   
**by** (simp add: add-commute diff-add-assoc)

**lemma** *le-imp-diff-is-add*:  $i \leq (j::nat) \implies (j - i = k) = (j = k + i)$   
**by** (auto simp add: diff-add-inverse2)

**lemma** *diff-is-0-eq* [simp]:  $((m::nat) - n = 0) = (m \leq n)$   
**by** (induct m n rule: diff-induct) simp-all

**lemma** *diff-is-0-eq'* [simp]:  $m \leq n \implies (m::nat) - n = 0$   
**by** (rule iffD2, rule diff-is-0-eq)

**lemma** *zero-less-diff* [simp]:  $(0 < n - (m::nat)) = (m < n)$   
**by** (induct m n rule: diff-induct) simp-all

**lemma** *less-imp-add-positive*:  
**assumes**  $i < j$   
**shows**  $\exists k::nat. 0 < k \ \& \ i + k = j$

**proof**

**from** *assms* **show**  $0 < j - i \ \& \ i + (j - i) = j$

**by** (*simp add: order-less-imp-le*)

**qed**

a nice rewrite for bounded subtraction

**lemma** *nat-minus-add-max*:

**fixes**  $n \ m :: \text{nat}$

**shows**  $n - m + m = \max \ n \ m$

**by** (*simp add: max-def not-le order-less-imp-le*)

**lemma** *nat-diff-split*:

$P(a - b::\text{nat}) = ((a < b \dashrightarrow P \ 0) \ \& \ (\text{ALL } d. a = b + d \dashrightarrow P \ d))$

— elimination of  $-$  on *nat*

**by** (*cases a < b*)

(*auto simp add: diff-is-0-eq [THEN iffD2] diff-add-inverse*  
*not-less le-less dest!: sym [of a] sym [of b] add-eq-self-zero*)

**lemma** *nat-diff-split-asm*:

$P(a - b::\text{nat}) = (\sim (a < b \ \& \ \sim P \ 0) \mid (\text{EX } d. a = b + d \ \& \ \sim P \ d))$

— elimination of  $-$  on *nat* in assumptions

**by** (*auto split: nat-diff-split*)

#### 15.4.9 Monotonicity of Multiplication

**lemma** *mult-le-mono1*:  $i \leq (j::\text{nat}) \implies i * k \leq j * k$

**by** (*simp add: mult-right-mono*)

**lemma** *mult-le-mono2*:  $i \leq (j::\text{nat}) \implies k * i \leq k * j$

**by** (*simp add: mult-left-mono*)

$\leq$  monotonicity, BOTH arguments

**lemma** *mult-le-mono*:  $i \leq (j::\text{nat}) \implies k \leq l \implies i * k \leq j * l$

**by** (*simp add: mult-mono*)

**lemma** *mult-less-mono1*:  $(i::\text{nat}) < j \implies 0 < k \implies i * k < j * k$

**by** (*simp add: mult-strict-right-mono*)

Differs from the standard *zero-less-mult-iff* in that there are no negative numbers.

**lemma** *nat-0-less-mult-iff* [*simp*]:  $(0 < (m::\text{nat}) * n) = (0 < m \ \& \ 0 < n)$

**apply** (*induct m*)

**apply** *simp*

**apply** (*case-tac n*)

**apply** *simp-all*

**done**

**lemma** *one-le-mult-iff* [*simp*]:  $(\text{Suc } 0 \leq m * n) = (\text{Suc } 0 \leq m \ \& \ \text{Suc } 0 \leq n)$

**apply** (*induct m*)

```

    apply simp
    apply (case-tac n)
    apply simp-all
    done

lemma mult-less-cancel2 [simp]: ((m::nat) * k < n * k) = (0 < k & m < n)
  apply (safe intro!: mult-less-mono1)
  apply (case-tac k, auto)
  apply (simp del: le-0-eq add: linorder-not-le [symmetric])
  apply (blast intro: mult-le-mono1)
  done

lemma mult-less-cancel1 [simp]: (k * (m::nat) < k * n) = (0 < k & m < n)
  by (simp add: mult-commute [of k])

lemma mult-le-cancel1 [simp]: (k * (m::nat) ≤ k * n) = (0 < k → m ≤ n)
  by (simp add: linorder-not-less [symmetric], auto)

lemma mult-le-cancel2 [simp]: ((m::nat) * k ≤ n * k) = (0 < k → m ≤ n)
  by (simp add: linorder-not-less [symmetric], auto)

lemma Suc-mult-less-cancel1: (Suc k * m < Suc k * n) = (m < n)
  by (subst mult-less-cancel1) simp

lemma Suc-mult-le-cancel1: (Suc k * m ≤ Suc k * n) = (m ≤ n)
  by (subst mult-le-cancel1) simp

lemma le-square: m ≤ m * (m::nat)
  by (cases m) (auto intro: le-add1)

lemma le-cube: (m::nat) ≤ m * (m * m)
  by (cases m) (auto intro: le-add1)

Lemma for gcd

lemma mult-eq-self-implies-10: (m::nat) = m * n ==> n = 1 | m = 0
  apply (drule sym)
  apply (rule disjCI)
  apply (rule nat-less-cases, erule-tac [2] -)
  apply (drule-tac [2] mult-less-mono2)
  apply (auto)
  done

the lattice order on nat

instantiation nat :: distrib-lattice
begin

definition
  (inf :: nat ⇒ nat ⇒ nat) = min

```

**definition**

$$(sup :: nat \Rightarrow nat \Rightarrow nat) = max$$
**instance by** *intro-classes*

$$(auto simp add: inf-nat-def sup-nat-def max-def not-le min-def \\ intro: order-less-imp-le antisym elim!: order-trans order-less-trans)$$
**end****15.5 Natural operation of natural numbers on functions**

We use the same logical constant for the power operations on functions and relations, in order to share the same syntax.

**consts** *compow* ::  $nat \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$

**abbreviation** *compower* ::  $('a \Rightarrow 'b) \Rightarrow nat \Rightarrow 'a \Rightarrow 'b$  (**infixr**  $^{\wedge}$  80) **where**  
 $f \wedge n \equiv compow\ n\ f$

**notation** (*latex output*)
$$compower\ ((-))\ [1000]\ 1000$$
**notation** (*HTML output*)
$$compower\ ((-))\ [1000]\ 1000$$

$f \wedge n = f \circ \dots \circ f$ , the  $n$ -fold composition of  $f$

**overloading**

$$funpow == compow :: nat \Rightarrow ('a \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a)$$
**begin**

**primrec** *funpow* ::  $nat \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$  **where**

$$funpow\ 0\ f = id$$

$$| funpow\ (Suc\ n)\ f = f \circ funpow\ n\ f$$
**end**

for code generation

**definition** *funpow* ::  $nat \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$  **where**

$$funpow\text{-code-def}\ [code\text{-post}]: funpow = compow$$

**lemmas**  $[code\text{-unfold}] = funpow\text{-code-def}\ [symmetric]$

**lemma**  $[code]:$

$$funpow\ 0\ f = id$$

$$funpow\ (Suc\ n)\ f = f \circ funpow\ n\ f$$

**unfolding** *funpow-code-def* **by** *simp-all*

**hide-const** (**open**) *funpow*



**lemma** *funpow-add*:

$f^{m+n} = f^m \circ f^n$

**by** (*induct m*) *simp-all*

**lemma** *funpow-swap1*:

$f((f^n) x) = (f^n)(f x)$

**proof** –

**have**  $f((f^n) x) = (f^{n+1}) x$  **by** *simp*

**also have**  $\dots = (f^n \circ f^1) x$  **by** (*simp only: funpow-add*)

**also have**  $\dots = (f^n)(f x)$  **by** *simp*

**finally show** *?thesis* .

**qed**

## 15.6 Embedding of the Naturals into any *semiring-1*: *of-nat*

**context** *semiring-1*

**begin**

**primrec**

*of-nat* :: *nat*  $\Rightarrow$  *'a*

**where**

*of-nat-0*:  $of\text{-}nat\ 0 = 0$

| *of-nat-Suc*:  $of\text{-}nat\ (Suc\ m) = 1 + of\text{-}nat\ m$

**lemma** *of-nat-1* [*simp*]:  $of\text{-}nat\ 1 = 1$

**unfolding** *One-nat-def* **by** *simp*

**lemma** *of-nat-add* [*simp*]:  $of\text{-}nat\ (m + n) = of\text{-}nat\ m + of\text{-}nat\ n$

**by** (*induct m*) (*simp-all add: add-ac*)

**lemma** *of-nat-mult*:  $of\text{-}nat\ (m * n) = of\text{-}nat\ m * of\text{-}nat\ n$

**by** (*induct m*) (*simp-all add: add-ac left-distrib*)

**primrec** *of-nat-aux* :: (*'a*  $\Rightarrow$  *'a*)  $\Rightarrow$  *nat*  $\Rightarrow$  *'a*  $\Rightarrow$  *'a* **where**

*of-nat-aux inc 0 i* = *i*

| *of-nat-aux inc (Suc n) i* = *of-nat-aux inc n (inc i)* — tail recursive

**lemma** *of-nat-code*:

$of\text{-}nat\ n = of\text{-}nat\text{-}aux\ (\lambda i. i + 1)\ n\ 0$

**proof** (*induct n*)

**case 0** **then show** *?case* **by** *simp*

**next**

**case** (*Suc n*)

**have**  $\bigwedge i. of\text{-}nat\text{-}aux\ (\lambda i. i + 1)\ n\ (i + 1) = of\text{-}nat\text{-}aux\ (\lambda i. i + 1)\ n\ i + 1$

**by** (*induct n*) *simp-all*

**from this** [*of 0*] **have**  $of\text{-}nat\text{-}aux\ (\lambda i. i + 1)\ n\ 1 = of\text{-}nat\text{-}aux\ (\lambda i. i + 1)\ n\ 0$

+ 1

**by** *simp*

**with** *Suc* **show** *?case* **by** (*simp add: add-commute*)

qed

end

**declare** *of-nat-code* [*code*, *code-unfold*, *code-inline del*]

Class for unital semirings with characteristic zero. Includes non-ordered rings like the complex numbers.

**class** *semiring-char-0* = *semiring-1* +  
**assumes** *of-nat-eq-iff* [*simp*]: *of-nat m = of-nat n*  $\longleftrightarrow$  *m = n*  
**begin**

Special cases where either operand is zero

**lemma** *of-nat-0-eq-iff* [*simp*, *no-atp*]: *0 = of-nat n*  $\longleftrightarrow$  *0 = n*  
**by** (*rule of-nat-eq-iff* [*of 0 n*, *unfolded of-nat-0*])

**lemma** *of-nat-eq-0-iff* [*simp*, *no-atp*]: *of-nat m = 0*  $\longleftrightarrow$  *m = 0*  
**by** (*rule of-nat-eq-iff* [*of m 0*, *unfolded of-nat-0*])

**lemma** *inj-of-nat*: *inj of-nat*  
**by** (*simp add: inj-on-def*)

end

**context** *linordered-semidom*  
**begin**

**lemma** *zero-le-imp-of-nat*: *0 ≤ of-nat m*  
**by** (*induct m*) *simp-all*

**lemma** *less-imp-of-nat-less*: *m < n*  $\implies$  *of-nat m < of-nat n*  
**apply** (*induct m n rule: diff-induct, simp-all*)  
**apply** (*rule add-pos-nonneg* [*OF zero-less-one zero-le-imp-of-nat*])  
**done**

**lemma** *of-nat-less-imp-less*: *of-nat m < of-nat n*  $\implies$  *m < n*  
**apply** (*induct m n rule: diff-induct, simp-all*)  
**apply** (*insert zero-le-imp-of-nat*)  
**apply** (*force simp add: not-less* [*symmetric*])  
**done**

**lemma** *of-nat-less-iff* [*simp*]: *of-nat m < of-nat n*  $\longleftrightarrow$  *m < n*  
**by** (*blast intro: of-nat-less-imp-less less-imp-of-nat-less*)

**lemma** *of-nat-le-iff* [*simp*]: *of-nat m ≤ of-nat n*  $\longleftrightarrow$  *m ≤ n*  
**by** (*simp add: not-less* [*symmetric*] *linorder-not-less* [*symmetric*])

Every *linordered-semidom* has characteristic zero.

**subclass** *semiring-char-0*

**proof qed** (*simp add: eq-iff order-eq-iff*)

Special cases where either operand is zero

**lemma** *of-nat-0-le-iff* [*simp*]:  $0 \leq \text{of-nat } n$   
**by** (*rule of-nat-le-iff [of 0, simplified]*)

**lemma** *of-nat-le-0-iff* [*simp, no-atp*]:  $\text{of-nat } m \leq 0 \longleftrightarrow m = 0$   
**by** (*rule of-nat-le-iff [of - 0, simplified]*)

**lemma** *of-nat-0-less-iff* [*simp*]:  $0 < \text{of-nat } n \longleftrightarrow 0 < n$   
**by** (*rule of-nat-less-iff [of 0, simplified]*)

**lemma** *of-nat-less-0-iff* [*simp*]:  $\neg \text{of-nat } m < 0$   
**by** (*rule of-nat-less-iff [of - 0, simplified]*)

**end**

**context** *ring-1*  
**begin**

**lemma** *of-nat-diff*:  $n \leq m \implies \text{of-nat } (m - n) = \text{of-nat } m - \text{of-nat } n$   
**by** (*simp add: algebra-simps of-nat-add [symmetric]*)

**end**

**context** *linordered-idom*  
**begin**

**lemma** *abs-of-nat* [*simp*]:  $|\text{of-nat } n| = \text{of-nat } n$   
**unfolding** *abs-if* **by** *auto*

**end**

**lemma** *of-nat-id* [*simp*]:  $\text{of-nat } n = n$   
**by** (*induct n*) *simp-all*

**lemma** *of-nat-eq-id* [*simp*]:  $\text{of-nat} = \text{id}$   
**by** (*auto simp add: expand-fun-eq*)

## 15.7 The Set of Natural Numbers

**context** *semiring-1*  
**begin**

**definition**

*Nats* :: 'a set **where**  
~~[code del]:~~ *Nats* = *range of-nat*

**notation** (*xsymbols*)

*Nats* ( $\mathbb{N}$ )

**lemma** *of-nat-in-Nats* [*simp*]: *of-nat*  $n \in \mathbb{N}$   
**by** (*simp add: Nats-def*)

**lemma** *Nats-0* [*simp*]:  $0 \in \mathbb{N}$   
**apply** (*simp add: Nats-def*)  
**apply** (*rule range-eqI*)  
**apply** (*rule of-nat-0 [symmetric]*)  
**done**

**lemma** *Nats-1* [*simp*]:  $1 \in \mathbb{N}$   
**apply** (*simp add: Nats-def*)  
**apply** (*rule range-eqI*)  
**apply** (*rule of-nat-1 [symmetric]*)  
**done**

**lemma** *Nats-add* [*simp*]:  $a \in \mathbb{N} \implies b \in \mathbb{N} \implies a + b \in \mathbb{N}$   
**apply** (*auto simp add: Nats-def*)  
**apply** (*rule range-eqI*)  
**apply** (*rule of-nat-add [symmetric]*)  
**done**

**lemma** *Nats-mult* [*simp*]:  $a \in \mathbb{N} \implies b \in \mathbb{N} \implies a * b \in \mathbb{N}$   
**apply** (*auto simp add: Nats-def*)  
**apply** (*rule range-eqI*)  
**apply** (*rule of-nat-mult [symmetric]*)  
**done**

**lemma** *Nats-cases* [*cases set: Nats*]:  
**assumes**  $x \in \mathbb{N}$   
**obtains** (*of-nat*)  $n$  **where**  $x = \text{of-nat } n$   
**unfolding** *Nats-def*  
**proof** –  
**from**  $\langle x \in \mathbb{N} \rangle$  **have**  $x \in \text{range of-nat}$  **unfolding** *Nats-def* .  
**then obtain**  $n$  **where**  $x = \text{of-nat } n$  ..  
**then show** *thesis* ..  
**qed**

**lemma** *Nats-induct* [*case-names of-nat, induct set: Nats*]:  
 $x \in \mathbb{N} \implies (\bigwedge n. P (\text{of-nat } n)) \implies P x$   
**by** (*rule Nats-cases*) *auto*

**end**

## 15.8 Further Arithmetic Facts Concerning the Natural Numbers

**lemma** *subst-equals*:

```

    assumes 1:  $t = s$  and 2:  $u = t$ 
    shows  $u = s$ 
    using 2 1 by (rule trans)

setup Arith-Data.setup

use Tools/nat-arith.ML
declaration  $\ll K \text{ Nat-Arith.setup} \gg$ 

use Tools/lin-arith.ML
setup  $\ll \text{Lin-Arith.global-setup} \gg$ 
declaration  $\ll K \text{ Lin-Arith.setup} \gg$ 

lemmas [arith-split] = nat-diff-split split-min split-max

context order
begin

lemma lift-Suc-mono-le:
  assumes mono:  $!!n. f\ n \leq f(\text{Suc } n)$  and  $n \leq n'$ 
  shows  $f\ n \leq f\ n'$ 
proof (cases  $n < n'$ )
  case True
  thus ?thesis
    by (induct  $n\ n'$  rule: less-Suc-induct[consumes 1]) (auto intro: mono)
qed (insert  $\langle n \leq n', \text{auto} \rangle$  — trivial for  $n = n'$ )

lemma lift-Suc-mono-less:
  assumes mono:  $!!n. f\ n < f(\text{Suc } n)$  and  $n < n'$ 
  shows  $f\ n < f\ n'$ 
using  $\langle n < n' \rangle$ 
by (induct  $n\ n'$  rule: less-Suc-induct[consumes 1]) (auto intro: mono)

lemma lift-Suc-mono-less-iff:
  ( $!!n. f\ n < f(\text{Suc } n)$ )  $\implies f(n) < f(m) \longleftrightarrow n < m$ 
by (blast intro: less-asym' lift-Suc-mono-less[of f]
    dest: linorder-not-less[THEN iffD1] le-eq-less-or-eq[THEN iffD1])

end

lemma mono-iff-le-Suc:  $\text{mono } f = (\forall n. f\ n \leq f(\text{Suc } n))$ 
unfolding mono-def
by (auto intro: lift-Suc-mono-le[of f])

lemma mono-nat-linear-lb:
  ( $!!m\ n::\text{nat}. m < n \implies f\ m < f\ n$ )  $\implies f(m)+k \leq f(m+k)$ 
apply (induct-tac k)
  apply simp
  apply (erule-tac  $x=m+n$  in meta-allE)

```

**apply**(*erule-tac*  $x = \text{Suc}(m+n)$  **in** *meta-allE*)  
**apply** *simp*  
**done**

Subtraction laws, mostly by Clemens Ballarin

**lemma** *diff-less-mono*:  $[[ a < (b::nat); c \leq a ]] ==> a - c < b - c$   
**by** *arith*

**lemma** *less-diff-conv*:  $(i < j - k) = (i + k < (j::nat))$   
**by** *arith*

**lemma** *le-diff-conv*:  $(j - k \leq (i::nat)) = (j \leq i + k)$   
**by** *arith*

**lemma** *le-diff-conv2*:  $k \leq j ==> (i \leq j - k) = (i + k \leq (j::nat))$   
**by** *arith*

**lemma** *diff-diff-cancel* [*simp*]:  $i \leq (n::nat) ==> n - (n - i) = i$   
**by** *arith*

**lemma** *le-add-diff*:  $k \leq (n::nat) ==> m \leq n + m - k$   
**by** *arith*

**lemma** *diff-less* [*simp*]:  $!!m::nat. [[ 0 < n; 0 < m ]] ==> m - n < m$   
**by** *arith*

Simplification of relational expressions involving subtraction

**lemma** *diff-diff-eq*:  $[[ k \leq m; k \leq (n::nat) ]] ==> ((m - k) - (n - k)) = (m - n)$   
**by** (*simp split add: nat-diff-split*)

**hide-fact** (**open**) *diff-diff-eq*

**lemma** *eq-diff-iff*:  $[[ k \leq m; k \leq (n::nat) ]] ==> (m - k = n - k) = (m = n)$   
**by** (*auto split add: nat-diff-split*)

**lemma** *less-diff-iff*:  $[[ k \leq m; k \leq (n::nat) ]] ==> (m - k < n - k) = (m < n)$   
**by** (*auto split add: nat-diff-split*)

**lemma** *le-diff-iff*:  $[[ k \leq m; k \leq (n::nat) ]] ==> (m - k \leq n - k) = (m \leq n)$   
**by** (*auto split add: nat-diff-split*)

(Anti)Monotonicity of subtraction – by Stephan Merz

**lemma** *diff-le-mono*:  $m \leq (n::nat) ==> (m - l) \leq (n - l)$   
**by** (*simp split add: nat-diff-split*)

**lemma** *diff-le-mono2*:  $m \leq (n::nat) ==> (l - n) \leq (l - m)$   
**by** (*simp split add: nat-diff-split*)

**lemma** *diff-less-mono2*:  $[[\ m < (n::nat); m < l \ ]] \implies (l - n) < (l - m)$   
**by** (*simp split add: nat-diff-split*)

**lemma** *diffs0-imp-equal*:  $!!m::nat. [[\ m - n = 0; n - m = 0 \ ]] \implies m = n$   
**by** (*simp split add: nat-diff-split*)

**lemma** *min-diff*:  $\min (m - (i::nat)) (n - i) = \min m\ n - i$   
**by** *auto*

**lemma** *inj-on-diff-nat*:  
**assumes** *k-le-n*:  $\forall n \in N. k \leq (n::nat)$   
**shows** *inj-on*  $(\lambda n. n - k)\ N$   
**proof** (*rule inj-onI*)  
**fix** *x y*  
**assume** *a*:  $x \in N\ y \in N\ x - k = y - k$   
**with** *k-le-n* **have**  $x - k + k = y - k + k$  **by** *auto*  
**with** *a k-le-n* **show**  $x = y$  **by** *auto*  
**qed**

Rewriting to pull differences out

**lemma** *diff-diff-right* [*simp*]:  $k \leq j \implies i - (j - k) = i + (k::nat) - j$   
**by** *arith*

**lemma** *diff-Suc-diff-eq1* [*simp*]:  $k \leq j \implies m - \text{Suc } (j - k) = m + k - \text{Suc } j$   
**by** *arith*

**lemma** *diff-Suc-diff-eq2* [*simp*]:  $k \leq j \implies \text{Suc } (j - k) - m = \text{Suc } j - (k + m)$   
**by** *arith*

Lemmas for ex/Factorization

**lemma** *one-less-mult*:  $[[\ \text{Suc } 0 < n; \text{Suc } 0 < m \ ]] \implies \text{Suc } 0 < m * n$   
**by** (*cases m*) *auto*

**lemma** *n-less-m-mult-n*:  $[[\ \text{Suc } 0 < n; \text{Suc } 0 < m \ ]] \implies n < m * n$   
**by** (*cases m*) *auto*

**lemma** *n-less-n-mult-m*:  $[[\ \text{Suc } 0 < n; \text{Suc } 0 < m \ ]] \implies n < n * m$   
**by** (*cases m*) *auto*

Specialized induction principles that work ”backwards”:

**lemma** *inc-induct*[*consumes 1, case-names base step*]:  
**assumes** *less*:  $i \leq j$   
**assumes** *base*:  $P\ j$   
**assumes** *step*:  $!!i. [[\ i < j; P (\text{Suc } i) \ ]] \implies P\ i$   
**shows**  $P\ i$   
**using** *less*  
**proof** (*induct d == j - i arbitrary: i*)  
**case**  $(0\ i)$   
**hence**  $i = j$  **by** *simp*

```

  with base show ?case by simp
next
  case (Suc d i)
  hence  $i < j$  P (Suc i)
  by simp-all
  thus P i by (rule step)
qed

```

**lemma** *strict-inc-induct*[consumes 1, case-names base step]:

```

  assumes less:  $i < j$ 
  assumes base:  $!!i. j = \text{Suc } i \implies P \ i$ 
  assumes step:  $!!i. [i < j; P \ (\text{Suc } i)] \implies P \ i$ 
  shows P i
  using less
proof (induct  $d == j - i - 1$  arbitrary: i)
  case (0 i)
  with  $\langle i < j \rangle$  have  $j = \text{Suc } i$  by simp
  with base show ?case by simp
next
  case (Suc d i)
  hence  $i < j$  P (Suc i)
  by simp-all
  thus P i by (rule step)
qed

```

**lemma** *zero-induct-lemma*:  $P \ k \implies (!!n. P \ (\text{Suc } n) \implies P \ n) \implies P \ (k - i)$   
 using *inc-induct*[of  $k - i$  k P, simplified] by blast

**lemma** *zero-induct*:  $P \ k \implies (!!n. P \ (\text{Suc } n) \implies P \ n) \implies P \ 0$   
 using *inc-induct*[of 0 k P] by blast

```

lemmas add-diff-assoc = diff-add-assoc [symmetric]
lemmas add-diff-assoc2 = diff-add-assoc2[symmetric]
declare diff-diff-left [simp] add-diff-assoc [simp] add-diff-assoc2[simp]

```

At present we prove no analogue of *not-less-Least* or *Least-Suc*, since there appears to be no need.

## 15.9 The divides relation on nat

**lemma** *dvd-1-left* [iff]:  $\text{Suc } 0 \text{ dvd } k$   
 unfolding *dvd-def* by simp

**lemma** *dvd-1-iff-1* [simp]:  $(m \text{ dvd } \text{Suc } 0) = (m = \text{Suc } 0)$   
 by (simp add: *dvd-def*)

**lemma** *nat-dvd-1-iff-1* [simp]:  $m \text{ dvd } (1::\text{nat}) \longleftrightarrow m = 1$   
 by (simp add: *dvd-def*)



```

lemma dvd-antisym: [| m dvd n; n dvd m |] ==> m = (n::nat)
  unfolding dvd-def
  by (force dest: mult-eq-self-implies-10 simp add: mult-assoc)

```

*op dvd* is a partial order

```

interpretation dvd: order op dvd λn m :: nat. n dvd m ∧ ¬ m dvd n
  proof qed (auto intro: dvd-refl dvd-trans dvd-antisym)

```

```

lemma dvd-diff-nat[simp]: [| k dvd m; k dvd n |] ==> k dvd (m-n :: nat)
unfolding dvd-def
by (blast intro: diff-mult-distrib2 [symmetric])

```

```

lemma dvd-diffD: [| k dvd m-n; k dvd n; n ≤ m |] ==> k dvd (m::nat)
  apply (erule linorder-not-less [THEN iffD2, THEN add-diff-inverse, THEN
subst])
  apply (blast intro: dvd-add)
  done

```

```

lemma dvd-diffD1: [| k dvd m-n; k dvd m; n ≤ m |] ==> k dvd (n::nat)
by (drule-tac m = m in dvd-diff-nat, auto)

```

```

lemma dvd-reduce: (k dvd n + k) = (k dvd (n::nat))
  apply (rule iffI)
  apply (erule-tac [2] dvd-add)
  apply (rule-tac [2] dvd-refl)
  apply (subgoal-tac n = (n+k) -k)
  prefer 2 apply simp
  apply (erule ssubst)
  apply (erule dvd-diff-nat)
  apply (rule dvd-refl)
  done

```

```

lemma dvd-mult-cancel: !!k::nat. [| k*m dvd k*n; 0 < k |] ==> m dvd n
  unfolding dvd-def
  apply (erule exE)
  apply (simp add: mult-ac)
  done

```

```

lemma dvd-mult-cancel1: 0 < m ==> (m*n dvd m) = (n = (1::nat))
  apply auto
  apply (subgoal-tac m*n dvd m*1)
  apply (drule dvd-mult-cancel, auto)
  done

```

```

lemma dvd-mult-cancel2: 0 < m ==> (n*m dvd m) = (n = (1::nat))
  apply (subst mult-commute)
  apply (erule dvd-mult-cancel1)
  done

```

```
lemma dvd-imp-le: [| k dvd n; 0 < n |] ==> k ≤ (n::nat)
by (auto elim!: dvdE) (auto simp add: gr0-conv-Suc)
```

```
lemma nat-dvd-not-less:
  fixes m n :: nat
  shows 0 < m ==> m < n ==> ¬ n dvd m
by (auto elim!: dvdE) (auto simp add: gr0-conv-Suc)
```

### 15.10 size of a datatype value

```
class size =
  fixes size :: 'a ⇒ nat — see further theory Wellfounded
```

### 15.11 code module namespace

```
code-modulename SML
  Nat Arith
```

```
code-modulename OCaml
  Nat Arith
```

```
code-modulename Haskell
  Nat Arith
```

```
end
```

## 16 Datatype: Datatype package: constructing datatypes from Cartesian Products and Disjoint Sums

```
theory Datatype
imports Product-Type Sum-Type Nat
uses
  (Tools/Datatype/datatype.ML)
  (Tools/inductive-realizer.ML)
  (Tools/Datatype/datatype-realizer.ML)
begin
```

### 16.1 The datatype universe

```
typedef (Node)
  ('a,'b) node = {p. EX f x k. p = (f::nat=>'b+nat, x::'a+nat) & f k = Inr 0}
  — it is a subtype of (nat=>'b+nat) * ('a+nat)
by auto
```

Datatypes will be represented by sets of type *node*

```
types 'a item = ('a, unit) node set
```

$$('a, 'b) \text{ dtree} = ('a, 'b) \text{ node set}$$

**consts**

$$\text{Push} \quad :: [('b + \text{nat}), \text{nat} \Rightarrow ('b + \text{nat})] \Rightarrow (\text{nat} \Rightarrow ('b + \text{nat}))$$

$$\text{Push-Node} \quad :: [('b + \text{nat}), ('a, 'b) \text{ node}] \Rightarrow ('a, 'b) \text{ node}$$

$$\text{ndepth} \quad :: ('a, 'b) \text{ node} \Rightarrow \text{nat}$$

$$\text{Atom} \quad :: ('a + \text{nat}) \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{Leaf} \quad :: 'a \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{Numb} \quad :: \text{nat} \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{Scons} \quad :: [('a, 'b) \text{ dtree}, ('a, 'b) \text{ dtree}] \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{In0} \quad :: ('a, 'b) \text{ dtree} \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{In1} \quad :: ('a, 'b) \text{ dtree} \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{Lim} \quad :: ('b \Rightarrow ('a, 'b) \text{ dtree}) \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{ntrunc} \quad :: [\text{nat}, ('a, 'b) \text{ dtree}] \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{uprod} \quad :: [('a, 'b) \text{ dtree set}, ('a, 'b) \text{ dtree set}] \Rightarrow ('a, 'b) \text{ dtree set}$$

$$\text{usum} \quad :: [('a, 'b) \text{ dtree set}, ('a, 'b) \text{ dtree set}] \Rightarrow ('a, 'b) \text{ dtree set}$$

$$\text{Split} \quad :: [[('a, 'b) \text{ dtree}, ('a, 'b) \text{ dtree}] \Rightarrow 'c, ('a, 'b) \text{ dtree}] \Rightarrow 'c$$

$$\text{Case} \quad :: [[('a, 'b) \text{ dtree}] \Rightarrow 'c, [('a, 'b) \text{ dtree}] \Rightarrow 'c, ('a, 'b) \text{ dtree}] \Rightarrow 'c$$

$$\text{dprod} \quad :: [((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}, ((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}) \Rightarrow ((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set})$$

$$\text{dsum} \quad :: [((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}, ((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}) \Rightarrow ((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set})$$

**defs**

$$\text{Push-Node-def: } \text{Push-Node} == (\%n \ x. \text{Abs-Node} (\text{apfst} (\text{Push } n) (\text{Rep-Node } x)))$$

$$\text{Push-def: } \text{Push} == (\%b \ h. \text{nat-case } b \ h)$$

$$\text{Atom-def: } \text{Atom} == (\%x. \{\text{Abs-Node}((\%k. \text{Inr } 0, x))\})$$

$$\text{Scons-def: } \text{Scons } M \ N == (\text{Push-Node } (\text{Inr } 1) \text{ ' } M) \ \text{Un} \ (\text{Push-Node } (\text{Inr } (\text{Suc } 1)) \text{ ' } N)$$

$$\text{Leaf-def: } \text{Leaf} == \text{Atom } o \ \text{Inl}$$

$$\text{Numb-def: } \text{Numb} == \text{Atom } o \ \text{Inr}$$

*In0-def:*  $In0(M) == Scons (Numb\ 0)\ M$

*In1-def:*  $In1(M) == Scons (Numb\ 1)\ M$

*Lim-def:*  $Lim\ f == Union\ \{z.\ ?\ x.\ z = PushNode\ (Inl\ x)\ '\ (f\ x)\}$

*ndepth-def:*  $ndepth(n) == (\%(f,x).\ LEAST\ k.\ f\ k = Inr\ 0)\ (RepNode\ n)$

*ntrunc-def:*  $ntrunc\ k\ N == \{n.\ n:N\ \&\ ndepth(n) < k\}$

*uprod-def:*  $uprod\ A\ B == UN\ x:A.\ UN\ y:B.\ \{Scons\ x\ y\}$

*usum-def:*  $usum\ A\ B == In0'A\ Un\ In1'B$

*Split-def:*  $Split\ c\ M == THE\ u.\ EX\ x\ y.\ M = Scons\ x\ y\ \&\ u = c\ x\ y$

*Case-def:*  $Case\ c\ d\ M == THE\ u.\ (EX\ x.\ M = In0(x)\ \&\ u = c(x))$   
 $\quad\quad\quad | (EX\ y.\ M = In1(y)\ \&\ u = d(y))$

*dprod-def:*  $dprod\ r\ s == UN\ (x,x'):r.\ UN\ (y,y'):s.\ \{(Scons\ x\ y,\ Scons\ x'\ y')\}$

*dsum-def:*  $dsum\ r\ s == (UN\ (x,x'):r.\ \{(In0(x),In0(x'))\})\ Un$   
 $\quad\quad\quad (UN\ (y,y'):s.\ \{(In1(y),In1(y'))\})$

**lemma** *apfst-convE:*

$\llbracket q = apfst\ f\ p;\ !x\ y.\ \llbracket p = (x,y);\ q = (f(x),y)\ \rrbracket ==>\ R$   
 $\llbracket \rrbracket ==>\ R$

**by** (*force simp add: apfst-def*)

**lemma** *Push-inject1:*  $Push\ i\ f = Push\ j\ g ==> i=j$

**apply** (*simp add: Push-def expand-fun-eq*)

**apply** (*drule-tac x=0 in spec, simp*)

**done**

**lemma** *Push-inject2:*  $Push\ i\ f = Push\ j\ g ==> f=g$

**apply** (*auto simp add: Push-def expand-fun-eq*)

**apply** (*drule-tac x=Suc x in spec, simp*)

**done**

**lemma** *Push-inject:*

$\llbracket \text{Push } i \ f = \text{Push } j \ g; \llbracket i=j; \ f=g \rrbracket \implies P \rrbracket \implies P$   
**by** (*blast dest: Push-inject1 Push-inject2*)

**lemma** *Push-neq-K0*:  $\text{Push } (\text{Inr } (\text{Suc } k)) \ f = (\%z. \text{Inr } 0) \implies P$   
**by** (*auto simp add: Push-def expand-fun-eq split: nat.split-asm*)

**lemmas** *Abs-Node-inj* = *Abs-Node-inject* [*THEN* [2] *rev-iffD1, standard*]

**lemma** *Node-K0-I*:  $(\%k. \text{Inr } 0, \ a) : \text{Node}$   
**by** (*simp add: Node-def*)

**lemma** *Node-Push-I*:  $p : \text{Node} \implies \text{apfst } (\text{Push } i) \ p : \text{Node}$   
**apply** (*simp add: Node-def Push-def*)  
**apply** (*fast intro!: apfst-conv nat-case-Suc [THEN trans]*)  
**done**

## 16.2 Freeness: Distinctness of Constructors

**lemma** *Scons-not-Atom* [*iff*]:  $\text{Scons } M \ N \neq \text{Atom}(a)$   
**unfolding** *Atom-def Scons-def Push-Node-def One-nat-def*  
**by** (*blast intro: Node-K0-I Rep-Node [THEN Node-Push-I]*  
*dest!: Abs-Node-inj*  
*elim!: apfst-convE sym [THEN Push-neq-K0]*)

**lemmas** *Atom-not-Scons* [*iff*] = *Scons-not-Atom* [*THEN not-sym, standard*]

**lemma** *inj-Atom*:  $\text{inj}(\text{Atom})$   
**apply** (*simp add: Atom-def*)  
**apply** (*blast intro!: inj-onI Node-K0-I dest!: Abs-Node-inj*)  
**done**  
**lemmas** *Atom-inject* = *inj-Atom* [*THEN injD, standard*]

**lemma** *Atom-Atom-eq* [*iff*]:  $(\text{Atom}(a) = \text{Atom}(b)) = (a = b)$   
**by** (*blast dest!: Atom-inject*)

**lemma** *inj-Leaf*:  $\text{inj}(\text{Leaf})$   
**apply** (*simp add: Leaf-def o-def*)  
**apply** (*rule inj-onI*)  
**apply** (*erule Atom-inject [THEN Inl-inject]*)  
**done**

**lemmas** *Leaf-inject* [*dest!*] = *inj-Leaf* [*THEN injD, standard*]

**lemma** *inj-Numb*: *inj(Numb)*  
**apply** (*simp add: Numb-def o-def*)  
**apply** (*rule inj-onI*)  
**apply** (*erule Atom-inject [THEN Inr-inject]*)  
**done**

**lemmas** *Numb-inject* [*dest!*] = *inj-Numb* [*THEN injD, standard*]

**lemma** *Push-Node-inject*:  

$$[[ \text{Push-Node } i \ m = \text{Push-Node } j \ n; \ [i=j; \ m=n] ] ==> P]$$
  

$$]] ==> P$$
  
**apply** (*simp add: Push-Node-def*)  
**apply** (*erule Abs-Node-inj [THEN apfst-convE]*)  
**apply** (*rule Rep-Node [THEN Node-Push-I]*) +  
**apply** (*erule sym [THEN apfst-convE]*)  
**apply** (*blast intro: Rep-Node-inject [THEN iffD1] trans sym elim!: Push-inject*)  
**done**

**lemma** *Scons-inject-lemma1*: *Scons M N <= Scons M' N' ==> M <= M'*  
**unfolding** *Scons-def One-nat-def*  
**by** (*blast dest!: Push-Node-inject*)

**lemma** *Scons-inject-lemma2*: *Scons M N <= Scons M' N' ==> N <= N'*  
**unfolding** *Scons-def One-nat-def*  
**by** (*blast dest!: Push-Node-inject*)

**lemma** *Scons-inject1*: *Scons M N = Scons M' N' ==> M = M'*  
**apply** (*erule equalityE*)  
**apply** (*iprover intro: equalityI Scons-inject-lemma1*)  
**done**

**lemma** *Scons-inject2*: *Scons M N = Scons M' N' ==> N = N'*  
**apply** (*erule equalityE*)  
**apply** (*iprover intro: equalityI Scons-inject-lemma2*)  
**done**

**lemma** *Scons-inject*:  

$$[[ \text{Scons } M \ N = \text{Scons } M' \ N'; \ [M=M'; \ N=N'] ] ==> P ] ==> P$$
  
**by** (*iprover dest: Scons-inject1 Scons-inject2*)

**lemma** *Scons-Scons-eq [iff]*:  $(\text{Scons } M \ N = \text{Scons } M' \ N') = (M=M' \ \& \ N=N')$

**by** (*blast elim!*: *Scons-inject*)

**lemma** *Scons-not-Leaf* [*iff*]: *Scons M N*  $\neq$  *Leaf(a)*  
**unfolding** *Leaf-def o-def* **by** (*rule Scons-not-Atom*)

**lemmas** *Leaf-not-Scons* [*iff*] = *Scons-not-Leaf* [*THEN not-sym, standard*]

**lemma** *Scons-not-Numb* [*iff*]: *Scons M N*  $\neq$  *Numb(k)*  
**unfolding** *Numb-def o-def* **by** (*rule Scons-not-Atom*)

**lemmas** *Numb-not-Scons* [*iff*] = *Scons-not-Numb* [*THEN not-sym, standard*]

**lemma** *Leaf-not-Numb* [*iff*]: *Leaf(a)*  $\neq$  *Numb(k)*  
**by** (*simp add: Leaf-def Numb-def*)

**lemmas** *Numb-not-Leaf* [*iff*] = *Leaf-not-Numb* [*THEN not-sym, standard*]

**lemma** *ndepth-K0*: *ndepth (Abs-Node(%k. Inr 0, x))* = 0  
**by** (*simp add: ndepth-def Node-K0-I [THEN Abs-Node-inverse] Least-equality*)

**lemma** *ndepth-Push-Node-aux*:  
*nat-case (Inr (Suc i)) f k = Inr 0 --> Suc(LEAST x. f x = Inr 0) <= k*  
**apply** (*induct-tac k, auto*)  
**apply** (*erule Least-le*)  
**done**

**lemma** *ndepth-Push-Node*:  
*ndepth (Push-Node (Inr (Suc i)) n) = Suc(ndepth(n))*  
**apply** (*insert Rep-Node [of n, unfolded Node-def]*)  
**apply** (*auto simp add: ndepth-def Push-Node-def*  
*Rep-Node [THEN Node-Push-I, THEN Abs-Node-inverse]*)  
**apply** (*rule Least-equality*)  
**apply** (*auto simp add: Push-def ndepth-Push-Node-aux*)  
**apply** (*erule LeastI*)  
**done**

**lemma** *ntrunc-0* [*simp*]: *ntrunc* 0 *M* = {}  
**by** (*simp add: ntrunc-def*)

**lemma** *ntrunc-Atom* [*simp*]: *ntrunc* (*Suc* *k*) (*Atom* *a*) = *Atom*(*a*)  
**by** (*auto simp add: Atom-def ntrunc-def ndepth-K0*)

**lemma** *ntrunc-Leaf* [*simp*]: *ntrunc* (*Suc* *k*) (*Leaf* *a*) = *Leaf*(*a*)  
**unfolding** *Leaf-def o-def* **by** (*rule ntrunc-Atom*)

**lemma** *ntrunc-Numb* [*simp*]: *ntrunc* (*Suc* *k*) (*Numb* *i*) = *Numb*(*i*)  
**unfolding** *Numb-def o-def* **by** (*rule ntrunc-Atom*)

**lemma** *ntrunc-Scons* [*simp*]:  
*ntrunc* (*Suc* *k*) (*Scons* *M* *N*) = *Scons* (*ntrunc* *k* *M*) (*ntrunc* *k* *N*)  
**unfolding** *Scons-def ntrunc-def One-nat-def*  
**by** (*auto simp add: ndepth-Push-Node*)

**lemma** *ntrunc-one-In0* [*simp*]: *ntrunc* (*Suc* 0) (*In0* *M*) = {}  
**apply** (*simp add: In0-def*)  
**apply** (*simp add: Scons-def*)  
**done**

**lemma** *ntrunc-In0* [*simp*]: *ntrunc* (*Suc*(*Suc* *k*)) (*In0* *M*) = *In0* (*ntrunc* (*Suc* *k*)  
*M*)  
**by** (*simp add: In0-def*)

**lemma** *ntrunc-one-In1* [*simp*]: *ntrunc* (*Suc* 0) (*In1* *M*) = {}  
**apply** (*simp add: In1-def*)  
**apply** (*simp add: Scons-def*)  
**done**

**lemma** *ntrunc-In1* [*simp*]: *ntrunc* (*Suc*(*Suc* *k*)) (*In1* *M*) = *In1* (*ntrunc* (*Suc* *k*)  
*M*)  
**by** (*simp add: In1-def*)

### 16.3 Set Constructions

**lemma** *uprodI* [*intro!*]: [*M:A; N:B*] ==> *Scons* *M* *N* : *uprod* *A* *B*  
**by** (*simp add: uprod-def*)

**lemma** *uprodE* [*elim!*]:  
[*c* : *uprod* *A* *B*;



$$\begin{aligned} & !!x\ y. \llbracket x:A; \ y:B; \ c = Scons\ x\ y \rrbracket ==> P \\ & \llbracket \rrbracket ==> P \\ \text{by } & (auto\ simp\ add: uprod-def) \end{aligned}$$

**lemma** *uprodE2*:  $\llbracket Scons\ M\ N : uprod\ A\ B; \llbracket M:A; \ N:B \rrbracket ==> P \rrbracket ==> P$   
**by** (*auto simp add: uprod-def*)

**lemma** *usum-In0I* [*intro*]:  $M:A ==> In0(M) : usum\ A\ B$   
**by** (*simp add: usum-def*)

**lemma** *usum-In1I* [*intro*]:  $N:B ==> In1(N) : usum\ A\ B$   
**by** (*simp add: usum-def*)

**lemma** *usumE* [*elim!*]:  

$$\begin{aligned} & \llbracket u : usum\ A\ B; \\ & \quad !!x. \llbracket x:A; \ u=In0(x) \rrbracket ==> P; \\ & \quad !!y. \llbracket y:B; \ u=In1(y) \rrbracket ==> P \\ & \rrbracket ==> P \\ \text{by } & (auto\ simp\ add: usum-def) \end{aligned}$$

**lemma** *In0-not-In1* [*iff*]:  $In0(M) \neq In1(N)$   
**unfolding** *In0-def In1-def One-nat-def* **by** *auto*

**lemmas** *In1-not-In0* [*iff*] = *In0-not-In1* [*THEN not-sym, standard*]

**lemma** *In0-inject*:  $In0(M) = In0(N) ==> M=N$   
**by** (*simp add: In0-def*)

**lemma** *In1-inject*:  $In1(M) = In1(N) ==> M=N$   
**by** (*simp add: In1-def*)

**lemma** *In0-eq* [*iff*]:  $(In0\ M = In0\ N) = (M=N)$   
**by** (*blast dest!: In0-inject*)

**lemma** *In1-eq* [*iff*]:  $(In1\ M = In1\ N) = (M=N)$   
**by** (*blast dest!: In1-inject*)

**lemma** *inj-In0*: *inj In0*  
**by** (*blast intro!: inj-onI*)

**lemma** *inj-In1*: *inj In1*

**by** (*blast intro!*: *inj-onI*)

**lemma** *Lim-inject*:  $\text{Lim } f = \text{Lim } g \implies f = g$   
**apply** (*simp add*: *Lim-def*)  
**apply** (*rule ext*)  
**apply** (*blast elim!*: *Push-Node-inject*)  
**done**

**lemma** *ntrunc-subsetI*:  $\text{ntrunc } k \ M \leq M$   
**by** (*auto simp add*: *ntrunc-def*)

**lemma** *ntrunc-subsetD*:  $(!!k. \text{ntrunc } k \ M \leq N) \implies M \leq N$   
**by** (*auto simp add*: *ntrunc-def*)

**lemma** *ntrunc-equality*:  $(!!k. \text{ntrunc } k \ M = \text{ntrunc } k \ N) \implies M = N$   
**apply** (*rule equalityI*)  
**apply** (*rule-tac* [!] *ntrunc-subsetD*)  
**apply** (*rule-tac* [!] *ntrunc-subsetI* [*THEN* [2] *subset-trans*], *auto*)  
**done**

**lemma** *ntrunc-o-equality*:  
 $[!k. (\text{ntrunc}(k) \ o \ h1) = (\text{ntrunc}(k) \ o \ h2)] \implies h1 = h2$   
**apply** (*rule ntrunc-equality* [*THEN ext*])  
**apply** (*simp add*: *expand-fun-eq*)  
**done**

**lemma** *uprod-mono*:  $[! A \leq A'; B \leq B'] \implies \text{uprod } A \ B \leq \text{uprod } A' \ B'$   
**by** (*simp add*: *uprod-def*, *blast*)

**lemma** *usum-mono*:  $[! A \leq A'; B \leq B'] \implies \text{usum } A \ B \leq \text{usum } A' \ B'$   
**by** (*simp add*: *usum-def*, *blast*)

**lemma** *Scons-mono*:  $[! M \leq M'; N \leq N'] \implies \text{Scons } M \ N \leq \text{Scons } M' \ N'$   
**by** (*simp add*: *Scons-def*, *blast*)

**lemma** *In0-mono*:  $M \leq N \implies \text{In0}(M) \leq \text{In0}(N)$   
**by** (*simp add*: *In0-def Scons-mono*)

**lemma** *In1-mono*:  $M \leq N \implies \text{In1}(M) \leq \text{In1}(N)$

**by** (*simp add: In1-def Scons-mono*)

**lemma** *Split* [*simp*]: *Split* *c* (*Scons* *M* *N*) = *c* *M* *N*  
**by** (*simp add: Split-def*)

**lemma** *Case-In0* [*simp*]: *Case* *c* *d* (*In0* *M*) = *c*(*M*)  
**by** (*simp add: Case-def*)

**lemma** *Case-In1* [*simp*]: *Case* *c* *d* (*In1* *N*) = *d*(*N*)  
**by** (*simp add: Case-def*)

**lemma** *ntrunc-UN1*: *ntrunc* *k* (*UN* *x*. *f*(*x*)) = (*UN* *x*. *ntrunc* *k* (*f* *x*))  
**by** (*simp add: ntrunc-def, blast*)

**lemma** *Scons-UN1-x*: *Scons* (*UN* *x*. *f* *x*) *M* = (*UN* *x*. *Scons* (*f* *x*) *M*)  
**by** (*simp add: Scons-def, blast*)

**lemma** *Scons-UN1-y*: *Scons* *M* (*UN* *x*. *f* *x*) = (*UN* *x*. *Scons* *M* (*f* *x*))  
**by** (*simp add: Scons-def, blast*)

**lemma** *In0-UN1*: *In0*(*UN* *x*. *f*(*x*)) = (*UN* *x*. *In0*(*f*(*x*)))  
**by** (*simp add: In0-def Scons-UN1-y*)

**lemma** *In1-UN1*: *In1*(*UN* *x*. *f*(*x*)) = (*UN* *x*. *In1*(*f*(*x*)))  
**by** (*simp add: In1-def Scons-UN1-y*)

**lemma** *dprodI* [*intro!*]:  

$$[[ (M, M'):r; (N, N'):s ]] ==> (Scons\ M\ N, Scons\ M'\ N') : dprod\ r\ s$$
**by** (*auto simp add: dprod-def*)

**lemma** *dprodE* [*elim!*]:  

$$[[ c : dprod\ r\ s; \\ !!x\ y\ x'\ y'. [[ (x, x') : r; (y, y') : s; \\ c = (Scons\ x\ y, Scons\ x'\ y') ]] ==> P \\ ]] ==> P$$
**by** (*auto simp add: dprod-def*)

**lemma** *dsum-In0I* [intro]:  $(M, M') : r ==> (In0(M), In0(M')) : dsum\ r\ s$   
**by** (*auto simp add: dsum-def*)

**lemma** *dsum-In1I* [intro]:  $(N, N') : s ==> (In1(N), In1(N')) : dsum\ r\ s$   
**by** (*auto simp add: dsum-def*)

**lemma** *dsumE* [elim!]:  

$$\begin{aligned} &[[\ w : dsum\ r\ s; \\ &\quad !!x\ x'.\ [[\ (x, x') : r;\ w = (In0(x), In0(x'))\ ]\ ] ==> P; \\ &\quad !!y\ y'.\ [[\ (y, y') : s;\ w = (In1(y), In1(y'))\ ]\ ] ==> P \\ &\quad ]\ ] ==> P \end{aligned}$$
  
**by** (*auto simp add: dsum-def*)

**lemma** *dprod-mono*:  $[[\ r <= r';\ s <= s'\ ]\ ] ==> dprod\ r\ s <= dprod\ r'\ s'$   
**by** *blast*

**lemma** *dsum-mono*:  $[[\ r <= r';\ s <= s'\ ]\ ] ==> dsum\ r\ s <= dsum\ r'\ s'$   
**by** *blast*

**lemma** *dprod-Sigma*:  $(dprod\ (A <*> B)\ (C <*> D)) <= (uprod\ A\ C) <*> (uprod\ B\ D)$   
**by** *blast*

**lemmas** *dprod-subset-Sigma* = *subset-trans* [*OF dprod-mono dprod-Sigma, standard*]

**lemma** *dprod-subset-Sigma2*:  

$$(dprod\ (Sigma\ A\ B)\ (Sigma\ C\ D)) <=$$
  

$$Sigma\ (uprod\ A\ C)\ (Split\ (\%x\ y.\ uprod\ (B\ x)\ (D\ y)))$$
  
**by** *auto*

**lemma** *dsum-Sigma*:  $(dsum\ (A <*> B)\ (C <*> D)) <= (usum\ A\ C) <*> (usum\ B\ D)$   
**by** *blast*

**lemmas** *dsum-subset-Sigma* = *subset-trans* [*OF dsum-mono dsum-Sigma, standard*]

hides popular names

**hide-type** (*open*) *node item*

**hide-const** (**open**) *Push Node Atom Leaf Numb Lim Split Case*

**use** *Tools/Datatype/datatype.ML*

**use** *Tools/inductive-realizer.ML*

**setup** *InductiveRealizer.setup*

**use** *Tools/Datatype/datatype-realizer.ML*

**setup** *Datatype-Realizer.setup*

**end**

## 17 Record: Extensible records with structural subtyping

**theory** *Record*

**imports** *Datatype*

**uses** (*Tools/record.ML*)

**begin**

### 17.1 Introduction

Records are isomorphic to compound tuple types. To implement efficient records, we make this isomorphism explicit. Consider the record access/update simplification  $\alpha (beta\text{-update } f \text{ rec}) = \alpha \text{ rec}$  for distinct fields  $\alpha$  and  $\beta$  of some record  $\text{rec}$  with  $n$  fields. There are  $n^2$  such theorems, which prohibits storage of all of them for large  $n$ . The rules can be proved on the fly by case decomposition and simplification in  $O(n)$  time. By creating  $O(n)$  isomorphic-tuple types while defining the record, however, we can prove the access/update simplification in  $O(\log(n)^2)$  time.

