

Equivalents of the Axiom of Choice

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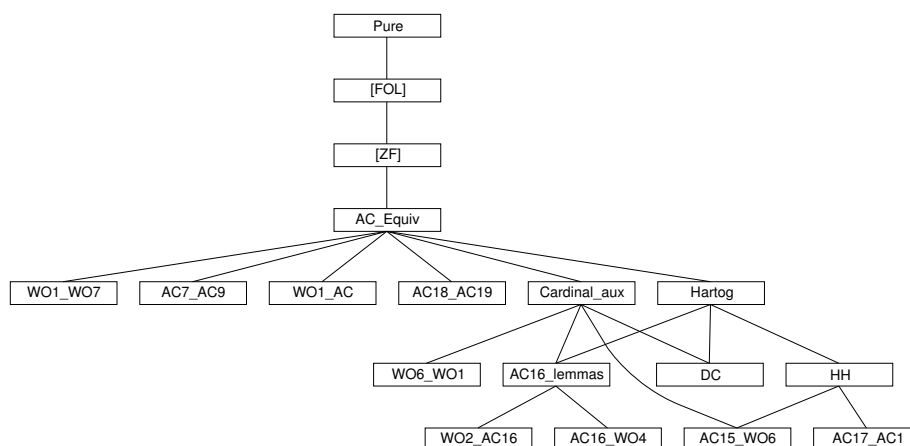
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Abstract

This development [1] proves the equivalence of seven formulations of the well-ordering theorem and twenty formulations of the axiom of choice. It formalizes the first two chapters of the monograph *Equivalents of the Axiom of Choice* by Rubin and Rubin [2]. Some of this material involves extremely complex techniques.

Contents

0.1	Lemmas useful in each of the three proofs	31
0.2	Lemmas used in the proofs of $AC1 \Rightarrow WO2$ and $AC17$ $\Rightarrow AC1$	34
0.3	The proof of $AC1 \Rightarrow WO2$	35



```

theory AC_Equiv
imports Main
begin

```

```

definition
  "W01 ==  $\forall A. \exists R. \text{well\_ord}(A, R)$ "

```

```

definition
  "W02 ==  $\forall A. \exists a. \text{Ord}(a) \ \& \ A \approx a$ "

```

```

definition
  "W03 ==  $\forall A. \exists a. \text{Ord}(a) \ \& \ (\exists b. b \subseteq a \ \& \ A \approx b)$ "

```

```

definition
  "W04(m) ==  $\forall A. \exists a \ f. \text{Ord}(a) \ \& \ \text{domain}(f)=a \ \& \$ 
 $(\bigcup b < a. f' b) = A \ \& \ (\forall b < a. f' b \lesssim m)$ "

```

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definition
  "W05 ==  $\exists m \in \text{nat}. 1 \leq m \ \& \ W04(m)$ "

```

```

definition
  "W06 ==  $\forall A. \exists m \in \text{nat}. 1 \leq m \ \& \ (\exists a \ f. \text{Ord}(a) \ \& \ \text{domain}(f)=a \$ 
 $\ \& \ (\bigcup b < a. f' b) = A \ \& \ (\forall b < a. f' b \lesssim m))$ "

```

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definition
  "W07 ==  $\forall A. \text{Finite}(A) \ \leftrightarrow \ (\forall R. \text{well\_ord}(A, R) \ \rightarrow \ \text{well\_ord}(A, \text{converse}(R)))$ "

```

```

definition
  "W08 ==  $\forall A. (\exists f. f \in (\prod X \in A. X)) \ \rightarrow \ (\exists R. \text{well\_ord}(A, R))$ "

```

```

definition
  pairwise_disjoint :: "i => o" where
    "pairwise_disjoint(A) ==  $\forall A1 \in A. \forall A2 \in A. A1 \text{ Int } A2 \neq 0 \ \rightarrow \ A1=A2$ "

```

```

definition
  sets_of_size_between :: "[i, i, i] => o" where
    "sets_of_size_between(A, m, n) ==  $\forall B \in A. m \lesssim B \ \& \ B \lesssim n$ "

```

```

definition
  "AC0 ==  $\forall A. \exists f. f \in (\prod X \in \text{Pow}(A) - \{0\}. X)$ "

```

definition

"AC1 == $\forall A. 0 \notin A \rightarrow (\exists f. f \in (\prod X \in A. X))$ "

definition

"AC2 == $\forall A. 0 \notin A \ \& \ \text{pairwise_disjoint}(A)$
 $\rightarrow (\exists C. \forall B \in A. \exists y. B \text{ Int } C = \{y\})$ "

definition

"AC3 == $\forall A \ B. \forall f \in A \rightarrow B. \exists g. g \in (\prod x \in \{a \in A. f'a \neq 0\}. f'x)$ "

definition

"AC4 == $\forall R \ A \ B. (R \subseteq A*B \rightarrow (\exists f. f \in (\prod x \in \text{domain}(R). R'\{x\})))$ "

definition

"AC5 == $\forall A \ B. \forall f \in A \rightarrow B. \exists g \in \text{range}(f) \rightarrow A. \forall x \in \text{domain}(g). f'(g'x) = x$ "

definition

"AC6 == $\forall A. 0 \notin A \rightarrow (\prod B \in A. B) \neq 0$ "

definition

"AC7 == $\forall A. 0 \notin A \ \& \ (\forall B1 \in A. \forall B2 \in A. B1 \approx B2) \rightarrow (\prod B \in A. B) \neq 0$ "

definition

"AC8 == $\forall A. (\forall B \in A. \exists B1 \ B2. B = \langle B1, B2 \rangle \ \& \ B1 \approx B2)$
 $\rightarrow (\exists f. \forall B \in A. f'B \in \text{bij}(\text{fst}(B), \text{snd}(B)))$ "

definition

"AC9 == $\forall A. (\forall B1 \in A. \forall B2 \in A. B1 \approx B2) \rightarrow$
 $(\exists f. \forall B1 \in A. \forall B2 \in A. f'\langle B1, B2 \rangle \in \text{bij}(B1, B2))$ "

definition

"AC10(n) == $\forall A. (\forall B \in A. \sim \text{Finite}(B)) \rightarrow$
 $(\exists f. \forall B \in A. (\text{pairwise_disjoint}(f'B) \ \& \ \text{sets_of_size_between}(f'B, 2, \text{succ}(n)) \ \& \ \text{Union}(f'B) = B))$ "

definition

"AC11 == $\exists n \in \text{nat}. 1 \leq n \ \& \ \text{AC10}(n)$ "

definition

"AC12 == $\forall A. (\forall B \in A. \sim \text{Finite}(B)) \rightarrow$
 $(\exists n \in \text{nat}. 1 \leq n \ \& \ (\exists f. \forall B \in A. (\text{pairwise_disjoint}(f'B)$
 $\ \& \ \text{sets_of_size_between}(f'B, 2, \text{succ}(n)) \ \& \ \text{Union}(f'B) = B)))$ "

definition

"AC13(m) == $\forall A. 0 \notin A \rightarrow (\exists f. \forall B \in A. f'B \neq 0 \ \& \ f'B \subseteq B \ \& \ f'B \lesssim m)$ "

definition

"AC14 == $\exists m \in \text{nat}. 1 \leq m \ \& \ AC13(m)$ "

definition

"AC15 == $\forall A. 0 \notin A \rightarrow$
 $(\exists m \in \text{nat}. 1 \leq m \ \& \ (\exists f. \forall B \in A. f'B \neq 0 \ \& \ f'B \subseteq B \ \& \ f'B \lesssim m))$ "

definition

"AC16(n, k) ==
 $\forall A. \sim \text{Finite}(A) \rightarrow$
 $(\exists T. T \subseteq \{X \in \text{Pow}(A). X \approx_{\text{succ}}(n)\} \ \& \$
 $(\forall X \in \{X \in \text{Pow}(A). X \approx_{\text{succ}}(k)\}. \exists ! Y. Y \in T \ \& \ X \subseteq Y))$ "

definition

"AC17 == $\forall A. \forall g \in (\text{Pow}(A) - \{0\} \rightarrow A) \rightarrow \text{Pow}(A) - \{0\}.$
 $\exists f \in \text{Pow}(A) - \{0\} \rightarrow A. f'(g'f) \in g'f$ "

locale AC18 =

assumes AC18: " $A \neq 0 \ \& \ (\forall a \in A. B(a) \neq 0) \rightarrow$
 $((\bigcap a \in A. \bigcup b \in B(a). X(a,b)) =$
 $(\bigcup f \in \prod a \in A. B(a). \bigcap a \in A. X(a, f'a)))$ "
— AC18 cannot be expressed within the object-logic

definition

"AC19 == $\forall A. A \neq 0 \ \& \ 0 \notin A \rightarrow ((\bigcap a \in A. \bigcup b \in a. b) =$
 $(\bigcup f \in (\prod B \in A. B). \bigcap a \in A. f'a))$ "

lemma rvimage_id: "rvimage(A, id(A), r) = r Int A*A"
apply (unfold rvimage_def)
apply (rule equalityI, safe)
apply (drule_tac P = "%a. <id (A) 'xb,a>:r" in id_conv [THEN subst],
assumption)
apply (drule_tac P = "%a. <a,ya>:r" in id_conv [THEN subst], (assumption+))
apply (fast intro: id_conv [THEN ssubst])
done

lemma ordertype_Int:

"well_ord(A, r) ==> ordertype(A, r Int A*A) = ordertype(A, r)"
apply (rule_tac P = "%a. ordertype (A,a) =ordertype (A,r) " in rvimage_id
[THEN subst])

```

apply (erule id_bij [THEN bij_ordertype_vimage])
done

lemma lam_sing_bij: "(\x \in A. {x}) \in bij(A, {{x}. x \in A})"
apply (rule_tac d = "%z. THE x. z={x}" in lam_bijective)
apply (auto simp add: the_equality)
done

lemma inj_strengthen_type:
  "[| f \in inj(A, B); !!a. a \in A ==> f'a \in C |] ==> f \in inj(A,C)"
by (unfold inj_def, blast intro: Pi_type)

lemma nat_not_Finite: "~ Finite(nat)"
by (unfold Finite_def, blast dest: eqpoll_imp_lepoll ltI lt_not_lepoll)

lemmas le_imp_lepoll = le_imp_subset [THEN subset_imp_lepoll]

lemma ex1_two_eq: "[| \exists! x. P(x); P(x); P(y) |] ==> x=y"
by blast

lemma surj_image_eq: "f \in surj(A, B) ==> f'A = B"
apply (unfold surj_def)
apply (erule CollectE)
apply (rule image_fun [THEN ssubst], assumption, rule subset_refl)
apply (blast dest: apply_type)
done

lemma first_in_B:
  "[| well_ord(Union(A),r); 0 \notin A; B \in A |] ==> (THE b. first(b,B,r))
\in B"
by (blast dest!: well_ord_imp_ex1_first
    [THEN theI, THEN first_def [THEN def_imp_iff, THEN
iffD1]])

lemma ex_choice_fun: "[| well_ord(Union(A), R); 0 \notin A |] ==> \exists f. f:(\Pi
X \in A. X)"

```

```

by (fast elim!: first_in_B intro!: lam_type)

lemma ex_choice_fun_Pow: "well_ord(A, R) ==>  $\exists f. f: (\prod X \in \text{Pow}(A) - \{0\}. X)$ "
by (fast elim!: well_ord_subset [THEN ex_choice_fun])

```

```

lemma lepoll_m_imp_domain_lepoll_m:
  "[| m  $\in$  nat; u  $\lesssim$  m |] ==> domain(u)  $\lesssim$  m"
apply (unfold lepoll_def)
apply (erule exE)
apply (rule_tac x = " $\lambda x \in \text{domain}(u). \text{LEAST } i. \exists y. \langle x, y \rangle \in u \ \& \ f' \langle x, y \rangle = i$ "
  in exI)
apply (rule_tac d = "%y. fst (converse(f) ' y) " in lam_injective)
apply (fast intro: LeastI2 nat_into_Ord [THEN Ord_in_Ord]
  inj_is_fun [THEN apply_type])

apply (erule domainE)
apply (frule inj_is_fun [THEN apply_type], assumption)
apply (rule LeastI2)
apply (auto elim!: nat_into_Ord [THEN Ord_in_Ord])
done

```

```

lemma rel_domain_ex1:
  "[| succ(m)  $\lesssim$  domain(r); r  $\lesssim$  succ(m); m  $\in$  nat |] ==> function(r)"
apply (unfold function_def, safe)
apply (rule ccontr)
apply (fast elim!: lepoll_trans [THEN succ_lepoll_natE]
  lepoll_m_imp_domain_lepoll_m [OF _ Diff_sing_lepoll]
  elim: domain_Diff_eq [OF _ not_sym, THEN subst])
done

```

```

lemma rel_is_fun:
  "[| succ(m)  $\lesssim$  domain(r); r  $\lesssim$  succ(m); m  $\in$  nat;
    r  $\subseteq A*B$ ; A=domain(r) |] ==> r  $\in A \rightarrow B$ "
by (simp add: Pi_iff rel_domain_ex1)

end

```

```

theory Cardinal_aux imports AC_Equiv begin

```

```

lemma Diff_lepoll: "[| A  $\lesssim$  succ(m); B  $\subseteq$  A; B $\neq$ 0 |] ==> A-B  $\lesssim$  m"
apply (rule not_emptyE, assumption)
apply (blast intro: lepoll_trans [OF subset_imp_lepoll Diff_sing_lepoll])
done

```

```

lemma lepoll_imp_ex_le_eqpoll:
  "[| A  $\lesssim$  i; Ord(i) |] ==>  $\exists j. j \leq i$  & A  $\approx$  j"
by (blast intro!: lepoll_cardinal_le well_ord_Memrel
    well_ord_cardinal_eqpoll [THEN eqpoll_sym]
    dest: lepoll_well_ord)

```

```

lemma lesspoll_imp_ex_lt_eqpoll:
  "[| A  $\prec$  i; Ord(i) |] ==>  $\exists j. j < i$  & A  $\approx$  j"
by (unfold lesspoll_def, blast dest!: lepoll_imp_ex_le_eqpoll elim!: leE)

```

```

lemma Inf_Ord_imp_InfCard_cardinal: "[|  $\neg$ Finite(i); Ord(i) |] ==> InfCard(|i|)"
apply (unfold InfCard_def)
apply (rule conjI)
apply (rule Card_cardinal)
apply (rule Card_nat
  [THEN Card_def [THEN def_imp_iff, THEN iffD1, THEN ssubst]])
  — rewriting would loop!
apply (rule well_ord_Memrel [THEN well_ord_lepoll_imp_Card_le], assumption)

apply (rule nat_le_infinite_Ord [THEN le_imp_lepoll], assumption+)
done

```

An alternative and more general proof goes like this: A and B are both well-ordered (because they are injected into an ordinal), either A lepoll B or B lepoll A. Also both are equipollent to their cardinalities, so (if A and B are infinite) then $A \cup B \text{ lepoll } \text{---}A\text{---} + \text{---}B\text{---} = \max(\text{---}A\text{---}, \text{---}B\text{---}) \text{ lepoll } i$. In fact, the correctly strengthened version of this theorem appears below.

```

lemma Un_lepoll_Inf_Ord_weak:
  "[| A  $\approx$  i; B  $\approx$  i;  $\neg$ Finite(i); Ord(i) |] ==> A  $\cup$  B  $\lesssim$  i"
apply (rule Un_lepoll_sum [THEN lepoll_trans])
apply (rule lepoll_imp_sum_lepoll_prod [THEN lepoll_trans])
apply (erule eqpoll_trans [THEN eqpoll_imp_lepoll])
apply (erule eqpoll_sym)
apply (rule subset_imp_lepoll [THEN lepoll_trans, THEN lepoll_trans])

```

```

apply (rule nat_2I [THEN OrdmemD], rule Ord_nat)
apply (rule nat_le_infinite_Ord [THEN le_imp_lepoll], assumption+)
apply (erule eqpoll_sym [THEN eqpoll_imp_lepoll])
apply (erule prod_eqpoll_cong [THEN eqpoll_imp_lepoll, THEN lepoll_trans],
      assumption)
apply (rule eqpoll_imp_lepoll)
apply (rule well_ord_Memrel [THEN well_ord_InfCard_square_eq], assumption)

apply (rule Inf_Ord_imp_InfCard_cardinal, assumption+)
done

lemma Un_eqpoll_Inf_Ord:
  "[| A  $\approx$  i; B  $\approx$  i;  $\sim$ Finite(i); Ord(i) |] ==> A Un B  $\approx$  i"
apply (rule eqpollI)
apply (blast intro: Un_lepoll_Inf_Ord_weak)
apply (erule eqpoll_sym [THEN eqpoll_imp_lepoll, THEN lepoll_trans])
apply (rule Un_upper1 [THEN subset_imp_lepoll])
done

schematic_lemma paired_bij: "?f  $\in$  bij({{y,z}. y  $\in$  x}, x)"
apply (rule RepFun_bijective)
apply (simp add: doubleton_eq_iff, blast)
done

lemma paired_eqpoll: "{{y,z}. y  $\in$  x}  $\approx$  x"
by (unfold eqpoll_def, fast intro!: paired_bij)

lemma ex_eqpoll_disjoint: " $\exists$ B. B  $\approx$  A & B Int C = 0"
by (fast intro!: paired_eqpoll equalsOI elim: mem_asym)

lemma Un_lepoll_Inf_Ord:
  "[| A  $\lesssim$  i; B  $\lesssim$  i;  $\sim$ Finite(i); Ord(i) |] ==> A Un B  $\lesssim$  i"
apply (rule_tac A1 = i and C1 = i in ex_eqpoll_disjoint [THEN exE])
apply (erule conjE)
apply (drule lepoll_trans)
apply (erule eqpoll_sym [THEN eqpoll_imp_lepoll])
apply (rule Un_lepoll_Un [THEN lepoll_trans], (assumption+))
apply (blast intro: eqpoll_refl Un_eqpoll_Inf_Ord eqpoll_imp_lepoll)
done

lemma Least_in_Ord: "[| P(i); i  $\in$  j; Ord(j) |] ==> (LEAST i. P(i))  $\in$  j"
apply (erule Least_le [THEN leE])
apply (erule Ord_in_Ord, assumption)
apply (erule ltE)
apply (fast dest: OrdmemD)
apply (erule subst_elem, assumption)

```


done

lemma Diff_first_lepoll:

"[| well_ord(x,r); $y \subseteq x$; $y \lesssim \text{succ}(n)$; $n \in \text{nat}$ |]
 $\implies y - \{\text{THE } b. \text{first}(b,y,r)\} \lesssim n$ "

apply (case_tac "y=0", simp add: empty_lepollI)

apply (fast intro!: Diff_sing_lepoll the_first_in)

done

lemma UN_subset_split:

" $(\bigcup x \in X. P(x)) \subseteq (\bigcup x \in X. P(x) - Q(x)) \cup (\bigcup x \in X. Q(x))$ "

by blast

lemma UN_sing_lepoll: " $\text{Ord}(a) \implies (\bigcup x \in a. \{P(x)\}) \lesssim a$ "

apply (unfold lepoll_def)

apply (rule_tac x = " $\lambda z \in (\bigcup x \in a. \{P(x)\}). (\text{LEAST } i. P(i) = z)$ " in exI)

apply (rule_tac d = "%z. P(z)" in lam_injective)

apply (fast intro!: Least_in_Ord)

apply (fast intro: LeastI elim!: Ord_in_Ord)

done

lemma UN_fun_lepoll_lemma [rule_format]:

"[| well_ord(T, R); $\sim \text{Finite}(a)$; $\text{Ord}(a)$; $n \in \text{nat}$ |]

$\implies \forall f. (\forall b \in a. f'b \lesssim n \ \& \ f'b \subseteq T) \longrightarrow (\bigcup b \in a. f'b) \lesssim a$ "

apply (induct_tac "n")

apply (rule allI)

apply (rule impI)

apply (rule_tac b = " $\bigcup b \in a. f'b$ " in subst)

apply (rule_tac [2] empty_lepollI)

apply (rule equals0I [symmetric], clarify)

apply (fast dest: lepoll_0_is_0 [THEN subst])

apply (rule allI)

apply (rule impI)

apply (erule_tac x = " $\lambda x \in a. f'x - \{\text{THE } b. \text{first}(b, f'x, R)\}$ " in allE)

apply (erule impE, simp)

apply (fast intro!: Diff_first_lepoll, simp)

apply (rule UN_subset_split [THEN subset_imp_lepoll, THEN lepoll_trans])

apply (fast intro: Un_lepoll_Inf_Ord UN_sing_lepoll)

done

lemma UN_fun_lepoll:

"[| $\forall b \in a. f'b \lesssim n \ \& \ f'b \subseteq T$; well_ord(T, R);

$\sim \text{Finite}(a)$; $\text{Ord}(a)$; $n \in \text{nat}$ |] $\implies (\bigcup b \in a. f'b) \lesssim a$ "

by (blast intro: UN_fun_lepoll_lemma)

lemma UN_lepoll:

"[| $\forall b \in a. F(b) \lesssim n \ \& \ F(b) \subseteq T$; well_ord(T, R);

$\sim \text{Finite}(a)$; $\text{Ord}(a)$; $n \in \text{nat}$ |]

```

      ==> ( $\bigcup b \in a. F(b)$ )  $\lesssim$  a"
    apply (rule rev_mp)
    apply (rule_tac f="λb ∈ a. F (b)" in UN_fun_lepoll)
    apply auto
    done

lemma UN_eq_UN_Diffs:
  "Ord(a) ==> ( $\bigcup b \in a. F(b)$ ) = ( $\bigcup b \in a. F(b)$  - ( $\bigcup c \in b. F(c)$ ))"
  apply (rule equalityI)
  prefer 2 apply fast
  apply (rule subsetI)
  apply (erule UN_E)
  apply (rule UN_I)
  apply (rule_tac P = "%z. x ∈ F (z) " in Least_in_Ord, (assumption+))
  apply (rule DiffI, best intro: Ord_in_Ord LeastI, clarify)
  apply (erule_tac P = "%z. x ∈ F (z) " and i = c in less_LeastE)
  apply (blast intro: Ord_Least ltI)
  done

lemma lepoll_imp_eqpoll_subset:
  "a  $\lesssim$  X ==>  $\exists Y. Y \subseteq X$  & a  $\approx$  Y"
  apply (unfold lepoll_def eqpoll_def, clarify)
  apply (blast intro: restrict_bij
    dest: inj_is_fun [THEN fun_is_rel, THEN image_subset])
  done

lemma Diff_lesspoll_eqpoll_Card_lemma:
  "[| A  $\approx$  a;  $\sim$ Finite(a); Card(a); B  $\prec$  a; A-B  $\prec$  a |] ==> P"
  apply (elim lesspoll_imp_ex_lt_eqpoll [THEN exE] Card_is_Ord conjE)
  apply (frule_tac j=xa in Un_upper1_le [OF lt_Ord lt_Ord], assumption)
  apply (frule_tac j=xa in Un_upper2_le [OF lt_Ord lt_Ord], assumption)
  apply (drule Un_least_lt, assumption)
  apply (drule eqpoll_imp_lepoll [THEN lepoll_trans],
    rule le_imp_lepoll, assumption)+
  apply (case_tac "Finite(x Un xa)")

finite case

  apply (drule Finite_Un [OF lepoll_Finite lepoll_Finite], assumption+)

  apply (drule subset_Un_Diff [THEN subset_imp_lepoll, THEN lepoll_Finite])
  apply (fast dest: eqpoll_sym [THEN eqpoll_imp_lepoll, THEN lepoll_Finite])

infinite case

  apply (drule Un_lepoll_Inf_Ord, (assumption+))
  apply (blast intro: le_Ord2)

