

# Isabelle/HOLCF — Higher-Order Logic of Computable Functions

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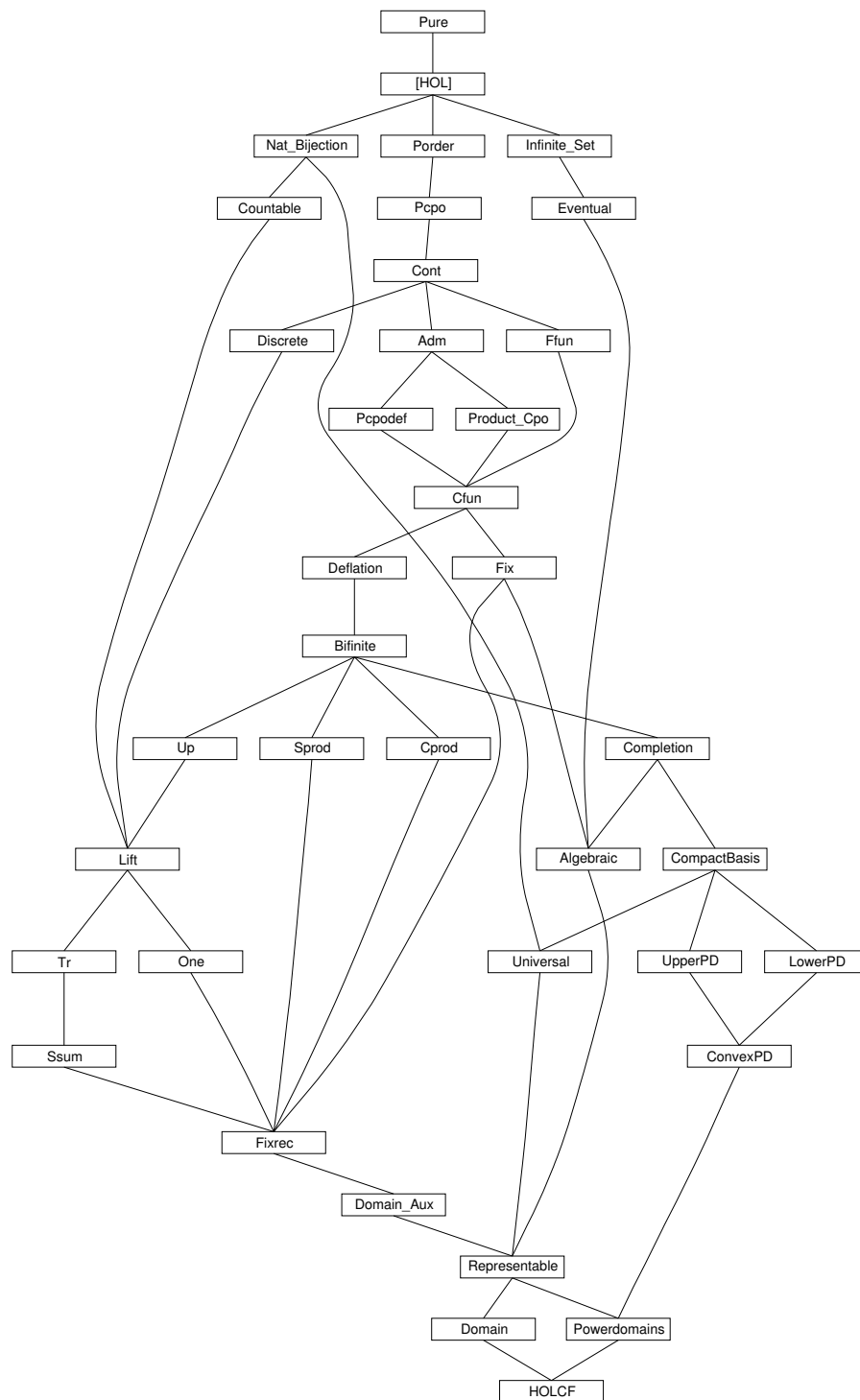
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## 1 Porder: Partial orders

```
theory Porder
imports Main
begin
```

### 1.1 Type class for partial orders

```
class below =
  fixes below :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
begin
```

```
notation
  below (infixl << 55)
```

```
notation (xsymbols)
  below (infixl  $\sqsubseteq$  55)
```

```
lemma below-eq-trans:  $\llbracket a \sqsubseteq b; b = c \rrbracket \Longrightarrow a \sqsubseteq c$ 
  <proof>
```

```
lemma eq-below-trans:  $\llbracket a = b; b \sqsubseteq c \rrbracket \Longrightarrow a \sqsubseteq c$ 
  <proof>
```

```
end
```

```
class po = below +
  assumes below-refl [iff]:  $x \sqsubseteq x$ 
  assumes below-trans:  $x \sqsubseteq y \Longrightarrow y \sqsubseteq z \Longrightarrow x \sqsubseteq z$ 
  assumes below-antisym:  $x \sqsubseteq y \Longrightarrow y \sqsubseteq x \Longrightarrow x = y$ 
begin
```

minimal fixes least element

```
lemma minimal2UU[OF allI]:  $\forall x. uu \sqsubseteq x \Longrightarrow uu = (THE u. \forall y. u \sqsubseteq y)$ 
  <proof>
```

the reverse law of anti-symmetry of  $op \sqsubseteq$

```
lemma below-antisym-inverse:  $x = y \Longrightarrow x \sqsubseteq y \wedge y \sqsubseteq x$ 
  <proof>
```

```
lemma box-below:  $a \sqsubseteq b \Longrightarrow c \sqsubseteq a \Longrightarrow b \sqsubseteq d \Longrightarrow c \sqsubseteq d$ 
  <proof>
```

```
lemma po-eq-conv:  $x = y \longleftrightarrow x \sqsubseteq y \wedge y \sqsubseteq x$ 
  <proof>
```

```
lemma rev-below-trans:  $y \sqsubseteq z \Longrightarrow x \sqsubseteq y \Longrightarrow x \sqsubseteq z$ 
  <proof>
```



**lemma** *not-below2not-eq*:  $\neg x \sqsubseteq y \implies x \neq y$   
 $\langle \text{proof} \rangle$

**end**

**lemmas** *HOLCF-trans-rules* [*trans*] =  
*below-trans*  
*below-antisym*  
*below-eq-trans*  
*eq-below-trans*

**context** *po*  
**begin**

## 1.2 Upper bounds

**definition** *is-ub* ::  $'a \text{ set} \Rightarrow 'a \Rightarrow \text{bool}$  (**infixl**  $<|$  55) **where**  
 $S <| x \longleftrightarrow (\forall y. y \in S \longrightarrow y \sqsubseteq x)$

**lemma** *is-ubI*:  $(\bigwedge x. x \in S \implies x \sqsubseteq u) \implies S <| u$   
 $\langle \text{proof} \rangle$

**lemma** *is-ubD*:  $\llbracket S <| u; x \in S \rrbracket \implies x \sqsubseteq u$   
 $\langle \text{proof} \rangle$

**lemma** *ub-imageI*:  $(\bigwedge x. x \in S \implies f x \sqsubseteq u) \implies (\lambda x. f x) ' S <| u$   
 $\langle \text{proof} \rangle$

**lemma** *ub-imageD*:  $\llbracket f ' S <| u; x \in S \rrbracket \implies f x \sqsubseteq u$   
 $\langle \text{proof} \rangle$

**lemma** *ub-rangeI*:  $(\bigwedge i. S i \sqsubseteq x) \implies \text{range } S <| x$   
 $\langle \text{proof} \rangle$

**lemma** *ub-rangeD*:  $\text{range } S <| x \implies S i \sqsubseteq x$   
 $\langle \text{proof} \rangle$

**lemma** *is-ub-empty* [*simp*]:  $\{\} <| u$   
 $\langle \text{proof} \rangle$

**lemma** *is-ub-insert* [*simp*]:  $(\text{insert } x A) <| y = (x \sqsubseteq y \wedge A <| y)$   
 $\langle \text{proof} \rangle$

**lemma** *is-ub-upward*:  $\llbracket S <| x; x \sqsubseteq y \rrbracket \implies S <| y$   
 $\langle \text{proof} \rangle$

## 1.3 Least upper bounds

**definition** *is-lub* ::  $'a \text{ set} \Rightarrow 'a \Rightarrow \text{bool}$  (**infixl**  $<<|$  55) **where**  
 $S <<| x \longleftrightarrow S <| x \wedge (\forall u. S <| u \longrightarrow x \sqsubseteq u)$

**definition**  $lub :: 'a \text{ set} \Rightarrow 'a$  **where**

$lub\ S = (THE\ x.\ S <<| x)$

**end**

**syntax**

$-BLub :: [pttrn, 'a \text{ set}, 'b] \Rightarrow 'b\ ((\exists LUB\ :-./\ -)\ [0,0, 10]\ 10)$

**syntax** ( $xsymbols$ )

$-BLub :: [pttrn, 'a \text{ set}, 'b] \Rightarrow 'b\ ((\exists \sqcup\ -\in-./\ -)\ [0,0, 10]\ 10)$

**translations**

$LUB\ x:A.\ t == CONST\ lub\ ((\%x.\ t)\ 'A)$

**context**  $po$

**begin**

**abbreviation**

$Lub\ (\text{binder}\ LUB\ 10)\ \text{where}$

$LUB\ n.\ t\ n == lub\ (range\ t)$

**notation** ( $xsymbols$ )

$Lub\ (\text{binder}\ \sqcup\ 10)$

access to some definition as inference rule

**lemma**  $is-lubD1$ :  $S <<| x \Longrightarrow S <| x$

$\langle proof \rangle$

**lemma**  $is-lub-lub$ :  $\llbracket S <<| x; S <| u \rrbracket \Longrightarrow x \sqsubseteq u$

$\langle proof \rangle$

**lemma**  $is-lubI$ :  $\llbracket S <| x; \bigwedge u.\ S <| u \Longrightarrow x \sqsubseteq u \rrbracket \Longrightarrow S <<| x$

$\langle proof \rangle$

lubs are unique

**lemma**  $unique-lub$ :  $\llbracket S <<| x; S <<| y \rrbracket \Longrightarrow x = y$

$\langle proof \rangle$

technical lemmas about  $lub$  and  $op <<|$

**lemma**  $lubI$ :  $M <<| x \Longrightarrow M <<| lub\ M$

$\langle proof \rangle$

**lemma**  $thelubI$ :  $M <<| l \Longrightarrow lub\ M = l$

$\langle proof \rangle$

**lemma**  $is-lub-singleton$ :  $\{x\} <<| x$

$\langle proof \rangle$

**lemma** *lub-singleton* [simp]:  $\text{lub } \{x\} = x$   
 ⟨proof⟩

**lemma** *is-lub-bin*:  $x \sqsubseteq y \implies \{x, y\} <<| y$   
 ⟨proof⟩

**lemma** *lub-bin*:  $x \sqsubseteq y \implies \text{lub } \{x, y\} = y$   
 ⟨proof⟩

**lemma** *is-lub-maximal*:  $\llbracket S <| x; x \in S \rrbracket \implies S <<| x$   
 ⟨proof⟩

**lemma** *lub-maximal*:  $\llbracket S <| x; x \in S \rrbracket \implies \text{lub } S = x$   
 ⟨proof⟩

## 1.4 Countable chains

**definition** *chain* ::  $(\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$  **where**  
 — Here we use countable chains and I prefer to code them as functions!  
 $\text{chain } Y = (\forall i. Y\ i \sqsubseteq Y\ (\text{Suc } i))$

**lemma** *chainI*:  $(\bigwedge i. Y\ i \sqsubseteq Y\ (\text{Suc } i)) \implies \text{chain } Y$   
 ⟨proof⟩

**lemma** *chainE*:  $\text{chain } Y \implies Y\ i \sqsubseteq Y\ (\text{Suc } i)$   
 ⟨proof⟩

chains are monotone functions

**lemma** *chain-mono-less*:  $\llbracket \text{chain } Y; i < j \rrbracket \implies Y\ i \sqsubseteq Y\ j$   
 ⟨proof⟩

**lemma** *chain-mono*:  $\llbracket \text{chain } Y; i \leq j \rrbracket \implies Y\ i \sqsubseteq Y\ j$   
 ⟨proof⟩

**lemma** *chain-shift*:  $\text{chain } Y \implies \text{chain } (\lambda i. Y\ (i + j))$   
 ⟨proof⟩

technical lemmas about (least) upper bounds of chains

**lemma** *is-ub-lub*:  $\text{range } S <<| x \implies S\ i \sqsubseteq x$   
 ⟨proof⟩

**lemma** *is-ub-range-shift*:  
 $\text{chain } S \implies \text{range } (\lambda i. S\ (i + j)) <| x = \text{range } S <| x$   
 ⟨proof⟩

**lemma** *is-lub-range-shift*:  
 $\text{chain } S \implies \text{range } (\lambda i. S\ (i + j)) <<| x = \text{range } S <<| x$   
 ⟨proof⟩

the lub of a constant chain is the constant

**lemma** *chain-const* [simp]:  $\text{chain } (\lambda i. c)$   
 ⟨proof⟩

**lemma** *lub-const*:  $\text{range } (\lambda x. c) <<| c$   
 ⟨proof⟩

**lemma** *thelub-const* [simp]:  $(\bigsqcup i. c) = c$   
 ⟨proof⟩

## 1.5 Finite chains

**definition** *max-in-chain* ::  $\text{nat} \Rightarrow (\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$  **where**  
 — finite chains, needed for monotony of continuous functions  
 $\text{max-in-chain } i \ C \longleftrightarrow (\forall j. i \leq j \longrightarrow C \ i = C \ j)$

**definition** *finite-chain* ::  $(\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$  **where**  
 $\text{finite-chain } C = (\text{chain } C \wedge (\exists i. \text{max-in-chain } i \ C))$

results about finite chains

**lemma** *max-in-chainI*:  $(\bigwedge j. i \leq j \Longrightarrow Y \ i = Y \ j) \Longrightarrow \text{max-in-chain } i \ Y$   
 ⟨proof⟩

**lemma** *max-in-chainD*:  $\llbracket \text{max-in-chain } i \ Y; i \leq j \rrbracket \Longrightarrow Y \ i = Y \ j$   
 ⟨proof⟩

**lemma** *finite-chainI*:  
 $\llbracket \text{chain } C; \text{max-in-chain } i \ C \rrbracket \Longrightarrow \text{finite-chain } C$   
 ⟨proof⟩

**lemma** *finite-chainE*:  
 $\llbracket \text{finite-chain } C; \bigwedge i. \llbracket \text{chain } C; \text{max-in-chain } i \ C \rrbracket \Longrightarrow R \rrbracket \Longrightarrow R$   
 ⟨proof⟩

**lemma** *lub-finch1*:  $\llbracket \text{chain } C; \text{max-in-chain } i \ C \rrbracket \Longrightarrow \text{range } C <<| C \ i$   
 ⟨proof⟩

**lemma** *lub-finch2*:  
 $\text{finite-chain } C \Longrightarrow \text{range } C <<| C \ (\text{LEAST } i. \text{max-in-chain } i \ C)$   
 ⟨proof⟩

**lemma** *finch-imp-finite-range*:  $\text{finite-chain } Y \Longrightarrow \text{finite } (\text{range } Y)$   
 ⟨proof⟩

**lemma** *finite-range-has-max*:  
 fixes  $f :: \text{nat} \Rightarrow 'a$  and  $r :: 'a \Rightarrow 'a \Rightarrow \text{bool}$   
 assumes *mono*:  $\bigwedge i \ j. i \leq j \Longrightarrow r \ (f \ i) \ (f \ j)$   
 assumes *finite-range*:  $\text{finite } (\text{range } f)$   
 shows  $\exists k. \forall i. r \ (f \ i) \ (f \ k)$   
 ⟨proof⟩

**lemma** *finite-range-imp-finch*:

$\llbracket \text{chain } Y; \text{finite } (\text{range } Y) \rrbracket \implies \text{finite-chain } Y$   
 $\langle \text{proof} \rangle$

**lemma** *bin-chain*:  $x \sqsubseteq y \implies \text{chain } (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$   
 $\langle \text{proof} \rangle$

**lemma** *bin-chainmax*:

$x \sqsubseteq y \implies \text{max-in-chain } (\text{Suc } 0) (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$   
 $\langle \text{proof} \rangle$

**lemma** *lub-bin-chain*:

$x \sqsubseteq y \implies \text{range } (\lambda i::\text{nat}. \text{if } i=0 \text{ then } x \text{ else } y) <<| y$   
 $\langle \text{proof} \rangle$

the maximal element in a chain is its lub

**lemma** *lub-chain-maxelem*:  $\llbracket Y \text{ i} = c; \forall i. Y \text{ i} \sqsubseteq c \rrbracket \implies \text{lub } (\text{range } Y) = c$   
 $\langle \text{proof} \rangle$

## 1.6 Directed sets

**definition** *directed* :: 'a set  $\Rightarrow$  bool **where**

$\text{directed } S \iff (\exists x. x \in S) \wedge (\forall x \in S. \forall y \in S. \exists z \in S. x \sqsubseteq z \wedge y \sqsubseteq z)$

**lemma** *directedI*:

**assumes** 1:  $\exists z. z \in S$

**assumes** 2:  $\bigwedge x y. \llbracket x \in S; y \in S \rrbracket \implies \exists z \in S. x \sqsubseteq z \wedge y \sqsubseteq z$

**shows** *directed*  $S$

$\langle \text{proof} \rangle$

**lemma** *directedD1*:  $\text{directed } S \implies \exists z. z \in S$

$\langle \text{proof} \rangle$

**lemma** *directedD2*:  $\llbracket \text{directed } S; x \in S; y \in S \rrbracket \implies \exists z \in S. x \sqsubseteq z \wedge y \sqsubseteq z$

$\langle \text{proof} \rangle$

**lemma** *directedE1*:

**assumes**  $S$ : *directed*  $S$

**obtains**  $z$  **where**  $z \in S$

$\langle \text{proof} \rangle$

**lemma** *directedE2*:

**assumes**  $S$ : *directed*  $S$

**assumes**  $x$ :  $x \in S$  **and**  $y$ :  $y \in S$

**obtains**  $z$  **where**  $z \in S \wedge x \sqsubseteq z \wedge y \sqsubseteq z$

$\langle \text{proof} \rangle$

**lemma** *directed-finiteI*:

**assumes**  $U: \bigwedge U. \llbracket \text{finite } U; U \subseteq S \rrbracket \implies \exists z \in S. U <| z$   
**shows** *directed*  $S$   
 $\langle \text{proof} \rangle$

**lemma** *directed-finiteD*:  
**assumes**  $S$ : *directed*  $S$   
**shows**  $\llbracket \text{finite } U; U \subseteq S \rrbracket \implies \exists z \in S. U <| z$   
 $\langle \text{proof} \rangle$

**lemma** *not-directed-empty* [simp]:  $\neg \text{directed } \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *directed-singleton*: *directed*  $\{x\}$   
 $\langle \text{proof} \rangle$

**lemma** *directed-bin*:  $x \sqsubseteq y \implies \text{directed } \{x, y\}$   
 $\langle \text{proof} \rangle$

**lemma** *directed-chain*: *chain*  $S \implies \text{directed } (\text{range } S)$   
 $\langle \text{proof} \rangle$

lemmata for improved admissibility introduction rule

**lemma** *infinite-chain-adm-lemma*:  
 $\llbracket \text{chain } Y; \forall i. P (Y i);$   
 $\bigwedge Y. \llbracket \text{chain } Y; \forall i. P (Y i); \neg \text{finite-chain } Y \rrbracket \implies P (\bigsqcup i. Y i)$   
 $\implies P (\bigsqcup i. Y i)$   
 $\langle \text{proof} \rangle$

**lemma** *increasing-chain-adm-lemma*:  
 $\llbracket \text{chain } Y; \forall i. P (Y i); \bigwedge Y. \llbracket \text{chain } Y; \forall i. P (Y i);$   
 $\forall i. \exists j > i. Y i \neq Y j \wedge Y i \sqsubseteq Y j \rrbracket \implies P (\bigsqcup i. Y i)$   
 $\implies P (\bigsqcup i. Y i)$   
 $\langle \text{proof} \rangle$

**end**

**end**

## 2 Pcpo: Classes cpo and pcpo

**theory** *Pcpo*  
**imports** *Porder*  
**begin**

### 2.1 Complete partial orders

The class cpo of chain complete partial orders

**class** *cpo* = *po* +  
**assumes** *cpo*:  $\text{chain } S \implies \exists x. \text{range } S <<| x$   
**begin**

in *cpo*’s everthing equal to THE lub has lub properties for every chain

**lemma** *cpo-lubI*:  $\text{chain } S \implies \text{range } S <<| (\bigsqcup i. S\ i)$   
 $\langle \text{proof} \rangle$

**lemma** *thelubE*:  $\llbracket \text{chain } S; (\bigsqcup i. S\ i) = l \rrbracket \implies \text{range } S <<| l$   
 $\langle \text{proof} \rangle$

Properties of the lub

**lemma** *is-ub-thelub*:  $\text{chain } S \implies S\ x \sqsubseteq (\bigsqcup i. S\ i)$   
 $\langle \text{proof} \rangle$

**lemma** *is-lub-thelub*:  
 $\llbracket \text{chain } S; \text{range } S <| x \rrbracket \implies (\bigsqcup i. S\ i) \sqsubseteq x$   
 $\langle \text{proof} \rangle$

**lemma** *lub-range-mono*:  
 $\llbracket \text{range } X \subseteq \text{range } Y; \text{chain } Y; \text{chain } X \rrbracket$   
 $\implies (\bigsqcup i. X\ i) \sqsubseteq (\bigsqcup i. Y\ i)$   
 $\langle \text{proof} \rangle$

**lemma** *lub-range-shift*:  
 $\text{chain } Y \implies (\bigsqcup i. Y\ (i + j)) = (\bigsqcup i. Y\ i)$   
 $\langle \text{proof} \rangle$

**lemma** *maxinch-is-thelub*:  
 $\text{chain } Y \implies \text{max-in-chain } i\ Y = ((\bigsqcup i. Y\ i) = Y\ i)$   
 $\langle \text{proof} \rangle$

the  $\sqsubseteq$  relation between two chains is preserved by their lubs

**lemma** *lub-mono*:  
 $\llbracket \text{chain } X; \text{chain } Y; \bigwedge i. X\ i \sqsubseteq Y\ i \rrbracket$   
 $\implies (\bigsqcup i. X\ i) \sqsubseteq (\bigsqcup i. Y\ i)$   
 $\langle \text{proof} \rangle$

the  $=$  relation between two chains is preserved by their lubs

**lemma** *lub-equal*:  
 $\llbracket \text{chain } X; \text{chain } Y; \forall k. X\ k = Y\ k \rrbracket$   
 $\implies (\bigsqcup i. X\ i) = (\bigsqcup i. Y\ i)$   
 $\langle \text{proof} \rangle$

**lemma** *lub-eq*:  
 $(\bigwedge i. X\ i = Y\ i) \implies (\bigsqcup i. X\ i) = (\bigsqcup i. Y\ i)$   
 $\langle \text{proof} \rangle$

more results about mono and  $=$  of lubs of chains

**lemma** *lub-mono2*:

$$\llbracket \exists j. \forall i > j. X\ i = Y\ i; \text{chain } X; \text{chain } Y \rrbracket$$
  

$$\implies (\bigsqcup i. X\ i) \sqsubseteq (\bigsqcup i. Y\ i)$$
  
 $\langle \text{proof} \rangle$

**lemma** *lub-equal2*:

$$\llbracket \exists j. \forall i > j. X\ i = Y\ i; \text{chain } X; \text{chain } Y \rrbracket$$
  

$$\implies (\bigsqcup i. X\ i) = (\bigsqcup i. Y\ i)$$
  
 $\langle \text{proof} \rangle$

**lemma** *lub-mono3*:

$$\llbracket \text{chain } Y; \text{chain } X; \forall i. \exists j. Y\ i \sqsubseteq X\ j \rrbracket$$
  

$$\implies (\bigsqcup i. Y\ i) \sqsubseteq (\bigsqcup i. X\ i)$$
  
 $\langle \text{proof} \rangle$

**lemma** *ch2ch-lub*:

**assumes** 1:  $\bigwedge j. \text{chain } (\lambda i. Y\ i\ j)$   
**assumes** 2:  $\bigwedge i. \text{chain } (\lambda j. Y\ i\ j)$   
**shows**  $\text{chain } (\lambda i. \bigsqcup j. Y\ i\ j)$   
 $\langle \text{proof} \rangle$

**lemma** *diag-lub*:

**assumes** 1:  $\bigwedge j. \text{chain } (\lambda i. Y\ i\ j)$   
**assumes** 2:  $\bigwedge i. \text{chain } (\lambda j. Y\ i\ j)$   
**shows**  $(\bigsqcup i. \bigsqcup j. Y\ i\ j) = (\bigsqcup i. Y\ i\ i)$   
 $\langle \text{proof} \rangle$

**lemma** *ex-lub*:

**assumes** 1:  $\bigwedge j. \text{chain } (\lambda i. Y\ i\ j)$   
**assumes** 2:  $\bigwedge i. \text{chain } (\lambda j. Y\ i\ j)$   
**shows**  $(\bigsqcup i. \bigsqcup j. Y\ i\ j) = (\bigsqcup j. \bigsqcup i. Y\ i\ j)$   
 $\langle \text{proof} \rangle$

**end**

## 2.2 Pointed cpos

The class pcpo of pointed cpos

**class** *pcpo* = *cpo* +  
**assumes** *least*:  $\exists x. \forall y. x \sqsubseteq y$   
**begin**

**definition** *UU* :: 'a **where**

$UU = (\text{THE } x. \forall y. x \sqsubseteq y)$

**notation** (*xsymbols*)

$UU\ (\perp)$

derive the old rule minimal



**lemma** *UU-least*:  $\forall z. \perp \sqsubseteq z$   
 $\langle proof \rangle$

**lemma** *minimal [iff]*:  $\perp \sqsubseteq x$   
 $\langle proof \rangle$

**end**

Simproc to rewrite  $\perp = x$  to  $x = \perp$ .

$\langle ML \rangle$

**context** *pcpo*  
**begin**

useful lemmas about  $\perp$

**lemma** *below-UU-iff [simp]*:  $(x \sqsubseteq \perp) = (x = \perp)$   
 $\langle proof \rangle$

**lemma** *eq-UU-iff*:  $(x = \perp) = (x \sqsubseteq \perp)$   
 $\langle proof \rangle$

**lemma** *UU-I*:  $x \sqsubseteq \perp \implies x = \perp$   
 $\langle proof \rangle$

**lemma** *chain-UU-I*:  $\llbracket chain\ Y; (\bigsqcup i. Y\ i) = \perp \rrbracket \implies \forall i. Y\ i = \perp$   
 $\langle proof \rangle$

**lemma** *chain-UU-I-inverse*:  $\forall i::nat. Y\ i = \perp \implies (\bigsqcup i. Y\ i) = \perp$   
 $\langle proof \rangle$

**lemma** *chain-UU-I-inverse2*:  $(\bigsqcup i. Y\ i) \neq \perp \implies \exists i::nat. Y\ i \neq \perp$   
 $\langle proof \rangle$

**lemma** *notUU-I*:  $\llbracket x \sqsubseteq y; x \neq \perp \rrbracket \implies y \neq \perp$   
 $\langle proof \rangle$

**lemma** *chain-mono2*:  $\llbracket \exists j. Y\ j \neq \perp; chain\ Y \rrbracket \implies \exists j. \forall i>j. Y\ i \neq \perp$   
 $\langle proof \rangle$

**end**

### 2.3 Chain-finite and flat cpos

further useful classes for HOLCF domains

**class** *chfin* = *po* +  
**assumes** *chfin*:  $chain\ Y \implies \exists n. max\text{-}in\text{-}chain\ n\ Y$   
**begin**

```

subclass cpo
  ⟨proof⟩

lemma chfin2finch: chain  $Y \implies$  finite-chain  $Y$ 
  ⟨proof⟩

end

class finite-po = finite + po
begin

subclass chfin
  ⟨proof⟩

end

class flat = pcpo +
  assumes ax-flat:  $x \sqsubseteq y \implies x = \perp \vee x = y$ 
begin

subclass chfin
  ⟨proof⟩

lemma flat-below-iff:
  shows  $(x \sqsubseteq y) = (x = \perp \vee x = y)$ 
  ⟨proof⟩

lemma flat-eq:  $a \neq \perp \implies a \sqsubseteq b = (a = b)$ 
  ⟨proof⟩

end

Discrete cpos

class discrete-cpo = below +
  assumes discrete-cpo [simp]:  $x \sqsubseteq y \longleftrightarrow x = y$ 
begin

subclass po
  ⟨proof⟩

In a discrete cpo, every chain is constant

lemma discrete-chain-const:
  assumes  $S$ : chain  $S$ 
  shows  $\exists x. S = (\lambda i. x)$ 
  ⟨proof⟩

subclass cpo
  ⟨proof⟩

```

end

end

### 3 Cont: Continuity and monotonicity

**theory** *Cont*  
**imports** *Pcpo*  
**begin**

Now we change the default class! From now on all untyped type variables are of default class *po*

**default-sort** *po*

#### 3.1 Definitions

**definition**

$monofun :: ('a \Rightarrow 'b) \Rightarrow bool$  — monotonicity    **where**  
 $monofun\ f = (\forall x\ y. x \sqsubseteq y \longrightarrow f\ x \sqsubseteq f\ y)$

**definition**

$cont :: ('a::cpo \Rightarrow 'b::cpo) \Rightarrow bool$

**where**

$cont\ f = (\forall Y. chain\ Y \longrightarrow range\ (\lambda i. f\ (Y\ i)) <<| f\ (\bigsqcup i. Y\ i))$

**lemma** *contI*:

$\llbracket \bigwedge Y. chain\ Y \implies range\ (\lambda i. f\ (Y\ i)) <<| f\ (\bigsqcup i. Y\ i) \rrbracket \implies cont\ f$   
 $\langle proof \rangle$

**lemma** *contE*:

$\llbracket cont\ f; chain\ Y \rrbracket \implies range\ (\lambda i. f\ (Y\ i)) <<| f\ (\bigsqcup i. Y\ i)$   
 $\langle proof \rangle$

**lemma** *monofunI*:

$\llbracket \bigwedge x\ y. x \sqsubseteq y \implies f\ x \sqsubseteq f\ y \rrbracket \implies monofun\ f$   
 $\langle proof \rangle$

**lemma** *monofunE*:

$\llbracket monofun\ f; x \sqsubseteq y \rrbracket \implies f\ x \sqsubseteq f\ y$   
 $\langle proof \rangle$

#### 3.2 Equivalence of alternate definition

monotone functions map chains to chains

**lemma** *ch2ch-monofun*:  $\llbracket monofun\ f; chain\ Y \rrbracket \implies chain\ (\lambda i. f\ (Y\ i))$

$\langle proof \rangle$

monotone functions map upper bound to upper bounds

**lemma** *ub2ub-monofun*:

$\llbracket monofun\ f; range\ Y\ <| u \rrbracket \implies range\ (\lambda i. f\ (Y\ i))\ <| f\ u$   
 $\langle proof \rangle$

a lemma about binary chains

**lemma** *binchain-cont*:

$\llbracket cont\ f; x \sqsubseteq y \rrbracket \implies range\ (\lambda i::nat. f\ (if\ i = 0\ then\ x\ else\ y))\ <<| f\ y$   
 $\langle proof \rangle$

continuity implies monotonicity

**lemma** *cont2mono*:  $cont\ f \implies monofun\ f$

$\langle proof \rangle$

**lemmas**  $cont2monofunE = cont2mono\ [THEN\ monofunE]$

**lemmas**  $ch2ch-cont = cont2mono\ [THEN\ ch2ch-monofun]$

continuity implies preservation of lubs

**lemma** *cont2contlubE*:

$\llbracket cont\ f; chain\ Y \rrbracket \implies f\ (\bigsqcup\ i. Y\ i) = (\bigsqcup\ i. f\ (Y\ i))$   
 $\langle proof \rangle$

**lemma** *contI2*:

**assumes** *mono*:  $monofun\ f$

**assumes** *below*:  $\bigwedge Y. \llbracket chain\ Y; chain\ (\lambda i. f\ (Y\ i)) \rrbracket$

$\implies f\ (\bigsqcup i. Y\ i) \sqsubseteq (\bigsqcup i. f\ (Y\ i))$

**shows**  $cont\ f$

$\langle proof \rangle$

### 3.3 Collection of continuity rules

$\langle ML \rangle$

### 3.4 Continuity of basic functions

The identity function is continuous

**lemma** *cont-id* [*simp*, *cont2cont*]:  $cont\ (\lambda x. x)$

$\langle proof \rangle$

constant functions are continuous

**lemma** *cont-const* [*simp*, *cont2cont*]:  $cont\ (\lambda x. c)$

$\langle proof \rangle$

application of functions is continuous

**lemma** *cont-apply*:

**fixes**  $f :: 'a::cpo \Rightarrow 'b::cpo \Rightarrow 'c::cpo$  **and**  $t :: 'a \Rightarrow 'b$

**assumes** 1: *cont*  $(\lambda x. t\ x)$

**assumes** 2:  $\bigwedge x. \text{cont } (\lambda y. f\ x\ y)$

**assumes** 3:  $\bigwedge y. \text{cont } (\lambda x. f\ x\ y)$

**shows** *cont*  $(\lambda x. (f\ x)\ (t\ x))$

*<proof>*

**lemma** *cont-compose*:

$\llbracket \text{cont } c; \text{cont } (\lambda x. f\ x) \rrbracket \Longrightarrow \text{cont } (\lambda x. c\ (f\ x))$

*<proof>*

if-then-else is continuous

**lemma** *cont-if* [*simp*, *cont2cont*]:

$\llbracket \text{cont } f; \text{cont } g \rrbracket \Longrightarrow \text{cont } (\lambda x. \text{if } b \text{ then } f\ x \text{ else } g\ x)$

*<proof>*

### 3.5 Finite chains and flat pcpos

monotone functions map finite chains to finite chains

**lemma** *monofun-finch2finch*:

$\llbracket \text{monofun } f; \text{finite-chain } Y \rrbracket \Longrightarrow \text{finite-chain } (\lambda n. f\ (Y\ n))$

*<proof>*

The same holds for continuous functions

**lemma** *cont-finch2finch*:

$\llbracket \text{cont } f; \text{finite-chain } Y \rrbracket \Longrightarrow \text{finite-chain } (\lambda n. f\ (Y\ n))$

*<proof>*

**lemma** *chfindom-monofun2cont*: *monofun*  $f \Longrightarrow \text{cont } (f :: 'a::chfin \Rightarrow 'b::cpo)$

*<proof>*

some properties of flat

**lemma** *flatdom-strict2mono*:  $f \perp = \perp \Longrightarrow \text{monofun } (f :: 'a::flat \Rightarrow 'b::pcpo)$

*<proof>*

**lemma** *flatdom-strict2cont*:  $f \perp = \perp \Longrightarrow \text{cont } (f :: 'a::flat \Rightarrow 'b::pcpo)$

*<proof>*

functions with discrete domain

**lemma** *cont-discrete-cpo* [*simp*, *cont2cont*]: *cont*  $(f :: 'a::discrete-cpo \Rightarrow 'b::cpo)$

*<proof>*

**end**

## 4 Discrete: Discrete cpo types

```
theory Discrete
imports Cont
begin
```

```
datatype 'a discr = Discr 'a :: type
```

### 4.1 Discrete ordering

```
instantiation discr :: (type) below
begin
```

```
definition
```

```
  below-discr-def:
  (op  $\sqsubseteq$  :: 'a discr  $\Rightarrow$  'a discr  $\Rightarrow$  bool) = (op =)
```

```
instance <proof>
end
```

### 4.2 Discrete cpo class instance

```
instance discr :: (type) discrete-cpo
<proof>
```

```
lemma discr-below-eq [iff]: ((x::('a::type)discr) < y) = (x = y)
<proof>
```

```
lemma discr-chain0:
!!S::nat=>('a::type)discr. chain S ==> S i = S 0
<proof>
```

```
lemma discr-chain-range0 [simp]:
!!S::nat=>('a::type)discr. chain(S) ==> range(S) = {S 0}
<proof>
```

```
instance discr :: (finite) finite-po
<proof>
```

```
instance discr :: (type) chfin
<proof>
```

### 4.3 undiscr

```
definition
```

```
  undiscr :: ('a::type)discr => 'a where
  undiscr x = (case x of Discr y => y)
```

```
lemma undiscr-Discr [simp]: undiscr (Discr x) = x
<proof>
```

**lemma** *Discr-undiscr* [simp]:  $\text{Discr} (\text{undiscr } y) = y$   
 $\langle \text{proof} \rangle$

**lemma** *discr-chain-f-range0*:  
 $!!S::\text{nat} \Rightarrow ('a::\text{type}) \text{discr}. \text{chain}(S) \Rightarrow \text{range}(\%i. f(S\ i)) = \{f(S\ 0)\}$   
 $\langle \text{proof} \rangle$

**lemma** *cont-discr* [iff]:  $\text{cont } (\%x::('a::\text{type}) \text{discr}. f\ x)$   
 $\langle \text{proof} \rangle$

**end**

## 5 Adm: Admissibility and compactness

**theory** *Adm*  
**imports** *Cont*  
**begin**

**default-sort** *cpo*

### 5.1 Definitions

**definition**  
 $\text{adm} :: ('a::\text{cpo} \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **where**  
 $\text{adm } P = (\forall Y. \text{chain } Y \longrightarrow (\forall i. P\ (Y\ i)) \longrightarrow P\ (\bigsqcup i. Y\ i))$

**lemma** *admI*:  
 $(\bigwedge Y. \llbracket \text{chain } Y; \forall i. P\ (Y\ i) \rrbracket \Longrightarrow P\ (\bigsqcup i. Y\ i)) \Longrightarrow \text{adm } P$   
 $\langle \text{proof} \rangle$

**lemma** *admD*:  $\llbracket \text{adm } P; \text{chain } Y; \bigwedge i. P\ (Y\ i) \rrbracket \Longrightarrow P\ (\bigsqcup i. Y\ i)$   
 $\langle \text{proof} \rangle$

**lemma** *admD2*:  $\llbracket \text{adm } (\lambda x. \neg P\ x); \text{chain } Y; P\ (\bigsqcup i. Y\ i) \rrbracket \Longrightarrow \exists i. P\ (Y\ i)$   
 $\langle \text{proof} \rangle$

**lemma** *triv-admI*:  $\forall x. P\ x \Longrightarrow \text{adm } P$   
 $\langle \text{proof} \rangle$

improved admissibility introduction

**lemma** *admI2*:  
 $(\bigwedge Y. \llbracket \text{chain } Y; \forall i. P\ (Y\ i); \forall i. \exists j>i. Y\ i \neq Y\ j \wedge Y\ i \sqsubseteq Y\ j \rrbracket \Longrightarrow P\ (\bigsqcup i. Y\ i)) \Longrightarrow \text{adm } P$   
 $\langle \text{proof} \rangle$

## 5.2 Admissibility on chain-finite types

for chain-finite (easy) types every formula is admissible

**lemma** *adm-chfin*:  $\text{adm } (P :: 'a :: \text{chfin} \Rightarrow \text{bool})$   
 $\langle \text{proof} \rangle$

## 5.3 Admissibility of special formulae and propagation

**lemma** *adm-not-free*:  $\text{adm } (\lambda x. t)$   
 $\langle \text{proof} \rangle$

**lemma** *adm-conj*:  $\llbracket \text{adm } P; \text{adm } Q \rrbracket \Longrightarrow \text{adm } (\lambda x. P\ x \wedge Q\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *adm-all*:  $(\bigwedge y. \text{adm } (\lambda x. P\ x\ y)) \Longrightarrow \text{adm } (\lambda x. \forall y. P\ x\ y)$   
 $\langle \text{proof} \rangle$

**lemma** *adm-ball*:  $(\bigwedge y. y \in A \Longrightarrow \text{adm } (\lambda x. P\ x\ y)) \Longrightarrow \text{adm } (\lambda x. \forall y \in A. P\ x\ y)$   
 $\langle \text{proof} \rangle$

Admissibility for disjunction is hard to prove. It takes 5 Lemmas

**lemma** *adm-disj-lemma1*:  
 $\llbracket \text{chain } (Y :: \text{nat} \Rightarrow 'a :: \text{cpo}); \forall i. \exists j \geq i. P\ (Y\ j) \rrbracket$   
 $\Longrightarrow \text{chain } (\lambda i. Y\ (\text{LEAST } j. i \leq j \wedge P\ (Y\ j)))$   
 $\langle \text{proof} \rangle$

**lemmas** *adm-disj-lemma2* = *LeastI-ex* [of  $\lambda j. i \leq j \wedge P\ (Y\ j)$ , *standard*]

**lemma** *adm-disj-lemma3*:  
 $\llbracket \text{chain } (Y :: \text{nat} \Rightarrow 'a :: \text{cpo}); \forall i. \exists j \geq i. P\ (Y\ j) \rrbracket \Longrightarrow$   
 $(\bigsqcup i. Y\ i) = (\bigsqcup i. Y\ (\text{LEAST } j. i \leq j \wedge P\ (Y\ j)))$   
 $\langle \text{proof} \rangle$

**lemma** *adm-disj-lemma4*:  
 $\llbracket \text{adm } P; \text{chain } Y; \forall i. \exists j \geq i. P\ (Y\ j) \rrbracket \Longrightarrow P\ (\bigsqcup i. Y\ i)$   
 $\langle \text{proof} \rangle$

**lemma** *adm-disj-lemma5*:  
 $\forall n :: \text{nat}. P\ n \vee Q\ n \Longrightarrow (\forall i. \exists j \geq i. P\ j) \vee (\forall i. \exists j \geq i. Q\ j)$   
 $\langle \text{proof} \rangle$

**lemma** *adm-disj*:  $\llbracket \text{adm } P; \text{adm } Q \rrbracket \Longrightarrow \text{adm } (\lambda x. P\ x \vee Q\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *adm-imp*:  $\llbracket \text{adm } (\lambda x. \neg P\ x); \text{adm } Q \rrbracket \Longrightarrow \text{adm } (\lambda x. P\ x \longrightarrow Q\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *adm-iff*:  
 $\llbracket \text{adm } (\lambda x. P\ x \longrightarrow Q\ x); \text{adm } (\lambda x. Q\ x \longrightarrow P\ x) \rrbracket$



$\implies \text{adm } (\lambda x. P x = Q x)$   
 $\langle \text{proof} \rangle$

**lemma** *adm-not-conj*:

$\llbracket \text{adm } (\lambda x. \neg P x); \text{adm } (\lambda x. \neg Q x) \rrbracket \implies \text{adm } (\lambda x. \neg (P x \wedge Q x))$   
 $\langle \text{proof} \rangle$

admissibility and continuity

**lemma** *adm-below*:  $\llbracket \text{cont } u; \text{cont } v \rrbracket \implies \text{adm } (\lambda x. u x \sqsubseteq v x)$   
 $\langle \text{proof} \rangle$

**lemma** *adm-eq*:  $\llbracket \text{cont } u; \text{cont } v \rrbracket \implies \text{adm } (\lambda x. u x = v x)$   
 $\langle \text{proof} \rangle$

**lemma** *adm-subst*:  $\llbracket \text{cont } t; \text{adm } P \rrbracket \implies \text{adm } (\lambda x. P (t x))$   
 $\langle \text{proof} \rangle$

**lemma** *adm-not-below*:  $\text{cont } t \implies \text{adm } (\lambda x. \neg t x \sqsubseteq u)$   
 $\langle \text{proof} \rangle$

## 5.4 Compactness

**definition**

*compact* :: 'a::cpo  $\Rightarrow$  bool **where**  
*compact*  $k = \text{adm } (\lambda x. \neg k \sqsubseteq x)$

**lemma** *compactI*:  $\text{adm } (\lambda x. \neg k \sqsubseteq x) \implies \text{compact } k$   
 $\langle \text{proof} \rangle$

**lemma** *compactD*:  $\text{compact } k \implies \text{adm } (\lambda x. \neg k \sqsubseteq x)$   
 $\langle \text{proof} \rangle$

**lemma** *compactI2*:

$(\bigwedge Y. \llbracket \text{chain } Y; x \sqsubseteq (\bigsqcup i. Y i) \rrbracket \implies \exists i. x \sqsubseteq Y i) \implies \text{compact } x$   
 $\langle \text{proof} \rangle$

**lemma** *compactD2*:

$\llbracket \text{compact } x; \text{chain } Y; x \sqsubseteq (\bigsqcup i. Y i) \rrbracket \implies \exists i. x \sqsubseteq Y i$   
 $\langle \text{proof} \rangle$

**lemma** *compact-chfin* [*simp*]:  $\text{compact } (x::'a::\text{chfin})$   
 $\langle \text{proof} \rangle$

**lemma** *compact-imp-max-in-chain*:

$\llbracket \text{chain } Y; \text{compact } (\bigsqcup i. Y i) \rrbracket \implies \exists i. \text{max-in-chain } i Y$   
 $\langle \text{proof} \rangle$

admissibility and compactness

**lemma** *adm-compact-not-below*:  $\llbracket \text{compact } k; \text{cont } t \rrbracket \implies \text{adm } (\lambda x. \neg k \sqsubseteq t x)$

$\langle proof \rangle$

**lemma** *adm-neq-compact*:  $\llbracket compact\ k; cont\ t \rrbracket \implies adm\ (\lambda x. t\ x \neq k)$   
 $\langle proof \rangle$

**lemma** *adm-compact-neq*:  $\llbracket compact\ k; cont\ t \rrbracket \implies adm\ (\lambda x. k \neq t\ x)$   
 $\langle proof \rangle$

**lemma** *compact-UU* [*simp, intro*]: *compact*  $\perp$   
 $\langle proof \rangle$

**lemma** *adm-not-UU*: *cont*  $t \implies adm\ (\lambda x. t\ x \neq \perp)$   
 $\langle proof \rangle$

Any upward-closed predicate is admissible.