The  $O(n)$  cost of case decomposition is not because  $O(n)$  steps are taken, but rather because the resulting rule must contain  $O(n)$  new variables and an  $O(n)$  size concrete record construction. To sidestep this cost, we would like to avoid case decomposition in proving access/update theorems.

Record types are defined as isomorphic to tuple types. For instance, a record type with fields  $'a$ ,  $'b$ ,  $'c$  and  $'d$  might be introduced as isomorphic to  $'a \times ('b \times ('c \times 'd))$ . If we balance the tuple tree to  $('a \times 'b) \times ('c \times 'd)$  then accessors can be defined by converting to the underlying type then using  $O(\log(n))$  *fst* or *snd* operations. Updaters can be defined similarly, if we introduce a *fst-update* and *snd-update* function. Furthermore, we can prove the access/update theorem in  $O(\log(n))$  steps by using simple rewrites on *fst*, *snd*, *fst-update* and *snd-update*.

The catch is that, although  $O(\log(n))$  steps were taken, the underlying type we converted to is a tuple tree of size  $O(n)$ . Processing this term type wastes

performance. We avoid this for large  $n$  by taking each subtree of size  $K$  and defining a new type isomorphic to that tuple subtree. A record can now be defined as isomorphic to a tuple tree of these  $O(n/K)$  new types, or, if  $n > K * K$ , we can repeat the process, until the record can be defined in terms of a tuple tree of complexity less than the constant  $K$ .

If we prove the access/update theorem on this type with the analagous steps to the tuple tree, we consume  $O(\log(n)^2)$  time as the intermediate terms are  $O(\log(n))$  in size and the types needed have size bounded by  $K$ . To enable this analagous traversal, we define the functions seen below: *iso-tuple-fst*, *iso-tuple-snd*, *iso-tuple-fst-update* and *iso-tuple-snd-update*. These functions generalise tuple operations by taking a parameter that encapsulates a tuple isomorphism. The rewrites needed on these functions now need an additional assumption which is that the isomorphism works.

These rewrites are typically used in a structured way. They are here presented as the introduction rule *isomorphic-tuple.intros* rather than as a rewrite rule set. The introduction form is an optimisation, as net matching can be performed at one term location for each step rather than the simplifier searching the term for possible pattern matches. The rule set is used as it is viewed outside the locale, with the locale assumption (that the isomorphism is valid) left as a rule assumption. All rules are structured to aid net matching, using either a point-free form or an encapsulating predicate.

## 17.2 Operators and lemmas for types isomorphic to tuples

**datatype** ( $'a$ ,  $'b$ ,  $'c$ ) *tuple-isomorphism* =  
*Tuple-Isomorphism*  $'a \Rightarrow 'b \times 'c$   $'b \times 'c \Rightarrow 'a$

**primrec**

*repr* :: ( $'a$ ,  $'b$ ,  $'c$ ) *tuple-isomorphism*  $\Rightarrow 'a \Rightarrow 'b \times 'c$  **where**  
*repr* (*Tuple-Isomorphism*  $r$   $a$ ) =  $r$

**primrec**

*abst* :: ( $'a$ ,  $'b$ ,  $'c$ ) *tuple-isomorphism*  $\Rightarrow 'b \times 'c \Rightarrow 'a$  **where**  
*abst* (*Tuple-Isomorphism*  $r$   $a$ ) =  $a$

**definition**

*iso-tuple-fst* :: ( $'a$ ,  $'b$ ,  $'c$ ) *tuple-isomorphism*  $\Rightarrow 'a \Rightarrow 'b$  **where**  
*iso-tuple-fst isom* = *fst*  $\circ$  *repr isom*

**definition**

*iso-tuple-snd* :: ( $'a$ ,  $'b$ ,  $'c$ ) *tuple-isomorphism*  $\Rightarrow 'a \Rightarrow 'c$  **where**  
*iso-tuple-snd isom* = *snd*  $\circ$  *repr isom*

**definition**

*iso-tuple-fst-update* ::  
 $( 'a, 'b, 'c )$  *tuple-isomorphism*  $\Rightarrow ( 'b \Rightarrow 'b ) \Rightarrow ( 'a \Rightarrow 'a )$  **where**

*iso-tuple-fst-update isom*  $f = \text{abst isom} \circ \text{apfst } f \circ \text{repr isom}$

**definition**

*iso-tuple-snd-update* ::  
 (*'a*, *'b*, *'c*) *tuple-isomorphism*  $\Rightarrow$  (*'c*  $\Rightarrow$  *'c*)  $\Rightarrow$  (*'a*  $\Rightarrow$  *'a*) **where**  
*iso-tuple-snd-update isom*  $f = \text{abst isom} \circ \text{apsnd } f \circ \text{repr isom}$

**definition**

*iso-tuple-cons* ::  
 (*'a*, *'b*, *'c*) *tuple-isomorphism*  $\Rightarrow$  *'b*  $\Rightarrow$  *'c*  $\Rightarrow$  *'a* **where**  
*iso-tuple-cons isom*  $= \text{curry } (\text{abst isom})$

### 17.3 Logical infrastructure for records

**definition**

*iso-tuple-surjective-proof-assist* :: *'a*  $\Rightarrow$  *'b*  $\Rightarrow$  (*'a*  $\Rightarrow$  *'b*)  $\Rightarrow$  *bool* **where**  
*iso-tuple-surjective-proof-assist*  $x \ y \ f \longleftrightarrow f \ x = y$

**definition**

*iso-tuple-update-accessor-cong-assist* ::  
 ((*'b*  $\Rightarrow$  *'b*)  $\Rightarrow$  (*'a*  $\Rightarrow$  *'a*))  $\Rightarrow$  (*'a*  $\Rightarrow$  *'b*)  $\Rightarrow$  *bool* **where**  
*iso-tuple-update-accessor-cong-assist*  $\text{upd } \text{acc} \longleftrightarrow$   
 ( $\forall f \ v. \ \text{upd } (\lambda x. f \ (\text{acc } v)) \ v = \text{upd } f \ v$ )  $\wedge$  ( $\forall v. \ \text{upd } \text{id } v = v$ )

**definition**

*iso-tuple-update-accessor-eq-assist* ::  
 ((*'b*  $\Rightarrow$  *'b*)  $\Rightarrow$  (*'a*  $\Rightarrow$  *'a*))  $\Rightarrow$  (*'a*  $\Rightarrow$  *'b*)  $\Rightarrow$  *'a*  $\Rightarrow$  (*'b*  $\Rightarrow$  *'b*)  $\Rightarrow$  *'a*  $\Rightarrow$  *'b*  $\Rightarrow$  *bool*  
**where**  
*iso-tuple-update-accessor-eq-assist*  $\text{upd } \text{acc } v \ f \ v' \ x \longleftrightarrow$   
 $\text{upd } f \ v = v' \wedge \text{acc } v = x \wedge \text{iso-tuple-update-accessor-cong-assist } \text{upd } \text{acc}$

**lemma** *update-accessor-congruence-foldE*:

**assumes** *uac*: *iso-tuple-update-accessor-cong-assist*  $\text{upd } \text{acc}$   
**and** *r*:  $r = r'$  **and** *v*:  $\text{acc } r' = v'$   
**and** *f*:  $\bigwedge v. v' = v \implies f \ v = f' \ v$   
**shows**  $\text{upd } f \ r = \text{upd } f' \ r'$   
**using** *uac* *r* *v* [symmetric]  
**apply** (*subgoal-tac*  $\text{upd } (\lambda x. f \ (\text{acc } r')) \ r' = \text{upd } (\lambda x. f' \ (\text{acc } r')) \ r'$ )  
**apply** (*simp* *add*: *iso-tuple-update-accessor-cong-assist-def*)  
**apply** (*simp* *add*: *f*)  
**done**

**lemma** *update-accessor-congruence-unfoldE*:

*iso-tuple-update-accessor-cong-assist*  $\text{upd } \text{acc} \implies$   
 $r = r' \implies \text{acc } r' = v' \implies (\bigwedge v. v = v' \implies f \ v = f' \ v) \implies$   
 $\text{upd } f \ r = \text{upd } f' \ r'$   
**apply** (*erule*(2) *update-accessor-congruence-foldE*)  
**apply** *simp*  
**done**

**lemma** *iso-tuple-update-accessor-cong-assist-id*:

*iso-tuple-update-accessor-cong-assist upd acc  $\implies$  upd id = id*

**by** rule (simp add: iso-tuple-update-accessor-cong-assist-def)

**lemma** *update-accessor-noopE*:

**assumes** *uac*: *iso-tuple-update-accessor-cong-assist upd acc*

**and** *acc*: *f (acc x) = acc x*

**shows** *upd f x = x*

**using** *uac*

**by** (simp add: *acc iso-tuple-update-accessor-cong-assist-id* [OF *uac*, unfolded *id-def*])

*cong*: *update-accessor-congruence-unfoldE* [OF *uac*])

**lemma** *update-accessor-noop-compE*:

**assumes** *uac*: *iso-tuple-update-accessor-cong-assist upd acc*

**and** *acc*: *f (acc x) = acc x*

**shows** *upd (g  $\circ$  f) x = upd g x*

**by** (simp add: *acc cong*: *update-accessor-congruence-unfoldE*[OF *uac*])

**lemma** *update-accessor-cong-assist-idI*:

*iso-tuple-update-accessor-cong-assist id id*

**by** (simp add: iso-tuple-update-accessor-cong-assist-def)

**lemma** *update-accessor-cong-assist-triv*:

*iso-tuple-update-accessor-cong-assist upd acc  $\implies$*

*iso-tuple-update-accessor-cong-assist upd acc*

**by** *assumption*

**lemma** *update-accessor-accessor-eqE*:

*iso-tuple-update-accessor-eq-assist upd acc v f v' x  $\implies$  acc v = x*

**by** (simp add: iso-tuple-update-accessor-eq-assist-def)

**lemma** *update-accessor-updator-eqE*:

*iso-tuple-update-accessor-eq-assist upd acc v f v' x  $\implies$  upd f v = v'*

**by** (simp add: iso-tuple-update-accessor-eq-assist-def)

**lemma** *iso-tuple-update-accessor-eq-assist-idI*:

*v' = f v  $\implies$  iso-tuple-update-accessor-eq-assist id id v f v' v*

**by** (simp add: iso-tuple-update-accessor-eq-assist-def *update-accessor-cong-assist-idI*)

**lemma** *iso-tuple-update-accessor-eq-assist-triv*:

*iso-tuple-update-accessor-eq-assist upd acc v f v' x  $\implies$*

*iso-tuple-update-accessor-eq-assist upd acc v f v' x*

**by** *assumption*

**lemma** *iso-tuple-update-accessor-cong-from-eq*:

*iso-tuple-update-accessor-eq-assist upd acc v f v' x  $\implies$*

*iso-tuple-update-accessor-cong-assist upd acc*



**by** (*simp add: iso-tuple-update-accessor-eq-assist-def*)

**lemma** *iso-tuple-surjective-proof-assistI*:  
 $f\ x = y \implies \text{iso-tuple-surjective-proof-assist}\ x\ y\ f$   
**by** (*simp add: iso-tuple-surjective-proof-assist-def*)

**lemma** *iso-tuple-surjective-proof-assist-idE*:  
 $\text{iso-tuple-surjective-proof-assist}\ x\ y\ \text{id} \implies x = y$   
**by** (*simp add: iso-tuple-surjective-proof-assist-def*)

**locale** *isomorphic-tuple* =  
**fixes** *isom* :: ('a, 'b, 'c) *tuple-isomorphism*  
**assumes** *repr-inv*:  $\bigwedge x. \text{abst isom} (\text{repr isom } x) = x$   
**and** *abst-inv*:  $\bigwedge y. \text{repr isom} (\text{abst isom } y) = y$   
**begin**

**lemma** *repr-inj*:  $\text{repr isom } x = \text{repr isom } y \longleftrightarrow x = y$   
**by** (*auto dest: arg-cong [of repr isom x repr isom y abst isom]*  
*simp add: repr-inv*)

**lemma** *abst-inj*:  $\text{abst isom } x = \text{abst isom } y \longleftrightarrow x = y$   
**by** (*auto dest: arg-cong [of abst isom x abst isom y repr isom]*  
*simp add: abst-inv*)

**lemmas** *simps* = *Let-def repr-inv abst-inv repr-inj abst-inj*

**lemma** *iso-tuple-access-update-fst-fst*:  
 $f\ o\ h\ g = j\ o\ f \implies$   
 $(f\ o\ \text{iso-tuple-fst isom})\ o\ (\text{iso-tuple-fst-update isom } o\ h)\ g =$   
 $j\ o\ (f\ o\ \text{iso-tuple-fst isom})$   
**by** (*clarsimp simp: iso-tuple-fst-update-def iso-tuple-fst-def simps*  
*intro!: ext elim!: o-eq-elim*)

**lemma** *iso-tuple-access-update-snd-snd*:  
 $f\ o\ h\ g = j\ o\ f \implies$   
 $(f\ o\ \text{iso-tuple-snd isom})\ o\ (\text{iso-tuple-snd-update isom } o\ h)\ g =$   
 $j\ o\ (f\ o\ \text{iso-tuple-snd isom})$   
**by** (*clarsimp simp: iso-tuple-snd-update-def iso-tuple-snd-def simps*  
*intro!: ext elim!: o-eq-elim*)

**lemma** *iso-tuple-access-update-fst-snd*:  
 $(f\ o\ \text{iso-tuple-fst isom})\ o\ (\text{iso-tuple-snd-update isom } o\ h)\ g =$   
 $\text{id } o\ (f\ o\ \text{iso-tuple-fst isom})$   
**by** (*clarsimp simp: iso-tuple-snd-update-def iso-tuple-fst-def simps*  
*intro!: ext elim!: o-eq-elim*)

**lemma** *iso-tuple-access-update-snd-fst*:  
 $(f\ o\ \text{iso-tuple-snd isom})\ o\ (\text{iso-tuple-fst-update isom } o\ h)\ g =$   
 $\text{id } o\ (f\ o\ \text{iso-tuple-snd isom})$

**by** (*clarsimp simp: iso-tuple-fst-update-def iso-tuple-snd-def\_simps*  
*intro!: ext elim!: o-eq-elim*)

**lemma** *iso-tuple-update-swap-fst-fst:*

$h \circ f \circ j \circ g = j \circ g \circ h \circ f \implies$   
 $(\text{iso-tuple-fst-update isom } o \ h) \circ f \circ (\text{iso-tuple-fst-update isom } o \ j) \circ g =$   
 $(\text{iso-tuple-fst-update isom } o \ j) \circ g \circ (\text{iso-tuple-fst-update isom } o \ h) \circ f$   
**by** (*clarsimp simp: iso-tuple-fst-update-def\_simps apfst-compose intro!: ext*)

**lemma** *iso-tuple-update-swap-snd-snd:*

$h \circ f \circ j \circ g = j \circ g \circ h \circ f \implies$   
 $(\text{iso-tuple-snd-update isom } o \ h) \circ f \circ (\text{iso-tuple-snd-update isom } o \ j) \circ g =$   
 $(\text{iso-tuple-snd-update isom } o \ j) \circ g \circ (\text{iso-tuple-snd-update isom } o \ h) \circ f$   
**by** (*clarsimp simp: iso-tuple-snd-update-def\_simps apsnd-compose intro!: ext*)

**lemma** *iso-tuple-update-swap-fst-snd:*

$(\text{iso-tuple-snd-update isom } o \ h) \circ f \circ (\text{iso-tuple-fst-update isom } o \ j) \circ g =$   
 $(\text{iso-tuple-fst-update isom } o \ j) \circ g \circ (\text{iso-tuple-snd-update isom } o \ h) \circ f$   
**by** (*clarsimp simp: iso-tuple-fst-update-def iso-tuple-snd-update-def*  
*simps intro!: ext*)

**lemma** *iso-tuple-update-swap-snd-fst:*

$(\text{iso-tuple-fst-update isom } o \ h) \circ f \circ (\text{iso-tuple-snd-update isom } o \ j) \circ g =$   
 $(\text{iso-tuple-snd-update isom } o \ j) \circ g \circ (\text{iso-tuple-fst-update isom } o \ h) \circ f$   
**by** (*clarsimp simp: iso-tuple-fst-update-def iso-tuple-snd-update-def\_simps intro!: ext*)

**lemma** *iso-tuple-update-compose-fst-fst:*

$h \circ f \circ j \circ g = k \circ (f \circ g) \implies$   
 $(\text{iso-tuple-fst-update isom } o \ h) \circ f \circ (\text{iso-tuple-fst-update isom } o \ j) \circ g =$   
 $(\text{iso-tuple-fst-update isom } o \ k) \circ (f \circ g)$   
**by** (*clarsimp simp: iso-tuple-fst-update-def\_simps apfst-compose intro!: ext*)

**lemma** *iso-tuple-update-compose-snd-snd:*

$h \circ f \circ j \circ g = k \circ (f \circ g) \implies$   
 $(\text{iso-tuple-snd-update isom } o \ h) \circ f \circ (\text{iso-tuple-snd-update isom } o \ j) \circ g =$   
 $(\text{iso-tuple-snd-update isom } o \ k) \circ (f \circ g)$   
**by** (*clarsimp simp: iso-tuple-snd-update-def\_simps apsnd-compose intro!: ext*)

**lemma** *iso-tuple-surjective-proof-assist-step:*

*iso-tuple-surjective-proof-assist v a (iso-tuple-fst isom o f)  $\implies$*   
*iso-tuple-surjective-proof-assist v b (iso-tuple-snd isom o f)  $\implies$*   
*iso-tuple-surjective-proof-assist v (iso-tuple-cons isom a b) f*  
**by** (*clarsimp simp: iso-tuple-surjective-proof-assist-def\_simps*  
*iso-tuple-fst-def iso-tuple-snd-def iso-tuple-cons-def*)

**lemma** *iso-tuple-fst-update-accessor-cong-assist:*

**assumes** *iso-tuple-update-accessor-cong-assist f g*  
**shows** *iso-tuple-update-accessor-cong-assist*

$(iso\_tuple\_fst\_update\ isom\ o\ f)\ (g\ o\ iso\_tuple\_fst\ isom)$   
**proof** –  
 from *assms* have  $f\ id = id$   
 by (rule *iso-tuple-update-accessor-cong-assist-id*)  
 with *assms* show ?thesis  
 by (clarsimp simp: *iso-tuple-update-accessor-cong-assist-def* *simps*  
   *iso-tuple-fst-update-def* *iso-tuple-fst-def*)  
**qed**

**lemma** *iso-tuple-snd-update-accessor-cong-assist*:  
 assumes *iso-tuple-update-accessor-cong-assist*  $f\ g$   
 shows *iso-tuple-update-accessor-cong-assist*  
 $(iso\_tuple\_snd\_update\ isom\ o\ f)\ (g\ o\ iso\_tuple\_snd\ isom)$   
**proof** –  
 from *assms* have  $f\ id = id$   
 by (rule *iso-tuple-update-accessor-cong-assist-id*)  
 with *assms* show ?thesis  
 by (clarsimp simp: *iso-tuple-update-accessor-cong-assist-def* *simps*  
   *iso-tuple-snd-update-def* *iso-tuple-snd-def*)  
**qed**

**lemma** *iso-tuple-fst-update-accessor-eq-assist*:  
 assumes *iso-tuple-update-accessor-eq-assist*  $f\ g\ a\ u\ a'\ v$   
 shows *iso-tuple-update-accessor-eq-assist*  
 $(iso\_tuple\_fst\_update\ isom\ o\ f)\ (g\ o\ iso\_tuple\_fst\ isom)$   
 $(iso\_tuple\_cons\ isom\ a\ b)\ u\ (iso\_tuple\_cons\ isom\ a'\ b)\ v$   
**proof** –  
 from *assms* have  $f\ id = id$   
 by (auto simp add: *iso-tuple-update-accessor-eq-assist-def*  
   *intro: iso-tuple-update-accessor-cong-assist-id*)  
 with *assms* show ?thesis  
 by (clarsimp simp: *iso-tuple-update-accessor-eq-assist-def*  
   *iso-tuple-fst-update-def* *iso-tuple-fst-def*  
   *iso-tuple-update-accessor-cong-assist-def* *iso-tuple-cons-def* *simps*)  
**qed**

**lemma** *iso-tuple-snd-update-accessor-eq-assist*:  
 assumes *iso-tuple-update-accessor-eq-assist*  $f\ g\ b\ u\ b'\ v$   
 shows *iso-tuple-update-accessor-eq-assist*  
 $(iso\_tuple\_snd\_update\ isom\ o\ f)\ (g\ o\ iso\_tuple\_snd\ isom)$   
 $(iso\_tuple\_cons\ isom\ a\ b)\ u\ (iso\_tuple\_cons\ isom\ a\ b')\ v$   
**proof** –  
 from *assms* have  $f\ id = id$   
 by (auto simp add: *iso-tuple-update-accessor-eq-assist-def*  
   *intro: iso-tuple-update-accessor-cong-assist-id*)  
 with *assms* show ?thesis  
 by (clarsimp simp: *iso-tuple-update-accessor-eq-assist-def*  
   *iso-tuple-snd-update-def* *iso-tuple-snd-def*  
   *iso-tuple-update-accessor-cong-assist-def* *iso-tuple-cons-def* *simps*)

qed

**lemma** *iso-tuple-cons-conj-eqI*:

$a = c \wedge b = d \wedge P \longleftrightarrow Q \implies$   
 $\text{iso-tuple-cons isom } a \ b = \text{iso-tuple-cons isom } c \ d \wedge P \longleftrightarrow Q$   
**by** (*clarsimp simp: iso-tuple-cons-def\_simps*)

**lemmas** *intros* =

*iso-tuple-access-update-fst-fst*  
*iso-tuple-access-update-snd-snd*  
*iso-tuple-access-update-fst-snd*  
*iso-tuple-access-update-snd-fst*  
*iso-tuple-update-swap-fst-fst*  
*iso-tuple-update-swap-snd-snd*  
*iso-tuple-update-swap-fst-snd*  
*iso-tuple-update-swap-snd-fst*  
*iso-tuple-update-compose-fst-fst*  
*iso-tuple-update-compose-snd-snd*  
*iso-tuple-surjective-proof-assist-step*  
*iso-tuple-fst-update-accessor-eq-assist*  
*iso-tuple-snd-update-accessor-eq-assist*  
*iso-tuple-fst-update-accessor-cong-assist*  
*iso-tuple-snd-update-accessor-cong-assist*  
*iso-tuple-cons-conj-eqI*

end

**lemma** *isomorphic-tuple-intro*:

**fixes** *repr abst*  
**assumes** *repr-inj*:  $\bigwedge x \ y. \text{repr } x = \text{repr } y \longleftrightarrow x = y$   
**and** *abst-inv*:  $\bigwedge z. \text{repr } (\text{abst } z) = z$   
**and** *v*:  $v \equiv \text{Tuple-Isomorphism repr abst}$   
**shows** *isomorphic-tuple v*

**proof**

**fix** *x* **have**  $\text{repr } (\text{abst } (\text{repr } x)) = \text{repr } x$   
**by** (*simp add: abst-inv*)  
**then show**  $\text{Record.abst } v \ (\text{Record.repr } v \ x) = x$   
**by** (*simp add: v repr-inj*)

**next**

**fix** *y*  
**show**  $\text{Record.repr } v \ (\text{Record.abst } v \ y) = y$   
**by** (*simp add: v (fact abst-inv)*)

qed

**definition**

*tuple-iso-tuple*  $\equiv \text{Tuple-Isomorphism id id}$

**lemma** *tuple-iso-tuple*:

*isomorphic-tuple tuple-iso-tuple*

**syntax** (*xsymbols*)

```

-record-type      :: field-types => type                ((3(|-|)))
-record-type-scheme :: field-types => type => type      ((3(|-,/ (2... ::/ -)|)))
-record          :: fields => 'a                        ((3(|-|)))
-record-scheme    :: fields => 'a => 'a                ((3(|-,/ (2... =/ -)|)))
-record-update    :: 'a => field-updates => 'b          (-/(3(|-|)) [900, 0] 900)

```

## 17.5 Record package

```

use Tools/record.ML
setup Record.setup

```

```

hide-const (open) Tuple-Isomorphism repr abst iso-tuple-fst iso-tuple-snd
iso-tuple-fst-update iso-tuple-snd-update iso-tuple-cons
iso-tuple-surjective-proof-assist iso-tuple-update-accessor-cong-assist
iso-tuple-update-accessor-eq-assist tuple-iso-tuple

```

```
end
```

## 18 Power: Exponentiation

```

theory Power
imports Nat
begin

```

### 18.1 Powers for Arbitrary Monoids

```

class power = one + times
begin

```

```

primrec power :: 'a ⇒ nat ⇒ 'a (infixr ^ 80) where
  power-0: a ^ 0 = 1
| power-Suc: a ^ Suc n = a * a ^ n

```

```

notation (latex output)
power ((-) [1000] 1000)

```

```

notation (HTML output)
power ((-) [1000] 1000)

```

```
end
```

```

context monoid-mult
begin

```

```
subclass power ..
```

```

lemma power-one [simp]:
  1 ^ n = 1

```

```

by (induct n) simp-all

lemma power-one-right [simp]:
   $a ^ 1 = a$ 
by simp

lemma power-commutes:
   $a ^ n * a = a * a ^ n$ 
by (induct n) (simp-all add: mult-assoc)

lemma power-Suc2:
   $a ^ \text{Suc } n = a ^ n * a$ 
by (simp add: power-commutes)

lemma power-add:
   $a ^ (m + n) = a ^ m * a ^ n$ 
by (induct m) (simp-all add: algebra-simps)

lemma power-mult:
   $a ^ (m * n) = (a ^ m) ^ n$ 
by (induct n) (simp-all add: power-add)

end

context comm-monoid-mult
begin

lemma power-mult-distrib:
   $(a * b) ^ n = (a ^ n) * (b ^ n)$ 
by (induct n) (simp-all add: mult-ac)

end

context semiring-1
begin

lemma of-nat-power:
   $\text{of-nat } (m ^ n) = \text{of-nat } m ^ n$ 
by (induct n) (simp-all add: of-nat-mult)

end

context comm-semiring-1
begin

The divides relation

lemma le-imp-power-dvd:
  assumes  $m \leq n$  shows  $a ^ m \text{ dvd } a ^ n$ 
proof

```

```

have  $a ^ n = a ^ (m + (n - m))$ 
  using  $\langle m \leq n \rangle$  by simp
also have  $\dots = a ^ m * a ^ (n - m)$ 
  by (rule power-add)
finally show  $a ^ n = a ^ m * a ^ (n - m)$  .
qed

```

```

lemma power-le-dvd:
 $a ^ n \text{ dvd } b \implies m \leq n \implies a ^ m \text{ dvd } b$ 
  by (rule dvd-trans [OF le-imp-power-dvd])

```

```

lemma dvd-power-same:
 $x \text{ dvd } y \implies x ^ n \text{ dvd } y ^ n$ 
  by (induct n) (auto simp add: mult-dvd-mono)

```

```

lemma dvd-power-le:
 $x \text{ dvd } y \implies m \geq n \implies x ^ n \text{ dvd } y ^ m$ 
  by (rule power-le-dvd [OF dvd-power-same])

```

```

lemma dvd-power [simp]:
  assumes  $n > (0::nat) \vee x = 1$ 
  shows  $x \text{ dvd } (x ^ n)$ 
using assms proof
  assume  $0 < n$ 
  then have  $x ^ n = x ^ \text{Suc } (n - 1)$  by simp
  then show  $x \text{ dvd } (x ^ n)$  by simp
next
  assume  $x = 1$ 
  then show  $x \text{ dvd } (x ^ n)$  by simp
qed

```

end

```

context ring-1
begin

```

```

lemma power-minus:
 $(- a) ^ n = (- 1) ^ n * a ^ n$ 
proof (induct n)
  case 0 show ?case by simp
next
  case (Suc n) then show ?case
    by (simp del: power-Suc add: power-Suc2 mult-assoc)
qed

```

end

```

context linordered-semidom
begin

```



**lemma** *zero-less-power* [*simp*]:

$0 < a \implies 0 < a ^ n$

**by** (*induct* *n*) (*simp-all* *add: mult-pos-pos*)

**lemma** *zero-le-power* [*simp*]:

$0 \leq a \implies 0 \leq a ^ n$

**by** (*induct* *n*) (*simp-all* *add: mult-nonneg-nonneg*)

**lemma** *one-le-power* [*simp*]:

$1 \leq a \implies 1 \leq a ^ n$

**apply** (*induct* *n*)

**apply** *simp-all*

**apply** (*rule* *order-trans* [*OF* - *mult-mono* [*of* 1 - 1]])

**apply** (*simp-all* *add: order-trans* [*OF* *zero-le-one*])

**done**

**lemma** *power-gt1-lemma*:

**assumes** *gt1*:  $1 < a$

**shows**  $1 < a * a ^ n$

**proof** –

**from** *gt1* **have**  $0 \leq a$

**by** (*fact* *order-trans* [*OF* *zero-le-one less-imp-le*])

**have**  $1 * 1 < a * 1$  **using** *gt1* **by** *simp*

**also have**  $\dots \leq a * a ^ n$  **using** *gt1*

**by** (*simp* *only: mult-mono* ( $0 \leq a$ ) *one-le-power order-less-imp-le*  
*zero-le-one order-refl*)

**finally show** *?thesis* **by** *simp*

**qed**

**lemma** *power-gt1*:

$1 < a \implies 1 < a ^ \text{Suc } n$

**by** (*simp* *add: power-gt1-lemma*)

**lemma** *one-less-power* [*simp*]:

$1 < a \implies 0 < n \implies 1 < a ^ n$

**by** (*cases* *n*) (*simp-all* *add: power-gt1-lemma*)

**lemma** *power-le-imp-le-exp*:

**assumes** *gt1*:  $1 < a$

**shows**  $a ^ m \leq a ^ n \implies m \leq n$

**proof** (*induct* *m* *arbitrary: n*)

**case** 0

**show** *?case* **by** *simp*

**next**

**case** (*Suc* *m*)

**show** *?case*

**proof** (*cases* *n*)

**case** 0

```

with Suc.prems Suc.hyps have  $a * a^m \leq 1$  by simp
with gt1 show ?thesis
  by (force simp only: power-gt1-lemma
      not-less [symmetric])
next
  case (Suc n)
  with Suc.prems Suc.hyps show ?thesis
  by (force dest: mult-left-le-imp-le
      simp add: less-trans [OF zero-less-one gt1])
qed
qed

```

Surely we can strengthen this? It holds for  $0 < a < 1$  too.

```

lemma power-inject-exp [simp]:
   $1 < a \implies a^m = a^n \iff m = n$ 
  by (force simp add: order-antisym power-le-imp-le-exp)

```

Can relax the first premise to  $(0::'a) < a$  in the case of the natural numbers.

```

lemma power-less-imp-less-exp:
   $1 < a \implies a^m < a^n \implies m < n$ 
  by (simp add: order-less-le [of m n] less-le [of a^m a^n]
      power-le-imp-le-exp)

```

```

lemma power-mono:
   $a \leq b \implies 0 \leq a \implies a^n \leq b^n$ 
  by (induct n)
  (auto intro: mult-mono order-trans [of 0 a b])

```

```

lemma power-strict-mono [rule-format]:
   $a < b \implies 0 \leq a \implies 0 < n \longrightarrow a^n < b^n$ 
  by (induct n)
  (auto simp add: mult-strict-mono le-less-trans [of 0 a b])

```

Lemma for *power-strict-decreasing*

```

lemma power-Suc-less:
   $0 < a \implies a < 1 \implies a * a^n < a^n$ 
  by (induct n)
  (auto simp add: mult-strict-left-mono)

```

```

lemma power-strict-decreasing [rule-format]:
   $n < N \implies 0 < a \implies a < 1 \longrightarrow a^N < a^n$ 

```

```

proof (induct N)
  case 0 then show ?case by simp
next
  case (Suc N) then show ?case
  apply (auto simp add: power-Suc-less less-Suc-eq)
  apply (subgoal-tac a * a^N < 1 * a^n)
  apply simp
  apply (rule mult-strict-mono) apply auto

```

done  
qed

Proof resembles that of *power-strict-decreasing*

**lemma** *power-decreasing* [rule-format]:  
 $n \leq N \implies 0 \leq a \implies a \leq 1 \longrightarrow a \wedge N \leq a \wedge n$   
**proof** (*induct N*)  
  **case** 0 **then show** ?case **by** simp  
**next**  
  **case** (Suc N) **then show** ?case  
  **apply** (auto simp add: le-Suc-eq)  
  **apply** (subgoal-tac  $a * a^N \leq 1 * a^n$ , simp)  
  **apply** (rule mult-mono) **apply** auto  
  done  
**qed**

**lemma** *power-Suc-less-one*:  
 $0 < a \implies a < 1 \implies a \wedge \text{Suc } n < 1$   
  **using** *power-strict-decreasing* [of 0 Suc n a] **by** simp

Proof again resembles that of *power-strict-decreasing*

**lemma** *power-increasing* [rule-format]:  
 $n \leq N \implies 1 \leq a \implies a \wedge n \leq a \wedge N$   
**proof** (*induct N*)  
  **case** 0 **then show** ?case **by** simp  
**next**  
  **case** (Suc N) **then show** ?case  
  **apply** (auto simp add: le-Suc-eq)  
  **apply** (subgoal-tac  $1 * a^n \leq a * a^N$ , simp)  
  **apply** (rule mult-mono) **apply** (auto simp add: order-trans [OF zero-le-one])  
  done  
**qed**

Lemma for *power-strict-increasing*

**lemma** *power-less-power-Suc*:  
 $1 < a \implies a \wedge n < a * a \wedge n$   
  **by** (*induct n*) (auto simp add: mult-strict-left-mono less-trans [OF zero-less-one])

**lemma** *power-strict-increasing* [rule-format]:  
 $n < N \implies 1 < a \longrightarrow a \wedge n < a \wedge N$   
**proof** (*induct N*)  
  **case** 0 **then show** ?case **by** simp  
**next**  
  **case** (Suc N) **then show** ?case  
  **apply** (auto simp add: power-less-power-Suc less-Suc-eq)  
  **apply** (subgoal-tac  $1 * a^n < a * a^N$ , simp)  
  **apply** (rule mult-strict-mono) **apply** (auto simp add: less-trans [OF zero-less-one] less-imp-le)  
  done

qed

**lemma** *power-increasing-iff* [simp]:

$$1 < b \implies b \wedge x \leq b \wedge y \iff x \leq y$$

**by** (blast intro: power-le-imp-le-exp power-increasing less-imp-le)

**lemma** *power-strict-increasing-iff* [simp]:

$$1 < b \implies b \wedge x < b \wedge y \iff x < y$$

**by** (blast intro: power-less-imp-less-exp power-strict-increasing)

**lemma** *power-le-imp-le-base*:

**assumes** *le*:  $a \wedge \text{Suc } n \leq b \wedge \text{Suc } n$

**and** *ynonneg*:  $0 \leq b$

**shows**  $a \leq b$

**proof** (rule ccontr)

**assume**  $\sim a \leq b$

**then have**  $b < a$  **by** (simp only: linorder-not-le)

**then have**  $b \wedge \text{Suc } n < a \wedge \text{Suc } n$

**by** (simp only: prems power-strict-mono)

**from** *le* **and this show** False

**by** (simp add: linorder-not-less [symmetric])

qed

**lemma** *power-less-imp-less-base*:

**assumes** *less*:  $a \wedge n < b \wedge n$

**assumes** *nonneg*:  $0 \leq b$

**shows**  $a < b$

**proof** (rule contrapos-pp [OF less])

**assume**  $\sim a < b$

**hence**  $b \leq a$  **by** (simp only: linorder-not-less)

**hence**  $b \wedge n \leq a \wedge n$  **using** *nonneg* **by** (rule power-mono)

**thus**  $\neg a \wedge n < b \wedge n$  **by** (simp only: linorder-not-less)

qed

**lemma** *power-inject-base*:

$$a \wedge \text{Suc } n = b \wedge \text{Suc } n \implies 0 \leq a \implies 0 \leq b \implies a = b$$

**by** (blast intro: power-le-imp-le-base antisym eq-refl sym)

**lemma** *power-eq-imp-eq-base*:

$$a \wedge n = b \wedge n \implies 0 \leq a \implies 0 \leq b \implies 0 < n \implies a = b$$

**by** (cases *n*) (simp-all del: power-Suc, rule power-inject-base)

end

**context** *linordered-idom*

**begin**

**lemma** *power-abs*:

$$\text{abs } (a \wedge n) = \text{abs } a \wedge n$$

```

by (induct n) (auto simp add: abs-mult)

lemma abs-power-minus [simp]:
  abs ((-a) ^ n) = abs (a ^ n)
by (simp add: power-abs)

lemma zero-less-power-abs-iff [simp, no-atp]:
  0 < abs a ^ n  $\longleftrightarrow$  a  $\neq$  0  $\vee$  n = 0
proof (induct n)
  case 0 show ?case by simp
next
  case (Suc n) show ?case by (auto simp add: Suc zero-less-mult-iff)
qed

lemma zero-le-power-abs [simp]:
  0  $\leq$  abs a ^ n
by (rule zero-le-power [OF abs-ge-zero])

end

context ring-1-no-zero-divisors
begin

lemma field-power-not-zero:
  a  $\neq$  0  $\implies$  a ^ n  $\neq$  0
by (induct n) auto

end

context division-ring
begin

FIXME reorient or rename to nonzero-inverse-power

lemma nonzero-power-inverse:
  a  $\neq$  0  $\implies$  inverse (a ^ n) = (inverse a) ^ n
by (induct n)
  (simp-all add: nonzero-inverse-mult-distrib power-commutes field-power-not-zero)

end

context field
begin

lemma nonzero-power-divide:
  b  $\neq$  0  $\implies$  (a / b) ^ n = a ^ n / b ^ n
by (simp add: divide-inverse power-mult-distrib nonzero-power-inverse)

end

```

**lemma** *power-0-Suc* [simp]:  
 $(0 :: 'a :: \{\text{power}, \text{semiring-0}\}) \wedge \text{Suc } n = 0$   
**by** *simp*

It looks plausible as a simprule, but its effect can be strange.

**lemma** *power-0-left*:  
 $0 \wedge n = (\text{if } n = 0 \text{ then } 1 \text{ else } (0 :: 'a :: \{\text{power}, \text{semiring-0}\}))$   
**by** (*induct n*) *simp-all*

**lemma** *power-eq-0-iff* [simp]:  
 $a \wedge n = 0 \iff$   
 $a = (0 :: 'a :: \{\text{mult-zero}, \text{zero-neq-one}, \text{no-zero-divisors}, \text{power}\}) \wedge n \neq 0$   
**by** (*induct n*)  
 (*auto simp add: no-zero-divisors elim: contrapos-pp*)

**lemma** (*in field*) *power-diff*:  
**assumes** *nz*:  $a \neq 0$   
**shows**  $n \leq m \implies a \wedge (m - n) = a \wedge m / a \wedge n$   
**by** (*induct m n rule: diff-induct*) (*simp-all add: nz field-power-not-zero*)

Perhaps these should be simprules.

**lemma** *power-inverse*:  
**fixes**  $a :: 'a :: \text{division-ring-inverse-zero}$   
**shows**  $\text{inverse } (a \wedge n) = \text{inverse } a \wedge n$   
**apply** (*cases a = 0*)  
**apply** (*simp add: power-0-left*)  
**apply** (*simp add: nonzero-power-inverse*)  
**done**

**lemma** *power-one-over*:  
 $1 / (a :: 'a :: \{\text{field-inverse-zero}, \text{power}\}) \wedge n = (1 / a) \wedge n$   
**by** (*simp add: divide-inverse*) (*rule power-inverse*)

**lemma** *power-divide*:  
 $(a / b) \wedge n = (a :: 'a :: \text{field-inverse-zero}) \wedge n / b \wedge n$   
**apply** (*cases b = 0*)  
**apply** (*simp add: power-0-left*)  
**apply** (*rule nonzero-power-divide*)  
**apply** *assumption*  
**done**

## 18.2 Exponentiation for the Natural Numbers

**lemma** *nat-one-le-power* [simp]:  
 $\text{Suc } 0 \leq i \implies \text{Suc } 0 \leq i \wedge n$   
**by** (*rule one-le-power [of i n, unfolded One-nat-def]*)

**lemma** *nat-zero-less-power-iff* [simp]:  
 $x \wedge n > 0 \iff x > (0 :: \text{nat}) \vee n = 0$

**by** (*induct n*) *auto*

**lemma** *nat-power-eq-Suc-0-iff* [*simp*]:  
 $x \wedge m = \text{Suc } 0 \longleftrightarrow m = 0 \vee x = \text{Suc } 0$   
**by** (*induct m*) *auto*

**lemma** *power-Suc-0* [*simp*]:  
 $\text{Suc } 0 \wedge n = \text{Suc } 0$   
**by** *simp*

Valid for the naturals, but what if  $0 < i < 1$ ? Premises cannot be weakened:  
 consider the case where  $i = (0::'a)$ ,  $m = (1::'a)$  and  $n = (0::'a)$ .

**lemma** *nat-power-less-imp-less*:  
**assumes** *nonneg*:  $0 < (i::\text{nat})$   
**assumes** *less*:  $i \wedge m < i \wedge n$   
**shows**  $m < n$   
**proof** (*cases i = 1*)  
**case** *True* **with** *less power-one* [**where**  $'a = \text{nat}$ ] **show** *?thesis* **by** *simp*  
**next**  
**case** *False* **with** *nonneg* **have**  $1 < i$  **by** *auto*  
**from** *power-strict-increasing-iff* [*OF this*] *less* **show** *?thesis* **..**  
**qed**

**lemma** *power-dvd-imp-le*:  
 $i \wedge m \text{ dvd } i \wedge n \implies (1::\text{nat}) < i \implies m \leq n$   
**apply** (*rule power-le-imp-le-exp, assumption*)  
**apply** (*erule dvd-imp-le, simp*)  
**done**

### 18.3 Code generator tweak

**lemma** *power-power-power* [*code, code-unfold, code-inline del*]:  
 $\text{power} = \text{power}.\text{power } (1::'a::\{\text{power}\}) \text{ (op *)}$   
**unfolding** *power-def power.power-def* **..**

**declare** *power.power.simps* [*code*]

**code-modulename** *SML*  
*Power Arith*

**code-modulename** *OCaml*  
*Power Arith*

**code-modulename** *Haskell*  
*Power Arith*

**end**

## 19 Option: Datatype option

```
theory Option
imports Datatype
begin
```

```
datatype 'a option = None | Some 'a
```

```
lemma not-None-eq [iff]:  $(x \sim = \text{None}) = (\text{EX } y. x = \text{Some } y)$ 
  by (induct x) auto
```

```
lemma not-Some-eq [iff]:  $(\text{ALL } y. x \sim = \text{Some } y) = (x = \text{None})$ 
  by (induct x) auto
```

Although it may appear that both of these equalities are helpful only when applied to assumptions, in practice it seems better to give them the uniform iff attribute.

```
lemma inj-Some [simp]: inj-on Some A
by (rule inj-onI) simp
```

```
lemma option-caseE:
  assumes c:  $(\text{case } x \text{ of None} \Rightarrow P \mid \text{Some } y \Rightarrow Q \ y)$ 
  obtains
     $(\text{None}) \ x = \text{None}$  and  $P$ 
  |  $(\text{Some}) \ y$  where  $x = \text{Some } y$  and  $Q \ y$ 
  using c by (cases x) simp-all
```

```
lemma UNIV-option-conv:  $\text{UNIV} = \text{insert None } (\text{range Some})$ 
by(auto intro: classical)
```

### 19.0.1 Operations

```
primrec the :: 'a option => 'a where
the (Some x) = x
```

```
primrec set :: 'a option => 'a set where
set None = {} |
set (Some x) = {x}
```

```
lemma ospec [dest]:  $(\text{ALL } x:\text{set } A. P \ x) \Rightarrow A = \text{Some } x \Rightarrow P \ x$ 
  by simp
```

```
declaration << fn - =>
  Classical.map-cs (fn cs => cs addSD2 (ospec, thm ospec))
>>
```

```
lemma elem-set [iff]:  $(x : \text{set } xo) = (xo = \text{Some } x)$ 
  by (cases xo) auto
```



**lemma** *set-empty-eq* [*simp*]: (*set* *xo* = {}) = (*xo* = *None*)  
**by** (*cases* *xo*) *auto*

**definition** *map* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a *option*  $\Rightarrow$  'b *option* **where**  
*map* = (%f y. *case* y of *None* => *None* | *Some* x => *Some* (f x))

**lemma** *option-map-None* [*simp*, *code*]: *map* f *None* = *None*  
**by** (*simp* *add*: *map-def*)

**lemma** *option-map-Some* [*simp*, *code*]: *map* f (*Some* x) = *Some* (f x)  
**by** (*simp* *add*: *map-def*)

**lemma** *option-map-is-None* [*iff*]:  
(*map* f *opt* = *None*) = (*opt* = *None*)  
**by** (*simp* *add*: *map-def* *split* *add*: *option.split*)

**lemma** *option-map-eq-Some* [*iff*]:  
(*map* f *xo* = *Some* y) = (*EX* z. *xo* = *Some* z & f z = y)  
**by** (*simp* *add*: *map-def* *split* *add*: *option.split*)

**lemma** *option-map-comp*:  
*map* f (*map* g *opt*) = *map* (f o g) *opt*  
**by** (*simp* *add*: *map-def* *split* *add*: *option.split*)

**lemma** *option-map-o-sum-case* [*simp*]:  
*map* f o *sum-case* g h = *sum-case* (*map* f o g) (*map* f o h)  
**by** (*rule* *ext*) (*simp* *split*: *sum.split*)

**hide-const** (**open**) *set* *map*

### 19.0.2 Code generator setup

**definition** *is-none* :: 'a *option*  $\Rightarrow$  *bool* **where**  
[*code-post*]: *is-none* x  $\longleftrightarrow$  x = *None*

**lemma** *is-none-code* [*code*]:  
**shows** *is-none* *None*  $\longleftrightarrow$  *True*  
**and** *is-none* (*Some* x)  $\longleftrightarrow$  *False*  
**unfolding** *is-none-def* **by** *simp-all*

**lemma** *is-none-none*:  
*is-none* x  $\longleftrightarrow$  x = *None*  
**by** (*simp* *add*: *is-none-def*)

**lemma** [*code-unfold*]:  
*eq-class.eq* x *None*  $\longleftrightarrow$  *is-none* x  
**by** (*simp* *add*: *eq* *is-none-none*)

```

hide-const (open) is-none

code-type option
  (SML - option)
  (OCaml - option)
  (Haskell Maybe -)
  (Scala !Option[(-)])

code-const None and Some
  (SML NONE and SOME)
  (OCaml None and Some -)
  (Haskell Nothing and Just)
  (Scala None and !Some((-)))

code-instance option :: eq
  (Haskell -)

code-const eq-class.eq :: 'a::eq option ⇒ 'a option ⇒ bool
  (Haskell infixl 4 ==)

code-reserved SML
  option NONE SOME

code-reserved OCaml
  option None Some

code-reserved Scala
  Option None Some

end

```

## 20 Finite-Set: Finite sets

```

theory Finite-Set
imports Power Option
begin

```

### 20.1 Predicate for finite sets

```

inductive finite :: 'a set => bool
  where
    emptyI [simp, intro!]: finite {}
    | insertI [simp, intro!]: finite A ==> finite (insert a A)

lemma ex-new-if-finite: — does not depend on def of finite at all
  assumes  $\neg \text{finite } (UNIV :: 'a \text{ set})$  and finite A
  shows  $\exists a::'a. a \notin A$ 
proof —

```

from *assms* have  $A \neq \text{UNIV}$  by *blast*  
 thus ?thesis by *blast*  
 qed

**lemma** *finite-induct* [case-names empty insert, induct set: *finite*]:

*finite F ==>*  
 $P \{\} ==> (!x F. \text{finite } F ==> x \notin F ==> P F ==> P (\text{insert } x F)) ==>$   
 $P F$

— Discharging  $x \notin F$  entails extra work.

