

# Isabelle/HOL — Higher-Order Logic

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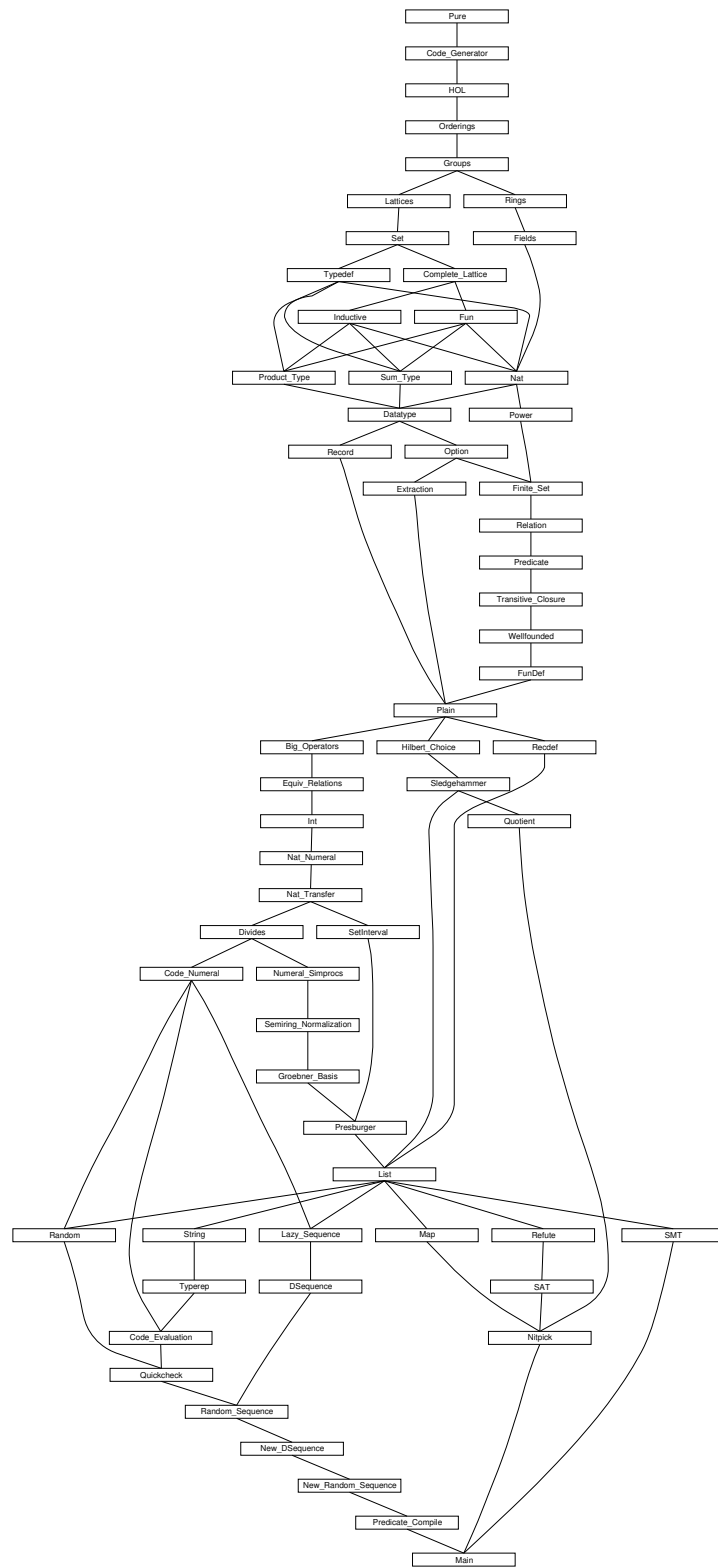
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## 1 Code-Generator: Loading the code generator modules

```

theory Code-Generator
imports Pure
uses
  ~~ /src/Tools/auto-solve.ML
  ~~ /src/Tools/auto-counterexample.ML
  ~~ /src/Tools/quickcheck.ML
  ~~ /src/Tools/value.ML
  ~~ /src/Tools/Code/code-preproc.ML
  ~~ /src/Tools/Code/code-thingol.ML
  ~~ /src/Tools/Code/code-printer.ML
  ~~ /src/Tools/Code/code-target.ML
  ~~ /src/Tools/Code/code-ml.ML
  ~~ /src/Tools/Code/code-eval.ML
  ~~ /src/Tools/Code/code-haskell.ML
  ~~ /src/Tools/Code/code-scala.ML
  ~~ /src/Tools/nbe.ML
begin

  ⟨ML⟩

end

```

## 2 HOL: The basis of Higher-Order Logic

```

theory HOL
imports Pure ~~ /src/Tools/Code-Generator
uses
  (Tools/hologic.ML)
  ~~ /src/Tools/IsaPlanner/zipper.ML
  ~~ /src/Tools/IsaPlanner/isand.ML
  ~~ /src/Tools/IsaPlanner/rw-tools.ML
  ~~ /src/Tools/IsaPlanner/rw-inst.ML
  ~~ /src/Tools/intuitionistic.ML
  ~~ /src/Tools/project-rule.ML
  ~~ /src/Tools/cong-tac.ML
  ~~ /src/Provers/hypsubst.ML
  ~~ /src/Provers/splitter.ML
  ~~ /src/Provers/classical.ML
  ~~ /src/Provers/blast.ML
  ~~ /src/Provers/clasimp.ML
  ~~ /src/Tools/coherent.ML
  ~~ /src/Tools/eqsubst.ML
  ~~ /src/Provers/quantifier1.ML
  (Tools/simpdata.ML)

```

```

~~/src/Tools/random-word.ML
~~/src/Tools/atomize-elim.ML
~~/src/Tools/induct.ML
(~~/src/Tools/induct-tacs.ML)
(Tools/recfun-codegen.ML)
begin

```

⟨ML⟩

## 2.1 Primitive logic

### 2.1.1 Core syntax

```

classes type
default-sort type
⟨ML⟩

```

#### arities

```

fun :: (type, type) type
itself :: (type) type

```

#### global

typedec1 bool

#### judgment

```

Trueprop    :: bool => prop          ((-) 5)

```

#### consts

```

Not          :: bool => bool          (~ - [40] 40)
True         :: bool
False        :: bool

The          :: ('a => bool) => 'a
All          :: ('a => bool) => bool    (binder ALL 10)
Ex           :: ('a => bool) => bool    (binder EX 10)
Ex1          :: ('a => bool) => bool    (binder EX! 10)
Let          :: ['a, 'a => 'b] => 'b

op =         :: ['a, 'a] => bool       (infixl = 50)
op &         :: [bool, bool] => bool   (infixr & 35)
op |         :: [bool, bool] => bool   (infixr | 30)
op -->       :: [bool, bool] => bool   (infixr --> 25)

```

#### local

#### consts

```

If           :: [bool, 'a, 'a] => 'a   ((if (-)/ then (-)/ else (-)) [0, 0, 10] 10)

```

## notation (output)

abbreviation

notation (output)

notation (*xsymbols*)notation (*HTML* output)

abbreviation (*iff*)

notation (*xsymbols*)

nonterminals

syntax

$$\text{-case2} \quad :: [case\text{-syn}, cases\text{-syn}] \Rightarrow cases\text{-syn} \quad (-/ \mid -)$$

## translations

$THE\ x.\ P \quad ==\ CONST\ The\ (\%x.\ P)$   
 $-Let\ (-binds\ b\ bs)\ e \quad ==\ -Let\ b\ (-Let\ bs\ e)$   
 $let\ x = a\ in\ e \quad ==\ CONST\ Let\ a\ (\%x.\ e)$

$\langle ML \rangle$

**syntax** (*xsymbols*)  
 $-case1 \quad ::\ ['a, 'b] ==> case-syn \quad ((2- \Rightarrow / -) 10)$

**notation** (*xsymbols*)  
 $All\ (binder\ \forall\ 10)\ and$   
 $Ex\ (binder\ \exists\ 10)\ and$   
 $Ex1\ (binder\ \exists!\ 10)$

**notation** (*HTML output*)  
 $All\ (binder\ \forall\ 10)\ and$   
 $Ex\ (binder\ \exists\ 10)\ and$   
 $Ex1\ (binder\ \exists!\ 10)$

**notation** (*HOL*)  
 $All\ (binder\ !\ 10)\ and$   
 $Ex\ (binder\ ?\ 10)\ and$   
 $Ex1\ (binder\ ?!\ 10)$

### 2.1.3 Axioms and basic definitions

#### axioms

$refl: \quad t = (t::'a)$   
 $subst: \quad s = t \implies P\ s \implies P\ t$   
 $ext: \quad (!x::'a. (f\ x ::'b) = g\ x) \implies (\%x. f\ x) = (\%x. g\ x)$

— Extensionality is built into the meta-logic, and this rule expresses a related property. It is an eta-expanded version of the traditional rule, and similar to the ABS rule of HOL

$the-eq-trivial: (THE\ x.\ x = a) = (a::'a)$

$impI: \quad (P \implies Q) \implies P \longrightarrow Q$   
 $mp: \quad [| P \longrightarrow Q; P |] \implies Q$

#### defs

$True-def: \quad True \quad ==\ ((\%x::bool. x) = (\%x. x))$   
 $All-def: \quad All(P) \quad ==\ (P = (\%x. True))$   
 $Ex-def: \quad Ex(P) \quad ==\ !Q. (!x. P\ x \longrightarrow Q) \longrightarrow Q$   
 $False-def: \quad False \quad ==\ (!P. P)$   
 $not-def: \quad \sim P \quad ==\ P \longrightarrow False$   
 $and-def: \quad P \ \&\ Q \quad ==\ !R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$   
 $or-def: \quad P \ | \ Q \quad ==\ !R. (P \longrightarrow R) \longrightarrow (Q \longrightarrow R) \longrightarrow R$   
 $Ex1-def: \quad Ex1(P) \quad ==\ ?\ x. P(x) \ \&\ (!\ y. P(y) \longrightarrow y=x)$

**axioms**

*iff*:  $(P \dashv\dashv Q) \dashv\dashv (Q \dashv\dashv P) \dashv\dashv (P=Q)$   
*True-or-False*:  $(P=True) \mid (P=False)$

**defs**

*Let-def* [code]:  $Let\ s\ f == f(s)$   
*if-def*:  $If\ P\ x\ y == THE\ z::'a. (P=True \dashv\dashv z=x) \ \&\ (P=False \dashv\dashv z=y)$

**finalconsts**

*op* =  
*op*  $\dashv\dashv$   
*The*

**axiomatization**

*undefined* :: 'a

**class** *default* =  
**fixes** *default* :: 'a

**2.2 Fundamental rules****2.2.1 Equality**

**lemma** *sym*:  $s = t ==> t = s$   
 $\langle proof \rangle$

**lemma** *ssubst*:  $t = s ==> P\ s ==> P\ t$   
 $\langle proof \rangle$

**lemma** *trans*:  $[| r=s; s=t |] ==> r=t$   
 $\langle proof \rangle$

**lemma** *meta-eq-to-obj-eq*:  
**assumes** *meq*:  $A == B$   
**shows**  $A = B$   
 $\langle proof \rangle$

Useful with *erule* for proving equalities from known equalities.

**lemma** *box-equals*:  $[| a=b; a=c; b=d |] ==> c=d$   
 $\langle proof \rangle$

For calculational reasoning:

**lemma** *forw-subst*:  $a = b ==> P\ b ==> P\ a$   
 $\langle proof \rangle$

**lemma** *back-subst*:  $P\ a ==> a = b ==> P\ b$   
 $\langle proof \rangle$

### 2.2.2 Congruence rules for application

Similar to *AP-THM* in Gordon’s HOL.

**lemma** *fun-cong*:  $(f::'a=>'b) = g ==> f(x)=g(x)$   
 $\langle proof \rangle$

Similar to *AP-TERM* in Gordon’s HOL and FOL’s *subst-context*.

**lemma** *arg-cong*:  $x=y ==> f(x)=f(y)$   
 $\langle proof \rangle$

**lemma** *arg-cong2*:  $\llbracket a = b; c = d \rrbracket \implies f a c = f b d$   
 $\langle proof \rangle$

**lemma** *cong*:  $\llbracket f = g; (x::'a) = y \rrbracket ==> f x = g y$   
 $\langle proof \rangle$

$\langle ML \rangle$

### 2.2.3 Equality of booleans – iff

**lemma** *iffI*: **assumes**  $P ==> Q$  **and**  $Q ==> P$  **shows**  $P=Q$   
 $\langle proof \rangle$

**lemma** *iffD2*:  $\llbracket P=Q; Q \rrbracket ==> P$   
 $\langle proof \rangle$

**lemma** *rev-iffD2*:  $\llbracket Q; P=Q \rrbracket ==> P$   
 $\langle proof \rangle$

**lemma** *iffD1*:  $Q = P \implies Q \implies P$   
 $\langle proof \rangle$

**lemma** *rev-iffD1*:  $Q \implies Q = P \implies P$   
 $\langle proof \rangle$

**lemma** *iffE*:  
**assumes** *major*:  $P=Q$   
**and** *minor*:  $\llbracket P \dashv\dashv Q; Q \dashv\dashv P \rrbracket ==> R$   
**shows**  $R$   
 $\langle proof \rangle$

### 2.2.4 True

**lemma** *TrueI*: *True*  
 $\langle proof \rangle$

**lemma** *eqTrueI*:  $P ==> P = True$   
 $\langle proof \rangle$

**lemma** *eqTrueE*:  $P = \text{True} \implies P$   
 $\langle \text{proof} \rangle$

### 2.2.5 Universal quantifier

**lemma** *allI*: **assumes**  $!!x::'a. P(x)$  **shows**  $\text{ALL } x. P(x)$   
 $\langle \text{proof} \rangle$

**lemma** *spec*:  $\text{ALL } x::'a. P(x) \implies P(x)$   
 $\langle \text{proof} \rangle$

**lemma** *allE*:  
**assumes** *major*:  $\text{ALL } x. P(x)$   
**and** *minor*:  $P(x) \implies R$   
**shows**  $R$   
 $\langle \text{proof} \rangle$

**lemma** *all-dupE*:  
**assumes** *major*:  $\text{ALL } x. P(x)$   
**and** *minor*:  $[| P(x); \text{ALL } x. P(x) |] \implies R$   
**shows**  $R$   
 $\langle \text{proof} \rangle$

### 2.2.6 False

Depends upon *spec*; it is impossible to do propositional logic before quantifiers!

**lemma** *FalseE*:  $\text{False} \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *False-neg-True*:  $\text{False} = \text{True} \implies P$   
 $\langle \text{proof} \rangle$

### 2.2.7 Negation

**lemma** *notI*:  
**assumes**  $P \implies \text{False}$   
**shows**  $\sim P$   
 $\langle \text{proof} \rangle$

**lemma** *False-not-True*:  $\text{False} \sim = \text{True}$   
 $\langle \text{proof} \rangle$

**lemma** *True-not-False*:  $\text{True} \sim = \text{False}$   
 $\langle \text{proof} \rangle$

**lemma** *notE*:  $[| \sim P; P |] \implies R$   
 $\langle \text{proof} \rangle$



**lemma** *notI2*:  $(P \implies \neg Pa) \implies (P \implies Pa) \implies \neg P$   
 $\langle proof \rangle$

### 2.2.8 Implication

**lemma** *impE*:  
 assumes  $P \longrightarrow Q$   $P$   $Q \implies R$   
 shows  $R$   
 $\langle proof \rangle$

**lemma** *rev-mp*:  $[| P; P \longrightarrow Q |] \implies Q$   
 $\langle proof \rangle$

**lemma** *contrapos-nn*:  
 assumes *major*:  $\sim Q$   
 and *minor*:  $P \implies Q$   
 shows  $\sim P$   
 $\langle proof \rangle$

**lemma** *contrapos-pn*:  
 assumes *major*:  $Q$   
 and *minor*:  $P \implies \sim Q$   
 shows  $\sim P$   
 $\langle proof \rangle$

**lemma** *not-sym*:  $t \sim s \implies s \sim t$   
 $\langle proof \rangle$

**lemma** *eq-neq-eq-imp-neq*:  $[| x = a ; a \sim b; b = y |] \implies x \sim y$   
 $\langle proof \rangle$

**lemma** *rev-contrapos*:  
 assumes *pq*:  $P \implies Q$   
 and *nq*:  $\sim Q$   
 shows  $\sim P$   
 $\langle proof \rangle$

### 2.2.9 Existential quantifier

**lemma** *exI*:  $P\ x \implies EX\ x::'a. P\ x$   
 $\langle proof \rangle$

**lemma** *exE*:  
 assumes *major*:  $EX\ x::'a. P(x)$   
 and *minor*:  $!!x. P(x) \implies Q$   
 shows  $Q$   
 $\langle proof \rangle$

**2.2.10 Conjunction**

**lemma** *conjI*:  $[[ P; Q ]] \implies P \& Q$   
 $\langle \text{proof} \rangle$

**lemma** *conjunct1*:  $[[ P \& Q ]] \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *conjunct2*:  $[[ P \& Q ]] \implies Q$   
 $\langle \text{proof} \rangle$

**lemma** *conjE*:  
 assumes *major*:  $P \& Q$   
 and *minor*:  $[[ P; Q ]] \implies R$   
 shows  $R$   
 $\langle \text{proof} \rangle$

**lemma** *context-conjI*:  
 assumes  $P \implies Q$  shows  $P \& Q$   
 $\langle \text{proof} \rangle$

**2.2.11 Disjunction**

**lemma** *disjI1*:  $P \implies P \vee Q$   
 $\langle \text{proof} \rangle$

**lemma** *disjI2*:  $Q \implies P \vee Q$   
 $\langle \text{proof} \rangle$

**lemma** *disjE*:  
 assumes *major*:  $P \vee Q$   
 and *minorP*:  $P \implies R$   
 and *minorQ*:  $Q \implies R$   
 shows  $R$   
 $\langle \text{proof} \rangle$

**2.2.12 Classical logic**

**lemma** *classical*:  
 assumes *prem*:  $\sim P \implies P$   
 shows  $P$   
 $\langle \text{proof} \rangle$

**lemmas** *ccontr* = *FalseE* [THEN *classical*, *standard*]

**lemma** *rev-notE*:  
 assumes *premp*:  $P$   
 and *premnnot*:  $\sim R \implies \sim P$   
 shows  $R$

$\langle proof \rangle$

**lemma** *notnotD*:  $\sim\sim P \implies P$   
 $\langle proof \rangle$

**lemma** *contrapos-pp*:  
 assumes  $p1: Q$   
 and  $p2: \sim P \implies \sim Q$   
 shows  $P$   
 $\langle proof \rangle$

### 2.2.13 Unique existence

**lemma** *exII*:  
 assumes  $P\ a\ !!x. P(x) \implies x=a$   
 shows  $EX!\ x. P(x)$   
 $\langle proof \rangle$

Sometimes easier to use: the premises have no shared variables. Safe!

**lemma** *ex-exII*:  
 assumes *ex-prem*:  $EX\ x. P(x)$   
 and *eq*:  $!!x\ y. [P(x); P(y)] \implies x=y$   
 shows  $EX!\ x. P(x)$   
 $\langle proof \rangle$

**lemma** *exIE*:  
 assumes *major*:  $EX!\ x. P(x)$   
 and *minor*:  $!!x. [P(x); ALL\ y. P(y) \longrightarrow y=x] \implies R$   
 shows  $R$   
 $\langle proof \rangle$

**lemma** *exI-implies-ex*:  $EX!\ x. P\ x \implies EX\ x. P\ x$   
 $\langle proof \rangle$

### 2.2.14 THE: definite description operator

**lemma** *the-equality*:  
 assumes *prema*:  $P\ a$   
 and *premx*:  $!!x. P\ x \implies x=a$   
 shows  $(THE\ x. P\ x) = a$   
 $\langle proof \rangle$

**lemma** *theI*:  
 assumes  $P\ a$  and  $!!x. P\ x \implies x=a$   
 shows  $P\ (THE\ x. P\ x)$   
 $\langle proof \rangle$

**lemma** *theI'*:  $EX!\ x. P\ x \implies P\ (THE\ x. P\ x)$   
 $\langle proof \rangle$

**lemma** *theI2*:

**assumes**  $P\ a\ !!x. P\ x ==> x=a\ !!x. P\ x ==> Q\ x$

**shows**  $Q\ (THE\ x. P\ x)$

$\langle proof \rangle$

**lemma** *the1I2*: **assumes**  $EX!\ x. P\ x \wedge x. P\ x \implies Q\ x$  **shows**  $Q\ (THE\ x. P\ x)$

$\langle proof \rangle$

**lemma** *the1-equality* [*elim?*]:  $[[\ EX!\ x. P\ x; P\ a\ ] ==> (THE\ x. P\ x) = a$

$\langle proof \rangle$

**lemma** *the-sym-eq-trivial*:  $(THE\ y. x=y) = x$

$\langle proof \rangle$

### 2.2.15 Classical intro rules for disjunction and existential quantifiers

**lemma** *disjCI*:

**assumes**  $\sim Q ==> P$  **shows**  $P | Q$

$\langle proof \rangle$

**lemma** *excluded-middle*:  $\sim P | P$

$\langle proof \rangle$

case distinction as a natural deduction rule. Note that  $\neg P$  is the second case, not the first

**lemma** *case-split* [*case-names True False*]:

**assumes** *prem1*:  $P ==> Q$

**and** *prem2*:  $\sim P ==> Q$

**shows**  $Q$

$\langle proof \rangle$

**lemma** *impCE*:

**assumes** *major*:  $P \dashrightarrow Q$

**and** *minor*:  $\sim P ==> R\ Q ==> R$

**shows**  $R$

$\langle proof \rangle$

**lemma** *impCE'*:

**assumes** *major*:  $P \dashrightarrow Q$

**and** *minor*:  $Q ==> R\ \sim P ==> R$

**shows**  $R$

$\langle proof \rangle$

**lemma** *iffCE*:  
 assumes *major*:  $P=Q$   
 and *minor*:  $[| P; Q |] ==> R \quad [| \sim P; \sim Q |] ==> R$   
 shows  $R$   
 $\langle proof \rangle$

**lemma** *exCI*:  
 assumes  $ALL\ x. \sim P(x) ==> P(a)$   
 shows  $EX\ x. P(x)$   
 $\langle proof \rangle$

### 2.2.16 Intuitionistic Reasoning

**lemma** *impE'*:  
 assumes  $1: P \dashv\vdash Q$   
 and  $2: Q ==> R$   
 and  $3: P \dashv\vdash Q ==> P$   
 shows  $R$   
 $\langle proof \rangle$

**lemma** *allE'*:  
 assumes  $1: ALL\ x. P\ x$   
 and  $2: P\ x ==> ALL\ x. P\ x ==> Q$   
 shows  $Q$   
 $\langle proof \rangle$

**lemma** *notE'*:  
 assumes  $1: \sim P$   
 and  $2: \sim P ==> P$   
 shows  $R$   
 $\langle proof \rangle$

**lemma** *TrueE*:  $True ==> P ==> P \langle proof \rangle$   
**lemma** *notFalseE*:  $\sim False ==> P ==> P \langle proof \rangle$

**lemmas**  $[Pure.elim!] = disjE\ iffE\ FalseE\ conjE\ exE\ TrueE\ notFalseE$   
 and  $[Pure.intro!] = iffI\ conjI\ impI\ TrueI\ notI\ allI\ refl$   
 and  $[Pure.elim\ 2] = allE\ notE'\ impE'$   
 and  $[Pure.intro] = exI\ disjI2\ disjI1$

**lemmas**  $[trans] = trans$   
 and  $[sym] = sym\ not-sym$   
 and  $[Pure.elim?] = iffD1\ iffD2\ impE$

$\langle ML \rangle$

### 2.2.17 Atomizing meta-level connectives

**axiomatization** where

*eq-reflection*:  $x = y \implies x \equiv y$

**lemma** *atomize-all* [*atomize*]:  $(!!x. P\ x) == \text{Trueprop}\ (ALL\ x. P\ x)$   
 $\langle proof \rangle$

**lemma** *atomize-imp* [*atomize*]:  $(A ==> B) == \text{Trueprop}\ (A --> B)$   
 $\langle proof \rangle$

**lemma** *atomize-not*:  $(A ==> False) == \text{Trueprop}\ (\sim A)$   
 $\langle proof \rangle$

**lemma** *atomize-eq* [*atomize*]:  $(x == y) == \text{Trueprop}\ (x = y)$   
 $\langle proof \rangle$

**lemma** *atomize-conj* [*atomize*]:  $(A \&\&\& B) == \text{Trueprop}\ (A \& B)$   
 $\langle proof \rangle$

**lemmas** [*symmetric*, *rulify*] = *atomize-all atomize-imp*  
**and** [*symmetric*, *defn*] = *atomize-all atomize-imp atomize-eq*

### 2.2.18 Atomizing elimination rules

$\langle ML \rangle$

**lemma** *atomize-exL*[*atomize-elim*]:  $(!!x. P\ x ==> Q) == ((EX\ x. P\ x) ==> Q)$   
 $\langle proof \rangle$

**lemma** *atomize-conjL*[*atomize-elim*]:  $(A ==> B ==> C) == (A \& B ==> C)$   
 $\langle proof \rangle$

**lemma** *atomize-disjL*[*atomize-elim*]:  $((A ==> C) ==> (B ==> C) ==> C)$   
 $== ((A \mid B ==> C) ==> C)$   
 $\langle proof \rangle$

**lemma** *atomize-elimL*[*atomize-elim*]:  $(!!B. (A ==> B) ==> B) == \text{Trueprop}\ A$   
 $\langle proof \rangle$

## 2.3 Package setup

### 2.3.1 Sledgehammer setup

Theorems blacklisted to Sledgehammer. These theorems typically produce clauses that are prolific (match too many equality or membership literals) and relate to seldom-used facts. Some duplicate other rules.

$\langle ML \rangle$

### 2.3.2 Classical Reasoner setup

**lemma** *imp-elim*:  $P --> Q ==> (\sim R ==> P) ==> (Q ==> R) ==> R$   
 $\langle proof \rangle$

**lemma** *swap*:  $\sim P \implies (\sim R \implies P) \implies R$   
 $\langle proof \rangle$

**lemma** *thin-refl*:  
 $\bigwedge X. \llbracket x=x; PROP W \rrbracket \implies PROP W \langle proof \rangle$

$\langle ML \rangle$

**declare** *iffI* [*intro!*]  
**and** *notI* [*intro!*]  
**and** *impI* [*intro!*]  
**and** *disjCI* [*intro!*]  
**and** *conjI* [*intro!*]  
**and** *TrueI* [*intro!*]  
**and** *refl* [*intro!*]

**declare** *iffCE* [*elim!*]  
**and** *FalseE* [*elim!*]  
**and** *impCE* [*elim!*]  
**and** *disjE* [*elim!*]  
**and** *conjE* [*elim!*]

**declare** *ex-ex1I* [*intro!*]  
**and** *allI* [*intro!*]  
**and** *the-equality* [*intro*]  
**and** *exI* [*intro*]

**declare** *exE* [*elim!*]  
*allE* [*elim*]

$\langle ML \rangle$

**lemma** *contrapos-np*:  $\sim Q \implies (\sim P \implies Q) \implies P$   
 $\langle proof \rangle$

**declare** *ex-ex1I* [*rule del, intro! 2*]  
**and** *ex1I* [*intro*]

**lemmas** [*intro?*] = *ext*  
**and** [*elim?*] = *ex1-implies-ex*

**lemma** *alt-ex1E* [*elim!*]:  
**assumes** *major*:  $\exists! x. P x$   
**and** *prem*:  $\bigwedge x. \llbracket P x; \forall y y'. P y \wedge P y' \longrightarrow y = y' \rrbracket \implies R$   
**shows** *R*  
 $\langle proof \rangle$

$\langle ML \rangle$ **2.3.3 Simplifier****lemma** *eta-contract-eq*:  $(\%s. f\ s) = f\ \langle proof \rangle$ **lemma** *simp-thms*:**shows** *not-not*:  $(\sim \sim P) = P$ **and** *Not-eq-iff*:  $((\sim P) = (\sim Q)) = (P = Q)$ **and** $(P \sim = Q) = (P = (\sim Q))$  $(P \mid \sim P) = True \quad (\sim P \mid P) = True$  $(x = x) = True$ **and** *not-True-eq-False* [code]:  $(\neg True) = False$ **and** *not-False-eq-True* [code]:  $(\neg False) = True$ **and** $(\sim P) \sim = P \quad P \sim = (\sim P)$  $(True = P) = P$ **and** *eq-True*:  $(P = True) = P$ **and**  $(False = P) = (\sim P)$ **and** *eq-False*:  $(P = False) = (\neg P)$ **and** $(True \dashrightarrow P) = P \quad (False \dashrightarrow P) = True$  $(P \dashrightarrow True) = True \quad (P \dashrightarrow P) = True$  $(P \dashrightarrow False) = (\sim P) \quad (P \dashrightarrow \sim P) = (\sim P)$  $(P \ \& \ True) = P \quad (True \ \& \ P) = P$  $(P \ \& \ False) = False \quad (False \ \& \ P) = False$  $(P \ \& \ P) = P \quad (P \ \& \ (P \ \& \ Q)) = (P \ \& \ Q)$  $(P \ \& \ \sim P) = False \quad (\sim P \ \& \ P) = False$  $(P \mid True) = True \quad (True \mid P) = True$  $(P \mid False) = P \quad (False \mid P) = P$  $(P \mid P) = P \quad (P \mid (P \mid Q)) = (P \mid Q)$  **and** $(ALL\ x. P) = P \quad (EX\ x. P) = P \quad EX\ x. x=t \quad EX\ x. t=x$ **and** $!!P. (EX\ x. x=t \ \& \ P(x)) = P(t)$  $!!P. (EX\ x. t=x \ \& \ P(x)) = P(t)$  $!!P. (ALL\ x. x=t \dashrightarrow P(x)) = P(t)$  $!!P. (ALL\ x. t=x \dashrightarrow P(x)) = P(t)$  $\langle proof \rangle$ **lemma** *disj-absorb*:  $(A \mid A) = A$  $\langle proof \rangle$ **lemma** *disj-left-absorb*:  $(A \mid (A \mid B)) = (A \mid B)$  $\langle proof \rangle$ **lemma** *conj-absorb*:  $(A \ \& \ A) = A$  $\langle proof \rangle$



**lemma** *conj-left-absorb*:  $(A \ \& \ (A \ \& \ B)) = (A \ \& \ B)$   
 $\langle proof \rangle$

**lemma** *eq-ac*:  
**shows** *eq-commute*:  $(a=b) = (b=a)$   
**and** *eq-left-commute*:  $(P=(Q=R)) = (Q=(P=R))$   
**and** *eq-assoc*:  $((P=Q)=R) = (P=(Q=R))$   $\langle proof \rangle$   
**lemma** *neq-commute*:  $(a \sim b) = (b \sim a)$   $\langle proof \rangle$

**lemma** *conj-comms*:  
**shows** *conj-commute*:  $(P \ \& \ Q) = (Q \ \& \ P)$   
**and** *conj-left-commute*:  $(P \ \& \ (Q \ \& \ R)) = (Q \ \& \ (P \ \& \ R))$   $\langle proof \rangle$   
**lemma** *conj-assoc*:  $((P \ \& \ Q) \ \& \ R) = (P \ \& \ (Q \ \& \ R))$   $\langle proof \rangle$

**lemmas** *conj-ac* = *conj-commute conj-left-commute conj-assoc*

**lemma** *disj-comms*:  
**shows** *disj-commute*:  $(P \ | \ Q) = (Q \ | \ P)$   
**and** *disj-left-commute*:  $(P \ | \ (Q \ | \ R)) = (Q \ | \ (P \ | \ R))$   $\langle proof \rangle$   
**lemma** *disj-assoc*:  $((P \ | \ Q) \ | \ R) = (P \ | \ (Q \ | \ R))$   $\langle proof \rangle$

**lemmas** *disj-ac* = *disj-commute disj-left-commute disj-assoc*

**lemma** *conj-disj-distribL*:  $(P \ \& \ (Q \ | \ R)) = (P \ \& \ Q \ | \ P \ \& \ R)$   $\langle proof \rangle$   
**lemma** *conj-disj-distribR*:  $((P \ | \ Q) \ \& \ R) = (P \ \& \ R \ | \ Q \ \& \ R)$   $\langle proof \rangle$

**lemma** *disj-conj-distribL*:  $(P \ | \ (Q \ \& \ R)) = ((P \ | \ Q) \ \& \ (P \ | \ R))$   $\langle proof \rangle$   
**lemma** *disj-conj-distribR*:  $((P \ \& \ Q) \ | \ R) = ((P \ \& \ R) \ \& \ (Q \ \& \ R))$   $\langle proof \rangle$

**lemma** *imp-conjR*:  $(P \ \longrightarrow \ (Q \ \& \ R)) = ((P \ \longrightarrow \ Q) \ \& \ (P \ \longrightarrow \ R))$   $\langle proof \rangle$   
**lemma** *imp-conjL*:  $((P \ \& \ Q) \ \longrightarrow \ R) = (P \ \longrightarrow \ (Q \ \longrightarrow \ R))$   $\langle proof \rangle$   
**lemma** *imp-disjL*:  $((P \ | \ Q) \ \longrightarrow \ R) = ((P \ \longrightarrow \ R) \ \& \ (Q \ \longrightarrow \ R))$   $\langle proof \rangle$

These two are specialized, but *imp-disj-not1* is useful in *Auth/Yahalom*.

**lemma** *imp-disj-not1*:  $(P \ \longrightarrow \ Q \ | \ R) = (\sim Q \ \longrightarrow \ P \ \longrightarrow \ R)$   $\langle proof \rangle$   
**lemma** *imp-disj-not2*:  $(P \ \longrightarrow \ Q \ | \ R) = (\sim R \ \longrightarrow \ P \ \longrightarrow \ Q)$   $\langle proof \rangle$

**lemma** *imp-disj1*:  $((P \ \longrightarrow \ Q) \ | \ R) = (P \ \longrightarrow \ Q \ | \ R)$   $\langle proof \rangle$   
**lemma** *imp-disj2*:  $(Q \ | \ (P \ \longrightarrow \ R)) = (P \ \longrightarrow \ Q \ | \ R)$   $\langle proof \rangle$

**lemma** *imp-cong*:  $(P = P') \implies (P' \implies (Q = Q')) \implies ((P \ \longrightarrow \ Q) = (P' \ \longrightarrow \ Q'))$   
 $\langle proof \rangle$

**lemma** *de-Morgan-disj*:  $(\sim(P \ | \ Q)) = (\sim P \ \& \ \sim Q)$   $\langle proof \rangle$   
**lemma** *de-Morgan-conj*:  $(\sim(P \ \& \ Q)) = (\sim P \ | \ \sim Q)$   $\langle proof \rangle$   
**lemma** *not-imp*:  $(\sim(P \ \longrightarrow \ Q)) = (P \ \& \ \sim Q)$   $\langle proof \rangle$   
**lemma** *not-iff*:  $(P \sim Q) = (P = (\sim Q))$   $\langle proof \rangle$   
**lemma** *disj-not1*:  $(\sim P \ | \ Q) = (P \ \longrightarrow \ Q)$   $\langle proof \rangle$

**lemma** *disj-not2*:  $(P \mid \sim Q) = (Q \dashrightarrow P)$  — changes orientation :-(  
 $\langle proof \rangle$

**lemma** *imp-conv-disj*:  $(P \dashrightarrow Q) = ((\sim P) \mid Q)$   $\langle proof \rangle$

**lemma** *iff-conv-conj-imp*:  $(P = Q) = ((P \dashrightarrow Q) \& (Q \dashrightarrow P))$   $\langle proof \rangle$

**lemma** *cases-simp*:  $((P \dashrightarrow Q) \& (\sim P \dashrightarrow Q)) = Q$

— Avoids duplication of subgoals after *split-if*, when the true and false

— cases boil down to the same thing.

$\langle proof \rangle$

**lemma** *not-all*:  $(\sim (! x. P(x))) = (? x. \sim P(x))$   $\langle proof \rangle$

**lemma** *imp-all*:  $((! x. P x) \dashrightarrow Q) = (? x. P x \dashrightarrow Q)$   $\langle proof \rangle$

**lemma** *not-ex*:  $(\sim (? x. P(x))) = (! x. \sim P(x))$   $\langle proof \rangle$

**lemma** *imp-ex*:  $((? x. P x) \dashrightarrow Q) = (! x. P x \dashrightarrow Q)$   $\langle proof \rangle$

**lemma** *all-not-ex*:  $(ALL x. P x) = (\sim (EX x. \sim P x))$   $\langle proof \rangle$

**declare** *All-def* [no-atp]

**lemma** *ex-disj-distrib*:  $(? x. P(x) \mid Q(x)) = ((? x. P(x)) \mid (? x. Q(x)))$   $\langle proof \rangle$

**lemma** *all-conj-distrib*:  $(!x. P(x) \& Q(x)) = ((! x. P(x)) \& (! x. Q(x)))$   $\langle proof \rangle$

The  $\&$  congruence rule: not included by default! May slow rewrite proofs down by as much as 50%

**lemma** *conj-cong*:

$(P = P') ==> (P' ==> (Q = Q')) ==> ((P \& Q) = (P' \& Q'))$

$\langle proof \rangle$

**lemma** *rev-conj-cong*:

$(Q = Q') ==> (Q' ==> (P = P')) ==> ((P \& Q) = (P' \& Q'))$

$\langle proof \rangle$

The  $\mid$  congruence rule: not included by default!

**lemma** *disj-cong*:

$(P = P') ==> (\sim P' ==> (Q = Q')) ==> ((P \mid Q) = (P' \mid Q'))$

$\langle proof \rangle$

if-then-else rules

**lemma** *if-True* [code]:  $(if\ True\ then\ x\ else\ y) = x$

$\langle proof \rangle$

**lemma** *if-False* [code]:  $(if\ False\ then\ x\ else\ y) = y$

$\langle proof \rangle$

**lemma** *if-P*:  $P ==> (if\ P\ then\ x\ else\ y) = x$

$\langle proof \rangle$

**lemma** *if-not-P*:  $\sim P \implies (\text{if } P \text{ then } x \text{ else } y) = y$   
 $\langle \text{proof} \rangle$

**lemma** *split-if*:  $P (\text{if } Q \text{ then } x \text{ else } y) = ((Q \multimap P(x)) \ \& \ (\sim Q \multimap P(y)))$   
 $\langle \text{proof} \rangle$

**lemma** *split-if-asm*:  $P (\text{if } Q \text{ then } x \text{ else } y) = (\sim((Q \ \& \ \sim P \ x) \mid (\sim Q \ \& \ \sim P \ y)))$   
 $\langle \text{proof} \rangle$

**lemmas** *if-splits* [*no-atp*] = *split-if split-if-asm*

**lemma** *if-cancel*:  $(\text{if } c \text{ then } x \text{ else } x) = x$   
 $\langle \text{proof} \rangle$

**lemma** *if-eq-cancel*:  $(\text{if } x = y \text{ then } y \text{ else } x) = x$   
 $\langle \text{proof} \rangle$

**lemma** *if-bool-eq-conj*:  $(\text{if } P \text{ then } Q \text{ else } R) = ((P \multimap Q) \ \& \ (\sim P \multimap R))$   
 — This form is useful for expanding *ifs* on the RIGHT of the  $\implies$  symbol.  
 $\langle \text{proof} \rangle$

**lemma** *if-bool-eq-disj*:  $(\text{if } P \text{ then } Q \text{ else } R) = ((P \ \& \ Q) \mid (\sim P \ \& \ R))$   
 — And this form is useful for expanding *ifs* on the LEFT.  
 $\langle \text{proof} \rangle$

**lemma** *Eq-TrueI*:  $P \implies P == \text{True}$   $\langle \text{proof} \rangle$

**lemma** *Eq-FalseI*:  $\sim P \implies P == \text{False}$   $\langle \text{proof} \rangle$

let rules for *simproc*

**lemma** *Let-folded*:  $f \ x \equiv g \ x \implies \text{Let } x \ f \equiv \text{Let } x \ g$   
 $\langle \text{proof} \rangle$

**lemma** *Let-unfold*:  $f \ x \equiv g \implies \text{Let } x \ f \equiv g$   
 $\langle \text{proof} \rangle$

The following copy of the implication operator is useful for fine-tuning congruence rules. It instructs the simplifier to simplify its premise.

**definition** *simp-implies* ::  $[prop, prop] \implies prop$  (**infixr** =*simp=>* 1) **where**  
 $[code \ del]: \text{simp-implies} \equiv op \implies$

**lemma** *simp-impliesI*:  
**assumes**  $PQ: (PROP \ P \implies PROP \ Q)$   
**shows**  $PROP \ P =_{\text{simp}=\>} PROP \ Q$   
 $\langle \text{proof} \rangle$

**lemma** *simp-impliesE*:  
**assumes**  $PQ: PROP \ P =_{\text{simp}=\>} PROP \ Q$   
**and**  $P: PROP \ P$

**and**  $QR: PROP\ Q \implies PROP\ R$   
**shows**  $PROP\ R$   
 $\langle proof \rangle$

**lemma** *simp-implies-cong*:  
**assumes**  $PP': PROP\ P == PROP\ P'$   
**and**  $P'QQ': PROP\ P' ==> (PROP\ Q == PROP\ Q')$   
**shows**  $(PROP\ P ==_{simp} PROP\ Q) == (PROP\ P' ==_{simp} PROP\ Q')$   
 $\langle proof \rangle$

**lemma** *uncurry*:  
**assumes**  $P \longrightarrow Q \longrightarrow R$   
**shows**  $P \wedge Q \longrightarrow R$   
 $\langle proof \rangle$

**lemma** *iff-allI*:  
**assumes**  $\bigwedge x. P\ x = Q\ x$   
**shows**  $(\forall x. P\ x) = (\forall x. Q\ x)$   
 $\langle proof \rangle$

**lemma** *iff-exI*:  
**assumes**  $\bigwedge x. P\ x = Q\ x$   
**shows**  $(\exists x. P\ x) = (\exists x. Q\ x)$   
 $\langle proof \rangle$

**lemma** *all-comm*:  
 $(\forall x\ y. P\ x\ y) = (\forall y\ x. P\ x\ y)$   
 $\langle proof \rangle$

**lemma** *ex-comm*:  
 $(\exists x\ y. P\ x\ y) = (\exists y\ x. P\ x\ y)$   
 $\langle proof \rangle$

$\langle ML \rangle$

Simproc for proving  $(y = x) == False$  from premise  $\sim(x = y)$ :

$\langle ML \rangle$

**lemma** *True-implies-equals*:  $(True \implies PROP\ P) \equiv PROP\ P$   
 $\langle proof \rangle$

**lemma** *ex-simps*:  
 $!!P\ Q. (EX\ x. P\ x \ \&\ Q) = ((EX\ x. P\ x) \ \&\ Q)$   
 $!!P\ Q. (EX\ x. P \ \&\ Q\ x) = (P \ \&\ (EX\ x. Q\ x))$   
 $!!P\ Q. (EX\ x. P\ x \mid Q) = ((EX\ x. P\ x) \mid Q)$   
 $!!P\ Q. (EX\ x. P \mid Q\ x) = (P \mid (EX\ x. Q\ x))$   
 $!!P\ Q. (EX\ x. P\ x \dashv\dashv Q) = ((ALL\ x. P\ x) \dashv\dashv Q)$   
 $!!P\ Q. (EX\ x. P \dashv\dashv Q\ x) = (P \dashv\dashv (EX\ x. Q\ x))$   
 — Miniscoping: pushing in existential quantifiers.

$\langle \text{proof} \rangle$

**lemma** *all-simps*:

$!!P\ Q. (ALL\ x. P\ x \ \&\ Q) = ((ALL\ x. P\ x) \ \&\ Q)$   
 $!!P\ Q. (ALL\ x. P \ \&\ Q\ x) = (P \ \&\ (ALL\ x. Q\ x))$   
 $!!P\ Q. (ALL\ x. P\ x \ | \ Q) = ((ALL\ x. P\ x) \ | \ Q)$   
 $!!P\ Q. (ALL\ x. P \ | \ Q\ x) = (P \ | \ (ALL\ x. Q\ x))$   
 $!!P\ Q. (ALL\ x. P\ x \ \dashv\!\!\dashv\!\!\rightarrow Q) = ((EX\ x. P\ x) \ \dashv\!\!\dashv\!\!\rightarrow Q)$   
 $!!P\ Q. (ALL\ x. P \ \dashv\!\!\dashv\!\!\rightarrow Q\ x) = (P \ \dashv\!\!\dashv\!\!\rightarrow (ALL\ x. Q\ x))$

— Miniscoping: pushing in universal quantifiers.

$\langle \text{proof} \rangle$

**lemmas** [*simp*] =

*triv-forall-equality*  
*True-implies-equals*  
*if-True*  
*if-False*  
*if-cancel*  
*if-eq-cancel*  
*imp-disjL*

*conj-assoc*  
*disj-assoc*  
*de-Morgan-conj*  
*de-Morgan-disj*  
*imp-disj1*  
*imp-disj2*  
*not-imp*  
*disj-not1*  
*not-all*  
*not-ex*  
*cases-simp*  
*the-eq-trivial*  
*the-sym-eq-trivial*  
*ex-simps*  
*all-simps*  
*simp-thms*

**lemmas** [*cong*] = *imp-cong simp-implies-cong*

**lemmas** [*split*] = *split-if*

$\langle ML \rangle$

Simplifies  $x$  assuming  $c$  and  $y$  assuming  $\neg c$

**lemma** *if-cong*:

**assumes**  $b = c$   
**and**  $c \implies x = u$   
**and**  $\neg c \implies y = v$   
**shows**  $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v)$

$\langle proof \rangle$

Prevents simplification of  $x$  and  $y$ : faster and allows the execution of functional programs.

**lemma** *if-weak-cong* [*cong*]:  
**assumes**  $b = c$   
**shows**  $(if\ b\ then\ x\ else\ y) = (if\ c\ then\ x\ else\ y)$   
 $\langle proof \rangle$

Prevents simplification of  $t$ : much faster

**lemma** *let-weak-cong*:  
**assumes**  $a = b$   
**shows**  $(let\ x = a\ in\ t\ x) = (let\ x = b\ in\ t\ x)$   
 $\langle proof \rangle$

To tidy up the result of a simproc. Only the RHS will be simplified.

**lemma** *eq-cong2*:  
**assumes**  $u = u'$   
**shows**  $(t \equiv u) \equiv (t \equiv u')$   
 $\langle proof \rangle$

**lemma** *if-distrib*:  
 $f\ (if\ c\ then\ x\ else\ y) = (if\ c\ then\ f\ x\ else\ f\ y)$   
 $\langle proof \rangle$

This lemma restricts the effect of the rewrite rule  $u=v$  to the left-hand side of an equality. Used in  $\{Integ, Real\}/simproc.ML$

**lemma** *restrict-to-left*:  
**assumes**  $x = y$   
**shows**  $(x = z) = (y = z)$   
 $\langle proof \rangle$

### 2.3.4 Generic cases and induction

Rule projections:

$\langle ML \rangle$

**definition** *induct-forall* **where**  
 $induct-forall\ P == \forall x. P\ x$

**definition** *induct-implies* **where**  
 $induct-implies\ A\ B == A \longrightarrow B$

**definition** *induct-equal* **where**  
 $induct-equal\ x\ y == x = y$

**definition** *induct-conj* **where**

*induct-conj*  $A \ B == A \wedge B$

**definition** *induct-true* **where**

*induct-true* == *True*

**definition** *induct-false* **where**

*induct-false* == *False*

**lemma** *induct-forall-eq*:  $(!!x. P \ x) == \text{Trueprop} \ (\text{induct-forall} \ (\lambda x. P \ x))$   
 ⟨proof⟩

**lemma** *induct-implies-eq*:  $(A ==> B) == \text{Trueprop} \ (\text{induct-implies} \ A \ B)$   
 ⟨proof⟩

**lemma** *induct-equal-eq*:  $(x == y) == \text{Trueprop} \ (\text{induct-equal} \ x \ y)$   
 ⟨proof⟩

**lemma** *induct-conj-eq*:  $(A \ \&\&\& \ B) == \text{Trueprop} \ (\text{induct-conj} \ A \ B)$   
 ⟨proof⟩

**lemmas** *induct-atomize'* = *induct-forall-eq* *induct-implies-eq* *induct-conj-eq*

**lemmas** *induct-atomize* = *induct-atomize'* *induct-equal-eq*

**lemmas** *induct-rulify'* [*symmetric*, *standard*] = *induct-atomize'*

**lemmas** *induct-rulify* [*symmetric*, *standard*] = *induct-atomize*

**lemmas** *induct-rulify-fallback* =

*induct-forall-def* *induct-implies-def* *induct-equal-def* *induct-conj-def*

*induct-true-def* *induct-false-def*

**lemma** *induct-forall-conj*:  $\text{induct-forall} \ (\lambda x. \text{induct-conj} \ (A \ x) \ (B \ x)) =$   
 $\text{induct-conj} \ (\text{induct-forall} \ A) \ (\text{induct-forall} \ B)$   
 ⟨proof⟩

**lemma** *induct-implies-conj*:  $\text{induct-implies} \ C \ (\text{induct-conj} \ A \ B) =$   
 $\text{induct-conj} \ (\text{induct-implies} \ C \ A) \ (\text{induct-implies} \ C \ B)$   
 ⟨proof⟩

**lemma** *induct-conj-curry*:  $(\text{induct-conj} \ A \ B ==> \text{PROP} \ C) == (A ==> B ==>$   
 $\text{PROP} \ C)$   
 ⟨proof⟩

**lemmas** *induct-conj* = *induct-forall-conj* *induct-implies-conj* *induct-conj-curry*

**lemma** *induct-trueI*: *induct-true*  
 ⟨proof⟩

Method setup.

⟨ML⟩

Pre-simplification of induction and cases rules

**lemma** *[induct-simp]*:  $(\text{!!}x. \text{induct-equal } x \ t \implies \text{PROP } P \ x) == \text{PROP } P \ t$   
*<proof>*

**lemma** *[induct-simp]*:  $(\text{!!}x. \text{induct-equal } t \ x \implies \text{PROP } P \ x) == \text{PROP } P \ t$   
*<proof>*

**lemma** *[induct-simp]*:  $(\text{induct-false} \implies P) == \text{Trueprop induct-true}$   
*<proof>*

**lemma** *[induct-simp]*:  $(\text{induct-true} \implies \text{PROP } P) == \text{PROP } P$   
*<proof>*

**lemma** *[induct-simp]*:  $(\text{PROP } P \implies \text{induct-true}) == \text{Trueprop induct-true}$   
*<proof>*

**lemma** *[induct-simp]*:  $(\text{!!}x. \text{induct-true}) == \text{Trueprop induct-true}$   
*<proof>*

**lemma** *[induct-simp]*:  $\text{induct-implies induct-true } P == P$   
*<proof>*

**lemma** *[induct-simp]*:  $(x = x) = \text{True}$   
*<proof>*

**hide-const** *induct-forall induct-implies induct-equal induct-conj induct-true induct-false*  
*<ML>*

### 2.3.5 Coherent logic

*<ML>*

### 2.3.6 Reorienting equalities

*<ML>*

## 2.4 Other simple lemmas and lemma duplicates

**lemma** *ex1-eq [iff]*:  $\text{EX! } x. x = t \text{ EX! } x. t = x$   
*<proof>*

**lemma** *choice-eq*:  $(\text{ALL } x. \text{EX! } y. P \ x \ y) = (\text{EX! } f. \text{ALL } x. P \ x \ (f \ x))$   
*<proof>*

**lemmas** *eq-sym-conv = eq-commute*

**lemma** *nnf-simps*:

$(\neg(P \wedge Q)) = (\neg P \vee \neg Q) \ (\neg(P \vee Q)) = (\neg P \wedge \neg Q) \ (P \longrightarrow Q) = (\neg P \vee Q)$   
 $(P = Q) = ((P \wedge Q) \vee (\neg P \wedge \neg Q)) \ (\neg(P = Q)) = ((P \wedge \neg Q) \vee (\neg P \wedge Q))$



$(\neg \neg(P)) = P$   
 $\langle proof \rangle$

## 2.5 Basic ML bindings

$\langle ML \rangle$

## 2.6 Code generator setup

### 2.6.1 SML code generator setup

$\langle ML \rangle$

```
types-code
  bool (bool)
attach (term-of) ⟨⟨
  fun term-of-bool b = if b then HOLogic.true-const else HOLogic.false-const;
  ⟩⟩
attach (test) ⟨⟨
  fun gen-bool i =
    let val b = one-of [false, true]
    in (b, fn () => term-of-bool b) end;
  ⟩⟩
  prop (bool)
attach (term-of) ⟨⟨
  fun term-of-prop b =
    HOLogic.mk-Trueprop (if b then HOLogic.true-const else HOLogic.false-const);
  ⟩⟩
```

```
consts-code
  Trueprop ((-))
  True (true)
  False (false)
  Not (Bool.not)
  op | ((- orelse/ -))
  op & ((- andalso/ -))
  If ((if -/ then -/ else -))
```

$\langle ML \rangle$

### 2.6.2 Generic code generator preprocessor setup

$\langle ML \rangle$

### 2.6.3 Equality

```
class eq =
  fixes eq :: 'a ⇒ 'a ⇒ bool
  assumes eq-equals: eq x y ⟷ x = y
begin
```

**lemma** *eq* [*code-unfold*, *code-inline del*]:  $eq = (op =)$   
 $\langle proof \rangle$

**lemma** *eq-refl*:  $eq\ x\ x \longleftrightarrow True$   
 $\langle proof \rangle$

**lemma** *equals-eq*:  $(op =) \equiv eq$   
 $\langle proof \rangle$

**declare** *equals-eq* [*symmetric*, *code-post*]

**end**

**declare** *equals-eq* [*code*]

$\langle ML \rangle$

#### 2.6.4 Generic code generator foundation

Datatypes

**code-datatype** *True False*

**code-datatype** *TYPE*('a::{})

**code-datatype** *prop Trueprop*

Code equations

**lemma** [*code*]:  
**shows**  $(True \implies PROP\ Q) \equiv PROP\ Q$   
**and**  $(PROP\ Q \implies True) \equiv Trueprop\ True$   
**and**  $(P \implies R) \equiv Trueprop\ (P \longrightarrow R) \langle proof \rangle$

**lemma** [*code*]:  
**shows**  $False \wedge P \longleftrightarrow False$   
**and**  $True \wedge P \longleftrightarrow P$   
**and**  $P \wedge False \longleftrightarrow False$   
**and**  $P \wedge True \longleftrightarrow P \langle proof \rangle$

**lemma** [*code*]:  
**shows**  $False \vee P \longleftrightarrow P$   
**and**  $True \vee P \longleftrightarrow True$   
**and**  $P \vee False \longleftrightarrow P$   
**and**  $P \vee True \longleftrightarrow True \langle proof \rangle$

**lemma** [*code*]:  
**shows**  $(False \longrightarrow P) \longleftrightarrow True$   
**and**  $(True \longrightarrow P) \longleftrightarrow P$   
**and**  $(P \longrightarrow False) \longleftrightarrow \neg P$

```

and ( $P \longrightarrow \text{True}$ )  $\longleftrightarrow \text{True}$   $\langle \text{proof} \rangle$ 

instantiation itself :: (type) eq
begin

definition eq-itself :: 'a itself  $\Rightarrow$  'a itself  $\Rightarrow$  bool where
  eq-itself x y  $\longleftrightarrow x = y$ 

instance  $\langle \text{proof} \rangle$ 

end

lemma eq-itself-code [code]:
  eq-class.eq TYPE('a) TYPE('a)  $\longleftrightarrow \text{True}$ 
   $\langle \text{proof} \rangle$ 

Equality

declare simp-thms(6) [code nbe]

 $\langle \text{ML} \rangle$ 

lemma equals-alias-cert: OFCLASS('a, eq-class)  $\equiv ((\text{op} = :: 'a \Rightarrow 'a \Rightarrow \text{bool}) \equiv$ 
eq) (is ?ofclass  $\equiv$  ?eq)
 $\langle \text{proof} \rangle$ 

 $\langle \text{ML} \rangle$ 

hide-const (open) eq

Cases

lemma Let-case-cert:
  assumes CASE  $\equiv (\lambda x. \text{Let } x \text{ } f)$ 
  shows CASE x  $\equiv f \text{ } x$ 
   $\langle \text{proof} \rangle$ 

lemma If-case-cert:
  assumes CASE  $\equiv (\lambda b. \text{If } b \text{ } f \text{ } g)$ 
  shows (CASE True  $\equiv f$ ) &&& (CASE False  $\equiv g$ )
   $\langle \text{proof} \rangle$ 

 $\langle \text{ML} \rangle$ 

code-abort undefined

```

### 2.6.5 Generic code generator target languages

type bool

**code-type** *bool*

(*SML bool*)  
 (*OCaml bool*)  
 (*Haskell Bool*)  
 (*Scala Boolean*)

**code-const** *True and False and Not and op & and op | and If*  
 (*SML true and false and not*  
   *and infixl 1 andalso and infixl 0 orelse*  
   *and !(if (-)/ then (-)/ else (-))*)  
 (*OCaml true and false and not*  
   *and infixl 4 && and infixl 2 ||*  
   *and !(if (-)/ then (-)/ else (-))*)  
 (*Haskell True and False and not*  
   *and infixl 3 && and infixl 2 ||*  
   *and !(if (-)/ then (-)/ else (-))*)  
 (*Scala true and false and '! -*  
   *and infixl 3 && and infixl 1 ||*  
   *and !(if ((-))/ (-)/ else (-))*)

**code-reserved** *SML*  
*bool true false not*

**code-reserved** *OCaml*  
*bool not*

**code-reserved** *Scala*  
*Boolean*

using built-in Haskell equality

**code-class** *eq*  
 (*Haskell Eq*)

**code-const** *eq-class.eq*  
 (*Haskell infixl 4 ==*)

**code-const** *op =*  
 (*Haskell infixl 4 ==*)

undefined

**code-const** *undefined*  
 (*SML !(raise/ Fail/ undefined)*)  
 (*OCaml failwith/ undefined*)  
 (*Haskell error/ undefined*)  
 (*Scala !error(undefined)*)

## 2.6.6 Evaluation and normalization by evaluation

Avoid some named infixes in evaluation environment

**code-reserved** *Eval oo ooo oooo upto downto orf andf*

$\langle ML \rangle$

## 2.7 Counterexample Search Units

### 2.7.1 Quickcheck

`quickcheck-params`  $[size = 5, iterations = 50]$

### 2.7.2 Nitpick setup

$\langle ML \rangle$

## 2.8 Preprocessing for the predicate compiler

$\langle ML \rangle$

## 2.9 Legacy tactics and ML bindings

$\langle ML \rangle$

`end`

# 3 Orderings: Abstract orderings

`theory` *Orderings*

`imports` *HOL*

`uses`

~~ */src/Provers/order.ML*

~~ */src/Provers/quasi.ML*

`begin`

## 3.1 Syntactic orders

`class` *ord* =

`fixes` *less-eq* :: *'a*  $\Rightarrow$  *'a*  $\Rightarrow$  *bool*

`and` *less* :: *'a*  $\Rightarrow$  *'a*  $\Rightarrow$  *bool*

`begin`

`notation`

*less-eq* (*op*  $\leq$ ) `and`

*less-eq* ((*-/*  $\leq$  *-*)  $[51, 51]$  50) `and`

*less* (*op*  $<$ ) `and`

*less* ((*-/*  $<$  *-*)  $[51, 51]$  50)

`notation` (*xsymbols*)

*less-eq* (*op*  $\leq$ ) `and`

*less-eq* ((*-/*  $\leq$  *-*)  $[51, 51]$  50)

**notation** (*HTML output*)  
*less-eq* (*op*  $\leq$ ) **and**  
*less-eq* (*(-/*  $\leq$  *-)* [51, 51] 50)

**abbreviation** (*input*)  
*greater-eq* (**infix**  $\geq$  50) **where**  
 $x \geq y \equiv y \leq x$

**notation** (*input*)  
*greater-eq* (**infix**  $\geq$  50)

**abbreviation** (*input*)  
*greater* (**infix**  $>$  50) **where**  
 $x > y \equiv y < x$

**end**

### 3.2 Quasi orders

**class** *preorder* = *ord* +  
**assumes** *less-le-not-le*:  $x < y \longleftrightarrow x \leq y \wedge \neg (y \leq x)$   
**and** *order-refl* [*iff*]:  $x \leq x$   
**and** *order-trans*:  $x \leq y \Longrightarrow y \leq z \Longrightarrow x \leq z$   
**begin**

Reflexivity.

**lemma** *eq-refl*:  $x = y \Longrightarrow x \leq y$   
— This form is useful with the classical reasoner.  
 $\langle proof \rangle$

**lemma** *less-irrefl* [*iff*]:  $\neg x < x$   
 $\langle proof \rangle$

**lemma** *less-imp-le*:  $x < y \Longrightarrow x \leq y$   
 $\langle proof \rangle$

Asymmetry.

**lemma** *less-not-sym*:  $x < y \Longrightarrow \neg (y < x)$   
 $\langle proof \rangle$

**lemma** *less-asy*:  $x < y \Longrightarrow (\neg P \Longrightarrow y < x) \Longrightarrow P$   
 $\langle proof \rangle$

Transitivity.

**lemma** *less-trans*:  $x < y \Longrightarrow y < z \Longrightarrow x < z$   
 $\langle proof \rangle$

**lemma** *le-less-trans*:  $x \leq y \Longrightarrow y < z \Longrightarrow x < z$   
 $\langle proof \rangle$

**lemma** *less-le-trans*:  $x < y \implies y \leq z \implies x < z$   
 $\langle \text{proof} \rangle$

Useful for simplification, but too risky to include by default.

**lemma** *less-imp-not-less*:  $x < y \implies (\neg y < x) \longleftrightarrow \text{True}$   
 $\langle \text{proof} \rangle$

**lemma** *less-imp-triv*:  $x < y \implies (y < x \longrightarrow P) \longleftrightarrow \text{True}$   
 $\langle \text{proof} \rangle$

Transitivity rules for calculational reasoning

**lemma** *less-asym'*:  $a < b \implies b < a \implies P$   
 $\langle \text{proof} \rangle$

Dual order

**lemma** *dual-preorder*:  
 $\text{class.preorder } (op \geq) (op >)$   
 $\langle \text{proof} \rangle$

**end**

### 3.3 Partial orders

**class** *order* = *preorder* +  
**assumes** *antisym*:  $x \leq y \implies y \leq x \implies x = y$   
**begin**

Reflexivity.

**lemma** *less-le*:  $x < y \longleftrightarrow x \leq y \wedge x \neq y$   
 $\langle \text{proof} \rangle$

**lemma** *le-less*:  $x \leq y \longleftrightarrow x < y \vee x = y$   
 — NOT suitable for iff, since it can cause PROOF FAILED.  
 $\langle \text{proof} \rangle$

**lemma** *le-imp-less-or-eq*:  $x \leq y \implies x < y \vee x = y$   
 $\langle \text{proof} \rangle$

Useful for simplification, but too risky to include by default.

**lemma** *less-imp-not-eq*:  $x < y \implies (x = y) \longleftrightarrow \text{False}$   
 $\langle \text{proof} \rangle$

**lemma** *less-imp-not-eq2*:  $x < y \implies (y = x) \longleftrightarrow \text{False}$   
 $\langle \text{proof} \rangle$

Transitivity rules for calculational reasoning

**lemma** *neq-le-trans*:  $a \neq b \implies a \leq b \implies a < b$

$\langle proof \rangle$

**lemma** *le-neq-trans*:  $a \leq b \implies a \neq b \implies a < b$   
 $\langle proof \rangle$

Asymmetry.

**lemma** *eq-iff*:  $x = y \iff x \leq y \wedge y \leq x$   
 $\langle proof \rangle$

**lemma** *antisym-conv*:  $y \leq x \implies x \leq y \iff x = y$   
 $\langle proof \rangle$

**lemma** *less-imp-neq*:  $x < y \implies x \neq y$   
 $\langle proof \rangle$

Least value operator

**definition** (*in ord*)  
*Least* :: ( $'a \Rightarrow bool$ )  $\Rightarrow 'a$  (**binder** *LEAST* 10) **where**  
*Least*  $P = (THE\ x.\ P\ x \wedge (\forall y.\ P\ y \longrightarrow x \leq y))$

**lemma** *Least-equality*:  
**assumes**  $P\ x$   
**and**  $\bigwedge y.\ P\ y \implies x \leq y$   
**shows**  $Least\ P = x$   
 $\langle proof \rangle$

**lemma** *LeastI2-order*:  
**assumes**  $P\ x$   
**and**  $\bigwedge y.\ P\ y \implies x \leq y$   
**and**  $\bigwedge x.\ P\ x \implies \forall y.\ P\ y \longrightarrow x \leq y \implies Q\ x$   
**shows**  $Q\ (Least\ P)$   
 $\langle proof \rangle$

Dual order

**lemma** *dual-order*:  
*class.order* ( $op \geq$ ) ( $op >$ )  
 $\langle proof \rangle$

**end**

### 3.4 Linear (total) orders

**class** *linorder* = *order* +  
**assumes** *linear*:  $x \leq y \vee y \leq x$   
**begin**

**lemma** *less-linear*:  $x < y \vee x = y \vee y < x$   
 $\langle proof \rangle$



**lemma** *le-less-linear*:  $x \leq y \vee y < x$   
 $\langle \text{proof} \rangle$

**lemma** *le-cases* [*case-names le ge*]:  
 $(x \leq y \implies P) \implies (y \leq x \implies P) \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *linorder-cases* [*case-names less equal greater*]:  
 $(x < y \implies P) \implies (x = y \implies P) \implies (y < x \implies P) \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *not-less*:  $\neg x < y \longleftrightarrow y \leq x$   
 $\langle \text{proof} \rangle$

**lemma** *not-less-iff-gr-or-eq*:  
 $\neg(x < y) \longleftrightarrow (x > y \mid x = y)$   
 $\langle \text{proof} \rangle$

**lemma** *not-le*:  $\neg x \leq y \longleftrightarrow y < x$   
 $\langle \text{proof} \rangle$

**lemma** *neq-iff*:  $x \neq y \longleftrightarrow x < y \vee y < x$   
 $\langle \text{proof} \rangle$

**lemma** *neqE*:  $x \neq y \implies (x < y \implies R) \implies (y < x \implies R) \implies R$   
 $\langle \text{proof} \rangle$

**lemma** *antisym-conv1*:  $\neg x < y \implies x \leq y \longleftrightarrow x = y$   
 $\langle \text{proof} \rangle$

**lemma** *antisym-conv2*:  $x \leq y \implies \neg x < y \longleftrightarrow x = y$   
 $\langle \text{proof} \rangle$

**lemma** *antisym-conv3*:  $\neg y < x \implies \neg x < y \longleftrightarrow x = y$   
 $\langle \text{proof} \rangle$

**lemma** *leI*:  $\neg x < y \implies y \leq x$   
 $\langle \text{proof} \rangle$

**lemma** *leD*:  $y \leq x \implies \neg x < y$   
 $\langle \text{proof} \rangle$

**lemma** *not-leE*:  $\neg y \leq x \implies x < y$   
 $\langle \text{proof} \rangle$

Dual order

**lemma** *dual-linorder*:  
 $\text{class.linorder } (op \geq) (op >)$

$\langle \text{proof} \rangle$

min/max

**definition** (in ord)  $\text{min} :: 'a \Rightarrow 'a \Rightarrow 'a$  **where**  
 $[\text{code del}]: \text{min } a \ b = (\text{if } a \leq b \text{ then } a \text{ else } b)$

**definition** (in ord)  $\text{max} :: 'a \Rightarrow 'a \Rightarrow 'a$  **where**  
 $[\text{code del}]: \text{max } a \ b = (\text{if } a \leq b \text{ then } b \text{ else } a)$

**lemma**  $\text{min-le-iff-disj}$ :  
 $\text{min } x \ y \leq z \longleftrightarrow x \leq z \vee y \leq z$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{le-max-iff-disj}$ :  
 $z \leq \text{max } x \ y \longleftrightarrow z \leq x \vee z \leq y$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{min-less-iff-disj}$ :  
 $\text{min } x \ y < z \longleftrightarrow x < z \vee y < z$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{less-max-iff-disj}$ :  
 $z < \text{max } x \ y \longleftrightarrow z < x \vee z < y$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{min-less-iff-conj}$  [simp]:  
 $z < \text{min } x \ y \longleftrightarrow z < x \wedge z < y$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{max-less-iff-conj}$  [simp]:  
 $\text{max } x \ y < z \longleftrightarrow x < z \wedge y < z$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{split-min}$  [no-atp]:  
 $P (\text{min } i \ j) \longleftrightarrow (i \leq j \longrightarrow P \ i) \wedge (\neg i \leq j \longrightarrow P \ j)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{split-max}$  [no-atp]:  
 $P (\text{max } i \ j) \longleftrightarrow (i \leq j \longrightarrow P \ j) \wedge (\neg i \leq j \longrightarrow P \ i)$   
 $\langle \text{proof} \rangle$

**end**

Explicit dictionaries for code generation

**lemma**  $\text{min-ord-min}$  [code, code-unfold, code-inline del]:  
 $\text{min} = \text{ord.min } (\text{op } \leq)$   
 $\langle \text{proof} \rangle$

**declare**  $\text{ord.min-def}$  [code]

**lemma** *max-ord-max* [*code*, *code-unfold*, *code-inline del*]:

*max* = *ord.max* (*op*  $\leq$ )

*<proof>*

**declare** *ord.max-def* [*code*]

### 3.5 Reasoning tools setup

*<ML>*

Declarations to set up transitivity reasoner of partial and linear orders.

**context** *order*

**begin**

**declare** *less-irrefl* [*THEN notE*, *order add less-reflE*: *order op* = :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool op*  $\leq$  *op*  $<$ ]

**declare** *order-refl* [*order add le-refl*: *order op* = :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool op*  $\leq$  *op*  $<$ ]

**declare** *less-imp-le* [*order add less-imp-le*: *order op* = :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool op*  $\leq$  *op*  $<$ ]

**declare** *antisym* [*order add eqI*: *order op* = :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool op*  $\leq$  *op*  $<$ ]

**declare** *eq-refl* [*order add eqD1*: *order op* = :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool op*  $\leq$  *op*  $<$ ]

**declare** *sym* [*THEN eq-refl*, *order add eqD2*: *order op* = :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool op*  $\leq$  *op*  $<$ ]

**declare** *less-trans* [*order add less-trans*: *order op* = :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool op*  $\leq$  *op*  $<$ ]

**declare** *less-le-trans* [*order add less-le-trans*: *order op* = :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool op*  $\leq$  *op*  $<$ ]

**declare** *le-less-trans* [*order add le-less-trans*: *order op* = :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool op*  $\leq$  *op*  $<$ ]

**declare** *order-trans* [*order add le-trans*: *order op* = :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool op*  $\leq$  *op*  $<$ ]

**declare** *le-neq-trans* [*order add le-neq-trans*: *order op* = :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool op*  $\leq$  *op*  $<$ ]

**declare** *neg-le-trans* [*order add neg-le-trans*: *order op* = :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool op*  $\leq$  *op*  $<$ ]

```

declare less-imp-neq [order add less-imp-neq: order op = :: 'a => 'a => bool op
<= op <]

declare eq-neq-eq-imp-neq [order add eq-neq-eq-imp-neq: order op = :: 'a => 'a
=> bool op <= op <]

declare not-sym [order add not-sym: order op = :: 'a => 'a => bool op <= op
<]

end

context linorder
begin

declare [[order del: order op = :: 'a => 'a => bool op <= op <]]

declare less-irrefl [THEN notE, order add less-reflE: linorder op = :: 'a => 'a
=> bool op <= op <]

declare order-refl [order add le-refl: linorder op = :: 'a => 'a => bool op <= op
<]

declare less-imp-le [order add less-imp-le: linorder op = :: 'a => 'a => bool op
<= op <]

declare not-less [THEN iffD2, order add not-lessI: linorder op = :: 'a => 'a =>
bool op <= op <]

declare not-le [THEN iffD2, order add not-leI: linorder op = :: 'a => 'a => bool
op <= op <]

declare not-less [THEN iffD1, order add not-lessD: linorder op = :: 'a => 'a =>
bool op <= op <]

declare not-le [THEN iffD1, order add not-leD: linorder op = :: 'a => 'a =>
bool op <= op <]

declare antisym [order add eqI: linorder op = :: 'a => 'a => bool op <= op <]

declare eq-refl [order add eqD1: linorder op = :: 'a => 'a => bool op <= op <]

declare sym [THEN eq-refl, order add eqD2: linorder op = :: 'a => 'a => bool
op <= op <]

declare less-trans [order add less-trans: linorder op = :: 'a => 'a => bool op <=
op <]

declare less-le-trans [order add less-le-trans: linorder op = :: 'a => 'a => bool

```

$op \leq op <$ ]

**declare** *le-less-trans* [order add *le-less-trans*: linorder  $op = :: 'a \Rightarrow 'a \Rightarrow bool$   
 $op \leq op <$ ]

**declare** *order-trans* [order add *le-trans*: linorder  $op = :: 'a \Rightarrow 'a \Rightarrow bool$   $op \leq$   
 $op <$ ]

**declare** *le-neq-trans* [order add *le-neq-trans*: linorder  $op = :: 'a \Rightarrow 'a \Rightarrow bool$   $op$   
 $\leq op <$ ]

**declare** *neq-le-trans* [order add *neq-le-trans*: linorder  $op = :: 'a \Rightarrow 'a \Rightarrow bool$   $op$   
 $\leq op <$ ]

**declare** *less-imp-neq* [order add *less-imp-neq*: linorder  $op = :: 'a \Rightarrow 'a \Rightarrow bool$   
 $op \leq op <$ ]

**declare** *eq-neq-eq-imp-neq* [order add *eq-neq-eq-imp-neq*: linorder  $op = :: 'a \Rightarrow 'a$   
 $\Rightarrow bool$   $op \leq op <$ ]

**declare** *not-sym* [order add *not-sym*: linorder  $op = :: 'a \Rightarrow 'a \Rightarrow bool$   $op \leq$   
 $op <$ ]

**end**

$\langle ML \rangle$

### 3.6 Bounded quantifiers

**syntax**

-All-less :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists ALL \text{ -<-. / -}$ ) [0, 0, 10] 10)  
 -Ex-less :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists EX \text{ -<-. / -}$ ) [0, 0, 10] 10)  
 -All-less-eq :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists ALL \text{ -<=-. / -}$ ) [0, 0, 10] 10)  
 -Ex-less-eq :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists EX \text{ -<=-. / -}$ ) [0, 0, 10] 10)

-All-greater :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists ALL \text{ ->-. / -}$ ) [0, 0, 10] 10)  
 -Ex-greater :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists EX \text{ ->-. / -}$ ) [0, 0, 10] 10)  
 -All-greater-eq :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists ALL \text{ ->=-. / -}$ ) [0, 0, 10] 10)  
 -Ex-greater-eq :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists EX \text{ ->=-. / -}$ ) [0, 0, 10] 10)

**syntax** (*xsymbols*)

-All-less :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists \forall \text{ -<-. / -}$ ) [0, 0, 10] 10)  
 -Ex-less :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists \exists \text{ -<-. / -}$ ) [0, 0, 10] 10)  
 -All-less-eq :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists \forall \text{ -<=-. / -}$ ) [0, 0, 10] 10)  
 -Ex-less-eq :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists \exists \text{ -<=-. / -}$ ) [0, 0, 10] 10)

-All-greater :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists \forall \text{ ->-. / -}$ ) [0, 0, 10] 10)  
 -Ex-greater :: [idt, 'a, bool]  $\Rightarrow bool$  (( $\exists \exists \text{ ->-. / -}$ ) [0, 0, 10] 10)

-All-greater-eq :: [idt, 'a, bool] => bool (( $\exists \forall$  - $\geq$  - / -) [0, 0, 10] 10)  
 -Ex-greater-eq :: [idt, 'a, bool] => bool (( $\exists \exists$  - $\geq$  - / -) [0, 0, 10] 10)

**syntax (HOL)**

-All-less :: [idt, 'a, bool] => bool (( $\exists!$  -< - / -) [0, 0, 10] 10)  
 -Ex-less :: [idt, 'a, bool] => bool (( $\exists?$  -< - / -) [0, 0, 10] 10)  
 -All-less-eq :: [idt, 'a, bool] => bool (( $\exists!$  -<= - / -) [0, 0, 10] 10)  
 -Ex-less-eq :: [idt, 'a, bool] => bool (( $\exists?$  -<= - / -) [0, 0, 10] 10)

**syntax (HTML output)**

-All-less :: [idt, 'a, bool] => bool (( $\exists \forall$  -< - / -) [0, 0, 10] 10)  
 -Ex-less :: [idt, 'a, bool] => bool (( $\exists \exists$  -< - / -) [0, 0, 10] 10)  
 -All-less-eq :: [idt, 'a, bool] => bool (( $\exists \forall$  -<= - / -) [0, 0, 10] 10)  
 -Ex-less-eq :: [idt, 'a, bool] => bool (( $\exists \exists$  -<= - / -) [0, 0, 10] 10)  
  
 -All-greater :: [idt, 'a, bool] => bool (( $\exists \forall$  -> - / -) [0, 0, 10] 10)  
 -Ex-greater :: [idt, 'a, bool] => bool (( $\exists \exists$  -> - / -) [0, 0, 10] 10)  
 -All-greater-eq :: [idt, 'a, bool] => bool (( $\exists \forall$  - $\geq$  - / -) [0, 0, 10] 10)  
 -Ex-greater-eq :: [idt, 'a, bool] => bool (( $\exists \exists$  - $\geq$  - / -) [0, 0, 10] 10)

**translations**

ALL  $x < y. P$  => ALL  $x. x < y \longrightarrow P$   
 EX  $x < y. P$  => EX  $x. x < y \wedge P$   
 ALL  $x <= y. P$  => ALL  $x. x <= y \longrightarrow P$   
 EX  $x <= y. P$  => EX  $x. x <= y \wedge P$   
 ALL  $x > y. P$  => ALL  $x. x > y \longrightarrow P$   
 EX  $x > y. P$  => EX  $x. x > y \wedge P$   
 ALL  $x >= y. P$  => ALL  $x. x >= y \longrightarrow P$   
 EX  $x >= y. P$  => EX  $x. x >= y \wedge P$

 $\langle ML \rangle$ **3.7 Transitivity reasoning****context** ord**begin**

**lemma** ord-le-eq-trans:  $a \leq b \implies b = c \implies a \leq c$   
 $\langle proof \rangle$

**lemma** ord-eq-le-trans:  $a = b \implies b \leq c \implies a \leq c$   
 $\langle proof \rangle$

**lemma** ord-less-eq-trans:  $a < b \implies b = c \implies a < c$   
 $\langle proof \rangle$

**lemma** ord-eq-less-trans:  $a = b \implies b < c \implies a < c$   
 $\langle proof \rangle$

**end**

**lemma** *order-less-subst2*:  $(a::'a::order) < b ==> f\ b < (c::'c::order) ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> f\ a < c$   
 $\langle proof \rangle$

**lemma** *order-less-subst1*:  $(a::'a::order) < f\ b ==> (b::'b::order) < c ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> a < f\ c$   
 $\langle proof \rangle$

**lemma** *order-le-less-subst2*:  $(a::'a::order) <= b ==> f\ b < (c::'c::order) ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> f\ a < c$   
 $\langle proof \rangle$

**lemma** *order-le-less-subst1*:  $(a::'a::order) <= f\ b ==> (b::'b::order) < c ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> a < f\ c$   
 $\langle proof \rangle$

**lemma** *order-less-le-subst2*:  $(a::'a::order) < b ==> f\ b <= (c::'c::order) ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> f\ a < c$   
 $\langle proof \rangle$

**lemma** *order-less-le-subst1*:  $(a::'a::order) < f\ b ==> (b::'b::order) <= c ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> a < f\ c$   
 $\langle proof \rangle$

**lemma** *order-subst1*:  $(a::'a::order) <= f\ b ==> (b::'b::order) <= c ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> a <= f\ c$   
 $\langle proof \rangle$

**lemma** *order-subst2*:  $(a::'a::order) <= b ==> f\ b <= (c::'c::order) ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> f\ a <= c$   
 $\langle proof \rangle$

**lemma** *ord-le-eq-subst*:  $a <= b ==> f\ b = c ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> f\ a <= c$   
 $\langle proof \rangle$

**lemma** *ord-eq-le-subst*:  $a = f\ b ==> b <= c ==>$   
 $(!!x\ y. x <= y ==> f\ x <= f\ y) ==> a <= f\ c$   
 $\langle proof \rangle$

**lemma** *ord-less-eq-subst*:  $a < b ==> f\ b = c ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> f\ a < c$   
 $\langle proof \rangle$

**lemma** *ord-eq-less-subst*:  $a = f\ b ==> b < c ==>$   
 $(!!x\ y. x < y ==> f\ x < f\ y) ==> a < f\ c$   
 $\langle proof \rangle$

Note that this list of rules is in reverse order of priorities.

**lemmas** [*trans*] =  
*order-less-subst2*  
*order-less-subst1*  
*order-le-less-subst2*  
*order-le-less-subst1*  
*order-less-le-subst2*  
*order-less-le-subst1*  
*order-subst2*  
*order-subst1*  
*ord-le-eq-subst*  
*ord-eq-le-subst*  
*ord-less-eq-subst*  
*ord-eq-less-subst*  
*forw-subst*  
*back-subst*  
*rev-mp*  
*mp*

**lemmas** (**in** *order*) [*trans*] =  
*neq-le-trans*  
*le-neq-trans*

**lemmas** (**in** *preorder*) [*trans*] =  
*less-trans*  
*less-asym'*  
*le-less-trans*  
*less-le-trans*  
*order-trans*

**lemmas** (**in** *order*) [*trans*] =  
*antisym*

**lemmas** (**in** *ord*) [*trans*] =  
*ord-le-eq-trans*  
*ord-eq-le-trans*  
*ord-less-eq-trans*  
*ord-eq-less-trans*

**lemmas** [*trans*] =  
*trans*

**lemmas** *order-trans-rules* =  
*order-less-subst2*  
*order-less-subst1*  
*order-le-less-subst2*  
*order-le-less-subst1*  
*order-less-le-subst2*  
*order-less-le-subst1*



*order-subst2*  
*order-subst1*  
*ord-le-eq-subst*  
*ord-eq-le-subst*  
*ord-less-eq-subst*  
*ord-eq-less-subst*  
*forw-subst*  
*back-subst*  
*rev-mp*  
*mp*  
*neq-le-trans*  
*le-neq-trans*  
*less-trans*  
*less-asym'*  
*le-less-trans*  
*less-le-trans*  
*order-trans*  
*antisym*  
*ord-le-eq-trans*  
*ord-eq-le-trans*  
*ord-less-eq-trans*  
*ord-eq-less-trans*  
*trans*

These support proving chains of decreasing inequalities  $a \leq b \leq c \dots$  in Isar proofs.

**lemma** *xt1*:

$a = b \implies b > c \implies a > c$   
 $a > b \implies b = c \implies a > c$   
 $a = b \implies b \geq c \implies a \geq c$   
 $a \geq b \implies b = c \implies a \geq c$   
 $(x::'a::\text{order}) \geq y \implies y \geq x \implies x = y$   
 $(x::'a::\text{order}) \geq y \implies y \geq z \implies x \geq z$   
 $(x::'a::\text{order}) > y \implies y \geq z \implies x > z$   
 $(x::'a::\text{order}) \geq y \implies y > z \implies x > z$   
 $(a::'a::\text{order}) > b \implies b > a \implies P$   
 $(x::'a::\text{order}) > y \implies y > z \implies x > z$   
 $(a::'a::\text{order}) \geq b \implies a \sim b \implies a > b$   
 $(a::'a::\text{order}) \sim b \implies a \geq b \implies a > b$   
 $a = f b \implies b > c \implies (!x y. x > y \implies f x > f y) \implies a > f c$   
 $a > b \implies f b = c \implies (!x y. x > y \implies f x > f y) \implies f a > c$   
 $a = f b \implies b \geq c \implies (!x y. x \geq y \implies f x \geq f y) \implies a \geq f c$   
 $a \geq b \implies f b = c \implies (!x y. x \geq y \implies f x \geq f y) \implies f a \geq c$   
 $\langle \text{proof} \rangle$

**lemma** *xt2*:

$(a::'a::\text{order}) \geq f b \implies b \geq c \implies (!x y. x \geq y \implies f x \geq f y) \implies$   
 $a \geq f c$   
 $\langle \text{proof} \rangle$

**lemma** *xt3*:  $(a::'a::order) \geq b \implies (f\ b::'b::order) \geq c \implies$   
 $(!!x\ y. x \geq y \implies f\ x \geq f\ y) \implies f\ a \geq c$   
 $\langle proof \rangle$

**lemma** *xt4*:  $(a::'a::order) > f\ b \implies (b::'b::order) \geq c \implies$   
 $(!!x\ y. x \geq y \implies f\ x \geq f\ y) \implies a > f\ c$   
 $\langle proof \rangle$

**lemma** *xt5*:  $(a::'a::order) > b \implies (f\ b::'b::order) \geq c \implies$   
 $(!!x\ y. x > y \implies f\ x > f\ y) \implies f\ a > c$   
 $\langle proof \rangle$

**lemma** *xt6*:  $(a::'a::order) \geq f\ b \implies b > c \implies$   
 $(!!x\ y. x > y \implies f\ x > f\ y) \implies a > f\ c$   
 $\langle proof \rangle$

**lemma** *xt7*:  $(a::'a::order) \geq b \implies (f\ b::'b::order) > c \implies$   
 $(!!x\ y. x \geq y \implies f\ x \geq f\ y) \implies f\ a > c$   
 $\langle proof \rangle$

**lemma** *xt8*:  $(a::'a::order) > f\ b \implies (b::'b::order) > c \implies$   
 $(!!x\ y. x > y \implies f\ x > f\ y) \implies a > f\ c$   
 $\langle proof \rangle$

**lemma** *xt9*:  $(a::'a::order) > b \implies (f\ b::'b::order) > c \implies$   
 $(!!x\ y. x > y \implies f\ x > f\ y) \implies f\ a > c$   
 $\langle proof \rangle$

**lemmas** *xtrans* = *xt1 xt2 xt3 xt4 xt5 xt6 xt7 xt8 xt9*

### 3.8 Monotonicity, least value operator and min/max

**context** *order*

**begin**

**definition** *mono* ::  $('a \Rightarrow 'b::order) \Rightarrow bool$  **where**  
 $mono\ f \longleftrightarrow (\forall x\ y. x \leq y \longrightarrow f\ x \leq f\ y)$

**lemma** *monoI* [*intro?*]:  
**fixes**  $f :: 'a \Rightarrow 'b::order$   
**shows**  $(\bigwedge x\ y. x \leq y \implies f\ x \leq f\ y) \implies mono\ f$   
 $\langle proof \rangle$

**lemma** *monoD* [*dest?*]:  
**fixes**  $f :: 'a \Rightarrow 'b::order$   
**shows**  $mono\ f \implies x \leq y \implies f\ x \leq f\ y$   
 $\langle proof \rangle$

**definition** *strict-mono* :: ('a  $\Rightarrow$  'b::order)  $\Rightarrow$  bool **where**  
*strict-mono* f  $\longleftrightarrow (\forall x\ y. x < y \longrightarrow f\ x < f\ y)$

**lemma** *strict-monoI* [intro?]:  
**assumes**  $\bigwedge x\ y. x < y \Longrightarrow f\ x < f\ y$   
**shows** *strict-mono* f  
 ⟨proof⟩

**lemma** *strict-monoD* [dest?]:  
*strict-mono* f  $\Longrightarrow x < y \Longrightarrow f\ x < f\ y$   
 ⟨proof⟩

**lemma** *strict-mono-mono* [dest?]:  
**assumes** *strict-mono* f  
**shows** *mono* f  
 ⟨proof⟩

**end**

**context** *linorder*  
**begin**

**lemma** *strict-mono-eq*:  
**assumes** *strict-mono* f  
**shows**  $f\ x = f\ y \longleftrightarrow x = y$   
 ⟨proof⟩

**lemma** *strict-mono-less-eq*:  
**assumes** *strict-mono* f  
**shows**  $f\ x \leq f\ y \longleftrightarrow x \leq y$   
 ⟨proof⟩

**lemma** *strict-mono-less*:  
**assumes** *strict-mono* f  
**shows**  $f\ x < f\ y \longleftrightarrow x < y$   
 ⟨proof⟩

**lemma** *min-of-mono*:  
**fixes** f :: 'a  $\Rightarrow$  'b::linorder  
**shows** *mono* f  $\Longrightarrow \min\ (f\ m)\ (f\ n) = f\ (\min\ m\ n)$   
 ⟨proof⟩

**lemma** *max-of-mono*:  
**fixes** f :: 'a  $\Rightarrow$  'b::linorder  
**shows** *mono* f  $\Longrightarrow \max\ (f\ m)\ (f\ n) = f\ (\max\ m\ n)$   
 ⟨proof⟩

**end**

**lemma** *min-leastL*:  $(!!x. \text{least} \leq x) \implies \min \text{least } x = \text{least}$   
 $\langle \text{proof} \rangle$

**lemma** *max-leastL*:  $(!!x. \text{least} \leq x) \implies \max \text{least } x = x$   
 $\langle \text{proof} \rangle$

**lemma** *min-leastR*:  $(\bigwedge x :: 'a :: \text{order}. \text{least} \leq x) \implies \min x \text{ least} = \text{least}$   
 $\langle \text{proof} \rangle$

**lemma** *max-leastR*:  $(\bigwedge x :: 'a :: \text{order}. \text{least} \leq x) \implies \max x \text{ least} = x$   
 $\langle \text{proof} \rangle$

### 3.9 Top and bottom elements

**class** *top* = *preorder* +  
 fixes *top* :: 'a  
 assumes *top-greatest* [*simp*]:  $x \leq \text{top}$

**class** *bot* = *preorder* +  
 fixes *bot* :: 'a  
 assumes *bot-least* [*simp*]:  $\text{bot} \leq x$

### 3.10 Dense orders

**class** *dense-linorder* = *linorder* +  
 assumes *gt-ex*:  $\exists y. x < y$   
 and *lt-ex*:  $\exists y. y < x$   
 and *dense*:  $x < y \implies (\exists z. x < z \wedge z < y)$   
**begin**

**lemma** *dense-le*:  
 fixes  $y\ z :: 'a$   
 assumes  $\bigwedge x. x < y \implies x \leq z$   
 shows  $y \leq z$   
 $\langle \text{proof} \rangle$

**lemma** *dense-le-bounded*:  
 fixes  $x\ y\ z :: 'a$   
 assumes  $x < y$   
 assumes \*:  $\bigwedge w. [x < w ; w < y] \implies w \leq z$   
 shows  $y \leq z$   
 $\langle \text{proof} \rangle$

**end**

### 3.11 Wellorders

**class** *wellorder* = *linorder* +  
 assumes *less-induct* [*case-names less*]:  $(\bigwedge x. (\bigwedge y. y < x \implies P\ y) \implies P\ x) \implies P\ a$

**begin**

**lemma** *wellorder-Least-lemma*:

**fixes**  $k :: 'a$

**assumes**  $P\ k$

**shows**  $LeastI: P\ (LEAST\ x.\ P\ x)$  **and**  $Least-le: (LEAST\ x.\ P\ x) \leq k$   
 $\langle proof \rangle$

**lemma**  $LeastI-ex: \exists x.\ P\ x \implies P\ (Least\ P)$   
 $\langle proof \rangle$

**lemma**  $LeastI2$ :

$P\ a \implies (\bigwedge x.\ P\ x \implies Q\ x) \implies Q\ (Least\ P)$   
 $\langle proof \rangle$

**lemma**  $LeastI2-ex$ :

$\exists a.\ P\ a \implies (\bigwedge x.\ P\ x \implies Q\ x) \implies Q\ (Least\ P)$   
 $\langle proof \rangle$

**lemma**  $not-less-Least: k < (LEAST\ x.\ P\ x) \implies \neg P\ k$   
 $\langle proof \rangle$

**end**

### 3.12 Order on bool

**instantiation**  $bool :: \{order, top, bot\}$

**begin**

**definition**

$le\text{-}bool\text{-}def\ [code\ del]: P \leq Q \longleftrightarrow P \longrightarrow Q$

**definition**

$less\text{-}bool\text{-}def\ [code\ del]: (P::bool) < Q \longleftrightarrow \neg P \wedge Q$

**definition**

$top\text{-}bool\text{-}eq: top = True$

**definition**

$bot\text{-}bool\text{-}eq: bot = False$

**instance**  $\langle proof \rangle$

**end**

**lemma**  $le\text{-}boolI: (P \implies Q) \implies P \leq Q$   
 $\langle proof \rangle$

**lemma**  $le\text{-}boolI': P \longrightarrow Q \implies P \leq Q$   
 $\langle proof \rangle$

**lemma** *le-boolE*:  $P \leq Q \implies P \implies (Q \implies R) \implies R$   
 $\langle proof \rangle$

**lemma** *le-boolD*:  $P \leq Q \implies P \longrightarrow Q$   
 $\langle proof \rangle$

**lemma** *bot-boolE*:  $bot \implies P$   
 $\langle proof \rangle$

**lemma** *top-boolI*:  $top$   
 $\langle proof \rangle$

**lemma** [*code*]:  
 $False \leq b \longleftrightarrow True$   
 $True \leq b \longleftrightarrow b$   
 $False < b \longleftrightarrow b$   
 $True < b \longleftrightarrow False$   
 $\langle proof \rangle$

### 3.13 Order on functions

**instantiation** *fun* :: (*type*, *ord*) *ord*  
**begin**

**definition**  
*le-fun-def* [*code del*]:  $f \leq g \longleftrightarrow (\forall x. f\ x \leq g\ x)$

**definition**  
*less-fun-def* [*code del*]:  $(f :: 'a \Rightarrow 'b) < g \longleftrightarrow f \leq g \wedge \neg (g \leq f)$

**instance**  $\langle proof \rangle$

**end**

**instance** *fun* :: (*type*, *preorder*) *preorder*  $\langle proof \rangle$

**instance** *fun* :: (*type*, *order*) *order*  $\langle proof \rangle$

**instantiation** *fun* :: (*type*, *top*) *top*  
**begin**

**definition**  
*top-fun-eq*:  $top = (\lambda x. top)$

**instance**  $\langle proof \rangle$

**end**

**instantiation** *fun* :: (*type*, *bot*) *bot*  
**begin**

**definition**  
*bot-fun-eq*: *bot* = ( $\lambda x. bot$ )

**instance**  $\langle proof \rangle$

**end**

**lemma** *le-funI*:  $(\bigwedge x. f\ x \leq g\ x) \implies f \leq g$   
 $\langle proof \rangle$

**lemma** *le-funE*:  $f \leq g \implies (f\ x \leq g\ x \implies P) \implies P$   
 $\langle proof \rangle$

**lemma** *le-funD*:  $f \leq g \implies f\ x \leq g\ x$   
 $\langle proof \rangle$

### 3.14 Name duplicates

**lemmas** *order-eq-refl* = *preorder-class.eq-refl*  
**lemmas** *order-less-irrefl* = *preorder-class.less-irrefl*  
**lemmas** *order-less-imp-le* = *preorder-class.less-imp-le*  
**lemmas** *order-less-not-sym* = *preorder-class.less-not-sym*  
**lemmas** *order-less-asym* = *preorder-class.less-asym*  
**lemmas** *order-less-trans* = *preorder-class.less-trans*  
**lemmas** *order-le-less-trans* = *preorder-class.le-less-trans*  
**lemmas** *order-less-le-trans* = *preorder-class.less-le-trans*  
**lemmas** *order-less-imp-not-less* = *preorder-class.less-imp-not-less*  
**lemmas** *order-less-imp-triv* = *preorder-class.less-imp-triv*  
**lemmas** *order-less-asym'* = *preorder-class.less-asym'*

**lemmas** *order-less-le* = *order-class.less-le*  
**lemmas** *order-le-less* = *order-class.le-less*  
**lemmas** *order-le-imp-less-or-eq* = *order-class.le-imp-less-or-eq*  
**lemmas** *order-less-imp-not-eq* = *order-class.less-imp-not-eq*  
**lemmas** *order-less-imp-not-eq2* = *order-class.less-imp-not-eq2*  
**lemmas** *order-neq-le-trans* = *order-class.neq-le-trans*  
**lemmas** *order-le-neq-trans* = *order-class.le-neq-trans*  
**lemmas** *order-antisym* = *order-class.antisym*  
**lemmas** *order-eq-iff* = *order-class.eq-iff*  
**lemmas** *order-antisym-conv* = *order-class.antisym-conv*

**lemmas** *linorder-linear* = *linorder-class.linear*  
**lemmas** *linorder-less-linear* = *linorder-class.less-linear*  
**lemmas** *linorder-le-less-linear* = *linorder-class.le-less-linear*  
**lemmas** *linorder-le-cases* = *linorder-class.le-cases*  
**lemmas** *linorder-not-less* = *linorder-class.not-less*

```

lemmas linorder-not-le = linorder-class.not-le
lemmas linorder-neq-iff = linorder-class.neq-iff
lemmas linorder-neqE = linorder-class.neqE
lemmas linorder-antisym-conv1 = linorder-class.antisym-conv1
lemmas linorder-antisym-conv2 = linorder-class.antisym-conv2
lemmas linorder-antisym-conv3 = linorder-class.antisym-conv3

end

```

## 4 Groups: Groups, also combined with orderings

```

theory Groups
imports Orderings
uses (~~/src/Provers/Arith/abel-cancel.ML)
begin

```

### 4.1 Fact collections

*<ML>*

The rewrites accumulated in *algebra-simps* deal with the classical algebraic structures of groups, rings and family. They simplify terms by multiplying everything out (in case of a ring) and bringing sums and products into a canonical form (by ordered rewriting). As a result it decides group and ring equalities but also helps with inequalities.

Of course it also works for fields, but it knows nothing about multiplicative inverses or division. This is catered for by *field-simps*.

*<ML>*

Lemmas *field-simps* multiply with denominators in (in)equations if they can be proved to be non-zero (for equations) or positive/negative (for inequations). Can be too aggressive and is therefore separate from the more benign *algebra-simps*.

*<ML>*

### 4.2 Abstract structures

These locales provide basic structures for interpretation into bigger structures; extensions require careful thinking, otherwise undesired effects may occur due to interpretation.

```

locale semigroup =
  fixes f :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl * 70)
  assumes assoc [ac-simps]: a * b * c = a * (b * c)

```

```

locale abel-semigroup = semigroup +
  assumes commute [ac-simps]: a * b = b * a

```



**begin**

**lemma** *left-commute* [*ac-simps*]:

$b * (a * c) = a * (b * c)$   
 $\langle proof \rangle$

**end**

**locale** *monoid* = *semigroup* +

**fixes**  $z :: 'a$  ( $1$ )

**assumes** *left-neutral* [*simp*]:  $1 * a = a$

**assumes** *right-neutral* [*simp*]:  $a * 1 = a$

**locale** *comm-monoid* = *abel-semigroup* +

**fixes**  $z :: 'a$  ( $1$ )

**assumes** *comm-neutral*:  $a * 1 = a$

**sublocale** *comm-monoid* < *monoid*  $\langle proof \rangle$

### 4.3 Generic operations

**class** *zero* =

**fixes**  $zero :: 'a$  ( $0$ )

**class** *one* =

**fixes**  $one :: 'a$  ( $1$ )

**hide-const** (**open**) *zero one*

**syntax**

$-index1 :: index$  ( $_1$ )

**translations**

$(index)_1 \Rightarrow (index)_{\diamond}$

**lemma** *Let-0* [*simp*]: *Let*  $0 f = f 0$

$\langle proof \rangle$

**lemma** *Let-1* [*simp*]: *Let*  $1 f = f 1$

$\langle proof \rangle$

$\langle ML \rangle$

**class** *plus* =

**fixes**  $plus :: 'a \Rightarrow 'a \Rightarrow 'a$  (**infixl** + 65)

**class** *minus* =

**fixes**  $minus :: 'a \Rightarrow 'a \Rightarrow 'a$  (**infixl** - 65)

**class** *uminus* =

```

fixes uminus :: 'a ⇒ 'a  (– - [81] 80)

class times =
  fixes times :: 'a ⇒ 'a ⇒ 'a  (infixl * 70)

⟨ML⟩

4.4 Semigroups and Monoids

class semigroup-add = plus +
  assumes add-assoc [algebra-simps, field-simps]: (a + b) + c = a + (b + c)

sublocale semigroup-add < add!: semigroup plus ⟨proof⟩

class ab-semigroup-add = semigroup-add +
  assumes add-commute [algebra-simps, field-simps]: a + b = b + a

sublocale ab-semigroup-add < add!: abel-semigroup plus ⟨proof⟩

context ab-semigroup-add
begin

lemmas add-left-commute [algebra-simps, field-simps] = add.left-commute

theorems add-ac = add-assoc add-commute add-left-commute

end

theorems add-ac = add-assoc add-commute add-left-commute

class semigroup-mult = times +
  assumes mult-assoc [algebra-simps, field-simps]: (a * b) * c = a * (b * c)

sublocale semigroup-mult < mult!: semigroup times ⟨proof⟩

class ab-semigroup-mult = semigroup-mult +
  assumes mult-commute [algebra-simps, field-simps]: a * b = b * a

sublocale ab-semigroup-mult < mult!: abel-semigroup times ⟨proof⟩

context ab-semigroup-mult
begin

lemmas mult-left-commute [algebra-simps, field-simps] = mult.left-commute

theorems mult-ac = mult-assoc mult-commute mult-left-commute

end

```

**theorems** *mult-ac = mult-assoc mult-commute mult-left-commute*

**class** *monoid-add* = *zero* + *semigroup-add* +  
**assumes** *add-0-left*:  $0 + a = a$   
**and** *add-0-right*:  $a + 0 = a$

**sublocale** *monoid-add* < *add!*: *monoid plus 0*  $\langle$ *proof* $\rangle$

**lemma** *zero-reorient*:  $0 = x \longleftrightarrow x = 0$   
 $\langle$ *proof* $\rangle$

**class** *comm-monoid-add* = *zero* + *ab-semigroup-add* +  
**assumes** *add-0*:  $0 + a = a$

**sublocale** *comm-monoid-add* < *add!*: *comm-monoid plus 0*  $\langle$ *proof* $\rangle$

**subclass** (**in** *comm-monoid-add*) *monoid-add*  $\langle$ *proof* $\rangle$

**class** *monoid-mult* = *one* + *semigroup-mult* +  
**assumes** *mult-1-left*:  $1 * a = a$   
**and** *mult-1-right*:  $a * 1 = a$

**sublocale** *monoid-mult* < *mult!*: *monoid times 1*  $\langle$ *proof* $\rangle$

**lemma** *one-reorient*:  $1 = x \longleftrightarrow x = 1$   
 $\langle$ *proof* $\rangle$

**class** *comm-monoid-mult* = *one* + *ab-semigroup-mult* +  
**assumes** *mult-1*:  $1 * a = a$

**sublocale** *comm-monoid-mult* < *mult!*: *comm-monoid times 1*  $\langle$ *proof* $\rangle$

**subclass** (**in** *comm-monoid-mult*) *monoid-mult*  $\langle$ *proof* $\rangle$

**class** *cancel-semigroup-add* = *semigroup-add* +  
**assumes** *add-left-imp-eq*:  $a + b = a + c \implies b = c$   
**assumes** *add-right-imp-eq*:  $b + a = c + a \implies b = c$   
**begin**

**lemma** *add-left-cancel* [*simp*]:  
 $a + b = a + c \longleftrightarrow b = c$   
 $\langle$ *proof* $\rangle$

**lemma** *add-right-cancel* [*simp*]:  
 $b + a = c + a \longleftrightarrow b = c$   
 $\langle$ *proof* $\rangle$

**end**

```

class cancel-ab-semigroup-add = ab-semigroup-add +
  assumes add-imp-eq:  $a + b = a + c \implies b = c$ 
begin

```

```

  subclass cancel-semigroup-add
  <proof>

```

```

end

```

```

class cancel-comm-monoid-add = cancel-ab-semigroup-add + comm-monoid-add

```

## 4.5 Groups

```

class group-add = minus + uminus + monoid-add +
  assumes left-minus [simp]:  $- a + a = 0$ 
  assumes diff-minus:  $a - b = a + (- b)$ 
begin

```

```

lemma minus-unique:
  assumes  $a + b = 0$  shows  $- a = b$ 
<proof>

```

```

lemmas equals-zero-I = minus-unique

```

```

lemma minus-zero [simp]:  $- 0 = 0$ 
<proof>

```

```

lemma minus-minus [simp]:  $- (- a) = a$ 
<proof>

```

```

lemma right-minus [simp]:  $a + - a = 0$ 
<proof>

```

```

lemma minus-add-cancel:  $- a + (a + b) = b$ 
<proof>

```

```

lemma add-minus-cancel:  $a + (- a + b) = b$ 
<proof>

```

```

lemma minus-add:  $-(a + b) = - b + - a$ 
<proof>

```

```

lemma right-minus-eq:  $a - b = 0 \longleftrightarrow a = b$ 
<proof>

```

```

lemma diff-self [simp]:  $a - a = 0$ 
<proof>

```

```

lemma diff-0 [simp]:  $0 - a = - a$ 

```

$\langle proof \rangle$

**lemma** *diff-0-right* [*simp*]:  $a - 0 = a$   
 $\langle proof \rangle$

**lemma** *diff-minus-eq-add* [*simp*]:  $a - - b = a + b$   
 $\langle proof \rangle$

**lemma** *neg-equal-iff-equal* [*simp*]:  
 $- a = - b \longleftrightarrow a = b$   
 $\langle proof \rangle$

**lemma** *neg-equal-0-iff-equal* [*simp*]:  
 $- a = 0 \longleftrightarrow a = 0$   
 $\langle proof \rangle$

**lemma** *neg-0-equal-iff-equal* [*simp*]:  
 $0 = - a \longleftrightarrow 0 = a$   
 $\langle proof \rangle$

The next two equations can make the simplifier loop!

**lemma** *equation-minus-iff*:  
 $a = - b \longleftrightarrow b = - a$   
 $\langle proof \rangle$

**lemma** *minus-equation-iff*:  
 $- a = b \longleftrightarrow - b = a$   
 $\langle proof \rangle$

**lemma** *diff-add-cancel*:  $a - b + b = a$   
 $\langle proof \rangle$

**lemma** *add-diff-cancel*:  $a + b - b = a$   
 $\langle proof \rangle$

**declare** *diff-minus*[*symmetric, algebra-simps, field-simps*]

**lemma** *eq-neg-iff-add-eq-0*:  $a = - b \longleftrightarrow a + b = 0$   
 $\langle proof \rangle$

**end**

**class** *ab-group-add* = *minus* + *uminus* + *comm-monoid-add* +  
**assumes** *ab-left-minus*:  $- a + a = 0$   
**assumes** *ab-diff-minus*:  $a - b = a + (- b)$   
**begin**

**subclass** *group-add*  
 $\langle proof \rangle$

**subclass** *cancel-comm-monoid-add*

$\langle proof \rangle$

**lemma** *uminus-add-conv-diff* [*algebra-simps*, *field-simps*]:

$$- a + b = b - a$$

$\langle proof \rangle$

**lemma** *minus-add-distrib* [*simp*]:

$$-(a + b) = -a + -b$$

$\langle proof \rangle$

**lemma** *minus-diff-eq* [*simp*]:

$$-(a - b) = b - a$$

$\langle proof \rangle$

**lemma** *add-diff-eq* [*algebra-simps*, *field-simps*]:  $a + (b - c) = (a + b) - c$

$\langle proof \rangle$

**lemma** *diff-add-eq* [*algebra-simps*, *field-simps*]:  $(a - b) + c = (a + c) - b$

$\langle proof \rangle$

**lemma** *diff-eq-eq* [*algebra-simps*, *field-simps*]:  $a - b = c \longleftrightarrow a = c + b$

$\langle proof \rangle$

**lemma** *eq-diff-eq* [*algebra-simps*, *field-simps*]:  $a = c - b \longleftrightarrow a + b = c$

$\langle proof \rangle$

**lemma** *diff-diff-eq* [*algebra-simps*, *field-simps*]:  $(a - b) - c = a - (b + c)$

$\langle proof \rangle$

**lemma** *diff-diff-eq2* [*algebra-simps*, *field-simps*]:  $a - (b - c) = (a + c) - b$

$\langle proof \rangle$

**lemma** *eq-iff-diff-eq-0*:  $a = b \longleftrightarrow a - b = 0$

$\langle proof \rangle$

**lemma** *diff-eq-0-iff-eq* [*simp*, *no-atp*]:  $a - b = 0 \longleftrightarrow a = b$

$\langle proof \rangle$

**end**

## 4.6 (Partially) Ordered Groups

The theory of partially ordered groups is taken from the books:

- *Lattice Theory* by Garret Birkhoff, American Mathematical Society

1979

- *Partially Ordered Algebraic Systems*, Pergamon Press 1963

Most of the used notions can also be looked up in

- <http://www.mathworld.com> by Eric Weisstein et. al.
- *Algebra I* by van der Waerden, Springer.

```
class ordered-ab-semigroup-add = order + ab-semigroup-add +
  assumes add-left-mono:  $a \leq b \implies c + a \leq c + b$ 
begin
```

```
lemma add-right-mono:
   $a \leq b \implies a + c \leq b + c$ 
 $\langle$ proof $\rangle$ 
```

non-strict, in both arguments

```
lemma add-mono:
   $a \leq b \implies c \leq d \implies a + c \leq b + d$ 
 $\langle$ proof $\rangle$ 
```

```
end
```

```
class ordered-cancel-ab-semigroup-add =
  ordered-ab-semigroup-add + cancel-ab-semigroup-add
begin
```

```
lemma add-strict-left-mono:
   $a < b \implies c + a < c + b$ 
 $\langle$ proof $\rangle$ 
```

```
lemma add-strict-right-mono:
   $a < b \implies a + c < b + c$ 
 $\langle$ proof $\rangle$ 
```

Strict monotonicity in both arguments

```
lemma add-strict-mono:
   $a < b \implies c < d \implies a + c < b + d$ 
 $\langle$ proof $\rangle$ 
```

```
lemma add-less-le-mono:
   $a < b \implies c \leq d \implies a + c < b + d$ 
 $\langle$ proof $\rangle$ 
```

```
lemma add-le-less-mono:
   $a \leq b \implies c < d \implies a + c < b + d$ 
 $\langle$ proof $\rangle$ 
```

**end**

**class** *ordered-ab-semigroup-add-imp-le* =  
   *ordered-cancel-ab-semigroup-add* +  
   **assumes** *add-le-imp-le-left*:  $c + a \leq c + b \implies a \leq b$   
**begin**

**lemma** *add-less-imp-less-left*:  
   **assumes** *less*:  $c + a < c + b$  **shows**  $a < b$   
*<proof>*

**lemma** *add-less-imp-less-right*:  
    $a + c < b + c \implies a < b$   
*<proof>*

**lemma** *add-less-cancel-left* [*simp*]:  
    $c + a < c + b \longleftrightarrow a < b$   
*<proof>*

**lemma** *add-less-cancel-right* [*simp*]:  
    $a + c < b + c \longleftrightarrow a < b$   
*<proof>*

**lemma** *add-le-cancel-left* [*simp*]:  
    $c + a \leq c + b \longleftrightarrow a \leq b$   
*<proof>*

**lemma** *add-le-cancel-right* [*simp*]:  
    $a + c \leq b + c \longleftrightarrow a \leq b$   
*<proof>*

**lemma** *add-le-imp-le-right*:  
    $a + c \leq b + c \implies a \leq b$   
*<proof>*

**lemma** *max-add-distrib-left*:  
    $\max x \ y + z = \max (x + z) \ (y + z)$   
*<proof>*

**lemma** *min-add-distrib-left*:  
    $\min x \ y + z = \min (x + z) \ (y + z)$   
*<proof>*

**end**

## 4.7 Support for reasoning about signs

**class** *ordered-comm-monoid-add* =



```

ordered-cancel-ab-semigroup-add + comm-monoid-add
begin

lemma add-pos-nonneg:
  assumes  $0 < a$  and  $0 \leq b$  shows  $0 < a + b$ 
  <proof>

lemma add-pos-pos:
  assumes  $0 < a$  and  $0 < b$  shows  $0 < a + b$ 
  <proof>

lemma add-nonneg-pos:
  assumes  $0 \leq a$  and  $0 < b$  shows  $0 < a + b$ 
  <proof>

lemma add-nonneg-nonneg [simp]:
  assumes  $0 \leq a$  and  $0 \leq b$  shows  $0 \leq a + b$ 
  <proof>

lemma add-neg-nonpos:
  assumes  $a < 0$  and  $b \leq 0$  shows  $a + b < 0$ 
  <proof>

lemma add-neg-neg:
  assumes  $a < 0$  and  $b < 0$  shows  $a + b < 0$ 
  <proof>

lemma add-nonpos-neg:
  assumes  $a \leq 0$  and  $b < 0$  shows  $a + b < 0$ 
  <proof>

lemma add-nonpos-nonpos:
  assumes  $a \leq 0$  and  $b \leq 0$  shows  $a + b \leq 0$ 
  <proof>

lemmas add-sign-intros =
  add-pos-nonneg add-pos-pos add-nonneg-pos add-nonneg-nonneg
  add-neg-nonpos add-neg-neg add-nonpos-neg add-nonpos-nonpos

lemma add-nonneg-eq-0-iff:
  assumes  $x: 0 \leq x$  and  $y: 0 \leq y$ 
  shows  $x + y = 0 \iff x = 0 \wedge y = 0$ 
  <proof>

end

class ordered-ab-group-add =
  ab-group-add + ordered-ab-semigroup-add
begin

```

**subclass** *ordered-cancel-ab-semigroup-add*  $\langle \text{proof} \rangle$

**subclass** *ordered-ab-semigroup-add-imp-le*  
 $\langle \text{proof} \rangle$

**subclass** *ordered-comm-monoid-add*  $\langle \text{proof} \rangle$

**lemma** *max-diff-distrib-left*:  
**shows**  $\max x y - z = \max (x - z) (y - z)$   
 $\langle \text{proof} \rangle$

**lemma** *min-diff-distrib-left*:  
**shows**  $\min x y - z = \min (x - z) (y - z)$   
 $\langle \text{proof} \rangle$

**lemma** *le-imp-neg-le*:  
**assumes**  $a \leq b$  **shows**  $-b \leq -a$   
 $\langle \text{proof} \rangle$

**lemma** *neg-le-iff-le* [simp]:  $-b \leq -a \longleftrightarrow a \leq b$   
 $\langle \text{proof} \rangle$

**lemma** *neg-le-0-iff-le* [simp]:  $-a \leq 0 \longleftrightarrow 0 \leq a$   
 $\langle \text{proof} \rangle$

**lemma** *neg-0-le-iff-le* [simp]:  $0 \leq -a \longleftrightarrow a \leq 0$   
 $\langle \text{proof} \rangle$

**lemma** *neg-less-iff-less* [simp]:  $-b < -a \longleftrightarrow a < b$   
 $\langle \text{proof} \rangle$

**lemma** *neg-less-0-iff-less* [simp]:  $-a < 0 \longleftrightarrow 0 < a$   
 $\langle \text{proof} \rangle$

**lemma** *neg-0-less-iff-less* [simp]:  $0 < -a \longleftrightarrow a < 0$   
 $\langle \text{proof} \rangle$

The next several equations can make the simplifier loop!

**lemma** *less-minus-iff*:  $a < -b \longleftrightarrow b < -a$   
 $\langle \text{proof} \rangle$

**lemma** *minus-less-iff*:  $-a < b \longleftrightarrow -b < a$   
 $\langle \text{proof} \rangle$

**lemma** *le-minus-iff*:  $a \leq -b \longleftrightarrow b \leq -a$   
 $\langle \text{proof} \rangle$

**lemma** *minus-le-iff*:  $-a \leq b \longleftrightarrow -b \leq a$

$\langle proof \rangle$

**lemma** *less-iff-diff-less-0*:  $a < b \iff a - b < 0$   
 $\langle proof \rangle$

**lemma** *diff-less-eq[algebra-simps, field-simps]*:  $a - b < c \iff a < c + b$   
 $\langle proof \rangle$

**lemma** *less-diff-eq[algebra-simps, field-simps]*:  $a < c - b \iff a + b < c$   
 $\langle proof \rangle$

**lemma** *diff-le-eq[algebra-simps, field-simps]*:  $a - b \leq c \iff a \leq c + b$   
 $\langle proof \rangle$

**lemma** *le-diff-eq[algebra-simps, field-simps]*:  $a \leq c - b \iff a + b \leq c$   
 $\langle proof \rangle$

**lemma** *le-iff-diff-le-0*:  $a \leq b \iff a - b \leq 0$   
 $\langle proof \rangle$

**end**

**class** *linordered-ab-semigroup-add* =  
*linorder* + *ordered-ab-semigroup-add*

**class** *linordered-cancel-ab-semigroup-add* =  
*linorder* + *ordered-cancel-ab-semigroup-add*  
**begin**

**subclass** *linordered-ab-semigroup-add*  $\langle proof \rangle$

**subclass** *ordered-ab-semigroup-add-imp-le*  
 $\langle proof \rangle$

**end**

**class** *linordered-ab-group-add* = *linorder* + *ordered-ab-group-add*  
**begin**

**subclass** *linordered-cancel-ab-semigroup-add*  $\langle proof \rangle$

**lemma** *neg-less-eq-nonneg [simp]*:  
 $- a \leq a \iff 0 \leq a$   
 $\langle proof \rangle$

**lemma** *neg-less-nonneg [simp]*:  
 $- a < a \iff 0 < a$   
 $\langle proof \rangle$

**lemma** *less-eq-neg-nonpos* [simp]:

$$a \leq -a \longleftrightarrow a \leq 0$$

$\langle \text{proof} \rangle$

**lemma** *equal-neg-zero* [simp]:

$$a = -a \longleftrightarrow a = 0$$

$\langle \text{proof} \rangle$

**lemma** *neg-equal-zero* [simp]:

$$-a = a \longleftrightarrow a = 0$$

$\langle \text{proof} \rangle$

**lemma** *double-zero* [simp]:

$$a + a = 0 \longleftrightarrow a = 0$$

$\langle \text{proof} \rangle$

**lemma** *double-zero-sym* [simp]:

$$0 = a + a \longleftrightarrow a = 0$$

$\langle \text{proof} \rangle$

**lemma** *zero-less-double-add-iff-zero-less-single-add* [simp]:

$$0 < a + a \longleftrightarrow 0 < a$$

$\langle \text{proof} \rangle$

**lemma** *zero-le-double-add-iff-zero-le-single-add* [simp]:

$$0 \leq a + a \longleftrightarrow 0 \leq a$$

$\langle \text{proof} \rangle$

**lemma** *double-add-less-zero-iff-single-add-less-zero* [simp]:

$$a + a < 0 \longleftrightarrow a < 0$$

$\langle \text{proof} \rangle$

**lemma** *double-add-le-zero-iff-single-add-le-zero* [simp]:

$$a + a \leq 0 \longleftrightarrow a \leq 0$$

$\langle \text{proof} \rangle$

**lemma** *le-minus-self-iff*:

$$a \leq -a \longleftrightarrow a \leq 0$$

$\langle \text{proof} \rangle$

**lemma** *minus-le-self-iff*:

$$-a \leq a \longleftrightarrow 0 \leq a$$

$\langle \text{proof} \rangle$

**lemma** *minus-max-eq-min*:

$$-\max x y = \min (-x) (-y)$$

$\langle \text{proof} \rangle$

**lemma** *minus-min-eq-max*:

```

- min x y = max (-x) (-y)
⟨proof⟩

end

context ordered-comm-monoid-add
begin

lemma add-increasing:
  0 ≤ a ⟹ b ≤ c ⟹ b ≤ a + c
  ⟨proof⟩

lemma add-increasing2:
  0 ≤ c ⟹ b ≤ a ⟹ b ≤ a + c
  ⟨proof⟩

lemma add-strict-increasing:
  0 < a ⟹ b ≤ c ⟹ b < a + c
  ⟨proof⟩

lemma add-strict-increasing2:
  0 ≤ a ⟹ b < c ⟹ b < a + c
  ⟨proof⟩

end

class abs =
  fixes abs :: 'a ⇒ 'a
begin

notation (xsymbols)
  abs (|-|)

notation (HTML output)
  abs (|-|)

end

class sgn =
  fixes sgn :: 'a ⇒ 'a

class abs-if = minus + uminus + ord + zero + abs +
  assumes abs-if: |a| = (if a < 0 then - a else a)

class sgn-if = minus + uminus + zero + one + ord + sgn +
  assumes sgn-if: sgn x = (if x = 0 then 0 else if 0 < x then 1 else - 1)
begin

lemma sgn0 [simp]: sgn 0 = 0

```

```

    <proof>

end

class ordered-ab-group-add-abs = ordered-ab-group-add + abs +
  assumes abs-ge-zero [simp]:  $|a| \geq 0$ 
    and abs-ge-self:  $a \leq |a|$ 
    and abs-leI:  $a \leq b \implies -a \leq b \implies |a| \leq b$ 
    and abs-minus-cancel [simp]:  $|-a| = |a|$ 
    and abs-triangle-ineq:  $|a + b| \leq |a| + |b|$ 
begin

lemma abs-minus-le-zero:  $-|a| \leq 0$ 
  <proof>

lemma abs-of-nonneg [simp]:
  assumes nonneg:  $0 \leq a$  shows  $|a| = a$ 
  <proof>

lemma abs-idempotent [simp]:  $||a|| = |a|$ 
  <proof>

lemma abs-eq-0 [simp]:  $|a| = 0 \iff a = 0$ 
  <proof>

lemma abs-zero [simp]:  $|0| = 0$ 
  <proof>

lemma abs-0-eq [simp, no-atp]:  $0 = |a| \iff a = 0$ 
  <proof>

lemma abs-le-zero-iff [simp]:  $|a| \leq 0 \iff a = 0$ 
  <proof>

lemma zero-less-abs-iff [simp]:  $0 < |a| \iff a \neq 0$ 
  <proof>

lemma abs-not-less-zero [simp]:  $\neg |a| < 0$ 
  <proof>

lemma abs-ge-minus-self:  $-a \leq |a|$ 
  <proof>

lemma abs-minus-commute:
   $|a - b| = |b - a|$ 
  <proof>

lemma abs-of-pos:  $0 < a \implies |a| = a$ 
  <proof>

```

**lemma** *abs-of-nonpos* [*simp*]:

assumes  $a \leq 0$  shows  $|a| = -a$   
 $\langle proof \rangle$

**lemma** *abs-of-neg*:  $a < 0 \implies |a| = -a$   
 $\langle proof \rangle$

**lemma** *abs-le-D1*:  $|a| \leq b \implies a \leq b$   
 $\langle proof \rangle$

**lemma** *abs-le-D2*:  $|a| \leq b \implies -a \leq b$   
 $\langle proof \rangle$

**lemma** *abs-le-iff*:  $|a| \leq b \iff a \leq b \wedge -a \leq b$   
 $\langle proof \rangle$

**lemma** *abs-triangle-ineq2*:  $|a| - |b| \leq |a - b|$   
 $\langle proof \rangle$

**lemma** *abs-triangle-ineq2-sym*:  $|a| - |b| \leq |b - a|$   
 $\langle proof \rangle$

**lemma** *abs-triangle-ineq3*:  $||a| - |b|| \leq |a - b|$   
 $\langle proof \rangle$

**lemma** *abs-triangle-ineq4*:  $|a - b| \leq |a| + |b|$   
 $\langle proof \rangle$

**lemma** *abs-diff-triangle-ineq*:  $|a + b - (c + d)| \leq |a - c| + |b - d|$   
 $\langle proof \rangle$

**lemma** *abs-add-abs* [*simp*]:

$||a| + |b|| = |a| + |b|$  (**is** ?*L* = ?*R*)  
 $\langle proof \rangle$

**end**

Needed for abelian cancellation simprocs:

**lemma** *add-cancel-21*:  $((x::'a::ab-group-add) + (y + z) = y + u) = (x + z = u)$   
 $\langle proof \rangle$

**lemma** *add-cancel-end*:  $(x + (y + z) = y) = (x = - (z::'a::ab-group-add))$   
 $\langle proof \rangle$

**lemma** *less-eqI*:  $(x::'a::ordered-ab-group-add) - y = x' - y' \implies (x < y) = (x' < y')$   
 $\langle proof \rangle$

**lemma** *le-eqI*:  $(x :: 'a :: \text{ordered-ab-group-add}) - y = x' - y' \implies (y \leq x) = (y' \leq x')$   
 $\langle \text{proof} \rangle$

**lemma** *eq-eqI*:  $(x :: 'a :: \text{ab-group-add}) - y = x' - y' \implies (x = y) = (x' = y')$   
 $\langle \text{proof} \rangle$

**lemma** *diff-def*:  $(x :: 'a :: \text{ab-group-add}) - y == x + (-y)$   
 $\langle \text{proof} \rangle$

**lemma** *le-add-right-mono*:  
**assumes**  
 $a \leq b + (c :: 'a :: \text{ordered-ab-group-add})$   
 $c \leq d$   
**shows**  $a \leq b + d$   
 $\langle \text{proof} \rangle$

## 4.8 Tools setup

**lemma** *add-mono-thms-linordered-semiring* [no-atp]:  
**fixes**  $i\ j\ k :: 'a :: \text{ordered-ab-semigroup-add}$   
**shows**  $i \leq j \wedge k \leq l \implies i + k \leq j + l$   
**and**  $i = j \wedge k \leq l \implies i + k \leq j + l$   
**and**  $i \leq j \wedge k = l \implies i + k \leq j + l$   
**and**  $i = j \wedge k = l \implies i + k = j + l$   
 $\langle \text{proof} \rangle$

**lemma** *add-mono-thms-linordered-field* [no-atp]:  
**fixes**  $i\ j\ k :: 'a :: \text{ordered-cancel-ab-semigroup-add}$   
**shows**  $i < j \wedge k = l \implies i + k < j + l$   
**and**  $i = j \wedge k < l \implies i + k < j + l$   
**and**  $i < j \wedge k \leq l \implies i + k < j + l$   
**and**  $i \leq j \wedge k < l \implies i + k < j + l$   
**and**  $i < j \wedge k < l \implies i + k < j + l$   
 $\langle \text{proof} \rangle$

Simplification of  $x - y < (0 :: 'a)$ , etc.

**lemmas** *diff-less-0-iff-less* [simp, no-atp] = *less-iff-diff-less-0* [symmetric]

**lemmas** *diff-le-0-iff-le* [simp, no-atp] = *le-iff-diff-le-0* [symmetric]

$\langle \text{ML} \rangle$

**code-modulename** *SML*

*Groups Arith*

**code-modulename** *OCaml*

*Groups Arith*

**code-modulename** *Haskell*



*Groups Arith*

**end**

## 5 Lattices: Abstract lattices

```
theory Lattices
imports Orderings Groups
begin
```

### 5.1 Abstract semilattice

This locales provide a basic structure for interpretation into bigger structures; extensions require careful thinking, otherwise undesired effects may occur due to interpretation.

```
locale semilattice = abel-semigroup +
  assumes idem [simp]:  $f\ a\ a = a$ 
begin
```

```
lemma left-idem [simp]:
   $f\ a\ (f\ a\ b) = f\ a\ b$ 
  <proof>
```

**end**

### 5.2 Idempotent semigroup

```
class ab-semigroup-idem-mult = ab-semigroup-mult +
  assumes mult-idem:  $x * x = x$ 
```

```
sublocale ab-semigroup-idem-mult < times!: semilattice times <proof>
```

```
context ab-semigroup-idem-mult
begin
```

```
lemmas mult-left-idem = times.left-idem
```

**end**

### 5.3 Concrete lattices

```
notation
  less-eq (infix  $\sqsubseteq$  50) and
  less (infix  $\sqsubset$  50) and
  top ( $\top$ ) and
  bot ( $\perp$ )
```

```

class semilattice-inf = order +
  fixes inf :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\sqcap$  70)
  assumes inf-le1 [simp]:  $x \sqcap y \sqsubseteq x$ 
  and inf-le2 [simp]:  $x \sqcap y \sqsubseteq y$ 
  and inf-greatest:  $x \sqsubseteq y \Longrightarrow x \sqsubseteq z \Longrightarrow x \sqsubseteq y \sqcap z$ 

```

```

class semilattice-sup = order +
  fixes sup :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\sqcup$  65)
  assumes sup-ge1 [simp]:  $x \sqsubseteq x \sqcup y$ 
  and sup-ge2 [simp]:  $y \sqsubseteq x \sqcup y$ 
  and sup-least:  $y \sqsubseteq x \Longrightarrow z \sqsubseteq x \Longrightarrow y \sqcup z \sqsubseteq x$ 
begin

```

Dual lattice

```

lemma dual-semilattice:
  class.semilattice-inf (op  $\geq$ ) (op  $>$ ) sup
  <proof>

```

**end**

```

class lattice = semilattice-inf + semilattice-sup

```

### 5.3.1 Intro and elim rules

```

context semilattice-inf
begin

```

```

lemma le-infI1:
   $a \sqsubseteq x \Longrightarrow a \sqcap b \sqsubseteq x$ 
  <proof>

```

```

lemma le-infI2:
   $b \sqsubseteq x \Longrightarrow a \sqcap b \sqsubseteq x$ 
  <proof>

```

```

lemma le-infI:  $x \sqsubseteq a \Longrightarrow x \sqsubseteq b \Longrightarrow x \sqsubseteq a \sqcap b$ 
  <proof>

```

```

lemma le-infE:  $x \sqsubseteq a \sqcap b \Longrightarrow (x \sqsubseteq a \Longrightarrow x \sqsubseteq b \Longrightarrow P) \Longrightarrow P$ 
  <proof>

```

```

lemma le-inf-iff [simp]:
   $x \sqsubseteq y \sqcap z \longleftrightarrow x \sqsubseteq y \wedge x \sqsubseteq z$ 
  <proof>

```

```

lemma le-iff-inf:
   $x \sqsubseteq y \longleftrightarrow x \sqcap y = x$ 
  <proof>

```

**lemma** *inf-mono*:  $a \sqsubseteq c \implies b \leq d \implies a \sqcap b \sqsubseteq c \sqcap d$   
 $\langle \text{proof} \rangle$

**lemma** *mono-inf*:  
**fixes**  $f :: 'a \Rightarrow 'b :: \text{semilattice-inf}$   
**shows**  $\text{mono } f \implies f (A \sqcap B) \sqsubseteq f A \sqcap f B$   
 $\langle \text{proof} \rangle$

**end**

**context** *semilattice-sup*  
**begin**

**lemma** *le-supI1*:  
 $x \sqsubseteq a \implies x \sqsubseteq a \sqcup b$   
 $\langle \text{proof} \rangle$

**lemma** *le-supI2*:  
 $x \sqsubseteq b \implies x \sqsubseteq a \sqcup b$   
 $\langle \text{proof} \rangle$

**lemma** *le-supI*:  
 $a \sqsubseteq x \implies b \sqsubseteq x \implies a \sqcup b \sqsubseteq x$   
 $\langle \text{proof} \rangle$

**lemma** *le-supE*:  
 $a \sqcup b \sqsubseteq x \implies (a \sqsubseteq x \implies b \sqsubseteq x \implies P) \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *le-sup-iff* [*simp*]:  
 $x \sqcup y \sqsubseteq z \longleftrightarrow x \sqsubseteq z \wedge y \sqsubseteq z$   
 $\langle \text{proof} \rangle$

**lemma** *le-iff-sup*:  
 $x \sqsubseteq y \longleftrightarrow x \sqcup y = y$   
 $\langle \text{proof} \rangle$

**lemma** *sup-mono*:  $a \sqsubseteq c \implies b \leq d \implies a \sqcup b \sqsubseteq c \sqcup d$   
 $\langle \text{proof} \rangle$

**lemma** *mono-sup*:  
**fixes**  $f :: 'a \Rightarrow 'b :: \text{semilattice-sup}$   
**shows**  $\text{mono } f \implies f A \sqcup f B \sqsubseteq f (A \sqcup B)$   
 $\langle \text{proof} \rangle$

**end**

### 5.3.2 Equational laws

**sublocale** *semilattice-inf* < *inf!*: *semilattice inf*  
 ⟨*proof*⟩

**context** *semilattice-inf*  
**begin**

**lemma** *inf-assoc*:  $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$   
 ⟨*proof*⟩

**lemma** *inf-commute*:  $(x \sqcap y) = (y \sqcap x)$   
 ⟨*proof*⟩

**lemma** *inf-left-commute*:  $x \sqcap (y \sqcap z) = y \sqcap (x \sqcap z)$   
 ⟨*proof*⟩

**lemma** *inf-idem*:  $x \sqcap x = x$   
 ⟨*proof*⟩

**lemma** *inf-left-idem*:  $x \sqcap (x \sqcap y) = x \sqcap y$   
 ⟨*proof*⟩

**lemma** *inf-absorb1*:  $x \sqsubseteq y \implies x \sqcap y = x$   
 ⟨*proof*⟩

**lemma** *inf-absorb2*:  $y \sqsubseteq x \implies x \sqcap y = y$   
 ⟨*proof*⟩

**lemmas** *inf-aci* = *inf-commute inf-assoc inf-left-commute inf-left-idem*

**end**

**sublocale** *semilattice-sup* < *sup!*: *semilattice sup*  
 ⟨*proof*⟩

**context** *semilattice-sup*  
**begin**

**lemma** *sup-assoc*:  $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$   
 ⟨*proof*⟩

**lemma** *sup-commute*:  $(x \sqcup y) = (y \sqcup x)$   
 ⟨*proof*⟩

**lemma** *sup-left-commute*:  $x \sqcup (y \sqcup z) = y \sqcup (x \sqcup z)$   
 ⟨*proof*⟩

**lemma** *sup-idem*:  $x \sqcup x = x$   
 ⟨*proof*⟩

**lemma** *sup-left-idem*:  $x \sqcup (x \sqcap y) = x \sqcup y$   
 $\langle proof \rangle$

**lemma** *sup-absorb1*:  $y \sqsubseteq x \implies x \sqcup y = x$   
 $\langle proof \rangle$

**lemma** *sup-absorb2*:  $x \sqsubseteq y \implies x \sqcup y = y$   
 $\langle proof \rangle$

**lemmas** *sup-aci = sup-commute sup-assoc sup-left-commute sup-left-idem*

**end**

**context** *lattice*  
**begin**

**lemma** *dual-lattice*:  
*class.lattice* (*op*  $\geq$ ) (*op*  $>$ ) *sup inf*  
 $\langle proof \rangle$

**lemma** *inf-sup-absorb*:  $x \sqcap (x \sqcup y) = x$   
 $\langle proof \rangle$

**lemma** *sup-inf-absorb*:  $x \sqcup (x \sqcap y) = x$   
 $\langle proof \rangle$

**lemmas** *inf-sup-aci = inf-aci sup-aci*

**lemmas** *inf-sup-ord = inf-le1 inf-le2 sup-ge1 sup-ge2*

Towards distributivity

**lemma** *distrib-sup-le*:  $x \sqcup (y \sqcap z) \sqsubseteq (x \sqcup y) \sqcap (x \sqcup z)$   
 $\langle proof \rangle$

**lemma** *distrib-inf-le*:  $(x \sqcap y) \sqcup (x \sqcap z) \sqsubseteq x \sqcap (y \sqcup z)$   
 $\langle proof \rangle$

If you have one of them, you have them all.

**lemma** *distrib-imp1*:  
**assumes** *D*:  $!!x\ y\ z. x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$   
**shows**  $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$   
 $\langle proof \rangle$

**lemma** *distrib-imp2*:  
**assumes** *D*:  $!!x\ y\ z. x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$   
**shows**  $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$   
 $\langle proof \rangle$

**end**

### 5.3.3 Strict order

**context** *semilattice-inf*  
**begin**

**lemma** *less-infI1*:  
 $a \sqsubseteq x \implies a \sqcap b \sqsubseteq x$   
 $\langle \text{proof} \rangle$

**lemma** *less-infI2*:  
 $b \sqsubseteq x \implies a \sqcap b \sqsubseteq x$   
 $\langle \text{proof} \rangle$

**end**

**context** *semilattice-sup*  
**begin**

**lemma** *less-supI1*:  
 $x \sqsubseteq a \implies x \sqsubseteq a \sqcup b$   
 $\langle \text{proof} \rangle$

**lemma** *less-supI2*:  
 $x \sqsubseteq b \implies x \sqsubseteq a \sqcup b$   
 $\langle \text{proof} \rangle$

**end**

## 5.4 Distributive lattices

**class** *distrib-lattice* = *lattice* +  
**assumes** *sup-inf-distrib1*:  $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

**context** *distrib-lattice*  
**begin**

**lemma** *sup-inf-distrib2*:  
 $(y \sqcap z) \sqcup x = (y \sqcup x) \sqcap (z \sqcup x)$   
 $\langle \text{proof} \rangle$

**lemma** *inf-sup-distrib1*:  
 $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$   
 $\langle \text{proof} \rangle$

**lemma** *inf-sup-distrib2*:  
 $(y \sqcup z) \sqcap x = (y \sqcap x) \sqcup (z \sqcap x)$   
 $\langle \text{proof} \rangle$

```

lemma dual-distrib-lattice:
  class.distrib-lattice (op  $\geq$ ) (op  $>$ ) sup inf
   $\langle proof \rangle$ 

lemmas sup-inf-distrib =
  sup-inf-distrib1 sup-inf-distrib2

lemmas inf-sup-distrib =
  inf-sup-distrib1 inf-sup-distrib2

lemmas distrib =
  sup-inf-distrib1 sup-inf-distrib2 inf-sup-distrib1 inf-sup-distrib2

end

```

## 5.5 Bounded lattices and boolean algebras

```

class bounded-lattice-bot = lattice + bot
begin

```

```

lemma inf-bot-left [simp]:
   $\perp \sqcap x = \perp$ 
   $\langle proof \rangle$ 

```

```

lemma inf-bot-right [simp]:
   $x \sqcap \perp = \perp$ 
   $\langle proof \rangle$ 

```

```

lemma sup-bot-left [simp]:
   $\perp \sqcup x = x$ 
   $\langle proof \rangle$ 

```

```

lemma sup-bot-right [simp]:
   $x \sqcup \perp = x$ 
   $\langle proof \rangle$ 

```

```

lemma sup-eq-bot-iff [simp]:
   $x \sqcup y = \perp \iff x = \perp \wedge y = \perp$ 
   $\langle proof \rangle$ 

```

```

end

```

```

class bounded-lattice-top = lattice + top
begin

```

```

lemma sup-top-left [simp]:
   $\top \sqcup x = \top$ 
   $\langle proof \rangle$ 

```

**lemma** *sup-top-right* [*simp*]:

$$x \sqcup \top = \top$$

*<proof>*

**lemma** *inf-top-left* [*simp*]:

$$\top \sqcap x = x$$

*<proof>*

**lemma** *inf-top-right* [*simp*]:

$$x \sqcap \top = x$$

*<proof>*

**lemma** *inf-eq-top-iff* [*simp*]:

$$x \sqcap y = \top \iff x = \top \wedge y = \top$$

*<proof>*

**end**

**class** *bounded-lattice* = *bounded-lattice-bot* + *bounded-lattice-top*  
**begin**

**lemma** *dual-bounded-lattice*:

$$\text{class.bounded-lattice } (op \geq) (op >) (op \sqcup) (op \sqcap) \top \perp$$

*<proof>*

**end**

**class** *boolean-algebra* = *distrib-lattice* + *bounded-lattice* + *minus* + *uminus* +

**assumes** *inf-compl-bot*:  $x \sqcap - x = \perp$

**and** *sup-compl-top*:  $x \sqcup - x = \top$

**assumes** *diff-eq*:  $x - y = x \sqcap - y$

**begin**

**lemma** *dual-boolean-algebra*:

$$\text{class.boolean-algebra } (\lambda x y. x \sqcup - y) \text{ uminus } (op \geq) (op >) (op \sqcup) (op \sqcap) \top \perp$$

*<proof>*

**lemma** *compl-inf-bot*:

$$- x \sqcap x = \perp$$

*<proof>*

**lemma** *compl-sup-top*:

$$- x \sqcup x = \top$$

*<proof>*

**lemma** *compl-unique*:

**assumes**  $x \sqcap y = \perp$

**and**  $x \sqcup y = \top$

**shows**  $- x = y$



$\langle \text{proof} \rangle$

**lemma** *double-compl* [*simp*]:

–  $(- x) = x$

$\langle \text{proof} \rangle$

**lemma** *compl-eq-compl-iff* [*simp*]:

–  $x = - y \longleftrightarrow x = y$

$\langle \text{proof} \rangle$

**lemma** *compl-bot-eq* [*simp*]:

–  $\perp = \top$

$\langle \text{proof} \rangle$

**lemma** *compl-top-eq* [*simp*]:

–  $\top = \perp$

$\langle \text{proof} \rangle$

**lemma** *compl-inf* [*simp*]:

–  $(x \sqcap y) = - x \sqcup - y$

$\langle \text{proof} \rangle$

**lemma** *compl-sup* [*simp*]:

–  $(x \sqcup y) = - x \sqcap - y$

$\langle \text{proof} \rangle$

**lemma** *compl-mono*:

$x \sqsubseteq y \implies - y \sqsubseteq - x$

$\langle \text{proof} \rangle$

**lemma** *compl-le-compl-iff*:

–  $x \leq - y \longleftrightarrow y \leq x$

$\langle \text{proof} \rangle$

**end**

## 5.6 Uniqueness of inf and sup

**lemma** (in *semilattice-inf*) *inf-unique*:

**fixes** *f* (**infixl**  $\triangle$  70)

**assumes** *le1*:  $\bigwedge x y. x \triangle y \sqsubseteq x$  **and** *le2*:  $\bigwedge x y. x \triangle y \sqsubseteq y$

**and** *greatest*:  $\bigwedge x y z. x \sqsubseteq y \implies x \sqsubseteq z \implies x \sqsubseteq y \triangle z$

**shows**  $x \sqcap y = x \triangle y$

$\langle \text{proof} \rangle$

**lemma** (in *semilattice-sup*) *sup-unique*:

**fixes** *f* (**infixl**  $\nabla$  70)

**assumes** *ge1* [*simp*]:  $\bigwedge x y. x \sqsubseteq x \nabla y$  **and** *ge2*:  $\bigwedge x y. y \sqsubseteq x \nabla y$

**and** *least*:  $\bigwedge x y z. y \sqsubseteq x \implies z \sqsubseteq x \implies y \nabla z \sqsubseteq x$

**shows**  $x \sqcup y = x \nabla y$   
 $\langle \text{proof} \rangle$

### 5.7 *min/max on linear orders as special case of* $op \sqcap / op \sqcup$

**sublocale** *linorder* < *min-max*!: *distrib-lattice less-eq less min max*  
 $\langle \text{proof} \rangle$

**lemma** *inf-min*:  $\text{inf} = (\text{min} :: 'a :: \{\text{semilattice-inf}, \text{linorder}\} \Rightarrow 'a \Rightarrow 'a)$   
 $\langle \text{proof} \rangle$

**lemma** *sup-max*:  $\text{sup} = (\text{max} :: 'a :: \{\text{semilattice-sup}, \text{linorder}\} \Rightarrow 'a \Rightarrow 'a)$   
 $\langle \text{proof} \rangle$

**lemmas** *le-maxI1* = *min-max.sup-ge1*

**lemmas** *le-maxI2* = *min-max.sup-ge2*

**lemmas** *min-ac* = *min-max.inf-assoc min-max.inf-commute*  
*min-max.inf.left-commute*

**lemmas** *max-ac* = *min-max.sup-assoc min-max.sup-commute*  
*min-max.sup.left-commute*

### 5.8 Bool as lattice

**instantiation** *bool* :: *boolean-algebra*  
**begin**

**definition**

*bool-Compl-def*:  $\text{uminus} = \text{Not}$

**definition**

*bool-diff-def*:  $A - B \longleftrightarrow A \wedge \neg B$

**definition**

*inf-bool-eq*:  $P \sqcap Q \longleftrightarrow P \wedge Q$

**definition**

*sup-bool-eq*:  $P \sqcup Q \longleftrightarrow P \vee Q$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *sup-boolI1*:

$P \Longrightarrow P \sqcup Q$

$\langle \text{proof} \rangle$

**lemma** *sup-boolI2*:

$Q \Longrightarrow P \sqcup Q$

$\langle proof \rangle$

**lemma** *sup-boolE*:

$P \sqcup Q \implies (P \implies R) \implies (Q \implies R) \implies R$   
 $\langle proof \rangle$

## 5.9 Fun as lattice

**instantiation** *fun* :: (*type*, *lattice*) *lattice*  
**begin**

**definition**

*inf-fun-eq* [*code del*]:  $f \sqcap g = (\lambda x. f\ x \sqcap g\ x)$

**definition**

*sup-fun-eq* [*code del*]:  $f \sqcup g = (\lambda x. f\ x \sqcup g\ x)$

**instance**  $\langle proof \rangle$

**end**

**instance** *fun* :: (*type*, *distrib-lattice*) *distrib-lattice*  
 $\langle proof \rangle$

**instance** *fun* :: (*type*, *bounded-lattice*) *bounded-lattice*  $\langle proof \rangle$

**instantiation** *fun* :: (*type*, *uminus*) *uminus*  
**begin**

**definition**

*fun-Compl-def*:  $- A = (\lambda x. - A\ x)$

**instance**  $\langle proof \rangle$

**end**

**instantiation** *fun* :: (*type*, *minus*) *minus*  
**begin**

**definition**

*fun-diff-def*:  $A - B = (\lambda x. A\ x - B\ x)$

**instance**  $\langle proof \rangle$

**end**

**instance** *fun* :: (*type*, *boolean-algebra*) *boolean-algebra*  
 $\langle proof \rangle$

**no-notation**

*less-eq* (**infix**  $\sqsubseteq$  50) **and**  
*less* (**infix**  $\sqsubset$  50) **and**  
*inf* (**infixl**  $\sqcap$  70) **and**  
*sup* (**infixl**  $\sqcup$  65) **and**  
*top* ( $\top$ ) **and**  
*bot* ( $\perp$ )

**end**

## 6 Set: Set theory for higher-order logic

**theory** *Set*

**imports** *Lattices*

**begin**

### 6.1 Sets as predicates

**global**

**types** *'a set* = *'a*  $\Rightarrow$  *bool*

**consts**

*Collect*        :: (*'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'a set*                — comprehension  
*op* :            :: *'a*  $\Rightarrow$  *'a set*  $\Rightarrow$  *bool*                — membership

**local**

**notation**

*op* : (*op* :) **and**  
*op* : ((-/ : -) [50, 51] 50)

**defs**

*mem-def* [code]:  $x : S == S\ x$   
*Collect-def* [code]:  $\text{Collect } P == P$

**abbreviation**

*not-mem*  $x\ A == \sim (x : A)$  — non-membership

**notation**

*not-mem* (*op*  $\sim$  :) **and**  
*not-mem* ((-/  $\sim$  : -) [50, 51] 50)

**notation** (*xsymbols*)

*op* : (*op*  $\in$ ) **and**  
*op* : ((-/  $\in$  -) [50, 51] 50) **and**  
*not-mem* (*op*  $\notin$ ) **and**

*not-mem*  $((-/ \notin -) [50, 51] 50)$

**notation** (*HTML output*)

*op* :  $(op \in)$  **and**  
*op* :  $((-/ \in -) [50, 51] 50)$  **and**  
*not-mem*  $(op \notin)$  **and**  
*not-mem*  $((-/ \notin -) [50, 51] 50)$

Set comprehensions

**syntax**

*-Coll* ::  $pttrn \Rightarrow bool \Rightarrow 'a\ set$   $((1\{-./-\})$

**translations**

$\{x. P\} == CONST\ Collect\ (\%x. P)$

**syntax**

*-Collect* ::  $idt \Rightarrow 'a\ set \Rightarrow bool \Rightarrow 'a\ set$   $((1\{-./-\})$

**syntax** (*xsymbols*)

*-Collect* ::  $idt \Rightarrow 'a\ set \Rightarrow bool \Rightarrow 'a\ set$   $((1\{- \in / -./ -\})$

**translations**

$\{x:A. P\} \Rightarrow \{x. x:A \ \& \ P\}$

**lemma** *mem-Collect-eq* [*iff*]:  $(a : \{x. P(x)\}) = P(a)$

$\langle proof \rangle$

**lemma** *Collect-mem-eq* [*simp*]:  $\{x. x:A\} = A$

$\langle proof \rangle$

**lemma** *CollectI*:  $P(a) \Rightarrow a : \{x. P(x)\}$

$\langle proof \rangle$

**lemma** *CollectD*:  $a : \{x. P(x)\} \Rightarrow P(a)$

$\langle proof \rangle$

**lemma** *Collect-cong*:  $(!!x. P\ x = Q\ x) \Rightarrow \{x. P(x)\} = \{x. Q(x)\}$

$\langle proof \rangle$

Simproc for pulling  $x=t$  in  $\{x. \dots \ \& \ x=t \ \& \ \dots\}$  to the front (and similarly for  $t=x$ ):

$\langle ML \rangle$

**lemmas** *CollectE* = *CollectD* [*elim-format*]

Set enumerations

**abbreviation** *empty* ::  $'a\ set\ (\{\})$  **where**

$\{\} \equiv bot$

**definition** *insert* ::  $'a \Rightarrow 'a\ set \Rightarrow 'a\ set$  **where**

*insert-compr*:  $insert\ a\ B = \{x. x = a \vee x \in B\}$

**syntax**

*-Finset* :: *args* => 'a set    ( $\{(-)\}$ )

**translations**

$\{x, xs\} == \text{CONST insert } x \{xs\}$

$\{x\} == \text{CONST insert } x \{\}$

**6.2 Subsets and bounded quantifiers****abbreviation**

*subset* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**

*subset*  $\equiv$  *less*

**abbreviation**

*subset-eq* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**

*subset-eq*  $\equiv$  *less-eq*

**notation (output)**

*subset* (op <) **and**

*subset* ((-/ < -) [50, 51] 50) **and**

*subset-eq* (op <=) **and**

*subset-eq* ((-/ <= -) [50, 51] 50)

**notation (xsymbols)**

*subset* (op  $\subset$ ) **and**

*subset* ((-/  $\subset$  -) [50, 51] 50) **and**

*subset-eq* (op  $\subseteq$ ) **and**

*subset-eq* ((-/  $\subseteq$  -) [50, 51] 50)

**notation (HTML output)**

*subset* (op  $\subset$ ) **and**

*subset* ((-/  $\subset$  -) [50, 51] 50) **and**

*subset-eq* (op  $\subseteq$ ) **and**

*subset-eq* ((-/  $\subseteq$  -) [50, 51] 50)

**abbreviation (input)**

*supset* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**

*supset*  $\equiv$  *greater*

**abbreviation (input)**

*supset-eq* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool **where**

*supset-eq*  $\equiv$  *greater-eq*

**notation (xsymbols)**

*supset* (op  $\supset$ ) **and**

*supset* ((-/  $\supset$  -) [50, 51] 50) **and**

*supset-eq* (op  $\supseteq$ ) **and**

*supset-eq* ((-/  $\supseteq$  -) [50, 51] 50)

**global**

**consts**

*Ball* :: *'a set* => (*'a* => *bool*) => *bool* — bounded universal quantifiers

*Bex* :: *'a set* => (*'a* => *bool*) => *bool* — bounded existential quantifiers

**local****defs**

*Ball-def*: *Ball A P* == *ALL x. x:A --> P(x)*

*Bex-def*: *Bex A P* == *EX x. x:A & P(x)*

**syntax**

*-Ball* :: *pttrn* => *'a set* => *bool* => *bool* ((*3ALL* :-./ -) [0, 0, 10] 10)  
*-Bex* :: *pttrn* => *'a set* => *bool* => *bool* ((*3EX* :-./ -) [0, 0, 10] 10)  
*-Bex1* :: *pttrn* => *'a set* => *bool* => *bool* ((*3EX!* :-./ -) [0, 0, 10] 10)  
*-Bleast* :: *id* => *'a set* => *bool* => *'a* ((*3LEAST* :-./ -) [0, 0, 10] 10)

**syntax (HOL)**

*-Ball* :: *pttrn* => *'a set* => *bool* => *bool* ((*3!* :-./ -) [0, 0, 10] 10)  
*-Bex* :: *pttrn* => *'a set* => *bool* => *bool* ((*3?* :-./ -) [0, 0, 10] 10)  
*-Bex1* :: *pttrn* => *'a set* => *bool* => *bool* ((*3?!* :-./ -) [0, 0, 10] 10)

**syntax (xsymbols)**

*-Ball* :: *pttrn* => *'a set* => *bool* => *bool* ((*3V* -∈./ -) [0, 0, 10] 10)  
*-Bex* :: *pttrn* => *'a set* => *bool* => *bool* ((*3E* -∈./ -) [0, 0, 10] 10)  
*-Bex1* :: *pttrn* => *'a set* => *bool* => *bool* ((*3E!* -∈./ -) [0, 0, 10] 10)  
*-Bleast* :: *id* => *'a set* => *bool* => *'a* ((*3LEAST* -∈./ -) [0, 0, 10] 10)

**syntax (HTML output)**

*-Ball* :: *pttrn* => *'a set* => *bool* => *bool* ((*3V* -∈./ -) [0, 0, 10] 10)  
*-Bex* :: *pttrn* => *'a set* => *bool* => *bool* ((*3E* -∈./ -) [0, 0, 10] 10)  
*-Bex1* :: *pttrn* => *'a set* => *bool* => *bool* ((*3E!* -∈./ -) [0, 0, 10] 10)

**translations**

*ALL x:A. P* == *CONST Ball A (%x. P)*

*EX x:A. P* == *CONST Bex A (%x. P)*

*EX! x:A. P* == *EX! x. x:A & P*

*LEAST x:A. P* == *LEAST x. x:A & P*

**syntax (output)**

*-setlessAll* :: [*idt, 'a, bool*] => *bool* ((*3ALL* -<./ -) [0, 0, 10] 10)  
*-setlessEx* :: [*idt, 'a, bool*] => *bool* ((*3EX* -<./ -) [0, 0, 10] 10)  
*-setleAll* :: [*idt, 'a, bool*] => *bool* ((*3ALL* -<=./ -) [0, 0, 10] 10)  
*-setleEx* :: [*idt, 'a, bool*] => *bool* ((*3EX* -<=./ -) [0, 0, 10] 10)  
*-setleEx1* :: [*idt, 'a, bool*] => *bool* ((*3EX!* -<=./ -) [0, 0, 10] 10)

**syntax** (*xsymbols*)

$\text{-setlessAll} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists \forall \text{-}\subset\text{-}/ \text{-}) [0, 0, 10] 10)$   
 $\text{-setlessEx} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists \exists \text{-}\subset\text{-}/ \text{-}) [0, 0, 10] 10)$   
 $\text{-setleAll} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists \forall \text{-}\subseteq\text{-}/ \text{-}) [0, 0, 10] 10)$   
 $\text{-setleEx} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists \exists \text{-}\subseteq\text{-}/ \text{-}) [0, 0, 10] 10)$   
 $\text{-setleEx1} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists \exists !\text{-}\subseteq\text{-}/ \text{-}) [0, 0, 10] 10)$

**syntax** (*HOL output*)

$\text{-setlessAll} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists ! \text{-}\subset\text{-}/ \text{-}) [0, 0, 10] 10)$   
 $\text{-setlessEx} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists ? \text{-}\subset\text{-}/ \text{-}) [0, 0, 10] 10)$   
 $\text{-setleAll} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists ! \text{-}\subseteq\text{-}/ \text{-}) [0, 0, 10] 10)$   
 $\text{-setleEx} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists ? \text{-}\subseteq\text{-}/ \text{-}) [0, 0, 10] 10)$   
 $\text{-setleEx1} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists ? ! \text{-}\subseteq\text{-}/ \text{-}) [0, 0, 10] 10)$

**syntax** (*HTML output*)

$\text{-setlessAll} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists \forall \text{-}\subset\text{-}/ \text{-}) [0, 0, 10] 10)$   
 $\text{-setlessEx} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists \exists \text{-}\subset\text{-}/ \text{-}) [0, 0, 10] 10)$   
 $\text{-setleAll} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists \forall \text{-}\subseteq\text{-}/ \text{-}) [0, 0, 10] 10)$   
 $\text{-setleEx} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists \exists \text{-}\subseteq\text{-}/ \text{-}) [0, 0, 10] 10)$   
 $\text{-setleEx1} :: [\text{idt}, 'a, \text{bool}] \Rightarrow \text{bool} \quad ((\exists \exists !\text{-}\subseteq\text{-}/ \text{-}) [0, 0, 10] 10)$

**translations**

$\forall A \subset B. P \Rightarrow ALL A. A \subset B \dashrightarrow P$   
 $\exists A \subset B. P \Rightarrow EX A. A \subset B \ \& \ P$   
 $\forall A \subseteq B. P \Rightarrow ALL A. A \subseteq B \dashrightarrow P$   
 $\exists A \subseteq B. P \Rightarrow EX A. A \subseteq B \ \& \ P$   
 $\exists ! A \subseteq B. P \Rightarrow EX ! A. A \subseteq B \ \& \ P$

 $\langle ML \rangle$ 

Translate between  $\{e \mid x1 \dots xn. P\}$  and  $\{u. EX x1 \dots xn. u = e \ \& \ P\}$ ;  $\{y. EX x1 \dots xn. y = e \ \& \ P\}$  is only translated if  $[0..n] \text{ subset } bvs(e)$ .

**syntax**

$\text{-Setcompr} :: 'a \Rightarrow \text{idts} \Rightarrow \text{bool} \Rightarrow 'a \text{ set} \quad ((1\{- \mid / \text{-} / \text{-}\})$

 $\langle ML \rangle$ 

**lemma** *ballI* [*intro!*]:  $(!!x. x:A \Rightarrow P \ x) \Rightarrow ALL x:A. P \ x$   
 $\langle \text{proof} \rangle$

**lemmas** *strip* = *impI allI ballI*

**lemma** *bspec* [*dest?*]:  $ALL x:A. P \ x \Rightarrow x:A \Rightarrow P \ x$   
 $\langle \text{proof} \rangle$

Gives better instantiation for bound:

 $\langle ML \rangle$



**lemma** *ballE* [*elim*]:  $ALL\ x:A.\ P\ x \implies (P\ x \implies Q) \implies (x \sim: A \implies Q) \implies Q$   
 $\langle proof \rangle$

**lemma** *bexI* [*intro*]:  $P\ x \implies x:A \implies EX\ x:A.\ P\ x$   
 — Normally the best argument order:  $P\ x$  constrains the choice of  $x \in A$ .  
 $\langle proof \rangle$

**lemma** *rev-bexI* [*intro?*]:  $x:A \implies P\ x \implies EX\ x:A.\ P\ x$   
 — The best argument order when there is only one  $x \in A$ .  
 $\langle proof \rangle$

**lemma** *bexCI*:  $(ALL\ x:A.\ \sim P\ x \implies P\ a) \implies a:A \implies EX\ x:A.\ P\ x$   
 $\langle proof \rangle$

**lemma** *bexE* [*elim!*]:  $EX\ x:A.\ P\ x \implies (!x.\ x:A \implies P\ x \implies Q) \implies Q$   
 $\langle proof \rangle$

**lemma** *ball-triv* [*simp*]:  $(ALL\ x:A.\ P) = ((EX\ x.\ x:A) \dashrightarrow P)$   
 — Trivial rewrite rule.  
 $\langle proof \rangle$

**lemma** *bex-triv* [*simp*]:  $(EX\ x:A.\ P) = ((EX\ x.\ x:A) \& P)$   
 — Dual form for existentials.  
 $\langle proof \rangle$

**lemma** *bex-triv-one-point1* [*simp*]:  $(EX\ x:A.\ x = a) = (a:A)$   
 $\langle proof \rangle$

**lemma** *bex-triv-one-point2* [*simp*]:  $(EX\ x:A.\ a = x) = (a:A)$   
 $\langle proof \rangle$

**lemma** *bex-one-point1* [*simp*]:  $(EX\ x:A.\ x = a \& P\ x) = (a:A \& P\ a)$   
 $\langle proof \rangle$

**lemma** *bex-one-point2* [*simp*]:  $(EX\ x:A.\ a = x \& P\ x) = (a:A \& P\ a)$   
 $\langle proof \rangle$

**lemma** *ball-one-point1* [*simp*]:  $(ALL\ x:A.\ x = a \dashrightarrow P\ x) = (a:A \dashrightarrow P\ a)$   
 $\langle proof \rangle$

**lemma** *ball-one-point2* [*simp*]:  $(ALL\ x:A.\ a = x \dashrightarrow P\ x) = (a:A \dashrightarrow P\ a)$   
 $\langle proof \rangle$

Congruence rules

**lemma** *ball-cong*:  
 $A = B \implies (!x.\ x:B \implies P\ x = Q\ x) \implies$   
 $(ALL\ x:A.\ P\ x) = (ALL\ x:B.\ Q\ x)$

$\langle proof \rangle$

**lemma** *strong-ball-cong* [*cong*]:

$A = B \implies (!x. x:B \implies P\ x = Q\ x) \implies$   
 $(ALL\ x:A. P\ x) = (ALL\ x:B. Q\ x)$   
 $\langle proof \rangle$

**lemma** *bex-cong*:

$A = B \implies (!x. x:B \implies P\ x = Q\ x) \implies$   
 $(EX\ x:A. P\ x) = (EX\ x:B. Q\ x)$   
 $\langle proof \rangle$

**lemma** *strong-bex-cong* [*cong*]:

$A = B \implies (!x. x:B \implies P\ x = Q\ x) \implies$   
 $(EX\ x:A. P\ x) = (EX\ x:B. Q\ x)$   
 $\langle proof \rangle$

## 6.3 Basic operations

### 6.3.1 Subsets

**lemma** *subsetI* [*intro!*]:  $(\bigwedge x. x \in A \implies x \in B) \implies A \subseteq B$   
 $\langle proof \rangle$

Map the type *'a set => anything* to just *'a*; for overloading constants whose first argument has type *'a set*.

**lemma** *subsetD* [*elim, intro?*]:  $A \subseteq B \implies c \in A \implies c \in B$   
 $\langle proof \rangle$

**lemma** *rev-subsetD* [*no-atp,intro?*]:  $c \in A \implies A \subseteq B \implies c \in B$   
 — The same, with reversed premises for use with *erule* – cf *rev-mp*.  
 $\langle proof \rangle$

Converts  $A \subseteq B$  to  $x \in A \implies x \in B$ .

**lemma** *subsetCE* [*no-atp,elim*]:  $A \subseteq B \implies (c \notin A \implies P) \implies (c \in B \implies P) \implies P$   
 — Classical elimination rule.  
 $\langle proof \rangle$

**lemma** *subset-eq* [*no-atp*]:  $A \leq B = (\forall x \in A. x \in B)$   $\langle proof \rangle$

**lemma** *contra-subsetD* [*no-atp*]:  $A \subseteq B \implies c \notin B \implies c \notin A$   
 $\langle proof \rangle$

**lemma** *subset-refl* [*simp*]:  $A \subseteq A$   
 $\langle proof \rangle$

**lemma** *subset-trans*:  $A \subseteq B \implies B \subseteq C \implies A \subseteq C$

$\langle proof \rangle$

**lemma** *set-rev-mp*:  $x:A ==> A \subseteq B ==> x:B$   
 $\langle proof \rangle$

**lemma** *set-mp*:  $A \subseteq B ==> x:A ==> x:B$   
 $\langle proof \rangle$

**lemma** *eq-mem-trans*:  $a=b ==> b \in A ==> a \in A$   
 $\langle proof \rangle$

**lemmas** *basic-trans-rules* [trans] =  
*order-trans-rules set-rev-mp set-mp eq-mem-trans*

### 6.3.2 Equality

**lemma** *set-ext*: **assumes** *prem*:  $(!!x. (x:A) = (x:B))$  **shows**  $A = B$   
 $\langle proof \rangle$

**lemma** *expand-set-eq*:  $(A = B) = (ALL\ x. (x:A) = (x:B))$   
 $\langle proof \rangle$

**lemma** *subset-antisym* [intro!]:  $A \subseteq B ==> B \subseteq A ==> A = B$   
 — Anti-symmetry of the subset relation.  
 $\langle proof \rangle$

Equality rules from ZF set theory – are they appropriate here?

**lemma** *equalityD1*:  $A = B ==> A \subseteq B$   
 $\langle proof \rangle$

**lemma** *equalityD2*:  $A = B ==> B \subseteq A$   
 $\langle proof \rangle$

Be careful when adding this to the claset as *subset-empty* is in the simpset:  
 $A = \{\}$  goes to  $\{\} \subseteq A$  and  $A \subseteq \{\}$  and then back to  $A = \{\}$ !

**lemma** *equalityE*:  $A = B ==> (A \subseteq B ==> B \subseteq A ==> P) ==> P$   
 $\langle proof \rangle$

**lemma** *equalityCE* [elim]:  
 $A = B ==> (c \in A ==> c \in B ==> P) ==> (c \notin A ==> c \notin B ==> P)$   
 $==> P$   
 $\langle proof \rangle$

**lemma** *eqset-imp-iff*:  $A = B ==> (x : A) = (x : B)$   
 $\langle proof \rangle$

**lemma** *equelem-imp-iff*:  $x = y ==> (x : A) = (y : A)$   
 $\langle proof \rangle$

### 6.3.3 The universal set – UNIV

**abbreviation** *UNIV* :: 'a set where

*UNIV*  $\equiv$  top

**lemma** *UNIV-def*:

*UNIV* = {*x*. True}

*<proof>*

**lemma** *UNIV-I* [*simp*]: *x* : *UNIV*

*<proof>*

**declare** *UNIV-I* [*intro*] — unsafe makes it less likely to cause problems

**lemma** *UNIV-witness* [*intro?*]: *EX x. x* : *UNIV*

*<proof>*

**lemma** *subset-UNIV* [*simp*]: *A*  $\subseteq$  *UNIV*

*<proof>*

Eta-contracting these two rules (to remove *P*) causes them to be ignored because of their interaction with congruence rules.

**lemma** *ball-UNIV* [*simp*]: *Ball UNIV P* = *All P*

*<proof>*

**lemma** *bex-UNIV* [*simp*]: *Bex UNIV P* = *Ex P*

*<proof>*

**lemma** *UNIV-eq-I*:  $(\bigwedge x. x \in A) \implies UNIV = A$

*<proof>*

### 6.3.4 The empty set

**lemma** *empty-def*:

{ } = {*x*. False}

*<proof>*

**lemma** *empty-iff* [*simp*]: (*c* : { }) = *False*

*<proof>*

**lemma** *emptyE* [*elim!*]: *a* : { }  $\implies$  *P*

*<proof>*

**lemma** *empty-subsetI* [*iff*]: { }  $\subseteq$  *A*

— One effect is to delete the ASSUMPTION { }  $\subseteq$  *A*

*<proof>*

**lemma** *equals0I*: (!*y. y*  $\in$  *A*  $\implies$  *False*)  $\implies$  *A* = { }

*<proof>*

**lemma** *equals0D*:  $A = \{\} \implies a \notin A$   
 — Use for reasoning about disjointness:  $A \text{ Int } B = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *ball-empty* [simp]:  $\text{Ball } \{\} P = \text{True}$   
 $\langle \text{proof} \rangle$

**lemma** *bex-empty* [simp]:  $\text{Bex } \{\} P = \text{False}$   
 $\langle \text{proof} \rangle$

**lemma** *UNIV-not-empty* [iff]:  $\text{UNIV} \sim = \{\}$   
 $\langle \text{proof} \rangle$

### 6.3.5 The Powerset operator – Pow

**definition** *Pow* ::  $'a \text{ set} \implies 'a \text{ set set}$  **where**  
*Pow-def*:  $\text{Pow } A = \{B. B \leq A\}$

**lemma** *Pow-iff* [iff]:  $(A \in \text{Pow } B) = (A \subseteq B)$   
 $\langle \text{proof} \rangle$

**lemma** *PowI*:  $A \subseteq B \implies A \in \text{Pow } B$   
 $\langle \text{proof} \rangle$

**lemma** *PowD*:  $A \in \text{Pow } B \implies A \subseteq B$   
 $\langle \text{proof} \rangle$

**lemma** *Pow-bottom*:  $\{\} \in \text{Pow } B$   
 $\langle \text{proof} \rangle$

**lemma** *Pow-top*:  $A \in \text{Pow } A$   
 $\langle \text{proof} \rangle$

### 6.3.6 Set complement

**lemma** *Compl-iff* [simp]:  $(c \in -A) = (c \notin A)$   
 $\langle \text{proof} \rangle$

**lemma** *ComplI* [intro!]:  $(c \in A \implies \text{False}) \implies c \in -A$   
 $\langle \text{proof} \rangle$

This form, with negated conclusion, works well with the Classical prover. Negated assumptions behave like formulae on the right side of the notional turnstile ...

**lemma** *ComplD* [dest!]:  $c : -A \implies c \sim : A$   
 $\langle \text{proof} \rangle$

**lemmas** *ComplE* = *ComplD* [elim-format]

**lemma** *Compl-eq*:  $- A = \{x. \sim x : A\}$   $\langle proof \rangle$

### 6.3.7 Binary union – Un

**abbreviation** *union* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  'a set (**infixl** Un 65) **where**  
 $op\ Un \equiv sup$

**notation** (*xsymbols*)  
 $union$  (**infixl**  $\cup$  65)

**notation** (*HTML output*)  
 $union$  (**infixl**  $\cup$  65)

**lemma** *Un-def*:  
 $A \cup B = \{x. x \in A \vee x \in B\}$   
 $\langle proof \rangle$

**lemma** *Un-iff* [*simp*]:  $(c : A \cup B) = (c:A \mid c:B)$   
 $\langle proof \rangle$

**lemma** *UnI1* [*elim?*]:  $c:A \implies c : A \cup B$   
 $\langle proof \rangle$

**lemma** *UnI2* [*elim?*]:  $c:B \implies c : A \cup B$   
 $\langle proof \rangle$

Classical introduction rule: no commitment to  $A$  vs  $B$ .

**lemma** *UnCI* [*intro!*]:  $(c\sim:B \implies c:A) \implies c : A \cup B$   
 $\langle proof \rangle$

**lemma** *UnE* [*elim!*]:  $c : A \cup B \implies (c:A \implies P) \implies (c:B \implies P) \implies P$   
 $\langle proof \rangle$

**lemma** *insert-def*:  $insert\ a\ B = \{x. x = a\} \cup B$   
 $\langle proof \rangle$

**lemma** *mono-Un*:  $mono\ f \implies f\ A \cup f\ B \subseteq f\ (A \cup B)$   
 $\langle proof \rangle$

### 6.3.8 Binary intersection – Int

**abbreviation** *inter* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  'a set (**infixl** Int 70) **where**  
 $op\ Int \equiv inf$

**notation** (*xsymbols*)  
 $inter$  (**infixl**  $\cap$  70)

**notation** (*HTML output*)  
 $inter \text{ (infixl } \cap \text{ 70)}$

**lemma** *Int-def*:  
 $A \cap B = \{x. x \in A \wedge x \in B\}$   
 $\langle proof \rangle$

**lemma** *Int-iff [simp]*:  $(c : A \text{ Int } B) = (c:A \ \& \ c:B)$   
 $\langle proof \rangle$

**lemma** *IntI [intro!]*:  $c:A \implies c:B \implies c : A \text{ Int } B$   
 $\langle proof \rangle$

**lemma** *IntD1*:  $c : A \text{ Int } B \implies c:A$   
 $\langle proof \rangle$

**lemma** *IntD2*:  $c : A \text{ Int } B \implies c:B$   
 $\langle proof \rangle$

**lemma** *IntE [elim!]*:  $c : A \text{ Int } B \implies (c:A \implies c:B \implies P) \implies P$   
 $\langle proof \rangle$

**lemma** *mono-Int*:  $mono \ f \implies f \ (A \cap B) \subseteq f \ A \cap f \ B$   
 $\langle proof \rangle$

### 6.3.9 Set difference

**lemma** *Diff-iff [simp]*:  $(c : A - B) = (c:A \ \& \ c \sim B)$   
 $\langle proof \rangle$

**lemma** *DiffI [intro!]*:  $c : A \implies c \sim B \implies c : A - B$   
 $\langle proof \rangle$

**lemma** *DiffD1*:  $c : A - B \implies c : A$   
 $\langle proof \rangle$

**lemma** *DiffD2*:  $c : A - B \implies c : B \implies P$   
 $\langle proof \rangle$

**lemma** *DiffE [elim!]*:  $c : A - B \implies (c:A \implies c \sim B \implies P) \implies P$   
 $\langle proof \rangle$

**lemma** *set-diff-eq*:  $A - B = \{x. x : A \ \& \ \sim x : B\}$   $\langle proof \rangle$

**lemma** *Compl-eq-Diff-UNIV*:  $-A = (UNIV - A)$   
 $\langle proof \rangle$

### 6.3.10 Augmenting a set – insert

**lemma** *insert-iff [simp]*:  $(a : insert \ b \ A) = (a = b \mid a:A)$

$\langle \text{proof} \rangle$

**lemma** *insertI1*:  $a : \text{insert } a \ B$

$\langle \text{proof} \rangle$

**lemma** *insertI2*:  $a : B \implies a : \text{insert } b \ B$

$\langle \text{proof} \rangle$

**lemma** *insertE* [*elim!*]:  $a : \text{insert } b \ A \implies (a = b \implies P) \implies (a:A \implies P) \implies P$

$\langle \text{proof} \rangle$

**lemma** *insertCI* [*intro!*]:  $(a \sim B \implies a = b) \implies a : \text{insert } b \ B$

— Classical introduction rule.

$\langle \text{proof} \rangle$

**lemma** *subset-insert-iff*:  $(A \subseteq \text{insert } x \ B) = (\text{if } x:A \text{ then } A - \{x\} \subseteq B \text{ else } A \subseteq B)$

$\langle \text{proof} \rangle$

**lemma** *set-insert*:

**assumes**  $x \in A$

**obtains**  $B$  **where**  $A = \text{insert } x \ B$  **and**  $x \notin B$

$\langle \text{proof} \rangle$

**lemma** *insert-ident*:  $x \sim A \implies x \sim B \implies (\text{insert } x \ A = \text{insert } x \ B) = (A = B)$

$\langle \text{proof} \rangle$

### 6.3.11 Singletons, using insert

**lemma** *singletonI* [*intro!,no-atp*]:  $a : \{a\}$

— Redundant? But unlike *insertCI*, it proves the subgoal immediately!

$\langle \text{proof} \rangle$

**lemma** *singletonD* [*dest!,no-atp*]:  $b : \{a\} \implies b = a$

$\langle \text{proof} \rangle$

**lemmas** *singletonE* = *singletonD* [*elim-format*]

**lemma** *singleton-iff*:  $(b : \{a\}) = (b = a)$

$\langle \text{proof} \rangle$

**lemma** *singleton-inject* [*dest!*]:  $\{a\} = \{b\} \implies a = b$

$\langle \text{proof} \rangle$

**lemma** *singleton-insert-inj-eq* [*iff,no-atp*]:

$(\{b\} = \text{insert } a \ A) = (a = b \ \& \ A \subseteq \{b\})$

$\langle \text{proof} \rangle$



**lemma** *singleton-insert-inj-eq'* [*iff, no-atp*]:  
 $(\text{insert } a \ A = \{b\}) = (a = b \ \& \ A \subseteq \{b\})$   
 $\langle \text{proof} \rangle$

**lemma** *subset-singletonD*:  $A \subseteq \{x\} \implies A = \{\} \mid A = \{x\}$   
 $\langle \text{proof} \rangle$

**lemma** *singleton-conv* [*simp*]:  $\{x. x = a\} = \{a\}$   
 $\langle \text{proof} \rangle$

**lemma** *singleton-conv2* [*simp*]:  $\{x. a = x\} = \{a\}$   
 $\langle \text{proof} \rangle$

**lemma** *diff-single-insert*:  $A - \{x\} \subseteq B \implies x \in A \implies A \subseteq \text{insert } x \ B$   
 $\langle \text{proof} \rangle$

**lemma** *doubleton-eq-iff*:  $(\{a, b\} = \{c, d\}) = (a=c \ \& \ b=d \mid a=d \ \& \ b=c)$   
 $\langle \text{proof} \rangle$

### 6.3.12 Image of a set under a function

Frequently  $b$  does not have the syntactic form of  $f \ x$ .

**definition** *image* ::  $('a \Rightarrow 'b) \Rightarrow 'a \ \text{set} \Rightarrow 'b \ \text{set}$  (**infixr** ‘90) **where**  
*image-def* [*no-atp*]:  $f \ 'A = \{y. \ \text{EX } x:A. \ y = f(x)\}$

#### abbreviation

*range* ::  $('a \Rightarrow 'b) \Rightarrow 'b \ \text{set}$  **where** — of function  
 $\text{range } f == f \ ' \text{UNIV}$

**lemma** *image-eqI* [*simp, intro*]:  $b = f \ x \implies x:A \implies b : f \ 'A$   
 $\langle \text{proof} \rangle$

**lemma** *imageI*:  $x : A \implies f \ x : f \ 'A$   
 $\langle \text{proof} \rangle$

**lemma** *rev-image-eqI*:  $x:A \implies b = f \ x \implies b : f \ 'A$   
 — This version’s more effective when we already have the required  $x$ .  
 $\langle \text{proof} \rangle$

**lemma** *imageE* [*elim!*]:  
 $b : (\%x. f \ x) \ 'A \implies (!x. b = f \ x \implies x:A \implies P) \implies P$   
 — The eta-expansion gives variable-name preservation.  
 $\langle \text{proof} \rangle$

**lemma** *image-Un*:  $f \ '(A \ \text{Un } B) = f \ 'A \ \text{Un } f \ 'B$   
 $\langle \text{proof} \rangle$

**lemma** *image-iff*:  $(z : f \ 'A) = (\text{EX } x:A. z = f \ x)$

$\langle proof \rangle$

**lemma** *image-subset-iff*:  $(f^*A \subseteq B) = (\forall x \in A. f\ x \in B)$

— This rewrite rule would confuse users if made default.

$\langle proof \rangle$

**lemma** *subset-image-iff*:  $(B \subseteq f^*A) = (EX\ AA. AA \subseteq A \ \& \ B = f^*AA)$

$\langle proof \rangle$

**lemma** *image-subsetI*:  $(!!x. x \in A ==> f\ x \in B) ==> f^*A \subseteq B$

— Replaces the three steps *subsetI*, *imageE*, *hypsubst*, but breaks too many existing proofs.

$\langle proof \rangle$

Range of a function – just a translation for image!

**lemma** *range-eqI*:  $b = f\ x ==> b \in range\ f$

$\langle proof \rangle$

**lemma** *rangeI*:  $f\ x \in range\ f$

$\langle proof \rangle$

**lemma** *rangeE* [*elim?*]:  $b \in range\ (\lambda x. f\ x) ==> (!!x. b = f\ x ==> P) ==> P$

$\langle proof \rangle$

### 6.3.13 Some rules with *if*

Elimination of  $\{x. \dots \ \& \ x=t \ \& \ \dots\}$ .

**lemma** *Collect-conv-if*:  $\{x. x=a \ \& \ P\ x\} = (if\ P\ a\ then\ \{a\}\ else\ \{\})$

$\langle proof \rangle$

**lemma** *Collect-conv-if2*:  $\{x. a=x \ \& \ P\ x\} = (if\ P\ a\ then\ \{a\}\ else\ \{\})$

$\langle proof \rangle$

Rewrite rules for boolean case-splitting: faster than *split-if* [*split*].