**lemma** *adm-upward*:  
**assumes**  $P: \bigwedge x\ y. \llbracket P\ x; x \sqsubseteq y \rrbracket \implies P\ y$   
**shows** *adm*  $P$   
 $\langle proof \rangle$

**lemmas** *adm-lemmas* [*simp*] =  
*adm-not-free adm-conj adm-all adm-ball adm-disj adm-imp adm-iff*  
*adm-below adm-eq adm-not-below*  
*adm-compact-not-below adm-compact-neq adm-neq-compact adm-not-UU*

**end**

## 6 Pcpcodef: Subtypes of pcpos

**theory** *Pcpcodef*  
**imports** *Adm*  
**uses** (*Tools/pcpcodef.ML*)  
**begin**

### 6.1 Proving a subtype is a partial order

A subtype of a partial order is itself a partial order, if the ordering is defined in the standard way.

$\langle ML \rangle$

**theorem** *typedef-po*:  
**fixes**  $Abs :: 'a::po \Rightarrow 'b::type$   
**assumes** *type: type-definition Rep Abs A*  
**and** *below: op*  $\sqsubseteq \equiv \lambda x\ y. Rep\ x \sqsubseteq Rep\ y$   
**shows** *OFCLASS* ( $'b$ , *po-class*)  
 $\langle proof \rangle$

$\langle ML \rangle$

## 6.2 Proving a subtype is finite

**lemma** *typedef-finite-UNIV*:  
 fixes  $Abs :: 'a::type \Rightarrow 'b::type$   
 assumes  $type: type-definition\ Rep\ Abs\ A$   
 shows  $finite\ A \implies finite\ (UNIV :: 'b\ set)$   
 $\langle proof \rangle$

**theorem** *typedef-finite-po*:  
 fixes  $Abs :: 'a::finite-po \Rightarrow 'b::po$   
 assumes  $type: type-definition\ Rep\ Abs\ A$   
 shows  $OFCLASS('b, finite-po-class)$   
 $\langle proof \rangle$

## 6.3 Proving a subtype is chain-finite

**lemma** *monofun-Rep*:  
 assumes  $below: op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
 shows  $monofun\ Rep$   
 $\langle proof \rangle$

**lemmas**  $ch2ch-Rep = ch2ch-monofun\ [OF\ monofun-Rep]$   
**lemmas**  $ub2ub-Rep = ub2ub-monofun\ [OF\ monofun-Rep]$

**theorem** *typedef-chfin*:  
 fixes  $Abs :: 'a::chfin \Rightarrow 'b::po$   
 assumes  $type: type-definition\ Rep\ Abs\ A$   
 and  $below: op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
 shows  $OFCLASS('b, chfin-class)$   
 $\langle proof \rangle$

## 6.4 Proving a subtype is complete

A subtype of a cpo is itself a cpo if the ordering is defined in the standard way, and the defining subset is closed with respect to limits of chains. A set is closed if and only if membership in the set is an admissible predicate.

**lemma** *Abs-inverse-lub-Rep*:  
 fixes  $Abs :: 'a::cpo \Rightarrow 'b::po$   
 assumes  $type: type-definition\ Rep\ Abs\ A$   
 and  $below: op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
 and  $adm: adm\ (\lambda x. x \in A)$   
 shows  $chain\ S \implies Rep\ (Abs\ (\bigsqcup i. Rep\ (S\ i))) = (\bigsqcup i. Rep\ (S\ i))$   
 $\langle proof \rangle$

**theorem** *typedef-lub*:  
 fixes  $Abs :: 'a::cpo \Rightarrow 'b::po$   
 assumes  $type: type-definition\ Rep\ Abs\ A$

**and** *below*:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
**and** *adm*:  $adm\ (\lambda x. x \in A)$   
**shows**  $chain\ S \implies range\ S <<| Abs\ (\bigsqcup i. Rep\ (S\ i))$   
 $\langle proof \rangle$

**lemmas** *typedef-thelub* = *typedef-lub* [THEN *thelubI*, *standard*]

**theorem** *typedef-cpo*:  
**fixes** *Abs* :: '*a*::*cpo*  $\Rightarrow$  '*b*::*po*  
**assumes** *type*: *type-definition* *Rep* *Abs* *A*  
**and** *below*:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
**and** *adm*:  $adm\ (\lambda x. x \in A)$   
**shows** *OFCLASS*('b, *cpo-class*)  
 $\langle proof \rangle$

### 6.4.1 Continuity of *Rep* and *Abs*

For any sub-cpo, the *Rep* function is continuous.

**theorem** *typedef-cont-Rep*:  
**fixes** *Abs* :: '*a*::*cpo*  $\Rightarrow$  '*b*::*cpo*  
**assumes** *type*: *type-definition* *Rep* *Abs* *A*  
**and** *below*:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
**and** *adm*:  $adm\ (\lambda x. x \in A)$   
**shows** *cont* *Rep*  
 $\langle proof \rangle$

For a sub-cpo, we can make the *Abs* function continuous only if we restrict its domain to the defining subset by composing it with another continuous function.

**theorem** *typedef-is-lubI*:  
**assumes** *below*:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
**shows**  $range\ (\lambda i. Rep\ (S\ i)) <<| Rep\ x \implies range\ S <<| x$   
 $\langle proof \rangle$

**theorem** *typedef-cont-Abs*:  
**fixes** *Abs* :: '*a*::*cpo*  $\Rightarrow$  '*b*::*cpo*  
**fixes** *f* :: '*c*::*cpo*  $\Rightarrow$  '*a*::*cpo*  
**assumes** *type*: *type-definition* *Rep* *Abs* *A*  
**and** *below*:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
**and** *adm*:  $adm\ (\lambda x. x \in A)$   
**and** *f-in-A*:  $\bigwedge x. f\ x \in A$   
**and** *cont-f*: *cont* *f*  
**shows** *cont*  $(\lambda x. Abs\ (f\ x))$   
 $\langle proof \rangle$

### 6.5 Proving subtype elements are compact

**theorem** *typedef-compact*:

```

fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
assumes type: type-definition Rep Abs A
  and below: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
  and adm: adm ( $\lambda x. x \in A$ )
shows compact (Rep k)  $\implies$  compact k
<proof>

```

## 6.6 Proving a subtype is pointed

A subtype of a cpo has a least element if and only if the defining subset has a least element.

```

theorem typedef-pcpo-generic:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
    and below: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and z-in-A:  $z \in A$ 
    and z-least:  $\bigwedge x. x \in A \implies z \sqsubseteq x$ 
  shows OFCLASS('b, pcpo-class)
<proof>

```

As a special case, a subtype of a pcpo has a least element if the defining subset contains  $\perp$ .

```

theorem typedef-pcpo:
  fixes Abs :: 'a::pcpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
    and below: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and UU-in-A:  $\perp \in A$ 
  shows OFCLASS('b, pcpo-class)
<proof>

```

### 6.6.1 Strictness of Rep and Abs

For a sub-pcpo where  $\perp$  is a member of the defining subset, Rep and Abs are both strict.

```

theorem typedef-Abs-strict:
  assumes type: type-definition Rep Abs A
    and below: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and UU-in-A:  $\perp \in A$ 
  shows Abs  $\perp = \perp$ 
<proof>

```

```

theorem typedef-Rep-strict:
  assumes type: type-definition Rep Abs A
    and below: op  $\sqsubseteq \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and UU-in-A:  $\perp \in A$ 
  shows Rep  $\perp = \perp$ 
<proof>

```

**theorem** *typedef-Abs-strict-iff*:  
 assumes *type*: *type-definition Rep Abs A*  
 and *below*:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
 and *UU-in-A*:  $\perp \in A$   
 shows  $x \in A \implies (Abs\ x = \perp) = (x = \perp)$   
 $\langle proof \rangle$

**theorem** *typedef-Rep-strict-iff*:  
 assumes *type*: *type-definition Rep Abs A*  
 and *below*:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
 and *UU-in-A*:  $\perp \in A$   
 shows  $(Rep\ x = \perp) = (x = \perp)$   
 $\langle proof \rangle$

**theorem** *typedef-Abs-defined*:  
 assumes *type*: *type-definition Rep Abs A*  
 and *below*:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
 and *UU-in-A*:  $\perp \in A$   
 shows  $\llbracket x \neq \perp; x \in A \rrbracket \implies Abs\ x \neq \perp$   
 $\langle proof \rangle$

**theorem** *typedef-Rep-defined*:  
 assumes *type*: *type-definition Rep Abs A*  
 and *below*:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
 and *UU-in-A*:  $\perp \in A$   
 shows  $x \neq \perp \implies Rep\ x \neq \perp$   
 $\langle proof \rangle$

## 6.7 Proving a subtype is flat

**theorem** *typedef-flat*:  
 fixes *Abs* :: *'a::flat*  $\Rightarrow$  *'b::pcpo*  
 assumes *type*: *type-definition Rep Abs A*  
 and *below*:  $op \sqsubseteq \equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$   
 and *UU-in-A*:  $\perp \in A$   
 shows *OFCLASS*(*'b*, *flat-class*)  
 $\langle proof \rangle$

## 6.8 HOLCF type definition package

$\langle ML \rangle$

end

## 7 Ffun: Class instances for the full function space

**theory** *Ffun*

**imports** *Cont*  
**begin**

## 7.1 Full function space is a partial order

**instantiation** *fun* :: (*type*, *below*) *below*  
**begin**

**definition**

*below-fun-def*: ( $op \sqsubseteq$ )  $\equiv (\lambda f\ g. \forall x. f\ x \sqsubseteq g\ x)$

**instance**  $\langle proof \rangle$   
**end**

**instance** *fun* :: (*type*, *po*) *po*  
 $\langle proof \rangle$

make the symbol  $<<$  accessible for type *fun*

**lemma** *expand-fun-below*: ( $f \sqsubseteq g$ )  $= (\forall x. f\ x \sqsubseteq g\ x)$   
 $\langle proof \rangle$

**lemma** *below-fun-ext*: ( $\bigwedge x. f\ x \sqsubseteq g\ x$ )  $\implies f \sqsubseteq g$   
 $\langle proof \rangle$

## 7.2 Full function space is chain complete

function application is monotone

**lemma** *monofun-app*: *monofun* ( $\lambda f. f\ x$ )  
 $\langle proof \rangle$

chains of functions yield chains in the po range

**lemma** *ch2ch-fun*: *chain* *S*  $\implies chain\ (\lambda i. S\ i\ x)$   
 $\langle proof \rangle$

**lemma** *ch2ch-lambda*: ( $\bigwedge x. chain\ (\lambda i. S\ i\ x)$ )  $\implies chain\ S$   
 $\langle proof \rangle$

upper bounds of function chains yield upper bound in the po range

**lemma** *ub2ub-fun*:  
 $range\ S <| u \implies range\ (\lambda i. S\ i\ x) <| u\ x$   
 $\langle proof \rangle$

Type  $'a \Rightarrow 'b$  is chain complete

**lemma** *is-lub-lambda*:  
**assumes**  $f: \bigwedge x. range\ (\lambda i. Y\ i\ x) <<| f\ x$   
**shows**  $range\ Y <<| f$   
 $\langle proof \rangle$

**lemma** *lub-fun*:

$chain (S::nat \Rightarrow 'a::type \Rightarrow 'b::cpo)$   
 $\implies range\ S <<| (\lambda x. \bigsqcup i. S\ i\ x)$   
 $\langle proof \rangle$

**lemma** *thelub-fun*:

$chain (S::nat \Rightarrow 'a::type \Rightarrow 'b::cpo)$   
 $\implies (\bigsqcup i. S\ i) = (\lambda x. \bigsqcup i. S\ i\ x)$   
 $\langle proof \rangle$

**lemma** *cpo-fun*:

$chain (S::nat \Rightarrow 'a::type \Rightarrow 'b::cpo) \implies \exists x. range\ S <<| x$   
 $\langle proof \rangle$

**instance** *fun* :: (*type*, *cpo*) *cpo*

$\langle proof \rangle$

**instance** *fun* :: (*finite*, *finite-po*) *finite-po*  $\langle proof \rangle$

**instance** *fun* :: (*type*, *discrete-cpo*) *discrete-cpo*

$\langle proof \rangle$

chain-finite function spaces

**lemma** *maxinch2maxinch-lambda*:

$(\bigwedge x. max-in-chain\ n\ (\lambda i. S\ i\ x)) \implies max-in-chain\ n\ S$   
 $\langle proof \rangle$

**lemma** *maxinch-mono*:

$\llbracket max-in-chain\ i\ Y; i \leq j \rrbracket \implies max-in-chain\ j\ Y$   
 $\langle proof \rangle$

**instance** *fun* :: (*finite*, *chfin*) *chfin*

$\langle proof \rangle$

### 7.3 Full function space is pointed

**lemma** *minimal-fun*:  $(\lambda x. \perp) \sqsubseteq f$

$\langle proof \rangle$

**lemma** *least-fun*:  $\exists x::'a::type \Rightarrow 'b::pcpo. \forall y. x \sqsubseteq y$

$\langle proof \rangle$

**instance** *fun* :: (*type*, *pcpo*) *pcpo*

$\langle proof \rangle$

for compatibility with old HOLCF-Version

**lemma** *inst-fun-pcpo*:  $\perp = (\lambda x. \perp)$

$\langle proof \rangle$

function application is strict in the left argument



**lemma** *app-strict* [*simp*]:  $\perp x = \perp$   
 $\langle \text{proof} \rangle$

The following results are about application for functions in  $'a \Rightarrow 'b$

**lemma** *monofun-fun-fun*:  $f \sqsubseteq g \implies f x \sqsubseteq g x$   
 $\langle \text{proof} \rangle$

**lemma** *monofun-fun-arg*:  $\llbracket \text{monofun } f; x \sqsubseteq y \rrbracket \implies f x \sqsubseteq f y$   
 $\langle \text{proof} \rangle$

**lemma** *monofun-fun*:  $\llbracket \text{monofun } f; \text{monofun } g; f \sqsubseteq g; x \sqsubseteq y \rrbracket \implies f x \sqsubseteq g y$   
 $\langle \text{proof} \rangle$

## 7.4 Propagation of monotonicity and continuity

the lub of a chain of monotone functions is monotone

**lemma** *monofun-lub-fun*:  
 $\llbracket \text{chain } (F::\text{nat} \Rightarrow 'a \Rightarrow 'b::\text{cpo}); \forall i. \text{monofun } (F i) \rrbracket$   
 $\implies \text{monofun } (\bigsqcup i. F i)$   
 $\langle \text{proof} \rangle$

the lub of a chain of continuous functions is continuous

**lemma** *cont-lub-fun*:  
 $\llbracket \text{chain } F; \forall i. \text{cont } (F i) \rrbracket \implies \text{cont } (\bigsqcup i. F i)$   
 $\langle \text{proof} \rangle$

**lemma** *cont2cont-lub*:  
 $\llbracket \text{chain } F; \bigwedge i. \text{cont } (F i) \rrbracket \implies \text{cont } (\lambda x. \bigsqcup i. F i x)$   
 $\langle \text{proof} \rangle$

**lemma** *mono2mono-fun*:  $\text{monofun } f \implies \text{monofun } (\lambda x. f x y)$   
 $\langle \text{proof} \rangle$

**lemma** *cont2cont-fun*:  $\text{cont } f \implies \text{cont } (\lambda x. f x y)$   
 $\langle \text{proof} \rangle$

Note  $(\lambda x. \lambda y. f x y) = f$

**lemma** *mono2mono-lambda*:  
**assumes**  $f: \bigwedge y. \text{monofun } (\lambda x. f x y)$  **shows**  $\text{monofun } f$   
 $\langle \text{proof} \rangle$

**lemma** *cont2cont-lambda* [*simp*]:  
**assumes**  $f: \bigwedge y. \text{cont } (\lambda x. f x y)$  **shows**  $\text{cont } f$   
 $\langle \text{proof} \rangle$

What D.A.Schmidt calls continuity of abstraction; never used here

**lemma** *contlub-lambda*:  
 $(\bigwedge x::'a::\text{type}. \text{chain } (\lambda i. S i x::'b::\text{cpo}))$

$\implies (\lambda x. \bigsqcup i. S\ i\ x) = (\bigsqcup i. (\lambda x. S\ i\ x))$   
 $\langle proof \rangle$

**lemma** *contlub-abstraction*:

$\llbracket chain\ Y; \forall y. cont\ (\lambda x. (c::'a::cpo \Rightarrow 'b::type \Rightarrow 'c::cpo)\ x\ y) \rrbracket \implies$   
 $(\lambda y. \bigsqcup i. c\ (Y\ i)\ y) = (\bigsqcup i. (\lambda y. c\ (Y\ i)\ y))$   
 $\langle proof \rangle$

**lemma** *mono2mono-app*:

$\llbracket monofun\ f; \forall x. monofun\ (f\ x); monofun\ t \rrbracket \implies monofun\ (\lambda x. (f\ x)\ (t\ x))$   
 $\langle proof \rangle$

**lemma** *cont2cont-app*:

$\llbracket cont\ f; \forall x. cont\ (f\ x); cont\ t \rrbracket \implies cont\ (\lambda x. (f\ x)\ (t\ x))$   
 $\langle proof \rangle$

**lemmas** *cont2cont-app2* = *cont2cont-app* [rule-format]

**lemma** *cont2cont-app3*:  $\llbracket cont\ f; cont\ t \rrbracket \implies cont\ (\lambda x. f\ (t\ x))$   
 $\langle proof \rangle$

**end**

## 8 Product-Cpo: The cpo of cartesian products

**theory** *Product-Cpo*

**imports** *Adm*

**begin**

**default-sort** *cpo*

### 8.1 Unit type is a pcpo

**instantiation** *unit* :: *below*

**begin**

**definition**

*below-unit-def* [simp]:  $x \sqsubseteq (y::unit) \longleftrightarrow True$

**instance**  $\langle proof \rangle$

**end**

**instance** *unit* :: *discrete-cpo*

$\langle proof \rangle$

**instance** *unit* :: *finite-po*  $\langle proof \rangle$

**instance** *unit* :: *pcpo*

$\langle proof \rangle$

## 8.2 Product type is a partial order

**instantiation**  $*$  :: (*below*, *below*) *below*  
**begin**

**definition**

*below-prod-def*: ( $op \sqsubseteq$ )  $\equiv \lambda p1\ p2. (fst\ p1 \sqsubseteq fst\ p2 \wedge snd\ p1 \sqsubseteq snd\ p2)$

**instance**  $\langle proof \rangle$   
**end**

**instance**  $*$  :: (*po*, *po*) *po*  
 $\langle proof \rangle$

## 8.3 Monotonicity of *Pair*, *fst*, *snd*

**lemma** *prod-belowI*:  $\llbracket fst\ p \sqsubseteq fst\ q; snd\ p \sqsubseteq snd\ q \rrbracket \implies p \sqsubseteq q$   
 $\langle proof \rangle$

**lemma** *Pair-below-iff* [*simp*]:  $(a, b) \sqsubseteq (c, d) \longleftrightarrow a \sqsubseteq c \wedge b \sqsubseteq d$   
 $\langle proof \rangle$

*Pair* ( $-, -$ ) is monotone in both arguments

**lemma** *monofun-pair1*: *monofun* ( $\lambda x. (x, y)$ )  
 $\langle proof \rangle$

**lemma** *monofun-pair2*: *monofun* ( $\lambda y. (x, y)$ )  
 $\langle proof \rangle$

**lemma** *monofun-pair*:  
 $\llbracket x1 \sqsubseteq x2; y1 \sqsubseteq y2 \rrbracket \implies (x1, y1) \sqsubseteq (x2, y2)$   
 $\langle proof \rangle$

**lemma** *ch2ch-Pair* [*simp*]:  
 $chain\ X \implies chain\ Y \implies chain\ (\lambda i. (X\ i, Y\ i))$   
 $\langle proof \rangle$

*fst* and *snd* are monotone

**lemma** *fst-monofun*:  $x \sqsubseteq y \implies fst\ x \sqsubseteq fst\ y$   
 $\langle proof \rangle$

**lemma** *snd-monofun*:  $x \sqsubseteq y \implies snd\ x \sqsubseteq snd\ y$   
 $\langle proof \rangle$

**lemma** *monofun-fst*: *monofun* *fst*  
 $\langle proof \rangle$

**lemma** *monofun-snd*: *monofun snd*  
 $\langle \text{proof} \rangle$

**lemmas** *ch2ch-fst* [*simp*] = *ch2ch-monofun* [*OF monofun-fst*]

**lemmas** *ch2ch-snd* [*simp*] = *ch2ch-monofun* [*OF monofun-snd*]

**lemma** *prod-chain-cases*:  
**assumes** *chain Y*  
**obtains** *A B*  
**where** *chain A* and *chain B* and  $Y = (\lambda i. (A\ i, B\ i))$   
 $\langle \text{proof} \rangle$

## 8.4 Product type is a cpo

**lemma** *is-lub-Pair*:  
 $\llbracket \text{range } A \ll x; \text{range } B \ll y \rrbracket \implies \text{range } (\lambda i. (A\ i, B\ i)) \ll (x, y)$   
 $\langle \text{proof} \rangle$

**lemma** *thelub-Pair*:  
 $\llbracket \text{chain } (A::\text{nat} \Rightarrow 'a::\text{cpo}); \text{chain } (B::\text{nat} \Rightarrow 'b::\text{cpo}) \rrbracket$   
 $\implies (\bigsqcup i. (A\ i, B\ i)) = (\bigsqcup i. A\ i, \bigsqcup i. B\ i)$   
 $\langle \text{proof} \rangle$

**lemma** *lub-cprod*:  
**fixes**  $S :: \text{nat} \Rightarrow ('a::\text{cpo} \times 'b::\text{cpo})$   
**assumes**  $S: \text{chain } S$   
**shows**  $\text{range } S \ll (\bigsqcup i. \text{fst } (S\ i), \bigsqcup i. \text{snd } (S\ i))$   
 $\langle \text{proof} \rangle$

**lemma** *thelub-cprod*:  
 $\text{chain } (S::\text{nat} \Rightarrow 'a::\text{cpo} \times 'b::\text{cpo})$   
 $\implies (\bigsqcup i. S\ i) = (\bigsqcup i. \text{fst } (S\ i), \bigsqcup i. \text{snd } (S\ i))$   
 $\langle \text{proof} \rangle$

**instance**  $*$  ::  $(\text{cpo}, \text{cpo})\ \text{cpo}$   
 $\langle \text{proof} \rangle$

**instance**  $*$  ::  $(\text{finite-po}, \text{finite-po})\ \text{finite-po}$   $\langle \text{proof} \rangle$

**instance**  $*$  ::  $(\text{discrete-cpo}, \text{discrete-cpo})\ \text{discrete-cpo}$   
 $\langle \text{proof} \rangle$

## 8.5 Product type is pointed

**lemma** *minimal-cprod*:  $(\perp, \perp) \sqsubseteq p$   
 $\langle \text{proof} \rangle$

**instance**  $*$  ::  $(\text{pcpo}, \text{pcpo})\ \text{pcpo}$   
 $\langle \text{proof} \rangle$

**lemma** *inst-cprod-pcpo*:  $\perp = (\perp, \perp)$

*<proof>*

**lemma** *Pair-defined-iff* [*simp*]:  $(x, y) = \perp \longleftrightarrow x = \perp \wedge y = \perp$

*<proof>*

**lemma** *fst-strict* [*simp*]:  $\text{fst } \perp = \perp$

*<proof>*

**lemma** *snd-strict* [*simp*]:  $\text{snd } \perp = \perp$

*<proof>*

**lemma** *Pair-strict* [*simp*]:  $(\perp, \perp) = \perp$

*<proof>*

**lemma** *split-strict* [*simp*]:  $\text{split } f \ \perp = f \ \perp \ \perp$

*<proof>*

## 8.6 Continuity of *Pair*, *fst*, *snd*

**lemma** *cont-pair1*:  $\text{cont } (\lambda x. (x, y))$

*<proof>*

**lemma** *cont-pair2*:  $\text{cont } (\lambda y. (x, y))$

*<proof>*

**lemma** *cont-fst*:  $\text{cont } \text{fst}$

*<proof>*

**lemma** *cont-snd*:  $\text{cont } \text{snd}$

*<proof>*

**lemma** *cont2cont-Pair* [*simp*, *cont2cont*]:

**assumes**  $f: \text{cont } (\lambda x. f \ x)$

**assumes**  $g: \text{cont } (\lambda x. g \ x)$

**shows**  $\text{cont } (\lambda x. (f \ x, g \ x))$

*<proof>*

**lemmas** *cont2cont-fst* [*simp*, *cont2cont*] = *cont-compose* [*OF cont-fst*]

**lemmas** *cont2cont-snd* [*simp*, *cont2cont*] = *cont-compose* [*OF cont-snd*]

**lemma** *cont2cont-split*:

**assumes**  $f1: \bigwedge a \ b. \text{cont } (\lambda x. f \ x \ a \ b)$

**assumes**  $f2: \bigwedge x \ b. \text{cont } (\lambda a. f \ x \ a \ b)$

**assumes**  $f3: \bigwedge x \ a. \text{cont } (\lambda b. f \ x \ a \ b)$

**assumes**  $g: \text{cont } (\lambda x. g \ x)$

**shows**  $\text{cont } (\lambda x. \text{split } (\lambda a \ b. f \ x \ a \ b) \ (g \ x))$

$\langle proof \rangle$

**lemma** *cont-fst-snd-D1*:

$cont (\lambda p. f (fst p) (snd p)) \implies cont (\lambda x. f x y)$   
 $\langle proof \rangle$

**lemma** *cont-fst-snd-D2*:

$cont (\lambda p. f (fst p) (snd p)) \implies cont (\lambda y. f x y)$   
 $\langle proof \rangle$

**lemma** *cont2cont-split'* [*simp*, *cont2cont*]:

**assumes**  $f: cont (\lambda p. f (fst p) (fst (snd p)) (snd (snd p)))$   
**assumes**  $g: cont (\lambda x. g x)$   
**shows**  $cont (\lambda x. split (f x) (g x))$   
 $\langle proof \rangle$

## 8.7 Compactness and chain-finiteness

**lemma** *fst-below-iff*:  $fst (x::'a \times 'b) \sqsubseteq y \longleftrightarrow x \sqsubseteq (y, snd x)$   
 $\langle proof \rangle$

**lemma** *snd-below-iff*:  $snd (x::'a \times 'b) \sqsubseteq y \longleftrightarrow x \sqsubseteq (fst x, y)$   
 $\langle proof \rangle$

**lemma** *compact-fst*:  $compact x \implies compact (fst x)$   
 $\langle proof \rangle$

**lemma** *compact-snd*:  $compact x \implies compact (snd x)$   
 $\langle proof \rangle$

**lemma** *compact-Pair*:  $\llbracket compact x; compact y \rrbracket \implies compact (x, y)$   
 $\langle proof \rangle$

**lemma** *compact-Pair-iff* [*simp*]:  $compact (x, y) \longleftrightarrow compact x \wedge compact y$   
 $\langle proof \rangle$

**instance**  $* :: (chfin, chfin) chfin$   
 $\langle proof \rangle$

**end**

## 9 Cfun: The type of continuous functions

**theory** *Cfun*

**imports** *Pcpcdef Ffun Product-Cpo*

**begin**

**default-sort** *cpo*

### 9.1 Definition of continuous function type

**lemma** *Ex-cont*:  $\exists f. \text{cont } f$   
 $\langle \text{proof} \rangle$

**lemma** *adm-cont*:  $\text{adm } \text{cont}$   
 $\langle \text{proof} \rangle$

**cpodef** (*CFun*) (*'a*, *'b*) *cfun* (**infixr**  $\rightarrow$  0) =  $\{f :: 'a \Rightarrow 'b. \text{cont } f\}$   
 $\langle \text{proof} \rangle$

**type-notation** (*xsymbols*)  
*cfun*  $((- \rightarrow / -) [1, 0] 0)$

**notation**  
*Rep-CFun*  $((-\$/-) [999, 1000] 999)$

**notation** (*xsymbols*)  
*Rep-CFun*  $((-\./-) [999, 1000] 999)$

**notation** (*HTML output*)  
*Rep-CFun*  $((-\./-) [999, 1000] 999)$

### 9.2 Syntax for continuous lambda abstraction

**syntax** *-cabs* :: *'a*

$\langle ML \rangle$

To avoid eta-contraction of body:

$\langle ML \rangle$

Syntax for nested abstractions

**syntax**  
*-Lambda* ::  $[cargs, 'a] \Rightarrow \text{logic } ((\exists LAM \ -./ -) [1000, 10] 10)$

**syntax** (*xsymbols*)  
*-Lambda* ::  $[cargs, 'a] \Rightarrow \text{logic } ((\exists \Lambda \ -./ -) [1000, 10] 10)$

$\langle ML \rangle$

Dummy patterns for continuous abstraction

**translations**  
 $\Lambda \ -. \ t \Rightarrow \text{CONST } \text{Abs-CFun } (\lambda \ -. \ t)$

### 9.3 Continuous function space is pointed

**lemma** *UU-CFun*:  $\perp \in \text{CFun}$   
 $\langle \text{proof} \rangle$

**instance** *cfun* :: (*finite-po*, *finite-po*) *finite-po*  
 ⟨*proof*⟩

**instance** *cfun* :: (*finite-po*, *chfin*) *chfin*  
 ⟨*proof*⟩

**instance** *cfun* :: (*cpo*, *discrete-cpo*) *discrete-cpo*  
 ⟨*proof*⟩

**instance** *cfun* :: (*cpo*, *pcpo*) *pcpo*  
 ⟨*proof*⟩

**lemmas** *Rep-CFun-strict* =  
*typedef-Rep-strict* [*OF type-definition-CFun below-CFun-def UU-CFun*]

**lemmas** *Abs-CFun-strict* =  
*typedef-Abs-strict* [*OF type-definition-CFun below-CFun-def UU-CFun*]

function application is strict in its first argument

**lemma** *Rep-CFun-strict1* [*simp*]:  $\perp \cdot x = \perp$   
 ⟨*proof*⟩

**lemma** *LAM-strict* [*simp*]:  $(\Lambda x. \perp) = \perp$   
 ⟨*proof*⟩

for compatibility with old HOLCF-Version

**lemma** *inst-cfun-pcpo*:  $\perp = (\Lambda x. \perp)$   
 ⟨*proof*⟩

## 9.4 Basic properties of continuous functions

Beta-equality for continuous functions

**lemma** *Abs-CFun-inverse2*:  $\text{cont } f \implies \text{Rep-CFun } (\text{Abs-CFun } f) = f$   
 ⟨*proof*⟩

**lemma** *beta-cfun*:  $\text{cont } f \implies (\Lambda x. f x) \cdot u = f u$   
 ⟨*proof*⟩

Beta-reduction simproc

Given the term  $(\Lambda x. f x) \cdot y$ , the procedure tries to construct the theorem  $(\Lambda x. f x) \cdot y \equiv f y$ . If this theorem cannot be completely solved by the *cont2cont* rules, then the procedure returns the ordinary conditional *beta-cfun* rule.

The *simproc* does not solve any more goals that would be solved by using *beta-cfun* as a *simp* rule. The advantage of the *simproc* is that it can avoid deeply-nested calls to the simplifier that would otherwise be caused by large continuity side conditions.



$\langle ML \rangle$

Eta-equality for continuous functions

**lemma** *eta-cfun*:  $(\lambda x. f \cdot x) = f$   
 $\langle proof \rangle$

Extensionality for continuous functions

**lemma** *expand-cfun-eq*:  $(f = g) = (\forall x. f \cdot x = g \cdot x)$   
 $\langle proof \rangle$

**lemma** *ext-cfun*:  $(\bigwedge x. f \cdot x = g \cdot x) \implies f = g$   
 $\langle proof \rangle$

Extensionality wrt. ordering for continuous functions

**lemma** *expand-cfun-below*:  $f \sqsubseteq g = (\forall x. f \cdot x \sqsubseteq g \cdot x)$   
 $\langle proof \rangle$

**lemma** *below-cfun-ext*:  $(\bigwedge x. f \cdot x \sqsubseteq g \cdot x) \implies f \sqsubseteq g$   
 $\langle proof \rangle$

Congruence for continuous function application

**lemma** *cfun-cong*:  $\llbracket f = g; x = y \rrbracket \implies f \cdot x = g \cdot y$   
 $\langle proof \rangle$

**lemma** *cfun-fun-cong*:  $f = g \implies f \cdot x = g \cdot x$   
 $\langle proof \rangle$

**lemma** *cfun-arg-cong*:  $x = y \implies f \cdot x = f \cdot y$   
 $\langle proof \rangle$

## 9.5 Continuity of application

**lemma** *cont-Rep-CFun1*:  $cont (\lambda f. f \cdot x)$   
 $\langle proof \rangle$

**lemma** *cont-Rep-CFun2*:  $cont (\lambda x. f \cdot x)$   
 $\langle proof \rangle$

**lemmas** *monofun-Rep-CFun* = *cont-Rep-CFun* [THEN *cont2mono*]

**lemmas** *monofun-Rep-CFun1* = *cont-Rep-CFun1* [THEN *cont2mono*, *standard*]

**lemmas** *monofun-Rep-CFun2* = *cont-Rep-CFun2* [THEN *cont2mono*, *standard*]

contlub, cont properties of *Rep-CFun* in each argument

**lemma** *contlub-cfun-arg*:  $chain Y \implies f \cdot (\bigsqcup i. Y i) = (\bigsqcup i. f \cdot (Y i))$   
 $\langle proof \rangle$

**lemma** *cont-cfun-arg*:  $chain Y \implies range (\lambda i. f \cdot (Y i)) <<| f \cdot (\bigsqcup i. Y i)$   
 $\langle proof \rangle$

**lemma** *contlub-cfun-fun*:  $\text{chain } F \implies (\bigsqcup i. F\ i) \cdot x = (\bigsqcup i. F\ i \cdot x)$   
 <proof>

**lemma** *cont-cfun-fun*:  $\text{chain } F \implies \text{range } (\lambda i. F\ i \cdot x) <<| (\bigsqcup i. F\ i) \cdot x$   
 <proof>

monotonicity of application

**lemma** *monofun-cfun-fun*:  $f \sqsubseteq g \implies f \cdot x \sqsubseteq g \cdot x$   
 <proof>

**lemma** *monofun-cfun-arg*:  $x \sqsubseteq y \implies f \cdot x \sqsubseteq f \cdot y$   
 <proof>

**lemma** *monofun-cfun*:  $\llbracket f \sqsubseteq g; x \sqsubseteq y \rrbracket \implies f \cdot x \sqsubseteq g \cdot y$   
 <proof>

ch2ch - rules for the type  $'a \rightarrow 'b$

**lemma** *chain-monofun*:  $\text{chain } Y \implies \text{chain } (\lambda i. f \cdot (Y\ i))$   
 <proof>

**lemma** *ch2ch-Rep-CFunR*:  $\text{chain } Y \implies \text{chain } (\lambda i. f \cdot (Y\ i))$   
 <proof>

**lemma** *ch2ch-Rep-CFunL*:  $\text{chain } F \implies \text{chain } (\lambda i. (F\ i) \cdot x)$   
 <proof>

**lemma** *ch2ch-Rep-CFun [simp]*:  
 $\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies \text{chain } (\lambda i. (F\ i) \cdot (Y\ i))$   
 <proof>

**lemma** *ch2ch-LAM [simp]*:  
 $\llbracket \bigwedge x. \text{chain } (\lambda i. S\ i\ x); \bigwedge i. \text{cont } (\lambda x. S\ i\ x) \rrbracket \implies \text{chain } (\lambda i. \bigwedge x. S\ i\ x)$   
 <proof>

contlub, cont properties of *Rep-CFun* in both arguments

**lemma** *contlub-cfun*:  
 $\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies (\bigsqcup i. F\ i) \cdot (\bigsqcup i. Y\ i) = (\bigsqcup i. F\ i \cdot (Y\ i))$   
 <proof>

**lemma** *cont-cfun*:  
 $\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies \text{range } (\lambda i. F\ i \cdot (Y\ i)) <<| (\bigsqcup i. F\ i) \cdot (\bigsqcup i. Y\ i)$   
 <proof>

**lemma** *contlub-LAM*:  
 $\llbracket \bigwedge x. \text{chain } (\lambda i. F\ i\ x); \bigwedge i. \text{cont } (\lambda x. F\ i\ x) \rrbracket$   
 $\implies (\bigwedge x. \bigsqcup i. F\ i\ x) = (\bigsqcup i. \bigwedge x. F\ i\ x)$   
 <proof>

**lemmas** *lub-distrib* =  
     *contlub-cfun* [symmetric]  
     *contlub-LAM* [symmetric]

strictness

**lemma** *strictI*:  $f \cdot x = \perp \implies f \cdot \perp = \perp$   
 ⟨proof⟩

the lub of a chain of continuous functions is monotone

**lemma** *lub-cfun-mono*:  $\text{chain } F \implies \text{monofun } (\lambda x. \bigsqcup i. F\ i \cdot x)$   
 ⟨proof⟩

a lemma about the exchange of lubs for type  $'a \rightarrow 'b$

**lemma** *ex-lub-cfun*:  
 $\llbracket \text{chain } F; \text{chain } Y \rrbracket \implies (\bigsqcup j. \bigsqcup i. F\ j \cdot (Y\ i)) = (\bigsqcup i. \bigsqcup j. F\ j \cdot (Y\ i))$   
 ⟨proof⟩

the lub of a chain of cont. functions is continuous

**lemma** *cont-lub-cfun*:  $\text{chain } F \implies \text{cont } (\lambda x. \bigsqcup i. F\ i \cdot x)$   
 ⟨proof⟩

type  $'a \rightarrow 'b$  is chain complete

**lemma** *lub-cfun*:  $\text{chain } F \implies \text{range } F <<| (\Lambda x. \bigsqcup i. F\ i \cdot x)$   
 ⟨proof⟩

**lemma** *thelub-cfun*:  $\text{chain } F \implies (\bigsqcup i. F\ i) = (\Lambda x. \bigsqcup i. F\ i \cdot x)$   
 ⟨proof⟩

## 9.6 Continuity simplification procedure

cont2cont lemma for *Rep-CFun*

**lemma** *cont2cont-Rep-CFun* [simp, cont2cont]:  
     **assumes**  $f: \text{cont } (\lambda x. f\ x)$   
     **assumes**  $t: \text{cont } (\lambda x. t\ x)$   
     **shows**  $\text{cont } (\lambda x. (f\ x) \cdot (t\ x))$   
 ⟨proof⟩

cont2mono Lemma for  $\lambda x. \Lambda y. c1\ x\ y$

**lemma** *cont2mono-LAM*:  
 $\llbracket \Lambda x. \text{cont } (\lambda y. f\ x\ y); \Lambda y. \text{monofun } (\lambda x. f\ x\ y) \rrbracket$   
 $\implies \text{monofun } (\lambda x. \Lambda y. f\ x\ y)$   
 ⟨proof⟩

cont2cont Lemma for  $\lambda x. \Lambda y. f\ x\ y$

Not suitable as a cont2cont rule, because on nested lambdas it causes exponential blow-up in the number of subgoals.

**lemma** *cont2cont-LAM*:  
 assumes  $f1: \bigwedge x. \text{cont } (\lambda y. f\ x\ y)$   
 assumes  $f2: \bigwedge y. \text{cont } (\lambda x. f\ x\ y)$   
 shows  $\text{cont } (\lambda x. \bigwedge y. f\ x\ y)$   
 $\langle \text{proof} \rangle$

This version does work as a *cont2cont* rule, since it has only a single subgoal.

**lemma** *cont2cont-LAM'* [*simp*, *cont2cont*]:  
 fixes  $f :: 'a::\text{cpo} \Rightarrow 'b::\text{cpo} \Rightarrow 'c::\text{cpo}$   
 assumes  $f: \text{cont } (\lambda p. f\ (\text{fst } p)\ (\text{snd } p))$   
 shows  $\text{cont } (\lambda x. \bigwedge y. f\ x\ y)$   
 $\langle \text{proof} \rangle$

**lemma** *cont2cont-LAM-discrete* [*simp*, *cont2cont*]:  
 $(\bigwedge y::'a::\text{discrete-cpo}. \text{cont } (\lambda x. f\ x\ y)) \implies \text{cont } (\lambda x. \bigwedge y. f\ x\ y)$   
 $\langle \text{proof} \rangle$

**lemmas** *cont-lemmas1* =  
*cont-const cont-id cont-Rep-CFun2 cont2cont-Rep-CFun cont2cont-LAM*

## 9.7 Miscellaneous

Monotonicity of *Abs-CFun*

**lemma** *semi-monofun-Abs-CFun*:  
 $\llbracket \text{cont } f; \text{cont } g; f \sqsubseteq g \rrbracket \implies \text{Abs-CFun } f \sqsubseteq \text{Abs-CFun } g$   
 $\langle \text{proof} \rangle$

some lemmata for functions with flat/chfin domain/range types

**lemma** *chfin-Rep-CFunR*:  $\text{chain } (Y::\text{nat} \Rightarrow 'a::\text{cpo} \rightarrow 'b::\text{chfin})$   
 $\implies !s. ? n. (\text{LUB } i. Y\ i)\$s = Y\ n\$s$   
 $\langle \text{proof} \rangle$

**lemma** *adm-chfindom*:  $\text{adm } (\lambda(u::'a::\text{cpo} \rightarrow 'b::\text{chfin}). P(u \cdot s))$   
 $\langle \text{proof} \rangle$

## 9.8 Continuous injection-retraction pairs

Continuous retractions are strict.