**proof** —

assume  $P \{\}$  and

*insert*:  $!x F. \text{finite } F ==> x \notin F ==> P F ==> P (\text{insert } x F)$

assume *finite F*

thus  $P F$

**proof** *induct*

show  $P \{\}$  by *fact*

fix  $x F$  assume  $F: \text{finite } F$  and  $P: P F$

show  $P (\text{insert } x F)$

**proof** *cases*

assume  $x \in F$

hence  $\text{insert } x F = F$  by (rule *insert-absorb*)

with  $P$  show ?thesis by (simp only:)

next

assume  $x \notin F$

from  $F$  this  $P$  show ?thesis by (rule *insert*)

qed

qed

qed

**lemma** *finite-ne-induct*[case-names singleton insert, consumes 2]:

assumes *fin*: *finite F* shows  $F \neq \{\} \implies$

$\llbracket \bigwedge x. P\{x\};$

$\bigwedge x F. \llbracket \text{finite } F; F \neq \{\}; x \notin F; P F \rrbracket \implies P (\text{insert } x F) \rrbracket$

$\implies P F$

using *fin*

**proof** *induct*

case *empty* thus ?case by *simp*

next

case (*insert x F*)

show ?case

**proof** *cases*

assume  $F = \{\}$

thus ?thesis using  $\langle P \{x\} \rangle$  by *simp*

next

assume  $F \neq \{\}$

thus ?thesis using *insert* by *blast*

qed

qed

```

lemma finite-subset-induct [consumes 2, case-names empty insert]:
  assumes finite F and  $F \subseteq A$ 
    and empty:  $P \{\}$ 
    and insert:  $!!a F. \text{finite } F \implies a \in A \implies a \notin F \implies P F \implies P (\text{insert } a F)$ 
  shows  $P F$ 
proof –
  from  $\langle \text{finite } F \rangle$  and  $\langle F \subseteq A \rangle$ 
  show ?thesis
proof induct
  show  $P \{\}$  by fact
next
  fix  $x F$ 
  assume finite F and  $x \notin F$  and
     $P: F \subseteq A \implies P F$  and  $i: \text{insert } x F \subseteq A$ 
  show  $P (\text{insert } x F)$ 
  proof (rule insert)
    from  $i$  show  $x \in A$  by blast
    from  $i$  have  $F \subseteq A$  by blast
    with  $P$  show  $P F$  .
    show finite F by fact
    show  $x \notin F$  by fact
  qed
qed
qed

```

A finite choice principle. Does not need the SOME choice operator.

```

lemma finite-set-choice:
   $\text{finite } A \implies \text{ALL } x:A. (\text{EX } y. P x y) \implies \text{EX } f. \text{ALL } x:A. P x (f x)$ 
proof (induct set: finite)
  case empty thus ?case by simp
next
  case (insert a A)
  then obtain  $f b$  where  $f: \text{ALL } x:A. P x (f x)$  and  $ab: P a b$  by auto
  show ?case (is EX f. ?P f)
  proof
    show  $?P(\%x. \text{if } x = a \text{ then } b \text{ else } f x)$  using  $f ab$  by auto
  qed
qed

```

Finite sets are the images of initial segments of natural numbers:

```

lemma finite-imp-nat-seg-image-inj-on:
  assumes fin: finite A
  shows  $\exists (n::\text{nat}). f. A = f \, \{i. i < n\} \ \& \ \text{inj-on } f \, \{i. i < n\}$ 
using fin
proof induct
  case empty
  show ?case
  proof show  $\exists f. \{ \} = f \, \{i::\text{nat}. i < 0\} \ \& \ \text{inj-on } f \, \{i. i < 0\}$  by simp

```

```

qed
next
  case (insert a A)
  have notinA:  $a \notin A$  by fact
  from insert.hyps obtain n f
    where  $A = f \text{ ‘ } \{i::\text{nat. } i < n\}$  inj-on f  $\{i. i < n\}$  by blast
  hence insert a A =  $f(n:=a) \text{ ‘ } \{i. i < \text{Suc } n\}$ 
    inj-on ( $f(n:=a)$ )  $\{i. i < \text{Suc } n\}$  using notinA
  by (auto simp add: image-def Ball-def inj-on-def less-Suc-eq)
  thus ?case by blast
qed

lemma nat-seg-image-imp-finite:
  !!f A.  $A = f \text{ ‘ } \{i::\text{nat. } i < n\} \implies \text{finite } A$ 
proof (induct n)
  case 0 thus ?case by simp
next
  case (Suc n)
  let ?B =  $f \text{ ‘ } \{i. i < n\}$ 
  have finB: finite ?B by (rule Suc.hyps[OF refl])
  show ?case
  proof cases
    assume  $\exists k < n. f \ n = f \ k$ 
    hence  $A = ?B$  using Suc.prem by (auto simp: less-Suc-eq)
    thus ?thesis using finB by simp
  next
    assume  $\neg(\exists k < n. f \ n = f \ k)$ 
    hence  $A = \text{insert } (f \ n) \ ?B$  using Suc.prem by (auto simp: less-Suc-eq)
    thus ?thesis using finB by simp
  qed
qed

lemma finite-conv-nat-seg-image:
  finite A =  $(\exists (n::\text{nat}) f. A = f \text{ ‘ } \{i::\text{nat. } i < n\})$ 
by (blast intro: nat-seg-image-imp-finite dest: finite-imp-nat-seg-image-inj-on)

lemma finite-imp-inj-to-nat-seg:
  assumes finite A
  shows EX  $f \ n::\text{nat}. f \ A = \{i. i < n\} \ \& \ \text{inj-on } f \ A$ 
proof -
  from finite-imp-nat-seg-image-inj-on[OF (finite A)]
  obtain f and n::nat where bij: bij-betw f  $\{i. i < n\}$  A
  by (auto simp: bij-betw-def)
  let ?f = the-inv-into  $\{i. i < n\}$  f
  have inj-on ?f A & ?f ‘ A =  $\{i. i < n\}$ 
  by (fold bij-betw-def) (rule bij-betw-the-inv-into[OF bij])
  thus ?thesis by blast
qed

```

**lemma** *finite-Collect-less-nat*[*iff*]:  $\text{finite}\{n::\text{nat}. n < k\}$   
**by**(*fastsimp simp: finite-conv-nat-seg-image*)

Finiteness and set theoretic constructions

**lemma** *finite-UnI*:  $\text{finite } F \implies \text{finite } G \implies \text{finite } (F \text{ Un } G)$   
**by** (*induct set: finite*) *simp-all*

**lemma** *finite-subset*:  $A \subseteq B \implies \text{finite } B \implies \text{finite } A$   
— Every subset of a finite set is finite.

**proof** —

**assume** *finite B*

**thus** !!*A*.  $A \subseteq B \implies \text{finite } A$

**proof** *induct*

**case** *empty*

**thus** ?*case* **by** *simp*

**next**

**case** (*insert x F A*)

**have** *A*:  $A \subseteq \text{insert } x \text{ } F$  **and** *r*:  $A - \{x\} \subseteq F \implies \text{finite } (A - \{x\})$  **by** *fact+*

**show** *finite A*

**proof** *cases*

**assume** *x*:  $x \in A$

**with** *A* **have**  $A - \{x\} \subseteq F$  **by** (*simp add: subset-insert-iff*)

**with** *r* **have** *finite* ( $A - \{x\}$ ) .

**hence** *finite* (*insert x (A - {x})*) ..

**also** **have** *insert x (A - {x})* = *A* **using** *x* **by** (*rule insert-Diff*)

**finally** **show** ?*thesis* .

**next**

**show**  $A \subseteq F \implies ?\text{thesis}$  **by** *fact*

**assume**  $x \notin A$

**with** *A* **show**  $A \subseteq F$  **by** (*simp add: subset-insert-iff*)

**qed**

**qed**

**qed**

**lemma** *rev-finite-subset*:  $\text{finite } B \implies A \subseteq B \implies \text{finite } A$   
**by** (*rule finite-subset*)

**lemma** *finite-Un* [*iff*]:  $\text{finite } (F \text{ Un } G) = (\text{finite } F \ \& \ \text{finite } G)$   
**by** (*blast intro: finite-subset [of - X Un Y, standard] finite-UnI*)

**lemma** *finite-Collect-disjI*[*simp*]:  
 $\text{finite}\{x. P \ x \mid Q \ x\} = (\text{finite}\{x. P \ x\} \ \& \ \text{finite}\{x. Q \ x\})$   
**by**(*simp add: Collect-disj-eq*)

**lemma** *finite-Int* [*simp, intro*]:  $\text{finite } F \mid \text{finite } G \implies \text{finite } (F \text{ Int } G)$   
— The converse obviously fails.  
**by** (*blast intro: finite-subset*)

**lemma** *finite-Collect-conjI* [*simp, intro*]:

$finite\{x. P\ x\} \mid finite\{x. Q\ x\} ==> finite\{x. P\ x \ \& \ Q\ x\}$   
 — The converse obviously fails.  
**by**(*simp add: Collect-conj-eq*)

**lemma** *finite-Collect-le-nat*[*iff*]:  $finite\{n::nat. n \leq k\}$   
**by**(*simp add: le-eq-less-or-eq*)

**lemma** *finite-insert* [*simp*]:  $finite\ (insert\ a\ A) = finite\ A$   
**apply** (*subst insert-is-Un*)  
**apply** (*simp only: finite-Un, blast*)  
**done**

**lemma** *finite-Union*[*simp, intro*]:  
 $\llbracket finite\ A; \llbracket M. M \in A \implies finite\ M \rrbracket \implies finite(\bigcup A)$   
**by** (*induct rule:finite-induct*) *simp-all*

**lemma** *finite-Inter*[*intro*]:  $EX\ A:M. finite(A) \implies finite(Inter\ M)$   
**by** (*blast intro: Inter-lower finite-subset*)

**lemma** *finite-INT*[*intro*]:  $EX\ x:I. finite(A\ x) \implies finite(INT\ x:I. A\ x)$   
**by** (*blast intro: INT-lower finite-subset*)

**lemma** *finite-empty-induct*:  
**assumes** *finite A*  
**and** *P A*  
**and**  $\llbracket a\ A. finite\ A ==> a:A ==> P\ A ==> P\ (A - \{a\})$   
**shows**  $P\ \{\}$   
**proof** —  
**have**  $P\ (A - A)$   
**proof** —  
 $\{$   
**fix**  $c\ b :: 'a\ set$   
**assume**  $c: finite\ c$  **and**  $b: finite\ b$   
**and**  $P1: P\ b$  **and**  $P2: \llbracket x\ y. finite\ y ==> x \in y ==> P\ y ==> P\ (y -$   
 $\{x\})$   
**have**  $c \subseteq b ==> P\ (b - c)$   
**using**  $c$   
**proof** *induct*  
**case** *empty*  
**from**  $P1$  **show** *?case* **by** *simp*  
**next**  
**case** (*insert x F*)  
**have**  $P\ (b - F - \{x\})$   
**proof** (*rule P2*)  
**from**  $b$  **show**  $finite\ (b - F)$  **by** (*rule finite-subset*) *blast*  
**from** *insert* **show**  $x \in b - F$  **by** *simp*  
**from** *insert* **show**  $P\ (b - F)$  **by** *simp*  
**qed**  
**also have**  $b - F - \{x\} = b - insert\ x\ F$  **by** (*rule Diff-insert [symmetric]*)

```

      finally show ?case .
    qed
  }
  then show ?thesis by this (simp-all add: assms)
  qed
  then show ?thesis by simp
  qed

lemma finite-Diff [simp]: finite A ==> finite (A - B)
by (rule Diff-subset [THEN finite-subset])

lemma finite-Diff2 [simp]:
  assumes finite B shows finite (A - B) = finite A
proof -
  have finite A ⟷ finite((A-B) Un (A Int B)) by (simp add: Un-Diff-Int)
  also have ... ⟷ finite(A-B) using ⟨finite B⟩ by (simp)
  finally show ?thesis ..
qed

lemma finite-compl[simp]:
  finite(A::'a set) ⟹ finite(-A) = finite(UNIV::'a set)
by (simp add: Compl-eq-Diff-UNIV)

lemma finite-Collect-not[simp]:
  finite{x::'a. P x} ⟹ finite{x. ~ P x} = finite(UNIV::'a set)
by (simp add: Collect-neg-eq)

lemma finite-Diff-insert [iff]: finite (A - insert a B) = finite (A - B)
  apply (subst Diff-insert)
  apply (case-tac a : A - B)
  apply (rule finite-insert [symmetric, THEN trans])
  apply (subst insert-Diff, simp-all)
  done

Image and Inverse Image over Finite Sets

lemma finite-imageI[simp]: finite F ==> finite (h ` F)
  — The image of a finite set is finite.
  by (induct set: finite) simp-all

lemma finite-image-set [simp]:
  finite {x. P x} ⟹ finite { f x | x. P x }
  by (simp add: image-Collect [symmetric])

lemma finite-surj: finite A ==> B <= f ` A ==> finite B
  apply (frule finite-imageI)
  apply (erule finite-subset, assumption)
  done

lemma finite-range-imageI:

```



```

  finite (range g) ==> finite (range (%x. f (g x)))
  apply (drule finite-imageI, simp add: range-composition)
  done

```

**lemma** *finite-imageD*:  $\text{finite } (f^{\cdot}A) \implies \text{inj-on } f \ A \implies \text{finite } A$

**proof** –

```

  have aux: !!A. finite (A - { }) = finite A by simp
  fix B :: 'a set
  assume finite B
  thus !!A. f^{\cdot}A = B ==> inj-on f A ==> finite A
  apply induct
  apply simp
  apply (subgoal-tac EX y:A. f y = x & F = f^{\cdot} (A - {y}))
  apply clarify
  apply (simp (no-asm-use) add: inj-on-def)
  apply (blast dest!: aux [THEN iffD1], atomize)
  apply (erule-tac V = ALL A. ?PP (A) in thin-rl)
  apply (frule subsetD [OF equalityD2 insertI1], clarify)
  apply (rule-tac x = xa in bexI)
  apply (simp-all add: inj-on-image-set-diff)
  done
qed (rule refl)

```

**lemma** *inj-vimage-singleton*:  $\text{inj } f \implies f^{-\cdot}\{a\} \subseteq \{THE\ x. f\ x = a\}$

— The inverse image of a singleton under an injective function is included in a singleton.

```

  apply (auto simp add: inj-on-def)
  apply (blast intro: the-equality [symmetric])
  done

```

**lemma** *finite-vimageI*:  $[[\text{finite } F; \text{inj } h] \implies \text{finite } (h^{-\cdot} F)$

— The inverse image of a finite set under an injective function is finite.

```

  apply (induct set: finite)
  apply simp-all
  apply (subst vimage-insert)
  apply (simp add: finite-subset [OF inj-vimage-singleton])
  done

```

**lemma** *finite-vimageD*:

assumes *fin*:  $\text{finite } (h^{-\cdot} F)$  and *surj*:  $\text{surj } h$   
shows  $\text{finite } F$

**proof** –

```

  have finite (h^{\cdot} (h^{-\cdot} F)) using fin by (rule finite-imageI)
  also have h^{\cdot} (h^{-\cdot} F) = F using surj by (rule surj-image-vimage-eq)
  finally show finite F .

```

**qed**

**lemma** *finite-vimage-iff*:  $\text{bij } h \implies \text{finite } (h^{-\cdot} F) \longleftrightarrow \text{finite } F$

**unfolding** *bij-def* **by** (*auto elim: finite-vimageD finite-vimageI*)

The finite UNION of finite sets

**lemma** *finite-UN-I*:  $\text{finite } A \implies (!a. a:A \implies \text{finite } (B \ a)) \implies \text{finite } (\text{UN } a:A. B \ a)$   
**by** (*induct set: finite*) *simp-all*

Strengthen RHS to  $(\forall x \in A. \text{finite } (B \ x)) \wedge \text{finite } \{x \in A. B \ x \neq \{\}\}$ ?

We’d need to prove  $\text{finite } C \implies \forall A \ B. \text{UNION } A \ B \subseteq C \longrightarrow \text{finite } \{x \in A. B \ x \neq \{\}\}$  by induction.

**lemma** *finite-UN* [*simp*]:  
 $\text{finite } A \implies \text{finite } (\text{UNION } A \ B) = (\text{ALL } x:A. \text{finite } (B \ x))$   
**by** (*blast intro: finite-UN-I finite-subset*)

**lemma** *finite-Collect-bex* [*simp*]:  $\text{finite } A \implies$   
 $\text{finite}\{x. \text{EX } y:A. Q \ x \ y\} = (\text{ALL } y:A. \text{finite}\{x. Q \ x \ y\})$   
**apply** (*subgoal-tac*  $\{x. \text{EX } y:A. Q \ x \ y\} = \text{UNION } A \ (\%y. \{x. Q \ x \ y\})$ )  
**apply** *auto*  
**done**

**lemma** *finite-Collect-bounded-ex* [*simp*]:  $\text{finite}\{y. P \ y\} \implies$   
 $\text{finite}\{x. \text{EX } y. P \ y \ \& \ Q \ x \ y\} = (\text{ALL } y. P \ y \longrightarrow \text{finite}\{x. Q \ x \ y\})$   
**apply** (*subgoal-tac*  $\{x. \text{EX } y. P \ y \ \& \ Q \ x \ y\} = \text{UNION } \{y. P \ y\} \ (\%y. \{x. Q \ x \ y\})$ )  
**apply** *auto*  
**done**

**lemma** *finite-Plus*:  $[\text{finite } A; \text{finite } B] \implies \text{finite } (A \ <+> B)$   
**by** (*simp add: Plus-def*)

**lemma** *finite-PlusD*:

**fixes**  $A :: 'a \text{ set}$  **and**  $B :: 'b \text{ set}$   
**assumes**  $\text{fin}: \text{finite } (A \ <+> B)$   
**shows**  $\text{finite } A \ \text{finite } B$

**proof** –

**have**  $\text{Inl } 'A \subseteq A \ <+> B$  **by** *auto*  
**hence**  $\text{finite } (\text{Inl } 'A :: ('a + 'b) \text{ set})$  **using**  $\text{fin}$  **by** (*rule finite-subset*)  
**thus**  $\text{finite } A$  **by** (*rule finite-imageD*) (*auto intro: inj-onI*)

**next**

**have**  $\text{Inr } 'B \subseteq A \ <+> B$  **by** *auto*  
**hence**  $\text{finite } (\text{Inr } 'B :: ('a + 'b) \text{ set})$  **using**  $\text{fin}$  **by** (*rule finite-subset*)  
**thus**  $\text{finite } B$  **by** (*rule finite-imageD*) (*auto intro: inj-onI*)

**qed**

**lemma** *finite-Plus-iff* [*simp*]:  $\text{finite } (A \ <+> B) \longleftrightarrow \text{finite } A \ \wedge \ \text{finite } B$   
**by** (*auto intro: finite-PlusD finite-Plus*)

**lemma** *finite-Plus-UNIV-iff* [*simp*]:  
 $\text{finite } (\text{UNIV} :: ('a + 'b) \text{ set}) =$

```

  (finite (UNIV :: 'a set) & finite (UNIV :: 'b set))
by(subst UNIV-Plus-UNIV[symmetric])(rule finite-Plus-iff)

```

Sigma of finite sets

```

lemma finite-SigmaI [simp]:
  finite A ==> (!!a. a:A ==> finite (B a)) ==> finite (SIGMA a:A. B a)
by (unfold Sigma-def) (blast intro!: finite-UN-I)

```

```

lemma finite-cartesian-product: [| finite A; finite B |] ==>
  finite (A <*> B)
by (rule finite-SigmaI)

```

```

lemma finite-Prod-UNIV:
  finite (UNIV::'a set) ==> finite (UNIV::'b set) ==> finite (UNIV::('a * 'b)
set)
  apply (subgoal-tac (UNIV:: ('a * 'b) set) = Sigma UNIV (%x. UNIV))
  apply (erule ssubst)
  apply (erule finite-SigmaI, auto)
done

```

```

lemma finite-cartesian-productD1:
  [| finite (A <*> B); B ≠ {} |] ==> finite A
  apply (auto simp add: finite-conv-nat-seg-image)
  apply (drule-tac x=n in spec)
  apply (drule-tac x=fst o f in spec)
  apply (auto simp add: o-def)
  prefer 2 apply (force dest!: equalityD2)
  apply (drule equalityD1)
  apply (rename-tac y x)
  apply (subgoal-tac ∃ k. k<n & f k = (x,y))
  prefer 2 apply force
  apply clarify
  apply (rule-tac x=k in image-eqI, auto)
done

```

```

lemma finite-cartesian-productD2:
  [| finite (A <*> B); A ≠ {} |] ==> finite B
  apply (auto simp add: finite-conv-nat-seg-image)
  apply (drule-tac x=n in spec)
  apply (drule-tac x=snd o f in spec)
  apply (auto simp add: o-def)
  prefer 2 apply (force dest!: equalityD2)
  apply (drule equalityD1)
  apply (rename-tac x y)
  apply (subgoal-tac ∃ k. k<n & f k = (x,y))
  prefer 2 apply force
  apply clarify
  apply (rule-tac x=k in image-eqI, auto)
done

```

The powerset of a finite set

**lemma** *finite-Pow-iff* [iff]: *finite (Pow A) = finite A*

**proof**

**assume** *finite (Pow A)*

**with - have** *finite ((%x. {x}) ‘ A)* **by** (*rule finite-subset*) *blast*

**thus** *finite A* **by** (*rule finite-imageD [unfolded inj-on-def]*) *simp*

**next**

**assume** *finite A*

**thus** *finite (Pow A)*

**by** *induct (simp-all add: Pow-insert)*

**qed**

**lemma** *finite-Collect-subsets*[*simp,intro*]: *finite A  $\implies$  finite {B. B  $\subseteq$  A}*

**by**(*simp add: Pow-def[symmetric]*)

**lemma** *finite-UnionD*: *finite( $\bigcup$  A)  $\implies$  finite A*

**by**(*blast intro: finite-subset[OF subset-Pow-Union]*)

**lemma** *finite-subset-image*:

**assumes** *finite B*

**shows** *B  $\subseteq$  f ‘ A  $\implies \exists C \subseteq A. \text{finite } C \wedge B = f ‘ C$*

**using** *assms* **proof**(*induct*)

**case empty** **thus** ?*case* **by** *simp*

**next**

**case insert** **thus** ?*case*

**by** (*clarsimp simp del: image-insert simp add: image-insert[symmetric]*)  
    *blast*

**qed**

## 20.2 Class *finite*

**class** *finite* =

**assumes** *finite-UNIV*: *finite (UNIV :: 'a set)*

**begin**

**lemma** *finite [simp]*: *finite (A :: 'a set)*

**by** (*rule subset-UNIV finite-UNIV finite-subset*)**+**

**end**

**lemma** *UNIV-unit* [*no-atp*]:

$UNIV = \{()\}$  **by** *auto*

**instance** *unit* :: *finite* **proof**

**qed** (*simp add: UNIV-unit*)

**lemma** *UNIV-bool* [*no-atp*]:

```

UNIV = {False, True} by auto

instance bool :: finite proof
qed (simp add: UNIV=bool)

instance * :: (finite, finite) finite proof
qed (simp only: UNIV-Times-UNIV [symmetric] finite-cartesian-product finite)

lemma finite-option-UNIV [simp]:
  finite (UNIV :: 'a option set) = finite (UNIV :: 'a set)
  by (auto simp add: UNIV-option-conv elim: finite-imageD intro: inj-Some)

instance option :: (finite) finite proof
qed (simp add: UNIV-option-conv)

lemma inj-graph: inj (%f. {(x, y). y = f x})
  by (rule inj-onI, auto simp add: expand-set-eq expand-fun-eq)

instance fun :: (finite, finite) finite
proof
  show finite (UNIV :: ('a => 'b) set)
  proof (rule finite-imageD)
    let ?graph = %f::'a => 'b. {(x, y). y = f x}
    have range ?graph ⊆ Pow UNIV by simp
    moreover have finite (Pow (UNIV :: ('a * 'b) set))
      by (simp only: finite-Pow-iff finite)
    ultimately show finite (range ?graph)
      by (rule finite-subset)
    show inj ?graph by (rule inj-graph)
  qed
qed

instance + :: (finite, finite) finite proof
qed (simp only: UNIV-Plus-UNIV [symmetric] finite-Plus finite)

```

### 20.3 A basic fold functional for finite sets

The intended behaviour is  $\text{fold } f \ z \ \{x_1, \dots, x_n\} = f \ x_1 \ (\dots (f \ x_n \ z) \dots)$  if  $f$  is “left-commutative”:

```

locale fun-left-comm =
  fixes f :: 'a => 'b => 'b
  assumes fun-left-comm: f x (f y z) = f y (f x z)
begin

```

On a functional level it looks much nicer:

```

lemma fun-comp-comm: f x ∘ f y = f y ∘ f x
by (simp add: fun-left-comm expand-fun-eq)

```

**end**

**inductive** *fold-graph* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'b  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  bool  
**for** *f* :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b **and** *z* :: 'b **where**  
   *emptyI* [intro]: *fold-graph* *f* *z* {} *z* |  
   *insertI* [intro]:  $x \notin A \implies \text{fold-graph } f \ z \ A \ y$   
                    $\implies \text{fold-graph } f \ z \ (\text{insert } x \ A) \ (f \ x \ y)$

**inductive-cases** *empty-fold-graphE* [elim!]: *fold-graph* *f* *z* {} *x*

**definition** *fold* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'b  $\Rightarrow$  'a set  $\Rightarrow$  'b **where**  
 [code del]: *fold* *f* *z* *A* = (THE *y*. *fold-graph* *f* *z* *A* *y*)

A tempting alternative for the definiens is *if finite A then THE y. fold-graph f z A y else e*. It allows the removal of finiteness assumptions from the theorems *fold-comm*, *fold-reindex* and *fold-distrib*. The proofs become ugly. It is not worth the effort. (???)

**lemma** *Diff1-fold-graph*:

*fold-graph* *f* *z* (*A* - {*x*}) *y*  $\implies x \in A \implies \text{fold-graph } f \ z \ A \ (f \ x \ y)$   
**by** (erule *insert-Diff* [THEN *subst*], rule *fold-graph.intros*, auto)

**lemma** *fold-graph-imp-finite*: *fold-graph* *f* *z* *A* *x*  $\implies$  *finite* *A*  
**by** (induct set: *fold-graph*) auto

**lemma** *finite-imp-fold-graph*: *finite* *A*  $\implies \exists x. \text{fold-graph } f \ z \ A \ x$   
**by** (induct set: *finite*) auto

### 20.3.1 From *fold-graph* to *fold*

**context** *fun-left-comm*  
**begin**

**lemma** *fold-graph-insertE-aux*:

*fold-graph* *f* *z* *A* *y*  $\implies a \in A \implies \exists y'. y = f \ a \ y' \wedge \text{fold-graph } f \ z \ (A - \{a\}) \ y'$   
**proof** (induct set: *fold-graph*)

**case** (*insertI* *x* *A* *y*) **show** ?*case*

**proof** (cases *x* = *a*)

**assume** *x* = *a* **with** *insertI* **show** ?*case* **by** auto

**next**

**assume** *x*  $\neq$  *a*

**then obtain** *y'* **where** *y*: *y* = *f* *a* *y'* **and** *y'*: *fold-graph* *f* *z* (*A* - {*a*}) *y'*

**using** *insertI* **by** auto

**have** 1: *f* *x* *y* = *f* *a* (*f* *x* *y'*)

**unfolding** *y* **by** (rule *fun-left-comm*)

**have** 2: *fold-graph* *f* *z* (*insert* *x* *A* - {*a*}) (*f* *x* *y'*)

**using** *y'* **and**  $\langle x \neq a \rangle$  **and**  $\langle x \notin A \rangle$

**by** (simp add: *insert-Diff-if* *fold-graph.insertI*)

**from** 1 2 **show** ?*case* **by** fast

**qed**

qed *simp*

lemma *fold-graph-insertE*:

assumes *fold-graph*  $f\ z\ (insert\ x\ A)\ v$  and  $x \notin A$   
 obtains  $y$  where  $v = f\ x\ y$  and *fold-graph*  $f\ z\ A\ y$   
 using *assms* by (auto dest: *fold-graph-insertE-aux* [*OF* - *insertI1*])

lemma *fold-graph-determ*:

*fold-graph*  $f\ z\ A\ x \implies fold-graph\ f\ z\ A\ y \implies y = x$   
**proof** (induct arbitrary: *y set: fold-graph*)  
 case (*insertI*  $x\ A\ y\ v$ )  
 from  $\langle fold-graph\ f\ z\ (insert\ x\ A)\ v \rangle$  and  $\langle x \notin A \rangle$   
 obtain  $y'$  where  $v = f\ x\ y'$  and *fold-graph*  $f\ z\ A\ y'$   
 by (rule *fold-graph-insertE*)  
 from  $\langle fold-graph\ f\ z\ A\ y' \rangle$  have  $y' = y$  by (rule *insertI*)  
 with  $\langle v = f\ x\ y' \rangle$  show  $v = f\ x\ y$  by *simp*  
 qed *fast*

lemma *fold-equality*:

*fold-graph*  $f\ z\ A\ y \implies fold\ f\ z\ A = y$   
 by (unfold *fold-def*) (blast intro: *fold-graph-determ*)

lemma *fold-graph-fold*: *finite*  $A \implies fold-graph\ f\ z\ A\ (fold\ f\ z\ A)$

unfolding *fold-def*  
 apply (rule *theI'*)  
 apply (rule *ex-ex1I*)  
 apply (erule *finite-imp-fold-graph*)  
 apply (erule (1) *fold-graph-determ*)  
 done

The base case for *fold*:

lemma (in  $-$ ) *fold-empty* [*simp*]: *fold*  $f\ z\ \{\} = z$   
 by (unfold *fold-def*) blast

The various recursion equations for *fold*:

lemma *fold-insert* [*simp*]:

*finite*  $A \implies x \notin A \implies fold\ f\ z\ (insert\ x\ A) = f\ x\ (fold\ f\ z\ A)$   
 apply (rule *fold-equality*)  
 apply (erule *fold-graph.insertI*)  
 apply (erule *fold-graph-fold*)  
 done

lemma *fold-fun-comm*:

*finite*  $A \implies f\ x\ (fold\ f\ z\ A) = fold\ f\ (f\ x\ z)\ A$   
**proof** (induct rule: *finite-induct*)  
 case *empty* then show ?case by *simp*  
 next  
 case (*insert*  $y\ A$ ) then show ?case  
 by (*simp add: fun-left-comm*[*of*  $x$ ])

qed

**lemma** *fold-insert2*:

*finite A*  $\implies x \notin A \implies \text{fold } f \ z \ (\text{insert } x \ A) = \text{fold } f \ (f \ x \ z) \ A$   
**by** (*simp add: fold-fun-comm*)

**lemma** *fold-rec*:

**assumes** *finite A* **and**  $x \in A$

**shows**  $\text{fold } f \ z \ A = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$

**proof** –

**have**  $A = \text{insert } x \ (A - \{x\})$  **using**  $\langle x \in A \rangle$  **by** *blast*

**then have**  $\text{fold } f \ z \ A = \text{fold } f \ z \ (\text{insert } x \ (A - \{x\}))$  **by** *simp*

**also have**  $\dots = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$

**by** (*rule fold-insert*) (*simp add: finite A*) +

**finally show** *?thesis* .

qed

**lemma** *fold-insert-remove*:

**assumes** *finite A*

**shows**  $\text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$

**proof** –

**from**  $\langle \text{finite } A \rangle$  **have** *finite (insert x A)* **by** *auto*

**moreover have**  $x \in \text{insert } x \ A$  **by** *auto*

**ultimately have**  $\text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ (\text{insert } x \ A - \{x\}))$

**by** (*rule fold-rec*)

**then show** *?thesis* **by** *simp*

qed

end

A simplified version for idempotent functions:

**locale** *fun-left-comm-idem* = *fun-left-comm* +

**assumes** *fun-left-idem*:  $f \ x \ (f \ x \ z) = f \ x \ z$

**begin**

The nice version:

**lemma** *fun-comp-idem* :  $f \ x \ o \ f \ x = f \ x$

**by** (*simp add: fun-left-idem expand-fun-eq*)

**lemma** *fold-insert-idem*:

**assumes** *fin*: *finite A*

**shows**  $\text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ A)$

**proof** *cases*

**assume**  $x \in A$

**then obtain** *B* **where**  $A = \text{insert } x \ B$  **and**  $x \notin B$  **by** (*rule set-insert*)

**then show** *?thesis* **using** *assms* **by** (*simp add: fun-left-idem*)

**next**

**assume**  $x \notin A$  **then show** *?thesis* **using** *assms* **by** *simp*

qed



**declare** *fold-insert*[*simp del*] *fold-insert-idem*[*simp*]

**lemma** *fold-insert-idem2*:

*finite A*  $\implies$  *fold f z (insert x A) = fold f (f x z) A*  
**by**(*simp add:fold-fun-comm*)

**end**

### 20.3.2 Expressing set operations via *fold*

**lemma** (**in** *fun-left-comm*) *fun-left-comm-apply*:

*fun-left-comm* ( $\lambda x. f (g x)$ )

**proof**

**qed** (*simp-all add: fun-left-comm*)

**lemma** (**in** *fun-left-comm-idem*) *fun-left-comm-idem-apply*:

*fun-left-comm-idem* ( $\lambda x. f (g x)$ )

**by** (*rule fun-left-comm-idem.intro, rule fun-left-comm-apply, unfold-locales*)  
(*simp-all add: fun-left-idem*)

**lemma** *fun-left-comm-idem-insert*:

*fun-left-comm-idem insert*

**proof**

**qed** *auto*

**lemma** *fun-left-comm-idem-remove*:

*fun-left-comm-idem* ( $\lambda x A. A - \{x\}$ )

**proof**

**qed** *auto*

**lemma** (**in** *semilattice-inf*) *fun-left-comm-idem-inf*:

*fun-left-comm-idem inf*

**proof**

**qed** (*auto simp add: inf-left-commute*)

**lemma** (**in** *semilattice-sup*) *fun-left-comm-idem-sup*:

*fun-left-comm-idem sup*

**proof**

**qed** (*auto simp add: sup-left-commute*)

**lemma** *union-fold-insert*:

**assumes** *finite A*

**shows**  $A \cup B = \text{fold insert } B A$

**proof** —

**interpret** *fun-left-comm-idem insert* **by** (*fact fun-left-comm-idem-insert*)  
**from** (*finite A*) **show** ?thesis **by** (*induct A arbitrary: B*) *simp-all*

**qed**

```

lemma minus-fold-remove:
  assumes finite A
  shows  $B - A = \text{fold } (\lambda x A. A - \{x\}) B A$ 
proof –
  interpret fun-left-comm-idem  $\lambda x A. A - \{x\}$  by (fact fun-left-comm-idem-remove)
  from  $\langle \text{finite } A \rangle$  show ?thesis by (induct A arbitrary: B) auto
qed

context complete-lattice
begin

lemma inf-Inf-fold-inf:
  assumes finite A
  shows  $\text{inf } B (\text{Inf } A) = \text{fold inf } B A$ 
proof –
  interpret fun-left-comm-idem inf by (fact fun-left-comm-idem-inf)
  from  $\langle \text{finite } A \rangle$  show ?thesis by (induct A arbitrary: B)
    (simp-all add: Inf-empty Inf-insert inf-commute fold-fun-comm)
qed

lemma sup-Sup-fold-sup:
  assumes finite A
  shows  $\text{sup } B (\text{Sup } A) = \text{fold sup } B A$ 
proof –
  interpret fun-left-comm-idem sup by (fact fun-left-comm-idem-sup)
  from  $\langle \text{finite } A \rangle$  show ?thesis by (induct A arbitrary: B)
    (simp-all add: Sup-empty Sup-insert sup-commute fold-fun-comm)
qed

lemma Inf-fold-inf:
  assumes finite A
  shows  $\text{Inf } A = \text{fold inf top } A$ 
  using assms inf-Inf-fold-inf [of A top] by (simp add: inf-absorb2)

lemma Sup-fold-sup:
  assumes finite A
  shows  $\text{Sup } A = \text{fold sup bot } A$ 
  using assms sup-Sup-fold-sup [of A bot] by (simp add: sup-absorb2)

lemma inf-INFI-fold-inf:
  assumes finite A
  shows  $\text{inf } B (\text{INFI } A f) = \text{fold } (\lambda A. \text{inf } (f A)) B A$  (is ?inf = ?fold)
proof (rule sym)
  interpret fun-left-comm-idem inf by (fact fun-left-comm-idem-inf)
  interpret fun-left-comm-idem  $\lambda A. \text{inf } (f A)$  by (fact fun-left-comm-idem-apply)
  from  $\langle \text{finite } A \rangle$  show  $?fold = ?inf$ 
  by (induct A arbitrary: B)
    (simp-all add: INFI-def Inf-empty Inf-insert inf-left-commute)
qed

```

```

lemma sup-SUPR-fold-sup:
  assumes finite A
  shows  $\text{sup } B \ (\text{SUPR } A \ f) = \text{fold } (\lambda A. \text{sup } (f \ A)) \ B \ A$  (is  $?sup = ?fold$ )
proof (rule sym)
  interpret fun-left-comm-idem sup by (fact fun-left-comm-idem-sup)
  interpret fun-left-comm-idem  $\lambda A. \text{sup } (f \ A)$  by (fact fun-left-comm-idem-apply)
  from  $\langle \text{finite } A \rangle$  show  $?fold = ?sup$ 
  by (induct A arbitrary: B)
    (simp-all add: SUPR-def Sup-empty Sup-insert sup-left-commute)
qed

```

```

lemma INFI-fold-inf:
  assumes finite A
  shows  $\text{INFI } A \ f = \text{fold } (\lambda A. \text{inf } (f \ A)) \ \text{top } A$ 
  using assms inf-INFI-fold-inf [of A top] by simp

```

```

lemma SUPR-fold-sup:
  assumes finite A
  shows  $\text{SUPR } A \ f = \text{fold } (\lambda A. \text{sup } (f \ A)) \ \text{bot } A$ 
  using assms sup-SUPR-fold-sup [of A bot] by simp

```

**end**

## 20.4 The derived combinator *fold-image*

```

definition fold-image ::  $('b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b \Rightarrow 'a \text{ set} \Rightarrow 'b$ 
where  $\text{fold-image } f \ g = \text{fold } (\%x \ y. f \ (g \ x) \ y)$ 

```

```

lemma fold-image-empty[simp]:  $\text{fold-image } f \ g \ z \ \{\} = z$ 
by(simp add:fold-image-def)

```

```

context ab-semigroup-mult
begin

```

```

lemma fold-image-insert[simp]:
  assumes finite A and  $a \notin A$ 
  shows  $\text{fold-image times } g \ z \ (\text{insert } a \ A) = g \ a * (\text{fold-image times } g \ z \ A)$ 
proof –
  interpret I: fun-left-comm  $\%x \ y. (g \ x) * y$ 
    by unfold-locales (simp add: mult-ac)
  show ?thesis using assms by(simp add:fold-image-def)
qed

```

```

lemma fold-image-reindex:
  assumes fin: finite A
  shows  $\text{inj-on } h \ A \Longrightarrow \text{fold-image times } g \ z \ (h' A) = \text{fold-image times } (g \circ h) \ z \ A$ 

```

using *fin* by *induct auto*

**lemma** *fold-image-cong*:

*finite A*  $\implies$   
 $(\forall x. x:A \implies g\ x = h\ x) \implies \text{fold-image times } g\ z\ A = \text{fold-image times } h\ z\ A$   
**apply** (*subgoal-tac ALL C. C <= A --> (ALL x:C. g x = h x) --> fold-image times g z C = fold-image times h z C*)  
**apply** *simp*  
**apply** (*erule finite-induct, simp*)  
**apply** (*simp add: subset-insert-iff, clarify*)  
**apply** (*subgoal-tac finite C*)  
**prefer 2 apply** (*blast dest: finite-subset [COMP swap-prems-rl]*)  
**apply** (*subgoal-tac C = insert x (C - {x})*)  
**prefer 2 apply** *blast*  
**apply** (*erule ssubst*)  
**apply** (*drule spec*)  
**apply** (*erule (1) notE impE*)  
**apply** (*simp add: Ball-def del: insert-Diff-single*)  
**done**

**end**

**context** *comm-monoid-mult*

**begin**

**lemma** *fold-image-1*:

*finite S*  $\implies (\forall x \in S. f\ x = 1) \implies \text{fold-image op } * f\ 1\ S = 1$   
**apply** (*induct set: finite*)  
**apply** *simp by auto*

**lemma** *fold-image-Un-Int*:

*finite A*  $\implies$  *finite B*  $\implies$   
 $\text{fold-image times } g\ 1\ A * \text{fold-image times } g\ 1\ B =$   
 $\text{fold-image times } g\ 1\ (A \cup B) * \text{fold-image times } g\ 1\ (A \cap B)$   
**by** (*induct set: finite*)  
*(auto simp add: mult-ac insert-absorb Int-insert-left)*

**lemma** *fold-image-Un-one*:

**assumes** *fS: finite S* **and** *fT: finite T*  
**and** *I0:  $\forall x \in S \cap T. f\ x = 1$*   
**shows**  $\text{fold-image (op } *) f\ 1\ (S \cup T) = \text{fold-image (op } *) f\ 1\ S * \text{fold-image (op } *) f\ 1\ T$   
**proof** –  
**have**  $\text{fold-image op } * f\ 1\ (S \cap T) = 1$   
**apply** (*rule fold-image-1*)  
**using** *fS fT I0* **by** *auto*  
**with** *fold-image-Un-Int[OF fS fT]* **show** *?thesis* **by** *simp*

qed

**corollary** *fold-Un-disjoint:*

$finite\ A ==> finite\ B ==> A\ Int\ B = \{\} ==>$   
 $fold-image\ times\ g\ 1\ (A\ Un\ B) =$   
 $fold-image\ times\ g\ 1\ A * fold-image\ times\ g\ 1\ B$   
**by** (*simp add: fold-image-Un-Int*)

**lemma** *fold-image-UN-disjoint:*

$\llbracket finite\ I; ALL\ i:I. finite\ (A\ i);$   
 $ALL\ i:I. ALL\ j:I. i \neq j \longrightarrow A\ i\ Int\ A\ j = \{\} \rrbracket$   
 $\implies fold-image\ times\ g\ 1\ (UNION\ I\ A) =$   
 $fold-image\ times\ (\%i. fold-image\ times\ g\ 1\ (A\ i))\ 1\ I$   
**apply** (*induct set: finite, simp, atomize*)  
**apply** (*subgoal-tac ALL i:F. x  $\neq$  i*)  
**prefer 2 apply blast**  
**apply** (*subgoal-tac A x Int UNION F A = \{\}*)  
**prefer 2 apply blast**  
**apply** (*simp add: fold-Un-disjoint*)  
**done**

**lemma** *fold-image-Sigma: finite A ==> ALL x:A. finite (B x) ==>*

$fold-image\ times\ (\%x. fold-image\ times\ (g\ x)\ 1\ (B\ x))\ 1\ A =$   
 $fold-image\ times\ (split\ g)\ 1\ (SIGMA\ x:A. B\ x)$   
**apply** (*subst Sigma-def*)  
**apply** (*subst fold-image-UN-disjoint, assumption, simp*)  
**apply blast**  
**apply** (*erule fold-image-cong*)  
**apply** (*subst fold-image-UN-disjoint, simp, simp*)  
**apply blast**  
**apply simp**  
**done**

**lemma** *fold-image-distrib: finite A  $\implies$*

$fold-image\ times\ (\%x. g\ x * h\ x)\ 1\ A =$   
 $fold-image\ times\ g\ 1\ A * fold-image\ times\ h\ 1\ A$   
**by** (*erule finite-induct*) (*simp-all add: mult-ac*)

**lemma** *fold-image-related:*

**assumes** *Re: R e e*  
**and** *Rop:  $\forall x1\ y1\ x2\ y2. R\ x1\ x2 \wedge R\ y1\ y2 \longrightarrow R\ (x1 * y1)\ (x2 * y2)$*   
**and** *fS: finite S and Rfg:  $\forall x \in S. R\ (h\ x)\ (g\ x)$*   
**shows**  $R\ (fold-image\ (op\ *)\ h\ e\ S)\ (fold-image\ (op\ *)\ g\ e\ S)$   
**using fS by** (*rule finite-subset-induct*) (*insert assms, auto*)

**lemma** *fold-image-eq-general:*

**assumes** *fS: finite S*  
**and** *h:  $\forall y \in S'. \exists! x. x \in S \wedge h(x) = y$*   
**and** *f12:  $\forall x \in S. h\ x \in S' \wedge f2(h\ x) = f1\ x$*

```

shows fold-image (op *) f1 e S = fold-image (op *) f2 e S'
proof -
  from f12 have hS: h ` S = S' by auto
  {fix x y assume H: x ∈ S y ∈ S h x = h y
   from f12 h H have x = y by auto }
  hence hinj: inj-on h S unfolding inj-on-def Ex1-def by blast
  from f12 have th:  $\bigwedge x. x \in S \implies (f2 \circ h) x = f1 x$  by auto
  from hS have fold-image (op *) f2 e S' = fold-image (op *) f2 e (h ` S) by
simp
  also have ... = fold-image (op *) (f2 o h) e S
    using fold-image-reindex[OF fS hinj, of f2 e] .
  also have ... = fold-image (op *) f1 e S using th fold-image-cong[OF fS, of f2
o h f1 e]
    by blast
  finally show ?thesis ..
qed

```

lemma fold-image-eq-general-inverses:

```

assumes fS: finite S
and kh:  $\bigwedge y. y \in T \implies k y \in S \wedge h (k y) = y$ 
and hk:  $\bigwedge x. x \in S \implies h x \in T \wedge k (h x) = x \wedge g (h x) = f x$ 
shows fold-image (op *) f e S = fold-image (op *) g e T

```

```

apply (rule fold-image-eq-general[OF fS, of T h g f e])
apply (rule ballI)
apply (frule kh)
apply (rule ex1I[])
apply blast
apply clarsimp
apply (drule hk) apply simp
apply (rule sym)
apply (erule conjunct1[OF conjunct2[OF hk]])
apply (rule ballI)
apply (drule hk)
apply blast
done

```

end

## 20.5 A fold functional for non-empty sets

Does not require start value.

inductive

```

fold1Set :: ('a => 'a => 'a) => 'a set => 'a => bool
for f :: 'a => 'a => 'a

```

where

```

fold1Set-insertI [intro]:
   $\llbracket \text{fold-graph } f \text{ a } A \text{ x; } a \notin A \rrbracket \implies \text{fold1Set } f (\text{insert } a \text{ } A) \text{ x}$ 

```

**definition** *fold1* :: (*'a* => *'a* => *'a*) => *'a* set => *'a* **where**  
*fold1* *f* *A* == *THE* *x*. *fold1Set* *f* *A* *x*

**lemma** *fold1Set-nonempty*:  
*fold1Set* *f* *A* *x*  $\implies$  *A*  $\neq$  {}  
**by**(*erule fold1Set.cases*, *simp-all*)

**inductive-cases** *empty-fold1SetE* [*elim!*]: *fold1Set* *f* {} *x*

**inductive-cases** *insert-fold1SetE* [*elim!*]: *fold1Set* *f* (*insert* *a* *X*) *x*

**lemma** *fold1Set-sing* [*iff*]: (*fold1Set* *f* {*a*} *b*) = (*a* = *b*)  
**by** (*blast elim: fold-graph.cases*)

**lemma** *fold1-singleton* [*simp*]: *fold1* *f* {*a*} = *a*  
**by** (*unfold fold1-def*) *blast*

**lemma** *finite-nonempty-imp-fold1Set*:  
 $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \exists x. \text{fold1Set } f \ A \ x$   
**apply** (*induct* *A* *rule: finite-induct*)  
**apply** (*auto dest: finite-imp-fold-graph [of - f]*)  
**done**

First, some lemmas about *fold-graph*.

