

# Examples of Inductive and Coinductive Definitions in ZF

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## 1 Sample datatype definitions

**theory** *Datatypes* **imports** *Main* **begin**

## 1.1 A type with four constructors

It has four constructors, of arities 0–3, and two parameters  $A$  and  $B$ .

**consts**

$data :: [i, i] \Rightarrow i$

**datatype**  $data(A, B) =$

$Con0$   
|  $Con1 (a \in A)$   
|  $Con2 (a \in A, b \in B)$   
|  $Con3 (a \in A, b \in B, d \in data(A, B))$

**lemma**  $data-unfold$ :  $data(A, B) = (\{0\} + A) + (A \times B + A \times B \times data(A, B))$   
 $\langle proof \rangle$

Lemmas to justify using  $data$  in other recursive type definitions.

**lemma**  $data-mono$ :  $[[ A \subseteq C; B \subseteq D ]] \Rightarrow data(A, B) \subseteq data(C, D)$   
 $\langle proof \rangle$

**lemma**  $data-univ$ :  $data(univ(A), univ(A)) \subseteq univ(A)$   
 $\langle proof \rangle$

**lemma**  $data-subset-univ$ :  
 $[[ A \subseteq univ(C); B \subseteq univ(C) ]] \Rightarrow data(A, B) \subseteq univ(C)$   
 $\langle proof \rangle$

## 1.2 Example of a big enumeration type

Can go up to at least 100 constructors, but it takes nearly 7 minutes ...  
(back in 1994 that is).

**consts**

$enum :: i$

**datatype**  $enum =$

$C00 \mid C01 \mid C02 \mid C03 \mid C04 \mid C05 \mid C06 \mid C07 \mid C08 \mid C09$   
|  $C10 \mid C11 \mid C12 \mid C13 \mid C14 \mid C15 \mid C16 \mid C17 \mid C18 \mid C19$   
|  $C20 \mid C21 \mid C22 \mid C23 \mid C24 \mid C25 \mid C26 \mid C27 \mid C28 \mid C29$   
|  $C30 \mid C31 \mid C32 \mid C33 \mid C34 \mid C35 \mid C36 \mid C37 \mid C38 \mid C39$   
|  $C40 \mid C41 \mid C42 \mid C43 \mid C44 \mid C45 \mid C46 \mid C47 \mid C48 \mid C49$   
|  $C50 \mid C51 \mid C52 \mid C53 \mid C54 \mid C55 \mid C56 \mid C57 \mid C58 \mid C59$

**end**

## 2 Binary trees

**theory** *Binary-Trees* **imports** *Main* **begin**

## 2.1 Datatype definition

**consts**

$bt :: i \Rightarrow i$

**datatype**  $bt(A) =$

$Lf \mid Br(a \in A, t1 \in bt(A), t2 \in bt(A))$

**declare**  $bt.intros$   $[simp]$

**lemma**  $Br-neq-left$ :  $l \in bt(A) \Rightarrow Br(x, l, r) \neq l$

$\langle proof \rangle$

**lemma**  $Br-iff$ :  $Br(a, l, r) = Br(a', l', r') \Leftrightarrow a = a' \ \& \ l = l' \ \& \ r = r'$

— Proving a freeness theorem.

$\langle proof \rangle$

**inductive-cases**  $BrE$ :  $Br(a, l, r) \in bt(A)$

— An elimination rule, for type-checking.

Lemmas to justify using  $bt$  in other recursive type definitions.

**lemma**  $bt-mono$ :  $A \subseteq B \Rightarrow bt(A) \subseteq bt(B)$

$\langle proof \rangle$

**lemma**  $bt-univ$ :  $bt(univ(A)) \subseteq univ(A)$

$\langle proof \rangle$

**lemma**  $bt-subset-univ$ :  $A \subseteq univ(B) \Rightarrow bt(A) \subseteq univ(B)$

$\langle proof \rangle$

**lemma**  $bt-rec-type$ :

$[\mid t \in bt(A);$

$c \in C(Lf);$

$!!x \ y \ z \ r \ s. [\mid x \in A; \ y \in bt(A); \ z \in bt(A); \ r \in C(y); \ s \in C(z) \mid] \Rightarrow$

$h(x, y, z, r, s) \in C(Br(x, y, z))$

$\mid] \Rightarrow bt-rec(c, h, t) \in C(t)$

— Type checking for recursor – example only; not really needed.

$\langle proof \rangle$

## 2.2 Number of nodes, with an example of tail-recursion

**consts**  $n-nodes :: i \Rightarrow i$

**primrec**

$n-nodes(Lf) = 0$

$n-nodes(Br(a, l, r)) = succ(n-nodes(l) \#+ n-nodes(r))$

**lemma**  $n-nodes-type$   $[simp]$ :  $t \in bt(A) \Rightarrow n-nodes(t) \in nat$

$\langle proof \rangle$

**consts**  $n\text{-nodes-aux} :: i \Rightarrow i$   
**primrec**  
 $n\text{-nodes-aux}(Lf) = (\lambda k \in \text{nat}. k)$   
 $n\text{-nodes-aux}(Br(a, l, r)) =$   
 $(\lambda k \in \text{nat}. n\text{-nodes-aux}(r) \text{ ' } (n\text{-nodes-aux}(l) \text{ ' } \text{succ}(k)))$

**lemma**  $n\text{-nodes-aux-eq}$ :  
 $t \in \text{bt}(A) \Rightarrow k \in \text{nat} \Rightarrow n\text{-nodes-aux}(t) \text{ ' } k = n\text{-nodes}(t) \# + k$   
 $\langle \text{proof} \rangle$

**definition**  
 $n\text{-nodes-tail} :: i \Rightarrow i$  **where**  
 $n\text{-nodes-tail}(t) == n\text{-nodes-aux}(t) \text{ ' } 0$

**lemma**  $t \in \text{bt}(A) \Rightarrow n\text{-nodes-tail}(t) = n\text{-nodes}(t)$   
 $\langle \text{proof} \rangle$

## 2.3 Number of leaves

**consts**  
 $n\text{-leaves} :: i \Rightarrow i$   
**primrec**  
 $n\text{-leaves}(Lf) = 1$   
 $n\text{-leaves}(Br(a, l, r)) = n\text{-leaves}(l) \# + n\text{-leaves}(r)$

**lemma**  $n\text{-leaves-type}$  [simp]:  $t \in \text{bt}(A) \Rightarrow n\text{-leaves}(t) \in \text{nat}$   
 $\langle \text{proof} \rangle$

## 2.4 Reflecting trees

**consts**  
 $bt\text{-reflect} :: i \Rightarrow i$   
**primrec**  
 $bt\text{-reflect}(Lf) = Lf$   
 $bt\text{-reflect}(Br(a, l, r)) = Br(a, bt\text{-reflect}(r), bt\text{-reflect}(l))$

**lemma**  $bt\text{-reflect-type}$  [simp]:  $t \in \text{bt}(A) \Rightarrow bt\text{-reflect}(t) \in \text{bt}(A)$   
 $\langle \text{proof} \rangle$

Theorems about  $n\text{-leaves}$ .

**lemma**  $n\text{-leaves-reflect}$ :  $t \in \text{bt}(A) \Rightarrow n\text{-leaves}(bt\text{-reflect}(t)) = n\text{-leaves}(t)$   
 $\langle \text{proof} \rangle$

**lemma**  $n\text{-leaves-nodes}$ :  $t \in \text{bt}(A) \Rightarrow n\text{-leaves}(t) = \text{succ}(n\text{-nodes}(t))$   
 $\langle \text{proof} \rangle$

Theorems about  $bt\text{-reflect}$ .

**lemma**  $bt\text{-reflect-bt-reflect-ident}$ :  $t \in \text{bt}(A) \Rightarrow bt\text{-reflect}(bt\text{-reflect}(t)) = t$   
 $\langle \text{proof} \rangle$

end

### 3 Terms over an alphabet

**theory** *Term* **imports** *Main* **begin**

Illustrates the list functor (essentially the same type as in *Trees-Forest*).

**consts**

*term* ::  $i \Rightarrow i$

**datatype** *term*( $A$ ) = *Apply* ( $a \in A, l \in \text{list}(\text{term}(A))$ )

**monos** *list-mono*

**type-elim** *list-univ* [*THEN subsetD, elim-format*]

**declare** *Apply* [*TC*]

**definition**

*term-rec* ::  $[i, [i, i, i] \Rightarrow i] \Rightarrow i$  **where**

*term-rec*( $t, d$ ) ==

$Vrec(t, \lambda t\ g. \text{term-case}(\lambda x\ zs. d(x, zs, \text{map}(\lambda z. g'z, zs)), t))$

**definition**

*term-map* ::  $[i \Rightarrow i, i] \Rightarrow i$  **where**

*term-map*( $f, t$ ) == *term-rec*( $t, \lambda x\ zs\ rs. \text{Apply}(f(x), rs)$ )

**definition**

*term-size* ::  $i \Rightarrow i$  **where**

*term-size*( $t$ ) == *term-rec*( $t, \lambda x\ zs\ rs. \text{succ}(\text{list-add}(rs))$ )

**definition**

*reflect* ::  $i \Rightarrow i$  **where**

*reflect*( $t$ ) == *term-rec*( $t, \lambda x\ zs\ rs. \text{Apply}(x, \text{rev}(rs))$ )

**definition**

*preorder* ::  $i \Rightarrow i$  **where**

*preorder*( $t$ ) == *term-rec*( $t, \lambda x\ zs\ rs. \text{Cons}(x, \text{flat}(rs))$ )

**definition**

*postorder* ::  $i \Rightarrow i$  **where**

*postorder*( $t$ ) == *term-rec*( $t, \lambda x\ zs\ rs. \text{flat}(rs) @ [x]$ )

**lemma** *term-unfold*:  $\text{term}(A) = A * \text{list}(\text{term}(A))$

*<proof>*

**lemma** *term-induct2*:

$[| t \in \text{term}(A);$

$!!x. [| x \in A |] \Rightarrow P(\text{Apply}(x, \text{Nil}))];$

$$\begin{aligned} & !!x \ z \ zs. \ [\ [ \ x \in A; \ z \in \text{term}(A); \ zs: \text{list}(\text{term}(A)); \ P(\text{Apply}(x, zs)) \\ & \quad \ ] \implies P(\text{Apply}(x, \text{Cons}(z, zs))) \\ & \ ] \implies P(t) \\ & \text{— Induction on } \text{term}(A) \text{ followed by induction on } \text{list}. \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *term-induct-eqn* [consumes 1, case-names Apply]:

$$\begin{aligned} & [\ [ \ t \in \text{term}(A); \\ & \quad !!x \ zs. \ [\ [ \ x \in A; \ zs: \text{list}(\text{term}(A)); \ \text{map}(f, zs) = \text{map}(g, zs) \ ] \implies \\ & \quad \quad f(\text{Apply}(x, zs)) = g(\text{Apply}(x, zs)) \\ & \quad \ ] \implies f(t) = g(t) \\ & \text{— Induction on } \text{term}(A) \text{ to prove an equation.} \\ & \langle \text{proof} \rangle \end{aligned}$$

Lemmas to justify using *term* in other recursive type definitions.

**lemma** *term-mono*:  $A \subseteq B \implies \text{term}(A) \subseteq \text{term}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *term-univ*:  $\text{term}(\text{univ}(A)) \subseteq \text{univ}(A)$   
 — Easily provable by induction also  
 $\langle \text{proof} \rangle$

**lemma** *term-subset-univ*:  $A \subseteq \text{univ}(B) \implies \text{term}(A) \subseteq \text{univ}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *term-into-univ*:  $[\ [ \ t \in \text{term}(A); \ A \subseteq \text{univ}(B) \ ] \implies t \in \text{univ}(B)$   
 $\langle \text{proof} \rangle$

*term-rec* – by *Vset* recursion.

**lemma** *map-lemma*:  $[\ [ \ l \in \text{list}(A); \ \text{Ord}(i); \ \text{rank}(l) < i \ ] \implies \text{map}(\lambda z. (\lambda x \in \text{Vset}(i). h(x)) \ 'z, l) = \text{map}(h, l)$   
 — *map* works correctly on the underlying list of terms.  
 $\langle \text{proof} \rangle$

**lemma** *term-rec [simp]*:  $ts \in \text{list}(A) \implies \text{term-rec}(\text{Apply}(a, ts), d) = d(a, ts, \text{map}(\lambda z. \text{term-rec}(z, d), ts))$   
 — Typing premise is necessary to invoke *map-lemma*.  
 $\langle \text{proof} \rangle$

**lemma** *term-rec-type*:

**assumes**  $t: t \in \text{term}(A)$   
**and**  $a: !!x \ zs \ r. \ [\ [ \ x \in A; \ zs: \text{list}(\text{term}(A));$   
 $\quad \quad r \in \text{list}(\bigcup t \in \text{term}(A). \ C(t)) \ ]$   
 $\implies d(x, zs, r): C(\text{Apply}(x, zs))$   
**shows**  $\text{term-rec}(t, d) \in C(t)$   
 — Slightly odd typing condition on  $r$  in the second premise!  
 $\langle \text{proof} \rangle$

**lemma** *def-term-rec*:

$[!t. j(t) == \text{term-rec}(t, d); \text{ ts: list}(A) ] ==>$   
 $j(\text{Apply}(a, \text{ts})) = d(a, \text{ts}, \text{map}(\lambda Z. j(Z), \text{ts}))$   
 $\langle \text{proof} \rangle$

**lemma** *term-rec-simple-type* [TC]:

$[! t \in \text{term}(A);$   
 $!!x \text{ zs } r. [! x \in A; \text{ zs: list}(\text{term}(A)); r \in \text{list}(C) ]$   
 $==> d(x, \text{zs}, r): C$   
 $] ==> \text{term-rec}(t, d) \in C$   
 $\langle \text{proof} \rangle$

*term-map.*

**lemma** *term-map* [simp]:

$\text{ts} \in \text{list}(A) ==>$   
 $\text{term-map}(f, \text{Apply}(a, \text{ts})) = \text{Apply}(f(a), \text{map}(\text{term-map}(f), \text{ts}))$   
 $\langle \text{proof} \rangle$

**lemma** *term-map-type* [TC]:

$[! t \in \text{term}(A); !!x. x \in A ==> f(x): B ] ==> \text{term-map}(f, t) \in \text{term}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *term-map-type2* [TC]:

$t \in \text{term}(A) ==> \text{term-map}(f, t) \in \text{term}(\{f(u). u \in A\})$   
 $\langle \text{proof} \rangle$

*term-size.*

**lemma** *term-size* [simp]:

$\text{ts} \in \text{list}(A) ==> \text{term-size}(\text{Apply}(a, \text{ts})) = \text{succ}(\text{list-add}(\text{map}(\text{term-size}, \text{ts})))$   
 $\langle \text{proof} \rangle$

**lemma** *term-size-type* [TC]:  $t \in \text{term}(A) ==> \text{term-size}(t) \in \text{nat}$

$\langle \text{proof} \rangle$

*reflect.*

**lemma** *reflect* [simp]:

$\text{ts} \in \text{list}(A) ==> \text{reflect}(\text{Apply}(a, \text{ts})) = \text{Apply}(a, \text{rev}(\text{map}(\text{reflect}, \text{ts})))$   
 $\langle \text{proof} \rangle$

**lemma** *reflect-type* [TC]:  $t \in \text{term}(A) ==> \text{reflect}(t) \in \text{term}(A)$

$\langle \text{proof} \rangle$

*preorder.*

**lemma** *preorder* [simp]:

$\text{ts} \in \text{list}(A) ==> \text{preorder}(\text{Apply}(a, \text{ts})) = \text{Cons}(a, \text{flat}(\text{map}(\text{preorder}, \text{ts})))$   
 $\langle \text{proof} \rangle$



**lemma** *preorder-type* [TC]:  $t \in \text{term}(A) \implies \text{preorder}(t) \in \text{list}(A)$   
 ⟨proof⟩

*postorder*.

**lemma** *postorder* [simp]:  
 $ts \in \text{list}(A) \implies \text{postorder}(\text{Apply}(a, ts)) = \text{flat}(\text{map}(\text{postorder}, ts)) @ [a]$   
 ⟨proof⟩

**lemma** *postorder-type* [TC]:  $t \in \text{term}(A) \implies \text{postorder}(t) \in \text{list}(A)$   
 ⟨proof⟩

Theorems about *term-map*.

**declare** *map-compose* [simp]

**lemma** *term-map-ident*:  $t \in \text{term}(A) \implies \text{term-map}(\lambda u. u, t) = t$   
 ⟨proof⟩

**lemma** *term-map-compose*:  
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{term-map}(g, t)) = \text{term-map}(\lambda u. f(g(u)), t)$   
 ⟨proof⟩

**lemma** *term-map-reflect*:  
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{reflect}(t)) = \text{reflect}(\text{term-map}(f, t))$   
 ⟨proof⟩

Theorems about *term-size*.