**lemma** *split-if-eq1*:  $((if\ Q\ then\ x\ else\ y) = b) = ((Q \dashrightarrow x = b) \ \& \ (\sim Q \dashrightarrow y = b))$

$\langle proof \rangle$

**lemma** *split-if-eq2*:  $(a = (if\ Q\ then\ x\ else\ y)) = ((Q \dashrightarrow a = x) \ \& \ (\sim Q \dashrightarrow a = y))$

$\langle proof \rangle$

Split ifs on either side of the membership relation. Not for [*simp*] – can cause goals to blow up!

**lemma** *split-if-mem1*:  $((if\ Q\ then\ x\ else\ y) : b) = ((Q \dashrightarrow x : b) \ \& \ (\sim Q \dashrightarrow y : b))$

$\langle proof \rangle$

**lemma** *split-if-mem2*:  $(a : (\text{if } Q \text{ then } x \text{ else } y)) = ((Q \multimap a : x) \ \& \ (\sim Q \multimap a : y))$   
 $\langle \text{proof} \rangle$

**lemmas** *split-ifs* = *if-bool-eq-conj split-if-eq1 split-if-eq2 split-if-mem1 split-if-mem2*

## 6.4 Further operations and lemmas

### 6.4.1 The “proper subset” relation

**lemma** *psubsetI* [*intro!,no-atp*]:  $A \subseteq B \implies A \neq B \implies A \subset B$   
 $\langle \text{proof} \rangle$

**lemma** *psubsetE* [*elim!,no-atp*]:  
 $[|A \subset B; [|A \subseteq B; \sim (B \subseteq A)|] \implies R|] \implies R$   
 $\langle \text{proof} \rangle$

**lemma** *psubset-insert-iff*:  
 $(A \subset \text{insert } x \ B) = (\text{if } x \in B \text{ then } A \subset B \text{ else if } x \in A \text{ then } A - \{x\} \subset B \text{ else } A \subseteq B)$   
 $\langle \text{proof} \rangle$

**lemma** *psubset-eq*:  $(A \subset B) = (A \subseteq B \ \& \ A \neq B)$   
 $\langle \text{proof} \rangle$

**lemma** *psubset-imp-subset*:  $A \subset B \implies A \subseteq B$   
 $\langle \text{proof} \rangle$

**lemma** *psubset-trans*:  $[|A \subset B; B \subset C|] \implies A \subset C$   
 $\langle \text{proof} \rangle$

**lemma** *psubsetD*:  $[|A \subset B; c \in A|] \implies c \in B$   
 $\langle \text{proof} \rangle$

**lemma** *psubset-subset-trans*:  $A \subset B \implies B \subseteq C \implies A \subset C$   
 $\langle \text{proof} \rangle$

**lemma** *subset-psubset-trans*:  $A \subseteq B \implies B \subset C \implies A \subset C$   
 $\langle \text{proof} \rangle$

**lemma** *psubset-imp-ex-mem*:  $A \subset B \implies \exists b. b \in (B - A)$   
 $\langle \text{proof} \rangle$

**lemma** *atomize-ball*:  
 $(!!x. x \in A \implies P \ x) == \text{Trueprop } (\forall x \in A. P \ x)$   
 $\langle \text{proof} \rangle$

**lemmas** [*symmetric, rulify*] = *atomize-ball*  
**and** [*symmetric, defn*] = *atomize-ball*

### 6.4.2 Derived rules involving subsets.

*insert.*

**lemma** *subset-insertI*:  $B \subseteq \text{insert } a \ B$   
 $\langle \text{proof} \rangle$

**lemma** *subset-insertI2*:  $A \subseteq B \implies A \subseteq \text{insert } b \ B$   
 $\langle \text{proof} \rangle$

**lemma** *subset-insert*:  $x \notin A \implies (A \subseteq \text{insert } x \ B) = (A \subseteq B)$   
 $\langle \text{proof} \rangle$

Finite Union – the least upper bound of two sets.

**lemma** *Un-upper1*:  $A \subseteq A \cup B$   
 $\langle \text{proof} \rangle$

**lemma** *Un-upper2*:  $B \subseteq A \cup B$   
 $\langle \text{proof} \rangle$

**lemma** *Un-least*:  $A \subseteq C \implies B \subseteq C \implies A \cup B \subseteq C$   
 $\langle \text{proof} \rangle$

Finite Intersection – the greatest lower bound of two sets.

**lemma** *Int-lower1*:  $A \cap B \subseteq A$   
 $\langle \text{proof} \rangle$

**lemma** *Int-lower2*:  $A \cap B \subseteq B$   
 $\langle \text{proof} \rangle$

**lemma** *Int-greatest*:  $C \subseteq A \implies C \subseteq B \implies C \subseteq A \cap B$   
 $\langle \text{proof} \rangle$

Set difference.

**lemma** *Diff-subset*:  $A - B \subseteq A$   
 $\langle \text{proof} \rangle$

**lemma** *Diff-subset-conv*:  $(A - B \subseteq C) = (A \subseteq B \cup C)$   
 $\langle \text{proof} \rangle$

### 6.4.3 Equalities involving union, intersection, inclusion, etc.

$\{\}$ .

**lemma** *Collect-const [simp]*:  $\{s. P\} = (\text{if } P \text{ then } \text{UNIV} \text{ else } \{\})$   
 — supersedes *Collect-False-empty*  
 $\langle \text{proof} \rangle$

**lemma** *subset-empty* [simp]:  $(A \subseteq \{\}) = (A = \{\})$   
 ⟨proof⟩

**lemma** *not-psubset-empty* [iff]:  $\neg (A < \{\})$   
 ⟨proof⟩

**lemma** *Collect-empty-eq* [simp]:  $(\text{Collect } P = \{\}) = (\forall x. \neg P x)$   
 ⟨proof⟩

**lemma** *empty-Collect-eq* [simp]:  $(\{\} = \text{Collect } P) = (\forall x. \neg P x)$   
 ⟨proof⟩

**lemma** *Collect-neg-eq*:  $\{x. \neg P x\} = - \{x. P x\}$   
 ⟨proof⟩

**lemma** *Collect-disj-eq*:  $\{x. P x \mid Q x\} = \{x. P x\} \cup \{x. Q x\}$   
 ⟨proof⟩

**lemma** *Collect-imp-eq*:  $\{x. P x \longrightarrow Q x\} = -\{x. P x\} \cup \{x. Q x\}$   
 ⟨proof⟩

**lemma** *Collect-conj-eq*:  $\{x. P x \ \& \ Q x\} = \{x. P x\} \cap \{x. Q x\}$   
 ⟨proof⟩

*insert.*

**lemma** *insert-is-Un*:  $\text{insert } a \ A = \{a\} \ \text{Un } A$   
 — NOT SUITABLE FOR REWRITING since  $\{a\} == \text{insert } a \ \{\}$   
 ⟨proof⟩

**lemma** *insert-not-empty* [simp]:  $\text{insert } a \ A \neq \{\}$   
 ⟨proof⟩

**lemmas** *empty-not-insert* = *insert-not-empty* [symmetric, standard]  
**declare** *empty-not-insert* [simp]

**lemma** *insert-absorb*:  $a \in A ==> \text{insert } a \ A = A$   
 — [simp] causes recursive calls when there are nested inserts  
 — with *quadratic* running time  
 ⟨proof⟩

**lemma** *insert-absorb2* [simp]:  $\text{insert } x \ (\text{insert } x \ A) = \text{insert } x \ A$   
 ⟨proof⟩

**lemma** *insert-commute*:  $\text{insert } x \ (\text{insert } y \ A) = \text{insert } y \ (\text{insert } x \ A)$   
 ⟨proof⟩

**lemma** *insert-subset* [simp]:  $(\text{insert } x \ A \subseteq B) = (x \in B \ \& \ A \subseteq B)$   
 ⟨proof⟩

**lemma** *mk-disjoint-insert*:  $a \in A \implies \exists B. A = \text{insert } a \ B \ \& \ a \notin B$   
 — use new  $B$  rather than  $A - \{a\}$  to avoid infinite unfolding  
 $\langle \text{proof} \rangle$

**lemma** *insert-Collect*:  $\text{insert } a \ (\text{Collect } P) = \{u. u \neq a \longrightarrow P \ u\}$   
 $\langle \text{proof} \rangle$

**lemma** *insert-inter-insert* [simp]:  $\text{insert } a \ A \cap \text{insert } a \ B = \text{insert } a \ (A \cap B)$   
 $\langle \text{proof} \rangle$

**lemma** *insert-disjoint* [simp, no-atp]:  
 $(\text{insert } a \ A \cap B = \{\}) = (a \notin B \wedge A \cap B = \{\})$   
 $(\{\} = \text{insert } a \ A \cap B) = (a \notin B \wedge \{\} = A \cap B)$   
 $\langle \text{proof} \rangle$

**lemma** *disjoint-insert* [simp, no-atp]:  
 $(B \cap \text{insert } a \ A = \{\}) = (a \notin B \wedge B \cap A = \{\})$   
 $(\{\} = A \cap \text{insert } b \ B) = (b \notin A \wedge \{\} = A \cap B)$   
 $\langle \text{proof} \rangle$

*image.*

**lemma** *image-empty* [simp]:  $f' \{\} = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *image-insert* [simp]:  $f' \text{insert } a \ B = \text{insert } (f \ a) \ (f' B)$   
 $\langle \text{proof} \rangle$

**lemma** *image-constant*:  $x \in A \implies (\lambda x. c)' A = \{c\}$   
 $\langle \text{proof} \rangle$

**lemma** *image-constant-conv*:  $(\%x. c)' A = (\text{if } A = \{\} \text{ then } \{\} \text{ else } \{c\})$   
 $\langle \text{proof} \rangle$

**lemma** *image-image*:  $f' (g' A) = (\lambda x. f \ (g \ x))' A$   
 $\langle \text{proof} \rangle$

**lemma** *insert-image* [simp]:  $x \in A \implies \text{insert } (f \ x) \ (f' A) = f' A$   
 $\langle \text{proof} \rangle$

**lemma** *image-is-empty* [iff]:  $(f' A = \{\}) = (A = \{\})$   
 $\langle \text{proof} \rangle$

**lemma** *empty-is-image* [iff]:  $(\{\} = f' A) = (A = \{\})$   
 $\langle \text{proof} \rangle$

**lemma** *image-Collect* [no-atp]:  $f' \{x. P \ x\} = \{f \ x \mid x. P \ x\}$   
 — NOT suitable as a default simp rule: the RHS isn't simpler than the LHS, with its implicit quantifier and conjunction. Also image enjoys better equational

properties than does the RHS.

*<proof>*

**lemma** *if-image-distrib* [simp]:

$(\lambda x. \text{if } P\ x \text{ then } f\ x \text{ else } g\ x) \text{ ` } S$   
 $= (f \text{ ` } (S \cap \{x. P\ x\})) \cup (g \text{ ` } (S \cap \{x. \neg P\ x\}))$   
*<proof>*

**lemma** *image-cong*:  $M = N \implies (!x. x \in N \implies f\ x = g\ x) \implies f \text{ ` } M = g \text{ ` } N$

*<proof>*

*range*.

**lemma** *full-SetCompr-eq* [no-atp]:  $\{u. \exists x. u = f\ x\} = \text{range } f$

*<proof>*

**lemma** *range-composition*:  $\text{range } (\lambda x. f\ (g\ x)) = f \text{ ` } \text{range } g$

*<proof>*

*Int*

**lemma** *Int-absorb* [simp]:  $A \cap A = A$

*<proof>*

**lemma** *Int-left-absorb*:  $A \cap (A \cap B) = A \cap B$

*<proof>*

**lemma** *Int-commute*:  $A \cap B = B \cap A$

*<proof>*

**lemma** *Int-left-commute*:  $A \cap (B \cap C) = B \cap (A \cap C)$

*<proof>*

**lemma** *Int-assoc*:  $(A \cap B) \cap C = A \cap (B \cap C)$

*<proof>*

**lemmas** *Int-ac = Int-assoc Int-left-absorb Int-commute Int-left-commute*

— Intersection is an AC-operator

**lemma** *Int-absorb1*:  $B \subseteq A \implies A \cap B = B$

*<proof>*

**lemma** *Int-absorb2*:  $A \subseteq B \implies A \cap B = A$

*<proof>*

**lemma** *Int-empty-left* [simp]:  $\{\} \cap B = \{\}$

*<proof>*

**lemma** *Int-empty-right* [simp]:  $A \cap \{\} = \{\}$

*<proof>*

**lemma** *disjoint-eq-subset-Compl*:  $(A \cap B = \{\}) = (A \subseteq -B)$   
 $\langle proof \rangle$

**lemma** *disjoint-iff-not-equal*:  $(A \cap B = \{\}) = (\forall x \in A. \forall y \in B. x \neq y)$   
 $\langle proof \rangle$

**lemma** *Int-UNIV-left [simp]*:  $UNIV \cap B = B$   
 $\langle proof \rangle$

**lemma** *Int-UNIV-right [simp]*:  $A \cap UNIV = A$   
 $\langle proof \rangle$

**lemma** *Int-Un-distrib*:  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$   
 $\langle proof \rangle$

**lemma** *Int-Un-distrib2*:  $(B \cup C) \cap A = (B \cap A) \cup (C \cap A)$   
 $\langle proof \rangle$

**lemma** *Int-UNIV [simp,no-atp]*:  $(A \cap B = UNIV) = (A = UNIV \ \& \ B = UNIV)$   
 $\langle proof \rangle$

**lemma** *Int-subset-iff [simp]*:  $(C \subseteq A \cap B) = (C \subseteq A \ \& \ C \subseteq B)$   
 $\langle proof \rangle$

**lemma** *Int-Collect*:  $(x \in A \cap \{x. P \ x\}) = (x \in A \ \& \ P \ x)$   
 $\langle proof \rangle$

*Un.*

**lemma** *Un-absorb [simp]*:  $A \cup A = A$   
 $\langle proof \rangle$

**lemma** *Un-left-absorb*:  $A \cup (A \cup B) = A \cup B$   
 $\langle proof \rangle$

**lemma** *Un-commute*:  $A \cup B = B \cup A$   
 $\langle proof \rangle$

**lemma** *Un-left-commute*:  $A \cup (B \cup C) = B \cup (A \cup C)$   
 $\langle proof \rangle$

**lemma** *Un-assoc*:  $(A \cup B) \cup C = A \cup (B \cup C)$   
 $\langle proof \rangle$

**lemmas** *Un-ac = Un-assoc Un-left-absorb Un-commute Un-left-commute*  
 — Union is an AC-operator

**lemma** *Un-absorb1*:  $A \subseteq B ==> A \cup B = B$   
 $\langle proof \rangle$



**lemma** *Un-absorb2*:  $B \subseteq A \implies A \cup B = A$   
 $\langle proof \rangle$

**lemma** *Un-empty-left [simp]*:  $\{\} \cup B = B$   
 $\langle proof \rangle$

**lemma** *Un-empty-right [simp]*:  $A \cup \{\} = A$   
 $\langle proof \rangle$

**lemma** *Un-UNIV-left [simp]*:  $UNIV \cup B = UNIV$   
 $\langle proof \rangle$

**lemma** *Un-UNIV-right [simp]*:  $A \cup UNIV = UNIV$   
 $\langle proof \rangle$

**lemma** *Un-insert-left [simp]*:  $(insert\ a\ B) \cup C = insert\ a\ (B \cup C)$   
 $\langle proof \rangle$

**lemma** *Un-insert-right [simp]*:  $A \cup (insert\ a\ B) = insert\ a\ (A \cup B)$   
 $\langle proof \rangle$

**lemma** *Int-insert-left*:  
 $(insert\ a\ B)\ Int\ C = (if\ a \in C\ then\ insert\ a\ (B \cap C)\ else\ B \cap C)$   
 $\langle proof \rangle$

**lemma** *Int-insert-left-if0 [simp]*:  
 $a \notin C \implies (insert\ a\ B)\ Int\ C = B \cap C$   
 $\langle proof \rangle$

**lemma** *Int-insert-left-if1 [simp]*:  
 $a \in C \implies (insert\ a\ B)\ Int\ C = insert\ a\ (B \cap C)$   
 $\langle proof \rangle$

**lemma** *Int-insert-right*:  
 $A \cap (insert\ a\ B) = (if\ a \in A\ then\ insert\ a\ (A \cap B)\ else\ A \cap B)$   
 $\langle proof \rangle$

**lemma** *Int-insert-right-if0 [simp]*:  
 $a \notin A \implies A \cap (insert\ a\ B) = A \cap B$   
 $\langle proof \rangle$

**lemma** *Int-insert-right-if1 [simp]*:  
 $a \in A \implies A \cap (insert\ a\ B) = insert\ a\ (A \cap B)$   
 $\langle proof \rangle$

**lemma** *Un-Int-distrib*:  $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$   
 $\langle proof \rangle$

**lemma** *Un-Int-distrib2*:  $(B \cap C) \cup A = (B \cup A) \cap (C \cup A)$   
 $\langle \text{proof} \rangle$

**lemma** *Un-Int-crazy*:  
 $(A \cap B) \cup (B \cap C) \cup (C \cap A) = (A \cup B) \cap (B \cup C) \cap (C \cup A)$   
 $\langle \text{proof} \rangle$

**lemma** *subset-Un-eq*:  $(A \subseteq B) = (A \cup B = B)$   
 $\langle \text{proof} \rangle$

**lemma** *Un-empty [iff]*:  $(A \cup B = \{\}) = (A = \{\} \ \& \ B = \{\})$   
 $\langle \text{proof} \rangle$

**lemma** *Un-subset-iff [simp]*:  $(A \cup B \subseteq C) = (A \subseteq C \ \& \ B \subseteq C)$   
 $\langle \text{proof} \rangle$

**lemma** *Un-Diff-Int*:  $(A - B) \cup (A \cap B) = A$   
 $\langle \text{proof} \rangle$

**lemma** *Diff-Int2*:  $A \cap C - B \cap C = A \cap C - B$   
 $\langle \text{proof} \rangle$

Set complement

**lemma** *Compl-disjoint [simp]*:  $A \cap -A = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *Compl-disjoint2 [simp]*:  $-A \cap A = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *Compl-partition*:  $A \cup -A = UNIV$   
 $\langle \text{proof} \rangle$

**lemma** *Compl-partition2*:  $-A \cup A = UNIV$   
 $\langle \text{proof} \rangle$

**lemma** *double-complement [simp]*:  $-(-A) = (A::'a \text{ set})$   
 $\langle \text{proof} \rangle$

**lemma** *Compl-Un [simp]*:  $-(A \cup B) = (-A) \cap (-B)$   
 $\langle \text{proof} \rangle$

**lemma** *Compl-Int [simp]*:  $-(A \cap B) = (-A) \cup (-B)$   
 $\langle \text{proof} \rangle$

**lemma** *subset-Compl-self-eq*:  $(A \subseteq -A) = (A = \{\})$   
 $\langle \text{proof} \rangle$

**lemma** *Un-Int-assoc-eq*:  $((A \cap B) \cup C = A \cap (B \cup C)) = (C \subseteq A)$   
 — Halmos, Naive Set Theory, page 16.

$\langle proof \rangle$

**lemma** *Compl-UNIV-eq* [simp]:  $-UNIV = \{\}$   
 $\langle proof \rangle$

**lemma** *Compl-empty-eq* [simp]:  $-\{\} = UNIV$   
 $\langle proof \rangle$

**lemma** *Compl-subset-Compl-iff* [iff]:  $(-A \subseteq -B) = (B \subseteq A)$   
 $\langle proof \rangle$

**lemma** *Compl-eq-Compl-iff* [iff]:  $(-A = -B) = (A = (B::'a\ set))$   
 $\langle proof \rangle$

Bounded quantifiers.

The following are not added to the default simpset because (a) they duplicate the body and (b) there are no similar rules for *Int*.

**lemma** *ball-Un*:  $(\forall x \in A \cup B. P\ x) = ((\forall x \in A. P\ x) \ \& \ (\forall x \in B. P\ x))$   
 $\langle proof \rangle$

**lemma** *bex-Un*:  $(\exists x \in A \cup B. P\ x) = ((\exists x \in A. P\ x) \mid (\exists x \in B. P\ x))$   
 $\langle proof \rangle$

Set difference.

**lemma** *Diff-eq*:  $A - B = A \cap (-B)$   
 $\langle proof \rangle$

**lemma** *Diff-eq-empty-iff* [simp,no-atp]:  $(A - B = \{\}) = (A \subseteq B)$   
 $\langle proof \rangle$

**lemma** *Diff-cancel* [simp]:  $A - A = \{\}$   
 $\langle proof \rangle$

**lemma** *Diff-idemp* [simp]:  $(A - B) - B = A - (B::'a\ set)$   
 $\langle proof \rangle$

**lemma** *Diff-triv*:  $A \cap B = \{\} ==> A - B = A$   
 $\langle proof \rangle$

**lemma** *empty-Diff* [simp]:  $\{\} - A = \{\}$   
 $\langle proof \rangle$

**lemma** *Diff-empty* [simp]:  $A - \{\} = A$   
 $\langle proof \rangle$

**lemma** *Diff-UNIV* [simp]:  $A - UNIV = \{\}$   
 $\langle proof \rangle$

**lemma** *Diff-insert0* [simp,no-atp]:  $x \notin A \implies A - \text{insert } x B = A - B$   
 ⟨proof⟩

**lemma** *Diff-insert*:  $A - \text{insert } a B = A - B - \{a\}$   
 — NOT SUITABLE FOR REWRITING since  $\{a\} == \text{insert } a 0$   
 ⟨proof⟩

**lemma** *Diff-insert2*:  $A - \text{insert } a B = A - \{a\} - B$   
 — NOT SUITABLE FOR REWRITING since  $\{a\} == \text{insert } a 0$   
 ⟨proof⟩

**lemma** *insert-Diff-if*:  $\text{insert } x A - B = (\text{if } x \in B \text{ then } A - B \text{ else } \text{insert } x (A - B))$   
 ⟨proof⟩

**lemma** *insert-Diff1* [simp]:  $x \in B \implies \text{insert } x A - B = A - B$   
 ⟨proof⟩

**lemma** *insert-Diff-single*[simp]:  $\text{insert } a (A - \{a\}) = \text{insert } a A$   
 ⟨proof⟩

**lemma** *insert-Diff*:  $a \in A \implies \text{insert } a (A - \{a\}) = A$   
 ⟨proof⟩

**lemma** *Diff-insert-absorb*:  $x \notin A \implies (\text{insert } x A) - \{x\} = A$   
 ⟨proof⟩

**lemma** *Diff-disjoint* [simp]:  $A \cap (B - A) = \{\}$   
 ⟨proof⟩

**lemma** *Diff-partition*:  $A \subseteq B \implies A \cup (B - A) = B$   
 ⟨proof⟩

**lemma** *double-diff*:  $A \subseteq B \implies B \subseteq C \implies B - (C - A) = A$   
 ⟨proof⟩

**lemma** *Un-Diff-cancel* [simp]:  $A \cup (B - A) = A \cup B$   
 ⟨proof⟩

**lemma** *Un-Diff-cancel2* [simp]:  $(B - A) \cup A = B \cup A$   
 ⟨proof⟩

**lemma** *Diff-Un*:  $A - (B \cup C) = (A - B) \cap (A - C)$   
 ⟨proof⟩

**lemma** *Diff-Int*:  $A - (B \cap C) = (A - B) \cup (A - C)$   
 ⟨proof⟩

**lemma** *Un-Diff*:  $(A \cup B) - C = (A - C) \cup (B - C)$

$\langle proof \rangle$

**lemma** *Int-Diff*:  $(A \cap B) - C = A \cap (B - C)$   
 $\langle proof \rangle$

**lemma** *Diff-Int-distrib*:  $C \cap (A - B) = (C \cap A) - (C \cap B)$   
 $\langle proof \rangle$

**lemma** *Diff-Int-distrib2*:  $(A - B) \cap C = (A \cap C) - (B \cap C)$   
 $\langle proof \rangle$

**lemma** *Diff-Compl [simp]*:  $A - (\neg B) = A \cap B$   
 $\langle proof \rangle$

**lemma** *Compl-Diff-eq [simp]*:  $\neg (A - B) = \neg A \cup B$   
 $\langle proof \rangle$

Quantification over type *bool*.

**lemma** *bool-induct*:  $P \text{ True} \implies P \text{ False} \implies P x$   
 $\langle proof \rangle$

**lemma** *all-bool-eq*:  $(\forall b. P b) \longleftrightarrow P \text{ True} \wedge P \text{ False}$   
 $\langle proof \rangle$

**lemma** *bool-contrapos*:  $P x \implies \neg P \text{ False} \implies P \text{ True}$   
 $\langle proof \rangle$

**lemma** *ex-bool-eq*:  $(\exists b. P b) \longleftrightarrow P \text{ True} \vee P \text{ False}$   
 $\langle proof \rangle$

*Pow*

**lemma** *Pow-empty [simp]*:  $\text{Pow } \{\} = \{\{\}\}$   
 $\langle proof \rangle$

**lemma** *Pow-insert*:  $\text{Pow } (\text{insert } a \ A) = \text{Pow } A \cup (\text{insert } a \ ' \text{Pow } A)$   
 $\langle proof \rangle$

**lemma** *Pow-Compl*:  $\text{Pow } (\neg A) = \{-B \mid B. A \in \text{Pow } B\}$   
 $\langle proof \rangle$

**lemma** *Pow-UNIV [simp]*:  $\text{Pow } \text{UNIV} = \text{UNIV}$   
 $\langle proof \rangle$

**lemma** *Un-Pow-subset*:  $\text{Pow } A \cup \text{Pow } B \subseteq \text{Pow } (A \cup B)$   
 $\langle proof \rangle$

**lemma** *Pow-Int-eq [simp]*:  $\text{Pow } (A \cap B) = \text{Pow } A \cap \text{Pow } B$   
 $\langle proof \rangle$

Miscellany.

**lemma** *set-eq-subset*:  $(A = B) = (A \subseteq B \ \& \ B \subseteq A)$   
 $\langle proof \rangle$

**lemma** *subset-iff*:  $(A \subseteq B) = (\forall t. t \in A \longrightarrow t \in B)$   
 $\langle proof \rangle$

**lemma** *subset-iff-psubset-eq*:  $(A \subseteq B) = ((A \subset B) \mid (A = B))$   
 $\langle proof \rangle$

**lemma** *all-not-in-conv* [simp]:  $(\forall x. x \notin A) = (A = \{\})$   
 $\langle proof \rangle$

**lemma** *ex-in-conv*:  $(\exists x. x \in A) = (A \neq \{\})$   
 $\langle proof \rangle$

**lemma** *distinct-lemma*:  $f\ x \neq f\ y \implies x \neq y$   
 $\langle proof \rangle$

#### 6.4.4 Monotonicity of various operations

**lemma** *image-mono*:  $A \subseteq B \implies f'A \subseteq f'B$   
 $\langle proof \rangle$

**lemma** *Pow-mono*:  $A \subseteq B \implies Pow\ A \subseteq Pow\ B$   
 $\langle proof \rangle$

**lemma** *insert-mono*:  $C \subseteq D \implies insert\ a\ C \subseteq insert\ a\ D$   
 $\langle proof \rangle$

**lemma** *Un-mono*:  $A \subseteq C \implies B \subseteq D \implies A \cup B \subseteq C \cup D$   
 $\langle proof \rangle$

**lemma** *Int-mono*:  $A \subseteq C \implies B \subseteq D \implies A \cap B \subseteq C \cap D$   
 $\langle proof \rangle$

**lemma** *Diff-mono*:  $A \subseteq C \implies D \subseteq B \implies A - B \subseteq C - D$   
 $\langle proof \rangle$

**lemma** *Compl-anti-mono*:  $A \subseteq B \implies -B \subseteq -A$   
 $\langle proof \rangle$

Monotonicity of implications.

**lemma** *in-mono*:  $A \subseteq B \implies x \in A \longrightarrow x \in B$   
 $\langle proof \rangle$

**lemma** *conj-mono*:  $P1 \longrightarrow Q1 \implies P2 \longrightarrow Q2 \implies (P1 \ \& \ P2) \longrightarrow (Q1 \ \& \ Q2)$

$\langle \text{proof} \rangle$

**lemma** *disj-mono*:  $P1 \dashv\dashv Q1 \implies P2 \dashv\dashv Q2 \implies (P1 \mid P2) \dashv\dashv (Q1 \mid Q2)$   
 $\langle \text{proof} \rangle$

**lemma** *imp-mono*:  $Q1 \dashv\dashv P1 \implies P2 \dashv\dashv Q2 \implies (P1 \dashv\dashv P2) \dashv\dashv (Q1 \dashv\dashv Q2)$   
 $\langle \text{proof} \rangle$

**lemma** *imp-refl*:  $P \dashv\dashv P$   $\langle \text{proof} \rangle$

**lemma** *not-mono*:  $Q \dashv\dashv P \implies \sim P \dashv\dashv \sim Q$   
 $\langle \text{proof} \rangle$

**lemma** *ex-mono*:  $(!!x. P x \dashv\dashv Q x) \implies (EX x. P x) \dashv\dashv (EX x. Q x)$   
 $\langle \text{proof} \rangle$

**lemma** *all-mono*:  $(!!x. P x \dashv\dashv Q x) \implies (ALL x. P x) \dashv\dashv (ALL x. Q x)$   
 $\langle \text{proof} \rangle$

**lemma** *Collect-mono*:  $(!!x. P x \dashv\dashv Q x) \implies \text{Collect } P \subseteq \text{Collect } Q$   
 $\langle \text{proof} \rangle$

**lemma** *Int-Collect-mono*:  
 $A \subseteq B \implies (!!x. x \in A \implies P x \dashv\dashv Q x) \implies A \cap \text{Collect } P \subseteq B \cap \text{Collect } Q$   
 $\langle \text{proof} \rangle$

**lemmas** *basic-monos* =  
*subset-refl imp-refl disj-mono conj-mono*  
*ex-mono Collect-mono in-mono*

**lemma** *eq-to-mono*:  $a = b \implies c = d \implies b \dashv\dashv d \implies a \dashv\dashv c$   
 $\langle \text{proof} \rangle$

### 6.4.5 Inverse image of a function

**definition** *vmage* ::  $('a \Rightarrow 'b) \Rightarrow 'b \text{ set} \Rightarrow 'a \text{ set}$  (**infixr**  $-'$  90) **where**  
 $[\text{code del}]: f -' B == \{x. f x : B\}$

**lemma** *vmage-eq* [*simp*]:  $(a : f -' B) = (f a : B)$   
 $\langle \text{proof} \rangle$

**lemma** *vmage-singleton-eq*:  $(a : f -' \{b\}) = (f a = b)$   
 $\langle \text{proof} \rangle$

**lemma** *vmageI* [*intro*]:  $f a = b \implies b : B \implies a : f -' B$   
 $\langle \text{proof} \rangle$

**lemma** *vimageI2*:  $f\ a : A \implies a : f\ -' A$   
 $\langle proof \rangle$

**lemma** *vimageE* [*elim!*]:  $a : f\ -' B \implies (!x. f\ a = x \implies x:B \implies P) \implies P$   
 $\langle proof \rangle$

**lemma** *vimageD*:  $a : f\ -' A \implies f\ a : A$   
 $\langle proof \rangle$

**lemma** *vimage-empty* [*simp*]:  $f\ -' \{\} = \{\}$   
 $\langle proof \rangle$

**lemma** *vimage-Compl*:  $f\ -' (-A) = -(f\ -' A)$   
 $\langle proof \rangle$

**lemma** *vimage-Un* [*simp*]:  $f\ -' (A\ Un\ B) = (f\ -' A)\ Un\ (f\ -' B)$   
 $\langle proof \rangle$

**lemma** *vimage-Int* [*simp*]:  $f\ -' (A\ Int\ B) = (f\ -' A)\ Int\ (f\ -' B)$   
 $\langle proof \rangle$

**lemma** *vimage-Collect-eq* [*simp*]:  $f\ -' Collect\ P = \{y. P\ (f\ y)\}$   
 $\langle proof \rangle$

**lemma** *vimage-Collect*:  $(!x. P\ (f\ x) = Q\ x) \implies f\ -' (Collect\ P) = Collect\ Q$   
 $\langle proof \rangle$

**lemma** *vimage-insert*:  $f\ -' (insert\ a\ B) = (f\ -' \{a\})\ Un\ (f\ -' B)$   
 — NOT suitable for rewriting because of the recurrence of  $\{a\}$ .  
 $\langle proof \rangle$

**lemma** *vimage-Diff*:  $f\ -' (A\ -\ B) = (f\ -' A)\ -\ (f\ -' B)$   
 $\langle proof \rangle$

**lemma** *vimage-UNIV* [*simp*]:  $f\ -' UNIV = UNIV$   
 $\langle proof \rangle$

**lemma** *vimage-mono*:  $A \subseteq B \implies f\ -' A \subseteq f\ -' B$   
 — monotonicity  
 $\langle proof \rangle$

**lemma** *vimage-image-eq* [*no-atp*]:  $f\ -' (f\ ' A) = \{y. EX\ x:A. f\ x = f\ y\}$   
 $\langle proof \rangle$

**lemma** *image-vimage-subset*:  $f\ ' (f\ -' A) \leq A$   
 $\langle proof \rangle$



**lemma** *image-vimage-eq* [simp]:  $f \text{ ` } (f \text{ - ` } A) = A \text{ Int range } f$   
 ⟨proof⟩

**lemma** *vimage-const* [simp]:  $((\lambda x. c) \text{ - ` } A) = (\text{if } c \in A \text{ then UNIV else } \{\})$   
 ⟨proof⟩

**lemma** *vimage-if* [simp]:  $((\lambda x. \text{if } x \in B \text{ then } c \text{ else } d) \text{ - ` } A) =$   
 $(\text{if } c \in A \text{ then } (\text{if } d \in A \text{ then UNIV else } B)$   
 $\text{else if } d \in A \text{ then } \neg B \text{ else } \{\})$   
 ⟨proof⟩

**lemma** *vimage-inter-cong*:  
 $(\bigwedge w. w \in S \implies f w = g w) \implies f \text{ - ` } y \cap S = g \text{ - ` } y \cap S$   
 ⟨proof⟩

**lemma** *image-Int-subset*:  $f \text{ ` } (A \text{ Int } B) \leq f \text{ ` } A \text{ Int } f \text{ ` } B$   
 ⟨proof⟩

**lemma** *image-diff-subset*:  $f \text{ ` } A - f \text{ ` } B \leq f \text{ ` } (A - B)$   
 ⟨proof⟩

### 6.4.6 Getting the Contents of a Singleton Set

**definition** *contents* :: 'a set  $\Rightarrow$  'a **where**  
 [code del]: *contents*  $X = (\text{THE } x. X = \{x\})$

**lemma** *contents-eq* [simp]: *contents*  $\{x\} = x$   
 ⟨proof⟩

### 6.4.7 Least value operator

**lemma** *Least-mono*:  
 $\text{mono } (f :: 'a :: \text{order} \Rightarrow 'b :: \text{order}) \implies \text{EX } x:S. \text{ ALL } y:S. x \leq y$   
 $\implies (\text{LEAST } y. y : f \text{ ` } S) = f (\text{LEAST } x. x : S)$   
 — Courtesy of Stephan Merz  
 ⟨proof⟩

## 6.5 Misc

Rudimentary code generation

**lemma** *insert-code* [code]: *insert*  $y \ A \ x \longleftrightarrow y = x \vee A \ x$   
 ⟨proof⟩

**lemma** *vimage-code* [code]:  $(f \text{ - ` } A) \ x = A \ (f \ x)$   
 ⟨proof⟩

Misc theorem and ML bindings

**lemmas** *equality*  $I = \text{subset-antisym}$

$\langle ML \rangle$

end

## 7 Typedef: HOL type definitions

```

theory Typedef
imports Set
uses
  (Tools/typedef.ML)
  (Tools/typecopy.ML)
  (Tools/typedef-codegen.ML)
begin

 $\langle ML \rangle$ 

locale type-definition =
  fixes Rep and Abs and A
  assumes Rep: Rep  $x \in A$ 
    and Rep-inverse: Abs (Rep  $x$ ) =  $x$ 
    and Abs-inverse:  $y \in A \implies$  Rep (Abs  $y$ ) =  $y$ 
  — This will be axiomatized for each typedef!
begin

lemma Rep-inject:
  (Rep  $x$  = Rep  $y$ ) = ( $x$  =  $y$ )
 $\langle proof \rangle$ 

lemma Abs-inject:
  assumes  $x: x \in A$  and  $y: y \in A$ 
  shows (Abs  $x$  = Abs  $y$ ) = ( $x$  =  $y$ )
 $\langle proof \rangle$ 

lemma Rep-cases [cases set]:
  assumes  $y: y \in A$ 
    and hyp:  $!!x. y = \text{Rep } x \implies P$ 
  shows  $P$ 
 $\langle proof \rangle$ 

lemma Abs-cases [cases type]:
  assumes  $r: !!y. x = \text{Abs } y \implies y \in A \implies P$ 
  shows  $P$ 
 $\langle proof \rangle$ 

lemma Rep-induct [induct set]:
  assumes  $y: y \in A$ 
    and hyp:  $!!x. P (\text{Rep } x)$ 
  shows  $P y$ 

```

⟨proof⟩

**lemma** *Abs-induct* [*induct type*]:  
 assumes *r*:  $\forall y. y \in A \implies P (Abs\ y)$   
 shows  $P\ x$   
 ⟨proof⟩

**lemma** *Rep-range*:  $range\ Rep = A$   
 ⟨proof⟩

**lemma** *Abs-image*:  $Abs\ ` A = UNIV$   
 ⟨proof⟩

**end**

⟨ML⟩

**end**

## 8 Complete-Lattice: Complete lattices, with special focus on sets

**theory** *Complete-Lattice*  
**imports** *Set*  
**begin**

**notation**  
*less-eq* (**infix**  $\sqsubseteq$  50) **and**  
*less* (**infix**  $\sqsubset$  50) **and**  
*inf* (**infixl**  $\sqcap$  70) **and**  
*sup* (**infixl**  $\sqcup$  65) **and**  
*top* ( $\top$ ) **and**  
*bot* ( $\perp$ )

### 8.1 Syntactic infimum and supremum operations

**class** *Inf* =  
 fixes *Inf* :: 'a set  $\Rightarrow$  'a ( $\sqcap$  - [900] 900)

**class** *Sup* =  
 fixes *Sup* :: 'a set  $\Rightarrow$  'a ( $\sqcup$  - [900] 900)

### 8.2 Abstract complete lattices

**class** *complete-lattice* = *bounded-lattice* + *Inf* + *Sup* +  
 assumes *Inf-lower*:  $x \in A \implies \sqcap A \sqsubseteq x$   
 and *Inf-greatest*:  $(\bigwedge x. x \in A \implies z \sqsubseteq x) \implies z \sqsubseteq \sqcap A$   
 assumes *Sup-upper*:  $x \in A \implies x \sqsubseteq \sqcup A$

**and** *Sup-least*:  $(\bigwedge x. x \in A \implies x \sqsubseteq z) \implies \bigsqcup A \sqsubseteq z$   
**begin**

**lemma** *dual-complete-lattice*:

*class.complete-lattice* *Sup Inf* (*op*  $\geq$ ) (*op*  $>$ ) (*op*  $\sqcup$ ) (*op*  $\sqcap$ )  $\top \perp$   
 $\langle \text{proof} \rangle$

**lemma** *Inf-Sup*:  $\sqcap A = \bigsqcup \{b. \forall a \in A. b \sqsubseteq a\}$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-Inf*:  $\bigsqcup A = \sqcap \{b. \forall a \in A. a \sqsubseteq b\}$   
 $\langle \text{proof} \rangle$

**lemma** *Inf-empty*:

$\sqcap \{\} = \top$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-empty*:

$\bigsqcup \{\} = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *Inf-insert*:  $\sqcap \text{insert } a \ A = a \sqcap \sqcap A$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-insert*:  $\bigsqcup \text{insert } a \ A = a \sqcup \bigsqcup A$   
 $\langle \text{proof} \rangle$

**lemma** *Inf-singleton [simp]*:

$\sqcap \{a\} = a$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-singleton [simp]*:

$\bigsqcup \{a\} = a$   
 $\langle \text{proof} \rangle$

**lemma** *Inf-binary*:

$\sqcap \{a, b\} = a \sqcap b$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-binary*:

$\bigsqcup \{a, b\} = a \sqcup b$   
 $\langle \text{proof} \rangle$

**lemma** *Inf-UNIV*:

$\sqcap \text{UNIV} = \text{bot}$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-UNIV*:

$\bigsqcup \text{UNIV} = \text{top}$

$\langle proof \rangle$

**lemma** *Sup-le-iff*:  $Sup\ A \sqsubseteq b \longleftrightarrow (\forall a \in A. a \sqsubseteq b)$   
 $\langle proof \rangle$

**lemma** *le-Inf-iff*:  $b \sqsubseteq Inf\ A \longleftrightarrow (\forall a \in A. b \sqsubseteq a)$   
 $\langle proof \rangle$

**definition** *SUPR* ::  $'b\ set \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a$  **where**  
 $SUPR\ A\ f = \bigsqcup (f\ 'A)$

**definition** *INFI* ::  $'b\ set \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a$  **where**  
 $INFI\ A\ f = \bigsqcap (f\ 'A)$

**end**

**syntax**

-*SUP1* ::  $pttrns \Rightarrow 'b \Rightarrow 'b$   $((\exists SUP\ -./\ -)\ [0, 10]\ 10)$   
 -*SUP* ::  $pttrn \Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b$   $((\exists SUP\ -./\ -)\ [0, 0, 10]\ 10)$   
 -*INF1* ::  $pttrns \Rightarrow 'b \Rightarrow 'b$   $((\exists INF\ -./\ -)\ [0, 10]\ 10)$   
 -*INF* ::  $pttrn \Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b$   $((\exists INF\ -./\ -)\ [0, 0, 10]\ 10)$

**translations**

$SUP\ x\ y. B == SUP\ x. SUP\ y. B$   
 $SUP\ x. B == CONST\ SUPR\ CONST\ UNIV\ (\%x. B)$   
 $SUP\ x. B == SUP\ x:CONST\ UNIV. B$   
 $SUP\ x:A. B == CONST\ SUPR\ A\ (\%x. B)$   
 $INF\ x\ y. B == INF\ x. INF\ y. B$   
 $INF\ x. B == CONST\ INFI\ CONST\ UNIV\ (\%x. B)$   
 $INF\ x. B == INF\ x:CONST\ UNIV. B$   
 $INF\ x:A. B == CONST\ INFI\ A\ (\%x. B)$

$\langle ML \rangle$

**context** *complete-lattice*

**begin**

**lemma** *le-SUPI*:  $i : A \Longrightarrow M\ i \sqsubseteq (SUP\ i:A. M\ i)$   
 $\langle proof \rangle$

**lemma** *SUP-leI*:  $(\bigwedge i. i : A \Longrightarrow M\ i \sqsubseteq u) \Longrightarrow (SUP\ i:A. M\ i) \sqsubseteq u$   
 $\langle proof \rangle$

**lemma** *INF-leI*:  $i : A \Longrightarrow (INF\ i:A. M\ i) \sqsubseteq M\ i$   
 $\langle proof \rangle$

**lemma** *le-INF*:  $(\bigwedge i. i : A \Longrightarrow u \sqsubseteq M\ i) \Longrightarrow u \sqsubseteq (INF\ i:A. M\ i)$   
 $\langle proof \rangle$

**lemma** *SUP-le-iff*:  $(\text{SUP } i:A. M \ i) \sqsubseteq u \longleftrightarrow (\forall i \in A. M \ i \sqsubseteq u)$   
 $\langle \text{proof} \rangle$

**lemma** *le-INF-iff*:  $u \sqsubseteq (\text{INF } i:A. M \ i) \longleftrightarrow (\forall i \in A. u \sqsubseteq M \ i)$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-const[simp]*:  $A \neq \{\}$   $\implies (\text{SUP } i:A. M) = M$   
 $\langle \text{proof} \rangle$

**lemma** *INF-const[simp]*:  $A \neq \{\}$   $\implies (\text{INF } i:A. M) = M$   
 $\langle \text{proof} \rangle$

**end**

### 8.3 *bool* and $- \Rightarrow -$ as complete lattice

**instantiation** *bool* :: *complete-lattice*

**begin**

**definition**

*Inf-bool-def*:  $\sqcap A \longleftrightarrow (\forall x \in A. x)$

**definition**

*Sup-bool-def*:  $\sqcup A \longleftrightarrow (\exists x \in A. x)$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *Inf-empty-bool* [simp]:

$\sqcap \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *not-Sup-empty-bool* [simp]:

$\neg \sqcup \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *INF-bool-eq*:

$\text{INF} = \text{Ball}$

$\langle \text{proof} \rangle$

**lemma** *SUPR-bool-eq*:

$\text{SUPR} = \text{Bex}$

$\langle \text{proof} \rangle$

**instantiation** *fun* :: (*type*, *complete-lattice*) *complete-lattice*

**begin**

**definition**

*Inf-fun-def* [code del]:  $\sqcap A = (\lambda x. \sqcap \{y. \exists f \in A. y = f x\})$

**definition**

*Sup-fun-def* [code del]:  $\sqcup A = (\lambda x. \sqcup \{y. \exists f \in A. y = f x\})$

**instance**  $\langle proof \rangle$

**end**

**lemma** *Inf-empty-fun*:

$\sqcap \{\} = (\lambda -. \sqcap \{\})$   
 $\langle proof \rangle$

**lemma** *Sup-empty-fun*:

$\sqcup \{\} = (\lambda -. \sqcup \{\})$   
 $\langle proof \rangle$

## 8.4 Union

**abbreviation** *Union* :: 'a set set  $\Rightarrow$  'a set **where**

$Union\ S \equiv \sqcup S$

**notation** (*xsymbols*)

*Union* ( $\bigcup$  - [90] 90)

**lemma** *Union-eq*:

$\bigcup A = \{x. \exists B \in A. x \in B\}$   
 $\langle proof \rangle$

**lemma** *Union-iff* [simp, no-atp]:

$A \in \bigcup C \longleftrightarrow (\exists X \in C. A \in X)$   
 $\langle proof \rangle$

**lemma** *UnionI* [intro]:

$X \in C \Longrightarrow A \in X \Longrightarrow A \in \bigcup C$

— The order of the premises presupposes that  $C$  is rigid;  $A$  may be flexible.

$\langle proof \rangle$

**lemma** *UnionE* [elim!]:

$A \in \bigcup C \Longrightarrow (\bigwedge X. A \in X \Longrightarrow X \in C \Longrightarrow R) \Longrightarrow R$   
 $\langle proof \rangle$

**lemma** *Union-upper*:  $B \in A \Longrightarrow B \subseteq Union\ A$

$\langle proof \rangle$

**lemma** *Union-least*:  $(!!X. X \in A \Longrightarrow X \subseteq C) \Longrightarrow Union\ A \subseteq C$

$\langle proof \rangle$

**lemma** *Un-eq-Union*:  $A \cup B = \bigcup \{A, B\}$

$\langle proof \rangle$

**lemma** *Union-empty* [simp]:  $Union(\{\}) = \{\}$   
 $\langle proof \rangle$

**lemma** *Union-UNIV* [simp]:  $Union\ UNIV = UNIV$   
 $\langle proof \rangle$

**lemma** *Union-insert* [simp]:  $Union\ (insert\ a\ B) = a \cup \bigcup B$   
 $\langle proof \rangle$

**lemma** *Union-Un-distrib* [simp]:  $\bigcup (A\ Un\ B) = \bigcup A \cup \bigcup B$   
 $\langle proof \rangle$

**lemma** *Union-Int-subset*:  $\bigcup (A \cap B) \subseteq \bigcup A \cap \bigcup B$   
 $\langle proof \rangle$

**lemma** *Union-empty-conv* [simp,no-atp]:  $(\bigcup A = \{\}) = (\forall x \in A. x = \{\})$   
 $\langle proof \rangle$

**lemma** *empty-Union-conv* [simp,no-atp]:  $(\{\} = \bigcup A) = (\forall x \in A. x = \{\})$   
 $\langle proof \rangle$

**lemma** *Union-disjoint*:  $(\bigcup C \cap A = \{\}) = (\forall B \in C. B \cap A = \{\})$   
 $\langle proof \rangle$

**lemma** *subset-Pow-Union*:  $A \subseteq Pow\ (\bigcup A)$   
 $\langle proof \rangle$

**lemma** *Union-Pow-eq* [simp]:  $\bigcup (Pow\ A) = A$   
 $\langle proof \rangle$

**lemma** *Union-mono*:  $A \subseteq B ==> \bigcup A \subseteq \bigcup B$   
 $\langle proof \rangle$

## 8.5 Unions of families

**abbreviation** *UNION* ::  $'a\ set \Rightarrow ('a \Rightarrow 'b\ set) \Rightarrow 'b\ set$  **where**  
 $UNION \equiv SUPR$

**syntax**

-UNION1    ::  $pttrns \Rightarrow 'b\ set \Rightarrow 'b\ set$      $((\exists UN\ ./\ -)\ [0, 10]\ 10)$   
 -UNION    ::  $pttrn \Rightarrow 'a\ set \Rightarrow 'b\ set \Rightarrow 'b\ set$      $((\exists UN\ -./\ -)\ [0, 0, 10]\ 10)$

**syntax** (*xsymbols*)

-UNION1    ::  $pttrns \Rightarrow 'b\ set \Rightarrow 'b\ set$      $((\exists \bigcup ./\ -)\ [0, 10]\ 10)$   
 -UNION    ::  $pttrn \Rightarrow 'a\ set \Rightarrow 'b\ set \Rightarrow 'b\ set$      $((\exists \bigcup -\in ./\ -)\ [0, 0, 10]\ 10)$



**syntax** (*latex output*)

-UNION1 :: *pttrns* ==> 'b set ==> 'b set ((3 $\bigcup$ (00-)/-) [0, 10] 10)  
 -UNION :: *pttrn* ==> 'a set ==> 'b set ==> 'b set ((3 $\bigcup$ (00.- $\in$ -)/-) [0, 0, 10] 10)

**translations**

$UN\ x\ y.\ B \quad == \quad UN\ x.\ UN\ y.\ B$   
 $UN\ x.\ B \quad == \quad CONST\ UNION\ CONST\ UNIV\ (\%x.\ B)$   
 $UN\ x.\ B \quad == \quad UN\ x:CONST\ UNIV.\ B$   
 $UN\ x:A.\ B \quad == \quad CONST\ UNION\ A\ (\%x.\ B)$

Note the difference between ordinary xsymbol syntax of indexed unions and intersections (e.g.  $\bigcup_{a_1 \in A_1} B$ ) and their L<sup>A</sup>T<sub>E</sub>X rendition:  $\bigcup_{a_1 \in A_1} B$ . The former does not make the index expression a subscript of the union/intersection symbol because this leads to problems with nested subscripts in Proof General.

$\langle ML \rangle$

**lemma** *UNION-eq-Union-image*:

$(\bigcup_{x \in A} B\ x) = \bigcup (B'A)$   
 $\langle proof \rangle$

**lemma** *Union-def*:

$\bigcup S = (\bigcup_{x \in S} x)$   
 $\langle proof \rangle$

**lemma** *UNION-def [no-atp]*:

$(\bigcup_{x \in A} B\ x) = \{y.\ \exists x \in A.\ y \in B\ x\}$   
 $\langle proof \rangle$

**lemma** *Union-image-eq [simp]*:

$\bigcup (B'A) = (\bigcup_{x \in A} B\ x)$   
 $\langle proof \rangle$

**lemma** *UN-iff [simp]*:  $(b: (UN\ x:A.\ B\ x)) = (EX\ x:A.\ b: B\ x)$

$\langle proof \rangle$

**lemma** *UN-I [intro]*:  $a:A ==> b: B\ a ==> b: (UN\ x:A.\ B\ x)$

— The order of the premises presupposes that *A* is rigid; *b* may be flexible.

$\langle proof \rangle$

**lemma** *UN-E [elim!]*:  $b : (UN\ x:A.\ B\ x) ==> (!!x.\ x:A ==> b: B\ x ==> R)$   
 $==> R$

$\langle proof \rangle$

**lemma** *UN-cong [cong]*:

$A = B ==> (!!x.\ x:B ==> C\ x = D\ x) ==> (UN\ x:A.\ C\ x) = (UN\ x:B.\ D\ x)$

$\langle proof \rangle$

**lemma** *strong-UN-cong*:

$A = B \implies (!x. x:B \text{ =simp=}> C\ x = D\ x) \implies (UN\ x:A. C\ x) = (UN\ x:B. D\ x)$   
 $\langle proof \rangle$

**lemma** *image-eq-UN*:  $f^{\cdot}A = (UN\ x:A. \{f\ x\})$

$\langle proof \rangle$

**lemma** *UN-upper*:  $a \in A \implies B\ a \subseteq (\bigcup_{x \in A} B\ x)$

$\langle proof \rangle$

**lemma** *UN-least*:  $(!x. x \in A \implies B\ x \subseteq C) \implies (\bigcup_{x \in A} B\ x) \subseteq C$

$\langle proof \rangle$

**lemma** *Collect-bex-eq* [no-atp]:  $\{x. \exists y \in A. P\ x\ y\} = (\bigcup_{y \in A} \{x. P\ x\ y\})$

$\langle proof \rangle$

**lemma** *UN-insert-distrib*:  $u \in A \implies (\bigcup_{x \in A} \text{insert}\ a\ (B\ x)) = \text{insert}\ a\ (\bigcup_{x \in A} B\ x)$

$\langle proof \rangle$

**lemma** *UN-empty* [simp,no-atp]:  $(\bigcup_{x \in \{\}} B\ x) = \{\}$

$\langle proof \rangle$

**lemma** *UN-empty2* [simp]:  $(\bigcup_{x \in A} \{\}) = \{\}$

$\langle proof \rangle$

**lemma** *UN-singleton* [simp]:  $(\bigcup_{x \in A} \{x\}) = A$

$\langle proof \rangle$

**lemma** *UN-absorb*:  $k \in I \implies A\ k \cup (\bigcup_{i \in I} A\ i) = (\bigcup_{i \in I} A\ i)$

$\langle proof \rangle$

**lemma** *UN-insert* [simp]:  $(\bigcup_{x \in \text{insert}\ a\ A} B\ x) = B\ a \cup \text{UNION}\ A\ B$

$\langle proof \rangle$

**lemma** *UN-Un*[simp]:  $(\bigcup_{i \in A \cup B} M\ i) = (\bigcup_{i \in A} M\ i) \cup (\bigcup_{i \in B} M\ i)$

$\langle proof \rangle$

**lemma** *UN-UN-flatten*:  $(\bigcup_{x \in (\bigcup_{y \in A} B\ y)} C\ x) = (\bigcup_{y \in A} \bigcup_{x \in B\ y} C\ x)$

$\langle proof \rangle$

**lemma** *UN-subset-iff*:  $((\bigcup_{i \in I} A\ i) \subseteq B) = (\forall i \in I. A\ i \subseteq B)$

$\langle proof \rangle$

**lemma** *image-Union*:  $f^{\cdot} \bigcup S = (\bigcup_{x \in S} f^{\cdot} x)$

$\langle proof \rangle$

**lemma** *UN-constant* [simp]:  $(\bigcup y \in A. c) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } c)$   
 ⟨proof⟩

**lemma** *UN-eq*:  $(\bigcup x \in A. B\ x) = \bigcup (\{Y. \exists x \in A. Y = B\ x\})$   
 ⟨proof⟩

**lemma** *UNION-empty-conv*[simp]:  
 $(\{\} = (\bigcup x:A. B\ x)) = (\forall x \in A. B\ x = \{\})$   
 $((\bigcup x:A. B\ x) = \{\}) = (\forall x \in A. B\ x = \{\})$   
 ⟨proof⟩

**lemma** *Collect-ex-eq* [no-atp]:  $\{x. \exists y. P\ x\ y\} = (\bigcup y. \{x. P\ x\ y\})$   
 ⟨proof⟩

**lemma** *ball-UN*:  $(\forall z \in \text{UNION } A\ B. P\ z) = (\forall x \in A. \forall z \in B\ x. P\ z)$   
 ⟨proof⟩

**lemma** *bex-UN*:  $(\exists z \in \text{UNION } A\ B. P\ z) = (\exists x \in A. \exists z \in B\ x. P\ z)$   
 ⟨proof⟩

**lemma** *Un-eq-UN*:  $A \cup B = (\bigcup b. \text{if } b \text{ then } A \text{ else } B)$   
 ⟨proof⟩

**lemma** *UN-bool-eq*:  $(\bigcup b::\text{bool}. A\ b) = (A\ \text{True} \cup A\ \text{False})$   
 ⟨proof⟩

**lemma** *UN-Pow-subset*:  $(\bigcup x \in A. \text{Pow } (B\ x)) \subseteq \text{Pow } (\bigcup x \in A. B\ x)$   
 ⟨proof⟩

**lemma** *UN-mono*:  
 $A \subseteq B \implies (!x. x \in A \implies f\ x \subseteq g\ x) \implies$   
 $(\bigcup x \in A. f\ x) \subseteq (\bigcup x \in B. g\ x)$   
 ⟨proof⟩

**lemma** *image-Union*:  $f\ -' (\text{Union } A) = (\bigcup X:A. f\ -' X)$   
 ⟨proof⟩

**lemma** *image-UN*:  $f\ -' (\bigcup x:A. B\ x) = (\bigcup x:A. f\ -' B\ x)$   
 ⟨proof⟩

**lemma** *image-eq-UN*:  $f\ -' B = (\bigcup y: B. f\ -' \{y\})$   
 — NOT suitable for rewriting  
 ⟨proof⟩

**lemma** *image-UN*:  $(f\ -' (\text{UNION } A\ B)) = (\bigcup x:A. (f\ -' (B\ x)))$   
 ⟨proof⟩

## 8.6 Inter

**abbreviation** *Inter* :: 'a set set  $\Rightarrow$  'a set **where**

$$\text{Inter } S \equiv \bigcap S$$

**notation** (*xsymbols*)

$$\text{Inter } (\bigcap - [90] 90)$$

**lemma** *Inter-eq* [*code del*]:

$$\bigcap A = \{x. \forall B \in A. x \in B\}$$

*<proof>*

**lemma** *Inter-iff* [*simp,no-atp*]:  $(A : \text{Inter } C) = (\text{ALL } X:C. A:X)$

*<proof>*

**lemma** *InterI* [*intro!*]:  $(!!X. X:C \Rightarrow A:X) \Rightarrow A : \text{Inter } C$

*<proof>*

A “destruct” rule – every  $X$  in  $C$  contains  $A$  as an element, but  $A \in X$  can hold when  $X \in C$  does not! This rule is analogous to *spec*.

**lemma** *InterD* [*elim*]:  $A : \text{Inter } C \Rightarrow X:C \Rightarrow A:X$

*<proof>*

**lemma** *InterE* [*elim*]:  $A : \text{Inter } C \Rightarrow (X \sim C \Rightarrow R) \Rightarrow (A:X \Rightarrow R)$

— “Classical” elimination rule – does not require proving  $X \in C$ .

*<proof>*

**lemma** *Inter-lower*:  $B \in A \Rightarrow \text{Inter } A \subseteq B$

*<proof>*

**lemma** *Inter-subset*:

$$[!X. X \in A \Rightarrow X \subseteq B; A \sim \{\}] \Rightarrow \bigcap A \subseteq B$$

*<proof>*

**lemma** *Inter-greatest*:  $(!X. X \in A \Rightarrow C \subseteq X) \Rightarrow C \subseteq \text{Inter } A$

*<proof>*

**lemma** *Int-eq-Inter*:  $A \cap B = \bigcap \{A, B\}$

*<proof>*

**lemma** *Inter-empty* [*simp*]:  $\bigcap \{\} = \text{UNIV}$

*<proof>*

**lemma** *Inter-UNIV* [*simp*]:  $\bigcap \text{UNIV} = \{\}$

*<proof>*

**lemma** *Inter-insert* [*simp*]:  $\bigcap (\text{insert } a B) = a \cap \bigcap B$

*<proof>*

**lemma** *Inter-Un-subset*:  $\bigcap A \cup \bigcap B \subseteq \bigcap (A \cap B)$   
 $\langle \text{proof} \rangle$

**lemma** *Inter-Un-distrib*:  $\bigcap (A \cup B) = \bigcap A \cap \bigcap B$   
 $\langle \text{proof} \rangle$

**lemma** *Inter-UNIV-conv* [*simp,no-atp*]:  
 $(\bigcap A = \text{UNIV}) = (\forall x \in A. x = \text{UNIV})$   
 $(\text{UNIV} = \bigcap A) = (\forall x \in A. x = \text{UNIV})$   
 $\langle \text{proof} \rangle$

**lemma** *Inter-anti-mono*:  $B \subseteq A \implies \bigcap A \subseteq \bigcap B$   
 $\langle \text{proof} \rangle$

## 8.7 Intersections of families

**abbreviation** *INTER* :: 'a set  $\Rightarrow$  ('a  $\Rightarrow$  'b set)  $\Rightarrow$  'b set **where**  
*INTER*  $\equiv$  *INFI*

**syntax**

-*INTER1* :: ptnrs  $\Rightarrow$  'b set  $\Rightarrow$  'b set  $((\exists \text{INT} \text{ -./ -}) [0, 10] 10)$   
-*INTER* :: ptnrn  $\Rightarrow$  'a set  $\Rightarrow$  'b set  $\Rightarrow$  'b set  $((\exists \text{INT} \text{ :-./ -}) [0, 0, 10] 10)$

**syntax** (*xsymbols*)

-*INTER1* :: ptnrs  $\Rightarrow$  'b set  $\Rightarrow$  'b set  $((\exists \bigcap \text{ -./ -}) [0, 10] 10)$   
-*INTER* :: ptnrn  $\Rightarrow$  'a set  $\Rightarrow$  'b set  $\Rightarrow$  'b set  $((\exists \bigcap \text{ -\in-./ -}) [0, 0, 10] 10)$

**syntax** (*latex output*)

-*INTER1* :: ptnrs  $\Rightarrow$  'b set  $\Rightarrow$  'b set  $((\exists \bigcap (00 \text{ -./ -}) [0, 10] 10)$   
-*INTER* :: ptnrn  $\Rightarrow$  'a set  $\Rightarrow$  'b set  $\Rightarrow$  'b set  $((\exists \bigcap (00 \text{ -\in-./ -}) [0, 0, 10] 10)$

**translations**

*INT* *x y. B* == *INT x. INT y. B*  
*INT x. B* == *CONST INTER CONST UNIV (%x. B)*  
*INT x. B* == *INT x:CONST UNIV. B*  
*INT x:A. B* == *CONST INTER A (%x. B)*

$\langle \text{ML} \rangle$

**lemma** *INTER-eq-Inter-image*:

$(\bigcap_{x \in A} B \ x) = \bigcap (B \text{'A})$   
 $\langle \text{proof} \rangle$

**lemma** *Inter-def*:

$\bigcap S = (\bigcap_{x \in S} x)$   
 $\langle \text{proof} \rangle$

**lemma** *INTER-def*:

$$(\bigcap_{x \in A}. B\ x) = \{y. \forall x \in A. y \in B\ x\}$$

*<proof>*

**lemma** *Inter-image-eq* [*simp*]:

$$\bigcap (B' A) = (\bigcap_{x \in A}. B\ x)$$

*<proof>*

**lemma** *INT-iff* [*simp*]:  $(b: (INT\ x:A. B\ x)) = (ALL\ x:A. b: B\ x)$

*<proof>*

**lemma** *INT-I* [*intro!*]:  $(!!x. x:A ==> b: B\ x) ==> b : (INT\ x:A. B\ x)$

*<proof>*

**lemma** *INT-D* [*elim*]:  $b : (INT\ x:A. B\ x) ==> a:A ==> b: B\ a$

*<proof>*

**lemma** *INT-E* [*elim*]:  $b : (INT\ x:A. B\ x) ==> (b: B\ a ==> R) ==> (a \sim : A ==> R) ==> R$

— ”Classical” elimination – by the Excluded Middle on  $a \in A$ .

*<proof>*

**lemma** *INT-cong* [*cong*]:

$$A = B ==> (!!x. x:B ==> C\ x = D\ x) ==> (INT\ x:A. C\ x) = (INT\ x:B. D\ x)$$

*<proof>*

**lemma** *Collect-ball-eq*:  $\{x. \forall y \in A. P\ x\ y\} = (\bigcap_{y \in A}. \{x. P\ x\ y\})$

*<proof>*

**lemma** *Collect-all-eq*:  $\{x. \forall y. P\ x\ y\} = (\bigcap y. \{x. P\ x\ y\})$

*<proof>*

**lemma** *INT-lower*:  $a \in A ==> (\bigcap_{x \in A}. B\ x) \subseteq B\ a$

*<proof>*

**lemma** *INT-greatest*:  $(!!x. x \in A ==> C \subseteq B\ x) ==> C \subseteq (\bigcap_{x \in A}. B\ x)$

*<proof>*

**lemma** *INT-empty* [*simp*]:  $(\bigcap_{x \in \{\}}. B\ x) = UNIV$

*<proof>*

**lemma** *INT-absorb*:  $k \in I ==> A\ k \cap (\bigcap_{i \in I}. A\ i) = (\bigcap_{i \in I}. A\ i)$

*<proof>*

**lemma** *INT-subset-iff*:  $(B \subseteq (\bigcap_{i \in I}. A\ i)) = (\forall i \in I. B \subseteq A\ i)$

*<proof>*

**lemma** *INT-insert [simp]*:  $(\bigcap x \in \text{insert } a \ A. B \ x) = B \ a \cap \text{INTER } A \ B$   
 $\langle \text{proof} \rangle$

**lemma** *INT-Un*:  $(\bigcap i \in A \cup B. M \ i) = (\bigcap i \in A. M \ i) \cap (\bigcap i \in B. M \ i)$   
 $\langle \text{proof} \rangle$

**lemma** *INT-insert-distrib*:  
 $u \in A \implies (\bigcap x \in A. \text{insert } a \ (B \ x)) = \text{insert } a \ (\bigcap x \in A. B \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *INT-constant [simp]*:  $(\bigcap y \in A. c) = (\text{if } A = \{\} \text{ then } \text{UNIV} \text{ else } c)$   
 $\langle \text{proof} \rangle$

**lemma** *INT-eq*:  $(\bigcap x \in A. B \ x) = \bigcap (\{Y. \exists x \in A. Y = B \ x\})$   
 — Look: it has an *existential* quantifier  
 $\langle \text{proof} \rangle$

**lemma** *INTER-UNIV-conv[simp]*:  
 $(\text{UNIV} = (\text{INT } x:A. B \ x)) = (\forall x \in A. B \ x = \text{UNIV})$   
 $((\text{INT } x:A. B \ x) = \text{UNIV}) = (\forall x \in A. B \ x = \text{UNIV})$   
 $\langle \text{proof} \rangle$

**lemma** *INT-bool-eq*:  $(\bigcap b::\text{bool}. A \ b) = (A \ \text{True} \cap A \ \text{False})$   
 $\langle \text{proof} \rangle$

**lemma** *Pow-INT-eq*:  $\text{Pow } (\bigcap x \in A. B \ x) = (\bigcap x \in A. \text{Pow } (B \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *INT-anti-mono*:  
 $B \subseteq A \implies (!x. x \in A \implies f \ x \subseteq g \ x) \implies$   
 $(\bigcap x \in A. f \ x) \subseteq (\bigcap x \in A. g \ x)$   
 — The last inclusion is POSITIVE!  
 $\langle \text{proof} \rangle$

**lemma** *image-INT*:  $f - ' (\text{INT } x:A. B \ x) = (\text{INT } x:A. f - ' B \ x)$   
 $\langle \text{proof} \rangle$

## 8.8 Distributive laws

**lemma** *Int-Union*:  $A \cap \bigcup B = (\bigcup C \in B. A \cap C)$   
 $\langle \text{proof} \rangle$

**lemma** *Int-Union2*:  $\bigcup B \cap A = (\bigcup C \in B. C \cap A)$   
 $\langle \text{proof} \rangle$

**lemma** *Un-Union-image*:  $(\bigcup x \in C. A \ x \cup B \ x) = \bigcup (A \ ' C) \cup \bigcup (B \ ' C)$   
 — Devlin, Fundamentals of Contemporary Set Theory, page 12, exercise 5:  
 — Union of a family of unions  
 $\langle \text{proof} \rangle$

**lemma** *UN-Un-distrib*:  $(\bigcup_{i \in I}. A \ i \cup B \ i) = (\bigcup_{i \in I}. A \ i) \cup (\bigcup_{i \in I}. B \ i)$   
 — Equivalent version  
 $\langle proof \rangle$

**lemma** *Un-Inter*:  $A \cup \bigcap B = (\bigcap_{C \in B}. A \cup C)$   
 $\langle proof \rangle$

**lemma** *Int-Inter-image*:  $(\bigcap_{x \in C}. A \ x \cap B \ x) = \bigcap (A' C) \cap \bigcap (B' C)$   
 $\langle proof \rangle$

**lemma** *INT-Int-distrib*:  $(\bigcap_{i \in I}. A \ i \cap B \ i) = (\bigcap_{i \in I}. A \ i) \cap (\bigcap_{i \in I}. B \ i)$   
 — Equivalent version  
 $\langle proof \rangle$

**lemma** *Int-UN-distrib*:  $B \cap (\bigcup_{i \in I}. A \ i) = (\bigcup_{i \in I}. B \cap A \ i)$   
 — Halmos, Naive Set Theory, page 35.  
 $\langle proof \rangle$

**lemma** *Un-INT-distrib*:  $B \cup (\bigcap_{i \in I}. A \ i) = (\bigcap_{i \in I}. B \cup A \ i)$   
 $\langle proof \rangle$

**lemma** *Int-UN-distrib2*:  $(\bigcup_{i \in I}. A \ i) \cap (\bigcup_{j \in J}. B \ j) = (\bigcup_{i \in I}. \bigcup_{j \in J}. A \ i \cap B \ j)$   
 $\langle proof \rangle$

**lemma** *Un-INT-distrib2*:  $(\bigcap_{i \in I}. A \ i) \cup (\bigcap_{j \in J}. B \ j) = (\bigcap_{i \in I}. \bigcap_{j \in J}. A \ i \cup B \ j)$   
 $\langle proof \rangle$

## 8.9 Complement

**lemma** *Compl-UN [simp]*:  $-(\bigcup_{x \in A}. B \ x) = (\bigcap_{x \in A}. -B \ x)$   
 $\langle proof \rangle$

**lemma** *Compl-INT [simp]*:  $-(\bigcap_{x \in A}. B \ x) = (\bigcup_{x \in A}. -B \ x)$   
 $\langle proof \rangle$

## 8.10 Miniscoping and maxiscoping

Miniscoping: pushing in quantifiers and big Unions and Intersections.

**lemma** *UN-simps [simp]*:  
 $!!a \ B \ C. (\bigcup_{x:C}. \text{insert } a \ (B \ x)) = ((\text{if } C=\{\} \text{ then } \{\} \text{ else insert } a \ (\bigcup_{x:C}. B \ x)))$   
 $!!A \ B \ C. (\bigcup_{x:C}. A \ x \ \text{Un } B) = ((\text{if } C=\{\} \text{ then } \{\} \text{ else } (\bigcup_{x:C}. A \ x) \ \text{Un } B))$   
 $!!A \ B \ C. (\bigcup_{x:C}. A \ \text{Un } B \ x) = ((\text{if } C=\{\} \text{ then } \{\} \text{ else } A \ \text{Un } (\bigcup_{x:C}. B \ x)))$



$!!A B C. (UN x:C. A x Int B) = ((UN x:C. A x) Int B)$   
 $!!A B C. (UN x:C. A Int B x) = (A Int (UN x:C. B x))$   
 $!!A B C. (UN x:C. A x - B) = ((UN x:C. A x) - B)$   
 $!!A B C. (UN x:C. A - B x) = (A - (INT x:C. B x))$   
 $!!A B. (UN x: Union A. B x) = (UN y:A. UN x:y. B x)$   
 $!!A B C. (UN z: UNION A B. C z) = (UN x:A. UN z: B(x). C z)$   
 $!!A B f. (UN x:f'A. B x) = (UN a:A. B (f a))$   
 $\langle proof \rangle$

**lemma** *INT-simps* [*simp*]:

$!!A B C. (INT x:C. A x Int B) = (if C=\{\} then UNIV else (INT x:C. A x) Int B)$   
 $!!A B C. (INT x:C. A Int B x) = (if C=\{\} then UNIV else A Int (INT x:C. B x))$   
 $!!A B C. (INT x:C. A x - B) = (if C=\{\} then UNIV else (INT x:C. A x) - B)$   
 $!!A B C. (INT x:C. A - B x) = (if C=\{\} then UNIV else A - (UN x:C. B x))$   
 $!!a B C. (INT x:C. insert a (B x)) = insert a (INT x:C. B x)$   
 $!!A B C. (INT x:C. A x Un B) = ((INT x:C. A x) Un B)$   
 $!!A B C. (INT x:C. A Un B x) = (A Un (INT x:C. B x))$   
 $!!A B. (INT x: Union A. B x) = (INT y:A. INT x:y. B x)$   
 $!!A B C. (INT z: UNION A B. C z) = (INT x:A. INT z: B(x). C z)$   
 $!!A B f. (INT x:f'A. B x) = (INT a:A. B (f a))$   
 $\langle proof \rangle$

**lemma** *ball-simps* [*simp,no-atp*]:

$!!A P Q. (ALL x:A. P x \mid Q) = ((ALL x:A. P x) \mid Q)$   
 $!!A P Q. (ALL x:A. P \mid Q x) = (P \mid (ALL x:A. Q x))$   
 $!!A P Q. (ALL x:A. P \dashv\vdash Q x) = (P \dashv\vdash (ALL x:A. Q x))$   
 $!!A P Q. (ALL x:A. P x \dashv\vdash Q) = ((EX x:A. P x) \dashv\vdash Q)$   
 $!!P. (ALL x:\{\}. P x) = True$   
 $!!P. (ALL x:UNIV. P x) = (ALL x. P x)$   
 $!!a B P. (ALL x:insert a B. P x) = (P a \& (ALL x:B. P x))$   
 $!!A P. (ALL x:Union A. P x) = (ALL y:A. ALL x:y. P x)$   
 $!!A B P. (ALL x: UNION A B. P x) = (ALL a:A. ALL x: B a. P x)$   
 $!!P Q. (ALL x:Collect Q. P x) = (ALL x. Q x \dashv\vdash P x)$   
 $!!A P f. (ALL x:f'A. P x) = (ALL x:A. P (f x))$   
 $!!A P. (\sim (ALL x:A. P x)) = (EX x:A. \sim P x)$   
 $\langle proof \rangle$

**lemma** *bex-simps* [*simp,no-atp*]:

$!!A P Q. (EX x:A. P x \& Q) = ((EX x:A. P x) \& Q)$   
 $!!A P Q. (EX x:A. P \& Q x) = (P \& (EX x:A. Q x))$   
 $!!P. (EX x:\{\}. P x) = False$   
 $!!P. (EX x:UNIV. P x) = (EX x. P x)$   
 $!!a B P. (EX x:insert a B. P x) = (P(a) \mid (EX x:B. P x))$   
 $!!A P. (EX x:Union A. P x) = (EX y:A. EX x:y. P x)$   
 $!!A B P. (EX x: UNION A B. P x) = (EX a:A. EX x:B a. P x)$

$!!P \ Q. (EX \ x:Collect \ Q. \ P \ x) = (EX \ x. \ Q \ x \ \& \ P \ x)$   
 $!!A \ P \ f. (EX \ x:f'A. \ P \ x) = (EX \ x:A. \ P \ (f \ x))$   
 $!!A \ P. (\sim (EX \ x:A. \ P \ x)) = (ALL \ x:A. \ \sim P \ x)$   
 $\langle proof \rangle$

**lemma** *ball-conj-distrib*:

$(ALL \ x:A. \ P \ x \ \& \ Q \ x) = ((ALL \ x:A. \ P \ x) \ \& \ (ALL \ x:A. \ Q \ x))$   
 $\langle proof \rangle$

**lemma** *bex-disj-distrib*:

$(EX \ x:A. \ P \ x \ | \ Q \ x) = ((EX \ x:A. \ P \ x) \ | \ (EX \ x:A. \ Q \ x))$   
 $\langle proof \rangle$

Maxiscoping: pulling out big Unions and Intersections.

**lemma** *UN-extend-simps*:

$!!a \ B \ C. \ insert \ a \ (UN \ x:C. \ B \ x) = (if \ C=\{\} \ then \ \{a\} \ else \ (UN \ x:C. \ insert \ a \ (B \ x)))$   
 $!!A \ B \ C. \ (UN \ x:C. \ A \ x) \ Un \ B \ = (if \ C=\{\} \ then \ B \ else \ (UN \ x:C. \ A \ x \ Un \ B))$   
 $!!A \ B \ C. \ A \ Un \ (UN \ x:C. \ B \ x) \ = (if \ C=\{\} \ then \ A \ else \ (UN \ x:C. \ A \ Un \ B \ x))$   
 $!!A \ B \ C. \ ((UN \ x:C. \ A \ x) \ Int \ B) = (UN \ x:C. \ A \ x \ Int \ B)$   
 $!!A \ B \ C. \ (A \ Int \ (UN \ x:C. \ B \ x)) = (UN \ x:C. \ A \ Int \ B \ x)$   
 $!!A \ B \ C. \ ((UN \ x:C. \ A \ x) - B) = (UN \ x:C. \ A \ x - B)$   
 $!!A \ B \ C. \ (A - (INT \ x:C. \ B \ x)) = (UN \ x:C. \ A - B \ x)$   
 $!!A \ B. \ (UN \ y:A. \ UN \ x:y. \ B \ x) = (UN \ x: \ Union \ A. \ B \ x)$   
 $!!A \ B \ C. \ (UN \ x:A. \ UN \ z: \ B(x). \ C \ z) = (UN \ z: \ UNION \ A \ B. \ C \ z)$   
 $!!A \ B \ f. \ (UN \ a:A. \ B \ (f \ a)) = (UN \ x:f'A. \ B \ x)$   
 $\langle proof \rangle$

**lemma** *INT-extend-simps*:

$!!A \ B \ C. \ (INT \ x:C. \ A \ x) \ Int \ B = (if \ C=\{\} \ then \ B \ else \ (INT \ x:C. \ A \ x \ Int \ B))$   
 $!!A \ B \ C. \ A \ Int \ (INT \ x:C. \ B \ x) = (if \ C=\{\} \ then \ A \ else \ (INT \ x:C. \ A \ Int \ B \ x))$   
 $!!A \ B \ C. \ (INT \ x:C. \ A \ x) - B \ = (if \ C=\{\} \ then \ UNIV - B \ else \ (INT \ x:C. \ A \ x - B))$   
 $!!A \ B \ C. \ A - (UN \ x:C. \ B \ x) \ = (if \ C=\{\} \ then \ A \ else \ (INT \ x:C. \ A - B \ x))$   
 $!!a \ B \ C. \ insert \ a \ (INT \ x:C. \ B \ x) = (INT \ x:C. \ insert \ a \ (B \ x))$   
 $!!A \ B \ C. \ ((INT \ x:C. \ A \ x) \ Un \ B) \ = (INT \ x:C. \ A \ x \ Un \ B)$   
 $!!A \ B \ C. \ A \ Un \ (INT \ x:C. \ B \ x) \ = (INT \ x:C. \ A \ Un \ B \ x)$   
 $!!A \ B. \ (INT \ y:A. \ INT \ x:y. \ B \ x) = (INT \ x: \ Union \ A. \ B \ x)$   
 $!!A \ B \ C. \ (INT \ x:A. \ INT \ z: \ B(x). \ C \ z) = (INT \ z: \ UNION \ A \ B. \ C \ z)$   
 $!!A \ B \ f. \ (INT \ a:A. \ B \ (f \ a)) \ = (INT \ x:f'A. \ B \ x)$   
 $\langle proof \rangle$

**no-notation**

*less-eq* (**infix**  $\sqsubseteq$  50) **and**

*less* (**infix**  $\sqsubset$  50) **and**

*inf* (**infixl**  $\sqcap$  70) **and**

*sup* (**infixl**  $\sqcup$  65) **and**

*Inf* (**[**  $\sqcap$  - [900] 900) **and**

```

Sup ( $\sqcup$  - [900] 900) and
top ( $\top$ ) and
bot ( $\perp$ )

lemmas mem-simps =
  insert-iff empty-iff Un-iff Int-iff Compl-iff Diff-iff
  mem-Collect-eq UN-iff Union-iff INT-iff Inter-iff
  — Each of these has ALREADY been added [simp] above.

end

```

## 9 Inductive: Knaster-Tarski Fixpoint Theorem and inductive definitions

```

theory Inductive
imports Complete-Lattice
uses
  (Tools/inductive.ML)
  Tools/dseq.ML
  (Tools/inductive-codegen.ML)
  Tools/Datatype/datatype-aux.ML
  Tools/Datatype/datatype-prop.ML
  Tools/Datatype/datatype-case.ML
  (Tools/Datatype/datatype-abs-proofs.ML)
  (Tools/Datatype/datatype-data.ML)
  (Tools/old-primrec.ML)
  (Tools/primrec.ML)
  (Tools/Datatype/datatype-codegen.ML)
begin

```

### 9.1 Least and greatest fixed points

```

context complete-lattice
begin

```

```

definition
  lfp :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a where
  lfp f = Inf {u. f u  $\leq$  u} — least fixed point

```

```

definition
  gfp :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a where
  gfp f = Sup {u. u  $\leq$  f u} — greatest fixed point

```

### 9.2 Proof of Knaster-Tarski Theorem using *lfp*

*lfp* *f* is the least upper bound of the set  $\{u. f\ u \leq u\}$

**lemma** *lfp-lowerbound*:  $f\ A \leq A \implies lfp\ f \leq A$

$\langle \text{proof} \rangle$

**lemma** *lfp-greatest*:  $(!!u. f\ u \leq u \implies A \leq u) \implies A \leq \text{lfp}\ f$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *lfp-lemma2*:  $\text{mono}\ f \implies f\ (\text{lfp}\ f) \leq \text{lfp}\ f$   
 $\langle \text{proof} \rangle$

**lemma** *lfp-lemma3*:  $\text{mono}\ f \implies \text{lfp}\ f \leq f\ (\text{lfp}\ f)$   
 $\langle \text{proof} \rangle$

**lemma** *lfp-unfold*:  $\text{mono}\ f \implies \text{lfp}\ f = f\ (\text{lfp}\ f)$   
 $\langle \text{proof} \rangle$

**lemma** *lfp-const*:  $\text{lfp}\ (\lambda x. t) = t$   
 $\langle \text{proof} \rangle$

### 9.3 General induction rules for least fixed points

**theorem** *lfp-induct*:  
**assumes** *mono*:  $\text{mono}\ f$  **and** *ind*:  $f\ (\inf\ (\text{lfp}\ f)\ P) \leq P$   
**shows**  $\text{lfp}\ f \leq P$   
 $\langle \text{proof} \rangle$

**lemma** *lfp-induct-set*:  
**assumes** *lfp*:  $a: \text{lfp}(f)$   
**and** *mono*:  $\text{mono}(f)$   
**and** *indhyp*:  $!!x. [\mid x: f(\text{lfp}(f)\ \text{Int}\ \{x.\ P(x)\}) \mid] \implies P(x)$   
**shows**  $P(a)$   
 $\langle \text{proof} \rangle$

**lemma** *lfp-ordinal-induct*:  
**fixes**  $f :: 'a::\text{complete-lattice} \Rightarrow 'a$   
**assumes** *mono*:  $\text{mono}\ f$   
**and** *P-f*:  $\bigwedge S. P\ S \implies P\ (f\ S)$   
**and** *P-Union*:  $\bigwedge M. \forall S \in M. P\ S \implies P\ (\text{Sup}\ M)$   
**shows**  $P\ (\text{lfp}\ f)$   
 $\langle \text{proof} \rangle$

**lemma** *lfp-ordinal-induct-set*:  
**assumes** *mono*:  $\text{mono}\ f$   
**and** *P-f*:  $!!S. P\ S \implies P\ (f\ S)$   
**and** *P-Union*:  $!!M. !S:M. P\ S \implies P\ (\text{Union}\ M)$   
**shows**  $P\ (\text{lfp}\ f)$   
 $\langle \text{proof} \rangle$

Definition forms of *lfp-unfold* and *lfp-induct*, to control unfolding

**lemma** *def-lfp-unfold*:  $\llbracket h == \text{lfp}(f); \text{mono}(f) \rrbracket ==> h = f(h)$   
 $\langle \text{proof} \rangle$

**lemma** *def-lfp-induct*:  
 $\llbracket A == \text{lfp}(f); \text{mono}(f);$   
 $f(\inf A P) \leq P$   
 $\rrbracket ==> A \leq P$   
 $\langle \text{proof} \rangle$

**lemma** *def-lfp-induct-set*:  
 $\llbracket A == \text{lfp}(f); \text{mono}(f); a:A;$   
 $!!x. \llbracket x: f(A \text{ Int } \{x. P(x)\}) \rrbracket ==> P(x)$   
 $\rrbracket ==> P(a)$   
 $\langle \text{proof} \rangle$

**lemma** *lfp-mono*:  $(!!Z. f Z \leq g Z) ==> \text{lfp } f \leq \text{lfp } g$   
 $\langle \text{proof} \rangle$

## 9.4 Proof of Knaster-Tarski Theorem using *gfp*

*gfp* *f* is the greatest lower bound of the set  $\{u. u \leq f u\}$

**lemma** *gfp-upperbound*:  $X \leq f X ==> X \leq \text{gfp } f$   
 $\langle \text{proof} \rangle$

**lemma** *gfp-least*:  $(!!u. u \leq f u ==> u \leq X) ==> \text{gfp } f \leq X$   
 $\langle \text{proof} \rangle$

**lemma** *gfp-lemma2*:  $\text{mono } f ==> \text{gfp } f \leq f(\text{gfp } f)$   
 $\langle \text{proof} \rangle$

**lemma** *gfp-lemma3*:  $\text{mono } f ==> f(\text{gfp } f) \leq \text{gfp } f$   
 $\langle \text{proof} \rangle$

**lemma** *gfp-unfold*:  $\text{mono } f ==> \text{gfp } f = f(\text{gfp } f)$   
 $\langle \text{proof} \rangle$

## 9.5 Coinduction rules for greatest fixed points

weak version

**lemma** *weak-coinduct*:  $\llbracket a: X; X \subseteq f(X) \rrbracket ==> a : \text{gfp}(f)$   
 $\langle \text{proof} \rangle$

**lemma** *weak-coinduct-image*:  $!!X. \llbracket a: X; g'X \subseteq f(g'X) \rrbracket ==> g a : \text{gfp } f$   
 $\langle \text{proof} \rangle$

**lemma** *coinduct-lemma*:  
 $\llbracket X \leq f(\sup X(\text{gfp } f)); \text{mono } f \rrbracket ==> \sup X(\text{gfp } f) \leq f(\sup X(\text{gfp } f))$

$\langle \text{proof} \rangle$

strong version, thanks to Coen and Frost

**lemma** *coinduct-set*:  $\llbracket \text{mono}(f); a: X; X \subseteq f(X \text{ Un } \text{gfp}(f)) \rrbracket \implies a : \text{gfp}(f)$   
 $\langle \text{proof} \rangle$

**lemma** *coinduct*:  $\llbracket \text{mono}(f); X \leq f(\text{sup } X (\text{gfp } f)) \rrbracket \implies X \leq \text{gfp}(f)$   
 $\langle \text{proof} \rangle$

**lemma** *gfp-fun-UnI2*:  $\llbracket \text{mono}(f); a: \text{gfp}(f) \rrbracket \implies a: f(X \text{ Un } \text{gfp}(f))$   
 $\langle \text{proof} \rangle$

## 9.6 Even Stronger Coinduction Rule, by Martin Coen

Weakens the condition  $X \subseteq f X$  to one expressed using both *lfp* and *gfp*

**lemma** *coinduct3-mono-lemma*:  $\text{mono}(f) \implies \text{mono}(\%x. f(x) \text{ Un } X \text{ Un } B)$   
 $\langle \text{proof} \rangle$

**lemma** *coinduct3-lemma*:  
 $\llbracket X \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f))); \text{mono}(f) \rrbracket$   
 $\implies \text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f)) \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f)))$   
 $\langle \text{proof} \rangle$

**lemma** *coinduct3*:  
 $\llbracket \text{mono}(f); a: X; X \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f))) \rrbracket \implies a : \text{gfp}(f)$   
 $\langle \text{proof} \rangle$

Definition forms of *gfp-unfold* and *coinduct*, to control unfolding

**lemma** *def-gfp-unfold*:  $\llbracket A == \text{gfp}(f); \text{mono}(f) \rrbracket \implies A = f(A)$   
 $\langle \text{proof} \rangle$

**lemma** *def-coinduct*:  
 $\llbracket A == \text{gfp}(f); \text{mono}(f); X \leq f(\text{sup } X A) \rrbracket \implies X \leq A$   
 $\langle \text{proof} \rangle$

**lemma** *def-coinduct-set*:  
 $\llbracket A == \text{gfp}(f); \text{mono}(f); a: X; X \subseteq f(X \text{ Un } A) \rrbracket \implies a: A$   
 $\langle \text{proof} \rangle$

**lemma** *def-Collect-coinduct*:  
 $\llbracket A == \text{gfp}(\%w. \text{Collect}(P(w))); \text{mono}(\%w. \text{Collect}(P(w)));$   
 $a: X; \llbracket !z. z: X \implies P(X \text{ Un } A) z \rrbracket \implies$   
 $a : A$   
 $\langle \text{proof} \rangle$

**lemma** *def-coinduct3*:  
 $\llbracket A == \text{gfp}(f); \text{mono}(f); a: X; X \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } A)) \rrbracket \implies a: A$

*<proof>*

Monotonicity of *gfp*!

**lemma** *gfp-mono*:  $(!!Z. f\ Z \leq g\ Z) \implies \text{gfp}\ f \leq \text{gfp}\ g$   
*<proof>*

## 9.7 Inductive predicates and sets

Package setup.

**theorems** *basic-monos* =  
*subset-refl imp-refl disj-mono conj-mono ex-mono all-mono if-bool-eq-conj*  
*Collect-mono in-mono vimage-mono*

*<ML>*

**theorems** [*mono*] =  
*imp-refl disj-mono conj-mono ex-mono all-mono if-bool-eq-conj*  
*imp-mono not-mono*  
*Ball-def Bex-def*  
*induct-rulify-fallback*

## 9.8 Inductive datatypes and primitive recursion

Package setup.

*<ML>*

Lambda-abstractions with pattern matching:

**syntax**  
*-lam-pats-syntax* :: *cases-syn*  $\Rightarrow$  'a  $\Rightarrow$  'b ((%-) 10)  
**syntax** (*xsymbols*)  
*-lam-pats-syntax* :: *cases-syn*  $\Rightarrow$  'a  $\Rightarrow$  'b ((λ-) 10)

*<ML>*

end

## 10 Fun: Notions about functions

**theory** *Fun*  
**imports** *Complete-Lattice*  
**begin**

As a simplification rule, it replaces all function equalities by first-order equalities.

**lemma** *expand-fun-eq*:  $f = g \longleftrightarrow (\forall x. f\ x = g\ x)$   
*<proof>*

**lemma** *apply-inverse*:

$f\ x = u \implies (\bigwedge x. P\ x \implies g\ (f\ x) = x) \implies P\ x \implies x = g\ u$   
 $\langle proof \rangle$

## 10.1 The Identity Function *id*

**definition**

$id :: 'a \Rightarrow 'a$

**where**

$id = (\lambda x. x)$

**lemma** *id-apply* [simp]:  $id\ x = x$

$\langle proof \rangle$

**lemma** *image-ident* [simp]:  $(\%x. x)\ 'Y = Y$

$\langle proof \rangle$

**lemma** *image-id* [simp]:  $id\ 'Y = Y$

$\langle proof \rangle$

**lemma** *vimage-ident* [simp]:  $(\%x. x)\ -'Y = Y$

$\langle proof \rangle$

**lemma** *vimage-id* [simp]:  $id\ -'A = A$

$\langle proof \rangle$

## 10.2 The Composition Operator $f \circ g$

**definition**

$comp :: ('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'c$  (**infixl** *o* 55)

**where**

$f\ o\ g = (\lambda x. f\ (g\ x))$

**notation** (*xsymbols*)

$comp$  (**infixl** *o* 55)

**notation** (*HTML output*)

$comp$  (**infixl** *o* 55)

compatibility

**lemmas** *o-def* = *comp-def*

**lemma** *o-apply* [simp]:  $(f\ o\ g)\ x = f\ (g\ x)$

$\langle proof \rangle$

**lemma** *o-assoc*:  $f\ o\ (g\ o\ h) = f\ o\ g\ o\ h$

$\langle proof \rangle$



**lemma** *id-o* [simp]:  $id \circ g = g$   
 $\langle proof \rangle$

**lemma** *o-id* [simp]:  $f \circ id = f$   
 $\langle proof \rangle$

**lemma** *o-eq-dest*:  
 $a \circ b = c \circ d \implies a (b \ v) = c (d \ v)$   
 $\langle proof \rangle$

**lemma** *o-eq-elim*:  
 $a \circ b = c \circ d \implies ((\bigwedge v. a (b \ v) = c (d \ v)) \implies R) \implies R$   
 $\langle proof \rangle$

**lemma** *image-compose*:  $(f \circ g) \cdot r = f(g \cdot r)$   
 $\langle proof \rangle$

**lemma** *image-compose*:  $(g \circ f) \cdot x = f \cdot (g \cdot x)$   
 $\langle proof \rangle$

**lemma** *UN-o*:  $UNION \ A \ (g \circ f) = UNION \ (f \cdot A) \ g$   
 $\langle proof \rangle$

### 10.3 The Forward Composition Operator *fcomp*

**definition**

*fcomp* ::  $('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'c$  (**infixl** *o>* 60)

**where**

$f \ o> \ g = (\lambda x. \ g \ (f \ x))$

**lemma** *fcomp-apply*:  $(f \ o> \ g) \ x = g \ (f \ x)$   
 $\langle proof \rangle$

**lemma** *fcomp-assoc*:  $(f \ o> \ g) \ o> \ h = f \ o> \ (g \ o> \ h)$   
 $\langle proof \rangle$

**lemma** *id-fcomp* [simp]:  $id \ o> \ g = g$   
 $\langle proof \rangle$

**lemma** *fcomp-id* [simp]:  $f \ o> \ id = f$   
 $\langle proof \rangle$

**code-const** *fcomp*  
 (*Eval* **infixl** 1  $\#>$ )

**no-notation** *fcomp* (**infixl** *o>* 60)

### 10.4 Injectivity and Surjectivity

**definition**

*inj-on* :: [*'a* => *'b*, *'a set*] => *bool* **where**  
 — injective  
*inj-on* *f* *A* == ! *x:A*. ! *y:A*. *f*(*x*)=*f*(*y*) --> *x=y*

A common special case: functions injective over the entire domain type.

**abbreviation**

*inj* *f* == *inj-on* *f* *UNIV*

**definition**

*bij-betw* :: (*'a* => *'b*) => *'a set* => *'b set* => *bool* **where** — bijective  
 [code del]: *bij-betw* *f* *A* *B* <=> *inj-on* *f* *A* & *f* ' *A* = *B*

**definition**

*surj* :: (*'a* => *'b*) => *bool* **where**  
 — surjective  
*surj* *f* == ! *y*. ? *x*. *y*=*f*(*x*)

**definition**

*bij* :: (*'a* => *'b*) => *bool* **where**  
 — bijective  
*bij* *f* == *inj* *f* & *surj* *f*

**lemma** *injI*:

**assumes**  $\bigwedge x y. f\ x = f\ y \implies x = y$   
**shows** *inj* *f*  
 <proof>

For Proofs in *Tools/Datatype/datatype-rep-proofs*

**lemma** *datatype-injI*:

(! *x*. *ALL* *y*. *f*(*x*) = *f*(*y*) --> *x=y*) ==> *inj*(*f*)  
 <proof>

**theorem** *range-ex1-eq*: *inj* *f* ==> *b* : *range* *f* = (*EX*! *x*. *b* = *f* *x*)  
 <proof>

**lemma** *injD*: [| *inj*(*f*); *f*(*x*) = *f*(*y*) |] ==> *x=y*  
 <proof>

**lemma** *inj-on-eq-iff*: *inj-on* *f* *A* ==> *x:A* ==> *y:A* ==> (*f*(*x*) = *f*(*y*)) = (*x=y*)  
 <proof>

**lemma** *inj-eq*: *inj* *f* ==> (*f*(*x*) = *f*(*y*)) = (*x=y*)  
 <proof>

**lemma** *inj-on-id[simp]*: *inj-on* *id* *A*  
 <proof>

**lemma** *inj-on-id2[simp]*: *inj-on* (%*x*. *x*) *A*  
 <proof>

**lemma** *surj-id[simp]*: *surj id*  
 $\langle proof \rangle$

**lemma** *bij-id[simp]*: *bij id*  
 $\langle proof \rangle$

**lemma** *inj-onI*:  
 $(!! x y. [\mid x:A; y:A; f(x) = f(y) \mid] ==> x=y) ==> inj-on f A$   
 $\langle proof \rangle$

**lemma** *inj-on-inverseI*:  $(!!x. x:A ==> g(f(x)) = x) ==> inj-on f A$   
 $\langle proof \rangle$

**lemma** *inj-onD*:  $[\mid inj-on f A; f(x)=f(y); x:A; y:A \mid] ==> x=y$   
 $\langle proof \rangle$

**lemma** *inj-on-iff*:  $[\mid inj-on f A; x:A; y:A \mid] ==> (f(x)=f(y)) = (x=y)$   
 $\langle proof \rangle$

**lemma** *comp-inj-on*:  
 $[\mid inj-on f A; inj-on g (f'A) \mid] ==> inj-on (g \circ f) A$   
 $\langle proof \rangle$

**lemma** *inj-on-imageI*:  $inj-on (g \circ f) A \implies inj-on g (f' A)$   
 $\langle proof \rangle$

**lemma** *inj-on-image-iff*:  $[\mid ALL x:A. ALL y:A. (g(f x) = g(f y)) = (g x = g y); inj-on f A \mid] \implies inj-on g (f' A) = inj-on g A$   
 $\langle proof \rangle$

**lemma** *inj-on-contradD*:  $[\mid inj-on f A; \sim x=y; x:A; y:A \mid] ==> \sim f(x)=f(y)$   
 $\langle proof \rangle$

**lemma** *inj-singleton*:  $inj (\%s. \{s\})$   
 $\langle proof \rangle$

**lemma** *inj-on-empty[iff]*:  $inj-on f \{\}$   
 $\langle proof \rangle$

**lemma** *subset-inj-on*:  $[\mid inj-on f B; A \leq B \mid] ==> inj-on f A$   
 $\langle proof \rangle$

**lemma** *inj-on-Un*:  
 $inj-on f (A \cup B) =$   
 $(inj-on f A \ \& \ inj-on f B \ \& \ f'(A-B) \ Int \ f'(B-A) = \{\})$   
 $\langle proof \rangle$

**lemma** *inj-on-insert[iff]*:

$\text{inj-on } f \text{ (insert } a \text{ } A) = (\text{inj-on } f \text{ } A \ \& \ f \ a \ \sim \! : \ f'(A - \{a\}))$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-diff*:  $\text{inj-on } f \text{ } A \implies \text{inj-on } f \text{ } (A - B)$   
 $\langle \text{proof} \rangle$

**lemma** *surjI*:  $(!! \ x. \ g(f \ x) = x) \implies \text{surj } g$   
 $\langle \text{proof} \rangle$

**lemma** *surj-range*:  $\text{surj } f \implies \text{range } f = \text{UNIV}$   
 $\langle \text{proof} \rangle$

**lemma** *surjD*:  $\text{surj } f \implies \exists x. \ y = f \ x$   
 $\langle \text{proof} \rangle$

**lemma** *surjE*:  $\text{surj } f \implies (!!x. \ y = f \ x \implies C) \implies C$   
 $\langle \text{proof} \rangle$

**lemma** *comp-surj*:  $[\text{surj } f; \text{surj } g] \implies \text{surj } (g \circ f)$   
 $\langle \text{proof} \rangle$

**lemma** *bijI*:  $[\text{inj } f; \text{surj } f] \implies \text{bij } f$   
 $\langle \text{proof} \rangle$

**lemma** *bij-is-inj*:  $\text{bij } f \implies \text{inj } f$   
 $\langle \text{proof} \rangle$

**lemma** *bij-is-surj*:  $\text{bij } f \implies \text{surj } f$   
 $\langle \text{proof} \rangle$

**lemma** *bij-betw-imp-inj-on*:  $\text{bij-betw } f \text{ } A \ B \implies \text{inj-on } f \text{ } A$   
 $\langle \text{proof} \rangle$

**lemma** *bij-comp*:  $\text{bij } f \implies \text{bij } g \implies \text{bij } (g \circ f)$   
 $\langle \text{proof} \rangle$

**lemma** *bij-betw-trans*:  
 $\text{bij-betw } f \text{ } A \ B \implies \text{bij-betw } g \text{ } B \ C \implies \text{bij-betw } (g \circ f) \text{ } A \ C$   
 $\langle \text{proof} \rangle$

**lemma** *bij-betw-inv*: **assumes**  $\text{bij-betw } f \text{ } A \ B$  **shows**  $\exists x. \ \text{bij-betw } g \text{ } B \ A$   
 $\langle \text{proof} \rangle$

**lemma** *surj-image-vimage-eq*:  $\text{surj } f \implies f' (f^{-1} A) = A$   
 $\langle \text{proof} \rangle$

**lemma** *inj-vimage-image-eq*:  $\text{inj } f \implies f^{-1} (f' A) = A$   
 $\langle \text{proof} \rangle$

**lemma** *vimage-subsetD*:  $\text{surj } f \implies f \text{ --' } B \leq A \implies B \leq f \text{ ' } A$   
 $\langle \text{proof} \rangle$

**lemma** *vimage-subsetI*:  $\text{inj } f \implies B \leq f \text{ ' } A \implies f \text{ --' } B \leq A$   
 $\langle \text{proof} \rangle$

**lemma** *vimage-subset-eq*:  $\text{bij } f \implies (f \text{ --' } B \leq A) = (B \leq f \text{ ' } A)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-Un-image-eq-iff*:  $\text{inj-on } f \ (A \cup B) \implies f \text{ ' } A = f \text{ ' } B \longleftrightarrow A = B$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-image-Int*:  
 $\llbracket \text{inj-on } f \ C; \ A \leq C; \ B \leq C \rrbracket \implies f \text{ ' } (A \text{ Int } B) = f \text{ ' } A \text{ Int } f \text{ ' } B$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-image-set-diff*:  
 $\llbracket \text{inj-on } f \ C; \ A \leq C; \ B \leq C \rrbracket \implies f \text{ ' } (A - B) = f \text{ ' } A - f \text{ ' } B$   
 $\langle \text{proof} \rangle$

**lemma** *image-Int*:  $\text{inj } f \implies f \text{ ' } (A \text{ Int } B) = f \text{ ' } A \text{ Int } f \text{ ' } B$   
 $\langle \text{proof} \rangle$

**lemma** *image-set-diff*:  $\text{inj } f \implies f \text{ ' } (A - B) = f \text{ ' } A - f \text{ ' } B$   
 $\langle \text{proof} \rangle$

**lemma** *inj-image-mem-iff*:  $\text{inj } f \implies (f \ a : f \text{ ' } A) = (a : A)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-image-subset-iff*:  $\text{inj } f \implies (f \text{ ' } A \leq f \text{ ' } B) = (A \leq B)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-image-eq-iff*:  $\text{inj } f \implies (f \text{ ' } A = f \text{ ' } B) = (A = B)$   
 $\langle \text{proof} \rangle$

**lemma** *image-INT*:  
 $\llbracket \text{inj-on } f \ C; \ \text{ALL } x:A. \ B \ x \leq C; \ j:A \rrbracket$   
 $\implies f \text{ ' } (\text{INTER } A \ B) = (\text{INT } x:A. \ f \text{ ' } B \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *bij-image-INT*:  $\text{bij } f \implies f \text{ ' } (\text{INTER } A \ B) = (\text{INT } x:A. \ f \text{ ' } B \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *surj-Compl-image-subset*:  $\text{surj } f \implies \neg(f \text{ ' } A) \leq f \text{ ' } (\neg A)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-image-Compl-subset*:  $\text{inj } f \implies f \text{ ' } (\neg A) \leq \neg(f \text{ ' } A)$

*<proof>*

**lemma** *bij-image-Compl-eq*:  $\text{bij } f \implies f'(-A) = -(f'A)$   
*<proof>*

**lemma** (*in ordered-ab-group-add*) *inj-uminus*[*simp, intro*]: *inj-on uminus A*  
*<proof>*

**lemma** (*in linorder*) *strict-mono-imp-inj-on*: *strict-mono f  $\implies$  inj-on f A*  
*<proof>*

## 10.5 Function Updating

### definition

*fun-upd* ::  $('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a \Rightarrow 'b)$  **where**  
*fun-upd f a b == % x. if x=a then b else f x*

### nonterminals

*updbinds updbind*

### syntax

*-updbind* ::  $('a, 'a) \Rightarrow \text{updbind}$   $((2- := / -))$   
 $:: \text{updbind} \Rightarrow \text{updbinds}$   $(-)$   
*-updbinds*::  $[\text{updbind}, \text{updbinds}] \Rightarrow \text{updbinds}$   $(-, / -)$   
*-Update* ::  $('a, \text{updbinds}) \Rightarrow 'a$   $(-/((-)) [1000, 0] 900)$

### translations

*-Update f (-updbinds b bs) == -Update (-Update f b) bs*  
*f(x:=y) == CONST fun-upd f x y*

**lemma** *fun-upd-idem-iff*:  $(f(x:=y) = f) = (f x = y)$   
*<proof>*

**lemmas** *fun-upd-idem* = *fun-upd-idem-iff* [*THEN iffD2, standard*]

**lemmas** *fun-upd-triv* = *refl* [*THEN fun-upd-idem*]

**declare** *fun-upd-triv* [*iff*]

**lemma** *fun-upd-apply* [*simp*]:  $(f(x:=y))z = (\text{if } z=x \text{ then } y \text{ else } f z)$   
*<proof>*

**lemma** *fun-upd-same*:  $(f(x:=y)) x = y$   
*<proof>*

**lemma** *fun-upd-other*:  $z \sim x \implies (f(x:=y)) z = f z$

$\langle proof \rangle$

**lemma** *fun-upd-upd* [simp]:  $f(x:=y, x:=z) = f(x:=z)$   
 $\langle proof \rangle$

**lemma** *fun-upd-twist*:  $a \sim c \implies (m(a:=b))(c:=d) = (m(c:=d))(a:=b)$   
 $\langle proof \rangle$

**lemma** *inj-on-fun-updI*:  $\llbracket inj-on\ f\ A;\ y \notin f^{\cdot}A \rrbracket \implies inj-on\ (f(x:=y))\ A$   
 $\langle proof \rangle$

**lemma** *fun-upd-image*:  
 $f(x:=y)^{\cdot} A = (if\ x \in A\ then\ insert\ y\ (f^{\cdot} (A - \{x\}))\ else\ f^{\cdot} A)$   
 $\langle proof \rangle$

**lemma** *fun-upd-comp*:  $f \circ (g(x := y)) = (f \circ g)(x := f\ y)$   
 $\langle proof \rangle$

## 10.6 override-on

### definition

*override-on* ::  $('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a\ set \Rightarrow 'a \Rightarrow 'b$

### where

*override-on*  $f\ g\ A = (\lambda a. if\ a \in A\ then\ g\ a\ else\ f\ a)$

**lemma** *override-on-emptyset*[simp]: *override-on*  $f\ g\ \{\} = f$   
 $\langle proof \rangle$

**lemma** *override-on-apply-notin*[simp]:  $a \sim A \implies (override-on\ f\ g\ A)\ a = f\ a$   
 $\langle proof \rangle$

**lemma** *override-on-apply-in*[simp]:  $a : A \implies (override-on\ f\ g\ A)\ a = g\ a$   
 $\langle proof \rangle$

## 10.7 swap

### definition

*swap* ::  $'a \Rightarrow 'a \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$

### where

*swap*  $a\ b\ f = f\ (a := f\ b, b := f\ a)$

**lemma** *swap-self* [simp]: *swap*  $a\ a\ f = f$   
 $\langle proof \rangle$

**lemma** *swap-commute*: *swap*  $a\ b\ f = swap\ b\ a\ f$   
 $\langle proof \rangle$

**lemma** *swap-nilpotent* [simp]: *swap*  $a\ b\ (swap\ a\ b\ f) = f$   
 $\langle proof \rangle$

**lemma** *swap-triple*:

**assumes**  $a \neq c$  **and**  $b \neq c$

**shows**  $\text{swap } a \ b \ (\text{swap } b \ c \ (\text{swap } a \ b \ f)) = \text{swap } a \ c \ f$

$\langle \text{proof} \rangle$

**lemma** *comp-swap*:  $f \circ \text{swap } a \ b \ g = \text{swap } a \ b \ (f \circ g)$

$\langle \text{proof} \rangle$

**lemma** *inj-on-imp-inj-on-swap*:

$[[\text{inj-on } f \ A; \ a \in A; \ b \in A]] \implies \text{inj-on } (\text{swap } a \ b \ f) \ A$

$\langle \text{proof} \rangle$

**lemma** *inj-on-swap-iff* [simp]:

**assumes**  $A: a \in A \ b \in A$  **shows**  $\text{inj-on } (\text{swap } a \ b \ f) \ A = \text{inj-on } f \ A$

$\langle \text{proof} \rangle$

**lemma** *surj-imp-surj-swap*:  $\text{surj } f \implies \text{surj } (\text{swap } a \ b \ f)$

$\langle \text{proof} \rangle$

**lemma** *surj-swap-iff* [simp]:  $\text{surj } (\text{swap } a \ b \ f) = \text{surj } f$

$\langle \text{proof} \rangle$

**lemma** *bij-swap-iff*:  $\text{bij } (\text{swap } a \ b \ f) = \text{bij } f$

$\langle \text{proof} \rangle$

**hide-const** (open) *swap*

## 10.8 Inversion of injective functions

**definition** *the-inv-into* ::  $'a \text{ set} \implies ('a \implies 'b) \implies ('b \implies 'a)$  **where**  
*the-inv-into*  $A \ f == \%x. \text{THE } y. y : A \ \& \ f \ y = x$

**lemma** *the-inv-into-f-f*:

$[[\text{inj-on } f \ A; \ x : A]] \implies \text{the-inv-into } A \ f \ (f \ x) = x$

$\langle \text{proof} \rangle$

**lemma** *f-the-inv-into-f*:

$\text{inj-on } f \ A \implies y : f \ A \implies f \ (\text{the-inv-into } A \ f \ y) = y$

$\langle \text{proof} \rangle$

**lemma** *the-inv-into-into*:

$[[\text{inj-on } f \ A; \ x : f \ A; \ A \leq B]] \implies \text{the-inv-into } A \ f \ x : B$

$\langle \text{proof} \rangle$

**lemma** *the-inv-into-onto*[simp]:

$\text{inj-on } f \ A \implies \text{the-inv-into } A \ f \ (f \ A) = A$

$\langle \text{proof} \rangle$

**lemma** *the-inv-into-f-eq*:



$[| \text{inj-on } f \ A; f \ x = y; x : A \ |] \implies \text{the-inv-into } A \ f \ y = x$   
 $\langle \text{proof} \rangle$

**lemma** *the-inv-into-comp*:

$[| \text{inj-on } f \ (g \ 'A); \text{inj-on } g \ A; x : f \ 'g \ 'A \ |] \implies$   
 $\text{the-inv-into } A \ (f \ o \ g) \ x = (\text{the-inv-into } A \ g \ o \ \text{the-inv-into } (g \ 'A) \ f) \ x$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-the-inv-into*:

$\text{inj-on } f \ A \implies \text{inj-on } (\text{the-inv-into } A \ f) \ (f \ 'A)$   
 $\langle \text{proof} \rangle$

**lemma** *bij-betw-the-inv-into*:

$\text{bij-betw } f \ A \ B \implies \text{bij-betw } (\text{the-inv-into } A \ f) \ B \ A$   
 $\langle \text{proof} \rangle$

**abbreviation** *the-inv* ::  $('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'a)$  **where**

$\text{the-inv } f \equiv \text{the-inv-into } \text{UNIV } f$

**lemma** *the-inv-f-f*:

**assumes** *inj f*

**shows**  $\text{the-inv } f \ (f \ x) = x$   $\langle \text{proof} \rangle$

## 10.9 Proof tool setup

simplifies terms of the form  $f(\dots, x := y, \dots, x := z, \dots)$  to  $f(\dots, x := z, \dots)$

$\langle ML \rangle$

## 10.10 Code generator setup

**types-code**

*fun*  $((- \rightarrow / -))$

**attach** (*term-of*)  $\langle\langle$

*fun term-of-fun-type* -  $aT$  -  $bT$  - = *Free* ( $\langle \text{function} \rangle$ ,  $aT \rightarrow bT$ );

$\rangle\rangle$

**attach** (*test*)  $\langle\langle$

*fun gen-fun-type*  $aF$   $aT$   $bG$   $bT$   $i$  =

*let*

*val*  $tab = \text{Unsynchronized.ref } []$ ;

*fun* *mk-upd*  $(x, (-, y)) \ t = \text{Const } (\text{Fun.fun-upd},$

$(aT \rightarrow bT) \rightarrow aT \rightarrow bT \rightarrow aT \rightarrow bT) \ \$ \ t \ \$ \ aF \ x \ \$ \ y \ ()$

*in*

$(\text{fn } x \Rightarrow$

*case*  $AList.lookup \ op = (!tab) \ x \ \text{of}$

*NONE*  $\Rightarrow$

*let* *val*  $p \ \text{as } (y, -) = bG \ i$

*in*  $(tab := (x, p) :: !tab; y) \ \text{end}$

$| \text{SOME } (y, -) \Rightarrow y,$

*fn*  $() \Rightarrow \text{Basics.fold mk-upd } (!tab) \ (\text{Const } (\text{HOL.undefined}, aT \rightarrow bT))$

```

    end;
  >>

code-const op ◦
  (SML infixl 5 o)
  (Haskell infixr 9 .)

code-const id
  (Haskell id)

end

```

## 11 Product-Type: Cartesian products

```

theory Product-Type
imports Typedef Inductive Fun
uses
  (Tools/split-rule.ML)
  (Tools/inductive-set.ML)
begin

```

### 11.1 *bool* is a datatype

```

rep-datatype True False <proof>

declare case-split [cases type: bool]
  — prefer plain propositional version

lemma
  shows [code]: eq-class.eq False P  $\longleftrightarrow \neg P$ 
    and [code]: eq-class.eq True P  $\longleftrightarrow P$ 
    and [code]: eq-class.eq P False  $\longleftrightarrow \neg P$ 
    and [code]: eq-class.eq P True  $\longleftrightarrow P$ 
    and [code nbe]: eq-class.eq P P  $\longleftrightarrow \text{True}$ 
    <proof>

code-const eq-class.eq :: bool  $\Rightarrow$  bool  $\Rightarrow$  bool
  (Haskell infixl 4 ==)

code-instance bool :: eq
  (Haskell -)

```

### 11.2 The *unit* type

```

typedef unit = { True }
<proof>

definition

```

```

  Unity :: unit    ('())
where
  () = Abs-unit True

```

```

lemma unit-eq [no-atp]: u = ()
  ⟨proof⟩

```

Simplification procedure for *unit-eq*. Cannot use this rule directly — it loops!

⟨ML⟩

```

rep-datatype () ⟨proof⟩

```

```

lemma unit-all-eq1: (!x::unit. PROP P x) == PROP P ()
  ⟨proof⟩

```

```

lemma unit-all-eq2: (!x::unit. PROP P) == PROP P
  ⟨proof⟩

```

This rewrite counters the effect of *unit-eq-proc* on  $\%u::unit. f\ u$ , replacing it by  $f$  rather than by  $\%u. f\ ()$ .

```

lemma unit-abs-eta-conv [simp,no-atp]: (%u::unit. f ()) = f
  ⟨proof⟩

```

```

instantiation unit :: default
begin

```

```

definition default = ()

```

```

instance ⟨proof⟩

```

```

end

```

```

lemma [code]:
  eq-class.eq (u::unit) v ⟷ True ⟨proof⟩

```

```

code-type unit
  (SML unit)
  (OCaml unit)
  (Haskell ())
  (Scala Unit)

```

```

code-const Unity
  (SML ())
  (OCaml ())
  (Haskell ())
  (Scala ())

```

```

code-instance unit :: eq

```

(*Haskell*  $-$ )

**code-const** *eq-class.eq* :: *unit*  $\Rightarrow$  *unit*  $\Rightarrow$  *bool*  
 (*Haskell* **infixl** 4 ==)

**code-reserved** *SML*  
*unit*

**code-reserved** *OCaml*  
*unit*

**code-reserved** *Scala*  
*Unit*

### 11.3 The product type

#### 11.3.1 Type definition

**definition** *Pair-Rep* :: '*a*  $\Rightarrow$  '*b*  $\Rightarrow$  '*a*  $\Rightarrow$  '*b*  $\Rightarrow$  *bool* **where**  
*Pair-Rep* *a b* = ( $\lambda x y. x = a \wedge y = b$ )

**global**

**typedef** (*Prod*)  
 ('*a*, '*b*) \* (**infixr** \* 20)  
 = {*f*.  $\exists a b. f = \text{Pair-Rep } (a::'a) (b::'b)$ }  
 $\langle \text{proof} \rangle$

**type-notation** (*xsymbols*)  
 \* (( $- \times / -$ ) [21, 20] 20)

**type-notation** (*HTML output*)  
 \* (( $- \times / -$ ) [21, 20] 20)

**consts**  
*Pair* :: '*a*  $\Rightarrow$  '*b*  $\Rightarrow$  '*a*  $\times$  '*b*

**local**

**defs**  
*Pair-def*: *Pair* *a b* == *Abs-Prod* (*Pair-Rep* *a b*)

**rep-datatype** (*prod*) *Pair*  $\langle \text{proof} \rangle$

#### 11.3.2 Tuple syntax

**global consts**  
*split* :: ('*a*  $\Rightarrow$  '*b*  $\Rightarrow$  '*c*)  $\Rightarrow$  '*a*  $\times$  '*b*  $\Rightarrow$  '*c*

**local defs**  
*split-prod-case*: *split* == *prod-case*

Patterns – extends pre-defined type *pttrn* used in abstractions.

#### nonterminals

*tuple-args patterns*

#### syntax

```
-tuple      :: 'a => tuple-args => 'a * 'b      ((1 '(-, -)))
-tuple-arg  :: 'a => tuple-args                  (-)
-tuple-args :: 'a => tuple-args => tuple-args    (-, / -)
-pattern    :: [pttrn, patterns] => pttrn       (('(-, -'))
              :: pttrn => patterns               (-)
-patterns   :: [pttrn, patterns] => patterns    (-, / -)
```

#### translations

```
(x, y) == CONST Pair x y
-tuple x (-tuple-args y z) == -tuple x (-tuple-arg (-tuple y z))
%(x, y, zs). b == CONST split (%x (y, zs). b)
%(x, y). b == CONST split (%x y. b)
-abs (CONST Pair x y) t => %(x, y). t
— The last rule accommodates tuples in ‘case C ... (x,y) ... =i ...’ The (x,y) is
parsed as ‘Pair x y’ because it is logic, not pttrn
```

⟨ML⟩

### 11.3.3 Code generator setup

**lemma** *split-case-cert*:

```
assumes CASE ≡ split f
shows CASE (a, b) ≡ f a b
⟨proof⟩
```

⟨ML⟩

#### code-type \*

```
(SML infix 2 *)
(OCaml infix 2 *)
(Haskell !((-), / (-)))
(Scala ((-), / (-)))
```

#### code-const Pair

```
(SML !((-), / (-)))
(OCaml !((-), / (-)))
(Haskell !((-), / (-)))
(Scala !((-), / (-)))
```

#### code-instance \* :: eq

```
(Haskell -)
```

**code-const** *eq-class.eq* :: 'a::eq × 'b::eq ⇒ 'a × 'b ⇒ bool

(*Haskell* **infixl** 4 ==)

**types-code**

```
*      ((- */ -))
attach (term-of) <<
fun term-of-id-42 aF aT bF bT (x, y) = HOLogic.pair-const aT bT $ aF x $ bF
y;
>>
attach (test) <<
fun gen-id-42 aG aT bG bT i =
  let
    val (x, t) = aG i;
    val (y, u) = bG i
    in ((x, y), fn () => HOLogic.pair-const aT bT $ t () $ u ()) end;
>>
```

**consts-code**

*Pair* ((-, / -))

⟨*ML*⟩

### 11.3.4 Fundamental operations and properties

**lemma** *surj-pair* [*simp*]:  $EX\ x\ y.\ p = (x, y)$   
 ⟨*proof*⟩

**global consts**

*fst*        ::  $'a \times 'b \Rightarrow 'a$   
*snd*        ::  $'a \times 'b \Rightarrow 'b$

**local defs**

*fst-def*:         $fst\ p == case\ p\ of\ (a, b) \Rightarrow a$   
*snd-def*:         $snd\ p == case\ p\ of\ (a, b) \Rightarrow b$

**lemma** *fst-conv* [*simp*, *code*]:  $fst\ (a, b) = a$   
 ⟨*proof*⟩

**lemma** *snd-conv* [*simp*, *code*]:  $snd\ (a, b) = b$   
 ⟨*proof*⟩

**code-const** *fst* and *snd*

(*Haskell* **fst** and **snd**)

**lemma** *prod-case-unfold*:  $prod-case = (\%c\ p.\ c\ (fst\ p)\ (snd\ p))$   
 ⟨*proof*⟩

**lemma** *fst-eqD*:  $fst\ (x, y) = a ==> x = a$   
 ⟨*proof*⟩

**lemma** *snd-eqD*:  $\text{snd } (x, y) = a \implies y = a$   
 $\langle \text{proof} \rangle$

**lemma** *pair-collapse* [*simp*]:  $(\text{fst } p, \text{snd } p) = p$   
 $\langle \text{proof} \rangle$

**lemmas** *surjective-pairing* = *pair-collapse* [*symmetric*]

**lemma** *Pair-fst-snd-eq*:  $s = t \iff \text{fst } s = \text{fst } t \wedge \text{snd } s = \text{snd } t$   
 $\langle \text{proof} \rangle$

**lemma** *prod-eqI* [*intro?*]:  $\text{fst } p = \text{fst } q \implies \text{snd } p = \text{snd } q \implies p = q$   
 $\langle \text{proof} \rangle$

**lemma** *split-conv* [*simp*, *code*]:  $\text{split } f \ (a, b) = f \ a \ b$   
 $\langle \text{proof} \rangle$

**lemma** *splitI*:  $f \ a \ b \implies \text{split } f \ (a, b)$   
 $\langle \text{proof} \rangle$

**lemma** *splitD*:  $\text{split } f \ (a, b) \implies f \ a \ b$   
 $\langle \text{proof} \rangle$

**lemma** *split-Pair* [*simp*]:  $(\lambda(x, y). (x, y)) = \text{id}$   
 $\langle \text{proof} \rangle$

**lemma** *split-eta*:  $(\lambda(x, y). f \ (x, y)) = f$   
 — Subsumes the old *split-Pair* when *f* is the identity function.  
 $\langle \text{proof} \rangle$

**lemma** *split-comp*:  $\text{split } (f \circ g) \ x = f \ (g \ (\text{fst } x)) \ (\text{snd } x)$   
 $\langle \text{proof} \rangle$

**lemma** *split-twice*:  $\text{split } f \ (\text{split } g \ p) = \text{split } (\lambda x \ y. \text{split } f \ (g \ x \ y)) \ p$   
 $\langle \text{proof} \rangle$

**lemma** *The-split*:  $\text{The } (\text{split } P) = (\text{THE } xy. P \ (\text{fst } xy) \ (\text{snd } xy))$   
 $\langle \text{proof} \rangle$

**lemma** *split-weak-cong*:  $p = q \implies \text{split } c \ p = \text{split } c \ q$   
 — Prevents simplification of *c*: much faster  
 $\langle \text{proof} \rangle$

**lemma** *cond-split-eta*:  $(!!x \ y. f \ x \ y = g \ (x, y)) \implies (\% (x, y). f \ x \ y) = g$   
 $\langle \text{proof} \rangle$

**lemma** *split-paired-all*:  $(!!x. \text{PROP } P \ x) == (!!a \ b. \text{PROP } P \ (a, b))$   
 $\langle \text{proof} \rangle$

The rule *split-paired-all* does not work with the Simplifier because it also

affects premises in congruence rules, where this can lead to premises of the form  $!!a\ b.\ \dots = ?P(a, b)$  which cannot be solved by reflexivity.

**lemmas** *split-tupled-all* = *split-paired-all* *unit-all-eq2*

$\langle ML \rangle$

**lemma** *split-paired-All* [*simp*]:  $(ALL\ x.\ P\ x) = (ALL\ a\ b.\ P\ (a, b))$

— [*iff*] is not a good idea because it makes *blast* loop

$\langle proof \rangle$

**lemma** *split-paired-Ex* [*simp*]:  $(EX\ x.\ P\ x) = (EX\ a\ b.\ P\ (a, b))$

$\langle proof \rangle$

**lemma** *split-paired-The*:  $(THE\ x.\ P\ x) = (THE\ (a, b).\ P\ (a, b))$

— Can’t be added to simpset: loops!

$\langle proof \rangle$

Simplification procedure for *cond-split-eta*. Using *split-eta* as a rewrite rule is not general enough, and using *cond-split-eta* directly would render some existing proofs very inefficient; similarly for *split-beta*.

$\langle ML \rangle$

**lemma** *split-beta* [*mono*]:  $(\%(x, y).\ P\ x\ y)\ z = P\ (fst\ z)\ (snd\ z)$

$\langle proof \rangle$

**lemma** *split-split* [*no-atp*]:  $R(split\ c\ p) = (ALL\ x\ y.\ p = (x, y) \longrightarrow R(c\ x\ y))$

— For use with *split* and the Simplifier.

$\langle proof \rangle$

*split-split* could be declared as [*split*] done after the Splitter has been speeded up significantly; precompute the constants involved and don’t do anything unless the current goal contains one of those constants.

**lemma** *split-split-asm* [*no-atp*]:  $R\ (split\ c\ p) = (\sim(EX\ x\ y.\ p = (x, y) \ \&\ (\sim R\ (c\ x\ y))))$

$\langle proof \rangle$

*split* used as a logical connective or set former.

These rules are for use with *blast*; could instead call *simp* using *split* as rewrite.

**lemma** *splitI2*:  $!!p.\ [\![\![a\ b.\ p = (a, b) \implies c\ a\ b]\!]\implies split\ c\ p$

$\langle proof \rangle$

**lemma** *splitI2'*:  $!!p.\ [\![\![a\ b.\ (a, b) = p \implies c\ a\ b\ x]\!]\implies split\ c\ p\ x$

$\langle proof \rangle$

**lemma** *splitE*:  $split\ c\ p \implies (!x\ y.\ p = (x, y) \implies c\ x\ y \implies Q) \implies Q$



$\langle \text{proof} \rangle$

**lemma** *splitE'*:  $\text{split } c \ p \ z \implies (!x \ y. \ p = (x, y) \implies c \ x \ y \ z \implies Q) \implies Q$   
 $\langle \text{proof} \rangle$

**lemma** *splitE2*:  
 $[! \ Q \ (\text{split } P \ z); \ !x \ y. \ [z = (x, y); \ Q \ (P \ x \ y)]] \implies R \ ] \implies R$   
 $\langle \text{proof} \rangle$

**lemma** *splitD'*:  $\text{split } R \ (a, b) \ c \implies R \ a \ b \ c$   
 $\langle \text{proof} \rangle$

**lemma** *mem-splitI*:  $z: c \ a \ b \implies z: \text{split } c \ (a, b)$   
 $\langle \text{proof} \rangle$

**lemma** *mem-splitI2*:  $!p. \ [!a \ b. \ p = (a, b) \implies z: c \ a \ b \ ] \implies z: \text{split } c \ p$   
 $\langle \text{proof} \rangle$

**lemma** *mem-splitE*:  
**assumes** *major*:  $z \in \text{split } c \ p$   
**and cases**:  $\bigwedge x \ y. \ p = (x, y) \implies z \in c \ x \ y \implies Q$   
**shows**  $Q$   
 $\langle \text{proof} \rangle$

**declare** *mem-splitI2* [*intro!*] *mem-splitI* [*intro!*] *splitI2'* [*intro!*] *splitI2* [*intro!*] *splitI* [*intro!*]  
**declare** *mem-splitE* [*elim!*] *splitE'* [*elim!*] *splitE* [*elim!*]

$\langle \text{ML} \rangle$

**lemma** *split-eta-SetCompr* [*simp, no-atp*]:  $(\%u. \ EX \ x \ y. \ u = (x, y) \ \& \ P \ (x, y)) = P$   
 $\langle \text{proof} \rangle$

**lemma** *split-eta-SetCompr2* [*simp, no-atp*]:  $(\%u. \ EX \ x \ y. \ u = (x, y) \ \& \ P \ x \ y) = \text{split } P$   
 $\langle \text{proof} \rangle$

**lemma** *split-part* [*simp*]:  $(\%(a, b). \ P \ \& \ Q \ a \ b) = (\%ab. \ P \ \& \ \text{split } Q \ ab)$   
— Allows simplifications of nested splits in case of independent predicates.  
 $\langle \text{proof} \rangle$

**lemma** *split-comp-eq*:  
**fixes**  $f :: 'a \Rightarrow 'b \Rightarrow 'c$  **and**  $g :: 'd \Rightarrow 'a$   
**shows**  $(\%u. \ f \ (g \ (\text{fst } u)) \ (\text{snd } u)) = (\text{split } (\%x. \ f \ (g \ x)))$   
 $\langle \text{proof} \rangle$

**lemma** *pair-imageI* [*intro*]:  $(a, b) : A \implies f \ a \ b : (\%(a, b). \ f \ a \ b) \ ` \ A$

$\langle \text{proof} \rangle$

**lemma** *The-split-eq* [simp]: (*THE* ( $x', y'$ ).  $x = x' \ \& \ y = y'$ ) = ( $x, y$ )  
 $\langle \text{proof} \rangle$

Setup of internal *split-rule*.

**lemmas** *prod-caseI* = *prod.cases* [*THEN iffD2*, *standard*]

**lemma** *prod-caseI2*:  $!!p. [\![ \![a \ b. \ p = (a, b) \implies c \ a \ b \ ]\!] \implies \text{prod-case } c \ p$   
 $\langle \text{proof} \rangle$

**lemma** *prod-caseI2'*:  $!!p. [\![ \![a \ b. \ (a, b) = p \implies c \ a \ b \ x \ ]\!] \implies \text{prod-case } c \ p \ x$   
 $\langle \text{proof} \rangle$

**lemma** *prod-caseE*:  $\text{prod-case } c \ p \implies (!x \ y. \ p = (x, y) \implies c \ x \ y \implies Q)$   
 $\implies Q$   
 $\langle \text{proof} \rangle$

**lemma** *prod-caseE'*:  $\text{prod-case } c \ p \ z \implies (!x \ y. \ p = (x, y) \implies c \ x \ y \ z \implies Q)$   
 $\implies Q$   
 $\langle \text{proof} \rangle$

**declare** *prod-caseI2'* [intro!] *prod-caseI2* [intro!] *prod-caseI* [intro!]

**declare** *prod-caseE'* [elim!] *prod-caseE* [elim!]

**lemma** *prod-case-split*:  
 $\text{prod-case} = \text{split}$   
 $\langle \text{proof} \rangle$

**lemma** *prod-case-beta*:  
 $\text{prod-case } f \ p = f \ (\text{fst } p) \ (\text{snd } p)$   
 $\langle \text{proof} \rangle$

**lemma** *prod-cases3* [cases type]:  
**obtains** (*fields*)  $a \ b \ c$  **where**  $y = (a, b, c)$   
 $\langle \text{proof} \rangle$

**lemma** *prod-induct3* [case-names *fields*, *induct type*]:  
 $(!!a \ b \ c. \ P \ (a, b, c)) \implies P \ x$   
 $\langle \text{proof} \rangle$

**lemma** *prod-cases4* [cases type]:  
**obtains** (*fields*)  $a \ b \ c \ d$  **where**  $y = (a, b, c, d)$   
 $\langle \text{proof} \rangle$

**lemma** *prod-induct4* [case-names *fields*, *induct type*]:  
 $(!!a \ b \ c \ d. \ P \ (a, b, c, d)) \implies P \ x$   
 $\langle \text{proof} \rangle$

**lemma** *prod-cases5* [*cases type*]:

**obtains** (*fields*) *a b c d e* **where**  $y = (a, b, c, d, e)$   
 $\langle \text{proof} \rangle$

**lemma** *prod-induct5* [*case-names fields, induct type*]:

$(!!a\ b\ c\ d\ e. P\ (a, b, c, d, e)) \implies P\ x$   
 $\langle \text{proof} \rangle$

**lemma** *prod-cases6* [*cases type*]:

**obtains** (*fields*) *a b c d e f* **where**  $y = (a, b, c, d, e, f)$   
 $\langle \text{proof} \rangle$

**lemma** *prod-induct6* [*case-names fields, induct type*]:

$(!!a\ b\ c\ d\ e\ f. P\ (a, b, c, d, e, f)) \implies P\ x$   
 $\langle \text{proof} \rangle$

**lemma** *prod-cases7* [*cases type*]:

**obtains** (*fields*) *a b c d e f g* **where**  $y = (a, b, c, d, e, f, g)$   
 $\langle \text{proof} \rangle$

**lemma** *prod-induct7* [*case-names fields, induct type*]:

$(!!a\ b\ c\ d\ e\ f\ g. P\ (a, b, c, d, e, f, g)) \implies P\ x$   
 $\langle \text{proof} \rangle$

**lemma** *split-def*:

$\text{split} = (\lambda c\ p. c\ (\text{fst}\ p)\ (\text{snd}\ p))$   
 $\langle \text{proof} \rangle$

**definition** *internal-split* ::  $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \times 'b \Rightarrow 'c$  **where**  
 $\text{internal-split} == \text{split}$

**lemma** *internal-split-conv*:  $\text{internal-split}\ c\ (a, b) = c\ a\ b$   
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

**hide-const** *internal-split*

### 11.3.5 Derived operations

**global consts**

*curry* ::  $('a \times 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c$

**local defs**

*curry-def*:  $\text{curry} == (\%c\ x\ y. c\ (\text{Pair}\ x\ y))$

**lemma** *curry-conv* [*simp, code*]:  $\text{curry}\ f\ a\ b = f\ (a, b)$   
 $\langle \text{proof} \rangle$

**lemma** *curryI* [*intro!*]:  $f\ (a, b) \Longrightarrow \text{curry}\ f\ a\ b$   
 $\langle \text{proof} \rangle$

**lemma** *curryD* [*dest!*]:  $\text{curry}\ f\ a\ b \Longrightarrow f\ (a, b)$   
 $\langle \text{proof} \rangle$

**lemma** *curryE*:  $\text{curry}\ f\ a\ b \Longrightarrow (f\ (a, b) \Longrightarrow Q) \Longrightarrow Q$   
 $\langle \text{proof} \rangle$

**lemma** *curry-split* [*simp*]:  $\text{curry}\ (\text{split}\ f) = f$   
 $\langle \text{proof} \rangle$

**lemma** *split-curry* [*simp*]:  $\text{split}\ (\text{curry}\ f) = f$   
 $\langle \text{proof} \rangle$

The composition-uncurry combinator.

**notation** *fcomp* (**infixl** *o>* 60)

**definition** *scomp* ::  $('a \Rightarrow 'b \times 'c) \Rightarrow ('b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'a \Rightarrow 'd$  (**infixl** *o→* 60)  
**where**  
 $f\ o \rightarrow g = (\lambda x. \text{split}\ g\ (f\ x))$

**lemma** *scomp-apply*:  $(f\ o \rightarrow g)\ x = \text{split}\ g\ (f\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *Pair-scomp*:  $\text{Pair}\ x\ o \rightarrow f = f\ x$   
 $\langle \text{proof} \rangle$

**lemma** *scomp-Pair*:  $x\ o \rightarrow \text{Pair} = x$   
 $\langle \text{proof} \rangle$

**lemma** *scomp-scomp*:  $(f\ o \rightarrow g)\ o \rightarrow h = f\ o \rightarrow (\lambda x. g\ x\ o \rightarrow h)$   
 $\langle \text{proof} \rangle$

**lemma** *scomp-fcomp*:  $(f\ o \rightarrow g)\ o > h = f\ o \rightarrow (\lambda x. g\ x\ o > h)$   
 $\langle \text{proof} \rangle$

**lemma** *fcomp-scomp*:  $(f\ o > g)\ o \rightarrow h = f\ o > (g\ o \rightarrow h)$   
 $\langle \text{proof} \rangle$

**code-const** *scomp*  
 $(\text{Eval}\ \text{infixl}\ 3\ \#-\rightarrow)$

**no-notation** *fcomp* (**infixl** *o>* 60)

**no-notation** *scomp* (**infixl** *o→* 60)

*prod-fun* — action of the product functor upon functions.

**definition** *prod-fun* ::  $('a \Rightarrow 'c) \Rightarrow ('b \Rightarrow 'd) \Rightarrow 'a \times 'b \Rightarrow 'c \times 'd$  **where**  
 $[\text{code del}]: \text{prod-fun}\ f\ g = (\lambda(x, y). (f\ x, g\ y))$

**lemma** *prod-fun [simp, code]: prod-fun f g (a, b) = (f a, g b)*  
*<proof>*

**lemma** *fst-prod-fun[simp]: fst (prod-fun f g x) = f (fst x)*  
*<proof>*

**lemma** *snd-prod-fun[simp]: snd (prod-fun f g x) = g (snd x)*  
*<proof>*

**lemma** *fst-comp-prod-fun[simp]: fst ∘ prod-fun f g = f ∘ fst*  
*<proof>*

**lemma** *snd-comp-prod-fun[simp]: snd ∘ prod-fun f g = g ∘ snd*  
*<proof>*

**lemma** *prod-fun-compose:*  
*prod-fun (f1 o f2) (g1 o g2) = (prod-fun f1 g1 o prod-fun f2 g2)*  
*<proof>*

**lemma** *prod-fun-ident [simp]: prod-fun (%x. x) (%y. y) = (%z. z)*  
*<proof>*

**lemma** *prod-fun-imageI [intro]: (a, b) : r ==> (f a, g b) : prod-fun f g ‘ r*  
*<proof>*

**lemma** *prod-fun-imageE [elim!]:*  
*assumes major: c: (prod-fun f g) ‘ r*  
*and cases: !!x y. [| c=(f(x),g(y)); (x,y):r |] ==> P*  
*shows P*  
*<proof>*

**definition** *apfst :: ('a ⇒ 'c) ⇒ 'a × 'b ⇒ 'c × 'b where*  
*apfst f = prod-fun f id*

**definition** *apsnd :: ('b ⇒ 'c) ⇒ 'a × 'b ⇒ 'a × 'c where*  
*apsnd f = prod-fun id f*

**lemma** *apfst-conv [simp, code]:*  
*apfst f (x, y) = (f x, y)*  
*<proof>*

**lemma** *apsnd-conv [simp, code]:*  
*apsnd f (x, y) = (x, f y)*  
*<proof>*

**lemma** *fst-apfst [simp]:*

$$\text{fst } (\text{apfst } f \ x) = f \ (\text{fst } x)$$

*<proof>*

**lemma** *fst-apsnd* [simp]:

$$\text{fst } (\text{apsnd } f \ x) = \text{fst } x$$

*<proof>*

**lemma** *snd-apfst* [simp]:

$$\text{snd } (\text{apfst } f \ x) = \text{snd } x$$

*<proof>*

**lemma** *snd-apsnd* [simp]:

$$\text{snd } (\text{apsnd } f \ x) = f \ (\text{snd } x)$$

*<proof>*

**lemma** *apfst-compose*:

$$\text{apfst } f \ (\text{apfst } g \ x) = \text{apfst } (f \circ g) \ x$$

*<proof>*

**lemma** *apsnd-compose*:

$$\text{apsnd } f \ (\text{apsnd } g \ x) = \text{apsnd } (f \circ g) \ x$$

*<proof>*

**lemma** *apfst-apsnd* [simp]:

$$\text{apfst } f \ (\text{apsnd } g \ x) = (f \ (\text{fst } x), g \ (\text{snd } x))$$

*<proof>*

**lemma** *apsnd-apfst* [simp]:

$$\text{apsnd } f \ (\text{apfst } g \ x) = (g \ (\text{fst } x), f \ (\text{snd } x))$$

*<proof>*

**lemma** *apfst-id* [simp] :

$$\text{apfst } \text{id} = \text{id}$$

*<proof>*

**lemma** *apsnd-id* [simp] :

$$\text{apsnd } \text{id} = \text{id}$$

*<proof>*

**lemma** *apfst-eq-conv* [simp]:

$$\text{apfst } f \ x = \text{apfst } g \ x \longleftrightarrow f \ (\text{fst } x) = g \ (\text{fst } x)$$

*<proof>*

**lemma** *apsnd-eq-conv* [simp]:

$$\text{apsnd } f \ x = \text{apsnd } g \ x \longleftrightarrow f \ (\text{snd } x) = g \ (\text{snd } x)$$

*<proof>*

**lemma** *apsnd-apfst-commute*:

$$\text{apsnd } f \ (\text{apfst } g \ p) = \text{apfst } g \ (\text{apsnd } f \ p)$$

$\langle proof \rangle$

Disjoint union of a family of sets – Sigma.

**definition**  $Sigma :: ['a\ set, 'a \Rightarrow 'b\ set] \Rightarrow ('a \times 'b)\ set$  **where**  
*Sigma-def*:  $Sigma\ A\ B == UN\ x:A.\ UN\ y:B\ x.\ \{Pair\ x\ y\}$

**abbreviation**

$Times :: ['a\ set, 'b\ set] \Rightarrow ('a * 'b)\ set$   
**(infixr <\*> 80) where**  
 $A\ <*>\ B == Sigma\ A\ (\%-. B)$

**notation** (*xsymbols*)

$Times$  **(infixr  $\times$  80)**

**notation** (*HTML output*)

$Times$  **(infixr  $\times$  80)**

**syntax**

$-Sigma :: [pttrn, 'a\ set, 'b\ set] \Rightarrow ('a * 'b)\ set\ ((3SIGMA\ :-./\ -)\ [0, 0, 10]\ 10)$

**translations**

$SIGMA\ x:A.\ B == CONST\ Sigma\ A\ (\%x.\ B)$

**lemma**  $SigmaI\ [intro!]:\ [\ a:A;\ b:B(a)\ ] \Rightarrow (a,b) : Sigma\ A\ B$

$\langle proof \rangle$

**lemma**  $SigmaE\ [elim!]:$

$[\ c: Sigma\ A\ B;$   
 $!!x\ y. [\ x:A;\ y:B(x);\ c=(x,y)\ ] \Rightarrow P$   
 $]\Rightarrow P$

— The general elimination rule.

$\langle proof \rangle$

Elimination of  $(a, b) \in A \times B$  – introduces no eigenvariables.

**lemma**  $SigmaD1: (a, b) : Sigma\ A\ B \Rightarrow a : A$

$\langle proof \rangle$

**lemma**  $SigmaD2: (a, b) : Sigma\ A\ B \Rightarrow b : B\ a$

$\langle proof \rangle$

**lemma**  $SigmaE2:$

$[\ (a, b) : Sigma\ A\ B;$   
 $[\ a:A;\ b:B(a)\ ] \Rightarrow P$   
 $]\Rightarrow P$

$\langle proof \rangle$

**lemma**  $Sigma-cong:$

$\llbracket A = B; !!x.\ x \in B \Rightarrow C\ x = D\ x \rrbracket$   
 $\Rightarrow (SIGMA\ x: A.\ C\ x) = (SIGMA\ x: B.\ D\ x)$

$\langle proof \rangle$

**lemma** *Sigma-mono*:  $[| A \leq C; !!x. x:A ==> B x \leq D x |] ==> \text{Sigma } A B \leq \text{Sigma } C D$   
 $\langle \text{proof} \rangle$

**lemma** *Sigma-empty1*  $[simp]$ :  $\text{Sigma } \{ \} B = \{ \}$   
 $\langle \text{proof} \rangle$

**lemma** *Sigma-empty2*  $[simp]$ :  $A <*> \{ \} = \{ \}$   
 $\langle \text{proof} \rangle$

**lemma** *UNIV-Times-UNIV*  $[simp]$ :  $UNIV <*> UNIV = UNIV$   
 $\langle \text{proof} \rangle$

**lemma** *Compl-Times-UNIV1*  $[simp]$ :  $\neg (UNIV <*> A) = UNIV <*> (\neg A)$   
 $\langle \text{proof} \rangle$

**lemma** *Compl-Times-UNIV2*  $[simp]$ :  $\neg (A <*> UNIV) = (\neg A) <*> UNIV$   
 $\langle \text{proof} \rangle$

**lemma** *mem-Sigma-iff*  $[iff]$ :  $((a,b): \text{Sigma } A B) = (a:A \ \& \ b:B(a))$   
 $\langle \text{proof} \rangle$

**lemma** *Times-subset-cancel2*:  $x:C ==> (A <*> C \leq B <*> C) = (A \leq B)$   
 $\langle \text{proof} \rangle$

**lemma** *Times-eq-cancel2*:  $x:C ==> (A <*> C = B <*> C) = (A = B)$   
 $\langle \text{proof} \rangle$

**lemma** *SetCompr-Sigma-eq*:  
 $\text{Collect } (\text{split } (\%x y. P x \ \& \ Q x y)) = (\text{SIGMA } x:\text{Collect } P. \text{Collect } (Q x))$   
 $\langle \text{proof} \rangle$

**lemma** *Collect-split*  $[simp]$ :  $\{ (a,b). P a \ \& \ Q b \} = \text{Collect } P <*> \text{Collect } Q$   
 $\langle \text{proof} \rangle$

**lemma** *UN-Times-distrib*:  
 $(UN (a,b):(A <*> B). E a <*> F b) = (UNION A E) <*> (UNION B F)$   
 — Suggested by Pierre Chartier  
 $\langle \text{proof} \rangle$

**lemma** *split-paired-Ball-Sigma*  $[simp, no-atp]$ :  
 $(ALL z: \text{Sigma } A B. P z) = (ALL x:A. ALL y: B x. P(x,y))$   
 $\langle \text{proof} \rangle$

**lemma** *split-paired-Bex-Sigma*  $[simp, no-atp]$ :  
 $(EX z: \text{Sigma } A B. P z) = (EX x:A. EX y: B x. P(x,y))$   
 $\langle \text{proof} \rangle$



**lemma** *Sigma-Un-distrib1*:  $(\text{SIGMA } i:I \text{ Un } J. C(i)) = (\text{SIGMA } i:I. C(i)) \text{ Un } (\text{SIGMA } j:J. C(j))$   
 ⟨proof⟩

**lemma** *Sigma-Un-distrib2*:  $(\text{SIGMA } i:I. A(i) \text{ Un } B(i)) = (\text{SIGMA } i:I. A(i)) \text{ Un } (\text{SIGMA } i:I. B(i))$   
 ⟨proof⟩

**lemma** *Sigma-Int-distrib1*:  $(\text{SIGMA } i:I \text{ Int } J. C(i)) = (\text{SIGMA } i:I. C(i)) \text{ Int } (\text{SIGMA } j:J. C(j))$   
 ⟨proof⟩

**lemma** *Sigma-Int-distrib2*:  $(\text{SIGMA } i:I. A(i) \text{ Int } B(i)) = (\text{SIGMA } i:I. A(i)) \text{ Int } (\text{SIGMA } i:I. B(i))$   
 ⟨proof⟩

**lemma** *Sigma-Diff-distrib1*:  $(\text{SIGMA } i:I - J. C(i)) = (\text{SIGMA } i:I. C(i)) - (\text{SIGMA } j:J. C(j))$   
 ⟨proof⟩

**lemma** *Sigma-Diff-distrib2*:  $(\text{SIGMA } i:I. A(i) - B(i)) = (\text{SIGMA } i:I. A(i)) - (\text{SIGMA } i:I. B(i))$   
 ⟨proof⟩

**lemma** *Sigma-Union*:  $\text{Sigma } (\text{Union } X) B = (\text{UN } A:X. \text{Sigma } A B)$   
 ⟨proof⟩

Non-dependent versions are needed to avoid the need for higher-order matching, especially when the rules are re-oriented.

**lemma** *Times-Un-distrib1*:  $(A \text{ Un } B) <*> C = (A <*> C) \text{ Un } (B <*> C)$   
 ⟨proof⟩

**lemma** *Times-Int-distrib1*:  $(A \text{ Int } B) <*> C = (A <*> C) \text{ Int } (B <*> C)$   
 ⟨proof⟩

**lemma** *Times-Diff-distrib1*:  $(A - B) <*> C = (A <*> C) - (B <*> C)$   
 ⟨proof⟩

**lemma** *Times-empty[simp]*:  $A \times B = \{\} \longleftrightarrow A = \{\} \vee B = \{\}$   
 ⟨proof⟩

**lemma** *fst-image-times[simp]*:  $\text{fst } (A \times B) = (\text{if } B = \{\} \text{ then } \{\} \text{ else } A)$   
 ⟨proof⟩

**lemma** *snd-image-times[simp]*:  $\text{snd } (A \times B) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } B)$   
 ⟨proof⟩

**lemma** *insert-times-insert[simp]*:  
 $\text{insert } a A \times \text{insert } b B =$

*insert* (*a*,*b*) (*A* × *insert* *b* *B* ∪ *insert* *a* *A* × *B*)  
 ⟨*proof*⟩

**lemma** *image-Times*:  $f - ' (A \times B) = ((fst \circ f) - ' A) \cap ((snd \circ f) - ' B)$   
 ⟨*proof*⟩

The following *prod-fun* lemmas are due to Joachim Breitner:

**lemma** *prod-fun-inj-on*:  
**assumes** *inj-on* *f* *A* **and** *inj-on* *g* *B*  
**shows** *inj-on* (*prod-fun* *f* *g*) (*A* × *B*)  
 ⟨*proof*⟩

**lemma** *prod-fun-surj*:  
**assumes** *surj* *f* **and** *surj* *g*  
**shows** *surj* (*prod-fun* *f* *g*)  
 ⟨*proof*⟩

**lemma** *prod-fun-surj-on*:  
**assumes**  $f - ' A = A'$  **and**  $g - ' B = B'$   
**shows** *prod-fun* *f* *g* - ' (*A* × *B*) = *A'* × *B'*  
 ⟨*proof*⟩

**lemma** *swap-inj-on*:  
*inj-on* ( $\lambda(i, j). (j, i)$ ) *A*  
 ⟨*proof*⟩

**lemma** *swap-product*:  
 $(\%(i, j). (j, i)) - ' (A \times B) = B \times A$   
 ⟨*proof*⟩

**lemma** *image-split-eq-Sigma*:  
 $(\lambda x. (f\ x, g\ x)) - ' A = \text{Sigma } (f - ' A) (\lambda x. g - ' (f - ' \{x\} \cap A))$   
 ⟨*proof*⟩

## 11.4 Inductively defined sets

⟨*ML*⟩

## 11.5 Legacy theorem bindings and duplicates

**lemma** *PairE*:  
**obtains** *x* *y* **where**  $p = (x, y)$   
 ⟨*proof*⟩

**lemma** *Pair-inject*:  
**assumes**  $(a, b) = (a', b')$   
**and**  $a = a' ==> b = b' ==> R$   
**shows** *R*  
 ⟨*proof*⟩

```

lemmas Pair-eq = prod.inject

lemmas split = split-conv — for backwards compatibility

end

```

## 12 Sum-Type: The Disjoint Sum of Two Types

```

theory Sum-Type
imports Typedef Inductive Fun
begin

```

### 12.1 Construction of the sum type and its basic abstract operations

```

definition Inl-Rep :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  bool  $\Rightarrow$  bool where
  Inl-Rep a x y p  $\longleftrightarrow$  x = a  $\wedge$  p

```

```

definition Inr-Rep :: 'b  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  bool  $\Rightarrow$  bool where
  Inr-Rep b x y p  $\longleftrightarrow$  y = b  $\wedge$   $\neg$  p

```

**global**

```

typedef (Sum) ('a, 'b) + (infixr + 10) = {f. ( $\exists$  a. f = Inl-Rep (a::'a))  $\vee$  ( $\exists$  b. f
= Inr-Rep (b::'b))}
  <proof>

```

**local**

```

lemma Inl-RepI: Inl-Rep a  $\in$  Sum
  <proof>

```

```

lemma Inr-RepI: Inr-Rep b  $\in$  Sum
  <proof>

```

```

lemma inj-on-Abs-Sum:  $A \subseteq \text{Sum} \implies \text{inj-on Abs-Sum } A$ 
  <proof>

```

```

lemma Inl-Rep-inject: inj-on Inl-Rep A
  <proof>

```

```

lemma Inr-Rep-inject: inj-on Inr-Rep A
  <proof>

```

```

lemma Inl-Rep-not-Inr-Rep: Inl-Rep a  $\neq$  Inr-Rep b
  <proof>

```

**definition**  $Inl :: 'a \Rightarrow 'a + 'b$  **where**  
 $Inl = Abs-Sum \circ Inl-Rep$

**definition**  $Inr :: 'b \Rightarrow 'a + 'b$  **where**  
 $Inr = Abs-Sum \circ Inr-Rep$

**lemma**  $inj-Inl$  [simp]:  $inj-on\ Inl\ A$   
 $\langle proof \rangle$

**lemma**  $Inl-inject$ :  $Inl\ x = Inl\ y \implies x = y$   
 $\langle proof \rangle$

**lemma**  $inj-Inr$  [simp]:  $inj-on\ Inr\ A$   
 $\langle proof \rangle$

**lemma**  $Inr-inject$ :  $Inr\ x = Inr\ y \implies x = y$   
 $\langle proof \rangle$

**lemma**  $Inl-not-Inr$ :  $Inl\ a \neq Inr\ b$   
 $\langle proof \rangle$

**lemma**  $Inr-not-Inl$ :  $Inr\ b \neq Inl\ a$   
 $\langle proof \rangle$

**lemma**  $sumE$ :  
**assumes**  $\bigwedge x::'a. s = Inl\ x \implies P$   
**and**  $\bigwedge y::'b. s = Inr\ y \implies P$   
**shows**  $P$   
 $\langle proof \rangle$

**rep-datatype** ( $sum$ )  $Inl\ Inr$   
 $\langle proof \rangle$

## 12.2 Projections

**lemma**  $sum-case-KK$  [simp]:  $sum-case\ (\lambda x. a)\ (\lambda x. a) = (\lambda x. a)$   
 $\langle proof \rangle$

**lemma**  $surjective-sum$ :  $sum-case\ (\lambda x::'a. f\ (Inl\ x))\ (\lambda y::'b. f\ (Inr\ y)) = f$   
 $\langle proof \rangle$

**lemma**  $sum-case-inject$ :  
**assumes**  $a: sum-case\ f1\ f2 = sum-case\ g1\ g2$   
**assumes**  $r: f1 = g1 \implies f2 = g2 \implies P$   
**shows**  $P$   
 $\langle proof \rangle$

**lemma**  $sum-case-weak-cong$ :  
 $s = t \implies sum-case\ f\ g\ s = sum-case\ f\ g\ t$

— Prevents simplification of  $f$  and  $g$ : much faster.  
 $\langle proof \rangle$

**primrec** *Projl* ::  $'a + 'b \Rightarrow 'a$  **where**  
*Projl-Inl*:  $Projl (Inl\ x) = x$

**primrec** *Projr* ::  $'a + 'b \Rightarrow 'b$  **where**  
*Projr-Inr*:  $Projr (Inr\ x) = x$

**primrec** *Suml* ::  $('a \Rightarrow 'c) \Rightarrow 'a + 'b \Rightarrow 'c$  **where**  
*Suml f* ( $Inl\ x$ ) =  $f\ x$

**primrec** *Sumr* ::  $('b \Rightarrow 'c) \Rightarrow 'a + 'b \Rightarrow 'c$  **where**  
*Sumr f* ( $Inr\ x$ ) =  $f\ x$

**lemma** *Suml-inject*:  
**assumes**  $Suml\ f = Suml\ g$  **shows**  $f = g$   
 $\langle proof \rangle$

**lemma** *Sumr-inject*:  
**assumes**  $Sumr\ f = Sumr\ g$  **shows**  $f = g$   
 $\langle proof \rangle$

### 12.3 The Disjoint Sum of Sets

**definition** *Plus* ::  $'a\ set \Rightarrow 'b\ set \Rightarrow ('a + 'b)\ set$  (**infixr**  $<+>$  65) **where**  
 $A <+> B = Inl\ `A \cup Inr\ `B$

**lemma** *InlI* [*intro!*]:  $a \in A \Longrightarrow Inl\ a \in A <+> B$   
 $\langle proof \rangle$

**lemma** *InrI* [*intro!*]:  $b \in B \Longrightarrow Inr\ b \in A <+> B$   
 $\langle proof \rangle$

Exhaustion rule for sums, a degenerate form of induction

**lemma** *PlusE* [*elim!*]:  
 $u \in A <+> B \Longrightarrow (\bigwedge x. x \in A \Longrightarrow u = Inl\ x \Longrightarrow P) \Longrightarrow (\bigwedge y. y \in B \Longrightarrow u = Inr\ y \Longrightarrow P) \Longrightarrow P$   
 $\langle proof \rangle$

**lemma** *Plus-eq-empty-conv* [*simp*]:  $A <+> B = \{\} \longleftrightarrow A = \{\} \wedge B = \{\}$   
 $\langle proof \rangle$

**lemma** *UNIV-Plus-UNIV* [*simp*]:  $UNIV <+> UNIV = UNIV$   
 $\langle proof \rangle$

**hide-const** (**open**) *Suml Sumr Projl Projr*

**end**

## 13 Rings: Rings

```
theory Rings
imports Groups
begin
```

```
class semiring = ab-semigroup-add + semigroup-mult +
  assumes left-distrib[algebra-simps, field-simps]:  $(a + b) * c = a * c + b * c$ 
  assumes right-distrib[algebra-simps, field-simps]:  $a * (b + c) = a * b + a * c$ 
begin
```

For the *combine-numerals* simproc

```
lemma combine-common-factor:
   $a * e + (b * e + c) = (a + b) * e + c$ 
  <proof>
```

```
end
```

```
class mult-zero = times + zero +
  assumes mult-zero-left [simp]:  $0 * a = 0$ 
  assumes mult-zero-right [simp]:  $a * 0 = 0$ 
```

```
class semiring-0 = semiring + comm-monoid-add + mult-zero
```

```
class semiring-0-cancel = semiring + cancel-comm-monoid-add
begin
```

```
subclass semiring-0
  <proof>
```

```
end
```

```
class comm-semiring = ab-semigroup-add + ab-semigroup-mult +
  assumes distrib:  $(a + b) * c = a * c + b * c$ 
begin
```

```
subclass semiring
  <proof>
```

```
end
```

```
class comm-semiring-0 = comm-semiring + comm-monoid-add + mult-zero
begin
```

```
subclass semiring-0 <proof>
```

```
end
```

```

class comm-semiring-0-cancel = comm-semiring + cancel-comm-monoid-add
begin

subclass semiring-0-cancel ⟨proof⟩

subclass comm-semiring-0 ⟨proof⟩

end

class zero-neq-one = zero + one +
  assumes zero-neq-one [simp]:  $0 \neq 1$ 
begin

lemma one-neq-zero [simp]:  $1 \neq 0$ 
  ⟨proof⟩

end

class semiring-1 = zero-neq-one + semiring-0 + monoid-mult

Abstract divisibility

class dvd = times
begin

definition dvd :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infixl dvd 50) where
  [code del]:  $b \text{ dvd } a \longleftrightarrow (\exists k. a = b * k)$ 

lemma dvdI [intro?]:  $a = b * k \Longrightarrow b \text{ dvd } a$ 
  ⟨proof⟩

lemma dvdE [elim?]:  $b \text{ dvd } a \Longrightarrow (\bigwedge k. a = b * k \Longrightarrow P) \Longrightarrow P$ 
  ⟨proof⟩

end

class comm-semiring-1 = zero-neq-one + comm-semiring-0 + comm-monoid-mult
  + dvd

begin

subclass semiring-1 ⟨proof⟩

lemma dvd-refl [simp]:  $a \text{ dvd } a$ 
  ⟨proof⟩

lemma dvd-trans:
  assumes  $a \text{ dvd } b$  and  $b \text{ dvd } c$ 
  shows  $a \text{ dvd } c$ 

```

$\langle proof \rangle$

**lemma** *dvd-0-left-iff* [*no-atp*, *simp*]:  $0 \text{ dvd } a \longleftrightarrow a = 0$   
 $\langle proof \rangle$

**lemma** *dvd-0-right* [*iff*]:  $a \text{ dvd } 0$   
 $\langle proof \rangle$

**lemma** *one-dvd* [*simp*]:  $1 \text{ dvd } a$   
 $\langle proof \rangle$

**lemma** *dvd-mult* [*simp*]:  $a \text{ dvd } c \implies a \text{ dvd } (b * c)$   
 $\langle proof \rangle$

**lemma** *dvd-mult2* [*simp*]:  $a \text{ dvd } b \implies a \text{ dvd } (b * c)$   
 $\langle proof \rangle$

**lemma** *dvd-triv-right* [*simp*]:  $a \text{ dvd } b * a$   
 $\langle proof \rangle$

**lemma** *dvd-triv-left* [*simp*]:  $a \text{ dvd } a * b$   
 $\langle proof \rangle$

**lemma** *mult-dvd-mono*:  
 assumes  $a \text{ dvd } b$   
 and  $c \text{ dvd } d$   
 shows  $a * c \text{ dvd } b * d$   
 $\langle proof \rangle$

**lemma** *dvd-mult-left*:  $a * b \text{ dvd } c \implies a \text{ dvd } c$   
 $\langle proof \rangle$

**lemma** *dvd-mult-right*:  $a * b \text{ dvd } c \implies b \text{ dvd } c$   
 $\langle proof \rangle$

**lemma** *dvd-0-left*:  $0 \text{ dvd } a \implies a = 0$   
 $\langle proof \rangle$

**lemma** *dvd-add* [*simp*]:  
 assumes  $a \text{ dvd } b$  and  $a \text{ dvd } c$  shows  $a \text{ dvd } (b + c)$   
 $\langle proof \rangle$

**end**

**class** *no-zero-divisors* = *zero + times +*  
 assumes *no-zero-divisors*:  $a \neq 0 \implies b \neq 0 \implies a * b \neq 0$   
**begin**

**lemma** *divisors-zero*:



```

assumes  $a * b = 0$ 
shows  $a = 0 \vee b = 0$ 
 $\langle proof \rangle$ 

```

```

end

```

```

class semiring-1-cancel = semiring + cancel-comm-monoid-add
  + zero-neq-one + monoid-mult
begin

```

```

subclass semiring-0-cancel  $\langle proof \rangle$ 

```

```

subclass semiring-1  $\langle proof \rangle$ 

```

```

end

```

```

class comm-semiring-1-cancel = comm-semiring + cancel-comm-monoid-add
  + zero-neq-one + comm-monoid-mult
begin

```

```

subclass semiring-1-cancel  $\langle proof \rangle$ 
subclass comm-semiring-0-cancel  $\langle proof \rangle$ 
subclass comm-semiring-1  $\langle proof \rangle$ 

```

```

end

```

```

class ring = semiring + ab-group-add
begin

```

```

subclass semiring-0-cancel  $\langle proof \rangle$ 

```

Distribution rules

```

lemma minus-mult-left:  $-(a * b) = -a * b$ 
 $\langle proof \rangle$ 

```

```

lemma minus-mult-right:  $-(a * b) = a * -b$ 
 $\langle proof \rangle$ 

```

Extract signs from products

```

lemmas mult-minus-left [simp, no-atp] = minus-mult-left [symmetric]
lemmas mult-minus-right [simp, no-atp] = minus-mult-right [symmetric]

```

```

lemma minus-mult-minus [simp]:  $-a * -b = a * b$ 
 $\langle proof \rangle$ 

```

```

lemma minus-mult-commute:  $-a * b = a * -b$ 
 $\langle proof \rangle$ 

```

```

lemma right-diff-distrib[algebra-simps, field-simps]:  $a * (b - c) = a * b - a * c$ 

```

$\langle proof \rangle$

**lemma** *left-diff-distrib*[*algebra-simps*, *field-simps*]:  $(a - b) * c = a * c - b * c$   
 $\langle proof \rangle$

**lemmas** *ring-distrib*[*no-atp*] =  
*right-distrib left-distrib left-diff-distrib right-diff-distrib*

**lemma** *eq-add-iff1*:  
 $a * e + c = b * e + d \longleftrightarrow (a - b) * e + c = d$   
 $\langle proof \rangle$

**lemma** *eq-add-iff2*:  
 $a * e + c = b * e + d \longleftrightarrow c = (b - a) * e + d$   
 $\langle proof \rangle$

**end**

**lemmas** *ring-distrib*[*no-atp*] =  
*right-distrib left-distrib left-diff-distrib right-diff-distrib*

**class** *comm-ring* = *comm-semiring* + *ab-group-add*  
**begin**

**subclass** *ring*  $\langle proof \rangle$   
**subclass** *comm-semiring-0-cancel*  $\langle proof \rangle$

**end**

**class** *ring-1* = *ring* + *zero-neq-one* + *monoid-mult*  
**begin**

**subclass** *semiring-1-cancel*  $\langle proof \rangle$

**end**

**class** *comm-ring-1* = *comm-ring* + *zero-neq-one* + *comm-monoid-mult*

**begin**

**subclass** *ring-1*  $\langle proof \rangle$   
**subclass** *comm-semiring-1-cancel*  $\langle proof \rangle$

**lemma** *dvd-minus-iff* [*simp*]:  $x \text{ dvd } - y \longleftrightarrow x \text{ dvd } y$   
 $\langle proof \rangle$

**lemma** *minus-dvd-iff* [*simp*]:  $- x \text{ dvd } y \longleftrightarrow x \text{ dvd } y$   
 $\langle proof \rangle$

**lemma** *dvd-diff* [*simp*]:  $x \text{ dvd } y \implies x \text{ dvd } z \implies x \text{ dvd } (y - z)$   
 $\langle \text{proof} \rangle$

**end**

**class** *ring-no-zero-divisors* = *ring* + *no-zero-divisors*  
**begin**

**lemma** *mult-eq-0-iff* [*simp*]:  
**shows**  $a * b = 0 \iff (a = 0 \vee b = 0)$   
 $\langle \text{proof} \rangle$

Cancellation of equalities with a common factor

**lemma** *mult-cancel-right* [*simp*, *no-atp*]:  
 $a * c = b * c \iff c = 0 \vee a = b$   
 $\langle \text{proof} \rangle$

**lemma** *mult-cancel-left* [*simp*, *no-atp*]:  
 $c * a = c * b \iff c = 0 \vee a = b$   
 $\langle \text{proof} \rangle$

**end**

**class** *ring-1-no-zero-divisors* = *ring-1* + *ring-no-zero-divisors*  
**begin**

**lemma** *square-eq-1-iff*:  
 $x * x = 1 \iff x = 1 \vee x = -1$   
 $\langle \text{proof} \rangle$

**lemma** *mult-cancel-right1* [*simp*]:  
 $c = b * c \iff c = 0 \vee b = 1$   
 $\langle \text{proof} \rangle$

**lemma** *mult-cancel-right2* [*simp*]:  
 $a * c = c \iff c = 0 \vee a = 1$   
 $\langle \text{proof} \rangle$

**lemma** *mult-cancel-left1* [*simp*]:  
 $c = c * b \iff c = 0 \vee b = 1$   
 $\langle \text{proof} \rangle$

**lemma** *mult-cancel-left2* [*simp*]:  
 $c * a = c \iff c = 0 \vee a = 1$   
 $\langle \text{proof} \rangle$

**end**

**class** *idom* = *comm-ring-1* + *no-zero-divisors*

**begin**

**subclass** *ring-1-no-zero-divisors*  $\langle \text{proof} \rangle$

**lemma** *square-eq-iff*:  $a * a = b * b \longleftrightarrow (a = b \vee a = - b)$   
 $\langle \text{proof} \rangle$

**lemma** *dvd-mult-cancel-right* [*simp*]:  
 $a * c \text{ dvd } b * c \longleftrightarrow c = 0 \vee a \text{ dvd } b$   
 $\langle \text{proof} \rangle$

**lemma** *dvd-mult-cancel-left* [*simp*]:  
 $c * a \text{ dvd } c * b \longleftrightarrow c = 0 \vee a \text{ dvd } b$   
 $\langle \text{proof} \rangle$

**end**

**class** *inverse* =  
**fixes** *inverse* :: 'a  $\Rightarrow$  'a  
**and** *divide* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (**infixl** '/' 70)

**class** *division-ring* = *ring-1* + *inverse* +  
**assumes** *left-inverse* [*simp*]:  $a \neq 0 \implies \text{inverse } a * a = 1$   
**assumes** *right-inverse* [*simp*]:  $a \neq 0 \implies a * \text{inverse } a = 1$   
**assumes** *divide-inverse*:  $a / b = a * \text{inverse } b$   
**begin**

**subclass** *ring-1-no-zero-divisors*  
 $\langle \text{proof} \rangle$

**lemma** *nonzero-imp-inverse-nonzero*:  
 $a \neq 0 \implies \text{inverse } a \neq 0$   
 $\langle \text{proof} \rangle$

**lemma** *inverse-zero-imp-zero*:  
 $\text{inverse } a = 0 \implies a = 0$   
 $\langle \text{proof} \rangle$

**lemma** *inverse-unique*:  
**assumes** *ab*:  $a * b = 1$   
**shows**  $\text{inverse } a = b$   
 $\langle \text{proof} \rangle$

**lemma** *nonzero-inverse-minus-eq*:  
 $a \neq 0 \implies \text{inverse } (- a) = - \text{inverse } a$   
 $\langle \text{proof} \rangle$

**lemma** *nonzero-inverse-inverse-eq*:  
 $a \neq 0 \implies \text{inverse } (\text{inverse } a) = a$

$\langle \text{proof} \rangle$

**lemma** *nonzero-inverse-eq-imp-eq*:

**assumes**  $\text{inverse } a = \text{inverse } b$  **and**  $a \neq 0$  **and**  $b \neq 0$

**shows**  $a = b$

$\langle \text{proof} \rangle$

**lemma** *inverse-1 [simp]*:  $\text{inverse } 1 = 1$

$\langle \text{proof} \rangle$

**lemma** *nonzero-inverse-mult-distrib*:

**assumes**  $a \neq 0$  **and**  $b \neq 0$

**shows**  $\text{inverse } (a * b) = \text{inverse } b * \text{inverse } a$

$\langle \text{proof} \rangle$

**lemma** *division-ring-inverse-add*:

$a \neq 0 \implies b \neq 0 \implies \text{inverse } a + \text{inverse } b = \text{inverse } a * (a + b) * \text{inverse } b$

$\langle \text{proof} \rangle$

**lemma** *division-ring-inverse-diff*:

$a \neq 0 \implies b \neq 0 \implies \text{inverse } a - \text{inverse } b = \text{inverse } a * (b - a) * \text{inverse } b$

$\langle \text{proof} \rangle$

**lemma** *right-inverse-eq*:  $b \neq 0 \implies a / b = 1 \longleftrightarrow a = b$

$\langle \text{proof} \rangle$

**lemma** *nonzero-inverse-eq-divide*:  $a \neq 0 \implies \text{inverse } a = 1 / a$

$\langle \text{proof} \rangle$

**lemma** *divide-self [simp]*:  $a \neq 0 \implies a / a = 1$

$\langle \text{proof} \rangle$

**lemma** *divide-zero-left [simp]*:  $0 / a = 0$

$\langle \text{proof} \rangle$

**lemma** *inverse-eq-divide*:  $\text{inverse } a = 1 / a$

$\langle \text{proof} \rangle$

**lemma** *add-divide-distrib*:  $(a+b) / c = a/c + b/c$

$\langle \text{proof} \rangle$

**lemma** *divide-1 [simp]*:  $a / 1 = a$

$\langle \text{proof} \rangle$

**lemma** *times-divide-eq-right [simp]*:  $a * (b / c) = (a * b) / c$

$\langle \text{proof} \rangle$

**lemma** *minus-divide-left*:  $-(a / b) = (-a) / b$

$\langle \text{proof} \rangle$

**lemma** *nonzero-minus-divide-right*:  $b \neq 0 \implies -(a / b) = a / (-b)$   
 ⟨proof⟩

**lemma** *nonzero-minus-divide-divide*:  $b \neq 0 \implies (-a) / (-b) = a / b$   
 ⟨proof⟩

**lemma** *divide-minus-left* [simp, no-atp]:  $(-a) / b = -(a / b)$   
 ⟨proof⟩

**lemma** *diff-divide-distrib*:  $(a - b) / c = a / c - b / c$   
 ⟨proof⟩

**lemma** *nonzero-eq-divide-eq* [field-simps]:  $c \neq 0 \implies a = b / c \longleftrightarrow a * c = b$   
 ⟨proof⟩

**lemma** *nonzero-divide-eq-eq* [field-simps]:  $c \neq 0 \implies b / c = a \longleftrightarrow b = a * c$   
 ⟨proof⟩

**lemma** *divide-eq-imp*:  $c \neq 0 \implies b = a * c \implies b / c = a$   
 ⟨proof⟩

**lemma** *eq-divide-imp*:  $c \neq 0 \implies a * c = b \implies a = b / c$   
 ⟨proof⟩

**end**

**class** *division-ring-inverse-zero* = *division-ring* +  
**assumes** *inverse-zero* [simp]:  $\text{inverse } 0 = 0$   
**begin**

**lemma** *divide-zero* [simp]:  
 $a / 0 = 0$   
 ⟨proof⟩

**lemma** *divide-self-if* [simp]:  
 $a / a = (\text{if } a = 0 \text{ then } 0 \text{ else } 1)$   
 ⟨proof⟩

**lemma** *inverse-nonzero-iff-nonzero* [simp]:  
 $\text{inverse } a = 0 \longleftrightarrow a = 0$   
 ⟨proof⟩

**lemma** *inverse-minus-eq* [simp]:  
 $\text{inverse } (-a) = - \text{inverse } a$   
 ⟨proof⟩

**lemma** *inverse-eq-imp-eq*:  
 $\text{inverse } a = \text{inverse } b \implies a = b$

$\langle proof \rangle$

**lemma** *inverse-eq-iff-eq* [simp]:  
 $inverse\ a = inverse\ b \longleftrightarrow a = b$   
 $\langle proof \rangle$

**lemma** *inverse-inverse-eq* [simp]:  
 $inverse\ (inverse\ a) = a$   
 $\langle proof \rangle$

**end**

**class** *mult-mono* = *times* + *zero* + *ord* +  
**assumes** *mult-left-mono*:  $a \leq b \implies 0 \leq c \implies c * a \leq c * b$   
**assumes** *mult-right-mono*:  $a \leq b \implies 0 \leq c \implies a * c \leq b * c$

The theory of partially ordered rings is taken from the books:

- *Lattice Theory* by Garret Birkhoff, American Mathematical Society 1979
- *Partially Ordered Algebraic Systems*, Pergamon Press 1963

Most of the used notions can also be looked up in

- <http://www.mathworld.com> by Eric Weisstein et. al.
- *Algebra I* by van der Waerden, Springer.