```

```

apply (drule lesspoll_trans1
      [OF subset_Un_Diff [THEN subset_imp_lepoll, THEN lepoll_trans]

        lt_Card_imp_lesspoll], assumption+)
apply (simp add: lesspoll_def)
done

lemma Diff_lesspoll_eqpoll_Card:
  "[| A  $\approx$  a;  $\sim$ Finite(a); Card(a); B  $\prec$  a |] ==> A - B  $\approx$  a"
apply (rule ccontr)
apply (rule Diff_lesspoll_eqpoll_Card_lemma, (assumption+))
apply (blast intro: lesspoll_def [THEN def_imp_iff, THEN iffD2]
      subset_imp_lepoll eqpoll_imp_lepoll lepoll_trans)
done

end

```

```

theory W06_W01
imports Cardinal_aux
begin

```

```

definition
  NN :: "i => i" where
    "NN(y) == {m  $\in$  nat.  $\exists$ a.  $\exists$ f. Ord(a) & domain(f)=a &
      ( $\bigcup$  b<a. f' b) = y & ( $\forall$  b<a. f' b  $\lesssim$  m)}"
```

```

definition
  uu :: "[i, i, i, i] => i" where
    "uu(f, beta, gamma, delta) == (f' beta * f' gamma) Int f' delta"
```

```

definition
  vv1 :: "[i, i, i] => i" where
    "vv1(f,m,b) ==
      let g = LEAST g. ( $\exists$ d. Ord(d) & (domain(uu(f,b,g,d))  $\neq$  0 &
        domain(uu(f,b,g,d))  $\lesssim$  m));
      d = LEAST d. domain(uu(f,b,g,d))  $\neq$  0 &
        domain(uu(f,b,g,d))  $\lesssim$  m
      in if f' b  $\neq$  0 then domain(uu(f,b,g,d)) else 0"
```

```

definition
  ww1 :: "[i, i, i] => i" where
    "ww1(f,m,b) == f' b - vv1(f,m,b)"

```

definition

```
gg1 :: "[i, i, i] => i" where
  "gg1(f,a,m) ==  $\lambda b \in a++a. \text{if } b < a \text{ then } vv1(f,m,b) \text{ else } ww1(f,m,b--a)"$ 
```

definition

```
vv2 :: "[i, i, i, i] => i" where
  "vv2(f,b,g,s) ==
    if f'g  $\neq 0$  then {uu(f, b, g, LEAST d. uu(f,b,g,d)  $\neq 0$ )'s}
  else 0"
```

definition

```
ww2 :: "[i, i, i, i] => i" where
  "ww2(f,b,g,s) == f'g - vv2(f,b,g,s)"
```

definition

```
gg2 :: "[i, i, i, i] => i" where
  "gg2(f,a,b,s) ==
     $\lambda g \in a++a. \text{if } g < a \text{ then } vv2(f,b,g,s) \text{ else } ww2(f,b,g--a,s)"$ 
```

lemma W02_W03: "W02 ==> W03"

by (unfold W02_def W03_def, fast)

lemma W03_W01: "W03 ==> W01"

apply (unfold eqpoll_def W01_def W03_def)

apply (intro allI)

apply (drule_tac x=A in spec)

apply (blast intro: bij_is_inj well_ord_rvimage
well_ord_Memrel [THEN well_ord_subset])

done

lemma W01_W02: "W01 ==> W02"

apply (unfold eqpoll_def W01_def W02_def)

apply (blast intro!: Ord_ordertype ordermap_bij)

done

lemma lam_sets: " $f \in A \rightarrow B \implies (\lambda x \in A. \{f'x\}): A \rightarrow \{\{b\}. b \in B\}$ "

by (fast intro!: lam_type apply_type)

lemma surj_imp_eq': " $f \in \text{surj}(A,B) \implies (\bigcup a \in A. \{f'a\}) = B$ "

apply (unfold surj_def)

```

apply (fast elim!: apply_type)
done

lemma surj_imp_eq: "[| f ∈ surj(A,B); Ord(A) |] ==> (⋃ a<A. {f'a}) =
B"
by (fast dest!: surj_imp_eq' intro!: ltI elim!: ltE)

lemma W01_W04: "W01 ==> W04(1)"
apply (unfold W01_def W04_def)
apply (rule allI)
apply (erule_tac x = A in allE)
apply (erule exE)
apply (intro exI conjI)
apply (erule Ord_ordertype)
apply (erule ordermap_bij [THEN bij_converse_bij, THEN bij_is_fun, THEN
lam_sets, THEN domain_of_fun])
apply (simp_all add: singleton_eqpoll_1 eqpoll_imp_lepoll Ord_ordertype
ordermap_bij [THEN bij_converse_bij, THEN bij_is_surj, THEN surj_imp_eq]
ltD)
done

lemma W04_mono: "[| m ≤ n; W04(m) |] ==> W04(n)"
apply (unfold W04_def)
apply (blast dest!: spec intro: lepoll_trans [OF _ le_imp_lepoll])
done

lemma W04_W05: "[| m ∈ nat; 1 ≤ m; W04(m) |] ==> W05"
by (unfold W04_def W05_def, blast)

lemma W05_W06: "W05 ==> W06"
by (unfold W04_def W05_def W06_def, blast)

lemma lt_oadd_odiff_disj:
  "[| k < i++j; Ord(i); Ord(j) |]
  ==> k < i | (~ k<i & k = i ++ (k--i) & (k--i)<j)"
apply (rule_tac i = k and j = i in Ord_linear2)
prefer 4
  apply (drule odiff_lt_mono2, assumption)
  apply (simp add: oadd_odiff_inverse odiff_oadd_inverse)
apply (auto elim!: lt_Ord)

```

done

lemma domain_uu_subset: "domain(uu(f,b,g,d)) \subseteq f' b"
by (unfold uu_def, blast)

lemma quant_domain_uu_lepoll_m:
" $\forall b < a. f' b \lesssim m \implies \forall b < a. \forall g < a. \forall d < a. \text{domain}(uu(f,b,g,d)) \lesssim m$ "
by (blast intro: domain_uu_subset [THEN subset_imp_lepoll] lepoll_trans)

lemma uu_subset1: "uu(f,b,g,d) \subseteq f' b * f' g"
by (unfold uu_def, blast)

lemma uu_subset2: "uu(f,b,g,d) \subseteq f' d"
by (unfold uu_def, blast)

lemma uu_lepoll_m: "[| $\forall b < a. f' b \lesssim m$; $d < a$ |] $\implies uu(f,b,g,d) \lesssim m$ "
by (blast intro: uu_subset2 [THEN subset_imp_lepoll] lepoll_trans)

lemma cases:
" $\forall b < a. \forall g < a. \forall d < a. u(f,b,g,d) \lesssim m$
 $\implies (\forall b < a. f' b \neq 0 \longrightarrow$
 $(\exists g < a. \exists d < a. u(f,b,g,d) \neq 0 \ \& \ u(f,b,g,d) \prec m))$
 $| (\exists b < a. f' b \neq 0 \ \& \ (\forall g < a. \forall d < a. u(f,b,g,d) \neq 0 \longrightarrow$
 $u(f,b,g,d) \approx m))$ "
apply (unfold lesspoll_def)
apply (blast del: equalityI)
done

lemma UN_oadd: "Ord(a) $\implies (\bigcup b < a ++ a. C(b)) = (\bigcup b < a. C(b) \text{ Un } C(a ++ b))$ "
by (blast intro: ltI lt_oadd1 oadd_lt_mono2 dest!: lt_oadd_disj)

```

lemma vv1_subset: "vv1(f,m,b)  $\subseteq$  f' b"
by (simp add: vv1_def Let_def domain_uu_subset)

lemma UN_gg1_eq:
  "[| Ord(a); m  $\in$  nat |] ==> ( $\bigcup$  b<a++a. gg1(f,a,m)'b) = ( $\bigcup$  b<a. f' b)"
by (simp add: gg1_def UN_oadd lt_oadd1 oadd_le_self [THEN le_imp_not_lt]

      lt_Ord odiff_oadd_inverse ltD vv1_subset [THEN Diff_partition]
      ww1_def)

lemma domain_gg1: "domain(gg1(f,a,m)) = a++a"
by (simp add: lam_funtype [THEN domain_of_fun] gg1_def)

lemma nested_LeastI:
  "[| P(a, b); Ord(a); Ord(b);
    Least_a = (LEAST a.  $\exists$  x. Ord(x) & P(a, x)) |]
  ==> P(Least_a, LEAST b. P(Least_a, b))"
apply (erule ssubst)
apply (rule_tac Q = "%z. P (z, LEAST b. P (z, b))" in LeastI2)
apply (fast elim!: LeastI)+
done

lemmas nested_Least_instance =
  nested_LeastI [of "%g d. domain(uu(f,b,g,d))  $\neq$  0 &
                    domain(uu(f,b,g,d))  $\lesssim$  m",
                  standard]

lemma gg1_lepoll_m:
  "[| Ord(a); m  $\in$  nat;
     $\forall$  b<a. f' b  $\neq$  0 -->
      ( $\exists$  g<a.  $\exists$  d<a. domain(uu(f,b,g,d))  $\neq$  0 &
        domain(uu(f,b,g,d))  $\lesssim$  m);
     $\forall$  b<a. f' b  $\lesssim$  succ(m); b<a++a |]
  ==> gg1(f,a,m)'b  $\lesssim$  m"
apply (simp add: gg1_def empty_lepollI)
apply (safe dest!: lt_oadd_odiff_disj)

apply (simp add: vv1_def Let_def empty_lepollI)
apply (fast intro: nested_Least_instance [THEN conjunct2]
      elim!: lt_Ord)

```

```

apply (simp add: ww1_def empty_lepollI)
apply (case_tac "f' (b--a) = 0", simp add: empty_lepollI)
apply (rule Diff_lepoll, blast)
apply (rule vv1_subset)
apply (drule ospec [THEN mp], assumption+)
apply (elim oexE conjE)
apply (simp add: vv1_def Let_def lt_Ord nested_Least_instance [THEN conjunct1])
done

```

```

lemma ex_d_uu_not_empty:
  "[| b<a; g<a; f'b≠0; f'g≠0;
    y*y ⊆ y; (⋃ b<a. f'b)=y |]
  ==> ∃ d<a. uu(f,b,g,d) ≠ 0"
by (unfold uu_def, blast)

```

```

lemma uu_not_empty:
  "[| b<a; g<a; f'b≠0; f'g≠0; y*y ⊆ y; (⋃ b<a. f'b)=y |]
  ==> uu(f,b,g,LEAST d. (uu(f,b,g,d) ≠ 0)) ≠ 0"
apply (drule ex_d_uu_not_empty, assumption+)
apply (fast elim!: LeastI lt_Ord)
done

```

```

lemma not_empty_rel_imp_domain: "[| r ⊆ A*B; r≠0 |] ==> domain(r)≠0"
by blast

```

```

lemma Least_uu_not_empty_lt_a:
  "[| b<a; g<a; f'b≠0; f'g≠0; y*y ⊆ y; (⋃ b<a. f'b)=y |]
  ==> (LEAST d. uu(f,b,g,d) ≠ 0) < a"
apply (erule ex_d_uu_not_empty [THEN oexE], assumption+)
apply (blast intro: Least_le [THEN lt_trans1] lt_Ord)
done

```

```

lemma subset_Diff_sing: "[| B ⊆ A; a∉B |] ==> B ⊆ A-{a}"
by blast

```

```

lemma supset_lepoll_imp_eq:
  "[| A ≲ m; m ≲ B; B ⊆ A; m ∈ nat |] ==> A=B"
apply (erule natE)
apply (fast dest!: lepoll_0_is_0 intro!: equalityI)
apply (safe intro!: equalityI)

```



```

apply (rule ccontr)
apply (rule succ_lepoll_natE)
  apply (erule lepoll_trans)
  apply (rule lepoll_trans)
    apply (erule subset_Diff_sing [THEN subset_imp_lepoll], assumption)
    apply (rule Diff_sing_lepoll, assumption+)
done

lemma uu_Least_is_fun:
  "[|  $\forall g < a. \forall d < a. \text{domain}(\text{uu}(f, b, g, d)) \neq 0 \rightarrow$ 
     $\text{domain}(\text{uu}(f, b, g, d)) \approx \text{succ}(m);$ 
     $\forall b < a. f' b \lesssim \text{succ}(m); y * y \subseteq y;$ 
     $(\bigcup b < a. f' b) = y; b < a; g < a; d < a;$ 
     $f' b \neq 0; f' g \neq 0; m \in \text{nat}; s \in f' b$  |]
  ==>  $\text{uu}(f, b, g, \text{LEAST } d. \text{uu}(f, b, g, d) \neq 0) \in f' b \rightarrow f' g$ "
apply (drule_tac x2=g in ospec [THEN ospec, THEN mp])
  apply (rule_tac [3] not_empty_rel_imp_domain [OF uu_subset1 uu_not_empty])
    apply (rule_tac [2] Least_uu_not_empty_lt_a, assumption+)
apply (rule rel_is_fun)
  apply (erule eqpoll_sym [THEN eqpoll_imp_lepoll])
  apply (erule uu_lepoll_m)
  apply (rule Least_uu_not_empty_lt_a, assumption+)
apply (rule uu_subset1)
apply (rule supset_lepoll_imp_eq [OF _ eqpoll_sym [THEN eqpoll_imp_lepoll]])
apply (fast intro!: domain_uu_subset)+
done

lemma vv2_subset:
  "[|  $\forall g < a. \forall d < a. \text{domain}(\text{uu}(f, b, g, d)) \neq 0 \rightarrow$ 
     $\text{domain}(\text{uu}(f, b, g, d)) \approx \text{succ}(m);$ 
     $\forall b < a. f' b \lesssim \text{succ}(m); y * y \subseteq y;$ 
     $(\bigcup b < a. f' b) = y; b < a; g < a; m \in \text{nat}; s \in f' b$  |]
  ==>  $\text{vv2}(f, b, g, s) \subseteq f' g$ "
apply (simp add: vv2_def)
apply (blast intro: uu_Least_is_fun [THEN apply_type])
done

lemma UN_gg2_eq:
  "[|  $\forall g < a. \forall d < a. \text{domain}(\text{uu}(f, b, g, d)) \neq 0 \rightarrow$ 
     $\text{domain}(\text{uu}(f, b, g, d)) \approx \text{succ}(m);$ 
     $\forall b < a. f' b \lesssim \text{succ}(m); y * y \subseteq y;$ 
     $(\bigcup b < a. f' b) = y; \text{Ord}(a); m \in \text{nat}; s \in f' b; b < a$  |]
  ==>  $(\bigcup g < a ++ a. \text{gg2}(f, a, b, s) \text{ ` } g) = y$ "
apply (unfold gg2_def)
apply (drule sym)
apply (simp add: ltD UN_oadd oadd_le_self [THEN le_imp_not_lt])

```

```

      lt_Ord odiff_oadd_inverse ww2_def
      vv2_subset [THEN Diff_partition])
done

lemma domain_gg2: "domain(gg2(f,a,b,s)) = a++a"
by (simp add: lam_funtype [THEN domain_of_fun] gg2_def)

lemma vv2_lepoll: "[| m ∈ nat; m ≠ 0 |] ==> vv2(f,b,g,s) ≲ m"
apply (unfold vv2_def)
apply (simp add: empty_lepollI)
apply (fast dest!: le_imp_subset [THEN subset_imp_lepoll, THEN lepoll_0_is_0]

      intro!: singleton_eqpoll_1 [THEN eqpoll_imp_lepoll, THEN lepoll_trans]
      not_lt_imp_le [THEN le_imp_subset, THEN subset_imp_lepoll]
      nat_into_Ord nat_1I)
done

lemma ww2_lepoll:
  "[| ∀ b < a. f' b ≲ succ(m); g < a; m ∈ nat; vv2(f,b,g,d) ⊆ f' g |]

    ==> ww2(f,b,g,d) ≲ m"
apply (unfold ww2_def)
apply (case_tac "f' g = 0")
apply (simp add: empty_lepollI)
apply (drule ospec, assumption)
apply (rule Diff_lepoll, assumption+)
apply (simp add: vv2_def not_emptyI)
done

lemma gg2_lepoll_m:
  "[| ∀ g < a. ∀ d < a. domain(uu(f,b,g,d)) ≠ 0 -->
      domain(uu(f,b,g,d)) ≈ succ(m);
    ∀ b < a. f' b ≲ succ(m); y * y ⊆ y;
    (⋃ b < a. f' b) = y; b < a; s ∈ f' b; m ∈ nat; m ≠ 0; g < a ++ a |]

    ==> gg2(f,a,b,s) ' g ≲ m"
apply (simp add: gg2_def empty_lepollI)
apply (safe elim!: lt_Ord2 dest!: lt_oadd_odiff_disj)
  apply (simp add: vv2_lepoll)
apply (simp add: ww2_lepoll vv2_subset)
done

```

```

lemma lemma_ii: "[| succ(m) ∈ NN(y); y*y ⊆ y; m ∈ nat; m≠0 |] ==>
m ∈ NN(y)"
apply (unfold NN_def)
apply (elim CollectE exE conjE)
apply (rule quant_domain_uu_lepoll_m [THEN cases, THEN disjE], assumption)

apply (simp add: lesspoll_succ_iff)
apply (rule_tac x = "a++a" in exI)
apply (fast intro!: Ord_oadd domain_gg1 UN_gg1_eq gg1_lepoll_m)

apply (elim oexE conjE)
apply (rule_tac A = "f'?B" in not_emptyE, assumption)
apply (rule CollectI)
apply (erule succ_natD)
apply (rule_tac x = "a++a" in exI)
apply (rule_tac x = "gg2 (f,a,b,x) " in exI)
apply (simp add: Ord_oadd domain_gg2 UN_gg2_eq gg2_lepoll_m)
done

```

```

lemma z_n_subset_z_succ_n:
  "∀n ∈ nat. rec(n, x, %k r. r Un r*r) ⊆ rec(succ(n), x, %k r. r
Un r*r)"
by (fast intro: rec_succ [THEN ssubst])

```

```

lemma le_subsets:
  "[| ∀n ∈ nat. f(n)≤f(succ(n)); n≤m; n ∈ nat; m ∈ nat |]
  ==> f(n)≤f(m)"
apply (erule_tac P = "n≤m" in rev_mp)
apply (rule_tac P = "%z. n≤z --> f (n) ⊆ f (z) " in nat_induct)
apply (auto simp add: le_iff)
done

```

```

lemma le_imp_rec_subset:
  "[| n≤m; m ∈ nat |]
  ==> rec(n, x, %k r. r Un r*r) ⊆ rec(m, x, %k r. r Un r*r)"
apply (rule z_n_subset_z_succ_n [THEN le_subsets])
apply (blast intro: lt_nat_in_nat)+
done

```

```

lemma lemma_iv: " $\exists y. x \text{ Un } y * y \subseteq y$ "
apply (rule_tac x = " $\bigcup n \in \text{nat. rec } (n, x, \%k \text{ r. } r \text{ Un } r * r)$ " in exI)
apply safe
apply (rule nat_0I [THEN UN_I], simp)
apply (rule_tac a = "succ (n Un na)" in UN_I)
apply (erule Un_nat_type [THEN nat_succI], assumption)
apply (auto intro: le_imp_rec_subset [THEN subsetD]
            intro!: Un_upper1_le Un_upper2_le Un_nat_type
            elim!: nat_into_Ord)
done

```

```

lemma W06_imp_NN_not_empty: "W06 ==> NN(y)  $\neq$  0"
by (unfold W06_def NN_def, clarify, blast)

```

```

lemma lemma1:
  "[| ( $\bigcup b < a. f' b$ ) = y;  $x \in y$ ;  $\forall b < a. f' b \lesssim 1$ ; Ord(a) |] ==>  $\exists c < a. f' c = \{x\}$ "
by (fast elim!: lepoll_1_is_sing)

```

```

lemma lemma2:
  "[| ( $\bigcup b < a. f' b$ ) = y;  $x \in y$ ;  $\forall b < a. f' b \lesssim 1$ ; Ord(a) |]
  ==>  $f' (\text{LEAST } i. f' i = \{x\}) = \{x\}$ "
apply (drule lemma1, assumption+)
apply (fast elim!: lt_Ord intro: LeastI)
done

```

```

lemma NN_imp_ex_inj: " $1 \in \text{NN}(y) ==> \exists a f. \text{Ord}(a) \ \& \ f \in \text{inj}(y, a)$ "
apply (unfold NN_def)
apply (elim CollectE exE conjE)
apply (rule_tac x = a in exI)

```

```

apply (rule_tac x = "λx ∈ y. LEAST i. f'i = {x}" in exI)
apply (rule conjI, assumption)
apply (rule_tac d = "%i. THE x. x ∈ f'i" in lam_injective)
apply (drule lemma1, assumption+)
apply (fast elim!: Least_le [THEN lt_trans1, THEN ltD] lt_Ord)
apply (rule lemma2 [THEN ssubst], assumption+, blast)
done

lemma y_well_ord: "[| y*y ⊆ y; 1 ∈ NN(y) |] ==> ∃r. well_ord(y, r)"
apply (drule NN_imp_ex_inj)
apply (fast elim!: well_ord_rvimage [OF _ well_ord_Memrel])
done

lemma rev_induct_lemma [rule_format]:
  "[| n ∈ nat; !!m. [| m ∈ nat; m≠0; P(succ(m)) |] ==> P(m) |]
   ==> n≠0 --> P(n) --> P(1)"
by (erule nat_induct, blast+)

lemma rev_induct:
  "[| n ∈ nat; P(n); n≠0;
   !!m. [| m ∈ nat; m≠0; P(succ(m)) |] ==> P(m) |]
   ==> P(1)"
by (rule rev_induct_lemma, blast+)

lemma NN_into_nat: "n ∈ NN(y) ==> n ∈ nat"
by (simp add: NN_def)

lemma lemma3: "[| n ∈ NN(y); y*y ⊆ y; n≠0 |] ==> 1 ∈ NN(y)"
apply (rule rev_induct [OF NN_into_nat], assumption+)
apply (rule lemma_ii, assumption+)
done

lemma NN_y_0: "0 ∈ NN(y) ==> y=0"
apply (unfold NN_def)
apply (fast intro!: equalityI dest!: lepoll_0_is_0 elim: subst)
done

lemma W06_imp_W01: "W06 ==> W01"
apply (unfold W01_def)
apply (rule allI)

```

```

apply (case_tac "A=0")
apply (fast intro!: well_ord_Memrel nat_OI [THEN nat_into_Ord])
apply (rule_tac x1 = A in lemma_iv [THEN revcut_rl])
apply (erule exE)
apply (drule W06_imp_NN_not_empty)
apply (erule Un_subset_iff [THEN iffD1, THEN conjE])
apply (erule_tac A = "NN (y) " in not_emptyE)
apply (frule y_well_ord)
  apply (fast intro!: lemma3 dest!: NN_y_0 elim!: not_emptyE)
apply (fast elim: well_ord_subset)
done

end

```

```

theory W01_W07
imports AC_Equiv
begin

```

```

definition
  "LEMMA ==
     $\forall X. \sim \text{Finite}(X) \rightarrow (\exists R. \text{well\_ord}(X,R) \ \& \ \sim \text{well\_ord}(X,\text{converse}(R)))"$ 

```

```

lemma W07_iff_LEMMA: "W07 <-> LEMMA"
apply (unfold W07_def LEMMA_def)
apply (blast intro: Finite_well_ord_converse)
done

```

```

lemma LEMMA_imp_W01: "LEMMA ==> W01"
apply (unfold W01_def LEMMA_def Finite_def eqpoll_def)
apply (blast intro!: well_ord_rvimage [OF bij_is_inj nat_implies_well_ord])
done