**lemma** *term-size-term-map*:  $t \in \text{term}(A) \implies \text{term-size}(\text{term-map}(f, t)) = \text{term-size}(t)$   
 ⟨proof⟩

**lemma** *term-size-reflect*:  $t \in \text{term}(A) \implies \text{term-size}(\text{reflect}(t)) = \text{term-size}(t)$   
 ⟨proof⟩

**lemma** *term-size-length*:  $t \in \text{term}(A) \implies \text{term-size}(t) = \text{length}(\text{preorder}(t))$   
 ⟨proof⟩

Theorems about *reflect*.

**lemma** *reflect-reflect-ident*:  $t \in \text{term}(A) \implies \text{reflect}(\text{reflect}(t)) = t$   
 ⟨proof⟩

Theorems about *preorder*.

**lemma** *preorder-term-map*:  
 $t \in \text{term}(A) \implies \text{preorder}(\text{term-map}(f, t)) = \text{map}(f, \text{preorder}(t))$   
 ⟨proof⟩

**lemma** *preorder-reflect-eq-rev-postorder*:

```

     $t \in \text{term}(A) \implies \text{preorder}(\text{reflect}(t)) = \text{rev}(\text{postorder}(t))$ 
    <proof>

```

end

## 4 Datatype definition n-ary branching trees

**theory** *Ntree* **imports** *Main* **begin**

Demonstrates a simple use of function space in a datatype definition. Based upon theory *Term*.

**consts**

```

    ntree ::  $i \Rightarrow i$ 
    maptree ::  $i \Rightarrow i$ 
    maptree2 ::  $[i, i] \Rightarrow i$ 

```

**datatype** *ntree*(*A*) = *Branch* ( $a \in A, h \in (\bigcup n \in \text{nat}. n \rightarrow \text{ntree}(A))$ )  
**monos** *UN-mono* [*OF subset-refl Pi-mono*] — MUST have this form  
**type-intros** *nat-fun-univ* [*THEN subsetD*]  
**type-elim** *UN-E*

**datatype** *maptree*(*A*) = *Sons* ( $a \in A, h \in \text{maptree}(A) \multimap \text{maptree}(A)$ )  
**monos** *FiniteFun-mono1* — Use monotonicity in BOTH args  
**type-intros** *FiniteFun-univ1* [*THEN subsetD*]

**datatype** *maptree2*(*A*, *B*) = *Sons2* ( $a \in A, h \in B \multimap \text{maptree2}(A, B)$ )  
**monos** *FiniteFun-mono* [*OF subset-refl*]  
**type-intros** *FiniteFun-in-univ'*

**definition**

```

    ntree-rec ::  $[[i, i, i] \Rightarrow i, i] \Rightarrow i$  where
    ntree-rec(b) ==
      Vrecursor( $\lambda \text{pr}. \text{ntree-case}(\lambda x \ h. \ b(x, h, \lambda i \in \text{domain}(h). \ \text{pr}'(h'i))))$ 

```

**definition**

```

    ntree-copy ::  $i \Rightarrow i$  where
    ntree-copy(z) == ntree-rec( $\lambda x \ h \ r. \ \text{Branch}(x, r), z$ )

```

*ntree*

**lemma** *ntree-unfold*:  $\text{ntree}(A) = A \times (\bigcup n \in \text{nat}. n \rightarrow \text{ntree}(A))$   
 <proof>

**lemma** *ntree-induct* [*consumes 1, case-names Branch, induct set: ntree*]:

```

    assumes t:  $t \in \text{ntree}(A)$ 
    and step:  $!!x \ n \ h. [| \ x \in A; \ n \in \text{nat}; \ h \in n \rightarrow \text{ntree}(A); \ \forall i \in n. \ P(h'i) |] \implies P(\text{Branch}(x, h))$ 
    shows  $P(t)$ 

```

— A nicer induction rule than the standard one.  
 $\langle \text{proof} \rangle$

**lemma** *ntree-induct-eqn* [*consumes 1*]:  
**assumes**  $t: t \in \text{ntree}(A)$   
**and**  $f: f \in \text{ntree}(A) \rightarrow B$   
**and**  $g: g \in \text{ntree}(A) \rightarrow B$   
**and** *step*:  $!!x \ n \ h. [| x \in A; \ n \in \text{nat}; \ h \in n \rightarrow \text{ntree}(A); \ f \ O \ h = g \ O \ h |]$   
 $==>$   
 $f \text{ ` } \text{Branch}(x, h) = g \text{ ` } \text{Branch}(x, h)$   
**shows**  $f \text{ ` } t = g \text{ ` } t$   
— Induction on  $\text{ntree}(A)$  to prove an equation  
 $\langle \text{proof} \rangle$

Lemmas to justify using *Ntree* in other recursive type definitions.

**lemma** *ntree-mono*:  $A \subseteq B ==> \text{ntree}(A) \subseteq \text{ntree}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *ntree-univ*:  $\text{ntree}(\text{univ}(A)) \subseteq \text{univ}(A)$   
— Easily provable by induction also  
 $\langle \text{proof} \rangle$

**lemma** *ntree-subset-univ*:  $A \subseteq \text{univ}(B) ==> \text{ntree}(A) \subseteq \text{univ}(B)$   
 $\langle \text{proof} \rangle$

*ntree* recursion.

**lemma** *ntree-rec-Branch*:  
 $\text{function}(h) ==>$   
 $\text{ntree-rec}(b, \text{Branch}(x, h)) = b(x, h, \lambda i \in \text{domain}(h). \text{ntree-rec}(b, h \text{ ` } i))$   
 $\langle \text{proof} \rangle$

**lemma** *ntree-copy-Branch* [*simp*]:  
 $\text{function}(h) ==>$   
 $\text{ntree-copy}(\text{Branch}(x, h)) = \text{Branch}(x, \lambda i \in \text{domain}(h). \text{ntree-copy}(h \text{ ` } i))$   
 $\langle \text{proof} \rangle$

**lemma** *ntree-copy-is-ident*:  $z \in \text{ntree}(A) ==> \text{ntree-copy}(z) = z$   
 $\langle \text{proof} \rangle$

*maptree*

**lemma** *maptree-unfold*:  $\text{maptree}(A) = A \times (\text{maptree}(A) \rightarrow \text{maptree}(A))$   
 $\langle \text{proof} \rangle$

**lemma** *maptree-induct* [*consumes 1*, *induct set*: *maptree*]:  
**assumes**  $t: t \in \text{maptree}(A)$   
**and** *step*:  $!!x \ n \ h. [| x \in A; \ h \in \text{maptree}(A) \rightarrow \text{maptree}(A);$   
 $\forall y \in \text{field}(h). P(y)$

```

    shows  $\llbracket \cdot \rrbracket ==> P(\text{Sons}(x, h))$ 
  shows  $P(t)$ 
  — A nicer induction rule than the standard one.
  <proof>

maptree2

lemma maptree2-unfold:  $\text{maptree2}(A, B) = A \times (B - \llbracket \cdot \rrbracket > \text{maptree2}(A, B))$ 
  <proof>

lemma maptree2-induct [consumes 1, induct set: maptree2]:
  assumes  $t: t \in \text{maptree2}(A, B)$ 
    and step:  $!!x \ n \ h. \llbracket x \in A; h \in B - \llbracket \cdot \rrbracket > \text{maptree2}(A, B); \forall y \in \text{range}(h). P(y) \rrbracket ==> P(\text{Sons2}(x, h))$ 
  shows  $P(t)$ 
  <proof>

end

```

## 5 Trees and forests, a mutually recursive type definition

**theory** *Tree-Forest* **imports** *Main* **begin**

### 5.1 Datatype definition

```

consts
  tree ::  $i ==> i$ 
  forest ::  $i ==> i$ 
  tree-forest ::  $i ==> i$ 

datatype  $\text{tree}(A) = Tcons \ (a \in A, f \in \text{forest}(A))$ 
  and  $\text{forest}(A) = Fnil \mid Fcons \ (t \in \text{tree}(A), f \in \text{forest}(A))$ 

lemmas  $\text{tree}'\text{induct} =$ 
   $\text{tree-forest.mutual-induct} \ [THEN \ \text{conjunct1}, \ THEN \ \text{spec}, \ THEN \ [2] \ \text{rev-mp}, \ \text{of}$ 
 $\text{concl: } - \ t, \ \text{standard}, \ \text{consumes } 1]$ 
  and  $\text{forest}'\text{induct} =$ 
   $\text{tree-forest.mutual-induct} \ [THEN \ \text{conjunct2}, \ THEN \ \text{spec}, \ THEN \ [2] \ \text{rev-mp}, \ \text{of}$ 
 $\text{concl: } - \ f, \ \text{standard}, \ \text{consumes } 1]$ 

declare  $\text{tree-forest.intros} \ [\text{simp}, \ TC]$ 

lemma  $\text{tree-def: } \text{tree}(A) == \text{Part}(\text{tree-forest}(A), \text{Inl})$ 
  <proof>

lemma  $\text{forest-def: } \text{forest}(A) == \text{Part}(\text{tree-forest}(A), \text{Inr})$ 

```

$\langle proof \rangle$

$tree\text{-}forest(A)$  as the union of  $tree(A)$  and  $forest(A)$ .

**lemma** *tree-subset-TF*:  $tree(A) \subseteq tree\text{-}forest(A)$   
 $\langle proof \rangle$

**lemma** *treeI* [TC]:  $x \in tree(A) \implies x \in tree\text{-}forest(A)$   
 $\langle proof \rangle$

**lemma** *forest-subset-TF*:  $forest(A) \subseteq tree\text{-}forest(A)$   
 $\langle proof \rangle$

**lemma** *treeI'* [TC]:  $x \in forest(A) \implies x \in tree\text{-}forest(A)$   
 $\langle proof \rangle$

**lemma** *TF-equals-Un*:  $tree(A) \cup forest(A) = tree\text{-}forest(A)$   
 $\langle proof \rangle$

**lemma**  
**notes**  $rews = tree\text{-}forest.con\text{-}defs\ tree\text{-}def\ forest\text{-}def$   
**shows**  
   $tree\text{-}forest\text{-}unfold: tree\text{-}forest(A) =$   
     $(A \times forest(A)) + (\{0\} + tree(A) \times forest(A))$   
  — NOT useful, but interesting ...  
 $\langle proof \rangle$

**lemma** *tree-forest-unfold'*:  
 $tree\text{-}forest(A) =$   
   $A \times Part(tree\text{-}forest(A), \lambda w. Inr(w)) +$   
   $\{0\} + Part(tree\text{-}forest(A), \lambda w. Inl(w)) * Part(tree\text{-}forest(A), \lambda w. Inr(w))$   
 $\langle proof \rangle$

**lemma** *tree-unfold*:  $tree(A) = \{Inl(x). x \in A \times forest(A)\}$   
 $\langle proof \rangle$

**lemma** *forest-unfold*:  $forest(A) = \{Inr(x). x \in \{0\} + tree(A) * forest(A)\}$   
 $\langle proof \rangle$

Type checking for recursor: Not needed; possibly interesting?

**lemma** *TF-rec-type*:  
   $\llbracket z \in tree\text{-}forest(A);$   
     $!!x\ f\ r. \llbracket x \in A; f \in forest(A); r \in C(f)$   
       $\llbracket \implies b(x,f,r) \in C(Tcons(x,f));$   
     $c \in C(Fnil);$   
     $!!t\ f\ r1\ r2. \llbracket t \in tree(A); f \in forest(A); r1 \in C(t); r2 \in C(f)$   
       $\llbracket \implies d(t,f,r1,r2) \in C(Fcons(t,f))$   
   $\rrbracket \implies tree\text{-}forest\text{-}rec(b,c,d,z) \in C(z)$   
 $\langle proof \rangle$

**lemma** *tree-forest-rec-type*:

$\llbracket \rrbracket !!x f r. \llbracket \rrbracket x \in A; f \in \text{forest}(A); r \in D(f)$   
 $\llbracket \rrbracket ==> b(x,f,r) \in C(Tcons(x,f));$   
 $c \in D(Fnil);$   
 $!!t f r1 r2. \llbracket \rrbracket t \in \text{tree}(A); f \in \text{forest}(A); r1 \in C(t); r2 \in D(f)$   
 $\llbracket \rrbracket ==> d(t,f,r1,r2) \in D(Fcons(t,f))$   
 $\llbracket \rrbracket ==> (\forall t \in \text{tree}(A). \text{tree-forest-rec}(b,c,d,t) \in C(t)) \wedge$   
 $(\forall f \in \text{forest}(A). \text{tree-forest-rec}(b,c,d,f) \in D(f))$   
 — Mutually recursive version.  
*<proof>*

## 5.2 Operations

**consts**

$\text{map} :: [i \Rightarrow i, i] \Rightarrow i$   
 $\text{size} :: i \Rightarrow i$   
 $\text{preorder} :: i \Rightarrow i$   
 $\text{list-of-TF} :: i \Rightarrow i$   
 $\text{of-list} :: i \Rightarrow i$   
 $\text{reflect} :: i \Rightarrow i$

**primrec**

$\text{list-of-TF } (Tcons(x,f)) = [Tcons(x,f)]$   
 $\text{list-of-TF } (Fnil) = []$   
 $\text{list-of-TF } (Fcons(t,tf)) = Cons(t, \text{list-of-TF}(tf))$

**primrec**

$\text{of-list}([]) = Fnil$   
 $\text{of-list}(Cons(t,l)) = Fcons(t, \text{of-list}(l))$

**primrec**

$\text{map } (h, Tcons(x,f)) = Tcons(h(x), \text{map}(h,f))$   
 $\text{map } (h, Fnil) = Fnil$   
 $\text{map } (h, Fcons(t,tf)) = Fcons(\text{map}(h, t), \text{map}(h, tf))$

**primrec**

$\text{size } (Tcons(x,f)) = \text{succ}(\text{size}(f))$   
 $\text{size } (Fnil) = 0$   
 $\text{size } (Fcons(t,tf)) = \text{size}(t) \# + \text{size}(tf)$

**primrec**

$\text{preorder } (Tcons(x,f)) = Cons(x, \text{preorder}(f))$   
 $\text{preorder } (Fnil) = Nil$   
 $\text{preorder } (Fcons(t,tf)) = \text{preorder}(t) @ \text{preorder}(tf)$

**primrec**

$\text{reflect } (Tcons(x,f)) = Tcons(x, \text{reflect}(f))$   
 $\text{reflect } (Fnil) = Fnil$

$reflect\ (Fcons(t,tf)) =$   
 $of-list\ (list-of-TF\ (reflect(tf))\ @\ Cons(reflect(t),\ Nil))$

*list-of-TF* and *of-list*.

**lemma** *list-of-TF-type* [TC]:

$z \in tree-forest(A) ==> list-of-TF(z) \in list(tree(A))$   
 $\langle proof \rangle$

**lemma** *of-list-type* [TC]:  $l \in list(tree(A)) ==> of-list(l) \in forest(A)$

$\langle proof \rangle$

*map*.

**lemma**

**assumes**  $!!x. x \in A ==> h(x): B$

**shows** *map-tree-type*:  $t \in tree(A) ==> map(h,t) \in tree(B)$

**and** *map-forest-type*:  $f \in forest(A) ==> map(h,f) \in forest(B)$

$\langle proof \rangle$

*size*.

**lemma** *size-type* [TC]:  $z \in tree-forest(A) ==> size(z) \in nat$

$\langle proof \rangle$

*preorder*.

**lemma** *preorder-type* [TC]:  $z \in tree-forest(A) ==> preorder(z) \in list(A)$

$\langle proof \rangle$

Theorems about *list-of-TF* and *of-list*.

**lemma** *forest-induct* [consumes 1, case-names Fnil Fcons]:

$[[\ f \in forest(A);$

$R(Fnil);$

$!!t\ f. [[\ t \in tree(A);\ f \in forest(A);\ R(f)\ ]\ ] ==> R(Fcons(t,f))$

$]] ==> R(f)$

— Essentially the same as list induction.

$\langle proof \rangle$

**lemma** *forest-iso*:  $f \in forest(A) ==> of-list(list-of-TF(f)) = f$

$\langle proof \rangle$

**lemma** *tree-list-iso*:  $ts: list(tree(A)) ==> list-of-TF(of-list(ts)) = ts$

$\langle proof \rangle$

Theorems about *map*.