**context** *ab-semigroup-mult*  
**begin**

**lemma** *fun-left-comm*: *fun-left-comm*(*op* \*)  
**by** *unfold-locales (simp add: mult-ac)*

**lemma** *fold-graph-insert-swap*:  
**assumes** *fold: fold-graph times* (*b::'a*) *A* *y* **and** *b*  $\notin$  *A*  
**shows** *fold-graph times* *z* (*insert* *b* *A*) (*z* \* *y*)  
**proof** –  
**interpret** *fun-left-comm* *op* \*::'*a*  $\Rightarrow$  '*a*  $\Rightarrow$  '*a* **by** (*rule fun-left-comm*)  
**from** *assms* **show** ?*thesis*  
**proof** (*induct rule: fold-graph.induct*)  
**case** *emptyI* **show** ?*case* **by** (*subst mult-commute [of z b]*, *fast*)  
**next**  
**case** (*insertI* *x* *A* *y*)  
**have** *fold-graph times* *z* (*insert* *x* (*insert* *b* *A*)) (*x* \* (*z* \* *y*))  
**using** *insertI* **by** *force* — how does *id* get unfolded?  
**thus** ?*case* **by** (*simp add: insert-commute mult-ac*)  
**qed**  
**qed**

**lemma** *fold-graph-permute-diff*:  
**assumes** *fold: fold-graph times* *b* *A* *x*

```

shows !!a.  $\llbracket a \in A; b \notin A \rrbracket \implies \text{fold-graph times } a \text{ (insert } b \text{ (} A - \{a\} \text{)) } x$ 
using fold
proof (induct rule: fold-graph.induct)
  case emptyI thus ?case by simp
next
  case (insertI x A y)
  have  $a = x \vee a \in A$  using insertI by simp
  thus ?case
  proof
    assume  $a = x$ 
    with insertI show ?thesis
    by (simp add: id-def [symmetric], blast intro: fold-graph-insert-swap)
  next
    assume  $a \in A$ 
    hence fold-graph times a (insert x (insert b (A - {a}))) (x * y)
    using insertI by force
    moreover
    have insert x (insert b (A - {a})) = insert b (insert x A - {a})
    using ainA insertI by blast
    ultimately show ?thesis by simp
  qed
qed

```

**lemma** fold1-eq-fold:

**assumes** finite A  $a \notin A$  **shows** fold1 times (insert a A) = fold times a A

**proof** –

```

  interpret fun-left-comm op *: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a by (rule fun-left-comm)
  from assms show ?thesis
  apply (simp add: fold1-def fold-def)
  apply (rule the-equality)
  apply (best intro: fold-graph-determ theI dest: finite-imp-fold-graph [of - times])
  apply (rule sym, clarify)
  apply (case-tac Aa=A)
  apply (best intro: fold-graph-determ)
  apply (subgoal-tac fold-graph times a A x)
  apply (best intro: fold-graph-determ)
  apply (subgoal-tac insert aa (Aa - {a}) = A)
  prefer 2 apply (blast elim: equalityE)
  apply (auto dest: fold-graph-permute-diff [where a=a])
  done
qed

```

**lemma** nonempty-iff:  $(A \neq \{\}) = (\exists x B. A = \text{insert } x B \ \& \ x \notin B)$

```

apply safe
apply simp
apply (drule-tac x=x in spec)
apply (drule-tac x=A-{x} in spec, auto)
done

```



```

lemma fold1-insert:
  assumes nonempty:  $A \neq \{\}$  and  $A$ : finite  $A$   $x \notin A$ 
  shows fold1 times (insert  $x$   $A$ ) =  $x * \text{fold1 times } A$ 
proof –
  interpret fun-left-comm  $op$   $:: 'a \Rightarrow 'a \Rightarrow 'a$  by (rule fun-left-comm)
  from nonempty obtain  $a$   $A'$  where  $A = \text{insert } a$   $A'$  &  $a \sim: A'$ 
    by (auto simp add: nonempty-iff)
  with  $A$  show ?thesis
    by (simp add: insert-commute [of x] fold1-eq-fold eq-commute)
qed

end

context ab-semigroup-idem-mult
begin

lemma fun-left-comm-idem: fun-left-comm-idem( $op$   $*$ )
apply unfold-locales
  apply (rule mult-left-commute)
apply (rule mult-left-idem)
done

lemma fold1-insert-idem [simp]:
  assumes nonempty:  $A \neq \{\}$  and  $A$ : finite  $A$ 
  shows fold1 times (insert  $x$   $A$ ) =  $x * \text{fold1 times } A$ 
proof –
  interpret fun-left-comm-idem  $op$   $:: 'a \Rightarrow 'a \Rightarrow 'a$ 
    by (rule fun-left-comm-idem)
  from nonempty obtain  $a$   $A'$  where  $A': A = \text{insert } a$   $A'$  &  $a \sim: A'$ 
    by (auto simp add: nonempty-iff)
  show ?thesis
  proof cases
    assume  $a = x$ 
    thus ?thesis
    proof cases
      assume  $A' = \{\}$ 
      with prems show ?thesis by simp
    next
      assume  $A' \neq \{\}$ 
      with prems show ?thesis
        by (simp add: fold1-insert mult-assoc [symmetric])
    qed
  next
    assume  $a \neq x$ 
    with prems show ?thesis
      by (simp add: insert-commute fold1-eq-fold)
    qed
  qed

```

```

lemma hom-fold1-commute:
assumes hom:  $\forall x y. h (x * y) = h x * h y$ 
and N: finite N  $N \neq \{\}$  shows  $h (fold1\ times\ N) = fold1\ times\ (h\ 'N)$ 
using N proof (induct rule: finite-ne-induct)
  case singleton thus ?case by simp
next
  case (insert n N)
  then have  $h (fold1\ times\ (insert\ n\ N)) = h (n * fold1\ times\ N)$  by simp
  also have  $\dots = h\ n * h (fold1\ times\ N)$  by (rule hom)
  also have  $h (fold1\ times\ N) = fold1\ times\ (h\ 'N)$  by (rule insert)
  also have  $times\ (h\ n) \dots = fold1\ times\ (insert\ (h\ n)\ (h\ 'N))$ 
    using insert by (simp)
  also have  $insert\ (h\ n)\ (h\ 'N) = h\ 'insert\ n\ N$  by simp
  finally show ?case .
qed

lemma fold1-eq-fold-idem:
assumes finite A
shows  $fold1\ times\ (insert\ a\ A) = fold\ times\ a\ A$ 
proof (cases  $a \in A$ )
  case False
  with assms show ?thesis by (simp add: fold1-eq-fold)
next
  interpret fun-left-comm-idem times by (fact fun-left-comm-idem)
  case True then obtain b B
    where  $A: A = insert\ a\ B$  and  $a \notin B$  by (rule set-insert)
  with assms have finite B by auto
  then have  $fold\ times\ a\ (insert\ a\ B) = fold\ times\ (a * a)\ B$ 
    using  $\langle a \notin B \rangle$  by (rule fold-insert2)
  then show ?thesis
    using  $\langle a \notin B \rangle \langle finite\ B \rangle$  by (simp add: fold1-eq-fold A)
qed

end

```

Now the recursion rules for definitions:

```

lemma fold1-singleton-def:  $g = fold1\ f \implies g\ \{a\} = a$ 
by simp

lemma (in ab-semigroup-mult) fold1-insert-def:
   $\llbracket g = fold1\ times; finite\ A; x \notin A; A \neq \{\} \rrbracket \implies g\ (insert\ x\ A) = x * g\ A$ 
by (simp add: fold1-insert)

lemma (in ab-semigroup-idem-mult) fold1-insert-idem-def:
   $\llbracket g = fold1\ times; finite\ A; A \neq \{\} \rrbracket \implies g\ (insert\ x\ A) = x * g\ A$ 
by simp

```

### 20.5.1 Determinacy for *fold1Set*

**declare**

*empty-fold-graphE* [rule del] *fold-graph.intros* [rule del]  
*empty-fold1SetE* [rule del] *insert-fold1SetE* [rule del]  
 — No more proofs involve these relations.

### 20.5.2 Lemmas about *fold1*

**context** *ab-semigroup-mult*

**begin**

**lemma** *fold1-Un*:

**assumes** *A*: *finite A*  $A \neq \{\}$

**shows** *finite B*  $\implies B \neq \{\} \implies A \text{ Int } B = \{\} \implies$

$\text{fold1 times } (A \text{ Un } B) = \text{fold1 times } A * \text{fold1 times } B$

**using** *A* **by** (*induct rule: finite-ne-induct*)

(*simp-all add: fold1-insert mult-assoc*)

**lemma** *fold1-in*:

**assumes** *A*: *finite (A)*  $A \neq \{\}$  **and** *elem*:  $\bigwedge x y. x * y \in \{x, y\}$

**shows** *fold1 times A*  $\in A$

**using** *A*

**proof** (*induct rule: finite-ne-induct*)

**case** *singleton* **thus** ?*case* **by** *simp*

**next**

**case** *insert* **thus** ?*case* **using** *elem* **by** (*force simp add: fold1-insert*)

**qed**

**end**

**lemma** (**in** *ab-semigroup-idem-mult*) *fold1-Un2*:

**assumes** *A*: *finite A*  $A \neq \{\}$

**shows** *finite B*  $\implies B \neq \{\} \implies$

$\text{fold1 times } (A \text{ Un } B) = \text{fold1 times } A * \text{fold1 times } B$

**using** *A*

**proof** (*induct rule: finite-ne-induct*)

**case** *singleton* **thus** ?*case* **by** *simp*

**next**

**case** *insert* **thus** ?*case* **by** (*simp add: mult-assoc*)

**qed**

## 20.6 Locales as mini-packages for fold operations

### 20.6.1 The natural case

**locale** *folding* =

**fixes** *f* :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b

**fixes** *F* :: 'a *set*  $\Rightarrow$  'b  $\Rightarrow$  'b

**assumes** *commute-comp*:  $f y \circ f x = f x \circ f y$

**assumes** *eq-fold*:  $\text{finite } A \implies F\ A\ s = \text{fold } f\ s\ A$   
**begin**

**lemma** *empty* [*simp*]:

$F\ \{\} = \text{id}$

**by** (*simp add: eq-fold expand-fun-eq*)

**lemma** *insert* [*simp*]:

**assumes** *finite*  $A$  **and**  $x \notin A$

**shows**  $F\ (\text{insert } x\ A) = F\ A \circ f\ x$

**proof** –

**interpret** *fun-left-comm*  $f$  **proof**

**qed** (*insert commute-comp, simp add: expand-fun-eq*)

**from** *fold-insert2 assms*

**have**  $\bigwedge s. \text{fold } f\ s\ (\text{insert } x\ A) = \text{fold } f\ (f\ x\ s)\ A$ .

**with**  $\langle \text{finite } A \rangle$  **show** *?thesis* **by** (*simp add: eq-fold expand-fun-eq*)

**qed**

**lemma** *remove*:

**assumes** *finite*  $A$  **and**  $x \in A$

**shows**  $F\ A = F\ (A - \{x\}) \circ f\ x$

**proof** –

**from**  $\langle x \in A \rangle$  **obtain**  $B$  **where**  $A = \text{insert } x\ B$  **and**  $x \notin B$

**by** (*auto dest: mk-disjoint-insert*)

**moreover from**  $\langle \text{finite } A \rangle$  **this have** *finite*  $B$  **by** *simp*

**ultimately show** *?thesis* **by** *simp*

**qed**

**lemma** *insert-remove*:

**assumes** *finite*  $A$

**shows**  $F\ (\text{insert } x\ A) = F\ (A - \{x\}) \circ f\ x$

**using** *assms* **by** (*cases*  $x \in A$ ) (*simp-all add: remove insert-absorb*)

**lemma** *commute-left-comp*:

$f\ y \circ (f\ x \circ g) = f\ x \circ (f\ y \circ g)$

**by** (*simp add: o-assoc commute-comp*)

**lemma** *commute-comp'*:

**assumes** *finite*  $A$

**shows**  $f\ x \circ F\ A = F\ A \circ f\ x$

**using** *assms* **by** (*induct*  $A$ )

(*simp, simp del: o-apply add: o-assoc, simp del: o-apply add: o-assoc [symmetric]*  
*commute-comp*)

**lemma** *commute-left-comp'*:

**assumes** *finite*  $A$

**shows**  $f\ x \circ (F\ A \circ g) = F\ A \circ (f\ x \circ g)$

**using** *assms* **by** (*simp add: o-assoc commute-comp'*)

**lemma** *commute-comp''*:

**assumes** *finite A* **and** *finite B*

**shows**  $F B \circ F A = F A \circ F B$

**using** *assms* **by** (*induct A*)

(*simp-all add: o-assoc, simp add: o-assoc [symmetric] commute-comp'*)

**lemma** *commute-left-comp''*:

**assumes** *finite A* **and** *finite B*

**shows**  $F B \circ (F A \circ g) = F A \circ (F B \circ g)$

**using** *assms* **by** (*simp add: o-assoc commute-comp''*)

**lemmas** *commute-comps = o-assoc [symmetric] commute-comp commute-left-comp  
commute-comp' commute-left-comp' commute-comp'' commute-left-comp''*

**lemma** *union-inter*:

**assumes** *finite A* **and** *finite B*

**shows**  $F (A \cup B) \circ F (A \cap B) = F A \circ F B$

**using** *assms* **by** (*induct A*)

(*simp-all del: o-apply add: insert-absorb Int-insert-left commute-comps,  
simp add: o-assoc*)

**lemma** *union*:

**assumes** *finite A* **and** *finite B*

**and**  $A \cap B = \{\}$

**shows**  $F (A \cup B) = F A \circ F B$

**proof** –

**from** *union-inter*  $\langle \text{finite } A \rangle \langle \text{finite } B \rangle$  **have**  $F (A \cup B) \circ F (A \cap B) = F A \circ F B$

$B$  .

**with**  $\langle A \cap B = \{\} \rangle$  **show** *?thesis* **by** *simp*

**qed**

**end**

## 20.6.2 The natural case with idempotency

**locale** *folding-idem = folding +*

**assumes** *idem-comp*:  $f x \circ f x = f x$

**begin**

**lemma** *idem-left-comp*:

$f x \circ (f x \circ g) = f x \circ g$

**by** (*simp add: o-assoc idem-comp*)

**lemma** *in-comp-idem*:

**assumes** *finite A* **and**  $x \in A$

**shows**  $F A \circ f x = F A$

**using** *assms* **by** (*induct A*)

(*auto simp add: commute-comps idem-comp, simp add: commute-left-comp' [symmetric] commute-comp'*)

```

lemma subset-comp-idem:
  assumes finite A and  $B \subseteq A$ 
  shows  $F A \circ F B = F A$ 
proof –
  from assms have finite B by (blast dest: finite-subset)
  then show ?thesis using  $\langle B \subseteq A \rangle$  by (induct B)
    (simp-all add: o-assoc in-comp-idem <finite A>)
qed

declare insert [simp del]

lemma insert-idem [simp]:
  assumes finite A
  shows  $F (\text{insert } x A) = F A \circ f x$ 
  using assms by (cases x ∈ A) (simp-all add: insert in-comp-idem insert-absorb)

lemma union-idem:
  assumes finite A and finite B
  shows  $F (A \cup B) = F A \circ F B$ 
proof –
  from assms have finite (A ∪ B) and  $A \cap B \subseteq A \cup B$  by auto
  then have  $F (A \cup B) \circ F (A \cap B) = F (A \cup B)$  by (rule subset-comp-idem)
  with assms show ?thesis by (simp add: union-inter)
qed

end

```

### 20.6.3 The image case with fixed function

```

no-notation times (infixl * 70)
no-notation Groups.one (1)

locale folding-image-simple = comm-monoid +
  fixes  $g :: 'b \Rightarrow 'a$ 
  fixes  $F :: 'b \text{ set} \Rightarrow 'a$ 
  assumes eq-fold-g:  $\text{finite } A \implies F A = \text{fold-image } f g 1 A$ 
begin

lemma empty [simp]:
   $F \{\} = 1$ 
  by (simp add: eq-fold-g)

lemma insert [simp]:
  assumes finite A and  $x \notin A$ 
  shows  $F (\text{insert } x A) = g x * F A$ 
proof –
  interpret fun-left-comm  $\%x y. (g x) * y$  proof
  qed (simp add: ac-simps)

```

**with** *assms* **have** *fold-image* (*op* \*) *g* 1 (*insert* *x* *A*) = *g* *x* \* *fold-image* (*op* \*)  
*g* 1 *A*  
**by** (*simp* *add*: *fold-image-def*)  
**with**  $\langle \text{finite } A \rangle$  **show** ?*thesis* **by** (*simp* *add*: *eq-fold-g*)  
**qed**

**lemma** *remove*:  
**assumes** *finite* *A* **and**  $x \in A$   
**shows**  $F\ A = g\ x * F\ (A - \{x\})$   
**proof** –  
**from**  $\langle x \in A \rangle$  **obtain** *B* **where** *A*:  $A = \text{insert } x\ B$  **and**  $x \notin B$   
**by** (*auto* *dest*: *mk-disjoint-insert*)  
**moreover** **from**  $\langle \text{finite } A \rangle$  **this** **have** *finite* *B* **by** *simp*  
**ultimately** **show** ?*thesis* **by** *simp*  
**qed**

**lemma** *insert-remove*:  
**assumes** *finite* *A*  
**shows**  $F\ (\text{insert } x\ A) = g\ x * F\ (A - \{x\})$   
**using** *assms* **by** (*cases*  $x \in A$ ) (*simp-all* *add*: *remove insert-absorb*)

**lemma** *neutral*:  
**assumes** *finite* *A* **and**  $\forall x \in A. g\ x = 1$   
**shows**  $F\ A = 1$   
**using** *assms* **by** (*induct* *A*) *simp-all*

**lemma** *union-inter*:  
**assumes** *finite* *A* **and** *finite* *B*  
**shows**  $F\ (A \cup B) * F\ (A \cap B) = F\ A * F\ B$   
**using** *assms* **proof** (*induct* *A*)  
**case** *empty* **then** **show** ?*case* **by** *simp*  
**next**  
**case** (*insert* *x* *A*) **then** **show** ?*case*  
**by** (*auto* *simp* *add*: *insert-absorb Int-insert-left commute* [*of* - *g* *x*] *assoc*  
*left-commute*)  
**qed**

**corollary** *union-inter-neutral*:  
**assumes** *finite* *A* **and** *finite* *B*  
**and** *I0*:  $\forall x \in A \cap B. g\ x = 1$   
**shows**  $F\ (A \cup B) = F\ A * F\ B$   
**using** *assms* **by** (*simp* *add*: *union-inter* [*symmetric*] *neutral*)

**corollary** *union-disjoint*:  
**assumes** *finite* *A* **and** *finite* *B*  
**assumes**  $A \cap B = \{\}$   
**shows**  $F\ (A \cup B) = F\ A * F\ B$   
**using** *assms* **by** (*simp* *add*: *union-inter-neutral*)

end

#### 20.6.4 The image case with flexible function

```

locale folding-image = comm-monoid +
  fixes  $F :: ('b \Rightarrow 'a) \Rightarrow 'b \text{ set} \Rightarrow 'a$ 
  assumes eq-fold:  $\bigwedge g. \text{finite } A \Longrightarrow F\ g\ A = \text{fold-image } f\ g\ 1\ A$ 

sublocale folding-image < folding-image-simple op * 1 g F g proof
qed (fact eq-fold)

context folding-image
begin

lemma reindex:
  assumes finite A and inj-on h A
  shows  $F\ g\ (h\ ` A) = F\ (g \circ h)\ A$ 
  using assms by (induct A) auto

lemma cong:
  assumes finite A and  $\bigwedge x. x \in A \Longrightarrow g\ x = h\ x$ 
  shows  $F\ g\ A = F\ h\ A$ 
proof –
  from assms have  $ALL\ C. C \leq A \longleftrightarrow (ALL\ x:C. g\ x = h\ x) \longleftrightarrow F\ g\ C = F\ h\ C$ 
  apply – apply (erule finite-induct) apply simp
  apply (simp add: subset-insert-iff, clarify)
  apply (subgoal-tac finite C)
  prefer 2 apply (blast dest: finite-subset [COMP swap-prems-rl])
  apply (subgoal-tac C = insert x (C - {x}))
  prefer 2 apply blast
  apply (erule ssubst)
  apply (erule spec)
  apply (erule (1) notE impE)
  apply (simp add: Ball-def del: insert-Diff-single)
  done
  with assms show ?thesis by simp
qed

lemma UNION-disjoint:
  assumes finite I and  $\forall i \in I. \text{finite } (A\ i)$ 
  and  $\forall i \in I. \forall j \in I. i \neq j \longrightarrow A\ i \cap A\ j = \{\}$ 
  shows  $F\ g\ (\text{UNION } I\ A) = F\ (F\ g \circ A)\ I$ 
apply (insert assms)
apply (induct set: finite, simp, atomize)
apply (subgoal-tac  $\forall i \in Fa. x \neq i$ )
  prefer 2 apply blast
apply (subgoal-tac  $A\ x\ Int\ \text{UNION } Fa\ A = \{\}$ )
  prefer 2 apply blast

```



**apply** (*simp add: union-disjoint*)  
**done**

**lemma** *distrib*:  
**assumes** *finite A*  
**shows**  $F (\lambda x. g\ x * h\ x)\ A = F\ g\ A * F\ h\ A$   
**using** *assms* **by** (*rule finite-induct*) (*simp-all add: assoc commute left-commute*)

**lemma** *related*:  
**assumes** *Re: R 1 1*  
**and** *Rop:  $\forall x1\ y1\ x2\ y2. R\ x1\ x2 \wedge R\ y1\ y2 \longrightarrow R\ (x1 * y1)\ (x2 * y2)$*   
**and** *fS: finite S* **and** *Rfg:  $\forall x \in S. R\ (h\ x)\ (g\ x)$*   
**shows**  $R\ (F\ h\ S)\ (F\ g\ S)$   
**using** *fS* **by** (*rule finite-subset-induct*) (*insert assms, auto*)

**lemma** *eq-general*:  
**assumes** *fS: finite S*  
**and** *h:  $\forall y \in S'. \exists! x. x \in S \wedge h\ x = y$*   
**and** *f12:  $\forall x \in S. h\ x \in S' \wedge f2\ (h\ x) = f1\ x$*   
**shows**  $F\ f1\ S = F\ f2\ S'$   
**proof**–  
**from** *h f12* **have** *hS:  $h\ ` S = S'$*  **by** *blast*  
**{fix** *x y* **assume** *H:  $x \in S\ y \in S\ h\ x = h\ y$*   
**from** *f12 h H* **have**  $x = y$  **by** *auto* **}**  
**hence** *hinv: inj-on h S* **unfolding** *inj-on-def Ex1-def* **by** *blast*  
**from** *f12* **have** *th:  $\bigwedge x. x \in S \implies (f2 \circ h)\ x = f1\ x$*  **by** *auto*  
**from** *hS* **have**  $F\ f2\ S' = F\ f2\ (h\ ` S)$  **by** *simp*  
**also** **have**  $\dots = F\ (f2 \circ h)\ S$  **using** *reindex [OF fS hinv, of f2]* .  
**also** **have**  $\dots = F\ f1\ S$  **using** *th cong [OF fS, of f2 o h f1]*  
**by** *blast*  
**finally** **show** *?thesis* ..  
**qed**

**lemma** *eq-general-inverses*:  
**assumes** *fS: finite S*  
**and** *kh:  $\bigwedge y. y \in T \implies k\ y \in S \wedge h\ (k\ y) = y$*   
**and** *hk:  $\bigwedge x. x \in S \implies h\ x \in T \wedge k\ (h\ x) = x \wedge g\ (h\ x) = j\ x$*   
**shows**  $F\ j\ S = F\ g\ T$

**apply** (*rule eq-general [OF fS, of T h g j]*)  
**apply** (*rule ballI*)  
**apply** (*frule kh*)  
**apply** (*rule ex1I*)  
**apply** *blast*  
**apply** *clarsimp*  
**apply** (*drule hk*) **apply** *simp*  
**apply** (*rule sym*)  
**apply** (*erule conjunct1 [OF conjunct2 [OF hk]]*)  
**apply** (*rule ballI*)

```

    apply (drule hk)
    apply blast
  done

```

```

end

```

### 20.6.5 The image case with fixed function and idempotency

```

locale folding-image-simple-idem = folding-image-simple +
  assumes idem:  $x * x = x$ 

```

```

sublocale folding-image-simple-idem < semilattice proof
qed (fact idem)

```

```

context folding-image-simple-idem
begin

```

```

lemma in-idem:
  assumes finite A and  $x \in A$ 
  shows  $g\ x * F\ A = F\ A$ 
  using assms by (induct A) (auto simp add: left-commute)

```

```

lemma subset-idem:
  assumes finite A and  $B \subseteq A$ 
  shows  $F\ B * F\ A = F\ A$ 
proof –
  from assms have finite B by (blast dest: finite-subset)
  then show ?thesis using  $\langle B \subseteq A \rangle$  by (induct B)
    (auto simp add: assoc in-idem finite A)
qed

```

```

declare insert [simp del]

```

```

lemma insert-idem [simp]:
  assumes finite A
  shows  $F\ (\text{insert}\ x\ A) = g\ x * F\ A$ 
  using assms by (cases x \in A) (simp-all add: insert in-idem insert-absorb)

```

```

lemma union-idem:
  assumes finite A and finite B
  shows  $F\ (A \cup B) = F\ A * F\ B$ 
proof –
  from assms have finite (A \cup B) and  $A \cap B \subseteq A \cup B$  by auto
  then have  $F\ (A \cap B) * F\ (A \cup B) = F\ (A \cup B)$  by (rule subset-idem)
  with assms show ?thesis by (simp add: union-inter [of A B, symmetric] com-
mute)
qed

```

```

end

```

### 20.6.6 The image case with flexible function and idempotency

**locale** *folding-image-idem* = *folding-image* +  
**assumes** *idem*:  $x * x = x$

**sublocale** *folding-image-idem* < *folding-image-simple-idem* *op* \* 1 *g* *F* *g* **proof**  
**qed** (*fact idem*)

### 20.6.7 The neutral-less case

**locale** *folding-one* = *abel-semigroup* +  
**fixes** *F* :: '*a* set  $\Rightarrow$  '*a*  
**assumes** *eq-fold*: *finite A*  $\Longrightarrow$  *F A* = *fold1 f A*  
**begin**

**lemma** *singleton* [*simp*]:  
 $F \{x\} = x$   
**by** (*simp add: eq-fold*)

**lemma** *eq-fold'*:  
**assumes** *finite A* **and**  $x \notin A$   
**shows**  $F (\text{insert } x A) = \text{fold } (op *) x A$   
**proof** –  
**interpret** *ab-semigroup-mult* *op* \* **proof** **qed** (*simp-all add: ac-simps*)  
**with** *assms* **show** ?*thesis* **by** (*simp add: eq-fold fold1-eq-fold*)  
**qed**

**lemma** *insert* [*simp*]:  
**assumes** *finite A* **and**  $x \notin A$  **and**  $A \neq \{\}$   
**shows**  $F (\text{insert } x A) = x * F A$   
**proof** –  
**from**  $\langle A \neq \{\} \rangle$  **obtain** *b* **where**  $b \in A$  **by** *blast*  
**then obtain** *B* **where** \*:  $A = \text{insert } b B$   $b \notin B$  **by** (*blast dest: mk-disjoint-insert*)  
**with**  $\langle \text{finite } A \rangle$  **have** *finite B* **by** *simp*  
**interpret** *fold*: *folding* *op* \*  $\lambda a b. \text{fold } (op *) b a$  **proof**  
**qed** (*simp-all add: expand-fun-eq ac-simps*)  
**thm** *fold commute-comp'* [*of B b, simplified expand-fun-eq, simplified*]  
**from**  $\langle \text{finite } B \rangle$  *fold commute-comp'* [*of B x*]  
**have**  $op * x \circ (\lambda b. \text{fold } op * b B) = (\lambda b. \text{fold } op * b B) \circ op * x$  **by** *simp*  
**then have** *A*:  $x * \text{fold } op * b B = \text{fold } op * (b * x) B$  **by** (*simp add: expand-fun-eq commute*)  
**from**  $\langle \text{finite } B \rangle$  \* *fold.insert* [*of B b*]  
**have**  $(\lambda x. \text{fold } op * x (\text{insert } b B)) = (\lambda x. \text{fold } op * x B) \circ op * b$  **by** *simp*  
**then have** *B*:  $\text{fold } op * x (\text{insert } b B) = \text{fold } op * (b * x) B$  **by** (*simp add: expand-fun-eq*)  
**from** *A B assms* \* **show** ?*thesis* **by** (*simp add: eq-fold' del: fold.insert*)  
**qed**

**lemma** *remove*:  
**assumes** *finite A* **and**  $x \in A$

shows  $F A = (\text{if } A - \{x\} = \{\} \text{ then } x \text{ else } x * F (A - \{x\}))$   
**proof** –  
 from *assms* obtain  $B$  where  $A = \text{insert } x B$  and  $x \notin B$  by (*blast dest: mk-disjoint-insert*)  
 with *assms* show ?thesis by *simp*  
**qed**

**lemma** *insert-remove*:  
 assumes *finite*  $A$   
 shows  $F (\text{insert } x A) = (\text{if } A - \{x\} = \{\} \text{ then } x \text{ else } x * F (A - \{x\}))$   
 using *assms* by (*cases*  $x \in A$ ) (*simp-all add: insert-absorb remove*)

**lemma** *union-disjoint*:  
 assumes *finite*  $A$   $A \neq \{\}$  and *finite*  $B$   $B \neq \{\}$  and  $A \cap B = \{\}$   
 shows  $F (A \cup B) = F A * F B$   
 using *assms* by (*induct*  $A$  rule: *finite-ne-induct*) (*simp-all add: ac-simps*)

**lemma** *union-inter*:  
 assumes *finite*  $A$  and *finite*  $B$  and  $A \cap B \neq \{\}$   
 shows  $F (A \cup B) * F (A \cap B) = F A * F B$   
**proof** –  
 from *assms* have  $A \neq \{\}$  and  $B \neq \{\}$  by *auto*  
 from  $\langle \text{finite } A \rangle \langle A \neq \{\} \rangle \langle A \cap B \neq \{\} \rangle$  show ?thesis **proof** (*induct*  $A$  rule: *finite-ne-induct*)  
 case (*singleton*  $x$ ) then show ?case by (*simp add: insert-absorb ac-simps*)  
 next  
 case (*insert*  $x A$ ) show ?case **proof** (*cases*  $x \in B$ )  
 case *True* then have  $B \neq \{\}$  by *auto*  
 with *insert True*  $\langle \text{finite } B \rangle$  show ?thesis by (*cases*  $A \cap B = \{\}$ )  
 (*simp-all add: insert-absorb ac-simps union-disjoint*)  
 next  
 case *False* with *insert* have  $F (A \cup B) * F (A \cap B) = F A * F B$  by *simp*  
 moreover from *False*  $\langle \text{finite } B \rangle$  *insert* have *finite*  $(A \cup B)$   $x \notin A \cup B$   $A \cup B \neq \{\}$   
 by *auto*  
 ultimately show ?thesis using *False*  $\langle \text{finite } A \rangle \langle x \notin A \rangle \langle A \neq \{\} \rangle$  by (*simp add: assoc*)  
**qed**  
**qed**  
**qed**

**lemma** *closed*:  
 assumes *finite*  $A$   $A \neq \{\}$  and *elem*:  $\bigwedge x y. x * y \in \{x, y\}$   
 shows  $F A \in A$   
 using  $\langle \text{finite } A \rangle \langle A \neq \{\} \rangle$  **proof** (*induct* rule: *finite-ne-induct*)  
 case *singleton* then show ?case by *simp*  
 next  
 case *insert* with *elem* show ?case by *force*  
**qed**

end

### 20.6.8 The neutral-less case with idempotency

locale *folding-one-idem* = *folding-one* +  
 assumes *idem*:  $x * x = x$

sublocale *folding-one-idem* < *semilattice* **proof**  
**qed** (*fact idem*)

context *folding-one-idem*  
 begin

lemma *in-idem*:  
 assumes *finite* *A* and  $x \in A$   
 shows  $x * F A = F A$   
**proof** –  
 from *assms* have  $A \neq \{\}$  by *auto*  
 with  $\langle \text{finite } A \rangle$  show ?thesis using  $\langle x \in A \rangle$  by (induct *A* rule: *finite-ne-induct*)  
 (auto simp add: *ac-simps*)  
**qed**

lemma *subset-idem*:  
 assumes *finite* *A*  $B \neq \{\}$  and  $B \subseteq A$   
 shows  $F B * F A = F A$   
**proof** –  
 from *assms* have *finite* *B* by (blast dest: *finite-subset*)  
 then show ?thesis using  $\langle B \neq \{\} \rangle \langle B \subseteq A \rangle$  by (induct *B* rule: *finite-ne-induct*)  
 (simp-all add: *assoc in-idem*  $\langle \text{finite } A \rangle$ )  
**qed**

lemma *eq-fold-idem'*:  
 assumes *finite* *A*  
 shows  $F (\text{insert } a A) = \text{fold } (op *) a A$   
**proof** –  
 interpret *ab-semigroup-idem-mult* *op* \* **proof** **qed** (*simp-all* add: *ac-simps*)  
 with *assms* show ?thesis by (simp add: *eq-fold fold1-eq-fold-idem*)  
**qed**

lemma *insert-idem* [*simp*]:  
 assumes *finite* *A* and  $A \neq \{\}$   
 shows  $F (\text{insert } x A) = x * F A$   
**proof** (*cases*  $x \in A$ )  
 case *False* from  $\langle \text{finite } A \rangle \langle x \notin A \rangle \langle A \neq \{\} \rangle$  show ?thesis by (rule *insert*)  
 next  
 case *True*  
 from  $\langle \text{finite } A \rangle \langle A \neq \{\} \rangle$  show ?thesis by (simp add: *in-idem insert-absorb True*)  
**qed**

**lemma** *union-idem*:

**assumes** *finite A*  $A \neq \{\}$  **and** *finite B*  $B \neq \{\}$   
  **shows**  $F (A \cup B) = F A * F B$   
**proof** (*cases A ∩ B = {}*)  
  **case** *True* **with** *assms* **show** *?thesis* **by** (*simp add: union-disjoint*)  
**next**  
  **case** *False*  
  **from** *assms* **have** *finite (A ∪ B)* **and**  $A \cap B \subseteq A \cup B$  **by** *auto*  
  **with** *False* **have**  $F (A \cap B) * F (A \cup B) = F (A \cup B)$  **by** (*auto intro: subset-idem*)  
  **with** *assms False* **show** *?thesis* **by** (*simp add: union-inter [of A B, symmetric] commute*)  
**qed**

**lemma** *hom-commute*:

**assumes** *hom*:  $\bigwedge x y. h (x * y) = h x * h y$   
  **and** *N*: *finite N*  $N \neq \{\}$  **shows**  $h (F N) = F (h \text{ ` } N)$   
**using** *N* **proof** (*induct rule: finite-ne-induct*)  
  **case** *singleton* **thus** *?case* **by** *simp*  
**next**  
  **case** (*insert n N*)  
  **then** **have**  $h (F (\text{insert } n N)) = h (n * F N)$  **by** *simp*  
  **also** **have**  $\dots = h n * h (F N)$  **by** (*rule hom*)  
  **also** **have**  $h (F N) = F (h \text{ ` } N)$  **by** (*rule insert*)  
  **also** **have**  $h n * \dots = F (\text{insert } (h n) (h \text{ ` } N))$   
  **using** *insert* **by** (*simp*)  
  **also** **have**  $\text{insert } (h n) (h \text{ ` } N) = h \text{ ` } \text{insert } n N$  **by** *simp*  
  **finally** **show** *?case* .  
**qed**

**end**

**notation** *times* (*infixl* \* 70)

**notation** *Groups.one* (1)

## 20.7 Finite cardinality

This definition, although traditional, is ugly to work with:  $\text{card } A == \text{LEAST } n. \text{EX } f. A = \{f \ i \mid i. i < n\}$ . But now that we have *fold-image* things are easy:

**definition** *card* :: 'a set  $\Rightarrow$  nat **where**

$\text{card } A = (\text{if } \text{finite } A \text{ then } \text{fold-image } (op +) (\lambda x. 1) 0 A \text{ else } 0)$

**interpretation** *card!*: *folding-image-simple*  $op + 0 \lambda x. 1$  *card* **proof**

**qed** (*simp add: card-def*)

**lemma** *card-infinite* [*simp*]:

$\neg \text{finite } A \Longrightarrow \text{card } A = 0$

```

by (simp add: card-def)

lemma card-empty:
  card {} = 0
by (fact card.empty)

lemma card-insert-disjoint:
  finite A ==> x ∉ A ==> card (insert x A) = Suc (card A)
by simp

lemma card-insert-if:
  finite A ==> card (insert x A) = (if x ∈ A then card A else Suc (card A))
by auto (simp add: card.insert-remove card.remove)

lemma card-ge-0-finite:
  card A > 0 ==> finite A
by (rule ccontr) simp

lemma card-0-eq [simp, no-atp]:
  finite A ==> card A = 0 ⟷ A = {}
by (auto dest: mk-disjoint-insert)

lemma finite-UNIV-card-ge-0:
  finite (UNIV :: 'a set) ==> card (UNIV :: 'a set) > 0
by (rule ccontr) simp

lemma card-eq-0-iff:
  card A = 0 ⟷ A = {} ∨ ¬ finite A
by auto

lemma card-gt-0-iff:
  0 < card A ⟷ A ≠ {} ∧ finite A
by (simp add: neq0-conv [symmetric] card-eq-0-iff)

lemma card-Suc-Diff1: finite A ==> x: A ==> Suc (card (A - {x})) = card A
apply(rule-tac t = A in insert-Diff [THEN subst], assumption)
apply(simp del:insert-Diff-single)
done

lemma card-Diff-singleton:
  finite A ==> x: A ==> card (A - {x}) = card A - 1
by (simp add: card-Suc-Diff1 [symmetric])

lemma card-Diff-singleton-if:
  finite A ==> card (A - {x}) = (if x : A then card A - 1 else card A)
by (simp add: card-Diff-singleton)

lemma card-Diff-insert[simp]:
assumes finite A and a:A and a ~: B

```

**shows**  $\text{card}(A - \text{insert } a \ B) = \text{card}(A - B) - 1$

**proof** –

**have**  $A - \text{insert } a \ B = (A - B) - \{a\}$  **using** *assms* **by** *blast*

**then show** *?thesis* **using** *assms* **by** (*simp add: card-Diff-singleton*)

**qed**

**lemma** *card-insert: finite A ==> card (insert x A) = Suc (card (A - {x}))*

**by** (*simp add: card-insert-if card-Suc-Diff1 del: card-Diff-insert*)

**lemma** *card-insert-le: finite A ==> card A <= card (insert x A)*

**by** (*simp add: card-insert-if*)

**lemma** *card-mono:*

**assumes** *finite B* **and**  $A \subseteq B$

**shows**  $\text{card } A \leq \text{card } B$

**proof** –

**from** *assms* **have** *finite A* **by** (*auto intro: finite-subset*)

**then show** *?thesis* **using** *assms* **proof** (*induct A arbitrary: B*)

**case empty** **then show** *?case* **by** *simp*

**next**

**case** (*insert x A*)

**then have**  $x \in B$  **by** *simp*

**from** *insert* **have**  $A \subseteq B - \{x\}$  **and** *finite (B - {x})* **by** *auto*

**with** *insert.hyps* **have**  $\text{card } A \leq \text{card } (B - \{x\})$  **by** *auto*

**with** (*finite A*)  $x \notin A$  (*finite B*)  $x \in B$  **show** *?case* **by** *simp (simp only:*

*card.remove*)

**qed**

**qed**

**lemma** *card-seteq: finite B ==> (!A. A <= B ==> card B <= card A ==> A = B)*

**apply** (*induct set: finite, simp, clarify*)

**apply** (*subgoal-tac finite A & A - {x} <= F*)

**prefer** 2 **apply** (*blast intro: finite-subset, atomize*)

**apply** (*drule-tac x = A - {x} in spec*)

**apply** (*simp add: card-Diff-singleton-if split add: split-if-asm*)

**apply** (*case-tac card A, auto*)

**done**

**lemma** *psubset-card-mono: finite B ==> A < B ==> card A < card B*

**apply** (*simp add: psubset-eq linorder-not-le [symmetric]*)

**apply** (*blast dest: card-seteq*)

**done**

**lemma** *card-Un-Int: finite A ==> finite B*

**==>**  $\text{card } A + \text{card } B = \text{card } (A \text{ Un } B) + \text{card } (A \text{ Int } B)$

**by** (*fact card.union-inter [symmetric]*)

**lemma** *card-Un-disjoint: finite A ==> finite B*



$\Rightarrow A \text{ Int } B = \{\} \Rightarrow \text{card } (A \text{ Un } B) = \text{card } A + \text{card } B$   
**by** (fact card.union-disjoint)

**lemma** card-Diff-subset:  
 assumes finite B and  $B \subseteq A$   
 shows  $\text{card } (A - B) = \text{card } A - \text{card } B$   
**proof** (cases finite A)  
 case False with assms show ?thesis by simp  
 next  
 case True with assms show ?thesis by (induct B arbitrary: A) simp-all  
**qed**

**lemma** card-Diff-subset-Int:  
 assumes AB: finite (A  $\cap$  B) shows  $\text{card } (A - B) = \text{card } A - \text{card } (A \cap B)$   
**proof** –  
 have  $A - B = A - A \cap B$  by auto  
 thus ?thesis  
 by (simp add: card-Diff-subset AB)  
**qed**

**lemma** card-Diff1-less: finite A  $\Rightarrow x: A \Rightarrow \text{card } (A - \{x\}) < \text{card } A$   
**apply** (rule Suc-less-SucD)  
**apply** (simp add: card-Suc-Diff1 del:card-Diff-insert)  
**done**

**lemma** card-Diff2-less:  
 finite A  $\Rightarrow x: A \Rightarrow y: A \Rightarrow \text{card } (A - \{x\} - \{y\}) < \text{card } A$   
**apply** (case-tac x = y)  
**apply** (simp add: card-Diff1-less del:card-Diff-insert)  
**apply** (rule less-trans)  
**prefer** 2 **apply** (auto intro!: card-Diff1-less simp del:card-Diff-insert)  
**done**

**lemma** card-Diff1-le: finite A  $\Rightarrow \text{card } (A - \{x\}) \leq \text{card } A$   
**apply** (case-tac x : A)  
**apply** (simp-all add: card-Diff1-less less-imp-le)  
**done**

**lemma** card-psubset: finite B  $\Rightarrow A \subseteq B \Rightarrow \text{card } A < \text{card } B \Rightarrow A < B$   
**by** (erule psubsetI, blast)

**lemma** insert-partition:  
 $\llbracket x \notin F; \forall c1 \in \text{insert } x F. \forall c2 \in \text{insert } x F. c1 \neq c2 \longrightarrow c1 \cap c2 = \{\} \rrbracket$   
 $\Rightarrow x \cap \bigcup F = \{\}$   
**by** auto

**lemma** finite-psubset-induct[consumes 1, case-names psubset]:  
 assumes fin: finite A  
 and major:  $\bigwedge A. \text{finite } A \Rightarrow (\bigwedge B. B \subset A \Rightarrow P B) \Rightarrow P A$

```

shows P A
using fin
proof (induct A taking: card rule: measure-induct-rule)
  case (less A)
  have fin: finite A by fact
  have ih:  $\bigwedge B. \llbracket \text{card } B < \text{card } A; \text{finite } B \rrbracket \implies P B$  by fact
  { fix B
    assume asm:  $B \subset A$ 
    from asm have card B < card A using psubset-card-mono fin by blast
    moreover
    from asm have  $B \subseteq A$  by auto
    then have finite B using fin finite-subset by blast
    ultimately
    have P B using ih by simp
  }
  with fin show P A using major by blast
qed

```

main cardinality theorem

```

lemma card-partition [rule-format]:
  finite C ==>
    finite ( $\bigcup C$ ) -->
      ( $\forall c \in C. \text{card } c = k$ ) -->
        ( $\forall c1 \in C. \forall c2 \in C. c1 \neq c2 \implies c1 \cap c2 = \{\}$ ) -->
           $k * \text{card}(C) = \text{card}(\bigcup C)$ 
  apply (erule finite-induct, simp)
  apply (simp add: card-Un-disjoint insert-partition
    finite-subset [of -  $\bigcup (\text{insert } x F)$ ])
done

```

```

lemma card-eq-UNIV-imp-eq-UNIV:
  assumes fin: finite (UNIV :: 'a set)
  and card: card A = card (UNIV :: 'a set)
  shows A = (UNIV :: 'a set)
proof
  show  $A \subseteq \text{UNIV}$  by simp
  show  $\text{UNIV} \subseteq A$ 
  proof
    fix x
    show  $x \in A$ 
    proof (rule ccontr)
      assume  $x \notin A$ 
      then have  $A \subset \text{UNIV}$  by auto
      with fin have card A < card (UNIV :: 'a set) by (fact psubset-card-mono)
      with card show False by simp
    qed
  qed
qed

```

The form of a finite set of given cardinality

```

lemma card-eq-SucD:
assumes card A = Suc k
shows  $\exists b \in B. A = \text{insert } b \ B \ \& \ b \notin B \ \& \ \text{card } B = k \ \& \ (k=0 \longrightarrow B=\{\})$ 
proof –
  have fin: finite A using assms by (auto intro: ccontr)
  moreover have card A  $\neq$  0 using assms by auto
  ultimately obtain b where b: b  $\in$  A by auto
  show ?thesis
  proof (intro exI conjI)
    show A = insert b (A - {b}) using b by blast
    show b  $\notin$  A - {b} by blast
    show card (A - {b}) = k and k = 0  $\longrightarrow$  A - {b} = {}
      using assms b fin by(fastsimp dest:mk-disjoint-insert)+
  qed
qed

```

```

lemma card-Suc-eq:
  (card A = Suc k) =
    ( $\exists b \in B. A = \text{insert } b \ B \ \& \ b \notin B \ \& \ \text{card } B = k \ \& \ (k=0 \longrightarrow B=\{\})$ )
apply(rule iffI)
  apply(erule card-eq-SucD)
apply(auto)
apply(subst card-insert)
apply(auto intro:ccontr)
done

```

```

lemma finite-fun-UNIVD2:
  assumes fin: finite (UNIV :: ('a  $\Rightarrow$  'b) set)
  shows finite (UNIV :: 'b set)
proof –
  from fin have finite (range ( $\lambda f :: 'a \Rightarrow 'b. f$  arbitrary))
    by(rule finite-imageI)
  moreover have UNIV = range ( $\lambda f :: 'a \Rightarrow 'b. f$  arbitrary)
    by(rule UNIV-eq-I) auto
  ultimately show finite (UNIV :: 'b set) by simp
qed

```

```

lemma card-UNIV-unit: card (UNIV :: unit set) = 1
  unfolding UNIV-unit by simp

```

### 20.7.1 Cardinality of image

```

lemma card-image-le: finite A  $\implies$  card (f ` A)  $\leq$  card A
apply (induct set: finite)
apply simp
apply (simp add: le-SucI card-insert-if)
done

```

```

lemma card-image:

```

```

assumes inj-on  $f$   $A$ 
shows  $\text{card } (f \text{ ` } A) = \text{card } A$ 
proof (cases finite  $A$ )
  case True then show ?thesis using assms by (induct  $A$ ) simp-all
next
  case False then have  $\neg \text{finite } (f \text{ ` } A)$  using assms by (auto dest: finite-imageD)
  with False show ?thesis by simp
qed

```

```

lemma bij-betw-same-card: bij-betw  $f$   $A$   $B \implies \text{card } A = \text{card } B$ 
by (auto simp: card-image bij-betw-def)

```

```

lemma endo-inj-surj: finite  $A \implies f \text{ ` } A \subseteq A \implies \text{inj-on } f \text{ ` } A \implies f \text{ ` } A = A$ 
by (simp add: card-seteq card-image)

```

```

lemma eq-card-imp-inj-on:
  [| finite  $A$ ;  $\text{card}(f \text{ ` } A) = \text{card } A$  |]  $\implies \text{inj-on } f \text{ ` } A$ 
apply (induct rule:finite-induct)
apply simp
apply (frule card-image-le [where  $f = f$ ])
apply (simp add:card-insert-if split:if-splits)
done

```

```

lemma inj-on-iff-eq-card:
  finite  $A \implies \text{inj-on } f \text{ ` } A = (\text{card}(f \text{ ` } A) = \text{card } A)$ 
by (blast intro: card-image eq-card-imp-inj-on)

```

```

lemma card-inj-on-le:
  [| inj-on  $f$   $A$ ;  $f \text{ ` } A \subseteq B$ ; finite  $B$  |]  $\implies \text{card } A \leq \text{card } B$ 
apply (subgoal-tac finite  $A$ )
apply (force intro: card-mono simp add: card-image [symmetric])
apply (blast intro: finite-imageD dest: finite-subset)
done

```

```

lemma card-bij-eq:
  [| inj-on  $f$   $A$ ;  $f \text{ ` } A \subseteq B$ ; inj-on  $g$   $B$ ;  $g \text{ ` } B \subseteq A$ ;
    finite  $A$ ; finite  $B$  |]  $\implies \text{card } A = \text{card } B$ 
by (auto intro: le-antisym card-inj-on-le)

```

### 20.7.2 Cardinality of sums

```

lemma card-Plus:
  assumes finite  $A$  and finite  $B$ 
  shows  $\text{card } (A <+> B) = \text{card } A + \text{card } B$ 
proof –
  have  $\text{Inl ` } A \cap \text{Inr ` } B = \{\}$  by fast
  with assms show ?thesis
  unfolding Plus-def

```

by (simp add: card-Un-disjoint card-image)  
qed

**lemma** card-Plus-conv-if:  
card ( $A <+> B$ ) = (if finite  $A \wedge$  finite  $B$  then card  $A +$  card  $B$  else 0)  
by (auto simp add: card-Plus)

### 20.7.3 Cardinality of the Powerset

**lemma** card-Pow: finite  $A \implies$  card (Pow  $A$ ) = Suc (Suc 0)  $^$  card  $A$   
apply (induct set: finite)  
apply (simp-all add: Pow-insert)  
apply (subst card-Un-disjoint, blast)  
apply (blast intro: finite-imageI, blast)  
apply (subgoal-tac inj-on (insert  $x$ ) (Pow  $F$ ))  
apply (simp add: card-image Pow-insert)  
apply (unfold inj-on-def)  
apply (blast elim!: equalityE)  
done

Relates to equivalence classes. Based on a theorem of F. Kamm $\tilde{A}_{\frac{1}{4}}$ ller.