```

```

lemma converse_Memrel_not_wf_on:
  "[| Ord(a); ~Finite(a) |] ==> ~wf[a](converse(Memrel(a)))"
apply (unfold wf_on_def wf_def)
apply (drule nat_le_infinite_Ord [THEN le_imp_subset], assumption)
apply (rule notI)
apply (erule_tac x = nat in allE, blast)
done

lemma converse_Memrel_not_well_ord:
  "[| Ord(a); ~Finite(a) |] ==> ~well_ord(a, converse(Memrel(a)))"
apply (unfold well_ord_def)
apply (blast dest: converse_Memrel_not_wf_on)
done

lemma well_ord_rvimage_ordertype:
  "well_ord(A,r) ==>
    rvimage (ordertype(A,r), converse(ordermap(A,r)),r) =
    Memrel(ordertype(A,r))"
by (blast intro: ordertype_ord_iso [THEN ord_iso_sym] ord_iso_rvimage_eq
    Memrel_type [THEN subset_Int_iff [THEN iffD1]] trans)

lemma well_ord_converse_Memrel:
  "[| well_ord(A,r); well_ord(A,converse(r)) |]
  ==> well_ord(ordertype(A,r), converse(Memrel(ordertype(A,r))))"

apply (subst well_ord_rvimage_ordertype [symmetric], assumption)
apply (rule rvimage_converse [THEN subst])
apply (blast intro: ordertype_ord_iso ord_iso_sym ord_iso_is_bij
    bij_is_inj well_ord_rvimage)
done

lemma W01_imp_LEMMA: "W01 ==> LEMMA"
apply (unfold W01_def LEMMA_def, clarify)
apply (blast dest: well_ord_converse_Memrel
    Ord_ordertype [THEN converse_Memrel_not_well_ord]
    intro: ordertype_ord_iso ord_iso_is_bij bij_is_inj lepoll_Finite
    lepoll_def [THEN def_imp_iff, THEN iffD2] )
done

lemma W01_iff_W07: "W01 <=> W07"
apply (simp add: W07_iff_LEMMA)
apply (blast intro: LEMMA_imp_W01 W01_imp_LEMMA)
done

```

```

lemma W01_W08: "W01 ==> W08"
by (unfold W01_def W08_def, fast)

```

```

lemma W08_W01: "W08 ==> W01"
apply (unfold W01_def W08_def)
apply (rule allI)
apply (erule_tac x = "{x}. x ∈ A" in allE)
apply (erule impE)
  apply (rule_tac x = "λa ∈ {x}. x ∈ A. THE x. a={x}" in exI)
  apply (force intro!: lam_type simp add: singleton_eq_iff the_equality)
apply (blast intro: lam_sing_bij bij_is_inj well_ord_rvimage)
done

end

```

```

theory AC7_AC9
imports AC_Equiv
begin

```

```

lemma Sigma_fun_space_not0: "[| 0 ∉ A; B ∈ A |] ==> (nat->Union(A)) *
B ≠ 0"
by (blast dest!: Sigma_empty_iff [THEN iffD1] Union_empty_iff [THEN iffD1])

```

```

lemma inj_lemma:
  "C ∈ A ==> (λg ∈ (nat->Union(A))*C.
    (λn ∈ nat. if(n=0, snd(g), fst(g)‘(n #- 1))))
    ∈ inj((nat->Union(A))*C, (nat->Union(A)) ) "
apply (unfold inj_def)
apply (rule CollectI)
apply (fast intro!: lam_type if_type apply_type fst_type snd_type, auto)

apply (rule fun_extension, assumption+)

```



```

apply (drule lam_eqE [OF _ nat_succI], assumption, simp)
apply (drule lam_eqE [OF _ nat_0I], simp)
done

lemma Sigma_fun_space_eqpoll:
  "[| C ∈ A; 0 ∉ A |] ==> (nat->Union(A)) * C ≈ (nat->Union(A))"
apply (rule eqpollI)
apply (simp add: lepoll_def)
apply (fast intro!: inj_lemma)
apply (fast elim!: prod_lepoll_self not_sym [THEN not_emptyE] subst_elem

      elim: swap)
done

lemma AC6_AC7: "AC6 ==> AC7"
by (unfold AC6_def AC7_def, blast)

lemma lemma1_1: "y ∈ (Π B ∈ A. Y*B) ==> (λB ∈ A. snd(y'B)) ∈ (Π B
∈ A. B)"
by (fast intro!: lam_type snd_type apply_type)

lemma lemma1_2:
  "y ∈ (Π B ∈ {Y*C. C ∈ A}. B) ==> (λB ∈ A. y'(Y*B)) ∈ (Π B ∈ A.
Y*B)"
apply (fast intro!: lam_type apply_type)
done

lemma AC7_AC6_lemma1:
  "(Π B ∈ {(nat->Union(A))*C. C ∈ A}. B) ≠ 0 ==> (Π B ∈ A. B) ≠
0"
by (fast intro!: equals0I lemma1_1 lemma1_2)

lemma AC7_AC6_lemma2: "0 ∉ A ==> 0 ∉ {(nat -> Union(A)) * C. C ∈ A}"
by (blast dest: Sigma_fun_space_not0)

lemma AC7_AC6: "AC7 ==> AC6"
apply (unfold AC6_def AC7_def)
apply (rule allI)

```

```

apply (rule impI)
apply (case_tac "A=0", simp)
apply (rule AC7_AC6_lemma1)
apply (erule allE)
apply (blast del: notI
          intro!: AC7_AC6_lemma2 intro: eqpoll_sym eqpoll_trans
                  Sigma_fun_space_eqpoll)
done

```

```

lemma AC1_AC8_lemma1:
  " $\forall B \in A. \exists B1 B2. B = \langle B1, B2 \rangle \ \& \ B1 \approx B2$ 
 $\implies 0 \notin \{ \text{bij}(\text{fst}(B), \text{snd}(B)). B \in A \}$ "
apply (unfold eqpoll_def, auto)
done

```

```

lemma AC1_AC8_lemma2:
  " $[ \mid f \in (\Pi X \in \text{RepFun}(A, p). X); D \in A \mid ] \implies (\lambda x \in A. f'p(x))'D$ 
 $\in p(D)$ "
apply (simp, fast elim!: apply_type)
done

```

```

lemma AC1_AC8: "AC1  $\implies$  AC8"
apply (unfold AC1_def AC8_def)
apply (fast dest: AC1_AC8_lemma1 AC1_AC8_lemma2)
done

```

```

lemma AC8_AC9_lemma:
  " $\forall B1 \in A. \forall B2 \in A. B1 \approx B2$ 
 $\implies \forall B \in A * A. \exists B1 B2. B = \langle B1, B2 \rangle \ \& \ B1 \approx B2$ "
by fast

```

```

lemma AC8_AC9: "AC8  $\implies$  AC9"
apply (unfold AC8_def AC9_def)
apply (intro allI impI)
apply (erule allE)
apply (erule impE, erule AC8_AC9_lemma, force)
done

```

```

lemma snd_lepoll_SigmaI: "b ∈ B ⇒ X ≲ B × X"
by (blast intro: lepoll_trans prod_lepoll_self eqpoll_imp_lepoll
    prod_commute_eqpoll)

lemma nat_lepoll_lemma:
  "[| 0 ∉ A; B ∈ A |] ⇒ nat ≲ ((nat → Union(A)) × B) × nat"
by (blast dest: Sigma_fun_space_not0 intro: snd_lepoll_SigmaI)

lemma AC9_AC1_lemma1:
  "[| 0 ∉ A; A ≠ 0;
    C = {(nat → Union(A)) * B * nat. B ∈ A} Un
        {cons(0, (nat → Union(A)) * B * nat). B ∈ A};
    B1 ∈ C; B2 ∈ C |]
  ⇒ B1 ≈ B2"
by (blast intro!: nat_lepoll_lemma Sigma_fun_space_eqpoll
    nat_cons_eqpoll [THEN eqpoll_trans]
    prod_eqpoll_cong [OF _ eqpoll_refl]
    intro: eqpoll_trans eqpoll_sym )

lemma AC9_AC1_lemma2:
  "∀ B1 ∈ {(F*B)*N. B ∈ A} Un {cons(0, (F*B)*N). B ∈ A}.
  ∀ B2 ∈ {(F*B)*N. B ∈ A} Un {cons(0, (F*B)*N). B ∈ A}.
  f'⟨B1, B2⟩ ∈ bij(B1, B2)
  ⇒ (λ B ∈ A. snd(fst((f'⟨cons(0, (F*B)*N), (F*B)*N⟩) '0))) ∈ (Π X
  ∈ A. X)"
apply (intro lam_type snd_type fst_type)
apply (rule apply_type [OF _ consI1])
apply (fast intro!: fun_weaken_type bij_is_fun)
done

lemma AC9_AC1: "AC9 ⇒ AC1"
apply (unfold AC1_def AC9_def)
apply (intro allI impI)
apply (erule allE)
apply (case_tac "A ≈ 0")
apply (blast dest: AC9_AC1_lemma1 AC9_AC1_lemma2, force)
done

end

```

```

theory W01_AC
imports AC_Equiv
begin

theorem W01_AC1: "W01 ==> AC1"
by (unfold AC1_def W01_def, fast elim!: ex_choice_fun)

lemma lemma1: "[| W01;  $\forall B \in A. \exists C \in D(B). P(C,B)$  |] ==>  $\exists f. \forall B \in A. P(f'B,B)$ "
apply (unfold W01_def)
apply (erule_tac x = "Union ({C  $\in$  D (B) . P (C,B) }. B  $\in$  A)" in allE)
apply (erule exE, drule ex_choice_fun, fast)
apply (erule exE)
apply (rule_tac x = " $\lambda x \in A. f'\{C \in D (x) . P (C,x) \}$ " in exI)
apply (simp, blast dest!: apply_type [OF _ RepFunI])
done

lemma lemma2_1: "[|  $\sim$ Finite(B); W01 |] ==>  $|B| + |B| \approx B$ "
apply (unfold W01_def)
apply (rule eqpoll_trans)
prefer 2 apply (fast elim!: well_ord_cardinal_eqpoll)
apply (rule eqpoll_sym [THEN eqpoll_trans])
apply (fast elim!: well_ord_cardinal_eqpoll)
apply (drule spec [of _ B])
apply (clarify dest!: eqpoll_imp_Finite_iff [OF well_ord_cardinal_eqpoll])

apply (simp add: cadd_def [symmetric]
      eqpoll_refl InfCard_cdouble_eq Card_cardinal Inf_Card_is_InfCard)

done

lemma lemma2_2:
  " $f \in \text{bij}(D+D, B) \implies \{f'\text{Inl}(i), f'\text{Inr}(i)\}. i \in D\} \in \text{Pow}(\text{Pow}(B))$ "
by (fast elim!: bij_is_fun [THEN apply_type])

lemma lemma2_3:
  " $f \in \text{bij}(D+D, B) \implies \text{pairwise\_disjoint}(\{f'\text{Inl}(i), f'\text{Inr}(i)\}.$ "

```

```

i ∈ D})"
apply (unfold pairwise_disjoint_def)
apply (blast dest: bij_is_inj [THEN inj_apply_equality])
done

lemma lemma2_4:
  "[| f ∈ bij(D+D, B); 1 ≤ n |]"
  ==> sets_of_size_between({{f'Inl(i), f'Inr(i)}. i ∈ D}, 2, succ(n))"
apply (simp (no_asm_simp) add: sets_of_size_between_def succ_def)
apply (blast intro!: cons_lepoll_cong
        intro: singleton_eqpoll_1 [THEN eqpoll_imp_lepoll]
        le_imp_subset [THEN subset_imp_lepoll] lepoll_trans
        dest: bij_is_inj [THEN inj_apply_equality] elim!: mem_irrefl)
done

lemma lemma2_5:
  "f ∈ bij(D+D, B) ==> Union({{f'Inl(i), f'Inr(i)}. i ∈ D})=B"
apply (unfold bij_def surj_def)
apply (fast elim!: inj_is_fun [THEN apply_type])
done

lemma lemma2:
  "[| W01; ~Finite(B); 1 ≤ n |]"
  ==> ∃ C ∈ Pow(Pow(B)). pairwise_disjoint(C) &
    sets_of_size_between(C, 2, succ(n)) &
    Union(C)=B"
apply (drule lemma2_1 [THEN eqpoll_def [THEN def_imp_iff, THEN iffD1]],
        assumption)
apply (blast intro!: lemma2_2 lemma2_3 lemma2_4 lemma2_5)
done

theorem W01_AC10: "[| W01; 1 ≤ n |] ==> AC10(n)"
apply (unfold AC10_def)
apply (fast intro!: lemma1 elim!: lemma2)
done

end

theory Hartog
imports AC_Equiv
begin

definition
  Hartog :: "i => i" where

```

```

    "Hartog(X) == LEAST i. ~ i  $\lesssim$  X"

lemma Ords_in_set: " $\forall a. \text{Ord}(a) \rightarrow a \in X \Rightarrow P$ "
apply (rule_tac X1 = "{y  $\in$  X. Ord (y) }" in ON_class [THEN revcut_rl])
apply fast
done

lemma Ord_lepoll_imp_ex_well_ord:
  "[| Ord(a); a  $\lesssim$  X |]
    $\Rightarrow \exists Y. Y \subseteq X \ \& \ (\exists R. \text{well\_ord}(Y,R) \ \& \ \text{ordertype}(Y,R)=a)$ "
apply (unfold lepoll_def)
apply (erule exE)
apply (intro exI conjI)
  apply (erule inj_is_fun [THEN fun_is_rel, THEN image_subset])
  apply (rule well_ord_rvimage [OF bij_is_inj well_ord_Memrel])
  apply (erule restrict_bij [THEN bij_converse_bij])
apply (rule subset_refl, assumption)
apply (rule trans)
apply (rule bij_ordertype_vimage)
apply (erule restrict_bij [THEN bij_converse_bij])
apply (rule subset_refl)
apply (erule well_ord_Memrel)
apply (erule ordertype_Memrel)
done

lemma Ord_lepoll_imp_eq_ordertype:
  "[| Ord(a); a  $\lesssim$  X |]  $\Rightarrow \exists Y. Y \subseteq X \ \& \ (\exists R. R \subseteq X*X \ \& \ \text{ordertype}(Y,R)=a)$ "
apply (drule Ord_lepoll_imp_ex_well_ord, assumption, clarify)
apply (intro exI conjI)
apply (erule_tac [3] ordertype_Int, auto)
done

lemma Ords_lepoll_set_lemma:
  " $(\forall a. \text{Ord}(a) \rightarrow a \lesssim X) \Rightarrow$ 
    $\forall a. \text{Ord}(a) \rightarrow$ 
    $a \in \{b. Z \in \text{Pow}(X)*\text{Pow}(X*X), \exists Y R. Z=\langle Y,R \rangle \ \& \ \text{ordertype}(Y,R)=b\}$ "
apply (intro allI impI)
apply (elim allE impE, assumption)
apply (blast dest!: Ord_lepoll_imp_eq_ordertype intro: sym)
done

lemma Ords_lepoll_set: " $\forall a. \text{Ord}(a) \rightarrow a \lesssim X \Rightarrow P$ "
by (erule Ords_lepoll_set_lemma [THEN Ords_in_set])

lemma ex_Ord_not_lepoll: " $\exists a. \text{Ord}(a) \ \& \ \sim a \lesssim X$ "
apply (rule ccontr)
apply (best intro: Ords_lepoll_set)
done

```

```

lemma not_Hartog_lepoll_self: "~ Hartog(A)  $\lesssim$  A"
apply (unfold Hartog_def)
apply (rule ex_Ord_not_lepoll [THEN exE])
apply (rule LeastI, auto)
done

lemmas Hartog_lepoll_selfE = not_Hartog_lepoll_self [THEN notE, standard]

lemma Ord_Hartog: "Ord(Hartog(A))"
by (unfold Hartog_def, rule Ord_Least)

lemma less_HartogE1: "[| i < Hartog(A); ~ i  $\lesssim$  A |] ==> P"
by (unfold Hartog_def, fast elim: less_LeastE)

lemma less_HartogE: "[| i < Hartog(A); i  $\approx$  Hartog(A) |] ==> P"
by (blast intro: less_HartogE1 eqpoll_sym eqpoll_imp_lepoll
    lepoll_trans [THEN Hartog_lepoll_selfE])

lemma Card_Hartog: "Card(Hartog(A))"
by (fast intro!: CardI Ord_Hartog elim: less_HartogE)

end

```

```

theory HH
imports AC_Equiv Hartog
begin

```

```

definition
  HH :: "[i, i, i] => i" where
    "HH(f,x,a) == transrec(a, %b r. let z = x - ( $\bigcup$  c  $\in$  b. r'c)
      in if f'z  $\in$  Pow(z)-{0} then f'z else
{x})"

```

0.1 Lemmas useful in each of the three proofs

```

lemma HH_def_satisfies_eq:
  "HH(f,x,a) = (let z = x - ( $\bigcup$  b  $\in$  a. HH(f,x,b))
    in if f'z  $\in$  Pow(z)-{0} then f'z else {x})"
by (rule HH_def [THEN def_transrec, THEN trans], simp)

lemma HH_values: "HH(f,x,a)  $\in$  Pow(x)-{0} | HH(f,x,a)={x}"
apply (rule HH_def_satisfies_eq [THEN ssubst])
apply (simp add: Let_def Diff_subset [THEN PowI], fast)
done

lemma subset_imp_Diff_eq:
  "B  $\subseteq$  A ==> X-( $\bigcup$  a  $\in$  A. P(a)) = X-( $\bigcup$  a  $\in$  A-B. P(a))-( $\bigcup$  b  $\in$  B. P(b))"

```

by fast

```
lemma Ord_DiffE: "[| c ∈ a-b; b<a |] ==> c=b | b<c & c<a"
apply (erule ltE)
apply (drule Ord_linear [of _ c])
apply (fast elim: Ord_in_Ord)
apply (fast intro!: ltI intro: Ord_in_Ord)
done
```

```
lemma Diff_UN_eq_self: "(!!y. y∈A ==> P(y) = {x}) ==> x - (⋃ y ∈ A.
P(y)) = x"
by (simp, fast elim!: mem_irrefl)
```

```
lemma HH_eq: "x - (⋃ b ∈ a. HH(f,x,b)) = x - (⋃ b ∈ a1. HH(f,x,b))
==> HH(f,x,a) = HH(f,x,a1)"
apply (subst HH_def_satisfies_eq [of _ _ a1])
apply (rule HH_def_satisfies_eq [THEN trans], simp)
done
```

```
lemma HH_is_x_gt_too: "[| HH(f,x,b)={x}; b<a |] ==> HH(f,x,a)={x}"
apply (rule_tac P = "b<a" in impE)
prefer 2 apply assumption+
apply (erule lt_Ord2 [THEN trans_induct])
apply (rule impI)
apply (rule HH_eq [THEN trans])
prefer 2 apply assumption+
apply (rule leI [THEN le_imp_subset, THEN subset_imp_Diff_eq, THEN ssubst],
      assumption)
apply (rule_tac t = "%z. z-?X" in subst_context)
apply (rule Diff_UN_eq_self)
apply (drule Ord_DiffE, assumption)
apply (fast elim: ltE, auto)
done
```

```
lemma HH_subset_x_lt_too:
  "[| HH(f,x,a) ∈ Pow(x)-{0}; b<a |] ==> HH(f,x,b) ∈ Pow(x)-{0}"
apply (rule HH_values [THEN disjE], assumption)
apply (drule HH_is_x_gt_too, assumption)
apply (drule subst, assumption)
apply (fast elim!: mem_irrefl)
done
```

```
lemma HH_subset_x_imp_subset_Diff_UN:
  "HH(f,x,a) ∈ Pow(x)-{0} ==> HH(f,x,a) ∈ Pow(x - (⋃ b ∈ a. HH(f,x,b)))-{0}"
apply (drule HH_def_satisfies_eq [THEN subst])
apply (rule HH_def_satisfies_eq [THEN ssubst])
apply (simp add: Let_def Diff_subset [THEN PowI])
apply (drule split_if [THEN iffD1])
```



```

apply (fast elim!: mem_irrefl)
done

lemma HH_eq_arg_lt:
  "[| HH(f,x,v)=HH(f,x,w); HH(f,x,v) ∈ Pow(x)-{0}; v ∈ w |] ==> P"
apply (frule_tac P = "%y. y ∈ Pow (x) -{0}" in subst, assumption)
apply (drule_tac a = w in HH_subset_x_imp_subset_Diff_UN)
apply (drule subst_elem, assumption)
apply (fast intro!: singleton_iff [THEN iffD2] equals0I)
done

lemma HH_eq_imp_arg_eq:
  "[| HH(f,x,v)=HH(f,x,w); HH(f,x,w) ∈ Pow(x)-{0}; Ord(v); Ord(w) |] ==>
v=w"
apply (rule_tac j = w in Ord_linear_lt)
apply (simp_all (no_asm_simp))
  apply (drule subst_elem, assumption)
  apply (blast dest: ltD HH_eq_arg_lt)
apply (blast dest: HH_eq_arg_lt [OF sym] ltD)
done

lemma HH_subset_x_imp_lepoll:
  "[| HH(f, x, i) ∈ Pow(x)-{0}; Ord(i) |] ==> i lepoll Pow(x)-{0}"
apply (unfold lepoll_def inj_def)
apply (rule_tac x = "λj ∈ i. HH (f, x, j) " in exI)
apply (simp (no_asm_simp))
apply (fast del: DiffE
  elim!: HH_eq_imp_arg_eq Ord_in_Ord HH_subset_x_lt_too
  intro!: lam_type ballI ltI intro: bexI)
done

lemma HH_Hartog_is_x: "HH(f, x, Hartog(Pow(x)-{0})) = {x}"
apply (rule HH_values [THEN disjE])
prefer 2 apply assumption
apply (fast del: DiffE
  intro!: Ord_Hartog
  dest!: HH_subset_x_imp_lepoll
  elim!: Hartog_lepoll_selfE)
done

lemma HH_Least_eq_x: "HH(f, x, LEAST i. HH(f, x, i) = {x}) = {x}"
by (fast intro!: Ord_Hartog HH_Hartog_is_x LeastI)

lemma less_Least_subset_x:
  "a ∈ (LEAST i. HH(f,x,i)={x}) ==> HH(f,x,a) ∈ Pow(x)-{0}"
apply (rule HH_values [THEN disjE], assumption)
apply (rule less_LeastE)
apply (erule_tac [2] ltI [OF _ Ord_Least], assumption)
done