**lemma** *map-ident*:  $z \in tree-forest(A) ==> map(\lambda u. u, z) = z$

$\langle proof \rangle$

**lemma** *map-compose*:

$z \in \text{tree-forest}(A) \implies \text{map}(h, \text{map}(j, z)) = \text{map}(\lambda u. h(j(u)), z)$   
 $\langle \text{proof} \rangle$

Theorems about *size*.

**lemma** *size-map*:  $z \in \text{tree-forest}(A) \implies \text{size}(\text{map}(h, z)) = \text{size}(z)$   
 $\langle \text{proof} \rangle$

**lemma** *size-length*:  $z \in \text{tree-forest}(A) \implies \text{size}(z) = \text{length}(\text{preorder}(z))$   
 $\langle \text{proof} \rangle$

Theorems about *preorder*.

**lemma** *preorder-map*:

$z \in \text{tree-forest}(A) \implies \text{preorder}(\text{map}(h, z)) = \text{List-ZF.map}(h, \text{preorder}(z))$   
 $\langle \text{proof} \rangle$

**end**

## 6 Infinite branching datatype definitions

**theory** *Brouwer* **imports** *Main-ZFC* **begin**

### 6.1 The Brouwer ordinals

**consts**

*brouwer* :: *i*

**datatype**  $\subseteq V_{\text{from}}(0, \text{csucc}(\text{nat}))$

*brouwer* = *Zero* | *Suc* ( $b \in \text{brouwer}$ ) | *Lim* ( $h \in \text{nat} \rightarrow \text{brouwer}$ )

**monos** *Pi-mono*

**type-intros** *inf-datatype-intros*

**lemma** *brouwer-unfold*:  $\text{brouwer} = \{0\} + \text{brouwer} + (\text{nat} \rightarrow \text{brouwer})$   
 $\langle \text{proof} \rangle$

**lemma** *brouwer-induct2* [*consumes 1, case-names Zero Suc Lim*]:

**assumes** *b*:  $b \in \text{brouwer}$

**and cases**:

$P(\text{Zero})$

$!!b. [\![\ b \in \text{brouwer};\ P(b)\ ]\!] \implies P(\text{Suc}(b))$

$!!h. [\![\ h \in \text{nat} \rightarrow \text{brouwer};\ \forall i \in \text{nat}. P(h^i)\ ]\!] \implies P(\text{Lim}(h))$

**shows**  $P(b)$

— A nicer induction rule than the standard one.

$\langle \text{proof} \rangle$



## 6.2 The Martin-Löf wellordering type

**consts**

$Well :: [i, i \Rightarrow i] \Rightarrow i$

**datatype**  $\subseteq Vfrom(A \cup (\bigcup x \in A. B(x)), csucc(nat \cup |\bigcup x \in A. B(x)|))$

— The union with *nat* ensures that the cardinal is infinite.

$Well(A, B) = Sup\ (a \in A, f \in B(a) \rightarrow Well(A, B))$

**monos** *Pi-mono*

**type-intros** *le-trans* [*OF UN-upper-cardinal le-nat-Un-cardinal*] *inf-datatype-intros*

**lemma** *Well-unfold*:  $Well(A, B) = (\Sigma x \in A. B(x) \rightarrow Well(A, B))$

*<proof>*

**lemma** *Well-induct2* [*consumes 1, case-names step*]:

**assumes** *w*:  $w \in Well(A, B)$

**and step**:  $!!a\ f. [a \in A; f \in B(a) \rightarrow Well(A, B); \forall y \in B(a). P(f\ y)]$

$\Rightarrow P(Sup(a, f))$

**shows**  $P(w)$

— A nicer induction rule than the standard one.

*<proof>*

**lemma** *Well-bool-unfold*:  $Well(bool, \lambda x. x) = 1 + (1 \rightarrow Well(bool, \lambda x. x))$

— In fact it's isomorphic to *nat*, but we need a recursion operator

— for *Well* to prove this.

*<proof>*

**end**

## 7 The Mutilated Chess Board Problem, formalized inductively

**theory** *Mutil* **imports** *Main* **begin**

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

**consts**

*domino* :: *i*

*tiling* ::  $i \Rightarrow i$

**inductive**

**domains** *domino*  $\subseteq Pow(nat \times nat)$

**intros**

*horiz*:  $[i \in nat; j \in nat] \Rightarrow \{<i, j>, <i, succ(j)>\} \in domino$

*vertl*:  $[i \in nat; j \in nat] \Rightarrow \{<i, j>, <succ(i), j>\} \in domino$

**type-intros** *empty-subsetI cons-subsetI PowI SigmaI nat-succI*

**inductive****domains**  $tiling(A) \subseteq Pow(Union(A))$ **intros***empty*:  $0 \in tiling(A)$ *Un*:  $[| a \in A; t \in tiling(A); a \text{ Int } t = 0 |] ==> a \text{ Un } t \in tiling(A)$ **type-intros** *empty-subsetI Union-upper Un-least PowI***type-elim** *PowD [elim-format]***definition***evnodd* ::  $[i, i] ==> i$  **where***evnodd*( $A, b$ ) ==  $\{z \in A. \exists i j. z = \langle i, j \rangle \wedge (i \# + j) \bmod 2 = b\}$ **7.1 Basic properties of evnodd****lemma** *evnodd-iff*:  $\langle i, j \rangle: evnodd(A, b) \leftrightarrow \langle i, j \rangle: A \ \& \ (i \# + j) \bmod 2 = b$   
*<proof>***lemma** *evnodd-subset*:  $evnodd(A, b) \subseteq A$   
*<proof>***lemma** *Finite-evnodd*:  $Finite(X) ==> Finite(evnodd(X, b))$   
*<proof>***lemma** *evnodd-Un*:  $evnodd(A \text{ Un } B, b) = evnodd(A, b) \text{ Un } evnodd(B, b)$   
*<proof>***lemma** *evnodd-Diff*:  $evnodd(A - B, b) = evnodd(A, b) - evnodd(B, b)$   
*<proof>***lemma** *evnodd-cons* [*simp*]:  
*evnodd*(*cons*( $\langle i, j \rangle, C$ ),  $b$ ) =  
(if  $(i \# + j) \bmod 2 = b$  then *cons*( $\langle i, j \rangle$ , *evnodd*( $C, b$ )) else *evnodd*( $C, b$ ))  
*<proof>***lemma** *evnodd-0* [*simp*]:  $evnodd(0, b) = 0$   
*<proof>***7.2 Dominoes****lemma** *domino-Finite*:  $d \in domino ==> Finite(d)$   
*<proof>***lemma** *domino-singleton*:  
 $[| d \in domino; b < 2 |] ==> \exists i' j'. evnodd(d, b) = \{\langle i', j' \rangle\}$   
*<proof>***7.3 Tilings**

The union of two disjoint tilings is a tiling

**lemma** *tiling-UnI*:

$t \in \text{tiling}(A) \implies u \in \text{tiling}(A) \implies t \text{ Int } u = 0 \implies t \text{ Un } u \in \text{tiling}(A)$   
 $\langle \text{proof} \rangle$

**lemma** *tiling-domino-Finite*:  $t \in \text{tiling}(\text{domino}) \implies \text{Finite}(t)$

$\langle \text{proof} \rangle$

**lemma** *tiling-domino-0-1*:  $t \in \text{tiling}(\text{domino}) \implies |\text{evnodd}(t,0)| = |\text{evnodd}(t,1)|$

$\langle \text{proof} \rangle$

**lemma** *dominoes-tile-row*:

$[[ i \in \text{nat}; n \in \text{nat} ]] \implies \{i\} * (n \# + n) \in \text{tiling}(\text{domino})$

$\langle \text{proof} \rangle$

**lemma** *dominoes-tile-matrix*:

$[[ m \in \text{nat}; n \in \text{nat} ]] \implies m * (n \# + n) \in \text{tiling}(\text{domino})$

$\langle \text{proof} \rangle$

**lemma** *eq-lt-E*:  $[[ x=y; x<y ]] \implies P$

$\langle \text{proof} \rangle$

**theorem** *mutl-not-tiling*:  $[[ m \in \text{nat}; n \in \text{nat};$

$t = (\text{succ}(m) \# + \text{succ}(m)) * (\text{succ}(n) \# + \text{succ}(n));$

$t' = t - \{<0,0>\} - \{<\text{succ}(m \# + m), \text{succ}(n \# + n)>\} ]]$

$\implies t' \notin \text{tiling}(\text{domino})$

$\langle \text{proof} \rangle$

**end**

**theory** *FoldSet* **imports** *Main* **begin**

**consts** *fold-set* ::  $[i, i, [i,i] \Rightarrow i, i] \Rightarrow i$

**inductive**

**domains** *fold-set*( $A, B, f, e$ )  $\leq \text{Fin}(A) * B$

**intros**

*emptyI*:  $e \in B \implies <0, e> \in \text{fold-set}(A, B, f, e)$

*consI*:  $[[ x \in A; x \notin C; <C, y> : \text{fold-set}(A, B, f, e); f(x, y) : B ]]$

$\implies <\text{cons}(x, C), f(x, y)> \in \text{fold-set}(A, B, f, e)$

**type-intros** *Fin.intros*

**definition**

*fold* ::  $[i, [i,i] \Rightarrow i, i, i] \Rightarrow i$  (*fold*[-]'(-,-,-)) **where**

*fold*[*B*](*f, e, A*) == *THE* *x*.  $<A, x> \in \text{fold-set}(A, B, f, e)$

**definition**

*setsum* ::  $[i \Rightarrow i, i] \Rightarrow i$  **where**

*setsum*(*g*, *C*) == if *Finite*(*C*) then  
                   *fold*[*int*](%*x y. g*(*x*) \$+ *y*, #0, *C*) else #0

**inductive-cases** *empty-fold-setE*: <0, *x*> : *fold-set*(*A*, *B*, *f*, *e*)  
**inductive-cases** *cons-fold-setE*: <*cons*(*x*, *C*), *y*> : *fold-set*(*A*, *B*, *f*, *e*)

**lemma** *cons-lemma1*: [| *x* ∉ *C*; *x* ∉ *B* |] ==> *cons*(*x*, *B*) = *cons*(*x*, *C*) <-> *B* = *C*  
 <proof>

**lemma** *cons-lemma2*: [| *cons*(*x*, *B*) = *cons*(*y*, *C*); *x* ≠ *y*; *x* ∉ *B*; *y* ∉ *C* |]  
 ==> *B* - {*y*} = *C* - {*x*} & *x* ∈ *C* & *y* ∈ *B*  
 <proof>

**lemma** *fold-set-mono-lemma*:  
 <*C*, *x*> : *fold-set*(*A*, *B*, *f*, *e*)  
 ==> ALL *D. A* <= *D* --> <*C*, *x*> : *fold-set*(*D*, *B*, *f*, *e*)  
 <proof>

**lemma** *fold-set-mono*: *C* <= *A* ==> *fold-set*(*C*, *B*, *f*, *e*) <= *fold-set*(*A*, *B*, *f*, *e*)  
 <proof>

**lemma** *fold-set-lemma*:  
 <*C*, *x*> ∈ *fold-set*(*A*, *B*, *f*, *e*) ==> <*C*, *x*> ∈ *fold-set*(*C*, *B*, *f*, *e*) & *C* <= *A*  
 <proof>

**lemma** *Diff1-fold-set*:  
 [| <*C* - {*x*}, *y*> : *fold-set*(*A*, *B*, *f*, *e*); *x* ∈ *C*; *x* ∈ *A*; *f*(*x*, *y*): *B* |]  
 ==> <*C*, *f*(*x*, *y*)> : *fold-set*(*A*, *B*, *f*, *e*)  
 <proof>

**locale** *fold-typing* =  
 fixes *A* and *B* and *e* and *f*  
 assumes *ftype* [*intro*, *simp*]: [| *x* ∈ *A*; *y* ∈ *B* |] ==> *f*(*x*, *y*) ∈ *B*  
 and *etype* [*intro*, *simp*]: *e* ∈ *B*  
 and *fcomm*: [| *x* ∈ *A*; *y* ∈ *A*; *z* ∈ *B* |] ==> *f*(*x*, *f*(*y*, *z*)) = *f*(*y*, *f*(*x*, *z*))

**lemma** (in *fold-typing*) *Fin-imp-fold-set*:  
*C* ∈ *Fin*(*A*) ==> (EX *x. <C*, *x*> : *fold-set*(*A*, *B*, *f*, *e*))  
 <proof>

**lemma** *Diff-sing-imp*:

$\llbracket C - \{b\} = D - \{a\}; a \neq b; b \in C \rrbracket \implies C = \text{cons}(b, D) - \{a\}$   
 $\langle \text{proof} \rangle$

**lemma** (in *fold-typing*) *fold-set-determ-lemma* [rule-format]:  
 $n \in \text{nat}$

$\implies \text{ALL } C. |C| < n \dashrightarrow$   
 $(\text{ALL } x. \langle C, x \rangle : \text{fold-set}(A, B, f, e) \dashrightarrow$   
 $(\text{ALL } y. \langle C, y \rangle : \text{fold-set}(A, B, f, e) \dashrightarrow y = x))$   
 $\langle \text{proof} \rangle$

**lemma** (in *fold-typing*) *fold-set-determ*:

$\llbracket \langle C, x \rangle \in \text{fold-set}(A, B, f, e);$   
 $\langle C, y \rangle \in \text{fold-set}(A, B, f, e) \rrbracket \implies y = x$   
 $\langle \text{proof} \rangle$

**lemma** (in *fold-typing*) *fold-equality*:

$\langle C, y \rangle : \text{fold-set}(A, B, f, e) \implies \text{fold}[B](f, e, C) = y$   
 $\langle \text{proof} \rangle$

**lemma** *fold-0* [simp]:  $e : B \implies \text{fold}[B](f, e, 0) = e$   
 $\langle \text{proof} \rangle$

This result is the right-to-left direction of the subsequent result

**lemma** (in *fold-typing*) *fold-set-imp-cons*:

$\llbracket \langle C, y \rangle : \text{fold-set}(C, B, f, e); C : \text{Fin}(A); c : A; c \notin C \rrbracket$   
 $\implies \langle \text{cons}(c, C), f(c, y) \rangle : \text{fold-set}(\text{cons}(c, C), B, f, e)$   
 $\langle \text{proof} \rangle$

**lemma** (in *fold-typing*) *fold-cons-lemma* [rule-format]:

$\llbracket C : \text{Fin}(A); c : A; c \notin C \rrbracket$   
 $\implies \langle \text{cons}(c, C), v \rangle : \text{fold-set}(\text{cons}(c, C), B, f, e) \dashrightarrow$   
 $(\text{EX } y. \langle C, y \rangle : \text{fold-set}(C, B, f, e) \ \& \ v = f(c, y))$   
 $\langle \text{proof} \rangle$

**lemma** (in *fold-typing*) *fold-cons*:

$\llbracket C \in \text{Fin}(A); c \in A; c \notin C \rrbracket$   
 $\implies \text{fold}[B](f, e, \text{cons}(c, C)) = f(c, \text{fold}[B](f, e, C))$   
 $\langle \text{proof} \rangle$

**lemma** (in *fold-typing*) *fold-type* [simp, TC]:

$C \in \text{Fin}(A) \implies \text{fold}[B](f, e, C) : B$   
 $\langle \text{proof} \rangle$

**lemma** (in *fold-typing*) *fold-commute* [rule-format]:

$\llbracket C \in \text{Fin}(A); c \in A \rrbracket$   
 $\implies (\forall y \in B. f(c, \text{fold}[B](f, y, C)) = \text{fold}[B](f, f(c, y), C))$   
 $\langle \text{proof} \rangle$

**lemma** (in *fold-typing*) *fold-nest-Un-Int*:  

$$\begin{aligned} & [[ C \in \text{Fin}(A); D \in \text{Fin}(A) ]] \\ & \implies \text{fold}[B](f, \text{fold}[B](f, e, D), C) = \\ & \quad \text{fold}[B](f, \text{fold}[B](f, e, (C \text{ Int } D)), C \text{ Un } D) \end{aligned}$$
 $\langle \text{proof} \rangle$

**lemma** (in *fold-typing*) *fold-nest-Un-disjoint*:  

$$\begin{aligned} & [[ C \in \text{Fin}(A); D \in \text{Fin}(A); C \text{ Int } D = 0 ]] \\ & \implies \text{fold}[B](f, e, C \text{ Un } D) = \text{fold}[B](f, \text{fold}[B](f, e, D), C) \end{aligned}$$
 $\langle \text{proof} \rangle$

**lemma** *Finite-cons-lemma*:  $\text{Finite}(C) \implies C \in \text{Fin}(\text{cons}(c, C))$   
 $\langle \text{proof} \rangle$