**class** *ordered-semiring* = *mult-mono* + *semiring-0* + *ordered-ab-semigroup-add*  
**begin**

**lemma** *mult-mono*:  
 $a \leq b \implies c \leq d \implies 0 \leq b \implies 0 \leq c$   
 $\implies a * c \leq b * d$   
 $\langle proof \rangle$

**lemma** *mult-mono'*:  
 $a \leq b \implies c \leq d \implies 0 \leq a \implies 0 \leq c$   
 $\implies a * c \leq b * d$   
 $\langle proof \rangle$

**end**

**class** *ordered-cancel-semiring* = *mult-mono* + *ordered-ab-semigroup-add*  
+ *semiring* + *cancel-comm-monoid-add*  
**begin**

**subclass** *semiring-0-cancel*  $\langle proof \rangle$   
**subclass** *ordered-semiring*  $\langle proof \rangle$

**lemma** *mult-nonneg-nonneg*:  $0 \leq a \implies 0 \leq b \implies 0 \leq a * b$   
 ⟨proof⟩

**lemma** *mult-nonneg-nonpos*:  $0 \leq a \implies b \leq 0 \implies a * b \leq 0$   
 ⟨proof⟩

**lemma** *mult-nonpos-nonneg*:  $a \leq 0 \implies 0 \leq b \implies a * b \leq 0$   
 ⟨proof⟩

Legacy - use *mult-nonpos-nonneg*

**lemma** *mult-nonneg-nonpos2*:  $0 \leq a \implies b \leq 0 \implies b * a \leq 0$   
 ⟨proof⟩

**lemma** *split-mult-neg-le*:  $(0 \leq a \ \& \ b \leq 0) \mid (a \leq 0 \ \& \ 0 \leq b) \implies a * b \leq 0$   
 ⟨proof⟩

**end**

**class** *linordered-semiring* = *semiring* + *comm-monoid-add* + *linordered-cancel-ab-semigroup-add*  
 + *mult-mono*  
**begin**

**subclass** *ordered-cancel-semiring* ⟨proof⟩

**subclass** *ordered-comm-monoid-add* ⟨proof⟩

**lemma** *mult-left-less-imp-less*:  
 $c * a < c * b \implies 0 \leq c \implies a < b$   
 ⟨proof⟩

**lemma** *mult-right-less-imp-less*:  
 $a * c < b * c \implies 0 \leq c \implies a < b$   
 ⟨proof⟩

**end**

**class** *linordered-semiring-1* = *linordered-semiring* + *semiring-1*  
**begin**

**lemma** *convex-bound-le*:  
**assumes**  $x \leq a \ y \leq a \ 0 \leq u \ 0 \leq v \ u + v = 1$   
**shows**  $u * x + v * y \leq a$   
 ⟨proof⟩

**end**

**class** *linordered-semiring-strict* = *semiring* + *comm-monoid-add* + *linordered-cancel-ab-semigroup-add*  
 +



**assumes** *mult-strict-left-mono*:  $a < b \implies 0 < c \implies c * a < c * b$   
**assumes** *mult-strict-right-mono*:  $a < b \implies 0 < c \implies a * c < b * c$   
**begin**

**subclass** *semiring-0-cancel*  $\langle \text{proof} \rangle$

**subclass** *linordered-semiring*  
 $\langle \text{proof} \rangle$

**lemma** *mult-left-le-imp-le*:  
 $c * a \leq c * b \implies 0 < c \implies a \leq b$   
 $\langle \text{proof} \rangle$

**lemma** *mult-right-le-imp-le*:  
 $a * c \leq b * c \implies 0 < c \implies a \leq b$   
 $\langle \text{proof} \rangle$

**lemma** *mult-pos-pos*:  $0 < a \implies 0 < b \implies 0 < a * b$   
 $\langle \text{proof} \rangle$

**lemma** *mult-pos-neg*:  $0 < a \implies b < 0 \implies a * b < 0$   
 $\langle \text{proof} \rangle$

**lemma** *mult-neg-pos*:  $a < 0 \implies 0 < b \implies a * b < 0$   
 $\langle \text{proof} \rangle$

Legacy - use *mult-neg-pos*

**lemma** *mult-pos-neg2*:  $0 < a \implies b < 0 \implies b * a < 0$   
 $\langle \text{proof} \rangle$

**lemma** *zero-less-mult-pos*:  
 $0 < a * b \implies 0 < a \implies 0 < b$   
 $\langle \text{proof} \rangle$

**lemma** *zero-less-mult-pos2*:  
 $0 < b * a \implies 0 < a \implies 0 < b$   
 $\langle \text{proof} \rangle$

Strict monotonicity in both arguments

**lemma** *mult-strict-mono*:  
**assumes**  $a < b$  **and**  $c < d$  **and**  $0 < b$  **and**  $0 \leq c$   
**shows**  $a * c < b * d$   
 $\langle \text{proof} \rangle$

This weaker variant has more natural premises

**lemma** *mult-strict-mono'*:  
**assumes**  $a < b$  **and**  $c < d$  **and**  $0 \leq a$  **and**  $0 \leq c$   
**shows**  $a * c < b * d$   
 $\langle \text{proof} \rangle$

**lemma** *mult-less-le-imp-less*:

**assumes**  $a < b$  **and**  $c \leq d$  **and**  $0 \leq a$  **and**  $0 < c$   
**shows**  $a * c < b * d$   
 $\langle proof \rangle$

**lemma** *mult-le-less-imp-less*:

**assumes**  $a \leq b$  **and**  $c < d$  **and**  $0 < a$  **and**  $0 \leq c$   
**shows**  $a * c < b * d$   
 $\langle proof \rangle$

**lemma** *mult-less-imp-less-left*:

**assumes** *less*:  $c * a < c * b$  **and** *nonneg*:  $0 \leq c$   
**shows**  $a < b$   
 $\langle proof \rangle$

**lemma** *mult-less-imp-less-right*:

**assumes** *less*:  $a * c < b * c$  **and** *nonneg*:  $0 \leq c$   
**shows**  $a < b$   
 $\langle proof \rangle$

**end**

**class** *linordered-semiring-1-strict* = *linordered-semiring-strict* + *semiring-1*  
**begin**

**subclass** *linordered-semiring-1*  $\langle proof \rangle$

**lemma** *convex-bound-lt*:

**assumes**  $x < a$   $y < a$   $0 \leq u$   $0 \leq v$   $u + v = 1$   
**shows**  $u * x + v * y < a$   
 $\langle proof \rangle$

**end**

**class** *mult-mono1* = *times* + *zero* + *ord* +  
**assumes** *mult-mono1*:  $a \leq b \implies 0 \leq c \implies c * a \leq c * b$

**class** *ordered-comm-semiring* = *comm-semiring-0*  
+ *ordered-ab-semigroup-add* + *mult-mono1*  
**begin**

**subclass** *ordered-semiring*  
 $\langle proof \rangle$

**end**

**class** *ordered-cancel-comm-semiring* = *comm-semiring-0-cancel*  
+ *ordered-ab-semigroup-add* + *mult-mono1*

**begin**

**subclass** *ordered-comm-semiring*  $\langle \text{proof} \rangle$

**subclass** *ordered-cancel-semiring*  $\langle \text{proof} \rangle$

**end**

**class** *linordered-comm-semiring-strict* = *comm-semiring-0* + *linordered-cancel-ab-semigroup-add* +

**assumes** *mult-strict-left-mono-comm*:  $a < b \implies 0 < c \implies c * a < c * b$   
**begin**

**subclass** *linordered-semiring-strict*  
 $\langle \text{proof} \rangle$

**subclass** *ordered-cancel-comm-semiring*  
 $\langle \text{proof} \rangle$

**end**

**class** *ordered-ring* = *ring* + *ordered-cancel-semiring*  
**begin**

**subclass** *ordered-ab-group-add*  $\langle \text{proof} \rangle$

**lemma** *less-add-iff1*:

$a * e + c < b * e + d \longleftrightarrow (a - b) * e + c < d$   
 $\langle \text{proof} \rangle$

**lemma** *less-add-iff2*:

$a * e + c < b * e + d \longleftrightarrow c < (b - a) * e + d$   
 $\langle \text{proof} \rangle$

**lemma** *le-add-iff1*:

$a * e + c \leq b * e + d \longleftrightarrow (a - b) * e + c \leq d$   
 $\langle \text{proof} \rangle$

**lemma** *le-add-iff2*:

$a * e + c \leq b * e + d \longleftrightarrow c \leq (b - a) * e + d$   
 $\langle \text{proof} \rangle$

**lemma** *mult-left-mono-neg*:

$b \leq a \implies c \leq 0 \implies c * a \leq c * b$   
 $\langle \text{proof} \rangle$

**lemma** *mult-right-mono-neg*:

$b \leq a \implies c \leq 0 \implies a * c \leq b * c$   
 $\langle \text{proof} \rangle$

**lemma** *mult-nonpos-nonpos*:  $a \leq 0 \implies b \leq 0 \implies 0 \leq a * b$   
 $\langle proof \rangle$

**lemma** *split-mult-pos-le*:  
 $(0 \leq a \wedge 0 \leq b) \vee (a \leq 0 \wedge b \leq 0) \implies 0 \leq a * b$   
 $\langle proof \rangle$

**end**

**class** *linordered-ring* = *ring* + *linordered-semiring* + *linordered-ab-group-add* +  
*abs-if*  
**begin**

**subclass** *ordered-ring*  $\langle proof \rangle$

**subclass** *ordered-ab-group-add-abs*  
 $\langle proof \rangle$

**lemma** *zero-le-square* [*simp*]:  $0 \leq a * a$   
 $\langle proof \rangle$

**lemma** *not-square-less-zero* [*simp*]:  $\neg (a * a < 0)$   
 $\langle proof \rangle$

**end**

**class** *linordered-ring-strict* = *ring* + *linordered-semiring-strict*  
+ *ordered-ab-group-add* + *abs-if*  
**begin**

**subclass** *linordered-ring*  $\langle proof \rangle$

**lemma** *mult-strict-left-mono-neg*:  $b < a \implies c < 0 \implies c * a < c * b$   
 $\langle proof \rangle$

**lemma** *mult-strict-right-mono-neg*:  $b < a \implies c < 0 \implies a * c < b * c$   
 $\langle proof \rangle$

**lemma** *mult-neg-neg*:  $a < 0 \implies b < 0 \implies 0 < a * b$   
 $\langle proof \rangle$

**subclass** *ring-no-zero-divisors*  
 $\langle proof \rangle$

**lemma** *zero-less-mult-iff*:  
 $0 < a * b \iff 0 < a \wedge 0 < b \vee a < 0 \wedge b < 0$   
 $\langle proof \rangle$

**lemma** *zero-le-mult-iff*:

$$0 \leq a * b \longleftrightarrow 0 \leq a \wedge 0 \leq b \vee a \leq 0 \wedge b \leq 0$$

*<proof>*

**lemma** *mult-less-0-iff*:

$$a * b < 0 \longleftrightarrow 0 < a \wedge b < 0 \vee a < 0 \wedge 0 < b$$

*<proof>*

**lemma** *mult-le-0-iff*:

$$a * b \leq 0 \longleftrightarrow 0 \leq a \wedge b \leq 0 \vee a \leq 0 \wedge 0 \leq b$$

*<proof>*

Cancellation laws for  $c * a < c * b$  and  $a * c < b * c$ , also with the relations  $\leq$  and equality.

These “disjunction” versions produce two cases when the comparison is an assumption, but effectively four when the comparison is a goal.

**lemma** *mult-less-cancel-right-disj*:

$$a * c < b * c \longleftrightarrow 0 < c \wedge a < b \vee c < 0 \wedge b < a$$

*<proof>*

**lemma** *mult-less-cancel-left-disj*:

$$c * a < c * b \longleftrightarrow 0 < c \wedge a < b \vee c < 0 \wedge b < a$$

*<proof>*

The “conjunction of implication” lemmas produce two cases when the comparison is a goal, but give four when the comparison is an assumption.

**lemma** *mult-less-cancel-right*:

$$a * c < b * c \longleftrightarrow (0 \leq c \longrightarrow a < b) \wedge (c \leq 0 \longrightarrow b < a)$$

*<proof>*

**lemma** *mult-less-cancel-left*:

$$c * a < c * b \longleftrightarrow (0 \leq c \longrightarrow a < b) \wedge (c \leq 0 \longrightarrow b < a)$$

*<proof>*

**lemma** *mult-le-cancel-right*:

$$a * c \leq b * c \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$$

*<proof>*

**lemma** *mult-le-cancel-left*:

$$c * a \leq c * b \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$$

*<proof>*

**lemma** *mult-le-cancel-left-pos*:

$$0 < c \implies c * a \leq c * b \longleftrightarrow a \leq b$$

*<proof>*

**lemma** *mult-le-cancel-left-neg*:

$$c < 0 \implies c * a \leq c * b \longleftrightarrow b \leq a$$

*<proof>*

**lemma** *mult-less-cancel-left-pos*:

$0 < c \implies c * a < c * b \longleftrightarrow a < b$

*<proof>*

**lemma** *mult-less-cancel-left-neg*:

$c < 0 \implies c * a < c * b \longleftrightarrow b < a$

*<proof>*

**end**

**lemmas** *mult-sign-intros* =

*mult-nonneg-nonneg mult-nonneg-nonpos*

*mult-nonpos-nonneg mult-nonpos-nonpos*

*mult-pos-pos mult-pos-neg*

*mult-neg-pos mult-neg-neg*

**class** *ordered-comm-ring* = *comm-ring* + *ordered-comm-semiring*

**begin**

**subclass** *ordered-ring* *<proof>*

**subclass** *ordered-cancel-comm-semiring* *<proof>*

**end**

**class** *linordered-semidom* = *comm-semiring-1-cancel* + *linordered-comm-semiring-strict*

+

**assumes** *zero-less-one* [*simp*]:  $0 < 1$

**begin**

**lemma** *pos-add-strict*:

**shows**  $0 < a \implies b < c \implies b < a + c$

*<proof>*

**lemma** *zero-le-one* [*simp*]:  $0 \leq 1$

*<proof>*

**lemma** *not-one-le-zero* [*simp*]:  $\neg 1 \leq 0$

*<proof>*

**lemma** *not-one-less-zero* [*simp*]:  $\neg 1 < 0$

*<proof>*

**lemma** *less-1-mult*:

**assumes**  $1 < m$  **and**  $1 < n$

**shows**  $1 < m * n$

*<proof>*

**end**

**class** *linordered-idom* = *comm-ring-1* +  
*linordered-comm-semiring-strict* + *ordered-ab-group-add* +  
*abs-if* + *sgn-if*

**begin**

**subclass** *linordered-semiring-1-strict*  $\langle \text{proof} \rangle$   
**subclass** *linordered-ring-strict*  $\langle \text{proof} \rangle$   
**subclass** *ordered-comm-ring*  $\langle \text{proof} \rangle$   
**subclass** *idom*  $\langle \text{proof} \rangle$

**subclass** *linordered-semidom*  
 $\langle \text{proof} \rangle$

**lemma** *linorder-neqE-linordered-idom*:  
**assumes**  $x \neq y$  **obtains**  $x < y \mid y < x$   
 $\langle \text{proof} \rangle$

These cancellation simprules also produce two cases when the comparison is a goal.

**lemma** *mult-le-cancel-right1*:  
 $c \leq b * c \longleftrightarrow (0 < c \longrightarrow 1 \leq b) \wedge (c < 0 \longrightarrow b \leq 1)$   
 $\langle \text{proof} \rangle$

**lemma** *mult-le-cancel-right2*:  
 $a * c \leq c \longleftrightarrow (0 < c \longrightarrow a \leq 1) \wedge (c < 0 \longrightarrow 1 \leq a)$   
 $\langle \text{proof} \rangle$

**lemma** *mult-le-cancel-left1*:  
 $c \leq c * b \longleftrightarrow (0 < c \longrightarrow 1 \leq b) \wedge (c < 0 \longrightarrow b \leq 1)$   
 $\langle \text{proof} \rangle$

**lemma** *mult-le-cancel-left2*:  
 $c * a \leq c \longleftrightarrow (0 < c \longrightarrow a \leq 1) \wedge (c < 0 \longrightarrow 1 \leq a)$   
 $\langle \text{proof} \rangle$

**lemma** *mult-less-cancel-right1*:  
 $c < b * c \longleftrightarrow (0 \leq c \longrightarrow 1 < b) \wedge (c \leq 0 \longrightarrow b < 1)$   
 $\langle \text{proof} \rangle$

**lemma** *mult-less-cancel-right2*:  
 $a * c < c \longleftrightarrow (0 \leq c \longrightarrow a < 1) \wedge (c \leq 0 \longrightarrow 1 < a)$   
 $\langle \text{proof} \rangle$

**lemma** *mult-less-cancel-left1*:  
 $c < c * b \longleftrightarrow (0 \leq c \longrightarrow 1 < b) \wedge (c \leq 0 \longrightarrow b < 1)$

$\langle proof \rangle$

**lemma** *mult-less-cancel-left2*:

$$c * a < c \longleftrightarrow (0 \leq c \longrightarrow a < 1) \wedge (c \leq 0 \longrightarrow 1 < a)$$

$\langle proof \rangle$

**lemma** *sgn-sgn [simp]*:

$$sgn (sgn a) = sgn a$$

$\langle proof \rangle$

**lemma** *sgn-0-0*:

$$sgn a = 0 \longleftrightarrow a = 0$$

$\langle proof \rangle$

**lemma** *sgn-1-pos*:

$$sgn a = 1 \longleftrightarrow a > 0$$

$\langle proof \rangle$

**lemma** *sgn-1-neg*:

$$sgn a = -1 \longleftrightarrow a < 0$$

$\langle proof \rangle$

**lemma** *sgn-pos [simp]*:

$$0 < a \implies sgn a = 1$$

$\langle proof \rangle$

**lemma** *sgn-neg [simp]*:

$$a < 0 \implies sgn a = -1$$

$\langle proof \rangle$

**lemma** *sgn-times*:

$$sgn (a * b) = sgn a * sgn b$$

$\langle proof \rangle$

**lemma** *abs-sgn*:  $|k| = k * sgn k$

$\langle proof \rangle$

**lemma** *sgn-greater [simp]*:

$$0 < sgn a \longleftrightarrow 0 < a$$

$\langle proof \rangle$

**lemma** *sgn-less [simp]*:

$$sgn a < 0 \longleftrightarrow a < 0$$

$\langle proof \rangle$

**lemma** *abs-dvd-iff [simp]*:  $|m| \text{ dvd } k \longleftrightarrow m \text{ dvd } k$

$\langle proof \rangle$

**lemma** *dvd-abs-iff [simp]*:  $m \text{ dvd } |k| \longleftrightarrow m \text{ dvd } k$



$\langle proof \rangle$

**lemma** *dvd-if-abs-eq*:

$|l| = |k| \implies l \text{ dvd } k$

$\langle proof \rangle$

**end**

Simprules for comparisons where common factors can be cancelled.

**lemmas** *mult-compare-simps*[*no-atp*] =  
*mult-le-cancel-right mult-le-cancel-left*  
*mult-le-cancel-right1 mult-le-cancel-right2*  
*mult-le-cancel-left1 mult-le-cancel-left2*  
*mult-less-cancel-right mult-less-cancel-left*  
*mult-less-cancel-right1 mult-less-cancel-right2*  
*mult-less-cancel-left1 mult-less-cancel-left2*  
*mult-cancel-right mult-cancel-left*  
*mult-cancel-right1 mult-cancel-right2*  
*mult-cancel-left1 mult-cancel-left2*

Reasoning about inequalities with division

**context** *linordered-semidom*

**begin**

**lemma** *less-add-one*:  $a < a + 1$

$\langle proof \rangle$

**lemma** *zero-less-two*:  $0 < 1 + 1$

$\langle proof \rangle$

**end**

**context** *linordered-idom*

**begin**

**lemma** *mult-right-le-one-le*:

$0 \leq x \implies 0 \leq y \implies y \leq 1 \implies x * y \leq x$

$\langle proof \rangle$

**lemma** *mult-left-le-one-le*:

$0 \leq x \implies 0 \leq y \implies y \leq 1 \implies y * x \leq x$

$\langle proof \rangle$

**end**

Absolute Value

**context** *linordered-idom*

**begin**

**lemma** *mult-sgn-abs*:

$$\text{sgn } x * |x| = x$$

*<proof>*

**lemma** *abs-one [simp]*:

$$|1| = 1$$

*<proof>*

**end**

**class** *ordered-ring-abs* = *ordered-ring* + *ordered-ab-group-add-abs* +

**assumes** *abs-eq-mult*:

$$(0 \leq a \vee a \leq 0) \wedge (0 \leq b \vee b \leq 0) \implies |a * b| = |a| * |b|$$

**context** *linordered-idom*

**begin**

**subclass** *ordered-ring-abs* *<proof>*

**lemma** *abs-mult*:

$$|a * b| = |a| * |b|$$

*<proof>*

**lemma** *abs-mult-self*:

$$|a| * |a| = a * a$$

*<proof>*

**lemma** *abs-mult-less*:

$$|a| < c \implies |b| < d \implies |a| * |b| < c * d$$

*<proof>*

**lemma** *less-minus-self-iff*:

$$a < -a \longleftrightarrow a < 0$$

*<proof>*

**lemma** *abs-less-iff*:

$$|a| < b \longleftrightarrow a < b \wedge -a < b$$

*<proof>*

**lemma** *abs-mult-pos*:

$$0 \leq x \implies |y| * x = |y * x|$$

*<proof>*

**end**

**code-modulename** *SML*

*Rings Arith*

**code-modulename** *OCaml*

*Rings Arith*

**code-modulename** *Haskell*

*Rings Arith*

**end**

## 14 Fields: Fields

**theory** *Fields*

**imports** *Rings*

**begin**

**class** *field* = *comm-ring-1* + *inverse* +  
     **assumes** *field-inverse*:  $a \neq 0 \implies \text{inverse } a * a = 1$   
     **assumes** *field-divide-inverse*:  $a / b = a * \text{inverse } b$   
**begin**

**subclass** *division-ring*

$\langle \text{proof} \rangle$

**subclass** *idom*  $\langle \text{proof} \rangle$

There is no slick version using division by zero.

**lemma** *inverse-add*:

$\llbracket a \neq 0; b \neq 0 \rrbracket$   
      $\implies \text{inverse } a + \text{inverse } b = (a + b) * \text{inverse } a * \text{inverse } b$   
 $\langle \text{proof} \rangle$

**lemma** *nonzero-mult-divide-mult-cancel-left* [*simp*, *no-atp*]:

**assumes** [*simp*]:  $b \neq 0$  **and** [*simp*]:  $c \neq 0$  **shows**  $(c*a)/(c*b) = a/b$   
 $\langle \text{proof} \rangle$

**lemma** *nonzero-mult-divide-mult-cancel-right* [*simp*, *no-atp*]:

$\llbracket b \neq 0; c \neq 0 \rrbracket \implies (a * c) / (b * c) = a / b$   
 $\langle \text{proof} \rangle$

**lemma** *times-divide-eq-left* [*simp*]:  $(b / c) * a = (b * a) / c$

$\langle \text{proof} \rangle$

These are later declared as *simp* rules.

**lemmas** *times-divide-eq* [*no-atp*] = *times-divide-eq-right times-divide-eq-left*

**lemma** *add-frac-eq*:

**assumes**  $y \neq 0$  **and**  $z \neq 0$   
     **shows**  $x / y + w / z = (x * z + w * y) / (y * z)$   
 $\langle \text{proof} \rangle$

## Special Cancellation Simprules for Division

**lemma** *nonzero-mult-divide-cancel-right* [*simp*, *no-atp*]:

$$b \neq 0 \implies a * b / b = a$$

$\langle \text{proof} \rangle$

**lemma** *nonzero-mult-divide-cancel-left* [*simp*, *no-atp*]:

$$a \neq 0 \implies a * b / a = b$$

$\langle \text{proof} \rangle$

**lemma** *nonzero-divide-mult-cancel-right* [*simp*, *no-atp*]:

$$\llbracket a \neq 0; b \neq 0 \rrbracket \implies b / (a * b) = 1 / a$$

$\langle \text{proof} \rangle$

**lemma** *nonzero-divide-mult-cancel-left* [*simp*, *no-atp*]:

$$\llbracket a \neq 0; b \neq 0 \rrbracket \implies a / (a * b) = 1 / b$$

$\langle \text{proof} \rangle$

**lemma** *nonzero-mult-divide-mult-cancel-left2* [*simp*, *no-atp*]:

$$\llbracket b \neq 0; c \neq 0 \rrbracket \implies (c * a) / (b * c) = a / b$$

$\langle \text{proof} \rangle$

**lemma** *nonzero-mult-divide-mult-cancel-right2* [*simp*, *no-atp*]:

$$\llbracket b \neq 0; c \neq 0 \rrbracket \implies (a * c) / (c * b) = a / b$$

$\langle \text{proof} \rangle$

**lemma** *add-divide-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x + y / z = (z * x + y) / z$$

$\langle \text{proof} \rangle$

**lemma** *divide-add-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x / z + y = (x + z * y) / z$$

$\langle \text{proof} \rangle$

**lemma** *diff-divide-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x - y / z = (z * x - y) / z$$

$\langle \text{proof} \rangle$

**lemma** *divide-diff-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x / z - y = (x - z * y) / z$$

$\langle \text{proof} \rangle$

**lemma** *diff-frac-eq*:

$$y \neq 0 \implies z \neq 0 \implies x / y - w / z = (x * z - w * y) / (y * z)$$

$\langle \text{proof} \rangle$

**lemma** *frac-eq-eq*:

$$y \neq 0 \implies z \neq 0 \implies (x / y = w / z) = (x * z = w * y)$$

$\langle \text{proof} \rangle$

**end**

**class** *field-inverse-zero* = *field* +  
**assumes** *field-inverse-zero*: *inverse* 0 = 0  
**begin**

**subclass** *division-ring-inverse-zero*  $\langle$ *proof* $\rangle$

This version builds in division by zero while also re-orienting the right-hand side.

**lemma** *inverse-mult-distrib* [*simp*]:  
 $\text{inverse } (a * b) = \text{inverse } a * \text{inverse } b$   
 $\langle$ *proof* $\rangle$

**lemma** *inverse-divide* [*simp*]:  
 $\text{inverse } (a / b) = b / a$   
 $\langle$ *proof* $\rangle$

Calculations with fractions

There is a whole bunch of simp-rules just for class *field* but none for class *field* and *nonzero-divides* because the latter are covered by a simproc.

**lemma** *mult-divide-mult-cancel-left*:  
 $c \neq 0 \implies (c * a) / (c * b) = a / b$   
 $\langle$ *proof* $\rangle$

**lemma** *mult-divide-mult-cancel-right*:  
 $c \neq 0 \implies (a * c) / (b * c) = a / b$   
 $\langle$ *proof* $\rangle$

**lemma** *divide-divide-eq-right* [*simp*, *no-atp*]:  
 $a / (b / c) = (a * c) / b$   
 $\langle$ *proof* $\rangle$

**lemma** *divide-divide-eq-left* [*simp*, *no-atp*]:  
 $(a / b) / c = a / (b * c)$   
 $\langle$ *proof* $\rangle$

Special Cancellation Simprules for Division

**lemma** *mult-divide-mult-cancel-left-if* [*simp*, *no-atp*]:  
**shows**  $(c * a) / (c * b) = (\text{if } c = 0 \text{ then } 0 \text{ else } a / b)$   
 $\langle$ *proof* $\rangle$

Division and Unary Minus

**lemma** *minus-divide-right*:  
 $-(a / b) = a / -b$   
 $\langle$ *proof* $\rangle$

**lemma** *divide-minus-right* [*simp*, *no-atp*]:

$$a / - b = - (a / b)$$

*<proof>*

**lemma** *minus-divide-divide*:

$$(- a) / (- b) = a / b$$

*<proof>*

**lemma** *eq-divide-eq*:

$$a = b / c \longleftrightarrow (\text{if } c \neq 0 \text{ then } a * c = b \text{ else } a = 0)$$

*<proof>*

**lemma** *divide-eq-eq*:

$$b / c = a \longleftrightarrow (\text{if } c \neq 0 \text{ then } b = a * c \text{ else } a = 0)$$

*<proof>*

**lemma** *inverse-eq-1-iff* [*simp*]:

$$\text{inverse } x = 1 \longleftrightarrow x = 1$$

*<proof>*

**lemma** *divide-eq-0-iff* [*simp*, *no-atp*]:

$$a / b = 0 \longleftrightarrow a = 0 \vee b = 0$$

*<proof>*

**lemma** *divide-cancel-right* [*simp*, *no-atp*]:

$$a / c = b / c \longleftrightarrow c = 0 \vee a = b$$

*<proof>*

**lemma** *divide-cancel-left* [*simp*, *no-atp*]:

$$c / a = c / b \longleftrightarrow c = 0 \vee a = b$$

*<proof>*

**lemma** *divide-eq-1-iff* [*simp*, *no-atp*]:

$$a / b = 1 \longleftrightarrow b \neq 0 \wedge a = b$$

*<proof>*

**lemma** *one-eq-divide-iff* [*simp*, *no-atp*]:

$$1 = a / b \longleftrightarrow b \neq 0 \wedge a = b$$

*<proof>*

**lemma** *times-divide-times-eq*:

$$(x / y) * (z / w) = (x * z) / (y * w)$$

*<proof>*

**lemma** *add-frac-num*:

$$y \neq 0 \implies x / y + z = (x + z * y) / y$$

*<proof>*

**lemma** *add-num-frac*:

$$y \neq 0 \implies z + x / y = (x + z * y) / y$$

*<proof>*

**end**

Ordered Fields

**class** *linordered-field* = *field* + *linordered-idom*  
**begin**

**lemma** *positive-imp-inverse-positive*:

**assumes** *a-gt-0*:  $0 < a$

**shows**  $0 < \text{inverse } a$

*<proof>*

**lemma** *negative-imp-inverse-negative*:

$a < 0 \implies \text{inverse } a < 0$

*<proof>*

**lemma** *inverse-le-imp-le*:

**assumes** *invle*:  $\text{inverse } a \leq \text{inverse } b$  **and** *apos*:  $0 < a$

**shows**  $b \leq a$

*<proof>*

**lemma** *inverse-positive-imp-positive*:

**assumes** *inv-gt-0*:  $0 < \text{inverse } a$  **and** *nz*:  $a \neq 0$

**shows**  $0 < a$

*<proof>*

**lemma** *inverse-negative-imp-negative*:

**assumes** *inv-less-0*:  $\text{inverse } a < 0$  **and** *nz*:  $a \neq 0$

**shows**  $a < 0$

*<proof>*

**lemma** *linordered-field-no-lb*:

$\forall x. \exists y. y < x$

*<proof>*

**lemma** *linordered-field-no-ub*:

$\forall x. \exists y. y > x$

*<proof>*

**lemma** *less-imp-inverse-less*:

**assumes** *less*:  $a < b$  **and** *apos*:  $0 < a$

**shows**  $\text{inverse } b < \text{inverse } a$

*<proof>*

**lemma** *inverse-less-imp-less*:

$\text{inverse } a < \text{inverse } b \implies 0 < a \implies b < a$

*<proof>*

Both premises are essential. Consider -1 and 1.

**lemma** *inverse-less-iff-less* [simp,no-atp]:

$$0 < a \implies 0 < b \implies \text{inverse } a < \text{inverse } b \longleftrightarrow b < a$$

*<proof>*

**lemma** *le-imp-inverse-le*:

$$a \leq b \implies 0 < a \implies \text{inverse } b \leq \text{inverse } a$$

*<proof>*

**lemma** *inverse-le-iff-le* [simp,no-atp]:

$$0 < a \implies 0 < b \implies \text{inverse } a \leq \text{inverse } b \longleftrightarrow b \leq a$$

*<proof>*

These results refer to both operands being negative. The opposite-sign case is trivial, since inverse preserves signs.

**lemma** *inverse-le-imp-le-neg*:

$$\text{inverse } a \leq \text{inverse } b \implies b < 0 \implies b \leq a$$

*<proof>*

**lemma** *less-imp-inverse-less-neg*:

$$a < b \implies b < 0 \implies \text{inverse } b < \text{inverse } a$$

*<proof>*

**lemma** *inverse-less-imp-less-neg*:

$$\text{inverse } a < \text{inverse } b \implies b < 0 \implies b < a$$

*<proof>*

**lemma** *inverse-less-iff-less-neg* [simp,no-atp]:

$$a < 0 \implies b < 0 \implies \text{inverse } a < \text{inverse } b \longleftrightarrow b < a$$

*<proof>*

**lemma** *le-imp-inverse-le-neg*:

$$a \leq b \implies b < 0 \implies \text{inverse } b \leq \text{inverse } a$$

*<proof>*

**lemma** *inverse-le-iff-le-neg* [simp,no-atp]:

$$a < 0 \implies b < 0 \implies \text{inverse } a \leq \text{inverse } b \longleftrightarrow b \leq a$$

*<proof>*

**lemma** *one-less-inverse*:

$$0 < a \implies a < 1 \implies 1 < \text{inverse } a$$

*<proof>*

**lemma** *one-le-inverse*:

$$0 < a \implies a \leq 1 \implies 1 \leq \text{inverse } a$$

*<proof>*

**lemma** *pos-le-divide-eq* [field-simps]:  $0 < c \implies (a \leq b/c) = (a*c \leq b)$

*<proof>*



**lemma** *neg-le-divide-eq* [*field-simps*]:  $c < 0 \implies (a \leq b/c) = (b \leq a*c)$   
 $\langle \text{proof} \rangle$

**lemma** *pos-less-divide-eq* [*field-simps*]:  
 $0 < c \implies (a < b/c) = (a*c < b)$   
 $\langle \text{proof} \rangle$

**lemma** *neg-less-divide-eq* [*field-simps*]:  
 $c < 0 \implies (a < b/c) = (b < a*c)$   
 $\langle \text{proof} \rangle$

**lemma** *pos-divide-less-eq* [*field-simps*]:  
 $0 < c \implies (b/c < a) = (b < a*c)$   
 $\langle \text{proof} \rangle$

**lemma** *neg-divide-less-eq* [*field-simps*]:  
 $c < 0 \implies (b/c < a) = (a*c < b)$   
 $\langle \text{proof} \rangle$

**lemma** *pos-divide-le-eq* [*field-simps*]:  $0 < c \implies (b/c \leq a) = (b \leq a*c)$   
 $\langle \text{proof} \rangle$

**lemma** *neg-divide-le-eq* [*field-simps*]:  $c < 0 \implies (b/c \leq a) = (a*c \leq b)$   
 $\langle \text{proof} \rangle$

Lemmas *sign-simps* is a first attempt to automate proofs of positivity/negativity needed for *field-simps*. Have not added *sign-simps* to *field-simps* because the former can lead to case explosions.

**lemmas** *sign-simps* [*no-atp*] = *algebra-simps*  
*zero-less-mult-iff mult-less-0-iff*

**lemmas** (in  $-$ ) *sign-simps* [*no-atp*] = *algebra-simps*  
*zero-less-mult-iff mult-less-0-iff*

**lemma** *divide-pos-pos*:  
 $0 < x \implies 0 < y \implies 0 < x / y$   
 $\langle \text{proof} \rangle$

**lemma** *divide-nonneg-pos*:  
 $0 \leq x \implies 0 < y \implies 0 \leq x / y$   
 $\langle \text{proof} \rangle$

**lemma** *divide-neg-pos*:  
 $x < 0 \implies 0 < y \implies x / y < 0$   
 $\langle \text{proof} \rangle$

**lemma** *divide-nonpos-pos*:

$$x \leq 0 \implies 0 < y \implies x / y \leq 0$$

*<proof>*

**lemma** *divide-pos-neg*:

$$0 < x \implies y < 0 \implies x / y < 0$$

*<proof>*

**lemma** *divide-nonneg-neg*:

$$0 \leq x \implies y < 0 \implies x / y \leq 0$$

*<proof>*

**lemma** *divide-neg-neg*:

$$x < 0 \implies y < 0 \implies 0 < x / y$$

*<proof>*

**lemma** *divide-nonpos-neg*:

$$x \leq 0 \implies y < 0 \implies 0 \leq x / y$$

*<proof>*

**lemma** *divide-strict-right-mono*:

$$[|a < b; 0 < c|] \implies a / c < b / c$$

*<proof>*

**lemma** *divide-strict-right-mono-neg*:

$$[|b < a; c < 0|] \implies a / c < b / c$$

*<proof>*

The last premise ensures that  $a$  and  $b$  have the same sign

**lemma** *divide-strict-left-mono*:

$$[|b < a; 0 < c; 0 < a*b|] \implies c / a < c / b$$

*<proof>*

**lemma** *divide-left-mono*:

$$[|b \leq a; 0 \leq c; 0 < a*b|] \implies c / a \leq c / b$$

*<proof>*

**lemma** *divide-strict-left-mono-neg*:

$$[|a < b; c < 0; 0 < a*b|] \implies c / a < c / b$$

*<proof>*

**lemma** *mult-imp-div-pos-le*:  $0 < y \implies x \leq z * y \implies$

$$x / y \leq z$$

*<proof>*

**lemma** *mult-imp-le-div-pos*:  $0 < y \implies z * y \leq x \implies$

$$z \leq x / y$$

*<proof>*

**lemma** *mult-imp-div-pos-less*:  $0 < y \implies x < z * y \implies$   
 $x / y < z$   
 $\langle proof \rangle$

**lemma** *mult-imp-less-div-pos*:  $0 < y \implies z * y < x \implies$   
 $z < x / y$   
 $\langle proof \rangle$

**lemma** *frac-le*:  $0 \leq x \implies$   
 $x \leq y \implies 0 < w \implies w \leq z \implies x / z \leq y / w$   
 $\langle proof \rangle$

**lemma** *frac-less*:  $0 \leq x \implies$   
 $x < y \implies 0 < w \implies w \leq z \implies x / z < y / w$   
 $\langle proof \rangle$

**lemma** *frac-less2*:  $0 < x \implies$   
 $x \leq y \implies 0 < w \implies w < z \implies x / z < y / w$   
 $\langle proof \rangle$

It’s not obvious whether these should be simprules or not. Their effect is to gather terms into one big fraction, like  $a*b*c / x*y*z$ . The rationale for that is unclear, but many proofs seem to need them.

**lemma** *less-half-sum*:  $a < b \implies a < (a+b) / (1+1)$   
 $\langle proof \rangle$

**lemma** *gt-half-sum*:  $a < b \implies (a+b)/(1+1) < b$   
 $\langle proof \rangle$

**subclass** *dense-linorder*  
 $\langle proof \rangle$

**lemma** *nonzero-abs-inverse*:  
 $a \neq 0 \implies |inverse\ a| = inverse\ |a|$   
 $\langle proof \rangle$

**lemma** *nonzero-abs-divide*:  
 $b \neq 0 \implies |a / b| = |a| / |b|$   
 $\langle proof \rangle$

**lemma** *field-le-epsilon*:  
**assumes**  $e: \bigwedge e. 0 < e \implies x \leq y + e$   
**shows**  $x \leq y$   
 $\langle proof \rangle$

**end**

**class** *linordered-field-inverse-zero* = *linordered-field* + *field-inverse-zero*

**begin**

**lemma** *le-divide-eq*:

$$(a \leq b/c) =$$

$$(if\ 0 < c\ then\ a*c \leq b$$

$$\quad\quad\quad else\ if\ c < 0\ then\ b \leq a*c$$

$$\quad\quad\quad else\ a \leq 0)$$

$\langle proof \rangle$

**lemma** *inverse-positive-iff-positive* [simp]:

$$(0 < inverse\ a) = (0 < a)$$

$\langle proof \rangle$

**lemma** *inverse-negative-iff-negative* [simp]:

$$(inverse\ a < 0) = (a < 0)$$

$\langle proof \rangle$

**lemma** *inverse-nonnegative-iff-nonnegative* [simp]:

$$0 \leq inverse\ a \longleftrightarrow 0 \leq a$$

$\langle proof \rangle$

**lemma** *inverse-nonpositive-iff-nonpositive* [simp]:

$$inverse\ a \leq 0 \longleftrightarrow a \leq 0$$

$\langle proof \rangle$

**lemma** *one-less-inverse-iff*:

$$1 < inverse\ x \longleftrightarrow 0 < x \wedge x < 1$$

$\langle proof \rangle$

**lemma** *one-le-inverse-iff*:

$$1 \leq inverse\ x \longleftrightarrow 0 < x \wedge x \leq 1$$

$\langle proof \rangle$

**lemma** *inverse-less-1-iff*:

$$inverse\ x < 1 \longleftrightarrow x \leq 0 \vee 1 < x$$

$\langle proof \rangle$

**lemma** *inverse-le-1-iff*:

$$inverse\ x \leq 1 \longleftrightarrow x \leq 0 \vee 1 \leq x$$

$\langle proof \rangle$

**lemma** *divide-le-eq*:

$$(b/c \leq a) =$$

$$(if\ 0 < c\ then\ b \leq a*c$$

$$\quad\quad\quad else\ if\ c < 0\ then\ a*c \leq b$$

$$\quad\quad\quad else\ 0 \leq a)$$

$\langle proof \rangle$

**lemma** *less-divide-eq*:

$$(a < b/c) =$$

$$(if\ 0 < c\ then\ a*c < b$$

$$\quad\quad\quad else\ if\ c < 0\ then\ b < a*c$$

$$\quad\quad\quad else\ a < 0)$$

$\langle proof \rangle$

**lemma** *divide-less-eq*:

$$(b/c < a) =$$

$$(if\ 0 < c\ then\ b < a*c$$

$$\quad\quad\quad else\ if\ c < 0\ then\ a*c < b$$

$$\quad\quad\quad else\ 0 < a)$$

$\langle proof \rangle$

### Division and Signs

**lemma** *zero-less-divide-iff*:

$$(0 < a/b) = (0 < a \ \&\ 0 < b \mid a < 0 \ \&\ b < 0)$$

$\langle proof \rangle$

**lemma** *divide-less-0-iff*:

$$(a/b < 0) =$$

$$(0 < a \ \&\ b < 0 \mid a < 0 \ \&\ 0 < b)$$

$\langle proof \rangle$

**lemma** *zero-le-divide-iff*:

$$(0 \leq a/b) =$$

$$(0 \leq a \ \&\ 0 \leq b \mid a \leq 0 \ \&\ b \leq 0)$$

$\langle proof \rangle$

**lemma** *divide-le-0-iff*:

$$(a/b \leq 0) =$$

$$(0 \leq a \ \&\ b \leq 0 \mid a \leq 0 \ \&\ 0 \leq b)$$

$\langle proof \rangle$

### Division and the Number One

Simplify expressions equated with 1

**lemma** *zero-eq-1-divide-iff* [*simp, no-atp*]:

$$(0 = 1/a) = (a = 0)$$

$\langle proof \rangle$

**lemma** *one-divide-eq-0-iff* [*simp, no-atp*]:

$$(1/a = 0) = (a = 0)$$

$\langle proof \rangle$

Simplify expressions such as  $0 < 1/x$  to  $0 < x$

**lemma** *zero-le-divide-1-iff* [*simp, no-atp*]:

$$0 \leq 1/a \iff 0 \leq a$$

$\langle proof \rangle$

**lemma** *zero-less-divide-1-iff* [*simp, no-atp*]:

$$0 < 1 / a \iff 0 < a$$

*<proof>*

**lemma** *divide-le-0-1-iff* [*simp, no-atp*]:

$$1 / a \leq 0 \iff a \leq 0$$

*<proof>*

**lemma** *divide-less-0-1-iff* [*simp, no-atp*]:

$$1 / a < 0 \iff a < 0$$

*<proof>*

**lemma** *divide-right-mono*:

$$[|a \leq b; 0 \leq c|] \implies a/c \leq b/c$$

*<proof>*

**lemma** *divide-right-mono-neg*:  $a \leq b$

$$\implies c \leq 0 \implies b / c \leq a / c$$

*<proof>*

**lemma** *divide-left-mono-neg*:  $a \leq b$

$$\implies c \leq 0 \implies 0 < a * b \implies c / a \leq c / b$$

*<proof>*

Simplify quotients that are compared with the value 1.

**lemma** *le-divide-eq-1* [*no-atp*]:

$$(1 \leq b / a) = ((0 < a \ \& \ a \leq b) \mid (a < 0 \ \& \ b \leq a))$$

*<proof>*

**lemma** *divide-le-eq-1* [*no-atp*]:

$$(b / a \leq 1) = ((0 < a \ \& \ b \leq a) \mid (a < 0 \ \& \ a \leq b) \mid a=0)$$

*<proof>*

**lemma** *less-divide-eq-1* [*no-atp*]:

$$(1 < b / a) = ((0 < a \ \& \ a < b) \mid (a < 0 \ \& \ b < a))$$

*<proof>*

**lemma** *divide-less-eq-1* [*no-atp*]:

$$(b / a < 1) = ((0 < a \ \& \ b < a) \mid (a < 0 \ \& \ a < b) \mid a=0)$$

*<proof>*

Conditional Simplification Rules: No Case Splits

**lemma** *le-divide-eq-1-pos* [*simp, no-atp*]:

$$0 < a \implies (1 \leq b/a) = (a \leq b)$$

*<proof>*

**lemma** *le-divide-eq-1-neg* [*simp, no-atp*]:

$$a < 0 \implies (1 \leq b/a) = (b \leq a)$$

*<proof>*

**lemma** *divide-le-eq-1-pos* [*simp,no-atp*]:

$$0 < a \implies (b/a \leq 1) = (b \leq a)$$

*<proof>*

**lemma** *divide-le-eq-1-neg* [*simp,no-atp*]:

$$a < 0 \implies (b/a \leq 1) = (a \leq b)$$

*<proof>*

**lemma** *less-divide-eq-1-pos* [*simp,no-atp*]:

$$0 < a \implies (1 < b/a) = (a < b)$$

*<proof>*

**lemma** *less-divide-eq-1-neg* [*simp,no-atp*]:

$$a < 0 \implies (1 < b/a) = (b < a)$$

*<proof>*

**lemma** *divide-less-eq-1-pos* [*simp,no-atp*]:

$$0 < a \implies (b/a < 1) = (b < a)$$

*<proof>*

**lemma** *divide-less-eq-1-neg* [*simp,no-atp*]:

$$a < 0 \implies b/a < 1 \iff a < b$$

*<proof>*

**lemma** *eq-divide-eq-1* [*simp,no-atp*]:

$$(1 = b/a) = ((a \neq 0 \ \& \ a = b))$$

*<proof>*

**lemma** *divide-eq-eq-1* [*simp,no-atp*]:

$$(b/a = 1) = ((a \neq 0 \ \& \ a = b))$$

*<proof>*

**lemma** *abs-inverse* [*simp*]:

$$|inverse \ a| =$$

$$inverse \ |a|$$

*<proof>*

**lemma** *abs-divide* [*simp*]:

$$|a / b| = |a| / |b|$$

*<proof>*

**lemma** *abs-div-pos*:  $0 < y \implies$

$$|x| / y = |x / y|$$

*<proof>*

**lemma** *field-le-mult-one-interval*:

**assumes** \*:  $\bigwedge z. \llbracket 0 < z ; z < 1 \rrbracket \implies z * x \leq y$   
**shows**  $x \leq y$

*<proof>*

**end**

**code-modulename** *SML*  
*Fields Arith*

**code-modulename** *OCaml*  
*Fields Arith*

**code-modulename** *Haskell*  
*Fields Arith*

**end**

## 15 Nat: Natural numbers

**theory** *Nat*  
**imports** *Inductive Typedef Fun Fields*  
**uses**  
 ~~/src/Tools/rat.ML  
 ~~/src/Provers/Arith/cancel-sums.ML  
 Tools/arith-data.ML  
 (Tools/nat-arith.ML)  
 ~~/src/Provers/Arith/fast-lin-arith.ML  
 (Tools/lin-arith.ML)  
**begin**

### 15.1 Type *ind*

**typedec1** *ind*

**axiomatization**  
*Zero-Rep* :: *ind* **and**  
*Suc-Rep* :: *ind* ==> *ind*  
**where**  
 — the axiom of infinity in 2 parts  
*Suc-Rep-inject*: *Suc-Rep* *x* = *Suc-Rep* *y* ==> *x* = *y* **and**  
*Suc-Rep-not-Zero-Rep*: *Suc-Rep* *x* ≠ *Zero-Rep*

### 15.2 Type *nat*

Type definition

**inductive** *Nat* :: *ind* ⇒ *bool*  
**where**

*Zero-RepI*: *Nat* *Zero-Rep*  
 | *Suc-RepI*: *Nat* *i* ⇒ *Nat* (*Suc-Rep* *i*)



**global**

**typedef** (**open** *Nat*)  
   *nat* = *Nat*  
   ⟨*proof*⟩

**definition** *Suc* :: *nat* => *nat* **where**  
   *Suc-def*: *Suc* == (%*n*. *Abs-Nat* (*Suc-Rep* (*Rep-Nat* *n*)))

**local**

**instantiation** *nat* :: *zero*  
**begin**

**definition** *Zero-nat-def* [*code del*]:  
   0 = *Abs-Nat* *Zero-Rep*

**instance** ⟨*proof*⟩

**end**

**lemma** *Suc-not-Zero*: *Suc m* ≠ 0  
   ⟨*proof*⟩

**lemma** *Zero-not-Suc*: 0 ≠ *Suc m*  
   ⟨*proof*⟩

**lemma** *Suc-Rep-inject'*: *Suc-Rep x* = *Suc-Rep y* ⟷ *x* = *y*  
   ⟨*proof*⟩

**rep-datatype** 0 :: *nat* *Suc*  
   ⟨*proof*⟩

**lemma** *nat-induct* [*case-names* 0 *Suc*, *induct type*: *nat*]:  
   — for backward compatibility – names of variables differ  
   **fixes** *n*  
   **assumes** *P* 0  
     **and**  $\bigwedge^n. P\ n \implies P\ (Suc\ n)$   
   **shows** *P n*  
   ⟨*proof*⟩

**declare** *nat.exhaust* [*case-names* 0 *Suc*, *cases type*: *nat*]

**lemmas** *nat-rec-0* = *nat.recs*(1)  
   **and** *nat-rec-Suc* = *nat.recs*(2)

**lemmas** *nat-case-0* = *nat.cases*(1)  
   **and** *nat-case-Suc* = *nat.cases*(2)

Injectiveness and distinctness lemmas

**lemma** *inj-Suc[simp]*: *inj-on Suc N*  
 $\langle proof \rangle$

**lemma** *Suc-neq-Zero*:  $Suc\ m = 0 \implies R$   
 $\langle proof \rangle$

**lemma** *Zero-neq-Suc*:  $0 = Suc\ m \implies R$   
 $\langle proof \rangle$

**lemma** *Suc-inject*:  $Suc\ x = Suc\ y \implies x = y$   
 $\langle proof \rangle$

**lemma** *n-not-Suc-n*:  $n \neq Suc\ n$   
 $\langle proof \rangle$

**lemma** *Suc-n-not-n*:  $Suc\ n \neq n$   
 $\langle proof \rangle$

A special form of induction for reasoning about  $m < n$  and  $m - n$

**lemma** *diff-induct*:  $(!!x. P\ x\ 0) \implies (!!y. P\ 0\ (Suc\ y)) \implies$   
 $(!!x\ y. P\ x\ y \implies P\ (Suc\ x)\ (Suc\ y)) \implies P\ m\ n$   
 $\langle proof \rangle$

### 15.3 Arithmetic operators

**instantiation** *nat* :: {*minus*, *comm-monoid-add*}  
**begin**

**primrec** *plus-nat*

**where**

*add-0*:  $0 + n = (n::nat)$   
 $|$  *add-Suc*:  $Suc\ m + n = Suc\ (m + n)$

**lemma** *add-0-right [simp]*:  $m + 0 = (m::nat)$   
 $\langle proof \rangle$

**lemma** *add-Suc-right [simp]*:  $m + Suc\ n = Suc\ (m + n)$   
 $\langle proof \rangle$

**declare** *add-0 [code]*

**lemma** *add-Suc-shift [code]*:  $Suc\ m + n = m + Suc\ n$   
 $\langle proof \rangle$

**primrec** *minus-nat*

**where**

*diff-0*:  $m - 0 = (m::nat)$   
 $|$  *diff-Suc*:  $m - Suc\ n = (case\ m - n\ of\ 0 \implies 0 \mid Suc\ k \implies k)$

```

declare diff-Suc [simp del]
declare diff-0 [code]

lemma diff-0-eq-0 [simp, code]:  $0 - n = (0::nat)$ 
   $\langle proof \rangle$ 

lemma diff-Suc-Suc [simp, code]:  $Suc\ m - Suc\ n = m - n$ 
   $\langle proof \rangle$ 

instance  $\langle proof \rangle$ 

end

hide-fact (open) add-0 add-0-right diff-0

instantiation nat :: comm-semiring-1-cancel
begin

definition
  One-nat-def [simp]:  $1 = Suc\ 0$ 

primrec times-nat
where
  mult-0:  $0 * n = (0::nat)$ 
  | mult-Suc:  $Suc\ m * n = n + (m * n)$ 

lemma mult-0-right [simp]:  $(m::nat) * 0 = 0$ 
   $\langle proof \rangle$ 

lemma mult-Suc-right [simp]:  $m * Suc\ n = m + (m * n)$ 
   $\langle proof \rangle$ 

lemma add-mult-distrib:  $(m + n) * k = (m * k) + ((n * k)::nat)$ 
   $\langle proof \rangle$ 

instance  $\langle proof \rangle$ 

end

15.3.1 Addition

lemma nat-add-assoc:  $(m + n) + k = m + ((n + k)::nat)$ 
   $\langle proof \rangle$ 

lemma nat-add-commute:  $m + n = n + (m::nat)$ 
   $\langle proof \rangle$ 

lemma nat-add-left-commute:  $x + (y + z) = y + ((x + z)::nat)$ 

```

$\langle proof \rangle$

**lemma** *nat-add-left-cancel* [simp]:  $(k + m = k + n) = (m = (n::nat))$   
 $\langle proof \rangle$

**lemma** *nat-add-right-cancel* [simp]:  $(m + k = n + k) = (m = (n::nat))$   
 $\langle proof \rangle$

Reasoning about  $m + 0 = 0$ , etc.

**lemma** *add-is-0* [iff]:  
**fixes**  $m\ n :: nat$   
**shows**  $(m + n = 0) = (m = 0 \ \& \ n = 0)$   
 $\langle proof \rangle$

**lemma** *add-is-1*:  
 $(m+n = Suc\ 0) = (m = Suc\ 0 \ \& \ n=0 \mid m=0 \ \& \ n = Suc\ 0)$   
 $\langle proof \rangle$

**lemma** *one-is-add*:  
 $(Suc\ 0 = m + n) = (m = Suc\ 0 \ \& \ n = 0 \mid m = 0 \ \& \ n = Suc\ 0)$   
 $\langle proof \rangle$

**lemma** *add-eq-self-zero*:  
**fixes**  $m\ n :: nat$   
**shows**  $m + n = m \implies n = 0$   
 $\langle proof \rangle$

**lemma** *inj-on-add-nat*[simp]: *inj-on*  $(\%n::nat. n+k)\ N$   
 $\langle proof \rangle$

### 15.3.2 Difference

**lemma** *diff-self-eq-0* [simp]:  $(m::nat) - m = 0$   
 $\langle proof \rangle$

**lemma** *diff-diff-left*:  $(i::nat) - j - k = i - (j + k)$   
 $\langle proof \rangle$

**lemma** *Suc-diff-diff* [simp]:  $(Suc\ m - n) - Suc\ k = m - n - k$   
 $\langle proof \rangle$

**lemma** *diff-commute*:  $(i::nat) - j - k = i - k - j$   
 $\langle proof \rangle$

**lemma** *diff-add-inverse*:  $(n + m) - n = (m::nat)$   
 $\langle proof \rangle$

**lemma** *diff-add-inverse2*:  $(m + n) - n = (m::nat)$   
 $\langle proof \rangle$

**lemma** *diff-cancel*:  $(k + m) - (k + n) = m - (n::nat)$   
 $\langle proof \rangle$

**lemma** *diff-cancel2*:  $(m + k) - (n + k) = m - (n::nat)$   
 $\langle proof \rangle$

**lemma** *diff-add-0*:  $n - (n + m) = (0::nat)$   
 $\langle proof \rangle$

**lemma** *diff-Suc-1* [simp]:  $Suc\ n - 1 = n$   
 $\langle proof \rangle$

Difference distributes over multiplication

**lemma** *diff-mult-distrib*:  $((m::nat) - n) * k = (m * k) - (n * k)$   
 $\langle proof \rangle$

**lemma** *diff-mult-distrib2*:  $k * ((m::nat) - n) = (k * m) - (k * n)$   
 $\langle proof \rangle$

### 15.3.3 Multiplication

**lemma** *nat-mult-assoc*:  $(m * n) * k = m * ((n * k)::nat)$   
 $\langle proof \rangle$

**lemma** *nat-mult-commute*:  $m * n = n * (m::nat)$   
 $\langle proof \rangle$

**lemma** *add-mult-distrib2*:  $k * (m + n) = (k * m) + ((k * n)::nat)$   
 $\langle proof \rangle$

**lemma** *mult-is-0* [simp]:  $((m::nat) * n = 0) = (m=0 \mid n=0)$   
 $\langle proof \rangle$

**lemmas** *nat-distrib* =  
*add-mult-distrib add-mult-distrib2 diff-mult-distrib diff-mult-distrib2*

**lemma** *mult-eq-1-iff* [simp]:  $(m * n = Suc\ 0) = (m = Suc\ 0 \ \& \ n = Suc\ 0)$   
 $\langle proof \rangle$

**lemma** *one-eq-mult-iff* [simp,no-atp]:  $(Suc\ 0 = m * n) = (m = Suc\ 0 \ \& \ n = Suc\ 0)$   
 $\langle proof \rangle$

**lemma** *nat-mult-eq-1-iff* [simp]:  $m * n = (1::nat) \longleftrightarrow m = 1 \ \wedge \ n = 1$   
 $\langle proof \rangle$

**lemma** *nat-1-eq-mult-iff* [simp]:  $(1::nat) = m * n \longleftrightarrow m = 1 \ \wedge \ n = 1$   
 $\langle proof \rangle$

**lemma** *mult-cancel1* [simp]:  $(k * m = k * n) = (m = n \mid (k = (0::nat)))$   
 $\langle proof \rangle$

**lemma** *mult-cancel2* [simp]:  $(m * k = n * k) = (m = n \mid (k = (0::nat)))$   
 $\langle proof \rangle$

**lemma** *Suc-mult-cancel1*:  $(Suc\ k * m = Suc\ k * n) = (m = n)$   
 $\langle proof \rangle$

## 15.4 Orders on *nat*

### 15.4.1 Operation definition

**instantiation** *nat* :: *linorder*  
**begin**

**primrec** *less-eq-nat* **where**  
 $(0::nat) \leq n \longleftrightarrow True$   
 $\mid Suc\ m \leq n \longleftrightarrow (case\ n\ of\ 0 \Rightarrow False \mid Suc\ n \Rightarrow m \leq n)$

**declare** *less-eq-nat.simps* [simp del]  
**lemma** [code]:  $(0::nat) \leq n \longleftrightarrow True$   $\langle proof \rangle$   
**lemma** *le0* [iff]:  $0 \leq (n::nat)$   $\langle proof \rangle$

**definition** *less-nat* **where**  
 $less-eq-Suc-le: n < m \longleftrightarrow Suc\ n \leq m$

**lemma** *Suc-le-mono* [iff]:  $Suc\ n \leq Suc\ m \longleftrightarrow n \leq m$   
 $\langle proof \rangle$

**lemma** *Suc-le-eq* [code]:  $Suc\ m \leq n \longleftrightarrow m < n$   
 $\langle proof \rangle$

**lemma** *le-0-eq* [iff]:  $(n::nat) \leq 0 \longleftrightarrow n = 0$   
 $\langle proof \rangle$

**lemma** *not-less0* [iff]:  $\neg n < (0::nat)$   
 $\langle proof \rangle$

**lemma** *less-nat-zero-code* [code]:  $n < (0::nat) \longleftrightarrow False$   
 $\langle proof \rangle$

**lemma** *Suc-less-eq* [iff]:  $Suc\ m < Suc\ n \longleftrightarrow m < n$   
 $\langle proof \rangle$

**lemma** *less-Suc-eq-le* [code]:  $m < Suc\ n \longleftrightarrow m \leq n$   
 $\langle proof \rangle$

**lemma** *le-SucI*:  $m \leq n \implies m \leq Suc\ n$

$\langle proof \rangle$

**lemma** *Suc-leD*:  $Suc\ m \leq n \implies m \leq n$   
 $\langle proof \rangle$

**lemma** *less-SucI*:  $m < n \implies m < Suc\ n$   
 $\langle proof \rangle$

**lemma** *Suc-lessD*:  $Suc\ m < n \implies m < n$   
 $\langle proof \rangle$

**instance**  
 $\langle proof \rangle$

**end**

**instantiation** *nat* :: *bot*  
**begin**

**definition** *bot-nat* :: *nat* **where**  
*bot-nat* = 0

**instance**  $\langle proof \rangle$

**end**

#### 15.4.2 Introduction properties

**lemma** *lessI* [*iff*]:  $n < Suc\ n$   
 $\langle proof \rangle$

**lemma** *zero-less-Suc* [*iff*]:  $0 < Suc\ n$   
 $\langle proof \rangle$

#### 15.4.3 Elimination properties

**lemma** *less-not-refl*:  $\sim n < (n::nat)$   
 $\langle proof \rangle$

**lemma** *less-not-refl2*:  $n < m \implies m \neq (n::nat)$   
 $\langle proof \rangle$

**lemma** *less-not-refl3*:  $(s::nat) < t \implies s \neq t$   
 $\langle proof \rangle$

**lemma** *less-irrefl-nat*:  $(n::nat) < n \implies R$   
 $\langle proof \rangle$

**lemma** *less-zeroE*:  $(n::nat) < 0 \implies R$   
 $\langle proof \rangle$

**lemma** *less-Suc-eq*:  $(m < \text{Suc } n) = (m < n \mid m = n)$   
 $\langle \text{proof} \rangle$

**lemma** *less-Suc0* [iff]:  $(n < \text{Suc } 0) = (n = 0)$   
 $\langle \text{proof} \rangle$

**lemma** *less-one* [iff, no-atp]:  $(n < (1::\text{nat})) = (n = 0)$   
 $\langle \text{proof} \rangle$

**lemma** *Suc-mono*:  $m < n \implies \text{Suc } m < \text{Suc } n$   
 $\langle \text{proof} \rangle$

”Less than” is antisymmetric, sort of

**lemma** *less-antisym*:  $\llbracket \neg n < m; n < \text{Suc } m \rrbracket \implies m = n$   
 $\langle \text{proof} \rangle$

**lemma** *nat-neq-iff*:  $((m::\text{nat}) \neq n) = (m < n \mid n < m)$   
 $\langle \text{proof} \rangle$

**lemma** *nat-less-cases*: **assumes** *major*:  $(m::\text{nat}) < n \implies P \ n \ m$   
**and** *eqCase*:  $m = n \implies P \ n \ m$  **and** *lessCase*:  $n < m \implies P \ n \ m$   
**shows**  $P \ n \ m$   
 $\langle \text{proof} \rangle$

#### 15.4.4 Inductive (?) properties

**lemma** *Suc-lessI*:  $m < n \implies \text{Suc } m \neq n \implies \text{Suc } m < n$   
 $\langle \text{proof} \rangle$

**lemma** *lessE*:  
**assumes** *major*:  $i < k$   
**and** *p1*:  $k = \text{Suc } i \implies P$  **and** *p2*:  $\forall j. i < j \implies k = \text{Suc } j \implies P$   
**shows**  $P$   
 $\langle \text{proof} \rangle$

**lemma** *less-SucE*: **assumes** *major*:  $m < \text{Suc } n$   
**and** *less*:  $m < n \implies P$  **and** *eq*:  $m = n \implies P$  **shows**  $P$   
 $\langle \text{proof} \rangle$

**lemma** *Suc-lessE*: **assumes** *major*:  $\text{Suc } i < k$   
**and** *minor*:  $\forall j. i < j \implies k = \text{Suc } j \implies P$  **shows**  $P$   
 $\langle \text{proof} \rangle$

**lemma** *Suc-less-SucD*:  $\text{Suc } m < \text{Suc } n \implies m < n$   
 $\langle \text{proof} \rangle$

**lemma** *less-trans-Suc*:  
**assumes** *le*:  $i < j$  **shows**  $j < k \implies \text{Suc } i < k$



$\langle \text{proof} \rangle$

Can be used with *less-Suc-eq* to get  $n = m \vee n < m$

**lemma** *not-less-eq*:  $\neg m < n \longleftrightarrow n < \text{Suc } m$   
 $\langle \text{proof} \rangle$

**lemma** *not-less-eq-eq*:  $\neg m \leq n \longleftrightarrow \text{Suc } n \leq m$   
 $\langle \text{proof} \rangle$

Properties of ”less than or equal”

**lemma** *le-imp-less-Suc*:  $m \leq n \implies m < \text{Suc } n$   
 $\langle \text{proof} \rangle$

**lemma** *Suc-n-not-le-n*:  $\sim \text{Suc } n \leq n$   
 $\langle \text{proof} \rangle$

**lemma** *le-Suc-eq*:  $(m \leq \text{Suc } n) = (m \leq n \mid m = \text{Suc } n)$   
 $\langle \text{proof} \rangle$

**lemma** *le-SucE*:  $m \leq \text{Suc } n \implies (m \leq n \implies R) \implies (m = \text{Suc } n \implies R)$   
 $\implies R$   
 $\langle \text{proof} \rangle$

**lemma** *Suc-leI*:  $m < n \implies \text{Suc}(m) \leq n$   
 $\langle \text{proof} \rangle$

Stronger version of *Suc-leD*

**lemma** *Suc-le-lessD*:  $\text{Suc } m \leq n \implies m < n$   
 $\langle \text{proof} \rangle$

**lemma** *less-imp-le-nat*:  $m < n \implies m \leq (n::\text{nat})$   
 $\langle \text{proof} \rangle$

For instance,  $(\text{Suc } m < \text{Suc } n) = (\text{Suc } m \leq n) = (m < n)$

**lemmas** *le-simps* = *less-imp-le-nat less-Suc-eq-le Suc-le-eq*

Equivalence of  $m \leq n$  and  $m < n \vee m = n$

**lemma** *less-or-eq-imp-le*:  $m < n \mid m = n \implies m \leq (n::\text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** *le-eq-less-or-eq*:  $(m \leq (n::\text{nat})) = (m < n \mid m = n)$   
 $\langle \text{proof} \rangle$

Useful with *blast*.

**lemma** *eq-imp-le*:  $(m::\text{nat}) = n \implies m \leq n$   
 $\langle \text{proof} \rangle$

**lemma** *le-refl*:  $n \leq (n::\text{nat})$

$\langle proof \rangle$

**lemma** *le-trans*:  $[i \leq j; j \leq k] \implies i \leq (k::nat)$   
 $\langle proof \rangle$

**lemma** *le-antisym*:  $[m \leq n; n \leq m] \implies m = (n::nat)$   
 $\langle proof \rangle$

**lemma** *nat-less-le*:  $((m::nat) < n) = (m \leq n \ \& \ m \neq n)$   
 $\langle proof \rangle$

**lemma** *le-neg-implies-less*:  $(m::nat) \leq n \implies m \neq n \implies m < n$   
 $\langle proof \rangle$

**lemma** *nat-le-linear*:  $(m::nat) \leq n \mid n \leq m$   
 $\langle proof \rangle$

**lemmas** *linorder-negE-nat* = *linorder-negE* [**where** 'a = nat]

**lemma** *le-less-Suc-eq*:  $m \leq n \implies (n < Suc \ m) = (n = m)$   
 $\langle proof \rangle$

**lemma** *not-less-less-Suc-eq*:  $\sim n < m \implies (n < Suc \ m) = (n = m)$   
 $\langle proof \rangle$

**lemmas** *not-less-simps* = *not-less-less-Suc-eq* *le-less-Suc-eq*

These two rules ease the use of primitive recursion. NOTE USE OF ==

**lemma** *def-nat-rec-0*:  $(!!n. f \ n == nat-rec \ c \ h \ n) \implies f \ 0 = c$   
 $\langle proof \rangle$

**lemma** *def-nat-rec-Suc*:  $(!!n. f \ n == nat-rec \ c \ h \ n) \implies f \ (Suc \ n) = h \ n \ (f \ n)$   
 $\langle proof \rangle$

**lemma** *not0-implies-Suc*:  $n \neq 0 \implies \exists m. n = Suc \ m$   
 $\langle proof \rangle$

**lemma** *gr0-implies-Suc*:  $n > 0 \implies \exists m. n = Suc \ m$   
 $\langle proof \rangle$

**lemma** *gr-implies-not0*: **fixes**  $n :: nat$  **shows**  $m < n \implies n \neq 0$   
 $\langle proof \rangle$

**lemma** *neg0-conv[iff]*: **fixes**  $n :: nat$  **shows**  $(n \neq 0) = (0 < n)$   
 $\langle proof \rangle$

This theorem is useful with *blast*

**lemma** *gr0I*:  $((n::nat) = 0 \implies False) \implies 0 < n$   
 $\langle proof \rangle$

**lemma** *gr0-conv-Suc*:  $(0 < n) = (\exists m. n = \text{Suc } m)$   
 $\langle \text{proof} \rangle$

**lemma** *not-gr0 [iff,no-atp]*:  $!!n::\text{nat}. (\sim (0 < n)) = (n = 0)$   
 $\langle \text{proof} \rangle$

**lemma** *Suc-le-D*:  $(\text{Suc } n \leq m') ==> (? m. m' = \text{Suc } m)$   
 $\langle \text{proof} \rangle$

Useful in certain inductive arguments

**lemma** *less-Suc-eq-0-disj*:  $(m < \text{Suc } n) = (m = 0 \mid (\exists j. m = \text{Suc } j \ \& \ j < n))$   
 $\langle \text{proof} \rangle$

#### 15.4.5 *min and max*

**lemma** *mono-Suc*: *mono Suc*  
 $\langle \text{proof} \rangle$

**lemma** *min-0L [simp]*:  $\text{min } 0 \ n = (0::\text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** *min-0R [simp]*:  $\text{min } n \ 0 = (0::\text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** *min-Suc-Suc [simp]*:  $\text{min } (\text{Suc } m) (\text{Suc } n) = \text{Suc } (\text{min } m \ n)$   
 $\langle \text{proof} \rangle$

**lemma** *min-Suc1*:  
 $\text{min } (\text{Suc } n) \ m = (\text{case } m \text{ of } 0 => 0 \mid \text{Suc } m' => \text{Suc } (\text{min } n \ m'))$   
 $\langle \text{proof} \rangle$

**lemma** *min-Suc2*:  
 $\text{min } m \ (\text{Suc } n) = (\text{case } m \text{ of } 0 => 0 \mid \text{Suc } m' => \text{Suc } (\text{min } m' \ n))$   
 $\langle \text{proof} \rangle$

**lemma** *max-0L [simp]*:  $\text{max } 0 \ n = (n::\text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** *max-0R [simp]*:  $\text{max } n \ 0 = (n::\text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** *max-Suc-Suc [simp]*:  $\text{max } (\text{Suc } m) (\text{Suc } n) = \text{Suc } (\text{max } m \ n)$   
 $\langle \text{proof} \rangle$

**lemma** *max-Suc1*:  
 $\text{max } (\text{Suc } n) \ m = (\text{case } m \text{ of } 0 => \text{Suc } n \mid \text{Suc } m' => \text{Suc } (\text{max } n \ m'))$   
 $\langle \text{proof} \rangle$

**lemma** *max-Suc2*:

$\max m \ (Suc\ n) = (case\ m\ of\ 0 ==> Suc\ n \mid Suc\ m' ==> Suc(\max m'\ n))$   
 $\langle proof \rangle$

#### 15.4.6 Monotonicity of Addition

**lemma** *Suc-pred [simp]*:  $n > 0 ==> Suc\ (n - Suc\ 0) = n$   
 $\langle proof \rangle$

**lemma** *Suc-diff-1 [simp]*:  $0 < n ==> Suc\ (n - 1) = n$   
 $\langle proof \rangle$

**lemma** *nat-add-left-cancel-le [simp]*:  $(k + m \leq k + n) = (m \leq (n::nat))$   
 $\langle proof \rangle$

**lemma** *nat-add-left-cancel-less [simp]*:  $(k + m < k + n) = (m < (n::nat))$   
 $\langle proof \rangle$

**lemma** *add-gr-0 [iff]*:  $!!m::nat. (m + n > 0) = (m > 0 \mid n > 0)$   
 $\langle proof \rangle$

strict, in 1st argument

**lemma** *add-less-mono1*:  $i < j ==> i + k < j + (k::nat)$   
 $\langle proof \rangle$

strict, in both arguments

**lemma** *add-less-mono*:  $[[i < j; k < l]] ==> i + k < j + (l::nat)$   
 $\langle proof \rangle$

Deleted *less-natE*; use *less-imp-Suc-add RS exE*

**lemma** *less-imp-Suc-add*:  $m < n ==> (\exists k. n = Suc\ (m + k))$   
 $\langle proof \rangle$

strict, in 1st argument; proof is by induction on  $k > 0$

**lemma** *mult-less-mono2*:  $(i::nat) < j ==> 0 < k ==> k * i < k * j$   
 $\langle proof \rangle$

The naturals form an ordered *comm-semiring-1-cancel*

**instance** *nat :: linordered-semidom*  
 $\langle proof \rangle$

**instance** *nat :: no-zero-divisors*  
 $\langle proof \rangle$

**lemma** *nat-mult-1*:  $(1::nat) * n = n$   
 $\langle proof \rangle$

**lemma** *nat-mult-1-right*:  $n * (1::nat) = n$   
 $\langle proof \rangle$

**15.4.7 Additional theorems about  $op \leq$** 

Complete induction, aka course-of-values induction

**instance** *nat* :: *wellorder*  $\langle proof \rangle$

**lemma** *Least-Suc*:

$[[ P\ n; \sim P\ 0\ ]] \implies (LEAST\ n.\ P\ n) = Suc\ (LEAST\ m.\ P\ (Suc\ m))$   
 $\langle proof \rangle$

**lemma** *Least-Suc2*:

$[[ P\ n; Q\ m; \sim P\ 0; !k.\ P\ (Suc\ k) = Q\ k ]] \implies Least\ P = Suc\ (Least\ Q)$   
 $\langle proof \rangle$

**lemma** *ex-least-nat-le*:  $\neg P(0) \implies P(n::nat) \implies \exists k \leq n. (\forall i < k. \neg P\ i) \ \&\ P(k)$   
 $\langle proof \rangle$

**lemma** *ex-least-nat-less*:  $\neg P(0) \implies P(n::nat) \implies \exists k < n. (\forall i \leq k. \neg P\ i) \ \&\ P(k+1)$   
 $\langle proof \rangle$

**lemma** *nat-less-induct*:

**assumes**  $!!n. \forall m::nat. m < n \longrightarrow P\ m \implies P\ n$  **shows**  $P\ n$   
 $\langle proof \rangle$

**lemma** *measure-induct-rule* [*case-names less*]:

**fixes**  $f :: 'a \Rightarrow nat$   
**assumes** *step*:  $\bigwedge x. (\bigwedge y. f\ y < f\ x \implies P\ y) \implies P\ x$   
**shows**  $P\ a$   
 $\langle proof \rangle$

old style induction rules:

**lemma** *measure-induct*:

**fixes**  $f :: 'a \Rightarrow nat$   
**shows**  $(\bigwedge x. \forall y. f\ y < f\ x \longrightarrow P\ y \implies P\ x) \implies P\ a$   
 $\langle proof \rangle$

**lemma** *full-nat-induct*:

**assumes** *step*:  $(!!n. (ALL\ m. Suc\ m \leq n \longrightarrow P\ m) \implies P\ n)$   
**shows**  $P\ n$   
 $\langle proof \rangle$

An induction rule for establishing binary relations

**lemma** *less-Suc-induct*:

**assumes** *less*:  $i < j$   
**and** *step*:  $!!i. P\ i\ (Suc\ i)$   
**and** *trans*:  $!!i\ j\ k. i < j \implies j < k \implies P\ i\ j \implies P\ j\ k \implies P\ i\ k$   
**shows**  $P\ i\ j$   
 $\langle proof \rangle$

The method of infinite descent, frequently used in number theory. Provided

by Roelof Oosterhuis.  $P(n)$  is true for all  $n \in \mathbb{N}$  if

- case “0”: given  $n = 0$  prove  $P(n)$ ,
- case “smaller”: given  $n > 0$  and  $\neg P(n)$  prove there exists a smaller integer  $m$  such that  $\neg P(m)$ .

A compact version without explicit base case:

**lemma** *infinite-descent*:

$\llbracket \text{!}n::\text{nat}. \neg P\ n \implies \exists m < n. \neg P\ m \rrbracket \implies P\ n$   
 $\langle \text{proof} \rangle$

**lemma** *infinite-descent0*[*case-names 0 smaller*]:

$\llbracket P\ 0; \text{!}n. n > 0 \implies \neg P\ n \implies (\exists m::\text{nat}. m < n \wedge \neg P\ m) \rrbracket \implies P\ n$   
 $\langle \text{proof} \rangle$

Infinite descent using a mapping to  $\mathbb{N}$ :  $P(x)$  is true for all  $x \in D$  if there exists a  $V : D \rightarrow \mathbb{N}$  and

- case “0”: given  $V(x) = 0$  prove  $P(x)$ ,
- case “smaller”: given  $V(x) > 0$  and  $\neg P(x)$  prove there exists a  $y \in D$  such that  $V(y) < V(x)$  and  $\neg P(y)$ .

NB: the proof also shows how to use the previous lemma.

**corollary** *infinite-descent0-measure* [*case-names 0 smaller*]:

**assumes**  $A0: \text{!}x. V\ x = (0::\text{nat}) \implies P\ x$   
**and**  $A1: \text{!}x. V\ x > 0 \implies \neg P\ x \implies (\exists y. V\ y < V\ x \wedge \neg P\ y)$   
**shows**  $P\ x$   
 $\langle \text{proof} \rangle$

Again, without explicit base case:

**lemma** *infinite-descent-measure*:

**assumes**  $\text{!}x. \neg P\ x \implies \exists y. (V::'a \Rightarrow \text{nat})\ y < V\ x \wedge \neg P\ y$  **shows**  $P\ x$   
 $\langle \text{proof} \rangle$

A [clumsy] way of lifting  $<$  monotonicity to  $\leq$  monotonicity

**lemma** *less-mono-imp-le-mono*:

$\llbracket \text{!}i\ j::\text{nat}. i < j \implies f\ i < f\ j; i \leq j \rrbracket \implies f\ i \leq ((f\ j)::\text{nat})$   
 $\langle \text{proof} \rangle$

non-strict, in 1st argument

**lemma** *add-le-mono1*:  $i \leq j \implies i + k \leq j + (k::\text{nat})$   
 $\langle \text{proof} \rangle$

non-strict, in both arguments

**lemma** *add-le-mono*:  $\llbracket i \leq j; k \leq l \rrbracket \implies i + k \leq j + (l::\text{nat})$

$\langle proof \rangle$

**lemma** *le-add2*:  $n \leq ((m + n)::nat)$   
 $\langle proof \rangle$

**lemma** *le-add1*:  $n \leq ((n + m)::nat)$   
 $\langle proof \rangle$

**lemma** *less-add-Suc1*:  $i < Suc (i + m)$   
 $\langle proof \rangle$

**lemma** *less-add-Suc2*:  $i < Suc (m + i)$   
 $\langle proof \rangle$

**lemma** *less-iff-Suc-add*:  $(m < n) = (\exists k. n = Suc (m + k))$   
 $\langle proof \rangle$

**lemma** *trans-le-add1*:  $(i::nat) \leq j ==> i \leq j + m$   
 $\langle proof \rangle$

**lemma** *trans-le-add2*:  $(i::nat) \leq j ==> i \leq m + j$   
 $\langle proof \rangle$

**lemma** *trans-less-add1*:  $(i::nat) < j ==> i < j + m$   
 $\langle proof \rangle$

**lemma** *trans-less-add2*:  $(i::nat) < j ==> i < m + j$   
 $\langle proof \rangle$

**lemma** *add-lessD1*:  $i + j < (k::nat) ==> i < k$   
 $\langle proof \rangle$

**lemma** *not-add-less1* [*iff*]:  $\sim (i + j < (i::nat))$   
 $\langle proof \rangle$

**lemma** *not-add-less2* [*iff*]:  $\sim (j + i < (i::nat))$   
 $\langle proof \rangle$

**lemma** *add-leD1*:  $m + k \leq n ==> m \leq (n::nat)$   
 $\langle proof \rangle$

**lemma** *add-leD2*:  $m + k \leq n ==> k \leq (n::nat)$   
 $\langle proof \rangle$

**lemma** *add-leE*:  $(m::nat) + k \leq n ==> (m \leq n ==> k \leq n ==> R) ==> R$   
 $\langle proof \rangle$

needs !!*k* for *add-ac* to work

**lemma** *less-add-eq-less*:  $!!k::nat. k < l ==> m + l = k + n ==> m < n$

*<proof>*

#### 15.4.8 More results about difference

Addition is the inverse of subtraction: if  $n \leq m$  then  $n + (m - n) = m$ .

**lemma** *add-diff-inverse*:  $\sim \quad m < n \implies n + (m - n) = (m::nat)$

*<proof>*

**lemma** *le-add-diff-inverse* [simp]:  $n \leq m \implies n + (m - n) = (m::nat)$

*<proof>*

**lemma** *le-add-diff-inverse2* [simp]:  $n \leq m \implies (m - n) + n = (m::nat)$

*<proof>*

**lemma** *Suc-diff-le*:  $n \leq m \implies \text{Suc } m - n = \text{Suc } (m - n)$

*<proof>*

**lemma** *diff-less-Suc*:  $m - n < \text{Suc } m$

*<proof>*

**lemma** *diff-le-self* [simp]:  $m - n \leq (m::nat)$

*<proof>*

**lemma** *le-iff-add*:  $(m::nat) \leq n = (\exists k. n = m + k)$

*<proof>*

**lemma** *less-imp-diff-less*:  $(j::nat) < k \implies j - n < k$

*<proof>*

**lemma** *diff-Suc-less* [simp]:  $0 < n \implies n - \text{Suc } i < n$

*<proof>*

**lemma** *diff-add-assoc*:  $k \leq (j::nat) \implies (i + j) - k = i + (j - k)$

*<proof>*

**lemma** *diff-add-assoc2*:  $k \leq (j::nat) \implies (j + i) - k = (j - k) + i$

*<proof>*

**lemma** *le-imp-diff-is-add*:  $i \leq (j::nat) \implies (j - i = k) = (j = k + i)$

*<proof>*

**lemma** *diff-is-0-eq* [simp]:  $((m::nat) - n = 0) = (m \leq n)$

*<proof>*

**lemma** *diff-is-0-eq'* [simp]:  $m \leq n \implies (m::nat) - n = 0$

*<proof>*

**lemma** *zero-less-diff* [simp]:  $(0 < n - (m::nat)) = (m < n)$

*<proof>*



**lemma** *less-imp-add-positive*:  
**assumes**  $i < j$   
**shows**  $\exists k::nat. 0 < k \ \& \ i + k = j$   
 $\langle proof \rangle$

a nice rewrite for bounded subtraction

**lemma** *nat-minus-add-max*:  
**fixes**  $n \ m :: nat$   
**shows**  $n - m + m = max \ n \ m$   
 $\langle proof \rangle$

**lemma** *nat-diff-split*:  
 $P(a - b::nat) = ((a < b \dashrightarrow P \ 0) \ \& \ (ALL \ d. \ a = b + d \dashrightarrow P \ d))$   
— elimination of  $-$  on *nat*  
 $\langle proof \rangle$

**lemma** *nat-diff-split-asm*:  
 $P(a - b::nat) = (\sim (a < b \ \& \ \sim P \ 0 \mid (EX \ d. \ a = b + d \ \& \ \sim P \ d)))$   
— elimination of  $-$  on *nat* in assumptions  
 $\langle proof \rangle$

#### 15.4.9 Monotonicity of Multiplication

**lemma** *mult-le-mono1*:  $i \leq (j::nat) \implies i * k \leq j * k$   
 $\langle proof \rangle$

**lemma** *mult-le-mono2*:  $i \leq (j::nat) \implies k * i \leq k * j$   
 $\langle proof \rangle$

$\leq$  monotonicity, BOTH arguments

**lemma** *mult-le-mono*:  $i \leq (j::nat) \implies k \leq l \implies i * k \leq j * l$   
 $\langle proof \rangle$

**lemma** *mult-less-mono1*:  $(i::nat) < j \implies 0 < k \implies i * k < j * k$   
 $\langle proof \rangle$

Differs from the standard *zero-less-mult-iff* in that there are no negative numbers.

**lemma** *nat-0-less-mult-iff* [simp]:  $(0 < (m::nat) * n) = (0 < m \ \& \ 0 < n)$   
 $\langle proof \rangle$

**lemma** *one-le-mult-iff* [simp]:  $(Suc \ 0 \leq m * n) = (Suc \ 0 \leq m \ \& \ Suc \ 0 \leq n)$   
 $\langle proof \rangle$

**lemma** *mult-less-cancel2* [simp]:  $((m::nat) * k < n * k) = (0 < k \ \& \ m < n)$   
 $\langle proof \rangle$

**lemma** *mult-less-cancel1* [simp]:  $(k * (m::nat) < k * n) = (0 < k \ \& \ m < n)$

$\langle proof \rangle$

**lemma** *mult-le-cancel1* [simp]:  $(k * (m::nat) \leq k * n) = (0 < k \longrightarrow m \leq n)$   
 $\langle proof \rangle$

**lemma** *mult-le-cancel2* [simp]:  $((m::nat) * k \leq n * k) = (0 < k \longrightarrow m \leq n)$   
 $\langle proof \rangle$

**lemma** *Suc-mult-less-cancel1*:  $(Suc\ k * m < Suc\ k * n) = (m < n)$   
 $\langle proof \rangle$

**lemma** *Suc-mult-le-cancel1*:  $(Suc\ k * m \leq Suc\ k * n) = (m \leq n)$   
 $\langle proof \rangle$

**lemma** *le-square*:  $m \leq m * (m::nat)$   
 $\langle proof \rangle$

**lemma** *le-cube*:  $(m::nat) \leq m * (m * m)$   
 $\langle proof \rangle$

Lemma for *gcd*

**lemma** *mult-eq-self-implies-10*:  $(m::nat) = m * n \implies n = 1 \mid m = 0$   
 $\langle proof \rangle$

the lattice order on *nat*

**instantiation** *nat* :: *distrib-lattice*  
**begin**

**definition**  
 $(inf :: nat \Rightarrow nat \Rightarrow nat) = min$

**definition**  
 $(sup :: nat \Rightarrow nat \Rightarrow nat) = max$

**instance**  $\langle proof \rangle$

**end**

## 15.5 Natural operation of natural numbers on functions

We use the same logical constant for the power operations on functions and relations, in order to share the same syntax.

**consts** *compow* ::  $nat \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$

**abbreviation** *compower* ::  $('a \Rightarrow 'b) \Rightarrow nat \Rightarrow 'a \Rightarrow 'b$  (**infixr**  $^{\wedge}$  80) **where**  
 $f \wedge n \equiv compow\ n\ f$

**notation** (*latex output*)

*compower* ((-) [1000] 1000)

**notation** (*HTML output*)

*compower* ((-) [1000] 1000)

$f \hat{\ }^n = f \circ \dots \circ f$ , the  $n$ -fold composition of  $f$

**overloading**

*funpow* == *compow* ::  $\text{nat} \Rightarrow ('a \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a)$

**begin**

**primrec** *funpow* ::  $\text{nat} \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$  **where**

*funpow* 0  $f = \text{id}$

| *funpow* (Suc  $n$ )  $f = f \circ \text{funpow } n f$

**end**

for code generation

**definition** *funpow* ::  $\text{nat} \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$  **where**

*funpow-code-def* [code-post]: *funpow* = *compow*

**lemmas** [code-unfold] = *funpow-code-def* [symmetric]

**lemma** [code]:

*funpow* 0  $f = \text{id}$

*funpow* (Suc  $n$ )  $f = f \circ \text{funpow } n f$

$\langle \text{proof} \rangle$

**hide-const** (**open**) *funpow*

**lemma** *funpow-add*:

$f \hat{\ }^{(m + n)} = f \hat{\ }^m \circ f \hat{\ }^n$

$\langle \text{proof} \rangle$

**lemma** *funpow-swap1*:

$f ((f \hat{\ }^n) x) = (f \hat{\ }^n) (f x)$

$\langle \text{proof} \rangle$

## 15.6 Embedding of the Naturals into any *semiring-1*: *of-nat*

**context** *semiring-1*

**begin**

**primrec**

*of-nat* ::  $\text{nat} \Rightarrow 'a$

**where**

*of-nat-0*: *of-nat* 0 = 0

| *of-nat-Suc*: *of-nat* (Suc  $m$ ) = 1 + *of-nat*  $m$

**lemma** *of-nat-1* [simp]: *of-nat* 1 = 1

$\langle proof \rangle$

**lemma** *of-nat-add* [*simp*]:  $of\text{-}nat\ (m + n) = of\text{-}nat\ m + of\text{-}nat\ n$   
 $\langle proof \rangle$

**lemma** *of-nat-mult*:  $of\text{-}nat\ (m * n) = of\text{-}nat\ m * of\text{-}nat\ n$   
 $\langle proof \rangle$

**primrec** *of-nat-aux* ::  $('a \Rightarrow 'a) \Rightarrow nat \Rightarrow 'a \Rightarrow 'a$  **where**  
 $of\text{-}nat\text{-}aux\ inc\ 0\ i = i$   
 $| of\text{-}nat\text{-}aux\ inc\ (Suc\ n)\ i = of\text{-}nat\text{-}aux\ inc\ n\ (inc\ i)$  — tail recursive

**lemma** *of-nat-code*:  
 $of\text{-}nat\ n = of\text{-}nat\text{-}aux\ (\lambda i. i + 1)\ n\ 0$   
 $\langle proof \rangle$

**end**

**declare** *of-nat-code* [*code*, *code-unfold*, *code-inline del*]

Class for unital semirings with characteristic zero. Includes non-ordered rings like the complex numbers.

**class** *semiring-char-0* = *semiring-1* +  
**assumes** *of-nat-eq-iff* [*simp*]:  $of\text{-}nat\ m = of\text{-}nat\ n \longleftrightarrow m = n$   
**begin**

Special cases where either operand is zero

**lemma** *of-nat-0-eq-iff* [*simp*, *no-atp*]:  $0 = of\text{-}nat\ n \longleftrightarrow 0 = n$   
 $\langle proof \rangle$

**lemma** *of-nat-eq-0-iff* [*simp*, *no-atp*]:  $of\text{-}nat\ m = 0 \longleftrightarrow m = 0$   
 $\langle proof \rangle$

**lemma** *inj-of-nat*:  $inj\ of\text{-}nat$   
 $\langle proof \rangle$

**end**

**context** *linordered-semidom*  
**begin**

**lemma** *zero-le-imp-of-nat*:  $0 \leq of\text{-}nat\ m$   
 $\langle proof \rangle$

**lemma** *less-imp-of-nat-less*:  $m < n \implies of\text{-}nat\ m < of\text{-}nat\ n$   
 $\langle proof \rangle$

**lemma** *of-nat-less-imp-less*:  $of\text{-}nat\ m < of\text{-}nat\ n \implies m < n$   
 $\langle proof \rangle$

**lemma** *of-nat-less-iff* [simp]:  $of\text{-}nat\ m < of\text{-}nat\ n \longleftrightarrow m < n$   
 $\langle proof \rangle$

**lemma** *of-nat-le-iff* [simp]:  $of\text{-}nat\ m \leq of\text{-}nat\ n \longleftrightarrow m \leq n$   
 $\langle proof \rangle$

Every *linordered-semidom* has characteristic zero.

**subclass** *semiring-char-0*  
 $\langle proof \rangle$

Special cases where either operand is zero

**lemma** *of-nat-0-le-iff* [simp]:  $0 \leq of\text{-}nat\ n$   
 $\langle proof \rangle$

**lemma** *of-nat-le-0-iff* [simp, no-atp]:  $of\text{-}nat\ m \leq 0 \longleftrightarrow m = 0$   
 $\langle proof \rangle$

**lemma** *of-nat-0-less-iff* [simp]:  $0 < of\text{-}nat\ n \longleftrightarrow 0 < n$   
 $\langle proof \rangle$

**lemma** *of-nat-less-0-iff* [simp]:  $\neg of\text{-}nat\ m < 0$   
 $\langle proof \rangle$

**end**

**context** *ring-1*  
**begin**

**lemma** *of-nat-diff*:  $n \leq m \implies of\text{-}nat\ (m - n) = of\text{-}nat\ m - of\text{-}nat\ n$   
 $\langle proof \rangle$

**end**

**context** *linordered-idom*  
**begin**

**lemma** *abs-of-nat* [simp]:  $|of\text{-}nat\ n| = of\text{-}nat\ n$   
 $\langle proof \rangle$

**end**

**lemma** *of-nat-id* [simp]:  $of\text{-}nat\ n = n$   
 $\langle proof \rangle$

**lemma** *of-nat-eq-id* [simp]:  $of\text{-}nat = id$   
 $\langle proof \rangle$

## 15.7 The Set of Natural Numbers

**context** *semiring-1*

**begin**

**definition**

*Nats* :: 'a set **where**

[code del]: *Nats* = range of-nat

**notation** (*xsymbols*)

*Nats* ( $\mathbb{N}$ )

**lemma** *of-nat-in-Nats* [*simp*]: *of-nat*  $n \in \mathbb{N}$

*<proof>*

**lemma** *Nats-0* [*simp*]:  $0 \in \mathbb{N}$

*<proof>*

**lemma** *Nats-1* [*simp*]:  $1 \in \mathbb{N}$

*<proof>*

**lemma** *Nats-add* [*simp*]:  $a \in \mathbb{N} \implies b \in \mathbb{N} \implies a + b \in \mathbb{N}$

*<proof>*

**lemma** *Nats-mult* [*simp*]:  $a \in \mathbb{N} \implies b \in \mathbb{N} \implies a * b \in \mathbb{N}$

*<proof>*

**lemma** *Nats-cases* [*cases set: Nats*]:

**assumes**  $x \in \mathbb{N}$

**obtains** (*of-nat*)  $n$  **where**  $x = \text{of-nat } n$

*<proof>*

**lemma** *Nats-induct* [*case-names of-nat, induct set: Nats*]:

$x \in \mathbb{N} \implies (\bigwedge n. P (\text{of-nat } n)) \implies P x$

*<proof>*

**end**

## 15.8 Further Arithmetic Facts Concerning the Natural Numbers

**lemma** *subst-equals*:

**assumes** 1:  $t = s$  **and** 2:  $u = t$

**shows**  $u = s$

*<proof>*

*<ML>*

**lemmas** [*arith-split*] = *nat-diff-split split-min split-max*

**context** *order*  
**begin**

**lemma** *lift-Suc-mono-le*:  
 assumes *mono*:  $!!n. f\ n \leq f(\text{Suc}\ n)$  and  $n \leq n'$   
 shows  $f\ n \leq f\ n'$   
 $\langle \text{proof} \rangle$

**lemma** *lift-Suc-mono-less*:  
 assumes *mono*:  $!!n. f\ n < f(\text{Suc}\ n)$  and  $n < n'$   
 shows  $f\ n < f\ n'$   
 $\langle \text{proof} \rangle$

**lemma** *lift-Suc-mono-less-iff*:  
 $(!!n. f\ n < f(\text{Suc}\ n)) \implies f(n) < f(m) \longleftrightarrow n < m$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *mono-iff-le-Suc*:  $\text{mono}\ f = (\forall n. f\ n \leq f(\text{Suc}\ n))$   
 $\langle \text{proof} \rangle$

**lemma** *mono-nat-linear-lb*:  
 $(!!m\ n::\text{nat}. m < n \implies f\ m < f\ n) \implies f(m) + k \leq f(m + k)$   
 $\langle \text{proof} \rangle$

Subtraction laws, mostly by Clemens Ballarin

**lemma** *diff-less-mono*:  $[| a < (b::\text{nat}); c \leq a |] \implies a - c < b - c$   
 $\langle \text{proof} \rangle$

**lemma** *less-diff-conv*:  $(i < j - k) = (i + k < (j::\text{nat}))$   
 $\langle \text{proof} \rangle$

**lemma** *le-diff-conv*:  $(j - k \leq (i::\text{nat})) = (j \leq i + k)$   
 $\langle \text{proof} \rangle$

**lemma** *le-diff-conv2*:  $k \leq j \implies (i \leq j - k) = (i + k \leq (j::\text{nat}))$   
 $\langle \text{proof} \rangle$

**lemma** *diff-diff-cancel* [*simp*]:  $i \leq (n::\text{nat}) \implies n - (n - i) = i$   
 $\langle \text{proof} \rangle$

**lemma** *le-add-diff*:  $k \leq (n::\text{nat}) \implies m \leq n + m - k$   
 $\langle \text{proof} \rangle$

**lemma** *diff-less* [*simp*]:  $!!m::\text{nat}. [| 0 < n; 0 < m |] \implies m - n < m$   
 $\langle \text{proof} \rangle$

Simplification of relational expressions involving subtraction

**lemma** *diff-diff-eq*:  $[| k \leq m; k \leq (n::nat) |] ==> ((m-k) - (n-k)) = (m-n)$   
 $\langle proof \rangle$

**hide-fact** (**open**) *diff-diff-eq*

**lemma** *eq-diff-iff*:  $[| k \leq m; k \leq (n::nat) |] ==> (m-k = n-k) = (m=n)$   
 $\langle proof \rangle$

**lemma** *less-diff-iff*:  $[| k \leq m; k \leq (n::nat) |] ==> (m-k < n-k) = (m < n)$   
 $\langle proof \rangle$

**lemma** *le-diff-iff*:  $[| k \leq m; k \leq (n::nat) |] ==> (m-k \leq n-k) = (m \leq n)$   
 $\langle proof \rangle$

(Anti)Monotonicity of subtraction – by Stephan Merz

**lemma** *diff-le-mono*:  $m \leq (n::nat) ==> (m-l) \leq (n-l)$   
 $\langle proof \rangle$

**lemma** *diff-le-mono2*:  $m \leq (n::nat) ==> (l-n) \leq (l-m)$   
 $\langle proof \rangle$

**lemma** *diff-less-mono2*:  $[| m < (n::nat); m < l |] ==> (l-n) < (l-m)$   
 $\langle proof \rangle$

**lemma** *diffs0-imp-equal*:  $!!m::nat. [| m-n = 0; n-m = 0 |] ==> m=n$   
 $\langle proof \rangle$

**lemma** *min-diff*:  $\min (m - (i::nat)) (n - i) = \min m n - i$   
 $\langle proof \rangle$

**lemma** *inj-on-diff-nat*:  
**assumes** *k-le-n*:  $\forall n \in N. k \leq (n::nat)$   
**shows** *inj-on*  $(\lambda n. n - k)$  *N*  
 $\langle proof \rangle$

Rewriting to pull differences out

**lemma** *diff-diff-right* [*simp*]:  $k \leq j \rightarrow i - (j - k) = i + (k::nat) - j$   
 $\langle proof \rangle$

**lemma** *diff-Suc-diff-eq1* [*simp*]:  $k \leq j ==> m - Suc (j - k) = m + k - Suc j$   
 $\langle proof \rangle$

**lemma** *diff-Suc-diff-eq2* [*simp*]:  $k \leq j ==> Suc (j - k) - m = Suc j - (k + m)$   
 $\langle proof \rangle$

Lemmas for ex/Factorization

**lemma** *one-less-mult*:  $[| Suc 0 < n; Suc 0 < m |] ==> Suc 0 < m*n$   
 $\langle proof \rangle$



**lemma** *n-less-m-mult-n*:  $[| \text{Suc } 0 < n; \text{Suc } 0 < m |] \implies n < m * n$   
 $\langle \text{proof} \rangle$

**lemma** *n-less-n-mult-m*:  $[| \text{Suc } 0 < n; \text{Suc } 0 < m |] \implies n < n * m$   
 $\langle \text{proof} \rangle$

Specialized induction principles that work ”backwards”:

**lemma** *inc-induct*[*consumes 1, case-names base step*]:  
**assumes** *less*:  $i \leq j$   
**assumes** *base*:  $P \ j$   
**assumes** *step*:  $!!i. [i < j; P (\text{Suc } i)] \implies P \ i$   
**shows**  $P \ i$   
 $\langle \text{proof} \rangle$

**lemma** *strict-inc-induct*[*consumes 1, case-names base step*]:  
**assumes** *less*:  $i < j$   
**assumes** *base*:  $!!i. j = \text{Suc } i \implies P \ i$   
**assumes** *step*:  $!!i. [i < j; P (\text{Suc } i)] \implies P \ i$   
**shows**  $P \ i$   
 $\langle \text{proof} \rangle$

**lemma** *zero-induct-lemma*:  $P \ k \implies (!!n. P (\text{Suc } n) \implies P \ n) \implies P \ (k - i)$   
 $\langle \text{proof} \rangle$

**lemma** *zero-induct*:  $P \ k \implies (!!n. P (\text{Suc } n) \implies P \ n) \implies P \ 0$   
 $\langle \text{proof} \rangle$

**lemmas** *add-diff-assoc* = *diff-add-assoc* [*symmetric*]  
**lemmas** *add-diff-assoc2* = *diff-add-assoc2* [*symmetric*]  
**declare** *diff-diff-left* [*simp*] *add-diff-assoc* [*simp*] *add-diff-assoc2* [*simp*]

At present we prove no analogue of *not-less-Least* or *Least-Suc*, since there appears to be no need.

## 15.9 The divides relation on *nat*

**lemma** *dvd-1-left* [*iff*]:  $\text{Suc } 0 \text{ dvd } k$   
 $\langle \text{proof} \rangle$

**lemma** *dvd-1-iff-1* [*simp*]:  $(m \text{ dvd } \text{Suc } 0) = (m = \text{Suc } 0)$   
 $\langle \text{proof} \rangle$

**lemma** *nat-dvd-1-iff-1* [*simp*]:  $m \text{ dvd } (1::\text{nat}) \longleftrightarrow m = 1$   
 $\langle \text{proof} \rangle$

**lemma** *dvd-antisym*:  $[| m \text{ dvd } n; n \text{ dvd } m |] \implies m = (n::\text{nat})$   
 $\langle \text{proof} \rangle$

*op dvd* is a partial order

**interpretation** *dvd*: order op *dvd*  $\lambda n\ m :: nat. n\ dvd\ m \wedge \neg\ m\ dvd\ n$   
 $\langle proof \rangle$

**lemma** *dvd-diff-nat[simp]*:  $[| k\ dvd\ m; k\ dvd\ n |] ==> k\ dvd\ (m - n :: nat)$   
 $\langle proof \rangle$

**lemma** *dvd-diffD*:  $[| k\ dvd\ m - n; k\ dvd\ n; n \leq m |] ==> k\ dvd\ (m :: nat)$   
 $\langle proof \rangle$

**lemma** *dvd-diffD1*:  $[| k\ dvd\ m - n; k\ dvd\ m; n \leq m |] ==> k\ dvd\ (n :: nat)$   
 $\langle proof \rangle$

**lemma** *dvd-reduce*:  $(k\ dvd\ n + k) = (k\ dvd\ (n :: nat))$   
 $\langle proof \rangle$

**lemma** *dvd-mult-cancel*:  $!!k :: nat. [| k * m\ dvd\ k * n; 0 < k |] ==> m\ dvd\ n$   
 $\langle proof \rangle$

**lemma** *dvd-mult-cancel1*:  $0 < m ==> (m * n\ dvd\ m) = (n = (1 :: nat))$   
 $\langle proof \rangle$

**lemma** *dvd-mult-cancel2*:  $0 < m ==> (n * m\ dvd\ m) = (n = (1 :: nat))$   
 $\langle proof \rangle$

**lemma** *dvd-imp-le*:  $[| k\ dvd\ n; 0 < n |] ==> k \leq (n :: nat)$   
 $\langle proof \rangle$

**lemma** *nat-dvd-not-less*:  
**fixes**  $m\ n :: nat$   
**shows**  $0 < m \implies m < n \implies \neg\ n\ dvd\ m$   
 $\langle proof \rangle$

## 15.10 size of a datatype value

**class** *size* =  
**fixes**  $size :: 'a \Rightarrow nat$  — see further theory *Wellfounded*

## 15.11 code module namespace

**code-modulename** *SML*  
*Nat Arith*

**code-modulename** *OCaml*  
*Nat Arith*

**code-modulename** *Haskell*  
*Nat Arith*

**end**

## 16 Datatype: Datatype package: constructing datatypes from Cartesian Products and Disjoint Sums

```

theory Datatype
imports Product-Type Sum-Type Nat
uses
  (Tools/Datatype/datatype.ML)
  (Tools/inductive-realizer.ML)
  (Tools/Datatype/datatype-realizer.ML)
begin

```

### 16.1 The datatype universe

```

typedef (Node)
  ('a, 'b) node = {p. EX f x k. p = (f::nat=>'b+nat, x::'a+nat) & f k = Inr 0}
  — it is a subtype of (nat=>'b+nat) * ('a+nat)
  ⟨proof⟩

```

Datatypes will be represented by sets of type *node*

```

types 'a item      = ('a, unit) node set
      ('a, 'b) dtree = ('a, 'b) node set

```

**consts**

```

  Push      :: [('b + nat), nat => ('b + nat)] => (nat => ('b + nat))

```

```

  Push-Node :: [('b + nat), ('a, 'b) node] => ('a, 'b) node

```

```

  ndepth    :: ('a, 'b) node => nat

```

```

  Atom      :: ('a + nat) => ('a, 'b) dtree

```

```

  Leaf      :: 'a => ('a, 'b) dtree

```

```

  Numb      :: nat => ('a, 'b) dtree

```

```

  Scons     :: [('a, 'b) dtree, ('a, 'b) dtree] => ('a, 'b) dtree

```

```

  In0       :: ('a, 'b) dtree => ('a, 'b) dtree

```

```

  In1       :: ('a, 'b) dtree => ('a, 'b) dtree

```

```

  Lim       :: ('b => ('a, 'b) dtree) => ('a, 'b) dtree

```

```

  ntrunc    :: [nat, ('a, 'b) dtree] => ('a, 'b) dtree

```

```

  uprod     :: [('a, 'b) dtree set, ('a, 'b) dtree set] => ('a, 'b) dtree set

```

```

  usum      :: [('a, 'b) dtree set, ('a, 'b) dtree set] => ('a, 'b) dtree set

```

```

  Split     :: [[('a, 'b) dtree, ('a, 'b) dtree] => 'c, ('a, 'b) dtree] => 'c

```

```

  Case      :: [[('a, 'b) dtree] => 'c, [('a, 'b) dtree] => 'c, ('a, 'b) dtree] => 'c

```

```

  dprod     :: [(((('a, 'b) dtree * ('a, 'b) dtree) set, (('a, 'b) dtree * ('a, 'b) dtree) set]
              => (('a, 'b) dtree * ('a, 'b) dtree) set

```

```

  dsum      :: [(((('a, 'b) dtree * ('a, 'b) dtree) set, (('a, 'b) dtree * ('a, 'b) dtree) set]
              => (('a, 'b) dtree * ('a, 'b) dtree) set

```

**defs**

*Push-Node-def:*  $Push\text{-}Node == (\%n\ x.\ Abs\text{-}Node\ (apfst\ (Push\ n)\ (Rep\text{-}Node\ x)))$

*Push-def:*  $Push == (\%b\ h.\ nat\text{-}case\ b\ h)$

*Atom-def:*  $Atom == (\%x.\ \{Abs\text{-}Node((\%k.\ Inr\ 0,\ x))\})$   
*Scons-def:*  $Scons\ M\ N == (Push\text{-}Node\ (Inr\ 1)\ 'M)\ Un\ (Push\text{-}Node\ (Inr\ (Suc\ 1))\ 'N)$

*Leaf-def:*  $Leaf == Atom\ o\ Inl$   
*Numb-def:*  $Numb == Atom\ o\ Inr$

*In0-def:*  $In0(M) == Scons\ (Numb\ 0)\ M$   
*In1-def:*  $In1(M) == Scons\ (Numb\ 1)\ M$

*Lim-def:*  $Lim\ f == Union\ \{z.\ ?\ x.\ z = Push\text{-}Node\ (Inl\ x)\ ' (f\ x)\}$

*ndepth-def:*  $ndepth(n) == (\%(f,x).\ LEAST\ k.\ f\ k = Inr\ 0)\ (Rep\text{-}Node\ n)$   
*ntrunc-def:*  $ntrunc\ k\ N == \{n.\ n:N\ \&\ ndepth(n) < k\}$

*uprod-def:*  $uprod\ A\ B == UN\ x:A.\ UN\ y:B.\ \{Scons\ x\ y\}$   
*usum-def:*  $usum\ A\ B == In0'A\ Un\ In1'B$

*Split-def:*  $Split\ c\ M == THE\ u.\ EX\ x\ y.\ M = Scons\ x\ y\ \&\ u = c\ x\ y$

*Case-def:*  $Case\ c\ d\ M == THE\ u.\ (EX\ x.\ M = In0(x)\ \&\ u = c(x))$   
 $\quad\quad\quad | (EX\ y.\ M = In1(y)\ \&\ u = d(y))$

*dprod-def:*  $dprod\ r\ s == UN\ (x,x'):r.\ UN\ (y,y'):s.\ \{(Scons\ x\ y,\ Scons\ x'\ y')\}$

*dsum-def:*  $dsum\ r\ s == (UN\ (x,x'):r.\ \{(In0(x),In0(x'))\})\ Un$   
 $\quad\quad\quad (UN\ (y,y'):s.\ \{(In1(y),In1(y'))\})$

**lemma** *apfst-convE*:

$$\begin{aligned} & \llbracket q = \text{apfst } f \, p; \quad \forall x \, y. \llbracket p = (x, y); \quad q = (f(x), y) \rrbracket \implies R \\ & \rrbracket \implies R \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *Push-inject1*:  $\text{Push } i \, f = \text{Push } j \, g \implies i=j$   
 $\langle \text{proof} \rangle$

**lemma** *Push-inject2*:  $\text{Push } i \, f = \text{Push } j \, g \implies f=g$   
 $\langle \text{proof} \rangle$

**lemma** *Push-inject*:

$$\llbracket \text{Push } i \, f = \text{Push } j \, g; \quad \llbracket i=j; \quad f=g \rrbracket \implies P \rrbracket \implies P$$
  
 $\langle \text{proof} \rangle$

**lemma** *Push-neq-K0*:  $\text{Push } (\text{Inr } (\text{Suc } k)) \, f = (\%z. \text{Inr } 0) \implies P$   
 $\langle \text{proof} \rangle$

**lemmas** *Abs-Node-inj* = *Abs-Node-inject* [THEN [2] *rev-iffD1*, *standard*]

**lemma** *Node-K0-I*:  $(\%k. \text{Inr } 0, a) : \text{Node}$   
 $\langle \text{proof} \rangle$

**lemma** *Node-Push-I*:  $p : \text{Node} \implies \text{apfst } (\text{Push } i) \, p : \text{Node}$   
 $\langle \text{proof} \rangle$

## 16.2 Freeness: Distinctness of Constructors

**lemma** *Scons-not-Atom* [iff]:  $\text{Scons } M \, N \neq \text{Atom}(a)$   
 $\langle \text{proof} \rangle$

**lemmas** *Atom-not-Scons* [iff] = *Scons-not-Atom* [THEN *not-sym*, *standard*]

**lemma** *inj-Atom*:  $\text{inj}(\text{Atom})$   
 $\langle \text{proof} \rangle$

**lemmas** *Atom-inject* = *inj-Atom* [THEN *injD*, *standard*]

**lemma** *Atom-Atom-eq* [iff]:  $(Atom(a)=Atom(b)) = (a=b)$   
 $\langle proof \rangle$

**lemma** *inj-Leaf*:  $inj(Leaf)$   
 $\langle proof \rangle$

**lemmas** *Leaf-inject* [dest!] = *inj-Leaf* [THEN injD, standard]

**lemma** *inj-Numb*:  $inj(Numb)$   
 $\langle proof \rangle$

**lemmas** *Numb-inject* [dest!] = *inj-Numb* [THEN injD, standard]

**lemma** *Push-Node-inject*:  

$$[ [ Push-Node\ i\ m = Push-Node\ j\ n; \ [ [ i=j; \ m=n ] ] ==> P ] ] ==> P$$
 $\langle proof \rangle$

**lemma** *Scons-inject-lemma1*:  $Scons\ M\ N <= Scons\ M'\ N' ==> M <= M'$   
 $\langle proof \rangle$

**lemma** *Scons-inject-lemma2*:  $Scons\ M\ N <= Scons\ M'\ N' ==> N <= N'$   
 $\langle proof \rangle$

**lemma** *Scons-inject1*:  $Scons\ M\ N = Scons\ M'\ N' ==> M = M'$   
 $\langle proof \rangle$

**lemma** *Scons-inject2*:  $Scons\ M\ N = Scons\ M'\ N' ==> N = N'$   
 $\langle proof \rangle$

**lemma** *Scons-inject*:  

$$[ [ Scons\ M\ N = Scons\ M'\ N'; \ [ [ M=M'; \ N=N' ] ] ==> P ] ] ==> P$$
 $\langle proof \rangle$

**lemma** *Scons-Scons-eq* [iff]:  $(Scons\ M\ N = Scons\ M'\ N') = (M=M' \ \& \ N=N')$   
 $\langle proof \rangle$

**lemma** *Scons-not-Leaf* [iff]:  $Scons\ M\ N \neq Leaf(a)$   
 $\langle proof \rangle$

**lemmas** *Leaf-not-Scons* [iff] = *Scons-not-Leaf* [THEN not-sym, standard]

**lemma** *Scons-not-Numb* [iff]: *Scons* *M N*  $\neq$  *Numb*(*k*)  
 <proof>

**lemmas** *Numb-not-Scons* [iff] = *Scons-not-Numb* [THEN not-sym, standard]

**lemma** *Leaf-not-Numb* [iff]: *Leaf*(*a*)  $\neq$  *Numb*(*k*)  
 <proof>

**lemmas** *Numb-not-Leaf* [iff] = *Leaf-not-Numb* [THEN not-sym, standard]

**lemma** *ndepth-K0*: *ndepth* (*Abs-Node*(%*k*. *Inr* 0, *x*)) = 0  
 <proof>

**lemma** *ndepth-Push-Node-aux*:  
*nat-case* (*Inr* (*Suc* *i*)) *f* *k* = *Inr* 0  $\dashrightarrow$  *Suc*(*LEAST* *x*. *f* *x* = *Inr* 0)  $\leq$  *k*  
 <proof>

**lemma** *ndepth-Push-Node*:  
*ndepth* (*Push-Node* (*Inr* (*Suc* *i*)) *n*) = *Suc*(*ndepth*(*n*))  
 <proof>

**lemma** *ntrunc-0* [simp]: *ntrunc* 0 *M* = {}  
 <proof>

**lemma** *ntrunc-Atom* [simp]: *ntrunc* (*Suc* *k*) (*Atom* *a*) = *Atom*(*a*)  
 <proof>

**lemma** *ntrunc-Leaf* [simp]: *ntrunc* (*Suc* *k*) (*Leaf* *a*) = *Leaf*(*a*)  
 <proof>

**lemma** *ntrunc-Numb* [simp]: *ntrunc* (*Suc* *k*) (*Numb* *i*) = *Numb*(*i*)  
 <proof>

**lemma** *ntrunc-Scons* [simp]:  
*ntrunc* (*Suc* *k*) (*Scons* *M N*) = *Scons* (*ntrunc* *k* *M*) (*ntrunc* *k* *N*)

$\langle proof \rangle$

**lemma** *ntrunc-one-In0* [*simp*]:  $ntrunc (Suc\ 0) (In0\ M) = \{\}$   
 $\langle proof \rangle$

**lemma** *ntrunc-In0* [*simp*]:  $ntrunc (Suc(Suc\ k)) (In0\ M) = In0\ (ntrunc (Suc\ k)\ M)$   
 $\langle proof \rangle$

**lemma** *ntrunc-one-In1* [*simp*]:  $ntrunc (Suc\ 0) (In1\ M) = \{\}$   
 $\langle proof \rangle$

**lemma** *ntrunc-In1* [*simp*]:  $ntrunc (Suc(Suc\ k)) (In1\ M) = In1\ (ntrunc (Suc\ k)\ M)$   
 $\langle proof \rangle$

### 16.3 Set Constructions

**lemma** *uprodI* [*intro!*]:  $[\![\ M:A;\ N:B\ ]\!] ==> Scons\ M\ N : uprod\ A\ B$   
 $\langle proof \rangle$

**lemma** *uprodE* [*elim!*]:  
 $[\![\ c : uprod\ A\ B;$   
 $\quad !!x\ y. [\![\ x:A;\ y:B;\ c = Scons\ x\ y\ ]\!] ==> P$   
 $\quad ]\!] ==> P$   
 $\langle proof \rangle$

**lemma** *uprodE2*:  $[\![\ Scons\ M\ N : uprod\ A\ B;\ [\![\ M:A;\ N:B\ ]\!] ==> P\ ]\!] ==> P$   
 $\langle proof \rangle$

**lemma** *usum-In0I* [*intro*]:  $M:A ==> In0(M) : usum\ A\ B$   
 $\langle proof \rangle$

**lemma** *usum-In1I* [*intro*]:  $N:B ==> In1(N) : usum\ A\ B$   
 $\langle proof \rangle$

**lemma** *usumE* [*elim!*]:  
 $[\![\ u : usum\ A\ B;$   
 $\quad !!x. [\![\ x:A;\ u=In0(x)\ ]\!] ==> P;$



$$\begin{aligned} & !!y. [\mid y:B; \ u=In1(y) \mid] ==> P \\ & [\mid] ==> P \\ \langle proof \rangle \end{aligned}$$

**lemma** *In0-not-In1* [iff]:  $In0(M) \neq In1(N)$   
 $\langle proof \rangle$

**lemmas** *In1-not-In0* [iff] = *In0-not-In1* [THEN not-sym, standard]

**lemma** *In0-inject*:  $In0(M) = In0(N) ==> M=N$   
 $\langle proof \rangle$

**lemma** *In1-inject*:  $In1(M) = In1(N) ==> M=N$   
 $\langle proof \rangle$

**lemma** *In0-eq* [iff]:  $(In0\ M = In0\ N) = (M=N)$   
 $\langle proof \rangle$

**lemma** *In1-eq* [iff]:  $(In1\ M = In1\ N) = (M=N)$   
 $\langle proof \rangle$

**lemma** *inj-In0*:  $inj\ In0$   
 $\langle proof \rangle$

**lemma** *inj-In1*:  $inj\ In1$   
 $\langle proof \rangle$

**lemma** *Lim-inject*:  $Lim\ f = Lim\ g ==> f = g$   
 $\langle proof \rangle$

**lemma** *ntrunc-subsetI*:  $ntrunc\ k\ M \leq M$   
 $\langle proof \rangle$

**lemma** *ntrunc-subsetD*:  $(!!k. ntrunc\ k\ M \leq N) ==> M \leq N$   
 $\langle proof \rangle$

**lemma** *ntrunc-equality*:  $(!!k. ntrunc\ k\ M = ntrunc\ k\ N) ==> M=N$   
 $\langle proof \rangle$

**lemma** *ntrunc-o-equality*:

$\llbracket \text{!!}k. (\text{ntrunc}(k) \circ h1) = (\text{ntrunc}(k) \circ h2) \rrbracket \implies h1=h2$   
 $\langle \text{proof} \rangle$

**lemma** *uprod-mono*:  $\llbracket A \leq A'; B \leq B' \rrbracket \implies \text{uprod } A \ B \leq \text{uprod } A' \ B'$   
 $\langle \text{proof} \rangle$

**lemma** *usum-mono*:  $\llbracket A \leq A'; B \leq B' \rrbracket \implies \text{usum } A \ B \leq \text{usum } A' \ B'$   
 $\langle \text{proof} \rangle$

**lemma** *Scons-mono*:  $\llbracket M \leq M'; N \leq N' \rrbracket \implies \text{Scons } M \ N \leq \text{Scons } M' \ N'$   
 $\langle \text{proof} \rangle$

**lemma** *In0-mono*:  $M \leq N \implies \text{In0}(M) \leq \text{In0}(N)$   
 $\langle \text{proof} \rangle$

**lemma** *In1-mono*:  $M \leq N \implies \text{In1}(M) \leq \text{In1}(N)$   
 $\langle \text{proof} \rangle$

**lemma** *Split [simp]*:  $\text{Split } c \ (\text{Scons } M \ N) = c \ M \ N$   
 $\langle \text{proof} \rangle$

**lemma** *Case-In0 [simp]*:  $\text{Case } c \ d \ (\text{In0 } M) = c(M)$   
 $\langle \text{proof} \rangle$

**lemma** *Case-In1 [simp]*:  $\text{Case } c \ d \ (\text{In1 } N) = d(N)$   
 $\langle \text{proof} \rangle$

**lemma** *ntrunc-UN1*:  $\text{ntrunc } k \ (\text{UN } x. f(x)) = (\text{UN } x. \text{ntrunc } k \ (f \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *Scons-UN1-x*:  $\text{Scons } (\text{UN } x. f \ x) \ M = (\text{UN } x. \text{Scons } (f \ x) \ M)$   
 $\langle \text{proof} \rangle$

**lemma** *Scons-UN1-y*:  $\text{Scons } M \ (\text{UN } x. f \ x) = (\text{UN } x. \text{Scons } M \ (f \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *In0-UN1*:  $\text{In0}(\text{UN } x. f(x)) = (\text{UN } x. \text{In0}(f(x)))$   
 $\langle \text{proof} \rangle$

**lemma** *In1-UN1*:  $In1 (UN\ x.\ f(x)) = (UN\ x.\ In1(f(x)))$   
 $\langle proof \rangle$

**lemma** *dprodI* [*intro!*]:  
 $[[ (M,M'):r; (N,N'):s ]] ==> (Scons\ M\ N,\ Scons\ M'\ N') : dprod\ r\ s$   
 $\langle proof \rangle$

**lemma** *dprodE* [*elim!*]:  
 $[[ c : dprod\ r\ s;$   
 $!!x\ y\ x'\ y'. [[ (x,x') : r; (y,y') : s;$   
 $c = (Scons\ x\ y,\ Scons\ x'\ y') ]] ==> P$   
 $]] ==> P$   
 $\langle proof \rangle$

**lemma** *dsum-In0I* [*intro*]:  $(M,M'):r ==> (In0(M), In0(M')) : dsum\ r\ s$   
 $\langle proof \rangle$

**lemma** *dsum-In1I* [*intro*]:  $(N,N'):s ==> (In1(N), In1(N')) : dsum\ r\ s$   
 $\langle proof \rangle$

**lemma** *dsumE* [*elim!*]:  
 $[[ w : dsum\ r\ s;$   
 $!!x\ x'. [[ (x,x') : r; w = (In0(x), In0(x')) ]] ==> P;$   
 $!!y\ y'. [[ (y,y') : s; w = (In1(y), In1(y')) ]] ==> P$   
 $]] ==> P$   
 $\langle proof \rangle$

**lemma** *dprod-mono*:  $[[ r<=r'; s<=s' ]] ==> dprod\ r\ s <= dprod\ r'\ s'$   
 $\langle proof \rangle$

**lemma** *dsum-mono*:  $[[ r<=r'; s<=s' ]] ==> dsum\ r\ s <= dsum\ r'\ s'$   
 $\langle proof \rangle$

**lemma** *dprod-Sigma*:  $(dprod\ (A\ <*>\ B)\ (C\ <*>\ D)) <= (uprod\ A\ C)\ <*>\ (uprod\ B\ D)$

*<proof>*

**lemmas** *dprod-subset-Sigma* = *subset-trans* [*OF dprod-mono dprod-Sigma, standard*]

**lemma** *dprod-subset-Sigma2*:  
     (*dprod* (*Sigma A B*) (*Sigma C D*)) <=  
     *Sigma* (*uprod A C*) (*Split* (%*x y. uprod* (*B x*) (*D y*)))  
*<proof>*

**lemma** *dsum-Sigma*: (*dsum* (*A <\*> B*) (*C <\*> D*)) <= (*usum A C*) <\*> (*usum B D*)  
*<proof>*

**lemmas** *dsum-subset-Sigma* = *subset-trans* [*OF dsum-mono dsum-Sigma, standard*]

hides popular names

**hide-type** (**open**) *node item*

**hide-const** (**open**) *Push Node Atom Leaf Numb Lim Split Case*

*<ML>*

**end**

## 17 Record: Extensible records with structural subtyping

**theory** *Record*  
**imports** *Datatype*  
**uses** (*Tools/record.ML*)  
**begin**

### 17.1 Introduction

Records are isomorphic to compound tuple types. To implement efficient records, we make this isomorphism explicit. Consider the record access/update simplification *alpha* (*beta-update f rec*) = *alpha rec* for distinct fields *alpha* and *beta* of some record *rec* with *n* fields. There are  $n^2$  such theorems, which prohibits storage of all of them for large *n*. The rules can be proved on the fly by case decomposition and simplification in  $O(n)$  time. By creating  $O(n)$  isomorphic-tuple types while defining the record, however, we can prove the access/update simplification in  $O(\log(n)^2)$  time.

The  $O(n)$  cost of case decomposition is not because  $O(n)$  steps are taken, but rather because the resulting rule must contain  $O(n)$  new variables and

an  $O(n)$  size concrete record construction. To sidestep this cost, we would like to avoid case decomposition in proving access/update theorems.

Record types are defined as isomorphic to tuple types. For instance, a record type with fields  $'a$ ,  $'b$ ,  $'c$  and  $'d$  might be introduced as isomorphic to  $'a \times ('b \times ('c \times 'd))$ . If we balance the tuple tree to  $('a \times 'b) \times ('c \times 'd)$  then accessors can be defined by converting to the underlying type then using  $O(\log(n))$  fst or snd operations. Updaters can be defined similarly, if we introduce a *fst-update* and *snd-update* function. Furthermore, we can prove the access/update theorem in  $O(\log(n))$  steps by using simple rewrites on fst, snd, *fst-update* and *snd-update*.

The catch is that, although  $O(\log(n))$  steps were taken, the underlying type we converted to is a tuple tree of size  $O(n)$ . Processing this term type wastes performance. We avoid this for large  $n$  by taking each subtree of size  $K$  and defining a new type isomorphic to that tuple subtree. A record can now be defined as isomorphic to a tuple tree of these  $O(n/K)$  new types, or, if  $n > K * K$ , we can repeat the process, until the record can be defined in terms of a tuple tree of complexity less than the constant  $K$ .

If we prove the access/update theorem on this type with the analagous steps to the tuple tree, we consume  $O(\log(n)^2)$  time as the intermediate terms are  $O(\log(n))$  in size and the types needed have size bounded by  $K$ . To enable this analagous traversal, we define the functions seen below: *iso-tuple-fst*, *iso-tuple-snd*, *iso-tuple-fst-update* and *iso-tuple-snd-update*. These functions generalise tuple operations by taking a parameter that encapsulates a tuple isomorphism. The rewrites needed on these functions now need an additional assumption which is that the isomorphism works.

These rewrites are typically used in a structured way. They are here presented as the introduction rule *isomorphic-tuple.intros* rather than as a rewrite rule set. The introduction form is an optimisation, as net matching can be performed at one term location for each step rather than the simplifier searching the term for possible pattern matches. The rule set is used as it is viewed outside the locale, with the locale assumption (that the isomorphism is valid) left as a rule assumption. All rules are structured to aid net matching, using either a point-free form or an encapsulating predicate.

## 17.2 Operators and lemmas for types isomorphic to tuples

**datatype**  $('a, 'b, 'c)$  *tuple-isomorphism* =  
*Tuple-Isomorphism*  $'a \Rightarrow 'b \times 'c$   $'b \times 'c \Rightarrow 'a$

**primrec**

*repr* ::  $('a, 'b, 'c)$  *tuple-isomorphism*  $\Rightarrow 'a \Rightarrow 'b \times 'c$  **where**  
*repr* (*Tuple-Isomorphism*  $r$   $a$ ) =  $r$

**primrec**

$abst :: ('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow 'b \times 'c \Rightarrow 'a$  **where**  
 $abst \text{ (Tuple-Isomorphism } r \text{ } a) = a$

**definition**

$iso\text{-tuple}\text{-fst} :: ('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow 'a \Rightarrow 'b$  **where**  
 $iso\text{-tuple}\text{-fst} \text{ isom} = fst \circ repr \text{ isom}$

**definition**

$iso\text{-tuple}\text{-snd} :: ('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow 'a \Rightarrow 'c$  **where**  
 $iso\text{-tuple}\text{-snd} \text{ isom} = snd \circ repr \text{ isom}$

**definition**

$iso\text{-tuple}\text{-fst}\text{-update} ::$   
 $('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'a)$  **where**  
 $iso\text{-tuple}\text{-fst}\text{-update} \text{ isom } f = abst \text{ isom} \circ apfst \text{ } f \circ repr \text{ isom}$

**definition**

$iso\text{-tuple}\text{-snd}\text{-update} ::$   
 $('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow ('c \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'a)$  **where**  
 $iso\text{-tuple}\text{-snd}\text{-update} \text{ isom } f = abst \text{ isom} \circ apsnd \text{ } f \circ repr \text{ isom}$

**definition**

$iso\text{-tuple}\text{-cons} ::$   
 $('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow 'b \Rightarrow 'c \Rightarrow 'a$  **where**  
 $iso\text{-tuple}\text{-cons} \text{ isom} = \text{curry } (abst \text{ isom})$

**17.3 Logical infrastructure for records****definition**

$iso\text{-tuple}\text{-surjective}\text{-proof}\text{-assist} :: 'a \Rightarrow 'b \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$  **where**  
 $iso\text{-tuple}\text{-surjective}\text{-proof}\text{-assist} \text{ } x \text{ } y \text{ } f \iff f \text{ } x = y$

**definition**

$iso\text{-tuple}\text{-update}\text{-accessor}\text{-cong}\text{-assist} ::$   
 $(('b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'a)) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$  **where**  
 $iso\text{-tuple}\text{-update}\text{-accessor}\text{-cong}\text{-assist} \text{ } upd \text{ } acc \iff$   
 $(\forall f \text{ } v. \text{ } upd \text{ } (\lambda x. \text{ } f \text{ } (acc \text{ } v)) \text{ } v = upd \text{ } f \text{ } v) \wedge (\forall v. \text{ } upd \text{ } id \text{ } v = v)$

**definition**

$iso\text{-tuple}\text{-update}\text{-accessor}\text{-eq}\text{-assist} ::$   
 $(('b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'a)) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow \text{bool}$   
**where**  
 $iso\text{-tuple}\text{-update}\text{-accessor}\text{-eq}\text{-assist} \text{ } upd \text{ } acc \text{ } v \text{ } f \text{ } v' \text{ } x \iff$   
 $upd \text{ } f \text{ } v = v' \wedge acc \text{ } v = x \wedge iso\text{-tuple}\text{-update}\text{-accessor}\text{-cong}\text{-assist} \text{ } upd \text{ } acc$

**lemma** *update-accessor-congruence-foldE*:

**assumes** *uac*:  $iso\text{-tuple}\text{-update}\text{-accessor}\text{-cong}\text{-assist} \text{ } upd \text{ } acc$   
**and** *r*:  $r = r'$  **and** *v*:  $acc \text{ } r' = v'$   
**and** *f*:  $\bigwedge v. v' = v \implies f \text{ } v = f' \text{ } v$

**shows**  $\text{upd } f \ r = \text{upd } f' \ r'$   
 $\langle \text{proof} \rangle$

**lemma** *update-accessor-congruence-unfoldE*:  
 $\text{iso-tuple-update-accessor-cong-assist } \text{upd } \text{acc} \implies$   
 $r = r' \implies \text{acc } r' = v' \implies (\bigwedge v. v = v' \implies f \ v = f' \ v) \implies$   
 $\text{upd } f \ r = \text{upd } f' \ r'$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-update-accessor-cong-assist-id*:  
 $\text{iso-tuple-update-accessor-cong-assist } \text{upd } \text{acc} \implies \text{upd } \text{id} = \text{id}$   
 $\langle \text{proof} \rangle$

**lemma** *update-accessor-noopE*:  
**assumes**  $\text{uac}: \text{iso-tuple-update-accessor-cong-assist } \text{upd } \text{acc}$   
**and**  $\text{acc}: f \ (\text{acc } x) = \text{acc } x$   
**shows**  $\text{upd } f \ x = x$   
 $\langle \text{proof} \rangle$

**lemma** *update-accessor-noop-compE*:  
**assumes**  $\text{uac}: \text{iso-tuple-update-accessor-cong-assist } \text{upd } \text{acc}$   
**and**  $\text{acc}: f \ (\text{acc } x) = \text{acc } x$   
**shows**  $\text{upd } (g \circ f) \ x = \text{upd } g \ x$   
 $\langle \text{proof} \rangle$

**lemma** *update-accessor-cong-assist-idI*:  
 $\text{iso-tuple-update-accessor-cong-assist } \text{id } \text{id}$   
 $\langle \text{proof} \rangle$

**lemma** *update-accessor-cong-assist-triv*:  
 $\text{iso-tuple-update-accessor-cong-assist } \text{upd } \text{acc} \implies$   
 $\text{iso-tuple-update-accessor-cong-assist } \text{upd } \text{acc}$   
 $\langle \text{proof} \rangle$

**lemma** *update-accessor-accessor-eqE*:  
 $\text{iso-tuple-update-accessor-eq-assist } \text{upd } \text{acc } v \ f \ v' \ x \implies \text{acc } v = x$   
 $\langle \text{proof} \rangle$

**lemma** *update-accessor-updator-eqE*:  
 $\text{iso-tuple-update-accessor-eq-assist } \text{upd } \text{acc } v \ f \ v' \ x \implies \text{upd } f \ v = v'$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-update-accessor-eq-assist-idI*:  
 $v' = f \ v \implies \text{iso-tuple-update-accessor-eq-assist } \text{id } \text{id } v \ f \ v' \ v$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-update-accessor-eq-assist-triv*:  
 $\text{iso-tuple-update-accessor-eq-assist } \text{upd } \text{acc } v \ f \ v' \ x \implies$   
 $\text{iso-tuple-update-accessor-eq-assist } \text{upd } \text{acc } v \ f \ v' \ x$

$\langle \text{proof} \rangle$

**lemma** *iso-tuple-update-accessor-cong-from-eq*:  
 $\text{iso-tuple-update-accessor-eq-assist } \text{upd } \text{acc } v \text{ } f \text{ } v' \text{ } x \implies$   
 $\text{iso-tuple-update-accessor-cong-assist } \text{upd } \text{acc}$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-surjective-proof-assistI*:  
 $f \text{ } x = y \implies \text{iso-tuple-surjective-proof-assist } x \text{ } y \text{ } f$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-surjective-proof-assist-idE*:  
 $\text{iso-tuple-surjective-proof-assist } x \text{ } y \text{ } \text{id} \implies x = y$   
 $\langle \text{proof} \rangle$

**locale** *isomorphic-tuple* =  
**fixes** *isom* :: ('a, 'b, 'c) *tuple-isomorphism*  
**assumes** *repr-inv*:  $\bigwedge x. \text{abst } \text{isom } (\text{repr } \text{isom } x) = x$   
**and** *abst-inv*:  $\bigwedge y. \text{repr } \text{isom } (\text{abst } \text{isom } y) = y$   
**begin**

**lemma** *repr-inj*:  $\text{repr } \text{isom } x = \text{repr } \text{isom } y \longleftrightarrow x = y$   
 $\langle \text{proof} \rangle$

**lemma** *abst-inj*:  $\text{abst } \text{isom } x = \text{abst } \text{isom } y \longleftrightarrow x = y$   
 $\langle \text{proof} \rangle$

**lemmas** *simps* = *Let-def repr-inv abst-inv repr-inj abst-inj*

**lemma** *iso-tuple-access-update-fst-fst*:  
 $f \text{ } o \text{ } h \text{ } g = j \text{ } o \text{ } f \implies$   
 $(f \text{ } o \text{ } \text{iso-tuple-fst } \text{isom}) \text{ } o \text{ } (\text{iso-tuple-fst-update } \text{isom } o \text{ } h) \text{ } g =$   
 $j \text{ } o \text{ } (f \text{ } o \text{ } \text{iso-tuple-fst } \text{isom})$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-access-update-snd-snd*:  
 $f \text{ } o \text{ } h \text{ } g = j \text{ } o \text{ } f \implies$   
 $(f \text{ } o \text{ } \text{iso-tuple-snd } \text{isom}) \text{ } o \text{ } (\text{iso-tuple-snd-update } \text{isom } o \text{ } h) \text{ } g =$   
 $j \text{ } o \text{ } (f \text{ } o \text{ } \text{iso-tuple-snd } \text{isom})$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-access-update-fst-snd*:  
 $(f \text{ } o \text{ } \text{iso-tuple-fst } \text{isom}) \text{ } o \text{ } (\text{iso-tuple-snd-update } \text{isom } o \text{ } h) \text{ } g =$   
 $\text{id } o \text{ } (f \text{ } o \text{ } \text{iso-tuple-fst } \text{isom})$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-access-update-snd-fst*:  
 $(f \text{ } o \text{ } \text{iso-tuple-snd } \text{isom}) \text{ } o \text{ } (\text{iso-tuple-fst-update } \text{isom } o \text{ } h) \text{ } g =$   
 $\text{id } o \text{ } (f \text{ } o \text{ } \text{iso-tuple-snd } \text{isom})$



$\langle \text{proof} \rangle$

**lemma** *iso-tuple-update-swap-fst-fst:*

$h \circ f \circ j \circ g = j \circ g \circ h \circ f \implies$   
 $(\text{iso-tuple-fst-update isom } o \ h) \circ f \circ (\text{iso-tuple-fst-update isom } o \ j) \circ g =$   
 $(\text{iso-tuple-fst-update isom } o \ j) \circ g \circ (\text{iso-tuple-fst-update isom } o \ h) \circ f$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-update-swap-snd-snd:*

$h \circ f \circ j \circ g = j \circ g \circ h \circ f \implies$   
 $(\text{iso-tuple-snd-update isom } o \ h) \circ f \circ (\text{iso-tuple-snd-update isom } o \ j) \circ g =$   
 $(\text{iso-tuple-snd-update isom } o \ j) \circ g \circ (\text{iso-tuple-snd-update isom } o \ h) \circ f$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-update-swap-fst-snd:*

$(\text{iso-tuple-snd-update isom } o \ h) \circ f \circ (\text{iso-tuple-fst-update isom } o \ j) \circ g =$   
 $(\text{iso-tuple-fst-update isom } o \ j) \circ g \circ (\text{iso-tuple-snd-update isom } o \ h) \circ f$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-update-swap-snd-fst:*

$(\text{iso-tuple-fst-update isom } o \ h) \circ f \circ (\text{iso-tuple-snd-update isom } o \ j) \circ g =$   
 $(\text{iso-tuple-snd-update isom } o \ j) \circ g \circ (\text{iso-tuple-fst-update isom } o \ h) \circ f$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-update-compose-fst-fst:*

$h \circ f \circ j \circ g = k \circ (f \circ g) \implies$   
 $(\text{iso-tuple-fst-update isom } o \ h) \circ f \circ (\text{iso-tuple-fst-update isom } o \ j) \circ g =$   
 $(\text{iso-tuple-fst-update isom } o \ k) \circ (f \circ g)$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-update-compose-snd-snd:*

$h \circ f \circ j \circ g = k \circ (f \circ g) \implies$   
 $(\text{iso-tuple-snd-update isom } o \ h) \circ f \circ (\text{iso-tuple-snd-update isom } o \ j) \circ g =$   
 $(\text{iso-tuple-snd-update isom } o \ k) \circ (f \circ g)$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-surjective-proof-assist-step:*

$\text{iso-tuple-surjective-proof-assist } v \ a \ (\text{iso-tuple-fst isom } o \ f) \implies$   
 $\text{iso-tuple-surjective-proof-assist } v \ b \ (\text{iso-tuple-snd isom } o \ f) \implies$   
 $\text{iso-tuple-surjective-proof-assist } v \ (\text{iso-tuple-cons isom } a \ b) \ f$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-fst-update-accessor-cong-assist:*

**assumes** *iso-tuple-update-accessor-cong-assist*  $f \ g$   
**shows** *iso-tuple-update-accessor-cong-assist*  
 $(\text{iso-tuple-fst-update isom } o \ f) \ (g \circ \text{iso-tuple-fst isom})$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-snd-update-accessor-cong-assist:*

**assumes** *iso-tuple-update-accessor-cong-assist*  $f\ g$   
**shows** *iso-tuple-update-accessor-cong-assist*  
 $(\text{iso-tuple-snd-update isom } o\ f)\ (g\ o\ \text{iso-tuple-snd isom})$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-fst-update-accessor-eq-assist*:  
**assumes** *iso-tuple-update-accessor-eq-assist*  $f\ g\ a\ u\ a'\ v$   
**shows** *iso-tuple-update-accessor-eq-assist*  
 $(\text{iso-tuple-fst-update isom } o\ f)\ (g\ o\ \text{iso-tuple-fst isom})$   
 $(\text{iso-tuple-cons isom } a\ b)\ u\ (\text{iso-tuple-cons isom } a'\ b)\ v$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-snd-update-accessor-eq-assist*:  
**assumes** *iso-tuple-update-accessor-eq-assist*  $f\ g\ b\ u\ b'\ v$   
**shows** *iso-tuple-update-accessor-eq-assist*  
 $(\text{iso-tuple-snd-update isom } o\ f)\ (g\ o\ \text{iso-tuple-snd isom})$   
 $(\text{iso-tuple-cons isom } a\ b)\ u\ (\text{iso-tuple-cons isom } a\ b')\ v$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-cons-conj-eqI*:  
 $a = c \wedge b = d \wedge P \longleftrightarrow Q \implies$   
 $\text{iso-tuple-cons isom } a\ b = \text{iso-tuple-cons isom } c\ d \wedge P \longleftrightarrow Q$   
 $\langle \text{proof} \rangle$

**lemmas** *intros* =  
*iso-tuple-access-update-fst-fst*  
*iso-tuple-access-update-snd-snd*  
*iso-tuple-access-update-fst-snd*  
*iso-tuple-access-update-snd-fst*  
*iso-tuple-update-swap-fst-fst*  
*iso-tuple-update-swap-snd-snd*  
*iso-tuple-update-swap-fst-snd*  
*iso-tuple-update-swap-snd-fst*  
*iso-tuple-update-compose-fst-fst*  
*iso-tuple-update-compose-snd-snd*  
*iso-tuple-surjective-proof-assist-step*  
*iso-tuple-fst-update-accessor-eq-assist*  
*iso-tuple-snd-update-accessor-eq-assist*  
*iso-tuple-fst-update-accessor-cong-assist*  
*iso-tuple-snd-update-accessor-cong-assist*  
*iso-tuple-cons-conj-eqI*

**end**

**lemma** *isomorphic-tuple-intro*:  
**fixes** *repr abst*  
**assumes** *repr-inj*:  $\bigwedge x\ y. \text{repr } x = \text{repr } y \longleftrightarrow x = y$   
**and** *abst-inv*:  $\bigwedge z. \text{repr } (\text{abst } z) = z$   
**and** *v*:  $v \equiv \text{Tuple-Isomorphism repr abst}$

**shows** *isomorphic-tuple* *v*  
 $\langle \text{proof} \rangle$

**definition**

*tuple-iso-tuple*  $\equiv$  *Tuple-Isomorphism* *id id*

**lemma** *tuple-iso-tuple*:

*isomorphic-tuple tuple-iso-tuple*  
 $\langle \text{proof} \rangle$

**lemma** *refl-conj-eq*:  $Q = R \implies P \wedge Q \longleftrightarrow P \wedge R$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-UNIV-I*:  $x \in \text{UNIV} \equiv \text{True}$   
 $\langle \text{proof} \rangle$

**lemma** *iso-tuple-True-simp*:  $(\text{True} \implies \text{PROP } P) \equiv \text{PROP } P$   
 $\langle \text{proof} \rangle$

**lemma** *prop-subst*:  $s = t \implies \text{PROP } P \ t \implies \text{PROP } P \ s$   
 $\langle \text{proof} \rangle$

**lemma** *K-record-comp*:  $(\lambda x. \ c) \circ f = (\lambda x. \ c)$   
 $\langle \text{proof} \rangle$

**lemma** *o-eq-dest-lhs*:  $a \ o \ b = c \implies a \ (b \ v) = c \ v$   
 $\langle \text{proof} \rangle$

**lemma** *o-eq-id-dest*:  $a \ o \ b = \text{id} \ o \ c \implies a \ (b \ v) = c \ v$   
 $\langle \text{proof} \rangle$

## 17.4 Concrete record syntax

### nonterminals

*ident field-type field-types field fields field-update field-updates*

### syntax

|                            |  |                                    |
|----------------------------|--|------------------------------------|
| <i>-constify</i>           | $:: \text{ident} \Rightarrow \text{ident}$   | $(-)$                              |
| <i>-constify</i>           | $:: \text{longid} \Rightarrow \text{ident}$  | $(-)$                              |
| <i>-field-type</i>         | $:: \text{ident} \Rightarrow \text{type} \Rightarrow \text{field-type}$              | $((2- \ ::/ -))$                   |
|                            | $:: \text{field-type} \Rightarrow \text{field-types}$                                | $(-)$                              |
| <i>-field-types</i>        | $:: \text{field-type} \Rightarrow \text{field-types} \Rightarrow \text{field-types}$ | $(-, / -)$                         |
| <i>-record-type</i>        | $:: \text{field-types} \Rightarrow \text{type}$                                      | $((3'(  -  ')))$                   |
| <i>-record-type-scheme</i> | $:: \text{field-types} \Rightarrow \text{type} \Rightarrow \text{type}$              | $((3'(  -, / (2... \ ::/ -)  ')))$ |
| <i>-field</i>              | $:: \text{ident} \Rightarrow 'a \Rightarrow \text{field}$                            | $((2- =/ -))$                      |
|                            | $:: \text{field} \Rightarrow \text{fields}$  | $(-)$                              |
| <i>-fields</i>             | $:: \text{field} \Rightarrow \text{fields} \Rightarrow \text{fields}$                | $(-, / -)$                         |
| <i>-record</i>             | $:: \text{fields} \Rightarrow 'a$  | $((3'(  -  ')))$                   |

```

-record-scheme      :: fields => 'a => 'a          ((3'(| -, (2... =/ -) |'))
-field-update       :: ident => 'a => field-update  ((2- :=/ -))
                   :: field-update => field-updates (-)
-field-updates      :: field-update => field-updates => field-updates (-,/ -)
-record-update      :: 'a => field-updates => 'b     (-/(3'(| - |')) [900, 0] 900)

syntax (xsymbols)
-record-type        :: field-types => type          ((3(|-)))
-record-type-scheme :: field-types => type => type   ((3(|-, (2... ::/ -) |)))
-record            :: fields => 'a                  ((3(|-)))
-record-scheme      :: fields => 'a => 'a           ((3(|-, (2... =/ -) |)))
-record-update      :: 'a => field-updates => 'b     (-/(3(|-)) [900, 0] 900)

```

## 17.5 Record package

*<ML>*

```

hide-const (open) Tuple-Isomorphism repr abst iso-tuple-fst iso-tuple-snd
iso-tuple-fst-update iso-tuple-snd-update iso-tuple-cons
iso-tuple-surjective-proof-assist iso-tuple-update-accessor-cong-assist
iso-tuple-update-accessor-eq-assist tuple-iso-tuple

```

end

## 18 Power: Exponentiation

```

theory Power
imports Nat
begin

```

### 18.1 Powers for Arbitrary Monoids

```

class power = one + times
begin

```

```

primrec power :: 'a ⇒ nat ⇒ 'a (infixr ^ 80) where
  power-0: a ^ 0 = 1
| power-Suc: a ^ Suc n = a * a ^ n

```

```

notation (latex output)
power ((-) [1000] 1000)

```

```

notation (HTML output)
power ((-) [1000] 1000)

```

end

**context** *monoid-mult*  
**begin**

**subclass** *power*  $\langle \text{proof} \rangle$

**lemma** *power-one* [*simp*]:  
 $1 \wedge n = 1$   
 $\langle \text{proof} \rangle$

**lemma** *power-one-right* [*simp*]:  
 $a \wedge 1 = a$   
 $\langle \text{proof} \rangle$

**lemma** *power-commutes*:  
 $a \wedge n * a = a * a \wedge n$   
 $\langle \text{proof} \rangle$

**lemma** *power-Suc2*:  
 $a \wedge \text{Suc } n = a \wedge n * a$   
 $\langle \text{proof} \rangle$

**lemma** *power-add*:  
 $a \wedge (m + n) = a \wedge m * a \wedge n$   
 $\langle \text{proof} \rangle$

**lemma** *power-mult*:  
 $a \wedge (m * n) = (a \wedge m) \wedge n$   
 $\langle \text{proof} \rangle$

**end**

**context** *comm-monoid-mult*  
**begin**

**lemma** *power-mult-distrib*:  
 $(a * b) \wedge n = (a \wedge n) * (b \wedge n)$   
 $\langle \text{proof} \rangle$

**end**

**context** *semiring-1*  
**begin**

**lemma** *of-nat-power*:  
 $\text{of-nat } (m \wedge n) = \text{of-nat } m \wedge n$   
 $\langle \text{proof} \rangle$

**end**

**context** *comm-semiring-1*  
**begin**

The divides relation

**lemma** *le-imp-power-dvd*:  
 assumes  $m \leq n$  **shows**  $a \wedge m \text{ dvd } a \wedge n$   
 $\langle \text{proof} \rangle$

**lemma** *power-le-dvd*:  
 $a \wedge n \text{ dvd } b \implies m \leq n \implies a \wedge m \text{ dvd } b$   
 $\langle \text{proof} \rangle$

**lemma** *dvd-power-same*:  
 $x \text{ dvd } y \implies x \wedge n \text{ dvd } y \wedge n$   
 $\langle \text{proof} \rangle$

**lemma** *dvd-power-le*:  
 $x \text{ dvd } y \implies m \geq n \implies x \wedge n \text{ dvd } y \wedge m$   
 $\langle \text{proof} \rangle$

**lemma** *dvd-power [simp]*:  
 assumes  $n > (0::\text{nat}) \vee x = 1$   
 shows  $x \text{ dvd } (x \wedge n)$   
 $\langle \text{proof} \rangle$

**end**

**context** *ring-1*  
**begin**

**lemma** *power-minus*:  
 $(- a) \wedge n = (- 1) \wedge n * a \wedge n$   
 $\langle \text{proof} \rangle$

**end**

**context** *linordered-semidom*  
**begin**

**lemma** *zero-less-power [simp]*:  
 $0 < a \implies 0 < a \wedge n$   
 $\langle \text{proof} \rangle$

**lemma** *zero-le-power [simp]*:  
 $0 \leq a \implies 0 \leq a \wedge n$   
 $\langle \text{proof} \rangle$

**lemma** *one-le-power [simp]*:  
 $1 \leq a \implies 1 \leq a \wedge n$

$\langle \text{proof} \rangle$

**lemma** *power-gt1-lemma*:

**assumes** *gt1*:  $1 < a$

**shows**  $1 < a * a ^ n$

$\langle \text{proof} \rangle$

**lemma** *power-gt1*:

$1 < a \implies 1 < a ^ \text{Suc } n$

$\langle \text{proof} \rangle$

**lemma** *one-less-power* [simp]:

$1 < a \implies 0 < n \implies 1 < a ^ n$

$\langle \text{proof} \rangle$

**lemma** *power-le-imp-le-exp*:

**assumes** *gt1*:  $1 < a$

**shows**  $a ^ m \leq a ^ n \implies m \leq n$

$\langle \text{proof} \rangle$

Surely we can strengthen this? It holds for  $0 < a < 1$  too.

**lemma** *power-inject-exp* [simp]:

$1 < a \implies a ^ m = a ^ n \longleftrightarrow m = n$

$\langle \text{proof} \rangle$

Can relax the first premise to  $(0::'a) < a$  in the case of the natural numbers.

**lemma** *power-less-imp-less-exp*:

$1 < a \implies a ^ m < a ^ n \implies m < n$

$\langle \text{proof} \rangle$

**lemma** *power-mono*:

$a \leq b \implies 0 \leq a \implies a ^ n \leq b ^ n$

$\langle \text{proof} \rangle$

**lemma** *power-strict-mono* [rule-format]:

$a < b \implies 0 \leq a \implies 0 < n \longrightarrow a ^ n < b ^ n$

$\langle \text{proof} \rangle$

Lemma for *power-strict-decreasing*

**lemma** *power-Suc-less*:

$0 < a \implies a < 1 \implies a * a ^ n < a ^ n$

$\langle \text{proof} \rangle$

**lemma** *power-strict-decreasing* [rule-format]:

$n < N \implies 0 < a \implies a < 1 \longrightarrow a ^ N < a ^ n$

$\langle \text{proof} \rangle$

Proof resembles that of *power-strict-decreasing*

**lemma** *power-decreasing* [rule-format]:

$n \leq N \implies 0 \leq a \implies a \leq 1 \longrightarrow a \wedge N \leq a \wedge n$   
 ⟨proof⟩

**lemma** *power-Suc-less-one*:

$0 < a \implies a < 1 \implies a \wedge \text{Suc } n < 1$   
 ⟨proof⟩

Proof again resembles that of *power-strict-decreasing*

**lemma** *power-increasing* [rule-format]:

$n \leq N \implies 1 \leq a \implies a \wedge n \leq a \wedge N$   
 ⟨proof⟩

Lemma for *power-strict-increasing*

**lemma** *power-less-power-Suc*:

$1 < a \implies a \wedge n < a * a \wedge n$   
 ⟨proof⟩

**lemma** *power-strict-increasing* [rule-format]:

$n < N \implies 1 < a \longrightarrow a \wedge n < a \wedge N$   
 ⟨proof⟩

**lemma** *power-increasing-iff* [simp]:

$1 < b \implies b \wedge x \leq b \wedge y \longleftrightarrow x \leq y$   
 ⟨proof⟩

**lemma** *power-strict-increasing-iff* [simp]:

$1 < b \implies b \wedge x < b \wedge y \longleftrightarrow x < y$   
 ⟨proof⟩

**lemma** *power-le-imp-le-base*:

**assumes** *le*:  $a \wedge \text{Suc } n \leq b \wedge \text{Suc } n$

**and** *ynonneg*:  $0 \leq b$

**shows**  $a \leq b$

⟨proof⟩

**lemma** *power-less-imp-less-base*:

**assumes** *less*:  $a \wedge n < b \wedge n$

**assumes** *nonneg*:  $0 \leq b$

**shows**  $a < b$

⟨proof⟩

**lemma** *power-inject-base*:

$a \wedge \text{Suc } n = b \wedge \text{Suc } n \implies 0 \leq a \implies 0 \leq b \implies a = b$

⟨proof⟩

**lemma** *power-eq-imp-eq-base*:

$a \wedge n = b \wedge n \implies 0 \leq a \implies 0 \leq b \implies 0 < n \implies a = b$

⟨proof⟩



**end**

**context** *linordered-idom*  
**begin**

**lemma** *power-abs*:  
 $abs (a \wedge n) = abs a \wedge n$   
 $\langle proof \rangle$

**lemma** *abs-power-minus* [*simp*]:  
 $abs ((-a) \wedge n) = abs (a \wedge n)$   
 $\langle proof \rangle$

**lemma** *zero-less-power-abs-iff* [*simp*, *no-atp*]:  
 $0 < abs a \wedge n \iff a \neq 0 \vee n = 0$   
 $\langle proof \rangle$

**lemma** *zero-le-power-abs* [*simp*]:  
 $0 \leq abs a \wedge n$   
 $\langle proof \rangle$

**end**

**context** *ring-1-no-zero-divisors*  
**begin**

**lemma** *field-power-not-zero*:  
 $a \neq 0 \implies a \wedge n \neq 0$   
 $\langle proof \rangle$

**end**

**context** *division-ring*  
**begin**

FIXME reorient or rename to *nonzero-inverse-power*

**lemma** *nonzero-power-inverse*:  
 $a \neq 0 \implies inverse (a \wedge n) = (inverse a) \wedge n$   
 $\langle proof \rangle$

**end**

**context** *field*  
**begin**

**lemma** *nonzero-power-divide*:  
 $b \neq 0 \implies (a / b) \wedge n = a \wedge n / b \wedge n$   
 $\langle proof \rangle$

**end**

**lemma** *power-0-Suc* [simp]:  
 $(0 :: 'a :: \{\text{power}, \text{semiring-0}\}) \wedge \text{Suc } n = 0$   
 ⟨proof⟩

It looks plausible as a simprule, but its effect can be strange.

**lemma** *power-0-left*:  
 $0 \wedge n = (\text{if } n = 0 \text{ then } 1 \text{ else } (0 :: 'a :: \{\text{power}, \text{semiring-0}\}))$   
 ⟨proof⟩

**lemma** *power-eq-0-iff* [simp]:  
 $a \wedge n = 0 \longleftrightarrow$   
 $a = (0 :: 'a :: \{\text{mult-zero}, \text{zero-neq-one}, \text{no-zero-divisors}, \text{power}\}) \wedge n \neq 0$   
 ⟨proof⟩

**lemma** (in *field*) *power-diff*:  
**assumes** *nz*:  $a \neq 0$   
**shows**  $n \leq m \implies a \wedge (m - n) = a \wedge m / a \wedge n$   
 ⟨proof⟩

Perhaps these should be simprules.

**lemma** *power-inverse*:  
**fixes**  $a :: 'a :: \text{division-ring-inverse-zero}$   
**shows**  $\text{inverse } (a \wedge n) = \text{inverse } a \wedge n$   
 ⟨proof⟩

**lemma** *power-one-over*:  
 $1 / (a :: 'a :: \{\text{field-inverse-zero}, \text{power}\}) \wedge n = (1 / a) \wedge n$   
 ⟨proof⟩

**lemma** *power-divide*:  
 $(a / b) \wedge n = (a :: 'a :: \text{field-inverse-zero}) \wedge n / b \wedge n$   
 ⟨proof⟩

## 18.2 Exponentiation for the Natural Numbers

**lemma** *nat-one-le-power* [simp]:  
 $\text{Suc } 0 \leq i \implies \text{Suc } 0 \leq i \wedge n$   
 ⟨proof⟩

**lemma** *nat-zero-less-power-iff* [simp]:  
 $x \wedge n > 0 \longleftrightarrow x > (0 :: \text{nat}) \vee n = 0$   
 ⟨proof⟩

**lemma** *nat-power-eq-Suc-0-iff* [simp]:  
 $x \wedge m = \text{Suc } 0 \longleftrightarrow m = 0 \vee x = \text{Suc } 0$   
 ⟨proof⟩

```

lemma power-Suc-0 [simp]:
  Suc 0 ^ n = Suc 0
  ⟨proof⟩

```

Valid for the naturals, but what if  $0 < i < 1$ ? Premises cannot be weakened: consider the case where  $i = (0::'a)$ ,  $m = (1::'a)$  and  $n = (0::'a)$ .

```

lemma nat-power-less-imp-less:
  assumes nonneg:  $0 < (i::nat)$ 
  assumes less:  $i ^ m < i ^ n$ 
  shows  $m < n$ 
  ⟨proof⟩

```

```

lemma power-dvd-imp-le:
   $i ^ m \text{ dvd } i ^ n \implies (1::nat) < i \implies m \leq n$ 
  ⟨proof⟩

```

### 18.3 Code generator tweak

```

lemma power-power-power [code, code-unfold, code-inline del]:
  power = power.power (1::'a::{power}) (op *)
  ⟨proof⟩

```

```

declare power.power.simps [code]

```

```

code-modulename SML
  Power Arith

```

```

code-modulename OCaml
  Power Arith

```

```

code-modulename Haskell
  Power Arith

```

```

end

```

## 19 Option: Datatype option

```

theory Option
imports Datatype
begin

```

```

datatype 'a option = None | Some 'a

```

```

lemma not-None-eq [iff]:  $(x \sim = \text{None}) = (\text{EX } y. x = \text{Some } y)$ 
  ⟨proof⟩

```

```

lemma not-Some-eq [iff]:  $(\text{ALL } y. x \sim = \text{Some } y) = (x = \text{None})$ 
  ⟨proof⟩

```

Although it may appear that both of these equalities are helpful only when applied to assumptions, in practice it seems better to give them the uniform iff attribute.

**lemma** *inj-Some* [simp]: *inj-on Some A*  
 ⟨proof⟩

**lemma** *option-caseE*:  
 assumes *c*: (*case x of None => P | Some y => Q y*)  
 obtains  
   (*None*) *x = None and P*  
   | (*Some*) *y where x = Some y and Q y*  
 ⟨proof⟩

**lemma** *UNIV-option-conv*: *UNIV = insert None (range Some)*  
 ⟨proof⟩

### 19.0.1 Operations

**primrec** *the* :: '*a* option => '*a* **where**  
*the* (*Some x*) = *x*

**primrec** *set* :: '*a* option => '*a* set **where**  
*set None* = {} |  
*set (Some x)* = {*x*}

**lemma** *ospec* [dest]: (*ALL x:set A. P x*) ==> *A = Some x ==> P x*  
 ⟨proof⟩

⟨ML⟩

**lemma** *elem-set* [iff]: (*x : set xo*) = (*xo = Some x*)  
 ⟨proof⟩

**lemma** *set-empty-eq* [simp]: (*set xo = {}*) = (*xo = None*)  
 ⟨proof⟩

**definition** *map* :: ('*a* => '*b*) => '*a* option => '*b* option **where**  
*map* = (%*f y. case y of None => None | Some x => Some (f x)*)

**lemma** *option-map-None* [simp, code]: *map f None = None*  
 ⟨proof⟩

**lemma** *option-map-Some* [simp, code]: *map f (Some x) = Some (f x)*  
 ⟨proof⟩

**lemma** *option-map-is-None* [iff]:  
 (*map f opt = None*) = (*opt = None*)  
 ⟨proof⟩

**lemma** *option-map-eq-Some* [iff]:  
 $(\text{map } f \text{ } xo = \text{Some } y) = (EX \ z. \text{ } xo = \text{Some } z \ \& \ f \ z = y)$   
 ⟨proof⟩

**lemma** *option-map-comp*:  
 $\text{map } f \ (\text{map } g \ \text{opt}) = \text{map } (f \ o \ g) \ \text{opt}$   
 ⟨proof⟩

**lemma** *option-map-o-sum-case* [simp]:  
 $\text{map } f \ o \ \text{sum-case } g \ h = \text{sum-case } (\text{map } f \ o \ g) \ (\text{map } f \ o \ h)$   
 ⟨proof⟩

**hide-const** (open) *set map*

### 19.0.2 Code generator setup

**definition** *is-none* :: 'a option  $\Rightarrow$  bool **where**  
 [code-post]: *is-none*  $x \longleftrightarrow x = \text{None}$

**lemma** *is-none-code* [code]:  
**shows** *is-none* None  $\longleftrightarrow$  True  
**and** *is-none* (Some  $x$ )  $\longleftrightarrow$  False  
 ⟨proof⟩

**lemma** *is-none-none*:  
 $\text{is-none } x \longleftrightarrow x = \text{None}$   
 ⟨proof⟩

**lemma** [code-unfold]:  
 $\text{eq-class.eq } x \ \text{None} \longleftrightarrow \text{is-none } x$   
 ⟨proof⟩

**hide-const** (open) *is-none*

**code-type** *option*  
 (SML - option)  
 (OCaml - option)  
 (Haskell Maybe -)  
 (Scala !Option[(-)])

**code-const** None **and** Some  
 (SML NONE **and** SOME)  
 (OCaml None **and** Some -)  
 (Haskell Nothing **and** Just)  
 (Scala None **and** !Some((-)))

**code-instance** *option* :: eq  
 (Haskell —)

```

code-const eq-class.eq :: 'a::eq option  $\Rightarrow$  'a option  $\Rightarrow$  bool
  (Haskell infixl 4 ==)

code-reserved SML
  option NONE SOME

code-reserved OCaml
  option None Some

code-reserved Scala
  Option None Some

end

```

## 20 Finite-Set: Finite sets

```

theory Finite-Set
imports Power Option
begin

```

### 20.1 Predicate for finite sets

```

inductive finite :: 'a set  $\Rightarrow$  bool
  where
    emptyI [simp, intro!]: finite {}
    | insertI [simp, intro!]: finite A  $\Rightarrow$  finite (insert a A)

lemma ex-new-if-finite: — does not depend on def of finite at all
  assumes  $\neg$  finite (UNIV :: 'a set) and finite A
  shows  $\exists a::'a. a \notin A$ 
  <proof>

lemma finite-induct [case-names empty insert, induct set: finite]:
  finite F  $\Rightarrow$ 
    P {}  $\Rightarrow$  (!x F. finite F  $\Rightarrow$  x  $\notin$  F  $\Rightarrow$  P F  $\Rightarrow$  P (insert x F))  $\Rightarrow$ 
    P F
  — Discharging x  $\notin$  F entails extra work.
  <proof>

lemma finite-ne-induct [case-names singleton insert, consumes 2]:
assumes fin: finite F shows F  $\neq$  {}  $\Rightarrow$ 
  [  $\bigwedge x. P\{x\};$ 
     $\bigwedge x F. [finite F; F \neq \{x\}; x \notin F; P F] \Rightarrow P (insert x F)$  ]
   $\Rightarrow$  P F
  <proof>

lemma finite-subset-induct [consumes 2, case-names empty insert]:

```

**assumes** *finite F* **and**  $F \subseteq A$   
**and empty:**  $P \{\}$   
**and insert:**  $!!a F. \text{finite } F \implies a \in A \implies a \notin F \implies P F \implies P (\text{insert } a F)$   
**shows**  $P F$   
 <proof>

A finite choice principle. Does not need the SOME choice operator.

**lemma** *finite-set-choice:*  
 $\text{finite } A \implies \text{ALL } x:A. (\text{EX } y. P x y) \implies \text{EX } f. \text{ALL } x:A. P x (f x)$   
 <proof>

Finite sets are the images of initial segments of natural numbers:

**lemma** *finite-imp-nat-seg-image-inj-on:*  
**assumes** *fin: finite A*  
**shows**  $\exists (n::\text{nat}) f. A = f \text{ ` } \{i. i < n\} \ \& \ \text{inj-on } f \ \{i. i < n\}$   
 <proof>

**lemma** *nat-seg-image-imp-finite:*  
 $!!f A. A = f \text{ ` } \{i::\text{nat}. i < n\} \implies \text{finite } A$   
 <proof>

**lemma** *finite-conv-nat-seg-image:*  
 $\text{finite } A = (\exists (n::\text{nat}) f. A = f \text{ ` } \{i::\text{nat}. i < n\})$   
 <proof>

**lemma** *finite-imp-inj-to-nat-seg:*  
**assumes** *finite A*  
**shows**  $\text{EX } f n::\text{nat}. f \text{ ` } A = \{i. i < n\} \ \& \ \text{inj-on } f \ A$   
 <proof>

**lemma** *finite-Collect-less-nat[iff]:*  $\text{finite}\{n::\text{nat}. n < k\}$   
 <proof>

Finiteness and set theoretic constructions

**lemma** *finite-UnI:*  $\text{finite } F \implies \text{finite } G \implies \text{finite } (F \text{ Un } G)$   
 <proof>

**lemma** *finite-subset:*  $A \subseteq B \implies \text{finite } B \implies \text{finite } A$   
 — Every subset of a finite set is finite.  
 <proof>

**lemma** *rev-finite-subset:*  $\text{finite } B \implies A \subseteq B \implies \text{finite } A$   
 <proof>

**lemma** *finite-Un [iff]:*  $\text{finite } (F \text{ Un } G) = (\text{finite } F \ \& \ \text{finite } G)$   
 <proof>

**lemma** *finite-Collect-disjI[simp]:*

$\text{finite}\{x. P\ x \mid Q\ x\} = (\text{finite}\{x. P\ x\} \ \& \ \text{finite}\{x. Q\ x\})$   
 $\langle \text{proof} \rangle$

**lemma** *finite-Int* [*simp*, *intro*]:  $\text{finite}\ F \mid \text{finite}\ G \implies \text{finite}\ (F\ \text{Int}\ G)$   
 — The converse obviously fails.  
 $\langle \text{proof} \rangle$

**lemma** *finite-Collect-conjI* [*simp*, *intro*]:  
 $\text{finite}\{x. P\ x\} \mid \text{finite}\{x. Q\ x\} \implies \text{finite}\{x. P\ x \ \& \ Q\ x\}$   
 — The converse obviously fails.  
 $\langle \text{proof} \rangle$

**lemma** *finite-Collect-le-nat*[*iff*]:  $\text{finite}\{n::\text{nat}. n \leq k\}$   
 $\langle \text{proof} \rangle$

**lemma** *finite-insert* [*simp*]:  $\text{finite}\ (\text{insert}\ a\ A) = \text{finite}\ A$   
 $\langle \text{proof} \rangle$

**lemma** *finite-Union*[*simp*, *intro*]:  
 $\llbracket \text{finite}\ A; !!M. M \in A \implies \text{finite}\ M \rrbracket \implies \text{finite}(\bigcup A)$   
 $\langle \text{proof} \rangle$

**lemma** *finite-Inter*[*intro*]:  $\text{EX}\ A:M. \text{finite}(A) \implies \text{finite}(\text{Inter}\ M)$   
 $\langle \text{proof} \rangle$

**lemma** *finite-INT*[*intro*]:  $\text{EX}\ x:I. \text{finite}(A\ x) \implies \text{finite}(\text{INT}\ x:I. A\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *finite-empty-induct*:  
 assumes  $\text{finite}\ A$   
 and  $P\ A$   
 and  $!!a\ A. \text{finite}\ A \implies a:A \implies P\ A \implies P\ (A - \{a\})$   
 shows  $P\ \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *finite-Diff* [*simp*]:  $\text{finite}\ A \implies \text{finite}\ (A - B)$   
 $\langle \text{proof} \rangle$

**lemma** *finite-Diff2* [*simp*]:  
 assumes  $\text{finite}\ B$  shows  $\text{finite}\ (A - B) = \text{finite}\ A$   
 $\langle \text{proof} \rangle$

**lemma** *finite-compl*[*simp*]:  
 $\text{finite}(A::'a\ \text{set}) \implies \text{finite}(-A) = \text{finite}(\text{UNIV}::'a\ \text{set})$   
 $\langle \text{proof} \rangle$

**lemma** *finite-Collect-not*[*simp*]:  
 $\text{finite}\{x::'a. P\ x\} \implies \text{finite}\{x. \sim P\ x\} = \text{finite}(\text{UNIV}::'a\ \text{set})$   
 $\langle \text{proof} \rangle$



**lemma** *finite-Diff-insert [iff]*:  $\text{finite } (A - \text{insert } a \ B) = \text{finite } (A - B)$   
 ⟨proof⟩

Image and Inverse Image over Finite Sets

**lemma** *finite-imageI[simp]*:  $\text{finite } F \implies \text{finite } (h \text{ ` } F)$   
 — The image of a finite set is finite.  
 ⟨proof⟩

**lemma** *finite-image-set [simp]*:  
 $\text{finite } \{x. P \ x\} \implies \text{finite } \{f \ x \mid x. P \ x\}$   
 ⟨proof⟩

**lemma** *finite-surj*:  $\text{finite } A \implies B \leq f \text{ ` } A \implies \text{finite } B$   
 ⟨proof⟩

**lemma** *finite-range-imageI*:  
 $\text{finite } (\text{range } g) \implies \text{finite } (\text{range } (\%x. f \ (g \ x)))$   
 ⟨proof⟩

**lemma** *finite-imageD*:  $\text{finite } (f \text{ ` } A) \implies \text{inj-on } f \ A \implies \text{finite } A$   
 ⟨proof⟩

**lemma** *inj-vimage-singleton*:  $\text{inj } f \implies f \text{ ` } \{a\} \subseteq \{THE \ x. f \ x = a\}$   
 — The inverse image of a singleton under an injective function is included in a singleton.  
 ⟨proof⟩

**lemma** *finite-vimageI*:  $[[\text{finite } F; \text{inj } h]] \implies \text{finite } (h \text{ ` } F)$   
 — The inverse image of a finite set under an injective function is finite.  
 ⟨proof⟩

**lemma** *finite-vimageD*:  
**assumes** *fin*:  $\text{finite } (h \text{ ` } F)$  **and** *surj*:  $\text{surj } h$   
**shows**  $\text{finite } F$   
 ⟨proof⟩

**lemma** *finite-vimage-iff*:  $\text{bij } h \implies \text{finite } (h \text{ ` } F) \longleftrightarrow \text{finite } F$   
 ⟨proof⟩

The finite UNION of finite sets

**lemma** *finite-UN-I*:  $\text{finite } A \implies (!a. a:A \implies \text{finite } (B \ a)) \implies \text{finite } (\text{UN } a:A. B \ a)$   
 ⟨proof⟩

Strengthen RHS to  $(\forall x \in A. \text{finite } (B \ x)) \wedge \text{finite } \{x \in A. B \ x \neq \{\}\}$ ?

We’d need to prove  $\text{finite } C \implies \forall A \ B. \text{UNION } A \ B \subseteq C \longrightarrow \text{finite } \{x \in A. B \ x \neq \{\}\}$  by induction.

**lemma** *finite-UN* [simp]:  
 $\text{finite } A \implies \text{finite } (\text{UNION } A \ B) = (\text{ALL } x:A. \text{finite } (B \ x))$   
 <proof>

**lemma** *finite-Collect-bex*[simp]:  $\text{finite } A \implies$   
 $\text{finite}\{x. \text{EX } y:A. \ Q \ x \ y\} = (\text{ALL } y:A. \text{finite}\{x. \ Q \ x \ y\})$   
 <proof>

**lemma** *finite-Collect-bounded-ex*[simp]:  $\text{finite}\{y. \ P \ y\} \implies$   
 $\text{finite}\{x. \text{EX } y. \ P \ y \ \& \ Q \ x \ y\} = (\text{ALL } y. \ P \ y \longrightarrow \text{finite}\{x. \ Q \ x \ y\})$   
 <proof>

**lemma** *finite-Plus*:  $[\text{finite } A; \text{finite } B] \implies \text{finite } (A \ <+> \ B)$   
 <proof>

**lemma** *finite-PlusD*:  
 fixes  $A :: 'a \text{ set}$  and  $B :: 'b \text{ set}$   
 assumes  $\text{fin}: \text{finite } (A \ <+> \ B)$   
 shows  $\text{finite } A \ \text{finite } B$   
 <proof>

**lemma** *finite-Plus-iff*[simp]:  $\text{finite } (A \ <+> \ B) \longleftrightarrow \text{finite } A \ \wedge \ \text{finite } B$   
 <proof>

**lemma** *finite-Plus-UNIV-iff*[simp]:  
 $\text{finite } (\text{UNIV} :: ('a + 'b) \text{ set}) =$   
 $(\text{finite } (\text{UNIV} :: 'a \text{ set}) \ \& \ \text{finite } (\text{UNIV} :: 'b \text{ set}))$   
 <proof>

Sigma of finite sets

**lemma** *finite-SigmaI* [simp]:  
 $\text{finite } A \implies (!a. \ a:A \implies \text{finite } (B \ a)) \implies \text{finite } (\text{SIGMA } a:A. \ B \ a)$   
 <proof>

**lemma** *finite-cartesian-product*:  $[\text{finite } A; \text{finite } B] \implies$   
 $\text{finite } (A \ <*> \ B)$   
 <proof>

**lemma** *finite-Prod-UNIV*:  
 $\text{finite } (\text{UNIV} :: 'a \text{ set}) \implies \text{finite } (\text{UNIV} :: 'b \text{ set}) \implies \text{finite } (\text{UNIV} :: ('a * 'b) \text{ set})$   
 <proof>

**lemma** *finite-cartesian-productD1*:  
 $[\text{finite } (A \ <*> \ B); \ B \neq \{\}] \implies \text{finite } A$   
 <proof>

**lemma** *finite-cartesian-productD2*:

$\llbracket \text{finite } (A < * > B); A \neq \{\} \rrbracket \implies \text{finite } B$   
 $\langle \text{proof} \rangle$

The powerset of a finite set

**lemma** *finite-Pow-iff* [iff]:  $\text{finite } (\text{Pow } A) = \text{finite } A$   
 $\langle \text{proof} \rangle$

**lemma** *finite-Collect-subsets*[simp,intro]:  $\text{finite } A \implies \text{finite } \{B. B \subseteq A\}$   
 $\langle \text{proof} \rangle$

**lemma** *finite-UnionD*:  $\text{finite}(\bigcup A) \implies \text{finite } A$   
 $\langle \text{proof} \rangle$

**lemma** *finite-subset-image*:  
**assumes** *finite B*  
**shows**  $B \subseteq f \text{ ` } A \implies \exists C \subseteq A. \text{finite } C \wedge B = f \text{ ` } C$   
 $\langle \text{proof} \rangle$

## 20.2 Class *finite*

**class** *finite* =  
**assumes** *finite-UNIV*:  $\text{finite } (\text{UNIV} :: 'a \text{ set})$   
**begin**

**lemma** *finite* [simp]:  $\text{finite } (A :: 'a \text{ set})$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *UNIV-unit* [no-atp]:  
 $\text{UNIV} = \{()\}$   $\langle \text{proof} \rangle$

**instance** *unit* :: *finite*  $\langle \text{proof} \rangle$

**lemma** *UNIV-bool* [no-atp]:  
 $\text{UNIV} = \{\text{False}, \text{True}\}$   $\langle \text{proof} \rangle$

**instance** *bool* :: *finite*  $\langle \text{proof} \rangle$

**instance**  $*$  :: (*finite*, *finite*) *finite*  $\langle \text{proof} \rangle$

**lemma** *finite-option-UNIV* [simp]:  
 $\text{finite } (\text{UNIV} :: 'a \text{ option set}) = \text{finite } (\text{UNIV} :: 'a \text{ set})$   
 $\langle \text{proof} \rangle$

**instance** *option* :: (*finite*) *finite*  $\langle \text{proof} \rangle$

**lemma** *inj-graph*: *inj* (%*f*. {(*x*, *y*). *y* = *f* *x*})  
 ⟨*proof*⟩

**instance** *fun* :: (*finite*, *finite*) *finite*  
 ⟨*proof*⟩

**instance** + :: (*finite*, *finite*) *finite* ⟨*proof*⟩

### 20.3 A basic fold functional for finite sets

The intended behaviour is  $\text{fold } f \ z \ \{x_1, \dots, x_n\} = f \ x_1 \ (\dots (f \ x_n \ z) \dots)$  if *f* is “left-commutative”:

**locale** *fun-left-comm* =  
**fixes** *f* :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b  
**assumes** *fun-left-comm*: *f* *x* (*f* *y* *z*) = *f* *y* (*f* *x* *z*)  
**begin**

On a functional level it looks much nicer:

**lemma** *fun-comp-comm*: *f* *x*  $\circ$  *f* *y* = *f* *y*  $\circ$  *f* *x*  
 ⟨*proof*⟩

**end**

**inductive** *fold-graph* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'b  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  bool  
**for** *f* :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b **and** *z* :: 'b **where**  
   *emptyI* [*intro*]: *fold-graph* *f* *z* {} *z* |  
   *insertI* [*intro*]: *x*  $\notin$  *A*  $\Longrightarrow$  *fold-graph* *f* *z* *A* *y*  
      $\Longrightarrow$  *fold-graph* *f* *z* (*insert* *x* *A*) (*f* *x* *y*)

**inductive-cases** *empty-fold-graphE* [*elim!*]: *fold-graph* *f* *z* {} *x*

**definition** *fold* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'b  $\Rightarrow$  'a set  $\Rightarrow$  'b **where**  
 [*code del*]: *fold* *f* *z* *A* = (*THE* *y*. *fold-graph* *f* *z* *A* *y*)

A tempting alternative for the definiens is *if finite A then THE y. fold-graph f z A y else e*. It allows the removal of finiteness assumptions from the theorems *fold-comm*, *fold-reindex* and *fold-distrib*. The proofs become ugly. It is not worth the effort. (???)