**lemma** *retraction-strict*:  
 $\forall x. f \cdot (g \cdot x) = x \implies f \cdot \perp = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *injection-eq*:  
 $\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x = g \cdot y) = (x = y)$   
 $\langle \text{proof} \rangle$

**lemma** *injection-below*:  
 $\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x \sqsubseteq g \cdot y) = (x \sqsubseteq y)$

$\langle proof \rangle$

**lemma** *injection-defined-rev*:

$\llbracket \forall x. f.(g.x) = x; g.z = \perp \rrbracket \implies z = \perp$   
 $\langle proof \rangle$

**lemma** *injection-defined*:

$\llbracket \forall x. f.(g.x) = x; z \neq \perp \rrbracket \implies g.z \neq \perp$   
 $\langle proof \rangle$

propagation of flatness and chain-finiteness by retractions

**lemma** *chfin2chfin*:

$\forall y. (f::'a::chfin \rightarrow 'b).(g.y) = y$   
 $\implies \forall Y::nat \Rightarrow 'b. chain\ Y \longrightarrow (\exists n. max\text{-in-chain}\ n\ Y)$   
 $\langle proof \rangle$

**lemma** *flat2flat*:

$\forall y. (f::'a::flat \rightarrow 'b::pcpo).(g.y) = y$   
 $\implies \forall x\ y::'b. x \sqsubseteq y \longrightarrow x = \perp \vee x = y$   
 $\langle proof \rangle$

a result about functions with flat codomain

**lemma** *flat-eqI*:  $\llbracket (x::'a::flat) \sqsubseteq y; x \neq \perp \rrbracket \implies x = y$   
 $\langle proof \rangle$

**lemma** *flat-codom*:

$f.x = (c::'b::flat) \implies f.\perp = \perp \vee (\forall z. f.z = c)$   
 $\langle proof \rangle$

## 9.9 Identity and composition

**definition**

$ID :: 'a \rightarrow 'a$  **where**  
 $ID = (\Lambda x. x)$

**definition**

$cfcomp :: ('b \rightarrow 'c) \rightarrow ('a \rightarrow 'b) \rightarrow 'a \rightarrow 'c$  **where**  
 $oo\text{-def}: cfcomp = (\Lambda f\ g\ x. f.(g.x))$

**abbreviation**

$cfcomp\text{-syn} :: ['b \rightarrow 'c, 'a \rightarrow 'b] \Rightarrow 'a \rightarrow 'c$  (**infixr** *oo* 100) **where**  
 $f\ oo\ g == cfcomp.f.g$

**lemma** *ID1* [*simp*]:  $ID.x = x$

$\langle proof \rangle$

**lemma** *cfcomp1*:  $(f\ oo\ g) = (\Lambda x. f.(g.x))$

$\langle proof \rangle$

**lemma** *cfcomp2 [simp]*:  $(f \text{ oo } g) \cdot x = f \cdot (g \cdot x)$   
 $\langle \text{proof} \rangle$

**lemma** *cfcomp-LAM*:  $\text{cont } g \implies f \text{ oo } (\Lambda x. g \ x) = (\Lambda x. f \cdot (g \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *cfcomp-strict [simp]*:  $\perp \text{ oo } f = \perp$   
 $\langle \text{proof} \rangle$

Show that interpretation of  $(\text{pcpo}, \dashv\vdash)$  is a category. The class of objects is interpretation of syntactical class  $\text{pcpo}$ . The class of arrows between objects  $'a$  and  $'b$  is interpret. of  $'a \rightarrow 'b$ . The identity arrow is interpretation of  $ID$ . The composition of  $f$  and  $g$  is interpretation of  $\text{oo}$ .

**lemma** *ID2 [simp]*:  $f \text{ oo } ID = f$   
 $\langle \text{proof} \rangle$

**lemma** *ID3 [simp]*:  $ID \text{ oo } f = f$   
 $\langle \text{proof} \rangle$

**lemma** *assoc-oo*:  $f \text{ oo } (g \text{ oo } h) = (f \text{ oo } g) \text{ oo } h$   
 $\langle \text{proof} \rangle$

## 9.10 Strictified functions

**default-sort** *pcpo*

**definition**

*strictify* ::  $('a \rightarrow 'b) \rightarrow 'a \rightarrow 'b$  **where**  
*strictify* =  $(\Lambda f \ x. \text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$

results about *strictify*

**lemma** *cont-strictify1*:  $\text{cont } (\lambda f. \text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$   
 $\langle \text{proof} \rangle$

**lemma** *monofun-strictify2*:  $\text{monofun } (\lambda x. \text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$   
 $\langle \text{proof} \rangle$

**lemma** *cont-strictify2*:  $\text{cont } (\lambda x. \text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$   
 $\langle \text{proof} \rangle$

**lemma** *strictify-conv-if*:  $\text{strictify} \cdot f \cdot x = (\text{if } x = \perp \text{ then } \perp \text{ else } f \cdot x)$   
 $\langle \text{proof} \rangle$

**lemma** *strictify1 [simp]*:  $\text{strictify} \cdot f \cdot \perp = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *strictify2 [simp]*:  $x \neq \perp \implies \text{strictify} \cdot f \cdot x = f \cdot x$   
 $\langle \text{proof} \rangle$

### 9.11 Continuity of let-bindings

```

lemma cont2cont-Let:
  assumes  $f: \text{cont } (\lambda x. f\ x)$ 
  assumes  $g1: \bigwedge y. \text{cont } (\lambda x. g\ x\ y)$ 
  assumes  $g2: \bigwedge x. \text{cont } (\lambda y. g\ x\ y)$ 
  shows  $\text{cont } (\lambda x. \text{let } y = f\ x \text{ in } g\ x\ y)$ 
   $\langle \text{proof} \rangle$ 

lemma cont2cont-Let' [simp, cont2cont]:
  assumes  $f: \text{cont } (\lambda x. f\ x)$ 
  assumes  $g: \text{cont } (\lambda p. g\ (\text{fst } p)\ (\text{snd } p))$ 
  shows  $\text{cont } (\lambda x. \text{let } y = f\ x \text{ in } g\ x\ y)$ 
   $\langle \text{proof} \rangle$ 

end

```

## 10 Deflation: Continuous deflations and ep-pairs

```

theory Deflation
imports Cfun
begin

```

```

default-sort cpo

```

### 10.1 Continuous deflations

```

locale deflation =
  fixes  $d :: 'a \rightarrow 'a$ 
  assumes idem:  $\bigwedge x. d \cdot (d \cdot x) = d \cdot x$ 
  assumes below:  $\bigwedge x. d \cdot x \sqsubseteq x$ 
begin

```

```

lemma below-ID:  $d \sqsubseteq \text{ID}$ 
   $\langle \text{proof} \rangle$ 

```

The set of fixed points is the same as the range.

```

lemma fixes-eq-range:  $\{x. d \cdot x = x\} = \text{range } (\lambda x. d \cdot x)$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma range-eq-fixes:  $\text{range } (\lambda x. d \cdot x) = \{x. d \cdot x = x\}$ 
   $\langle \text{proof} \rangle$ 

```

The pointwise ordering on deflation functions coincides with the subset ordering of their sets of fixed-points.

```

lemma belowI:
  assumes  $f: \bigwedge x. d \cdot x = x \implies f \cdot x = x$  shows  $d \sqsubseteq f$ 
   $\langle \text{proof} \rangle$ 

```

**lemma** *belowD*:  $\llbracket f \sqsubseteq d; f \cdot x = x \rrbracket \implies d \cdot x = x$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *deflation-strict*:  $\text{deflation } d \implies d \cdot \perp = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *adm-deflation*:  $\text{adm } (\lambda d. \text{deflation } d)$   
 $\langle \text{proof} \rangle$

**lemma** *deflation-ID*:  $\text{deflation } ID$   
 $\langle \text{proof} \rangle$

**lemma** *deflation-UU*:  $\text{deflation } \perp$   
 $\langle \text{proof} \rangle$

**lemma** *deflation-below-iff*:  
 $\llbracket \text{deflation } p; \text{deflation } q \rrbracket \implies p \sqsubseteq q \iff (\forall x. p \cdot x = x \longrightarrow q \cdot x = x)$   
 $\langle \text{proof} \rangle$

The composition of two deflations is equal to the lesser of the two (if they are comparable).

**lemma** *deflation-below-comp1*:  
**assumes**  $\text{deflation } f$   
**assumes**  $\text{deflation } g$   
**shows**  $f \sqsubseteq g \implies f \cdot (g \cdot x) = f \cdot x$   
 $\langle \text{proof} \rangle$

**lemma** *deflation-below-comp2*:  
 $\llbracket \text{deflation } f; \text{deflation } g; f \sqsubseteq g \rrbracket \implies g \cdot (f \cdot x) = f \cdot x$   
 $\langle \text{proof} \rangle$

## 10.2 Deflations with finite range

**lemma** *finite-range-imp-finite-fixes*:  
 $\text{finite } (\text{range } f) \implies \text{finite } \{x. f \cdot x = x\}$   
 $\langle \text{proof} \rangle$

**locale** *finite-deflation* =  $\text{deflation} +$   
**assumes**  $\text{finite-fixes: finite } \{x. d \cdot x = x\}$   
**begin**

**lemma** *finite-range*:  $\text{finite } (\text{range } (\lambda x. d \cdot x))$   
 $\langle \text{proof} \rangle$

**lemma** *finite-image*:  $\text{finite } ((\lambda x. d \cdot x) \cdot A)$   
 $\langle \text{proof} \rangle$



**lemma** *compact*: *compact* ( $d \cdot x$ )

$\langle proof \rangle$

**end**

### 10.3 Continuous embedding-projection pairs

**locale** *ep-pair* =

**fixes**  $e :: 'a \rightarrow 'b$  **and**  $p :: 'b \rightarrow 'a$

**assumes** *e-inverse* [*simp*]:  $\bigwedge x. p \cdot (e \cdot x) = x$

**and** *e-p-below*:  $\bigwedge y. e \cdot (p \cdot y) \sqsubseteq y$

**begin**

**lemma** *e-below-iff* [*simp*]:  $e \cdot x \sqsubseteq e \cdot y \longleftrightarrow x \sqsubseteq y$

$\langle proof \rangle$

**lemma** *e-eq-iff* [*simp*]:  $e \cdot x = e \cdot y \longleftrightarrow x = y$

$\langle proof \rangle$

**lemma** *p-eq-iff*:

$\llbracket e \cdot (p \cdot x) = x; e \cdot (p \cdot y) = y \rrbracket \implies p \cdot x = p \cdot y \longleftrightarrow x = y$

$\langle proof \rangle$

**lemma** *p-inverse*:  $(\exists x. y = e \cdot x) = (e \cdot (p \cdot y) = y)$

$\langle proof \rangle$

**lemma** *e-below-iff-below-p*:  $e \cdot x \sqsubseteq y \longleftrightarrow x \sqsubseteq p \cdot y$

$\langle proof \rangle$

**lemma** *compact-e-rev*: *compact* ( $e \cdot x$ )  $\implies$  *compact*  $x$

$\langle proof \rangle$

**lemma** *compact-e*: *compact*  $x \implies$  *compact* ( $e \cdot x$ )

$\langle proof \rangle$

**lemma** *compact-e-iff*: *compact* ( $e \cdot x$ )  $\longleftrightarrow$  *compact*  $x$

$\langle proof \rangle$

Deflations from ep-pairs

**lemma** *deflation-e-p*: *deflation* ( $e \circ p$ )

$\langle proof \rangle$

**lemma** *deflation-e-d-p*:

**assumes** *deflation*  $d$

**shows** *deflation* ( $e \circ d \circ p$ )

$\langle proof \rangle$

**lemma** *finite-deflation-e-d-p*:

**assumes** *finite-deflation*  $d$   
**shows** *finite-deflation*  $(e \text{ oo } d \text{ oo } p)$   
 $\langle \text{proof} \rangle$

**lemma** *deflation-p-d-e*:  
**assumes** *deflation*  $d$   
**assumes**  $d: \bigwedge x. d \cdot x \sqsubseteq e \cdot (p \cdot x)$   
**shows** *deflation*  $(p \text{ oo } d \text{ oo } e)$   
 $\langle \text{proof} \rangle$

**lemma** *finite-deflation-p-d-e*:  
**assumes** *finite-deflation*  $d$   
**assumes**  $d: \bigwedge x. d \cdot x \sqsubseteq e \cdot (p \cdot x)$   
**shows** *finite-deflation*  $(p \text{ oo } d \text{ oo } e)$   
 $\langle \text{proof} \rangle$

**end**

## 10.4 Uniqueness of ep-pairs

**lemma** *ep-pair-unique-e-lemma*:  
**assumes**  $1: \text{ep-pair } e1 \text{ } p$  **and**  $2: \text{ep-pair } e2 \text{ } p$   
**shows**  $e1 \sqsubseteq e2$   
 $\langle \text{proof} \rangle$

**lemma** *ep-pair-unique-e*:  
 $\llbracket \text{ep-pair } e1 \text{ } p; \text{ep-pair } e2 \text{ } p \rrbracket \implies e1 = e2$   
 $\langle \text{proof} \rangle$

**lemma** *ep-pair-unique-p-lemma*:  
**assumes**  $1: \text{ep-pair } e \text{ } p1$  **and**  $2: \text{ep-pair } e \text{ } p2$   
**shows**  $p1 \sqsubseteq p2$   
 $\langle \text{proof} \rangle$

**lemma** *ep-pair-unique-p*:  
 $\llbracket \text{ep-pair } e \text{ } p1; \text{ep-pair } e \text{ } p2 \rrbracket \implies p1 = p2$   
 $\langle \text{proof} \rangle$

## 10.5 Composing ep-pairs

**lemma** *ep-pair-ID-ID*: *ep-pair*  $ID \text{ } ID$   
 $\langle \text{proof} \rangle$

**lemma** *ep-pair-comp*:  
**assumes** *ep-pair*  $e1 \text{ } p1$  **and** *ep-pair*  $e2 \text{ } p2$   
**shows** *ep-pair*  $(e2 \text{ oo } e1) (p1 \text{ oo } p2)$   
 $\langle \text{proof} \rangle$

**locale** *pcpo-ep-pair* = *ep-pair* +  
**constrains**  $e :: 'a::\text{pcpo} \rightarrow 'b::\text{pcpo}$

```

constrains  $p :: 'b::pcpo \rightarrow 'a::pcpo$ 
begin

lemma  $e\text{-strict}$   $[simp]: e.\bot = \bot$ 
 $\langle proof \rangle$ 

lemma  $e\text{-defined-iff}$   $[simp]: e.x = \bot \longleftrightarrow x = \bot$ 
 $\langle proof \rangle$ 

lemma  $e\text{-defined}$ :  $x \neq \bot \implies e.x \neq \bot$ 
 $\langle proof \rangle$ 

lemma  $p\text{-strict}$   $[simp]: p.\bot = \bot$ 
 $\langle proof \rangle$ 

lemmas  $stricts = e\text{-strict } p\text{-strict}$ 

end

end

```

## 11 Bifinite: Bifinite domains and approximation

```

theory Bifinite
imports Deflation
begin

```

### 11.1 Omega-profinite and bifinite domains

```

class profinite =
  fixes  $approx :: nat \Rightarrow 'a \rightarrow 'a$ 
  assumes  $chain\text{-}approx$   $[simp]: chain\ approx$ 
  assumes  $lub\text{-}approx\text{-}app$   $[simp]: (\bigsqcup i. approx\ i.x) = x$ 
  assumes  $approx\text{-}idem$ :  $approx\ i.(approx\ i.x) = approx\ i.x$ 
  assumes  $finite\text{-}fixes\text{-}approx$ :  $finite\ \{x. approx\ i.x = x\}$ 

class bifinite = profinite + pcpo

lemma  $approx\text{-}below$ :  $approx\ i.x \sqsubseteq x$ 
 $\langle proof \rangle$ 

lemma  $finite\text{-}deflation\text{-}approx$ :  $finite\text{-}deflation\ (approx\ i)$ 
 $\langle proof \rangle$ 

interpretation  $approx$ :  $finite\text{-}deflation\ approx\ i$ 
 $\langle proof \rangle$ 

lemma  $(in\ deflation)$   $deflation$ :  $deflation\ d\ \langle proof \rangle$ 

```

**lemma** *deflation-approx*: *deflation* (*approx i*)  
 $\langle \text{proof} \rangle$

**lemma** *lub-approx [simp]*:  $(\bigsqcup i. \text{approx } i) = (\Lambda x. x)$   
 $\langle \text{proof} \rangle$

**lemma** *approx-strict [simp]*:  $\text{approx } i \cdot \perp = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *approx-approx1*:  
 $i \leq j \implies \text{approx } i \cdot (\text{approx } j \cdot x) = \text{approx } i \cdot x$   
 $\langle \text{proof} \rangle$

**lemma** *approx-approx2*:  
 $j \leq i \implies \text{approx } i \cdot (\text{approx } j \cdot x) = \text{approx } j \cdot x$   
 $\langle \text{proof} \rangle$

**lemma** *approx-approx [simp]*:  
 $\text{approx } i \cdot (\text{approx } j \cdot x) = \text{approx } (\min i j) \cdot x$   
 $\langle \text{proof} \rangle$

**lemma** *finite-image-approx*: *finite*  $((\lambda x. \text{approx } n \cdot x) \text{ ` } A)$   
 $\langle \text{proof} \rangle$

**lemma** *finite-range-approx*: *finite*  $(\text{range } (\lambda x. \text{approx } i \cdot x))$   
 $\langle \text{proof} \rangle$

**lemma** *compact-approx [simp]*: *compact* (*approx n · x*)  
 $\langle \text{proof} \rangle$

**lemma** *profinite-compact-eq-approx*: *compact*  $x \implies \exists i. \text{approx } i \cdot x = x$   
 $\langle \text{proof} \rangle$

**lemma** *profinite-compact-iff*: *compact*  $x \longleftrightarrow (\exists n. \text{approx } n \cdot x = x)$   
 $\langle \text{proof} \rangle$

**lemma** *approx-induct*:  
 assumes *adm*: *adm*  $P$  and  $P: \bigwedge n x. P (\text{approx } n \cdot x)$   
 shows  $P x$   
 $\langle \text{proof} \rangle$

**lemma** *profinite-below-ext*:  $(\bigwedge i. \text{approx } i \cdot x \sqsubseteq \text{approx } i \cdot y) \implies x \sqsubseteq y$   
 $\langle \text{proof} \rangle$

## 11.2 Instance for product type

**definition**

*cprod-map* ::  $('a \rightarrow 'b) \rightarrow ('c \rightarrow 'd) \rightarrow 'a \times 'c \rightarrow 'b \times 'd$

**where**

$$cprod\text{-}map = (\Lambda f\ g\ p.\ (f \cdot (fst\ p),\ g \cdot (snd\ p)))$$

**lemma** *cprod-map-Pair* [simp]: *cprod-map*·*f*·*g*·(*x*, *y*) = (*f*·*x*, *g*·*y*)  
 ⟨proof⟩

**lemma** *cprod-map-ID*: *cprod-map*·*ID*·*ID* = *ID*  
 ⟨proof⟩

**lemma** *cprod-map-map*:  
 $cprod\text{-}map \cdot f1 \cdot g1 \cdot (cprod\text{-}map \cdot f2 \cdot g2 \cdot p) =$   
 $cprod\text{-}map \cdot (\Lambda x.\ f1 \cdot (f2 \cdot x)) \cdot (\Lambda x.\ g1 \cdot (g2 \cdot x)) \cdot p$   
 ⟨proof⟩

**lemma** *ep-pair-cprod-map*:  
 assumes *ep-pair* *e1* *p1* and *ep-pair* *e2* *p2*  
 shows *ep-pair* (*cprod-map*·*e1*·*e2*) (*cprod-map*·*p1*·*p2*)  
 ⟨proof⟩

**lemma** *deflation-cprod-map*:  
 assumes *deflation* *d1* and *deflation* *d2*  
 shows *deflation* (*cprod-map*·*d1*·*d2*)  
 ⟨proof⟩

**lemma** *finite-deflation-cprod-map*:  
 assumes *finite-deflation* *d1* and *finite-deflation* *d2*  
 shows *finite-deflation* (*cprod-map*·*d1*·*d2*)  
 ⟨proof⟩

**instantiation** \* :: (*profinite*, *profinite*) *profinite*  
**begin**

**definition**  
*approx-prod-def*:  
 $approx = (\lambda n.\ cprod\text{-}map \cdot (approx\ n) \cdot (approx\ n))$

**instance** ⟨proof⟩

**end**

**instance** \* :: (*bifinite*, *bifinite*) *bifinite* ⟨proof⟩

**lemma** *approx-Pair* [simp]:  
 $approx\ i \cdot (x,\ y) = (approx\ i \cdot x,\ approx\ i \cdot y)$   
 ⟨proof⟩

**lemma** *fst-approx*:  $fst\ (approx\ i \cdot p) = approx\ i \cdot (fst\ p)$   
 ⟨proof⟩

**lemma** *snd-approx*:  $\text{snd } (\text{approx } i \cdot p) = \text{approx } i \cdot (\text{snd } p)$   
 $\langle \text{proof} \rangle$

### 11.3 Instance for continuous function space

**definition**

$\text{cfun-map} :: ('b \rightarrow 'a) \rightarrow ('c \rightarrow 'd) \rightarrow ('a \rightarrow 'c) \rightarrow ('b \rightarrow 'd)$

**where**

$\text{cfun-map} = (\lambda a \ b \ f \ x. \ b \cdot (f \cdot (a \cdot x)))$

**lemma** *cfun-map-beta* [*simp*]:  $\text{cfun-map} \cdot a \cdot b \cdot f \cdot x = b \cdot (f \cdot (a \cdot x))$   
 $\langle \text{proof} \rangle$

**lemma** *cfun-map-ID*:  $\text{cfun-map} \cdot \text{ID} \cdot \text{ID} = \text{ID}$   
 $\langle \text{proof} \rangle$

**lemma** *cfun-map-map*:

$\text{cfun-map} \cdot f1 \cdot g1 \cdot (\text{cfun-map} \cdot f2 \cdot g2 \cdot p) =$   
 $\text{cfun-map} \cdot (\lambda x. f2 \cdot (f1 \cdot x)) \cdot (\lambda x. g1 \cdot (g2 \cdot x)) \cdot p$

$\langle \text{proof} \rangle$

**lemma** *ep-pair-cfun-map*:

**assumes** *ep-pair* *e1* *p1* **and** *ep-pair* *e2* *p2*

**shows** *ep-pair*  $(\text{cfun-map} \cdot p1 \cdot e2)$   $(\text{cfun-map} \cdot e1 \cdot p2)$

$\langle \text{proof} \rangle$

**lemma** *deflation-cfun-map*:

**assumes** *deflation* *d1* **and** *deflation* *d2*

**shows** *deflation*  $(\text{cfun-map} \cdot d1 \cdot d2)$

$\langle \text{proof} \rangle$

**lemma** *finite-range-cfun-map*:

**assumes** *a*: *finite*  $(\text{range } (\lambda x. a \cdot x))$

**assumes** *b*: *finite*  $(\text{range } (\lambda y. b \cdot y))$

**shows** *finite*  $(\text{range } (\lambda f. \text{cfun-map} \cdot a \cdot b \cdot f))$  (**is** *finite*  $(\text{range } ?h)$ )

$\langle \text{proof} \rangle$

**lemma** *finite-deflation-cfun-map*:

**assumes** *finite-deflation* *d1* **and** *finite-deflation* *d2*

**shows** *finite-deflation*  $(\text{cfun-map} \cdot d1 \cdot d2)$

$\langle \text{proof} \rangle$

**instantiation** *cfun* :: (*profinite*, *profinite*) *profinite*  
**begin**

**definition**

*approx-cfun-def*:

$\text{approx} = (\lambda n. \text{cfun-map} \cdot (\text{approx } n) \cdot (\text{approx } n))$

```

instance  $\langle proof \rangle$ 

end

instance cfun :: (profinite, bifinite) bifinite  $\langle proof \rangle$ 

lemma approx-cfun: approx n · f · x = approx n · (f · (approx n · x))
 $\langle proof \rangle$ 

end

```

## 12 Up: The type of lifted values

```

theory Up
imports Bifinite
begin

```

```

default-sort cpo

```

### 12.1 Definition of new type for lifting

```

datatype 'a u = Ibottom | Iup 'a

type-notation (xsymbols)
  u ((- $\perp$ ) [1000] 999)

primrec Ifup :: ('a  $\rightarrow$  'b::pcpo)  $\Rightarrow$  'a u  $\Rightarrow$  'b where
  Ifup f Ibottom =  $\perp$ 
  | Ifup f (Iup x) = f · x

```

### 12.2 Ordering on lifted cpo

```

instantiation u :: (cpo) below
begin

definition
  below-up-def:
    (op  $\sqsubseteq$ )  $\equiv$  ( $\lambda x y.$  case x of Ibottom  $\Rightarrow$  True | Iup a  $\Rightarrow$ 
      (case y of Ibottom  $\Rightarrow$  False | Iup b  $\Rightarrow$  a  $\sqsubseteq$  b))

instance  $\langle proof \rangle$ 
end

lemma minimal-up [iff]: Ibottom  $\sqsubseteq$  z
 $\langle proof \rangle$ 

lemma not-Iup-below [iff]:  $\neg$  Iup x  $\sqsubseteq$  Ibottom
 $\langle proof \rangle$ 

```

**lemma** *Iup-below* [iff]:  $(Iup\ x \sqsubseteq Iup\ y) = (x \sqsubseteq y)$   
 $\langle proof \rangle$

### 12.3 Lifted cpo is a partial order

**instance**  $u :: (cpo)\ po$   
 $\langle proof \rangle$

**lemma** *u-UNIV*:  $UNIV = insert\ Ibottom\ (range\ Iup)$   
 $\langle proof \rangle$

**instance**  $u :: (finite-po)\ finite-po$   
 $\langle proof \rangle$

### 12.4 Lifted cpo is a cpo

**lemma** *is-lub-Iup*:  
 $range\ S <<| x \implies range\ (\lambda i. Iup\ (S\ i)) <<| Iup\ x$   
 $\langle proof \rangle$

Now some lemmas about chains of  $'a_{\perp}$  elements

**lemma** *up-lemma1*:  $z \neq Ibottom \implies Iup\ (THE\ a. Iup\ a = z) = z$   
 $\langle proof \rangle$

**lemma** *up-lemma2*:  
 $\llbracket chain\ Y; Y\ j \neq Ibottom \rrbracket \implies Y\ (i + j) \neq Ibottom$   
 $\langle proof \rangle$

**lemma** *up-lemma3*:  
 $\llbracket chain\ Y; Y\ j \neq Ibottom \rrbracket \implies Iup\ (THE\ a. Iup\ a = Y\ (i + j)) = Y\ (i + j)$   
 $\langle proof \rangle$

**lemma** *up-lemma4*:  
 $\llbracket chain\ Y; Y\ j \neq Ibottom \rrbracket \implies chain\ (\lambda i. THE\ a. Iup\ a = Y\ (i + j))$   
 $\langle proof \rangle$

**lemma** *up-lemma5*:  
 $\llbracket chain\ Y; Y\ j \neq Ibottom \rrbracket \implies$   
 $(\lambda i. Y\ (i + j)) = (\lambda i. Iup\ (THE\ a. Iup\ a = Y\ (i + j)))$   
 $\langle proof \rangle$

**lemma** *up-lemma6*:  
 $\llbracket chain\ Y; Y\ j \neq Ibottom \rrbracket$   
 $\implies range\ Y <<| Iup\ (\bigsqcup i. THE\ a. Iup\ a = Y\ (i + j))$   
 $\langle proof \rangle$

**lemma** *up-chain-lemma*:  
 $chain\ Y \implies$



$(\exists A. \text{chain } A \wedge (\bigsqcup i. Y\ i) = \text{Iup } (\bigsqcup i. A\ i) \wedge$   
 $(\exists j. \forall i. Y\ (i + j) = \text{Iup } (A\ i))) \vee (Y = (\lambda i. \text{Ibottom}))$   
 $\langle \text{proof} \rangle$

**lemma** *cpo-up*:  $\text{chain } (Y :: \text{nat} \Rightarrow 'a\ u) \implies \exists x. \text{range } Y <<| x$   
 $\langle \text{proof} \rangle$

**instance**  $u :: (\text{cpo})\ \text{cpo}$   
 $\langle \text{proof} \rangle$

## 12.5 Lifted cpo is pointed

**lemma** *least-up*:  $\exists x :: 'a\ u. \forall y. x \sqsubseteq y$   
 $\langle \text{proof} \rangle$

**instance**  $u :: (\text{cpo})\ \text{pcpo}$   
 $\langle \text{proof} \rangle$

for compatibility with old HOLCF-Version

**lemma** *inst-up-pcpo*:  $\perp = \text{Ibottom}$   
 $\langle \text{proof} \rangle$

## 12.6 Continuity of *Iup* and *Ifup*

continuity for *Iup*

**lemma** *cont-Iup*:  $\text{cont } \text{Iup}$   
 $\langle \text{proof} \rangle$

continuity for *Ifup*

**lemma** *cont-Ifup1*:  $\text{cont } (\lambda f. \text{Ifup } f\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *monofun-Ifup2*:  $\text{monofun } (\lambda x. \text{Ifup } f\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *cont-Ifup2*:  $\text{cont } (\lambda x. \text{Ifup } f\ x)$   
 $\langle \text{proof} \rangle$

## 12.7 Continuous versions of constants

**definition**

$up :: 'a \rightarrow 'a\ u$  **where**  
 $up = (\Lambda x. \text{Iup } x)$

**definition**

$fup :: ('a \rightarrow 'b :: \text{pcpo}) \rightarrow 'a\ u \rightarrow 'b$  **where**  
 $fup = (\Lambda f\ p. \text{Ifup } f\ p)$

**translations**

case  $l$  of  $XCONST$   $up \cdot x \Rightarrow t == CONST$   $fup \cdot (\Lambda x. t) \cdot l$   
 $\Lambda(XCONST$   $up \cdot x). t == CONST$   $fup \cdot (\Lambda x. t)$

continuous versions of lemmas for  $'a_{\perp}$

**lemma** *Exh-Up*:  $z = \perp \vee (\exists x. z = up \cdot x)$   
 $\langle proof \rangle$

**lemma** *up-eq* [*simp*]:  $(up \cdot x = up \cdot y) = (x = y)$   
 $\langle proof \rangle$

**lemma** *up-inject*:  $up \cdot x = up \cdot y \Longrightarrow x = y$   
 $\langle proof \rangle$

**lemma** *up-defined* [*simp*]:  $up \cdot x \neq \perp$   
 $\langle proof \rangle$

**lemma** *not-up-less-UU*:  $\neg up \cdot x \sqsubseteq \perp$   
 $\langle proof \rangle$

**lemma** *up-below* [*simp*]:  $up \cdot x \sqsubseteq up \cdot y \longleftrightarrow x \sqsubseteq y$   
 $\langle proof \rangle$

**lemma** *upE* [*case-names bottom up, cases type: u*]:  
 $\llbracket p = \perp \Longrightarrow Q; \bigwedge x. p = up \cdot x \Longrightarrow Q \rrbracket \Longrightarrow Q$   
 $\langle proof \rangle$

**lemma** *up-induct* [*case-names bottom up, induct type: u*]:  
 $\llbracket P \perp; \bigwedge x. P (up \cdot x) \rrbracket \Longrightarrow P x$   
 $\langle proof \rangle$

lifting preserves chain-finiteness

**lemma** *up-chain-cases*:

$chain\ Y \Longrightarrow$   
 $(\exists A. chain\ A \wedge (\bigsqcup i. Y\ i) = up \cdot (\bigsqcup i. A\ i) \wedge$   
 $(\exists j. \forall i. Y\ (i + j) = up \cdot (A\ i))) \vee Y = (\lambda i. \perp)$   
 $\langle proof \rangle$

**lemma** *compact-up*:  $compact\ x \Longrightarrow compact\ (up \cdot x)$   
 $\langle proof \rangle$

**lemma** *compact-upD*:  $compact\ (up \cdot x) \Longrightarrow compact\ x$   
 $\langle proof \rangle$

**lemma** *compact-up-iff* [*simp*]:  $compact\ (up \cdot x) = compact\ x$   
 $\langle proof \rangle$

**instance**  $u :: (chfin)\ chfin$   
 $\langle proof \rangle$

properties of fup

**lemma** *fup1* [*simp*]:  $fup \cdot f \cdot \perp = \perp$   
 $\langle proof \rangle$

**lemma** *fup2* [*simp*]:  $fup \cdot f \cdot (up \cdot x) = f \cdot x$   
 $\langle proof \rangle$

**lemma** *fup3* [*simp*]:  $fup \cdot up \cdot x = x$   
 $\langle proof \rangle$

## 12.8 Map function for lifted cpo

**definition**

$u\text{-map} :: ('a \rightarrow 'b) \rightarrow 'a \rightarrow 'b$

**where**

$u\text{-map} = (\lambda f. fup \cdot (up \circ f))$

**lemma** *u-map-strict* [*simp*]:  $u\text{-map} \cdot f \cdot \perp = \perp$   
 $\langle proof \rangle$

**lemma** *u-map-up* [*simp*]:  $u\text{-map} \cdot f \cdot (up \cdot x) = up \cdot (f \cdot x)$   
 $\langle proof \rangle$

**lemma** *u-map-ID*:  $u\text{-map} \cdot ID = ID$   
 $\langle proof \rangle$

**lemma** *u-map-map*:  $u\text{-map} \cdot f \cdot (u\text{-map} \cdot g \cdot p) = u\text{-map} \cdot (\lambda x. f \cdot (g \cdot x)) \cdot p$   
 $\langle proof \rangle$

**lemma** *ep-pair-u-map*:  $ep\text{-pair } e \ p \implies ep\text{-pair } (u\text{-map} \cdot e) \ (u\text{-map} \cdot p)$   
 $\langle proof \rangle$

**lemma** *deflation-u-map*:  $deflation \ d \implies deflation \ (u\text{-map} \cdot d)$   
 $\langle proof \rangle$

**lemma** *finite-deflation-u-map*:

**assumes** *finite-deflation* *d* **shows** *finite-deflation*  $(u\text{-map} \cdot d)$   
 $\langle proof \rangle$

## 12.9 Lifted cpo is a bifinite domain

**instantiation** *u* :: (*profinite*) *bifinite*  
**begin**

**definition**

*approx-up-def*:  
 $approx = (\lambda n. u\text{-map} \cdot (approx \ n))$

**instance**  $\langle proof \rangle$

end

**lemma** *approx-up* [*simp*]:  $\text{approx } i \cdot (\text{up} \cdot x) = \text{up} \cdot (\text{approx } i \cdot x)$   
 $\langle \text{proof} \rangle$

end

## 13 Lift: Lifting types of class type to flat pcpo’s

**theory** *Lift*

**imports** *Discrete Up Countable*

**begin**

**default-sort** *type*

**pcpodef** *'a lift* = *UNIV* :: *'a discr u set*  
 $\langle \text{proof} \rangle$

**instance** *lift* :: (*finite*) *finite-po*  
 $\langle \text{proof} \rangle$

**lemmas** *inst-lift-pcpo* = *Abs-lift-strict* [*symmetric*]

**definition**

*Def* :: *'a*  $\Rightarrow$  *'a lift* **where**  
*Def* *x* = *Abs-lift* (*up* · (*Discr* *x*))

### 13.1 Lift as a datatype

**lemma** *lift-induct*:  $\llbracket P \perp; \bigwedge x. P (\text{Def } x) \rrbracket \Longrightarrow P y$   
 $\langle \text{proof} \rangle$

**rep-datatype**  $\perp :: 'a \text{ lift } \text{Def}$   
 $\langle \text{proof} \rangle$

**lemmas** *lift-distinct1* = *lift.distinct*(1)  
**lemmas** *lift-distinct2* = *lift.distinct*(2)  
**lemmas** *Def-not-UU* = *lift.distinct*(2)  
**lemmas** *Def-inject* = *lift.inject*

$\perp$  and *Def*

**lemma** *Lift-exhaust*:  $x = \perp \vee (\exists y. x = \text{Def } y)$   
 $\langle \text{proof} \rangle$

**lemma** *Lift-cases*:  $\llbracket x = \perp \Longrightarrow P; \exists a. x = \text{Def } a \Longrightarrow P \rrbracket \Longrightarrow P$   
 $\langle \text{proof} \rangle$

**lemma** *not-Undef-is-Def*:  $(x \neq \perp) = (\exists y. x = \text{Def } y)$   
 $\langle \text{proof} \rangle$

**lemma** *lift-definedE*:  $\llbracket x \neq \perp; \bigwedge a. x = \text{Def } a \implies R \rrbracket \implies R$   
 $\langle \text{proof} \rangle$

For  $x \neq \perp$  in assumptions *defined* replaces  $x$  by  $\text{Def } a$  in conclusion.

$\langle ML \rangle$

**lemma** *DefE*:  $\text{Def } x = \perp \implies R$   
 $\langle \text{proof} \rangle$

**lemma** *DefE2*:  $\llbracket x = \text{Def } s; x = \perp \rrbracket \implies R$   
 $\langle \text{proof} \rangle$

**lemma** *Def-below-Def*:  $\text{Def } x \sqsubseteq \text{Def } y \longleftrightarrow x = y$   
 $\langle \text{proof} \rangle$

**lemma** *Def-below-iff [simp]*:  $\text{Def } x \sqsubseteq y \longleftrightarrow \text{Def } x = y$   
 $\langle \text{proof} \rangle$

### 13.2 Lift is flat

**instance** *lift* :: (type) flat  
 $\langle \text{proof} \rangle$

Two specific lemmas for the combination of LCF and HOL terms.

**lemma** *cont-Rep-CFun-app [simp]*:  $\llbracket \text{cont } g; \text{cont } f \rrbracket \implies \text{cont}(\lambda x. ((f x) \cdot (g x)) s)$   
 $\langle \text{proof} \rangle$

**lemma** *cont-Rep-CFun-app-app [simp]*:  $\llbracket \text{cont } g; \text{cont } f \rrbracket \implies \text{cont}(\lambda x. ((f x) \cdot (g x)) s t)$   
 $\langle \text{proof} \rangle$

### 13.3 Further operations

**definition**

*flift1* ::  $('a \Rightarrow 'b :: \text{pcpo}) \Rightarrow ('a \text{ lift} \rightarrow 'b)$  (**binder** FLIFT 10) **where**  
*flift1* =  $(\lambda f. (\Lambda x. \text{lift-case } \perp f x))$

**definition**

*flift2* ::  $('a \Rightarrow 'b) \Rightarrow ('a \text{ lift} \rightarrow 'b \text{ lift})$  **where**  
*flift2*  $f = (\text{FLIFT } x. \text{Def } (f x))$

### 13.4 Continuity Proofs for flift1, flift2

Need the instance of *flat*.

**lemma** *cont-lift-case1*:  $\text{cont } (\lambda f. \text{lift-case } a f x)$

$\langle proof \rangle$

**lemma** *cont-lift-case2*: *cont*  $(\lambda x. \text{lift-case } \perp f x)$   
 $\langle proof \rangle$

**lemma** *cont-flift1*: *cont flift1*  
 $\langle proof \rangle$

**lemma** *FLIFT-mono*:  
 $(\bigwedge x. f x \sqsubseteq g x) \implies (FLIFT x. f x) \sqsubseteq (FLIFT x. g x)$   
 $\langle proof \rangle$

**lemma** *cont2cont-flift1* [*simp*, *cont2cont*]:  
 $\llbracket \bigwedge y. \text{cont } (\lambda x. f x y) \rrbracket \implies \text{cont } (\lambda x. FLIFT y. f x y)$   
 $\langle proof \rangle$

**lemma** *cont2cont-lift-case* [*simp*]:  
 $\llbracket \bigwedge y. \text{cont } (\lambda x. f x y); \text{cont } g \rrbracket \implies \text{cont } (\lambda x. \text{lift-case } UU (f x) (g x))$   
 $\langle proof \rangle$

rewrites for *flift1*, *flift2*

**lemma** *flift1-Def* [*simp*]: *flift1*  $f \cdot (\text{Def } x) = (f x)$   
 $\langle proof \rangle$

**lemma** *flift2-Def* [*simp*]: *flift2*  $f \cdot (\text{Def } x) = \text{Def } (f x)$   
 $\langle proof \rangle$

**lemma** *flift1-strict* [*simp*]: *flift1*  $f \cdot \perp = \perp$   
 $\langle proof \rangle$

**lemma** *flift2-strict* [*simp*]: *flift2*  $f \cdot \perp = \perp$   
 $\langle proof \rangle$

**lemma** *flift2-defined* [*simp*]:  $x \neq \perp \implies (\text{flift2 } f) \cdot x \neq \perp$   
 $\langle proof \rangle$

**lemma** *flift2-defined-iff* [*simp*]:  $(\text{flift2 } f \cdot x = \perp) = (x = \perp)$   
 $\langle proof \rangle$

### 13.5 Lifted countable types are bifinite

**instantiation** *lift* :: (*countable*) *bifinite*  
**begin**

**definition**  
*approx-lift-def*:  
 $\text{approx} = (\lambda n. FLIFT x. \text{if to-nat } x < n \text{ then } \text{Def } x \text{ else } \perp)$

**instance**  $\langle proof \rangle$

end

end

## 14 Tr: The type of lifted booleans

```
theory Tr
imports Lift
begin
```

### 14.1 Type definition and constructors

```
types
  tr = bool lift

translations
  (type) tr <= (type) bool lift
```

```
definition
  TT :: tr where
  TT = Def True
```

```
definition
  FF :: tr where
  FF = Def False
```

Exhaustion and Elimination for type *tr*

**lemma** *Exh-tr*:  $t = \perp \vee t = TT \vee t = FF$   
 $\langle proof \rangle$

**lemma** *trE* [*case-names bottom TT FF*]:  
 $\llbracket p = \perp \implies Q; p = TT \implies Q; p = FF \implies Q \rrbracket \implies Q$   
 $\langle proof \rangle$

**lemma** *tr-induct* [*case-names bottom TT FF*]:  
 $\llbracket P \perp; P TT; P FF \rrbracket \implies P x$   
 $\langle proof \rangle$

distinctness for type *tr*

**lemma** *dist-below-tr* [*simp*]:  
 $\neg TT \sqsubseteq \perp \neg FF \sqsubseteq \perp \neg TT \sqsubseteq FF \neg FF \sqsubseteq TT$   
 $\langle proof \rangle$

**lemma** *dist-eq-tr* [*simp*]:  
 $TT \neq \perp \quad FF \neq \perp \quad TT \neq FF \quad \perp \neq TT \quad \perp \neq FF \quad FF \neq TT$   
 $\langle proof \rangle$

**lemma** *TT-below-iff* [simp]:  $TT \sqsubseteq x \longleftrightarrow x = TT$   
 $\langle proof \rangle$

**lemma** *FF-below-iff* [simp]:  $FF \sqsubseteq x \longleftrightarrow x = FF$   
 $\langle proof \rangle$

**lemma** *not-below-TT-iff* [simp]:  $\neg (x \sqsubseteq TT) \longleftrightarrow x = FF$   
 $\langle proof \rangle$

**lemma** *not-below-FF-iff* [simp]:  $\neg (x \sqsubseteq FF) \longleftrightarrow x = TT$   
 $\langle proof \rangle$

## 14.2 Case analysis

**default-sort** *pcpo*

**definition**

*trifte* ::  $'c \rightarrow 'c \rightarrow tr \rightarrow 'c$  **where**  
*ifte-def*: *trifte* =  $(\Lambda t e. FLIFT\ b. \text{if } b \text{ then } t \text{ else } e)$

**abbreviation**

*cifte-syn* ::  $[tr, 'c, 'c] \Rightarrow 'c$   $((\exists If - / (then - / else -) fi) 60)$  **where**  
*If*  $b$  then  $e1$  else  $e2$  *fi* == *trifte*· $e1$ · $e2$ · $b$

**translations**

$\Lambda (XCONST\ TT). t == CONST\ \text{trifte} \cdot t \cdot \perp$   
 $\Lambda (XCONST\ FF). t == CONST\ \text{trifte} \cdot \perp \cdot t$

**lemma** *ifte-thms* [simp]:

*If*  $\perp$  then  $e1$  else  $e2$  *fi* =  $\perp$   
*If*  $FF$  then  $e1$  else  $e2$  *fi* =  $e2$   
*If*  $TT$  then  $e1$  else  $e2$  *fi* =  $e1$   
 $\langle proof \rangle$

## 14.3 Boolean connectives

**definition**

*trand* ::  $tr \rightarrow tr \rightarrow tr$  **where**  
*andalso-def*: *trand* =  $(\Lambda x y. \text{If } x \text{ then } y \text{ else } FF\ \text{fi})$

**abbreviation**

*andalso-syn* ::  $tr \Rightarrow tr \Rightarrow tr$   $(- \text{ andalso } - [36,35]\ 35)$  **where**  
 $x \text{ andalso } y == \text{trand} \cdot x \cdot y$

**definition**

*tror* ::  $tr \rightarrow tr \rightarrow tr$  **where**  
*orelse-def*: *tror* =  $(\Lambda x y. \text{If } x \text{ then } TT \text{ else } y\ \text{fi})$

**abbreviation**

*orelse-syn* ::  $tr \Rightarrow tr \Rightarrow tr$   $(- \text{ orelse } - [31,30]\ 30)$  **where**  
 $x \text{ orelse } y == \text{tror} \cdot x \cdot y$



**definition**

$neg :: tr \rightarrow tr$  **where**  
 $neg = flift2\ Not$

**definition**

$If2 :: [tr, 'c, 'c] \Rightarrow 'c$  **where**  
 $If2\ Q\ x\ y = (If\ Q\ then\ x\ else\ y\ fi)$

tactic for tr-thms with case split

**lemmas**  $tr-defs = andalso-def\ orelse-def\ neg-def\ ifte-def\ TT-def\ FF-def$

lemmas about andalso, orelse, neg and if

**lemma**  $andalso-thms\ [simp]:$

$(TT\ andalso\ y) = y$   
 $(FF\ andalso\ y) = FF$   
 $(\perp\ andalso\ y) = \perp$   
 $(y\ andalso\ TT) = y$   
 $(y\ andalso\ y) = y$   
 $\langle proof \rangle$

