

Isabelle/HOL — Higher-Order Logic

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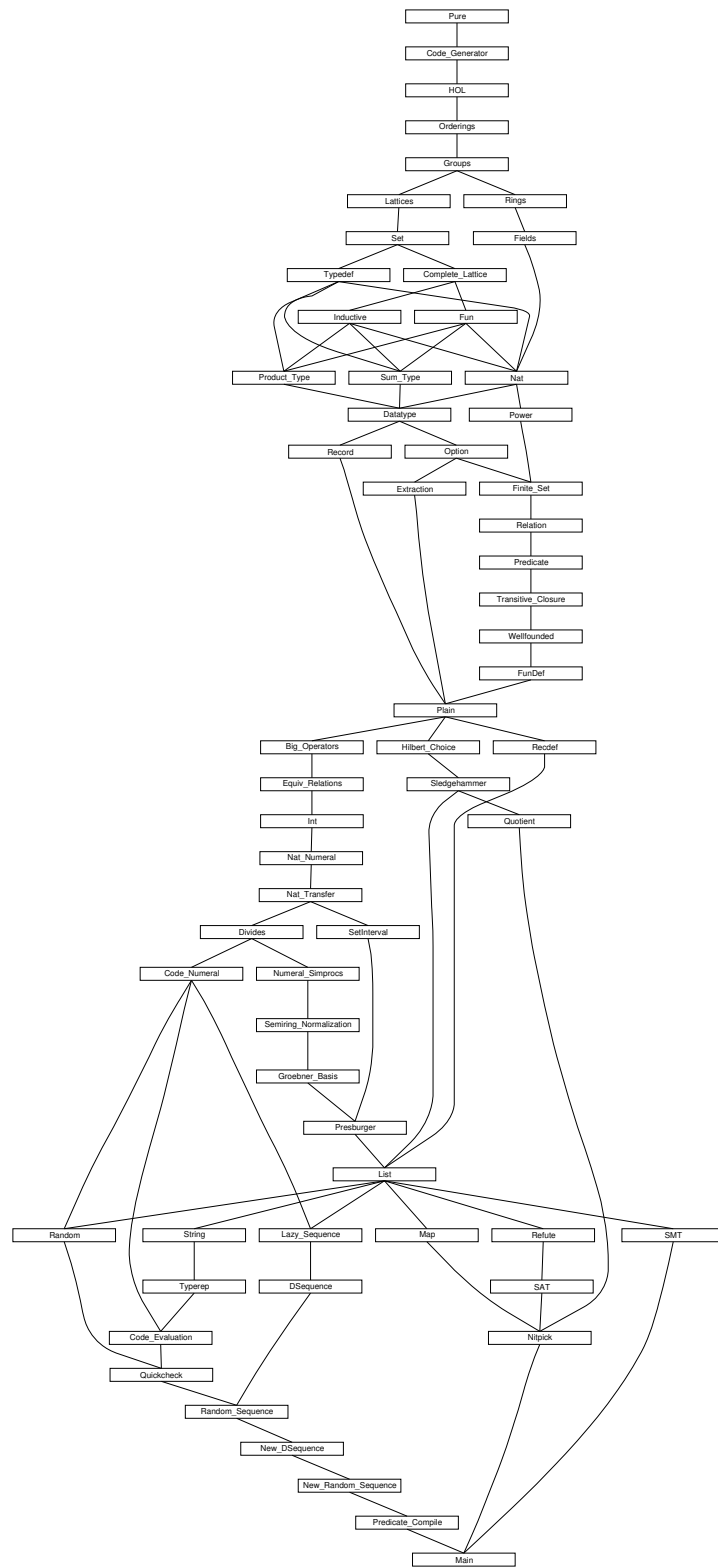
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1 Code-Generator: Loading the code generator modules

```

theory Code-Generator
imports Pure
uses
  ~~ /src/Tools/auto-solve.ML
  ~~ /src/Tools/auto-counterexample.ML
  ~~ /src/Tools/quickcheck.ML
  ~~ /src/Tools/value.ML
  ~~ /src/Tools/Code/code-preproc.ML
  ~~ /src/Tools/Code/code-thingol.ML
  ~~ /src/Tools/Code/code-printer.ML
  ~~ /src/Tools/Code/code-target.ML
  ~~ /src/Tools/Code/code-ml.ML
  ~~ /src/Tools/Code/code-eval.ML
  ~~ /src/Tools/Code/code-haskell.ML
  ~~ /src/Tools/Code/code-scala.ML
  ~~ /src/Tools/nbe.ML
begin

setup ⟨⟨
  Code-Preproc.setup
  #> Code-ML.setup
  #> Code-Eval.setup
  #> Code-Haskell.setup
  #> Code-Scala.setup
  #> Nbe.setup
  #> Quickcheck.setup
  ⟩⟩

end

```

2 HOL: The basis of Higher-Order Logic

```

theory HOL
imports Pure ~~ /src/Tools/Code-Generator
uses
  (Tools/hologic.ML)
  ~~ /src/Tools/IsaPlanner/zipper.ML
  ~~ /src/Tools/IsaPlanner/isand.ML
  ~~ /src/Tools/IsaPlanner/rw-tools.ML
  ~~ /src/Tools/IsaPlanner/rw-inst.ML
  ~~ /src/Tools/intuitionistic.ML
  ~~ /src/Tools/project-rule.ML
  ~~ /src/Tools/cong-tac.ML
  ~~ /src/Provers/hypsubst.ML

```

```

~~/src/Provers/splitter.ML
~~/src/Provers/classical.ML
~~/src/Provers/blast.ML
~~/src/Provers/klasimp.ML
~~/src/Tools/coherent.ML
~~/src/Tools/eqsubst.ML
~~/src/Provers/quantifier1.ML
(Tools/simpdata.ML)
~~/src/Tools/random-word.ML
~~/src/Tools/atomize-elim.ML
~~/src/Tools/induct.ML
(~~/src/Tools/induct-tacs.ML)
(Tools/recfun-codegen.ML)
begin

setup << Intuitionistic.method-setup @{binding iprover} >>

```

2.1 Primitive logic

2.1.1 Core syntax

```

classes type
default-sort type
setup << Object-Logic.add-base-sort @{sort type} >>

```

```

arities
  fun :: (type, type) type
  itself :: (type) type

```

global

```
typedecl bool
```

```

judgment
  Trueprop    :: bool => prop          ((-) 5)

```

```

consts
  Not          :: bool => bool          (~ - [40] 40)
  True         :: bool
  False        :: bool

  The          :: ('a => bool) => 'a
  All          :: ('a => bool) => bool    (binder ALL 10)
  Ex           :: ('a => bool) => bool    (binder EX 10)
  Ex1          :: ('a => bool) => bool    (binder EX! 10)
  Let          :: ['a, 'a => 'b] => 'b

  op =         :: ['a, 'a] => bool      (infixl = 50)
  op &         :: [bool, bool] => bool  (infixr & 35)
  op |         :: [bool, bool] => bool  (infixr | 30)

```

op $-->$ $:: [bool, bool] => bool$ (**infixr** $-->$ 25)

local

consts

If $:: [bool, 'a, 'a] => 'a$ ((*if* (-)/ *then* (-)/ *else* (-)) [0, 0, 10] 10)

2.1.2 Additional concrete syntax

notation (output)

op = (**infix** = 50)

abbreviation

not-equal $:: ['a, 'a] => bool$ (**infixl** $\sim =$ 50) **where**
x $\sim =$ *y* $== \sim (x = y)$

notation (output)

not-equal (**infix** $\sim =$ 50)

notation (xsymbols)

Not (\neg - [40] 40) **and**
op & (**infixr** \wedge 35) **and**
op | (**infixr** \vee 30) **and**
op $-->$ (**infixr** \longrightarrow 25) **and**
not-equal (**infix** \neq 50)

notation (HTML output)

Not (\neg - [40] 40) **and**
op & (**infixr** \wedge 35) **and**
op | (**infixr** \vee 30) **and**
not-equal (**infix** \neq 50)

abbreviation (iff)

iff $:: [bool, bool] => bool$ (**infixr** $<->$ 25) **where**
A $<->$ *B* $== A = B$

notation (xsymbols)

iff (**infixr** \longleftrightarrow 25)

nonterminals

letbinds *letbind*
case-syn *cases-syn*

syntax

-The $:: [pttrn, bool] => 'a$ ((3*THE* -./ -) [0, 10] 10)
-bind $:: [pttrn, 'a] => letbind$ ((2- =/ -) 10)
 $:: letbind => letbinds$ (-)
-binds $:: [letbind, letbinds] => letbinds$ (-;/ -)

$-Let \quad :: [letbinds, 'a] \Rightarrow 'a \quad ((let (-) / in (-)) [0, 10] 10)$
 $-case-syntax :: ['a, cases-syn] \Rightarrow 'b \quad ((case - of / -) 10)$
 $-case1 \quad :: ['a, 'b] \Rightarrow case-syn \quad ((2- \Rightarrow / -) 10)$
 $\quad \quad \quad :: case-syn \Rightarrow cases-syn \quad (-)$
 $-case2 \quad :: [case-syn, cases-syn] \Rightarrow cases-syn \quad (- / | -)$

translations

$THE \ x. P \quad == \ CONST \ The \ (\%x. P)$
 $-Let \ (-binds \ b \ bs) \ e \ == \ -Let \ b \ (-Let \ bs \ e)$
 $let \ x = a \ in \ e \quad == \ CONST \ Let \ a \ (\%x. e)$

print-translation \ll

$[(\@ \{const-syntax \ The\}, fn \ [Abs \ abs] \Rightarrow$
 $\quad let \ val \ (x, t) = atomic-abs-tr' \ abs$
 $\quad in \ Syntax.const \ \@ \{syntax-const -The\} \ \$ \ x \ \$ \ t \ end)]$
 $\gg \text{ — To avoid eta-contraction of body}$

syntax (*xsymbols*)

$-case1 \quad :: ['a, 'b] \Rightarrow case-syn \quad ((2- \Rightarrow / -) 10)$

notation (*xsymbols*)

$All \ (binder \ \forall \ 10) \ and$
 $Ex \ (binder \ \exists \ 10) \ and$
 $Ex1 \ (binder \ \exists! \ 10)$

notation (*HTML output*)

$All \ (binder \ \forall \ 10) \ and$
 $Ex \ (binder \ \exists \ 10) \ and$
 $Ex1 \ (binder \ \exists! \ 10)$

notation (*HOL*)

$All \ (binder \ ! \ 10) \ and$
 $Ex \ (binder \ ? \ 10) \ and$
 $Ex1 \ (binder \ ?! \ 10)$

2.1.3 Axioms and basic definitions**axioms**

$refl: \quad t = (t :: 'a)$
 $subst: \quad s = t \Longrightarrow P \ s \Longrightarrow P \ t$
 $ext: \quad (!x :: 'a. (f \ x :: 'b) = g \ x) \Longrightarrow (\%x. f \ x) = (\%x. g \ x)$

— Extensionality is built into the meta-logic, and this rule expresses a related property. It is an eta-expanded version of the traditional rule, and similar to the ABS rule of HOL

$the-eq-trivial: \ (THE \ x. x = a) = (a :: 'a)$

$impI: \quad (P \Longrightarrow Q) \Longrightarrow P \longrightarrow Q$

mp: $[| P \multimap Q; P |] \implies Q$

defs

True-def: $True \implies (\%x::bool. x) = (\%x. x)$
All-def: $All(P) \implies (P = (\%x. True))$
Ex-def: $Ex(P) \implies !Q. (!x. P x \multimap Q) \multimap Q$
False-def: $False \implies (!P. P)$
not-def: $\sim P \implies P \multimap False$
and-def: $P \& Q \implies !R. (P \multimap Q \multimap R) \multimap R$
or-def: $P | Q \implies !R. (P \multimap R) \multimap (Q \multimap R) \multimap R$
Ex1-def: $Ex1(P) \implies ? x. P(x) \& (! y. P(y) \multimap y=x)$

axioms

iff: $(P \multimap Q) \multimap (Q \multimap P) \multimap (P=Q)$
True-or-False: $(P=True) | (P=False)$

defs

Let-def [code]: $Let\ s\ f \implies f(s)$
if-def: $If\ P\ x\ y \implies THE\ z::'a. (P=True \multimap z=x) \& (P=False \multimap z=y)$

finalconsts

op =
op \multimap
The

axiomatization

undefined :: 'a

class default =

fixes *default* :: 'a

2.2 Fundamental rules

2.2.1 Equality

lemma *sym*: $s = t \implies t = s$

by (*erule subst*) (*rule refl*)

lemma *ssubst*: $t = s \implies P\ s \implies P\ t$

by (*drule sym*) (*erule subst*)

lemma *trans*: $[| r=s; s=t |] \implies r=t$

by (*erule subst*)

lemma *meta-eq-to-obj-eq*:

assumes *meq*: $A = B$

shows $A = B$

by (*unfold meq*) (*rule refl*)

Useful with *erule* for proving equalities from known equalities.

```
lemma box-equals: [| a=b; a=c; b=d |] ==> c=d
  apply (rule trans)
  apply (rule trans)
  apply (rule sym)
  apply assumption+
done
```

For calculational reasoning:

```
lemma forw-subst: a = b ==> P b ==> P a
  by (rule ssubst)
```

```
lemma back-subst: P a ==> a = b ==> P b
  by (rule subst)
```

2.2.2 Congruence rules for application

Similar to *AP-THM* in Gordon’s HOL.

```
lemma fun-cong: (f::'a=>'b) = g ==> f(x)=g(x)
  apply (erule subst)
  apply (rule refl)
done
```

Similar to *AP-TERM* in Gordon’s HOL and FOL’s *subst-context*.

```
lemma arg-cong: x=y ==> f(x)=f(y)
  apply (erule subst)
  apply (rule refl)
done
```

```
lemma arg-cong2: [| a = b; c = d |] ==> f a c = f b d
  apply (erule ssubst)+
  apply (rule refl)
done
```

```
lemma cong: [| f = g; (x::'a) = y |] ==> f x = g y
  apply (erule subst)+
  apply (rule refl)
done
```

```
ML << val cong-tac = Cong-Tac.cong-tac @ {thm cong} >>
```

2.2.3 Equality of booleans – iff

```
lemma iffI: assumes P ==> Q and Q ==> P shows P=Q
  by (iprover intro: iff [THEN mp, THEN mp] impI assms)
```

```
lemma iffD2: [| P=Q; Q |] ==> P
  by (erule ssubst)
```

lemma *rev-iffD2*: $[\mid Q; P=Q \mid] ==> P$
by (*erule iffD2*)

lemma *iffD1*: $Q = P ==> Q ==> P$
by (*drule sym*) (*rule iffD2*)

lemma *rev-iffD1*: $Q ==> Q = P ==> P$
by (*drule sym*) (*rule rev-iffD2*)

lemma *iffE*:
assumes *major*: $P=Q$
and *minor*: $[\mid P --> Q; Q --> P \mid] ==> R$
shows R
by (*iprover intro: minor impI major [THEN iffD2] major [THEN iffD1]*)

2.2.4 True

lemma *TrueI*: $True$
unfolding *True-def* **by** (*rule refl*)

lemma *eqTrueI*: $P ==> P = True$
by (*iprover intro: iffI TrueI*)

lemma *eqTrueE*: $P = True ==> P$
by (*erule iffD2*) (*rule TrueI*)

2.2.5 Universal quantifier

lemma *allI*: **assumes** $!!x::'a. P(x)$ **shows** $ALL x. P(x)$
unfolding *All-def* **by** (*iprover intro: ext eqTrueI assms*)

lemma *spec*: $ALL x::'a. P(x) ==> P(x)$
apply (*unfold All-def*)
apply (*rule eqTrueE*)
apply (*erule fun-cong*)
done

lemma *allE*:
assumes *major*: $ALL x. P(x)$
and *minor*: $P(x) ==> R$
shows R
by (*iprover intro: minor major [THEN spec]*)

lemma *all-dupE*:
assumes *major*: $ALL x. P(x)$
and *minor*: $[\mid P(x); ALL x. P(x) \mid] ==> R$
shows R
by (*iprover intro: minor major major [THEN spec]*)

2.2.6 False

Depends upon *spec*; it is impossible to do propositional logic before quantifiers!

```
lemma FalseE: False ==> P
  apply (unfold False-def)
  apply (erule spec)
  done
```

```
lemma False-neg-True: False = True ==> P
  by (erule eqTrueE [THEN FalseE])
```

2.2.7 Negation

```
lemma notI:
  assumes P ==> False
  shows ~P
  apply (unfold not-def)
  apply (iprover intro: impI assms)
  done
```

```
lemma False-not-True: False ~ = True
  apply (rule notI)
  apply (erule False-neg-True)
  done
```

```
lemma True-not-False: True ~ = False
  apply (rule notI)
  apply (erule sym)
  apply (erule False-neg-True)
  done
```

```
lemma notE: [| ~P; P |] ==> R
  apply (unfold not-def)
  apply (erule mp [THEN FalseE])
  apply assumption
  done
```

```
lemma notI2: (P ==> ~ Pa) ==> (P ==> Pa) ==> ~ P
  by (erule notE [THEN notI]) (erule meta-mp)
```

2.2.8 Implication

```
lemma impE:
  assumes P-->Q P Q ==> R
  shows R
  by (iprover intro: assms mp)
```

lemma *rev-mp*: $[| P; P \dashv\vdash Q |] \implies Q$
by (*iprover intro: mp*)

lemma *contrapos-nn*:
assumes *major*: $\sim Q$
and *minor*: $P \implies Q$
shows $\sim P$
by (*iprover intro: notI minor major [THEN notE]*)

lemma *contrapos-pn*:
assumes *major*: Q
and *minor*: $P \implies \sim Q$
shows $\sim P$
by (*iprover intro: notI minor major notE*)

lemma *not-sym*: $t \sim s \implies s \sim t$
by (*erule contrapos-nn*) (*erule sym*)

lemma *eq-neq-eq-imp-neq*: $[| x = a ; a \sim b; b = y |] \implies x \sim y$
by (*erule subst, erule ssubst, assumption*)

lemma *rev-contrapos*:
assumes *pq*: $P \implies Q$
and *nq*: $\sim Q$
shows $\sim P$
apply (*rule nq [THEN contrapos-nn]*)
apply (*erule pq*)
done

2.2.9 Existential quantifier

lemma *exI*: $P\ x \implies \exists x::'a. P\ x$
apply (*unfold Ex-def*)
apply (*iprover intro: allI allE impI mp*)
done

lemma *exE*:
assumes *major*: $\exists x::'a. P(x)$
and *minor*: $!!x. P(x) \implies Q$
shows Q
apply (*rule major [unfolded Ex-def, THEN spec, THEN mp]*)
apply (*iprover intro: impI [THEN allI] minor*)
done

2.2.10 Conjunction

lemma *conjI*: $[| P; Q |] \implies P \& Q$
apply (*unfold and-def*)

```

apply (iprover intro: impI [THEN allI] mp)
done

```

```

lemma conjunct1: [| P & Q |] ==> P
apply (unfold and-def)
apply (iprover intro: impI dest: spec mp)
done

```

```

lemma conjunct2: [| P & Q |] ==> Q
apply (unfold and-def)
apply (iprover intro: impI dest: spec mp)
done

```

```

lemma conjE:
  assumes major: P&Q
    and minor: [| P; Q |] ==> R
  shows R
apply (rule minor)
apply (rule major [THEN conjunct1])
apply (rule major [THEN conjunct2])
done

```

```

lemma context-conjI:
  assumes P P ==> Q shows P & Q
by (iprover intro: conjI assms)

```

2.2.11 Disjunction

```

lemma disjI1: P ==> P|Q
apply (unfold or-def)
apply (iprover intro: allI impI mp)
done

```

```

lemma disjI2: Q ==> P|Q
apply (unfold or-def)
apply (iprover intro: allI impI mp)
done

```

```

lemma disjE:
  assumes major: P|Q
    and minorP: P ==> R
    and minorQ: Q ==> R
  shows R
by (iprover intro: minorP minorQ impI
      major [unfolded or-def, THEN spec, THEN mp, THEN mp])

```

2.2.12 Classical logic

```

lemma classical:
  assumes prem: ~P ==> P

```

```

shows P
apply (rule True-or-False [THEN disjE, THEN eqTrueE])
apply assumption
apply (rule notI [THEN prem, THEN eqTrueI])
apply (erule subst)
apply assumption
done

```

```

lemmas ccontr = FalseE [THEN classical, standard]

```

```

lemma rev-notE:
  assumes premp: P
    and premnot:  $\sim R \implies \sim P$ 
  shows R
  apply (rule ccontr)
  apply (erule notE [OF premnot premp])
done

```

```

lemma notnotD:  $\sim\sim P \implies P$ 
  apply (rule classical)
  apply (erule notE)
  apply assumption
done

```

```

lemma contrapos-pp:
  assumes p1: Q
    and p2:  $\sim P \implies \sim Q$ 
  shows P
  by (iprover intro: classical p1 p2 notE)

```

2.2.13 Unique existence

```

lemma ex1I:
  assumes P a !!x. P(x)  $\implies x=a$ 
  shows EX! x. P(x)
  by (unfold Ex1-def, iprover intro: assms exI conjI allI impI)

```

Sometimes easier to use: the premises have no shared variables. Safe!

```

lemma ex-ex1I:
  assumes ex-prem: EX x. P(x)
    and eq: !!x y. [| P(x); P(y) |]  $\implies x=y$ 
  shows EX! x. P(x)
  by (iprover intro: ex-prem [THEN exE] ex1I eq)

```

```

lemma ex1E:
  assumes major: EX! x. P(x)
    and minor: !!x. [| P(x); ALL y. P(y)  $\longrightarrow y=x$  |]  $\implies R$ 

```

```

shows R
apply (rule major [unfolded Ex1-def, THEN exE])
apply (erule conjE)
apply (iprover intro: minor)
done

```

```

lemma ex1-implies-ex: EX! x. P x ==> EX x. P x
apply (erule ex1E)
apply (rule exI)
apply assumption
done

```

2.2.14 THE: definite description operator

```

lemma the-equality:
  assumes prema: P a
    and premx: !!x. P x ==> x=a
  shows (THE x. P x) = a
apply (rule trans [OF - the-eq-trivial])
apply (rule-tac f = The in arg-cong)
apply (rule ext)
apply (rule iffI)
  apply (erule premx)
apply (erule ssubst, rule prema)
done

```

```

lemma theI:
  assumes P a and !!x. P x ==> x=a
  shows P (THE x. P x)
by (iprover intro: assms the-equality [THEN ssubst])

```

```

lemma theI': EX! x. P x ==> P (THE x. P x)
apply (erule ex1E)
apply (erule theI)
apply (erule allE)
apply (erule mp)
apply assumption
done

```

```

lemma theI2:
  assumes P a !!x. P x ==> x=a !!x. P x ==> Q x
  shows Q (THE x. P x)
by (iprover intro: assms theI)

```

```

lemma theI12: assumes EX! x. P x  $\wedge$  x. P x  $\implies$  Q x shows Q (THE x. P x)
by (iprover intro: assms(2) theI2 [where P=P and Q=Q] ex1E [OF assms(1)]
    elim: allE impE)

```

```

lemma the1-equality [elim?]: [| EX!x. P x; P a |] ==> (THE x. P x) = a
apply (rule the-equality)
apply assumption
apply (erule ex1E)
apply (erule all-dupE)
apply (drule mp)
apply assumption
apply (erule ssubst)
apply (erule allE)
apply (erule mp)
apply assumption
done

```

```

lemma the-sym-eq-trivial: (THE y. x=y) = x
apply (rule the-equality)
apply (rule refl)
apply (erule sym)
done

```

2.2.15 Classical intro rules for disjunction and existential quantifiers

```

lemma disjCI:
  assumes  $\sim Q \implies P$  shows  $P \mid Q$ 
apply (rule classical)
apply (iprover intro: assms disjI1 disjI2 notI elim: notE)
done

```

```

lemma excluded-middle:  $\sim P \mid P$ 
by (iprover intro: disjCI)

```

case distinction as a natural deduction rule. Note that $\neg P$ is the second case, not the first

```

lemma case-split [case-names True False]:
  assumes prem1:  $P \implies Q$ 
  and prem2:  $\sim P \implies Q$ 
  shows  $Q$ 
apply (rule excluded-middle [THEN disjE])
apply (erule prem2)
apply (erule prem1)
done

```

```

lemma impCE:
  assumes major:  $P \dashv\dashv Q$ 
  and minor:  $\sim P \implies R \quad Q \implies R$ 
  shows  $R$ 
apply (rule excluded-middle [of P, THEN disjE])
apply (iprover intro: minor major [THEN mp]) +

```

done

lemma *impCE'*:
 assumes *major*: $P \multimap Q$
 and *minor*: $Q \implies R \sim P \implies R$
 shows *R*
 apply (rule *excluded-middle* [of *P*, *THEN disjE*])
 apply (iprover *intro: minor major [THEN mp]*)
 done

lemma *iffCE*:
 assumes *major*: $P = Q$
 and *minor*: $[P; Q] \implies R \quad [\sim P; \sim Q] \implies R$
 shows *R*
 apply (rule *major [THEN iffE]*)
 apply (iprover *intro: minor elim: impCE notE*)
 done

lemma *exCI*:
 assumes *ALL* *x*. $\sim P(x) \implies P(a)$
 shows *EX* *x*. $P(x)$
 apply (rule *ccontr*)
 apply (iprover *intro: asms exI allI notI notE [of $\exists x. P x$]*)
 done

2.2.16 Intuitionistic Reasoning

lemma *impE'*:
 assumes 1: $P \multimap Q$
 and 2: $Q \implies R$
 and 3: $P \multimap Q \implies P$
 shows *R*
 proof –
 from 3 and 1 have *P* .
 with 1 have *Q* by (rule *impE*)
 with 2 show *R* .
 qed

lemma *allE'*:
 assumes 1: *ALL* *x*. *P x*
 and 2: $P x \implies \text{ALL } x. P x \implies Q$
 shows *Q*
 proof –
 from 1 have *P x* by (rule *spec*)
 from *this* and 1 show *Q* by (rule 2)
 qed

```

lemma notE':
  assumes 1:  $\sim P$ 
    and 2:  $\sim P \implies P$ 
  shows  $R$ 
proof –
  from 2 and 1 have  $P$  .
  with 1 show  $R$  by (rule notE)
qed

lemma TrueE:  $True \implies P \implies P$  .
lemma notFalseE:  $\sim False \implies P \implies P$  .

lemmas [Pure.elim!] = disjE iffE FalseE conjE exE TrueE notFalseE
  and [Pure.intro!] = iffI conjI impI TrueI notI allI refl
  and [Pure.elim 2] = allE notE' impE'
  and [Pure.intro] = exI disjI2 disjI1

lemmas [trans] = trans
  and [sym] = sym not-sym
  and [Pure.elim?] = iffD1 iffD2 impE

use Tools/hologic.ML

```

2.2.17 Atomizing meta-level connectives

axiomatization where

eq-reflection: $x = y \implies x \equiv y$

```

lemma atomize-all [atomize]:  $(!!x. P x) == Trueprop (ALL x. P x)$ 

```

proof

```

  assume  $!!x. P x$ 
  then show  $ALL x. P x$  ..

```

next

```

  assume  $ALL x. P x$ 
  then show  $!!x. P x$  by (rule allE)

```

qed

```

lemma atomize-imp [atomize]:  $(A \implies B) == Trueprop (A \longrightarrow B)$ 

```

proof

```

  assume  $r: A \implies B$ 
  show  $A \longrightarrow B$  by (rule impI) (rule r)

```

next

```

  assume  $A \longrightarrow B$  and  $A$ 
  then show  $B$  by (rule mp)

```

qed

```

lemma atomize-not:  $(A \implies False) == Trueprop (\sim A)$ 

```

proof

```

  assume  $r: A \implies False$ 

```



```

  show  $\sim A$  by (rule notI) (rule r)
next
  assume  $\sim A$  and  $A$ 
  then show False by (rule notE)
qed

```

```

lemma atomize-eq [atomize]:  $(x == y) == \text{Trueprop } (x = y)$ 
proof
  assume  $x == y$ 
  show  $x = y$  by (unfold  $\langle x == y \rangle$ ) (rule refl)
next
  assume  $x = y$ 
  then show  $x == y$  by (rule eq-reflection)
qed

```

```

lemma atomize-conj [atomize]:  $(A \&\&\& B) == \text{Trueprop } (A \& B)$ 
proof
  assume conj:  $A \&\&\& B$ 
  show  $A \& B$ 
  proof (rule conjI)
    from conj show  $A$  by (rule conjunctionD1)
    from conj show  $B$  by (rule conjunctionD2)
  qed
next
  assume conj:  $A \& B$ 
  show  $A \&\&\& B$ 
  proof -
    from conj show  $A$  ..
    from conj show  $B$  ..
  qed
qed

```

```

lemmas [symmetric, rulify] = atomize-all atomize-imp
and [symmetric, defn] = atomize-all atomize-imp atomize-eq

```

2.2.18 Atomizing elimination rules

```

setup AtomizeElim.setup

```

```

lemma atomize-exL[atomize-elim]:  $(!!x. P\ x ==> Q) == ((EX\ x. P\ x) ==> Q)$ 
by rule iprover+

```

```

lemma atomize-conjL[atomize-elim]:  $(A ==> B ==> C) == (A \& B ==> C)$ 
by rule iprover+

```

```

lemma atomize-disjL[atomize-elim]:  $((A ==> C) ==> (B ==> C) ==> C)$ 
 $== ((A \mid B ==> C) ==> C)$ 
by rule iprover+

```

lemma *atomize-elimL*[*atomize-elim*]: ($\neg B. (A \implies B) \implies B$) == *Trueprop* A
..

2.3 Package setup

2.3.1 Sledgehammer setup

Theorems blacklisted to Sledgehammer. These theorems typically produce clauses that are prolific (match too many equality or membership literals) and relate to seldom-used facts. Some duplicate other rules.

```
ML <<
structure No-ATPs = Named-Thms
(
  val name = no-atp
  val description = theorems that should be filtered out by Sledgehammer
)
>>

setup << No-ATPs.setup >>
```

2.3.2 Classical Reasoner setup

lemma *imp-elim*: $P \dashv\dashv Q \implies (\sim R \implies P) \implies (Q \implies R) \implies R$
by (*rule classical*) *iprover*

lemma *swap*: $\sim P \implies (\sim R \implies P) \implies R$
by (*rule classical*) *iprover*

lemma *thin-refl*:
 $\bigwedge X. \llbracket x=x; PROP W \rrbracket \implies PROP W$.

```
ML <<
structure Hypsubst = HypsubstFun(
struct
  structure Simplifier = Simplifier
  val dest-eq = HOLogic.dest-eq
  val dest-Trueprop = HOLogic.dest-Trueprop
  val dest-imp = HOLogic.dest-imp
  val eq-reflection = @{thm eq-reflection}
  val rev-eq-reflection = @{thm meta-eq-to-obj-eq}
  val imp-intr = @{thm impI}
  val rev-mp = @{thm rev-mp}
  val subst = @{thm subst}
  val sym = @{thm sym}
  val thin-refl = @{thm thin-refl};
  val prop-subst = @{lemma PROP P t ==> PROP prop (x = t ==> PROP P
x)
                                by (unfold prop-def) (drule eq-reflection, unfold)}
end);
```

```
open Hypsubst;
```

```
structure Classical = ClassicalFun(
struct
  val imp-elim = @{thm imp-elim}
  val not-elim = @{thm notE}
  val swap = @{thm swap}
  val classical = @{thm classical}
  val sizef = Drule.size-of-thm
  val hyp-subst-tacs = [Hypsubst.hyp-subst-tac]
end);
```

```
structure Basic-Classical: BASIC-CLASSICAL = Classical;
open Basic-Classical;
```

```
ML-Antiquote.value claset
(Scan.succeed Classical.claset-of (ML-Context.the-local-context ()));
>>
```

```
setup Classical.setup
```

```
setup <<
let
  fun non-bool-eq (@{const-name op =}, Type (-, [T, -])) = T <> @{typ bool}
    | non-bool-eq - = false;
  val hyp-subst-tac' =
    SUBGOAL (fn (goal, i) =>
      if Term.exists-Const non-bool-eq goal
      then Hypsubst.hyp-subst-tac i
      else no-tac);
in
  Hypsubst.hypsubst-setup
  (*prevent substitution on bool*)
  #> Context-Rules.addSWrapper (fn tac => hyp-subst-tac' ORELSE' tac)
end
>>
```

```
declare iffI [intro!]
and notI [intro!]
and impI [intro!]
and disjCI [intro!]
and conjI [intro!]
and TrueI [intro!]
and refl [intro!]
```

```
declare iffCE [elim!]
and FalseE [elim!]
and impCE [elim!]
and disjE [elim!]
```

```

and conjE [elim!]

declare ex-ex1I [intro!]
  and allI [intro!]
  and the-equality [intro]
  and exI [intro]

declare exE [elim!]
  allE [elim]

ML ⟨⟨ val HOL-cs = @{claset} ⟩⟩

lemma contrapos-np:  $\sim Q \implies (\sim P \implies Q) \implies P$ 
  apply (erule swap)
  apply (erule (1) meta-mp)
  done

declare ex-ex1I [rule del, intro! 2]
  and ex1I [intro]

lemmas [intro?] = ext
  and [elim?] = ex1-implies-ex

lemma alt-ex1E [elim!]:
  assumes major:  $\exists!x. P x$ 
  and prem:  $\bigwedge x. \llbracket P x; \forall y y'. P y \wedge P y' \longrightarrow y = y' \rrbracket \implies R$ 
  shows R
  apply (rule ex1E [OF major])
  apply (rule prem)
  apply (tactic ⟨⟨ ares-tac @{thms allI} 1 ⟩⟩)+
  apply (tactic ⟨⟨ etac (Classical.dup-elim @{thm allE}) 1 ⟩⟩)
  apply iprover
  done

ML ⟨⟨
  structure Blast = Blast
  (
    val thy = @{theory}
    type claset = Classical.claset
    val equality-name = @{const-name op =}
    val not-name = @{const-name Not}
    val notE = @{thm notE}
    val ccontr = @{thm ccontr}
    val contr-tac = Classical.contr-tac
    val dup-intr = Classical.dup-intr
    val hyp-subst-tac = Hypsubst.blast-hyp-subst-tac
    val rep-cs = Classical.rep-cs
    val cla-modifiers = Classical.cla-modifiers
  )
  ⟩⟩

```

```

    val cla-meth' = Classical.cla-meth'
  );
  val blast-tac = Blast.blast-tac;
  >>

```

```

setup Blast.setup

```

2.3.3 Simplifier

lemma *eta-contract-eq*: $(\%s. f\ s) = f \ ..$

lemma *simp-thms*:

```

shows not-not:  $(\sim \sim P) = P$ 
and Not-eq-iff:  $((\sim P) = (\sim Q)) = (P = Q)$ 
and
   $(P \sim = Q) = (P = (\sim Q))$ 
   $(P \mid \sim P) = \text{True} \quad (\sim P \mid P) = \text{True}$ 
   $(x = x) = \text{True}$ 
and not-True-eq-False [code]:  $(\neg \text{True}) = \text{False}$ 
and not-False-eq-True [code]:  $(\neg \text{False}) = \text{True}$ 
and
   $(\sim P) \sim = P \quad P \sim = (\sim P)$ 
   $(\text{True} = P) = P$ 
and eq-True:  $(P = \text{True}) = P$ 
and  $(\text{False} = P) = (\sim P)$ 
and eq-False:  $(P = \text{False}) = (\neg P)$ 
and
   $(\text{True} \dashrightarrow P) = P \quad (\text{False} \dashrightarrow P) = \text{True}$ 
   $(P \dashrightarrow \text{True}) = \text{True} \quad (P \dashrightarrow P) = \text{True}$ 
   $(P \dashrightarrow \text{False}) = (\sim P) \quad (P \dashrightarrow \sim P) = (\sim P)$ 
   $(P \ \& \ \text{True}) = P \quad (\text{True} \ \& \ P) = P$ 
   $(P \ \& \ \text{False}) = \text{False} \quad (\text{False} \ \& \ P) = \text{False}$ 
   $(P \ \& \ P) = P \quad (P \ \& \ (P \ \& \ Q)) = (P \ \& \ Q)$ 
   $(P \ \& \ \sim P) = \text{False} \quad (\sim P \ \& \ P) = \text{False}$ 
   $(P \mid \text{True}) = \text{True} \quad (\text{True} \mid P) = \text{True}$ 
   $(P \mid \text{False}) = P \quad (\text{False} \mid P) = P$ 
   $(P \mid P) = P \quad (P \mid (P \mid Q)) = (P \mid Q)$  and
   $(\text{ALL } x. P) = P \quad (\text{EX } x. P) = P \quad \text{EX } x. x=t \quad \text{EX } x. t=x$ 
and
  !!P.  $(\text{EX } x. x=t \ \& \ P(x)) = P(t)$ 
  !!P.  $(\text{EX } x. t=x \ \& \ P(x)) = P(t)$ 
  !!P.  $(\text{ALL } x. x=t \dashrightarrow P(x)) = P(t)$ 
  !!P.  $(\text{ALL } x. t=x \dashrightarrow P(x)) = P(t)$ 
by (blast, blast, blast, blast, blast, iprover+)

```

lemma *disj-absorb*: $(A \mid A) = A$

by *blast*

lemma *disj-left-absorb*: $(A \mid (A \mid B)) = (A \mid B)$

by *blast*

lemma *conj-absorb*: $(A \ \& \ A) = A$
by *blast*

lemma *conj-left-absorb*: $(A \ \& \ (A \ \& \ B)) = (A \ \& \ B)$
by *blast*

lemma *eq-ac*:
shows *eq-commute*: $(a=b) = (b=a)$
and *eq-left-commute*: $(P=(Q=R)) = (Q=(P=R))$
and *eq-assoc*: $((P=Q)=R) = (P=(Q=R))$ **by** (*iprover*, *blast*+)
lemma *neg-commute*: $(a \sim b) = (b \sim a)$ **by** *iprover*

lemma *conj-comms*:
shows *conj-commute*: $(P \ \& \ Q) = (Q \ \& \ P)$
and *conj-left-commute*: $(P \ \& \ (Q \ \& \ R)) = (Q \ \& \ (P \ \& \ R))$ **by** *iprover*+
lemma *conj-assoc*: $((P \ \& \ Q) \ \& \ R) = (P \ \& \ (Q \ \& \ R))$ **by** *iprover*

lemmas *conj-ac* = *conj-commute conj-left-commute conj-assoc*

lemma *disj-comms*:
shows *disj-commute*: $(P \ | \ Q) = (Q \ | \ P)$
and *disj-left-commute*: $(P \ | \ (Q \ | \ R)) = (Q \ | \ (P \ | \ R))$ **by** *iprover*+
lemma *disj-assoc*: $((P \ | \ Q) \ | \ R) = (P \ | \ (Q \ | \ R))$ **by** *iprover*

lemmas *disj-ac* = *disj-commute disj-left-commute disj-assoc*

lemma *conj-disj-distribL*: $(P \ \& \ (Q \ | \ R)) = (P \ \& \ Q \ | \ P \ \& \ R)$ **by** *iprover*
lemma *conj-disj-distribR*: $((P \ | \ Q) \ \& \ R) = (P \ \& \ R \ | \ Q \ \& \ R)$ **by** *iprover*

lemma *disj-conj-distribL*: $(P \ | \ (Q \ \& \ R)) = ((P \ | \ Q) \ \& \ (P \ | \ R))$ **by** *iprover*
lemma *disj-conj-distribR*: $((P \ \& \ Q) \ | \ R) = ((P \ | \ R) \ \& \ (Q \ | \ R))$ **by** *iprover*

lemma *imp-conjR*: $(P \ \longrightarrow \ (Q \ \& \ R)) = ((P \ \longrightarrow \ Q) \ \& \ (P \ \longrightarrow \ R))$ **by** *iprover*
lemma *imp-conjL*: $((P \ \& \ Q) \ \longrightarrow \ R) = (P \ \longrightarrow \ (Q \ \longrightarrow \ R))$ **by** *iprover*
lemma *imp-disjL*: $((P \ | \ Q) \ \longrightarrow \ R) = ((P \ \longrightarrow \ R) \ \& \ (Q \ \longrightarrow \ R))$ **by** *iprover*

These two are specialized, but *imp-disj-not1* is useful in *Auth/Yahalom*.

lemma *imp-disj-not1*: $(P \ \longrightarrow \ Q \ | \ R) = (\sim Q \ \longrightarrow \ P \ \longrightarrow \ R)$ **by** *blast*
lemma *imp-disj-not2*: $(P \ \longrightarrow \ Q \ | \ R) = (\sim R \ \longrightarrow \ P \ \longrightarrow \ Q)$ **by** *blast*

lemma *imp-disj1*: $((P \ \longrightarrow \ Q) \ | \ R) = (P \ \longrightarrow \ Q \ | \ R)$ **by** *blast*
lemma *imp-disj2*: $(Q \ | \ (P \ \longrightarrow \ R)) = (P \ \longrightarrow \ Q \ | \ R)$ **by** *blast*

lemma *imp-cong*: $(P = P') \implies (P' \implies (Q = Q')) \implies ((P \ \longrightarrow \ Q) = (P' \ \longrightarrow \ Q'))$
by *iprover*

lemma *de-Morgan-disj*: $(\sim(P \mid Q)) = (\sim P \ \& \ \sim Q)$ **by** *iprover*

lemma *de-Morgan-conj*: $(\sim(P \ \& \ Q)) = (\sim P \mid \sim Q)$ **by** *blast*

lemma *not-imp*: $(\sim(P \dashv\rightarrow Q)) = (P \ \& \ \sim Q)$ **by** *blast*

lemma *not-iff*: $(P \sim Q) = (P = (\sim Q))$ **by** *blast*

lemma *disj-not1*: $(\sim P \mid Q) = (P \dashv\rightarrow Q)$ **by** *blast*

lemma *disj-not2*: $(P \mid \sim Q) = (Q \dashv\rightarrow P)$ — changes orientation :-(
by *blast*

lemma *imp-conv-disj*: $(P \dashv\rightarrow Q) = ((\sim P) \mid Q)$ **by** *blast*

lemma *iff-conv-conj-imp*: $(P = Q) = ((P \dashv\rightarrow Q) \ \& \ (Q \dashv\rightarrow P))$ **by** *iprover*

lemma *cases-simp*: $((P \dashv\rightarrow Q) \ \& \ (\sim P \dashv\rightarrow Q)) = Q$

— Avoids duplication of subgoals after *split-if*, when the true and false

— cases boil down to the same thing.

by *blast*

lemma *not-all*: $(\sim (! x. P(x))) = (? x. \sim P(x))$ **by** *blast*

lemma *imp-all*: $((! x. P \ x) \dashv\rightarrow Q) = (? x. P \ x \dashv\rightarrow Q)$ **by** *blast*

lemma *not-ex*: $(\sim (? x. P(x))) = (! x. \sim P(x))$ **by** *iprover*

lemma *imp-ex*: $((? x. P \ x) \dashv\rightarrow Q) = (! x. P \ x \dashv\rightarrow Q)$ **by** *iprover*

lemma *all-not-ex*: $(ALL \ x. P \ x) = (\sim (EX \ x. \sim P \ x))$ **by** *blast*

declare *All-def* [*no-atp*]

lemma *ex-disj-distrib*: $(? x. P(x) \mid Q(x)) = ((? x. P(x)) \mid (? x. Q(x)))$ **by** *iprover*

lemma *all-conj-distrib*: $(! x. P(x) \ \& \ Q(x)) = ((! x. P(x)) \ \& \ (! x. Q(x)))$ **by** *iprover*

The $\&$ congruence rule: not included by default! May slow rewrite proofs down by as much as 50%

lemma *conj-cong*:

$(P = P') ==> (P' ==> (Q = Q')) ==> ((P \ \& \ Q) = (P' \ \& \ Q'))$

by *iprover*

lemma *rev-conj-cong*:

$(Q = Q') ==> (Q' ==> (P = P')) ==> ((P \ \& \ Q) = (P' \ \& \ Q'))$

by *iprover*

The \mid congruence rule: not included by default!

lemma *disj-cong*:

$(P = P') ==> (\sim P' ==> (Q = Q')) ==> ((P \mid Q) = (P' \mid Q'))$

by *blast*

if-then-else rules

lemma *if-True* [*code*]: $(if \ True \ then \ x \ else \ y) = x$

by (*unfold if-def*) *blast*

lemma *if-False* [*code*]: $(if \ False \ then \ x \ else \ y) = y$

```

by (unfold if-def) blast

lemma if-P:  $P \implies (if\ P\ then\ x\ else\ y) = x$ 
by (unfold if-def) blast

lemma if-not-P:  $\sim P \implies (if\ P\ then\ x\ else\ y) = y$ 
by (unfold if-def) blast

lemma split-if:  $P (if\ Q\ then\ x\ else\ y) = ((Q \implies P(x)) \ \&\ (\sim Q \implies P(y)))$ 
apply (rule case-split [of Q])
apply (simplesubst if-P)
prefer 3 apply (simplesubst if-not-P, blast+)
done

lemma split-if-asm:  $P (if\ Q\ then\ x\ else\ y) = (\sim((Q \ \&\ \sim P\ x) \mid (\sim Q \ \&\ \sim P\ y)))$ 
by (simplesubst split-if, blast)

lemmas if-splits [no-atp] = split-if split-if-asm

lemma if-cancel:  $(if\ c\ then\ x\ else\ x) = x$ 
by (simplesubst split-if, blast)

lemma if-eq-cancel:  $(if\ x = y\ then\ y\ else\ x) = x$ 
by (simplesubst split-if, blast)

lemma if-bool-eq-conj:  $(if\ P\ then\ Q\ else\ R) = ((P \implies Q) \ \&\ (\sim P \implies R))$ 
— This form is useful for expanding ifs on the RIGHT of the  $\implies$  symbol.
by (rule split-if)

lemma if-bool-eq-disj:  $(if\ P\ then\ Q\ else\ R) = ((P \ \&\ Q) \mid (\sim P \ \&\ R))$ 
— And this form is useful for expanding ifs on the LEFT.
apply (simplesubst split-if, blast)
done

lemma Eq-TrueI:  $P \implies P == True$  by (unfold atomize-eq) iprover
lemma Eq-FalseI:  $\sim P \implies P == False$  by (unfold atomize-eq) iprover

let rules for simproc

lemma Let-folded:  $f\ x \equiv g\ x \implies Let\ x\ f \equiv Let\ x\ g$ 
by (unfold Let-def)

lemma Let-unfold:  $f\ x \equiv g \implies Let\ x\ f \equiv g$ 
by (unfold Let-def)

The following copy of the implication operator is useful for fine-tuning congruence rules. It instructs the simplifier to simplify its premise.

definition simp-implies ::  $[prop, prop] \implies prop$  (infixr =simp=> 1) where
[code del]:  $simp-implies \equiv op \implies$ 

```



```

lemma simp-impliesI:
  assumes PQ: (PROP P  $\implies$  PROP Q)
  shows PROP P =simp=> PROP Q
  apply (unfold simp-implies-def)
  apply (rule PQ)
  apply assumption
  done

lemma simp-impliesE:
  assumes PQ: PROP P =simp=> PROP Q
  and P: PROP P
  and QR: PROP Q  $\implies$  PROP R
  shows PROP R
  apply (rule QR)
  apply (rule PQ [unfolded simp-implies-def])
  apply (rule P)
  done

lemma simp-implies-cong:
  assumes PP': PROP P == PROP P'
  and P'QQ': PROP P' ==> (PROP Q == PROP Q')
  shows (PROP P =simp=> PROP Q) == (PROP P' =simp=> PROP Q')
proof (unfold simp-implies-def, rule equal-intr-rule)
  assume PQ: PROP P  $\implies$  PROP Q
  and P': PROP P'
  from PP' [symmetric] and P' have PROP P
    by (rule equal-elim-rule1)
  then have PROP Q by (rule PQ)
  with P'QQ' [OF P'] show PROP Q' by (rule equal-elim-rule1)
next
  assume P'Q': PROP P'  $\implies$  PROP Q'
  and P: PROP P
  from PP' and P have P': PROP P' by (rule equal-elim-rule1)
  then have PROP Q' by (rule P'Q')
  with P'QQ' [OF P', symmetric] show PROP Q
    by (rule equal-elim-rule1)
qed

lemma uncurry:
  assumes P  $\longrightarrow$  Q  $\longrightarrow$  R
  shows P  $\wedge$  Q  $\longrightarrow$  R
  using assms by blast

lemma iff-allI:
  assumes  $\bigwedge x. P\ x = Q\ x$ 
  shows  $(\forall x. P\ x) = (\forall x. Q\ x)$ 
  using assms by blast

```

```

lemma iff-exI:
  assumes  $\bigwedge x. P\ x = Q\ x$ 
  shows  $(\exists x. P\ x) = (\exists x. Q\ x)$ 
  using assms by blast

```

```

lemma all-comm:
   $(\forall x\ y. P\ x\ y) = (\forall y\ x. P\ x\ y)$ 
  by blast

```

```

lemma ex-comm:
   $(\exists x\ y. P\ x\ y) = (\exists y\ x. P\ x\ y)$ 
  by blast

```

```

use Tools/simpdata.ML
ML  $\ll$  open Simpdata  $\gg$ 

```

```

setup  $\ll$ 
  Simplifier.method-setup Splitter.split-modifiers
   $\#>$  Simplifier.map-simpset (K Simpdata.simpset-simprocs)
   $\#>$  Splitter.setup
   $\#>$  clasimp-setup
   $\#>$  EqSubst.setup
 $\gg$ 