```

0.2 Lemmas used in the proofs of $AC1 \Rightarrow_i WO2$ and $AC17 \Rightarrow_i AC1$

```

lemma lam_Least_HH_inj_Pow:
  "(\lambda a \in (LEAST i. HH(f,x,i)={x}). HH(f,x,a))
   \in inj(LEAST i. HH(f,x,i)={x}, Pow(x)-{0})"
apply (unfold inj_def, simp)
apply (fast intro!: lam_type dest: less_Least_subset_x
      elim!: HH_eq_imp_arg_eq Ord_Least [THEN Ord_in_Ord])
done

lemma lam_Least_HH_inj:
  "\forall a \in (LEAST i. HH(f,x,i)={x}). \exists z \in x. HH(f,x,a) = {z}
   ==> (\lambda a \in (LEAST i. HH(f,x,i)={x}). HH(f,x,a))
      \in inj(LEAST i. HH(f,x,i)={x}, {\{y\}. y \in x})"
by (rule lam_Least_HH_inj_Pow [THEN inj_strengthen_type], simp)

lemma lam_surj_sing:
  "[| x - (\bigcup a \in A. F(a)) = 0; \forall a \in A. \exists z \in x. F(a) = {z} |]
   ==> (\lambda a \in A. F(a)) \in surj(A, {\{y\}. y \in x})"
apply (simp add: surj_def lam_type Diff_eq_0_iff)
apply (blast elim: equalityE)
done

lemma not_emptyI2: "y \in Pow(x)-{0} ==> x \neq 0"
by auto

lemma f_subset_imp_HH_subset:
  "f'(x - (\bigcup j \in i. HH(f,x,j))) \in Pow(x - (\bigcup j \in i. HH(f,x,j)))-{0}
   ==> HH(f, x, i) \in Pow(x) - {0}"
apply (rule HH_def_satisfies_eq [THEN ssubst])
apply (simp add: Let_def Diff_subset [THEN PowI] not_emptyI2 [THEN if_P],
      fast)
done

lemma f_subsets_imp_UN_HH_eq_x:
  "\forall z \in Pow(x)-{0}. f'z \in Pow(z)-{0}
   ==> x - (\bigcup j \in (LEAST i. HH(f,x,i)={x}). HH(f,x,j)) = 0"
apply (case_tac "?P \in {0}", fast)
apply (drule Diff_subset [THEN PowI, THEN DiffI])
apply (drule bspec, assumption)
apply (drule f_subset_imp_HH_subset)
apply (blast dest!: subst_elem [OF _ HH_Least_eq_x [symmetric]]
      elim!: mem_irrefl)
done

lemma HH_values2: "HH(f,x,i) = f'(x - (\bigcup j \in i. HH(f,x,j))) | HH(f,x,i)={x}"
apply (rule HH_def_satisfies_eq [THEN ssubst])

```

```

apply (simp add: Let_def Diff_subset [THEN PowI])
done

lemma HH_subset_imp_eq:
  "HH(f,x,i): Pow(x)-{0} ==> HH(f,x,i)=f'(x - ( $\bigcup j \in i.$  HH(f,x,j)))"
apply (rule HH_values2 [THEN disjE], assumption)
apply (fast elim!: equalityE mem_irrefl dest!: singleton_subsetD)
done

lemma f_sing_imp_HH_sing:
  "[| f  $\in$  (Pow(x)-{0}) -> {z}. z  $\in$  x];
   a  $\in$  (LEAST i. HH(f,x,i)={x}) |] ==>  $\exists z \in x.$  HH(f,x,a) = {z}"
apply (drule less_Least_subset_x)
apply (frule HH_subset_imp_eq)
apply (drule apply_type)
apply (rule Diff_subset [THEN PowI, THEN DiffI])
apply (fast dest!: HH_subset_x_imp_subset_Diff_UN [THEN not_emptyI2],
force)
done

lemma f_sing_lam_bij:
  "[| x - ( $\bigcup j \in$  (LEAST i. HH(f,x,i)={x}). HH(f,x,j)) = 0;
   f  $\in$  (Pow(x)-{0}) -> {z}. z  $\in$  x |]
  ==> ( $\lambda a \in$  (LEAST i. HH(f,x,i)={x}). HH(f,x,a))
     $\in$  bij(LEAST i. HH(f,x,i)={x}, {y}. y  $\in$  x)"
apply (unfold bij_def)
apply (fast intro!: lam_Least_HH_inj lam_surj_sing f_sing_imp_HH_sing)
done

lemma lam_singI:
  "f  $\in$  ( $\Pi X \in$  Pow(x)-{0}. F(X))
  ==> ( $\lambda X \in$  Pow(x)-{0}. {f'X})  $\in$  ( $\Pi X \in$  Pow(x)-{0}. {z}. z  $\in$  F(X))"
by (fast del: DiffI DiffE
    intro!: lam_type singleton_eq_iff [THEN iffD2] dest: apply_type)

lemmas bij_Least_HH_x =
  comp_bij [OF f_sing_lam_bij [OF _ lam_singI]
    lam_sing_bij [THEN bij_converse_bij], standard]

```

0.3 The proof of AC1 ==_i WO2

```

lemma bijection:
  "f  $\in$  ( $\Pi X \in$  Pow(x) - {0}. X)
  ==>  $\exists g.$  g  $\in$  bij(x, LEAST i. HH( $\lambda X \in$  Pow(x)-{0}. {f'X}, x, i) =
{x})"
apply (rule exI)
apply (rule bij_Least_HH_x [THEN bij_converse_bij])
apply (rule f_subsets_imp_UN_HH_eq_x)

```

```

apply (intro ballI apply_type)
apply (fast intro: lam_type apply_type del: DiffE, assumption)
apply (fast intro: Pi_weaken_type)
done

```

```

lemma AC1_W02: "AC1 ==> W02"
apply (unfold AC1_def W02_def eqpoll_def)
apply (intro allI)
apply (drule_tac x = "Pow(A) - {0}" in spec)
apply (blast dest: bijection)
done

```

```

end

```

```

theory AC15_W06
imports HH Cardinal_aux
begin

```

```

lemma lepoll_Sigma: "A ≠ 0 ==> B ≲ A*B"
apply (unfold lepoll_def)
apply (erule not_emptyE)
apply (rule_tac x = "λz ∈ B. <x,z>" in exI)
apply (fast intro!: snd_conv lam_injective)
done

```

```

lemma cons_times_nat_not_Finite:
  "0 ∉ A ==> ∀ B ∈ {cons(0,x*nat). x ∈ A}. ~Finite(B)"
apply clarify
apply (rule nat_not_Finite [THEN notE] )
apply (subgoal_tac "x ~= 0")
  apply (blast intro: lepoll_Sigma [THEN lepoll_Finite])
done

```

```

lemma lemma1: "[| Union(C)=A; a ∈ A |] ==> ∃ B ∈ C. a ∈ B & B ⊆ A"
by fast

```

```

lemma lemma2:
  "[| pairwise_disjoint(A); B ∈ A; C ∈ A; a ∈ B; a ∈ C |] ==>

```

```

B=C"
by (unfold pairwise_disjoint_def, blast)

lemma lemma3:
  "∀B ∈ {cons(0, x*nat). x ∈ A}. pairwise_disjoint(f'B) &
    sets_of_size_between(f'B, 2, n) & Union(f'B)=B
  ==> ∀B ∈ A. ∃! u. u ∈ f'cons(0, B*nat) & u ⊆ cons(0, B*nat) &

    0 ∈ u & 2 ≲ u & u ≲ n"
apply (unfold sets_of_size_between_def)
apply (rule ballI)
apply (erule_tac x="cons(0, B*nat)" in ballE)
  apply (blast dest: lemma1 intro!: lemma2, blast)
done

lemma lemma4: "[| A ≲ i; Ord(i) |] ==> {P(a). a ∈ A} ≲ i"
apply (unfold lepoll_def)
apply (erule exE)
apply (rule_tac x = "λx ∈ RepFun(A,P). LEAST j. ∃ a∈A. x=P(a) & f'a=j"
  in exI)
apply (rule_tac d = "%y. P (converse (f) 'y) " in lam_injective)
apply (erule RepFunE)
apply (frule inj_is_fun [THEN apply_type], assumption)
apply (fast intro: LeastI2 elim!: Ord_in_Ord inj_is_fun [THEN apply_type])
apply (erule RepFunE)
apply (rule LeastI2)
  apply fast
  apply (fast elim!: Ord_in_Ord inj_is_fun [THEN apply_type])
apply (fast elim: sym left_inverse [THEN ssubst])
done

lemma lemma5_1:
  "[| B ∈ A; 2 ≲ u(B) |] ==> (λx ∈ A. {fst(x). x ∈ u(x)-{0}})'B ≠
  0"
apply simp
apply (fast dest: lepoll_Diff_sing
  elim: lepoll_trans [THEN succ_lepoll_natE] ssubst
  intro!: lepoll_refl)
done

lemma lemma5_2:
  "[| B ∈ A; u(B) ⊆ cons(0, B*nat) |]
  ==> (λx ∈ A. {fst(x). x ∈ u(x)-{0}})'B ⊆ B"
apply auto
done

lemma lemma5_3:
  "[| n ∈ nat; B ∈ A; 0 ∈ u(B); u(B) ≲ succ(n) |]"

```

```

      ==> (λx ∈ A. {fst(x). x ∈ u(x)-{0}})'B ≲ n"
    apply simp
    apply (fast elim!: Diff_lepoll [THEN lemma4 [OF _ nat_into_Ord]])
    done

lemma ex_fun_AC13_AC15:
  "[| ∀B ∈ {cons(0, x*nat). x ∈ A}.
    pairwise_disjoint(f'B) &
    sets_of_size_between(f'B, 2, succ(n)) & Union(f'B)=B;

    n ∈ nat |]
  ==> ∃f. ∀B ∈ A. f'B ≠ 0 & f'B ⊆ B & f'B ≲ n"
by (fast del: subsetI notI
    dest!: lemma3 theI intro!: lemma5_1 lemma5_2 lemma5_3)

```

```

theorem AC10_AC11: "[| n ∈ nat; 1 ≤ n; AC10(n) |] ==> AC11"
by (unfold AC10_def AC11_def, blast)

```

```

theorem AC11_AC12: "AC11 ==> AC12"
by (unfold AC10_def AC11_def AC12_def, blast)

```

```

theorem AC12_AC15: "AC12 ==> AC15"
apply (unfold AC12_def AC15_def)
apply (blast del: ballI
      intro!: cons_times_nat_not_Finite ex_fun_AC13_AC15)
done

```

```

lemma OUN_eq_UN: "Ord(x) ==> (⋃ a<x. F(a)) = (⋃ a ∈ x. F(a))"

```

```

by (fast intro!: ltI dest!: ltD)

lemma AC15_W06_aux1:
  "∀ x ∈ Pow(A) - {0}. f'x ≠ 0 & f'x ⊆ x & f'x ≲ m
   => (⋃ i < LEAST x. HH(f,A,x) = {A}. HH(f,A,i)) = A"
apply (simp add: Ord_Least [THEN OUN_eq_UN])
apply (rule equalityI)
apply (fast dest!: less_Least_subset_x)
apply (blast del: subsetI
         intro!: f_subsets_imp_UN_HH_eq_x [THEN Diff_eq_0_iff [THEN
iffD1]])
done

lemma AC15_W06_aux2:
  "∀ x ∈ Pow(A) - {0}. f'x ≠ 0 & f'x ⊆ x & f'x ≲ m
   => ∀ x < (LEAST x. HH(f,A,x) = {A}). HH(f,A,x) ≲ m"
apply (rule oallI)
apply (drule ltD [THEN less_Least_subset_x])
apply (frule HH_subset_imp_eq)
apply (erule ssubst)
apply (blast dest!: HH_subset_x_imp_subset_Diff_UN [THEN not_emptyI2])

done

theorem AC15_W06: "AC15 ==> W06"
apply (unfold AC15_def W06_def)
apply (rule allI)
apply (erule_tac x = "Pow (A) - {0}" in allE)
apply (erule impE, fast)
apply (elim bexE conjE exE)
apply (rule bexI)
  apply (rule conjI, assumption)
  apply (rule_tac x = "LEAST i. HH (f,A,i) = {A}" in exI)
  apply (rule_tac x = "λ j ∈ (LEAST i. HH (f,A,i) = {A}) . HH (f,A,j) "
in exI)
  apply (simp_all add: ltD)
apply (fast intro!: Ord_Least lam_type [THEN domain_of_fun]
        elim!: less_Least_subset_x AC15_W06_aux1 AC15_W06_aux2)
done

```

```

theorem AC10_AC13: "[| n ∈ nat; 1 ≤ n; AC10(n) |] ==> AC13(n)"
apply (unfold AC10_def AC13_def, safe)
apply (erule allE)
apply (erule impE [OF _ cons_times_nat_not_Finite], assumption)
apply (fast elim!: impE [OF _ cons_times_nat_not_Finite]
        dest!: ex_fun_AC13_AC15)
done

```

```

lemma AC1_AC13: "AC1 ==> AC13(1)"
apply (unfold AC1_def AC13_def)
apply (rule allI)
apply (erule allE)
apply (rule impI)
apply (drule mp, assumption)
apply (elim exE)
apply (rule_tac x = "λx ∈ A. {f'x}" in exI)
apply (simp add: singleton_eqpoll_1 [THEN eqpoll_imp_lepoll])
done

```

```

lemma AC13_mono: "[| m ≤ n; AC13(m) |] ==> AC13(n)"
apply (unfold AC13_def)
apply (drule le_imp_lepoll)
apply (fast elim!: lepoll_trans)
done

```



```

theorem AC13_AC14: "[| n ∈ nat; 1 ≤ n; AC13(n) |] ==> AC14"
by (unfold AC13_def AC14_def, auto)

```

```

theorem AC14_AC15: "AC14 ==> AC15"
by (unfold AC13_def AC14_def AC15_def, fast)

```

```

lemma lemma_aux: "[| A ≠ 0; A ≲ 1 |] ==> ∃ a. A = {a}"
by (fast elim!: not_emptyE lepoll_1_is_sing)

```

```

lemma AC13_AC1_lemma:
  "∀ B ∈ A. f(B) ≠ 0 & f(B) ≤ B & f(B) ≲ 1
  ==> (λx ∈ A. THE y. f(x) = {y}) ∈ (Π X ∈ A. X)"
apply (rule lam_type)
apply (drule bspec, assumption)
apply (elim conjE)
apply (erule lemma_aux [THEN exE], assumption)
apply (simp add: the_equality)
done

```

```

theorem AC13_AC1: "AC13(1) ==> AC1"
apply (unfold AC13_def AC1_def)
apply (fast elim!: AC13_AC1_lemma)
done

```

```

theorem AC11_AC14: "AC11 ==> AC14"
apply (unfold AC11_def AC14_def)
apply (fast intro!: AC10_AC13)
done

```

```

end

```

```

theory AC16_lemmas
imports AC_Equiv Hartog Cardinal_aux
begin

lemma cons_Diff_eq: " $a \notin A \implies \text{cons}(a, A) - \{a\} = A$ "
by fast

lemma nat_1_lepoll_iff: " $1 \lesssim X \iff (\exists x. x \in X)$ "
apply (unfold lepoll_def)
apply (rule iffI)
apply (fast intro: inj_is_fun [THEN apply_type])
apply (erule exE)
apply (rule_tac x = " $\lambda a \in 1. x$ " in exI)
apply (fast intro!: lam_injective)
done

lemma eqpoll_1_iff_singleton: " $X \approx 1 \iff (\exists x. X = \{x\})$ "
apply (rule iffI)
apply (erule eqpollE)
apply (drule nat_1_lepoll_iff [THEN iffD1])
apply (fast intro!: lepoll_1_is_sing)
apply (fast intro!: singleton_eqpoll_1)
done

lemma cons_eqpoll_succ: " $[| x \approx n; y \notin x |] \implies \text{cons}(y, x) \approx \text{succ}(n)$ "
apply (unfold succ_def)
apply (fast elim!: cons_eqpoll_cong mem_irrefl)
done

lemma subsets_eqpoll_1_eq: " $\{Y \in \text{Pow}(X). Y \approx 1\} = \{\{x\}. x \in X\}$ "
apply (rule equalityI)
apply (rule subsetI)
apply (erule CollectE)
apply (drule eqpoll_1_iff_singleton [THEN iffD1])
apply (fast intro!: RepFunI)
apply (rule subsetI)
apply (erule RepFunE)
apply (rule CollectI, fast)
apply (fast intro!: singleton_eqpoll_1)
done

lemma eqpoll_RepFun_sing: " $X \approx \{\{x\}. x \in X\}$ "
apply (unfold eqpoll_def bij_def)
apply (rule_tac x = " $\lambda x \in X. \{x\}$ " in exI)
apply (rule IntI)
apply (unfold inj_def surj_def, simp)
apply (fast intro!: lam_type RepFunI intro: singleton_eq_iff [THEN iffD1],
simp)

```

```

apply (fast intro!: lam_type)
done

lemma subsets_eqpoll_1_eqpoll: "{Y ∈ Pow(X). Y ≈ 1} ≈ X"
apply (rule subsets_eqpoll_1_eq [THEN ssubst])
apply (rule eqpoll_RepFun_sing [THEN eqpoll_sym])
done

lemma InfCard_Least_in:
  "[| InfCard(x); y ⊆ x; y ≈ succ(z) |] ==> (LEAST i. i ∈ y) ∈ y"
apply (erule eqpoll_sym [THEN eqpoll_imp_lepoll,
  THEN succ_lepoll_imp_not_empty, THEN not_emptyE])
apply (fast intro: LeastI
  dest!: InfCard_is_Card [THEN Card_is_Ord]
  elim: Ord_in_Ord)
done

lemma subsets_lepoll_lemma1:
  "[| InfCard(x); n ∈ nat |]
  ==> {y ∈ Pow(x). y ≈ succ(succ(n))} ≲ x * {y ∈ Pow(x). y ≈ succ(n)}"
apply (unfold lepoll_def)
apply (rule_tac x = "λy ∈ {y ∈ Pow(x). y ≈ succ (succ (n))}.
  <LEAST i. i ∈ y, y - {LEAST i. i ∈ y}>" in exI)
apply (rule_tac d = "%z. cons (fst(z), snd(z))" in lam_injective)
  apply (blast intro!: Diff_sing_eqpoll intro: InfCard_Least_in)
apply (simp, blast intro: InfCard_Least_in)
done

lemma set_of_Ord_succ_Union: "(∀y ∈ z. Ord(y)) ==> z ⊆ succ(Union(z))"
apply (rule subsetI)
apply (case_tac "∀y ∈ z. y ⊆ x", blast)
apply (simp, erule bexE)
apply (rule_tac i=y and j=x in Ord_linear_le)
apply (blast dest: le_imp_subset elim: leE ltE)+
done

lemma subset_not_mem: "j ⊆ i ==> i ∉ j"
by (fast elim!: mem_irrefl)

lemma succ_Union_not_mem:
  "(!!y. y ∈ z ==> Ord(y)) ==> succ(Union(z)) ∉ z"
apply (rule set_of_Ord_succ_Union [THEN subset_not_mem], blast)
done

lemma Union_cons_eq_succ_Union:
  "Union(cons(succ(Union(z)),z)) = succ(Union(z))"
by fast

lemma Un_Ord_disj: "[| Ord(i); Ord(j) |] ==> i Un j = i | i Un j = j"

```

```

by (fast dest!: le_imp_subset elim: Ord_linear_le)

lemma Union_eq_Un: "x ∈ X ==> Union(X) = x Un Union(X-{x})"
by fast

lemma Union_in_lemma [rule_format]:
  "n ∈ nat ==> ∀z. (∀y ∈ z. Ord(y)) & z≈n & z≠0 --> Union(z) ∈
  z"
  apply (induct_tac "n")
  apply (fast dest!: eqpoll_imp_lepoll [THEN lepoll_0_is_0])
  apply (intro allI impI)
  apply (erule natE)
  apply (fast dest!: eqpoll_1_iff_singleton [THEN iffD1]
    intro!: Union_singleton, clarify)
  apply (elim not_emptyE)
  apply (erule_tac x = "z-{xb}" in allE)
  apply (erule impE)
  apply (fast elim!: Diff_sing_eqpoll
    Diff_sing_eqpoll [THEN eqpoll_succ_imp_not_empty])
  apply (subgoal_tac "xb ∪ ⋃ (z - {xb}) ∈ z")
  apply (simp add: Union_eq_Un [symmetric])
  apply (frule bspec, assumption)
  apply (drule bspec)
  apply (erule Diff_subset [THEN subsetD])
  apply (drule Un_Ord_disj, assumption, auto)
done

lemma Union_in: "[| ∀x ∈ z. Ord(x); z≈n; z≠0; n ∈ nat |] ==> Union(z)
  ∈ z"
by (blast intro: Union_in_lemma)

lemma succ_Union_in_x:
  "[| InfCard(x); z ∈ Pow(x); z≈n; n ∈ nat |] ==> succ(Union(z))
  ∈ x"
  apply (rule Limit_has_succ [THEN ltE])
  prefer 3 apply assumption
  apply (erule InfCard_is_Limit)
  apply (case_tac "z=0")
  apply (simp, fast intro!: InfCard_is_Limit [THEN Limit_has_0])
  apply (rule ltI [OF PowD [THEN subsetD] InfCard_is_Card [THEN Card_is_Ord]],
    assumption)
  apply (blast intro: Union_in
    InfCard_is_Card [THEN Card_is_Ord, THEN Ord_in_Ord])+
done

lemma succ_lepoll_succ_succ:
  "[| InfCard(x); n ∈ nat |]
  ==> {y ∈ Pow(x). y≈succ(n)} ≲ {y ∈ Pow(x). y≈succ(succ(n))}"
  apply (unfold lepoll_def)

```

```

apply (rule_tac x = " $\lambda z \in \{y \in \text{Pow}(x). y \approx \text{succ}(n)\}. \text{cons}(\text{succ}(\text{Union}(z)), z)$ "
in exI)
apply (rule_tac d = " $\%z. z - \{\text{Union}(z)\}$ " in lam_injective)
apply (blast intro!: succ_Union_in_x succ_Union_not_mem
intro: cons_eqpoll_succ Ord_in_Ord
dest!: InfCard_is_Card [THEN Card_is_Ord])
apply (simp only: Union_cons_eq_succ_Union)
apply (rule cons_Diff_eq)
apply (fast dest!: InfCard_is_Card [THEN Card_is_Ord]
elim: Ord_in_Ord
intro!: succ_Union_not_mem)
done

lemma subsets_eqpoll_X:
" $[| \text{InfCard}(X); n \in \text{nat} |] \implies \{Y \in \text{Pow}(X). Y \approx \text{succ}(n)\} \approx X$ "
apply (induct_tac "n")
apply (rule subsets_eqpoll_1_eqpoll)
apply (rule eqpollI)
apply (rule subsets_lepoll_lemma1 [THEN lepoll_trans], assumption+)
apply (rule eqpoll_trans [THEN eqpoll_imp_lepoll])
apply (erule eqpoll_refl [THEN prod_eqpoll_cong])
apply (erule InfCard_square_eqpoll)
apply (fast elim: eqpoll_sym [THEN eqpoll_imp_lepoll, THEN lepoll_trans]
intro!: succ_lepoll_succ_succ)
done

lemma image_vimage_eq:
" $[| f \in \text{surj}(A, B); y \subseteq B |] \implies f''(\text{converse}(f)''y) = y$ "
apply (unfold surj_def)
apply (fast dest: apply_equality2 elim: apply_iff [THEN iffD2])
done

lemma vimage_image_eq: " $[| f \in \text{inj}(A, B); y \subseteq A |] \implies \text{converse}(f)''(f''y) = y$ "
by (fast elim!: inj_is_fun [THEN apply_Pair] dest: inj_equality)

lemma subsets_eqpoll:
" $A \approx B \implies \{Y \in \text{Pow}(A). Y \approx n\} \approx \{Y \in \text{Pow}(B). Y \approx n\}$ "
apply (unfold eqpoll_def)
apply (erule exE)
apply (rule_tac x = " $\lambda X \in \{Y \in \text{Pow}(A). \exists f. f \in \text{bij}(Y, n)\}. f''X$ "
in exI)
apply (rule_tac d = " $\%Z. \text{converse}(f)''Z$ " in lam_bijective)
apply (fast intro!: bij_is_inj [THEN restrict_bij, THEN bij_converse_bij,
THEN comp_bij]
elim!: bij_is_fun [THEN fun_is_rel, THEN image_subset])

