

Hoare Logic

Various

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Abstract

These theories contain a Hoare logic for a simple imperative programming language with while-loops, including a verification condition generator.

Special infrastructure for modelling and reasoning about pointer programs is provided, together with many examples, including Schorr-Waite. See [1, 2] for an excellent exposition.

Contents

0.0.1	Derivation of the proof rules and, most importantly, the VCG tactic	10
0.0.2	References	11
0.0.3	Field access and update	11
0.1	The heap	11
0.1.1	Paths in the heap	11
0.1.2	Lists on the heap	12
0.1.3	Functional abstraction	13
0.2	Verifications	14
0.2.1	List reversal	14
0.2.2	Searching in a list	14
0.2.3	Merging two lists	15
0.2.4	Storage allocation	16
0.2.5	References	16
0.3	The heap	17
0.3.1	Paths in the heap	17
0.3.2	Non-repeating paths	17
0.3.3	Lists on the heap	17
0.3.4	Functional abstraction	18
0.3.5	Field access and update	19
0.4	Verifications	20
0.4.1	List reversal	20
0.4.2	Searching in a list	21
0.4.3	Splicing two lists	22
0.4.4	Merging two lists	22
0.4.5	Cyclic list reversal	25
0.4.6	Storage allocation	26
0.4.7	Field access and update	26
0.5	Verifications	27
0.5.1	List reversal	27
0.6	Machinery for the Schorr-Waite proof	27
0.7	The Schorr-Waite algorithm	30
0.7.1	Paths in the heap	31
0.7.2	Lists on the heap	32

```

theory Hoare-Logic
imports Main
uses (hoare-tac.ML)
begin

types
  'a bexp = 'a set
  'a assn = 'a set

datatype
  'a com = Basic 'a  $\Rightarrow$  'a
    | Seq 'a com 'a com ((-;/ -) [61,60] 60)
    | Cond 'a bexp 'a com 'a com ((1IF -/ THEN -/ ELSE -/ FI) [0,0,0] 61)
    | While 'a bexp 'a assn 'a com ((1WHILE -/ INV {-} //DO -/OD) [0,0,0]
61)

abbreviation annskip (SKIP) where SKIP == Basic id

types 'a sem = 'a  $\Rightarrow$  'a  $\Rightarrow$  bool

inductive Sem :: 'a com  $\Rightarrow$  'a sem
where
  Sem (Basic f) s (f s)
| Sem c1 s s''  $\Longrightarrow$  Sem c2 s'' s'  $\Longrightarrow$  Sem (c1;c2) s s'
| s  $\in$  b  $\Longrightarrow$  Sem c1 s s'  $\Longrightarrow$  Sem (IF b THEN c1 ELSE c2 FI) s s'
| s  $\notin$  b  $\Longrightarrow$  Sem c2 s s'  $\Longrightarrow$  Sem (IF b THEN c1 ELSE c2 FI) s s'
| s  $\notin$  b  $\Longrightarrow$  Sem (While b x c) s s
| s  $\in$  b  $\Longrightarrow$  Sem c s s''  $\Longrightarrow$  Sem (While b x c) s'' s'  $\Longrightarrow$ 
  Sem (While b x c) s s'

inductive-cases [elim!]:
  Sem (Basic f) s s' Sem (c1;c2) s s'
  Sem (IF b THEN c1 ELSE c2 FI) s s'

definition Valid :: 'a bexp  $\Rightarrow$  'a com  $\Rightarrow$  'a bexp  $\Rightarrow$  bool where
  Valid p c q == !s s'. Sem c s s'  $\longrightarrow$  s : p  $\longrightarrow$  s' : q

syntax
  -assign :: id  $\Rightarrow$  'b  $\Rightarrow$  'a com ((2- :=/ -) [70,65] 61)

syntax
  -hoare-vars :: [idts, 'a assn, 'a com, 'a assn]  $\Rightarrow$  bool
    (VARS -// {-} // - // {-} [0,0,55,0] 50)

```

```

syntax ( output)
  -hoare      :: ['a assn, 'a com, 'a assn] => bool
               ({-} // - // {-} [0,55,0] 50)
⟨ML⟩

lemma SkipRule:  $p \subseteq q \implies \text{Valid } p \text{ (Basic id) } q$ 
⟨proof⟩

lemma BasicRule:  $p \subseteq \{s. f \ s \in q\} \implies \text{Valid } p \text{ (Basic f) } q$ 
⟨proof⟩

lemma SeqRule:  $\text{Valid } P \ c1 \ Q \implies \text{Valid } Q \ c2 \ R \implies \text{Valid } P \ (c1;c2) \ R$ 
⟨proof⟩

lemma CondRule:
   $p \subseteq \{s. (s \in b \longrightarrow s \in w) \wedge (s \notin b \longrightarrow s \in w')\}$ 
   $\implies \text{Valid } w \ c1 \ q \implies \text{Valid } w' \ c2 \ q \implies \text{Valid } p \text{ (Cond b c1 c2) } q$ 
⟨proof⟩

lemma While-aux:
  assumes Sem (WHILE b INV {i} DO c OD) s s'
  shows  $\forall s \ s'. \text{Sem } c \ s \ s' \longrightarrow s \in I \wedge s \in b \longrightarrow s' \in I \implies$ 
   $s \in I \implies s' \in I \wedge s' \notin b$ 
⟨proof⟩

lemma WhileRule:
   $p \subseteq i \implies \text{Valid } (i \cap b) \ c \ i \implies i \cap (-b) \subseteq q \implies \text{Valid } p \text{ (While b i c) } q$ 
⟨proof⟩

lemma Compl-Collect:  $\neg(\text{Collect } b) = \{x. \sim(b \ x)\}$ 
⟨proof⟩

lemmas AbortRule = SkipRule — dummy version
⟨ML⟩

end

theory Arith2
imports Main
begin

definition cd :: [nat, nat, nat] => bool where
  cd x m n == x dvd m & x dvd n

definition gcd :: [nat, nat] => nat where
  gcd m n == @x.(cd x m n) & (!y.(cd y m n) --> y<=x)

```

consts *fac* :: *nat* ==> *nat*

primrec

fac 0 = *Suc* 0

fac(*Suc* *n*) = (*Suc* *n*)**fac*(*n*)

cd

lemma *cd-nnn*: $0 < n \implies cd\ n\ n\ n$

<proof>

lemma *cd-le*: $[| cd\ x\ m\ n; 0 < m; 0 < n |] \implies x \leq m \ \& \ x \leq n$

<proof>

lemma *cd-swap*: $cd\ x\ m\ n = cd\ x\ n\ m$

<proof>

lemma *cd-diff-l*: $n \leq m \implies cd\ x\ m\ n = cd\ x\ (m - n)\ n$

<proof>

lemma *cd-diff-r*: $m \leq n \implies cd\ x\ m\ n = cd\ x\ m\ (n - m)$

<proof>

gcd

lemma *gcd-nnn*: $0 < n \implies n = gcd\ n\ n$

<proof>

lemma *gcd-swap*: $gcd\ m\ n = gcd\ n\ m$

<proof>

lemma *gcd-diff-l*: $n \leq m \implies gcd\ m\ n = gcd\ (m - n)\ n$

<proof>

lemma *gcd-diff-r*: $m \leq n \implies gcd\ m\ n = gcd\ m\ (n - m)$

<proof>

pow

lemma *sq-pow-div2* [*simp*]:

$m \bmod 2 = 0 \implies ((n::nat)*n)^(m \div 2) = n^m$

<proof>

end

theory *Examples* **imports** *Hoare-Logic Arith2* **begin**

lemma *multiply-by-add*: VARS $m\ s\ a\ b$

$\{a=A \ \& \ b=B\}$
 $m := 0; s := 0;$
 WHILE $m \sim a$
 INV $\{s=m*b \ \& \ a=A \ \& \ b=B\}$
 DO $s := s+b; m := m+(1::nat)$ OD
 $\{s = A*B\}$
 $\langle proof \rangle$

lemma VARS $M\ N\ P :: int$

$\{m=M \ \& \ n=N\}$
 IF $M < 0$ THEN $M := -M; N := -N$ ELSE SKIP FI;
 $P := 0;$
 WHILE $0 < M$
 INV $\{0 \leq M \ \& \ (EX\ p.\ p = (if\ m < 0\ then\ -m\ else\ m) \ \& \ p*N = m*n \ \& \ P = (p-M)*N)\}$
 DO $P := P+N; M := M - 1$ OD
 $\{P = m*n\}$
 $\langle proof \rangle$

lemma *Euclid-GCD*: VARS $a\ b$

$\{0 < A \ \& \ 0 < B\}$
 $a := A; b := B;$
 WHILE $a \neq b$
 INV $\{0 < a \ \& \ 0 < b \ \& \ gcd\ A\ B = gcd\ a\ b\}$
 DO IF $a < b$ THEN $b := b-a$ ELSE $a := a-b$ FI OD
 $\{a = gcd\ A\ B\}$
 $\langle proof \rangle$

lemmas *distribs* =

diff-mult-distrib diff-mult-distrib2 add-mult-distrib add-mult-distrib2

lemma *gcd-scm*: VARS $a\ b\ x\ y$

$\{0 < A \ \& \ 0 < B \ \& \ a=A \ \& \ b=B \ \& \ x=B \ \& \ y=A\}$
 WHILE $a \sim b$
 INV $\{0 < a \ \& \ 0 < b \ \& \ gcd\ A\ B = gcd\ a\ b \ \& \ 2*A*B = a*x + b*y\}$
 DO IF $a < b$ THEN $(b := b-a; x := x+y)$ ELSE $(a := a-b; y := y+x)$ FI OD
 $\{a = gcd\ A\ B \ \& \ 2*A*B = a*(x+y)\}$
 $\langle proof \rangle$

lemma *power-by-mult*: *VARs a b c*
 $\{a=A \ \& \ b=B\}$
 $c := (1::nat);$
 $WHILE \ b \ \sim = \ 0$
 $INV \ \{A \wedge B = c * a^b\}$
 $DO \ WHILE \ b \bmod 2 = 0$
 $INV \ \{A \wedge B = c * a^b\}$
 $DO \ a := a*a; \ b := b \div 2 \ OD;$
 $c := c*a; \ b := b - 1$
 OD
 $\{c = A \wedge B\}$
 $\langle proof \rangle$

lemma *factorial*: *VARs a b*
 $\{a=A\}$
 $b := 1;$
 $WHILE \ a \ \sim = \ 0$
 $INV \ \{fac \ A = b * fac \ a\}$
 $DO \ b := b*a; \ a := a - 1 \ OD$
 $\{b = fac \ A\}$
 $\langle proof \rangle$

lemma [*simp*]: $1 \leq i \implies fac \ (i - Suc \ 0) * i = fac \ i$
 $\langle proof \rangle$

lemma *VARs i f*
 $\{True\}$
 $i := (1::nat); \ f := 1;$
 $WHILE \ i \leq n \ INV \ \{f = fac(i - 1) \ \& \ 1 \leq i \ \& \ i \leq n+1\}$
 $DO \ f := f*i; \ i := i+1 \ OD$
 $\{f = fac \ n\}$
 $\langle proof \rangle$