**lemma**  $orelse-thms\ [simp]:$

$(TT\ orelse\ y) = TT$   
 $(FF\ orelse\ y) = y$   
 $(\perp\ orelse\ y) = \perp$   
 $(y\ orelse\ FF) = y$   
 $(y\ orelse\ y) = y$   
 $\langle proof \rangle$

**lemma**  $neg-thms\ [simp]:$

$neg.TT = FF$   
 $neg.FF = TT$   
 $neg.\perp = \perp$   
 $\langle proof \rangle$

split-tac for If via If2 because the constant has to be a constant

**lemma**  $split-If2:$

$P\ (If2\ Q\ x\ y) = ((Q = \perp \longrightarrow P\ \perp) \wedge (Q = TT \longrightarrow P\ x) \wedge (Q = FF \longrightarrow P\ y))$   
 $\langle proof \rangle$

$\langle ML \rangle$

## 14.4 Rewriting of HOLCF operations to HOL functions

**lemma**  $andalso-or:$

$t \neq \perp \implies ((t\ andalso\ s) = FF) = (t = FF \vee s = FF)$   
 $\langle proof \rangle$

**lemma**  $andalso-and:$

$t \neq \perp \implies ((t \text{ andalso } s) \neq FF) = (t \neq FF \wedge s \neq FF)$   
 $\langle \text{proof} \rangle$

**lemma** *Def-bool1* [simp]:  $(\text{Def } x \neq FF) = x$   
 $\langle \text{proof} \rangle$

**lemma** *Def-bool2* [simp]:  $(\text{Def } x = FF) = (\neg x)$   
 $\langle \text{proof} \rangle$

**lemma** *Def-bool3* [simp]:  $(\text{Def } x = TT) = x$   
 $\langle \text{proof} \rangle$

**lemma** *Def-bool4* [simp]:  $(\text{Def } x \neq TT) = (\neg x)$   
 $\langle \text{proof} \rangle$

**lemma** *If-and-if*:  
 $(\text{If } \text{Def } P \text{ then } A \text{ else } B \text{ fi}) = (\text{if } P \text{ then } A \text{ else } B)$   
 $\langle \text{proof} \rangle$

## 14.5 Compactness

**lemma** *compact-TT*: *compact TT*  
 $\langle \text{proof} \rangle$

**lemma** *compact-FF*: *compact FF*  
 $\langle \text{proof} \rangle$

**end**

## 15 Ssum: The type of strict sums

**theory** *Ssum*  
**imports** *Tr*  
**begin**

**default-sort** *pcpo*

### 15.1 Definition of strict sum type

**pcpodef** (*Ssum*) (*'a*, *'b*) *ssum* (**infixr** ++ 10) =  
 $\{p :: tr \times ('a \times 'b).$   
 $(fst\ p \sqsubseteq TT \longleftrightarrow snd\ (snd\ p) = \perp) \wedge$   
 $(fst\ p \sqsubseteq FF \longleftrightarrow fst\ (snd\ p) = \perp)\}$   
 $\langle \text{proof} \rangle$

**instance** *ssum* ::  $(\{finite-po, pcpo\}, \{finite-po, pcpo\})$  *finite-po*  
 $\langle \text{proof} \rangle$

**instance** *ssum* :: ( $\{chfin,pcpo\}, \{chfin,pcpo\}$ ) *chfin*  
 $\langle proof \rangle$

**type-notation** (*xsymbols*)  
 $ssum \ ((- \oplus / -) [21, 20] 20)$   
**type-notation** (*HTML output*)  
 $ssum \ ((- \oplus / -) [21, 20] 20)$

## 15.2 Definitions of constructors

### definition

$sinl :: 'a \rightarrow ('a ++ 'b) \text{ where}$   
 $sinl = (\Lambda a. Abs\text{-}Ssum \ (strictify \cdot (\Lambda -. TT) \cdot a, a, \perp))$

### definition

$sinr :: 'b \rightarrow ('a ++ 'b) \text{ where}$   
 $sinr = (\Lambda b. Abs\text{-}Ssum \ (strictify \cdot (\Lambda -. FF) \cdot b, \perp, b))$

**lemma** *sinl-Ssum*:  $(strictify \cdot (\Lambda -. TT) \cdot a, a, \perp) \in Ssum$   
 $\langle proof \rangle$

**lemma** *sinr-Ssum*:  $(strictify \cdot (\Lambda -. FF) \cdot b, \perp, b) \in Ssum$   
 $\langle proof \rangle$

**lemma** *sinl-Abs-Ssum*:  $sinl \cdot a = Abs\text{-}Ssum \ (strictify \cdot (\Lambda -. TT) \cdot a, a, \perp)$   
 $\langle proof \rangle$

**lemma** *sinr-Abs-Ssum*:  $sinr \cdot b = Abs\text{-}Ssum \ (strictify \cdot (\Lambda -. FF) \cdot b, \perp, b)$   
 $\langle proof \rangle$

**lemma** *Rep-Ssum-sinl*:  $Rep\text{-}Ssum \ (sinl \cdot a) = (strictify \cdot (\Lambda -. TT) \cdot a, a, \perp)$   
 $\langle proof \rangle$

**lemma** *Rep-Ssum-sinr*:  $Rep\text{-}Ssum \ (sinr \cdot b) = (strictify \cdot (\Lambda -. FF) \cdot b, \perp, b)$   
 $\langle proof \rangle$

## 15.3 Properties of *sinl* and *sinr*

### Ordering

**lemma** *sinl-below* [*simp*]:  $(sinl \cdot x \sqsubseteq sinl \cdot y) = (x \sqsubseteq y)$   
 $\langle proof \rangle$

**lemma** *sinr-below* [*simp*]:  $(sinr \cdot x \sqsubseteq sinr \cdot y) = (x \sqsubseteq y)$   
 $\langle proof \rangle$

**lemma** *sinl-below-sinr* [*simp*]:  $(sinl \cdot x \sqsubseteq sinr \cdot y) = (x = \perp)$   
 $\langle proof \rangle$

**lemma** *sinr-below-sinl* [*simp*]:  $(sinr \cdot x \sqsubseteq sinl \cdot y) = (x = \perp)$

$\langle proof \rangle$

### Equality

**lemma** *sinl-eq* [simp]:  $(sinl \cdot x = sinl \cdot y) = (x = y)$   
 $\langle proof \rangle$

**lemma** *sinr-eq* [simp]:  $(sinr \cdot x = sinr \cdot y) = (x = y)$   
 $\langle proof \rangle$

**lemma** *sinl-eq-sinr* [simp]:  $(sinl \cdot x = sinr \cdot y) = (x = \perp \wedge y = \perp)$   
 $\langle proof \rangle$

**lemma** *sinr-eq-sinl* [simp]:  $(sinr \cdot x = sinl \cdot y) = (x = \perp \wedge y = \perp)$   
 $\langle proof \rangle$

**lemma** *sinl-inject*:  $sinl \cdot x = sinl \cdot y \implies x = y$   
 $\langle proof \rangle$

**lemma** *sinr-inject*:  $sinr \cdot x = sinr \cdot y \implies x = y$   
 $\langle proof \rangle$

### Strictness

**lemma** *sinl-strict* [simp]:  $sinl \cdot \perp = \perp$   
 $\langle proof \rangle$

**lemma** *sinr-strict* [simp]:  $sinr \cdot \perp = \perp$   
 $\langle proof \rangle$

**lemma** *sinl-defined-iff* [simp]:  $(sinl \cdot x = \perp) = (x = \perp)$   
 $\langle proof \rangle$

**lemma** *sinr-defined-iff* [simp]:  $(sinr \cdot x = \perp) = (x = \perp)$   
 $\langle proof \rangle$

**lemma** *sinl-defined* [intro!]:  $x \neq \perp \implies sinl \cdot x \neq \perp$   
 $\langle proof \rangle$

**lemma** *sinr-defined* [intro!]:  $x \neq \perp \implies sinr \cdot x \neq \perp$   
 $\langle proof \rangle$

### Compactness

**lemma** *compact-sinl*:  $compact\ x \implies compact\ (sinl \cdot x)$   
 $\langle proof \rangle$

**lemma** *compact-sinr*:  $compact\ x \implies compact\ (sinr \cdot x)$   
 $\langle proof \rangle$

**lemma** *compact-sinlD*:  $compact\ (sinl \cdot x) \implies compact\ x$   
 $\langle proof \rangle$

**lemma** *compact-sinrD*:  $\text{compact } (\text{sinr} \cdot x) \implies \text{compact } x$   
 $\langle \text{proof} \rangle$

**lemma** *compact-sinl-iff [simp]*:  $\text{compact } (\text{sinl} \cdot x) = \text{compact } x$   
 $\langle \text{proof} \rangle$

**lemma** *compact-sinr-iff [simp]*:  $\text{compact } (\text{sinr} \cdot x) = \text{compact } x$   
 $\langle \text{proof} \rangle$

## 15.4 Case analysis

**lemma** *Exh-Ssum*:

$z = \perp \vee (\exists a. z = \text{sinl} \cdot a \wedge a \neq \perp) \vee (\exists b. z = \text{sinr} \cdot b \wedge b \neq \perp)$   
 $\langle \text{proof} \rangle$

**lemma** *ssumE* [*case-names bottom sinl sinr, cases type: ssum*]:

$\llbracket p = \perp \implies Q;$   
 $\bigwedge x. \llbracket p = \text{sinl} \cdot x; x \neq \perp \rrbracket \implies Q;$   
 $\bigwedge y. \llbracket p = \text{sinr} \cdot y; y \neq \perp \rrbracket \implies Q \rrbracket \implies Q$   
 $\langle \text{proof} \rangle$

**lemma** *ssum-induct* [*case-names bottom sinl sinr, induct type: ssum*]:

$\llbracket P \perp;$   
 $\bigwedge x. x \neq \perp \implies P (\text{sinl} \cdot x);$   
 $\bigwedge y. y \neq \perp \implies P (\text{sinr} \cdot y) \rrbracket \implies P x$   
 $\langle \text{proof} \rangle$

**lemma** *ssumE2* [*case-names sinl sinr*]:

$\llbracket \bigwedge x. p = \text{sinl} \cdot x \implies Q; \bigwedge y. p = \text{sinr} \cdot y \implies Q \rrbracket \implies Q$   
 $\langle \text{proof} \rangle$

**lemma** *below-sinlD*:  $p \sqsubseteq \text{sinl} \cdot x \implies \exists y. p = \text{sinl} \cdot y \wedge y \sqsubseteq x$   
 $\langle \text{proof} \rangle$

**lemma** *below-sinrD*:  $p \sqsubseteq \text{sinr} \cdot x \implies \exists y. p = \text{sinr} \cdot y \wedge y \sqsubseteq x$   
 $\langle \text{proof} \rangle$

## 15.5 Case analysis combinator

**definition**

$\text{sscase} :: ('a \rightarrow 'c) \rightarrow ('b \rightarrow 'c) \rightarrow ('a ++ 'b) \rightarrow 'c$  **where**  
 $\text{sscase} = (\lambda f g s. (\lambda(t, x, y). \text{If } t \text{ then } f \cdot x \text{ else } g \cdot y \text{ fi}) (\text{Rep-Ssum } s))$

**translations**

$\text{case } s \text{ of } X\text{CONST } \text{sinl} \cdot x \Rightarrow t1 \mid X\text{CONST } \text{sinr} \cdot y \Rightarrow t2 == \text{CONST } \text{sscase} \cdot (\lambda x. t1) \cdot (\lambda y. t2) \cdot s$

**translations**

$\Lambda(X\text{CONST } \text{sinl} \cdot x). t == \text{CONST } \text{sscase} \cdot (\Lambda x. t) \cdot \perp$

$$\Lambda(XCONST \text{ sinr} \cdot y). t == CONST \text{ sscase} \cdot \perp \cdot (\Lambda y. t)$$

**lemma** *beta-sscase*:

*sscase* · *f* · *g* · *s* = ( $\lambda(t, x, y). \text{ If } t \text{ then } f \cdot x \text{ else } g \cdot y \text{ fi}$ ) (*Rep-Ssum s*)  
 $\langle \text{proof} \rangle$

**lemma** *sscase1* [*simp*]: *sscase* · *f* · *g* ·  $\perp$  =  $\perp$   
 $\langle \text{proof} \rangle$

**lemma** *sscase2* [*simp*]:  $x \neq \perp \implies \text{sscase} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = f \cdot x$   
 $\langle \text{proof} \rangle$

**lemma** *sscase3* [*simp*]:  $y \neq \perp \implies \text{sscase} \cdot f \cdot g \cdot (\text{sinr} \cdot y) = g \cdot y$   
 $\langle \text{proof} \rangle$

**lemma** *sscase4* [*simp*]: *sscase* · *sinl* · *sinr* · *z* = *z*  
 $\langle \text{proof} \rangle$

## 15.6 Strict sum preserves flatness

**instance** *ssum* :: (*flat*, *flat*) *flat*  
 $\langle \text{proof} \rangle$

## 15.7 Map function for strict sums

**definition**

$$\text{ssum-map} :: ('a \rightarrow 'b) \rightarrow ('c \rightarrow 'd) \rightarrow 'a \oplus 'c \rightarrow 'b \oplus 'd$$

**where**

$$\text{ssum-map} = (\Lambda f g. \text{sscase} \cdot (\text{sinl} \text{ oo } f) \cdot (\text{sinr} \text{ oo } g))$$

**lemma** *ssum-map-strict* [*simp*]: *ssum-map* · *f* · *g* ·  $\perp$  =  $\perp$   
 $\langle \text{proof} \rangle$

**lemma** *ssum-map-sinl* [*simp*]:  $x \neq \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = \text{sinl} \cdot (f \cdot x)$   
 $\langle \text{proof} \rangle$

**lemma** *ssum-map-sinr* [*simp*]:  $x \neq \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinr} \cdot x) = \text{sinr} \cdot (g \cdot x)$   
 $\langle \text{proof} \rangle$

**lemma** *ssum-map-sinl'*:  $f \cdot \perp = \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = \text{sinl} \cdot (f \cdot x)$   
 $\langle \text{proof} \rangle$

**lemma** *ssum-map-sinr'*:  $g \cdot \perp = \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinr} \cdot x) = \text{sinr} \cdot (g \cdot x)$   
 $\langle \text{proof} \rangle$

**lemma** *ssum-map-ID*: *ssum-map* · *ID* · *ID* = *ID*  
 $\langle \text{proof} \rangle$

**lemma** *ssum-map-map*:

$$\llbracket f1 \cdot \perp = \perp; g1 \cdot \perp = \perp \rrbracket \implies$$

$ssum\text{-}map \cdot f1 \cdot g1 \cdot (ssum\text{-}map \cdot f2 \cdot g2 \cdot p) =$   
 $ssum\text{-}map \cdot (\Lambda x. f1 \cdot (f2 \cdot x)) \cdot (\Lambda x. g1 \cdot (g2 \cdot x)) \cdot p$   
 <proof>

**lemma** *ep-pair-ssum-map*:  
 assumes *ep-pair* *e1* *p1* and *ep-pair* *e2* *p2*  
 shows *ep-pair* (*ssum-map* *e1* *e2*) (*ssum-map* *p1* *p2*)  
 <proof>

**lemma** *deflation-ssum-map*:  
 assumes *deflation* *d1* and *deflation* *d2*  
 shows *deflation* (*ssum-map* *d1* *d2*)  
 <proof>

**lemma** *finite-deflation-ssum-map*:  
 assumes *finite-deflation* *d1* and *finite-deflation* *d2*  
 shows *finite-deflation* (*ssum-map* *d1* *d2*)  
 <proof>

## 15.8 Strict sum is a bifinite domain

**instantiation** *ssum* :: (*bifinite*, *bifinite*) *bifinite*  
**begin**

**definition**  
*approx-ssum-def*:  
 $approx = (\lambda n. ssum\text{-}map \cdot (approx\ n) \cdot (approx\ n))$

**lemma** *approx-sinl* [*simp*]:  $approx\ i \cdot (sinl \cdot x) = sinl \cdot (approx\ i \cdot x)$   
 <proof>

**lemma** *approx-sinr* [*simp*]:  $approx\ i \cdot (sinr \cdot x) = sinr \cdot (approx\ i \cdot x)$   
 <proof>

**instance** <proof>

**end**

**end**

## 16 Sprod: The type of strict products

**theory** *Sprod*  
**imports** *Bifinite*  
**begin**

**default-sort** *pcpo*

### 16.1 Definition of strict product type

**pcpodef** (*Sprod*) ('a, 'b) *sprod* (**infixr** \*\* 20) =  
 $\{p::'a \times 'b. p = \perp \vee (fst\ p \neq \perp \wedge snd\ p \neq \perp)\}$   
 $\langle proof \rangle$

**instance** *sprod* :: ( $\{finite-po, pcpo\}, \{finite-po, pcpo\}$ ) *finite-po*  
 $\langle proof \rangle$

**instance** *sprod* :: ( $\{chfin, pcpo\}, \{chfin, pcpo\}$ ) *chfin*  
 $\langle proof \rangle$

**type-notation** (*xsymbols*)  
 $sprod\ ((- \otimes / -) [21, 20]\ 20)$

**type-notation** (*HTML output*)  
 $sprod\ ((- \otimes / -) [21, 20]\ 20)$

**lemma** *spair-lemma*:  
 $(strictify \cdot (\Lambda\ b. a) \cdot b, strictify \cdot (\Lambda\ a. b) \cdot a) \in Sprod$   
 $\langle proof \rangle$

### 16.2 Definitions of constants

#### definition

$sfst :: ('a ** 'b) \rightarrow 'a$  **where**  
 $sfst = (\Lambda\ p. fst\ (Rep-Sprod\ p))$

#### definition

$ssnd :: ('a ** 'b) \rightarrow 'b$  **where**  
 $ssnd = (\Lambda\ p. snd\ (Rep-Sprod\ p))$

#### definition

$spair :: 'a \rightarrow 'b \rightarrow ('a ** 'b)$  **where**  
 $spair = (\Lambda\ a\ b. Abs-Sprod$   
 $(strictify \cdot (\Lambda\ b. a) \cdot b, strictify \cdot (\Lambda\ a. b) \cdot a))$

#### definition

$ssplit :: ('a \rightarrow 'b \rightarrow 'c) \rightarrow ('a ** 'b) \rightarrow 'c$  **where**  
 $ssplit = (\Lambda\ f. strictify \cdot (\Lambda\ p. f \cdot (sfst \cdot p) \cdot (ssnd \cdot p)))$

#### syntax

$-stuple :: ['a, args] \Rightarrow 'a ** 'b\ ((1'(-, / -')))$

#### translations

$(:x, y, z:) == (:x, (:y, z:))$   
 $(:x, y:) == CONST\ spair \cdot x \cdot y$

#### translations

$\Lambda(CONST\ spair \cdot x \cdot y). t == CONST\ ssplit \cdot (\Lambda\ x\ y. t)$



### 16.3 Case analysis

**lemma** *Rep-Sprod-spair*:

$\text{Rep-Sprod } (:a, b:) = (\text{strictify} \cdot (\Lambda b. a) \cdot b, \text{strictify} \cdot (\Lambda a. b) \cdot a)$   
 $\langle \text{proof} \rangle$

**lemmas** *Rep-Sprod-simps* =

*Rep-Sprod-inject* [symmetric] *below-Sprod-def*  
*Rep-Sprod-strict* *Rep-Sprod-spair*

**lemma** *Exh-Sprod*:

$z = \perp \vee (\exists a b. z = (:a, b:) \wedge a \neq \perp \wedge b \neq \perp)$   
 $\langle \text{proof} \rangle$

**lemma** *sprodE* [case-names bottom spair, cases type: sprod]:

$\llbracket p = \perp \implies Q; \bigwedge x y. \llbracket p = (:x, y:); x \neq \perp; y \neq \perp \rrbracket \implies Q \rrbracket \implies Q$   
 $\langle \text{proof} \rangle$

**lemma** *sprod-induct* [case-names bottom spair, induct type: sprod]:

$\llbracket P \perp; \bigwedge x y. \llbracket x \neq \perp; y \neq \perp \rrbracket \implies P (:x, y:) \rrbracket \implies P x$   
 $\langle \text{proof} \rangle$

### 16.4 Properties of *spair*

**lemma** *spair-strict1* [simp]:  $(:\perp, y:) = \perp$

$\langle \text{proof} \rangle$

**lemma** *spair-strict2* [simp]:  $(:x, \perp:) = \perp$

$\langle \text{proof} \rangle$

**lemma** *spair-strict-iff* [simp]:  $((:x, y:) = \perp) = (x = \perp \vee y = \perp)$

$\langle \text{proof} \rangle$

**lemma** *spair-below-iff*:

$((:a, b:) \sqsubseteq (:c, d:)) = (a = \perp \vee b = \perp \vee (a \sqsubseteq c \wedge b \sqsubseteq d))$   
 $\langle \text{proof} \rangle$

**lemma** *spair-eq-iff*:

$((:a, b:) = (:c, d:)) =$   
 $(a = c \wedge b = d \vee (a = \perp \vee b = \perp) \wedge (c = \perp \vee d = \perp))$   
 $\langle \text{proof} \rangle$

**lemma** *spair-strict*:  $x = \perp \vee y = \perp \implies (:x, y:) = \perp$

$\langle \text{proof} \rangle$

**lemma** *spair-strict-rev*:  $(:x, y:) \neq \perp \implies x \neq \perp \wedge y \neq \perp$

$\langle \text{proof} \rangle$

**lemma** *spair-defined*:  $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies (:x, y:) \neq \perp$

$\langle \text{proof} \rangle$

**lemma** *spair-defined-rev*:  $(:x, y:) = \perp \implies x = \perp \vee y = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *spair-eq*:  
 $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies ((:x, y:) = (:a, b:)) = (x = a \wedge y = b)$   
 $\langle \text{proof} \rangle$

**lemma** *spair-inject*:  
 $\llbracket x \neq \perp; y \neq \perp; (:x, y:) = (:a, b:) \rrbracket \implies x = a \wedge y = b$   
 $\langle \text{proof} \rangle$

**lemma** *inst-sprod-pcpo2*:  $UU = (:UU, UU:)$   
 $\langle \text{proof} \rangle$

**lemma** *sprodE2*:  $(\bigwedge x y. p = (:x, y:) \implies Q) \implies Q$   
 $\langle \text{proof} \rangle$

## 16.5 Properties of *sfst* and *ssnd*

**lemma** *sfst-strict* [*simp*]:  $\text{sfst} \cdot \perp = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *ssnd-strict* [*simp*]:  $\text{ssnd} \cdot \perp = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *sfst-spair* [*simp*]:  $y \neq \perp \implies \text{sfst} \cdot (:x, y:) = x$   
 $\langle \text{proof} \rangle$

**lemma** *ssnd-spair* [*simp*]:  $x \neq \perp \implies \text{ssnd} \cdot (:x, y:) = y$   
 $\langle \text{proof} \rangle$

**lemma** *sfst-defined-iff* [*simp*]:  $(\text{sfst} \cdot p = \perp) = (p = \perp)$   
 $\langle \text{proof} \rangle$

**lemma** *ssnd-defined-iff* [*simp*]:  $(\text{ssnd} \cdot p = \perp) = (p = \perp)$   
 $\langle \text{proof} \rangle$

**lemma** *sfst-defined*:  $p \neq \perp \implies \text{sfst} \cdot p \neq \perp$   
 $\langle \text{proof} \rangle$

**lemma** *ssnd-defined*:  $p \neq \perp \implies \text{ssnd} \cdot p \neq \perp$   
 $\langle \text{proof} \rangle$

**lemma** *surjective-pairing-Sprod2*:  $(:\text{sfst} \cdot p, \text{ssnd} \cdot p:) = p$   
 $\langle \text{proof} \rangle$

**lemma** *below-sprod*:  $x \sqsubseteq y = (\text{sfst} \cdot x \sqsubseteq \text{sfst} \cdot y \wedge \text{ssnd} \cdot x \sqsubseteq \text{ssnd} \cdot y)$   
 $\langle \text{proof} \rangle$

**lemma** *eq-sprod*:  $(x = y) = (sfst \cdot x = sfst \cdot y \wedge ssnd \cdot x = ssnd \cdot y)$   
 $\langle proof \rangle$

**lemma** *spair-below*:  
 $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies (:x, y:) \sqsubseteq (:a, b:) = (x \sqsubseteq a \wedge y \sqsubseteq b)$   
 $\langle proof \rangle$

**lemma** *sfst-below-iff*:  $sfst \cdot x \sqsubseteq y = x \sqsubseteq (:y, ssnd \cdot x:)$   
 $\langle proof \rangle$

**lemma** *ssnd-below-iff*:  $ssnd \cdot x \sqsubseteq y = x \sqsubseteq (:sfst \cdot x, y:)$   
 $\langle proof \rangle$

## 16.6 Compactness

**lemma** *compact-sfst*:  $compact\ x \implies compact\ (sfst \cdot x)$   
 $\langle proof \rangle$

**lemma** *compact-ssnd*:  $compact\ x \implies compact\ (ssnd \cdot x)$   
 $\langle proof \rangle$

**lemma** *compact-spair*:  $\llbracket compact\ x; compact\ y \rrbracket \implies compact\ (:x, y:)$   
 $\langle proof \rangle$

**lemma** *compact-spair-iff*:  
 $compact\ (:x, y:) = (x = \perp \vee y = \perp \vee (compact\ x \wedge compact\ y))$   
 $\langle proof \rangle$

## 16.7 Properties of *ssplit*

**lemma** *ssplit1* [*simp*]:  $ssplit \cdot f \cdot \perp = \perp$   
 $\langle proof \rangle$

**lemma** *ssplit2* [*simp*]:  $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies ssplit \cdot f \cdot (:x, y:) = f \cdot x \cdot y$   
 $\langle proof \rangle$

**lemma** *ssplit3* [*simp*]:  $ssplit \cdot spair \cdot z = z$   
 $\langle proof \rangle$

## 16.8 Strict product preserves flatness

**instance** *sprod* ::  $(flat, flat) \ flat$   
 $\langle proof \rangle$

## 16.9 Map function for strict products

**definition**

$sprod\text{-}map :: ('a \rightarrow 'b) \rightarrow ('c \rightarrow 'd) \rightarrow 'a \otimes 'c \rightarrow 'b \otimes 'd$   
**where**

$sprod\text{-}map = (\Lambda f\ g.\ ssplit \cdot (\Lambda x\ y.\ (:f \cdot x,\ g \cdot y:)))$

**lemma** *sprod-map-strict* [simp]:  $sprod\text{-}map \cdot a \cdot b \cdot \perp = \perp$   
 $\langle proof \rangle$

**lemma** *sprod-map-spair* [simp]:  
 $x \neq \perp \implies y \neq \perp \implies sprod\text{-}map \cdot f \cdot g \cdot (:x,\ y:) = (:f \cdot x,\ g \cdot y:)$   
 $\langle proof \rangle$

**lemma** *sprod-map-spair'*:  
 $f \cdot \perp = \perp \implies g \cdot \perp = \perp \implies sprod\text{-}map \cdot f \cdot g \cdot (:x,\ y:) = (:f \cdot x,\ g \cdot y:)$   
 $\langle proof \rangle$

**lemma** *sprod-map-ID*:  $sprod\text{-}map \cdot ID \cdot ID = ID$   
 $\langle proof \rangle$

**lemma** *sprod-map-map*:  
 $\llbracket f1 \cdot \perp = \perp;\ g1 \cdot \perp = \perp \rrbracket \implies$   
 $sprod\text{-}map \cdot f1 \cdot g1 \cdot (sprod\text{-}map \cdot f2 \cdot g2 \cdot p) =$   
 $sprod\text{-}map \cdot (\Lambda x.\ f1 \cdot (f2 \cdot x)) \cdot (\Lambda x.\ g1 \cdot (g2 \cdot x)) \cdot p$   
 $\langle proof \rangle$

**lemma** *ep-pair-sprod-map*:  
**assumes** *ep-pair*  $e1\ p1$  **and** *ep-pair*  $e2\ p2$   
**shows** *ep-pair*  $(sprod\text{-}map \cdot e1 \cdot e2)\ (sprod\text{-}map \cdot p1 \cdot p2)$   
 $\langle proof \rangle$

**lemma** *deflation-sprod-map*:  
**assumes** *deflation*  $d1$  **and** *deflation*  $d2$   
**shows** *deflation*  $(sprod\text{-}map \cdot d1 \cdot d2)$   
 $\langle proof \rangle$

**lemma** *finite-deflation-sprod-map*:  
**assumes** *finite-deflation*  $d1$  **and** *finite-deflation*  $d2$   
**shows** *finite-deflation*  $(sprod\text{-}map \cdot d1 \cdot d2)$   
 $\langle proof \rangle$

## 16.10 Strict product is a bifinite domain

**instantiation** *sprod* ::  $(bifinite,\ bifinite)\ bifinite$   
**begin**

**definition**  
*approx-sprod-def*:  
 $approx = (\lambda n.\ sprod\text{-}map \cdot (approx\ n) \cdot (approx\ n))$

**instance**  $\langle proof \rangle$

**end**

**lemma** *approx-spair* [simp]:  
 $\text{approx } i \cdot (x, y) = (: \text{approx } i \cdot x, \text{approx } i \cdot y :)$   
 $\langle \text{proof} \rangle$

**end**

## 17 One: The unit domain

**theory** *One*  
**imports** *Lift*  
**begin**

**types** *one* = *unit lift*  
**translations**  
 $(\text{type}) \text{ one} \leq (\text{type}) \text{ unit lift}$

**definition**  
 $\text{ONE} :: \text{one}$   
**where**  
 $\text{ONE} == \text{Def } ()$

Exhaustion and Elimination for type *one*

**lemma** *Exh-one*:  $t = \perp \vee t = \text{ONE}$   
 $\langle \text{proof} \rangle$

**lemma** *oneE* [case-names bottom ONE]:  $\llbracket p = \perp \implies Q; p = \text{ONE} \implies Q \rrbracket \implies Q$   
 $\langle \text{proof} \rangle$

**lemma** *one-induct* [case-names bottom ONE]:  $\llbracket P \perp; P \text{ ONE} \rrbracket \implies P \ x$   
 $\langle \text{proof} \rangle$

**lemma** *dist-below-one* [simp]:  $\neg \text{ONE} \sqsubseteq \perp$   
 $\langle \text{proof} \rangle$

**lemma** *below-ONE* [simp]:  $x \sqsubseteq \text{ONE}$   
 $\langle \text{proof} \rangle$

**lemma** *ONE-below-iff* [simp]:  $\text{ONE} \sqsubseteq x \longleftrightarrow x = \text{ONE}$   
 $\langle \text{proof} \rangle$

**lemma** *ONE-defined* [simp]:  $\text{ONE} \neq \perp$   
 $\langle \text{proof} \rangle$

**lemma** *one-neq-iffs* [simp]:  
 $x \neq \text{ONE} \longleftrightarrow x = \perp$   
 $\text{ONE} \neq x \longleftrightarrow x = \perp$   
 $x \neq \perp \longleftrightarrow x = \text{ONE}$

$\perp \neq x \longleftrightarrow x = ONE$   
 $\langle proof \rangle$

**lemma** *compact-ONE*: *compact ONE*  
 $\langle proof \rangle$

Case analysis function for type *one*

**definition**  
*one-when* :: 'a::pcpo  $\rightarrow$  one  $\rightarrow$  'a **where**  
*one-when* = ( $\Lambda$  a. *strictify*.( $\Lambda$  -. a))

**translations**  
*case* *x* of *XCONST ONE*  $\Rightarrow$  *t* == *CONST one-when.t.x*  
 $\Lambda$  (*XCONST ONE*). *t* == *CONST one-when.t*

**lemma** *one-when1* [*simp*]: (*case*  $\perp$  of *ONE*  $\Rightarrow$  *t*) =  $\perp$   
 $\langle proof \rangle$

**lemma** *one-when2* [*simp*]: (*case* *ONE* of *ONE*  $\Rightarrow$  *t*) = *t*  
 $\langle proof \rangle$

**lemma** *one-when3* [*simp*]: (*case* *x* of *ONE*  $\Rightarrow$  *ONE*) = *x*  
 $\langle proof \rangle$

**end**

## 18 Cprod: The cpo of cartesian products

**theory** *Cprod*  
**imports** *Bifinite*  
**begin**

**default-sort** *cpo*

### 18.1 Continuous case function for unit type

**definition**  
*unit-when* :: 'a  $\rightarrow$  unit  $\rightarrow$  'a **where**  
*unit-when* = ( $\Lambda$  a -. a)

**translations**  
 $\Lambda()$ . *t* == *CONST unit-when.t*

**lemma** *unit-when* [*simp*]: *unit-when.a.u* = *a*  
 $\langle proof \rangle$

## 18.2 Continuous version of split function

**definition**

$csplit :: ('a \rightarrow 'b \rightarrow 'c) \rightarrow ('a * 'b) \rightarrow 'c$  **where**  
 $csplit = (\lambda f\ p.\ f \cdot (fst\ p) \cdot (snd\ p))$

**translations**

$\Lambda(CONST\ Pair\ x\ y).\ t == CONST\ csplit \cdot (\Lambda\ x\ y.\ t)$

## 18.3 Convert all lemmas to the continuous versions

**lemma** *csplit1* [simp]:  $csplit \cdot f \cdot \perp = f \cdot \perp \cdot \perp$   
 $\langle proof \rangle$

**lemma** *csplit-Pair* [simp]:  $csplit \cdot f \cdot (x, y) = f \cdot x \cdot y$   
 $\langle proof \rangle$

**end**

## 19 Fix: Fixed point operator and admissibility

**theory** *Fix*

**imports** *Cfun*

**begin**

**default-sort** *pcpo*

### 19.1 Iteration

**primrec** *iterate* ::  $nat \Rightarrow ('a::cpo \rightarrow 'a) \rightarrow ('a \rightarrow 'a)$  **where**  
 $iterate\ 0 = (\lambda F\ x.\ x)$   
 $| iterate\ (Suc\ n) = (\lambda F\ x.\ F \cdot (iterate\ n \cdot F \cdot x))$

Derive inductive properties of *iterate* from primitive recursion

**lemma** *iterate-0* [simp]:  $iterate\ 0 \cdot F \cdot x = x$   
 $\langle proof \rangle$

**lemma** *iterate-Suc* [simp]:  $iterate\ (Suc\ n) \cdot F \cdot x = F \cdot (iterate\ n \cdot F \cdot x)$   
 $\langle proof \rangle$

**declare** *iterate.simps* [simp del]

**lemma** *iterate-Suc2*:  $iterate\ (Suc\ n) \cdot F \cdot x = iterate\ n \cdot F \cdot (F \cdot x)$   
 $\langle proof \rangle$

**lemma** *iterate-iterate*:

$iterate\ m \cdot F \cdot (iterate\ n \cdot F \cdot x) = iterate\ (m + n) \cdot F \cdot x$   
 $\langle proof \rangle$

The sequence of function iterations is a chain.

**lemma** *chain-iterate [simp]*: *chain* ( $\lambda i. \text{iterate } i \cdot F \cdot \perp$ )  
 $\langle \text{proof} \rangle$

## 19.2 Least fixed point operator

**definition**

$\text{fix} :: ('a \rightarrow 'a) \rightarrow 'a$  **where**  
 $\text{fix} = (\Lambda F. \bigsqcup i. \text{iterate } i \cdot F \cdot \perp)$

Binder syntax for *fix*

**abbreviation**

$\text{fix-syn} :: ('a \Rightarrow 'a) \Rightarrow 'a$  (**binder** *FIX* 10) **where**  
 $\text{fix-syn } (\lambda x. f \ x) \equiv \text{fix} \cdot (\Lambda x. f \ x)$

**notation** (*xsymbols*)

$\text{fix-syn}$  (**binder**  $\mu$  10)

Properties of *fix*

direct connection between *fix* and iteration

**lemma** *fix-def2*:  $\text{fix} \cdot F = (\bigsqcup i. \text{iterate } i \cdot F \cdot \perp)$   
 $\langle \text{proof} \rangle$

**lemma** *iterate-below-fix*:  $\text{iterate } n \cdot f \cdot \perp \sqsubseteq \text{fix} \cdot f$   
 $\langle \text{proof} \rangle$

Kleene’s fixed point theorems for continuous functions in pointed omega cpo’s

**lemma** *fix-eq*:  $\text{fix} \cdot F = F \cdot (\text{fix} \cdot F)$   
 $\langle \text{proof} \rangle$

**lemma** *fix-least-below*:  $F \cdot x \sqsubseteq x \implies \text{fix} \cdot F \sqsubseteq x$   
 $\langle \text{proof} \rangle$

**lemma** *fix-least*:  $F \cdot x = x \implies \text{fix} \cdot F \sqsubseteq x$   
 $\langle \text{proof} \rangle$

**lemma** *fix-eqI*:

**assumes** *fixed*:  $F \cdot x = x$  **and** *least*:  $\bigwedge z. F \cdot z = z \implies x \sqsubseteq z$   
**shows**  $\text{fix} \cdot F = x$

$\langle \text{proof} \rangle$

**lemma** *fix-eq2*:  $f \equiv \text{fix} \cdot F \implies f = F \cdot f$   
 $\langle \text{proof} \rangle$

**lemma** *fix-eq3*:  $f \equiv \text{fix} \cdot F \implies f \cdot x = F \cdot f \cdot x$   
 $\langle \text{proof} \rangle$



**lemma** *fix-eq4*:  $f = \text{fix} \cdot F \implies f = F \cdot f$   
 $\langle \text{proof} \rangle$

**lemma** *fix-eq5*:  $f = \text{fix} \cdot F \implies f \cdot x = F \cdot f \cdot x$   
 $\langle \text{proof} \rangle$

strictness of *fix*

**lemma** *fix-defined-iff*:  $(\text{fix} \cdot F = \perp) = (F \cdot \perp = \perp)$   
 $\langle \text{proof} \rangle$

**lemma** *fix-strict*:  $F \cdot \perp = \perp \implies \text{fix} \cdot F = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *fix-defined*:  $F \cdot \perp \neq \perp \implies \text{fix} \cdot F \neq \perp$   
 $\langle \text{proof} \rangle$

*fix* applied to identity and constant functions

**lemma** *fix-id*:  $(\mu \ x. \ x) = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *fix-const*:  $(\mu \ x. \ c) = c$   
 $\langle \text{proof} \rangle$

### 19.3 Fixed point induction

**lemma** *fix-ind*:  $\llbracket \text{adm } P; P \perp; \bigwedge x. P \ x \implies P \ (F \cdot x) \rrbracket \implies P \ (\text{fix} \cdot F)$   
 $\langle \text{proof} \rangle$

**lemma** *def-fix-ind*:  
 $\llbracket f \equiv \text{fix} \cdot F; \text{adm } P; P \perp; \bigwedge x. P \ x \implies P \ (F \cdot x) \rrbracket \implies P \ f$   
 $\langle \text{proof} \rangle$

**lemma** *fix-ind2*:  
**assumes** *adm*:  $\text{adm } P$   
**assumes** *0*:  $P \perp$  **and** *1*:  $P \ (F \cdot \perp)$   
**assumes** *step*:  $\bigwedge x. \llbracket P \ x; P \ (F \cdot x) \rrbracket \implies P \ (F \cdot (F \cdot x))$   
**shows**  $P \ (\text{fix} \cdot F)$   
 $\langle \text{proof} \rangle$

**lemma** *parallel-fix-ind*:  
**assumes** *adm*:  $\text{adm } (\lambda x. P \ (\text{fst } x) \ (\text{snd } x))$   
**assumes** *base*:  $P \perp \perp$   
**assumes** *step*:  $\bigwedge x \ y. P \ x \ y \implies P \ (F \cdot x) \ (G \cdot y)$   
**shows**  $P \ (\text{fix} \cdot F) \ (\text{fix} \cdot G)$   
 $\langle \text{proof} \rangle$

## 19.4 Fixed-points on product types

Bekic’s Theorem: Simultaneous fixed points over pairs can be written in terms of separate fixed points.