**lemma** dvd-partition:  
finite (Union  $C$ )  $\implies$   
ALL  $c : C$ .  $k$  dvd card  $c \implies$   
(ALL  $c1 : C$ . ALL  $c2 : C$ .  $c1 \neq c2 \longrightarrow c1 \text{ Int } c2 = \{\}$ )  $\implies$   
 $k$  dvd card (Union  $C$ )  
apply (frule finite-UnionD)  
apply (rotate-tac -1)  
apply (induct set: finite, simp-all, clarify)  
apply (subst card-Un-disjoint)  
apply (auto simp add: disjoint-eq-subset-Compl)  
done

### 20.7.4 Relating injectivity and surjectivity

**lemma** finite-surj-inj: finite( $A$ )  $\implies A \leq f^*A \implies$  inj-on  $f$   $A$   
apply (rule eq-card-imp-inj-on, assumption)  
apply (frule finite-imageI)  
apply (drule (1) card-seteq)  
apply (erule card-image-le)  
apply simp  
done

**lemma** finite-UNIV-surj-inj: fixes  $f :: 'a \Rightarrow 'a$   
shows finite(UNIV:: ' $a$  set)  $\implies$  surj  $f \implies$  inj  $f$   
by (blast intro: finite-surj-inj subset-UNIV dest:surj-range)

**lemma** finite-UNIV-inj-surj: fixes  $f :: 'a \Rightarrow 'a$   
shows finite(UNIV:: ' $a$  set)  $\implies$  inj  $f \implies$  surj  $f$   
by (fastsimp simp:surj-def dest!: endo-inj-surj)

```

corollary infinite-UNIV-nat[iff]:  $\sim \text{finite}(\text{UNIV}::\text{nat set})$ 
proof
  assume finite(UNIV::nat set)
  with finite-UNIV-inj-surj[of Suc]
  show False by simp (blast dest: Suc-neq-Zero surjD)
qed

```

```

lemma infinite-UNIV-char-0[no-atp]:
   $\neg \text{finite}(\text{UNIV}::'a::\text{semiring-char-0 set})$ 
proof
  assume finite (UNIV::'a set)
  with subset-UNIV have finite (range of-nat::'a set)
    by (rule finite-subset)
  moreover have inj (of-nat::nat  $\Rightarrow$  'a)
    by (simp add: inj-on-def)
  ultimately have finite (UNIV::nat set)
    by (rule finite-imageD)
  then show False
    by simp
qed

end

```

## 21 Relation: Relations

```

theory Relation
imports Datatype Finite-Set
begin

```

### 21.1 Definitions

```

definition
  converse :: ('a * 'b set  $\Rightarrow$  'b * 'a set
    ((- ^ -1) [1000] 999) where
    r ^ -1 ==  $\{(y, x). (x, y) : r\}$ 

```

```

notation (xsymbols)
  converse ((--1) [1000] 999)

```

```

definition
  rel-comp :: ['a * 'b set, 'b * 'c set]  $\Rightarrow$  'a * 'c set
    (infixr 0 75) where
    r O s ==  $\{(x, z). \exists y. (x, y) : r \ \& \ (y, z) : s\}$ 

```

```

definition
  Image :: ['a * 'b set, 'a set]  $\Rightarrow$  'b set

```

(infixl “90) **where**  
 $r \text{ “ } s == \{y. EX x:s. (x,y):r\}$

**definition**

$Id :: ('a * 'a) \text{ set } \mathbf{where}$  — the identity relation  
 $Id == \{p. EX x. p = (x,x)\}$

**definition**

$Id-on :: 'a \text{ set } ==> ('a * 'a) \text{ set } \mathbf{where}$  — diagonal: identity over a set  
 $Id-on A == \bigcup_{x \in A}. \{(x,x)\}$

**definition**

$Domain :: ('a * 'b) \text{ set } ==> 'a \text{ set } \mathbf{where}$   
 $Domain r == \{x. EX y. (x,y):r\}$

**definition**

$Range :: ('a * 'b) \text{ set } ==> 'b \text{ set } \mathbf{where}$   
 $Range r == Domain(r^{-1})$

**definition**

$Field :: ('a * 'a) \text{ set } ==> 'a \text{ set } \mathbf{where}$   
 $Field r == Domain r \cup Range r$

**definition**

$refl-on :: ['a \text{ set}, ('a * 'a) \text{ set}] ==> bool \mathbf{where}$  — reflexivity over a set  
 $refl-on A r == r \subseteq A \times A \ \& \ (ALL x: A. (x,x) : r)$

**abbreviation**

$refl :: ('a * 'a) \text{ set } ==> bool \mathbf{where}$  — reflexivity over a type  
 $refl == refl-on UNIV$

**definition**

$sym :: ('a * 'a) \text{ set } ==> bool \mathbf{where}$  — symmetry predicate  
 $sym r == ALL x y. (x,y):r \longrightarrow (y,x):r$

**definition**

$antisym :: ('a * 'a) \text{ set } ==> bool \mathbf{where}$  — antisymmetry predicate  
 $antisym r == ALL x y. (x,y):r \longrightarrow (y,x):r \longrightarrow x=y$

**definition**

$trans :: ('a * 'a) \text{ set } ==> bool \mathbf{where}$  — transitivity predicate  
 $trans r == (ALL x y z. (x,y):r \longrightarrow (y,z):r \longrightarrow (x,z):r)$

**definition**

$irrefl :: ('a * 'a) \text{ set } ==> bool \mathbf{where}$   
 $irrefl r \equiv \forall x. (x,x) \notin r$

**definition**

$total-on :: 'a \text{ set } ==> ('a * 'a) \text{ set } ==> bool \mathbf{where}$

*total-on*  $A$   $r \equiv \forall x \in A. \forall y \in A. x \neq y \longrightarrow (x, y) \in r \vee (y, x) \in r$

**abbreviation** *total*  $\equiv$  *total-on UNIV*

**definition**

*single-valued*  $:: ('a * 'b) \text{ set} \Rightarrow \text{bool}$  **where**  
*single-valued*  $r == \text{ALL } x \ y. (x, y) : r \longrightarrow (\text{ALL } z. (x, z) : r \longrightarrow y = z)$

**definition**

*inv-image*  $:: ('b * 'b) \text{ set} \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a * 'a) \text{ set}$  **where**  
*inv-image*  $r \ f == \{(x, y). (f \ x, f \ y) : r\}$

## 21.2 The identity relation

**lemma** *IdI* [*intro*]:  $(a, a) : \text{Id}$   
**by** (*simp add: Id-def*)

**lemma** *IdE* [*elim!*]:  $p : \text{Id} \Rightarrow (!x. p = (x, x) \Rightarrow P) \Rightarrow P$   
**by** (*unfold Id-def*) (*iprover elim: CollectE*)

**lemma** *pair-in-Id-conv* [*iff*]:  $((a, b) : \text{Id}) = (a = b)$   
**by** (*unfold Id-def*) *blast*

**lemma** *refl-Id*: *refl Id*  
**by** (*simp add: refl-on-def*)

**lemma** *antisym-Id*: *antisym Id*  
 — A strange result, since *Id* is also symmetric.  
**by** (*simp add: antisym-def*)

**lemma** *sym-Id*: *sym Id*  
**by** (*simp add: sym-def*)

**lemma** *trans-Id*: *trans Id*  
**by** (*simp add: trans-def*)

## 21.3 Diagonal: identity over a set

**lemma** *Id-on-empty* [*simp*]:  $\text{Id-on } \{\} = \{\}$   
**by** (*simp add: Id-on-def*)

**lemma** *Id-on-eqI*:  $a = b \Rightarrow a : A \Rightarrow (a, b) : \text{Id-on } A$   
**by** (*simp add: Id-on-def*)

**lemma** *Id-onI* [*intro!, no-atp*]:  $a : A \Rightarrow (a, a) : \text{Id-on } A$   
**by** (*rule Id-on-eqI*) (*rule refl*)

**lemma** *Id-onE* [*elim!*]:  
 $c : \text{Id-on } A \Rightarrow (!x. x : A \Rightarrow c = (x, x) \Rightarrow P) \Rightarrow P$   
 — The general elimination rule.



**by** (*unfold Id-on-def*) (*iprover elim! UN-E singletonE*)

**lemma** *Id-on-iff*:  $((x, y) : \text{Id-on } A) = (x = y \ \& \ x : A)$   
**by** *blast*

**lemma** *Id-on-subset-Times*:  $\text{Id-on } A \subseteq A \times A$   
**by** *blast*

## 21.4 Composition of two relations

**lemma** *rel-compI* [*intro*]:  
 $(a, b) : r \implies (b, c) : s \implies (a, c) : r \ O \ s$   
**by** (*unfold rel-comp-def*) *blast*

**lemma** *rel-compE* [*elim!*]:  $xz : r \ O \ s \implies$   
 $(!!x \ y \ z. \ xz = (x, z) \implies (x, y) : r \implies (y, z) : s \implies P) \implies P$   
**by** (*unfold rel-comp-def*) (*iprover elim! CollectE splitE exE conjE*)

**lemma** *rel-compEpair*:  
 $(a, c) : r \ O \ s \implies (!y. (a, y) : r \implies (y, c) : s \implies P) \implies P$   
**by** (*iprover elim: rel-compE Pair-inject ssubst*)

**lemma** *R-O-Id* [*simp*]:  $R \ O \ \text{Id} = R$   
**by** *fast*

**lemma** *Id-O-R* [*simp*]:  $\text{Id} \ O \ R = R$   
**by** *fast*

**lemma** *rel-comp-empty1* [*simp*]:  $\{\} \ O \ R = \{\}$   
**by** *blast*

**lemma** *rel-comp-empty2* [*simp*]:  $R \ O \ \{\} = \{\}$   
**by** *blast*

**lemma** *O-assoc*:  $(R \ O \ S) \ O \ T = R \ O \ (S \ O \ T)$   
**by** *blast*

**lemma** *trans-O-subset*:  $\text{trans } r \implies r \ O \ r \subseteq r$   
**by** (*unfold trans-def*) *blast*

**lemma** *rel-comp-mono*:  $r' \subseteq r \implies s' \subseteq s \implies (r' \ O \ s') \subseteq (r \ O \ s)$   
**by** *blast*

**lemma** *rel-comp-subset-Sigma*:  
 $r \subseteq A \times B \implies s \subseteq B \times C \implies (r \ O \ s) \subseteq A \times C$   
**by** *blast*

**lemma** *rel-comp-distrib* [*simp*]:  $R \ O \ (S \cup T) = (R \ O \ S) \cup (R \ O \ T)$   
**by** *auto*

**lemma** *rel-comp-distrib2[simp]*:  $(S \cup T) \ O \ R = (S \ O \ R) \cup (T \ O \ R)$   
**by** *auto*

**lemma** *rel-comp-UNION-distrib*:  $s \ O \ \text{UNION } I \ r = \text{UNION } I \ (\%i. s \ O \ r \ i)$   
**by** *auto*

**lemma** *rel-comp-UNION-distrib2*:  $\text{UNION } I \ r \ O \ s = \text{UNION } I \ (\%i. r \ i \ O \ s)$   
**by** *auto*

## 21.5 Reflexivity

**lemma** *refl-onI*:  $r \subseteq A \times A \implies (!x. x : A \implies (x, x) : r) \implies \text{refl-on } A \ r$   
**by** (*unfold refl-on-def*) (*iprover intro! ballI*)

**lemma** *refl-onD*:  $\text{refl-on } A \ r \implies a : A \implies (a, a) : r$   
**by** (*unfold refl-on-def*) *blast*

**lemma** *refl-onD1*:  $\text{refl-on } A \ r \implies (x, y) : r \implies x : A$   
**by** (*unfold refl-on-def*) *blast*

**lemma** *refl-onD2*:  $\text{refl-on } A \ r \implies (x, y) : r \implies y : A$   
**by** (*unfold refl-on-def*) *blast*

**lemma** *refl-on-Int*:  $\text{refl-on } A \ r \implies \text{refl-on } B \ s \implies \text{refl-on } (A \cap B) \ (r \cap s)$   
**by** (*unfold refl-on-def*) *blast*

**lemma** *refl-on-Un*:  $\text{refl-on } A \ r \implies \text{refl-on } B \ s \implies \text{refl-on } (A \cup B) \ (r \cup s)$   
**by** (*unfold refl-on-def*) *blast*

**lemma** *refl-on-INTER*:  
 $\text{ALL } x:S. \text{refl-on } (A \ x) \ (r \ x) \implies \text{refl-on } (\text{INTER } S \ A) \ (\text{INTER } S \ r)$   
**by** (*unfold refl-on-def*) *fast*

**lemma** *refl-on-UNION*:  
 $\text{ALL } x:S. \text{refl-on } (A \ x) \ (r \ x) \implies \text{refl-on } (\text{UNION } S \ A) \ (\text{UNION } S \ r)$   
**by** (*unfold refl-on-def*) *blast*

**lemma** *refl-on-empty[simp]*:  $\text{refl-on } \{\} \ \{\}$   
**by** (*simp add:refl-on-def*)

**lemma** *refl-on-Id-on*:  $\text{refl-on } A \ (\text{Id-on } A)$   
**by** (*rule refl-onI [OF Id-on-subset-Times Id-onI]*)

## 21.6 Antisymmetry

**lemma** *antisymI*:  
 $(!x \ y. (x, y) : r \implies (y, x) : r \implies x=y) \implies \text{antisym } r$   
**by** (*unfold antisym-def*) *iprover*

**lemma** *antisymD*:  $\text{antisym } r \implies (a, b) : r \implies (b, a) : r \implies a = b$   
**by** (*unfold antisym-def*) *iprover*

**lemma** *antisym-subset*:  $r \subseteq s \implies \text{antisym } s \implies \text{antisym } r$   
**by** (*unfold antisym-def*) *blast*

**lemma** *antisym-empty* [*simp*]:  $\text{antisym } \{\}$   
**by** (*unfold antisym-def*) *blast*

**lemma** *antisym-Id-on* [*simp*]:  $\text{antisym } (\text{Id-on } A)$   
**by** (*unfold antisym-def*) *blast*

## 21.7 Symmetry

**lemma** *symI*:  $(\forall a\ b. (a, b) : r \implies (b, a) : r) \implies \text{sym } r$   
**by** (*unfold sym-def*) *iprover*

**lemma** *symD*:  $\text{sym } r \implies (a, b) : r \implies (b, a) : r$   
**by** (*unfold sym-def*, *blast*)

**lemma** *sym-Int*:  $\text{sym } r \implies \text{sym } s \implies \text{sym } (r \cap s)$   
**by** (*fast intro: symI dest: symD*)

**lemma** *sym-Un*:  $\text{sym } r \implies \text{sym } s \implies \text{sym } (r \cup s)$   
**by** (*fast intro: symI dest: symD*)

**lemma** *sym-INTER*:  $\text{ALL } x:S. \text{sym } (r\ x) \implies \text{sym } (\text{INTER } S\ r)$   
**by** (*fast intro: symI dest: symD*)

**lemma** *sym-UNION*:  $\text{ALL } x:S. \text{sym } (r\ x) \implies \text{sym } (\text{UNION } S\ r)$   
**by** (*fast intro: symI dest: symD*)

**lemma** *sym-Id-on* [*simp*]:  $\text{sym } (\text{Id-on } A)$   
**by** (*rule symI*) *clarify*

## 21.8 Transitivity

**lemma** *transI*:  
 $(\forall x\ y\ z. (x, y) : r \implies (y, z) : r \implies (x, z) : r) \implies \text{trans } r$   
**by** (*unfold trans-def*) *iprover*

**lemma** *transD*:  $\text{trans } r \implies (a, b) : r \implies (b, c) : r \implies (a, c) : r$   
**by** (*unfold trans-def*) *iprover*

**lemma** *trans-Int*:  $\text{trans } r \implies \text{trans } s \implies \text{trans } (r \cap s)$   
**by** (*fast intro: transI elim: transD*)

**lemma** *trans-INTER*:  $\text{ALL } x:S. \text{trans } (r\ x) \implies \text{trans } (\text{INTER } S\ r)$   
**by** (*fast intro: transI elim: transD*)

**lemma** *trans-Id-on* [*simp*]: *trans* (*Id-on* *A*)  
**by** (*fast intro: transI elim: transD*)

**lemma** *trans-diff-Id*: *trans* *r*  $\implies$  *antisym* *r*  $\implies$  *trans* (*r-Id*)  
**unfolding** *antisym-def trans-def* **by** *blast*

## 21.9 Irreflexivity

**lemma** *irrefl-diff-Id* [*simp*]: *irrefl*(*r-Id*)  
**by**(*simp add:irrefl-def*)

## 21.10 Totality

**lemma** *total-on-empty* [*simp*]: *total-on* {} *r*  
**by**(*simp add:total-on-def*)

**lemma** *total-on-diff-Id* [*simp*]: *total-on* *A* (*r-Id*) = *total-on* *A* *r*  
**by**(*simp add: total-on-def*)

## 21.11 Converse

**lemma** *converse-iff* [*iff*]:  $((a,b): r^{-1}) = ((b,a) : r)$   
**by** (*simp add: converse-def*)

**lemma** *converseI* [*sym*]:  $(a, b) : r \implies (b, a) : r^{-1}$   
**by** (*simp add: converse-def*)

**lemma** *converseD* [*sym*]:  $(a,b) : r^{-1} \implies (b, a) : r$   
**by** (*simp add: converse-def*)

**lemma** *converseE* [*elim!*]:  
 $yx : r^{-1} \implies (!x y. yx = (y, x) \implies (x, y) : r \implies P) \implies P$   
— More general than *converseD*, as it “splits” the member of the relation.  
**by** (*unfold converse-def*) (*iprover elim!: CollectE splitE bexE*)

**lemma** *converse-converse* [*simp*]:  $(r^{-1})^{-1} = r$   
**by** (*unfold converse-def*) *blast*

**lemma** *converse-rel-comp*:  $(r \circ s)^{-1} = s^{-1} \circ r^{-1}$   
**by** *blast*

**lemma** *converse-Int*:  $(r \cap s)^{-1} = r^{-1} \cap s^{-1}$   
**by** *blast*

**lemma** *converse-Un*:  $(r \cup s)^{-1} = r^{-1} \cup s^{-1}$   
**by** *blast*

**lemma** *converse-INTER*:  $(\text{INTER } S \ r)^{-1} = (\text{INT } x:S. (r \ x)^{-1})$   
**by** *fast*

**lemma** *converse-UNION*:  $(\text{UNION } S \ r)^{\wedge-1} = (\text{UN } x:S. (r \ x)^{\wedge-1})$   
**by** *blast*

**lemma** *converse-Id* [*simp*]:  $\text{Id}^{\wedge-1} = \text{Id}$   
**by** *blast*

**lemma** *converse-Id-on* [*simp*]:  $(\text{Id-on } A)^{\wedge-1} = \text{Id-on } A$   
**by** *blast*

**lemma** *refl-on-converse* [*simp*]:  $\text{refl-on } A \ (\text{converse } r) = \text{refl-on } A \ r$   
**by** (*unfold refl-on-def*) *auto*

**lemma** *sym-converse* [*simp*]:  $\text{sym } (\text{converse } r) = \text{sym } r$   
**by** (*unfold sym-def*) *blast*

**lemma** *antisym-converse* [*simp*]:  $\text{antisym } (\text{converse } r) = \text{antisym } r$   
**by** (*unfold antisym-def*) *blast*

**lemma** *trans-converse* [*simp*]:  $\text{trans } (\text{converse } r) = \text{trans } r$   
**by** (*unfold trans-def*) *blast*

**lemma** *sym-conv-converse-eq*:  $\text{sym } r = (r^{\wedge-1} = r)$   
**by** (*unfold sym-def*) *fast*

**lemma** *sym-Un-converse*:  $\text{sym } (r \cup r^{\wedge-1})$   
**by** (*unfold sym-def*) *blast*

**lemma** *sym-Int-converse*:  $\text{sym } (r \cap r^{\wedge-1})$   
**by** (*unfold sym-def*) *blast*

**lemma** *total-on-converse* [*simp*]:  $\text{total-on } A \ (r^{\wedge-1}) = \text{total-on } A \ r$   
**by** (*auto simp: total-on-def*)

## 21.12 Domain

**declare** *Domain-def* [*no-atp*]

**lemma** *Domain-iff*:  $(a : \text{Domain } r) = (\text{EX } y. (a, y) : r)$   
**by** (*unfold Domain-def*) *blast*

**lemma** *DomainI* [*intro*]:  $(a, b) : r ==> a : \text{Domain } r$   
**by** (*iprover intro!: iffD2 [OF Domain-iff]*)

**lemma** *DomainE* [*elim!*]:  
 $a : \text{Domain } r ==> (!y. (a, y) : r ==> P) ==> P$   
**by** (*iprover dest!: iffD1 [OF Domain-iff]*)

**lemma** *Domain-empty* [*simp*]:  $\text{Domain } \{\} = \{\}$   
**by** *blast*

**lemma** *Domain-empty-iff*:  $\text{Domain } r = \{\} \longleftrightarrow r = \{\}$   
**by** *auto*

**lemma** *Domain-insert*:  $\text{Domain } (\text{insert } (a, b) \ r) = \text{insert } a \ (\text{Domain } r)$   
**by** *blast*

**lemma** *Domain-Id* [simp]:  $\text{Domain } \text{Id} = \text{UNIV}$   
**by** *blast*

**lemma** *Domain-Id-on* [simp]:  $\text{Domain } (\text{Id-on } A) = A$   
**by** *blast*

**lemma** *Domain-Un-eq*:  $\text{Domain}(A \cup B) = \text{Domain}(A) \cup \text{Domain}(B)$   
**by** *blast*

**lemma** *Domain-Int-subset*:  $\text{Domain}(A \cap B) \subseteq \text{Domain}(A) \cap \text{Domain}(B)$   
**by** *blast*

**lemma** *Domain-Diff-subset*:  $\text{Domain}(A) - \text{Domain}(B) \subseteq \text{Domain}(A - B)$   
**by** *blast*

**lemma** *Domain-Union*:  $\text{Domain } (\text{Union } S) = (\bigcup A \in S. \text{Domain } A)$   
**by** *blast*

**lemma** *Domain-converse*[simp]:  $\text{Domain}(r^{-1}) = \text{Range } r$   
**by** (*auto simp: Range-def*)

**lemma** *Domain-mono*:  $r \subseteq s \implies \text{Domain } r \subseteq \text{Domain } s$   
**by** *blast*

**lemma** *fst-eq-Domain*:  $\text{fst } R = \text{Domain } R$   
**by** (*auto intro!: image-eqI*)

**lemma** *Domain-dprod* [simp]:  $\text{Domain } (\text{dprod } r \ s) = \text{uprod } (\text{Domain } r) (\text{Domain } s)$   
**by** *auto*

**lemma** *Domain-dsum* [simp]:  $\text{Domain } (\text{dsum } r \ s) = \text{usum } (\text{Domain } r) (\text{Domain } s)$   
**by** *auto*

### 21.13 Range

**lemma** *Range-iff*:  $(a : \text{Range } r) = (\exists y. (y, a) : r)$   
**by** (*simp add: Domain-def Range-def*)

**lemma** *RangeI* [intro]:  $(a, b) : r \implies b : \text{Range } r$   
**by** (*unfold Range-def (iprover intro!: converseI DomainI)*)

**lemma** *RangeE* [*elim!*]:  $b : \text{Range } r \implies (!x. (x, b) : r \implies P) \implies P$   
**by** (*unfold Range-def*) (*iprover elim!*: *DomainE dest!*: *converseD*)

**lemma** *Range-empty* [*simp*]:  $\text{Range } \{\} = \{\}$   
**by** *blast*

**lemma** *Range-empty-iff*:  $\text{Range } r = \{\} \longleftrightarrow r = \{\}$   
**by** *auto*

**lemma** *Range-insert*:  $\text{Range } (\text{insert } (a, b) r) = \text{insert } b (\text{Range } r)$   
**by** *blast*

**lemma** *Range-Id* [*simp*]:  $\text{Range } \text{Id} = \text{UNIV}$   
**by** *blast*

**lemma** *Range-Id-on* [*simp*]:  $\text{Range } (\text{Id-on } A) = A$   
**by** *auto*

**lemma** *Range-Un-eq*:  $\text{Range}(A \cup B) = \text{Range}(A) \cup \text{Range}(B)$   
**by** *blast*

**lemma** *Range-Int-subset*:  $\text{Range}(A \cap B) \subseteq \text{Range}(A) \cap \text{Range}(B)$   
**by** *blast*

**lemma** *Range-Diff-subset*:  $\text{Range}(A) - \text{Range}(B) \subseteq \text{Range}(A - B)$   
**by** *blast*

**lemma** *Range-Union*:  $\text{Range } (\text{Union } S) = (\bigcup A \in S. \text{Range } A)$   
**by** *blast*

**lemma** *Range-converse* [*simp*]:  $\text{Range}(r^{-1}) = \text{Domain } r$   
**by** *blast*

**lemma** *snd-eq-Range*:  $\text{snd} \circ R = \text{Range } R$   
**by** (*auto intro!*: *image-eqI*)

## 21.14 Field

**lemma** *mono-Field*:  $r \subseteq s \implies \text{Field } r \subseteq \text{Field } s$   
**by** (*auto simp: Field-def Domain-def Range-def*)

**lemma** *Field-empty* [*simp*]:  $\text{Field } \{\} = \{\}$   
**by** (*auto simp: Field-def*)

**lemma** *Field-insert* [*simp*]:  $\text{Field } (\text{insert } (a, b) r) = \{a, b\} \cup \text{Field } r$   
**by** (*auto simp: Field-def*)

**lemma** *Field-Un* [*simp*]:  $\text{Field } (r \cup s) = \text{Field } r \cup \text{Field } s$

**by** (*auto simp:Field-def*)

**lemma** *Field-Union* [*simp*]:  $\text{Field } (\bigcup R) = \bigcup (\text{Field } ` R)$   
**by** (*auto simp:Field-def*)

**lemma** *Field-converse* [*simp*]:  $\text{Field}(r^{-1}) = \text{Field } r$   
**by** (*auto simp:Field-def*)

### 21.15 Image of a set under a relation

**declare** *Image-def* [*no-atp*]

**lemma** *Image-iff*:  $(b : r `` A) = (EX x:A. (x, b) : r)$   
**by** (*simp add: Image-def*)

**lemma** *Image-singleton*:  $r `` \{a\} = \{b. (a, b) : r\}$   
**by** (*simp add: Image-def*)

**lemma** *Image-singleton-iff* [*iff*]:  $(b : r `` \{a\}) = ((a, b) : r)$   
**by** (*rule Image-iff [THEN trans]*) *simp*

**lemma** *ImageI* [*intro,no-atp*]:  $(a, b) : r ==> a : A ==> b : r `` A$   
**by** (*unfold Image-def*) *blast*

**lemma** *ImageE* [*elim!*]:  
 $b : r `` A ==> (!x. (x, b) : r ==> x : A ==> P) ==> P$   
**by** (*unfold Image-def*) (*iprover elim!: CollectE bexE*)

**lemma** *rev-ImageI*:  $a : A ==> (a, b) : r ==> b : r `` A$   
 — This version’s more effective when we already have the required  $a$   
**by** *blast*

**lemma** *Image-empty* [*simp*]:  $R `` \{\} = \{\}$   
**by** *blast*

**lemma** *Image-Id* [*simp*]:  $\text{Id} `` A = A$   
**by** *blast*

**lemma** *Image-Id-on* [*simp*]:  $\text{Id-on } A `` B = A \cap B$   
**by** *blast*

**lemma** *Image-Int-subset*:  $R `` (A \cap B) \subseteq R `` A \cap R `` B$   
**by** *blast*

**lemma** *Image-Int-eq*:  
 $\text{single-valued } (\text{converse } R) ==> R `` (A \cap B) = R `` A \cap R `` B$   
**by** (*simp add: single-valued-def, blast*)

**lemma** *Image-Un*:  $R `` (A \cup B) = R `` A \cup R `` B$



by *blast*

**lemma** *Un-Image*:  $(R \cup S) \text{ `` } A = R \text{ `` } A \cup S \text{ `` } A$   
by *blast*

**lemma** *Image-subset*:  $r \subseteq A \times B \implies r \text{ `` } C \subseteq B$   
by (*iprover intro!*: *subsetI elim!*: *ImageE dest!*: *subsetD SigmaD2*)

**lemma** *Image-eq-UN*:  $r \text{ `` } B = (\bigcup y \in B. r \text{ `` } \{y\})$   
— NOT suitable for rewriting  
by *blast*

**lemma** *Image-mono*:  $r' \subseteq r \implies A' \subseteq A \implies (r' \text{ `` } A') \subseteq (r \text{ `` } A)$   
by *blast*

**lemma** *Image-UN*:  $(r \text{ `` } (\text{UNION } A \ B)) = (\bigcup x \in A. r \text{ `` } (B \ x))$   
by *blast*

**lemma** *Image-INT-subset*:  $(r \text{ `` } \text{INTER } A \ B) \subseteq (\bigcap x \in A. r \text{ `` } (B \ x))$   
by *blast*

Converse inclusion requires some assumptions

**lemma** *Image-INT-eq*:  
   $[[\text{single-valued } (r^{-1}); A \neq \{\}] \implies r \text{ `` } \text{INTER } A \ B = (\bigcap x \in A. r \text{ `` } B \ x)]$   
**apply** (*rule equalityI*)  
  **apply** (*rule Image-INT-subset*)  
**apply** (*simp add: single-valued-def, blast*)  
**done**

**lemma** *Image-subset-eq*:  $(r \text{ `` } A \subseteq B) = (A \subseteq - ((r^{-1}) \text{ `` } (-B)))$   
by *blast*

## 21.16 Single valued relations

**lemma** *single-valuedI*:  
   $\text{ALL } x \ y. (x, y) : r \dashrightarrow (\text{ALL } z. (x, z) : r \dashrightarrow y = z) \implies \text{single-valued } r$   
by (*unfold single-valued-def*)

**lemma** *single-valuedD*:  
   $\text{single-valued } r \implies (x, y) : r \implies (x, z) : r \implies y = z$   
by (*simp add: single-valued-def*)

**lemma** *single-valued-rel-comp*:  
   $\text{single-valued } r \implies \text{single-valued } s \implies \text{single-valued } (r \ O \ s)$   
by (*unfold single-valued-def*) *blast*

**lemma** *single-valued-subset*:  
   $r \subseteq s \implies \text{single-valued } s \implies \text{single-valued } r$   
by (*unfold single-valued-def*) *blast*

**lemma** *single-valued-Id* [simp]: *single-valued Id*  
**by** (*unfold single-valued-def*) *blast*

**lemma** *single-valued-Id-on* [simp]: *single-valued (Id-on A)*  
**by** (*unfold single-valued-def*) *blast*

### 21.17 Graphs given by *Collect*

**lemma** *Domain-Collect-split* [simp]:  $\text{Domain}\{(x,y). P\ x\ y\} = \{x. \text{EX } y. P\ x\ y\}$   
**by** *auto*

**lemma** *Range-Collect-split* [simp]:  $\text{Range}\{(x,y). P\ x\ y\} = \{y. \text{EX } x. P\ x\ y\}$   
**by** *auto*

**lemma** *Image-Collect-split* [simp]:  $\{(x,y). P\ x\ y\} \text{ “ } A = \{y. \text{EX } x:A. P\ x\ y\}$   
**by** *auto*

### 21.18 Inverse image

**lemma** *sym-inv-image*:  $\text{sym } r ==> \text{sym } (\text{inv-image } r\ f)$   
**by** (*unfold sym-def inv-image-def*) *blast*

**lemma** *trans-inv-image*:  $\text{trans } r ==> \text{trans } (\text{inv-image } r\ f)$   
**apply** (*unfold trans-def inv-image-def*)  
**apply** (*simp (no-asm)*)  
**apply** *blast*  
**done**

**lemma** *in-inv-image*[simp]:  $((x,y) : \text{inv-image } r\ f) = ((f\ x, f\ y) : r)$   
**by** (*auto simp:inv-image-def*)

**lemma** *converse-inv-image*[simp]:  $(\text{inv-image } R\ f)^{-1} = \text{inv-image } (R^{-1})\ f$   
**unfolding** *inv-image-def converse-def* **by** *auto*

### 21.19 Finiteness

**lemma** *finite-converse* [iff]:  $\text{finite } (r^{-1}) = \text{finite } r$   
**apply** (*subgoal-tac*  $r^{-1} = (\%(x,y). (y,x))'r$ )  
**apply** *simp*  
**apply** (*rule iffI*)  
**apply** (*erule finite-imageD* [*unfolded inj-on-def*])  
**apply** (*simp split add: split-split*)  
**apply** (*erule finite-imageI*)  
**apply** (*simp add: converse-def image-def, auto*)  
**apply** (*rule bexI*)  
**prefer** 2 **apply** *assumption*  
**apply** *simp*  
**done**

```

lemma finite-Domain: finite  $r \implies$  finite (Domain  $r$ )
  by (induct set: finite) (auto simp add: Domain-insert)

lemma finite-Range: finite  $r \implies$  finite (Range  $r$ )
  by (induct set: finite) (auto simp add: Range-insert)

lemma finite-Field: finite  $r \implies$  finite (Field  $r$ )
  — A finite relation has a finite field (= domain  $\cup$  range.
  apply (induct set: finite)
  apply (auto simp add: Field-def Domain-insert Range-insert)
  done

```

## 21.20 Miscellaneous

Version of *lfp-induct* for binary relations

```

lemmas lfp-induct2 =
  lfp-induct-set [of ( $a$ ,  $b$ ), split-format (complete)]

```

Version of *subsetI* for binary relations

```

lemma subrelI:  $(\bigwedge x y. (x, y) \in r \implies (x, y) \in s) \implies r \subseteq s$ 
by auto

```

**end**

## 22 Predicate: Predicates as relations and enumerations

```

theory Predicate
imports Inductive Relation
begin

```

```

notation
  inf (infixl  $\sqcap$  70) and
  sup (infixl  $\sqcup$  65) and
  Inf ( $\bigsqcap$  - [900] 900) and
  Sup ( $\bigsqcup$  - [900] 900) and
  top ( $\top$ ) and
  bot ( $\perp$ )

```

### 22.1 Predicates as (complete) lattices

Handy introduction and elimination rules for  $\leq$  on unary and binary predicates

```

lemma predicate1I:
  assumes  $PQ: \bigwedge x. P x \implies Q x$ 
  shows  $P \leq Q$ 

```

```

apply (rule le-funI)
apply (rule le-boolI)
apply (rule PQ)
apply assumption
done

```

```

lemma predicate1D [Pure.dest?, dest?]:
   $P \leq Q \implies P\ x \implies Q\ x$ 
  apply (erule le-funE)
  apply (erule le-boolE)
  apply assumption+
  done

```

```

lemma rev-predicate1D:
   $P\ x \implies P \leq Q \implies Q\ x$ 
  by (rule predicate1D)

```

```

lemma predicate2I [Pure.intro!, intro!]:
  assumes PQ:  $\bigwedge x\ y. P\ x\ y \implies Q\ x\ y$ 
  shows  $P \leq Q$ 
  apply (rule le-funI)+
  apply (rule le-boolI)
  apply (rule PQ)
  apply assumption
  done

```

```

lemma predicate2D [Pure.dest, dest]:
   $P \leq Q \implies P\ x\ y \implies Q\ x\ y$ 
  apply (erule le-funE)+
  apply (erule le-boolE)
  apply assumption+
  done

```

```

lemma rev-predicate2D:
   $P\ x\ y \implies P \leq Q \implies Q\ x\ y$ 
  by (rule predicate2D)

```

### 22.1.1 Equality

```

lemma pred-equals-eq:  $((\lambda x. x \in R) = (\lambda x. x \in S)) = (R = S)$ 
  by (simp add: mem-def)

```

```

lemma pred-equals-eq2 [pred-set-conv]:  $((\lambda x\ y. (x, y) \in R) = (\lambda x\ y. (x, y) \in S))$ 
   $= (R = S)$ 
  by (simp add: expand-fun-eq mem-def)

```

### 22.1.2 Order relation

```

lemma pred-subset-eq:  $((\lambda x. x \in R) \leq (\lambda x. x \in S)) = (R \leq S)$ 
  by (simp add: mem-def)

```

**lemma** *pred-subset-eq2* [*pred-set-conv*]:  $((\lambda x y. (x, y) \in R) \leq (\lambda x y. (x, y) \in S)) = (R \leq S)$   
**by** *fast*

### 22.1.3 Top and bottom elements

**lemma** *top1I* [*intro!*]:  $\text{top } x$   
**by** (*simp add: top-fun-eq top-bool-eq*)

**lemma** *top2I* [*intro!*]:  $\text{top } x y$   
**by** (*simp add: top-fun-eq top-bool-eq*)

**lemma** *bot1E* [*elim!*]:  $\text{bot } x \implies P$   
**by** (*simp add: bot-fun-eq bot-bool-eq*)

**lemma** *bot2E* [*elim!*]:  $\text{bot } x y \implies P$   
**by** (*simp add: bot-fun-eq bot-bool-eq*)

**lemma** *bot-empty-eq*:  $\text{bot} = (\lambda x. x \in \{\})$   
**by** (*auto simp add: expand-fun-eq*)

**lemma** *bot-empty-eq2*:  $\text{bot} = (\lambda x y. (x, y) \in \{\})$   
**by** (*auto simp add: expand-fun-eq*)

### 22.1.4 Binary union

**lemma** *sup1I1* [*elim?*]:  $A x \implies \text{sup } A B x$   
**by** (*simp add: sup-fun-eq sup-bool-eq*)

**lemma** *sup2I1* [*elim?*]:  $A x y \implies \text{sup } A B x y$   
**by** (*simp add: sup-fun-eq sup-bool-eq*)

**lemma** *sup1I2* [*elim?*]:  $B x \implies \text{sup } A B x$   
**by** (*simp add: sup-fun-eq sup-bool-eq*)

**lemma** *sup2I2* [*elim?*]:  $B x y \implies \text{sup } A B x y$   
**by** (*simp add: sup-fun-eq sup-bool-eq*)

**lemma** *sup1E* [*elim!*]:  $\text{sup } A B x \implies (A x \implies P) \implies (B x \implies P) \implies P$   
**by** (*simp add: sup-fun-eq sup-bool-eq*) *iprover*

**lemma** *sup2E* [*elim!*]:  $\text{sup } A B x y \implies (A x y \implies P) \implies (B x y \implies P) \implies P$   
**by** (*simp add: sup-fun-eq sup-bool-eq*) *iprover*

Classical introduction rule: no commitment to  $A$  vs  $B$ .

**lemma** *sup1CI* [*intro!*]:  $(\sim B x \implies A x) \implies \text{sup } A B x$

**by** (*auto simp add: sup-fun-eq sup-bool-eq*)

**lemma** *sup2CI* [*intro!*]:  $(\sim B\ x\ y \implies A\ x\ y) \implies \sup A\ B\ x\ y$   
**by** (*auto simp add: sup-fun-eq sup-bool-eq*)

**lemma** *sup-Un-eq*:  $\sup (\lambda x. x \in R) (\lambda x. x \in S) = (\lambda x. x \in R \cup S)$   
**by** (*simp add: sup-fun-eq sup-bool-eq mem-def*)

**lemma** *sup-Un-eq2* [*pred-set-conv*]:  $\sup (\lambda x\ y. (x, y) \in R) (\lambda x\ y. (x, y) \in S) =$   
 $(\lambda x\ y. (x, y) \in R \cup S)$   
**by** (*simp add: sup-fun-eq sup-bool-eq mem-def*)

### 22.1.5 Binary intersection

**lemma** *inf1I* [*intro!*]:  $A\ x \implies B\ x \implies \inf A\ B\ x$   
**by** (*simp add: inf-fun-eq inf-bool-eq*)

**lemma** *inf2I* [*intro!*]:  $A\ x\ y \implies B\ x\ y \implies \inf A\ B\ x\ y$   
**by** (*simp add: inf-fun-eq inf-bool-eq*)

**lemma** *inf1E* [*elim!*]:  $\inf A\ B\ x \implies (A\ x \implies B\ x \implies P) \implies P$   
**by** (*simp add: inf-fun-eq inf-bool-eq*)

**lemma** *inf2E* [*elim!*]:  $\inf A\ B\ x\ y \implies (A\ x\ y \implies B\ x\ y \implies P) \implies P$   
**by** (*simp add: inf-fun-eq inf-bool-eq*)

**lemma** *inf1D1*:  $\inf A\ B\ x \implies A\ x$   
**by** (*simp add: inf-fun-eq inf-bool-eq*)

**lemma** *inf2D1*:  $\inf A\ B\ x\ y \implies A\ x\ y$   
**by** (*simp add: inf-fun-eq inf-bool-eq*)

**lemma** *inf1D2*:  $\inf A\ B\ x \implies B\ x$   
**by** (*simp add: inf-fun-eq inf-bool-eq*)

**lemma** *inf2D2*:  $\inf A\ B\ x\ y \implies B\ x\ y$   
**by** (*simp add: inf-fun-eq inf-bool-eq*)

**lemma** *inf-Int-eq*:  $\inf (\lambda x. x \in R) (\lambda x. x \in S) = (\lambda x. x \in R \cap S)$   
**by** (*simp add: inf-fun-eq inf-bool-eq mem-def*)

**lemma** *inf-Int-eq2* [*pred-set-conv*]:  $\inf (\lambda x\ y. (x, y) \in R) (\lambda x\ y. (x, y) \in S) =$   
 $(\lambda x\ y. (x, y) \in R \cap S)$   
**by** (*simp add: inf-fun-eq inf-bool-eq mem-def*)

### 22.1.6 Unions of families

**lemma** *SUP1-iff*:  $(\sup x:A. B\ x)\ b = (\exists x:A. B\ x\ b)$   
**by** (*simp add: SUPR-def Sup-fun-def Sup-bool-def*) *blast*

**lemma** *SUP2-iff*:  $(\text{SUP } x:A. B \ x) \ b \ c = (\text{EX } x:A. B \ x \ b \ c)$   
**by** (*simp add: SUPR-def Sup-fun-def Sup-bool-def*) *blast*

**lemma** *SUP1-I* [*intro*]:  $a : A \implies B \ a \ b \implies (\text{SUP } x:A. B \ x) \ b$   
**by** (*auto simp add: SUP1-iff*)

**lemma** *SUP2-I* [*intro*]:  $a : A \implies B \ a \ b \ c \implies (\text{SUP } x:A. B \ x) \ b \ c$   
**by** (*auto simp add: SUP2-iff*)

**lemma** *SUP1-E* [*elim*!]:  $(\text{SUP } x:A. B \ x) \ b \implies (!x. x : A \implies B \ x \ b \implies R) \implies R$   
**by** (*auto simp add: SUP1-iff*)

**lemma** *SUP2-E* [*elim*!]:  $(\text{SUP } x:A. B \ x) \ b \ c \implies (!x. x : A \implies B \ x \ b \ c \implies R) \implies R$   
**by** (*auto simp add: SUP2-iff*)

**lemma** *SUP-UN-eq*:  $(\text{SUP } i. (\lambda x. x \in r \ i)) = (\lambda x. x \in (\text{UN } i. r \ i))$   
**by** (*simp add: SUP1-iff expand-fun-eq*)

**lemma** *SUP-UN-eq2*:  $(\text{SUP } i. (\lambda x \ y. (x, y) \in r \ i)) = (\lambda x \ y. (x, y) \in (\text{UN } i. r \ i))$   
**by** (*simp add: SUP2-iff expand-fun-eq*)

### 22.1.7 Intersections of families

**lemma** *INF1-iff*:  $(\text{INF } x:A. B \ x) \ b = (\text{ALL } x:A. B \ x \ b)$   
**by** (*simp add: INF1-def Inf-fun-def Inf-bool-def*) *blast*

**lemma** *INF2-iff*:  $(\text{INF } x:A. B \ x) \ b \ c = (\text{ALL } x:A. B \ x \ b \ c)$   
**by** (*simp add: INF1-def Inf-fun-def Inf-bool-def*) *blast*

**lemma** *INF1-I* [*intro*!]:  $(!x. x : A \implies B \ x \ b) \implies (\text{INF } x:A. B \ x) \ b$   
**by** (*auto simp add: INF1-iff*)

**lemma** *INF2-I* [*intro*!]:  $(!x. x : A \implies B \ x \ b \ c) \implies (\text{INF } x:A. B \ x) \ b \ c$   
**by** (*auto simp add: INF2-iff*)

**lemma** *INF1-D* [*elim*]:  $(\text{INF } x:A. B \ x) \ b \implies a : A \implies B \ a \ b$   
**by** (*auto simp add: INF1-iff*)

**lemma** *INF2-D* [*elim*]:  $(\text{INF } x:A. B \ x) \ b \ c \implies a : A \implies B \ a \ b \ c$   
**by** (*auto simp add: INF2-iff*)

**lemma** *INF1-E* [*elim*]:  $(\text{INF } x:A. B \ x) \ b \implies (B \ a \ b \implies R) \implies (a \sim: A \implies R) \implies R$   
**by** (*auto simp add: INF1-iff*)

**lemma** *INF2-E* [*elim*]:  $(\text{INF } x:A. B \ x) \ b \ c \implies (B \ a \ b \ c \implies R) \implies (a \sim: A \implies R) \implies R$

**by** (*auto simp add: INF2-iff*)

**lemma** *INF-INT-eq*:  $(\text{INF } i. (\lambda x. x \in r \ i)) = (\lambda x. x \in (\text{INT } i. r \ i))$   
**by** (*simp add: INF1-iff expand-fun-eq*)

**lemma** *INF-INT-eq2*:  $(\text{INF } i. (\lambda x y. (x, y) \in r \ i)) = (\lambda x y. (x, y) \in (\text{INT } i. r \ i))$   
**by** (*simp add: INF2-iff expand-fun-eq*)

## 22.2 Predicates as relations

### 22.2.1 Composition

**inductive**

*pred-comp* ::  $['a \Rightarrow 'b \Rightarrow \text{bool}, 'b \Rightarrow 'c \Rightarrow \text{bool}] \Rightarrow 'a \Rightarrow 'c \Rightarrow \text{bool}$   
*(infixr OO 75)*

**for**  $r :: 'a \Rightarrow 'b \Rightarrow \text{bool}$  **and**  $s :: 'b \Rightarrow 'c \Rightarrow \text{bool}$

**where**

*pred-compI* [intro]:  $r \ a \ b \implies s \ b \ c \implies (r \ OO \ s) \ a \ c$

**inductive-cases** *pred-compE* [elim!]:  $(r \ OO \ s) \ a \ c$

**lemma** *pred-comp-rel-comp-eq* [pred-set-conv]:

$((\lambda x y. (x, y) \in r) \ OO \ (\lambda x y. (x, y) \in s)) = (\lambda x y. (x, y) \in r \ O \ s)$

**by** (*auto simp add: expand-fun-eq elim: pred-compE*)

### 22.2.2 Converse

**inductive**

*conversep* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'b \Rightarrow 'a \Rightarrow \text{bool}$   
*(( $\hat{\ } - - 1$ ) [1000] 1000)*

**for**  $r :: 'a \Rightarrow 'b \Rightarrow \text{bool}$

**where**

*conversepI*:  $r \ a \ b \implies r^{\hat{\ } - - 1} \ b \ a$

**notation** (*xsymbols*)

*conversep*  $((\hat{\ }^{-1} - 1) [1000] 1000)$

**lemma** *conversepD*:

**assumes**  $ab: r^{\hat{\ } - - 1} \ a \ b$

**shows**  $r \ b \ a$  **using**  $ab$

**by** *cases simp*

**lemma** *conversep-iff* [iff]:  $r^{\hat{\ } - - 1} \ a \ b = r \ b \ a$

**by** (*iprover intro: conversepI dest: conversepD*)

**lemma** *conversep-converse-eq* [pred-set-conv]:

$(\lambda x y. (x, y) \in r)^{\hat{\ } - - 1} = (\lambda x y. (x, y) \in r^{\hat{\ } - 1})$

**by** (*auto simp add: expand-fun-eq*)

**lemma** *conversep-conversep* [simp]:  $(r^{\hat{\ } - - 1})^{\hat{\ } - - 1} = r$



**by** (*iprover intro: order-antisym conversepI dest: conversepD*)

**lemma** *converse-pred-comp*:  $(r \text{ OO } s)^{\hat{--}1} = s^{\hat{--}1} \text{ OO } r^{\hat{--}1}$

**by** (*iprover intro: order-antisym conversepI pred-compI*  
*elim: pred-compE dest: conversepD*)

**lemma** *converse-meet*:  $(\inf r s)^{\hat{--}1} = \inf r^{\hat{--}1} s^{\hat{--}1}$

**by** (*simp add: inf-fun-eq inf-bool-eq*  
*(iprover intro: conversepI ext dest: conversepD)*)

**lemma** *converse-join*:  $(\sup r s)^{\hat{--}1} = \sup r^{\hat{--}1} s^{\hat{--}1}$

**by** (*simp add: sup-fun-eq sup-bool-eq*  
*(iprover intro: conversepI ext dest: conversepD)*)

**lemma** *conversep-noteq [simp]*:  $(op \sim)^{\hat{--}1} = op \sim$

**by** (*auto simp add: expand-fun-eq*)

**lemma** *conversep-eq [simp]*:  $(op =)^{\hat{--}1} = op =$

**by** (*auto simp add: expand-fun-eq*)

### 22.2.3 Domain

**inductive**

*DomainP* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow \text{bool}$

**for** *r* ::  $'a \Rightarrow 'b \Rightarrow \text{bool}$

**where**

*DomainPI* [*intro*]:  $r \ a \ b \ ==> \text{DomainP } r \ a$

**inductive-cases** *DomainPE* [*elim!*]:  $\text{DomainP } r \ a$

**lemma** *DomainP-Domain-eq [pred-set-conv]*:  $\text{DomainP } (\lambda x y. (x, y) \in r) = (\lambda x. x \in \text{Domain } r)$

**by** (*blast intro!: Orderings.order-antisym predicate1I*)

### 22.2.4 Range

**inductive**

*RangeP* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'b \Rightarrow \text{bool}$

**for** *r* ::  $'a \Rightarrow 'b \Rightarrow \text{bool}$

**where**

*RangePI* [*intro*]:  $r \ a \ b \ ==> \text{RangeP } r \ b$

**inductive-cases** *RangePE* [*elim!*]:  $\text{RangeP } r \ b$

**lemma** *RangeP-Range-eq [pred-set-conv]*:  $\text{RangeP } (\lambda x y. (x, y) \in r) = (\lambda x. x \in \text{Range } r)$

**by** (*blast intro!: Orderings.order-antisym predicate1I*)

### 22.2.5 Inverse image

**definition**

$inv-imagep :: ('b \Rightarrow 'a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$  **where**  
 $inv-imagep\ r\ f == \%x\ y.\ r\ (f\ x)\ (f\ y)$