**lemma** *Diff1-fold-graph*:  
*fold-graph* *f* *z* (*A* - {*x*}) *y*  $\Longrightarrow$  *x*  $\in$  *A*  $\Longrightarrow$  *fold-graph* *f* *z* *A* (*f* *x* *y*)  
 ⟨*proof*⟩

**lemma** *fold-graph-imp-finite*: *fold-graph* *f* *z* *A* *x*  $\Longrightarrow$  *finite* *A*  
 ⟨*proof*⟩

**lemma** *finite-imp-fold-graph*: *finite* *A*  $\Longrightarrow$   $\exists x$ . *fold-graph* *f* *z* *A* *x*  
 ⟨*proof*⟩

**20.3.1 From *fold-graph* to *fold*****context** *fun-left-comm***begin****lemma** *fold-graph-insertE-aux*:
$$\text{fold-graph } f \ z \ A \ y \implies a \in A \implies \exists y'. y = f \ a \ y' \wedge \text{fold-graph } f \ z \ (A - \{a\}) \ y'$$

*<proof>*

**lemma** *fold-graph-insertE*:

**assumes** *fold-graph*  $f \ z \ (\text{insert } x \ A) \ v$  **and**  $x \notin A$   
**obtains**  $y$  **where**  $v = f \ x \ y$  **and** *fold-graph*  $f \ z \ A \ y$   
*<proof>*

**lemma** *fold-graph-determ*:
$$\text{fold-graph } f \ z \ A \ x \implies \text{fold-graph } f \ z \ A \ y \implies y = x$$

*<proof>*

**lemma** *fold-equality*:
$$\text{fold-graph } f \ z \ A \ y \implies \text{fold } f \ z \ A = y$$

*<proof>*

**lemma** *fold-graph-fold*: *finite*  $A \implies \text{fold-graph } f \ z \ A \ (\text{fold } f \ z \ A)$ *<proof>*The base case for *fold*:**lemma** (**in**  $-$ ) *fold-empty* [*simp*]: *fold*  $f \ z \ \{\} = z$ *<proof>*The various recursion equations for *fold*:**lemma** *fold-insert* [*simp*]:
$$\text{finite } A \implies x \notin A \implies \text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ A)$$

*<proof>*

**lemma** *fold-fun-comm*:
$$\text{finite } A \implies f \ x \ (\text{fold } f \ z \ A) = \text{fold } f \ (f \ x \ z) \ A$$

*<proof>*

**lemma** *fold-insert2*:
$$\text{finite } A \implies x \notin A \implies \text{fold } f \ z \ (\text{insert } x \ A) = \text{fold } f \ (f \ x \ z) \ A$$

*<proof>*

**lemma** *fold-rec*:**assumes** *finite*  $A$  **and**  $x \in A$ **shows** *fold*  $f \ z \ A = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$ *<proof>***lemma** *fold-insert-remove*:**assumes** *finite*  $A$ **shows** *fold*  $f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$

*<proof>*

**end**

A simplified version for idempotent functions:

**locale** *fun-left-comm-idem* = *fun-left-comm* +  
**assumes** *fun-left-idem*:  $f\ x\ (f\ x\ z) = f\ x\ z$   
**begin**

The nice version:

**lemma** *fun-comp-idem* :  $f\ x\ o\ f\ x = f\ x$   
*<proof>*

**lemma** *fold-insert-idem*:  
**assumes** *fin*: *finite A*  
**shows**  $fold\ f\ z\ (insert\ x\ A) = f\ x\ (fold\ f\ z\ A)$   
*<proof>*

**declare** *fold-insert*[*simp del*] *fold-insert-idem*[*simp*]

**lemma** *fold-insert-idem2*:  
 $finite\ A \implies fold\ f\ z\ (insert\ x\ A) = fold\ f\ (f\ x\ z)\ A$   
*<proof>*

**end**

### 20.3.2 Expressing set operations via *fold*

**lemma** (**in** *fun-left-comm*) *fun-left-comm-apply*:  
 $fun-left-comm\ (\lambda x. f\ (g\ x))$   
*<proof>*

**lemma** (**in** *fun-left-comm-idem*) *fun-left-comm-idem-apply*:  
 $fun-left-comm-idem\ (\lambda x. f\ (g\ x))$   
*<proof>*

**lemma** *fun-left-comm-idem-insert*:  
 $fun-left-comm-idem\ insert$   
*<proof>*

**lemma** *fun-left-comm-idem-remove*:  
 $fun-left-comm-idem\ (\lambda x\ A. A - \{x\})$   
*<proof>*

**lemma** (**in** *semilattice-inf*) *fun-left-comm-idem-inf*:  
 $fun-left-comm-idem\ inf$   
*<proof>*

**lemma** (**in** *semilattice-sup*) *fun-left-comm-idem-sup*:

*fun-left-comm-idem sup*  
 $\langle \text{proof} \rangle$

**lemma** *union-fold-insert*:  
 assumes *finite A*  
 shows  $A \cup B = \text{fold insert } B \ A$   
 $\langle \text{proof} \rangle$

**lemma** *minus-fold-remove*:  
 assumes *finite A*  
 shows  $B - A = \text{fold } (\lambda x \ A. \ A - \{x\}) \ B \ A$   
 $\langle \text{proof} \rangle$

**context** *complete-lattice*  
**begin**

**lemma** *inf-Inf-fold-inf*:  
 assumes *finite A*  
 shows  $\text{inf } B \ (\text{Inf } A) = \text{fold inf } B \ A$   
 $\langle \text{proof} \rangle$

**lemma** *sup-Sup-fold-sup*:  
 assumes *finite A*  
 shows  $\text{sup } B \ (\text{Sup } A) = \text{fold sup } B \ A$   
 $\langle \text{proof} \rangle$

**lemma** *Inf-fold-inf*:  
 assumes *finite A*  
 shows  $\text{Inf } A = \text{fold inf top } A$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-fold-sup*:  
 assumes *finite A*  
 shows  $\text{Sup } A = \text{fold sup bot } A$   
 $\langle \text{proof} \rangle$

**lemma** *inf-INF-fold-inf*:  
 assumes *finite A*  
 shows  $\text{inf } B \ (\text{INF } A \ f) = \text{fold } (\lambda A. \ \text{inf } (f \ A)) \ B \ A \ (\text{is } ?\text{inf} = ?\text{fold})$   
 $\langle \text{proof} \rangle$

**lemma** *sup-SUPR-fold-sup*:  
 assumes *finite A*  
 shows  $\text{sup } B \ (\text{SUPR } A \ f) = \text{fold } (\lambda A. \ \text{sup } (f \ A)) \ B \ A \ (\text{is } ?\text{sup} = ?\text{fold})$   
 $\langle \text{proof} \rangle$

**lemma** *INF-fold-inf*:  
 assumes *finite A*  
 shows  $\text{INF } A \ f = \text{fold } (\lambda A. \ \text{inf } (f \ A)) \ \text{top } A$

$\langle proof \rangle$

**lemma** *SUPR-fold-sup*:

**assumes** *finite A*

**shows** *SUPR A f = fold ( $\lambda A. sup (f A)$ ) bot A*

$\langle proof \rangle$

**end**

## 20.4 The derived combinator *fold-image*

**definition** *fold-image* ::  $('b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b \Rightarrow 'a \text{ set} \Rightarrow 'b$

**where** *fold-image f g = fold ( $\%x y. f (g x) y$ )*

**lemma** *fold-image-empty[simp]*: *fold-image f g z {} = z*

$\langle proof \rangle$

**context** *ab-semigroup-mult*

**begin**

**lemma** *fold-image-insert[simp]*:

**assumes** *finite A* **and**  $a \notin A$

**shows** *fold-image times g z (insert a A) = g a \* (fold-image times g z A)*

$\langle proof \rangle$

**lemma** *fold-image-reindex*:

**assumes** *fin: finite A*

**shows** *inj-on h A  $\implies$  fold-image times g z ( $h^{\ast}A$ ) = fold-image times ( $g \circ h$ ) z A*

$\langle proof \rangle$

**lemma** *fold-image-cong*:

*finite A  $\implies$*

*( $\! \! \! \lambda x. x:A \implies g x = h x$ )  $\implies$  fold-image times g z A = fold-image times h z A*

$\langle proof \rangle$

**end**

**context** *comm-monoid-mult*

**begin**

**lemma** *fold-image-1*:

*finite S  $\implies$  ( $\forall x \in S. f x = 1$ )  $\implies$  fold-image op \* f 1 S = 1*

$\langle proof \rangle$

**lemma** *fold-image-Un-Int*:



$finite\ A ==> finite\ B ==>$   
 $fold-image\ times\ g\ 1\ A * fold-image\ times\ g\ 1\ B =$   
 $fold-image\ times\ g\ 1\ (A\ Un\ B) * fold-image\ times\ g\ 1\ (A\ Int\ B)$   
 <proof>

**lemma** *fold-image-Un-one*:  
**assumes**  $fS$ :  $finite\ S$  **and**  $fT$ :  $finite\ T$   
**and**  $I0$ :  $\forall x \in S \cap T. f\ x = 1$   
**shows**  $fold-image\ (op\ *)\ f\ 1\ (S \cup T) = fold-image\ (op\ *)\ f\ 1\ S * fold-image\ (op\ *)\ f\ 1\ T$   
 <proof>

**corollary** *fold-Un-disjoint*:  
 $finite\ A ==> finite\ B ==> A\ Int\ B = \{\} ==>$   
 $fold-image\ times\ g\ 1\ (A\ Un\ B) =$   
 $fold-image\ times\ g\ 1\ A * fold-image\ times\ g\ 1\ B$   
 <proof>

**lemma** *fold-image-UN-disjoint*:  
 $\llbracket finite\ I; ALL\ i:I. finite\ (A\ i);$   
 $ALL\ i:I. ALL\ j:I. i \neq j \longrightarrow A\ i\ Int\ A\ j = \{\} \rrbracket$   
 $\implies fold-image\ times\ g\ 1\ (UNION\ I\ A) =$   
 $fold-image\ times\ (\%i. fold-image\ times\ g\ 1\ (A\ i))\ 1\ I$   
 <proof>

**lemma** *fold-image-Sigma*:  $finite\ A ==> ALL\ x:A. finite\ (B\ x) ==>$   
 $fold-image\ times\ (\%x. fold-image\ times\ (g\ x)\ 1\ (B\ x))\ 1\ A =$   
 $fold-image\ times\ (split\ g)\ 1\ (SIGMA\ x:A. B\ x)$   
 <proof>

**lemma** *fold-image-distrib*:  $finite\ A \implies$   
 $fold-image\ times\ (\%x. g\ x * h\ x)\ 1\ A =$   
 $fold-image\ times\ g\ 1\ A * fold-image\ times\ h\ 1\ A$   
 <proof>

**lemma** *fold-image-related*:  
**assumes**  $Re$ :  $R\ e\ e$   
**and**  $Rop$ :  $\forall x1\ y1\ x2\ y2. R\ x1\ x2 \wedge R\ y1\ y2 \longrightarrow R\ (x1 * y1)\ (x2 * y2)$   
**and**  $fS$ :  $finite\ S$  **and**  $Rfg$ :  $\forall x \in S. R\ (h\ x)\ (g\ x)$   
**shows**  $R\ (fold-image\ (op\ *)\ h\ e\ S)\ (fold-image\ (op\ *)\ g\ e\ S)$   
 <proof>

**lemma** *fold-image-eq-general*:  
**assumes**  $fS$ :  $finite\ S$   
**and**  $h$ :  $\forall y \in S'. \exists! x. x \in S \wedge h(x) = y$   
**and**  $f12$ :  $\forall x \in S. h\ x \in S' \wedge f2(h\ x) = f1\ x$   
**shows**  $fold-image\ (op\ *)\ f1\ e\ S = fold-image\ (op\ *)\ f2\ e\ S'$   
 <proof>

**lemma** *fold-image-eq-general-inverses*:  
**assumes** *fS*: *finite S*  
**and** *kh*:  $\bigwedge y. y \in T \implies k\ y \in S \wedge h\ (k\ y) = y$   
**and** *hk*:  $\bigwedge x. x \in S \implies h\ x \in T \wedge k\ (h\ x) = x \wedge g\ (h\ x) = f\ x$   
**shows** *fold-image* (*op* \*) *f e S* = *fold-image* (*op* \*) *g e T*

*<proof>*

**end**

## 20.5 A fold functional for non-empty sets

Does not require start value.

**inductive**  
*fold1Set* ::  $('a \Rightarrow 'a \Rightarrow 'a) \Rightarrow 'a\ set \Rightarrow 'a \Rightarrow bool$   
**for** *f* ::  $'a \Rightarrow 'a \Rightarrow 'a$   
**where**  
*fold1Set-insertI* [*intro*]:  
 $\llbracket fold-graph\ f\ a\ A\ x; a \notin A \rrbracket \implies fold1Set\ f\ (insert\ a\ A)\ x$

**definition** *fold1* ::  $('a \Rightarrow 'a \Rightarrow 'a) \Rightarrow 'a\ set \Rightarrow 'a$  **where**  
*fold1 f A* == *THE x. fold1Set f A x*

**lemma** *fold1Set-nonempty*:  
*fold1Set f A x*  $\implies A \neq \{\}$   
*<proof>*

**inductive-cases** *empty-fold1SetE* [*elim!*]: *fold1Set f {} x*

**inductive-cases** *insert-fold1SetE* [*elim!*]: *fold1Set f (insert a X) x*

**lemma** *fold1Set-sing* [*iff*]:  $(fold1Set\ f\ \{a\}\ b) = (a = b)$   
*<proof>*

**lemma** *fold1-singleton* [*simp*]: *fold1 f {a} = a*  
*<proof>*

**lemma** *finite-nonempty-imp-fold1Set*:  
 $\llbracket finite\ A; A \neq \{\} \rrbracket \implies EX\ x. fold1Set\ f\ A\ x$   
*<proof>*

First, some lemmas about *fold-graph*.

**context** *ab-semigroup-mult*  
**begin**

**lemma** *fun-left-comm*: *fun-left-comm*(*op* \*)  
*<proof>*

**lemma** *fold-graph-insert-swap*:

**assumes** *fold*: *fold-graph times* ( $b::'a$ )  $A$   $y$  **and**  $b \notin A$

**shows** *fold-graph times*  $z$  (*insert*  $b$   $A$ ) ( $z * y$ )

*<proof>*

**lemma** *fold-graph-permute-diff*:

**assumes** *fold*: *fold-graph times*  $b$   $A$   $x$

**shows**  $\llbracket a \in A; b \notin A \rrbracket \implies \text{fold-graph times } a \text{ (insert } b \text{ (} A - \{a\} \text{)) } x$

*<proof>*

**lemma** *fold1-eq-fold*:

**assumes** *finite*  $A$   $a \notin A$  **shows** *fold1 times* (*insert*  $a$   $A$ ) = *fold times*  $a$   $A$

*<proof>*

**lemma** *nonempty-iff*:  $(A \neq \{\}) = (\exists x B. A = \text{insert } x B \ \& \ x \notin B)$

*<proof>*

**lemma** *fold1-insert*:

**assumes** *nonempty*:  $A \neq \{\}$  **and**  $A$ : *finite*  $A$   $x \notin A$

**shows** *fold1 times* (*insert*  $x$   $A$ ) =  $x * \text{fold1 times } A$

*<proof>*

**end**

**context** *ab-semigroup-idem-mult*

**begin**

**lemma** *fun-left-comm-idem*: *fun-left-comm-idem*(*op* \*)

*<proof>*

**lemma** *fold1-insert-idem* [*simp*]:

**assumes** *nonempty*:  $A \neq \{\}$  **and**  $A$ : *finite*  $A$

**shows** *fold1 times* (*insert*  $x$   $A$ ) =  $x * \text{fold1 times } A$

*<proof>*

**lemma** *hom-fold1-commute*:

**assumes** *hom*:  $\llbracket x y. h \ (x * y) = h \ x * h \ y \rrbracket$

**and**  $N$ : *finite*  $N$   $N \neq \{\}$  **shows**  $h \ (\text{fold1 times } N) = \text{fold1 times } (h \ ' \ N)$

*<proof>*

**lemma** *fold1-eq-fold-idem*:

**assumes** *finite*  $A$

**shows** *fold1 times* (*insert*  $a$   $A$ ) = *fold times*  $a$   $A$

*<proof>*

**end**

Now the recursion rules for definitions:

**lemma** *fold1-singleton-def*:  $g = \text{fold1 } f \implies g \ \{a\} = a$

*<proof>*

**lemma** (in *ab-semigroup-mult*) *fold1-insert-def*:

$\llbracket g = \text{fold1 times}; \text{finite } A; x \notin A; A \neq \{\} \rrbracket \implies g (\text{insert } x A) = x * g A$   
*<proof>*

**lemma** (in *ab-semigroup-idem-mult*) *fold1-insert-idem-def*:

$\llbracket g = \text{fold1 times}; \text{finite } A; A \neq \{\} \rrbracket \implies g (\text{insert } x A) = x * g A$   
*<proof>*

### 20.5.1 Determinacy for *fold1Set*

**declare**

*empty-fold-graphE* [rule del] *fold-graph.intros* [rule del]

*empty-fold1SetE* [rule del] *insert-fold1SetE* [rule del]

— No more proofs involve these relations.

### 20.5.2 Lemmas about *fold1*

**context** *ab-semigroup-mult*

**begin**

**lemma** *fold1-Un*:

**assumes** *A*: *finite A A*  $\neq \{\}$

**shows** *finite B*  $\implies B \neq \{\} \implies A \text{ Int } B = \{\} \implies$

$\text{fold1 times } (A \text{ Un } B) = \text{fold1 times } A * \text{fold1 times } B$

*<proof>*

**lemma** *fold1-in*:

**assumes** *A*: *finite (A) A*  $\neq \{\}$  **and** *elem*:  $\bigwedge x y. x * y \in \{x, y\}$

**shows** *fold1 times A*  $\in A$

*<proof>*

**end**

**lemma** (in *ab-semigroup-idem-mult*) *fold1-Un2*:

**assumes** *A*: *finite A A*  $\neq \{\}$

**shows** *finite B*  $\implies B \neq \{\} \implies$

$\text{fold1 times } (A \text{ Un } B) = \text{fold1 times } A * \text{fold1 times } B$

*<proof>*

## 20.6 Locales as mini-packages for fold operations

### 20.6.1 The natural case

**locale** *folding* =

**fixes** *f* :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b

**fixes** *F* :: 'a set  $\Rightarrow$  'b  $\Rightarrow$  'b

**assumes** *commute-comp*:  $f y \circ f x = f x \circ f y$

**assumes** *eq-fold*: *finite A*  $\implies F A s = \text{fold } f s A$

**begin**

**lemma** *empty* [*simp*]:

$F \{\} = id$

$\langle proof \rangle$

**lemma** *insert* [*simp*]:

**assumes** *finite*  $A$  **and**  $x \notin A$

**shows**  $F (\text{insert } x A) = F A \circ f x$

$\langle proof \rangle$

**lemma** *remove*:

**assumes** *finite*  $A$  **and**  $x \in A$

**shows**  $F A = F (A - \{x\}) \circ f x$

$\langle proof \rangle$

**lemma** *insert-remove*:

**assumes** *finite*  $A$

**shows**  $F (\text{insert } x A) = F (A - \{x\}) \circ f x$

$\langle proof \rangle$

**lemma** *commute-left-comp*:

$f y \circ (f x \circ g) = f x \circ (f y \circ g)$

$\langle proof \rangle$

**lemma** *commute-comp'*:

**assumes** *finite*  $A$

**shows**  $f x \circ F A = F A \circ f x$

$\langle proof \rangle$

**lemma** *commute-left-comp'*:

**assumes** *finite*  $A$

**shows**  $f x \circ (F A \circ g) = F A \circ (f x \circ g)$

$\langle proof \rangle$

**lemma** *commute-comp''*:

**assumes** *finite*  $A$  **and** *finite*  $B$

**shows**  $F B \circ F A = F A \circ F B$

$\langle proof \rangle$

**lemma** *commute-left-comp''*:

**assumes** *finite*  $A$  **and** *finite*  $B$

**shows**  $F B \circ (F A \circ g) = F A \circ (F B \circ g)$

$\langle proof \rangle$

**lemmas** *commute-comps = o-assoc* [*symmetric*] *commute-comp* *commute-left-comp*  
*commute-comp'* *commute-left-comp'* *commute-comp''* *commute-left-comp''*

**lemma** *union-inter*:

**assumes** *finite A and finite B*  
**shows**  $F (A \cup B) \circ F (A \cap B) = F A \circ F B$   
 $\langle proof \rangle$

**lemma** *union*:  
**assumes** *finite A and finite B*  
**and**  $A \cap B = \{\}$   
**shows**  $F (A \cup B) = F A \circ F B$   
 $\langle proof \rangle$

**end**

### 20.6.2 The natural case with idempotency

**locale** *folding-idem* = *folding* +  
**assumes** *idem-comp*:  $f x \circ f x = f x$   
**begin**

**lemma** *idem-left-comp*:  
 $f x \circ (f x \circ g) = f x \circ g$   
 $\langle proof \rangle$

**lemma** *in-comp-idem*:  
**assumes** *finite A and  $x \in A$*   
**shows**  $F A \circ f x = F A$   
 $\langle proof \rangle$

**lemma** *subset-comp-idem*:  
**assumes** *finite A and  $B \subseteq A$*   
**shows**  $F A \circ F B = F A$   
 $\langle proof \rangle$

**declare** *insert* [*simp del*]

**lemma** *insert-idem* [*simp*]:  
**assumes** *finite A*  
**shows**  $F (\text{insert } x A) = F A \circ f x$   
 $\langle proof \rangle$

**lemma** *union-idem*:  
**assumes** *finite A and finite B*  
**shows**  $F (A \cup B) = F A \circ F B$   
 $\langle proof \rangle$

**end**

### 20.6.3 The image case with fixed function

**no-notation** *times* (**infixl** \* 70)  
**no-notation** *Groups.one* (1)

**locale** *folding-image-simple* = *comm-monoid* +  
**fixes**  $g :: ('b \Rightarrow 'a)$   
**fixes**  $F :: 'b \text{ set} \Rightarrow 'a$   
**assumes** *eq-fold-g*:  $\text{finite } A \implies F A = \text{fold-image } f \ g \ 1 \ A$   
**begin**

**lemma** *empty* [*simp*]:  
 $F \{\} = 1$   
 $\langle \text{proof} \rangle$

**lemma** *insert* [*simp*]:  
**assumes** *finite A* **and**  $x \notin A$   
**shows**  $F (\text{insert } x \ A) = g \ x * F A$   
 $\langle \text{proof} \rangle$

**lemma** *remove*:  
**assumes** *finite A* **and**  $x \in A$   
**shows**  $F A = g \ x * F (A - \{x\})$   
 $\langle \text{proof} \rangle$

**lemma** *insert-remove*:  
**assumes** *finite A*  
**shows**  $F (\text{insert } x \ A) = g \ x * F (A - \{x\})$   
 $\langle \text{proof} \rangle$

**lemma** *neutral*:  
**assumes** *finite A* **and**  $\forall x \in A. g \ x = 1$   
**shows**  $F A = 1$   
 $\langle \text{proof} \rangle$

**lemma** *union-inter*:  
**assumes** *finite A* **and** *finite B*  
**shows**  $F (A \cup B) * F (A \cap B) = F A * F B$   
 $\langle \text{proof} \rangle$

**corollary** *union-inter-neutral*:  
**assumes** *finite A* **and** *finite B*  
**and** *I0*:  $\forall x \in A \cap B. g \ x = 1$   
**shows**  $F (A \cup B) = F A * F B$   
 $\langle \text{proof} \rangle$

**corollary** *union-disjoint*:  
**assumes** *finite A* **and** *finite B*  
**assumes**  $A \cap B = \{\}$   
**shows**  $F (A \cup B) = F A * F B$   
 $\langle \text{proof} \rangle$

**end**

### 20.6.4 The image case with flexible function

**locale** *folding-image* = *comm-monoid* +  
**fixes**  $F :: ('b \Rightarrow 'a) \Rightarrow 'b \text{ set} \Rightarrow 'a$   
**assumes** *eq-fold*:  $\bigwedge g. \text{finite } A \implies F \ g \ A = \text{fold-image } f \ g \ 1 \ A$   
**sublocale** *folding-image* < *folding-image-simple* *op* \* 1 *g* *F* *g* *<proof>*

**context** *folding-image*  
**begin**

**lemma** *reindex*:  
**assumes** *finite* *A* **and** *inj-on* *h* *A*  
**shows**  $F \ g \ (h \text{ ` } A) = F \ (g \circ h) \ A$   
*<proof>*

**lemma** *cong*:  
**assumes** *finite* *A* **and**  $\bigwedge x. x \in A \implies g \ x = h \ x$   
**shows**  $F \ g \ A = F \ h \ A$   
*<proof>*

**lemma** *UNION-disjoint*:  
**assumes** *finite* *I* **and**  $\forall i \in I. \text{finite } (A \ i)$   
**and**  $\forall i \in I. \forall j \in I. i \neq j \longrightarrow A \ i \cap A \ j = \{\}$   
**shows**  $F \ g \ (\text{UNION } I \ A) = F \ (F \ g \circ A) \ I$   
*<proof>*

**lemma** *distrib*:  
**assumes** *finite* *A*  
**shows**  $F \ (\lambda x. g \ x * h \ x) \ A = F \ g \ A * F \ h \ A$   
*<proof>*

**lemma** *related*:  
**assumes** *Re*:  $R \ 1 \ 1$   
**and** *Rop*:  $\forall x1 \ y1 \ x2 \ y2. R \ x1 \ x2 \wedge R \ y1 \ y2 \longrightarrow R \ (x1 * y1) \ (x2 * y2)$   
**and** *fS*: *finite* *S* **and** *Rfg*:  $\forall x \in S. R \ (h \ x) \ (g \ x)$   
**shows**  $R \ (F \ h \ S) \ (F \ g \ S)$   
*<proof>*

**lemma** *eq-general*:  
**assumes** *fS*: *finite* *S*  
**and** *h*:  $\forall y \in S'. \exists !x. x \in S \wedge h \ x = y$   
**and** *f12*:  $\forall x \in S. h \ x \in S' \wedge f2 \ (h \ x) = f1 \ x$   
**shows**  $F \ f1 \ S = F \ f2 \ S'$   
*<proof>*

**lemma** *eq-general-inverses*:  
**assumes** *fS*: *finite* *S*  
**and** *kh*:  $\bigwedge y. y \in T \implies k \ y \in S \wedge h \ (k \ y) = y$   
**and** *hk*:  $\bigwedge x. x \in S \implies h \ x \in T \wedge k \ (h \ x) = x \wedge g \ (h \ x) = j \ x$



shows  $F j S = F g T$

$\langle proof \rangle$

end

### 20.6.5 The image case with fixed function and idempotency

locale *folding-image-simple-idem* = *folding-image-simple* +  
 assumes *idem*:  $x * x = x$

sublocale *folding-image-simple-idem* < *semilattice*  $\langle proof \rangle$

context *folding-image-simple-idem*  
 begin

lemma *in-idem*:  
 assumes *finite* *A* and  $x \in A$   
 shows  $g x * F A = F A$   
 $\langle proof \rangle$

lemma *subset-idem*:  
 assumes *finite* *A* and  $B \subseteq A$   
 shows  $F B * F A = F A$   
 $\langle proof \rangle$

declare *insert* [*simp del*]

lemma *insert-idem* [*simp*]:  
 assumes *finite* *A*  
 shows  $F (\text{insert } x A) = g x * F A$   
 $\langle proof \rangle$

lemma *union-idem*:  
 assumes *finite* *A* and *finite* *B*  
 shows  $F (A \cup B) = F A * F B$   
 $\langle proof \rangle$

end

### 20.6.6 The image case with flexible function and idempotency

locale *folding-image-idem* = *folding-image* +  
 assumes *idem*:  $x * x = x$

sublocale *folding-image-idem* < *folding-image-simple-idem* *op* \* 1 *g* *F* *g*  $\langle proof \rangle$

### 20.6.7 The neutral-less case

locale *folding-one* = *abel-semigroup* +

**fixes**  $F :: 'a \text{ set} \Rightarrow 'a$   
**assumes**  $eq\text{-fold}$ :  $finite\ A \Longrightarrow F\ A = fold1\ f\ A$   
**begin**

**lemma** *singleton* [*simp*]:  
 $F\ \{x\} = x$   
 $\langle proof \rangle$

**lemma** *eq-fold'*:  
**assumes**  $finite\ A$  **and**  $x \notin A$   
**shows**  $F\ (insert\ x\ A) = fold\ (op\ *)\ x\ A$   
 $\langle proof \rangle$

**lemma** *insert* [*simp*]:  
**assumes**  $finite\ A$  **and**  $x \notin A$  **and**  $A \neq \{\}$   
**shows**  $F\ (insert\ x\ A) = x * F\ A$   
 $\langle proof \rangle$   
**thm** *fold.commute-comp'* [*of B b, simplified expand-fun-eq, simplified*]  
 $\langle proof \rangle$

**lemma** *remove*:  
**assumes**  $finite\ A$  **and**  $x \in A$   
**shows**  $F\ A = (if\ A - \{x\} = \{\} \text{ then } x \text{ else } x * F\ (A - \{x\}))$   
 $\langle proof \rangle$

**lemma** *insert-remove*:  
**assumes**  $finite\ A$   
**shows**  $F\ (insert\ x\ A) = (if\ A - \{x\} = \{\} \text{ then } x \text{ else } x * F\ (A - \{x\}))$   
 $\langle proof \rangle$

**lemma** *union-disjoint*:  
**assumes**  $finite\ A\ A \neq \{\}$  **and**  $finite\ B\ B \neq \{\}$  **and**  $A \cap B = \{\}$   
**shows**  $F\ (A \cup B) = F\ A * F\ B$   
 $\langle proof \rangle$

**lemma** *union-inter*:  
**assumes**  $finite\ A$  **and**  $finite\ B$  **and**  $A \cap B \neq \{\}$   
**shows**  $F\ (A \cup B) * F\ (A \cap B) = F\ A * F\ B$   
 $\langle proof \rangle$

**lemma** *closed*:  
**assumes**  $finite\ A\ A \neq \{\}$  **and**  $elem$ :  $\bigwedge x\ y. x * y \in \{x, y\}$   
**shows**  $F\ A \in A$   
 $\langle proof \rangle$

**end**

### 20.6.8 The neutral-less case with idempotency

**locale** *folding-one-idem* = *folding-one* +  
**assumes** *idem*:  $x * x = x$

**sublocale** *folding-one-idem* < *semilattice*  $\langle \text{proof} \rangle$

**context** *folding-one-idem*  
**begin**

**lemma** *in-idem*:  
**assumes** *finite* *A* **and**  $x \in A$   
**shows**  $x * F A = F A$   
 $\langle \text{proof} \rangle$

**lemma** *subset-idem*:  
**assumes** *finite* *A*  $B \neq \{\}$  **and**  $B \subseteq A$   
**shows**  $F B * F A = F A$   
 $\langle \text{proof} \rangle$

**lemma** *eq-fold-idem'*:  
**assumes** *finite* *A*  
**shows**  $F (\text{insert } a \ A) = \text{fold } (op \ *) \ a \ A$   
 $\langle \text{proof} \rangle$

**lemma** *insert-idem* [*simp*]:  
**assumes** *finite* *A* **and**  $A \neq \{\}$   
**shows**  $F (\text{insert } x \ A) = x * F A$   
 $\langle \text{proof} \rangle$

**lemma** *union-idem*:  
**assumes** *finite* *A*  $A \neq \{\}$  **and** *finite* *B*  $B \neq \{\}$   
**shows**  $F (A \cup B) = F A * F B$   
 $\langle \text{proof} \rangle$

**lemma** *hom-commute*:  
**assumes** *hom*:  $\bigwedge x \ y. \ h \ (x * y) = h \ x * h \ y$   
**and** *N*: *finite* *N*  $N \neq \{\}$  **shows**  $h \ (F \ N) = F \ (h \ ' \ N)$   
 $\langle \text{proof} \rangle$

**end**

**notation** *times* (*infixl* \* 70)

**notation** *Groups.one* (1)

## 20.7 Finite cardinality

This definition, although traditional, is ugly to work with:  $\text{card } A == \text{LEAST } n. \text{ EX } f. A = \{f \ i \mid i. \ i < n\}$ . But now that we have *fold-image*

things are easy:

**definition** *card* :: 'a set  $\Rightarrow$  nat **where**

*card* A = (if finite A then fold-image (op +) ( $\lambda x. 1$ ) 0 A else 0)

**interpretation** *card*!: folding-image-simple op + 0  $\lambda x. 1$  *card* <proof>

**lemma** *card-infinite* [simp]:

$\neg$  finite A  $\Longrightarrow$  *card* A = 0

<proof>

**lemma** *card-empty*:

*card* {} = 0

<proof>

**lemma** *card-insert-disjoint*:

finite A  $\Longrightarrow$   $x \notin A \Longrightarrow$  *card* (insert x A) = Suc (*card* A)

<proof>

**lemma** *card-insert-if*:

finite A  $\Longrightarrow$  *card* (insert x A) = (if  $x \in A$  then *card* A else Suc (*card* A))

<proof>

**lemma** *card-ge-0-finite*:

*card* A > 0  $\Longrightarrow$  finite A

<proof>

**lemma** *card-0-eq* [simp, no-atp]:

finite A  $\Longrightarrow$  *card* A = 0  $\longleftrightarrow$  A = {}

<proof>

**lemma** *finite-UNIV-card-ge-0*:

finite (UNIV :: 'a set)  $\Longrightarrow$  *card* (UNIV :: 'a set) > 0

<proof>

**lemma** *card-eq-0-iff*:

*card* A = 0  $\longleftrightarrow$  A = {}  $\vee \neg$  finite A

<proof>

**lemma** *card-gt-0-iff*:

0 < *card* A  $\longleftrightarrow$  A  $\neq$  {}  $\wedge$  finite A

<proof>

**lemma** *card-Suc-Diff1*: finite A  $\Longrightarrow$   $x: A \Longrightarrow$  Suc (*card* (A - {x})) = *card* A

<proof>

**lemma** *card-Diff-singleton*:

finite A  $\Longrightarrow$   $x: A \Longrightarrow$  *card* (A - {x}) = *card* A - 1

<proof>

**lemma** *card-Diff-singleton-if*:

*finite A ==> card (A - {x}) = (if x : A then card A - 1 else card A)*  
 <proof>

**lemma** *card-Diff-insert[simp]*:

**assumes** *finite A and a:A and a ~: B*  
**shows** *card(A - insert a B) = card(A - B) - 1*  
 <proof>

**lemma** *card-insert*: *finite A ==> card (insert x A) = Suc (card (A - {x}))*  
 <proof>

**lemma** *card-insert-le*: *finite A ==> card A <= card (insert x A)*  
 <proof>

**lemma** *card-mono*:

**assumes** *finite B and A ⊆ B*  
**shows** *card A ≤ card B*  
 <proof>

**lemma** *card-seteq*: *finite B ==> (!A. A <= B ==> card B <= card A ==> A = B)*  
 <proof>

**lemma** *psubset-card-mono*: *finite B ==> A < B ==> card A < card B*  
 <proof>

**lemma** *card-Un-Int*: *finite A ==> finite B*  
*==> card A + card B = card (A Un B) + card (A Int B)*  
 <proof>

**lemma** *card-Un-disjoint*: *finite A ==> finite B*  
*==> A Int B = {} ==> card (A Un B) = card A + card B*  
 <proof>

**lemma** *card-Diff-subset*:

**assumes** *finite B and B ⊆ A*  
**shows** *card (A - B) = card A - card B*  
 <proof>

**lemma** *card-Diff-subset-Int*:

**assumes** *AB: finite (A ∩ B)* **shows** *card (A - B) = card A - card (A ∩ B)*  
 <proof>

**lemma** *card-Diff1-less*: *finite A ==> x: A ==> card (A - {x}) < card A*  
 <proof>

**lemma** *card-Diff2-less*:

*finite A ==> x: A ==> y: A ==> card (A - {x} - {y}) < card A*

*<proof>*

**lemma** *card-Diff1-le*: *finite*  $A \implies \text{card } (A - \{x\}) \leq \text{card } A$   
*<proof>*

**lemma** *card-psubset*: *finite*  $B \implies A \subseteq B \implies \text{card } A < \text{card } B \implies A < B$   
*<proof>*

**lemma** *insert-partition*:  

$$\llbracket x \notin F; \forall c1 \in \text{insert } x F. \forall c2 \in \text{insert } x F. c1 \neq c2 \longrightarrow c1 \cap c2 = \{\} \rrbracket$$

$$\implies x \cap \bigcup F = \{\}$$
*<proof>*

**lemma** *finite-psubset-induct*[*consumes 1, case-names psubset*]:  
**assumes** *fin*: *finite*  $A$   
**and** *major*:  $\bigwedge A. \text{finite } A \implies (\bigwedge B. B \subset A \implies P B) \implies P A$   
**shows**  $P A$   
*<proof>*

main cardinality theorem

**lemma** *card-partition* [*rule-format*]:  

$$\text{finite } C \implies$$

$$\text{finite } (\bigcup C) \longrightarrow$$

$$(\forall c \in C. \text{card } c = k) \longrightarrow$$

$$(\forall c1 \in C. \forall c2 \in C. c1 \neq c2 \longrightarrow c1 \cap c2 = \{\}) \longrightarrow$$

$$k * \text{card}(C) = \text{card } (\bigcup C)$$
*<proof>*

**lemma** *card-eq-UNIV-imp-eq-UNIV*:  
**assumes** *fin*: *finite* (*UNIV* :: 'a set)  
**and** *card*:  $\text{card } A = \text{card } (\text{UNIV} :: 'a \text{ set})$   
**shows**  $A = (\text{UNIV} :: 'a \text{ set})$   
*<proof>*

The form of a finite set of given cardinality

**lemma** *card-eq-SucD*:  
**assumes**  $\text{card } A = \text{Suc } k$   
**shows**  $\exists b B. A = \text{insert } b B \ \& \ b \notin B \ \& \ \text{card } B = k \ \& \ (k=0 \longrightarrow B=\{\})$   
*<proof>*

**lemma** *card-Suc-eq*:  
 $(\text{card } A = \text{Suc } k) =$ 
 $(\exists b B. A = \text{insert } b B \ \& \ b \notin B \ \& \ \text{card } B = k \ \& \ (k=0 \longrightarrow B=\{\}))$   
*<proof>*

**lemma** *finite-fun-UNIVD2*:  
**assumes** *fin*: *finite* (*UNIV* :: ('a  $\Rightarrow$  'b) set)  
**shows** *finite* (*UNIV* :: 'b set)  
*<proof>*

**lemma** *card-UNIV-unit*:  $\text{card } (\text{UNIV} :: \text{unit set}) = 1$   
 ⟨proof⟩

### 20.7.1 Cardinality of image

**lemma** *card-image-le*:  $\text{finite } A \implies \text{card } (f \text{ ‘ } A) \leq \text{card } A$   
 ⟨proof⟩

**lemma** *card-image*:  
 assumes *inj-on*  $f$   $A$   
 shows  $\text{card } (f \text{ ‘ } A) = \text{card } A$   
 ⟨proof⟩

**lemma** *bij-betw-same-card*:  $\text{bij-betw } f \text{ } A \text{ } B \implies \text{card } A = \text{card } B$   
 ⟨proof⟩

**lemma** *endo-inj-surj*:  $\text{finite } A \implies f \text{ ‘ } A \subseteq A \implies \text{inj-on } f \text{ } A \implies f \text{ ‘ } A = A$   
 ⟨proof⟩

**lemma** *eq-card-imp-inj-on*:  
 $[\text{finite } A; \text{card}(f \text{ ‘ } A) = \text{card } A] \implies \text{inj-on } f \text{ } A$   
 ⟨proof⟩

**lemma** *inj-on-iff-eq-card*:  
 $\text{finite } A \implies \text{inj-on } f \text{ } A = (\text{card}(f \text{ ‘ } A) = \text{card } A)$   
 ⟨proof⟩

**lemma** *card-inj-on-le*:  
 $[\text{inj-on } f \text{ } A; f \text{ ‘ } A \subseteq B; \text{finite } B] \implies \text{card } A \leq \text{card } B$   
 ⟨proof⟩

**lemma** *card-bij-eq*:  
 $[\text{inj-on } f \text{ } A; f \text{ ‘ } A \subseteq B; \text{inj-on } g \text{ } B; g \text{ ‘ } B \subseteq A; \text{finite } A; \text{finite } B] \implies \text{card } A = \text{card } B$   
 ⟨proof⟩

### 20.7.2 Cardinality of sums

**lemma** *card-Plus*:  
 assumes *finite*  $A$  and *finite*  $B$   
 shows  $\text{card } (A <+> B) = \text{card } A + \text{card } B$   
 ⟨proof⟩

**lemma** *card-Plus-conv-if*:  
 $\text{card } (A <+> B) = (\text{if } \text{finite } A \wedge \text{finite } B \text{ then } \text{card } A + \text{card } B \text{ else } 0)$   
 ⟨proof⟩

### 20.7.3 Cardinality of the Powerset

**lemma** *card-Pow*:  $\text{finite } A \implies \text{card } (\text{Pow } A) = \text{Suc } (\text{Suc } 0) ^ \text{card } A$   
 ⟨proof⟩

Relates to equivalence classes. Based on a theorem of F. Kammüller.

**lemma** *dvd-partition*:  
 $\text{finite } (\text{Union } C) \implies$   
 $\text{ALL } c : C. k \text{ dvd card } c \implies$   
 $(\text{ALL } c1 : C. \text{ALL } c2 : C. c1 \neq c2 \longrightarrow c1 \text{ Int } c2 = \{\}) \implies$   
 $k \text{ dvd card } (\text{Union } C)$   
 ⟨proof⟩

### 20.7.4 Relating injectivity and surjectivity

**lemma** *finite-surj-inj*:  $\text{finite}(A) \implies A \leq f^*A \implies \text{inj-on } f \ A$   
 ⟨proof⟩

**lemma** *finite-UNIV-surj-inj*: **fixes**  $f :: 'a \Rightarrow 'a$   
**shows**  $\text{finite}(\text{UNIV} :: 'a \text{ set}) \implies \text{surj } f \implies \text{inj } f$   
 ⟨proof⟩

**lemma** *finite-UNIV-inj-surj*: **fixes**  $f :: 'a \Rightarrow 'a$   
**shows**  $\text{finite}(\text{UNIV} :: 'a \text{ set}) \implies \text{inj } f \implies \text{surj } f$   
 ⟨proof⟩

**corollary** *infinite-UNIV-nat[iff]*:  $\sim \text{finite}(\text{UNIV} :: \text{nat set})$   
 ⟨proof⟩

**lemma** *infinite-UNIV-char-0[no-atp]*:  
 $\neg \text{finite } (\text{UNIV} :: 'a :: \text{semiring-char-0 set})$   
 ⟨proof⟩

**end**

## 21 Relation: Relations

**theory** *Relation*  
**imports** *Datatype Finite-Set*  
**begin**

### 21.1 Definitions

**definition**  
 $\text{converse} :: ('a * 'b) \text{ set} \Rightarrow ('b * 'a) \text{ set}$   
 $((\text{--}^{\wedge} - 1) [1000] 999) \text{ where}$   
 $\text{r}^{\wedge} - 1 == \{(y, x). (x, y) : r\}$



**notation** (*xsymbols*)

*converse*  $((-^1) [1000] 999)$

**definition**

*rel-comp*  $:: [( 'a * 'b) \text{ set}, ( 'b * 'c) \text{ set}] \Rightarrow ( 'a * 'c) \text{ set}$

(**infixr** 0 75) **where**

$r \text{ O } s == \{(x,z). \text{ EX } y. (x, y) : r \ \& \ (y, z) : s\}$

**definition**

*Image*  $:: [( 'a * 'b) \text{ set}, 'a \text{ set}] \Rightarrow 'b \text{ set}$

(**infixl** “ 90) **where**

$r \text{ “ } s == \{y. \text{ EX } x:s. (x,y):r\}$

**definition**

*Id*  $:: ( 'a * 'a) \text{ set}$  **where** — the identity relation

$\text{Id} == \{p. \text{ EX } x. p = (x,x)\}$

**definition**

*Id-on*  $:: 'a \text{ set} \Rightarrow ( 'a * 'a) \text{ set}$  **where** — diagonal: identity over a set

$\text{Id-on } A == \bigcup_{x \in A}. \{(x,x)\}$

**definition**

*Domain*  $:: ( 'a * 'b) \text{ set} \Rightarrow 'a \text{ set}$  **where**

$\text{Domain } r == \{x. \text{ EX } y. (x,y):r\}$

**definition**

*Range*  $:: ( 'a * 'b) \text{ set} \Rightarrow 'b \text{ set}$  **where**

$\text{Range } r == \text{Domain}(r^{-1})$

**definition**

*Field*  $:: ( 'a * 'a) \text{ set} \Rightarrow 'a \text{ set}$  **where**

$\text{Field } r == \text{Domain } r \cup \text{Range } r$

**definition**

*refl-on*  $:: [ 'a \text{ set}, ( 'a * 'a) \text{ set}] \Rightarrow \text{bool}$  **where** — reflexivity over a set

$\text{refl-on } A \ r == r \subseteq A \times A \ \& \ (\text{ALL } x: A. (x,x) : r)$

**abbreviation**

*refl*  $:: ( 'a * 'a) \text{ set} \Rightarrow \text{bool}$  **where** — reflexivity over a type

$\text{refl} == \text{refl-on } \text{UNIV}$

**definition**

*sym*  $:: ( 'a * 'a) \text{ set} \Rightarrow \text{bool}$  **where** — symmetry predicate

$\text{sym } r == \text{ALL } x \ y. (x,y):r \longrightarrow (y,x):r$

**definition**

*antisym*  $:: ( 'a * 'a) \text{ set} \Rightarrow \text{bool}$  **where** — antisymmetry predicate

$\text{antisym } r == \text{ALL } x \ y. (x,y):r \longrightarrow (y,x):r \longrightarrow x=y$

**definition**

$trans :: ('a * 'a) set \Rightarrow bool$  **where** — transitivity predicate  
 $trans\ r == (ALL\ x\ y\ z.\ (x,y):r \longrightarrow (y,z):r \longrightarrow (x,z):r)$

**definition**

$irrefl :: ('a * 'a) set \Rightarrow bool$  **where**  
 $irrefl\ r \equiv \forall x.\ (x,x) \notin r$

**definition**

$total-on :: 'a set \Rightarrow ('a * 'a) set \Rightarrow bool$  **where**  
 $total-on\ A\ r \equiv \forall x \in A.\ \forall y \in A.\ x \neq y \longrightarrow (x,y) \in r \vee (y,x) \in r$

**abbreviation**  $total \equiv total-on\ UNIV$

**definition**

$single-valued :: ('a * 'b) set \Rightarrow bool$  **where**  
 $single-valued\ r == ALL\ x\ y.\ (x,y):r \longrightarrow (ALL\ z.\ (x,z):r \longrightarrow y=z)$

**definition**

$inv-image :: ('b * 'b) set \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a * 'a) set$  **where**  
 $inv-image\ r\ f == \{(x, y).\ (f\ x, f\ y) : r\}$

**21.2 The identity relation**

**lemma**  $IdI$  [intro]:  $(a, a) : Id$   
 $\langle proof \rangle$

**lemma**  $IdE$  [elim!]:  $p : Id \Longrightarrow (!x.\ p = (x, x) \Longrightarrow P) \Longrightarrow P$   
 $\langle proof \rangle$

**lemma**  $pair-in-Id-conv$  [iff]:  $((a, b) : Id) = (a = b)$   
 $\langle proof \rangle$

**lemma**  $refl-Id$ :  $refl\ Id$   
 $\langle proof \rangle$

**lemma**  $antisym-Id$ :  $antisym\ Id$   
 — A strange result, since  $Id$  is also symmetric.  
 $\langle proof \rangle$

**lemma**  $sym-Id$ :  $sym\ Id$   
 $\langle proof \rangle$

**lemma**  $trans-Id$ :  $trans\ Id$   
 $\langle proof \rangle$

**21.3 Diagonal: identity over a set**

**lemma**  $Id-on-empty$  [simp]:  $Id-on\ \{\} = \{\}$

$\langle proof \rangle$

**lemma** *Id-on-eqI*:  $a = b \implies a : A \implies (a, b) : Id-on\ A$   
 $\langle proof \rangle$

**lemma** *Id-onI* [*intro!,no-atp*]:  $a : A \implies (a, a) : Id-on\ A$   
 $\langle proof \rangle$

**lemma** *Id-onE* [*elim!*]:  
 $c : Id-on\ A \implies (!!x. x : A \implies c = (x, x) \implies P) \implies P$   
 — The general elimination rule.  
 $\langle proof \rangle$

**lemma** *Id-on-iff*:  $((x, y) : Id-on\ A) = (x = y \ \& \ x : A)$   
 $\langle proof \rangle$

**lemma** *Id-on-subset-Times*:  $Id-on\ A \subseteq A \times A$   
 $\langle proof \rangle$

## 21.4 Composition of two relations

**lemma** *rel-compI* [*intro*]:  
 $(a, b) : r \implies (b, c) : s \implies (a, c) : r\ O\ s$   
 $\langle proof \rangle$

**lemma** *rel-compE* [*elim!*]:  $xz : r\ O\ s \implies$   
 $(!!x\ y\ z. xz = (x, z) \implies (x, y) : r \implies (y, z) : s \implies P) \implies P$   
 $\langle proof \rangle$

**lemma** *rel-compEpair*:  
 $(a, c) : r\ O\ s \implies (!!y. (a, y) : r \implies (y, c) : s \implies P) \implies P$   
 $\langle proof \rangle$

**lemma** *R-O-Id* [*simp*]:  $R\ O\ Id = R$   
 $\langle proof \rangle$

**lemma** *Id-O-R* [*simp*]:  $Id\ O\ R = R$   
 $\langle proof \rangle$

**lemma** *rel-comp-empty1* [*simp*]:  $\{\} \ O\ R = \{\}$   
 $\langle proof \rangle$

**lemma** *rel-comp-empty2* [*simp*]:  $R\ O\ \{\} = \{\}$   
 $\langle proof \rangle$

**lemma** *O-assoc*:  $(R\ O\ S)\ O\ T = R\ O\ (S\ O\ T)$   
 $\langle proof \rangle$

**lemma** *trans-O-subset*:  $trans\ r \implies r\ O\ r \subseteq r$

$\langle proof \rangle$

**lemma** *rel-comp-mono*:  $r' \subseteq r \implies s' \subseteq s \implies (r' \ O \ s') \subseteq (r \ O \ s)$   
 $\langle proof \rangle$

**lemma** *rel-comp-subset-Sigma*:  
 $r \subseteq A \times B \implies s \subseteq B \times C \implies (r \ O \ s) \subseteq A \times C$   
 $\langle proof \rangle$

**lemma** *rel-comp-distrib[simp]*:  $R \ O \ (S \cup T) = (R \ O \ S) \cup (R \ O \ T)$   
 $\langle proof \rangle$

**lemma** *rel-comp-distrib2[simp]*:  $(S \cup T) \ O \ R = (S \ O \ R) \cup (T \ O \ R)$   
 $\langle proof \rangle$

**lemma** *rel-comp-UNION-distrib*:  $s \ O \ \text{UNION } I \ r = \text{UNION } I \ (\%i. s \ O \ r \ i)$   
 $\langle proof \rangle$

**lemma** *rel-comp-UNION-distrib2*:  $\text{UNION } I \ r \ O \ s = \text{UNION } I \ (\%i. r \ i \ O \ s)$   
 $\langle proof \rangle$

## 21.5 Reflexivity

**lemma** *refl-onI*:  $r \subseteq A \times A \implies (!x. x : A \implies (x, x) : r) \implies \text{refl-on } A \ r$   
 $\langle proof \rangle$

**lemma** *refl-onD*:  $\text{refl-on } A \ r \implies a : A \implies (a, a) : r$   
 $\langle proof \rangle$

**lemma** *refl-onD1*:  $\text{refl-on } A \ r \implies (x, y) : r \implies x : A$   
 $\langle proof \rangle$

**lemma** *refl-onD2*:  $\text{refl-on } A \ r \implies (x, y) : r \implies y : A$   
 $\langle proof \rangle$

**lemma** *refl-on-Int*:  $\text{refl-on } A \ r \implies \text{refl-on } B \ s \implies \text{refl-on } (A \cap B) \ (r \cap s)$   
 $\langle proof \rangle$

**lemma** *refl-on-Un*:  $\text{refl-on } A \ r \implies \text{refl-on } B \ s \implies \text{refl-on } (A \cup B) \ (r \cup s)$   
 $\langle proof \rangle$

**lemma** *refl-on-INTER*:  
 $\text{ALL } x:S. \text{refl-on } (A \ x) \ (r \ x) \implies \text{refl-on } (\text{INTER } S \ A) \ (\text{INTER } S \ r)$   
 $\langle proof \rangle$

**lemma** *refl-on-UNION*:  
 $\text{ALL } x:S. \text{refl-on } (A \ x) \ (r \ x) \implies \text{refl-on } (\text{UNION } S \ A) \ (\text{UNION } S \ r)$   
 $\langle proof \rangle$

**lemma** *refl-on-empty*[simp]: *refl-on* {} {}  
 $\langle proof \rangle$

**lemma** *refl-on-Id-on*: *refl-on* A (*Id-on* A)  
 $\langle proof \rangle$

## 21.6 Antisymmetry

**lemma** *antisymI*:  
 $(!!x\ y. (x, y) : r ==> (y, x) : r ==> x=y) ==> antisym\ r$   
 $\langle proof \rangle$

**lemma** *antisymD*: *antisym* r ==> (a, b) : r ==> (b, a) : r ==> a = b  
 $\langle proof \rangle$

**lemma** *antisym-subset*:  $r \subseteq s ==> antisym\ s ==> antisym\ r$   
 $\langle proof \rangle$

**lemma** *antisym-empty* [simp]: *antisym* {}  
 $\langle proof \rangle$

**lemma** *antisym-Id-on* [simp]: *antisym* (*Id-on* A)  
 $\langle proof \rangle$

## 21.7 Symmetry

**lemma** *symI*:  $(!!a\ b. (a, b) : r ==> (b, a) : r) ==> sym\ r$   
 $\langle proof \rangle$

**lemma** *symD*: *sym* r ==> (a, b) : r ==> (b, a) : r  
 $\langle proof \rangle$

**lemma** *sym-Int*: *sym* r ==> *sym* s ==> *sym* (r  $\cap$  s)  
 $\langle proof \rangle$

**lemma** *sym-Un*: *sym* r ==> *sym* s ==> *sym* (r  $\cup$  s)  
 $\langle proof \rangle$

**lemma** *sym-INTER*:  $ALL\ x:S. sym\ (r\ x) ==> sym\ (INTER\ S\ r)$   
 $\langle proof \rangle$

**lemma** *sym-UNION*:  $ALL\ x:S. sym\ (r\ x) ==> sym\ (UNION\ S\ r)$   
 $\langle proof \rangle$

**lemma** *sym-Id-on* [simp]: *sym* (*Id-on* A)  
 $\langle proof \rangle$

## 21.8 Transitivity

**lemma** *transI*:

$(!!x\ y\ z. (x, y) : r ==> (y, z) : r ==> (x, z) : r) ==> \text{trans } r$   
 $\langle \text{proof} \rangle$

**lemma** *transD*:  $\text{trans } r ==> (a, b) : r ==> (b, c) : r ==> (a, c) : r$   
 $\langle \text{proof} \rangle$

**lemma** *trans-Int*:  $\text{trans } r ==> \text{trans } s ==> \text{trans } (r \cap s)$   
 $\langle \text{proof} \rangle$

**lemma** *trans-INTER*:  $\text{ALL } x:S. \text{trans } (r\ x) ==> \text{trans } (\text{INTER } S\ r)$   
 $\langle \text{proof} \rangle$

**lemma** *trans-Id-on* [simp]:  $\text{trans } (\text{Id-on } A)$   
 $\langle \text{proof} \rangle$

**lemma** *trans-diff-Id*:  $\text{trans } r \implies \text{antisym } r \implies \text{trans } (r - \text{Id})$   
 $\langle \text{proof} \rangle$

## 21.9 Irreflexivity

**lemma** *irrefl-diff-Id*[simp]:  $\text{irrefl}(r - \text{Id})$   
 $\langle \text{proof} \rangle$

## 21.10 Totality

**lemma** *total-on-empty*[simp]:  $\text{total-on } \{\} r$   
 $\langle \text{proof} \rangle$

**lemma** *total-on-diff-Id*[simp]:  $\text{total-on } A\ (r - \text{Id}) = \text{total-on } A\ r$   
 $\langle \text{proof} \rangle$

## 21.11 Converse

**lemma** *converse-iff* [iff]:  $((a, b) : r^{-1}) = ((b, a) : r)$   
 $\langle \text{proof} \rangle$

**lemma** *converseI*[sym]:  $(a, b) : r ==> (b, a) : r^{-1}$   
 $\langle \text{proof} \rangle$

**lemma** *converseD*[sym]:  $(a, b) : r^{-1} ==> (b, a) : r$   
 $\langle \text{proof} \rangle$

**lemma** *converseE* [elim!]:  
 $yx : r^{-1} ==> (!!x\ y. yx = (y, x) ==> (x, y) : r ==> P) ==> P$   
 — More general than *converseD*, as it “splits” the member of the relation.  
 $\langle \text{proof} \rangle$

**lemma** *converse-converse* [simp]:  $(r^{-1})^{-1} = r$   
 $\langle \text{proof} \rangle$

**lemma** *converse-rel-comp*:  $(r \ O \ s)^{\wedge-1} = s^{\wedge-1} \ O \ r^{\wedge-1}$   
 $\langle proof \rangle$

**lemma** *converse-Int*:  $(r \cap s)^{\wedge-1} = r^{\wedge-1} \cap s^{\wedge-1}$   
 $\langle proof \rangle$

**lemma** *converse-Un*:  $(r \cup s)^{\wedge-1} = r^{\wedge-1} \cup s^{\wedge-1}$   
 $\langle proof \rangle$

**lemma** *converse-INTER*:  $(INTER \ S \ r)^{\wedge-1} = (INT \ x:S. (r \ x)^{\wedge-1})$   
 $\langle proof \rangle$

**lemma** *converse-UNION*:  $(UNION \ S \ r)^{\wedge-1} = (UN \ x:S. (r \ x)^{\wedge-1})$   
 $\langle proof \rangle$

**lemma** *converse-Id* [simp]:  $Id^{\wedge-1} = Id$   
 $\langle proof \rangle$

**lemma** *converse-Id-on* [simp]:  $(Id-on \ A)^{\wedge-1} = Id-on \ A$   
 $\langle proof \rangle$

**lemma** *refl-on-converse* [simp]:  $refl-on \ A \ (converse \ r) = refl-on \ A \ r$   
 $\langle proof \rangle$

**lemma** *sym-converse* [simp]:  $sym \ (converse \ r) = sym \ r$   
 $\langle proof \rangle$

**lemma** *antisym-converse* [simp]:  $antisym \ (converse \ r) = antisym \ r$   
 $\langle proof \rangle$

**lemma** *trans-converse* [simp]:  $trans \ (converse \ r) = trans \ r$   
 $\langle proof \rangle$

**lemma** *sym-conv-converse-eq*:  $sym \ r = (r^{\wedge-1} = r)$   
 $\langle proof \rangle$

**lemma** *sym-Un-converse*:  $sym \ (r \cup r^{\wedge-1})$   
 $\langle proof \rangle$

**lemma** *sym-Int-converse*:  $sym \ (r \cap r^{\wedge-1})$   
 $\langle proof \rangle$

**lemma** *total-on-converse*[simp]:  $total-on \ A \ (r^{\wedge-1}) = total-on \ A \ r$   
 $\langle proof \rangle$

## 21.12 Domain

**declare** *Domain-def* [no-atp]

**lemma** *Domain-iff*:  $(a : \text{Domain } r) = (EX\ y. (a, y) : r)$   
 $\langle \text{proof} \rangle$

**lemma** *DomainI* [*intro*]:  $(a, b) : r ==> a : \text{Domain } r$   
 $\langle \text{proof} \rangle$

**lemma** *DomainE* [*elim!*]:  
 $a : \text{Domain } r ==> (!y. (a, y) : r ==> P) ==> P$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-empty* [*simp*]:  $\text{Domain } \{\} = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-empty-iff*:  $\text{Domain } r = \{\} \longleftrightarrow r = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-insert*:  $\text{Domain } (\text{insert } (a, b) \ r) = \text{insert } a \ (\text{Domain } r)$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-Id* [*simp*]:  $\text{Domain } \text{Id} = \text{UNIV}$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-Id-on* [*simp*]:  $\text{Domain } (\text{Id-on } A) = A$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-Un-eq*:  $\text{Domain}(A \cup B) = \text{Domain}(A) \cup \text{Domain}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-Int-subset*:  $\text{Domain}(A \cap B) \subseteq \text{Domain}(A) \cap \text{Domain}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-Diff-subset*:  $\text{Domain}(A) - \text{Domain}(B) \subseteq \text{Domain}(A - B)$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-Union*:  $\text{Domain } (\text{Union } S) = (\bigcup A \in S. \text{Domain } A)$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-converse* [*simp*]:  $\text{Domain}(r^{-1}) = \text{Range } r$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-mono*:  $r \subseteq s ==> \text{Domain } r \subseteq \text{Domain } s$   
 $\langle \text{proof} \rangle$

**lemma** *fst-eq-Domain*:  $\text{fst } R = \text{Domain } R$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-dprod* [*simp*]:  $\text{Domain } (\text{dprod } r \ s) = \text{uprod } (\text{Domain } r) \ (\text{Domain } s)$   
 $\langle \text{proof} \rangle$



**lemma** *Domain-dsum* [simp]:  $\text{Domain } (dsum\ r\ s) = usum\ (\text{Domain } r)\ (\text{Domain } s)$   
 ⟨proof⟩

### 21.13 Range

**lemma** *Range-iff*:  $(a : \text{Range } r) = (EX\ y. (y, a) : r)$   
 ⟨proof⟩

**lemma** *RangeI* [intro]:  $(a, b) : r ==> b : \text{Range } r$   
 ⟨proof⟩

**lemma** *RangeE* [elim!]:  $b : \text{Range } r ==> (!x. (x, b) : r ==> P) ==> P$   
 ⟨proof⟩

**lemma** *Range-empty* [simp]:  $\text{Range } \{\} = \{\}$   
 ⟨proof⟩

**lemma** *Range-empty-iff*:  $\text{Range } r = \{\} \longleftrightarrow r = \{\}$   
 ⟨proof⟩

**lemma** *Range-insert*:  $\text{Range } (\text{insert } (a, b) r) = \text{insert } b\ (\text{Range } r)$   
 ⟨proof⟩

**lemma** *Range-Id* [simp]:  $\text{Range } Id = UNIV$   
 ⟨proof⟩

**lemma** *Range-Id-on* [simp]:  $\text{Range } (Id\text{-on } A) = A$   
 ⟨proof⟩

**lemma** *Range-Un-eq*:  $\text{Range}(A \cup B) = \text{Range}(A) \cup \text{Range}(B)$   
 ⟨proof⟩

**lemma** *Range-Int-subset*:  $\text{Range}(A \cap B) \subseteq \text{Range}(A) \cap \text{Range}(B)$   
 ⟨proof⟩

**lemma** *Range-Diff-subset*:  $\text{Range}(A) - \text{Range}(B) \subseteq \text{Range}(A - B)$   
 ⟨proof⟩

**lemma** *Range-Union*:  $\text{Range } (\text{Union } S) = (\bigcup A \in S. \text{Range } A)$   
 ⟨proof⟩

**lemma** *Range-converse*[simp]:  $\text{Range}(r^{-1}) = \text{Domain } r$   
 ⟨proof⟩

**lemma** *snd-eq-Range*:  $\text{snd } ` R = \text{Range } R$   
 ⟨proof⟩

### 21.14 Field

**lemma** *mono-Field*:  $r \subseteq s \implies \text{Field } r \subseteq \text{Field } s$   
 $\langle \text{proof} \rangle$

**lemma** *Field-empty[simp]*:  $\text{Field } \{\} = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *Field-insert[simp]*:  $\text{Field } (\text{insert } (a,b) \ r) = \{a,b\} \cup \text{Field } r$   
 $\langle \text{proof} \rangle$

**lemma** *Field-Un[simp]*:  $\text{Field } (r \cup s) = \text{Field } r \cup \text{Field } s$   
 $\langle \text{proof} \rangle$

**lemma** *Field-Union[simp]*:  $\text{Field } (\bigcup R) = \bigcup (\text{Field } ` R)$   
 $\langle \text{proof} \rangle$

**lemma** *Field-converse[simp]*:  $\text{Field } (r^{-1}) = \text{Field } r$   
 $\langle \text{proof} \rangle$

### 21.15 Image of a set under a relation

**declare** *Image-def* [no-atp]

**lemma** *Image-iff*:  $(b : r `` A) = (\exists x : A. (x, b) : r)$   
 $\langle \text{proof} \rangle$

**lemma** *Image-singleton*:  $r `` \{a\} = \{b. (a, b) : r\}$   
 $\langle \text{proof} \rangle$

**lemma** *Image-singleton-iff [iff]*:  $(b : r `` \{a\}) = ((a, b) : r)$   
 $\langle \text{proof} \rangle$

**lemma** *ImageI [intro,no-atp]*:  $(a, b) : r \implies a : A \implies b : r `` A$   
 $\langle \text{proof} \rangle$

**lemma** *ImageE [elim!]*:  
 $b : r `` A \implies (!x. (x, b) : r \implies x : A \implies P) \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *rev-ImageI*:  $a : A \implies (a, b) : r \implies b : r `` A$   
 — This version’s more effective when we already have the required  $a$   
 $\langle \text{proof} \rangle$

**lemma** *Image-empty [simp]*:  $R `` \{\} = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *Image-Id [simp]*:  $\text{Id} `` A = A$   
 $\langle \text{proof} \rangle$

**lemma** *Image-Id-on* [*simp*]:  $Id-on\ A \ “\ B = A \cap B$   
 $\langle proof \rangle$

**lemma** *Image-Int-subset*:  $R \ “\ (A \cap B) \subseteq R \ “\ A \cap R \ “\ B$   
 $\langle proof \rangle$

**lemma** *Image-Int-eq*:  
 $single-valued\ (converse\ R) ==> R \ “\ (A \cap B) = R \ “\ A \cap R \ “\ B$   
 $\langle proof \rangle$

**lemma** *Image-Un*:  $R \ “\ (A \cup B) = R \ “\ A \cup R \ “\ B$   
 $\langle proof \rangle$

**lemma** *Un-Image*:  $(R \cup S) \ “\ A = R \ “\ A \cup S \ “\ A$   
 $\langle proof \rangle$

**lemma** *Image-subset*:  $r \subseteq A \times B ==> r \ “\ C \subseteq B$   
 $\langle proof \rangle$

**lemma** *Image-eq-UN*:  $r \ “\ B = (\bigcup y \in B. r \ “\ \{y\})$   
 — NOT suitable for rewriting  
 $\langle proof \rangle$

**lemma** *Image-mono*:  $r' \subseteq r ==> A' \subseteq A ==> (r' \ “\ A') \subseteq (r \ “\ A)$   
 $\langle proof \rangle$

**lemma** *Image-UN*:  $(r \ “\ (UNION\ A\ B)) = (\bigcup x \in A. r \ “\ (B\ x))$   
 $\langle proof \rangle$

**lemma** *Image-INT-subset*:  $(r \ “\ INTER\ A\ B) \subseteq (\bigcap x \in A. r \ “\ (B\ x))$   
 $\langle proof \rangle$

Converse inclusion requires some assumptions

**lemma** *Image-INT-eq*:  
 $[single-valued\ (r^{-1}); A \neq \{\}] ==> r \ “\ INTER\ A\ B = (\bigcap x \in A. r \ “\ B\ x)$   
 $\langle proof \rangle$

**lemma** *Image-subset-eq*:  $(r \ “\ A \subseteq B) = (A \subseteq - ((r^{-1}) \ “\ (-B)))$   
 $\langle proof \rangle$

## 21.16 Single valued relations

**lemma** *single-valuedI*:  
 $ALL\ x\ y. (x, y) : r --> (ALL\ z. (x, z) : r --> y = z) ==> single-valued\ r$   
 $\langle proof \rangle$

**lemma** *single-valuedD*:  
 $single-valued\ r ==> (x, y) : r ==> (x, z) : r ==> y = z$   
 $\langle proof \rangle$

**lemma** *single-valued-rel-comp*:

*single-valued*  $r \implies \text{single-valued } s \implies \text{single-valued } (r \circ s)$   
 $\langle \text{proof} \rangle$

**lemma** *single-valued-subset*:

$r \subseteq s \implies \text{single-valued } s \implies \text{single-valued } r$   
 $\langle \text{proof} \rangle$

**lemma** *single-valued-Id* [simp]: *single-valued*  $\text{Id}$

$\langle \text{proof} \rangle$

**lemma** *single-valued-Id-on* [simp]: *single-valued* ( $\text{Id-on } A$ )

$\langle \text{proof} \rangle$

### 21.17 Graphs given by *Collect*

**lemma** *Domain-Collect-split* [simp]:  $\text{Domain}\{(x,y). P\ x\ y\} = \{x. \text{EX } y. P\ x\ y\}$

$\langle \text{proof} \rangle$

**lemma** *Range-Collect-split* [simp]:  $\text{Range}\{(x,y). P\ x\ y\} = \{y. \text{EX } x. P\ x\ y\}$

$\langle \text{proof} \rangle$

**lemma** *Image-Collect-split* [simp]:  $\{(x,y). P\ x\ y\} \text{ “ } A = \{y. \text{EX } x:A. P\ x\ y\}$

$\langle \text{proof} \rangle$

### 21.18 Inverse image

**lemma** *sym-inv-image*:  $\text{sym } r \implies \text{sym } (\text{inv-image } r\ f)$

$\langle \text{proof} \rangle$

**lemma** *trans-inv-image*:  $\text{trans } r \implies \text{trans } (\text{inv-image } r\ f)$

$\langle \text{proof} \rangle$

**lemma** *in-inv-image*[simp]:  $((x,y) : \text{inv-image } r\ f) = ((f\ x, f\ y) : r)$

$\langle \text{proof} \rangle$

**lemma** *converse-inv-image*[simp]:  $(\text{inv-image } R\ f)^{-1} = \text{inv-image } (R^{-1})\ f$

$\langle \text{proof} \rangle$

### 21.19 Finiteness

**lemma** *finite-converse* [iff]:  $\text{finite } (r^{-1}) = \text{finite } r$

$\langle \text{proof} \rangle$

**lemma** *finite-Domain*:  $\text{finite } r \implies \text{finite } (\text{Domain } r)$

$\langle \text{proof} \rangle$

**lemma** *finite-Range*:  $\text{finite } r \implies \text{finite } (\text{Range } r)$

$\langle \text{proof} \rangle$

**lemma** *finite-Field*:  $\text{finite } r \implies \text{finite } (\text{Field } r)$   
 — A finite relation has a finite field (=  $\text{domain} \cup \text{range}$ ).  
 $\langle \text{proof} \rangle$

## 21.20 Miscellaneous

Version of *lfp-induct* for binary relations

**lemmas** *lfp-induct2* =  
*lfp-induct-set* [*of* (*a*, *b*), *split-format* (*complete*)]

Version of *subsetI* for binary relations

**lemma** *subrelI*:  $(\bigwedge x y. (x, y) \in r \implies (x, y) \in s) \implies r \subseteq s$   
 $\langle \text{proof} \rangle$

**end**

## 22 Predicate: Predicates as relations and enumerations

**theory** *Predicate*  
**imports** *Inductive Relation*  
**begin**

**notation**

*inf* (**infixl**  $\sqcap$  70) **and**  
*sup* (**infixl**  $\sqcup$  65) **and**  
*Inf* ( $\bigcap$  - [900] 900) **and**  
*Sup* ( $\bigcup$  - [900] 900) **and**  
*top* ( $\top$ ) **and**  
*bot* ( $\perp$ )

### 22.1 Predicates as (complete) lattices

Handy introduction and elimination rules for  $\leq$  on unary and binary predicates

**lemma** *predicate1I*:  
**assumes**  $PQ: \bigwedge x. P x \implies Q x$   
**shows**  $P \leq Q$   
 $\langle \text{proof} \rangle$

**lemma** *predicate1D* [*Pure.dest?*, *dest?*]:  
 $P \leq Q \implies P x \implies Q x$   
 $\langle \text{proof} \rangle$

**lemma** *rev-predicate1D*:

$P\ x ==> P\ <= Q ==> Q\ x$   
 $\langle proof \rangle$

**lemma** *predicate2I* [*Pure.intro!*, *intro!*]:  
 assumes  $PQ: \bigwedge x\ y. P\ x\ y \implies Q\ x\ y$   
 shows  $P \leq Q$   
 $\langle proof \rangle$

**lemma** *predicate2D* [*Pure.dest*, *dest*]:  
 $P \leq Q \implies P\ x\ y \implies Q\ x\ y$   
 $\langle proof \rangle$

**lemma** *rev-predicate2D*:  
 $P\ x\ y ==> P\ <= Q ==> Q\ x\ y$   
 $\langle proof \rangle$

### 22.1.1 Equality

**lemma** *pred-equals-eq*:  $((\lambda x. x \in R) = (\lambda x. x \in S)) = (R = S)$   
 $\langle proof \rangle$

**lemma** *pred-equals-eq2* [*pred-set-conv*]:  $((\lambda x\ y. (x, y) \in R) = (\lambda x\ y. (x, y) \in S)) = (R = S)$   
 $\langle proof \rangle$

### 22.1.2 Order relation

**lemma** *pred-subset-eq*:  $((\lambda x. x \in R) <= (\lambda x. x \in S)) = (R <= S)$   
 $\langle proof \rangle$

**lemma** *pred-subset-eq2* [*pred-set-conv*]:  $((\lambda x\ y. (x, y) \in R) <= (\lambda x\ y. (x, y) \in S)) = (R <= S)$   
 $\langle proof \rangle$

### 22.1.3 Top and bottom elements

**lemma** *top1I* [*intro!*]: *top*  $x$   
 $\langle proof \rangle$

**lemma** *top2I* [*intro!*]: *top*  $x\ y$   
 $\langle proof \rangle$

**lemma** *bot1E* [*elim!*]: *bot*  $x \implies P$   
 $\langle proof \rangle$

**lemma** *bot2E* [*elim!*]: *bot*  $x\ y \implies P$   
 $\langle proof \rangle$

**lemma** *bot-empty-eq*: *bot*  $= (\lambda x. x \in \{\})$   
 $\langle proof \rangle$

**lemma** *bot-empty-eq2*:  $\text{bot} = (\lambda x y. (x, y) \in \{\})$   
 $\langle \text{proof} \rangle$

#### 22.1.4 Binary union

**lemma** *sup1I1* [*elim?*]:  $A x \implies \text{sup } A B x$   
 $\langle \text{proof} \rangle$

**lemma** *sup2I1* [*elim?*]:  $A x y \implies \text{sup } A B x y$   
 $\langle \text{proof} \rangle$

**lemma** *sup1I2* [*elim?*]:  $B x \implies \text{sup } A B x$   
 $\langle \text{proof} \rangle$

**lemma** *sup2I2* [*elim?*]:  $B x y \implies \text{sup } A B x y$   
 $\langle \text{proof} \rangle$

**lemma** *sup1E* [*elim!*]:  $\text{sup } A B x \implies (A x \implies P) \implies (B x \implies P) \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *sup2E* [*elim!*]:  $\text{sup } A B x y \implies (A x y \implies P) \implies (B x y \implies P) \implies P$   
 $\langle \text{proof} \rangle$

Classical introduction rule: no commitment to  $A$  vs  $B$ .

**lemma** *sup1CI* [*intro!*]:  $(\sim B x \implies A x) \implies \text{sup } A B x$   
 $\langle \text{proof} \rangle$

**lemma** *sup2CI* [*intro!*]:  $(\sim B x y \implies A x y) \implies \text{sup } A B x y$   
 $\langle \text{proof} \rangle$

**lemma** *sup-Un-eq*:  $\text{sup } (\lambda x. x \in R) (\lambda x. x \in S) = (\lambda x. x \in R \cup S)$   
 $\langle \text{proof} \rangle$

**lemma** *sup-Un-eq2* [*pred-set-conv*]:  $\text{sup } (\lambda x y. (x, y) \in R) (\lambda x y. (x, y) \in S) = (\lambda x y. (x, y) \in R \cup S)$   
 $\langle \text{proof} \rangle$

#### 22.1.5 Binary intersection

**lemma** *inf1I* [*intro!*]:  $A x \implies B x \implies \text{inf } A B x$   
 $\langle \text{proof} \rangle$

**lemma** *inf2I* [*intro!*]:  $A x y \implies B x y \implies \text{inf } A B x y$   
 $\langle \text{proof} \rangle$

**lemma** *inf1E* [*elim!*]:  $\text{inf } A B x \implies (A x \implies B x \implies P) \implies P$

$\langle proof \rangle$

**lemma** *inf2E* [*elim!*]:  $\inf A B x y \implies (A x y \implies B x y \implies P) \implies P$   
 $\langle proof \rangle$

**lemma** *inf1D1*:  $\inf A B x \implies A x$   
 $\langle proof \rangle$

**lemma** *inf2D1*:  $\inf A B x y \implies A x y$   
 $\langle proof \rangle$

**lemma** *inf1D2*:  $\inf A B x \implies B x$   
 $\langle proof \rangle$

**lemma** *inf2D2*:  $\inf A B x y \implies B x y$   
 $\langle proof \rangle$

**lemma** *inf-Int-eq*:  $\inf (\lambda x. x \in R) (\lambda x. x \in S) = (\lambda x. x \in R \cap S)$   
 $\langle proof \rangle$

**lemma** *inf-Int-eq2* [*pred-set-conv*]:  $\inf (\lambda x y. (x, y) \in R) (\lambda x y. (x, y) \in S) =$   
 $(\lambda x y. (x, y) \in R \cap S)$   
 $\langle proof \rangle$

### 22.1.6 Unions of families

**lemma** *SUP1-iff*:  $(\text{SUP } x:A. B x) b = (\text{EX } x:A. B x b)$   
 $\langle proof \rangle$

**lemma** *SUP2-iff*:  $(\text{SUP } x:A. B x) b c = (\text{EX } x:A. B x b c)$   
 $\langle proof \rangle$

**lemma** *SUP1-I* [*intro*]:  $a : A \implies B a b \implies (\text{SUP } x:A. B x) b$   
 $\langle proof \rangle$

**lemma** *SUP2-I* [*intro*]:  $a : A \implies B a b c \implies (\text{SUP } x:A. B x) b c$   
 $\langle proof \rangle$

**lemma** *SUP1-E* [*elim!*]:  $(\text{SUP } x:A. B x) b \implies (!x. x : A \implies B x b \implies R) \implies R$   
 $\langle proof \rangle$

**lemma** *SUP2-E* [*elim!*]:  $(\text{SUP } x:A. B x) b c \implies (!x. x : A \implies B x b c \implies R) \implies R$   
 $\langle proof \rangle$

**lemma** *SUP-UN-eq*:  $(\text{SUP } i. (\lambda x. x \in r i)) = (\lambda x. x \in (\text{UN } i. r i))$   
 $\langle proof \rangle$



**lemma** *SUP-UN-eq2*:  $(\text{SUP } i. (\lambda x y. (x, y) \in r \ i)) = (\lambda x y. (x, y) \in (\text{UN } i. r \ i))$   
 $\langle \text{proof} \rangle$

### 22.1.7 Intersections of families

**lemma** *INF1-iff*:  $(\text{INF } x:A. B \ x) \ b = (\text{ALL } x:A. B \ x \ b)$   
 $\langle \text{proof} \rangle$

**lemma** *INF2-iff*:  $(\text{INF } x:A. B \ x) \ b \ c = (\text{ALL } x:A. B \ x \ b \ c)$   
 $\langle \text{proof} \rangle$

**lemma** *INF1-I* [intro!]:  $(!!x. x : A ==> B \ x \ b) ==> (\text{INF } x:A. B \ x) \ b$   
 $\langle \text{proof} \rangle$

**lemma** *INF2-I* [intro!]:  $(!!x. x : A ==> B \ x \ b \ c) ==> (\text{INF } x:A. B \ x) \ b \ c$   
 $\langle \text{proof} \rangle$

**lemma** *INF1-D* [elim]:  $(\text{INF } x:A. B \ x) \ b ==> a : A ==> B \ a \ b$   
 $\langle \text{proof} \rangle$

**lemma** *INF2-D* [elim]:  $(\text{INF } x:A. B \ x) \ b \ c ==> a : A ==> B \ a \ b \ c$   
 $\langle \text{proof} \rangle$

**lemma** *INF1-E* [elim]:  $(\text{INF } x:A. B \ x) \ b ==> (B \ a \ b ==> R) ==> (a \sim: A ==> R) ==> R$   
 $\langle \text{proof} \rangle$

**lemma** *INF2-E* [elim]:  $(\text{INF } x:A. B \ x) \ b \ c ==> (B \ a \ b \ c ==> R) ==> (a \sim: A ==> R) ==> R$   
 $\langle \text{proof} \rangle$

**lemma** *INF-INT-eq*:  $(\text{INF } i. (\lambda x. x \in r \ i)) = (\lambda x. x \in (\text{INT } i. r \ i))$   
 $\langle \text{proof} \rangle$

**lemma** *INF-INT-eq2*:  $(\text{INF } i. (\lambda x y. (x, y) \in r \ i)) = (\lambda x y. (x, y) \in (\text{INT } i. r \ i))$   
 $\langle \text{proof} \rangle$

## 22.2 Predicates as relations

### 22.2.1 Composition

**inductive**

*pred-comp* ::  $['a ==> 'b ==> \text{bool}, 'b ==> 'c ==> \text{bool}] ==> 'a ==> 'c ==> \text{bool}$   
 (infixr OO 75)

for  $r :: 'a ==> 'b ==> \text{bool}$  and  $s :: 'b ==> 'c ==> \text{bool}$

**where**

*pred-compI* [intro]:  $r \ a \ b ==> s \ b \ c ==> (r \ OO \ s) \ a \ c$

**inductive-cases** *pred-compE* [elim!]:  $(r \ OO \ s) \ a \ c$

**lemma** *pred-comp-rel-comp-eq* [*pred-set-conv*]:  
 $((\lambda x y. (x, y) \in r) \text{ OO } (\lambda x y. (x, y) \in s)) = (\lambda x y. (x, y) \in r \text{ O } s))$   
 $\langle \text{proof} \rangle$

### 22.2.2 Converse

**inductive**

*conversep* :: ('a => 'b => bool) => 'b => 'a => bool  
 $((-\hat{\text{---}}1) [1000] 1000)$   
**for** *r* :: 'a => 'b => bool

**where**

*conversepI*:  $r \text{ a } b \implies r \hat{\text{---}}1 \text{ b } a$

**notation** (*xsymbols*)

*conversep*  $((-\hat{\text{---}}1) [1000] 1000)$

**lemma** *conversepD*:

**assumes** *ab*:  $r \hat{\text{---}}1 \text{ a } b$

**shows**  $r \text{ b } a$   $\langle \text{proof} \rangle$

**lemma** *conversep-iff* [*iff*]:  $r \hat{\text{---}}1 \text{ a } b = r \text{ b } a$   
 $\langle \text{proof} \rangle$

**lemma** *conversep-converse-eq* [*pred-set-conv*]:  
 $(\lambda x y. (x, y) \in r) \hat{\text{---}}1 = (\lambda x y. (x, y) \in r \hat{\text{---}}1)$   
 $\langle \text{proof} \rangle$

**lemma** *conversep-conversep* [*simp*]:  $(r \hat{\text{---}}1) \hat{\text{---}}1 = r$   
 $\langle \text{proof} \rangle$

**lemma** *converse-pred-comp*:  $(r \text{ OO } s) \hat{\text{---}}1 = s \hat{\text{---}}1 \text{ OO } r \hat{\text{---}}1$   
 $\langle \text{proof} \rangle$

**lemma** *converse-meet*:  $(\inf r \text{ s}) \hat{\text{---}}1 = \inf r \hat{\text{---}}1 \text{ s} \hat{\text{---}}1$   
 $\langle \text{proof} \rangle$

**lemma** *converse-join*:  $(\sup r \text{ s}) \hat{\text{---}}1 = \sup r \hat{\text{---}}1 \text{ s} \hat{\text{---}}1$   
 $\langle \text{proof} \rangle$

**lemma** *conversep-noteq* [*simp*]:  $(op \sim) \hat{\text{---}}1 = op \sim$   
 $\langle \text{proof} \rangle$

**lemma** *conversep-eq* [*simp*]:  $(op =) \hat{\text{---}}1 = op =$   
 $\langle \text{proof} \rangle$

### 22.2.3 Domain

**inductive**

*DomainP* :: ('a => 'b => bool) => 'a => bool  
**for** *r* :: 'a => 'b => bool

**where**

$\text{DomainPI} \text{ [intro]: } r \ a \ b ==> \text{DomainP} \ r \ a$

**inductive-cases**  $\text{DomainPE} \text{ [elim!]: } \text{DomainP} \ r \ a$

**lemma**  $\text{DomainP-Domain-eq} \text{ [pred-set-conv]: } \text{DomainP} \ (\lambda x \ y. (x, y) \in r) = (\lambda x. x \in \text{Domain} \ r)$   
 $\langle \text{proof} \rangle$

## 22.2.4 Range

**inductive**

$\text{RangeP} :: ('a ==> 'b ==> \text{bool}) ==> 'b ==> \text{bool}$

**for**  $r :: 'a ==> 'b ==> \text{bool}$

**where**

$\text{RangePI} \text{ [intro]: } r \ a \ b ==> \text{RangeP} \ r \ b$

**inductive-cases**  $\text{RangePE} \text{ [elim!]: } \text{RangeP} \ r \ b$

**lemma**  $\text{RangeP-Range-eq} \text{ [pred-set-conv]: } \text{RangeP} \ (\lambda x \ y. (x, y) \in r) = (\lambda x. x \in \text{Range} \ r)$   
 $\langle \text{proof} \rangle$

## 22.2.5 Inverse image

**definition**

$\text{inv-imagep} :: ('b ==> 'a ==> \text{bool}) ==> ('a ==> 'b) ==> 'a ==> 'a ==> \text{bool}$  **where**  
 $\text{inv-imagep} \ r \ f == \%x \ y. r \ (f \ x) \ (f \ y)$

**lemma**  $\text{[pred-set-conv]: } \text{inv-imagep} \ (\lambda x \ y. (x, y) \in r) \ f = (\lambda x \ y. (x, y) \in \text{inv-image} \ r \ f)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{in-inv-imagep} \text{ [simp]: } \text{inv-imagep} \ r \ f \ x \ y = r \ (f \ x) \ (f \ y)$   
 $\langle \text{proof} \rangle$

## 22.2.6 Powerset

**definition**  $\text{Powp} :: ('a ==> \text{bool}) ==> 'a \ \text{set} ==> \text{bool}$  **where**

$\text{Powp} \ A == \lambda B. \forall x \in B. A \ x$

**lemma**  $\text{Powp-Pow-eq} \text{ [pred-set-conv]: } \text{Powp} \ (\lambda x. x \in A) = (\lambda x. x \in \text{Pow} \ A)$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{Powp-mono} \text{ [mono]} = \text{Pow-mono} \text{ [to-pred pred-subset-eq]}$

## 22.2.7 Properties of relations

**abbreviation**  $\text{antisymP} :: ('a ==> 'a ==> \text{bool}) ==> \text{bool}$  **where**

$\text{antisymP} \ r == \text{antisym} \ \{(x, y). r \ x \ y\}$

**abbreviation**  $\text{transP} :: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **where**  
 $\text{transP } r == \text{trans } \{(x, y). r \ x \ y\}$

**abbreviation**  $\text{single-valuedP} :: ('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **where**  
 $\text{single-valuedP } r == \text{single-valued } \{(x, y). r \ x \ y\}$

## 22.3 Predicates as enumerations

### 22.3.1 The type of predicate enumerations (a monad)

**datatype**  $'a \text{ pred} = \text{Pred } 'a \Rightarrow \text{bool}$

**primrec**  $\text{eval} :: 'a \text{ pred} \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
 $\text{eval-pred}: \text{eval } (\text{Pred } f) = f$

**lemma**  $\text{Pred-eval [simp]}:$   
 $\text{Pred } (\text{eval } x) = x$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{eval-inject}: \text{eval } x = \text{eval } y \longleftrightarrow x = y$   
 $\langle \text{proof} \rangle$

**definition**  $\text{single} :: 'a \Rightarrow 'a \text{ pred}$  **where**  
 $\text{single } x = \text{Pred } ((\text{op } =) \ x)$

**definition**  $\text{bind} :: 'a \text{ pred} \Rightarrow ('a \Rightarrow 'b \text{ pred}) \Rightarrow 'b \text{ pred}$  (**infixl**  $\gg=$  70) **where**  
 $P \gg= f = \text{Pred } (\lambda x. (\exists y. \text{eval } P \ y \wedge \text{eval } (f \ y) \ x))$

**instantiation**  $\text{pred} :: (\text{type}) \ \{ \text{complete-lattice}, \text{boolean-algebra} \}$   
**begin**

**definition**  
 $P \leq Q \longleftrightarrow \text{eval } P \leq \text{eval } Q$

**definition**  
 $P < Q \longleftrightarrow \text{eval } P < \text{eval } Q$

**definition**  
 $\perp = \text{Pred } \perp$

**definition**  
 $\top = \text{Pred } \top$

**definition**  
 $P \sqcap Q = \text{Pred } (\text{eval } P \sqcap \text{eval } Q)$

**definition**  
 $P \sqcup Q = \text{Pred } (\text{eval } P \sqcup \text{eval } Q)$

**definition**

[code del]:  $\prod A = \text{Pred } (\text{INFI } A \text{ eval})$

**definition**

[code del]:  $\sqcup A = \text{Pred } (\text{SUPR } A \text{ eval})$

**definition**

$- P = \text{Pred } (- \text{ eval } P)$

**definition**

$P - Q = \text{Pred } (\text{eval } P - \text{eval } Q)$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *bind-bind*:

$(P \gg Q) \gg R = P \gg (\lambda x. Q \ x \gg R)$   
 $\langle \text{proof} \rangle$

**lemma** *bind-single*:

$P \gg \text{single} = P$   
 $\langle \text{proof} \rangle$

**lemma** *single-bind*:

$\text{single } x \gg P = P \ x$   
 $\langle \text{proof} \rangle$

**lemma** *bottom-bind*:

$\perp \gg P = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *sup-bind*:

$(P \sqcup Q) \gg R = P \gg R \sqcup Q \gg R$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-bind*:  $(\sqcup A \gg f) = \sqcup ((\lambda x. x \gg f) \text{ ‘ } A)$ 

$\langle \text{proof} \rangle$

**lemma** *pred-iffI*:

**assumes**  $\bigwedge x. \text{eval } A \ x \implies \text{eval } B \ x$   
**and**  $\bigwedge x. \text{eval } B \ x \implies \text{eval } A \ x$   
**shows**  $A = B$

$\langle \text{proof} \rangle$

**lemma** *singleI*:  $\text{eval } (\text{single } x) \ x$ 

$\langle \text{proof} \rangle$

**lemma** *singleI-unit*:  $\text{eval } (\text{single } ()) \ x$

$\langle \text{proof} \rangle$

**lemma** *singleE*:  $\text{eval } (\text{single } x) \ y \implies (y = x \implies P) \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *singleE'*:  $\text{eval } (\text{single } x) \ y \implies (x = y \implies P) \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *bindI*:  $\text{eval } P \ x \implies \text{eval } (Q \ x) \ y \implies \text{eval } (P \gg= Q) \ y$   
 $\langle \text{proof} \rangle$

**lemma** *bindE*:  $\text{eval } (R \gg= Q) \ y \implies (\bigwedge x. \text{eval } R \ x \implies \text{eval } (Q \ x) \ y \implies P) \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *botE*:  $\text{eval } \perp \ x \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *supI1*:  $\text{eval } A \ x \implies \text{eval } (A \sqcup B) \ x$   
 $\langle \text{proof} \rangle$

**lemma** *supI2*:  $\text{eval } B \ x \implies \text{eval } (A \sqcup B) \ x$   
 $\langle \text{proof} \rangle$

**lemma** *supE*:  $\text{eval } (A \sqcup B) \ x \implies (\text{eval } A \ x \implies P) \implies (\text{eval } B \ x \implies P) \implies P$   
 $\langle \text{proof} \rangle$

**lemma** *single-not-bot* [*simp*]:  
 $\text{single } x \neq \perp$   
 $\langle \text{proof} \rangle$

**lemma** *not-bot*:  
**assumes**  $A \neq \perp$   
**obtains**  $x$  **where**  $\text{eval } A \ x$   
 $\langle \text{proof} \rangle$

### 22.3.2 Emptiness check and definite choice

**definition** *is-empty* ::  $'a \text{ pred} \Rightarrow \text{bool}$  **where**  
 $\text{is-empty } A \longleftrightarrow A = \perp$

**lemma** *is-empty-bot*:  
 $\text{is-empty } \perp$   
 $\langle \text{proof} \rangle$

**lemma** *not-is-empty-single*:  
 $\neg \text{is-empty } (\text{single } x)$   
 $\langle \text{proof} \rangle$

**lemma** *is-empty-sup*:

*is-empty* ( $A \sqcup B$ )  $\longleftrightarrow$  *is-empty*  $A \wedge$  *is-empty*  $B$

$\langle$ *proof* $\rangle$

**definition** *singleton* :: (*unit*  $\Rightarrow$  'a)  $\Rightarrow$  'a *pred*  $\Rightarrow$  'a **where**

*singleton default*  $A = (\text{if } \exists!x. \text{eval } A \ x \text{ then } \text{THE } x. \text{eval } A \ x \text{ else default } ())$

**lemma** *singleton-eqI*:

$\exists!x. \text{eval } A \ x \Longrightarrow \text{eval } A \ x \Longrightarrow \text{singleton default } A = x$

$\langle$ *proof* $\rangle$

**lemma** *eval-singletonI*:

$\exists!x. \text{eval } A \ x \Longrightarrow \text{eval } A \ (\text{singleton default } A)$

$\langle$ *proof* $\rangle$

**lemma** *single-singleton*:

$\exists!x. \text{eval } A \ x \Longrightarrow \text{single } (\text{singleton default } A) = A$

$\langle$ *proof* $\rangle$

**lemma** *singleton-undefinedI*:

$\neg (\exists!x. \text{eval } A \ x) \Longrightarrow \text{singleton default } A = \text{default } ()$

$\langle$ *proof* $\rangle$

**lemma** *singleton-bot*:

*singleton default*  $\perp = \text{default } ()$

$\langle$ *proof* $\rangle$

**lemma** *singleton-single*:

*singleton default* (*single*  $x$ ) =  $x$

$\langle$ *proof* $\rangle$

**lemma** *singleton-sup-single-single*:

*singleton default* (*single*  $x \sqcup$  *single*  $y$ ) = (*if*  $x = y$  *then*  $x$  *else* *default*  $()$ )

$\langle$ *proof* $\rangle$

**lemma** *singleton-sup-aux*:

*singleton default* ( $A \sqcup B$ ) = (*if*  $A = \perp$  *then* *singleton default*  $B$

*else if*  $B = \perp$  *then* *singleton default*  $A$

*else* *singleton default*

(*single* (*singleton default*  $A$ )  $\sqcup$  *single* (*singleton default*  $B$ )))

$\langle$ *proof* $\rangle$

**lemma** *singleton-sup*:

*singleton default* ( $A \sqcup B$ ) = (*if*  $A = \perp$  *then* *singleton default*  $B$

*else if*  $B = \perp$  *then* *singleton default*  $A$

*else if* *singleton default*  $A = \text{singleton default } B$  *then* *singleton default*  $A$  *else* *default*

$()$ )

$\langle$ *proof* $\rangle$

**22.3.3 Derived operations****definition** *if-pred* :: *bool*  $\Rightarrow$  *unit pred* **where***if-pred-eq*: *if-pred* *b* = (if *b* then *single* () else  $\perp$ )**definition** *holds* :: *unit pred*  $\Rightarrow$  *bool* **where***holds-eq*: *holds* *P* = *eval* *P* ()**definition** *not-pred* :: *unit pred*  $\Rightarrow$  *unit pred* **where***not-pred-eq*: *not-pred* *P* = (if *eval* *P* () then  $\perp$  else *single* ())**lemma** *if-predI*: *P*  $\Longrightarrow$  *eval* (*if-pred* *P*) ()*<proof>***lemma** *if-predE*: *eval* (*if-pred* *b*) *x*  $\Longrightarrow$  (*b*  $\Longrightarrow$  *x* = ()  $\Longrightarrow$  *P*)  $\Longrightarrow$  *P**<proof>***lemma** *not-predI*:  $\neg$  *P*  $\Longrightarrow$  *eval* (*not-pred* (*Pred* ( $\lambda u.$  *P*))) ()*<proof>***lemma** *not-predI'*:  $\neg$  *eval* *P* ()  $\Longrightarrow$  *eval* (*not-pred* *P*) ()*<proof>***lemma** *not-predE*: *eval* (*not-pred* (*Pred* ( $\lambda u.$  *P*))) *x*  $\Longrightarrow$  ( $\neg$  *P*  $\Longrightarrow$  *thesis*)  $\Longrightarrow$  *thesis**<proof>***lemma** *not-predE'*: *eval* (*not-pred* *P*) *x*  $\Longrightarrow$  ( $\neg$  *eval* *P* *x*  $\Longrightarrow$  *thesis*)  $\Longrightarrow$  *thesis**<proof>***lemma** *f* () = *False*  $\vee$  *f* () = *True**<proof>***lemma** *closure-of-bool-cases*:**assumes** (*f* :: *unit*  $\Rightarrow$  *bool*) = (%*u.* *False*)  $\Longrightarrow$  *P f***assumes** *f* = (%*u.* *True*)  $\Longrightarrow$  *P f***shows** *P f**<proof>***lemma** *unit-pred-cases*:**assumes** *P*  $\perp$ **assumes** *P* (*single* ())**shows** *P* *Q**<proof>***lemma** *holds-if-pred*:*holds* (*if-pred* *b*) = *b**<proof>***lemma** *if-pred-holds*:*if-pred* (*holds* *P*) = *P*



*<proof>*

**lemma** *is-empty-holds*:

*is-empty*  $P \iff \neg \text{holds } P$

*<proof>*

### 22.3.4 Implementation

**datatype** *'a seq* = *Empty* | *Insert* *'a 'a pred* | *Join* *'a pred 'a seq*

**primrec** *pred-of-seq* :: *'a seq*  $\Rightarrow$  *'a pred* **where**

*pred-of-seq Empty* =  $\perp$

| *pred-of-seq (Insert*  $x P)$  = *single*  $x \sqcup P$

| *pred-of-seq (Join*  $P xq)$  =  $P \sqcup \text{pred-of-seq } xq$

**definition** *Seq* :: (*unit*  $\Rightarrow$  *'a seq*)  $\Rightarrow$  *'a pred* **where**

*Seq f* = *pred-of-seq* (*f* ())

**code-datatype** *Seq*

**primrec** *member* :: *'a seq*  $\Rightarrow$  *'a*  $\Rightarrow$  *bool* **where**

*member Empty*  $x \iff \text{False}$

| *member (Insert*  $y P)$   $x \iff x = y \vee \text{eval } P x$

| *member (Join*  $P xq)$   $x \iff \text{eval } P x \vee \text{member } xq x$

**lemma** *eval-member*:

*member xq* = *eval* (*pred-of-seq xq*)

*<proof>*

**lemma** *eval-code* [*code*]: *eval* (*Seq f*) = *member* (*f* ())

*<proof>*

**lemma** *single-code* [*code*]:

*single x* = *Seq* ( $\lambda u. \text{Insert } x \perp$ )

*<proof>*

**primrec** *apply* :: (*'a*  $\Rightarrow$  *'b Predicate.pred*)  $\Rightarrow$  *'a seq*  $\Rightarrow$  *'b seq* **where**

*apply f Empty* = *Empty*

| *apply f (Insert*  $x P)$  = *Join* (*f x*) (*Join* ( $P \gg= f$ ) *Empty*)

| *apply f (Join*  $P xq)$  = *Join* ( $P \gg= f$ ) (*apply f xq*)

**lemma** *apply-bind*:

*pred-of-seq* (*apply f xq*) = *pred-of-seq xq*  $\gg= f$

*<proof>*

**lemma** *bind-code* [*code*]:

*Seq g*  $\gg= f$  = *Seq* ( $\lambda u. \text{apply } f (g ())$ )

*<proof>*

**lemma** *bot-set-code* [code]:

$\perp = \text{Seq } (\lambda u. \text{Empty})$

$\langle \text{proof} \rangle$

**primrec** *adjunct* :: 'a pred  $\Rightarrow$  'a seq  $\Rightarrow$  'a seq **where**

$\text{adjunct } P \text{ Empty} = \text{Join } P \text{ Empty}$

$| \text{adjunct } P (\text{Insert } x \ Q) = \text{Insert } x \ (Q \sqcup P)$

$| \text{adjunct } P (\text{Join } Q \ xq) = \text{Join } Q \ (\text{adjunct } P \ xq)$

**lemma** *adjunct-sup*:

$\text{pred-of-seq } (\text{adjunct } P \ xq) = P \sqcup \text{pred-of-seq } xq$

$\langle \text{proof} \rangle$

**lemma** *sup-code* [code]:

$\text{Seq } f \sqcup \text{Seq } g = \text{Seq } (\lambda u. \text{case } f \ ())$

$\text{of Empty} \Rightarrow g \ ()$

$| \text{Insert } x \ P \Rightarrow \text{Insert } x \ (P \sqcup \text{Seq } g)$

$| \text{Join } P \ xq \Rightarrow \text{adjunct } (\text{Seq } g) (\text{Join } P \ xq)$

$\langle \text{proof} \rangle$

**primrec** *contained* :: 'a seq  $\Rightarrow$  'a pred  $\Rightarrow$  bool **where**

$\text{contained Empty } Q \longleftrightarrow \text{True}$

$| \text{contained } (\text{Insert } x \ P) \ Q \longleftrightarrow \text{eval } Q \ x \wedge P \leq Q$

$| \text{contained } (\text{Join } P \ xq) \ Q \longleftrightarrow P \leq Q \wedge \text{contained } xq \ Q$

**lemma** *single-less-eq-eval*:

$\text{single } x \leq P \longleftrightarrow \text{eval } P \ x$

$\langle \text{proof} \rangle$

**lemma** *contained-less-eq*:

$\text{contained } xq \ Q \longleftrightarrow \text{pred-of-seq } xq \leq Q$

$\langle \text{proof} \rangle$

**lemma** *less-eq-pred-code* [code]:

$\text{Seq } f \leq Q = (\text{case } f \ ())$

$\text{of Empty} \Rightarrow \text{True}$

$| \text{Insert } x \ P \Rightarrow \text{eval } Q \ x \wedge P \leq Q$

$| \text{Join } P \ xq \Rightarrow P \leq Q \wedge \text{contained } xq \ Q$

$\langle \text{proof} \rangle$

**lemma** *eq-pred-code* [code]:

**fixes**  $P \ Q :: 'a \text{ pred}$

**shows**  $\text{eq-class.eq } P \ Q \longleftrightarrow P \leq Q \wedge Q \leq P$

$\langle \text{proof} \rangle$

**lemma** [code]:

$\text{pred-case } f \ P = f \ (\text{eval } P)$

$\langle \text{proof} \rangle$

**lemma** *[code]*:

*pred-rec*  $f\ P = f\ (\text{eval } P)$

*<proof>*

**inductive** *eq* ::  $'a \Rightarrow 'a \Rightarrow \text{bool}$  **where** *eq*  $x\ x$

**lemma** *eq-is-eq*:  $\text{eq } x\ y \equiv (x = y)$

*<proof>*

**definition** *map* ::  $( 'a \Rightarrow 'b ) \Rightarrow 'a\ \text{pred} \Rightarrow 'b\ \text{pred}$  **where**

*map*  $f\ P = P \gg= (\text{single } o\ f)$

**primrec** *null* ::  $'a\ \text{seq} \Rightarrow \text{bool}$  **where**

*null* *Empty*  $\longleftrightarrow \text{True}$

| *null* (*Insert*  $x\ P$ )  $\longleftrightarrow \text{False}$

| *null* (*Join*  $P\ xq$ )  $\longleftrightarrow \text{is-empty } P \wedge \text{null } xq$

**lemma** *null-is-empty*:

*null*  $xq \longleftrightarrow \text{is-empty } (\text{pred-of-seq } xq)$

*<proof>*

**lemma** *is-empty-code* *[code]*:

*is-empty* (*Seq*  $f$ )  $\longleftrightarrow \text{null } (f\ ())$

*<proof>*

**primrec** *the-only* ::  $(\text{unit} \Rightarrow 'a) \Rightarrow 'a\ \text{seq} \Rightarrow 'a$  **where**

*[code del]*: *the-only* *dfault* *Empty* = *dfault*  $()$

| *the-only* *dfault* (*Insert*  $x\ P$ ) = (if *is-empty*  $P$  then  $x$  else let  $y = \text{singleton } \text{dfault } P$  in if  $x = y$  then  $x$  else *dfault*  $()$ )

| *the-only* *dfault* (*Join*  $P\ xq$ ) = (if *is-empty*  $P$  then *the-only* *dfault*  $xq$  else if *null*  $xq$  then *singleton* *dfault*  $P$

else let  $x = \text{singleton } \text{dfault } P$ ;  $y = \text{the-only } \text{dfault } xq$  in

if  $x = y$  then  $x$  else *dfault*  $()$ )

**lemma** *the-only-singleton*:

*the-only* *dfault*  $xq = \text{singleton } \text{dfault } (\text{pred-of-seq } xq)$

*<proof>*

**lemma** *singleton-code* *[code]*:

*singleton* *dfault* (*Seq*  $f$ ) = (case  $f\ ()$

of *Empty*  $\Rightarrow \text{dfault } ()$

| *Insert*  $x\ P \Rightarrow$  if *is-empty*  $P$  then  $x$

else let  $y = \text{singleton } \text{dfault } P$  in

if  $x = y$  then  $x$  else *dfault*  $()$

| *Join*  $P\ xq \Rightarrow$  if *is-empty*  $P$  then *the-only* *dfault*  $xq$

else if *null*  $xq$  then *singleton* *dfault*  $P$

else let  $x = \text{singleton } \text{dfault } P$ ;  $y = \text{the-only } \text{dfault } xq$  in

if  $x = y$  then  $x$  else *dfault*  $()$ )

*<proof>*

**definition** *not-unique* :: 'a pred => 'a

**where**

[code del]: *not-unique* A = (THE x. eval A x)

**definition** *the* :: 'a pred => 'a

**where**

[code del]: *the* A = (THE x. eval A x)

**lemma** *the-eq*[code]: *the* A = singleton ( $\lambda x.$  *not-unique* A) A  
 <proof>

**code-abort** *not-unique*

**code-reflect** *Predicate*

**datatypes** *pred* = Seq **and** *seq* = Empty | Insert | Join

**functions** *map*

<ML>

**no-notation**

*inf* (infixl  $\sqcap$  70) **and**

*sup* (infixl  $\sqcup$  65) **and**

*Inf* ( $\sqcap$  - [900] 900) **and**

*Sup* ( $\sqcup$  - [900] 900) **and**

*top* ( $\top$ ) **and**

*bot* ( $\perp$ ) **and**

*bind* (infixl  $\gg$  70)

**hide-type** (open) *pred seq*

**hide-const** (open) *Pred eval single bind is-empty singleton if-pred not-pred holds*

*Empty Insert Join Seq member pred-of-seq apply adjunct null the-only eq map*  
*not-unique the*

**end**

## 23 Transitive-Closure: Reflexive and Transitive closure of a relation

**theory** *Transitive-Closure*

**imports** *Predicate*

**uses**  $\sim\sim$ /src/Provers/trancl.ML

**begin**

*rtrancl* is reflexive/transitive closure, *trancl* is transitive closure, *reflcl* is reflexive closure.

These postfix operators have *maximum priority*, forcing their operands to

be atomic.

**inductive-set**

$rtrancl :: ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set} \quad ((-^*) [1000] 999)$

**for**  $r :: ('a \times 'a) \text{ set}$

**where**

$rtrancl\text{-}refl \text{ [intro!, Pure.intro!, simp]: } (a, a) : r^*$

$| rtrancl\text{-}into\text{-}rtrancl \text{ [Pure.intro]: } (a, b) : r^* \Rightarrow (b, c) : r \Rightarrow (a, c) : r^*$

**inductive-set**

$trancl :: ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set} \quad ((-^+) [1000] 999)$

**for**  $r :: ('a \times 'a) \text{ set}$

**where**

$r\text{-}into\text{-}trancl \text{ [intro, Pure.intro]: } (a, b) : r \Rightarrow (a, b) : r^+$

$| trancl\text{-}into\text{-}trancl \text{ [Pure.intro]: } (a, b) : r^+ \Rightarrow (b, c) : r \Rightarrow (a, c) : r^+$

**declare**  $rtrancl\text{-}def \text{ [nitpick-def del]}$

$rtranclp\text{-}def \text{ [nitpick-def del]}$

$trancl\text{-}def \text{ [nitpick-def del]}$

$tranclp\text{-}def \text{ [nitpick-def del]}$

**notation**

$rtranclp \quad ((-^{**}) [1000] 1000) \text{ and}$

$tranclp \quad ((-^{++}) [1000] 1000)$

**abbreviation**

$reflclp :: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool} \quad ((-^{\hat{=}}) [1000] 1000)$

**where**

$r^{\hat{=}} == \sup r \text{ op} =$

**abbreviation**

$reflcl :: ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set} \quad ((-^{\hat{=}}) [1000] 999) \text{ where}$

$r^{\hat{=}} == r \cup Id$

**notation** (*xsymbols*)

$rtranclp \quad ((-^{**}) [1000] 1000) \text{ and}$

$tranclp \quad ((-^{++}) [1000] 1000) \text{ and}$

$reflclp \quad ((-^{\hat{=}}) [1000] 1000) \text{ and}$

$rtrancl \quad ((-^*) [1000] 999) \text{ and}$

$trancl \quad ((-^+) [1000] 999) \text{ and}$

$reflcl \quad ((-^{\hat{=}}) [1000] 999)$

**notation** (*HTML output*)

$rtranclp \quad ((-^{**}) [1000] 1000) \text{ and}$

$tranclp \quad ((-^{++}) [1000] 1000) \text{ and}$

$reflclp \quad ((-^{\hat{=}}) [1000] 1000) \text{ and}$

$rtrancl \quad ((-^*) [1000] 999) \text{ and}$

$trancl \quad ((-^+) [1000] 999) \text{ and}$

$reflcl \quad ((-^{\hat{=}}) [1000] 999)$

### 23.1 Reflexive closure

**lemma** *refl-reflcl[simp]*:  $\text{refl}(r^{\hat{=}})$   
 $\langle \text{proof} \rangle$

**lemma** *antisym-reflcl[simp]*:  $\text{antisym}(r^{\hat{=}}) = \text{antisym } r$   
 $\langle \text{proof} \rangle$

**lemma** *trans-reflclI[simp]*:  $\text{trans } r \implies \text{trans}(r^{\hat{=}})$   
 $\langle \text{proof} \rangle$

### 23.2 Reflexive-transitive closure

**lemma** *reflcl-set-eq [pred-set-conv]*:  $(\text{sup } (\lambda x y. (x, y) \in r) \text{ op } =) = (\lambda x y. (x, y) \in r \cup \text{Id})$   
 $\langle \text{proof} \rangle$

**lemma** *r-into-rtrancl [intro]*:  $!!p. p \in r \implies p \in r^{\hat{*}}$   
 — *rtrancl* of *r* contains *r*  
 $\langle \text{proof} \rangle$

**lemma** *r-into-rtranclp [intro]*:  $r \ x \ y \implies r^{\hat{*}*} \ x \ y$   
 — *rtrancl* of *r* contains *r*  
 $\langle \text{proof} \rangle$

**lemma** *rtranclp-mono*:  $r \leq s \implies r^{\hat{*}*} \leq s^{\hat{*}*}$   
 — monotonicity of *rtrancl*  
 $\langle \text{proof} \rangle$

**lemmas** *rtrancl-mono = rtranclp-mono [to-set]*

**theorem** *rtranclp-induct [consumes 1, case-names base step, induct set: rtranclp]*:  
 assumes *a*:  $r^{\hat{*}*} \ a \ b$   
 and cases:  $P \ a \ !!y \ z. [\mid r^{\hat{*}*} \ a \ y; r \ y \ z; P \ y] \implies P \ z$   
 shows  $P \ b$   $\langle \text{proof} \rangle$

**lemmas** *rtrancl-induct [induct set: rtrancl] = rtranclp-induct [to-set]*

**lemmas** *rtranclp-induct2 =*  
*rtranclp-induct[of - (ax,ay) (bx,by), split-rule,*  
*consumes 1, case-names refl step]*

**lemmas** *rtrancl-induct2 =*  
*rtrancl-induct[of (ax,ay) (bx,by), split-format (complete),*  
*consumes 1, case-names refl step]*

**lemma** *refl-rtrancl*:  $\text{refl } (r^{\hat{*}})$   
 $\langle \text{proof} \rangle$

Transitivity of transitive closure.

**lemma** *trans-rtrancl*: *trans* ( $r^*$ )  
 $\langle proof \rangle$

**lemmas** *rtrancl-trans* = *trans-rtrancl* [*THEN transD, standard*]

**lemma** *rtranclp-trans*:  
 assumes  $xy: r^{**} x y$   
 and  $yz: r^{**} y z$   
 shows  $r^{**} x z$   $\langle proof \rangle$

**lemma** *rtranclE* [*cases set: rtrancl*]:  
 assumes *major*:  $(a::'a, b) : r^*$   
 obtains  
   (*base*)  $a = b$   
   | (*step*)  $y$  **where**  $(a, y) : r^*$  **and**  $(y, b) : r$   
 — elimination of *rtrancl* – by induction on a special formula  
 $\langle proof \rangle$

**lemma** *rtrancl-Int-subset*:  $[Id \subseteq s; (r^* \cap s) O r \subseteq s] \implies r^* \subseteq s$   
 $\langle proof \rangle$

**lemma** *converse-rtranclp-into-rtranclp*:  
 $r a b \implies r^{**} b c \implies r^{**} a c$   
 $\langle proof \rangle$

**lemmas** *converse-rtrancl-into-rtrancl* = *converse-rtranclp-into-rtranclp* [*to-set*]

More  $r^*$  equations and inclusions.

**lemma** *rtranclp-idemp* [*simp*]:  $(r^{**})^{**} = r^{**}$   
 $\langle proof \rangle$

**lemmas** *rtrancl-idemp* [*simp*] = *rtranclp-idemp* [*to-set*]

**lemma** *rtrancl-idemp-self-comp* [*simp*]:  $R^* O R^* = R^*$   
 $\langle proof \rangle$

**lemma** *rtrancl-subset-rtrancl*:  $r \subseteq s^* \implies r^* \subseteq s^*$   
 $\langle proof \rangle$

**lemma** *rtranclp-subset*:  $R \leq S \implies S \leq R^{**} \implies S^{**} = R^{**}$   
 $\langle proof \rangle$

**lemmas** *rtrancl-subset* = *rtranclp-subset* [*to-set*]

**lemma** *rtranclp-sup-rtranclp*:  $(\sup (R^{**}) (S^{**}))^{**} = (\sup R S)^{**}$   
 $\langle proof \rangle$

**lemmas** *rtrancl-Un-rtrancl* = *rtranclp-sup-rtranclp* [*to-set*]

**lemma** *rtranclp-reflcl* [simp]:  $(R^{\hat{}} ==)^{\hat{}}_{**} = R^{\hat{}}_{**}$   
 ⟨proof⟩

**lemmas** *rtrancl-reflcl* [simp] = *rtranclp-reflcl* [to-set]

**lemma** *rtrancl-r-diff-Id*:  $(r - Id)^{\hat{}}_* = r^{\hat{}}_*$   
 ⟨proof⟩

**lemma** *rtranclp-r-diff-Id*:  $(\inf r \text{ op } \sim)^{\hat{}}_{**} = r^{\hat{}}_{**}$   
 ⟨proof⟩

**theorem** *rtranclp-converseD*:  
 assumes  $r: (r^{\hat{}} - 1)^{\hat{}}_{**} x y$   
 shows  $r^{\hat{}}_{**} y x$   
 ⟨proof⟩

**lemmas** *rtrancl-converseD* = *rtranclp-converseD* [to-set]

**theorem** *rtranclp-converseI*:  
 assumes  $r^{\hat{}}_{**} y x$   
 shows  $(r^{\hat{}} - 1)^{\hat{}}_{**} x y$   
 ⟨proof⟩

**lemmas** *rtrancl-converseI* = *rtranclp-converseI* [to-set]

**lemma** *rtrancl-converse*:  $(r^{\hat{}} - 1)^{\hat{}}_* = (r^{\hat{}}_*)^{\hat{}} - 1$   
 ⟨proof⟩

**lemma** *sym-rtrancl*:  $\text{sym } r ==> \text{sym } (r^{\hat{}}_*)$   
 ⟨proof⟩

**theorem** *converse-rtranclp-induct* [consumes 1, case-names base step]:  
 assumes major:  $r^{\hat{}}_{**} a b$   
 and cases:  $P b !!y z. [| r y z; r^{\hat{}}_{**} z b; P z |] ==> P y$   
 shows  $P a$   
 ⟨proof⟩

**lemmas** *converse-rtrancl-induct* = *converse-rtranclp-induct* [to-set]

**lemmas** *converse-rtranclp-induct2* =  
*converse-rtranclp-induct* [of - (ax,ay) (bx,by), split-rule,  
 consumes 1, case-names refl step]

**lemmas** *converse-rtrancl-induct2* =  
*converse-rtrancl-induct* [of (ax,ay) (bx,by), split-format (complete),  
 consumes 1, case-names refl step]

**lemma** *converse-rtranclpE* [consumes 1, case-names base step]:  
 assumes major:  $r^{\hat{}}_{**} x z$



**and** *cases*:  $x=z \implies P$   
 $!!y. [\mid r\ x\ y; r^{\wedge**}\ y\ z\ \mid] \implies P$   
**shows**  $P$   
 $\langle proof \rangle$

**lemmas**  $converse-rtranclE = converse-rtranclpE\ [to-set]$

**lemmas**  $converse-rtranclpE2 = converse-rtranclpE\ [of\ -\ (xa,xb)\ (za,zb),\ split-rule]$

**lemmas**  $converse-rtranclE2 = converse-rtranclE\ [of\ (xa,xb)\ (za,zb),\ split-rule]$

**lemma**  $r-comp-rtrancl-eq$ :  $r\ O\ r^{\wedge*} = r^{\wedge*}\ O\ r$   
 $\langle proof \rangle$

**lemma**  $rtrancl-unfold$ :  $r^{\wedge*} = Id\ Un\ r^{\wedge*}\ O\ r$   
 $\langle proof \rangle$

**lemma**  $rtrancl-Un-separatorE$ :  
 $(a,b) : (P \cup Q)^{\wedge*} \implies \forall x\ y. (a,x) : P^{\wedge*} \longrightarrow (x,y) : Q \longrightarrow x=y \implies (a,b) : P^{\wedge*}$   
 $\langle proof \rangle$

**lemma**  $rtrancl-Un-separator-converseE$ :  
 $(a,b) : (P \cup Q)^{\wedge*} \implies \forall x\ y. (x,b) : P^{\wedge*} \longrightarrow (y,x) : Q \longrightarrow y=x \implies (a,b) : P^{\wedge*}$   
 $\langle proof \rangle$

**lemma**  $Image-closed-trancl$ :  
**assumes**  $r\ \text{“}\ X \subseteq X\ \text{”}$  **shows**  $r^*\ \text{“}\ X = X\ \text{”}$   
 $\langle proof \rangle$

### 23.3 Transitive closure

**lemma**  $trancl-mono$ :  $!!p. p \in r^{\wedge+} \implies r \subseteq s \implies p \in s^{\wedge+}$   
 $\langle proof \rangle$

**lemma**  $r-into-trancl'$ :  $!!p. p : r \implies p : r^{\wedge+}$   
 $\langle proof \rangle$

Conversions between  $trancl$  and  $rtrancl$ .

**lemma**  $tranclp-into-rtranclp$ :  $r^{\wedge++}\ a\ b \implies r^{\wedge**}\ a\ b$   
 $\langle proof \rangle$

**lemmas**  $trancl-into-rtrancl = tranclp-into-rtranclp\ [to-set]$

**lemma**  $rtranclp-into-tranclp1$ : **assumes**  $r$ :  $r^{\wedge**}\ a\ b$   
**shows**  $!!c. r\ b\ c \implies r^{\wedge++}\ a\ c$   $\langle proof \rangle$

**lemmas**  $rtrancl-into-trancl1 = rtranclp-into-tranclp1\ [to-set]$

**lemma**  $rtranclp-into-tranclp2$ :  $[\mid r\ a\ b; r^{\wedge**}\ b\ c\ \mid] \implies r^{\wedge++}\ a\ c$

— intro rule from  $r$  and  $rtranc1$   
 $\langle proof \rangle$

**lemmas**  $rtranc1\text{-into-tranc12} = rtranc1p\text{-into-tranc1p2}$  [to-set]

Nice induction rule for  $tranc1$

**lemma**  $tranc1p\text{-induct}$  [consumes 1, case-names base step, induct pred:  $tranc1p$ ]:

**assumes**  $a: r^{++} a b$   
**and cases:**  $!!y. r a y \implies P y$   
 $!!y z. r^{++} a y \implies r y z \implies P y \implies P z$   
**shows**  $P b$   $\langle proof \rangle$

**lemmas**  $tranc1\text{-induct}$  [induct set:  $tranc1$ ] =  $tranc1p\text{-induct}$  [to-set]

**lemmas**  $tranc1p\text{-induct2} =$   
 $tranc1p\text{-induct}$  [of -  $(ax, ay)$   $(bx, by)$ , split-rule,  
consumes 1, case-names base step]

**lemmas**  $tranc1\text{-induct2} =$   
 $tranc1\text{-induct}$  [of  $(ax, ay)$   $(bx, by)$ , split-format (complete),  
consumes 1, case-names base step]

**lemma**  $tranc1p\text{-trans-induct}$ :  
**assumes**  $major: r^{++} x y$   
**and cases:**  $!!x y. r x y \implies P x y$   
 $!!x y z. [r^{++} x y; P x y; r^{++} y z; P y z] \implies P x z$   
**shows**  $P x y$   
— Another induction rule for  $tranc1$ , incorporating transitivity  
 $\langle proof \rangle$

**lemmas**  $tranc1\text{-trans-induct} = tranc1p\text{-trans-induct}$  [to-set]

**lemma**  $tranc1E$  [cases set:  $tranc1$ ]:  
**assumes**  $(a, b) : r^{++}$   
**obtains**  
 $(base) (a, b) : r$   
 $| (step) c \text{ where } (a, c) : r^{++} \text{ and } (c, b) : r$   
 $\langle proof \rangle$

**lemma**  $tranc1\text{-Int-subset}$ :  $[r \subseteq s; (r^{++} \cap s) O r \subseteq s] \implies r^{++} \subseteq s$   
 $\langle proof \rangle$

**lemma**  $tranc1\text{-unfold}$ :  $r^{++} = r \cup r^{++} O r$   
 $\langle proof \rangle$

Transitivity of  $r^{+}$

**lemma**  $trans\text{-tranc1}$  [simp]:  $trans (r^{++})$   
 $\langle proof \rangle$

**lemmas** *trancl-trans* = *trans-trancl* [*THEN transD, standard*]

**lemma** *tranclp-trans*:

assumes  $xy: r^{\hat{}}++ x y$

and  $yz: r^{\hat{}}++ y z$

shows  $r^{\hat{}}++ x z$  *<proof>*

**lemma** *trancl-id* [*simp*]:  $\text{trans } r \implies r^{\hat{}}+ = r$   
*<proof>*

**lemma** *rtranclp-tranclp-tranclp*:

assumes  $r^{\hat{}}** x y$

shows  $!!z. r^{\hat{}}++ y z \implies r^{\hat{}}++ x z$  *<proof>*

**lemmas** *rtrancl-trancl-trancl* = *rtranclp-tranclp-tranclp* [*to-set*]

**lemma** *tranclp-into-tranclp2*:  $r a b \implies r^{\hat{}}++ b c \implies r^{\hat{}}++ a c$   
*<proof>*

**lemmas** *trancl-into-trancl2* = *tranclp-into-tranclp2* [*to-set*]

**lemma** *trancl-insert*:

$(\text{insert } (y, x) r)^{\hat{}}+ = r^{\hat{}}+ \cup \{(a, b). (a, y) \in r^{\hat{}}* \wedge (x, b) \in r^{\hat{}}*\}$

— primitive recursion for *trancl* over finite relations

*<proof>*

**lemma** *tranclp-converseI*:  $(r^{\hat{}}++)^{\hat{}}--1 x y \implies (r^{\hat{}}--1)^{\hat{}}++ x y$   
*<proof>*

**lemmas** *trancl-converseI* = *tranclp-converseI* [*to-set*]

**lemma** *tranclp-converseD*:  $(r^{\hat{}}--1)^{\hat{}}++ x y \implies (r^{\hat{}}++)^{\hat{}}--1 x y$   
*<proof>*

**lemmas** *trancl-converseD* = *tranclp-converseD* [*to-set*]

**lemma** *tranclp-converse*:  $(r^{\hat{}}--1)^{\hat{}}++ = (r^{\hat{}}++)^{\hat{}}--1$   
*<proof>*

**lemmas** *trancl-converse* = *tranclp-converse* [*to-set*]

**lemma** *sym-trancl*:  $\text{sym } r \implies \text{sym } (r^{\hat{}}+)$   
*<proof>*

**lemma** *converse-tranclp-induct* [*consumes 1, case-names base step*]:

assumes *major*:  $r^{\hat{}}++ a b$

and *cases*:  $!!y. r y b \implies P(y)$

$!!y z. [r y z; r^{\hat{}}++ z b; P(z)] \implies P(y)$

shows  $P a$

$\langle proof \rangle$

**lemmas** *converse-trancl-induct* = *converse-tranclp-induct* [to-set]

**lemma** *tranclpD*:  $R^+ \vdash x \ y \implies \exists z. R \ x \ z \wedge R^{**} \ z \ y$   
 $\langle proof \rangle$

**lemmas** *tranclD* = *tranclpD* [to-set]

**lemma** *converse-tranclpE*:  
 assumes *major*: *tranclp* *r* *x* *z*  
 assumes *base*:  $r \ x \ z \implies P$   
 assumes *step*:  $\bigwedge y. [\![ \ r \ x \ y; \text{tranclp} \ r \ y \ z \ ]\!] \implies P$   
 shows *P*  
 $\langle proof \rangle$

**lemmas** *converse-tranclE* = *converse-tranclpE* [to-set]

**lemma** *tranclD2*:  
 $(x, y) \in R^+ \implies \exists z. (x, z) \in R^* \wedge (z, y) \in R$   
 $\langle proof \rangle$

**lemma** *irrefl-tranclI*:  $r^{-1} \cap r^* = \{\}$   $\implies (x, x) \notin r^+$   
 $\langle proof \rangle$

**lemma** *irrefl-trancl-rD*:  $\forall x. (x, x) \notin r^+ \implies (x, y) \in r \implies x \neq y$   
 $\langle proof \rangle$

**lemma** *trancl-subset-Sigma-aux*:  
 $(a, b) \in r^* \implies r \subseteq A \times A \implies a = b \vee a \in A$   
 $\langle proof \rangle$

**lemma** *trancl-subset-Sigma*:  $r \subseteq A \times A \implies r^+ \subseteq A \times A$   
 $\langle proof \rangle$

**lemma** *reflcl-tranclp* [simp]:  $(r^+)^+ = r^{**}$   
 $\langle proof \rangle$

**lemmas** *reflcl-trancl* [simp] = *reflcl-tranclp* [to-set]

**lemma** *trancl-reflcl* [simp]:  $(r^+)^+ = r^*$   
 $\langle proof \rangle$

**lemma** *trancl-empty* [simp]:  $\{\}^+ = \{\}$   
 $\langle proof \rangle$

**lemma** *rtrancl-empty* [simp]:  $\{\}^* = Id$   
 $\langle proof \rangle$

**lemma** *rtranclpD*:  $R^* a b \implies a = b \vee a \neq b \wedge R^+ a b$   
 ⟨proof⟩

**lemmas** *rtranclD* = *rtranclpD* [to-set]

**lemma** *rtrancl-eq-or-trancl*:  
 $(x, y) \in R^* = (x = y \vee x \neq y \wedge (x, y) \in R^+)$   
 ⟨proof⟩

**lemma** *trancl-unfold-right*:  $R^+ = R^* \circ r$   
 ⟨proof⟩

**lemma** *trancl-unfold-left*:  $R^+ = r \circ R^*$   
 ⟨proof⟩

Simplifying nested closures

**lemma** *rtrancl-trancl-absorb[simp]*:  $(R^*)^+ = R^*$   
 ⟨proof⟩

**lemma** *trancl-rtrancl-absorb[simp]*:  $(R^+)^* = R^*$   
 ⟨proof⟩

**lemma** *rtrancl-reflcl-absorb[simp]*:  $(R^*)^+ = R^*$   
 ⟨proof⟩

*Domain* and *Range*

**lemma** *Domain-rtrancl* [simp]:  $\text{Domain } (R^*) = \text{UNIV}$   
 ⟨proof⟩

**lemma** *Range-rtrancl* [simp]:  $\text{Range } (R^*) = \text{UNIV}$   
 ⟨proof⟩

**lemma** *rtrancl-Un-subset*:  $(R^* \cup S^*) \subseteq (R \cup S)^*$   
 ⟨proof⟩

**lemma** *in-rtrancl-UnI*:  $x \in R^* \vee x \in S^* \implies x \in (R \cup S)^*$   
 ⟨proof⟩

**lemma** *trancl-domain* [simp]:  $\text{Domain } (R^+) = \text{Domain } r$   
 ⟨proof⟩

**lemma** *trancl-range* [simp]:  $\text{Range } (R^+) = \text{Range } r$   
 ⟨proof⟩

**lemma** *Not-Domain-rtrancl*:  
 $x \sim: \text{Domain } R \implies ((x, y) : R^*) = (x = y)$   
 ⟨proof⟩

**lemma** *trancl-subset-Field2*:  $R^+ \subseteq \text{Field } r \times \text{Field } r$

*<proof>*

**lemma** *finite-trancl*: *finite* ( $r^+$ ) = *finite*  $r$   
*<proof>*

More about converse *rtrancl* and *trancl*, should be merged with main body.

**lemma** *single-valued-confluent*:  
 $\llbracket \text{single-valued } r; (x,y) \in r^*; (x,z) \in r^* \rrbracket$   
 $\implies (y,z) \in r^* \vee (z,y) \in r^*$   
*<proof>*

**lemma** *r-r-into-trancl*:  $(a, b) \in R \implies (b, c) \in R \implies (a, c) \in R^+$   
*<proof>*

**lemma** *trancl-into-trancl* [*rule-format*]:  
 $(a, b) \in r^+ \implies (b, c) \in r \dashrightarrow (a, c) \in r^+$   
*<proof>*

**lemma** *tranclp-rtranclp-tranclp*:  
 $r^{++} a b \implies r^{**} b c \implies r^{++} a c$   
*<proof>*

**lemmas** *trancl-rtrancl-trancl* = *tranclp-rtranclp-tranclp* [*to-set*]

**lemmas** *transitive-closure-trans* [*trans*] =  
*r-r-into-trancl trancl-trans rtrancl-trans*  
*trancl.trancl-into-trancl trancl-into-trancl2*  
*rtrancl.rtrancl-into-rtrancl converse-rtrancl-into-rtrancl*  
*rtrancl-trancl-trancl trancl-rtrancl-trancl*

**lemmas** *transitive-closurep-trans'* [*trans*] =  
*tranclp-trans rtranclp-trans*  
*tranclp.trancl-into-trancl tranclp-into-tranclp2*  
*rtranclp.rtrancl-into-rtrancl converse-rtranclp-into-rtranclp*  
*rtranclp-tranclp-tranclp tranclp-rtranclp-tranclp*

**declare** *trancl-into-rtrancl* [*elim*]

## 23.4 The power operation on relations

$R^{\wedge n} = R \circ \dots \circ R$ , the  $n$ -fold composition of  $R$

**overloading**

*relpow* == *compow* ::  $\text{nat} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$

**begin**

**primrec** *relpow* ::  $\text{nat} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$  **where**  
*relpow* 0  $R = \text{Id}$   
| *relpow* (*Suc*  $n$ )  $R = (R^{\wedge n}) \circ R$

**end**

**lemma** *rel-pow-1* [*simp*]:

**fixes**  $R :: ('a \times 'a) \text{ set}$

**shows**  $R^{\wedge} 1 = R$

*<proof>*

**lemma** *rel-pow-0-I*:

$(x, x) \in R^{\wedge} 0$

*<proof>*

**lemma** *rel-pow-Suc-I*:

$(x, y) \in R^{\wedge} n \implies (y, z) \in R \implies (x, z) \in R^{\wedge} \text{Suc } n$

*<proof>*

**lemma** *rel-pow-Suc-I2*:

$(x, y) \in R \implies (y, z) \in R^{\wedge} n \implies (x, z) \in R^{\wedge} \text{Suc } n$

*<proof>*

**lemma** *rel-pow-0-E*:

$(x, y) \in R^{\wedge} 0 \implies (x = y \implies P) \implies P$

*<proof>*

**lemma** *rel-pow-Suc-E*:

$(x, z) \in R^{\wedge} \text{Suc } n \implies (\bigwedge y. (x, y) \in R^{\wedge} n \implies (y, z) \in R \implies P) \implies P$

*<proof>*

**lemma** *rel-pow-E*:

$(x, z) \in R^{\wedge} n \implies (n = 0 \implies x = z \implies P)$

$\implies (\bigwedge y m. n = \text{Suc } m \implies (x, y) \in R^{\wedge} m \implies (y, z) \in R \implies P)$

$\implies P$

*<proof>*

**lemma** *rel-pow-Suc-D2*:

$(x, z) \in R^{\wedge} \text{Suc } n \implies (\exists y. (x, y) \in R \wedge (y, z) \in R^{\wedge} n)$

*<proof>*

**lemma** *rel-pow-Suc-E2*:

$(x, z) \in R^{\wedge} \text{Suc } n \implies (\bigwedge y. (x, y) \in R \implies (y, z) \in R^{\wedge} n \implies P) \implies P$

*<proof>*

**lemma** *rel-pow-Suc-D2'*:

$\forall x y z. (x, y) \in R^{\wedge} n \wedge (y, z) \in R \longrightarrow (\exists w. (x, w) \in R \wedge (w, z) \in R^{\wedge} n)$

*<proof>*

**lemma** *rel-pow-E2*:

$(x, z) \in R^{\wedge} n \implies (n = 0 \implies x = z \implies P)$

$\implies (\bigwedge y m. n = \text{Suc } m \implies (x, y) \in R \implies (y, z) \in R^{\wedge} m \implies P)$

$\implies P$

$\langle proof \rangle$

**lemma** *rel-pow-add*:  $R^{\wedge\wedge} (m+n) = R^{\wedge\wedge m} \circ R^{\wedge\wedge n}$   
 $\langle proof \rangle$

**lemma** *rel-pow-commute*:  $R \circ R^{\wedge\wedge n} = R^{\wedge\wedge n} \circ R$   
 $\langle proof \rangle$

**lemma** *rtranc1-imp-UN-rel-pow*:  
 assumes  $p \in R^{\wedge*}$   
 shows  $p \in (\bigcup n. R^{\wedge\wedge n})$   
 $\langle proof \rangle$

**lemma** *rel-pow-imp-rtranc1*:  
 assumes  $p \in R^{\wedge\wedge n}$   
 shows  $p \in R^{\wedge*}$   
 $\langle proof \rangle$

**lemma** *rtranc1-is-UN-rel-pow*:  
 $R^{\wedge*} = (\bigcup n. R^{\wedge\wedge n})$   
 $\langle proof \rangle$

**lemma** *rtranc1-power*:  
 $p \in R^{\wedge*} \longleftrightarrow (\exists n. p \in R^{\wedge\wedge n})$   
 $\langle proof \rangle$

**lemma** *tranc1-power*:  
 $p \in R^{\wedge+} \longleftrightarrow (\exists n > 0. p \in R^{\wedge\wedge n})$   
 $\langle proof \rangle$

**lemma** *rtranc1-imp-rel-pow*:  
 $p \in R^{\wedge*} \implies \exists n. p \in R^{\wedge\wedge n}$   
 $\langle proof \rangle$

**lemma** *single-valued-rel-pow*:  
 fixes  $R :: ('a * 'a) \text{ set}$   
 shows *single-valued*  $R \implies \text{single-valued } (R^{\wedge\wedge n})$   
 $\langle proof \rangle$

## 23.5 Setup of transitivity reasoner

$\langle ML \rangle$

Optional methods.

$\langle ML \rangle$

end



## 24 Wellfounded: Well-founded Recursion

```

theory Wellfounded
imports Transitive-Closure
uses (Tools/Function/size.ML)
begin

```

### 24.1 Basic Definitions

**definition**  $wf :: ('a * 'a) \text{ set} \Rightarrow \text{bool}$  **where**  
 $wf(r) == (!P. (!x. (!y. (y,x):r \longrightarrow P(y)) \longrightarrow P(x)) \longrightarrow (!x. P(x)))$

**definition**  $wfP :: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **where**  
 $wfP\ r == wf\ \{(x, y). r\ x\ y\}$

**lemma**  $wfP\text{-}wf\text{-}eq$  [pred-set-conv]:  $wfP\ (\lambda x\ y. (x, y) \in r) = wf\ r$   
 $\langle proof \rangle$

**lemma**  $wfUNIVI$ :  
 $(!!P\ x. (ALL\ y. (ALL\ y. (y,x) : r \longrightarrow P(y)) \longrightarrow P(x)) \Longrightarrow P(x)) \Longrightarrow wf(r)$   
 $\langle proof \rangle$

**lemmas**  $wfPUNIVI = wfUNIVI$  [to-pred]

Restriction to domain  $A$  and range  $B$ . If  $r$  is well-founded over their intersection, then  $wf\ r$

**lemma**  $wfI$ :  
 $[| r \subseteq A \lt * > B;$   
 $!!x\ P. [| \forall x. (\forall y. (y,x) : r \longrightarrow P\ y) \longrightarrow P\ x; x : A; x : B |] \Longrightarrow P\ x |]$   
 $\Longrightarrow wf\ r$   
 $\langle proof \rangle$

**lemma**  $wf\text{-}induct$ :  
 $[| wf(r);$   
 $!!x. [| ALL\ y. (y,x):r \longrightarrow P(y) |] \Longrightarrow P(x)$   
 $|] \Longrightarrow P(a)$   
 $\langle proof \rangle$

**lemmas**  $wfP\text{-}induct = wf\text{-}induct$  [to-pred]

**lemmas**  $wf\text{-}induct\text{-}rule = wf\text{-}induct$  [rule-format, consumes 1, case-names less, induct set: wf]

**lemmas**  $wfP\text{-}induct\text{-}rule = wf\text{-}induct\text{-}rule$  [to-pred, induct set: wfP]

**lemma**  $wf\text{-}not\text{-}sym$ :  $wf\ r \Longrightarrow (a, x) : r \Longrightarrow (x, a) \sim: r$   
 $\langle proof \rangle$

**lemma** *wf-asym*:

**assumes**  $wf\ r\ (a, x) \in r$

**obtains**  $(x, a) \notin r$

$\langle proof \rangle$

**lemma** *wf-not-refl [simp]*:  $wf\ r \implies (a, a) \sim: r$

$\langle proof \rangle$

**lemma** *wf-irrefl*: **assumes**  $wf\ r$  **obtains**  $(a, a) \notin r$

$\langle proof \rangle$

**lemma** *wf-wellorderI*:

**assumes**  $wf: wf\ \{(x::'a::ord, y). x < y\}$

**assumes**  $lin: OFCLASS('a::ord, linorder-class)$

**shows**  $OFCLASS('a::ord, wellorder-class)$

$\langle proof \rangle$

**lemma** (**in** *wellorder*) *wf*:

$wf\ \{(x, y). x < y\}$

$\langle proof \rangle$

## 24.2 Basic Results

Point-free characterization of well-foundedness

**lemma** *wfE-pf*:

**assumes**  $wf: wf\ R$

**assumes**  $a: A \subseteq R \text{ “ } A$

**shows**  $A = \{\}$

$\langle proof \rangle$

**lemma** *wfI-pf*:

**assumes**  $a: \bigwedge A. A \subseteq R \text{ “ } A \implies A = \{\}$

**shows**  $wf\ R$

$\langle proof \rangle$

Minimal-element characterization of well-foundedness

**lemma** *wfE-min*:

**assumes**  $wf: wf\ R$  **and**  $Q: x \in Q$

**obtains**  $z$  **where**  $z \in Q \wedge y. (y, z) \in R \implies y \notin Q$

$\langle proof \rangle$

**lemma** *wfI-min*:

**assumes**  $a: \bigwedge x\ Q. x \in Q \implies \exists z \in Q. \forall y. (y, z) \in R \longrightarrow y \notin Q$

**shows**  $wf\ R$

$\langle proof \rangle$

**lemma** *wf-eq-minimal*:  $wf\ r = (\forall Q\ x. x \in Q \longrightarrow (\exists z \in Q. \forall y. (y, z) \in r \longrightarrow y \notin Q))$

$\langle proof \rangle$

**lemmas**  $wfP\text{-}eq\text{-}minimal = wf\text{-}eq\text{-}minimal$   $[to\text{-}pred]$

Well-foundedness of transitive closure

**lemma**  $wf\text{-}trancl$ :

**assumes**  $wf\ r$

**shows**  $wf\ (r^+)$

$\langle proof \rangle$

**lemmas**  $wfP\text{-}trancl = wf\text{-}trancl$   $[to\text{-}pred]$

**lemma**  $wf\text{-}converse\text{-}trancl$ :  $wf\ (r^-1) ==> wf\ ((r^+)^-1)$

$\langle proof \rangle$

Well-foundedness of subsets

**lemma**  $wf\text{-}subset$ :  $[| wf(r); p \leq r |] ==> wf(p)$

$\langle proof \rangle$

**lemmas**  $wfP\text{-}subset = wf\text{-}subset$   $[to\text{-}pred]$

Well-foundedness of the empty relation

**lemma**  $wf\text{-}empty$   $[iff]$ :  $wf\ \{\}$

$\langle proof \rangle$

**lemma**  $wfP\text{-}empty$   $[iff]$ :

$wfP\ (\lambda x\ y. False)$

$\langle proof \rangle$

**lemma**  $wf\text{-}Int1$ :  $wf\ r ==> wf\ (r\ Int\ r')$

$\langle proof \rangle$

**lemma**  $wf\text{-}Int2$ :  $wf\ r ==> wf\ (r'\ Int\ r)$

$\langle proof \rangle$

Exponentiation

**lemma**  $wf\text{-}exp$ :

**assumes**  $wf\ (R^{\wedge n})$

**shows**  $wf\ R$

$\langle proof \rangle$

Well-foundedness of insert

**lemma**  $wf\text{-}insert$   $[iff]$ :  $wf(insert\ (y,x)\ r) = (wf(r) \ \&\ (x,y) \sim: r^*)$

$\langle proof \rangle$

Well-foundedness of image

**lemma**  $wf\text{-}prod\text{-}fun\text{-}image$ :  $[| wf\ r; inj\ f |] ==> wf(prod\text{-}fun\ f\ f'\ r)$

$\langle proof \rangle$

### 24.3 Well-Foundedness Results for Unions

**lemma** *wf-union-compatible*:

**assumes**  $wf\ R\ wf\ S$   
**assumes**  $R\ O\ S \subseteq R$   
**shows**  $wf\ (R \cup S)$

*<proof>*

Well-foundedness of indexed union with disjoint domains and ranges

**lemma** *wf-UN*:  $[| \text{ALL } i:I. wf(r\ i);$

$\text{ALL } i:I. \text{ALL } j:I. r\ i \sim = r\ j \longrightarrow \text{Domain}(r\ i)\ \text{Int}\ \text{Range}(r\ j) = \{\}$

$|] \implies wf(\text{UN } i:I. r\ i)$

*<proof>*

**lemma** *wfP-SUP*:

$\forall i. wfP\ (r\ i) \implies \forall i\ j. r\ i \neq r\ j \longrightarrow \inf\ (\text{DomainP}\ (r\ i))\ (\text{RangeP}\ (r\ j)) = \text{bot}$   
 $\implies wfP\ (\text{SUPR UNIV } r)$

*<proof>*

**lemma** *wf-Union*:

$[| \text{ALL } r:R. wf\ r;$

$\text{ALL } r:R. \text{ALL } s:R. r \sim = s \longrightarrow \text{Domain } r\ \text{Int}\ \text{Range } s = \{\}$

$|] \implies wf(\text{Union } R)$

*<proof>*

**lemma** *wf-Un*:

$[| wf\ r; wf\ s; \text{Domain } r\ \text{Int}\ \text{Range } s = \{\} \ |] \implies wf(r\ \text{Un } s)$

*<proof>*

**lemma** *wf-union-merge*:

$wf\ (R \cup S) = wf\ (R\ O\ R \cup S\ O\ R \cup S)$  (**is**  $wf\ ?A = wf\ ?B$ )

*<proof>*

**lemma** *wf-comp-self*:  $wf\ R = wf\ (R\ O\ R)$  — special case

*<proof>*

### 24.4 Acyclic relations

**definition** *acyclic* ::  $('a * 'a)\ \text{set} \implies \text{bool}$  **where**

$acyclic\ r == !x. (x, x) \sim: r^{\wedge+}$

**abbreviation** *acyclicP* ::  $('a \implies 'a \implies \text{bool}) \implies \text{bool}$  **where**

$acyclicP\ r == acyclic\ \{(x, y). r\ x\ y\}$

**lemma** *acyclicI*:  $\text{ALL } x. (x, x) \sim: r^{\wedge+} \implies acyclic\ r$

*<proof>*

**lemma** *wf-acyclic*:  $wf\ r \implies acyclic\ r$

*<proof>*

**lemmas**  $wfP\text{-}acyclicP = wf\text{-}acyclic$   $[to\text{-}pred]$

**lemma**  $acyclic\text{-}insert$   $[iff]$ :  
 $acyclic(insert\ (y,x)\ r) = (acyclic\ r \ \&\ (x,y) \sim : r^{\wedge*})$   
 $\langle proof \rangle$

**lemma**  $acyclic\text{-}converse$   $[iff]$ :  $acyclic(r^{\wedge-1}) = acyclic\ r$   
 $\langle proof \rangle$

**lemmas**  $acyclicP\text{-}converse$   $[iff] = acyclic\text{-}converse$   $[to\text{-}pred]$

**lemma**  $acyclic\text{-}impl\text{-}antisym\text{-}rtrancl$ :  $acyclic\ r ==> antisym(r^{\wedge*})$   
 $\langle proof \rangle$

**lemma**  $acyclic\text{-}subset$ :  $[| acyclic\ s; r \leq s |] ==> acyclic\ r$   
 $\langle proof \rangle$

Wellfoundedness of finite acyclic relations

**lemma**  $finite\text{-}acyclic\text{-}wf$   $[rule\text{-}format]$ :  $finite\ r ==> acyclic\ r --> wf\ r$   
 $\langle proof \rangle$

**lemma**  $finite\text{-}acyclic\text{-}wf\text{-}converse$ :  $[|finite\ r; acyclic\ r|] ==> wf\ (r^{\wedge-1})$   
 $\langle proof \rangle$

**lemma**  $wf\text{-}iff\text{-}acyclic\text{-}if\text{-}finite$ :  $finite\ r ==> wf\ r = acyclic\ r$   
 $\langle proof \rangle$

## 24.5 $nat$ is well-founded

**lemma**  $less\text{-}nat\text{-}rel$ :  $op < = (\lambda m\ n. n = Suc\ m)^{\wedge++}$   
 $\langle proof \rangle$

**definition**

$pred\text{-}nat :: (nat * nat) \text{ set } \mathbf{where}$   
 $pred\text{-}nat = \{(m, n). n = Suc\ m\}$

**definition**

$less\text{-}than :: (nat * nat) \text{ set } \mathbf{where}$   
 $less\text{-}than = pred\text{-}nat^{\wedge+}$

**lemma**  $less\text{-}eq$ :  $(m, n) \in pred\text{-}nat^{\wedge+} \longleftrightarrow m < n$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}nat\text{-}trancl\text{-}eq\text{-}le$ :  
 $(m, n) \in pred\text{-}nat^{\wedge*} \longleftrightarrow m \leq n$   
 $\langle proof \rangle$

**lemma** *wf-pred-nat*: *wf pred-nat*  
 ⟨*proof*⟩

**lemma** *wf-less-than* [*iff*]: *wf less-than*  
 ⟨*proof*⟩

**lemma** *trans-less-than* [*iff*]: *trans less-than*  
 ⟨*proof*⟩

**lemma** *less-than-iff* [*iff*]:  $((x,y): \text{less-than}) = (x < y)$   
 ⟨*proof*⟩

**lemma** *wf-less*: *wf*  $\{(x, y::\text{nat}). x < y\}$   
 ⟨*proof*⟩

## 24.6 Accessible Part

Inductive definition of the accessible part *acc r* of a relation; see also [?].

**inductive-set**

*acc* :: (*'a* \* *'a*) *set* ==> *'a set*  
**for** *r* :: (*'a* \* *'a*) *set*  
**where**  
*accI*:  $(!!y. (y, x) : r ==> y : \text{acc } r) ==> x : \text{acc } r$

**abbreviation**

*termip* :: (*'a* ==> *'a* ==> *bool*) ==> *'a* ==> *bool* **where**  
*termip* *r* == *accp* ( $r^{-1-1}$ )

**abbreviation**

*termi* :: (*'a* \* *'a*) *set* ==> *'a set* **where**  
*termi* *r* == *acc* ( $r^{-1}$ )

**lemmas** *accpI* = *accp.accI*

Induction rules

**theorem** *accp-induct*:

**assumes** *major*: *accp r a*  
**assumes** *hyp*:  $!!x. \text{accp } r \ x ==> \forall y. r \ y \ x \ --> P \ y ==> P \ x$   
**shows** *P a*  
 ⟨*proof*⟩

**theorems** *accp-induct-rule* = *accp-induct* [*rule-format*, *induct set*: *accp*]

**theorem** *accp-downward*: *accp r b* ==> *r a b* ==> *accp r a*  
 ⟨*proof*⟩

**lemma** *not-accp-down*:

**assumes** *na*:  $\neg \text{accp } R \ x$

**obtains**  $z$  **where**  $R\ z\ x$  **and**  $\neg\ accp\ R\ z$   
 $\langle proof \rangle$

**lemma** *accp-downwards-aux*:  $r^{**}\ b\ a \implies accp\ r\ a \dashv\dashv accp\ r\ b$   
 $\langle proof \rangle$

**theorem** *accp-downwards*:  $accp\ r\ a \implies r^{**}\ b\ a \implies accp\ r\ b$   
 $\langle proof \rangle$

**theorem** *accp-wfPI*:  $\forall x. accp\ r\ x \implies wfP\ r$   
 $\langle proof \rangle$

**theorem** *accp-wfPD*:  $wfP\ r \implies accp\ r\ x$   
 $\langle proof \rangle$

**theorem** *wfP-accp-iff*:  $wfP\ r = (\forall x. accp\ r\ x)$   
 $\langle proof \rangle$

Smaller relations have bigger accessible parts:

**lemma** *accp-subset*:  
**assumes** *sub*:  $R1 \leq R2$   
**shows**  $accp\ R2 \leq accp\ R1$   
 $\langle proof \rangle$

This is a generalized induction theorem that works on subsets of the accessible part.

**lemma** *accp-subset-induct*:  
**assumes** *subset*:  $D \leq accp\ R$   
**and** *dcl*:  $\bigwedge x\ z. \llbracket D\ x; R\ z\ x \rrbracket \implies D\ z$   
**and**  $D\ x$   
**and** *istep*:  $\bigwedge x. \llbracket D\ x; (\bigwedge z. R\ z\ x \implies P\ z) \rrbracket \implies P\ x$   
**shows**  $P\ x$   
 $\langle proof \rangle$

Set versions of the above theorems

**lemmas** *acc-induct* = *accp-induct* [*to-set*]

**lemmas** *acc-induct-rule* = *acc-induct* [*rule-format*, *induct set*: *acc*]

**lemmas** *acc-downward* = *accp-downward* [*to-set*]

**lemmas** *not-acc-down* = *not-accp-down* [*to-set*]

**lemmas** *acc-downwards-aux* = *accp-downwards-aux* [*to-set*]

**lemmas** *acc-downwards* = *accp-downwards* [*to-set*]

**lemmas** *acc-wfI* = *accp-wfPI* [*to-set*]

**lemmas**  $acc\text{-}wfD = accp\text{-}wfPD$   $[to\text{-}set]$

**lemmas**  $wf\text{-}acc\text{-}iff = wfP\text{-}accp\text{-}iff$   $[to\text{-}set]$

**lemmas**  $acc\text{-}subset = accp\text{-}subset$   $[to\text{-}set\ pred\text{-}subset\text{-}eq]$

**lemmas**  $acc\text{-}subset\text{-}induct = accp\text{-}subset\text{-}induct$   $[to\text{-}set\ pred\text{-}subset\text{-}eq]$

## 24.7 Tools for building wellfounded relations

Inverse Image

**lemma**  $wf\text{-}inv\text{-}image$   $[simp,intro!]$ :  $wf(r) ==> wf(inv\text{-}image\ r\ (f::'a==>'b))$   
 $\langle proof \rangle$

Measure functions into  $nat$

**definition**  $measure :: ('a ==> nat) ==> ('a * 'a) set$   
**where**  $measure == inv\text{-}image\ less\text{-}than$

**lemma**  $in\text{-}measure[simp]$ :  $((x,y) : measure\ f) = (f\ x < f\ y)$   
 $\langle proof \rangle$

**lemma**  $wf\text{-}measure$   $[iff]$ :  $wf\ (measure\ f)$   
 $\langle proof \rangle$

Lexicographic combinations

**definition**  
 $lex\text{-}prod :: [( 'a * 'a) set, ( 'b * 'b) set] ==> (( 'a * 'b) * ( 'a * 'b)) set$   
 $(\mathbf{infixr}\ < * lex * > 80)$

**where**

$ra\ < * lex * > rb == \{((a,b),(a',b')).\ (a,a') : ra \mid a=a' \ \&\ (b,b') : rb\}$

**lemma**  $wf\text{-}lex\text{-}prod$   $[intro!]$ :  $[| wf(ra); wf(rb) |] ==> wf(ra\ < * lex * > rb)$   
 $\langle proof \rangle$

**lemma**  $in\text{-}lex\text{-}prod[simp]$ :  
 $(( (a,b),(a',b')) : r\ < * lex * > s) = ((a,a') : r \vee (a = a' \wedge (b, b') : s))$   
 $\langle proof \rangle$

$op\ < * lex * >$  preserves transitivity

**lemma**  $trans\text{-}lex\text{-}prod$   $[intro!]$ :  
 $[| trans\ R1; trans\ R2 |] ==> trans\ (R1\ < * lex * > R2)$   
 $\langle proof \rangle$

lexicographic combinations with measure functions

**definition**  
 $mlex\text{-}prod :: ('a ==> nat) ==> ('a \times 'a) set ==> ('a \times 'a) set\ (\mathbf{infixr}\ < * mlex * > 80)$   
**where**  
 $f\ < * mlex * > R = inv\text{-}image\ (less\text{-}than\ < * lex * > R)\ (\%x.\ (f\ x,\ x))$



**lemma** *wf-mlex*:  $wf\ R \implies wf\ (f\ <{*mlex*}\ R)$

*<proof>*

**lemma** *mlex-less*:  $f\ x < f\ y \implies (x, y) \in f\ <{*mlex*}\ R$

*<proof>*

**lemma** *mlex-leq*:  $f\ x \leq f\ y \implies (x, y) \in R \implies (x, y) \in f\ <{*mlex*}\ R$

*<proof>*

proper subset relation on finite sets

**definition** *finite-psubset* ::  $('a\ set * 'a\ set)\ set$

**where** *finite-psubset* ==  $\{(A, B). A < B \ \& \ finite\ B\}$

**lemma** *wf-finite-psubset[simp]*:  $wf(finite-psubset)$

*<proof>*

**lemma** *trans-finite-psubset*:  $trans\ finite-psubset$

*<proof>*

**lemma** *in-finite-psubset[simp]*:  $(A, B) \in finite-psubset = (A < B \ \& \ finite\ B)$

*<proof>*

max- and min-extension of order to finite sets

**inductive-set** *max-ext* ::  $('a \times 'a)\ set \Rightarrow ('a\ set \times 'a\ set)\ set$

**for** *R* ::  $('a \times 'a)\ set$

**where**

*max-extI[intro]*:  $finite\ X \implies finite\ Y \implies Y \neq \{\} \implies (\bigwedge x. x \in X \implies \exists y \in Y. (x, y) \in R) \implies (X, Y) \in max-ext\ R$

**lemma** *max-ext-wf*:

**assumes** *wf*:  $wf\ r$

**shows**  $wf\ (max-ext\ r)$

*<proof>*

**lemma** *max-ext-additive*:

$(A, B) \in max-ext\ R \implies (C, D) \in max-ext\ R \implies$

$(A \cup C, B \cup D) \in max-ext\ R$

*<proof>*

**definition**

*min-ext* ::  $('a \times 'a)\ set \Rightarrow ('a\ set \times 'a\ set)\ set$

**where**

*[code del]*:  $min-ext\ r = \{(X, Y) \mid X\ Y. X \neq \{\} \wedge (\forall y \in Y. (\exists x \in X. (x, y) \in r))\}$

**lemma** *min-ext-wf*:

**assumes** *wf*  $wf\ r$

**shows**  $wf \ (min-ext \ r)$   
 $\langle proof \rangle$

## 24.8 Weakly decreasing sequences (w.r.t. some well-founded order) stabilize.

This material does not appear to be used any longer.

**lemma** *sequence-trans*:  $[| \ ALL \ i. \ (f \ (Suc \ i), \ f \ i) : r^* \ |] \implies (f \ (i+k), \ f \ i) : r^*$   
 $\langle proof \rangle$

**lemma** *wf-weak-decr-stable*:  
**assumes** *as*:  $\ALL \ i. \ (f \ (Suc \ i), \ f \ i) : r^* \ wf \ (r^+)$   
**shows**  $EX \ i. \ \ALL \ k. \ f \ (i+k) = f \ i$   
 $\langle proof \rangle$

**lemma** *weak-decr-stable*:  
 $\ALL \ i. \ f \ (Suc \ i) <= ((f \ i)::nat) \implies EX \ i. \ \ALL \ k. \ f \ (i+k) = f \ i$   
 $\langle proof \rangle$

## 24.9 size of a datatype value

$\langle ML \rangle$

**lemma** *size-bool* [*code*]:  
 $size \ (b::bool) = 0 \ \langle proof \rangle$

**lemma** *nat-size* [*simp*, *code*]:  $size \ (n::nat) = n$   
 $\langle proof \rangle$

**declare** *prod.size* [*no-atp*]

**lemma** [*code*]:  
 $size \ (P :: 'a \ Predicate.pred) = 0 \ \langle proof \rangle$

**lemma** [*code*]:  
 $pred-size \ f \ P = 0 \ \langle proof \rangle$

**end**

## 25 FunDef: Function Definitions and Termination Proofs

**theory** *FunDef*  
**imports** *Wellfounded*  
**uses**  
*Tools/prop-logic.ML*

```

Tools/sat-solver.ML
(Tools/Function/function-lib.ML)
(Tools/Function/function-common.ML)
(Tools/Function/context-tree.ML)
(Tools/Function/function-core.ML)
(Tools/Function/sum-tree.ML)
(Tools/Function/mutual.ML)
(Tools/Function/pattern-split.ML)
(Tools/Function/function.ML)
(Tools/Function/relation.ML)
(Tools/Function/measure-functions.ML)
(Tools/Function/lexicographic-order.ML)
(Tools/Function/pat-completeness.ML)
(Tools/Function/fun.ML)
(Tools/Function/induction-schema.ML)
(Tools/Function/termination.ML)
(Tools/Function/scnp-solve.ML)
(Tools/Function/scnp-reconstruct.ML)
begin

```

## 25.1 Definitions with default value.

### definition

$THE\text{-}default :: 'a \Rightarrow ('a \Rightarrow bool) \Rightarrow 'a$  **where**  
 $THE\text{-}default\ d\ P = (if\ (\exists!x. P\ x)\ then\ (THE\ x. P\ x)\ else\ d)$

**lemma**  $THE\text{-}defaultI'$ :  $\exists!x. P\ x \Longrightarrow P\ (THE\text{-}default\ d\ P)$   
 $\langle proof \rangle$

**lemma**  $THE\text{-}default1\text{-}equality$ :

$\llbracket \exists!x. P\ x; P\ a \rrbracket \Longrightarrow THE\text{-}default\ d\ P = a$   
 $\langle proof \rangle$

**lemma**  $THE\text{-}default\text{-}none$ :

$\neg(\exists!x. P\ x) \Longrightarrow THE\text{-}default\ d\ P = d$   
 $\langle proof \rangle$

**lemma**  $fundef\text{-}ex1\text{-}existence$ :

**assumes**  $f\text{-}def$ :  $f == (\lambda x::'a. THE\text{-}default\ (d\ x)\ (\lambda y. G\ x\ y))$   
**assumes**  $ex1$ :  $\exists!y. G\ x\ y$   
**shows**  $G\ x\ (f\ x)$   
 $\langle proof \rangle$

**lemma**  $fundef\text{-}ex1\text{-}uniqueness$ :

**assumes**  $f\text{-}def$ :  $f == (\lambda x::'a. THE\text{-}default\ (d\ x)\ (\lambda y. G\ x\ y))$   
**assumes**  $ex1$ :  $\exists!y. G\ x\ y$   
**assumes**  $elm$ :  $G\ x\ (h\ x)$   
**shows**  $h\ x = f\ x$

$\langle proof \rangle$

**lemma** *fundef-ex1-iff*:

**assumes** *f-def*:  $f == (\lambda x::'a. \text{THE-default } (d \ x) \ (\lambda y. \ G \ x \ y))$

**assumes** *ex1*:  $\exists! y. \ G \ x \ y$

**shows**  $(G \ x \ y) = (f \ x = y)$

$\langle proof \rangle$

**lemma** *fundef-default-value*:

**assumes** *f-def*:  $f == (\lambda x::'a. \text{THE-default } (d \ x) \ (\lambda y. \ G \ x \ y))$

**assumes** *graph*:  $\bigwedge x \ y. \ G \ x \ y \implies D \ x$

**assumes**  $\neg D \ x$

**shows**  $f \ x = d \ x$

$\langle proof \rangle$

**definition** *in-rel-def*[*simp*]:

*in-rel*  $R \ x \ y == (x, y) \in R$

**lemma** *wf-in-rel*:

*wf*  $R \implies wfP \ (in-rel \ R)$

$\langle proof \rangle$

$\langle ML \rangle$

## 25.2 Measure Functions

**inductive** *is-measure* ::  $('a \Rightarrow nat) \Rightarrow bool$

**where** *is-measure-trivial*: *is-measure*  $f$

$\langle ML \rangle$

**lemma** *measure-size*[*measure-function*]: *is-measure* *size*

$\langle proof \rangle$

**lemma** *measure-fst*[*measure-function*]: *is-measure*  $f \implies is-measure \ (\lambda p. \ f \ (fst \ p))$

$\langle proof \rangle$

**lemma** *measure-snd*[*measure-function*]: *is-measure*  $f \implies is-measure \ (\lambda p. \ f \ (snd \ p))$

$\langle proof \rangle$

$\langle ML \rangle$

## 25.3 Congruence Rules

**lemma** *let-cong* [*fundef-cong*]:

$M = N \implies (\bigwedge x. \ x = N \implies f \ x = g \ x) \implies Let \ M \ f = Let \ N \ g$

$\langle proof \rangle$

**lemmas** [*fundef-cong*] =

*if-cong* *image-cong* *INT-cong* *UN-cong*

*bex-cong ball-cong imp-cong*

**lemma** *split-cong* [*fundef-cong*]:

$(\bigwedge x y. (x, y) = q \implies f x y = g x y) \implies p = q$   
 $\implies \text{split } f p = \text{split } g q$   
 $\langle \text{proof} \rangle$

**lemma** *comp-cong* [*fundef-cong*]:

$f (g x) = f' (g' x') \implies (f \circ g) x = (f' \circ g') x'$   
 $\langle \text{proof} \rangle$

## 25.4 Simp rules for termination proofs

**lemma** *termination-basic-simps*[*termination-simp*]:

$x < (y::\text{nat}) \implies x < y + z$   
 $x < z \implies x < y + z$   
 $x \leq y \implies x \leq y + (z::\text{nat})$   
 $x \leq z \implies x \leq y + (z::\text{nat})$   
 $x < y \implies x \leq (y::\text{nat})$   
 $\langle \text{proof} \rangle$

**declare** *le-imp-less-Suc*[*termination-simp*]

**lemma** *prod-size-simp*[*termination-simp*]:

$\text{prod-size } f g p = f (\text{fst } p) + g (\text{snd } p) + \text{Suc } 0$   
 $\langle \text{proof} \rangle$

## 25.5 Decomposition

**lemma** *less-by-empty*:

$A = \{\} \implies A \subseteq B$

**and** *union-comp-emptyL*:

$\llbracket A \circ C = \{\}; B \circ C = \{\} \rrbracket \implies (A \cup B) \circ C = \{\}$

**and** *union-comp-emptyR*:

$\llbracket A \circ B = \{\}; A \circ C = \{\} \rrbracket \implies A \circ (B \cup C) = \{\}$

**and** *wf-no-loop*:

$R \circ R = \{\} \implies \text{wf } R$

$\langle \text{proof} \rangle$

## 25.6 Reduction Pairs

**definition**

*reduction-pair*  $P = (\text{wf } (\text{fst } P) \wedge \text{fst } P \circ \text{snd } P \subseteq \text{fst } P)$

**lemma** *reduction-pairI*[*intro*]:  $\text{wf } R \implies R \circ S \subseteq R \implies \text{reduction-pair } (R, S)$

$\langle \text{proof} \rangle$

**lemma** *reduction-pair-lemma*:

**assumes** *rp*: *reduction-pair*  $P$

**assumes**  $R \subseteq \text{fst } P$

**assumes**  $S \subseteq \text{snd } P$   
**assumes**  $\text{wf } S$   
**shows**  $\text{wf } (R \cup S)$   
 $\langle \text{proof} \rangle$

**definition**

$\text{rp-inv-image} = (\lambda(R, S) f. (\text{inv-image } R f, \text{inv-image } S f))$

**lemma**  $\text{rp-inv-image-rp}$ :

$\text{reduction-pair } P \implies \text{reduction-pair } (\text{rp-inv-image } P f)$   
 $\langle \text{proof} \rangle$

**25.7 Concrete orders for SCNP termination proofs**

**definition**  $\text{pair-less} = \text{less-than } <*\text{lex}* > \text{less-than}$

**definition**  $[\text{code del}]: \text{pair-leq} = \text{pair-less}^\wedge =$

**definition**  $\text{max-strict} = \text{max-ext pair-less}$

**definition**  $[\text{code del}]: \text{max-weak} = \text{max-ext pair-leq} \cup \{(\{\}, \{\})\}$

**definition**  $[\text{code del}]: \text{min-strict} = \text{min-ext pair-less}$

**definition**  $[\text{code del}]: \text{min-weak} = \text{min-ext pair-leq} \cup \{(\{\}, \{\})\}$

**lemma**  $\text{wf-pair-less}[\text{simp}]: \text{wf pair-less}$

$\langle \text{proof} \rangle$

Introduction rules for  $\text{pair-less}/\text{pair-leq}$

**lemma**  $\text{pair-leqI1}: a < b \implies ((a, s), (b, t)) \in \text{pair-leq}$

**and**  $\text{pair-leqI2}: a \leq b \implies s \leq t \implies ((a, s), (b, t)) \in \text{pair-leq}$

**and**  $\text{pair-lessI1}: a < b \implies ((a, s), (b, t)) \in \text{pair-less}$

**and**  $\text{pair-lessI2}: a \leq b \implies s < t \implies ((a, s), (b, t)) \in \text{pair-less}$

$\langle \text{proof} \rangle$

Introduction rules for  $\text{max}$

**lemma**  $\text{smax-emptyI}$ :

$\text{finite } Y \implies Y \neq \{\} \implies (\{\}, Y) \in \text{max-strict}$

**and**  $\text{smax-insertI}$ :

$\llbracket y \in Y; (x, y) \in \text{pair-less}; (X, Y) \in \text{max-strict} \rrbracket \implies (\text{insert } x X, Y) \in \text{max-strict}$

**and**  $\text{wmax-emptyI}$ :

$\text{finite } X \implies (\{\}, X) \in \text{max-weak}$

**and**  $\text{wmax-insertI}$ :

$\llbracket y \in YS; (x, y) \in \text{pair-leq}; (XS, YS) \in \text{max-weak} \rrbracket \implies (\text{insert } x XS, YS) \in$

$\text{max-weak}$

$\langle \text{proof} \rangle$

Introduction rules for  $\text{min}$

**lemma**  $\text{smin-emptyI}$ :

$X \neq \{\} \implies (X, \{\}) \in \text{min-strict}$

**and**  $\text{smin-insertI}$ :

$\llbracket x \in XS; (x, y) \in \text{pair-less}; (XS, YS) \in \text{min-strict} \rrbracket \implies (XS, \text{insert } y YS) \in$

$\text{min-strict}$

**and** *wmin-emptyI*:  
 $(X, \{\}) \in \text{min-weak}$   
**and** *wmin-insertI*:  
 $\llbracket x \in XS; (x, y) \in \text{pair-leq}; (XS, YS) \in \text{min-weak} \rrbracket \implies (XS, \text{insert } y \text{ } YS) \in \text{min-weak}$   
 $\langle \text{proof} \rangle$

### Reduction Pairs

**lemma** *max-ext-compat*:  
**assumes**  $R \ O \ S \subseteq R$   
**shows**  $\text{max-ext } R \ O \ (\text{max-ext } S \cup \{(\{\}, \{\})\}) \subseteq \text{max-ext } R$   
 $\langle \text{proof} \rangle$

**lemma** *max-rpair-set*: *reduction-pair* (*max-strict*, *max-weak*)  
 $\langle \text{proof} \rangle$

**lemma** *min-ext-compat*:  
**assumes**  $R \ O \ S \subseteq R$   
**shows**  $\text{min-ext } R \ O \ (\text{min-ext } S \cup \{(\{\}, \{\})\}) \subseteq \text{min-ext } R$   
 $\langle \text{proof} \rangle$

**lemma** *min-rpair-set*: *reduction-pair* (*min-strict*, *min-weak*)  
 $\langle \text{proof} \rangle$

## 25.8 Tool setup

$\langle ML \rangle$

**end**

## 26 Extraction: Program extraction for HOL

**theory** *Extraction*  
**imports** *Option*  
**uses** *Tools/rewrite-hol-proof.ML*  
**begin**

### 26.1 Setup

$\langle ML \rangle$

**lemmas** [*extraction-expand*] =  
*meta-spec atomize-eq atomize-all atomize-imp atomize-conj*  
*allE rev-mp conjE Eq-TrueI Eq-FalseI eqTrueI eqTrueE eq-cong2*  
*notE' impE' impE iffE imp-cong simp-thms eq-True eq-False*  
*induct-forall-eq induct-implies-eq induct-equal-eq induct-conj-eq*  
*induct-atomize induct-atomize' induct-rulify induct-rulify'*  
*induct-rulify-fallback induct-trueI*

*True-implies-equals TrueE*

**lemmas** [*extraction-expand-def*] =  
*induct-forall-def induct-implies-def induct-equal-def induct-conj-def*  
*induct-true-def induct-false-def*

**datatype** *sumbool* = *Left* | *Right*

## 26.2 Type of extracted program

**extract-type**

*typeof* (*Trueprop P*)  $\equiv$  *typeof P*

*typeof P*  $\equiv$  *Type* (*TYPE*(*Null*))  $\implies$  *typeof Q*  $\equiv$  *Type* (*TYPE*('Q))  $\implies$   
*typeof* (*P*  $\longrightarrow$  *Q*)  $\equiv$  *Type* (*TYPE*('Q))

*typeof Q*  $\equiv$  *Type* (*TYPE*(*Null*))  $\implies$  *typeof* (*P*  $\longrightarrow$  *Q*)  $\equiv$  *Type* (*TYPE*(*Null*))

*typeof P*  $\equiv$  *Type* (*TYPE*('P))  $\implies$  *typeof Q*  $\equiv$  *Type* (*TYPE*('Q))  $\implies$   
*typeof* (*P*  $\longrightarrow$  *Q*)  $\equiv$  *Type* (*TYPE*('P  $\Rightarrow$  'Q))

( $\lambda x. \text{typeof } (P\ x)$ )  $\equiv$  ( $\lambda x. \text{Type } (\text{TYPE}(\text{Null}))$ )  $\implies$   
*typeof* ( $\forall x. P\ x$ )  $\equiv$  *Type* (*TYPE*(*Null*))

( $\lambda x. \text{typeof } (P\ x)$ )  $\equiv$  ( $\lambda x. \text{Type } (\text{TYPE}('P))$ )  $\implies$   
*typeof* ( $\forall x::'a. P\ x$ )  $\equiv$  *Type* (*TYPE*('a  $\Rightarrow$  'P))

( $\lambda x. \text{typeof } (P\ x)$ )  $\equiv$  ( $\lambda x. \text{Type } (\text{TYPE}(\text{Null}))$ )  $\implies$   
*typeof* ( $\exists x::'a. P\ x$ )  $\equiv$  *Type* (*TYPE*('a))

( $\lambda x. \text{typeof } (P\ x)$ )  $\equiv$  ( $\lambda x. \text{Type } (\text{TYPE}('P))$ )  $\implies$   
*typeof* ( $\exists x::'a. P\ x$ )  $\equiv$  *Type* (*TYPE*('a  $\times$  'P))

*typeof P*  $\equiv$  *Type* (*TYPE*(*Null*))  $\implies$  *typeof Q*  $\equiv$  *Type* (*TYPE*(*Null*))  $\implies$   
*typeof* (*P*  $\vee$  *Q*)  $\equiv$  *Type* (*TYPE*(*sumbool*))

*typeof P*  $\equiv$  *Type* (*TYPE*(*Null*))  $\implies$  *typeof Q*  $\equiv$  *Type* (*TYPE*('Q))  $\implies$   
*typeof* (*P*  $\vee$  *Q*)  $\equiv$  *Type* (*TYPE*('Q option))

*typeof P*  $\equiv$  *Type* (*TYPE*('P))  $\implies$  *typeof Q*  $\equiv$  *Type* (*TYPE*(*Null*))  $\implies$   
*typeof* (*P*  $\vee$  *Q*)  $\equiv$  *Type* (*TYPE*('P option))

*typeof P*  $\equiv$  *Type* (*TYPE*('P))  $\implies$  *typeof Q*  $\equiv$  *Type* (*TYPE*('Q))  $\implies$   
*typeof* (*P*  $\vee$  *Q*)  $\equiv$  *Type* (*TYPE*('P + 'Q))

*typeof P*  $\equiv$  *Type* (*TYPE*(*Null*))  $\implies$  *typeof Q*  $\equiv$  *Type* (*TYPE*('Q))  $\implies$   
*typeof* (*P*  $\wedge$  *Q*)  $\equiv$  *Type* (*TYPE*('Q))

*typeof P*  $\equiv$  *Type* (*TYPE*('P))  $\implies$  *typeof Q*  $\equiv$  *Type* (*TYPE*(*Null*))  $\implies$



$$\text{typeof } (P \wedge Q) \equiv \text{Type } (\text{TYPE}('P))$$

$$\begin{aligned} \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) &\implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \wedge Q) &\equiv \text{Type } (\text{TYPE}('P \times 'Q)) \end{aligned}$$

$$\text{typeof } (P = Q) \equiv \text{typeof } ((P \longrightarrow Q) \wedge (Q \longrightarrow P))$$

$$\text{typeof } (x \in P) \equiv \text{typeof } P$$

### 26.3 Realizability

#### realizability

$$(\text{realizes } t \text{ (Trueprop } P)) \equiv (\text{Trueprop } (\text{realizes } t \text{ } P))$$

$$\begin{aligned} (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) &\implies \\ (\text{realizes } t \text{ } (P \longrightarrow Q)) &\equiv (\text{realizes } \text{Null } P \longrightarrow \text{realizes } t \text{ } Q) \end{aligned}$$

$$\begin{aligned} (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}('P))) &\implies \\ (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) &\implies \\ (\text{realizes } t \text{ } (P \longrightarrow Q)) &\equiv (\forall x::'P. \text{realizes } x \text{ } P \longrightarrow \text{realizes } \text{Null } Q) \end{aligned}$$

$$(\text{realizes } t \text{ } (P \longrightarrow Q)) \equiv (\forall x. \text{realizes } x \text{ } P \longrightarrow \text{realizes } (t \text{ } x) \text{ } Q)$$

$$\begin{aligned} (\lambda x. \text{typeof } (P \text{ } x)) &\equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\ (\text{realizes } t \text{ } (\forall x. P \text{ } x)) &\equiv (\forall x. \text{realizes } \text{Null } (P \text{ } x)) \end{aligned}$$

$$(\text{realizes } t \text{ } (\forall x. P \text{ } x)) \equiv (\forall x. \text{realizes } (t \text{ } x) \text{ } (P \text{ } x))$$

$$\begin{aligned} (\lambda x. \text{typeof } (P \text{ } x)) &\equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\ (\text{realizes } t \text{ } (\exists x. P \text{ } x)) &\equiv (\text{realizes } \text{Null } (P \text{ } t)) \end{aligned}$$

$$(\text{realizes } t \text{ } (\exists x. P \text{ } x)) \equiv (\text{realizes } (\text{snd } t) \text{ } (P \text{ } (\text{fst } t)))$$

$$\begin{aligned} (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) &\implies \\ (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) &\implies \\ (\text{realizes } t \text{ } (P \vee Q)) &\equiv \\ (\text{case } t \text{ of Left} \Rightarrow \text{realizes } \text{Null } P \mid \text{Right} \Rightarrow \text{realizes } \text{Null } Q) \end{aligned}$$

$$\begin{aligned} (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) &\implies \\ (\text{realizes } t \text{ } (P \vee Q)) &\equiv \\ (\text{case } t \text{ of None} \Rightarrow \text{realizes } \text{Null } P \mid \text{Some } q \Rightarrow \text{realizes } q \text{ } Q) \end{aligned}$$

$$\begin{aligned} (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) &\implies \\ (\text{realizes } t \text{ } (P \vee Q)) &\equiv \\ (\text{case } t \text{ of None} \Rightarrow \text{realizes } \text{Null } Q \mid \text{Some } p \Rightarrow \text{realizes } p \text{ } P) \end{aligned}$$

$$\begin{aligned} (\text{realizes } t \text{ } (P \vee Q)) &\equiv \\ (\text{case } t \text{ of Inl } p \Rightarrow \text{realizes } p \text{ } P \mid \text{Inr } q \Rightarrow \text{realizes } q \text{ } Q) \end{aligned}$$

$$\begin{aligned}
&(\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
&\quad (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } \text{Null } P \wedge \text{realizes } t \ Q) \\
\\
&(\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
&\quad (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } t \ P \wedge \text{realizes } \text{Null } Q) \\
\\
&(\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } (\text{fst } t) \ P \wedge \text{realizes } (\text{snd } t) \ Q) \\
\\
&\text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
&\quad \text{realizes } t \ (\neg P) \equiv \neg \text{realizes } \text{Null } P \\
\\
&\text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \\
&\quad \text{realizes } t \ (\neg P) \equiv (\forall x::'P. \neg \text{realizes } x \ P) \\
\\
&\text{typeof } (P::\text{bool}) \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
&\text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
&\quad \text{realizes } t \ (P = Q) \equiv \text{realizes } \text{Null } P = \text{realizes } \text{Null } Q \\
\\
&(\text{realizes } t \ (P = Q)) \equiv (\text{realizes } t \ ((P \longrightarrow Q) \wedge (Q \longrightarrow P)))
\end{aligned}$$

## 26.4 Computational content of basic inference rules

**theorem** *disjE-realizer*:

**assumes**  $r$ :  $\text{case } x \text{ of } \text{Inl } p \Rightarrow P \ p \mid \text{Inr } q \Rightarrow Q \ q$   
**and**  $r1$ :  $\bigwedge p. P \ p \implies R \ (f \ p)$  **and**  $r2$ :  $\bigwedge q. Q \ q \implies R \ (g \ q)$   
**shows**  $R \ (\text{case } x \text{ of } \text{Inl } p \Rightarrow f \ p \mid \text{Inr } q \Rightarrow g \ q)$   
 $\langle \text{proof} \rangle$

**theorem** *disjE-realizer2*:

**assumes**  $r$ :  $\text{case } x \text{ of } \text{None} \Rightarrow P \mid \text{Some } q \Rightarrow Q \ q$   
**and**  $r1$ :  $P \implies R \ f$  **and**  $r2$ :  $\bigwedge q. Q \ q \implies R \ (g \ q)$   
**shows**  $R \ (\text{case } x \text{ of } \text{None} \Rightarrow f \mid \text{Some } q \Rightarrow g \ q)$   
 $\langle \text{proof} \rangle$

**theorem** *disjE-realizer3*:

**assumes**  $r$ :  $\text{case } x \text{ of } \text{Left} \Rightarrow P \mid \text{Right} \Rightarrow Q$   
**and**  $r1$ :  $P \implies R \ f$  **and**  $r2$ :  $Q \implies R \ g$   
**shows**  $R \ (\text{case } x \text{ of } \text{Left} \Rightarrow f \mid \text{Right} \Rightarrow g)$   
 $\langle \text{proof} \rangle$

**theorem** *conjI-realizer*:

$P \ p \implies Q \ q \implies P \ (\text{fst } (p, q)) \wedge Q \ (\text{snd } (p, q))$   
 $\langle \text{proof} \rangle$

**theorem** *exI-realizer*:

$P \ y \ x \implies P \ (\text{snd } (x, y)) \ (\text{fst } (x, y)) \ \langle \text{proof} \rangle$

**theorem** *exE-realizer*:  $P \ (\text{snd } p) \ (\text{fst } p) \implies$

$(\bigwedge x \ y. P \ y \ x \implies Q \ (f \ x \ y)) \implies Q \ (\text{let } (x, y) = p \text{ in } f \ x \ y)$

$\langle proof \rangle$

**theorem** *exE-realizer'*:  $P \text{ (snd } p) \text{ (fst } p) \implies$   
 $(\bigwedge x y. P y x \implies Q) \implies Q \langle proof \rangle$

**realizers**

*impI* ( $P, Q$ ):  $\lambda pq. pq$   
 $\Lambda (c: -) (d: -) P Q pq (h: -). \text{allI} \cdot \cdot \cdot c \cdot (\Lambda x. \text{impI} \cdot \cdot \cdot \cdot (h \cdot x))$

*impI* ( $P$ ): *Null*  
 $\Lambda (c: -) P Q (h: -). \text{allI} \cdot \cdot \cdot c \cdot (\Lambda x. \text{impI} \cdot \cdot \cdot \cdot (h \cdot x))$

*impI* ( $Q$ ):  $\lambda q. q \Lambda (c: -) P Q q. \text{impI} \cdot \cdot \cdot -$

*impI*: *Null impI*

*mp* ( $P, Q$ ):  $\lambda pq. pq$   
 $\Lambda (c: -) (d: -) P Q pq (h: -) p. mp \cdot \cdot \cdot \cdot (\text{spec} \cdot \cdot \cdot p \cdot c \cdot h)$

*mp* ( $P$ ): *Null*  
 $\Lambda (c: -) P Q (h: -) p. mp \cdot \cdot \cdot \cdot (\text{spec} \cdot \cdot \cdot p \cdot c \cdot h)$

*mp* ( $Q$ ):  $\lambda q. q \Lambda (c: -) P Q q. mp \cdot \cdot \cdot -$

*mp*: *Null mp*

*allI* ( $P$ ):  $\lambda p. p \Lambda (c: -) P (d: -) p. \text{allI} \cdot \cdot \cdot d$

*allI*: *Null allI*

*spec* ( $P$ ):  $\lambda x p. p x \Lambda (c: -) P x (d: -) p. \text{spec} \cdot \cdot \cdot x \cdot d$

*spec*: *Null spec*

*exI* ( $P$ ):  $\lambda x p. (x, p) \Lambda (c: -) P x (d: -) p. \text{exI-realizer} \cdot P \cdot p \cdot x \cdot c \cdot d$

*exI*:  $\lambda x. x \Lambda P x (c: -) (h: -). h$

*exE* ( $P, Q$ ):  $\lambda p pq. \text{let } (x, y) = p \text{ in } pq x y$   
 $\Lambda (c: -) (d: -) P Q (e: -) p (h: -) pq. \text{exE-realizer} \cdot P \cdot p \cdot Q \cdot pq \cdot c \cdot e \cdot d \cdot h$

*exE* ( $P$ ): *Null*  
 $\Lambda (c: -) P Q (d: -) p. \text{exE-realizer}' \cdot \cdot \cdot \cdot \cdot c \cdot d$

*exE* ( $Q$ ):  $\lambda x pq. pq x$   
 $\Lambda (c: -) P Q (d: -) x (h1: -) pq (h2: -). h2 \cdot x \cdot h1$

*exE*: *Null*  
 $\Lambda P Q (c: -) x (h1: -) (h2: -). h2 \cdot x \cdot h1$

*conjI* (*P*, *Q*): *Pair*  
 $\Lambda (c: -) (d: -) P Q p (h: -) q. \text{conjI-realizer} \cdot P \cdot p \cdot Q \cdot q \cdot c \cdot d \cdot h$

*conjI* (*P*):  $\lambda p. p$   
 $\Lambda (c: -) P Q p. \text{conjI} \cdot - \cdot - \cdot -$

*conjI* (*Q*):  $\lambda q. q$   
 $\Lambda (c: -) P Q (h: -) q. \text{conjI} \cdot - \cdot - \cdot - \cdot h$

*conjI*: *Null conjI*

*conjunct1* (*P*, *Q*): *fst*  
 $\Lambda (c: -) (d: -) P Q pq. \text{conjunct1} \cdot - \cdot - \cdot -$

*conjunct1* (*P*):  $\lambda p. p$   
 $\Lambda (c: -) P Q p. \text{conjunct1} \cdot - \cdot - \cdot -$

*conjunct1* (*Q*): *Null*  
 $\Lambda (c: -) P Q q. \text{conjunct1} \cdot - \cdot - \cdot -$

*conjunct1*: *Null conjunct1*

*conjunct2* (*P*, *Q*): *snd*  
 $\Lambda (c: -) (d: -) P Q pq. \text{conjunct2} \cdot - \cdot - \cdot -$

*conjunct2* (*P*): *Null*  
 $\Lambda (c: -) P Q p. \text{conjunct2} \cdot - \cdot - \cdot -$

*conjunct2* (*Q*):  $\lambda p. p$   
 $\Lambda (c: -) P Q p. \text{conjunct2} \cdot - \cdot - \cdot -$

*conjunct2*: *Null conjunct2*

*disjI1* (*P*, *Q*): *Inl*  
 $\Lambda (c: -) (d: -) P Q p. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{sum.cases-1} \cdot P \cdot - \cdot p \cdot \text{arity-type-bool} \cdot c \cdot d)$

*disjI1* (*P*): *Some*  
 $\Lambda (c: -) P Q p. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{option.cases-2} \cdot - \cdot - \cdot P \cdot p \cdot \text{arity-type-bool} \cdot c)$

*disjI1* (*Q*): *None*  
 $\Lambda (c: -) P Q. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{option.cases-1} \cdot - \cdot - \cdot - \cdot \text{arity-type-bool} \cdot c)$

*disjI1*: *Left*  
 $\Lambda P Q. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{sumbool.cases-1} \cdot - \cdot - \cdot - \cdot \text{arity-type-bool})$

*disjI2* (*P*, *Q*): *Inr*  
 $\Lambda (d: -) (c: -) Q P q. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{sum.cases-2} \cdot - \cdot - \cdot Q \cdot q \cdot \text{arity-type-bool} \cdot c)$

$c \cdot d$ )

$disjI2 \ (P): \text{None}$

$\Lambda \ (c: -) \ Q \ P. \text{iff}D2 \ \cdot \cdot \cdot \cdot \cdot \ (option.cases-1 \ \cdot \cdot \cdot \cdot \cdot \text{arity-type-bool} \cdot c)$

$disjI2 \ (Q): \text{Some}$

$\Lambda \ (c: -) \ Q \ P \ q. \text{iff}D2 \ \cdot \cdot \cdot \cdot \cdot \ (option.cases-2 \ \cdot \cdot \cdot \cdot \cdot \ Q \cdot q \cdot \text{arity-type-bool} \cdot c)$

$disjI2: \text{Right}$

$\Lambda \ Q \ P. \text{iff}D2 \ \cdot \cdot \cdot \cdot \cdot \ (sumbool.cases-2 \ \cdot \cdot \cdot \cdot \cdot \text{arity-type-bool})$

$disjE \ (P, Q, R): \lambda pq \ pr \ qr.$

$(case \ pq \ of \ Inl \ p \Rightarrow pr \ p \mid Inr \ q \Rightarrow qr \ q)$

$\Lambda \ (c: -) \ (d: -) \ (e: -) \ P \ Q \ R \ pq \ (h1: -) \ pr \ (h2: -) \ qr.$

$disjE\text{-realizer} \ \cdot \cdot \cdot \cdot \cdot \ pq \cdot R \cdot pr \cdot qr \cdot c \cdot d \cdot e \cdot h1 \cdot h2$

$disjE \ (Q, R): \lambda pq \ pr \ qr.$

$(case \ pq \ of \ None \Rightarrow pr \mid Some \ q \Rightarrow qr \ q)$

$\Lambda \ (c: -) \ (d: -) \ P \ Q \ R \ pq \ (h1: -) \ pr \ (h2: -) \ qr.$

$disjE\text{-realizer2} \ \cdot \cdot \cdot \cdot \cdot \ pq \cdot R \cdot pr \cdot qr \cdot c \cdot d \cdot h1 \cdot h2$

$disjE \ (P, R): \lambda pq \ pr \ qr.$

$(case \ pq \ of \ None \Rightarrow qr \mid Some \ p \Rightarrow pr \ p)$

$\Lambda \ (c: -) \ (d: -) \ P \ Q \ R \ pq \ (h1: -) \ pr \ (h2: -) \ qr \ (h3: -).$

$disjE\text{-realizer2} \ \cdot \cdot \cdot \cdot \cdot \ pq \cdot R \cdot qr \cdot pr \cdot c \cdot d \cdot h1 \cdot h3 \cdot h2$

$disjE \ (R): \lambda pq \ pr \ qr.$

$(case \ pq \ of \ Left \Rightarrow pr \mid Right \Rightarrow qr)$

$\Lambda \ (c: -) \ P \ Q \ R \ pq \ (h1: -) \ pr \ (h2: -) \ qr.$

$disjE\text{-realizer3} \ \cdot \cdot \cdot \cdot \cdot \ pq \cdot R \cdot pr \cdot qr \cdot c \cdot h1 \cdot h2$

$disjE \ (P, Q): \text{Null}$

$\Lambda \ (c: -) \ (d: -) \ P \ Q \ R \ pq. \text{disjE-realizer} \ \cdot \cdot \cdot \cdot \cdot \ pq \cdot (\lambda x. R) \ \cdot \cdot \cdot \cdot \cdot \ c \cdot d \cdot \text{arity-type-bool}$

$disjE \ (Q): \text{Null}$

$\Lambda \ (c: -) \ P \ Q \ R \ pq. \text{disjE-realizer2} \ \cdot \cdot \cdot \cdot \cdot \ pq \cdot (\lambda x. R) \ \cdot \cdot \cdot \cdot \cdot \ c \cdot \text{arity-type-bool}$

$disjE \ (P): \text{Null}$

$\Lambda \ (c: -) \ P \ Q \ R \ pq \ (h1: -) \ (h2: -) \ (h3: -).$

$disjE\text{-realizer2} \ \cdot \cdot \cdot \cdot \cdot \ pq \cdot (\lambda x. R) \ \cdot \cdot \cdot \cdot \cdot \ c \cdot \text{arity-type-bool} \cdot h1 \cdot h3 \cdot h2$

$disjE: \text{Null}$

$\Lambda \ P \ Q \ R \ pq. \text{disjE-realizer3} \ \cdot \cdot \cdot \cdot \cdot \ pq \cdot (\lambda x. R) \ \cdot \cdot \cdot \cdot \cdot \text{arity-type-bool}$

$FalseE \ (P): \text{default}$

$\Lambda \ (c: -) \ P. \text{FalseE} \ \cdot \cdot$

$FalseE: \text{Null FalseE}$

$notI (P): Null$   
 $\Lambda (c: -) P (h: -). allI \cdot - \cdot c \cdot (\Lambda x. notI \cdot - \cdot (h \cdot x))$

$notI: Null notI$

$notE (P, R): \lambda p. default$   
 $\Lambda (c: -) (d: -) P R (h: -) p. notE \cdot - \cdot - \cdot (spec \cdot - \cdot p \cdot c \cdot h)$

$notE (P): Null$   
 $\Lambda (c: -) P R (h: -) p. notE \cdot - \cdot - \cdot (spec \cdot - \cdot p \cdot c \cdot h)$

$notE (R): default$   
 $\Lambda (c: -) P R. notE \cdot - \cdot -$

$notE: Null notE$

$subst (P): \lambda s \ t \ ps. ps$   
 $\Lambda (c: -) s \ t \ P (d: -) (h: -) ps. subst \cdot s \cdot t \cdot P \ ps \cdot d \cdot h$

$subst: Null subst$

$iffD1 (P, Q): fst$   
 $\Lambda (d: -) (c: -) Q P pq (h: -) p.$   
 $mp \cdot - \cdot - \cdot - \cdot (spec \cdot - \cdot p \cdot d \cdot (conjunct1 \cdot - \cdot - \cdot h))$

$iffD1 (P): \lambda p. p$   
 $\Lambda (c: -) Q P p (h: -). mp \cdot - \cdot - \cdot - \cdot (conjunct1 \cdot - \cdot - \cdot h)$

$iffD1 (Q): Null$   
 $\Lambda (c: -) Q P q1 (h: -) q2.$   
 $mp \cdot - \cdot - \cdot - \cdot (spec \cdot - \cdot q2 \cdot c \cdot (conjunct1 \cdot - \cdot - \cdot h))$

$iffD1: Null iffD1$

$iffD2 (P, Q): snd$   
 $\Lambda (c: -) (d: -) P Q pq (h: -) q.$   
 $mp \cdot - \cdot - \cdot - \cdot (spec \cdot - \cdot q \cdot d \cdot (conjunct2 \cdot - \cdot - \cdot h))$

$iffD2 (P): \lambda p. p$   
 $\Lambda (c: -) P Q p (h: -). mp \cdot - \cdot - \cdot - \cdot (conjunct2 \cdot - \cdot - \cdot h)$

$iffD2 (Q): Null$   
 $\Lambda (c: -) P Q q1 (h: -) q2.$   
 $mp \cdot - \cdot - \cdot - \cdot (spec \cdot - \cdot q2 \cdot c \cdot (conjunct2 \cdot - \cdot - \cdot h))$

$iffD2: Null iffD2$

$iffI (P, Q): Pair$

```

Λ (c: -) (d: -) P Q pq (h1 : -) qp (h2 : -). conjI-realizer ·
  (λpq. ∀ x. P x ⟶ Q (pq x)) · pq ·
  (λqp. ∀ x. Q x ⟶ P (qp x)) · qp ·
  (arity-type-fun · c · d) ·
  (arity-type-fun · d · c) ·
  (allI · - · c · (Λ x. impI · - · - · (h1 · x))) ·
  (allI · - · d · (Λ x. impI · - · - · (h2 · x)))

iffI (P): λp. p
  Λ (c: -) P Q (h1 : -) p (h2 : -). conjI · - · - ·
    (allI · - · c · (Λ x. impI · - · - · (h1 · x))) ·
    (impI · - · - · h2)

iffI (Q): λq. q
  Λ (c: -) P Q q (h1 : -) (h2 : -). conjI · - · - ·
    (impI · - · - · h1) ·
    (allI · - · c · (Λ x. impI · - · - · (h2 · x)))

iffI: Null iffI

end

```

## 27 Plain: Plain HOL

```

theory Plain
imports Datatype Record FunDef Extraction
begin

```

Plain bootstrap of fundamental HOL tools and packages; does not include *Hilbert-Choice*.