## 7.4 The Operator *setsum*

**lemma** *setsum-0* [*simp*]:  $\text{setsum}(g, 0) = \#0$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-cons* [*simp*]:  

$$\begin{aligned} & \text{Finite}(C) \implies \\ & \quad \text{setsum}(g, \text{cons}(c, C)) = \\ & \quad (\text{if } c : C \text{ then } \text{setsum}(g, C) \text{ else } g(c) \$+ \text{setsum}(g, C)) \end{aligned}$$
 $\langle \text{proof} \rangle$

**lemma** *setsum-K0*:  $\text{setsum}((\%i. \#0), C) = \#0$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-Un-Int*:  

$$\begin{aligned} & [[ \text{Finite}(C); \text{Finite}(D) ]] \\ & \implies \text{setsum}(g, C \text{ Un } D) \$+ \text{setsum}(g, C \text{ Int } D) \\ & \quad = \text{setsum}(g, C) \$+ \text{setsum}(g, D) \end{aligned}$$
 $\langle \text{proof} \rangle$

**lemma** *setsum-type* [*simp*, *TC*]:  $\text{setsum}(g, C) : \text{int}$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-Un-disjoint*:  

$$\begin{aligned} & [[ \text{Finite}(C); \text{Finite}(D); C \text{ Int } D = 0 ]] \\ & \implies \text{setsum}(g, C \text{ Un } D) = \text{setsum}(g, C) \$+ \text{setsum}(g, D) \end{aligned}$$
 $\langle \text{proof} \rangle$

**lemma** *Finite-RepFun* [*rule-format* (*no-asm*)]:  

$$\text{Finite}(I) \implies (\forall i \in I. \text{Finite}(C(i))) \longrightarrow \text{Finite}(\text{RepFun}(I, C))$$
 $\langle \text{proof} \rangle$

**lemma** *setsum-UN-disjoint* [*rule-format* (*no-asm*)]:

$Finite(I)$   
 $==> (\forall i \in I. Finite(C(i))) \dashv\dashv$   
 $(\forall i \in I. \forall j \in I. i \neq j \dashv\dashv C(i) \text{ Int } C(j) = 0) \dashv\dashv$   
 $setsum(f, \bigcup i \in I. C(i)) = setsum (\%i. setsum(f, C(i)), I)$   
 $\langle proof \rangle$

**lemma** *setsum-addf*:  $setsum(\%x. f(x) \$+ g(x), C) = setsum(f, C) \$+ setsum(g, C)$   
 $\langle proof \rangle$

**lemma** *fold-set-cong*:  
 $[[ A=A'; B=B'; e=e'; (\forall x \in A'. \forall y \in B'. f(x,y) = f'(x,y)) ]]$   
 $==> fold-set(A,B,f,e) = fold-set(A',B',f',e')$   
 $\langle proof \rangle$

**lemma** *fold-cong*:  
 $[[ B=B'; A=A'; e=e';$   
 $!!x y. [[x \in A'; y \in B']] ==> f(x,y) = f'(x,y) ]] ==>$   
 $fold[B](f,e,A) = fold[B'](f', e', A')$   
 $\langle proof \rangle$

**lemma** *setsum-cong*:  
 $[[ A=B; !!x. x \in B ==> f(x) = g(x) ]] ==>$   
 $setsum(f, A) = setsum(g, B)$   
 $\langle proof \rangle$

**lemma** *setsum-Un*:  
 $[[ Finite(A); Finite(B) ]]$   
 $==> setsum(f, A \text{ Un } B) =$   
 $setsum(f, A) \$+ setsum(f, B) \$- setsum(f, A \text{ Int } B)$   
 $\langle proof \rangle$

**lemma** *setsum-zneg-or-0* [*rule-format* (*no-asm*)]:  
 $Finite(A) ==> (\forall x \in A. g(x) \$<= \#0) \dashv\dashv setsum(g, A) \$<= \#0$   
 $\langle proof \rangle$

**lemma** *setsum-succD-lemma* [*rule-format*]:  
 $Finite(A)$   
 $==> \forall n \in nat. setsum(f, A) = \$\# succ(n) \dashv\dashv (\exists a \in A. \#0 \$< f(a))$   
 $\langle proof \rangle$

**lemma** *setsum-succD*:  
 $[[ setsum(f, A) = \$\# succ(n); n \in nat ]] ==> \exists a \in A. \#0 \$< f(a)$   
 $\langle proof \rangle$

**lemma** *g-zpos-imp-setsum-zpos* [*rule-format*]:

$Finite(A) ==> (\forall x \in A. \#0 \$<= g(x)) \dashrightarrow \#0 \$<= setsum(g, A)$   
 $\langle proof \rangle$

**lemma** *g-zpos-imp-setsum-zpos2* [rule-format]:  
 $[[ Finite(A); \forall x. \#0 \$<= g(x) ]] ==> \#0 \$<= setsum(g, A)$   
 $\langle proof \rangle$

**lemma** *g-zspos-imp-setsum-zspos* [rule-format]:  
 $Finite(A)$   
 $==> (\forall x \in A. \#0 \$< g(x)) \dashrightarrow A \neq 0 \dashrightarrow (\#0 \$< setsum(g, A))$   
 $\langle proof \rangle$

**lemma** *setsum-Diff* [rule-format]:  
 $Finite(A) ==> \forall a. M(a) = \#0 \dashrightarrow setsum(M, A) = setsum(M, A - \{a\})$   
 $\langle proof \rangle$

**end**

## 8 The accessible part of a relation

**theory** *Acc* **imports** *Main* **begin**

Inductive definition of  $acc(r)$ ; see [?].

**consts**  
 $acc :: i ==> i$

**inductive**  
**domains**  $acc(r) \subseteq field(r)$   
**intros**  
 $image: [[ r - \{a\}: Pow(acc(r)); a \in field(r) ]] ==> a \in acc(r)$   
**monos**  $Pow-mono$

The introduction rule must require  $a \in field(r)$ , otherwise  $acc(r)$  would be a proper class!

The intended introduction rule:

**lemma** *accI*:  $[[ !!b. <b,a>:r ==> b \in acc(r); a \in field(r) ]] ==> a \in acc(r)$   
 $\langle proof \rangle$

**lemma** *acc-downward*:  $[[ b \in acc(r); <a,b>:r ]] ==> a \in acc(r)$   
 $\langle proof \rangle$

**lemma** *acc-induct* [consumes 1, case-names *image*, induct set: *acc*]:  
 $[[ a \in acc(r);$   
 $!!x. [[ x \in acc(r); \forall y. <y,x>:r \dashrightarrow P(y) ]] ==> P(x)$   
 $]] ==> P(a)$   
 $\langle proof \rangle$



**lemma** *wf-on-acc*:  $wf[acc(r)](r)$   
 $\langle proof \rangle$

**lemma** *acc-wfI*:  $field(r) \subseteq acc(r) \implies wf(r)$   
 $\langle proof \rangle$

**lemma** *acc-wfD*:  $wf(r) \implies field(r) \subseteq acc(r)$   
 $\langle proof \rangle$

**lemma** *wf-acc-iff*:  $wf(r) <-> field(r) \subseteq acc(r)$   
 $\langle proof \rangle$

**end**

**theory** *Multiset*  
**imports** *FoldSet Acc*  
**begin**

**abbreviation** (*input*)  
— Short cut for multiset space  
 $Mult :: i \Rightarrow i$  **where**  
 $Mult(A) == A -||> nat-\{0\}$

**definition**

$funrestrict :: [i, i] \Rightarrow i$  **where**  
 $funrestrict(f, A) == \lambda x \in A. f^i x$

**definition**

$multiset :: i \Rightarrow o$  **where**  
 $multiset(M) == \exists A. M \in A -> nat-\{0\} \ \& \ Finite(A)$

**definition**

$mset-of :: i \Rightarrow i$  **where**  
 $mset-of(M) == domain(M)$

**definition**

$munion :: [i, i] \Rightarrow i$  (**infixl**  $+ \#$  65) **where**  
 $M + \# N == \lambda x \in mset-of(M) \cup mset-of(N).$   
 $\quad if \ x \in mset-of(M) \ Int \ mset-of(N) \ then \ (M^i x) \ \# + \ (N^i x)$   
 $\quad else \ (if \ x \in mset-of(M) \ then \ M^i x \ else \ N^i x)$

**definition**

$normalize :: i \Rightarrow i$  **where**  
 $normalize(f) ==$

*if* ( $\exists A. f \in A \rightarrow \text{nat} \ \& \ \text{Finite}(A)$ ) *then*  
 $\text{funrestrict}(f, \{x \in \text{mset-of}(f). \ 0 < f'x\})$   
*else* 0

**definition**

$\text{mdiff} :: [i, i] \Rightarrow i \ (\text{infixl} \ -\# \ 65) \ \text{where}$   
 $M \ -\# \ N == \text{normalize}(\lambda x \in \text{mset-of}(M).$   
 $\text{if } x \in \text{mset-of}(N) \text{ then } M'x \ -\# \ N'x \text{ else } M'x)$

**definition**

$\text{msingle} :: i \Rightarrow i \ (\{\#-\#\}) \ \text{where}$   
 $\{\#a\# \} == \{<a, 1>\}$

**definition**

$\text{MCollect} :: [i, i \Rightarrow o] \Rightarrow i \ \text{where}$   
 $\text{MCollect}(M, P) == \text{funrestrict}(M, \{x \in \text{mset-of}(M). \ P(x)\})$

**definition**

$\text{mcount} :: [i, i] \Rightarrow i \ \text{where}$   
 $\text{mcount}(M, a) == \text{if } a \in \text{mset-of}(M) \text{ then } M'a \text{ else } 0$

**definition**

$\text{msize} :: i \Rightarrow i \ \text{where}$   
 $\text{msize}(M) == \text{setsum}(\%a. \ \$\# \ \text{mcount}(M, a), \ \text{mset-of}(M))$

**abbreviation**

$\text{melem} :: [i, i] \Rightarrow o \ \ ((-/ : \# \ -) \ [50, 51] \ 50) \ \text{where}$   
 $a : \# \ M == a \in \text{mset-of}(M)$

**syntax**

$\text{-MColl} :: [pttrn, i, o] \Rightarrow i \ ((1 \{\# \ - : \ -/ \ -\#\}))$

**syntax** (*xsymbols*)

$\text{-MColl} :: [pttrn, i, o] \Rightarrow i \ ((1 \{\# \ - \in \ -/ \ -\#\}))$

**translations**

$\{\#x \in M. \ P\# \} == \text{CONST } \text{MCollect}(M, \%x. \ P)$

**definition**

$\text{multirel1} :: [i, i] \Rightarrow i \ \text{where}$   
 $\text{multirel1}(A, r) ==$   
 $\{<M, N> \in \text{Mult}(A) * \text{Mult}(A).$   
 $\exists a \in A. \ \exists M0 \in \text{Mult}(A). \ \exists K \in \text{Mult}(A).$   
 $N = M0 \ +\# \ \{\#a\# \} \ \& \ M = M0 \ +\# \ K \ \& \ (\forall b \in \text{mset-of}(K). \ <b, a> \in r)\}$

**definition**

*multirel* :: [*i*, *i*] => *i* **where**  
*multirel*(*A*, *r*) == *multirel1*(*A*, *r*)<sup>+</sup>

**definition**

*omultiset* :: *i* => *o* **where**  
*omultiset*(*M*) ==  $\exists i. \text{Ord}(i) \ \& \ M \in \text{Mult}(\text{field}(\text{Memrel}(i)))$

**definition**

*mless* :: [*i*, *i*] => *o* (**infixl** <# 50) **where**  
 $M <\# N == \exists i. \text{Ord}(i) \ \& \ \langle M, N \rangle \in \text{multirel}(\text{field}(\text{Memrel}(i)), \text{Memrel}(i))$

**definition**

*mle* :: [*i*, *i*] => *o* (**infixl** <# = 50) **where**  
 $M <\# = N == (\text{omultiset}(M) \ \& \ M = N) \mid M <\# N$

## 8.1 Properties of the original "restrict" from ZF.thy

**lemma** *funrestrict-subset*: [ $f \in \text{Pi}(C, B); A \subseteq C$ ] ==> *funrestrict*(*f*, *A*)  $\subseteq f$   
 <proof>

**lemma** *funrestrict-type*:

[ $!!x. x \in A ==> f'x \in B(x)$ ] ==> *funrestrict*(*f*, *A*)  $\in \text{Pi}(A, B)$   
 <proof>

**lemma** *funrestrict-type2*: [ $f \in \text{Pi}(C, B); A \subseteq C$ ] ==> *funrestrict*(*f*, *A*)  $\in \text{Pi}(A, B)$   
 <proof>

**lemma** *funrestrict [simp]*:  $a \in A ==> \text{funrestrict}(f, A) \ 'a = f'a$   
 <proof>

**lemma** *funrestrict-empty [simp]*: *funrestrict*(*f*, 0) = 0  
 <proof>

**lemma** *domain-funrestrict [simp]*: *domain*(*funrestrict*(*f*, *C*)) = *C*  
 <proof>

**lemma** *fun-cons-funrestrict-eq*:

$f \in \text{cons}(a, b) \rightarrow B ==> f = \text{cons}(\langle a, f \ 'a \rangle, \text{funrestrict}(f, b))$   
 <proof>

**declare** *domain-of-fun [simp]*

**declare** *domainE [rule del]*

A useful simplification rule

**lemma** *multiset-fun-iff*:

$(f \in A \rightarrow \text{nat} - \{0\}) \leftrightarrow f \in A \rightarrow \text{nat} \ \& \ (\forall a \in A. f'a \in \text{nat} \ \& \ 0 < f'a)$   
 <proof>

**lemma** *multiset-into-Mult*:  $[| \text{multiset}(M); \text{mset-of}(M) \subseteq A |] \implies M \in \text{Mult}(A)$   
 $\langle \text{proof} \rangle$

**lemma** *Mult-into-multiset*:  $M \in \text{Mult}(A) \implies \text{multiset}(M) \ \& \ \text{mset-of}(M) \subseteq A$   
 $\langle \text{proof} \rangle$

**lemma** *Mult-iff-multiset*:  $M \in \text{Mult}(A) \iff \text{multiset}(M) \ \& \ \text{mset-of}(M) \subseteq A$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-iff-Mult-mset-of*:  $\text{multiset}(M) \iff M \in \text{Mult}(\text{mset-of}(M))$   
 $\langle \text{proof} \rangle$

The *multiset* operator

**lemma** *multiset-0* [simp]:  $\text{multiset}(0)$   
 $\langle \text{proof} \rangle$

The *mset-of* operator

**lemma** *multiset-set-of-Finite* [simp]:  $\text{multiset}(M) \implies \text{Finite}(\text{mset-of}(M))$   
 $\langle \text{proof} \rangle$

**lemma** *mset-of-0* [iff]:  $\text{mset-of}(0) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *mset-is-0-iff*:  $\text{multiset}(M) \implies \text{mset-of}(M) = 0 \iff M = 0$   
 $\langle \text{proof} \rangle$

**lemma** *mset-of-single* [iff]:  $\text{mset-of}(\{ \#a \# \}) = \{a\}$   
 $\langle \text{proof} \rangle$

**lemma** *mset-of-union* [iff]:  $\text{mset-of}(M + \# N) = \text{mset-of}(M) \cup \text{mset-of}(N)$   
 $\langle \text{proof} \rangle$

**lemma** *mset-of-diff* [simp]:  $\text{mset-of}(M) \subseteq A \implies \text{mset-of}(M - \# N) \subseteq A$   
 $\langle \text{proof} \rangle$