```

Simproc for proving $(y = x) == \text{False}$ from premise $\sim(x = y)$:

```

simproc-setup neq (x = y) =  $\ll$  fn - =>
  let
    val neq-to-EQ-False =  $\@ \{ \text{thm not-sym} \}$  RS  $\@ \{ \text{thm Eq-FalseI} \}$ ;
    fun is-neq eq lhs rhs thm =
      (case Thm.prop-of thm of
        -  $\$ (Not\ \$ (eq'\ \$ l'\ \$ r')) =>$ 
          Not = HOLogic.Not andalso eq' = eq andalso
            r' aconv lhs andalso l' aconv rhs
        | - => false);
    fun proc ss ct =
      (case Thm.term-of ct of
        eq  $\$ lhs\ \$ rhs =>$ 
          (case find-first (is-neq eq lhs rhs) (Simplifier.premis-of-ss ss) of
            SOME thm => SOME (thm RS neq-to-EQ-False)
            | NONE => NONE)
        | - => NONE);
  in proc end;
 $\gg$ 

```

```

simproc-setup let-simp (Let x f) =  $\ll$ 
  let
    val (f-Let-unfold, x-Let-unfold) =
      let val [- (f  $\$ x$ )  $\$ -$ ] = prems-of  $\@ \{ \text{thm Let-unfold} \}$ 
      in (cterm-of  $\@ \{ \text{theory} \}$  f, cterm-of  $\@ \{ \text{theory} \}$  x) end

```

```

val (f-Let-folded, x-Let-folded) =
  let val [(- $ (f $ x) $ -)] = prems-of @{thm Let-folded}
  in (cterm-of @{theory} f, cterm-of @{theory} x) end;
val g-Let-folded =
  let val [(- $ - $ (g $ -))] = prems-of @{thm Let-folded}
  in cterm-of @{theory} g end;
fun count-loose (Bound i) k = if i >= k then 1 else 0
  | count-loose (s $ t) k = count-loose s k + count-loose t k
  | count-loose (Abs (-, -, t)) k = count-loose t (k + 1)
  | count-loose - - = 0;
fun is-trivial-let (Const (@{const-name Let}, -) $ x $ t) =
  case t
  of Abs (-, -, t') => count-loose t' 0 <= 1
  | - => true;
in fn - => fn ss => fn ct => if is-trivial-let (Thm.term-of ct)
  then SOME @{thm Let-def} (*no or one occurrence of bound variable*)
  else let (*Norbert Schirmer's case*)
    val ctxt = Simplifier.the-context ss;
    val thy = ProofContext.theory-of ctxt;
    val t = Thm.term-of ct;
    val ([t'], ctxt') = Variable.import-terms false [t] ctxt;
  in Option.map (hd o Variable.export ctxt' ctxt o single)
    (case t' of Const (@{const-name Let},-) $ x $ f => (* x and f are already in
normal form *))
    if is-Free x orelse is-Bound x orelse is-Const x
    then SOME @{thm Let-def}
    else
      let
        val n = case f of (Abs (x, -, -)) => x | - => x;
        val cx = cterm-of thy x;
        val {T = xT, ...} = rep-cterm cx;
        val cf = cterm-of thy f;
        val fx-g = Simplifier.rewrite ss (Thm.capply cf cx);
        val (- $ - $ g) = prop-of fx-g;
        val g' = abstract-over (x,g);
      in (if (g aconv g')
        then
          let
            val rl =
              cterm-instantiate [(f-Let-unfold, cf), (x-Let-unfold, cx)] @{thm
Let-unfold};
          in SOME (rl OF [fx-g]) end
        else if Term.betapply (f, x) aconv g then NONE (*avoid identity
conversion*)
        else let
          val abs-g' = Abs (n,xT,g');
          val g'x = abs-g'$x;
          val g-g'x = Thm.symmetric (Thm.beta-conversion false (cterm-of
thy g'x));

```

```

      val rl = cterm-instantiate
        [(f-Let-folded, cterm-of thy f), (x-Let-folded, cx),
         (g-Let-folded, cterm-of thy abs-g')]
        @{thm Let-folded};
    in SOME (rl OF [Thm.transitive fx-g g-g'x])
    end)
  end
| - => NONE)
end
end >>

```

lemma *True-implies-equals*: $(\text{True} \implies \text{PROP } P) \equiv \text{PROP } P$

proof

assume $\text{True} \implies \text{PROP } P$

from *this* [OF TrueI] **show** $\text{PROP } P$.

next

assume $\text{PROP } P$

then show $\text{PROP } P$.

qed

lemma *ex-simps*:

!!P Q. $(\text{EX } x. P \ x \ \& \ Q) = ((\text{EX } x. P \ x) \ \& \ Q)$

!!P Q. $(\text{EX } x. P \ \& \ Q \ x) = (P \ \& \ (\text{EX } x. Q \ x))$

!!P Q. $(\text{EX } x. P \ x \ | \ Q) = ((\text{EX } x. P \ x) \ | \ Q)$

!!P Q. $(\text{EX } x. P \ | \ Q \ x) = (P \ | \ (\text{EX } x. Q \ x))$

!!P Q. $(\text{EX } x. P \ x \ \longrightarrow \ Q) = ((\text{ALL } x. P \ x) \ \longrightarrow \ Q)$

!!P Q. $(\text{EX } x. P \ \longrightarrow \ Q \ x) = (P \ \longrightarrow \ (\text{EX } x. Q \ x))$

— Miniscoping: pushing in existential quantifiers.

by (*iprover* | *blast*)+

lemma *all-simps*:

!!P Q. $(\text{ALL } x. P \ x \ \& \ Q) = ((\text{ALL } x. P \ x) \ \& \ Q)$

!!P Q. $(\text{ALL } x. P \ \& \ Q \ x) = (P \ \& \ (\text{ALL } x. Q \ x))$

!!P Q. $(\text{ALL } x. P \ x \ | \ Q) = ((\text{ALL } x. P \ x) \ | \ Q)$

!!P Q. $(\text{ALL } x. P \ | \ Q \ x) = (P \ | \ (\text{ALL } x. Q \ x))$

!!P Q. $(\text{ALL } x. P \ x \ \longrightarrow \ Q) = ((\text{EX } x. P \ x) \ \longrightarrow \ Q)$

!!P Q. $(\text{ALL } x. P \ \longrightarrow \ Q \ x) = (P \ \longrightarrow \ (\text{ALL } x. Q \ x))$

— Miniscoping: pushing in universal quantifiers.

by (*iprover* | *blast*)+

lemmas [*simp*] =

triv-forall-equality

True-implies-equals

if-True

if-False

if-cancel

if-eq-cancel

imp-disjL

conj-assoc
disj-assoc
de-Morgan-conj
de-Morgan-disj
imp-disj1
imp-disj2
not-imp
disj-not1
not-all
not-ex
cases-simp
the-eq-trivial
the-sym-eq-trivial
ex-simps
all-simps
simp-thms

lemmas [*cong*] = *imp-cong simp-implies-cong*
lemmas [*split*] = *split-if*

ML $\ll \text{val HOL-ss} = @\{\text{simpset}\} \gg$

Simplifies x assuming c and y assuming $\neg c$

lemma *if-cong*:
assumes $b = c$
and $c \implies x = u$
and $\neg c \implies y = v$
shows $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v)$
unfolding *if-def* **using** *assms* **by** *simp*

Prevents simplification of x and y: faster and allows the execution of functional programs.

lemma *if-weak-cong* [*cong*]:
assumes $b = c$
shows $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } x \text{ else } y)$
using *assms* **by** (*rule arg-cong*)

Prevents simplification of t: much faster

lemma *let-weak-cong*:
assumes $a = b$
shows $(\text{let } x = a \text{ in } t\ x) = (\text{let } x = b \text{ in } t\ x)$
using *assms* **by** (*rule arg-cong*)

To tidy up the result of a simproc. Only the RHS will be simplified.

lemma *eq-cong2*:
assumes $u = u'$
shows $(t \equiv u) \equiv (t \equiv u')$
using *assms* **by** *simp*

lemma *if-distrib*:

$f \text{ (if } c \text{ then } x \text{ else } y) = (\text{if } c \text{ then } f \ x \text{ else } f \ y)$
by *simp*

This lemma restricts the effect of the rewrite rule $u=v$ to the left-hand side of an equality. Used in $\{Integ, Real\}/simproc.ML$

lemma *restrict-to-left*:

assumes $x = y$
shows $(x = z) = (y = z)$
using *assms* **by** *simp*

2.3.4 Generic cases and induction

Rule projections:

ML $\langle\langle$
 $structure \ Project\text{-}Rule = \ Project\text{-}Rule$
 $($
 $\quad val \ conjunct1 = @\{thm \ conjunct1\}$
 $\quad val \ conjunct2 = @\{thm \ conjunct2\}$
 $\quad val \ mp = @\{thm \ mp\}$
 $)$
 $\rangle\rangle$

definition *induct-forall* **where**

$induct\text{-}forall \ P == \forall x. \ P \ x$

definition *induct-implies* **where**

$induct\text{-}implies \ A \ B == A \longrightarrow B$

definition *induct-equal* **where**

$induct\text{-}equal \ x \ y == x = y$

definition *induct-conj* **where**

$induct\text{-}conj \ A \ B == A \wedge B$

definition *induct-true* **where**

$induct\text{-}true == True$

definition *induct-false* **where**

$induct\text{-}false == False$

lemma *induct-forall-eq*: $(!!x. \ P \ x) == Trueprop \ (induct\text{-}forall \ (\lambda x. \ P \ x))$

by *(unfold atomize-all induct-forall-def)*

lemma *induct-implies-eq*: $(A ==> B) == Trueprop \ (induct\text{-}implies \ A \ B)$

by *(unfold atomize-imp induct-implies-def)*

```

lemma induct-equal-eq: (x == y) == Trueprop (induct-equal x y)
  by (unfold atomize-eq induct-equal-def)

lemma induct-conj-eq: (A &&& B) == Trueprop (induct-conj A B)
  by (unfold atomize-conj induct-conj-def)

lemmas induct-atomize' = induct-forall-eq induct-implies-eq induct-conj-eq
lemmas induct-atomize = induct-atomize' induct-equal-eq
lemmas induct-rulify' [symmetric, standard] = induct-atomize'
lemmas induct-rulify [symmetric, standard] = induct-atomize
lemmas induct-rulify-fallback =
  induct-forall-def induct-implies-def induct-equal-def induct-conj-def
  induct-true-def induct-false-def

lemma induct-forall-conj: induct-forall (λx. induct-conj (A x) (B x)) =
  induct-conj (induct-forall A) (induct-forall B)
  by (unfold induct-forall-def induct-conj-def) iprover

lemma induct-implies-conj: induct-implies C (induct-conj A B) =
  induct-conj (induct-implies C A) (induct-implies C B)
  by (unfold induct-implies-def induct-conj-def) iprover

lemma induct-conj-curry: (induct-conj A B ==> PROP C) == (A ==> B ==>
  PROP C)
proof
  assume r: induct-conj A B ==> PROP C and A B
  show PROP C by (rule r) (simp add: induct-conj-def ⟨A⟩ ⟨B⟩)
next
  assume r: A ==> B ==> PROP C and induct-conj A B
  show PROP C by (rule r) (simp-all add: ⟨induct-conj A B⟩ [unfolded induct-conj-def])
qed

lemmas induct-conj = induct-forall-conj induct-implies-conj induct-conj-curry

lemma induct-trueI: induct-true
  by (simp add: induct-true-def)

Method setup.

ML ⟨⟨
  structure Induct = Induct
  (
    val cases-default = @{thm case-split}
    val atomize = @{thms induct-atomize}
    val rulify = @{thms induct-rulify}
    val rulify-fallback = @{thms induct-rulify-fallback}
    val equal-def = @{thm induct-equal-def}
    fun dest-def (Const (@{const-name induct-equal}, -) $ t $ u) = SOME (t, u)
    | dest-def - = NONE
  )
  ⟩⟩

```

```

    val trivial-tac = match-tac @{thms induct-trueI}
  )
  >>

setup <<
  Induct.setup #>
  Context.theory-map (Induct.map-simpset (fn ss => ss
    setmksimps (fn ss => Simpdata.mksimps Simpdata.mksimps-pairs ss #>
      map (Simplifier.rewrite-rule (map Thm.symmetric
        @{thms induct-rulify-fallback}))))
  addsimprocs
  [Simplifier.simproc @{theory} swap-induct-false
    [induct-false ==> PROP P ==> PROP Q]
    (fn - => fn - =>
      (fn - $ (P as - $ @{const induct-false}) $ (- $ Q $ -) =>
        if P <> Q then SOME Drule.swap-prems-eq else NONE
        | - => NONE)),
  Simplifier.simproc @{theory} induct-equal-conj-curry
    [induct-conj P Q ==> PROP R]
    (fn - => fn - =>
      (fn - $ (- $ P) $ - =>
        let
          fun is-conj (@{const induct-conj} $ P $ Q) =
            is-conj P andalso is-conj Q
            | is-conj (Const (@{const-name induct-equal}, -) $ - $ -) = true
            | is-conj @{const induct-true} = true
            | is-conj @{const induct-false} = true
            | is-conj - = false
          in if is-conj P then SOME @{thm induct-conj-curry} else NONE end
          | - => NONE)))]))
  >>

```

Pre-simplification of induction and cases rules

```

lemma [induct-simp]: (!!x. induct-equal x t ==> PROP P x) == PROP P t
unfolding induct-equal-def

```

proof

```

  assume R: !!x. x = t ==> PROP P x
  show PROP P t by (rule R [OF refl])

```

next

```

  fix x assume PROP P t x = t
  then show PROP P x by simp

```

qed

```

lemma [induct-simp]: (!!x. induct-equal t x ==> PROP P x) == PROP P t
unfolding induct-equal-def

```

proof

```

  assume R: !!x. t = x ==> PROP P x
  show PROP P t by (rule R [OF refl])

```

next


```

fix  $x$  assume  $PROP\ P\ t\ t = x$ 
then show  $PROP\ P\ x$  by simp
qed

```

```

lemma [induct-simp]: ( $induct\ false ==> P$ ) == Trueprop induct-true
unfolding induct-false-def induct-true-def
by (iprover intro: equal-intr-rule)

```

```

lemma [induct-simp]: ( $induct\ true ==> PROP\ P$ ) ==  $PROP\ P$ 
unfolding induct-true-def
proof
  assume  $R: True \implies PROP\ P$ 
  from TrueI show  $PROP\ P$  by (rule R)
next
  assume  $PROP\ P$ 
  then show  $PROP\ P$  .
qed

```

```

lemma [induct-simp]: ( $PROP\ P ==> induct\ true$ ) == Trueprop induct-true
unfolding induct-true-def
by (iprover intro: equal-intr-rule)

```

```

lemma [induct-simp]: ( $!!x. induct\ true$ ) == Trueprop induct-true
unfolding induct-true-def
by (iprover intro: equal-intr-rule)

```

```

lemma [induct-simp]:  $induct\ implies\ induct\ true\ P == P$ 
by (simp add: induct-implies-def induct-true-def)

```

```

lemma [induct-simp]: ( $x = x$ ) = True
by (rule simp-thms)

```

```

hide-const induct-forall induct-implies induct-equal induct-conj induct-true induct-false

```

```

use  $\sim\sim/src/Tools/induct-tacs.ML$ 
setup InductTacs.setup

```

2.3.5 Coherent logic

```

ML <<
  structure Coherent = Coherent
  (
    val atomize-elimL = @{thm atomize-elimL}
    val atomize-exL = @{thm atomize-exL}
    val atomize-conjL = @{thm atomize-conjL}
    val atomize-disjL = @{thm atomize-disjL}
    val operator-names =
      [ @{const-name op |}, @{const-name op &}, @{const-name Ex} ]
  );

```

»

setup *Coherent.setup*

2.3.6 Reorienting equalities

```

ML <<
signature REORIENT-PROC =
sig
  val add : (term -> bool) -> theory -> theory
  val proc : morphism -> simpset -> cterm -> thm option
end;

structure Reorient-Proc : REORIENT-PROC =
struct
  structure Data = Theory-Data
  (
    type T = ((term -> bool) * stamp) list;
    val empty = [];
    val extend = I;
    fun merge data : T = Library.merge (eq-snd op =) data;
  );
  fun add m = Data.map (cons (m, stamp ())) ;
  fun matches thy t = exists (fn (m, -) => m t) (Data.get thy);

  val meta-reorient = @{thm eq-commute [THEN eq-reflection]};
  fun proc phi ss ct =
    let
      val ctxt = Simplifier.the-context ss;
      val thy = ProofContext.theory-of ctxt;
    in
      case Thm.term-of ct of
        (- $ t $ u) => if matches thy u then NONE else SOME meta-reorient
      | - => NONE
    end;
end;
>>

```

2.4 Other simple lemmas and lemma duplicates

lemma *ex1-eq* [iff]: $EX! x. x = t \rightarrow EX! x. t = x$
by *blast+*

lemma *choice-eq*: $(ALL x. EX! y. P x y) = (EX! f. ALL x. P x (f x))$
apply (*rule iffI*)
apply (*rule-tac a = %x. THE y. P x y in ex1I*)
apply (*fast dest!: theI'*)
apply (*fast intro: ext the1-equality [symmetric]*)
apply (*erule ex1E*)
apply (*rule allI*)

```

apply (rule ex1I)
apply (erule spec)
apply (erule-tac x = %z. if z = x then y else f z in allE)
apply (erule impE)
apply (rule allI)
apply (case-tac xa = x)
apply (drule-tac [3] x = x in fun-cong, simp-all)
done

```

lemmas eq-sym-conv = eq-commute

lemma nnf-simps:

```

  (¬(P ∧ Q)) = (¬ P ∨ ¬ Q) (¬ (P ∨ Q)) = (¬ P ∧ ¬ Q) (P ⟶ Q) = (¬P ∨
Q)
  (P = Q) = ((P ∧ Q) ∨ (¬P ∧ ¬ Q)) (¬(P = Q)) = ((P ∧ ¬ Q) ∨ (¬P ∧ Q))
  (¬ ¬(P)) = P
by blast+

```

2.5 Basic ML bindings

```

ML ⟨⟨
  val FalseE = @{thm FalseE}
  val Let-def = @{thm Let-def}
  val TrueI = @{thm TrueI}
  val allE = @{thm allE}
  val allI = @{thm allI}
  val all-dupE = @{thm all-dupE}
  val arg-cong = @{thm arg-cong}
  val box-equals = @{thm box-equals}
  val ccontr = @{thm ccontr}
  val classical = @{thm classical}
  val conjE = @{thm conjE}
  val conjI = @{thm conjI}
  val conjunct1 = @{thm conjunct1}
  val conjunct2 = @{thm conjunct2}
  val disjCI = @{thm disjCI}
  val disjE = @{thm disjE}
  val disjI1 = @{thm disjI1}
  val disjI2 = @{thm disjI2}
  val eq-reflection = @{thm eq-reflection}
  val ex1E = @{thm ex1E}
  val ex1I = @{thm ex1I}
  val ex1-implies-ex = @{thm ex1-implies-ex}
  val exE = @{thm exE}
  val exI = @{thm exI}
  val excluded-middle = @{thm excluded-middle}
  val ext = @{thm ext}
  val fun-cong = @{thm fun-cong}
  val iffD1 = @{thm iffD1}

```

```

val iffD2 = @{thm iffD2}
val iffI = @{thm iffI}
val impE = @{thm impE}
val impI = @{thm impI}
val meta-eq-to-obj-eq = @{thm meta-eq-to-obj-eq}
val mp = @{thm mp}
val notE = @{thm notE}
val notI = @{thm notI}
val not-all = @{thm not-all}
val not-ex = @{thm not-ex}
val not-iff = @{thm not-iff}
val not-not = @{thm not-not}
val not-sym = @{thm not-sym}
val refl = @{thm refl}
val rev-mp = @{thm rev-mp}
val spec = @{thm spec}
val ssubst = @{thm ssubst}
val subst = @{thm subst}
val sym = @{thm sym}
val trans = @{thm trans}
>>

```

2.6 Code generator setup

2.6.1 SML code generator setup

```
use Tools/recfun-codegen.ML
```

```

setup <<
  Codegen.setup
  #> RecfunCodegen.setup
  #> Codegen.map-unfold (K HOL-basic-ss)
>>

types-code
  bool (bool)
attach (term-of) <<
  fun term-of-bool b = if b then HOLogic.true-const else HOLogic.false-const;
>>
attach (test) <<
  fun gen-bool i =
    let val b = one-of [false, true]
    in (b, fn () => term-of-bool b) end;
>>
  prop (bool)
attach (term-of) <<
  fun term-of-prop b =
    HOLogic.mk-Trueprop (if b then HOLogic.true-const else HOLogic.false-const);
>>

```

consts-code

```

Trueprop ((-))
True      (true)
False     (false)
Not       (Bool.not)
op |      ((- orelse/ -))
op &      ((- andalso/ -))
If        ((if -/ then -/ else -))

```

setup \ll

```
let
```

```

fun eq-codegen thy defs dep thyname b t gr =
  (case strip-comb t of
    (Const (@{const-name op =}, Type (-, [Type (fun, -), -]), -) => NONE
   | (Const (@{const-name op =}, -, [t, u]) =>
      let
        val (pt, gr') = Codegen.invoke-codegen thy defs dep thyname false t gr;
        val (pu, gr'') = Codegen.invoke-codegen thy defs dep thyname false u gr';
        val (-, gr''') = Codegen.invoke-tycodegen thy defs dep thyname false
        HOLogic.boolT gr'';
      in
        SOME (Codegen.parens
              (Pretty.block [pt, Codegen.str =, Pretty.brk 1, pu]), gr''')
        end
      | (t as Const (@{const-name op =}, -), ts) => SOME (Codegen.invoke-codegen
        thy defs dep thyname b (Codegen.eta-expand t ts 2) gr)
      | - => NONE);
  in
    Codegen.add-codegen eq-codegen eq-codegen
  end
  >>

```

2.6.2 Generic code generator preprocessor setup**setup** \ll

```

Code-Preproc.map-pre (K HOL-basic-ss)
#> Code-Preproc.map-post (K HOL-basic-ss)
>>

```

2.6.3 Equality**class** *eq* =

```

fixes eq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
assumes eq-equals: eq x y  $\longleftrightarrow$  x = y

```

begin

```

lemma eq [code-unfold, code-inline del]: eq = (op =)
  by (rule ext eq-equals)+

```

```

lemma eq-refl:  $eq\ x\ x \longleftrightarrow True$ 
  unfolding eq by rule+

lemma equals-eq:  $(op =) \equiv eq$ 
  by (rule eq-reflection) (rule ext, rule ext, rule sym, rule eq-equals)

declare equals-eq [symmetric, code-post]

end

declare equals-eq [code]

setup ⟨⟨
  Code-Preproc.map-pre (fn simpset =>
    simpset addsimprocs [Simplifier.simproc-i @{theory} eq [@{term op =}]]
    (fn thy => fn - => fn t as Const (-, T) => case strip-type T
      of ((T as Type -) :: -, -) => SOME @{thm equals-eq}
      | - => NONE))
  ⟩⟩

```

2.6.4 Generic code generator foundation

Datatypes

code-datatype *True False*

code-datatype *TYPE('a::{})*

code-datatype *prop Trueprop*

Code equations

```

lemma [code]:
  shows  $(True \implies PROP\ Q) \equiv PROP\ Q$ 
  and  $(PROP\ Q \implies True) \equiv Trueprop\ True$ 
  and  $(P \implies R) \equiv Trueprop\ (P \longrightarrow R)$  by (auto intro!: equal-intr-rule)

```

```

lemma [code]:
  shows  $False \wedge P \longleftrightarrow False$ 
  and  $True \wedge P \longleftrightarrow P$ 
  and  $P \wedge False \longleftrightarrow False$ 
  and  $P \wedge True \longleftrightarrow P$  by simp-all

```

```

lemma [code]:
  shows  $False \vee P \longleftrightarrow P$ 
  and  $True \vee P \longleftrightarrow True$ 
  and  $P \vee False \longleftrightarrow P$ 
  and  $P \vee True \longleftrightarrow True$  by simp-all

```

```

lemma [code]:

```

```

shows (False  $\longrightarrow$  P)  $\longleftrightarrow$  True
and (True  $\longrightarrow$  P)  $\longleftrightarrow$  P
and (P  $\longrightarrow$  False)  $\longleftrightarrow$   $\neg$  P
and (P  $\longrightarrow$  True)  $\longleftrightarrow$  True by simp-all

instantiation itself :: (type) eq
begin

definition eq-itself :: 'a itself  $\Rightarrow$  'a itself  $\Rightarrow$  bool where
  eq-itself x y  $\longleftrightarrow$  x = y

instance proof
qed (fact eq-itself-def)

end

lemma eq-itself-code [code]:
  eq-class.eq TYPE('a) TYPE('a)  $\longleftrightarrow$  True
  by (simp add: eq)

Equality

declare simp-thms(6) [code nbe]

setup  $\langle\langle$ 
  Sign.add-const-constraint (@{const-name eq}, SOME @{typ 'a::type  $\Rightarrow$  'a  $\Rightarrow$  bool})
 $\rangle\rangle$ 

lemma equals-alias-cert: OFCLASS('a, eq-class)  $\equiv$  ((op = :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\equiv$ 
eq) (is ?ofclass  $\equiv$  ?eq)
proof
  assume PROP ?ofclass
  show PROP ?eq
  by (tactic  $\langle\langle$  ALLGOALS (rtac (Thm.unconstrainT @{thm equals-eq})  $\rangle\rangle$ )
    (fact  $\langle$ PROP ?ofclass $\rangle$ )
  next
  assume PROP ?eq
  show PROP ?ofclass proof
  qed (simp add:  $\langle$ PROP ?eq $\rangle$ )
qed

setup  $\langle\langle$ 
  Sign.add-const-constraint (@{const-name eq}, SOME @{typ 'a::eq  $\Rightarrow$  'a  $\Rightarrow$  bool})
 $\rangle\rangle$ 

setup  $\langle\langle$ 
  Nbe.add-const-alias @{thm equals-alias-cert}
 $\rangle\rangle$ 

```

hide-const (**open**) *eq*

Cases

lemma *Let-case-cert*:

assumes $CASE \equiv (\lambda x. \text{Let } x \text{ } f)$
shows $CASE \ x \equiv f \ x$
using *assms* **by** *simp-all*

lemma *If-case-cert*:

assumes $CASE \equiv (\lambda b. \text{If } b \text{ } f \text{ } g)$
shows $(CASE \ True \equiv f) \ \&\&\& \ (CASE \ False \equiv g)$
using *assms* **by** *simp-all*

setup $\langle\langle$

Code.add-case $\@ \{thm \text{ Let-case-cert} \}$
 $\#>$ *Code.add-case* $\@ \{thm \text{ If-case-cert} \}$
 $\#>$ *Code.add-undefined* $\@ \{const-name \text{ undefined} \}$
 $\rangle\rangle$

code-abort *undefined*

2.6.5 Generic code generator target languages

type *bool*

code-type *bool*

(*SML bool*)
(*OCaml bool*)
(*Haskell Bool*)
(*Scala Boolean*)

code-const *True and False and Not and op & and op | and If*

(*SML true and false and not*
and **infixl** 1 *andalso* **and** **infixl** 0 *orelse*
and $!(if \ (-) \ then \ (-) \ else \ (-))$)
(*OCaml true and false and not*
and **infixl** 4 $\&\&$ **and** **infixl** 2 $||$
and $!(if \ (-) \ then \ (-) \ else \ (-))$)
(*Haskell True and False and not*
and **infixl** 3 $\&\&$ **and** **infixl** 2 $||$
and $!(if \ (-) \ then \ (-) \ else \ (-))$)
(*Scala true and false and '!* -
and **infixl** 3 $\&\&$ **and** **infixl** 1 $||$
and $!(if \ ((-)) \ (-) \ else \ (-))$)

code-reserved *SML*

bool true false not

code-reserved *OCaml*

bool not

code-reserved *Scala*

Boolean

using built-in Haskell equality

code-class *eq*

(Haskell Eq)

code-const *eq-class.eq*

(Haskell infixl 4 ==)

code-const *op =*

(Haskell infixl 4 ==)

undefined

code-const *undefined*

(SML !(raise/ Fail/ undefined))

(OCaml failwith/ undefined)

(Haskell error/ undefined)

(Scala !error(undefined))

2.6.6 Evaluation and normalization by evaluation

Avoid some named infixes in evaluation environment

code-reserved *Eval oo ooo oooo upto downto orf andf*

setup \ll

Value.add-evaluator (SML, Codegen.eval-term o ProofContext.theory-of)

\gg

ML \ll

structure Eval-Method =

struct

val eval-ref : (unit -> bool) option Unsynchronized.ref = Unsynchronized.ref NONE;

end;

\gg

oracle *eval-oracle = \ll fn ct =>*

let

val thy = Thm.theory-of-cterm ct;

val t = Thm.term-of ct;

val dummy = @{cprop True};

in case try HOLogic.dest-Trueprop t

of SOME t' => if Code-Eval.eval NONE

(Eval-Method.eval-ref, Eval-Method.eval-ref) (K I) thy t' []

```

      then Thm.capply (Thm.capply @{cterm op ≡ :: prop ⇒ prop ⇒ prop} ct)
dummy
      else dummy
    | NONE => dummy
  end
>>

```

```

ML <<
fun gen-eval-method conv ctxt = SIMPLE-METHOD'
  (CONVERSION (Conv.params-conv (~1) (K (Conv.concl-conv (~1) conv))
  ctxt)
  THEN' rtac TrueI)
>>

```

```

method-setup eval = << Scan.succeed (gen-eval-method eval-oracle) >>
  solve goal by evaluation

```

```

method-setup evaluation = << Scan.succeed (gen-eval-method Codegen.evaluation-conv)
>>
  solve goal by evaluation

```

```

method-setup normalization = <<
  Scan.succeed (K (SIMPLE-METHOD' (CONVERSION Nbe.norm-conv THEN'
  (fn k => TRY (rtac TrueI k)))))
>> solve goal by normalization

```

2.7 Counterexample Search Units

2.7.1 Quickcheck

```

quickcheck-params [size = 5, iterations = 50]

```

2.7.2 Nitpick setup

```

ML <<
structure Nitpick-Defs = Named-Thms
(
  val name = nitpick-def
  val description = alternative definitions of constants as needed by Nitpick
)
structure Nitpick-Simps = Named-Thms
(
  val name = nitpick-simp
  val description = equational specification of constants as needed by Nitpick
)
structure Nitpick-Psimps = Named-Thms
(
  val name = nitpick-psimp
  val description = partial equational specification of constants as needed by Nitpick
)

```

```

structure Nitpick-Choice-Specs = Named-Thms
(
  val name = nitpick-choice-spec
  val description = choice specification of constants as needed by Nitpick
)
>>

setup <<
  Nitpick-Defs.setup
  #> Nitpick-Simps.setup
  #> Nitpick-Psimps.setup
  #> Nitpick-Choice-Specs.setup
>>

```

2.8 Preprocessing for the predicate compiler

```

ML <<
structure Predicate-Compile-Alternative-Defs = Named-Thms
(
  val name = code-pred-def
  val description = alternative definitions of constants for the Predicate Compiler
)
structure Predicate-Compile-Inline-Defs = Named-Thms
(
  val name = code-pred-inline
  val description = inlining definitions for the Predicate Compiler
)
structure Predicate-Compile-Simps = Named-Thms
(
  val name = code-pred-simp
  val description = simplification rules for the optimisations in the Predicate Compiler
)
>>

setup <<
  Predicate-Compile-Alternative-Defs.setup
  #> Predicate-Compile-Inline-Defs.setup
  #> Predicate-Compile-Simps.setup
>>

```

2.9 Legacy tactics and ML bindings

```

ML <<
fun strip-tac i = REPEAT (resolve-tac [impI, allI] i);

(* combination of (spec RS spec RS ...(j times) ... spec RS mp) *)
local
  fun wrong-prem (Const (@{const-name All}, -) $ Abs (-, -, t)) = wrong-prem t
    | wrong-prem (Bound -) = true
    | wrong-prem - = false;

```

```

  val filter-right = filter (not o wrong-prem o HOLogic.dest-Trueprop o hd o Thm.premsof);
in
  fun smp i = funpow i (fn m => filter-right ([spec] RL m)) ([mp]);
  fun smp-tac j = EVERY'[dresolve-tac (smp j), atac];
end;

val all-conj-distrib = thm all-conj-distrib;
val all-simps = thms all-simps;
val atomize-not = thm atomize-not;
val case-split = thm case-split;
val cases-simp = thm cases-simp;
val choice-eq = thm choice-eq;
val cong = thm cong;
val conj-comms = thms conj-comms;
val conj-cong = thm conj-cong;
val de-Morgan-conj = thm de-Morgan-conj;
val de-Morgan-disj = thm de-Morgan-disj;
val disj-assoc = thm disj-assoc;
val disj-comms = thms disj-comms;
val disj-cong = thm disj-cong;
val eq-ac = thms eq-ac;
val eq-cong2 = thm eq-cong2;
val Eq-FalseI = thm Eq-FalseI;
val Eq-TrueI = thm Eq-TrueI;
val Ex1-def = thm Ex1-def;
val ex-disj-distrib = thm ex-disj-distrib;
val ex-simps = thms ex-simps;
val if-cancel = thm if-cancel;
val if-eq-cancel = thm if-eq-cancel;
val if-False = thm if-False;
val iff-conv-conj-imp = thm iff-conv-conj-imp;
val iff = thm iff;
val if-splits = thms if-splits;
val if-True = thm if-True;
val if-weak-cong = thm if-weak-cong;
val imp-all = thm imp-all;
val imp-cong = thm imp-cong;
val imp-conjL = thm imp-conjL;
val imp-conjR = thm imp-conjR;
val imp-conv-disj = thm imp-conv-disj;
val simp-implies-def = thm simp-implies-def;
val simp-thms = thms simp-thms;
val split-if = thm split-if;
val the1-equality = thm the1-equality;
val theI = thm theI;
val theI' = thm theI';
val True-implies-equals = thm True-implies-equals;
val nnf-conv = Simplifier.rewrite (HOL-basic-ss addsimps simp-thms @ @{thms
nnf-simps})

```

»

end

3 Orderings: Abstract orderings

```
theory Orderings
imports HOL
uses
  ~~/src/Provers/order.ML
  ~~/src/Provers/quasi.ML
begin
```

3.1 Syntactic orders

```
class ord =
  fixes less-eq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
    and less :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
begin

notation
  less-eq (op  $\leq$ ) and
  less-eq ((-/  $\leq$  -) [51, 51] 50) and
  less (op  $<$ ) and
  less ((-/  $<$  -) [51, 51] 50)

notation (xsymbols)
  less-eq (op  $\leq$ ) and
  less-eq ((-/  $\leq$  -) [51, 51] 50)

notation (HTML output)
  less-eq (op  $\leq$ ) and
  less-eq ((-/  $\leq$  -) [51, 51] 50)

abbreviation (input)
  greater-eq (infix  $\geq$  50) where
  x  $\geq$  y  $\equiv$  y  $\leq$  x

notation (input)
  greater-eq (infix  $\geq$  50)

abbreviation (input)
  greater (infix  $>$  50) where
  x  $>$  y  $\equiv$  y  $<$  x

end
```

3.2 Quasi orders

```

class preorder = ord +
  assumes less-le-not-le:  $x < y \longleftrightarrow x \leq y \wedge \neg (y \leq x)$ 
  and order-refl [iff]:  $x \leq x$ 
  and order-trans:  $x \leq y \implies y \leq z \implies x \leq z$ 
begin

```

Reflexivity.

```

lemma eq-refl:  $x = y \implies x \leq y$ 
  — This form is useful with the classical reasoner.
by (erule ssubst) (rule order-refl)

```

```

lemma less-irrefl [iff]:  $\neg x < x$ 
by (simp add: less-le-not-le)

```

```

lemma less-imp-le:  $x < y \implies x \leq y$ 
unfolding less-le-not-le by blast

```

Asymmetry.

```

lemma less-not-sym:  $x < y \implies \neg (y < x)$ 
by (simp add: less-le-not-le)

```

```

lemma less-asym:  $x < y \implies (\neg P \implies y < x) \implies P$ 
by (drule less-not-sym, erule contrapos-np) simp

```

Transitivity.

```

lemma less-trans:  $x < y \implies y < z \implies x < z$ 
by (auto simp add: less-le-not-le intro: order-trans)

```

```

lemma le-less-trans:  $x \leq y \implies y < z \implies x < z$ 
by (auto simp add: less-le-not-le intro: order-trans)

```

```

lemma less-le-trans:  $x < y \implies y \leq z \implies x < z$ 
by (auto simp add: less-le-not-le intro: order-trans)

```

Useful for simplification, but too risky to include by default.

```

lemma less-imp-not-less:  $x < y \implies (\neg y < x) \longleftrightarrow \text{True}$ 
by (blast elim: less-asym)

```

```

lemma less-imp-triv:  $x < y \implies (y < x \longrightarrow P) \longleftrightarrow \text{True}$ 
by (blast elim: less-asym)

```

Transitivity rules for calculational reasoning

```

lemma less-asym':  $a < b \implies b < a \implies P$ 
by (rule less-asym)

```

Dual order

```

lemma dual-preorder:
  class.preorder (op  $\geq$ ) (op  $>$ )
proof qed (auto simp add: less-le-not-le intro: order-trans)

end

```

3.3 Partial orders

```

class order = preorder +
  assumes antisym:  $x \leq y \implies y \leq x \implies x = y$ 
begin

```

Reflexivity.

```

lemma less-le:  $x < y \iff x \leq y \wedge x \neq y$ 
by (auto simp add: less-le-not-le intro: antisym)

```

```

lemma le-less:  $x \leq y \iff x < y \vee x = y$ 
  — NOT suitable for iff, since it can cause PROOF FAILED.
by (simp add: less-le) blast

```

```

lemma le-imp-less-or-eq:  $x \leq y \implies x < y \vee x = y$ 
unfolding less-le by blast

```

Useful for simplification, but too risky to include by default.

```

lemma less-imp-not-eq:  $x < y \implies (x = y) \iff \text{False}$ 
by auto

```

```

lemma less-imp-not-eq2:  $x < y \implies (y = x) \iff \text{False}$ 
by auto

```

Transitivity rules for calculational reasoning

```

lemma neq-le-trans:  $a \neq b \implies a \leq b \implies a < b$ 
by (simp add: less-le)

```

```

lemma le-neq-trans:  $a \leq b \implies a \neq b \implies a < b$ 
by (simp add: less-le)

```

Asymmetry.

```

lemma eq-iff:  $x = y \iff x \leq y \wedge y \leq x$ 
by (blast intro: antisym)

```

```

lemma antisym-conv:  $y \leq x \implies x \leq y \iff x = y$ 
by (blast intro: antisym)

```

```

lemma less-imp-neq:  $x < y \implies x \neq y$ 
by (erule contrapos-pn, erule subst, rule less-irrefl)

```

Least value operator

definition (in *ord*)

Least :: ($'a \Rightarrow \text{bool}$) $\Rightarrow 'a$ (**binder** *LEAST* 10) **where**
Least $P = (\text{THE } x. P\ x \wedge (\forall y. P\ y \longrightarrow x \leq y))$

lemma *Least-equality*:

assumes $P\ x$
and $\bigwedge y. P\ y \Longrightarrow x \leq y$
shows $\text{Least } P = x$
unfolding *Least-def* **by** (*rule the-equality*)
(blast intro: assms antisym)+

lemma *LeastI2-order*:

assumes $P\ x$
and $\bigwedge y. P\ y \Longrightarrow x \leq y$
and $\bigwedge x. P\ x \Longrightarrow \forall y. P\ y \longrightarrow x \leq y \Longrightarrow Q\ x$
shows $Q\ (\text{Least } P)$
unfolding *Least-def* **by** (*rule theI2*)
(blast intro: assms antisym)+

Dual order

lemma *dual-order*:

class.order (*op* \geq) (*op* $>$)
by (*intro-locales*, *rule dual-preorder*) (*unfold-locales*, *rule antisym*)

end

3.4 Linear (total) orders

class *linorder* = *order* +
assumes $\text{linear}: x \leq y \vee y \leq x$
begin

lemma *less-linear*: $x < y \vee x = y \vee y < x$
unfolding *less-le* **using** *less-le linear* **by** *blast*

lemma *le-less-linear*: $x \leq y \vee y < x$
by (*simp add: le-less less-linear*)

lemma *le-cases* [*case-names le ge*]:
 $(x \leq y \Longrightarrow P) \Longrightarrow (y \leq x \Longrightarrow P) \Longrightarrow P$
using *linear* **by** *blast*

lemma *linorder-cases* [*case-names less equal greater*]:
 $(x < y \Longrightarrow P) \Longrightarrow (x = y \Longrightarrow P) \Longrightarrow (y < x \Longrightarrow P) \Longrightarrow P$
using *less-linear* **by** *blast*

lemma *not-less*: $\neg x < y \longleftrightarrow y \leq x$
apply (*simp add: less-le*)
using *linear* **apply** (*blast intro: antisym*)

done

lemma *not-less-iff-gr-or-eq*:
 $\neg(x < y) \longleftrightarrow (x > y \mid x = y)$
apply (*simp add: not-less le-less*)
apply *blast*
done

lemma *not-le*: $\neg x \leq y \longleftrightarrow y < x$
apply (*simp add: less-le*)
using *linear* **apply** (*blast intro: antisym*)
done

lemma *neq-iff*: $x \neq y \longleftrightarrow x < y \vee y < x$
by (*cut-tac x = x and y = y in less-linear, auto*)

lemma *neqE*: $x \neq y \Longrightarrow (x < y \Longrightarrow R) \Longrightarrow (y < x \Longrightarrow R) \Longrightarrow R$
by (*simp add: neq-iff*) *blast*

lemma *antisym-conv1*: $\neg x < y \Longrightarrow x \leq y \longleftrightarrow x = y$
by (*blast intro: antisym dest: not-less [THEN iffD1]*)

lemma *antisym-conv2*: $x \leq y \Longrightarrow \neg x < y \longleftrightarrow x = y$
by (*blast intro: antisym dest: not-less [THEN iffD1]*)

lemma *antisym-conv3*: $\neg y < x \Longrightarrow \neg x < y \longleftrightarrow x = y$
by (*blast intro: antisym dest: not-less [THEN iffD1]*)

lemma *leI*: $\neg x < y \Longrightarrow y \leq x$
unfolding *not-less* .

lemma *leD*: $y \leq x \Longrightarrow \neg x < y$
unfolding *not-less* .

lemma *not-leE*: $\neg y \leq x \Longrightarrow x < y$
unfolding *not-le* .