lemma *sqrt*: *VARs r x*
 $\{True\}$
 $x := X; \ r := (0::nat);$
 $WHILE \ (r+1)*(r+1) \leq x$
 $INV \ \{r*r \leq x \ \& \ x=X\}$
 $DO \ r := r+1 \ OD$
 $\{r*r \leq X \ \& \ X < (r+1)*(r+1)\}$
 $\langle proof \rangle$

lemma *sqrt-without-multiplication*: *VARs* $u\ w\ r\ x$
 $\{True\}$
 $x := X; u := 1; w := 1; r := (0::nat);$
 $WHILE\ w \leq x$
 $INV\ \{u = r+r+1 \ \&\ w = (r+1)*(r+1) \ \&\ r*r \leq x \ \&\ x=X\}$
 $DO\ r := r + 1; w := w + u + 2; u := u + 2\ OD$
 $\{r*r \leq X \ \&\ X < (r+1)*(r+1)\}$
 $\langle proof \rangle$

lemma *imperative-reverse*: *VARs* $y\ x$
 $\{x=X\}$
 $y:=[];$
 $WHILE\ x \sim = []$
 $INV\ \{rev(x)@y = rev(X)\}$
 $DO\ y := (hd\ x \# y); x := tl\ x\ OD$
 $\{y=rev(X)\}$
 $\langle proof \rangle$

lemma *imperative-append*: *VARs* $x\ y$
 $\{x=X \ \&\ y=Y\}$
 $x := rev(x);$
 $WHILE\ x \sim = []$
 $INV\ \{rev(x)@y = X@Y\}$
 $DO\ y := (hd\ x \# y);$
 $\quad x := tl\ x$
 OD
 $\{y = X@Y\}$
 $\langle proof \rangle$

lemma *zero-search*: *VARs* $A\ i$
 $\{True\}$
 $i := 0;$
 $WHILE\ i < length\ A \ \&\ A!i \sim = key$
 $INV\ \{!j. j < i \longrightarrow A!j \sim = key\}$
 $DO\ i := i+1\ OD$
 $\{(i < length\ A \longrightarrow A!i = key) \ \&$
 $\quad (i = length\ A \longrightarrow (!j. j < length\ A \longrightarrow A!j \sim = key))\}$
 $\langle proof \rangle$

lemma *lem*: $m - \text{Suc } 0 < n \implies m < \text{Suc } n$

<proof>

lemma *Partition*:

```
[[ leq == %A i. !k. k < i --> A!k <= pivot;
   geq == %A i. !k. i < k & k < length A --> pivot <= A!k ]] ==>
  VARS A u l
  {0 < length(A::('a::order)list)}
  l := 0; u := length A - Suc 0;
  WHILE l <= u
  INV {leq A l & geq A u & u < length A & l <= length A}
  DO WHILE l < length A & A!l <= pivot
    INV {leq A l & geq A u & u < length A & l <= length A}
    DO l := l+1 OD;
    WHILE 0 < u & pivot <= A!u
    INV {leq A l & geq A u & u < length A & l <= length A}
    DO u := u - 1 OD;
    IF l <= u THEN A := A[l := A!u, u := A!l] ELSE SKIP FI
  OD
  {leq A u & (!k. u < k & k < l --> A!k = pivot) & geq A l}
```

<proof>

end

theory *Hoare-Logic-Abort*

imports *Main*

uses (*hoare-tac.ML*)

begin

types

'a bexp = *'a set*

'a assn = *'a set*

datatype

```
'a com = Basic 'a  $\Rightarrow$  'a
  | Abort
  | Seq 'a com 'a com ((-;/-) [61,60] 60)
  | Cond 'a bexp 'a com 'a com ((1IF -/ THEN - / ELSE -/ FI) [0,0,0] 61)
  | While 'a bexp 'a assn 'a com ((1WHILE -/ INV {-} // DO - / OD) [0,0,0]
61)
```

abbreviation *annskip* (*SKIP*) **where** *SKIP* == *Basic id*

types *'a sem* = *'a option* \Rightarrow *'a option* \Rightarrow *bool*

inductive $Sem :: 'a\ com \Rightarrow 'a\ sem$

where

$Sem\ (Basic\ f)\ None\ None$
 $| Sem\ (Basic\ f)\ (Some\ s)\ (Some\ (f\ s))$
 $| Sem\ Abort\ s\ None$
 $| Sem\ c1\ s\ s'' \Longrightarrow Sem\ c2\ s''\ s' \Longrightarrow Sem\ (c1;c2)\ s\ s'$
 $| Sem\ (IF\ b\ THEN\ c1\ ELSE\ c2\ FI)\ None\ None$
 $| s \in b \Longrightarrow Sem\ c1\ (Some\ s)\ s' \Longrightarrow Sem\ (IF\ b\ THEN\ c1\ ELSE\ c2\ FI)\ (Some\ s)$
 s'
 $| s \notin b \Longrightarrow Sem\ c2\ (Some\ s)\ s' \Longrightarrow Sem\ (IF\ b\ THEN\ c1\ ELSE\ c2\ FI)\ (Some\ s)$
 s'
 $| Sem\ (While\ b\ x\ c)\ None\ None$
 $| s \notin b \Longrightarrow Sem\ (While\ b\ x\ c)\ (Some\ s)\ (Some\ s)$
 $| s \in b \Longrightarrow Sem\ c\ (Some\ s)\ s'' \Longrightarrow Sem\ (While\ b\ x\ c)\ s''\ s' \Longrightarrow$
 $Sem\ (While\ b\ x\ c)\ (Some\ s)\ s'$

inductive-cases $[elim!]$:

$Sem\ (Basic\ f)\ s\ s'\ Sem\ (c1;c2)\ s\ s'$
 $Sem\ (IF\ b\ THEN\ c1\ ELSE\ c2\ FI)\ s\ s'$

definition $Valid :: 'a\ bexp \Rightarrow 'a\ com \Rightarrow 'a\ bexp \Rightarrow bool$ **where**

$Valid\ p\ c\ q == \forall s\ s'. Sem\ c\ s\ s' \longrightarrow s : Some\ 'p \longrightarrow s' : Some\ 'q$

syntax

$-assign :: id \Rightarrow 'b \Rightarrow 'a\ com \quad ((2- := / -) [70,65] 61)$

syntax

$-hoare-abort\ vars :: [idts, 'a\ assn, 'a\ com, 'a\ assn] \Rightarrow bool$
 $(VARs\ -//\ \{-\}\ /\ -\ /\ \{-\}\ [0,0,55,0]\ 50)$

syntax (output)

$-hoare-abort :: ['a\ assn, 'a\ com, 'a\ assn] \Rightarrow bool$
 $(\{-\}\ /\ -\ /\ \{-\}\ [0,55,0]\ 50)$

$\langle ML \rangle$

lemma $SkipRule: p \subseteq q \Longrightarrow Valid\ p\ (Basic\ id)\ q$

$\langle proof \rangle$

lemma $BasicRule: p \subseteq \{s. f\ s \in q\} \Longrightarrow Valid\ p\ (Basic\ f)\ q$

$\langle proof \rangle$

lemma $SeqRule: Valid\ P\ c1\ Q \Longrightarrow Valid\ Q\ c2\ R \Longrightarrow Valid\ P\ (c1;c2)\ R$

$\langle proof \rangle$

lemma *CondRule*:

$p \subseteq \{s. (s \in b \longrightarrow s \in w) \wedge (s \notin b \longrightarrow s \in w')\}$
 $\implies \text{Valid } w \text{ } c1 \text{ } q \implies \text{Valid } w' \text{ } c2 \text{ } q \implies \text{Valid } p \text{ } (\text{Cond } b \text{ } c1 \text{ } c2) \text{ } q$
 $\langle \text{proof} \rangle$

lemma *While-aux*:

assumes *Sem* (*WHILE* *b INV* {*i*} *DO c OD*) *s s'*
shows $\forall s s'. \text{Sem } c \text{ } s s' \longrightarrow s \in \text{Some } 'I \cap b \longrightarrow s' \in \text{Some } 'I \implies$
 $s \in \text{Some } 'I \implies s' \in \text{Some } '(I \cap -b)$
 $\langle \text{proof} \rangle$

lemma *WhileRule*:

$p \subseteq i \implies \text{Valid } (i \cap b) \text{ } c \text{ } i \implies i \cap (-b) \subseteq q \implies \text{Valid } p \text{ } (\text{While } b \text{ } i \text{ } c) \text{ } q$
 $\langle \text{proof} \rangle$

lemma *AbortRule*: $p \subseteq \{s. \text{False}\} \implies \text{Valid } p \text{ } \text{Abort } q$
 $\langle \text{proof} \rangle$

0.0.1 Derivation of the proof rules and, most importantly, the VCG tactic

lemma *Compl-Collect*: $\neg(\text{Collect } b) = \{x. \sim(b \text{ } x)\}$
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

syntax

-guarded-com :: *bool* \Rightarrow *'a com* \Rightarrow *'a com* ((*2- ->/ -*) 71)
-array-update :: *'a list* \Rightarrow *nat* \Rightarrow *'a* \Rightarrow *'a com* ((*2-[-] :=/ -*) [70, 65] 61)

translations

$P \rightarrow c == \text{IF } P \text{ THEN } c \text{ ELSE } \text{CONST } \text{Abort } FI$
 $a[i] := v \Rightarrow (i < \text{CONST length } a) \rightarrow (a := \text{CONST list-update } a \text{ } i \text{ } v)$

Note: there is no special syntax for guarded array access. Thus you must write $j < \text{length } a \rightarrow a[i] := a!j$.

end

theory *ExamplesAbort imports Hoare-Logic-Abort begin*

lemma *VARS* *x y z::nat*

$\{y = z \ \& \ z \neq 0\} \ z \neq 0 \rightarrow x := y \text{ div } z \ \{x = 1\}$
 $\langle \text{proof} \rangle$

lemma

VARS *a i j*

$\{k \leq \text{length } a \ \& \ i < k \ \& \ j < k\} \ j < \text{length } a \rightarrow a[i] := a[j] \ \{True\}$
 $\langle \text{proof} \rangle$

lemma *VARs* (*a::int list*) *i*
 $\{True\}$
 $i := 0;$
 $WHILE \ i < \text{length } a$
 $INV \ \{i \leq \text{length } a\}$
 $DO \ a[i] := 7; \ i := i+1 \ OD$
 $\{True\}$
 $\langle \text{proof} \rangle$

end

theory *Pointers0* **imports** *Hoare-Logic* **begin**

0.0.2 References

class *ref* =
fixes *Null* :: 'a

0.0.3 Field access and update

syntax
 $-fassign \ :: \ 'a::ref \Rightarrow id \Rightarrow 'v \Rightarrow 's \ com$
 $((2-\wedge.- := / -) \ [70,1000,65] \ 61)$
 $-faccess \ :: \ 'a::ref \Rightarrow ('a::ref \Rightarrow 'v) \Rightarrow 'v$
 $(-\wedge.- \ [65,1000] \ 65)$

translations
 $p \wedge f := e \Rightarrow f := CONST \ fun\text{-}upd \ f \ p \ e$
 $p \wedge f \quad \Rightarrow \ f \ p$