$\langle ML \rangle$

```
end
```

## 28 Big-Operators: Big operators and finite (non-empty) sets

```

theory Big-Operators
imports Plain
begin

```

### 28.1 Generic monoid operation over a set

```

no-notation times (infixl * 70)
no-notation Groups.one (1)

```

```

locale comm-monoid-big = comm-monoid +
  fixes F :: ('b  $\Rightarrow$  'a)  $\Rightarrow$  'b set  $\Rightarrow$  'a
  assumes F-eq: F g A = (if finite A then fold-image (op *) g 1 A else 1)

```

```

sublocale comm-monoid-big < folding-image <proof>

```

```

context comm-monoid-big
begin

```

```

lemma infinite [simp]:
   $\neg$  finite A  $\Longrightarrow$  F g A = 1
  <proof>

```

```

end

```

for ad-hoc proofs for *fold-image*

```

lemma (in comm-monoid-add) comm-monoid-mult:
  class.comm-monoid-mult (op +) 0
  <proof>

```

```

notation times (infixl * 70)
notation Groups.one (1)

```

## 28.2 Generalized summation over a set

```

definition (in comm-monoid-add) setsum :: ('b  $\Rightarrow$  'a)  $\Rightarrow$  'b set  $\Rightarrow$  'a where
  setsum f A = (if finite A then fold-image (op +) f 0 A else 0)

```

```

sublocale comm-monoid-add < setsum!: comm-monoid-big op + 0 setsum <proof>

```

```

abbreviation
  Setsum ( $\sum$  - [1000] 999) where
   $\sum A ==$  setsum (%x. x) A

```

Now: lot's of fancy syntax. First, *setsum* ( $\lambda x. e$ ) *A* is written  $\sum_{x \in A}. e$ .

```

syntax
  -setsum :: pttrn  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  'b::comm-monoid-add (( $\sum$  -.-) [0,
  51, 10] 10)

```

```

syntax (xsymbols)
  -setsum :: pttrn  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  'b::comm-monoid-add (( $\sum$  -.-) [0,
  51, 10] 10)

```

```

syntax (HTML output)
  -setsum :: pttrn  $\Rightarrow$  'a set  $\Rightarrow$  'b  $\Rightarrow$  'b::comm-monoid-add (( $\sum$  -.-) [0,
  51, 10] 10)

```

**translations** — Beware of argument permutation!

```

  SUM i:A. b == CONST setsum (%i. b) A
   $\sum_{i \in A}. b$  == CONST setsum (%i. b) A

```



Instead of  $\sum x \in \{x. P\}. e$  we introduce the shorter  $\sum x | P. e$ .

**syntax**

$-qsetsum :: pttrn \Rightarrow bool \Rightarrow 'a \Rightarrow 'a \ ((\mathcal{S}SUM - | / - / -) [0,0,10] 10)$

**syntax** (*xsymbols*)

$-qsetsum :: pttrn \Rightarrow bool \Rightarrow 'a \Rightarrow 'a \ ((\mathcal{S}\sum - | (-). / -) [0,0,10] 10)$

**syntax** (*HTML output*)

$-qsetsum :: pttrn \Rightarrow bool \Rightarrow 'a \Rightarrow 'a \ ((\mathcal{S}\sum - | (-). / -) [0,0,10] 10)$

**translations**

$SUM x | P. t \Rightarrow CONST setsum (\%x. t) \{x. P\}$

$\sum x | P. t \Rightarrow CONST setsum (\%x. t) \{x. P\}$

$\langle ML \rangle$

**lemma** *setsum-empty*:

$setsum f \{\} = 0$

$\langle proof \rangle$

**lemma** *setsum-insert*:

$finite F \Rightarrow a \notin F \Rightarrow setsum f (insert a F) = f a + setsum f F$

$\langle proof \rangle$

**lemma** *setsum-infinite*:

$\sim finite A \Rightarrow setsum f A = 0$

$\langle proof \rangle$

**lemma** (*in comm-monoid-add*) *setsum-reindex*:

**assumes** *inj-on*  $f B$  **shows**  $setsum h (f ' B) = setsum (h \circ f) B$

$\langle proof \rangle$

**lemma** (*in comm-monoid-add*) *setsum-reindex-id*:

*inj-on*  $f B \Rightarrow setsum f B = setsum id (f ' B)$

$\langle proof \rangle$

**lemma** (*in comm-monoid-add*) *setsum-reindex-nonzero*:

**assumes**  $fS$ : *finite*  $S$

**and**  $nz$ :  $\bigwedge x y. x \in S \Rightarrow y \in S \Rightarrow x \neq y \Rightarrow f x = f y \Rightarrow h (f x) = 0$

**shows**  $setsum h (f ' S) = setsum (h \circ f) S$

$\langle proof \rangle$

**lemma** (*in comm-monoid-add*) *setsum-cong*:

$A = B \Rightarrow (!x. x:B \Rightarrow f x = g x) \Rightarrow setsum f A = setsum g B$

$\langle proof \rangle$

**lemma** (*in comm-monoid-add*) *strong-setsum-cong* [*cong*]:

$A = B \Rightarrow (!x. x:B \Rightarrow f x = g x)$

$\Rightarrow setsum (\%x. f x) A = setsum (\%x. g x) B$

$\langle proof \rangle$

**lemma** (in *comm-monoid-add*) *setsum-cong2*:  $\llbracket \bigwedge x. x \in A \implies f\ x = g\ x \rrbracket \implies \text{setsum } f\ A = \text{setsum } g\ A$   
 ⟨proof⟩

**lemma** (in *comm-monoid-add*) *setsum-reindex-cong*:  
 $\llbracket \text{inj-on } f\ A; B = f\ ` A; !!a. a:A \implies g\ a = h\ (f\ a) \rrbracket$   
 $\implies \text{setsum } h\ B = \text{setsum } g\ A$   
 ⟨proof⟩

**lemma** (in *comm-monoid-add*) *setsum-0[simp]*:  $\text{setsum } (\%i. 0)\ A = 0$   
 ⟨proof⟩

**lemma** (in *comm-monoid-add*) *setsum-0'*:  $ALL\ a:A. f\ a = 0 \implies \text{setsum } f\ A = 0$   
 ⟨proof⟩

**lemma** (in *comm-monoid-add*) *setsum-Un-Int*:  $\text{finite } A \implies \text{finite } B \implies$   
 $\text{setsum } g\ (A\ \text{Un } B) + \text{setsum } g\ (A\ \text{Int } B) = \text{setsum } g\ A + \text{setsum } g\ B$   
 — The reversed orientation looks more natural, but LOOPS as a simp rule!  
 ⟨proof⟩

**lemma** (in *comm-monoid-add*) *setsum-Un-disjoint*:  $\text{finite } A \implies \text{finite } B$   
 $\implies A\ \text{Int } B = \{\} \implies \text{setsum } g\ (A\ \text{Un } B) = \text{setsum } g\ A + \text{setsum } g\ B$   
 ⟨proof⟩

**lemma** *setsum-mono-zero-left*:  
 assumes  $fT$ :  $\text{finite } T$  and  $ST$ :  $S \subseteq T$   
 and  $z$ :  $\forall i \in T - S. f\ i = 0$   
 shows  $\text{setsum } f\ S = \text{setsum } f\ T$   
 ⟨proof⟩

**lemma** *setsum-mono-zero-right*:  
 $\text{finite } T \implies S \subseteq T \implies \forall i \in T - S. f\ i = 0 \implies \text{setsum } f\ T = \text{setsum } f\ S$   
 ⟨proof⟩

**lemma** *setsum-mono-zero-cong-left*:  
 assumes  $fT$ :  $\text{finite } T$  and  $ST$ :  $S \subseteq T$   
 and  $z$ :  $\forall i \in T - S. g\ i = 0$   
 and  $fg$ :  $\bigwedge x. x \in S \implies f\ x = g\ x$   
 shows  $\text{setsum } f\ S = \text{setsum } g\ T$   
 ⟨proof⟩

**lemma** *setsum-mono-zero-cong-right*:  
 assumes  $fT$ :  $\text{finite } T$  and  $ST$ :  $S \subseteq T$   
 and  $z$ :  $\forall i \in T - S. f\ i = 0$   
 and  $fg$ :  $\bigwedge x. x \in S \implies f\ x = g\ x$   
 shows  $\text{setsum } f\ T = \text{setsum } g\ S$   
 ⟨proof⟩

**lemma** *setsum-delta*:

**assumes**  $fS$ : *finite*  $S$

**shows**  $\text{setsum } (\lambda k. \text{if } k=a \text{ then } b \ k \text{ else } 0) \ S = (\text{if } a \in S \text{ then } b \ a \text{ else } 0)$

$\langle \text{proof} \rangle$

**lemma** *setsum-delta'*:

**assumes**  $fS$ : *finite*  $S$  **shows**

$\text{setsum } (\lambda k. \text{if } a = k \text{ then } b \ k \text{ else } 0) \ S =$   
 $(\text{if } a \in S \text{ then } b \ a \text{ else } 0)$

$\langle \text{proof} \rangle$

**lemma** *setsum-restrict-set*:

**assumes**  $fA$ : *finite*  $A$

**shows**  $\text{setsum } f \ (A \cap B) = \text{setsum } (\lambda x. \text{if } x \in B \text{ then } f \ x \text{ else } 0) \ A$

$\langle \text{proof} \rangle$

**lemma** *setsum-cases*:

**assumes**  $fA$ : *finite*  $A$

**shows**  $\text{setsum } (\lambda x. \text{if } P \ x \text{ then } f \ x \text{ else } g \ x) \ A =$

$\text{setsum } f \ (A \cap \{x. P \ x\}) + \text{setsum } g \ (A \cap - \{x. P \ x\})$

$\langle \text{proof} \rangle$

**lemma** (*in comm-monoid-add*) *setsum-UN-disjoint*:

**assumes** *finite*  $I$  **and**  $\text{ALL } i:I. \text{finite } (A \ i)$

**and**  $\text{ALL } i:I. \text{ALL } j:I. i \neq j \longrightarrow A \ i \ \text{Int } A \ j = \{\}$

**shows**  $\text{setsum } f \ (\text{UNION } I \ A) = (\sum i \in I. \text{setsum } f \ (A \ i))$

$\langle \text{proof} \rangle$

No need to assume that  $C$  is finite. If infinite, the rhs is directly 0, and  $\bigcup C$  is also infinite, hence the lhs is also 0.

**lemma** *setsum-Union-disjoint*:

$\llbracket (\text{ALL } A:C. \text{finite } A);$

$(\text{ALL } A:C. \text{ALL } B:C. A \neq B \longrightarrow A \ \text{Int } B = \{\}) \rrbracket$

$\implies \text{setsum } f \ (\text{Union } C) = \text{setsum } (\text{setsum } f) \ C$

$\langle \text{proof} \rangle$

**lemma** (*in comm-monoid-add*) *setsum-Sigma*:

**assumes** *finite*  $A$  **and**  $\text{ALL } x:A. \text{finite } (B \ x)$

**shows**  $(\sum x \in A. (\sum y \in B \ x. f \ x \ y)) = (\sum (x,y) \in (\text{SIGMA } x:A. B \ x). f \ x \ y)$

$\langle \text{proof} \rangle$

Here we can eliminate the finiteness assumptions, by cases.

**lemma** *setsum-cartesian-product*:

$(\sum x \in A. (\sum y \in B. f \ x \ y)) = (\sum (x,y) \in A \lt * \gt B. f \ x \ y)$

$\langle \text{proof} \rangle$

**lemma** (in *comm-monoid-add*) *setsum-addf*:  $\text{setsum } (\%x. f\ x + g\ x)\ A = (\text{setsum } f\ A + \text{setsum } g\ A)$   
 ⟨proof⟩

### 28.2.1 Properties in more restricted classes of structures

**lemma** *setsum-SucD*:  $\text{setsum } f\ A = \text{Suc } n \implies \exists a:A. 0 < f\ a$   
 ⟨proof⟩

**lemma** *setsum-eq-0-iff* [*simp*]:  
 $\text{finite } F \implies (\text{setsum } f\ F = 0) = (\forall a:F. f\ a = (0::\text{nat}))$   
 ⟨proof⟩

**lemma** *setsum-eq-Suc0-iff*:  $\text{finite } A \implies$   
 $(\text{setsum } f\ A = \text{Suc } 0) = (\exists a:A. f\ a = \text{Suc } 0 \ \& \ (\forall b:A. a \neq b \implies f\ b = 0))$   
 ⟨proof⟩

**lemmas** *setsum-eq-1-iff* = *setsum-eq-Suc0-iff* [*simplified One-nat-def* [*symmetric*]]

**lemma** *setsum-Un-nat*:  $\text{finite } A \implies \text{finite } B \implies$   
 $(\text{setsum } f\ (A \cup B) :: \text{nat}) = \text{setsum } f\ A + \text{setsum } f\ B - \text{setsum } f\ (A \cap B)$   
 — For the natural numbers, we have subtraction.  
 ⟨proof⟩

**lemma** *setsum-Un*:  $\text{finite } A \implies \text{finite } B \implies$   
 $(\text{setsum } f\ (A \cup B) :: 'a :: \text{ab-group-add}) =$   
 $\text{setsum } f\ A + \text{setsum } f\ B - \text{setsum } f\ (A \cap B)$   
 ⟨proof⟩

**lemma** (in *comm-monoid-add*) *setsum-eq-general-reverses*:  
**assumes** *fS*:  $\text{finite } S$  **and** *fT*:  $\text{finite } T$   
**and** *kh*:  $\bigwedge y. y \in T \implies k\ y \in S \wedge h\ (k\ y) = y$   
**and** *hk*:  $\bigwedge x. x \in S \implies h\ x \in T \wedge k\ (h\ x) = x \wedge g\ (h\ x) = f\ x$   
**shows**  $\text{setsum } f\ S = \text{setsum } g\ T$   
 ⟨proof⟩

**lemma** (in *comm-monoid-add*) *setsum-Un-zero*:  
**assumes** *fS*:  $\text{finite } S$  **and** *fT*:  $\text{finite } T$   
**and** *I0*:  $\forall x \in S \cap T. f\ x = 0$   
**shows**  $\text{setsum } f\ (S \cup T) = \text{setsum } f\ S + \text{setsum } f\ T$   
 ⟨proof⟩

**lemma** *setsum-UNION-zero*:  
**assumes** *fS*:  $\text{finite } S$  **and** *fSS*:  $\forall T \in S. \text{finite } T$   
**and** *f0*:  $\bigwedge T1\ T2\ x. T1 \in S \implies T2 \in S \implies T1 \neq T2 \implies x \in T1 \implies x \in T2$   
 $\implies f\ x = 0$   
**shows**  $\text{setsum } f\ (\bigcup S) = \text{setsum } (\lambda T. \text{setsum } f\ T)\ S$   
 ⟨proof⟩

**lemma** *setsum-diff1-nat*:  $(\text{setsum } f \ (A - \{a\}) :: \text{nat}) =$   
 $(\text{if } a:A \text{ then } \text{setsum } f \ A - f \ a \text{ else } \text{setsum } f \ A)$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-diff1*:  $\text{finite } A \implies$   
 $(\text{setsum } f \ (A - \{a\}) :: ('a::\text{ab-group-add})) =$   
 $(\text{if } a:A \text{ then } \text{setsum } f \ A - f \ a \text{ else } \text{setsum } f \ A)$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-diff1 '[rule-format]*:  
 $\text{finite } A \implies a \in A \longrightarrow (\sum x \in A. f \ x) = f \ a + (\sum x \in (A - \{a\}). f \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-diff1-ring*: **assumes**  $\text{finite } A \ a \in A$   
**shows**  $\text{setsum } f \ (A - \{a\}) = \text{setsum } f \ A - (f \ a :: 'a::\text{ring})$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-diff-nat*:  
**assumes**  $\text{finite } B \text{ and } B \subseteq A$   
**shows**  $(\text{setsum } f \ (A - B) :: \text{nat}) = (\text{setsum } f \ A) - (\text{setsum } f \ B)$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-diff*:  
**assumes**  $le: \text{finite } A \ B \subseteq A$   
**shows**  $\text{setsum } f \ (A - B) = \text{setsum } f \ A - ((\text{setsum } f \ B) :: ('a::\text{ab-group-add}))$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-mono*:  
**assumes**  $le: \bigwedge i. i \in K \implies f \ (i :: 'a) \leq ((g \ i) :: ('b::\{\text{comm-monoid-add}, \text{ordered-ab-semigroup-add}\}))$   
**shows**  $(\sum i \in K. f \ i) \leq (\sum i \in K. g \ i)$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-strict-mono*:  
**fixes**  $f :: 'a \Rightarrow 'b::\{\text{ordered-cancel-ab-semigroup-add}, \text{comm-monoid-add}\}$   
**assumes**  $\text{finite } A \ A \neq \{\}$   
**and**  $!!x. x:A \implies f \ x < g \ x$   
**shows**  $\text{setsum } f \ A < \text{setsum } g \ A$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-negf*:  
 $\text{setsum } (\%x. - (f \ x) :: 'a::\text{ab-group-add}) \ A = - \text{setsum } f \ A$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-subtractf*:  
 $\text{setsum } (\%x. ((f \ x) :: 'a::\text{ab-group-add}) - g \ x) \ A =$   
 $\text{setsum } f \ A - \text{setsum } g \ A$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-nonneg*:

**assumes** *nn*:  $\forall x \in A. (0 :: 'a :: \{\text{ordered-ab-semigroup-add}, \text{comm-monoid-add}\}) \leq f\ x$   
**shows**  $0 \leq \text{setsum } f\ A$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-nonpos*:

**assumes** *np*:  $\forall x \in A. f\ x \leq (0 :: 'a :: \{\text{ordered-ab-semigroup-add}, \text{comm-monoid-add}\})$   
**shows**  $\text{setsum } f\ A \leq 0$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-nonneg-leq-bound*:

**fixes**  $f :: 'a \Rightarrow 'b :: \{\text{ordered-ab-group-add}\}$   
**assumes** *finite s*  $\bigwedge i. i \in s \implies f\ i \geq 0$   $(\sum i \in s. f\ i) = B$   $i \in s$   
**shows**  $f\ i \leq B$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-nonneg-0*:

**fixes**  $f :: 'a \Rightarrow 'b :: \{\text{ordered-ab-group-add}\}$   
**assumes** *finite s* **and** *pos*:  $\bigwedge i. i \in s \implies f\ i \geq 0$   
**and**  $(\sum i \in s. f\ i) = 0$  **and** *i*:  $i \in s$   
**shows**  $f\ i = 0$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-mono2*:

**fixes**  $f :: 'a \Rightarrow 'b :: \text{ordered-comm-monoid-add}$   
**assumes** *fin*: *finite B* **and** *sub*:  $A \subseteq B$  **and** *nn*:  $\bigwedge b. b \in B - A \implies 0 \leq f\ b$   
**shows**  $\text{setsum } f\ A \leq \text{setsum } f\ B$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-mono3*: *finite B ==> A <= B ==>*

*ALL x: B - A.*  
 $0 \leq ((f\ x) :: 'a :: \{\text{comm-monoid-add}, \text{ordered-ab-semigroup-add}\}) \implies$   
 $\text{setsum } f\ A \leq \text{setsum } f\ B$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-right-distrib*:

**fixes**  $f :: 'a \Rightarrow ('b :: \text{semiring-0})$   
**shows**  $r * \text{setsum } f\ A = \text{setsum } (\%n. r * f\ n)\ A$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-left-distrib*:

$\text{setsum } f\ A * (r :: 'a :: \text{semiring-0}) = (\sum n \in A. f\ n * r)$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-divide-distrib*:

$\text{setsum } f\ A / (r :: 'a :: \text{field}) = (\sum n \in A. f\ n / r)$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-abs[iff]*:  
**fixes**  $f :: 'a \Rightarrow ('b::\text{ordered-ab-group-add-abs})$   
**shows**  $\text{abs } (\text{setsum } f \ A) \leq \text{setsum } (\%i. \text{abs}(f \ i)) \ A$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-abs-ge-zero[iff]*:  
**fixes**  $f :: 'a \Rightarrow ('b::\text{ordered-ab-group-add-abs})$   
**shows**  $0 \leq \text{setsum } (\%i. \text{abs}(f \ i)) \ A$   
 $\langle \text{proof} \rangle$

**lemma** *abs-setsum-abs[simp]*:  
**fixes**  $f :: 'a \Rightarrow ('b::\text{ordered-ab-group-add-abs})$   
**shows**  $\text{abs } (\sum_{a \in A. \text{abs}(f \ a)}) = (\sum_{a \in A. \text{abs}(f \ a)})$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-Plus*:  
**fixes**  $A :: 'a \text{ set}$  **and**  $B :: 'b \text{ set}$   
**assumes** *fin*:  $\text{finite } A \ \text{finite } B$   
**shows**  $\text{setsum } f \ (A <+> B) = \text{setsum } (f \circ \text{Inl}) \ A + \text{setsum } (f \circ \text{Inr}) \ B$   
 $\langle \text{proof} \rangle$

Commuting outer and inner summation

**lemma** *setsum-commute*:  
 $(\sum_{i \in A. \sum_{j \in B. f \ i \ j}) = (\sum_{j \in B. \sum_{i \in A. f \ i \ j})$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-product*:  
**fixes**  $f :: 'a \Rightarrow ('b::\text{semiring-0})$   
**shows**  $\text{setsum } f \ A * \text{setsum } g \ B = (\sum_{i \in A. \sum_{j \in B. f \ i * g \ j})$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-mult-setsum-if-inj*:  
**fixes**  $f :: 'a \Rightarrow ('b::\text{semiring-0})$   
**shows**  $\text{inj-on } (\% (a,b). f \ a * g \ b) \ (A \times B) \implies$   
 $\text{setsum } f \ A * \text{setsum } g \ B = \text{setsum } \text{id } \{f \ a * g \ b \mid a \in A \ \& \ b \in B\}$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-constant [simp]*:  $(\sum x \in A. y) = \text{of-nat}(\text{card } A) * y$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-bounded*:  
**assumes** *le*:  $\bigwedge i. i \in A \implies f \ i \leq (K :: 'a::\{\text{semiring-1}, \text{ordered-ab-semigroup-add}\})$   
**shows**  $\text{setsum } f \ A \leq \text{of-nat}(\text{card } A) * K$   
 $\langle \text{proof} \rangle$

## 28.2.2 Cardinality as special case of *setsum*

**lemma** *card-eq-setsum*:

$card\ A = setsum\ (\lambda x. 1)\ A$   
 $\langle proof \rangle$

**lemma** *card-UN-disjoint*:

$finite\ I ==> (ALL\ i:I. finite\ (A\ i)) ==>$   
 $(ALL\ i:I. ALL\ j:I. i \neq j \longrightarrow A\ i\ Int\ A\ j = \{\})$   
 $==> card\ (UNION\ I\ A) = (\sum\ i \in I. card(A\ i))$   
 $\langle proof \rangle$

**lemma** *card-Union-disjoint*:

$finite\ C ==> (ALL\ A:C. finite\ A) ==>$   
 $(ALL\ A:C. ALL\ B:C. A \neq B \longrightarrow A\ Int\ B = \{\})$   
 $==> card\ (Union\ C) = setsum\ card\ C$   
 $\langle proof \rangle$

The image of a finite set can be expressed using *fold-image*.

**lemma** *image-eq-fold-image*:

$finite\ A ==> f\ ` A = fold-image\ (op\ Un)\ (\%x. \{f\ x\})\ \{\}\ A$   
 $\langle proof \rangle$

### 28.2.3 Cardinality of products

**lemma** *card-SigmaI [simp]*:

$\llbracket finite\ A; ALL\ a:A. finite\ (B\ a) \rrbracket$   
 $\implies card\ (SIGMA\ x: A. B\ x) = (\sum\ a \in A. card\ (B\ a))$   
 $\langle proof \rangle$

**lemma** *card-cartesian-product*:  $card\ (A\ <*>\ B) = card(A) * card(B)$   
 $\langle proof \rangle$

**lemma** *card-cartesian-product-singleton*:  $card(\{x\}\ <*>\ A) = card(A)$   
 $\langle proof \rangle$

### 28.3 Generalized product over a set

**definition** (*in comm-monoid-mult*) *setprod* ::  $('b \Rightarrow 'a) \Rightarrow 'b\ set \Rightarrow 'a$  **where**  
 $setprod\ f\ A = (if\ finite\ A\ then\ fold-image\ (op\ *)\ f\ 1\ A\ else\ 1)$

**sublocale** *comm-monoid-mult* < *setprod!*: *comm-monoid-big op \* 1 setprod*  $\langle proof \rangle$

**abbreviation**

$Setprod\ (\prod - [1000]\ 999)$  **where**  
 $\prod A == setprod\ (\%x. x)\ A$

**syntax**

$-setprod :: ptnr \Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b::comm-monoid-mult\ ((3PROD\ :-.\ -)$   
 $[0, 51, 10]\ 10)$



**syntax** (*xsymbols*)  
 $\text{-setprod} :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b::\text{comm-monoid-mult} \ ((\exists \prod - \in -. -) [0, 51, 10] 10)$   
**syntax** (*HTML output*)  
 $\text{-setprod} :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b::\text{comm-monoid-mult} \ ((\exists \prod - \in -. -) [0, 51, 10] 10)$

**translations** — Beware of argument permutation!

$\text{PROD } i:A. b == \text{CONST setprod } (\%i. b) A$   
 $\prod i \in A. b == \text{CONST setprod } (\%i. b) A$

Instead of  $\prod x \in \{x. P\}. e$  we introduce the shorter  $\prod x | P. e$ .

**syntax**  
 $\text{-qsetprod} :: \text{pttrn} \Rightarrow \text{bool} \Rightarrow 'a \Rightarrow 'a \ ((\exists \text{PROD} - | / -. / -) [0, 0, 10] 10)$   
**syntax** (*xsymbols*)  
 $\text{-qsetprod} :: \text{pttrn} \Rightarrow \text{bool} \Rightarrow 'a \Rightarrow 'a \ ((\exists \prod - | (-). / -) [0, 0, 10] 10)$   
**syntax** (*HTML output*)  
 $\text{-qsetprod} :: \text{pttrn} \Rightarrow \text{bool} \Rightarrow 'a \Rightarrow 'a \ ((\exists \prod - | (-). / -) [0, 0, 10] 10)$

**translations**

$\text{PROD } x | P. t \Rightarrow \text{CONST setprod } (\%x. t) \{x. P\}$   
 $\prod x | P. t \Rightarrow \text{CONST setprod } (\%x. t) \{x. P\}$

**lemma** *setprod-empty*:  $\text{setprod } f \ \{\} = 1$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-insert*:  $[\text{finite } A; a \notin A] \Rightarrow$   
 $\text{setprod } f \ (\text{insert } a \ A) = f \ a * \text{setprod } f \ A$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-infinite*:  $\sim \text{finite } A \Rightarrow \text{setprod } f \ A = 1$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-reindex*:  
 $\text{inj-on } f \ B \Rightarrow \text{setprod } h \ (f \ ' B) = \text{setprod } (h \circ f) \ B$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-reindex-id*:  $\text{inj-on } f \ B \Rightarrow \text{setprod } f \ B = \text{setprod id } (f \ ' B)$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-cong*:  
 $A = B \Rightarrow (!x. x:B \Rightarrow f \ x = g \ x) \Rightarrow \text{setprod } f \ A = \text{setprod } g \ B$   
 $\langle \text{proof} \rangle$

**lemma** *strong-setprod-cong*[*cong*]:  
 $A = B \Rightarrow (!x. x:B = \text{simp} \Rightarrow f \ x = g \ x) \Rightarrow \text{setprod } f \ A = \text{setprod } g \ B$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-reindex-cong*:  $\text{inj-on } f \ A \Rightarrow$

$B = f \circ A \implies g = h \circ f \implies \text{setprod } h \ B = \text{setprod } g \ A$   
 $\langle \text{proof} \rangle$

**lemma** *strong-setprod-reindex-cong*: **assumes**  $i$ : *inj-on*  $f \ A$   
**and**  $B$ :  $B = f \circ A$  **and**  $eq$ :  $\bigwedge x. x \in A \implies g \ x = (h \circ f) \ x$   
**shows**  $\text{setprod } h \ B = \text{setprod } g \ A$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-Un-one*:  
**assumes**  $fS$ : *finite*  $S$  **and**  $fT$ : *finite*  $T$   
**and**  $I0$ :  $\forall x \in S \cap T. f \ x = 1$   
**shows**  $\text{setprod } f \ (S \cup T) = \text{setprod } f \ S * \text{setprod } f \ T$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-1*:  $\text{setprod } (\%i. 1) \ A = 1$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-1'*:  $\text{ALL } a:F. f \ a = 1 \implies \text{setprod } f \ F = 1$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-Un-Int*: *finite*  $A \implies \text{finite } B$   
 $\implies \text{setprod } g \ (A \cup B) * \text{setprod } g \ (A \cap B) = \text{setprod } g \ A * \text{setprod } g \ B$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-Un-disjoint*: *finite*  $A \implies \text{finite } B$   
 $\implies A \cap B = \{\} \implies \text{setprod } g \ (A \cup B) = \text{setprod } g \ A * \text{setprod } g \ B$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-mono-one-left*:  
**assumes**  $fT$ : *finite*  $T$  **and**  $ST$ :  $S \subseteq T$   
**and**  $z$ :  $\forall i \in T - S. f \ i = 1$   
**shows**  $\text{setprod } f \ S = \text{setprod } f \ T$   
 $\langle \text{proof} \rangle$

**lemmas** *setprod-mono-one-right* = *setprod-mono-one-left* [THEN *sym*]

**lemma** *setprod-delta*:  
**assumes**  $fS$ : *finite*  $S$   
**shows**  $\text{setprod } (\lambda k. \text{if } k=a \text{ then } b \ k \text{ else } 1) \ S = (\text{if } a \in S \text{ then } b \ a \text{ else } 1)$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-delta'*:  
**assumes**  $fS$ : *finite*  $S$  **shows**  
 $\text{setprod } (\lambda k. \text{if } a = k \text{ then } b \ k \text{ else } 1) \ S =$   
 $(\text{if } a \in S \text{ then } b \ a \text{ else } 1)$   
 $\langle \text{proof} \rangle$

**lemma** *setprod-UN-disjoint*:

$$\begin{aligned} & \text{finite } I \implies (\text{ALL } i:I. \text{finite } (A \ i)) \implies \\ & (\text{ALL } i:I. \text{ALL } j:I. i \neq j \longrightarrow A \ i \text{ Int } A \ j = \{\}) \implies \\ & \text{setprod } f \ (\text{UNION } I \ A) = \text{setprod } (\%i. \text{setprod } f \ (A \ i)) \ I \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *setprod-Union-disjoint*:

$$\begin{aligned} & [| (\text{ALL } A:C. \text{finite } A); \\ & (\text{ALL } A:C. \text{ALL } B:C. A \neq B \longrightarrow A \text{ Int } B = \{\}) |] \\ & \implies \text{setprod } f \ (\text{Union } C) = \text{setprod } (\text{setprod } f) \ C \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *setprod-Sigma*:  $\text{finite } A \implies \text{ALL } x:A. \text{finite } (B \ x) \implies$

$$\begin{aligned} & (\prod_{x \in A}. (\prod_{y \in B \ x}. f \ x \ y)) = \\ & (\prod_{(x,y) \in (\text{SIGMA } x:A. B \ x)}. f \ x \ y) \\ & \langle \text{proof} \rangle \end{aligned}$$

Here we can eliminate the finiteness assumptions, by cases.

**lemma** *setprod-cartesian-product*:

$$(\prod_{x \in A}. (\prod_{y \in B}. f \ x \ y)) = (\prod_{(x,y) \in (A \ltimes B)}. f \ x \ y)$$

$\langle \text{proof} \rangle$

**lemma** *setprod-timesf*:

$$\text{setprod } (\%x. f \ x * g \ x) \ A = (\text{setprod } f \ A * \text{setprod } g \ A)$$

$\langle \text{proof} \rangle$

### 28.3.1 Properties in more restricted classes of structures

**lemma** *setprod-eq-1-iff* [simp]:

$$\text{finite } F \implies (\text{setprod } f \ F = 1) = (\text{ALL } a:F. f \ a = (1::\text{nat}))$$

$\langle \text{proof} \rangle$

**lemma** *setprod-zero*:

$$\text{finite } A \implies \text{EX } x: A. f \ x = (0::'a::\text{comm-semiring-1}) \implies \text{setprod } f \ A = 0$$

$\langle \text{proof} \rangle$

**lemma** *setprod-nonneg* [rule-format]:

$$(\text{ALL } x: A. (0::'a::\text{linordered-semidom}) \leq f \ x) \longrightarrow 0 \leq \text{setprod } f \ A$$

$\langle \text{proof} \rangle$

**lemma** *setprod-pos* [rule-format]:  $(\text{ALL } x: A. (0::'a::\text{linordered-semidom}) < f \ x)$

$$\longrightarrow 0 < \text{setprod } f \ A$$

$\langle \text{proof} \rangle$

**lemma** *setprod-zero-iff* [simp]:  $\text{finite } A \implies$

$$\begin{aligned} & (\text{setprod } f \ A = (0::'a::\{\text{comm-semiring-1}, \text{no-zero-divisors}\})) = \\ & (\text{EX } x: A. f \ x = 0) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *setprod-pos-nat*:

$finite\ S \implies (ALL\ x : S. f\ x > (0::nat)) \implies setprod\ f\ S > 0$   
 $\langle proof \rangle$

**lemma** *setprod-pos-nat-iff* [simp]:

$finite\ S \implies (setprod\ f\ S > 0) = (ALL\ x : S. f\ x > (0::nat))$   
 $\langle proof \rangle$

**lemma** *setprod-Un*:  $finite\ A \implies finite\ B \implies (ALL\ x: A\ Int\ B. f\ x \neq 0) \implies$

$(setprod\ f\ (A\ Un\ B) :: 'a :: \{field\})$   
 $= setprod\ f\ A * setprod\ f\ B / setprod\ f\ (A\ Int\ B)$   
 $\langle proof \rangle$

**lemma** *setprod-diff1*:  $finite\ A \implies f\ a \neq 0 \implies$

$(setprod\ f\ (A - \{a\}) :: 'a :: \{field\}) =$   
 $(if\ a:A\ then\ setprod\ f\ A / f\ a\ else\ setprod\ f\ A)$   
 $\langle proof \rangle$

**lemma** *setprod-inversef*:

**fixes**  $f :: 'b \Rightarrow 'a :: field-inverse-zero$   
**shows**  $finite\ A \implies setprod\ (inverse \circ f)\ A = inverse\ (setprod\ f\ A)$   
 $\langle proof \rangle$

**lemma** *setprod-dividef*:

**fixes**  $f :: 'b \Rightarrow 'a :: field-inverse-zero$   
**shows**  $finite\ A$   
 $\implies setprod\ (\%x. f\ x / g\ x)\ A = setprod\ f\ A / setprod\ g\ A$   
 $\langle proof \rangle$

**lemma** *setprod-dvd-setprod* [rule-format]:

$(ALL\ x : A. f\ x\ dvd\ g\ x) \longrightarrow setprod\ f\ A\ dvd\ setprod\ g\ A$   
 $\langle proof \rangle$

**lemma** *setprod-dvd-setprod-subset*:

$finite\ B \implies A \leq B \implies setprod\ f\ A\ dvd\ setprod\ f\ B$   
 $\langle proof \rangle$

**lemma** *setprod-dvd-setprod-subset2*:

$finite\ B \implies A \leq B \implies ALL\ x : A. (f\ x :: 'a :: comm-semiring-1)\ dvd\ g\ x \implies$   
 $setprod\ f\ A\ dvd\ setprod\ g\ B$   
 $\langle proof \rangle$

**lemma** *dvd-setprod*:  $finite\ A \implies i:A \implies$

$(f\ i :: 'a :: comm-semiring-1)\ dvd\ setprod\ f\ A$   
 $\langle proof \rangle$

**lemma** *dvd-setsum* [rule-format]:  $(ALL\ i : A. d\ dvd\ f\ i) \longrightarrow$

$(d :: 'a :: comm-semiring-1)\ dvd\ (SUM\ x : A. f\ x)$   
 $\langle proof \rangle$

**lemma** *setprod-mono*:

**fixes**  $f :: 'a \Rightarrow 'b :: \text{linordered-semidom}$

**assumes**  $\forall i \in A. 0 \leq f\ i \wedge f\ i \leq g\ i$

**shows**  $\text{setprod}\ f\ A \leq \text{setprod}\ g\ A$

$\langle \text{proof} \rangle$

**lemma** *abs-setprod*:

**fixes**  $f :: 'a \Rightarrow 'b :: \{\text{linordered-field}, \text{abs}\}$

**shows**  $\text{abs}\ (\text{setprod}\ f\ A) = \text{setprod}\ (\lambda x. \text{abs}\ (f\ x))\ A$

$\langle \text{proof} \rangle$

**lemma** *setprod-constant*:  $\text{finite}\ A \implies (\prod x \in A. (y :: 'a :: \{\text{comm-monoid-mult}\}))$   
 $= y^{\text{card}\ A}$

$\langle \text{proof} \rangle$

**lemma** *setprod-gen-delta*:

**assumes**  $fS: \text{finite}\ S$

**shows**  $\text{setprod}\ (\lambda k. \text{if}\ k=a \text{ then } b\ k \text{ else } c)\ S = (\text{if}\ a \in S \text{ then } (b\ a :: 'a :: \{\text{comm-monoid-mult}\})$   
 $*\ c^{\text{card}\ S - 1} \text{ else } c^{\text{card}\ S})$

$\langle \text{proof} \rangle$

## 28.4 Versions of *inf* and *sup* on non-empty sets

**no-notation** *times* (**infixl** \* 70)

**no-notation** *Groups.one* (1)

**locale** *semilattice-big* = *semilattice* +

**fixes**  $F :: 'a\ \text{set} \Rightarrow 'a$

**assumes**  $F\text{-eq}: \text{finite}\ A \implies F\ A = \text{fold1}\ (op\ *)\ A$

**sublocale** *semilattice-big* < *folding-one-idem*  $\langle \text{proof} \rangle$

**notation** *times* (**infixl** \* 70)

**notation** *Groups.one* (1)

**context** *lattice*

**begin**

**definition** *Inf-fin* ::  $'a\ \text{set} \Rightarrow 'a\ (\prod_{fin} [900]\ 900)$  **where**

$\text{Inf-fin} = \text{fold1}\ \text{inf}$

**definition** *Sup-fin* ::  $'a\ \text{set} \Rightarrow 'a\ (\sqcup_{fin} [900]\ 900)$  **where**

$\text{Sup-fin} = \text{fold1}\ \text{sup}$

**end**

**sublocale** *lattice* < *Inf-fin*!: *semilattice-big inf Inf-fin*  $\langle \text{proof} \rangle$

**sublocale** *lattice* < *Sup-fin*!: *semilattice-big sup Sup-fin*  $\langle \text{proof} \rangle$

**context** *semilattice-inf*  
**begin**

**lemma** *ab-semigroup-idem-mult-inf*:  
*class.ab-semigroup-idem-mult inf*  
 $\langle \text{proof} \rangle$

**lemma** *fold-inf-insert[simp]*: *finite A*  $\implies \text{fold inf } b (\text{insert } a A) = \text{inf } a (\text{fold inf } b A)$   
 $\langle \text{proof} \rangle$

**lemma** *inf-le-fold-inf*: *finite A*  $\implies \text{ALL } a:A. b \leq a \implies \text{inf } b c \leq \text{fold inf } c A$   
 $\langle \text{proof} \rangle$

**lemma** *fold-inf-le-inf*: *finite A*  $\implies a \in A \implies \text{fold inf } b A \leq \text{inf } a b$   
 $\langle \text{proof} \rangle$

**lemma** *below-fold1-iff*:  
*assumes finite A A  $\neq \{\}$*   
*shows*  $x \leq \text{fold1 inf } A \longleftrightarrow (\forall a \in A. x \leq a)$   
 $\langle \text{proof} \rangle$

**lemma** *fold1-belowI*:  
*assumes finite A*  
*and*  $a \in A$   
*shows*  $\text{fold1 inf } A \leq a$   
 $\langle \text{proof} \rangle$

**end**

**context** *semilattice-sup*  
**begin**

**lemma** *ab-semigroup-idem-mult-sup*: *class.ab-semigroup-idem-mult sup*  
 $\langle \text{proof} \rangle$

**lemma** *fold-sup-insert[simp]*: *finite A*  $\implies \text{fold sup } b (\text{insert } a A) = \text{sup } a (\text{fold sup } b A)$   
 $\langle \text{proof} \rangle$

**lemma** *fold-sup-le-sup*: *finite A*  $\implies \text{ALL } a:A. a \leq b \implies \text{fold sup } c A \leq \text{sup } b c$   
 $\langle \text{proof} \rangle$

**lemma** *sup-le-fold-sup*: *finite A*  $\implies a \in A \implies \text{sup } a b \leq \text{fold sup } b A$   
 $\langle \text{proof} \rangle$

**end**

**context** *lattice*

**begin**

**lemma** *Inf-le-Sup* [*simp*]:  $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \bigcap_{fin} A \leq \bigcup_{fin} A$   
 $\langle \text{proof} \rangle$

**lemma** *sup-Inf-absorb* [*simp*]:  
 $\text{finite } A \implies a \in A \implies \text{sup } a \ (\bigcap_{fin} A) = a$   
 $\langle \text{proof} \rangle$

**lemma** *inf-Sup-absorb* [*simp*]:  
 $\text{finite } A \implies a \in A \implies \text{inf } a \ (\bigcup_{fin} A) = a$   
 $\langle \text{proof} \rangle$

**end**

**context** *distrib-lattice*

**begin**

**lemma** *sup-Inf1-distrib*:  
**assumes** *finite A*  
**and**  $A \neq \{\}$   
**shows**  $\text{sup } x \ (\bigcap_{fin} A) = \bigcap_{fin} \{\text{sup } x \ a \mid a. a \in A\}$   
 $\langle \text{proof} \rangle$

**lemma** *sup-Inf2-distrib*:  
**assumes** *A: finite A A ≠ {} and B: finite B B ≠ {}*  
**shows**  $\text{sup} \ (\bigcap_{fin} A) \ (\bigcap_{fin} B) = \bigcap_{fin} \{\text{sup } a \ b \mid a \ b. a \in A \wedge b \in B\}$   
 $\langle \text{proof} \rangle$

**lemma** *inf-Sup1-distrib*:  
**assumes** *finite A and A ≠ {}*  
**shows**  $\text{inf } x \ (\bigcup_{fin} A) = \bigcup_{fin} \{\text{inf } x \ a \mid a. a \in A\}$   
 $\langle \text{proof} \rangle$

**lemma** *inf-Sup2-distrib*:  
**assumes** *A: finite A A ≠ {} and B: finite B B ≠ {}*  
**shows**  $\text{inf} \ (\bigcup_{fin} A) \ (\bigcup_{fin} B) = \bigcup_{fin} \{\text{inf } a \ b \mid a \ b. a \in A \wedge b \in B\}$   
 $\langle \text{proof} \rangle$

**end**

**context** *complete-lattice*

**begin**

**lemma** *Inf-fin-Inf*:  
**assumes** *finite A and A ≠ {}*  
**shows**  $\bigcap_{fin} A = \text{Inf } A$

$\langle \text{proof} \rangle$

**lemma** *Sup-fin-Sup*:

assumes *finite*  $A$  and  $A \neq \{\}$

shows  $\bigsqcup_{\text{fin}} A = \text{Sup } A$

$\langle \text{proof} \rangle$

**end**

## 28.5 Versions of *min* and *max* on non-empty sets

**definition** (in *linorder*)  $\text{Min} :: 'a \text{ set} \Rightarrow 'a$  **where**

$\text{Min} = \text{fold1 } \text{min}$

**definition** (in *linorder*)  $\text{Max} :: 'a \text{ set} \Rightarrow 'a$  **where**

$\text{Max} = \text{fold1 } \text{max}$

**sublocale** *linorder* <  $\text{Min}!$ : *semilattice-big min*  $\text{Min}$   $\langle \text{proof} \rangle$

**sublocale** *linorder* <  $\text{Max}!$ : *semilattice-big max*  $\text{Max}$   $\langle \text{proof} \rangle$

**context** *linorder*

**begin**

**lemmas**  $\text{Min-singleton} = \text{Min.singleton}$

**lemmas**  $\text{Max-singleton} = \text{Max.singleton}$

**lemma** *Min-insert*:

assumes *finite*  $A$  and  $A \neq \{\}$

shows  $\text{Min } (\text{insert } x \ A) = \text{min } x \ (\text{Min } A)$

$\langle \text{proof} \rangle$

**lemma** *Max-insert*:

assumes *finite*  $A$  and  $A \neq \{\}$

shows  $\text{Max } (\text{insert } x \ A) = \text{max } x \ (\text{Max } A)$

$\langle \text{proof} \rangle$

**lemma** *Min-Un*:

assumes *finite*  $A$  and  $A \neq \{\}$  and *finite*  $B$  and  $B \neq \{\}$

shows  $\text{Min } (A \cup B) = \text{min } (\text{Min } A) \ (\text{Min } B)$

$\langle \text{proof} \rangle$

**lemma** *Max-Un*:

assumes *finite*  $A$  and  $A \neq \{\}$  and *finite*  $B$  and  $B \neq \{\}$

shows  $\text{Max } (A \cup B) = \text{max } (\text{Max } A) \ (\text{Max } B)$

$\langle \text{proof} \rangle$

**lemma** *hom-Min-commute*:

assumes  $\bigwedge x \ y. \ h \ (\text{min } x \ y) = \text{min } (h \ x) \ (h \ y)$



**and** *finite*  $N$  **and**  $N \neq \{\}$   
**shows**  $h \text{ (Min } N) = \text{Min } (h \text{ ‘ } N)$   
 $\langle \text{proof} \rangle$

**lemma** *hom-Max-commute*:  
**assumes**  $\bigwedge x y. h \text{ (max } x y) = \text{max } (h x) (h y)$   
**and** *finite*  $N$  **and**  $N \neq \{\}$   
**shows**  $h \text{ (Max } N) = \text{Max } (h \text{ ‘ } N)$   
 $\langle \text{proof} \rangle$

**lemma** *ab-semigroup-idem-mult-min*:  
*class.ab-semigroup-idem-mult min*  
 $\langle \text{proof} \rangle$

**lemma** *ab-semigroup-idem-mult-max*:  
*class.ab-semigroup-idem-mult max*  
 $\langle \text{proof} \rangle$

**lemma** *max-lattice*:  
*class.semilattice-inf (op  $\geq$ ) (op  $>$ ) max*  
 $\langle \text{proof} \rangle$

**lemma** *dual-max*:  
*ord.max (op  $\geq$ ) = min*  
 $\langle \text{proof} \rangle$

**lemma** *dual-min*:  
*ord.min (op  $\geq$ ) = max*  
 $\langle \text{proof} \rangle$

**lemma** *strict-below-fold1-iff*:  
**assumes** *finite*  $A$  **and**  $A \neq \{\}$   
**shows**  $x < \text{fold1 min } A \longleftrightarrow (\forall a \in A. x < a)$   
 $\langle \text{proof} \rangle$

**lemma** *fold1-below-iff*:  
**assumes** *finite*  $A$  **and**  $A \neq \{\}$   
**shows**  $\text{fold1 min } A \leq x \longleftrightarrow (\exists a \in A. a \leq x)$   
 $\langle \text{proof} \rangle$

**lemma** *fold1-strict-below-iff*:  
**assumes** *finite*  $A$  **and**  $A \neq \{\}$   
**shows**  $\text{fold1 min } A < x \longleftrightarrow (\exists a \in A. a < x)$   
 $\langle \text{proof} \rangle$

**lemma** *fold1-antimono*:  
**assumes**  $A \neq \{\}$  **and**  $A \subseteq B$  **and** *finite*  $B$   
**shows**  $\text{fold1 min } B \leq \text{fold1 min } A$   
 $\langle \text{proof} \rangle$

**lemma** *Min-in* [*simp*]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $\text{Min } A \in A$   
 $\langle \text{proof} \rangle$

**lemma** *Max-in* [*simp*]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $\text{Max } A \in A$   
 $\langle \text{proof} \rangle$

**lemma** *Min-le* [*simp*]:  
 assumes *finite A* and  $x \in A$   
 shows  $\text{Min } A \leq x$   
 $\langle \text{proof} \rangle$

**lemma** *Max-ge* [*simp*]:  
 assumes *finite A* and  $x \in A$   
 shows  $x \leq \text{Max } A$   
 $\langle \text{proof} \rangle$

**lemma** *Min-ge-iff* [*simp*, *no-atp*]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $x \leq \text{Min } A \longleftrightarrow (\forall a \in A. x \leq a)$   
 $\langle \text{proof} \rangle$

**lemma** *Max-le-iff* [*simp*, *no-atp*]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $\text{Max } A \leq x \longleftrightarrow (\forall a \in A. a \leq x)$   
 $\langle \text{proof} \rangle$

**lemma** *Min-gr-iff* [*simp*, *no-atp*]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $x < \text{Min } A \longleftrightarrow (\forall a \in A. x < a)$   
 $\langle \text{proof} \rangle$

**lemma** *Max-less-iff* [*simp*, *no-atp*]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $\text{Max } A < x \longleftrightarrow (\forall a \in A. a < x)$   
 $\langle \text{proof} \rangle$

**lemma** *Min-le-iff* [*no-atp*]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $\text{Min } A \leq x \longleftrightarrow (\exists a \in A. a \leq x)$   
 $\langle \text{proof} \rangle$

**lemma** *Max-ge-iff* [*no-atp*]:  
 assumes *finite A* and  $A \neq \{\}$   
 shows  $x \leq \text{Max } A \longleftrightarrow (\exists a \in A. x \leq a)$

$\langle \text{proof} \rangle$

**lemma** *Min-less-iff* [no-atp]:  
 assumes *finite*  $A$  and  $A \neq \{\}$   
 shows  $\text{Min } A < x \iff (\exists a \in A. a < x)$   
 $\langle \text{proof} \rangle$

**lemma** *Max-gr-iff* [no-atp]:  
 assumes *finite*  $A$  and  $A \neq \{\}$   
 shows  $x < \text{Max } A \iff (\exists a \in A. x < a)$   
 $\langle \text{proof} \rangle$

**lemma** *Min-eqI*:  
 assumes *finite*  $A$   
 assumes  $\bigwedge y. y \in A \implies y \geq x$   
 and  $x \in A$   
 shows  $\text{Min } A = x$   
 $\langle \text{proof} \rangle$

**lemma** *Max-eqI*:  
 assumes *finite*  $A$   
 assumes  $\bigwedge y. y \in A \implies y \leq x$   
 and  $x \in A$   
 shows  $\text{Max } A = x$   
 $\langle \text{proof} \rangle$

**lemma** *Min-antimono*:  
 assumes  $M \subseteq N$  and  $M \neq \{\}$  and *finite*  $N$   
 shows  $\text{Min } N \leq \text{Min } M$   
 $\langle \text{proof} \rangle$

**lemma** *Max-mono*:  
 assumes  $M \subseteq N$  and  $M \neq \{\}$  and *finite*  $N$   
 shows  $\text{Max } M \leq \text{Max } N$   
 $\langle \text{proof} \rangle$

**lemma** *finite-linorder-max-induct*[consumes 1, case-names empty insert]:  
 assumes *fin*: *finite*  $A$   
 and *empty*:  $P \ \{\}$   
 and *insert*:  $(!!b \ A. \text{finite } A \implies \text{ALL } a:A. a < b \implies P \ A \implies P(\text{insert } b \ A))$   
 shows  $P \ A$   
 $\langle \text{proof} \rangle$

**lemma** *finite-linorder-min-induct*[consumes 1, case-names empty insert]:  
 $\llbracket \text{finite } A; P \ \{\}; \bigwedge b \ A. \llbracket \text{finite } A; \forall a \in A. b < a; P \ A \rrbracket \implies P \ (\text{insert } b \ A) \rrbracket \implies P \ A$   
 $\langle \text{proof} \rangle$

**end**

**context** *linordered-ab-semigroup-add*  
**begin**

**lemma** *add-Min-commute*:  
 fixes  $k$   
 assumes *finite*  $N$  and  $N \neq \{\}$   
 shows  $k + \text{Min } N = \text{Min } \{k + m \mid m. m \in N\}$   
 $\langle \text{proof} \rangle$

**lemma** *add-Max-commute*:  
 fixes  $k$   
 assumes *finite*  $N$  and  $N \neq \{\}$   
 shows  $k + \text{Max } N = \text{Max } \{k + m \mid m. m \in N\}$   
 $\langle \text{proof} \rangle$

**end**

**context** *linordered-ab-group-add*  
**begin**

**lemma** *minus-Max-eq-Min* [*simp*]:  
 $\text{finite } S \implies S \neq \{\} \implies - (\text{Max } S) = \text{Min } (\text{uminus } S)$   
 $\langle \text{proof} \rangle$

**lemma** *minus-Min-eq-Max* [*simp*]:  
 $\text{finite } S \implies S \neq \{\} \implies - (\text{Min } S) = \text{Max } (\text{uminus } S)$   
 $\langle \text{proof} \rangle$

**end**

**end**

## 29 Equiv-Relations: Equivalence Relations in Higher-Order Set Theory

**theory** *Equiv-Relations*  
**imports** *Big-Operators Relation Plain*  
**begin**

### 29.1 Equivalence relations

**locale** *equiv* =  
 fixes  $A$  and  $r$   
 assumes *refl-on*: *refl-on*  $A$   $r$   
 and *sym*: *sym*  $r$   
 and *trans*: *trans*  $r$

Suppes, Theorem 70:  $r$  is an equiv relation iff  $r^{-1} \circ r = r$ .

First half:  $\text{equiv } A \ r \implies r^{-1} \circ r = r$ .

**lemma** *sym-trans-comp-subset*:

$\text{sym } r \implies \text{trans } r \implies r^{-1} \circ r \subseteq r$   
 $\langle \text{proof} \rangle$

**lemma** *refl-on-comp-subset*:  $\text{refl-on } A \ r \implies r \subseteq r^{-1} \circ r$   
 $\langle \text{proof} \rangle$

**lemma** *equiv-comp-eq*:  $\text{equiv } A \ r \implies r^{-1} \circ r = r$   
 $\langle \text{proof} \rangle$

Second half.

**lemma** *comp-equivI*:

$r^{-1} \circ r = r \implies \text{Domain } r = A \implies \text{equiv } A \ r$   
 $\langle \text{proof} \rangle$

## 29.2 Equivalence classes

**lemma** *equiv-class-subset*:

$\text{equiv } A \ r \implies (a, b) \in r \implies r^{\prime\prime}\{a\} \subseteq r^{\prime\prime}\{b\}$   
 — lemma for the next result  
 $\langle \text{proof} \rangle$

**theorem** *equiv-class-eq*:  $\text{equiv } A \ r \implies (a, b) \in r \implies r^{\prime\prime}\{a\} = r^{\prime\prime}\{b\}$   
 $\langle \text{proof} \rangle$

**lemma** *equiv-class-self*:  $\text{equiv } A \ r \implies a \in A \implies a \in r^{\prime\prime}\{a\}$   
 $\langle \text{proof} \rangle$

**lemma** *subset-equiv-class*:

$\text{equiv } A \ r \implies r^{\prime\prime}\{b\} \subseteq r^{\prime\prime}\{a\} \implies b \in A \implies (a, b) \in r$   
 — lemma for the next result  
 $\langle \text{proof} \rangle$

**lemma** *eq-equiv-class*:

$r^{\prime\prime}\{a\} = r^{\prime\prime}\{b\} \implies \text{equiv } A \ r \implies b \in A \implies (a, b) \in r$   
 $\langle \text{proof} \rangle$

**lemma** *equiv-class-nondisjoint*:

$\text{equiv } A \ r \implies x \in (r^{\prime\prime}\{a\} \cap r^{\prime\prime}\{b\}) \implies (a, b) \in r$   
 $\langle \text{proof} \rangle$

**lemma** *equiv-type*:  $\text{equiv } A \ r \implies r \subseteq A \times A$   
 $\langle \text{proof} \rangle$

**theorem** *equiv-class-eq-iff*:

$\text{equiv } A \ r \implies ((x, y) \in r) = (r^{\prime\prime}\{x\} = r^{\prime\prime}\{y\} \ \& \ x \in A \ \& \ y \in A)$   
 $\langle \text{proof} \rangle$

**theorem** *eq-equiv-class-iff*:

*equiv A r ==> x ∈ A ==> y ∈ A ==> (r“{x} = r“{y}) = ((x, y) ∈ r)*  
*<proof>*

### 29.3 Quotients

**definition** *quotient* :: 'a set  $\Rightarrow$  ('a  $\times$  'a) set  $\Rightarrow$  'a set set (**infixl** '/' 90) **where**  
*[code del]: A//r = ( $\bigcup x \in A. \{r“\{x\}\}$ )* — set of equiv classes

**lemma** *quotientI*: *x ∈ A ==> r“{x} ∈ A//r*  
*<proof>*

**lemma** *quotientE*:

*X ∈ A//r ==> (!x. X = r“{x} ==> x ∈ A ==> P) ==> P*  
*<proof>*

**lemma** *Union-quotient*: *equiv A r ==> Union (A//r) = A*  
*<proof>*

**lemma** *quotient-disj*:

*equiv A r ==> X ∈ A//r ==> Y ∈ A//r ==> X = Y | (X ∩ Y = {})*  
*<proof>*

**lemma** *quotient-eqI*:

*[|equiv A r; X ∈ A//r; Y ∈ A//r; x ∈ X; y ∈ Y; (x,y) ∈ r|] ==> X = Y*  
*<proof>*

**lemma** *quotient-eq-iff*:

*[|equiv A r; X ∈ A//r; Y ∈ A//r; x ∈ X; y ∈ Y|] ==> (X = Y) = ((x,y) ∈ r)*  
*<proof>*

**lemma** *eq-equiv-class-iff2*:

*[|equiv A r; x ∈ A; y ∈ A|] ==> ({x}//r = {y}//r) = ((x,y) : r)*  
*<proof>*

**lemma** *quotient-empty* [*simp*]: *{ }//r = { }*  
*<proof>*

**lemma** *quotient-is-empty* [*iff*]: *(A//r = { }) = (A = { })*  
*<proof>*

**lemma** *quotient-is-empty2* [*iff*]: *({ } = A//r) = (A = { })*  
*<proof>*

**lemma** *singleton-quotient*: *{x}//r = {r“{x}}*  
*<proof>*

**lemma** *quotient-diff1*:

$\llbracket \text{inj-on } (\%a. \{a\}/r) \ A; \ a \in A \rrbracket \implies (A - \{a\})/r = A/r - \{a\}/r$   
 $\langle \text{proof} \rangle$

## 29.4 Defining unary operations upon equivalence classes

A congruence-preserving function

**locale** *congruent* =

**fixes**  $r$  **and**  $f$

**assumes** *congruent*:  $(y, z) \in r \implies f\ y = f\ z$

**abbreviation**

*RESPECTS* ::  $('a \implies 'b) \implies ('a * 'a) \text{ set} \implies \text{bool}$

(**infixr** *respects* 80) **where**

$f \text{ respects } r == \text{congruent } r\ f$

**lemma** *UN-constant-eq*:  $a \in A \implies \forall y \in A. f\ y = c \implies (\bigcup y \in A. f(y)) = c$

— lemma required to prove *UN-equiv-class*

$\langle \text{proof} \rangle$

**lemma** *UN-equiv-class*:

$\text{equiv } A\ r \implies f \text{ respects } r \implies a \in A$

$\implies (\bigcup x \in r^{\cdot\cdot}\{a\}. f\ x) = f\ a$

— Conversion rule

$\langle \text{proof} \rangle$

**lemma** *UN-equiv-class-type*:

$\text{equiv } A\ r \implies f \text{ respects } r \implies X \in A/r \implies$

$(!!x. x \in A \implies f\ x \in B) \implies (\bigcup x \in X. f\ x) \in B$

$\langle \text{proof} \rangle$

Sufficient conditions for injectiveness. Could weaken premises! major premise could be an inclusion; *bcong* could be  $!!y. y \in A \implies f\ y \in B$ .

**lemma** *UN-equiv-class-inject*:

$\text{equiv } A\ r \implies f \text{ respects } r \implies$

$(\bigcup x \in X. f\ x) = (\bigcup y \in Y. f\ y) \implies X \in A/r \implies Y \in A/r$

$\implies (!!x\ y. x \in A \implies y \in A \implies f\ x = f\ y \implies (x, y) \in r)$

$\implies X = Y$

$\langle \text{proof} \rangle$

## 29.5 Defining binary operations upon equivalence classes

A congruence-preserving function of two arguments

**locale** *congruent2* =

**fixes**  $r1$  **and**  $r2$  **and**  $f$

**assumes** *congruent2*:

$$(y1, z1) \in r1 ==> (y2, z2) \in r2 ==> f\ y1\ y2 = f\ z1\ z2$$

Abbreviation for the common case where the relations are identical

**abbreviation**

*RESPECTS2*:: [*'a* ==> *'a* ==> *'b*, (*'a* \* *'a*) set] ==> *bool*  
 (**infixr** *respects2* 80) **where**  
*f respects2 r* == *congruent2 r r f*

**lemma** *congruent2-implies-congruent*:

*equiv A r1 ==> congruent2 r1 r2 f ==> a ∈ A ==> congruent r2 (f a)*  
*<proof>*

**lemma** *congruent2-implies-congruent-UN*:

*equiv A1 r1 ==> equiv A2 r2 ==> congruent2 r1 r2 f ==> a ∈ A2 ==>*  
*congruent r1 (λx1. ∪ x2 ∈ r2“{a}. f x1 x2)*  
*<proof>*

**lemma** *UN-equiv-class2*:

*equiv A1 r1 ==> equiv A2 r2 ==> congruent2 r1 r2 f ==> a1 ∈ A1 ==> a2*  
*∈ A2*  
*==> (∪ x1 ∈ r1“{a1}. ∪ x2 ∈ r2“{a2}. f x1 x2) = f a1 a2*  
*<proof>*

**lemma** *UN-equiv-class-type2*:

*equiv A1 r1 ==> equiv A2 r2 ==> congruent2 r1 r2 f*  
*==> X1 ∈ A1//r1 ==> X2 ∈ A2//r2*  
*==> (!x1 x2. x1 ∈ A1 ==> x2 ∈ A2 ==> f x1 x2 ∈ B)*  
*==> (∪ x1 ∈ X1. ∪ x2 ∈ X2. f x1 x2) ∈ B*  
*<proof>*

**lemma** *UN-UN-split-split-eq*:

*(∪ (x1, x2) ∈ X. ∪ (y1, y2) ∈ Y. A x1 x2 y1 y2) =*  
*(∪ x ∈ X. ∪ y ∈ Y. (λ(x1, x2). (λ(y1, y2). A x1 x2 y1 y2) y) x)*  
 — Allows a natural expression of binary operators,  
 — without explicit calls to *split*  
*<proof>*

**lemma** *congruent2I*:

*equiv A1 r1 ==> equiv A2 r2*  
*==> (!y z w. w ∈ A2 ==> (y, z) ∈ r1 ==> f y w = f z w)*  
*==> (!y z w. w ∈ A1 ==> (y, z) ∈ r2 ==> f w y = f w z)*  
*==> congruent2 r1 r2 f*  
 — Suggested by John Harrison – the two subproofs may be  
 — *much* simpler than the direct proof.  
*<proof>*

**lemma** *congruent2-commuteI*:

**assumes** *equivA*: *equiv A r*



**and** *commute*:  $!!y\ z. y \in A \implies z \in A \implies f\ y\ z = f\ z\ y$   
**and** *cong*t:  $!!y\ z\ w. w \in A \implies (y, z) \in r \implies f\ w\ y = f\ w\ z$   
**shows** *f respects2* *r*  
 <proof>

## 29.6 Quotients and finiteness

Suggested by Florian Kammüller

**lemma** *finite-quotient*:  $finite\ A \implies r \subseteq A \times A \implies finite\ (A/r)$   
 — recall *equiv* *?A* *?r*  $\implies ?r \subseteq ?A \times ?A$   
 <proof>

**lemma** *finite-equiv-class*:  
 $finite\ A \implies r \subseteq A \times A \implies X \in A/r \implies finite\ X$   
 <proof>

**lemma** *equiv-imp-dvd-card*:  
 $finite\ A \implies equiv\ A\ r \implies \forall X \in A/r. k\ dvd\ card\ X$   
 $\implies k\ dvd\ card\ A$   
 <proof>

**lemma** *card-quotient-disjoint*:  
 $\llbracket finite\ A; inj-on\ (\lambda x. \{x\} // r)\ A \rrbracket \implies card(A/r) = card\ A$   
 <proof>

**end**

## 30 Int: The Integers as Equivalence Classes over Pairs of Natural Numbers

**theory** *Int*  
**imports** *Equiv-Relations* *Nat* *Wellfounded*  
**uses**  
 (*Tools/numeral.ML*)  
 (*Tools/numeral-syntax.ML*)  
 (*Tools/int-arith.ML*)  
**begin**

### 30.1 The equivalence relation underlying the integers

**definition** *intrel* ::  $((nat \times nat) \times (nat \times nat))\ set$  **where**  
 [code del]:  $intrel = \{((x, y), (u, v)) \mid x\ y\ u\ v. x + v = u + y\}$

**typedef** (*Integ*)  
 $int = UNIV // intrel$   
 <proof>

**instantiation**  $int :: \{zero, one, plus, minus, uminus, times, ord, abs, sgn\}$   
**begin**

**definition**

*Zero-int-def* [code del]:  $0 = Abs-Integ (intrel \text{ “ } \{(0, 0)\} \text{ ”})$

**definition**

*One-int-def* [code del]:  $1 = Abs-Integ (intrel \text{ “ } \{(1, 0)\} \text{ ”})$

**definition**

*add-int-def* [code del]:  $z + w = Abs-Integ$   
 $(\bigcup (x, y) \in Rep-Integ z. \bigcup (u, v) \in Rep-Integ w.$   
 $intrel \text{ “ } \{(x + u, y + v)\} \text{ ”})$

**definition**

*minus-int-def* [code del]:  
 $-z = Abs-Integ (\bigcup (x, y) \in Rep-Integ z. intrel \text{ “ } \{(y, x)\} \text{ ”})$

**definition**

*diff-int-def* [code del]:  $z - w = z + (-w :: int)$

**definition**

*mult-int-def* [code del]:  $z * w = Abs-Integ$   
 $(\bigcup (x, y) \in Rep-Integ z. \bigcup (u, v) \in Rep-Integ w.$   
 $intrel \text{ “ } \{(x*u + y*v, x*v + y*u)\} \text{ ”})$

**definition**

*le-int-def* [code del]:  
 $z \leq w \longleftrightarrow (\exists x y u v. x+v \leq u+y \wedge (x, y) \in Rep-Integ z \wedge (u, v) \in Rep-Integ w)$

**definition**

*less-int-def* [code del]:  $(z::int) < w \longleftrightarrow z \leq w \wedge z \neq w$

**definition**

*zabs-def*:  $|i::int| = (if\ i < 0\ then\ -\ i\ else\ i)$

**definition**

*zsgn-def*:  $sgn\ (i::int) = (if\ i=0\ then\ 0\ else\ if\ 0<i\ then\ 1\ else\ -\ 1)$

**instance**  $\langle proof \rangle$

**end**

## 30.2 Construction of the Integers

**lemma** *intrel-iff* [simp]:  $((x, y), (u, v)) \in intrel = (x+v = u+y)$   
 $\langle proof \rangle$

**lemma** *equiv-intrel*: *equiv UNIV intrel*  
 ⟨*proof*⟩

Reduces equality of equivalence classes to the *intrel* relation:  $(\text{intrel} \text{ “ } \{x\} = \text{intrel} \text{ “ } \{y\}) = ((x, y) \in \text{intrel})$

**lemmas** *equiv-intrel-iff* [*simp*] = *eq-equiv-class-iff* [*OF equiv-intrel UNIV-I UNIV-I*]

All equivalence classes belong to set of representatives

**lemma** [*simp*]:  $\text{intrel} \text{ “ } \{(x, y)\} \in \text{Integ}$   
 ⟨*proof*⟩

Reduces equality on abstractions to equality on representatives:  $\llbracket x \in \text{Integ}; y \in \text{Integ} \rrbracket \implies (\text{Abs-Integ } x = \text{Abs-Integ } y) = (x = y)$

**declare** *Abs-Integ-inject* [*simp, no-atp*] *Abs-Integ-inverse* [*simp, no-atp*]

Case analysis on the representation of an integer as an equivalence class of pairs of naturals.

**lemma** *eq-Abs-Integ* [*case-names Abs-Integ, cases type: int*]:  
 $(!!x \ y. \ z = \text{Abs-Integ}(\text{intrel} \text{ “ } \{(x, y)\}) \implies P) \implies P$   
 ⟨*proof*⟩

### 30.3 Arithmetic Operations

**lemma** *minus*:  $-\text{Abs-Integ}(\text{intrel} \text{ “ } \{(x, y)\}) = \text{Abs-Integ}(\text{intrel} \text{ “ } \{(y, x)\})$   
 ⟨*proof*⟩

**lemma** *add*:  
 $\text{Abs-Integ}(\text{intrel} \text{ “ } \{(x, y)\}) + \text{Abs-Integ}(\text{intrel} \text{ “ } \{(u, v)\}) =$   
 $\text{Abs-Integ}(\text{intrel} \text{ “ } \{(x+u, y+v)\})$   
 ⟨*proof*⟩

Congruence property for multiplication

**lemma** *mult-congruent2*:  
 $(\%p1 \ p2. (\%(x, y). (\%(u, v). \text{intrel} \text{ “ } \{(x*u + y*v, x*v + y*u)\}) \ p2) \ p1)$   
*respects2 intrel*  
 ⟨*proof*⟩

**lemma** *mult*:  
 $\text{Abs-Integ}((\text{intrel} \text{ “ } \{(x, y)\})) * \text{Abs-Integ}((\text{intrel} \text{ “ } \{(u, v)\})) =$   
 $\text{Abs-Integ}(\text{intrel} \text{ “ } \{(x*u + y*v, x*v + y*u)\})$   
 ⟨*proof*⟩

The integers form a *comm-ring-1*

**instance** *int* :: *comm-ring-1*  
 ⟨*proof*⟩

**lemma** *int-def*:  $\text{of-nat } m = \text{Abs-Integ}(\text{intrel} \text{ “ } \{(m, 0)\})$   
 ⟨*proof*⟩

### 30.4 The $\leq$ Ordering

**lemma** *le*:

$(Abs-Integ(intrel^{“}\{(x,y)\}) \leq Abs-Integ(intrel^{“}\{(u,v)\})) = (x+v \leq u+y)$   
 $\langle proof \rangle$

**lemma** *less*:

$(Abs-Integ(intrel^{“}\{(x,y)\}) < Abs-Integ(intrel^{“}\{(u,v)\})) = (x+v < u+y)$   
 $\langle proof \rangle$

**instance** *int* :: *linorder*

$\langle proof \rangle$

**instantiation** *int* :: *distrib-lattice*

**begin**

**definition**

$(inf :: int \Rightarrow int \Rightarrow int) = min$

**definition**

$(sup :: int \Rightarrow int \Rightarrow int) = max$

**instance**

$\langle proof \rangle$

**end**

**instance** *int* :: *ordered-cancel-ab-semigroup-add*

$\langle proof \rangle$

Strict Monotonicity of Multiplication

strict, in 1st argument; proof is by induction on  $k_i0$

**lemma** *zmult-zless-mono2-lemma*:

$(i::int) < j \implies 0 < k \implies of-nat\ k * i < of-nat\ k * j$   
 $\langle proof \rangle$

**lemma** *zero-le-imp-eq-int*:  $(0::int) \leq k \implies \exists n. k = of-nat\ n$

$\langle proof \rangle$

**lemma** *zero-less-imp-eq-int*:  $(0::int) < k \implies \exists n > 0. k = of-nat\ n$

$\langle proof \rangle$

**lemma** *zmult-zless-mono2*:  $[\mid i < j; (0::int) < k \mid] \implies k*i < k*j$

$\langle proof \rangle$

The integers form an ordered integral domain

**instance** *int* :: *linordered-idom*

$\langle proof \rangle$

**lemma** *zless-imp-add1-zle*:  $w < z \implies w + (1::\text{int}) \leq z$   
 $\langle \text{proof} \rangle$

**lemma** *zless-iff-Suc-zadd*:  
 $(w :: \text{int}) < z \iff (\exists n. z = w + \text{of-nat} (\text{Suc } n))$   
 $\langle \text{proof} \rangle$

**lemmas** *int-distrib* =  
*left-distrib* [*of z1::int z2 w, standard*]  
*right-distrib* [*of w::int z1 z2, standard*]  
*left-diff-distrib* [*of z1::int z2 w, standard*]  
*right-diff-distrib* [*of w::int z1 z2, standard*]

### 30.5 Embedding of the Integers into any *ring-1*: *of-int*

**context** *ring-1*  
**begin**

**definition** *of-int* ::  $\text{int} \Rightarrow 'a$  **where**  
 $[\text{code del}]: \text{of-int } z = \text{contents } (\bigcup (i, j) \in \text{Rep-Integ } z. \{ \text{of-nat } i - \text{of-nat } j \})$

**lemma** *of-int*:  $\text{of-int } (\text{Abs-Integ } (\text{intrel } “ \{(i,j)\} ”)) = \text{of-nat } i - \text{of-nat } j$   
 $\langle \text{proof} \rangle$

**lemma** *of-int-0* [*simp*]:  $\text{of-int } 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *of-int-1* [*simp*]:  $\text{of-int } 1 = 1$   
 $\langle \text{proof} \rangle$

**lemma** *of-int-add* [*simp*]:  $\text{of-int } (w+z) = \text{of-int } w + \text{of-int } z$   
 $\langle \text{proof} \rangle$

**lemma** *of-int-minus* [*simp*]:  $\text{of-int } (-z) = - (\text{of-int } z)$   
 $\langle \text{proof} \rangle$

**lemma** *of-int-diff* [*simp*]:  $\text{of-int } (w - z) = \text{of-int } w - \text{of-int } z$   
 $\langle \text{proof} \rangle$

**lemma** *of-int-mult* [*simp*]:  $\text{of-int } (w*z) = \text{of-int } w * \text{of-int } z$   
 $\langle \text{proof} \rangle$

Collapse nested embeddings

**lemma** *of-int-of-nat-eq* [*simp*]:  $\text{of-int } (\text{of-nat } n) = \text{of-nat } n$   
 $\langle \text{proof} \rangle$

**lemma** *of-int-power*:  
 $\text{of-int } (z \wedge n) = \text{of-int } z \wedge n$   
 $\langle \text{proof} \rangle$

**end**

Class for unital rings with characteristic zero. Includes non-ordered rings like the complex numbers.

**class** *ring-char-0* = *ring-1* + *semiring-char-0*  
**begin**

**lemma** *of-int-eq-iff* [*simp*]:  
 $of-int\ w = of-int\ z \longleftrightarrow w = z$   
 ⟨*proof*⟩

Special cases where either operand is zero

**lemma** *of-int-eq-0-iff* [*simp*]:  
 $of-int\ z = 0 \longleftrightarrow z = 0$   
 ⟨*proof*⟩

**lemma** *of-int-0-eq-iff* [*simp*]:  
 $0 = of-int\ z \longleftrightarrow z = 0$   
 ⟨*proof*⟩

**end**

**context** *linordered-idom*  
**begin**

Every *linordered-idom* has characteristic zero.

**subclass** *ring-char-0* ⟨*proof*⟩

**lemma** *of-int-le-iff* [*simp*]:  
 $of-int\ w \leq of-int\ z \longleftrightarrow w \leq z$   
 ⟨*proof*⟩

**lemma** *of-int-less-iff* [*simp*]:  
 $of-int\ w < of-int\ z \longleftrightarrow w < z$   
 ⟨*proof*⟩

**lemma** *of-int-0-le-iff* [*simp*]:  
 $0 \leq of-int\ z \longleftrightarrow 0 \leq z$   
 ⟨*proof*⟩

**lemma** *of-int-le-0-iff* [*simp*]:  
 $of-int\ z \leq 0 \longleftrightarrow z \leq 0$   
 ⟨*proof*⟩

**lemma** *of-int-0-less-iff* [*simp*]:  
 $0 < of-int\ z \longleftrightarrow 0 < z$   
 ⟨*proof*⟩

**lemma** *of-int-less-0-iff* [simp]:  
 $\text{of-int } z < 0 \iff z < 0$   
 ⟨proof⟩

**end**

**lemma** *of-int-eq-id* [simp]:  $\text{of-int} = \text{id}$   
 ⟨proof⟩

### 30.6 Magnitude of an Integer, as a Natural Number: *nat*

**definition**

$\text{nat} :: \text{int} \Rightarrow \text{nat}$

**where**

[code del]:  $\text{nat } z = \text{contents } (\bigcup (x, y) \in \text{Rep-Integ } z. \{x-y\})$

**lemma** *nat*:  $\text{nat } (\text{Abs-Integ } (\text{intrel}''\{(x,y)\})) = x-y$   
 ⟨proof⟩

**lemma** *nat-int* [simp]:  $\text{nat } (\text{of-nat } n) = n$   
 ⟨proof⟩

**lemma** *nat-zero* [simp]:  $\text{nat } 0 = 0$   
 ⟨proof⟩

**lemma** *int-nat-eq* [simp]:  $\text{of-nat } (\text{nat } z) = (\text{if } 0 \leq z \text{ then } z \text{ else } 0)$   
 ⟨proof⟩

**corollary** *nat-0-le*:  $0 \leq z \implies \text{of-nat } (\text{nat } z) = z$   
 ⟨proof⟩

**lemma** *nat-le-0* [simp]:  $z \leq 0 \implies \text{nat } z = 0$   
 ⟨proof⟩

**lemma** *nat-le-eq-zle*:  $0 < w \mid 0 \leq z \implies (\text{nat } w \leq \text{nat } z) = (w \leq z)$   
 ⟨proof⟩

An alternative condition is  $(0::'a) \leq w$

**corollary** *nat-mono-iff*:  $0 < z \implies (\text{nat } w < \text{nat } z) = (w < z)$   
 ⟨proof⟩

**corollary** *nat-less-eq-zless*:  $0 \leq w \implies (\text{nat } w < \text{nat } z) = (w < z)$   
 ⟨proof⟩

**lemma** *zless-nat-conj* [simp]:  $(\text{nat } w < \text{nat } z) = (0 < z \ \& \ w < z)$   
 ⟨proof⟩

**lemma** *nonneg-eq-int*:

**fixes**  $z :: \text{int}$   
**assumes**  $0 \leq z$  **and**  $\bigwedge m. z = \text{of-nat } m \implies P$   
**shows**  $P$   
 $\langle \text{proof} \rangle$

**lemma** *nat-eq-iff*:  $(\text{nat } w = m) = (\text{if } 0 \leq w \text{ then } w = \text{of-nat } m \text{ else } m=0)$   
 $\langle \text{proof} \rangle$

**corollary** *nat-eq-iff2*:  $(m = \text{nat } w) = (\text{if } 0 \leq w \text{ then } w = \text{of-nat } m \text{ else } m=0)$   
 $\langle \text{proof} \rangle$

**lemma** *nat-less-iff*:  $0 \leq w \implies (\text{nat } w < m) = (w < \text{of-nat } m)$   
 $\langle \text{proof} \rangle$

**lemma** *nat-0-iff* [simp]:  $\text{nat}(i::\text{int}) = 0 \longleftrightarrow i \leq 0$   
 $\langle \text{proof} \rangle$

**lemma** *int-eq-iff*:  $(\text{of-nat } m = z) = (m = \text{nat } z \ \& \ 0 \leq z)$   
 $\langle \text{proof} \rangle$

**lemma** *zero-less-nat-eq* [simp]:  $(0 < \text{nat } z) = (0 < z)$   
 $\langle \text{proof} \rangle$

**lemma** *nat-add-distrib*:  
 $\llbracket (0::\text{int}) \leq z; \ 0 \leq z' \rrbracket \implies \text{nat } (z+z') = \text{nat } z + \text{nat } z'$   
 $\langle \text{proof} \rangle$

**lemma** *nat-diff-distrib*:  
 $\llbracket (0::\text{int}) \leq z'; \ z' \leq z \rrbracket \implies \text{nat } (z-z') = \text{nat } z - \text{nat } z'$   
 $\langle \text{proof} \rangle$

**lemma** *nat-zminus-int* [simp]:  $\text{nat } (- (\text{of-nat } n)) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *zless-nat-eq-int-zless*:  $(m < \text{nat } z) = (\text{of-nat } m < z)$   
 $\langle \text{proof} \rangle$

**context** *ring-1*  
**begin**

**lemma** *of-nat-nat*:  $0 \leq z \implies \text{of-nat } (\text{nat } z) = \text{of-int } z$   
 $\langle \text{proof} \rangle$

**end**

For termination proofs:

**lemma** *measure-function-int* [measure-function]:  $\text{is-measure } (\text{nat } o \text{ abs}) \langle \text{proof} \rangle$



### 30.7 Lemmas about the Function *of-nat* and Orderings

**lemma** *negative-zless-0*:  $-(\text{of-nat } (\text{Suc } n)) < (0 :: \text{int})$   
 $\langle \text{proof} \rangle$

**lemma** *negative-zless [iff]*:  $-(\text{of-nat } (\text{Suc } n)) < (\text{of-nat } m :: \text{int})$   
 $\langle \text{proof} \rangle$

**lemma** *negative-zle-0*:  $-\text{of-nat } n \leq (0 :: \text{int})$   
 $\langle \text{proof} \rangle$

**lemma** *negative-zle [iff]*:  $-\text{of-nat } n \leq (\text{of-nat } m :: \text{int})$   
 $\langle \text{proof} \rangle$

**lemma** *not-zle-0-negative [simp]*:  $\sim (0 \leq -(\text{of-nat } (\text{Suc } n)) :: \text{int})$   
 $\langle \text{proof} \rangle$

**lemma** *int-zle-neg*:  $((\text{of-nat } n :: \text{int}) \leq -\text{of-nat } m) = (n = 0 \ \& \ m = 0)$   
 $\langle \text{proof} \rangle$

**lemma** *not-int-zless-negative [simp]*:  $\sim ((\text{of-nat } n :: \text{int}) < -\text{of-nat } m)$   
 $\langle \text{proof} \rangle$

**lemma** *negative-eq-positive [simp]*:  $((-\text{of-nat } n :: \text{int}) = \text{of-nat } m) = (n = 0 \ \& \ m = 0)$   
 $\langle \text{proof} \rangle$

**lemma** *zle-iff-zadd*:  $(w :: \text{int}) \leq z \iff (\exists n. z = w + \text{of-nat } n)$   
 $\langle \text{proof} \rangle$

**lemma** *zadd-int-left*:  $\text{of-nat } m + (\text{of-nat } n + z) = \text{of-nat } (m + n) + (z :: \text{int})$   
 $\langle \text{proof} \rangle$

**lemma** *int-Suc0-eq-1*:  $\text{of-nat } (\text{Suc } 0) = (1 :: \text{int})$   
 $\langle \text{proof} \rangle$

This version is proved for all ordered rings, not just integers! It is proved here because attribute *arith-split* is not available in theory *Rings*. But is it really better than just rewriting with *abs-if*?

**lemma** *abs-split [arith-split, no-atp]*:  
 $P(\text{abs}(a :: 'a :: \text{linordered-idom})) = ((0 \leq a \implies P \ a) \ \& \ (a < 0 \implies P(-a)))$   
 $\langle \text{proof} \rangle$

**lemma** *negD*:  $(x :: \text{int}) < 0 \implies \exists n. x = -(\text{of-nat } (\text{Suc } n))$   
 $\langle \text{proof} \rangle$

### 30.8 Cases and induction

Now we replace the case analysis rule by a more conventional one: whether an integer is negative or not.

**theorem** *int-cases* [*cases type: int, case-names nonneg neg*]:

$$[[!!\ n. (z :: \text{int}) = \text{of-nat } n ==> P; \ !n. z = - (\text{of-nat } (\text{Suc } n)) ==> P \ ]]$$
  

$$==> P$$
  

$$\langle \text{proof} \rangle$$

**theorem** *int-of-nat-induct* [*induct type: int, case-names nonneg neg*]:

$$[[!!\ n. P (\text{of-nat } n :: \text{int}); \ !n. P (- (\text{of-nat } (\text{Suc } n))) \ ]]$$
  

$$==> P\ z$$
  

$$\langle \text{proof} \rangle$$

Contributed by Brian Huffman

**theorem** *int-diff-cases*:

**obtains** (*diff*) *m n where* (*z::int*) = *of-nat m* – *of-nat n*  

$$\langle \text{proof} \rangle$$

### 30.9 Binary representation

This formalization defines binary arithmetic in terms of the integers rather than using a datatype. This avoids multiple representations (leading zeroes, etc.) See *ZF/Tools/twos-compl.ML*, function *int-of-binary*, for the numerical interpretation.

The representation expects that (*m mod 2*) is 0 or 1, even if *m* is negative; For instance,  $-5 \text{ div } 2 = -3$  and  $-5 \text{ mod } 2 = 1$ ; thus  $-5 = (-3)*2 + 1$ .

This two’s complement binary representation derives from the paper “An Efficient Representation of Arithmetic for Term Rewriting” by Dave Cohen and Phil Watson, *Rewriting Techniques and Applications*, Springer LNCS 488 (240-251), 1991.

#### 30.9.1 The constructors *Bit0*, *Bit1*, *Pls* and *Min*

**definition**

*Pls* :: *int where*  

$$[\text{code del}]: \text{Pls} = 0$$

**definition**

*Min* :: *int where*  

$$[\text{code del}]: \text{Min} = -\ 1$$

**definition**

*Bit0* :: *int*  $\Rightarrow$  *int where*  

$$[\text{code del}]: \text{Bit0 } k = k + k$$

**definition**

*Bit1* :: *int*  $\Rightarrow$  *int where*

[code del]:  $\text{Bit1 } k = 1 + k + k$

**class** *number* = — for numeric types: nat, int, real, ...  
**fixes** *number-of* ::  $\text{int} \Rightarrow 'a$

$\langle ML \rangle$

**syntax**

$\text{-Numeral} :: \text{num-const} \Rightarrow 'a \quad (-)$

$\langle ML \rangle$

**abbreviation**

$\text{Numeral0} \equiv \text{number-of Pls}$

**abbreviation**

$\text{Numeral1} \equiv \text{number-of (Bit1 Pls)}$

**lemma** *Let-number-of [simp]*:  $\text{Let (number-of } v) f = f \text{ (number-of } v)$   
 — Unfold all *lets* involving constants  
 $\langle \text{proof} \rangle$

**definition**

$\text{succ} :: \text{int} \Rightarrow \text{int}$  **where**  
 [code del]:  $\text{succ } k = k + 1$

**definition**

$\text{pred} :: \text{int} \Rightarrow \text{int}$  **where**  
 [code del]:  $\text{pred } k = k - 1$

**lemmas**

$\text{max-number-of [simp]} = \text{max-def}$   
 $[\text{of number-of } u \text{ number-of } v, \text{ standard}]$

**and**

$\text{min-number-of [simp]} = \text{min-def}$   
 $[\text{of number-of } u \text{ number-of } v, \text{ standard}]$   
 — unfolding *minx* and *max* on numerals

**lemmas** *numeral-simps* =

$\text{succ-def pred-def Pls-def Min-def Bit0-def Bit1-def}$

Removal of leading zeroes

**lemma** *Bit0-Pls [simp, code-post]*:  
 $\text{Bit0 Pls} = \text{Pls}$   
 $\langle \text{proof} \rangle$

**lemma** *Bit1-Min [simp, code-post]*:  
 $\text{Bit1 Min} = \text{Min}$   
 $\langle \text{proof} \rangle$

**lemmas** *normalize-bin-simps* =  
*Bit0-Pls Bit1-Min*

### 30.9.2 Successor and predecessor functions

Successor

**lemma** *succ-Pls*:  
*succ Pls = Bit1 Pls*  
*<proof>*

**lemma** *succ-Min*:  
*succ Min = Pls*  
*<proof>*

**lemma** *succ-Bit0*:  
*succ (Bit0 k) = Bit1 k*  
*<proof>*

**lemma** *succ-Bit1*:  
*succ (Bit1 k) = Bit0 (succ k)*  
*<proof>*

**lemmas** *succ-bin-simps [simp]* =  
*succ-Pls succ-Min succ-Bit0 succ-Bit1*

Predecessor

**lemma** *pred-Pls*:  
*pred Pls = Min*  
*<proof>*

**lemma** *pred-Min*:  
*pred Min = Bit0 Min*  
*<proof>*

**lemma** *pred-Bit0*:  
*pred (Bit0 k) = Bit1 (pred k)*  
*<proof>*

**lemma** *pred-Bit1*:  
*pred (Bit1 k) = Bit0 k*  
*<proof>*

**lemmas** *pred-bin-simps [simp]* =  
*pred-Pls pred-Min pred-Bit0 pred-Bit1*

### 30.9.3 Binary arithmetic

Addition

**lemma** *add-Pls*:

$$Pls + k = k$$

*<proof>*

**lemma** *add-Min*:

$$Min + k = pred\ k$$

*<proof>*

**lemma** *add-Bit0-Bit0*:

$$(Bit0\ k) + (Bit0\ l) = Bit0\ (k + l)$$

*<proof>*

**lemma** *add-Bit0-Bit1*:

$$(Bit0\ k) + (Bit1\ l) = Bit1\ (k + l)$$

*<proof>*

**lemma** *add-Bit1-Bit0*:

$$(Bit1\ k) + (Bit0\ l) = Bit1\ (k + l)$$

*<proof>*

**lemma** *add-Bit1-Bit1*:

$$(Bit1\ k) + (Bit1\ l) = Bit0\ (k + succ\ l)$$

*<proof>*

**lemma** *add-Pls-right*:

$$k + Pls = k$$

*<proof>*

**lemma** *add-Min-right*:

$$k + Min = pred\ k$$

*<proof>*

**lemmas** *add-bin-simps* [*simp*] =

*add-Pls add-Min add-Pls-right add-Min-right*

*add-Bit0-Bit0 add-Bit0-Bit1 add-Bit1-Bit0 add-Bit1-Bit1*

Negation

**lemma** *minus-Pls*:

$$- Pls = Pls$$

*<proof>*

**lemma** *minus-Min*:

$$- Min = Bit1\ Pls$$

*<proof>*

**lemma** *minus-Bit0*:

$$- (Bit0\ k) = Bit0\ (-\ k)$$

*<proof>*

**lemma** *minus-Bit1*:

$$- (Bit1\ k) = Bit1\ (pred\ (-\ k))$$

$\langle proof \rangle$

**lemmas** *minus-bin-simps* [simp] =

*minus-Pls minus-Min minus-Bit0 minus-Bit1*

Subtraction

**lemma** *diff-bin-simps* [simp]:

$$k - Pls = k$$

$$k - Min = succ\ k$$

$$Pls - (Bit0\ l) = Bit0\ (Pls - l)$$

$$Pls - (Bit1\ l) = Bit1\ (Min - l)$$

$$Min - (Bit0\ l) = Bit1\ (Min - l)$$

$$Min - (Bit1\ l) = Bit0\ (Min - l)$$

$$(Bit0\ k) - (Bit0\ l) = Bit0\ (k - l)$$

$$(Bit0\ k) - (Bit1\ l) = Bit1\ (pred\ k - l)$$

$$(Bit1\ k) - (Bit0\ l) = Bit1\ (k - l)$$

$$(Bit1\ k) - (Bit1\ l) = Bit0\ (k - l)$$

$\langle proof \rangle$

Multiplication

**lemma** *mult-Pls*:

$$Pls * w = Pls$$

$\langle proof \rangle$

**lemma** *mult-Min*:

$$Min * k = -\ k$$

$\langle proof \rangle$

**lemma** *mult-Bit0*:

$$(Bit0\ k) * l = Bit0\ (k * l)$$

$\langle proof \rangle$

**lemma** *mult-Bit1*:

$$(Bit1\ k) * l = (Bit0\ (k * l)) + l$$

$\langle proof \rangle$

**lemmas** *mult-bin-simps* [simp] =

*mult-Pls mult-Min mult-Bit0 mult-Bit1*

### 30.9.4 Binary comparisons

Preliminaries

**lemma** *even-less-0-iff*:

$$a + a < 0 \iff a < (0 :: 'a :: linordered-idom)$$

$\langle proof \rangle$

**lemma** *le-imp-0-less*:

**assumes** *le*:  $0 \leq z$

**shows**  $(0::int) < 1 + z$

*<proof>*

**lemma** *odd-less-0-iff*:

$(1 + z + z < 0) = (z < (0::int))$

*<proof>*

**lemma** *bin-less-0-simps*:

$Pls < 0 \longleftrightarrow False$

$Min < 0 \longleftrightarrow True$

$Bit0\ w < 0 \longleftrightarrow w < 0$

$Bit1\ w < 0 \longleftrightarrow w < 0$

*<proof>*

**lemma** *less-bin-lemma*:  $k < l \longleftrightarrow k - l < (0::int)$

*<proof>*

**lemma** *le-iff-pred-less*:  $k \leq l \longleftrightarrow pred\ k < l$

*<proof>*

**lemma** *succ-pred*:  $succ\ (pred\ x) = x$

*<proof>*

Less-than

**lemma** *less-bin-simps* [*simp*]:

$Pls < Pls \longleftrightarrow False$

$Pls < Min \longleftrightarrow False$

$Pls < Bit0\ k \longleftrightarrow Pls < k$

$Pls < Bit1\ k \longleftrightarrow Pls \leq k$

$Min < Pls \longleftrightarrow True$

$Min < Min \longleftrightarrow False$

$Min < Bit0\ k \longleftrightarrow Min < k$

$Min < Bit1\ k \longleftrightarrow Min < k$

$Bit0\ k < Pls \longleftrightarrow k < Pls$

$Bit0\ k < Min \longleftrightarrow k \leq Min$

$Bit1\ k < Pls \longleftrightarrow k < Pls$

$Bit1\ k < Min \longleftrightarrow k < Min$

$Bit0\ k < Bit0\ l \longleftrightarrow k < l$

$Bit0\ k < Bit1\ l \longleftrightarrow k \leq l$

$Bit1\ k < Bit0\ l \longleftrightarrow k < l$

$Bit1\ k < Bit1\ l \longleftrightarrow k < l$

*<proof>*

Less-than-or-equal

**lemma** *le-bin-simps* [*simp*]:

$Pls \leq Pls \longleftrightarrow True$

$Pls \leq Min \longleftrightarrow False$

```

Pls ≤ Bit0 k  $\longleftrightarrow$  Pls ≤ k
Pls ≤ Bit1 k  $\longleftrightarrow$  Pls ≤ k
Min ≤ Pls  $\longleftrightarrow$  True
Min ≤ Min  $\longleftrightarrow$  True
Min ≤ Bit0 k  $\longleftrightarrow$  Min < k
Min ≤ Bit1 k  $\longleftrightarrow$  Min ≤ k
Bit0 k ≤ Pls  $\longleftrightarrow$  k ≤ Pls
Bit0 k ≤ Min  $\longleftrightarrow$  k ≤ Min
Bit1 k ≤ Pls  $\longleftrightarrow$  k < Pls
Bit1 k ≤ Min  $\longleftrightarrow$  k ≤ Min
Bit0 k ≤ Bit0 l  $\longleftrightarrow$  k ≤ l
Bit0 k ≤ Bit1 l  $\longleftrightarrow$  k ≤ l
Bit1 k ≤ Bit0 l  $\longleftrightarrow$  k < l
Bit1 k ≤ Bit1 l  $\longleftrightarrow$  k ≤ l
⟨proof⟩

```

### Equality

**lemma** *eq-bin-simps* [*simp*]:

```

Pls = Pls  $\longleftrightarrow$  True
Pls = Min  $\longleftrightarrow$  False
Pls = Bit0 l  $\longleftrightarrow$  Pls = l
Pls = Bit1 l  $\longleftrightarrow$  False
Min = Pls  $\longleftrightarrow$  False
Min = Min  $\longleftrightarrow$  True
Min = Bit0 l  $\longleftrightarrow$  False
Min = Bit1 l  $\longleftrightarrow$  Min = l
Bit0 k = Pls  $\longleftrightarrow$  k = Pls
Bit0 k = Min  $\longleftrightarrow$  False
Bit1 k = Pls  $\longleftrightarrow$  False
Bit1 k = Min  $\longleftrightarrow$  k = Min
Bit0 k = Bit0 l  $\longleftrightarrow$  k = l
Bit0 k = Bit1 l  $\longleftrightarrow$  False
Bit1 k = Bit0 l  $\longleftrightarrow$  False
Bit1 k = Bit1 l  $\longleftrightarrow$  k = l
⟨proof⟩

```

### 30.10 Converting Numerals to Rings: *number-of*

```

class number-ring = number + comm-ring-1 +
  assumes number-of-eq: number-of k = of-int k

```

self-embedding of the integers

```

instantiation int :: number-ring
begin

```

```

definition int-number-of-def [code del]:
  number-of w = (of-int w :: int)

```

```

instance ⟨proof⟩

```



**end**

**lemma** *number-of-is-id*:  
 $\text{number-of } (k::\text{int}) = k$   
 $\langle \text{proof} \rangle$

**lemma** *number-of-succ*:  
 $\text{number-of } (\text{succ } k) = (1 + \text{number-of } k :: 'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *number-of-pred*:  
 $\text{number-of } (\text{pred } w) = (- 1 + \text{number-of } w :: 'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *number-of-minus*:  
 $\text{number-of } (\text{uminus } w) = (- (\text{number-of } w) :: 'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *number-of-add*:  
 $\text{number-of } (v + w) = (\text{number-of } v + \text{number-of } w :: 'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *number-of-diff*:  
 $\text{number-of } (v - w) = (\text{number-of } v - \text{number-of } w :: 'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *number-of-mult*:  
 $\text{number-of } (v * w) = (\text{number-of } v * \text{number-of } w :: 'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

The correctness of shifting. But it doesn't seem to give a measurable speed-up.

**lemma** *double-number-of-Bit0*:  
 $(1 + 1) * \text{number-of } w = (\text{number-of } (\text{Bit0 } w) :: 'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

Converting numerals 0 and 1 to their abstract versions.

**lemma** *numeral-0-eq-0* [*simp, code-post*]:  
 $\text{Numeral0} = (0 :: 'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *numeral-1-eq-1* [*simp, code-post*]:  
 $\text{Numeral1} = (1 :: 'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

Special-case simplification for small constants.

Unary minus for the abstract constant 1. Cannot be inserted as a simplrule until later: it is *number-of-Min* re-oriented!

**lemma** *numeral-m1-eq-minus-1*:  
 $(-1 :: 'a :: \text{number-ring}) = -\ 1$   
 $\langle \text{proof} \rangle$

**lemma** *mult-minus1 [simp]*:  
 $-1 * z = -(z :: 'a :: \text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *mult-minus1-right [simp]*:  
 $z * -1 = -(z :: 'a :: \text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *minus-number-of-mult [simp]*:  
 $-(\text{number-of } w) * z = \text{number-of } (\text{uminus } w) * (z :: 'a :: \text{number-ring})$   
 $\langle \text{proof} \rangle$

Subtraction

**lemma** *diff-number-of-eq*:  
 $\text{number-of } v - \text{number-of } w =$   
 $(\text{number-of } (v + \text{uminus } w) :: 'a :: \text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *number-of-Pls*:  
 $\text{number-of } \text{Pls} = (0 :: 'a :: \text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *number-of-Min*:  
 $\text{number-of } \text{Min} = (-\ 1 :: 'a :: \text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *number-of-Bit0*:  
 $\text{number-of } (\text{Bit0 } w) = (0 :: 'a :: \text{number-ring}) + (\text{number-of } w) + (\text{number-of } w)$   
 $\langle \text{proof} \rangle$

**lemma** *number-of-Bit1*:  
 $\text{number-of } (\text{Bit1 } w) = (1 :: 'a :: \text{number-ring}) + (\text{number-of } w) + (\text{number-of } w)$   
 $\langle \text{proof} \rangle$

### 30.10.1 Equality of Binary Numbers

First version by Norbert Voelker

**definition** *iszero* ::  $'a :: \text{semiring-1} \Rightarrow \text{bool}$  **where**  
 $\text{iszero } z \longleftrightarrow z = 0$

**lemma** *iszero-0*:  $\text{iszero } 0$   
 $\langle \text{proof} \rangle$

**lemma** *iszero-Numeral0*:  $\text{iszero } (\text{Numeral0} :: 'a :: \text{number-ring})$

$\langle \text{proof} \rangle$

**lemma** *not-iszero-1*:  $\neg \text{iszero } 1$

$\langle \text{proof} \rangle$

**lemma** *not-iszero-Numeral1*:  $\neg \text{iszero } (\text{Numeral1} :: 'a::\text{number-ring})$

$\langle \text{proof} \rangle$

**lemma** *eq-number-of-eq* [simp]:

$((\text{number-of } x :: 'a::\text{number-ring}) = \text{number-of } y) =$   
 $\text{iszero } (\text{number-of } (x + \text{uminus } y) :: 'a)$

$\langle \text{proof} \rangle$

**lemma** *iszero-number-of-Pls*:

$\text{iszero } ((\text{number-of } \text{Pls}) :: 'a::\text{number-ring})$

$\langle \text{proof} \rangle$

**lemma** *nonzero-number-of-Min*:

$\sim \text{iszero } ((\text{number-of } \text{Min}) :: 'a::\text{number-ring})$

$\langle \text{proof} \rangle$

### 30.10.2 Comparisons, for Ordered Rings

**lemmas** *double-eq-0-iff* = *double-zero*

**lemma** *odd-nonzero*:

$1 + z + z \neq (0 :: \text{int})$

$\langle \text{proof} \rangle$

**lemma** *iszero-number-of-Bit0*:

$\text{iszero } (\text{number-of } (\text{Bit0 } w) :: 'a) =$   
 $\text{iszero } (\text{number-of } w :: 'a :: \{\text{ring-char-0}, \text{number-ring}\})$

$\langle \text{proof} \rangle$

**lemma** *iszero-number-of-Bit1*:

$\sim \text{iszero } (\text{number-of } (\text{Bit1 } w) :: 'a :: \{\text{ring-char-0}, \text{number-ring}\})$

$\langle \text{proof} \rangle$

**lemmas** *iszero-simps* [simp] =

*iszero-0 not-iszero-1*  
*iszero-number-of-Pls nonzero-number-of-Min*  
*iszero-number-of-Bit0 iszero-number-of-Bit1*

### 30.10.3 The Less-Than Relation

**lemma** *double-less-0-iff*:

$(a + a < 0) = (a < (0 :: 'a :: \text{linordered-idom}))$

$\langle \text{proof} \rangle$

**lemma** *odd-less-0*:

$(1 + z + z < 0) = (z < (0::int))$   
 $\langle proof \rangle$

Less-Than or Equals

Reduces  $a \leq b$  to  $\neg b < a$  for ALL numerals.

**lemmas** *le-number-of-eq-not-less* =  
*linorder-not-less* [of number-of w number-of v, symmetric,  
 standard]

Absolute value (*abs*)

**lemma** *abs-number-of*:  
 $abs(number-of\ x::'a::\{\text{linordered-idom}, \text{number-ring}\}) =$   
 $(if\ number-of\ x < (0::'a)\ then\ -number-of\ x\ else\ number-of\ x)$   
 $\langle proof \rangle$

Re-orientation of the equation  $nnn=x$

**lemma** *number-of-reorient*:  
 $(number-of\ w = x) = (x = number-of\ w)$   
 $\langle proof \rangle$

#### 30.10.4 Simplification of arithmetic operations on integer constants.

**lemmas** *arith-extra-simps* [standard, simp] =  
*number-of-add* [symmetric]  
*number-of-minus* [symmetric]  
*numeral-m1-eq-minus-1* [symmetric]  
*number-of-mult* [symmetric]  
*diff-number-of-eq abs-number-of*

For making a minimal simpset, one must include these default simprules.  
 Also include *simp-thms*.

**lemmas** *arith-simps* =  
*normalize-bin-simps pred-bin-simps succ-bin-simps*  
*add-bin-simps minus-bin-simps mult-bin-simps*  
*abs-zero abs-one arith-extra-simps*

Simplification of relational operations

**lemma** *less-number-of* [simp]:  
 $(number-of\ x::'a::\{\text{linordered-idom}, \text{number-ring}\}) < number-of\ y \longleftrightarrow x < y$   
 $\langle proof \rangle$

**lemma** *le-number-of* [simp]:  
 $(number-of\ x::'a::\{\text{linordered-idom}, \text{number-ring}\}) \leq number-of\ y \longleftrightarrow x \leq y$   
 $\langle proof \rangle$

**lemma** *eq-number-of* [simp]:

$(\text{number-of } x :: 'a :: \{\text{ring-char-0}, \text{number-ring}\}) = \text{number-of } y \longleftrightarrow x = y$   
 $\langle \text{proof} \rangle$

**lemmas** *rel-simps* =  
*less-number-of less-bin-simps*  
*le-number-of le-bin-simps*  
*eq-number-of-eq eq-bin-simps*  
*iszero-simps*

### 30.10.5 Simplification of arithmetic when nested to the right.

**lemma** *add-number-of-left [simp]*:  
 $\text{number-of } v + (\text{number-of } w + z) =$   
 $(\text{number-of } (v + w) + z :: 'a :: \text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *mult-number-of-left [simp]*:  
 $\text{number-of } v * (\text{number-of } w * z) =$   
 $(\text{number-of } (v * w) * z :: 'a :: \text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *add-number-of-diff1*:  
 $\text{number-of } v + (\text{number-of } w - c) =$   
 $\text{number-of } (v + w) - (c :: 'a :: \text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *add-number-of-diff2 [simp]*:  
 $\text{number-of } v + (c - \text{number-of } w) =$   
 $\text{number-of } (v + \text{uminus } w) + (c :: 'a :: \text{number-ring})$   
 $\langle \text{proof} \rangle$

## 30.11 The Set of Integers

**context** *ring-1*  
**begin**

**definition** *Ints* :: 'a set **where**  
 $[\text{code del}]: \text{Ints} = \text{range of-int}$

**notation** (*xsymbols*)  
 $\text{Ints } (\mathbb{Z})$

**lemma** *Ints-of-int [simp]*:  $\text{of-int } z \in \mathbb{Z}$   
 $\langle \text{proof} \rangle$

**lemma** *Ints-of-nat [simp]*:  $\text{of-nat } n \in \mathbb{Z}$   
 $\langle \text{proof} \rangle$

**lemma** *Ints-0 [simp]*:  $0 \in \mathbb{Z}$   
 $\langle \text{proof} \rangle$

**lemma** *Ints-1* [*simp*]:  $1 \in \mathbb{Z}$

$\langle \text{proof} \rangle$

**lemma** *Ints-add* [*simp*]:  $a \in \mathbb{Z} \implies b \in \mathbb{Z} \implies a + b \in \mathbb{Z}$

$\langle \text{proof} \rangle$

**lemma** *Ints-minus* [*simp*]:  $a \in \mathbb{Z} \implies -a \in \mathbb{Z}$

$\langle \text{proof} \rangle$

**lemma** *Ints-diff* [*simp*]:  $a \in \mathbb{Z} \implies b \in \mathbb{Z} \implies a - b \in \mathbb{Z}$

$\langle \text{proof} \rangle$

**lemma** *Ints-mult* [*simp*]:  $a \in \mathbb{Z} \implies b \in \mathbb{Z} \implies a * b \in \mathbb{Z}$

$\langle \text{proof} \rangle$

**lemma** *Ints-power* [*simp*]:  $a \in \mathbb{Z} \implies a ^ n \in \mathbb{Z}$

$\langle \text{proof} \rangle$

**lemma** *Ints-cases* [*cases set: Ints*]:

**assumes**  $q \in \mathbb{Z}$

**obtains** (*of-int*)  $z$  **where**  $q = \text{of-int } z$

$\langle \text{proof} \rangle$

**lemma** *Ints-induct* [*case-names of-int, induct set: Ints*]:

$q \in \mathbb{Z} \implies (\bigwedge z. P (\text{of-int } z)) \implies P q$

$\langle \text{proof} \rangle$

**end**

The premise involving  $\mathbb{Z}$  prevents  $a = (1::'a) / (2::'a)$ .

**lemma** *Ints-double-eq-0-iff*:

**assumes** *in-Ints*:  $a \in \text{Ints}$

**shows**  $(a + a = 0) = (a = (0::'a::\text{ring-char-0}))$

$\langle \text{proof} \rangle$

**lemma** *Ints-odd-nonzero*:

**assumes** *in-Ints*:  $a \in \text{Ints}$

**shows**  $1 + a + a \neq (0::'a::\text{ring-char-0})$

$\langle \text{proof} \rangle$

**lemma** *Ints-number-of* [*simp*]:

$(\text{number-of } w :: 'a::\text{number-ring}) \in \text{Ints}$

$\langle \text{proof} \rangle$

**lemma** *Nats-number-of* [*simp*]:

$\text{Int.Pls} \leq w \implies (\text{number-of } w :: 'a::\text{number-ring}) \in \text{Nats}$

$\langle \text{proof} \rangle$

**lemma** *Ints-odd-less-0*:  
 assumes *in-Ints*:  $a \in \text{Ints}$   
 shows  $(1 + a + a < 0) = (a < (0::'a::\text{linordered-idom}))$   
 $\langle \text{proof} \rangle$

### 30.12 *setsum and setprod*

**lemma** *of-nat-setsum*:  $\text{of-nat} (\text{setsum } f \ A) = (\sum x \in A. \text{of-nat}(f \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *of-int-setsum*:  $\text{of-int} (\text{setsum } f \ A) = (\sum x \in A. \text{of-int}(f \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *of-nat-setprod*:  $\text{of-nat} (\text{setprod } f \ A) = (\prod x \in A. \text{of-nat}(f \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *of-int-setprod*:  $\text{of-int} (\text{setprod } f \ A) = (\prod x \in A. \text{of-int}(f \ x))$   
 $\langle \text{proof} \rangle$

**lemmas** *int-setsum* = *of-nat-setsum* [where '*a*=int]  
**lemmas** *int-setprod* = *of-nat-setprod* [where '*a*=int]

### 30.13 Inequality Reasoning for the Arithmetic Simproc

**lemma** *add-numeral-0*:  $\text{Numeral0} + a = (a::'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *add-numeral-0-right*:  $a + \text{Numeral0} = (a::'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *mult-numeral-1*:  $\text{Numeral1} * a = (a::'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *mult-numeral-1-right*:  $a * \text{Numeral1} = (a::'a::\text{number-ring})$   
 $\langle \text{proof} \rangle$

**lemma** *divide-numeral-1*:  $a / \text{Numeral1} = (a::'a::\{\text{number-ring}, \text{field}\})$   
 $\langle \text{proof} \rangle$

**lemma** *inverse-numeral-1*:  
 $\text{inverse } \text{Numeral1} = (\text{Numeral1}::'a::\{\text{number-ring}, \text{field}\})$   
 $\langle \text{proof} \rangle$

Theorem lists for the cancellation simprocs. The use of binary numerals for 0 and 1 reduces the number of special cases.

**lemmas** *add-0s* = *add-numeral-0 add-numeral-0-right*  
**lemmas** *mult-1s* = *mult-numeral-1 mult-numeral-1-right*  
*mult-minus1 mult-minus1-right*

### 30.14 Special Arithmetic Rules for Abstract 0 and 1

Arithmetic computations are defined for binary literals, which leaves 0 and 1 as special cases. Addition already has rules for 0, but not 1. Multiplication and unary minus already have rules for both 0 and 1.

**lemma** *binop-eq*:  $[[f\ x\ y = g\ x\ y; x = x'; y = y']] ==> f\ x'\ y' = g\ x'\ y'$   
 $\langle proof \rangle$

**lemmas** *add-number-of-eq* = *number-of-add* [*symmetric*]

Allow 1 on either or both sides

**lemma** *one-add-one-is-two*:  $1 + 1 = (2::'a::number-ring)$   
 $\langle proof \rangle$

**lemmas** *add-special* =  
*one-add-one-is-two*  
*binop-eq* [*of op* +, *OF add-number-of-eq numeral-1-eq-1 refl, standard*]  
*binop-eq* [*of op* +, *OF add-number-of-eq refl numeral-1-eq-1, standard*]

Allow 1 on either or both sides (1-1 already simplifies to 0)

**lemmas** *diff-special* =  
*binop-eq* [*of op* −, *OF diff-number-of-eq numeral-1-eq-1 refl, standard*]  
*binop-eq* [*of op* −, *OF diff-number-of-eq refl numeral-1-eq-1, standard*]

Allow 0 or 1 on either side with a binary numeral on the other

**lemmas** *eq-special* =  
*binop-eq* [*of op* =, *OF eq-number-of-eq numeral-0-eq-0 refl, standard*]  
*binop-eq* [*of op* =, *OF eq-number-of-eq numeral-1-eq-1 refl, standard*]  
*binop-eq* [*of op* =, *OF eq-number-of-eq refl numeral-0-eq-0, standard*]  
*binop-eq* [*of op* =, *OF eq-number-of-eq refl numeral-1-eq-1, standard*]

Allow 0 or 1 on either side with a binary numeral on the other

**lemmas** *less-special* =  
*binop-eq* [*of op* <, *OF less-number-of numeral-0-eq-0 refl, standard*]  
*binop-eq* [*of op* <, *OF less-number-of numeral-1-eq-1 refl, standard*]  
*binop-eq* [*of op* <, *OF less-number-of refl numeral-0-eq-0, standard*]  
*binop-eq* [*of op* <, *OF less-number-of refl numeral-1-eq-1, standard*]

Allow 0 or 1 on either side with a binary numeral on the other

**lemmas** *le-special* =  
*binop-eq* [*of op* ≤, *OF le-number-of numeral-0-eq-0 refl, standard*]  
*binop-eq* [*of op* ≤, *OF le-number-of numeral-1-eq-1 refl, standard*]  
*binop-eq* [*of op* ≤, *OF le-number-of refl numeral-0-eq-0, standard*]  
*binop-eq* [*of op* ≤, *OF le-number-of refl numeral-1-eq-1, standard*]

**lemmas** *arith-special*[*simp*] =



*add-special diff-special eq-special less-special le-special*

Legacy theorems

**lemmas** *zle-int* = *of-nat-le-iff* [where 'a=int]

**lemmas** *int-int-eq* = *of-nat-eq-iff* [where 'a=int]

### 30.15 Setting up simplification procedures

**lemmas** *int-arith-rules* =

*neg-le-iff-le numeral-0-eq-0 numeral-1-eq-1*

*minus-zero diff-minus left-minus right-minus*

*mult-zero-left mult-zero-right mult-Bit1 mult-1-left mult-1-right*

*mult-minus-left mult-minus-right*

*minus-add-distrib minus-minus mult-assoc*

*of-nat-0 of-nat-1 of-nat-Suc of-nat-add of-nat-mult*

*of-int-0 of-int-1 of-int-add of-int-mult*

$\langle ML \rangle$

### 30.16 Lemmas About Small Numerals

**lemma** *of-int-m1* [simp]: *of-int -1* = *(-1 :: 'a :: number-ring)*

$\langle proof \rangle$

**lemma** *abs-minus-one* [simp]: *abs (-1)* = *(1 :: 'a :: {linordered-idom, number-ring})*

$\langle proof \rangle$

**lemma** *abs-power-minus-one* [simp]:

*abs(-1 ^ n)* = *(1 :: 'a :: {linordered-idom, number-ring})*

$\langle proof \rangle$

**lemma** *of-int-number-of-eq* [simp]:

*of-int (number-of v)* = *(number-of v :: 'a :: number-ring)*

$\langle proof \rangle$

Lemmas for specialist use, NOT as default simprules

**lemma** *mult-2*: *2 \* z* = *(z + z :: 'a :: number-ring)*

$\langle proof \rangle$

**lemma** *mult-2-right*: *z \* 2* = *(z + z :: 'a :: number-ring)*

$\langle proof \rangle$

### 30.17 More Inequality Reasoning

**lemma** *zless-add1-eq*: *(w < z + (1 :: int))* = *(w < z | w = z)*

$\langle proof \rangle$

**lemma** *add1-zle-eq*: *(w + (1 :: int) ≤ z)* = *(w < z)*

$\langle proof \rangle$

**lemma** *zle-diff1-eq* [*simp*]:  $(w \leq z - (1::int)) = (w < z)$   
 $\langle proof \rangle$

**lemma** *zle-add1-eq-le* [*simp*]:  $(w < z + (1::int)) = (w \leq z)$   
 $\langle proof \rangle$

**lemma** *int-one-le-iff-zero-less*:  $((1::int) \leq z) = (0 < z)$   
 $\langle proof \rangle$

### 30.18 The functions *nat* and *int*

Simplify the terms *int* (*0::'a*), *int* (*Suc 0*) and *w + - z*

**declare** *Zero-int-def* [*symmetric, simp*]

**declare** *One-int-def* [*symmetric, simp*]

**lemmas** *diff-int-def-symmetric* = *diff-int-def* [*symmetric, simp*]

**lemma** *nat-0*:  $\text{nat } 0 = 0$   
 $\langle proof \rangle$

**lemma** *nat-1*:  $\text{nat } 1 = \text{Suc } 0$   
 $\langle proof \rangle$

**lemma** *nat-2*:  $\text{nat } 2 = \text{Suc } (\text{Suc } 0)$   
 $\langle proof \rangle$

**lemma** *one-less-nat-eq* [*simp*]:  $(\text{Suc } 0 < \text{nat } z) = (1 < z)$   
 $\langle proof \rangle$

This simplifies expressions of the form *int n = z* where *z* is an integer literal.

**lemmas** *int-eq-iff-number-of* [*simp*] = *int-eq-iff* [*of - number-of v, standard*]

**lemma** *split-nat* [*arith-split*]:

$P(\text{nat}(i::int)) = ((\forall n. i = \text{of-nat } n \longrightarrow P n) \ \& \ (i < 0 \longrightarrow P 0))$

(**is** ?*P* = (?*L* & ?*R*))

$\langle proof \rangle$

**context** *ring-1*

**begin**

**lemma** *of-int-of-nat* [*nitpick-simp*]:

$\text{of-int } k = (\text{if } k < 0 \text{ then } - \text{of-nat } (\text{nat } (- k)) \text{ else } \text{of-nat } (\text{nat } k))$

$\langle proof \rangle$

**end**

**lemma** *nat-mult-distrib*:

**fixes**  $z\ z' :: \text{int}$   
**assumes**  $0 \leq z$   
**shows**  $\text{nat } (z * z') = \text{nat } z * \text{nat } z'$   
 $\langle \text{proof} \rangle$

**lemma** *nat-mult-distrib-neg*:  $z \leq (0 :: \text{int}) \implies \text{nat}(z * z') = \text{nat}(-z) * \text{nat}(-z')$   
 $\langle \text{proof} \rangle$

**lemma** *nat-abs-mult-distrib*:  $\text{nat } (\text{abs } (w * z)) = \text{nat } (\text{abs } w) * \text{nat } (\text{abs } z)$   
 $\langle \text{proof} \rangle$

### 30.19 Induction principles for int

Well-founded segments of the integers

**definition**

*int-ge-less-than*  $:: \text{int} \Rightarrow (\text{int} * \text{int}) \text{ set}$

**where**

*int-ge-less-than*  $d = \{(z', z). d \leq z' \ \& \ z' < z\}$

**theorem** *wf-int-ge-less-than*:  $\text{wf } (\text{int-ge-less-than } d)$   
 $\langle \text{proof} \rangle$

This variant looks odd, but is typical of the relations suggested by Rank-Finder.

**definition**

*int-ge-less-than2*  $:: \text{int} \Rightarrow (\text{int} * \text{int}) \text{ set}$

**where**

*int-ge-less-than2*  $d = \{(z', z). d \leq z \ \& \ z' < z\}$

**theorem** *wf-int-ge-less-than2*:  $\text{wf } (\text{int-ge-less-than2 } d)$   
 $\langle \text{proof} \rangle$

**abbreviation**

*int*  $:: \text{nat} \Rightarrow \text{int}$

**where**

*int*  $\equiv \text{of-nat}$

**theorem** *int-ge-induct* [*case-names* *base step*, *induct set*: *int*]:  
**fixes**  $i :: \text{int}$   
**assumes** *ge*:  $k \leq i$  **and**  
*base*:  $P\ k$  **and**  
*step*:  $\bigwedge i. k \leq i \implies P\ i \implies P\ (i + 1)$   
**shows**  $P\ i$   
 $\langle \text{proof} \rangle$

**theorem** *int-gr-induct* [*case-names* *base step*, *induct set*: *int*]:

**assumes**  $gr: k < (i::int)$  **and**  
      $base: P(k+1)$  **and**  
      $step: \bigwedge i. \llbracket k < i; P\ i \rrbracket \implies P(i+1)$   
**shows**  $P\ i$   
 $\langle proof \rangle$

**theorem** *int-le-induct* [*consumes 1, case-names base step*]:  
**assumes**  $le: i \leq (k::int)$  **and**  
      $base: P(k)$  **and**  
      $step: \bigwedge i. \llbracket i \leq k; P\ i \rrbracket \implies P(i - 1)$   
**shows**  $P\ i$   
 $\langle proof \rangle$

**theorem** *int-less-induct* [*consumes 1, case-names base step*]:  
**assumes**  $less: (i::int) < k$  **and**  
      $base: P(k - 1)$  **and**  
      $step: \bigwedge i. \llbracket i < k; P\ i \rrbracket \implies P(i - 1)$   
**shows**  $P\ i$   
 $\langle proof \rangle$

**theorem** *int-induct* [*case-names base step1 step2*]:  
**fixes**  $k :: int$   
**assumes**  $base: P\ k$   
     **and**  $step1: \bigwedge i. k \leq i \implies P\ i \implies P\ (i + 1)$   
     **and**  $step2: \bigwedge i. k \geq i \implies P\ i \implies P\ (i - 1)$   
**shows**  $P\ i$   
 $\langle proof \rangle$

### 30.20 Intermediate value theorems

**lemma** *int-val-lemma*:  
      $(\forall i < n::nat. abs(f(i+1) - f\ i) \leq 1) \dashv\dashv$   
      $f\ 0 \leq k \dashv\dashv k \leq f\ n \dashv\dashv (\exists i \leq n. f\ i = (k::int))$   
 $\langle proof \rangle$

**lemmas** *nat0-intermed-int-val* = *int-val-lemma* [*rule-format (no-asm)*]

**lemma** *nat-intermed-int-val*:  
      $\llbracket \forall i. m \leq i \ \& \ i < n \dashv\dashv abs(f(i + 1::nat) - f\ i) \leq 1; m < n;$   
      $f\ m \leq k; k \leq f\ n \rrbracket \implies ?\ i. m \leq i \ \& \ i \leq n \ \& \ f\ i = (k::int)$   
 $\langle proof \rangle$

### 30.21 Products and 1, by T. M. Rasmussen

**lemma** *zabs-less-one-iff* [*simp*]:  $(|z| < 1) = (z = (0::int))$   
 $\langle proof \rangle$

**lemma** *abs-zmult-eq-1*:  
**assumes**  $mn: |m * n| = 1$   
**shows**  $|m| = (1::int)$

$\langle proof \rangle$

**lemma** *pos-zmult-eq-1-iff-lemma*:  $(m * n = 1) ==> m = (1::int) \mid m = -1$   
 $\langle proof \rangle$

**lemma** *pos-zmult-eq-1-iff*:  
**assumes**  $0 < (m::int)$  **shows**  $(m * n = 1) = (m = 1 \ \& \ n = 1)$   
 $\langle proof \rangle$

**lemma** *zmult-eq-1-iff*:  $(m*n = (1::int)) = ((m = 1 \ \& \ n = 1) \mid (m = -1 \ \& \ n = -1))$   
 $\langle proof \rangle$

**lemma** *infinite-UNIV-int*:  $\neg \text{finite } (UNIV::int \text{ set})$   
 $\langle proof \rangle$

## 30.22 Further theorems on numerals

### 30.22.1 Special Simplification for Constants

These distributive laws move literals inside sums and differences.

**lemmas** *left-distrib-number-of [simp]* =  
*left-distrib [of - - number-of v, standard]*

**lemmas** *right-distrib-number-of [simp]* =  
*right-distrib [of number-of v, standard]*

**lemmas** *left-diff-distrib-number-of [simp]* =  
*left-diff-distrib [of - - number-of v, standard]*

**lemmas** *right-diff-distrib-number-of [simp]* =  
*right-diff-distrib [of number-of v, standard]*

These are actually for fields, like real: but where else to put them?

**lemmas** *zero-less-divide-iff-number-of [simp, no-atp]* =  
*zero-less-divide-iff [of number-of w, standard]*

**lemmas** *divide-less-0-iff-number-of [simp, no-atp]* =  
*divide-less-0-iff [of number-of w, standard]*

**lemmas** *zero-le-divide-iff-number-of [simp, no-atp]* =  
*zero-le-divide-iff [of number-of w, standard]*

**lemmas** *divide-le-0-iff-number-of [simp, no-atp]* =  
*divide-le-0-iff [of number-of w, standard]*

Replaces *inverse #nn* by  $1/\#nn$ . It looks strange, but then other simprocs simplify the quotient.

**lemmas** *inverse-eq-divide-number-of [simp]* =

*inverse-eq-divide [of number-of w, standard]*

These laws simplify inequalities, moving unary minus from a term into the literal.

**lemmas** *less-minus-iff-number-of [simp, no-atp] =*  
*less-minus-iff [of number-of v, standard]*

**lemmas** *le-minus-iff-number-of [simp, no-atp] =*  
*le-minus-iff [of number-of v, standard]*

**lemmas** *equation-minus-iff-number-of [simp, no-atp] =*  
*equation-minus-iff [of number-of v, standard]*

**lemmas** *minus-less-iff-number-of [simp, no-atp] =*  
*minus-less-iff [of - number-of v, standard]*

**lemmas** *minus-le-iff-number-of [simp, no-atp] =*  
*minus-le-iff [of - number-of v, standard]*

**lemmas** *minus-equation-iff-number-of [simp, no-atp] =*  
*minus-equation-iff [of - number-of v, standard]*

To Simplify Inequalities Where One Side is the Constant 1

**lemma** *less-minus-iff-1 [simp,no-atp]:*  
**fixes** *b::'b::{linordered-idom,number-ring}*  
**shows**  $(1 < - b) = (b < -1)$   
*<proof>*

**lemma** *le-minus-iff-1 [simp,no-atp]:*  
**fixes** *b::'b::{linordered-idom,number-ring}*  
**shows**  $(1 \leq - b) = (b \leq -1)$   
*<proof>*

**lemma** *equation-minus-iff-1 [simp,no-atp]:*  
**fixes** *b::'b::number-ring*  
**shows**  $(1 = - b) = (b = -1)$   
*<proof>*

**lemma** *minus-less-iff-1 [simp,no-atp]:*  
**fixes** *a::'a::{linordered-idom,number-ring}*  
**shows**  $(- a < 1) = (-1 < a)$   
*<proof>*

**lemma** *minus-le-iff-1 [simp,no-atp]:*  
**fixes** *a::'a::{linordered-idom,number-ring}*  
**shows**  $(- a \leq 1) = (-1 \leq a)$   
*<proof>*

**lemma** *minus-equation-iff-1 [simp,no-atp]:*

**fixes**  $a::'b::\text{number-ring}$   
**shows**  $(- a = 1) = (a = -1)$   
 $\langle \text{proof} \rangle$

Cancellation of constant factors in comparisons ( $<$  and  $\leq$ )

**lemmas**  $\text{mult-less-cancel-left-number-of} \ [\text{simp}, \text{no-atp}] =$   
 $\text{mult-less-cancel-left} \ [\text{of number-of } v, \text{standard}]$

**lemmas**  $\text{mult-less-cancel-right-number-of} \ [\text{simp}, \text{no-atp}] =$   
 $\text{mult-less-cancel-right} \ [\text{of - number-of } v, \text{standard}]$

**lemmas**  $\text{mult-le-cancel-left-number-of} \ [\text{simp}, \text{no-atp}] =$   
 $\text{mult-le-cancel-left} \ [\text{of number-of } v, \text{standard}]$

**lemmas**  $\text{mult-le-cancel-right-number-of} \ [\text{simp}, \text{no-atp}] =$   
 $\text{mult-le-cancel-right} \ [\text{of - number-of } v, \text{standard}]$

Multiplying out constant divisors in comparisons ( $<$ ,  $\leq$  and  $=$ )

**lemmas**  $\text{le-divide-eq-number-of1} \ [\text{simp}] = \text{le-divide-eq} \ [\text{of - - number-of } w, \text{standard}]$

**lemmas**  $\text{divide-le-eq-number-of1} \ [\text{simp}] = \text{divide-le-eq} \ [\text{of - number-of } w, \text{standard}]$

**lemmas**  $\text{less-divide-eq-number-of1} \ [\text{simp}] = \text{less-divide-eq} \ [\text{of - - number-of } w, \text{standard}]$

**lemmas**  $\text{divide-less-eq-number-of1} \ [\text{simp}] = \text{divide-less-eq} \ [\text{of - number-of } w, \text{standard}]$

**lemmas**  $\text{eq-divide-eq-number-of1} \ [\text{simp}] = \text{eq-divide-eq} \ [\text{of - - number-of } w, \text{standard}]$

**lemmas**  $\text{divide-eq-eq-number-of1} \ [\text{simp}] = \text{divide-eq-eq} \ [\text{of - number-of } w, \text{standard}]$

### 30.22.2 Optional Simplification Rules Involving Constants

Simplify quotients that are compared with a literal constant.

**lemmas**  $\text{le-divide-eq-number-of} = \text{le-divide-eq} \ [\text{of number-of } w, \text{standard}]$

**lemmas**  $\text{divide-le-eq-number-of} = \text{divide-le-eq} \ [\text{of - - number-of } w, \text{standard}]$

**lemmas**  $\text{less-divide-eq-number-of} = \text{less-divide-eq} \ [\text{of number-of } w, \text{standard}]$

**lemmas**  $\text{divide-less-eq-number-of} = \text{divide-less-eq} \ [\text{of - - number-of } w, \text{standard}]$

**lemmas**  $\text{eq-divide-eq-number-of} = \text{eq-divide-eq} \ [\text{of number-of } w, \text{standard}]$

**lemmas**  $\text{divide-eq-eq-number-of} = \text{divide-eq-eq} \ [\text{of - - number-of } w, \text{standard}]$

Not good as automatic simprules because they cause case splits.

**lemmas**  $\text{divide-const-simps} =$

$\text{le-divide-eq-number-of divide-le-eq-number-of less-divide-eq-number-of}$   
 $\text{divide-less-eq-number-of eq-divide-eq-number-of divide-eq-eq-number-of}$   
 $\text{le-divide-eq-1 divide-le-eq-1 less-divide-eq-1 divide-less-eq-1}$

Division By  $-1$

**lemma**  $\text{divide-minus1} \ [\text{simp}]$ :

$x/-1 = -(x::'a::\{\text{field-inverse-zero}, \text{number-ring}\})$

$\langle proof \rangle$

**lemma** *minus1-divide* [simp]:

$$-1 / (x::'a::\{\text{field-inverse-zero, number-ring}\}) = - (1/x)$$

$\langle proof \rangle$

**lemma** *half-gt-zero-iff*:

$$(0 < r/2) = (0 < (r::'a::\{\text{linordered-field-inverse-zero, number-ring}\}))$$

$\langle proof \rangle$

**lemmas** *half-gt-zero* [simp] = *half-gt-zero-iff* [THEN *iffD2*, standard]

**lemma** *divide-Numeral1*:

$$(x::'a::\{\text{field, number-ring}\}) / \text{Numeral1} = x$$

$\langle proof \rangle$

**lemma** *divide-Numeral0*:

$$(x::'a::\{\text{field-inverse-zero, number-ring}\}) / \text{Numeral0} = 0$$

$\langle proof \rangle$

### 30.23 The divides relation

**lemma** *zdvd-antisym-nonneg*:

$$0 \leq m \implies 0 \leq n \implies m \text{ dvd } n \implies n \text{ dvd } m \implies m = (n::\text{int})$$

$\langle proof \rangle$

**lemma** *zdvd-antisym-abs*: **assumes**  $(a::\text{int}) \text{ dvd } b$  **and**  $b \text{ dvd } a$

**shows**  $|a| = |b|$

$\langle proof \rangle$

**lemma** *zdvd-zdiffD*:  $k \text{ dvd } m - n \implies k \text{ dvd } n \implies k \text{ dvd } (m::\text{int})$

$\langle proof \rangle$

**lemma** *zdvd-reduce*:  $(k \text{ dvd } n + k * m) = (k \text{ dvd } (n::\text{int}))$

$\langle proof \rangle$

**lemma** *dvd-imp-le-int*:

**fixes**  $d \ i :: \text{int}$

**assumes**  $i \neq 0$  **and**  $d \text{ dvd } i$

**shows**  $|d| \leq |i|$

$\langle proof \rangle$

**lemma** *zdvd-not-zless*:

**fixes**  $m \ n :: \text{int}$

**assumes**  $0 < m$  **and**  $m < n$

**shows**  $\neg n \text{ dvd } m$

$\langle proof \rangle$

**lemma** *zdvd-mult-cancel*: **assumes**  $d:k * m \text{ dvd } k * n$  **and**  $kz:k \neq (0::\text{int})$



**shows**  $m \text{ dvd } n$   
 $\langle \text{proof} \rangle$

**theorem**  $\text{zdvd-int}$ :  $(x \text{ dvd } y) = (\text{int } x \text{ dvd int } y)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{zdvd1-eq[simp]}$ :  $(x::\text{int}) \text{ dvd } 1 = (|x| = 1)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{zdvd-mult-cancel1}$ :  
**assumes**  $mp:m \neq (0::\text{int})$  **shows**  $(m * n \text{ dvd } m) = (|n| = 1)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{int-dvd-iff}$ :  $(\text{int } m \text{ dvd } z) = (m \text{ dvd nat } (\text{abs } z))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{dvd-int-iff}$ :  $(z \text{ dvd int } m) = (\text{nat } (\text{abs } z) \text{ dvd } m)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{nat-dvd-iff}$ :  $(\text{nat } z \text{ dvd } m) = (\text{if } 0 \leq z \text{ then } (z \text{ dvd int } m) \text{ else } m = 0)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{eq-nat-nat-iff}$ :  
 $0 \leq z \implies 0 \leq z' \implies \text{nat } z = \text{nat } z' \longleftrightarrow z = z'$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{nat-power-eq}$ :  
 $0 \leq z \implies \text{nat } (z ^ n) = \text{nat } z ^ n$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{zdvd-imp-le}$ :  $[| z \text{ dvd } n; 0 < n |] \implies z \leq (n::\text{int})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{zdvd-period}$ :  
**fixes**  $a \text{ } d :: \text{int}$   
**assumes**  $a \text{ dvd } d$   
**shows**  $a \text{ dvd } (x + t) \longleftrightarrow a \text{ dvd } ((x + c * d) + t)$   
 $\langle \text{proof} \rangle$

### 30.24 Configuration of the code generator

**code-datatype**  $\text{Pls Min Bit0 Bit1 number-of} :: \text{int} \Rightarrow \text{int}$

**lemmas**  $\text{pred-succ-numeral-code}$   $[\text{code}] =$   
 $\text{pred-bin-simps succ-bin-simps}$

**lemmas**  $\text{plus-numeral-code}$   $[\text{code}] =$   
 $\text{add-bin-simps}$   
 $\text{arith-extra-simps}(1) [\text{where } 'a = \text{int}]$

```

lemmas minus-numeral-code [code] =
  minus-bin-simps
  arith-extra-simps(2) [where 'a = int]
  arith-extra-simps(5) [where 'a = int]

lemmas times-numeral-code [code] =
  mult-bin-simps
  arith-extra-simps(4) [where 'a = int]

instantiation int :: eq
begin

definition [code del]: eq-class.eq k l  $\longleftrightarrow$  k - l = (0::int)

instance <proof>

end

lemma eq-number-of-int-code [code]:
  eq-class.eq (number-of k :: int) (number-of l)  $\longleftrightarrow$  eq-class.eq k l
  <proof>

lemma eq-int-code [code]:
  eq-class.eq Int.Pls Int.Pls  $\longleftrightarrow$  True
  eq-class.eq Int.Pls Int.Min  $\longleftrightarrow$  False
  eq-class.eq Int.Pls (Int.Bit0 k2)  $\longleftrightarrow$  eq-class.eq Int.Pls k2
  eq-class.eq Int.Pls (Int.Bit1 k2)  $\longleftrightarrow$  False
  eq-class.eq Int.Min Int.Pls  $\longleftrightarrow$  False
  eq-class.eq Int.Min Int.Min  $\longleftrightarrow$  True
  eq-class.eq Int.Min (Int.Bit0 k2)  $\longleftrightarrow$  False
  eq-class.eq Int.Min (Int.Bit1 k2)  $\longleftrightarrow$  eq-class.eq Int.Min k2
  eq-class.eq (Int.Bit0 k1) Int.Pls  $\longleftrightarrow$  eq-class.eq k1 Int.Pls
  eq-class.eq (Int.Bit1 k1) Int.Pls  $\longleftrightarrow$  False
  eq-class.eq (Int.Bit0 k1) Int.Min  $\longleftrightarrow$  False
  eq-class.eq (Int.Bit1 k1) Int.Min  $\longleftrightarrow$  eq-class.eq k1 Int.Min
  eq-class.eq (Int.Bit0 k1) (Int.Bit0 k2)  $\longleftrightarrow$  eq-class.eq k1 k2
  eq-class.eq (Int.Bit0 k1) (Int.Bit1 k2)  $\longleftrightarrow$  False
  eq-class.eq (Int.Bit1 k1) (Int.Bit0 k2)  $\longleftrightarrow$  False
  eq-class.eq (Int.Bit1 k1) (Int.Bit1 k2)  $\longleftrightarrow$  eq-class.eq k1 k2
  <proof>

lemma eq-int-refl [code nbe]:
  eq-class.eq (k::int) k  $\longleftrightarrow$  True
  <proof>

lemma less-eq-number-of-int-code [code]:
  (number-of k :: int)  $\leq$  number-of l  $\longleftrightarrow$  k  $\leq$  l
  <proof>

```

**lemma** *less-eq-int-code* [code]:

$Int.Pls \leq Int.Pls \longleftrightarrow True$   
 $Int.Pls \leq Int.Min \longleftrightarrow False$   
 $Int.Pls \leq Int.Bit0\ k \longleftrightarrow Int.Pls \leq k$   
 $Int.Pls \leq Int.Bit1\ k \longleftrightarrow Int.Pls \leq k$   
 $Int.Min \leq Int.Pls \longleftrightarrow True$   
 $Int.Min \leq Int.Min \longleftrightarrow True$   
 $Int.Min \leq Int.Bit0\ k \longleftrightarrow Int.Min < k$   
 $Int.Min \leq Int.Bit1\ k \longleftrightarrow Int.Min \leq k$   
 $Int.Bit0\ k \leq Int.Pls \longleftrightarrow k \leq Int.Pls$   
 $Int.Bit1\ k \leq Int.Pls \longleftrightarrow k < Int.Pls$   
 $Int.Bit0\ k \leq Int.Min \longleftrightarrow k \leq Int.Min$   
 $Int.Bit1\ k \leq Int.Min \longleftrightarrow k \leq Int.Min$   
 $Int.Bit0\ k1 \leq Int.Bit0\ k2 \longleftrightarrow k1 \leq k2$   
 $Int.Bit0\ k1 \leq Int.Bit1\ k2 \longleftrightarrow k1 \leq k2$   
 $Int.Bit1\ k1 \leq Int.Bit0\ k2 \longleftrightarrow k1 < k2$   
 $Int.Bit1\ k1 \leq Int.Bit1\ k2 \longleftrightarrow k1 \leq k2$   
 ⟨proof⟩

**lemma** *less-number-of-int-code* [code]:

$(number-of\ k :: int) < number-of\ l \longleftrightarrow k < l$   
 ⟨proof⟩

**lemma** *less-int-code* [code]:

$Int.Pls < Int.Pls \longleftrightarrow False$   
 $Int.Pls < Int.Min \longleftrightarrow False$   
 $Int.Pls < Int.Bit0\ k \longleftrightarrow Int.Pls < k$   
 $Int.Pls < Int.Bit1\ k \longleftrightarrow Int.Pls \leq k$   
 $Int.Min < Int.Pls \longleftrightarrow True$   
 $Int.Min < Int.Min \longleftrightarrow False$   
 $Int.Min < Int.Bit0\ k \longleftrightarrow Int.Min < k$   
 $Int.Min < Int.Bit1\ k \longleftrightarrow Int.Min < k$   
 $Int.Bit0\ k < Int.Pls \longleftrightarrow k < Int.Pls$   
 $Int.Bit1\ k < Int.Pls \longleftrightarrow k < Int.Pls$   
 $Int.Bit0\ k < Int.Min \longleftrightarrow k \leq Int.Min$   
 $Int.Bit1\ k < Int.Min \longleftrightarrow k < Int.Min$   
 $Int.Bit0\ k1 < Int.Bit0\ k2 \longleftrightarrow k1 < k2$   
 $Int.Bit0\ k1 < Int.Bit1\ k2 \longleftrightarrow k1 \leq k2$   
 $Int.Bit1\ k1 < Int.Bit0\ k2 \longleftrightarrow k1 < k2$   
 $Int.Bit1\ k1 < Int.Bit1\ k2 \longleftrightarrow k1 < k2$   
 ⟨proof⟩

**definition**

$nat\_aux :: int \Rightarrow nat \Rightarrow nat$  **where**  
 $nat\_aux\ i\ n = nat\ i + n$

**lemma** [code]:

$nat\_aux\ i\ n = (if\ i \leq 0\ then\ n\ else\ nat\_aux\ (i - 1)\ (Suc\ n))$  — tail recursive

*<proof>*

**lemma** [*code*]: *nat i = nat-aux i 0*  
*<proof>*

**hide-const** (**open**) *nat-aux*

**lemma** *zero-is-num-zero* [*code*, *code-unfold-post*]:  
*(0::int) = Numeral0*  
*<proof>*

**lemma** *one-is-num-one* [*code*, *code-unfold-post*]:  
*(1::int) = Numeral1*  
*<proof>*

**code-modulename** *SML*  
*Int Arith*

**code-modulename** *OCaml*  
*Int Arith*

**code-modulename** *Haskell*  
*Int Arith*

**types-code**  
*int (int)*  
**attach** (*term-of*) *<<*  
*val term-of-int = HOLogic.mk-number HOLogic.intT;*  
*>>*  
**attach** (*test*) *<<*  
*fun gen-int i =*  
*let val j = one-of [*~1*, *1*] \* random-range 0 i*  
*in (j, fn () => term-of-int j) end;*  
*>>*

*<ML>*

**consts-code**  
*number-of :: int => int   ((-))*  
*0 :: int                   (0)*  
*1 :: int                   (1)*  
*uminus :: int => int       (~)*  
*op + :: int => int => int   ((- +/ -))*  
*op \* :: int => int => int   ((- \*/ -))*  
*op ≤ :: int => int => bool   ((- <=/ -))*  
*op < :: int => int => bool   ((- </ -))*

**quickcheck-params** [*default-type = int*]

**hide-const** (**open**) *Pls Min Bit0 Bit1 succ pred*

### 30.25 Legacy theorems

**lemmas** *zminus-zminus = minus-minus* [*of z::int, standard*]  
**lemmas** *zminus-0 = minus-zero* [**where** *'a=int*]  
**lemmas** *zminus-zadd-distrib = minus-add-distrib* [*of z::int w, standard*]  
**lemmas** *zadd-commute = add-commute* [*of z::int w, standard*]  
**lemmas** *zadd-assoc = add-assoc* [*of z1::int z2 z3, standard*]  
**lemmas** *zadd-left-commute = add-left-commute* [*of x::int y z, standard*]  
**lemmas** *zadd-ac = zadd-assoc zadd-commute zadd-left-commute*  
**lemmas** *zmult-ac = mult-ac*  
**lemmas** *zadd-0 = add-0-left* [*of z::int, standard*]  
**lemmas** *zadd-0-right = add-0-right* [*of z::int, standard*]  
**lemmas** *zadd-zminus-inverse2 = left-minus* [*of z::int, standard*]  
**lemmas** *zmult-zminus = mult-minus-left* [*of z::int w, standard*]  
**lemmas** *zmult-commute = mult-commute* [*of z::int w, standard*]  
**lemmas** *zmult-assoc = mult-assoc* [*of z1::int z2 z3, standard*]  
**lemmas** *zadd-zmult-distrib = left-distrib* [*of z1::int z2 w, standard*]  
**lemmas** *zadd-zmult-distrib2 = right-distrib* [*of w::int z1 z2, standard*]  
**lemmas** *zdiff-zmult-distrib = left-diff-distrib* [*of z1::int z2 w, standard*]  
**lemmas** *zdiff-zmult-distrib2 = right-diff-distrib* [*of w::int z1 z2, standard*]  
  
**lemmas** *zmult-1 = mult-1-left* [*of z::int, standard*]  
**lemmas** *zmult-1-right = mult-1-right* [*of z::int, standard*]  
  
**lemmas** *zle-refl = order-refl* [*of w::int, standard*]  
**lemmas** *zle-trans = order-trans* [**where** *'a=int and x=i and y=j and z=k, standard*]  
**lemmas** *zle-antisym = order-antisym* [*of z::int w, standard*]  
**lemmas** *zle-linear = linorder-linear* [*of z::int w, standard*]  
**lemmas** *zless-linear = linorder-less-linear* [**where** *'a = int*]  
  
**lemmas** *zadd-left-mono = add-left-mono* [*of i::int j k, standard*]  
**lemmas** *zadd-strict-right-mono = add-strict-right-mono* [*of i::int j k, standard*]  
**lemmas** *zadd-zless-mono = add-less-le-mono* [*of w'::int w z' z, standard*]  
  
**lemmas** *int-0-less-1 = zero-less-one* [**where** *'a=int*]  
**lemmas** *int-0-neq-1 = zero-neq-one* [**where** *'a=int*]  
  
**lemmas** *inj-int = inj-of-nat* [**where** *'a=int*]  
**lemmas** *zadd-int = of-nat-add* [**where** *'a=int, symmetric*]  
**lemmas** *int-mult = of-nat-mult* [**where** *'a=int*]  
**lemmas** *zmult-int = of-nat-mult* [**where** *'a=int, symmetric*]  
**lemmas** *int-eq-0-conv = of-nat-eq-0-iff* [**where** *'a=int and m=n, standard*]  
**lemmas** *zless-int = of-nat-less-iff* [**where** *'a=int*]  
**lemmas** *int-less-0-conv = of-nat-less-0-iff* [**where** *'a=int and m=k, standard*]  
**lemmas** *zero-less-int-conv = of-nat-0-less-iff* [**where** *'a=int*]  
**lemmas** *zero-zle-int = of-nat-0-le-iff* [**where** *'a=int*]

```

lemmas int-le-0-conv = of-nat-le-0-iff [where 'a=int and m=n, standard]
lemmas int-0 = of-nat-0 [where 'a=int]
lemmas int-1 = of-nat-1 [where 'a=int]
lemmas int-Suc = of-nat-Suc [where 'a=int]
lemmas abs-int-eq = abs-of-nat [where 'a=int and n=m, standard]
lemmas of-int-int-eq = of-int-of-nat-eq [where 'a=int]
lemmas zdifff-int = of-nat-diff [where 'a=int, symmetric]
lemmas zless-le = less-int-def
lemmas int-eq-of-nat = TrueI

```

```

lemma zpower-zadd-distrib:
   $x \wedge (y + z) = ((x \wedge y) * (x \wedge z))::int$ 
  <proof>

```

```

lemma zero-less-zpower-abs-iff:
   $(0 < \text{abs } x \wedge n) \longleftrightarrow (x \neq (0::int) \mid n = 0)$ 
  <proof>

```

```

lemma zero-le-zpower-abs:  $(0::int) \leq \text{abs } x \wedge n$ 
  <proof>

```

```

lemma zpower-zpower:
   $(x \wedge y) \wedge z = (x \wedge (y * z))::int$ 
  <proof>

```

```

lemma int-power:
   $\text{int } (m \wedge n) = \text{int } m \wedge n$ 
  <proof>

```

```

lemmas zpower-int = int-power [symmetric]

```

```

end

```

## 31 Nat-Numeral: Binary numerals for the natural numbers

```

theory Nat-Numeral
imports Int
begin

```

### 31.1 Numerals for natural numbers

Arithmetic for naturals is reduced to that for the non-negative integers.

```

instantiation nat :: number
begin

```

```

definition

```

```

nat-number-of-def [code-unfold, code del]: number-of v = nat (number-of v)

instance ⟨proof⟩

end

lemma [code-post]:
  nat (number-of v) = number-of v
  ⟨proof⟩

```

### 31.2 Special case: squares and cubes

```

lemma numeral-2-eq-2: 2 = Suc (Suc 0)
  ⟨proof⟩

lemma numeral-3-eq-3: 3 = Suc (Suc (Suc 0))
  ⟨proof⟩

context power
begin

abbreviation (xsymbols)
  power2 :: 'a ⇒ 'a ((2) [1000] 999) where
  x2 ≡ x ^ 2

notation (latex output)
  power2 ((2) [1000] 999)

notation (HTML output)
  power2 ((2) [1000] 999)

end

context monoid-mult
begin

lemma power2-eq-square: a2 = a * a
  ⟨proof⟩

lemma power3-eq-cube: a ^ 3 = a * a * a
  ⟨proof⟩

lemma power-even-eq:
  a ^ (2*n) = (a ^ n) ^ 2
  ⟨proof⟩

lemma power-odd-eq:
  a ^ Suc (2*n) = a * (a ^ n) ^ 2
  ⟨proof⟩

```

**end**

**context** *semiring-1*  
**begin**

**lemma** *zero-power2* [*simp*]:  $0^2 = 0$   
   $\langle proof \rangle$

**lemma** *one-power2* [*simp*]:  $1^2 = 1$   
   $\langle proof \rangle$

**end**

**context** *ring-1*  
**begin**

**lemma** *power2-minus* [*simp*]:  
   $(- a)^2 = a^2$   
   $\langle proof \rangle$

We cannot prove general results about the numeral  $-1::'b$ , so we have to use  $-(1::'a)$  instead.

**lemma** *power-minus1-even* [*simp*]:  
   $(- 1) ^ (2*n) = 1$   
   $\langle proof \rangle$

**lemma** *power-minus1-odd*:  
   $(- 1) ^ Suc (2*n) = - 1$   
   $\langle proof \rangle$

**lemma** *power-minus-even* [*simp*]:  
   $(-a) ^ (2*n) = a ^ (2*n)$   
   $\langle proof \rangle$

**end**

**context** *ring-1-no-zero-divisors*  
**begin**

**lemma** *zero-eq-power2* [*simp*]:  
   $a^2 = 0 \longleftrightarrow a = 0$   
   $\langle proof \rangle$

**lemma** *power2-eq-1-iff*:  
   $a^2 = 1 \longleftrightarrow a = 1 \vee a = - 1$   
   $\langle proof \rangle$

**end**



**context** *linordered-ring*

**begin**

**lemma** *sum-squares-ge-zero*:

$$0 \leq x * x + y * y$$

*<proof>*

**lemma** *not-sum-squares-lt-zero*:

$$\neg x * x + y * y < 0$$

*<proof>*

**end**

**context** *linordered-ring-strict*

**begin**

**lemma** *sum-squares-eq-zero-iff*:

$$x * x + y * y = 0 \longleftrightarrow x = 0 \wedge y = 0$$

*<proof>*

**lemma** *sum-squares-le-zero-iff*:

$$x * x + y * y \leq 0 \longleftrightarrow x = 0 \wedge y = 0$$

*<proof>*

**lemma** *sum-squares-gt-zero-iff*:

$$0 < x * x + y * y \longleftrightarrow x \neq 0 \vee y \neq 0$$

*<proof>*

**end**

**context** *linordered-semidom*

**begin**

**lemma** *power2-le-imp-le*:

$$x^2 \leq y^2 \Longrightarrow 0 \leq y \Longrightarrow x \leq y$$

*<proof>*

**lemma** *power2-less-imp-less*:

$$x^2 < y^2 \Longrightarrow 0 \leq y \Longrightarrow x < y$$

*<proof>*

**lemma** *power2-eq-imp-eq*:

$$x^2 = y^2 \Longrightarrow 0 \leq x \Longrightarrow 0 \leq y \Longrightarrow x = y$$

*<proof>*

**end**

**context** *linordered-idom*

**begin**

**lemma** *zero-le-power2* [*simp*]:  
 $0 \leq a^2$   
 $\langle \text{proof} \rangle$

**lemma** *zero-less-power2* [*simp*]:  
 $0 < a^2 \iff a \neq 0$   
 $\langle \text{proof} \rangle$

**lemma** *power2-less-0* [*simp*]:  
 $\neg a^2 < 0$   
 $\langle \text{proof} \rangle$

**lemma** *abs-power2* [*simp*]:  
 $\text{abs } (a^2) = a^2$   
 $\langle \text{proof} \rangle$

**lemma** *power2-abs* [*simp*]:  
 $(\text{abs } a)^2 = a^2$   
 $\langle \text{proof} \rangle$

**lemma** *odd-power-less-zero*:  
 $a < 0 \implies a \wedge \text{Suc } (2*n) < 0$   
 $\langle \text{proof} \rangle$

**lemma** *odd-0-le-power-imp-0-le*:  
 $0 \leq a \wedge \text{Suc } (2*n) \implies 0 \leq a$   
 $\langle \text{proof} \rangle$

**lemma** *zero-le-even-power'* [*simp*]:  
 $0 \leq a \wedge (2*n)$   
 $\langle \text{proof} \rangle$

**lemma** *sum-power2-ge-zero*:  
 $0 \leq x^2 + y^2$   
 $\langle \text{proof} \rangle$

**lemma** *not-sum-power2-lt-zero*:  
 $\neg x^2 + y^2 < 0$   
 $\langle \text{proof} \rangle$

**lemma** *sum-power2-eq-zero-iff*:  
 $x^2 + y^2 = 0 \iff x = 0 \wedge y = 0$   
 $\langle \text{proof} \rangle$

**lemma** *sum-power2-le-zero-iff*:  
 $x^2 + y^2 \leq 0 \iff x = 0 \wedge y = 0$   
 $\langle \text{proof} \rangle$

**lemma** *sum-power2-gt-zero-iff*:  
 $0 < x^2 + y^2 \longleftrightarrow x \neq 0 \vee y \neq 0$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *power2-sum*:  
**fixes**  $x\ y :: 'a::\text{number-ring}$   
**shows**  $(x + y)^2 = x^2 + y^2 + 2 * x * y$   
 $\langle \text{proof} \rangle$

**lemma** *power2-diff*:  
**fixes**  $x\ y :: 'a::\text{number-ring}$   
**shows**  $(x - y)^2 = x^2 + y^2 - 2 * x * y$   
 $\langle \text{proof} \rangle$

### 31.3 Predicate for negative binary numbers

**definition** *neg* ::  $\text{int} \Rightarrow \text{bool}$  **where**  
 $\text{neg } Z \longleftrightarrow Z < 0$

**lemma** *not-neg-int* [simp]:  $\sim \text{neg } (\text{of-nat } n)$   
 $\langle \text{proof} \rangle$

**lemma** *neg-zminus-int* [simp]:  $\text{neg } (- (\text{of-nat } (\text{Suc } n)))$   
 $\langle \text{proof} \rangle$

**lemmas** *neg-eq-less-0* = *neg-def*

**lemma** *not-neg-eq-ge-0*:  $(\sim \text{neg } x) = (0 \leq x)$   
 $\langle \text{proof} \rangle$

To simplify inequalities when Numeral1 can get simplified to 1

**lemma** *not-neg-0*:  $\sim \text{neg } 0$   
 $\langle \text{proof} \rangle$

**lemma** *not-neg-1*:  $\sim \text{neg } 1$   
 $\langle \text{proof} \rangle$

**lemma** *neg-nat*:  $\text{neg } z ==> \text{nat } z = 0$   
 $\langle \text{proof} \rangle$

**lemma** *not-neg-nat*:  $\sim \text{neg } z ==> \text{of-nat } (\text{nat } z) = z$   
 $\langle \text{proof} \rangle$

If *Numeral0* is rewritten to 0 then this rule can't be applied: *Numeral0* IS  
*number-of Pls*

**lemma** *not-neg-number-of-Pls*:  $\sim \text{neg } (\text{number-of Int.Pls})$

$\langle \text{proof} \rangle$

**lemma** *neg-number-of-Min*:  $\text{neg } (\text{number-of } \text{Int.Min})$   
 $\langle \text{proof} \rangle$

**lemma** *neg-number-of-Bit0*:  
 $\text{neg } (\text{number-of } (\text{Int.Bit0 } w)) = \text{neg } (\text{number-of } w)$   
 $\langle \text{proof} \rangle$

**lemma** *neg-number-of-Bit1*:  
 $\text{neg } (\text{number-of } (\text{Int.Bit1 } w)) = \text{neg } (\text{number-of } w)$   
 $\langle \text{proof} \rangle$

**lemmas** *neg-simps* [simp] =  
 $\text{not-neg-0 not-neg-1}$   
 $\text{not-neg-number-of-Pls neg-number-of-Min}$   
 $\text{neg-number-of-Bit0 neg-number-of-Bit1}$

### 31.4 Function *nat*: Coercion from Type *int* to *nat*

**declare** *nat-1* [simp]

**lemma** *nat-number-of* [simp]:  $\text{nat } (\text{number-of } w) = \text{number-of } w$   
 $\langle \text{proof} \rangle$

**lemma** *nat-numeral-0-eq-0* [simp, code-post]:  $\text{Numeral0} = (0::\text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** *nat-numeral-1-eq-1* [simp]:  $\text{Numeral1} = (1::\text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** *Numeral1-eq1-nat*:  
 $(1::\text{nat}) = \text{Numeral1}$   
 $\langle \text{proof} \rangle$

**lemma** *numeral-1-eq-Suc-0* [code-post]:  $\text{Numeral1} = \text{Suc } 0$   
 $\langle \text{proof} \rangle$

### 31.5 Function *int*: Coercion from Type *nat* to *int*

**lemma** *int-nat-number-of* [simp]:  
 $\text{int } (\text{number-of } v) =$   
 $(\text{if } \text{neg } (\text{number-of } v :: \text{int}) \text{ then } 0$   
 $\text{else } (\text{number-of } v :: \text{int}))$   
 $\langle \text{proof} \rangle$

#### 31.5.1 Successor

**lemma** *Suc-nat-eq-nat-zadd1*:  $(0::\text{int}) \leq z \iff \text{Suc } (\text{nat } z) = \text{nat } (1 + z)$   
 $\langle \text{proof} \rangle$

**lemma** *Suc-nat-number-of-add*:

$Suc\ (number-of\ v + n) =$   
 $(if\ neg\ (number-of\ v :: int)\ then\ 1+n\ else\ number-of\ (Int.succ\ v) + n)$   
 $\langle proof \rangle$

**lemma** *Suc-nat-number-of [simp]*:

$Suc\ (number-of\ v) =$   
 $(if\ neg\ (number-of\ v :: int)\ then\ 1\ else\ number-of\ (Int.succ\ v))$   
 $\langle proof \rangle$

### 31.5.2 Addition

**lemma** *add-nat-number-of [simp]*:

$(number-of\ v :: nat) + number-of\ v' =$   
 $(if\ v < Int.Pls\ then\ number-of\ v'$   
 $\quad else\ if\ v' < Int.Pls\ then\ number-of\ v$   
 $\quad else\ number-of\ (v + v'))$   
 $\langle proof \rangle$

**lemma** *nat-number-of-add-1 [simp]*:

$number-of\ v + (1::nat) =$   
 $(if\ v < Int.Pls\ then\ 1\ else\ number-of\ (Int.succ\ v))$   
 $\langle proof \rangle$

**lemma** *nat-1-add-number-of [simp]*:

$(1::nat) + number-of\ v =$   
 $(if\ v < Int.Pls\ then\ 1\ else\ number-of\ (Int.succ\ v))$   
 $\langle proof \rangle$

**lemma** *nat-1-add-1 [simp]*:  $1 + 1 = (2::nat)$

$\langle proof \rangle$

### 31.5.3 Subtraction

**lemma** *diff-nat-eq-if*:

$nat\ z - nat\ z' =$   
 $(if\ neg\ z'\ then\ nat\ z$   
 $\quad else\ let\ d = z - z'\ in$   
 $\quad if\ neg\ d\ then\ 0\ else\ nat\ d)$   
 $\langle proof \rangle$

**lemma** *diff-nat-number-of [simp]*:

$(number-of\ v :: nat) - number-of\ v' =$   
 $(if\ v' < Int.Pls\ then\ number-of\ v$   
 $\quad else\ let\ d = number-of\ (v + uminus\ v')\ in$   
 $\quad if\ neg\ d\ then\ 0\ else\ nat\ d)$   
 $\langle proof \rangle$

**lemma** *nat-number-of-diff-1* [simp]:  
 $\text{number-of } v - (1::\text{nat}) =$   
 $(\text{if } v \leq \text{Int.Pls} \text{ then } 0 \text{ else } \text{number-of } (\text{Int.pred } v))$   
 ⟨proof⟩

### 31.5.4 Multiplication

**lemma** *mult-nat-number-of* [simp]:  
 $(\text{number-of } v :: \text{nat}) * \text{number-of } v' =$   
 $(\text{if } v < \text{Int.Pls} \text{ then } 0 \text{ else } \text{number-of } (v * v'))$   
 ⟨proof⟩

## 31.6 Comparisons

### 31.6.1 Equals (=)

**lemma** *eq-nat-number-of* [simp]:  
 $((\text{number-of } v :: \text{nat}) = \text{number-of } v') =$   
 $(\text{if } \text{neg } (\text{number-of } v :: \text{int}) \text{ then } (\text{number-of } v' :: \text{int}) \leq 0$   
 $\text{else if } \text{neg } (\text{number-of } v' :: \text{int}) \text{ then } (\text{number-of } v :: \text{int}) = 0$   
 $\text{else } v = v')$   
 ⟨proof⟩

### 31.6.2 Less-than (i)

**lemma** *less-nat-number-of* [simp]:  
 $(\text{number-of } v :: \text{nat}) < \text{number-of } v' \longleftrightarrow$   
 $(\text{if } v < v' \text{ then } \text{Int.Pls} < v' \text{ else } \text{False})$   
 ⟨proof⟩

### 31.6.3 Less-than-or-equal

**lemma** *le-nat-number-of* [simp]:  
 $(\text{number-of } v :: \text{nat}) \leq \text{number-of } v' \longleftrightarrow$   
 $(\text{if } v \leq v' \text{ then } \text{True} \text{ else } v \leq \text{Int.Pls})$   
 ⟨proof⟩

**lemmas** *numerals* = *nat-numeral-0-eq-0* *nat-numeral-1-eq-1* *numeral-2-eq-2*

## 31.7 Powers with Numeric Exponents

Squares of literal numerals will be evaluated.

**lemmas** *power2-eq-square-number-of* [simp] =  
 $\text{power2-eq-square } [\text{of } \text{number-of } w, \text{standard}]$

Simprules for comparisons where common factors can be cancelled.

**lemmas** *zero-compare-simps* =  
 $\text{add-strict-increasing } \text{add-strict-increasing2 } \text{add-increasing}$

$zero-le-mult-iff \ zero-le-divide-iff$   
 $zero-less-mult-iff \ zero-less-divide-iff$   
 $mult-le-0-iff \ divide-le-0-iff$   
 $mult-less-0-iff \ divide-less-0-iff$   
 $zero-le-power2 \ power2-less-0$

### 31.7.1 Nat

**lemma** *Suc-pred'*:  $0 < n \implies n = Suc(n - 1)$   
 $\langle proof \rangle$

**lemmas** *expand-Suc* = *Suc-pred'* [of number-of *v*, standard]

### 31.7.2 Arith

**lemma** *Suc-eq-plus1*:  $Suc \ n = n + 1$   
 $\langle proof \rangle$

**lemma** *Suc-eq-plus1-left*:  $Suc \ n = 1 + n$   
 $\langle proof \rangle$

**lemma** *add-eq-if*:  $(m::nat) + n = (if \ m=0 \ then \ n \ else \ Suc \ ((m - 1) + n))$   
 $\langle proof \rangle$

**lemma** *mult-eq-if*:  $(m::nat) * n = (if \ m=0 \ then \ 0 \ else \ n + ((m - 1) * n))$   
 $\langle proof \rangle$

**lemma** *power-eq-if*:  $(p \ ^m :: nat) = (if \ m=0 \ then \ 1 \ else \ p * (p \ ^{(m - 1)}))$   
 $\langle proof \rangle$

## 31.8 Comparisons involving (0::nat)

Simplification already does  $n < (0::'a)$ ,  $n \leq (0::'a)$  and  $(0::'a) \leq n$ .