**lemma** *msingle-not-0* [iff]:  $\{ \#a \# \} \neq 0 \ \& \ 0 \neq \{ \#a \# \}$   
 $\langle \text{proof} \rangle$

**lemma** *msingle-eq-iff* [iff]:  $(\{ \#a \# \} = \{ \#b \# \}) \iff (a = b)$   
 $\langle \text{proof} \rangle$

**lemma** *msingle-multiset* [iff, TC]:  $\text{multiset}(\{ \#a \# \})$   
 $\langle \text{proof} \rangle$

**lemmas** *Collect-Finite = Collect-subset [THEN subset-Finite, standard]*

**lemma** *normalize-idem [simp]: normalize(normalize(f)) = normalize(f)*  
 $\langle proof \rangle$

**lemma** *normalize-multiset [simp]: multiset(M) ==> normalize(M) = M*  
 $\langle proof \rangle$

**lemma** *multiset-normalize [simp]: multiset(normalize(f))*  
 $\langle proof \rangle$

**lemma** *munion-multiset [simp]: [| multiset(M); multiset(N) |] ==> multiset(M +# N)*  
 $\langle proof \rangle$

**lemma** *mdiff-multiset [simp]: multiset(M -# N)*  
 $\langle proof \rangle$

**lemma** *munion-0 [simp]: multiset(M) ==> M +# 0 = M & 0 +# M = M*  
 $\langle proof \rangle$

**lemma** *munion-commute: M +# N = N +# M*  
 $\langle proof \rangle$

**lemma** *munion-assoc: (M +# N) +# K = M +# (N +# K)*  
 $\langle proof \rangle$

**lemma** *munion-lcommute: M +# (N +# K) = N +# (M +# K)*  
 $\langle proof \rangle$

**lemmas** *munion-ac = munion-commute munion-assoc munion-lcommute*

**lemma** *mdiff-self-eq-0 [simp]: M -# M = 0*  
 $\langle proof \rangle$

**lemma** *mdiff-0 [simp]: 0 -# M = 0*

$\langle proof \rangle$

**lemma** *mdiff-0-right* [simp]:  $multiset(M) ==> M -\# 0 = M$   
 $\langle proof \rangle$

**lemma** *mdiff-union-inverse2* [simp]:  $multiset(M) ==> M +\# \{\#a\} -\# \{\#a\} = M$   
 $\langle proof \rangle$

**lemma** *mcount-type* [simp,TC]:  $multiset(M) ==> mcount(M, a) \in nat$   
 $\langle proof \rangle$

**lemma** *mcount-0* [simp]:  $mcount(0, a) = 0$   
 $\langle proof \rangle$

**lemma** *mcount-single* [simp]:  $mcount(\{\#b\}, a) = (if\ a=b\ then\ 1\ else\ 0)$   
 $\langle proof \rangle$

**lemma** *mcount-union* [simp]:  $[| multiset(M); multiset(N) |] ==> mcount(M +\# N, a) = mcount(M, a) \#+ mcount(N, a)$   
 $\langle proof \rangle$

**lemma** *mcount-diff* [simp]:  
 $multiset(M) ==> mcount(M -\# N, a) = mcount(M, a) \#- mcount(N, a)$   
 $\langle proof \rangle$

**lemma** *mcount-elem*:  $[| multiset(M); a \in mset-of(M) |] ==> 0 < mcount(M, a)$   
 $\langle proof \rangle$

**lemma** *msize-0* [simp]:  $msize(0) = \#0$   
 $\langle proof \rangle$

**lemma** *msize-single* [simp]:  $msize(\{\#a\}) = \#1$   
 $\langle proof \rangle$

**lemma** *msize-type* [simp,TC]:  $msize(M) \in int$   
 $\langle proof \rangle$

**lemma** *msize-zpositive*:  $multiset(M) ==> \#0 \leq msize(M)$   
 $\langle proof \rangle$

**lemma** *msize-int-of-nat*:  $multiset(M) ==> \exists n \in nat. msize(M) = \#n$   
 $\langle proof \rangle$

**lemma** *not-empty-multiset-imp-exist*:

$\llbracket M \neq 0; \text{multiset}(M) \rrbracket \implies \exists a \in \text{mset-of}(M). 0 < \text{mcount}(M, a)$   
 $\langle \text{proof} \rangle$

**lemma** *msize-eq-0-iff*:  $\text{multiset}(M) \implies \text{msize}(M) = \#0 \iff M = 0$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-mcount-Int*:  
 $\text{Finite}(A) \implies \text{setsum}(\%a. \$\# \text{mcount}(N, a), A \text{ Int } \text{mset-of}(N))$   
 $\quad = \text{setsum}(\%a. \$\# \text{mcount}(N, a), A)$   
 $\langle \text{proof} \rangle$

**lemma** *msize-union* [simp]:  
 $\llbracket \text{multiset}(M); \text{multiset}(N) \rrbracket \implies \text{msize}(M +\# N) = \text{msize}(M) \$+ \text{msize}(N)$   
 $\langle \text{proof} \rangle$

**lemma** *msize-eq-succ-imp-elem*:  $\llbracket \text{msize}(M) = \$\# \text{succ}(n); n \in \text{nat} \rrbracket \implies \exists a. a \in \text{mset-of}(M)$   
 $\langle \text{proof} \rangle$

**lemma** *equality-lemma*:  
 $\llbracket \text{multiset}(M); \text{multiset}(N); \forall a. \text{mcount}(M, a) = \text{mcount}(N, a) \rrbracket$   
 $\implies \text{mset-of}(M) = \text{mset-of}(N)$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-equality*:  
 $\llbracket \text{multiset}(M); \text{multiset}(N) \rrbracket \implies M = N \iff (\forall a. \text{mcount}(M, a) = \text{mcount}(N, a))$   
 $\langle \text{proof} \rangle$

**lemma** *munion-eq-0-iff* [simp]:  $\llbracket \text{multiset}(M); \text{multiset}(N) \rrbracket \implies (M +\# N = 0) \iff (M = 0 \ \& \ N = 0)$   
 $\langle \text{proof} \rangle$

**lemma** *empty-eq-munion-iff* [simp]:  $\llbracket \text{multiset}(M); \text{multiset}(N) \rrbracket \implies (0 = M +\# N) \iff (M = 0 \ \& \ N = 0)$   
 $\langle \text{proof} \rangle$

**lemma** *munion-right-cancel* [simp]:  
 $\llbracket \text{multiset}(M); \text{multiset}(N); \text{multiset}(K) \rrbracket \implies (M +\# K = N +\# K) \iff (M = N)$   
 $\langle \text{proof} \rangle$

**lemma** *munion-left-cancel* [simp]:  
 $\llbracket \text{multiset}(K); \text{multiset}(M); \text{multiset}(N) \rrbracket \implies (K +\# M = K +\# N) \iff (M = N)$   
 $\langle \text{proof} \rangle$

**lemma** *nat-add-eq-1-cases*:  $[[ m \in \text{nat}; n \in \text{nat} ]] \implies (m \# + n = 1) \iff (m=1 \ \& \ n=0) \mid (m=0 \ \& \ n=1)$   
 $\langle \text{proof} \rangle$

**lemma** *munion-is-single*:  
 $[[ \text{multiset}(M); \text{multiset}(N) ]] \implies (M \# + N = \{\#a\}) \iff (M = \{\#a\} \ \& \ N = 0) \mid (M = 0 \ \& \ N = \{\#a\})$   
 $\langle \text{proof} \rangle$

**lemma** *msingle-is-union*:  $[[ \text{multiset}(M); \text{multiset}(N) ]] \implies (\{\#a\} = M \# + N) \iff (\{\#a\} = M \ \& \ N = 0 \mid M = 0 \ \& \ \{\#a\} = N)$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-decr*:  
 $\text{Finite}(A) \implies (\forall M. \text{multiset}(M) \implies (\forall a \in \text{mset-of}(M). \text{setsum}(\%z. \#\ \text{mcount}(M(a := M'a \# - 1), z), A) = (\text{if } a \in A \text{ then } \text{setsum}(\%z. \#\ \text{mcount}(M, z), A) \# - \#1 \text{ else } \text{setsum}(\%z. \#\ \text{mcount}(M, z), A))))$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-decr2*:  
 $\text{Finite}(A) \implies \forall M. \text{multiset}(M) \implies (\forall a \in \text{mset-of}(M). \text{setsum}(\%x. \#\ \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A) = (\text{if } a \in A \text{ then } \text{setsum}(\%x. \#\ \text{mcount}(M, x), A) \# - \#\ M'a \text{ else } \text{setsum}(\%x. \#\ \text{mcount}(M, x), A)))$   
 $\langle \text{proof} \rangle$

**lemma** *setsum-decr3*:  $[[ \text{Finite}(A); \text{multiset}(M); a \in \text{mset-of}(M) ]] \implies \text{setsum}(\%x. \#\ \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A - \{a\}) = (\text{if } a \in A \text{ then } \text{setsum}(\%x. \#\ \text{mcount}(M, x), A) \# - \#\ M'a \text{ else } \text{setsum}(\%x. \#\ \text{mcount}(M, x), A))$   
 $\langle \text{proof} \rangle$

**lemma** *nat-le-1-cases*:  $n \in \text{nat} \implies n \leq 1 \iff (n=0 \mid n=1)$   
 $\langle \text{proof} \rangle$

**lemma** *succ-pred-eq-self*:  $[[ 0 < n; n \in \text{nat} ]] \implies \text{succ}(n \# - 1) = n$   
 $\langle \text{proof} \rangle$

Specialized for use in the proof below.

**lemma** *multiset-funrestrict*:



$$\llbracket \forall a \in A. M \text{ ' } a \in \text{nat} \wedge 0 < M \text{ ' } a; \text{Finite}(A) \rrbracket$$

$$\implies \text{multiset}(\text{funrestrict}(M, A - \{a\}))$$

$$\langle \text{proof} \rangle$$

**lemma** *multiset-induct-aux*:

**assumes** *prem1*:  $\llbracket M \text{ ' } a. \llbracket \text{multiset}(M); a \notin \text{mset-of}(M); P(M) \rrbracket \implies P(\text{cons}(<a, 1>, M))$   
**and** *prem2*:  $\llbracket M \text{ ' } b. \llbracket \text{multiset}(M); b \in \text{mset-of}(M); P(M) \rrbracket \implies P(M(b := M \text{ ' } b \# + 1))$   
**shows**  

$$\llbracket n \in \text{nat}; P(0) \rrbracket$$

$$\implies (\forall M. \text{multiset}(M) \dashv\dashv$$

$$(\text{setsum}(\%x. \$\# \text{mcount}(M, x), \{x \in \text{mset-of}(M). 0 < M \text{ ' } x\}) = \$\# n) \dashv\dashv$$

$$P(M))$$

$$\langle \text{proof} \rangle$$

**lemma** *multiset-induct2*:

$$\llbracket \text{multiset}(M); P(0);$$

$$(\llbracket M \text{ ' } a. \llbracket \text{multiset}(M); a \notin \text{mset-of}(M); P(M) \rrbracket \implies P(\text{cons}(<a, 1>, M));$$

$$(\llbracket M \text{ ' } b. \llbracket \text{multiset}(M); b \in \text{mset-of}(M); P(M) \rrbracket \implies P(M(b := M \text{ ' } b \# + 1)))$$

$$\rrbracket$$

$$\implies P(M)$$

$$\langle \text{proof} \rangle$$

**lemma** *munion-single-case1*:

$$\llbracket \text{multiset}(M); a \notin \text{mset-of}(M) \rrbracket \implies M + \# \{\#a\# \} = \text{cons}(<a, 1>, M)$$

$$\langle \text{proof} \rangle$$

**lemma** *munion-single-case2*:

$$\llbracket \text{multiset}(M); a \in \text{mset-of}(M) \rrbracket \implies M + \# \{\#a\# \} = M(a := M \text{ ' } a \# + 1)$$

$$\langle \text{proof} \rangle$$

**lemma** *multiset-induct*:

**assumes** *M*:  $\text{multiset}(M)$   
**and** *P0*:  $P(0)$   
**and** *step*:  $\llbracket M \text{ ' } a. \llbracket \text{multiset}(M); P(M) \rrbracket \implies P(M + \# \{\#a\# \})$   
**shows**  $P(M)$   

$$\langle \text{proof} \rangle$$

**lemma** *MCollect-multiset [simp]*:

$$\text{multiset}(M) \implies \text{multiset}(\{\# x \in M. P(x) \# \})$$

$$\langle \text{proof} \rangle$$

**lemma** *mset-of-MCollect [simp]*:

$$\text{multiset}(M) \implies \text{mset-of}(\{\# x \in M. P(x) \# \}) \subseteq \text{mset-of}(M)$$

$\langle proof \rangle$

**lemma** *MCollect-mem-iff* [iff]:

$$x \in mset-of(\{\#x \in M. P(x)\# \}) \leftrightarrow x \in mset-of(M) \ \& \ P(x)$$

$\langle proof \rangle$

**lemma** *mcount-MCollect* [simp]:

$$mcount(\{\#x \in M. P(x)\# \}, a) = (if \ P(a) \ then \ mcount(M, a) \ else \ 0)$$

$\langle proof \rangle$

**lemma** *multiset-partition*:  $multiset(M) ==> M = \{\#x \in M. P(x)\# \} +\# \{\#x \in M. \sim P(x)\# \}$

$\langle proof \rangle$

**lemma** *natify-elem-is-self* [simp]:

$$[\mid multiset(M); a \in mset-of(M) \mid] ==> natify(M'a) = M'a$$

$\langle proof \rangle$

**lemma** *munion-eq-conv-diff*:  $[\mid multiset(M); multiset(N) \mid]$

$$\begin{aligned} ==> \quad & (M +\# \{\#a\# \} = N +\# \{\#b\# \}) \leftrightarrow (M = N \ \& \ a = b \mid \\ & M = N -\# \{\#a\# \} +\# \{\#b\# \} \ \& \ N = M -\# \{\#b\# \} +\# \{\#a\# \}) \end{aligned}$$

$\langle proof \rangle$

**lemma** *melem-diff-single*:

$$multiset(M) ==>$$

$$k \in mset-of(M -\# \{\#a\# \}) \leftrightarrow (k=a \ \& \ 1 < mcount(M, a)) \mid (k \neq a \ \& \ k \in mset-of(M))$$

$\langle proof \rangle$

**lemma** *munion-eq-conv-exist*:

$$[\mid M \in Mult(A); N \in Mult(A) \mid]$$

$$\begin{aligned} ==> \quad & (M +\# \{\#a\# \} = N +\# \{\#b\# \}) \leftrightarrow \\ & (M=N \ \& \ a=b \mid (\exists K \in Mult(A). M = K +\# \{\#b\# \} \ \& \ N = K +\# \{\#a\# \})) \end{aligned}$$

$\langle proof \rangle$

## 8.2 Multiset Orderings

**lemma** *multirel1-type*:  $multirel1(A, r) \subseteq Mult(A) * Mult(A)$

$\langle proof \rangle$

**lemma** *multirel1-0* [simp]:  $multirel1(0, r) = 0$

$\langle proof \rangle$

**lemma** *multirel1-iff*:

$$\langle N, M \rangle \in multirel1(A, r) \leftrightarrow$$

$$(\exists a. a \in A \ \&$$

$$(\exists M0. M0 \in Mult(A) \ \& \ (\exists K. K \in Mult(A) \ \&$$

$M = M0 + \# \{ \#a\# \} \ \& \ N = M0 + \# K \ \& \ (\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r))$   
 $\langle \text{proof} \rangle$

Monotonicity of *multirel1*

**lemma** *multirel1-mono1*:  $A \subseteq B \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(B, r)$   
 $\langle \text{proof} \rangle$

**lemma** *multirel1-mono2*:  $r \subseteq s \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(A, s)$   
 $\langle \text{proof} \rangle$

**lemma** *multirel1-mono*:  
 $[\mid A \subseteq B; r \subseteq s \mid] \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(B, s)$   
 $\langle \text{proof} \rangle$

### 8.3 Toward the proof of well-foundedness of *multirel1*

**lemma** *not-less-0* [iff]:  $\langle M, 0 \rangle \notin \text{multirel1}(A, r)$   
 $\langle \text{proof} \rangle$

**lemma** *less-munion*:  $[\mid \langle N, M0 + \# \{ \#a\# \} \rangle \in \text{multirel1}(A, r); M0 \in \text{Mult}(A)$   
 $\mid] \implies$   
 $(\exists M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \ \& \ N = M + \# \{ \#a\# \}) \mid$   
 $(\exists K. K \in \text{Mult}(A) \ \& \ (\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r) \ \& \ N = M0 + \# K)$   
 $\langle \text{proof} \rangle$

**lemma** *multirel1-base*:  $[\mid M \in \text{Mult}(A); a \in A \mid] \implies \langle M, M + \# \{ \#a\# \} \rangle \in \text{multirel1}(A, r)$   
 $\langle \text{proof} \rangle$

**lemma** *acc-0*:  $\text{acc}(0) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *lemma1*:  $[\mid \forall b \in A. \langle b, a \rangle \in r \implies$   
 $(\forall M \in \text{acc}(\text{multirel1}(A, r)). M + \# \{ \#b\# \} : \text{acc}(\text{multirel1}(A, r)))$ ;  
 $M0 \in \text{acc}(\text{multirel1}(A, r)); a \in A$ ;  
 $\forall M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \implies M + \# \{ \#a\# \} \in \text{acc}(\text{multirel1}(A, r))$   
 $\mid]$   
 $\implies M0 + \# \{ \#a\# \} \in \text{acc}(\text{multirel1}(A, r))$   
 $\langle \text{proof} \rangle$