```

```

apply (blast intro!: bij_is_inj [THEN restrict_bij]
      comp_bij bij_converse_bij
      bij_is_fun [THEN fun_is_rel, THEN image_subset])
apply (fast elim!: bij_is_inj [THEN vimage_image_eq])
apply (fast elim!: bij_is_surj [THEN image_vimage_eq])
done

lemma W02_imp_ex_Card: "W02 ==>  $\exists a. \text{Card}(a) \ \& \ X \approx a$ "
apply (unfold W02_def)
apply (drule spec [of _ X])
apply (blast intro: Card_cardinal eqpoll_trans
      well_ord_Memrel [THEN well_ord_cardinal_eqpoll, THEN eqpoll_sym])
done

lemma lepoll_infinite: "[ $X \lesssim Y; \sim \text{Finite}(X)$ ] ==>  $\sim \text{Finite}(Y)$ "
by (blast intro: lepoll_Finite)

lemma infinite_Card_is_InfCard: "[ $\sim \text{Finite}(X); \text{Card}(X)$ ] ==> InfCard(X)"
apply (unfold InfCard_def)
apply (fast elim!: Card_is_Ord [THEN nat_le_infinite_Ord])
done

lemma W02_infinite_subsets_eqpoll_X: "[ $W02; n \in \text{nat}; \sim \text{Finite}(X)$ ] ==>
  ==>  $\{Y \in \text{Pow}(X). Y \approx \text{succ}(n)\} \approx X$ "
apply (drule W02_imp_ex_Card)
apply (elim allE exE conjE)
apply (frule eqpoll_imp_lepoll [THEN lepoll_infinite], assumption)
apply (drule infinite_Card_is_InfCard, assumption)
apply (blast intro: subsets_eqpoll subsets_eqpoll_X eqpoll_sym eqpoll_trans)
done

lemma well_ord_imp_ex_Card: "well_ord(X,R) ==>  $\exists a. \text{Card}(a) \ \& \ X \approx a$ "
by (fast elim!: well_ord_cardinal_eqpoll [THEN eqpoll_sym]
    intro!: Card_cardinal)

lemma well_ord_infinite_subsets_eqpoll_X:
  "[ $\text{well\_ord}(X,R); n \in \text{nat}; \sim \text{Finite}(X)$ ] ==>  $\{Y \in \text{Pow}(X). Y \approx \text{succ}(n)\} \approx X$ "
apply (drule well_ord_imp_ex_Card)
apply (elim allE exE conjE)
apply (frule eqpoll_imp_lepoll [THEN lepoll_infinite], assumption)
apply (drule infinite_Card_is_InfCard, assumption)
apply (blast intro: subsets_eqpoll subsets_eqpoll_X eqpoll_sym eqpoll_trans)
done

end

```

```
theory W02_AC16 imports AC_Equiv AC16_lemmas Cardinal_aux begin
```

```
definition
```

```
  recfunAC16 :: "[i,i,i,i] => i" where
    "recfunAC16(f,h,i,a) ==
      transrec2(i, 0,
        %g r. if (∃ y ∈ r. h'g ⊆ y) then r
              else r Un {f'(LEAST i. h'g ⊆ f'i &
                (∀ b<a. (h'b ⊆ f'i --> (∀ t ∈ r. ~ h'b ⊆ t))))})"
```

```
lemma recfunAC16_0: "recfunAC16(f,h,0,a) = 0"
```

```
by (simp add: recfunAC16_def)
```

```
lemma recfunAC16_succ:
```

```
  "recfunAC16(f,h,succ(i),a) =
    (if (∃ y ∈ recfunAC16(f,h,i,a). h' i ⊆ y) then recfunAC16(f,h,i,a)

      else recfunAC16(f,h,i,a) Un
        {f' (LEAST j. h' i ⊆ f' j &
          (∀ b<a. (h'b ⊆ f'j
            --> (∀ t ∈ recfunAC16(f,h,i,a). ~ h'b ⊆ t))))})"
```

```
apply (simp add: recfunAC16_def)
```

```
done
```

```
lemma recfunAC16_Limit: "Limit(i)
```

```
  ==> recfunAC16(f,h,i,a) = (⋃ j<i. recfunAC16(f,h,j,a))"
```

```
by (simp add: recfunAC16_def transrec2_Limit)
```

```
lemma transrec2_mono_lemma [rule_format]:
```

```
  "[| !!g r. r ⊆ B(g,r); Ord(i) |]
   ==> j<i --> transrec2(j, 0, B) ⊆ transrec2(i, 0, B)"
```

```
apply (erule trans_induct)
```

```
apply (rule Ord_cases, assumption+, fast)
```

```
apply (simp (no_asm_simp))
```

```
apply (blast elim!: leE)
```

```
apply (simp add: transrec2_Limit)
```

```
apply (blast intro: OUN_I ltI Ord_in_Ord [THEN le_refl])
```

```

elim!: Limit_has_succ [THEN ltE])
done

lemma transrec2_mono:
  "[| !!g r. r ⊆ B(g,r); j ≤ i |]
   => transrec2(j, 0, B) ⊆ transrec2(i, 0, B)"
apply (erule leE)
apply (rule transrec2_mono_lemma)
apply (auto intro: lt_Ord2 )
done

lemma recfunAC16_mono:
  "i ≤ j => recfunAC16(f, g, i, a) ⊆ recfunAC16(f, g, j, a)"
apply (unfold recfunAC16_def)
apply (rule transrec2_mono, auto)
done

lemma lemma3_1:
  "[| ∀y<x. ∀z<a. z<y | (∃Y ∈ F(y). f(z)≤Y) --> (∃! Y. Y ∈ F(y)
  & f(z)≤Y);
   ∀i j. i ≤ j --> F(i) ⊆ F(j); j ≤ i; i<x; z<a;
   V ∈ F(i); f(z)≤V; W ∈ F(j); f(z)≤W |]
   ==> V = W"
apply (erule asm_rl allE impE)+
apply (drule subsetD, assumption, blast)
done

lemma lemma3:
  "[| ∀y<x. ∀z<a. z<y | (∃Y ∈ F(y). f(z)≤Y) --> (∃! Y. Y ∈ F(y)
  & f(z)≤Y);
   ∀i j. i ≤ j --> F(i) ⊆ F(j); i<x; j<x; z<a;
   V ∈ F(i); f(z)≤V; W ∈ F(j); f(z)≤W |]
   ==> V = W"
apply (rule_tac j=j in Ord_linear_le [OF lt_Ord lt_Ord], assumption+)
apply (erule lemma3_1 [symmetric], assumption+)
apply (erule lemma3_1, assumption+)
done

lemma lemma4:

```



```

"[/  $\forall y < x. F(y) \subseteq X$  &
  ( $\forall x < a. x < y \mid (\exists Y \in F(y). h(x) \subseteq Y) \rightarrow$ 
    ( $\exists ! Y. Y \in F(y) \ \& \ h(x) \subseteq Y$ ));
   $x < a$  /]
==>  $\forall y < x. \forall z < a. z < y \mid (\exists Y \in F(y). h(z) \subseteq Y) \rightarrow$ 
  ( $\exists ! Y. Y \in F(y) \ \& \ h(z) \subseteq Y$ )"

apply (intro oallI impI)
apply (drule ospec, assumption, clarify)
apply (blast elim!: oallE )
done

lemma lemma5:
"[/  $\forall y < x. F(y) \subseteq X$  &
  ( $\forall x < a. x < y \mid (\exists Y \in F(y). h(x) \subseteq Y) \rightarrow$ 
    ( $\exists ! Y. Y \in F(y) \ \& \ h(x) \subseteq Y$ ));
   $x < a$ ; Limit(x);  $\forall i \ j. i \leq j \rightarrow F(i) \subseteq F(j)$  /]
==> ( $\bigcup_{x < x} F(x)$ )  $\subseteq X$  &
  ( $\forall xa < a. xa < x \mid (\exists x \in \bigcup_{x < x} F(x). h(xa) \subseteq x)$ 
     $\rightarrow (\exists ! Y. Y \in (\bigcup_{x < x} F(x)) \ \& \ h(xa) \subseteq Y)$ )"

apply (rule conjI)
apply (rule subsetI)
apply (erule OUN_E)
apply (drule ospec, assumption, fast)
apply (drule lemma4, assumption)
apply (rule oallI)
apply (rule impI)
apply (erule disjE)
apply (frule ospec, erule Limit_has_succ, assumption)
apply (drule_tac A = a and x = xa in ospec, assumption)
apply (erule impE, rule le_refl [THEN disjI1], erule lt_Ord)
apply (blast intro: lemma3 Limit_has_succ)
apply (blast intro: lemma3)
done

```

```

lemma dbl_Diff_eqpoll_Card:
  "[/  $A \approx a$ ; Card(a);  $\sim$ Finite(a);  $B < a$ ;  $C < a$  /] ==>  $A - B - C \approx a$ "
by (blast intro: Diff_lesspoll_eqpoll_Card)

```

```

lemma Finite_lesspoll_infinite_Ord:
  "[| Finite(X); ~Finite(a); Ord(a) |] ==> X<a"
apply (unfold lesspoll_def)
apply (rule conjI)
apply (drule nat_le_infinite_Ord [THEN le_imp_lepoll], assumption)
apply (unfold Finite_def)
apply (blast intro: leI [THEN le_imp_subset, THEN subset_imp_lepoll]
        ltI eqpoll_imp_lepoll lepoll_trans)
apply (blast intro: eqpoll_sym [THEN eqpoll_trans])
done

lemma Union_lesspoll:
  "[|  $\forall x \in X. x \text{ lepoll } n \ \& \ x \subseteq T$ ; well_ord(T, R); X lepoll b;
    b<a; ~Finite(a); Card(a); n  $\in$  nat |]
  ==> Union(X) <a"
apply (case_tac "Finite (X)")
apply (blast intro: Card_is_Ord Finite_lesspoll_infinite_Ord
        lepoll_nat_imp_Finite Finite_Union)
apply (drule lepoll_imp_ex_le_eqpoll)
apply (erule lt_Ord)
apply (elim exE conjE)
apply (frule eqpoll_imp_lepoll [THEN lepoll_infinite], assumption)
apply (erule eqpoll_sym [THEN eqpoll_def [THEN def_imp_iff, THEN iffD1],
        THEN exE])
apply (frule bij_is_surj [THEN surj_image_eq])
apply (drule image_fun [OF bij_is_fun subset_refl])
apply (drule sym [THEN trans], assumption)
apply (blast intro: lt_Ord UN_lepoll lt_Card_imp_lesspoll
        lt_trans1 lesspoll_trans1)
done

lemma Un_sing_eq_cons: "A Un {a} = cons(a, A)"
by fast

lemma Un_lepoll_succ: "A lepoll B ==> A Un {a} lepoll succ(B)"
apply (simp add: Un_sing_eq_cons succ_def)
apply (blast elim!: mem_irrefl intro: cons_lepoll_cong)
done

lemma Diff_UN_succ_empty: "Ord(a) ==> F(a) - ( $\bigcup b < \text{succ}(a). F(b)$ ) = 0"

```

```

by (fast intro!: le_refl)

lemma Diff_UN_succ_subset: "Ord(a) ==> F(a) Un X - (⋃ b<succ(a). F(b))
⊆ X"
by blast

lemma recfunAC16_Diff_lepoll_1:
  "Ord(x)
  ==> recfunAC16(f, g, x, a) - (⋃ i<x. recfunAC16(f, g, i, a)) lepoll
  1"
apply (erule Ord_cases)
  apply (simp add: recfunAC16_0 empty_subsetI [THEN subset_imp_lepoll])

prefer 2 apply (simp add: recfunAC16_Limit Diff_cancel
  empty_subsetI [THEN subset_imp_lepoll])

apply (simp add: recfunAC16_succ
  Diff_UN_succ_empty [of _ "%j. recfunAC16(f,g,j,a)"]
  empty_subsetI [THEN subset_imp_lepoll])
apply (best intro: Diff_UN_succ_subset [THEN subset_imp_lepoll]
  singleton_eqpoll_1 [THEN eqpoll_imp_lepoll] lepoll_trans)
done

lemma in_Least_Diff:
  "[| z ∈ F(x); Ord(x) |]
  ==> z ∈ F(LEAST i. z ∈ F(i)) - (⋃ j<(LEAST i. z ∈ F(i)). F(j))"
by (fast elim: less_LeastE elim!: LeastI)

lemma Least_eq_imp_ex:
  "[| (LEAST i. w ∈ F(i)) = (LEAST i. z ∈ F(i));
  w ∈ (⋃ i<a. F(i)); z ∈ (⋃ i<a. F(i)) |]
  ==> ∃ b<a. w ∈ (F(b) - (⋃ c<b. F(c))) & z ∈ (F(b) - (⋃ c<b. F(c)))"
apply (elim OUN_E)
apply (drule in_Least_Diff, erule lt_Ord)
apply (frule in_Least_Diff, erule lt_Ord)
apply (rule oexI, force)
apply (blast intro: lt_Ord Least_le [THEN lt_trans1])
done

lemma two_in_lepoll_1: "[| A lepoll 1; a ∈ A; b ∈ A |] ==> a=b"
by (fast dest!: lepoll_1_is_sing)

lemma UN_lepoll_index:
  "[| ∀ i<a. F(i) - (⋃ j<i. F(j)) lepoll 1; Limit(a) |]
  ==> (⋃ x<a. F(x)) lepoll a"
apply (rule lepoll_def [THEN def_imp_iff [THEN iffD2]])
apply (rule_tac x = "λz ∈ (⋃ x<a. F(x)). LEAST i. z ∈ F(i)" in exI)

```

```

apply (unfold inj_def)
apply (rule CollectI)
apply (rule lam_type)
apply (erule OUN_E)
apply (erule Least_in_Ord)
apply (erule ltD)
apply (erule lt_Ord2)
apply (intro ballI)
apply (simp (no_asm_simp))
apply (rule impI)
apply (drule Least_eq_imp_ex, assumption+)
apply (fast elim!: two_in_lepoll_1)
done

lemma recfunAC16_lepoll_index: "Ord(y) ==> recfunAC16(f, h, y, a) lepoll
y"
apply (erule trans_induct3)

apply (simp (no_asm_simp) add: recfunAC16_0 lepoll_refl)

apply (simp (no_asm_simp) add: recfunAC16_succ)
apply (blast dest!: succI1 [THEN rev_bspec]
      intro: subset_succI [THEN subset_imp_lepoll] Un_lepoll_succ
            lepoll_trans)
apply (simp (no_asm_simp) add: recfunAC16_Limit)
apply (blast intro: lt_Ord [THEN recfunAC16_Diff_lepoll_1] UN_lepoll_index)
done

lemma Union_recfunAC16_lesspoll:
  "[| recfunAC16(f,g,y,a)  $\subseteq$  {X  $\in$  Pow(A). X $\approx$ n};
    A $\approx$ a; y<a;  $\sim$ Finite(a); Card(a); n  $\in$  nat |]
  ==> Union(recfunAC16(f,g,y,a)) <a"
apply (erule eqpoll_def [THEN def_imp_iff, THEN iffD1, THEN exE])
apply (rule_tac T=A in Union_lesspoll, simp_all)
apply (blast intro!: eqpoll_imp_lepoll)
apply (blast intro: bij_is_inj Card_is_Ord [THEN well_ord_Memrel]
      well_ord_rvimage)
apply (erule lt_Ord [THEN recfunAC16_lepoll_index])
done

lemma dbl_Diff_eqpoll:
  "[| recfunAC16(f, h, y, a)  $\subseteq$  {X  $\in$  Pow(A) . X $\approx$ succ(k #+ m)};
    Card(a);  $\sim$  Finite(a); A $\approx$ a;
    k  $\in$  nat; y<a;
    h  $\in$  bij(a, {Y  $\in$  Pow(A). Y $\approx$ succ(k)}) |]
  ==> A - Union(recfunAC16(f, h, y, a)) - h'y $\approx$ a"

```

```

apply (rule dbl_Diff_eqpoll_Card, simp_all)
apply (simp add: Union_recfunAC16_lesspoll)
apply (rule Finite_lesspoll_infinite_Ord)
apply (rule Finite_def [THEN def_imp_iff, THEN iffD2])
apply (blast dest: ltD bij_is_fun [THEN apply_type], assumption)
apply (blast intro: Card_is_Ord)
done

```

```

lemmas disj_Un_eqpoll_nat_sum =
  eqpoll_trans [THEN eqpoll_trans,
    OF disj_Un_eqpoll_sum sum_eqpoll_cong nat_sum_eqpoll_sum,
    standard]

```

```

lemma Un_in_Collect: "[| x ∈ Pow(A - B - h'i); x≈m;
  h ∈ bij(a, {x ∈ Pow(A) . x≈k}); i<a; k ∈ nat; m ∈ nat |]
  ==> h ' i Un x ∈ {x ∈ Pow(A) . x≈k #+ m}"
by (blast intro: disj_Un_eqpoll_nat_sum
  dest: ltD bij_is_fun [THEN apply_type])

```

```

lemma lemma6:
  "[| ∀y<succ(j). F(y)<=X & (∀x<a. x<y | P(x,y) --> Q(x,y)); succ(j)<a
  |]
  ==> F(j)<=X & (∀x<a. x<j | P(x,j) --> Q(x,j))"
by (blast intro!: lt_Ord succI1 [THEN ltI, THEN lt_Ord, THEN le_refl])

```

```

lemma lemma7:
  "[| ∀x<a. x<j | P(x,j) --> Q(x,j); succ(j)<a |]
  ==> P(j,j) --> (∀x<a. x≤j | P(x,j) --> Q(x,j))"
by (fast elim!: leE)

```

```

lemma ex_subset_eqpoll:
  "[| A≈a; ~ Finite(a); Ord(a); m ∈ nat |] ==> ∃X ∈ Pow(A). X≈m"
apply (rule lepoll_imp_eqpoll_subset [of m A, THEN exE])
apply (rule lepoll_trans, rule leI [THEN le_imp_lepoll])

```

```

    apply (blast intro: lt_trans2 [OF ltI nat_le_infinite_Ord] Ord_nat)
  apply (erule eqpoll_sym [THEN eqpoll_imp_lepoll])
  apply (fast elim!: eqpoll_sym)
done

```

```

lemma subset_Un_disjoint: "[| A  $\subseteq$  B Un C; A Int C = 0 |] ==> A  $\subseteq$  B"
by blast

```

```

lemma Int_empty:
  "[| X  $\in$  Pow(A - Union(B) -C); T  $\in$  B; F  $\subseteq$  T |] ==> F Int X = 0"
by blast

```

```

lemma subset_imp_eq_lemma:
  "m  $\in$  nat ==>  $\forall$  A B. A  $\subseteq$  B & m lepoll A & B lepoll m --> A=B"
  apply (induct_tac "m")
  apply (fast dest!: lepoll_0_is_0)
  apply (intro allI impI)
  apply (elim conjE)
  apply (rule succ_lepoll_imp_not_empty [THEN not_emptyE], assumption)
  apply (frule subsetD [THEN Diff_sing_lepoll], assumption+)
  apply (frule lepoll_Diff_sing)
  apply (erule allE impE)+
  apply (rule conjI)
  prefer 2 apply fast
  apply fast
  apply (blast elim: equalityE)
done

```

```

lemma subset_imp_eq: "[| A  $\subseteq$  B; m lepoll A; B lepoll m; m  $\in$  nat |] ==>
A=B"
by (blast dest!: subset_imp_eq_lemma)

```

```

lemma bij_imp_arg_eq:
  "[| f  $\in$  bij(a, {Y  $\in$  X. Y $\approx$ succ(k)}); k  $\in$  nat; f' b  $\subseteq$  f'y; b < a; y < a
|]
  ==> b=y"
  apply (drule subset_imp_eq)
  apply (erule_tac [3] nat_succI)
  apply (unfold bij_def inj_def)
  apply (blast intro: eqpoll_sym eqpoll_imp_lepoll)

```

```

dest: ltD apply_type)+
done

lemma ex_next_set:
  "[| recfunAC16(f, h, y, a)  $\subseteq$  {X  $\in$  Pow(A) . X $\approx$ succ(k #+ m)};
    Card(a);  $\sim$  Finite(a); A $\approx$ a;
    k  $\in$  nat; m  $\in$  nat; y<a;
    h  $\in$  bij(a, {Y  $\in$  Pow(A). Y $\approx$ succ(k)});
     $\sim$  ( $\exists$  Y  $\in$  recfunAC16(f, h, y, a). h'y  $\subseteq$  Y) |]
  ==>  $\exists$  X  $\in$  {Y  $\in$  Pow(A). Y $\approx$ succ(k #+ m)}. h'y  $\subseteq$  X &
    ( $\forall$  b<a. h'b  $\subseteq$  X -->
      ( $\forall$  T  $\in$  recfunAC16(f, h, y, a).  $\sim$  h'b  $\subseteq$  T))"
apply (erule_tac m1=m in dbl_Diff_eqpoll [THEN ex_subset_eqpoll, THEN
bexE],
      assumption+)
apply (erule Card_is_Ord, assumption)
apply (frule Un_in_Collect, (erule asm_rl nat_succI)+)
apply (erule CollectE)
apply (rule rev_bexI, simp)
apply (rule conjI, blast)
apply (intro ballI impI oallI notI)
apply (drule subset_Un_disjoint, rule Int_empty, assumption+)
apply (blast dest: bij_imp_arg_eq)
done

```

```

lemma ex_next_Ord:
  "[| recfunAC16(f, h, y, a)  $\subseteq$  {X  $\in$  Pow(A) . X $\approx$ succ(k #+ m)};
    Card(a);  $\sim$  Finite(a); A $\approx$ a;
    k  $\in$  nat; m  $\in$  nat; y<a;
    h  $\in$  bij(a, {Y  $\in$  Pow(A). Y $\approx$ succ(k)});
    f  $\in$  bij(a, {Y  $\in$  Pow(A). Y $\approx$ succ(k #+ m)});
     $\sim$  ( $\exists$  Y  $\in$  recfunAC16(f, h, y, a). h'y  $\subseteq$  Y) |]
  ==>  $\exists$  c<a. h'y  $\subseteq$  f'c &
    ( $\forall$  b<a. h'b  $\subseteq$  f'c -->
      ( $\forall$  T  $\in$  recfunAC16(f, h, y, a).  $\sim$  h'b  $\subseteq$  T))"
apply (drule ex_next_set, assumption+)
apply (erule bexE)
apply (rule_tac x="converse(f)'X" in oexI)
apply (simp add: right_inverse_bij)
apply (blast intro: bij_converse_bij bij_is_fun [THEN apply_type] ltI
      Card_is_Ord)
done

```

```

lemma lemma8:
  "[|  $\forall x < a. x < j \mid (\exists xa \in F(j). P(x, xa))$ 
    -->  $(\exists! Y. Y \in F(j) \ \& \ P(x, Y)); F(j) \subseteq X;$ 
     $L \in X; P(j, L) \ \& \ (\forall x < a. P(x, L) \text{ --> } (\forall xa \in F(j). \sim P(x, xa)))$ 
  |]
  ==>  $F(j) \cup \{L\} \subseteq X \ \& \$ 
     $(\forall x < a. x < j \mid (\exists xa \in (F(j) \cup \{L\}). P(x, xa)) \text{ --> } (\exists! Y. Y \in (F(j) \cup \{L\}) \ \& \ P(x, Y)))$ "
  apply (rule conjI)
  apply (fast intro!: singleton_subsetI)
  apply (rule oallI)
  apply (blast elim!: leE oallE)
done