**lemma** *fix-cprod*:

$$\begin{aligned} & \text{fix} \cdot (F :: 'a \times 'b \rightarrow 'a \times 'b) = \\ & (\mu x. \text{fst} (F \cdot (x, \mu y. \text{snd} (F \cdot (x, y)))), \\ & \quad \mu y. \text{snd} (F \cdot (\mu x. \text{fst} (F \cdot (x, \mu y. \text{snd} (F \cdot (x, y)))), y))) \\ & (\text{is } \text{fix} \cdot F = (?x, ?y)) \\ & \langle \text{proof} \rangle \end{aligned}$$

**end**

## 20 Fixrec: Package for defining recursive functions in HOLCF

**theory** *Fixrec*

**imports** *Cprod Sprod Ssum Up One Tr Fix*

**uses**

(*Tools/holcf-library.ML*)

(*Tools/fixrec.ML*)

**begin**

### 20.1 Pattern-match monad

**default-sort** *cpo*

**pcpodef** (**open**) *'a match* = *UNIV::(one ++ 'a u) set*  
 $\langle \text{proof} \rangle$

**definition**

*fail* :: *'a match* **where**  
*fail* = *Abs-match (sinl·ONE)*

**definition**

*succeed* :: *'a* → *'a match* **where**  
*succeed* = ( $\Lambda x. \text{Abs-match} (\text{sinr} \cdot (\text{up} \cdot x))$ )

**definition**

*match-case* :: *'b* → (*'a* → *'b*) → *'a match* → *'b::pcpo* **where**  
*match-case* = ( $\Lambda f r m. \text{sscase} \cdot (\Lambda x. f) \cdot (\text{fup} \cdot r) \cdot (\text{Rep-match } m)$ )

**lemma** *matchE* [*case-names bottom fail succeed, cases type: match*]:

$\llbracket p = \perp \implies Q; p = \text{fail} \implies Q; \bigwedge x. p = \text{succeed} \cdot x \implies Q \rrbracket \implies Q$   
 $\langle \text{proof} \rangle$

**lemma** *succeed-defined* [*simp*]: *succeed* · *x* ≠  $\perp$

$\langle \text{proof} \rangle$

**lemma** *fail-defined* [simp]:  $\text{fail} \neq \perp$   
 $\langle \text{proof} \rangle$

**lemma** *succeed-eq* [simp]:  $(\text{succeed} \cdot x = \text{succeed} \cdot y) = (x = y)$   
 $\langle \text{proof} \rangle$

**lemma** *succeed-neq-fail* [simp]:  
 $\text{succeed} \cdot x \neq \text{fail}$   $\text{fail} \neq \text{succeed} \cdot x$   
 $\langle \text{proof} \rangle$

**lemma** *match-case-simps* [simp]:  
 $\text{match-case} \cdot f \cdot r \cdot \perp = \perp$   
 $\text{match-case} \cdot f \cdot r \cdot \text{fail} = f$   
 $\text{match-case} \cdot f \cdot r \cdot (\text{succeed} \cdot x) = r \cdot x$   
 $\langle \text{proof} \rangle$

#### translations

$\text{case } m \text{ of } XCONST \text{ fail} \Rightarrow t1 \mid XCONST \text{ succeed} \cdot x \Rightarrow t2$   
 $== \text{CONST } \text{match-case} \cdot t1 \cdot (\Lambda x. t2) \cdot m$

### 20.1.1 Run operator

#### definition

$\text{run} :: 'a \text{ match} \rightarrow 'a::\text{pcpo} \text{ where}$   
 $\text{run} = \text{match-case} \cdot \perp \cdot ID$

rewrite rules for run

**lemma** *run-strict* [simp]:  $\text{run} \cdot \perp = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *run-fail* [simp]:  $\text{run} \cdot \text{fail} = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *run-succeed* [simp]:  $\text{run} \cdot (\text{succeed} \cdot x) = x$   
 $\langle \text{proof} \rangle$

### 20.1.2 Monad plus operator

#### definition

$\text{mplus} :: 'a \text{ match} \rightarrow 'a \text{ match} \rightarrow 'a \text{ match} \text{ where}$   
 $\text{mplus} = (\Lambda m1 \ m2. \text{case } m1 \text{ of fail} \Rightarrow m2 \mid \text{succeed} \cdot x \Rightarrow m1)$

#### abbreviation

$\text{mplus-syn} :: ['a \text{ match}, 'a \text{ match}] \Rightarrow 'a \text{ match} \text{ (infixr } +++ \text{ 65) where}$   
 $m1 \text{ } +++ \text{ } m2 == \text{mplus} \cdot m1 \cdot m2$

rewrite rules for mplus

**lemma** *mplus-strict* [*simp*]:  $\perp \text{+++ } m = \perp$   
 $\langle \text{proof} \rangle$

**lemma** *mplus-fail* [*simp*]:  $\text{fail} \text{+++ } m = m$   
 $\langle \text{proof} \rangle$

**lemma** *mplus-succeed* [*simp*]:  $\text{succeed} \cdot x \text{+++ } m = \text{succeed} \cdot x$   
 $\langle \text{proof} \rangle$

**lemma** *mplus-fail2* [*simp*]:  $m \text{+++ } \text{fail} = m$   
 $\langle \text{proof} \rangle$

**lemma** *mplus-assoc*:  $(x \text{+++ } y) \text{+++ } z = x \text{+++ } (y \text{+++ } z)$   
 $\langle \text{proof} \rangle$

## 20.2 Match functions for built-in types

**default-sort** *pcpo*

**definition**

$\text{match-UU} :: 'a \rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$

**where**

$\text{match-UU} = \text{strictify} \cdot (\Lambda x k. \text{fail})$

**definition**

$\text{match-cpair} :: 'a::\text{cpo} \times 'b::\text{cpo} \rightarrow ('a \rightarrow 'b \rightarrow 'c \text{ match}) \rightarrow 'c \text{ match}$

**where**

$\text{match-cpair} = (\Lambda x k. \text{csplit} \cdot k \cdot x)$

**definition**

$\text{match-spair} :: 'a \otimes 'b \rightarrow ('a \rightarrow 'b \rightarrow 'c \text{ match}) \rightarrow 'c \text{ match}$

**where**

$\text{match-spair} = (\Lambda x k. \text{ssplit} \cdot k \cdot x)$

**definition**

$\text{match-sinl} :: 'a \oplus 'b \rightarrow ('a \rightarrow 'c \text{ match}) \rightarrow 'c \text{ match}$

**where**

$\text{match-sinl} = (\Lambda x k. \text{sscase} \cdot k \cdot (\Lambda b. \text{fail}) \cdot x)$

**definition**

$\text{match-sinr} :: 'a \oplus 'b \rightarrow ('b \rightarrow 'c \text{ match}) \rightarrow 'c \text{ match}$

**where**

$\text{match-sinr} = (\Lambda x k. \text{sscase} \cdot (\Lambda a. \text{fail}) \cdot k \cdot x)$

**definition**

$\text{match-up} :: 'a::\text{cpo} \rightarrow u \rightarrow ('a \rightarrow 'c \text{ match}) \rightarrow 'c \text{ match}$

**where**

$\text{match-up} = (\Lambda x k. \text{fup} \cdot k \cdot x)$

**definition**

$$\text{match-ONE} :: \text{one} \rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$$
**where**

$$\text{match-ONE} = (\Lambda \text{ ONE } k. k)$$
**definition**

$$\text{match-TT} :: \text{tr} \rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$$
**where**

$$\text{match-TT} = (\Lambda x k. \text{If } x \text{ then } k \text{ else fail fi})$$
**definition**

$$\text{match-FF} :: \text{tr} \rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$$
**where**

$$\text{match-FF} = (\Lambda x k. \text{If } x \text{ then fail else } k \text{ fi})$$
**lemma** *match-UU-simps* [simp]:
$$\text{match-UU} \cdot \perp \cdot k = \perp$$

$$x \neq \perp \implies \text{match-UU} \cdot x \cdot k = \text{fail}$$

$$\langle \text{proof} \rangle$$
**lemma** *match-cpair-simps* [simp]:
$$\text{match-cpair} \cdot (x, y) \cdot k = k \cdot x \cdot y$$

$$\langle \text{proof} \rangle$$
**lemma** *match-spair-simps* [simp]:
$$\llbracket x \neq \perp; y \neq \perp \rrbracket \implies \text{match-spair} \cdot (:x, y:) \cdot k = k \cdot x \cdot y$$

$$\text{match-spair} \cdot \perp \cdot k = \perp$$

$$\langle \text{proof} \rangle$$
**lemma** *match-sinl-simps* [simp]:
$$x \neq \perp \implies \text{match-sinl} \cdot (\text{sinl} \cdot x) \cdot k = k \cdot x$$

$$y \neq \perp \implies \text{match-sinl} \cdot (\text{sinr} \cdot y) \cdot k = \text{fail}$$

$$\text{match-sinl} \cdot \perp \cdot k = \perp$$

$$\langle \text{proof} \rangle$$
**lemma** *match-sinr-simps* [simp]:
$$x \neq \perp \implies \text{match-sinr} \cdot (\text{sinl} \cdot x) \cdot k = \text{fail}$$

$$y \neq \perp \implies \text{match-sinr} \cdot (\text{sinr} \cdot y) \cdot k = k \cdot y$$

$$\text{match-sinr} \cdot \perp \cdot k = \perp$$

$$\langle \text{proof} \rangle$$
**lemma** *match-up-simps* [simp]:
$$\text{match-up} \cdot (\text{up} \cdot x) \cdot k = k \cdot x$$

$$\text{match-up} \cdot \perp \cdot k = \perp$$

$$\langle \text{proof} \rangle$$
**lemma** *match-ONE-simps* [simp]:
$$\text{match-ONE} \cdot \text{ONE} \cdot k = k$$

$$\text{match-ONE} \cdot \perp \cdot k = \perp$$

$\langle proof \rangle$

**lemma** *match-TT-simps* [simp]:

$match\text{-}TT.TT.k = k$

$match\text{-}TT.FF.k = fail$

$match\text{-}TT.\perp.k = \perp$

$\langle proof \rangle$

**lemma** *match-FF-simps* [simp]:

$match\text{-}FF.FF.k = k$

$match\text{-}FF.TT.k = fail$

$match\text{-}FF.\perp.k = \perp$

$\langle proof \rangle$

### 20.3 Mutual recursion

The following rules are used to prove unfolding theorems from fixed-point definitions of mutually recursive functions.

**lemma** *Pair-equalI*:  $\llbracket x \equiv fst\ p; y \equiv snd\ p \rrbracket \Longrightarrow (x, y) \equiv p$

$\langle proof \rangle$

**lemma** *Pair-eqD1*:  $(x, y) = (x', y') \Longrightarrow x = x'$

$\langle proof \rangle$

**lemma** *Pair-eqD2*:  $(x, y) = (x', y') \Longrightarrow y = y'$

$\langle proof \rangle$

**lemma** *def-cont-fix-eq*:

$\llbracket f \equiv fix.(Abs\text{-}CFun\ F); cont\ F \rrbracket \Longrightarrow f = F\ f$

$\langle proof \rangle$

**lemma** *def-cont-fix-ind*:

$\llbracket f \equiv fix.(Abs\text{-}CFun\ F); cont\ F; adm\ P; P\ \perp; \bigwedge x. P\ x \Longrightarrow P\ (F\ x) \rrbracket \Longrightarrow P\ f$

$\langle proof \rangle$

lemma for proving rewrite rules

**lemma** *ssubst-lhs*:  $\llbracket t = s; P\ s = Q \rrbracket \Longrightarrow P\ t = Q$

$\langle proof \rangle$

### 20.4 Initializing the fixrec package

$\langle ML \rangle$

**hide-const** (**open**) *succeed fail run*

**end**

## 21 Completion: Defining bifinite domains by ideal completion

**theory** *Completion*  
**imports** *Bifinite*  
**begin**

### 21.1 Ideals over a preorder

**locale** *preorder* =  
**fixes**  $r :: 'a::type \Rightarrow 'a \Rightarrow bool$  (**infix**  $\preceq$  50)  
**assumes**  $r\text{-refl}$ :  $x \preceq x$   
**assumes**  $r\text{-trans}$ :  $\llbracket x \preceq y; y \preceq z \rrbracket \Longrightarrow x \preceq z$   
**begin**

#### **definition**

$ideal :: 'a \text{ set} \Rightarrow bool$  **where**  
 $ideal\ A = ((\exists x. x \in A) \wedge (\forall x \in A. \forall y \in A. \exists z \in A. x \preceq z \wedge y \preceq z) \wedge$   
 $(\forall x\ y. x \preceq y \longrightarrow y \in A \longrightarrow x \in A))$

#### **lemma** *idealI*:

**assumes**  $\exists x. x \in A$   
**assumes**  $\bigwedge x\ y. \llbracket x \in A; y \in A \rrbracket \Longrightarrow \exists z \in A. x \preceq z \wedge y \preceq z$   
**assumes**  $\bigwedge x\ y. \llbracket x \preceq y; y \in A \rrbracket \Longrightarrow x \in A$   
**shows**  $ideal\ A$

*<proof>*

#### **lemma** *idealD1*:

$ideal\ A \Longrightarrow \exists x. x \in A$

*<proof>*

#### **lemma** *idealD2*:

$\llbracket ideal\ A; x \in A; y \in A \rrbracket \Longrightarrow \exists z \in A. x \preceq z \wedge y \preceq z$

*<proof>*

#### **lemma** *idealD3*:

$\llbracket ideal\ A; x \preceq y; y \in A \rrbracket \Longrightarrow x \in A$

*<proof>*

#### **lemma** *ideal-directed-finite*:

**assumes**  $A: ideal\ A$

**shows**  $\llbracket finite\ U; U \subseteq A \rrbracket \Longrightarrow \exists z \in A. \forall x \in U. x \preceq z$

*<proof>*

#### **lemma** *ideal-principal*: $ideal\ \{x. x \preceq z\}$

*<proof>*

#### **lemma** *ex-ideal*: $\exists A. ideal\ A$

*<proof>*

**lemma** *directed-image-ideal*:

**assumes**  $A$ : *ideal*  $A$

**assumes**  $f$ :  $\bigwedge x y. x \preceq y \implies f x \sqsubseteq f y$

**shows** *directed*  $(f \cdot A)$

$\langle proof \rangle$

**lemma** *lub-image-principal*:

**assumes**  $f$ :  $\bigwedge x y. x \preceq y \implies f x \sqsubseteq f y$

**shows**  $(\bigsqcup x \in \{x. x \preceq y\}. f x) = f y$

$\langle proof \rangle$

The set of ideals is a cpo

**lemma** *ideal-UN*:

**fixes**  $A :: nat \Rightarrow 'a \text{ set}$

**assumes** *ideal-A*:  $\bigwedge i. \text{ideal } (A i)$

**assumes** *chain-A*:  $\bigwedge i j. i \leq j \implies A i \subseteq A j$

**shows** *ideal*  $(\bigcup i. A i)$

$\langle proof \rangle$

**lemma** *typedef-ideal-po*:

**fixes**  $Abs :: 'a \text{ set} \Rightarrow 'b :: \text{below}$

**assumes** *type*: *type-definition*  $Rep \ Abs \ \{S. \text{ideal } S\}$

**assumes** *below*:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow Rep \ x \subseteq Rep \ y$

**shows** *OFCLASS*  $('b, \text{po-class})$

$\langle proof \rangle$

**lemma**

**fixes**  $Abs :: 'a \text{ set} \Rightarrow 'b :: \text{po}$

**assumes** *type*: *type-definition*  $Rep \ Abs \ \{S. \text{ideal } S\}$

**assumes** *below*:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow Rep \ x \subseteq Rep \ y$

**assumes**  $S$ : *chain*  $S$

**shows** *typedef-ideal-lub*:  $\text{range } S <<| Abs \ (\bigcup i. Rep \ (S i))$

**and** *typedef-ideal-rep-contrlub*:  $Rep \ (\bigsqcup i. S i) = (\bigcup i. Rep \ (S i))$

$\langle proof \rangle$

**lemma** *typedef-ideal-cpo*:

**fixes**  $Abs :: 'a \text{ set} \Rightarrow 'b :: \text{po}$

**assumes** *type*: *type-definition*  $Rep \ Abs \ \{S. \text{ideal } S\}$

**assumes** *below*:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow Rep \ x \subseteq Rep \ y$

**shows** *OFCLASS*  $('b, \text{cpo-class})$

$\langle proof \rangle$

**end**

**interpretation** *below*: *preorder below*  $:: 'a :: \text{po} \Rightarrow 'a \Rightarrow \text{bool}$

$\langle proof \rangle$



## 21.2 Lemmas about least upper bounds

**lemma** *finite-directed-contains-lub*:

$\llbracket \text{finite } S; \text{ directed } S \rrbracket \implies \exists u \in S. S <<| u$   
 $\langle \text{proof} \rangle$

**lemma** *lub-finite-directed-in-self*:

$\llbracket \text{finite } S; \text{ directed } S \rrbracket \implies \text{lub } S \in S$   
 $\langle \text{proof} \rangle$

**lemma** *finite-directed-has-lub*:  $\llbracket \text{finite } S; \text{ directed } S \rrbracket \implies \exists u. S <<| u$

$\langle \text{proof} \rangle$

**lemma** *is-lub-the-lub0*:  $\llbracket \exists u. S <<| u; x \in S \rrbracket \implies x \sqsubseteq \text{lub } S$

$\langle \text{proof} \rangle$

**lemma** *is-lub-the-lub0*:  $\llbracket \exists u. S <<| u; S <| x \rrbracket \implies \text{lub } S \sqsubseteq x$

$\langle \text{proof} \rangle$

## 21.3 Locale for ideal completion

**locale** *basis-take* = *preorder* +

**fixes** *take* :: *nat*  $\Rightarrow$  '*a*::*type*  $\Rightarrow$  '*a*

**assumes** *take-less*: *take* *n* *a*  $\preceq$  *a*

**assumes** *take-take*: *take* *n* (*take* *n* *a*) = *take* *n* *a*

**assumes** *take-mono*: *a*  $\preceq$  *b*  $\implies$  *take* *n* *a*  $\preceq$  *take* *n* *b*

**assumes** *take-chain*: *take* *n* *a*  $\preceq$  *take* (*Suc* *n*) *a*

**assumes** *finite-range-take*: *finite* (*range* (*take* *n*))

**assumes** *take-covers*:  $\exists n. \text{take } n \text{ } a = a$

**begin**

**lemma** *take-chain-less*: *m* < *n*  $\implies$  *take* *m* *a*  $\preceq$  *take* *n* *a*

$\langle \text{proof} \rangle$

**lemma** *take-chain-le*: *m*  $\leq$  *n*  $\implies$  *take* *m* *a*  $\preceq$  *take* *n* *a*

$\langle \text{proof} \rangle$

**end**

**locale** *ideal-completion* = *basis-take* +

**fixes** *principal* :: '*a*::*type*  $\Rightarrow$  '*b*::*cpo*

**fixes** *rep* :: '*b*::*cpo*  $\Rightarrow$  '*a*::*type* *set*

**assumes** *ideal-rep*:  $\bigwedge x. \text{preorder.ideal } r \text{ (rep } x)$

**assumes** *rep-contrub*:  $\bigwedge Y. \text{chain } Y \implies \text{rep } (\bigsqcup i. Y \ i) = (\bigcup i. \text{rep } (Y \ i))$

**assumes** *rep-principal*:  $\bigwedge a. \text{rep } (\text{principal } a) = \{b. b \preceq a\}$

**assumes** *subset-repD*:  $\bigwedge x \ y. \text{rep } x \subseteq \text{rep } y \implies x \sqsubseteq y$

**begin**

**lemma** *finite-take-rep*: *finite* (*take* *n* '*rep* *x*)

$\langle \text{proof} \rangle$

**lemma** *rep-mono*:  $x \sqsubseteq y \implies \text{rep } x \subseteq \text{rep } y$   
 $\langle \text{proof} \rangle$

**lemma** *below-def*:  $x \sqsubseteq y \iff \text{rep } x \subseteq \text{rep } y$   
 $\langle \text{proof} \rangle$

**lemma** *rep-eq*:  $\text{rep } x = \{a. \text{principal } a \sqsubseteq x\}$   
 $\langle \text{proof} \rangle$

**lemma** *mem-rep-iff-principal-below*:  $a \in \text{rep } x \iff \text{principal } a \sqsubseteq x$   
 $\langle \text{proof} \rangle$

**lemma** *principal-below-iff-mem-rep*:  $\text{principal } a \sqsubseteq x \iff a \in \text{rep } x$   
 $\langle \text{proof} \rangle$

**lemma** *principal-below-iff [simp]*:  $\text{principal } a \sqsubseteq \text{principal } b \iff a \preceq b$   
 $\langle \text{proof} \rangle$

**lemma** *principal-eq-iff*:  $\text{principal } a = \text{principal } b \iff a \preceq b \wedge b \preceq a$   
 $\langle \text{proof} \rangle$

**lemma** *repD*:  $a \in \text{rep } x \implies \text{principal } a \sqsubseteq x$   
 $\langle \text{proof} \rangle$

**lemma** *principal-mono*:  $a \preceq b \implies \text{principal } a \sqsubseteq \text{principal } b$   
 $\langle \text{proof} \rangle$

**lemma** *belowI*:  $(\bigwedge a. \text{principal } a \sqsubseteq x \implies \text{principal } a \sqsubseteq u) \implies x \sqsubseteq u$   
 $\langle \text{proof} \rangle$

**lemma** *lub-principal-rep*:  $\text{principal } \text{'rep } x <<| x$   
 $\langle \text{proof} \rangle$

## 21.4 Defining functions in terms of basis elements

**definition**

*basis-fun* ::  $('a::\text{type} \Rightarrow 'c::\text{cpo}) \Rightarrow 'b \rightarrow 'c$  **where**  
*basis-fun* =  $(\lambda f. (\Lambda x. \text{lub } (f \text{' rep } x)))$

**lemma** *basis-fun-lemma0*:  
**fixes**  $f :: 'a::\text{type} \Rightarrow 'c::\text{cpo}$   
**assumes**  $f\text{-mono}$ :  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$   
**shows**  $\exists u. f \text{' take } i \text{' rep } x <<| u$   
 $\langle \text{proof} \rangle$

**lemma** *basis-fun-lemma1*:  
**fixes**  $f :: 'a::\text{type} \Rightarrow 'c::\text{cpo}$   
**assumes**  $f\text{-mono}$ :  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$

**shows** *chain* ( $\lambda i. \text{lub } (f \text{ ‘ take } i \text{ ‘ rep } x)$ )  
 $\langle \text{proof} \rangle$

**lemma** *basis-fun-lemma2*:  
**fixes**  $f :: 'a::\text{type} \Rightarrow 'c::\text{cpo}$   
**assumes**  $f\text{-mono}: \bigwedge a \ b. a \preceq b \implies f \ a \sqsubseteq f \ b$   
**shows**  $f \text{ ‘ rep } x <<| (\bigsqcup i. \text{lub } (f \text{ ‘ take } i \text{ ‘ rep } x))$   
 $\langle \text{proof} \rangle$

**lemma** *basis-fun-lemma*:  
**fixes**  $f :: 'a::\text{type} \Rightarrow 'c::\text{cpo}$   
**assumes**  $f\text{-mono}: \bigwedge a \ b. a \preceq b \implies f \ a \sqsubseteq f \ b$   
**shows**  $\exists u. f \text{ ‘ rep } x <<| u$   
 $\langle \text{proof} \rangle$

**lemma** *basis-fun-beta*:  
**fixes**  $f :: 'a::\text{type} \Rightarrow 'c::\text{cpo}$   
**assumes**  $f\text{-mono}: \bigwedge a \ b. a \preceq b \implies f \ a \sqsubseteq f \ b$   
**shows**  $\text{basis-fun } f.x = \text{lub } (f \text{ ‘ rep } x)$   
 $\langle \text{proof} \rangle$

**lemma** *basis-fun-principal*:  
**fixes**  $f :: 'a::\text{type} \Rightarrow 'c::\text{cpo}$   
**assumes**  $f\text{-mono}: \bigwedge a \ b. a \preceq b \implies f \ a \sqsubseteq f \ b$   
**shows**  $\text{basis-fun } f.(\text{principal } a) = f \ a$   
 $\langle \text{proof} \rangle$

**lemma** *basis-fun-mono*:  
**assumes**  $f\text{-mono}: \bigwedge a \ b. a \preceq b \implies f \ a \sqsubseteq f \ b$   
**assumes**  $g\text{-mono}: \bigwedge a \ b. a \preceq b \implies g \ a \sqsubseteq g \ b$   
**assumes** *below*:  $\bigwedge a. f \ a \sqsubseteq g \ a$   
**shows**  $\text{basis-fun } f \sqsubseteq \text{basis-fun } g$   
 $\langle \text{proof} \rangle$

**lemma** *compact-principal* [*simp*]:  $\text{compact } (\text{principal } a)$   
 $\langle \text{proof} \rangle$

## 21.5 Bifiniteness of ideal completions

### definition

$\text{completion-approx} :: \text{nat} \Rightarrow 'b \rightarrow 'b$  **where**  
 $\text{completion-approx} = (\lambda i. \text{basis-fun } (\lambda a. \text{principal } (\text{take } i \ a)))$

**lemma** *completion-approx-beta*:  
 $\text{completion-approx } i.x = (\bigsqcup a \in \text{rep } x. \text{principal } (\text{take } i \ a))$   
 $\langle \text{proof} \rangle$

**lemma** *completion-approx-principal*:  
 $\text{completion-approx } i.(\text{principal } a) = \text{principal } (\text{take } i \ a)$

*<proof>*

**lemma** *chain-completion-approx*: *chain completion-approx*  
*<proof>*

**lemma** *lub-completion-approx*:  $(\bigsqcup i. \text{completion-approx } i.x) = x$   
*<proof>*

**lemma** *completion-approx-eq-principal*:  
 $\exists a \in \text{rep } x. \text{completion-approx } i.x = \text{principal } (\text{take } i \ a)$   
*<proof>*

**lemma** *completion-approx-idem*:  
 $\text{completion-approx } i.(\text{completion-approx } i.x) = \text{completion-approx } i.x$   
*<proof>*

**lemma** *finite-fixes-completion-approx*:  
 $\text{finite } \{x. \text{completion-approx } i.x = x\} \text{ (is finite ?S)}$   
*<proof>*

**lemma** *principal-induct*:  
**assumes** *adm*: *adm P*  
**assumes** *P*:  $\bigwedge a. P \ (\text{principal } a)$   
**shows** *P x*  
*<proof>*

**lemma** *principal-induct2*:  
 $\llbracket \bigwedge y. \text{adm } (\lambda x. P \ x \ y); \bigwedge x. \text{adm } (\lambda y. P \ x \ y);$   
 $\bigwedge a \ b. P \ (\text{principal } a) \ (\text{principal } b) \rrbracket \implies P \ x \ y$   
*<proof>*

**lemma** *compact-imp-principal*:  $\text{compact } x \implies \exists a. x = \text{principal } a$   
*<proof>*

**end**

**end**

## 22 Eventual: Eventually-constant sequences

**theory** *Eventual*  
**imports** *Infinite-Set*  
**begin**

### 22.1 Lemmas about MOST

**lemma** *MOST-INFM*:  
**assumes** *inf*: *infinite (UNIV::'a set)*

**shows**  $MOST\ x::'a. P\ x \implies INFM\ x::'a. P\ x$   
 $\langle proof \rangle$

**lemma** *MOST-SucI*:  $MOST\ n. P\ n \implies MOST\ n. P\ (Suc\ n)$   
 $\langle proof \rangle$

**lemma** *MOST-SucD*:  $MOST\ n. P\ (Suc\ n) \implies MOST\ n. P\ n$   
 $\langle proof \rangle$

**lemma** *MOST-Suc-iff*:  $(MOST\ n. P\ (Suc\ n)) \longleftrightarrow (MOST\ n. P\ n)$   
 $\langle proof \rangle$

**lemma** *INFM-finite-Bex-distrib*:  
 $finite\ A \implies (INFM\ y. \exists x \in A. P\ x\ y) \longleftrightarrow (\exists x \in A. INFM\ y. P\ x\ y)$   
 $\langle proof \rangle$

**lemma** *MOST-finite-Ball-distrib*:  
 $finite\ A \implies (MOST\ y. \forall x \in A. P\ x\ y) \longleftrightarrow (\forall x \in A. MOST\ y. P\ x\ y)$   
 $\langle proof \rangle$

**lemma** *MOST-ge-nat*:  $MOST\ n::nat. m \leq n$   
 $\langle proof \rangle$

## 22.2 Eventually constant sequences

**definition**  
 $eventually\text{-}constant :: (nat \Rightarrow 'a) \Rightarrow bool$

**where**  
 $eventually\text{-}constant\ S = (\exists x. MOST\ i. S\ i = x)$

**lemma** *eventually-constant-MOST-MOST*:  
 $eventually\text{-}constant\ S \longleftrightarrow (MOST\ m. MOST\ n. S\ n = S\ m)$   
 $\langle proof \rangle$

**lemma** *eventually-constantI*:  $MOST\ i. S\ i = x \implies eventually\text{-}constant\ S$   
 $\langle proof \rangle$

**lemma** *eventually-constant-comp*:  
 $eventually\text{-}constant\ (\lambda i. S\ i) \implies eventually\text{-}constant\ (\lambda i. f\ (S\ i))$   
 $\langle proof \rangle$

**lemma** *eventually-constant-Suc-iff*:  
 $eventually\text{-}constant\ (\lambda i. S\ (Suc\ i)) \longleftrightarrow eventually\text{-}constant\ (\lambda i. S\ i)$   
 $\langle proof \rangle$

**lemma** *eventually-constant-SucD*:  
 $eventually\text{-}constant\ (\lambda i. S\ (Suc\ i)) \implies eventually\text{-}constant\ (\lambda i. S\ i)$   
 $\langle proof \rangle$

### 22.3 Limits of eventually constant sequences

**definition**

$eventual :: (nat \Rightarrow 'a) \Rightarrow 'a$  **where**  
 $eventual\ S = (THE\ x.\ MOST\ i.\ S\ i = x)$

**lemma** *eventual-eqI*:  $MOST\ i.\ S\ i = x \implies eventual\ S = x$   
 $\langle proof \rangle$

**lemma** *MOST-eq-eventual*:

$eventually\ constant\ S \implies MOST\ i.\ S\ i = eventual\ S$   
 $\langle proof \rangle$

**lemma** *eventual-mem-range*:

$eventually\ constant\ S \implies eventual\ S \in range\ S$   
 $\langle proof \rangle$

**lemma** *eventually-constant-MOST-iff*:

**assumes**  $S$ :  $eventually\ constant\ S$   
**shows**  $(MOST\ n.\ P\ (S\ n)) \longleftrightarrow P\ (eventual\ S)$   
 $\langle proof \rangle$

**lemma** *MOST-eventual*:

$\llbracket eventually\ constant\ S; MOST\ n.\ P\ (S\ n) \rrbracket \implies P\ (eventual\ S)$   
 $\langle proof \rangle$

**lemma** *eventually-constant-MOST-Suc-eq*:

$eventually\ constant\ S \implies MOST\ n.\ S\ (Suc\ n) = S\ n$   
 $\langle proof \rangle$

**lemma** *eventual-comp*:

$eventually\ constant\ S \implies eventual\ (\lambda i.\ f\ (S\ i)) = f\ (eventual\ (\lambda i.\ S\ i))$   
 $\langle proof \rangle$

**end**

## 23 Algebraic: Algebraic deflations

**theory** *Algebraic*

**imports** *Completion Fix Eventual*

**begin**

### 23.1 Constructing finite deflations by iteration

**lemma** *finite-deflation-imp-deflation*:

$finite\ deflation\ d \implies deflation\ d$   
 $\langle proof \rangle$

**lemma** *le-Suc-induct*:  
 assumes *le*:  $i \leq j$   
 assumes *step*:  $\bigwedge i. P\ i\ (Suc\ i)$   
 assumes *refl*:  $\bigwedge i. P\ i\ i$   
 assumes *trans*:  $\bigwedge i\ j\ k. \llbracket P\ i\ j; P\ j\ k \rrbracket \implies P\ i\ k$   
 shows  $P\ i\ j$   
 $\langle proof \rangle$

**definition**  
*eventual-iterate* ::  $('a \rightarrow 'a::cpo) \Rightarrow ('a \rightarrow 'a)$   
**where**  
*eventual-iterate*  $f = eventual\ (\lambda n. iterate\ n\ f)$

A pre-deflation is like a deflation, but not idempotent.

**locale** *pre-deflation* =  
 fixes  $f :: 'a \rightarrow 'a::cpo$   
 assumes *below*:  $\bigwedge x. f\cdot x \sqsubseteq x$   
 assumes *finite-range*:  $finite\ (range\ (\lambda x. f\cdot x))$   
**begin**

**lemma** *iterate-below*:  $iterate\ i\ f\cdot x \sqsubseteq x$   
 $\langle proof \rangle$

**lemma** *iterate-fixed*:  $f\cdot x = x \implies iterate\ i\ f\cdot x = x$   
 $\langle proof \rangle$

**lemma** *antichain-iterate-app*:  $i \leq j \implies iterate\ j\ f\cdot x \sqsubseteq iterate\ i\ f\cdot x$   
 $\langle proof \rangle$

**lemma** *finite-range-iterate-app*:  $finite\ (range\ (\lambda i. iterate\ i\ f\cdot x))$   
 $\langle proof \rangle$

**lemma** *eventually-constant-iterate-app*:  
*eventually-constant*  $(\lambda i. iterate\ i\ f\cdot x)$   
 $\langle proof \rangle$

**lemma** *eventually-constant-iterate*:  
*eventually-constant*  $(\lambda n. iterate\ n\ f)$   
 $\langle proof \rangle$

**abbreviation**  
 $d :: 'a \rightarrow 'a$   
**where**  
 $d \equiv eventual-iterate\ f$

**lemma** *MOST-d*:  $MOST\ n. P\ (iterate\ n\ f) \implies P\ d$   
 $\langle proof \rangle$

**lemma** *f-d*:  $f\cdot(d\cdot x) = d\cdot x$

*<proof>*

**lemma** *d-fixed-iff*:  $d \cdot x = x \longleftrightarrow f \cdot x = x$

*<proof>*

**lemma** *finite-deflation-d*: *finite-deflation*  $d$

*<proof>*

**lemma** *deflation-d*: *deflation*  $d$

*<proof>*

**end**

**lemma** *finite-deflation-eventual-iterate*:

*pre-deflation*  $d \implies \text{finite-deflation } (\text{eventual-iterate } d)$

*<proof>*

**lemma** *pre-deflation-oo*:

**assumes** *finite-deflation*  $d$

**assumes**  $f: \bigwedge x. f \cdot x \sqsubseteq x$

**shows** *pre-deflation*  $(d \text{ oo } f)$

*<proof>*

**lemma** *eventual-iterate-oo-fixed-iff*:

**assumes** *finite-deflation*  $d$

**assumes**  $f: \bigwedge x. f \cdot x \sqsubseteq x$

**shows** *eventual-iterate*  $(d \text{ oo } f) \cdot x = x \longleftrightarrow d \cdot x = x \wedge f \cdot x = x$

*<proof>*

**lemma** *eventual-mono*:

**assumes**  $A$ : *eventually-constant*  $A$

**assumes**  $B$ : *eventually-constant*  $B$

**assumes** *below*:  $\bigwedge n. A \ n \sqsubseteq B \ n$

**shows** *eventual*  $A \sqsubseteq \text{eventual } B$

*<proof>*

**lemma** *eventual-iterate-mono*:

**assumes**  $f$ : *pre-deflation*  $f$  **and**  $g$ : *pre-deflation*  $g$  **and**  $f \sqsubseteq g$

**shows** *eventual-iterate*  $f \sqsubseteq \text{eventual-iterate } g$

*<proof>*

**lemma** *cont2cont-eventual-iterate-oo*:

**assumes**  $d$ : *finite-deflation*  $d$

**assumes** *cont*: *cont*  $f$  **and** *below*:  $\bigwedge x \ y. f \ x \cdot y \sqsubseteq y$

**shows** *cont*  $(\lambda x. \text{eventual-iterate } (d \text{ oo } f) \ x)$

(**is** *cont* ?e)

*<proof>*



## 23.2 Type constructor for finite deflations

default-sort *profinite*

**typedef** (open) 'a *fin-defl* = {d::'a → 'a. *finite-deflation* d}  
 ⟨*proof*⟩

**instantiation** *fin-defl* :: (*profinite*) below  
**begin**

**definition** *below-fin-defl-def*:  
 $op \sqsubseteq \equiv \lambda x y. \text{Rep-fin-defl } x \sqsubseteq \text{Rep-fin-defl } y$

**instance** ⟨*proof*⟩  
**end**

**instance** *fin-defl* :: (*profinite*) po  
 ⟨*proof*⟩

**lemma** *finite-deflation-Rep-fin-defl*: *finite-deflation* (*Rep-fin-defl* d)  
 ⟨*proof*⟩

**lemma** *deflation-Rep-fin-defl*: *deflation* (*Rep-fin-defl* d)  
 ⟨*proof*⟩

**interpretation** *Rep-fin-defl*: *finite-deflation* *Rep-fin-defl* d  
 ⟨*proof*⟩

**lemma** *fin-defl-belowI*:  
 $(\bigwedge x. \text{Rep-fin-defl } a \cdot x = x \implies \text{Rep-fin-defl } b \cdot x = x) \implies a \sqsubseteq b$   
 ⟨*proof*⟩

**lemma** *fin-defl-belowD*:  
 $\llbracket a \sqsubseteq b; \text{Rep-fin-defl } a \cdot x = x \rrbracket \implies \text{Rep-fin-defl } b \cdot x = x$   
 ⟨*proof*⟩

**lemma** *fin-defl-eqI*:  
 $(\bigwedge x. \text{Rep-fin-defl } a \cdot x = x \longleftrightarrow \text{Rep-fin-defl } b \cdot x = x) \implies a = b$   
 ⟨*proof*⟩

**lemma** *Abs-fin-defl-mono*:  
 $\llbracket \text{finite-deflation } a; \text{finite-deflation } b; a \sqsubseteq b \rrbracket$   
 $\implies \text{Abs-fin-defl } a \sqsubseteq \text{Abs-fin-defl } b$   
 ⟨*proof*⟩

## 23.3 Take function for finite deflations

**definition**  
 $\text{defl-approx} :: \text{nat} \Rightarrow ('a \rightarrow 'a) \Rightarrow ('a \rightarrow 'a)$   
**where**

$\text{defl-approx } i \ d = \text{eventual-iterate } (\text{approx } i \text{ oo } d)$

**lemma** *finite-deflation-defl-approx*:

$\text{deflation } d \implies \text{finite-deflation } (\text{defl-approx } i \ d)$

$\langle \text{proof} \rangle$

**lemma** *deflation-defl-approx*:

$\text{deflation } d \implies \text{deflation } (\text{defl-approx } i \ d)$

$\langle \text{proof} \rangle$

**lemma** *defl-approx-fixed-iff*:

$\text{deflation } d \implies \text{defl-approx } i \ d \cdot x = x \longleftrightarrow \text{approx } i \cdot x = x \wedge d \cdot x = x$

$\langle \text{proof} \rangle$

**lemma** *defl-approx-below*:

$\llbracket a \sqsubseteq b; \text{deflation } a; \text{deflation } b \rrbracket \implies \text{defl-approx } i \ a \sqsubseteq \text{defl-approx } i \ b$

$\langle \text{proof} \rangle$

**lemma** *cont2cont-defl-approx*:

**assumes** *cont*: *cont* *f* **and** *below*:  $\bigwedge x \ y. f \ x \cdot y \sqsubseteq y$

**shows** *cont*  $(\lambda x. \text{defl-approx } i \ (f \ x))$

$\langle \text{proof} \rangle$

**definition**

$\text{fd-take} :: \text{nat} \Rightarrow 'a \text{ fin-defl} \Rightarrow 'a \text{ fin-defl}$

**where**

$\text{fd-take } i \ d = \text{Abs-fin-defl } (\text{defl-approx } i \ (\text{Rep-fin-defl } d))$

**lemma** *Rep-fin-defl-fd-take*:

$\text{Rep-fin-defl } (\text{fd-take } i \ d) = \text{defl-approx } i \ (\text{Rep-fin-defl } d)$

$\langle \text{proof} \rangle$

**lemma** *fd-take-fixed-iff*:

$\text{Rep-fin-defl } (\text{fd-take } i \ d) \cdot x = x \longleftrightarrow$

$\text{approx } i \cdot x = x \wedge \text{Rep-fin-defl } d \cdot x = x$

$\langle \text{proof} \rangle$

**lemma** *fd-take-below*:  $\text{fd-take } n \ d \sqsubseteq d$

$\langle \text{proof} \rangle$

**lemma** *fd-take-idem*:  $\text{fd-take } n \ (\text{fd-take } n \ d) = \text{fd-take } n \ d$

$\langle \text{proof} \rangle$

**lemma** *fd-take-mono*:  $a \sqsubseteq b \implies \text{fd-take } n \ a \sqsubseteq \text{fd-take } n \ b$

$\langle \text{proof} \rangle$

**lemma** *approx-fixed-le-lemma*:  $\llbracket i \leq j; \text{approx } i \cdot x = x \rrbracket \implies \text{approx } j \cdot x = x$

$\langle \text{proof} \rangle$

**lemma** *fd-take-chain*:  $m \leq n \implies \text{fd-take } m \ a \sqsubseteq \text{fd-take } n \ a$   
 $\langle \text{proof} \rangle$

**lemma** *finite-range-fd-take*:  $\text{finite } (\text{range } (\text{fd-take } n))$   
 $\langle \text{proof} \rangle$

**lemma** *fd-take-covers*:  $\exists n. \text{fd-take } n \ a = a$   
 $\langle \text{proof} \rangle$

**interpretation** *fin-defl*: *basis-take below fd-take*  
 $\langle \text{proof} \rangle$

### 23.4 Defining algebraic deflations by ideal completion

**typedef** (**open**) *'a alg-defl* =  
 $\{S :: 'a \text{ fin-defl set. below.ideal } S\}$   
 $\langle \text{proof} \rangle$

**instantiation** *alg-defl* :: (*profinite*) *below*  
**begin**

**definition**  
 $x \sqsubseteq y \iff \text{Rep-} \text{alg-defl } x \subseteq \text{Rep-} \text{alg-defl } y$

**instance**  $\langle \text{proof} \rangle$   
**end**

**instance** *alg-defl* :: (*profinite*) *po*  
 $\langle \text{proof} \rangle$

**instance** *alg-defl* :: (*profinite*) *cpo*  
 $\langle \text{proof} \rangle$

**lemma** *Rep-alg-defl-lub*:  
 $\text{chain } Y \implies \text{Rep-} \text{alg-defl } (\bigsqcup i. Y \ i) = (\bigcup i. \text{Rep-} \text{alg-defl } (Y \ i))$   
 $\langle \text{proof} \rangle$

**lemma** *ideal-Rep-alg-defl*: *below.ideal* (*Rep-alg-defl* *xs*)  
 $\langle \text{proof} \rangle$

**definition**  
 $\text{alg-defl-principal} :: 'a \text{ fin-defl} \Rightarrow 'a \text{ alg-defl}$  **where**  
 $\text{alg-defl-principal } t = \text{Abs-} \text{alg-defl } \{u. u \sqsubseteq t\}$

**lemma** *Rep-alg-defl-principal*:  
 $\text{Rep-} \text{alg-defl } (\text{alg-defl-principal } t) = \{u. u \sqsubseteq t\}$   
 $\langle \text{proof} \rangle$

**interpretation** *alg-defl*:

*ideal-completion below fd-take alg-defl-principal Rep-alg-defl*  
 $\langle \text{proof} \rangle$

Algebraic deflations are pointed

**lemma** *finite-deflation-UU*: *finite-deflation*  $\perp$   
 $\langle \text{proof} \rangle$

**lemma** *alg-defl-minimal*:  
*alg-defl-principal* (*Abs-fin-defl*  $\perp$ )  $\sqsubseteq x$   
 $\langle \text{proof} \rangle$

**instance** *alg-defl* :: (*bifinite*) *pcpo*  
 $\langle \text{proof} \rangle$

**lemma** *inst-alg-defl-pcpo*:  $\perp = \text{alg-defl-principal } (\text{Abs-fin-defl } \perp)$   
 $\langle \text{proof} \rangle$

Algebraic deflations are profinite

**instantiation** *alg-defl* :: (*profinite*) *profinite*  
**begin**

**definition**  
*approx-alg-defl-def*: *approx* = *alg-defl.completion-approx*

**instance**  
 $\langle \text{proof} \rangle$

**end**

**instance** *alg-defl* :: (*bifinite*) *bifinite*  $\langle \text{proof} \rangle$

**lemma** *approx-alg-defl-principal [simp]*:  
*approx*  $n \cdot (\text{alg-defl-principal } t) = \text{alg-defl-principal } (\text{fd-take } n \ t)$   
 $\langle \text{proof} \rangle$

**lemma** *approx-eq-alg-defl-principal*:  
 $\exists t \in \text{Rep-alg-defl } xs. \text{approx } n \cdot xs = \text{alg-defl-principal } (\text{fd-take } n \ t)$   
 $\langle \text{proof} \rangle$

## 23.5 Applying algebraic deflations

**definition**  
*cast* ::  $'a \text{ alg-defl} \rightarrow 'a \rightarrow 'a$   
**where**  
*cast* = *alg-defl.basis-fun Rep-fin-defl*

**lemma** *cast-alg-defl-principal*:  
*cast*  $\cdot (\text{alg-defl-principal } a) = \text{Rep-fin-defl } a$   
 $\langle \text{proof} \rangle$

**lemma** *deflation-cast*: *deflation* (*cast*·*d*)  
 ⟨*proof*⟩

**lemma** *finite-deflation-cast*:  
   *compact d*  $\implies$  *finite-deflation* (*cast*·*d*)  
 ⟨*proof*⟩

**interpretation** *cast*: *deflation cast*·*d*  
 ⟨*proof*⟩

**declare** *cast.idem* [*simp*]

**lemma** *cast-approx*: *cast*·(*approx n*·*A*) = *defl-approx n* (*cast*·*A*)  
 ⟨*proof*⟩

**lemma** *cast-approx-fixed-iff*:  
   *cast*·(*approx i*·*A*)·*x* = *x*  $\longleftrightarrow$  *approx i*·*x* = *x*  $\wedge$  *cast*·*A*·*x* = *x*  
 ⟨*proof*⟩

**lemma** *defl-approx-cast*: *defl-approx i* (*cast*·*A*) = *cast*·(*approx i*·*A*)  
 ⟨*proof*⟩

**lemma** *cast-below-imp-below*: *cast*·*A*  $\sqsubseteq$  *cast*·*B*  $\implies$  *A*  $\sqsubseteq$  *B*  
 ⟨*proof*⟩

**lemma** *cast-eq-imp-eq*: *cast*·*A* = *cast*·*B*  $\implies$  *A* = *B*  
 ⟨*proof*⟩

**lemma** *cast-strict1* [*simp*]: *cast*· $\perp$  =  $\perp$   
 ⟨*proof*⟩

**lemma** *cast-strict2* [*simp*]: *cast*·*A*· $\perp$  =  $\perp$   
 ⟨*proof*⟩

## 23.6 Deflation membership relation

**definition**

*in-deflation* :: 'a::profinite  $\Rightarrow$  'a alg-defl  $\Rightarrow$  bool (**infixl** :: 50)

**where**

*x* :: *A*  $\longleftrightarrow$  *cast*·*A*·*x* = *x*

**lemma** *adm-in-deflation*: *adm* ( $\lambda x. x$  :: *A*)  
 ⟨*proof*⟩

**lemma** *in-deflationI*: *cast*·*A*·*x* = *x*  $\implies$  *x* :: *A*  
 ⟨*proof*⟩

**lemma** *cast-fixed*: *x* :: *A*  $\implies$  *cast*·*A*·*x* = *x*

*<proof>*

**lemma** *cast-in-deflation* [simp]:  $\text{cast} \cdot A \cdot x :: A$   
*<proof>*

**lemma** *bottom-in-deflation* [simp]:  $\perp :: A$   
*<proof>*

**lemma** *subdeflationD*:  $A \sqsubseteq B \implies x :: A \implies x :: B$   
*<proof>*

**lemma** *rev-subdeflationD*:  $x :: A \implies A \sqsubseteq B \implies x :: B$   
*<proof>*

**lemma** *subdeflationI*:  $(\bigwedge x. x :: A \implies x :: B) \implies A \sqsubseteq B$   
*<proof>*

Identity deflation:

**lemma** *cast*·( $\bigsqcup i. \text{alg-defl-principal } (\text{Abs-fin-defl } (\text{approx } i))$ )· $x = x$   
*<proof>*

### 23.7 Bifinite domains and algebraic deflations

This lemma says that if we have an ep-pair from a bifinite domain into a universal domain, then  $e \circ p$  is an algebraic deflation.