An example due to Suzuki:

lemma *VARs* *v n*
 $\{distinct[w,x,y,z]\}$
 $w \wedge v := (1::int); \ w \wedge n := x;$
 $x \wedge v := 2; \ x \wedge n := y;$
 $y \wedge v := 3; \ y \wedge n := z;$
 $z \wedge v := 4; \ x \wedge n := z$
 $\{w \wedge n \wedge n \wedge v = 4\}$
 $\langle \text{proof} \rangle$

0.1 The heap

0.1.1 Paths in the heap

consts

$Path :: ('a::ref \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a\ list \Rightarrow 'a \Rightarrow bool$

primrec

$Path\ h\ x\ []\ y = (x = y)$

$Path\ h\ x\ (a\#\!as)\ y = (x \neq Null \wedge x = a \wedge Path\ h\ (h\ a)\ as\ y)$

lemma $[iff]$: $Path\ h\ Null\ xs\ y = (xs = [] \wedge y = Null)$

$\langle proof \rangle$

lemma $[simp]$: $a \neq Null \implies Path\ h\ a\ as\ z =$

$(as = [] \wedge z = a \vee (\exists bs. as = a\#\!bs \wedge Path\ h\ (h\ a)\ bs\ z))$

$\langle proof \rangle$

lemma $[simp]$: $\bigwedge x. Path\ f\ x\ (as@bs)\ z = (\exists y. Path\ f\ x\ as\ y \wedge Path\ f\ y\ bs\ z)$

$\langle proof \rangle$

lemma $[simp]$: $\bigwedge x. u \notin set\ as \implies Path\ (f(u := v))\ x\ as\ y = Path\ f\ x\ as\ y$

$\langle proof \rangle$

0.1.2 Lists on the heap

Relational abstraction

definition $List :: ('a::ref \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a\ list \Rightarrow bool$ **where**

$List\ h\ x\ as == Path\ h\ x\ as\ Null$

lemma $[simp]$: $List\ h\ x\ [] = (x = Null)$

$\langle proof \rangle$

lemma $[simp]$: $List\ h\ x\ (a\#\!as) = (x \neq Null \wedge x = a \wedge List\ h\ (h\ a)\ as)$

$\langle proof \rangle$

lemma $[simp]$: $List\ h\ Null\ as = (as = [])$

$\langle proof \rangle$

lemma $List\text{-}Ref[simp]$:

$a \neq Null \implies List\ h\ a\ as = (\exists bs. as = a\#\!bs \wedge List\ h\ (h\ a)\ bs)$

$\langle proof \rangle$

theorem $notin\text{-}List\text{-}update[simp]$:

$\bigwedge x. a \notin set\ as \implies List\ (h(a := y))\ x\ as = List\ h\ x\ as$

$\langle proof \rangle$

declare $fun\text{-}upd\text{-}apply[simp\ del]fun\text{-}upd\text{-}same[simp]fun\text{-}upd\text{-}other[simp]$

lemma $List\text{-}unique$: $\bigwedge x\ bs. List\ h\ x\ as \implies List\ h\ x\ bs \implies as = bs$

$\langle proof \rangle$

lemma $List\text{-}unique1$: $List\ h\ p\ as \implies \exists! as. List\ h\ p\ as$

$\langle proof \rangle$

lemma *List-app*: $\bigwedge x. \text{List } h \ x \ (as@bs) = (\exists y. \text{Path } h \ x \ as \ y \wedge \text{List } h \ y \ bs)$
 $\langle proof \rangle$

lemma *List-hd-not-in-tl*[simp]: $\text{List } h \ (h \ a) \ as \implies a \notin \text{set } as$
 $\langle proof \rangle$

lemma *List-distinct*[simp]: $\bigwedge x. \text{List } h \ x \ as \implies \text{distinct } as$
 $\langle proof \rangle$

0.1.3 Functional abstraction

definition *islist* :: $('a::\text{ref} \Rightarrow 'a) \Rightarrow 'a \Rightarrow \text{bool}$ **where**
islist $h \ p == \exists as. \text{List } h \ p \ as$

definition *list* :: $('a::\text{ref} \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a \text{ list}$ **where**
list $h \ p == \text{SOME } as. \text{List } h \ p \ as$

lemma *List-conv-islist-list*: $\text{List } h \ p \ as = (\text{islist } h \ p \wedge as = \text{list } h \ p)$
 $\langle proof \rangle$

lemma [simp]: *islist* $h \ \text{Null}$
 $\langle proof \rangle$

lemma [simp]: $a \neq \text{Null} \implies \text{islist } h \ a = \text{islist } h \ (h \ a)$
 $\langle proof \rangle$

lemma [simp]: *list* $h \ \text{Null} = []$
 $\langle proof \rangle$

lemma *list-Ref-conv*[simp]:
 $\llbracket a \neq \text{Null}; \text{islist } h \ (h \ a) \rrbracket \implies \text{list } h \ a = a \# \text{list } h \ (h \ a)$
 $\langle proof \rangle$

lemma [simp]: *islist* $h \ (h \ a) \implies a \notin \text{set}(\text{list } h \ (h \ a))$
 $\langle proof \rangle$

lemma *list-upd-conv*[simp]:
 $\text{islist } h \ p \implies y \notin \text{set}(\text{list } h \ p) \implies \text{list } (h(y := q)) \ p = \text{list } h \ p$
 $\langle proof \rangle$

lemma *islist-upd*[simp]:
 $\text{islist } h \ p \implies y \notin \text{set}(\text{list } h \ p) \implies \text{islist } (h(y := q)) \ p$
 $\langle proof \rangle$

0.2 Verifications

0.2.1 List reversal

A short but unreadable proof:

lemma *VARs* $tl\ p\ q\ r$
 $\{List\ tl\ p\ Ps \wedge List\ tl\ q\ Qs \wedge set\ Ps \cap set\ Qs = \{\}\}$
 $WHILE\ p \neq Null$
 $INV\ \{\exists ps\ qs. List\ tl\ p\ ps \wedge List\ tl\ q\ qs \wedge set\ ps \cap set\ qs = \{\} \wedge$
 $rev\ ps\ @\ qs = rev\ Ps\ @\ Qs\}$
 $DO\ r := p; p := p.^{.}tl; r.^{.}tl := q; q := r\ OD$
 $\{List\ tl\ q\ (rev\ Ps\ @\ Qs)\}$
 $\langle proof \rangle$

A longer readable version:

lemma *VARs* $tl\ p\ q\ r$
 $\{List\ tl\ p\ Ps \wedge List\ tl\ q\ Qs \wedge set\ Ps \cap set\ Qs = \{\}\}$
 $WHILE\ p \neq Null$
 $INV\ \{\exists ps\ qs. List\ tl\ p\ ps \wedge List\ tl\ q\ qs \wedge set\ ps \cap set\ qs = \{\} \wedge$
 $rev\ ps\ @\ qs = rev\ Ps\ @\ Qs\}$
 $DO\ r := p; p := p.^{.}tl; r.^{.}tl := q; q := r\ OD$
 $\{List\ tl\ q\ (rev\ Ps\ @\ Qs)\}$
 $\langle proof \rangle$

Finally, the functional version. A bit more verbose, but automatic!

lemma *VARs* $tl\ p\ q\ r$
 $\{islist\ tl\ p \wedge islist\ tl\ q \wedge$
 $Ps = list\ tl\ p \wedge Qs = list\ tl\ q \wedge set\ Ps \cap set\ Qs = \{\}\}$
 $WHILE\ p \neq Null$
 $INV\ \{islist\ tl\ p \wedge islist\ tl\ q \wedge$
 $set(list\ tl\ p) \cap set(list\ tl\ q) = \{\} \wedge$
 $rev(list\ tl\ p)\ @\ (list\ tl\ q) = rev\ Ps\ @\ Qs\}$
 $DO\ r := p; p := p.^{.}tl; r.^{.}tl := q; q := r\ OD$
 $\{islist\ tl\ q \wedge list\ tl\ q = rev\ Ps\ @\ Qs\}$
 $\langle proof \rangle$

0.2.2 Searching in a list

What follows is a sequence of successively more intelligent proofs that a simple loop finds an element in a linked list.

We start with a proof based on the *List* predicate. This means it only works for acyclic lists.

lemma *VARs* $tl\ p$
 $\{List\ tl\ p\ Ps \wedge X \in set\ Ps\}$
 $WHILE\ p \neq Null \wedge p \neq X$
 $INV\ \{p \neq Null \wedge (\exists ps. List\ tl\ p\ ps \wedge X \in set\ ps)\}$
 $DO\ p := p.^{.}tl\ OD$
 $\{p = X\}$

$\langle proof \rangle$

Using *Path* instead of *List* generalizes the correctness statement to cyclic lists as well:

lemma *VARs* *tl p*
 $\{Path\ tl\ p\ Ps\ X\}$
 $WHILE\ p \neq Null \wedge p \neq X$
 $INV\ \{\exists ps. Path\ tl\ p\ ps\ X\}$
 $DO\ p := p.^{tl}\ OD$
 $\{p = X\}$
 $\langle proof \rangle$

Now it dawns on us that we do not need the list witness at all — it suffices to talk about reachability, i.e. we can use relations directly.

lemma *VARs* *tl p*
 $\{(p,X) \in \{(x,y). y = tl\ x \ \& \ x \neq Null\}^*\}$
 $WHILE\ p \neq Null \wedge p \neq X$
 $INV\ \{(p,X) \in \{(x,y). y = tl\ x \ \& \ x \neq Null\}^*\}$
 $DO\ p := p.^{tl}\ OD$
 $\{p = X\}$
 $\langle proof \rangle$

0.2.3 Merging two lists

This is still a bit rough, especially the proof.

fun *merge* :: 'a list * 'a list * ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a list **where**
 $merge(x\#\!xs,y\#\!ys,f) = (if\ f\ x\ y\ then\ x\ \# \ merge(xs,y\#\!ys,f)$
 $\quad\quad\quad else\ y\ \# \ merge(x\#\!xs,ys,f)) \mid$
 $merge(x\#\!xs,[],f) = x\ \# \ merge(xs,[],f) \mid$
 $merge([],y\#\!ys,f) = y\ \# \ merge([],ys,f) \mid$
 $merge([],[],f) = []$

lemma *imp-disjCL*: $(P \mid Q \longrightarrow R) = ((P \longrightarrow R) \wedge (\sim P \longrightarrow Q \longrightarrow R))$
 $\langle proof \rangle$

declare *disj-not1*[*simp del*] *imp-disjL*[*simp del*] *imp-disjCL*[*simp*]

lemma *VARs* *hd tl p q r s*
 $\{List\ tl\ p\ Ps \wedge List\ tl\ q\ Qs \wedge set\ Ps \cap set\ Qs = \{\} \wedge$
 $(p \neq Null \vee q \neq Null)\}$
 $IF\ if\ q = Null\ then\ True\ else\ p \sim = Null \ \& \ p.^{hd} \leq q.^{hd}$
 $THEN\ r := p; p := p.^{tl}\ ELSE\ r := q; q := q.^{tl}\ FI;$
 $s := r;$
 $WHILE\ p \neq Null \vee q \neq Null$
 $INV\ \{EX\ rs\ ps\ qs. Path\ tl\ r\ rs\ s \wedge List\ tl\ p\ ps \wedge List\ tl\ q\ qs \wedge$
 $distinct(s\ \# \ ps\ @\ qs\ @\ rs) \wedge s \neq Null \wedge$
 $merge(Ps,Qs,\lambda x\ y. hd\ x \leq hd\ y) =$
 $rs\ @\ s\ \# \ merge(ps,qs,\lambda x\ y. hd\ x \leq hd\ y) \wedge$
 $(tl\ s = p \vee tl\ s = q)\}$