**lemma**  $[pred-set-conv]$ :  $inv-imagep\ (\lambda x\ y.\ (x, y) \in r)\ f = (\lambda x\ y.\ (x, y) \in inv-image\ r\ f)$

**by**  $(simp\ add:\ inv-image-def\ inv-imagep-def)$

**lemma**  $in-inv-imagep\ [simp]$ :  $inv-imagep\ r\ f\ x\ y = r\ (f\ x)\ (f\ y)$

**by**  $(simp\ add:\ inv-imagep-def)$

### 22.2.6 Powerset

**definition**  $Powp :: ('a \Rightarrow bool) \Rightarrow 'a\ set \Rightarrow bool$  **where**

$Powp\ A == \lambda B.\ \forall x \in B.\ A\ x$

**lemma**  $Powp-Pow-eq\ [pred-set-conv]$ :  $Powp\ (\lambda x.\ x \in A) = (\lambda x.\ x \in Pow\ A)$

**by**  $(auto\ simp\ add:\ Powp-def\ expand-fun-eq)$

**lemmas**  $Powp-mono\ [mono] = Pow-mono\ [to-pred\ pred-subset-eq]$

### 22.2.7 Properties of relations

**abbreviation**  $antisymP :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow bool$  **where**

$antisymP\ r == antisym\ \{(x, y).\ r\ x\ y\}$

**abbreviation**  $transP :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow bool$  **where**

$transP\ r == trans\ \{(x, y).\ r\ x\ y\}$

**abbreviation**  $single-valuedP :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow bool$  **where**

$single-valuedP\ r == single-valued\ \{(x, y).\ r\ x\ y\}$

## 22.3 Predicates as enumerations

### 22.3.1 The type of predicate enumerations (a monad)

**datatype**  $'a\ pred = Pred\ 'a \Rightarrow bool$

**primrec**  $eval :: 'a\ pred \Rightarrow 'a \Rightarrow bool$  **where**

$eval-pred:\ eval\ (Pred\ f) = f$

**lemma**  $Pred-eval\ [simp]$ :

$Pred\ (eval\ x) = x$

**by**  $(cases\ x)\ simp$

**lemma**  $eval-inject:$   $eval\ x = eval\ y \longleftrightarrow x = y$

**by**  $(cases\ x)\ auto$

**definition**  $single :: 'a \Rightarrow 'a\ pred$  **where**

*single*  $x = \text{Pred } ((op =) x)$

**definition**  $\text{bind} :: 'a \text{ pred} \Rightarrow ('a \Rightarrow 'b \text{ pred}) \Rightarrow 'b \text{ pred}$  (**infixl**  $\gg=$  70) **where**  
 $P \gg= f = \text{Pred } (\lambda x. (\exists y. \text{eval } P y \wedge \text{eval } (f y) x))$

**instantiation**  $\text{pred} :: (\text{type}) \{ \text{complete-lattice}, \text{boolean-algebra} \}$   
**begin**

**definition**

$P \leq Q \longleftrightarrow \text{eval } P \leq \text{eval } Q$

**definition**

$P < Q \longleftrightarrow \text{eval } P < \text{eval } Q$

**definition**

$\perp = \text{Pred } \perp$

**definition**

$\top = \text{Pred } \top$

**definition**

$P \sqcap Q = \text{Pred } (\text{eval } P \sqcap \text{eval } Q)$

**definition**

$P \sqcup Q = \text{Pred } (\text{eval } P \sqcup \text{eval } Q)$

**definition**

[*code del*]:  $\bigcap A = \text{Pred } (\text{INFI } A \text{ eval})$

**definition**

[*code del*]:  $\bigcup A = \text{Pred } (\text{SUPR } A \text{ eval})$

**definition**

$- P = \text{Pred } (- \text{eval } P)$

**definition**

$P - Q = \text{Pred } (\text{eval } P - \text{eval } Q)$

**instance proof**

**qed** (*auto simp add: less-eq-pred-def less-pred-def*  
*inf-pred-def sup-pred-def bot-pred-def top-pred-def*  
*Inf-pred-def Sup-pred-def uminus-pred-def minus-pred-def fun-Compl-def bool-Compl-def,*  
*auto simp add: le-fun-def less-fun-def le-bool-def less-bool-def*  
*eval-inject mem-def*)

**end**

**lemma** *bind-bind*:

$(P \gg= Q) \gg= R = P \gg= (\lambda x. Q x \gg= R)$

**by** (*auto simp add: bind-def expand-fun-eq*)

**lemma** *bind-single*:

$P \gg= \text{single} = P$

**by** (*simp add: bind-def single-def*)

**lemma** *single-bind*:

$\text{single } x \gg= P = P \ x$

**by** (*simp add: bind-def single-def*)

**lemma** *bottom-bind*:

$\perp \gg= P = \perp$

**by** (*auto simp add: bot-pred-def bind-def expand-fun-eq*)

**lemma** *sup-bind*:

$(P \sqcup Q) \gg= R = P \gg= R \sqcup Q \gg= R$

**by** (*auto simp add: bind-def sup-pred-def expand-fun-eq*)

**lemma** *Sup-bind*:  $(\bigsqcup A \gg= f) = \bigsqcup ((\lambda x. x \gg= f) \text{ ` } A)$

**by** (*auto simp add: bind-def Sup-pred-def SUP1-iff expand-fun-eq*)

**lemma** *pred-iffI*:

**assumes**  $\bigwedge x. \text{eval } A \ x \Longrightarrow \text{eval } B \ x$

**and**  $\bigwedge x. \text{eval } B \ x \Longrightarrow \text{eval } A \ x$

**shows**  $A = B$

**proof** –

**from** *assms* **have**  $\bigwedge x. \text{eval } A \ x \longleftrightarrow \text{eval } B \ x$  **by** *blast*

**then show** *?thesis* **by** (*cases A, cases B*) (*simp add: expand-fun-eq*)

**qed**

**lemma** *singleI*:  $\text{eval } (\text{single } x) \ x$

**unfolding** *single-def* **by** *simp*

**lemma** *singleI-unit*:  $\text{eval } (\text{single } ()) \ x$

**by** *simp* (*rule singleI*)

**lemma** *singleE*:  $\text{eval } (\text{single } x) \ y \Longrightarrow (y = x \Longrightarrow P) \Longrightarrow P$

**unfolding** *single-def* **by** *simp*

**lemma** *singleE'*:  $\text{eval } (\text{single } x) \ y \Longrightarrow (x = y \Longrightarrow P) \Longrightarrow P$

**by** (*erule singleE*) *simp*

**lemma** *bindI*:  $\text{eval } P \ x \Longrightarrow \text{eval } (Q \ x) \ y \Longrightarrow \text{eval } (P \gg= Q) \ y$

**unfolding** *bind-def* **by** *auto*

**lemma** *bindE*:  $\text{eval } (R \gg= Q) \ y \Longrightarrow (\bigwedge x. \text{eval } R \ x \Longrightarrow \text{eval } (Q \ x) \ y \Longrightarrow P) \Longrightarrow P$

**unfolding** *bind-def* **by** *auto*

**lemma** *botE*:  $\text{eval } \perp x \implies P$   
**unfolding** *bot-pred-def* **by** *auto*

**lemma** *supI1*:  $\text{eval } A x \implies \text{eval } (A \sqcup B) x$   
**unfolding** *sup-pred-def* **by** (*simp add: sup-fun-eq sup-bool-eq*)

**lemma** *supI2*:  $\text{eval } B x \implies \text{eval } (A \sqcup B) x$   
**unfolding** *sup-pred-def* **by** (*simp add: sup-fun-eq sup-bool-eq*)

**lemma** *supE*:  $\text{eval } (A \sqcup B) x \implies (\text{eval } A x \implies P) \implies (\text{eval } B x \implies P) \implies P$   
**unfolding** *sup-pred-def* **by** *auto*

**lemma** *single-not-bot* [*simp*]:  
 $\text{single } x \neq \perp$   
**by** (*auto simp add: single-def bot-pred-def expand-fun-eq*)

**lemma** *not-bot*:  
**assumes**  $A \neq \perp$   
**obtains**  $x$  **where**  $\text{eval } A x$   
**using** *assms* **by** (*cases A*)  
(*auto simp add: bot-pred-def, auto simp add: mem-def*)

### 22.3.2 Emptiness check and definite choice

**definition** *is-empty* ::  $'a \text{ pred} \Rightarrow \text{bool}$  **where**  
 $\text{is-empty } A \longleftrightarrow A = \perp$

**lemma** *is-empty-bot*:  
 $\text{is-empty } \perp$   
**by** (*simp add: is-empty-def*)

**lemma** *not-is-empty-single*:  
 $\neg \text{is-empty } (\text{single } x)$   
**by** (*auto simp add: is-empty-def single-def bot-pred-def expand-fun-eq*)

**lemma** *is-empty-sup*:  
 $\text{is-empty } (A \sqcup B) \longleftrightarrow \text{is-empty } A \wedge \text{is-empty } B$   
**by** (*auto simp add: is-empty-def*)

**definition** *singleton* ::  $(\text{unit} \Rightarrow 'a) \Rightarrow 'a \text{ pred} \Rightarrow 'a$  **where**  
 $\text{singleton dfault } A = (\text{if } \exists!x. \text{eval } A x \text{ then } \text{THE } x. \text{eval } A x \text{ else dfault } ())$

**lemma** *singleton-eqI*:  
 $\exists!x. \text{eval } A x \implies \text{eval } A x \implies \text{singleton dfault } A = x$   
**by** (*auto simp add: singleton-def*)

**lemma** *eval-singletonI*:  
 $\exists!x. \text{eval } A x \implies \text{eval } A (\text{singleton dfault } A)$

**proof** –

```

assume assm:  $\exists!x. \text{eval } A \ x$ 
then obtain x where  $\text{eval } A \ x \ ..$ 
moreover with assm have  $\text{singleton dfault } A = x$  by (rule singleton-eqI)
ultimately show ?thesis by simp
qed

```

```

lemma single-singleton:
   $\exists!x. \text{eval } A \ x \implies \text{single } (\text{singleton dfault } A) = A$ 
proof –
  assume assm:  $\exists!x. \text{eval } A \ x$ 
  then have  $\text{eval } A \ (\text{singleton dfault } A)$ 
    by (rule eval-singletonI)
  moreover from assm have  $\bigwedge x. \text{eval } A \ x \implies \text{singleton dfault } A = x$ 
    by (rule singleton-eqI)
  ultimately have  $\text{eval } (\text{single } (\text{singleton dfault } A)) = \text{eval } A$ 
    by (simp (no-asm-use) add: single-def expand-fun-eq) blast
  then show ?thesis by (simp add: eval-inject)
qed

```

```

lemma singleton-undefinedI:
   $\neg (\exists!x. \text{eval } A \ x) \implies \text{singleton dfault } A = \text{dfault } ()$ 
  by (simp add: singleton-def)

```

```

lemma singleton-bot:
   $\text{singleton dfault } \perp = \text{dfault } ()$ 
  by (auto simp add: bot-pred-def intro: singleton-undefinedI)

```

```

lemma singleton-single:
   $\text{singleton dfault } (\text{single } x) = x$ 
  by (auto simp add: intro: singleton-eqI singleI elim: singleE)

```

```

lemma singleton-sup-single-single:
   $\text{singleton dfault } (\text{single } x \sqcup \text{single } y) = (\text{if } x = y \text{ then } x \text{ else dfault } ())$ 
proof (cases x = y)
  case True then show ?thesis by (simp add: singleton-single)
next
  case False
  have  $\text{eval } (\text{single } x \sqcup \text{single } y) \ x$ 
    and  $\text{eval } (\text{single } x \sqcup \text{single } y) \ y$ 
    by (auto intro: supI1 supI2 singleI)
  with False have  $\neg (\exists!z. \text{eval } (\text{single } x \sqcup \text{single } y) \ z)$ 
    by blast
  then have  $\text{singleton dfault } (\text{single } x \sqcup \text{single } y) = \text{dfault } ()$ 
    by (rule singleton-undefinedI)
  with False show ?thesis by simp
qed

```

```

lemma singleton-sup-aux:
   $\text{singleton dfault } (A \sqcup B) = (\text{if } A = \perp \text{ then singleton dfault } B$ 

```

```

    else if  $B = \perp$  then singleton dfault A
    else singleton dfault
      (single (singleton dfault A)  $\sqcup$  single (singleton dfault B)))
proof (cases ( $\exists!x. \text{eval } A \ x$ )  $\wedge$  ( $\exists!y. \text{eval } B \ y$ ))
  case True then show ?thesis by (simp add: single-singleton)
next
case False
from False have A-or-B:
  singleton dfault A = dfault ()  $\vee$  singleton dfault B = dfault ()
  by (auto intro!: singleton-undefinedI)
then have rhs: singleton dfault
  (single (singleton dfault A)  $\sqcup$  single (singleton dfault B)) = dfault ()
  by (auto simp add: singleton-sup-single-single singleton-single)
from False have not-unique:
   $\neg (\exists!x. \text{eval } A \ x) \vee \neg (\exists!y. \text{eval } B \ y)$  by simp
show ?thesis proof (cases  $A \neq \perp \wedge B \neq \perp$ )
  case True
  then obtain a b where a: eval A a and b: eval B b
  by (blast elim: not-bot)
  with True not-unique have  $\neg (\exists!x. \text{eval } (A \sqcup B) \ x)$ 
  by (auto simp add: sup-pred-def bot-pred-def)
  then have singleton dfault (A  $\sqcup$  B) = dfault () by (rule singleton-undefinedI)
  with True rhs show ?thesis by simp
next
case False then show ?thesis by auto
qed
qed

lemma singleton-sup:
  singleton dfault (A  $\sqcup$  B) = (if A =  $\perp$  then singleton dfault B
    else if B =  $\perp$  then singleton dfault A
    else if singleton dfault A = singleton dfault B then singleton dfault A else dfault
  ())
using singleton-sup-aux [of dfault A B] by (simp only: singleton-sup-single-single)

```

### 22.3.3 Derived operations

**definition** if-pred :: bool  $\Rightarrow$  unit pred **where**  
 if-pred-eq: if-pred b = (if b then single () else  $\perp$ )

**definition** holds :: unit pred  $\Rightarrow$  bool **where**  
 holds-eq: holds P = eval P ()

**definition** not-pred :: unit pred  $\Rightarrow$  unit pred **where**  
 not-pred-eq: not-pred P = (if eval P () then  $\perp$  else single ())

**lemma** if-predI:  $P \Longrightarrow \text{eval } (\text{if-pred } P) \ ()$   
**unfolding** if-pred-eq **by** (auto intro: singleI)

**lemma** *if-predE*: *eval* (*if-pred* *b*) *x*  $\implies$  (*b*  $\implies$  *x* = ()  $\implies$  *P*)  $\implies$  *P*  
**unfolding** *if-pred-eq* **by** (*cases* *b*) (*auto elim*: *botE*)

**lemma** *not-predI*:  $\neg$  *P*  $\implies$  *eval* (*not-pred* (*Pred* ( $\lambda u.$  *P*))) ()  
**unfolding** *not-pred-eq eval-pred* **by** (*auto intro*: *singleI*)

**lemma** *not-predI'*:  $\neg$  *eval* *P* ()  $\implies$  *eval* (*not-pred* *P*) ()  
**unfolding** *not-pred-eq* **by** (*auto intro*: *singleI*)

**lemma** *not-predE*: *eval* (*not-pred* (*Pred* ( $\lambda u.$  *P*))) *x*  $\implies$  ( $\neg$  *P*  $\implies$  *thesis*)  $\implies$  *thesis*  
**unfolding** *not-pred-eq*  
**by** (*auto split*: *split-if-asm elim*: *botE*)

**lemma** *not-predE'*: *eval* (*not-pred* *P*) *x*  $\implies$  ( $\neg$  *eval* *P* *x*  $\implies$  *thesis*)  $\implies$  *thesis*  
**unfolding** *not-pred-eq*  
**by** (*auto split*: *split-if-asm elim*: *botE*)  
**lemma** *f* () = *False*  $\vee$  *f* () = *True*  
**by** *simp*

**lemma** *closure-of-bool-cases*:  
**assumes** (*f* :: *unit*  $\Rightarrow$  *bool*) = (%*u.* *False*)  $\implies$  *P* *f*  
**assumes** *f* = (%*u.* *True*)  $\implies$  *P* *f*  
**shows** *P* *f*  
**proof** –  
  **have** *f* = (%*u.* *False*)  $\vee$  *f* = (%*u.* *True*)  
  **apply** (*cases* *f* ())  
  **apply** (*rule disjI2*)  
  **apply** (*rule ext*)  
  **apply** (*simp add*: *unit-eq*)  
  **apply** (*rule disjI1*)  
  **apply** (*rule ext*)  
  **apply** (*simp add*: *unit-eq*)  
  **done**  
**from** *this* *prems* **show** ?*thesis* **by** *blast*  
**qed**

**lemma** *unit-pred-cases*:  
**assumes** *P*  $\perp$   
**assumes** *P* (*single* ())  
**shows** *P* *Q*  
**using** *assms*  
**unfolding** *bot-pred-def Collect-def empty-def single-def*  
**apply** (*cases* *Q*)  
**apply** *simp*  
**apply** (*rule-tac* *f=fun* **in** *closure-of-bool-cases*)  
**apply** *auto*  
**apply** (*subgoal-tac* (%*x.* () = *x*) = (%*x.* *True*))  
**apply** *auto*



done

**lemma** *holds-if-pred*:

*holds (if-pred b) = b*

**unfolding** *if-pred-eq holds-eq*

**by** (*cases b*) (*auto intro: singleI elim: botE*)

**lemma** *if-pred-holds*:

*if-pred (holds P) = P*

**unfolding** *if-pred-eq holds-eq*

**by** (*rule unit-pred-cases*) (*auto intro: singleI elim: botE*)

**lemma** *is-empty-holds*:

*is-empty P  $\longleftrightarrow$   $\neg$  holds P*

**unfolding** *is-empty-def holds-eq*

**by** (*rule unit-pred-cases*) (*auto elim: botE intro: singleI*)

### 22.3.4 Implementation

**datatype** *'a seq* = *Empty* | *Insert 'a 'a pred* | *Join 'a pred 'a seq*

**primrec** *pred-of-seq* :: *'a seq  $\Rightarrow$  'a pred* **where**

*pred-of-seq Empty =  $\perp$*

| *pred-of-seq (Insert x P) = single x  $\sqcup$  P*

| *pred-of-seq (Join P xq) = P  $\sqcup$  pred-of-seq xq*

**definition** *Seq* :: (*unit  $\Rightarrow$  'a seq*)  $\Rightarrow$  *'a pred* **where**

*Seq f = pred-of-seq (f ())*

**code-datatype** *Seq*

**primrec** *member* :: *'a seq  $\Rightarrow$  'a  $\Rightarrow$  bool* **where**

*member Empty x  $\longleftrightarrow$  False*

| *member (Insert y P) x  $\longleftrightarrow$  x = y  $\vee$  eval P x*

| *member (Join P xq) x  $\longleftrightarrow$  eval P x  $\vee$  member xq x*

**lemma** *eval-member*:

*member xq = eval (pred-of-seq xq)*

**proof** (*induct xq*)

**case** *Empty* **show** *?case*

**by** (*auto simp add: expand-fun-eq elim: botE*)

**next**

**case** *Insert* **show** *?case*

**by** (*auto simp add: expand-fun-eq elim: supE singleE intro: supI1 supI2 singleI*)

**next**

**case** *Join* **then show** *?case*

**by** (*auto simp add: expand-fun-eq elim: supE intro: supI1 supI2*)

**qed**

**lemma** *eval-code* [code]: *eval* (*Seq* *f*) = *member* (*f* ())  
**unfolding** *Seq-def* **by** (*rule sym*, *rule eval-member*)

**lemma** *single-code* [code]:  
*single* *x* = *Seq* ( $\lambda u.$  *Insert* *x*  $\perp$ )  
**unfolding** *Seq-def* **by** *simp*

**primrec** *apply* :: (*'a*  $\Rightarrow$  *'b* *Predicate.pred*)  $\Rightarrow$  *'a seq*  $\Rightarrow$  *'b seq* **where**  
*apply* *f* *Empty* = *Empty*  
| *apply* *f* (*Insert* *x* *P*) = *Join* (*f* *x*) (*Join* (*P*  $\gg$  *f*) *Empty*)  
| *apply* *f* (*Join* *P* *xq*) = *Join* (*P*  $\gg$  *f*) (*apply* *f* *xq*)

**lemma** *apply-bind*:  
*pred-of-seq* (*apply* *f* *xq*) = *pred-of-seq* *xq*  $\gg$  *f*  
**proof** (*induct* *xq*)  
**case** *Empty* **show** ?*case*  
**by** (*simp* *add*: *bottom-bind*)  
**next**  
**case** *Insert* **show** ?*case*  
**by** (*simp* *add*: *single-bind* *sup-bind*)  
**next**  
**case** *Join* **then show** ?*case*  
**by** (*simp* *add*: *sup-bind*)  
**qed**

**lemma** *bind-code* [code]:  
*Seq* *g*  $\gg$  *f* = *Seq* ( $\lambda u.$  *apply* *f* (*g* ()))  
**unfolding** *Seq-def* **by** (*rule sym*, *rule apply-bind*)

**lemma** *bot-set-code* [code]:  
 $\perp$  = *Seq* ( $\lambda u.$  *Empty*)  
**unfolding** *Seq-def* **by** *simp*

**primrec** *adjunct* :: *'a pred*  $\Rightarrow$  *'a seq*  $\Rightarrow$  *'a seq* **where**  
*adjunct* *P* *Empty* = *Join* *P* *Empty*  
| *adjunct* *P* (*Insert* *x* *Q*) = *Insert* *x* (*Q*  $\sqcup$  *P*)  
| *adjunct* *P* (*Join* *Q* *xq*) = *Join* *Q* (*adjunct* *P* *xq*)

**lemma** *adjunct-sup*:  
*pred-of-seq* (*adjunct* *P* *xq*) = *P*  $\sqcup$  *pred-of-seq* *xq*  
**by** (*induct* *xq*) (*simp-all* *add*: *sup-assoc* *sup-commute* *sup-left-commute*)

**lemma** *sup-code* [code]:  
*Seq* *f*  $\sqcup$  *Seq* *g* = *Seq* ( $\lambda u.$  *case* *f* ())  
of *Empty*  $\Rightarrow$  *g* ()  
| *Insert* *x* *P*  $\Rightarrow$  *Insert* *x* (*P*  $\sqcup$  *Seq* *g*)  
| *Join* *P* *xq*  $\Rightarrow$  *adjunct* (*Seq* *g*) (*Join* *P* *xq*)  
**proof** (*cases* *f* ())  
**case** *Empty*

```

thus ?thesis
  unfolding Seq-def by (simp add: sup-commute [of  $\perp$ ])
next
  case Insert
  thus ?thesis
    unfolding Seq-def by (simp add: sup-assoc)
next
  case Join
  thus ?thesis
    unfolding Seq-def
    by (simp add: adjunct-sup sup-assoc sup-commute sup-left-commute)
qed

```

```

primrec contained :: 'a seq  $\Rightarrow$  'a pred  $\Rightarrow$  bool where
  contained Empty Q  $\longleftrightarrow$  True
| contained (Insert x P) Q  $\longleftrightarrow$  eval Q x  $\wedge$  P  $\leq$  Q
| contained (Join P xq) Q  $\longleftrightarrow$  P  $\leq$  Q  $\wedge$  contained xq Q

```

```

lemma single-less-eq-eval:
  single x  $\leq$  P  $\longleftrightarrow$  eval P x
by (auto simp add: single-def less-eq-pred-def mem-def)

```

```

lemma contained-less-eq:
  contained xq Q  $\longleftrightarrow$  pred-of-seq xq  $\leq$  Q
by (induct xq) (simp-all add: single-less-eq-eval)

```

```

lemma less-eq-pred-code [code]:
  Seq f  $\leq$  Q = (case f ()
  of Empty  $\Rightarrow$  True
  | Insert x P  $\Rightarrow$  eval Q x  $\wedge$  P  $\leq$  Q
  | Join P xq  $\Rightarrow$  P  $\leq$  Q  $\wedge$  contained xq Q)
by (cases f ())
  (simp-all add: Seq-def single-less-eq-eval contained-less-eq)

```

```

lemma eq-pred-code [code]:
  fixes P Q :: 'a pred
  shows eq-class.eq P Q  $\longleftrightarrow$  P  $\leq$  Q  $\wedge$  Q  $\leq$  P
  unfolding eq by auto

```

```

lemma [code]:
  pred-case f P = f (eval P)
by (cases P) simp

```

```

lemma [code]:
  pred-rec f P = f (eval P)
by (cases P) simp

```

```

inductive eq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool where eq x x

```

**lemma** *eq-is-eq*:  $eq\ x\ y \equiv (x = y)$   
**by** (*rule eq-reflection*) (*auto intro: eq.intros elim: eq.cases*)

**definition** *map* ::  $('a \Rightarrow 'b) \Rightarrow 'a\ pred \Rightarrow 'b\ pred$  **where**  
*map* *f* *P* = *P*  $\gg$  (*single o f*)

**primrec** *null* ::  $'a\ seq \Rightarrow bool$  **where**  
*null* *Empty*  $\longleftrightarrow True$   
| *null* (*Insert* *x* *P*)  $\longleftrightarrow False$   
| *null* (*Join* *P* *xq*)  $\longleftrightarrow is\_empty\ P \wedge null\ xq$

**lemma** *null-is-empty*:  
*null* *xq*  $\longleftrightarrow is\_empty\ (pred\_of\_seq\ xq)$   
**by** (*induct* *xq*) (*simp* *add: is-empty-bot not-is-empty-single is-empty-sup*)

**lemma** *is-empty-code* [*code*]:  
*is-empty* (*Seq* *f*)  $\longleftrightarrow null\ (f\ ())$   
**by** (*simp* *add: null-is-empty Seq-def*)

**primrec** *the-only* ::  $(unit \Rightarrow 'a) \Rightarrow 'a\ seq \Rightarrow 'a$  **where**  
[*code del*]: *the-only* *dfault* *Empty* = *dfault* ()  
| *the-only* *dfault* (*Insert* *x* *P*) = (*if is-empty* *P* *then* *x* *else* *let* *y* = *singleton* *dfault* *P* *in* *if* *x* = *y* *then* *x* *else* *dfault* ())  
| *the-only* *dfault* (*Join* *P* *xq*) = (*if is-empty* *P* *then* *the-only* *dfault* *xq* *else* *if* *null* *xq* *then* *singleton* *dfault* *P* *else* *let* *x* = *singleton* *dfault* *P*; *y* = *the-only* *dfault* *xq* *in* *if* *x* = *y* *then* *x* *else* *dfault* ())

**lemma** *the-only-singleton*:  
*the-only* *dfault* *xq* = *singleton* *dfault* (*pred-of-seq* *xq*)  
**by** (*induct* *xq*)  
(*auto simp* *add: singleton-bot singleton-single is-empty-def*  
*null-is-empty Let-def singleton-sup*)

**lemma** *singleton-code* [*code*]:  
*singleton* *dfault* (*Seq* *f*) = (*case* *f* ())  
*of* *Empty*  $\Rightarrow$  *dfault* ()  
| *Insert* *x* *P*  $\Rightarrow$  *if is-empty* *P* *then* *x*  
*else* *let* *y* = *singleton* *dfault* *P* *in*  
*if* *x* = *y* *then* *x* *else* *dfault* ()  
| *Join* *P* *xq*  $\Rightarrow$  *if is-empty* *P* *then* *the-only* *dfault* *xq*  
*else* *if* *null* *xq* *then* *singleton* *dfault* *P*  
*else* *let* *x* = *singleton* *dfault* *P*; *y* = *the-only* *dfault* *xq* *in*  
*if* *x* = *y* *then* *x* *else* *dfault* ()  
**by** (*cases* *f* ())  
(*auto simp* *add: Seq-def the-only-singleton is-empty-def*  
*null-is-empty singleton-bot singleton-single singleton-sup Let-def*)

**definition** *not-unique* ::  $'a\ pred \Rightarrow 'a$

**where**

[code del]: *not-unique*  $A = (THE\ x.\ eval\ A\ x)$

**definition** *the* :: 'a *pred* => 'a

**where**

[code del]: *the*  $A = (THE\ x.\ eval\ A\ x)$

**lemma** *the-eq*[code]: *the*  $A = singleton\ (\lambda x.\ not\_unique\ A)\ A$

**by** (*auto simp add: the-def singleton-def not-unique-def*)

**code-abort** *not-unique*

**code-reflect** *Predicate*

**datatypes** *pred* = *Seq* **and** *seq* = *Empty* | *Insert* | *Join*

**functions** *map*

**ML** <<

*signature* *PREDICATE* =

*sig*

*datatype* 'a *pred* = *Seq* of (*unit* -> 'a *seq*)

*and* 'a *seq* = *Empty* | *Insert* of 'a \* 'a *pred* | *Join* of 'a *pred* \* 'a *seq*

*val* *yield*: 'a *pred* -> ('a \* 'a *pred*) *option*

*val* *yieldn*: int -> 'a *pred* -> 'a list \* 'a *pred*

*val* *map*: ('a -> 'b) -> 'a *pred* -> 'b *pred*

*end*;

*structure* *Predicate* : *PREDICATE* =

*struct*

*datatype* *pred* = *datatype* *Predicate.pred*

*datatype* *seq* = *datatype* *Predicate.seq*

*fun* *map* *f* = *Predicate.map* *f*;

*fun* *yield* (*Seq* *f*) = *next* (*f* ())

*and* *next* *Empty* = *NONE*

| *next* (*Insert* (*x*, *P*)) = *SOME* (*x*, *P*)

| *next* (*Join* (*P*, *xq*)) = (case *yield* *P*

of *NONE* => *next* *xq*

| *SOME* (*x*, *Q*) => *SOME* (*x*, *Seq* (*fn* - => *Join* (*Q*, *xq*))));

*fun* *anamorph* *f* *k* *x* = (if *k* = 0 then ([], *x*)

else case *f* *x*

of *NONE* => ([], *x*)

| *SOME* (*v*, *y*) => let

*val* (*vs*, *z*) = *anamorph* *f* (*k* - 1) *y*

in (*v* :: *vs*, *z*) *end*);

*fun* *yieldn* *P* = *anamorph* *yield* *P*;

end;  
 >>

**no-notation**

*inf* (**infixl**  $\sqcap$  70) **and**  
*sup* (**infixl**  $\sqcup$  65) **and**  
*Inf* ( $\sqcap$  - [900] 900) **and**  
*Sup* ( $\sqcup$  - [900] 900) **and**  
*top* ( $\top$ ) **and**  
*bot* ( $\perp$ ) **and**  
*bind* (**infixl**  $\gg=$  70)

**hide-type** (**open**) *pred seq*

**hide-const** (**open**) *Pred eval single bind is-empty singleton if-pred not-pred holds*  
*Empty Insert Join Seq member pred-of-seq apply adjunct null the-only eq map*  
*not-unique the*

**end**

## 23 Transitive-Closure: Reflexive and Transitive closure of a relation

**theory** *Transitive-Closure*

**imports** *Predicate*

**uses**  $\sim\sim$ /src/Provers/trancl.ML

**begin**

*rtrancl* is reflexive/transitive closure, *trancl* is transitive closure, *reflcl* is reflexive closure.

These postfix operators have *maximum priority*, forcing their operands to be atomic.

**inductive-set**

*rtrancl* :: (*'a*  $\times$  *'a*) *set*  $\Rightarrow$  (*'a*  $\times$  *'a*) *set*  $((-\hat{*})$  [1000] 999)

**for** *r* :: (*'a*  $\times$  *'a*) *set*

**where**

*rtrancl-refl* [*intro!*, *Pure.intro!*, *simp*]: (*a*, *a*) : *r* $\hat{*}$

| *rtrancl-into-rtrancl* [*Pure.intro*]: (*a*, *b*) : *r* $\hat{*}$   $\Rightarrow$  (*b*, *c*) : *r*  $\Rightarrow$  (*a*, *c*) : *r* $\hat{*}$

**inductive-set**

*trancl* :: (*'a*  $\times$  *'a*) *set*  $\Rightarrow$  (*'a*  $\times$  *'a*) *set*  $((-\hat{+})$  [1000] 999)

**for** *r* :: (*'a*  $\times$  *'a*) *set*

**where**

*r-into-trancl* [*intro*, *Pure.intro*]: (*a*, *b*) : *r*  $\Rightarrow$  (*a*, *b*) : *r* $\hat{+}$

| *trancl-into-trancl* [*Pure.intro*]: (*a*, *b*) : *r* $\hat{+}$   $\Rightarrow$  (*b*, *c*) : *r*  $\Rightarrow$  (*a*, *c*) : *r* $\hat{+}$

**declare** *rtrancl-def* [*nitpick-def del*]

*rtranclp-def* [nitpick-def del]  
*trancl-def* [nitpick-def del]  
*tranclp-def* [nitpick-def del]

**notation**

*rtranclp*  $((-^{**}) [1000] 1000)$  **and**  
*tranclp*  $((-^{++}) [1000] 1000)$

**abbreviation**

*reflclp*  $:: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool} \quad ((-^{\hat{=}}) [1000] 1000)$

**where**

$r^{\hat{=}} == \sup r \text{ op} =$

**abbreviation**

*reflcl*  $:: ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set} \quad ((-^{\hat{=}}) [1000] 999)$  **where**  
 $r^{\hat{=}} == r \cup Id$

**notation** (*xsymbols*)

*rtranclp*  $((-^{**}) [1000] 1000)$  **and**  
*tranclp*  $((-^{++}) [1000] 1000)$  **and**  
*reflclp*  $((-^{\hat{=}}) [1000] 1000)$  **and**  
*rtrancl*  $((-^{*}) [1000] 999)$  **and**  
*trancl*  $((-^{+}) [1000] 999)$  **and**  
*reflcl*  $((-^{=}) [1000] 999)$

**notation** (*HTML output*)

*rtranclp*  $((-^{**}) [1000] 1000)$  **and**  
*tranclp*  $((-^{++}) [1000] 1000)$  **and**  
*reflclp*  $((-^{\hat{=}}) [1000] 1000)$  **and**  
*rtrancl*  $((-^{*}) [1000] 999)$  **and**  
*trancl*  $((-^{+}) [1000] 999)$  **and**  
*reflcl*  $((-^{=}) [1000] 999)$

**23.1 Reflexive closure**

**lemma** *refl-reflcl[simp]*:  $\text{refl}(r^{\hat{=}})$   
**by**(*simp add:refl-on-def*)

**lemma** *antisym-reflcl[simp]*:  $\text{antisym}(r^{\hat{=}}) = \text{antisym } r$   
**by**(*simp add:antisym-def*)

**lemma** *trans-reflclI[simp]*:  $\text{trans } r \Longrightarrow \text{trans}(r^{\hat{=}})$   
**unfolding** *trans-def* **by** *blast*

**23.2 Reflexive-transitive closure**

**lemma** *reflcl-set-eq* [*pred-set-conv*]:  $(\sup (\lambda x y. (x, y) \in r) \text{ op } =) = (\lambda x y. (x, y) \in r \cup Id)$   
**by** (*auto simp add: expand-fun-eq*)

**lemma** *r-into-rtrancl* [intro]:  $!!p. p \in r \implies p \in r^*$   
 — *rtrancl* of *r* contains *r*  
**apply** (*simp only: split-tupled-all*)  
**apply** (*erule rtrancl-refl [THEN rtrancl-into-rtrancl]*)  
**done**

**lemma** *r-into-rtranclp* [intro]:  $r\ x\ y \implies r^{**}\ x\ y$   
 — *rtrancl* of *r* contains *r*  
**by** (*erule rtranclp.rtrancl-refl [THEN rtranclp.rtrancl-into-rtrancl]*)

**lemma** *rtranclp-mono*:  $r \leq s \implies r^{**} \leq s^{**}$   
 — monotonicity of *rtrancl*  
**apply** (*rule predicate2I*)  
**apply** (*erule rtranclp.induct*)  
**apply** (*rule-tac [2] rtranclp.rtrancl-into-rtrancl, blast+*)  
**done**

**lemmas** *rtrancl-mono* = *rtranclp-mono* [to-set]

**theorem** *rtranclp-induct* [consumes 1, case-names *base step*, induct set: *rtranclp*]:  
**assumes** *a*:  $r^{**}\ a\ b$   
**and cases**:  $P\ a\ !!y\ z. [\ [r^{**}\ a\ y; r\ y\ z; P\ y\ ] \implies P\ z$   
**shows**  $P\ b$  **using** *a*  
**by** (*induct x $\equiv$ a b*) (*rule cases*)+

**lemmas** *rtrancl-induct* [induct set: *rtrancl*] = *rtranclp-induct* [to-set]

**lemmas** *rtranclp-induct2* =  
*rtranclp-induct*[of - (*ax,ay*) (*bx,by*), *split-rule*,  
 consumes 1, case-names *refl step*]

**lemmas** *rtrancl-induct2* =  
*rtrancl-induct*[of (*ax,ay*) (*bx,by*), *split-format* (*complete*),  
 consumes 1, case-names *refl step*]

**lemma** *refl-rtrancl*: *refl* ( $r^*$ )  
**by** (*unfold refl-on-def*) *fast*

Transitivity of transitive closure.

**lemma** *trans-rtrancl*: *trans* ( $r^*$ )  
**proof** (*rule transI*)  
**fix** *x y z*  
**assume**  $(x, y) \in r^*$   
**assume**  $(y, z) \in r^*$   
**then show**  $(x, z) \in r^*$   
**proof** *induct*  
**case** *base*  
**show**  $(x, y) \in r^*$  **by** *fact*  
**next**



```

    case (step u v)
    from  $\langle (x, u) \in r^* \rangle$  and  $\langle (u, v) \in r \rangle$ 
    show  $\langle (x, v) \in r^* \rangle$  ..
qed
qed

```

lemmas *rtrancl-trans* = *trans-rtrancl* [THEN *transD*, *standard*]

```

lemma rtranclp-trans:
  assumes  $xy: r^{**} x y$ 
  and  $yz: r^{**} y z$ 
  shows  $r^{**} x z$  using yz xy
  by induct iprover+

```

```

lemma rtranclE [cases set: rtrancl]:
  assumes major:  $(a::'a, b) : r^*$ 
  obtains
    (base)  $a = b$ 
  | (step)  $y$  where  $(a, y) : r^*$  and  $(y, b) : r$ 
  — elimination of rtrancl – by induction on a special formula
  apply (subgoal-tac ( $a::'a = b$  |  $(EX y. (a, y) : r^* \ \& \ (y, b) : r)$ ))
  apply (rule-tac [2] major [THEN rtrancl-induct])
  prefer 2 apply blast
  prefer 2 apply blast
  apply (erule asm-rl exE disjE conjE base step) +
  done

```

```

lemma rtrancl-Int-subset: [ $Id \subseteq s; (r^* \cap s) \subseteq r \subseteq s$ ] ==>  $r^* \subseteq s$ 
  apply (rule subsetI)
  apply (rule-tac  $p=x$  in PairE, clarify)
  apply (erule rtrancl-induct, auto)
  done

```

```

lemma converse-rtranclp-into-rtranclp:
   $r a b \implies r^{**} b c \implies r^{**} a c$ 
  by (rule rtranclp-trans) iprover+

```

lemmas *converse-rtrancl-into-rtrancl* = *converse-rtranclp-into-rtranclp* [to-set]

More  $r^*$  equations and inclusions.

```

lemma rtranclp-idemp [simp]:  $(r^{**})^{**} = r^{**}$ 
  apply (auto intro!: order-antisym)
  apply (erule rtranclp-induct)
  apply (rule rtranclp.rtrancl-refl)
  apply (blast intro: rtranclp-trans)
  done

```

lemmas *rtrancl-idemp* [simp] = *rtranclp-idemp* [to-set]

```

lemma rtrancl-idemp-self-comp [simp]:  $R^{\wedge*} \circ R^{\wedge*} = R^{\wedge*}$ 
  apply (rule set-ext)
  apply (simp only: split-tupled-all)
  apply (blast intro: rtrancl-trans)
  done

```

```

lemma rtrancl-subset-rtrancl:  $r \subseteq s^{\wedge*} \implies r^{\wedge*} \subseteq s^{\wedge*}$ 
  apply (drule rtrancl-mono)
  apply simp
  done

```

```

lemma rtranclp-subset:  $R \leq S \implies S \leq R^{\wedge**} \implies S^{\wedge**} = R^{\wedge**}$ 
  apply (drule rtranclp-mono)
  apply (drule rtranclp-mono)
  apply simp
  done

```

```

lemmas rtrancl-subset = rtranclp-subset [to-set]

```

```

lemma rtranclp-sup-rtranclp:  $(\sup (R^{\wedge**}) (S^{\wedge**}))^{\wedge**} = (\sup R S)^{\wedge**}$ 
  by (blast intro!: rtranclp-subset intro: rtranclp-mono [THEN predicate2D])

```

```

lemmas rtrancl-Un-rtrancl = rtranclp-sup-rtranclp [to-set]

```

```

lemma rtranclp-reflcl [simp]:  $(R^{\wedge==})^{\wedge**} = R^{\wedge**}$ 
  by (blast intro!: rtranclp-subset)

```

```

lemmas rtrancl-reflcl [simp] = rtranclp-reflcl [to-set]

```

```

lemma rtrancl-r-diff-Id:  $(r - Id)^{\wedge*} = r^{\wedge*}$ 
  apply (rule sym)
  apply (rule rtrancl-subset, blast, clarify)
  apply (rename-tac a b)
  apply (case-tac a = b)
  apply blast
  apply (blast intro!: r-into-rtrancl)
  done

```

```

lemma rtranclp-r-diff-Id:  $(\inf r \text{ op } \sim)^{\wedge**} = r^{\wedge**}$ 
  apply (rule sym)
  apply (rule rtranclp-subset)
  apply blast+
  done

```

```

theorem rtranclp-converseD:
  assumes  $r: (r^{\wedge--1})^{\wedge**} x y$ 
  shows  $r^{\wedge**} y x$ 
proof –
  from  $r$  show ?thesis

```

by *induct* (*iprover* *intro*: *rtranclp-trans* *dest*!: *conversepD*) +  
qed

lemmas *rtrancl-converseD* = *rtranclp-converseD* [*to-set*]

**theorem** *rtranclp-converseI*:

assumes  $r^{**} y x$

shows  $(r^{--1})^{**} x y$

using *assms*

by *induct* (*iprover* *intro*: *rtranclp-trans* *conversepI*) +

lemmas *rtrancl-converseI* = *rtranclp-converseI* [*to-set*]

**lemma** *rtrancl-converse*:  $(r^{--1})^* = (r^*)^{--1}$

by (*fast* *dest*!: *rtrancl-converseD* *intro*!: *rtrancl-converseI*)

**lemma** *sym-rtrancl*:  $\text{sym } r \implies \text{sym } (r^*)$

by (*simp* *only*: *sym-conv-converse-eq* *rtrancl-converse* [*symmetric*])

**theorem** *converse-rtranclp-induct* [*consumes 1, case-names base step*]:

assumes *major*:  $r^{**} a b$

and *cases*:  $P b !!y z. [r y z; r^{**} z b; P z] \implies P y$

shows  $P a$

using *rtranclp-converseI* [*OF major*]

by *induct* (*iprover* *intro*: *cases* *dest*!: *conversepD* *rtranclp-converseD*) +

lemmas *converse-rtrancl-induct* = *converse-rtranclp-induct* [*to-set*]

lemmas *converse-rtranclp-induct2* =

*converse-rtranclp-induct* [*of* - (*ax,ay*) (*bx,by*), *split-rule*,  
*consumes 1, case-names refl step*]

lemmas *converse-rtrancl-induct2* =

*converse-rtrancl-induct* [*of* (*ax,ay*) (*bx,by*), *split-format* (*complete*),  
*consumes 1, case-names refl step*]

**lemma** *converse-rtranclpE* [*consumes 1, case-names base step*]:

assumes *major*:  $r^{**} x z$

and *cases*:  $x = z \implies P$

!!*y*.  $[r x y; r^{**} y z] \implies P$

shows  $P$

apply (*subgoal-tac*  $x = z \mid (EX y. r x y \ \& \ r^{**} y z)$ )

apply (*rule-tac* [2] *major* [*THEN* *converse-rtranclp-induct*])

prefer 2 apply *iprover*

prefer 2 apply *iprover*

apply (*erule* *asm-rl* *exE* *disjE* *conjE* *cases*) +

done

lemmas *converse-rtranclE* = *converse-rtranclpE* [*to-set*]

**lemmas** *converse-rtranclpE2* = *converse-rtranclpE* [*of* - (*xa,xb*) (*za,zb*), *split-rule*]

**lemmas** *converse-rtranclE2* = *converse-rtranclE* [*of* (*xa,xb*) (*za,zb*), *split-rule*]

**lemma** *r-comp-rtrancl-eq*:  $r \circ r^* = r^* \circ r$

**by** (*blast elim: rtranclE converse-rtranclE*  
*intro: rtrancl-into-rtrancl converse-rtrancl-into-rtrancl*)

**lemma** *rtrancl-unfold*:  $r^* = Id \cup r^* \circ r$

**by** (*auto intro: rtrancl-into-rtrancl elim: rtranclE*)

**lemma** *rtrancl-Un-separatorE*:

(*a,b*) :  $(P \cup Q)^* \implies \forall x y. (a,x) : P^* \longrightarrow (x,y) : Q \longrightarrow x=y \implies (a,b) : P^*$   
**apply** (*induct rule:rtrancl.induct*)  
**apply** *blast*  
**apply** (*blast intro:rtrancl-trans*)  
**done**

**lemma** *rtrancl-Un-separator-converseE*:

(*a,b*) :  $(P \cup Q)^* \implies \forall x y. (x,b) : P^* \longrightarrow (y,x) : Q \longrightarrow y=x \implies (a,b) : P^*$   
**apply** (*induct rule:converse-rtrancl-induct*)  
**apply** *blast*  
**apply** (*blast intro:rtrancl-trans*)  
**done**

**lemma** *Image-closed-trancl*:

**assumes**  $r \text{ “ } X \subseteq X \text{ shows } r^* \text{ “ } X = X$   
**proof** –  
**from** *assms* **have** \*\*:  $\{y. \exists x \in X. (x, y) \in r\} \subseteq X$  **by** *auto*  
**have**  $\bigwedge x y. (y, x) \in r^* \implies y \in X \implies x \in X$   
**proof** –  
**fix** *x y*  
**assume** \*:  $y \in X$   
**assume**  $(y, x) \in r^*$   
**then show**  $x \in X$   
**proof** *induct*  
**case** *base* **show** ?*case* **by** (*fact* \*)  
**next**  
**case** *step* **with** \*\* **show** ?*case* **by** *auto*  
**qed**  
**qed**  
**then show** ?*thesis* **by** *auto*  
**qed**

### 23.3 Transitive closure

**lemma** *trancl-mono*:  $!!p. p \in r^+ \implies r \subseteq s \implies p \in s^+$

**apply** (*simp add: split-tupled-all*)

```

apply (erule trancl.induct)
apply (iprover dest: subsetD)+
done

```

```

lemma r-into-trancl': !!p. p : r ==> p : r^+
by (simp only: split-tupled-all) (erule r-into-trancl)

```

Conversions between *trancl* and *rtrancl*.

```

lemma tranclp-into-rtranclp: r^++ a b ==> r^** a b
by (erule tranclp.induct) iprover+

```

```

lemmas trancl-into-rtrancl = tranclp-into-rtranclp [to-set]

```

```

lemma rtranclp-into-tranclp1: assumes r: r^** a b
shows !!c. r b c ==> r^++ a c using r
by induct iprover+

```

```

lemmas rtrancl-into-trancl1 = rtranclp-into-tranclp1 [to-set]

```

```

lemma rtranclp-into-tranclp2: [| r a b; r^** b c |] ==> r^++ a c
  — intro rule from r and rtrancl
apply (erule rtranclp.cases)
apply iprover
apply (rule rtranclp-trans [THEN rtranclp-into-tranclp1])
apply (simp | rule r-into-rtranclp)+
done

```

```

lemmas rtrancl-into-trancl2 = rtranclp-into-tranclp2 [to-set]

```

Nice induction rule for *trancl*

```

lemma tranclp-induct [consumes 1, case-names base step, induct pred: tranclp]:
assumes a: r^++ a b
and cases: !!y. r a y ==> P y
  !!y z. r^++ a y ==> r y z ==> P y ==> P z
shows P b using a
by (induct x≡a b) (iprover intro: cases)+

```

```

lemmas trancl-induct [induct set: trancl] = tranclp-induct [to-set]

```

```

lemmas tranclp-induct2 =
  tranclp-induct [of - (ax,ay) (bx,by), split-rule,
    consumes 1, case-names base step]

```

```

lemmas trancl-induct2 =
  trancl-induct [of (ax,ay) (bx,by), split-format (complete),
    consumes 1, case-names base step]

```

```

lemma tranclp-trans-induct:
assumes major: r^++ x y

```

**and cases:**  $!!x\ y.\ r\ x\ y \implies P\ x\ y$   
 $!!x\ y\ z.\ [| \ r^++\ x\ y;\ P\ x\ y;\ r^++\ y\ z;\ P\ y\ z\ |] \implies P\ x\ z$   
**shows**  $P\ x\ y$   
— Another induction rule for *tranc1*, incorporating transitivity  
**by** (*iprover intro: major [THEN tranc1p-induct] cases*)

**lemmas** *tranc1-trans-induct* = *tranc1p-trans-induct* [*to-set*]

**lemma** *tranc1E* [*cases set: tranc1*]:  
**assumes**  $(a, b) : r^+$   
**obtains**  
  (*base*)  $(a, b) : r$   
  | (*step*)  $c$  **where**  $(a, c) : r^+$  **and**  $(c, b) : r$   
**using** *assms* **by** *cases simp-all*

**lemma** *tranc1-Int-subset*:  $[| \ r \subseteq s;\ (r^+ \cap s) \ O\ r \subseteq s\ |] \implies r^+ \subseteq s$   
**apply** (*rule subsetI*)  
**apply** (*rule-tac p = x in PairE*)  
**apply** *clarify*  
**apply** (*erule tranc1-induct*)  
**apply** *auto*  
**done**