**lemma**  
 assumes *ep-pair*  $e \ p$   
 constrains  $e :: 'a::\text{profinite} \rightarrow 'b::\text{profinite}$   
 shows  $\exists d. \text{cast} \cdot d = e \circ p$   
*<proof>*

This lemma says that if we have an ep-pair from a cpo into a bifinite domain, and  $e \circ p$  is an algebraic deflation, then the cpo is bifinite.

**lemma**  
 assumes *ep-pair*  $e \ p$   
 constrains  $e :: 'a::\text{cpo} \rightarrow 'b::\text{profinite}$   
 assumes  $d: \bigwedge x. \text{cast} \cdot d \cdot x = e \cdot (p \cdot x)$   
 obtains  $a :: \text{nat} \Rightarrow 'a \rightarrow 'a$  **where**  
 $\bigwedge i. \text{finite-deflation } (a \ i)$   
 $(\bigsqcup i. a \ i) = \text{ID}$   
*<proof>*

**end**

## 24 CompactBasis: Compact bases of domains

**theory** *CompactBasis*

```
imports Completion
begin
```

## 24.1 Compact bases of bifinite domains

```
default-sort profinite
```

```
typedef (open) 'a compact-basis = {x::'a::profinite. compact x}
⟨proof⟩
```

```
lemma compact-Rep-compact-basis: compact (Rep-compact-basis a)
⟨proof⟩
```

```
instantiation compact-basis :: (profinite) below
begin
```

```
definition
  compact-le-def:
    (op  $\sqsubseteq$ )  $\equiv (\lambda x y. \text{Rep-compact-basis } x \sqsubseteq \text{Rep-compact-basis } y)$ 
```

```
instance ⟨proof⟩
```

```
end
```

```
instance compact-basis :: (profinite) po
⟨proof⟩
```

Take function for compact basis

```
definition
  compact-take :: nat  $\Rightarrow$  'a compact-basis  $\Rightarrow$  'a compact-basis where
  compact-take = ( $\lambda n a. \text{Abs-compact-basis } (\text{approx } n \cdot (\text{Rep-compact-basis } a))$ )
```

```
lemma Rep-compact-take:
  Rep-compact-basis (compact-take n a) = approx n · (Rep-compact-basis a)
⟨proof⟩
```

```
lemmas approx-Rep-compact-basis = Rep-compact-take [symmetric]
```

```
interpretation compact-basis:
  basis-take below compact-take
⟨proof⟩
```

Ideal completion

```
definition
  approximants :: 'a  $\Rightarrow$  'a compact-basis set where
  approximants = ( $\lambda x. \{a. \text{Rep-compact-basis } a \sqsubseteq x\}$ )
```

```
interpretation compact-basis:
  ideal-completion below compact-take Rep-compact-basis approximants
```

$\langle proof \rangle$

minimal compact element

**definition**

$compact\_bot :: 'a::bifinite\ compact\_basis$  **where**  
 $compact\_bot = Abs\_compact\_basis\ \perp$

**lemma**  $Rep\_compact\_bot$ :  $Rep\_compact\_basis\ compact\_bot = \perp$   
 $\langle proof \rangle$

**lemma**  $compact\_bot\_minimal$   $[simp]$ :  $compact\_bot \sqsubseteq a$   
 $\langle proof \rangle$

## 24.2 A compact basis for powerdomains

**typedef**  $'a\ pd\_basis =$

$\{S::'a::profinite\ compact\_basis\ set.\ finite\ S \wedge S \neq \{\}\}$

$\langle proof \rangle$

**lemma**  $finite\_Rep\_pd\_basis$   $[simp]$ :  $finite\ (Rep\_pd\_basis\ u)$   
 $\langle proof \rangle$

**lemma**  $Rep\_pd\_basis\_nonempty$   $[simp]$ :  $Rep\_pd\_basis\ u \neq \{\}$   
 $\langle proof \rangle$

unit and plus

**definition**

$PDUnit :: 'a\ compact\_basis \Rightarrow 'a\ pd\_basis$  **where**  
 $PDUnit = (\lambda x.\ Abs\_pd\_basis\ \{x\})$

**definition**

$PDPlus :: 'a\ pd\_basis \Rightarrow 'a\ pd\_basis \Rightarrow 'a\ pd\_basis$  **where**  
 $PDPlus\ t\ u = Abs\_pd\_basis\ (Rep\_pd\_basis\ t \cup Rep\_pd\_basis\ u)$

**lemma**  $Rep\_PDUnit$ :

$Rep\_pd\_basis\ (PDUnit\ x) = \{x\}$

$\langle proof \rangle$

**lemma**  $Rep\_PDPlus$ :

$Rep\_pd\_basis\ (PDPlus\ u\ v) = Rep\_pd\_basis\ u \cup Rep\_pd\_basis\ v$

$\langle proof \rangle$

**lemma**  $PDUnit\_inject$   $[simp]$ :  $(PDUnit\ a = PDUnit\ b) = (a = b)$   
 $\langle proof \rangle$

**lemma**  $PDPlus\_assoc$ :  $PDPlus\ (PDPlus\ t\ u)\ v = PDPlus\ t\ (PDPlus\ u\ v)$   
 $\langle proof \rangle$

**lemma**  $PDPlus\_commute$ :  $PDPlus\ t\ u = PDPlus\ u\ t$



$\langle proof \rangle$

**lemma** *PDPlus-absorb*:  $PDPlus\ t\ t = t$

$\langle proof \rangle$

**lemma** *pd-basis-induct1*:

**assumes** *PDUnit*:  $\bigwedge a. P\ (PDUnit\ a)$

**assumes** *PDPlus*:  $\bigwedge a\ t. P\ t \implies P\ (PDPlus\ (PDUnit\ a)\ t)$

**shows**  $P\ x$

$\langle proof \rangle$

**lemma** *pd-basis-induct*:

**assumes** *PDUnit*:  $\bigwedge a. P\ (PDUnit\ a)$

**assumes** *PDPlus*:  $\bigwedge t\ u. \llbracket P\ t; P\ u \rrbracket \implies P\ (PDPlus\ t\ u)$

**shows**  $P\ x$

$\langle proof \rangle$

fold-pd

**definition**

*fold-pd* ::

$(\text{'a compact-basis} \Rightarrow \text{'b::type}) \Rightarrow (\text{'b} \Rightarrow \text{'b} \Rightarrow \text{'b}) \Rightarrow \text{'a pd-basis} \Rightarrow \text{'b}$

**where** *fold-pd*  $g\ f\ t = fold1\ f\ (g\ \text{' Rep-pd-basis}\ t)$

**lemma** *fold-pd-PDUnit*:

**assumes** *class.ab-semigroup-idem-mult*  $f$

**shows** *fold-pd*  $g\ f\ (PDUnit\ x) = g\ x$

$\langle proof \rangle$

**lemma** *fold-pd-PDPlus*:

**assumes** *class.ab-semigroup-idem-mult*  $f$

**shows** *fold-pd*  $g\ f\ (PDPlus\ t\ u) = f\ (fold-pd\ g\ f\ t)\ (fold-pd\ g\ f\ u)$

$\langle proof \rangle$

Take function for powerdomain basis

**definition**

*pd-take* ::  $nat \Rightarrow \text{'a pd-basis} \Rightarrow \text{'a pd-basis}$  **where**

*pd-take*  $n = (\lambda t. Abs-pd-basis\ (compact-take\ n\ \text{' Rep-pd-basis}\ t))$

**lemma** *Rep-pd-take*:

*Rep-pd-basis*  $(pd-take\ n\ t) = compact-take\ n\ \text{' Rep-pd-basis}\ t$

$\langle proof \rangle$

**lemma** *pd-take-simps* [*simp*]:

*pd-take*  $n\ (PDUnit\ a) = PDUnit\ (compact-take\ n\ a)$

*pd-take*  $n\ (PDPlus\ t\ u) = PDPlus\ (pd-take\ n\ t)\ (pd-take\ n\ u)$

$\langle proof \rangle$

**lemma** *pd-take-idem*:  $pd-take\ n\ (pd-take\ n\ t) = pd-take\ n\ t$

$\langle proof \rangle$

**lemma** *finite-range-pd-take*: *finite (range (pd-take n))*  
 $\langle \text{proof} \rangle$

**lemma** *pd-take-covers*:  $\exists n. \text{pd-take } n \ t = t$   
 $\langle \text{proof} \rangle$

**end**

## 25 Universal: A universal bifinite domain

**theory** *Universal*  
**imports** *CompactBasis Nat-Bijection*  
**begin**

### 25.1 Basis datatype

**types** *ubasis* = *nat*

**definition**

*node* :: *nat*  $\Rightarrow$  *ubasis*  $\Rightarrow$  *ubasis* *set*  $\Rightarrow$  *ubasis*

**where**

*node* *i* *a* *S* = *Suc (prod-encode (i, prod-encode (a, set-encode S)))*

**lemma** *node-not-0* [*simp*]: *node* *i* *a* *S*  $\neq$  0  
 $\langle \text{proof} \rangle$

**lemma** *node-gt-0* [*simp*]: 0 < *node* *i* *a* *S*  
 $\langle \text{proof} \rangle$

**lemma** *node-inject* [*simp*]:  
 $\llbracket \text{finite } S; \text{finite } T \rrbracket$   
 $\implies \text{node } i \ a \ S = \text{node } j \ b \ T \iff i = j \wedge a = b \wedge S = T$   
 $\langle \text{proof} \rangle$

**lemma** *node-gt0*: *i* < *node* *i* *a* *S*  
 $\langle \text{proof} \rangle$

**lemma** *node-gt1*: *a* < *node* *i* *a* *S*  
 $\langle \text{proof} \rangle$

**lemma** *nat-less-power2*: *n* < 2<sup>*n*</sup>  
 $\langle \text{proof} \rangle$

**lemma** *node-gt2*:  $\llbracket \text{finite } S; b \in S \rrbracket \implies b < \text{node } i \ a \ S$   
 $\langle \text{proof} \rangle$

**lemma** *eq-prod-encode-pairI*:

$\llbracket \text{fst } (\text{prod-decode } x) = a; \text{snd } (\text{prod-decode } x) = b \rrbracket \implies x = \text{prod-encode } (a, b)$   
 $\langle \text{proof} \rangle$

**lemma** *node-cases*:

**assumes** 1:  $x = 0 \implies P$

**assumes** 2:  $\bigwedge i \ a \ S. \llbracket \text{finite } S; x = \text{node } i \ a \ S \rrbracket \implies P$

**shows**  $P$

$\langle \text{proof} \rangle$

**lemma** *node-induct*:

**assumes** 1:  $P \ 0$

**assumes** 2:  $\bigwedge i \ a \ S. \llbracket P \ a; \text{finite } S; \forall b \in S. P \ b \rrbracket \implies P \ (\text{node } i \ a \ S)$

**shows**  $P \ x$

$\langle \text{proof} \rangle$

## 25.2 Basis ordering

**inductive**

*ubasis-le* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$

**where**

*ubasis-le-refl*:  $\text{ubasis-le } a \ a$

| *ubasis-le-trans*:

$\llbracket \text{ubasis-le } a \ b; \text{ubasis-le } b \ c \rrbracket \implies \text{ubasis-le } a \ c$

| *ubasis-le-lower*:

$\text{finite } S \implies \text{ubasis-le } a \ (\text{node } i \ a \ S)$

| *ubasis-le-upper*:

$\llbracket \text{finite } S; b \in S; \text{ubasis-le } a \ b \rrbracket \implies \text{ubasis-le } (\text{node } i \ a \ S) \ b$

**lemma** *ubasis-le-minimal*:  $\text{ubasis-le } 0 \ x$

$\langle \text{proof} \rangle$

### 25.2.1 Generic take function

**function**

*ubasis-until* ::  $(\text{ubasis} \Rightarrow \text{bool}) \Rightarrow \text{ubasis} \Rightarrow \text{ubasis}$

**where**

*ubasis-until*  $P \ 0 = 0$

| *finite*  $S \implies \text{ubasis-until } P \ (\text{node } i \ a \ S) =$

$(\text{if } P \ (\text{node } i \ a \ S) \text{ then } \text{node } i \ a \ S \text{ else } \text{ubasis-until } P \ a)$

$\langle \text{proof} \rangle$

**termination** *ubasis-until*

$\langle \text{proof} \rangle$

**lemma** *ubasis-until*:  $P \ 0 \implies P \ (\text{ubasis-until } P \ x)$

$\langle \text{proof} \rangle$

**lemma** *ubasis-until'*:  $0 < \text{ubasis-until } P \ x \implies P \ (\text{ubasis-until } P \ x)$

$\langle \text{proof} \rangle$

**lemma** *ubasis-until-same*:  $P\ x \implies \text{ubasis-until}\ P\ x = x$   
 $\langle \text{proof} \rangle$

**lemma** *ubasis-until-idem*:  
 $P\ 0 \implies \text{ubasis-until}\ P\ (\text{ubasis-until}\ P\ x) = \text{ubasis-until}\ P\ x$   
 $\langle \text{proof} \rangle$

**lemma** *ubasis-until-0*:  
 $\forall x. x \neq 0 \longrightarrow \neg P\ x \implies \text{ubasis-until}\ P\ x = 0$   
 $\langle \text{proof} \rangle$

**lemma** *ubasis-until-less*:  $\text{ubasis-le}\ (\text{ubasis-until}\ P\ x)\ x$   
 $\langle \text{proof} \rangle$

**lemma** *ubasis-until-chain*:  
**assumes**  $PQ$ :  $\bigwedge x. P\ x \implies Q\ x$   
**shows**  $\text{ubasis-le}\ (\text{ubasis-until}\ P\ x)\ (\text{ubasis-until}\ Q\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *ubasis-until-mono*:  
**assumes**  $\bigwedge i\ a\ S\ b. \llbracket \text{finite}\ S; P\ (\text{node}\ i\ a\ S); b \in S; \text{ubasis-le}\ a\ b \rrbracket \implies P\ b$   
**shows**  $\text{ubasis-le}\ a\ b \implies \text{ubasis-le}\ (\text{ubasis-until}\ P\ a)\ (\text{ubasis-until}\ P\ b)$   
 $\langle \text{proof} \rangle$

**lemma** *finite-range-ubasis-until*:  
 $\text{finite}\ \{x. P\ x\} \implies \text{finite}\ (\text{range}\ (\text{ubasis-until}\ P))$   
 $\langle \text{proof} \rangle$

### 25.2.2 Take function for *ubasis*

**definition**  
 $\text{ubasis-take} :: \text{nat} \Rightarrow \text{ubasis} \Rightarrow \text{ubasis}$   
**where**  
 $\text{ubasis-take}\ n = \text{ubasis-until}\ (\lambda x. x \leq n)$

**lemma** *ubasis-take-le*:  $\text{ubasis-take}\ n\ x \leq n$   
 $\langle \text{proof} \rangle$

**lemma** *ubasis-take-same*:  $x \leq n \implies \text{ubasis-take}\ n\ x = x$   
 $\langle \text{proof} \rangle$

**lemma** *ubasis-take-idem*:  $\text{ubasis-take}\ n\ (\text{ubasis-take}\ n\ x) = \text{ubasis-take}\ n\ x$   
 $\langle \text{proof} \rangle$

**lemma** *ubasis-take-0* [simp]:  $\text{ubasis-take}\ 0\ x = 0$   
 $\langle \text{proof} \rangle$

**lemma** *ubasis-take-less*:  $\text{ubasis-le}\ (\text{ubasis-take}\ n\ x)\ x$   
 $\langle \text{proof} \rangle$

**lemma** *ubasis-take-chain*: *ubasis-le* (*ubasis-take* *n* *x*) (*ubasis-take* (*Suc* *n*) *x*)  
 ⟨*proof*⟩

**lemma** *ubasis-take-mono*:  
   **assumes** *ubasis-le* *x* *y*  
   **shows** *ubasis-le* (*ubasis-take* *n* *x*) (*ubasis-take* *n* *y*)  
 ⟨*proof*⟩

**lemma** *finite-range-ubasis-take*: *finite* (*range* (*ubasis-take* *n*))  
 ⟨*proof*⟩

**lemma** *ubasis-take-covers*:  $\exists n. \text{ubasis-take } n \ x = x$   
 ⟨*proof*⟩

**interpretation** *udom*: *preorder* *ubasis-le*  
 ⟨*proof*⟩

**interpretation** *udom*: *basis-take* *ubasis-le* *ubasis-take*  
 ⟨*proof*⟩

### 25.3 Defining the universal domain by ideal completion

**typedef** (**open**) *udom* = {*S*. *udom.ideal* *S*}  
 ⟨*proof*⟩

**instantiation** *udom* :: *below*  
**begin**

**definition**  
    $x \sqsubseteq y \longleftrightarrow \text{Rep-udom } x \subseteq \text{Rep-udom } y$

**instance** ⟨*proof*⟩  
**end**

**instance** *udom* :: *po*  
 ⟨*proof*⟩

**instance** *udom* :: *cpo*  
 ⟨*proof*⟩

**lemma** *Rep-udom-lub*:  
    $\text{chain } Y \implies \text{Rep-udom } (\bigsqcup i. Y \ i) = (\bigcup i. \text{Rep-udom } (Y \ i))$   
 ⟨*proof*⟩

**lemma** *ideal-Rep-udom*: *udom.ideal* (*Rep-udom* *xs*)  
 ⟨*proof*⟩

**definition**

*udom-principal* :: *nat*  $\Rightarrow$  *udom* **where**  
*udom-principal* *t* = *Abs-udom* {*u. ubasis-le u t*}

**lemma** *Rep-udom-principal*:  
*Rep-udom* (*udom-principal t*) = {*u. ubasis-le u t*}  
 <proof>

**interpretation** *udom*:  
*ideal-completion ubasis-le ubasis-take udom-principal Rep-udom*  
 <proof>

Universal domain is pointed

**lemma** *udom-minimal*: *udom-principal 0*  $\sqsubseteq$  *x*  
 <proof>

**instance** *udom* :: *pcpo*  
 <proof>

**lemma** *inst-udom-pcpo*:  $\perp$  = *udom-principal 0*  
 <proof>

Universal domain is bifinite

**instantiation** *udom* :: *bifinite*  
**begin**

**definition**  
*approx-udom-def*: *approx* = *udom.completion-approx*

**instance**  
 <proof>

**end**

**lemma** *approx-udom-principal [simp]*:  
*approx n*·(*udom-principal x*) = *udom-principal (ubasis-take n x)*  
 <proof>

**lemma** *approx-eq-udom-principal*:  
 $\exists a \in \text{Rep-udom } x. \text{approx } n \cdot x = \text{udom-principal } (\text{ubasis-take } n \ a)$   
 <proof>

## 25.4 Universality of *udom*

**default-sort** *bifinite*

### 25.4.1 Choosing a maximal element from a finite set

**lemma** *finite-has-maximal*:  
 fixes *A* :: '*a*::*po set*

**shows**  $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \exists x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y$   
 $\langle \text{proof} \rangle$

**definition**

$\text{choose} :: 'a \text{ compact-basis set} \Rightarrow 'a \text{ compact-basis}$

**where**

$\text{choose } A = (\text{SOME } x. x \in \{x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y\})$

**lemma** *choose-lemma*:

$\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \text{choose } A \in \{x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y\}$   
 $\langle \text{proof} \rangle$

**lemma** *maximal-choose*:

$\llbracket \text{finite } A; y \in A; \text{choose } A \sqsubseteq y \rrbracket \implies \text{choose } A = y$   
 $\langle \text{proof} \rangle$

**lemma** *choose-in*:  $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \text{choose } A \in A$

$\langle \text{proof} \rangle$

**function**

$\text{choose-pos} :: 'a \text{ compact-basis set} \Rightarrow 'a \text{ compact-basis} \Rightarrow \text{nat}$

**where**

$\text{choose-pos } A \ x =$   
 $(\text{if } \text{finite } A \wedge x \in A \wedge x \neq \text{choose } A$   
 $\text{then } \text{Suc } (\text{choose-pos } (A - \{\text{choose } A\}) \ x) \text{ else } 0)$

$\langle \text{proof} \rangle$

**termination** *choose-pos*

$\langle \text{proof} \rangle$

**declare** *choose-pos.simps*  $[simp \ del]$

**lemma** *choose-pos-choose*:  $\text{finite } A \implies \text{choose-pos } A (\text{choose } A) = 0$

$\langle \text{proof} \rangle$

**lemma** *inj-on-choose-pos*  $[OF \ refl]$ :

$\llbracket \text{card } A = n; \text{finite } A \rrbracket \implies \text{inj-on } (\text{choose-pos } A) \ A$

$\langle \text{proof} \rangle$

**lemma** *choose-pos-bounded*  $[OF \ refl]$ :

$\llbracket \text{card } A = n; \text{finite } A; x \in A \rrbracket \implies \text{choose-pos } A \ x < n$

$\langle \text{proof} \rangle$

**lemma** *choose-pos-lessD*:

$\llbracket \text{choose-pos } A \ x < \text{choose-pos } A \ y; \text{finite } A; x \in A; y \in A \rrbracket \implies \neg x \sqsubseteq y$

$\langle \text{proof} \rangle$

### 25.4.2 Rank of basis elements

**primrec**

$cb\text{-}take :: nat \Rightarrow 'a\text{ compact-basis} \Rightarrow 'a\text{ compact-basis}$

**where**

$cb\text{-}take\ 0 = (\lambda x. compact\text{-}bot)$

$| cb\text{-}take\ (Suc\ n) = compact\text{-}take\ n$

**lemma**  $cb\text{-}take\text{-}covers: \exists n. cb\text{-}take\ n\ x = x$

$\langle proof \rangle$

**lemma**  $cb\text{-}take\text{-}less: cb\text{-}take\ n\ x \sqsubseteq x$

$\langle proof \rangle$

**lemma**  $cb\text{-}take\text{-}idem: cb\text{-}take\ n\ (cb\text{-}take\ n\ x) = cb\text{-}take\ n\ x$

$\langle proof \rangle$

**lemma**  $cb\text{-}take\text{-}mono: x \sqsubseteq y \implies cb\text{-}take\ n\ x \sqsubseteq cb\text{-}take\ n\ y$

$\langle proof \rangle$

**lemma**  $cb\text{-}take\text{-}chain\text{-}le: m \leq n \implies cb\text{-}take\ m\ x \sqsubseteq cb\text{-}take\ n\ x$

$\langle proof \rangle$

**lemma**  $range\text{-}const: range\ (\lambda x. c) = \{c\}$

$\langle proof \rangle$

**lemma**  $finite\text{-}range\text{-}cb\text{-}take: finite\ (range\ (cb\text{-}take\ n))$

$\langle proof \rangle$

**definition**

$rank :: 'a\text{ compact-basis} \Rightarrow nat$

**where**

$rank\ x = (LEAST\ n. cb\text{-}take\ n\ x = x)$

**lemma**  $compact\text{-}approx\text{-}rank: cb\text{-}take\ (rank\ x)\ x = x$

$\langle proof \rangle$

**lemma**  $rank\text{-}leD: rank\ x \leq n \implies cb\text{-}take\ n\ x = x$

$\langle proof \rangle$

**lemma**  $rank\text{-}leI: cb\text{-}take\ n\ x = x \implies rank\ x \leq n$

$\langle proof \rangle$

**lemma**  $rank\text{-}le\text{-}iff: rank\ x \leq n \longleftrightarrow cb\text{-}take\ n\ x = x$

$\langle proof \rangle$

**lemma**  $rank\text{-}compact\text{-}bot\ [simp]: rank\ compact\text{-}bot = 0$

$\langle proof \rangle$

**lemma**  $rank\text{-}eq\text{-}0\text{-}iff\ [simp]: rank\ x = 0 \longleftrightarrow x = compact\text{-}bot$



$\langle proof \rangle$

**definition**

$rank-le :: 'a \text{ compact-basis} \Rightarrow 'a \text{ compact-basis set}$

**where**

$rank-le \ x = \{y. \ rank \ y \leq \ rank \ x\}$

**definition**

$rank-lt :: 'a \text{ compact-basis} \Rightarrow 'a \text{ compact-basis set}$

**where**

$rank-lt \ x = \{y. \ rank \ y < \ rank \ x\}$

**definition**

$rank-eq :: 'a \text{ compact-basis} \Rightarrow 'a \text{ compact-basis set}$

**where**

$rank-eq \ x = \{y. \ rank \ y = \ rank \ x\}$

**lemma**  $rank-eq-cong: \ rank \ x = \ rank \ y \Longrightarrow \ rank-eq \ x = \ rank-eq \ y$

$\langle proof \rangle$

**lemma**  $rank-lt-cong: \ rank \ x = \ rank \ y \Longrightarrow \ rank-lt \ x = \ rank-lt \ y$

$\langle proof \rangle$

**lemma**  $rank-eq-subset: \ rank-eq \ x \subseteq \ rank-le \ x$

$\langle proof \rangle$

**lemma**  $rank-lt-subset: \ rank-lt \ x \subseteq \ rank-le \ x$

$\langle proof \rangle$

**lemma**  $finite-rank-le: \ finite \ (\rank-le \ x)$

$\langle proof \rangle$

**lemma**  $finite-rank-eq: \ finite \ (\rank-eq \ x)$

$\langle proof \rangle$

**lemma**  $finite-rank-lt: \ finite \ (\rank-lt \ x)$

$\langle proof \rangle$

**lemma**  $rank-lt-Int-rank-eq: \ rank-lt \ x \cap \ rank-eq \ x = \{\}$

$\langle proof \rangle$

**lemma**  $rank-lt-Un-rank-eq: \ rank-lt \ x \cup \ rank-eq \ x = \rank-le \ x$

$\langle proof \rangle$

### 25.4.3 Sequencing basis elements

**definition**

$place :: 'a \text{ compact-basis} \Rightarrow \text{nat}$

**where**

$place\ x = card\ (rank\text{-}lt\ x) + choose\text{-}pos\ (rank\text{-}eq\ x)\ x$

**lemma** *place-bounded*:  $place\ x < card\ (rank\text{-}le\ x)$   
 $\langle proof \rangle$

**lemma** *place-ge*:  $card\ (rank\text{-}lt\ x) \leq place\ x$   
 $\langle proof \rangle$

**lemma** *place-rank-mono*:  
 fixes  $x\ y :: 'a\ compact\text{-}basis$   
 shows  $rank\ x < rank\ y \implies place\ x < place\ y$   
 $\langle proof \rangle$

**lemma** *place-eqD*:  $place\ x = place\ y \implies x = y$   
 $\langle proof \rangle$

**lemma** *inj-place*:  $inj\ place$   
 $\langle proof \rangle$

#### 25.4.4 Embedding and projection on basis elements

**definition**

$sub :: 'a\ compact\text{-}basis \Rightarrow 'a\ compact\text{-}basis$

**where**

$sub\ x = (case\ rank\ x\ of\ 0 \Rightarrow compact\text{-}bot \mid Suc\ k \Rightarrow cb\text{-}take\ k\ x)$

**lemma** *rank-sub-less*:  $x \neq compact\text{-}bot \implies rank\ (sub\ x) < rank\ x$   
 $\langle proof \rangle$

**lemma** *place-sub-less*:  $x \neq compact\text{-}bot \implies place\ (sub\ x) < place\ x$   
 $\langle proof \rangle$

**lemma** *sub-below*:  $sub\ x \sqsubseteq x$   
 $\langle proof \rangle$

**lemma** *rank-less-imp-below-sub*:  $\llbracket x \sqsubseteq y; rank\ x < rank\ y \rrbracket \implies x \sqsubseteq sub\ y$   
 $\langle proof \rangle$

**function**

$basis\text{-}emb :: 'a\ compact\text{-}basis \Rightarrow ubasis$

**where**

$basis\text{-}emb\ x = (if\ x = compact\text{-}bot\ then\ 0\ else$   
 $node\ (place\ x)\ (basis\text{-}emb\ (sub\ x))$   
 $(basis\text{-}emb\ ' \{y. place\ y < place\ x \wedge x \sqsubseteq y\}))$

$\langle proof \rangle$

**termination** *basis-emb*  
 $\langle proof \rangle$

**declare** *basis-emb.simps* [*simp del*]

**lemma** *basis-emb-compact-bot* [*simp*]: *basis-emb compact-bot* = 0  
 ⟨*proof*⟩

**lemma** *fin1*: *finite* {*y. place y* < *place x* ∧ *x* ⊆ *y*}  
 ⟨*proof*⟩

**lemma** *fin2*: *finite* (*basis-emb* ‘ {*y. place y* < *place x* ∧ *x* ⊆ *y*} )  
 ⟨*proof*⟩

**lemma** *rank-place-mono*:  
 [ *place x* < *place y*; *x* ⊆ *y* ] ⇒ *rank x* < *rank y*  
 ⟨*proof*⟩

**lemma** *basis-emb-mono*:  
*x* ⊆ *y* ⇒ *ubasis-le* (*basis-emb x*) (*basis-emb y*)  
 ⟨*proof*⟩

**lemma** *inj-basis-emb*: *inj basis-emb*  
 ⟨*proof*⟩

**definition**

*basis-prj* :: *ubasis* ⇒ 'a *compact-basis*

**where**

*basis-prj x* = *inv basis-emb*  
 (*ubasis-until* (λ*x. x* ∈ *range* (*basis-emb* :: 'a *compact-basis* ⇒ *ubasis*)) *x*)

**lemma** *basis-prj-basis-emb*: ∧*x. basis-prj* (*basis-emb x*) = *x*  
 ⟨*proof*⟩

**lemma** *basis-prj-node*:  
 [ *finite S*; *node i a S* ∉ *range* (*basis-emb* :: 'a *compact-basis* ⇒ *nat*) ]  
 ⇒ *basis-prj* (*node i a S*) = (*basis-prj a* :: 'a *compact-basis*)  
 ⟨*proof*⟩

**lemma** *basis-prj-0*: *basis-prj 0* = *compact-bot*  
 ⟨*proof*⟩

**lemma** *node-eq-basis-emb-iff*:  
*finite S* ⇒ *node i a S* = *basis-emb x* ⇔  
*x* ≠ *compact-bot* ∧ *i* = *place x* ∧ *a* = *basis-emb* (*sub x*) ∧  
*S* = *basis-emb* ‘ {*y. place y* < *place x* ∧ *x* ⊆ *y*}  
 ⟨*proof*⟩

**lemma** *basis-prj-mono*: *ubasis-le a b* ⇒ *basis-prj a* ⊆ *basis-prj b*  
 ⟨*proof*⟩

**lemma** *basis-emb-prj-less*: *ubasis-le* (*basis-emb* (*basis-prj x*)) *x*

*<proof>*

**hide-const** (**open**)

*node*  
*choose*  
*choose-pos*  
*place*  
*sub*

#### 25.4.5 EP-pair from any bifinite domain into *udom*

**definition**

*udom-emb* :: *'a::bifinite* → *udom*

**where**

*udom-emb* = *compact-basis.basis-fun* ( $\lambda x. \text{udom-principal } (\text{basis-emb } x)$ )

**definition**

*udom-prj* :: *udom* → *'a::bifinite*

**where**

*udom-prj* = *udom.basis-fun* ( $\lambda x. \text{Rep-compact-basis } (\text{basis-prj } x)$ )

**lemma** *udom-emb-principal*:

*udom-emb*·(*Rep-compact-basis* *x*) = *udom-principal* (*basis-emb* *x*)

*<proof>*

**lemma** *udom-prj-principal*:

*udom-prj*·(*udom-principal* *x*) = *Rep-compact-basis* (*basis-prj* *x*)

*<proof>*

**lemma** *ep-pair-udom*: *ep-pair* *udom-emb* *udom-prj*

*<proof>*

**end**

## 26 Domain-Aux: Domain package support

**theory** *Domain-Aux*

**imports** *Ssum Sprod Fixrec*

**uses**

(*Tools/Domain/domain-take-proofs.ML*)

**begin**

### 26.1 Continuous isomorphisms

A locale for continuous isomorphisms

**locale** *iso* =

**fixes** *abs* :: *'a* → *'b*

**fixes**  $rep :: 'b \rightarrow 'a$   
**assumes**  $abs\text{-}iso$   $[simp]: rep.(abs.x) = x$   
**assumes**  $rep\text{-}iso$   $[simp]: abs.(rep.y) = y$   
**begin**

**lemma**  $swap: iso\ rep\ abs$   
 $\langle proof \rangle$

**lemma**  $abs\text{-}below: (abs.x \sqsubseteq abs.y) = (x \sqsubseteq y)$   
 $\langle proof \rangle$

**lemma**  $rep\text{-}below: (rep.x \sqsubseteq rep.y) = (x \sqsubseteq y)$   
 $\langle proof \rangle$

**lemma**  $abs\text{-}eq: (abs.x = abs.y) = (x = y)$   
 $\langle proof \rangle$

**lemma**  $rep\text{-}eq: (rep.x = rep.y) = (x = y)$   
 $\langle proof \rangle$

**lemma**  $abs\text{-}strict: abs.\perp = \perp$   
 $\langle proof \rangle$

**lemma**  $rep\text{-}strict: rep.\perp = \perp$   
 $\langle proof \rangle$

**lemma**  $abs\text{-}defin': abs.x = \perp \implies x = \perp$   
 $\langle proof \rangle$

**lemma**  $rep\text{-}defin': rep.z = \perp \implies z = \perp$   
 $\langle proof \rangle$

**lemma**  $abs\text{-}defined: z \neq \perp \implies abs.z \neq \perp$   
 $\langle proof \rangle$

**lemma**  $rep\text{-}defined: z \neq \perp \implies rep.z \neq \perp$   
 $\langle proof \rangle$

**lemma**  $abs\text{-}defined\text{-}iff: (abs.x = \perp) = (x = \perp)$   
 $\langle proof \rangle$

**lemma**  $rep\text{-}defined\text{-}iff: (rep.x = \perp) = (x = \perp)$   
 $\langle proof \rangle$

**lemma**  $casedist\text{-}rule: rep.x = \perp \vee P \implies x = \perp \vee P$   
 $\langle proof \rangle$

**lemma**  $compact\text{-}abs\text{-}rev: compact\ (abs.x) \implies compact\ x$   
 $\langle proof \rangle$

**lemma** *compact-rep-rev*:  $\text{compact } (\text{rep} \cdot x) \implies \text{compact } x$   
 $\langle \text{proof} \rangle$

**lemma** *compact-abs*:  $\text{compact } x \implies \text{compact } (\text{abs} \cdot x)$   
 $\langle \text{proof} \rangle$

**lemma** *compact-rep*:  $\text{compact } x \implies \text{compact } (\text{rep} \cdot x)$   
 $\langle \text{proof} \rangle$

**lemma** *iso-swap*:  $(x = \text{abs} \cdot y) = (\text{rep} \cdot x = y)$   
 $\langle \text{proof} \rangle$

**end**

## 26.2 Proofs about take functions

This section contains lemmas that are used in a module that supports the domain isomorphism package; the module contains proofs related to take functions and the finiteness predicate.

**lemma** *deflation-abs-rep*:  
 fixes *abs* and *rep* and *d*  
 assumes *abs-iso*:  $\bigwedge x. \text{rep} \cdot (\text{abs} \cdot x) = x$   
 assumes *rep-iso*:  $\bigwedge y. \text{abs} \cdot (\text{rep} \cdot y) = y$   
 shows  $\text{deflation } d \implies \text{deflation } (\text{abs } \text{oo } d \text{ oo } \text{rep})$   
 $\langle \text{proof} \rangle$

**lemma** *deflation-chain-min*:  
 assumes *chain*:  $\text{chain } d$   
 assumes *defl*:  $\bigwedge n. \text{deflation } (d \ n)$   
 shows  $d \ m \cdot (d \ n \cdot x) = d \ (\min m \ n) \cdot x$   
 $\langle \text{proof} \rangle$

**lemma** *lub-ID-take-lemma*:  
 assumes *chain* *t* and  $(\bigsqcup n. t \ n) = \text{ID}$   
 assumes  $\bigwedge n. t \ n \cdot x = t \ n \cdot y$  shows  $x = y$   
 $\langle \text{proof} \rangle$

**lemma** *lub-ID-reach*:  
 assumes *chain* *t* and  $(\bigsqcup n. t \ n) = \text{ID}$   
 shows  $(\bigsqcup n. t \ n \cdot x) = x$   
 $\langle \text{proof} \rangle$

**lemma** *lub-ID-take-induct*:  
 assumes *chain* *t* and  $(\bigsqcup n. t \ n) = \text{ID}$   
 assumes *adm* *P* and  $\bigwedge n. P \ (t \ n \cdot x)$  shows  $P \ x$   
 $\langle \text{proof} \rangle$

### 26.3 Finiteness

Let a “decisive” function be a deflation that maps every input to either itself or bottom. Then if a domain’s take functions are all decisive, then all values in the domain are finite.

**definition**

$decisive :: ('a :: pcpo \rightarrow 'a) \Rightarrow bool$

**where**

$decisive\ d \longleftrightarrow (\forall x. d \cdot x = x \vee d \cdot x = \perp)$

**lemma** *decisiveI*:  $(\bigwedge x. d \cdot x = x \vee d \cdot x = \perp) \implies decisive\ d$   
 $\langle proof \rangle$

**lemma** *decisive-cases*:

**assumes** *decisive d* **obtains**  $d \cdot x = x \mid d \cdot x = \perp$

$\langle proof \rangle$

**lemma** *decisive-bottom*: *decisive*  $\perp$   
 $\langle proof \rangle$

**lemma** *decisive-ID*: *decisive* *ID*  
 $\langle proof \rangle$

**lemma** *decisive-ssum-map*:

**assumes** *f*: *decisive f*

**assumes** *g*: *decisive g*

**shows** *decisive* (*ssum-map*·*f*·*g*)

$\langle proof \rangle$

**lemma** *decisive-sprod-map*:

**assumes** *f*: *decisive f*

**assumes** *g*: *decisive g*

**shows** *decisive* (*sprod-map*·*f*·*g*)

$\langle proof \rangle$

**lemma** *decisive-abs-rep*:

**fixes** *abs rep*

**assumes** *iso*: *iso abs rep*

**assumes** *d*: *decisive d*

**shows** *decisive* (*abs oo d oo rep*)

$\langle proof \rangle$

**lemma** *lub-ID-finite*:

**assumes** *chain*: *chain d*

**assumes** *lub*:  $(\bigsqcup n. d\ n) = ID$

**assumes** *decisive*:  $\bigwedge n. decisive\ (d\ n)$

**shows**  $\exists n. d\ n \cdot x = x$

$\langle proof \rangle$

```

lemma lub-ID-finite-take-induct:
  assumes chain d and  $(\bigsqcup n. d\ n) = ID$  and  $\bigwedge n. \text{decisive } (d\ n)$ 
  shows  $(\bigwedge n. P\ (d\ n.x)) \implies P\ x$ 
  <proof>

```

## 26.4 ML setup

*<ML>*

**end**

## 27 Representable: Representable Types

```

theory Representable
imports Algebraic Universal Ssum Sprod One Fixrec Domain-Aux
uses
  (Tools/repdef.ML)
  (Tools/Domain/domain-isomorphism.ML)
begin

```

### 27.1 Class of representable types

Overloaded embedding and projection functions between a representable type and the universal domain.

```

class rep = bifinite +
  fixes emb :: 'a::pcpo  $\rightarrow$  udom
  fixes prj :: udom  $\rightarrow$  'a::pcpo
  assumes ep-pair-emb-prj: ep-pair emb prj

```

```

interpretation rep!:
  pcpo-ep-pair
  emb :: 'a::rep  $\rightarrow$  udom
  prj :: udom  $\rightarrow$  'a::rep
  <proof>

```

```

lemmas emb-inverse = rep.e-inverse
lemmas emb-prj-below = rep.e-p-below
lemmas emb-eq-iff = rep.e-eq-iff
lemmas emb-strict = rep.e-strict
lemmas prj-strict = rep.p-strict

```

### 27.2 Making *rep* the default class

From now on, free type variables are assumed to be in class *rep*, unless specified otherwise.

```

default-sort rep

```



### 27.3 Representations of types

A  $\text{TypeRep}$  is an algebraic deflation over the universe of values.

**types**  $\text{TypeRep} = \text{udom alg-defl}$

**translations**  $(\text{type}) \text{TypeRep} \leftarrow (\text{type}) \text{udom alg-defl}$

**definition**

$\text{Rep-of} :: 'a::\text{rep} \text{ itself} \Rightarrow \text{TypeRep}$

**where**

$\text{Rep-of TYPE}('a::\text{rep}) =$   
 $(\bigsqcup i. \text{alg-defl-principal } (\text{Abs-fin-defl}$   
 $(\text{emb } \text{oo } (\text{approx } i :: 'a \rightarrow 'a) \text{ oo } \text{prj})))$

**syntax**  $\text{-REP} :: \text{type} \Rightarrow \text{TypeRep} \ ((1\text{REP}/(1'(-))))$

**translations**  $\text{REP}('t) \Leftrightarrow \text{CONST Rep-of TYPE}('t)$

**lemma**  $\text{cast-REP}$ :

$\text{cast} \cdot \text{REP}('a::\text{rep}) = (\text{emb}::'a \rightarrow \text{udom}) \text{ oo } (\text{prj}::\text{udom} \rightarrow 'a)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{emb-prj}$ :  $\text{emb} \cdot ((\text{prj} \cdot x)::'a::\text{rep}) = \text{cast} \cdot \text{REP}('a) \cdot x$

$\langle \text{proof} \rangle$

**lemma**  $\text{in-REP-iff}$ :

$x :: \text{REP}('a::\text{rep}) \longleftrightarrow \text{emb} \cdot ((\text{prj} \cdot x)::'a) = x$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{prj-inverse}$ :

$x :: \text{REP}('a::\text{rep}) \implies \text{emb} \cdot ((\text{prj} \cdot x)::'a) = x$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{emb-in-REP}$   $[\text{simp}]$ :

$\text{emb} \cdot (x::'a::\text{rep}) :: \text{REP}('a)$   
 $\langle \text{proof} \rangle$

### 27.4 Coerce operator

**definition**  $\text{coerce} :: 'a \rightarrow 'b$

**where**  $\text{coerce} = \text{prj } \text{oo } \text{emb}$

**lemma**  $\text{beta-coerce}$ :  $\text{coerce} \cdot x = \text{prj} \cdot (\text{emb} \cdot x)$

$\langle \text{proof} \rangle$

**lemma**  $\text{prj-emb}$ :  $\text{prj} \cdot (\text{emb} \cdot x) = \text{coerce} \cdot x$

$\langle \text{proof} \rangle$

**lemma**  $\text{coerce-strict}$   $[\text{simp}]$ :  $\text{coerce} \cdot \perp = \perp$

$\langle \text{proof} \rangle$

**lemma** *coerce-eq-ID* [simp]:  $(coerce :: 'a \rightarrow 'a) = ID$   
 $\langle proof \rangle$

**lemma** *emb-coerce*:  
 $REP('a) \subseteq REP('b)$   
 $\implies emb \cdot ((coerce :: 'a \rightarrow 'b) \cdot x) = emb \cdot x$   
 $\langle proof \rangle$

**lemma** *coerce-prj*:  
 $REP('a) \subseteq REP('b)$   
 $\implies (coerce :: 'b \rightarrow 'a) \cdot (prj \cdot x) = prj \cdot x$   
 $\langle proof \rangle$

**lemma** *coerce-coerce* [simp]:  
 $REP('a) \subseteq REP('b)$   
 $\implies coerce \cdot ((coerce :: 'a \rightarrow 'b) \cdot x) = coerce \cdot x$   
 $\langle proof \rangle$

**lemma** *coerce-inverse*:  
 $emb \cdot (x :: 'a) :: REP('b) \implies coerce \cdot (coerce \cdot x :: 'b) = x$   
 $\langle proof \rangle$

**lemma** *coerce-type*:  
 $REP('a) \subseteq REP('b)$   
 $\implies emb \cdot ((coerce :: 'a \rightarrow 'b) \cdot x) :: REP('a)$   
 $\langle proof \rangle$

**lemma** *ep-pair-coerce*:  
 $REP('a) \subseteq REP('b)$   
 $\implies ep\_pair (coerce :: 'a \rightarrow 'b) (coerce :: 'b \rightarrow 'a)$   
 $\langle proof \rangle$

Isomorphism lemmas used internally by the domain package:

**lemma** *domain-abs-iso*:  
**fixes** *abs* **and** *rep*  
**assumes** *REP*:  $REP('b) = REP('a)$   
**assumes** *abs-def*:  $abs \equiv (coerce :: 'a \rightarrow 'b)$   
**assumes** *rep-def*:  $rep \equiv (coerce :: 'b \rightarrow 'a)$   
**shows**  $rep \cdot (abs \cdot x) = x$   
 $\langle proof \rangle$

**lemma** *domain-rep-iso*:  
**fixes** *abs* **and** *rep*  
**assumes** *REP*:  $REP('b) = REP('a)$   
**assumes** *abs-def*:  $abs \equiv (coerce :: 'a \rightarrow 'b)$   
**assumes** *rep-def*:  $rep \equiv (coerce :: 'b \rightarrow 'a)$   
**shows**  $abs \cdot (rep \cdot x) = x$   
 $\langle proof \rangle$

## 27.5 Proving a subtype is representable

Temporarily relax type constraints for *approx*, *emb*, and *prj*.