```

```

lemma main_induct:
  "[|  $b < a; f \in \text{bij}(a, \{Y \in \text{Pow}(A) . Y \approx \text{succ}(k \ \# \ m)\});$ 
     $h \in \text{bij}(a, \{Y \in \text{Pow}(A) . Y \approx \text{succ}(k)\});$ 
     $\sim \text{Finite}(a); \text{Card}(a); A \approx a; k \in \text{nat}; m \in \text{nat} \mid$ 
  ==>  $\text{recfunAC16}(f, h, b, a) \subseteq \{X \in \text{Pow}(A) . X \approx \text{succ}(k \ \# \ m)\} \ \& \$ 
     $(\forall x < a. x < b \mid (\exists Y \in \text{recfunAC16}(f, h, b, a). h \restriction x \subseteq Y) \text{ --> } (\exists! Y. Y \in \text{recfunAC16}(f, h, b, a) \ \& \ h \restriction x \subseteq Y))$ "
  apply (erule lt_induct)
  apply (frule lt_Ord)
  apply (erule Ord_cases)

  apply (simp add: recfunAC16_0)

  prefer 2
  apply (simp add: recfunAC16_Limit)
  apply (rule lemma5, assumption+)
  apply (blast dest!: recfunAC16_mono)

  apply clarify
  apply (erule lemma6 [THEN conjE], assumption)
  apply (simp (no_asm_simp) split del: split_if add: recfunAC16_succ)
  apply (rule conjI [THEN split_if [THEN iffD2]])
  apply (simp, erule lemma7, assumption)
  apply (rule impI)
  apply (rule ex_next_Ord [THEN oexE],

```



```

        assumption+, rule le_refl [THEN lt_trans], assumption+)
apply (erule lemma8, assumption)
  apply (rule bij_is_fun [THEN apply_type], assumption)
  apply (erule Least_le [THEN lt_trans2, THEN ltD])
    apply (erule lt_Ord)
  apply (erule succ_leI)
apply (erule LeastI)
apply (erule lt_Ord)
done

lemma lemma_simp_induct:
  "[|  $\forall b. b < a \rightarrow F(b) \subseteq S$  &  $(\forall x < a. (x < b \mid (\exists Y \in F(b). f'x \subseteq Y)) \rightarrow (\exists ! Y. Y \in F(b) \& f'x \subseteq Y))$ ;
     $f \in a \rightarrow f''(a); \text{Limit}(a);$ 
     $\forall i j. i \leq j \rightarrow F(i) \subseteq F(j) \mid]$ 
  ==>  $(\bigcup_{j < a} F(j)) \subseteq S$  &
     $(\forall x \in f''a. \exists ! Y. Y \in (\bigcup_{j < a} F(j)) \& x \subseteq Y)"$ 
apply (rule conjI)
apply (rule subsetI)
apply (erule OUN_E, blast)
apply (rule ballI)
apply (erule imageE)
apply (drule ltI, erule Limit_is_Ord)
apply (drule Limit_has_succ, assumption)
apply (frule_tac x1="succ(xa)" in spec [THEN mp], assumption)
apply (erule conjE)
apply (drule ospec)

apply (erule leI [THEN succ_leE])
apply (erule impE)
apply (fast elim!: leI [THEN succ_leE, THEN lt_Ord, THEN le_refl])
apply (drule apply_equality, assumption)
apply (elim conjE ex1E)

apply (rule ex1I, blast)
apply (elim conjE OUN_E)
apply (erule_tac i="succ(xa)" and j=aa
  in Ord_linear_le [OF lt_Ord lt_Ord], assumption)
prefer 2
apply (drule spec [THEN spec, THEN mp, THEN subsetD], assumption+, blast)

apply (drule_tac x1=aa in spec [THEN mp], assumption)

```

```

apply (frule succ_leE)
apply (drule spec [THEN spec, THEN mp, THEN subsetD], assumption+, blast)

done

```

```

theorem W02_AC16: "[| W02; 0<m; k ∈ nat; m ∈ nat |] ==> AC16(k #+ m,k)"
apply (unfold AC16_def)
apply (rule allI)
apply (rule impI)
apply (frule W02_infinite_subsets_eqpoll_X, assumption+)
apply (frule_tac n="k #+ m" in W02_infinite_subsets_eqpoll_X, simp, simp)

apply (frule W02_imp_ex_Card)
apply (elim exE conjE)
apply (drule eqpoll_trans [THEN eqpoll_sym,
                           THEN eqpoll_def [THEN def_imp_iff, THEN iffD1]],
        assumption)
apply (drule eqpoll_trans [THEN eqpoll_sym,
                           THEN eqpoll_def [THEN def_imp_iff, THEN iffD1]],
        assumption+)
apply (elim exE)
apply (rename_tac h)
apply (rule_tac x = "⋃ j<a. recfunAC16 (h,f,j,a) " in exI)
apply (rule_tac P="%z. ?Y & (∀ x ∈ z. ?Z (x))"
        in bij_is_surj [THEN surj_image_eq, THEN subst], assumption)
apply (rule lemma_simp_induct)
apply (blast del: conjI notI
            intro!: main_induct eqpoll_imp_lepoll [THEN lepoll_infinite]
        )
apply (blast intro: bij_is_fun [THEN surj_image, THEN surj_is_fun])
apply (erule eqpoll_imp_lepoll [THEN lepoll_infinite,
                                THEN infinite_Card_is_InfCard,
                                THEN InfCard_is_Limit],
        assumption+)
apply (blast dest!: recfunAC16_mono)
done

end

```

```

theory AC16_W04
imports AC16_lemmas
begin

```

```

lemma lemma1:
  "[| Finite(A); 0 < m; m ∈ nat |]
  ==> ∃ a f. Ord(a) & domain(f) = a &
    (⋃ b < a. f ` b) = A & (∀ b < a. f ` b ⋚ m)"
apply (unfold Finite_def)
apply (erule bexE)
apply (drule eqpoll_sym [THEN eqpoll_def [THEN def_imp_iff, THEN iffD1]])
apply (erule exE)
apply (rule_tac x = n in exI)
apply (rule_tac x = "λi ∈ n. {f ` i}" in exI)
apply (simp add: ltD bij_def surj_def)
apply (fast intro!: ltI nat_into_Ord lam_funtype [THEN domain_of_fun]

      singleton_eqpoll_1 [THEN eqpoll_imp_lepoll, THEN lepoll_trans]

      nat_1_lepoll_iff [THEN iffD2]
    elim!: apply_type ltE)
done

```

```

lemmas well_ord_paired = paired_bij [THEN bij_is_inj, THEN well_ord_rvimage]

```

```

lemma lepoll_trans1: "[| A ⋚ B; ~ A ⋚ C |] ==> ~ B ⋚ C"
by (blast intro: lepoll_trans)

```

```

lemmas lepoll_paired = paired_eqpoll [THEN eqpoll_sym, THEN eqpoll_imp_lepoll]

```

```

lemma lemma2: "∃ y R. well_ord(y,R) & x Int y = 0 & ~y ⋚ z & ~Finite(y)"
apply (rule_tac x = "{a,x}. a ∈ nat Un Hartog (z) }" in exI)
apply (rule well_ord_Un [OF Ord_nat [THEN well_ord_Memrel]
      Ord_Hartog [THEN well_ord_Memrel], THEN exE])
apply (blast intro!: Ord_Hartog well_ord_Memrel well_ord_paired
      lepoll_trans1 [OF _ not_Hartog_lepoll_self]
      lepoll_trans [OF subset_imp_lepoll lepoll_paired]
    elim!: nat_not_Finite [THEN notE]
    elim: mem_asym)

```

```

      dest!: Un_upper1 [THEN subset_imp_lepoll, THEN lepoll_Finite]
      lepoll_paired [THEN lepoll_Finite])
done

lemma infinite_Un: "~Finite(B) ==> ~Finite(A Un B)"
by (blast intro: subset_Finite)

lemma succ_not_lepoll_lemma:
  "[| ~( $\exists x \in A. f'x=y$ );  $f \in \text{inj}(A, B)$ ;  $y \in B$  |]
  ==> ( $\lambda a \in \text{succ}(A). \text{if}(a=A, y, f'a) \in \text{inj}(\text{succ}(A), B)$ )"
apply (rule_tac d = "%z. if (z=y, A, converse (f) 'z) " in lam_injective)
apply (force simp add: inj_is_fun [THEN apply_type])

apply (simp (no_asm_simp))
apply force
done

lemma succ_not_lepoll_imp_eqpoll: "[|  $\sim A \approx B$ ;  $A \lesssim B$  |] ==>  $\text{succ}(A) \lesssim B$ "
apply (unfold lepoll_def eqpoll_def bij_def surj_def)
apply (fast elim!: succ_not_lepoll_lemma inj_is_fun)
done

lemmas ordertype_eqpoll =
  ordermap_bij [THEN exI [THEN eqpoll_def [THEN def_imp_iff, THEN
iffD2]]]

lemma cons_cons_subset:
  "[|  $a \subseteq y$ ;  $b \in y-a$ ;  $u \in x$  |] ==>  $\text{cons}(b, \text{cons}(u, a)) \in \text{Pow}(x \text{ Un } y)$ "
by fast

```

```

lemma cons_cons_eqpoll:
  "[| a ≈ k; a ⊆ y; b ∈ y-a; u ∈ x; x Int y = 0 |]
   ==> cons(b, cons(u, a)) ≈ succ(succ(k))"
by (fast intro!: cons_eqpoll_succ)

lemma set_eq_cons:
  "[| succ(k) ≈ A; k ≈ B; B ⊆ A; a ∈ A-B; k ∈ nat |] ==> A = cons(a,
B)"
apply (rule equalityI)
prefer 2 apply fast
apply (rule Diff_eq_0_iff [THEN iffD1])
apply (rule equalsOI)
apply (drule eqpoll_sym [THEN eqpoll_imp_lepoll])
apply (drule eqpoll_sym [THEN cons_eqpoll_succ], fast)
apply (drule cons_eqpoll_succ, fast)
apply (fast elim!: lepoll_trans [THEN lepoll_trans, THEN succ_lepoll_natE,
OF eqpoll_sym [THEN eqpoll_imp_lepoll] subset_imp_lepoll])
done

lemma cons_eqE: "[| cons(x,a) = cons(y,a); x ∉ a |] ==> x = y"
by (fast elim!: equalityE)

lemma eq_imp_Int_eq: "A = B ==> A Int C = B Int C"
by blast

lemma eqpoll_sum_imp_Diff_lepoll_lemma [rule_format]:
  "[| k ∈ nat; m ∈ nat |]
   ==> ∀ A B. A ≈ k #+ m & k ≲ B & B ⊆ A --> A-B ≲ m"
apply (induct_tac "k")
apply (simp add: add_0)
apply (blast intro: eqpoll_imp_lepoll lepoll_trans
Diff_subset [THEN subset_imp_lepoll])
apply (intro allI impI)
apply (rule succ_lepoll_imp_not_empty [THEN not_emptyE], fast)
apply (erule_tac x = "A - {xa}" in allE)
apply (erule_tac x = "B - {xa}" in allE)
apply (erule impE)
apply (simp add: add_succ)
apply (fast intro!: Diff_sing_eqpoll lepoll_Diff_sing)
apply (subgoal_tac "A - {xa} - (B - {xa}) = A - B", simp)
apply blast
done

lemma eqpoll_sum_imp_Diff_lepoll:

```

```

    "[| A ≈ succ(k #+ m); B ⊆ A; succ(k) ≲ B; k ∈ nat; m ∈ nat |]"

    ==> A-B ≲ m"
  apply (simp only: add_succ [symmetric])
  apply (blast intro: eqpoll_sum_imp_Diff_lepoll_lemma)
done

lemma eqpoll_sum_imp_Diff_eqpoll_lemma [rule_format]:
  "[| k ∈ nat; m ∈ nat |]"
  ==> ∀ A B. A ≈ k #+ m & k ≈ B & B ⊆ A --> A-B ≈ m"
  apply (induct_tac "k")
  apply (force dest!: eqpoll_sym [THEN eqpoll_imp_lepoll, THEN lepoll_0_is_0])
  apply (intro allI impI)
  apply (rule succ_lepoll_imp_not_empty [THEN not_emptyE])
  apply (fast elim!: eqpoll_imp_lepoll)
  apply (erule_tac x = "A - {xa}" in allE)
  apply (erule_tac x = "B - {xa}" in allE)
  apply (erule impE)
  apply (force intro: eqpoll_sym intro!: Diff_sing_eqpoll)
  apply (subgoal_tac "A - {xa} - (B - {xa}) = A - B", simp)
  apply blast
done

lemma eqpoll_sum_imp_Diff_eqpoll:
  "[| A ≈ succ(k #+ m); B ⊆ A; succ(k) ≈ B; k ∈ nat; m ∈ nat |]"

  ==> A-B ≈ m"
  apply (simp only: add_succ [symmetric])
  apply (blast intro: eqpoll_sum_imp_Diff_eqpoll_lemma)
done

lemma subsets_lepoll_0_eq_unit: "{x ∈ Pow(X). x ≲ 0} = {0}"
by (fast dest!: lepoll_0_is_0 intro!: lepoll_refl)

lemma subsets_lepoll_succ:
  "n ∈ nat ==> {z ∈ Pow(y). z ≲ succ(n)} =
    {z ∈ Pow(y). z ≲ n} ∪ {z ∈ Pow(y). z ≈ succ(n)}"
by (blast intro: leI le_imp_lepoll nat_into_Ord
    lepoll_trans eqpoll_imp_lepoll
    dest!: lepoll_succ_disj)

```

```

lemma Int_empty:
  "n ∈ nat ==> {z ∈ Pow(y). z ≲ n} Int {z ∈ Pow(y). z ≈ succ(n)}
= 0"
by (blast intro: eqpoll_sym [THEN eqpoll_imp_lepoll, THEN lepoll_trans]

    succ_lepoll_natE)

```

```

locale AC16 =
  fixes x and y and k and l and m and t_n and R and MM and LL and
  GG and s
  defines k_def:      "k == succ(l)"
    and MM_def:      "MM == {v ∈ t_n. succ(k) ≲ v Int y}"
    and LL_def:      "LL == {v Int y. v ∈ MM}"
    and GG_def:      "GG == λv ∈ LL. (THE w. w ∈ MM & v ⊆ w) - v"
    and s_def:       "s(u) == {v ∈ t_n. u ∈ v & k ≲ v Int y}"
  assumes all_ex:    "∀z ∈ {z ∈ Pow(x Un y) . z ≈ succ(k)}.
    ∃! w. w ∈ t_n & z ⊆ w"
    and disjoint[iff]: "x Int y = 0"
    and "includes":  "t_n ⊆ {v ∈ Pow(x Un y). v ≈ succ(k #+ m)}"
    and WO_R[iff]:   "well_ord(y,R)"
    and lnat[iff]:   "l ∈ nat"
    and mnat[iff]:   "m ∈ nat"
    and mpos[iff]:   "0 < m"
    and Infinite[iff]: "~ Finite(y)"
    and noLepoll:    "~ y ≲ {v ∈ Pow(x). v ≈ m}"

```

```

lemma (in AC16) knat [iff]: "k ∈ nat"
by (simp add: k_def)

```

```

lemma (in AC16) Diff_Finite_eqpoll: "[| l ≈ a; a ⊆ y |] ==> y - a ≈
y"
apply (insert WO_R Infinite lnat)
apply (rule eqpoll_trans)
apply (rule Diff_lesspoll_eqpoll_Card)
apply (erule well_ord_cardinal_eqpoll [THEN eqpoll_sym])
apply (blast intro: lesspoll_trans1
  intro!: Card_cardinal
    Card_cardinal [THEN Card_is_Ord, THEN nat_le_infinite_Ord,
      THEN le_imp_lepoll]
  dest: well_ord_cardinal_eqpoll
    eqpoll_sym eqpoll_imp_lepoll
    n_lesspoll_nat [THEN lesspoll_trans2])

```

```

well_ord_cardinal_eqpoll [THEN eqpoll_sym,
  THEN eqpoll_imp_lepoll, THEN lepoll_infinite]]+
done

lemma (in AC16) s_subset: "s(u)  $\subseteq$  t_n"
by (unfold s_def, blast)

lemma (in AC16) sI:
  "[| w  $\in$  t_n; cons(b,cons(u,a))  $\subseteq$  w; a  $\subseteq$  y; b  $\in$  y-a; l  $\approx$  a |]
    ==> w  $\in$  s(u)"
apply (unfold s_def succ_def k_def)
apply (blast intro!: eqpoll_imp_lepoll [THEN cons_lepoll_cong]
  intro: subset_imp_lepoll lepoll_trans)
done

lemma (in AC16) in_s_imp_u_in: "v  $\in$  s(u) ==> u  $\in$  v"
by (unfold s_def, blast)

lemma (in AC16) ex1_superset_a:
  "[| l  $\approx$  a; a  $\subseteq$  y; b  $\in$  y - a; u  $\in$  x |]
    ==>  $\exists!$  c. c  $\in$  s(u) & a  $\subseteq$  c & b  $\in$  c"
apply (rule all_ex [simplified k_def, THEN ballE])
apply (erule ex1E)
apply (rule_tac a = w in ex1I, blast intro: sI)
apply (blast dest: s_subset [THEN subsetD] in_s_imp_u_in)
apply (blast del: PowI
  intro!: cons_cons_subset eqpoll_sym [THEN cons_cons_eqpoll])
done

lemma (in AC16) the_eq_cons:
  "[|  $\forall v \in s(u). \text{succ}(l) \approx v$  Int y;
    l  $\approx$  a; a  $\subseteq$  y; b  $\in$  y - a; u  $\in$  x |]
    ==> (THE c. c  $\in$  s(u) & a  $\subseteq$  c & b  $\in$  c) Int y = cons(b, a)"
apply (frule ex1_superset_a [THEN theI], assumption+)
apply (rule set_eq_cons)
apply (fast+)
done

lemma (in AC16) y_lepoll_subset_s:
  "[|  $\forall v \in s(u). \text{succ}(l) \approx v$  Int y;
    l  $\approx$  a; a  $\subseteq$  y; u  $\in$  x |]
    ==> y  $\lesssim$  {v  $\in$  s(u). a  $\subseteq$  v}"
apply (rule Diff_Finite_eqpoll [THEN eqpoll_sym, THEN eqpoll_imp_lepoll,
  THEN lepoll_trans], fast+)
apply (rule_tac f3 = " $\lambda b \in y-a. \text{THE } c. c \in s(u) \text{ \& } a \subseteq c \text{ \& } b \in c$ "

```



```

      in exI [THEN lepoll_def [THEN def_imp_iff, THEN iffD2]])
apply (simp add: inj_def)
apply (rule conjI)
apply (rule lam_type)
apply (frule ex1_superset_a [THEN theI], fast+, clarify)
apply (rule cons_eqE [of _ a])
apply (drule_tac A = "THE c. ?P (c) " and C = y in eq_imp_Int_eq)
apply (simp_all add: the_eq_cons)
done

```

```

lemma (in AC16) x_imp_not_y [dest]: "a ∈ x ==> a ∉ y"
by (blast dest: disjoint [THEN equalityD1, THEN subsetD, OF IntI])

```

```

lemma (in AC16) w_Int_eq_w_Diff:
  "w ⊆ x Un y ==> w Int (x - {u}) = w - cons(u, w Int y)"
by blast

```

```

lemma (in AC16) w_Int_eqpoll_m:
  "[| w ∈ {v ∈ s(u). a ⊆ v};
    l ≈ a; u ∈ x;
    ∀ v ∈ s(u). succ(l) ≈ v Int y |]
  ==> w Int (x - {u}) ≈ m"
apply (erule CollectE)
apply (subst w_Int_eq_w_Diff)
apply (fast dest!: s_subset [THEN subsetD]
  "includes" [simplified k_def, THEN subsetD])
apply (blast dest: s_subset [THEN subsetD]
  "includes" [simplified k_def, THEN subsetD]
  dest: eqpoll_sym [THEN cons_eqpoll_succ, THEN eqpoll_sym]

  in_s_imp_u_in
  intro!: eqpoll_sum_imp_Diff_eqpoll)
done

```

```

lemma (in AC16) eqpoll_m_not_empty: "a ≈ m ==> a ≠ 0"

```

```

apply (insert mpos)
apply (fast elim!: zero_lt_natE dest!: eqpoll_succ_imp_not_empty)
done

lemma (in AC16) cons_cons_in:
  "[| z ∈ xa Int (x - {u}); l ≈ a; a ⊆ y; u ∈ x |]
   ==> ∃! w. w ∈ t_n & cons(z, cons(u, a)) ⊆ w"
apply (rule all_ex [THEN bspec])
apply (unfold k_def)
apply (fast intro!: cons_eqpoll_succ elim: eqpoll_sym)
done

lemma (in AC16) subset_s_lepoll_w:
  "[| ∀ v ∈ s(u). succ(l) ≈ v Int y; a ⊆ y; l ≈ a; u ∈ x |]
   ==> {v ∈ s(u). a ⊆ v} ≲ {v ∈ Pow(x). v ≈ m}"
apply (rule_tac f3 = "λw ∈ {v ∈ s (u) . a ⊆ v}. w Int (x - {u})"
  in exI [THEN lepoll_def [THEN def_imp_iff, THEN iffD2]])
apply (simp add: inj_def)
apply (intro conjI lam_type CollectI)
  apply fast
  apply (blast intro: w_Int_eqpoll_m)
apply (intro ballI impI)

apply (rule w_Int_eqpoll_m [THEN eqpoll_m_not_empty, THEN not_emptyE])
apply (blast, assumption+)
apply (drule equalityD1 [THEN subsetD], assumption)
apply (frule cons_cons_in, assumption+)
apply (blast dest: ex1_two_eq intro: s_subset [THEN subsetD] in_s_imp_u_in)+
done

lemma (in AC16) well_ord_subsets_eqpoll_n:
  "n ∈ nat ==> ∃ S. well_ord({z ∈ Pow(y) . z ≈ succ(n)}, S)"
apply (rule WO_R [THEN well_ord_infinite_subsets_eqpoll_X,
  THEN eqpoll_def [THEN def_imp_iff, THEN iffD1], THEN
  exE])
apply (fast intro: bij_is_inj [THEN well_ord_rvimage])+
done

lemma (in AC16) well_ord_subsets_lepoll_n:
  "n ∈ nat ==> ∃ R. well_ord({z ∈ Pow(y) . z ≲ n}, R)"
apply (induct_tac "n")
apply (force intro!: well_ord_unit simp add: subsets_lepoll_0_eq_unit)
apply (erule exE)
apply (rule well_ord_subsets_eqpoll_n [THEN exE], assumption)

```

```

apply (simp add: subsets_lepoll_succ)
apply (drule well_ord_radd, assumption)
apply (erule Int_empty [THEN disj_Un_eqpoll_sum,
      THEN eqpoll_def [THEN def_imp_iff, THEN iffD1], THEN
exE])
apply (fast elim!: bij_is_inj [THEN well_ord_rvimage])
done

```

```

lemma (in AC16) LL_subset: "LL  $\subseteq$  {z  $\in$  Pow(y). z  $\lesssim$  succ(k #+ m)}"
apply (unfold LL_def MM_def)
apply (insert "includes")
apply (blast intro: subset_imp_lepoll eqpoll_imp_lepoll lepoll_trans)
done

```

```

lemma (in AC16) well_ord_LL: " $\exists$  S. well_ord(LL,S)"
apply (rule well_ord_subsets_lepoll_n [THEN exE, of "succ(k#+m)"])
apply simp
apply (blast intro: well_ord_subset [OF _ LL_subset])
done

```

```

lemma (in AC16) unique_superset_in_MM:
  "v  $\in$  LL  $\implies \exists!$  w. w  $\in$  MM & v  $\subseteq$  w"
apply (unfold MM_def LL_def, safe, fast)
apply (rule lepoll_imp_eqpoll_subset [THEN exE], assumption)
apply (rule_tac x = x in all_ex [THEN ballE])
apply (blast intro: eqpoll_sym)+
done

```

```

lemma (in AC16) Int_in_LL: "w  $\in$  MM  $\implies$  w Int y  $\in$  LL"
by (unfold LL_def, fast)

```

```

lemma (in AC16) in_LL_eq_Int:
  "v  $\in$  LL  $\implies$  v = (THE x. x  $\in$  MM & v  $\subseteq$  x) Int y"
apply (unfold LL_def, clarify)
apply (subst unique_superset_in_MM [THEN the_equality2])