DO IF if $q = \text{Null}$ then True else $p \neq \text{Null} \wedge p.^{\wedge}\text{hd} \leq q.^{\wedge}\text{hd}$
THEN $s.^{\wedge}\text{tl} := p$; $p := p.^{\wedge}\text{tl}$ **ELSE** $s.^{\wedge}\text{tl} := q$; $q := q.^{\wedge}\text{tl}$ **FI**;
 $s := s.^{\wedge}\text{tl}$
OD
 $\{ \text{List } \text{tl } r \text{ (merge(Ps,Qs}, \lambda x y. \text{hd } x \leq \text{hd } y)) \}$
 $\langle \text{proof} \rangle$

0.2.4 Storage allocation

definition $\text{new} :: 'a \text{ set} \Rightarrow 'a::\text{ref}$ **where**
 $\text{new } A == \text{SOME } a. a \notin A \ \& \ a \neq \text{Null}$

lemma new-notin :
 $\llbracket \sim \text{finite}(\text{UNIV}::('a::\text{ref})\text{set}); \text{finite}(A::'a \text{ set}); B \subseteq A \rrbracket \Longrightarrow$
 $\text{new } (A) \notin B \ \& \ \text{new } A \neq \text{Null}$
 $\langle \text{proof} \rangle$

lemma $\sim \text{finite}(\text{UNIV}::('a::\text{ref})\text{set}) \Longrightarrow$
 $\text{VARs } xs \text{ elem next alloc } p \ q$
 $\{ Xs = xs \wedge p = (\text{Null}::'a) \}$
 $\text{WHILE } xs \neq []$
 $\text{INV } \{ \text{islist next } p \wedge \text{set}(\text{list next } p) \subseteq \text{set alloc} \wedge$
 $\text{map elem } (\text{rev}(\text{list next } p)) @ xs = Xs \}$
 $\text{DO } q := \text{new}(\text{set alloc}); \text{alloc} := q \# \text{alloc};$
 $q.^{\wedge}\text{next} := p; q.^{\wedge}\text{elem} := \text{hd } xs; xs := \text{tl } xs; p := q$
OD
 $\{ \text{islist next } p \wedge \text{map elem } (\text{rev}(\text{list next } p)) = Xs \}$
 $\langle \text{proof} \rangle$

end

theory *Heap* **imports** *Main* **begin**

0.2.5 References

datatype $'a \text{ ref} = \text{Null} \mid \text{Ref } 'a$

lemma not-Null-eq [iff] : $(x \sim = \text{Null}) = (\text{EX } y. x = \text{Ref } y)$
 $\langle \text{proof} \rangle$

lemma not-Ref-eq [iff] : $(\text{ALL } y. x \sim = \text{Ref } y) = (x = \text{Null})$
 $\langle \text{proof} \rangle$

primrec $\text{addr} :: 'a \text{ ref} \Rightarrow 'a$ **where**
 $\text{addr } (\text{Ref } a) = a$

0.3 The heap

0.3.1 Paths in the heap

primrec *Path* :: ('a \Rightarrow 'a ref) \Rightarrow 'a ref \Rightarrow 'a list \Rightarrow 'a ref \Rightarrow bool **where**
 $Path\ h\ x\ []\ y \longleftrightarrow x = y$
 $| Path\ h\ x\ (a\#\ as)\ y \longleftrightarrow x = Ref\ a \wedge Path\ h\ (h\ a)\ as\ y$

lemma [*iff*]: $Path\ h\ Null\ xs\ y = (xs = [] \wedge y = Null)$
 $\langle proof \rangle$

lemma [*simp*]: $Path\ h\ (Ref\ a)\ as\ z =$
 $(as = [] \wedge z = Ref\ a \vee (\exists bs. as = a\#\ bs \wedge Path\ h\ (h\ a)\ bs\ z))$
 $\langle proof \rangle$

lemma [*simp*]: $\bigwedge x. Path\ f\ x\ (as@bs)\ z = (\exists y. Path\ f\ x\ as\ y \wedge Path\ f\ y\ bs\ z)$
 $\langle proof \rangle$

lemma *Path-upd*[*simp*]:
 $\bigwedge x. u \notin set\ as \implies Path\ (f(u := v))\ x\ as\ y = Path\ f\ x\ as\ y$
 $\langle proof \rangle$

lemma *Path-snoc*:
 $Path\ (f(a := q))\ p\ as\ (Ref\ a) \implies Path\ (f(a := q))\ p\ (as\ @\ [a])\ q$
 $\langle proof \rangle$

0.3.2 Non-repeating paths

definition *distPath* :: ('a \Rightarrow 'a ref) \Rightarrow 'a ref \Rightarrow 'a list \Rightarrow 'a ref \Rightarrow bool **where**
 $distPath\ h\ x\ as\ y \equiv Path\ h\ x\ as\ y \wedge distinct\ as$

The term *distPath* *h* *x* *as* *y* expresses the fact that a non-repeating path *as* connects location *x* to location *y* by means of the *h* field. In the case where *x* = *y*, and there is a cycle from *x* to itself, *as* can be both [] and the non-repeating list of nodes in the cycle.

lemma *neq-dP*: $p \neq q \implies Path\ h\ p\ Ps\ q \implies distinct\ Ps \implies$
 $EX\ a\ Qs. p = Ref\ a \ \&\ Ps = a\#\ Qs \ \&\ a \notin set\ Qs$
 $\langle proof \rangle$

lemma *neq-dP-disp*: $[p \neq q; distPath\ h\ p\ Ps\ q] \implies$
 $EX\ a\ Qs. p = Ref\ a \wedge Ps = a\#\ Qs \wedge a \notin set\ Qs$
 $\langle proof \rangle$

0.3.3 Lists on the heap

Relational abstraction

definition *List* :: ('a \Rightarrow 'a ref) \Rightarrow 'a ref \Rightarrow 'a list \Rightarrow bool **where**
 $List\ h\ x\ as == Path\ h\ x\ as\ Null$

lemma $[simp]$: $List\ h\ x\ [] = (x = Null)$
 $\langle proof \rangle$

lemma $[simp]$: $List\ h\ x\ (a \# as) = (x = Ref\ a \wedge List\ h\ (h\ a)\ as)$
 $\langle proof \rangle$

lemma $[simp]$: $List\ h\ Null\ as = (as = [])$
 $\langle proof \rangle$

lemma $List-Ref[simp]$: $List\ h\ (Ref\ a)\ as = (\exists bs. as = a \# bs \wedge List\ h\ (h\ a)\ bs)$
 $\langle proof \rangle$

theorem $notin-List-update[simp]$:
 $\bigwedge x. a \notin set\ as \implies List\ (h(a := y))\ x\ as = List\ h\ x\ as$
 $\langle proof \rangle$

lemma $List-unique$: $\bigwedge x\ bs. List\ h\ x\ as \implies List\ h\ x\ bs \implies as = bs$
 $\langle proof \rangle$

lemma $List-unique1$: $List\ h\ p\ as \implies \exists! as. List\ h\ p\ as$
 $\langle proof \rangle$

lemma $List-app$: $\bigwedge x. List\ h\ x\ (as @ bs) = (\exists y. Path\ h\ x\ as\ y \wedge List\ h\ y\ bs)$
 $\langle proof \rangle$

lemma $List-hd-not-in-tl[simp]$: $List\ h\ (h\ a)\ as \implies a \notin set\ as$
 $\langle proof \rangle$

lemma $List-distinct[simp]$: $\bigwedge x. List\ h\ x\ as \implies distinct\ as$
 $\langle proof \rangle$

lemma $Path-is-List$:
 $\llbracket Path\ h\ b\ Ps\ (Ref\ a); a \notin set\ Ps \rrbracket \implies List\ (h(a := Null))\ b\ (Ps\ @\ [a])$
 $\langle proof \rangle$

0.3.4 Functional abstraction

definition $islist :: ('a \Rightarrow 'a\ ref) \Rightarrow 'a\ ref \Rightarrow bool$ **where**
 $islist\ h\ p == \exists as. List\ h\ p\ as$

definition $list :: ('a \Rightarrow 'a\ ref) \Rightarrow 'a\ ref \Rightarrow 'a\ list$ **where**
 $list\ h\ p == SOME\ as. List\ h\ p\ as$

lemma $List-conv-islist-list$: $List\ h\ p\ as = (islist\ h\ p \wedge as = list\ h\ p)$
 $\langle proof \rangle$

lemma $[simp]$: $islist\ h\ Null$
 $\langle proof \rangle$

lemma [simp]: $islist\ h\ (Ref\ a) = islist\ h\ (h\ a)$
 $\langle proof \rangle$

lemma [simp]: $list\ h\ Null = []$
 $\langle proof \rangle$

lemma *list-Ref-conv*[simp]:
 $islist\ h\ (h\ a) \implies list\ h\ (Ref\ a) = a \# list\ h\ (h\ a)$
 $\langle proof \rangle$

lemma [simp]: $islist\ h\ (h\ a) \implies a \notin set(list\ h\ (h\ a))$
 $\langle proof \rangle$

lemma *list-upd-conv*[simp]:
 $islist\ h\ p \implies y \notin set(list\ h\ p) \implies list\ (h(y := q))\ p = list\ h\ p$
 $\langle proof \rangle$

lemma *islist-upd*[simp]:
 $islist\ h\ p \implies y \notin set(list\ h\ p) \implies islist\ (h(y := q))\ p$
 $\langle proof \rangle$

end

theory *HeapSyntax* **imports** *Hoare-Logic Heap* **begin**

0.3.5 Field access and update

syntax

-*refupdate* :: $('a \Rightarrow 'b) \Rightarrow 'a\ ref \Rightarrow 'b \Rightarrow ('a \Rightarrow 'b)$
 $(-/ '((- \rightarrow -)')\ [1000,0]\ 900)$
-*fassign* :: $'a\ ref \Rightarrow id \Rightarrow 'v \Rightarrow 's\ com$
 $((2\ ^\wedge\ - := / -)\ [70,1000,65]\ 61)$
-*faccess* :: $'a\ ref \Rightarrow ('a\ ref \Rightarrow 'v) \Rightarrow 'v$
 $(-\ ^\wedge\ -\ [65,1000]\ 65)$

translations

$f(r \rightarrow v) == f(CONST\ addr\ r := v)$
 $p^\wedge.f := e \Rightarrow f := f(p \rightarrow e)$
 $p^\wedge.f \Rightarrow f(CONST\ addr\ p)$

declare *fun-upd-apply*[simp *del*] *fun-upd-same*[simp] *fun-upd-other*[simp]