**lemma** *lemma2*:  $[\mid \forall b \in A. \langle b, a \rangle \in r$   
 $\implies (\forall M \in \text{acc}(\text{multirel1}(A, r)). M + \# \{ \#b\# \} : \text{acc}(\text{multirel1}(A, r)))$ ;  
 $M \in \text{acc}(\text{multirel1}(A, r)); a \in A \mid] \implies M + \# \{ \#a\# \} \in \text{acc}(\text{multirel1}(A,$   
 $r))$   
 $\langle \text{proof} \rangle$

**lemma** *lemma3*:  $[\mid \text{wf}[A](r); a \in A \mid]$   
 $\implies \forall M \in \text{acc}(\text{multirel1}(A, r)). M + \# \{ \#a\# \} \in \text{acc}(\text{multirel1}(A, r))$   
 $\langle \text{proof} \rangle$

**lemma** *lemma4*:  $\text{multiset}(M) \implies \text{mset-of}(M) \subseteq A \dashv\dashv$   
 $\text{wf}[A](r) \dashv\dashv M \in \text{field}(\text{multirel1}(A, r)) \dashv\dashv M \in \text{acc}(\text{multirel1}(A, r))$   
 $\langle \text{proof} \rangle$

**lemma** *all-accessible*:  $[\text{wf}[A](r); M \in \text{Mult}(A); A \neq 0] \implies M \in \text{acc}(\text{multirel1}(A, r))$   
 $\langle \text{proof} \rangle$

**lemma** *wf-on-multirel1*:  $\text{wf}[A](r) \implies \text{wf}[A - \{0\}](\text{multirel1}(A, r))$   
 $\langle \text{proof} \rangle$

**lemma** *wf-multirel1*:  $\text{wf}(r) \implies \text{wf}(\text{multirel1}(\text{field}(r), r))$   
 $\langle \text{proof} \rangle$

**lemma** *multirel-type*:  $\text{multirel}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$   
 $\langle \text{proof} \rangle$

**lemma** *multirel-mono*:  
 $[\text{A} \subseteq \text{B}; r \subseteq s] \implies \text{multirel}(A, r) \subseteq \text{multirel}(B, s)$   
 $\langle \text{proof} \rangle$

**lemma** *add-diff-eq*:  $k \in \text{nat} \implies 0 < k \dashv\dashv n \# + k \# - 1 = n \# + (k \# - 1)$   
 $\langle \text{proof} \rangle$

**lemma** *mdiff-union-single-conv*:  $[\text{a} \in \text{mset-of}(J); \text{multiset}(I); \text{multiset}(J)]$   
 $\implies I + \# J - \# \{\#a\} = I + \# (J - \# \{\#a\})$   
 $\langle \text{proof} \rangle$

**lemma** *diff-add-commute*:  $[\text{n le m}; m \in \text{nat}; n \in \text{nat}; k \in \text{nat}] \implies m \# - n \# + k = m \# + k \# - n$   
 $\langle \text{proof} \rangle$

**lemma** *multirel-implies-one-step*:  
 $\langle M, N \rangle \in \text{multirel}(A, r) \implies$   
 $\text{trans}[A](r) \dashv\dashv$   
 $(\exists I J K.$   
 $I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$   
 $N = I + \# J \ \& \ M = I + \# K \ \& \ J \neq 0 \ \&$   
 $(\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r))$   
 $\langle \text{proof} \rangle$

**lemma** *melem-imp-eq-diff-union* [simp]:  $[[ a \in \text{mset-of}(M); \text{multiset}(M) ]] \implies M -\# \{ \#a\# \} +\# \{ \#a\# \} = M$   
 $\langle \text{proof} \rangle$

**lemma** *msize-eq-succ-imp-eq-union*:  
 $[[ \text{msize}(M) = \# \text{succ}(n); M \in \text{Mult}(A); n \in \text{nat} ]] \implies \exists a N. M = N +\# \{ \#a\# \} \ \& \ N \in \text{Mult}(A) \ \& \ a \in A$   
 $\langle \text{proof} \rangle$

**lemma** *one-step-implies-multirel-lemma* [rule-format (no-asm)]:  
 $n \in \text{nat} \implies$   
 $(\forall I J K.$   
 $I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$   
 $(\text{msize}(J) = \# n \ \& \ J \neq 0 \ \& \ (\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r))$   
 $\implies \langle I +\# K, I +\# J \rangle \in \text{multirel}(A, r))$   
 $\langle \text{proof} \rangle$

**lemma** *one-step-implies-multirel*:  
 $[[ J \neq 0; \forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r;$   
 $I \in \text{Mult}(A); J \in \text{Mult}(A); K \in \text{Mult}(A) ]] \implies \langle I +\# K, I +\# J \rangle \in \text{multirel}(A, r)$   
 $\langle \text{proof} \rangle$

**lemma** *multirel-irrefl-lemma*:  
 $\text{Finite}(A) \implies \text{part-ord}(A, r) \implies (\forall x \in A. \exists y \in A. \langle x, y \rangle \in r) \implies A = 0$   
 $\langle \text{proof} \rangle$

**lemma** *irrefl-on-multirel*:  
 $\text{part-ord}(A, r) \implies \text{irrefl}(\text{Mult}(A), \text{multirel}(A, r))$   
 $\langle \text{proof} \rangle$

**lemma** *trans-on-multirel*:  $\text{trans}[\text{Mult}(A)](\text{multirel}(A, r))$   
 $\langle \text{proof} \rangle$

**lemma** *multirel-trans*:  
 $[[ \langle M, N \rangle \in \text{multirel}(A, r); \langle N, K \rangle \in \text{multirel}(A, r) ]] \implies \langle M, K \rangle \in \text{multirel}(A, r)$   
 $\langle \text{proof} \rangle$

**lemma** *trans-multirel*:  $\text{trans}(\text{multirel}(A, r))$   
 $\langle \text{proof} \rangle$

**lemma** *part-ord-multirel*:  $\text{part-ord}(A, r) \implies \text{part-ord}(\text{Mult}(A), \text{multirel}(A, r))$   
 $\langle \text{proof} \rangle$

**lemma** *munion-multirel1-mono*:  
 $[| \langle M, N \rangle \in \text{multirel1}(A, r); K \in \text{Mult}(A) |] \implies \langle K +\# M, K +\# N \rangle \in \text{multirel1}(A, r)$   
 $\langle \text{proof} \rangle$

**lemma** *munion-multirel-mono2*:  
 $[| \langle M, N \rangle \in \text{multirel}(A, r); K \in \text{Mult}(A) |] \implies \langle K +\# M, K +\# N \rangle \in \text{multirel}(A, r)$   
 $\langle \text{proof} \rangle$

**lemma** *munion-multirel-mono1*:  
 $[| \langle M, N \rangle \in \text{multirel}(A, r); K \in \text{Mult}(A) |] \implies \langle M +\# K, N +\# K \rangle \in \text{multirel}(A, r)$   
 $\langle \text{proof} \rangle$

**lemma** *munion-multirel-mono*:  
 $[| \langle M, K \rangle \in \text{multirel}(A, r); \langle N, L \rangle \in \text{multirel}(A, r) |]$   
 $\implies \langle M +\# N, K +\# L \rangle \in \text{multirel}(A, r)$   
 $\langle \text{proof} \rangle$

## 8.4 Ordinal Multisets

**lemmas** *field-Memrel-mono* = *Memrel-mono* [THEN *field-mono*, *standard*]

**lemmas** *multirel-Memrel-mono* = *multirel-mono* [OF *field-Memrel-mono* *Memrel-mono*]

**lemma** *omultiset-is-multiset* [simp]:  $\text{omultiset}(M) \implies \text{multiset}(M)$   
 $\langle \text{proof} \rangle$

**lemma** *munion-omultiset* [simp]:  $[| \text{omultiset}(M); \text{omultiset}(N) |] \implies \text{omultiset}(M +\# N)$   
 $\langle \text{proof} \rangle$

**lemma** *mdiff-omultiset* [simp]:  $\text{omultiset}(M) \implies \text{omultiset}(M -\# N)$   
 $\langle \text{proof} \rangle$

**lemma** *irrefl-Memrel*:  $\text{Ord}(i) \implies \text{irrefl}(\text{field}(\text{Memrel}(i)), \text{Memrel}(i))$   
 $\langle \text{proof} \rangle$

**lemma** *trans-iff-trans-on*:  $\text{trans}(r) \iff \text{trans}[\text{field}(r)](r)$

$\langle proof \rangle$

**lemma** *part-ord-Memrel*:  $Ord(i) \implies part-ord(field(Memrel(i)), Memrel(i))$   
 $\langle proof \rangle$

**lemmas** *part-ord-mless = part-ord-Memrel* [THEN *part-ord-multirel, standard*]

**lemma** *mless-not-refl*:  $\sim(M <\# M)$   
 $\langle proof \rangle$

**lemmas** *mless-irrefl = mless-not-refl* [THEN *notE, standard, elim!*]

**lemma** *mless-trans*:  $[K <\# M; M <\# N] \implies K <\# N$   
 $\langle proof \rangle$

**lemma** *mless-not-sym*:  $M <\# N \implies \sim N <\# M$   
 $\langle proof \rangle$

**lemma** *mless-asy*:  $[M <\# N; \sim P \implies N <\# M] \implies P$   
 $\langle proof \rangle$

**lemma** *mle-refl* [*simp*]:  $omultiset(M) \implies M <\# = M$   
 $\langle proof \rangle$

**lemma** *mle-antisym*:  
 $[M <\# = N; N <\# = M] \implies M = N$   
 $\langle proof \rangle$

**lemma** *mle-trans*:  $[K <\# = M; M <\# = N] \implies K <\# = N$   
 $\langle proof \rangle$

**lemma** *mless-le-iff*:  $M <\# N \iff (M <\# = N \ \& \ M \neq N)$   
 $\langle proof \rangle$

**lemma** *munion-less-mono2*:  $[M <\# N; omultiset(K)] \implies K +\# M <\# K +\# N$   
 $\langle proof \rangle$

**lemma** *munion-less-mono1*:  $[| M <\# N; \text{omultiset}(K) |] \implies M +\# K <\# N +\# K$   
 $\langle \text{proof} \rangle$

**lemma** *mless-imp-omultiset*:  $M <\# N \implies \text{omultiset}(M) \ \& \ \text{omultiset}(N)$   
 $\langle \text{proof} \rangle$

**lemma** *munion-less-mono*:  $[| M <\# K; N <\# L |] \implies M +\# N <\# K +\# L$   
 $\langle \text{proof} \rangle$

**lemma** *mle-imp-omultiset*:  $M <\# = N \implies \text{omultiset}(M) \ \& \ \text{omultiset}(N)$   
 $\langle \text{proof} \rangle$

**lemma** *mle-mono*:  $[| M <\# = K; N <\# = L |] \implies M +\# N <\# = K +\# L$   
 $\langle \text{proof} \rangle$

**lemma** *omultiset-0 [iff]*:  $\text{omultiset}(0)$   
 $\langle \text{proof} \rangle$

**lemma** *empty-leI [simp]*:  $\text{omultiset}(M) \implies 0 <\# = M$   
 $\langle \text{proof} \rangle$

**lemma** *munion-upper1*:  $[| \text{omultiset}(M); \text{omultiset}(N) |] \implies M <\# = M +\# N$   
 $\langle \text{proof} \rangle$

**end**

## 9 An operator to “map” a relation over a list

**theory** *Rmap* **imports** *Main* **begin**

**consts**

*rmap* ::  $i \implies i$

**inductive**

**domains**  $\text{rmap}(r) \subseteq \text{list}(\text{domain}(r)) \times \text{list}(\text{range}(r))$

**intros**

*NilI*:  $\langle \text{Nil}, \text{Nil} \rangle \in \text{rmap}(r)$

*ConsI*:  $[| \langle x, y \rangle: r; \langle xs, ys \rangle \in \text{rmap}(r) |] \implies \langle \text{Cons}(x, xs), \text{Cons}(y, ys) \rangle \in \text{rmap}(r)$

**type-intros** *domainI rangeI list.intros*

**lemma** *rmap-mono*:  $r \subseteq s \implies \text{rmap}(r) \subseteq \text{rmap}(s)$   
 $\langle \text{proof} \rangle$



**inductive-cases**

*Nil-rmap-case* [elim!]:  $\langle Nil, zs \rangle \in rmap(r)$

**and** *Cons-rmap-case* [elim!]:  $\langle Cons(x, xs), zs \rangle \in rmap(r)$

**declare** *rmap.intros* [intro]

**lemma** *rmap-rel-type*:  $r \subseteq A \times B \implies rmap(r) \subseteq list(A) \times list(B)$   
*<proof>*

**lemma** *rmap-total*:  $A \subseteq domain(r) \implies list(A) \subseteq domain(rmap(r))$   
*<proof>*

**lemma** *rmap-functional*:  $function(r) \implies function(rmap(r))$   
*<proof>*

If  $f$  is a function then  $rmap(f)$  behaves as expected.

**lemma** *rmap-fun-type*:  $f \in A \multimap B \implies rmap(f): list(A) \multimap list(B)$   
*<proof>*

**lemma** *rmap-Nil*:  $rmap(f)'Nil = Nil$   
*<proof>*

**lemma** *rmap-Cons*:  $[| f \in A \multimap B; x \in A; xs: list(A) |]$   
 $\implies rmap(f)'Cons(x, xs) = Cons(f'x, rmap(f)'xs)$   
*<proof>*

**end**

## 10 Meta-theory of propositional logic

**theory** *PropLog* **imports** *Main* **begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If  $H \models p$  then  $G \models p$  where  $G \in Fin(H)$

### 10.1 The datatype of propositions

**consts**

*propn* ::  $i$

**datatype** *propn* =

*Fls*

| *Var* ( $n \in \text{nat}$ )    ( $\#$ - [100] 100)  
| *Imp* ( $p \in \text{propn}$ ,  $q \in \text{propn}$ )    (**infixr**  $\Rightarrow$  90)

## 10.2 The proof system

**consts** *thms*    ::  $i \Rightarrow i$

### abbreviation

*thms-syntax* ::  $[i, i] \Rightarrow o$     (**infixl**  $|-$  50)  
**where**  $H \mid - p == p \in \text{thms}(H)$

### inductive

**domains** *thms*( $H$ )  $\subseteq \text{propn}$

#### intros

$H$ :  $[\mid p \in H; \mid p \in \text{propn} \mid] \Rightarrow H \mid - p$   
 $K$ :  $[\mid p \in \text{propn}; \mid q \in \text{propn} \mid] \Rightarrow H \mid - p \Rightarrow q \Rightarrow p$   
 $S$ :  $[\mid p \in \text{propn}; \mid q \in \text{propn}; \mid r \in \text{propn} \mid]$   
 $\Rightarrow H \mid - (p \Rightarrow q \Rightarrow r) \Rightarrow (p \Rightarrow q) \Rightarrow p \Rightarrow r$   
 $DN$ :  $p \in \text{propn} \Rightarrow H \mid - ((p \Rightarrow \text{Fls}) \Rightarrow \text{Fls}) \Rightarrow p$   
 $MP$ :  $[\mid H \mid - p \Rightarrow q; \mid H \mid - p; \mid p \in \text{propn}; \mid q \in \text{propn} \mid] \Rightarrow H \mid - q$   
**type-intros** *propn.intros*

**declare** *propn.intros* [*simp*]

## 10.3 The semantics

### 10.3.1 Semantics of propositional logic.

#### consts

*is-true-fun* ::  $[i, i] \Rightarrow i$

#### primrec

*is-true-fun*(*Fls*,  $t$ ) = 0  
*is-true-fun*(*Var*( $v$ ),  $t$ ) = (if  $v \in t$  then 1 else 0)  
*is-true-fun*( $p \Rightarrow q$ ,  $t$ ) = (if *is-true-fun*( $p$ ,  $t$ ) = 1 then *is-true-fun*( $q$ ,  $t$ ) else 1)

#### definition

*is-true* ::  $[i, i] \Rightarrow o$  **where**  
*is-true*( $p$ ,  $t$ ) == *is-true-fun*( $p$ ,  $t$ ) = 1  
— this definition is required since predicates can't be recursive

**lemma** *is-true-Fls* [*simp*]: *is-true*(*Fls*,  $t$ )  $\leftrightarrow$  *False*  
 $\langle \text{proof} \rangle$

**lemma** *is-true-Var* [*simp*]: *is-true*( $\#v$ ,  $t$ )  $\leftrightarrow$   $v \in t$   
 $\langle \text{proof} \rangle$

**lemma** *is-true-Imp* [*simp*]: *is-true*( $p \Rightarrow q$ ,  $t$ )  $\leftrightarrow$  (*is-true*( $p$ ,  $t$ )  $\rightarrow$  *is-true*( $q$ ,  $t$ ))  
 $\langle \text{proof} \rangle$

### 10.3.2 Logical consequence

For every valuation, if all elements of  $H$  are true then so is  $p$ .