Dual order

lemma *dual-linorder*:
class.linorder (*op* \geq) (*op* $>$)
by (*rule class.linorder.intro, rule dual-order*) (*unfold-locales, rule linear*)

min/max

definition (**in** *ord*) *min* :: 'a \Rightarrow 'a \Rightarrow 'a **where**
 $[code\ del]: min\ a\ b = (if\ a \leq b\ then\ a\ else\ b)$

definition (**in** *ord*) *max* :: 'a \Rightarrow 'a \Rightarrow 'a **where**
 $[code\ del]: max\ a\ b = (if\ a \leq b\ then\ b\ else\ a)$

lemma *min-le-iff-disj*:

$$\min x y \leq z \iff x \leq z \vee y \leq z$$

unfolding *min-def* **using** *linear* **by** (*auto intro: order-trans*)

lemma *le-max-iff-disj*:

$$z \leq \max x y \iff z \leq x \vee z \leq y$$

unfolding *max-def* **using** *linear* **by** (*auto intro: order-trans*)

lemma *min-less-iff-disj*:

$$\min x y < z \iff x < z \vee y < z$$

unfolding *min-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

lemma *less-max-iff-disj*:

$$z < \max x y \iff z < x \vee z < y$$

unfolding *max-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

lemma *min-less-iff-conj* [*simp*]:

$$z < \min x y \iff z < x \wedge z < y$$

unfolding *min-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

lemma *max-less-iff-conj* [*simp*]:

$$\max x y < z \iff x < z \wedge y < z$$

unfolding *max-def le-less* **using** *less-linear* **by** (*auto intro: less-trans*)

lemma *split-min* [*no-atp*]:

$$P (\min i j) \iff (i \leq j \longrightarrow P i) \wedge (\neg i \leq j \longrightarrow P j)$$

by (*simp add: min-def*)

lemma *split-max* [*no-atp*]:

$$P (\max i j) \iff (i \leq j \longrightarrow P j) \wedge (\neg i \leq j \longrightarrow P i)$$

by (*simp add: max-def*)

end

Explicit dictionaries for code generation

lemma *min-ord-min* [*code, code-unfold, code-inline del*]:

$$\min = \text{ord.min } (op \leq)$$

by (*rule ext*)+ (*simp add: min-def ord.min-def*)

declare *ord.min-def* [*code*]

lemma *max-ord-max* [*code, code-unfold, code-inline del*]:

$$\max = \text{ord.max } (op \leq)$$

by (*rule ext*)+ (*simp add: max-def ord.max-def*)

declare *ord.max-def* [*code*]

3.5 Reasoning tools setup

ML \ll

```
signature ORDERS =
sig
  val print-structures: Proof.context -> unit
  val setup: theory -> theory
  val order-tac: Proof.context -> thm list -> int -> tactic
end;

structure Orders: ORDERS =
struct

  (** Theory and context data **)

  fun struct-eq ((s1: string, ts1), (s2, ts2)) =
    (s1 = s2) andalso eq-list (op aconv) (ts1, ts2);

  structure Data = Generic-Data
  (
    type T = ((string * term list) * Order-Tac.less-arith) list;
    (* Order structures:
       identifier of the structure, list of operations and record of theorems
       needed to set up the transitivity reasoner,
       identifier and operations identify the structure uniquely. *)
    val empty = [];
    val extend = I;
    fun merge data = AList.join struct-eq (K fst) data;
  );

  fun print-structures ctxt =
    let
      val structs = Data.get (Context.Proof ctxt);
      fun pretty-term t = Pretty.block
        [Pretty.quote (Syntax.pretty-term ctxt t), Pretty.brk 1,
         Pretty.str ::, Pretty.brk 1,
         Pretty.quote (Syntax.pretty-typ ctxt (type-of t))];
      fun pretty-struct ((s, ts), _) = Pretty.block
        [Pretty.str s, Pretty.str :, Pretty.brk 1,
         Pretty.enclose ( ) (Pretty.breaks (map pretty-term ts))];
    in
      Pretty.writeln (Pretty.big-list Order structures: (map pretty-struct structs))
    end;

  (** Method **)

  fun struct-tac ((s, [eq, le, less]), thms) ctxt prems =
    let
```

```

fun decomp thy (@{const Trueprop} $ t) =
  let
    fun excluded t =
      (* exclude numeric types: linear arithmetic subsumes transitivity *)
      let val T = type-of t
      in
        T = HOLogic.natT orelse T = HOLogic.intT orelse T = HOLogic.realT
      end;
    fun rel (bin-op $ t1 $ t2) =
      if excluded t1 then NONE
      else if Pattern.matches thy (eq, bin-op) then SOME (t1, =, t2)
      else if Pattern.matches thy (le, bin-op) then SOME (t1, <=, t2)
      else if Pattern.matches thy (less, bin-op) then SOME (t1, <, t2)
      else NONE
      | rel - = NONE;
    fun dec (Const (@{const-name Not}, -) $ t) = (case rel t
      of NONE => NONE
      | SOME (t1, rel, t2) => SOME (t1, ~ ^ rel, t2))
      | dec x = rel x;
    in dec t end
  | decomp thy - = NONE;
  in
    case s of
      order => Order-Tac.partial-tac decomp thms ctxt prems
    | linorder => Order-Tac.linear-tac decomp thms ctxt prems
    | - => error (Unknown kind of order ' ^ s ^ ' encountered in transitivity
      reasoner.)
    end

fun order-tac ctxt prems =
  FIRST' (map (fn s => CHANGED o struct-tac s ctxt prems) (Data.get (Context.Proof
    ctxt)));

(** Attribute **)

fun add-struct-thm s tag =
  Thm.declaration-attribute
    (fn thm => Data.map (AList.map-default struct-eq (s, Order-Tac.empty TrueI)
      (Order-Tac.update tag thm)));
fun del-struct s =
  Thm.declaration-attribute
    (fn - => Data.map (AList.delete struct-eq s));

val attrib-setup =
  Attrib.setup @{binding order}
    (Scan.lift ((Args.add -- Args.name >> (fn (-, s) => SOME s) || Args.del
    >> K NONE) --|
    Args.colon (* FIXME || Scan.succeed true *) ) -- Scan.lift Args.name --

```

```

    Scan.repeat Args.term
    >> (fn ((SOME tag, n), ts) => add-struct-thm (n, ts) tag
        | ((NONE, n), ts) => del-struct (n, ts)))
    theorems controlling transitivity reasoner;

(** Diagnostic command **)

val - =
  Outer-Syntax.improper-command print-orders
  print order structures available to transitivity reasoner Keyword.diag
  (Scan.succeed (Toplevel.no-timing o Toplevel.unknown-context o
    Toplevel.keep (print-structures o Toplevel.context-of)));

(** Setup **)

val setup =
  Method.setup @{binding order} (Scan.succeed (fn ctxt => SIMPLE-METHOD'
    (order-tac ctxt [])))
  transitivity reasoner #>
  attrib-setup;

end;

>>

setup Orders.setup

Declarations to set up transitivity reasoner of partial and linear orders.

context order
begin

declare less-irrefl [THEN notE, order add less-reflE: order op = :: 'a ⇒ 'a ⇒
  bool op <= op <]

declare order-refl [order add le-refl: order op = :: 'a => 'a => bool op <= op
  <]

declare less-imp-le [order add less-imp-le: order op = :: 'a => 'a => bool op <=
  op <]

declare antisym [order add eqI: order op = :: 'a => 'a => bool op <= op <]

declare eq-refl [order add eqD1: order op = :: 'a => 'a => bool op <= op <]

declare sym [THEN eq-refl, order add eqD2: order op = :: 'a => 'a => bool op

```

$\leq op <$]

declare *less-trans* [order add *less-trans*: order $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

declare *less-le-trans* [order add *less-le-trans*: order $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

declare *le-less-trans* [order add *le-less-trans*: order $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

declare *order-trans* [order add *le-trans*: order $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

declare *le-neq-trans* [order add *le-neq-trans*: order $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

declare *neq-le-trans* [order add *neq-le-trans*: order $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

declare *less-imp-neq* [order add *less-imp-neq*: order $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

declare *eq-neq-eq-imp-neq* [order add *eq-neq-eq-imp-neq*: order $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

declare *not-sym* [order add *not-sym*: order $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

end

context *linorder*

begin

declare [[order del: order $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]]

declare *less-irrefl* [THEN *notE*, order add *less-reflE*: *linorder* $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

declare *order-refl* [order add *le-refl*: *linorder* $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

declare *less-imp-le* [order add *less-imp-le*: *linorder* $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

declare *not-less* [THEN *iffD2*, order add *not-lessI*: *linorder* $op = :: 'a \Rightarrow 'a \Rightarrow bool\ op \leq op <$]

declare *not-le* [THEN *iffD2*, order add *not-leI*: *linorder* $op = :: 'a \Rightarrow 'a \Rightarrow bool$

op <= *op* <]

declare *not-less* [THEN *iffD1*, order add *not-lessD*: *linorder op* = :: '*a* => '*a* =>
bool *op* <= *op* <]

declare *not-le* [THEN *iffD1*, order add *not-leD*: *linorder op* = :: '*a* => '*a* =>
bool *op* <= *op* <]

declare *antisym* [order add *eqI*: *linorder op* = :: '*a* => '*a* => bool *op* <= *op* <]

declare *eq-refl* [order add *eqD1*: *linorder op* = :: '*a* => '*a* => bool *op* <= *op* <]

declare *sym* [THEN *eq-refl*, order add *eqD2*: *linorder op* = :: '*a* => '*a* => bool
op <= *op* <]

declare *less-trans* [order add *less-trans*: *linorder op* = :: '*a* => '*a* => bool *op* <=
op <]

declare *less-le-trans* [order add *less-le-trans*: *linorder op* = :: '*a* => '*a* => bool
op <= *op* <]

declare *le-less-trans* [order add *le-less-trans*: *linorder op* = :: '*a* => '*a* => bool
op <= *op* <]

declare *order-trans* [order add *le-trans*: *linorder op* = :: '*a* => '*a* => bool *op* <=
op <]

declare *le-neq-trans* [order add *le-neq-trans*: *linorder op* = :: '*a* => '*a* => bool *op*
<= *op* <]

declare *neq-le-trans* [order add *neq-le-trans*: *linorder op* = :: '*a* => '*a* => bool *op*
<= *op* <]

declare *less-imp-neq* [order add *less-imp-neq*: *linorder op* = :: '*a* => '*a* => bool
op <= *op* <]

declare *eq-neq-eq-imp-neq* [order add *eq-neq-eq-imp-neq*: *linorder op* = :: '*a* => '*a*
=> bool *op* <= *op* <]

declare *not-sym* [order add *not-sym*: *linorder op* = :: '*a* => '*a* => bool *op* <=
op <]

end

setup <<
let

fun *prp t thm* = (#*prop* (*rep-thm thm*) = *t*);

```

fun prove-antisym-le sg ss ((le as Const(-, T)) $ r $ s) =
  let val prems = prems-of-ss ss;
      val less = Const (@{const-name less}, T);
      val t = HOLogic.mk-Trueprop(le $ s $ r);
  in case find-first (prp t) prems of
      NONE =>
        let val t = HOLogic.mk-Trueprop(HOLogic.Not $ (less $ r $ s))
        in case find-first (prp t) prems of
            NONE => NONE
          | SOME thm => SOME(mk-meta-eq(thm RS @{thm linorder-class.antisym-conv1}))
        end
      | SOME thm => SOME(mk-meta-eq(thm RS @{thm order-class.antisym-conv}))
  end
end
handle THM - => NONE;

fun prove-antisym-less sg ss (NotC $ ((less as Const(-, T)) $ r $ s)) =
  let val prems = prems-of-ss ss;
      val le = Const (@{const-name less-eq}, T);
      val t = HOLogic.mk-Trueprop(le $ r $ s);
  in case find-first (prp t) prems of
      NONE =>
        let val t = HOLogic.mk-Trueprop(NotC $ (less $ s $ r))
        in case find-first (prp t) prems of
            NONE => NONE
          | SOME thm => SOME(mk-meta-eq(thm RS @{thm linorder-class.antisym-conv3}))
        end
      | SOME thm => SOME(mk-meta-eq(thm RS @{thm linorder-class.antisym-conv2}))
  end
end
handle THM - => NONE;

fun add-simprocs procs thy =
  Simplifier.map-simpset (fn ss => ss
    addsimprocs (map (fn (name, raw-ts, proc) =>
      Simplifier.simproc thy name raw-ts proc) procs)) thy;
fun add-solver name tac =
  Simplifier.map-simpset (fn ss => ss addSolver
    mk-solver' name (fn ss => tac (Simplifier.the-context ss) (Simplifier.prems-of-ss
ss)));

in
  add-simprocs [
    (antisym le, [(x::'a::order) <= y], prove-antisym-le),
    (antisym less, [~ (x::'a::linorder) < y], prove-antisym-less)
  ]
#> add-solver Transitivity Orders.order-tac
(* Adding the transitivity reasoners also as safe solvers showed a slight
speed up, but the reasoning strength appears to be not higher (at least
no breaking of additional proofs in the entire HOL distribution, as

```


of 5 March 2004, was observed). *)
end
»

3.6 Bounded quantifiers

syntax

-All-less :: [idt, 'a, bool] => bool ((\exists ALL -<./ -) [0, 0, 10] 10)
-Ex-less :: [idt, 'a, bool] => bool ((\exists EX -<./ -) [0, 0, 10] 10)
-All-less-eq :: [idt, 'a, bool] => bool ((\exists ALL -<=./ -) [0, 0, 10] 10)
-Ex-less-eq :: [idt, 'a, bool] => bool ((\exists EX -<=./ -) [0, 0, 10] 10)

-All-greater :: [idt, 'a, bool] => bool ((\exists ALL ->./ -) [0, 0, 10] 10)
-Ex-greater :: [idt, 'a, bool] => bool ((\exists EX ->./ -) [0, 0, 10] 10)
-All-greater-eq :: [idt, 'a, bool] => bool ((\exists ALL ->=./ -) [0, 0, 10] 10)
-Ex-greater-eq :: [idt, 'a, bool] => bool ((\exists EX ->=./ -) [0, 0, 10] 10)

syntax (xsymbols)

-All-less :: [idt, 'a, bool] => bool (($\exists\forall$ -<./ -) [0, 0, 10] 10)
-Ex-less :: [idt, 'a, bool] => bool (($\exists\exists$ -<./ -) [0, 0, 10] 10)
-All-less-eq :: [idt, 'a, bool] => bool (($\exists\forall$ -<=./ -) [0, 0, 10] 10)
-Ex-less-eq :: [idt, 'a, bool] => bool (($\exists\exists$ -<=./ -) [0, 0, 10] 10)

-All-greater :: [idt, 'a, bool] => bool (($\exists\forall$ ->./ -) [0, 0, 10] 10)
-Ex-greater :: [idt, 'a, bool] => bool (($\exists\exists$ ->./ -) [0, 0, 10] 10)
-All-greater-eq :: [idt, 'a, bool] => bool (($\exists\forall$ ->=./ -) [0, 0, 10] 10)
-Ex-greater-eq :: [idt, 'a, bool] => bool (($\exists\exists$ ->=./ -) [0, 0, 10] 10)

syntax (HOL)

-All-less :: [idt, 'a, bool] => bool (($\exists!$ -<./ -) [0, 0, 10] 10)
-Ex-less :: [idt, 'a, bool] => bool (($\exists?$ -<./ -) [0, 0, 10] 10)
-All-less-eq :: [idt, 'a, bool] => bool (($\exists!$ -<=./ -) [0, 0, 10] 10)
-Ex-less-eq :: [idt, 'a, bool] => bool (($\exists?$ -<=./ -) [0, 0, 10] 10)

syntax (HTML output)

-All-less :: [idt, 'a, bool] => bool (($\exists\forall$ -<./ -) [0, 0, 10] 10)
-Ex-less :: [idt, 'a, bool] => bool (($\exists\exists$ -<./ -) [0, 0, 10] 10)
-All-less-eq :: [idt, 'a, bool] => bool (($\exists\forall$ -<=./ -) [0, 0, 10] 10)
-Ex-less-eq :: [idt, 'a, bool] => bool (($\exists\exists$ -<=./ -) [0, 0, 10] 10)

-All-greater :: [idt, 'a, bool] => bool (($\exists\forall$ ->./ -) [0, 0, 10] 10)
-Ex-greater :: [idt, 'a, bool] => bool (($\exists\exists$ ->./ -) [0, 0, 10] 10)
-All-greater-eq :: [idt, 'a, bool] => bool (($\exists\forall$ ->=./ -) [0, 0, 10] 10)
-Ex-greater-eq :: [idt, 'a, bool] => bool (($\exists\exists$ ->=./ -) [0, 0, 10] 10)

translations

ALL $x < y. P$ => ALL $x. x < y \longrightarrow P$
EX $x < y. P$ => EX $x. x < y \wedge P$
ALL $x <= y. P$ => ALL $x. x <= y \longrightarrow P$

$$\begin{aligned}
EX\ x <= y. P & \Rightarrow EX\ x. x <= y \wedge P \\
ALL\ x > y. P & \Rightarrow ALL\ x. x > y \longrightarrow P \\
EX\ x > y. P & \Rightarrow EX\ x. x > y \wedge P \\
ALL\ x >= y. P & \Rightarrow ALL\ x. x >= y \longrightarrow P \\
EX\ x >= y. P & \Rightarrow EX\ x. x >= y \wedge P
\end{aligned}$$
print-translation \ll *let*

```

val All-binder = Syntax.binder-name @{const-syntax All};
val Ex-binder = Syntax.binder-name @{const-syntax Ex};
val impl = @{const-syntax op -->};
val conj = @{const-syntax op &};
val less = @{const-syntax less};
val less-eq = @{const-syntax less-eq};

```

val trans =

```

[((All-binder, impl, less),
  (@{syntax-const -All-less}, @{syntax-const -All-greater})),
 ((All-binder, impl, less-eq),
  (@{syntax-const -All-less-eq}, @{syntax-const -All-greater-eq})),
 ((Ex-binder, conj, less),
  (@{syntax-const -Ex-less}, @{syntax-const -Ex-greater})),
 ((Ex-binder, conj, less-eq),
  (@{syntax-const -Ex-less-eq}, @{syntax-const -Ex-greater-eq}))];

```

fun matches-bound v t =

```

(case t of
  Const (@{syntax-const -bound}, -) $ Free (v', -) => v = v'
  | - => false);

```

*fun contains-var v = Term.exists-subterm (fn Free (x, -) => x = v | - => false);**fun mk v c n P = Syntax.const c \$ Syntax.mark-bound v \$ n \$ P;**fun tr' q = (q,*

```

  fn [Const (@{syntax-const -bound}, -) $ Free (v, -),
      Const (c, -) $ (Const (d, -) $ t $ u) $ P] =>
    (case AList.lookup (op =) trans (q, c, d) of
      NONE => raise Match
      | SOME (l, g) =>
        if matches-bound v t andalso not (contains-var v u) then mk v l u P
        else if matches-bound v u andalso not (contains-var v t) then mk v g t P
        else raise Match)
  | - => raise Match);

```

in [tr' All-binder, tr' Ex-binder] end \gg

3.7 Transitivity reasoning

context *ord***begin**

lemma *ord-le-eq-trans*: $a \leq b \implies b = c \implies a \leq c$
by (*rule subst*)

lemma *ord-eq-le-trans*: $a = b \implies b \leq c \implies a \leq c$
by (*rule ssubst*)

lemma *ord-less-eq-trans*: $a < b \implies b = c \implies a < c$
by (*rule subst*)

lemma *ord-eq-less-trans*: $a = b \implies b < c \implies a < c$
by (*rule ssubst*)

end

lemma *order-less-subst2*: $(a::'a::order) < b \implies f\ b < (c::'c::order) \implies$
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies f\ a < c$
proof –
 assume $r: !!x\ y. x < y \implies f\ x < f\ y$
 assume $a < b$ **hence** $f\ a < f\ b$ **by** (*rule r*)
 also assume $f\ b < c$
 finally (*less-trans*) **show** *?thesis* .
qed

lemma *order-less-subst1*: $(a::'a::order) < f\ b \implies (b::'b::order) < c \implies$
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies a < f\ c$
proof –
 assume $r: !!x\ y. x < y \implies f\ x < f\ y$
 assume $a < f\ b$
 also assume $b < c$ **hence** $f\ b < f\ c$ **by** (*rule r*)
 finally (*less-trans*) **show** *?thesis* .
qed

lemma *order-le-less-subst2*: $(a::'a::order) <= b \implies f\ b < (c::'c::order) \implies$
 $(!!x\ y. x <= y \implies f\ x <= f\ y) \implies f\ a < c$
proof –
 assume $r: !!x\ y. x <= y \implies f\ x <= f\ y$
 assume $a <= b$ **hence** $f\ a <= f\ b$ **by** (*rule r*)
 also assume $f\ b < c$
 finally (*le-less-trans*) **show** *?thesis* .
qed

lemma *order-le-less-subst1*: $(a::'a::order) <= f\ b \implies (b::'b::order) < c \implies$
 $(!!x\ y. x <= y \implies f\ x <= f\ y) \implies a < f\ c$
proof –
 assume $r: !!x\ y. x <= y \implies f\ x <= f\ y$
 assume $a <= f\ b$
 also assume $b < c$ **hence** $f\ b < f\ c$ **by** (*rule r*)
 finally (*le-less-trans*) **show** *?thesis* .

qed

lemma *order-less-le-subst2*: $(a::'a::order) < b \implies f\ b \leq (c::'c::order) \implies$
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies f\ a < c$

proof –

assume $r: !!x\ y. x < y \implies f\ x < f\ y$
 assume $a < b$ hence $f\ a < f\ b$ by (rule r)
 also assume $f\ b \leq c$
 finally (*less-le-trans*) show ?thesis .

qed

lemma *order-less-le-subst1*: $(a::'a::order) < f\ b \implies (b::'b::order) \leq c \implies$
 $(!!x\ y. x \leq y \implies f\ x \leq f\ y) \implies a < f\ c$

proof –

assume $r: !!x\ y. x \leq y \implies f\ x \leq f\ y$
 assume $a < f\ b$
 also assume $b \leq c$ hence $f\ b \leq f\ c$ by (rule r)
 finally (*less-le-trans*) show ?thesis .

qed

lemma *order-subst1*: $(a::'a::order) \leq f\ b \implies (b::'b::order) \leq c \implies$
 $(!!x\ y. x \leq y \implies f\ x \leq f\ y) \implies a \leq f\ c$

proof –

assume $r: !!x\ y. x \leq y \implies f\ x \leq f\ y$
 assume $a \leq f\ b$
 also assume $b \leq c$ hence $f\ b \leq f\ c$ by (rule r)
 finally (*order-trans*) show ?thesis .

qed

lemma *order-subst2*: $(a::'a::order) \leq b \implies f\ b \leq (c::'c::order) \implies$
 $(!!x\ y. x \leq y \implies f\ x \leq f\ y) \implies f\ a \leq c$

proof –

assume $r: !!x\ y. x \leq y \implies f\ x \leq f\ y$
 assume $a \leq b$ hence $f\ a \leq f\ b$ by (rule r)
 also assume $f\ b \leq c$
 finally (*order-trans*) show ?thesis .

qed

lemma *ord-le-eq-subst*: $a \leq b \implies f\ b = c \implies$
 $(!!x\ y. x \leq y \implies f\ x \leq f\ y) \implies f\ a \leq c$

proof –

assume $r: !!x\ y. x \leq y \implies f\ x \leq f\ y$
 assume $a \leq b$ hence $f\ a \leq f\ b$ by (rule r)
 also assume $f\ b = c$
 finally (*ord-le-eq-trans*) show ?thesis .

qed

lemma *ord-eq-le-subst*: $a = f\ b \implies b \leq c \implies$
 $(!!x\ y. x \leq y \implies f\ x \leq f\ y) \implies a \leq f\ c$

proof –

assume $r: !!x\ y. x \leq y \implies f\ x \leq f\ y$
 assume $a = f\ b$
 also assume $b \leq c$ **hence** $f\ b \leq f\ c$ **by** (rule r)
 finally (ord-eq-le-trans) **show** ?thesis .

qed

lemma ord-less-eq-subst: $a < b \implies f\ b = c \implies$
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies f\ a < c$

proof –

assume $r: !!x\ y. x < y \implies f\ x < f\ y$
 assume $a < b$ **hence** $f\ a < f\ b$ **by** (rule r)
 also assume $f\ b = c$
 finally (ord-less-eq-trans) **show** ?thesis .

qed

lemma ord-eq-less-subst: $a = f\ b \implies b < c \implies$
 $(!!x\ y. x < y \implies f\ x < f\ y) \implies a < f\ c$

proof –

assume $r: !!x\ y. x < y \implies f\ x < f\ y$
 assume $a = f\ b$
 also assume $b < c$ **hence** $f\ b < f\ c$ **by** (rule r)
 finally (ord-eq-less-trans) **show** ?thesis .

qed

Note that this list of rules is in reverse order of priorities.

lemmas [trans] =
 order-less-subst2
 order-less-subst1
 order-le-less-subst2
 order-le-less-subst1
 order-less-le-subst2
 order-less-le-subst1
 order-subst2
 order-subst1
 ord-le-eq-subst
 ord-eq-le-subst
 ord-less-eq-subst
 ord-eq-less-subst
 forw-subst
 back-subst
 rev-mp
 mp

lemmas (in order) [trans] =
 neq-le-trans
 le-neq-trans

lemmas (in preorder) [trans] =

less-trans
less-asym'
le-less-trans
less-le-trans
order-trans

lemmas (in *order*) [*trans*] =
antisym

lemmas (in *ord*) [*trans*] =
ord-le-eq-trans
ord-eq-le-trans
ord-less-eq-trans
ord-eq-less-trans

lemmas [*trans*] =
trans

lemmas *order-trans-rules* =
order-less-subst2
order-less-subst1
order-le-less-subst2
order-le-less-subst1
order-less-le-subst2
order-less-le-subst1
order-subst2
order-subst1
ord-le-eq-subst
ord-eq-le-subst
ord-less-eq-subst
ord-eq-less-subst
forw-subst
back-subst
rev-mp
mp
neq-le-trans
le-neq-trans
less-trans
less-asym'
le-less-trans
less-le-trans
order-trans
antisym
ord-le-eq-trans
ord-eq-le-trans
ord-less-eq-trans
ord-eq-less-trans
trans

These support proving chains of decreasing inequalities $a \leq b \leq c \dots$ in

Isar proofs.

lemma *xt1*:

```

  a = b ==> b > c ==> a > c
  a > b ==> b = c ==> a > c
  a = b ==> b >= c ==> a >= c
  a >= b ==> b = c ==> a >= c
  (x::'a::order) >= y ==> y >= x ==> x = y
  (x::'a::order) >= y ==> y >= z ==> x >= z
  (x::'a::order) > y ==> y >= z ==> x > z
  (x::'a::order) >= y ==> y > z ==> x > z
  (a::'a::order) > b ==> b > a ==> P
  (x::'a::order) > y ==> y > z ==> x > z
  (a::'a::order) >= b ==> a ~ b ==> a > b
  (a::'a::order) ~ b ==> a >= b ==> a > b
  a = f b ==> b > c ==> (!!x y. x > y ==> f x > f y) ==> a > f c
  a > b ==> f b = c ==> (!!x y. x > y ==> f x > f y) ==> f a > c
  a = f b ==> b >= c ==> (!!x y. x >= y ==> f x >= f y) ==> a >= f c
  a >= b ==> f b = c ==> (!!x y. x >= y ==> f x >= f y) ==> f a >= c
by auto

```

lemma *xt2*:

```

  (a::'a::order) >= f b ==> b >= c ==> (!!x y. x >= y ==> f x >= f y) ==>
  a >= f c
by (subgoal-tac f b >= f c, force, force)

```

lemma *xt3*: (a::'a::order) >= b ==> (f b::'b::order) >= c ==>

```

  (!!x y. x >= y ==> f x >= f y) ==> f a >= c

```

by (*subgoal-tac* f a >= f b, *force*, *force*)

lemma *xt4*: (a::'a::order) > f b ==> (b::'b::order) >= c ==>

```

  (!!x y. x >= y ==> f x >= f y) ==> a > f c

```

by (*subgoal-tac* f b >= f c, *force*, *force*)

lemma *xt5*: (a::'a::order) > b ==> (f b::'b::order) >= c ==>

```

  (!!x y. x > y ==> f x > f y) ==> f a > c

```

by (*subgoal-tac* f a > f b, *force*, *force*)

lemma *xt6*: (a::'a::order) >= f b ==> b > c ==>

```

  (!!x y. x > y ==> f x > f y) ==> a > f c

```

by (*subgoal-tac* f b > f c, *force*, *force*)

lemma *xt7*: (a::'a::order) >= b ==> (f b::'b::order) > c ==>

```

  (!!x y. x >= y ==> f x >= f y) ==> f a > c

```

by (*subgoal-tac* f a >= f b, *force*, *force*)

lemma *xt8*: (a::'a::order) > f b ==> (b::'b::order) > c ==>

```

  (!!x y. x > y ==> f x > f y) ==> a > f c

```

by (*subgoal-tac* f b > f c, *force*, *force*)

```

lemma xt9: (a::'a::order) > b ==> (f b::'b::order) > c ==>
  (!!x y. x > y ==> f x > f y) ==> f a > c
by (subgoal-tac f a > f b, force, force)

```

```

lemmas xtrans = xt1 xt2 xt3 xt4 xt5 xt6 xt7 xt8 xt9

```

3.8 Monotonicity, least value operator and min/max

```

context order
begin

```

```

definition mono :: ('a => 'b::order) => bool where
  mono f <==> (∀ x y. x ≤ y ==> f x ≤ f y)

```

```

lemma monoI [intro?]:
  fixes f :: 'a => 'b::order
  shows (∧ x y. x ≤ y ==> f x ≤ f y) ==> mono f
  unfolding mono-def by iprover

```

```

lemma monoD [dest?]:
  fixes f :: 'a => 'b::order
  shows mono f ==> x ≤ y ==> f x ≤ f y
  unfolding mono-def by iprover

```

```

definition strict-mono :: ('a => 'b::order) => bool where
  strict-mono f <==> (∀ x y. x < y ==> f x < f y)

```

```

lemma strict-monoI [intro?]:
  assumes ∧ x y. x < y ==> f x < f y
  shows strict-mono f
  using assms unfolding strict-mono-def by auto

```

```

lemma strict-monoD [dest?]:
  strict-mono f ==> x < y ==> f x < f y
  unfolding strict-mono-def by auto

```

```

lemma strict-mono-mono [dest?]:

```

```

  assumes strict-mono f
  shows mono f

```

```

proof (rule monoI)

```

```

  fix x y

```

```

  assume x ≤ y

```

```

  show f x ≤ f y

```

```

  proof (cases x = y)

```

```

    case True then show ?thesis by simp

```

```

  next

```

```

    case False with ⟨x ≤ y⟩ have x < y by simp

```

```

    with assms strict-monoD have f x < f y by auto

```

```

    then show ?thesis by simp

```


qed
qed

end

context *linorder*
begin

lemma *strict-mono-eq*:
 assumes *strict-mono* *f*
 shows $f\ x = f\ y \longleftrightarrow x = y$
proof
 assume $f\ x = f\ y$
 show $x = y$ **proof** (*cases* $x\ y$ *rule*: *linorder-cases*)
 case *less* **with** *assms* *strict-monoD* **have** $f\ x < f\ y$ **by** *auto*
 with $\langle f\ x = f\ y \rangle$ **show** *?thesis* **by** *simp*
 next
 case *equal* **then** **show** *?thesis* .
 next
 case *greater* **with** *assms* *strict-monoD* **have** $f\ y < f\ x$ **by** *auto*
 with $\langle f\ x = f\ y \rangle$ **show** *?thesis* **by** *simp*
 qed
qed *simp*

lemma *strict-mono-less-eq*:
 assumes *strict-mono* *f*
 shows $f\ x \leq f\ y \longleftrightarrow x \leq y$
proof
 assume $x \leq y$
 with *assms* *strict-mono-mono* *monoD* **show** $f\ x \leq f\ y$ **by** *auto*
next
 assume $f\ x \leq f\ y$
 show $x \leq y$ **proof** (*rule* *ccontr*)
 assume $\neg x \leq y$ **then** **have** $y < x$ **by** *simp*
 with *assms* *strict-monoD* **have** $f\ y < f\ x$ **by** *auto*
 with $\langle f\ x \leq f\ y \rangle$ **show** *False* **by** *simp*
 qed
qed

lemma *strict-mono-less*:
 assumes *strict-mono* *f*
 shows $f\ x < f\ y \longleftrightarrow x < y$
 using *assms*
 by (*auto simp add: less-le Orderings.less-le strict-mono-eq strict-mono-less-eq*)

lemma *min-of-mono*:
 fixes $f :: 'a \Rightarrow 'b::linorder$
 shows $mono\ f \implies min\ (f\ m)\ (f\ n) = f\ (min\ m\ n)$
 by (*auto simp: mono-def Orderings.min-def min-def intro: Orderings.antisym*)

```

lemma max-of-mono:
  fixes  $f :: 'a \Rightarrow 'b::linorder$ 
  shows  $mono\ f \implies \max\ (f\ m)\ (f\ n) = f\ (\max\ m\ n)$ 
  by (auto simp: mono-def Orderings.max-def max-def intro: Orderings.antisym)

end

```

```

lemma min-leastL:  $(!!x. least\ \leq\ x) \implies \min\ least\ x = least$ 
by (simp add: min-def)

```

```

lemma max-leastL:  $(!!x. least\ \leq\ x) \implies \max\ least\ x = x$ 
by (simp add: max-def)

```

```

lemma min-leastR:  $(\bigwedge x::'a::order. least\ \leq\ x) \implies \min\ x\ least = least$ 
apply (simp add: min-def)
apply (blast intro: antisym)
done

```

```

lemma max-leastR:  $(\bigwedge x::'a::order. least\ \leq\ x) \implies \max\ x\ least = x$ 
apply (simp add: max-def)
apply (blast intro: antisym)
done

```

3.9 Top and bottom elements

```

class top = preorder +
  fixes  $top :: 'a$ 
  assumes top-greatest [simp]:  $x \leq top$ 

```

```

class bot = preorder +
  fixes  $bot :: 'a$ 
  assumes bot-least [simp]:  $bot \leq x$ 

```

3.10 Dense orders

```

class dense-linorder = linorder +
  assumes gt-ex:  $\exists y. x < y$ 
  and lt-ex:  $\exists y. y < x$ 
  and dense:  $x < y \implies (\exists z. x < z \wedge z < y)$ 
begin

```

```

lemma dense-le:
  fixes  $y\ z :: 'a$ 
  assumes  $\bigwedge x. x < y \implies x \leq z$ 
  shows  $y \leq z$ 
proof (rule ccontr)
  assume  $\neg ?thesis$ 
  hence  $z < y$  by simp
  from dense[OF this]

```

obtain x where $x < y$ and $z < x$ by *safe*
 moreover have $x \leq z$ using *assms*[*OF* $\langle x < y \rangle$] .
 ultimately show *False* by *auto*
 qed

lemma *dense-le-bounded*:

fixes $x\ y\ z :: 'a$
 assumes $x < y$
 assumes *: $\bigwedge w. \llbracket x < w ; w < y \rrbracket \implies w \leq z$
 shows $y \leq z$
 proof (rule *dense-le*)
 fix w assume $w < y$
 from *dense*[*OF* $\langle x < y \rangle$] obtain u where $x < u < y$ by *safe*
 from *linear*[*of* $u\ w$]
 show $w \leq z$
 proof (rule *disjE*)
 assume $u \leq w$
 from *less-le-trans*[*OF* $\langle x < u \rangle \langle u \leq w \rangle$] $\langle w < y \rangle$
 show $w \leq z$ by (rule *)
 next
 assume $w \leq u$
 from $\langle w \leq u \rangle$ * [*OF* $\langle x < u \rangle \langle u < y \rangle$]
 show $w \leq z$ by (rule *order-trans*)
 qed
 qed
 end

3.11 Wellorders

class *wellorder* = *linorder* +
 assumes *less-induct* [*case-names less*]: $(\bigwedge x. (\bigwedge y. y < x \implies P\ y) \implies P\ x) \implies P\ a$
 begin

lemma *wellorder-Least-lemma*:

fixes $k :: 'a$
 assumes $P\ k$
 shows *LeastI*: $P\ (\text{LEAST } x. P\ x)$ and *Least-le*: $(\text{LEAST } x. P\ x) \leq k$
 proof –
 have $P\ (\text{LEAST } x. P\ x) \wedge (\text{LEAST } x. P\ x) \leq k$
 using *assms* proof (induct k rule: *less-induct*)
 case (*less x*) then have $P\ x$ by *simp*
 show ?case proof (rule *classical*)
 assume *assm*: $\neg (P\ (\text{LEAST } a. P\ a) \wedge (\text{LEAST } a. P\ a) \leq x)$
 have $\bigwedge y. P\ y \implies x \leq y$
 proof (rule *classical*)
 fix y
 assume $P\ y$ and $\neg x \leq y$

```

with less have  $P \text{ (LEAST } a. P a) \text{ and } (LEAST a. P a) \leq y$ 
by (auto simp add: not-le)
with assm have  $x < (LEAST a. P a) \text{ and } (LEAST a. P a) \leq y$ 
by auto
then show  $x \leq y$  by auto
qed
with  $\langle P x \rangle$  have Least:  $(LEAST a. P a) = x$ 
by (rule Least-equality)
with  $\langle P x \rangle$  show ?thesis by simp
qed
qed
then show  $P \text{ (LEAST } x. P x) \text{ and } (LEAST x. P x) \leq k$  by auto
qed

```

— The following 3 lemmas are due to Brian Huffman

lemma *LeastI-ex*: $\exists x. P x \implies P \text{ (Least } P)$
by (*erule exE*) (*erule LeastI*)

lemma *LeastI2*:
 $P a \implies (\bigwedge x. P x \implies Q x) \implies Q \text{ (Least } P)$
by (*blast intro: LeastI*)

lemma *LeastI2-ex*:
 $\exists a. P a \implies (\bigwedge x. P x \implies Q x) \implies Q \text{ (Least } P)$
by (*blast intro: LeastI-ex*)

lemma *not-less-Least*: $k < (LEAST x. P x) \implies \neg P k$
apply (*simp (no-asm-use) add: not-le [symmetric]*)
apply (*erule contrapos-nn*)
apply (*erule Least-le*)
done

end

3.12 Order on bool

instantiation *bool* :: $\{order, top, bot\}$
begin

definition
le-bool-def [*code del*]: $P \leq Q \iff P \longrightarrow Q$

definition
less-bool-def [*code del*]: $(P::bool) < Q \iff \neg P \wedge Q$

definition
top-bool-eq: $top = True$

definition

bot-bool-eq: $bot = False$

instance proof

qed (*auto simp add: bot-bool-eq top-bool-eq less-bool-def, auto simp add: le-bool-def*)

end

lemma *le-boolI*: $(P \implies Q) \implies P \leq Q$
by (*simp add: le-bool-def*)

lemma *le-boolI'*: $P \longrightarrow Q \implies P \leq Q$
by (*simp add: le-bool-def*)

lemma *le-boolE*: $P \leq Q \implies P \implies (Q \implies R) \implies R$
by (*simp add: le-bool-def*)

lemma *le-boolD*: $P \leq Q \implies P \longrightarrow Q$
by (*simp add: le-bool-def*)

lemma *bot-boolE*: $bot \implies P$
by (*simp add: bot-bool-eq*)

lemma *top-boolI*: *top*
by (*simp add: top-bool-eq*)

lemma [*code*]:
 $False \leq b \longleftrightarrow True$
 $True \leq b \longleftrightarrow b$
 $False < b \longleftrightarrow b$
 $True < b \longleftrightarrow False$
unfolding *le-bool-def less-bool-def* **by** *simp-all*

3.13 Order on functions

instantiation *fun* :: (*type*, *ord*) *ord*
begin

definition

le-fun-def [*code del*]: $f \leq g \longleftrightarrow (\forall x. f\ x \leq g\ x)$

definition

less-fun-def [*code del*]: $(f :: 'a \Rightarrow 'b) < g \longleftrightarrow f \leq g \wedge \neg (g \leq f)$

instance ..

end

instance *fun* :: (*type*, *preorder*) *preorder* **proof**
qed (*auto simp add: le-fun-def less-fun-def*)

```

    intro: order-trans antisym intro!: ext)

instance fun :: (type, order) order proof
qed (auto simp add: le-fun-def intro: antisym ext)

instantiation fun :: (type, top) top
begin

definition
  top-fun-eq: top = ( $\lambda x.$  top)

instance proof
qed (simp add: top-fun-eq le-fun-def)

end

instantiation fun :: (type, bot) bot
begin

definition
  bot-fun-eq: bot = ( $\lambda x.$  bot)

instance proof
qed (simp add: bot-fun-eq le-fun-def)

end

lemma le-funI: ( $\bigwedge x. f\ x \leq g\ x$ )  $\implies f \leq g$ 
  unfolding le-fun-def by simp

lemma le-funE:  $f \leq g \implies (f\ x \leq g\ x \implies P) \implies P$ 
  unfolding le-fun-def by simp

lemma le-funD:  $f \leq g \implies f\ x \leq g\ x$ 
  unfolding le-fun-def by simp

```

3.14 Name duplicates

```

lemmas order-eq-refl = preorder-class.eq-refl
lemmas order-less-irrefl = preorder-class.less-irrefl
lemmas order-less-imp-le = preorder-class.less-imp-le
lemmas order-less-not-sym = preorder-class.less-not-sym
lemmas order-less-asym = preorder-class.less-asym
lemmas order-less-trans = preorder-class.less-trans
lemmas order-le-less-trans = preorder-class.le-less-trans
lemmas order-less-le-trans = preorder-class.less-le-trans
lemmas order-less-imp-not-less = preorder-class.less-imp-not-less
lemmas order-less-imp-triv = preorder-class.less-imp-triv
lemmas order-less-asym' = preorder-class.less-asym'

```

```

lemmas order-less-le = order-class.less-le
lemmas order-le-less = order-class.le-less
lemmas order-le-imp-less-or-eq = order-class.le-imp-less-or-eq
lemmas order-less-imp-not-eq = order-class.less-imp-not-eq
lemmas order-less-imp-not-eq2 = order-class.less-imp-not-eq2
lemmas order-neq-le-trans = order-class.neq-le-trans
lemmas order-le-neq-trans = order-class.le-neq-trans
lemmas order-antisym = order-class.antisym
lemmas order-eq-iff = order-class.eq-iff
lemmas order-antisym-conv = order-class.antisym-conv

lemmas linorder-linear = linorder-class.linear
lemmas linorder-less-linear = linorder-class.less-linear
lemmas linorder-le-less-linear = linorder-class.le-less-linear
lemmas linorder-le-cases = linorder-class.le-cases
lemmas linorder-not-less = linorder-class.not-less
lemmas linorder-not-le = linorder-class.not-le
lemmas linorder-neq-iff = linorder-class.neq-iff
lemmas linorder-neqE = linorder-class.neqE
lemmas linorder-antisym-conv1 = linorder-class.antisym-conv1
lemmas linorder-antisym-conv2 = linorder-class.antisym-conv2
lemmas linorder-antisym-conv3 = linorder-class.antisym-conv3

end

```

4 Groups: Groups, also combined with orderings

```

theory Groups
imports Orderings
uses (~~/src/Provers/Arith/abel-cancel.ML)
begin

```

4.1 Fact collections

```

ML <<
  structure Ac-Simps = Named-Thms(
    val name = ac-simps
    val description = associativity and commutativity simplification rules
  )
  >>

```

```

setup Ac-Simps.setup

```

The rewrites accumulated in *algebra-simps* deal with the classical algebraic structures of groups, rings and family. They simplify terms by multiplying everything out (in case of a ring) and bringing sums and products into a canonical form (by ordered rewriting). As a result it decides group and ring

equalities but also helps with inequalities.

Of course it also works for fields, but it knows nothing about multiplicative inverses or division. This is catered for by *field-simps*.

```
ML <<
structure Algebra-Simps = Named-Thms(
  val name = algebra-simps
  val description = algebra simplification rules
)
>>
```

```
setup Algebra-Simps.setup
```

Lemmas *field-simps* multiply with denominators in (in)equations if they can be proved to be non-zero (for equations) or positive/negative (for inequalities). Can be too aggressive and is therefore separate from the more benign *algebra-simps*.

```
ML <<
structure Field-Simps = Named-Thms(
  val name = field-simps
  val description = algebra simplification rules for fields
)
>>
```

```
setup Field-Simps.setup
```

4.2 Abstract structures

These locales provide basic structures for interpretation into bigger structures; extensions require careful thinking, otherwise undesired effects may occur due to interpretation.

```
locale semigroup =
  fixes f :: 'a ⇒ 'a ⇒ 'a (infixl * 70)
  assumes assoc [ac-simps]: a * b * c = a * (b * c)
```

```
locale abel-semigroup = semigroup +
  assumes commute [ac-simps]: a * b = b * a
begin
```

```
lemma left-commute [ac-simps]:
  b * (a * c) = a * (b * c)
```

```
proof -
```

```
  have (b * a) * c = (a * b) * c
    by (simp only: commute)
```

```
  then show ?thesis
```

```
    by (simp only: assoc)
```

```
qed
```


end

locale *monoid* = *semigroup* +
fixes *z* :: 'a (1)
assumes *left-neutral* [*simp*]: $1 * a = a$
assumes *right-neutral* [*simp*]: $a * 1 = a$

locale *comm-monoid* = *abel-semigroup* +
fixes *z* :: 'a (1)
assumes *comm-neutral*: $a * 1 = a$

sublocale *comm-monoid* < *monoid* **proof**
qed (*simp-all add: commute comm-neutral*)

4.3 Generic operations

class *zero* =
fixes *zero* :: 'a (0)

class *one* =
fixes *one* :: 'a (1)

hide-const (**open**) *zero one*

syntax
-index1 :: *index* (1)

translations
(index) ₁ => (*index*) _◇

lemma *Let-0* [*simp*]: *Let 0 f = f 0*
unfolding *Let-def* ..

lemma *Let-1* [*simp*]: *Let 1 f = f 1*
unfolding *Let-def* ..

setup <<
Reorient-Proc.add
 (*fn Const*(@{*const-name* *Groups.zero*}, -) => *true*
 | *Const*(@{*const-name* *Groups.one*}, -) => *true*
 | - => *false*)
 >>

simproc-setup *reorient-zero* ($0 = x$) = *Reorient-Proc.proc*
simproc-setup *reorient-one* ($1 = x$) = *Reorient-Proc.proc*

typed-print-translation <<
let
fun tr' c = (c, fn show-sorts => fn T => fn ts =>
 if (not o null) ts orelse T = dummyT

```

    orelse not (! show-types) andalso can Term.dest-Type T
  then raise Match
    else Syntax.const Syntax.constrainC $ Syntax.const c $ Syntax.term-of-typ
show-sorts T);
in map tr' [@{const-syntax Groups.one}, @{const-syntax Groups.zero}] end;
>> — show types that are presumably too general

```

```

class plus =
  fixes plus :: 'a ⇒ 'a ⇒ 'a (infixl + 65)

```

```

class minus =
  fixes minus :: 'a ⇒ 'a ⇒ 'a (infixl - 65)

```

```

class uminus =
  fixes uminus :: 'a ⇒ 'a (- - [81] 80)

```

```

class times =
  fixes times :: 'a ⇒ 'a ⇒ 'a (infixl * 70)

```

```

use ~~/src/Provers/Arith/abel-cancel.ML

```

4.4 Semigroups and Monoids

```

class semigroup-add = plus +
  assumes add-assoc [algebra-simps, field-simps]: (a + b) + c = a + (b + c)

```

```

sublocale semigroup-add < add!: semigroup plus proof
qed (fact add-assoc)

```

```

class ab-semigroup-add = semigroup-add +
  assumes add-commute [algebra-simps, field-simps]: a + b = b + a

```

```

sublocale ab-semigroup-add < add!: ab-semigroup plus proof
qed (fact add-commute)

```

```

context ab-semigroup-add
begin

```

```

lemmas add-left-commute [algebra-simps, field-simps] = add.left-commute

```

```

theorems add-ac = add-assoc add-commute add-left-commute

```

```

end

```

```

theorems add-ac = add-assoc add-commute add-left-commute

```

```

class semigroup-mult = times +
  assumes mult-assoc [algebra-simps, field-simps]: (a * b) * c = a * (b * c)

```

sublocale *semigroup-mult* < *mult!*: *semigroup times* **proof**
qed (*fact mult-assoc*)

class *ab-semigroup-mult* = *semigroup-mult* +
assumes *mult-commute* [*algebra-simps*, *field-simps*]: $a * b = b * a$

sublocale *ab-semigroup-mult* < *mult!*: *abel-semigroup times* **proof**
qed (*fact mult-commute*)

context *ab-semigroup-mult*
begin

lemmas *mult-left-commute* [*algebra-simps*, *field-simps*] = *mult.left-commute*

theorems *mult-ac* = *mult-assoc mult-commute mult-left-commute*

end

theorems *mult-ac* = *mult-assoc mult-commute mult-left-commute*

class *monoid-add* = *zero* + *semigroup-add* +
assumes *add-0-left*: $0 + a = a$
and *add-0-right*: $a + 0 = a$

sublocale *monoid-add* < *add!*: *monoid plus 0* **proof**
qed (*fact add-0-left add-0-right*) +

lemma *zero-reorient*: $0 = x \longleftrightarrow x = 0$
by (*rule eq-commute*)

class *comm-monoid-add* = *zero* + *ab-semigroup-add* +
assumes *add-0*: $0 + a = a$

sublocale *comm-monoid-add* < *add!*: *comm-monoid plus 0* **proof**
qed (*insert add-0, simp add: ac-simps*)

subclass (**in** *comm-monoid-add*) *monoid-add* **proof**
qed (*fact add.left-neutral add.right-neutral*) +

class *monoid-mult* = *one* + *semigroup-mult* +
assumes *mult-1-left*: $1 * a = a$
and *mult-1-right*: $a * 1 = a$

sublocale *monoid-mult* < *mult!*: *monoid times 1* **proof**
qed (*fact mult-1-left mult-1-right*) +

lemma *one-reorient*: $1 = x \longleftrightarrow x = 1$
by (*rule eq-commute*)

```
class comm-monoid-mult = one + ab-semigroup-mult +
  assumes mult-1:  $1 * a = a$ 
```

```
sublocale comm-monoid-mult < mult!: comm-monoid times 1 proof
qed (insert mult-1, simp add: ac-simps)
```

```
subclass (in comm-monoid-mult) monoid-mult proof
qed (fact mult.left-neutral mult.right-neutral)+
```

```
class cancel-semigroup-add = semigroup-add +
  assumes add-left-imp-eq:  $a + b = a + c \implies b = c$ 
  assumes add-right-imp-eq:  $b + a = c + a \implies b = c$ 
begin
```

```
lemma add-left-cancel [simp]:
 $a + b = a + c \longleftrightarrow b = c$ 
by (blast dest: add-left-imp-eq)
```

```
lemma add-right-cancel [simp]:
 $b + a = c + a \longleftrightarrow b = c$ 
by (blast dest: add-right-imp-eq)
```

```
end
```

```
class cancel-ab-semigroup-add = ab-semigroup-add +
  assumes add-imp-eq:  $a + b = a + c \implies b = c$ 
begin
```

```
subclass cancel-semigroup-add
proof
  fix a b c :: 'a
  assume a + b = a + c
  then show b = c by (rule add-imp-eq)
next
  fix a b c :: 'a
  assume b + a = c + a
  then have a + b = a + c by (simp only: add-commute)
  then show b = c by (rule add-imp-eq)
qed
```

```
end
```

```
class cancel-comm-monoid-add = cancel-ab-semigroup-add + comm-monoid-add
```

4.5 Groups

```
class group-add = minus + uminus + monoid-add +
  assumes left-minus [simp]:  $- a + a = 0$ 
  assumes diff-minus:  $a - b = a + (- b)$ 
```

begin

lemma *minus-unique*:

assumes $a + b = 0$ **shows** $- a = b$

proof $-$

have $- a = - a + (a + b)$ **using** *assms* **by** *simp*

also have $\dots = b$ **by** (*simp add: add-assoc [symmetric]*)

finally show *?thesis* .

qed

lemmas *equals-zero-I = minus-unique*

lemma *minus-zero [simp]*: $- 0 = 0$

proof $-$

have $0 + 0 = 0$ **by** (*rule add-0-right*)

thus $- 0 = 0$ **by** (*rule minus-unique*)

qed

lemma *minus-minus [simp]*: $- (- a) = a$

proof $-$

have $- a + a = 0$ **by** (*rule left-minus*)

thus $- (- a) = a$ **by** (*rule minus-unique*)

qed

lemma *right-minus [simp]*: $a + - a = 0$

proof $-$

have $a + - a = - (- a) + - a$ **by** *simp*

also have $\dots = 0$ **by** (*rule left-minus*)

finally show *?thesis* .

qed

lemma *minus-add-cancel*: $- a + (a + b) = b$

by (*simp add: add-assoc [symmetric]*)

lemma *add-minus-cancel*: $a + (- a + b) = b$

by (*simp add: add-assoc [symmetric]*)

lemma *minus-add*: $- (a + b) = - b + - a$

proof $-$

have $(a + b) + (- b + - a) = 0$

by (*simp add: add-assoc add-minus-cancel*)

thus $- (a + b) = - b + - a$

by (*rule minus-unique*)

qed

lemma *right-minus-eq*: $a - b = 0 \longleftrightarrow a = b$

proof

assume $a - b = 0$

have $a = (a - b) + b$ **by** (*simp add: diff-minus add-assoc*)

also have $\dots = b$ using $\langle a - b = 0 \rangle$ by *simp*
 finally show $a = b$.
 next
 assume $a = b$ thus $a - b = 0$ by (*simp add: diff-minus*)
 qed

lemma *diff-self* [*simp*]: $a - a = 0$
 by (*simp add: diff-minus*)

lemma *diff-0* [*simp*]: $0 - a = - a$
 by (*simp add: diff-minus*)

lemma *diff-0-right* [*simp*]: $a - 0 = a$
 by (*simp add: diff-minus*)

lemma *diff-minus-eq-add* [*simp*]: $a - - b = a + b$
 by (*simp add: diff-minus*)

lemma *neg-equal-iff-equal* [*simp*]:
 $- a = - b \longleftrightarrow a = b$

proof
 assume $- a = - b$
 hence $- (- a) = - (- b)$ by *simp*
 thus $a = b$ by *simp*
 next
 assume $a = b$
 thus $- a = - b$ by *simp*
 qed

lemma *neg-equal-0-iff-equal* [*simp*]:
 $- a = 0 \longleftrightarrow a = 0$
 by (*subst neg-equal-iff-equal [symmetric], simp*)

lemma *neg-0-equal-iff-equal* [*simp*]:
 $0 = - a \longleftrightarrow 0 = a$
 by (*subst neg-equal-iff-equal [symmetric], simp*)

The next two equations can make the simplifier loop!

lemma *equation-minus-iff*:
 $a = - b \longleftrightarrow b = - a$
proof -
 have $- (- a) = - b \longleftrightarrow - a = b$ by (*rule neg-equal-iff-equal*)
 thus ?thesis by (*simp add: eq-commute*)
 qed

lemma *minus-equation-iff*:
 $- a = b \longleftrightarrow - b = a$
proof -
 have $- a = - (- b) \longleftrightarrow a = - b$ by (*rule neg-equal-iff-equal*)

```

    thus ?thesis by (simp add: eq-commute)
qed

lemma diff-add-cancel:  $a - b + b = a$ 
by (simp add: diff-minus add-assoc)

lemma add-diff-cancel:  $a + b - b = a$ 
by (simp add: diff-minus add-assoc)

declare diff-minus[symmetric, algebra-simps, field-simps]

lemma eq-neg-iff-add-eq-0:  $a = -b \longleftrightarrow a + b = 0$ 
proof
  assume  $a = -b$  then show  $a + b = 0$  by simp
next
  assume  $a + b = 0$ 
  moreover have  $a + (b + -b) = (a + b) + -b$ 
    by (simp only: add-assoc)
  ultimately show  $a = -b$  by simp
qed

end

class ab-group-add = minus + uminus + comm-monoid-add +
  assumes ab-left-minus:  $-a + a = 0$ 
  assumes ab-diff-minus:  $a - b = a + (-b)$ 
begin

subclass group-add
  proof qed (simp-all add: ab-left-minus ab-diff-minus)

subclass cancel-comm-monoid-add
proof
  fix  $a\ b\ c :: 'a$ 
  assume  $a + b = a + c$ 
  then have  $-a + a + b = -a + a + c$ 
    unfolding add-assoc by simp
  then show  $b = c$  by simp
qed

lemma uminus-add-conv-diff[algebra-simps, field-simps]:
   $-a + b = b - a$ 
by (simp add: diff-minus add-commute)

lemma minus-add-distrib [simp]:
   $-(a + b) = -a + -b$ 
by (rule minus-unique) (simp add: add-ac)

lemma minus-diff-eq [simp]:

```

$-(a - b) = b - a$
by (*simp add: diff-minus add-commute*)

lemma *add-diff-eq*[*algebra-simps, field-simps*]: $a + (b - c) = (a + b) - c$
by (*simp add: diff-minus add-ac*)

lemma *diff-add-eq*[*algebra-simps, field-simps*]: $(a - b) + c = (a + c) - b$
by (*simp add: diff-minus add-ac*)

lemma *diff-eq-eq*[*algebra-simps, field-simps*]: $a - b = c \longleftrightarrow a = c + b$
by (*auto simp add: diff-minus add-assoc*)

lemma *eq-diff-eq*[*algebra-simps, field-simps*]: $a = c - b \longleftrightarrow a + b = c$
by (*auto simp add: diff-minus add-assoc*)

lemma *diff-diff-eq*[*algebra-simps, field-simps*]: $(a - b) - c = a - (b + c)$
by (*simp add: diff-minus add-ac*)

lemma *diff-diff-eq2*[*algebra-simps, field-simps*]: $a - (b - c) = (a + c) - b$
by (*simp add: diff-minus add-ac*)

lemma *eq-iff-diff-eq-0*: $a = b \longleftrightarrow a - b = 0$
by (*simp add: algebra-simps*)

lemma *diff-eq-0-iff-eq* [*simp, no-atp*]: $a - b = 0 \longleftrightarrow a = b$
by (*simp add: algebra-simps*)

end

4.6 (Partially) Ordered Groups

The theory of partially ordered groups is taken from the books:

- *Lattice Theory* by Garret Birkhoff, American Mathematical Society 1979
- *Partially Ordered Algebraic Systems*, Pergamon Press 1963

Most of the used notions can also be looked up in

- <http://www.mathworld.com> by Eric Weisstein et. al.
- *Algebra I* by van der Waerden, Springer.

class *ordered-ab-semigroup-add* = *order* + *ab-semigroup-add* +
assumes *add-left-mono*: $a \leq b \implies c + a \leq c + b$
begin

lemma *add-right-mono*:

$$a \leq b \implies a + c \leq b + c$$

by (*simp add: add-commute [of - c] add-left-mono*)

non-strict, in both arguments

lemma *add-mono*:

$$a \leq b \implies c \leq d \implies a + c \leq b + d$$

apply (*erule add-right-mono [THEN order-trans]*)

apply (*simp add: add-commute add-left-mono*)

done

end

class *ordered-cancel-ab-semigroup-add* =

ordered-ab-semigroup-add + cancel-ab-semigroup-add

begin

lemma *add-strict-left-mono*:

$$a < b \implies c + a < c + b$$

by (*auto simp add: less-le add-left-mono*)

lemma *add-strict-right-mono*:

$$a < b \implies a + c < b + c$$

by (*simp add: add-commute [of - c] add-strict-left-mono*)

Strict monotonicity in both arguments

lemma *add-strict-mono*:

$$a < b \implies c < d \implies a + c < b + d$$

apply (*erule add-strict-right-mono [THEN less-trans]*)

apply (*erule add-strict-left-mono*)

done

lemma *add-less-le-mono*:

$$a < b \implies c \leq d \implies a + c < b + d$$

apply (*erule add-strict-right-mono [THEN less-le-trans]*)

apply (*erule add-left-mono*)

done

lemma *add-le-less-mono*:

$$a \leq b \implies c < d \implies a + c < b + d$$

apply (*erule add-right-mono [THEN le-less-trans]*)

apply (*erule add-strict-left-mono*)

done

end

class *ordered-ab-semigroup-add-imp-le* =

ordered-cancel-ab-semigroup-add +

assumes *add-le-imp-le-left*: $c + a \leq c + b \implies a \leq b$
begin

lemma *add-less-imp-less-left*:
assumes *less*: $c + a < c + b$ **shows** $a < b$
proof –
from *less* **have** *le*: $c + a \leq c + b$ **by** (*simp add: order-le-less*)
have $a \leq b$
apply (*insert le*)
apply (*drule add-le-imp-le-left*)
by (*insert le, drule add-le-imp-le-left, assumption*)
moreover **have** $a \neq b$
proof (*rule ccontr*)
assume $\sim(a \neq b)$
then **have** $a = b$ **by** *simp*
then **have** $c + a = c + b$ **by** *simp*
with *less* **show** *False* **by** *simp*
qed
ultimately **show** $a < b$ **by** (*simp add: order-le-less*)
qed

lemma *add-less-imp-less-right*:
 $a + c < b + c \implies a < b$
apply (*rule add-less-imp-less-left [of c]*)
apply (*simp add: add-commute*)
done

lemma *add-less-cancel-left* [*simp*]:
 $c + a < c + b \iff a < b$
by (*blast intro: add-less-imp-less-left add-strict-left-mono*)

lemma *add-less-cancel-right* [*simp*]:
 $a + c < b + c \iff a < b$
by (*blast intro: add-less-imp-less-right add-strict-right-mono*)

lemma *add-le-cancel-left* [*simp*]:
 $c + a \leq c + b \iff a \leq b$
by (*auto, drule add-le-imp-le-left, simp-all add: add-left-mono*)

lemma *add-le-cancel-right* [*simp*]:
 $a + c \leq b + c \iff a \leq b$
by (*simp add: add-commute [of a c] add-commute [of b c]*)

lemma *add-le-imp-le-right*:
 $a + c \leq b + c \implies a \leq b$
by *simp*

lemma *max-add-distrib-left*:
 $\max x y + z = \max (x + z) (y + z)$

```

unfolding max-def by auto

lemma min-add-distrib-left:
   $\min x y + z = \min (x + z) (y + z)$ 
  unfolding min-def by auto

end

### 4.7 Support for reasoning about signs

class ordered-comm-monoid-add =
  ordered-cancel-ab-semigroup-add + comm-monoid-add
begin

lemma add-pos-nonneg:
  assumes  $0 < a$  and  $0 \leq b$  shows  $0 < a + b$ 
proof –
  have  $0 + 0 < a + b$ 
  using assms by (rule add-less-le-mono)
  then show ?thesis by simp
qed

lemma add-pos-pos:
  assumes  $0 < a$  and  $0 < b$  shows  $0 < a + b$ 
by (rule add-pos-nonneg) (insert assms, auto)

lemma add-nonneg-pos:
  assumes  $0 \leq a$  and  $0 < b$  shows  $0 < a + b$ 
proof –
  have  $0 + 0 < a + b$ 
  using assms by (rule add-le-less-mono)
  then show ?thesis by simp
qed

lemma add-nonneg-nonneg [simp]:
  assumes  $0 \leq a$  and  $0 \leq b$  shows  $0 \leq a + b$ 
proof –
  have  $0 + 0 \leq a + b$ 
  using assms by (rule add-mono)
  then show ?thesis by simp
qed

lemma add-neg-nonpos:
  assumes  $a < 0$  and  $b \leq 0$  shows  $a + b < 0$ 
proof –
  have  $a + b < 0 + 0$ 
  using assms by (rule add-less-le-mono)
  then show ?thesis by simp
qed

```

```

lemma add-neg-neg:
  assumes  $a < 0$  and  $b < 0$  shows  $a + b < 0$ 
by (rule add-neg-nonpos) (insert assms, auto)

lemma add-nonpos-neg:
  assumes  $a \leq 0$  and  $b < 0$  shows  $a + b < 0$ 
proof -
  have  $a + b < 0 + 0$ 
    using assms by (rule add-le-less-mono)
  then show ?thesis by simp
qed

lemma add-nonpos-nonpos:
  assumes  $a \leq 0$  and  $b \leq 0$  shows  $a + b \leq 0$ 
proof -
  have  $a + b \leq 0 + 0$ 
    using assms by (rule add-mono)
  then show ?thesis by simp
qed

lemmas add-sign-intros =
  add-pos-nonneg add-pos-pos add-nonneg-pos add-nonneg-nonneg
  add-neg-nonpos add-neg-neg add-nonpos-neg add-nonpos-nonpos

lemma add-nonneg-eq-0-iff:
  assumes  $x: 0 \leq x$  and  $y: 0 \leq y$ 
  shows  $x + y = 0 \iff x = 0 \wedge y = 0$ 
proof (intro iffI conjI)
  have  $x = x + 0$  by simp
  also have  $x + 0 \leq x + y$  using  $y$  by (rule add-left-mono)
  also assume  $x + y = 0$ 
  also have  $0 \leq x$  using  $x$  .
  finally show  $x = 0$  .
next
  have  $y = 0 + y$  by simp
  also have  $0 + y \leq x + y$  using  $x$  by (rule add-right-mono)
  also assume  $x + y = 0$ 
  also have  $0 \leq y$  using  $y$  .
  finally show  $y = 0$  .
next
  assume  $x = 0 \wedge y = 0$ 
  then show  $x + y = 0$  by simp
qed

end

class ordered-ab-group-add =
  ab-group-add + ordered-ab-semigroup-add

```

begin

subclass *ordered-cancel-ab-semigroup-add* ..

subclass *ordered-ab-semigroup-add-imp-le*

proof

fix $a\ b\ c :: 'a$

assume $c + a \leq c + b$

hence $(-c) + (c + a) \leq (-c) + (c + b)$ **by** (*rule add-left-mono*)

hence $((-c) + c) + a \leq ((-c) + c) + b$ **by** (*simp only: add-assoc*)

thus $a \leq b$ **by** *simp*

qed

subclass *ordered-comm-monoid-add* ..

lemma *max-diff-distrib-left*:

shows $\max\ x\ y - z = \max\ (x - z)\ (y - z)$

by (*simp add: diff-minus, rule max-add-distrib-left*)

lemma *min-diff-distrib-left*:

shows $\min\ x\ y - z = \min\ (x - z)\ (y - z)$

by (*simp add: diff-minus, rule min-add-distrib-left*)

lemma *le-imp-neg-le*:

assumes $a \leq b$ **shows** $-b \leq -a$

proof -

have $-a + a \leq -a + b$ **using** $\langle a \leq b \rangle$ **by** (*rule add-left-mono*)

hence $0 \leq -a + b$ **by** *simp*

hence $0 + (-b) \leq (-a + b) + (-b)$ **by** (*rule add-right-mono*)

thus *?thesis* **by** (*simp add: add-assoc*)

qed

lemma *neg-le-iff-le* [*simp*]: $-b \leq -a \longleftrightarrow a \leq b$

proof

assume $-b \leq -a$

hence $-(-a) \leq -(-b)$ **by** (*rule le-imp-neg-le*)

thus $a \leq b$ **by** *simp*

next

assume $a \leq b$

thus $-b \leq -a$ **by** (*rule le-imp-neg-le*)

qed

lemma *neg-le-0-iff-le* [*simp*]: $-a \leq 0 \longleftrightarrow 0 \leq a$

by (*subst neg-le-iff-le [symmetric], simp*)

lemma *neg-0-le-iff-le* [*simp*]: $0 \leq -a \longleftrightarrow a \leq 0$

by (*subst neg-le-iff-le [symmetric], simp*)

lemma *neg-less-iff-less* [*simp*]: $-b < -a \longleftrightarrow a < b$

by (*force simp add: less-le*)

lemma *neg-less-0-iff-less* [*simp*]: $- a < 0 \longleftrightarrow 0 < a$
by (*subst neg-less-iff-less [symmetric], simp*)

lemma *neg-0-less-iff-less* [*simp*]: $0 < - a \longleftrightarrow a < 0$
by (*subst neg-less-iff-less [symmetric], simp*)

The next several equations can make the simplifier loop!

lemma *less-minus-iff*: $a < - b \longleftrightarrow b < - a$
proof –
have $(- (-a) < - b) = (b < - a)$ **by** (*rule neg-less-iff-less*)
thus *?thesis* **by** *simp*
qed

lemma *minus-less-iff*: $- a < b \longleftrightarrow - b < a$
proof –
have $(- a < - (-b)) = (- b < a)$ **by** (*rule neg-less-iff-less*)
thus *?thesis* **by** *simp*
qed

lemma *le-minus-iff*: $a \leq - b \longleftrightarrow b \leq - a$
proof –
have *mm*: $!! a (b::'a). (-(-a)) < -b \implies -(-b) < -a$ **by** (*simp only: minus-less-iff*)
have $(- (- a) \leq -b) = (b \leq - a)$
apply (*auto simp only: le-less*)
apply (*drule mm*)
apply (*simp-all*)
apply (*drule mm[simplified], assumption*)
done
then show *?thesis* **by** *simp*
qed

lemma *minus-le-iff*: $- a \leq b \longleftrightarrow - b \leq a$
by (*auto simp add: le-less minus-less-iff*)

lemma *less-iff-diff-less-0*: $a < b \longleftrightarrow a - b < 0$
proof –
have $(a < b) = (a + (- b) < b + (-b))$
by (*simp only: add-less-cancel-right*)
also have $\dots = (a - b < 0)$ **by** (*simp add: diff-minus*)
finally show *?thesis* .
qed

lemma *diff-less-eq[algebra-simps, field-simps]*: $a - b < c \longleftrightarrow a < c + b$
apply (*subst less-iff-diff-less-0 [of a]*)
apply (*rule less-iff-diff-less-0 [of - c, THEN ssubst]*)
apply (*simp add: diff-minus add-ac*)
done

```

lemma less-diff-eq[algebra-simps, field-simps]:  $a < c - b \iff a + b < c$ 
apply (subst less-iff-diff-less-0 [of  $a + b$ ])
apply (subst less-iff-diff-less-0 [of  $a$ ])
apply (simp add: diff-minus add-ac)
done

```

```

lemma diff-le-eq[algebra-simps, field-simps]:  $a - b \leq c \iff a \leq c + b$ 
by (auto simp add: le-less diff-less-eq diff-add-cancel add-diff-cancel)

```

```

lemma le-diff-eq[algebra-simps, field-simps]:  $a \leq c - b \iff a + b \leq c$ 
by (auto simp add: le-less less-diff-eq diff-add-cancel add-diff-cancel)

```

```

lemma le-iff-diff-le-0:  $a \leq b \iff a - b \leq 0$ 
by (simp add: algebra-simps)

```

end

```

class linordered-ab-semigroup-add =
  linorder + ordered-ab-semigroup-add

```

```

class linordered-cancel-ab-semigroup-add =
  linorder + ordered-cancel-ab-semigroup-add
begin

```

```

subclass linordered-ab-semigroup-add ..

```

```

subclass ordered-ab-semigroup-add-imp-le
proof
  fix  $a\ b\ c :: 'a$ 
  assume  $le: c + a \leq c + b$ 
  show  $a \leq b$ 
  proof (rule ccontr)
    assume  $w: \sim a \leq b$ 
    hence  $b \leq a$  by (simp add: linorder-not-le)
    hence  $le2: c + b \leq c + a$  by (rule add-left-mono)
    have  $a = b$ 
    apply (insert le)
    apply (insert le2)
    apply (drule antisym, simp-all)
    done
  with  $w$  show False
  by (simp add: linorder-not-le [symmetric])
qed
qed

```

end

```

class linordered-ab-group-add = linorder + ordered-ab-group-add

```

begin

subclass *linordered-cancel-ab-semigroup-add* ..

lemma *neg-less-eq-nonneg* [*simp*]:

$$- a \leq a \longleftrightarrow 0 \leq a$$

proof

assume $A: - a \leq a$ **show** $0 \leq a$

proof (*rule classical*)

assume $\neg 0 \leq a$

then have $a < 0$ **by** *auto*

with A **have** $- a < 0$ **by** (*rule le-less-trans*)

then show *?thesis* **by** *auto*

qed

next

assume $A: 0 \leq a$ **show** $- a \leq a$

proof (*rule order-trans*)

show $- a \leq 0$ **using** A **by** (*simp add: minus-le-iff*)

next

show $0 \leq a$ **using** A .

qed

qed

lemma *neg-less-nonneg* [*simp*]:

$$- a < a \longleftrightarrow 0 < a$$

proof

assume $A: - a < a$ **show** $0 < a$

proof (*rule classical*)

assume $\neg 0 < a$

then have $a \leq 0$ **by** *auto*

with A **have** $- a < 0$ **by** (*rule less-le-trans*)

then show *?thesis* **by** *auto*

qed

next

assume $A: 0 < a$ **show** $- a < a$

proof (*rule less-trans*)

show $- a < 0$ **using** A **by** (*simp add: minus-le-iff*)

next

show $0 < a$ **using** A .

qed

qed

lemma *less-eq-neg-nonpos* [*simp*]:

$$a \leq - a \longleftrightarrow a \leq 0$$

proof

assume $A: a \leq - a$ **show** $a \leq 0$

proof (*rule classical*)

assume $\neg a \leq 0$

then have $0 < a$ **by** *auto*


```

    then have  $0 < - a$  using  $A$  by (rule less-le-trans)
    then show ?thesis by auto
qed
next
  assume  $A: a \leq 0$  show  $a \leq - a$ 
  proof (rule order-trans)
    show  $0 \leq - a$  using  $A$  by (simp add: minus-le-iff)
  next
    show  $a \leq 0$  using  $A$  .
  qed
qed

```

```

lemma equal-neg-zero [simp]:
   $a = - a \longleftrightarrow a = 0$ 
proof
  assume  $a = 0$  then show  $a = - a$  by simp
next
  assume  $A: a = - a$  show  $a = 0$ 
  proof (cases  $0 \leq a$ )
    case True with  $A$  have  $0 \leq - a$  by auto
    with le-minus-iff have  $a \leq 0$  by simp
    with  $A$  show ?thesis by (auto intro: order-trans)
  next
    case False then have  $B: a \leq 0$  by auto
    with  $A$  have  $- a \leq 0$  by auto
    with  $B$  show ?thesis by (auto intro: order-trans)
  qed
qed

```

```

lemma neg-equal-zero [simp]:
   $- a = a \longleftrightarrow a = 0$ 
  by (auto dest: sym)

```

```

lemma double-zero [simp]:
   $a + a = 0 \longleftrightarrow a = 0$ 
proof
  assume  $assm: a + a = 0$ 
  then have  $a: - a = a$  by (rule minus-unique)
  then show  $a = 0$  by (simp only: neg-equal-zero)
qed simp

```

```

lemma double-zero-sym [simp]:
   $0 = a + a \longleftrightarrow a = 0$ 
  by (rule, drule sym) simp-all

```

```

lemma zero-less-double-add-iff-zero-less-single-add [simp]:
   $0 < a + a \longleftrightarrow 0 < a$ 
proof
  assume  $0 < a + a$ 

```

```

then have  $0 - a < a$  by (simp only: diff-less-eq)
then have  $-a < a$  by simp
then show  $0 < a$  by (simp only: neg-less-nonneg)
next
  assume  $0 < a$ 
  with this have  $0 + 0 < a + a$ 
    by (rule add-strict-mono)
  then show  $0 < a + a$  by simp
qed

```

```

lemma zero-le-double-add-iff-zero-le-single-add [simp]:
   $0 \leq a + a \longleftrightarrow 0 \leq a$ 
  by (auto simp add: le-less)

```

```

lemma double-add-less-zero-iff-single-add-less-zero [simp]:
   $a + a < 0 \longleftrightarrow a < 0$ 
proof -
  have  $\neg a + a < 0 \longleftrightarrow \neg a < 0$ 
    by (simp add: not-less)
  then show ?thesis by simp
qed

```

```

lemma double-add-le-zero-iff-single-add-le-zero [simp]:
   $a + a \leq 0 \longleftrightarrow a \leq 0$ 
proof -
  have  $\neg a + a \leq 0 \longleftrightarrow \neg a \leq 0$ 
    by (simp add: not-le)
  then show ?thesis by simp
qed

```

```

lemma le-minus-self-iff:
   $a \leq -a \longleftrightarrow a \leq 0$ 
proof -
  from add-le-cancel-left [of  $-a$   $a + a$   $0$ ]
  have  $a \leq -a \longleftrightarrow a + a \leq 0$ 
    by (simp add: add-assoc [symmetric])
  thus ?thesis by simp
qed

```

```

lemma minus-le-self-iff:
   $-a \leq a \longleftrightarrow 0 \leq a$ 
proof -
  from add-le-cancel-left [of  $-a$   $0$   $a + a$ ]
  have  $-a \leq a \longleftrightarrow 0 \leq a + a$ 
    by (simp add: add-assoc [symmetric])
  thus ?thesis by simp
qed

```

```

lemma minus-max-eq-min:

```

```

- max x y = min (-x) (-y)
by (auto simp add: max-def min-def)

lemma minus-min-eq-max:
- min x y = max (-x) (-y)
by (auto simp add: max-def min-def)

end

context ordered-comm-monoid-add
begin

lemma add-increasing:
 $0 \leq a \implies b \leq c \implies b \leq a + c$ 
by (insert add-mono [of 0 a b c], simp)

lemma add-increasing2:
 $0 \leq c \implies b \leq a \implies b \leq a + c$ 
by (simp add: add-increasing add-commute [of a])

lemma add-strict-increasing:
 $0 < a \implies b \leq c \implies b < a + c$ 
by (insert add-less-le-mono [of 0 a b c], simp)

lemma add-strict-increasing2:
 $0 \leq a \implies b < c \implies b < a + c$ 
by (insert add-le-less-mono [of 0 a b c], simp)

end

class abs =
  fixes abs :: 'a  $\Rightarrow$  'a
begin

notation (xsymbols)
  abs (|·|)

notation (HTML output)
  abs (|·|)

end

class sgn =
  fixes sgn :: 'a  $\Rightarrow$  'a

class abs-if = minus + uminus + ord + zero + abs +
  assumes abs-if:  $|a| = (\text{if } a < 0 \text{ then } -a \text{ else } a)$ 

class sgn-if = minus + uminus + zero + one + ord + sgn +

```

assumes *sgn-if*: $\text{sgn } x = (\text{if } x = 0 \text{ then } 0 \text{ else if } 0 < x \text{ then } 1 \text{ else } -1)$
begin

lemma *sgn0* [*simp*]: $\text{sgn } 0 = 0$
by (*simp add:sgn-if*)

end

class *ordered-ab-group-add-abs* = *ordered-ab-group-add* + *abs* +
assumes *abs-ge-zero* [*simp*]: $|a| \geq 0$
and *abs-ge-self*: $a \leq |a|$
and *abs-leI*: $a \leq b \implies -a \leq b \implies |a| \leq b$
and *abs-minus-cancel* [*simp*]: $|-a| = |a|$
and *abs-triangle-ineq*: $|a + b| \leq |a| + |b|$
begin

lemma *abs-minus-le-zero*: $-|a| \leq 0$
unfolding *neg-le-0-iff-le* **by** *simp*

lemma *abs-of-nonneg* [*simp*]:
assumes *nonneg*: $0 \leq a$ **shows** $|a| = a$
proof (*rule antisym*)
from *nonneg le-imp-neg-le* **have** $-a \leq 0$ **by** *simp*
from *this nonneg* **have** $-a \leq a$ **by** (*rule order-trans*)
then show $|a| \leq a$ **by** (*auto intro: abs-leI*)
qed (*rule abs-ge-self*)

lemma *abs-idempotent* [*simp*]: $||a|| = |a|$
by (*rule antisym*)
(auto intro!: abs-ge-self abs-leI order-trans [of - |a| 0 |a|])

lemma *abs-eq-0* [*simp*]: $|a| = 0 \iff a = 0$
proof –
have $|a| = 0 \implies a = 0$
proof (*rule antisym*)
assume *zero*: $|a| = 0$
with *abs-ge-self* **show** $a \leq 0$ **by** *auto*
from *zero* **have** $|-a| = 0$ **by** *simp*
with *abs-ge-self* [*of - a*] **have** $-a \leq 0$ **by** *auto*
with *neg-le-0-iff-le* **show** $0 \leq a$ **by** *auto*
qed
then show *?thesis* **by** *auto*
qed

lemma *abs-zero* [*simp*]: $|0| = 0$
by *simp*

lemma *abs-0-eq* [*simp*, *no-atp*]: $0 = |a| \iff a = 0$
proof –

have $0 = |a| \iff |a| = 0$ **by** (*simp only: eq-ac*)
 thus *?thesis* **by** *simp*
qed

lemma *abs-le-zero-iff* [*simp*]: $|a| \leq 0 \iff a = 0$
proof –
 assume $|a| \leq 0$
 then have $|a| = 0$ **by** (*rule antisym*) *simp*
 thus $a = 0$ **by** *simp*
next
 assume $a = 0$
 thus $|a| \leq 0$ **by** *simp*
qed

lemma *zero-less-abs-iff* [*simp*]: $0 < |a| \iff a \neq 0$
by (*simp add: less-le*)

lemma *abs-not-less-zero* [*simp*]: $\neg |a| < 0$
proof –
 have $a: \bigwedge x y. x \leq y \implies \neg y < x$ **by** *auto*
 show *?thesis* **by** (*simp add: a*)
qed

lemma *abs-ge-minus-self*: $- a \leq |a|$
proof –
 have $- a \leq |-a|$ **by** (*rule abs-ge-self*)
 then show *?thesis* **by** *simp*
qed

lemma *abs-minus-commute*:
 $|a - b| = |b - a|$
proof –
 have $|a - b| = |- (a - b)|$ **by** (*simp only: abs-minus-cancel*)
 also have $\dots = |b - a|$ **by** *simp*
 finally show *?thesis* .
qed

lemma *abs-of-pos*: $0 < a \implies |a| = a$
by (*rule abs-of-nonneg, rule less-imp-le*)

lemma *abs-of-nonpos* [*simp*]:
 assumes $a \leq 0$ shows $|a| = - a$
proof –
 let $?b = - a$
 have $- ?b \leq 0 \implies |- ?b| = - (- ?b)$
 unfolding *abs-minus-cancel* [of $?b$]
 unfolding *neg-le-0-iff-le* [of $?b$]
 unfolding *minus-minus* **by** (*erule abs-of-nonneg*)
 then show *?thesis* **using** *assms* **by** *auto*

qed

lemma *abs-of-neg*: $a < 0 \implies |a| = -a$
by (*rule abs-of-nonpos*, *rule less-imp-le*)

lemma *abs-le-D1*: $|a| \leq b \implies a \leq b$
by (*insert abs-ge-self*, *blast intro: order-trans*)

lemma *abs-le-D2*: $|a| \leq b \implies -a \leq b$
by (*insert abs-le-D1 [of - a]*, *simp*)

lemma *abs-le-iff*: $|a| \leq b \iff a \leq b \wedge -a \leq b$
by (*blast intro: abs-leI dest: abs-le-D1 abs-le-D2*)

lemma *abs-triangle-ineq2*: $|a| - |b| \leq |a - b|$
proof –
 have $|a| = |b + (a - b)|$
 by (*simp add: algebra-simps add-diff-cancel*)
 then have $|a| \leq |b| + |a - b|$
 by (*simp add: abs-triangle-ineq*)
 then show *?thesis*
 by (*simp add: algebra-simps*)

qed

lemma *abs-triangle-ineq2-sym*: $|a| - |b| \leq |b - a|$
by (*simp only: abs-minus-commute [of b] abs-triangle-ineq2*)

lemma *abs-triangle-ineq3*: $||a| - |b|| \leq |a - b|$
by (*simp add: abs-le-iff abs-triangle-ineq2 abs-triangle-ineq2-sym*)

lemma *abs-triangle-ineq4*: $|a - b| \leq |a| + |b|$
proof –
 have $|a - b| = |a + -b|$ **by** (*subst diff-minus*, *rule refl*)
 also have $\dots \leq |a| + |-b|$ **by** (*rule abs-triangle-ineq*)
 finally show *?thesis* **by** *simp*

qed

lemma *abs-diff-triangle-ineq*: $|a + b - (c + d)| \leq |a - c| + |b - d|$
proof –
 have $|a + b - (c + d)| = |(a - c) + (b - d)|$ **by** (*simp add: diff-minus add-ac*)
 also have $\dots \leq |a - c| + |b - d|$ **by** (*rule abs-triangle-ineq*)
 finally show *?thesis* .

qed

lemma *abs-add-abs* [*simp*]:
 $||a| + |b|| = |a| + |b|$ (**is** $?L = ?R$)
proof (*rule antisym*)
 show $?L \geq ?R$ **by** (*rule abs-ge-self*)
next

```

  have ?L ≤ ||a|| + ||b|| by (rule abs-triangle-ineq)
  also have ... = ?R by simp
  finally show ?L ≤ ?R .
qed

```

```

end

```

Needed for abelian cancellation simprocs:

```

lemma add-cancel-21: ((x::'a::ab-group-add) + (y + z) = y + u) = (x + z = u)
apply (subst add-left-commute)
apply (subst add-left-cancel)
apply simp
done

```

```

lemma add-cancel-end: (x + (y + z) = y) = (x = - (z::'a::ab-group-add))
apply (subst add-cancel-21 [of - - 0, simplified])
apply (simp add: add-right-cancel [symmetric, of x - z z, simplified])
done

```

```

lemma less-eqI: (x::'a::ordered-ab-group-add) - y = x' - y' ⇒ (x < y) = (x'
< y')
by (simp add: less-iff-diff-less-0 [of x y] less-iff-diff-less-0 [of x' y'])

```

```

lemma le-eqI: (x::'a::ordered-ab-group-add) - y = x' - y' ⇒ (y <= x) = (y'
<= x')
apply (simp add: le-iff-diff-le-0 [of y x] le-iff-diff-le-0 [of y' x'])
apply (simp add: neg-le-iff-le [symmetric, of y-x 0] neg-le-iff-le [symmetric, of
y'-x' 0])
done

```

```

lemma eq-eqI: (x::'a::ab-group-add) - y = x' - y' ⇒ (x = y) = (x' = y')
by (simp only: eq-iff-diff-eq-0 [of x y] eq-iff-diff-eq-0 [of x' y'])

```

```

lemma diff-def: (x::'a::ab-group-add) - y == x + (-y)
by (simp add: diff-minus)

```

```

lemma le-add-right-mono:
  assumes
    a <= b + (c::'a::ordered-ab-group-add)
    c <= d
  shows a <= b + d
  apply (rule-tac order-trans [where y = b+c])
  apply (simp-all add: prems)
  done

```

4.8 Tools setup

```

lemma add-mono-thms-linordered-semiring [no-atp]:
  fixes i j k :: 'a::ordered-ab-semigroup-add

```

shows $i \leq j \wedge k \leq l \implies i + k \leq j + l$
 and $i = j \wedge k \leq l \implies i + k \leq j + l$
 and $i \leq j \wedge k = l \implies i + k \leq j + l$
 and $i = j \wedge k = l \implies i + k = j + l$
 by (rule add-mono, clarify+)+

lemma add-mono-thms-linordered-field [no-atp]:
 fixes $i\ j\ k :: 'a::\text{ordered-cancel-ab-semigroup-add}$
 shows $i < j \wedge k = l \implies i + k < j + l$
 and $i = j \wedge k < l \implies i + k < j + l$
 and $i < j \wedge k \leq l \implies i + k < j + l$
 and $i \leq j \wedge k < l \implies i + k < j + l$
 and $i < j \wedge k < l \implies i + k < j + l$
 by (auto intro: add-strict-right-mono add-strict-left-mono
 add-less-le-mono add-le-less-mono add-strict-mono)

Simplification of $x - y < (0::'a)$, etc.

lemmas diff-less-0-iff-less [simp, no-atp] = less-iff-diff-less-0 [symmetric]
 lemmas diff-le-0-iff-le [simp, no-atp] = le-iff-diff-le-0 [symmetric]

ML \ll
 structure ab-group-add-cancel = Abel-Cancel
 (

(* term order for abelian groups *)

fun agrp-ord (Const (a, -)) = find-index (fn a' => a = a')
 [@{const-name Groups.zero}, @{const-name Groups.plus},
 @{const-name Groups.uminus}, @{const-name Groups.minus}]
 | agrp-ord - = ~1;

fun termless-agrp (a, b) = (Term-Ord.term-lpo agrp-ord (a, b) = LESS);

local
 val ac1 = mk-meta-eq @{thm add-associative};
 val ac2 = mk-meta-eq @{thm add-commute};
 val ac3 = mk-meta-eq @{thm add-left-commute};
 fun solve-add-ac thy - (- \$ (Const (@{const-name Groups.plus},-) \$ - \$ -) \$ -) =
 SOME ac1
 | solve-add-ac thy - (- \$ x \$ (Const (@{const-name Groups.plus},-) \$ y \$ z)) =
 if termless-agrp (y, x) then SOME ac3 else NONE
 | solve-add-ac thy - (- \$ x \$ y) =
 if termless-agrp (y, x) then SOME ac2 else NONE
 | solve-add-ac thy - - = NONE
in
 val add-ac-proc = Simplifier.simproc @{theory}
 add-ac-proc [x + y::'a::ab-semigroup-add] solve-add-ac;
end;


```

val eq-reflection = @{thm eq-reflection};

val T = @{typ 'a::ab-group-add};

val cancel-ss = HOL-basic-ss settermless termless-agrp
  addsimprocs [add-ac-proc] addsimps
  [@{thm add-0-left}, @{thm add-0-right}, @{thm diff-def},
   @{thm minus-add-distrib}, @{thm minus-minus}, @{thm minus-zero},
   @{thm right-minus}, @{thm left-minus}, @{thm add-minus-cancel},
   @{thm minus-add-cancel}];

val sum-pats = [@{cterm x + y::'a::ab-group-add}, @{cterm x - y::'a::ab-group-add}];

val eqI-rules = [@{thm less-eqI}, @{thm le-eqI}, @{thm eq-eqI}];

val dest-eqI =
  fst o HOLogic.dest-bin @{const-name op =} HOLogic.boolT o HOLogic.dest-Trueprop
  o concl-of;

);
⟩⟩

ML ⟨⟨
  Addsimprocs [ab-group-add-cancel.sum-conv, ab-group-add-cancel.rel-conv];
⟩⟩

code-module SML
  Groups Arith

code-module OCaml
  Groups Arith

code-module Haskell
  Groups Arith

end

```

5 Lattices: Abstract lattices

```

theory Lattices
imports Orderings Groups
begin

```

5.1 Abstract semilattice

This locales provide a basic structure for interpretation into bigger structures; extensions require careful thinking, otherwise undesired effects may

occur due to interpretation.

```
locale semilattice = abel-semigroup +
  assumes idem [simp]:  $f\ a\ a = a$ 
begin
```

```
lemma left-idem [simp]:
   $f\ a\ (f\ a\ b) = f\ a\ b$ 
  by (simp add: assoc [symmetric])
```

```
end
```

5.2 Idempotent semigroup

```
class ab-semigroup-idem-mult = ab-semigroup-mult +
  assumes mult-idem:  $x * x = x$ 
```

```
sublocale ab-semigroup-idem-mult < times!: semilattice times proof
qed (fact mult-idem)
```

```
context ab-semigroup-idem-mult
begin
```

```
lemmas mult-left-idem = times.left-idem
```

```
end
```

5.3 Concrete lattices

```
notation
```

```
less-eq (infix  $\sqsubseteq$  50) and
less (infix  $\sqsubset$  50) and
top ( $\top$ ) and
bot ( $\perp$ )
```

```
class semilattice-inf = order +
  fixes inf :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\sqcap$  70)
  assumes inf-le1 [simp]:  $x \sqcap y \sqsubseteq x$ 
  and inf-le2 [simp]:  $x \sqcap y \sqsubseteq y$ 
  and inf-greatest:  $x \sqsubseteq y \Longrightarrow x \sqsubseteq z \Longrightarrow x \sqsubseteq y \sqcap z$ 
```

```
class semilattice-sup = order +
  fixes sup :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl  $\sqcup$  65)
  assumes sup-ge1 [simp]:  $x \sqsubseteq x \sqcup y$ 
  and sup-ge2 [simp]:  $y \sqsubseteq x \sqcup y$ 
  and sup-least:  $y \sqsubseteq x \Longrightarrow z \sqsubseteq x \Longrightarrow y \sqcup z \sqsubseteq x$ 
begin
```

Dual lattice

```
lemma dual-semilattice:
```

```

class.semilattice-inf (op ≥) (op >) sup
by (rule class.semilattice-inf.intro, rule dual-order)
  (unfold-locales, simp-all add: sup-least)

```

```
end
```

```
class lattice = semilattice-inf + semilattice-sup
```

5.3.1 Intro and elim rules

```

context semilattice-inf
begin

```

```

lemma le-infI1:
  a ⊆ x ⟹ a ⊓ b ⊆ x
  by (rule order-trans) auto

```

```

lemma le-infI2:
  b ⊆ x ⟹ a ⊓ b ⊆ x
  by (rule order-trans) auto

```

```

lemma le-infI: x ⊆ a ⟹ x ⊆ b ⟹ x ⊆ a ⊓ b
  by (rule inf-greatest)

```

```

lemma le-infE: x ⊆ a ⊓ b ⟹ (x ⊆ a ⟹ x ⊆ b ⟹ P) ⟹ P
  by (blast intro: order-trans inf-le1 inf-le2)

```

```

lemma le-inf-iff [simp]:
  x ⊆ y ⊓ z ⟷ x ⊆ y ∧ x ⊆ z
  by (blast intro: le-infI elim: le-infE)

```

```

lemma le-iff-inf:
  x ⊆ y ⟷ x ⊓ y = x
  by (auto intro: le-infI1 antisym dest: eq-iff [THEN iffD1])

```

```

lemma inf-mono: a ⊆ c ⟹ b ≤ d ⟹ a ⊓ b ⊆ c ⊓ d
  by (fast intro: inf-greatest le-infI1 le-infI2)

```

```

lemma mono-inf:
  fixes f :: 'a ⇒ 'b::semilattice-inf
  shows mono f ⟹ f (A ⊓ B) ⊆ f A ⊓ f B
  by (auto simp add: mono-def intro: Lattices.inf-greatest)

```

```
end
```

```

context semilattice-sup
begin

```

```

lemma le-supI1:

```

```

 $x \sqsubseteq a \implies x \sqsubseteq a \sqcup b$ 
by (rule order-trans) auto

lemma le-supI2:
 $x \sqsubseteq b \implies x \sqsubseteq a \sqcup b$ 
by (rule order-trans) auto

lemma le-supI:
 $a \sqsubseteq x \implies b \sqsubseteq x \implies a \sqcup b \sqsubseteq x$ 
by (rule sup-least)

lemma le-supE:
 $a \sqcup b \sqsubseteq x \implies (a \sqsubseteq x \implies b \sqsubseteq x \implies P) \implies P$ 
by (blast intro: order-trans sup-ge1 sup-ge2)

lemma le-sup-iff [simp]:
 $x \sqcup y \sqsubseteq z \iff x \sqsubseteq z \wedge y \sqsubseteq z$ 
by (blast intro: le-supI elim: le-supE)

lemma le-iff-sup:
 $x \sqsubseteq y \iff x \sqcup y = y$ 
by (auto intro: le-supI2 antisym dest: eq-iff [THEN iffD1])

lemma sup-mono:  $a \sqsubseteq c \implies b \leq d \implies a \sqcup b \sqsubseteq c \sqcup d$ 
by (fast intro: sup-least le-supI1 le-supI2)

lemma mono-sup:
  fixes f :: 'a  $\Rightarrow$  'b::semilattice-sup
  shows mono f  $\implies f A \sqcup f B \sqsubseteq f (A \sqcup B)$ 
  by (auto simp add: mono-def intro: Lattices.sup-least)

end

5.3.2 Equational laws

sublocale semilattice-inf < inf!: semilattice inf
proof
  fix a b c
  show  $(a \sqcap b) \sqcap c = a \sqcap (b \sqcap c)$ 
    by (rule antisym) (auto intro: le-infI1 le-infI2)
  show  $a \sqcap b = b \sqcap a$ 
    by (rule antisym) auto
  show  $a \sqcap a = a$ 
    by (rule antisym) auto
qed

context semilattice-inf
begin

```

lemma *inf-assoc*: $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$
by (*fact inf.assoc*)

lemma *inf-commute*: $(x \sqcap y) = (y \sqcap x)$
by (*fact inf.commute*)

lemma *inf-left-commute*: $x \sqcap (y \sqcap z) = y \sqcap (x \sqcap z)$
by (*fact inf.left-commute*)

lemma *inf-idem*: $x \sqcap x = x$
by (*fact inf.idem*)

lemma *inf-left-idem*: $x \sqcap (x \sqcap y) = x \sqcap y$
by (*fact inf.left-idem*)

lemma *inf-absorb1*: $x \sqsubseteq y \implies x \sqcap y = x$
by (*rule antisym*) *auto*

lemma *inf-absorb2*: $y \sqsubseteq x \implies x \sqcap y = y$
by (*rule antisym*) *auto*

lemmas *inf-aci* = *inf-commute inf-assoc inf-left-commute inf-left-idem*

end

sublocale *semilattice-sup* < *sup!*: *semilattice sup*

proof

fix *a b c*

show $(a \sqcup b) \sqcup c = a \sqcup (b \sqcup c)$

by (*rule antisym*) (*auto intro: le-supI1 le-supI2*)

show $a \sqcup b = b \sqcup a$

by (*rule antisym*) *auto*

show $a \sqcup a = a$

by (*rule antisym*) *auto*

qed

context *semilattice-sup*

begin

lemma *sup-assoc*: $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$
by (*fact sup.assoc*)

lemma *sup-commute*: $(x \sqcup y) = (y \sqcup x)$
by (*fact sup.commute*)

lemma *sup-left-commute*: $x \sqcup (y \sqcup z) = y \sqcup (x \sqcup z)$
by (*fact sup.left-commute*)

lemma *sup-idem*: $x \sqcup x = x$

```

    by (fact sup.idem)

lemma sup-left-idem:  $x \sqcup (x \sqcap y) = x \sqcup y$ 
  by (fact sup.left-idem)

lemma sup-absorb1:  $y \sqsubseteq x \implies x \sqcup y = x$ 
  by (rule antisym) auto

lemma sup-absorb2:  $x \sqsubseteq y \implies x \sqcup y = y$ 
  by (rule antisym) auto

lemmas sup-aci = sup-commute sup-assoc sup-left-commute sup-left-idem

end

context lattice
begin

lemma dual-lattice:
  class.lattice (op  $\geq$ ) (op  $>$ ) sup inf
  by (rule class.lattice.intro, rule dual-semilattice, rule class.semilattice-sup.intro,
    rule dual-order)
    (unfold-locales, auto)

lemma inf-sup-absorb:  $x \sqcap (x \sqcup y) = x$ 
  by (blast intro: antisym inf-le1 inf-greatest sup-ge1)

lemma sup-inf-absorb:  $x \sqcup (x \sqcap y) = x$ 
  by (blast intro: antisym sup-ge1 sup-least inf-le1)

lemmas inf-sup-aci = inf-aci sup-aci

lemmas inf-sup-ord = inf-le1 inf-le2 sup-ge1 sup-ge2

Towards distributivity

lemma distrib-sup-le:  $x \sqcup (y \sqcap z) \sqsubseteq (x \sqcup y) \sqcap (x \sqcup z)$ 
  by (auto intro: le-infI1 le-infI2 le-supI1 le-supI2)

lemma distrib-inf-le:  $(x \sqcap y) \sqcup (x \sqcap z) \sqsubseteq x \sqcap (y \sqcup z)$ 
  by (auto intro: le-infI1 le-infI2 le-supI1 le-supI2)

If you have one of them, you have them all.

lemma distrib-imp1:
  assumes D:  $\forall x y z. x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$ 
  shows  $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$ 
  proof-
    have  $x \sqcup (y \sqcap z) = (x \sqcup (x \sqcap z)) \sqcup (y \sqcap z)$  by (simp add: sup-inf-absorb)
    also have  $\dots = x \sqcup (z \sqcap (x \sqcup y))$  by (simp add: D inf-commute sup-assoc)
    also have  $\dots = ((x \sqcup y) \sqcap x) \sqcup ((x \sqcup y) \sqcap z)$ 

```

```

    by(simp add:inf-sup-absorb inf-commute)
    also have ... = (x ⊔ y) ⊓ (x ⊔ z) by(simp add:D)
    finally show ?thesis .
qed

```

lemma *distrib-imp2*:

assumes *D*: $\forall x y z. x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$

shows $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$

proof –

have $x \sqcap (y \sqcup z) = (x \sqcap (x \sqcup z)) \sqcap (y \sqcup z)$ **by**(simp add:inf-sup-absorb)

also have $\dots = x \sqcap (z \sqcup (x \sqcap y))$ **by**(simp add:D sup-commute inf-assoc)

also have $\dots = ((x \sqcap y) \sqcup x) \sqcap ((x \sqcap y) \sqcup z)$

by(simp add:sup-inf-absorb sup-commute)

also have $\dots = (x \sqcap y) \sqcup (x \sqcap z)$ **by**(simp add:D)

finally show ?thesis .

qed

end

5.3.3 Strict order

context *semilattice-inf*

begin

lemma *less-infI1*:

$a \sqsubset x \implies a \sqcap b \sqsubset x$

by (auto simp add: less-le inf-absorb1 intro: le-infI1)

lemma *less-infI2*:

$b \sqsubset x \implies a \sqcap b \sqsubset x$

by (auto simp add: less-le inf-absorb2 intro: le-infI2)

end

context *semilattice-sup*

begin

lemma *less-supI1*:

$x \sqsubset a \implies x \sqsubset a \sqcup b$

proof –

interpret *dual*: *semilattice-inf* $op \geq op > sup$

by (fact dual-semilattice)

assume $x \sqsubset a$

then show $x \sqsubset a \sqcup b$

by (fact dual.less-infI1)

qed

lemma *less-supI2*:

$x \sqsubset b \implies x \sqsubset a \sqcup b$

```

proof –
  interpret dual: semilattice-inf op ≥ op > sup
    by (fact dual-semilattice)
  assume  $x \sqsubseteq b$ 
  then show  $x \sqsubseteq a \sqcup b$ 
    by (fact dual.less-infI2)
qed

end

```

5.4 Distributive lattices

```

class distrib-lattice = lattice +
  assumes sup-inf-distrib1:  $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$ 

context distrib-lattice
begin

lemma sup-inf-distrib2:
   $(y \sqcap z) \sqcup x = (y \sqcup x) \sqcap (z \sqcup x)$ 
by(simp add: inf-sup-aci sup-inf-distrib1)

lemma inf-sup-distrib1:
   $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$ 
by(rule distrib-imp2[OF sup-inf-distrib1])

lemma inf-sup-distrib2:
   $(y \sqcup z) \sqcap x = (y \sqcap x) \sqcup (z \sqcap x)$ 
by(simp add: inf-sup-aci inf-sup-distrib1)

lemma dual-distrib-lattice:
  class.distrib-lattice (op ≥) (op >) sup inf
  by (rule class.distrib-lattice.intro, rule dual-lattice)
    (unfold-locals, fact inf-sup-distrib1)

lemmas sup-inf-distrib =
  sup-inf-distrib1 sup-inf-distrib2

lemmas inf-sup-distrib =
  inf-sup-distrib1 inf-sup-distrib2

lemmas distrib =
  sup-inf-distrib1 sup-inf-distrib2 inf-sup-distrib1 inf-sup-distrib2

end

```

5.5 Bounded lattices and boolean algebras

```

class bounded-lattice-bot = lattice + bot
begin

```



```

lemma inf-bot-left [simp]:
   $\perp \sqcap x = \perp$ 
  by (rule inf-absorb1) simp

lemma inf-bot-right [simp]:
   $x \sqcap \perp = \perp$ 
  by (rule inf-absorb2) simp

lemma sup-bot-left [simp]:
   $\perp \sqcup x = x$ 
  by (rule sup-absorb2) simp

lemma sup-bot-right [simp]:
   $x \sqcup \perp = x$ 
  by (rule sup-absorb1) simp

lemma sup-eq-bot-iff [simp]:
   $x \sqcup y = \perp \iff x = \perp \wedge y = \perp$ 
  by (simp add: eq-iff)

end

class bounded-lattice-top = lattice + top
begin

lemma sup-top-left [simp]:
   $\top \sqcup x = \top$ 
  by (rule sup-absorb1) simp

lemma sup-top-right [simp]:
   $x \sqcup \top = \top$ 
  by (rule sup-absorb2) simp

lemma inf-top-left [simp]:
   $\top \sqcap x = x$ 
  by (rule inf-absorb2) simp

lemma inf-top-right [simp]:
   $x \sqcap \top = x$ 
  by (rule inf-absorb1) simp

lemma inf-eq-top-iff [simp]:
   $x \sqcap y = \top \iff x = \top \wedge y = \top$ 
  by (simp add: eq-iff)

end

class bounded-lattice = bounded-lattice-bot + bounded-lattice-top