An example due to Suzuki:

lemma *VARs* $v\ n$

$\{w = Ref\ w0 \ \& \ x = Ref\ x0 \ \& \ y = Ref\ y0 \ \& \ z = Ref\ z0 \ \& \\ distinct[w0,x0,y0,z0]\}$
 $w^\wedge.v := (1::int); w^\wedge.n := x;$

```

 $x^{\wedge}.v := 2; x^{\wedge}.n := y;$ 
 $y^{\wedge}.v := 3; y^{\wedge}.n := z;$ 
 $z^{\wedge}.v := 4; x^{\wedge}.n := z$ 
 $\{w^{\wedge}.n^{\wedge}.n^{\wedge}.v = 4\}$ 
 $\langle proof \rangle$ 

```

end

theory *Pointer-Examples* **imports** *HeapSyntax* **begin**

axiomatization where *unproven*: *PROP A*

0.4 Verifications

0.4.1 List reversal

A short but unreadable proof:

```

lemma VARs tl p q r
 $\{List\ tl\ p\ Ps \wedge List\ tl\ q\ Qs \wedge set\ Ps \cap set\ Qs = \{\}\}$ 
 $WHILE\ p \neq Null$ 
 $INV\ \{\exists ps\ qs. List\ tl\ p\ ps \wedge List\ tl\ q\ qs \wedge set\ ps \cap set\ qs = \{\} \wedge$ 
 $rev\ ps @ qs = rev\ Ps @ Qs\}$ 
 $DO\ r := p; p := p^{\wedge}.tl; r^{\wedge}.tl := q; q := r\ OD$ 
 $\{List\ tl\ q\ (rev\ Ps @ Qs)\}$ 
 $\langle proof \rangle$ 

```

And now with ghost variables *ps* and *qs*. Even “more automatic”.

```

lemma VARs next p ps q qs r
 $\{List\ next\ p\ Ps \wedge List\ next\ q\ Qs \wedge set\ Ps \cap set\ Qs = \{\} \wedge$ 
 $ps = Ps \wedge qs = Qs\}$ 
 $WHILE\ p \neq Null$ 
 $INV\ \{List\ next\ p\ ps \wedge List\ next\ q\ qs \wedge set\ ps \cap set\ qs = \{\} \wedge$ 
 $rev\ ps @ qs = rev\ Ps @ Qs\}$ 
 $DO\ r := p; p := p^{\wedge}.next; r^{\wedge}.next := q; q := r;$ 
 $qs := (hd\ ps) \# qs; ps := tl\ ps\ OD$ 
 $\{List\ next\ q\ (rev\ Ps @ Qs)\}$ 
 $\langle proof \rangle$ 

```

A longer readable version:

```

lemma VARs tl p q r
 $\{List\ tl\ p\ Ps \wedge List\ tl\ q\ Qs \wedge set\ Ps \cap set\ Qs = \{\}\}$ 
 $WHILE\ p \neq Null$ 
 $INV\ \{\exists ps\ qs. List\ tl\ p\ ps \wedge List\ tl\ q\ qs \wedge set\ ps \cap set\ qs = \{\} \wedge$ 
 $rev\ ps @ qs = rev\ Ps @ Qs\}$ 
 $DO\ r := p; p := p^{\wedge}.tl; r^{\wedge}.tl := q; q := r\ OD$ 
 $\{List\ tl\ q\ (rev\ Ps @ Qs)\}$ 

```

$\langle proof \rangle$

Finally, the functional version. A bit more verbose, but automatic!

lemma *VARs* $tl\ p\ q\ r$
 $\{islist\ tl\ p \wedge islist\ tl\ q \wedge$
 $P_s = list\ tl\ p \wedge Q_s = list\ tl\ q \wedge set\ P_s \cap set\ Q_s = \{\}\}$
 $WHILE\ p \neq Null$
 $INV\ \{islist\ tl\ p \wedge islist\ tl\ q \wedge$
 $set(list\ tl\ p) \cap set(list\ tl\ q) = \{\} \wedge$
 $rev(list\ tl\ p) @ (list\ tl\ q) = rev\ P_s @ Q_s\}$
 $DO\ r := p; p := p.^{.tl}; r.^{.tl} := q; q := r\ OD$
 $\{islist\ tl\ q \wedge list\ tl\ q = rev\ P_s @ Q_s\}$
 $\langle proof \rangle$

0.4.2 Searching in a list

What follows is a sequence of successively more intelligent proofs that a simple loop finds an element in a linked list.

We start with a proof based on the *List* predicate. This means it only works for acyclic lists.

lemma *VARs* $tl\ p$
 $\{List\ tl\ p\ P_s \wedge X \in set\ P_s\}$
 $WHILE\ p \neq Null \wedge p \neq Ref\ X$
 $INV\ \{\exists ps. List\ tl\ p\ ps \wedge X \in set\ ps\}$
 $DO\ p := p.^{.tl}\ OD$
 $\{p = Ref\ X\}$
 $\langle proof \rangle$

Using *Path* instead of *List* generalizes the correctness statement to cyclic lists as well:

lemma *VARs* $tl\ p$
 $\{Path\ tl\ p\ P_s\ X\}$
 $WHILE\ p \neq Null \wedge p \neq X$
 $INV\ \{\exists ps. Path\ tl\ p\ ps\ X\}$
 $DO\ p := p.^{.tl}\ OD$
 $\{p = X\}$
 $\langle proof \rangle$

Now it dawns on us that we do not need the list witness at all — it suffices to talk about reachability, i.e. we can use relations directly. The first version uses a relation on '*a ref*':

lemma *VARs* $tl\ p$
 $\{(p, X) \in \{(Ref\ x, tl\ x) \mid x. True\}^*\}$
 $WHILE\ p \neq Null \wedge p \neq X$
 $INV\ \{(p, X) \in \{(Ref\ x, tl\ x) \mid x. True\}^*\}$
 $DO\ p := p.^{.tl}\ OD$
 $\{p = X\}$
 $\langle proof \rangle$

Finally, a version based on a relation on type 'a:

lemma *VARs* *tl p*
 $\{p \neq \text{Null} \wedge (\text{addr } p, X) \in \{(x, y). \text{tl } x = \text{Ref } y\}^*\}$
 $\text{WHILE } p \neq \text{Null} \wedge p \neq \text{Ref } X$
 $\text{INV } \{p \neq \text{Null} \wedge (\text{addr } p, X) \in \{(x, y). \text{tl } x = \text{Ref } y\}^*\}$
 $\text{DO } p := p^\wedge.\text{tl } \text{OD}$
 $\{p = \text{Ref } X\}$
 $\langle \text{proof} \rangle$

0.4.3 Splicing two lists

lemma *VARs* *tl p q pp qq*
 $\{ \text{List } tl \ p \ Ps \wedge \text{List } tl \ q \ Qs \wedge \text{set } Ps \cap \text{set } Qs = \{\} \wedge \text{size } Qs \leq \text{size } Ps \}$
 $pp := p;$
 $\text{WHILE } q \neq \text{Null}$
 $\text{INV } \{\exists as \ bs \ qs.$
 $\text{distinct } as \wedge \text{Path } tl \ p \ as \ pp \wedge \text{List } tl \ pp \ bs \wedge \text{List } tl \ q \ qs \wedge$
 $\text{set } bs \cap \text{set } qs = \{\} \wedge \text{set } as \cap (\text{set } bs \cup \text{set } qs) = \{\} \wedge$
 $\text{size } qs \leq \text{size } bs \wedge \text{splice } Ps \ Qs = as \ @ \ \text{splice } bs \ qs\}$
 $\text{DO } qq := q^\wedge.\text{tl}; q^\wedge.\text{tl} := pp^\wedge.\text{tl}; pp^\wedge.\text{tl} := q; pp := q^\wedge.\text{tl}; q := qq \ \text{OD}$
 $\{ \text{List } tl \ p \ (\text{splice } Ps \ Qs) \}$
 $\langle \text{proof} \rangle$

0.4.4 Merging two lists

This is still a bit rough, especially the proof.

definition *cor* :: *bool* \Rightarrow *bool* \Rightarrow *bool* **where**
cor *P Q* == *if P then True else Q*

definition *cand* :: *bool* \Rightarrow *bool* \Rightarrow *bool* **where**
cand *P Q* == *if P then Q else False*

fun *merge* :: 'a *list* * 'a *list* * ('a \Rightarrow 'a \Rightarrow *bool*) \Rightarrow 'a *list* **where**
 $\text{merge}(x \# xs, y \# ys, f) = (\text{if } f \ x \ y \text{ then } x \ \# \ \text{merge}(xs, y \# ys, f)$
 $\text{else } y \ \# \ \text{merge}(x \# xs, ys, f)) \mid$
 $\text{merge}(x \# xs, [], f) = x \ \# \ \text{merge}(xs, [], f) \mid$
 $\text{merge}([], y \# ys, f) = y \ \# \ \text{merge}([], ys, f) \mid$
 $\text{merge}([], [], f) = []$

Simplifies the proof a little:

lemma [*simp*]: $(\{\} = \text{insert } a \ A \cap B) = (a \notin B \ \& \ \{\} = A \cap B)$
 $\langle \text{proof} \rangle$

lemma [*simp*]: $(\{\} = A \cap \text{insert } b \ B) = (b \notin A \ \& \ \{\} = A \cap B)$
 $\langle \text{proof} \rangle$

lemma [*simp*]: $(\{\} = A \cap (B \cup C)) = (\{\} = A \cap B \ \& \ \{\} = A \cap C)$
 $\langle \text{proof} \rangle$

lemma *VARs* *hd tl p q r s*
 $\{ \text{List } tl \ p \ Ps \wedge \text{List } tl \ q \ Qs \wedge \text{set } Ps \cap \text{set } Qs = \{\} \wedge$


```

    (p ≠ Null ∨ q ≠ Null)}
  IF cor (q = Null) (cand (p ≠ Null) (p^.hd ≤ q^.hd))
  THEN r := p; p := p^.tl ELSE r := q; q := q^.tl FI;
  s := r;
  WHILE p ≠ Null ∨ q ≠ Null
  INV {EX rs ps qs a. Path tl r rs s ∧ List tl p ps ∧ List tl q qs ∧
      distinct(a # ps @ qs @ rs) ∧ s = Ref a ∧
      merge(Ps, Qs, λx y. hd x ≤ hd y) =
      rs @ a # merge(ps, qs, λx y. hd x ≤ hd y) ∧
      (tl a = p ∨ tl a = q)}
  DO IF cor (q = Null) (cand (p ≠ Null) (p^.hd ≤ q^.hd))
  THEN s^.tl := p; p := p^.tl ELSE s^.tl := q; q := q^.tl FI;
  s := s^.tl
  OD
  {List tl r (merge(Ps, Qs, λx y. hd x ≤ hd y))}
  ⟨proof⟩

```

And now with ghost variables:

```

lemma VARS elem next p q r s ps qs rs a
  {List next p Ps ∧ List next q Qs ∧ set Ps ∩ set Qs = {} ∧
   (p ≠ Null ∨ q ≠ Null) ∧ ps = Ps ∧ qs = Qs}
  IF cor (q = Null) (cand (p ≠ Null) (p^.elem ≤ q^.elem))
  THEN r := p; p := p^.next; ps := tl ps
  ELSE r := q; q := q^.next; qs := tl qs FI;
  s := r; rs := []; a := addr s;
  WHILE p ≠ Null ∨ q ≠ Null
  INV {Path next r rs s ∧ List next p ps ∧ List next q qs ∧
      distinct(a # ps @ qs @ rs) ∧ s = Ref a ∧
      merge(Ps, Qs, λx y. elem x ≤ elem y) =
      rs @ a # merge(ps, qs, λx y. elem x ≤ elem y) ∧
      (next a = p ∨ next a = q)}
  DO IF cor (q = Null) (cand (p ≠ Null) (p^.elem ≤ q^.elem))
  THEN s^.next := p; p := p^.next; ps := tl ps
  ELSE s^.next := q; q := q^.next; qs := tl qs FI;
  rs := rs @ [a]; s := s^.next; a := addr s
  OD
  {List next r (merge(Ps, Qs, λx y. elem x ≤ elem y))}
  ⟨proof⟩

```

The proof is a LOT simpler because it does not need instantiations anymore, but it is still not quite automatic, probably because of this wrong orientation business.