**lemma** *tranc1-unfold*:  $r^+ = r \ Un\ r^+ \ O\ r$   
**by** (*auto intro: tranc1-into-tranc1 elim: tranc1E*)

Transitivity of  $r^+$

**lemma** *trans-tranc1* [*simp*]: *trans*  $(r^+)$   
**proof** (*rule transI*)  
  **fix**  $x\ y\ z$   
  **assume**  $(x, y) \in r^+$   
  **assume**  $(y, z) \in r^+$   
  **then show**  $(x, z) \in r^+$   
  **proof** *induct*  
    **case** (*base u*)  
    **from**  $\langle (x, y) \in r^+ \rangle$  **and**  $\langle (y, u) \in r \rangle$   
    **show**  $(x, u) \in r^+ \ ..$   
  **next**  
    **case** (*step u v*)  
    **from**  $\langle (x, u) \in r^+ \rangle$  **and**  $\langle (u, v) \in r \rangle$   
    **show**  $(x, v) \in r^+ \ ..$   
  **qed**  
**qed**

**lemmas** *tranc1-trans* = *trans-tranc1* [*THEN transD, standard*]

**lemma** *tranc1p-trans*:  
**assumes**  $xy: r^++\ x\ y$   
**and**  $yz: r^++\ y\ z$

```

shows  $r^{++} x z$  using  $yz xy$ 
by induct iprover +

lemma trancl-id [simp]:  $\text{trans } r \implies r^+ = r$ 
  apply auto
  apply (erule trancl-induct)
  apply assumption
  apply (unfold trans-def)
  apply blast
  done

lemma rtranclp-tranclp-tranclp:
  assumes  $r^{**} x y$ 
  shows  $!!z. r^{++} y z \implies r^{++} x z$  using assms
  by induct (iprover intro: tranclp-trans) +

lemmas rtrancl-trancl-trancl = rtranclp-tranclp-tranclp [to-set]

lemma tranclp-into-tranclp2:  $r a b \implies r^{++} b c \implies r^{++} a c$ 
  by (erule tranclp-trans [OF tranclp.r-into-trancl])

lemmas trancl-into-trancl2 = tranclp-into-tranclp2 [to-set]

lemma trancl-insert:
   $(\text{insert } (y, x) r)^+ = r^+ \cup \{(a, b). (a, y) \in r^* \wedge (x, b) \in r^*\}$ 
  — primitive recursion for trancl over finite relations
  apply (rule equalityI)
  apply (rule subsetI)
  apply (simp only: split-tupled-all)
  apply (erule trancl-induct, blast)
  apply (blast intro: rtrancl-into-trancl1 trancl-into-rtrancl trancl-trans)
  apply (rule subsetI)
  apply (blast intro: trancl-mono rtrancl-mono
    [THEN [2] rev-subsetD] rtrancl-trancl-trancl rtrancl-into-trancl2)
  done

lemma tranclp-converseI:  $(r^{++})^{--1} x y \implies (r^{--1})^{++} x y$ 
  apply (drule conversepD)
  apply (erule tranclp-induct)
  apply (iprover intro: conversepI tranclp-trans) +
  done

lemmas trancl-converseI = tranclp-converseI [to-set]

lemma tranclp-converseD:  $(r^{--1})^{++} x y \implies (r^{++})^{--1} x y$ 
  apply (rule conversepI)
  apply (erule tranclp-induct)
  apply (iprover dest: conversepD intro: tranclp-trans) +
  done

```

```

lemmas trancl-converseD = tranclp-converseD [to-set]

lemma tranclp-converse:  $(r^{--1})^{++} = (r^{++})^{--1}$ 
  by (fastsimp simp add: expand-fun-eq
    intro!: tranclp-converseI dest!: tranclp-converseD)

lemmas trancl-converse = tranclp-converse [to-set]

lemma sym-trancl:  $\text{sym } r \implies \text{sym } (r^+)$ 
  by (simp only: sym-conv-converse-eq trancl-converse [symmetric])

lemma converse-trancl-induct [consumes 1, case-names base step]:
  assumes major:  $r^{++} a b$ 
  and cases:  $\forall y. r y b \implies P(y)$ 
     $\forall y z. [r y z; r^{++} z b; P(z)] \implies P(y)$ 
  shows  $P a$ 
  apply (rule tranclp-induct [OF tranclp-converseI, OF conversepI, OF major])
  apply (rule cases)
  apply (erule conversepD)
  apply (blast intro: assms dest!: tranclp-converseD)
  done

lemmas converse-trancl-induct = converse-tranclp-induct [to-set]

lemma tranclpD:  $R^{++} x y \implies \exists z. R x z \wedge R^{**} z y$ 
  apply (erule converse-tranclp-induct)
  apply auto
  apply (blast intro: rtranclp-trans)
  done

lemmas tranclD = tranclpD [to-set]

lemma converse-tranclpE:
  assumes major: tranclp  $r x z$ 
  assumes base:  $r x z \implies P$ 
  assumes step:  $\bigwedge y. [r x y; \text{tranclp } r y z] \implies P$ 
  shows  $P$ 
proof –
  from tranclpD [OF major]
  obtain  $y$  where  $r x y$  and  $r\text{tranclp } r y z$  by iprover
  from this(2) show  $P$ 
  proof (cases rule: rtranclp.cases)
    case rtrancl-refl
    with  $\langle r x y \rangle$  base show  $P$  by iprover
  next
    case rtrancl-into-rtrancl
    from this have tranclp  $r y z$ 
    by (iprover intro: rtranclp-into-tranclp1)

```



```

    with ⟨r x y⟩ step show P by iprover
  qed
qed

lemmas converse-tranclE = converse-tranclpE [to-set]

lemma tranclD2:
  (x, y) ∈ R+ ⇒ ∃ z. (x, z) ∈ R* ∧ (z, y) ∈ R
  by (blast elim: tranclE intro: trancl-into-rtrancl)

lemma irrefl-tranclI: r+-1 ∩ r* = {} ==> (x, x) ∉ r+
  by (blast elim: tranclE dest: trancl-into-rtrancl)

lemma irrefl-trancl-rD: !!X. ALL x. (x, x) ∉ r+ ==> (x, y) ∈ r ==> x ≠ y
  by (blast dest: r-into-trancl)

lemma trancl-subset-Sigma-aux:
  (a, b) ∈ r* ==> r ⊆ A × A ==> a = b ∨ a ∈ A
  by (induct rule: rtrancl-induct) auto

lemma trancl-subset-Sigma: r ⊆ A × A ==> r+ ⊆ A × A
  apply (rule subsetI)
  apply (simp only: split-tupled-all)
  apply (erule tranclE)
  apply (blast dest!: trancl-into-rtrancl trancl-subset-Sigma-aux)+
  done

lemma reflcl-tranclp [simp]: (r++)+ = r**
  apply (safe intro!: order-antisym)
  apply (erule tranclp-into-rtranclp)
  apply (blast elim: rtranclp.cases dest: rtranclp-into-tranclp1)
  done

lemmas reflcl-trancl [simp] = reflcl-tranclp [to-set]

lemma trancl-reflcl [simp]: (r=)+ = r*
  apply safe
  apply (drule trancl-into-rtrancl, simp)
  apply (erule rtranclE, safe)
  apply (rule r-into-trancl, simp)
  apply (rule rtrancl-into-trancl1)
  apply (erule rtrancl-reflcl [THEN equalityD2, THEN subsetD], fast)
  done

lemma trancl-empty [simp]: {}+ = {}
  by (auto elim: trancl-induct)

lemma rtrancl-empty [simp]: {}* = Id
  by (rule subst [OF reflcl-trancl]) simp

```

**lemma** *rtranclpD*:  $R^* a b \implies a = b \vee a \neq b \wedge R^{++} a b$   
**by** (*force simp add: reflcl-tranclp [symmetric] simp del: reflcl-tranclp*)

**lemmas** *rtranclD* = *rtranclpD* [*to-set*]

**lemma** *rtrancl-eq-or-trancl*:  
 $(x, y) \in R^* = (x = y \vee x \neq y \wedge (x, y) \in R^+)$   
**by** (*fast elim: trancl-into-rtrancl dest: rtranclD*)

**lemma** *trancl-unfold-right*:  $r^+ = r^* \circ r$   
**by** (*auto dest: tranclD2 intro: rtrancl-into-trancl1*)

**lemma** *trancl-unfold-left*:  $r^+ = r \circ r^*$   
**by** (*auto dest: tranclD intro: rtrancl-into-trancl2*)

Simplifying nested closures

**lemma** *rtrancl-trancl-absorb[simp]*:  $(R^*)^+ = R^*$   
**by** (*simp add: trans-rtrancl*)

**lemma** *trancl-rtrancl-absorb[simp]*:  $(R^+)^* = R^*$   
**by** (*subst reflcl-trancl[symmetric] simp*)

**lemma** *rtrancl-reflcl-absorb[simp]*:  $(R^*)^+ = R^*$   
**by** *auto*

*Domain and Range*

**lemma** *Domain-rtrancl [simp]*:  $\text{Domain } (R^*) = \text{UNIV}$   
**by** *blast*

**lemma** *Range-rtrancl [simp]*:  $\text{Range } (R^*) = \text{UNIV}$   
**by** *blast*

**lemma** *rtrancl-Un-subset*:  $(R^* \cup S^*) \subseteq (R \cup S)^*$   
**by** (*rule rtrancl-Un-rtrancl [THEN subst] fast*)

**lemma** *in-rtrancl-UnI*:  $x \in R^* \vee x \in S^* \implies x \in (R \cup S)^*$   
**by** (*blast intro: subsetD [OF rtrancl-Un-subset]*)

**lemma** *trancl-domain [simp]*:  $\text{Domain } (r^+) = \text{Domain } r$   
**by** (*unfold Domain-def (blast dest: tranclD)*)

**lemma** *trancl-range [simp]*:  $\text{Range } (r^+) = \text{Range } r$   
**unfolding** *Range-def* **by** (*simp add: trancl-converse [symmetric]*)

**lemma** *Not-Domain-rtrancl*:  
 $x \sim: \text{Domain } R \implies ((x, y) : R^*) = (x = y)$   
**apply** *auto*  
**apply** (*erule rev-mp*)

```

apply (erule rtrancl-induct)
apply auto
done

```

```

lemma trancl-subset-Field2:  $r^+ \subseteq Field\ r \times Field\ r$ 
apply clarify
apply (erule trancl-induct)
apply (auto simp add: Field-def)
done

```

```

lemma finite-trancl:  $finite\ (r^+) = finite\ r$ 
apply auto
prefer 2
apply (rule trancl-subset-Field2 [THEN finite-subset])
apply (rule finite-SigmaI)
prefer 3
apply (blast intro: r-into-trancl' finite-subset)
apply (auto simp add: finite-Field)
done

```

More about converse *rtrancl* and *trancl*, should be merged with main body.

```

lemma single-valued-confluent:
  [| single-valued  $r$ ;  $(x,y) \in r^*$ ;  $(x,z) \in r^*$  |]
   $\implies (y,z) \in r^* \vee (z,y) \in r^*$ 
apply (erule rtrancl-induct)
apply simp
apply (erule disjE)
apply (blast elim: converse-rtranclE dest: single-valuedD)
apply (blast intro: rtrancl-trans)
done

```

```

lemma r-r-into-trancl:  $(a,b) \in R \implies (b,c) \in R \implies (a,c) \in R^+$ 
by (fast intro: trancl-trans)

```

```

lemma trancl-into-trancl [rule-format]:
   $(a,b) \in r^+ \implies (b,c) \in r \longrightarrow (a,c) \in r^+$ 
apply (erule trancl-induct)
apply (fast intro: r-r-into-trancl)
apply (fast intro: r-r-into-trancl trancl-trans)
done

```

```

lemma tranclp-rtranclp-tranclp:
   $r^{++}\ a\ b \implies r^{**}\ b\ c \implies r^{++}\ a\ c$ 
apply (drule tranclpD)
apply (elim exE conjE)
apply (drule rtranclp-trans, assumption)
apply (drule rtranclp-into-tranclp2, assumption, assumption)
done

```

**lemmas** *trancl-rtrancl-trancl* = *tranclp-rtranclp-tranclp* [*to-set*]

**lemmas** *transitive-closure-trans* [*trans*] =  
*r-r-into-trancl trancl-trans rtrancl-trans*  
*trancl.trancl-into-trancl trancl-into-trancl2*  
*rtrancl.rtrancl-into-rtrancl converse-rtrancl-into-rtrancl*  
*rtrancl-trancl-trancl trancl-rtrancl-trancl*

**lemmas** *transitive-closurep-trans'* [*trans*] =  
*tranclp-trans rtranclp-trans*  
*tranclp.trancl-into-trancl tranclp-into-tranclp2*  
*rtranclp.rtrancl-into-rtrancl converse-rtranclp-into-rtranclp*  
*rtranclp-tranclp-tranclp tranclp-rtranclp-tranclp*

**declare** *trancl-into-rtrancl* [*elim*]

### 23.4 The power operation on relations

$R \hat{\ }^n = R \circ \dots \circ R$ , the  $n$ -fold composition of  $R$

**overloading**

*relpow* == *compow* ::  $\text{nat} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$   
**begin**

**primrec** *relpow* ::  $\text{nat} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$  **where**  
*relpow* 0  $R = \text{Id}$   
| *relpow* (*Suc*  $n$ )  $R = (R \hat{\ }^n) \circ R$

**end**

**lemma** *rel-pow-1* [*simp*]:  
**fixes**  $R :: ('a \times 'a) \text{ set}$   
**shows**  $R \hat{\ }^1 = R$   
**by** *simp*

**lemma** *rel-pow-0-I*:  
 $(x, x) \in R \hat{\ }^0$   
**by** *simp*

**lemma** *rel-pow-Suc-I*:  
 $(x, y) \in R \hat{\ }^n \implies (y, z) \in R \implies (x, z) \in R \hat{\ }^{Suc\ n}$   
**by** *auto*

**lemma** *rel-pow-Suc-I2*:  
 $(x, y) \in R \implies (y, z) \in R \hat{\ }^n \implies (x, z) \in R \hat{\ }^{Suc\ n}$   
**by** (*induct*  $n$  *arbitrary*:  $z$ ) (*simp*, *fastsimp*)

**lemma** *rel-pow-0-E*:  
 $(x, y) \in R \hat{\ }^0 \implies (x = y \implies P) \implies P$   
**by** *simp*

**lemma** *rel-pow-Suc-E*:

$(x, z) \in R^{\wedge\wedge} \text{Suc } n \implies (\bigwedge y. (x, y) \in R^{\wedge\wedge} n \implies (y, z) \in R \implies P) \implies P$   
**by** *auto*

**lemma** *rel-pow-E*:

$(x, z) \in R^{\wedge\wedge} n \implies (n = 0 \implies x = z \implies P)$   
 $\implies (\bigwedge y m. n = \text{Suc } m \implies (x, y) \in R^{\wedge\wedge} m \implies (y, z) \in R \implies P)$   
 $\implies P$   
**by** (*cases n*) *auto*

**lemma** *rel-pow-Suc-D2*:

$(x, z) \in R^{\wedge\wedge} \text{Suc } n \implies (\exists y. (x, y) \in R \wedge (y, z) \in R^{\wedge\wedge} n)$   
**apply** (*induct n arbitrary: x z*)  
**apply** (*blast intro: rel-pow-0-I elim: rel-pow-0-E rel-pow-Suc-E*)  
**apply** (*blast intro: rel-pow-Suc-I elim: rel-pow-0-E rel-pow-Suc-E*)  
**done**

**lemma** *rel-pow-Suc-E2*:

$(x, z) \in R^{\wedge\wedge} \text{Suc } n \implies (\bigwedge y. (x, y) \in R \implies (y, z) \in R^{\wedge\wedge} n \implies P) \implies P$   
**by** (*blast dest: rel-pow-Suc-D2*)

**lemma** *rel-pow-Suc-D2'*:

$\forall x y z. (x, y) \in R^{\wedge\wedge} n \wedge (y, z) \in R \longrightarrow (\exists w. (x, w) \in R \wedge (w, z) \in R^{\wedge\wedge} n)$   
**by** (*induct n*) (*simp-all, blast*)

**lemma** *rel-pow-E2*:

$(x, z) \in R^{\wedge\wedge} n \implies (n = 0 \implies x = z \implies P)$   
 $\implies (\bigwedge y m. n = \text{Suc } m \implies (x, y) \in R \implies (y, z) \in R^{\wedge\wedge} m \implies P)$   
 $\implies P$   
**apply** (*cases n, simp*)  
**apply** (*cut-tac n=nat and R=R in rel-pow-Suc-D2', simp, blast*)  
**done**

**lemma** *rel-pow-add*:  $R^{\wedge\wedge} (m+n) = R^{\wedge\wedge} m \circ R^{\wedge\wedge} n$

**by**(*induct n*) *auto*

**lemma** *rel-pow-commute*:  $R \circ R^{\wedge\wedge} n = R^{\wedge\wedge} n \circ R$

**by** (*induct n*) (*simp, simp add: O-assoc [symmetric]*)

**lemma** *rtrancl-imp-UN-rel-pow*:

**assumes**  $p \in R^{\wedge*}$   
**shows**  $p \in (\bigcup n. R^{\wedge\wedge} n)$   
**proof** (*cases p*)  
**case** (*Pair x y*)  
**with** *assms have*  $(x, y) \in R^{\wedge*}$  **by** *simp*  
**then have**  $(x, y) \in (\bigcup n. R^{\wedge\wedge} n)$  **proof** *induct*  
**case** *base* **show** ?*case* **by** (*blast intro: rel-pow-0-I*)  
**next**

```

    case step then show ?case by (blast intro: rel-pow-Suc-I)
  qed
  with Pair show ?thesis by simp
qed

```

```

lemma rel-pow-imp-rtrancl:
  assumes  $p \in R^{\wedge n}$ 
  shows  $p \in R^*$ 
proof (cases p)
  case (Pair x y)
  with assms have  $(x, y) \in R^{\wedge n}$  by simp
  then have  $(x, y) \in R^*$  proof (induct n arbitrary: x y)
    case 0 then show ?case by simp
  next
    case Suc then show ?case
      by (blast elim: rel-pow-Suc-E intro: rtrancl-into-rtrancl)
  qed
  with Pair show ?thesis by simp
qed

```

```

lemma rtrancl-is-UN-rel-pow:
   $R^* = (\bigcup n. R^{\wedge n})$ 
  by (blast intro: rtrancl-imp-UN-rel-pow rel-pow-imp-rtrancl)

```

```

lemma rtrancl-power:
   $p \in R^* \longleftrightarrow (\exists n. p \in R^{\wedge n})$ 
  by (simp add: rtrancl-is-UN-rel-pow)

```

```

lemma trancl-power:
   $p \in R^+ \longleftrightarrow (\exists n > 0. p \in R^{\wedge n})$ 
  apply (cases p)
  apply simp
  apply (rule iffI)
  apply (drule tranclD2)
  apply (clarsimp simp: rtrancl-is-UN-rel-pow)
  apply (rule-tac x=Suc n in exI)
  apply (clarsimp simp: rel-comp-def)
  apply fastsimp
  apply clarsimp
  apply (case-tac n, simp)
  apply clarsimp
  apply (drule rel-pow-imp-rtrancl)
  apply (drule rtrancl-into-trancl1) apply auto
done

```

```

lemma rtrancl-imp-rel-pow:
   $p \in R^* \implies \exists n. p \in R^{\wedge n}$ 
  by (auto dest: rtrancl-imp-UN-rel-pow)

```

```

lemma single-valued-rel-pow:
  fixes  $R :: ('a * 'a) \text{ set}$ 
  shows  $\text{single-valued } R \implies \text{single-valued } (R \wedge^n)$ 
  apply (induct n arbitrary: R)
  apply simp-all
  apply (rule single-valuedI)
  apply (fast dest: single-valuedD elim: rel-pow-Suc-E)
  done

```

### 23.5 Setup of transitivity reasoner

ML  $\ll$

```

structure Trancl-Tac = Trancl-Tac
(
  val r-into-trancl = @{thm trancl.r-into-trancl};
  val trancl-trans = @{thm trancl-trans};
  val rtrancl-refl = @{thm rtrancl.rtrancl-refl};
  val r-into-rtrancl = @{thm r-into-rtrancl};
  val trancl-into-rtrancl = @{thm trancl-into-rtrancl};
  val rtrancl-trancl-trancl = @{thm rtrancl-trancl-trancl};
  val trancl-rtrancl-trancl = @{thm trancl-rtrancl-trancl};
  val rtrancl-trans = @{thm rtrancl-trans};

  fun decomp (@{const Trueprop} $ t) =
    let fun dec (Const (op :, -) $ (Const (Pair, -) $ a $ b) $ rel) =
        let fun decr (Const (Transitive-Closure.rtrancl, -) $ r) = (r, r*)
            | decr (Const (Transitive-Closure.trancl, -) $ r) = (r, r+)
            | decr r = (r, r);
        val (rel, r) = decr (Envir.beta-eta-contract rel);
        in SOME (a, b, rel, r) end
      | dec - = NONE
    in dec t end
  | decomp - = NONE;
);

```

```

structure Tranclp-Tac = Trancl-Tac
(
  val r-into-trancl = @{thm tranclp.r-into-trancl};
  val trancl-trans = @{thm tranclp-trans};
  val rtrancl-refl = @{thm rtranclp.rtrancl-refl};
  val r-into-rtrancl = @{thm r-into-rtranclp};
  val trancl-into-rtrancl = @{thm tranclp-into-rtranclp};
  val rtrancl-trancl-trancl = @{thm rtranclp-tranclp-tranclp};
  val trancl-rtrancl-trancl = @{thm tranclp-rtranclp-tranclp};
  val rtrancl-trans = @{thm rtranclp-trans};

```

```

  fun decomp (@{const Trueprop} $ t) =
    let fun dec (rel $ a $ b) =

```

```

    let fun decr (Const (Transitive-Closure.rtranclp, -) $ r) = (r,r*)
      | decr (Const (Transitive-Closure.tranclp, -) $ r) = (r,r+)
      | decr r = (r,r);
    val (rel,r) = decr rel;
    in SOME (a, b, rel, r) end
  | dec - = NONE
in dec t end
| decomp - = NONE;
);
>>

declaration << fn - =>
  Simplifier.map-ss (fn ss => ss
    addSolver (mk-solver' Trancl (Trancl-Tac.trancl-tac o Simplifier.the-context))
    addSolver (mk-solver' Rtrancl (Trancl-Tac.rtrancl-tac o Simplifier.the-context))
    addSolver (mk-solver' Tranclp (Tranclp-Tac.trancl-tac o Simplifier.the-context))
    addSolver (mk-solver' Rtranclp (Tranclp-Tac.rtrancl-tac o Simplifier.the-context)))
  >>

```

Optional methods.

```

method-setup trancl =
  << Scan.succeed (SIMPLE-METHOD' o Trancl-Tac.trancl-tac) >>
  << simple transitivity reasoner >>
method-setup rtrancl =
  << Scan.succeed (SIMPLE-METHOD' o Trancl-Tac.rtrancl-tac) >>
  << simple transitivity reasoner >>
method-setup tranclp =
  << Scan.succeed (SIMPLE-METHOD' o Tranclp-Tac.trancl-tac) >>
  << simple transitivity reasoner (predicate version) >>
method-setup rtranclp =
  << Scan.succeed (SIMPLE-METHOD' o Tranclp-Tac.rtrancl-tac) >>
  << simple transitivity reasoner (predicate version) >>

```

**end**

## 24 Wellfounded: Well-founded Recursion

```

theory Wellfounded
imports Transitive-Closure
uses (Tools/Function/size.ML)
begin

```

### 24.1 Basic Definitions

**definition**  $wf :: ('a * 'a) \text{ set} \Rightarrow \text{bool}$  **where**  
 $wf(r) == (!P. (!x. (!y. (y,x):r \longrightarrow P(y)) \longrightarrow P(x)) \longrightarrow (!x. P(x)))$

**definition**  $wfP :: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **where**



$wfP\ r ==\ wf\ \{(x, y). r\ x\ y\}$

**lemma** *wfP-wf-eq* [*pred-set-conv*]:  $wfP\ (\lambda x\ y. (x, y) \in r) = wf\ r$   
**by** (*simp add: wfP-def*)

**lemma** *wfUNIVI*:

$(!!P\ x. (ALL\ y. (y, x) : r \dashrightarrow P(y)) \dashrightarrow P(x)) ==> P(x) ==>$   
 $wf(r)$   
**unfolding** *wf-def* **by** *blast*

**lemmas** *wfPUNIVI* = *wfUNIVI* [*to-pred*]

Restriction to domain  $A$  and range  $B$ . If  $r$  is well-founded over their intersection, then  $wf\ r$

**lemma** *wfI*:

$[| r \subseteq A <*> B;$   
 $!!x\ P. [| \forall x. (\forall y. (y, x) : r \dashrightarrow P(y)) \dashrightarrow P(x); x : A; x : B |] ==> P\ x |]$   
 $==> wf\ r$   
**unfolding** *wf-def* **by** *blast*

**lemma** *wf-induct*:

$[| wf(r);$   
 $!!x. [| ALL\ y. (y, x) : r \dashrightarrow P(y) |] ==> P(x)$   
 $|] ==> P(a)$   
**unfolding** *wf-def* **by** *blast*

**lemmas** *wfP-induct* = *wf-induct* [*to-pred*]

**lemmas** *wf-induct-rule* = *wf-induct* [*rule-format*, *consumes 1*, *case-names less*,  
*induct set: wf*]

**lemmas** *wfP-induct-rule* = *wf-induct-rule* [*to-pred*, *induct set: wfP*]

**lemma** *wf-not-sym*:  $wf\ r ==> (a, x) : r ==> (x, a) \sim: r$   
**by** (*induct a arbitrary: x set: wf*) *blast*

**lemma** *wf-asym*:

**assumes**  $wf\ r\ (a, x) \in r$   
**obtains**  $(x, a) \notin r$   
**by** (*drule wf-not-sym[OF assms]*)

**lemma** *wf-not-refl* [*simp*]:  $wf\ r ==> (a, a) \sim: r$   
**by** (*blast elim: wf-asym*)

**lemma** *wf-irrefl*: **assumes**  $wf\ r$  **obtains**  $(a, a) \notin r$   
**by** (*drule wf-not-refl[OF assms]*)

**lemma** *wf-wellorderI*:

**assumes**  $wf: wf\ \{(x::'a::ord, y). x < y\}$

```

assumes lin: OFCLASS('a::ord, linorder-class)
shows OFCLASS('a::ord, wellorder-class)
using lin by (rule wellorder-class.intro)
      (blast intro: class.wellorder-axioms.intro wf-induct-rule [OF wf])

```

```

lemma (in wellorder) wf:
  wf {(x, y). x < y}
unfolding wf-def by (blast intro: less-induct)

```

## 24.2 Basic Results

Point-free characterization of well-foundedness

```

lemma wfE-pf:
  assumes wf: wf R
  assumes a:  $A \subseteq R \text{ “ } A$ 
  shows  $A = \{\}$ 
proof –
  { fix x
    from wf have  $x \notin A$ 
    proof induct
      fix x assume  $\bigwedge y. (y, x) \in R \implies y \notin A$ 
      then have  $x \notin R \text{ “ } A$  by blast
      with a show  $x \notin A$  by blast
    qed
  } thus ?thesis by auto
qed

```

```

lemma wfI-pf:
  assumes a:  $\bigwedge A. A \subseteq R \text{ “ } A \implies A = \{\}$ 
  shows wf R
proof (rule wfUNIVI)
  fix P :: 'a  $\Rightarrow$  bool and x
  let ?A = {x.  $\neg P$  x}
  assume  $\forall x. (\forall y. (y, x) \in R \longrightarrow P$  y)  $\longrightarrow P$  x
  then have  $?A \subseteq R \text{ “ } ?A$  by blast
  with a show  $P$  x by blast
qed

```

Minimal-element characterization of well-foundedness

```

lemma wfE-min:
  assumes wf: wf R and Q:  $x \in Q$ 
  obtains z where  $z \in Q \bigwedge y. (y, z) \in R \implies y \notin Q$ 
  using Q wfE-pf[OF wf, of Q] by blast

lemma wfI-min:
  assumes a:  $\bigwedge x Q. x \in Q \implies \exists z \in Q. \forall y. (y, z) \in R \longrightarrow y \notin Q$ 
  shows wf R
proof (rule wfI-pf)
  fix A assume b:  $A \subseteq R \text{ “ } A$ 

```

```

{ fix x assume x ∈ A
  from a[OF this] b have False by blast
}
thus A = {} by blast
qed

```

```

lemma wf-eq-minimal: wf r = (∀ Q x. x ∈ Q --> (∃ z ∈ Q. ∀ y. (y, z) ∈ r -->
y ∉ Q))
apply auto
apply (erule wfE-min, assumption, blast)
apply (rule wfI-min, auto)
done

```

```

lemmas wfP-eq-minimal = wf-eq-minimal [to-pred]

```

Well-foundedness of transitive closure

```

lemma wf-trancl:
  assumes wf r
  shows wf (r+)
proof -
  {
    fix P and x
    assume induct-step: !!x. (!!y. (y, x) : r+ ==> P y) ==> P x
    have P x
    proof (rule induct-step)
      fix y assume (y, x) : r+
      with ⟨wf r⟩ show P y
    proof (induct x arbitrary: y)
      case (less x)
      note hyp = ⟨∧ x' y'. (x', x) : r ==> (y', x') : r+ ==> P y'⟩
      from ⟨(y, x) : r+⟩ show P y
    proof cases
      case base
      show P y
    proof (rule induct-step)
      fix y' assume (y', y) : r+
      with ⟨(y, x) : r⟩ show P y' by (rule hyp [of y y'])
    qed
  }
next
  case step
  then obtain x' where (x', x) : r and (y, x') : r+ by simp
  then show P y by (rule hyp [of x' y])
qed
qed
qed
} then show ?thesis unfolding wf-def by blast
qed

```

```

lemmas wfP-trancl = wf-trancl [to-pred]

```

```

lemma wf-converse-trancl: wf (r-1) ==> wf ((r+)-1)
  apply (subst trancl-converse [symmetric])
  apply (erule wf-trancl)
  done

```

Well-foundedness of subsets

```

lemma wf-subset: [| wf(r); p<=r |] ==> wf(p)
  apply (simp (no-asm-use) add: wf-eq-minimal)
  apply fast
  done

```

```

lemmas wfP-subset = wf-subset [to-pred]

```

Well-foundedness of the empty relation

```

lemma wf-empty [iff]: wf {}
  by (simp add: wf-def)

```

```

lemma wfP-empty [iff]:
  wfP (λx y. False)
proof -
  have wfP bot by (fact wf-empty [to-pred bot-empty-eq2])
  then show ?thesis by (simp add: bot-fun-eq bot-bool-eq)
qed

```

```

lemma wf-Int1: wf r ==> wf (r Int r')
  apply (erule wf-subset)
  apply (rule Int-lower1)
  done

```

```

lemma wf-Int2: wf r ==> wf (r' Int r)
  apply (erule wf-subset)
  apply (rule Int-lower2)
  done

```

Exponentiation

```

lemma wf-exp:
  assumes wf (R^^ n)
  shows wf R
proof (rule wfI-pf)
  fix A assume A ⊆ R “ A
  then have A ⊆ (R^^ n) “ A by (induct n) force+
  with ⟨wf (R^^ n)⟩
  show A = {} by (rule wfE-pf)
qed

```

Well-foundedness of insert

```

lemma wf-insert [iff]: wf(insert (y,x) r) = (wf(r) & (x,y) ~: r*)

```

```

apply (rule iffI)
  apply (blast elim: wf-trancl [THEN wf-irrefl]
    intro: rtrancl-into-trancl1 wf-subset
      rtrancl-mono [THEN [2] rev-subsetD])
apply (simp add: wf-eq-minimal, safe)
apply (rule allE, assumption, erule impE, blast)
apply (erule bexE)
apply (rename-tac a, case-tac a = x)
  prefer 2
apply blast
apply (case-tac y:Q)
  prefer 2 apply blast
apply (rule-tac x = {z. z:Q & (z,y) : r^*} in allE)
  apply assumption
apply (erule-tac V = ALL Q. (EX x. x : Q) --> ?P Q in thin-rl)
  — essential for speed

```

Blast with new substOccur fails

```

apply (fast intro: converse-rtrancl-into-rtrancl)
done

```

Well-foundedness of image

```

lemma wf-prod-fun-image: [| wf r; inj f |] ==> wf (prod-fun f f ' r)
apply (simp only: wf-eq-minimal, clarify)
apply (case-tac EX p. f p : Q)
apply (erule-tac x = {p. f p : Q} in allE)
apply (fast dest: inj-onD, blast)
done

```

### 24.3 Well-Foundedness Results for Unions

```

lemma wf-union-compatible:
  assumes wf R wf S
  assumes R O S ⊆ R
  shows wf (R ∪ S)
proof (rule wfI-min)
  fix x :: 'a and Q
  let ?Q' = {x ∈ Q. ∀ y. (y, x) ∈ R ⟶ y ∉ Q}
  assume x ∈ Q
  obtain a where a ∈ ?Q'
    by (rule wfE-min [OF ⟨wf R⟩ ⟨x ∈ Q⟩]) blast
  with ⟨wf S⟩
  obtain z where z ∈ ?Q' and zmin: ∧ y. (y, z) ∈ S ⟹ y ∉ ?Q' by (erule
wfE-min)
  {
    fix y assume (y, z) ∈ S
    then have y ∉ ?Q' by (rule zmin)

    have y ∉ Q

```

```

proof
  assume  $y \in Q$ 
  with  $\langle y \notin ?Q' \rangle$ 
  obtain  $w$  where  $(w, y) \in R$  and  $w \in Q$  by auto
  from  $\langle (w, y) \in R \rangle \langle (y, z) \in S \rangle$  have  $(w, z) \in R \circ S$  by (rule rel-compI)
  with  $\langle R \circ S \subseteq R \rangle$  have  $(w, z) \in R$  ..
  with  $\langle z \in ?Q' \rangle$  have  $w \notin Q$  by blast
  with  $\langle w \in Q \rangle$  show False by contradiction
qed
}
with  $\langle z \in ?Q' \rangle$  show  $\exists z \in Q. \forall y. (y, z) \in R \cup S \longrightarrow y \notin Q$  by blast
qed

```

Well-foundedness of indexed union with disjoint domains and ranges

```

lemma wf-UN: [|  $\text{ALL } i:I. \text{wf}(r\ i);$   

    $\text{ALL } i:I. \text{ALL } j:I. r\ i \sim = r\ j \longrightarrow \text{Domain}(r\ i) \text{ Int } \text{Range}(r\ j) = \{\}$   

  |]  $\implies \text{wf}(\text{UN } i:I. r\ i)$ 
apply (simp only: wf-eq-minimal, clarify)
apply (rename-tac A a, case-tac EX i:I. EX a:A. EX b:A. (b,a) : r i)
prefer 2
apply force
apply clarify
apply (drule bspec, assumption)
apply (erule-tac x={a. a:A & (EX b:A. (b,a) : r i) } in allE)
apply (blast elim!: allE)
done

```

```

lemma wfP-SUP:
   $\forall i. \text{wfP}(r\ i) \implies \forall i\ j. r\ i \neq r\ j \longrightarrow \inf(\text{DomainP}(r\ i))(\text{RangeP}(r\ j)) = \text{bot}$ 
 $\implies \text{wfP}(\text{SUPR } \text{UNIV } r)$ 
  by (rule wf-UN [where I=UNIV and r= $\lambda i. \{(x, y). r\ i\ x\ y\}$ , to-pred SUP-UN-eq2])
  (simp-all add: Collect-def)

```

```

lemma wf-Union:
  [|  $\text{ALL } r:R. \text{wf } r;$   

    $\text{ALL } r:R. \text{ALL } s:R. r \sim = s \longrightarrow \text{Domain } r \text{ Int } \text{Range } s = \{\}$   

  |]  $\implies \text{wf}(\text{Union } R)$ 
apply (simp add: Union-def)
apply (blast intro: wf-UN)
done

```

```

lemma wf-Un:
  [|  $\text{wf } r; \text{wf } s; \text{Domain } r \text{ Int } \text{Range } s = \{\}$  |]  $\implies \text{wf}(r \text{ Un } s)$ 
  using wf-union-compatible[of s r]
  by (auto simp: Un-ac)

```

```

lemma wf-union-merge:
   $\text{wf}(R \cup S) = \text{wf}(R \circ R \cup S \circ R \cup S)$  (is  $\text{wf } ?A = \text{wf } ?B$ )

```

```

proof
  assume  $wf\ ?A$ 
  with  $wf\text{-}trancl$  have  $wfT: wf\ (?A\ ^+)$  .
  moreover have  $?B \subseteq ?A\ ^+$ 
    by ( $subst\ trancl\text{-}unfold, subst\ trancl\text{-}unfold$ ) blast
  ultimately show  $wf\ ?B$  by ( $rule\ wf\text{-}subset$ )
next
  assume  $wf\ ?B$ 

  show  $wf\ ?A$ 
  proof ( $rule\ wfI\text{-}min$ )
    fix  $Q :: 'a\ set$  and  $x$ 
    assume  $x \in Q$ 

    with  $\langle wf\ ?B \rangle$ 
    obtain  $z$  where  $z \in Q$  and  $\bigwedge y. (y, z) \in ?B \implies y \notin Q$ 
      by ( $erule\ wfE\text{-}min$ )
    then have  $A1: \bigwedge y. (y, z) \in R\ O\ R \implies y \notin Q$ 
      and  $A2: \bigwedge y. (y, z) \in S\ O\ R \implies y \notin Q$ 
      and  $A3: \bigwedge y. (y, z) \in S \implies y \notin Q$ 
      by auto

    show  $\exists z \in Q. \forall y. (y, z) \in ?A \longrightarrow y \notin Q$ 
    proof ( $cases\ \forall y. (y, z) \in R \longrightarrow y \notin Q$ )
      case True
        with  $\langle z \in Q \rangle\ A3$  show  $?thesis$  by blast
      next
        case False
          then obtain  $z'$  where  $z' \in Q\ (z', z) \in R$  by blast

          have  $\forall y. (y, z') \in ?A \longrightarrow y \notin Q$ 
          proof ( $intro\ allI\ impI$ )
            fix  $y$  assume  $(y, z') \in ?A$ 
            then show  $y \notin Q$ 
            proof
              assume  $(y, z') \in R$ 
              then have  $(y, z) \in R\ O\ R$  using  $\langle (z', z) \in R \rangle$  ..
              with  $A1$  show  $y \notin Q$  .
            next
              assume  $(y, z') \in S$ 
              then have  $(y, z) \in S\ O\ R$  using  $\langle (z', z) \in R \rangle$  ..
              with  $A2$  show  $y \notin Q$  .
            qed
          qed
          with  $\langle z' \in Q \rangle$  show  $?thesis$  ..
        qed
      qed
    qed
  qed

```

**lemma** *wf-comp-self*:  $wf\ R = wf\ (R\ O\ R)$  — special case  
**by** (*rule wf-union-merge* [**where**  $S = \{\}$ , *simplified*])

## 24.4 Acyclic relations

**definition** *acyclic* ::  $('a * 'a)\ set \Rightarrow bool$  **where**  
*acyclic*  $r == !x. (x, x) \sim: r^+ +$

**abbreviation** *acyclicP* ::  $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow bool$  **where**  
*acyclicP*  $r == acyclic\ \{(x, y). r\ x\ y\}$

**lemma** *acyclicI*:  $ALL\ x. (x, x) \sim: r^+ + \Rightarrow acyclic\ r$   
**by** (*simp add: acyclic-def*)

**lemma** *wf-acyclic*:  $wf\ r \Rightarrow acyclic\ r$   
**apply** (*simp add: acyclic-def*)  
**apply** (*blast elim: wf-trancl [THEN wf-irrefl]*)  
**done**

**lemmas** *wfP-acyclicP* = *wf-acyclic* [*to-pred*]

**lemma** *acyclic-insert* [*iff*]:  
 $acyclic(insert\ (y, x)\ r) = (acyclic\ r \ \&\ (x, y) \sim: r^+ \cdot)$   
**apply** (*simp add: acyclic-def trancl-insert*)  
**apply** (*blast intro: rtrancl-trans*)  
**done**

**lemma** *acyclic-converse* [*iff*]:  $acyclic(r^+ - 1) = acyclic\ r$   
**by** (*simp add: acyclic-def trancl-converse*)

**lemmas** *acyclicP-converse* [*iff*] = *acyclic-converse* [*to-pred*]

**lemma** *acyclic-impl-antisym-rtrancl*:  $acyclic\ r \Rightarrow antisym(r^+ \cdot)$   
**apply** (*simp add: acyclic-def antisym-def*)  
**apply** (*blast elim: rtranclE intro: rtrancl-into-trancl1 rtrancl-trancl-trancl*)  
**done**

**lemma** *acyclic-subset*:  $[| acyclic\ s; r \leq s |] \Rightarrow acyclic\ r$   
**apply** (*simp add: acyclic-def*)  
**apply** (*blast intro: trancl-mono*)  
**done**

Wellfoundedness of finite acyclic relations

**lemma** *finite-acyclic-wf* [*rule-format*]:  $finite\ r \Rightarrow acyclic\ r \dashrightarrow wf\ r$   
**apply** (*erule finite-induct, blast*)  
**apply** (*simp (no-asm-simp) only: split-tupled-all*)  
**apply** *simp*



done

**lemma** *finite-acyclic-wf-converse*:  $[|finite\ r;\ acyclic\ r|] \implies wf\ (r^{\wedge}-1)$   
**apply** (*erule* *finite-converse* [*THEN* *iffD2*, *THEN* *finite-acyclic-wf*])  
**apply** (*erule* *acyclic-converse* [*THEN* *iffD2*])  
done

**lemma** *wf-iff-acyclic-if-finite*:  $finite\ r \implies wf\ r = acyclic\ r$   
**by** (*blast* *intro*: *finite-acyclic-wf wf-acyclic*)

## 24.5 *nat* is well-founded

**lemma** *less-nat-rel*:  $op\ < = (\lambda m\ n.\ n = Suc\ m)^{\wedge}++$   
**proof** (*rule* *ext*, *rule* *ext*, *rule* *iffI*)  
  **fix** *n m* :: *nat*  
  **assume**  $m < n$   
  **then show**  $(\lambda m\ n.\ n = Suc\ m)^{\wedge}++\ m\ n$   
  **proof** (*induct* *n*)  
    **case** 0 **then show** ?*case* **by** *auto*  
  **next**  
    **case** (*Suc* *n*) **then show** ?*case*  
      **by** (*auto* *simp* *add*: *less-Suc-eq-le* *le-less* *intro*: *tranclp.trancl-into-trancl*)  
  **qed**  
**next**  
  **fix** *n m* :: *nat*  
  **assume**  $(\lambda m\ n.\ n = Suc\ m)^{\wedge}++\ m\ n$   
  **then show**  $m < n$   
  **by** (*induct* *n*)  
    (*simp-all* *add*: *less-Suc-eq-le* *reflexive* *le-less*)  
**qed**

### definition

*pred-nat* ::  $(nat * nat)$  set **where**  
*pred-nat* =  $\{(m, n).\ n = Suc\ m\}$

### definition

*less-than* ::  $(nat * nat)$  set **where**  
*less-than* = *pred-nat*<sup>+</sup>

**lemma** *less-eq*:  $(m, n) \in pred\text{-}nat^{\wedge}+ \iff m < n$   
**unfolding** *less-nat-rel* *pred-nat-def* *trancl-def* **by** *simp*

**lemma** *pred-nat-trancl-eq-le*:  
 $(m, n) \in pred\text{-}nat^{\wedge}* \iff m \leq n$   
**unfolding** *less-eq* *rtrancl-eq-or-trancl* **by** *auto*

**lemma** *wf-pred-nat*: *wf* *pred-nat*  
**apply** (*unfold* *wf-def* *pred-nat-def*, *clarify*)  
**apply** (*induct-tac* *x*, *blast*+) )

**done**

**lemma** *wf-less-than* [iff]: *wf less-than*  
**by** (*simp add: less-than-def wf-pred-nat [THEN wf-trancl]*)

**lemma** *trans-less-than* [iff]: *trans less-than*  
**by** (*simp add: less-than-def*)

**lemma** *less-than-iff* [iff]:  $((x,y): \text{less-than}) = (x < y)$   
**by** (*simp add: less-than-def less-eq*)

**lemma** *wf-less*: *wf*  $\{(x, y::\text{nat}). x < y\}$   
**using** *wf-less-than* **by** (*simp add: less-than-def less-eq [symmetric]*)

## 24.6 Accessible Part

Inductive definition of the accessible part *acc r* of a relation; see also [?].