**definition**

$logcon :: [i,i] => o$  (infixl  $|=$  50) **where**  
 $H \models p == \forall t. (\forall q \in H. is\_true(q,t)) \dashv\dashv is\_true(p,t)$

A finite set of hypotheses from  $t$  and the *Vars* in  $p$ .

**consts**

$hyps :: [i,i] => i$

**primrec**

$hyps(Fls, t) = 0$   
 $hyps(Var(v), t) = (if\ v \in t\ then\ \{\#v\}\ else\ \{\#v=>Fls\})$   
 $hyps(p=>q, t) = hyps(p,t) \cup hyps(q,t)$

## 10.4 Proof theory of propositional logic

**lemma** *thms-mono*:  $G \subseteq H ==> thms(G) \subseteq thms(H)$   
 $\langle proof \rangle$

**lemmas** *thms-in-pl* = *thms.dom-subset* [THEN subsetD]

**inductive-cases** *ImpE*:  $p=>q \in propn$

**lemma** *thms-MP*:  $[| H \vdash p=>q; H \vdash p |] ==> H \vdash q$   
 — Stronger Modus Ponens rule: no typechecking!  
 $\langle proof \rangle$

**lemma** *thms-I*:  $p \in propn ==> H \vdash p=>p$   
 — Rule is called *I* for Identity Combinator, not for Introduction.  
 $\langle proof \rangle$

### 10.4.1 Weakening, left and right

**lemma** *weaken-left*:  $[| G \subseteq H; G \vdash p |] ==> H \vdash p$   
 — Order of premises is convenient with *THEN*  
 $\langle proof \rangle$

**lemma** *weaken-left-cons*:  $H \vdash p ==> cons(a,H) \vdash p$   
 $\langle proof \rangle$

**lemmas** *weaken-left-Un1* = *Un-upper1* [THEN *weaken-left*]

**lemmas** *weaken-left-Un2* = *Un-upper2* [THEN *weaken-left*]

**lemma** *weaken-right*:  $[| H \vdash q; p \in propn |] ==> H \vdash p=>q$   
 $\langle proof \rangle$

### 10.4.2 The deduction theorem

**theorem** *deduction*:  $[| cons(p,H) \vdash q; p \in propn |] ==> H \vdash p=>q$

$\langle proof \rangle$

### 10.4.3 The cut rule

**lemma** *cut*:  $[| H |-p; \text{cons}(p,H) |- q |] ==> H |- q$   
 $\langle proof \rangle$

**lemma** *thms-FlsE*:  $[| H |- Fls; p \in \text{propn} |] ==> H |- p$   
 $\langle proof \rangle$

**lemma** *thms-notE*:  $[| H |- p=>Fls; H |- p; q \in \text{propn} |] ==> H |- q$   
 $\langle proof \rangle$

### 10.4.4 Soundness of the rules wrt truth-table semantics

**theorem** *soundness*:  $H |- p ==> H |= p$   
 $\langle proof \rangle$

## 10.5 Completeness

### 10.5.1 Towards the completeness proof

**lemma** *Fls-Imp*:  $[| H |- p=>Fls; q \in \text{propn} |] ==> H |- p=>q$   
 $\langle proof \rangle$

**lemma** *Imp-Fls*:  $[| H |- p; H |- q=>Fls |] ==> H |- (p=>q)=>Fls$   
 $\langle proof \rangle$

**lemma** *hyps-thms-if*:  
 $p \in \text{propn} ==> \text{hyps}(p,t) |- (\text{if is-true}(p,t) \text{ then } p \text{ else } p=>Fls)$   
 — Typical example of strengthening the induction statement.  
 $\langle proof \rangle$

**lemma** *logcon-thms-p*:  $[| p \in \text{propn}; 0 |= p |] ==> \text{hyps}(p,t) |- p$   
 — Key lemma for completeness; yields a set of assumptions satisfying  $p$   
 $\langle proof \rangle$

For proving certain theorems in our new propositional logic.

**lemmas** *propn-SIs* = *propn.intros deduction*  
**and** *propn-Is* = *thms-in-pl thms.H thms.H [THEN thms-MP]*

The excluded middle in the form of an elimination rule.

**lemma** *thms-excluded-middle*:  
 $[| p \in \text{propn}; q \in \text{propn} |] ==> H |- (p=>q) ==> ((p=>Fls)=>q) ==> q$   
 $\langle proof \rangle$

**lemma** *thms-excluded-middle-rule*:  
 $[| \text{cons}(p,H) |- q; \text{cons}(p=>Fls,H) |- q; p \in \text{propn} |] ==> H |- q$   
 — Hard to prove directly because it requires cuts  
 $\langle proof \rangle$

### 10.5.2 Completeness – lemmas for reducing the set of assumptions

For the case  $\text{hyps}(p, t) - \text{cons}(\#v, Y) \vdash p$  we also have  $\text{hyps}(p, t) - \{\#v\} \subseteq \text{hyps}(p, t - \{v\})$ .

**lemma** *hyps-Diff*:

$$p \in \text{propn} \implies \text{hyps}(p, t - \{v\}) \subseteq \text{cons}(\#v \Rightarrow \text{Fls}, \text{hyps}(p, t) - \{\#v\})$$

*<proof>*

For the case  $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow \text{Fls}, Y) \vdash p$  we also have  $\text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\} \subseteq \text{hyps}(p, \text{cons}(v, t))$ .

**lemma** *hyps-cons*:

$$p \in \text{propn} \implies \text{hyps}(p, \text{cons}(v, t)) \subseteq \text{cons}(\#v, \text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\})$$

*<proof>*

Two lemmas for use with *weaken-left*

**lemma** *cons-Diff-same*:  $B - C \subseteq \text{cons}(a, B - \text{cons}(a, C))$

*<proof>*

**lemma** *cons-Diff-subset2*:  $\text{cons}(a, B - \{c\}) - D \subseteq \text{cons}(a, B - \text{cons}(c, D))$

*<proof>*

The set  $\text{hyps}(p, t)$  is finite, and elements have the form  $\#v$  or  $\#v \Rightarrow \text{Fls}$ ; could probably prove the stronger  $\text{hyps}(p, t) \in \text{Fin}(\text{hyps}(p, 0) \cup \text{hyps}(p, \text{nat}))$ .

**lemma** *hyps-finite*:  $p \in \text{propn} \implies \text{hyps}(p, t) \in \text{Fin}(\bigcup v \in \text{nat}. \{\#v, \#v \Rightarrow \text{Fls}\})$

*<proof>*

**lemmas** *Diff-weaken-left = Diff-mono [OF - subset-refl, THEN weaken-left]*

Induction on the finite set of assumptions  $\text{hyps}(p, t0)$ . We may repeatedly subtract assumptions until none are left!

**lemma** *completeness-0-lemma* [rule-format]:

$$[\mid p \in \text{propn}; \ 0 \models p \mid] \implies \forall t. \text{hyps}(p, t) - \text{hyps}(p, t0) \vdash p$$

*<proof>*

### 10.5.3 Completeness theorem

**lemma** *completeness-0*:  $[\mid p \in \text{propn}; \ 0 \models p \mid] \implies 0 \vdash p$

— The base case for completeness

*<proof>*

**lemma** *logcon-Imp*:  $[\mid \text{cons}(p, H) \models q \mid] \implies H \models p \Rightarrow q$

— A semantic analogue of the Deduction Theorem

*<proof>*

**lemma** *completeness*:

$$H \in \text{Fin}(\text{propn}) \implies p \in \text{propn} \implies H \models p \implies H \Vdash p$$

$$\langle \text{proof} \rangle$$

**theorem** *thms-iff*:  $H \in \text{Fin}(\text{propn}) \implies H \Vdash p \iff H \models p \wedge p \in \text{propn}$ 

$$\langle \text{proof} \rangle$$

**end**

## 11 Lists of n elements

**theory** *ListN* **imports** *Main* **begin**

Inductive definition of lists of  $n$  elements; see [?].

**consts** *listn* ::  $i \Rightarrow i$   
**inductive**  
**domains**  $\text{listn}(A) \subseteq \text{nat} \times \text{list}(A)$   
**intros**  
 $\text{NilI}: \langle 0, \text{Nil} \rangle \in \text{listn}(A)$   
 $\text{ConsI}: [\mid a \in A; \langle n, l \rangle \in \text{listn}(A) \mid] \implies \langle \text{succ}(n), \text{Cons}(a, l) \rangle \in \text{listn}(A)$   
**type-intros** *nat-typechecks* *list.intros*

**lemma** *list-into-listn*:  $l \in \text{list}(A) \implies \langle \text{length}(l), l \rangle \in \text{listn}(A)$ 

$$\langle \text{proof} \rangle$$

**lemma** *listn-iff*:  $\langle n, l \rangle \in \text{listn}(A) \iff l \in \text{list}(A) \ \& \ \text{length}(l) = n$ 

$$\langle \text{proof} \rangle$$

**lemma** *listn-image-eq*:  $\text{listn}(A) \text{ ``}\{n\} = \{l \in \text{list}(A). \text{length}(l) = n\}$ 

$$\langle \text{proof} \rangle$$

**lemma** *listn-mono*:  $A \subseteq B \implies \text{listn}(A) \subseteq \text{listn}(B)$ 

$$\langle \text{proof} \rangle$$

**lemma** *listn-append*:  

$$[\mid \langle n, l \rangle \in \text{listn}(A); \langle n', l' \rangle \in \text{listn}(A) \mid] \implies \langle n \# + n', l @ l' \rangle \in \text{listn}(A)$$

$$\langle \text{proof} \rangle$$

**inductive-cases**  
 $\text{Nil-listn-case}: \langle i, \text{Nil} \rangle \in \text{listn}(A)$   
**and**  $\text{Cons-listn-case}: \langle i, \text{Cons}(x, l) \rangle \in \text{listn}(A)$

**inductive-cases**  
 $\text{zero-listn-case}: \langle 0, l \rangle \in \text{listn}(A)$   
**and**  $\text{succ-listn-case}: \langle \text{succ}(i), l \rangle \in \text{listn}(A)$

**end**

## 12 Combinatory Logic example: the Church-Rosser Theorem

**theory** *Comb* **imports** *Main* **begin**

Curiously, combinators do not include free variables.

Example taken from [?].

### 12.1 Definitions

Datatype definition of combinators  $S$  and  $K$ .

```
consts comb :: i
datatype comb =
  K
  | S
  | app (p ∈ comb, q ∈ comb)    (infixl @@ 90)
```

```
notation (xsymbols)
  app (infixl · 90)
```

Inductive definition of contractions,  $-1->$  and (multi-step) reductions,  $--->$ .

```
consts
  contract :: i
```

```
abbreviation
  contract-syntax :: [i,i] ==> o    (infixl -1-> 50)
  where p -1-> q == <p,q> ∈ contract
```

```
abbreviation
  contract-multi :: [i,i] ==> o    (infixl ---> 50)
  where p ---> q == <p,q> ∈ contract^*
```

```
inductive
  domains contract ⊆ comb × comb
```

```
intros
  K: [| p ∈ comb; q ∈ comb |] ==> K·p·q -1-> p
  S: [| p ∈ comb; q ∈ comb; r ∈ comb |] ==> S·p·q·r -1-> (p·r)·(q·r)
  Ap1: [| p -1-> q; r ∈ comb |] ==> p·r -1-> q·r
  Ap2: [| p -1-> q; r ∈ comb |] ==> r·p -1-> r·q
type-intros comb.intros
```

Inductive definition of parallel contractions,  $=1=>$  and (multi-step) parallel reductions,  $===>$ .

```
consts
  parcontract :: i
```

**abbreviation**

*parcontract-syntax* ::  $[i, i] \Rightarrow o$  (**infixl**  $=1\Rightarrow$  50)  
**where**  $p =1\Rightarrow q == \langle p, q \rangle \in \text{parcontract}$

**abbreviation**

*parcontract-multi* ::  $[i, i] \Rightarrow o$  (**infixl**  $===\Rightarrow$  50)  
**where**  $p ===\Rightarrow q == \langle p, q \rangle \in \text{parcontract}^+ +$

**inductive**

**domains** *parcontract*  $\subseteq \text{comb} \times \text{comb}$

**intros**

*refl*:  $[p \in \text{comb}] \Rightarrow p =1\Rightarrow p$   
*K*:  $[p \in \text{comb}; q \in \text{comb}] \Rightarrow K \cdot p \cdot q =1\Rightarrow p$   
*S*:  $[p \in \text{comb}; q \in \text{comb}; r \in \text{comb}] \Rightarrow S \cdot p \cdot q \cdot r =1\Rightarrow (p \cdot r) \cdot (q \cdot r)$   
*Ap*:  $[p =1\Rightarrow q; r =1\Rightarrow s] \Rightarrow p \cdot r =1\Rightarrow q \cdot s$   
**type-intros** *comb.intros*

Misc definitions.

**definition**

*I* :: *i* **where**  
*I* == *S* · *K* · *K*

**definition**

*diamond* :: *i*  $\Rightarrow o$  **where**  
*diamond*(*r*) ==  
 $\forall x y. \langle x, y \rangle \in r \longrightarrow (\forall y'. \langle x, y' \rangle \in r \longrightarrow (\exists z. \langle y, z \rangle \in r \ \& \ \langle y', z \rangle \in r))$

## 12.2 Transitive closure preserves the Church-Rosser property

**lemma** *diamond-strip-lemmaD* [*rule-format*]:

$[ [ \text{diamond}(r); \langle x, y \rangle : r^+ ] \Rightarrow$   
 $\forall y'. \langle x, y' \rangle : r \longrightarrow (\exists z. \langle y', z \rangle : r^+ \ \& \ \langle y, z \rangle : r)$   
 $\langle \text{proof} \rangle$

**lemma** *diamond-trancl*:  $\text{diamond}(r) \Rightarrow \text{diamond}(r^+)$   
 $\langle \text{proof} \rangle$

**inductive-cases** *Ap-E* [*elim!*]:  $p \cdot q \in \text{comb}$

**declare** *comb.intros* [*intro!*]

## 12.3 Results about Contraction

For type checking: replaces  $a -1\Rightarrow b$  by  $a, b \in \text{comb}$ .

**lemmas** *contract-combE2* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaE2*]

**and** *contract-combD1* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaD1*]



```

and contract-combD2 = contract.dom-subset [THEN subsetD, THEN SigmaD2]

lemma field-contract-eq: field(contract) = comb
  <proof>

lemmas reduction-refl =
  field-contract-eq [THEN equalityD2, THEN subsetD, THEN rtranc1-refl]

lemmas rtranc1-into-rtranc12 =
  r-into-rtranc1 [THEN trans-rtranc1 [THEN transD]]

declare reduction-refl [intro!] contract.K [intro!] contract.S [intro!]

lemmas reduction-rls =
  contract.K [THEN rtranc1-into-rtranc12]
  contract.S [THEN rtranc1-into-rtranc12]
  contract.Ap1 [THEN rtranc1-into-rtranc12]
  contract.Ap2 [THEN rtranc1-into-rtranc12]

lemma p ∈ comb ==> I.p ----> p
  — Example only: not used
  <proof>

lemma comb-I: I ∈ comb
  <proof>

```

## 12.4 Non-contraction results

Derive a case for each combinator constructor.

### inductive-cases

```

  K-contractE [elim!]: K -1-> r
and S-contractE [elim!]: S -1-> r
and Ap-contractE [elim!]: p.q -1-> r

lemma I-contract-E: I -1-> r ==> P
  <proof>

lemma K1-contractD: K.p -1-> r ==> (∃ q. r = K.q & p -1-> q)
  <proof>

lemma Ap-reduce1: [ p ----> q; r ∈ comb ] ==> p.r ----> q.r
  <proof>

lemma Ap-reduce2: [ p ----> q; r ∈ comb ] ==> r.p ----> r.q
  <proof>

Counterexample to the diamond property for -1->.

lemma KIII-contract1: K.I.(I.I) -1-> I
  <proof>

```

**lemma** *KIII-contract2*:  $K \cdot I \cdot (I \cdot I) - 1 \rightarrow K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I))$   
 $\langle \text{proof} \rangle$

**lemma** *KIII-contract3*:  $K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I)) - 1 \rightarrow I$   
 $\langle \text{proof} \rangle$

**lemma** *not-diamond-contract*:  $\neg \text{diamond}(\text{contract})$   
 $\langle \text{proof} \rangle$

## 12.5 Results about Parallel Contraction

For type checking: replaces  $a = 1 \Rightarrow b$  by  $a, b \in \text{comb}$

**lemmas** *parcontract-combE2* = *parcontract.dom-subset* [*THEN subsetD*, *THEN SigmaE2*]  
**and** *parcontract-combD1* = *parcontract.dom-subset* [*THEN subsetD*, *THEN SigmaD1*]  
**and** *parcontract-combD2* = *parcontract.dom-subset* [*THEN subsetD*, *THEN SigmaD2*]

**lemma** *field-parcontract-eq*:  $\text{field}(\text{parcontract}) = \text{comb}$   
 $\langle \text{proof} \rangle$

Derive a case for each combinator constructor.