More of the previous proof without ghost variables can be automated, but the runtime goes up drastically. In general it is usually more efficient to give the witness directly than to have it found by proof.

Now we try a functional version of the abstraction relation *Path*. Since the result is not that convincing, we do not prove any of the lemmas.

consts ispath:: ('a ⇒ 'a ref) ⇒ 'a ref ⇒ 'a ref ⇒ bool

$path:: ('a \Rightarrow 'a\ ref) \Rightarrow 'a\ ref \Rightarrow 'a\ ref \Rightarrow 'a\ list$

First some basic lemmas:

lemma [simp]: $ispath\ f\ p\ p$
 <proof>
lemma [simp]: $path\ f\ p\ p = []$
 <proof>
lemma [simp]: $ispath\ f\ p\ q \Longrightarrow a \notin set(path\ f\ p\ q) \Longrightarrow ispath\ (f(a := r))\ p\ q$
 <proof>
lemma [simp]: $ispath\ f\ p\ q \Longrightarrow a \notin set(path\ f\ p\ q) \Longrightarrow$
 $path\ (f(a := r))\ p\ q = path\ f\ p\ q$
 <proof>

Some more specific lemmas needed by the example:

lemma [simp]: $ispath\ (f(a := q))\ p\ (Ref\ a) \Longrightarrow ispath\ (f(a := q))\ p\ q$
 <proof>
lemma [simp]: $ispath\ (f(a := q))\ p\ (Ref\ a) \Longrightarrow$
 $path\ (f(a := q))\ p\ q = path\ (f(a := q))\ p\ (Ref\ a)\ @\ [a]$
 <proof>
lemma [simp]: $ispath\ f\ p\ (Ref\ a) \Longrightarrow f\ a = Ref\ b \Longrightarrow$
 $b \notin set\ (path\ f\ p\ (Ref\ a))$
 <proof>
lemma [simp]: $ispath\ f\ p\ (Ref\ a) \Longrightarrow f\ a = Null \Longrightarrow islist\ f\ p$
 <proof>
lemma [simp]: $ispath\ f\ p\ (Ref\ a) \Longrightarrow f\ a = Null \Longrightarrow list\ f\ p = path\ f\ p\ (Ref\ a)\ @\ [a]$
 <proof>

lemma [simp]: $islist\ f\ p \Longrightarrow distinct\ (list\ f\ p)$
 <proof>

lemma VARS $hd\ tl\ p\ q\ r\ s$
 $\{ islist\ tl\ p \ \&\ Ps = list\ tl\ p \wedge islist\ tl\ q \ \&\ Qs = list\ tl\ q \wedge$
 $set\ Ps \cap set\ Qs = \{\} \wedge$
 $(p \neq Null \vee q \neq Null) \}$
 IF $cor\ (q = Null)\ (cand\ (p \neq Null)\ (p.^{hd} \leq q.^{hd}))$
 THEN $r := p; p := p.^{tl}\ ELSE\ r := q; q := q.^{tl}\ FI;$
 $s := r;$
 WHILE $p \neq Null \vee q \neq Null$
 INV $\{ EX\ rs\ ps\ qs\ a.\ ispath\ tl\ r\ s \ \&\ rs = path\ tl\ r\ s \wedge$
 $islist\ tl\ p \ \&\ ps = list\ tl\ p \wedge islist\ tl\ q \ \&\ qs = list\ tl\ q \wedge$
 $distinct(a \# ps\ @\ qs\ @\ rs) \wedge s = Ref\ a \wedge$
 $merge(Ps, Qs, \lambda x\ y.\ hd\ x \leq hd\ y) =$
 $rs\ @\ a \# merge(ps, qs, \lambda x\ y.\ hd\ x \leq hd\ y) \wedge$
 $(tl\ a = p \vee tl\ a = q) \}$
 DO IF $cor\ (q = Null)\ (cand\ (p \neq Null)\ (p.^{hd} \leq q.^{hd}))$
 THEN $s.^{tl} := p; p := p.^{tl}\ ELSE\ s.^{tl} := q; q := q.^{tl}\ FI;$
 $s := s.^{tl}$
 OD

$\{islist\ tl\ r \ \& \ list\ tl\ r = (merge(Ps, Qs, \lambda x\ y. \text{hd } x \leq \text{hd } y))\}$
 $\langle proof \rangle$

The proof is automatic, but requires a number of special lemmas.

0.4.5 Cyclic list reversal

We consider two algorithms for the reversal of circular lists.

lemma *circular-list-rev-I*:

VARs $next\ root\ p\ q\ tmp$
 $\{root = Ref\ r \wedge distPath\ next\ root\ (r \# Ps)\ root\}$
 $p := root; q := root.^next;$
WHILE $q \neq root$
INV $\{\exists\ ps\ qs. distPath\ next\ p\ ps\ root \wedge distPath\ next\ q\ qs\ root \wedge$
 $root = Ref\ r \wedge r \notin set\ Ps \wedge set\ ps \cap set\ qs = \{\} \wedge$
 $Ps = (rev\ ps) @ qs\ \}$
DO $tmp := q; q := q.^next; tmp.^next := p; p := tmp\ OD;$
 $root.^next := p$
 $\{root = Ref\ r \wedge distPath\ next\ root\ (r \# rev\ Ps)\ root\}$
 $\langle proof \rangle$

In the beginning, we are able to assert *distPath next root as root*, with *as* set to \square or $[r, a, b, c]$. Note that *Path next root as root* would additionally give us an infinite number of lists with the recurring sequence $[r, a, b, c]$.

The precondition states that there exists a non-empty non-repeating path $r \# Ps$ from pointer *root* to itself, given that *root* points to location *r*. Pointers *p* and *q* are then set to *root* and the successor of *root* respectively. If $q = root$, we have circled the loop, otherwise we set the *next* pointer field of *q* to point to *p*, and shift *p* and *q* one step forward. The invariant thus states that *p* and *q* point to two disjoint lists *ps* and *qs*, such that $Ps = rev\ ps @ qs$. After the loop terminates, one extra step is needed to close the loop. As expected, the postcondition states that the *distPath* from *root* to itself is now $r \# rev\ Ps$.

It may come as a surprise to the reader that the simple algorithm for acyclic list reversal, with modified annotations, works for cyclic lists as well:

lemma *circular-list-rev-II*:

VARs $next\ p\ q\ tmp$
 $\{p = Ref\ r \wedge distPath\ next\ p\ (r \# Ps)\ p\}$
 $q := Null;$
WHILE $p \neq Null$
INV
 $\{ ((q = Null) \longrightarrow (\exists\ ps. distPath\ next\ p\ (ps)\ (Ref\ r) \wedge ps = r \# Ps)) \wedge$
 $((q \neq Null) \longrightarrow (\exists\ ps\ qs. distPath\ next\ q\ (qs)\ (Ref\ r) \wedge List\ next\ p\ ps \wedge$
 $set\ ps \cap set\ qs = \{\} \wedge rev\ qs @ ps = Ps @ [r])) \wedge$
 $\neg (p = Null \wedge q = Null) \}$
DO $tmp := p; p := p.^next; tmp.^next := q; q := tmp\ OD$
 $\{q = Ref\ r \wedge distPath\ next\ q\ (r \# rev\ Ps)\ q\}$
 $\langle proof \rangle$

0.4.6 Storage allocation

definition $new :: 'a \text{ set} \Rightarrow 'a$ **where**
 $new\ A == SOME\ a.\ a \notin A$

lemma *new-notin*:

$\llbracket \sim finite(UNIV :: 'a \text{ set}); finite(A :: 'a \text{ set}); B \subseteq A \rrbracket \Longrightarrow new\ (A) \notin B$
 $\langle proof \rangle$

lemma $\sim finite(UNIV :: 'a \text{ set}) \Longrightarrow$

$VARs\ xs\ elem\ next\ alloc\ p\ q$
 $\{Xs = xs \wedge p = (Null :: 'a \text{ ref})\}$
 $WHILE\ xs \neq []$
 $INV\ \{islist\ next\ p \wedge set(list\ next\ p) \subseteq set\ alloc \wedge$
 $\quad map\ elem\ (rev(list\ next\ p)) @ xs = Xs\}$
 $DO\ q := Ref(new(set\ alloc)); alloc := (addr\ q) \# alloc;$
 $\quad q.^next := p; q.^elem := hd\ xs; xs := tl\ xs; p := q$
 OD
 $\{islist\ next\ p \wedge map\ elem\ (rev(list\ next\ p)) = Xs\}$
 $\langle proof \rangle$

end

theory *HeapSyntaxAbort* **imports** *Hoare-Logic-Abort Heap* **begin**

0.4.7 Field access and update

Heap update $p.^h := e$ is now guarded against p being Null. However, p may still be illegal, e.g. uninitialized or dangling. To guard against that, one needs a more detailed model of the heap where allocated and free addresses are distinguished, e.g. by making the heap a map, or by carrying the set of free addresses around. This is needed anyway as soon as we want to reason about storage allocation/deallocation.

syntax

$-refupdate :: ('a \Rightarrow 'b) \Rightarrow 'a \text{ ref} \Rightarrow 'b \Rightarrow ('a \Rightarrow 'b)$
 $\quad (-/'((- \rightarrow -)') [1000,0] 900)$
 $-fassign :: 'a \text{ ref} \Rightarrow id \Rightarrow 'v \Rightarrow 's \text{ com}$
 $\quad ((2.^{-} := / -) [70,1000,65] 61)$
 $-faccess :: 'a \text{ ref} \Rightarrow ('a \text{ ref} \Rightarrow 'v) \Rightarrow 'v$
 $\quad (-.^{-} [65,1000] 65)$

translations

$-refupdate\ f\ r\ v == f(CONST\ addr\ r := v)$
 $p.^f := e \Rightarrow (p \neq CONST\ Null) \rightarrow (f := -refupdate\ f\ p\ e)$
 $p.^f \Rightarrow f(CONST\ addr\ p)$

declare *fun-upd-apply*[simp *del*] *fun-upd-same*[simp] *fun-upd-other*[simp]