**lemma** *eq-number-of-0* [simp]:  
 $number-of \ v = (0::nat) \longleftrightarrow v \leq Int.Pls$   
 $\langle proof \rangle$

**lemma** *eq-0-number-of* [simp]:  
 $(0::nat) = number-of \ v \longleftrightarrow v \leq Int.Pls$   
 $\langle proof \rangle$

**lemma** *less-0-number-of* [simp]:  
 $(0::nat) < number-of \ v \longleftrightarrow Int.Pls < v$   
 $\langle proof \rangle$

**lemma** *neg-imp-number-of-eq-0*:  $\text{neg } (\text{number-of } v :: \text{int}) \implies \text{number-of } v = (0 :: \text{nat})$   
 <proof>

### 31.9 Comparisons involving *Suc*

**lemma** *eq-number-of-Suc* [simp]:  
 $(\text{number-of } v = \text{Suc } n) =$   
 $(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$   
 $\text{if neg } pv \text{ then False else nat } pv = n)$   
 <proof>

**lemma** *Suc-eq-number-of* [simp]:  
 $(\text{Suc } n = \text{number-of } v) =$   
 $(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$   
 $\text{if neg } pv \text{ then False else nat } pv = n)$   
 <proof>

**lemma** *less-number-of-Suc* [simp]:  
 $(\text{number-of } v < \text{Suc } n) =$   
 $(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$   
 $\text{if neg } pv \text{ then True else nat } pv < n)$   
 <proof>

**lemma** *less-Suc-number-of* [simp]:  
 $(\text{Suc } n < \text{number-of } v) =$   
 $(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$   
 $\text{if neg } pv \text{ then False else } n < \text{nat } pv)$   
 <proof>

**lemma** *le-number-of-Suc* [simp]:  
 $(\text{number-of } v \leq \text{Suc } n) =$   
 $(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$   
 $\text{if neg } pv \text{ then True else nat } pv \leq n)$   
 <proof>

**lemma** *le-Suc-number-of* [simp]:  
 $(\text{Suc } n \leq \text{number-of } v) =$   
 $(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$   
 $\text{if neg } pv \text{ then False else } n \leq \text{nat } pv)$   
 <proof>

**lemma** *eq-number-of-Pls-Min*:  $(\text{Numeral0} :: \text{int}) \sim = \text{number-of Int.Min}$   
 <proof>

#### 31.10 Max and Min Combined with *Suc*

**lemma** *max-number-of-Suc* [simp]:  
 $\text{max } (\text{Suc } n) (\text{number-of } v) =$



(let pv = number-of (Int.pred v) in  
 if neg pv then Suc n else Suc(max n (nat pv)))  
 <proof>

**lemma** max-Suc-number-of [simp]:  
 max (number-of v) (Suc n) =  
 (let pv = number-of (Int.pred v) in  
 if neg pv then Suc n else Suc(max (nat pv) n))  
 <proof>

**lemma** min-number-of-Suc [simp]:  
 min (Suc n) (number-of v) =  
 (let pv = number-of (Int.pred v) in  
 if neg pv then 0 else Suc(min n (nat pv)))  
 <proof>

**lemma** min-Suc-number-of [simp]:  
 min (number-of v) (Suc n) =  
 (let pv = number-of (Int.pred v) in  
 if neg pv then 0 else Suc(min (nat pv) n))  
 <proof>

### 31.11 Literal arithmetic involving powers

**lemma** power-nat-number-of:  
 (number-of v :: nat) ^ n =  
 (if neg (number-of v :: int) then 0^n else nat ((number-of v :: int) ^ n))  
 <proof>

**lemmas** power-nat-number-of-number-of = power-nat-number-of [of - number-of  
 w, standard]

**declare** power-nat-number-of-number-of [simp]

For arbitrary rings

**lemma** power-number-of-even:  
 fixes z :: 'a::number-ring  
 shows z ^ number-of (Int.Bit0 w) = (let w = z ^ (number-of w) in w \* w)  
 <proof>

**lemma** power-number-of-odd:  
 fixes z :: 'a::number-ring  
 shows z ^ number-of (Int.Bit1 w) = (if (0::int) <= number-of w  
 then (let w = z ^ (number-of w) in z \* w \* w) else 1)  
 <proof>

**lemmas** zpower-number-of-even = power-number-of-even [where 'a=int]

**lemmas** zpower-number-of-odd = power-number-of-odd [where 'a=int]

**lemmas** *power-number-of-even-number-of* [simp] =  
*power-number-of-even* [of number-of v, standard]

**lemmas** *power-number-of-odd-number-of* [simp] =  
*power-number-of-odd* [of number-of v, standard]

**lemma** *nat-number-of-Pls*: *Numeral0* = (0::nat)  
 ⟨proof⟩

**lemma** *nat-number-of-Min*: *number-of Int.Min* = (0::nat)  
 ⟨proof⟩

**lemma** *nat-number-of-Bit0*:  
*number-of (Int.Bit0 w)* = (let n::nat = *number-of w* in n + n)  
 ⟨proof⟩

**lemma** *nat-number-of-Bit1*:  
*number-of (Int.Bit1 w)* =  
 (if neg (*number-of w* :: int) then 0  
 else let n = *number-of w* in Suc (n + n))  
 ⟨proof⟩

**lemmas** *nat-number* =  
*nat-number-of-Pls nat-number-of-Min*  
*nat-number-of-Bit0 nat-number-of-Bit1*

**lemmas** *nat-number'* =  
*nat-number-of-Bit0 nat-number-of-Bit1*

**lemmas** *nat-arith* =  
*add-nat-number-of*  
*diff-nat-number-of*  
*mult-nat-number-of*  
*eq-nat-number-of*  
*less-nat-number-of*

**lemmas** *semiring-norm* =  
*Let-def arith-simps nat-arith rel-simps neg-simps if-False*  
*if-True add-0 add-Suc add-number-of-left mult-number-of-left*  
*numeral-1-eq-1* [symmetric] *Suc-eq-plus1*  
*numeral-0-eq-0* [symmetric] *numerals* [symmetric]  
*not-iszero-Numeral1*

**lemma** *Let-Suc* [simp]: *Let (Suc n) f* == *f (Suc n)*  
 ⟨proof⟩

**lemma** *power-m1-even*:  $(-1) ^ (2*n) = (1::'a::\{number-ring\})$   
 ⟨proof⟩

**lemma** *power-m1-odd*:  $(-1) ^ \wedge \text{Suc}(2*n) = (-1::'a::\{\text{number-ring}\})$   
 ⟨proof⟩

**lemma** *nat-number-of-add-left*:  
 $\text{number-of } v + (\text{number-of } v' + (k::\text{nat})) =$   
 $(\text{if } \text{neg } (\text{number-of } v :: \text{int}) \text{ then } \text{number-of } v' + k$   
 $\text{else if } \text{neg } (\text{number-of } v' :: \text{int}) \text{ then } \text{number-of } v + k$   
 $\text{else } \text{number-of } (v + v') + k)$   
 ⟨proof⟩

**lemma** *nat-number-of-mult-left*:  
 $\text{number-of } v * (\text{number-of } v' * (k::\text{nat})) =$   
 $(\text{if } v < \text{Int.Pls} \text{ then } 0$   
 $\text{else } \text{number-of } (v * v') * k)$   
 ⟨proof⟩

### 31.12 Literal arithmetic and *of-nat*

**lemma** *of-nat-double*:  
 $0 \leq x ==> \text{of-nat } (\text{nat } (2 * x)) = \text{of-nat } (\text{nat } x) + \text{of-nat } (\text{nat } x)$   
 ⟨proof⟩

**lemma** *nat-numeral-m1-eq-0*:  $-1 = (0::\text{nat})$   
 ⟨proof⟩

**lemma** *of-nat-number-of-lemma*:  
 $\text{of-nat } (\text{number-of } v :: \text{nat}) =$   
 $(\text{if } 0 \leq (\text{number-of } v :: \text{int})$   
 $\text{then } (\text{number-of } v :: 'a :: \text{number-ring})$   
 $\text{else } 0)$   
 ⟨proof⟩

**lemma** *of-nat-number-of-eq [simp]*:  
 $\text{of-nat } (\text{number-of } v :: \text{nat}) =$   
 $(\text{if } \text{neg } (\text{number-of } v :: \text{int}) \text{ then } 0$   
 $\text{else } (\text{number-of } v :: 'a :: \text{number-ring}))$   
 ⟨proof⟩

#### 31.12.1 For simplifying $\text{Suc } m - K$ and $K - \text{Suc } m$

Where K above is a literal

**lemma** *Suc-diff-eq-diff-pred*:  $\text{Numeral0} < n ==> \text{Suc } m - n = m - (n - \text{Numeral1})$   
 ⟨proof⟩

Now just instantiating  $n$  to  $\text{number-of } v$  does the right simplification, but with some redundant inequality tests.

**lemma** *neg-number-of-pred-iff-0*:  
 $\text{neg } (\text{number-of } (\text{Int.pred } v)::\text{int}) = (\text{number-of } v = (0::\text{nat}))$

$\langle \text{proof} \rangle$

No longer required as a simprule because of the *inverse-fold* simproc

**lemma** *Suc-diff-number-of*:

$\text{Int.Pls} < v ==>$

$\text{Suc } m - (\text{number-of } v) = m - (\text{number-of } (\text{Int.pred } v))$

$\langle \text{proof} \rangle$

**lemma** *diff-Suc-eq-diff-pred*:  $m - \text{Suc } n = (m - 1) - n$

$\langle \text{proof} \rangle$

### 31.12.2 For *nat-case* and *nat-rec*

**lemma** *nat-case-number-of* [simp]:

$\text{nat-case } a \text{ f } (\text{number-of } v) =$

$(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$

$\text{if neg } pv \text{ then } a \text{ else } f (\text{nat } pv))$

$\langle \text{proof} \rangle$

**lemma** *nat-case-add-eq-if* [simp]:

$\text{nat-case } a \text{ f } ((\text{number-of } v) + n) =$

$(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$

$\text{if neg } pv \text{ then } \text{nat-case } a \text{ f } n \text{ else } f (\text{nat } pv + n))$

$\langle \text{proof} \rangle$

**lemma** *nat-rec-number-of* [simp]:

$\text{nat-rec } a \text{ f } (\text{number-of } v) =$

$(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$

$\text{if neg } pv \text{ then } a \text{ else } f (\text{nat } pv) (\text{nat-rec } a \text{ f } (\text{nat } pv)))$

$\langle \text{proof} \rangle$

**lemma** *nat-rec-add-eq-if* [simp]:

$\text{nat-rec } a \text{ f } (\text{number-of } v + n) =$

$(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$

$\text{if neg } pv \text{ then } \text{nat-rec } a \text{ f } n$

$\text{else } f (\text{nat } pv + n) (\text{nat-rec } a \text{ f } (\text{nat } pv + n)))$

$\langle \text{proof} \rangle$

### 31.12.3 Various Other Lemmas

**lemma** *card-UNIV-bool*[simp]:  $\text{card } (\text{UNIV} :: \text{bool set}) = 2$

$\langle \text{proof} \rangle$

Evens and Odds, for Mutilated Chess Board

Lemmas for specialist use, NOT as default simprules

**lemma** *nat-mult-2*:  $2 * z = (z + z :: \text{nat})$

$\langle \text{proof} \rangle$

**lemma** *nat-mult-2-right*:  $z * 2 = (z + z :: \text{nat})$   
 ⟨*proof*⟩

Case analysis on  $n < (2 :: 'a)$

**lemma** *less-2-cases*:  $(n :: \text{nat}) < 2 \implies n = 0 \mid n = \text{Suc } 0$   
 ⟨*proof*⟩

Removal of Small Numerals: 0, 1 and (in additive positions) 2

**lemma** *add-2-eq-Suc* [*simp*]:  $2 + n = \text{Suc } (\text{Suc } n)$   
 ⟨*proof*⟩

**lemma** *add-2-eq-Suc'* [*simp*]:  $n + 2 = \text{Suc } (\text{Suc } n)$   
 ⟨*proof*⟩

Can be used to eliminate long strings of Sucs, but not by default

**lemma** *Suc3-eq-add-3*:  $\text{Suc } (\text{Suc } (\text{Suc } n)) = 3 + n$   
 ⟨*proof*⟩

end

## 32 Nat-Transfer: Generic transfer machinery; specific transfer from nats to ints and back.

**theory** *Nat-Transfer*  
**imports** *Nat-Numeral*  
**uses** (*Tools/transfer.ML*)  
**begin**

### 32.1 Generic transfer machinery

**definition** *transfer-morphism*::  $('b \Rightarrow 'a) \Rightarrow ('b \Rightarrow \text{bool}) \Rightarrow \text{bool}$   
**where** *transfer-morphism*  $f A \longleftrightarrow (\forall P. (\forall x. P x) \longrightarrow (\forall y. A y \longrightarrow P (f y)))$

**lemma** *transfer-morphismI*:  
**assumes**  $\bigwedge P y. (\bigwedge x. P x) \implies A y \implies P (f y)$   
**shows** *transfer-morphism*  $f A$   
 ⟨*proof*⟩

⟨*ML*⟩

### 32.2 Set up transfer from nat to int

set up transfer direction

**lemma** *transfer-morphism-nat-int*: *transfer-morphism* *nat* (*op*  $\leq$  ( $0 :: \text{int}$ ))  
 ⟨*proof*⟩

```

declare transfer-morphism-nat-int [transfer add
  mode: manual
  return: nat-0-le
  labels: nat-int
]

```

basic functions and relations

**lemma** transfer-nat-int-numerals [transfer key: transfer-morphism-nat-int]:

```

  (0::nat) = nat 0
  (1::nat) = nat 1
  (2::nat) = nat 2
  (3::nat) = nat 3
  ⟨proof⟩

```

**definition**

```

  tsub :: int ⇒ int ⇒ int

```

**where**

```

  tsub x y = (if x >= y then x - y else 0)

```

**lemma** tsub-eq:  $x \geq y \implies \text{tsub } x \ y = x - y$

```

  ⟨proof⟩

```

**lemma** transfer-nat-int-functions [transfer key: transfer-morphism-nat-int]:

```

  (x::int) >= 0 ⟹ y >= 0 ⟹ (nat x) + (nat y) = nat (x + y)
  (x::int) >= 0 ⟹ y >= 0 ⟹ (nat x) * (nat y) = nat (x * y)
  (x::int) >= 0 ⟹ y >= 0 ⟹ (nat x) - (nat y) = nat (tsub x y)
  (x::int) >= 0 ⟹ (nat x) ^ n = nat (x ^ n)
  ⟨proof⟩

```

**lemma** transfer-nat-int-function-closures [transfer key: transfer-morphism-nat-int]:

```

  (x::int) >= 0 ⟹ y >= 0 ⟹ x + y >= 0
  (x::int) >= 0 ⟹ y >= 0 ⟹ x * y >= 0
  (x::int) >= 0 ⟹ y >= 0 ⟹ tsub x y >= 0
  (x::int) >= 0 ⟹ x ^ n >= 0
  (0::int) >= 0
  (1::int) >= 0
  (2::int) >= 0
  (3::int) >= 0
  int z >= 0
  ⟨proof⟩

```

**lemma** transfer-nat-int-relations [transfer key: transfer-morphism-nat-int]:

```

  x >= 0 ⟹ y >= 0 ⟹
    (nat (x::int) = nat y) = (x = y)
  x >= 0 ⟹ y >= 0 ⟹
    (nat (x::int) < nat y) = (x < y)
  x >= 0 ⟹ y >= 0 ⟹
    (nat (x::int) <= nat y) = (x <= y)
  x >= 0 ⟹ y >= 0 ⟹

```

$(\text{nat } (x::\text{int}) \text{ dvd nat } y) = (x \text{ dvd } y)$   
 $\langle \text{proof} \rangle$

first-order quantifiers

**lemma** *all-nat*:  $(\forall x. P x) \longleftrightarrow (\forall x \geq 0. P (\text{nat } x))$   
 $\langle \text{proof} \rangle$

**lemma** *ex-nat*:  $(\exists x. P x) \longleftrightarrow (\exists x. 0 \leq x \wedge P (\text{nat } x))$   
 $\langle \text{proof} \rangle$

**lemma** *transfer-nat-int-quantifiers* [*transfer key: transfer-morphism-nat-int*]:  
 $(\text{ALL } (x::\text{nat}). P x) = (\text{ALL } (x::\text{int}). x \geq 0 \longrightarrow P (\text{nat } x))$   
 $(\text{EX } (x::\text{nat}). P x) = (\text{EX } (x::\text{int}). x \geq 0 \ \& \ P (\text{nat } x))$   
 $\langle \text{proof} \rangle$

**lemma** *all-cong*:  $(\bigwedge x. Q x \implies P x = P' x) \implies$   
 $(\text{ALL } x. Q x \longrightarrow P x) = (\text{ALL } x. Q x \longrightarrow P' x)$   
 $\langle \text{proof} \rangle$

**lemma** *ex-cong*:  $(\bigwedge x. Q x \implies P x = P' x) \implies$   
 $(\text{EX } x. Q x \wedge P x) = (\text{EX } x. Q x \wedge P' x)$   
 $\langle \text{proof} \rangle$

**declare** *transfer-morphism-nat-int* [*transfer add*  
*cong: all-cong ex-cong*]

if

**lemma** *nat-if-cong* [*transfer key: transfer-morphism-nat-int*]:  
 $(\text{if } P \text{ then } (\text{nat } x) \text{ else } (\text{nat } y)) = \text{nat } (\text{if } P \text{ then } x \text{ else } y)$   
 $\langle \text{proof} \rangle$

operations with sets

**definition**

*nat-set* :: *int set*  $\Rightarrow$  *bool*

**where**

*nat-set* *S* =  $(\text{ALL } x:S. x \geq 0)$

**lemma** *transfer-nat-int-set-functions*:

$\text{card } A = \text{card } (\text{int } ' A)$   
 $\{\} = \text{nat } ' (\{\}::\text{int set})$   
 $A \text{ Un } B = \text{nat } ' (\text{int } ' A \text{ Un int } ' B)$   
 $A \text{ Int } B = \text{nat } ' (\text{int } ' A \text{ Int int } ' B)$   
 $\{x. P x\} = \text{nat } ' \{x. x \geq 0 \ \& \ P(\text{nat } x)\}$   
 $\langle \text{proof} \rangle$

**lemma** *transfer-nat-int-set-function-closures*:

*nat-set*  $\{\}$   
*nat-set* *A*  $\implies$  *nat-set* *B*  $\implies$  *nat-set* (*A* *Un* *B*)

$\text{nat-set } A \implies \text{nat-set } B \implies \text{nat-set } (A \text{ Int } B)$   
 $\text{nat-set } \{x. x \geq 0 \ \& \ P \ x\}$   
 $\text{nat-set } (\text{int} \text{ ' } C)$   
 $\text{nat-set } A \implies x : A \implies x \geq 0$   
 $\langle \text{proof} \rangle$

**lemma** *transfer-nat-int-set-relations:*

$(\text{finite } A) = (\text{finite } (\text{int} \text{ ' } A))$   
 $(x : A) = (\text{int } x : \text{int} \text{ ' } A)$   
 $(A = B) = (\text{int} \text{ ' } A = \text{int} \text{ ' } B)$   
 $(A < B) = (\text{int} \text{ ' } A < \text{int} \text{ ' } B)$   
 $(A \leq B) = (\text{int} \text{ ' } A \leq \text{int} \text{ ' } B)$   
 $\langle \text{proof} \rangle$

**lemma** *transfer-nat-int-set-return-embed:*  $\text{nat-set } A \implies$

$(\text{int} \text{ ' } \text{nat} \text{ ' } A = A)$   
 $\langle \text{proof} \rangle$

**lemma** *transfer-nat-int-set-cong:*  $(!!x. x \geq 0 \implies P \ x = P' \ x) \implies$

$\{(x::\text{int}). x \geq 0 \ \& \ P \ x\} = \{x. x \geq 0 \ \& \ P' \ x\}$   
 $\langle \text{proof} \rangle$

**declare** *transfer-morphism-nat-int* [*transfer add*

*return: transfer-nat-int-set-functions*  
*transfer-nat-int-set-function-closures*  
*transfer-nat-int-set-relations*  
*transfer-nat-int-set-return-embed*  
*cong: transfer-nat-int-set-cong*  
 $\rangle$

setsum and setprod

**lemma** *transfer-nat-int-sum-prod:*

$\text{setsum } f \ A = \text{setsum } (\%x. f \ (\text{nat } x)) \ (\text{int} \text{ ' } A)$   
 $\text{setprod } f \ A = \text{setprod } (\%x. f \ (\text{nat } x)) \ (\text{int} \text{ ' } A)$   
 $\langle \text{proof} \rangle$

**lemma** *transfer-nat-int-sum-prod2:*

$\text{setsum } f \ A = \text{nat}(\text{setsum } (\%x. \text{int } (f \ x)) \ A)$   
 $\text{setprod } f \ A = \text{nat}(\text{setprod } (\%x. \text{int } (f \ x)) \ A)$   
 $\langle \text{proof} \rangle$

**lemma** *transfer-nat-int-sum-prod-closure:*

$\text{nat-set } A \implies (!!x. x \geq 0 \implies f \ x \geq (0::\text{int})) \implies \text{setsum } f \ A \geq 0$   
 $\text{nat-set } A \implies (!!x. x \geq 0 \implies f \ x \geq (0::\text{int})) \implies \text{setprod } f \ A \geq 0$   
 $\langle \text{proof} \rangle$



**lemma** *transfer-nat-int-sum-prod-cong*:

$$\begin{aligned} A = B &\implies \text{nat-set } B \implies (!x. x \geq 0 \implies f x = g x) \implies \\ &\quad \text{setsum } f A = \text{setsum } g B \\ A = B &\implies \text{nat-set } B \implies (!x. x \geq 0 \implies f x = g x) \implies \\ &\quad \text{setprod } f A = \text{setprod } g B \\ &\langle \text{proof} \rangle \end{aligned}$$

**declare** *transfer-morphism-nat-int* [*transfer add*  
*return: transfer-nat-int-sum-prod transfer-nat-int-sum-prod2*  
*transfer-nat-int-sum-prod-closure*  
*cong: transfer-nat-int-sum-prod-cong*]

### 32.3 Set up transfer from int to nat

set up transfer direction

**lemma** *transfer-morphism-int-nat*: *transfer-morphism int* ( $\lambda n. \text{True}$ )  
 $\langle \text{proof} \rangle$

**declare** *transfer-morphism-int-nat* [*transfer add*  
*mode: manual*  
*return: nat-int*  
*labels: int-nat*  
 ]

basic functions and relations

**definition**

*is-nat* :: *int*  $\Rightarrow$  *bool*

**where**

*is-nat* *x* = (*x*  $\geq$  0)

**lemma** *transfer-int-nat-numerals*:

$$\begin{aligned} 0 &= \text{int } 0 \\ 1 &= \text{int } 1 \\ 2 &= \text{int } 2 \\ 3 &= \text{int } 3 \\ &\langle \text{proof} \rangle \end{aligned}$$

**lemma** *transfer-int-nat-functions*:

$$\begin{aligned} (\text{int } x) + (\text{int } y) &= \text{int } (x + y) \\ (\text{int } x) * (\text{int } y) &= \text{int } (x * y) \\ \text{tsub } (\text{int } x) (\text{int } y) &= \text{int } (x - y) \\ (\text{int } x)^n &= \text{int } (x^n) \\ &\langle \text{proof} \rangle \end{aligned}$$

**lemma** *transfer-int-nat-function-closures*:

$$\begin{aligned} \text{is-nat } x &\implies \text{is-nat } y \implies \text{is-nat } (x + y) \\ \text{is-nat } x &\implies \text{is-nat } y \implies \text{is-nat } (x * y) \end{aligned}$$

$is\text{-}nat\ x \Longrightarrow is\text{-}nat\ y \Longrightarrow is\text{-}nat\ (tsub\ x\ y)$   
 $is\text{-}nat\ x \Longrightarrow is\text{-}nat\ (x^{\wedge}n)$   
 $is\text{-}nat\ 0$   
 $is\text{-}nat\ 1$   
 $is\text{-}nat\ 2$   
 $is\text{-}nat\ 3$   
 $is\text{-}nat\ (int\ z)$   
 $\langle proof \rangle$

**lemma** *transfer-int-nat-relations:*

$(int\ x = int\ y) = (x = y)$   
 $(int\ x < int\ y) = (x < y)$   
 $(int\ x \leq int\ y) = (x \leq y)$   
 $(int\ x\ dvd\ int\ y) = (x\ dvd\ y)$   
 $\langle proof \rangle$

**declare** *transfer-morphism-int-nat* [*transfer add return:*

*transfer-int-nat-numerals*  
*transfer-int-nat-functions*  
*transfer-int-nat-function-closures*  
*transfer-int-nat-relations*  
 ]

first-order quantifiers

**lemma** *transfer-int-nat-quantifiers:*

$(ALL\ (x::int)\ \geq 0.\ P\ x) = (ALL\ (x::nat).\ P\ (int\ x))$   
 $(EX\ (x::int)\ \geq 0.\ P\ x) = (EX\ (x::nat).\ P\ (int\ x))$   
 $\langle proof \rangle$

**declare** *transfer-morphism-int-nat* [*transfer add*

*return: transfer-int-nat-quantifiers*]

if

**lemma** *int-if-cong:*  $(if\ P\ then\ (int\ x)\ else\ (int\ y)) =$

$int\ (if\ P\ then\ x\ else\ y)$   
 $\langle proof \rangle$

**declare** *transfer-morphism-int-nat* [*transfer add return: int-if-cong*]

operations with sets

**lemma** *transfer-int-nat-set-functions:*

$nat\text{-}set\ A \Longrightarrow card\ A = card\ (nat\ ^{\circ} A)$   
 $\{\} = int\ ^{\circ} (\{\}::nat\ set)$   
 $nat\text{-}set\ A \Longrightarrow nat\text{-}set\ B \Longrightarrow A\ Un\ B = int\ ^{\circ} (nat\ ^{\circ} A\ Un\ nat\ ^{\circ} B)$   
 $nat\text{-}set\ A \Longrightarrow nat\text{-}set\ B \Longrightarrow A\ Int\ B = int\ ^{\circ} (nat\ ^{\circ} A\ Int\ nat\ ^{\circ} B)$   
 $\{x.\ x \geq 0 \ \&\ P\ x\} = int\ ^{\circ} \{x.\ P(int\ x)\}$

$\langle proof \rangle$

**lemma** *transfer-int-nat-set-function-closures:*

```

nat-set {}
nat-set A  $\implies$  nat-set B  $\implies$  nat-set (A Un B)
nat-set A  $\implies$  nat-set B  $\implies$  nat-set (A Int B)
nat-set {x. x >= 0 & P x}
nat-set (int ‘ C)
nat-set A  $\implies$  x : A  $\implies$  is-nat x
⟨proof⟩

```

**lemma** *transfer-int-nat-set-relations:*

```

nat-set A  $\implies$  finite A = finite (nat ‘ A)
is-nat x  $\implies$  nat-set A  $\implies$  (x : A) = (nat x : nat ‘ A)
nat-set A  $\implies$  nat-set B  $\implies$  (A = B) = (nat ‘ A = nat ‘ B)
nat-set A  $\implies$  nat-set B  $\implies$  (A < B) = (nat ‘ A < nat ‘ B)
nat-set A  $\implies$  nat-set B  $\implies$  (A <= B) = (nat ‘ A <= nat ‘ B)
⟨proof⟩

```

**lemma** *transfer-int-nat-set-return-embed:* nat ‘ int ‘ A = A

⟨proof⟩

**lemma** *transfer-int-nat-set-cong:* (!x. P x = P' x)  $\implies$

```

{(x::nat). P x} = {x. P' x}
⟨proof⟩

```

**declare** *transfer-morphism-int-nat* [transfer add

```

return: transfer-int-nat-set-functions
transfer-int-nat-set-function-closures
transfer-int-nat-set-relations
transfer-int-nat-set-return-embed
cong: transfer-int-nat-set-cong
]

```

setsum and setprod

**lemma** *transfer-int-nat-sum-prod:*

```

nat-set A  $\implies$  setsum f A = setsum (%x. f (int x)) (nat ‘ A)
nat-set A  $\implies$  setprod f A = setprod (%x. f (int x)) (nat ‘ A)
⟨proof⟩

```

**lemma** *transfer-int-nat-sum-prod2:*

```

(!x. x:A  $\implies$  is-nat (f x))  $\implies$  setsum f A = int(setsum (%x. nat (f x)) A)
(!x. x:A  $\implies$  is-nat (f x))  $\implies$ 
setprod f A = int(setprod (%x. nat (f x)) A)
⟨proof⟩

```

**declare** *transfer-morphism-int-nat* [transfer add

```

return: transfer-int-nat-sum-prod transfer-int-nat-sum-prod2
cong: setsum-cong setprod-cong
]

```

end

### 33 Divides: The division operators `div` and `mod`

```
theory Divides
imports Nat-Numeral Nat-Transfer
uses ~~/src/Provers/Arith/cancel-div-mod.ML
begin
```

#### 33.1 Syntactic division operations

```
class div = dvd +
  fixes div :: 'a ⇒ 'a ⇒ 'a (infixl div 70)
  and mod :: 'a ⇒ 'a ⇒ 'a (infixl mod 70)
```

#### 33.2 Abstract division in commutative semirings.

```
class semiring-div = comm-semiring-1-cancel + no-zero-divisors + div +
  assumes mod-div-equality:  $a \text{ div } b * b + a \text{ mod } b = a$ 
  and div-by-0 [simp]:  $a \text{ div } 0 = 0$ 
  and div-0 [simp]:  $0 \text{ div } a = 0$ 
  and div-mult-self1 [simp]:  $b \neq 0 \implies (a + c * b) \text{ div } b = c + a \text{ div } b$ 
  and div-mult-mult1 [simp]:  $c \neq 0 \implies (c * a) \text{ div } (c * b) = a \text{ div } b$ 
begin
```

*op div* and *op mod*

```
lemma mod-div-equality2:  $b * (a \text{ div } b) + a \text{ mod } b = a$ 
  <proof>
```

```
lemma mod-div-equality':  $a \text{ mod } b + a \text{ div } b * b = a$ 
  <proof>
```

```
lemma div-mod-equality:  $((a \text{ div } b) * b + a \text{ mod } b) + c = a + c$ 
  <proof>
```

```
lemma div-mod-equality2:  $(b * (a \text{ div } b) + a \text{ mod } b) + c = a + c$ 
  <proof>
```

```
lemma mod-by-0 [simp]:  $a \text{ mod } 0 = a$ 
  <proof>
```

```
lemma mod-0 [simp]:  $0 \text{ mod } a = 0$ 
  <proof>
```

```
lemma div-mult-self2 [simp]:
  assumes  $b \neq 0$ 
  shows  $(a + b * c) \text{ div } b = c + a \text{ div } b$ 
  <proof>
```

**lemma** *mod-mult-self1* [*simp*]:  $(a + c * b) \bmod b = a \bmod b$   
 $\langle proof \rangle$

**lemma** *mod-mult-self2* [*simp*]:  $(a + b * c) \bmod b = a \bmod b$   
 $\langle proof \rangle$

**lemma** *div-mult-self1-is-id* [*simp*]:  $b \neq 0 \implies b * a \operatorname{div} b = a$   
 $\langle proof \rangle$

**lemma** *div-mult-self2-is-id* [*simp*]:  $b \neq 0 \implies a * b \operatorname{div} b = a$   
 $\langle proof \rangle$

**lemma** *mod-mult-self1-is-0* [*simp*]:  $b * a \bmod b = 0$   
 $\langle proof \rangle$

**lemma** *mod-mult-self2-is-0* [*simp*]:  $a * b \bmod b = 0$   
 $\langle proof \rangle$

**lemma** *div-by-1* [*simp*]:  $a \operatorname{div} 1 = a$   
 $\langle proof \rangle$

**lemma** *mod-by-1* [*simp*]:  $a \bmod 1 = 0$   
 $\langle proof \rangle$

**lemma** *mod-self* [*simp*]:  $a \bmod a = 0$   
 $\langle proof \rangle$

**lemma** *div-self* [*simp*]:  $a \neq 0 \implies a \operatorname{div} a = 1$   
 $\langle proof \rangle$

**lemma** *div-add-self1* [*simp*]:  
**assumes**  $b \neq 0$   
**shows**  $(b + a) \operatorname{div} b = a \operatorname{div} b + 1$   
 $\langle proof \rangle$

**lemma** *div-add-self2* [*simp*]:  
**assumes**  $b \neq 0$   
**shows**  $(a + b) \operatorname{div} b = a \operatorname{div} b + 1$   
 $\langle proof \rangle$

**lemma** *mod-add-self1* [*simp*]:  
 $(b + a) \bmod b = a \bmod b$   
 $\langle proof \rangle$

**lemma** *mod-add-self2* [*simp*]:  
 $(a + b) \bmod b = a \bmod b$   
 $\langle proof \rangle$

**lemma** *mod-div-decomp*:

**fixes**  $a\ b$

**obtains**  $q\ r$  **where**  $q = a \text{ div } b$  **and**  $r = a \text{ mod } b$

**and**  $a = q * b + r$

$\langle \text{proof} \rangle$

**lemma** *dvd-eq-mod-eq-0* [*code, code-unfold, code-inline del*]:  $a \text{ dvd } b \iff b \text{ mod } a = 0$

$\langle \text{proof} \rangle$

**lemma** *mod-div-trivial* [*simp*]:  $a \text{ mod } b \text{ div } b = 0$

$\langle \text{proof} \rangle$

**lemma** *mod-mod-trivial* [*simp*]:  $a \text{ mod } b \text{ mod } b = a \text{ mod } b$

$\langle \text{proof} \rangle$

**lemma** *dvd-imp-mod-0*:  $a \text{ dvd } b \implies b \text{ mod } a = 0$

$\langle \text{proof} \rangle$

**lemma** *dvd-div-mult-self*:  $a \text{ dvd } b \implies (b \text{ div } a) * a = b$

$\langle \text{proof} \rangle$

**lemma** *dvd-mult-div-cancel*:  $a \text{ dvd } b \implies a * (b \text{ div } a) = b$

$\langle \text{proof} \rangle$

**lemma** *dvd-div-mult*:  $a \text{ dvd } b \implies (b \text{ div } a) * c = b * c \text{ div } a$

$\langle \text{proof} \rangle$

**lemma** *div-dvd-div* [*simp*]:

$a \text{ dvd } b \implies a \text{ dvd } c \implies (b \text{ div } a \text{ dvd } c \text{ div } a) = (b \text{ dvd } c)$

$\langle \text{proof} \rangle$

**lemma** *dvd-mod-imp-dvd*:  $[| k \text{ dvd } m \text{ mod } n; k \text{ dvd } n |] \implies k \text{ dvd } m$

$\langle \text{proof} \rangle$

Addition respects modular equivalence.

**lemma** *mod-add-left-eq*:  $(a + b) \text{ mod } c = (a \text{ mod } c + b) \text{ mod } c$

$\langle \text{proof} \rangle$

**lemma** *mod-add-right-eq*:  $(a + b) \text{ mod } c = (a + b \text{ mod } c) \text{ mod } c$

$\langle \text{proof} \rangle$

**lemma** *mod-add-eq*:  $(a + b) \text{ mod } c = (a \text{ mod } c + b \text{ mod } c) \text{ mod } c$

$\langle \text{proof} \rangle$

**lemma** *mod-add-cong*:

**assumes**  $a \text{ mod } c = a' \text{ mod } c$

**assumes**  $b \text{ mod } c = b' \text{ mod } c$

**shows**  $(a + b) \text{ mod } c = (a' + b') \text{ mod } c$

$\langle proof \rangle$

**lemma** *div-add* [*simp*]:  $z \text{ dvd } x \implies z \text{ dvd } y$   
 $\implies (x + y) \text{ div } z = x \text{ div } z + y \text{ div } z$   
 $\langle proof \rangle$

Multiplication respects modular equivalence.

**lemma** *mod-mult-left-eq*:  $(a * b) \text{ mod } c = ((a \text{ mod } c) * b) \text{ mod } c$   
 $\langle proof \rangle$

**lemma** *mod-mult-right-eq*:  $(a * b) \text{ mod } c = (a * (b \text{ mod } c)) \text{ mod } c$   
 $\langle proof \rangle$

**lemma** *mod-mult-eq*:  $(a * b) \text{ mod } c = ((a \text{ mod } c) * (b \text{ mod } c)) \text{ mod } c$   
 $\langle proof \rangle$

**lemma** *mod-mult-cong*:  
**assumes**  $a \text{ mod } c = a' \text{ mod } c$   
**assumes**  $b \text{ mod } c = b' \text{ mod } c$   
**shows**  $(a * b) \text{ mod } c = (a' * b') \text{ mod } c$   
 $\langle proof \rangle$

**lemma** *mod-mod-cancel*:  
**assumes**  $c \text{ dvd } b$   
**shows**  $a \text{ mod } b \text{ mod } c = a \text{ mod } c$   
 $\langle proof \rangle$

**lemma** *div-mult-div-if-dvd*:  
 $y \text{ dvd } x \implies z \text{ dvd } w \implies (x \text{ div } y) * (w \text{ div } z) = (x * w) \text{ div } (y * z)$   
 $\langle proof \rangle$

**lemma** *div-mult-swap*:  
**assumes**  $c \text{ dvd } b$   
**shows**  $a * (b \text{ div } c) = (a * b) \text{ div } c$   
 $\langle proof \rangle$

**lemma** *div-mult-mult2* [*simp*]:  
 $c \neq 0 \implies (a * c) \text{ div } (b * c) = a \text{ div } b$   
 $\langle proof \rangle$

**lemma** *div-mult-mult1-if* [*simp*]:  
 $(c * a) \text{ div } (c * b) = (\text{if } c = 0 \text{ then } 0 \text{ else } a \text{ div } b)$   
 $\langle proof \rangle$

**lemma** *mod-mult-mult1*:  
 $(c * a) \text{ mod } (c * b) = c * (a \text{ mod } b)$   
 $\langle proof \rangle$

**lemma** *mod-mult-mult2*:

$$(a * c) \bmod (b * c) = (a \bmod b) * c$$

*<proof>*

**lemma** *dvd-mod*:  $k \text{ dvd } m \implies k \text{ dvd } n \implies k \text{ dvd } (m \bmod n)$   
*<proof>*

**lemma** *dvd-mod-iff*:  $k \text{ dvd } n \implies k \text{ dvd } (m \bmod n) \longleftrightarrow k \text{ dvd } m$   
*<proof>*

**lemma** *div-power*:  
 $y \text{ dvd } x \implies (x \text{ div } y) ^ n = x ^ n \text{ div } y ^ n$   
*<proof>*

**lemma** *dvd-div-eq-mult*:  
**assumes**  $a \neq 0$  **and**  $a \text{ dvd } b$   
**shows**  $b \text{ div } a = c \longleftrightarrow b = c * a$   
*<proof>*

**lemma** *dvd-div-div-eq-mult*:  
**assumes**  $a \neq 0$   $c \neq 0$  **and**  $a \text{ dvd } b$   $c \text{ dvd } d$   
**shows**  $b \text{ div } a = d \text{ div } c \longleftrightarrow b * c = a * d$   
*<proof>*

**end**

**class** *ring-div* = *semiring-div* + *comm-ring-1*  
**begin**

**subclass** *ring-1-no-zero-divisors* *<proof>*

Negation respects modular equivalence.

**lemma** *mod-minus-eq*:  $(- a) \bmod b = (- (a \bmod b)) \bmod b$   
*<proof>*

**lemma** *mod-minus-cong*:  
**assumes**  $a \bmod b = a' \bmod b$   
**shows**  $(- a) \bmod b = (- a') \bmod b$   
*<proof>*

Subtraction respects modular equivalence.

**lemma** *mod-diff-left-eq*:  $(a - b) \bmod c = (a \bmod c - b) \bmod c$   
*<proof>*

**lemma** *mod-diff-right-eq*:  $(a - b) \bmod c = (a - b \bmod c) \bmod c$   
*<proof>*

**lemma** *mod-diff-eq*:  $(a - b) \bmod c = (a \bmod c - b \bmod c) \bmod c$   
*<proof>*



**lemma** *mod-diff-cong*:

**assumes**  $a \bmod c = a' \bmod c$

**assumes**  $b \bmod c = b' \bmod c$

**shows**  $(a - b) \bmod c = (a' - b') \bmod c$

$\langle \text{proof} \rangle$

**lemma** *dvd-neg-div*:  $y \text{ dvd } x \implies -x \text{ div } y = - (x \text{ div } y)$

$\langle \text{proof} \rangle$

**lemma** *dvd-div-neg*:  $y \text{ dvd } x \implies x \text{ div } -y = - (x \text{ div } y)$

$\langle \text{proof} \rangle$

**end**

### 33.3 Division on *nat*

We define *op div* and *op mod* on *nat* by means of a characteristic relation with two input arguments *m*, *n* and two output arguments *q*(uotient) and *r*(emainder).

**definition** *divmod-nat-rel* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \times \text{nat} \Rightarrow \text{bool}$  **where**

$\text{divmod-nat-rel } m \ n \ qr \longleftrightarrow$

$m = \text{fst } qr * n + \text{snd } qr \wedge$

$(\text{if } n = 0 \text{ then } \text{fst } qr = 0 \text{ else if } n > 0 \text{ then } 0 \leq \text{snd } qr \wedge \text{snd } qr < n \text{ else } n < \text{snd } qr \wedge \text{snd } qr \leq 0)$

*divmod-nat-rel* is total:

**lemma** *divmod-nat-rel-ex*:

**obtains** *q r* **where** *divmod-nat-rel m n (q, r)*

$\langle \text{proof} \rangle$

*divmod-nat-rel* is injective:

**lemma** *divmod-nat-rel-unique*:

**assumes** *divmod-nat-rel m n qr*

**and** *divmod-nat-rel m n qr'*

**shows**  $qr = qr'$

$\langle \text{proof} \rangle$

We instantiate divisibility on the natural numbers by means of *divmod-nat-rel*:

**instantiation** *nat* :: *semiring-div*

**begin**

**definition** *divmod-nat* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \times \text{nat}$  **where**

$[\text{code del}]: \text{divmod-nat } m \ n = (\text{THE } qr. \text{divmod-nat-rel } m \ n \ qr)$

**lemma** *divmod-nat-rel-divmod-nat*:

$\text{divmod-nat-rel } m \ n \ (\text{divmod-nat } m \ n)$

$\langle \text{proof} \rangle$

**lemma** *divmod-nat-eq*:  
**assumes** *divmod-nat-rel* *m n qr*  
**shows** *divmod-nat* *m n = qr*  
 $\langle \text{proof} \rangle$

**definition** *div-nat* **where**  
 $m \text{ div } n = \text{fst } (\text{divmod-nat } m \ n)$

**definition** *mod-nat* **where**  
 $m \text{ mod } n = \text{snd } (\text{divmod-nat } m \ n)$

**lemma** *divmod-nat-div-mod*:  
 $\text{divmod-nat } m \ n = (m \text{ div } n, m \text{ mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *div-eq*:  
**assumes** *divmod-nat-rel* *m n (q, r)*  
**shows**  $m \text{ div } n = q$   
 $\langle \text{proof} \rangle$

**lemma** *mod-eq*:  
**assumes** *divmod-nat-rel* *m n (q, r)*  
**shows**  $m \text{ mod } n = r$   
 $\langle \text{proof} \rangle$

**lemma** *divmod-nat-rel*: *divmod-nat-rel* *m n (m div n, m mod n)*  
 $\langle \text{proof} \rangle$

**lemma** *divmod-nat-zero*:  
 $\text{divmod-nat } m \ 0 = (0, m)$   
 $\langle \text{proof} \rangle$

**lemma** *divmod-nat-base*:  
**assumes**  $m < n$   
**shows**  $\text{divmod-nat } m \ n = (0, m)$   
 $\langle \text{proof} \rangle$

**lemma** *divmod-nat-step*:  
**assumes**  $0 < n$  **and**  $n \leq m$   
**shows**  $\text{divmod-nat } m \ n = (\text{Suc } ((m - n) \text{ div } n), (m - n) \text{ mod } n)$   
 $\langle \text{proof} \rangle$

The “recursion” equations for *op div* and *op mod*

**lemma** *div-less* [*simp*]:  
**fixes**  $m \ n :: \text{nat}$   
**assumes**  $m < n$   
**shows**  $m \text{ div } n = 0$   
 $\langle \text{proof} \rangle$

**lemma** *le-div-geq*:  
**fixes**  $m\ n :: \text{nat}$   
**assumes**  $0 < n$  **and**  $n \leq m$   
**shows**  $m \text{ div } n = \text{Suc } ((m - n) \text{ div } n)$   
 $\langle \text{proof} \rangle$

**lemma** *mod-less [simp]*:  
**fixes**  $m\ n :: \text{nat}$   
**assumes**  $m < n$   
**shows**  $m \text{ mod } n = m$   
 $\langle \text{proof} \rangle$

**lemma** *le-mod-geq*:  
**fixes**  $m\ n :: \text{nat}$   
**assumes**  $n \leq m$   
**shows**  $m \text{ mod } n = (m - n) \text{ mod } n$   
 $\langle \text{proof} \rangle$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *divmod-nat-if [code]*:  $\text{divmod-nat } m\ n = (\text{if } n = 0 \vee m < n \text{ then } (0, m) \text{ else } \text{let } (q, r) = \text{divmod-nat } (m - n)\ n \text{ in } (\text{Suc } q, r))$   
 $\langle \text{proof} \rangle$

Simproc for cancelling *op div* and *op mod*  
 $\langle \text{ML} \rangle$

### 33.3.1 Quotient

**lemma** *div-geq*:  $0 < n \implies \neg m < n \implies m \text{ div } n = \text{Suc } ((m - n) \text{ div } n)$   
 $\langle \text{proof} \rangle$

**lemma** *div-if*:  $0 < n \implies m \text{ div } n = (\text{if } m < n \text{ then } 0 \text{ else } \text{Suc } ((m - n) \text{ div } n))$   
 $\langle \text{proof} \rangle$

**lemma** *div-mult-self-is-m [simp]*:  $0 < n \implies (m * n) \text{ div } n = (m :: \text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** *div-mult-self1-is-m [simp]*:  $0 < n \implies (n * m) \text{ div } n = (m :: \text{nat})$   
 $\langle \text{proof} \rangle$

### 33.3.2 Remainder

**lemma** *mod-less-divisor [simp]*:  
**fixes**  $m\ n :: \text{nat}$   
**assumes**  $n > 0$   
**shows**  $m \text{ mod } n < (n :: \text{nat})$

$\langle \text{proof} \rangle$

**lemma** *mod-less-eq-dividend* [*simp*]:

**fixes**  $m\ n :: \text{nat}$

**shows**  $m \bmod n \leq m$

$\langle \text{proof} \rangle$

**lemma** *mod-geq*:  $\neg m < (n :: \text{nat}) \implies m \bmod n = (m - n) \bmod n$

$\langle \text{proof} \rangle$

**lemma** *mod-if*:  $m \bmod (n :: \text{nat}) = (\text{if } m < n \text{ then } m \text{ else } (m - n) \bmod n)$

$\langle \text{proof} \rangle$

**lemma** *mod-1* [*simp*]:  $m \bmod \text{Suc } 0 = 0$

$\langle \text{proof} \rangle$

**lemma** *mod-mult-distrib*:  $(m \bmod n) * (k :: \text{nat}) = (m * k) \bmod (n * k)$

$\langle \text{proof} \rangle$

**lemma** *mod-mult-distrib2*:  $(k :: \text{nat}) * (m \bmod n) = (k * m) \bmod (k * n)$

$\langle \text{proof} \rangle$

**lemma** *mult-div-cancel*:  $(n :: \text{nat}) * (m \text{ div } n) = m - (m \bmod n)$

$\langle \text{proof} \rangle$

**lemma** *mod-le-divisor* [*simp*]:  $0 < n \implies m \bmod n \leq (n :: \text{nat})$

$\langle \text{proof} \rangle$

### 33.3.3 Quotient and Remainder

**lemma** *divmod-nat-rel-mult1-eq*:

$\text{divmod-nat-rel } b\ c\ (q, r) \implies c > 0$

$\implies \text{divmod-nat-rel } (a * b)\ c\ (a * q + a * r \text{ div } c, a * r \bmod c)$

$\langle \text{proof} \rangle$

**lemma** *div-mult1-eq*:

$(a * b) \text{ div } c = a * (b \text{ div } c) + a * (b \bmod c) \text{ div } (c :: \text{nat})$

$\langle \text{proof} \rangle$

**lemma** *divmod-nat-rel-add1-eq*:

$\text{divmod-nat-rel } a\ c\ (aq, ar) \implies \text{divmod-nat-rel } b\ c\ (bq, br) \implies c > 0$

$\implies \text{divmod-nat-rel } (a + b)\ c\ (aq + bq + (ar + br) \text{ div } c, (ar + br) \bmod c)$

$\langle \text{proof} \rangle$

**lemma** *div-add1-eq*:

$(a + b) \text{ div } (c :: \text{nat}) = a \text{ div } c + b \text{ div } c + ((a \bmod c + b \bmod c) \text{ div } c)$

$\langle \text{proof} \rangle$

**lemma** *mod-lemma*:  $[ (0::nat) < c; r < b ] ==> b * (q \text{ mod } c) + r < b * c$   
 $\langle proof \rangle$

**lemma** *divmod-nat-rel-mult2-eq*:  
 $divmod\text{-}nat\text{-}rel\ a\ b\ (q, r) ==> 0 < b ==> 0 < c$   
 $==> divmod\text{-}nat\text{-}rel\ a\ (b * c)\ (q \text{ div } c, b * (q \text{ mod } c) + r)$   
 $\langle proof \rangle$

**lemma** *div-mult2-eq*:  $a \text{ div } (b * c) = (a \text{ div } b) \text{ div } (c::nat)$   
 $\langle proof \rangle$

**lemma** *mod-mult2-eq*:  $a \text{ mod } (b * c) = b * (a \text{ div } b \text{ mod } c) + a \text{ mod } (b::nat)$   
 $\langle proof \rangle$

### 33.3.4 Further Facts about Quotient and Remainder

**lemma** *div-1* [*simp*]:  $m \text{ div } Suc\ 0 = m$   
 $\langle proof \rangle$

**lemma** *div-le-mono* [*rule-format* (*no-asm*)]:  
 $\forall m::nat. m \leq n --> (m \text{ div } k) \leq (n \text{ div } k)$   
 $\langle proof \rangle$

**lemma** *div-le-mono2*:  $!!m::nat. [ (0 < m; m \leq n) ] ==> (k \text{ div } n) \leq (k \text{ div } m)$   
 $\langle proof \rangle$

**lemma** *div-le-dividend* [*simp*]:  $m \text{ div } n \leq (m::nat)$   
 $\langle proof \rangle$

**lemma** *div-less-dividend* [*rule-format*]:  
 $!!n::nat. 1 < n ==> 0 < m --> m \text{ div } n < m$   
 $\langle proof \rangle$

**declare** *div-less-dividend* [*simp*]

A fact for the mutilated chess board

**lemma** *mod-Suc*:  $Suc(m) \text{ mod } n = (if\ Suc(m \text{ mod } n) = n\ then\ 0\ else\ Suc(m \text{ mod } n))$   
 $\langle proof \rangle$

**lemma** *mod-eq-0-iff*:  $(m \text{ mod } d = 0) = (\exists q::nat. m = d * q)$   
 $\langle proof \rangle$

**lemmas** *mod-eq-0D* [*dest!*] = *mod-eq-0-iff* [*THEN iffD1*]

**lemma** *mod-eqD*:  $(m \bmod d = r) \implies \exists q::nat. m = r + q*d$   
 <proof>

**lemma** *split-div*:  
 $P(n \text{ div } k :: nat) =$   
 $((k = 0 \longrightarrow P\ 0) \wedge (k \neq 0 \longrightarrow (!i. !j < k. n = k*i + j \longrightarrow P\ i)))$   
 $(\text{is } ?P = ?Q \text{ is } - = (- \wedge (- \longrightarrow ?R)))$   
 <proof>

**lemma** *split-div-lemma*:  
 assumes  $0 < n$   
 shows  $n * q \leq m \wedge m < n * \text{Suc } q \iff q = ((m::nat) \text{ div } n) (\text{is } ?lhs \iff ?rhs)$   
 <proof>

**theorem** *split-div'*:  
 $P((m::nat) \text{ div } n) = ((n = 0 \wedge P\ 0) \vee$   
 $(\exists q. (n * q \leq m \wedge m < n * (\text{Suc } q)) \wedge P\ q))$   
 <proof>

**lemma** *split-mod*:  
 $P(n \bmod k :: nat) =$   
 $((k = 0 \longrightarrow P\ n) \wedge (k \neq 0 \longrightarrow (!i. !j < k. n = k*i + j \longrightarrow P\ j)))$   
 $(\text{is } ?P = ?Q \text{ is } - = (- \wedge (- \longrightarrow ?R)))$   
 <proof>

**theorem** *mod-div-equality'*:  $(m::nat) \bmod n = m - (m \text{ div } n) * n$   
 <proof>

**lemma** *div-mod-equality'*:  
 fixes  $m\ n :: nat$   
 shows  $m \text{ div } n * n = m - m \bmod n$   
 <proof>

### 33.3.5 An “induction” law for modulus arithmetic.

**lemma** *mod-induct-0*:  
 assumes *step*:  $\forall i < p. P\ i \longrightarrow P\ ((\text{Suc } i) \bmod p)$   
 and *base*:  $P\ i$  and  $i: i < p$   
 shows  $P\ 0$   
 <proof>

**lemma** *mod-induct*:  
 assumes *step*:  $\forall i < p. P\ i \longrightarrow P\ ((\text{Suc } i) \bmod p)$   
 and *base*:  $P\ i$  and  $i: i < p$  and  $j: j < p$   
 shows  $P\ j$   
 <proof>

**lemma** *div2-Suc-Suc* [simp]:  $\text{Suc} (\text{Suc } m) \text{ div } 2 = \text{Suc} (m \text{ div } 2)$   
 <proof>

**lemma** *add-self-div-2* [simp]:  $(m + m) \text{ div } 2 = (m :: \text{nat})$   
 <proof>

**lemma** *mod2-Suc-Suc* [simp]:  $\text{Suc}(\text{Suc}(m)) \text{ mod } 2 = m \text{ mod } 2$   
 <proof>

**lemma** *mod2-gr-0* [simp]:  $0 < (m :: \text{nat}) \text{ mod } 2 \longleftrightarrow m \text{ mod } 2 = 1$   
 <proof>

These lemmas collapse some needless occurrences of Suc: at least three Sucs, since two and fewer are rewritten back to Suc again! We already have some rules to simplify operands smaller than 3.

**lemma** *div-Suc-eq-div-add3* [simp]:  $m \text{ div} (\text{Suc} (\text{Suc} (\text{Suc } n))) = m \text{ div } (3+n)$   
 <proof>

**lemma** *mod-Suc-eq-mod-add3* [simp]:  $m \text{ mod} (\text{Suc} (\text{Suc} (\text{Suc } n))) = m \text{ mod} (3+n)$   
 <proof>

**lemma** *Suc-div-eq-add3-div*:  $(\text{Suc} (\text{Suc} (\text{Suc } m))) \text{ div } n = (3+m) \text{ div } n$   
 <proof>

**lemma** *Suc-mod-eq-add3-mod*:  $(\text{Suc} (\text{Suc} (\text{Suc } m))) \text{ mod } n = (3+m) \text{ mod } n$   
 <proof>

**lemmas** *Suc-div-eq-add3-div-number-of* =  
     *Suc-div-eq-add3-div* [of - number-of v, standard]  
**declare** *Suc-div-eq-add3-div-number-of* [simp]

**lemmas** *Suc-mod-eq-add3-mod-number-of* =  
     *Suc-mod-eq-add3-mod* [of - number-of v, standard]  
**declare** *Suc-mod-eq-add3-mod-number-of* [simp]

**lemma** *Suc-times-mod-eq*:  $1 < k \implies \text{Suc} (k * m) \text{ mod } k = 1$   
 <proof>

**declare** *Suc-times-mod-eq* [of number-of w, standard, simp]

**lemma** [simp]:  $n \text{ div } k \leq (\text{Suc } n) \text{ div } k$   
 <proof>

**lemma** *Suc-n-div-2-gt-zero* [simp]:  $(0 :: \text{nat}) < n \implies 0 < (n + 1) \text{ div } 2$   
 <proof>

**lemma** *div-2-gt-zero* [simp]: **assumes**  $A: (1 :: \text{nat}) < n$  **shows**  $0 < n \text{ div } 2$   
 <proof>

**lemma** *mod-mult-self3* [simp]:  $(k*n + m) \bmod n = m \bmod (n::nat)$   
 $\langle proof \rangle$

**lemma** *mod-mult-self4* [simp]:  $Suc (k*n + m) \bmod n = Suc m \bmod n$   
 $\langle proof \rangle$

**lemma** *mod-Suc-eq-Suc-mod*:  $Suc m \bmod n = Suc (m \bmod n) \bmod n$   
 $\langle proof \rangle$

### 33.4 Division on *int*

**definition** *divmod-int-rel* ::  $int \Rightarrow int \Rightarrow int \times int \Rightarrow bool$  **where**  
 — definition of quotient and remainder  
 [code]:  $divmod-int-rel\ a\ b = (\lambda(q, r). a = b * q + r \wedge$   
 $(if\ 0 < b\ then\ 0 \leq r \wedge r < b\ else\ b < r \wedge r \leq 0))$

**definition** *adjust* ::  $int \Rightarrow int \times int \Rightarrow int \times int$  **where**  
 — for the division algorithm  
 [code]:  $adjust\ b = (\lambda(q, r). if\ 0 \leq r - b\ then\ (2 * q + 1, r - b)$   
 $else\ (2 * q, r))$

algorithm for the case  $a \geq 0, b > 0$

**function** *posDivAlg* ::  $int \Rightarrow int \Rightarrow int \times int$  **where**  
 $posDivAlg\ a\ b = (if\ a < b \vee b \leq 0\ then\ (0, a)$   
 $else\ adjust\ b\ (posDivAlg\ a\ (2 * b)))$   
 $\langle proof \rangle$   
**termination**  $\langle proof \rangle$

algorithm for the case  $a < 0, b > 0$

**function** *negDivAlg* ::  $int \Rightarrow int \Rightarrow int \times int$  **where**  
 $negDivAlg\ a\ b = (if\ 0 \leq a + b \vee b \leq 0\ then\ (-1, a + b)$   
 $else\ adjust\ b\ (negDivAlg\ a\ (2 * b)))$   
 $\langle proof \rangle$   
**termination**  $\langle proof \rangle$

algorithm for the general case  $b \neq (0::'a)$

**definition** *negateSnd* ::  $int \times int \Rightarrow int \times int$  **where**  
 [code-unfold]:  $negateSnd = apsnd\ uminus$

**definition** *divmod-int* ::  $int \Rightarrow int \Rightarrow int \times int$  **where**  
 — The full division algorithm considers all possible signs for a, b including the special case  $a=0, b<0$  because *negDivAlg* requires  $a < (0::'a)$ .  
 $divmod-int\ a\ b = (if\ 0 \leq a\ then\ if\ 0 \leq b\ then\ posDivAlg\ a\ b$   
 $else\ if\ a = 0\ then\ (0, 0)$   
 $else\ negateSnd\ (negDivAlg\ (-a)\ (-b))$   
 $else$   
 $if\ 0 < b\ then\ negDivAlg\ a\ b$



*else negateSnd (posDivAlg (-a) (-b)))*

**instantiation** *int* :: *Divides.div*  
**begin**

**definition**  
*a div b = fst (divmod-int a b)*

**definition**  
*a mod b = snd (divmod-int a b)*

**instance** *<proof>*

**end**

**lemma** *divmod-int-mod-div*:  
*divmod-int p q = (p div q, p mod q)*  
*<proof>*

Here is the division algorithm in ML:

```

fun posDivAlg (a,b) =
  if a<b then (0,a)
  else let val (q,r) = posDivAlg(a, 2*b)
        in if 0<=r-b then (2*q+1, r-b) else (2*q, r)
        end

fun negDivAlg (a,b) =
  if 0<=a+b then (~1,a+b)
  else let val (q,r) = negDivAlg(a, 2*b)
        in if 0<=r-b then (2*q+1, r-b) else (2*q, r)
        end;

fun negateSnd (q,r:int) = (q,~r);

fun divmod (a,b) = if 0<=a then
  if b>0 then posDivAlg (a,b)
  else if a=0 then (0,0)
  else negateSnd (negDivAlg (~a,~b))
else
  if 0<b then negDivAlg (a,b)
  else negateSnd (posDivAlg (~a,~b));

```

### 33.4.1 Uniqueness and Monotonicity of Quotients and Remainders

**lemma** *unique-quotient-lemma*:

$$[[\ b * q' + r' \leq b * q + r; \ 0 \leq r'; \ r' < b; \ r < b \ ]]$$

$$\implies q' \leq (q :: \text{int})$$

*<proof>*

**lemma** *unique-quotient-lemma-neg*:

$$[[\ b * q' + r' \leq b * q + r; \ r \leq 0; \ b < r; \ b < r' \ ]]$$

$$\implies q \leq (q' :: \text{int})$$

*<proof>*

**lemma** *unique-quotient*:

$$[[\ \text{divmod-int-rel } a \ b \ (q, r); \ \text{divmod-int-rel } a \ b \ (q', r'); \ b \neq 0 \ ]]$$

$$\implies q = q'$$

*<proof>*

**lemma** *unique-remainder*:

$$[[\ \text{divmod-int-rel } a \ b \ (q, r); \ \text{divmod-int-rel } a \ b \ (q', r'); \ b \neq 0 \ ]]$$

$$\implies r = r'$$

*<proof>*

### 33.4.2 Correctness of *posDivAlg*, the Algorithm for Non-Negative Dividends

And positive divisors

**lemma** *adjust-eq [simp]*:

$$\text{adjust } b \ (q, r) =$$

$$(\text{let } \text{diff} = r - b \text{ in}$$

$$\text{if } 0 \leq \text{diff} \text{ then } (2 * q + 1, \text{diff})$$

$$\text{else } (2 * q, r))$$

*<proof>*

**declare** *posDivAlg.simps [simp del]*

use with a *simproc* to avoid repeatedly proving the premise

**lemma** *posDivAlg-eqn*:

$$0 < b \implies$$

$$\text{posDivAlg } a \ b = (\text{if } a < b \text{ then } (0, a) \text{ else } \text{adjust } b \ (\text{posDivAlg } a \ (2 * b)))$$

*<proof>*

Correctness of *posDivAlg*: it computes quotients correctly

**theorem** *posDivAlg-correct*:

**assumes**  $0 \leq a$  **and**  $0 < b$

**shows**  $\text{divmod-int-rel } a \ b \ (\text{posDivAlg } a \ b)$

*<proof>*

### 33.4.3 Correctness of *negDivAlg*, the Algorithm for Negative Dividends

And positive divisors

**declare** *negDivAlg.simps* [*simp del*]

use with a *simproc* to avoid repeatedly proving the premise

**lemma** *negDivAlg-eqn*:

$0 < b \implies$   
 $\text{negDivAlg } a \ b =$   
 $(\text{if } 0 \leq a+b \text{ then } (-1, a+b) \text{ else adjust } b (\text{negDivAlg } a \ (2*b)))$   
 $\langle \text{proof} \rangle$

**lemma** *negDivAlg-correct*:

**assumes**  $a < 0$  **and**  $b > 0$   
**shows** *divmod-int-rel*  $a \ b \ (\text{negDivAlg } a \ b)$   
 $\langle \text{proof} \rangle$

### 33.4.4 Existence Shown by Proving the Division Algorithm to be Correct

**lemma** *divmod-int-rel-0*:  $b \neq 0 \implies \text{divmod-int-rel } 0 \ b \ (0, 0)$   
 $\langle \text{proof} \rangle$

**lemma** *posDivAlg-0* [*simp*]:  $\text{posDivAlg } 0 \ b = (0, 0)$   
 $\langle \text{proof} \rangle$

**lemma** *negDivAlg-minus1* [*simp*]:  $\text{negDivAlg } -1 \ b = (-1, b - 1)$   
 $\langle \text{proof} \rangle$

**lemma** *negateSnd-eq* [*simp*]:  $\text{negateSnd}(q, r) = (q, -r)$   
 $\langle \text{proof} \rangle$

**lemma** *divmod-int-rel-neg*:  $\text{divmod-int-rel } (-a) \ (-b) \ qr \implies \text{divmod-int-rel } a \ b \ (\text{negateSnd } qr)$   
 $\langle \text{proof} \rangle$

**lemma** *divmod-int-correct*:  $b \neq 0 \implies \text{divmod-int-rel } a \ b \ (\text{divmod-int } a \ b)$   
 $\langle \text{proof} \rangle$

Arbitrary definitions for division by zero. Useful to simplify certain equations.

**lemma** *DIVISION-BY-ZERO* [*simp*]:  $a \text{ div } (0::\text{int}) = 0 \ \& \ a \text{ mod } (0::\text{int}) = a$   
 $\langle \text{proof} \rangle$

Basic laws about division and remainder

**lemma** *zmod-zdiv-equality*:  $(a::\text{int}) = b * (a \text{ div } b) + (a \text{ mod } b)$

$\langle proof \rangle$

**lemma** *zdiv-zmod-equality*:  $(b * (a \text{ div } b) + (a \text{ mod } b)) + k = (a::int)+k$   
 $\langle proof \rangle$

**lemma** *zdiv-zmod-equality2*:  $((a \text{ div } b) * b + (a \text{ mod } b)) + k = (a::int)+k$   
 $\langle proof \rangle$

Tool setup

$\langle ML \rangle$

**lemma** *pos-mod-conj* :  $(0::int) < b ==> 0 \leq a \text{ mod } b \ \& \ a \text{ mod } b < b$   
 $\langle proof \rangle$

**lemmas** *pos-mod-sign* [simp] = *pos-mod-conj* [THEN conjunct1, standard]  
**and** *pos-mod-bound* [simp] = *pos-mod-conj* [THEN conjunct2, standard]

**lemma** *neg-mod-conj* :  $b < (0::int) ==> a \text{ mod } b \leq 0 \ \& \ b < a \text{ mod } b$   
 $\langle proof \rangle$

**lemmas** *neg-mod-sign* [simp] = *neg-mod-conj* [THEN conjunct1, standard]  
**and** *neg-mod-bound* [simp] = *neg-mod-conj* [THEN conjunct2, standard]

### 33.4.5 General Properties of div and mod

**lemma** *divmod-int-rel-div-mod*:  $b \neq 0 ==> \text{divmod-int-rel } a \ b \ (a \text{ div } b, a \text{ mod } b)$   
 $\langle proof \rangle$

**lemma** *divmod-int-rel-div*:  $[ \text{divmod-int-rel } a \ b \ (q, r); \ b \neq 0 ] ==> a \text{ div } b = q$   
 $\langle proof \rangle$

**lemma** *divmod-int-rel-mod*:  $[ \text{divmod-int-rel } a \ b \ (q, r); \ b \neq 0 ] ==> a \text{ mod } b = r$   
 $\langle proof \rangle$

**lemma** *div-pos-pos-trivial*:  $[ (0::int) \leq a; \ a < b ] ==> a \text{ div } b = 0$   
 $\langle proof \rangle$

**lemma** *div-neg-neg-trivial*:  $[ a \leq (0::int); \ b < a ] ==> a \text{ div } b = 0$   
 $\langle proof \rangle$

**lemma** *div-pos-neg-trivial*:  $[ (0::int) < a; \ a+b \leq 0 ] ==> a \text{ div } b = -1$   
 $\langle proof \rangle$

**lemma** *mod-pos-pos-trivial*:  $[ (0::int) \leq a; \ a < b ] ==> a \text{ mod } b = a$   
 $\langle proof \rangle$

**lemma** *mod-neg-neg-trivial*:  $[[a \leq (0::int); b < a]] \implies a \bmod b = a$   
 $\langle proof \rangle$

**lemma** *mod-pos-neg-trivial*:  $[[ (0::int) < a; a+b \leq 0 ]] \implies a \bmod b = a+b$   
 $\langle proof \rangle$

There is no *mod-neg-pos-trivial*.

**lemma** *zdiv-zminus-zminus* [simp]:  $(-a) \operatorname{div} (-b) = a \operatorname{div} (b::int)$   
 $\langle proof \rangle$

**lemma** *zmod-zminus-zminus* [simp]:  $(-a) \bmod (-b) = - (a \bmod (b::int))$   
 $\langle proof \rangle$

### 33.4.6 Laws for div and mod with Unary Minus

**lemma** *zminus1-lemma*:  
 $\operatorname{divmod}\text{-int}\text{-rel } a \ b \ (q, r)$   
 $\implies \operatorname{divmod}\text{-int}\text{-rel } (-a) \ b \ (\text{if } r=0 \text{ then } -q \text{ else } -q - 1,$   
 $\text{if } r=0 \text{ then } 0 \text{ else } b-r)$   
 $\langle proof \rangle$

**lemma** *zdiv-zminus1-eq-if*:  
 $b \neq (0::int)$   
 $\implies (-a) \operatorname{div} b =$   
 $(\text{if } a \bmod b = 0 \text{ then } - (a \operatorname{div} b) \text{ else } - (a \operatorname{div} b) - 1)$   
 $\langle proof \rangle$

**lemma** *zmod-zminus1-eq-if*:  
 $(-a::int) \bmod b = (\text{if } a \bmod b = 0 \text{ then } 0 \text{ else } b - (a \bmod b))$   
 $\langle proof \rangle$

**lemma** *zmod-zminus1-not-zero*:  
**fixes**  $k \ l :: int$   
**shows**  $-k \bmod l \neq 0 \implies k \bmod l \neq 0$   
 $\langle proof \rangle$

**lemma** *zdiv-zminus2*:  $a \operatorname{div} (-b) = (-a::int) \operatorname{div} b$   
 $\langle proof \rangle$

**lemma** *zmod-zminus2*:  $a \bmod (-b) = - ((-a::int) \bmod b)$   
 $\langle proof \rangle$

**lemma** *zdiv-zminus2-eq-if*:  
 $b \neq (0::int)$   
 $\implies a \operatorname{div} (-b) =$   
 $(\text{if } a \bmod b = 0 \text{ then } - (a \operatorname{div} b) \text{ else } - (a \operatorname{div} b) - 1)$   
 $\langle proof \rangle$

**lemma** *zmod-zminus2-eq-if*:

$a \bmod (-b::int) = (if\ a \bmod b = 0\ then\ 0\ else\ (a \bmod b) - b)$   
 $\langle proof \rangle$

**lemma** *zmod-zminus2-not-zero*:

**fixes**  $k\ l :: int$   
**shows**  $k \bmod -l \neq 0 \implies k \bmod l \neq 0$   
 $\langle proof \rangle$

### 33.4.7 Division of a Number by Itself

**lemma** *self-quotient-aux1*:  $[ (0::int) < a; a = r + a*q; r < a ] \implies 1 \leq q$   
 $\langle proof \rangle$

**lemma** *self-quotient-aux2*:  $[ (0::int) < a; a = r + a*q; 0 \leq r ] \implies q \leq 1$   
 $\langle proof \rangle$

**lemma** *self-quotient*:  $[ \text{divmod-int-rel } a\ a\ (q, r); a \neq (0::int) ] \implies q = 1$   
 $\langle proof \rangle$

**lemma** *self-remainder*:  $[ \text{divmod-int-rel } a\ a\ (q, r); a \neq (0::int) ] \implies r = 0$   
 $\langle proof \rangle$

**lemma** *zdiv-self [simp]*:  $a \neq 0 \implies a \text{ div } a = (1::int)$   
 $\langle proof \rangle$

**lemma** *zmod-self [simp]*:  $a \bmod a = (0::int)$   
 $\langle proof \rangle$

### 33.4.8 Computation of Division and Remainder

**lemma** *zdiv-zero [simp]*:  $(0::int) \text{ div } b = 0$   
 $\langle proof \rangle$

**lemma** *div-eq-minus1*:  $(0::int) < b \implies -1 \text{ div } b = -1$   
 $\langle proof \rangle$

**lemma** *zmod-zero [simp]*:  $(0::int) \bmod b = 0$   
 $\langle proof \rangle$

**lemma** *zmod-minus1*:  $(0::int) < b \implies -1 \bmod b = b - 1$   
 $\langle proof \rangle$

a positive, b positive

**lemma** *div-pos-pos*:  $[ 0 < a; 0 \leq b ] \implies a \text{ div } b = \text{fst } (\text{posDivAlg } a\ b)$   
 $\langle proof \rangle$

**lemma** *mod-pos-pos*:  $[ 0 < a; 0 \leq b ] \implies a \bmod b = \text{snd } (\text{posDivAlg } a\ b)$

$\langle proof \rangle$

a negative, b positive

**lemma** *div-neg-pos*:  $\llbracket a < 0; \ 0 < b \rrbracket \implies a \text{ div } b = \text{fst } (\text{negDivAlg } a \ b)$   
 $\langle proof \rangle$

**lemma** *mod-neg-pos*:  $\llbracket a < 0; \ 0 < b \rrbracket \implies a \text{ mod } b = \text{snd } (\text{negDivAlg } a \ b)$   
 $\langle proof \rangle$

a positive, b negative

**lemma** *div-pos-neg*:  
 $\llbracket 0 < a; \ b < 0 \rrbracket \implies a \text{ div } b = \text{fst } (\text{negateSnd } (\text{negDivAlg } (-a) \ (-b)))$   
 $\langle proof \rangle$

**lemma** *mod-pos-neg*:  
 $\llbracket 0 < a; \ b < 0 \rrbracket \implies a \text{ mod } b = \text{snd } (\text{negateSnd } (\text{negDivAlg } (-a) \ (-b)))$   
 $\langle proof \rangle$

a negative, b negative

**lemma** *div-neg-neg*:  
 $\llbracket a < 0; \ b \leq 0 \rrbracket \implies a \text{ div } b = \text{fst } (\text{negateSnd } (\text{posDivAlg } (-a) \ (-b)))$   
 $\langle proof \rangle$

**lemma** *mod-neg-neg*:  
 $\llbracket a < 0; \ b \leq 0 \rrbracket \implies a \text{ mod } b = \text{snd } (\text{negateSnd } (\text{posDivAlg } (-a) \ (-b)))$   
 $\langle proof \rangle$

Simplify expresions in which div and mod combine numerical constants

**lemma** *divmod-int-relI*:  
 $\llbracket a == b * q + r; \text{ if } 0 < b \text{ then } 0 \leq r \wedge r < b \text{ else } b < r \wedge r \leq 0 \rrbracket$   
 $\implies \text{divmod-int-rel } a \ b \ (q, \ r)$   
 $\langle proof \rangle$

**lemmas** *divmod-int-rel-div-eq* = *divmod-int-relI* [THEN *divmod-int-rel-div*, THEN *eq-reflection*]

**lemmas** *divmod-int-rel-mod-eq* = *divmod-int-relI* [THEN *divmod-int-rel-mod*, THEN *eq-reflection*]

**lemmas** *arithmetic-simps* =  
*arith-simps*  
*add-special*  
*add-0-left*  
*add-0-right*  
*mult-zero-left*  
*mult-zero-right*  
*mult-1-left*  
*mult-1-right*

$\langle ML \rangle$

**lemmas** *posDivAlg-eqn-number-of* [simp] =  
*posDivAlg-eqn* [of number-of *v* number-of *w*, standard]

**lemmas** *negDivAlg-eqn-number-of* [simp] =  
*negDivAlg-eqn* [of number-of *v* number-of *w*, standard]

Special-case simplification

**lemma** *zmod-minus1-right* [simp]:  $a \bmod (-1::int) = 0$   
 $\langle proof \rangle$

**lemma** *zdiv-minus1-right* [simp]:  $a \div (-1::int) = -a$   
 $\langle proof \rangle$

**lemmas** *div-pos-pos-1-number-of* [simp] =  
*div-pos-pos* [OF *int-0-less-1*, of number-of *w*, standard]

**lemmas** *div-pos-neg-1-number-of* [simp] =  
*div-pos-neg* [OF *int-0-less-1*, of number-of *w*, standard]

**lemmas** *mod-pos-pos-1-number-of* [simp] =  
*mod-pos-pos* [OF *int-0-less-1*, of number-of *w*, standard]

**lemmas** *mod-pos-neg-1-number-of* [simp] =  
*mod-pos-neg* [OF *int-0-less-1*, of number-of *w*, standard]

**lemmas** *posDivAlg-eqn-1-number-of* [simp] =  
*posDivAlg-eqn* [of concl: 1 number-of *w*, standard]

**lemmas** *negDivAlg-eqn-1-number-of* [simp] =  
*negDivAlg-eqn* [of concl: 1 number-of *w*, standard]

### 33.4.9 Monotonicity in the First Argument (Dividend)

**lemma** *zdiv-mono1*:  $[| a \leq a'; 0 < (b::int) |] \implies a \div b \leq a' \div b$   
 $\langle proof \rangle$

**lemma** *zdiv-mono1-neg*:  $[| a \leq a'; (b::int) < 0 |] \implies a' \div b \leq a \div b$   
 $\langle proof \rangle$

### 33.4.10 Monotonicity in the Second Argument (Divisor)

**lemma** *q-pos-lemma*:  
 $[| 0 \leq b'q' + r'; r' < b'; 0 < b' |] \implies 0 \leq (q'::int)$   
 $\langle proof \rangle$



**lemma** *zdiv-mono2-lemma*:

$$\begin{aligned} & [[\ b*q + r = b'*q' + r'; \ 0 \leq b'*q' + r'; \\ & \quad r' < b'; \ 0 \leq r; \ 0 < b'; \ b' \leq b \ ] \\ & \implies q \leq (q'::int) \\ & \langle proof \rangle \end{aligned}$$

**lemma** *zdiv-mono2*:

$$[[\ (0::int) \leq a; \ 0 < b'; \ b' \leq b \ ] \implies a \text{ div } b \leq a \text{ div } b'$$
  
 $\langle proof \rangle$

**lemma** *q-neg-lemma*:

$$[[\ b'*q' + r' < 0; \ 0 \leq r'; \ 0 < b' \ ] \implies q' \leq (0::int)$$
  
 $\langle proof \rangle$

**lemma** *zdiv-mono2-neg-lemma*:

$$\begin{aligned} & [[\ b*q + r = b'*q' + r'; \ b'*q' + r' < 0; \\ & \quad r < b; \ 0 \leq r'; \ 0 < b'; \ b' \leq b \ ] \\ & \implies q' \leq (q::int) \\ & \langle proof \rangle \end{aligned}$$

**lemma** *zdiv-mono2-neg*:

$$[[\ a < (0::int); \ 0 < b'; \ b' \leq b \ ] \implies a \text{ div } b' \leq a \text{ div } b$$
  
 $\langle proof \rangle$

### 33.4.11 More Algebraic Laws for div and mod

proving  $(a*b) \text{ div } c = a * (b \text{ div } c) + a * (b \text{ mod } c)$

**lemma** *zmult1-lemma*:

$$\begin{aligned} & [[\ \text{divmod-int-rel } b \ c \ (q, r); \ c \neq 0 \ ] \\ & \implies \text{divmod-int-rel } (a * b) \ c \ (a*q + a*r \text{ div } c, a*r \text{ mod } c) \\ & \langle proof \rangle \end{aligned}$$

**lemma** *zdiv-zmult1-eq*:  $(a*b) \text{ div } c = a*(b \text{ div } c) + a*(b \text{ mod } c) \text{ div } (c::int)$   
 $\langle proof \rangle$

**lemma** *zmod-zmult1-eq*:  $(a*b) \text{ mod } c = a*(b \text{ mod } c) \text{ mod } (c::int)$   
 $\langle proof \rangle$

**lemma** *zmod-zdiv-trivial*:  $(a \text{ mod } b) \text{ div } b = (0::int)$   
 $\langle proof \rangle$

proving  $(a+b) \text{ div } c = a \text{ div } c + b \text{ div } c + ((a \text{ mod } c + b \text{ mod } c) \text{ div } c)$

**lemma** *zadd1-lemma*:

$$\begin{aligned} & [[\ \text{divmod-int-rel } a \ c \ (aq, ar); \ \text{divmod-int-rel } b \ c \ (bq, br); \ c \neq 0 \ ] \\ & \implies \text{divmod-int-rel } (a+b) \ c \ (aq + bq + (ar+br) \text{ div } c, (ar+br) \text{ mod } c) \\ & \langle proof \rangle \end{aligned}$$

**lemma** *zdiv-zadd1-eq*:

$(a+b) \text{ div } (c::int) = a \text{ div } c + b \text{ div } c + ((a \text{ mod } c + b \text{ mod } c) \text{ div } c)$   
 $\langle proof \rangle$

**instance** *int* :: *ring-div*  
 $\langle proof \rangle$

**lemma** *posDivAlg-div-mod*:  
 assumes  $k \geq 0$   
 and  $l \geq 0$   
 shows  $\text{posDivAlg } k \ l = (k \text{ div } l, k \text{ mod } l)$   
 $\langle proof \rangle$

**lemma** *negDivAlg-div-mod*:  
 assumes  $k < 0$   
 and  $l > 0$   
 shows  $\text{negDivAlg } k \ l = (k \text{ div } l, k \text{ mod } l)$   
 $\langle proof \rangle$

**lemma** *zmod-eq-0-iff*:  $(m \text{ mod } d = 0) = (EX \ q::int. m = d*q)$   
 $\langle proof \rangle$

**lemmas** *zmod-eq-0D* [*dest!*] = *zmod-eq-0-iff* [*THEN iffD1*]

### 33.4.12 Proving $a \text{ div } (b * c) = a \text{ div } b \text{ div } c$

first, four lemmas to bound the remainder for the cases  $b \nmid 0$  and  $b \mid 0$

**lemma** *zmult2-lemma-aux1*:  $[| (0::int) < c; \ b < r; \ r \leq 0 |] ==> b*c < b*(q \text{ mod } c) + r$   
 $\langle proof \rangle$

**lemma** *zmult2-lemma-aux2*:  
 $[| (0::int) < c; \ b < r; \ r \leq 0 |] ==> b * (q \text{ mod } c) + r \leq 0$   
 $\langle proof \rangle$

**lemma** *zmult2-lemma-aux3*:  $[| (0::int) < c; \ 0 \leq r; \ r < b |] ==> 0 \leq b * (q \text{ mod } c) + r$   
 $\langle proof \rangle$

**lemma** *zmult2-lemma-aux4*:  $[| (0::int) < c; \ 0 \leq r; \ r < b |] ==> b * (q \text{ mod } c) + r < b * c$   
 $\langle proof \rangle$

**lemma** *zmult2-lemma*:  $[| \text{divmod-int-rel } a \ b \ (q, r); \ b \neq 0; \ 0 < c |]$   
 $==> \text{divmod-int-rel } a \ (b * c) \ (q \text{ div } c, b*(q \text{ mod } c) + r)$   
 $\langle proof \rangle$

**lemma** *zdiv-zmult2-eq*:  $(0::int) < c ==> a \text{ div } (b*c) = (a \text{ div } b) \text{ div } c$   
 $\langle proof \rangle$

**lemma** *zmod-zmult2-eq*:

$(0::int) < c ==> a \text{ mod } (b*c) = b*(a \text{ div } b \text{ mod } c) + a \text{ mod } b$   
 $\langle \text{proof} \rangle$

### 33.4.13 Splitting Rules for div and mod

The proofs of the two lemmas below are essentially identical

**lemma** *split-pos-lemma*:

$0 < k ==>$   
 $P(n \text{ div } k :: int)(n \text{ mod } k) = (\forall i j. 0 \leq j \ \& \ j < k \ \& \ n = k*i + j \longrightarrow P \ i \ j)$   
 $\langle \text{proof} \rangle$

**lemma** *split-neg-lemma*:

$k < 0 ==>$   
 $P(n \text{ div } k :: int)(n \text{ mod } k) = (\forall i j. k < j \ \& \ j \leq 0 \ \& \ n = k*i + j \longrightarrow P \ i \ j)$   
 $\langle \text{proof} \rangle$

**lemma** *split-zdiv*:

$P(n \text{ div } k :: int) =$   
 $((k = 0 \longrightarrow P \ 0) \ \&$   
 $(0 < k \longrightarrow (\forall i j. 0 \leq j \ \& \ j < k \ \& \ n = k*i + j \longrightarrow P \ i)) \ \&$   
 $(k < 0 \longrightarrow (\forall i j. k < j \ \& \ j \leq 0 \ \& \ n = k*i + j \longrightarrow P \ i)))$   
 $\langle \text{proof} \rangle$

**lemma** *split-zmod*:

$P(n \text{ mod } k :: int) =$   
 $((k = 0 \longrightarrow P \ n) \ \&$   
 $(0 < k \longrightarrow (\forall i j. 0 \leq j \ \& \ j < k \ \& \ n = k*i + j \longrightarrow P \ j)) \ \&$   
 $(k < 0 \longrightarrow (\forall i j. k < j \ \& \ j \leq 0 \ \& \ n = k*i + j \longrightarrow P \ j)))$   
 $\langle \text{proof} \rangle$

Enable (lin)arith to deal with *op div* and *op mod* when these are applied to some constant that is of the form *number-of k*:

**declare** *split-zdiv* [*of* - - *number-of k*, *standard*, *arith-split*]  
**declare** *split-zmod* [*of* - - *number-of k*, *standard*, *arith-split*]

### 33.4.14 Speeding up the Division Algorithm with Shifting

computing div by shifting

**lemma** *pos-zdiv-mult-2*:  $(0::int) \leq a ==> (1 + 2*b) \text{ div } (2*a) = b \text{ div } a$   
 $\langle \text{proof} \rangle$

**lemma** *neg-zdiv-mult-2*:

**assumes** *A*:  $a \leq (0::int)$  **shows**  $(1 + 2*b) \text{ div } (2*a) = (b+1) \text{ div } a$   
 $\langle \text{proof} \rangle$

**lemma** *zdiv-number-of-Bit0* [*simp*]:

$$\text{number-of } (\text{Int.Bit0 } v) \text{ div number-of } (\text{Int.Bit0 } w) =$$

$$\text{number-of } v \text{ div } (\text{number-of } w :: \text{int})$$
 <proof>

**lemma** *zdiv-number-of-Bit1* [simp]:  

$$\text{number-of } (\text{Int.Bit1 } v) \text{ div number-of } (\text{Int.Bit0 } w) =$$

$$(\text{if } (0 :: \text{int}) \leq \text{number-of } w$$

$$\text{then number-of } v \text{ div } (\text{number-of } w)$$

$$\text{else } (\text{number-of } v + (1 :: \text{int})) \text{ div } (\text{number-of } w))$$
 <proof>

### 33.4.15 Computing mod by Shifting (proofs resemble those for div)

**lemma** *pos-zmod-mult-2*:  
 fixes  $a \ b :: \text{int}$   
 assumes  $0 \leq a$   
 shows  $(1 + 2 * b) \bmod (2 * a) = 1 + 2 * (b \bmod a)$   
 <proof>

**lemma** *neg-zmod-mult-2*:  
 fixes  $a \ b :: \text{int}$   
 assumes  $a \leq 0$   
 shows  $(1 + 2 * b) \bmod (2 * a) = 2 * ((b + 1) \bmod a) - 1$   
 <proof>

**lemma** *zmod-number-of-Bit0* [simp]:  

$$\text{number-of } (\text{Int.Bit0 } v) \bmod \text{number-of } (\text{Int.Bit0 } w) =$$

$$(2 :: \text{int}) * (\text{number-of } v \bmod \text{number-of } w)$$
 <proof>

**lemma** *zmod-number-of-Bit1* [simp]:  

$$\text{number-of } (\text{Int.Bit1 } v) \bmod \text{number-of } (\text{Int.Bit0 } w) =$$

$$(\text{if } (0 :: \text{int}) \leq \text{number-of } w$$

$$\text{then } 2 * (\text{number-of } v \bmod \text{number-of } w) + 1$$

$$\text{else } 2 * ((\text{number-of } v + (1 :: \text{int})) \bmod \text{number-of } w) - 1)$$
 <proof>

### 33.4.16 Quotients of Signs

**lemma** *div-neg-pos-less0*:  $[[ a < (0 :: \text{int}); \ 0 < b ]] ==> a \text{ div } b < 0$   
 <proof>

**lemma** *div-nonneg-neg-le0*:  $[[ (0 :: \text{int}) \leq a; \ b < 0 ]] ==> a \text{ div } b \leq 0$   
 <proof>

**lemma** *div-nonpos-pos-le0*:  $[[ (a :: \text{int}) \leq 0; \ b > 0 ]] ==> a \text{ div } b \leq 0$   
 <proof>

Now for some equivalences of the form  $a \text{ div } b \geq 0 \iff \dots$  conditional

upon the sign of  $a$  or  $b$ . There are many more. They should all be simp rules unless that causes too much search.

**lemma** *pos-imp-zdiv-nonneg-iff*:  $(0::int) < b \implies (0 \leq a \text{ div } b) = (0 \leq a)$   
 $\langle proof \rangle$

**lemma** *neg-imp-zdiv-nonneg-iff*:  
 $b < (0::int) \implies (0 \leq a \text{ div } b) = (a \leq (0::int))$   
 $\langle proof \rangle$

**lemma** *pos-imp-zdiv-neg-iff*:  $(0::int) < b \implies (a \text{ div } b < 0) = (a < 0)$   
 $\langle proof \rangle$

**lemma** *neg-imp-zdiv-neg-iff*:  $b < (0::int) \implies (a \text{ div } b < 0) = (0 < a)$   
 $\langle proof \rangle$

**lemma** *nonneg1-imp-zdiv-pos-iff*:  
 $(0::int) <= a \implies (a \text{ div } b > 0) = (a >= b \ \& \ b > 0)$   
 $\langle proof \rangle$

### 33.4.17 The Divides Relation

**lemmas** *zdvd-iff-zmod-eq-0-number-of* [simp] =  
 $dvd\text{-}eq\text{-}mod\text{-}eq\text{-}0$  [of number-of  $x::int$  number-of  $y::int$ , standard]

**lemma** *zdvd-zmod*:  $f \text{ dvd } m \implies f \text{ dvd } (n::int) \implies f \text{ dvd } m \text{ mod } n$   
 $\langle proof \rangle$

**lemma** *zdvd-zmod-imp-zdvd*:  $k \text{ dvd } m \text{ mod } n \implies k \text{ dvd } n \implies k \text{ dvd } (m::int)$   
 $\langle proof \rangle$

**lemma** *zmult-div-cancel*:  $(n::int) * (m \text{ div } n) = m - (m \text{ mod } n)$   
 $\langle proof \rangle$

**lemma** *zpower-zmod*:  $((x::int) \text{ mod } m) ^ y \text{ mod } m = x ^ y \text{ mod } m$   
 $\langle proof \rangle$

**lemma** *zdiv-int*:  $int \ (a \text{ div } b) = (int \ a) \text{ div } (int \ b)$   
 $\langle proof \rangle$

**lemma** *zmod-int*:  $int \ (a \text{ mod } b) = (int \ a) \text{ mod } (int \ b)$   
 $\langle proof \rangle$

**lemma** *abs-div*:  $(y::int) \text{ dvd } x \implies abs \ (x \text{ div } y) = abs \ x \text{ div } abs \ y$   
 $\langle proof \rangle$

**lemma** *zdvd-mult-div-cancel*:  $(n::int) \text{ dvd } m \implies n * (m \text{ div } n) = m$   
 $\langle proof \rangle$

Suggested by Matthias Daum

**lemma** *int-power-div-base*:

$\llbracket 0 < m; 0 < k \rrbracket \implies k \wedge m \text{ div } k = (k::\text{int}) \wedge (m - \text{Suc } 0)$   
 $\langle \text{proof} \rangle$

by Brian Huffman

**lemma** *zminus-zmod*:  $-(x::\text{int}) \bmod m \bmod m = -x \bmod m$

$\langle \text{proof} \rangle$

**lemma** *zdiff-zmod-left*:  $(x \bmod m - y) \bmod m = (x - y) \bmod (m::\text{int})$

$\langle \text{proof} \rangle$

**lemma** *zdiff-zmod-right*:  $(x - y \bmod m) \bmod m = (x - y) \bmod (m::\text{int})$

$\langle \text{proof} \rangle$

**lemmas** *zmod-simps* =

*mod-add-left-eq* [symmetric]  
*mod-add-right-eq* [symmetric]  
*zmod-zmult1-eq* [symmetric]  
*mod-mult-left-eq* [symmetric]  
*zpower-zmod*  
*zminus-zmod* *zdiff-zmod-left* *zdiff-zmod-right*

Distributive laws for function *nat*.

**lemma** *nat-div-distrib*:  $0 \leq x \implies \text{nat } (x \text{ div } y) = \text{nat } x \text{ div nat } y$

$\langle \text{proof} \rangle$

**lemma** *nat-mod-distrib*:

$\llbracket 0 \leq x; 0 \leq y \rrbracket \implies \text{nat } (x \bmod y) = \text{nat } x \bmod \text{nat } y$   
 $\langle \text{proof} \rangle$

transfer setup

**lemma** *transfer-nat-int-functions*:

$(x::\text{int}) \geq 0 \implies y \geq 0 \implies (\text{nat } x) \text{ div } (\text{nat } y) = \text{nat } (x \text{ div } y)$   
 $(x::\text{int}) \geq 0 \implies y \geq 0 \implies (\text{nat } x) \bmod (\text{nat } y) = \text{nat } (x \bmod y)$   
 $\langle \text{proof} \rangle$

**lemma** *transfer-nat-int-function-closures*:

$(x::\text{int}) \geq 0 \implies y \geq 0 \implies x \text{ div } y \geq 0$   
 $(x::\text{int}) \geq 0 \implies y \geq 0 \implies x \bmod y \geq 0$   
 $\langle \text{proof} \rangle$

**declare** *transfer-morphism-nat-int* [transfer add return:

*transfer-nat-int-functions*  
*transfer-nat-int-function-closures*

]

**lemma** *transfer-int-nat-functions*:

$(\text{int } x) \text{ div } (\text{int } y) = \text{int } (x \text{ div } y)$

$(\text{int } x) \text{ mod } (\text{int } y) = \text{int } (x \text{ mod } y)$

$\langle \text{proof} \rangle$

**lemma** *transfer-int-nat-function-closures*:

$\text{is-nat } x \implies \text{is-nat } y \implies \text{is-nat } (x \text{ div } y)$

$\text{is-nat } x \implies \text{is-nat } y \implies \text{is-nat } (x \text{ mod } y)$

$\langle \text{proof} \rangle$

**declare** *transfer-morphism-int-nat* [transfer add return:

*transfer-int-nat-functions*

*transfer-int-nat-function-closures*

]

Suggested by Matthias Daum

**lemma** *int-div-less-self*:  $\llbracket 0 < x; 1 < k \rrbracket \implies x \text{ div } k < (x::\text{int})$

$\langle \text{proof} \rangle$

**lemma** *zmod-eq-dvd-iff*:  $(x::\text{int}) \text{ mod } n = y \text{ mod } n \longleftrightarrow n \text{ dvd } x - y$

$\langle \text{proof} \rangle$

**lemma** *nat-mod-eq-lemma*: **assumes**  $xyn: (x::\text{nat}) \text{ mod } n = y \text{ mod } n$  **and**  $xy:y$

$\leq x$

**shows**  $\exists q. x = y + n * q$

$\langle \text{proof} \rangle$

**lemma** *nat-mod-eq-iff*:  $(x::\text{nat}) \text{ mod } n = y \text{ mod } n \longleftrightarrow (\exists q1 \ q2. x + n * q1 = y$

$+ n * q2)$

(**is** ?lhs = ?rhs)

$\langle \text{proof} \rangle$

**lemma** *div-nat-number-of* [simp]:

$(\text{number-of } v :: \text{nat}) \text{ div } \text{number-of } v' =$

$(\text{if neg } (\text{number-of } v :: \text{int}) \text{ then } 0$

$\text{else nat } (\text{number-of } v \text{ div } \text{number-of } v'))$

$\langle \text{proof} \rangle$

**lemma** *one-div-nat-number-of* [simp]:

$\text{Suc } 0 \text{ div } \text{number-of } v' = \text{nat } (1 \text{ div } \text{number-of } v')$

$\langle \text{proof} \rangle$

**lemma** *mod-nat-number-of* [simp]:

$(\text{number-of } v :: \text{nat}) \text{ mod } \text{number-of } v' =$

$(\text{if neg } (\text{number-of } v :: \text{int}) \text{ then } 0$

$\text{else if neg } (\text{number-of } v' :: \text{int}) \text{ then } \text{number-of } v$

$\text{else nat } (\text{number-of } v \text{ mod } \text{number-of } v'))$

$\langle \text{proof} \rangle$

**lemma** *one-mod-nat-number-of* [simp]:  

$$\text{Suc } 0 \bmod \text{number-of } v' =$$

$$(\text{if } \text{neg } (\text{number-of } v' :: \text{int}) \text{ then } \text{Suc } 0$$

$$\text{else } \text{nat } (1 \bmod \text{number-of } v'))$$

$$\langle \text{proof} \rangle$$

**lemmas** *dvd-eq-mod-eq-0-number-of* =  
*dvd-eq-mod-eq-0* [of number-of  $x$  number-of  $y$ , standard]

**declare** *dvd-eq-mod-eq-0-number-of* [simp]

### 33.4.18 Nitpick

**lemma** *zmod-zdiv-equality'*:  

$$(m :: \text{int}) \bmod n = m - (m \text{ div } n) * n$$

$$\langle \text{proof} \rangle$$

**lemmas** [nitpick-def] = *dvd-eq-mod-eq-0* [THEN *eq-reflection*]  
*mod-div-equality'* [THEN *eq-reflection*]  
*zmod-zdiv-equality'* [THEN *eq-reflection*]

### 33.4.19 Code generation

**definition** *pdivmod* ::  $\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \times \text{int}$  **where**  

$$\text{pdivmod } k \ l = (|k| \text{ div } |l|, |k| \bmod |l|)$$

**lemma** *pdivmod-posDivAlg* [code]:  

$$\text{pdivmod } k \ l = (\text{if } l = 0 \text{ then } (0, |k|) \text{ else } \text{posDivAlg } |k| \ |l|)$$

$$\langle \text{proof} \rangle$$

**lemma** *divmod-int-pdivmod*:  $\text{divmod-int } k \ l = (\text{if } k = 0 \text{ then } (0, 0) \text{ else if } l = 0$   

$$\text{then } (0, k) \text{ else}$$

$$\text{apsnd } ((\text{op } *) (\text{sgn } l)) (\text{if } 0 < l \wedge 0 \leq k \vee l < 0 \wedge k < 0$$

$$\text{then } \text{pdivmod } k \ l$$

$$\text{else } (\text{let } (r, s) = \text{pdivmod } k \ l \text{ in}$$

$$\text{if } s = 0 \text{ then } (-r, 0) \text{ else } (-r - 1, |l| - s)))$$

$$\langle \text{proof} \rangle$$

**lemma** *divmod-int-code* [code]:  $\text{divmod-int } k \ l = (\text{if } k = 0 \text{ then } (0, 0) \text{ else if } l =$   

$$0 \text{ then } (0, k) \text{ else}$$

$$\text{apsnd } ((\text{op } *) (\text{sgn } l)) (\text{if } \text{sgn } k = \text{sgn } l$$

$$\text{then } \text{pdivmod } k \ l$$

$$\text{else } (\text{let } (r, s) = \text{pdivmod } k \ l \text{ in}$$

$$\text{if } s = 0 \text{ then } (-r, 0) \text{ else } (-r - 1, |l| - s)))$$

$$\langle \text{proof} \rangle$$

**context** *ring-1*  
**begin**

**lemma** *of-int-num* [code]:



```

of-int k = (if k = 0 then 0 else if k < 0 then
  - of-int (- k) else let
    (l, m) = divmod-int k 2;
    l' = of-int l
    in if m = 0 then l' + l' else l' + l' + 1)
⟨proof⟩

end

```

```

code-modulename SML
  Divides Arith

```

```

code-modulename OCaml
  Divides Arith

```

```

code-modulename Haskell
  Divides Arith

```

```

end

```

## 34 Code-Numeral: Type of target language numerals

```

theory Code-Numeral
imports Nat-Numeral Nat-Transfer Divides
begin

```

Code numerals are isomorphic to HOL *nat* but mapped to target-language builtin numerals.

### 34.1 Datatype of target language numerals

```

typedef (open) code-numeral = UNIV :: nat set
morphisms nat-of of-nat ⟨proof⟩

```

```

lemma of-nat-nat-of [simp]:
  of-nat (nat-of k) = k
  ⟨proof⟩

```

```

lemma nat-of-of-nat [simp]:
  nat-of (of-nat n) = n
  ⟨proof⟩

```

```

lemma [measure-function]:
  is-measure nat-of ⟨proof⟩

```

```

lemma code-numeral:

```

$(\bigwedge n::\text{code-numeral}. \text{PROP } P \ n) \equiv (\bigwedge n::\text{nat}. \text{PROP } P \ (\text{of-nat } n))$   
 $\langle \text{proof} \rangle$

**lemma** *code-numeral-case*:  
 assumes  $\bigwedge n. k = \text{of-nat } n \implies P$   
 shows  $P$   
 $\langle \text{proof} \rangle$

**lemma** *code-numeral-induct-raw*:  
 assumes  $\bigwedge n. P \ (\text{of-nat } n)$   
 shows  $P \ k$   
 $\langle \text{proof} \rangle$

**lemma** *nat-of-inject* [simp]:  
 $\text{nat-of } k = \text{nat-of } l \iff k = l$   
 $\langle \text{proof} \rangle$

**lemma** *of-nat-inject* [simp]:  
 $\text{of-nat } n = \text{of-nat } m \iff n = m$   
 $\langle \text{proof} \rangle$

**instantiation** *code-numeral* :: *zero*  
**begin**

**definition** [simp, code del]:  
 $0 = \text{of-nat } 0$

**instance**  $\langle \text{proof} \rangle$

**end**

**definition** [simp]:  
 $\text{Suc-code-numeral } k = \text{of-nat } (\text{Suc } (\text{nat-of } k))$

**rep-datatype**  $0 :: \text{code-numeral } \text{Suc-code-numeral}$   
 $\langle \text{proof} \rangle$

**declare** *code-numeral-case* [case-names nat, cases type: code-numeral]  
**declare** *code-numeral.induct* [case-names nat, induct type: code-numeral]

**lemma** *code-numeral-decr* [termination-simp]:  
 $k \neq \text{of-nat } 0 \implies \text{nat-of } k - \text{Suc } 0 < \text{nat-of } k$   
 $\langle \text{proof} \rangle$

**lemma** [simp, code]:  
 $\text{code-numeral-size} = \text{nat-of}$   
 $\langle \text{proof} \rangle$

**lemma** [simp, code]:

*size* = *nat-of*  
 $\langle \text{proof} \rangle$

**lemmas** [*code del*] = *code-numeral.recs code-numeral.cases*

**lemma** [*code*]:  
 $\text{eq-class.eq } k \ l \longleftrightarrow \text{eq-class.eq } (\text{nat-of } k) \ (\text{nat-of } l)$   
 $\langle \text{proof} \rangle$

**lemma** [*code nbe*]:  
 $\text{eq-class.eq } (k::\text{code-numeral}) \ k \longleftrightarrow \text{True}$   
 $\langle \text{proof} \rangle$

### 34.2 Indices as datatype of ints

**instantiation** *code-numeral* :: *number*  
**begin**

**definition**  
 $\text{number-of} = \text{of-nat} \circ \text{nat}$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *nat-of-number* [*simp*]:  
 $\text{nat-of } (\text{number-of } k) = \text{number-of } k$   
 $\langle \text{proof} \rangle$

**code-datatype** *number-of* :: *int*  $\Rightarrow$  *code-numeral*

### 34.3 Basic arithmetic

**instantiation** *code-numeral* :: {*minus*, *linordered-semidom*, *semiring-div*, *linorder*}  
**begin**

**definition** [*simp*, *code del*]:  
 $(1::\text{code-numeral}) = \text{of-nat } 1$

**definition** [*simp*, *code del*]:  
 $n + m = \text{of-nat } (\text{nat-of } n + \text{nat-of } m)$

**definition** [*simp*, *code del*]:  
 $n - m = \text{of-nat } (\text{nat-of } n - \text{nat-of } m)$

**definition** [*simp*, *code del*]:  
 $n * m = \text{of-nat } (\text{nat-of } n * \text{nat-of } m)$

**definition** [*simp*, *code del*]:  
 $n \text{ div } m = \text{of-nat } (\text{nat-of } n \text{ div } \text{nat-of } m)$

**definition** [*simp*, *code del*]:  
 $n \bmod m = \text{of-nat } (\text{nat-of } n \bmod \text{nat-of } m)$

**definition** [*simp*, *code del*]:  
 $n \leq m \iff \text{nat-of } n \leq \text{nat-of } m$

**definition** [*simp*, *code del*]:  
 $n < m \iff \text{nat-of } n < \text{nat-of } m$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *zero-code-numeral-code* [*code*, *code-unfold*]:  
 $(0::\text{code-numeral}) = \text{Numeral0}$   
 $\langle \text{proof} \rangle$

**lemma** [*code-post*]:  $\text{Numeral0} = (0::\text{code-numeral})$   
 $\langle \text{proof} \rangle$

**lemma** *one-code-numeral-code* [*code*, *code-unfold*]:  
 $(1::\text{code-numeral}) = \text{Numeral1}$   
 $\langle \text{proof} \rangle$

**lemma** [*code-post*]:  $\text{Numeral1} = (1::\text{code-numeral})$   
 $\langle \text{proof} \rangle$

**lemma** *plus-code-numeral-code* [*code nbe*]:  
 $\text{of-nat } n + \text{of-nat } m = \text{of-nat } (n + m)$   
 $\langle \text{proof} \rangle$

**definition** *subtract-code-numeral* ::  $\text{code-numeral} \Rightarrow \text{code-numeral} \Rightarrow \text{code-numeral}$   
**where**  
 [*simp*, *code del*]:  $\text{subtract-code-numeral} = \text{op } -$

**lemma** *subtract-code-numeral-code* [*code nbe*]:  
 $\text{subtract-code-numeral } (\text{of-nat } n) (\text{of-nat } m) = \text{of-nat } (n - m)$   
 $\langle \text{proof} \rangle$

**lemma** *minus-code-numeral-code* [*code*]:  
 $n - m = \text{subtract-code-numeral } n m$   
 $\langle \text{proof} \rangle$

**lemma** *times-code-numeral-code* [*code nbe*]:  
 $\text{of-nat } n * \text{of-nat } m = \text{of-nat } (n * m)$   
 $\langle \text{proof} \rangle$

**lemma** *less-eq-code-numeral-code* [*code nbe*]:  
 $\text{of-nat } n \leq \text{of-nat } m \iff n \leq m$   
 $\langle \text{proof} \rangle$

**lemma** *less-code-numeral-code* [code nbe]:  
 $of\text{-}nat\ n < of\text{-}nat\ m \longleftrightarrow n < m$   
 ⟨proof⟩

**lemma** *code-numeral-zero-minus-one*:  
 $(0::code\text{-}numeral) - 1 = 0$   
 ⟨proof⟩

**lemma** *Suc-code-numeral-minus-one*:  
 $Suc\text{-}code\text{-}numeral\ n - 1 = n$   
 ⟨proof⟩

**lemma** *of-nat-code* [code]:  
 $of\text{-}nat = Nat.of\text{-}nat$   
 ⟨proof⟩

**lemma** *code-numeral-not-eq-zero*:  $i \neq of\text{-}nat\ 0 \longleftrightarrow i \geq 1$   
 ⟨proof⟩

**definition** *nat-of-aux* ::  $code\text{-}numeral \Rightarrow nat \Rightarrow nat$  **where**  
 $nat\text{-}of\text{-}aux\ i\ n = nat\text{-}of\ i + n$

**lemma** *nat-of-aux-code* [code]:  
 $nat\text{-}of\text{-}aux\ i\ n = (if\ i = 0\ then\ n\ else\ nat\text{-}of\text{-}aux\ (i - 1)\ (Suc\ n))$   
 ⟨proof⟩

**lemma** *nat-of-code* [code]:  
 $nat\text{-}of\ i = nat\text{-}of\text{-}aux\ i\ 0$   
 ⟨proof⟩

**definition** *div-mod-code-numeral* ::  $code\text{-}numeral \Rightarrow code\text{-}numeral \Rightarrow code\text{-}numeral$   
 $\times code\text{-}numeral$  **where**  
 [code del]:  $div\text{-}mod\text{-}code\text{-}numeral\ n\ m = (n\ div\ m, n\ mod\ m)$

**lemma** [code]:  
 $div\text{-}mod\text{-}code\text{-}numeral\ n\ m = (if\ m = 0\ then\ (0, n)\ else\ (n\ div\ m, n\ mod\ m))$   
 ⟨proof⟩

**lemma** [code]:  
 $n\ div\ m = fst\ (div\text{-}mod\text{-}code\text{-}numeral\ n\ m)$   
 ⟨proof⟩

**lemma** [code]:  
 $n\ mod\ m = snd\ (div\text{-}mod\text{-}code\text{-}numeral\ n\ m)$   
 ⟨proof⟩

**definition** *int-of* ::  $code\text{-}numeral \Rightarrow int$  **where**  
 $int\text{-}of = Nat.of\text{-}nat\ o\ nat\text{-}of$

**lemma** *int-of-code* [code]:  
*int-of*  $k = (\text{if } k = 0 \text{ then } 0$   
      $\text{else } (\text{if } k \bmod 2 = 0 \text{ then } 2 * \text{int-of } (k \text{ div } 2) \text{ else } 2 * \text{int-of } (k \text{ div } 2) + 1))$   
 ⟨proof⟩

**hide-const** (open) *of-nat nat-of int-of*

### 34.3.1 Lazy Evaluation of an indexed function

**function** *iterate-upto* :: (code-numeral => 'a) => code-numeral => code-numeral  
 => 'a Predicate.pred

**where**

*iterate-upto*  $f\ n\ m = \text{Predicate.Seq } (\%u. \text{if } n > m \text{ then Predicate.Empty else}$   
*Predicate.Insert*  $(f\ n)\ (\text{iterate-upto } f\ (n + 1)\ m))$   
 ⟨proof⟩

**termination** ⟨proof⟩

**hide-const** (open) *iterate-upto*

## 34.4 Code generator setup

Implementation of indices by bounded integers

**code-type** *code-numeral*  
 (*SML* *int*)  
 (*OCaml* *Big'-int.big'-int*)  
 (*Haskell* *Int*)  
 (*Scala* *Int*)

**code-instance** *code-numeral* :: eq  
 (*Haskell*  $-$ )

⟨ML⟩

**code-reserved** *SML* *Int* *int*

**code-reserved** *Scala* *Int*

**code-const** *op* + :: code-numeral ⇒ code-numeral ⇒ code-numeral  
 (*SML* *Int*.+/ ((-),/ (-)))  
 (*OCaml* *Big'-int.add'-big'-int*)  
 (*Haskell* **infixl** 6 +)  
 (*Scala* **infixl** 7 +)

**code-const** *subtract-code-numeral* :: code-numeral ⇒ code-numeral ⇒ code-numeral  
 (*SML* *Int*.max/ (-/ -/ -,/ 0 : int))  
 (*OCaml* *Big'-int.max'-big'-int/ (Big'-int.sub'-big'-int/ -/ -)/ Big'-int.zero'-big'-int*)  
 (*Haskell* *max/ (-/ -/ -)/ (0 :: Int)*)  
 (*Scala* *!(-/ -/ -).max(0)*)

```

code-const op * :: code-numeral ⇒ code-numeral ⇒ code-numeral
  (SML Int.* / ((-), / (-)))
  (OCaml Big'-int.mult'-big'-int)
  (Haskell infixl 7 *)
  (Scala infixl 8 *))

code-const div-mod-code-numeral
  (SML !(fn n => fn m => / if m = 0 / then (0, n) else / (n div m, n mod m)))
  (OCaml Big'-int.quomod'-big'-int / (Big'-int.abs'-big'-int -) / (Big'-int.abs'-big'-int
-))
  (Haskell divMod)
  (Scala !((n: Int) => (m: Int) => / if (m == 0) / (0, n) else / (n ' / m, n %
m))))

code-const eq-class.eq :: code-numeral ⇒ code-numeral ⇒ bool
  (SML !((- : Int.int) = -))
  (OCaml Big'-int.eq'-big'-int)
  (Haskell infixl 4 ==)
  (Scala infixl 5 ==))

code-const op ≤ :: code-numeral ⇒ code-numeral ⇒ bool
  (SML Int.<= / ((-), / (-)))
  (OCaml Big'-int.le'-big'-int)
  (Haskell infix 4 <=)
  (Scala infixl 4 <=))

code-const op < :: code-numeral ⇒ code-numeral ⇒ bool
  (SML Int.< / ((-), / (-)))
  (OCaml Big'-int.lt'-big'-int)
  (Haskell infix 4 <)
  (Scala infixl 4 <))

end

```

## 35 Numeral-Simprocs: Combination and Cancellation Simprocs for Numeral Expressions

```

theory Numeral-Simprocs
imports Divides
uses
  ~~/src/Provers/Arith/assoc-fold.ML
  ~~/src/Provers/Arith/cancel-numerals.ML
  ~~/src/Provers/Arith/combine-numerals.ML
  ~~/src/Provers/Arith/cancel-numeral-factor.ML
  ~~/src/Provers/Arith/extract-common-term.ML
  (Tools/numeral-simprocs.ML)

```

(Tools/nat-numeral-simprocs.ML)  
**begin**

**declare** *split-div* [of - - number-of *k*, standard, arith-split]  
**declare** *split-mod* [of - - number-of *k*, standard, arith-split]

For *combine-numerals*

**lemma** *left-add-mult-distrib*:  $i * u + (j * u + k) = (i + j) * u + (k :: nat)$   
 <proof>

For *cancel-numerals*

**lemma** *nat-diff-add-eq1*:  
 $j <= (i :: nat) ==> ((i * u + m) - (j * u + n)) = (((i - j) * u + m) - n)$   
 <proof>

**lemma** *nat-diff-add-eq2*:  
 $i <= (j :: nat) ==> ((i * u + m) - (j * u + n)) = (m - ((j - i) * u + n))$   
 <proof>

**lemma** *nat-eq-add-iff1*:  
 $j <= (i :: nat) ==> (i * u + m = j * u + n) = ((i - j) * u + m = n)$   
 <proof>

**lemma** *nat-eq-add-iff2*:  
 $i <= (j :: nat) ==> (i * u + m = j * u + n) = (m = (j - i) * u + n)$   
 <proof>

**lemma** *nat-less-add-iff1*:  
 $j <= (i :: nat) ==> (i * u + m < j * u + n) = ((i - j) * u + m < n)$   
 <proof>

**lemma** *nat-less-add-iff2*:  
 $i <= (j :: nat) ==> (i * u + m < j * u + n) = (m < (j - i) * u + n)$   
 <proof>

**lemma** *nat-le-add-iff1*:  
 $j <= (i :: nat) ==> (i * u + m <= j * u + n) = ((i - j) * u + m <= n)$   
 <proof>

**lemma** *nat-le-add-iff2*:  
 $i <= (j :: nat) ==> (i * u + m <= j * u + n) = (m <= (j - i) * u + n)$   
 <proof>

For *cancel-numeral-factors*

**lemma** *nat-mult-le-cancel1*:  $(0 :: nat) < k ==> (k * m <= k * n) = (m <= n)$   
 <proof>

**lemma** *nat-mult-less-cancel1*:  $(0 :: nat) < k ==> (k * m < k * n) = (m < n)$   
 <proof>



**lemma** *nat-mult-eq-cancel1*:  $(0::nat) < k \implies (k*m = k*n) = (m=n)$   
 $\langle proof \rangle$

**lemma** *nat-mult-div-cancel1*:  $(0::nat) < k \implies (k*m) \text{ div } (k*n) = (m \text{ div } n)$   
 $\langle proof \rangle$

**lemma** *nat-mult-dvd-cancel-disj*[simp]:  
 $(k*m) \text{ dvd } (k*n) = (k=0 \mid m \text{ dvd } (n::nat))$   
 $\langle proof \rangle$

**lemma** *nat-mult-dvd-cancel1*:  $0 < k \implies (k*m) \text{ dvd } (k*n::nat) = (m \text{ dvd } n)$   
 $\langle proof \rangle$

For *cancel-factor*

**lemma** *nat-mult-le-cancel-disj*:  $(k*m \leq k*n) = ((0::nat) < k \longrightarrow m \leq n)$   
 $\langle proof \rangle$

**lemma** *nat-mult-less-cancel-disj*:  $(k*m < k*n) = ((0::nat) < k \ \& \ m < n)$   
 $\langle proof \rangle$

**lemma** *nat-mult-eq-cancel-disj*:  $(k*m = k*n) = (k = (0::nat) \mid m=n)$   
 $\langle proof \rangle$

**lemma** *nat-mult-div-cancel-disj*[simp]:  
 $(k*m) \text{ div } (k*n) = (\text{if } k = (0::nat) \text{ then } 0 \text{ else } m \text{ div } n)$   
 $\langle proof \rangle$

$\langle ML \rangle$

**end**

## 36 Semiring-Normalization: Semiring normalization

**theory** *Semiring-Normalization*  
**imports** *N numeral-Simprocs Nat-Transfer*  
**uses**  
*Tools/semiring-normalizer.ML*  
**begin**

Prelude

**class** *comm-semiring-1-cancel-crossproduct* = *comm-semiring-1-cancel* +  
**assumes** *crossproduct-eq*:  $w * y + x * z = w * z + x * y \longleftrightarrow w = x \vee y = z$   
**begin**

**lemma** *crossproduct-noteq*:

$$a \neq b \wedge c \neq d \iff a * c + b * d \neq a * d + b * c$$

*<proof>*

**lemma** *add-scale-eq-noteq*:

$$r \neq 0 \implies a = b \wedge c \neq d \implies a + r * c \neq b + r * d$$

*<proof>*

**lemma** *add-0-iff*:

$$b = b + a \iff a = 0$$

*<proof>*

**end**

**sublocale** *idom* < *comm-semiring-1-cancel-crossproduct*  
*<proof>*

**instance** *nat* :: *comm-semiring-1-cancel-crossproduct*  
*<proof>*

Semiring normalization proper

*<ML>*

**context** *comm-semiring-1*  
**begin**

**lemma** *normalizing-semiring-ops*:

**shows** *TERM* ( $x + y$ ) **and** *TERM* ( $x * y$ ) **and** *TERM* ( $x \wedge n$ )  
**and** *TERM* 0 **and** *TERM* 1 *<proof>*

**lemma** *normalizing-semiring-rules*:

$$\begin{aligned} (a * m) + (b * m) &= (a + b) * m \\ (a * m) + m &= (a + 1) * m \\ m + (a * m) &= (a + 1) * m \\ m + m &= (1 + 1) * m \\ 0 + a &= a \\ a + 0 &= a \\ a * b &= b * a \\ (a + b) * c &= (a * c) + (b * c) \\ 0 * a &= 0 \\ a * 0 &= 0 \\ 1 * a &= a \\ a * 1 &= a \\ (lx * ly) * (rx * ry) &= (lx * rx) * (ly * ry) \\ (lx * ly) * (rx * ry) &= lx * (ly * (rx * ry)) \\ (lx * ly) * (rx * ry) &= rx * ((lx * ly) * ry) \\ (lx * ly) * rx &= (lx * rx) * ly \\ (lx * ly) * rx &= lx * (ly * rx) \\ lx * (rx * ry) &= (lx * rx) * ry \\ lx * (rx * ry) &= rx * (lx * ry) \end{aligned}$$

$$\begin{aligned}
(a + b) + (c + d) &= (a + c) + (b + d) \\
(a + b) + c &= a + (b + c) \\
a + (c + d) &= c + (a + d) \\
(a + b) + c &= (a + c) + b \\
a + c &= c + a \\
a + (c + d) &= (a + c) + d \\
(x \wedge p) * (x \wedge q) &= x \wedge (p + q) \\
x * (x \wedge q) &= x \wedge (Suc\ q) \\
(x \wedge q) * x &= x \wedge (Suc\ q) \\
x * x &= x \wedge 2 \\
(x * y) \wedge q &= (x \wedge q) * (y \wedge q) \\
(x \wedge p) \wedge q &= x \wedge (p * q) \\
x \wedge 0 &= 1 \\
x \wedge 1 &= x \\
x * (y + z) &= (x * y) + (x * z) \\
x \wedge (Suc\ q) &= x * (x \wedge q) \\
x \wedge (2*n) &= (x \wedge n) * (x \wedge n) \\
x \wedge (Suc\ (2*n)) &= x * ((x \wedge n) * (x \wedge n)) \\
\langle proof \rangle
\end{aligned}$$

**lemmas** *normalizing-comm-semiring-1-axioms* =  
*comm-semiring-1-axioms* [normalizer  
semiring ops: *normalizing-semiring-ops*  
semiring rules: *normalizing-semiring-rules*]

$\langle ML \rangle$

**end**

**context** *comm-ring-1*  
**begin**

**lemma** *normalizing-ring-ops*: **shows** *TERM*  $(x - y)$  **and** *TERM*  $(- x)$   $\langle proof \rangle$

**lemma** *normalizing-ring-rules*:

$$\begin{aligned}
- x &= (- 1) * x \\
x - y &= x + (- y) \\
\langle proof \rangle
\end{aligned}$$

**lemmas** *normalizing-comm-ring-1-axioms* =  
*comm-ring-1-axioms* [normalizer  
semiring ops: *normalizing-semiring-ops*  
semiring rules: *normalizing-semiring-rules*  
ring ops: *normalizing-ring-ops*  
ring rules: *normalizing-ring-rules*]

$\langle ML \rangle$

**end**

```

context comm-semiring-1-cancel-crossproduct
begin

declare
  normalizing-comm-semiring-1-axioms [normalizer del]

lemmas
  normalizing-comm-semiring-1-cancel-crossproduct-axioms =
  comm-semiring-1-cancel-crossproduct-axioms [normalizer
    semiring ops: normalizing-semiring-ops
    semiring rules: normalizing-semiring-rules
    idom rules: crossproduct-noteq add-scale-eq-noteq]

  ⟨ML⟩

end

context idom
begin

declare normalizing-comm-ring-1-axioms [normalizer del]

lemmas normalizing-idom-axioms = idom-axioms [normalizer
  semiring ops: normalizing-semiring-ops
  semiring rules: normalizing-semiring-rules
  ring ops: normalizing-ring-ops
  ring rules: normalizing-ring-rules
  idom rules: crossproduct-noteq add-scale-eq-noteq
  ideal rules: right-minus-eq add-0-iff]

  ⟨ML⟩

end

context field
begin

lemma normalizing-field-ops:
  shows TERM (x / y) and TERM (inverse x) ⟨proof⟩

lemmas normalizing-field-rules = divide-inverse inverse-eq-divide

lemmas normalizing-field-axioms =
  field-axioms [normalizer
    semiring ops: normalizing-semiring-ops
    semiring rules: normalizing-semiring-rules
    ring ops: normalizing-ring-ops
    ring rules: normalizing-ring-rules

```

```

    field ops: normalizing-field-ops
    field rules: normalizing-field-rules
    idom rules: crossproduct-noteq add-scale-eq-noteq
    ideal rules: right-minus-eq add-0-iff]

⟨ML⟩

end

hide-fact (open) normalizing-comm-semiring-1-axioms
normalizing-comm-semiring-1-cancel-crossproduct-axioms normalizing-semiring-ops
normalizing-semiring-rules

hide-fact (open) normalizing-comm-ring-1-axioms
normalizing-idom-axioms normalizing-ring-ops normalizing-ring-rules

hide-fact (open) normalizing-field-axioms normalizing-field-ops normalizing-field-rules

end

```

## 37 Groebner-Basis: Groebner bases

```

theory Groebner-Basis
imports Semiring-Normalization
uses
  (Tools/groebner.ML)
begin

```

### 37.1 Groebner Bases

```

lemmas bool-simps = simp-thms(1-34)

```

```

lemma dnf:
   $(P \ \& \ (Q \mid R)) = ((P \ \& \ Q) \mid (P \ \& \ R)) \ ((Q \mid R) \ \& \ P) = ((Q \ \& \ P) \mid (R \ \& \ P))$ 
   $(P \wedge Q) = (Q \wedge P) \ (P \vee Q) = (Q \vee P)$ 
  ⟨proof⟩

```

```

lemmas weak-dnf-simps = dnf bool-simps

```

```

lemma nnf-simps:
   $(\neg(P \wedge Q)) = (\neg P \vee \neg Q) \ (\neg(P \vee Q)) = (\neg P \wedge \neg Q) \ (P \longrightarrow Q) = (\neg P \vee Q)$ 
   $(P = Q) = ((P \wedge Q) \vee (\neg P \wedge \neg Q)) \ (\neg \neg(P)) = P$ 
  ⟨proof⟩

```

```

lemma PFalse:
   $P \equiv \text{False} \implies \neg P$ 
   $\neg P \implies (P \equiv \text{False})$ 
  ⟨proof⟩

```

$\langle ML \rangle$

```

declare dvd-def[algebra]
declare dvd-eq-mod-eq-0[symmetric, algebra]
declare mod-div-trivial[algebra]
declare mod-mod-trivial[algebra]
declare conjunct1[OF DIVISION-BY-ZERO, algebra]
declare conjunct2[OF DIVISION-BY-ZERO, algebra]
declare zmod-zdiv-equality[symmetric, algebra]
declare zdiv-zmod-equality[symmetric, algebra]
declare zdiv-zminus-zminus[algebra]
declare zmod-zminus-zminus[algebra]
declare zdiv-zminus2[algebra]
declare zmod-zminus2[algebra]
declare zdiv-zero[algebra]
declare zmod-zero[algebra]
declare mod-by-1[algebra]
declare div-by-1[algebra]
declare zmod-minus1-right[algebra]
declare zdiv-minus1-right[algebra]
declare mod-div-trivial[algebra]
declare mod-mod-trivial[algebra]
declare mod-mult-self2-is-0[algebra]
declare mod-mult-self1-is-0[algebra]
declare zmod-eq-0-iff[algebra]
declare dvd-0-left-iff[algebra]
declare zdvd1-eq[algebra]
declare zmod-eq-dvd-iff[algebra]
declare nat-mod-eq-iff[algebra]

end

```

### 38 SetInterval: Set intervals

```

theory SetInterval
imports Int Nat-Transfer
begin

context ord
begin
definition
  lessThan    :: 'a => 'a set ((1{..where
    {..} == {x. x < u}

definition
  atMost     :: 'a => 'a set ((1{..u})) where
    {..u} == {x. x ≤ u}

```

**definition**

*greaterThan* :: 'a => 'a set ((1{-<..})) **where**  
 {l<..} == {x. l<x}

**definition**

*atLeast* :: 'a => 'a set ((1{-..})) **where**  
 {l..} == {x. l≤x}

**definition**

*greaterThanLessThan* :: 'a => 'a => 'a set ((1{-<..<})) **where**  
 {l<..} == {l<..} Int {..}

**definition**

*atLeastLessThan* :: 'a => 'a => 'a set ((1{-..<})) **where**  
 {l..} == {l..} Int {..}

**definition**

*greaterThanAtMost* :: 'a => 'a => 'a set ((1{-<..-})) **where**  
 {l<..} == {l<..} Int {..}

**definition**

*atLeastAtMost* :: 'a => 'a => 'a set ((1{-..-})) **where**  
 {l..} == {l..} Int {..}

**end**

A note of warning when using {..n} on type *nat*: it is equivalent to {0..n} but some lemmas involving {*m*..n} may not exist in {..n}-form as well.

**syntax**

-UNION-le :: 'a => 'a => 'b set => 'b set ((3UN -<=.. / -) [0, 0, 10] 10)  
 -UNION-less :: 'a => 'a => 'b set => 'b set ((3UN -<.. / -) [0, 0, 10] 10)  
 -INTER-le :: 'a => 'a => 'b set => 'b set ((3INT -<=.. / -) [0, 0, 10] 10)  
 -INTER-less :: 'a => 'a => 'b set => 'b set ((3INT -<.. / -) [0, 0, 10] 10)

**syntax** (*xsymbols*)

-UNION-le :: 'a => 'a => 'b set => 'b set ((3∪ -≤.. / -) [0, 0, 10] 10)  
 -UNION-less :: 'a => 'a => 'b set => 'b set ((3∪ -<.. / -) [0, 0, 10] 10)  
 -INTER-le :: 'a => 'a => 'b set => 'b set ((3∩ -≤.. / -) [0, 0, 10] 10)  
 -INTER-less :: 'a => 'a => 'b set => 'b set ((3∩ -<.. / -) [0, 0, 10] 10)

**syntax** (*latex output*)

-UNION-le :: 'a ⇒ 'a => 'b set => 'b set ((3∪ (00- ≤ -) / -) [0, 0, 10] 10)  
 -UNION-less :: 'a ⇒ 'a => 'b set => 'b set ((3∪ (00- < -) / -) [0, 0, 10] 10)  
 -INTER-le :: 'a ⇒ 'a => 'b set => 'b set ((3∩ (00- ≤ -) / -) [0, 0, 10] 10)

10)  
 $-INTER-less :: 'a \Rightarrow 'a \Rightarrow 'b \text{ set} \Rightarrow 'b \text{ set} \quad ((\exists \cap (00- < -)/ -) [0, 0, 10])$   
 10)

#### translations

$UN\ i \leq n. A == UN\ i: \{..n\}. A$   
 $UN\ i < n. A == UN\ i: \{..  
 $INT\ i \leq n. A == INT\ i: \{..n\}. A$   
 $INT\ i < n. A == INT\ i: \{..$$

### 38.1 Various equivalences

**lemma** (in ord) lessThan-iff [iff]:  $(i: \text{lessThan } k) = (i < k)$   
 $\langle \text{proof} \rangle$

**lemma** Compl-lessThan [simp]:  
 $!!k:: 'a::\text{linorder}. \neg \text{lessThan } k = \text{atLeast } k$   
 $\langle \text{proof} \rangle$

**lemma** single-Diff-lessThan [simp]:  $!!k:: 'a::\text{order}. \{k\} - \text{lessThan } k = \{k\}$   
 $\langle \text{proof} \rangle$

**lemma** (in ord) greaterThan-iff [iff]:  $(i: \text{greaterThan } k) = (k < i)$   
 $\langle \text{proof} \rangle$

**lemma** Compl-greaterThan [simp]:  
 $!!k:: 'a::\text{linorder}. \neg \text{greaterThan } k = \text{atMost } k$   
 $\langle \text{proof} \rangle$

**lemma** Compl-atMost [simp]:  $!!k:: 'a::\text{linorder}. \neg \text{atMost } k = \text{greaterThan } k$   
 $\langle \text{proof} \rangle$

**lemma** (in ord) atLeast-iff [iff]:  $(i: \text{atLeast } k) = (k \leq i)$   
 $\langle \text{proof} \rangle$

**lemma** Compl-atLeast [simp]:  
 $!!k:: 'a::\text{linorder}. \neg \text{atLeast } k = \text{lessThan } k$   
 $\langle \text{proof} \rangle$

**lemma** (in ord) atMost-iff [iff]:  $(i: \text{atMost } k) = (i \leq k)$   
 $\langle \text{proof} \rangle$

**lemma** atMost-Int-atLeast:  $!!n:: 'a::\text{order}. \text{atMost } n \text{ Int } \text{atLeast } n = \{n\}$   
 $\langle \text{proof} \rangle$

### 38.2 Logical Equivalences for Set Inclusion and Equality

**lemma** atLeast-subset-iff [iff]:  
 $(\text{atLeast } x \subseteq \text{atLeast } y) = (y \leq (x::'a::\text{order}))$   
 $\langle \text{proof} \rangle$



**lemma** *atLeast-eq-iff* [iff]:  
 $(\text{atLeast } x = \text{atLeast } y) = (x = (y::'a::\text{linorder}))$   
 $\langle \text{proof} \rangle$

**lemma** *greaterThan-subset-iff* [iff]:  
 $(\text{greaterThan } x \subseteq \text{greaterThan } y) = (y \leq (x::'a::\text{linorder}))$   
 $\langle \text{proof} \rangle$

**lemma** *greaterThan-eq-iff* [iff]:  
 $(\text{greaterThan } x = \text{greaterThan } y) = (x = (y::'a::\text{linorder}))$   
 $\langle \text{proof} \rangle$

**lemma** *atMost-subset-iff* [iff]:  $(\text{atMost } x \subseteq \text{atMost } y) = (x \leq (y::'a::\text{order}))$   
 $\langle \text{proof} \rangle$

**lemma** *atMost-eq-iff* [iff]:  $(\text{atMost } x = \text{atMost } y) = (x = (y::'a::\text{linorder}))$   
 $\langle \text{proof} \rangle$

**lemma** *lessThan-subset-iff* [iff]:  
 $(\text{lessThan } x \subseteq \text{lessThan } y) = (x \leq (y::'a::\text{linorder}))$   
 $\langle \text{proof} \rangle$

**lemma** *lessThan-eq-iff* [iff]:  
 $(\text{lessThan } x = \text{lessThan } y) = (x = (y::'a::\text{linorder}))$   
 $\langle \text{proof} \rangle$

### 38.3 Two-sided intervals

**context** *ord*  
**begin**

**lemma** *greaterThanLessThan-iff* [simp,no-atp]:  
 $(i : \{l <..<u\}) = (l < i \ \& \ i < u)$   
 $\langle \text{proof} \rangle$

**lemma** *atLeastLessThan-iff* [simp,no-atp]:  
 $(i : \{l..<u\}) = (l \leq i \ \& \ i < u)$   
 $\langle \text{proof} \rangle$

**lemma** *greaterThanAtMost-iff* [simp,no-atp]:  
 $(i : \{l <..u\}) = (l < i \ \& \ i \leq u)$   
 $\langle \text{proof} \rangle$

**lemma** *atLeastAtMost-iff* [simp,no-atp]:  
 $(i : \{l..u\}) = (l \leq i \ \& \ i \leq u)$   
 $\langle \text{proof} \rangle$

The above four lemmas could be declared as iffs. Unfortunately this breaks many proofs. Since it only helps blast, it is better to leave well alone

**end**

### 38.3.1 Emptyness, singletons, subset

**context** *order*

**begin**

**lemma** *atLeastatMost-empty[simp]*:

$$b < a \implies \{a..b\} = \{\}$$

*<proof>*

**lemma** *atLeastatMost-empty-iff[simp]*:

$$\{a..b\} = \{\} \longleftrightarrow (\sim a \leq b)$$

*<proof>*

**lemma** *atLeastatMost-empty-iff2[simp]*:

$$\{\} = \{a..b\} \longleftrightarrow (\sim a \leq b)$$

*<proof>*

**lemma** *atLeastLessThan-empty[simp]*:

$$b \leq a \implies \{a..<b\} = \{\}$$

*<proof>*

**lemma** *atLeastLessThan-empty-iff[simp]*:

$$\{a..<b\} = \{\} \longleftrightarrow (\sim a < b)$$

*<proof>*

**lemma** *atLeastLessThan-empty-iff2[simp]*:

$$\{\} = \{a..<b\} \longleftrightarrow (\sim a < b)$$

*<proof>*

**lemma** *greaterThanAtMost-empty[simp]*:  $l \leq k \implies \{k<..l\} = \{\}$

*<proof>*

**lemma** *greaterThanAtMost-empty-iff[simp]*:  $\{k<..l\} = \{\} \longleftrightarrow \sim k < l$

*<proof>*

**lemma** *greaterThanAtMost-empty-iff2[simp]*:  $\{\} = \{k<..l\} \longleftrightarrow \sim k < l$

*<proof>*

**lemma** *greaterThanLessThan-empty[simp]*:  $l \leq k \implies \{k<..$

*<proof>*

**lemma** *atLeastAtMost-singleton [simp]*:  $\{a..a\} = \{a\}$

*<proof>*

**lemma** *atLeastAtMost-singleton'*:  $a = b \implies \{a .. b\} = \{a\}$  *<proof>*

**lemma** *atLeastatMost-subset-iff[simp]*:

$\{a..b\} \leq \{c..d\} \longleftrightarrow (\sim a \leq b) \mid c \leq a \ \& \ b \leq d$   
 $\langle proof \rangle$

**lemma** *atLeastAtMost-psubset-iff*:

$\{a..b\} < \{c..d\} \longleftrightarrow$   
 $((\sim a \leq b) \mid c \leq a \ \& \ b \leq d \ \& \ (c < a \mid b < d)) \ \& \ c \leq d$   
 $\langle proof \rangle$

**lemma** *atLeastAtMost-singleton-iff[simp]*:

$\{a .. b\} = \{c\} \longleftrightarrow a = b \wedge b = c$   
 $\langle proof \rangle$

**end**

**lemma** (*in linorder*) *atLeastLessThan-subset-iff*:

$\{a..<b\} \leq \{c..<d\} \implies b \leq a \mid c \leq a \ \& \ b \leq d$   
 $\langle proof \rangle$

### 38.3.2 Intersection

**context** *linorder*

**begin**

**lemma** *Int-atLeastAtMost[simp]*:  $\{a..b\} \text{ Int } \{c..d\} = \{\max a \ c .. \min b \ d\}$   
 $\langle proof \rangle$

**lemma** *Int-atLeastAtMostR1[simp]*:  $\{..b\} \text{ Int } \{c..d\} = \{c .. \min b \ d\}$   
 $\langle proof \rangle$

**lemma** *Int-atLeastAtMostR2[simp]*:  $\{a.. \} \text{ Int } \{c..d\} = \{\max a \ c .. d\}$   
 $\langle proof \rangle$

**lemma** *Int-atLeastAtMostL1[simp]*:  $\{a..b\} \text{ Int } \{..d\} = \{a .. \min b \ d\}$   
 $\langle proof \rangle$

**lemma** *Int-atLeastAtMostL2[simp]*:  $\{a..b\} \text{ Int } \{c.. \} = \{\max a \ c .. b\}$   
 $\langle proof \rangle$

**lemma** *Int-atLeastLessThan[simp]*:  $\{a..<b\} \text{ Int } \{c..<d\} = \{\max a \ c ..< \min b \ d\}$   
 $\langle proof \rangle$

**lemma** *Int-greaterThanAtMost[simp]*:  $\{a<..b\} \text{ Int } \{c<..d\} = \{\max a \ c <.. \min b \ d\}$   
 $\langle proof \rangle$

**lemma** *Int-greaterThanLessThan[simp]*:  $\{a<..<b\} \text{ Int } \{c<..<d\} = \{\max a \ c <..< \min b \ d\}$   
 $\langle proof \rangle$

end

### 38.4 Intervals of natural numbers

#### 38.4.1 The Constant *lessThan*

**lemma** *lessThan-0* [simp]: *lessThan* (*0::nat*) = {}  
 ⟨proof⟩

**lemma** *lessThan-Suc*: *lessThan* (*Suc k*) = *insert k (lessThan k)*  
 ⟨proof⟩

**lemma** *lessThan-Suc-atMost*: *lessThan* (*Suc k*) = *atMost k*  
 ⟨proof⟩

**lemma** *UN-lessThan-UNIV*: (*UN m::nat. lessThan m*) = *UNIV*  
 ⟨proof⟩

#### 38.4.2 The Constant *greaterThan*

**lemma** *greaterThan-0* [simp]: *greaterThan 0* = *range Suc*  
 ⟨proof⟩

**lemma** *greaterThan-Suc*: *greaterThan* (*Suc k*) = *greaterThan k* − {*Suc k*}  
 ⟨proof⟩

**lemma** *INT-greaterThan-UNIV*: (*INT m::nat. greaterThan m*) = {}  
 ⟨proof⟩

#### 38.4.3 The Constant *atLeast*

**lemma** *atLeast-0* [simp]: *atLeast* (*0::nat*) = *UNIV*  
 ⟨proof⟩

**lemma** *atLeast-Suc*: *atLeast* (*Suc k*) = *atLeast k* − {*k*}  
 ⟨proof⟩

**lemma** *atLeast-Suc-greaterThan*: *atLeast* (*Suc k*) = *greaterThan k*  
 ⟨proof⟩

**lemma** *UN-atLeast-UNIV*: (*UN m::nat. atLeast m*) = *UNIV*  
 ⟨proof⟩

#### 38.4.4 The Constant *atMost*

**lemma** *atMost-0* [simp]: *atMost* (*0::nat*) = {*0*}  
 ⟨proof⟩

**lemma** *atMost-Suc*: *atMost* (*Suc k*) = *insert (Suc k) (atMost k)*  
 ⟨proof⟩

**lemma** *UN-atMost-UNIV*:  $(UN\ m::nat.\ atMost\ m) = UNIV$   
 $\langle proof \rangle$

#### 38.4.5 The Constant *atLeastLessThan*

The orientation of the following 2 rules is tricky. The lhs is defined in terms of the rhs. Hence the chosen orientation makes sense in this theory — the reverse orientation complicates proofs (eg nontermination). But outside, when the definition of the lhs is rarely used, the opposite orientation seems preferable because it reduces a specific concept to a more general one.

**lemma** *atLeast0LessThan*:  $\{0::nat..<n\} = \{..<n\}$   
 $\langle proof \rangle$

**lemma** *atLeast0AtMost*:  $\{0..n::nat\} = \{..n\}$   
 $\langle proof \rangle$

**declare** *atLeast0LessThan*[*symmetric, code-unfold*]  
*atLeast0AtMost*[*symmetric, code-unfold*]

**lemma** *atLeastLessThan0*:  $\{m..<0::nat\} = \{\}$   
 $\langle proof \rangle$

#### 38.4.6 Intervals of nats with *Suc*

Not a simprule because the RHS is too messy.

**lemma** *atLeastLessThanSuc*:  
 $\{m..<Suc\ n\} = (if\ m \leq n\ then\ insert\ n\ \{m..<n\}\ else\ \{\})$   
 $\langle proof \rangle$

**lemma** *atLeastLessThan-singleton* [*simp*]:  $\{m..<Suc\ m\} = \{m\}$   
 $\langle proof \rangle$

**lemma** *atLeastLessThanSuc-atLeastAtMost*:  $\{l..<Suc\ u\} = \{l..u\}$   
 $\langle proof \rangle$

**lemma** *atLeastSucAtMost-greaterThanAtMost*:  $\{Suc\ l..u\} = \{l<..u\}$   
 $\langle proof \rangle$

**lemma** *atLeastSucLessThan-greaterThanLessThan*:  $\{Suc\ l..<u\} = \{l<..<u\}$   
 $\langle proof \rangle$

**lemma** *atLeastAtMostSuc-conv*:  $m \leq Suc\ n \implies \{m..Suc\ n\} = insert\ (Suc\ n)\ \{m..n\}$   
 $\langle proof \rangle$

**lemma** *atLeastLessThan-add-Un*:  $i \leq j \implies \{i..<j+k\} = \{i..<j\} \cup \{j..<j+k::nat\}$   
 $\langle proof \rangle$

**38.4.7 Image****lemma** *image-add-atLeastAtMost*:
$$(\%n::nat. n+k) \text{ ‘ } \{i..j\} = \{i+k..j+k\} \text{ (is } ?A = ?B)$$

*<proof>*

**lemma** *image-add-atLeastLessThan*:
$$(\%n::nat. n+k) \text{ ‘ } \{i..<j\} = \{i+k..<j+k\} \text{ (is } ?A = ?B)$$

*<proof>*

**corollary** *image-Suc-atLeastAtMost[simp]*:
$$Suc \text{ ‘ } \{i..j\} = \{Suc\ i..Suc\ j\}$$

*<proof>*

**corollary** *image-Suc-atLeastLessThan[simp]*:
$$Suc \text{ ‘ } \{i..<j\} = \{Suc\ i..<Suc\ j\}$$

*<proof>*

**lemma** *image-add-int-atLeastLessThan*:
$$(\%x. x + (l::int)) \text{ ‘ } \{0..<u-l\} = \{l..<u\}$$

*<proof>*

**context** *ordered-ab-group-add***begin****lemma**

**fixes**  $x :: 'a$   
**shows** *image-uminus-greaterThan[simp]*:  $uminus \text{ ‘ } \{x<..\} = \{..<-x\}$   
**and** *image-uminus-atLeast[simp]*:  $uminus \text{ ‘ } \{x..\} = \{..-x\}$

*<proof>*

**lemma**

**fixes**  $x :: 'a$   
**shows** *image-uminus-lessThan[simp]*:  $uminus \text{ ‘ } \{..<x\} = \{-x<..\}$   
**and** *image-uminus-atMost[simp]*:  $uminus \text{ ‘ } \{..x\} = \{-x..\}$

*<proof>*

**lemma**

**fixes**  $x :: 'a$   
**shows** *image-uminus-atLeastAtMost[simp]*:  $uminus \text{ ‘ } \{x..y\} = \{-y..-x\}$   
**and** *image-uminus-greaterThanAtMost[simp]*:  $uminus \text{ ‘ } \{x<..y\} = \{-y..<-x\}$   
**and** *image-uminus-atLeastLessThan[simp]*:  $uminus \text{ ‘ } \{x..<y\} = \{-y<..-x\}$   
**and** *image-uminus-greaterThanLessThan[simp]*:  $uminus \text{ ‘ } \{x<..<y\} = \{-y<..<-x\}$

*<proof>*

**end****38.4.8 Finiteness****lemma** *finite-lessThan [iff]*: **fixes**  $k :: nat$  **shows** *finite*  $\{..<k\}$ *<proof>*

**lemma** *finite-atMost* [iff]: **fixes**  $k :: nat$  **shows** *finite*  $\{..k\}$   
 $\langle proof \rangle$

**lemma** *finite-greaterThanLessThan* [iff]:  
**fixes**  $l :: nat$  **shows** *finite*  $\{l < .. < u\}$   
 $\langle proof \rangle$

**lemma** *finite-atLeastLessThan* [iff]:  
**fixes**  $l :: nat$  **shows** *finite*  $\{l .. < u\}$   
 $\langle proof \rangle$

**lemma** *finite-greaterThanAtMost* [iff]:  
**fixes**  $l :: nat$  **shows** *finite*  $\{l < .. u\}$   
 $\langle proof \rangle$

**lemma** *finite-atLeastAtMost* [iff]:  
**fixes**  $l :: nat$  **shows** *finite*  $\{l .. u\}$   
 $\langle proof \rangle$

A bounded set of natural numbers is finite.

**lemma** *bounded-nat-set-is-finite*:  
 $(ALL\ i:N. i < (n::nat)) ==> finite\ N$   
 $\langle proof \rangle$

A set of natural numbers is finite iff it is bounded.

**lemma** *finite-nat-set-iff-bounded*:  
 $finite(N::nat\ set) = (EX\ m. ALL\ n:N. n < m) \text{ (is } ?F = ?B)$   
 $\langle proof \rangle$

**lemma** *finite-nat-set-iff-bounded-le*:  
 $finite(N::nat\ set) = (EX\ m. ALL\ n:N. n \leq m)$   
 $\langle proof \rangle$

**lemma** *finite-less-ub*:  
 $!!f::nat=>nat. (!!n. n \leq f\ n) ==> finite\ \{n. f\ n \leq u\}$   
 $\langle proof \rangle$

Any subset of an interval of natural numbers the size of the subset is exactly that interval.

**lemma** *subset-card-intvl-is-intvl*:  
 $A \leq \{k..<k+card\ A\} \implies A = \{k..<k+card\ A\} \text{ (is } PROP\ ?P)$   
 $\langle proof \rangle$

### 38.4.9 Proving Inclusions and Equalities between Unions

**lemma** *UN-le-eq-UN0*:  
 $(\bigcup i \leq n::nat. M\ i) = (\bigcup i \in \{1..n\}. M\ i) \cup M\ 0 \text{ (is } ?A = ?B)$   
 $\langle proof \rangle$

**lemma** *UN-le-add-shift*:

$(\bigcup_{i \leq n :: \text{nat.}} M(i+k)) = (\bigcup_{i \in \{k..n+k\}.} M i) \text{ (is } ?A = ?B)$   
 $\langle \text{proof} \rangle$

**lemma** *UN-UN-finite-eq*:  $(\bigcup_{n :: \text{nat.}} \bigcup_{i \in \{0..<n\}.} A i) = (\bigcup_{n.} A n)$   
 $\langle \text{proof} \rangle$

**lemma** *UN-finite-subset*:  $(!!n :: \text{nat.} (\bigcup_{i \in \{0..<n\}.} A i) \subseteq C) \implies (\bigcup_{n.} A n) \subseteq C$   
 $\langle \text{proof} \rangle$

**lemma** *UN-finite2-subset*:

$(!!n :: \text{nat.} (\bigcup_{i \in \{0..<n\}.} A i) \subseteq (\bigcup_{i \in \{0..<n+k\}.} B i)) \implies (\bigcup_{n.} A n) \subseteq (\bigcup_{n.} B n)$   
 $\langle \text{proof} \rangle$

**lemma** *UN-finite2-eq*:

$(!!n :: \text{nat.} (\bigcup_{i \in \{0..<n\}.} A i) = (\bigcup_{i \in \{0..<n+k\}.} B i)) \implies (\bigcup_{n.} A n) = (\bigcup_{n.} B n)$   
 $\langle \text{proof} \rangle$

### 38.4.10 Cardinality

**lemma** *card-lessThan* [simp]:  $\text{card } \{..<u\} = u$   
 $\langle \text{proof} \rangle$

**lemma** *card-atMost* [simp]:  $\text{card } \{..u\} = \text{Suc } u$   
 $\langle \text{proof} \rangle$

**lemma** *card-atLeastLessThan* [simp]:  $\text{card } \{l..<u\} = u - l$   
 $\langle \text{proof} \rangle$

**lemma** *card-atLeastAtMost* [simp]:  $\text{card } \{l..u\} = \text{Suc } u - l$   
 $\langle \text{proof} \rangle$

**lemma** *card-greaterThanAtMost* [simp]:  $\text{card } \{l<..u\} = u - l$   
 $\langle \text{proof} \rangle$

**lemma** *card-greaterThanLessThan* [simp]:  $\text{card } \{l<..<u\} = u - \text{Suc } l$   
 $\langle \text{proof} \rangle$

**lemma** *ex-bij-betw-nat-finite*:

$\text{finite } M \implies \exists h. \text{bij-betw } h \{0..<\text{card } M\} M$   
 $\langle \text{proof} \rangle$

**lemma** *ex-bij-betw-finite-nat*:

$\text{finite } M \implies \exists h. \text{bij-betw } h M \{0..<\text{card } M\}$   
 $\langle \text{proof} \rangle$



**lemma** *finite-same-card-bij*:

$finite\ A \implies finite\ B \implies card\ A = card\ B \implies EX\ h. \text{bij-betw } h\ A\ B$   
 $\langle proof \rangle$

**lemma** *ex-bij-betw-nat-finite-1*:

$finite\ M \implies \exists h. \text{bij-betw } h\ \{1 \ ..\ card\ M\}\ M$   
 $\langle proof \rangle$

### 38.5 Intervals of integers

**lemma** *atLeastLessThanPlusOne-atLeastAtMost-int*:  $\{l..<u+1\} = \{l..(u::int)\}$   
 $\langle proof \rangle$

**lemma** *atLeastPlusOneAtMost-greaterThanAtMost-int*:  $\{l+1..u\} = \{l<..(u::int)\}$   
 $\langle proof \rangle$

**lemma** *atLeastPlusOneLessThan-greaterThanLessThan-int*:

$\{l+1..<u\} = \{l<..<u::int\}$   
 $\langle proof \rangle$

#### 38.5.1 Finiteness

**lemma** *image-atLeastZeroLessThan-int*:  $0 \leq u \implies$

$\{(0::int)..<u\} = int\ ' \ \{..<nat\ u\}$   
 $\langle proof \rangle$

**lemma** *finite-atLeastZeroLessThan-int*:  $finite\ \{(0::int)..<u\}$   
 $\langle proof \rangle$

**lemma** *finite-atLeastLessThan-int [iff]*:  $finite\ \{l..<u::int\}$   
 $\langle proof \rangle$

**lemma** *finite-atLeastAtMost-int [iff]*:  $finite\ \{l..(u::int)\}$   
 $\langle proof \rangle$

**lemma** *finite-greaterThanAtMost-int [iff]*:  $finite\ \{l<..(u::int)\}$   
 $\langle proof \rangle$

**lemma** *finite-greaterThanLessThan-int [iff]*:  $finite\ \{l<..<u::int\}$   
 $\langle proof \rangle$

#### 38.5.2 Cardinality

**lemma** *card-atLeastZeroLessThan-int*:  $card\ \{(0::int)..<u\} = nat\ u$   
 $\langle proof \rangle$

**lemma** *card-atLeastLessThan-int [simp]*:  $card\ \{l..<u\} = nat\ (u - l)$   
 $\langle proof \rangle$

**lemma** *card-atLeastAtMost-int [simp]*:  $card\ \{l..u\} = nat\ (u - l + 1)$

$\langle \text{proof} \rangle$

**lemma** *card-greaterThanAtMost-int* [simp]:  $\text{card } \{l <..u\} = \text{nat } (u - l)$   
 $\langle \text{proof} \rangle$

**lemma** *card-greaterThanLessThan-int* [simp]:  $\text{card } \{l <..\} = \text{nat } (u - (l + 1))$   
 $\langle \text{proof} \rangle$

**lemma** *finite-M-bounded-by-nat*:  $\text{finite } \{k. P\ k \wedge k < (i::\text{nat})\}$   
 $\langle \text{proof} \rangle$

**lemma** *card-less*:  
**assumes** *zero-in-M*:  $0 \in M$   
**shows**  $\text{card } \{k \in M. k < \text{Suc } i\} \neq 0$   
 $\langle \text{proof} \rangle$

**lemma** *card-less-Suc2*:  $0 \notin M \implies \text{card } \{k. \text{Suc } k \in M \wedge k < i\} = \text{card } \{k \in M. k < \text{Suc } i\}$   
 $\langle \text{proof} \rangle$

**lemma** *card-less-Suc*:  
**assumes** *zero-in-M*:  $0 \in M$   
**shows**  $\text{Suc } (\text{card } \{k. \text{Suc } k \in M \wedge k < i\}) = \text{card } \{k \in M. k < \text{Suc } i\}$   
 $\langle \text{proof} \rangle$

## 38.6 Lemmas useful with the summation operator setsum

For examples, see Algebra/poly/UnivPoly2.thy

### 38.6.1 Disjoint Unions

Singletons and open intervals

**lemma** *ivl-disj-un-singleton*:  
 $\{l::'a::\text{linorder}\} \text{ Un } \{l<..\} = \{l..\}$   
 $\{..\} \text{ Un } \{u::'a::\text{linorder}\} = \{..\}$   
 $(l::'a::\text{linorder}) < u \implies \{l\} \text{ Un } \{l<..\} = \{l..\}$   
 $(l::'a::\text{linorder}) < u \implies \{l<..\} \text{ Un } \{u\} = \{l<..\}$   
 $(l::'a::\text{linorder}) \leq u \implies \{l\} \text{ Un } \{l<..\} = \{l..\}$   
 $(l::'a::\text{linorder}) \leq u \implies \{l..\} \text{ Un } \{u\} = \{l..\}$   
 $\langle \text{proof} \rangle$

One- and two-sided intervals

**lemma** *ivl-disj-un-one*:  
 $(l::'a::\text{linorder}) < u \implies \{..l\} \text{ Un } \{l<..\} = \{..\}$   
 $(l::'a::\text{linorder}) \leq u \implies \{..<l\} \text{ Un } \{l..\} = \{..\}$   
 $(l::'a::\text{linorder}) \leq u \implies \{..l\} \text{ Un } \{l<..\} = \{..\}$   
 $(l::'a::\text{linorder}) \leq u \implies \{..<l\} \text{ Un } \{l..\} = \{..\}$   
 $(l::'a::\text{linorder}) \leq u \implies \{l<..\} \text{ Un } \{u<..\} = \{l<..\}$

$(l::'a::linorder) < u \implies \{l<..\} \cup \{u..\} = \{l<..\}$   
 $(l::'a::linorder) \leq u \implies \{l..u\} \cup \{u<..\} = \{l..\}$   
 $(l::'a::linorder) \leq u \implies \{l..<u\} \cup \{u..\} = \{l..\}$   
 <proof>

Two- and two-sided intervals

**lemma** *ivl-disj-un-two*:

$\llbracket (l::'a::linorder) < m; m \leq u \rrbracket \implies \{l<..\} \cup \{m..\} = \{l<..\}$   
 $\llbracket (l::'a::linorder) \leq m; m < u \rrbracket \implies \{l<..\} \cup \{m<..\} = \{l<..\}$   
 $\llbracket (l::'a::linorder) \leq m; m \leq u \rrbracket \implies \{l<..\} \cup \{m..\} = \{l<..\}$   
 $\llbracket (l::'a::linorder) \leq m; m < u \rrbracket \implies \{l..\} \cup \{m<..\} = \{l..\}$   
 $\llbracket (l::'a::linorder) < m; m \leq u \rrbracket \implies \{l<..\} \cup \{m..\} = \{l<..\}$   
 $\llbracket (l::'a::linorder) \leq m; m \leq u \rrbracket \implies \{l<..\} \cup \{m<..\} = \{l<..\}$   
 $\llbracket (l::'a::linorder) \leq m; m < u \rrbracket \implies \{l..\} \cup \{m..\} = \{l..\}$   
 $\llbracket (l::'a::linorder) \leq m; m \leq u \rrbracket \implies \{l..\} \cup \{m<..\} = \{l..\}$   
 <proof>

**lemmas** *ivl-disj-un = ivl-disj-un-singleton ivl-disj-un-one ivl-disj-un-two*

### 38.6.2 Disjoint Intersections

One- and two-sided intervals

**lemma** *ivl-disj-int-one*:

$\{..l::'a::order\} \cap \{l<..\} = \{\}$   
 $\{..<l\} \cap \{l..\} = \{\}$   
 $\{..l\} \cap \{l<..\} = \{\}$   
 $\{..<l\} \cap \{l..\} = \{\}$   
 $\{l<..\} \cap \{u<..\} = \{\}$   
 $\{l<..\} \cap \{u..\} = \{\}$   
 $\{l..\} \cap \{u<..\} = \{\}$   
 $\{l..\} \cap \{u..\} = \{\}$   
 <proof>

Two- and two-sided intervals

**lemma** *ivl-disj-int-two*:

$\{l::'a::order<..\} \cap \{m..\} = \{\}$   
 $\{l<..\} \cap \{m<..\} = \{\}$   
 $\{l..\} \cap \{m..\} = \{\}$   
 $\{l..\} \cap \{m<..\} = \{\}$   
 $\{l<..\} \cap \{m..\} = \{\}$   
 $\{l<..\} \cap \{m<..\} = \{\}$   
 $\{l..\} \cap \{m..\} = \{\}$   
 $\{l..\} \cap \{m<..\} = \{\}$   
 <proof>

**lemmas** *ivl-disj-int = ivl-disj-int-one ivl-disj-int-two*

### 38.6.3 Some Differences

**lemma** *ivl-diff* [*simp*]:

$$i \leq n \implies \{i..<m\} - \{i..<n\} = \{n..<(m::'a::linorder)\}$$

*<proof>*

### 38.6.4 Some Subset Conditions

**lemma** *ivl-subset* [*simp, no-atp*]:

$$(\{i..<j\} \subseteq \{m..<n\}) = (j \leq i \mid m \leq i \ \& \ j \leq (n::'a::linorder))$$

*<proof>*

## 38.7 Summation indexed over intervals

**syntax**

$$\begin{aligned} \text{-from-to-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((SUM \ - \ = \ \dots / \ -) \ [0,0,0,10] \ 10) \\ \text{-from-upto-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((SUM \ - \ = \ \dots < / \ -) \ [0,0,0,10] \ 10) \\ \text{-upt-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((SUM \ - < / \ -) \ [0,0,10] \ 10) \\ \text{-upto-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((SUM \ - <= / \ -) \ [0,0,10] \ 10) \end{aligned}$$

**syntax** (*xsymbols*)

$$\begin{aligned} \text{-from-to-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{S}\Sigma \ - \ = \ \dots / \ -) \ [0,0,0,10] \ 10) \\ \text{-from-upto-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{S}\Sigma \ - \ = \ \dots < / \ -) \ [0,0,0,10] \ 10) \\ \text{-upt-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{S}\Sigma \ - < / \ -) \ [0,0,10] \ 10) \\ \text{-upto-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{S}\Sigma \ - <= / \ -) \ [0,0,10] \ 10) \end{aligned}$$

**syntax** (*HTML output*)

$$\begin{aligned} \text{-from-to-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{S}\Sigma \ - \ = \ \dots / \ -) \ [0,0,0,10] \ 10) \\ \text{-from-upto-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{S}\Sigma \ - \ = \ \dots < / \ -) \ [0,0,0,10] \ 10) \\ \text{-upt-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{S}\Sigma \ - < / \ -) \ [0,0,10] \ 10) \\ \text{-upto-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \ ((\mathcal{S}\Sigma \ - <= / \ -) \ [0,0,10] \ 10) \end{aligned}$$

**syntax** (*latex-sum output*)

$$\begin{aligned} \text{-from-to-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \\ &((\mathcal{S}\Sigma \ - \ = \ -) \ [0,0,0,10] \ 10) \\ \text{-from-upto-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \\ &((\mathcal{S}\Sigma \ - \ = \ -) \ [0,0,0,10] \ 10) \\ \text{-upt-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \\ &((\mathcal{S}\Sigma \ - \ < \ -) \ [0,0,10] \ 10) \\ \text{-upto-setsum} &:: \text{idt} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b \\ &((\mathcal{S}\Sigma \ - \ \leq \ -) \ [0,0,10] \ 10) \end{aligned}$$

**translations**

$$\begin{aligned} \sum_{x=a..b}. t &== \text{CONST setsum } (\%x. t) \ \{a..b\} \\ \sum_{x=a..<b}. t &== \text{CONST setsum } (\%x. t) \ \{a..<b\} \\ \sum_{i \leq n}. t &== \text{CONST setsum } (\lambda i. t) \ \{..n\} \\ \sum_{i < n}. t &== \text{CONST setsum } (\lambda i. t) \ \{..<n\} \end{aligned}$$

The above introduces some pretty alternative syntaxes for summation over intervals:

| Old                         | New                 | L <sup>A</sup> T <sub>E</sub> X |
|-----------------------------|---------------------|---------------------------------|
| $\sum_{x \in \{a..b\}}. e$  | $\sum x = a..b. e$  | $\sum_x^b =_a e$                |
| $\sum_{x \in \{a..<b\}}. e$ | $\sum x = a..<b. e$ | $\sum_x^{<b} =_a e$             |
| $\sum_{x \in \{..b\}}. e$   | $\sum x \leq b. e$  | $\sum_x \leq_b e$               |
| $\sum_{x \in \{..<b\}}. e$  | $\sum x < b. e$     | $\sum_x <_b e$                  |

The left column shows the term before introduction of the new syntax, the middle column shows the new (default) syntax, and the right column shows a special syntax. The latter is only meaningful for latex output and has to be activated explicitly by setting the print mode to *latex-sum* (e.g. via *mode = latex-sum* in antiquotations). It is not the default L<sup>A</sup>T<sub>E</sub>X output because it only works well with italic-style formulae, not tt-style.

Note that for uniformity on *nat* it is better to use  $\sum x = 0..<n. e$  rather than  $\sum x < n. e$ : *setsum* may not provide all lemmas available for  $\{m..<n\}$  also in the special form for  $\{..<n\}$ .

This congruence rule should be used for sums over intervals as the standard theorem *setsum-cong* does not work well with the simplifier who adds the unsimplified premise  $x \in B$  to the context.

**lemma** *setsum-ivl-cong*:

$\llbracket a = c; b = d; !!x. \llbracket c \leq x; x < d \rrbracket \implies f x = g x \rrbracket \implies$   
 $\text{setsum } f \{a..<b\} = \text{setsum } g \{c..<d\}$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-atMost-Suc[simp]*:  $(\sum i \leq \text{Suc } n. f i) = (\sum i \leq n. f i) + f(\text{Suc } n)$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-lessThan-Suc[simp]*:  $(\sum i < \text{Suc } n. f i) = (\sum i < n. f i) + f n$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-cl-ivl-Suc[simp]*:

$\text{setsum } f \{m.. \text{Suc } n\} = (\text{if } \text{Suc } n < m \text{ then } 0 \text{ else } \text{setsum } f \{m..n\} + f(\text{Suc } n))$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-op-ivl-Suc[simp]*:

$\text{setsum } f \{m..<\text{Suc } n\} = (\text{if } n < m \text{ then } 0 \text{ else } \text{setsum } f \{m..<n\} + f(n))$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-head*:

**fixes**  $n :: \text{nat}$   
**assumes**  $mn: m \leq n$   
**shows**  $(\sum_{x \in \{m..n\}}. P x) = P m + (\sum_{x \in \{m<..n\}}. P x)$  (**is** *?lhs = ?rhs*)  
 $\langle \text{proof} \rangle$

**lemma** *setsum-head-Suc*:

$m \leq n \implies \text{setsum } f \{m..n\} = f \, m + \text{setsum } f \{ \text{Suc } m..n \}$   
 <proof>

**lemma** *setsum-head-upt-Suc*:

$m < n \implies \text{setsum } f \{m..<n\} = f \, m + \text{setsum } f \{ \text{Suc } m..<n \}$   
 <proof>

**lemma** *setsum-ub-add-nat*: **assumes**  $(m::\text{nat}) \leq n + 1$

**shows**  $\text{setsum } f \{m..n + p\} = \text{setsum } f \{m..n\} + \text{setsum } f \{n + 1..n + p\}$   
 <proof>

**lemma** *setsum-add-nat-ivl*:  $\llbracket m \leq n; n \leq p \rrbracket \implies$

$\text{setsum } f \{m..<n\} + \text{setsum } f \{n..<p\} = \text{setsum } f \{m..<p::\text{nat}\}$   
 <proof>

**lemma** *setsum-diff-nat-ivl*:

**fixes**  $f :: \text{nat} \Rightarrow 'a::\text{ab-group-add}$

**shows**  $\llbracket m \leq n; n \leq p \rrbracket \implies$

$\text{setsum } f \{m..<p\} - \text{setsum } f \{m..<n\} = \text{setsum } f \{n..<p\}$   
 <proof>

**lemma** *setsum-natinterval-diff*:

**fixes**  $f :: \text{nat} \Rightarrow ('a::\text{ab-group-add})$

**shows**  $\text{setsum } (\lambda k. f \, k - f \, (k + 1)) \{ (m::\text{nat}) .. n \} =$   
 $(\text{if } m \leq n \text{ then } f \, m - f \, (n + 1) \text{ else } 0)$

<proof>

**lemmas** *setsum-restrict-set'* = *setsum-restrict-set*[*unfolded Int-def*]

**lemma** *setsum-setsum-restrict*:

$\text{finite } S \implies \text{finite } T \implies \text{setsum } (\lambda x. \text{setsum } (\lambda y. f \, x \, y) \{y. y \in T \wedge R \, x \, y\}) \, S$   
 $= \text{setsum } (\lambda y. \text{setsum } (\lambda x. f \, x \, y) \{x. x \in S \wedge R \, x \, y\}) \, T$   
 <proof>

**lemma** *setsum-image-gen*: **assumes**  $fS: \text{finite } S$

**shows**  $\text{setsum } g \, S = \text{setsum } (\lambda y. \text{setsum } g \{x. x \in S \wedge f \, x = y\}) \, (f \, 'S)$   
 <proof>

**lemma** *setsum-le-included*:

**fixes**  $f :: 'a \Rightarrow 'b::\text{ordered-comm-monoid-add}$

**assumes**  $\text{finite } s \, \text{finite } t$

**and**  $\forall y \in t. 0 \leq g \, y \, (\forall x \in s. \exists y \in t. i \, y = x \wedge f \, x \leq g \, y)$

**shows**  $\text{setsum } f \, s \leq \text{setsum } g \, t$

<proof>

**lemma** *setsum-multicount-gen*:

**assumes**  $\text{finite } s \, \text{finite } t \, \forall j \in t. (\text{card } \{i \in s. R \, i \, j\} = k \, j)$

**shows**  $\text{setsum } (\lambda i. (\text{card } \{j \in t. R \, i \, j\})) \, s = \text{setsum } k \, t \, (\text{is } ?l = ?r)$

$\langle \text{proof} \rangle$

**lemma** *setsum-multicount*:

**assumes** *finite S finite T*  $\forall j \in T. (\text{card } \{i \in S. R\ i\ j\} = k)$

**shows**  $\text{setsum } (\lambda i. \text{card } \{j \in T. R\ i\ j\})\ S = k * \text{card } T$  (**is** ?l = ?r)

$\langle \text{proof} \rangle$

### 38.8 Shifting bounds

**lemma** *setsum-shift-bounds-nat-ivl*:

$\text{setsum } f\ \{m+k..<n+k\} = \text{setsum } (\%i. f(i+k))\ \{m..<n::\text{nat}\}$

$\langle \text{proof} \rangle$

**lemma** *setsum-shift-bounds-cl-nat-ivl*:

$\text{setsum } f\ \{m+k..n+k\} = \text{setsum } (\%i. f(i+k))\ \{m..n::\text{nat}\}$

$\langle \text{proof} \rangle$

**corollary** *setsum-shift-bounds-cl-Suc-ivl*:

$\text{setsum } f\ \{\text{Suc } m..\text{Suc } n\} = \text{setsum } (\%i. f(\text{Suc } i))\ \{m..n\}$

$\langle \text{proof} \rangle$

**corollary** *setsum-shift-bounds-Suc-ivl*:

$\text{setsum } f\ \{\text{Suc } m..<\text{Suc } n\} = \text{setsum } (\%i. f(\text{Suc } i))\ \{m..<n\}$

$\langle \text{proof} \rangle$

**lemma** *setsum-shift-lb-Suc0-0*:

$f(0::\text{nat}) = (0::\text{nat}) \implies \text{setsum } f\ \{\text{Suc } 0..k\} = \text{setsum } f\ \{0..k\}$

$\langle \text{proof} \rangle$

**lemma** *setsum-shift-lb-Suc0-0-upt*:

$f(0::\text{nat}) = 0 \implies \text{setsum } f\ \{\text{Suc } 0..<k\} = \text{setsum } f\ \{0..<k\}$

$\langle \text{proof} \rangle$

### 38.9 The formula for geometric sums

**lemma** *geometric-sum*:

**assumes**  $x \neq 1$

**shows**  $(\sum i=0..<n. x^i) = (x^n - 1) / (x - 1::'a::\text{field})$

$\langle \text{proof} \rangle$

### 38.10 The formula for arithmetic sums

**lemma** *gauss-sum*:

$((1::'a::\text{comm-semiring-1}) + 1) * (\sum i \in \{1..n\}. \text{of-nat } i) =$   
 $\text{of-nat } n * ((\text{of-nat } n) + 1)$

$\langle \text{proof} \rangle$

**theorem** *arith-series-general*:

$((1::'a::\text{comm-semiring-1}) + 1) * (\sum i \in \{..<n\}. a + \text{of-nat } i * d) =$   
 $\text{of-nat } n * (a + (a + \text{of-nat } (n-1) * d))$

$\langle proof \rangle$

**lemma** *arith-series-nat*:

$Suc (Suc\ 0) * (\sum i \in \{..<n\}. a + i * d) = n * (a + (a + (n - 1) * d))$   
 $\langle proof \rangle$

**lemma** *arith-series-int*:

$(2::int) * (\sum i \in \{..<n\}. a + of\text{-}nat\ i * d) =$   
 $of\text{-}nat\ n * (a + (a + of\text{-}nat(n - 1) * d))$   
 $\langle proof \rangle$

**lemma** *sum-diff-distrib*:

**fixes**  $P::nat \Rightarrow nat$   
**shows**  
 $\forall x. Q\ x \leq P\ x \implies$   
 $(\sum x < n. P\ x) - (\sum x < n. Q\ x) = (\sum x < n. P\ x - Q\ x)$   
 $\langle proof \rangle$

### 38.11 Products indexed over intervals

**syntax**

$\text{-from-to-setprod} :: idt \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((PROD - = \dots / -) [0,0,0,10] 10)$   
 $\text{-from-upto-setprod} :: idt \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((PROD - = \dots < / -) [0,0,0,10] 10)$   
 $\text{-upt-setprod} :: idt \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((PROD - < / -) [0,0,10] 10)$   
 $\text{-upto-setprod} :: idt \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((PROD - < = / -) [0,0,10] 10)$

**syntax** (*xsymbols*)

$\text{-from-to-setprod} :: idt \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((\mathcal{P}\prod - = \dots / -) [0,0,0,10] 10)$   
 $\text{-from-upto-setprod} :: idt \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((\mathcal{P}\prod - = \dots < / -) [0,0,0,10] 10)$   
 $\text{-upt-setprod} :: idt \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((\mathcal{P}\prod - < / -) [0,0,10] 10)$   
 $\text{-upto-setprod} :: idt \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((\mathcal{P}\prod - \leq / -) [0,0,10] 10)$

**syntax** (*HTML output*)

$\text{-from-to-setprod} :: idt \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((\mathcal{P}\prod - = \dots / -) [0,0,0,10] 10)$   
 $\text{-from-upto-setprod} :: idt \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((\mathcal{P}\prod - = \dots < / -) [0,0,0,10] 10)$   
 $\text{-upt-setprod} :: idt \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((\mathcal{P}\prod - < / -) [0,0,10] 10)$   
 $\text{-upto-setprod} :: idt \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b\ ((\mathcal{P}\prod - \leq / -) [0,0,10] 10)$

**syntax** (*latex-prod output*)

$\text{-from-to-setprod} :: idt \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b$   
 $((\mathcal{P}\prod - = -) [0,0,0,10] 10)$   
 $\text{-from-upto-setprod} :: idt \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b$   
 $((\mathcal{P}\prod - < -) [0,0,0,10] 10)$   
 $\text{-upt-setprod} :: idt \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b$   
 $((\mathcal{P}\prod - < -) [0,0,10] 10)$   
 $\text{-upto-setprod} :: idt \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b$   
 $((\mathcal{P}\prod - \leq -) [0,0,10] 10)$

**translations**



$$\prod x=a..b. t == \text{CONST setprod } (\%x. t) \{a..b\}$$

$$\prod x=a..<b. t == \text{CONST setprod } (\%x. t) \{a..<b\}$$

$$\prod i \leq n. t == \text{CONST setprod } (\lambda i. t) \{..n\}$$

$$\prod i < n. t == \text{CONST setprod } (\lambda i. t) \{..<n\}$$

### 38.12 Transfer setup

**lemma** *transfer-nat-int-set-functions:*

$$\{..n\} = \text{nat} \text{ ‘ } \{0..int\ n\}$$

$$\{m..n\} = \text{nat} \text{ ‘ } \{int\ m..int\ n\}$$

*<proof>*

**lemma** *transfer-nat-int-set-function-closures:*

$$x \geq 0 \implies \text{nat-set } \{x..y\}$$

*<proof>*

**declare** *transfer-morphism-nat-int*[*transfer add*  
*return: transfer-nat-int-set-functions*  
*transfer-nat-int-set-function-closures*  
 ]

**lemma** *transfer-int-nat-set-functions:*

$$is\text{-}nat\ m \implies is\text{-}nat\ n \implies \{m..n\} = int \text{ ‘ } \{nat\ m..nat\ n\}$$

*<proof>*

**lemma** *transfer-int-nat-set-function-closures:*

$$is\text{-}nat\ x \implies \text{nat-set } \{x..y\}$$

*<proof>*

**declare** *transfer-morphism-int-nat*[*transfer add*  
*return: transfer-int-nat-set-functions*  
*transfer-int-nat-set-function-closures*  
 ]

**end**

## 39 Presburger: Decision Procedure for Presburger Arithmetic

**theory** *Presburger*

**imports** *Groebner-Basis SetInterval*

**uses**

*Tools/Qelim/qelim.ML*  
*Tools/Qelim/cooper-procedure.ML*  
*(Tools/Qelim/cooper.ML)*

**begin**

### 39.1 The $-\infty$ and $+\infty$ Properties

**lemma** *minf*:

$$\begin{aligned}
& \llbracket \exists (z :: 'a::\text{linorder}). \forall x < z. P\ x = P'\ x; \exists z. \forall x < z. Q\ x = Q'\ x \rrbracket \\
& \implies \exists z. \forall x < z. (P\ x \wedge Q\ x) = (P'\ x \wedge Q'\ x) \\
& \llbracket \exists (z :: 'a::\text{linorder}). \forall x < z. P\ x = P'\ x; \exists z. \forall x < z. Q\ x = Q'\ x \rrbracket \\
& \implies \exists z. \forall x < z. (P\ x \vee Q\ x) = (P'\ x \vee Q'\ x) \\
& \exists (z :: 'a::\{\text{linorder}\}). \forall x < z. (x = t) = \text{False} \\
& \exists (z :: 'a::\{\text{linorder}\}). \forall x < z. (x \neq t) = \text{True} \\
& \exists (z :: 'a::\{\text{linorder}\}). \forall x < z. (x < t) = \text{True} \\
& \exists (z :: 'a::\{\text{linorder}\}). \forall x < z. (x \leq t) = \text{True} \\
& \exists (z :: 'a::\{\text{linorder}\}). \forall x < z. (x > t) = \text{False} \\
& \exists (z :: 'a::\{\text{linorder}\}). \forall x < z. (x \geq t) = \text{False} \\
& \exists z. \forall (x :: 'a::\{\text{linorder}, \text{plus}, \text{Rings.dvd}\}). x < z. (d\ \text{dvd}\ x + s) = (d\ \text{dvd}\ x + s) \\
& \exists z. \forall (x :: 'a::\{\text{linorder}, \text{plus}, \text{Rings.dvd}\}). x < z. (\neg d\ \text{dvd}\ x + s) = (\neg d\ \text{dvd}\ x + s) \\
& \exists z. \forall x < z. F = F \\
& \langle \text{proof} \rangle
\end{aligned}$$

**lemma** *pinf*:

$$\begin{aligned}
& \llbracket \exists (z :: 'a::\text{linorder}). \forall x > z. P\ x = P'\ x; \exists z. \forall x > z. Q\ x = Q'\ x \rrbracket \\
& \implies \exists z. \forall x > z. (P\ x \wedge Q\ x) = (P'\ x \wedge Q'\ x) \\
& \llbracket \exists (z :: 'a::\text{linorder}). \forall x > z. P\ x = P'\ x; \exists z. \forall x > z. Q\ x = Q'\ x \rrbracket \\
& \implies \exists z. \forall x > z. (P\ x \vee Q\ x) = (P'\ x \vee Q'\ x) \\
& \exists (z :: 'a::\{\text{linorder}\}). \forall x > z. (x = t) = \text{False} \\
& \exists (z :: 'a::\{\text{linorder}\}). \forall x > z. (x \neq t) = \text{True} \\
& \exists (z :: 'a::\{\text{linorder}\}). \forall x > z. (x < t) = \text{False} \\
& \exists (z :: 'a::\{\text{linorder}\}). \forall x > z. (x \leq t) = \text{False} \\
& \exists (z :: 'a::\{\text{linorder}\}). \forall x > z. (x > t) = \text{True} \\
& \exists (z :: 'a::\{\text{linorder}\}). \forall x > z. (x \geq t) = \text{True} \\
& \exists z. \forall (x :: 'a::\{\text{linorder}, \text{plus}, \text{Rings.dvd}\}). x > z. (d\ \text{dvd}\ x + s) = (d\ \text{dvd}\ x + s) \\
& \exists z. \forall (x :: 'a::\{\text{linorder}, \text{plus}, \text{Rings.dvd}\}). x > z. (\neg d\ \text{dvd}\ x + s) = (\neg d\ \text{dvd}\ x + s) \\
& \exists z. \forall x > z. F = F \\
& \langle \text{proof} \rangle
\end{aligned}$$

**lemma** *inf-period*:

$$\begin{aligned}
& \llbracket \forall x\ k. P\ x = P\ (x - k*D); \forall x\ k. Q\ x = Q\ (x - k*D) \rrbracket \\
& \implies \forall x\ k. (P\ x \wedge Q\ x) = (P\ (x - k*D) \wedge Q\ (x - k*D)) \\
& \llbracket \forall x\ k. P\ x = P\ (x - k*D); \forall x\ k. Q\ x = Q\ (x - k*D) \rrbracket \\
& \implies \forall x\ k. (P\ x \vee Q\ x) = (P\ (x - k*D) \vee Q\ (x - k*D)) \\
& (d :: 'a::\{\text{comm-ring}, \text{Rings.dvd}\})\ \text{dvd}\ D \implies \forall x\ k. (d\ \text{dvd}\ x + t) = (d\ \text{dvd}\ (x - k*D) + t) \\
& (d :: 'a::\{\text{comm-ring}, \text{Rings.dvd}\})\ \text{dvd}\ D \implies \forall x\ k. (\neg d\ \text{dvd}\ x + t) = (\neg d\ \text{dvd}\ (x - k*D) + t) \\
& \forall x\ k. F = F \\
& \langle \text{proof} \rangle
\end{aligned}$$

### 39.2 The A and B sets

**lemma** *bset*:

$$\llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow P\ x \longrightarrow P(x - D) \rrbracket ;$$

$$\begin{aligned}
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow Q \ x \longrightarrow Q(x - D) \implies \\
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (P \ x \wedge Q \ x) \longrightarrow (P(x - D) \wedge Q \ (x - D)) \\
& \llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow P \ x \longrightarrow P(x - D) ; \\
& \quad \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow Q \ x \longrightarrow Q(x - D) \rrbracket \implies \\
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (P \ x \vee Q \ x) \longrightarrow (P(x - D) \vee Q \ (x - D)) \\
& \llbracket D > 0 ; t - 1 \in B \rrbracket \implies (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x = t) \longrightarrow (x - D = t)) \\
& \llbracket D > 0 ; t \in B \rrbracket \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \neq t) \longrightarrow (x - D \neq t)) \\
& D > 0 \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x < t) \longrightarrow (x - D < t)) \\
& D > 0 \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \leq t) \longrightarrow (x - D \leq t)) \\
& \llbracket D > 0 ; t \in B \rrbracket \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x > t) \longrightarrow (x - D > t)) \\
& \llbracket D > 0 ; t - 1 \in B \rrbracket \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \geq t) \longrightarrow (x - D \geq t)) \\
& d \text{ dvd } D \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (d \text{ dvd } x + t) \longrightarrow (d \text{ dvd } (x - D) + t)) \\
& d \text{ dvd } D \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (\neg d \text{ dvd } x + t) \longrightarrow (\neg d \text{ dvd } (x - D) + t)) \\
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow F \longrightarrow F \\
& \langle \text{proof} \rangle
\end{aligned}$$

**lemma aset:**

$$\begin{aligned}
& \llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow P \ x \longrightarrow P(x + D) ; \\
& \quad \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow Q \ x \longrightarrow Q(x + D) \rrbracket \implies \\
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (P \ x \wedge Q \ x) \longrightarrow (P(x + D) \wedge Q \ (x + D)) \\
& \llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow P \ x \longrightarrow P(x + D) ; \\
& \quad \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow Q \ x \longrightarrow Q(x + D) \rrbracket \implies \\
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (P \ x \vee Q \ x) \longrightarrow (P(x + D) \vee Q \ (x + D)) \\
& \llbracket D > 0 ; t + 1 \in A \rrbracket \implies (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x = t) \longrightarrow (x + D = t)) \\
& \llbracket D > 0 ; t \in A \rrbracket \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \neq t) \longrightarrow (x + D \neq t)) \\
& \llbracket D > 0 ; t \in A \rrbracket \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x < t) \longrightarrow (x + D < t)) \\
& \llbracket D > 0 ; t + 1 \in A \rrbracket \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \leq t) \longrightarrow (x + D \leq t)) \\
& D > 0 \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x > t) \longrightarrow (x + D > t)) \\
& D > 0 \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \geq t) \longrightarrow (x + D \geq t)) \\
& d \text{ dvd } D \implies (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (d \text{ dvd } x + t) \longrightarrow (d \text{ dvd } (x + D) + t))
\end{aligned}$$

$d \text{ dvd } D \implies (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (\neg d \text{ dvd } x + t) \longrightarrow$   
 $(\neg d \text{ dvd } (x + D) + t))$   
 $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow F \longrightarrow F$   
 $\langle \text{proof} \rangle$

### 39.3 Cooper’s Theorem $-\infty$ and $+\infty$ Version

#### 39.3.1 First some trivial facts about periodic sets or predicates

**lemma** *periodic-finite-ex*:

**assumes** *dpos*:  $(0::int) < d$  **and** *modd*:  $ALL\ x\ k. P\ x = P(x - k*d)$

**shows**  $(EX\ x. P\ x) = (EX\ j : \{1..d\}. P\ j)$

(**is** *?LHS* = *?RHS*)

$\langle \text{proof} \rangle$

#### 39.3.2 The $-\infty$ Version

**lemma** *decr-lemma*:  $0 < (d::int) \implies x - (abs(x-z)+1) * d < z$

$\langle \text{proof} \rangle$

**lemma** *incr-lemma*:  $0 < (d::int) \implies z < x + (abs(x-z)+1) * d$

$\langle \text{proof} \rangle$

**lemma** *decr-mult-lemma*:

**assumes** *dpos*:  $(0::int) < d$  **and** *minus*:  $\forall x. P\ x \longrightarrow P(x - d)$  **and** *kneg*:  $0 \leq k$

**shows**  $ALL\ x. P\ x \longrightarrow P(x - k*d)$

$\langle \text{proof} \rangle$

**lemma** *minusinfinity*:

**assumes** *dpos*:  $0 < d$  **and**

*P1eqP1*:  $ALL\ x\ k. P1\ x = P1(x - k*d)$  **and** *ePeqP1*:  $EX\ z::int. ALL\ x. x < z \longrightarrow (P\ x = P1\ x)$

**shows**  $(EX\ x. P1\ x) \longrightarrow (EX\ x. P\ x)$

$\langle \text{proof} \rangle$

**lemma** *cpmi*:

**assumes** *dp*:  $0 < D$  **and** *p1*:  $\exists z. \forall x < z. P\ x = P'\ x$

**and** *nb*:  $\forall x. (\forall j \in \{1..D\}. \forall (b::int) \in B. x \neq b+j) \longrightarrow P\ (x) \longrightarrow P\ (x - D)$

**and** *pd*:  $\forall x\ k. P'\ x = P'(x - k*D)$

**shows**  $(\exists x. P\ x) = ((\exists j \in \{1..D\}. P'\ j) \mid (\exists j \in \{1..D\}. \exists b \in B. P\ (b+j)))$

(**is** *?L* = (*?R1*  $\vee$  *?R2*))

$\langle \text{proof} \rangle$

#### 39.3.3 The $+\infty$ Version

**lemma** *plusinfinity*:

**assumes** *dpos*:  $(0::int) < d$  **and**

*P1eqP1*:  $\forall x\ k. P'\ x = P'(x - k*d)$  **and** *ePeqP1*:  $\exists z. \forall x > z. P\ x = P'\ x$

**shows**  $(\exists x. P' x) \longrightarrow (\exists x. P x)$   
 $\langle proof \rangle$

**lemma** *incr-mult-lemma*:

**assumes** *dpos*:  $(0::int) < d$  **and** *plus*:  $ALL x::int. P x \longrightarrow P(x + d)$  **and** *knneg*:  
 $0 \leq k$   
**shows**  $ALL x. P x \longrightarrow P(x + k*d)$   
 $\langle proof \rangle$

**lemma** *cppl*:

**assumes** *dp*:  $0 < D$  **and** *p1*:  $\exists z. \forall x > z. P x = P' x$   
**and** *nb*:  $\forall x. (\forall j \in \{1..D\}. \forall (b::int) \in A. x \neq b - j) \longrightarrow P(x) \longrightarrow P(x + D)$   
**and** *pd*:  $\forall x k. P' x = P'(x - k*D)$   
**shows**  $(\exists x. P x) = ((\exists j \in \{1..D\}. P' j) \mid (\exists j \in \{1..D\}. \exists b \in A. P(b - j)))$   
**(is ?L = (?R1  $\vee$  ?R2))**  
 $\langle proof \rangle$

**lemma** *simp-from-to*:  $\{i..j::int\} = (\text{if } j < i \text{ then } \{\} \text{ else insert } i \{i+1..j\})$   
 $\langle proof \rangle$

**theorem** *unity-coeff-ex*:  $(\exists (x::'a::\{\text{semiring-0}, \text{Rings.dvd}\}). P(l * x)) \equiv (\exists x. l \text{ dvd } (x + 0) \wedge P x)$   
 $\langle proof \rangle$

**lemma** *zdvd-mono*: **assumes** *not0*:  $(k::int) \neq 0$   
**shows**  $((m::int) \text{ dvd } t) \equiv (k*m \text{ dvd } k*t)$   
 $\langle proof \rangle$

**lemma** *uminus-dvd-conv*:  $(d \text{ dvd } (t::int)) \equiv (-d \text{ dvd } t) \wedge (d \text{ dvd } (t::int)) \equiv (d \text{ dvd } -t)$   
 $\langle proof \rangle$

Theorems for transforming predicates on nat to predicates on *int*

**lemma** *zdiff-int-split*:  $P(\text{int}(x - y)) = ((y \leq x \longrightarrow P(\text{int } x - \text{int } y)) \wedge (x < y \longrightarrow P 0))$   
 $\langle proof \rangle$

**lemma** *number-of1*:  $(0::int) \leq \text{number-of } n \implies (0::int) \leq \text{number-of } (\text{Int.Bit0 } n) \wedge (0::int) \leq \text{number-of } (\text{Int.Bit1 } n)$   
 $\langle proof \rangle$

**lemma** *number-of2*:  $(0::int) \leq \text{Numeral0} \langle proof \rangle$

Specific instances of congruence rules, to prevent simplifier from looping.