**inductive-set**  
*acc* ::  $('a * 'a) \text{ set} \Rightarrow 'a \text{ set}$   
**for** *r* ::  $('a * 'a) \text{ set}$   
**where**  
*accI*:  $(!!y. (y, x) : r \Rightarrow y : \text{acc } r) \Rightarrow x : \text{acc } r$

**abbreviation**  
*termip* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
*termip* *r* == *accp*  $(r^{-1-1})$

**abbreviation**  
*termi* ::  $('a * 'a) \text{ set} \Rightarrow 'a \text{ set}$  **where**  
*termi* *r* == *acc*  $(r^{-1})$

**lemmas** *accpI* = *accp.accI*

Induction rules

**theorem** *accp-induct*:  
**assumes** *major*: *accp r a*  
**assumes** *hyp*:  $!!x. \text{accp } r \ x \Rightarrow \forall y. r \ y \ x \longrightarrow P \ y \Rightarrow P \ x$   
**shows** *P a*  
**apply** (*rule major [THEN accp.induct]*)  
**apply** (*rule hyp*)  
**apply** (*rule accp.accI*)  
**apply** *fast*  
**apply** *fast*  
**done**

**theorems** *accp-induct-rule* = *accp-induct* [*rule-format*, *induct set: accp*]

**theorem** *accp-downward*: *accp r b*  $\Rightarrow r \ a \ b \Rightarrow \text{accp } r \ a$   
**apply** (*erule accp.cases*)

```

  apply fast
done

lemma not-accp-down:
  assumes na:  $\neg \text{accp } R \ x$ 
  obtains z where  $R \ z \ x$  and  $\neg \text{accp } R \ z$ 
proof -
  assume a:  $\bigwedge z. \llbracket R \ z \ x; \neg \text{accp } R \ z \rrbracket \implies \text{thesis}$ 

  show thesis
  proof (cases  $\forall z. R \ z \ x \longrightarrow \text{accp } R \ z$ )
    case True
    hence  $\bigwedge z. R \ z \ x \implies \text{accp } R \ z$  by auto
    hence  $\text{accp } R \ x$ 
    by (rule accp.accI)
    with na show thesis ..
  next
    case False then obtain z where  $R \ z \ x$  and  $\neg \text{accp } R \ z$ 
    by auto
    with a show thesis .
  qed
qed

lemma accp-downwards-aux:  $r^{**} \ b \ a \implies \text{accp } r \ a \dashrightarrow \text{accp } r \ b$ 
  apply (erule rtranclp-induct)
  apply blast
  apply (blast dest: accp-downward)
done

theorem accp-downwards:  $\text{accp } r \ a \implies r^{**} \ b \ a \implies \text{accp } r \ b$ 
  apply (blast dest: accp-downwards-aux)
done

theorem accp-wfPI:  $\forall x. \text{accp } r \ x \implies \text{wfP } r$ 
  apply (rule wfPUNIVI)
  apply (induct-tac  $P \ x$  rule: accp-induct)
  apply blast
  apply blast
done

theorem accp-wfPD:  $\text{wfP } r \implies \text{accp } r \ x$ 
  apply (erule wfP-induct-rule)
  apply (rule accp.accI)
  apply blast
done

theorem wfP-accp-iff:  $\text{wfP } r = (\forall x. \text{accp } r \ x)$ 
  apply (blast intro: accp-wfPI dest: accp-wfPD)
done

```

Smaller relations have bigger accessible parts:

```

lemma accp-subset:
  assumes sub:  $R1 \leq R2$ 
  shows  $\text{accp } R2 \leq \text{accp } R1$ 
proof (rule predicate1I)
  fix x assume  $\text{accp } R2 \ x$ 
  then show  $\text{accp } R1 \ x$ 
  proof (induct x)
    fix x
    assume ih:  $\bigwedge y. R2 \ y \ x \implies \text{accp } R1 \ y$ 
    with sub show  $\text{accp } R1 \ x$ 
    by (blast intro: accp.accI)
  qed
qed

```

This is a generalized induction theorem that works on subsets of the accessible part.

```

lemma accp-subset-induct:
  assumes subset:  $D \leq \text{accp } R$ 
  and dcl:  $\bigwedge x \ z. \llbracket D \ x; R \ z \ x \rrbracket \implies D \ z$ 
  and D x
  and istep:  $\bigwedge x. \llbracket D \ x; (\bigwedge z. R \ z \ x \implies P \ z) \rrbracket \implies P \ x$ 
  shows  $P \ x$ 
proof –
  from subset and  $\langle D \ x \rangle$ 
  have  $\text{accp } R \ x \ ..$ 
  then show  $P \ x$  using  $\langle D \ x \rangle$ 
  proof (induct x)
    fix x
    assume D x
    and  $\bigwedge y. R \ y \ x \implies D \ y \implies P \ y$ 
    with dcl and istep show  $P \ x$  by blast
  qed
qed

```

Set versions of the above theorems

**lemmas** *acc-induct* = *accp-induct* [*to-set*]

**lemmas** *acc-induct-rule* = *acc-induct* [*rule-format*, *induct set: acc*]

**lemmas** *acc-downward* = *accp-downward* [*to-set*]

**lemmas** *not-acc-down* = *not-accp-down* [*to-set*]

**lemmas** *acc-downwards-aux* = *accp-downwards-aux* [*to-set*]

**lemmas** *acc-downwards* = *accp-downwards* [*to-set*]

**lemmas**  $acc\text{-}wfI = accp\text{-}wfPI$  [*to-set*]

**lemmas**  $acc\text{-}wfD = accp\text{-}wfPD$  [*to-set*]

**lemmas**  $wf\text{-}acc\text{-}iff = wfP\text{-}accp\text{-}iff$  [*to-set*]

**lemmas**  $acc\text{-}subset = accp\text{-}subset$  [*to-set pred-subset-eq*]

**lemmas**  $acc\text{-}subset\text{-}induct = accp\text{-}subset\text{-}induct$  [*to-set pred-subset-eq*]

## 24.7 Tools for building wellfounded relations

Inverse Image

**lemma**  $wf\text{-}inv\text{-}image$  [*simp,intro!*]:  $wf(r) ==> wf(inv\text{-}image\ r\ (f::'a=>'b))$   
**apply** (*simp (no-asm-use) add: inv-image-def wf-eq-minimal*)  
**apply** *clarify*  
**apply** (*subgoal-tac EX (w::'b) . w : {w. EX (x::'a) . x: Q & (f x = w) }*)  
**prefer** 2 **apply** (*blast del: allE*)  
**apply** (*erule allE*)  
**apply** (*erule (1) notE impE*)  
**apply** *blast*  
**done**

Measure functions into *nat*

**definition**  $measure :: ('a ==> nat) ==> ('a * 'a) set$   
**where**  $measure == inv\text{-}image\ less\text{-}than$

**lemma**  $in\text{-}measure$  [*simp*]:  $((x,y) : measure\ f) = (f\ x < f\ y)$   
**by** (*simp add:measure-def*)

**lemma**  $wf\text{-}measure$  [*iff*]:  $wf\ (measure\ f)$   
**apply** (*unfold measure-def*)  
**apply** (*rule wf-less-than [THEN wf-inv-image]*)  
**done**

Lexicographic combinations

**definition**  
 $lex\text{-}prod :: [( 'a * 'a ) set, ( 'b * 'b ) set] ==> (( 'a * 'b ) * ( 'a * 'b )) set$   
 $(\mathbf{infixr} < * lex * > 80)$

**where**

$ra < * lex * > rb == \{((a,b),(a',b')). (a,a') : ra \mid a=a' \ \& \ (b,b') : rb\}$

**lemma**  $wf\text{-}lex\text{-}prod$  [*intro!*]:  $[[\ wf(ra); wf(rb) \ ]] ==> wf(ra < * lex * > rb)$   
**apply** (*unfold wf-def lex-prod-def*)  
**apply** (*rule allI, rule impI*)  
**apply** (*simp (no-asm-use) only: split-paired-All*)  
**apply** (*drule spec, erule mp*)  
**apply** (*rule allI, rule impI*)

**apply** (*drule spec, erule mp, blast*)  
**done**

**lemma** *in-lex-prod*[*simp*]:  
 $((a,b),(a',b')): r <_{*lex*} s = ((a,a'): r \vee (a = a' \wedge (b, b') : s))$   
**by** (*auto simp:lex-prod-def*)

*op <\_{\*lex\*}* preserves transitivity

**lemma** *trans-lex-prod* [*intro!*]:  
 $[trans\ R1; trans\ R2] \implies trans\ (R1 <_{*lex*} R2)$   
**by** (*unfold trans-def lex-prod-def, blast*)

lexicographic combinations with measure functions

**definition**

*mlex-prod* ::  $('a \Rightarrow nat) \Rightarrow ('a \times 'a) set \Rightarrow ('a \times 'a) set$  (**infixr** *<\_{\*mlex\*}* 80)  
**where**  
 $f <_{*mlex*} R = inv-image\ (less-than\ <_{*lex*}\ R)\ (\%x. (f\ x, x))$

**lemma** *wf-mlex*:  $wf\ R \implies wf\ (f <_{*mlex*} R)$   
**unfolding** *mlex-prod-def*  
**by** *auto*

**lemma** *mlex-less*:  $f\ x < f\ y \implies (x, y) \in f <_{*mlex*} R$   
**unfolding** *mlex-prod-def* **by** *simp*

**lemma** *mlex-leq*:  $f\ x \leq f\ y \implies (x, y) \in R \implies (x, y) \in f <_{*mlex*} R$   
**unfolding** *mlex-prod-def* **by** *auto*

proper subset relation on finite sets

**definition** *finite-psubset* ::  $('a\ set * 'a\ set)\ set$   
**where** *finite-psubset* ==  $\{(A,B). A < B \ \& \ finite\ B\}$

**lemma** *wf-finite-psubset*[*simp*]:  $wf(finite-psubset)$   
**apply** (*unfold finite-psubset-def*)  
**apply** (*rule wf-measure [THEN wf-subset]*)  
**apply** (*simp add: measure-def inv-image-def less-than-def less-eq*)  
**apply** (*fast elim!: psubset-card-mono*)  
**done**

**lemma** *trans-finite-psubset*:  $trans\ finite-psubset$   
**by** (*simp add: finite-psubset-def less-le trans-def, blast*)

**lemma** *in-finite-psubset*[*simp*]:  $(A, B) \in finite-psubset = (A < B \ \& \ finite\ B)$   
**unfolding** *finite-psubset-def* **by** *auto*

max- and min-extension of order to finite sets

**inductive-set** *max-ext* ::  $('a \times 'a) set \Rightarrow ('a\ set \times 'a\ set) set$   
**for** *R* ::  $('a \times 'a) set$

where

$max-extI[intro]: finite\ X \implies finite\ Y \implies Y \neq \{\} \implies (\bigwedge x. x \in X \implies \exists y \in Y. (x, y) \in R) \implies (X, Y) \in max-ext\ R$

**lemma** *max-ext-wf*:

**assumes** *wf*:  $wf\ r$

**shows**  $wf\ (max-ext\ r)$

**proof** (*rule acc-wfI, intro allI*)

**fix** *M* **show**  $M \in acc\ (max-ext\ r)\ (is - \in ?W)$

**proof** *cases*

**assume** *finite M*

**thus** *?thesis*

**proof** (*induct M*)

**show**  $\{\} \in ?W$

**by** (*rule accI*) (*auto elim: max-ext.cases*)

**next**

**fix** *M a* **assume**  $M \in ?W\ finite\ M$

**with** *wf* **show**  $insert\ a\ M \in ?W$

**proof** (*induct arbitrary: M*)

**fix** *M a*

**assume**  $M \in ?W$  **and** [*intro*]: *finite M*

**assume** *hyp*:  $\bigwedge b\ M. (b, a) \in r \implies M \in ?W \implies finite\ M \implies insert\ b\ M$

$\in ?W$

{

**fix** *N M* :: '*a* set

**assume** *finite N finite M*

**then**

**have**  $\llbracket M \in ?W ; (\bigwedge y. y \in N \implies (y, a) \in r) \rrbracket \implies N \cup M \in ?W$

**by** (*induct N arbitrary: M*) (*auto simp: hyp*)

}

**note** *add-less = this*

**show**  $insert\ a\ M \in ?W$

**proof** (*rule accI*)

**fix** *N* **assume** *Nless*:  $(N, insert\ a\ M) \in max-ext\ r$

**hence** *asm1*:  $\bigwedge x. x \in N \implies (x, a) \in r \vee (\exists y \in M. (x, y) \in r)$

**by** (*auto elim!: max-ext.cases*)

**let**  $?N1 = \{ n \in N. (n, a) \in r \}$

**let**  $?N2 = \{ n \in N. (n, a) \notin r \}$

**have**  $N: ?N1 \cup ?N2 = N$  **by** (*rule set-ext*) *auto*

**from** *Nless* **have** *finite N* **by** (*auto elim: max-ext.cases*)

**then** **have** *finites*: *finite ?N1 finite ?N2* **by** *auto*

**have**  $?N2 \in ?W$

**proof** *cases*

**assume** [*simp*]:  $M = \{\}$

**have** *Mw*:  $\{\} \in ?W$  **by** (*rule accI*) (*auto elim: max-ext.cases*)

```

    from asm1 have ?N2 = {} by auto
    with Mw show ?N2 ∈ ?W by (simp only:)
  next
    assume M ≠ {}
    have N2: (?N2, M) ∈ max-ext r
      by (rule max-extI[OF - - ⟨M ≠ {}⟩]) (insert asm1, auto intro: finites)

    with ⟨M ∈ ?W⟩ show ?N2 ∈ ?W by (rule acc-downward)
  qed
  with finites have ?N1 ∪ ?N2 ∈ ?W
    by (rule add-less) simp
  then show N ∈ ?W by (simp only: N)
qed
qed
qed
next
  assume [simp]: ¬ finite M
  show ?thesis
    by (rule accI) (auto elim: max-ext.cases)
qed
qed

```

**lemma** *max-ext-additive*:  
 $(A, B) \in \text{max-ext } R \implies (C, D) \in \text{max-ext } R \implies$   
 $(A \cup C, B \cup D) \in \text{max-ext } R$   
 by (*force elim*!: *max-ext.cases*)

**definition**

*min-ext* :: ('*a* × '*a*) set ⇒ ('*a* set × '*a* set) set  
**where**  
 [*code del*]: *min-ext* *r* = {(*X*, *Y*) | *X* *Y*. *X* ≠ {} ∧ (∀ *y* ∈ *Y*. (∃ *x* ∈ *X*. (*x*, *y*) ∈ *r*))}

**lemma** *min-ext-wf*:

```

  assumes wf r
  shows wf (min-ext r)
proof (rule wfI-min)
  fix Q :: 'a set set
  fix x
  assume nonempty: x ∈ Q
  show ∃ m ∈ Q. (∀ n. (n, m) ∈ min-ext r ⟶ n ∉ Q)
proof cases
  assume Q = {{}} thus ?thesis by (simp add: min-ext-def)
next
  assume Q ≠ {{}}
  with nonempty
  obtain e x where x ∈ Q e ∈ x by force
  then have eU: e ∈ ⋃ Q by auto

```



```

with  $\langle wf\ r \rangle$ 
obtain  $z$  where  $z: z \in \bigcup Q \wedge y. (y, z) \in r \implies y \notin \bigcup Q$ 
  by (erule wfE-min)
from  $z$  obtain  $m$  where  $m \in Q\ z \in m$  by auto
from  $\langle m \in Q \rangle$ 
show ?thesis
proof (rule, intro beXI allI impI)
  fix  $n$ 
  assume smaller:  $(n, m) \in min-ext\ r$ 
  with  $\langle z \in m \rangle$  obtain  $y$  where  $y: y \in n\ (y, z) \in r$  by (auto simp: min-ext-def)
  then show  $n \notin Q$  using  $z(2)$  by auto
qed
qed
qed

```

## 24.8 Weakly decreasing sequences (w.r.t. some well-founded order) stabilize.

This material does not appear to be used any longer.

**lemma** *sequence-trans*:  $[[\ ALL\ i. (f\ (Suc\ i), f\ i) : r^* ] ] \implies (f\ (i+k), f\ i) : r^*$   
**by** (*induct k*) (*auto intro: rtrancl-trans*)

**lemma** *wf-weak-decr-stable*:

**assumes** *as*:  $ALL\ i. (f\ (Suc\ i), f\ i) : r^* \wedge wf\ (r^+)$

**shows**  $EX\ i. ALL\ k. f\ (i+k) = f\ i$

**proof** –

**have** *lem*:  $!!x. [[\ ALL\ i. (f\ (Suc\ i), f\ i) : r^*; wf\ (r^+) ] ]$

$\implies ALL\ m. f\ m = x \dashrightarrow (EX\ i. ALL\ k. f\ (m+i+k) = f\ (m+i))$

**apply** (*erule wf-induct, clarify*)

**apply** (*case-tac EX j. (f\ (m+j), f\ m) : r^+*)

**apply** *clarify*

**apply** (*subgoal-tac EX i. ALL k. f\ ((m+j) + i+k) = f\ ((m+j) + i)*)

**apply** *clarify*

**apply** (*rule-tac x = j+i in exI*)

**apply** (*simp add: add-ac, blast*)

**apply** (*rule-tac x = 0 in exI, clarsimp*)

**apply** (*drule-tac i = m and k = k in sequence-trans*)

**apply** (*blast elim: rtranclE dest: rtrancl-into-trancl1*)

**done**

**from** *lem* [*OF as, THEN spec, of 0, simplified*]

**show** *?thesis* **by** *auto*

**qed**

**lemma** *weak-decr-stable*:

$ALL\ i. f\ (Suc\ i) \leq ((f\ i)::nat) \implies EX\ i. ALL\ k. f\ (i+k) = f\ i$

**apply** (*rule-tac r = pred-nat in wf-weak-decr-stable*)

**apply** (*simp add: pred-nat-trancl-eq-le*)

```

apply (intro wf-trancl wf-pred-nat)
done

```

## 24.9 size of a datatype value

```

use Tools/Function/size.ML

```

```

setup Size.setup

```

```

lemma size-bool [code]:
  size (b::bool) = 0 by (cases b) auto

```

```

lemma nat-size [simp, code]: size (n::nat) = n
  by (induct n) simp-all

```

```

declare prod.size [no-atp]

```

```

lemma [code]:
  size (P :: 'a Predicate.pred) = 0 by (cases P) simp

```

```

lemma [code]:
  pred-size f P = 0 by (cases P) simp

```

```

end

```

## 25 FunDef: Function Definitions and Termination Proofs

```

theory FunDef
imports Wellfounded
uses
  Tools/prop-logic.ML
  Tools/sat-solver.ML
  (Tools/Function/function-lib.ML)
  (Tools/Function/function-common.ML)
  (Tools/Function/context-tree.ML)
  (Tools/Function/function-core.ML)
  (Tools/Function/sum-tree.ML)
  (Tools/Function/mutual.ML)
  (Tools/Function/pattern-split.ML)
  (Tools/Function/function.ML)
  (Tools/Function/relation.ML)
  (Tools/Function/measure-functions.ML)
  (Tools/Function/lexicographic-order.ML)
  (Tools/Function/pat-completeness.ML)
  (Tools/Function/fun.ML)
  (Tools/Function/induction-schema.ML)

```

```

(Tools/Function/termination.ML)
(Tools/Function/scnp-solve.ML)
(Tools/Function/scnp-reconstruct.ML)
begin

```

### 25.1 Definitions with default value.

#### definition

```

THE-default :: 'a ⇒ ('a ⇒ bool) ⇒ 'a where
THE-default d P = (if (∃!x. P x) then (THE x. P x) else d)

```

**lemma** *THE-defaultI'*:  $\exists!x. P x \implies P (THE\text{-}default\ d\ P)$   
**by** (*simp add: theI' THE-default-def*)

**lemma** *THE-default1-equality*:

```

[[∃!x. P x; P a]] ⇒ THE-default d P = a
by (simp add: the1-equality THE-default-def)

```

**lemma** *THE-default-none*:

```

¬(∃!x. P x) ⇒ THE-default d P = d
by (simp add: THE-default-def)

```

**lemma** *fundef-ex1-existence*:

```

assumes f-def: f == (λx::'a. THE-default (d x) (λy. G x y))
assumes ex1: ∃!y. G x y
shows G x (f x)
apply (simp only: f-def)
apply (rule THE-defaultI')
apply (rule ex1)
done

```

**lemma** *fundef-ex1-uniqueness*:

```

assumes f-def: f == (λx::'a. THE-default (d x) (λy. G x y))
assumes ex1: ∃!y. G x y
assumes elm: G x (h x)
shows h x = f x
apply (simp only: f-def)
apply (rule THE-default1-equality [symmetric])
apply (rule ex1)
apply (rule elm)
done

```

**lemma** *fundef-ex1-iff*:

```

assumes f-def: f == (λx::'a. THE-default (d x) (λy. G x y))
assumes ex1: ∃!y. G x y
shows (G x y) = (f x = y)
apply (auto simp: ex1 f-def THE-default1-equality)
apply (rule THE-defaultI')

```

```

apply (rule ex1)
done

lemma fundef-default-value:
  assumes f-def:  $f == (\lambda x::'a. \text{THE-default } (d \ x) (\lambda y. G \ x \ y))$ 
  assumes graph:  $\bigwedge x \ y. G \ x \ y \implies D \ x$ 
  assumes  $\neg D \ x$ 
  shows  $f \ x = d \ x$ 
proof -
  have  $\neg(\exists y. G \ x \ y)$ 
  proof
    assume  $\exists y. G \ x \ y$ 
    hence  $D \ x$  using graph ..
    with  $\neg D \ x$  show False ..
  qed
  hence  $\neg(\exists y. G \ x \ y)$  by blast

thus ?thesis
  unfolding f-def
  by (rule THE-default-none)
qed

definition in-rel-def[simp]:
  in-rel  $R \ x \ y == (x, y) \in R$ 

lemma wf-in-rel:
   $wf \ R \implies wfP \ (in-rel \ R)$ 
  by (simp add: wfP-def)

use Tools/Function/function-lib.ML
use Tools/Function/function-common.ML
use Tools/Function/context-tree.ML
use Tools/Function/function-core.ML
use Tools/Function/sum-tree.ML
use Tools/Function/mutual.ML
use Tools/Function/pattern-split.ML
use Tools/Function/relation.ML
use Tools/Function/function.ML
use Tools/Function/pat-completeness.ML
use Tools/Function/fun.ML
use Tools/Function/induction-schema.ML

setup <<
  Function.setup
  #> Pat-Completeness.setup
  #> Function-Fun.setup
  #> Induction-Schema.setup
  >>

```

## 25.2 Measure Functions

**inductive** *is-measure* :: ('a  $\Rightarrow$  nat)  $\Rightarrow$  bool  
**where** *is-measure-trivial*: *is-measure* f

**use** *Tools/Function/measure-functions.ML*  
**setup** *MeasureFunctions.setup*

**lemma** *measure-size*[*measure-function*]: *is-measure* size  
**by** (rule *is-measure-trivial*)

**lemma** *measure-fst*[*measure-function*]: *is-measure* f  $\Longrightarrow$  *is-measure* ( $\lambda p. f (fst p)$ )  
**by** (rule *is-measure-trivial*)

**lemma** *measure-snd*[*measure-function*]: *is-measure* f  $\Longrightarrow$  *is-measure* ( $\lambda p. f (snd p)$ )  
**by** (rule *is-measure-trivial*)

**use** *Tools/Function/lexicographic-order.ML*  
**setup** *Lexicographic-Order.setup*

## 25.3 Congruence Rules

**lemma** *let-cong* [*fundef-cong*]:  
 $M = N \Longrightarrow (\bigwedge x. x = N \Longrightarrow f x = g x) \Longrightarrow Let M f = Let N g$   
**unfolding** *Let-def* **by** *blast*

**lemmas** [*fundef-cong*] =  
*if-cong image-cong INT-cong UN-cong*  
*bex-cong ball-cong imp-cong*

**lemma** *split-cong* [*fundef-cong*]:  
 $(\bigwedge x y. (x, y) = q \Longrightarrow f x y = g x y) \Longrightarrow p = q$   
 $\Longrightarrow split f p = split g q$   
**by** (*auto simp: split-def*)

**lemma** *comp-cong* [*fundef-cong*]:  
 $f (g x) = f' (g' x') \Longrightarrow (f o g) x = (f' o g') x'$   
**unfolding** *o-apply* .

## 25.4 Simp rules for termination proofs

**lemma** *termination-basic-simps*[*termination-simp*]:  
 $x < (y::nat) \Longrightarrow x < y + z$   
 $x < z \Longrightarrow x < y + z$   
 $x \leq y \Longrightarrow x \leq y + (z::nat)$   
 $x \leq z \Longrightarrow x \leq y + (z::nat)$   
 $x < y \Longrightarrow x \leq (y::nat)$   
**by** *arith+*

**declare** *le-imp-less-Suc*[*termination-simp*]

**lemma** *prod-size-simp*[*termination-simp*]:  
 $prod\text{-}size\ f\ g\ p = f\ (fst\ p) + g\ (snd\ p) + Suc\ 0$   
**by** (*induct p*) *auto*

## 25.5 Decomposition

**lemma** *less-by-empty*:  
 $A = \{\} \implies A \subseteq B$   
**and** *union-comp-emptyL*:  
 $\llbracket A\ O\ C = \{\}; B\ O\ C = \{\} \rrbracket \implies (A \cup B)\ O\ C = \{\}$   
**and** *union-comp-emptyR*:  
 $\llbracket A\ O\ B = \{\}; A\ O\ C = \{\} \rrbracket \implies A\ O\ (B \cup C) = \{\}$   
**and** *wf-no-loop*:  
 $R\ O\ R = \{\} \implies wf\ R$   
**by** (*auto simp add: wf-comp-self*[*of R*])

## 25.6 Reduction Pairs

**definition**  
 $reduction\text{-}pair\ P = (wf\ (fst\ P) \wedge fst\ P\ O\ snd\ P \subseteq fst\ P)$

**lemma** *reduction-pairI*[*intro*]:  $wf\ R \implies R\ O\ S \subseteq R \implies reduction\text{-}pair\ (R, S)$   
**unfolding** *reduction-pair-def* **by** *auto*

**lemma** *reduction-pair-lemma*:  
**assumes** *rp*: *reduction-pair P*  
**assumes**  $R \subseteq fst\ P$   
**assumes**  $S \subseteq snd\ P$   
**assumes** *wf S*  
**shows**  $wf\ (R \cup S)$   
**proof** –  
**from** *rp*  $\langle S \subseteq snd\ P \rangle$  **have**  $wf\ (fst\ P)\ fst\ P\ O\ S \subseteq fst\ P$   
**unfolding** *reduction-pair-def* **by** *auto*  
**with**  $\langle wf\ S \rangle$  **have**  $wf\ (fst\ P \cup S)$   
**by** (*auto intro: wf-union-compatible*)  
**moreover from**  $\langle R \subseteq fst\ P \rangle$  **have**  $R \cup S \subseteq fst\ P \cup S$  **by** *auto*  
**ultimately show** *?thesis* **by** (*rule wf-subset*)  
**qed**

**definition**  
 $rp\text{-}inv\text{-}image = (\lambda(R,S)\ f.\ (inv\text{-}image\ R\ f,\ inv\text{-}image\ S\ f))$

**lemma** *rp-inv-image-rp*:  
 $reduction\text{-}pair\ P \implies reduction\text{-}pair\ (rp\text{-}inv\text{-}image\ P\ f)$   
**unfolding** *reduction-pair-def* *rp-inv-image-def* *split-def*  
**by** *force*

## 25.7 Concrete orders for SCNP termination proofs

**definition** *pair-less* = *less-than*  $\langle *lex* \rangle$  *less-than*

**definition** [code del]: *pair-leq* = *pair-less*  $\hat{=}$

**definition** *max-strict* = *max-ext* *pair-less*

**definition** [code del]: *max-weak* = *max-ext* *pair-leq*  $\cup \{(\{\}, \{\})\}$

**definition** [code del]: *min-strict* = *min-ext* *pair-less*

**definition** [code del]: *min-weak* = *min-ext* *pair-leq*  $\cup \{(\{\}, \{\})\}$

**lemma** *wf-pair-less*[simp]: *wf* *pair-less*

by (*auto* simp: *pair-less-def*)

Introduction rules for *pair-less*/*pair-leq*

**lemma** *pair-leqI1*:  $a < b \implies ((a, s), (b, t)) \in \text{pair-leq}$

and *pair-leqI2*:  $a \leq b \implies s \leq t \implies ((a, s), (b, t)) \in \text{pair-leq}$

and *pair-lessI1*:  $a < b \implies ((a, s), (b, t)) \in \text{pair-less}$

and *pair-lessI2*:  $a \leq b \implies s < t \implies ((a, s), (b, t)) \in \text{pair-less}$

**unfolding** *pair-leq-def* *pair-less-def* by *auto*

Introduction rules for *max*

**lemma** *smax-emptyI*:

*finite*  $Y \implies Y \neq \{\} \implies (\{\}, Y) \in \text{max-strict}$

and *smax-insertI*:

$\llbracket y \in Y; (x, y) \in \text{pair-less}; (X, Y) \in \text{max-strict} \rrbracket \implies (\text{insert } x \ X, Y) \in \text{max-strict}$

and *wmax-emptyI*:

*finite*  $X \implies (\{\}, X) \in \text{max-weak}$

and *wmax-insertI*:

$\llbracket y \in YS; (x, y) \in \text{pair-leq}; (XS, YS) \in \text{max-weak} \rrbracket \implies (\text{insert } x \ XS, YS) \in \text{max-weak}$

**unfolding** *max-strict-def* *max-weak-def* by (*auto* elim!: *max-ext.cases*)

Introduction rules for *min*

**lemma** *smin-emptyI*:

$X \neq \{\} \implies (X, \{\}) \in \text{min-strict}$

and *smin-insertI*:

$\llbracket x \in XS; (x, y) \in \text{pair-less}; (XS, YS) \in \text{min-strict} \rrbracket \implies (XS, \text{insert } y \ YS) \in \text{min-strict}$

and *wmin-emptyI*:

$(X, \{\}) \in \text{min-weak}$

and *wmin-insertI*:

$\llbracket x \in XS; (x, y) \in \text{pair-leq}; (XS, YS) \in \text{min-weak} \rrbracket \implies (XS, \text{insert } y \ YS) \in \text{min-weak}$

by (*auto* simp: *min-strict-def* *min-weak-def* *min-ext-def*)

Reduction Pairs

**lemma** *max-ext-compat*:

assumes  $R \ O \ S \subseteq R$

shows  $\text{max-ext } R \ O \ (\text{max-ext } S \cup \{(\{\}, \{\})\}) \subseteq \text{max-ext } R$

using *assms*

```

apply auto
apply (elim max-ext.cases)
apply rule
apply auto[3]
apply (drule-tac x=xa in meta-spec)
apply simp
apply (erule bexE)
apply (drule-tac x=xb in meta-spec)
by auto

lemma max-rpair-set: reduction-pair (max-strict, max-weak)
  unfolding max-strict-def max-weak-def
apply (intro reduction-pairI max-ext-wf)
apply simp
apply (rule max-ext-compat)
by (auto simp: pair-less-def pair-leq-def)

lemma min-ext-compat:
  assumes R O S ⊆ R
  shows min-ext R O (min-ext S ∪ {{},{}}) ⊆ min-ext R
using assms
apply (auto simp: min-ext-def)
apply (drule-tac x=ya in bspec, assumption)
apply (erule bexE)
apply (drule-tac x=xc in bspec)
apply assumption
by auto

lemma min-rpair-set: reduction-pair (min-strict, min-weak)
  unfolding min-strict-def min-weak-def
apply (intro reduction-pairI min-ext-wf)
apply simp
apply (rule min-ext-compat)
by (auto simp: pair-less-def pair-leq-def)

```

## 25.8 Tool setup

```

use Tools/Function/termination.ML
use Tools/Function/scnp-solve.ML
use Tools/Function/scnp-reconstruct.ML

setup  $\ll$  ScnpReconstruct.setup  $\gg$ 

ML-val — setup inactive
 $\ll$ 
  Context.theory-map (Function-Common.set-termination-prover
    (ScnpReconstruct.decomp-scnp-tac [ScnpSolve.MAX, ScnpSolve.MIN, Scnp-
      Solve.MS]))
 $\gg$ 

```



end

## 26 Extraction: Program extraction for HOL

```
theory Extraction
imports Option
uses Tools/rewrite-hol-proof.ML
begin
```

### 26.1 Setup

```
setup ⟨⟨
  Extraction.add-types
    [(bool, ([], NONE))] #>
  Extraction.set-preprocessor (fn thy =>
    Proofterm.rewrite-proof-notypes
      ([], RewriteHOLProof.elim-cong :: ProofRewriteRules.rprocs true) o
    Proofterm.rewrite-proof thy
      (RewriteHOLProof.rews,
        ProofRewriteRules.rprocs true @ [ProofRewriteRules.expand-of-class thy]) o
    ProofRewriteRules.elim-vars (curry Const @ {const-name default}))
  ⟩⟩
```

```
lemmas [extraction-expand] =
  meta-spec atomize-eq atomize-all atomize-imp atomize-conj
  allE rev-mp conjE Eq-TrueI Eq-FalseI eqTrueI eqTrueE eq-cong2
  notE' impE' impE iffE imp-cong simp-thms eq-True eq-False
  induct-forall-eq induct-implies-eq induct-equal-eq induct-conj-eq
  induct-atomize induct-atomize' induct-rulify induct-rulify'
  induct-rulify-fallback induct-trueI
  True-implies-equals TrueE
```

```
lemmas [extraction-expand-def] =
  induct-forall-def induct-implies-def induct-equal-def induct-conj-def
  induct-true-def induct-false-def
```

```
datatype sumbool = Left | Right
```

### 26.2 Type of extracted program

```
extract-type
  typeof (Trueprop P) ≡ typeof P

  typeof P ≡ Type (TYPE(Null)) ⇒ typeof Q ≡ Type (TYPE('Q)) ⇒
    typeof (P → Q) ≡ Type (TYPE('Q))

  typeof Q ≡ Type (TYPE(Null)) ⇒ typeof (P → Q) ≡ Type (TYPE(Null))
```

$$\begin{aligned}
& \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\
& \quad \text{typeof } (P \longrightarrow Q) \equiv \text{Type } (\text{TYPE}('P \Rightarrow 'Q)) \\
& (\lambda x. \text{typeof } (P x)) \equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& \quad \text{typeof } (\forall x. P x) \equiv \text{Type } (\text{TYPE}(\text{Null})) \\
& (\lambda x. \text{typeof } (P x)) \equiv (\lambda x. \text{Type } (\text{TYPE}('P))) \implies \\
& \quad \text{typeof } (\forall x::'a. P x) \equiv \text{Type } (\text{TYPE}('a \Rightarrow 'P)) \\
& (\lambda x. \text{typeof } (P x)) \equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& \quad \text{typeof } (\exists x::'a. P x) \equiv \text{Type } (\text{TYPE}('a)) \\
& (\lambda x. \text{typeof } (P x)) \equiv (\lambda x. \text{Type } (\text{TYPE}('P))) \implies \\
& \quad \text{typeof } (\exists x::'a. P x) \equiv \text{Type } (\text{TYPE}('a \times 'P)) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
& \quad \text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}(\text{sumbool})) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\
& \quad \text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}('Q \text{ option})) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
& \quad \text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}('P \text{ option})) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\
& \quad \text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}('P + 'Q)) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\
& \quad \text{typeof } (P \wedge Q) \equiv \text{Type } (\text{TYPE}('Q)) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
& \quad \text{typeof } (P \wedge Q) \equiv \text{Type } (\text{TYPE}('P)) \\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\
& \quad \text{typeof } (P \wedge Q) \equiv \text{Type } (\text{TYPE}('P \times 'Q)) \\
& \text{typeof } (P = Q) \equiv \text{typeof } ((P \longrightarrow Q) \wedge (Q \longrightarrow P)) \\
& \text{typeof } (x \in P) \equiv \text{typeof } P
\end{aligned}$$

### 26.3 Realizability

#### realizability

$$\begin{aligned}
& (\text{realizes } t \text{ (Trueprop } P)) \equiv (\text{Trueprop } (\text{realizes } t \text{ } P)) \\
& (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& \quad (\text{realizes } t \text{ } (P \longrightarrow Q)) \equiv (\text{realizes } \text{Null } P \longrightarrow \text{realizes } t \text{ } Q)
\end{aligned}$$

$$\begin{aligned}
& (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}('P))) \implies \\
& (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (P \longrightarrow Q)) \equiv (\forall x::'P. \text{realizes } x \ P \longrightarrow \text{realizes } \text{Null } Q) \\
\\
& (\text{realizes } t \ (P \longrightarrow Q)) \equiv (\forall x. \text{realizes } x \ P \longrightarrow \text{realizes } (t \ x) \ Q) \\
\\
& (\lambda x. \text{typeof } (P \ x)) \equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (\forall x. P \ x)) \equiv (\forall x. \text{realizes } \text{Null } (P \ x)) \\
\\
& (\text{realizes } t \ (\forall x. P \ x)) \equiv (\forall x. \text{realizes } (t \ x) \ (P \ x)) \\
\\
& (\lambda x. \text{typeof } (P \ x)) \equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (\exists x. P \ x)) \equiv (\text{realizes } \text{Null } (P \ t)) \\
\\
& (\text{realizes } t \ (\exists x. P \ x)) \equiv (\text{realizes } (\text{snd } t) \ (P \ (\text{fst } t))) \\
\\
& (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (P \vee Q)) \equiv \\
& (\text{case } t \text{ of Left } \Rightarrow \text{realizes } \text{Null } P \mid \text{Right } \Rightarrow \text{realizes } \text{Null } Q) \\
\\
& (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (P \vee Q)) \equiv \\
& (\text{case } t \text{ of None } \Rightarrow \text{realizes } \text{Null } P \mid \text{Some } q \Rightarrow \text{realizes } q \ Q) \\
\\
& (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (P \vee Q)) \equiv \\
& (\text{case } t \text{ of None } \Rightarrow \text{realizes } \text{Null } Q \mid \text{Some } p \Rightarrow \text{realizes } p \ P) \\
\\
& (\text{realizes } t \ (P \vee Q)) \equiv \\
& (\text{case } t \text{ of Inl } p \Rightarrow \text{realizes } p \ P \mid \text{Inr } q \Rightarrow \text{realizes } q \ Q) \\
\\
& (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } \text{Null } P \wedge \text{realizes } t \ Q) \\
\\
& (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } t \ P \wedge \text{realizes } \text{Null } Q) \\
\\
& (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } (\text{fst } t) \ P \wedge \text{realizes } (\text{snd } t) \ Q) \\
\\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
& \text{realizes } t \ (\neg P) \equiv \neg \text{realizes } \text{Null } P \\
\\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \\
& \text{realizes } t \ (\neg P) \equiv (\forall x::'P. \neg \text{realizes } x \ P) \\
\\
& \text{typeof } (P::\text{bool}) \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
& \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
& \text{realizes } t \ (P = Q) \equiv \text{realizes } \text{Null } P = \text{realizes } \text{Null } Q
\end{aligned}$$

$$(\text{realizes } t \ (P = Q)) \equiv (\text{realizes } t \ ((P \longrightarrow Q) \wedge (Q \longrightarrow P)))$$

## 26.4 Computational content of basic inference rules

**theorem** *disjE-realizer*:

assumes  $r$ :  $\text{case } x \text{ of } \text{Inl } p \Rightarrow P \ p \mid \text{Inr } q \Rightarrow Q \ q$   
 and  $r1$ :  $\bigwedge p. P \ p \Longrightarrow R \ (f \ p)$  and  $r2$ :  $\bigwedge q. Q \ q \Longrightarrow R \ (g \ q)$   
 shows  $R \ (\text{case } x \text{ of } \text{Inl } p \Rightarrow f \ p \mid \text{Inr } q \Rightarrow g \ q)$   
**proof** (*cases x*)  
 case *Inl*  
 with  $r$  show ?thesis by simp (rule  $r1$ )  
 next  
 case *Inr*  
 with  $r$  show ?thesis by simp (rule  $r2$ )  
 qed

**theorem** *disjE-realizer2*:

assumes  $r$ :  $\text{case } x \text{ of } \text{None} \Rightarrow P \mid \text{Some } q \Rightarrow Q \ q$   
 and  $r1$ :  $P \Longrightarrow R \ f$  and  $r2$ :  $\bigwedge q. Q \ q \Longrightarrow R \ (g \ q)$   
 shows  $R \ (\text{case } x \text{ of } \text{None} \Rightarrow f \mid \text{Some } q \Rightarrow g \ q)$   
**proof** (*cases x*)  
 case *None*  
 with  $r$  show ?thesis by simp (rule  $r1$ )  
 next  
 case *Some*  
 with  $r$  show ?thesis by simp (rule  $r2$ )  
 qed

**theorem** *disjE-realizer3*:

assumes  $r$ :  $\text{case } x \text{ of } \text{Left} \Rightarrow P \mid \text{Right} \Rightarrow Q$   
 and  $r1$ :  $P \Longrightarrow R \ f$  and  $r2$ :  $Q \Longrightarrow R \ g$   
 shows  $R \ (\text{case } x \text{ of } \text{Left} \Rightarrow f \mid \text{Right} \Rightarrow g)$   
**proof** (*cases x*)  
 case *Left*  
 with  $r$  show ?thesis by simp (rule  $r1$ )  
 next  
 case *Right*  
 with  $r$  show ?thesis by simp (rule  $r2$ )  
 qed

**theorem** *conjI-realizer*:

$P \ p \Longrightarrow Q \ q \Longrightarrow P \ (fst \ (p, q)) \wedge Q \ (snd \ (p, q))$   
 by simp

**theorem** *exI-realizer*:

$P \ y \ x \Longrightarrow P \ (snd \ (x, y)) \ (fst \ (x, y))$  by simp

**theorem** *exE-realizer*:  $P \ (snd \ p) \ (fst \ p) \Longrightarrow$

$$(\bigwedge x y. P y x \implies Q (f x y)) \implies Q (let (x, y) = p in f x y)$$

**by** (cases p) (simp add: Let-def)

**theorem** *exE-realizer'*:  $P (snd p) (fst p) \implies$   
 $(\bigwedge x y. P y x \implies Q) \implies Q$  **by** (cases p) simp

### realizers

$$impI (P, Q): \lambda pq. pq$$

$$\Lambda (c: -) (d: -) P Q pq (h: -). allI \cdot \cdot \cdot c \cdot (\Lambda x. impI \cdot \cdot \cdot \cdot (h \cdot x))$$

$$impI (P): Null$$

$$\Lambda (c: -) P Q (h: -). allI \cdot \cdot \cdot c \cdot (\Lambda x. impI \cdot \cdot \cdot \cdot (h \cdot x))$$

$$impI (Q): \lambda q. q \Lambda (c: -) P Q q. impI \cdot \cdot \cdot$$

$$impI: Null impI$$

$$mp (P, Q): \lambda pq. pq$$

$$\Lambda (c: -) (d: -) P Q pq (h: -) p. mp \cdot \cdot \cdot \cdot (spec \cdot \cdot \cdot p \cdot c \cdot h)$$

$$mp (P): Null$$

$$\Lambda (c: -) P Q (h: -) p. mp \cdot \cdot \cdot \cdot (spec \cdot \cdot \cdot p \cdot c \cdot h)$$

$$mp (Q): \lambda q. q \Lambda (c: -) P Q q. mp \cdot \cdot \cdot$$

$$mp: Null mp$$

$$allI (P): \lambda p. p \Lambda (c: -) P (d: -) p. allI \cdot \cdot \cdot d$$

$$allI: Null allI$$

$$spec (P): \lambda x p. p x \Lambda (c: -) P x (d: -) p. spec \cdot \cdot \cdot x \cdot d$$

$$spec: Null spec$$

$$exI (P): \lambda x p. (x, p) \Lambda (c: -) P x (d: -) p. exI-realizer \cdot P \cdot p \cdot x \cdot c \cdot d$$

$$exI: \lambda x. x \Lambda P x (c: -) (h: -). h$$

$$exE (P, Q): \lambda p pq. let (x, y) = p in pq x y$$

$$\Lambda (c: -) (d: -) P Q (e: -) p (h: -) pq. exE-realizer \cdot P \cdot p \cdot Q \cdot pq \cdot c \cdot e \cdot d \cdot h$$

$$exE (P): Null$$

$$\Lambda (c: -) P Q (d: -) p. exE-realizer' \cdot \cdot \cdot \cdot \cdot c \cdot d$$

$$exE (Q): \lambda x pq. pq x$$

$$\Lambda (c: -) P Q (d: -) x (h1: -) pq (h2: -). h2 \cdot x \cdot h1$$

$$exE: Null$$

$$\Lambda P Q (c: -) x (h1: -) (h2: -). h2 \cdot x \cdot h1$$

$$\text{conjI} (P, Q): \text{Pair}$$

$$\Lambda (c: -) (d: -) P Q p (h: -) q. \text{conjI-realizer} \cdot P \cdot p \cdot Q \cdot q \cdot c \cdot d \cdot h$$

$$\text{conjI} (P): \lambda p. p$$

$$\Lambda (c: -) P Q p. \text{conjI} \cdot \cdot \cdot \cdot$$

$$\text{conjI} (Q): \lambda q. q$$

$$\Lambda (c: -) P Q (h: -) q. \text{conjI} \cdot \cdot \cdot \cdot h$$

$$\text{conjI}: \text{Null conjI}$$

$$\text{conjunct1} (P, Q): \text{fst}$$

$$\Lambda (c: -) (d: -) P Q pq. \text{conjunct1} \cdot \cdot \cdot \cdot$$

$$\text{conjunct1} (P): \lambda p. p$$

$$\Lambda (c: -) P Q p. \text{conjunct1} \cdot \cdot \cdot \cdot$$

$$\text{conjunct1} (Q): \text{Null}$$

$$\Lambda (c: -) P Q q. \text{conjunct1} \cdot \cdot \cdot \cdot$$

$$\text{conjunct1}: \text{Null conjunct1}$$

$$\text{conjunct2} (P, Q): \text{snd}$$

$$\Lambda (c: -) (d: -) P Q pq. \text{conjunct2} \cdot \cdot \cdot \cdot$$

$$\text{conjunct2} (P): \text{Null}$$

$$\Lambda (c: -) P Q p. \text{conjunct2} \cdot \cdot \cdot \cdot$$

$$\text{conjunct2} (Q): \lambda p. p$$

$$\Lambda (c: -) P Q p. \text{conjunct2} \cdot \cdot \cdot \cdot$$

$$\text{conjunct2}: \text{Null conjunct2}$$

$$\text{disjI1} (P, Q): \text{Inl}$$

$$\Lambda (c: -) (d: -) P Q p. \text{iffD2} \cdot \cdot \cdot \cdot (\text{sum.cases-1} \cdot P \cdot \cdot \cdot p \cdot \text{arity-type-bool} \cdot c \cdot d)$$

$$\text{disjI1} (P): \text{Some}$$

$$\Lambda (c: -) P Q p. \text{iffD2} \cdot \cdot \cdot \cdot (\text{option.cases-2} \cdot \cdot \cdot P \cdot p \cdot \text{arity-type-bool} \cdot c)$$

$$\text{disjI1} (Q): \text{None}$$

$$\Lambda (c: -) P Q. \text{iffD2} \cdot \cdot \cdot \cdot (\text{option.cases-1} \cdot \cdot \cdot \cdot \text{arity-type-bool} \cdot c)$$

$$\text{disjI1}: \text{Left}$$

$$\Lambda P Q. \text{iffD2} \cdot \cdot \cdot \cdot (\text{sumbool.cases-1} \cdot \cdot \cdot \cdot \text{arity-type-bool})$$

$$\text{disjI2} (P, Q): \text{Inr}$$

$\Lambda (d: -) (c: -) Q P q. \text{iffD2} \cdot \dots \cdot (\text{sum.cases-2} \cdot \dots \cdot Q \cdot q \cdot \text{arity-type-bool} \cdot c \cdot d)$

$\text{disjI2} (P): \text{None}$

$\Lambda (c: -) Q P. \text{iffD2} \cdot \dots \cdot (\text{option.cases-1} \cdot \dots \cdot \text{arity-type-bool} \cdot c)$

$\text{disjI2} (Q): \text{Some}$

$\Lambda (c: -) Q P q. \text{iffD2} \cdot \dots \cdot (\text{option.cases-2} \cdot \dots \cdot Q \cdot q \cdot \text{arity-type-bool} \cdot c)$

$\text{disjI2}: \text{Right}$

$\Lambda Q P. \text{iffD2} \cdot \dots \cdot (\text{sumbool.cases-2} \cdot \dots \cdot \text{arity-type-bool})$

$\text{disjE} (P, Q, R): \lambda pq \text{ pr } qr.$

$(\text{case } pq \text{ of } \text{Inl } p \Rightarrow \text{pr } p \mid \text{Inr } q \Rightarrow \text{qr } q)$

$\Lambda (c: -) (d: -) (e: -) P Q R pq (h1: -) \text{pr} (h2: -) qr.$

$\text{disjE-realizer} \cdot \dots \cdot pq \cdot R \cdot \text{pr} \cdot \text{qr} \cdot c \cdot d \cdot e \cdot h1 \cdot h2$

$\text{disjE} (Q, R): \lambda pq \text{ pr } qr.$

$(\text{case } pq \text{ of } \text{None} \Rightarrow \text{pr} \mid \text{Some } q \Rightarrow \text{qr } q)$

$\Lambda (c: -) (d: -) P Q R pq (h1: -) \text{pr} (h2: -) qr.$

$\text{disjE-realizer2} \cdot \dots \cdot pq \cdot R \cdot \text{pr} \cdot \text{qr} \cdot c \cdot d \cdot h1 \cdot h2$

$\text{disjE} (P, R): \lambda pq \text{ pr } qr.$

$(\text{case } pq \text{ of } \text{None} \Rightarrow \text{qr} \mid \text{Some } p \Rightarrow \text{pr } p)$

$\Lambda (c: -) (d: -) P Q R pq (h1: -) \text{pr} (h2: -) qr (h3: -).$

$\text{disjE-realizer2} \cdot \dots \cdot pq \cdot R \cdot \text{qr} \cdot \text{pr} \cdot c \cdot d \cdot h1 \cdot h3 \cdot h2$

$\text{disjE} (R): \lambda pq \text{ pr } qr.$

$(\text{case } pq \text{ of } \text{Left} \Rightarrow \text{pr} \mid \text{Right} \Rightarrow \text{qr})$

$\Lambda (c: -) P Q R pq (h1: -) \text{pr} (h2: -) qr.$

$\text{disjE-realizer3} \cdot \dots \cdot pq \cdot R \cdot \text{pr} \cdot \text{qr} \cdot c \cdot h1 \cdot h2$

$\text{disjE} (P, Q): \text{Null}$

$\Lambda (c: -) (d: -) P Q R pq. \text{disjE-realizer} \cdot \dots \cdot pq \cdot (\lambda x. R) \cdot \dots \cdot c \cdot d \cdot \text{arity-type-bool}$

$\text{disjE} (Q): \text{Null}$

$\Lambda (c: -) P Q R pq. \text{disjE-realizer2} \cdot \dots \cdot pq \cdot (\lambda x. R) \cdot \dots \cdot c \cdot \text{arity-type-bool}$

$\text{disjE} (P): \text{Null}$

$\Lambda (c: -) P Q R pq (h1: -) (h2: -) (h3: -).$

$\text{disjE-realizer2} \cdot \dots \cdot pq \cdot (\lambda x. R) \cdot \dots \cdot c \cdot \text{arity-type-bool} \cdot h1 \cdot h3 \cdot h2$

$\text{disjE}: \text{Null}$

$\Lambda P Q R pq. \text{disjE-realizer3} \cdot \dots \cdot pq \cdot (\lambda x. R) \cdot \dots \cdot \text{arity-type-bool}$

$\text{FalseE} (P): \text{default}$

$\Lambda (c: -) P. \text{FalseE} \cdot -$

*FalseE*: *Null FalseE*

*notI* (*P*): *Null*

$\Lambda (c: -) P (h: -). \text{allI} \cdot - \cdot c \cdot (\Lambda x. \text{notI} \cdot - \cdot (h \cdot x))$

*notI*: *Null notI*

*notE* (*P*, *R*):  $\lambda p. \text{default}$

$\Lambda (c: -) (d: -) P R (h: -) p. \text{notE} \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot p \cdot c \cdot h)$

*notE* (*P*): *Null*

$\Lambda (c: -) P R (h: -) p. \text{notE} \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot p \cdot c \cdot h)$

*notE* (*R*): *default*

$\Lambda (c: -) P R. \text{notE} \cdot - \cdot -$

*notE*: *Null notE*

*subst* (*P*):  $\lambda s \ t \ ps. ps$

$\Lambda (c: -) s \ t \ P (d: -) (h: -) ps. \text{subst} \cdot s \cdot t \cdot P \ ps \cdot d \cdot h$

*subst*: *Null subst*

*iffD1* (*P*, *Q*): *fst*

$\Lambda (d: -) (c: -) Q P pq (h: -) p. \\ mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot p \cdot d \cdot (\text{conjunct1} \cdot - \cdot - \cdot h))$

*iffD1* (*P*):  $\lambda p. p$

$\Lambda (c: -) Q P p (h: -). mp \cdot - \cdot - \cdot (\text{conjunct1} \cdot - \cdot - \cdot h)$

*iffD1* (*Q*): *Null*

$\Lambda (c: -) Q P q1 (h: -) q2. \\ mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot q2 \cdot c \cdot (\text{conjunct1} \cdot - \cdot - \cdot h))$

*iffD1*: *Null iffD1*

*iffD2* (*P*, *Q*): *snd*

$\Lambda (c: -) (d: -) P Q pq (h: -) q. \\ mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot q \cdot d \cdot (\text{conjunct2} \cdot - \cdot - \cdot h))$

*iffD2* (*P*):  $\lambda p. p$

$\Lambda (c: -) P Q p (h: -). mp \cdot - \cdot - \cdot (\text{conjunct2} \cdot - \cdot - \cdot h)$

*iffD2* (*Q*): *Null*

$\Lambda (c: -) P Q q1 (h: -) q2. \\ mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot q2 \cdot c \cdot (\text{conjunct2} \cdot - \cdot - \cdot h))$

*iffD2*: *Null iffD2*



```

iffI (P, Q): Pair
  Λ (c: -) (d: -) P Q pq (h1 : -) qp (h2 : -). conjI-realizer ·
    (λpq. ∀ x. P x ⟶ Q (pq x)) · pq ·
    (λqp. ∀ x. Q x ⟶ P (qp x)) · qp ·
    (arity-type-fun · c · d) ·
    (arity-type-fun · d · c) ·
    (allI · - · c · (Λ x. impI · - · - · (h1 · x))) ·
    (allI · - · d · (Λ x. impI · - · - · (h2 · x)))

iffI (P): λp. p
  Λ (c: -) P Q (h1 : -) p (h2 : -). conjI · - · - ·
    (allI · - · c · (Λ x. impI · - · - · (h1 · x))) ·
    (impI · - · - · h2)

iffI (Q): λq. q
  Λ (c: -) P Q q (h1 : -) (h2 : -). conjI · - · - ·
    (impI · - · - · h1) ·
    (allI · - · c · (Λ x. impI · - · - · (h2 · x)))

iffI: Null iffI

end

```

## 27 Plain: Plain HOL

```

theory Plain
imports Datatype Record FunDef Extraction
begin

```

Plain bootstrap of fundamental HOL tools and packages; does not include *Hilbert-Choice*.

```
ML << path-add ~~/src/HOL/Library >>
```

```
end
```

## References