An example due to Suzuki:

lemma *VARs* *v n*
 $\{w = \text{Ref } w0 \ \& \ x = \text{Ref } x0 \ \& \ y = \text{Ref } y0 \ \& \ z = \text{Ref } z0 \ \& \text{distinct}[w0, x0, y0, z0]\}$
 $w^\wedge.v := (1::\text{int}); w^\wedge.n := x;$
 $x^\wedge.v := 2; x^\wedge.n := y;$
 $y^\wedge.v := 3; y^\wedge.n := z;$
 $z^\wedge.v := 4; x^\wedge.n := z$
 $\{w^\wedge.n^\wedge.n^\wedge.v = 4\}$
 $\langle \text{proof} \rangle$
end

theory *Pointer-ExamplesAbort* **imports** *HeapSyntaxAbort* **begin**

0.5 Verifications

0.5.1 List reversal

Interestingly, this proof is the same as for the unguarded program:

lemma *VARs* *tl p q r*
 $\{List \ tl \ p \ Ps \wedge List \ tl \ q \ Qs \wedge set \ Ps \cap set \ Qs = \{\}\}$
 $WHILE \ p \neq Null$
 $INV \ \{\exists ps \ qs. List \ tl \ p \ ps \wedge List \ tl \ q \ qs \wedge set \ ps \cap set \ qs = \{\} \wedge$
 $\quad rev \ ps \ @ \ qs = rev \ Ps \ @ \ Qs\}$
 $DO \ r := p; (p \neq Null \rightarrow p := p^\wedge.tl); r^\wedge.tl := q; q := r \ OD$
 $\{List \ tl \ q \ (rev \ Ps \ @ \ Qs)\}$
 $\langle \text{proof} \rangle$
end

theory *SchorrWaite* **imports** *HeapSyntax* **begin**

0.6 Machinery for the Schorr-Waite proof

definition

— Relations induced by a mapping
 $rel :: ('a \Rightarrow 'a \text{ ref}) \Rightarrow ('a \times 'a) \text{ set}$
where $rel \ m = \{(x, y). m \ x = Ref \ y\}$

definition

$relS :: ('a \Rightarrow 'a \text{ ref}) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$
where $relS \ M = (\bigcup m \in M. \text{rel } m)$

definition

$addrs :: 'a \text{ ref set} \Rightarrow 'a \text{ set}$
where $addrs \ P = \{a. \text{Ref } a \in P\}$

definition

$reachable :: ('a \times 'a) \text{ set} \Rightarrow 'a \text{ ref set} \Rightarrow 'a \text{ set}$
where $reachable \ r \ P = (r^* \text{ `` } addrs \ P)$

lemmas $rel\text{-}defs = relS\text{-}def \ rel\text{-}def$

Rewrite rules for relations induced by a mapping

lemma $self\text{-}reachable: b \in B \Longrightarrow b \in R^* \text{ `` } B$
 $\langle proof \rangle$

lemma $oneStep\text{-}reachable: b \in R \text{ `` } B \Longrightarrow b \in R^* \text{ `` } B$
 $\langle proof \rangle$

lemma $still\text{-}reachable: \llbracket B \subseteq Ra^* \text{ `` } A; \forall (x,y) \in Rb - Ra. y \in (Ra^* \text{ `` } A) \rrbracket \Longrightarrow Rb^* \text{ `` } B \subseteq Ra^* \text{ `` } A$
 $\langle proof \rangle$

lemma $still\text{-}reachable\text{-}eq: \llbracket A \subseteq Rb^* \text{ `` } B; B \subseteq Ra^* \text{ `` } A; \forall (x,y) \in Ra - Rb. y \in (Rb^* \text{ `` } B); \forall (x,y) \in Rb - Ra. y \in (Ra^* \text{ `` } A) \rrbracket \Longrightarrow Ra^* \text{ `` } A = Rb^* \text{ `` } B$
 $\langle proof \rangle$

lemma $reachable\text{-}null: reachable \ mS \ \{Null\} = \{\}$
 $\langle proof \rangle$

lemma $reachable\text{-}empty: reachable \ mS \ \{\} = \{\}$
 $\langle proof \rangle$

lemma $reachable\text{-}union: (reachable \ mS \ aS \cup reachable \ mS \ bS) = reachable \ mS \ (aS \cup bS)$
 $\langle proof \rangle$

lemma $reachable\text{-}union\text{-}sym: reachable \ r \ (insert \ a \ aS) = (r^* \text{ `` } addrs \ \{a\}) \cup reachable \ r \ aS$
 $\langle proof \rangle$

lemma $rel\text{-}upd1: (a,b) \notin rel \ (r(q:=t)) \Longrightarrow (a,b) \in rel \ r \Longrightarrow a=q$
 $\langle proof \rangle$

lemma $rel\text{-}upd2: (a,b) \notin rel \ r \Longrightarrow (a,b) \in rel \ (r(q:=t)) \Longrightarrow a=q$
 $\langle proof \rangle$

definition

— Restriction of a relation

$restr :: ('a \times 'a) \text{ set} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow ('a \times 'a) \text{ set} \quad ((-/ | -) [50, 51] 50)$

where $restr\ r\ m = \{(x,y). (x,y) \in r \wedge \neg m\ x\}$

Rewrite rules for the restriction of a relation

lemma *restr-identity[simp]*:

$(\forall x. \neg m\ x) \Longrightarrow (R | m) = R$

$\langle proof \rangle$

lemma *restr-rtrancl[simp]*: $\llbracket m\ l \rrbracket \Longrightarrow (R | m)^* \text{ `` } \{l\} = \{l\}$

$\langle proof \rangle$

lemma *[simp]*: $\llbracket m\ l \rrbracket \Longrightarrow (l,x) \in (R | m)^* = (l=x)$

$\langle proof \rangle$

lemma *restr-upd*: $((rel\ (r\ (q := t)))|(m(q := \text{True}))) = ((rel\ (r))|(m(q := \text{True})))$

$\langle proof \rangle$

lemma *restr-un*: $((r \cup s)|m) = (r|m) \cup (s|m)$

$\langle proof \rangle$

lemma *rel-upd3*: $(a, b) \notin (r|(m(q := t))) \Longrightarrow (a,b) \in (r|m) \Longrightarrow a = q$

$\langle proof \rangle$

definition

— A short form for the stack mapping function for List

$S :: ('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a\ \text{ref}) \Rightarrow ('a \Rightarrow 'a\ \text{ref}) \Rightarrow ('a \Rightarrow 'a\ \text{ref})$

where $S\ c\ l\ r = (\lambda x. \text{if } c\ x \text{ then } r\ x \text{ else } l\ x)$

Rewrite rules for Lists using S as their mapping

lemma *[rule-format,simp]*:

$\forall p. a \notin \text{set } stack \longrightarrow List\ (S\ c\ l\ r)\ p\ stack = List\ (S\ (c(a:=x))\ (l(a:=y))\ (r(a:=z)))\ p\ stack$

$\langle proof \rangle$

lemma *[rule-format,simp]*:

$\forall p. a \notin \text{set } stack \longrightarrow List\ (S\ c\ l\ (r(a:=z)))\ p\ stack = List\ (S\ c\ l\ r)\ p\ stack$

$\langle proof \rangle$

lemma *[rule-format,simp]*:

$\forall p. a \notin \text{set } stack \longrightarrow List\ (S\ c\ (l(a:=z))\ r)\ p\ stack = List\ (S\ c\ l\ r)\ p\ stack$

$\langle proof \rangle$

lemma *[rule-format,simp]*:

$\forall p. a \notin \text{set } stack \longrightarrow List\ (S\ (c(a:=z))\ l\ r)\ p\ stack = List\ (S\ c\ l\ r)\ p\ stack$

$\langle proof \rangle$

consts

— Recursive definition of what it means for a the graph/stack structure to be reconstructible

$stkOk :: ('a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'a\ ref) \Rightarrow ('a \Rightarrow 'a\ ref) \Rightarrow ('a \Rightarrow 'a\ ref) \Rightarrow ('a \Rightarrow 'a\ ref) \Rightarrow 'a\ ref \Rightarrow 'a\ list \Rightarrow bool$

primrec

$stkOk\text{-}nil: stkOk\ c\ l\ r\ iL\ iR\ t\ [] = True$

$stkOk\text{-}cons: stkOk\ c\ l\ r\ iL\ iR\ t\ (p\#\ stk) = (stkOk\ c\ l\ r\ iL\ iR\ (Ref\ p)\ (stk) \wedge$

$iL\ p = (if\ c\ p\ then\ l\ p\ else\ t) \wedge$

$iR\ p = (if\ c\ p\ then\ t\ else\ r\ p))$

Rewrite rules for $stkOk$

lemma $[simp]: \bigwedge t. \llbracket x \notin set\ xs; Ref\ x \neq t \rrbracket \implies$

$stkOk\ (c(x := f))\ l\ r\ iL\ iR\ t\ xs = stkOk\ c\ l\ r\ iL\ iR\ t\ xs$

$\langle proof \rangle$

lemma $[simp]: \bigwedge t. \llbracket x \notin set\ xs; Ref\ x \neq t \rrbracket \implies$

$stkOk\ c\ (l(x := g))\ r\ iL\ iR\ t\ xs = stkOk\ c\ l\ r\ iL\ iR\ t\ xs$

$\langle proof \rangle$

lemma $[simp]: \bigwedge t. \llbracket x \notin set\ xs; Ref\ x \neq t \rrbracket \implies$

$stkOk\ c\ l\ (r(x := g))\ iL\ iR\ t\ xs = stkOk\ c\ l\ r\ iL\ iR\ t\ xs$

$\langle proof \rangle$

lemma $stkOk\text{-}r\text{-}rewrite\ [simp]: \bigwedge x. x \notin set\ xs \implies$

$stkOk\ c\ l\ (r(x := g))\ iL\ iR\ (Ref\ x)\ xs = stkOk\ c\ l\ r\ iL\ iR\ (Ref\ x)\ xs$

$\langle proof \rangle$

lemma $[simp]: \bigwedge x. x \notin set\ xs \implies$

$stkOk\ c\ (l(x := g))\ r\ iL\ iR\ (Ref\ x)\ xs = stkOk\ c\ l\ r\ iL\ iR\ (Ref\ x)\ xs$

$\langle proof \rangle$

lemma $[simp]: \bigwedge x. x \notin set\ xs \implies$

$stkOk\ (c(x := g))\ l\ r\ iL\ iR\ (Ref\ x)\ xs = stkOk\ c\ l\ r\ iL\ iR\ (Ref\ x)\ xs$