```

begin

lemma *dual-bounded-lattice*:

class.bounded-lattice (*op* \geq) (*op* $>$) (*op* \sqcup) (*op* \sqcap) \top \perp
by *unfold-locales* (*auto simp add: less-le-not-le*)

end

class *boolean-algebra* = *distrib-lattice* + *bounded-lattice* + *minus* + *uminus* +

assumes *inf-compl-bot*: $x \sqcap - x = \perp$

and *sup-compl-top*: $x \sqcup - x = \top$

assumes *diff-eq*: $x - y = x \sqcap - y$

begin

lemma *dual-boolean-algebra*:

class.boolean-algebra ($\lambda x y. x \sqcup - y$) *uminus* (*op* \geq) (*op* $>$) (*op* \sqcup) (*op* \sqcap) \top \perp

by (*rule class.boolean-algebra.intro*, *rule dual-bounded-lattice*, *rule dual-distrib-lattice*)
(*unfold-locales*, *auto simp add: inf-compl-bot sup-compl-top diff-eq*)

lemma *compl-inf-bot*:

$- x \sqcap x = \perp$

by (*simp add: inf-commute inf-compl-bot*)

lemma *compl-sup-top*:

$- x \sqcup x = \top$

by (*simp add: sup-commute sup-compl-top*)

lemma *compl-unique*:

assumes $x \sqcap y = \perp$

and $x \sqcup y = \top$

shows $- x = y$

proof –

have $(x \sqcap - x) \sqcup (- x \sqcap y) = (x \sqcap y) \sqcup (- x \sqcap y)$

using *inf-compl-bot* *assms(1)* **by** *simp*

then have $(- x \sqcap x) \sqcup (- x \sqcap y) = (y \sqcap x) \sqcup (y \sqcap - x)$

by (*simp add: inf-commute*)

then have $- x \sqcap (x \sqcup y) = y \sqcap (x \sqcup - x)$

by (*simp add: inf-sup-distrib1*)

then have $- x \sqcap \top = y \sqcap \top$

using *sup-compl-top* *assms(2)* **by** *simp*

then show $- x = y$ **by** *simp*

qed

lemma *double-compl* [*simp*]:

$- (- x) = x$

using *compl-inf-bot* *compl-sup-top* **by** (*rule compl-unique*)

lemma *compl-eq-compl-iff* [*simp*]:

$- x = - y \longleftrightarrow x = y$

```

proof
  assume  $\neg x = \neg y$ 
  then have  $\neg(\neg x) = \neg(\neg y)$  by (rule arg-cong)
  then show  $x = y$  by simp
next
  assume  $x = y$ 
  then show  $\neg x = \neg y$  by simp
qed

lemma compl-bot-eq [simp]:
   $\neg \perp = \top$ 
proof  $\neg$ 
  from sup-compl-top have  $\perp \sqcup \neg \perp = \top$  .
  then show ?thesis by simp
qed

lemma compl-top-eq [simp]:
   $\neg \top = \perp$ 
proof  $\neg$ 
  from inf-compl-bot have  $\top \sqcap \neg \top = \perp$  .
  then show ?thesis by simp
qed

lemma compl-inf [simp]:
   $\neg(x \sqcap y) = \neg x \sqcup \neg y$ 
proof (rule compl-unique)
  have  $(x \sqcap y) \sqcap (\neg x \sqcup \neg y) = (y \sqcap (x \sqcap \neg x)) \sqcup (x \sqcap (y \sqcap \neg y))$ 
    by (simp only: inf-sup-distrib inf-aci)
  then show  $(x \sqcap y) \sqcap (\neg x \sqcup \neg y) = \perp$ 
    by (simp add: inf-compl-bot)
next
  have  $(x \sqcap y) \sqcup (\neg x \sqcup \neg y) = (\neg y \sqcup (x \sqcup \neg x)) \sqcap (\neg x \sqcup (y \sqcup \neg y))$ 
    by (simp only: sup-inf-distrib sup-aci)
  then show  $(x \sqcap y) \sqcup (\neg x \sqcup \neg y) = \top$ 
    by (simp add: sup-compl-top)
qed

lemma compl-sup [simp]:
   $\neg(x \sqcup y) = \neg x \sqcap \neg y$ 
proof  $\neg$ 
  interpret boolean-algebra  $\lambda x y. x \sqcup \neg y$  uminus op  $\geq$  op  $>$  op  $\sqcup$  op  $\sqcap$   $\top$   $\perp$ 
    by (rule dual-boolean-algebra)
  then show ?thesis by simp
qed

lemma compl-mono:
   $x \sqsubseteq y \implies \neg y \sqsubseteq \neg x$ 
proof  $\neg$ 
  assume  $x \sqsubseteq y$ 

```

```

then have  $x \sqcup y = y$  by (simp only: le-iff-sup)
then have  $\neg (x \sqcup y) = \neg y$  by simp
then have  $\neg x \sqcap \neg y = \neg y$  by simp
then have  $\neg y \sqcap \neg x = \neg y$  by (simp only: inf-commute)
then show  $\neg y \sqsubseteq \neg x$  by (simp only: le-iff-inf)
qed

```

```

lemma compl-le-compl-iff:
   $\neg x \leq \neg y \iff y \leq x$ 
by (auto dest: compl-mono)

```

```
end
```

5.6 Uniqueness of inf and sup

```

lemma (in semilattice-inf) inf-unique:
  fixes f (infixl  $\triangle$  70)
  assumes le1:  $\bigwedge x y. x \triangle y \sqsubseteq x$  and le2:  $\bigwedge x y. x \triangle y \sqsubseteq y$ 
  and greatest:  $\bigwedge x y z. x \sqsubseteq y \implies x \sqsubseteq z \implies x \sqsubseteq y \triangle z$ 
  shows  $x \sqcap y = x \triangle y$ 
proof (rule antisym)
  show  $x \triangle y \sqsubseteq x \sqcap y$  by (rule le-infI) (rule le1, rule le2)
next
  have leI:  $\bigwedge x y z. x \sqsubseteq y \implies x \sqsubseteq z \implies x \sqsubseteq y \triangle z$  by (blast intro: greatest)
  show  $x \sqcap y \sqsubseteq x \triangle y$  by (rule leI) simp-all
qed

```

```

lemma (in semilattice-sup) sup-unique:
  fixes f (infixl  $\nabla$  70)
  assumes ge1 [simp]:  $\bigwedge x y. x \sqsubseteq x \nabla y$  and ge2:  $\bigwedge x y. y \sqsubseteq x \nabla y$ 
  and least:  $\bigwedge x y z. y \sqsubseteq x \implies z \sqsubseteq x \implies y \nabla z \sqsubseteq x$ 
  shows  $x \sqcup y = x \nabla y$ 
proof (rule antisym)
  show  $x \sqcup y \sqsubseteq x \nabla y$  by (rule le-supI) (rule ge1, rule ge2)
next
  have leI:  $\bigwedge x y z. x \sqsubseteq z \implies y \sqsubseteq z \implies x \nabla y \sqsubseteq z$  by (blast intro: least)
  show  $x \nabla y \sqsubseteq x \sqcup y$  by (rule leI) simp-all
qed

```

5.7 min/max on linear orders as special case of $op \sqcap / op \sqcup$

```
sublocale linorder < min-max!: distrib-lattice less-eq less min max
```

```
proof
```

```

  fix x y z
  show  $\max x (\min y z) = \min (\max x y) (\max x z)$ 
  by (auto simp add: min-def max-def)
qed (auto simp add: min-def max-def not-le less-imp-le)

```

```

lemma inf-min:  $\inf = (\min :: 'a :: \{\text{semilattice-inf, linorder}\} \Rightarrow 'a \Rightarrow 'a)$ 
by (rule ext)+ (auto intro: antisym)

```

lemma *sup-max*: $\text{sup} = (\text{max} :: 'a :: \{\text{semilattice-sup}, \text{linorder}\} \Rightarrow 'a \Rightarrow 'a)$
by (*rule ext*) + (*auto intro: antisym*)

lemmas *le-maxI1* = *min-max.sup-ge1*

lemmas *le-maxI2* = *min-max.sup-ge2*

lemmas *min-ac* = *min-max.inf-assoc min-max.inf-commute*
min-max.inf.left-commute

lemmas *max-ac* = *min-max.sup-assoc min-max.sup-commute*
min-max.sup.left-commute

5.8 Bool as lattice

instantiation *bool* :: *boolean-algebra*
begin

definition
bool-Compl-def: $\text{uminus} = \text{Not}$

definition
bool-diff-def: $A - B \longleftrightarrow A \wedge \neg B$

definition
inf-bool-eq: $P \sqcap Q \longleftrightarrow P \wedge Q$

definition
sup-bool-eq: $P \sqcup Q \longleftrightarrow P \vee Q$

instance proof
qed (*simp-all add: inf-bool-eq sup-bool-eq le-bool-def*
bot-bool-eq top-bool-eq bool-Compl-def bool-diff-def, auto)

end

lemma *sup-boolI1*:
 $P \Longrightarrow P \sqcup Q$
by (*simp add: sup-bool-eq*)

lemma *sup-boolI2*:
 $Q \Longrightarrow P \sqcup Q$
by (*simp add: sup-bool-eq*)

lemma *sup-boolE*:
 $P \sqcup Q \Longrightarrow (P \Longrightarrow R) \Longrightarrow (Q \Longrightarrow R) \Longrightarrow R$
by (*auto simp add: sup-bool-eq*)

5.9 Fun as lattice

instantiation *fun* :: (*type*, *lattice*) *lattice*
begin

definition

inf-fun-eq [*code del*]: $f \sqcap g = (\lambda x. f\ x \sqcap g\ x)$

definition

sup-fun-eq [*code del*]: $f \sqcup g = (\lambda x. f\ x \sqcup g\ x)$

instance proof

qed (*simp-all add: le-fun-def inf-fun-eq sup-fun-eq*)

end

instance *fun* :: (*type*, *distrib-lattice*) *distrib-lattice*

proof

qed (*simp-all add: inf-fun-eq sup-fun-eq sup-inf-distrib1*)

instance *fun* :: (*type*, *bounded-lattice*) *bounded-lattice* ..

instantiation *fun* :: (*type*, *uminus*) *uminus*

begin

definition

fun-Compl-def: $-\ A = (\lambda x. -\ A\ x)$

instance ..

end

instantiation *fun* :: (*type*, *minus*) *minus*

begin

definition

fun-diff-def: $A - B = (\lambda x. A\ x - B\ x)$

instance ..

end

instance *fun* :: (*type*, *boolean-algebra*) *boolean-algebra*

proof

qed (*simp-all add: inf-fun-eq sup-fun-eq bot-fun-eq top-fun-eq fun-Compl-def fun-diff-def
inf-compl-bot sup-compl-top diff-eq*)

no-notation

less-eq (**infix** \sqsubseteq 50) **and**

```

less (infix  $\sqsubset$  50) and
inf (infixl  $\sqcap$  70) and
sup (infixl  $\sqcup$  65) and
top ( $\top$ ) and
bot ( $\perp$ )

end

```

6 Set: Set theory for higher-order logic

```

theory Set
imports Lattices
begin

```

6.1 Sets as predicates

```
global
```

```
types 'a set = 'a => bool
```

```
consts
```

```

Collect      :: ('a => bool) => 'a set      — comprehension
op :         :: 'a => 'a set => bool        — membership

```

```
local
```

```
notation
```

```

op : (op :) and
op : ((-/ : -) [50, 51] 50)

```

```
defs
```

```

mem-def [code]: x : S == S x
Collect-def [code]: Collect P == P

```

```
abbreviation
```

```
not-mem x A ==  $\sim$  (x : A) — non-membership
```

```
notation
```

```

not-mem (op  $\sim$ :) and
not-mem ((-/  $\sim$ : -) [50, 51] 50)

```

```
notation (xsymbols)
```

```

op : (op  $\in$ ) and
op : ((-/  $\in$  -) [50, 51] 50) and
not-mem (op  $\notin$ ) and
not-mem ((-/  $\notin$  -) [50, 51] 50)

```

```
notation (HTML output)
```

```

op : (op ∈) and
op : ((-/ ∈ -) [50, 51] 50) and
not-mem (op ∉) and
not-mem ((-/ ∉ -) [50, 51] 50)

```

Set comprehensions

syntax

```
-Coll :: ptnrn => bool => 'a set  ((1{-./ -})
```

translations

```
{x. P} == CONST Collect (%x. P)
```

syntax

```
-Collect :: idt => 'a set => bool => 'a set  ((1{- ./ -/ -})
```

syntax (*xsymbols*)

```
-Collect :: idt => 'a set => bool => 'a set  ((1{- ∈/ -/ -})
```

translations

```
{x:A. P} => {x. x:A & P}
```

lemma *mem-Collect-eq [iff]*: $(a : \{x. P(x)\}) = P(a)$

by (*simp add: Collect-def mem-def*)

lemma *Collect-mem-eq [simp]*: $\{x. x:A\} = A$

by (*simp add: Collect-def mem-def*)

lemma *CollectI*: $P(a) ==> a : \{x. P(x)\}$

by *simp*

lemma *CollectD*: $a : \{x. P(x)\} ==> P(a)$

by *simp*

lemma *Collect-cong*: $(!!x. P\ x = Q\ x) ==> \{x. P(x)\} = \{x. Q(x)\}$

by *simp*

Simproc for pulling $x=t$ in $\{x. \dots \& x=t \& \dots\}$ to the front (and similarly for $t=x$):

setup \ll

let

```

val Coll-perm-tac = rtac @{thm Collect-cong} 1 THEN rtac @{thm iffI} 1 THEN
  ALLGOALS(EVERY'[REPEAT-DETERM o (etac @{thm conjE}),
    DEPTH-SOLVE-1 o (ares-tac [@{thm conjI}])])

```

```
val defColl-regroup = Simplifier.simproc @{theory}
```

```
  defined Collect [{x. P x & Q x}]
```

```
  (Quantifier1.rearrange-Coll Coll-perm-tac)
```

in

```
Simplifier.map-simpset (fn ss => ss addsimprocs [defColl-regroup])
```

end

\gg

lemmas *CollectE* = *CollectD* [*elim-format*]

Set enumerations

abbreviation *empty* :: 'a set ({}) **where**
 {} \equiv bot

definition *insert* :: 'a \Rightarrow 'a set \Rightarrow 'a set **where**
insert-compr: *insert* a B = {x. x = a \vee x \in B}

syntax

-*Finset* :: args \Rightarrow 'a set ({}(-))

translations

{x, xs} == CONST *insert* x {xs}
 {x} == CONST *insert* x {}

6.2 Subsets and bounded quantifiers

abbreviation

subset :: 'a set \Rightarrow 'a set \Rightarrow bool **where**
subset \equiv less

abbreviation

subset-eq :: 'a set \Rightarrow 'a set \Rightarrow bool **where**
subset-eq \equiv less-eq

notation (output)

subset (op <) **and**
subset ((-/ < -) [50, 51] 50) **and**
subset-eq (op <=) **and**
subset-eq ((-/ <= -) [50, 51] 50)

notation (xsymbols)

subset (op \subset) **and**
subset ((-/ \subset -) [50, 51] 50) **and**
subset-eq (op \subseteq) **and**
subset-eq ((-/ \subseteq -) [50, 51] 50)

notation (HTML output)

subset (op \subset) **and**
subset ((-/ \subset -) [50, 51] 50) **and**
subset-eq (op \subseteq) **and**
subset-eq ((-/ \subseteq -) [50, 51] 50)

abbreviation (input)

supset :: 'a set \Rightarrow 'a set \Rightarrow bool **where**
supset \equiv greater

abbreviation (input)

supset-eq :: 'a set \Rightarrow 'a set \Rightarrow bool **where**
supset-eq \equiv greater-eq

notation (*xsymbols*)

supset (*op* \supset) **and**
supset ((*-*/ \supset *-*) [50, 51] 50) **and**
supset-eq (*op* \supseteq) **and**
supset-eq ((*-*/ \supseteq *-*) [50, 51] 50)

global**consts**

Ball :: '*a set* => ('*a* => *bool*) => *bool* — bounded universal quantifiers

Bex :: '*a set* => ('*a* => *bool*) => *bool* — bounded existential quantifiers

local**defs**

Ball-def: *Ball* *A P* == *ALL* *x*. *x*:*A* --> *P*(*x*)
Bex-def: *Bex* *A P* == *EX* *x*. *x*:*A* & *P*(*x*)

syntax

-Ball :: *pttrn* => '*a set* => *bool* => *bool* ((*3ALL* *-:/* *-*) [0, 0, 10] 10)
-Bex :: *pttrn* => '*a set* => *bool* => *bool* ((*3EX* *-:/* *-*) [0, 0, 10] 10)
-Bex1 :: *pttrn* => '*a set* => *bool* => *bool* ((*3EX!* *-:/* *-*) [0, 0, 10] 10)
-Bleast :: *id* => '*a set* => *bool* => '*a* ((*3LEAST* *-:/* *-*) [0, 0, 10] 10)

syntax (*HOL*)

-Ball :: *pttrn* => '*a set* => *bool* => *bool* ((*3!* *-:/* *-*) [0, 0, 10] 10)
-Bex :: *pttrn* => '*a set* => *bool* => *bool* ((*3?* *-:/* *-*) [0, 0, 10] 10)
-Bex1 :: *pttrn* => '*a set* => *bool* => *bool* ((*3?!* *-:/* *-*) [0, 0, 10] 10)

syntax (*xsymbols*)

-Ball :: *pttrn* => '*a set* => *bool* => *bool* ((*3V* *-€/* *-*) [0, 0, 10] 10)
-Bex :: *pttrn* => '*a set* => *bool* => *bool* ((*3E* *-€/* *-*) [0, 0, 10] 10)
-Bex1 :: *pttrn* => '*a set* => *bool* => *bool* ((*3E!* *-€/* *-*) [0, 0, 10] 10)
-Bleast :: *id* => '*a set* => *bool* => '*a* ((*3LEAST* *-€/* *-*) [0, 0, 10] 10)

syntax (*HTML output*)

-Ball :: *pttrn* => '*a set* => *bool* => *bool* ((*3V* *-€/* *-*) [0, 0, 10] 10)
-Bex :: *pttrn* => '*a set* => *bool* => *bool* ((*3E* *-€/* *-*) [0, 0, 10] 10)
-Bex1 :: *pttrn* => '*a set* => *bool* => *bool* ((*3E!* *-€/* *-*) [0, 0, 10] 10)

translations

ALL *x*:*A*. *P* == *CONST* *Ball* *A* (%*x*. *P*)
EX *x*:*A*. *P* == *CONST* *Bex* *A* (%*x*. *P*)
EX! *x*:*A*. *P* == *EX!* *x*. *x*:*A* & *P*
LEAST *x*:*A*. *P* == *LEAST* *x*. *x*:*A* & *P*

syntax (output)

```

-setlessAll :: [idt, 'a, bool] => bool ((3ALL -<-./ -) [0, 0, 10] 10)
-setlessEx  :: [idt, 'a, bool] => bool ((3EX -<-./ -) [0, 0, 10] 10)
-settleAll  :: [idt, 'a, bool] => bool ((3ALL -<=.-./ -) [0, 0, 10] 10)
-settleEx   :: [idt, 'a, bool] => bool ((3EX -<=.-./ -) [0, 0, 10] 10)
-settleEx1  :: [idt, 'a, bool] => bool ((3EX! -<=.-./ -) [0, 0, 10] 10)

```

syntax (xsymbols)

```

-setlessAll :: [idt, 'a, bool] => bool ((3∀ -C-./ -) [0, 0, 10] 10)
-setlessEx  :: [idt, 'a, bool] => bool ((3∃ -C-./ -) [0, 0, 10] 10)
-settleAll  :: [idt, 'a, bool] => bool ((3∀ -⊆-./ -) [0, 0, 10] 10)
-settleEx   :: [idt, 'a, bool] => bool ((3∃ -⊆-./ -) [0, 0, 10] 10)
-settleEx1  :: [idt, 'a, bool] => bool ((3∃! -⊆-./ -) [0, 0, 10] 10)

```

syntax (HOL output)

```

-setlessAll :: [idt, 'a, bool] => bool ((3! -<-./ -) [0, 0, 10] 10)
-setlessEx  :: [idt, 'a, bool] => bool ((3? -<-./ -) [0, 0, 10] 10)
-settleAll  :: [idt, 'a, bool] => bool ((3! -<=.-./ -) [0, 0, 10] 10)
-settleEx   :: [idt, 'a, bool] => bool ((3? -<=.-./ -) [0, 0, 10] 10)
-settleEx1  :: [idt, 'a, bool] => bool ((3?! -<=.-./ -) [0, 0, 10] 10)

```

syntax (HTML output)

```

-setlessAll :: [idt, 'a, bool] => bool ((3∀ -C-./ -) [0, 0, 10] 10)
-setlessEx  :: [idt, 'a, bool] => bool ((3∃ -C-./ -) [0, 0, 10] 10)
-settleAll  :: [idt, 'a, bool] => bool ((3∀ -⊆-./ -) [0, 0, 10] 10)
-settleEx   :: [idt, 'a, bool] => bool ((3∃ -⊆-./ -) [0, 0, 10] 10)
-settleEx1  :: [idt, 'a, bool] => bool ((3∃! -⊆-./ -) [0, 0, 10] 10)

```

translations

```

∀ A ⊂ B. P  =>  ALL A. A ⊂ B --> P
∃ A ⊂ B. P  =>  EX A. A ⊂ B & P
∀ A ⊆ B. P  =>  ALL A. A ⊆ B --> P
∃ A ⊆ B. P  =>  EX A. A ⊆ B & P
∃! A ⊆ B. P =>  EX! A. A ⊆ B & P

```

print-translation <<

let

```

val Type (set-type, -) = @{typ 'a set}; (* FIXME 'a => bool (!?) *)
val All-binder = Syntax.binder-name @{const-syntax All};
val Ex-binder  = Syntax.binder-name @{const-syntax Ex};
val impl = @{const-syntax op -->};
val conj = @{const-syntax op &};
val sbset = @{const-syntax subset};
val sbset-eq = @{const-syntax subset-eq};

```

val trans =

```

(((All-binder, impl, sbset), @{syntax-const -setlessAll}),
 ((All-binder, impl, sbset-eq), @{syntax-const -settleAll}),

```

```

((Ex-binder, conj, sbset), @{syntax-const -setlessEx}),
((Ex-binder, conj, sbset-eq), @{syntax-const -setleEx}]);

fun mk v v' c n P =
  if v = v' andalso not (Term.exists-subterm (fn Free (x, -) => x = v | - =>
false) n)
  then Syntax.const c $ Syntax.mark-bound v' $ n $ P else raise Match;

fun tr' q = (q,
  fn [Const (@{syntax-const -bound}, -) $ Free (v, Type (T, -)),
    Const (c, -) $
      (Const (d, -) $ (Const (@{syntax-const -bound}, -) $ Free (v', -)) $ n)
  $ P] =>
  if T = set-type then
    (case AList.lookup (op =) trans (q, c, d) of
      NONE => raise Match
    | SOME l => mk v v' l n P)
    else raise Match
  | - => raise Match);
in
  [tr' All-binder, tr' Ex-binder]
end
>>

```

Translate between $\{e \mid x1...xn. P\}$ and $\{u. EX\ x1..xn. u = e \ \& \ P\}$; $\{y. EX\ x1..xn. y = e \ \& \ P\}$ is only translated if $[0..n]$ subset $bvs(e)$.

syntax

-Setcompr :: 'a => idts => bool => 'a set ((1{- |/-/ -}))

parse-translation <<

```

let
  val ex-tr = snd (mk-binder-tr (EX , @{const-syntax Ex}));

  fun nvars (Const (@{syntax-const -idts}, -) $ - $ idts) = nvars idts + 1
    | nvars - = 1;

  fun setcompr-tr [e, idts, b] =
    let
      val eq = Syntax.const @{const-syntax op =} $ Bound (nvars idts) $ e;
      val P = Syntax.const @{const-syntax op &} $ eq $ b;
      val exP = ex-tr [idts, P];
    in Syntax.const @{const-syntax Collect} $ Term.absdummy (dummyT, exP)
    end;

  in [(@{syntax-const -Setcompr}, setcompr-tr)] end;
>>

```

print-translation <<

[Syntax.preserve-binder-abs2-tr' @{const-syntax Ball} @{syntax-const -Ball},

Syntax.preserve-binder-abs2-tr' @{const-syntax Bex} @{syntax-const -Bex}]
 >> — to avoid eta-contraction of body

print-translation <<

let

val ex-tr' = snd (mk-binder-tr' (@{const-syntax Ex}, DUMMY));

fun setcompr-tr' [Abs (abs as (-, -, P))] =

let

fun check (Const (@{const-syntax Ex}, -) \$ Abs (-, -, P), n) = check (P, n + 1)

| check (Const (@{const-syntax op &}, -) \$
 (Const (@{const-syntax op =}, -) \$ Bound m \$ e) \$ P, n) =
 n > 0 andalso m = n andalso not (loose-bvar1 (P, n)) andalso
 subset (op =) (0 upto (n - 1), add-loose-bnos (e, 0, []))
 | check - = false;

fun tr' (- \$ abs) =

let val - \$ idts \$ (- \$ (- \$ - \$ e) \$ Q) = ex-tr' [abs]

in Syntax.const @{syntax-const -Setcompr} \$ e \$ idts \$ Q end;

in

if check (P, 0) then tr' P

else

let

val (x as - \$ Free(xN, -), t) = atomic-abs-tr' abs;

val M = Syntax.const @{syntax-const -Coll} \$ x \$ t;

in

case t of

Const (@{const-syntax op &}, -) \$

(Const (@{const-syntax op :}, -) \$

(Const (@{syntax-const -bound}, -) \$ Free (yN, -)) \$ A) \$ P =>

if xN = yN then Syntax.const @{syntax-const -Collect} \$ x \$ A \$ P else

M

| - => M

end

end;

in [(@{const-syntax Collect}, setcompr-tr')] end;

>>

setup <<

let

val unfold-bex-tac = unfold-tac @{thms Bex-def};

fun prove-bex-tac ss = unfold-bex-tac ss THEN Quantifier1.prove-one-point-ex-tac;

val rearrange-bex = Quantifier1.rearrange-bex prove-bex-tac;

val unfold-ball-tac = unfold-tac @{thms Ball-def};

fun prove-ball-tac ss = unfold-ball-tac ss THEN Quantifier1.prove-one-point-all-tac;

val rearrange-ball = Quantifier1.rearrange-ball prove-ball-tac;

val defBEX-regroup = Simplifier.simproc @{theory}

defined BEX [EX x:A. P x & Q x] rearrange-bex;

```

    val defBALL-regroup = Simplifier.simproc @{theory}
      defined BALL [ALL x:A. P x ==> Q x] rearrange-ball;
  in
    Simplifier.map-simpset (fn ss => ss addsimprocs [defBALL-regroup, defBEX-regroup])
  end
  >>

```

```

lemma ballI [intro!]: (!x. x:A ==> P x) ==> ALL x:A. P x
  by (simp add: Ball-def)

```

```

lemmas strip = impI allI ballI

```

```

lemma bspec [dest?]: ALL x:A. P x ==> x:A ==> P x
  by (simp add: Ball-def)

```

Gives better instantiation for bound:

```

declaration << fn - ==>
  Classical.map-cs (fn cs => cs addbefore (bspec, datac @{thm bspec} 1))
  >>

```

```

ML <<
  structure Simpdata =
  struct

```

```

    open Simpdata;

```

```

    val mksimps-pairs = [(@{const-name Ball}, @{thms bspec})] @ mksimps-pairs;

```

```

    end;

```

```

    open Simpdata;
  >>

```

```

declaration << fn - ==>
  Simplifier.map-ss (fn ss => ss setmksimps (mksimps mksimps-pairs))
  >>

```

```

lemma ballE [elim]: ALL x:A. P x ==> (P x ==> Q) ==> (x ~: A ==> Q)
  ==> Q
  by (unfold Ball-def) blast

```

```

lemma bexI [intro]: P x ==> x:A ==> EX x:A. P x
  — Normally the best argument order: P x constrains the choice of x ∈ A.
  by (unfold Bex-def) blast

```

```

lemma rev-bexI [intro?]: x:A ==> P x ==> EX x:A. P x
  — The best argument order when there is only one x ∈ A.
  by (unfold Bex-def) blast

```

lemma *bexCI*: $(\text{ALL } x:A. \sim P x \implies P a) \implies a:A \implies \text{EX } x:A. P x$
by (*unfold Bex-def*) *blast*

lemma *bexE* [*elim!*]: $\text{EX } x:A. P x \implies (!x. x:A \implies P x \implies Q) \implies Q$
by (*unfold Bex-def*) *blast*

lemma *ball-triv* [*simp*]: $(\text{ALL } x:A. P) = ((\text{EX } x. x:A) \dashv\vdash P)$
 — Trivial rewrite rule.
by (*simp add: Ball-def*)

lemma *bex-triv* [*simp*]: $(\text{EX } x:A. P) = ((\text{EX } x. x:A) \& P)$
 — Dual form for existentials.
by (*simp add: Bex-def*)

lemma *bex-triv-one-point1* [*simp*]: $(\text{EX } x:A. x = a) = (a:A)$
by *blast*

lemma *bex-triv-one-point2* [*simp*]: $(\text{EX } x:A. a = x) = (a:A)$
by *blast*

lemma *bex-one-point1* [*simp*]: $(\text{EX } x:A. x = a \& P x) = (a:A \& P a)$
by *blast*

lemma *bex-one-point2* [*simp*]: $(\text{EX } x:A. a = x \& P x) = (a:A \& P a)$
by *blast*

lemma *ball-one-point1* [*simp*]: $(\text{ALL } x:A. x = a \dashv\vdash P x) = (a:A \dashv\vdash P a)$
by *blast*

lemma *ball-one-point2* [*simp*]: $(\text{ALL } x:A. a = x \dashv\vdash P x) = (a:A \dashv\vdash P a)$
by *blast*

Congruence rules

lemma *ball-cong*:
 $A = B \implies (!x. x:B \implies P x = Q x) \implies$
 $(\text{ALL } x:A. P x) = (\text{ALL } x:B. Q x)$
by (*simp add: Ball-def*)

lemma *strong-ball-cong* [*cong*]:
 $A = B \implies (!x. x:B \implies P x = Q x) \implies$
 $(\text{ALL } x:A. P x) = (\text{ALL } x:B. Q x)$
by (*simp add: simp-implies-def Ball-def*)

lemma *bex-cong*:
 $A = B \implies (!x. x:B \implies P x = Q x) \implies$
 $(\text{EX } x:A. P x) = (\text{EX } x:B. Q x)$
by (*simp add: Bex-def cong: conj-cong*)

lemma *strong-bex-cong* [*cong*]:

$A = B ==> (!!x. x:B =simp==> P\ x = Q\ x) ==>$
 $(EX\ x:A. P\ x) = (EX\ x:B. Q\ x)$
by (*simp add: simp-implies-def Bex-def cong: conj-cong*)

6.3 Basic operations

6.3.1 Subsets

lemma *subsetI* [*intro!*]: $(\bigwedge x. x \in A \implies x \in B) \implies A \subseteq B$
unfolding *mem-def* **by** (*rule le-funI, rule le-boolI*)

Map the type '*a set* => *anything*' to just '*a*'; for overloading constants whose first argument has type '*a set*'.

lemma *subsetD* [*elim, intro?*]: $A \subseteq B ==> c \in A ==> c \in B$
unfolding *mem-def* **by** (*erule le-funE, erule le-boolE*)
 — Rule in Modus Ponens style.

lemma *rev-subsetD* [*no-atp,intro?*]: $c \in A ==> A \subseteq B ==> c \in B$
 — The same, with reversed premises for use with *erule* – cf *rev-mp*.
by (*rule subsetD*)

Converts $A \subseteq B$ to $x \in A \implies x \in B$.

lemma *subsetCE* [*no-atp,elim*]: $A \subseteq B ==> (c \notin A ==> P) ==> (c \in B ==> P) ==> P$
 — Classical elimination rule.
unfolding *mem-def* **by** (*blast dest: le-funE le-boolE*)

lemma *subset-eq* [*no-atp*]: $A \leq B = (\forall x \in A. x \in B)$ **by** *blast*

lemma *contra-subsetD* [*no-atp*]: $A \subseteq B ==> c \notin B ==> c \notin A$
by *blast*

lemma *subset-refl* [*simp*]: $A \subseteq A$
by (*fact order-refl*)

lemma *subset-trans*: $A \subseteq B ==> B \subseteq C ==> A \subseteq C$
by (*fact order-trans*)

lemma *set-rev-mp*: $x:A ==> A \subseteq B ==> x:B$
by (*rule subsetD*)

lemma *set-mp*: $A \subseteq B ==> x:A ==> x:B$
by (*rule subsetD*)

lemma *eq-mem-trans*: $a=b ==> b \in A ==> a \in A$
by *simp*

lemmas *basic-trans-rules* [*trans*] =
order-trans-rules set-rev-mp set-mp eq-mem-trans

6.3.2 Equality

lemma *set-ext*: **assumes** *prem*: $(!!x. (x:A) = (x:B))$ **shows** $A = B$
apply (*rule* *prem* [*THEN ext*, *THEN arg-cong*, *THEN box-equals*])
apply (*rule Collect-mem-eq*)
apply (*rule Collect-mem-eq*)
done

lemma *expand-set-eq*: $(A = B) = (ALL\ x. (x:A) = (x:B))$
by(*auto intro:set-ext*)

lemma *subset-antisym* [*intro!*]: $A \subseteq B ==> B \subseteq A ==> A = B$
— Anti-symmetry of the subset relation.
by (*iprover intro: set-ext subsetD*)

Equality rules from ZF set theory – are they appropriate here?

lemma *equalityD1*: $A = B ==> A \subseteq B$
by *simp*

lemma *equalityD2*: $A = B ==> B \subseteq A$
by *simp*

Be careful when adding this to the claset as *subset-empty* is in the simpset:
 $A = \{\}$ goes to $\{\} \subseteq A$ and $A \subseteq \{\}$ and then back to $A = \{\}$!

lemma *equalityE*: $A = B ==> (A \subseteq B ==> B \subseteq A ==> P) ==> P$
by *simp*

lemma *equalityCE* [*elim*]:
 $A = B ==> (c \in A ==> c \in B ==> P) ==> (c \notin A ==> c \notin B ==> P)$
 $==> P$
by *blast*

lemma *eqset-imp-iff*: $A = B ==> (x : A) = (x : B)$
by *simp*

lemma *equelem-imp-iff*: $x = y ==> (x : A) = (y : A)$
by *simp*

6.3.3 The universal set – UNIV

abbreviation *UNIV* :: 'a set **where**
 $UNIV \equiv top$

lemma *UNIV-def*:
 $UNIV = \{x. True\}$
by (*simp add: top-fun-eq top-bool-eq Collect-def*)

lemma *UNIV-I* [*simp*]: $x : UNIV$

by (*simp add: UNIV-def*)

declare *UNIV-I* [*intro*] — unsafe makes it less likely to cause problems

lemma *UNIV-witness* [*intro?*]: $EX\ x. x : UNIV$
by *simp*

lemma *subset-UNIV* [*simp*]: $A \subseteq UNIV$
by (*rule subsetI*) (*rule UNIV-I*)

Eta-contracting these two rules (to remove P) causes them to be ignored because of their interaction with congruence rules.

lemma *ball-UNIV* [*simp*]: $Ball\ UNIV\ P = All\ P$
by (*simp add: Ball-def*)

lemma *bex-UNIV* [*simp*]: $Bex\ UNIV\ P = Ex\ P$
by (*simp add: Bex-def*)

lemma *UNIV-eq-I*: $(\bigwedge x. x \in A) \implies UNIV = A$
by *auto*

6.3.4 The empty set

lemma *empty-def*:
 $\{\} = \{x. False\}$
by (*simp add: bot-fun-eq bot-bool-eq Collect-def*)

lemma *empty-iff* [*simp*]: $(c : \{\}) = False$
by (*simp add: empty-def*)

lemma *emptyE* [*elim!*]: $a : \{\} \implies P$
by *simp*

lemma *empty-subsetI* [*iff*]: $\{\} \subseteq A$
 — One effect is to delete the ASSUMPTION $\{\} \subseteq A$
by *blast*

lemma *equals0I*: $(!!y. y \in A \implies False) \implies A = \{\}$
by *blast*

lemma *equals0D*: $A = \{\} \implies a \notin A$
 — Use for reasoning about disjointness: $A\ Int\ B = \{\}$
by *blast*

lemma *ball-empty* [*simp*]: $Ball\ \{\}\ P = True$
by (*simp add: Ball-def*)

lemma *bex-empty* [*simp*]: $Bex\ \{\}\ P = False$
by (*simp add: Bex-def*)

lemma *UNIV-not-empty* [iff]: $UNIV \sim = \{\}$
by (*blast elim: equalityE*)

6.3.5 The Powerset operator – Pow

definition *Pow* :: 'a set => 'a set set **where**
Pow-def: $Pow\ A = \{B. B \leq A\}$

lemma *Pow-iff* [iff]: $(A \in Pow\ B) = (A \subseteq B)$
by (*simp add: Pow-def*)

lemma *PowI*: $A \subseteq B ==> A \in Pow\ B$
by (*simp add: Pow-def*)

lemma *PowD*: $A \in Pow\ B ==> A \subseteq B$
by (*simp add: Pow-def*)

lemma *Pow-bottom*: $\{\} \in Pow\ B$
by *simp*

lemma *Pow-top*: $A \in Pow\ A$
by *simp*

6.3.6 Set complement

lemma *Compl-iff* [simp]: $(c \in -A) = (c \notin A)$
by (*simp add: mem-def fun-Compl-def bool-Compl-def*)

lemma *ComplI* [intro!]: $(c \in A ==> False) ==> c \in -A$
by (*unfold mem-def fun-Compl-def bool-Compl-def*) *blast*

This form, with negated conclusion, works well with the Classical prover. Negated assumptions behave like formulae on the right side of the notional turnstile ...

lemma *ComplD* [dest!]: $c : -A ==> c \sim A$
by (*simp add: mem-def fun-Compl-def bool-Compl-def*)

lemmas *ComplE* = *ComplD* [elim-format]

lemma *Compl-eq*: $-A = \{x. \sim x : A\}$ **by** *blast*

6.3.7 Binary union – Un

abbreviation *union* :: 'a set => 'a set => 'a set (**infixl** *Un* 65) **where**
op Un $\equiv sup$

notation (*xsymbols*)
union (**infixl** \cup 65)

notation (*HTML output*)

union (**infixl** \cup 65)

lemma *Un-def*:

$A \cup B = \{x. x \in A \vee x \in B\}$

by (*simp add: sup-fun-eq sup-bool-eq Collect-def mem-def*)

lemma *Un-iff* [*simp*]: $(c : A \text{ Un } B) = (c:A \mid c:B)$

by (*unfold Un-def*) *blast*

lemma *UnI1* [*elim?*]: $c:A \implies c : A \text{ Un } B$

by *simp*

lemma *UnI2* [*elim?*]: $c:B \implies c : A \text{ Un } B$

by *simp*

Classical introduction rule: no commitment to A vs B .

lemma *UnCI* [*intro!*]: $(c\sim:B \implies c:A) \implies c : A \text{ Un } B$

by *auto*

lemma *UnE* [*elim!*]: $c : A \text{ Un } B \implies (c:A \implies P) \implies (c:B \implies P) \implies P$

by (*unfold Un-def*) *blast*

lemma *insert-def*: $\text{insert } a \ B = \{x. x = a\} \cup B$

by (*simp add: Collect-def mem-def insert-compr Un-def*)

lemma *mono-Un*: $\text{mono } f \implies f \ A \cup f \ B \subseteq f \ (A \cup B)$

by (*fact mono-sup*)

6.3.8 Binary intersection – Int

abbreviation *inter* :: $'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set}$ (**infixl** *Int* 70) **where**

op Int \equiv *inf*

notation (*xsymbols*)

inter (**infixl** \cap 70)

notation (*HTML output*)

inter (**infixl** \cap 70)

lemma *Int-def*:

$A \cap B = \{x. x \in A \wedge x \in B\}$

by (*simp add: inf-fun-eq inf-bool-eq Collect-def mem-def*)

lemma *Int-iff* [*simp*]: $(c : A \text{ Int } B) = (c:A \ \& \ c:B)$

by (*unfold Int-def*) *blast*

lemma *IntI* [*intro!*]: $c:A \implies c:B \implies c : A \text{ Int } B$
by *simp*

lemma *IntD1*: $c : A \text{ Int } B \implies c:A$
by *simp*

lemma *IntD2*: $c : A \text{ Int } B \implies c:B$
by *simp*

lemma *IntE* [*elim!*]: $c : A \text{ Int } B \implies (c:A \implies c:B \implies P) \implies P$
by *simp*

lemma *mono-Int*: $\text{mono } f \implies f (A \cap B) \subseteq f A \cap f B$
by (*fact mono-inf*)

6.3.9 Set difference

lemma *Diff-iff* [*simp*]: $(c : A - B) = (c:A \ \& \ c\sim:B)$
by (*simp add: mem-def fun-diff-def bool-diff-def*)

lemma *DiffI* [*intro!*]: $c : A \implies c \sim : B \implies c : A - B$
by *simp*

lemma *DiffD1*: $c : A - B \implies c : A$
by *simp*

lemma *DiffD2*: $c : A - B \implies c : B \implies P$
by *simp*

lemma *DiffE* [*elim!*]: $c : A - B \implies (c:A \implies c\sim:B \implies P) \implies P$
by *simp*

lemma *set-diff-eq*: $A - B = \{x. x : A \ \& \ \sim x : B\}$ **by** *blast*

lemma *Compl-eq-Diff-UNIV*: $\neg A = (UNIV - A)$
by *blast*

6.3.10 Augmenting a set – insert

lemma *insert-iff* [*simp*]: $(a : \text{insert } b A) = (a = b \mid a:A)$
by (*unfold insert-def*) *blast*

lemma *insertI1*: $a : \text{insert } a B$
by *simp*

lemma *insertI2*: $a : B \implies a : \text{insert } b B$
by *simp*

lemma *insertE* [*elim!*]: $a : \text{insert } b A \implies (a = b \implies P) \implies (a:A \implies P) \implies P$

by (*unfold insert-def*) *blast*

lemma *insertCI* [*intro!*]: $(a \sim B \implies a = b) \implies a : \text{insert } b \ B$
 — Classical introduction rule.
by *auto*

lemma *subset-insert-iff*: $(A \subseteq \text{insert } x \ B) = (\text{if } x:A \text{ then } A - \{x\} \subseteq B \text{ else } A \subseteq B)$
by *auto*

lemma *set-insert*:
 assumes $x \in A$
 obtains B where $A = \text{insert } x \ B$ and $x \notin B$
proof
 from *assms* show $A = \text{insert } x \ (A - \{x\})$ **by** *blast*
next
 show $x \notin A - \{x\}$ **by** *blast*
qed

lemma *insert-ident*: $x \sim A \implies x \sim B \implies (\text{insert } x \ A = \text{insert } x \ B) = (A = B)$
by *auto*

6.3.11 Singletons, using insert

lemma *singletonI* [*intro!,no-atp*]: $a : \{a\}$
 — Redundant? But unlike *insertCI*, it proves the subgoal immediately!
by (*rule insertI1*)

lemma *singletonD* [*dest!,no-atp*]: $b : \{a\} \implies b = a$
by *blast*

lemmas *singletonE* = *singletonD* [*elim-format*]

lemma *singleton-iff*: $(b : \{a\}) = (b = a)$
by *blast*

lemma *singleton-inject* [*dest!*]: $\{a\} = \{b\} \implies a = b$
by *blast*

lemma *singleton-insert-inj-eq* [*iff,no-atp*]:
 $(\{b\} = \text{insert } a \ A) = (a = b \ \& \ A \subseteq \{b\})$
by *blast*

lemma *singleton-insert-inj-eq'* [*iff,no-atp*]:
 $(\text{insert } a \ A = \{b\}) = (a = b \ \& \ A \subseteq \{b\})$
by *blast*

lemma *subset-singletonD*: $A \subseteq \{x\} \implies A = \{\} \mid A = \{x\}$

by *fast*

lemma *singleton-conv* [*simp*]: $\{x. x = a\} = \{a\}$
by *blast*

lemma *singleton-conv2* [*simp*]: $\{x. a = x\} = \{a\}$
by *blast*

lemma *diff-single-insert*: $A - \{x\} \subseteq B \implies x \in A \implies A \subseteq \text{insert } x B$
by *blast*

lemma *doubleton-eq-iff*: $(\{a, b\} = \{c, d\}) = (a=c \ \& \ b=d \mid a=d \ \& \ b=c)$
by (*blast elim: equalityE*)

6.3.12 Image of a set under a function

Frequently b does not have the syntactic form of $f x$.

definition *image* :: $('a \Rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow 'b \text{ set}$ (*infixr* ‘90) **where**
image-def [*no-atp*]: $f \text{ ‘ } A = \{y. \text{EX } x:A. y = f(x)\}$

abbreviation

range :: $('a \Rightarrow 'b) \Rightarrow 'b \text{ set}$ **where** — of function
range $f == f \text{ ‘ } \text{UNIV}$

lemma *image-eqI* [*simp, intro*]: $b = f x \implies x:A \implies b : f \text{ ‘ } A$
by (*unfold image-def*) *blast*

lemma *imageI*: $x : A \implies f x : f \text{ ‘ } A$
by (*rule image-eqI*) (*rule refl*)

lemma *rev-image-eqI*: $x:A \implies b = f x \implies b : f \text{ ‘ } A$
— This version’s more effective when we already have the required x .
by (*unfold image-def*) *blast*

lemma *imageE* [*elim!*]:
 $b : (\%x. f x) \text{ ‘ } A \implies (!x. b = f x \implies x:A \implies P) \implies P$
— The eta-expansion gives variable-name preservation.
by (*unfold image-def*) *blast*

lemma *image-Un*: $f \text{ ‘ } (A \text{ Un } B) = f \text{ ‘ } A \text{ Un } f \text{ ‘ } B$
by *blast*

lemma *image-iff*: $(z : f \text{ ‘ } A) = (\text{EX } x:A. z = f x)$
by *blast*

lemma *image-subset-iff*: $(f \text{ ‘ } A \subseteq B) = (\forall x \in A. f x \in B)$
— This rewrite rule would confuse users if made default.
by *blast*

lemma *subset-image-iff*: $(B \subseteq f^*A) = (EX\ AA.\ AA \subseteq A \ \& \ B = f^*AA)$
apply *safe*
prefer 2 **apply** *fast*
apply (*rule-tac* $x = \{a.\ a : A \ \& \ f\ a : B\}$ **in** *exI*, *fast*)
done

lemma *image-subsetI*: $(!!x.\ x \in A ==> f\ x \in B) ==> f^*A \subseteq B$
 — Replaces the three steps *subsetI*, *imageE*, *hypsubst*, but breaks too many existing proofs.
by *blast*

Range of a function – just a translation for image!

lemma *range-eqI*: $b = f\ x ==> b \in \text{range}\ f$
by *simp*

lemma *rangeI*: $f\ x \in \text{range}\ f$
by *simp*

lemma *rangeE* [*elim?*]: $b \in \text{range}\ (\lambda x.\ f\ x) ==> (!!x.\ b = f\ x ==> P) ==> P$
by *blast*

6.3.13 Some rules with *if*

Elimination of $\{x.\ \dots \ \& \ x=t \ \& \ \dots\}$.

lemma *Collect-conv-if*: $\{x.\ x=a \ \& \ P\ x\} = (\text{if}\ P\ a\ \text{then}\ \{a\}\ \text{else}\ \{\})$
by *auto*

lemma *Collect-conv-if2*: $\{x.\ a=x \ \& \ P\ x\} = (\text{if}\ P\ a\ \text{then}\ \{a\}\ \text{else}\ \{\})$
by *auto*

Rewrite rules for boolean case-splitting: faster than *split-if* [*split*].

lemma *split-if-eq1*: $((\text{if}\ Q\ \text{then}\ x\ \text{else}\ y) = b) = ((Q \longrightarrow x = b) \ \& \ (\sim Q \longrightarrow y = b))$
by (*rule split-if*)

lemma *split-if-eq2*: $(a = (\text{if}\ Q\ \text{then}\ x\ \text{else}\ y)) = ((Q \longrightarrow a = x) \ \& \ (\sim Q \longrightarrow a = y))$
by (*rule split-if*)

Split ifs on either side of the membership relation. Not for [*simp*] – can cause goals to blow up!

lemma *split-if-mem1*: $((\text{if}\ Q\ \text{then}\ x\ \text{else}\ y) : b) = ((Q \longrightarrow x : b) \ \& \ (\sim Q \longrightarrow y : b))$
by (*rule split-if*)

lemma *split-if-mem2*: $(a : (\text{if}\ Q\ \text{then}\ x\ \text{else}\ y)) = ((Q \longrightarrow a : x) \ \& \ (\sim Q \longrightarrow a : y))$

by (*rule split-if* [**where** $P = \%S. a : S$])

lemmas *split-ifs* = *if-bool-eq-conj split-if-eq1 split-if-eq2 split-if-mem1 split-if-mem2*