**theorem** *imp-le-cong*:  $(0 \leq x \implies P = P') \implies (0 \leq (x::int) \longrightarrow P) = (0 \leq x \longrightarrow P') \langle proof \rangle$

**theorem** *conj-le-cong*:  $(0 \leq x \implies P = P') \implies (0 \leq (x::int) \wedge P) = (0 \leq x \wedge P')$   
 <proof>

<ML>

**declare** *dvd-eq-mod-eq-0*[*symmetric, presburger*]  
**declare** *mod-1*[*presburger*]  
**declare** *mod-0*[*presburger*]  
**declare** *mod-by-1*[*presburger*]  
**declare** *zmod-zero*[*presburger*]  
**declare** *zmod-self*[*presburger*]  
**declare** *mod-self*[*presburger*]  
**declare** *mod-by-0*[*presburger*]  
**declare** *mod-div-trivial*[*presburger*]  
**declare** *div-mod-equality2*[*presburger*]  
**declare** *div-mod-equality*[*presburger*]  
**declare** *mod-div-equality2*[*presburger*]  
**declare** *mod-div-equality*[*presburger*]  
**declare** *mod-mult-self1*[*presburger*]  
**declare** *mod-mult-self2*[*presburger*]  
**declare** *zdiv-zmod-equality2*[*presburger*]  
**declare** *zdiv-zmod-equality*[*presburger*]  
**declare** *mod2-Suc-Suc*[*presburger*]  
**lemma** [*presburger*]:  $(a::int) \text{ div } 0 = 0$  **and** [*presburger*]:  $a \text{ mod } 0 = a$   
 <proof>

**lemma** [*presburger, algebra*]:  $m \text{ mod } 2 = (1::nat) \longleftrightarrow \neg 2 \text{ dvd } m$  <proof>  
**lemma** [*presburger, algebra*]:  $m \text{ mod } 2 = \text{Suc } 0 \longleftrightarrow \neg 2 \text{ dvd } m$  <proof>  
**lemma** [*presburger, algebra*]:  $m \text{ mod } (\text{Suc } (\text{Suc } 0)) = (1::nat) \longleftrightarrow \neg 2 \text{ dvd } m$   
 <proof>  
**lemma** [*presburger, algebra*]:  $m \text{ mod } (\text{Suc } (\text{Suc } 0)) = \text{Suc } 0 \longleftrightarrow \neg 2 \text{ dvd } m$   
 <proof>  
**lemma** [*presburger, algebra*]:  $m \text{ mod } 2 = (1::int) \longleftrightarrow \neg 2 \text{ dvd } m$  <proof>

**end**

## 40 Hilbert-Choice: Hilbert’s Epsilon-Operator and the Axiom of Choice

**theory** *Hilbert-Choice*  
**imports** *Nat Wellfounded Plain*  
**uses** (*Tools/meson.ML*) (*Tools/choice-specification.ML*)  
**begin**

### 40.1 Hilbert’s epsilon

**axiomatization**  $Eps :: ('a \Rightarrow bool) \Rightarrow 'a$  **where**  
 $someI: P\ x \Rightarrow P\ (Eps\ P)$

**syntax** (*epsilon*)

$-Eps :: [pttrn, bool] \Rightarrow 'a \quad ((\exists \epsilon \text{ -./ -}) [0, 10] 10)$

**syntax** (*HOL*)

$-Eps :: [pttrn, bool] \Rightarrow 'a \quad ((\exists @ \text{ -./ -}) [0, 10] 10)$

**syntax**

$-Eps :: [pttrn, bool] \Rightarrow 'a \quad ((\exists SOME \text{ -./ -}) [0, 10] 10)$

**translations**

$SOME\ x.\ P == CONST\ Eps\ (\%x.\ P)$

$\langle ML \rangle$

**definition**  $inv\text{-}into :: 'a\ set \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'a)$  **where**  
 $inv\text{-}into\ A\ f == \%x.\ SOME\ y.\ y : A \ \&\ f\ y = x$

**abbreviation**  $inv :: ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'a)$  **where**  
 $inv == inv\text{-}into\ UNIV$

### 40.2 Hilbert’s Epsilon-operator

Easier to apply than  $someI$  if the witness comes from an existential formula

**lemma**  $someI\text{-}ex\ [elim?]: \exists x.\ P\ x \Rightarrow P\ (SOME\ x.\ P\ x)$   
 $\langle proof \rangle$

Easier to apply than  $someI$  because the conclusion has only one occurrence of  $P$ .

**lemma**  $someI2: [| P\ a; !!x.\ P\ x \Rightarrow Q\ x |] \Rightarrow Q\ (SOME\ x.\ P\ x)$   
 $\langle proof \rangle$

Easier to apply than  $someI2$  if the witness comes from an existential formula

**lemma**  $someI2\text{-}ex: [| \exists a.\ P\ a; !!x.\ P\ x \Rightarrow Q\ x |] \Rightarrow Q\ (SOME\ x.\ P\ x)$   
 $\langle proof \rangle$

**lemma**  $some\text{-}equality\ [intro]:$

$[| P\ a; !!x.\ P\ x \Rightarrow x=a |] \Rightarrow (SOME\ x.\ P\ x) = a$

$\langle proof \rangle$

**lemma**  $someI\text{-}equality: [| EX!x.\ P\ x; P\ a |] \Rightarrow (SOME\ x.\ P\ x) = a$   
 $\langle proof \rangle$

**lemma**  $some\text{-}eq\text{-}ex: P\ (SOME\ x.\ P\ x) = (\exists x.\ P\ x)$   
 $\langle proof \rangle$

**lemma**  $some\text{-}eq\text{-}trivial\ [simp]: (SOME\ y.\ y=x) = x$   
 $\langle proof \rangle$

**lemma** *some-sym-eq-trivial* [simp]:  $(\text{SOME } y. x=y) = x$   
 $\langle \text{proof} \rangle$

### 40.3 Axiom of Choice, Proved Using the Description Operator

Used in *Tools/meson.ML*

**lemma** *choice*:  $\forall x. \exists y. Q\ x\ y \implies \exists f. \forall x. Q\ x\ (f\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *bchoice*:  $\forall x \in S. \exists y. Q\ x\ y \implies \exists f. \forall x \in S. Q\ x\ (f\ x)$   
 $\langle \text{proof} \rangle$

### 40.4 Function Inverse

**lemma** *inv-def*:  $\text{inv } f = (\%y. \text{SOME } x. f\ x = y)$   
 $\langle \text{proof} \rangle$

**lemma** *inv-into-into*:  $x : f^{-1} A \implies \text{inv-into } A\ f\ x : A$   
 $\langle \text{proof} \rangle$

**lemma** *inv-id* [simp]:  $\text{inv } \text{id} = \text{id}$   
 $\langle \text{proof} \rangle$

**lemma** *inv-into-f-f* [simp]:  
 $[\text{inj-on } f\ A; x : A] \implies \text{inv-into } A\ f\ (f\ x) = x$   
 $\langle \text{proof} \rangle$

**lemma** *inv-f-f*:  $\text{inj } f \implies \text{inv } f\ (f\ x) = x$   
 $\langle \text{proof} \rangle$

**lemma** *f-inv-into-f*:  $y : f^{-1} A \implies f\ (\text{inv-into } A\ f\ y) = y$   
 $\langle \text{proof} \rangle$

**lemma** *inv-into-f-eq*:  $[\text{inj-on } f\ A; x : A; f\ x = y] \implies \text{inv-into } A\ f\ y = x$   
 $\langle \text{proof} \rangle$

**lemma** *inv-f-eq*:  $[\text{inj } f; f\ x = y] \implies \text{inv } f\ y = x$   
 $\langle \text{proof} \rangle$

**lemma** *inj-imp-inv-eq*:  $[\text{inj } f; \text{ALL } x. f(g\ x) = x] \implies \text{inv } f = g$   
 $\langle \text{proof} \rangle$

But is it useful?

**lemma** *inj-transfer*:  
**assumes** *injf*:  $\text{inj } f$  **and** *minor*:  $\forall y. y \in \text{range}(f) \implies P(\text{inv } f\ y)$   
**shows**  $P\ x$



$\langle \text{proof} \rangle$

**lemma** *inj-iff*:  $(\text{inj } f) = (\text{inv } f \circ f = \text{id})$   
 $\langle \text{proof} \rangle$

**lemma** *inv-o-cancel[simp]*:  $\text{inj } f \implies \text{inv } f \circ f = \text{id}$   
 $\langle \text{proof} \rangle$

**lemma** *o-inv-o-cancel[simp]*:  $\text{inj } f \implies g \circ \text{inv } f \circ f = g$   
 $\langle \text{proof} \rangle$

**lemma** *inv-into-image-cancel[simp]*:  
 $\text{inj-on } f \ A \implies S \leq A \implies \text{inv-into } A \ f \ ' \ f \ ' \ S = S$   
 $\langle \text{proof} \rangle$

**lemma** *inj-imp-surj-inv*:  $\text{inj } f \implies \text{surj } (\text{inv } f)$   
 $\langle \text{proof} \rangle$

**lemma** *surj-f-inv-f*:  $\text{surj } f \implies f(\text{inv } f \ y) = y$   
 $\langle \text{proof} \rangle$

**lemma** *inv-into-injective*:  
 assumes *eq*:  $\text{inv-into } A \ f \ x = \text{inv-into } A \ f \ y$   
 and *x*:  $x: f \ ' \ A$   
 and *y*:  $y: f \ ' \ A$   
 shows  $x=y$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-inv-into*:  $B \leq f \ ' \ A \implies \text{inj-on } (\text{inv-into } A \ f) \ B$   
 $\langle \text{proof} \rangle$

**lemma** *bij-betw-inv-into*:  $\text{bij-betw } f \ A \ B \implies \text{bij-betw } (\text{inv-into } A \ f) \ B \ A$   
 $\langle \text{proof} \rangle$

**lemma** *surj-imp-inj-inv*:  $\text{surj } f \implies \text{inj } (\text{inv } f)$   
 $\langle \text{proof} \rangle$

**lemma** *surj-iff*:  $(\text{surj } f) = (f \circ \text{inv } f = \text{id})$   
 $\langle \text{proof} \rangle$

**lemma** *surj-imp-inv-eq*:  $[\text{surj } f; \forall x. g(f \ x) = x] \implies \text{inv } f = g$   
 $\langle \text{proof} \rangle$

**lemma** *bij-imp-bij-inv*:  $\text{bij } f \implies \text{bij } (\text{inv } f)$   
 $\langle \text{proof} \rangle$

**lemma** *inv-equality*:  $[\forall x. g(f \ x) = x; \forall y. f(g \ y) = y] \implies \text{inv } f = g$   
 $\langle \text{proof} \rangle$

**lemma** *inv-inv-eq*:  $\text{bij } f \implies \text{inv } (\text{inv } f) = f$   
 $\langle \text{proof} \rangle$

**lemma** *inv-into-comp*:  
 $\llbracket \text{inj-on } f \text{ } (g \text{ } ^\circ A); \text{inj-on } g \text{ } A; x : f \text{ } ^\circ g \text{ } ^\circ A \rrbracket \implies$   
 $\text{inv-into } A \text{ } (f \text{ } o \text{ } g) \text{ } x = (\text{inv-into } A \text{ } g \text{ } o \text{ } \text{inv-into } (g \text{ } ^\circ A) \text{ } f) \text{ } x$   
 $\langle \text{proof} \rangle$

**lemma** *o-inv-distrib*:  $\llbracket \text{bij } f; \text{bij } g \rrbracket \implies \text{inv } (f \text{ } o \text{ } g) = \text{inv } g \text{ } o \text{ } \text{inv } f$   
 $\langle \text{proof} \rangle$

**lemma** *image-surj-f-inv-f*:  $\text{surj } f \implies f \text{ } ^\circ (\text{inv } f \text{ } ^\circ A) = A$   
 $\langle \text{proof} \rangle$

**lemma** *image-inv-f-f*:  $\text{inj } f \implies (\text{inv } f) \text{ } ^\circ (f \text{ } ^\circ A) = A$   
 $\langle \text{proof} \rangle$

**lemma** *inv-image-comp*:  $\text{inj } f \implies \text{inv } f \text{ } ^\circ (f \text{ } ^\circ X) = X$   
 $\langle \text{proof} \rangle$

**lemma** *bij-image-Collect-eq*:  $\text{bij } f \implies f \text{ } ^\circ \text{Collect } P = \{y. P \text{ } (\text{inv } f \text{ } y)\}$   
 $\langle \text{proof} \rangle$

**lemma** *bij-vimage-eq-inv-image*:  $\text{bij } f \implies f \text{ } -^\circ A = \text{inv } f \text{ } ^\circ A$   
 $\langle \text{proof} \rangle$

**lemma** *finite-fun-UNIVD1*:  
**assumes** *fin*:  $\text{finite } (\text{UNIV} :: ('a \Rightarrow 'b) \text{ set})$   
**and** *card*:  $\text{card } (\text{UNIV} :: 'b \text{ set}) \neq \text{Suc } 0$   
**shows**  $\text{finite } (\text{UNIV} :: 'a \text{ set})$   
 $\langle \text{proof} \rangle$

## 40.5 Other Consequences of Hilbert’s Epsilon

Hilbert’s Epsilon and the *split* Operator

Looping simprule

**lemma** *split-paired-Eps*:  $(\text{SOME } x. P \text{ } x) = (\text{SOME } (a,b). P(a,b))$   
 $\langle \text{proof} \rangle$

**lemma** *Eps-split*:  $\text{Eps } (\text{split } P) = (\text{SOME } xy. P \text{ } (\text{fst } xy) \text{ } (\text{snd } xy))$   
 $\langle \text{proof} \rangle$

**lemma** *Eps-split-eq [simp]*:  $(@ (x',y'). x = x' \ \& \ y = y') = (x,y)$   
 $\langle \text{proof} \rangle$

A relation is wellfounded iff it has no infinite descending chain

**lemma** *wf-iff-no-infinite-down-chain*:  
 $wf\ r = (\sim(\exists f. \forall i. (f(Suc\ i), f\ i) \in r))$   
 $\langle proof \rangle$

**lemma** *wf-no-infinite-down-chainE*:  
**assumes**  $wf\ r$  **obtains**  $k$  **where**  $(f\ (Suc\ k), f\ k) \notin r$   
 $\langle proof \rangle$

A dynamically-scoped fact for TFL

**lemma** *tfl-some*:  $\forall P\ x. P\ x \dashrightarrow P\ (Eps\ P)$   
 $\langle proof \rangle$

## 40.6 Least value operator

**definition**

$LeastM :: [ 'a \Rightarrow 'b::ord, 'a \Rightarrow bool ] \Rightarrow 'a$  **where**  
 $LeastM\ m\ P == SOME\ x. P\ x \ \&\ (\forall y. P\ y \dashrightarrow m\ x \leq m\ y)$

**syntax**

$-LeastM :: [pttrn, 'a \Rightarrow 'b::ord, bool] \Rightarrow 'a$  (*LEAST* - *WRT*  $\cdot$  -  $[0, 4, 10]$   
 $10)$

**translations**

$LEAST\ x\ WRT\ m. P == CONST\ LeastM\ m\ (\%x. P)$

**lemma** *LeastMI2*:

$P\ x \implies (!y. P\ y \implies m\ x \leq m\ y)$   
 $\implies (!x. P\ x \implies \forall y. P\ y \dashrightarrow m\ x \leq m\ y \implies Q\ x)$   
 $\implies Q\ (LeastM\ m\ P)$   
 $\langle proof \rangle$

**lemma** *LeastM-equality*:

$P\ k \implies (!x. P\ x \implies m\ k \leq m\ x)$   
 $\implies m\ (LEAST\ x\ WRT\ m. P\ x) = (m\ k::'a::order)$   
 $\langle proof \rangle$

**lemma** *wf-linord-ex-has-least*:

$wf\ r \implies \forall x\ y. ((x,y):r^+) = ((y,x):r^*) \implies P\ k$   
 $\implies \exists x. P\ x \ \&\ (!y. P\ y \dashrightarrow (m\ x, m\ y):r^*)$   
 $\langle proof \rangle$

**lemma** *ex-has-least-nat*:

$P\ k \implies \exists x. P\ x \ \&\ (\forall y. P\ y \dashrightarrow m\ x \leq (m\ y::nat))$   
 $\langle proof \rangle$

**lemma** *LeastM-nat-lemma*:

$P\ k \implies P\ (LeastM\ m\ P) \ \&\ (\forall y. P\ y \dashrightarrow m\ (LeastM\ m\ P) \leq (m\ y::nat))$   
 $\langle proof \rangle$

**lemmas**  $LeastM-natI = LeastM-nat-lemma\ [THEN\ conjunct1, standard]$

**lemma** *LeastM-nat-le*:  $P\ x \implies m\ (LeastM\ m\ P) \leq (m\ x::nat)$   
 $\langle proof \rangle$

## 40.7 Greatest value operator

### definition

$GreatestM :: [a \Rightarrow b::ord, a \Rightarrow bool] \Rightarrow a$  **where**  
 $GreatestM\ m\ P == SOME\ x.\ P\ x \ \&\ (\forall y.\ P\ y \longrightarrow m\ y \leq m\ x)$

### definition

$Greatest :: (a::ord \Rightarrow bool) \Rightarrow a$  (**binder** *GREATEST* 10) **where**  
 $Greatest == GreatestM\ (\%x.\ x)$

### syntax

$-GreatestM :: [pttrn, a \Rightarrow b::ord, bool] \Rightarrow a$   
 $(GREATEST - WRT\ -. - [0, 4, 10]\ 10)$

### translations

$GREATEST\ x\ WRT\ m.\ P == CONST\ GreatestM\ m\ (\%x.\ P)$

### lemma *GreatestMI2*:

$P\ x \implies (!y.\ P\ y \implies m\ y \leq m\ x)$   
 $\implies (!x.\ P\ x \implies \forall y.\ P\ y \longrightarrow m\ y \leq m\ x \implies Q\ x)$   
 $\implies Q\ (GreatestM\ m\ P)$   
 $\langle proof \rangle$

### lemma *GreatestM-equality*:

$P\ k \implies (!x.\ P\ x \implies m\ x \leq m\ k)$   
 $\implies m\ (GREATEST\ x\ WRT\ m.\ P\ x) = (m\ k::'a::order)$   
 $\langle proof \rangle$

### lemma *Greatest-equality*:

$P\ (k::'a::order) \implies (!x.\ P\ x \implies x \leq k) \implies (GREATEST\ x.\ P\ x) = k$   
 $\langle proof \rangle$

### lemma *ex-has-greatest-nat-lemma*:

$P\ k \implies \forall x.\ P\ x \longrightarrow (\exists y.\ P\ y \ \&\ \sim ((m\ y::nat) \leq m\ x))$   
 $\implies \exists y.\ P\ y \ \&\ \sim (m\ y < m\ k + n)$   
 $\langle proof \rangle$

### lemma *ex-has-greatest-nat*:

$P\ k \implies \forall y.\ P\ y \longrightarrow m\ y < b$   
 $\implies \exists x.\ P\ x \ \&\ (\forall y.\ P\ y \longrightarrow (m\ y::nat) \leq m\ x)$   
 $\langle proof \rangle$

### lemma *GreatestM-nat-lemma*:

$P\ k \implies \forall y.\ P\ y \longrightarrow m\ y < b$   
 $\implies P\ (GreatestM\ m\ P) \ \&\ (\forall y.\ P\ y \longrightarrow (m\ y::nat) \leq m\ (GreatestM\ m\ P))$

$\langle \text{proof} \rangle$

**lemmas** *GreatestM-natI* = *GreatestM-nat-lemma* [*THEN conjunct1, standard*]

**lemma** *GreatestM-nat-le*:

$P\ x ==> \forall y. P\ y \longrightarrow m\ y < b$   
 $==> (m\ x::nat) <= m\ (GreatestM\ m\ P)$   
 $\langle \text{proof} \rangle$

Specialization to *GREATEST*.

**lemma** *GreatestI*:  $P\ (k::nat) ==> \forall y. P\ y \longrightarrow y < b ==> P\ (GREATEST\ x. P\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *Greatest-le*:

$P\ x ==> \forall y. P\ y \longrightarrow y < b ==> (x::nat) <= (GREATEST\ x. P\ x)$   
 $\langle \text{proof} \rangle$

## 40.8 The Meson proof procedure

### 40.8.1 Negation Normal Form

de Morgan laws

**lemma** *meson-not-conjD*:  $\sim(P \& Q) ==> \sim P \mid \sim Q$   
**and** *meson-not-disjD*:  $\sim(P \mid Q) ==> \sim P \& \sim Q$   
**and** *meson-not-notD*:  $\sim\sim P ==> P$   
**and** *meson-not-allD*:  $!!P. \sim(\forall x. P(x)) ==> \exists x. \sim P(x)$   
**and** *meson-not-exD*:  $!!P. \sim(\exists x. P(x)) ==> \forall x. \sim P(x)$   
 $\langle \text{proof} \rangle$

Removal of  $\longrightarrow$  and  $\longleftrightarrow$  (positive and negative occurrences)

**lemma** *meson-imp-to-disjD*:  $P \longrightarrow Q ==> \sim P \mid Q$   
**and** *meson-not-impD*:  $\sim(P \longrightarrow Q) ==> P \& \sim Q$   
**and** *meson-iff-to-disjD*:  $P = Q ==> (\sim P \mid Q) \& (\sim Q \mid P)$   
**and** *meson-not-iffD*:  $\sim(P = Q) ==> (P \mid Q) \& (\sim P \mid \sim Q)$   
 — Much more efficient than  $P \wedge \neg Q \vee Q \wedge \neg P$  for computing CNF  
**and** *meson-not-refl-disj-D*:  $x \sim = x \mid P ==> P$   
 $\langle \text{proof} \rangle$

### 40.8.2 Pulling out the existential quantifiers

Conjunction

**lemma** *meson-conj-exD1*:  $!!P\ Q. (\exists x. P(x)) \& Q ==> \exists x. P(x) \& Q$   
**and** *meson-conj-exD2*:  $!!P\ Q. P \& (\exists x. Q(x)) ==> \exists x. P \& Q(x)$   
 $\langle \text{proof} \rangle$

Disjunction

**lemma** *meson-disj-exD*:  $!!P \ Q. (\exists x. P(x)) \mid (\exists x. Q(x)) \implies \exists x. P(x) \mid Q(x)$   
 — DO NOT USE with forall-Skolemization: makes fewer schematic variables!!  
 — With ex-Skolemization, makes fewer Skolem constants  
**and** *meson-disj-exD1*:  $!!P \ Q. (\exists x. P(x)) \mid Q \implies \exists x. P(x) \mid Q$   
**and** *meson-disj-exD2*:  $!!P \ Q. P \mid (\exists x. Q(x)) \implies \exists x. P \mid Q(x)$   
 $\langle proof \rangle$

### 40.8.3 Generating clauses for the Meson Proof Procedure

Disjunctions

**lemma** *meson-disj-assoc*:  $(P \mid Q) \mid R \implies P \mid (Q \mid R)$   
**and** *meson-disj-comm*:  $P \mid Q \implies Q \mid P$   
**and** *meson-disj-FalseD1*:  $False \mid P \implies P$   
**and** *meson-disj-FalseD2*:  $P \mid False \implies P$   
 $\langle proof \rangle$

## 40.9 Lemmas for Meson, the Model Elimination Procedure

Generation of contrapositives

Inserts negated disjunct after removing the negation; P is a literal. Model elimination requires assuming the negation of every attempted subgoal, hence the negated disjuncts.

**lemma** *make-neg-rule*:  $\sim P \mid Q \implies ((\sim P \implies P) \implies Q)$   
 $\langle proof \rangle$

Version for Plaisted’s “Positive refinement” of the Meson procedure

**lemma** *make-refined-neg-rule*:  $\sim P \mid Q \implies (P \implies Q)$   
 $\langle proof \rangle$

P should be a literal

**lemma** *make-pos-rule*:  $P \mid Q \implies ((P \implies \sim P) \implies Q)$   
 $\langle proof \rangle$

Versions of *make-neg-rule* and *make-pos-rule* that don’t insert new assumptions, for ordinary resolution.

**lemmas** *make-neg-rule'* = *make-refined-neg-rule*

**lemma** *make-pos-rule'*:  $[P \mid Q; \sim P] \implies Q$   
 $\langle proof \rangle$

Generation of a goal clause – put away the final literal

**lemma** *make-neg-goal*:  $\sim P \implies ((\sim P \implies P) \implies False)$   
 $\langle proof \rangle$

**lemma** *make-pos-goal*:  $P \implies ((P \implies \sim P) \implies False)$   
 $\langle proof \rangle$

### 40.9.1 Lemmas for Forward Proof

There is a similarity to congruence rules

**lemma** *conj-forward*:  $[[ P' \& Q'; P' ==> P; Q' ==> Q ]] ==> P \& Q$   
 $\langle proof \rangle$

**lemma** *disj-forward*:  $[[ P' | Q'; P' ==> P; Q' ==> Q ]] ==> P | Q$   
 $\langle proof \rangle$

**lemma** *disj-forward2*:  
 $[[ P' | Q'; P' ==> P; [[ Q'; P ==> False ]] ==> Q ]] ==> P | Q$   
 $\langle proof \rangle$

**lemma** *all-forward*:  $[[ \forall x. P'(x); !!x. P'(x) ==> P(x) ]] ==> \forall x. P(x)$   
 $\langle proof \rangle$

**lemma** *ex-forward*:  $[[ \exists x. P'(x); !!x. P'(x) ==> P(x) ]] ==> \exists x. P(x)$   
 $\langle proof \rangle$

### 40.10 Meson package

$\langle ML \rangle$

### 40.11 Specification package – Hilbertized version

**lemma** *exE-some*:  $[[ Ex P ; c == Eps P ]] ==> P c$   
 $\langle proof \rangle$

$\langle ML \rangle$

end

## 41 Sledgehammer: Sledgehammer: Isabelle–ATP Linkup

**theory** *Sledgehammer*

**imports** *Plain Hilbert-Choice*

**uses**

~~/src/Tools/Metis/metis.ML  
 Tools/Sledgehammer/sledgehammer-util.ML  
 (Tools/Sledgehammer/sledgehammer-fol-clause.ML)  
 (Tools/Sledgehammer/sledgehammer-fact-preprocessor.ML)  
 (Tools/Sledgehammer/sledgehammer-hol-clause.ML)  
 (Tools/Sledgehammer/sledgehammer-proof-reconstruct.ML)  
 (Tools/Sledgehammer/sledgehammer-fact-filter.ML)

(*Tools/ATP-Manager/atp-manager.ML*)  
 (*Tools/ATP-Manager/atp-systems.ML*)  
 (*Tools/Sledgehammer/sledgehammer-fact-minimizer.ML*)  
 (*Tools/Sledgehammer/sledgehammer-isar.ML*)  
 (*Tools/Sledgehammer/meson-tactic.ML*)  
 (*Tools/Sledgehammer/metis-tactics.ML*)  
**begin**

**definition** *COMBI* ::  $'a \Rightarrow 'a$  **where**  
 $[no-atp]: COMBI\ P \equiv P$

**definition** *COMBK* ::  $'a \Rightarrow 'b \Rightarrow 'a$  **where**  
 $[no-atp]: COMBK\ P\ Q \equiv P$

**definition** *COMBB* ::  $('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'c$  **where**  $[no-atp]:$   
 $COMBB\ P\ Q\ R \equiv P\ (Q\ R)$

**definition** *COMBC* ::  $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'b \Rightarrow 'a \Rightarrow 'c$  **where**  
 $[no-atp]: COMBC\ P\ Q\ R \equiv P\ R\ Q$

**definition** *COMBS* ::  $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'c$  **where**  
 $[no-atp]: COMBS\ P\ Q\ R \equiv P\ R\ (Q\ R)$

**definition** *fequal* ::  $'a \Rightarrow 'a \Rightarrow bool$  **where**  $[no-atp]:$   
 $fequal\ X\ Y \equiv (X = Y)$

**lemma** *fequal-imp-equal*  $[no-atp]: fequal\ X\ Y \Longrightarrow X = Y$   
 $\langle proof \rangle$

**lemma** *equal-imp-fequal*  $[no-atp]: X = Y \Longrightarrow fequal\ X\ Y$   
 $\langle proof \rangle$

These two represent the equivalence between Boolean equality and iff. They can't be converted to clauses automatically, as the iff would be expanded...

**lemma** *iff-positive*:  $P \vee Q \vee P = Q$   
 $\langle proof \rangle$

**lemma** *iff-negative*:  $\neg P \vee \neg Q \vee P = Q$   
 $\langle proof \rangle$

Theorems for translation to combinators

**lemma** *abs-S*  $[no-atp]: \lambda x. (f\ x)\ (g\ x) \equiv COMBS\ f\ g$   
 $\langle proof \rangle$

**lemma** *abs-I*  $[no-atp]: \lambda x. x \equiv COMBI$   
 $\langle proof \rangle$

**lemma** *abs-K*  $[no-atp]: \lambda x. y \equiv COMBK\ y$   
 $\langle proof \rangle$



**lemma** *abs-B* [*no-atp*]:  $\lambda x. a (g x) \equiv COMBB a g$   
 $\langle proof \rangle$

**lemma** *abs-C* [*no-atp*]:  $\lambda x. (f x) b \equiv COMBC f b$   
 $\langle proof \rangle$

#### 41.1 Setup of external ATPs

$\langle ML \rangle$

#### 41.2 The MESON prover

$\langle ML \rangle$

#### 41.3 The Metis prover

$\langle ML \rangle$

**end**

### 42 Recdef: TFL: recursive function definitions

**theory** *Recdef*

**imports** *FunDef Plain*

**uses**

(*Tools/TFL/casesplit.ML*)

(*Tools/TFL/utls.ML*)

(*Tools/TFL/usyntax.ML*)

(*Tools/TFL/dcterm.ML*)

(*Tools/TFL/thms.ML*)

(*Tools/TFL/rules.ML*)

(*Tools/TFL/thry.ML*)

(*Tools/TFL/tfl.ML*)

(*Tools/TFL/post.ML*)

(*Tools/recdef.ML*)

**begin**

**inductive**

*wfrec-rel* ::  $('a * 'a) \text{ set} \Rightarrow (('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow \text{bool}$

**for** *R* ::  $('a * 'a) \text{ set}$

**and** *F* ::  $('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$

**where**

*wfrecI*:  $ALL z. (z, x) : R \longrightarrow wfrec-rel R F z (g z) \implies$

$wfrec-rel R F x (F g x)$

**definition**

*cut* ::  $('a \Rightarrow 'b) \Rightarrow ('a * 'a) \text{ set} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'b$  **where**

$cut\ f\ r\ x == (\%y. \text{ if } (y,x):r \text{ then } f\ y \text{ else undefined})$

**definition**

$adm\text{-}wf :: ('a * 'a) \text{ set} ==> (('a ==> 'b) ==> 'a ==> 'b) ==> \text{bool}$  **where**  
 $adm\text{-}wf\ R\ F == ALL\ f\ g\ x.$   
 $(ALL\ z. (z, x) : R \dashrightarrow f\ z = g\ z) \dashrightarrow F\ f\ x = F\ g\ x$

**definition**

$wfrec :: ('a * 'a) \text{ set} ==> (('a ==> 'b) ==> 'a ==> 'b) ==> 'a ==> 'b$  **where**  
 $[code\ del]: wfrec\ R\ F == \%x. \text{ THE } y. wfrec\text{-}rel\ R\ (\%f\ x. F\ (cut\ f\ R\ x)\ x)\ x\ y$

## 42.1 Well-Founded Recursion

cut

**lemma** *cuts-eq*:  $(cut\ f\ r\ x = cut\ g\ r\ x) = (ALL\ y. (y,x):r \dashrightarrow f(y)=g(y))$   
 $\langle proof \rangle$

**lemma** *cut-apply*:  $(x,a):r ==> (cut\ f\ r\ a)(x) = f(x)$   
 $\langle proof \rangle$

Inductive characterization of wfrec combinator; for details see: John Harrison, "Inductive definitions: automation and application"

**lemma** *wfrec-unique*:  $[[\ adm\text{-}wf\ R\ F; wf\ R\ ]] ==> EX! y. wfrec\text{-}rel\ R\ F\ x\ y$   
 $\langle proof \rangle$

**lemma** *adm-lemma*:  $adm\text{-}wf\ R\ (\%f\ x. F\ (cut\ f\ R\ x)\ x)$   
 $\langle proof \rangle$

**lemma** *wfrec*:  $wf(r) ==> wfrec\ r\ H\ a = H\ (cut\ (wfrec\ r\ H)\ r\ a)\ a$   
 $\langle proof \rangle$

\* This form avoids giant explosions in proofs. NOTE USE OF ==

**lemma** *def-wfrec*:  $[[\ f == wfrec\ r\ H; wf(r)\ ]] ==> f(a) = H\ (cut\ f\ r\ a)\ a$   
 $\langle proof \rangle$

**lemma** *tfl-wf-induct*:  $ALL\ R. wf\ R \dashrightarrow$   
 $(ALL\ P. (ALL\ x. (ALL\ y. (y,x):R \dashrightarrow P\ y) \dashrightarrow P\ x) \dashrightarrow (ALL\ x. P\ x))$   
 $\langle proof \rangle$

**lemma** *tfl-cut-apply*:  $ALL\ f\ R. (x,a):R \dashrightarrow (cut\ f\ R\ a)(x) = f(x)$   
 $\langle proof \rangle$

**lemma** *tfl-wfrec*:

$ALL\ M\ R\ f. (f == wfrec\ R\ M) \dashrightarrow wf\ R \dashrightarrow (ALL\ x. f\ x = M\ (cut\ f\ R\ x)\ x)$   
 $\langle proof \rangle$

**lemma** *tfl-eq-True*:  $(x = \text{True}) \dashrightarrow x$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-rev-eq-mp*:  $(x = y) \dashrightarrow y \dashrightarrow x$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-simp-thm*:  $(x \dashrightarrow y) \dashrightarrow (x = x') \dashrightarrow (x' \dashrightarrow y)$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-P-imp-P-iff-True*:  $P \implies P = \text{True}$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-imp-trans*:  $(A \dashrightarrow B) \implies (B \dashrightarrow C) \implies (A \dashrightarrow C)$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-disj-assoc*:  $(a \vee b) \vee c == a \vee (b \vee c)$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-disjE*:  $P \vee Q \implies P \dashrightarrow R \implies Q \dashrightarrow R \implies R$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-exE*:  $\exists x. P x \implies \forall x. P x \dashrightarrow Q \implies Q$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

Wellfoundedness of *same-fst*

**definition**

*same-fst* ::  $('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow ('b * 'b)\text{set}) \Rightarrow (('a * 'b) * ('a * 'b))\text{set}$

**where**

*same-fst*  $P R == \{((x', y'), (x, y)) \mid x' = x \ \& \ P x \ \& \ (y', y) : R x\}$

— For *rec-def* declarations where the first  $n$  parameters stay unchanged in the recursive call.

**lemma** *same-fstI* [*intro!*]:

$[[ P x; (y', y) : R x ]] \implies ((x, y'), (x, y)) : \text{same-fst } P R$   
 $\langle \text{proof} \rangle$

**lemma** *wf-same-fst*:

**assumes** *prem*:  $(!!x. P x \implies \text{wf}(R x))$

**shows**  $\text{wf}(\text{same-fst } P R)$

$\langle \text{proof} \rangle$

Rule setup

**lemmas** [*recdef-simp*] =

*inv-image-def*

*measure-def*

*lex-prod-def*

*same-fst-def*

```

less-Suc-eq [THEN iffD2]

lemmas [recdef-cong] =
  if-cong let-cong image-cong INT-cong UN-cong bex-cong ball-cong imp-cong

lemmas [recdef-wf] =
  wf-trancl
  wf-less-than
  wf-lex-prod
  wf-inv-image
  wf-measure
  wf-pred-nat
  wf-same-fst
  wf-empty

end

```

## 43 List: The datatype of finite lists

```

theory List
imports Plain Presburger Sledgehammer Recdef
uses (Tools/list-code.ML)
begin

datatype 'a list =
  Nil    ([])
  | Cons 'a 'a list  (infixr # 65)

syntax
  — list Enumeration
  -list :: args => 'a list  ([(-)])

translations
  [x, xs] == x#[xs]
  [x] == x#[]

```

### 43.1 Basic list processing functions

```

primrec
  hd :: 'a list => 'a where
  hd (x # xs) = x

primrec
  tl :: 'a list => 'a list where
  tl [] = []
  | tl (x # xs) = xs

primrec

```

*last* :: 'a list  $\Rightarrow$  'a **where**  
*last* (*x* # *xs*) = (if *xs* = [] then *x* else *last xs*)

**primrec**

*butlast* :: 'a list  $\Rightarrow$  'a list **where**  
*butlast* [] = []  
 | *butlast* (*x* # *xs*) = (if *xs* = [] then [] else *x* # *butlast xs*)

**primrec**

*set* :: 'a list  $\Rightarrow$  'a set **where**  
*set* [] = {}  
 | *set* (*x* # *xs*) = insert *x* (*set xs*)

**primrec**

*map* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a list  $\Rightarrow$  'b list **where**  
*map* *f* [] = []  
 | *map* *f* (*x* # *xs*) = *f* *x* # *map f xs*

**primrec**

*append* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list (**infixr** @ 65) **where**  
*append-Nil*: [] @ *ys* = *ys*  
 | *append-Cons*: (*x* # *xs*) @ *ys* = *x* # *xs* @ *ys*

**primrec**

*rev* :: 'a list  $\Rightarrow$  'a list **where**  
*rev* [] = []  
 | *rev* (*x* # *xs*) = *rev xs* @ [*x*]

**primrec**

*filter* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list **where**  
*filter* *P* [] = []  
 | *filter* *P* (*x* # *xs*) = (if *P* *x* then *x* # *filter P xs* else *filter P xs*)

**syntax**

— Special syntax for filter  
 -*filter* :: [*pttrn*, 'a list, bool]  $\Rightarrow$  'a list ((1[-<--./ -]))

**translations**

[*x* < - *xs* . *P*] == CONST *filter* (%*x*. *P*) *xs*

**syntax** (*xsymbols*)

-*filter* :: [*pttrn*, 'a list, bool]  $\Rightarrow$  'a list ((1[<--./ -]))

**syntax** (*HTML output*)

-*filter* :: [*pttrn*, 'a list, bool]  $\Rightarrow$  'a list ((1[<--./ -]))

**primrec**

*foldl* :: ('b  $\Rightarrow$  'a  $\Rightarrow$  'b)  $\Rightarrow$  'b  $\Rightarrow$  'a list  $\Rightarrow$  'b **where**  
*foldl-Nil*: *foldl f a* [] = *a*  
 | *foldl-Cons*: *foldl f a* (*x* # *xs*) = *foldl f* (*f a x*) *xs*

**primrec**

$foldr :: ('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a\ list \Rightarrow 'b \Rightarrow 'b$  **where**  
 $foldr\ f\ []\ a = a$   
 $| foldr\ f\ (x \# xs)\ a = f\ x\ (foldr\ f\ xs\ a)$

**primrec**

$concat :: 'a\ list\ list \Rightarrow 'a\ list$  **where**  
 $concat\ [] = []$   
 $| concat\ (x \# xs) = x\ @\ concat\ xs$

**primrec (in monoid-add)**

$listsum :: 'a\ list \Rightarrow 'a$  **where**  
 $listsum\ [] = 0$   
 $| listsum\ (x \# xs) = x + listsum\ xs$

**primrec**

$drop :: nat \Rightarrow 'a\ list \Rightarrow 'a\ list$  **where**  
 $drop\ Nil: drop\ n\ [] = []$   
 $| drop\ Cons: drop\ n\ (x \# xs) = (case\ n\ of\ 0 \Rightarrow x \# xs \mid Suc\ m \Rightarrow drop\ m\ xs)$   
 — Warning: simpset does not contain this definition, but separate theorems for  
 $n = 0$  and  $n = Suc\ k$

**primrec**

$take :: nat \Rightarrow 'a\ list \Rightarrow 'a\ list$  **where**  
 $take\ Nil: take\ n\ [] = []$   
 $| take\ Cons: take\ n\ (x \# xs) = (case\ n\ of\ 0 \Rightarrow [] \mid Suc\ m \Rightarrow x \# take\ m\ xs)$   
 — Warning: simpset does not contain this definition, but separate theorems for  
 $n = 0$  and  $n = Suc\ k$

**primrec**

$nth :: 'a\ list \Rightarrow nat \Rightarrow 'a$  (**infixl ! 100**) **where**  
 $nth\ Cons: (x \# xs) ! n = (case\ n\ of\ 0 \Rightarrow x \mid Suc\ k \Rightarrow xs ! k)$   
 — Warning: simpset does not contain this definition, but separate theorems for  
 $n = 0$  and  $n = Suc\ k$

**primrec**

$list-update :: 'a\ list \Rightarrow nat \Rightarrow 'a \Rightarrow 'a\ list$  **where**  
 $list-update\ []\ i\ v = []$   
 $| list-update\ (x \# xs)\ i\ v = (case\ i\ of\ 0 \Rightarrow v \# xs \mid Suc\ j \Rightarrow x \# list-update\ xs\ j\ v)$

**nonterminals lupdbinds lupdbind****syntax**

$-lupdbind :: ['a, 'a] \Rightarrow lupdbind\ ((2- := / -))$   
 $:: lupdbind \Rightarrow lupdbinds\ (-)$   
 $-lupdbinds :: [lupdbind, lupdbinds] \Rightarrow lupdbinds\ (-, / -)$   
 $-LUpdate :: ['a, lupdbinds] \Rightarrow 'a\ (-/[(-)]\ [900, 0]\ 900)$

**translations**

$-LUpdate\ xs\ (-lupdbinds\ b\ bs) == -LUpdate\ (-LUpdate\ xs\ b)\ bs$   
 $xs[i:=x] == CONST\ list-update\ xs\ i\ x$

**primrec**

$takeWhile :: ('a \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'a\ list$  **where**  
 $takeWhile\ P\ [] = []$   
 $| takeWhile\ P\ (x \# xs) = (if\ P\ x\ then\ x \# takeWhile\ P\ xs\ else\ [])$

**primrec**

$dropWhile :: ('a \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'a\ list$  **where**  
 $dropWhile\ P\ [] = []$   
 $| dropWhile\ P\ (x \# xs) = (if\ P\ x\ then\ dropWhile\ P\ xs\ else\ x \# xs)$

**primrec**

$zip :: 'a\ list \Rightarrow 'b\ list \Rightarrow ('a \times 'b)\ list$  **where**  
 $zip\ xs\ [] = []$   
 $| zip-Cons: zip\ xs\ (y \# ys) = (case\ xs\ of\ [] \Rightarrow [] \mid z \# zs \Rightarrow (z, y) \# zip\ zs\ ys)$   
 — Warning: simpset does not contain this definition, but separate theorems for  
 $xs = []$  and  $xs = z \# zs$

**primrec**

$upt :: nat \Rightarrow nat \Rightarrow nat\ list\ ((1[-..</-])$  **where**  
 $upt-0: [i..<0] = []$   
 $| upt-Suc: [i..<(Suc\ j)] = (if\ i \leq j\ then\ [i..<j] @ [j]\ else\ [])$

**primrec**

$distinct :: 'a\ list \Rightarrow bool$  **where**  
 $distinct\ [] \longleftrightarrow True$   
 $| distinct\ (x \# xs) \longleftrightarrow x \notin set\ xs \wedge distinct\ xs$

**primrec**

$remdups :: 'a\ list \Rightarrow 'a\ list$  **where**  
 $remdups\ [] = []$   
 $| remdups\ (x \# xs) = (if\ x \in set\ xs\ then\ remdups\ xs\ else\ x \# remdups\ xs)$

**definition**

$insert :: 'a \Rightarrow 'a\ list \Rightarrow 'a\ list$  **where**  
 $insert\ x\ xs = (if\ x \in set\ xs\ then\ xs\ else\ x \# xs)$

**hide-const (open)**  $insert$

**hide-fact (open)**  $insert-def$

**primrec**

$remove1 :: 'a \Rightarrow 'a\ list \Rightarrow 'a\ list$  **where**  
 $remove1\ x\ [] = []$   
 $| remove1\ x\ (y \# xs) = (if\ x = y\ then\ xs\ else\ y \# remove1\ x\ xs)$

**primrec**

$removeAll :: 'a \Rightarrow 'a\ list \Rightarrow 'a\ list$  **where**  
 $removeAll\ x\ [] = []$   
 $| removeAll\ x\ (y \# xs) = (if\ x = y\ then\ removeAll\ x\ xs\ else\ y \# removeAll\ x\ xs)$

**primrec**

$replicate :: nat \Rightarrow 'a \Rightarrow 'a\ list$  **where**  
 $replicate\ 0\ x = []$   
 $| replicate\ Suc\ n\ x = x \# replicate\ n\ x$

Function *size* is overloaded for all datatypes. Users may refer to the list version as *length*.

**abbreviation**

$length :: 'a\ list \Rightarrow nat$  **where**  
 $length \equiv size$

**definition**

$rotate1 :: 'a\ list \Rightarrow 'a\ list$  **where**  
 $rotate1\ xs = (case\ xs\ of\ [] \Rightarrow [] \mid x \# xs \Rightarrow xs @ [x])$

**definition**

$rotate :: nat \Rightarrow 'a\ list \Rightarrow 'a\ list$  **where**  
 $rotate\ n = rotate1 \wedge^{\wedge} n$

**definition**

$list\_all2 :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'b\ list \Rightarrow bool$  **where**  
 $[code\ del]: list\_all2\ P\ xs\ ys =$   
 $(length\ xs = length\ ys \wedge (\forall (x, y) \in set\ (zip\ xs\ ys). P\ x\ y))$

**definition**

$sublist :: 'a\ list \Rightarrow nat\ set \Rightarrow 'a\ list$  **where**  
 $sublist\ xs\ A = map\ fst\ (filter\ (\lambda p. snd\ p \in A)\ (zip\ xs\ [0..<size\ xs]))$

**primrec**

$splice :: 'a\ list \Rightarrow 'a\ list \Rightarrow 'a\ list$  **where**  
 $splice\ []\ ys = ys$   
 $| splice\ (x \# xs)\ ys = (if\ ys = []\ then\ x \# xs\ else\ x \# hd\ ys \# splice\ xs\ (tl\ ys))$   
 — Warning: simpset does not contain the second eqn but a derived one.

Figure 1 shows characteristic examples that should give an intuitive understanding of the above functions.

The following simple sort functions are intended for proofs, not for efficient implementations.

**context** *linorder***begin**

**fun** *sorted* ::  $'a\ list \Rightarrow bool$  **where**



```

[a, b] @ [c, d] = [a, b, c, d]
length [a, b, c] = 3
set [a, b, c] = {a, b, c}
map f [a, b, c] = [f a, f b, f c]
rev [a, b, c] = [c, b, a]
hd [a, b, c, d] = a
tl [a, b, c, d] = [b, c, d]
last [a, b, c, d] = d
butlast [a, b, c, d] = [a, b, c]
filter (λn::nat. n<2) [0,2,1] = [0,1]
concat [[a, b], [c, d, e], [], [f]] = [a, b, c, d, e, f]
foldl f x [a, b, c] = f (f (f x a) b) c
foldr f [a, b, c] x = f a (f b (f c x))
zip [a, b, c] [x, y, z] = [(a, x), (b, y), (c, z)]
zip [a, b] [x, y, z] = [(a, x), (b, y)]
splice [a, b, c] [x, y, z] = [a, x, b, y, c, z]
splice [a, b, c, d] [x, y] = [a, x, b, y, c, d]
take 2 [a, b, c, d] = [a, b]
take 6 [a, b, c, d] = [a, b, c, d]
drop 2 [a, b, c, d] = [c, d]
drop 6 [a, b, c, d] = []
takeWhile (λn. n < 3) [1, 2, 3, 0] = [1, 2]
dropWhile (λn. n < 3) [1, 2, 3, 0] = [3, 0]
distinct [2, 0, 1]
remdups [2, 0, 2, 1, 2] = [0, 1, 2]
List.insert 2 [0, 1, 2] = [0, 1, 2]
List.insert 3 [0, 1, 2] = [3, 0, 1, 2]
remove1 2 [2, 0, 2, 1, 2] = [0, 2, 1, 2]
removeAll 2 [2, 0, 2, 1, 2] = [0, 1]
[a, b, c, d] ! 2 = c
[a, b, c, d][2 := x] = [a, b, x, d]
sublist [a, b, c, d, e] {0, 2, 3} = [a, c, d]
rotate1 [a, b, c, d] = [b, c, d, a]
rotate 3 [a, b, c, d] = [d, a, b, c]
replicate 4 a = [a, a, a, a]
[2..<5] = [2, 3, 4]
listsum [1, 2, 3] = 6

```

Figure 1: Characteristic examples

$sorted [] \longleftrightarrow True$  |  
 $sorted [x] \longleftrightarrow True$  |  
 $sorted (x \# y \# zs) \longleftrightarrow x \leq y \wedge sorted (y \# zs)$

**primrec**  $insort\text{-}key :: ('b \Rightarrow 'a) \Rightarrow 'b \Rightarrow 'b\ list \Rightarrow 'b\ list$  **where**  
 $insort\text{-}key\ f\ x\ [] = [x]$  |  
 $insort\text{-}key\ f\ x\ (y \# ys) = (if\ f\ x \leq f\ y\ then\ (x \# y \# ys)\ else\ y \# (insort\text{-}key\ f\ x\ ys))$

**definition**  $sort\text{-}key :: ('b \Rightarrow 'a) \Rightarrow 'b\ list \Rightarrow 'b\ list$  **where**  
 $sort\text{-}key\ f\ xs = foldr\ (insort\text{-}key\ f)\ xs\ []$

**abbreviation**  $sort \equiv sort\text{-}key\ (\lambda x. x)$   
**abbreviation**  $insort \equiv insort\text{-}key\ (\lambda x. x)$

**definition**  $insort\text{-}insert :: 'a \Rightarrow 'a\ list \Rightarrow 'a\ list$  **where**  
 $insort\text{-}insert\ x\ xs = (if\ x \in set\ xs\ then\ xs\ else\ insort\ x\ xs)$

**end**

#### 43.1.1 List comprehension

Input syntax for Haskell-like list comprehension notation. Typical example:  $[(x,y). x \leftarrow xs, y \leftarrow ys, x \neq y]$ , the list of all pairs of distinct elements from  $xs$  and  $ys$ . The syntax is as in Haskell, except that  $|$  becomes a dot (like in Isabelle’s set comprehension):  $[e. x \leftarrow xs, \dots]$  rather than  $[e \mid x \leftarrow xs, \dots]$ .

The qualifiers after the dot are

**generators**  $p \leftarrow xs$ , where  $p$  is a pattern and  $xs$  an expression of list type,  
or

**guards**  $b$ , where  $b$  is a boolean expression.

Just like in Haskell, list comprehension is just a shorthand. To avoid misunderstandings, the translation into desugared form is not reversed upon output. Note that the translation of  $[e. x \leftarrow xs]$  is optimized to  $map\ (\lambda x. e)\ xs$ .

It is easy to write short list comprehensions which stand for complex expressions. During proofs, they may become unreadable (and mangled). In such cases it can be advisable to introduce separate definitions for the list comprehensions in question.

**nonterminals**  $lc\text{-}qual\ lc\text{-}quals$

**syntax**

$\text{-}listcompr :: 'a \Rightarrow lc\text{-}qual \Rightarrow lc\text{-}quals \Rightarrow 'a\ list$  ( $[- \ . \ --]$ )  
 $\text{-}lc\text{-}gen :: 'a \Rightarrow 'a\ list \Rightarrow lc\text{-}qual$  ( $[- \ <- \ -]$ )  
 $\text{-}lc\text{-}test :: bool \Rightarrow lc\text{-}qual$  ( $[-]$ )

$-lc-end :: lc-quals \ []$   
 $-lc-quals :: lc-qual \Rightarrow lc-quals \Rightarrow lc-quals \ (, \ --)$   
 $-lc-abs :: 'a \Rightarrow 'b \ list \Rightarrow 'b \ list$

**syntax** (*xsymbols*)  
 $-lc-gen :: 'a \Rightarrow 'a \ list \Rightarrow lc-qual \ (- \leftarrow -)$   
**syntax** (*HTML output*)  
 $-lc-gen :: 'a \Rightarrow 'a \ list \Rightarrow lc-qual \ (- \leftarrow -)$

$\langle ML \rangle$

**term**  $[(x,y,z). \ b]$   
**term**  $[(x,y,z). \ x \leftarrow xs]$   
**term**  $[e \ x \ y. \ x \leftarrow xs, \ y \leftarrow ys]$   
**term**  $[(x,y,z). \ x < a, \ x > b]$   
**term**  $[(x,y,z). \ x \leftarrow xs, \ x > b]$   
**term**  $[(x,y,z). \ x < a, \ x \leftarrow xs]$   
**term**  $[(x,y). \ Cons \ True \ x \leftarrow xs]$   
**term**  $[(x,y,z). \ Cons \ x \ [] \leftarrow xs]$   
**term**  $[(x,y,z). \ x < a, \ x > b, \ x = d]$   
**term**  $[(x,y,z). \ x < a, \ x > b, \ y \leftarrow ys]$   
**term**  $[(x,y,z). \ x < a, \ x \leftarrow xs, y > b]$   
**term**  $[(x,y,z). \ x < a, \ x \leftarrow xs, \ y \leftarrow ys]$   
**term**  $[(x,y,z). \ x \leftarrow xs, \ x > b, \ y < a]$   
**term**  $[(x,y,z). \ x \leftarrow xs, \ x > b, \ y \leftarrow ys]$   
**term**  $[(x,y,z). \ x \leftarrow xs, \ y \leftarrow ys, y > x]$   
**term**  $[(x,y,z). \ x \leftarrow xs, \ y \leftarrow ys, z \leftarrow zs]$

#### 43.1.2 $[]$ and $op \ \#$

**lemma** *not-Cons-self* [*simp*]:  
 $xs \neq x \ \# \ xs$   
 $\langle proof \rangle$

**lemmas** *not-Cons-self2* [*simp*] = *not-Cons-self* [*symmetric*]

**lemma** *neq-Nil-conv*:  $(xs \neq []) = (\exists y \ ys. \ xs = y \ \# \ ys)$   
 $\langle proof \rangle$

**lemma** *length-induct*:  
 $(\bigwedge xs. \ \forall ys. \ length \ ys < length \ xs \longrightarrow P \ ys \Longrightarrow P \ xs) \Longrightarrow P \ xs$   
 $\langle proof \rangle$

**lemma** *list-nonempty-induct* [*consumes 1, case-names single cons*]:  
**assumes**  $xs \neq []$   
**assumes** *single*:  $\bigwedge x. \ P \ [x]$

**assumes** *cons*:  $\bigwedge x\ xs.\ xs \neq [] \implies P\ xs \implies P\ (x \# xs)$   
**shows**  $P\ xs$   
 $\langle proof \rangle$

### 43.1.3 *length*

Needs to come before @ because of theorem *append-eq-append-conv*.

**lemma** *length-append* [*simp*]:  $length\ (xs\ @\ ys) = length\ xs + length\ ys$   
 $\langle proof \rangle$

**lemma** *length-map* [*simp*]:  $length\ (map\ f\ xs) = length\ xs$   
 $\langle proof \rangle$

**lemma** *length-rev* [*simp*]:  $length\ (rev\ xs) = length\ xs$   
 $\langle proof \rangle$

**lemma** *length-tl* [*simp*]:  $length\ (tl\ xs) = length\ xs - 1$   
 $\langle proof \rangle$

**lemma** *length-0-conv* [*iff*]:  $(length\ xs = 0) = (xs = [])$   
 $\langle proof \rangle$

**lemma** *length-greater-0-conv* [*iff*]:  $(0 < length\ xs) = (xs \neq [])$   
 $\langle proof \rangle$

**lemma** *length-pos-if-in-set*:  $x : set\ xs \implies length\ xs > 0$   
 $\langle proof \rangle$

**lemma** *length-Suc-conv*:  
 $(length\ xs = Suc\ n) = (\exists\ y\ ys.\ xs = y \# ys \wedge length\ ys = n)$   
 $\langle proof \rangle$

**lemma** *Suc-length-conv*:  
 $(Suc\ n = length\ xs) = (\exists\ y\ ys.\ xs = y \# ys \wedge length\ ys = n)$   
 $\langle proof \rangle$

**lemma** *impossible-Cons*:  $length\ xs \leq length\ ys \implies xs = x \# ys = False$   
 $\langle proof \rangle$

**lemma** *list-induct2* [*consumes 1, case-names Nil Cons*]:  
 $length\ xs = length\ ys \implies P\ []\ [] \implies$   
 $(\bigwedge x\ xs\ y\ ys.\ length\ xs = length\ ys \implies P\ xs\ ys \implies P\ (x \# xs)\ (y \# ys))$   
 $\implies P\ xs\ ys$   
 $\langle proof \rangle$

**lemma** *list-induct3* [*consumes 2, case-names Nil Cons*]:  
 $length\ xs = length\ ys \implies length\ ys = length\ zs \implies P\ []\ []\ [] \implies$   
 $(\bigwedge x\ xs\ y\ ys\ z\ zs.\ length\ xs = length\ ys \implies length\ ys = length\ zs \implies P\ xs\ ys\ zs$   
 $\implies P\ (x \# xs)\ (y \# ys)\ (z \# zs))$

$\implies P\ xs\ ys\ zs$   
 $\langle proof \rangle$

**lemma** *list-induct4* [consumes 3, case-names Nil Cons]:

$length\ xs = length\ ys \implies length\ ys = length\ zs \implies length\ zs = length\ ws \implies$   
 $P\ []\ [] \implies (\bigwedge x\ xs\ y\ ys\ z\ zs\ w\ ws. length\ xs = length\ ys \implies$   
 $length\ ys = length\ zs \implies length\ zs = length\ ws \implies P\ xs\ ys\ zs\ ws \implies$   
 $P\ (x\#xs)\ (y\#ys)\ (z\#zs)\ (w\#ws)) \implies P\ xs\ ys\ zs\ ws$   
 $\langle proof \rangle$

**lemma** *list-induct2'*:

$\llbracket P\ []\ [];$   
 $\bigwedge x\ xs. P\ (x\#xs)\ [];$   
 $\bigwedge y\ ys. P\ []\ (y\#ys);$   
 $\bigwedge x\ xs\ y\ ys. P\ xs\ ys \implies P\ (x\#xs)\ (y\#ys)\ \rrbracket$   
 $\implies P\ xs\ ys$   
 $\langle proof \rangle$

**lemma** *neq-if-length-neq*:  $length\ xs \neq length\ ys \implies (xs = ys) == False$   
 $\langle proof \rangle$

$\langle ML \rangle$

#### 43.1.4 @ – append

**lemma** *append-assoc* [simp]:  $(xs\ @\ ys)\ @\ zs = xs\ @\ (ys\ @\ zs)$   
 $\langle proof \rangle$

**lemma** *append-Nil2* [simp]:  $xs\ @\ [] = xs$   
 $\langle proof \rangle$

**lemma** *append-is-Nil-conv* [iff]:  $(xs\ @\ ys = []) = (xs = [] \wedge ys = [])$   
 $\langle proof \rangle$

**lemma** *Nil-is-append-conv* [iff]:  $([] = xs\ @\ ys) = (xs = [] \wedge ys = [])$   
 $\langle proof \rangle$

**lemma** *append-self-conv* [iff]:  $(xs\ @\ ys = xs) = (ys = [])$   
 $\langle proof \rangle$

**lemma** *self-append-conv* [iff]:  $(xs = xs\ @\ ys) = (ys = [])$   
 $\langle proof \rangle$

**lemma** *append-eq-append-conv* [simp, no-atp]:  
 $length\ xs = length\ ys \vee length\ us = length\ vs$   
 $\implies (xs@us = ys@vs) = (xs=ys \wedge us=vs)$   
 $\langle proof \rangle$

**lemma** *append-eq-append-conv2*:  $(xs\ @\ ys = zs\ @\ ts) =$

$(EX\ us.\ xs = zs @ us \ \&\ us @ ys = ts \mid xs @ us = zs \ \&\ ys = us @ ts)$   
 $\langle proof \rangle$

**lemma** *same-append-eq* [iff, induct-simp]:  $(xs @ ys = xs @ zs) = (ys = zs)$   
 $\langle proof \rangle$

**lemma** *append1-eq-conv* [iff]:  $(xs @ [x] = ys @ [y]) = (xs = ys \wedge x = y)$   
 $\langle proof \rangle$

**lemma** *append-same-eq* [iff, induct-simp]:  $(ys @ xs = zs @ xs) = (ys = zs)$   
 $\langle proof \rangle$

**lemma** *append-self-conv2* [iff]:  $(xs @ ys = ys) = (xs = [])$   
 $\langle proof \rangle$

**lemma** *self-append-conv2* [iff]:  $(ys = xs @ ys) = (xs = [])$   
 $\langle proof \rangle$

**lemma** *hd-Cons-tl* [simp, no-atp]:  $xs \neq [] \implies hd\ xs \# tl\ xs = xs$   
 $\langle proof \rangle$

**lemma** *hd-append*:  $hd\ (xs @ ys) = (if\ xs = []\ then\ hd\ ys\ else\ hd\ xs)$   
 $\langle proof \rangle$

**lemma** *hd-append2* [simp]:  $xs \neq [] \implies hd\ (xs @ ys) = hd\ xs$   
 $\langle proof \rangle$

**lemma** *tl-append*:  $tl\ (xs @ ys) = (case\ xs\ of\ [] \implies tl\ ys \mid z \# zs \implies zs @ ys)$   
 $\langle proof \rangle$

**lemma** *tl-append2* [simp]:  $xs \neq [] \implies tl\ (xs @ ys) = tl\ xs @ ys$   
 $\langle proof \rangle$

**lemma** *Cons-eq-append-conv*:  $x \# xs = ys @ zs =$   
 $(ys = [] \ \&\ x \# xs = zs \mid (EX\ ys'.\ x \# ys' = ys \ \&\ xs = ys' @ zs))$   
 $\langle proof \rangle$

**lemma** *append-eq-Cons-conv*:  $(ys @ zs = x \# xs) =$   
 $(ys = [] \ \&\ zs = x \# xs \mid (EX\ ys'.\ ys = x \# ys' \ \&\ ys' @ zs = xs))$   
 $\langle proof \rangle$

Trivial rules for solving @-equations automatically.

**lemma** *eq-Nil-appendI*:  $xs = ys \implies xs = [] @ ys$   
 $\langle proof \rangle$

**lemma** *Cons-eq-appendI*:  
 $[| x \# xs1 = ys; xs = xs1 @ zs |] \implies x \# xs = ys @ zs$   
 $\langle proof \rangle$

**lemma** *append-eq-appendI*:

$[| xs @ xs1 = zs; ys = xs1 @ us |] ==> xs @ ys = zs @ us$   
 $\langle proof \rangle$

Simplification procedure for all list equalities. Currently only tries to rearrange @ to see if - both lists end in a singleton list, - or both lists end in the same list.

$\langle ML \rangle$

#### 43.1.5 map

**lemma** *map-ext*:  $(!x. x : set\ xs \rightarrow f\ x = g\ x) ==> map\ f\ xs = map\ g\ xs$   
 $\langle proof \rangle$

**lemma** *map-ident [simp]*:  $map\ (\lambda x. x) = (\lambda xs. xs)$   
 $\langle proof \rangle$

**lemma** *map-append [simp]*:  $map\ f\ (xs @ ys) = map\ f\ xs @ map\ f\ ys$   
 $\langle proof \rangle$

**lemma** *map-map [simp]*:  $map\ f\ (map\ g\ xs) = map\ (f \circ g)\ xs$   
 $\langle proof \rangle$

**lemma** *map-comp-map [simp]*:  $((map\ f) \circ (map\ g)) = map\ (f \circ g)$   
 $\langle proof \rangle$

**lemma** *rev-map*:  $rev\ (map\ f\ xs) = map\ f\ (rev\ xs)$   
 $\langle proof \rangle$

**lemma** *map-eq-conv [simp]*:  $(map\ f\ xs = map\ g\ xs) = (!x : set\ xs. f\ x = g\ x)$   
 $\langle proof \rangle$

**lemma** *map-cong [fundef-cong, recdef-cong]*:  
 $xs = ys ==> (!x. x : set\ ys ==> f\ x = g\ x) ==> map\ f\ xs = map\ g\ ys$   
 — a congruence rule for *map*  
 $\langle proof \rangle$

**lemma** *map-is-Nil-conv [iff]*:  $(map\ f\ xs = []) = (xs = [])$   
 $\langle proof \rangle$

**lemma** *Nil-is-map-conv [iff]*:  $([] = map\ f\ xs) = (xs = [])$   
 $\langle proof \rangle$

**lemma** *map-eq-Cons-conv*:  
 $(map\ f\ xs = y \# ys) = (\exists z\ zs. xs = z \# zs \wedge f\ z = y \wedge map\ f\ zs = ys)$   
 $\langle proof \rangle$

**lemma** *Cons-eq-map-conv*:

$(x \# xs = \text{map } f \text{ } ys) = (\exists z \text{ } zs. \text{ } ys = z \# zs \wedge x = f \text{ } z \wedge xs = \text{map } f \text{ } zs)$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{map-eq-Cons-}D = \text{map-eq-Cons-conv} \text{ } [THEN \text{ } iffD1]$

**lemmas**  $\text{Cons-eq-map-}D = \text{Cons-eq-map-conv} \text{ } [THEN \text{ } iffD1]$

**declare**  $\text{map-eq-Cons-}D \text{ } [dest!] \text{ } \text{Cons-eq-map-}D \text{ } [dest!]$

**lemma**  $\text{ex-map-conv}$ :

$(EX \text{ } xs. \text{ } ys = \text{map } f \text{ } xs) = (ALL \text{ } y : \text{set } ys. \text{ } EX \text{ } x. \text{ } y = f \text{ } x)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{map-eq-imp-length-eq}$ :

**assumes**  $\text{map } f \text{ } xs = \text{map } g \text{ } ys$

**shows**  $\text{length } xs = \text{length } ys$

$\langle \text{proof} \rangle$

**lemma**  $\text{map-inj-on}$ :

$[[ \text{map } f \text{ } xs = \text{map } f \text{ } ys; \text{inj-on } f \text{ } (\text{set } xs \text{ } Un \text{ } \text{set } ys) \text{ } ]]$   
 $\implies xs = ys$

$\langle \text{proof} \rangle$

**lemma**  $\text{inj-on-map-eq-map}$ :

$\text{inj-on } f \text{ } (\text{set } xs \text{ } Un \text{ } \text{set } ys) \implies (\text{map } f \text{ } xs = \text{map } f \text{ } ys) = (xs = ys)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{map-injective}$ :

$\text{map } f \text{ } xs = \text{map } f \text{ } ys \implies \text{inj } f \implies xs = ys$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{inj-map-eq-map[simp]}$ :  $\text{inj } f \implies (\text{map } f \text{ } xs = \text{map } f \text{ } ys) = (xs = ys)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{inj-mapI}$ :  $\text{inj } f \implies \text{inj } (\text{map } f)$

$\langle \text{proof} \rangle$

**lemma**  $\text{inj-mapD}$ :  $\text{inj } (\text{map } f) \implies \text{inj } f$

$\langle \text{proof} \rangle$

**lemma**  $\text{inj-map[iff]}$ :  $\text{inj } (\text{map } f) = \text{inj } f$

$\langle \text{proof} \rangle$

**lemma**  $\text{inj-on-mapI}$ :  $\text{inj-on } f \text{ } (\bigcup (\text{set } 'A)) \implies \text{inj-on } (\text{map } f) \text{ } A$

$\langle \text{proof} \rangle$

**lemma**  $\text{map-idI}$ :  $(\bigwedge x. x \in \text{set } xs \implies f \text{ } x = x) \implies \text{map } f \text{ } xs = xs$

$\langle \text{proof} \rangle$

**lemma**  $\text{map-fun-upd [simp]}$ :  $y \notin \text{set } xs \implies \text{map } (f(y:=v)) \text{ } xs = \text{map } f \text{ } xs$

$\langle \text{proof} \rangle$



**lemma** *map-fst-zip*[simp]:

$\text{length } xs = \text{length } ys \implies \text{map fst } (\text{zip } xs \ ys) = xs$   
 $\langle \text{proof} \rangle$

**lemma** *map-snd-zip*[simp]:

$\text{length } xs = \text{length } ys \implies \text{map snd } (\text{zip } xs \ ys) = ys$   
 $\langle \text{proof} \rangle$

#### 43.1.6 *rev*

**lemma** *rev-append* [simp]:  $\text{rev } (xs \ @ \ ys) = \text{rev } ys \ @ \ \text{rev } xs$   
 $\langle \text{proof} \rangle$

**lemma** *rev-rev-ident* [simp]:  $\text{rev } (\text{rev } xs) = xs$   
 $\langle \text{proof} \rangle$

**lemma** *rev-swap*:  $(\text{rev } xs = ys) = (xs = \text{rev } ys)$   
 $\langle \text{proof} \rangle$

**lemma** *rev-is-Nil-conv* [iff]:  $(\text{rev } xs = []) = (xs = [])$   
 $\langle \text{proof} \rangle$

**lemma** *Nil-is-rev-conv* [iff]:  $([] = \text{rev } xs) = (xs = [])$   
 $\langle \text{proof} \rangle$

**lemma** *rev-singleton-conv* [simp]:  $(\text{rev } xs = [x]) = (xs = [x])$   
 $\langle \text{proof} \rangle$

**lemma** *singleton-rev-conv* [simp]:  $([x] = \text{rev } xs) = (xs = [x])$   
 $\langle \text{proof} \rangle$

**lemma** *rev-is-rev-conv* [iff]:  $(\text{rev } xs = \text{rev } ys) = (xs = ys)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-rev*[iff]: *inj-on* *rev* *A*  
 $\langle \text{proof} \rangle$

**lemma** *rev-induct* [case-names *Nil snoc*]:

$[\![ \ P \ ]\!]; \ \forall x \ xs. \ P \ xs \implies P \ (xs \ @ \ [x]) \ ] \implies P \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *rev-exhaust* [case-names *Nil snoc*]:

$(xs = [] \implies P) \implies (\forall ys \ y. \ xs = ys \ @ \ [y] \implies P) \implies P$   
 $\langle \text{proof} \rangle$

**lemmas** *rev-cases* = *rev-exhaust*

**lemma** *rev-eq-Cons-iff*[iff]:  $(\text{rev } xs = y \# ys) = (xs = \text{rev } ys \ @ \ [y])$

$\langle proof \rangle$

#### 43.1.7 set

**lemma** *finite-set* [iff]:  $finite (set\ xs)$   
 $\langle proof \rangle$

**lemma** *set-append* [simp]:  $set\ (xs\ @\ ys) = (set\ xs \cup set\ ys)$   
 $\langle proof \rangle$

**lemma** *hd-in-set* [simp]:  $xs \neq [] \implies hd\ xs : set\ xs$   
 $\langle proof \rangle$

**lemma** *set-subset-Cons*:  $set\ xs \subseteq set\ (x \# xs)$   
 $\langle proof \rangle$

**lemma** *set-ConsD*:  $y \in set\ (x \# xs) \implies y=x \vee y \in set\ xs$   
 $\langle proof \rangle$

**lemma** *set-empty* [iff]:  $(set\ xs = \{\}) = (xs = [])$   
 $\langle proof \rangle$

**lemma** *set-empty2* [iff]:  $(\{\} = set\ xs) = (xs = [])$   
 $\langle proof \rangle$

**lemma** *set-rev* [simp]:  $set\ (rev\ xs) = set\ xs$   
 $\langle proof \rangle$

**lemma** *set-map* [simp]:  $set\ (map\ f\ xs) = f^*(set\ xs)$   
 $\langle proof \rangle$

**lemma** *set-filter* [simp]:  $set\ (filter\ P\ xs) = \{x. x : set\ xs \wedge P\ x\}$   
 $\langle proof \rangle$

**lemma** *set-upt* [simp]:  $set[i..<j] = \{i..<j\}$   
 $\langle proof \rangle$

**lemma** *split-list*:  $x : set\ xs \implies \exists\ ys\ zs. xs = ys\ @\ x \# zs$   
 $\langle proof \rangle$

**lemma** *in-set-conv-decomp*:  $x \in set\ xs \longleftrightarrow (\exists\ ys\ zs. xs = ys\ @\ x \# zs)$   
 $\langle proof \rangle$

**lemma** *split-list-first*:  $x : set\ xs \implies \exists\ ys\ zs. xs = ys\ @\ x \# zs \wedge x \notin set\ ys$   
 $\langle proof \rangle$

**lemma** *in-set-conv-decomp-first*:  
 $(x : set\ xs) = (\exists\ ys\ zs. xs = ys\ @\ x \# zs \wedge x \notin set\ ys)$

$\langle \text{proof} \rangle$

**lemma** *split-list-last*:  $x : \text{set } xs \implies \exists ys \ zs. \ xs = ys @ x \# zs \wedge x \notin \text{set } zs$   
 $\langle \text{proof} \rangle$

**lemma** *in-set-conv-decomp-last*:  
 $(x : \text{set } xs) = (\exists ys \ zs. \ xs = ys @ x \# zs \wedge x \notin \text{set } zs)$   
 $\langle \text{proof} \rangle$

**lemma** *split-list-prop*:  $\exists x \in \text{set } xs. \ P \ x \implies \exists ys \ x \ zs. \ xs = ys @ x \# zs \ \& \ P \ x$   
 $\langle \text{proof} \rangle$

**lemma** *split-list-propE*:  
**assumes**  $\exists x \in \text{set } xs. \ P \ x$   
**obtains**  $ys \ x \ zs$  **where**  $xs = ys @ x \# zs$  **and**  $P \ x$   
 $\langle \text{proof} \rangle$

**lemma** *split-list-first-prop*:  
 $\exists x \in \text{set } xs. \ P \ x \implies$   
 $\exists ys \ x \ zs. \ xs = ys @ x \# zs \wedge P \ x \wedge (\forall y \in \text{set } ys. \ \neg P \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *split-list-first-propE*:  
**assumes**  $\exists x \in \text{set } xs. \ P \ x$   
**obtains**  $ys \ x \ zs$  **where**  $xs = ys @ x \# zs$  **and**  $P \ x$  **and**  $\forall y \in \text{set } ys. \ \neg P \ y$   
 $\langle \text{proof} \rangle$

**lemma** *split-list-first-prop-iff*:  
 $(\exists x \in \text{set } xs. \ P \ x) \longleftrightarrow$   
 $(\exists ys \ x \ zs. \ xs = ys @ x \# zs \wedge P \ x \wedge (\forall y \in \text{set } ys. \ \neg P \ y))$   
 $\langle \text{proof} \rangle$

**lemma** *split-list-last-prop*:  
 $\exists x \in \text{set } xs. \ P \ x \implies$   
 $\exists ys \ x \ zs. \ xs = ys @ x \# zs \wedge P \ x \wedge (\forall z \in \text{set } zs. \ \neg P \ z)$   
 $\langle \text{proof} \rangle$

**lemma** *split-list-last-propE*:  
**assumes**  $\exists x \in \text{set } xs. \ P \ x$   
**obtains**  $ys \ x \ zs$  **where**  $xs = ys @ x \# zs$  **and**  $P \ x$  **and**  $\forall z \in \text{set } zs. \ \neg P \ z$   
 $\langle \text{proof} \rangle$

**lemma** *split-list-last-prop-iff*:  
 $(\exists x \in \text{set } xs. \ P \ x) \longleftrightarrow$   
 $(\exists ys \ x \ zs. \ xs = ys @ x \# zs \wedge P \ x \wedge (\forall z \in \text{set } zs. \ \neg P \ z))$   
 $\langle \text{proof} \rangle$

**lemma** *finite-list*:  $\text{finite } A \implies \exists X \ xs. \ \text{set } xs = A$   
 $\langle \text{proof} \rangle$

**lemma** *card-length*:  $\text{card } (\text{set } xs) \leq \text{length } xs$   
 $\langle \text{proof} \rangle$

**lemma** *set-minus-filter-out*:  
 $\text{set } xs - \{y\} = \text{set } (\text{filter } (\lambda x. \neg (x = y)) \text{ } xs)$   
 $\langle \text{proof} \rangle$

#### 43.1.8 *filter*

**lemma** *filter-append* [simp]:  $\text{filter } P \text{ } (xs @ ys) = \text{filter } P \text{ } xs @ \text{filter } P \text{ } ys$   
 $\langle \text{proof} \rangle$

**lemma** *rev-filter*:  $\text{rev } (\text{filter } P \text{ } xs) = \text{filter } P \text{ } (\text{rev } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *filter-filter* [simp]:  $\text{filter } P \text{ } (\text{filter } Q \text{ } xs) = \text{filter } (\lambda x. Q \text{ } x \wedge P \text{ } x) \text{ } xs$   
 $\langle \text{proof} \rangle$

**lemma** *length-filter-le* [simp]:  $\text{length } (\text{filter } P \text{ } xs) \leq \text{length } xs$   
 $\langle \text{proof} \rangle$

**lemma** *sum-length-filter-compl*:  
 $\text{length } (\text{filter } P \text{ } xs) + \text{length } (\text{filter } (\lambda x. \neg P \text{ } x) \text{ } xs) = \text{length } xs$   
 $\langle \text{proof} \rangle$

**lemma** *filter-True* [simp]:  $\forall x \in \text{set } xs. P \text{ } x \implies \text{filter } P \text{ } xs = xs$   
 $\langle \text{proof} \rangle$

**lemma** *filter-False* [simp]:  $\forall x \in \text{set } xs. \neg P \text{ } x \implies \text{filter } P \text{ } xs = []$   
 $\langle \text{proof} \rangle$

**lemma** *filter-empty-conv*:  $(\text{filter } P \text{ } xs = []) = (\forall x \in \text{set } xs. \neg P \text{ } x)$   
 $\langle \text{proof} \rangle$

**lemma** *filter-id-conv*:  $(\text{filter } P \text{ } xs = xs) = (\forall x \in \text{set } xs. P \text{ } x)$   
 $\langle \text{proof} \rangle$

**lemma** *filter-map*:  
 $\text{filter } P \text{ } (\text{map } f \text{ } xs) = \text{map } f \text{ } (\text{filter } (P \circ f) \text{ } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *length-filter-map* [simp]:  
 $\text{length } (\text{filter } P \text{ } (\text{map } f \text{ } xs)) = \text{length } (\text{filter } (P \circ f) \text{ } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *filter-is-subset* [simp]:  $\text{set } (\text{filter } P \text{ } xs) \leq \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *length-filter-less*:

$\llbracket x : \text{set } xs; \sim P x \rrbracket \implies \text{length}(\text{filter } P xs) < \text{length } xs$   
 $\langle \text{proof} \rangle$

**lemma** *length-filter-conv-card*:

$\text{length}(\text{filter } p xs) = \text{card}\{i. i < \text{length } xs \ \& \ p(xs!i)\}$   
 $\langle \text{proof} \rangle$

**lemma** *Cons-eq-filterD*:

$x \# xs = \text{filter } P ys \implies$   
 $\exists us \ vs. \ ys = us @ x \# vs \wedge (\forall u \in \text{set } us. \neg P u) \wedge P x \wedge xs = \text{filter } P vs$   
 $(\text{is } - \implies \exists us \ vs. \ ?P \ ys \ us \ vs)$   
 $\langle \text{proof} \rangle$

**lemma** *filter-eq-ConsD*:

$\text{filter } P ys = x \# xs \implies$   
 $\exists us \ vs. \ ys = us @ x \# vs \wedge (\forall u \in \text{set } us. \neg P u) \wedge P x \wedge xs = \text{filter } P vs$   
 $\langle \text{proof} \rangle$

**lemma** *filter-eq-Cons-iff*:

$(\text{filter } P ys = x \# xs) =$   
 $(\exists us \ vs. \ ys = us @ x \# vs \wedge (\forall u \in \text{set } us. \neg P u) \wedge P x \wedge xs = \text{filter } P vs)$   
 $\langle \text{proof} \rangle$

**lemma** *Cons-eq-filter-iff*:

$(x \# xs = \text{filter } P ys) =$   
 $(\exists us \ vs. \ ys = us @ x \# vs \wedge (\forall u \in \text{set } us. \neg P u) \wedge P x \wedge xs = \text{filter } P vs)$   
 $\langle \text{proof} \rangle$

**lemma** *filter-cong[fundef-cong, recdef-cong]*:

$xs = ys \implies (\bigwedge x. x \in \text{set } ys \implies P x = Q x) \implies \text{filter } P xs = \text{filter } Q ys$   
 $\langle \text{proof} \rangle$

#### 43.1.9 List partitioning

**primrec** *partition* ::  $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \times 'a \text{ list}$  **where**

$\text{partition } P [] = ([], [])$   
 $| \text{partition } P (x \# xs) =$   
 $(\text{let } (yes, no) = \text{partition } P xs$   
 $\text{in if } P x \text{ then } (x \# yes, no) \text{ else } (yes, x \# no))$

**lemma** *partition-filter1*:

$\text{fst } (\text{partition } P xs) = \text{filter } P xs$   
 $\langle \text{proof} \rangle$

**lemma** *partition-filter2*:

$\text{snd } (\text{partition } P xs) = \text{filter } (\text{Not } o P) xs$   
 $\langle \text{proof} \rangle$

**lemma** *partition-P*:

**assumes** *partition*  $P\ xs = (yes, no)$

**shows**  $(\forall p \in set\ yes. P\ p) \wedge (\forall p \in set\ no. \neg P\ p)$

$\langle proof \rangle$

**lemma** *partition-set*:

**assumes** *partition*  $P\ xs = (yes, no)$

**shows**  $set\ yes \cup set\ no = set\ xs$

$\langle proof \rangle$

**lemma** *partition-filter-conv*[*simp*]:

*partition*  $f\ xs = (filter\ f\ xs, filter\ (Not\ o\ f)\ xs)$

$\langle proof \rangle$

**declare** *partition.simps*[*simp del*]

#### 43.1.10 *concat*

**lemma** *concat-append* [*simp*]:  $concat\ (xs\ @\ ys) = concat\ xs\ @\ concat\ ys$

$\langle proof \rangle$

**lemma** *concat-eq-Nil-conv* [*simp*]:  $(concat\ xss = []) = (\forall xs \in set\ xss. xs = [])$

$\langle proof \rangle$

**lemma** *Nil-eq-concat-conv* [*simp*]:  $([] = concat\ xss) = (\forall xs \in set\ xss. xs = [])$

$\langle proof \rangle$

**lemma** *set-concat* [*simp*]:  $set\ (concat\ xs) = (UN\ x:set\ xs. set\ x)$

$\langle proof \rangle$

**lemma** *concat-map-singleton*[*simp*]:  $concat(map\ (\%x. [f\ x])\ xs) = map\ f\ xs$

$\langle proof \rangle$

**lemma** *map-concat*:  $map\ f\ (concat\ xs) = concat\ (map\ (map\ f)\ xs)$

$\langle proof \rangle$

**lemma** *filter-concat*:  $filter\ p\ (concat\ xs) = concat\ (map\ (filter\ p)\ xs)$

$\langle proof \rangle$

**lemma** *rev-concat*:  $rev\ (concat\ xs) = concat\ (map\ rev\ (rev\ xs))$

$\langle proof \rangle$

#### 43.1.11 *nth*

**lemma** *nth-Cons-0* [*simp*, *code*]:  $(x\ \# \ xs)!0 = x$

$\langle proof \rangle$

**lemma** *nth-Cons-Suc* [*simp*, *code*]:  $(x\ \# \ xs)!(Suc\ n) = xs!n$

$\langle proof \rangle$

**declare** *nth.simps* [*simp del*]

**lemma** *nth-append*:

$(xs @ ys)!n = (\text{if } n < \text{length } xs \text{ then } xs!n \text{ else } ys!(n - \text{length } xs))$   
 $\langle \text{proof} \rangle$

**lemma** *nth-append-length* [*simp*]:  $(xs @ x \# ys) ! \text{length } xs = x$   
 $\langle \text{proof} \rangle$

**lemma** *nth-append-length-plus* [*simp*]:  $(xs @ ys) ! (\text{length } xs + n) = ys ! n$   
 $\langle \text{proof} \rangle$

**lemma** *nth-map* [*simp*]:  $n < \text{length } xs \implies (\text{map } f \text{ } xs)!n = f(xs!n)$   
 $\langle \text{proof} \rangle$

**lemma** *hd-conv-nth*:  $xs \neq [] \implies \text{hd } xs = xs!0$   
 $\langle \text{proof} \rangle$

**lemma** *list-eq-iff-nth-eq*:

$(xs = ys) = (\text{length } xs = \text{length } ys \wedge (\text{ALL } i < \text{length } xs. xs!i = ys!i))$   
 $\langle \text{proof} \rangle$

**lemma** *set-conv-nth*:  $\text{set } xs = \{xs!i \mid i. i < \text{length } xs\}$   
 $\langle \text{proof} \rangle$

**lemma** *in-set-conv-nth*:  $(x \in \text{set } xs) = (\exists i < \text{length } xs. xs!i = x)$   
 $\langle \text{proof} \rangle$

**lemma** *list-ball-nth*:  $[\mid n < \text{length } xs; !x : \text{set } xs. P \ x] \implies P(xs!n)$   
 $\langle \text{proof} \rangle$

**lemma** *nth-mem* [*simp*]:  $n < \text{length } xs \implies xs!n : \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *all-nth-imp-all-set*:

$[\mid !i < \text{length } xs. P(xs!i); x : \text{set } xs] \implies P \ x$   
 $\langle \text{proof} \rangle$

**lemma** *all-set-conv-all-nth*:

$(\forall x \in \text{set } xs. P \ x) = (\forall i. i < \text{length } xs \longrightarrow P \ (xs ! i))$   
 $\langle \text{proof} \rangle$

**lemma** *rev-nth*:

$n < \text{size } xs \implies \text{rev } xs ! n = xs ! (\text{length } xs - \text{Suc } n)$   
 $\langle \text{proof} \rangle$

**lemma** *Skolem-list-nth*:

$(\text{ALL } i < k. \text{EX } x. P \ i \ x) = (\text{EX } xs. \text{size } xs = k \ \& \ (\text{ALL } i < k. P \ i \ (xs!i)))$

(**is** - = ( $EX\ xs.\ ?P\ k\ xs$ ))  
 ⟨proof⟩

#### 43.1.12 list-update

**lemma** *length-list-update* [simp]:  $length(xs[i:=x]) = length\ xs$   
 ⟨proof⟩

**lemma** *nth-list-update*:  
 $i < length\ xs \implies (xs[i:=x])!j = (if\ i = j\ then\ x\ else\ xs!j)$   
 ⟨proof⟩

**lemma** *nth-list-update-eq* [simp]:  $i < length\ xs \implies (xs[i:=x])!i = x$   
 ⟨proof⟩

**lemma** *nth-list-update-neq* [simp]:  $i \neq j \implies xs[i:=x]!j = xs!j$   
 ⟨proof⟩

**lemma** *list-update-id* [simp]:  $xs[i := xs!i] = xs$   
 ⟨proof⟩

**lemma** *list-update-beyond* [simp]:  $length\ xs \leq i \implies xs[i:=x] = xs$   
 ⟨proof⟩

**lemma** *list-update-nonempty* [simp]:  $xs[k:=x] = [] \longleftrightarrow xs=[]$   
 ⟨proof⟩

**lemma** *list-update-same-conv*:  
 $i < length\ xs \implies (xs[i := x] = xs) = (xs!i = x)$   
 ⟨proof⟩

**lemma** *list-update-append1*:  
 $i < size\ xs \implies (xs @ ys)[i:=x] = xs[i:=x] @ ys$   
 ⟨proof⟩

**lemma** *list-update-append*:  
 $(xs @ ys)[n:=x] =$   
 $(if\ n < length\ xs\ then\ xs[n:=x] @ ys\ else\ xs @ (ys[n-length\ xs:=x]))$   
 ⟨proof⟩

**lemma** *list-update-length* [simp]:  
 $(xs @ x \# ys)[length\ xs := y] = (xs @ y \# ys)$   
 ⟨proof⟩

**lemma** *map-update*:  $map\ f\ (xs[k:=y]) = (map\ f\ xs)[k := f\ y]$   
 ⟨proof⟩

**lemma** *rev-update*:  
 $k < length\ xs \implies rev\ (xs[k:=y]) = (rev\ xs)[length\ xs - k - 1 := y]$



$\langle proof \rangle$

**lemma** *update-zip*:

$(zip\ xs\ ys)[i:=xy] = zip\ (xs[i:=fst\ xy])\ (ys[i:=snd\ xy])$   
 $\langle proof \rangle$

**lemma** *set-update-subset-insert*:  $set(xs[i:=x]) \leq insert\ x\ (set\ xs)$

$\langle proof \rangle$

**lemma** *set-update-subsetI*:  $[ \mid set\ xs \leq A; x:A \mid ] ==> set(xs[i := x]) \leq A$

$\langle proof \rangle$

**lemma** *set-update-memI*:  $n < length\ xs \implies x \in set\ (xs[n := x])$

$\langle proof \rangle$

**lemma** *list-update-overwrite[simp]*:

$xs\ [i := x, i := y] = xs\ [i := y]$   
 $\langle proof \rangle$

**lemma** *list-update-swap*:

$i \neq i' \implies xs\ [i := x, i' := x'] = xs\ [i' := x', i := x]$   
 $\langle proof \rangle$

**lemma** *list-update-code [code]*:

$[] [i := y] = []$   
 $(x \# xs)[0 := y] = y \# xs$   
 $(x \# xs)[Suc\ i := y] = x \# xs[i := y]$   
 $\langle proof \rangle$

### 43.1.13 *last and butlast*

**lemma** *last-snoc [simp]*:  $last\ (xs @ [x]) = x$

$\langle proof \rangle$

**lemma** *butlast-snoc [simp]*:  $butlast\ (xs @ [x]) = xs$

$\langle proof \rangle$

**lemma** *last-ConsL*:  $xs = [] \implies last(x \# xs) = x$

$\langle proof \rangle$

**lemma** *last-ConsR*:  $xs \neq [] \implies last(x \# xs) = last\ xs$

$\langle proof \rangle$

**lemma** *last-append*:  $last(xs @ ys) = (if\ ys = []\ then\ last\ xs\ else\ last\ ys)$

$\langle proof \rangle$

**lemma** *last-appendL[simp]*:  $ys = [] \implies last(xs @ ys) = last\ xs$

$\langle proof \rangle$

**lemma** *last-appendR[simp]*:  $ys \neq [] \implies \text{last}(xs @ ys) = \text{last } ys$   
 $\langle \text{proof} \rangle$

**lemma** *hd-rev*:  $xs \neq [] \implies \text{hd}(\text{rev } xs) = \text{last } xs$   
 $\langle \text{proof} \rangle$

**lemma** *last-rev*:  $xs \neq [] \implies \text{last}(\text{rev } xs) = \text{hd } xs$   
 $\langle \text{proof} \rangle$

**lemma** *last-in-set[simp]*:  $as \neq [] \implies \text{last } as \in \text{set } as$   
 $\langle \text{proof} \rangle$

**lemma** *length-butlast [simp]*:  $\text{length } (\text{butlast } xs) = \text{length } xs - 1$   
 $\langle \text{proof} \rangle$

**lemma** *butlast-append*:  
 $\text{butlast } (xs @ ys) = (\text{if } ys = [] \text{ then } \text{butlast } xs \text{ else } xs @ \text{butlast } ys)$   
 $\langle \text{proof} \rangle$

**lemma** *append-butlast-last-id [simp]*:  
 $xs \neq [] \implies \text{butlast } xs @ [\text{last } xs] = xs$   
 $\langle \text{proof} \rangle$

**lemma** *in-set-butlastD*:  $x : \text{set } (\text{butlast } xs) \implies x : \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *in-set-butlast-appendI*:  
 $x : \text{set } (\text{butlast } xs) \mid x : \text{set } (\text{butlast } ys) \implies x : \text{set } (\text{butlast } (xs @ ys))$   
 $\langle \text{proof} \rangle$

**lemma** *last-drop[simp]*:  $n < \text{length } xs \implies \text{last } (\text{drop } n \text{ } xs) = \text{last } xs$   
 $\langle \text{proof} \rangle$

**lemma** *last-conv-nth*:  $xs \neq [] \implies \text{last } xs = xs!(\text{length } xs - 1)$   
 $\langle \text{proof} \rangle$

**lemma** *butlast-conv-take*:  $\text{butlast } xs = \text{take } (\text{length } xs - 1) \text{ } xs$   
 $\langle \text{proof} \rangle$

**lemma** *last-list-update*:  
 $xs \neq [] \implies \text{last}(xs[k:=x]) = (\text{if } k = \text{size } xs - 1 \text{ then } x \text{ else } \text{last } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *butlast-list-update*:  
 $\text{butlast}(xs[k:=x]) =$   
 $(\text{if } k = \text{size } xs - 1 \text{ then } \text{butlast } xs \text{ else } (\text{butlast } xs)[k:=x])$   
 $\langle \text{proof} \rangle$

**lemma** *last-map*:

$xs \neq [] \implies \text{last } (\text{map } f \text{ } xs) = f \text{ } (\text{last } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *map-butlast*:

$\text{map } f \text{ } (\text{butlast } xs) = \text{butlast } (\text{map } f \text{ } xs)$   
 $\langle \text{proof} \rangle$

#### 43.1.14 *take and drop*

**lemma** *take-0* [simp]:  $\text{take } 0 \text{ } xs = []$   
 $\langle \text{proof} \rangle$

**lemma** *drop-0* [simp]:  $\text{drop } 0 \text{ } xs = xs$   
 $\langle \text{proof} \rangle$

**lemma** *take-Suc-Cons* [simp]:  $\text{take } (\text{Suc } n) \text{ } (x \# xs) = x \# \text{take } n \text{ } xs$   
 $\langle \text{proof} \rangle$

**lemma** *drop-Suc-Cons* [simp]:  $\text{drop } (\text{Suc } n) \text{ } (x \# xs) = \text{drop } n \text{ } xs$   
 $\langle \text{proof} \rangle$

**declare** *take-Cons* [simp del] **and** *drop-Cons* [simp del]

**lemma** *take-1-Cons* [simp]:  $\text{take } 1 \text{ } (x \# xs) = [x]$   
 $\langle \text{proof} \rangle$

**lemma** *drop-1-Cons* [simp]:  $\text{drop } 1 \text{ } (x \# xs) = xs$   
 $\langle \text{proof} \rangle$

**lemma** *take-Suc*:  $xs \sim [] \implies \text{take } (\text{Suc } n) \text{ } xs = \text{hd } xs \# \text{take } n \text{ } (\text{tl } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *drop-Suc*:  $\text{drop } (\text{Suc } n) \text{ } xs = \text{drop } n \text{ } (\text{tl } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *take-tl*:  $\text{take } n \text{ } (\text{tl } xs) = \text{tl } (\text{take } (\text{Suc } n) \text{ } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *drop-tl*:  $\text{drop } n \text{ } (\text{tl } xs) = \text{tl } (\text{drop } n \text{ } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *tl-take*:  $\text{tl } (\text{take } n \text{ } xs) = \text{take } (n - 1) \text{ } (\text{tl } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *tl-drop*:  $\text{tl } (\text{drop } n \text{ } xs) = \text{drop } n \text{ } (\text{tl } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *nth-via-drop*:  $\text{drop } n \text{ } xs = y \# ys \implies xs!n = y$   
 $\langle \text{proof} \rangle$

**lemma** *take-Suc-conv-app-nth*:

$i < \text{length } xs \implies \text{take } (\text{Suc } i) \text{ } xs = \text{take } i \text{ } xs @ [xs!i]$   
 $\langle \text{proof} \rangle$

**lemma** *drop-Suc-conv-tl*:

$i < \text{length } xs \implies (xs!i) \# (\text{drop } (\text{Suc } i) \text{ } xs) = \text{drop } i \text{ } xs$   
 $\langle \text{proof} \rangle$

**lemma** *length-take [simp]*:  $\text{length } (\text{take } n \text{ } xs) = \min (\text{length } xs) \ n$   
 $\langle \text{proof} \rangle$

**lemma** *length-drop [simp]*:  $\text{length } (\text{drop } n \text{ } xs) = (\text{length } xs - n)$   
 $\langle \text{proof} \rangle$

**lemma** *take-all [simp]*:  $\text{length } xs \leq n \implies \text{take } n \text{ } xs = xs$   
 $\langle \text{proof} \rangle$

**lemma** *drop-all [simp]*:  $\text{length } xs \leq n \implies \text{drop } n \text{ } xs = []$   
 $\langle \text{proof} \rangle$

**lemma** *take-append [simp]*:

$\text{take } n \text{ } (xs @ ys) = (\text{take } n \text{ } xs @ \text{take } (n - \text{length } xs) \text{ } ys)$   
 $\langle \text{proof} \rangle$

**lemma** *drop-append [simp]*:

$\text{drop } n \text{ } (xs @ ys) = \text{drop } n \text{ } xs @ \text{drop } (n - \text{length } xs) \text{ } ys$   
 $\langle \text{proof} \rangle$

**lemma** *take-take [simp]*:  $\text{take } n \text{ } (\text{take } m \text{ } xs) = \text{take } (\min n \ m) \text{ } xs$   
 $\langle \text{proof} \rangle$

**lemma** *drop-drop [simp]*:  $\text{drop } n \text{ } (\text{drop } m \text{ } xs) = \text{drop } (n + m) \text{ } xs$   
 $\langle \text{proof} \rangle$

**lemma** *take-drop*:  $\text{take } n \text{ } (\text{drop } m \text{ } xs) = \text{drop } m \text{ } (\text{take } (n + m) \text{ } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *drop-take*:  $\text{drop } n \text{ } (\text{take } m \text{ } xs) = \text{take } (m - n) \text{ } (\text{drop } n \text{ } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *append-take-drop-id [simp]*:  $\text{take } n \text{ } xs @ \text{drop } n \text{ } xs = xs$   
 $\langle \text{proof} \rangle$

**lemma** *take-eq-Nil [simp]*:  $(\text{take } n \text{ } xs = []) = (n = 0 \vee xs = [])$   
 $\langle \text{proof} \rangle$

**lemma** *drop-eq-Nil [simp]*:  $(\text{drop } n \text{ } xs = []) = (\text{length } xs \leq n)$   
 $\langle \text{proof} \rangle$

**lemma** *take-map*:  $\text{take } n \ (\text{map } f \ xs) = \text{map } f \ (\text{take } n \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *drop-map*:  $\text{drop } n \ (\text{map } f \ xs) = \text{map } f \ (\text{drop } n \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *rev-take*:  $\text{rev} \ (\text{take } i \ xs) = \text{drop} \ (\text{length } xs - i) \ (\text{rev } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *rev-drop*:  $\text{rev} \ (\text{drop } i \ xs) = \text{take} \ (\text{length } xs - i) \ (\text{rev } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *nth-take* [simp]:  $i < n \implies (\text{take } n \ xs)!i = xs!i$   
 $\langle \text{proof} \rangle$

**lemma** *nth-drop* [simp]:  
 $n + i \leq \text{length } xs \implies (\text{drop } n \ xs)!i = xs!(n + i)$   
 $\langle \text{proof} \rangle$

**lemma** *butlast-take*:  
 $n \leq \text{length } xs \implies \text{butlast} \ (\text{take } n \ xs) = \text{take} \ (n - 1) \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *butlast-drop*:  $\text{butlast} \ (\text{drop } n \ xs) = \text{drop } n \ (\text{butlast } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *take-butlast*:  $n < \text{length } xs \implies \text{take } n \ (\text{butlast } xs) = \text{take } n \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *drop-butlast*:  $\text{drop } n \ (\text{butlast } xs) = \text{butlast} \ (\text{drop } n \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *hd-drop-conv-nth*:  $\llbracket xs \neq []; n < \text{length } xs \rrbracket \implies \text{hd}(\text{drop } n \ xs) = xs!n$   
 $\langle \text{proof} \rangle$

**lemma** *set-take-subset-set-take*:  
 $m \leq n \implies \text{set}(\text{take } m \ xs) \subseteq \text{set}(\text{take } n \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *set-take-subset*:  $\text{set}(\text{take } n \ xs) \subseteq \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *set-drop-subset*:  $\text{set}(\text{drop } n \ xs) \subseteq \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *set-drop-subset-set-drop*:  
 $m > n \implies \text{set}(\text{drop } m \ xs) \subseteq \text{set}(\text{drop } n \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *in-set-takeD*:  $x : \text{set}(\text{take } n \text{ } xs) \implies x : \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *in-set-dropD*:  $x : \text{set}(\text{drop } n \text{ } xs) \implies x : \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *append-eq-conv-conj*:  
 $(xs @ ys = zs) = (xs = \text{take } (\text{length } xs) \text{ } zs \wedge ys = \text{drop } (\text{length } xs) \text{ } zs)$   
 $\langle \text{proof} \rangle$

**lemma** *take-add*:  
 $i+j \leq \text{length}(xs) \implies \text{take } (i+j) \text{ } xs = \text{take } i \text{ } xs @ \text{take } j \text{ } (\text{drop } i \text{ } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *append-eq-append-conv-if*:  
 $(xs_1 @ xs_2 = ys_1 @ ys_2) =$   
 $(\text{if } \text{size } xs_1 \leq \text{size } ys_1$   
 $\text{ then } xs_1 = \text{take } (\text{size } xs_1) \text{ } ys_1 \wedge xs_2 = \text{drop } (\text{size } xs_1) \text{ } ys_1 @ ys_2$   
 $\text{ else } \text{take } (\text{size } ys_1) \text{ } xs_1 = ys_1 \wedge \text{drop } (\text{size } ys_1) \text{ } xs_1 @ xs_2 = ys_2)$   
 $\langle \text{proof} \rangle$

**lemma** *take-hd-drop*:  
 $n < \text{length } xs \implies \text{take } n \text{ } xs @ [\text{hd } (\text{drop } n \text{ } xs)] = \text{take } (\text{Suc } n) \text{ } xs$   
 $\langle \text{proof} \rangle$

**lemma** *id-take-nth-drop*:  
 $i < \text{length } xs \implies xs = \text{take } i \text{ } xs @ xs!i \# \text{drop } (\text{Suc } i) \text{ } xs$   
 $\langle \text{proof} \rangle$

**lemma** *upd-conv-take-nth-drop*:  
 $i < \text{length } xs \implies xs[i:=a] = \text{take } i \text{ } xs @ a \# \text{drop } (\text{Suc } i) \text{ } xs$   
 $\langle \text{proof} \rangle$

**lemma** *nth-drop'*:  
 $i < \text{length } xs \implies xs ! i \# \text{drop } (\text{Suc } i) \text{ } xs = \text{drop } i \text{ } xs$   
 $\langle \text{proof} \rangle$

### 43.1.15 *takeWhile* and *dropWhile*

**lemma** *length-takeWhile-le*:  $\text{length } (\text{takeWhile } P \text{ } xs) \leq \text{length } xs$   
 $\langle \text{proof} \rangle$

**lemma** *takeWhile-dropWhile-id* [simp]:  $\text{takeWhile } P \text{ } xs @ \text{dropWhile } P \text{ } xs = xs$   
 $\langle \text{proof} \rangle$

**lemma** *takeWhile-append1* [simp]:  
 $[| x:\text{set } xs; \sim P(x) |] \implies \text{takeWhile } P \text{ } (xs @ ys) = \text{takeWhile } P \text{ } xs$   
 $\langle \text{proof} \rangle$

**lemma** *takeWhile-append2* [simp]:

$(!!x. x : \text{set } xs \implies P x) \implies \text{takeWhile } P (xs @ ys) = xs @ \text{takeWhile } P ys$   
 $\langle \text{proof} \rangle$

**lemma** *takeWhile-tail*:  $\neg P x \implies \text{takeWhile } P (xs @ (x \# l)) = \text{takeWhile } P xs$   
 $\langle \text{proof} \rangle$

**lemma** *takeWhile-nth*:  $j < \text{length } (\text{takeWhile } P xs) \implies \text{takeWhile } P xs ! j = xs ! j$   
 $\langle \text{proof} \rangle$

**lemma** *dropWhile-nth*:  $j < \text{length } (\text{dropWhile } P xs) \implies \text{dropWhile } P xs ! j = xs ! (j + \text{length } (\text{takeWhile } P xs))$   
 $\langle \text{proof} \rangle$

**lemma** *length-dropWhile-le*:  $\text{length } (\text{dropWhile } P xs) \leq \text{length } xs$   
 $\langle \text{proof} \rangle$

**lemma** *dropWhile-append1* [simp]:

$[| x : \text{set } xs; \sim P(x) |] \implies \text{dropWhile } P (xs @ ys) = (\text{dropWhile } P xs) @ ys$   
 $\langle \text{proof} \rangle$

**lemma** *dropWhile-append2* [simp]:

$(!!x. x : \text{set } xs \implies P(x)) \implies \text{dropWhile } P (xs @ ys) = \text{dropWhile } P ys$   
 $\langle \text{proof} \rangle$

**lemma** *set-takeWhileD*:  $x : \text{set } (\text{takeWhile } P xs) \implies x : \text{set } xs \wedge P x$   
 $\langle \text{proof} \rangle$

**lemma** *takeWhile-eq-all-conv*[simp]:

$(\text{takeWhile } P xs = xs) = (\forall x \in \text{set } xs. P x)$   
 $\langle \text{proof} \rangle$

**lemma** *dropWhile-eq-Nil-conv*[simp]:

$(\text{dropWhile } P xs = []) = (\forall x \in \text{set } xs. P x)$   
 $\langle \text{proof} \rangle$

**lemma** *dropWhile-eq-Cons-conv*:

$(\text{dropWhile } P xs = y \# ys) = (xs = \text{takeWhile } P xs @ y \# ys \ \& \ \neg P y)$   
 $\langle \text{proof} \rangle$

**lemma** *distinct-takeWhile*[simp]:  $\text{distinct } xs \implies \text{distinct } (\text{takeWhile } P xs)$   
 $\langle \text{proof} \rangle$

**lemma** *distinct-dropWhile*[simp]:  $\text{distinct } xs \implies \text{distinct } (\text{dropWhile } P xs)$   
 $\langle \text{proof} \rangle$

**lemma** *takeWhile-map*:  $\text{takeWhile } P (\text{map } f xs) = \text{map } f (\text{takeWhile } (P \circ f) xs)$

$\langle proof \rangle$

**lemma** *dropWhile-map*:  $dropWhile\ P\ (map\ f\ xs) = map\ f\ (dropWhile\ (P \circ f)\ xs)$   
 $\langle proof \rangle$

**lemma** *takeWhile-eq-take*:  $takeWhile\ P\ xs = take\ (length\ (takeWhile\ P\ xs))\ xs$   
 $\langle proof \rangle$

**lemma** *dropWhile-eq-drop*:  $dropWhile\ P\ xs = drop\ (length\ (takeWhile\ P\ xs))\ xs$   
 $\langle proof \rangle$

**lemma** *hd-dropWhile*:  
 $dropWhile\ P\ xs \neq [] \implies \neg P\ (hd\ (dropWhile\ P\ xs))$   
 $\langle proof \rangle$

**lemma** *takeWhile-eq-filter*:  
**assumes**  $\bigwedge x. x \in set\ (dropWhile\ P\ xs) \implies \neg P\ x$   
**shows**  $takeWhile\ P\ xs = filter\ P\ xs$   
 $\langle proof \rangle$

**lemma** *takeWhile-eq-take-P-nth*:  
 $\llbracket \bigwedge i. \llbracket i < n ; i < length\ xs \rrbracket \implies P\ (xs\ !\ i) ; n < length\ xs \implies \neg P\ (xs\ !\ n) \rrbracket \implies$   
 $takeWhile\ P\ xs = take\ n\ xs$   
 $\langle proof \rangle$

**lemma** *nth-length-takeWhile*:  
 $length\ (takeWhile\ P\ xs) < length\ xs \implies \neg P\ (xs\ !\ length\ (takeWhile\ P\ xs))$   
 $\langle proof \rangle$

**lemma** *length-takeWhile-less-P-nth*:  
**assumes**  $all: \bigwedge i. i < j \implies P\ (xs\ !\ i)$  **and**  $j \leq length\ xs$   
**shows**  $j \leq length\ (takeWhile\ P\ xs)$   
 $\langle proof \rangle$

The following two lemmas could be generalized to an arbitrary property.

**lemma** *takeWhile-neq-rev*:  $\llbracket distinct\ xs ; x \in set\ xs \rrbracket \implies$   
 $takeWhile\ (\lambda y. y \neq x)\ (rev\ xs) = rev\ (tl\ (dropWhile\ (\lambda y. y \neq x)\ xs))$   
 $\langle proof \rangle$

**lemma** *dropWhile-neq-rev*:  $\llbracket distinct\ xs ; x \in set\ xs \rrbracket \implies$   
 $dropWhile\ (\lambda y. y \neq x)\ (rev\ xs) = x \# rev\ (takeWhile\ (\lambda y. y \neq x)\ xs)$   
 $\langle proof \rangle$

**lemma** *takeWhile-not-last*:  
 $\llbracket xs \neq [] ; distinct\ xs \rrbracket \implies takeWhile\ (\lambda y. y \neq last\ xs)\ xs = butlast\ xs$   
 $\langle proof \rangle$

**lemma** *takeWhile-cong* [*fundef-cong*, *recdef-cong*]:



$$\begin{aligned} & [| l = k; !!x. x : \text{set } l ==> P\ x = Q\ x \ |] \\ & ==> \text{takeWhile } P\ l = \text{takeWhile } Q\ k \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *dropWhile-cong* [*fundef-cong*, *recdef-cong*]:  

$$\begin{aligned} & [| l = k; !!x. x : \text{set } l ==> P\ x = Q\ x \ |] \\ & ==> \text{dropWhile } P\ l = \text{dropWhile } Q\ k \\ & \langle \text{proof} \rangle \end{aligned}$$

#### 43.1.16 *zip*

**lemma** *zip-Nil* [*simp*]:  $\text{zip } []\ ys = []$   
 $\langle \text{proof} \rangle$

**lemma** *zip-Cons-Cons* [*simp*]:  $\text{zip } (x \# xs)\ (y \# ys) = (x, y) \# \text{zip } xs\ ys$   
 $\langle \text{proof} \rangle$

**declare** *zip-Cons* [*simp del*]

**lemma** [*code*]:  

$$\begin{aligned} & \text{zip } []\ ys = [] \\ & \text{zip } xs\ [] = [] \\ & \text{zip } (x \# xs)\ (y \# ys) = (x, y) \# \text{zip } xs\ ys \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *zip-Cons1*:  

$$\text{zip } (x \# xs)\ ys = (\text{case } ys \text{ of } [] \Rightarrow [] \mid y \# ys \Rightarrow (x, y) \# \text{zip } xs\ ys)$$
  
 $\langle \text{proof} \rangle$

**lemma** *length-zip* [*simp*]:  

$$\text{length } (\text{zip } xs\ ys) = \min (\text{length } xs)\ (\text{length } ys)$$
  
 $\langle \text{proof} \rangle$

**lemma** *zip-obtain-same-length*:  

$$\begin{aligned} & \text{assumes } \bigwedge zs\ ws\ n. \text{length } zs = \text{length } ws \implies n = \min (\text{length } xs)\ (\text{length } ys) \\ & \implies zs = \text{take } n\ xs \implies ws = \text{take } n\ ys \implies P\ (\text{zip } zs\ ws) \\ & \text{shows } P\ (\text{zip } xs\ ys) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *zip-append1*:  

$$\begin{aligned} & \text{zip } (xs\ @\ ys)\ zs = \\ & \text{zip } xs\ (\text{take } (\text{length } xs)\ zs) @ \text{zip } ys\ (\text{drop } (\text{length } xs)\ zs) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *zip-append2*:  

$$\begin{aligned} & \text{zip } xs\ (ys\ @\ zs) = \\ & \text{zip } (\text{take } (\text{length } ys)\ xs)\ ys @ \text{zip } (\text{drop } (\text{length } ys)\ xs)\ zs \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *zip-append* [simp]:

$[[ \text{length } xs = \text{length } us; \text{length } ys = \text{length } vs ]] ==>$   
 $\text{zip } (xs@ys) (us@vs) = \text{zip } xs\ us\ @\ \text{zip } ys\ vs$   
 $\langle \text{proof} \rangle$

**lemma** *zip-rev*:

$\text{length } xs = \text{length } ys ==> \text{zip } (\text{rev } xs) (\text{rev } ys) = \text{rev } (\text{zip } xs\ ys)$   
 $\langle \text{proof} \rangle$

**lemma** *zip-map-map*:

$\text{zip } (\text{map } f\ xs) (\text{map } g\ ys) = \text{map } (\lambda (x, y). (f\ x, g\ y)) (\text{zip } xs\ ys)$   
 $\langle \text{proof} \rangle$

**lemma** *zip-map1*:

$\text{zip } (\text{map } f\ xs)\ ys = \text{map } (\lambda(x, y). (f\ x, y)) (\text{zip } xs\ ys)$   
 $\langle \text{proof} \rangle$

**lemma** *zip-map2*:

$\text{zip } xs\ (\text{map } f\ ys) = \text{map } (\lambda(x, y). (x, f\ y)) (\text{zip } xs\ ys)$   
 $\langle \text{proof} \rangle$

**lemma** *map-zip-map*:

$\text{map } f\ (\text{zip } (\text{map } g\ xs)\ ys) = \text{map } (\%(x,y). f(g\ x, y)) (\text{zip } xs\ ys)$   
 $\langle \text{proof} \rangle$

**lemma** *map-zip-map2*:

$\text{map } f\ (\text{zip } xs\ (\text{map } g\ ys)) = \text{map } (\%(x,y). f(x, g\ y)) (\text{zip } xs\ ys)$   
 $\langle \text{proof} \rangle$

Courtesy of Andreas Lochbihler:

**lemma** *zip-same-conv-map*:  $\text{zip } xs\ xs = \text{map } (\lambda x. (x, x))\ xs$   
 $\langle \text{proof} \rangle$

**lemma** *nth-zip* [simp]:

$[[ i < \text{length } xs; i < \text{length } ys ]] ==> (\text{zip } xs\ ys)!i = (xs!i, ys!i)$   
 $\langle \text{proof} \rangle$

**lemma** *set-zip*:

$\text{set } (\text{zip } xs\ ys) = \{(xs!i, ys!i) \mid i. i < \min (\text{length } xs) (\text{length } ys)\}$   
 $\langle \text{proof} \rangle$

**lemma** *zip-same*:  $((a,b) \in \text{set } (\text{zip } xs\ xs)) = (a \in \text{set } xs \wedge a = b)$

$\langle \text{proof} \rangle$

**lemma** *zip-update*:

$\text{zip } (xs[i:=x])\ (ys[i:=y]) = (\text{zip } xs\ ys)[i:=(x,y)]$   
 $\langle \text{proof} \rangle$

**lemma** *zip-replicate* [simp]:

$zip\ (replicate\ i\ x)\ (replicate\ j\ y) = replicate\ (\min\ i\ j)\ (x,y)$   
 $\langle proof \rangle$

**lemma** *take-zip*:  
 $take\ n\ (zip\ xs\ ys) = zip\ (take\ n\ xs)\ (take\ n\ ys)$   
 $\langle proof \rangle$

**lemma** *drop-zip*:  
 $drop\ n\ (zip\ xs\ ys) = zip\ (drop\ n\ xs)\ (drop\ n\ ys)$   
 $\langle proof \rangle$

**lemma** *zip-takeWhile-fst*:  $zip\ (takeWhile\ P\ xs)\ ys = takeWhile\ (P \circ fst)\ (zip\ xs\ ys)$   
 $\langle proof \rangle$

**lemma** *zip-takeWhile-snd*:  $zip\ xs\ (takeWhile\ P\ ys) = takeWhile\ (P \circ snd)\ (zip\ xs\ ys)$   
 $\langle proof \rangle$

**lemma** *set-zip-leftD*:  
 $(x,y) \in set\ (zip\ xs\ ys) \implies x \in set\ xs$   
 $\langle proof \rangle$

**lemma** *set-zip-rightD*:  
 $(x,y) \in set\ (zip\ xs\ ys) \implies y \in set\ ys$   
 $\langle proof \rangle$

**lemma** *in-set-zipE*:  
 $(x,y) : set\ (zip\ xs\ ys) \implies ([\ x : set\ xs; y : set\ ys \ ] \implies R) \implies R$   
 $\langle proof \rangle$

**lemma** *zip-map-fst-snd*:  
 $zip\ (map\ fst\ zs)\ (map\ snd\ zs) = zs$   
 $\langle proof \rangle$

**lemma** *zip-eq-conv*:  
 $length\ xs = length\ ys \implies zip\ xs\ ys = zs \iff map\ fst\ zs = xs \wedge map\ snd\ zs = ys$   
 $\langle proof \rangle$

**lemma** *distinct-zipI1*:  
 $distinct\ xs \implies distinct\ (zip\ xs\ ys)$   
 $\langle proof \rangle$

**lemma** *distinct-zipI2*:  
 $distinct\ xs \implies distinct\ (zip\ xs\ ys)$   
 $\langle proof \rangle$

**43.1.17** *list-all2***lemma** *list-all2-lengthD* [intro?]:

$$\text{list-all2 } P \text{ } xs \text{ } ys ==> \text{length } xs = \text{length } ys$$

*<proof>***lemma** *list-all2-Nil* [iff, code]: *list-all2* *P* [] *ys* = (*ys* = [])*<proof>***lemma** *list-all2-Nil2* [iff, code]: *list-all2* *P* *xs* [] = (*xs* = [])*<proof>***lemma** *list-all2-Cons* [iff, code]:

$$\text{list-all2 } P \text{ } (x \# xs) \text{ } (y \# ys) = (P \text{ } x \text{ } y \wedge \text{list-all2 } P \text{ } xs \text{ } ys)$$

*<proof>***lemma** *list-all2-Cons1*:

$$\text{list-all2 } P \text{ } (x \# xs) \text{ } ys = (\exists z \text{ } zs. \text{ } ys = z \# zs \wedge P \text{ } x \text{ } z \wedge \text{list-all2 } P \text{ } xs \text{ } zs)$$

*<proof>***lemma** *list-all2-Cons2*:

$$\text{list-all2 } P \text{ } xs \text{ } (y \# ys) = (\exists z \text{ } zs. \text{ } xs = z \# zs \wedge P \text{ } z \text{ } y \wedge \text{list-all2 } P \text{ } zs \text{ } ys)$$

*<proof>***lemma** *list-all2-rev* [iff]:

$$\text{list-all2 } P \text{ } (\text{rev } xs) \text{ } (\text{rev } ys) = \text{list-all2 } P \text{ } xs \text{ } ys$$

*<proof>***lemma** *list-all2-rev1*:

$$\text{list-all2 } P \text{ } (\text{rev } xs) \text{ } ys = \text{list-all2 } P \text{ } xs \text{ } (\text{rev } ys)$$

*<proof>***lemma** *list-all2-append1*:

$$\text{list-all2 } P \text{ } (xs @ ys) \text{ } zs =$$

$$(EX \text{ } us \text{ } vs. \text{ } zs = us @ vs \wedge \text{length } us = \text{length } xs \wedge \text{length } vs = \text{length } ys \wedge$$

$$\text{list-all2 } P \text{ } xs \text{ } us \wedge \text{list-all2 } P \text{ } ys \text{ } vs)$$

*<proof>***lemma** *list-all2-append2*:

$$\text{list-all2 } P \text{ } xs \text{ } (ys @ zs) =$$

$$(EX \text{ } us \text{ } vs. \text{ } xs = us @ vs \wedge \text{length } us = \text{length } ys \wedge \text{length } vs = \text{length } zs \wedge$$

$$\text{list-all2 } P \text{ } us \text{ } ys \wedge \text{list-all2 } P \text{ } vs \text{ } zs)$$

*<proof>***lemma** *list-all2-append*:

$$\text{length } xs = \text{length } ys \implies$$

$$\text{list-all2 } P \text{ } (xs @ us) \text{ } (ys @ vs) = (\text{list-all2 } P \text{ } xs \text{ } ys \wedge \text{list-all2 } P \text{ } us \text{ } vs)$$

*<proof>***lemma** *list-all2-appendI* [intro?, trans]:

$\llbracket \text{list-all2 } P \ a \ b; \text{list-all2 } P \ c \ d \rrbracket \Longrightarrow \text{list-all2 } P \ (a @ c) \ (b @ d)$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-conv-all-nth*:

$\text{list-all2 } P \ xs \ ys =$   
 $(\text{length } xs = \text{length } ys \wedge (\forall i < \text{length } xs. P \ (xs!i) \ (ys!i)))$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-trans*:

**assumes**  $tr: !!a \ b \ c. P1 \ a \ b \Longrightarrow P2 \ b \ c \Longrightarrow P3 \ a \ c$   
**shows**  $!!bs \ cs. \text{list-all2 } P1 \ as \ bs \Longrightarrow \text{list-all2 } P2 \ bs \ cs \Longrightarrow \text{list-all2 } P3 \ as \ cs$   
 $(\text{is } !!bs \ cs. \text{PROP } ?Q \ as \ bs \ cs)$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-all-nthI*  $[intro?]$ :

$\text{length } a = \text{length } b \Longrightarrow (\bigwedge n. n < \text{length } a \Longrightarrow P \ (a!n) \ (b!n)) \Longrightarrow \text{list-all2 } P \ a \ b$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2I*:

$\forall x \in \text{set } (\text{zip } a \ b). \text{split } P \ x \Longrightarrow \text{length } a = \text{length } b \Longrightarrow \text{list-all2 } P \ a \ b$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-nthD*:

$\llbracket \text{list-all2 } P \ xs \ ys; \ p < \text{size } xs \rrbracket \Longrightarrow P \ (xs!p) \ (ys!p)$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-nthD2*:

$\llbracket \text{list-all2 } P \ xs \ ys; \ p < \text{size } ys \rrbracket \Longrightarrow P \ (xs!p) \ (ys!p)$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-map1*:

$\text{list-all2 } P \ (\text{map } f \ as) \ bs = \text{list-all2 } (\lambda x \ y. P \ (f \ x) \ y) \ as \ bs$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-map2*:

$\text{list-all2 } P \ as \ (\text{map } f \ bs) = \text{list-all2 } (\lambda x \ y. P \ x \ (f \ y)) \ as \ bs$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-refl*  $[intro?]$ :

$(\bigwedge x. P \ x \ x) \Longrightarrow \text{list-all2 } P \ xs \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-update-cong*:

$\llbracket i < \text{size } xs; \text{list-all2 } P \ xs \ ys; \ P \ x \ y \rrbracket \Longrightarrow \text{list-all2 } P \ (xs[i:=x]) \ (ys[i:=y])$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-update-cong2*:

$\llbracket \text{list-all2 } P \ xs \ ys; \ P \ x \ y; \ i < \text{length } ys \rrbracket \Longrightarrow \text{list-all2 } P \ (xs[i:=x]) \ (ys[i:=y])$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-takeI* [*simp,intro?*]:

$list-all2\ P\ xs\ ys \implies list-all2\ P\ (take\ n\ xs)\ (take\ n\ ys)$   
 $\langle proof \rangle$

**lemma** *list-all2-dropI* [*simp,intro?*]:

$list-all2\ P\ as\ bs \implies list-all2\ P\ (drop\ n\ as)\ (drop\ n\ bs)$   
 $\langle proof \rangle$

**lemma** *list-all2-mono* [*intro?*]:

$list-all2\ P\ xs\ ys \implies (\bigwedge xs\ ys. P\ xs\ ys \implies Q\ xs\ ys) \implies list-all2\ Q\ xs\ ys$   
 $\langle proof \rangle$

**lemma** *list-all2-eq*:

$xs = ys \iff list-all2\ (op =)\ xs\ ys$   
 $\langle proof \rangle$

#### 43.1.18 *foldl* and *foldr*

**lemma** *foldl-append* [*simp*]:

$foldl\ f\ a\ (xs\ @\ ys) = foldl\ f\ (foldl\ f\ a\ xs)\ ys$   
 $\langle proof \rangle$

**lemma** *foldr-append*[*simp*]:  $foldr\ f\ (xs\ @\ ys)\ a = foldr\ f\ xs\ (foldr\ f\ ys\ a)$

$\langle proof \rangle$

**lemma** *foldr-map*:  $foldr\ g\ (map\ f\ xs)\ a = foldr\ (g\ o\ f)\ xs\ a$

$\langle proof \rangle$

For efficient code generation: avoid intermediate list.

**lemma** *foldl-map*[*code-unfold*]:

$foldl\ g\ a\ (map\ f\ xs) = foldl\ (\%a\ x. g\ a\ (f\ x))\ a\ xs$   
 $\langle proof \rangle$

**lemma** *foldl-apply*:

**assumes**  $\bigwedge x. x \in set\ xs \implies f\ x \circ h = h \circ g\ x$   
**shows**  $foldl\ (\lambda s\ x. f\ x\ s)\ (h\ s)\ xs = h\ (foldl\ (\lambda s\ x. g\ x\ s)\ s\ xs)$   
 $\langle proof \rangle$

**lemma** *foldl-cong* [*fundef-cong, recdef-cong*]:

$[| a = b; l = k; !!a\ x. x : set\ l ==> f\ a\ x = g\ a\ x |]$   
 $==> foldl\ f\ a\ l = foldl\ g\ b\ k$   
 $\langle proof \rangle$

**lemma** *foldr-cong* [*fundef-cong, recdef-cong*]:

$[| a = b; l = k; !!a\ x. x : set\ l ==> f\ x\ a = g\ x\ a |]$   
 $==> foldr\ f\ l\ a = foldr\ g\ k\ b$   
 $\langle proof \rangle$

**lemma** *foldl-fun-comm*:

**assumes**  $\bigwedge x y s. f (f s x) y = f (f s y) x$   
**shows**  $f (foldl f s xs) x = foldl f (f s x) xs$   
 $\langle proof \rangle$

**lemma** (**in** *semigroup-add*) *foldl-assoc*:

**shows**  $foldl op + (x+y) zs = x + (foldl op + y zs)$   
 $\langle proof \rangle$

**lemma** (**in** *monoid-add*) *foldl-absorb0*:

**shows**  $x + (foldl op + 0 zs) = foldl op + x zs$   
 $\langle proof \rangle$

**lemma** *foldl-rev*:

**assumes**  $\bigwedge x y s. f (f s x) y = f (f s y) x$   
**shows**  $foldl f s (rev xs) = foldl f s xs$   
 $\langle proof \rangle$

The “First Duality Theorem” in Bird & Wadler:

**lemma** *foldl-foldr1-lemma*:

$foldl op + a xs = a + foldr op + xs (0::'a::monoid-add)$   
 $\langle proof \rangle$

**corollary** *foldl-foldr1*:

$foldl op + 0 xs = foldr op + xs (0::'a::monoid-add)$   
 $\langle proof \rangle$

The “Third Duality Theorem” in Bird & Wadler:

**lemma** *foldr-foldl*:  $foldr f xs a = foldl (\%x y. f y x) a (rev xs)$   
 $\langle proof \rangle$

**lemma** *foldl-foldr*:  $foldl f a xs = foldr (\%x y. f y x) (rev xs) a$   
 $\langle proof \rangle$

**lemma** (**in** *ab-semigroup-add*) *foldr-conv-foldl*:  $foldr op + xs a = foldl op + a xs$   
 $\langle proof \rangle$

Note:  $n \leq foldl (op +) n ns$  looks simpler, but is more difficult to use because it requires an additional transitivity step.

**lemma** *start-le-sum*:  $(m::nat) \leq n \implies m \leq foldl (op +) n ns$   
 $\langle proof \rangle$

**lemma** *elem-le-sum*:  $(n::nat) : set ns \implies n \leq foldl (op +) 0 ns$   
 $\langle proof \rangle$

**lemma** *sum-eq-0-conv* [iff]:

$(foldl (op +) (m::nat) ns = 0) = (m = 0 \wedge (\forall n \in set ns. n = 0))$   
 $\langle proof \rangle$

**lemma** *foldr-invariant*:

$\llbracket Q\ x ; \forall\ x \in \text{set}\ xs.\ P\ x ; \forall\ x\ y.\ P\ x \wedge Q\ y \longrightarrow Q\ (f\ x\ y) \rrbracket \Longrightarrow Q\ (\text{foldr}\ f\ xs\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-invariant*:

$\llbracket Q\ x ; \forall\ x \in \text{set}\ xs.\ P\ x ; \forall\ x\ y.\ P\ x \wedge Q\ y \longrightarrow Q\ (f\ y\ x) \rrbracket \Longrightarrow Q\ (\text{foldl}\ f\ x\ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-weak-invariant*:

**assumes**  $P\ s$   
**and**  $\bigwedge s\ x.\ x \in \text{set}\ xs \Longrightarrow P\ s \Longrightarrow P\ (f\ s\ x)$   
**shows**  $P\ (\text{foldl}\ f\ s\ xs)$   
 $\langle \text{proof} \rangle$

*foldl* and *concat*

**lemma** *foldl-conv-concat*:

$\text{foldl}\ (op\ @)\ xs\ xss = xs\ @\ \text{concat}\ xss$   
 $\langle \text{proof} \rangle$

**lemma** *concat-conv-foldl*:  $\text{concat}\ xss = \text{foldl}\ (op\ @)\ []\ xss$

$\langle \text{proof} \rangle$

*fold* and *foldl*

**lemma** (**in** *fun-left-comm*) *fold-set-remdups*:

$\text{fold}\ f\ y\ (\text{set}\ xs) = \text{foldl}\ (\lambda y\ x.\ f\ x\ y)\ y\ (\text{remdups}\ xs)$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *fun-left-comm-idem*) *fold-set*:

$\text{fold}\ f\ y\ (\text{set}\ xs) = \text{foldl}\ (\lambda y\ x.\ f\ x\ y)\ y\ xs$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *ab-semigroup-idem-mult*) *fold1-set*:

**assumes**  $xs \neq []$   
**shows**  $\text{fold1}\ \text{times}\ (\text{set}\ xs) = \text{foldl}\ \text{times}\ (\text{hd}\ xs)\ (\text{tl}\ xs)$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *lattice*) *Inf-fin-set-fold* [code-unfold]:

$\text{Inf-fin}\ (\text{set}\ (x\ \# \ xs)) = \text{foldl}\ \text{inf}\ x\ xs$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *lattice*) *Sup-fin-set-fold* [code-unfold]:

$\text{Sup-fin}\ (\text{set}\ (x\ \# \ xs)) = \text{foldl}\ \text{sup}\ x\ xs$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *linorder*) *Min-fin-set-fold* [code-unfold]:

$\text{Min}\ (\text{set}\ (x\ \# \ xs)) = \text{foldl}\ \text{min}\ x\ xs$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *linorder*) *Max-fin-set-fold* [code-unfold]:



$Max (set (x \# xs)) = foldl\ max\ x\ xs$   
 $\langle proof \rangle$

**lemma** (in complete-lattice) *Inf-set-fold* [code-unfold]:  
 $Inf (set\ xs) = foldl\ inf\ top\ xs$   
 $\langle proof \rangle$

**lemma** (in complete-lattice) *Sup-set-fold* [code-unfold]:  
 $Sup (set\ xs) = foldl\ sup\ bot\ xs$   
 $\langle proof \rangle$

**lemma** (in complete-lattice) *INFI-set-fold*:  
 $INFI (set\ xs)\ f = foldl\ (\lambda y\ x.\ inf\ (f\ x)\ y)\ top\ xs$   
 $\langle proof \rangle$

**lemma** (in complete-lattice) *SUPR-set-fold*:  
 $SUPR (set\ xs)\ f = foldl\ (\lambda y\ x.\ sup\ (f\ x)\ y)\ bot\ xs$   
 $\langle proof \rangle$

#### 43.1.19 List summation: *listsum* and $\sum$

**lemma** *listsum-append* [simp]:  $listsum\ (xs\ @\ ys) = listsum\ xs + listsum\ ys$   
 $\langle proof \rangle$

**lemma** *listsum-rev* [simp]:  
**fixes**  $xs :: 'a::comm-monoid-add\ list$   
**shows**  $listsum\ (rev\ xs) = listsum\ xs$   
 $\langle proof \rangle$

**lemma** *listsum-map-remove1*:  
**fixes**  $f :: 'a \Rightarrow ('b::comm-monoid-add)$   
**shows**  $x : set\ xs \Longrightarrow listsum(map\ f\ xs) = f\ x + listsum(map\ f\ (remove1\ x\ xs))$   
 $\langle proof \rangle$

**lemma** *list-size-conv-listsum*:  
 $list-size\ f\ xs = listsum\ (map\ f\ xs) + size\ xs$   
 $\langle proof \rangle$

**lemma** *listsum-foldr*:  $listsum\ xs = foldr\ (op\ +)\ xs\ 0$   
 $\langle proof \rangle$

**lemma** *length-concat*:  $length\ (concat\ xss) = listsum\ (map\ length\ xss)$   
 $\langle proof \rangle$

**lemma** *listsum-map-filter*:  
**fixes**  $f :: 'a \Rightarrow 'b::comm-monoid-add$   
**assumes**  $\bigwedge x. \llbracket x \in set\ xs ; \neg P\ x \rrbracket \Longrightarrow f\ x = 0$   
**shows**  $listsum\ (map\ f\ (filter\ P\ xs)) = listsum\ (map\ f\ xs)$   
 $\langle proof \rangle$

For efficient code generation — *listsum* is not tail recursive but *foldl* is.

**lemma** *listsum[code-unfold]*:  $\text{listsum } xs = \text{foldl } (op \ +) \ 0 \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *distinct-listsum-conv-Setsum*:  
 $\text{distinct } xs \implies \text{listsum } xs = \text{Setsum}(\text{set } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *listsum-eq-0-nat-iff-nat[simp]*:  
 $\text{listsum } ns = (0::\text{nat}) \longleftrightarrow (\forall \ n \in \text{set } ns. \ n = 0)$   
 $\langle \text{proof} \rangle$

**lemma** *elem-le-listsum-nat*:  $k < \text{size } ns \implies ns!k \leq \text{listsum}(ns::\text{nat list})$   
 $\langle \text{proof} \rangle$

**lemma** *listsum-update-nat*:  $k < \text{size } ns \implies$   
 $\text{listsum } (ns[k := (n::\text{nat})]) = \text{listsum } ns + n - ns!k$   
 $\langle \text{proof} \rangle$

Some syntactic sugar for summing a function over a list:

**syntax**  
 $\text{-listsum} :: \text{pttrn} \Rightarrow 'a \ \text{list} \Rightarrow 'b \Rightarrow 'b \quad ((\mathcal{S}UM \ -<--. \ -) \ [0, \ 51, \ 10] \ 10)$   
**syntax** (*xsymbols*)  
 $\text{-listsum} :: \text{pttrn} \Rightarrow 'a \ \text{list} \Rightarrow 'b \Rightarrow 'b \quad ((\mathcal{S}\sum \ -<--. \ -) \ [0, \ 51, \ 10] \ 10)$   
**syntax** (*HTML output*)  
 $\text{-listsum} :: \text{pttrn} \Rightarrow 'a \ \text{list} \Rightarrow 'b \Rightarrow 'b \quad ((\mathcal{S}\sum \ -<--. \ -) \ [0, \ 51, \ 10] \ 10)$

**translations** — Beware of argument permutation!  
 $SUM \ x < -xs. \ b == CONST \ \text{listsum} \ (CONST \ \text{map} \ (\%x. \ b) \ xs)$   
 $\sum \ x < -xs. \ b == CONST \ \text{listsum} \ (CONST \ \text{map} \ (\%x. \ b) \ xs)$

**lemma** *listsum-triv*:  $(\sum \ x < -xs. \ r) = \text{of-nat } (\text{length } xs) * r$   
 $\langle \text{proof} \rangle$

**lemma** *listsum-0 [simp]*:  $(\sum \ x < -xs. \ 0) = 0$   
 $\langle \text{proof} \rangle$

For non-Abelian groups *xs* needs to be reversed on one side:

**lemma** *uminus-listsum-map*:  
**fixes**  $f :: 'a \Rightarrow 'b::\text{ab-group-add}$   
**shows**  $-\ \text{listsum} \ (\text{map } f \ xs) = (\text{listsum} \ (\text{map} \ (\text{uminus } o \ f) \ xs))$   
 $\langle \text{proof} \rangle$

**lemma** *listsum-addf*:  
**fixes**  $f \ g :: 'a \Rightarrow 'b::\text{comm-monoid-add}$   
**shows**  $(\sum \ x < -xs. \ f \ x + g \ x) = \text{listsum} \ (\text{map } f \ xs) + \text{listsum} \ (\text{map } g \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *listsum-subtractf*:

**fixes**  $f\ g :: 'a \Rightarrow 'b::ab\text{-group-add}$   
**shows**  $(\sum x \leftarrow xs. f\ x - g\ x) = listsum\ (map\ f\ xs) - listsum\ (map\ g\ xs)$   
 $\langle proof \rangle$

**lemma** *listsum-const-mult*:  
**fixes**  $f :: 'a \Rightarrow 'b::semiring-0$   
**shows**  $(\sum x \leftarrow xs. c * f\ x) = c * (\sum x \leftarrow xs. f\ x)$   
 $\langle proof \rangle$

**lemma** *listsum-mult-const*:  
**fixes**  $f :: 'a \Rightarrow 'b::semiring-0$   
**shows**  $(\sum x \leftarrow xs. f\ x * c) = (\sum x \leftarrow xs. f\ x) * c$   
 $\langle proof \rangle$

**lemma** *listsum-abs*:  
**fixes**  $xs :: 'a::ordered\text{-ab-group-add-abs}\ list$   
**shows**  $|listsum\ xs| \leq listsum\ (map\ abs\ xs)$   
 $\langle proof \rangle$

**lemma** *listsum-mono*:  
**fixes**  $f\ g :: 'a \Rightarrow 'b::\{comm\text{-monoid-add}, ordered\text{-ab-semigroup-add}\}$   
**shows**  $(\bigwedge x. x \in set\ xs \implies f\ x \leq g\ x) \implies (\sum x \leftarrow xs. f\ x) \leq (\sum x \leftarrow xs. g\ x)$   
 $\langle proof \rangle$

#### 43.1.20 *upt*

**lemma** *upt-rec[code]*:  $[i..<j] = (if\ i < j\ then\ i \# [Suc\ i..<j]\ else\ [])$   
— *simp* does not terminate!  
 $\langle proof \rangle$

**lemmas** *upt-rec-number-of[simp]* = *upt-rec[of number-of m number-of n, standard]*

**lemma** *upt-conv-Nil [simp]*:  $j \leq i \implies [i..<j] = []$   
 $\langle proof \rangle$

**lemma** *upt-eq-Nil-conv[simp]*:  $([i..<j] = []) = (j = 0 \vee j \leq i)$   
 $\langle proof \rangle$

**lemma** *upt-eq-Cons-conv*:  
 $([i..<j] = x \# xs) = (i < j \ \&\ i = x \ \&\ [i+1..<j] = xs)$   
 $\langle proof \rangle$

**lemma** *upt-Suc-append*:  $i \leq j \implies [i..<(Suc\ j)] = [i..<j] @ [j]$   
— Only needed if *upt-Suc* is deleted from the simpset.  
 $\langle proof \rangle$

**lemma** *upt-conv-Cons*:  $i < j \implies [i..<j] = i \# [Suc\ i..<j]$   
 $\langle proof \rangle$

**lemma** *upt-add-eq-append*:  $i \leq j \implies [i..<j+k] = [i..<j] @ [j..<j+k]$   
 — LOOPS as a simplrule, since  $j \leq j$ .  
 $\langle proof \rangle$

**lemma** *length-upt [simp]*:  $length [i..<j] = j - i$   
 $\langle proof \rangle$

**lemma** *nth-upt [simp]*:  $i + k < j \implies [i..<j] ! k = i + k$   
 $\langle proof \rangle$

**lemma** *hd-upt [simp]*:  $i < j \implies hd [i..<j] = i$   
 $\langle proof \rangle$

**lemma** *last-upt [simp]*:  $i < j \implies last [i..<j] = j - 1$   
 $\langle proof \rangle$

**lemma** *take-upt [simp]*:  $i+m \leq n \implies take\ m\ [i..<n] = [i..<i+m]$   
 $\langle proof \rangle$

**lemma** *drop-upt [simp]*:  $drop\ m\ [i..<j] = [i+m..<j]$   
 $\langle proof \rangle$

**lemma** *map-Suc-upt*:  $map\ Suc\ [m..<n] = [Suc\ m..<Suc\ n]$   
 $\langle proof \rangle$

**lemma** *nth-map-upt*:  $i < n-m \implies (map\ f\ [m..<n]) ! i = f(m+i)$   
 $\langle proof \rangle$

**lemma** *nth-take-lemma*:  
 $k \leq length\ xs \implies k \leq length\ ys \implies$   
 $(!i. i < k \longrightarrow xs!i = ys!i) \implies take\ k\ xs = take\ k\ ys$   
 $\langle proof \rangle$

**lemma** *nth-equalityI*:  
 $[| length\ xs = length\ ys; ALL\ i < length\ xs. xs!i = ys!i |] \implies xs = ys$   
 $\langle proof \rangle$

**lemma** *map-nth*:  
 $map\ (\lambda i. xs ! i)\ [0..<length\ xs] = xs$   
 $\langle proof \rangle$

**lemma** *list-all2-antisym*:  
 $[| (\bigwedge x\ y. [P\ x\ y; Q\ y\ x] \implies x = y); list-all2\ P\ xs\ ys; list-all2\ Q\ ys\ xs |]$   
 $\implies xs = ys$   
 $\langle proof \rangle$

**lemma** *take-equalityI*:  $(\forall i. take\ i\ xs = take\ i\ ys) \implies xs = ys$

— The famous take-lemma.

$\langle proof \rangle$

**lemma** *take-Cons'*:

$take\ n\ (x \# xs) = (if\ n = 0\ then\ []\ else\ x \# take\ (n - 1)\ xs)$

$\langle proof \rangle$

**lemma** *drop-Cons'*:

$drop\ n\ (x \# xs) = (if\ n = 0\ then\ x \# xs\ else\ drop\ (n - 1)\ xs)$

$\langle proof \rangle$

**lemma** *nth-Cons'*:  $(x \# xs)!n = (if\ n = 0\ then\ x\ else\ xs!(n - 1))$

$\langle proof \rangle$

**lemmas** *take-Cons-number-of* = *take-Cons'*[of number-of *v*, standard]

**lemmas** *drop-Cons-number-of* = *drop-Cons'*[of number-of *v*, standard]

**lemmas** *nth-Cons-number-of* = *nth-Cons'*[of - - number-of *v*, standard]

**declare** *take-Cons-number-of* [simp]

*drop-Cons-number-of* [simp]

*nth-Cons-number-of* [simp]

#### 43.1.21 upto: interval-list on int

**function** *upto* :: *int*  $\Rightarrow$  *int*  $\Rightarrow$  *int* list ((1[-./-])) **where**

*upto* *i* *j* = (if *i*  $\leq$  *j* then *i* # [*i*+1..*j*] else [])

$\langle proof \rangle$

**termination**

$\langle proof \rangle$

**declare** *upto.simps*[code, simp del]

**lemmas** *upto-rec-number-of*[simp] =

*upto.simps*[of number-of *m* number-of *n*, standard]

**lemma** *upto-empty*[simp]:  $j < i \implies [i..j] = []$

$\langle proof \rangle$

**lemma** *set-upto*[simp]:  $set[i..j] = \{i..j\}$

$\langle proof \rangle$

#### 43.1.22 distinct and remdups

**lemma** *distinct-append* [simp]:

*distinct* (*xs* @ *ys*) = (*distinct* *xs*  $\wedge$  *distinct* *ys*  $\wedge$  *set* *xs*  $\cap$  *set* *ys* = {})

$\langle proof \rangle$

**lemma** *distinct-rev*[simp]: *distinct*(*rev* *xs*) = *distinct* *xs*

$\langle proof \rangle$

**lemma** *set-remdups* [simp]:  $\text{set } (\text{remdups } xs) = \text{set } xs$   
 ⟨proof⟩

**lemma** *distinct-remdups* [iff]:  $\text{distinct } (\text{remdups } xs)$   
 ⟨proof⟩

**lemma** *distinct-remdups-id*:  $\text{distinct } xs \implies \text{remdups } xs = xs$   
 ⟨proof⟩

**lemma** *remdups-id-iff-distinct* [simp]:  $\text{remdups } xs = xs \longleftrightarrow \text{distinct } xs$   
 ⟨proof⟩

**lemma** *finite-distinct-list*:  $\text{finite } A \implies \exists x. \text{set } xs = A \ \& \ \text{distinct } xs$   
 ⟨proof⟩

**lemma** *remdups-eq-nil-iff* [simp]:  $(\text{remdups } x = []) = (x = [])$   
 ⟨proof⟩

**lemma** *remdups-eq-nil-right-iff* [simp]:  $([] = \text{remdups } x) = (x = [])$   
 ⟨proof⟩

**lemma** *length-remdups-leq*[iff]:  $\text{length}(\text{remdups } xs) \leq \text{length } xs$   
 ⟨proof⟩

**lemma** *length-remdups-eq*[iff]:  
 $(\text{length } (\text{remdups } xs) = \text{length } xs) = (\text{remdups } xs = xs)$   
 ⟨proof⟩

**lemma** *remdups-filter*:  $\text{remdups}(\text{filter } P \ xs) = \text{filter } P \ (\text{remdups } xs)$   
 ⟨proof⟩

**lemma** *distinct-map*:  
 $\text{distinct}(\text{map } f \ xs) = (\text{distinct } xs \ \& \ \text{inj-on } f \ (\text{set } xs))$   
 ⟨proof⟩

**lemma** *distinct-filter* [simp]:  $\text{distinct } xs \implies \text{distinct } (\text{filter } P \ xs)$   
 ⟨proof⟩

**lemma** *distinct-upt*[simp]:  $\text{distinct}[i..<j]$   
 ⟨proof⟩

**lemma** *distinct-upto*[simp]:  $\text{distinct}[i..j]$   
 ⟨proof⟩

**lemma** *distinct-take*[simp]:  $\text{distinct } xs \implies \text{distinct } (\text{take } i \ xs)$   
 ⟨proof⟩

**lemma** *distinct-drop[simp]*:  $\text{distinct } xs \implies \text{distinct } (\text{drop } i \text{ } xs)$   
 <proof>

**lemma** *distinct-list-update*:  
**assumes**  $d$ :  $\text{distinct } xs$  **and**  $a$ :  $a \notin \text{set } xs - \{xs!i\}$   
**shows**  $\text{distinct } (xs[i:=a])$   
 <proof>

**lemma** *distinct-concat*:  
**assumes**  $\text{distinct } xs$   
**and**  $\bigwedge ys. ys \in \text{set } xs \implies \text{distinct } ys$   
**and**  $\bigwedge ys \ zs. \llbracket ys \in \text{set } xs ; zs \in \text{set } xs ; ys \neq zs \rrbracket \implies \text{set } ys \cap \text{set } zs = \{\}$   
**shows**  $\text{distinct } (\text{concat } xs)$   
 <proof>

It is best to avoid this indexed version of *distinct*, but sometimes it is useful.

**lemma** *distinct-conv-nth*:  
 $\text{distinct } xs = (\forall i < \text{size } xs. \forall j < \text{size } xs. i \neq j \longrightarrow xs!i \neq xs!j)$   
 <proof>

**lemma** *nth-eq-iff-index-eq*:  
 $\llbracket \text{distinct } xs ; i < \text{length } xs ; j < \text{length } xs \rrbracket \implies (xs!i = xs!j) = (i = j)$   
 <proof>

**lemma** *distinct-card*:  $\text{distinct } xs \implies \text{card } (\text{set } xs) = \text{size } xs$   
 <proof>

**lemma** *card-distinct*:  $\text{card } (\text{set } xs) = \text{size } xs \implies \text{distinct } xs$   
 <proof>

**lemma** *not-distinct-decomp*:  $\sim \text{distinct } ws \implies \exists x \ y \ z. ws = xs @ [y] @ ys @ [y] @ zs$   
 <proof>

**lemma** *length-remdups-concat*:  
 $\text{length}(\text{remdups}(\text{concat } xss)) = \text{card}(\bigcup xs \in \text{set } xss. \text{set } xs)$   
 <proof>

**lemma** *length-remdups-card-conv*:  $\text{length}(\text{remdups } xs) = \text{card}(\text{set } xs)$   
 <proof>

**lemma** *remdups-remdups*:  
 $\text{remdups } (\text{remdups } xs) = \text{remdups } xs$   
 <proof>

**lemma** *distinct-butlast*:  
**assumes**  $xs \neq []$  **and**  $\text{distinct } xs$   
**shows**  $\text{distinct } (\text{butlast } xs)$   
 <proof>

**43.1.23** *insert***lemma** *in-set-insert* [simp]:

$$x \in \text{set } xs \implies \text{List.insert } x \ xs = xs$$

⟨proof⟩

**lemma** *not-in-set-insert* [simp]:

$$x \notin \text{set } xs \implies \text{List.insert } x \ xs = x \# \ xs$$

⟨proof⟩

**lemma** *insert-Nil* [simp]:

$$\text{List.insert } x \ [] = [x]$$

⟨proof⟩

**lemma** *set-insert* [simp]:

$$\text{set } (\text{List.insert } x \ xs) = \text{insert } x \ (\text{set } xs)$$

⟨proof⟩

**lemma** *distinct-insert* [simp]:

$$\text{distinct } xs \implies \text{distinct } (\text{List.insert } x \ xs)$$

⟨proof⟩

**lemma** *insert-remdups*:

$$\text{List.insert } x \ (\text{remdups } xs) = \text{remdups } (\text{List.insert } x \ xs)$$

⟨proof⟩

**lemma** *distinct-induct* [consumes 1, case-names Nil insert]:assumes *distinct xs*assumes *P []*assumes *insert*:  $\bigwedge x \ xs. \text{distinct } xs \implies x \notin \text{set } xs$   
 $\implies P \ xs \implies P \ (\text{List.insert } x \ xs)$ shows *P xs*

⟨proof⟩

**43.1.24** *remove1***lemma** *remove1-append*:

$$\text{remove1 } x \ (xs \ @ \ ys) =$$

$$(\text{if } x \in \text{set } xs \text{ then } \text{remove1 } x \ xs \ @ \ ys \text{ else } xs \ @ \ \text{remove1 } x \ ys)$$

⟨proof⟩

**lemma** *remove1-commute*:  $\text{remove1 } x \ (\text{remove1 } y \ zs) = \text{remove1 } y \ (\text{remove1 } x \ zs)$ 

⟨proof⟩

**lemma** *in-set-remove1* [simp]:

$$a \neq b \implies a : \text{set}(\text{remove1 } b \ xs) = (a : \text{set } xs)$$

⟨proof⟩

**lemma** *set-remove1-subset*:  $\text{set}(\text{remove1 } x \ xs) \leq \text{set } xs$ 

⟨proof⟩



**lemma** *set-remove1-eq* [simp]:  $\text{distinct } xs \implies \text{set}(\text{remove1 } x \ xs) = \text{set } xs - \{x\}$   
 ⟨proof⟩

**lemma** *length-remove1*:  
 $\text{length}(\text{remove1 } x \ xs) = (\text{if } x : \text{set } xs \text{ then } \text{length } xs - 1 \text{ else } \text{length } xs)$   
 ⟨proof⟩

**lemma** *remove1-filter-not* [simp]:  
 $\neg P \ x \implies \text{remove1 } x \ (\text{filter } P \ xs) = \text{filter } P \ xs$   
 ⟨proof⟩

**lemma** *notin-set-remove1* [simp]:  $x \notin \text{set } xs \implies x \notin \text{set}(\text{remove1 } y \ xs)$   
 ⟨proof⟩

**lemma** *distinct-remove1* [simp]:  $\text{distinct } xs \implies \text{distinct}(\text{remove1 } x \ xs)$   
 ⟨proof⟩

**lemma** *remove1-remdups*:  
 $\text{distinct } xs \implies \text{remove1 } x \ (\text{remdups } xs) = \text{remdups } (\text{remove1 } x \ xs)$   
 ⟨proof⟩

**lemma** *remove1-idem*:  
 assumes  $x \notin \text{set } xs$   
 shows  $\text{remove1 } x \ xs = xs$   
 ⟨proof⟩

#### 43.1.25 *removeAll*

**lemma** *removeAll-filter-not-eq*:  
 $\text{removeAll } x = \text{filter } (\lambda y. x \neq y)$   
 ⟨proof⟩

**lemma** *removeAll-append* [simp]:  
 $\text{removeAll } x \ (xs @ ys) = \text{removeAll } x \ xs @ \text{removeAll } x \ ys$   
 ⟨proof⟩

**lemma** *set-removeAll* [simp]:  $\text{set}(\text{removeAll } x \ xs) = \text{set } xs - \{x\}$   
 ⟨proof⟩

**lemma** *removeAll-id* [simp]:  $x \notin \text{set } xs \implies \text{removeAll } x \ xs = xs$   
 ⟨proof⟩

**lemma** *removeAll-filter-not* [simp]:  
 $\neg P \ x \implies \text{removeAll } x \ (\text{filter } P \ xs) = \text{filter } P \ xs$   
 ⟨proof⟩

**lemma** *distinct-removeAll*:  
 $distinct\ xs \implies distinct\ (removeAll\ x\ xs)$   
 $\langle proof \rangle$

**lemma** *distinct-remove1-removeAll*:  
 $distinct\ xs \implies remove1\ x\ xs = removeAll\ x\ xs$   
 $\langle proof \rangle$

**lemma** *map-removeAll-inj-on*:  $inj\text{-}on\ f\ (insert\ x\ (set\ xs)) \implies$   
 $map\ f\ (removeAll\ x\ xs) = removeAll\ (f\ x)\ (map\ f\ xs)$   
 $\langle proof \rangle$

**lemma** *map-removeAll-inj*:  $inj\ f \implies$   
 $map\ f\ (removeAll\ x\ xs) = removeAll\ (f\ x)\ (map\ f\ xs)$   
 $\langle proof \rangle$

#### 43.1.26 replicate

**lemma** *length-replicate* [simp]:  $length\ (replicate\ n\ x) = n$   
 $\langle proof \rangle$

**lemma** *Ex-list-of-length*:  $\exists xs.\ length\ xs = n$   
 $\langle proof \rangle$

**lemma** *map-replicate* [simp]:  $map\ f\ (replicate\ n\ x) = replicate\ n\ (f\ x)$   
 $\langle proof \rangle$

**lemma** *map-replicate-const*:  
 $map\ (\lambda x.\ k)\ lst = replicate\ (length\ lst)\ k$   
 $\langle proof \rangle$

**lemma** *replicate-app-Cons-same*:  
 $(replicate\ n\ x) @ (x \# xs) = x \# replicate\ n\ x @ xs$   
 $\langle proof \rangle$

**lemma** *rev-replicate* [simp]:  $rev\ (replicate\ n\ x) = replicate\ n\ x$   
 $\langle proof \rangle$

**lemma** *replicate-add*:  $replicate\ (n + m)\ x = replicate\ n\ x @ replicate\ m\ x$   
 $\langle proof \rangle$

Courtesy of Matthias Daum:

**lemma** *append-replicate-commute*:  
 $replicate\ n\ x @ replicate\ k\ x = replicate\ k\ x @ replicate\ n\ x$   
 $\langle proof \rangle$

Courtesy of Andreas Lochbihler:

**lemma** *filter-replicate*:  
 $filter\ P\ (replicate\ n\ x) = (if\ P\ x\ then\ replicate\ n\ x\ else\ [])$

$\langle proof \rangle$

**lemma** *hd-replicate* [simp]:  $n \neq 0 \implies hd\ (replicate\ n\ x) = x$   
 $\langle proof \rangle$

**lemma** *tl-replicate* [simp]:  $n \neq 0 \implies tl\ (replicate\ n\ x) = replicate\ (n - 1)\ x$   
 $\langle proof \rangle$

**lemma** *last-replicate* [simp]:  $n \neq 0 \implies last\ (replicate\ n\ x) = x$   
 $\langle proof \rangle$

**lemma** *nth-replicate* [simp]:  $i < n \implies (replicate\ n\ x)!i = x$   
 $\langle proof \rangle$

Courtesy of Matthias Daum (2 lemmas):

**lemma** *take-replicate* [simp]:  $take\ i\ (replicate\ k\ x) = replicate\ (min\ i\ k)\ x$   
 $\langle proof \rangle$

**lemma** *drop-replicate* [simp]:  $drop\ i\ (replicate\ k\ x) = replicate\ (k - i)\ x$   
 $\langle proof \rangle$

**lemma** *set-replicate-Suc*:  $set\ (replicate\ (Suc\ n)\ x) = \{x\}$   
 $\langle proof \rangle$

**lemma** *set-replicate* [simp]:  $n \neq 0 \implies set\ (replicate\ n\ x) = \{x\}$   
 $\langle proof \rangle$

**lemma** *set-replicate-conv-if*:  $set\ (replicate\ n\ x) = (if\ n = 0\ then\ \{\}\ else\ \{x\})$   
 $\langle proof \rangle$

**lemma** *in-set-replicateD*:  $x : set\ (replicate\ n\ y) \implies x = y$   
 $\langle proof \rangle$

**lemma** *replicate-append-same*:  
 $replicate\ i\ x\ @\ [x] = x\ \# \ replicate\ i\ x$   
 $\langle proof \rangle$

**lemma** *map-replicate-trivial*:  
 $map\ (\lambda i. x)\ [0..i] = replicate\ i\ x$   
 $\langle proof \rangle$

**lemma** *concat-replicate-trivial* [simp]:  
 $concat\ (replicate\ i\ []) = []$   
 $\langle proof \rangle$

**lemma** *replicate-empty* [simp]:  $(replicate\ n\ x = []) \longleftrightarrow n = 0$   
 $\langle proof \rangle$

**lemma** *empty-replicate[simp]*:  $([] = \text{replicate } n \ x) \longleftrightarrow n=0$   
 $\langle \text{proof} \rangle$

**lemma** *replicate-eq-replicate[simp]*:  
 $(\text{replicate } m \ x = \text{replicate } n \ y) \longleftrightarrow (m=n \ \& \ (m \neq 0 \longrightarrow x=y))$   
 $\langle \text{proof} \rangle$

#### 43.1.27 *rotate1 and rotate*

**lemma** *rotate-simps[simp]*:  $\text{rotate1 } [] = [] \wedge \text{rotate1 } (x\#xs) = xs @ [x]$   
 $\langle \text{proof} \rangle$

**lemma** *rotate0[simp]*:  $\text{rotate } 0 = \text{id}$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-Suc[simp]*:  $\text{rotate } (\text{Suc } n) \ xs = \text{rotate1 } (\text{rotate } n \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-add*:  
 $\text{rotate } (m+n) = \text{rotate } m \ o \ \text{rotate } n$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-rotate*:  $\text{rotate } m \ (\text{rotate } n \ xs) = \text{rotate } (m+n) \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *rotate1-rotate-swap*:  $\text{rotate1 } (\text{rotate } n \ xs) = \text{rotate } n \ (\text{rotate1 } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *rotate1-length01[simp]*:  $\text{length } xs \leq 1 \implies \text{rotate1 } xs = xs$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-length01[simp]*:  $\text{length } xs \leq 1 \implies \text{rotate } n \ xs = xs$   
 $\langle \text{proof} \rangle$

**lemma** *rotate1-hd-tl*:  $xs \neq [] \implies \text{rotate1 } xs = \text{tl } xs @ [\text{hd } xs]$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-drop-take*:  
 $\text{rotate } n \ xs = \text{drop } (n \bmod \text{length } xs) \ xs @ \text{take } (n \bmod \text{length } xs) \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-conv-mod*:  $\text{rotate } n \ xs = \text{rotate } (n \bmod \text{length } xs) \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-id[simp]*:  $n \bmod \text{length } xs = 0 \implies \text{rotate } n \ xs = xs$   
 $\langle \text{proof} \rangle$

**lemma** *length-rotate1[simp]*:  $\text{length}(\text{rotate1 } xs) = \text{length } xs$   
 $\langle \text{proof} \rangle$

**lemma** *length-rotate[simp]*:  $\text{length}(\text{rotate } n \text{ } xs) = \text{length } xs$   
 $\langle \text{proof} \rangle$

**lemma** *distinct1-rotate[simp]*:  $\text{distinct}(\text{rotate1 } xs) = \text{distinct } xs$   
 $\langle \text{proof} \rangle$

**lemma** *distinct-rotate[simp]*:  $\text{distinct}(\text{rotate } n \text{ } xs) = \text{distinct } xs$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-map*:  $\text{rotate } n \text{ } (\text{map } f \text{ } xs) = \text{map } f \text{ } (\text{rotate } n \text{ } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *set-rotate1[simp]*:  $\text{set}(\text{rotate1 } xs) = \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *set-rotate[simp]*:  $\text{set}(\text{rotate } n \text{ } xs) = \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *rotate1-is-Nil-conv[simp]*:  $(\text{rotate1 } xs = []) = (xs = [])$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-is-Nil-conv[simp]*:  $(\text{rotate } n \text{ } xs = []) = (xs = [])$   
 $\langle \text{proof} \rangle$

**lemma** *rotate-rev*:  
 $\text{rotate } n \text{ } (\text{rev } xs) = \text{rev}(\text{rotate } (\text{length } xs - (n \bmod \text{length } xs)) \text{ } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *hd-rotate-conv-nth*:  $xs \neq [] \implies \text{hd}(\text{rotate } n \text{ } xs) = xs!(n \bmod \text{length } xs)$   
 $\langle \text{proof} \rangle$

### 43.1.28 *sublist* — a generalization of *nth* to sets

**lemma** *sublist-empty [simp]*:  $\text{sublist } xs \text{ } \{\} = []$   
 $\langle \text{proof} \rangle$

**lemma** *sublist-nil [simp]*:  $\text{sublist } [] \text{ } A = []$   
 $\langle \text{proof} \rangle$

**lemma** *length-sublist*:  
 $\text{length}(\text{sublist } xs \text{ } I) = \text{card}\{i. i < \text{length } xs \wedge i : I\}$   
 $\langle \text{proof} \rangle$

**lemma** *sublist-shift-lemma-Suc*:  
 $\text{map fst } (\text{filter } (\%p. P(\text{Suc}(\text{snd } p))) \text{ } (\text{zip } xs \text{ } is)) =$   
 $\text{map fst } (\text{filter } (\%p. P(\text{snd } p)) \text{ } (\text{zip } xs \text{ } (\text{map } \text{Suc } is)))$   
 $\langle \text{proof} \rangle$

**lemma** *sublist-shift-lemma*:

$$\begin{aligned} \text{map fst } [p < - \text{zip } xs \ [i..<i + \text{length } xs] \ . \ \text{snd } p : A] = \\ \text{map fst } [p < - \text{zip } xs \ [0..<\text{length } xs] \ . \ \text{snd } p + i : A] \end{aligned}$$

*<proof>*

**lemma** *sublist-append*:

$$\text{sublist } (l \ @ \ l') \ A = \text{sublist } l \ A \ @ \ \text{sublist } l' \ \{j. \ j + \text{length } l : A\}$$

*<proof>*

**lemma** *sublist-Cons*:

$$\text{sublist } (x \ # \ l) \ A = (\text{if } 0:A \ \text{then } [x] \ \text{else } []) \ @ \ \text{sublist } l \ \{j. \ \text{Suc } j : A\}$$

*<proof>*

**lemma** *set-sublist*:  $\text{set}(\text{sublist } xs \ I) = \{xs[i] \mid i. \ i < \text{size } xs \wedge i \in I\}$

*<proof>*

**lemma** *set-sublist-subset*:  $\text{set}(\text{sublist } xs \ I) \subseteq \text{set } xs$

*<proof>*

**lemma** *notin-set-sublistI[simp]*:  $x \notin \text{set } xs \implies x \notin \text{set}(\text{sublist } xs \ I)$

*<proof>*

**lemma** *in-set-sublistD*:  $x \in \text{set}(\text{sublist } xs \ I) \implies x \in \text{set } xs$

*<proof>*

**lemma** *sublist-singleton [simp]*:  $\text{sublist } [x] \ A = (\text{if } 0 : A \ \text{then } [x] \ \text{else } [])$

*<proof>*

**lemma** *distinct-sublistI[simp]*:  $\text{distinct } xs \implies \text{distinct}(\text{sublist } xs \ I)$

*<proof>*

**lemma** *sublist-upt-eq-take [simp]*:  $\text{sublist } l \ \{..<n\} = \text{take } n \ l$

*<proof>*

**lemma** *filter-in-sublist*:

$$\text{distinct } xs \implies \text{filter } (\%x. \ x \in \text{set}(\text{sublist } xs \ s)) \ xs = \text{sublist } xs \ s$$

*<proof>*

### 43.1.29 splice

**lemma** *splice-Nil2 [simp, code]*:

$$\text{splice } xs \ [] = xs$$

*<proof>*

**lemma** *splice-Cons-Cons [simp, code]*:

$$\text{splice } (x \ # \ xs) \ (y \ # \ ys) = x \ # \ y \ # \ \text{splice } xs \ ys$$

*<proof>*

**declare** *splice.simps*(2) [*simp del*, *code del*]

**lemma** *length-splice*[*simp*]:  $\text{length}(\text{splice } xs \ ys) = \text{length } xs + \text{length } ys$   
 ⟨*proof*⟩

### 43.1.30 Transpose

**function** *transpose* **where**  
 $\text{transpose } [] = []$  |  
 $\text{transpose } ([] \# xss) = \text{transpose } xss$  |  
 $\text{transpose } ((x \# xs) \# xss) =$   
 $(x \# [h. (h \# t) \leftarrow xss]) \# \text{transpose } (xs \# [t. (h \# t) \leftarrow xss])$   
 ⟨*proof*⟩

**lemma** *transpose-aux-filter-head*:  
 $\text{concat } (\text{map } (\text{list-case } [] (\lambda h \ t. [h])) \ xss) =$   
 $\text{map } (\lambda xs. \text{hd } xs) \ [ys \leftarrow xss . ys \neq []]$   
 ⟨*proof*⟩

**lemma** *transpose-aux-filter-tail*:  
 $\text{concat } (\text{map } (\text{list-case } [] (\lambda h \ t. [t])) \ xss) =$   
 $\text{map } (\lambda xs. \text{tl } xs) \ [ys \leftarrow xss . ys \neq []]$   
 ⟨*proof*⟩

**lemma** *transpose-aux-max*:  
 $\text{max } (\text{Suc } (\text{length } xs)) (\text{foldr } (\lambda xs. \text{max } (\text{length } xs)) \ xss \ 0) =$   
 $\text{Suc } (\text{max } (\text{length } xs) (\text{foldr } (\lambda x. \text{max } (\text{length } x - \text{Suc } 0)) \ [ys \leftarrow xss . ys \neq []] \ 0))$   
 $(\text{is } \text{max} - ?\text{foldB} = \text{Suc } (\text{max} - ?\text{foldA}))$   
 ⟨*proof*⟩

**termination** *transpose*  
 ⟨*proof*⟩

**lemma** *transpose-empty*:  $(\text{transpose } xs = []) \longleftrightarrow (\forall x \in \text{set } xs. x = [])$   
 ⟨*proof*⟩

**lemma** *length-transpose*:  
**fixes**  $xs :: 'a \text{ list list}$   
**shows**  $\text{length } (\text{transpose } xs) = \text{foldr } (\lambda xs. \text{max } (\text{length } xs)) \ xs \ 0$   
 ⟨*proof*⟩

**lemma** *nth-transpose*:  
**fixes**  $xs :: 'a \text{ list list}$   
**assumes**  $i < \text{length } (\text{transpose } xs)$   
**shows**  $\text{transpose } xs ! i = \text{map } (\lambda xs. xs ! i) \ [ys \leftarrow xs. i < \text{length } ys]$   
 ⟨*proof*⟩

**lemma** *transpose-map-map*:

*transpose* (*map* (*map* *f*) *xs*) = *map* (*map* *f*) (*transpose xs*)  
 ⟨*proof*⟩

### 43.1.31 (In)finiteness

**lemma** *finite-maxlen*:

*finite* (*M*::'a list set) ==> *EX n. ALL s:M. size s < n*  
 ⟨*proof*⟩

**lemma** *finite-lists-length-eq*:

**assumes** *finite A*

**shows** *finite {xs. set xs ⊆ A ∧ length xs = n}* (**is** *finite* (?*S n*))  
 ⟨*proof*⟩

**lemma** *finite-lists-length-le*:

**assumes** *finite A* **shows** *finite {xs. set xs ⊆ A ∧ length xs ≤ n}*  
 (**is** *finite* ?*S*)  
 ⟨*proof*⟩

**lemma** *infinite-UNIV-listI*: ~ *finite*(*UNIV*::'a list set)

⟨*proof*⟩

## 43.2 Sorting

Currently it is not shown that *sort* returns a permutation of its input because the nicest proof is via multisets, which are not yet available. Alternatively one could define a function that counts the number of occurrences of an element in a list and use that instead of multisets to state the correctness property.