```

```

apply (auto simp add: Int_in_LL)
done

lemma (in AC16) unique_superset1: "a ∈ LL ⇒ (THE x. x ∈ MM ∧ a ⊆
x) ∈ MM"
by (erule unique_superset_in_MM [THEN theI, THEN conjunct1])

lemma (in AC16) the_in_MM_subset:
  "v ∈ LL ⇒ (THE x. x ∈ MM & v ⊆ x) ⊆ x Un y"
apply (drule unique_superset1)
apply (unfold MM_def)
apply (fast dest!: unique_superset1 "includes" [THEN subsetD])
done

lemma (in AC16) GG_subset: "v ∈ LL ⇒ GG ' v ⊆ x"
apply (unfold GG_def)
apply (frule the_in_MM_subset)
apply (frule in_LL_eq_Int)
apply (force elim: equalityE)
done

lemma (in AC16) nat_lepoll_ordertype: "nat ≲ ordertype(y, R)"
apply (rule nat_le_infinite_Ord [THEN le_imp_lepoll])
  apply (rule Ord_ordertype [OF WO_R])
apply (rule ordertype_eqpoll [THEN eqpoll_imp_lepoll, THEN lepoll_infinite])

  apply (rule WO_R)
apply (rule Infinite)
done

lemma (in AC16) ex_subset_eqpoll_n: "n ∈ nat ⇒ ∃z. z ⊆ y & n ≈ z"
apply (erule nat_lepoll_imp_ex_eqpoll_n)
apply (rule lepoll_trans [OF nat_lepoll_ordertype])
apply (rule ordertype_eqpoll [THEN eqpoll_sym, THEN eqpoll_imp_lepoll])

apply (rule WO_R)
done

lemma (in AC16) exists_proper_in_s: "u ∈ x ⇒ ∃v ∈ s(u). succ(k) ≲
v Int y"
apply (rule ccontr)
apply (subgoal_tac "∀v ∈ s (u) . k ≈ v Int y")
prefer 2 apply (simp add: s_def, blast intro: succ_not_lepoll_imp_eqpoll)
apply (unfold k_def)
apply (insert all_ex "includes" lnat)
apply (rule ex_subset_eqpoll_n [THEN exE], assumption)
apply (rule noLepoll [THEN notE])
apply (blast intro: lepoll_trans [OF y_lepoll_subset_s subset_s_lepoll_w])

```

done

```
lemma (in AC16) exists_in_MM: "u ∈ x ==> ∃ w ∈ MM. u ∈ w"
apply (erule exists_proper_in_s [THEN bexE])
apply (unfold MM_def s_def, fast)
done
```

```
lemma (in AC16) exists_in_LL: "u ∈ x ==> ∃ w ∈ LL. u ∈ GG'w"
apply (rule exists_in_MM [THEN bexE], assumption)
apply (rule bexI)
apply (erule_tac [2] Int_in_LL)
apply (unfold GG_def)
apply (simp add: Int_in_LL)
apply (subst unique_superset_in_MM [THEN the_equality2])
apply (fast elim!: Int_in_LL)+
done
```

```
lemma (in AC16) OUN_eq_x: "well_ord(LL,S) ==>
  (⋃ b < ordertype(LL,S). GG ' (converse(ordermap(LL,S)) ' b)) = x"
apply (rule equalityI)
apply (rule subsetI)
apply (erule OUN_E)
apply (rule GG_subset [THEN subsetD])
prefer 2 apply assumption
apply (blast intro: ltD ordermap_bij [THEN bij_converse_bij, THEN bij_is_fun,
  THEN apply_type])

apply (rule subsetI)
apply (erule exists_in_LL [THEN bexE])
apply (force intro: ltI [OF _ Ord_ordertype]
  ordermap_type [THEN apply_type]
  simp add: ordermap_bij [THEN bij_is_inj, THEN left_inverse])
done
```

```
lemma (in AC16) in_MM_eqpoll_n: "w ∈ MM ==> w ≈ succ(k #+ m)"
apply (unfold MM_def)
apply (fast dest: "includes" [THEN subsetD])
done
```

```
lemma (in AC16) in_LL_eqpoll_n: "w ∈ LL ==> succ(k) ≲ w"
by (unfold LL_def MM_def, fast)
```

```
lemma (in AC16) in_LL: "w ∈ LL ==> w ⊆ (THE x. x ∈ MM ∧ w ⊆ x)"
by (erule subset_trans [OF in_LL_eq_Int [THEN equalityD1] Int_lower1])
```

```
lemma (in AC16) all_in_lepoll_m:
```

```

      "well_ord(LL,S) ==>
         $\forall b < \text{ordertype}(LL,S). \text{GG } ' (\text{converse}(\text{ordermap}(LL,S)) ' b) \lesssim m$ "
    apply (unfold GG_def)
    apply (rule oallI)
    apply (simp add: ltD ordermap_bij [THEN bij_converse_bij, THEN bij_is_fun,
    THEN apply_type])
    apply (insert "includes")
    apply (rule eqpoll_sum_imp_Diff_lepoll)
    apply (blast del: subsetI
      dest!: ltD
      intro!: eqpoll_sum_imp_Diff_lepoll in_LL_eqpoll_n
      intro: in_LL unique_superset1 [THEN in_MM_eqpoll_n]
      ordermap_bij [THEN bij_converse_bij, THEN bij_is_fun,
      THEN apply_type]))+
done

lemma (in AC16) conclusion:
  " $\exists a f. \text{Ord}(a) \ \& \ \text{domain}(f) = a \ \& \ (\bigcup b < a. f ' b) = x \ \& \ (\forall b < a. f ' b \lesssim m)$ "
  apply (rule well_ord_LL [THEN exE])
  apply (rename_tac S)
  apply (rule_tac x = "ordertype (LL,S)" in exI)
  apply (rule_tac x = " $\lambda b \in \text{ordertype}(LL,S). \text{GG } ' (\text{converse}(\text{ordermap}(LL,S)) ' b)$ " in exI)
  apply (simp add: ltD)
  apply (blast intro: lam_funtype [THEN domain_of_fun]
    Ord_ordertype OUN_eq_x all_in_lepoll_m [THEN ospec])
done

```

term AC16

```

theorem AC16_W04:
  "[| AC_Equiv.AC16(k #+ m, k); 0 < k; 0 < m; k ∈ nat; m ∈ nat |]
  ==> W04(m)"
  apply (unfold AC_Equiv.AC16_def W04_def)
  apply (rule allI)
  apply (case_tac "Finite (A)")
  apply (rule lemma1, assumption+)
  apply (cut_tac lemma2)
  apply (elim exE conjE)
  apply (erule_tac x = "A Un y" in allE)
  apply (frule infinite_Un, drule mp, assumption)
  apply (erule zero_lt_natE, assumption, clarify)

```

```

apply (blast intro: AC16.conclusion [OF AC16.intro])
done

```

```

end

```

```

theory AC17_AC1
imports HH
begin

```

```

lemma AC0_AC1_lemma: "[| f:( $\prod X \in A. X$ );  $D \subseteq A$  |] ==>  $\exists g. g:(\prod X \in D. X)$ "
by (fast intro!: lam_type apply_type)

```

```

lemma AC0_AC1: "AC0 ==> AC1"
apply (unfold AC0_def AC1_def)
apply (blast intro: AC0_AC1_lemma)
done

```

```

lemma AC1_AC0: "AC1 ==> AC0"
by (unfold AC0_def AC1_def, blast)

```

```

lemma AC1_AC17_lemma: " $f \in (\prod X \in \text{Pow}(A) - \{0\}. X) ==> f \in (\text{Pow}(A) - \{0\} \rightarrow A)$ "
apply (rule Pi_type, assumption)
apply (drule apply_type, assumption, fast)
done

```

```

lemma AC1_AC17: "AC1 ==> AC17"
apply (unfold AC1_def AC17_def)
apply (rule allI)
apply (rule ballI)
apply (erule_tac x = "Pow (A) -{0}" in allE)
apply (erule impE, fast)
apply (erule exE)
apply (rule bexI)
apply (erule_tac [2] AC1_AC17_lemma)
apply (rule apply_type, assumption)
apply (fast dest!: AC1_AC17_lemma elim!: apply_type)
done

```

```

lemma UN_eq_imp_well_ord:
  "[| x - ( $\bigcup j \in \text{LEAST } i. \text{HH}(\lambda X \in \text{Pow}(x) - \{0\}. \{f'X\}, x, i) = \{x\}.$ 

     $\text{HH}(\lambda X \in \text{Pow}(x) - \{0\}. \{f'X\}, x, j)) = 0;$ 
     $f \in \text{Pow}(x) - \{0\} \rightarrow x \mid]$ 
     $\Rightarrow \exists r. \text{well\_ord}(x, r)$ "
  apply (rule exI)
  apply (erule well_ord_rvimage
    [OF bij_Least_HH_x [THEN bij_converse_bij, THEN bij_is_inj]
      Ord_Least [THEN well_ord_Memrel]], assumption)
done

```

```

lemma not_AC1_imp_ex:
  "~AC1  $\Rightarrow \exists A. \forall f \in \text{Pow}(A) - \{0\} \rightarrow A. \exists u \in \text{Pow}(A) - \{0\}. f'u \notin u$ "
  apply (unfold AC1_def)
  apply (erule swap)
  apply (rule allI)
  apply (erule swap)
  apply (rule_tac x = "Union (A)" in exI)
  apply (blast intro: lam_type)
done

```

```

lemma AC17_AC1_aux1:
  "[|  $\forall f \in \text{Pow}(x) - \{0\} \rightarrow x. \exists u \in \text{Pow}(x) - \{0\}. f'u \notin u;$ 
     $\exists f \in \text{Pow}(x) - \{0\} \rightarrow x.$ 
     $x - (\bigcup a \in (\text{LEAST } i. \text{HH}(\lambda X \in \text{Pow}(x) - \{0\}. \{f'X\}, x, i) = \{x\}).$ 

       $\text{HH}(\lambda X \in \text{Pow}(x) - \{0\}. \{f'X\}, x, a)) = 0 \mid]$ 
     $\Rightarrow P$ "
  apply (erule bexE)
  apply (erule UN_eq_imp_well_ord [THEN exE], assumption)
  apply (erule ex_choice_fun_Pow [THEN exE])
  apply (erule ballE)
  apply (fast intro: apply_type del: DiffE)
  apply (erule notE)
  apply (rule Pi_type, assumption)
  apply (blast dest: apply_type)
done

```



```

lemma AC17_AC1_aux2:
  "~ (∃ f ∈ Pow(x)-{0} → x. x - F(f) = 0)
  ==> (λ f ∈ Pow(x)-{0} → x . x - F(f))
      ∈ (Pow(x) - {0} → x) → Pow(x) - {0}"
by (fast intro!: lam_type dest!: Diff_eq_0_iff [THEN iffD1])

lemma AC17_AC1_aux3:
  "[| f'Z ∈ Z; Z ∈ Pow(x)-{0} |]
  ==> (λ X ∈ Pow(x)-{0}. {f'X})'Z ∈ Pow(Z)-{0}"
by auto

lemma AC17_AC1_aux4:
  "∃ f ∈ F. f'((λ f ∈ F. Q(f))'f) ∈ (λ f ∈ F. Q(f))'f
  ==> ∃ f ∈ F. f'Q(f) ∈ Q(f)"
by simp

lemma AC17_AC1: "AC17 ==> AC1"
apply (unfold AC17_def)
apply (rule classical)
apply (erule not_AC1_imp_ex [THEN exE])
apply (case_tac
  "∃ f ∈ Pow(x)-{0} → x.
  x - (⋃ a ∈ (LEAST i. HH (λ X ∈ Pow (x) -{0}. {f'X},x,i) = {x})
  . HH (λ X ∈ Pow (x) -{0}. {f'X},x,a)) = 0")
apply (erule AC17_AC1_aux1, assumption)
apply (drule AC17_AC1_aux2)
apply (erule allE)
apply (drule bspec, assumption)
apply (drule AC17_AC1_aux4)
apply (erule bexE)
apply (drule apply_type, assumption)
apply (simp add: HH_Least_eq_x del: Diff_iff )
apply (drule AC17_AC1_aux3, assumption)
apply (fast dest!: subst_elem [OF _ HH_Least_eq_x [symmetric]]
  f_subset_imp_HH_subset elim!: mem_irrefl)
done

```

```

lemma AC1_AC2_aux1:
  "[| f:(Π X ∈ A. X); B ∈ A; 0 ∉ A |] ==> {f'B} ⊆ B Int {f'C. C
  ∈ A}"
by (fast elim!: apply_type)

```

```

lemma AC1_AC2_aux2:
  "[/ pairwise_disjoint(A); B ∈ A; C ∈ A; D ∈ B; D ∈ C /] ==>
  f'B = f'C"
by (unfold pairwise_disjoint_def, fast)

lemma AC1_AC2: "AC1 ==> AC2"
apply (unfold AC1_def AC2_def)
apply (rule allI)
apply (rule impI)
apply (elim asm_rl conjE allE exE impE, assumption)
apply (intro exI ballI equalityI)
prefer 2 apply (rule AC1_AC2_aux1, assumption+)
apply (fast elim!: AC1_AC2_aux2 elim: apply_type)
done

lemma AC2_AC1_aux1: "0 ∉ A ==> 0 ∉ {B*{B}. B ∈ A}"
by (fast dest!: sym [THEN Sigma_empty_iff [THEN iffD1]])

lemma AC2_AC1_aux2: "[/ X*{X} Int C = {y}; X ∈ A /]
  ==> (THE y. X*{X} Int C = {y}): X*A"
apply (rule subst_elem [of y])
apply (blast elim!: equalityE)
apply (auto simp add: singleton_eq_iff)
done

lemma AC2_AC1_aux3:
  "∀ D ∈ {E*{E}. E ∈ A}. ∃ y. D Int C = {y}
  ==> (λx ∈ A. fst(THE z. (x*{x} Int C = {z}))) ∈ (Π X ∈ A. X)"
apply (rule lam_type)
apply (drule bspec, blast)
apply (blast intro: AC2_AC1_aux2 fst_type)
done

lemma AC2_AC1: "AC2 ==> AC1"
apply (unfold AC1_def AC2_def pairwise_disjoint_def)
apply (intro allI impI)
apply (elim allE impE)
prefer 2 apply (fast elim!: AC2_AC1_aux3)
apply (blast intro!: AC2_AC1_aux1)
done

```

```
lemma empty_notin_images: "0  $\notin$  {R' '{x}. x  $\in$  domain(R)}"
by blast
```

```
lemma AC1_AC4: "AC1 ==> AC4"
apply (unfold AC1_def AC4_def)
apply (intro allI impI)
apply (drule spec, drule mp [OF _ empty_notin_images])
apply (best intro!: lam_type elim!: apply_type)
done
```

```
lemma AC4_AC3_aux1: "f  $\in$  A $\rightarrow$ B ==> ( $\bigcup$  z  $\in$  A. {z}*f'z)  $\subseteq$  A*Union(B)"
by (fast dest!: apply_type)
```

```
lemma AC4_AC3_aux2: "domain( $\bigcup$  z  $\in$  A. {z}*f(z)) = {a  $\in$  A. f(a)  $\neq$  0}"
by blast
```

```
lemma AC4_AC3_aux3: "x  $\in$  A ==> ( $\bigcup$  z  $\in$  A. {z}*f(z))' '{x} = f(x)"
by fast
```

```
lemma AC4_AC3: "AC4 ==> AC3"
apply (unfold AC3_def AC4_def)
apply (intro allI ballI)
apply (elim allE impE)
apply (erule AC4_AC3_aux1)
apply (simp add: AC4_AC3_aux2 AC4_AC3_aux3 cong add: Pi_cong)
done
```

```
lemma AC3_AC1_lemma:
  "b  $\notin$  A ==> ( $\prod$  x  $\in$  {a  $\in$  A. id(A)'a  $\neq$  b}. id(A)'x) = ( $\prod$  x  $\in$  A. x)"
apply (simp add: id_def cong add: Pi_cong)
apply (rule_tac b = A in subst_context, fast)
done
```

```
lemma AC3_AC1: "AC3 ==> AC1"
apply (unfold AC1_def AC3_def)
apply (fast intro!: id_type elim: AC3_AC1_lemma [THEN subst])
done
```

```

lemma AC4_AC5: "AC4 ==> AC5"
apply (unfold range_def AC4_def AC5_def)
apply (intro allI ballI)
apply (elim allE impE)
apply (erule fun_is_rel [THEN converse_type])
apply (erule exE)
apply (rename_tac g)
apply (rule_tac x=g in bexI)
apply (blast dest: apply_equality range_type)
apply (blast intro: Pi_type dest: apply_type fun_is_rel)
done

```

```

lemma AC5_AC4_aux1: "R ⊆ A*B ==> (λx ∈ R. fst(x)) ∈ R -> A"
by (fast intro!: lam_type fst_type)

```

```

lemma AC5_AC4_aux2: "R ⊆ A*B ==> range(λx ∈ R. fst(x)) = domain(R)"
by (unfold lam_def, force)

```

```

lemma AC5_AC4_aux3: "[| ∃ f ∈ A->C. P(f,domain(f)); A=B |] ==> ∃ f ∈
B->C. P(f,B)"
apply (erule bexE)
apply (frule domain_of_fun, fast)
done

```

```

lemma AC5_AC4_aux4: "[| R ⊆ A*B; g ∈ C->R; ∀ x ∈ C. (λz ∈ R. fst(z))'
(g'x) = x |]
==> (λx ∈ C. snd(g'x)): (Π x ∈ C. R' '{x})"
apply (rule lam_type)
apply (force dest: apply_type)
done

```

```

lemma AC5_AC4: "AC5 ==> AC4"
apply (unfold AC4_def AC5_def, clarify)
apply (elim allE ballE)
apply (drule AC5_AC4_aux3 [OF _ AC5_AC4_aux2], assumption)
apply (fast elim!: AC5_AC4_aux4)
apply (blast intro: AC5_AC4_aux1)
done

```

```

lemma AC1_iff_AC6: "AC1 <-> AC6"
by (unfold AC1_def AC6_def, blast)

end

theory AC18_AC19
imports AC_Equiv
begin

definition
  uu      :: "i => i" where
    "uu(a) == {c Un {0}. c ∈ a}"

lemma PROD_subsets:
  "[| f ∈ (Π b ∈ {P(a). a ∈ A}. b);  ∀ a ∈ A. P(a) ≤ Q(a)  |]
  ==> (λa ∈ A. f'P(a)) ∈ (Π a ∈ A. Q(a))"
by (rule lam_type, drule apply_type, auto)

lemma lemma_AC18:
  "[| ∀ A. 0 ∉ A --> (∃ f. f ∈ (Π X ∈ A. X)); A ≠ 0  |]
  ==> (∩ a ∈ A. ∪ b ∈ B(a). X(a, b)) ⊆
    (∪ f ∈ Π a ∈ A. B(a). ∩ a ∈ A. X(a, f'a))"
apply (rule subsetI)
apply (erule_tac x = "{b ∈ B (a) . x ∈ X (a,b) }. a ∈ A" in allE)
apply (erule impE, fast)
apply (erule exE)
apply (rule UN_I)
  apply (fast elim!: PROD_subsets)
apply (simp, fast elim!: not_emptyE dest: apply_type [OF _ RepFunI])
done

lemma AC1_AC18: "AC1 ==> PROP AC18"
apply (unfold AC1_def)
apply (rule AC18.intro)
apply (fast elim!: lemma_AC18 apply_type intro!: equalityI INT_I UN_I)
done

```

```

theorem (in AC18) AC19
  apply (unfold AC19_def)
  apply (intro allI impI)
  apply (rule AC18 [of _ "%x. x", THEN mp], blast)
done

```

```

lemma RepRep_conj:
  "[| A ≠ 0; 0 ∉ A |] ==> {uu(a). a ∈ A} ≠ 0 & 0 ∉ {uu(a). a
  ∈ A}"
  apply (unfold uu_def, auto)
  apply (blast dest!: sym [THEN RepFun_eq_0_iff [THEN iffD1]])
done

```

```

lemma lemma1_1: "[| c ∈ a; x = c Un {0}; x ∉ a |] ==> x - {0} ∈ a"
  apply clarify
  apply (rule subst_elem, assumption)
  apply (fast elim: notE subst_elem)
done

```

```

lemma lemma1_2:
  "[| f'(uu(a)) ∉ a; f ∈ (Π B ∈ {uu(a). a ∈ A}. B); a ∈ A |]
  ==> f'(uu(a)) - {0} ∈ a"
  apply (unfold uu_def, fast elim!: lemma1_1 dest!: apply_type)
done

```

```

lemma lemma1: "∃ f. f ∈ (Π B ∈ {uu(a). a ∈ A}. B) ==> ∃ f. f ∈ (Π
  B ∈ A. B)"
  apply (erule exE)
  apply (rule_tac x = "λa∈A. if (f' (uu(a)) ∈ a, f' (uu(a)), f' (uu(a)) - {0})"
  in exI)
  apply (rule lam_type)
  apply (simp add: lemma1_2)
done

```

```

lemma lemma2_1: "a ≠ 0 ==> 0 ∈ (⋃ b ∈ uu(a). b)"
  by (unfold uu_def, auto)

```

```

lemma lemma2: "[| A ≠ 0; 0 ∉ A |] ==> (⋂ x ∈ {uu(a). a ∈ A}. ⋃ b ∈ x.
  b) ≠ 0"
  apply (erule not_emptyE)

```

```

apply (rule_tac a = 0 in not_emptyI)
apply (fast intro!: lemma2_1)
done

```

```

lemma AC19_AC1: "AC19 ==> AC1"
apply (unfold AC19_def AC1_def, clarify)
apply (case_tac "A=0", force)
apply (erule_tac x = "{uu (a) . a ∈ A}" in allE)
apply (erule impE)
apply (erule RepRep_conj, assumption)
apply (rule lemma1)
apply (drule lemma2, assumption, auto)
done

end

```

```

theory DC
imports AC_Equiv Hartog Cardinal_aux
begin

```

```

lemma RepFun_lepoll: "Ord(a) ==> {P(b). b ∈ a} ≲ a"
apply (unfold lepoll_def)
apply (rule_tac x = "λz ∈ RepFun (a,P) . LEAST i. z=P (i) " in exI)
apply (rule_tac d="%z. P (z)" in lam_injective)
  apply (fast intro!: Least_in_Ord)
apply (rule sym)
apply (fast intro: LeastI Ord_in_Ord)
done

```

Trivial in the presence of AC, but here we need a wellordering of X

```

lemma image_Ord_lepoll: "[| f ∈ X->Y; Ord(X) |] ==> f``X ≲ Y"
apply (unfold lepoll_def)
apply (rule_tac x = "λx ∈ f``X. LEAST y. f`y = x" in exI)
apply (rule_tac d = "%z. f`z" in lam_injective)
apply (fast intro!: Least_in_Ord apply_equality, clarify)
apply (rule LeastI)
  apply (erule apply_equality, assumption+)
apply (blast intro: Ord_in_Ord)
done

```

```

lemma range_subset_domain:
  "[| R ⊆ X*X;   !g. g ∈ X ==> ∃u. <g,u> ∈ R |]
   ==> range(R) ⊆ domain(R)"
by blast

```

```

lemma cons_fun_type: "g ∈ n->X ==> cons(<n,x>, g) ∈ succ(n) -> cons(x,
X)"