6.4 Further operations and lemmas

6.4.1 The “proper subset” relation

lemma *psubsetI* [*intro!,no-atp*]: $A \subseteq B \implies A \neq B \implies A \subset B$
by (*unfold less-le*) *blast*

lemma *psubsetE* [*elim!,no-atp*]:
 $[|A \subset B; [|A \subseteq B; \sim (B \subseteq A)|] \implies R|] \implies R$
by (*unfold less-le*) *blast*

lemma *psubset-insert-iff*:
 $(A \subset \text{insert } x \ B) = (\text{if } x \in B \text{ then } A \subset B \text{ else if } x \in A \text{ then } A - \{x\} \subset B \text{ else } A \subseteq B)$
by (*auto simp add: less-le subset-insert-iff*)

lemma *psubset-eq*: $(A \subset B) = (A \subseteq B \ \& \ A \neq B)$
by (*simp only: less-le*)

lemma *psubset-imp-subset*: $A \subset B \implies A \subseteq B$
by (*simp add: psubset-eq*)

lemma *psubset-trans*: $[|A \subset B; B \subset C|] \implies A \subset C$
apply (*unfold less-le*)
apply (*auto dest: subset-antisym*)
done

lemma *psubsetD*: $[|A \subset B; c \in A|] \implies c \in B$
apply (*unfold less-le*)
apply (*auto dest: subsetD*)
done

lemma *psubset-subset-trans*: $A \subset B \implies B \subseteq C \implies A \subset C$
by (*auto simp add: psubset-eq*)

lemma *subset-psubset-trans*: $A \subseteq B \implies B \subset C \implies A \subset C$
by (*auto simp add: psubset-eq*)

lemma *psubset-imp-ex-mem*: $A \subset B \implies \exists b. b \in (B - A)$
by (*unfold less-le*) *blast*

lemma *atomize-ball*:
 $(!!x. x \in A \implies P \ x) == \text{Trueprop } (\forall x \in A. P \ x)$
by (*simp only: Ball-def atomize-all atomize-imp*)

lemmas [*symmetric, rulify*] = *atomize-ball*

and $[symmetric, defn] = atomize-ball$

6.4.2 Derived rules involving subsets.

insert.

lemma *subset-insertI*: $B \subseteq insert\ a\ B$
by (*rule subsetI*) (*erule insertI2*)

lemma *subset-insertI2*: $A \subseteq B \implies A \subseteq insert\ b\ B$
by *blast*

lemma *subset-insert*: $x \notin A \implies (A \subseteq insert\ x\ B) = (A \subseteq B)$
by *blast*

Finite Union – the least upper bound of two sets.

lemma *Un-upper1*: $A \subseteq A \cup B$
by (*fact sup-ge1*)

lemma *Un-upper2*: $B \subseteq A \cup B$
by (*fact sup-ge2*)

lemma *Un-least*: $A \subseteq C \implies B \subseteq C \implies A \cup B \subseteq C$
by (*fact sup-least*)

Finite Intersection – the greatest lower bound of two sets.

lemma *Int-lower1*: $A \cap B \subseteq A$
by (*fact inf-le1*)

lemma *Int-lower2*: $A \cap B \subseteq B$
by (*fact inf-le2*)

lemma *Int-greatest*: $C \subseteq A \implies C \subseteq B \implies C \subseteq A \cap B$
by (*fact inf-greatest*)

Set difference.

lemma *Diff-subset*: $A - B \subseteq A$
by *blast*

lemma *Diff-subset-conv*: $(A - B \subseteq C) = (A \subseteq B \cup C)$
by *blast*

6.4.3 Equalities involving union, intersection, inclusion, etc.

$\{\}$.

lemma *Collect-const* [*simp*]: $\{s. P\} = (if\ P\ then\ UNIV\ else\ \{\})$
 — supersedes *Collect-False-empty*

by *auto*

lemma *subset-empty* [simp]: $(A \subseteq \{\}) = (A = \{\})$
by *blast*

lemma *not-psubset-empty* [iff]: $\neg (A < \{\})$
by (*unfold less-le*) *blast*

lemma *Collect-empty-eq* [simp]: $(\text{Collect } P = \{\}) = (\forall x. \neg P x)$
by *blast*

lemma *empty-Collect-eq* [simp]: $(\{\} = \text{Collect } P) = (\forall x. \neg P x)$
by *blast*

lemma *Collect-neg-eq*: $\{x. \neg P x\} = - \{x. P x\}$
by *blast*

lemma *Collect-disj-eq*: $\{x. P x \mid Q x\} = \{x. P x\} \cup \{x. Q x\}$
by *blast*

lemma *Collect-imp-eq*: $\{x. P x \longrightarrow Q x\} = -\{x. P x\} \cup \{x. Q x\}$
by *blast*

lemma *Collect-conj-eq*: $\{x. P x \ \& \ Q x\} = \{x. P x\} \cap \{x. Q x\}$
by *blast*

insert.

lemma *insert-is-Un*: $\text{insert } a \ A = \{a\} \ \text{Un } A$
— NOT SUITABLE FOR REWRITING since $\{a\} == \text{insert } a \ \{\}$
by *blast*

lemma *insert-not-empty* [simp]: $\text{insert } a \ A \neq \{\}$
by *blast*

lemmas *empty-not-insert* = *insert-not-empty* [*symmetric, standard*]
declare *empty-not-insert* [simp]

lemma *insert-absorb*: $a \in A ==> \text{insert } a \ A = A$
— [simp] causes recursive calls when there are nested inserts
— with *quadratic* running time
by *blast*

lemma *insert-absorb2* [simp]: $\text{insert } x \ (\text{insert } x \ A) = \text{insert } x \ A$
by *blast*

lemma *insert-commute*: $\text{insert } x \ (\text{insert } y \ A) = \text{insert } y \ (\text{insert } x \ A)$
by *blast*

lemma *insert-subset* [simp]: $(\text{insert } x \ A \subseteq B) = (x \in B \ \& \ A \subseteq B)$

by *blast*

lemma *mk-disjoint-insert*: $a \in A \implies \exists B. A = \text{insert } a B \ \& \ a \notin B$
 — use new B rather than $A - \{a\}$ to avoid infinite unfolding
apply (*rule-tac* $x = A - \{a\}$ **in** *exI*, *blast*)
done

lemma *insert-Collect*: $\text{insert } a (\text{Collect } P) = \{u. u \neq a \longrightarrow P u\}$
by *auto*

lemma *insert-inter-insert*[*simp*]: $\text{insert } a A \cap \text{insert } a B = \text{insert } a (A \cap B)$
by *blast*

lemma *insert-disjoint* [*simp*,*no-atp*]:
 $(\text{insert } a A \cap B = \{\}) = (a \notin B \wedge A \cap B = \{\})$
 $(\{\} = \text{insert } a A \cap B) = (a \notin B \wedge \{\} = A \cap B)$
by *auto*

lemma *disjoint-insert* [*simp*,*no-atp*]:
 $(B \cap \text{insert } a A = \{\}) = (a \notin B \wedge B \cap A = \{\})$
 $(\{\} = A \cap \text{insert } b B) = (b \notin A \wedge \{\} = A \cap B)$
by *auto*

image.

lemma *image-empty* [*simp*]: $f' \{\} = \{\}$
by *blast*

lemma *image-insert* [*simp*]: $f' \text{insert } a B = \text{insert } (f a) (f' B)$
by *blast*

lemma *image-constant*: $x \in A \implies (\lambda x. c)' A = \{c\}$
by *auto*

lemma *image-constant-conv*: $(\%x. c)' A = (\text{if } A = \{\} \text{ then } \{\} \text{ else } \{c\})$
by *auto*

lemma *image-image*: $f' (g' A) = (\lambda x. f (g x))' A$
by *blast*

lemma *insert-image* [*simp*]: $x \in A \implies \text{insert } (f x) (f' A) = f' A$
by *blast*

lemma *image-is-empty* [*iff*]: $(f' A = \{\}) = (A = \{\})$
by *blast*

lemma *empty-is-image*[*iff*]: $(\{\} = f' A) = (A = \{\})$
by *blast*

lemma *image-Collect* [no-atp]: $f \cdot \{x. P\ x\} = \{f\ x \mid x. P\ x\}$

— NOT suitable as a default simp rule: the RHS isn’t simpler than the LHS, with its implicit quantifier and conjunction. Also image enjoys better equational properties than does the RHS.

by *blast*

lemma *if-image-distrib* [simp]:

$(\lambda x. \text{if } P\ x \text{ then } f\ x \text{ else } g\ x) \cdot S$
 $= (f \cdot (S \cap \{x. P\ x\})) \cup (g \cdot (S \cap \{x. \neg P\ x\}))$

by (*auto simp add: image-def*)

lemma *image-cong*: $M = N \implies (!x. x \in N \implies f\ x = g\ x) \implies f \cdot M = g \cdot N$

by (*simp add: image-def*)

range.

lemma *full-SetCompr-eq* [no-atp]: $\{u. \exists x. u = f\ x\} = \text{range } f$

by *auto*

lemma *range-composition*: $\text{range } (\lambda x. f\ (g\ x)) = f \cdot \text{range } g$

by (*subst image-image, simp*)

Int

lemma *Int-absorb* [simp]: $A \cap A = A$

by (*fact inf-idem*)

lemma *Int-left-absorb*: $A \cap (A \cap B) = A \cap B$

by (*fact inf-left-idem*)

lemma *Int-commute*: $A \cap B = B \cap A$

by (*fact inf-commute*)

lemma *Int-left-commute*: $A \cap (B \cap C) = B \cap (A \cap C)$

by (*fact inf-left-commute*)

lemma *Int-assoc*: $(A \cap B) \cap C = A \cap (B \cap C)$

by (*fact inf-assoc*)

lemmas *Int-ac = Int-assoc Int-left-absorb Int-commute Int-left-commute*

— Intersection is an AC-operator

lemma *Int-absorb1*: $B \subseteq A \implies A \cap B = B$

by (*fact inf-absorb2*)

lemma *Int-absorb2*: $A \subseteq B \implies A \cap B = A$

by (*fact inf-absorb1*)

lemma *Int-empty-left* [simp]: $\{\} \cap B = \{\}$

by (*fact inf-bot-left*)

lemma *Int-empty-right* [simp]: $A \cap \{\} = \{\}$
by (fact *inf-bot-right*)

lemma *disjoint-eq-subset-Compl*: $(A \cap B = \{\}) = (A \subseteq -B)$
by *blast*

lemma *disjoint-iff-not-equal*: $(A \cap B = \{\}) = (\forall x \in A. \forall y \in B. x \neq y)$
by *blast*

lemma *Int-UNIV-left* [simp]: $UNIV \cap B = B$
by (fact *inf-top-left*)

lemma *Int-UNIV-right* [simp]: $A \cap UNIV = A$
by (fact *inf-top-right*)

lemma *Int-Un-distrib*: $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$
by (fact *inf-sup-distrib1*)

lemma *Int-Un-distrib2*: $(B \cup C) \cap A = (B \cap A) \cup (C \cap A)$
by (fact *inf-sup-distrib2*)

lemma *Int-UNIV* [simp, no-atp]: $(A \cap B = UNIV) = (A = UNIV \ \& \ B = UNIV)$
by (fact *inf-eq-top-iff*)

lemma *Int-subset-iff* [simp]: $(C \subseteq A \cap B) = (C \subseteq A \ \& \ C \subseteq B)$
by (fact *le-inf-iff*)

lemma *Int-Collect*: $(x \in A \cap \{x. P \ x\}) = (x \in A \ \& \ P \ x)$
by *blast*

Un.

lemma *Un-absorb* [simp]: $A \cup A = A$
by (fact *sup-idem*)

lemma *Un-left-absorb*: $A \cup (A \cup B) = A \cup B$
by (fact *sup-left-idem*)

lemma *Un-commute*: $A \cup B = B \cup A$
by (fact *sup-commute*)

lemma *Un-left-commute*: $A \cup (B \cup C) = B \cup (A \cup C)$
by (fact *sup-left-commute*)

lemma *Un-assoc*: $(A \cup B) \cup C = A \cup (B \cup C)$
by (fact *sup-assoc*)

lemmas *Un-ac = Un-assoc Un-left-absorb Un-commute Un-left-commute*
 — Union is an AC-operator

lemma *Un-absorb1*: $A \subseteq B \implies A \cup B = B$
by (*fact sup-absorb2*)

lemma *Un-absorb2*: $B \subseteq A \implies A \cup B = A$
by (*fact sup-absorb1*)

lemma *Un-empty-left [simp]*: $\{\} \cup B = B$
by (*fact sup-bot-left*)

lemma *Un-empty-right [simp]*: $A \cup \{\} = A$
by (*fact sup-bot-right*)

lemma *Un-UNIV-left [simp]*: $UNIV \cup B = UNIV$
by (*fact sup-top-left*)

lemma *Un-UNIV-right [simp]*: $A \cup UNIV = UNIV$
by (*fact sup-top-right*)

lemma *Un-insert-left [simp]*: $(\text{insert } a \ B) \cup C = \text{insert } a \ (B \cup C)$
by *blast*

lemma *Un-insert-right [simp]*: $A \cup (\text{insert } a \ B) = \text{insert } a \ (A \cup B)$
by *blast*

lemma *Int-insert-left*:
 $(\text{insert } a \ B) \text{ Int } C = (\text{if } a \in C \text{ then } \text{insert } a \ (B \cap C) \text{ else } B \cap C)$
by *auto*

lemma *Int-insert-left-if0 [simp]*:
 $a \notin C \implies (\text{insert } a \ B) \text{ Int } C = B \cap C$
by *auto*

lemma *Int-insert-left-if1 [simp]*:
 $a \in C \implies (\text{insert } a \ B) \text{ Int } C = \text{insert } a \ (B \text{ Int } C)$
by *auto*

lemma *Int-insert-right*:
 $A \cap (\text{insert } a \ B) = (\text{if } a \in A \text{ then } \text{insert } a \ (A \cap B) \text{ else } A \cap B)$
by *auto*

lemma *Int-insert-right-if0 [simp]*:
 $a \notin A \implies A \text{ Int } (\text{insert } a \ B) = A \text{ Int } B$
by *auto*

lemma *Int-insert-right-if1 [simp]*:
 $a \in A \implies A \text{ Int } (\text{insert } a \ B) = \text{insert } a \ (A \text{ Int } B)$
by *auto*

lemma *Un-Int-distrib*: $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$
by (*fact sup-inf-distrib1*)

lemma *Un-Int-distrib2*: $(B \cap C) \cup A = (B \cup A) \cap (C \cup A)$
by (*fact sup-inf-distrib2*)

lemma *Un-Int-crazy*:
 $(A \cap B) \cup (B \cap C) \cup (C \cap A) = (A \cup B) \cap (B \cup C) \cap (C \cup A)$
by *blast*

lemma *subset-Un-eq*: $(A \subseteq B) = (A \cup B = B)$
by (*fact le-iff-sup*)

lemma *Un-empty [iff]*: $(A \cup B = \{\}) = (A = \{\} \ \& \ B = \{\})$
by (*fact sup-eq-bot-iff*)

lemma *Un-subset-iff [simp]*: $(A \cup B \subseteq C) = (A \subseteq C \ \& \ B \subseteq C)$
by (*fact le-sup-iff*)

lemma *Un-Diff-Int*: $(A - B) \cup (A \cap B) = A$
by *blast*

lemma *Diff-Int2*: $A \cap C - B \cap C = A \cap C - B$
by *blast*

Set complement

lemma *Compl-disjoint [simp]*: $A \cap -A = \{\}$
by (*fact inf-compl-bot*)

lemma *Compl-disjoint2 [simp]*: $-A \cap A = \{\}$
by (*fact compl-inf-bot*)

lemma *Compl-partition*: $A \cup -A = UNIV$
by (*fact sup-compl-top*)

lemma *Compl-partition2*: $-A \cup A = UNIV$
by (*fact compl-sup-top*)

lemma *double-complement [simp]*: $-(-A) = (A::'a \text{ set})$
by (*fact double-compl*)

lemma *Compl-Un [simp]*: $-(A \cup B) = (-A) \cap (-B)$
by (*fact compl-sup*)

lemma *Compl-Int [simp]*: $-(A \cap B) = (-A) \cup (-B)$
by (*fact compl-inf*)

lemma *subset-Compl-self-eq*: $(A \subseteq -A) = (A = \{\})$
by *blast*

lemma *Un-Int-assoc-eq*: $((A \cap B) \cup C = A \cap (B \cup C)) = (C \subseteq A)$

— Halmos, Naive Set Theory, page 16.

by *blast*

lemma *Compl-UNIV-eq* [simp]: $-UNIV = \{\}$

by (*fact compl-top-eq*)

lemma *Compl-empty-eq* [simp]: $-\{\} = UNIV$

by (*fact compl-bot-eq*)

lemma *Compl-subset-Compl-iff* [iff]: $(-A \subseteq -B) = (B \subseteq A)$

by (*fact compl-le-compl-iff*)

lemma *Compl-eq-Compl-iff* [iff]: $(-A = -B) = (A = (B::'a \text{ set}))$

by (*fact compl-eq-compl-iff*)

Bounded quantifiers.

The following are not added to the default simpset because (a) they duplicate the body and (b) there are no similar rules for *Int*.

lemma *ball-Un*: $(\forall x \in A \cup B. P x) = ((\forall x \in A. P x) \ \& \ (\forall x \in B. P x))$

by *blast*

lemma *bex-Un*: $(\exists x \in A \cup B. P x) = ((\exists x \in A. P x) \mid (\exists x \in B. P x))$

by *blast*

Set difference.

lemma *Diff-eq*: $A - B = A \cap (-B)$

by *blast*

lemma *Diff-eq-empty-iff* [simp, no-atp]: $(A - B = \{\}) = (A \subseteq B)$

by *blast*

lemma *Diff-cancel* [simp]: $A - A = \{\}$

by *blast*

lemma *Diff-idemp* [simp]: $(A - B) - B = A - (B::'a \text{ set})$

by *blast*

lemma *Diff-triv*: $A \cap B = \{\} ==> A - B = A$

by (*blast elim: equalityE*)

lemma *empty-Diff* [simp]: $\{\} - A = \{\}$

by *blast*

lemma *Diff-empty* [simp]: $A - \{\} = A$

by *blast*

lemma *Diff-UNIV* [simp]: $A - UNIV = \{\}$
by *blast*

lemma *Diff-insert0* [simp,no-atp]: $x \notin A \implies A - \text{insert } x B = A - B$
by *blast*

lemma *Diff-insert*: $A - \text{insert } a B = A - B - \{a\}$
 — NOT SUITABLE FOR REWRITING since $\{a\} == \text{insert } a 0$
by *blast*

lemma *Diff-insert2*: $A - \text{insert } a B = A - \{a\} - B$
 — NOT SUITABLE FOR REWRITING since $\{a\} == \text{insert } a 0$
by *blast*

lemma *insert-Diff-if*: $\text{insert } x A - B = (\text{if } x \in B \text{ then } A - B \text{ else } \text{insert } x (A - B))$
by *auto*

lemma *insert-Diff1* [simp]: $x \in B \implies \text{insert } x A - B = A - B$
by *blast*

lemma *insert-Diff-single*[simp]: $\text{insert } a (A - \{a\}) = \text{insert } a A$
by *blast*

lemma *insert-Diff*: $a \in A \implies \text{insert } a (A - \{a\}) = A$
by *blast*

lemma *Diff-insert-absorb*: $x \notin A \implies (\text{insert } x A) - \{x\} = A$
by *auto*

lemma *Diff-disjoint* [simp]: $A \cap (B - A) = \{\}$
by *blast*

lemma *Diff-partition*: $A \subseteq B \implies A \cup (B - A) = B$
by *blast*

lemma *double-diff*: $A \subseteq B \implies B \subseteq C \implies B - (C - A) = A$
by *blast*

lemma *Un-Diff-cancel* [simp]: $A \cup (B - A) = A \cup B$
by *blast*

lemma *Un-Diff-cancel2* [simp]: $(B - A) \cup A = B \cup A$
by *blast*

lemma *Diff-Un*: $A - (B \cup C) = (A - B) \cap (A - C)$
by *blast*

lemma *Diff-Int*: $A - (B \cap C) = (A - B) \cup (A - C)$

by *blast*

lemma *Un-Diff*: $(A \cup B) - C = (A - C) \cup (B - C)$
by *blast*

lemma *Int-Diff*: $(A \cap B) - C = A \cap (B - C)$
by *blast*

lemma *Diff-Int-distrib*: $C \cap (A - B) = (C \cap A) - (C \cap B)$
by *blast*

lemma *Diff-Int-distrib2*: $(A - B) \cap C = (A \cap C) - (B \cap C)$
by *blast*

lemma *Diff-Compl [simp]*: $A - (\neg B) = A \cap B$
by *auto*

lemma *Compl-Diff-eq [simp]*: $\neg (A - B) = \neg A \cup B$
by *blast*

Quantification over type *bool*.

lemma *bool-induct*: $P \text{ True} \implies P \text{ False} \implies P x$
by (*cases x*) *auto*

lemma *all-bool-eq*: $(\forall b. P b) \longleftrightarrow P \text{ True} \wedge P \text{ False}$
by (*auto intro: bool-induct*)

lemma *bool-contrapos*: $P x \implies \neg P \text{ False} \implies P \text{ True}$
by (*cases x*) *auto*

lemma *ex-bool-eq*: $(\exists b. P b) \longleftrightarrow P \text{ True} \vee P \text{ False}$
by (*auto intro: bool-contrapos*)

Pow

lemma *Pow-empty [simp]*: $\text{Pow } \{\} = \{\{\}\}$
by (*auto simp add: Pow-def*)

lemma *Pow-insert*: $\text{Pow } (\text{insert } a \ A) = \text{Pow } A \cup (\text{insert } a \ ' \text{Pow } A)$
by (*blast intro: image-eqI [where ?x = u - \{a\}, standard]*)

lemma *Pow-Compl*: $\text{Pow } (\neg A) = \{-B \mid B. A \in \text{Pow } B\}$
by (*blast intro: exI [where ?x = - u, standard]*)

lemma *Pow-UNIV [simp]*: $\text{Pow } \text{UNIV} = \text{UNIV}$
by *blast*

lemma *Un-Pow-subset*: $\text{Pow } A \cup \text{Pow } B \subseteq \text{Pow } (A \cup B)$
by *blast*

lemma *Pow-Int-eq* [*simp*]: $\text{Pow } (A \cap B) = \text{Pow } A \cap \text{Pow } B$
by *blast*

Miscellany.

lemma *set-eq-subset*: $(A = B) = (A \subseteq B \ \& \ B \subseteq A)$
by *blast*

lemma *subset-iff*: $(A \subseteq B) = (\forall t. t \in A \longrightarrow t \in B)$
by *blast*

lemma *subset-iff-psubset-eq*: $(A \subseteq B) = ((A \subset B) \mid (A = B))$
by (*unfold less-le*) *blast*

lemma *all-not-in-conv* [*simp*]: $(\forall x. x \notin A) = (A = \{\})$
by *blast*

lemma *ex-in-conv*: $(\exists x. x \in A) = (A \neq \{\})$
by *blast*

lemma *distinct-lemma*: $f\ x \neq f\ y \implies x \neq y$
by *iprover*

6.4.4 Monotonicity of various operations

lemma *image-mono*: $A \subseteq B \implies f^*A \subseteq f^*B$
by *blast*

lemma *Pow-mono*: $A \subseteq B \implies \text{Pow } A \subseteq \text{Pow } B$
by *blast*

lemma *insert-mono*: $C \subseteq D \implies \text{insert } a\ C \subseteq \text{insert } a\ D$
by *blast*

lemma *Un-mono*: $A \subseteq C \implies B \subseteq D \implies A \cup B \subseteq C \cup D$
by (*fact sup-mono*)

lemma *Int-mono*: $A \subseteq C \implies B \subseteq D \implies A \cap B \subseteq C \cap D$
by (*fact inf-mono*)

lemma *Diff-mono*: $A \subseteq C \implies D \subseteq B \implies A - B \subseteq C - D$
by *blast*

lemma *Compl-anti-mono*: $A \subseteq B \implies -B \subseteq -A$
by (*fact compl-mono*)

Monotonicity of implications.

lemma *in-mono*: $A \subseteq B \implies x \in A \longrightarrow x \in B$

```

apply (rule impI)
apply (erule subsetD, assumption)
done

```

```

lemma conj-mono:  $P1 \longrightarrow Q1 \implies P2 \longrightarrow Q2 \implies (P1 \ \& \ P2) \longrightarrow (Q1 \ \& \ Q2)$ 
by iprover

```

```

lemma disj-mono:  $P1 \longrightarrow Q1 \implies P2 \longrightarrow Q2 \implies (P1 \ | \ P2) \longrightarrow (Q1 \ | \ Q2)$ 
by iprover

```

```

lemma imp-mono:  $Q1 \longrightarrow P1 \implies P2 \longrightarrow Q2 \implies (P1 \longrightarrow P2) \longrightarrow (Q1 \longrightarrow Q2)$ 
by iprover

```

```

lemma imp-refl:  $P \longrightarrow P \ ..$ 

```

```

lemma not-mono:  $Q \longrightarrow P \implies \sim P \longrightarrow \sim Q$ 
by iprover

```

```

lemma ex-mono:  $(!!x. P \ x \longrightarrow Q \ x) \implies (EX \ x. P \ x) \longrightarrow (EX \ x. Q \ x)$ 
by iprover

```

```

lemma all-mono:  $(!!x. P \ x \longrightarrow Q \ x) \implies (ALL \ x. P \ x) \longrightarrow (ALL \ x. Q \ x)$ 
by iprover

```

```

lemma Collect-mono:  $(!!x. P \ x \longrightarrow Q \ x) \implies Collect \ P \subseteq Collect \ Q$ 
by blast

```

```

lemma Int-Collect-mono:
   $A \subseteq B \implies (!!x. x \in A \implies P \ x \longrightarrow Q \ x) \implies A \cap Collect \ P \subseteq B \cap Collect \ Q$ 
by blast

```

```

lemmas basic-monos =
  subset-refl imp-refl disj-mono conj-mono
  ex-mono Collect-mono in-mono

```

```

lemma eq-to-mono:  $a = b \implies c = d \implies b \longrightarrow d \implies a \longrightarrow c$ 
by iprover

```

6.4.5 Inverse image of a function

```

definition vimage :: ('a => 'b) => 'b set => 'a set (infixr -' 90) where
  [code del]:  $f \ -' \ B == \{x. f \ x : B\}$ 

```

```

lemma vimage-eq [simp]:  $(a : f \ -' \ B) = (f \ a : B)$ 
by (unfold vimage-def) blast

```

lemma *vimage-singleton-eq*: $(a : f -' \{b\}) = (f a = b)$
by *simp*

lemma *vimageI* [*intro*]: $f a = b \implies b:B \implies a : f -' B$
by (*unfold vimage-def*) *blast*

lemma *vimageI2*: $f a : A \implies a : f -' A$
by (*unfold vimage-def*) *fast*

lemma *vimageE* [*elim!*]: $a: f -' B \implies (!x. f a = x \implies x:B \implies P) \implies P$
by (*unfold vimage-def*) *blast*

lemma *vimageD*: $a : f -' A \implies f a : A$
by (*unfold vimage-def*) *fast*

lemma *vimage-empty* [*simp*]: $f -' \{\} = \{\}$
by *blast*

lemma *vimage-Compl*: $f -' (-A) = -(f -' A)$
by *blast*

lemma *vimage-Un* [*simp*]: $f -' (A \text{ Un } B) = (f -' A) \text{ Un } (f -' B)$
by *blast*

lemma *vimage-Int* [*simp*]: $f -' (A \text{ Int } B) = (f -' A) \text{ Int } (f -' B)$
by *fast*

lemma *vimage-Collect-eq* [*simp*]: $f -' \text{Collect } P = \{y. P (f y)\}$
by *blast*

lemma *vimage-Collect*: $(!x. P (f x) = Q x) \implies f -' (\text{Collect } P) = \text{Collect } Q$
by *blast*

lemma *vimage-insert*: $f -' (\text{insert } a B) = (f -' \{a\}) \text{ Un } (f -' B)$
 — NOT suitable for rewriting because of the recurrence of $\{a\}$.
by *blast*

lemma *vimage-Diff*: $f -' (A - B) = (f -' A) - (f -' B)$
by *blast*

lemma *vimage-UNIV* [*simp*]: $f -' \text{UNIV} = \text{UNIV}$
by *blast*

lemma *vimage-mono*: $A \subseteq B \implies f -' A \subseteq f -' B$
 — monotonicity
by *blast*

lemma *vimage-image-eq* [*no-atp*]: $f -' (f -' A) = \{y. EX\ x:A. f\ x = f\ y\}$
by (*blast intro: sym*)

lemma *image-vimage-subset*: $f -' (f -' A) \leq A$
by *blast*

lemma *image-vimage-eq* [*simp*]: $f -' (f -' A) = A\ Int\ range\ f$
by *blast*

lemma *vimage-const* [*simp*]: $((\lambda x. c) -' A) = (if\ c \in A\ then\ UNIV\ else\ \{\})$
by *auto*

lemma *vimage-if* [*simp*]: $((\lambda x. if\ x \in B\ then\ c\ else\ d) -' A) =$
 $(if\ c \in A\ then\ (if\ d \in A\ then\ UNIV\ else\ B)$
 $\quad else\ if\ d \in A\ then\ -B\ else\ \{\})$
by (*auto simp add: vimage-def*)

lemma *vimage-inter-cong*:
 $(\bigwedge w. w \in S \implies f\ w = g\ w) \implies f -' y \cap S = g -' y \cap S$
by *auto*

lemma *image-Int-subset*: $f'(A\ Int\ B) \leq f'A\ Int\ f'B$
by *blast*

lemma *image-diff-subset*: $f'A - f'B \leq f'(A - B)$
by *blast*

6.4.6 Getting the Contents of a Singleton Set

definition *contents* :: $'a\ set \Rightarrow 'a\ where$
 $[code\ del]:\ contents\ X = (THE\ x. X = \{x\})$

lemma *contents-eq* [*simp*]: $contents\ \{x\} = x$
by (*simp add: contents-def*)

6.4.7 Least value operator

lemma *Least-mono*:
 $mono\ (f::'a::order \Rightarrow 'b::order) \implies EX\ x:S. ALL\ y:S. x \leq y$
 $\implies (LEAST\ y. y : f -' S) = f\ (LEAST\ x. x : S)$
— Courtesy of Stephan Merz
apply *clarify*
apply (*erule-tac P = %x. x : S in LeastI2-order, fast*)
apply (*rule LeastI2-order*)
apply (*auto elim: monoD intro!: order-antisym*)
done

6.5 Misc

Rudimentary code generation

lemma *insert-code* [code]: $\text{insert } y \ A \ x \longleftrightarrow y = x \vee A \ x$
by (*auto simp add: insert-compr Collect-def mem-def*)

lemma *vimage-code* [code]: $(f - 'A) \ x = A \ (f \ x)$
by (*simp add: vimage-def Collect-def mem-def*)

Misc theorem and ML bindings

lemmas *equalityI = subset-antisym*

ML $\langle\langle$
val Ball-def = @{thm Ball-def}
val Bex-def = @{thm Bex-def}
val CollectD = @{thm CollectD}
val CollectE = @{thm CollectE}
val CollectI = @{thm CollectI}
val Collect-conj-eq = @{thm Collect-conj-eq}
val Collect-mem-eq = @{thm Collect-mem-eq}
val IntD1 = @{thm IntD1}
val IntD2 = @{thm IntD2}
val IntE = @{thm IntE}
val IntI = @{thm IntI}
val Int-Collect = @{thm Int-Collect}
val UNIV-I = @{thm UNIV-I}
val UNIV-witness = @{thm UNIV-witness}
val UnE = @{thm UnE}
val UnI1 = @{thm UnI1}
val UnI2 = @{thm UnI2}
val ballE = @{thm ballE}
val ballI = @{thm ballI}
val bexCI = @{thm bexCI}
val bexE = @{thm bexE}
val bexI = @{thm bexI}
val bex-triv = @{thm bex-triv}
val bspec = @{thm bspec}
val contra-subsetD = @{thm contra-subsetD}
val distinct-lemma = @{thm distinct-lemma}
val eq-to-mono = @{thm eq-to-mono}
val equalityCE = @{thm equalityCE}
val equalityD1 = @{thm equalityD1}
val equalityD2 = @{thm equalityD2}
val equalityE = @{thm equalityE}
val equalityI = @{thm equalityI}
val imageE = @{thm imageE}
val imageI = @{thm imageI}
val image-Un = @{thm image-Un}
val image-insert = @{thm image-insert}
val insert-commute = @{thm insert-commute}
val insert-iff = @{thm insert-iff}
val mem-Collect-eq = @{thm mem-Collect-eq}


```

val rangeE = @{thm rangeE}
val rangeI = @{thm rangeI}
val range-eqI = @{thm range-eqI}
val subsetCE = @{thm subsetCE}
val subsetD = @{thm subsetD}
val subsetI = @{thm subsetI}
val subset-refl = @{thm subset-refl}
val subset-trans = @{thm subset-trans}
val vimageD = @{thm vimageD}
val vimageE = @{thm vimageE}
val vimageI = @{thm vimageI}
val vimageI2 = @{thm vimageI2}
val vimage-Collect = @{thm vimage-Collect}
val vimage-Int = @{thm vimage-Int}
val vimage-Un = @{thm vimage-Un}
>>

```

end

7 Typedef: HOL type definitions

theory *Typedef*

imports *Set*

uses

(*Tools/typedef.ML*)

(*Tools/typecopy.ML*)

(*Tools/typedef-codegen.ML*)

begin

ML <<

structure HOL = struct val thy = theory HOL end;

>> — belongs to theory HOL

locale *type-definition* =

fixes *Rep* **and** *Abs* **and** *A*

assumes *Rep*: *Rep* *x* ∈ *A*

and *Rep-inverse*: *Abs* (*Rep* *x*) = *x*

and *Abs-inverse*: *y* ∈ *A* ==> *Rep* (*Abs* *y*) = *y*

— This will be axiomatized for each typedef!

begin

lemma *Rep-inject*:

(*Rep* *x* = *Rep* *y*) = (*x* = *y*)

proof

assume *Rep* *x* = *Rep* *y*

then have *Abs* (*Rep* *x*) = *Abs* (*Rep* *y*) **by** (*simp only*:)

moreover have *Abs* (*Rep* *x*) = *x* **by** (*rule Rep-inverse*)

moreover have *Abs* (*Rep* *y*) = *y* **by** (*rule Rep-inverse*)

```

    ultimately show  $x = y$  by simp
next
  assume  $x = y$ 
  thus  $\text{Rep } x = \text{Rep } y$  by (simp only:)
qed

```

```

lemma Abs-inject:
  assumes  $x: x \in A$  and  $y: y \in A$ 
  shows  $(\text{Abs } x = \text{Abs } y) = (x = y)$ 
proof
  assume  $\text{Abs } x = \text{Abs } y$ 
  then have  $\text{Rep } (\text{Abs } x) = \text{Rep } (\text{Abs } y)$  by (simp only:)
  moreover from  $x$  have  $\text{Rep } (\text{Abs } x) = x$  by (rule Abs-inverse)
  moreover from  $y$  have  $\text{Rep } (\text{Abs } y) = y$  by (rule Abs-inverse)
  ultimately show  $x = y$  by simp
next
  assume  $x = y$ 
  thus  $\text{Abs } x = \text{Abs } y$  by (simp only:)
qed

```

```

lemma Rep-cases [cases set]:
  assumes  $y: y \in A$ 
  and hyp:  $!!x. y = \text{Rep } x \implies P$ 
  shows  $P$ 
proof (rule hyp)
  from  $y$  have  $\text{Rep } (\text{Abs } y) = y$  by (rule Abs-inverse)
  thus  $y = \text{Rep } (\text{Abs } y)$  ..
qed

```

```

lemma Abs-cases [cases type]:
  assumes  $r: !!y. x = \text{Abs } y \implies y \in A \implies P$ 
  shows  $P$ 
proof (rule r)
  have  $\text{Abs } (\text{Rep } x) = x$  by (rule Rep-inverse)
  thus  $x = \text{Abs } (\text{Rep } x)$  ..
  show  $\text{Rep } x \in A$  by (rule Rep)
qed

```

```

lemma Rep-induct [induct set]:
  assumes  $y: y \in A$ 
  and hyp:  $!!x. P (\text{Rep } x)$ 
  shows  $P y$ 
proof -
  have  $P (\text{Rep } (\text{Abs } y))$  by (rule hyp)
  moreover from  $y$  have  $\text{Rep } (\text{Abs } y) = y$  by (rule Abs-inverse)
  ultimately show  $P y$  by simp
qed

```

```

lemma Abs-induct [induct type]:

```

```

    assumes  $r: !!y. y \in A ==> P (Abs\ y)$ 
    shows  $P\ x$ 
  proof -
    have  $Rep\ x \in A$  by (rule Rep)
    then have  $P (Abs (Rep\ x))$  by (rule r)
    moreover have  $Abs (Rep\ x) = x$  by (rule Rep-inverse)
    ultimately show  $P\ x$  by simp
  qed

lemma Rep-range:  $range\ Rep = A$ 
proof
  show  $range\ Rep \leq A$  using Rep by (auto simp add: image-def)
  show  $A \leq range\ Rep$ 
  proof
    fix  $x$  assume  $x : A$ 
    hence  $x = Rep (Abs\ x)$  by (rule Abs-inverse [symmetric])
    thus  $x : range\ Rep$  by (rule range-eqI)
  qed
qed

lemma Abs-image:  $Abs\ 'A = UNIV$ 
proof
  show  $Abs\ 'A \leq UNIV$  by (rule subset-UNIV)
next
  show  $UNIV \leq Abs\ 'A$ 
  proof
    fix  $x$ 
    have  $x = Abs (Rep\ x)$  by (rule Rep-inverse [symmetric])
    moreover have  $Rep\ x : A$  by (rule Rep)
    ultimately show  $x : Abs\ 'A$  by (rule image-eqI)
  qed
qed

end

use Tools/typedef.ML setup Typedef.setup
use Tools/typecopy.ML setup Typecopy.setup
use Tools/typedef-codegen.ML setup TypedefCodegen.setup

end

```

8 Complete-Lattice: Complete lattices, with special focus on sets

```

theory Complete-Lattice
imports Set
begin

```

notation

less-eq (**infix** \sqsubseteq 50) **and**
less (**infix** \sqsubset 50) **and**
inf (**infixl** \sqcap 70) **and**
sup (**infixl** \sqcup 65) **and**
top (\top) **and**
bot (\perp)

8.1 Syntactic infimum and supremum operations

class *Inf* =
 fixes *Inf* :: 'a set \Rightarrow 'a (\sqcap - [900] 900)

class *Sup* =
 fixes *Sup* :: 'a set \Rightarrow 'a (\sqcup - [900] 900)

8.2 Abstract complete lattices

class *complete-lattice* = *bounded-lattice* + *Inf* + *Sup* +
 assumes *Inf-lower*: $x \in A \Rightarrow \sqcap A \sqsubseteq x$
 and *Inf-greatest*: $(\bigwedge x. x \in A \Rightarrow z \sqsubseteq x) \Rightarrow z \sqsubseteq \sqcap A$
 assumes *Sup-upper*: $x \in A \Rightarrow x \sqsubseteq \sqcup A$
 and *Sup-least*: $(\bigwedge x. x \in A \Rightarrow x \sqsubseteq z) \Rightarrow \sqcup A \sqsubseteq z$
begin

lemma *dual-complete-lattice*:

class.complete-lattice *Sup* (*op* \geq) (*op* $>$) (*op* \sqcup) (*op* \sqcap) \top \perp
by (*auto intro*: class.complete-lattice.intro dual-bounded-lattice)
 (unfold-locales, (fact bot-least top-greatest
 Sup-upper Sup-least Inf-lower Inf-greatest)+)

lemma *Inf-Sup*: $\sqcap A = \sqcup \{b. \forall a \in A. b \sqsubseteq a\}$
by (*auto intro*: antisym Inf-lower Inf-greatest Sup-upper Sup-least)

lemma *Sup-Inf*: $\sqcup A = \sqcap \{b. \forall a \in A. a \sqsubseteq b\}$
by (*auto intro*: antisym Inf-lower Inf-greatest Sup-upper Sup-least)

lemma *Inf-empty*:

$\sqcap \{\} = \top$
by (*auto intro*: antisym Inf-greatest)

lemma *Sup-empty*:

$\sqcup \{\} = \perp$
by (*auto intro*: antisym Sup-least)

lemma *Inf-insert*: $\sqcap \text{insert } a \ A = a \sqcap \sqcap A$
by (*auto intro*: le-infI le-infI1 le-infI2 antisym Inf-greatest Inf-lower)

lemma *Sup-insert*: $\sqcup \text{insert } a \ A = a \sqcup \sqcup A$

by (*auto intro: le-supI le-supI1 le-supI2 antisym Sup-least Sup-upper*)

lemma *Inf-singleton* [*simp*]:

$\sqcap \{a\} = a$

by (*auto intro: antisym Inf-lower Inf-greatest*)

lemma *Sup-singleton* [*simp*]:

$\sqcup \{a\} = a$

by (*auto intro: antisym Sup-upper Sup-least*)

lemma *Inf-binary*:

$\sqcap \{a, b\} = a \sqcap b$

by (*simp add: Inf-empty Inf-insert*)

lemma *Sup-binary*:

$\sqcup \{a, b\} = a \sqcup b$

by (*simp add: Sup-empty Sup-insert*)

lemma *Inf-UNIV*:

$\sqcap UNIV = bot$

by (*simp add: Sup-Inf Sup-empty [symmetric]*)

lemma *Sup-UNIV*:

$\sqcup UNIV = top$

by (*simp add: Inf-Sup Inf-empty [symmetric]*)

lemma *Sup-le-iff*: $Sup\ A \sqsubseteq b \longleftrightarrow (\forall a \in A. a \sqsubseteq b)$

by (*auto intro: Sup-least dest: Sup-upper*)

lemma *le-Inf-iff*: $b \sqsubseteq Inf\ A \longleftrightarrow (\forall a \in A. b \sqsubseteq a)$

by (*auto intro: Inf-greatest dest: Inf-lower*)

definition *SUPR* :: $'b\ set \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a$ **where**

$SUPR\ A\ f = \sqcup (f \, 'A)$

definition *INFI* :: $'b\ set \Rightarrow ('b \Rightarrow 'a) \Rightarrow 'a$ **where**

$INFI\ A\ f = \sqcap (f \, 'A)$

end

syntax

-*SUP1* :: $pttrns \Rightarrow 'b \Rightarrow 'b$ $((\exists SUP \ -./ \ -) [0, 10] 10)$
 -*SUP* :: $pttrn \Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b$ $((\exists SUP \ -./ \ -) [0, 0, 10] 10)$
 -*INF1* :: $pttrns \Rightarrow 'b \Rightarrow 'b$ $((\exists INF \ -./ \ -) [0, 10] 10)$
 -*INF* :: $pttrn \Rightarrow 'a\ set \Rightarrow 'b \Rightarrow 'b$ $((\exists INF \ -./ \ -) [0, 0, 10] 10)$

translations

$SUP\ x\ y. B \quad == \quad SUP\ x. SUP\ y. B$
 $SUP\ x. B \quad == \quad CONST\ SUPR\ CONST\ UNIV\ (\%x. B)$

$$\begin{aligned}
SUP\ x.\ B &== SUP\ x:CONST\ UNIV.\ B \\
SUP\ x:A.\ B &== CONST\ SUPR\ A\ (\%x.\ B) \\
INF\ x\ y.\ B &== INF\ x.\ INF\ y.\ B \\
INF\ x.\ B &== CONST\ INFI\ CONST\ UNIV\ (\%x.\ B) \\
INF\ x.\ B &== INF\ x:CONST\ UNIV.\ B \\
INF\ x:A.\ B &== CONST\ INFI\ A\ (\%x.\ B)
\end{aligned}$$

print-translation \ll
 $[Syntax.preserve-binder-abs2-tr' @ \{const-syntax\ SUPR\} @ \{syntax-const -SUP\},$
 $Syntax.preserve-binder-abs2-tr' @ \{const-syntax\ INFI\} @ \{syntax-const -INF\}]$
 \gg — to avoid eta-contraction of body

context *complete-lattice*
begin

lemma *le-SUPI*: $i : A \implies M\ i \sqsubseteq (SUP\ i:A.\ M\ i)$
by (*auto simp add: SUPR-def intro: Sup-upper*)

lemma *SUP-leI*: $(\bigwedge i.\ i : A \implies M\ i \sqsubseteq u) \implies (SUP\ i:A.\ M\ i) \sqsubseteq u$
by (*auto simp add: SUPR-def intro: Sup-least*)

lemma *INF-leI*: $i : A \implies (INF\ i:A.\ M\ i) \sqsubseteq M\ i$
by (*auto simp add: INFI-def intro: Inf-lower*)

lemma *le-INFI*: $(\bigwedge i.\ i : A \implies u \sqsubseteq M\ i) \implies u \sqsubseteq (INF\ i:A.\ M\ i)$
by (*auto simp add: INFI-def intro: Inf-greatest*)

lemma *SUP-le-iff*: $(SUP\ i:A.\ M\ i) \sqsubseteq u \longleftrightarrow (\forall i \in A.\ M\ i \sqsubseteq u)$
unfolding *SUPR-def* **by** (*auto simp add: Sup-le-iff*)

lemma *le-INF-iff*: $u \sqsubseteq (INF\ i:A.\ M\ i) \longleftrightarrow (\forall i \in A.\ u \sqsubseteq M\ i)$
unfolding *INFI-def* **by** (*auto simp add: le-Inf-iff*)

lemma *SUP-const[simp]*: $A \neq \{\} \implies (SUP\ i:A.\ M) = M$
by (*auto intro: antisym SUP-leI le-SUPI*)

lemma *INF-const[simp]*: $A \neq \{\} \implies (INF\ i:A.\ M) = M$
by (*auto intro: antisym INF-leI le-INFI*)

end

8.3 *bool* and $- \Rightarrow -$ as complete lattice

instantiation *bool* :: *complete-lattice*
begin

definition
Inf-bool-def: $\bigcap A \longleftrightarrow (\forall x \in A.\ x)$

definition

Sup-bool-def: $\sqcup A \longleftrightarrow (\exists x \in A. x)$

instance proof

qed (*auto simp add: Inf-bool-def Sup-bool-def le-bool-def*)

end

lemma *Inf-empty-bool* [*simp*]:

$\sqcap \{\}$

unfolding *Inf-bool-def* **by** *auto*

lemma *not-Sup-empty-bool* [*simp*]:

$\neg \sqcup \{\}$

unfolding *Sup-bool-def* **by** *auto*

lemma *INFI-bool-eq*:

INFI = *Ball*

proof (*rule ext*)+

fix *A* :: 'a set

fix *P* :: 'a \Rightarrow bool

show $(\text{INF } x:A. P\ x) \longleftrightarrow (\forall x \in A. P\ x)$

by (*auto simp add: Ball-def INFI-def Inf-bool-def*)

qed

lemma *SUPR-bool-eq*:

SUPR = *Bex*

proof (*rule ext*)+

fix *A* :: 'a set

fix *P* :: 'a \Rightarrow bool

show $(\text{SUP } x:A. P\ x) \longleftrightarrow (\exists x \in A. P\ x)$

by (*auto simp add: Bex-def SUPR-def Sup-bool-def*)

qed

instantiation *fun* :: (*type*, *complete-lattice*) *complete-lattice*

begin

definition

Inf-fun-def [*code del*]: $\sqcap A = (\lambda x. \sqcap \{y. \exists f \in A. y = f\ x\})$

definition

Sup-fun-def [*code del*]: $\sqcup A = (\lambda x. \sqcup \{y. \exists f \in A. y = f\ x\})$

instance proof

qed (*auto simp add: Inf-fun-def Sup-fun-def le-fun-def*

intro: Inf-lower Sup-upper Inf-greatest Sup-least)

end

lemma *Inf-empty-fun*:
 $\sqcap \{\} = (\lambda \cdot. \sqcap \{\})$
by (*simp add: Inf-fun-def*)

lemma *Sup-empty-fun*:
 $\sqcup \{\} = (\lambda \cdot. \sqcup \{\})$
by (*simp add: Sup-fun-def*)

8.4 Union

abbreviation *Union* :: 'a set set \Rightarrow 'a set **where**
 $Union\ S \equiv \sqcup S$

notation (*xsymbols*)
 $Union\ (\bigcup - [90] 90)$

lemma *Union-eq*:
 $\bigcup A = \{x. \exists B \in A. x \in B\}$
proof (*rule set-ext*)
fix x
have $(\exists Q \in \{P. \exists B \in A. P \longleftrightarrow x \in B\}. Q) \longleftrightarrow (\exists B \in A. x \in B)$
by *auto*
then show $x \in \bigcup A \longleftrightarrow x \in \{x. \exists B \in A. x \in B\}$
by (*simp add: Sup-fun-def Sup-bool-def*) (*simp add: mem-def*)
qed

lemma *Union-iff* [*simp, no-atp*]:
 $A \in \bigcup C \longleftrightarrow (\exists X \in C. A \in X)$
by (*unfold Union-eq blast*)

lemma *UnionI* [*intro*]:
 $X \in C \Longrightarrow A \in X \Longrightarrow A \in \bigcup C$
— The order of the premises presupposes that C is rigid; A may be flexible.
by *auto*

lemma *UnionE* [*elim!*]:
 $A \in \bigcup C \Longrightarrow (\bigwedge X. A \in X \Longrightarrow X \in C \Longrightarrow R) \Longrightarrow R$
by *auto*

lemma *Union-upper*: $B \in A \Longrightarrow B \subseteq Union\ A$
by (*iprover intro: subsetI UnionI*)

lemma *Union-least*: $(!!X. X \in A \Longrightarrow X \subseteq C) \Longrightarrow Union\ A \subseteq C$
by (*iprover intro: subsetI elim: UnionE dest: subsetD*)

lemma *Un-eq-Union*: $A \cup B = \bigcup \{A, B\}$
by *blast*

lemma *Union-empty* [*simp*]: $Union(\{\}) = \{\}$

by *blast*

lemma *Union-UNIV* [simp]: $\text{Union UNIV} = \text{UNIV}$
by *blast*

lemma *Union-insert* [simp]: $\text{Union} (\text{insert } a \ B) = a \cup \bigcup B$
by *blast*

lemma *Union-Un-distrib* [simp]: $\bigcup (A \ \text{Un} \ B) = \bigcup A \cup \bigcup B$
by *blast*

lemma *Union-Int-subset*: $\bigcup (A \cap B) \subseteq \bigcup A \cap \bigcup B$
by *blast*

lemma *Union-empty-conv* [simp,no-atp]: $(\bigcup A = \{\}) = (\forall x \in A. \ x = \{\})$
by *blast*

lemma *empty-Union-conv* [simp,no-atp]: $(\{\} = \bigcup A) = (\forall x \in A. \ x = \{\})$
by *blast*

lemma *Union-disjoint*: $(\bigcup C \cap A = \{\}) = (\forall B \in C. \ B \cap A = \{\})$
by *blast*

lemma *subset-Pow-Union*: $A \subseteq \text{Pow} (\bigcup A)$
by *blast*

lemma *Union-Pow-eq* [simp]: $\bigcup (\text{Pow } A) = A$
by *blast*

lemma *Union-mono*: $A \subseteq B \implies \bigcup A \subseteq \bigcup B$
by *blast*