**inductive-cases**

*K-parcontractE* [*elim!*]:  $K = 1 \Rightarrow r$   
**and** *S-parcontractE* [*elim!*]:  $S = 1 \Rightarrow r$   
**and** *Ap-parcontractE* [*elim!*]:  $p \cdot q = 1 \Rightarrow r$

**declare** *parcontract.intros* [*intro*]

## 12.6 Basic properties of parallel contraction

**lemma** *K1-parcontractD* [*dest!*]:  
 $K \cdot p = 1 \Rightarrow r \Rightarrow (\exists p'. r = K \cdot p' \ \& \ p = 1 \Rightarrow p')$   
 $\langle \text{proof} \rangle$

**lemma** *S1-parcontractD* [*dest!*]:  
 $S \cdot p = 1 \Rightarrow r \Rightarrow (\exists p'. r = S \cdot p' \ \& \ p = 1 \Rightarrow p')$   
 $\langle \text{proof} \rangle$

**lemma** *S2-parcontractD* [*dest!*]:  
 $S \cdot p \cdot q = 1 \Rightarrow r \Rightarrow (\exists p' q'. r = S \cdot p' \cdot q' \ \& \ p = 1 \Rightarrow p' \ \& \ q = 1 \Rightarrow q')$   
 $\langle \text{proof} \rangle$

**lemma** *diamond-parcontract*:  $\text{diamond}(\text{parcontract})$   
— Church-Rosser property for parallel contraction  
 $\langle \text{proof} \rangle$

Equivalence of  $p \dashv\dashv \rightarrow q$  and  $p \dashv\dashv\dashv \rightarrow q$ .

**lemma** *contract-imp-parcontract*:  $p \dashv 1 \dashv \rightarrow q \dashv\dashv \rightarrow p \dashv 1 \dashv \rightarrow q$   
 $\langle proof \rangle$

**lemma** *reduce-imp-parreduce*:  $p \dashv\dashv \rightarrow q \dashv\dashv \rightarrow p \dashv\dashv\dashv \rightarrow q$   
 $\langle proof \rangle$

**lemma** *parcontract-imp-reduce*:  $p \dashv 1 \dashv \rightarrow q \dashv\dashv \rightarrow p \dashv\dashv \rightarrow q$   
 $\langle proof \rangle$

**lemma** *parreduce-imp-reduce*:  $p \dashv\dashv\dashv \rightarrow q \dashv\dashv \rightarrow p \dashv\dashv \rightarrow q$   
 $\langle proof \rangle$

**lemma** *parreduce-iff-reduce*:  $p \dashv\dashv\dashv \rightarrow q \dashv\dashv \rightarrow p \dashv\dashv \rightarrow q$   
 $\langle proof \rangle$

end

## 13 Primitive Recursive Functions: the inductive definition

**theory** *Primrec* **imports** *Main* **begin**

Proof adopted from [?].

See also [?, page 250, exercise 11].

### 13.1 Basic definitions

**definition**

$SC :: i \text{ where}$   
 $SC == \lambda l \in list(nat). list-case(0, \lambda x xs. succ(x), l)$

**definition**

$CONSTANT :: i \Rightarrow i \text{ where}$   
 $CONSTANT(k) == \lambda l \in list(nat). k$

**definition**

$PROJ :: i \Rightarrow i \text{ where}$   
 $PROJ(i) == \lambda l \in list(nat). list-case(0, \lambda x xs. x, drop(i, l))$

**definition**

$COMP :: [i, i] \Rightarrow i \text{ where}$   
 $COMP(g, fs) == \lambda l \in list(nat). g \text{ ' } map(\lambda f. f^l, fs)$

**definition**

$PREC :: [i, i] \Rightarrow i \text{ where}$

$PREC(f,g) ==$   
 $\lambda l \in list(nat). list-case(0,$   
 $\lambda x xs. rec(x, f'xs, \lambda y r. g \text{ ' } Cons(r, Cons(y, xs))), l)$   
— Note that  $g$  is applied first to  $PREC(f, g) \text{ ' } y$  and then to  $y$ !

**consts**

$ACK :: i ==> i$

**primrec**

$ACK(0) = SC$

$ACK(succ(i)) = PREC (CONSTANT (ACK(i) \text{ ' } [1]), COMP(ACK(i), [PROJ(0)]))$

**abbreviation**

$ack :: [i,i] ==> i$  **where**

$ack(x,y) == ACK(x) \text{ ' } [y]$

Useful special cases of evaluation.

**lemma**  $SC$ :  $[[ x \in nat; l \in list(nat) ]] ==> SC \text{ ' } (Cons(x,l)) = succ(x)$   
 $\langle proof \rangle$

**lemma**  $CONSTANT$ :  $l \in list(nat) ==> CONSTANT(k) \text{ ' } l = k$   
 $\langle proof \rangle$

**lemma**  $PROJ-0$ :  $[[ x \in nat; l \in list(nat) ]] ==> PROJ(0) \text{ ' } (Cons(x,l)) = x$   
 $\langle proof \rangle$

**lemma**  $COMP-1$ :  $l \in list(nat) ==> COMP(g,[f]) \text{ ' } l = g \text{ ' } [f'l]$   
 $\langle proof \rangle$

**lemma**  $PREC-0$ :  $l \in list(nat) ==> PREC(f,g) \text{ ' } (Cons(0,l)) = f'l$   
 $\langle proof \rangle$

**lemma**  $PREC-succ$ :

$[[ x \in nat; l \in list(nat) ]]$   
 $==> PREC(f,g) \text{ ' } (Cons(succ(x),l)) =$   
 $g \text{ ' } Cons(PREC(f,g) \text{ ' } (Cons(x,l)), Cons(x,l))$   
 $\langle proof \rangle$

## 13.2 Inductive definition of the PR functions

**consts**

$prim-rec :: i$

**inductive**

**domains**  $prim-rec \subseteq list(nat) \rightarrow nat$

**intros**

$SC \in prim-rec$

$k \in nat ==> CONSTANT(k) \in prim-rec$

$i \in nat ==> PROJ(i) \in prim-rec$

$[[ g \in prim-rec; fs \in list(prim-rec) ]] ==> COMP(g,fs) \in prim-rec$

$[[f \in \text{prim-rec}; g \in \text{prim-rec}] \implies \text{PREC}(f,g) \in \text{prim-rec}]$   
**monos** *list-mono*  
**con-defs** *SC-def CONSTANT-def PROJ-def COMP-def PREC-def*  
**type-intros** *nat-typechecks list.intros*  
*lam-type list-case-type drop-type map-type*  
*apply-type rec-type*

**lemma** *prim-rec-into-fun*  $[TC]: c \in \text{prim-rec} \implies c \in \text{list}(\text{nat}) \rightarrow \text{nat}$   
 $\langle \text{proof} \rangle$

**lemmas**  $[TC] = \text{apply-type } [OF \text{ prim-rec-into-fun}]$

**declare** *prim-rec.intros*  $[TC]$   
**declare** *nat-into-Ord*  $[TC]$   
**declare** *rec-type*  $[TC]$

**lemma** *ACK-in-prim-rec*  $[TC]: i \in \text{nat} \implies \text{ACK}(i) \in \text{prim-rec}$   
 $\langle \text{proof} \rangle$

**lemma** *ack-type*  $[TC]: [[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i,j) \in \text{nat}$   
 $\langle \text{proof} \rangle$

### 13.3 Ackermann's function cases

**lemma** *ack-0*:  $j \in \text{nat} \implies \text{ack}(0,j) = \text{succ}(j)$   
 — PROPERTY A 1  
 $\langle \text{proof} \rangle$

**lemma** *ack-succ-0*:  $\text{ack}(\text{succ}(i), 0) = \text{ack}(i,1)$   
 — PROPERTY A 2  
 $\langle \text{proof} \rangle$

**lemma** *ack-succ-succ*:  
 $[[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(\text{succ}(i), \text{succ}(j)) = \text{ack}(i, \text{ack}(\text{succ}(i), j))$   
 — PROPERTY A 3  
 $\langle \text{proof} \rangle$

**lemmas**  $[simp] = \text{ack-0 } \text{ack-succ-0 } \text{ack-succ-succ } \text{ack-type}$   
**and**  $[simp \text{ del}] = \text{ACK.simps}$

**lemma** *lt-ack2*:  $i \in \text{nat} \implies j \in \text{nat} \implies j < \text{ack}(i,j)$   
 — PROPERTY A 4  
 $\langle \text{proof} \rangle$

**lemma** *ack-lt-ack-succ2*:  $[[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i,j) < \text{ack}(i, \text{succ}(j))$   
 — PROPERTY A 5-, the single-step lemma  
 $\langle \text{proof} \rangle$

**lemma** *ack-lt-mono2*:  $[[j < k; i \in \text{nat}; k \in \text{nat}]] \implies \text{ack}(i, j) < \text{ack}(i, k)$   
 — PROPERTY A 5, monotonicity for <  
 $\langle \text{proof} \rangle$

**lemma** *ack-le-mono2*:  $[[j \leq k; i \in \text{nat}; k \in \text{nat}]] \implies \text{ack}(i, j) \leq \text{ack}(i, k)$   
 — PROPERTY A 5', monotonicity for  $\leq$   
 $\langle \text{proof} \rangle$

**lemma** *ack2-le-ack1*:  
 $[[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i, \text{succ}(j)) \leq \text{ack}(\text{succ}(i), j)$   
 — PROPERTY A 6  
 $\langle \text{proof} \rangle$

**lemma** *ack-lt-ack-succ1*:  $[[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i, j) < \text{ack}(\text{succ}(i), j)$   
 — PROPERTY A 7-, the single-step lemma  
 $\langle \text{proof} \rangle$

**lemma** *ack-lt-mono1*:  $[[i < j; j \in \text{nat}; k \in \text{nat}]] \implies \text{ack}(i, k) < \text{ack}(j, k)$   
 — PROPERTY A 7, monotonicity for <  
 $\langle \text{proof} \rangle$

**lemma** *ack-le-mono1*:  $[[i \leq j; j \in \text{nat}; k \in \text{nat}]] \implies \text{ack}(i, k) \leq \text{ack}(j, k)$   
 — PROPERTY A 7', monotonicity for  $\leq$   
 $\langle \text{proof} \rangle$

**lemma** *ack-1*:  $j \in \text{nat} \implies \text{ack}(1, j) = \text{succ}(\text{succ}(j))$   
 — PROPERTY A 8  
 $\langle \text{proof} \rangle$

**lemma** *ack-2*:  $j \in \text{nat} \implies \text{ack}(\text{succ}(1), j) = \text{succ}(\text{succ}(\text{succ}(j \# + j)))$   
 — PROPERTY A 9  
 $\langle \text{proof} \rangle$

**lemma** *ack-nest-bound*:  
 $[[i1 \in \text{nat}; i2 \in \text{nat}; j \in \text{nat}]]$   
 $\implies \text{ack}(i1, \text{ack}(i2, j)) < \text{ack}(\text{succ}(\text{succ}(i1 \# + i2)), j)$   
 — PROPERTY A 10  
 $\langle \text{proof} \rangle$

**lemma** *ack-add-bound*:  
 $[[i1 \in \text{nat}; i2 \in \text{nat}; j \in \text{nat}]]$   
 $\implies \text{ack}(i1, j) \# + \text{ack}(i2, j) < \text{ack}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(i1 \# + i2)))), j)$   
 — PROPERTY A 11  
 $\langle \text{proof} \rangle$

**lemma** *ack-add-bound2*:  
 $[[i < \text{ack}(k, j); j \in \text{nat}; k \in \text{nat}]]$   
 $\implies i \# + j < \text{ack}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(k)))), j)$

— PROPERTY A 12.  
 — Article uses existential quantifier but the ALF proof used  $k \# + \text{integ-of}(Pls \text{ BIT } 1 \text{ BIT } 0 \text{ BIT } 0)$ .  
 — Quantified version must be nested  $\exists k'. \forall i, j \dots$   
 $\langle \text{proof} \rangle$

### 13.4 Main result

**declare** *list-add-type* [simp]

**lemma** *SC-case*:  $l \in \text{list}(\text{nat}) \implies SC \text{ ' } l < \text{ack}(1, \text{list-add}(l))$   
 $\langle \text{proof} \rangle$

**lemma** *lt-ack1*:  $[\mid i \in \text{nat}; j \in \text{nat} \mid] \implies i < \text{ack}(i, j)$   
 — PROPERTY A 4'? Extra lemma needed for *CONSTANT* case, constant functions.  
 $\langle \text{proof} \rangle$

**lemma** *CONSTANT-case*:  
 $[\mid l \in \text{list}(\text{nat}); k \in \text{nat} \mid] \implies \text{CONSTANT}(k) \text{ ' } l < \text{ack}(k, \text{list-add}(l))$   
 $\langle \text{proof} \rangle$

**lemma** *PROJ-case* [rule-format]:  
 $l \in \text{list}(\text{nat}) \implies \forall i \in \text{nat}. \text{PROJ}(i) \text{ ' } l < \text{ack}(0, \text{list-add}(l))$   
 $\langle \text{proof} \rangle$

*COMP* case.

**lemma** *COMP-map-lemma*:  
 $fs \in \text{list}(\{f \in \text{prim-rec}. \exists kf \in \text{nat}. \forall l \in \text{list}(\text{nat}). f \text{ ' } l < \text{ack}(kf, \text{list-add}(l))\})$   
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}).$   
 $\text{list-add}(\text{map}(\lambda f. f \text{ ' } l, fs)) < \text{ack}(k, \text{list-add}(l))$   
 $\langle \text{proof} \rangle$

**lemma** *COMP-case*:  
 $[\mid kg \in \text{nat};$   
 $\quad \forall l \in \text{list}(\text{nat}). g \text{ ' } l < \text{ack}(kg, \text{list-add}(l));$   
 $\quad fs \in \text{list}(\{f \in \text{prim-rec} .$   
 $\quad \quad \exists kf \in \text{nat}. \forall l \in \text{list}(\text{nat}).$   
 $\quad \quad \quad f \text{ ' } l < \text{ack}(kf, \text{list-add}(l))\}) \mid]$   
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). \text{COMP}(g, fs) \text{ ' } l < \text{ack}(k, \text{list-add}(l))$   
 $\langle \text{proof} \rangle$

*PREC* case.

**lemma** *PREC-case-lemma*:  
 $[\mid \forall l \in \text{list}(\text{nat}). f \text{ ' } l \# + \text{list-add}(l) < \text{ack}(kf, \text{list-add}(l));$   
 $\quad \forall l \in \text{list}(\text{nat}). g \text{ ' } l \# + \text{list-add}(l) < \text{ack}(kg, \text{list-add}(l));$   
 $\quad f \in \text{prim-rec}; kf \in \text{nat};$   
 $\quad g \in \text{prim-rec}; kg \in \text{nat};$

$l \in \text{list}(\text{nat}) \quad []$   
 $\implies \text{PREC}(f,g)^{\text{!}l} \# + \text{list-add}(l) < \text{ack}(\text{succ}(kf \# + kg), \text{list-add}(l))$   
 $\langle \text{proof} \rangle$

**lemma** *PREC-case*:

$[] \quad f \in \text{prim-rec}; \quad kf \in \text{nat};$   
 $\quad g \in \text{prim-rec}; \quad kg \in \text{nat};$   
 $\quad \forall l \in \text{list}(\text{nat}). f^{\text{!}l} < \text{ack}(kf, \text{list-add}(l));$   
 $\quad \forall l \in \text{list}(\text{nat}). g^{\text{!}l} < \text{ack}(kg, \text{list-add}(l)) \quad []$   
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). \text{PREC}(f,g)^{\text{!}l} < \text{ack}(k, \text{list-add}(l))$   
 $\langle \text{proof} \rangle$

**lemma** *ack-bounds-prim-rec*:

$f \in \text{prim-rec} \implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). f^{\text{!}l} < \text{ack}(k, \text{list-add}(l))$   
 $\langle \text{proof} \rangle$

**theorem** *ack-not-prim-rec*:

$(\lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x \text{ xs}. \text{ack}(x,x), l)) \notin \text{prim-rec}$   
 $\langle \text{proof} \rangle$

**end**