⟨ML⟩

**definition**

```
repdef-approx ::
  ('a::pcpo ⇒ udom) ⇒ (udom ⇒ 'a) ⇒ udom alg-defl ⇒ nat ⇒ 'a → 'a
```

**where**

```
repdef-approx Rep Abs t = (λi. Λ x. Abs (cast·(approx i·t)·(Rep x)))
```

**lemma** *typedef-rep-class*:

```
fixes Rep :: 'a::pcpo ⇒ udom
fixes Abs :: udom ⇒ 'a::pcpo
fixes t :: TypeRep
assumes type: type-definition Rep Abs {x. x ::: t}
assumes below: op ⊆ ≡ λx y. Rep x ⊆ Rep y
assumes emb: emb ≡ (Λ x. Rep x)
assumes prj: prj ≡ (Λ x. Abs (cast·t·x))
assumes approx: (approx :: nat ⇒ 'a → 'a) ≡ repdef-approx Rep Abs t
shows OFCLASS('a, rep-class)
```

⟨proof⟩

Restore original typing constraints

⟨ML⟩

**lemma** *typedef-REP*:

```
fixes Rep :: 'a::rep ⇒ udom
fixes Abs :: udom ⇒ 'a::rep
fixes t :: TypeRep
assumes type: type-definition Rep Abs {x. x ::: t}
assumes below: op ⊆ ≡ λx y. Rep x ⊆ Rep y
assumes emb: emb ≡ (Λ x. Rep x)
assumes prj: prj ≡ (Λ x. Abs (cast·t·x))
shows REP('a) = t
```

⟨proof⟩

**lemma** *adm-mem-Collect-in-deflation*: adm (λx. x ∈ {x. x ::: A})

⟨proof⟩

⟨ML⟩

## 27.6 Instances of class *rep*

### 27.6.1 Universal Domain

The Universal Domain itself is trivially representable.

**instantiation** *udom* :: *rep*

**begin**

**definition** *emb-udom-def* [*simp*]: *emb* = (*ID* :: *udom* → *udom*)

**definition** *prj-udom-def* [*simp*]: *prj* = (*ID* :: *udom* → *udom*)

**instance**

⟨*proof*⟩

**end**

### 27.6.2 Lifted types

**instantiation** *lift* :: (*countable*) *rep*

**begin**

**definition** *emb-lift-def*:

*emb* = *udom-emb* oo (*FLIFT* *x*. *Def* (*to-nat* *x*))

**definition** *prj-lift-def*:

*prj* = (*FLIFT* *n*. if (∃ *x*::'a::countable. *n* = *to-nat* *x*)  
then *Def* (*THE* *x*::'a. *n* = *to-nat* *x*) else ⊥) oo *udom-prj*

**instance**

⟨*proof*⟩

**end**

### 27.6.3 Representable type constructors

Functions between representable types are representable.

**instantiation** *cfun* :: (*rep*, *rep*) *rep*

**begin**

**definition** *emb-cfun-def*: *emb* = *udom-emb* oo *cfun-map*·*prj*·*emb*

**definition** *prj-cfun-def*: *prj* = *cfun-map*·*emb*·*prj* oo *udom-prj*

**instance**

⟨*proof*⟩

**end**

Strict products of representable types are representable.

**instantiation** *sprod* :: (*rep*, *rep*) *rep*

**begin**

**definition** *emb-sprod-def*: *emb* = *udom-emb* oo *sprod-map*·*emb*·*emb*

**definition** *prj-sprod-def*: *prj* = *sprod-map*·*prj*·*prj* oo *udom-prj*

**instance**

⟨*proof*⟩

**end**

Strict sums of representable types are representable.

**instantiation**  $ssum :: (rep, rep) \rightarrow rep$   
**begin**

**definition**  $emb\text{-}ssum\text{-}def: emb = udom\text{-}emb \circ ssmap \cdot emb \cdot emb$

**definition**  $prj\text{-}ssum\text{-}def: prj = ssmap \cdot prj \cdot prj \circ udom\text{-}prj$

**instance**

$\langle proof \rangle$

**end**

Up of a representable type is representable.

**instantiation**  $u :: (rep) \rightarrow rep$   
**begin**

**definition**  $emb\text{-}u\text{-}def: emb = udom\text{-}emb \circ u\text{-}map \cdot emb$

**definition**  $prj\text{-}u\text{-}def: prj = u\text{-}map \cdot prj \circ udom\text{-}prj$

**instance**

$\langle proof \rangle$

**end**

Cartesian products of representable types are representable.

**instantiation**  $* :: (rep, rep) \rightarrow rep$   
**begin**

**definition**  $emb\text{-}cprod\text{-}def: emb = udom\text{-}emb \circ cprod\text{-}map \cdot emb \cdot emb$

**definition**  $prj\text{-}cprod\text{-}def: prj = cprod\text{-}map \cdot prj \cdot prj \circ udom\text{-}prj$

**instance**

$\langle proof \rangle$

**end**

## 27.7 Type combinators

**definition**

$TypeRep\text{-}fun1 ::$   
 $((u \rightarrow u) \rightarrow ('a \rightarrow 'a))$   
 $\Rightarrow (TypeRep \rightarrow TypeRep)$

**where**

$TypeRep\text{-}fun1 f =$   
 $alg\text{-}defl.basis\text{-}fun (\lambda a.$   
 $alg\text{-}defl.principal ($

$$Abs\text{-}fin\text{-}defl \ (uom\text{-}emb \circ f \cdot (Rep\text{-}fin\text{-}defl \ a) \circ uom\text{-}prj)))$$

**definition**

$$\begin{aligned} TypeRep\text{-}fun2 &:: \\ &((uom \rightarrow uom) \rightarrow (uom \rightarrow uom) \rightarrow ('a \rightarrow 'a)) \\ &\Rightarrow (TypeRep \rightarrow TypeRep \rightarrow TypeRep) \end{aligned}$$

**where**

$$\begin{aligned} TypeRep\text{-}fun2 \ f &= \\ &alg\text{-}defl.basis\text{-}fun \ (\lambda a. \\ &alg\text{-}defl.basis\text{-}fun \ (\lambda b. \\ &alg\text{-}defl.principal \ ( \\ &Abs\text{-}fin\text{-}defl \ (uom\text{-}emb \circ \\ &f \cdot (Rep\text{-}fin\text{-}defl \ a) \cdot (Rep\text{-}fin\text{-}defl \ b) \circ uom\text{-}prj)))) \end{aligned}$$

**definition**  $cfun\text{-}defl = TypeRep\text{-}fun2 \ cfun\text{-}map$

**definition**  $ssum\text{-}defl = TypeRep\text{-}fun2 \ ssum\text{-}map$

**definition**  $sprod\text{-}defl = TypeRep\text{-}fun2 \ sprod\text{-}map$

**definition**  $cprod\text{-}defl = TypeRep\text{-}fun2 \ cprod\text{-}map$

**definition**  $u\text{-}defl = TypeRep\text{-}fun1 \ u\text{-}map$

**lemma**  $Rep\text{-}fin\text{-}defl\text{-}mono$ :  $a \sqsubseteq b \implies Rep\text{-}fin\text{-}defl \ a \sqsubseteq Rep\text{-}fin\text{-}defl \ b$   
 $\langle proof \rangle$

**lemma**  $cast\text{-}TypeRep\text{-}fun1$ :

**assumes**  $f$ :  $\bigwedge a. \text{finite}\text{-}deflation \ a \implies \text{finite}\text{-}deflation \ (f \cdot a)$

**shows**  $cast \cdot (TypeRep\text{-}fun1 \ f \cdot A) = uom\text{-}emb \circ f \cdot (cast \cdot A) \circ uom\text{-}prj$

$\langle proof \rangle$

**lemma**  $cast\text{-}TypeRep\text{-}fun2$ :

**assumes**  $f$ :  $\bigwedge a \ b. \text{finite}\text{-}deflation \ a \implies \text{finite}\text{-}deflation \ b \implies$   
 $\text{finite}\text{-}deflation \ (f \cdot a \cdot b)$

**shows**  $cast \cdot (TypeRep\text{-}fun2 \ f \cdot A \cdot B) =$

$$uom\text{-}emb \circ f \cdot (cast \cdot A) \cdot (cast \cdot B) \circ uom\text{-}prj$$

$\langle proof \rangle$

**lemma**  $cast\text{-}cfun\text{-}defl$ :

$$cast \cdot (cfun\text{-}defl \cdot A \cdot B) = uom\text{-}emb \circ cfun\text{-}map \cdot (cast \cdot A) \cdot (cast \cdot B) \circ uom\text{-}prj$$

$\langle proof \rangle$

**lemma**  $cast\text{-}ssum\text{-}defl$ :

$$cast \cdot (ssum\text{-}defl \cdot A \cdot B) = uom\text{-}emb \circ ssum\text{-}map \cdot (cast \cdot A) \cdot (cast \cdot B) \circ uom\text{-}prj$$

$\langle proof \rangle$

**lemma**  $cast\text{-}sprod\text{-}defl$ :

$$cast \cdot (sprod\text{-}defl \cdot A \cdot B) = uom\text{-}emb \circ sprod\text{-}map \cdot (cast \cdot A) \cdot (cast \cdot B) \circ uom\text{-}prj$$

$\langle proof \rangle$

**lemma**  $cast\text{-}cprod\text{-}defl$ :

$$cast \cdot (cprod\text{-}defl \cdot A \cdot B) = uom\text{-}emb \circ cprod\text{-}map \cdot (cast \cdot A) \cdot (cast \cdot B) \circ uom\text{-}prj$$

$\langle \text{proof} \rangle$

**lemma** *cast-u-defl*:

$$\text{cast} \cdot (\text{u-defl} \cdot A) = \text{u-dom-emb} \text{ oo } \text{u-map} \cdot (\text{cast} \cdot A) \text{ oo } \text{u-dom-prj}$$

$\langle \text{proof} \rangle$

REP of type constructor = type combinator

**lemma** *REP-cfun*:  $\text{REP}('a \rightarrow 'b) = \text{cfun-defl} \cdot \text{REP}('a) \cdot \text{REP}('b)$

$\langle \text{proof} \rangle$

**lemma** *REP-ssum*:  $\text{REP}('a \oplus 'b) = \text{ssum-defl} \cdot \text{REP}('a) \cdot \text{REP}('b)$

$\langle \text{proof} \rangle$

**lemma** *REP-sprod*:  $\text{REP}('a \otimes 'b) = \text{sprod-defl} \cdot \text{REP}('a) \cdot \text{REP}('b)$

$\langle \text{proof} \rangle$

**lemma** *REP-cprod*:  $\text{REP}('a \times 'b) = \text{cprod-defl} \cdot \text{REP}('a) \cdot \text{REP}('b)$

$\langle \text{proof} \rangle$

**lemma** *REP-up*:  $\text{REP}('a \text{ u}) = \text{u-defl} \cdot \text{REP}('a)$

$\langle \text{proof} \rangle$

**lemmas** *REP-simps* =

*REP-cfun*

*REP-ssum*

*REP-sprod*

*REP-cprod*

*REP-up*

## 27.8 Isomorphic deflations

**definition**

$$\text{isodefl} :: ('a :: \text{rep} \rightarrow 'a) \Rightarrow \text{u-dom alg-defl} \Rightarrow \text{bool}$$

**where**

$$\text{isodefl } d \text{ t} \iff \text{cast} \cdot t = \text{emb} \text{ oo } d \text{ oo } \text{prj}$$

**lemma** *isodeflI*:  $(\bigwedge x. \text{cast} \cdot t \cdot x = \text{emb} \cdot (d \cdot (\text{prj} \cdot x))) \implies \text{isodefl } d \text{ t}$

$\langle \text{proof} \rangle$

**lemma** *cast-isodefl*:  $\text{isodefl } d \text{ t} \implies \text{cast} \cdot t = (\bigwedge x. \text{emb} \cdot (d \cdot (\text{prj} \cdot x)))$

$\langle \text{proof} \rangle$

**lemma** *isodefl-strict*:  $\text{isodefl } d \text{ t} \implies d \cdot \perp = \perp$

$\langle \text{proof} \rangle$

**lemma** *isodefl-imp-deflation*:

**fixes**  $d :: 'a :: \text{rep} \rightarrow 'a$

**assumes** *isodefl*  $d \text{ t}$  **shows** *deflation*  $d$

$\langle \text{proof} \rangle$

**lemma** *isodefl-ID-REP*: *isodefl* (*ID* :: 'a → 'a) *REP*('a)  
 ⟨proof⟩

**lemma** *isodefl-REP-imp-ID*: *isodefl* (*d* :: 'a → 'a) *REP*('a) ⇒ *d* = *ID*  
 ⟨proof⟩

**lemma** *isodefl-bottom*: *isodefl* ⊥ ⊥  
 ⟨proof⟩

**lemma** *adm-isodefl*:  
*cont* *f* ⇒ *cont* *g* ⇒ *adm* (λ*x*. *isodefl* (*f* *x*) (*g* *x*))  
 ⟨proof⟩

**lemma** *isodefl-lub*:  
 assumes *chain* *d* and *chain* *t*  
 assumes  $\bigwedge i. \text{isodefl } (d\ i) (t\ i)$   
 shows *isodefl* ( $\bigsqcup i. d\ i$ ) ( $\bigsqcup i. t\ i$ )  
 ⟨proof⟩

**lemma** *isodefl-fix*:  
 assumes  $\bigwedge d\ t. \text{isodefl } d\ t \Rightarrow \text{isodefl } (f \cdot d) (g \cdot t)$   
 shows *isodefl* (*fix*·*f*) (*fix*·*g*)  
 ⟨proof⟩

**lemma** *isodefl-coerce*:  
 fixes *d* :: 'a → 'a  
 assumes *REP*: *REP*('b) = *REP*('a)  
 shows *isodefl* *d* *t* ⇒ *isodefl* (*coerce* oo *d* oo *coerce* :: 'b → 'b) *t*  
 ⟨proof⟩

**lemma** *isodefl-abs-rep*:  
 fixes *abs* and *rep* and *d*  
 assumes *REP*: *REP*('b) = *REP*('a)  
 assumes *abs-def*: *abs* ≡ (*coerce* :: 'a → 'b)  
 assumes *rep-def*: *rep* ≡ (*coerce* :: 'b → 'a)  
 shows *isodefl* *d* *t* ⇒ *isodefl* (*abs* oo *d* oo *rep*) *t*  
 ⟨proof⟩

**lemma** *isodefl-cfun*:  
*isodefl* *d1* *t1* ⇒ *isodefl* *d2* *t2* ⇒  
*isodefl* (*cfun-map*·*d1*·*d2*) (*cfun-defl*·*t1*·*t2*)  
 ⟨proof⟩

**lemma** *isodefl-ssum*:  
*isodefl* *d1* *t1* ⇒ *isodefl* *d2* *t2* ⇒  
*isodefl* (*ssum-map*·*d1*·*d2*) (*ssum-defl*·*t1*·*t2*)  
 ⟨proof⟩



**lemma** *isodeft-sprod*:  
 $\text{isodeft } d1 \ t1 \implies \text{isodeft } d2 \ t2 \implies$   
 $\text{isodeft } (\text{sprod-map} \cdot d1 \cdot d2) \ (\text{sprod-deft} \cdot t1 \cdot t2)$   
 $\langle \text{proof} \rangle$

**lemma** *isodeft-cprod*:  
 $\text{isodeft } d1 \ t1 \implies \text{isodeft } d2 \ t2 \implies$   
 $\text{isodeft } (\text{cprod-map} \cdot d1 \cdot d2) \ (\text{cprod-deft} \cdot t1 \cdot t2)$   
 $\langle \text{proof} \rangle$

**lemma** *isodeft-u*:  
 $\text{isodeft } d \ t \implies \text{isodeft } (\text{u-map} \cdot d) \ (\text{u-deft} \cdot t)$   
 $\langle \text{proof} \rangle$

## 27.9 Constructing Domain Isomorphisms

$\langle ML \rangle$

**end**

## 28 Domain: Domain package

**theory** *Domain*  
**imports** *Ssum Sprod Up One Tr Fixrec Representable*  
**uses**  
 $(\text{Tools}/\text{cont-consts}.ML)$   
 $(\text{Tools}/\text{cont-proc}.ML)$   
 $(\text{Tools}/\text{Domain}/\text{domain-constructors}.ML)$   
 $(\text{Tools}/\text{Domain}/\text{domain-library}.ML)$   
 $(\text{Tools}/\text{Domain}/\text{domain-axioms}.ML)$   
 $(\text{Tools}/\text{Domain}/\text{domain-theorems}.ML)$   
 $(\text{Tools}/\text{Domain}/\text{domain-extender}.ML)$   
**begin**

**default-sort** *pcpo*

### 28.1 Casedist

**lemma** *ex-one-defined-iff*:  
 $(\exists x. P \ x \wedge x \neq \perp) = P \ ONE$   
 $\langle \text{proof} \rangle$

**lemma** *ex-up-defined-iff*:  
 $(\exists x. P \ x \wedge x \neq \perp) = (\exists x. P \ (\text{up} \cdot x))$   
 $\langle \text{proof} \rangle$

**lemma** *ex-sprod-defined-iff*:  
 $(\exists y. P \ y \wedge y \neq \perp) =$

$(\exists x y. (P (:x, y:) \wedge x \neq \perp) \wedge y \neq \perp)$   
 $\langle proof \rangle$

**lemma** *ex-sprod-up-defined-iff*:  
 $(\exists y. P y \wedge y \neq \perp) =$   
 $(\exists x y. P (:up \cdot x, y:) \wedge y \neq \perp)$   
 $\langle proof \rangle$

**lemma** *ex-ssum-defined-iff*:  
 $(\exists x. P x \wedge x \neq \perp) =$   
 $((\exists x. P (sinl \cdot x) \wedge x \neq \perp) \vee$   
 $(\exists x. P (sinr \cdot x) \wedge x \neq \perp))$   
 $\langle proof \rangle$

**lemma** *exh-start*:  $p = \perp \vee (\exists x. p = x \wedge x \neq \perp)$   
 $\langle proof \rangle$

**lemmas** *ex-defined-iffs* =  
*ex-ssum-defined-iff*  
*ex-sprod-up-defined-iff*  
*ex-sprod-defined-iff*  
*ex-up-defined-iff*  
*ex-one-defined-iff*

Rules for turning exh into casedist

**lemma** *exh-casedist0*:  $\llbracket R; R \Longrightarrow P \rrbracket \Longrightarrow P$   
 $\langle proof \rangle$

**lemma** *exh-casedist1*:  $((P \vee Q \Longrightarrow R) \Longrightarrow S) \equiv (\llbracket P \Longrightarrow R; Q \Longrightarrow R \rrbracket \Longrightarrow S)$   
 $\langle proof \rangle$

**lemma** *exh-casedist2*:  $(\exists x. P x \Longrightarrow Q) \equiv (\bigwedge x. P x \Longrightarrow Q)$   
 $\langle proof \rangle$

**lemma** *exh-casedist3*:  $(P \wedge Q \Longrightarrow R) \equiv (P \Longrightarrow Q \Longrightarrow R)$   
 $\langle proof \rangle$

**lemmas** *exh-casedists* = *exh-casedist1 exh-casedist2 exh-casedist3*

## 28.2 Combinators for building copy functions

**lemmas** *domain-map-stricts* =  
*ssum-map-strict sprod-map-strict u-map-strict*

**lemmas** *domain-map-simps* =  
*ssum-map-sinl ssum-map-sinr sprod-map-spair u-map-up*

## 28.3 Installing the domain package

**lemmas** *con-strict-rules* =

*sinl-strict sinr-strict spair-strict1 spair-strict2*

**lemmas** *con-defin-rules* =  
*sinl-defined sinr-defined spair-defined up-defined ONE-defined*

**lemmas** *con-defined-iff-rules* =  
*sinl-defined-iff sinr-defined-iff spair-strict-iff up-defined ONE-defined*

**lemmas** *con-below-iff-rules* =  
*sinl-below sinr-below sinl-below-sinr sinr-below-sinl con-defined-iff-rules*

**lemmas** *con-eq-iff-rules* =  
*sinl-eq sinr-eq sinl-eq-sinr sinr-eq-sinl con-defined-iff-rules*

**lemmas** *sel-strict-rules* =  
*cfcomp2 sscase1 sfst-strict ssnd-strict fup1*

**lemma** *sel-app-extra-rules*:  
*sscase.ID.⊥.(sinr.x) = ⊥*  
*sscase.ID.⊥.(sinl.x) = x*  
*sscase.⊥.ID.(sinl.x) = ⊥*  
*sscase.⊥.ID.(sinr.x) = x*  
*fup.ID.(up.x) = x*  
 ⟨*proof*⟩

**lemmas** *sel-app-rules* =  
*sel-strict-rules sel-app-extra-rules*  
*ssnd-spair sfst-spair up-defined spair-defined*

**lemmas** *sel-defined-iff-rules* =  
*cfcomp2 sfst-defined-iff ssnd-defined-iff*

**lemmas** *take-con-rules* =  
*ssum-map-sinl' ssum-map-sinr' sprod-map-spair' u-map-up*  
*deflation-strict deflation-ID ID1 cfcomp2*

⟨*ML*⟩

**end**

## 29 UpperPD: Upper powerdomain

**theory** *UpperPD*  
**imports** *CompactBasis*  
**begin**

## 29.1 Basis preorder

### definition

$upper-le :: 'a \text{ pd-basis} \Rightarrow 'a \text{ pd-basis} \Rightarrow \text{bool}$  (**infix**  $\leq^\#$  50) **where**  
 $upper-le = (\lambda u \ v. \forall y \in \text{Rep-pd-basis } v. \exists x \in \text{Rep-pd-basis } u. x \sqsubseteq y)$

**lemma**  $upper-le\text{-refl}$  [simp]:  $t \leq^\# t$   
 $\langle proof \rangle$

**lemma**  $upper-le\text{-trans}$ :  $\llbracket t \leq^\# u; u \leq^\# v \rrbracket \Longrightarrow t \leq^\# v$   
 $\langle proof \rangle$

**interpretation**  $upper-le$ : preorder  $upper-le$   
 $\langle proof \rangle$

**lemma**  $upper-le\text{-minimal}$  [simp]:  $PDUnit \text{ compact-bot} \leq^\# t$   
 $\langle proof \rangle$

**lemma**  $PDUnit\text{-upper-mono}$ :  $x \sqsubseteq y \Longrightarrow PDUnit \ x \leq^\# PDUnit \ y$   
 $\langle proof \rangle$

**lemma**  $PDPlus\text{-upper-mono}$ :  $\llbracket s \leq^\# t; u \leq^\# v \rrbracket \Longrightarrow PDPlus \ s \ u \leq^\# PDPlus \ t \ v$   
 $\langle proof \rangle$

**lemma**  $PDPlus\text{-upper-le}$ :  $PDPlus \ t \ u \leq^\# t$   
 $\langle proof \rangle$

**lemma**  $upper-le\text{-}PDUnit\text{-}PDUnit\text{-iff}$  [simp]:  
 $(PDUnit \ a \leq^\# PDUnit \ b) = a \sqsubseteq b$   
 $\langle proof \rangle$

**lemma**  $upper-le\text{-}PDPlus\text{-}PDUnit\text{-iff}$ :  
 $(PDPlus \ t \ u \leq^\# PDUnit \ a) = (t \leq^\# PDUnit \ a \vee u \leq^\# PDUnit \ a)$   
 $\langle proof \rangle$

**lemma**  $upper-le\text{-}PDPlus\text{-iff}$ :  $(t \leq^\# PDPlus \ u \ v) = (t \leq^\# u \wedge t \leq^\# v)$   
 $\langle proof \rangle$

**lemma**  $upper-le\text{-induct}$  [induct set:  $upper-le$ ]:

**assumes**  $le$ :  $t \leq^\# u$

**assumes** 1:  $\bigwedge a \ b. a \sqsubseteq b \Longrightarrow P \ (PDUnit \ a) \ (PDUnit \ b)$

**assumes** 2:  $\bigwedge t \ u \ a. P \ t \ (PDUnit \ a) \Longrightarrow P \ (PDPlus \ t \ u) \ (PDUnit \ a)$

**assumes** 3:  $\bigwedge t \ u \ v. \llbracket P \ t \ u; P \ t \ v \rrbracket \Longrightarrow P \ t \ (PDPlus \ u \ v)$

**shows**  $P \ t \ u$

$\langle proof \rangle$

**lemma**  $pd\text{-take}\text{-upper-chain}$ :

$pd\text{-take } n \ t \leq^\# pd\text{-take } (Suc \ n) \ t$

$\langle proof \rangle$

**lemma** *pd-take-upper-le*:  $pd\text{-}take\ i\ t \leq_{\#} t$   
 $\langle proof \rangle$

**lemma** *pd-take-upper-mono*:  
 $t \leq_{\#} u \implies pd\text{-}take\ n\ t \leq_{\#} pd\text{-}take\ n\ u$   
 $\langle proof \rangle$

## 29.2 Type definition

**typedef** (open) *'a upper-pd* =  
 $\{S :: 'a\ pd\text{-}basis\ set.\ upper\text{-}le.\ ideal\ S\}$   
 $\langle proof \rangle$

**instantiation** *upper-pd* :: (profinite) below  
**begin**

**definition**  
 $x \sqsubseteq y \longleftrightarrow Rep\text{-}upper\text{-}pd\ x \subseteq Rep\text{-}upper\text{-}pd\ y$

**instance**  $\langle proof \rangle$   
**end**

**instance** *upper-pd* :: (profinite) po  
 $\langle proof \rangle$

**instance** *upper-pd* :: (profinite) cpo  
 $\langle proof \rangle$

**lemma** *Rep-upper-pd-lub*:  
 $chain\ Y \implies Rep\text{-}upper\text{-}pd\ (\bigsqcup i.\ Y\ i) = (\bigcup i.\ Rep\text{-}upper\text{-}pd\ (Y\ i))$   
 $\langle proof \rangle$

**lemma** *ideal-Rep-upper-pd*:  $upper\text{-}le.\ ideal\ (Rep\text{-}upper\text{-}pd\ xs)$   
 $\langle proof \rangle$

**definition**  
 $upper\text{-}principal :: 'a\ pd\text{-}basis \Rightarrow 'a\ upper\text{-}pd$  **where**  
 $upper\text{-}principal\ t = Abs\text{-}upper\text{-}pd\ \{u.\ u \leq_{\#} t\}$

**lemma** *Rep-upper-principal*:  
 $Rep\text{-}upper\text{-}pd\ (upper\text{-}principal\ t) = \{u.\ u \leq_{\#} t\}$   
 $\langle proof \rangle$

**interpretation** *upper-pd*:  
 $ideal\text{-}completion\ upper\text{-}le\ pd\text{-}take\ upper\text{-}principal\ Rep\text{-}upper\text{-}pd$   
 $\langle proof \rangle$

Upper powerdomain is pointed

**lemma** *upper-pd-minimal*:  $upper\text{-}principal\ (PDUnit\ compact\text{-}bot) \sqsubseteq ys$

$\langle proof \rangle$

**instance** *upper-pd* :: (*bifinite*) *pcpo*  
 $\langle proof \rangle$

**lemma** *inst-upper-pd-pcpo*:  $\perp = \text{upper-principal } (PDUnit \text{ compact-bot})$   
 $\langle proof \rangle$

Upper powerdomain is profinite

**instantiation** *upper-pd* :: (*profinite*) *profinite*  
**begin**

**definition**

*approx-upper-pd-def*: *approx* = *upper-pd.completion-approx*

**instance**  
 $\langle proof \rangle$

**end**

**instance** *upper-pd* :: (*bifinite*) *bifinite*  $\langle proof \rangle$

**lemma** *approx-upper-principal* [*simp*]:  
 $\text{approx } n \cdot (\text{upper-principal } t) = \text{upper-principal } (\text{pd-take } n \ t)$   
 $\langle proof \rangle$

**lemma** *approx-eq-upper-principal*:  
 $\exists t \in \text{Rep-upper-pd } xs. \text{approx } n \cdot xs = \text{upper-principal } (\text{pd-take } n \ t)$   
 $\langle proof \rangle$

### 29.3 Monadic unit and plus

**definition**

*upper-unit* :: 'a  $\rightarrow$  'a *upper-pd* **where**  
*upper-unit* = *compact-basis.basis-fun* ( $\lambda a. \text{upper-principal } (PDUnit \ a)$ )

**definition**

*upper-plus* :: 'a *upper-pd*  $\rightarrow$  'a *upper-pd*  $\rightarrow$  'a *upper-pd* **where**  
*upper-plus* = *upper-pd.basis-fun* ( $\lambda t. \text{upper-pd.basis-fun } (\lambda u. \text{upper-principal } (PDPlus \ t \ u))$ )

**abbreviation**

*upper-add* :: 'a *upper-pd*  $\Rightarrow$  'a *upper-pd*  $\Rightarrow$  'a *upper-pd*  
**(infixl** +<sub>#</sub> 65) **where**  
 $xs +_{\#} ys == \text{upper-plus} \cdot xs \cdot ys$

**syntax**

*-upper-pd* :: *args*  $\Rightarrow$  'a *upper-pd* ( $\{-\}_{\#}$ )

**translations**

$$\begin{aligned}\{x, xs\}^\# &== \{x\}^\# +^\# \{xs\}^\# \\ \{x\}^\# &== \text{CONST } \text{upper-unit} \cdot x\end{aligned}$$

**lemma** *upper-unit-Rep-compact-basis* [simp]:

$$\{\text{Rep-compact-basis } a\}^\# = \text{upper-principal } (\text{PDUnit } a)$$

⟨proof⟩

**lemma** *upper-plus-principal* [simp]:

$$\text{upper-principal } t +^\# \text{upper-principal } u = \text{upper-principal } (\text{PDPlus } t \ u)$$

⟨proof⟩

**lemma** *approx-upper-unit* [simp]:

$$\text{approx } n \cdot \{x\}^\# = \{\text{approx } n \cdot x\}^\#$$

⟨proof⟩

**lemma** *approx-upper-plus* [simp]:

$$\text{approx } n \cdot (xs +^\# ys) = (\text{approx } n \cdot xs) +^\# (\text{approx } n \cdot ys)$$

⟨proof⟩

**interpretation** *upper-add!*: *semilattice upper-add* ⟨proof⟩**lemmas** *upper-plus-assoc* = *upper-add.assoc***lemmas** *upper-plus-commute* = *upper-add.commute***lemmas** *upper-plus-absorb* = *upper-add.idem***lemmas** *upper-plus-left-commute* = *upper-add.left-commute***lemmas** *upper-plus-left-absorb* = *upper-add.left-idem*Useful for *simp* *add*: *upper-plus-ac***lemmas** *upper-plus-ac* =

$$\text{upper-plus-assoc } \text{upper-plus-commute } \text{upper-plus-left-commute}$$

Useful for *simp* *only*: *upper-plus-aci***lemmas** *upper-plus-aci* =

$$\text{upper-plus-ac } \text{upper-plus-absorb } \text{upper-plus-left-absorb}$$

**lemma** *upper-plus-below1*:  $xs +^\# ys \sqsubseteq xs$ 

⟨proof⟩

**lemma** *upper-plus-below2*:  $xs +^\# ys \sqsubseteq ys$ 

⟨proof⟩

**lemma** *upper-plus-greatest*:  $\llbracket xs \sqsubseteq ys; xs \sqsubseteq zs \rrbracket \implies xs \sqsubseteq ys +^\# zs$ 

⟨proof⟩

**lemma** *upper-below-plus-iff*:

$$xs \sqsubseteq ys +^\# zs \longleftrightarrow xs \sqsubseteq ys \wedge xs \sqsubseteq zs$$

⟨proof⟩

**lemma** *upper-plus-below-unit-iff*:

$$xs +\sharp ys \sqsubseteq \{z\}\sharp \longleftrightarrow xs \sqsubseteq \{z\}\sharp \vee ys \sqsubseteq \{z\}\sharp$$

$\langle proof \rangle$

**lemma** *upper-unit-below-iff* [simp]:  $\{x\}\sharp \sqsubseteq \{y\}\sharp \longleftrightarrow x \sqsubseteq y$

$\langle proof \rangle$

**lemmas** *upper-pd-below-simps* =

*upper-unit-below-iff*

*upper-below-plus-iff*

*upper-plus-below-unit-iff*

**lemma** *upper-unit-eq-iff* [simp]:  $\{x\}\sharp = \{y\}\sharp \longleftrightarrow x = y$

$\langle proof \rangle$

**lemma** *upper-unit-strict* [simp]:  $\{\perp\}\sharp = \perp$

$\langle proof \rangle$

**lemma** *upper-plus-strict1* [simp]:  $\perp +\sharp ys = \perp$

$\langle proof \rangle$

**lemma** *upper-plus-strict2* [simp]:  $xs +\sharp \perp = \perp$

$\langle proof \rangle$

**lemma** *upper-unit-strict-iff* [simp]:  $\{x\}\sharp = \perp \longleftrightarrow x = \perp$

$\langle proof \rangle$

**lemma** *upper-plus-strict-iff* [simp]:

$$xs +\sharp ys = \perp \longleftrightarrow xs = \perp \vee ys = \perp$$

$\langle proof \rangle$

**lemma** *compact-upper-unit-iff* [simp]: *compact*  $\{x\}\sharp \longleftrightarrow$  *compact*  $x$

$\langle proof \rangle$

**lemma** *compact-upper-plus* [simp]:

$$\llbracket \text{compact } xs; \text{ compact } ys \rrbracket \Longrightarrow \text{compact } (xs +\sharp ys)$$

$\langle proof \rangle$

## 29.4 Induction rules

**lemma** *upper-pd-induct1*:

**assumes**  $P$ : *adm*  $P$

**assumes** *unit*:  $\bigwedge x. P \{x\}\sharp$

**assumes** *insert*:  $\bigwedge x \ ys. \llbracket P \{x\}\sharp; P \ ys \rrbracket \Longrightarrow P (\{x\}\sharp +\sharp ys)$

**shows**  $P (xs::'a \text{ upper-pd})$

$\langle proof \rangle$

**lemma** *upper-pd-induct*:

**assumes**  $P$ : *adm*  $P$



**assumes** *unit*:  $\bigwedge x. P \{x\}^\sharp$   
**assumes** *plus*:  $\bigwedge xs \ ys. \llbracket P \ xs; P \ ys \rrbracket \implies P \ (xs +^\sharp ys)$   
**shows**  $P \ (xs :: 'a \text{ upper-pd})$   
 $\langle \text{proof} \rangle$

## 29.5 Monadic bind

### definition

*upper-bind-basis* ::  
 $'a \text{ pd-basis} \Rightarrow ('a \rightarrow 'b \text{ upper-pd}) \rightarrow 'b \text{ upper-pd}$  **where**  
*upper-bind-basis* = *fold-pd*  
 $(\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a))$   
 $(\lambda x \ y. \Lambda f. x \cdot f +^\sharp y \cdot f)$

### lemma *ACI-upper-bind*:

*class.ab-semigroup-idem-mult*  $(\lambda x \ y. \Lambda f. x \cdot f +^\sharp y \cdot f)$   
 $\langle \text{proof} \rangle$

### lemma *upper-bind-basis-simps* [*simp*]:

*upper-bind-basis* (*PDUnit* *a*) =  
 $(\Lambda f. f \cdot (\text{Rep-compact-basis } a))$   
*upper-bind-basis* (*PDPlus* *t u*) =  
 $(\Lambda f. \text{upper-bind-basis } t \cdot f +^\sharp \text{upper-bind-basis } u \cdot f)$   
 $\langle \text{proof} \rangle$

### lemma *upper-bind-basis-mono*:

$t \leq^\sharp u \implies \text{upper-bind-basis } t \sqsubseteq \text{upper-bind-basis } u$   
 $\langle \text{proof} \rangle$

### definition

*upper-bind* ::  $'a \text{ upper-pd} \rightarrow ('a \rightarrow 'b \text{ upper-pd}) \rightarrow 'b \text{ upper-pd}$  **where**  
*upper-bind* = *upper-pd.basis-fun* *upper-bind-basis*

### lemma *upper-bind-principal* [*simp*]:

$\text{upper-bind} \cdot (\text{upper-principal } t) = \text{upper-bind-basis } t$   
 $\langle \text{proof} \rangle$

### lemma *upper-bind-unit* [*simp*]:

$\text{upper-bind} \cdot \{x\}^\sharp \cdot f = f \cdot x$   
 $\langle \text{proof} \rangle$

### lemma *upper-bind-plus* [*simp*]:

$\text{upper-bind} \cdot (xs +^\sharp ys) \cdot f = \text{upper-bind} \cdot xs \cdot f +^\sharp \text{upper-bind} \cdot ys \cdot f$   
 $\langle \text{proof} \rangle$

### lemma *upper-bind-strict* [*simp*]: $\text{upper-bind} \cdot \perp \cdot f = f \cdot \perp$

$\langle \text{proof} \rangle$

## 29.6 Map and join

### definition

$upper-map :: ('a \rightarrow 'b) \rightarrow 'a \text{ upper-pd} \rightarrow 'b \text{ upper-pd}$  **where**  
 $upper-map = (\Lambda f \text{ xs}. upper-bind \cdot xs \cdot (\Lambda x. \{f \cdot x\}^\#))$

### definition

$upper-join :: 'a \text{ upper-pd} \text{ upper-pd} \rightarrow 'a \text{ upper-pd}$  **where**  
 $upper-join = (\Lambda \text{ xss}. upper-bind \cdot \text{xss} \cdot (\Lambda \text{ xs}. xs))$

**lemma**  $upper-map-unit$  [simp]:

$upper-map \cdot f \cdot \{x\}^\# = \{f \cdot x\}^\#$

$\langle proof \rangle$

**lemma**  $upper-map-plus$  [simp]:

$upper-map \cdot f \cdot (xs +^\# ys) = upper-map \cdot f \cdot xs +^\# upper-map \cdot f \cdot ys$

$\langle proof \rangle$

**lemma**  $upper-join-unit$  [simp]:

$upper-join \cdot \{xs\}^\# = xs$

$\langle proof \rangle$

**lemma**  $upper-join-plus$  [simp]:

$upper-join \cdot (xss +^\# yss) = upper-join \cdot xss +^\# upper-join \cdot yss$

$\langle proof \rangle$

**lemma**  $upper-map-ident$ :  $upper-map \cdot (\Lambda x. x) \cdot xs = xs$

$\langle proof \rangle$

**lemma**  $upper-map-ID$ :  $upper-map \cdot ID = ID$

$\langle proof \rangle$

**lemma**  $upper-map-map$ :

$upper-map \cdot f \cdot (upper-map \cdot g \cdot xs) = upper-map \cdot (\Lambda x. f \cdot (g \cdot x)) \cdot xs$

$\langle proof \rangle$

**lemma**  $upper-join-map-unit$ :

$upper-join \cdot (upper-map \cdot upper-unit \cdot xs) = xs$

$\langle proof \rangle$

**lemma**  $upper-join-map-join$ :

$upper-join \cdot (upper-map \cdot upper-join \cdot xsss) = upper-join \cdot (upper-join \cdot xsss)$

$\langle proof \rangle$

**lemma**  $upper-join-map-map$ :

$upper-join \cdot (upper-map \cdot (upper-map \cdot f) \cdot xss) =$

$upper-map \cdot f \cdot (upper-join \cdot xss)$

$\langle proof \rangle$

**lemma**  $upper-map-approx$ :  $upper-map \cdot (approx \ n) \cdot xs = approx \ n \cdot xs$

*<proof>*

**lemma** *ep-pair-upper-map*: *ep-pair* *e p*  $\implies$  *ep-pair* (*upper-map*·*e*) (*upper-map*·*p*)  
*<proof>*

**lemma** *deflation-upper-map*: *deflation* *d*  $\implies$  *deflation* (*upper-map*·*d*)  
*<proof>*

**end**

## 30 LowerPD: Lower powerdomain

**theory** *LowerPD*  
**imports** *CompactBasis*  
**begin**

### 30.1 Basis preorder

**definition**

*lower-le* :: 'a *pd-basis*  $\Rightarrow$  'a *pd-basis*  $\Rightarrow$  bool (**infix**  $\leq_b$  50) **where**  
*lower-le* = ( $\lambda u v. \forall x \in \text{Rep-pd-basis } u. \exists y \in \text{Rep-pd-basis } v. x \sqsubseteq y$ )

**lemma** *lower-le-refl* [*simp*]:  $t \leq_b t$   
*<proof>*

**lemma** *lower-le-trans*:  $\llbracket t \leq_b u; u \leq_b v \rrbracket \implies t \leq_b v$   
*<proof>*

**interpretation** *lower-le*: preorder *lower-le*  
*<proof>*

**lemma** *lower-le-minimal* [*simp*]: *PDUnit compact-bot*  $\leq_b t$   
*<proof>*

**lemma** *PDUnit-lower-mono*:  $x \sqsubseteq y \implies \text{PDUnit } x \leq_b \text{PDUnit } y$   
*<proof>*

**lemma** *PDPlus-lower-mono*:  $\llbracket s \leq_b t; u \leq_b v \rrbracket \implies \text{PDPlus } s \ u \leq_b \text{PDPlus } t \ v$   
*<proof>*

**lemma** *PDPlus-lower-le*:  $t \leq_b \text{PDPlus } t \ u$   
*<proof>*

**lemma** *lower-le-PDUnit-PDUnit-iff* [*simp*]:  
 $(\text{PDUnit } a \leq_b \text{PDUnit } b) = a \sqsubseteq b$   
*<proof>*

**lemma** *lower-le-PDUnit-PDPlus-iff*:

$(PDUnit\ a \leq_b PDPlus\ t\ u) = (PDUnit\ a \leq_b t \vee PDUnit\ a \leq_b u)$   
 $\langle proof \rangle$

**lemma** *lower-le-PDPlus-iff*:  $(PDPlus\ t\ u \leq_b v) = (t \leq_b v \wedge u \leq_b v)$   
 $\langle proof \rangle$

**lemma** *lower-le-induct* [*induct set: lower-le*]:

**assumes** *le*:  $t \leq_b u$

**assumes** 1:  $\bigwedge a\ b. a \sqsubseteq b \implies P\ (PDUnit\ a)\ (PDUnit\ b)$

**assumes** 2:  $\bigwedge t\ u\ a. P\ (PDUnit\ a)\ t \implies P\ (PDUnit\ a)\ (PDPlus\ t\ u)$

**assumes** 3:  $\bigwedge t\ u\ v. \llbracket P\ t\ v; P\ u\ v \rrbracket \implies P\ (PDPlus\ t\ u)\ v$

**shows**  $P\ t\ u$

$\langle proof \rangle$

**lemma** *pd-take-lower-chain*:

$pd\text{-}take\ n\ t \leq_b pd\text{-}take\ (Suc\ n)\ t$

$\langle proof \rangle$

**lemma** *pd-take-lower-le*:  $pd\text{-}take\ i\ t \leq_b t$

$\langle proof \rangle$

**lemma** *pd-take-lower-mono*:

$t \leq_b u \implies pd\text{-}take\ n\ t \leq_b pd\text{-}take\ n\ u$

$\langle proof \rangle$

## 30.2 Type definition

**typedef** (**open**) *'a lower-pd* =

$\{S :: 'a\ pd\text{-}basis\ set. lower\text{-}le.\ ideal\ S\}$

$\langle proof \rangle$

**instantiation** *lower-pd* :: (*profinite*) *below*

**begin**

**definition**

$x \sqsubseteq y \longleftrightarrow Rep\text{-}lower\text{-}pd\ x \subseteq Rep\text{-}lower\text{-}pd\ y$