8.5 Unions of families

abbreviation *UNION* :: $'a \ \text{set} \Rightarrow ('a \Rightarrow 'b \ \text{set}) \Rightarrow 'b \ \text{set}$ **where**
 $\text{UNION} \equiv \text{SUPR}$

syntax

-UNION1 :: $\text{pttrns} \Rightarrow 'b \ \text{set} \Rightarrow 'b \ \text{set}$ $((\text{UN} \ _ / \ _) \ [0, 10] \ 10)$
-UNION :: $\text{pttrn} \Rightarrow 'a \ \text{set} \Rightarrow 'b \ \text{set} \Rightarrow 'b \ \text{set}$ $((\text{UN} \ _ : _ / \ _) \ [0, 0, 10] \ 10)$

syntax (*xsymbols*)

-UNION1 :: $\text{pttrns} \Rightarrow 'b \ \text{set} \Rightarrow 'b \ \text{set}$ $((\text{UN} \ _ / \ _) \ [0, 10] \ 10)$
-UNION :: $\text{pttrn} \Rightarrow 'a \ \text{set} \Rightarrow 'b \ \text{set} \Rightarrow 'b \ \text{set}$ $((\text{UN} \ _ \in _ / \ _) \ [0, 0, 10] \ 10)$

syntax (*latex output*)

-UNION1 :: $\text{pttrns} \Rightarrow 'b \ \text{set} \Rightarrow 'b \ \text{set}$ $((\text{UN} \ (00 \ _) / \ _) \ [0, 10] \ 10)$
-UNION :: $\text{pttrn} \Rightarrow 'a \ \text{set} \Rightarrow 'b \ \text{set} \Rightarrow 'b \ \text{set}$ $((\text{UN} \ (00 \ _ \in _) / \ _) \ [0, 0, 10] \ 10)$

10)

translations

$$\begin{aligned}
UN\ x\ y.\ B &== UN\ x.\ UN\ y.\ B \\
UN\ x.\ B &== CONST\ UNION\ CONST\ UNIV\ (\%x.\ B) \\
UN\ x.\ B &== UN\ x:CONST\ UNIV.\ B \\
UN\ x:A.\ B &== CONST\ UNION\ A\ (\%x.\ B)
\end{aligned}$$

Note the difference between ordinary xsymbol syntax of indexed unions and intersections (e.g. $\bigcup_{a_1 \in A_1} B$) and their L^AT_EX rendition: $\bigcup_{a_1 \in A_1} B$. The former does not make the index expression a subscript of the union/intersection symbol because this leads to problems with nested subscripts in Proof General.

print-translation \ll

$$\begin{aligned}
&[Syntax.preserve-binder-abs2-tr' @ \{const-syntax\ UNION\} @ \{syntax-const-UNION\}] \\
&\gg \text{--- to avoid eta-contraction of body}
\end{aligned}$$
lemma *UNION-eq-Union-image*:
$$\begin{aligned}
&(\bigcup_{x \in A} B\ x) = \bigcup (B'A) \\
&\text{by } (fact\ SUPR-def)
\end{aligned}$$
lemma *Union-def*:
$$\begin{aligned}
&\bigcup S = (\bigcup_{x \in S} x) \\
&\text{by } (simp\ add: UNION-eq-Union-image\ image-def)
\end{aligned}$$
lemma *UNION-def* [no-atp]:
$$\begin{aligned}
&(\bigcup_{x \in A} B\ x) = \{y. \exists x \in A. y \in B\ x\} \\
&\text{by } (auto\ simp\ add: UNION-eq-Union-image\ Union-eq)
\end{aligned}$$
lemma *Union-image-eq* [simp]:
$$\begin{aligned}
&\bigcup (B'A) = (\bigcup_{x \in A} B\ x) \\
&\text{by } (rule\ sym) (fact\ UNION-eq-Union-image)
\end{aligned}$$
lemma *UN-iff* [simp]: $(b: (UN\ x:A.\ B\ x)) = (EX\ x:A.\ b: B\ x)$

$$\text{by } (unfold\ UNION-def) blast$$
lemma *UN-I* [intro]: $a:A ==> b: B\ a ==> b: (UN\ x:A.\ B\ x)$

— The order of the premises presupposes that A is rigid; b may be flexible.

$$\text{by } auto$$
lemma *UN-E* [elim!]: $b : (UN\ x:A.\ B\ x) ==> (!!x. x:A ==> b: B\ x ==> R) ==> R$

$$\text{by } (unfold\ UNION-def) blast$$
lemma *UN-cong* [cong]:
$$\begin{aligned}
&A = B ==> (!!x. x:B ==> C\ x = D\ x) ==> (UN\ x:A.\ C\ x) = (UN\ x:B.\ D\ x) \\
&\text{by } (simp\ add: UNION-def)
\end{aligned}$$

lemma *strong-UN-cong*:

$A = B \implies (!x. x:B \text{ simp} \implies C\ x = D\ x) \implies (\bigcup x:A. C\ x) = (\bigcup x:B. D\ x)$
by (*simp add: UNION-def simp-implies-def*)

lemma *image-eq-UN*: $f^{\ast}A = (\bigcup x:A. \{f\ x\})$

by *blast*

lemma *UN-upper*: $a \in A \implies B\ a \subseteq (\bigcup x \in A. B\ x)$

by (*fact le-SUPI*)

lemma *UN-least*: $(!x. x \in A \implies B\ x \subseteq C) \implies (\bigcup x \in A. B\ x) \subseteq C$

by (*iprover intro: subsetI elim: UN-E dest: subsetD*)

lemma *Collect-bex-eq* [*no-atp*]: $\{x. \exists y \in A. P\ x\ y\} = (\bigcup y \in A. \{x. P\ x\ y\})$

by *blast*

lemma *UN-insert-distrib*: $u \in A \implies (\bigcup x \in A. \text{insert}\ a\ (B\ x)) = \text{insert}\ a\ (\bigcup x \in A. B\ x)$

by *blast*

lemma *UN-empty* [*simp,no-atp*]: $(\bigcup x \in \{\}. B\ x) = \{\}$

by *blast*

lemma *UN-empty2* [*simp*]: $(\bigcup x \in A. \{\}) = \{\}$

by *blast*

lemma *UN-singleton* [*simp*]: $(\bigcup x \in A. \{x\}) = A$

by *blast*

lemma *UN-absorb*: $k \in I \implies A\ k \cup (\bigcup i \in I. A\ i) = (\bigcup i \in I. A\ i)$

by *auto*

lemma *UN-insert* [*simp*]: $(\bigcup x \in \text{insert}\ a\ A. B\ x) = B\ a \cup \text{UNION}\ A\ B$

by *blast*

lemma *UN-Un* [*simp*]: $(\bigcup i \in A \cup B. M\ i) = (\bigcup i \in A. M\ i) \cup (\bigcup i \in B. M\ i)$

by *blast*

lemma *UN-UN-flatten*: $(\bigcup x \in (\bigcup y \in A. B\ y). C\ x) = (\bigcup y \in A. \bigcup x \in B\ y. C\ x)$

by *blast*

lemma *UN-subset-iff*: $((\bigcup i \in I. A\ i) \subseteq B) = (\forall i \in I. A\ i \subseteq B)$

by (*fact SUP-le-iff*)

lemma *image-Union*: $f^{\ast} \bigcup S = (\bigcup x \in S. f^{\ast} x)$

by *blast*

lemma *UN-constant* [*simp*]: $(\bigcup y \in A. c) = (\text{if}\ A = \{\}\ \text{then}\ \{\}\ \text{else}\ c)$

by *auto*

lemma *UN-eq*: $(\bigcup x \in A. B\ x) = \bigcup (\{Y. \exists x \in A. Y = B\ x\})$
by *blast*

lemma *UNION-empty-conv*[*simp*]:
 $(\{\} = (\bigcup x:A. B\ x)) = (\forall x \in A. B\ x = \{\})$
 $((\bigcup x:A. B\ x) = \{\}) = (\forall x \in A. B\ x = \{\})$
 by *blast+*

lemma *Collect-ex-eq* [*no-atp*]: $\{x. \exists y. P\ x\ y\} = (\bigcup y. \{x. P\ x\ y\})$
by *blast*

lemma *ball-UN*: $(\forall z \in \text{UNION } A\ B. P\ z) = (\forall x \in A. \forall z \in B\ x. P\ z)$
by *blast*

lemma *bex-UN*: $(\exists z \in \text{UNION } A\ B. P\ z) = (\exists x \in A. \exists z \in B\ x. P\ z)$
by *blast*

lemma *Un-eq-UN*: $A \cup B = (\bigcup b. \text{if } b \text{ then } A \text{ else } B)$
by (*auto simp add: split-if-mem2*)

lemma *UN-bool-eq*: $(\bigcup b::\text{bool}. A\ b) = (A\ \text{True} \cup A\ \text{False})$
by (*auto intro: bool-contrapos*)

lemma *UN-Pow-subset*: $(\bigcup x \in A. \text{Pow } (B\ x)) \subseteq \text{Pow } (\bigcup x \in A. B\ x)$
by *blast*

lemma *UN-mono*:
 $A \subseteq B ==> (!x. x \in A ==> f\ x \subseteq g\ x) ==>$
 $(\bigcup x \in A. f\ x) \subseteq (\bigcup x \in B. g\ x)$
 by (*blast dest: subsetD*)

lemma *image-Union*: $f\ -' (\text{Union } A) = (\bigcup X:A. f\ -' X)$
by *blast*

lemma *image-UN*: $f\ -' (\bigcup x:A. B\ x) = (\bigcup x:A. f\ -' B\ x)$
by *blast*

lemma *image-eq-UN*: $f\ -' B = (\bigcup y: B. f\ -' \{y\})$
 — NOT suitable for rewriting
 by *blast*

lemma *image-UN*: $(f\ -' (\text{UNION } A\ B)) = (\bigcup x:A. (f\ -' (B\ x)))$
by *blast*

8.6 Inter

abbreviation *Inter* :: 'a set set \Rightarrow 'a set **where**

$$\text{Inter } S \equiv \bigcap S$$

notation (*xsymbols*)

$$\text{Inter } (\bigcap - [90] 90)$$

lemma *Inter-eq* [*code del*]:

$$\bigcap A = \{x. \forall B \in A. x \in B\}$$

proof (*rule set-ext*)

fix *x*

$$\text{have } (\forall Q \in \{P. \exists B \in A. P \longleftrightarrow x \in B\}. Q) \longleftrightarrow (\forall B \in A. x \in B)$$

by *auto*

$$\text{then show } x \in \bigcap A \longleftrightarrow x \in \{x. \forall B \in A. x \in B\}$$

by (*simp add: Inf-fun-def Inf-bool-def*) (*simp add: mem-def*)

qed

lemma *Inter-iff* [*simp,no-atp*]: $(A : \text{Inter } C) = (\text{ALL } X:C. A:X)$

by (*unfold Inter-eq*) *blast*

lemma *InterI* [*intro!*]: $(!!X. X:C \implies A:X) \implies A : \text{Inter } C$

by (*simp add: Inter-eq*)

A “destruct” rule – every X in C contains A as an element, but $A \in X$ can hold when $X \in C$ does not! This rule is analogous to *spec*.

lemma *InterD* [*elim*]: $A : \text{Inter } C \implies X:C \implies A:X$

by *auto*

lemma *InterE* [*elim*]: $A : \text{Inter } C \implies (X \sim C \implies R) \implies (A:X \implies R) \implies R$

— “Classical” elimination rule – does not require proving $X \in C$.

by (*unfold Inter-eq*) *blast*

lemma *Inter-lower*: $B \in A \implies \text{Inter } A \subseteq B$

by *blast*

lemma *Inter-subset*:

$$[!X. X \in A \implies X \subseteq B; A \sim \{\}] \implies \bigcap A \subseteq B$$

by *blast*

lemma *Inter-greatest*: $(!!X. X \in A \implies C \subseteq X) \implies C \subseteq \text{Inter } A$

by (*iprover intro: InterI subsetI dest: subsetD*)

lemma *Int-eq-Inter*: $A \cap B = \bigcap \{A, B\}$

by *blast*

lemma *Inter-empty* [*simp*]: $\bigcap \{\} = \text{UNIV}$

by *blast*

lemma *Inter-UNIV* [*simp*]: $\bigcap \text{UNIV} = \{\}$

by *blast*

lemma *Inter-insert* [simp]: $\bigcap (\text{insert } a \ B) = a \cap \bigcap B$
by blast

lemma *Inter-Un-subset*: $\bigcap A \cup \bigcap B \subseteq \bigcap (A \cap B)$
by blast

lemma *Inter-Un-distrib*: $\bigcap (A \cup B) = \bigcap A \cap \bigcap B$
by blast

lemma *Inter-UNIV-conv* [simp,no-atp]:
 $(\bigcap A = \text{UNIV}) = (\forall x \in A. x = \text{UNIV})$
 $(\text{UNIV} = \bigcap A) = (\forall x \in A. x = \text{UNIV})$
by blast+

lemma *Inter-anti-mono*: $B \subseteq A \implies \bigcap A \subseteq \bigcap B$
by blast

8.7 Intersections of families

abbreviation *INTER* :: 'a set \Rightarrow ('a \Rightarrow 'b set) \Rightarrow 'b set **where**
INTER \equiv *INFI*

syntax
-INTER1 :: ptnrs \Rightarrow 'b set \Rightarrow 'b set $((\exists \text{INT} \text{ -./ -}) [0, 10] 10)$
-INTER :: ptnrn \Rightarrow 'a set \Rightarrow 'b set \Rightarrow 'b set $((\exists \text{INT} \text{ :-./ -}) [0, 0, 10] 10)$

syntax (xsymbols)
-INTER1 :: ptnrs \Rightarrow 'b set \Rightarrow 'b set $((\exists \bigcap \text{ -./ -}) [0, 10] 10)$
-INTER :: ptnrn \Rightarrow 'a set \Rightarrow 'b set \Rightarrow 'b set $((\exists \bigcap \text{ -}\in\text{ -./ -}) [0, 0, 10] 10)$

syntax (latex output)
-INTER1 :: ptnrs \Rightarrow 'b set \Rightarrow 'b set $((\exists \bigcap (00\text{-}) / \text{ -}) [0, 10] 10)$
-INTER :: ptnrn \Rightarrow 'a set \Rightarrow 'b set \Rightarrow 'b set $((\exists \bigcap (00\text{-}\in\text{-}) / \text{ -}) [0, 0, 10] 10)$

translations
 $\text{INT } x \ y. B == \text{INT } x. \text{INT } y. B$
 $\text{INT } x. B == \text{CONST } \text{INTER } \text{CONST } \text{UNIV } (\%x. B)$
 $\text{INT } x. B == \text{INT } x:\text{CONST } \text{UNIV}. B$
 $\text{INT } x:A. B == \text{CONST } \text{INTER } A (\%x. B)$

print-translation \llbracket
 $\llbracket \text{Syntax.preserve-binder-abs2-tr}' @ \{ \text{const-syntax } \text{INTER} \} @ \{ \text{syntax-const -INTER} \} \rrbracket$
 \rrbracket — to avoid eta-contraction of body

lemma *INTER-eq-Inter-image*:
 $(\bigcap x \in A. B \ x) = \bigcap (B \ A)$

by (*fact INFI-def*)

lemma *Inter-def*:

$\bigcap S = (\bigcap_{x \in S}. x)$

by (*simp add: INTER-eq-Inter-image image-def*)

lemma *INTER-def*:

$(\bigcap_{x \in A}. B\ x) = \{y. \forall x \in A. y \in B\ x\}$

by (*auto simp add: INTER-eq-Inter-image Inter-eq*)

lemma *Inter-image-eq* [*simp*]:

$\bigcap (B^i A) = (\bigcap_{x \in A}. B\ x)$

by (*rule sym*) (*fact INTER-eq-Inter-image*)

lemma *INT-iff* [*simp*]: $(b: (INT\ x:A. B\ x)) = (ALL\ x:A. b: B\ x)$

by (*unfold INTER-def*) *blast*

lemma *INT-I* [*intro!*]: $(!!x. x:A ==> b: B\ x) ==> b : (INT\ x:A. B\ x)$

by (*unfold INTER-def*) *blast*

lemma *INT-D* [*elim*]: $b : (INT\ x:A. B\ x) ==> a:A ==> b: B\ a$

by *auto*

lemma *INT-E* [*elim*]: $b : (INT\ x:A. B\ x) ==> (b: B\ a ==> R) ==> (a^{\sim}:A ==> R) ==> R$

— “Classical” elimination – by the Excluded Middle on $a \in A$.

by (*unfold INTER-def*) *blast*

lemma *INT-cong* [*cong*]:

$A = B ==> (!!x. x:B ==> C\ x = D\ x) ==> (INT\ x:A. C\ x) = (INT\ x:B. D\ x)$

by (*simp add: INTER-def*)

lemma *Collect-ball-eq*: $\{x. \forall y \in A. P\ x\ y\} = (\bigcap_{y \in A}. \{x. P\ x\ y\})$

by *blast*

lemma *Collect-all-eq*: $\{x. \forall y. P\ x\ y\} = (\bigcap y. \{x. P\ x\ y\})$

by *blast*

lemma *INT-lower*: $a \in A ==> (\bigcap_{x \in A}. B\ x) \subseteq B\ a$

by (*fact INF-leI*)

lemma *INT-greatest*: $(!!x. x \in A ==> C \subseteq B\ x) ==> C \subseteq (\bigcap_{x \in A}. B\ x)$

by (*fact le-INF*)

lemma *INT-empty* [*simp*]: $(\bigcap_{x \in \{\}}. B\ x) = UNIV$

by *blast*

lemma *INT-absorb*: $k \in I ==> A\ k \cap (\bigcap_{i \in I}. A\ i) = (\bigcap_{i \in I}. A\ i)$

by *blast*

lemma *INT-subset-iff*: $(B \subseteq (\bigcap_{i \in I}. A \ i)) = (\forall i \in I. B \subseteq A \ i)$
 by (*fact le-INF-iff*)

lemma *INT-insert [simp]*: $(\bigcap x \in \text{insert } a \ A. B \ x) = B \ a \cap \text{INTER } A \ B$
 by *blast*

lemma *INT-Un*: $(\bigcap i \in A \cup B. M \ i) = (\bigcap i \in A. M \ i) \cap (\bigcap i \in B. M \ i)$
 by *blast*

lemma *INT-insert-distrib*:
 $u \in A ==> (\bigcap x \in A. \text{insert } a \ (B \ x)) = \text{insert } a \ (\bigcap x \in A. B \ x)$
 by *blast*

lemma *INT-constant [simp]*: $(\bigcap y \in A. c) = (\text{if } A = \{\} \text{ then } \text{UNIV} \text{ else } c)$
 by *auto*

lemma *INT-eq*: $(\bigcap x \in A. B \ x) = \bigcap (\{Y. \exists x \in A. Y = B \ x\})$
 — Look: it has an *existential* quantifier
 by *blast*

lemma *INTER-UNIV-conv[simp]*:
 $(\text{UNIV} = (\text{INT } x:A. B \ x)) = (\forall x \in A. B \ x = \text{UNIV})$
 $((\text{INT } x:A. B \ x) = \text{UNIV}) = (\forall x \in A. B \ x = \text{UNIV})$
 by *blast+*

lemma *INT-bool-eq*: $(\bigcap b::\text{bool}. A \ b) = (A \ \text{True} \cap A \ \text{False})$
 by (*auto intro: bool-induct*)

lemma *Pow-INT-eq*: $\text{Pow } (\bigcap x \in A. B \ x) = (\bigcap x \in A. \text{Pow } (B \ x))$
 by *blast*

lemma *INT-anti-mono*:
 $B \subseteq A ==> (!x. x \in A ==> f \ x \subseteq g \ x) ==>$
 $(\bigcap x \in A. f \ x) \subseteq (\bigcap x \in A. g \ x)$
 — The last inclusion is POSITIVE!
 by (*blast dest: subsetD*)

lemma *image-INT*: $f - ' (\text{INT } x:A. B \ x) = (\text{INT } x:A. f \ - ' B \ x)$
 by *blast*

8.8 Distributive laws

lemma *Int-Union*: $A \cap \bigcup B = (\bigcup C \in B. A \cap C)$
 by *blast*

lemma *Int-Union2*: $\bigcup B \cap A = (\bigcup C \in B. C \cap A)$
 by *blast*

lemma *Un-Union-image*: $(\bigcup_{x \in C}. A \ x \cup B \ x) = \bigcup (A' C) \cup \bigcup (B' C)$
 — Devlin, Fundamentals of Contemporary Set Theory, page 12, exercise 5:
 — Union of a family of unions
by *blast*

lemma *UN-Un-distrib*: $(\bigcup_{i \in I}. A \ i \cup B \ i) = (\bigcup_{i \in I}. A \ i) \cup (\bigcup_{i \in I}. B \ i)$
 — Equivalent version
by *blast*

lemma *Un-Inter*: $A \cup \bigcap B = (\bigcap_{C \in B}. A \cup C)$
by *blast*

lemma *Int-Inter-image*: $(\bigcap_{x \in C}. A \ x \cap B \ x) = \bigcap (A' C) \cap \bigcap (B' C)$
by *blast*

lemma *INT-Int-distrib*: $(\bigcap_{i \in I}. A \ i \cap B \ i) = (\bigcap_{i \in I}. A \ i) \cap (\bigcap_{i \in I}. B \ i)$
 — Equivalent version
by *blast*

lemma *Int-UN-distrib*: $B \cap (\bigcup_{i \in I}. A \ i) = (\bigcup_{i \in I}. B \cap A \ i)$
 — Halmos, Naive Set Theory, page 35.
by *blast*

lemma *Un-INT-distrib*: $B \cup (\bigcap_{i \in I}. A \ i) = (\bigcap_{i \in I}. B \cup A \ i)$
by *blast*

lemma *Int-UN-distrib2*: $(\bigcup_{i \in I}. A \ i) \cap (\bigcup_{j \in J}. B \ j) = (\bigcup_{i \in I}. \bigcup_{j \in J}. A \ i \cap B \ j)$
by *blast*

lemma *Un-INT-distrib2*: $(\bigcap_{i \in I}. A \ i) \cup (\bigcap_{j \in J}. B \ j) = (\bigcap_{i \in I}. \bigcap_{j \in J}. A \ i \cup B \ j)$
by *blast*

8.9 Complement

lemma *Compl-UN* [*simp*]: $-(\bigcup_{x \in A}. B \ x) = (\bigcap_{x \in A}. -B \ x)$
by *blast*

lemma *Compl-INT* [*simp*]: $-(\bigcap_{x \in A}. B \ x) = (\bigcup_{x \in A}. -B \ x)$
by *blast*

8.10 Miniscoping and maxiscoping

Miniscoping: pushing in quantifiers and big Unions and Intersections.

lemma *UN-simps* [*simp*]:
 $!!a \ B \ C. (UN \ x:C. insert \ a \ (B \ x)) = (if \ C=\{\} \ then \ \{\} \ else \ insert \ a \ (UN \ x:C. B \ x))$

$!!A \ B \ C. (UN \ x:C. A \ x \ Un \ B) = ((if \ C=\{\} \ then \ \{\} \ else \ (UN \ x:C. A \ x) \ Un \ B))$
 $!!A \ B \ C. (UN \ x:C. A \ Un \ B \ x) = ((if \ C=\{\} \ then \ \{\} \ else \ A \ Un \ (UN \ x:C. B \ x)))$
 $!!A \ B \ C. (UN \ x:C. A \ x \ Int \ B) = ((UN \ x:C. A \ x) \ Int \ B)$
 $!!A \ B \ C. (UN \ x:C. A \ Int \ B \ x) = (A \ Int \ (UN \ x:C. B \ x))$
 $!!A \ B \ C. (UN \ x:C. A \ x - B) = ((UN \ x:C. A \ x) - B)$
 $!!A \ B \ C. (UN \ x:C. A - B \ x) = (A - (INT \ x:C. B \ x))$
 $!!A \ B. (UN \ x: Union \ A. B \ x) = (UN \ y:A. UN \ x:y. B \ x)$
 $!!A \ B \ C. (UN \ z: UNION \ A \ B. C \ z) = (UN \ x:A. UN \ z: B(x). C \ z)$
 $!!A \ B \ f. (UN \ x:f'A. B \ x) = (UN \ a:A. B \ (f \ a))$
by auto

lemma *INT-simps* [*simp*]:

$!!A \ B \ C. (INT \ x:C. A \ x \ Int \ B) = (if \ C=\{\} \ then \ UNIV \ else \ (INT \ x:C. A \ x) \ Int \ B)$
 $!!A \ B \ C. (INT \ x:C. A \ Int \ B \ x) = (if \ C=\{\} \ then \ UNIV \ else \ A \ Int \ (INT \ x:C. B \ x))$
 $!!A \ B \ C. (INT \ x:C. A \ x - B) = (if \ C=\{\} \ then \ UNIV \ else \ (INT \ x:C. A \ x) - B)$
 $!!A \ B \ C. (INT \ x:C. A - B \ x) = (if \ C=\{\} \ then \ UNIV \ else \ A - (UN \ x:C. B \ x))$
 $!!a \ B \ C. (INT \ x:C. insert \ a \ (B \ x)) = insert \ a \ (INT \ x:C. B \ x)$
 $!!A \ B \ C. (INT \ x:C. A \ x \ Un \ B) = ((INT \ x:C. A \ x) \ Un \ B)$
 $!!A \ B \ C. (INT \ x:C. A \ Un \ B \ x) = (A \ Un \ (INT \ x:C. B \ x))$
 $!!A \ B. (INT \ x: Union \ A. B \ x) = (INT \ y:A. INT \ x:y. B \ x)$
 $!!A \ B \ C. (INT \ z: UNION \ A \ B. C \ z) = (INT \ x:A. INT \ z: B(x). C \ z)$
 $!!A \ B \ f. (INT \ x:f'A. B \ x) = (INT \ a:A. B \ (f \ a))$
by auto

lemma *ball-simps* [*simp*,*no-atp*]:

$!!A \ P \ Q. (ALL \ x:A. P \ x \mid Q) = ((ALL \ x:A. P \ x) \mid Q)$
 $!!A \ P \ Q. (ALL \ x:A. P \mid Q \ x) = (P \mid (ALL \ x:A. Q \ x))$
 $!!A \ P \ Q. (ALL \ x:A. P \dashv\rightarrow Q \ x) = (P \dashv\rightarrow (ALL \ x:A. Q \ x))$
 $!!A \ P \ Q. (ALL \ x:A. P \ x \dashv\rightarrow Q) = ((EX \ x:A. P \ x) \dashv\rightarrow Q)$
 $!!P. (ALL \ x:\{\}. P \ x) = True$
 $!!P. (ALL \ x:UNIV. P \ x) = (ALL \ x. P \ x)$
 $!!a \ B \ P. (ALL \ x:insert \ a \ B. P \ x) = (P \ a \ \& \ (ALL \ x:B. P \ x))$
 $!!A \ P. (ALL \ x:Union \ A. P \ x) = (ALL \ y:A. ALL \ x:y. P \ x)$
 $!!A \ B \ P. (ALL \ x: UNION \ A \ B. P \ x) = (ALL \ a:A. ALL \ x: B \ a. P \ x)$
 $!!P \ Q. (ALL \ x:Collect \ Q. P \ x) = (ALL \ x. Q \ x \dashv\rightarrow P \ x)$
 $!!A \ P \ f. (ALL \ x:f'A. P \ x) = (ALL \ x:A. P \ (f \ x))$
 $!!A \ P. (\sim (ALL \ x:A. P \ x)) = (EX \ x:A. \sim P \ x)$
by auto

lemma *bex-simps* [*simp*,*no-atp*]:

$!!A \ P \ Q. (EX \ x:A. P \ x \ \& \ Q) = ((EX \ x:A. P \ x) \ \& \ Q)$
 $!!A \ P \ Q. (EX \ x:A. P \ \& \ Q \ x) = (P \ \& \ (EX \ x:A. Q \ x))$
 $!!P. (EX \ x:\{\}. P \ x) = False$

$!!P. (EX\ x:UNIV. P\ x) = (EX\ x. P\ x)$
 $!!a\ B\ P. (EX\ x:insert\ a\ B. P\ x) = (P(a) \mid (EX\ x:B. P\ x))$
 $!!A\ P. (EX\ x:Union\ A. P\ x) = (EX\ y:A. EX\ x:y. P\ x)$
 $!!A\ B\ P. (EX\ x: UNION\ A\ B. P\ x) = (EX\ a:A. EX\ x:B\ a. P\ x)$
 $!!P\ Q. (EX\ x:Collect\ Q. P\ x) = (EX\ x. Q\ x \ \&\ P\ x)$
 $!!A\ P\ f. (EX\ x:f^*A. P\ x) = (EX\ x:A. P\ (f\ x))$
 $!!A\ P. (\sim (EX\ x:A. P\ x)) = (ALL\ x:A. \sim P\ x)$
by *auto*

lemma *ball-conj-distrib*:

$(ALL\ x:A. P\ x \ \&\ Q\ x) = ((ALL\ x:A. P\ x) \ \&\ (ALL\ x:A. Q\ x))$
by *blast*

lemma *bex-disj-distrib*:

$(EX\ x:A. P\ x \ \mid Q\ x) = ((EX\ x:A. P\ x) \ \mid (EX\ x:A. Q\ x))$
by *blast*

Maxiscoping: pulling out big Unions and Intersections.

lemma *UN-extend-simps*:

$!!a\ B\ C. insert\ a\ (UN\ x:C. B\ x) = (if\ C=\{\} \ then\ \{a\} \ else\ (UN\ x:C. insert\ a\ (B\ x)))$
 $!!A\ B\ C. (UN\ x:C. A\ x) \ Un\ B = (if\ C=\{\} \ then\ B \ else\ (UN\ x:C. A\ x \ Un\ B))$
 $!!A\ B\ C. A \ Un\ (UN\ x:C. B\ x) = (if\ C=\{\} \ then\ A \ else\ (UN\ x:C. A \ Un\ B\ x))$
 $!!A\ B\ C. ((UN\ x:C. A\ x) \ Int\ B) = (UN\ x:C. A\ x \ Int\ B)$
 $!!A\ B\ C. (A \ Int\ (UN\ x:C. B\ x)) = (UN\ x:C. A \ Int\ B\ x)$
 $!!A\ B\ C. ((UN\ x:C. A\ x) - B) = (UN\ x:C. A\ x - B)$
 $!!A\ B\ C. (A - (INT\ x:C. B\ x)) = (UN\ x:C. A - B\ x)$
 $!!A\ B. (UN\ y:A. UN\ x:y. B\ x) = (UN\ x: Union\ A. B\ x)$
 $!!A\ B\ C. (UN\ x:A. UN\ z: B(x). C\ z) = (UN\ z: UNION\ A\ B. C\ z)$
 $!!A\ B\ f. (UN\ a:A. B\ (f\ a)) = (UN\ x:f^*A. B\ x)$
by *auto*

lemma *INT-extend-simps*:

$!!A\ B\ C. (INT\ x:C. A\ x) \ Int\ B = (if\ C=\{\} \ then\ B \ else\ (INT\ x:C. A\ x \ Int\ B))$
 $!!A\ B\ C. A \ Int\ (INT\ x:C. B\ x) = (if\ C=\{\} \ then\ A \ else\ (INT\ x:C. A \ Int\ B\ x))$
 $!!A\ B\ C. (INT\ x:C. A\ x) - B = (if\ C=\{\} \ then\ UNIV-B \ else\ (INT\ x:C. A\ x - B))$
 $!!A\ B\ C. A - (UN\ x:C. B\ x) = (if\ C=\{\} \ then\ A \ else\ (INT\ x:C. A - B\ x))$
 $!!a\ B\ C. insert\ a\ (INT\ x:C. B\ x) = (INT\ x:C. insert\ a\ (B\ x))$
 $!!A\ B\ C. ((INT\ x:C. A\ x) \ Un\ B) = (INT\ x:C. A\ x \ Un\ B)$
 $!!A\ B\ C. A \ Un\ (INT\ x:C. B\ x) = (INT\ x:C. A \ Un\ B\ x)$
 $!!A\ B. (INT\ y:A. INT\ x:y. B\ x) = (INT\ x: Union\ A. B\ x)$
 $!!A\ B\ C. (INT\ x:A. INT\ z: B(x). C\ z) = (INT\ z: UNION\ A\ B. C\ z)$
 $!!A\ B\ f. (INT\ a:A. B\ (f\ a)) = (INT\ x:f^*A. B\ x)$
by *auto*

no-notation

less-eq (**infix** \sqsubseteq 50) **and**

```

less (infix  $\sqsubset$  50) and
inf (infixl  $\sqcap$  70) and
sup (infixl  $\sqcup$  65) and
Inf ( $\sqcap$  - [900] 900) and
Sup ( $\sqcup$  - [900] 900) and
top ( $\top$ ) and
bot ( $\perp$ )

lemmas mem-simps =
  insert-iff empty-iff Un-iff Int-iff Compl-iff Diff-iff
  mem-Collect-eq UN-iff Union-iff INT-iff Inter-iff
  — Each of these has ALREADY been added [simp] above.

end

```

9 Inductive: Knaster-Tarski Fixpoint Theorem and inductive definitions

```

theory Inductive
imports Complete-Lattice
uses
  (Tools/inductive.ML)
  Tools/dseq.ML
  (Tools/inductive-codegen.ML)
  Tools/Datatype/datatype-aux.ML
  Tools/Datatype/datatype-prop.ML
  Tools/Datatype/datatype-case.ML
  (Tools/Datatype/datatype-abs-proofs.ML)
  (Tools/Datatype/datatype-data.ML)
  (Tools/old-primrec.ML)
  (Tools/primrec.ML)
  (Tools/Datatype/datatype-codegen.ML)
begin

```

9.1 Least and greatest fixed points

```

context complete-lattice
begin

```

definition

```

lfp :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a where
lfp f = Inf {u. f u  $\leq$  u} — least fixed point

```

definition

```

gfp :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a where
gfp f = Sup {u. u  $\leq$  f u} — greatest fixed point

```

9.2 Proof of Knaster-Tarski Theorem using *lfp*

lfp *f* is the least upper bound of the set $\{u. f\ u \leq u\}$

lemma *lfp-lowerbound*: $f\ A \leq A \implies lfp\ f \leq A$
by (*auto simp add: lfp-def intro: Inf-lower*)

lemma *lfp-greatest*: $(!!u. f\ u \leq u \implies A \leq u) \implies A \leq lfp\ f$
by (*auto simp add: lfp-def intro: Inf-greatest*)

end

lemma *lfp-lemma2*: $mono\ f \implies f\ (lfp\ f) \leq lfp\ f$
by (*iprover intro: lfp-greatest order-trans monoD lfp-lowerbound*)

lemma *lfp-lemma3*: $mono\ f \implies lfp\ f \leq f\ (lfp\ f)$
by (*iprover intro: lfp-lemma2 monoD lfp-lowerbound*)

lemma *lfp-unfold*: $mono\ f \implies lfp\ f = f\ (lfp\ f)$
by (*iprover intro: order-antisym lfp-lemma2 lfp-lemma3*)

lemma *lfp-const*: $lfp\ (\lambda x. t) = t$
by (*rule lfp-unfold*) (*simp add: mono-def*)

9.3 General induction rules for least fixed points

theorem *lfp-induct*:

assumes *mono*: $mono\ f$ **and** *ind*: $f\ (inf\ (lfp\ f)\ P) \leq P$
shows $lfp\ f \leq P$

proof –

have $inf\ (lfp\ f)\ P \leq lfp\ f$ **by** (*rule inf-le1*)
with *mono* **have** $f\ (inf\ (lfp\ f)\ P) \leq f\ (lfp\ f)$ **..**
also from *mono* **have** $f\ (lfp\ f) = lfp\ f$ **by** (*rule lfp-unfold [symmetric]*)
finally have $f\ (inf\ (lfp\ f)\ P) \leq lfp\ f$ **.**
from this and *ind* **have** $f\ (inf\ (lfp\ f)\ P) \leq inf\ (lfp\ f)\ P$ **by** (*rule le-infI*)
hence $lfp\ f \leq inf\ (lfp\ f)\ P$ **by** (*rule lfp-lowerbound*)
also have $inf\ (lfp\ f)\ P \leq P$ **by** (*rule inf-le2*)
finally show *?thesis* **.**

qed

lemma *lfp-induct-set*:

assumes *lfp*: $a: lfp(f)$
and *mono*: $mono(f)$
and *indhyp*: $!!x. [| x: f(lfp(f)\ Int\ \{x. P(x)\}) |] \implies P(x)$
shows $P(a)$
by (*rule lfp-induct [THEN subsetD, THEN CollectD, OF mono - lfp]*)
(auto simp: intro: indhyp)

lemma *lfp-ordinal-induct*:

fixes $f :: 'a::complete-lattice \Rightarrow 'a$

```

assumes mono: mono f
and P-f:  $\bigwedge S. P\ S \implies P\ (f\ S)$ 
and P-Union:  $\bigwedge M. \forall S \in M. P\ S \implies P\ (\text{Sup } M)$ 
shows  $P\ (\text{lfp } f)$ 
proof –
  let  $?M = \{S. S \leq \text{lfp } f \wedge P\ S\}$ 
  have  $P\ (\text{Sup } ?M)$  using P-Union by simp
  also have  $\text{Sup } ?M = \text{lfp } f$ 
  proof (rule antisym)
    show  $\text{Sup } ?M \leq \text{lfp } f$  by (blast intro: Sup-least)
    hence  $f\ (\text{Sup } ?M) \leq f\ (\text{lfp } f)$  by (rule mono [THEN monoD])
    hence  $f\ (\text{Sup } ?M) \leq \text{lfp } f$  using mono [THEN lfp-unfold] by simp
    hence  $f\ (\text{Sup } ?M) \in ?M$  using P-f P-Union by simp
    hence  $f\ (\text{Sup } ?M) \leq \text{Sup } ?M$  by (rule Sup-upper)
    thus  $\text{lfp } f \leq \text{Sup } ?M$  by (rule lfp-lowerbound)
  qed
  finally show ?thesis .
qed

```

```

lemma lfp-ordinal-induct-set:
  assumes mono: mono f
  and P-f:  $\forall S. P\ S \implies P\ (f\ S)$ 
  and P-Union:  $\forall M. \forall S \in M. P\ S \implies P\ (\text{Union } M)$ 
  shows  $P\ (\text{lfp } f)$ 
  using assms by (rule lfp-ordinal-induct [where P=P])

```

Definition forms of *lfp-unfold* and *lfp-induct*, to control unfolding

```

lemma def-lfp-unfold:  $\llbracket h == \text{lfp}(f); \text{mono}(f) \rrbracket \implies h = f(h)$ 
by (auto intro!: lfp-unfold)

```

```

lemma def-lfp-induct:
   $\llbracket A == \text{lfp}(f); \text{mono}(f);$ 
     $f\ (\text{inf } A\ P) \leq P$ 
   $\rrbracket \implies A \leq P$ 
by (blast intro: lfp-induct)

```

```

lemma def-lfp-induct-set:
   $\llbracket A == \text{lfp}(f); \text{mono}(f); a:A;$ 
     $\forall x. \llbracket x: f(A\ \text{Int } \{x. P(x)\}) \rrbracket \implies P(x)$ 
   $\rrbracket \implies P(a)$ 
by (blast intro: lfp-induct-set)

```

```

lemma lfp-mono:  $(\forall Z. f\ Z \leq g\ Z) \implies \text{lfp } f \leq \text{lfp } g$ 
by (rule lfp-lowerbound [THEN lfp-greatest], blast intro: order-trans)

```

9.4 Proof of Knaster-Tarski Theorem using *gfp*

gfp f is the greatest lower bound of the set $\{u. u \leq f\ u\}$

```

lemma gfp-upperbound:  $X \leq f X \implies X \leq \text{gfp } f$ 
  by (auto simp add: gfp-def intro: Sup-upper)

lemma gfp-least:  $(!!u. u \leq f u \implies u \leq X) \implies \text{gfp } f \leq X$ 
  by (auto simp add: gfp-def intro: Sup-least)

lemma gfp-lemma2:  $\text{mono } f \implies \text{gfp } f \leq f (\text{gfp } f)$ 
  by (iprover intro: gfp-least order-trans monoD gfp-upperbound)

lemma gfp-lemma3:  $\text{mono } f \implies f (\text{gfp } f) \leq \text{gfp } f$ 
  by (iprover intro: gfp-lemma2 monoD gfp-upperbound)

lemma gfp-unfold:  $\text{mono } f \implies \text{gfp } f = f (\text{gfp } f)$ 
  by (iprover intro: order-antisym gfp-lemma2 gfp-lemma3)

```

9.5 Coinduction rules for greatest fixed points

weak version

```

lemma weak-coinduct:  $[| a : X; X \subseteq f(X) |] \implies a : \text{gfp}(f)$ 
by (rule gfp-upperbound [THEN subsetD], auto)

lemma weak-coinduct-image:  $!!X. [| a : X; g'X \subseteq f (g'X) |] \implies g a : \text{gfp } f$ 
apply (erule gfp-upperbound [THEN subsetD])
apply (erule imageI)
done

```

```

lemma coinduct-lemma:
   $[| X \leq f (\text{sup } X (\text{gfp } f)); \text{mono } f |] \implies \text{sup } X (\text{gfp } f) \leq f (\text{sup } X (\text{gfp } f))$ 
apply (frule gfp-lemma2)
apply (drule mono-sup)
apply (rule le-supI)
apply assumption
apply (rule order-trans)
apply (rule order-trans)
apply assumption
apply (rule sup-ge2)
apply assumption
done

```

strong version, thanks to Coen and Frost

```

lemma coinduct-set:  $[| \text{mono}(f); a : X; X \subseteq f(X \text{ Un } \text{gfp}(f)) |] \implies a : \text{gfp}(f)$ 
by (blast intro: weak-coinduct [OF coinduct-lemma])

lemma coinduct:  $[| \text{mono}(f); X \leq f (\text{sup } X (\text{gfp } f)) |] \implies X \leq \text{gfp}(f)$ 
apply (rule order-trans)
apply (rule sup-ge1)
apply (erule gfp-upperbound [OF coinduct-lemma])
apply assumption
done

```

lemma *gfp-fun-UnI2*: $[| \text{mono}(f); a: \text{gfp}(f) |] \implies a: f(X \text{ Un } \text{gfp}(f))$
by (*blast dest: GFP-lemma2 mono-Un*)

9.6 Even Stronger Coinduction Rule, by Martin Coen

Weakens the condition $X \subseteq f X$ to one expressed using both *lfp* and *gfp*

lemma *coinduct3-mono-lemma*: $\text{mono}(f) \implies \text{mono}(\%x. f(x) \text{ Un } X \text{ Un } B)$
by (*iprover intro: subset-refl monoI Un-mono monoD*)

lemma *coinduct3-lemma*:

$[| X \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f))); \text{mono}(f) |]$
 $\implies \text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f)) \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f)))$

apply (*rule subset-trans*)

apply (*erule coinduct3-mono-lemma [THEN lfp-lemma3]*)

apply (*rule Un-least [THEN Un-least]*)

apply (*rule subset-refl, assumption*)

apply (*rule GFP-unfold [THEN equalityD1, THEN subset-trans], assumption*)

apply (*rule monoD [where f=f], assumption*)

apply (*subst coinduct3-mono-lemma [THEN lfp-unfold], auto*)

done

lemma *coinduct3*:

$[| \text{mono}(f); a: X; X \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } \text{gfp}(f))) |] \implies a: \text{gfp}(f)$

apply (*rule coinduct3-lemma [THEN [2] weak-coinduct]*)

apply (*rule coinduct3-mono-lemma [THEN lfp-unfold, THEN ssubst], auto*)

done

Definition forms of *gfp-unfold* and *coinduct*, to control unfolding

lemma *def-gfp-unfold*: $[| A == \text{gfp}(f); \text{mono}(f) |] \implies A = f(A)$

by (*auto intro!: GFP-unfold*)

lemma *def-coinduct*:

$[| A == \text{gfp}(f); \text{mono}(f); X \leq f(\text{sup } X A) |] \implies X \leq A$

by (*iprover intro!: coinduct*)

lemma *def-coinduct-set*:

$[| A == \text{gfp}(f); \text{mono}(f); a: X; X \subseteq f(X \text{ Un } A) |] \implies a: A$

by (*auto intro!: coinduct-set*)

lemma *def-Collect-coinduct*:

$[| A == \text{gfp}(\%w. \text{Collect}(P(w))); \text{mono}(\%w. \text{Collect}(P(w)));$
 $a: X; !!z. z: X \implies P(X \text{ Un } A) z |] \implies$

$a: A$

apply (*erule def-coinduct-set, auto*)

done

lemma *def-coinduct3*:

$$[| A == \text{gfp}(f); \text{mono}(f); a:X; X \subseteq f(\text{lfp}(\%x. f(x) \text{ Un } X \text{ Un } A)) |] ==> a: A$$

by (*auto intro!; coinduct3*)

Monotonicity of *gfp*!

lemma *gfp-mono*: (!Z. $f Z \leq g Z$) ==> $\text{gfp } f \leq \text{gfp } g$
by (*rule gfp-upperbound [THEN gfp-least], blast intro: order-trans*)

9.7 Inductive predicates and sets

Package setup.

theorems *basic-monos* =
subset-refl imp-refl disj-mono conj-mono ex-mono all-mono if-bool-eq-conj
Collect-mono in-mono vimage-mono

use *Tools/inductive.ML*
setup *Inductive.setup*

theorems [*mono*] =
imp-refl disj-mono conj-mono ex-mono all-mono if-bool-eq-conj
imp-mono not-mono
Ball-def Bex-def
induct-rulify-fallback

9.8 Inductive datatypes and primitive recursion

Package setup.

use *Tools/Datatype/datatype-abs-proofs.ML*
use *Tools/Datatype/datatype-data.ML*
setup *Datatype-Data.setup*

use *Tools/Datatype/datatype-codegen.ML*
setup *Datatype-Codegen.setup*

use *Tools/old-primrec.ML*
use *Tools/primrec.ML*

use *Tools/inductive-codegen.ML*
setup *InductiveCodegen.setup*

Lambda-abstractions with pattern matching:

syntax
 $\text{-lam-pats-syntax} :: \text{cases-syn} \Rightarrow 'a \Rightarrow 'b \quad ((\% -) 10)$
syntax (*xsymbols*)
 $\text{-lam-pats-syntax} :: \text{cases-syn} \Rightarrow 'a \Rightarrow 'b \quad ((\lambda -) 10)$

parse-translation (**advanced**) \ll
let
 $\text{fun fun-tr ctxt [cs] =}$

```

    let
      val x = Free (Name.variant (Term.add-free-names cs []) x, dummyT);
      val ft = Datatype-Case.case-tr true Datatype-Data.info-of-constr ctxt [x, cs];
    in lambda x ft end
  in [(@{syntax-const -lam-pats-syntax}, fun-tr)] end
>>

end

```

10 Fun: Notions about functions

```

theory Fun
imports Complete-Lattice
begin

```

As a simplification rule, it replaces all function equalities by first-order equalities.

```

lemma expand-fun-eq: f = g  $\longleftrightarrow$  ( $\forall x. f\ x = g\ x$ )
apply (rule iffI)
apply (simp (no-asm-simp))
apply (rule ext)
apply (simp (no-asm-simp))
done

```

```

lemma apply-inverse:
  f x = u  $\implies$  ( $\bigwedge x. P\ x \implies g\ (f\ x) = x$ )  $\implies$  P x  $\implies$  x = g u
by auto

```

10.1 The Identity Function *id*

```

definition
  id :: 'a  $\Rightarrow$  'a
where
  id = ( $\lambda x. x$ )

```

```

lemma id-apply [simp]: id x = x
by (simp add: id-def)

```

```

lemma image-ident [simp]: (%x. x) ‘ Y = Y
by blast

```

```

lemma image-id [simp]: id ‘ Y = Y
by (simp add: id-def)