$\langle proof \rangle$

0.7 The Schorr-Waite algorithm

theorem *SchorrWaiteAlgorithm*:

$VARs\ c\ m\ l\ r\ t\ p\ q\ root$

$\{R = reachable\ (relS\ \{l, r\})\ \{root\} \wedge (\forall x. \neg m\ x) \wedge iR = r \wedge iL = l\}$

$t := root; p := Null;$

$WHILE\ p \neq Null \vee t \neq Null \wedge \neg t.\hat{m}$

$INV\ \{\exists\ stack.$

$List\ (S\ c\ l\ r)\ p\ stack \wedge$

$(*i1*)$

$(\forall x \in set\ stack. m\ x) \wedge$

$(*i2*)$

$R = reachable\ (relS\ \{l, r\})\ \{t, p\} \wedge$

$(*i3*)$

$(\forall x. x \in R \wedge \neg m\ x \longrightarrow$

$(*i4*)$


```

       $x \in \text{reachable } (\text{relS}\{l, r\} | m) (\{t\} \cup \text{set}(\text{map } r \text{ stack})) \wedge$ 
       $(\forall x. m \ x \longrightarrow x \in R) \wedge$  (*i5*)
       $(\forall x. x \notin \text{set stack} \longrightarrow r \ x = iR \ x \wedge l \ x = iL \ x) \wedge$  (*i6*)
       $(\text{stkOk } c \ l \ r \ iL \ iR \ t \ \text{stack})$  (*i7*)
    DO IF  $t = \text{Null} \vee t.^m$ 
      THEN IF  $p.^c$ 
        THEN  $q := t; t := p; p := p.^r; t.^r := q$  (*pop*)
        ELSE  $q := t; t := p.^r; p.^r := p.^l;$  (*swing*)
           $p.^l := q; p.^c := \text{True}$  FI
        ELSE  $q := p; p := t; t := t.^l; p.^l := q;$  (*push*)
           $p.^m := \text{True}; p.^c := \text{False}$  FI OD
       $\{(\forall x. (x \in R) = m \ x) \wedge (r = iR \wedge l = iL)\}$ 
      (is VARs  $c \ m \ l \ r \ t \ p \ q \ \text{root} \ \{?Pre \ c \ m \ l \ r \ \text{root}\} \ ( ?c1; ?c2; ?c3) \ \{?Post \ c \ m \ l \ r\}$ )
    <proof>

  end

```

```

theory SepLogHeap
imports Main
begin

```

```

types heap = (nat  $\Rightarrow$  nat option)

```

Some means allocated, *None* means free. Address 0 serves as the null reference.

0.7.1 Paths in the heap

```

consts

```

```

  Path :: heap  $\Rightarrow$  nat  $\Rightarrow$  nat list  $\Rightarrow$  nat  $\Rightarrow$  bool

```

```

primrec

```

```

  Path h x [] y = (x = y)

```

```

  Path h x (a#as) y = (x  $\neq$  0  $\wedge$  a=x  $\wedge$  ( $\exists b. h \ x = \text{Some } b \wedge \text{Path } h \ b \ as \ y))$ 

```

```

lemma [iff]: Path h 0 xs y = (xs = []  $\wedge$  y = 0)

```

```

  <proof>

```

```

lemma [simp]: x  $\neq$  0  $\implies$  Path h x as z =

```

```

  (as = []  $\wedge$  z = x  $\vee$  ( $\exists y \ bs. as = x\#bs \wedge h \ x = \text{Some } y \ \& \ \text{Path } h \ y \ bs \ z))$ 

```

```

  <proof>

```

```

lemma [simp]:  $\bigwedge x. \text{Path } f \ x \ (as@bs) \ z = (\exists y. \text{Path } f \ x \ as \ y \wedge \text{Path } f \ y \ bs \ z)$ 

```

```

  <proof>

```

```

lemma Path-upd[simp]:

```

```

   $\bigwedge x. u \notin \text{set } as \implies \text{Path } (f(u := v)) \ x \ as \ y = \text{Path } f \ x \ as \ y$ 

```

```

  <proof>

```

0.7.2 Lists on the heap

definition $List :: heap \Rightarrow nat \Rightarrow nat \Rightarrow list \Rightarrow bool$ **where**
 $List\ h\ x\ as == Path\ h\ x\ as\ 0$

lemma $[simp]: List\ h\ x\ [] = (x = 0)$
 $\langle proof \rangle$

lemma $[simp]:$
 $List\ h\ x\ (a \# as) = (x \neq 0 \wedge a = x \wedge (\exists y. h\ x = Some\ y \wedge List\ h\ y\ as))$
 $\langle proof \rangle$

lemma $[simp]: List\ h\ 0\ as = (as = [])$
 $\langle proof \rangle$

lemma $List\ non\ null: a \neq 0 \implies$
 $List\ h\ a\ as = (\exists b\ bs. as = a \# bs \wedge h\ a = Some\ b \wedge List\ h\ b\ bs)$
 $\langle proof \rangle$

theorem $notin\ List\ update[simp]:$
 $\bigwedge x. a \notin set\ as \implies List\ (h(a := y))\ x\ as = List\ h\ x\ as$
 $\langle proof \rangle$

lemma $List\ unique: \bigwedge x\ bs. List\ h\ x\ as \implies List\ h\ x\ bs \implies as = bs$
 $\langle proof \rangle$

lemma $List\ unique1: List\ h\ p\ as \implies \exists! as. List\ h\ p\ as$
 $\langle proof \rangle$

lemma $List\ app: \bigwedge x. List\ h\ x\ (as @ bs) = (\exists y. Path\ h\ x\ as\ y \wedge List\ h\ y\ bs)$
 $\langle proof \rangle$

lemma $List\ hd\ not\ in\ tl[simp]: List\ h\ b\ as \implies h\ a = Some\ b \implies a \notin set\ as$
 $\langle proof \rangle$

lemma $List\ distinct[simp]: \bigwedge x. List\ h\ x\ as \implies distinct\ as$
 $\langle proof \rangle$

lemma $list\ in\ heap: \bigwedge p. List\ h\ p\ ps \implies set\ ps \subseteq dom\ h$
 $\langle proof \rangle$

lemma $list\ ortho\ sum1[simp]:$
 $\bigwedge p. [\![List\ h1\ p\ ps; dom\ h1 \cap dom\ h2 = \{\}]\!] \implies List\ (h1 ++ h2)\ p\ ps$
 $\langle proof \rangle$

lemma $list\ ortho\ sum2[simp]:$
 $\bigwedge p. [\![List\ h2\ p\ ps; dom\ h1 \cap dom\ h2 = \{\}]\!] \implies List\ (h1 ++ h2)\ p\ ps$
 $\langle proof \rangle$

end

theory Separation imports Hoare-Logic-Abort SepLogHeap begin

The semantic definition of a few connectives:

definition *ortho* :: *heap* \Rightarrow *heap* \Rightarrow *bool* (**infix** \perp 55) **where**
h1 \perp *h2* == *dom h1* \cap *dom h2* = {}

definition *is-empty* :: *heap* \Rightarrow *bool* **where**
is-empty h == *h* = *empty*

definition *singl* :: *heap* \Rightarrow *nat* \Rightarrow *nat* \Rightarrow *bool* **where**
singl h x y == *dom h* = {*x*} & *h x* = *Some y*

definition *star* :: (*heap* \Rightarrow *bool*) \Rightarrow (*heap* \Rightarrow *bool*) \Rightarrow (*heap* \Rightarrow *bool*) **where**
star P Q == $\lambda h. \exists h1\ h2. h = h1 ++ h2 \wedge h1 \perp h2 \wedge P\ h1 \wedge Q\ h2$

definition *wand* :: (*heap* \Rightarrow *bool*) \Rightarrow (*heap* \Rightarrow *bool*) \Rightarrow (*heap* \Rightarrow *bool*) **where**
wand P Q == $\lambda h. \forall h'. h' \perp h \wedge P\ h' \longrightarrow Q(h ++ h')$

This is what assertions look like without any syntactic sugar:

lemma *VARs* *x y z w h*
 {*star* (%*h. singl h x y*) (%*h. singl h z w*) *h*}
SKIP
 {*x* \neq *z*}
 <*proof*>

Now we add nice input syntax. To suppress the heap parameter of the connectives, we assume it is always called *H* and add/remove it upon parsing/printing. Thus every pointer program needs to have a program variable *H*, and assertions should not contain any locally bound *H*s - otherwise they may bind the implicit *H*.

syntax

-*emp* :: *bool* (*emp*)
 -*singl* :: *nat* \Rightarrow *nat* \Rightarrow *bool* ([*-* \mapsto *-*])
 -*star* :: *bool* \Rightarrow *bool* \Rightarrow *bool* (**infixl** ** 60)
 -*wand* :: *bool* \Rightarrow *bool* \Rightarrow *bool* (**infixl** -* 60)

<*ML*>

Now it looks much better:

lemma *VARs H x y z w*
 {[*x* \mapsto *y*] ** [*z* \mapsto *w*]}
SKIP
 {*x* \neq *z*}
 <*proof*>

lemma *VARs* $H\ x\ y\ z\ w$
 $\{emp\ **\ emp\}$
SKIP
 $\{emp\}$
 $\langle proof \rangle$

But the output is still unreadable. Thus we also strip the heap parameters upon output:

$\langle ML \rangle$

Now the intermediate proof states are also readable:

lemma *VARs* $H\ x\ y\ z\ w$
 $\{[x \mapsto y] ** [z \mapsto w]\}$
 $y := w$
 $\{x \neq z\}$
 $\langle proof \rangle$

lemma *VARs* $H\ x\ y\ z\ w$
 $\{emp\ **\ emp\}$
SKIP
 $\{emp\}$
 $\langle proof \rangle$

So far we have unfolded the separation logic connectives in proofs. Here comes a simple example of a program proof that uses a law of separation logic instead.

lemma *star-comm*: $P ** Q = Q ** P$
 $\langle proof \rangle$

lemma *VARs* $H\ x\ y\ z\ w$
 $\{P ** Q\}$
SKIP
 $\{Q ** P\}$
 $\langle proof \rangle$

lemma *VARs* H
 $\{p \neq 0 \wedge [p \mapsto x] ** List\ H\ q\ qs\}$
 $H := H(p \mapsto q)$
 $\{List\ H\ p\ (p \# qs)\}$
 $\langle proof \rangle$

lemma *VARs* $H\ p\ q\ r$
 $\{List\ H\ p\ Ps ** List\ H\ q\ Qs\}$
 $WHILE\ p \neq 0$
 $INV\ \{\exists ps\ qs. (List\ H\ p\ ps ** List\ H\ q\ qs) \wedge rev\ ps\ @\ qs = rev\ Ps\ @\ Qs\}$
 $DO\ r := p; p := the(H\ p); H := H(r \mapsto q); q := r\ OD$
 $\{List\ H\ q\ (rev\ Ps\ @\ Qs)\}$

$\langle proof \rangle$

end

theory *Hoare*

imports *Examples ExamplesAbort Pointers0 Pointer-Examples Pointer-ExamplesAbort*
SchorrWaite Separation

begin

end

Bibliography

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