**instance**  $\langle proof \rangle$

**end**

**instance** *lower-pd* :: (*profinite*) *po*

$\langle proof \rangle$

**instance** *lower-pd* :: (*profinite*) *cpo*

$\langle proof \rangle$

**lemma** *Rep-lower-pd-lub*:

$chain\ Y \implies Rep\text{-}lower\text{-}pd\ (\bigsqcup i. Y\ i) = (\bigcup i. Rep\text{-}lower\text{-}pd\ (Y\ i))$

$\langle proof \rangle$

**lemma** *ideal-Rep-lower-pd*: *lower-le.ideal (Rep-lower-pd xs)*  
 $\langle \text{proof} \rangle$

**definition**

*lower-principal* :: 'a *pd-basis*  $\Rightarrow$  'a *lower-pd* **where**  
*lower-principal* *t* = *Abs-lower-pd* {*u*. *u*  $\leq_b$  *t*}

**lemma** *Rep-lower-principal*:

*Rep-lower-pd (lower-principal t)* = {*u*. *u*  $\leq_b$  *t*}  
 $\langle \text{proof} \rangle$

**interpretation** *lower-pd*:

*ideal-completion lower-le pd-take lower-principal Rep-lower-pd*  
 $\langle \text{proof} \rangle$

Lower powerdomain is pointed

**lemma** *lower-pd-minimal*: *lower-principal (PDUnit compact-bot)*  $\sqsubseteq$  *ys*  
 $\langle \text{proof} \rangle$

**instance** *lower-pd* :: (*bifinite*) *pcpo*  
 $\langle \text{proof} \rangle$

**lemma** *inst-lower-pd-pcpo*:  $\perp = \text{lower-principal } (PDUnit \text{ compact-bot})$   
 $\langle \text{proof} \rangle$

Lower powerdomain is profinite

**instantiation** *lower-pd* :: (*profinite*) *profinite*  
**begin**

**definition**

*approx-lower-pd-def*: *approx* = *lower-pd.completion-approx*

**instance**

$\langle \text{proof} \rangle$

**end**

**instance** *lower-pd* :: (*bifinite*) *bifinite*  $\langle \text{proof} \rangle$

**lemma** *approx-lower-principal [simp]*:

*approx n*.(*lower-principal t*) = *lower-principal (pd-take n t)*  
 $\langle \text{proof} \rangle$

**lemma** *approx-eq-lower-principal*:

$\exists t \in \text{Rep-lower-pd } xs. \text{ approx } n \cdot xs = \text{lower-principal } (pd\text{-take } n \ t)$   
 $\langle \text{proof} \rangle$

### 30.3 Monadic unit and plus

#### definition

$lower-unit :: 'a \rightarrow 'a \text{ lower-pd}$  **where**  
 $lower-unit = compact-basis.basis-fun (\lambda a. lower-principal (PDUnit a))$

#### definition

$lower-plus :: 'a \text{ lower-pd} \rightarrow 'a \text{ lower-pd} \rightarrow 'a \text{ lower-pd}$  **where**  
 $lower-plus = lower-pd.basis-fun (\lambda t. lower-pd.basis-fun (\lambda u. lower-principal (PDPlus t u)))$

#### abbreviation

$lower-add :: 'a \text{ lower-pd} \Rightarrow 'a \text{ lower-pd} \Rightarrow 'a \text{ lower-pd}$   
**(infixl +b 65) where**  
 $xs +b ys == lower-plus.xs.ys$

#### syntax

$-lower-pd :: args \Rightarrow 'a \text{ lower-pd} (\{-\}b)$

#### translations

$\{x, xs\}b == \{x\}b +b \{xs\}b$   
 $\{x\}b == CONST lower-unit.x$

#### lemma *lower-unit-Rep-compact-basis* [simp]:

$\{Rep-compact-basis a\}b = lower-principal (PDUnit a)$   
 $\langle proof \rangle$

#### lemma *lower-plus-principal* [simp]:

$lower-principal t +b lower-principal u = lower-principal (PDPlus t u)$   
 $\langle proof \rangle$

#### lemma *approx-lower-unit* [simp]:

$approx n.\{x\}b = \{approx n.x\}b$   
 $\langle proof \rangle$

#### lemma *approx-lower-plus* [simp]:

$approx n.(xs +b ys) = (approx n.xs) +b (approx n.ys)$   
 $\langle proof \rangle$

#### interpretation *lower-add!*: *semilattice lower-add* $\langle proof \rangle$

**lemmas** *lower-plus-assoc* = *lower-add.assoc*

**lemmas** *lower-plus-commute* = *lower-add.commute*

**lemmas** *lower-plus-absorb* = *lower-add.idem*

**lemmas** *lower-plus-left-commute* = *lower-add.left-commute*

**lemmas** *lower-plus-left-absorb* = *lower-add.left-idem*

Useful for *simp add: lower-plus-ac*

**lemmas** *lower-plus-ac* =

*lower-plus-assoc lower-plus-commute lower-plus-left-commute*

Useful for *simp only*: *lower-plus-aci*

**lemmas** *lower-plus-aci* =  
*lower-plus-ac lower-plus-absorb lower-plus-left-absorb*

**lemma** *lower-plus-below1*:  $xs \sqsubseteq xs +\flat ys$   
 $\langle proof \rangle$

**lemma** *lower-plus-below2*:  $ys \sqsubseteq xs +\flat ys$   
 $\langle proof \rangle$

**lemma** *lower-plus-least*:  $\llbracket xs \sqsubseteq zs; ys \sqsubseteq zs \rrbracket \implies xs +\flat ys \sqsubseteq zs$   
 $\langle proof \rangle$

**lemma** *lower-plus-below-iff*:  
 $xs +\flat ys \sqsubseteq zs \longleftrightarrow xs \sqsubseteq zs \wedge ys \sqsubseteq zs$   
 $\langle proof \rangle$

**lemma** *lower-unit-below-plus-iff*:  
 $\{x\}\flat ys +\flat zs \longleftrightarrow \{x\}\flat ys \vee \{x\}\flat zs \sqsubseteq zs$   
 $\langle proof \rangle$

**lemma** *lower-unit-below-iff* [simp]:  $\{x\}\flat \sqsubseteq \{y\}\flat \longleftrightarrow x \sqsubseteq y$   
 $\langle proof \rangle$

**lemmas** *lower-pd-below-simps* =  
*lower-unit-below-iff*  
*lower-plus-below-iff*  
*lower-unit-below-plus-iff*

**lemma** *lower-unit-eq-iff* [simp]:  $\{x\}\flat = \{y\}\flat \longleftrightarrow x = y$   
 $\langle proof \rangle$

**lemma** *lower-unit-strict* [simp]:  $\{\perp\}\flat = \perp$   
 $\langle proof \rangle$

**lemma** *lower-unit-strict-iff* [simp]:  $\{x\}\flat = \perp \longleftrightarrow x = \perp$   
 $\langle proof \rangle$

**lemma** *lower-plus-strict-iff* [simp]:  
 $xs +\flat ys = \perp \longleftrightarrow xs = \perp \wedge ys = \perp$   
 $\langle proof \rangle$

**lemma** *lower-plus-strict1* [simp]:  $\perp +\flat ys = ys$   
 $\langle proof \rangle$

**lemma** *lower-plus-strict2* [simp]:  $xs +\flat \perp = xs$   
 $\langle proof \rangle$

**lemma** *compact-lower-unit-iff* [simp]:  $compact \{x\}\flat \longleftrightarrow compact x$

$\langle proof \rangle$

**lemma** *compact-lower-plus* [simp]:

$\llbracket compact\ xs; compact\ ys \rrbracket \implies compact\ (xs\ +\!b\ ys)$

$\langle proof \rangle$

### 30.4 Induction rules

**lemma** *lower-pd-induct1*:

**assumes**  $P: adm\ P$

**assumes**  $unit: \bigwedge x. P\ \{x\}b$

**assumes** *insert*:

$\bigwedge x\ ys. \llbracket P\ \{x\}b; P\ ys \rrbracket \implies P\ (\{x\}b\ +\!b\ ys)$

**shows**  $P\ (xs::'a\ lower-pd)$

$\langle proof \rangle$

**lemma** *lower-pd-induct*:

**assumes**  $P: adm\ P$

**assumes**  $unit: \bigwedge x. P\ \{x\}b$

**assumes** *plus*:  $\bigwedge xs\ ys. \llbracket P\ xs; P\ ys \rrbracket \implies P\ (xs\ +\!b\ ys)$

**shows**  $P\ (xs::'a\ lower-pd)$

$\langle proof \rangle$

### 30.5 Monadic bind

**definition**

*lower-bind-basis* ::

$'a\ pd-basis \Rightarrow ('a \rightarrow 'b\ lower-pd) \rightarrow 'b\ lower-pd$  **where**

*lower-bind-basis* = *fold-pd*

$(\lambda a. \Lambda f. f \cdot (Rep-compact-basis\ a))$

$(\lambda x\ y. \Lambda f. x \cdot f\ +\!b\ y \cdot f)$

**lemma** *ACI-lower-bind*:

*class.ab-semigroup-idem-mult*  $(\lambda x\ y. \Lambda f. x \cdot f\ +\!b\ y \cdot f)$

$\langle proof \rangle$

**lemma** *lower-bind-basis-simps* [simp]:

*lower-bind-basis* (PDUnit  $a$ ) =

$(\Lambda f. f \cdot (Rep-compact-basis\ a))$

*lower-bind-basis* (PDPlus  $t\ u$ ) =

$(\Lambda f. lower-bind-basis\ t \cdot f\ +\!b\ lower-bind-basis\ u \cdot f)$

$\langle proof \rangle$

**lemma** *lower-bind-basis-mono*:

$t \leq\!b\ u \implies lower-bind-basis\ t \sqsubseteq lower-bind-basis\ u$

$\langle proof \rangle$

**definition**

*lower-bind* ::  $'a\ lower-pd \rightarrow ('a \rightarrow 'b\ lower-pd) \rightarrow 'b\ lower-pd$  **where**

*lower-bind* = *lower-pd.basis-fun lower-bind-basis*



**lemma** *lower-bind-principal* [simp]:  
 $\text{lower-bind} \cdot (\text{lower-principal } t) = \text{lower-bind-basis } t$   
 ⟨proof⟩

**lemma** *lower-bind-unit* [simp]:  
 $\text{lower-bind} \cdot \{x\}b \cdot f = f \cdot x$   
 ⟨proof⟩

**lemma** *lower-bind-plus* [simp]:  
 $\text{lower-bind} \cdot (xs +b ys) \cdot f = \text{lower-bind} \cdot xs \cdot f +b \text{lower-bind} \cdot ys \cdot f$   
 ⟨proof⟩

**lemma** *lower-bind-strict* [simp]:  $\text{lower-bind} \cdot \perp \cdot f = f \cdot \perp$   
 ⟨proof⟩

### 30.6 Map and join

**definition**  
 $\text{lower-map} :: ('a \rightarrow 'b) \rightarrow 'a \text{ lower-pd} \rightarrow 'b \text{ lower-pd}$  **where**  
 $\text{lower-map} = (\Lambda f \text{ xs}. \text{lower-bind} \cdot xs \cdot (\Lambda x. \{f \cdot x\}b))$

**definition**  
 $\text{lower-join} :: 'a \text{ lower-pd lower-pd} \rightarrow 'a \text{ lower-pd}$  **where**  
 $\text{lower-join} = (\Lambda xss. \text{lower-bind} \cdot xss \cdot (\Lambda xs. xs))$

**lemma** *lower-map-unit* [simp]:  
 $\text{lower-map} \cdot f \cdot \{x\}b = \{f \cdot x\}b$   
 ⟨proof⟩

**lemma** *lower-map-plus* [simp]:  
 $\text{lower-map} \cdot f \cdot (xs +b ys) = \text{lower-map} \cdot f \cdot xs +b \text{lower-map} \cdot f \cdot ys$   
 ⟨proof⟩

**lemma** *lower-join-unit* [simp]:  
 $\text{lower-join} \cdot \{xs\}b = xs$   
 ⟨proof⟩

**lemma** *lower-join-plus* [simp]:  
 $\text{lower-join} \cdot (xss +b yss) = \text{lower-join} \cdot xss +b \text{lower-join} \cdot yss$   
 ⟨proof⟩

**lemma** *lower-map-ident*:  $\text{lower-map} \cdot (\Lambda x. x) \cdot xs = xs$   
 ⟨proof⟩

**lemma** *lower-map-ID*:  $\text{lower-map} \cdot ID = ID$   
 ⟨proof⟩

**lemma** *lower-map-map*:

$lower\_map.f.(lower\_map.g.xs) = lower\_map.(\Lambda x. f.(g.x)).xs$   
 $\langle proof \rangle$

**lemma** *lower-join-map-unit*:  
 $lower\_join.(lower\_map.lower\_unit.xs) = xs$   
 $\langle proof \rangle$

**lemma** *lower-join-map-join*:  
 $lower\_join.(lower\_map.lower\_join.xsss) = lower\_join.(lower\_join.xsss)$   
 $\langle proof \rangle$

**lemma** *lower-join-map-map*:  
 $lower\_join.(lower\_map.(lower\_map.f).xss) =$   
 $lower\_map.f.(lower\_join.xss)$   
 $\langle proof \rangle$

**lemma** *lower-map-approx*:  $lower\_map.(approx\ n).xs = approx\ n.xs$   
 $\langle proof \rangle$

**lemma** *ep-pair-lower-map*:  $ep\_pair\ e\ p \implies ep\_pair\ (lower\_map.e)\ (lower\_map.p)$   
 $\langle proof \rangle$

**lemma** *deflation-lower-map*:  $deflation\ d \implies deflation\ (lower\_map.d)$   
 $\langle proof \rangle$

end

## 31 ConvexPD: Convex powerdomain

**theory** *ConvexPD*  
**imports** *UpperPD LowerPD*  
**begin**

### 31.1 Basis preorder

**definition**  
 $convex\_le :: 'a\ pd\_basis \Rightarrow 'a\ pd\_basis \Rightarrow bool$  (**infix**  $\leq_{\mathfrak{h}}$  50) **where**  
 $convex\_le = (\lambda u\ v. u \leq_{\mathfrak{h}} v \wedge u \leq_{\mathfrak{b}} v)$

**lemma** *convex-le-refl* [*simp*]:  $t \leq_{\mathfrak{h}} t$   
 $\langle proof \rangle$

**lemma** *convex-le-trans*:  $\llbracket t \leq_{\mathfrak{h}} u; u \leq_{\mathfrak{h}} v \rrbracket \implies t \leq_{\mathfrak{h}} v$   
 $\langle proof \rangle$

**interpretation** *convex-le*: *preorder convex-le*  
 $\langle proof \rangle$

**lemma** *upper-le-minimal* [simp]:  $PDUnit\ compact-bot \leq_{\mathfrak{h}} t$   
 ⟨proof⟩

**lemma** *PDUnit-convex-mono*:  $x \sqsubseteq y \implies PDUnit\ x \leq_{\mathfrak{h}} PDUnit\ y$   
 ⟨proof⟩

**lemma** *PDPlus-convex-mono*:  $\llbracket s \leq_{\mathfrak{h}} t; u \leq_{\mathfrak{h}} v \rrbracket \implies PDPlus\ s\ u \leq_{\mathfrak{h}} PDPlus\ t\ v$   
 ⟨proof⟩

**lemma** *convex-le-PDUnit-PDUnit-iff* [simp]:  
 $(PDUnit\ a \leq_{\mathfrak{h}} PDUnit\ b) = a \sqsubseteq b$   
 ⟨proof⟩

**lemma** *convex-le-PDUnit-lemma1*:  
 $(PDUnit\ a \leq_{\mathfrak{h}} t) = (\forall b \in Rep-pd-basis\ t. a \sqsubseteq b)$   
 ⟨proof⟩

**lemma** *convex-le-PDUnit-PDPlus-iff* [simp]:  
 $(PDUnit\ a \leq_{\mathfrak{h}} PDPlus\ t\ u) = (PDUnit\ a \leq_{\mathfrak{h}} t \wedge PDUnit\ a \leq_{\mathfrak{h}} u)$   
 ⟨proof⟩

**lemma** *convex-le-PDUnit-lemma2*:  
 $(t \leq_{\mathfrak{h}} PDUnit\ b) = (\forall a \in Rep-pd-basis\ t. a \sqsubseteq b)$   
 ⟨proof⟩

**lemma** *convex-le-PDPlus-PDUnit-iff* [simp]:  
 $(PDPlus\ t\ u \leq_{\mathfrak{h}} PDUnit\ a) = (t \leq_{\mathfrak{h}} PDUnit\ a \wedge u \leq_{\mathfrak{h}} PDUnit\ a)$   
 ⟨proof⟩

**lemma** *convex-le-PDPlus-lemma*:  
**assumes**  $z: PDPlus\ t\ u \leq_{\mathfrak{h}} z$   
**shows**  $\exists v\ w. z = PDPlus\ v\ w \wedge t \leq_{\mathfrak{h}} v \wedge u \leq_{\mathfrak{h}} w$   
 ⟨proof⟩

**lemma** *convex-le-induct* [induct set: *convex-le*]:  
**assumes**  $le: t \leq_{\mathfrak{h}} u$   
**assumes**  $2: \bigwedge t\ u\ v. \llbracket P\ t\ u; P\ u\ v \rrbracket \implies P\ t\ v$   
**assumes**  $3: \bigwedge a\ b. a \sqsubseteq b \implies P\ (PDUnit\ a)\ (PDUnit\ b)$   
**assumes**  $4: \bigwedge t\ u\ v\ w. \llbracket P\ t\ v; P\ u\ w \rrbracket \implies P\ (PDPlus\ t\ u)\ (PDPlus\ v\ w)$   
**shows**  $P\ t\ u$   
 ⟨proof⟩

**lemma** *pd-take-convex-chain*:  
 $pd-take\ n\ t \leq_{\mathfrak{h}} pd-take\ (Suc\ n)\ t$   
 ⟨proof⟩

**lemma** *pd-take-convex-le*:  $pd-take\ i\ t \leq_{\mathfrak{h}} t$   
 ⟨proof⟩

**lemma** *pd-take-convex-mono*:  
 $t \leq_{\mathfrak{h}} u \implies \text{pd-take } n \ t \leq_{\mathfrak{h}} \text{pd-take } n \ u$   
 ⟨proof⟩

### 31.2 Type definition

**typedef** (open) 'a convex-pd =  
 {S::'a pd-basis set. convex-le.ideal S}  
 ⟨proof⟩

**instantiation** convex-pd :: (profinite) below  
**begin**

**definition**  
 $x \sqsubseteq y \longleftrightarrow \text{Rep-convex-pd } x \subseteq \text{Rep-convex-pd } y$

**instance** ⟨proof⟩  
**end**

**instance** convex-pd :: (profinite) po  
 ⟨proof⟩

**instance** convex-pd :: (profinite) cpo  
 ⟨proof⟩

**lemma** *Rep-convex-pd-lub*:  
 $\text{chain } Y \implies \text{Rep-convex-pd } (\bigsqcup i. Y \ i) = (\bigcup i. \text{Rep-convex-pd } (Y \ i))$   
 ⟨proof⟩

**lemma** *ideal-Rep-convex-pd*: convex-le.ideal (Rep-convex-pd xs)  
 ⟨proof⟩

**definition**  
 convex-principal :: 'a pd-basis  $\Rightarrow$  'a convex-pd **where**  
 convex-principal t = Abs-convex-pd {u. u  $\leq_{\mathfrak{h}}$  t}

**lemma** *Rep-convex-principal*:  
 $\text{Rep-convex-pd } (\text{convex-principal } t) = \{u. u \leq_{\mathfrak{h}} t\}$   
 ⟨proof⟩

**interpretation** convex-pd:  
 ideal-completion convex-le pd-take convex-principal Rep-convex-pd  
 ⟨proof⟩

Convex powerdomain is pointed

**lemma** *convex-pd-minimal*: convex-principal (PDUUnit compact-bot)  $\sqsubseteq$  ys  
 ⟨proof⟩

**instance** convex-pd :: (bifinite) pcpo

*<proof>*

**lemma** *inst-convex-pd-pcpo*:  $\perp = \text{convex-principal } (PUnit \text{ compact-bot})$   
*<proof>*

Convex powerdomain is profinite

**instantiation** *convex-pd* :: (profinite) profinite  
**begin**

**definition**

*approx-convex-pd-def*: *approx* = *convex-pd.completion-approx*

**instance**

*<proof>*

**end**

**instance** *convex-pd* :: (bifinite) bifinite *<proof>*

**lemma** *approx-convex-principal* [simp]:

*approx n · (convex-principal t) = convex-principal (pd-take n t)*  
*<proof>*

**lemma** *approx-eq-convex-principal*:

$\exists t \in \text{Rep-convex-pd } xs. \text{approx } n \cdot xs = \text{convex-principal } (\text{pd-take } n \ t)$   
*<proof>*

### 31.3 Monadic unit and plus

**definition**

*convex-unit* :: 'a  $\rightarrow$  'a *convex-pd* **where**  
*convex-unit* = *compact-basis.basis-fun* ( $\lambda a. \text{convex-principal } (PUnit \ a)$ )

**definition**

*convex-plus* :: 'a *convex-pd*  $\rightarrow$  'a *convex-pd*  $\rightarrow$  'a *convex-pd* **where**  
*convex-plus* = *convex-pd.basis-fun* ( $\lambda t. \text{convex-pd.basis-fun } (\lambda u. \text{convex-principal } (PDPlus \ t \ u))$ )

**abbreviation**

*convex-add* :: 'a *convex-pd*  $\Rightarrow$  'a *convex-pd*  $\Rightarrow$  'a *convex-pd*  
 (infixl +<sub>‡</sub> 65) **where**  
*xs* +<sub>‡</sub> *ys* == *convex-plus* · *xs* · *ys*

**syntax**

*-convex-pd* :: *args*  $\Rightarrow$  'a *convex-pd* ( $\{-\}_{\dagger}$ )

**translations**

$\{x, xs\}_{\dagger} == \{x\}_{\dagger} +_{\dagger} \{xs\}_{\dagger}$   
 $\{x\}_{\dagger} == \text{CONST } \text{convex-unit} \cdot x$

**lemma** *convex-unit-Rep-compact-basis* [simp]:  
 $\{\text{Rep-compact-basis } a\} \sqsubseteq \text{convex-principal } (\text{PDUnit } a)$   
 ⟨proof⟩

**lemma** *convex-plus-principal* [simp]:  
 $\text{convex-principal } t + \sqsubseteq \text{convex-principal } u = \text{convex-principal } (\text{PDPlus } t \ u)$   
 ⟨proof⟩

**lemma** *approx-convex-unit* [simp]:  
 $\text{approx } n \cdot \{x\} \sqsubseteq \{\text{approx } n \cdot x\}$   
 ⟨proof⟩

**lemma** *approx-convex-plus* [simp]:  
 $\text{approx } n \cdot (xs + \sqsubseteq ys) = \text{approx } n \cdot xs + \sqsubseteq \text{approx } n \cdot ys$   
 ⟨proof⟩

**interpretation** *convex-add!*: *semilattice convex-add* ⟨proof⟩

**lemmas** *convex-plus-assoc* = *convex-add.assoc*  
**lemmas** *convex-plus-commute* = *convex-add.commute*  
**lemmas** *convex-plus-absorb* = *convex-add.idem*  
**lemmas** *convex-plus-left-commute* = *convex-add.left-commute*  
**lemmas** *convex-plus-left-absorb* = *convex-add.left-idem*

Useful for *simp add*: *convex-plus-ac*

**lemmas** *convex-plus-ac* =  
*convex-plus-assoc convex-plus-commute convex-plus-left-commute*

Useful for *simp only*: *convex-plus-aci*

**lemmas** *convex-plus-aci* =  
*convex-plus-ac convex-plus-absorb convex-plus-left-absorb*

**lemma** *convex-unit-below-plus-iff* [simp]:  
 $\{x\} \sqsubseteq ys + \sqsubseteq zs \longleftrightarrow \{x\} \sqsubseteq ys \wedge \{x\} \sqsubseteq zs$   
 ⟨proof⟩

**lemma** *convex-plus-below-unit-iff* [simp]:  
 $xs + \sqsubseteq ys \sqsubseteq \{z\} \longleftrightarrow xs \sqsubseteq \{z\} \wedge ys \sqsubseteq \{z\}$   
 ⟨proof⟩

**lemma** *convex-unit-below-iff* [simp]:  $\{x\} \sqsubseteq \{y\} \longleftrightarrow x \sqsubseteq y$   
 ⟨proof⟩

**lemma** *convex-unit-eq-iff* [simp]:  $\{x\} \sqsubseteq \{y\} \longleftrightarrow x = y$   
 ⟨proof⟩

**lemma** *convex-unit-strict* [simp]:  $\{\perp\} \sqsubseteq \perp$   
 ⟨proof⟩

**lemma** *convex-unit-strict-iff* [simp]:  $\{x\} \sqsubseteq = \perp \iff x = \perp$   
 <proof>

**lemma** *compact-convex-unit-iff* [simp]:  
 $\text{compact } \{x\} \sqsubseteq \iff \text{compact } x$   
 <proof>

**lemma** *compact-convex-plus* [simp]:  
 $\llbracket \text{compact } xs; \text{ compact } ys \rrbracket \implies \text{compact } (xs + \sqsubseteq ys)$   
 <proof>

### 31.4 Induction rules

**lemma** *convex-pd-induct1*:  
 assumes  $P: \text{adm } P$   
 assumes *unit*:  $\bigwedge x. P \{x\} \sqsubseteq$   
 assumes *insert*:  $\bigwedge x \text{ } ys. \llbracket P \{x\} \sqsubseteq; P \text{ } ys \rrbracket \implies P (\{x\} \sqsubseteq + \sqsubseteq ys)$   
 shows  $P (xs :: 'a \text{ convex-pd})$   
 <proof>

**lemma** *convex-pd-induct*:  
 assumes  $P: \text{adm } P$   
 assumes *unit*:  $\bigwedge x. P \{x\} \sqsubseteq$   
 assumes *plus*:  $\bigwedge xs \text{ } ys. \llbracket P \text{ } xs; P \text{ } ys \rrbracket \implies P (xs + \sqsubseteq ys)$   
 shows  $P (xs :: 'a \text{ convex-pd})$   
 <proof>

### 31.5 Monadic bind

**definition**  
*convex-bind-basis* ::  
 $'a \text{ pd-basis} \Rightarrow ('a \rightarrow 'b \text{ convex-pd}) \rightarrow 'b \text{ convex-pd}$  **where**  
 $\text{convex-bind-basis} = \text{fold-pd}$   
 $(\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a))$   
 $(\lambda x \text{ } y. \Lambda f. x \cdot f + \sqsubseteq y \cdot f)$

**lemma** *ACI-convex-bind*:  
 $\text{class.ab-semigroup-idem-mult } (\lambda x \text{ } y. \Lambda f. x \cdot f + \sqsubseteq y \cdot f)$   
 <proof>

**lemma** *convex-bind-basis-simps* [simp]:  
 $\text{convex-bind-basis } (\text{PDUUnit } a) =$   
 $(\Lambda f. f \cdot (\text{Rep-compact-basis } a))$   
 $\text{convex-bind-basis } (\text{PDPlus } t \text{ } u) =$   
 $(\Lambda f. \text{convex-bind-basis } t \cdot f + \sqsubseteq \text{convex-bind-basis } u \cdot f)$   
 <proof>

**lemma** *monofun-LAM*:  
 $\llbracket \text{cont } f; \text{ cont } g; \bigwedge x. f \text{ } x \sqsubseteq g \text{ } x \rrbracket \implies (\Lambda x. f \text{ } x) \sqsubseteq (\Lambda x. g \text{ } x)$

$\langle \text{proof} \rangle$

**lemma** *convex-bind-basis-mono*:

$$t \leq_{\mathbb{H}} u \implies \text{convex-bind-basis } t \sqsubseteq \text{convex-bind-basis } u$$

$\langle \text{proof} \rangle$

**definition**

*convex-bind* :: 'a convex-pd  $\rightarrow$  ('a  $\rightarrow$  'b convex-pd)  $\rightarrow$  'b convex-pd **where**  
*convex-bind* = *convex-pd.basis-fun convex-bind-basis*

**lemma** *convex-bind-principal* [simp]:

$$\text{convex-bind} \cdot (\text{convex-principal } t) = \text{convex-bind-basis } t$$

$\langle \text{proof} \rangle$

**lemma** *convex-bind-unit* [simp]:

$$\text{convex-bind} \cdot \{x\}_{\mathbb{H}} \cdot f = f \cdot x$$

$\langle \text{proof} \rangle$

**lemma** *convex-bind-plus* [simp]:

$$\text{convex-bind} \cdot (xs +_{\mathbb{H}} ys) \cdot f = \text{convex-bind} \cdot xs \cdot f +_{\mathbb{H}} \text{convex-bind} \cdot ys \cdot f$$

$\langle \text{proof} \rangle$

**lemma** *convex-bind-strict* [simp]: *convex-bind*  $\cdot \perp \cdot f = f \cdot \perp$

$\langle \text{proof} \rangle$

## 31.6 Map and join

**definition**

*convex-map* :: ('a  $\rightarrow$  'b)  $\rightarrow$  'a convex-pd  $\rightarrow$  'b convex-pd **where**  
*convex-map* = ( $\Lambda f \ xs. \text{convex-bind} \cdot xs \cdot (\Lambda x. \{f \cdot x\}_{\mathbb{H}})$ )

**definition**

*convex-join* :: 'a convex-pd convex-pd  $\rightarrow$  'a convex-pd **where**  
*convex-join* = ( $\Lambda xss. \text{convex-bind} \cdot xss \cdot (\Lambda xs. xs)$ )

**lemma** *convex-map-unit* [simp]:

$$\text{convex-map} \cdot f \cdot (\text{convex-unit} \cdot x) = \text{convex-unit} \cdot (f \cdot x)$$

$\langle \text{proof} \rangle$

**lemma** *convex-map-plus* [simp]:

$$\text{convex-map} \cdot f \cdot (xs +_{\mathbb{H}} ys) = \text{convex-map} \cdot f \cdot xs +_{\mathbb{H}} \text{convex-map} \cdot f \cdot ys$$

$\langle \text{proof} \rangle$

**lemma** *convex-join-unit* [simp]:

$$\text{convex-join} \cdot \{xs\}_{\mathbb{H}} = xs$$

$\langle \text{proof} \rangle$

**lemma** *convex-join-plus* [simp]:

$$\text{convex-join} \cdot (xss +_{\mathbb{H}} yss) = \text{convex-join} \cdot xss +_{\mathbb{H}} \text{convex-join} \cdot yss$$



$\langle \text{proof} \rangle$

**lemma** *convex-map-ident*:  $\text{convex-map} \cdot (\lambda x. x) \cdot xs = xs$   
 $\langle \text{proof} \rangle$

**lemma** *convex-map-ID*:  $\text{convex-map} \cdot ID = ID$   
 $\langle \text{proof} \rangle$

**lemma** *convex-map-map*:  
 $\text{convex-map} \cdot f \cdot (\text{convex-map} \cdot g \cdot xs) = \text{convex-map} \cdot (\lambda x. f \cdot (g \cdot x)) \cdot xs$   
 $\langle \text{proof} \rangle$

**lemma** *convex-join-map-unit*:  
 $\text{convex-join} \cdot (\text{convex-map} \cdot \text{convex-unit} \cdot xs) = xs$   
 $\langle \text{proof} \rangle$

**lemma** *convex-join-map-join*:  
 $\text{convex-join} \cdot (\text{convex-map} \cdot \text{convex-join} \cdot xss) = \text{convex-join} \cdot (\text{convex-join} \cdot xsss)$   
 $\langle \text{proof} \rangle$

**lemma** *convex-join-map-map*:  
 $\text{convex-join} \cdot (\text{convex-map} \cdot (\text{convex-map} \cdot f) \cdot xss) =$   
 $\text{convex-map} \cdot f \cdot (\text{convex-join} \cdot xss)$   
 $\langle \text{proof} \rangle$

**lemma** *convex-map-approx*:  $\text{convex-map} \cdot (\text{approx } n) \cdot xs = \text{approx } n \cdot xs$   
 $\langle \text{proof} \rangle$

**lemma** *ep-pair-convex-map*:  
 $\text{ep-pair } e \ p \implies \text{ep-pair } (\text{convex-map} \cdot e) \ (\text{convex-map} \cdot p)$   
 $\langle \text{proof} \rangle$

**lemma** *deflation-convex-map*:  $\text{deflation } d \implies \text{deflation } (\text{convex-map} \cdot d)$   
 $\langle \text{proof} \rangle$

## 31.7 Conversions to other powerdomains

Convex to upper

**lemma** *convex-le-imp-upper-le*:  $t \leq_{\sharp} u \implies t \leq_{\#} u$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{convex-to-upper} :: 'a \text{ convex-pd} \rightarrow 'a \text{ upper-pd}$  **where**  
 $\text{convex-to-upper} = \text{convex-pd.basis-fun upper-principal}$

**lemma** *convex-to-upper-principal* [simp]:  
 $\text{convex-to-upper} \cdot (\text{convex-principal } t) = \text{upper-principal } t$   
 $\langle \text{proof} \rangle$

**lemma** *convex-to-upper-unit* [simp]:

$$\text{convex-to-upper}.\{x\}\sharp = \{x\}\sharp$$

*<proof>*

**lemma** *convex-to-upper-plus* [simp]:

$$\text{convex-to-upper}.(xs +\sharp ys) = \text{convex-to-upper}.xs +\sharp \text{convex-to-upper}.ys$$

*<proof>*

**lemma** *approx-convex-to-upper*:

$$\text{approx } i.(\text{convex-to-upper}.xs) = \text{convex-to-upper}.( \text{approx } i.xs)$$

*<proof>*

**lemma** *convex-to-upper-bind* [simp]:

$$\begin{aligned} \text{convex-to-upper}.( \text{convex-bind}.xs.f) &= \\ \text{upper-bind}.( \text{convex-to-upper}.xs).( \text{convex-to-upper } oo f) \end{aligned}$$

*<proof>*

**lemma** *convex-to-upper-map* [simp]:

$$\text{convex-to-upper}.( \text{convex-map}.f.xs) = \text{upper-map}.f.( \text{convex-to-upper}.xs)$$

*<proof>*

**lemma** *convex-to-upper-join* [simp]:

$$\begin{aligned} \text{convex-to-upper}.( \text{convex-join}.xss) &= \\ \text{upper-bind}.( \text{convex-to-upper}.xss). \text{convex-to-upper} \end{aligned}$$

*<proof>*

Convex to lower

**lemma** *convex-le-imp-lower-le*:  $t \leq\sharp u \implies t \leq\flat u$

*<proof>*

**definition**

*convex-to-lower* :: 'a convex-pd  $\rightarrow$  'a lower-pd **where**  
*convex-to-lower* = *convex-pd.basis-fun* lower-principal

**lemma** *convex-to-lower-principal* [simp]:

$$\text{convex-to-lower}.( \text{convex-principal } t) = \text{lower-principal } t$$

*<proof>*

**lemma** *convex-to-lower-unit* [simp]:

$$\text{convex-to-lower}.\{x\}\sharp = \{x\}\flat$$

*<proof>*

**lemma** *convex-to-lower-plus* [simp]:

$$\text{convex-to-lower}.(xs +\sharp ys) = \text{convex-to-lower}.xs +\flat \text{convex-to-lower}.ys$$

*<proof>*

**lemma** *approx-convex-to-lower*:

$$\text{approx } i.( \text{convex-to-lower}.xs) = \text{convex-to-lower}.( \text{approx } i.xs)$$

*<proof>*

```

lemma convex-to-lower-bind [simp]:
  convex-to-lower·(convex-bind·xs·f) =
    lower-bind·(convex-to-lower·xs)·(convex-to-lower oo f)
⟨proof⟩

lemma convex-to-lower-map [simp]:
  convex-to-lower·(convex-map·f·xs) = lower-map·f·(convex-to-lower·xs)
⟨proof⟩

lemma convex-to-lower-join [simp]:
  convex-to-lower·(convex-join·xss) =
    lower-bind·(convex-to-lower·xss)·convex-to-lower
⟨proof⟩

Ordering property

lemma convex-pd-below-iff:
  (xs ⊆ ys) =
    (convex-to-upper·xs ⊆ convex-to-upper·ys ∧
     convex-to-lower·xs ⊆ convex-to-lower·ys)
⟨proof⟩

lemmas convex-plus-below-plus-iff =
  convex-pd-below-iff [where xs=xs +⋓ ys and ys=zs +⋓ ws, standard]

lemmas convex-pd-below-simps =
  convex-unit-below-plus-iff
  convex-plus-below-unit-iff
  convex-plus-below-plus-iff
  convex-unit-below-iff
  convex-to-upper-unit
  convex-to-upper-plus
  convex-to-lower-unit
  convex-to-lower-plus
  upper-pd-below-simps
  lower-pd-below-simps

end

```

## 32 Powerdomains: Powerdomains

```

theory Powerdomains
imports Representable ConvexPD
begin

```

### 32.1 Powerdomains are representable

Upper powerdomain of a representable type is representable.

**instantiation** *upper-pd* :: (*rep*) *rep*  
**begin**

**definition** *emb-upper-pd-def*: *emb* = *udom-emb* oo *upper-map.emb*

**definition** *prj-upper-pd-def*: *prj* = *upper-map.prj* oo *udom-prj*

**instance**  
 ⟨*proof*⟩

**end**

Lower powerdomain of a representable type is representable.

**instantiation** *lower-pd* :: (*rep*) *rep*  
**begin**

**definition** *emb-lower-pd-def*: *emb* = *udom-emb* oo *lower-map.emb*

**definition** *prj-lower-pd-def*: *prj* = *lower-map.prj* oo *udom-prj*

**instance**  
 ⟨*proof*⟩

**end**

Convex powerdomain of a representable type is representable.

**instantiation** *convex-pd* :: (*rep*) *rep*  
**begin**

**definition** *emb-convex-pd-def*: *emb* = *udom-emb* oo *convex-map.emb*

**definition** *prj-convex-pd-def*: *prj* = *convex-map.prj* oo *udom-prj*

**instance**  
 ⟨*proof*⟩

**end**

## 32.2 Finite deflation lemmas

TODO: move these lemmas somewhere else

**lemma** *finite-compact-range-imp-finite-range*:

**fixes** *d* :: 'a::profinite → 'b::cpo

**assumes** *finite* (( $\lambda x. d \cdot x$ ) ‘ {*x*. compact *x*})

**shows** *finite* (*range* ( $\lambda x. d \cdot x$ ))

⟨*proof*⟩

**lemma** *finite-deflation-upper-map*:

**assumes** *finite-deflation* *d* **shows** *finite-deflation* (*upper-map.d*)

⟨*proof*⟩

**lemma** *finite-deflation-lower-map*:  
 assumes *finite-deflation d* **shows** *finite-deflation (lower-map·d)*  
 $\langle \text{proof} \rangle$

**lemma** *finite-deflation-convex-map*:  
 assumes *finite-deflation d* **shows** *finite-deflation (convex-map·d)*  
 $\langle \text{proof} \rangle$

### 32.3 Deflation combinators

**definition** *upper-defl* = *TypeRep-fun1 upper-map*

**definition** *lower-defl* = *TypeRep-fun1 lower-map*

**definition** *convex-defl* = *TypeRep-fun1 convex-map*

**lemma** *cast-upper-defl*:  
 $\text{cast} \cdot (\text{upper-defl} \cdot A) = \text{udom-emb} \text{ oo } \text{upper-map} \cdot (\text{cast} \cdot A) \text{ oo } \text{udom-prj}$   
 $\langle \text{proof} \rangle$

**lemma** *cast-lower-defl*:  
 $\text{cast} \cdot (\text{lower-defl} \cdot A) = \text{udom-emb} \text{ oo } \text{lower-map} \cdot (\text{cast} \cdot A) \text{ oo } \text{udom-prj}$   
 $\langle \text{proof} \rangle$

**lemma** *cast-convex-defl*:  
 $\text{cast} \cdot (\text{convex-defl} \cdot A) = \text{udom-emb} \text{ oo } \text{convex-map} \cdot (\text{cast} \cdot A) \text{ oo } \text{udom-prj}$   
 $\langle \text{proof} \rangle$

**lemma** *REP-upper*:  $\text{REP}('a \text{ upper-pd}) = \text{upper-defl} \cdot \text{REP}('a)$   
 $\langle \text{proof} \rangle$

**lemma** *REP-lower*:  $\text{REP}('a \text{ lower-pd}) = \text{lower-defl} \cdot \text{REP}('a)$   
 $\langle \text{proof} \rangle$

**lemma** *REP-convex*:  $\text{REP}('a \text{ convex-pd}) = \text{convex-defl} \cdot \text{REP}('a)$   
 $\langle \text{proof} \rangle$

**lemma** *isodefl-upper*:  
 $\text{isodefl } d \ t \implies \text{isodefl } (\text{upper-map} \cdot d) (\text{upper-defl} \cdot t)$   
 $\langle \text{proof} \rangle$

**lemma** *isodefl-lower*:  
 $\text{isodefl } d \ t \implies \text{isodefl } (\text{lower-map} \cdot d) (\text{lower-defl} \cdot t)$   
 $\langle \text{proof} \rangle$

**lemma** *isodefl-convex*:  
 $\text{isodefl } d \ t \implies \text{isodefl } (\text{convex-map} \cdot d) (\text{convex-defl} \cdot t)$   
 $\langle \text{proof} \rangle$

### 32.4 Domain package setup for powerdomains

$\langle \text{ML} \rangle$

end

**theory** *HOLCF*

**imports**

*Main*

*Domain*

*Powerdomains*

**begin**

**default-sort** *pcpo*

$\langle ML \rangle$

Legacy theorem names

**lemmas** *sq-ord-less-eq-trans* = *below-eq-trans*

**lemmas** *sq-ord-eq-less-trans* = *eq-below-trans*

**lemmas** *refl-less* = *below-refl*

**lemmas** *trans-less* = *below-trans*

**lemmas** *antisym-less* = *below-antisym*

**lemmas** *antisym-less-inverse* = *below-antisym-inverse*

**lemmas** *box-less* = *box-below*

**lemmas** *rev-trans-less* = *rev-below-trans*

**lemmas** *not-less2not-eq* = *not-below2not-eq*

**lemmas** *less-UU-iff* = *below-UU-iff*

**lemmas** *flat-less-iff* = *flat-below-iff*

**lemmas** *adm-less* = *adm-below*

**lemmas** *adm-not-less* = *adm-not-below*

**lemmas** *adm-compact-not-less* = *adm-compact-not-below*

**lemmas** *less-fun-def* = *below-fun-def*

**lemmas** *expand-fun-less* = *expand-fun-below*

**lemmas** *less-fun-ext* = *below-fun-ext*

**lemmas** *less-discr-def* = *below-discr-def*

**lemmas** *discr-less-eq* = *discr-below-eq*

**lemmas** *less-unit-def* = *below-unit-def*

**lemmas** *less-cprod-def* = *below-prod-def*

**lemmas** *prod-lessI* = *prod-belowI*

**lemmas** *Pair-less-iff* = *Pair-below-iff*

**lemmas** *fst-less-iff* = *fst-below-iff*

**lemmas** *snd-less-iff* = *snd-below-iff*

**lemmas** *expand-cfun-less* = *expand-cfun-below*

**lemmas** *less-cfun-ext* = *below-cfun-ext*

**lemmas** *injection-less* = *injection-below*

**lemmas** *approx-less* = *approx-below*

**lemmas** *profinite-less-ext* = *profinite-below-ext*

**lemmas** *less-up-def* = *below-up-def*

**lemmas** *not-Iup-less* = *not-Iup-below*

```

lemmas Iup-less = Iup-below
lemmas up-less = up-below
lemmas Def-inject-less-eq = Def-below-Def
lemmas Def-less-is-eq = Def-below-iff
lemmas spair-less-iff = spair-below-iff
lemmas less-sprod = below-sprod
lemmas spair-less = spair-below
lemmas sfst-less-iff = sfst-below-iff
lemmas ssnd-less-iff = ssnd-below-iff
lemmas fix-least-less = fix-least-below
lemmas dist-less-one = dist-below-one
lemmas less-ONE = below-ONE
lemmas ONE-less-iff = ONE-below-iff
lemmas less-sinlD = below-sinlD
lemmas less-sinrD = below-sinrD

end

```