```

```

apply (unfold succ_def)
apply (fast intro!: fun_extend elim!: mem_irrefl)
done

lemma cons_fun_type2:
  "[| g ∈ n->X; x ∈ X |] ==> cons(<n,x>, g) ∈ succ(n) -> X"
by (erule cons_absorb [THEN subst], erule cons_fun_type)

lemma cons_image_n: "n ∈ nat ==> cons(<n,x>, g) 'n = g 'n"
by (fast elim!: mem_irrefl)

lemma cons_val_n: "g ∈ n->X ==> cons(<n,x>, g) 'n = x"
by (fast intro!: apply_equality elim!: cons_fun_type)

lemma cons_image_k: "k ∈ n ==> cons(<n,x>, g) 'k = g 'k"
by (fast elim: mem_asym)

lemma cons_val_k: "[| k ∈ n; g ∈ n->X |] ==> cons(<n,x>, g) 'k = g 'k"
by (fast intro!: apply_equality consI2 elim!: cons_fun_type apply_Pair)

lemma domain_cons_eq_succ: "domain(f)=x ==> domain(cons(<x,y>, f)) =
succ(x)"
by (simp add: domain_cons succ_def)

lemma restrict_cons_eq: "g ∈ n->X ==> restrict(cons(<n,x>, g), n) =
g"
apply (simp add: restrict_def Pi_iff)
apply (blast intro: elim: mem_irrefl)
done

lemma succ_in_succ: "[| Ord(k); i ∈ k |] ==> succ(i) ∈ succ(k)"
apply (rule Ord_linear [of "succ(i)" "succ(k)", THEN disjE])
apply (fast elim: Ord_in_Ord mem_irrefl mem_asym)+
done

lemma restrict_eq_imp_val_eq:
  "[| restrict(f, domain(g)) = g; x ∈ domain(g) |]
  ==> f 'x = g 'x"
by (erule subst, simp add: restrict)

lemma domain_eq_imp_fun_type: "[| domain(f)=A; f ∈ B->C |] ==> f ∈ A->C"
by (frule domain_of_fun, fast)

lemma ex_in_domain: "[| R ⊆ A * B; R ≠ 0 |] ==> ∃x. x ∈ domain(R)"
by (fast elim!: not_emptyE)

definition
  DC :: "i => o" where

```



```

"DC(a) ==  $\forall X R. R \subseteq \text{Pow}(X) * X \ \&$ 
  ( $\forall Y \in \text{Pow}(X). Y \prec a \rightarrow (\exists x \in X. \langle Y, x \rangle \in R)$ )
   $\rightarrow (\exists f \in a \rightarrow X. \forall b \prec a. \langle f' b, f' b \rangle \in R)$ "

```

definition

```

DC0 :: o where
  "DC0 ==  $\forall A B R. R \subseteq A * B \ \& \ R \neq 0 \ \& \ \text{range}(R) \subseteq \text{domain}(R)$ 
     $\rightarrow (\exists f \in \text{nat} \rightarrow \text{domain}(R). \forall n \in \text{nat}. \langle f' n, f' \text{succ}(n) \rangle \in R)$ "

```

definition

```

ff :: "[i, i, i, i] => i" where
  "ff(b, X, Q, R) ==
    transrec(b, %c r. THE x. first(x, {x ∈ X. <r' c, x> ∈ R},
Q))"

```

locale DC0_imp =

fixes XX and RR and X and R

assumes all_ex: " $\forall Y \in \text{Pow}(X). Y \prec \text{nat} \rightarrow (\exists x \in X. \langle Y, x \rangle \in R)$ "

defines XX_def: " $XX == (\bigcup n \in \text{nat}. \{f \in n \rightarrow X. \forall k \in n. \langle f' k, f' k \rangle \in R\})$ "

and RR_def: " $RR == \{\langle z1, z2 \rangle : XX * XX. \text{domain}(z2) = \text{succ}(\text{domain}(z1)) \ \& \ \text{restrict}(z2, \text{domain}(z1)) = z1\}$ "

```

lemma (in DCO_imp) lemma1_1: "RR  $\subseteq$  XX*XX"
by (unfold RR_def, fast)

lemma (in DCO_imp) lemma1_2: "RR  $\neq$  0"
apply (unfold RR_def XX_def)
apply (rule all_ex [THEN ballE])
apply (erule_tac [2] notE [OF _ empty_subsetI [THEN PowI]])
apply (erule_tac impE [OF _ nat_0I [THEN n_lesspoll_nat]])
apply (erule bexE)
apply (rule_tac a = "<0, {<0, x>}>" in not_emptyI)
apply (rule CollectI)
apply (rule SigmaI)
apply (rule nat_0I [THEN UN_I])
apply (simp (no_asm_simp) add: nat_0I [THEN UN_I])
apply (rule nat_1I [THEN UN_I])
apply (force intro!: singleton_fun [THEN Pi_type]
      simp add: singleton_0 [symmetric])
apply (simp add: singleton_0)
done

lemma (in DCO_imp) lemma1_3: "range(RR)  $\subseteq$  domain(RR)"
apply (unfold RR_def XX_def)
apply (rule range_subset_domain, blast, clarify)
apply (frule fun_is_rel [THEN image_subset, THEN PowI,
      THEN all_ex [THEN bspec]])
apply (erule impE[OF _ lesspoll_trans1[OF image_Ord_lepoll
      [OF _ nat_into_Ord] n_lesspoll_nat]],
      assumption+)
apply (erule bexE)
apply (rule_tac x = "cons (<n,x>, g) " in exI)
apply (rule CollectI)
apply (force elim!: cons_fun_type2
      simp add: cons_image_n cons_val_n cons_image_k cons_val_k)
apply (simp add: domain_of_fun succ_def restrict_cons_eq)
done

lemma (in DCO_imp) lemma2:
  "[|  $\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in \text{RR}; \quad f \in \text{nat} \rightarrow \text{XX}; \quad n \in \text{nat} \quad |]$ 

   $\implies \exists k \in \text{nat}. f'succ(n) \in k \rightarrow X \ \& \ n \in k$ 
   $\ \& \ \langle f'succ(n)'n, f'succ(n)'n \rangle \in R$ "
apply (induct_tac "n")
apply (drule apply_type [OF _ nat_1I])
apply (drule bspec [OF _ nat_0I])
apply (simp add: XX_def, safe)

```

```

apply (rule rev_bexI, assumption)
apply (subgoal_tac "0 ∈ y", force)
apply (force simp add: RR_def
      intro: ltD elim!: nat_0_le [THEN leE])

apply (drule bspec [OF _ nat_succI], assumption)
apply (subgoal_tac "f ' succ (succ (x)) ∈ succ (k) ->X")
apply (drule apply_type [OF _ nat_succI [THEN nat_succI]], assumption)
apply (simp (no_asm_use) add: XX_def RR_def)
apply safe
apply (frule_tac a="succ(k)" in domain_of_fun [symmetric, THEN trans],
      assumption)
apply (frule_tac a=y in domain_of_fun [symmetric, THEN trans],
      assumption)
apply (fast elim!: nat_into_Ord [THEN succ_in_succ]
      dest!: bspec [OF _ nat_into_Ord [THEN succ_in_succ]])
apply (drule domain_of_fun)
apply (simp add: XX_def RR_def, clarify)
apply (blast dest: domain_of_fun [symmetric, THEN trans] )
done

lemma (in DCO_imp) lemma3_1:
  "[| ∀ n ∈ nat. <f'n, f'succ(n)> ∈ RR;  f ∈ nat -> XX;  m ∈ nat |]
    ==> {f'succ(x)'x. x ∈ m} = {f'succ(m)'x. x ∈ m}"
apply (subgoal_tac "∀ x ∈ m. f'succ (m) 'x = f'succ (x) 'x")
apply simp
apply (induct_tac "m", blast)
apply (rule ballI)
apply (erule succE)
  apply (rule restrict_eq_imp_val_eq)
    apply (drule bspec [OF _ nat_succI], assumption)
    apply (simp add: RR_def)
    apply (drule lemma2, assumption+)
    apply (fast dest!: domain_of_fun)
  apply (drule_tac x = xa in bspec, assumption)
  apply (erule sym [THEN trans, symmetric])
  apply (rule restrict_eq_imp_val_eq [symmetric])
    apply (drule bspec [OF _ nat_succI], assumption)
    apply (simp add: RR_def)
  apply (drule lemma2, assumption+)
  apply (blast dest!: domain_of_fun
    intro: nat_into_Ord OrdmemD [THEN subsetD])
done

lemma (in DCO_imp) lemma3:
  "[| ∀ n ∈ nat. <f'n, f'succ(n)> ∈ RR;  f ∈ nat -> XX;  m ∈ nat |]

```

```

      ==> (λx ∈ nat. f'succ(x)'x) 'm = f'succ(m)'m"
apply (erule natE, simp)
apply (subst image_lam)
  apply (fast elim!: OrdmemD [OF nat_succI Ord_nat])
apply (subst lemma3_1, assumption+)
  apply fast
apply (fast dest!: lemma2
      elim!: image_fun [symmetric, OF _ OrdmemD [OF _ nat_into_Ord]])
done

```

```

theorem DCO_imp_DC_nat: "DC0 ==> DC(nat)"
apply (unfold DC_def DC0_def, clarify)
apply (elim allE)
apply (erule impE)

```

```

apply (blast intro!: DCO_imp.lemma1_1 [OF DCO_imp.intro] DCO_imp.lemma1_2
      [OF DCO_imp.intro] DCO_imp.lemma1_3 [OF DCO_imp.intro])
apply (erule bexE)
apply (rule_tac x = "λn ∈ nat. f'succ (n) 'n" in rev_bexI)
  apply (rule lam_type, blast dest!: DCO_imp.lemma2 [OF DCO_imp.intro]
      intro: fun_weaken_type)
  apply (rule oallI)
  apply (frule DCO_imp.lemma2 [OF DCO_imp.intro], assumption)
    apply (blast intro: fun_weaken_type)
    apply (erule ltD)

apply (subst DCO_imp.lemma3 [OF DCO_imp.intro], assumption+)
  apply (fast elim!: fun_weaken_type)
  apply (erule ltD)
apply (force simp add: lt_def)
done

```

```

lemma singleton_in_funs:
  "x ∈ X ==> {<0,x>} ∈
    (⋃ n ∈ nat. {f ∈ succ(n)->X. ∀ k ∈ n. <f'k, f'succ(k)> ∈
      R})"
apply (rule nat_0I [THEN UN_I])
apply (force simp add: singleton_0 [symmetric]
      intro!: singleton_fun [THEN Pi_type])
done

```

```

locale imp_DC0 =
  fixes XX and RR and x and R and f and allRR
  defines XX_def: "XX == (⋃ n ∈ nat.

```

```

    {f ∈ succ(n) → domain(R). ∀ k ∈ n. <f'k, f'succ(k)>
    ∈ R})"
  and RR_def:
    "RR == {<z1,z2>:Fin(XX)*XX.
      (domain(z2)=succ(⋃ f ∈ z1. domain(f))
        & (∀ f ∈ z1. restrict(z2, domain(f)) = f))
      | (¬ (∃ g ∈ XX. domain(g)=succ(⋃ f ∈ z1. domain(f))
        & (∀ f ∈ z1. restrict(g, domain(f)) = f)) & z2={<0,x>})}"
  and allRR_def:
    "allRR == ∀ b<nat.
      <f' 'b, f' 'b> ∈
      {<z1,z2>∈Fin(XX)*XX. (domain(z2)=succ(⋃ f ∈ z1. domain(f))
        & (⋃ f ∈ z1. domain(f)) = b
        & (∀ f ∈ z1. restrict(z2,domain(f))
          = f))}"

lemma (in imp_DC0) lemma4:
  "[| range(R) ⊆ domain(R); x ∈ domain(R) |]
  ==> RR ⊆ Pow(XX)*XX &
    (∀ Y ∈ Pow(XX). Y < nat --> (∃ x ∈ XX. <Y,x>:RR))"
  apply (rule conjI)
  apply (force dest!: FinD [THEN PowI] simp add: RR_def)
  apply (rule impI [THEN ballI])
  apply (drule Finite_Fin [OF lesspoll_nat_is_Finite PowD], assumption)
  apply (case_tac
    "∃ g ∈ XX. domain (g) =
      succ(⋃ f ∈ Y. domain(f)) & (∀ f∈Y. restrict(g, domain(f))
        = f)")
  apply (simp add: RR_def, blast)
  apply (safe del: domainE)
  apply (unfold XX_def RR_def)
  apply (rule rev_bexI, erule singleton_in_funs)
  apply (simp add: nat_0I [THEN rev_bexI] cons_fun_type2)
  done

lemma (in imp_DC0) UN_image_succ_eq:
  "[| f ∈ nat → X; n ∈ nat |]
  ==> (⋃ x ∈ f' 'succ(n). P(x)) = P(f'n) Un (⋃ x ∈ f' 'n. P(x))"
  by (simp add: image_fun OrdmemD)

lemma (in imp_DC0) UN_image_succ_eq_succ:
  "[| (⋃ x ∈ f' 'n. P(x)) = y; P(f'n) = succ(y);
    f ∈ nat → X; n ∈ nat |] ==> (⋃ x ∈ f' 'succ(n). P(x)) = succ(y)"
  by (simp add: UN_image_succ_eq, blast)

lemma (in imp_DC0) apply_domain_type:
  "[| h ∈ succ(n) → D; n ∈ nat; domain(h)=succ(y) |] ==> h'y ∈ D"
  by (fast elim: apply_type dest!: trans [OF sym domain_of_fun])

```

```

lemma (in imp_DC0) image_fun_succ:
  "[| h ∈ nat -> X; n ∈ nat |] ==> h'`succ(n) = cons(h'n, h'n)"
by (simp add: image_fun OrdmemD)

lemma (in imp_DC0) f_n_type:
  "[| domain(f'n) = succ(k); f ∈ nat -> XX; n ∈ nat |]
  ==> f'n ∈ succ(k) -> domain(R)"
apply (unfold XX_def)
apply (drule apply_type, assumption)
apply (fast elim: domain_eq_imp_fun_type)
done

lemma (in imp_DC0) f_n_pairs_in_R [rule_format]:
  "[| h ∈ nat -> XX; domain(h'n) = succ(k); n ∈ nat |]
  ==> ∀ i ∈ k. <h'n'i, h'n'succ(i)> ∈ R"
apply (unfold XX_def)
apply (drule apply_type, assumption)
apply (elim UN_E CollectE)
apply (drule domain_of_fun [symmetric, THEN trans], assumption, simp)
done

lemma (in imp_DC0) restrict_cons_eq_restrict:
  "[| restrict(h, domain(u))=u; h ∈ n->X; domain(u) ⊆ n |]
  ==> restrict(cons(<n, y>, h), domain(u)) = u"
apply (unfold restrict_def)
apply (simp add: restrict_def Pi_iff)
apply (erule sym [THEN trans, symmetric])
apply (blast elim: mem_irrefl)
done

lemma (in imp_DC0) all_in_image_restrict_eq:
  "[| ∀ x ∈ f'n. restrict(f'n, domain(x))=x;
    f ∈ nat -> XX;
    n ∈ nat; domain(f'n) = succ(n);
    (⋃ x ∈ f'n. domain(x)) ⊆ n |]
  ==> ∀ x ∈ f'succ(n). restrict(cons(<succ(n),y>, f'n), domain(x))
  = x"
apply (rule ballI)
apply (simp add: image_fun_succ)
apply (drule f_n_type, assumption+)
apply (erule disjE)
  apply (simp add: domain_of_fun restrict_cons_eq)
apply (blast intro!: restrict_cons_eq_restrict)
done

lemma (in imp_DC0) simplify_recursion:
  "[| ∀ b<nat. <f'b, f'b> ∈ RR;
    f ∈ nat -> XX; range(R) ⊆ domain(R); x ∈ domain(R) |]

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=> allRR"
apply (unfold RR_def allRR_def)
apply (rule oallI, drule ltD)
apply (erule nat_induct)
apply (drule_tac x=0 in ospec, blast intro: Limit_has_0)
apply (force simp add: singleton_fun [THEN domain_of_fun] singleton_in_funs)

apply (simp only: separation split)
apply (drule_tac x="succ(xa)" in ospec, blast intro: ltI)
apply (elim conjE disjE)
apply (force elim!: trans subst_context
             intro!: UN_image_succ_eq_succ)
apply (erule notE)
apply (simp add: XX_def UN_image_succ_eq_succ)
apply (elim conjE bexE)
apply (drule apply_domain_type, assumption+)
apply (erule domainE)+
apply (frule f_n_type)
apply (simp add: XX_def, assumption+)
apply (rule rev_bexI, erule nat_succI)
apply (rename_tac m i j y z)
apply (rule_tac x = "cons(<succ(m), z>, f'm)" in bexI)
prefer 2 apply (blast intro: cons_fun_type2)
apply (rule conjI)
prefer 2 apply (fast del: ballI subsetI
                    elim: trans [OF _ subst_context, THEN domain_cons_eq_succ]
                    subst_context
                    all_in_image_restrict_eq [simplified XX_def]
                    trans equalityD1)

apply (rule ballI)
apply (erule succE)

  apply (simp add: cons_val_n cons_val_k)

apply (drule f_n_pairs_in_R [simplified XX_def, OF _ domain_of_fun],
      assumption, assumption, assumption)
apply (simp add: nat_into_Ord [THEN succ_in_succ] succI2 cons_val_k)
done

lemma (in imp_DC0) lemma2:
  "[| allRR; f ∈ nat->XX; range(R) ⊆ domain(R); x ∈ domain(R); n
   ∈ nat |]
   => f'n ∈ succ(n) -> domain(R) & (∀ i ∈ n. <f'n'i, f'n'succ(i)>:R)"
apply (unfold allRR_def)
apply (drule ospec)

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apply (erule ltI [OF _ Ord_nat])
apply (erule CollectE, simp)
apply (rule conjI)
prefer 2 apply (fast elim!: f_n_pairs_in_R trans subst_context)
apply (unfold XX_def)
apply (fast elim!: trans [THEN domain_eq_imp_fun_type] subst_context)
done

lemma (in imp_DC0) lemma3:
  "[| allRR; f ∈ nat->XX; n∈nat; range(R) ⊆ domain(R); x ∈ domain(R)
  |]
  ==> f'n'n = f'succ(n)'n"
apply (frule lemma2 [THEN conjunct1, THEN domain_of_fun], assumption+)
apply (unfold allRR_def)
apply (drule ospec)
apply (drule ltI [OF nat_succI Ord_nat], assumption, simp)
apply (elim conjE ballE)
apply (erule restrict_eq_imp_val_eq [symmetric], force)
apply (simp add: image_fun OrdmemD)
done

theorem DC_nat_imp_DC0: "DC(nat) ==> DC0"
apply (unfold DC_def DC0_def)
apply (intro allI impI)
apply (erule asm_rl conjE ex_in_domain [THEN exE] allE)+
apply (erule impE [OF _ imp_DC0.lemma4], assumption+)
apply (erule bexE)
apply (drule imp_DC0.simplify_recursion, assumption+)
apply (rule_tac x = "λn ∈ nat. f'n'n" in bexI)
apply (rule_tac [2] lam_type)
apply (erule_tac [2] apply_type [OF imp_DC0.lemma2 [THEN conjunct1] succI1])
apply (rule ballI)
apply (frule_tac n="succ(n)" in imp_DC0.lemma2,
      (assumption/erule nat_succI)+)
apply (drule imp_DC0.lemma3, auto)
done

lemma fun_Ord_inj:
  "[| f ∈ a->X; Ord(a);
    !!b c. [| b<c; c ∈ a |] ==> f'b≠f'c |]
  ==> f ∈ inj(a, X)"
apply (unfold inj_def, simp)
apply (intro ballI impI)
apply (rule_tac j=x in Ord_in_Ord [THEN Ord_linear_lt], assumption+)

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apply (blast intro: Ord_in_Ord, auto)
apply (atomize, blast dest: not_sym)
done

lemma value_in_image: "[| f ∈ X->Y; A ⊆ X; a ∈ A |] ==> f'a ∈ f``A"
by (fast elim!: image_fun [THEN ssubst])

theorem DC_W03: "(∀K. Card(K) --> DC(K)) ==> W03"
apply (unfold DC_def W03_def)
apply (rule allI)
apply (case_tac "A < Hartog (A)")
apply (fast dest!: lesspoll_imp_ex_lt_eqpoll
      intro!: Ord_Hartog leI [THEN le_imp_subset])
apply (erule allE impE)+
apply (rule Card_Hartog)
apply (erule_tac x = A in allE)
apply (erule_tac x = "{<z1,z2> ∈ Pow (A) *A . z1 < Hartog (A) & z2 ∉ z1}"
      in allE)
apply simp
apply (erule impE, fast elim: lesspoll_lemma [THEN not_emptyE])
apply (erule bexE)
apply (rule Hartog_lepoll_selfE)
apply (rule lepoll_def [THEN def_imp_iff, THEN iffD2])
apply (rule exI, rule fun_Ord_inj, assumption, rule Ord_Hartog)
apply (drule value_in_image)
apply (drule OrdmemD, rule Ord_Hartog, assumption+, erule ltD)
apply (drule ospec)
apply (blast intro: ltI Ord_Hartog, force)
done

lemma images_eq:
  "[| ∀x ∈ A. f'x=g'x; f ∈ Df->Cf; g ∈ Dg->Cg; A ⊆ Df; A ⊆ Dg |]
   ==> f``A = g``A"
apply (simp (no_asm_simp) add: image_fun)
done

lemma lam_images_eq:
  "[| Ord(a); b ∈ a |] ==> (λx ∈ a. h(x))``b = (λx ∈ b. h(x))``b"
apply (rule images_eq)
  apply (rule ballI)
  apply (drule OrdmemD [THEN subsetD], assumption+)
  apply simp
  apply (fast elim!: RepFunI OrdmemD intro!: lam_type)+

```

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done

lemma lam_type_RepFun: "(\b \in a. h(b)) \in a -> {h(b). b \in a}"
by (fast intro!: lam_type RepFunI)

lemma lemmaX:
  "[| \forall Y \in Pow(X). Y \prec K --> (\exists x \in X. <Y, x> \in R);
    b \in K; Z \in Pow(X); Z \prec K |]"
  ==> "{x \in X. <Z, x> \in R} \neq 0"
by blast

lemma W01_DC_lemma:
  "[| Card(K); well_ord(X, Q);
    \forall Y \in Pow(X). Y \prec K --> (\exists x \in X. <Y, x> \in R); b \in K |]"
  ==> "ff(b, X, Q, R) \in {x \in X. <(\lambda c \in b. ff(c, X, Q, R))' 'b, x>
\in R}"
apply (rule_tac P = "b \in K" in impE, (erule_tac [2] asm_rl)+)
apply (rule_tac i=b in Card_is_Ord [THEN Ord_in_Ord, THEN trans_induct],
      assumption+)
apply (rule impI)
apply (rule ff_def [THEN def_transrec, THEN ssubst])
apply (erule the_first_in, fast)
apply (simp add: image_fun [OF lam_type_RepFun subset_refl])
apply (erule lemmaX, assumption)
  apply (blast intro: Card_is_Ord OrdmemD [THEN subsetD])
apply (blast intro: lesspoll_trans1 in_Card_imp_lesspoll RepFun_1epoll)
done

theorem W01_DC_Card: "W01 ==> \forall K. Card(K) --> DC(K)"
apply (unfold DC_def W01_def)
apply (rule allI impI)+
apply (erule allE exE conjE)+
apply (rule_tac x = "\b \in K. ff (b, X, Ra, R) " in bexI)
  apply (simp add: lam_images_eq [OF Card_is_Ord ltD])
  apply (fast elim!: ltE W01_DC_lemma [THEN CollectD2])
apply (rule_tac lam_type)
apply (rule W01_DC_lemma [THEN CollectD1], assumption+)
done

end

```

References

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- [2] Herman Rubin and Jean E. Rubin. *Equivalents of the Axiom of Choice, II*. North-Holland, 1985.