**context** *linorder*

**begin**

**lemma** *length-insert[simp]* : *length* (*insort-key f x xs*) = *Suc* (*length xs*)

⟨*proof*⟩

**lemma** *insort-left-comm*:

*insort x* (*insort y xs*) = *insort y* (*insort x xs*)

⟨*proof*⟩

**lemma** *fun-left-comm-insort*:

*fun-left-comm insort*

⟨*proof*⟩

**lemma** *sort-key-simps [simp]*:

*sort-key f* [] = []

*sort-key f* (*x#xs*) = *insort-key f x* (*sort-key f xs*)

⟨*proof*⟩



**lemma** *sort-foldl-insort*:

$$\text{sort } xs = \text{foldl } (\lambda ys \ x. \text{insort } x \ ys) [] \ xs$$

*<proof>*

**lemma** *length-sort[simp]*:  $\text{length } (\text{sort-key } f \ xs) = \text{length } xs$

*<proof>*

**lemma** *sorted-Cons*:  $\text{sorted } (x \# xs) = (\text{sorted } xs \ \& \ (\text{ALL } y : \text{set } xs. \ x \leq y))$

*<proof>*

**lemma** *sorted-append*:

$$\text{sorted } (xs @ ys) = (\text{sorted } xs \ \& \ \text{sorted } ys \ \& \ (\forall x \in \text{set } xs. \ \forall y \in \text{set } ys. \ x \leq y))$$

*<proof>*

**lemma** *sorted-nth-mono*:

$$\text{sorted } xs \implies i \leq j \implies j < \text{length } xs \implies xs!i \leq xs!j$$

*<proof>*

**lemma** *sorted-rev-nth-mono*:

$$\text{sorted } (\text{rev } xs) \implies i \leq j \implies j < \text{length } xs \implies xs!j \leq xs!i$$

*<proof>*

**lemma** *sorted-nth-monoI*:

$$(\bigwedge i \ j. \ [i \leq j \ ; \ j < \text{length } xs] \implies xs!i \leq xs!j) \implies \text{sorted } xs$$

*<proof>*

**lemma** *sorted-equals-nth-mono*:

$$\text{sorted } xs = (\forall j < \text{length } xs. \ \forall i \leq j. \ xs!i \leq xs!j)$$

*<proof>*

**lemma** *set-insort*:  $\text{set}(\text{insort-key } f \ x \ xs) = \text{insert } x \ (\text{set } xs)$

*<proof>*

**lemma** *set-sort[simp]*:  $\text{set}(\text{sort-key } f \ xs) = \text{set } xs$

*<proof>*

**lemma** *distinct-insort*:  $\text{distinct } (\text{insort-key } f \ x \ xs) = (x \notin \text{set } xs \ \wedge \ \text{distinct } xs)$

*<proof>*

**lemma** *distinct-sort[simp]*:  $\text{distinct } (\text{sort-key } f \ xs) = \text{distinct } xs$

*<proof>*

**lemma** *sorted-insort-key*:  $\text{sorted } (\text{map } f \ (\text{insort-key } f \ x \ xs)) = \text{sorted } (\text{map } f \ xs)$

*<proof>*

**lemma** *sorted-insort*:  $\text{sorted } (\text{insort } x \ xs) = \text{sorted } xs$

*<proof>*

**theorem** *sorted-sort-key[simp]*:  $\text{sorted } (\text{map } f \ (\text{sort-key } f \ xs))$

*<proof>*

**theorem** *sorted-sort[simp]: sorted (sort xs)*  
*<proof>*

**lemma** *sorted-butlast:*  
*assumes*  $xs \neq []$  **and** *sorted xs*  
**shows** *sorted (butlast xs)*  
*<proof>*

**lemma** *insort-not-Nil [simp]:*  
*insort-key f a xs*  $\neq []$   
*<proof>*

**lemma** *insort-is-Cons:*  $\forall x \in \text{set } xs. f a \leq f x \implies \text{insort-key } f a xs = a \# xs$   
*<proof>*

**lemma** *sorted-remove1:* *sorted xs*  $\implies$  *sorted (remove1 a xs)*  
*<proof>*

**lemma** *insort-key-remove1:*  $\llbracket a \in \text{set } xs; \text{sorted } (\text{map } f xs) ; \text{inj-on } f (\text{set } xs) \rrbracket$   
 $\implies \text{insort-key } f a (\text{remove1 } a xs) = xs$   
*<proof>*

**lemma** *insort-remove1:*  $\llbracket a \in \text{set } xs; \text{sorted } xs \rrbracket \implies \text{insort } a (\text{remove1 } a xs) = xs$   
*<proof>*

**lemma** *sorted-remdups[simp]:*  
*sorted l*  $\implies$  *sorted (remdups l)*  
*<proof>*

**lemma** *sorted-distinct-set-unique:*  
**assumes** *sorted xs distinct xs sorted ys distinct ys set xs = set ys*  
**shows**  $xs = ys$   
*<proof>*

**lemma** *map-sorted-distinct-set-unique:*  
**assumes** *inj-on f (set xs  $\cup$  set ys)*  
**assumes** *sorted (map f xs) distinct (map f xs)*  
*sorted (map f ys) distinct (map f ys)*  
**assumes**  $\text{set } xs = \text{set } ys$   
**shows**  $xs = ys$   
*<proof>*

**lemma** *finite-sorted-distinct-unique:*  
**shows**  $\text{finite } A \implies \text{EX! } xs. \text{set } xs = A \ \& \ \text{sorted } xs \ \& \ \text{distinct } xs$   
*<proof>*

**lemma** *sorted-take:*

$sorted\ xs \implies sorted\ (take\ n\ xs)$   
 $\langle proof \rangle$

**lemma** *sorted-drop*:  
 $sorted\ xs \implies sorted\ (drop\ n\ xs)$   
 $\langle proof \rangle$

**lemma** *sorted-dropWhile*:  $sorted\ xs \implies sorted\ (dropWhile\ P\ xs)$   
 $\langle proof \rangle$

**lemma** *sorted-takeWhile*:  $sorted\ xs \implies sorted\ (takeWhile\ P\ xs)$   
 $\langle proof \rangle$

**lemma** *sorted-filter*:  
 $sorted\ (map\ f\ xs) \implies sorted\ (map\ f\ (filter\ P\ xs))$   
 $\langle proof \rangle$

**lemma** *foldr-max-sorted*:  
**assumes**  $sorted\ (rev\ xs)$   
**shows**  $foldr\ max\ xs\ y = (if\ xs = []\ then\ y\ else\ max\ (xs\ !\ 0)\ y)$   
 $\langle proof \rangle$

**lemma** *filter-equals-takeWhile-sorted-rev*:  
**assumes**  $sorted: sorted\ (rev\ (map\ f\ xs))$   
**shows**  $[x \leftarrow xs.\ t < f\ x] = takeWhile\ (\lambda\ x.\ t < f\ x)\ xs$   
 $(is\ filter\ ?P\ xs = ?tW)$   
 $\langle proof \rangle$

**lemma** *set-insort-insert*:  
 $set\ (insort-insert\ x\ xs) = insert\ x\ (set\ xs)$   
 $\langle proof \rangle$

**lemma** *distinct-insort-insert*:  
**assumes**  $distinct\ xs$   
**shows**  $distinct\ (insort-insert\ x\ xs)$   
 $\langle proof \rangle$

**lemma** *sorted-insort-insert*:  
**assumes**  $sorted\ xs$   
**shows**  $sorted\ (insort-insert\ x\ xs)$   
 $\langle proof \rangle$

**lemma** *filter-insort-key-triv*:  
 $\neg P\ x \implies filter\ P\ (insort-key\ f\ x\ xs) = filter\ P\ xs$   
 $\langle proof \rangle$

**lemma** *filter-insort-key*:  
 $sorted\ (map\ f\ xs) \implies P\ x \implies filter\ P\ (insort-key\ f\ x\ xs) = insort-key\ f\ x\ (filter\ P\ xs)$

$\langle \text{proof} \rangle$

**lemma** *filter-sort-key*:

*filter* *P* (*sort-key* *f xs*) = *sort-key* *f* (*filter* *P xs*)

$\langle \text{proof} \rangle$

**lemma** *sorted-same* [*simp*]:

*sorted* [*x ← xs. x = f xs*]

$\langle \text{proof} \rangle$

**lemma** *remove1-insort* [*simp*]:

*remove1* *x* (*insort* *x xs*) = *xs*

$\langle \text{proof} \rangle$

**end**

**lemma** *sorted-upt*[*simp*]: *sorted*[*i..<j*]

$\langle \text{proof} \rangle$

**lemma** *sorted-upto*[*simp*]: *sorted*[*i..j*]

$\langle \text{proof} \rangle$

#### 43.2.1 *transpose on sorted lists*

**lemma** *sorted-transpose*[*simp*]:

**shows** *sorted* (*rev* (*map* *length* (*transpose* *xs*)))

$\langle \text{proof} \rangle$

**lemma** *transpose-max-length*:

*foldr* ( $\lambda xs. \text{max } (\text{length } xs) \text{ (transpose } xs) \text{ } 0 = \text{length } [x \leftarrow xs. x \neq []]$

(**is** ?*L* = ?*R*)

$\langle \text{proof} \rangle$

**lemma** *length-transpose-sorted*:

**fixes** *xs* :: 'a list list

**assumes** *sorted*: *sorted* (*rev* (*map* *length* *xs*))

**shows** *length* (*transpose* *xs*) = (*if* *xs* = [] *then* 0 *else* *length* (*xs* ! 0))

$\langle \text{proof} \rangle$

**lemma** *nth-nth-transpose-sorted*[*simp*]:

**fixes** *xs* :: 'a list list

**assumes** *sorted*: *sorted* (*rev* (*map* *length* *xs*))

**and** *i*: *i* < *length* (*transpose* *xs*)

**and** *j*: *j* < *length* [*ys* ← *xs. i* < *length* *ys*]

**shows** *transpose* *xs* ! *i* ! *j* = *xs* ! *j* ! *i*

$\langle \text{proof} \rangle$

**lemma** *transpose-column-length*:

**fixes** *xs* :: 'a list list

**assumes** *sorted*: *sorted* (*rev* (*map length xs*)) **and**  $i < \text{length } xs$   
**shows**  $\text{length } (\text{filter } (\lambda ys. i < \text{length } ys) (\text{transpose } xs)) = \text{length } (xs ! i)$   
 $\langle \text{proof} \rangle$

**lemma** *transpose-column*:  
**fixes**  $xs :: 'a \text{ list list}$   
**assumes** *sorted*: *sorted* (*rev* (*map length xs*)) **and**  $i < \text{length } xs$   
**shows**  $\text{map } (\lambda ys. ys ! i) (\text{filter } (\lambda ys. i < \text{length } ys) (\text{transpose } xs))$   
 $= xs ! i$  (**is** ?*R* = -)  
 $\langle \text{proof} \rangle$

**lemma** *transpose-transpose*:  
**fixes**  $xs :: 'a \text{ list list}$   
**assumes** *sorted*: *sorted* (*rev* (*map length xs*))  
**shows**  $\text{transpose } (\text{transpose } xs) = \text{takeWhile } (\lambda x. x \neq []) xs$  (**is** ?*L* = ?*R*)  
 $\langle \text{proof} \rangle$

**theorem** *transpose-rectangle*:  
**assumes**  $xs = [] \implies n = 0$   
**assumes** *rect*:  $\bigwedge i. i < \text{length } xs \implies \text{length } (xs ! i) = n$   
**shows**  $\text{transpose } xs = \text{map } (\lambda i. \text{map } (\lambda j. xs ! j ! i) [0..<\text{length } xs]) [0..<n]$   
**(is** ?*trans* = ?*map*)  
 $\langle \text{proof} \rangle$

#### 43.2.2 sorted-list-of-set

This function maps (finite) linearly ordered sets to sorted lists. Warning: in most cases it is not a good idea to convert from sets to lists but one should convert in the other direction (via *set*).

**context** *linorder*  
**begin**

**definition** *sorted-list-of-set* ::  $'a \text{ set} \Rightarrow 'a \text{ list}$  **where**  
 $\text{sorted-list-of-set} = \text{Finite-Set.fold insort } []$

**lemma** *sorted-list-of-set-empty* [*simp*]:  
 $\text{sorted-list-of-set } \{\} = []$   
 $\langle \text{proof} \rangle$

**lemma** *sorted-list-of-set-insert* [*simp*]:  
**assumes** *finite A*  
**shows**  $\text{sorted-list-of-set } (\text{insert } x A) = \text{insort } x (\text{sorted-list-of-set } (A - \{x\}))$   
 $\langle \text{proof} \rangle$

**lemma** *sorted-list-of-set* [*simp*]:  
 $\text{finite } A \implies \text{set } (\text{sorted-list-of-set } A) = A \wedge \text{sorted } (\text{sorted-list-of-set } A)$   
 $\wedge \text{distinct } (\text{sorted-list-of-set } A)$   
 $\langle \text{proof} \rangle$

**lemma** *sorted-list-of-set-sort-remdups*:  
 $\text{sorted-list-of-set } (\text{set } xs) = \text{sort } (\text{remdups } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *sorted-list-of-set-remove*:  
**assumes** *finite A*  
**shows**  $\text{sorted-list-of-set } (A - \{x\}) = \text{remove1 } x \ (\text{sorted-list-of-set } A)$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *sorted-list-of-set-range* [simp]:  
 $\text{sorted-list-of-set } \{m..<n\} = [m..<n]$   
 $\langle \text{proof} \rangle$

### 43.2.3 *lists*: the list-forming operator over sets

**inductive-set**  
 $\text{lists} :: 'a \text{ set} \Rightarrow 'a \text{ list set}$   
**for**  $A :: 'a \text{ set}$   
**where**  
 $\text{Nil } [\text{intro!}]: [] : \text{lists } A$   
 $| \text{Cons } [\text{intro!}, \text{no-atp}]: [] \ a : A; l : \text{lists } A \Longrightarrow a \# l : \text{lists } A$

**inductive-cases** *listsE* [elim!,no-atp]:  $x \# l : \text{lists } A$   
**inductive-cases** *listspE* [elim!,no-atp]:  $\text{listsp } A \ (x \# l)$

**lemma** *listsp-mono* [mono]:  $A \leq B \Longrightarrow \text{listsp } A \leq \text{listsp } B$   
 $\langle \text{proof} \rangle$

**lemmas** *lists-mono* = *listsp-mono* [to-set pred-subset-eq]

**lemma** *listsp-infI*:  
**assumes**  $l : \text{listsp } A \ l$  **shows**  $\text{listsp } B \ l \Longrightarrow \text{listsp } (\text{inf } A \ B) \ l$   $\langle \text{proof} \rangle$

**lemmas** *lists-IntI* = *listsp-infI* [to-set]

**lemma** *listsp-inf-eq* [simp]:  $\text{listsp } (\text{inf } A \ B) = \text{inf } (\text{listsp } A) \ (\text{listsp } B)$   
 $\langle \text{proof} \rangle$

**lemmas** *listsp-conj-eq* [simp] = *listsp-inf-eq* [simplified inf-fun-eq inf-bool-eq]

**lemmas** *lists-Int-eq* [simp] = *listsp-inf-eq* [to-set pred-equals-eq]

**lemma** *append-in-listsp-conv* [iff]:  
 $(\text{listsp } A \ (xs @ ys)) = (\text{listsp } A \ xs \wedge \text{listsp } A \ ys)$   
 $\langle \text{proof} \rangle$

**lemmas** *append-in-lists-conv* [iff] = *append-in-listsp-conv* [to-set]

**lemma** *in-listsp-conv-set*:  $(listsp\ A\ xs) = (\forall x \in set\ xs. A\ x)$   
 — eliminate *listsp* in favour of *set*  
 $\langle proof \rangle$

**lemmas** *in-lists-conv-set* = *in-listsp-conv-set* [*to-set*]

**lemma** *in-listspD* [*dest!*,*no-atp*]:  $listsp\ A\ xs \implies \forall x \in set\ xs. A\ x$   
 $\langle proof \rangle$

**lemmas** *in-listsD* [*dest!*,*no-atp*] = *in-listspD* [*to-set*]

**lemma** *in-listspI* [*intro!*,*no-atp*]:  $\forall x \in set\ xs. A\ x \implies listsp\ A\ xs$   
 $\langle proof \rangle$

**lemmas** *in-listsI* [*intro!*,*no-atp*] = *in-listspI* [*to-set*]

**lemma** *lists-UNIV* [*simp*]:  $lists\ UNIV = UNIV$   
 $\langle proof \rangle$

#### 43.2.4 Inductive definition for membership

**inductive** *ListMem* ::  $'a \Rightarrow 'a\ list \Rightarrow bool$   
**where**

*elem*:  $ListMem\ x\ (x \# xs)$   
 | *insert*:  $ListMem\ x\ xs \implies ListMem\ x\ (y \# xs)$

**lemma** *ListMem-iff*:  $(ListMem\ x\ xs) = (x \in set\ xs)$   
 $\langle proof \rangle$

#### 43.2.5 Lists as Cartesian products

*set-Cons*  $A\ Xs$ : the set of lists with head drawn from  $A$  and tail drawn from  $Xs$ .

**definition**

*set-Cons* ::  $'a\ set \Rightarrow 'a\ list\ set \Rightarrow 'a\ list\ set$  **where**  
 [*code del*]:  $set-Cons\ A\ XS = \{z. \exists x\ xs. z = x \# xs \wedge x \in A \wedge xs \in XS\}$

**lemma** *set-Cons-sing-Nil* [*simp*]:  $set-Cons\ A\ \{\}\ = (\%x. [x])'A$   
 $\langle proof \rangle$

Yields the set of lists, all of the same length as the argument and with elements drawn from the corresponding element of the argument.

**primrec**

*listset* ::  $'a\ set\ list \Rightarrow 'a\ list\ set$  **where**  
*listset*  $\ [] = \{\}\}$   
 | *listset*  $(A \# As) = set-Cons\ A\ (listset\ As)$

### 43.3 Relations on Lists

#### 43.3.1 Length Lexicographic Ordering

These orderings preserve well-foundedness: shorter lists precede longer lists. These ordering are not used in dictionaries.

**primrec** — The lexicographic ordering for lists of the specified length

$lexn :: ('a \times 'a) \text{ set} \Rightarrow \text{nat} \Rightarrow ('a \text{ list} \times 'a \text{ list}) \text{ set}$  **where**  
 $lexn \ r \ 0 = \{\}$   
 $| \ lexn \ r \ (Suc \ n) = (\text{prod-fun } (\%(x, xs). \ x \# xs) \ (\%(x, xs). \ x \# xs)) \ ' (r \ < *lex* >$   
 $lexn \ r \ n)) \ Int$   
 $\{(xs, ys). \ length \ xs = Suc \ n \wedge length \ ys = Suc \ n\}$

**definition**

$lex :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ list} \times 'a \text{ list}) \text{ set}$  **where**  
 $[code \ del]: \ lex \ r = (\bigcup n. \ lexn \ r \ n)$  — Holds only between lists of the same length

**definition**

$lenlex :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ list} \times 'a \text{ list}) \text{ set}$  **where**  
 $[code \ del]: \ lenlex \ r = \text{inv-image } (less-than \ < *lex* > \ lex \ r) \ (\lambda xs. \ (length \ xs, \ xs))$   
 — Compares lists by their length and then lexicographically

**lemma**  $wf\text{-}lexn$ :  $wf \ r \ ==> \ wf \ (lexn \ r \ n)$

$\langle proof \rangle$

**lemma**  $lexn\text{-}length$ :

$(xs, ys) : lexn \ r \ n \ ==> \ length \ xs = n \wedge length \ ys = n$

$\langle proof \rangle$

**lemma**  $wf\text{-}lex$   $[intro!]$ :  $wf \ r \ ==> \ wf \ (lex \ r)$

$\langle proof \rangle$

**lemma**  $lexn\text{-}conv$ :

$lexn \ r \ n =$   
 $\{(xs, ys). \ length \ xs = n \wedge length \ ys = n \wedge$   
 $(\exists xys \ x \ y \ xs' \ ys'. \ xs = xys \ @ \ x \# xs' \wedge ys = xys \ @ \ y \# ys' \wedge (x, y):r)\}$

$\langle proof \rangle$

**lemma**  $lex\text{-}conv$ :

$lex \ r =$   
 $\{(xs, ys). \ length \ xs = length \ ys \wedge$   
 $(\exists xys \ x \ y \ xs' \ ys'. \ xs = xys \ @ \ x \# xs' \wedge ys = xys \ @ \ y \# ys' \wedge (x, y):r)\}$

$\langle proof \rangle$

**lemma**  $wf\text{-}lenlex$   $[intro!]$ :  $wf \ r \ ==> \ wf \ (lenlex \ r)$

$\langle proof \rangle$

**lemma**  $lenlex\text{-}conv$ :

$lenlex \ r = \{(xs, ys). \ length \ xs < length \ ys \mid$



$length\ xs = length\ ys \wedge (xs, ys) : lex\ r\}$   
 $\langle proof \rangle$

**lemma** *Nil-notin-lex* [iff]:  $([], ys) \notin lex\ r$   
 $\langle proof \rangle$

**lemma** *Nil2-notin-lex* [iff]:  $(xs, []) \notin lex\ r$   
 $\langle proof \rangle$

**lemma** *Cons-in-lex* [simp]:  
 $((x \# xs, y \# ys) : lex\ r) =$   
 $((x, y) : r \wedge length\ xs = length\ ys \mid x = y \wedge (xs, ys) : lex\ r)$   
 $\langle proof \rangle$

### 43.3.2 Lexicographic Ordering

Classical lexicographic ordering on lists, ie. ”a” i ”ab” i ”b”. This ordering does *not* preserve well-foundedness. Author: N. Voelker, March 2005.

**definition**

$lexord :: ('a \times 'a)\ set \Rightarrow ('a\ list \times 'a\ list)\ set$  **where**  
 $[code\ del]: lexord\ r = \{(x,y) . \exists\ a\ v. y = x @ a \# v \vee$   
 $(\exists\ u\ a\ b\ v\ w. (a,b) \in r \wedge x = u @ (a \# v) \wedge y = u @ (b \# w))\}$

**lemma** *lexord-Nil-left*[simp]:  $([], y) \in lexord\ r = (\exists\ a\ x. y = a \# x)$   
 $\langle proof \rangle$

**lemma** *lexord-Nil-right*[simp]:  $(x, []) \notin lexord\ r$   
 $\langle proof \rangle$

**lemma** *lexord-cons-cons*[simp]:  
 $((a \# x, b \# y) \in lexord\ r) = ((a,b) \in r \mid (a = b \ \& \ (x,y) \in lexord\ r))$   
 $\langle proof \rangle$

**lemmas** *lexord-simps* = *lexord-Nil-left lexord-Nil-right lexord-cons-cons*

**lemma** *lexord-append-rightI*:  $\exists\ b\ z. y = b \# z \implies (x, x @ y) \in lexord\ r$   
 $\langle proof \rangle$

**lemma** *lexord-append-left-rightI*:  
 $(a,b) \in r \implies (u @ a \# x, u @ b \# y) \in lexord\ r$   
 $\langle proof \rangle$

**lemma** *lexord-append-leftI*:  $(u,v) \in lexord\ r \implies (x @ u, x @ v) \in lexord\ r$   
 $\langle proof \rangle$

**lemma** *lexord-append-leftD*:  
 $\llbracket (x @ u, x @ v) \in lexord\ r; (!\ a. (a,a) \notin r) \rrbracket \implies (u,v) \in lexord\ r$   
 $\langle proof \rangle$

**lemma** *lexord-take-index-conv*:

$((x,y) : \text{lexord } r) =$   
 $((\text{length } x < \text{length } y \wedge \text{take } (\text{length } x) \ y = x) \vee$   
 $(\exists i. i < \min(\text{length } x)(\text{length } y) \ \& \ \text{take } i \ x = \text{take } i \ y \ \& \ (x!i,y!i) \in r))$   
 $\langle \text{proof} \rangle$

**lemma** *lexord-lex*:  $(x,y) \in \text{lex } r = ((x,y) \in \text{lexord } r \wedge \text{length } x = \text{length } y)$   
 $\langle \text{proof} \rangle$

**lemma** *lexord-irreflexive*:  $(! x. (x,x) \notin r) \implies (y,y) \notin \text{lexord } r$   
 $\langle \text{proof} \rangle$

**lemma** *lexord-trans*:

$\llbracket (x, y) \in \text{lexord } r; (y, z) \in \text{lexord } r; \text{trans } r \rrbracket \implies (x, z) \in \text{lexord } r$   
 $\langle \text{proof} \rangle$

**lemma** *lexord-transI*:  $\text{trans } r \implies \text{trans } (\text{lexord } r)$   
 $\langle \text{proof} \rangle$

**lemma** *lexord-linear*:  $(! a \ b. (a,b) \in r \mid a = b \mid (b,a) \in r) \implies (x,y) : \text{lexord } r \mid x = y \mid (y,x) : \text{lexord } r$   
 $\langle \text{proof} \rangle$

### 43.4 Lexicographic combination of measure functions

These are useful for termination proofs

**definition**

$\text{measures } fs = \text{inv-image } (\text{lex less-than}) \ (\%a. \text{map } (\%f. f \ a) \ fs)$

**lemma** *wf-measures[recdef-wf, simp]*:  $\text{wf } (\text{measures } fs)$   
 $\langle \text{proof} \rangle$

**lemma** *in-measures[simp]*:

$(x, y) \in \text{measures } [] = \text{False}$   
 $(x, y) \in \text{measures } (f \ \# \ fs)$   
 $= (f \ x < f \ y \vee (f \ x = f \ y \wedge (x, y) \in \text{measures } fs))$   
 $\langle \text{proof} \rangle$

**lemma** *measures-less*:  $f \ x < f \ y \implies (x, y) \in \text{measures } (f \ \# \ fs)$   
 $\langle \text{proof} \rangle$

**lemma** *measures-lesseq*:  $f \ x \leq f \ y \implies (x, y) \in \text{measures } fs \implies (x, y) \in \text{measures } (f \ \# \ fs)$   
 $\langle \text{proof} \rangle$

#### 43.4.1 Lifting a Relation on List Elements to the Lists

**inductive-set**

$\text{listrel} :: ('a * 'a) \text{set} \implies ('a \text{ list} * 'a \text{ list}) \text{set}$   
**for**  $r :: ('a * 'a) \text{set}$

where

$Nil: ([], []) \in listrel\ r$   
 $| Cons: [| (x,y) \in r; (xs,ys) \in listrel\ r |] ==> (x\#xs, y\#ys) \in listrel\ r$

**inductive-cases** *listrel-Nil1* [elim!]:  $([],xs) \in listrel\ r$

**inductive-cases** *listrel-Nil2* [elim!]:  $(xs,[]) \in listrel\ r$

**inductive-cases** *listrel-Cons1* [elim!]:  $(y\#ys,xs) \in listrel\ r$

**inductive-cases** *listrel-Cons2* [elim!]:  $(xs,y\#ys) \in listrel\ r$

**lemma** *listrel-mono*:  $r \subseteq s \implies listrel\ r \subseteq listrel\ s$   
 $\langle proof \rangle$

**lemma** *listrel-subset*:  $r \subseteq A \times A \implies listrel\ r \subseteq lists\ A \times lists\ A$   
 $\langle proof \rangle$

**lemma** *listrel-refl-on*:  $refl-on\ A\ r \implies refl-on\ (lists\ A)\ (listrel\ r)$   
 $\langle proof \rangle$

**lemma** *listrel-sym*:  $sym\ r \implies sym\ (listrel\ r)$   
 $\langle proof \rangle$

**lemma** *listrel-trans*:  $trans\ r \implies trans\ (listrel\ r)$   
 $\langle proof \rangle$

**theorem** *equiv-listrel*:  $equiv\ A\ r \implies equiv\ (lists\ A)\ (listrel\ r)$   
 $\langle proof \rangle$

**lemma** *listrel-Nil* [simp]:  $listrel\ r \text{ “ } \{[]\} = \{[]\}$   
 $\langle proof \rangle$

**lemma** *listrel-Cons*:  
 $listrel\ r \text{ “ } \{x\#xs\} = set-Cons\ (r\text{“}\{x\})\ (listrel\ r \text{ “ } \{xs\})$   
 $\langle proof \rangle$

### 43.5 Size function

**lemma** [measure-function]:  $is-measure\ f \implies is-measure\ (list-size\ f)$   
 $\langle proof \rangle$

**lemma** [measure-function]:  $is-measure\ f \implies is-measure\ (option-size\ f)$   
 $\langle proof \rangle$

**lemma** *list-size-estimation*[termination-simp]:  
 $x \in set\ xs \implies y < f\ x \implies y < list-size\ f\ xs$   
 $\langle proof \rangle$

**lemma** *list-size-estimation'*[termination-simp]:  
 $x \in set\ xs \implies y \leq f\ x \implies y \leq list-size\ f\ xs$

$\langle proof \rangle$

**lemma** *list-size-map*[simp]:  $list\text{-}size\ f\ (map\ g\ xs) = list\text{-}size\ (f\ o\ g)\ xs$   
 $\langle proof \rangle$

**lemma** *list-size-pointwise*[termination-simp]:  
 $(\bigwedge x. x \in set\ xs \implies f\ x < g\ x) \implies list\text{-}size\ f\ xs \leq list\text{-}size\ g\ xs$   
 $\langle proof \rangle$

## 43.6 Transfer

### definition

$embed\text{-}list :: nat\ list \Rightarrow int\ list$

### where

$embed\text{-}list\ l = map\ int\ l$

### definition

$nat\text{-}list :: int\ list \Rightarrow bool$

### where

$nat\text{-}list\ l = nat\text{-}set\ (set\ l)$

### definition

$return\text{-}list :: int\ list \Rightarrow nat\ list$

### where

$return\text{-}list\ l = map\ nat\ l$

**lemma** *transfer-nat-int-list-return-embed*:  $nat\text{-}list\ l \longrightarrow$   
 $embed\text{-}list\ (return\text{-}list\ l) = l$   
 $\langle proof \rangle$

**lemma** *transfer-nat-int-list-functions*:

$l @ m = return\text{-}list\ (embed\text{-}list\ l @ embed\text{-}list\ m)$

$[] = return\text{-}list\ []$

$\langle proof \rangle$

## 43.7 Code generator

### 43.7.1 Setup

$\langle ML \rangle$

**code-type** *list*

(SML - list)

(OCaml - list)

(Haskell ![( $-$ )])

(Scala List[( $-$ )])

**code-const** *Nil*

(SML [])

(OCaml [])

```

(Haskell [])
(Scala Nil)

code-instance list :: eq
  (Haskell -)

code-const eq-class.eq :: 'a::eq list ⇒ 'a list ⇒ bool
  (Haskell infixl 4 ==)

code-reserved SML
  list

code-reserved OCaml
  list

types-code
  list (- list)
attach (term-of) ⟨⟨
  fun term-of-list f T = HOLogic.mk-list T o map f;
  ⟩⟩
attach (test) ⟨⟨
  fun gen-list' aG aT i j = frequency
    [(i, fn () =>
      let
        val (x, t) = aG j;
        val (xs, ts) = gen-list' aG aT (i-1) j
        in (x :: xs, fn () => HOLogic.cons-const aT $ t () $ ts ()) end),
      (1, fn () => ([], fn () => HOLogic.nil-const aT))] ()
    and gen-list aG aT i = gen-list' aG aT i i;
  ⟩⟩

consts-code Cons ((- ::/ -))

⟨ML⟩

```

### 43.7.2 Generation of efficient code

**definition** *member* :: 'a *list* ⇒ 'a ⇒ bool **where**  
*mem-iff* [*code-post*]: *member xs x* ⇔ *x* ∈ *set xs*

**primrec**  
*null*:: 'a *list* ⇒ bool  
**where**  
*null* [] = True  
| *null* (x#*xs*) = False

**primrec**  
*list-inter* :: 'a *list* ⇒ 'a *list* ⇒ 'a *list*  
**where**

```

list-inter [] bs = []
| list-inter (a#as) bs =
  (if a ∈ set bs then a # list-inter as bs else list-inter as bs)

```

**primrec**

```
list-all :: ('a ⇒ bool) ⇒ ('a list ⇒ bool)
```

**where**

```
list-all P [] = True
| list-all P (x#xs) = (P x ∧ list-all P xs)
```

**primrec**

```
list-ex :: ('a ⇒ bool) ⇒ ('a list ⇒ bool)
```

**where**

```
list-ex P [] = False
| list-ex P (x#xs) = (P x ∨ list-ex P xs)
```

**primrec**

```
filtermap :: ('a ⇒ 'b option) ⇒ ('a list ⇒ 'b list)
```

**where**

```
filtermap f [] = []
| filtermap f (x#xs) =
  (case f x of None ⇒ filtermap f xs
   | Some y ⇒ y # filtermap f xs)
```

**primrec**

```
map-filter :: ('a ⇒ 'b) ⇒ ('a ⇒ bool) ⇒ ('a list ⇒ 'b list)
```

**where**

```
map-filter f P [] = []
| map-filter f P (x#xs) =
  (if P x then f x # map-filter f P xs else map-filter f P xs)
```

**primrec**

```
length-unique :: 'a list ⇒ nat
```

**where**

```
length-unique [] = 0
| length-unique (x#xs) =
  (if x ∈ set xs then length-unique xs else Suc (length-unique xs))
```

**primrec**

```
concat-map :: ('a ⇒ 'b list) ⇒ 'a list ⇒ 'b list
```

**where**

```
concat-map f [] = []
| concat-map f (x#xs) = f x @ concat-map f xs
```

Only use *member* for generating executable code. Otherwise use  $x \in \text{set } xs$  instead — it is much easier to reason about. The same is true for *list-all* and *list-ex*: write  $\forall x \in \text{set } xs$  and  $\exists x \in \text{set } xs$  instead because the HOL quantifiers are already known to the automatic provers. In fact, the declarations in the code subsection make sure that  $\in$ ,  $\forall x \in \text{set } xs$  and  $\exists x \in \text{set } xs$  are implemented

efficiently.

Efficient emptiness check is implemented by *null*.

The functions *filtermap* and *map-filter* are just there to generate efficient code. Do not use them for modelling and proving.

**lemma** *rev-foldl-cons* [*code*]:  

$$\text{rev } xs = \text{foldl } (\lambda xs \ x. \ x \ \# \ xs) \ [] \ xs$$
  
 ⟨*proof*⟩

**lemmas** *in-set-code* [*code-unfold*] = *mem-iff* [*symmetric*]

**lemma** *member-simps* [*simp*, *code*]:  

$$\text{member } (x \ \# \ xs) \ y \longleftrightarrow x = y \vee \text{member } xs \ y$$
  

$$\text{member } [] \ y \longleftrightarrow \text{False}$$
  
 ⟨*proof*⟩

**lemma** *member-set*:  

$$\text{member} = \text{set}$$
  
 ⟨*proof*⟩

**abbreviation** (*input*) *mem* :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  bool (**infixl** *mem* 55) **where**  

$$x \ \text{mem} \ xs \equiv \text{member } xs \ x \text{ — for backward compatibility}$$

**lemma** *empty-null*:  

$$xs = [] \longleftrightarrow \text{null } xs$$
  
 ⟨*proof*⟩

**lemma** [*code-unfold*]:  

$$\text{eq-class.eq } xs \ [] \longleftrightarrow \text{null } xs$$
  
 ⟨*proof*⟩

**lemmas** *null-empty* [*code-post*] =  
*empty-null* [*symmetric*]

**lemma** *list-inter-conv*:  

$$\text{set } (\text{list-inter } xs \ ys) = \text{set } xs \cap \text{set } ys$$
  
 ⟨*proof*⟩

**lemma** *list-all-iff* [*code-post*]:  

$$\text{list-all } P \ xs \longleftrightarrow (\forall x \in \text{set } xs. \ P \ x)$$
  
 ⟨*proof*⟩

**lemmas** *list-ball-code* [*code-unfold*] = *list-all-iff* [*symmetric*]

**lemma** *list-all-append* [*simp*]:  

$$\text{list-all } P \ (xs \ @ \ ys) \longleftrightarrow (\text{list-all } P \ xs \wedge \text{list-all } P \ ys)$$
  
 ⟨*proof*⟩

**lemma** *list-all-rev* [*simp*]:

$list\text{-}all\ P\ (rev\ xs) \longleftrightarrow list\text{-}all\ P\ xs$   
 $\langle proof \rangle$

**lemma** *list-all-length*:  
 $list\text{-}all\ P\ xs \longleftrightarrow (\forall n < length\ xs. P\ (xs\ !\ n))$   
 $\langle proof \rangle$

**lemma** *list-ex-iff* [code-post]:  
 $list\text{-}ex\ P\ xs \longleftrightarrow (\exists x \in set\ xs. P\ x)$   
 $\langle proof \rangle$

**lemmas** *list-bex-code* [code-unfold] =  
 $list\text{-}ex\text{-}iff\ [symmetric]$

**lemma** *list-ex-length*:  
 $list\text{-}ex\ P\ xs \longleftrightarrow (\exists n < length\ xs. P\ (xs\ !\ n))$   
 $\langle proof \rangle$

**lemma** *filtermap-conv*:  
 $filtermap\ f\ xs = map\ (\lambda x. the\ (f\ x))\ (filter\ (\lambda x. f\ x \neq None)\ xs)$   
 $\langle proof \rangle$

**lemma** *map-filter-conv* [simp]:  
 $map\text{-}filter\ f\ P\ xs = map\ f\ (filter\ P\ xs)$   
 $\langle proof \rangle$

**lemma** *length-remdups-length-unique* [code-unfold]:  
 $length\ (remdups\ xs) = length\text{-}unique\ xs$   
 $\langle proof \rangle$

**lemma** *concat-map-code*[code-unfold]:  
 $concat(map\ f\ xs) = concat\text{-}map\ f\ xs$   
 $\langle proof \rangle$

**declare** *INFI-def* [code-unfold]  
**declare** *SUPR-def* [code-unfold]

**declare** *set-map* [symmetric, code-unfold]

**hide-const** (open) *length-unique*

Code for bounded quantification and summation over nats.

**lemma** *atMost-upto* [code-unfold]:  
 $\{..n\} = set\ [0..<Suc\ n]$   
 $\langle proof \rangle$

**lemma** *atLeast-upt* [code-unfold]:  
 $\{..<n\} = set\ [0..<n]$   
 $\langle proof \rangle$



**lemma** *greaterThanLessThan-upt* [code-unfold]:

$\{n < .. < m\} = \text{set } [\text{Suc } n .. < m]$   
 $\langle \text{proof} \rangle$

**lemmas** *atLeastLessThan-upt* [code-unfold] = *set-upt* [symmetric]

**lemma** *greaterThanAtMost-upt* [code-unfold]:

$\{n < .. m\} = \text{set } [\text{Suc } n .. < \text{Suc } m]$   
 $\langle \text{proof} \rangle$

**lemma** *atLeastAtMost-upt* [code-unfold]:

$\{n .. m\} = \text{set } [n .. < \text{Suc } m]$   
 $\langle \text{proof} \rangle$

**lemma** *all-nat-less-eq* [code-unfold]:

$(\forall m < n :: \text{nat}. P \ m) \longleftrightarrow (\forall m \in \{0 .. < n\}. P \ m)$   
 $\langle \text{proof} \rangle$

**lemma** *ex-nat-less-eq* [code-unfold]:

$(\exists m < n :: \text{nat}. P \ m) \longleftrightarrow (\exists m \in \{0 .. < n\}. P \ m)$   
 $\langle \text{proof} \rangle$

**lemma** *all-nat-less* [code-unfold]:

$(\forall m \leq n :: \text{nat}. P \ m) \longleftrightarrow (\forall m \in \{0 .. n\}. P \ m)$   
 $\langle \text{proof} \rangle$

**lemma** *ex-nat-less* [code-unfold]:

$(\exists m \leq n :: \text{nat}. P \ m) \longleftrightarrow (\exists m \in \{0 .. n\}. P \ m)$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-set-distinct-conv-listsum*:

$\text{distinct } xs \implies \text{setsum } f \ (\text{set } xs) = \text{listsum } (\text{map } f \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-set-upt-conv-listsum* [code-unfold]:

$\text{setsum } f \ (\text{set } [m .. < n]) = \text{listsum } (\text{map } f \ [m .. < n])$   
 $\langle \text{proof} \rangle$

General equivalence between *listsum* and *setsum*

**lemma** *listsum-setsum-nth*:

$\text{listsum } xs = (\sum \ i = 0 .. < \text{length } xs. xs \ ! \ i)$   
 $\langle \text{proof} \rangle$

Code for summation over ints.

**lemma** *greaterThanLessThan-upto* [code-unfold]:

$\{i < .. < j :: \text{int}\} = \text{set } [i+1 .. j - 1]$   
 $\langle \text{proof} \rangle$

**lemma** *atLeastLessThan-upto* [code-unfold]:

$\{i..<j::int\} = \text{set } [i..j - 1]$

$\langle \text{proof} \rangle$

**lemma** *greaterThanAtMost-upto* [code-unfold]:

$\{i<..j::int\} = \text{set } [i+1..j]$

$\langle \text{proof} \rangle$

**lemmas** *atLeastAtMost-upto* [code-unfold] = *set-upto*[symmetric]

**lemma** *setsum-set-upto-conv-listsum* [code-unfold]:

$\text{setsum } f (\text{set } [i..j::int]) = \text{listsum } (\text{map } f [i..j])$

$\langle \text{proof} \rangle$

Optimized code for  $\forall i \in \{a..b::int\}$  and  $\forall n: \{a..<b::nat\}$  and similiarly for  $\exists$ .

**function** *all-from-to-nat* :: (nat  $\Rightarrow$  bool)  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  bool **where**

*all-from-to-nat* *P* *i* *j* =

(if *i* < *j* then if *P* *i* then *all-from-to-nat* *P* (*i*+1) *j* else False  
else True)

$\langle \text{proof} \rangle$

**termination**

$\langle \text{proof} \rangle$

**declare** *all-from-to-nat.simps*[simp del]

**lemma** *all-from-to-nat-iff-ball*:

$\text{all-from-to-nat } P \ i \ j = (\text{ALL } n : \{i..<j\}. P \ n)$

$\langle \text{proof} \rangle$

**lemma** *list-all-iff-all-from-to-nat*[code-unfold]:

$\text{list-all } P \ [i..<j] = \text{all-from-to-nat } P \ i \ j$

$\langle \text{proof} \rangle$

**lemma** *list-ex-iff-not-all-from-to-not-nat*[code-unfold]:

$\text{list-ex } P \ [i..<j] = (\sim \text{all-from-to-nat } (\%x. \sim P \ x) \ i \ j)$

$\langle \text{proof} \rangle$

**function** *all-from-to-int* :: (int  $\Rightarrow$  bool)  $\Rightarrow$  int  $\Rightarrow$  int  $\Rightarrow$  bool **where**

*all-from-to-int* *P* *i* *j* =

(if *i* <= *j* then if *P* *i* then *all-from-to-int* *P* (*i*+1) *j* else False  
else True)

$\langle \text{proof} \rangle$

**termination**

$\langle \text{proof} \rangle$

**declare** *all-from-to-int.simps*[simp del]

**lemma** *all-from-to-int-iff-ball*:  
 $all\text{-}from\text{-}to\text{-}int\ P\ i\ j = (ALL\ n : \{i .. j\}. P\ n)$   
 $\langle proof \rangle$

**lemma** *list-all-iff-all-from-to-int*[code-unfold]:  
 $list\text{-}all\ P\ [i..j] = all\text{-}from\text{-}to\text{-}int\ P\ i\ j$   
 $\langle proof \rangle$

**lemma** *list-ex-iff-not-all-from-to-not-int*[code-unfold]:  
 $list\text{-}ex\ P\ [i..j] = (\sim\ all\text{-}from\text{-}to\text{-}int\ (\%x. \sim P\ x)\ i\ j)$   
 $\langle proof \rangle$

**end**

## 44 Random: A HOL random engine

**theory** *Random*  
**imports** *Code-Numeral List*  
**begin**

**notation** *fcomp* (infixl  $o > 60$ )  
**notation** *scomp* (infixl  $o \rightarrow 60$ )

### 44.1 Auxiliary functions

**fun** *log* :: *code-numeral*  $\Rightarrow$  *code-numeral*  $\Rightarrow$  *code-numeral* **where**  
 $log\ b\ i = (if\ b \leq 1 \vee i < b\ then\ 1\ else\ 1 + log\ b\ (i\ div\ b))$

**definition** *inc-shift* :: *code-numeral*  $\Rightarrow$  *code-numeral*  $\Rightarrow$  *code-numeral* **where**  
 $inc\text{-}shift\ v\ k = (if\ v = k\ then\ 1\ else\ k + 1)$

**definition** *minus-shift* :: *code-numeral*  $\Rightarrow$  *code-numeral*  $\Rightarrow$  *code-numeral*  $\Rightarrow$  *code-numeral* **where**  
 $minus\text{-}shift\ r\ k\ l = (if\ k < l\ then\ r + k - l\ else\ k - l)$

### 44.2 Random seeds

**types** *seed* = *code-numeral*  $\times$  *code-numeral*

**primrec** *next* :: *seed*  $\Rightarrow$  *code-numeral*  $\times$  *seed* **where**  
 $next\ (v, w) = (let$   
 $\quad k = v\ div\ 53668;$   
 $\quad v' = minus\text{-}shift\ 2147483563\ ((v\ mod\ 53668) * 40014)\ (k * 12211);$   
 $\quad l = w\ div\ 52774;$   
 $\quad w' = minus\text{-}shift\ 2147483399\ ((w\ mod\ 52774) * 40692)\ (l * 3791);$   
 $\quad z = minus\text{-}shift\ 2147483562\ v'\ (w' + 1) + 1$   
 $in\ (z, (v', w')))$

**definition** *split-seed* :: *seed*  $\Rightarrow$  *seed*  $\times$  *seed* **where**

*split-seed* *s* = (let  
   (*v*, *w*) = *s*;  
   (*v'*, *w'*) = *snd* (*next* *s*);  
   *v''* = *inc-shift* 2147483562 *v*;  
   *w''* = *inc-shift* 2147483398 *w*  
 in ((*v''*, *w'*), (*v'*, *w''*)))

### 44.3 Base selectors

**fun** *iterate* :: *code-numeral*  $\Rightarrow$  ('*b*  $\Rightarrow$  '*a*  $\Rightarrow$  '*b*  $\times$  '*a*)  $\Rightarrow$  '*b*  $\Rightarrow$  '*a*  $\Rightarrow$  '*b*  $\times$  '*a* **where**  
*iterate* *k* *f* *x* = (if *k* = 0 then *Pair* *x* else *f* *x*  $\circ \rightarrow$  *iterate* (*k* - 1) *f*)

**definition** *range* :: *code-numeral*  $\Rightarrow$  *seed*  $\Rightarrow$  *code-numeral*  $\times$  *seed* **where**

*range* *k* = *iterate* (*log* 2147483561 *k*)  
 ( $\lambda l. \text{next } o \rightarrow (\lambda v. \text{Pair } (v + l * 2147483561)))$  1  
 $o \rightarrow (\lambda v. \text{Pair } (v \bmod k))$

**lemma** *range*:

*k* > 0  $\implies$  *fst* (*range* *k* *s*) < *k*  
 <proof>

**definition** *select* :: '*a* *list*  $\Rightarrow$  *seed*  $\Rightarrow$  '*a*  $\times$  *seed* **where**

*select* *xs* = *range* (*Code-Numeral.of-nat* (*length* *xs*))  
 $o \rightarrow (\lambda k. \text{Pair } (\text{nth } xs \text{ (Code-Numeral.nat-of } k)))$

**lemma** *select*:

**assumes** *xs*  $\neq []$   
**shows** *fst* (*select* *xs* *s*)  $\in$  *set* *xs*  
 <proof>

**primrec** *pick* :: (*code-numeral*  $\times$  '*a*) *list*  $\Rightarrow$  *code-numeral*  $\Rightarrow$  '*a* **where**

*pick* (*x* # *xs*) *i* = (if *i* < *fst* *x* then *snd* *x* else *pick* *xs* (*i* - *fst* *x*))

**lemma** *pick-member*:

*i* < *listsum* (*map* *fst* *xs*)  $\implies$  *pick* *xs* *i*  $\in$  *set* (*map* *snd* *xs*)  
 <proof>

**lemma** *pick-drop-zero*:

*pick* (*filter* ( $\lambda(k, -). k > 0$ ) *xs*) = *pick* *xs*  
 <proof>

**lemma** *pick-same*:

*l* < *length* *xs*  $\implies$  *Random.pick* (*map* (*Pair* 1) *xs*) (*Code-Numeral.of-nat* *l*) =  
*nth* *xs* *l*  
 <proof>

**definition** *select-weight* :: (*code-numeral*  $\times$  '*a*) *list*  $\Rightarrow$  *seed*  $\Rightarrow$  '*a*  $\times$  *seed* **where**

```

select-weight xs = range (listsum (map fst xs))
o→ (λk. Pair (pick xs k))

```

```

lemma select-weight-member:
  assumes 0 < listsum (map fst xs)
  shows fst (select-weight xs s) ∈ set (map snd xs)
  ⟨proof⟩

```

```

lemma select-weight-cons-zero:
  select-weight ((0, x) # xs) = select-weight xs
  ⟨proof⟩

```

```

lemma select-weight-drop-zero:
  select-weight (filter (λ(k, -). k > 0) xs) = select-weight xs
  ⟨proof⟩

```

```

lemma select-weight-select:
  assumes xs ≠ []
  shows select-weight (map (Pair 1) xs) = select xs
  ⟨proof⟩

```

#### 44.4 ML interface

```

code-reflect Random-Engine
  functions range select select-weight

```

```

⟨ML⟩

```

```

hide-type (open) seed
hide-const (open) inc-shift minus-shift log next split-seed
  iterate range select pick select-weight
hide-fact (open) range-def

```

```

no-notation fcomp (infixl o> 60)
no-notation scomp (infixl o→ 60)

```

```

end

```

## 45 String: Character and string types

```

theory String
imports List
uses
  (Tools/string-syntax.ML)
  (Tools/string-code.ML)
begin

```

## 45.1 Characters

**datatype** *nibble* =

*Nibble0* | *Nibble1* | *Nibble2* | *Nibble3* | *Nibble4* | *Nibble5* | *Nibble6* | *Nibble7*  
| *Nibble8* | *Nibble9* | *NibbleA* | *NibbleB* | *NibbleC* | *NibbleD* | *NibbleE* | *NibbleF*

**lemma** *UNIV-nibble*:

$UNIV = \{Nibble0, Nibble1, Nibble2, Nibble3, Nibble4, Nibble5, Nibble6, Nibble7, Nibble8, Nibble9, NibbleA, NibbleB, NibbleC, NibbleD, NibbleE, NibbleF\}$  (is -  
= ?A)  
*<proof>*

**instance** *nibble* :: *finite*

*<proof>*

**datatype** *char* = *Char nibble nibble*

— Note: canonical order of character encoding coincides with standard term ordering

**lemma** *UNIV-char*:

$UNIV = \text{image } (\text{split } \text{Char}) (UNIV \times UNIV)$   
*<proof>*

**instance** *char* :: *finite*

*<proof>*

**lemma** *size-char* [*code*, *simp*]:

$\text{size } (c::\text{char}) = 0$  *<proof>*

**lemma** *char-size* [*code*, *simp*]:

$\text{char-size } (c::\text{char}) = 0$  *<proof>*

**primrec** *nibble-pair-of-char* :: *char*  $\Rightarrow$  *nibble*  $\times$  *nibble* **where**

*nibble-pair-of-char* (*Char n m*) = (*n*, *m*)

*<ML>*

**lemma** *char-case-nibble-pair* [*code*, *code-unfold*]:

$\text{char-case } f = \text{split } f \circ \text{nibble-pair-of-char}$   
*<proof>*

**lemma** *char-rec-nibble-pair* [*code*, *code-unfold*]:

$\text{char-rec } f = \text{split } f \circ \text{nibble-pair-of-char}$   
*<proof>*

**syntax**

-*Char* :: *xstr*  $\Rightarrow$  *char* (*CHR* -)

## 45.2 Strings

**types** *string* = *char list*

**syntax**

-*String* :: *xstr* => *string*    (-)

⟨ML⟩

**definition** *chars* :: *string where*

```

chars = [Char Nibble0 Nibble0, Char Nibble0 Nibble1, Char Nibble0 Nibble2,
Char Nibble0 Nibble3, Char Nibble0 Nibble4, Char Nibble0 Nibble5,
Char Nibble0 Nibble6, Char Nibble0 Nibble7, Char Nibble0 Nibble8,
Char Nibble0 Nibble9, Char Nibble0 NibbleA, Char Nibble0 NibbleB,
Char Nibble0 NibbleC, Char Nibble0 NibbleD, Char Nibble0 NibbleE,
Char Nibble0 NibbleF, Char Nibble1 Nibble0, Char Nibble1 Nibble1,
Char Nibble1 Nibble2, Char Nibble1 Nibble3, Char Nibble1 Nibble4,
Char Nibble1 Nibble5, Char Nibble1 Nibble6, Char Nibble1 Nibble7,
Char Nibble1 Nibble8, Char Nibble1 Nibble9, Char Nibble1 NibbleA,
Char Nibble1 NibbleB, Char Nibble1 NibbleC, Char Nibble1 NibbleD,
Char Nibble1 NibbleE, Char Nibble1 NibbleF, CHR " ", CHR "!",
Char Nibble2 Nibble2, CHR "#", CHR "$", CHR "%", CHR "&",
Char Nibble2 Nibble7, CHR "(", CHR ")", CHR "*", CHR "+", CHR ",",
CHR "-", CHR ".", CHR "/", CHR "0", CHR "1", CHR "2", CHR "3",
CHR "4", CHR "5", CHR "6", CHR "7", CHR "8", CHR "9", CHR ":",
CHR ";", CHR "<", CHR "=", CHR ">", CHR "?", CHR "@", CHR "A",
CHR "B", CHR "C", CHR "D", CHR "E", CHR "F", CHR "G", CHR "H",
CHR "I", CHR "J", CHR "K", CHR "L", CHR "M", CHR "N", CHR "O",
CHR "P", CHR "Q", CHR "R", CHR "S", CHR "T", CHR "U", CHR "V",
CHR "W", CHR "X", CHR "Y", CHR "Z", CHR "[", Char Nibble5 NibbleC,
CHR "]", CHR "^", CHR "-", Char Nibble6 Nibble0, CHR "a", CHR "b",
CHR "c", CHR "d", CHR "e", CHR "f", CHR "g", CHR "h", CHR "i",
CHR "j", CHR "k", CHR "l", CHR "m", CHR "n", CHR "o", CHR "p",
CHR "q", CHR "r", CHR "s", CHR "t", CHR "u", CHR "v", CHR "w",
CHR "x", CHR "y", CHR "z", CHR "{", CHR "|", CHR "}", CHR "~",
Char Nibble7 NibbleF, Char Nibble8 Nibble0, Char Nibble8 Nibble1,
Char Nibble8 Nibble2, Char Nibble8 Nibble3, Char Nibble8 Nibble4,
Char Nibble8 Nibble5, Char Nibble8 Nibble6, Char Nibble8 Nibble7,
Char Nibble8 Nibble8, Char Nibble8 Nibble9, Char Nibble8 NibbleA,
Char Nibble8 NibbleB, Char Nibble8 NibbleC, Char Nibble8 NibbleD,
Char Nibble8 NibbleE, Char Nibble8 NibbleF, Char Nibble9 Nibble0,
Char Nibble9 Nibble1, Char Nibble9 Nibble2, Char Nibble9 Nibble3,
Char Nibble9 Nibble4, Char Nibble9 Nibble5, Char Nibble9 Nibble6,
Char Nibble9 Nibble7, Char Nibble9 Nibble8, Char Nibble9 Nibble9,
Char Nibble9 NibbleA, Char Nibble9 NibbleB, Char Nibble9 NibbleC,
Char Nibble9 NibbleD, Char Nibble9 NibbleE, Char Nibble9 NibbleF,
Char NibbleA Nibble0, Char NibbleA Nibble1, Char NibbleA Nibble2,
Char NibbleA Nibble3, Char NibbleA Nibble4, Char NibbleA Nibble5,
Char NibbleA Nibble6, Char NibbleA Nibble7, Char NibbleA Nibble8,
Char NibbleA Nibble9, Char NibbleA NibbleA, Char NibbleA NibbleB,
```

*Char NibbleA NibbleC, Char NibbleA NibbleD, Char NibbleA NibbleE,*  
*Char NibbleA NibbleF, Char NibbleB Nibble0, Char NibbleB Nibble1,*  
*Char NibbleB Nibble2, Char NibbleB Nibble3, Char NibbleB Nibble4,*  
*Char NibbleB Nibble5, Char NibbleB Nibble6, Char NibbleB Nibble7,*  
*Char NibbleB Nibble8, Char NibbleB Nibble9, Char NibbleB NibbleA,*  
*Char NibbleB NibbleB, Char NibbleB NibbleC, Char NibbleB NibbleD,*  
*Char NibbleB NibbleE, Char NibbleB NibbleF, Char NibbleC Nibble0,*  
*Char NibbleC Nibble1, Char NibbleC Nibble2, Char NibbleC Nibble3,*  
*Char NibbleC Nibble4, Char NibbleC Nibble5, Char NibbleC Nibble6,*  
*Char NibbleC Nibble7, Char NibbleC Nibble8, Char NibbleC Nibble9,*  
*Char NibbleC NibbleA, Char NibbleC NibbleB, Char NibbleC NibbleC,*  
*Char NibbleC NibbleD, Char NibbleC NibbleE, Char NibbleC NibbleF,*  
*Char NibbleD Nibble0, Char NibbleD Nibble1, Char NibbleD Nibble2,*  
*Char NibbleD Nibble3, Char NibbleD Nibble4, Char NibbleD Nibble5,*  
*Char NibbleD Nibble6, Char NibbleD Nibble7, Char NibbleD Nibble8,*  
*Char NibbleD Nibble9, Char NibbleD NibbleA, Char NibbleD NibbleB,*  
*Char NibbleD NibbleC, Char NibbleD NibbleD, Char NibbleD NibbleE,*  
*Char NibbleD NibbleF, Char NibbleE Nibble0, Char NibbleE Nibble1,*  
*Char NibbleE Nibble2, Char NibbleE Nibble3, Char NibbleE Nibble4,*  
*Char NibbleE Nibble5, Char NibbleE Nibble6, Char NibbleE Nibble7,*  
*Char NibbleE Nibble8, Char NibbleE Nibble9, Char NibbleE NibbleA,*  
*Char NibbleE NibbleB, Char NibbleE NibbleC, Char NibbleE NibbleD,*  
*Char NibbleE NibbleE, Char NibbleE NibbleF, Char NibbleF Nibble0,*  
*Char NibbleF Nibble1, Char NibbleF Nibble2, Char NibbleF Nibble3,*  
*Char NibbleF Nibble4, Char NibbleF Nibble5, Char NibbleF Nibble6,*  
*Char NibbleF Nibble7, Char NibbleF Nibble8, Char NibbleF Nibble9,*  
*Char NibbleF NibbleA, Char NibbleF NibbleB, Char NibbleF NibbleC,*  
*Char NibbleF NibbleD, Char NibbleF NibbleE, Char NibbleF NibbleF]*

### 45.3 Strings as dedicated datatype

**datatype** *literal* = *STR string*

**declare** *literal.cases* [*code del*] *literal.recs* [*code del*]

**lemma** [*code*]: *size (s::literal) = 0*  
 ⟨*proof*⟩

**lemma** [*code*]: *literal-size (s::literal) = 0*  
 ⟨*proof*⟩

### 45.4 Code generator

⟨*ML*⟩

**code-reserved** *SML string*

**code-reserved** *OCaml string*

**code-reserved** *Scala string*

**code-type** *literal*



```

    (SML string)
    (OCaml string)
    (Haskell String)
    (Scala String)

  ⟨ML⟩

code-instance literal :: eq
  (Haskell -)

code-const eq-class.eq :: literal ⇒ literal ⇒ bool
  (SML !((- : string) = -))
  (OCaml !((- : string) = -))
  (Haskell infixl 4 ==)
  (Scala infixl 5 ==)

types-code
  char (string)
attach (term-of) ⟨⟨
  val term-of-char = HOLogic.mk-char o ord;
  ⟩⟩
attach (test) ⟨⟨
  fun gen-char i =
    let val j = random-range (ord a) (Int.min (ord a + i, ord z))
    in (chr j, fn () => HOLogic.mk-char j) end;
  ⟩⟩

  ⟨ML⟩

hide-type (open) literal

end

```

## 46 Typerep: Reflecting Pure types into HOL

```

theory Typerep
imports Plain String
begin

datatype typerep = Typerep String.literal typerep list

class typerep =
  fixes typerep :: 'a itself ⇒ typerep
begin

definition typerep-of :: 'a ⇒ typerep where
  [simp]: typerep-of x = typerep TYPE('a)

end

```

```

syntax
  -TYPEREP :: type => logic ((1TYPEREP/(1'(-'))))

<ML>

lemma [code]:
  eq-class.eq (Typerep tyco1 tys1) (Typerep tyco2 tys2)  $\longleftrightarrow$  eq-class.eq tyco1 tyco2
     $\wedge$  list-all2 eq-class.eq tys1 tys2
  <proof>

code-type typerep
  (Eval Term.typ)

code-const Typerep
  (Eval Term.Type / (-, -))

code-reserved Eval Term

hide-const (open) typerep Typerep

end

```

## 47 Code-Evaluation: Term evaluation using the generic code generator

```

theory Code-Evaluation
imports Plain Typerep Code-Numeral
begin

```

### 47.1 Term representation

#### 47.1.1 Terms and class *term-of*

```

datatype term = dummy-term

```

```

definition Const :: String.literal  $\Rightarrow$  typerep  $\Rightarrow$  term where
  Const - - = dummy-term

```

```

definition App :: term  $\Rightarrow$  term  $\Rightarrow$  term where
  App - - = dummy-term

```

```

code-datatype Const App

```

```

class term-of = typerep +
  fixes term-of :: 'a  $\Rightarrow$  term

```

```

lemma term-of-anything: term-of x  $\equiv$  t

```

$\langle \text{proof} \rangle$

**definition**  $\text{valapp} :: ('a \Rightarrow 'b) \times (\text{unit} \Rightarrow \text{term})$   
 $\Rightarrow 'a \times (\text{unit} \Rightarrow \text{term}) \Rightarrow 'b \times (\text{unit} \Rightarrow \text{term})$  **where**  
 $\text{valapp } f \ x = (\text{fst } f \ (\text{fst } x), \lambda u. \text{App } (\text{snd } f \ ()) \ (\text{snd } x \ ()))$

**lemma**  $\text{valapp-code}$   $[\text{code}, \text{code-unfold}]$ :  
 $\text{valapp } (f, \text{tf}) \ (x, \text{tx}) = (f \ x, \lambda u. \text{App } (\text{tf } ()) \ (\text{tx } ()))$   
 $\langle \text{proof} \rangle$

#### 47.1.2 term-of instances

**instantiation**  $\text{fun} :: (\text{typerep}, \text{typerep}) \text{ term-of}$   
**begin**

**definition**  
 $\text{term-of } (f :: 'a \Rightarrow 'b) = \text{Const } (\text{STR } \text{"dummy-pattern"}) \ (\text{Typerep.Typerep } (\text{STR } \text{"fun"}))$   
 $[\text{Typerep.typerep } \text{TYPE('a)}, \text{Typerep.typerep } \text{TYPE('b)}]]$

**instance**  $\langle \text{proof} \rangle$

**end**

$\langle \text{ML} \rangle$

#### 47.1.3 Code generator setup

**lemmas**  $[\text{code del}] = \text{term.recs term.cases term.size}$

**lemma**  $[\text{code}, \text{code del}]$ :  $\text{eq-class.eq } (t1 :: \text{term}) \ t2 \longleftrightarrow \text{eq-class.eq } t1 \ t2 \ \langle \text{proof} \rangle$

**lemma**  $[\text{code}, \text{code del}]$ :  $(\text{term-of} :: \text{typerep} \Rightarrow \text{term}) = \text{term-of} \ \langle \text{proof} \rangle$

**lemma**  $[\text{code}, \text{code del}]$ :  $(\text{term-of} :: \text{term} \Rightarrow \text{term}) = \text{term-of} \ \langle \text{proof} \rangle$

**lemma**  $[\text{code}, \text{code del}]$ :  $(\text{term-of} :: \text{String.literal} \Rightarrow \text{term}) = \text{term-of} \ \langle \text{proof} \rangle$

**lemma**  $[\text{code}, \text{code del}]$ :  
 $(\text{Code-Evaluation.term-of} :: 'a :: \{\text{type}, \text{term-of}\} \text{ Predicate.pred} \Rightarrow \text{Code-Evaluation.term})$   
 $= \text{Code-Evaluation.term-of} \ \langle \text{proof} \rangle$

**lemma**  $[\text{code}, \text{code del}]$ :  
 $(\text{Code-Evaluation.term-of} :: 'a :: \{\text{type}, \text{term-of}\} \text{ Predicate.seq} \Rightarrow \text{Code-Evaluation.term})$   
 $= \text{Code-Evaluation.term-of} \ \langle \text{proof} \rangle$

**lemma**  $\text{term-of-char}$   $[\text{unfolded typerep-fun-def typerep-char-def typerep-nibble-def},$   
 $\text{code}]$ :  $\text{Code-Evaluation.term-of } c =$   
 $(\text{let } (n, m) = \text{nibble-pair-of-char } c$   
 $\text{in } \text{Code-Evaluation.App } (\text{Code-Evaluation.App } (\text{Code-Evaluation.Const } (\text{STR } \text{"String.char.Char"})$   
 $(\text{TYPEREP}(\text{nibble} \Rightarrow \text{nibble} \Rightarrow \text{char})))$   
 $(\text{Code-Evaluation.term-of } n)) \ (\text{Code-Evaluation.term-of } m))$   
 $\langle \text{proof} \rangle$

**code-type**  $\text{term}$

(*Eval Term.term*)

**code-const** *Const* **and** *App*  
 (*Eval Term.Const/ ((-), (-)) and Term.\$/ ((-), (-))*)

**code-const** *term-of* :: *String.literal*  $\Rightarrow$  *term*  
 (*Eval HLogic.mk'-literal*)

**code-reserved** *Eval HLogic*

#### 47.1.4 Syntax

**definition** *termify* :: '*a*  $\Rightarrow$  *term* **where**  
 [*code del*]: *termify* *x* = *dummy-term*

**abbreviation** *valtermify* :: '*a*  $\Rightarrow$  '*a*  $\times$  (*unit*  $\Rightarrow$  *term*) **where**  
*valtermify* *x*  $\equiv$  (*x*,  $\lambda u.$  *termify* *x*)

$\langle ML \rangle$

**locale** *term-syntax*  
**begin**

**notation** *App* (**infixl**  $\langle \cdot \rangle$  70)  
**and** *valapp* (**infixl**  $\{ \cdot \}$  70)

**end**

**interpretation** *term-syntax*  $\langle proof \rangle$

**no-notation** *App* (**infixl**  $\langle \cdot \rangle$  70)  
**and** *valapp* (**infixl**  $\{ \cdot \}$  70)

#### 47.2 Numeric types

**definition** *term-of-num* :: '*a*::{*semiring-div*}  $\Rightarrow$  '*a*::{*semiring-div*}  $\Rightarrow$  *term* **where**  
*term-of-num two* = ( $\lambda -.$  *dummy-term*)

**lemma** (**in** *term-syntax*) *term-of-num-code* [*code*]:  
*term-of-num two* *k* = (*if* *k* = 0 *then* *termify Int.Pls*  
   *else* (*if* *k* mod *two* = 0

*then* *termify Int.Bit0*  $\langle \cdot \rangle$  *term-of-num two* (*k* div *two*)

*else* *termify Int.Bit1*  $\langle \cdot \rangle$  *term-of-num two* (*k* div *two*)))

$\langle proof \rangle$

**lemma** (**in** *term-syntax*) *term-of-nat-code* [*code*]:  
*term-of* (*n*::*nat*) = *termify* (*number-of* :: *int*  $\Rightarrow$  *nat*)  $\langle \cdot \rangle$  *term-of-num* (*2*::*nat*)

*n*

$\langle proof \rangle$

```

lemma (in term-syntax) term-of-int-code [code]:
  term-of (k::int) = (if k = 0 then termify (0 :: int)
    else if k > 0 then termify (number-of :: int ⇒ int) <·> term-of-num (2::int) k
    else termify (uminus :: int ⇒ int) <·> (termify (number-of :: int ⇒ int)
  <·> term-of-num (2::int) (− k)))
  ⟨proof⟩

```

```

lemma (in term-syntax) term-of-code-numeral-code [code]:
  term-of (k::code-numeral) = termify (number-of :: int ⇒ code-numeral) <·>
term-of-num (2::code-numeral) k
  ⟨proof⟩

```

### 47.3 Obfuscate

⟨*ML*⟩

```

hide-const dummy-term App valapp
hide-const (open) Const termify valtermify term-of term-of-num

```

### 47.4 Tracing of generated and evaluated code

```

definition tracing :: String.literal => 'a => 'a
where
  [code del]: tracing s x = x

```

⟨*ML*⟩

```

code-const tracing :: String.literal => 'a => 'a
  (Eval Code'-Evaluation.tracing)

```

```

hide-const (open) tracing
code-reserved Eval Code-Evaluation

```

### 47.5 Evaluation setup

⟨*ML*⟩

**end**

## 48 Quickcheck: A simple counterexample generator

```

theory Quickcheck
imports Random Code-Evaluation
uses (Tools/quickcheck-generators.ML)
begin

notation fcomp (infixl o> 60)

```

**notation** *scomp* (infixl *o* → 60)

#### 48.1 The *random* class

**class** *random* = *typerep* +  
**fixes** *random* :: *code-numeral* ⇒ *Random.seed* ⇒ ('a × (unit ⇒ term)) × *Random.seed*

#### 48.2 Fundamental and numeric types

**instantiation** *bool* :: *random*  
**begin**

**definition**  
*random* *i* = *Random.range* 2 *o* →  
 (λ*k*. *Pair* (if *k* = 0 then *Code-Evaluation.valtermify* False else *Code-Evaluation.valtermify* True))

**instance** ⟨*proof*⟩

**end**

**instantiation** *itself* :: (*typerep*) *random*  
**begin**

**definition** *random-itself* :: *code-numeral* ⇒ *Random.seed* ⇒ ('a *itself* × (unit ⇒ term)) × *Random.seed* **where**  
*random-itself* - = *Pair* (*Code-Evaluation.valtermify* TYPE('a))

**instance** ⟨*proof*⟩

**end**

**instantiation** *char* :: *random*  
**begin**

**definition**  
*random* - = *Random.select* *chars* *o* → (λ*c*. *Pair* (*c*, λ*u*. *Code-Evaluation.term-of* *c*))

**instance** ⟨*proof*⟩

**end**

**instantiation** *String.literal* :: *random*  
**begin**

**definition**  
*random* - = *Pair* (*STR* "", λ*u*. *Code-Evaluation.term-of* (*STR* ""))

**instance**  $\langle proof \rangle$

**end**

**instantiation**  $nat :: random$   
**begin**

**definition**  $random\text{-}nat :: code\text{-}numeral \Rightarrow Random.seed \Rightarrow (nat \times (unit \Rightarrow Code\text{-}Evaluation.term))$   
 $\times Random.seed$  **where**  
 $random\text{-}nat\ i = Random.range\ (i + 1)\ o \rightarrow (\lambda k. Pair\ ($   
 $\quad let\ n = Code\text{-}Numeral.nat\text{-}of\ k$   
 $\quad in\ (n, \lambda\cdot. Code\text{-}Evaluation.term\text{-}of\ n)))$

**instance**  $\langle proof \rangle$

**end**

**instantiation**  $int :: random$   
**begin**

**definition**  
 $random\ i = Random.range\ (2 * i + 1)\ o \rightarrow (\lambda k. Pair\ ($   
 $\quad let\ j = (if\ k \geq i\ then\ Code\text{-}Numeral.int\text{-}of\ (k - i)\ else\ -\ Code\text{-}Numeral.int\text{-}of$   
 $\quad (i - k))$   
 $\quad in\ (j, \lambda\cdot. Code\text{-}Evaluation.term\text{-}of\ j)))$

**instance**  $\langle proof \rangle$

**end**

### 48.3 Complex generators

Towards  $'a \Rightarrow 'b$

**axiomatization**  $random\text{-}fun\text{-}aux :: typerep \Rightarrow typerep \Rightarrow ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow ('a$   
 $\Rightarrow term)$   
 $\Rightarrow (Random.seed \Rightarrow ('b \times (unit \Rightarrow term)) \times Random.seed) \Rightarrow (Random.seed \Rightarrow$   
 $Random.seed \times Random.seed)$   
 $\Rightarrow Random.seed \Rightarrow (('a \Rightarrow 'b) \times (unit \Rightarrow term)) \times Random.seed$

**definition**  $random\text{-}fun\text{-}lift :: (Random.seed \Rightarrow ('b \times (unit \Rightarrow term)) \times Ran-$   
 $dom.seed)$

$\Rightarrow Random.seed \Rightarrow (('a :: term\text{-}of \Rightarrow 'b :: typerep) \times (unit \Rightarrow term)) \times Random.seed$   
**where**

$random\text{-}fun\text{-}lift\ f = random\text{-}fun\text{-}aux\ TYPEREPEP('a)\ TYPEREPEP('b)\ (op =) Code\text{-}Evaluation.term\text{-}of$   
 $f\ Random.split\text{-}seed$

**instantiation**  $fun :: (\{eq, term\text{-}of\}, random)\ random$   
**begin**

**definition** *random-fun* :: *code-numeral*  $\Rightarrow$  *Random.seed*  $\Rightarrow$  (*'a*  $\Rightarrow$  *'b*)  $\times$  (*unit*  $\Rightarrow$  *term*)  $\times$  *Random.seed* **where**  
*random i* = *random-fun-lift* (*random i*)

**instance**  $\langle$ *proof* $\rangle$

**end**

Towards type copies and datatypes

**definition** *collapse* :: (*'a*  $\Rightarrow$  (*'a*  $\Rightarrow$  *'b*  $\times$  *'a*)  $\times$  *'a*)  $\Rightarrow$  *'a*  $\Rightarrow$  *'b*  $\times$  *'a* **where**  
*collapse f* = (*f o*  $\rightarrow$  *id*)

**definition** *beyond* :: *code-numeral*  $\Rightarrow$  *code-numeral*  $\Rightarrow$  *code-numeral* **where**  
*beyond k l* = (*if l*  $>$  *k* then *l* else 0)

**lemma** *beyond-zero*:

*beyond k 0* = 0  
 $\langle$ *proof* $\rangle$

**lemma** *random-aux-rec*:

**fixes** *random-aux* :: *code-numeral*  $\Rightarrow$  *'a*  
**assumes** *random-aux 0* = *rhs 0*  
**and**  $\bigwedge k.$  *random-aux* (*Suc-code-numeral k*) = *rhs* (*Suc-code-numeral k*)  
**shows** *random-aux k* = *rhs k*  
 $\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

## 48.4 Code setup

**code-const** *random-fun-aux* (*Quickcheck Quickcheck'-Generators.random'-fun*)

— With enough criminal energy this can be abused to derive *False*; for this reason we use a distinguished target *Quickcheck* not spoiling the regular trusted code generation

**code-reserved** *Quickcheck Quickcheck-Generators*

**no-notation** *fcomp* (**infixl** *o*  $>$  60)

**no-notation** *scomp* (**infixl** *o*  $\rightarrow$  60)

## 48.5 The Random-Predicate Monad

**fun** *iter'* ::

*'a* *itself*  $\Rightarrow$  *code-numeral*  $\Rightarrow$  *code-numeral*  $\Rightarrow$  *code-numeral*  $*$  *code-numeral*  
 $\Rightarrow$  (*'a::random*) *Predicate.pred*

**where**

*iter' T nrandom sz seed* = (*if nrandom* = 0 then *bot-class.bot* else  
*let* ((*x*, -), *seed'*) = *random sz seed*  
*in Predicate.Seq* (%*u*. *Predicate.Insert x* (*iter' T* (*nrandom* - 1) *sz seed'*)))



**definition**  $iter :: code-numeral \Rightarrow code-numeral \Rightarrow code-numeral * code-numeral \Rightarrow ('a :: random) Predicate.pred$

**where**

$iter\ nrandom\ sz\ seed = iter' (TYPE('a))\ nrandom\ sz\ seed$

**lemma**  $[code]:$

$iter\ nrandom\ sz\ seed = (if\ nrandom = 0\ then\ bot-class.bot\ else$

$let\ ((x, -), seed') = random\ sz\ seed$

$in\ Predicate.Seq\ (\%u.\ Predicate.Insert\ x\ (iter\ (nrandom - 1)\ sz\ seed'))$

$\langle proof \rangle$

**types**  $'a\ randompred = Random.seed \Rightarrow ('a\ Predicate.pred \times Random.seed)$

**definition**  $empty :: 'a\ randompred$

**where**  $empty = Pair\ (bot-class.bot)$

**definition**  $single :: 'a \Rightarrow 'a\ randompred$

**where**  $single\ x = Pair\ (Predicate.single\ x)$

**definition**  $bind :: 'a\ randompred \Rightarrow ('a \Rightarrow 'b\ randompred) \Rightarrow 'b\ randompred$

**where**

$bind\ R\ f = (\lambda s.\ let$

$(P, s') = R\ s;$

$(s1, s2) = Random.split-seed\ s'$

$in\ (Predicate.bind\ P\ (\%a.\ fst\ (f\ a\ s1)), s2))$

**definition**  $union :: 'a\ randompred \Rightarrow 'a\ randompred \Rightarrow 'a\ randompred$

**where**

$union\ R1\ R2 = (\lambda s.\ let$

$(P1, s') = R1\ s; (P2, s'') = R2\ s'$

$in\ (semilattice-sup-class.sup\ P1\ P2, s''))$

**definition**  $if-randompred :: bool \Rightarrow unit\ randompred$

**where**

$if-randompred\ b = (if\ b\ then\ single\ ()\ else\ empty)$

**definition**  $iterate-upto :: (code-numeral \Rightarrow 'a) \Rightarrow code-numeral \Rightarrow code-numeral \Rightarrow 'a\ randompred$

**where**

$iterate-upto\ f\ n\ m = Pair\ (Code-Numeral.iterate-upto\ f\ n\ m)$

**definition**  $not-randompred :: unit\ randompred \Rightarrow unit\ randompred$

**where**

$not-randompred\ P = (\lambda s.\ let$

$(P', s') = P\ s$

$in\ if\ Predicate.eval\ P'\ ()\ then\ (Orderings.bot, s')\ else\ (Predicate.single\ (), s'))$

**definition**  $Random :: (Random.seed \Rightarrow ('a \times (unit \Rightarrow term)) \times Random.seed) \Rightarrow$

```

'a randompred
  where Random g = scomp g (Pair o (Predicate.single o fst))

definition map :: ('a ⇒ 'b) ⇒ ('a randompred ⇒ 'b randompred)
  where map f P = bind P (single o f)

hide-fact (open) iter'.simps iter-def empty-def single-def bind-def union-def if-randompred-def
iterate-upto-def not-randompred-def Random-def map-def
hide-type (open) randompred
hide-const (open) random collapse beyond random-fun-aux random-fun-lift
  iter' iter empty single bind union if-randompred iterate-upto not-randompred Ran-
dom map

end

```

## 49 Lazy-Sequence: Lazy sequences

```

theory Lazy-Sequence
imports List Code-Numeral
begin

datatype 'a lazy-sequence = Empty | Insert 'a 'a lazy-sequence

definition Lazy-Sequence :: (unit ⇒ ('a * 'a lazy-sequence) option) ⇒ 'a lazy-sequence
where
  Lazy-Sequence f = (case f () of None ⇒ Empty | Some (x, xq) ⇒ Insert x xq)

code-datatype Lazy-Sequence

primrec yield :: 'a lazy-sequence ⇒ ('a * 'a lazy-sequence) option
where
  yield Empty = None
  | yield (Insert x xq) = Some (x, xq)

lemma [simp]: yield xq = Some (x, xq') ==> size xq' < size xq
  <proof>

lemma yield-Seq [code]:
  yield (Lazy-Sequence f) = f ()
  <proof>

lemma Seq-yield:
  Lazy-Sequence (%u. yield f) = f
  <proof>

lemma lazy-sequence-size-code [code]:
  lazy-sequence-size s xq = (case yield xq of None ⇒ 0 | Some (x, xq') ⇒ s x +
  lazy-sequence-size s xq' + 1)

```

*<proof>*

**lemma** *size-code* [code]:

*size xq = (case yield xq of None => 0 | Some (x, xq') => size xq' + 1)*

*<proof>*

**lemma** [code]: *eq-class.eq xq yq = (case (yield xq, yield yq) of*

*(None, None) => True | (Some (x, xq'), Some (y, yq')) => (HOL.eq x y) ∧  
(eq-class.eq xq yq) | - => False)*

*<proof>*

**lemma** *seq-case* [code]:

*lazy-sequence-case f g xq = (case (yield xq) of None => f | Some (x, xq') => g  
x xq')*

*<proof>*

**lemma** [code]: *lazy-sequence-rec f g xq = (case (yield xq) of None => f | Some  
(x, xq') => g x xq' (lazy-sequence-rec f g xq'))*

*<proof>*

**definition** *empty* :: 'a lazy-sequence

**where**

[code]: *empty = Lazy-Sequence (%u. None)*

**definition** *single* :: 'a => 'a lazy-sequence

**where**

[code]: *single x = Lazy-Sequence (%u. Some (x, empty))*

**primrec** *append* :: 'a lazy-sequence => 'a lazy-sequence => 'a lazy-sequence

**where**

*append Empty yq = yq*

| *append (Insert x xq) yq = Insert x (append xq yq)*

**lemma** [code]:

*append xq yq = Lazy-Sequence (%u. case yield xq of*

*None => yield yq*

*| Some (x, xq') => Some (x, append xq' yq))*

*<proof>*

**primrec** *flat* :: 'a lazy-sequence lazy-sequence => 'a lazy-sequence

**where**

*flat Empty = Empty*

| *flat (Insert xq xqq) = append xq (flat xqq)*

**lemma** [code]:

*flat xqq = Lazy-Sequence (%u. case yield xqq of*

*None => None*

*| Some (xq, xqq') => yield (append xq (flat xqq'))*

*<proof>*

**primrec** *map* :: ('a => 'b) => 'a lazy-sequence => 'b lazy-sequence

**where**

*map f Empty* = *Empty*  
 | *map f (Insert x xq)* = *Insert (f x) (map f xq)*

**lemma** [code]:

*map f xq* = *Lazy-Sequence* (%u. *Option.map* (%(x, xq'). (f x, *map f xq'*)) (yield xq))  
 <proof>

**definition** *bind* :: 'a lazy-sequence => ('a => 'b lazy-sequence) => 'b lazy-sequence

**where**

[code]: *bind xq f* = *flat (map f xq)*

**definition** *if-seq* :: bool => unit lazy-sequence

**where**

*if-seq b* = (if b then *single ()* else *empty*)

**function** *iterate-upto* :: (code-numeral => 'a) => code-numeral => code-numeral  
 => 'a *Lazy-Sequence.lazy-sequence*

**where**

*iterate-upto f n m* = *Lazy-Sequence.Lazy-Sequence* (%u. if n > m then *None* else  
*Some (f n, iterate-upto f (n + 1) m)*)  
 <proof>

**termination** <proof>

**definition** *not-seq* :: unit lazy-sequence => unit lazy-sequence

**where**

*not-seq xq* = (case *yield xq* of *None* => *single ()* | *Some* ((), xq) => *empty*)

## 49.1 Code setup

**fun** *anamorph* :: ('a => ('b × 'a) option) => code-numeral => 'a => 'b list × 'a

**where**

*anamorph f k x* = (if k = 0 then ([], x)  
 else case *f x* of *None* => ([], x) | *Some* (v, y) =>  
 let (vs, z) = *anamorph f* (k - 1) y  
 in (v # vs, z))

**definition** *yieldn* :: code-numeral => 'a lazy-sequence => 'a list × 'a lazy-sequence

**where**

*yieldn* = *anamorph yield*

**code-reflect** *Lazy-Sequence*

**datatypes** *lazy-sequence* = *Lazy-Sequence*

**functions** *map yield yieldn*

## 49.2 With Hit Bound Value

assuming in negative context

**types** *'a hit-bound-lazy-sequence* = *'a option lazy-sequence*

**definition** *hit-bound* :: *'a hit-bound-lazy-sequence*

**where**

[code]: *hit-bound* = *Lazy-Sequence* (%u. *Some (None, empty)*)

**definition** *hb-single* :: *'a => 'a hit-bound-lazy-sequence*

**where**

[code]: *hb-single* *x* = *Lazy-Sequence* (%u. *Some (Some x, empty)*)

**primrec** *hb-flat* :: *'a hit-bound-lazy-sequence hit-bound-lazy-sequence => 'a hit-bound-lazy-sequence*

**where**

*hb-flat Empty* = *Empty*  
| *hb-flat (Insert xq xqq)* = *append* (*case xq of None => hit-bound* | *Some xq => xq*) (*hb-flat xqq*)

**lemma** [code]:

*hb-flat xqq* = *Lazy-Sequence* (%u. *case yield xqq of*  
  *None => None*  
  | *Some (xq, xqq')* => *yield (append (case xq of None => hit-bound* | *Some xq*  
=> *xq*) (*hb-flat xqq')*))  
⟨proof⟩

**primrec** *hb-map* :: *('a => 'b) => 'a hit-bound-lazy-sequence => 'b hit-bound-lazy-sequence*

**where**

*hb-map f Empty* = *Empty*  
| *hb-map f (Insert x xq)* = *Insert (Option.map f x) (hb-map f xq)*

**lemma** [code]:

*hb-map f xq* = *Lazy-Sequence* (%u. *Option.map* (%(*x, xq'*). (*Option.map f x,*  
*hb-map f xq')*) (*yield xq*))  
⟨proof⟩

**definition** *hb-bind* :: *'a hit-bound-lazy-sequence => ('a => 'b hit-bound-lazy-sequence)*  
*=> 'b hit-bound-lazy-sequence*

**where**

[code]: *hb-bind* *xq f* = *hb-flat (hb-map f xq)*

**definition** *hb-if-seq* :: *bool => unit hit-bound-lazy-sequence*

**where**

*hb-if-seq b* = (*if b then hb-single () else empty*)

**definition** *hb-not-seq* :: *unit hit-bound-lazy-sequence => unit lazy-sequence*

**where**

*hb-not-seq xq* = (*case yield xq of None => single ()* | *Some (x, xq) => empty*)

```

hide-type (open) lazy-sequence
hide-const (open) Empty Insert Lazy-Sequence yield empty single append flat map
bind if-seq iterate-upto not-seq
hide-fact yield.simps empty-def single-def append.simps flat.simps map.simps bind-def
iterate-upto.simps if-seq-def not-seq-def

end

```

## 50 DSequence: Depth-Limited Sequences with failure element

```

theory DSequence
imports Lazy-Sequence Code-Numeral
begin

types 'a dseq = code-numeral => bool => 'a Lazy-Sequence.lazy-sequence option

definition empty :: 'a dseq
where
  empty = (%i pol. Some Lazy-Sequence.empty)

definition single :: 'a => 'a dseq
where
  single x = (%i pol. Some (Lazy-Sequence.single x))

fun eval :: 'a dseq => code-numeral => bool => 'a Lazy-Sequence.lazy-sequence option
where
  eval f i pol = f i pol

definition yield :: 'a dseq => code-numeral => bool => ('a * 'a dseq) option
where
  yield dseq i pol = (case eval dseq i pol of
    None => None
  | Some s => Option.map (apsnd (%r i pol. Some r)) (Lazy-Sequence.yield s))

fun map-seq :: ('a => 'b dseq) => 'a Lazy-Sequence.lazy-sequence => 'b dseq
where
  map-seq f xq i pol = (case Lazy-Sequence.yield xq of
    None => Some Lazy-Sequence.empty
  | Some (x, xq') => (case eval (f x) i pol of
    None => None
  | Some yq => (case map-seq f xq' i pol of
    None => None
  | Some zq => Some (Lazy-Sequence.append yq zq))))

```

**definition** *bind* :: 'a dseq => ('a => 'b dseq) => 'b dseq

**where**

```

bind x f = (%i pol.
  if i = 0 then
    (if pol then Some Lazy-Sequence.empty else None)
  else
    (case x (i - 1) pol of
      None => None
    | Some xq => map-seq f xq i pol))

```

**definition** *union* :: 'a dseq => 'a dseq => 'a dseq

**where**

```

union x y = (%i pol. case (x i pol, y i pol) of
  (Some xq, Some yq) => Some (Lazy-Sequence.append xq yq)
  | - => None)

```

**definition** *if-seq* :: bool => unit dseq

**where**

```

if-seq b = (if b then single () else empty)

```

**definition** *not-seq* :: unit dseq => unit dseq

**where**

```

not-seq x = (%i pol. case x i (¬pol) of
  None => Some Lazy-Sequence.empty
  | Some xq => (case Lazy-Sequence.yield xq of
    None => Some (Lazy-Sequence.single ())
  | Some - => Some (Lazy-Sequence.empty)))

```

**definition** *map* :: ('a => 'b) => 'a dseq => 'b dseq

**where**

```

map f g = (%i pol. case g i pol of
  None => None
  | Some xq => Some (Lazy-Sequence.map f xq))

```

⟨ML⟩

**code-reserved** *Eval DSequence*

**hide-const** (**open**) *empty single eval map-seq bind union if-seq not-seq map*

**hide-fact** (**open**) *empty-def single-def eval.simps map-seq.simps bind-def union-def  
if-seq-def not-seq-def map-def*

**end**

**theory** *Random-Sequence*

**imports** *Quickcheck DSequence*

**begin**

**types**  $'a \text{ random-dseq} = \text{code-numeral} \Rightarrow \text{code-numeral} \Rightarrow \text{Random.seed} \Rightarrow ('a \text{ DSequence.dseq} \times \text{Random.seed})$

**definition**  $\text{empty} :: 'a \text{ random-dseq}$

**where**

$\text{empty} = (\%nrandom \text{ size. Pair (DSequence.empty)})$

**definition**  $\text{single} :: 'a \Rightarrow 'a \text{ random-dseq}$

**where**

$\text{single } x = (\%nrandom \text{ size. Pair (DSequence.single } x))$

**definition**  $\text{bind} :: 'a \text{ random-dseq} \Rightarrow ('a \Rightarrow 'b \text{ random-dseq}) \Rightarrow 'b \text{ random-dseq}$

**where**

$\text{bind } R \text{ } f = (\lambda nrandom \text{ size } s. \text{ let } (P, s') = R \text{ } nrandom \text{ size } s;$   
 $(s1, s2) = \text{Random.split-seed } s'$   
 $\text{in (DSequence.bind } P (\%a. \text{fst (f a } nrandom \text{ size } s1)), s2))$

**definition**  $\text{union} :: 'a \text{ random-dseq} \Rightarrow 'a \text{ random-dseq} \Rightarrow 'a \text{ random-dseq}$

**where**

$\text{union } R1 \text{ } R2 = (\lambda nrandom \text{ size } s. \text{ let } (S1, s') = R1 \text{ } nrandom \text{ size } s; (S2, s'') = R2 \text{ } nrandom \text{ size } s'$   
 $\text{in (DSequence.union } S1 \text{ } S2, s''))$

**definition**  $\text{if-random-dseq} :: \text{bool} \Rightarrow \text{unit random-dseq}$

**where**

$\text{if-random-dseq } b = (\text{if } b \text{ then single () else empty})$

**definition**  $\text{not-random-dseq} :: \text{unit random-dseq} \Rightarrow \text{unit random-dseq}$

**where**

$\text{not-random-dseq } R = (\lambda nrandom \text{ size } s. \text{ let } (S, s') = R \text{ } nrandom \text{ size } s$   
 $\text{in (DSequence.not-seq } S, s'))$

**fun**  $\text{Random} :: (\text{code-numeral} \Rightarrow \text{Random.seed} \Rightarrow (('a \times (\text{unit} \Rightarrow \text{term})) \times \text{Random.seed})) \Rightarrow 'a \text{ random-dseq}$

**where**

$\text{Random } g \text{ } nrandom = (\%size. \text{ if } nrandom \leq 0 \text{ then (Pair DSequence.empty)}$   
 $\text{else } (scomp (g \text{ size}) (\%r. scomp (\text{Random } g (nrandom - 1) \text{ size}) (\%rs. \text{Pair (DSequence.union (DSequence.single (fst } r)) rs))))))$

**definition**  $\text{map} :: ('a \Rightarrow 'b) \Rightarrow 'a \text{ random-dseq} \Rightarrow 'b \text{ random-dseq}$

**where**

$\text{map } f \text{ } P = \text{bind } P (\text{single } o \text{ } f)$



**hide-const** (**open**) *empty single bind union if-random-dseq not-random-dseq Random map*

**hide-type** *DSequence.dseq random-dseq*

**hide-fact** (**open**) *empty-def single-def bind-def union-def if-random-dseq-def not-random-dseq-def Random.simps map-def*

**end**

## 51 New-DSequence: Depth-Limited Sequences with failure element

**theory** *New-DSequence*

**imports** *Random-Sequence*

**begin**

### 51.1 Positive Depth-Limited Sequence

**types** *'a pos-dseq = code-numeral => 'a Lazy-Sequence.lazy-sequence*

**definition** *pos-empty :: 'a pos-dseq*

**where**

*pos-empty = (%i. Lazy-Sequence.empty)*

**definition** *pos-single :: 'a => 'a pos-dseq*

**where**

*pos-single x = (%i. Lazy-Sequence.single x)*

**definition** *pos-bind :: 'a pos-dseq => ('a => 'b pos-dseq) => 'b pos-dseq*

**where**

*pos-bind x f = (%i.  
if i = 0 then  
  Lazy-Sequence.empty  
else  
  Lazy-Sequence.bind (x (i - 1)) (%a. f a i))*

**definition** *pos-union :: 'a pos-dseq => 'a pos-dseq => 'a pos-dseq*

**where**

*pos-union xq yq = (%i. Lazy-Sequence.append (xq i) (yq i))*

**definition** *pos-if-seq :: bool => unit pos-dseq*

**where**

*pos-if-seq b = (if b then pos-single () else pos-empty)*

**definition** *pos-iterate-upto :: (code-numeral => 'a) => code-numeral => code-numeral  
=> 'a pos-dseq*

**where**

*pos-iterate-upto*  $f\ n\ m = (\%i. \text{Lazy-Sequence.iterate-upto } f\ n\ m)$

**definition** *pos-map*  $:: ('a \Rightarrow 'b) \Rightarrow 'a\ \text{pos-dseq} \Rightarrow 'b\ \text{pos-dseq}$   
**where**  
*pos-map*  $f\ xq = (\%i. \text{Lazy-Sequence.map } f\ (xq\ i))$

## 51.2 Negative Depth-Limited Sequence

**types**  $'a\ \text{neg-dseq} = \text{code-numeral} \Rightarrow 'a\ \text{Lazy-Sequence.hit-bound-lazy-sequence}$

**definition** *neg-empty*  $:: 'a\ \text{neg-dseq}$   
**where**  
*neg-empty*  $= (\%i. \text{Lazy-Sequence.empty})$

**definition** *neg-single*  $:: 'a \Rightarrow 'a\ \text{neg-dseq}$   
**where**  
*neg-single*  $x = (\%i. \text{Lazy-Sequence.hb-single } x)$

**definition** *neg-bind*  $:: 'a\ \text{neg-dseq} \Rightarrow ('a \Rightarrow 'b\ \text{neg-dseq}) \Rightarrow 'b\ \text{neg-dseq}$   
**where**  
*neg-bind*  $x\ f = (\%i.$   
     *if*  $i = 0$  *then*  
          $\text{Lazy-Sequence.hit-bound}$   
     *else*  
          $\text{hb-bind } (x\ (i - 1))\ (\%a. f\ a\ i))$

**definition** *neg-union*  $:: 'a\ \text{neg-dseq} \Rightarrow 'a\ \text{neg-dseq} \Rightarrow 'a\ \text{neg-dseq}$   
**where**  
*neg-union*  $x\ y = (\%i. \text{Lazy-Sequence.append } (x\ i)\ (y\ i))$

**definition** *neg-if-seq*  $:: \text{bool} \Rightarrow \text{unit}\ \text{neg-dseq}$   
**where**  
*neg-if-seq*  $b = (\text{if } b \text{ then } \text{neg-single } () \text{ else } \text{neg-empty})$

**definition** *neg-iterate-upto*  
**where**  
*neg-iterate-upto*  $f\ n\ m = (\%i. \text{Lazy-Sequence.iterate-upto } (\%i. \text{Some } (f\ i))\ n\ m)$

**definition** *neg-map*  $:: ('a \Rightarrow 'b) \Rightarrow 'a\ \text{neg-dseq} \Rightarrow 'b\ \text{neg-dseq}$   
**where**  
*neg-map*  $f\ xq = (\%i. \text{Lazy-Sequence.hb-map } f\ (xq\ i))$

## 51.3 Negation

**definition** *pos-not-seq*  $:: \text{unit}\ \text{neg-dseq} \Rightarrow \text{unit}\ \text{pos-dseq}$   
**where**  
*pos-not-seq*  $xq = (\%i. \text{Lazy-Sequence.hb-not-seq } (xq\ i))$

**definition** *neg-not-seq*  $:: \text{unit}\ \text{pos-dseq} \Rightarrow \text{unit}\ \text{neg-dseq}$   
**where**

```

neg-not-seq x = (%i. case Lazy-Sequence.yield (x i) of
  None => Lazy-Sequence.hb-single ()
| Some ((), xq) => Lazy-Sequence.empty)

hide-type (open) pos-dseq neg-dseq

hide-const (open)
  pos-empty pos-single pos-bind pos-union pos-if-seq pos-iterate-upto pos-not-seq
pos-map
  neg-empty neg-single neg-bind neg-union neg-if-seq neg-iterate-upto neg-not-seq
neg-map
hide-fact (open)
  pos-empty-def pos-single-def pos-bind-def pos-union-def pos-if-seq-def pos-iterate-upto-def
pos-not-seq-def pos-map-def
  neg-empty-def neg-single-def neg-bind-def neg-union-def neg-if-seq-def neg-iterate-upto-def
neg-not-seq-def neg-map-def

end

theory New-Random-Sequence
imports Quickcheck New-DSequence
begin

types 'a pos-random-dseq = code-numeral => code-numeral => Random.seed => 'a
New-DSequence.pos-dseq
types 'a neg-random-dseq = code-numeral => code-numeral => Random.seed => 'a
New-DSequence.neg-dseq

definition pos-empty :: 'a pos-random-dseq
where
  pos-empty = (%nrandom size seed. New-DSequence.pos-empty)

definition pos-single :: 'a => 'a pos-random-dseq
where
  pos-single x = (%nrandom size seed. New-DSequence.pos-single x)

definition pos-bind :: 'a pos-random-dseq => ('a => 'b pos-random-dseq) => 'b
pos-random-dseq
where
  pos-bind R f = (%nrandom size seed. New-DSequence.pos-bind (R nrandom size
seed) (%a. f a nrandom size seed))

definition pos-union :: 'a pos-random-dseq => 'a pos-random-dseq => 'a pos-random-dseq
where
  pos-union R1 R2 = (%nrandom size seed. New-DSequence.pos-union (R1 nrandom
size seed) (R2 nrandom size seed))

```

**definition** *pos-if-random-dseq* :: *bool* => *unit pos-random-dseq*

**where**

*pos-if-random-dseq* *b* = (if *b* then *pos-single* () else *pos-empty*)

**definition** *pos-iterate-upto* :: (*code-numeral* => '*a*) => *code-numeral* => *code-numeral* => '*a pos-random-dseq*

**where**

*pos-iterate-upto* *f n m* = ( $\lambda$ *nrandom size seed. New-DSequence.pos-iterate-upto f n m*)

**definition** *pos-not-random-dseq* :: *unit neg-random-dseq* => *unit pos-random-dseq*

**where**

*pos-not-random-dseq* *R* = ( $\lambda$ *nrandom size seed. New-DSequence.pos-not-seq (R nrandom size seed)*)

**fun** *iter* :: (*code-numeral* \* *code-numeral* => ('*a* \* (*unit* => *term*)) \* *code-numeral* \* *code-numeral*) => *code-numeral* => *code-numeral* \* *code-numeral* => '*a Lazy-Sequence.lazy-sequence*

**where**

*iter random nrandom seed* =  
 (if *nrandom* = 0 then *Lazy-Sequence.empty* else *Lazy-Sequence.Lazy-Sequence*  
 (%*u. let ((x, -), seed') = random seed in Some (x, iter random (nrandom - 1) seed')*)))

**definition** *Random* :: (*code-numeral*  $\Rightarrow$  *Random.seed*  $\Rightarrow$  (('a  $\times$  (*unit*  $\Rightarrow$  *term*))  $\times$  *Random.seed*))  $\Rightarrow$  '*a pos-random-dseq*

**where**

*Random g* = (%*nrandom size seed depth. iter (g size) nrandom seed*)

**definition** *pos-map* :: ('*a* => '*b*) => '*a pos-random-dseq* => '*b pos-random-dseq*

**where**

*pos-map f P* = *pos-bind P (pos-single o f)*

**definition** *neg-empty* :: '*a neg-random-dseq*

**where**

*neg-empty* = (%*nrandom size seed. New-DSequence.neg-empty*)

**definition** *neg-single* :: '*a* => '*a neg-random-dseq*

**where**

*neg-single x* = (%*nrandom size seed. New-DSequence.neg-single x*)

**definition** *neg-bind* :: '*a neg-random-dseq* => ('*a*  $\Rightarrow$  '*b neg-random-dseq*)  $\Rightarrow$  '*b neg-random-dseq*

**where**

*neg-bind R f* = ( $\lambda$ *nrandom size seed. New-DSequence.neg-bind (R nrandom size seed) (%a. f a nrandom size seed)*)

**definition** *neg-union* :: '*a neg-random-dseq* => '*a neg-random-dseq* => '*a neg-random-dseq*

**where**

*neg-union* *R1 R2* = ( $\lambda n$ random size seed. *New-DSequence.neg-union* (*R1 nrandom size seed*) (*R2 nrandom size seed*))

**definition** *neg-if-random-dseq* :: *bool* => *unit neg-random-dseq*

**where**

*neg-if-random-dseq* *b* = (*if* *b* *then neg-single ()* *else neg-empty*)

**definition** *neg-iterate-upto* :: (*code-numeral* => '*a*) => *code-numeral* => *code-numeral* => '*a neg-random-dseq*

**where**

*neg-iterate-upto* *f n m* = ( $\lambda n$ random size seed. *New-DSequence.neg-iterate-upto* *f n m*)

**definition** *neg-not-random-dseq* :: *unit pos-random-dseq* => *unit neg-random-dseq*

**where**

*neg-not-random-dseq* *R* = ( $\lambda n$ random size seed. *New-DSequence.neg-not-seq* (*R nrandom size seed*))

**definition** *neg-map* :: ('*a* => '*b*) => '*a neg-random-dseq* => '*b neg-random-dseq*

**where**

*neg-map* *f P* = *neg-bind* *P (neg-single o f)*

**hide-const** (**open**)

*pos-empty pos-single pos-bind pos-union pos-if-random-dseq pos-iterate-upto pos-not-random-dseq iter Random pos-map*

*neg-empty neg-single neg-bind neg-union neg-if-random-dseq neg-iterate-upto neg-not-random-dseq neg-map*

**hide-type** *New-DSequence.pos-dseq New-DSequence.neg-dseq pos-random-dseq neg-random-dseq*

**end**

## 52 Predicate-Compile: A compiler for predicates defined by introduction rules

**theory** *Predicate-Compile*

**imports** *New-Random-Sequence*

**uses**

*Tools/Predicate-Compile/predicate-compile-aux.ML*

*Tools/Predicate-Compile/predicate-compile-compilations.ML*

*Tools/Predicate-Compile/predicate-compile-core.ML*

*Tools/Predicate-Compile/predicate-compile-data.ML*

*Tools/Predicate-Compile/predicate-compile-fun.ML*

*Tools/Predicate-Compile/predicate-compile-pred.ML*

*Tools/Predicate-Compile/predicate-compile-specialisation.ML*

*Tools/Predicate-Compile/predicate-compile.ML*  
**begin**

$\langle ML \rangle$

**end**

## 53 Map: Maps

**theory** *Map*

**imports** *List*

**begin**

**types**  $('a, 'b)$  *map* =  $'a \Rightarrow 'b$  *option* (**infixr**  $\sim=>$  0)  
**translations**  $(type)$   $'a \sim=> 'b <= (type)$   $'a \Rightarrow 'b$  *option*

**type-notation**  $(xsymbols)$   
*map* (**infixr**  $\rightarrow$  0)

**abbreviation**

*empty* ::  $'a \sim=> 'b$  **where**  
*empty* ==  $\%x. None$

**definition**

*map-comp* ::  $('b \sim=> 'c) \Rightarrow ('a \sim=> 'b) \Rightarrow ('a \sim=> 'c)$  (**infixl** *o'-m* 55)

**where**

$f \text{ o-}m \ g = (\lambda k. \text{ case } g \text{ k of } None \Rightarrow None \mid Some \ v \Rightarrow f \ v)$

**notation**  $(xsymbols)$

*map-comp* (**infixl**  $\circ_m$  55)

**definition**

*map-add* ::  $('a \sim=> 'b) \Rightarrow ('a \sim=> 'b) \Rightarrow ('a \sim=> 'b)$  (**infixl**  $++$  100)

**where**

$m1 \ ++ \ m2 = (\lambda x. \text{ case } m2 \ x \text{ of } None \Rightarrow m1 \ x \mid Some \ y \Rightarrow Some \ y)$

**definition**

*restrict-map* ::  $('a \sim=> 'b) \Rightarrow 'a$  *set*  $\Rightarrow ('a \sim=> 'b)$  (**infixl**  $|'$  110) **where**  
 $m|'A = (\lambda x. \text{ if } x : A \text{ then } m \ x \text{ else } None)$

**notation**  $(latex \text{ output})$

*restrict-map*  $(-\mid- \ [111,110] \ 110)$

**definition**

*dom* ::  $('a \sim=> 'b) \Rightarrow 'a$  *set* **where**  
 $\text{dom } m = \{a. \ m \ a \sim= None\}$

**definition**

$ran :: ('a \rightsquigarrow 'b) \Rightarrow 'b \text{ set } \mathbf{where}$   
 $ran\ m = \{b. \text{EX } a. m\ a = \text{Some } b\}$

**definition**

$map\text{-}le :: ('a \rightsquigarrow 'b) \Rightarrow ('a \rightsquigarrow 'b) \Rightarrow \text{bool } (\mathbf{infix} \subseteq_m 50) \mathbf{where}$   
 $(m_1 \subseteq_m m_2) = (\forall a \in \text{dom } m_1. m_1\ a = m_2\ a)$

**nonterminals**

$maplets\ maplet$

**syntax**

$\text{-}maplet :: ['a, 'a] \Rightarrow maplet \quad (- \ /| \!-\!> \ / \ -)$   
 $\text{-}maplets :: ['a, 'a] \Rightarrow maplet \quad (- \ /| \!-\!> \ / \ -)$   
 $\quad \quad \quad :: maplet \Rightarrow maplets \quad (-)$   
 $\text{-}Maplets :: [maplet, maplets] \Rightarrow maplets \quad (-, / \ -)$   
 $\text{-}MapUpd :: ['a \rightsquigarrow 'b, maplets] \Rightarrow 'a \rightsquigarrow 'b \quad (-/'(-) \ [900,0]900)$   
 $\text{-}Map \quad :: maplets \Rightarrow 'a \rightsquigarrow 'b \quad ((1[-]))$

**syntax** (*xsymbols*)

$\text{-}maplet :: ['a, 'a] \Rightarrow maplet \quad (- \ / \mapsto \ / \ -)$   
 $\text{-}maplets :: ['a, 'a] \Rightarrow maplet \quad (- \ / \mapsto \ / \ -)$

**translations**

$\text{-}MapUpd\ m \ (\text{-}Maplets\ xy\ ms) == \text{-}MapUpd \ (\text{-}MapUpd\ m\ xy)\ ms$   
 $\text{-}MapUpd\ m \ (\text{-}maplet\ x\ y) == m(x := \text{CONST}\ \text{Some}\ y)$   
 $\text{-}Map\ ms == \text{-}MapUpd \ (\text{CONST}\ \text{empty})\ ms$   
 $\text{-}Map \ (\text{-}Maplets\ ms1\ ms2) <= \text{-}MapUpd \ (\text{-}Map\ ms1)\ ms2$   
 $\text{-}Maplets\ ms1 \ (\text{-}Maplets\ ms2\ ms3) <= \text{-}Maplets \ (\text{-}Maplets\ ms1\ ms2)\ ms3$

**primrec**

$map\text{-}of :: ('a \times 'b)\ \text{list} \Rightarrow 'a \rightarrow 'b \mathbf{where}$   
 $map\text{-}of\ [] = \text{empty}$   
 $| map\text{-}of\ (p \# ps) = (map\text{-}of\ ps)(fst\ p \mapsto snd\ p)$

**definition**

$map\text{-}upds :: ('a \rightarrow 'b) \Rightarrow 'a\ \text{list} \Rightarrow 'b\ \text{list} \Rightarrow 'a \rightarrow 'b \mathbf{where}$   
 $map\text{-}upds\ m\ xs\ ys = m\ ++\ map\text{-}of\ (\text{rev}\ (\text{zip}\ xs\ ys))$

**translations**

$\text{-}MapUpd\ m \ (\text{-}maplets\ x\ y) == \text{CONST}\ map\text{-}upds\ m\ x\ y$

**lemma** *map-of-Cons-code* [*code*]:

$map\text{-}of\ []\ k = \text{None}$   
 $map\text{-}of\ ((l, v) \# ps)\ k = (\text{if } l = k \text{ then } \text{Some } v \text{ else } map\text{-}of\ ps\ k)$   
 $\langle \text{proof} \rangle$

**53.1** *empty*

**lemma** *empty-upd-none* [*simp*]:  $\text{empty}(x := \text{None}) = \text{empty}$

$\langle proof \rangle$

### 53.2 map-upd

**lemma** *map-upd-triv*:  $t\ k = \text{Some } x \implies t(k|->x) = t$   
 $\langle proof \rangle$

**lemma** *map-upd-nonempty* [simp]:  $t(k|->x) \sim \text{empty}$   
 $\langle proof \rangle$

**lemma** *map-upd-eqD1*:  
 assumes  $m(a \mapsto x) = n(a \mapsto y)$   
 shows  $x = y$   
 $\langle proof \rangle$

**lemma** *map-upd-Some-unfold*:  
 $((m(a|->b))\ x = \text{Some } y) = (x = a \wedge b = y \vee x \neq a \wedge m\ x = \text{Some } y)$   
 $\langle proof \rangle$

**lemma** *image-map-upd* [simp]:  $x \notin A \implies m(x \mapsto y) \text{ ‘ } A = m \text{ ‘ } A$   
 $\langle proof \rangle$

**lemma** *finite-range-updI*:  $\text{finite } (\text{range } f) \implies \text{finite } (\text{range } (f(a|->b)))$   
 $\langle proof \rangle$

### 53.3 map-of

**lemma** *map-of-eq-None-iff*:  
 $(\text{map-of } xys\ x = \text{None}) = (x \notin \text{fst ‘ } (\text{set } xys))$   
 $\langle proof \rangle$

**lemma** *map-of-is-SomeD*:  $\text{map-of } xys\ x = \text{Some } y \implies (x, y) \in \text{set } xys$   
 $\langle proof \rangle$

**lemma** *map-of-eq-Some-iff* [simp]:  
 $\text{distinct}(\text{map } \text{fst } xys) \implies (\text{map-of } xys\ x = \text{Some } y) = ((x, y) \in \text{set } xys)$   
 $\langle proof \rangle$

**lemma** *Some-eq-map-of-iff* [simp]:  
 $\text{distinct}(\text{map } \text{fst } xys) \implies (\text{Some } y = \text{map-of } xys\ x) = ((x, y) \in \text{set } xys)$   
 $\langle proof \rangle$

**lemma** *map-of-is-SomeI* [simp]:  $\llbracket \text{distinct}(\text{map } \text{fst } xys); (x, y) \in \text{set } xys \rrbracket$   
 $\implies \text{map-of } xys\ x = \text{Some } y$   
 $\langle proof \rangle$

**lemma** *map-of-zip-is-None* [simp]:  
 $\text{length } xs = \text{length } ys \implies (\text{map-of } (\text{zip } xs\ ys)\ x = \text{None}) = (x \notin \text{set } xs)$   
 $\langle proof \rangle$



**lemma** *map-of-zip-is-Some*:  
 assumes  $\text{length } xs = \text{length } ys$   
 shows  $x \in \text{set } xs \iff (\exists y. \text{map-of } (\text{zip } xs \ ys) \ x = \text{Some } y)$   
 $\langle \text{proof} \rangle$

**lemma** *map-of-zip-upd*:  
 fixes  $x :: 'a$  and  $xs :: 'a \text{ list}$  and  $ys \ zs :: 'b \text{ list}$   
 assumes  $\text{length } ys = \text{length } xs$   
 and  $\text{length } zs = \text{length } xs$   
 and  $x \notin \text{set } xs$   
 and  $\text{map-of } (\text{zip } xs \ ys)(x \mapsto y) = \text{map-of } (\text{zip } xs \ zs)(x \mapsto z)$   
 shows  $\text{map-of } (\text{zip } xs \ ys) = \text{map-of } (\text{zip } xs \ zs)$   
 $\langle \text{proof} \rangle$

**lemma** *map-of-zip-inject*:  
 assumes  $\text{length } ys = \text{length } xs$   
 and  $\text{length } zs = \text{length } xs$   
 and  $\text{dist: distinct } xs$   
 and  $\text{map-of: map-of } (\text{zip } xs \ ys) = \text{map-of } (\text{zip } xs \ zs)$   
 shows  $ys = zs$   
 $\langle \text{proof} \rangle$

**lemma** *map-of-zip-map*:  
 $\text{map-of } (\text{zip } xs \ (\text{map } f \ xs)) = (\lambda x. \text{if } x \in \text{set } xs \text{ then } \text{Some } (f \ x) \text{ else } \text{None})$   
 $\langle \text{proof} \rangle$

**lemma** *finite-range-map-of*:  $\text{finite } (\text{range } (\text{map-of } \ xys))$   
 $\langle \text{proof} \rangle$

**lemma** *map-of-SomeD*:  $\text{map-of } xs \ k = \text{Some } y \implies (k, y) \in \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *map-of-mapk-SomeI*:  
 $\text{inj } f \implies \text{map-of } t \ k = \text{Some } x \implies$   
 $\text{map-of } (\text{map } (\text{split } (\%k. \text{Pair } (f \ k))) \ t) \ (f \ k) = \text{Some } x$   
 $\langle \text{proof} \rangle$

**lemma** *weak-map-of-SomeI*:  $(k, x) : \text{set } l \implies \exists x. \text{map-of } l \ k = \text{Some } x$   
 $\langle \text{proof} \rangle$

**lemma** *map-of-filter-in*:  
 $\text{map-of } xs \ k = \text{Some } z \implies P \ k \ z \implies \text{map-of } (\text{filter } (\text{split } P) \ xs) \ k = \text{Some } z$   
 $\langle \text{proof} \rangle$

**lemma** *map-of-map*:  
 $\text{map-of } (\text{map } (\lambda(k, v). (k, f \ v)) \ xs) = \text{Option.map } f \circ \text{map-of } xs$   
 $\langle \text{proof} \rangle$

**lemma** *dom-option-map*:

$dom (\lambda k. Option.map (f k) (m k)) = dom m$   
 $\langle proof \rangle$

### 53.4 *Option.map* related

**lemma** *option-map-o-empty* [simp]:  $Option.map f o empty = empty$   
 $\langle proof \rangle$

**lemma** *option-map-o-map-upd* [simp]:  
 $Option.map f o m(a|->b) = (Option.map f o m)(a|->f b)$   
 $\langle proof \rangle$

### 53.5 *map-comp* related

**lemma** *map-comp-empty* [simp]:  
 $m \circ_m empty = empty$   
 $empty \circ_m m = empty$   
 $\langle proof \rangle$

**lemma** *map-comp-simps* [simp]:  
 $m2 k = None \implies (m1 \circ_m m2) k = None$   
 $m2 k = Some k' \implies (m1 \circ_m m2) k = m1 k'$   
 $\langle proof \rangle$

**lemma** *map-comp-Some-iff*:  
 $((m1 \circ_m m2) k = Some v) = (\exists k'. m2 k = Some k' \wedge m1 k' = Some v)$   
 $\langle proof \rangle$

**lemma** *map-comp-None-iff*:  
 $((m1 \circ_m m2) k = None) = (m2 k = None \vee (\exists k'. m2 k = Some k' \wedge m1 k' = None))$   
 $\langle proof \rangle$

### 53.6 ++

**lemma** *map-add-empty*[simp]:  $m ++ empty = m$   
 $\langle proof \rangle$

**lemma** *empty-map-add*[simp]:  $empty ++ m = m$   
 $\langle proof \rangle$

**lemma** *map-add-assoc*[simp]:  $m1 ++ (m2 ++ m3) = (m1 ++ m2) ++ m3$   
 $\langle proof \rangle$

**lemma** *map-add-Some-iff*:  
 $((m ++ n) k = Some x) = (n k = Some x \mid n k = None \ \& \ m k = Some x)$   
 $\langle proof \rangle$

**lemma** *map-add-SomeD* [dest!]:  
 $(m ++ n) k = Some x \implies n k = Some x \vee n k = None \wedge m k = Some x$

$\langle proof \rangle$

**lemma** *map-add-find-right* [simp]:  $!!xx. n\ k = \text{Some } xx \implies (m\ ++\ n)\ k = \text{Some } xx$   
 $\langle proof \rangle$

**lemma** *map-add-None* [iff]:  $((m\ ++\ n)\ k = \text{None}) = (n\ k = \text{None} \ \&\ m\ k = \text{None})$   
 $\langle proof \rangle$

**lemma** *map-add-upd*[simp]:  $f\ ++\ g(x|->y) = (f\ ++\ g)(x|->y)$   
 $\langle proof \rangle$

**lemma** *map-add-upds*[simp]:  $m1\ ++\ (m2(xs[\mapsto]ys)) = (m1\ ++\ m2)(xs[\mapsto]ys)$   
 $\langle proof \rangle$

**lemma** *map-add-upd-left*:  $m \notin \text{dom } e2 \implies e1(m \mapsto u1) \ ++\ e2 = (e1\ ++\ e2)(m \mapsto u1)$   
 $\langle proof \rangle$

**lemma** *map-of-append*[simp]:  $\text{map-of } (xs\ @\ ys) = \text{map-of } ys\ ++\ \text{map-of } xs$   
 $\langle proof \rangle$

**lemma** *finite-range-map-of-map-add*:  
 $\text{finite } (\text{range } f) \implies \text{finite } (\text{range } (f\ ++\ \text{map-of } l))$   
 $\langle proof \rangle$

**lemma** *inj-on-map-add-dom* [iff]:  
 $\text{inj-on } (m\ ++\ m')\ (\text{dom } m') = \text{inj-on } m'\ (\text{dom } m')$   
 $\langle proof \rangle$

**lemma** *map-upds-fold-map-upd*:  
 $m(ks[\mapsto]vs) = \text{foldl } (\lambda m\ (k, v). m(k \mapsto v))\ m\ (\text{zip } ks\ vs)$   
 $\langle proof \rangle$

**lemma** *map-add-map-of-foldr*:  
 $m\ ++\ \text{map-of } ps = \text{foldr } (\lambda(k, v)\ m. m(k \mapsto v))\ ps\ m$   
 $\langle proof \rangle$

### 53.7 restrict-map

**lemma** *restrict-map-to-empty* [simp]:  $m|'\{\} = \text{empty}$   
 $\langle proof \rangle$

**lemma** *restrict-map-insert*:  $f|'\ (\text{insert } a\ A) = (f|'\ A)(a := f\ a)$   
 $\langle proof \rangle$

**lemma** *restrict-map-empty* [simp]:  $\text{empty}|'D = \text{empty}$   
 $\langle proof \rangle$

**lemma** *restrict-in* [simp]:  $x \in A \implies (m|'A) x = m x$   
 <proof>

**lemma** *restrict-out* [simp]:  $x \notin A \implies (m|'A) x = \text{None}$   
 <proof>

**lemma** *ran-restrictD*:  $y \in \text{ran } (m|'A) \implies \exists x \in A. m x = \text{Some } y$   
 <proof>

**lemma** *dom-restrict* [simp]:  $\text{dom } (m|'A) = \text{dom } m \cap A$   
 <proof>

**lemma** *restrict-upd-same* [simp]:  $m(x \mapsto y)|'(-\{x\}) = m|'(-\{x\})$   
 <proof>

**lemma** *restrict-restrict* [simp]:  $m|'A|'B = m|'(A \cap B)$   
 <proof>

**lemma** *restrict-fun-upd* [simp]:  
 $m(x := y)|'D = (\text{if } x \in D \text{ then } (m|'(D - \{x\}))(x := y) \text{ else } m|'D)$   
 <proof>

**lemma** *fun-upd-None-restrict* [simp]:  
 $(m|'D)(x := \text{None}) = (\text{if } x:D \text{ then } m|'(D - \{x\}) \text{ else } m|'D)$   
 <proof>

**lemma** *fun-upd-restrict*:  $(m|'D)(x := y) = (m|'(D - \{x\}))(x := y)$   
 <proof>

**lemma** *fun-upd-restrict-conv* [simp]:  
 $x \in D \implies (m|'D)(x := y) = (m|'(D - \{x\}))(x := y)$   
 <proof>

**lemma** *map-of-map-restrict*:  
 $\text{map-of } (\text{map } (\lambda k. (k, f k)) ks) = (\text{Some } \circ f) |' \text{set } ks$   
 <proof>

**lemma** *restrict-complement-singleton-eq*:  
 $f |' (-\{x\}) = f(x := \text{None})$   
 <proof>

### 53.8 map-upds

**lemma** *map-upds-Nil1* [simp]:  $m(\square \ [|->] bs) = m$   
 <proof>

**lemma** *map-upds-Nil2* [simp]:  $m(\text{as } [|->] \square) = m$   
 <proof>

**lemma** *map-upds-Cons* [simp]:  $m(a \# as \llbracket - \> \rrbracket b \# bs) = (m(a \llbracket - \> b \rrbracket))(as \llbracket - \> \rrbracket bs)$   
 <proof>

**lemma** *map-upds-append1* [simp]:  $\bigwedge ys\ m.\ size\ xs < size\ ys \implies$   
 $m(xs @ [x] \llbracket \mapsto \rrbracket ys) = m(xs \llbracket \mapsto \rrbracket ys)(x \mapsto ys!size\ xs)$   
 <proof>

**lemma** *map-upds-list-update2-drop* [simp]:  
 $\llbracket size\ xs \leq i; i < size\ ys \rrbracket$   
 $\implies m(xs \llbracket \mapsto \rrbracket ys[i := y]) = m(xs \llbracket \mapsto \rrbracket ys)$   
 <proof>

**lemma** *map-upd-upds-conv-if*:  
 $(f(x \llbracket - \> y \rrbracket))(xs \llbracket - \> \rrbracket ys) =$   
 $(if\ x : set\ (take\ (length\ ys)\ xs)\ then\ f(xs \llbracket - \> \rrbracket ys)$   
 $\quad\quad\quad else\ (f(xs \llbracket - \> \rrbracket ys))(x \llbracket - \> y \rrbracket))$   
 <proof>

**lemma** *map-upds-twist* [simp]:  
 $a \sim : set\ as \implies m(a \llbracket - \> b \rrbracket)(as \llbracket - \> \rrbracket bs) = m(as \llbracket - \> \rrbracket bs)(a \llbracket - \> b \rrbracket)$   
 <proof>

**lemma** *map-upds-apply-nontin* [simp]:  
 $x \sim : set\ xs \implies (f(xs \llbracket - \> \rrbracket ys))\ x = f\ x$   
 <proof>

**lemma** *fun-upds-append-drop* [simp]:  
 $size\ xs = size\ ys \implies m(xs @ zs \llbracket \mapsto \rrbracket ys) = m(xs \llbracket \mapsto \rrbracket ys)$   
 <proof>

**lemma** *fun-upds-append2-drop* [simp]:  
 $size\ xs = size\ ys \implies m(xs \llbracket \mapsto \rrbracket ys @ zs) = m(xs \llbracket \mapsto \rrbracket ys)$   
 <proof>

**lemma** *restrict-map-upds* [simp]:  
 $\llbracket length\ xs = length\ ys; set\ xs \subseteq D \rrbracket$   
 $\implies m(xs \llbracket \mapsto \rrbracket ys) \llbracket D = (m \llbracket (D - set\ xs) \rrbracket)(xs \llbracket \mapsto \rrbracket ys)$   
 <proof>

### 53.9 dom

**lemma** *dom-eq-empty-conv* [simp]:  $dom\ f = \{\} \longleftrightarrow f = empty$   
 <proof>

**lemma** *domI*:  $m\ a = Some\ b \implies a : dom\ m$   
 <proof>

**lemma** *domD*:  $a : \text{dom } m \implies \exists b. m \ a = \text{Some } b$   
 $\langle \text{proof} \rangle$

**lemma** *domIff* [*iff*, *simp del*]:  $(a : \text{dom } m) = (m \ a \sim= \text{None})$   
 $\langle \text{proof} \rangle$

**lemma** *dom-empty* [*simp*]:  $\text{dom } \text{empty} = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *dom-fun-upd* [*simp*]:  
 $\text{dom}(f(x := y)) = (\text{if } y = \text{None} \text{ then } \text{dom } f - \{x\} \text{ else } \text{insert } x (\text{dom } f))$   
 $\langle \text{proof} \rangle$

**lemma** *dom-if*:  
 $\text{dom } (\lambda x. \text{if } P \ x \text{ then } f \ x \text{ else } g \ x) = \text{dom } f \cap \{x. P \ x\} \cup \text{dom } g \cap \{x. \neg P \ x\}$   
 $\langle \text{proof} \rangle$

**lemma** *dom-map-of-conv-image-fst*:  
 $\text{dom } (\text{map-of } xys) = \text{fst } \text{'set } xys$   
 $\langle \text{proof} \rangle$

**lemma** *dom-map-of-zip* [*simp*]:  $[\mid \text{length } xs = \text{length } ys; \text{distinct } xs \mid] \implies$   
 $\text{dom}(\text{map-of}(\text{zip } xs \ ys)) = \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *finite-dom-map-of*:  $\text{finite } (\text{dom } (\text{map-of } l))$   
 $\langle \text{proof} \rangle$

**lemma** *dom-map-upds* [*simp*]:  
 $\text{dom}(m(xs[->]ys)) = \text{set}(\text{take } (\text{length } ys) \ xs) \cup \text{dom } m$   
 $\langle \text{proof} \rangle$

**lemma** *dom-map-add* [*simp*]:  $\text{dom}(m++n) = \text{dom } n \cup \text{dom } m$   
 $\langle \text{proof} \rangle$

**lemma** *dom-override-on* [*simp*]:  
 $\text{dom}(\text{override-on } f \ g \ A) =$   
 $(\text{dom } f - \{a. a : A - \text{dom } g\}) \cup \{a. a : A \mid \text{Int dom } g\}$   
 $\langle \text{proof} \rangle$

**lemma** *map-add-comm*:  $\text{dom } m1 \cap \text{dom } m2 = \{\} \implies m1++m2 = m2++m1$   
 $\langle \text{proof} \rangle$

**lemma** *map-add-dom-app-simps*:  
 $[\mid m \in \text{dom } l2 \mid] \implies (l1++l2) \ m = l2 \ m$   
 $[\mid m \notin \text{dom } l1 \mid] \implies (l1++l2) \ m = l2 \ m$   
 $[\mid m \notin \text{dom } l2 \mid] \implies (l1++l2) \ m = l1 \ m$   
 $\langle \text{proof} \rangle$

**lemma** *dom-const* [simp]:  
 $\text{dom } (\lambda x. \text{Some } (f x)) = \text{UNIV}$   
 ⟨proof⟩

**lemma** *finite-map-freshness*:  
 $\text{finite } (\text{dom } (f :: 'a \rightarrow 'b)) \implies \neg \text{finite } (\text{UNIV} :: 'a \text{ set}) \implies$   
 $\exists x. f x = \text{None}$   
 ⟨proof⟩

**lemma** *dom-minus*:  
 $f x = \text{None} \implies \text{dom } f - \text{insert } x A = \text{dom } f - A$   
 ⟨proof⟩

**lemma** *insert-dom*:  
 $f x = \text{Some } y \implies \text{insert } x (\text{dom } f) = \text{dom } f$   
 ⟨proof⟩

**lemma** *map-of-map-keys*:  
 $\text{set } xs = \text{dom } m \implies \text{map-of } (\text{map } (\lambda k. (k, \text{the } (m k)))) xs = m$   
 ⟨proof⟩

### 53.10 ran

**lemma** *ranI*:  $m a = \text{Some } b \implies b : \text{ran } m$   
 ⟨proof⟩

**lemma** *ran-empty* [simp]:  $\text{ran } \text{empty} = \{\}$   
 ⟨proof⟩

**lemma** *ran-map-upd* [simp]:  $m a = \text{None} \implies \text{ran}(m(a|->b)) = \text{insert } b (\text{ran } m)$   
 ⟨proof⟩

**lemma** *ran-distinct*:  
 assumes *dist*:  $\text{distinct } (\text{map } \text{fst } al)$   
 shows  $\text{ran } (\text{map-of } al) = \text{snd } ` \text{set } al$   
 ⟨proof⟩

### 53.11 map-le

**lemma** *map-le-empty* [simp]:  $\text{empty} \subseteq_m g$   
 ⟨proof⟩

**lemma** *upd-None-map-le* [simp]:  $f(x := \text{None}) \subseteq_m f$   
 ⟨proof⟩

**lemma** *map-le-upd*[simp]:  $f \subseteq_m g \implies f(a := b) \subseteq_m g(a := b)$

$\langle proof \rangle$

**lemma** *map-le-imp-upd-le* [*simp*]:  $m1 \subseteq_m m2 \implies m1(x := None) \subseteq_m m2(x \mapsto y)$   
 $\langle proof \rangle$

**lemma** *map-le-upds* [*simp*]:  
 $f \subseteq_m g \implies f(as \ [|->] \ bs) \subseteq_m g(as \ [|->] \ bs)$   
 $\langle proof \rangle$

**lemma** *map-le-implies-dom-le*:  $(f \subseteq_m g) \implies (dom\ f \subseteq dom\ g)$   
 $\langle proof \rangle$

**lemma** *map-le-refl* [*simp*]:  $f \subseteq_m f$   
 $\langle proof \rangle$

**lemma** *map-le-trans*[*trans*]:  $\llbracket m1 \subseteq_m m2; m2 \subseteq_m m3 \rrbracket \implies m1 \subseteq_m m3$   
 $\langle proof \rangle$

**lemma** *map-le-antisym*:  $\llbracket f \subseteq_m g; g \subseteq_m f \rrbracket \implies f = g$   
 $\langle proof \rangle$

**lemma** *map-le-map-add* [*simp*]:  $f \subseteq_m (g ++ f)$   
 $\langle proof \rangle$

**lemma** *map-le-iff-map-add-commute*:  $(f \subseteq_m f ++ g) = (f ++ g = g ++ f)$   
 $\langle proof \rangle$

**lemma** *map-add-le-mapE*:  $f ++ g \subseteq_m h \implies g \subseteq_m h$   
 $\langle proof \rangle$

**lemma** *map-add-le-mapI*:  $\llbracket f \subseteq_m h; g \subseteq_m h; f \subseteq_m f ++ g \rrbracket \implies f ++ g \subseteq_m h$   
 $\langle proof \rangle$

**lemma** *dom-eq-singleton-conv*:  $dom\ f = \{x\} \longleftrightarrow (\exists v. f = [x \mapsto v])$   
 $\langle proof \rangle$

### 53.12 Various

**lemma** *set-map-of-compr*:  
**assumes** *distinct*: *distinct* (*map fst xs*)  
**shows** *set xs* =  $\{(k, v). \text{map-of } xs\ k = \text{Some } v\}$   
 $\langle proof \rangle$

**lemma** *map-of-inject-set*:  
**assumes** *distinct*: *distinct* (*map fst xs*) *distinct* (*map fst ys*)  
**shows** *map-of xs* = *map-of ys*  $\longleftrightarrow$  *set xs* = *set ys* (**is** ?*lhs*  $\longleftrightarrow$  ?*rhs*)  
 $\langle proof \rangle$



end

## 54 Quotient: Definition of Quotient Types

**theory** *Quotient*

**imports** *Plain Sledgehammer*

**uses**

( $\sim$ /src/HOL/Tools/Quotient/quotient-info.ML)

( $\sim$ /src/HOL/Tools/Quotient/quotient-typ.ML)

( $\sim$ /src/HOL/Tools/Quotient/quotient-def.ML)

( $\sim$ /src/HOL/Tools/Quotient/quotient-term.ML)

( $\sim$ /src/HOL/Tools/Quotient/quotient-tacs.ML)

**begin**

Basic definition for equivalence relations that are represented by predicates.

**definition**

$\text{equivp } E \equiv \forall x y. E x y = (E x = E y)$

**definition**

$\text{reflp } E \equiv \forall x. E x x$

**definition**

$\text{symp } E \equiv \forall x y. E x y \longrightarrow E y x$

**definition**

$\text{transp } E \equiv \forall x y z. E x y \wedge E y z \longrightarrow E x z$

**lemma** *equivp-reflp-symp-transp*:

**shows**  $\text{equivp } E = (\text{reflp } E \wedge \text{symp } E \wedge \text{transp } E)$

$\langle \text{proof} \rangle$

**lemma** *equivp-reflp*:

**shows**  $\text{equivp } E \Longrightarrow E x x$

$\langle \text{proof} \rangle$

**lemma** *equivp-symp*:

**shows**  $\text{equivp } E \Longrightarrow E x y \Longrightarrow E y x$

$\langle \text{proof} \rangle$

**lemma** *equivp-transp*:

**shows**  $\text{equivp } E \Longrightarrow E x y \Longrightarrow E y z \Longrightarrow E x z$

$\langle \text{proof} \rangle$

**lemma** *equivpI*:

**assumes**  $\text{reflp } R \text{ symp } R \text{ transp } R$

**shows**  $\text{equivp } R$

$\langle \text{proof} \rangle$

**lemma** *identity-equivp*:  
**shows** *equivp* (*op* =)  
 ⟨*proof*⟩

Partial equivalences: not yet used anywhere

**definition**

*part-equivp*  $E \equiv (\exists x. E\ x\ x) \wedge (\forall x\ y. E\ x\ y = (E\ x\ x \wedge E\ y\ y \wedge (E\ x = E\ y)))$

**lemma** *equivp-implies-part-equivp*:  
**assumes** *a*: *equivp* *E*  
**shows** *part-equivp* *E*  
 ⟨*proof*⟩

Composition of Relations

**abbreviation**

*rel-conj* (**infixr** *OOO* 75)

**where**

$r1\ OOO\ r2 \equiv r1\ OO\ r2\ OO\ r1$

**lemma** *eq-comp-r*:  
**shows**  $((op =)\ OOO\ R) = R$   
 ⟨*proof*⟩

## 54.1 Respects predicate

**definition**

*Respects*

**where**

$Respects\ R\ x \equiv R\ x\ x$

**lemma** *in-respects*:  
**shows**  $(x \in Respects\ R) = R\ x\ x$   
 ⟨*proof*⟩

## 54.2 Function map and function relation

**definition**

*fun-map* (**infixr**  $--->$  55)

**where**

[*simp*]:  $fun-map\ f\ g\ h\ x = g\ (h\ (f\ x))$

**definition**

*fun-rel* (**infixr**  $===>$  55)

**where**

[*simp*]:  $fun-rel\ E1\ E2\ f\ g = (\forall x\ y. E1\ x\ y \longrightarrow E2\ (f\ x)\ (g\ y))$

**lemma** *fun-relI* [*intro*]:  
**assumes**  $\bigwedge a\ b. P\ a\ b \implies Q\ (x\ a)\ (y\ b)$   
**shows**  $(P\ ===>\ Q)\ x\ y$

$\langle \text{proof} \rangle$

**lemma** *fun-map-id*:

**shows**  $(id \dashrightarrow id) = id$

$\langle \text{proof} \rangle$

**lemma** *fun-rel-eq*:

**shows**  $((op =) ==> (op =)) = (op =)$

$\langle \text{proof} \rangle$

**lemma** *fun-rel-id*:

**assumes**  $a: \bigwedge x y. R1\ x\ y \implies R2\ (f\ x)\ (g\ y)$

**shows**  $(R1 ==> R2)\ f\ g$

$\langle \text{proof} \rangle$

**lemma** *fun-rel-id-asm*:

**assumes**  $a: \bigwedge x y. R1\ x\ y \implies (A \longrightarrow R2\ (f\ x)\ (g\ y))$

**shows**  $A \longrightarrow (R1 ==> R2)\ f\ g$

$\langle \text{proof} \rangle$

### 54.3 Quotient Predicate

**definition**

*Quotient*  $E\ Abs\ Rep \equiv$

$(\forall a. Abs\ (Rep\ a) = a) \wedge (\forall a. E\ (Rep\ a)\ (Rep\ a)) \wedge$   
 $(\forall r\ s. E\ r\ s = (E\ r\ r \wedge E\ s\ s \wedge (Abs\ r = Abs\ s)))$

**lemma** *Quotient-abs-rep*:

**assumes**  $a: \text{Quotient}\ E\ Abs\ Rep$

**shows**  $Abs\ (Rep\ a) = a$

$\langle \text{proof} \rangle$

**lemma** *Quotient-rep-refl*:

**assumes**  $a: \text{Quotient}\ E\ Abs\ Rep$

**shows**  $E\ (Rep\ a)\ (Rep\ a)$

$\langle \text{proof} \rangle$

**lemma** *Quotient-rel*:

**assumes**  $a: \text{Quotient}\ E\ Abs\ Rep$

**shows**  $E\ r\ s = (E\ r\ r \wedge E\ s\ s \wedge (Abs\ r = Abs\ s))$

$\langle \text{proof} \rangle$

**lemma** *Quotient-rel-rep*:

**assumes**  $a: \text{Quotient}\ R\ Abs\ Rep$

**shows**  $R\ (Rep\ a)\ (Rep\ b) = (a = b)$

$\langle \text{proof} \rangle$

**lemma** *Quotient-rep-abs*:

**assumes**  $a: \text{Quotient}\ R\ Abs\ Rep$

**shows**  $R\ r\ r \implies R\ (Rep\ (Abs\ r))\ r$   
 $\langle proof \rangle$

**lemma** *Quotient-rel-abs*:  
**assumes**  $a$ : *Quotient*  $E\ Abs\ Rep$   
**shows**  $E\ r\ s \implies Abs\ r = Abs\ s$   
 $\langle proof \rangle$

**lemma** *Quotient-symp*:  
**assumes**  $a$ : *Quotient*  $E\ Abs\ Rep$   
**shows** *symp*  $E$   
 $\langle proof \rangle$

**lemma** *Quotient-transp*:  
**assumes**  $a$ : *Quotient*  $E\ Abs\ Rep$   
**shows** *transp*  $E$   
 $\langle proof \rangle$

**lemma** *identity-quotient*:  
**shows** *Quotient*  $(op =)\ id\ id$   
 $\langle proof \rangle$

**lemma** *fun-quotient*:  
**assumes**  $q1$ : *Quotient*  $R1\ abs1\ rep1$   
**and**  $q2$ : *Quotient*  $R2\ abs2\ rep2$   
**shows** *Quotient*  $(R1\ ==>\ R2)\ (rep1\ ---->\ abs2)\ (abs1\ ---->\ rep2)$   
 $\langle proof \rangle$

**lemma** *abs-o-rep*:  
**assumes**  $a$ : *Quotient*  $R\ Abs\ Rep$   
**shows**  $Abs\ o\ Rep = id$   
 $\langle proof \rangle$

**lemma** *equals-rsp*:  
**assumes**  $q$ : *Quotient*  $R\ Abs\ Rep$   
**and**  $a$ :  $R\ xa\ xb\ R\ ya\ yb$   
**shows**  $R\ xa\ ya = R\ xb\ yb$   
 $\langle proof \rangle$

**lemma** *lambda-prs*:  
**assumes**  $q1$ : *Quotient*  $R1\ Abs1\ Rep1$   
**and**  $q2$ : *Quotient*  $R2\ Abs2\ Rep2$   
**shows**  $(Rep1\ ---->\ Abs2)\ (\lambda x. Rep2\ (f\ (Abs1\ x))) = (\lambda x. f\ x)$   
 $\langle proof \rangle$

**lemma** *lambda-prs1*:  
**assumes**  $q1$ : *Quotient*  $R1\ Abs1\ Rep1$   
**and**  $q2$ : *Quotient*  $R2\ Abs2\ Rep2$   
**shows**  $(Rep1\ ---->\ Abs2)\ (\lambda x. (Abs1\ ---->\ Rep2)\ f\ x) = (\lambda x. f\ x)$

$\langle proof \rangle$

**lemma** *rep-abs-rsp*:

**assumes**  $q$ : *Quotient*  $R$   $Abs$   $Rep$

**and**  $a$ :  $R\ x1\ x2$

**shows**  $R\ x1\ (Rep\ (Abs\ x2))$

$\langle proof \rangle$

**lemma** *rep-abs-rsp-left*:

**assumes**  $q$ : *Quotient*  $R$   $Abs$   $Rep$

**and**  $a$ :  $R\ x1\ x2$

**shows**  $R\ (Rep\ (Abs\ x1))\ x2$

$\langle proof \rangle$

In the following theorem R1 can be instantiated with anything, but we know some of the types of the Rep and Abs functions; so by solving Quotient assumptions we can get a unique R1 that will be provable; which is why we need to use *apply-rsp* and not the primed version

**lemma** *apply-rsp*:

**fixes**  $f\ g$ :  $'a \Rightarrow 'c$

**assumes**  $q$ : *Quotient*  $R1$   $Abs1$   $Rep1$

**and**  $a$ :  $(R1\ ==>\ R2)\ f\ g\ R1\ x\ y$

**shows**  $R2\ (f\ x)\ (g\ y)$

$\langle proof \rangle$

**lemma** *apply-rsp'*:

**assumes**  $a$ :  $(R1\ ==>\ R2)\ f\ g\ R1\ x\ y$

**shows**  $R2\ (f\ x)\ (g\ y)$

$\langle proof \rangle$

## 54.4 lemmas for regularisation of ball and bex

**lemma** *ball-reg-equiv*:

**fixes**  $P$  ::  $'a \Rightarrow bool$

**assumes**  $a$ : *equivp*  $R$

**shows**  $Ball\ (Respects\ R)\ P = (All\ P)$

$\langle proof \rangle$

**lemma** *bex-reg-equiv*:

**fixes**  $P$  ::  $'a \Rightarrow bool$

**assumes**  $a$ : *equivp*  $R$

**shows**  $Bex\ (Respects\ R)\ P = (Ex\ P)$

$\langle proof \rangle$

**lemma** *ball-reg-right*:

**assumes**  $a$ :  $\bigwedge x. R\ x \implies P\ x \longrightarrow Q\ x$

**shows**  $All\ P \longrightarrow Ball\ R\ Q$

$\langle proof \rangle$

**lemma** *bex-reg-left*:

**assumes**  $a: \bigwedge x. R\ x \implies Q\ x \longrightarrow P\ x$

**shows**  $Bex\ R\ Q \longrightarrow Ex\ P$

*<proof>*

**lemma** *ball-reg-left*:

**assumes**  $a: equivp\ R$

**shows**  $(\bigwedge x. (Q\ x \longrightarrow P\ x)) \implies Ball\ (Respects\ R)\ Q \longrightarrow All\ P$

*<proof>*

**lemma** *bex-reg-right*:

**assumes**  $a: equivp\ R$

**shows**  $(\bigwedge x. (Q\ x \longrightarrow P\ x)) \implies Ex\ Q \longrightarrow Bex\ (Respects\ R)\ P$

*<proof>*

**lemma** *ball-reg-equiv-range*:

**fixes**  $P::'a \Rightarrow bool$

**and**  $x::'a$

**assumes**  $a: equivp\ R2$

**shows**  $(Ball\ (Respects\ (R1\ ==>\ R2))\ (\lambda f. P\ (f\ x))) = All\ (\lambda f. P\ (f\ x))$

*<proof>*

**lemma** *bex-reg-equiv-range*:

**assumes**  $a: equivp\ R2$

**shows**  $(Bex\ (Respects\ (R1\ ==>\ R2))\ (\lambda f. P\ (f\ x))) = Ex\ (\lambda f. P\ (f\ x))$

*<proof>*

**lemma** *all-reg*:

**assumes**  $a: !x :: 'a. (P\ x \longrightarrow Q\ x)$

**and**  $b: All\ P$

**shows**  $All\ Q$

*<proof>*

**lemma** *ex-reg*:

**assumes**  $a: !x :: 'a. (P\ x \longrightarrow Q\ x)$

**and**  $b: Ex\ P$

**shows**  $Ex\ Q$

*<proof>*

**lemma** *ball-reg*:

**assumes**  $a: !x :: 'a. (R\ x \longrightarrow P\ x \longrightarrow Q\ x)$

**and**  $b: Ball\ R\ P$

**shows**  $Ball\ R\ Q$

*<proof>*

**lemma** *bex-reg*:

**assumes**  $a: !x :: 'a. (R\ x \longrightarrow P\ x \longrightarrow Q\ x)$

**and**  $b: Bex\ R\ P$

**shows**  $Bex\ R\ Q$   
 $\langle proof \rangle$

**lemma** *ball-all-comm*:  
**assumes**  $\bigwedge y. (\forall x \in P. A\ x\ y) \longrightarrow (\forall x. B\ x\ y)$   
**shows**  $(\forall x \in P. \forall y. A\ x\ y) \longrightarrow (\forall x. \forall y. B\ x\ y)$   
 $\langle proof \rangle$

**lemma** *bex-ex-comm*:  
**assumes**  $(\exists y. \exists x. A\ x\ y) \longrightarrow (\exists y. \exists x \in P. B\ x\ y)$   
**shows**  $(\exists x. \exists y. A\ x\ y) \longrightarrow (\exists x \in P. \exists y. B\ x\ y)$   
 $\langle proof \rangle$

## 54.5 Bounded abstraction

**definition**  
 $Babs :: ('a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$   
**where**  
 $x \in p \Longrightarrow Babs\ p\ m\ x = m\ x$

**lemma** *babs-rsp*:  
**assumes**  $q: Quotient\ R1\ Abs1\ Rep1$   
**and**  $a: (R1\ ==>\ R2)\ f\ g$   
**shows**  $(R1\ ==>\ R2)\ (Babs\ (Respects\ R1)\ f)\ (Babs\ (Respects\ R1)\ g)$   
 $\langle proof \rangle$

**lemma** *babs-prs*:  
**assumes**  $q1: Quotient\ R1\ Abs1\ Rep1$   
**and**  $q2: Quotient\ R2\ Abs2\ Rep2$   
**shows**  $((Rep1\ --->\ Abs2)\ (Babs\ (Respects\ R1)\ ((Abs1\ --->\ Rep2)\ f))) =$   
 $f$   
 $\langle proof \rangle$

**lemma** *babs-simp*:  
**assumes**  $q: Quotient\ R1\ Abs\ Rep$   
**shows**  $((R1\ ==>\ R2)\ (Babs\ (Respects\ R1)\ f)\ (Babs\ (Respects\ R1)\ g)) =$   
 $((R1\ ==>\ R2)\ f\ g)$   
 $\langle proof \rangle$

**lemma** *babs-reg-equiv*:  
**shows**  $equivp\ R \Longrightarrow Babs\ (Respects\ R)\ P = P$   
 $\langle proof \rangle$

**lemma** *ball-rsp*:  
**assumes**  $a: (R\ ==>\ (op\ =))\ f\ g$

**shows**  $Ball\ (Respects\ R)\ f = Ball\ (Respects\ R)\ g$   
 $\langle proof \rangle$

**lemma** *bex-rsp*:  
**assumes**  $a: (R ==> (op =))\ f\ g$   
**shows**  $(Bex\ (Respects\ R)\ f = Bex\ (Respects\ R)\ g)$   
 $\langle proof \rangle$

**lemma** *bex1-rsp*:  
**assumes**  $a: (R ==> (op =))\ f\ g$   
**shows**  $Ex1\ (\lambda x. x \in Respects\ R \wedge f\ x) = Ex1\ (\lambda x. x \in Respects\ R \wedge g\ x)$   
 $\langle proof \rangle$

**lemma** *all-prs*:  
**assumes**  $a: Quotient\ R\ absf\ repf$   
**shows**  $Ball\ (Respects\ R)\ ((absf\ ----> id)\ f) = All\ f$   
 $\langle proof \rangle$

**lemma** *ex-prs*:  
**assumes**  $a: Quotient\ R\ absf\ repf$   
**shows**  $Bex\ (Respects\ R)\ ((absf\ ----> id)\ f) = Ex\ f$   
 $\langle proof \rangle$

## 54.6 Bex1-rel quantifier

### definition

$Bex1\text{-}rel :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$

### where

$Bex1\text{-}rel\ R\ P \longleftrightarrow (\exists x \in Respects\ R. P\ x) \wedge (\forall x \in Respects\ R. \forall y \in Respects\ R. ((P\ x \wedge P\ y) \longrightarrow (R\ x\ y)))$

**lemma** *bex1-rel-aux*:  
 $\llbracket \forall xa\ ya. R\ xa\ ya \longrightarrow x\ xa = y\ ya; Bex1\text{-}rel\ R\ x \rrbracket \Longrightarrow Bex1\text{-}rel\ R\ y$   
 $\langle proof \rangle$

**lemma** *bex1-rel-aux2*:  
 $\llbracket \forall xa\ ya. R\ xa\ ya \longrightarrow x\ xa = y\ ya; Bex1\text{-}rel\ R\ y \rrbracket \Longrightarrow Bex1\text{-}rel\ R\ x$   
 $\langle proof \rangle$

**lemma** *bex1-rel-rsp*:  
**assumes**  $a: Quotient\ R\ absf\ repf$   
**shows**  $((R ==> op =) ==> op =) (Bex1\text{-}rel\ R)\ (Bex1\text{-}rel\ R)$   
 $\langle proof \rangle$

**lemma** *ex1-prs*:  
**assumes**  $a: Quotient\ R\ absf\ repf$   
**shows**  $((absf\ ----> id)\ ----> id)\ (Bex1\text{-}rel\ R)\ f = Ex1\ f$



$\langle \text{proof} \rangle$

**lemma** *bex1-bexeq-reg*:  $(\exists !x \in \text{Respects } R. P \ x) \longrightarrow (\text{Bex1-rel } R \ (\lambda x. P \ x))$   
 $\langle \text{proof} \rangle$

### 54.7 Various respects and preserve lemmas

**lemma** *quot-rel-rsp*:  
**assumes** *a*: *Quotient* *R Abs Rep*  
**shows**  $(R \implies R \implies op =) R \ R$   
 $\langle \text{proof} \rangle$

**lemma** *o-prs*:  
**assumes** *q1*: *Quotient* *R1 Abs1 Rep1*  
**and** *q2*: *Quotient* *R2 Abs2 Rep2*  
**and** *q3*: *Quotient* *R3 Abs3 Rep3*  
**shows**  $((\text{Abs2} \dashrightarrow \text{Rep3}) \dashrightarrow (\text{Abs1} \dashrightarrow \text{Rep2}) \dashrightarrow (\text{Rep1} \dashrightarrow \text{Abs3})) \text{ op} \circ = \text{op} \circ$   
**and**  $(\text{id} \dashrightarrow (\text{Abs1} \dashrightarrow \text{id}) \dashrightarrow \text{Rep1} \dashrightarrow \text{id}) \text{ op} \circ = \text{op} \circ$   
 $\langle \text{proof} \rangle$

**lemma** *o-rsp*:  
 $((R2 \implies R3) \implies (R1 \implies R2) \implies (R1 \implies R3)) \text{ op} \circ \text{op} \circ$   
 $(\text{op} = \implies (R1 \implies \text{op} =) \implies R1 \implies \text{op} =) \text{ op} \circ \text{op} \circ$   
 $\langle \text{proof} \rangle$

**lemma** *cond-prs*:  
**assumes** *a*: *Quotient* *R absf repf*  
**shows** *absf*  $(\text{if } a \text{ then repf } b \text{ else repf } c) = (\text{if } a \text{ then } b \text{ else } c)$   
 $\langle \text{proof} \rangle$

**lemma** *if-prs*:  
**assumes** *q*: *Quotient* *R Abs Rep*  
**shows**  $(\text{id} \dashrightarrow \text{Rep} \dashrightarrow \text{Rep} \dashrightarrow \text{Abs}) \text{ If} = \text{If}$   
 $\langle \text{proof} \rangle$

**lemma** *if-rsp*:  
**assumes** *q*: *Quotient* *R Abs Rep*  
**shows**  $(\text{op} = \implies R \implies R \implies R) \text{ If } \text{If}$   
 $\langle \text{proof} \rangle$

**lemma** *let-prs*:  
**assumes** *q1*: *Quotient* *R1 Abs1 Rep1*  
**and** *q2*: *Quotient* *R2 Abs2 Rep2*  
**shows**  $(\text{Rep2} \dashrightarrow (\text{Abs2} \dashrightarrow \text{Rep1}) \dashrightarrow \text{Abs1}) \text{ Let} = \text{Let}$   
 $\langle \text{proof} \rangle$

**lemma** *let-rsp*:  
**shows**  $(R1 \implies (R1 \implies R2) \implies R2) \text{ Let } \text{Let}$

$\langle proof \rangle$

**locale** *quot-type* =  
**fixes**  $R :: 'a \Rightarrow 'a \Rightarrow bool$   
**and**  $Abs :: ('a \Rightarrow bool) \Rightarrow 'b$   
**and**  $Rep :: 'b \Rightarrow ('a \Rightarrow bool)$   
**assumes** *equivp*:  $equivp\ R$   
**and** *rep-prop*:  $\bigwedge y. \exists x. Rep\ y = R\ x$   
**and** *rep-inverse*:  $\bigwedge x. Abs\ (Rep\ x) = x$   
**and** *abs-inverse*:  $\bigwedge x. (Rep\ (Abs\ (R\ x))) = (R\ x)$   
**and** *rep-inject*:  $\bigwedge x\ y. (Rep\ x = Rep\ y) = (x = y)$   
**begin**

**definition**  
 $abs :: 'a \Rightarrow 'b$   
**where**  
 $abs\ x \equiv Abs\ (R\ x)$

**definition**  
 $rep :: 'b \Rightarrow 'a$   
**where**  
 $rep\ a = Eps\ (Rep\ a)$

**lemma** *homeier-lem9*:  
**shows**  $R\ (Eps\ (R\ x)) = R\ x$   
 $\langle proof \rangle$

**theorem** *homeier-thm10*:  
**shows**  $abs\ (rep\ a) = a$   
 $\langle proof \rangle$

**lemma** *homeier-lem7*:  
**shows**  $(R\ x = R\ y) = (Abs\ (R\ x) = Abs\ (R\ y))$  (**is** *?LHS = ?RHS*)  
 $\langle proof \rangle$

**theorem** *homeier-thm11*:  
**shows**  $R\ r\ r' = (abs\ r = abs\ r')$   
 $\langle proof \rangle$

**lemma** *rep-refl*:  
**shows**  $R\ (rep\ a)\ (rep\ a)$   
 $\langle proof \rangle$

**lemma** *rep-abs-rsp*:  
**shows**  $R\ f\ (rep\ (abs\ g)) = R\ f\ g$   
**and**  $R\ (rep\ (abs\ g))\ f = R\ g\ f$   
 $\langle proof \rangle$

```

lemma Quotient:
  shows Quotient R abs rep
  <proof>

```

```

end

```

## 54.8 ML setup

Auxiliary data for the quotient package

*<ML>*

```

declare [[map fun = (fun-map, fun-rel)]]

```

```

lemmas [quot-thm] = fun-quotient
lemmas [quot-respect] = quot-rel-rsp if-rsp o-rsp let-rsp
lemmas [quot-preserve] = if-prs o-prs let-prs
lemmas [quot-equiv] = identity-equivp

```

Lemmas about simplifying id’s.

```

lemmas [id-simps] =
  id-def[symmetric]
  fun-map-id
  id-apply
  id-o
  o-id
  eq-comp-r

```

Translation functions for the lifting process.

*<ML>*

Definitions of the quotient types.

*<ML>*

Definitions for quotient constants.

*<ML>*

An auxiliary constant for recording some information about the lifted theorem in a tactic.

**definition**

*Quot-True* (*x* :: ‘*a*’)  $\equiv$  *True*

**lemma**

```

shows QT-all: Quot-True (All P)  $\implies$  Quot-True P
and QT-ex: Quot-True (Ex P)  $\implies$  Quot-True P
and QT-ex1: Quot-True (Ex1 P)  $\implies$  Quot-True P
and QT-lam: Quot-True ( $\lambda x. P x$ )  $\implies$  ( $\bigwedge x. Quot-True (P x)$ )
and QT-ext: ( $\bigwedge x. Quot-True (a x) \implies f x = g x$ )  $\implies$  (Quot-True a  $\implies f =$ 
g)
<proof>

```

**lemma** *QT-imp*: *Quot-True a*  $\equiv$  *Quot-True b*  
*<proof>*

Tactics for proving the lifted theorems

*<ML>*

## 54.9 Methods / Interface

*<ML>*

**no-notation**

*rel-conj* (**infixr** *OOO* 75) **and**  
*fun-map* (**infixr** *--->* 55) **and**  
*fun-rel* (**infixr** *===>* 55)

**end**

## 55 Refute: Refute

**theory** *Refute*

**imports** *Hilbert-Choice List*

**uses**

*Tools/refute.ML*  
*Tools/refute-isar.ML*

**begin**

*<ML>*

```
(* ----- *)
(* REFUTE                                     *)
(*                                           *)
(* We use a SAT solver to search for a (finite) model that refutes a given *)
(* HOL formula.                             *)
(* ----- *)

(* ----- *)
(* NOTE                                     *)
(*                                           *)
(* I strongly recommend that you install a stand-alone SAT solver if you *)
(* want to use 'refute'. For details see 'HOL/Tools/sat_solver.ML'. If you *)
(* have installed (a supported version of) zChaff, simply set 'ZCHAFF_HOME' *)
(* in 'etc/settings'.                       *)
(* ----- *)

(* ----- *)
```

```

(* USAGE *)
(*
(* See the file 'HOL/ex/Refute_Examples.thy' for examples. The supported
(* parameters are explained below.
(* ----- *)

(* ----- *)
(* CURRENT LIMITATIONS *)
(*
(* 'refute' currently accepts formulas of higher-order predicate logic (with
(* equality), including free/bound/schematic variables, lambda abstractions,
(* sets and set membership, "arbitrary", "The", "Eps", records and
(* inductively defined sets. Constants are unfolded automatically, and sort
(* axioms are added as well. Other, user-asserted axioms however are
(* ignored. Inductive datatypes and recursive functions are supported, but
(* may lead to spurious countermodels.
(*
(* The (space) complexity of the algorithm is non-elementary.
(*
(* Schematic type variables are not supported.
(* ----- *)

(* ----- *)
(* PARAMETERS *)
(*
(* The following global parameters are currently supported (and required,
(* except for "expect"):
(*
(* Name      Type      Description
(*
(* "minsize"  int       Only search for models with size at least
(*                    'minsize'.
(*
(* "maxsize"  int       If >0, only search for models with size at most
(*                    'maxsize'.
(*
(* "maxvars"  int       If >0, use at most 'maxvars' boolean variables
(*                    when transforming the term into a propositional
(*                    formula.
(*
(* "maxtime"  int       If >0, terminate after at most 'maxtime' seconds.
(*                    This value is ignored under some ML compilers.
(*
(* "satsolver" string   Name of the SAT solver to be used.
(*
(* "no_assms" bool     If "true", assumptions in structured proofs are
(*                    not considered.
(*
(* "expect"   string   Expected result ("genuine", "potential", "none", or
(*                    "unknown").
(*
(* See 'HOL/SAT.thy' for default values.
(*
(* The size of particular types can be specified in the form type=size
(* (where 'type' is a string, and 'size' is an int). Examples:

```

```

(* ''a"=1 *)
(* "List.list"=2 *)
(* ----- *)

(* ----- *)
(* FILES *)
(*
(* HOL/Tools/prop_logic.ML      Propositional logic *)
(* HOL/Tools/sat_solver.ML      SAT solvers *)
(* HOL/Tools/refute.ML          Translation HOL -> propositional logic and *)
(*                               Boolean assignment -> HOL model *)
(* HOL/Tools/refute_isar.ML      Adds 'refute'/'refute_params' to Isabelle's *)
(*                               syntax *)
(* HOL/Refute.thy                This file: loads the ML files, basic setup, *)
(*                               documentation *)
(* HOL/SAT.thy                  Sets default parameters *)
(* HOL/ex/RefuteExamples.thy     Examples *)
(* ----- *)

end

```

## 56 SAT: Reconstructing external resolution proofs for propositional logic

```

theory SAT
imports Refute
uses
  Tools/cnf-funcs.ML
  Tools/sat-funcs.ML
begin

```

Late package setup: default values for refute, see also theory *Refute*.

```

refute-params
  [itself=1,
   minsize=1,
   maxsize=8,
   maxvars=10000,
   maxtime=60,
   satsolver=auto,
   no-assms=false]

```

$\langle ML \rangle$

```

end

```

## 57 Nitpick: Nitpick: Yet Another Counterexample Generator for Isabelle/HOL

```

theory Nitpick
imports Map Quotient SAT
uses (Tools/Nitpick/kodkod.ML)
      (Tools/Nitpick/kodkod-sat.ML)
      (Tools/Nitpick/nitpick-util.ML)
      (Tools/Nitpick/nitpick-hol.ML)
      (Tools/Nitpick/nitpick-preproc.ML)
      (Tools/Nitpick/nitpick-mono.ML)
      (Tools/Nitpick/nitpick-scope.ML)
      (Tools/Nitpick/nitpick-peephole.ML)
      (Tools/Nitpick/nitpick-rep.ML)
      (Tools/Nitpick/nitpick-nut.ML)
      (Tools/Nitpick/nitpick-kodkod.ML)
      (Tools/Nitpick/nitpick-model.ML)
      (Tools/Nitpick/nitpick.ML)
      (Tools/Nitpick/nitpick-isar.ML)
      (Tools/Nitpick/nitpick-tests.ML)
      (Tools/Nitpick/minipick.ML)
begin

typedecl bisim-iterator

axiomatization unknown :: 'a
  and is-unknown :: 'a  $\Rightarrow$  bool
  and undefined-fast-The :: 'a
  and undefined-fast-Eps :: 'a
  and bisim :: bisim-iterator  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  bool
  and bisim-iterator-max :: bisim-iterator
  and Quot :: 'a  $\Rightarrow$  'b
  and safe-The :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a
  and safe-Eps :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a

datatype ('a, 'b) fin-fun = FinFun ('a  $\Rightarrow$  'b)
datatype ('a, 'b) fun-box = FunBox ('a  $\Rightarrow$  'b)
datatype ('a, 'b) pair-box = PairBox 'a 'b

typedecl unsigned-bit
typedecl signed-bit

datatype 'a word = Word ('a set)

Alternative definitions.

lemma If-def [nitpick-def, no-atp]:
  (if P then Q else R)  $\equiv$  (P  $\longrightarrow$  Q)  $\wedge$  ( $\neg$  P  $\longrightarrow$  R)
  <proof>

```

**lemma** *Ex1-def* [*nitpick-def*, *no-atp*]:  
 $Ex1\ P \equiv \exists x. P = \{x\}$   
 $\langle proof \rangle$

**lemma** *split-def* [*nitpick-def*]:  $split\ f = (\lambda p. f\ (fst\ p)\ (snd\ p))$   
 $\langle proof \rangle$

**lemma** *rtrancl-def* [*nitpick-def*, *no-atp*]:  $r^* \equiv (r^+)^=$   
 $\langle proof \rangle$

**lemma** *rtranclp-def* [*nitpick-def*, *no-atp*]:  
 $rtranclp\ r\ a\ b \equiv (a = b \vee tranclp\ r\ a\ b)$   
 $\langle proof \rangle$

**lemma** *tranclp-def* [*nitpick-def*, *no-atp*]:  
 $tranclp\ r\ a\ b \equiv trancl\ (split\ r)\ (a, b)$   
 $\langle proof \rangle$

**definition** *refl'* ::  $('a \times 'a \Rightarrow bool) \Rightarrow bool$  **where**  
 $refl'\ r \equiv \forall x. (x, x) \in r$

**definition** *wf'* ::  $('a \times 'a \Rightarrow bool) \Rightarrow bool$  **where**  
 $wf'\ r \equiv acyclic\ r \wedge (finite\ r \vee unknown)$

**axiomatization** *wf-wfrec* ::  $('a \times 'a \Rightarrow bool) \Rightarrow ((a \Rightarrow b) \Rightarrow a \Rightarrow b) \Rightarrow a \Rightarrow b$

**definition** *wf-wfrec'* ::  $('a \times 'a \Rightarrow bool) \Rightarrow ((a \Rightarrow b) \Rightarrow a \Rightarrow b) \Rightarrow a \Rightarrow b$  **where**  
 $[nitpick-simp]: wf-wfrec'\ R\ F\ x = F\ (Recdef.cut\ (wf-wfrec\ R\ F)\ R\ x)\ x$

**definition** *wfrec'* ::  $('a \times 'a \Rightarrow bool) \Rightarrow ((a \Rightarrow b) \Rightarrow a \Rightarrow b) \Rightarrow a \Rightarrow b$  **where**  
 $wfrec'\ R\ F\ x \equiv if\ wf\ R\ then\ wf-wfrec'\ R\ F\ x$   
 $\quad\quad\quad else\ THE\ y. wfrec-rel\ R\ (\%f\ x. F\ (Recdef.cut\ f\ R\ x)\ x)\ x\ y$

**definition** *card'* ::  $('a \Rightarrow bool) \Rightarrow nat$  **where**  
 $card'\ A \equiv if\ finite\ A\ then\ length\ (safe-Eps\ (\lambda xs. set\ xs = A \wedge distinct\ xs))\ else\ 0$

**definition** *setsum'* ::  $('a \Rightarrow 'b::comm-monoid-add) \Rightarrow ('a \Rightarrow bool) \Rightarrow 'b$  **where**  
 $setsum'\ f\ A \equiv if\ finite\ A\ then\ listsum\ (map\ f\ (safe-Eps\ (\lambda xs. set\ xs = A \wedge distinct\ xs)))\ else\ 0$

**inductive** *fold-graph'* ::  $('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow ('a \Rightarrow bool) \Rightarrow 'b \Rightarrow bool$  **where**  
 $fold-graph'\ f\ z\ \{\} \ z \mid$   
 $\llbracket x \in A; fold-graph'\ f\ z\ (A - \{x\})\ y \rrbracket \Longrightarrow fold-graph'\ f\ z\ A\ (f\ x\ y)$

The following lemmas are not strictly necessary but they help the *special level* optimization.

**lemma** *The-psimp* [*nitpick-psimp*, *no-atp*]:



$P = \{x\} \implies \text{The } P = x$   
 $\langle \text{proof} \rangle$

**lemma** *Eps-psimp* [*nitpick-psimp*, *no-atp*]:  
 $\llbracket P\ x; \neg P\ y; \text{Eps } P = y \rrbracket \implies \text{Eps } P = x$   
 $\langle \text{proof} \rangle$

**lemma** *unit-case-def* [*nitpick-def*, *no-atp*]:  
 $\text{unit-case } x\ u \equiv x$   
 $\langle \text{proof} \rangle$

**declare** *unit.cases* [*nitpick-simp del*]

**lemma** *nat-case-def* [*nitpick-def*, *no-atp*]:  
 $\text{nat-case } x\ f\ n \equiv \text{if } n = 0 \text{ then } x \text{ else } f\ (n - 1)$   
 $\langle \text{proof} \rangle$

**declare** *nat.cases* [*nitpick-simp del*]

**lemma** *list-size-simp* [*nitpick-simp*, *no-atp*]:  
 $\text{list-size } f\ xs = (\text{if } xs = [] \text{ then } 0$   
 $\quad \text{else } \text{Suc } (f\ (\text{hd } xs) + \text{list-size } f\ (\text{tl } xs)))$   
 $\text{size } xs = (\text{if } xs = [] \text{ then } 0 \text{ else } \text{Suc } (\text{size } (\text{tl } xs)))$   
 $\langle \text{proof} \rangle$

Auxiliary definitions used to provide an alternative representation for *rat* and *real*.

**function** *nat-gcd* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat* **where**  
 $[simp\ del]: \text{nat-gcd } x\ y = (\text{if } y = 0 \text{ then } x \text{ else } \text{nat-gcd } y\ (x \bmod y))$   
 $\langle \text{proof} \rangle$   
**termination**  
 $\langle \text{proof} \rangle$

**definition** *nat-lcm* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat* **where**  
 $\text{nat-lcm } x\ y = x * y \text{ div } (\text{nat-gcd } x\ y)$

**definition** *int-gcd* :: *int*  $\Rightarrow$  *int*  $\Rightarrow$  *int* **where**  
 $\text{int-gcd } x\ y = \text{int } (\text{nat-gcd } (\text{nat } (\text{abs } x))\ (\text{nat } (\text{abs } y)))$

**definition** *int-lcm* :: *int*  $\Rightarrow$  *int*  $\Rightarrow$  *int* **where**  
 $\text{int-lcm } x\ y = \text{int } (\text{nat-lcm } (\text{nat } (\text{abs } x))\ (\text{nat } (\text{abs } y)))$

**definition** *Frac* :: *int*  $\times$  *int*  $\Rightarrow$  *bool* **where**  
 $\text{Frac} \equiv \lambda(a, b). b > 0 \wedge \text{int-gcd } a\ b = 1$

**axiomatization** *Abs-Frac* :: *int*  $\times$  *int*  $\Rightarrow$  *'a*  
**and** *Rep-Frac* :: *'a*  $\Rightarrow$  *int*  $\times$  *int*

**definition** *zero-frac* :: *'a* **where**

*zero-frac*  $\equiv$  *Abs-Frac* (0, 1)

**definition** *one-frac* :: 'a where  
*one-frac*  $\equiv$  *Abs-Frac* (1, 1)

**definition** *num* :: 'a  $\Rightarrow$  int where  
*num*  $\equiv$  *fst* o *Rep-Frac*

**definition** *denom* :: 'a  $\Rightarrow$  int where  
*denom*  $\equiv$  *snd* o *Rep-Frac*

**function** *norm-frac* :: int  $\Rightarrow$  int  $\Rightarrow$  int  $\times$  int where  
 $[simp\ del]:$  *norm-frac* a b = (if b < 0 then *norm-frac* (- a) (- b)  
 else if a = 0  $\vee$  b = 0 then (0, 1)  
 else let c = *int-gcd* a b in (a div c, b div c))

$\langle proof \rangle$

**termination**  $\langle proof \rangle$

**definition** *frac* :: int  $\Rightarrow$  int  $\Rightarrow$  'a where  
*frac* a b  $\equiv$  *Abs-Frac* (*norm-frac* a b)

**definition** *plus-frac* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a where  
 $[nitpick-simp]:$   
*plus-frac* q r = (let d = *int-lcm* (*denom* q) (*denom* r) in  
*frac* (num q \* (d div *denom* q) + num r \* (d div *denom* r)) d)

**definition** *times-frac* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a where  
 $[nitpick-simp]:$   
*times-frac* q r = *frac* (num q \* num r) (*denom* q \* *denom* r)

**definition** *uminus-frac* :: 'a  $\Rightarrow$  'a where  
*uminus-frac* q  $\equiv$  *Abs-Frac* (- num q, *denom* q)

**definition** *number-of-frac* :: int  $\Rightarrow$  'a where  
*number-of-frac* n  $\equiv$  *Abs-Frac* (n, 1)

**definition** *inverse-frac* :: 'a  $\Rightarrow$  'a where  
*inverse-frac* q  $\equiv$  *frac* (*denom* q) (num q)

**definition** *less-eq-frac* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool where  
 $[nitpick-simp]:$   
*less-eq-frac* q r  $\longleftrightarrow$  num (*plus-frac* q (*uminus-frac* r))  $\leq$  0

**definition** *of-frac* :: 'a  $\Rightarrow$  'b::{inverse,ring-1} where  
*of-frac* q  $\equiv$  *of-int* (num q) / *of-int* (*denom* q)

$\langle ML \rangle$

**hide-const** (open) *unknown is-unknown undefined-fast-The undefined-fast-Eps bisim*

```

bisim-iterator-max Quot safe-The safe-Eps FinFun FunBox PairBox Word refl'
wf' wf-wfrec wf-wfrec' wfrec' card' setsum' fold-graph' nat-gcd nat-lcm
int-gcd int-lcm Frac Abs-Frac Rep-Frac zero-frac one-frac num denom
norm-frac frac plus-frac times-frac uminus-frac number-of-frac inverse-frac
less-eq-frac of-frac
hide-type (open) bisim-iterator fin-fun fun-box pair-box unsigned-bit signed-bit
word
hide-fact (open) If-def Ex1-def split-def rtrancl-def rtranclp-def tranclp-def
refl'-def wf'-def wf-wfrec'-def wfrec'-def card'-def setsum'-def
fold-graph'-def The-psimp Eps-psimp unit-case-def nat-case-def
list-size-simp nat-gcd-def nat-lcm-def int-gcd-def int-lcm-def Frac-def
zero-frac-def one-frac-def num-def denom-def norm-frac-def frac-def
plus-frac-def times-frac-def uminus-frac-def number-of-frac-def
inverse-frac-def less-eq-frac-def of-frac-def

end

```

## 58 SMT: Bindings to Satisfiability Modulo Theories (SMT) solvers

```

theory SMT
imports List
uses
  ~~/src/Tools/cache-io.ML
  (Tools/SMT/smt-monomorph.ML)
  (Tools/SMT/smt-normalize.ML)
  (Tools/SMT/smt-translate.ML)
  (Tools/SMT/smt-solver.ML)
  (Tools/SMT/smtlib-interface.ML)
  (Tools/SMT/z3-proof-parser.ML)
  (Tools/SMT/z3-proof-tools.ML)
  (Tools/SMT/z3-proof-literals.ML)
  (Tools/SMT/z3-proof-reconstruction.ML)
  (Tools/SMT/z3-model.ML)
  (Tools/SMT/z3-interface.ML)
  (Tools/SMT/z3-solver.ML)
  (Tools/SMT/cvc3-solver.ML)
  (Tools/SMT/yices-solver.ML)
begin

```

### 58.1 Triggers for quantifier instantiation

Some SMT solvers support triggers for quantifier instantiation. Each trigger consists of one or more patterns. A pattern may either be a list of positive subterms (each being tagged by "pat"), or a list of negative subterms (each being tagged by "nopat").

When an SMT solver finds a term matching a positive pattern (a pattern with positive subterms only), it instantiates the corresponding quantifier accordingly. Negative patterns inhibit quantifier instantiations. Each pattern should mention all preceding bound variables.

**datatype** *pattern* = *Pattern*

**definition** *pat* :: 'a  $\Rightarrow$  *pattern* **where** *pat* - = *Pattern*

**definition** *nopat* :: 'a  $\Rightarrow$  *pattern* **where** *nopat* - = *Pattern*

**definition** *trigger* :: *pattern list list*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool*

**where** *trigger* - *P* = *P*

## 58.2 Higher-order encoding

Application is made explicit for constants occurring with varying numbers of arguments. This is achieved by the introduction of the following constant.

**definition** *fun-app* **where** *fun-app* *f x* = *f x*

Some solvers support a theory of arrays which can be used to encode higher-order functions. The following set of lemmas specifies the properties of such (extensional) arrays.

**lemmas** *array-rules* = *ext fun-upd-apply fun-upd-same fun-upd-other fun-upd-upd fun-app-def*

## 58.3 First-order logic

Some SMT solvers require a strict separation between formulas and terms. When translating higher-order into first-order problems, all uninterpreted constants (those not builtin in the target solver) are treated as function symbols in the first-order sense. Their occurrences as head symbols in atoms (i.e., as predicate symbols) is turned into terms by equating such atoms with *True* using the following term-level equation symbol.

**definition** *term-eq* :: *bool*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool* **where** *term-eq* *x y* = (*x* = *y*)

## 58.4 Integer division and modulo for Z3

**definition** *z3div* :: *int*  $\Rightarrow$  *int*  $\Rightarrow$  *int* **where**  
*z3div* *k l* = (if  $0 \leq l$  then *k div l* else  $-(k \text{ div } (-l))$ )

**definition** *z3mod* :: *int*  $\Rightarrow$  *int*  $\Rightarrow$  *int* **where**  
*z3mod* *k l* = (if  $0 \leq l$  then *k mod l* else *k mod*  $(-l)$ )

**lemma** *div-by-z3div*: *k div l* = (  
 if  $k = 0 \vee l = 0$  then 0  
 else if  $(0 < k \wedge 0 < l) \vee (k < 0 \wedge 0 < l)$  then *z3div* *k l*  
 else *z3div*  $(-k) (-l)$ )

$\langle proof \rangle$

**lemma** *mod-by-z3mod*:  $k \bmod l =$  (  
     *if*  $l = 0$  *then*  $k$   
     *else if*  $k = 0$  *then*  $0$   
     *else if*  $(0 < k \wedge 0 < l) \vee (k < 0 \wedge 0 < l)$  *then*  $z3mod\ k\ l$   
     *else*  $-z3mod\ (-k)\ (-l)$   
 $\langle proof \rangle$

## 58.5 Setup

$\langle ML \rangle$

## 58.6 Configuration

The current configuration can be printed by the command *smt-status*, which shows the values of most options.

## 58.7 General configuration options

The option *smt-solver* can be used to change the target SMT solver. The possible values are *cvc3*, *yices*, and *z3*. It is advisable to locally install the selected solver, although this is not necessary for *cvc3* and *z3*, which can also be used over an Internet-based service.

When using local SMT solvers, the path to their binaries should be declared by setting the following environment variables: *CVC3-SOLVER*, *YICES-SOLVER*, and *Z3-SOLVER*.

**declare** [[ *smt-solver* = *z3* ]]

Since SMT solvers are potentially non-terminating, there is a timeout (given in seconds) to restrict their runtime. A value greater than 120 (seconds) is in most cases not advisable.

**declare** [[ *smt-timeout* = 20 ]]

## 58.8 Certificates

By setting the option *smt-certificates* to the name of a file, all following applications of an SMT solver are cached in that file. Any further application of the same SMT solver (using the very same configuration) re-uses the cached certificate instead of invoking the solver. An empty string disables caching certificates.

The filename should be given as an explicit path. It is good practice to use the name of the current theory (with ending *.certs* instead of *.thy*) as the certificates file.

**declare** [[ *smt-certificates* = ]]

The option *smt-fixed* controls whether only stored certificates are should be used or invocation of an SMT solver is allowed. When set to *true*, no SMT solver will ever be invoked and only the existing certificates found in the configured cache are used; when set to *false* and there is no cached certificate for some proposition, then the configured SMT solver is invoked.

**declare** [[ *smt-fixed* = *false* ]]

## 58.9 Tracing

For tracing the generated problem file given to the SMT solver as well as the returned result of the solver, the option *smt-trace* should be set to *true*.

**declare** [[ *smt-trace* = *false* ]]

## 58.10 Z3-specific options

Z3 is the only SMT solver whose proofs are checked (or reconstructed) in Isabelle (all other solvers are implemented as oracles). Enabling or disabling proof reconstruction for Z3 is controlled by the option *z3-proofs*.

**declare** [[ *z3-proofs* = *true* ]]

From the set of assumptions given to Z3, those assumptions used in the proof are traced when the option *z3-trace-assms* is set to *true*.

**declare** [[ *z3-trace-assms* = *false* ]]

Z3 provides several commandline options to tweak its behaviour. They can be configured by writing them literally as value for the option *z3-options*.

**declare** [[ *z3-options* = ]]

## 58.11 Schematic rules for Z3 proof reconstruction

Several proof rules of Z3 are not very well documented. There are two lemma groups which can turn failing Z3 proof reconstruction attempts into succeeding ones: the facts in *z3-rule* are tried prior to any implemented reconstruction procedure for all uncertain Z3 proof rules; the facts in *z3-simp* are only fed to invocations of the simplifier when reconstructing theory-specific proof steps.

**lemmas** [*z3-rule*] =

*refl eq-commute conj-commute disj-commute simp-thms nnf-simps  
ring-distrib field-simps times-divide-eq-right times-divide-eq-left  
if-True if-False not-not*

**lemma** [*z3-rule*]:

$(P \longrightarrow Q) = (Q \vee \neg P)$   
 $(\neg P \longrightarrow Q) = (P \vee Q)$

$$(\neg P \longrightarrow Q) = (Q \vee P)$$

*<proof>*

**lemma** [z3-rule]:

$$((P = Q) \longrightarrow R) = (R \mid (Q = (\neg P)))$$

*<proof>*

**lemma** [z3-rule]:

$$\begin{aligned} ((\neg P) = P) &= False \\ (P = (\neg P)) &= False \\ (P \neq Q) &= (Q = (\neg P)) \\ (P = Q) &= ((\neg P \vee Q) \wedge (P \vee \neg Q)) \\ (P \neq Q) &= ((\neg P \vee \neg Q) \wedge (P \vee Q)) \end{aligned}$$

*<proof>*

**lemma** [z3-rule]:

$$\begin{aligned} (if\ P\ then\ P\ else\ \neg P) &= True \\ (if\ \neg P\ then\ \neg P\ else\ P) &= True \\ (if\ P\ then\ True\ else\ False) &= P \\ (if\ P\ then\ False\ else\ True) &= (\neg P) \\ (if\ \neg P\ then\ x\ else\ y) &= (if\ P\ then\ y\ else\ x) \end{aligned}$$

*<proof>*

**lemma** [z3-rule]:

$$\begin{aligned} P &= Q \vee P \vee Q \\ P &= Q \vee \neg P \vee \neg Q \\ (\neg P) &= Q \vee \neg P \vee Q \\ (\neg P) &= Q \vee P \vee \neg Q \\ P &= (\neg Q) \vee \neg P \vee Q \\ P &= (\neg Q) \vee P \vee \neg Q \\ P \neq Q &= P \vee \neg Q \\ P \neq Q &= \neg P \vee Q \\ P \neq (\neg Q) &= P \vee Q \\ (\neg P) \neq Q &= P \vee Q \\ P \vee Q \vee P &\neq (\neg Q) \\ P \vee Q \vee (\neg P) &\neq Q \\ P \vee \neg Q \vee P &\neq Q \\ \neg P \vee Q \vee P &\neq Q \end{aligned}$$

*<proof>*

**lemma** [z3-rule]:

$$\begin{aligned} 0 + (x::int) &= x \\ x + 0 &= x \\ 0 * x &= 0 \\ 1 * x &= x \\ x + y &= y + x \end{aligned}$$

*<proof>*

```
hide-type (open) pattern
hide-const Pattern term-eq
hide-const (open) trigger pat nopat fun-app z3div z3mod

end
```

## 59 Main: Main HOL

```
theory Main
imports Plain Predicate-Compile Nitpick SMT
begin
```

Classical Higher-order Logic – only “Main”, excluding real and complex numbers etc.

See further [\[1\]](#)

```
end
```

## References

- [1] T. Nipkow, L. C. Paulson, and M. Wenzel. *Isabelle/HOL — A Proof Assistant for Higher-Order Logic*, volume 2283 of *LNCS*. Springer-Verlag, 2002.