```

```

lemma vimage-ident [simp]: (%x. x) -‘ Y = Y
by blast

```

```

lemma vimage-id [simp]: id -‘ A = A

```

by (simp add: id-def)

10.2 The Composition Operator $f \circ g$

definition

$comp :: ('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'c$ (infixl 55)

where

$f \circ g = (\lambda x. f (g x))$

notation (*xsymbols*)

$comp$ (infixl 55)

notation (*HTML output*)

$comp$ (infixl 55)

compatibility

lemmas $o\text{-def} = comp\text{-def}$

lemma $o\text{-apply}$ [simp]: $(f \circ g) x = f (g x)$

by (simp add: comp-def)

lemma $o\text{-assoc}$: $f \circ (g \circ h) = f \circ g \circ h$

by (simp add: comp-def)

lemma $id\text{-o}$ [simp]: $id \circ g = g$

by (simp add: comp-def)

lemma $o\text{-id}$ [simp]: $f \circ id = f$

by (simp add: comp-def)

lemma $o\text{-eq-dest}$:

$a \circ b = c \circ d \implies a (b v) = c (d v)$

by (simp only: o-def) (fact fun-cong)

lemma $o\text{-eq-elim}$:

$a \circ b = c \circ d \implies ((\bigwedge v. a (b v) = c (d v)) \implies R) \implies R$

by (erule meta-mp) (fact o-eq-dest)

lemma $image\text{-compose}$: $(f \circ g) \text{ ` } r = f \text{ ` } (g \text{ ` } r)$

by (simp add: comp-def, blast)

lemma $image\text{-compose}$: $(g \circ f) \text{ - ` } x = f \text{ - ` } (g \text{ - ` } x)$

by auto

lemma $UN\text{-o}$: $UNION A (g \circ f) = UNION (f \text{ ` } A) g$

by (unfold comp-def, blast)

10.3 The Forward Composition Operator $fcomp$

definition

fcomp :: ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'c (**infixl** o> 60)

where

f o> *g* = ($\lambda x. g (f x)$)

lemma *fcomp-apply*: (*f* o> *g*) *x* = *g* (*f* *x*)

by (*simp add: fcomp-def*)

lemma *fcomp-assoc*: (*f* o> *g*) o> *h* = *f* o> (*g* o> *h*)

by (*simp add: fcomp-def*)

lemma *id-fcomp* [*simp*]: *id* o> *g* = *g*

by (*simp add: fcomp-def*)

lemma *fcomp-id* [*simp*]: *f* o> *id* = *f*

by (*simp add: fcomp-def*)

code-const *fcomp*

(*Eval* **infixl** 1 #>)

no-notation *fcomp* (**infixl** o> 60)

10.4 Injectivity and Surjectivity

definition

inj-on :: ['a \Rightarrow 'b, 'a set] \Rightarrow bool **where**

— injective

inj-on *f* *A* == ! *x*:*A*. ! *y*:*A*. *f*(*x*)=*f*(*y*) \longrightarrow *x*=*y*

A common special case: functions injective over the entire domain type.

abbreviation

inj *f* == *inj-on* *f* *UNIV*

definition

bij-betw :: ('a \Rightarrow 'b) \Rightarrow 'a set \Rightarrow 'b set \Rightarrow bool **where** — bijective

[*code del*]: *bij-betw* *f* *A* *B* \longleftrightarrow *inj-on* *f* *A* & *f* ' *A* = *B*

definition

surj :: ('a \Rightarrow 'b) \Rightarrow bool **where**

— surjective

surj *f* == ! *y*. ? *x*. *y*=*f*(*x*)

definition

bij :: ('a \Rightarrow 'b) \Rightarrow bool **where**

— bijective

bij *f* == *inj* *f* & *surj* *f*

lemma *injI*:

assumes $\bigwedge x y. f x = f y \Longrightarrow x = y$

shows *inj* *f*

using *assms* **unfolding** *inj-on-def* **by** *auto*

For Proofs in *Tools/Datatype/datatype-rep-proofs*

lemma *datatype-injI*:

(!! *x. ALL y. f(x) = f(y) --> x=y*) ==> *inj(f)*
by (*simp add: inj-on-def*)

theorem *range-ex1-eq*: *inj f ==> b : range f = (EX! x. b = f x)*

by (*unfold inj-on-def, blast*)

lemma *injD*: [*inj(f); f(x) = f(y)*] ==> *x=y*

by (*simp add: inj-on-def*)

lemma *inj-on-eq-iff*: *inj-on f A ==> x:A ==> y:A ==> (f(x) = f(y)) = (x=y)*

by (*force simp add: inj-on-def*)

lemma *inj-eq*: *inj f ==> (f(x) = f(y)) = (x=y)*

by (*simp add: inj-on-eq-iff*)

lemma *inj-on-id[simp]*: *inj-on id A*

by (*simp add: inj-on-def*)

lemma *inj-on-id2[simp]*: *inj-on (%x. x) A*

by (*simp add: inj-on-def*)

lemma *surj-id[simp]*: *surj id*

by (*simp add: surj-def*)

lemma *bij-id[simp]*: *bij id*

by (*simp add: bij-def*)

lemma *inj-onI*:

(!! *x y. [x:A; y:A; f(x) = f(y)] ==> x=y*) ==> *inj-on f A*
by (*simp add: inj-on-def*)

lemma *inj-on-inverseI*: (!!*x. x:A ==> g(f(x)) = x*) ==> *inj-on f A*

by (*auto dest: arg-cong [of concl: g] simp add: inj-on-def*)

lemma *inj-onD*: [*inj-on f A; f(x)=f(y); x:A; y:A*] ==> *x=y*

by (*unfold inj-on-def, blast*)

lemma *inj-on-iff*: [*inj-on f A; x:A; y:A*] ==> (*f(x)=f(y)*) = (*x=y*)

by (*blast dest!: inj-onD*)

lemma *comp-inj-on*:

[*inj-on f A; inj-on g (f'A)*] ==> *inj-on (g o f) A*
by (*simp add: comp-def inj-on-def*)

lemma *inj-on-imageI*: *inj-on (g o f) A ==> inj-on g (f ' A)*

```

apply(simp add:inj-on-def image-def)
apply blast
done

```

```

lemma inj-on-image-iff:  $\llbracket \text{ALL } x:A. \text{ ALL } y:A. (g(f\ x) = g(f\ y)) = (g\ x = g\ y);$ 
   $\text{inj-on } f\ A \rrbracket \implies \text{inj-on } g\ (f\ `A) = \text{inj-on } g\ A$ 
apply(unfold inj-on-def)
apply blast
done

```

```

lemma inj-on-contrad:  $\llbracket \text{inj-on } f\ A; \sim x=y; x:A; y:A \rrbracket \implies \sim f(x)=f(y)$ 
by (unfold inj-on-def, blast)

```

```

lemma inj-singleton:  $\text{inj } (\%s. \{s\})$ 
by (simp add: inj-on-def)

```

```

lemma inj-on-empty[iff]:  $\text{inj-on } f\ \{\}$ 
by(simp add: inj-on-def)

```

```

lemma subset-inj-on:  $\llbracket \text{inj-on } f\ B; A \leq B \rrbracket \implies \text{inj-on } f\ A$ 
by (unfold inj-on-def, blast)

```

```

lemma inj-on-Un:
   $\text{inj-on } f\ (A \cup B) =$ 
   $(\text{inj-on } f\ A \ \& \ \text{inj-on } f\ B \ \& \ f\ `(A-B) \ \text{Int } f\ `(B-A) = \{\})$ 
apply(unfold inj-on-def)
apply (blast intro:sym)
done

```

```

lemma inj-on-insert[iff]:
   $\text{inj-on } f\ (\text{insert } a\ A) = (\text{inj-on } f\ A \ \& \ f\ a \sim: f\ `(A-\{a\}))$ 
apply(unfold inj-on-def)
apply (blast intro:sym)
done

```

```

lemma inj-on-diff:  $\text{inj-on } f\ A \implies \text{inj-on } f\ (A-B)$ 
apply(unfold inj-on-def)
apply (blast)
done

```

```

lemma surjI:  $(!! x. g(f\ x) = x) \implies \text{surj } g$ 
apply (simp add: surj-def)
apply (blast intro: sym)
done

```

```

lemma surj-range:  $\text{surj } f \implies \text{range } f = \text{UNIV}$ 
by (auto simp add: surj-def)

```

```

lemma surjD:  $\text{surj } f \implies \exists x. y = f\ x$ 

```

by (*simp add: surj-def*)

lemma *surjE*: *surj f ==> (!x. y = f x ==> C) ==> C*
by (*simp add: surj-def, blast*)

lemma *comp-surj*: *[| surj f; surj g |] ==> surj (g o f)*
apply (*simp add: comp-def surj-def, clarify*)
apply (*drule-tac x = y in spec, clarify*)
apply (*drule-tac x = x in spec, blast*)
done

lemma *bijI*: *[| inj f; surj f |] ==> bij f*
by (*simp add: bij-def*)

lemma *bij-is-inj*: *bij f ==> inj f*
by (*simp add: bij-def*)

lemma *bij-is-surj*: *bij f ==> surj f*
by (*simp add: bij-def*)

lemma *bij-betw-imp-inj-on*: *bij-betw f A B ==> inj-on f A*
by (*simp add: bij-betw-def*)

lemma *bij-comp*: *bij f ==> bij g ==> bij (g o f)*
by(*fastsimp intro: comp-inj-on comp-surj simp: bij-def surj-range*)

lemma *bij-betw-trans*:
bij-betw f A B ==> bij-betw g B C ==> bij-betw (g o f) A C
by(*auto simp add: bij-betw-def comp-inj-on*)

lemma *bij-betw-inv*: **assumes** *bij-betw f A B* **shows** *EX g. bij-betw g B A*
proof –

have *i: inj-on f A* **and** *s: f ‘ A = B*
using *assms* **by**(*auto simp: bij-betw-def*)
let *?P = %b a. a: A ∧ f a = b* **let** *?g = %b. The (?P b)*
{ fix a b assume P: ?P b a
hence *ex1: ∃ a. ?P b a* **using** *s* **unfolding** *image-def* **by** *blast*
hence *uex1: ∃! a. ?P b a* **by**(*blast dest: inj-onD[OF i]*)
hence *?g b = a* **using** *the1-equality[OF uex1, OF P]* *P* **by** *simp*
} **note** *g = this*
have *inj-on ?g B*
proof(*rule inj-onI*)
fix x y assume x: B y: B ?g x = ?g y
from *s ⟨x: B⟩* **obtain** *a1* **where** *a1: ?P x a1* **unfolding** *image-def* **by** *blast*
from *s ⟨y: B⟩* **obtain** *a2* **where** *a2: ?P y a2* **unfolding** *image-def* **by** *blast*
from *g[OF a1] a1 g[OF a2] a2 ⟨?g x = ?g y⟩* **show** *x=y* **by** *simp*
qed
moreover **have** *?g ‘ B = A*
proof(*auto simp: image-def*)

```

fix b assume b:B
with s obtain a where P: ?P b a unfolding image-def by blast
thus ?g b ∈ A using g[OF P] by auto
next
fix a assume a:A
then obtain b where P: ?P b a using s unfolding image-def by blast
then have b:B using s unfolding image-def by blast
with g[OF P] show ∃ b∈B. a = ?g b by blast
qed
ultimately show ?thesis by(auto simp:bij-betw-def)
qed

```

```

lemma surj-image-vimage-eq: surj f ==> f ‘ (f –‘ A) = A
by (simp add: surj-range)

```

```

lemma inj-vimage-image-eq: inj f ==> f –‘ (f ‘ A) = A
by (simp add: inj-on-def, blast)

```

```

lemma vimage-subsetD: surj f ==> f –‘ B <= A ==> B <= f ‘ A
apply (unfold surj-def)
apply (blast intro: sym)
done

```

```

lemma vimage-subsetI: inj f ==> B <= f ‘ A ==> f –‘ B <= A
by (unfold inj-on-def, blast)

```

```

lemma vimage-subset-eq: bij f ==> (f –‘ B <= A) = (B <= f ‘ A)
apply (unfold bij-def)
apply (blast del: subsetI intro: vimage-subsetI vimage-subsetD)
done

```

```

lemma inj-on-Un-image-eq-iff: inj-on f (A ∪ B) ==> f ‘ A = f ‘ B ⟷ A = B
by(blast dest: inj-onD)

```

```

lemma inj-on-image-Int:
  [| inj-on f C; A<=C; B<=C |] ==> f‘(A Int B) = f‘A Int f‘B
apply (simp add: inj-on-def, blast)
done

```

```

lemma inj-on-image-set-diff:
  [| inj-on f C; A<=C; B<=C |] ==> f‘(A-B) = f‘A - f‘B
apply (simp add: inj-on-def, blast)
done

```

```

lemma image-Int: inj f ==> f‘(A Int B) = f‘A Int f‘B
by (simp add: inj-on-def, blast)

```

```

lemma image-set-diff: inj f ==> f‘(A-B) = f‘A - f‘B
by (simp add: inj-on-def, blast)

```


lemma *inj-image-mem-iff*: $\text{inj } f \implies (f \text{ ` } a : f^{\text{'}}A) = (a : A)$
by (*blast dest: injD*)

lemma *inj-image-subset-iff*: $\text{inj } f \implies (f^{\text{'}}A \leq f^{\text{'}}B) = (A \leq B)$
by (*simp add: inj-on-def, blast*)

lemma *inj-image-eq-iff*: $\text{inj } f \implies (f^{\text{'}}A = f^{\text{'}}B) = (A = B)$
by (*blast dest: injD*)

lemma *image-INT*:

$$[\text{inj-on } f \text{ ` } C; \text{ ALL } x:A. B \text{ ` } x \leq C; \text{ ` } j:A] \implies f^{\text{'}} (INTER \ A \ B) = (INT \ x:A. f^{\text{'}} \ B \ x)$$

apply (*simp add: inj-on-def, blast*)
done

lemma *bij-image-INT*: $\text{bij } f \implies f^{\text{'}} (INTER \ A \ B) = (INT \ x:A. f^{\text{'}} \ B \ x)$
apply (*simp add: bij-def*)
apply (*simp add: inj-on-def surj-def, blast*)
done

lemma *surj-Compl-image-subset*: $\text{surj } f \implies \neg(f^{\text{'}}A) \leq f^{\text{'}}(\neg A)$
by (*auto simp add: surj-def*)

lemma *inj-image-Compl-subset*: $\text{inj } f \implies f^{\text{'}}(\neg A) \leq \neg(f^{\text{'}}A)$
by (*auto simp add: inj-on-def*)

lemma *bij-image-Compl-eq*: $\text{bij } f \implies f^{\text{'}}(\neg A) = \neg(f^{\text{'}}A)$
apply (*simp add: bij-def*)
apply (*rule equalityI*)
apply (*simp-all (no-asm-simp) add: inj-image-Compl-subset surj-Compl-image-subset*)
done

lemma (*in ordered-ab-group-add*) *inj-uminus*[*simp, intro*]: *inj-on uminus A*
by (*auto intro!: inj-onI*)

lemma (*in linorder*) *strict-mono-imp-inj-on*: *strict-mono f \implies inj-on f A*
by (*auto intro!: inj-onI dest: strict-mono-eq*)

10.5 Function Updating

definition
 $\text{fun-upd} :: ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a \Rightarrow 'b) \text{ where}$
 $\text{fun-upd } f \ a \ b == \% \ x. \text{ if } x=a \text{ then } b \text{ else } f \ x$

nonterminals
updbinds updbind

syntax

```

-updbind :: ['a, 'a] => updbind      ((2- := / -))
          :: updbind => updbinds      (-)
-updbinds:: [updbind, updbinds] => updbinds (-, / -)
-Update   :: ['a, updbinds] => 'a      (-/'((-)') [1000, 0] 900)

```

translations

```

-Update f (-updbinds b bs) == -Update (-Update f b) bs
f(x:=y) == CONST fun-upd f x y

```

lemma *fun-upd-idem-iff*: $(f(x:=y) = f) = (f\ x = y)$

apply (*simp add: fun-upd-def, safe*)

apply (*erule subst*)

apply (*rule-tac [2] ext, auto*)

done

lemmas *fun-upd-idem = fun-upd-idem-iff [THEN iffD2, standard]*

lemmas *fun-upd-triv = refl [THEN fun-upd-idem]*

declare *fun-upd-triv [iff]*

lemma *fun-upd-apply [simp]*: $(f(x:=y))z = (if\ z=x\ then\ y\ else\ f\ z)$

by (*simp add: fun-upd-def*)

lemma *fun-upd-same*: $(f(x:=y))\ x = y$

by *simp*

lemma *fun-upd-other*: $z \sim x ==> (f(x:=y))\ z = f\ z$

by *simp*

lemma *fun-upd-upd [simp]*: $f(x:=y, x:=z) = f(x:=z)$

by (*simp add: expand-fun-eq*)

lemma *fun-upd-twist*: $a \sim c ==> (m(a:=b))(c:=d) = (m(c:=d))(a:=b)$

by (*rule ext, auto*)

lemma *inj-on-fun-updI*: $\llbracket\ inj\ on\ f\ A;\ y \notin f'A \rrbracket \implies inj\ on\ (f(x:=y))\ A$

by (*fastsimp simp: inj-on-def image-def*)

lemma *fun-upd-image*:

$f(x:=y) \text{ ' } A = (if\ x \in A\ then\ insert\ y\ (f \text{ ' } (A - \{x\}))\ else\ f \text{ ' } A)$

by *auto*

lemma *fun-upd-comp*: $f \circ (g(x := y)) = (f \circ g)(x := f\ y)$

by (*auto intro: ext*)

10.6 *override-on*

definition

$override-on :: ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \text{ set} \Rightarrow 'a \Rightarrow 'b$

where

$override-on f g A = (\lambda a. \text{ if } a \in A \text{ then } g a \text{ else } f a)$

lemma *override-on-emptyset*[simp]: $override-on f g \{\} = f$

by(*simp add: override-on-def*)

lemma *override-on-apply-notin*[simp]: $a \sim: A ==> (override-on f g A) a = f a$

by(*simp add: override-on-def*)

lemma *override-on-apply-in*[simp]: $a : A ==> (override-on f g A) a = g a$

by(*simp add: override-on-def*)

10.7 *swap*

definition

$swap :: 'a \Rightarrow 'a \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$

where

$swap a b f = f (a := f b, b := f a)$

lemma *swap-self* [simp]: $swap a a f = f$

by (*simp add: swap-def*)

lemma *swap-commute*: $swap a b f = swap b a f$

by (*rule ext, simp add: fun-upd-def swap-def*)

lemma *swap-nilpotent* [simp]: $swap a b (swap a b f) = f$

by (*rule ext, simp add: fun-upd-def swap-def*)

lemma *swap-triple*:

assumes $a \neq c$ **and** $b \neq c$

shows $swap a b (swap b c (swap a b f)) = swap a c f$

using *assms* **by** (*simp add: expand-fun-eq swap-def*)

lemma *comp-swap*: $f \circ swap a b g = swap a b (f \circ g)$

by (*rule ext, simp add: fun-upd-def swap-def*)

lemma *inj-on-imp-inj-on-swap*:

$[|inj-on f A; a \in A; b \in A|] ==> inj-on (swap a b f) A$

by (*simp add: inj-on-def swap-def, blast*)

lemma *inj-on-swap-iff* [simp]:

assumes $A: a \in A \ b \in A$ **shows** $inj-on (swap a b f) A = inj-on f A$

proof

assume $inj-on (swap a b f) A$

```

with A have inj-on (swap a b (swap a b f)) A
  by (iprover intro: inj-on-imp-inj-on-swap)
  thus inj-on f A by simp
next
  assume inj-on f A
  with A show inj-on (swap a b f) A by (iprover intro: inj-on-imp-inj-on-swap)
qed

```

```

lemma surj-imp-surj-swap: surj f ==> surj (swap a b f)
apply (simp add: surj-def swap-def, clarify)
apply (case-tac y = f b, blast)
apply (case-tac y = f a, auto)
done

```

```

lemma surj-swap-iff [simp]: surj (swap a b f) = surj f
proof
  assume surj (swap a b f)
  hence surj (swap a b (swap a b f)) by (rule surj-imp-surj-swap)
  thus surj f by simp
next
  assume surj f
  thus surj (swap a b f) by (rule surj-imp-surj-swap)
qed

```

```

lemma bij-swap-iff: bij (swap a b f) = bij f
by (simp add: bij-def)

```

```

hide-const (open) swap

```

10.8 Inversion of injective functions

definition *the-inv-into* :: 'a set => ('a => 'b) => ('b => 'a) **where**
the-inv-into A f == %x. *THE* y. y : A & f y = x

```

lemma the-inv-into-f-f:
  [| inj-on f A; x : A |] ==> the-inv-into A f (f x) = x
apply (simp add: the-inv-into-def inj-on-def)
apply blast
done

```

```

lemma f-the-inv-into-f:
  inj-on f A ==> y : f'A ==> f (the-inv-into A f y) = y
apply (simp add: the-inv-into-def)
apply (rule the1I2)
  apply(blast dest: inj-onD)
apply blast
done

```

```

lemma the-inv-into-into:

```

```

  [| inj-on f A; x : f ‘ A; A <= B |] ==> the-inv-into A f x : B
apply (simp add: the-inv-into-def)
apply (rule the1I2)
  apply (blast dest: inj-onD)
apply blast
done

```

```

lemma the-inv-into-onto[simp]:
  inj-on f A ==> the-inv-into A f ‘ (f ‘ A) = A
by (fast intro: the-inv-into-into the-inv-into-f-f[symmetric])

```

```

lemma the-inv-into-f-eq:
  [| inj-on f A; f x = y; x : A |] ==> the-inv-into A f y = x
  apply (erule subst)
  apply (erule the-inv-into-f-f, assumption)
done

```

```

lemma the-inv-into-comp:
  [| inj-on f (g ‘ A); inj-on g A; x : f ‘ g ‘ A |] ==>
    the-inv-into A (f o g) x = (the-inv-into A g o the-inv-into (g ‘ A) f) x
  apply (rule the-inv-into-f-eq)
  apply (fast intro: comp-inj-on)
  apply (simp add: f-the-inv-into-f the-inv-into-into)
  apply (simp add: the-inv-into-into)
done

```

```

lemma inj-on-the-inv-into:
  inj-on f A ==> inj-on (the-inv-into A f) (f ‘ A)
by (auto intro: inj-onI simp: image-def the-inv-into-f-f)

```

```

lemma bij-betw-the-inv-into:
  bij-betw f A B ==> bij-betw (the-inv-into A f) B A
by (auto simp add: bij-betw-def inj-on-the-inv-into the-inv-into-into)

```

```

abbreviation the-inv :: ('a => 'b) => ('b => 'a) where
  the-inv f ≡ the-inv-into UNIV f

```

```

lemma the-inv-f-f:
  assumes inj f
  shows the-inv f (f x) = x using assms UNIV-I
  by (rule the-inv-into-f-f)

```

10.9 Proof tool setup

simplifies terms of the form $f(\dots, x := y, \dots, x := z, \dots)$ to $f(\dots, x := z, \dots)$

```

simproc-setup fun-upd2 (f(v := w, x := y)) = << fn - =>

```

```

let

```

```

  fun gen-fun-upd NONE T - = NONE
  | gen-fun-upd (SOME f) T x y = SOME (Const (@{const-name fun-upd}, T)

```

```

$ f $ x $ y)
fun dest-fun-T1 (Type (-, T :: Ts)) = T
fun find-double (t as Const (@{const-name fun-upd}, T) $ f $ x $ y) =
  let
    fun find (Const (@{const-name fun-upd}, T) $ g $ v $ w) =
      if v aconv x then SOME g else gen-fun-upd (find g) T v w
    | find t = NONE
  in (dest-fun-T1 T, gen-fun-upd (find f) T x y) end

fun proc ss ct =
  let
    val ctxt = Simplifier.the-context ss
    val t = Thm.term-of ct
  in
    case find-double t of
      (T, NONE) => NONE
    | (T, SOME rhs) =>
        SOME (Goal.prove ctxt [] (Logic.mk-equals (t, rhs))
              (fn - =>
                 rtac eq-reflection 1 THEN
                 rtac ext 1 THEN
                 simp-tac (Simplifier.inherit-context ss @ {simpset}) 1))
    end
  in proc end
  >>

```

10.10 Code generator setup

types-code

```

fun ((- ->/ -))
attach (term-of) <<
  fun term-of-fun-type - aT - bT - = Free (<function>, aT --> bT);
  >>
attach (test) <<
  fun gen-fun-type aF aT bG bT i =
    let
      val tab = Unsynchronized.ref [];
      fun mk-upd (x, (-, y)) t = Const (Fun.fun-upd,
        (aT --> bT) --> aT --> bT --> aT --> bT) $ t $ aF x $ y ()
    in
      (fn x =>
        case AList.lookup op = (!tab) x of
          NONE =>
            let val p as (y, -) = bG i
            in (tab := (x, p) :: !tab; y) end
        | SOME (y, -) => y,
        fn () => Basics.fold mk-upd (!tab) (Const (HOL.undefined, aT --> bT)))
      end;
    >>

```

```

code-const op ◦
  (SML infixl 5 o)
  (Haskell infixr 9 .)

```

```

code-const id
  (Haskell id)

```

```

end

```

11 Product-Type: Cartesian products

```

theory Product-Type
imports Typedef Inductive Fun
uses
  (Tools/split-rule.ML)
  (Tools/inductive-set.ML)
begin

```

11.1 *bool* is a datatype

```

rep-datatype True False by (auto intro: bool-induct)

```

```

declare case-split [cases type: bool]
  — prefer plain propositional version

```

```

lemma
  shows [code]: eq-class.eq False P  $\longleftrightarrow \neg P$ 
    and [code]: eq-class.eq True P  $\longleftrightarrow P$ 
    and [code]: eq-class.eq P False  $\longleftrightarrow \neg P$ 
    and [code]: eq-class.eq P True  $\longleftrightarrow P$ 
    and [code nbe]: eq-class.eq P P  $\longleftrightarrow \text{True}$ 
  by (simp-all add: eq)

```

```

code-const eq-class.eq :: bool  $\Rightarrow$  bool  $\Rightarrow$  bool
  (Haskell infixl 4 ==)

```

```

code-instance bool :: eq
  (Haskell -)

```

11.2 The *unit* type

```

typedef unit = {True}
proof
  show True : ?unit ..
qed

```

```

definition

```

```

Unity :: unit    ('())
where
  () = Abs-unit True

```

```

lemma unit-eq [no-atp]: u = ()
  by (induct u) (simp add: unit-def Unity-def)

```

Simplification procedure for *unit-eq*. Cannot use this rule directly — it loops!

```

ML <<
  val unit-eq-proc =
    let val unit-meta-eq = mk-meta-eq @ {thm unit-eq} in
      Simplifier.simproc @ {theory} unit-eq [x::unit]
        (fn - => fn - => fn t => if HOLogic.is-unit t then NONE else SOME
unit-meta-eq)
    end;

  Addsimprocs [unit-eq-proc];
>>

```

```

rep-datatype () by simp

```

```

lemma unit-all-eq1: (!x::unit. PROP P x) == PROP P ()
  by simp

```

```

lemma unit-all-eq2: (!x::unit. PROP P) == PROP P
  by (rule triv-forall-equality)

```

This rewrite counters the effect of *unit-eq-proc* on $\%u::unit. f u$, replacing it by f rather than by $\%u. f ()$.

```

lemma unit-abs-eta-conv [simp,no-atp]: (%u::unit. f ()) = f
  by (rule ext) simp

```

```

instantiation unit :: default
begin

```

```

definition default = ()

```

```

instance ..

```

```

end

```

```

lemma [code]:
  eq-class.eq (u::unit) v <=> True unfolding eq unit-eq [of u] unit-eq [of v] by
rule+

```

```

code-type unit
  (SML unit)
  (OCaml unit)

```


(*Haskell* ())
 (*Scala* *Unit*)

code-const *Unity*

(*SML* ())
 (*OCaml* ())
 (*Haskell* ())
 (*Scala* ())

code-instance *unit* :: *eq*

(*Haskell* $-$)

code-const *eq-class.eq* :: *unit* \Rightarrow *unit* \Rightarrow *bool*

(*Haskell* **infixl** 4 $==$)

code-reserved *SML*

unit

code-reserved *OCaml*

unit

code-reserved *Scala*

Unit

11.3 The product type

11.3.1 Type definition

definition *Pair-Rep* :: '*a* \Rightarrow '*b* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow *bool* **where**

Pair-Rep *a* *b* = ($\lambda x y. x = a \wedge y = b$)

global

typedef (*Prod*)

(*'a*, '*b*) * (**infixr** * 20)
 = {*f*. $\exists a b. f = \text{Pair-Rep } (a::'a) (b::'b)$ }

proof

fix *a* *b* **show** *Pair-Rep* *a* *b* \in ?*Prod*

by *rule+*

qed

type-notation (*xsymbols*)

* (($- \times / -$) [21, 20] 20)

type-notation (*HTML output*)

* (($- \times / -$) [21, 20] 20)

consts

Pair :: '*a* \Rightarrow '*b* \Rightarrow '*a* \times '*b*

local

defs

Pair-def: $\text{Pair } a \ b == \text{Abs-Prod } (\text{Pair-Rep } a \ b)$

rep-datatype (*prod*) *Pair* **proof** –

fix $P :: 'a \times 'b \Rightarrow \text{bool}$ **and** p

assume $\bigwedge a \ b. P (\text{Pair } a \ b)$

then show $P \ p$ **by** (*cases p*) (*auto simp add: Prod-def Pair-def Pair-Rep-def*)

next

fix $a \ c :: 'a$ **and** $b \ d :: 'b$

have $\text{Pair-Rep } a \ b = \text{Pair-Rep } c \ d \longleftrightarrow a = c \wedge b = d$

by (*auto simp add: Pair-Rep-def expand-fun-eq*)

moreover have $\text{Pair-Rep } a \ b \in \text{Prod}$ **and** $\text{Pair-Rep } c \ d \in \text{Prod}$

by (*auto simp add: Prod-def*)

ultimately show $\text{Pair } a \ b = \text{Pair } c \ d \longleftrightarrow a = c \wedge b = d$

by (*simp add: Pair-def Abs-Prod-inject*)

qed

11.3.2 Tuple syntax

global consts

split $:: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \times 'b \Rightarrow 'c$

local defs

split-prod-case: $\text{split} == \text{prod-case}$

Patterns – extends pre-defined type *pttrn* used in abstractions.

nonterminals

tuple-args patterns

syntax

-tuple $:: 'a \Rightarrow \text{tuple-args} \Rightarrow 'a * 'b \quad ((1 \ '(-, / -')))$

-tuple-arg $:: 'a \Rightarrow \text{tuple-args} \quad (-)$

-tuple-args $:: 'a \Rightarrow \text{tuple-args} \Rightarrow \text{tuple-args} \quad (-, / -)$

-pattern $:: [\text{pttrn}, \text{patterns}] \Rightarrow \text{pttrn} \quad ('(-, / -'))$

$:: \text{pttrn} \Rightarrow \text{patterns} \quad (-)$

-patterns $:: [\text{pttrn}, \text{patterns}] \Rightarrow \text{patterns} \quad (-, / -)$

translations

$(x, y) == \text{CONST Pair } x \ y$

-tuple $x \ (-\text{tuple-args } y \ z) == -\text{tuple } x \ (-\text{tuple-arg } (-\text{tuple } y \ z))$

$\%(x, y, zs). b == \text{CONST split } (\%x \ (y, zs). b)$

$\%(x, y). b == \text{CONST split } (\%x \ y. b)$

-abs $(\text{CONST Pair } x \ y) \ t \Rightarrow \%(x, y). t$

— The last rule accommodates tuples in ‘case C ... (x,y) ... =_i ...’ The (x,y) is parsed as ‘Pair x y’ because it is logic, not pttrn

print-translation \ll

```

let
  fun split-tr' [Abs (x, T, t as (Abs abs))] =
    (* split (%x y. t) => %(x,y) t *)
    let
      val (y, t') = atomic-abs-tr' abs;
      val (x', t'') = atomic-abs-tr' (x, T, t');
    in
      Syntax.const @{syntax-const -abs} $
        (Syntax.const @{syntax-const -pattern} $ x' $ y) $ t''
    end
  | split-tr' [Abs (x, T, (s as Const (@{const-syntax split}, -) $ t))] =
    (* split (%x. (split (%y z. t))) => %(x,y,z). t *)
    let
      val Const (@{syntax-const -abs}, -) $
        (Const (@{syntax-const -pattern}, -) $ y $ z) $ t' = split-tr' [t];
      val (x', t'') = atomic-abs-tr' (x, T, t');
    in
      Syntax.const @{syntax-const -abs} $
        (Syntax.const @{syntax-const -pattern} $ x' $
          (Syntax.const @{syntax-const -patterns} $ y $ z)) $ t''
    end
  | split-tr' [Const (@{const-syntax split}, -) $ t] =
    (* split (split (%x y z. t)) => %((x, y), z). t *)
    split-tr' [(split-tr' [t])] (* inner split-tr' creates next pattern *)
  | split-tr' [Const (@{syntax-const -abs}, -) $ x-y $ Abs abs] =
    (* split (%pttrn z. t) => %(pttrn,z). t *)
    let val (z, t) = atomic-abs-tr' abs in
      Syntax.const @{syntax-const -abs} $
        (Syntax.const @{syntax-const -pattern} $ x-y $ z) $ t
    end
  | split-tr' - = raise Match;
in [(@{const-syntax split}, split-tr')] end
>>

```

typed-print-translation \ll

```

let
  fun split-guess-names-tr' - T [Abs (x, -, Abs -)] = raise Match
  | split-guess-names-tr' - T [Abs (x, xT, t)] =
    (case (head-of t) of
      Const (@{const-syntax split}, -) => raise Match
    | - =>
      let
        val (- :: yT :: -) = binder-types (domain-type T) handle Bind => raise
Match;
        val (y, t') = atomic-abs-tr' (y, yT, incr-boundvars 1 t $ Bound 0);
        val (x', t'') = atomic-abs-tr' (x, xT, t');
      in
        Syntax.const @{syntax-const -abs} $

```

```

      (Syntax.const @{syntax-const -pattern} $ x' $ y) $ t''
    end)
  | split-guess-names-tr' - T [t] =
    (case head-of t of
      Const (@{const-syntax split}, -) => raise Match
    | - =>
      let
        val (xT :: yT :: -) = binder-types (domain-type T) handle Bind => raise
Match;
        val (y, t') = atomic-abs-tr' (y, yT, incr-boundvars 2 t $ Bound 1 $
Bound 0);
        val (x', t'') = atomic-abs-tr' (x, xT, t');
      in
        Syntax.const @{syntax-const -abs} $
          (Syntax.const @{syntax-const -pattern} $ x' $ y) $ t''
        end)
    | split-guess-names-tr' - - = raise Match;
in [(@{const-syntax split}, split-guess-names-tr')] end
>>

```

11.3.3 Code generator setup

lemma *split-case-cert*:

assumes *CASE* \equiv *split f*
shows *CASE* (*a*, *b*) \equiv *f a b*
using *assms* **by** (*simp add: split-prod-case*)

```

setup <<
  Code.add-case @{thm split-case-cert}
>>

```

code-type *
 (*SML* **infix** 2 *)
 (*OCaml* **infix** 2 *)
 (*Haskell* !((-),/ (-)))
 (*Scala* ((-),/ (-)))

code-const *Pair*
 (*SML* !((-),/ (-)))
 (*OCaml* !((-),/ (-)))
 (*Haskell* !((-),/ (-)))
 (*Scala* !((-),/ (-)))

code-instance * :: *eq*
 (*Haskell* -)

code-const *eq-class.eq* :: '*a*::*eq* \times '*b*::*eq* \Rightarrow '*a* \times '*b* \Rightarrow *bool*
 (*Haskell* **infixl** 4 ==)

types-code

```

*      ((- */ -))
attach (term-of) ⟨⟨
fun term-of-id-42 aF aT bF bT (x, y) = HOLogic.pair-const aT bT $ aF x $ bF
y;
⟩⟩
attach (test) ⟨⟨
fun gen-id-42 aG aT bG bT i =
  let
    val (x, t) = aG i;
    val (y, u) = bG i
    in ((x, y), fn () => HOLogic.pair-const aT bT $ t () $ u ()) end;
⟩⟩

```

consts-code

```

Pair      ((-, / -))

```

setup ⟨⟨

```

let

```

```

fun strip-abs-split 0 t = ([], t)
  | strip-abs-split i (Abs (s, T, t)) =
    let
      val s' = Codegen.new-name t s;
      val v = Free (s', T)
      in apfst (cons v) (strip-abs-split (i-1) (subst-bound (v, t))) end
  | strip-abs-split i (u as Const (@{const-name split}, -) $ t) =
    (case strip-abs-split (i+1) t of
      (v :: v' :: vs, u) => (HOLogic.mk-prod (v, v') :: vs, u)
      | - => ([], u))
  | strip-abs-split i t =
    strip-abs-split i (Abs (x, hd (binder-types (fastype-of t)), t $ Bound 0));

```

```

fun let-codegen thy defs dep thynome brack t gr =

```

```

  (case strip-comb t of

```

```

    (t1 as Const (@{const-name Let}, -), t2 :: t3 :: ts) =>

```

```

    let

```

```

      fun dest-let (l as Const (@{const-name Let}, -) $ t $ u) =

```

```

        (case strip-abs-split 1 u of

```

```

          ([p], u') => apfst (cons (p, t)) (dest-let u')

```

```

          | - => ([], l))

```

```

        | dest-let t = ([], t);

```

```

      fun mk-code (l, r) gr =

```

```

        let

```

```

          val (pl, gr1) = Codegen.invoke-codegen thy defs dep thynome false l gr;

```

```

          val (pr, gr2) = Codegen.invoke-codegen thy defs dep thynome false r gr1;

```

```

          in ((pl, pr), gr2) end

```

```

      in case dest-let (t1 $ t2 $ t3) of

```

```

        ([], -) => NONE

```

```

| (ps, u) =>
  let
    val (qs, gr1) = fold-map mk-code ps gr;
    val (pu, gr2) = Codegen.invoke-codegen thy defs dep thyname false u gr1;
    val (pargs, gr3) = fold-map
      (Codegen.invoke-codegen thy defs dep thyname true) ts gr2
  in
    SOME (Codegen.mk-app brack
      (Pretty.blk (0, [Codegen.str let , Pretty.blk (0, flat
        (separate [Codegen.str ;, Pretty.brk 1] (map (fn (pl, pr) =>
          [Pretty.block [Codegen.str val , pl, Codegen.str =,
            Pretty.brk 1, pr]]) qs))),
        Pretty.brk 1, Codegen.str in , pu,
        Pretty.brk 1, Codegen.str end])) pargs, gr3)
  end
end
| - => NONE);

fun split-codegen thy defs dep thyname brack t gr = (case strip-comb t of
  (t1 as Const (@{const-name split}, -), t2 :: ts) =>
    let
      val ([p], u) = strip-abs-split 1 (t1 $ t2);
      val (q, gr1) = Codegen.invoke-codegen thy defs dep thyname false p gr;
      val (pu, gr2) = Codegen.invoke-codegen thy defs dep thyname false u gr1;
      val (pargs, gr3) = fold-map
        (Codegen.invoke-codegen thy defs dep thyname true) ts gr2
    in
      SOME (Codegen.mk-app brack
        (Pretty.block [Codegen.str (fn , q, Codegen.str =>,
          Pretty.brk 1, pu, Codegen.str )]) pargs, gr2)
    end
  | - => NONE);

in

  Codegen.add-codegen let-codegen let-codegen
  #> Codegen.add-codegen split-codegen split-codegen

end
>>

```

11.3.4 Fundamental operations and properties

lemma *surj-pair* [simp]: $EX\ x\ y. p = (x, y)$
 by (cases p) simp

global consts

fst :: $'a \times 'b \Rightarrow 'a$
snd :: $'a \times 'b \Rightarrow 'b$

local defs

fst-def: $\text{fst } p == \text{case } p \text{ of } (a, b) \Rightarrow a$
snd-def: $\text{snd } p == \text{case } p \text{ of } (a, b) \Rightarrow b$

lemma *fst-conv* [*simp*, *code*]: $\text{fst } (a, b) = a$
unfolding *fst-def* **by** *simp*

lemma *snd-conv* [*simp*, *code*]: $\text{snd } (a, b) = b$
unfolding *snd-def* **by** *simp*

code-const *fst* **and** *snd*
 (*Haskell fst and snd*)

lemma *prod-case-unfold*: $\text{prod-case} = (\%c \ p. \ c \ (\text{fst } p) \ (\text{snd } p))$
by (*simp add: expand-fun-eq split: prod.split*)

lemma *fst-eqD*: $\text{fst } (x, y) = a ==> x = a$
by *simp*

lemma *snd-eqD*: $\text{snd } (x, y) = a ==> y = a$
by *simp*

lemma *pair-collapse* [*simp*]: $(\text{fst } p, \text{snd } p) = p$
by (*cases p*) *simp*

lemmas *surjective-pairing* = *pair-collapse* [*symmetric*]

lemma *Pair-fst-snd-eq*: $s = t \longleftrightarrow \text{fst } s = \text{fst } t \wedge \text{snd } s = \text{snd } t$
by (*cases s, cases t*) *simp*

lemma *prod-eqI* [*intro?*]: $\text{fst } p = \text{fst } q \implies \text{snd } p = \text{snd } q \implies p = q$
by (*simp add: Pair-fst-snd-eq*)

lemma *split-conv* [*simp*, *code*]: $\text{split } f \ (a, b) = f \ a \ b$
by (*simp add: split-prod-case*)

lemma *splitI*: $f \ a \ b \implies \text{split } f \ (a, b)$
by (*rule split-conv [THEN iffD2]*)

lemma *splitD*: $\text{split } f \ (a, b) \implies f \ a \ b$
by (*rule split-conv [THEN iffD1]*)

lemma *split-Pair* [*simp*]: $(\lambda(x, y). (x, y)) = \text{id}$
by (*simp add: split-prod-case expand-fun-eq split: prod.split*)

lemma *split-eta*: $(\lambda(x, y). f \ (x, y)) = f$
 — Subsumes the old *split-Pair* when *f* is the identity function.
by (*simp add: split-prod-case expand-fun-eq split: prod.split*)

lemma *split-comp*: $\text{split } (f \circ g) \ x = f \ (g \ (\text{fst } x)) \ (\text{snd } x)$
by (*cases x simp*)

lemma *split-twice*: $\text{split } f \ (\text{split } g \ p) = \text{split } (\lambda x \ y. \text{split } f \ (g \ x \ y)) \ p$
by (*cases p simp*)

lemma *The-split*: $\text{The } (\text{split } P) = (\text{THE } xy. P \ (\text{fst } xy) \ (\text{snd } xy))$
by (*simp add: split-prod-case prod-case-unfold*)

lemma *split-weak-cong*: $p = q \implies \text{split } c \ p = \text{split } c \ q$
— Prevents simplification of *c*: much faster
by (*erule arg-cong*)

lemma *cond-split-eta*: $(!!x \ y. f \ x \ y = g \ (x, \ y)) \implies (\% (x, \ y). f \ x \ y) = g$
by (*simp add: split-eta*)

lemma *split-paired-all*: $(!!x. \text{PROP } P \ x) == (!!a \ b. \text{PROP } P \ (a, \ b))$
proof
fix *a b*
assume $!!x. \text{PROP } P \ x$
then show $\text{PROP } P \ (a, \ b)$.
next
fix *x*
assume $!!a \ b. \text{PROP } P \ (a, \ b)$
from $\langle \text{PROP } P \ (\text{fst } x, \ \text{snd } x) \rangle$ **show** $\text{PROP } P \ x$ **by** *simp*
qed

The rule *split-paired-all* does not work with the Simplifier because it also affects premises in congruence rules, where this can lead to premises of the form $!!a \ b. \dots = ?P(a, b)$ which cannot be solved by reflexivity.

lemmas *split-tupled-all* = *split-paired-all unit-all-eq2*

ML \ll
(* replace parameters of product type by individual component parameters *)
val *safe-full-simp-tac* = *generic-simp-tac true (true, false, false)*;
local (* filtering with exists-paired-all is an essential optimization *)
fun *exists-paired-all* (*Const* (*all*, *-*) \$ *Abs* (*-*, *T*, *t*)) =
 can HOLogic.dest-prodT T orelse exists-paired-all t
 | *exists-paired-all* (*t* \$ *u*) = *exists-paired-all t orelse exists-paired-all u*
 | *exists-paired-all* (*Abs* (*-*, *-*, *t*)) = *exists-paired-all t*
 | *exists-paired-all* *-* = *false*;
val *ss* = *HOL-basic-ss*
addsims [*@{thm split-paired-all}*], [*@{thm unit-all-eq2}*], [*@{thm unit-abs-eta-conv}*]
addsimprocs [*unit-eq-proc*];
in
val *split-all-tac* = *SUBGOAL* (*fn* (*t*, *i*) =>
 if exists-paired-all t then safe-full-simp-tac ss i else no-tac);
val *unsafe-split-all-tac* = *SUBGOAL* (*fn* (*t*, *i*) =>


```

    if exists-paired-all t then full-simp-tac ss i else no-tac);
  fun split-all th =
    if exists-paired-all (Thm.prop-of th) then full-simplify ss th else th;
end;
>>

```

```

declaration << fn - =>
  Classical.map-cs (fn cs => cs addSbefore (split-all-tac, split-all-tac))
>>

```

lemma *split-paired-All* [simp]: $(ALL\ x.\ P\ x) = (ALL\ a\ b.\ P\ (a,\ b))$
 — [iff] is not a good idea because it makes *blast* loop
by *fast*

lemma *split-paired-Ex* [simp]: $(EX\ x.\ P\ x) = (EX\ a\ b.\ P\ (a,\ b))$
by *fast*

lemma *split-paired-The*: $(THE\ x.\ P\ x) = (THE\ (a,\ b).\ P\ (a,\ b))$
 — Can’t be added to simpset: loops!
by (*simp add: split-eta*)

Simplification procedure for *cond-split-eta*. Using *split-eta* as a rewrite rule is not general enough, and using *cond-split-eta* directly would render some existing proofs very inefficient; similarly for *split-beta*.

```

ML <<
local
  val cond-split-eta-ss = HOL-basic-ss addsimps @ {thms cond-split-eta};
  fun Pair-pat k 0 (Bound m) = (m = k)
    | Pair-pat k i (Const (@{const-name Pair}, -) $ Bound m $ t) =
      i > 0 andalso m = k + i andalso Pair-pat k (i - 1) t
    | Pair-pat - - - = false;
  fun no-args k i (Abs (-, -, t)) = no-args (k + 1) i t
    | no-args k i (t $ u) = no-args k i t andalso no-args k i u
    | no-args k i (Bound m) = m < k orelse m > k + i
    | no-args - - - = true;
  fun split-pat tp i (Abs (-, -, t)) = if tp 0 i t then SOME (i, t) else NONE
    | split-pat tp i (Const (@{const-name split}, -) $ Abs (-, -, t)) = split-pat tp (i
+ 1) t
    | split-pat tp i - = NONE;
  fun metaeq ss lhs rhs = mk-meta-eq (Goal.prove (Simplifier.the-context ss) [] []
    (HOLogic.mk-Trueprop (HOLogic.mk-eq (lhs, rhs)))
    (K (simp-tac (Simplifier.inherit-context ss cond-split-eta-ss) 1)));

  fun beta-term-pat k i (Abs (-, -, t)) = beta-term-pat (k + 1) i t
    | beta-term-pat k i (t $ u) =
      Pair-pat k i (t $ u) orelse (beta-term-pat k i t andalso beta-term-pat k i u)
    | beta-term-pat k i t = no-args k i t;
  fun eta-term-pat k i (f $ arg) = no-args k i f andalso Pair-pat k i arg
    | eta-term-pat - - - = false;

```

```

fun subst arg k i (Abs (x, T, t)) = Abs (x, T, subst arg (k+1) i t)
  | subst arg k i (t $ u) =
    if Pair-pat k i (t $ u) then incr-boundvars k arg
    else (subst arg k i t $ subst arg k i u)
  | subst arg k i t = t;
fun beta-proc ss (s as Const (@{const-name split}, -) $ Abs (-, -, t) $ arg) =
  (case split-pat beta-term-pat 1 t of
    SOME (i, f) => SOME (metaeq ss s (subst arg 0 i f))
  | NONE => NONE)
  | beta-proc - - = NONE;
fun eta-proc ss (s as Const (@{const-name split}, -) $ Abs (-, -, t)) =
  (case split-pat eta-term-pat 1 t of
    SOME (-, ft) => SOME (metaeq ss s (let val (f $ arg) = ft in f end))
  | NONE => NONE)
  | eta-proc - - = NONE;
in
  val split-beta-proc = Simplifier.simproc @{theory} split-beta [split f z] (K beta-proc);
  val split-eta-proc = Simplifier.simproc @{theory} split-eta [split f] (K eta-proc);
end;

Addsimprocs [split-beta-proc, split-eta-proc];
>>

```

lemma *split-beta* [mono]: $(\%(x, y). P\ x\ y)\ z = P\ (fst\ z)\ (snd\ z)$
by (subst surjective-pairing, rule split-conv)

lemma *split-split* [no-atp]: $R(split\ c\ p) = (ALL\ x\ y. p = (x, y) \longrightarrow R(c\ x\ y))$
 — For use with *split* and the Simplifier.
by (insert surj-pair [of p], clarify, simp)

split-split could be declared as [split] done after the Splitter has been speeded up significantly; precompute the constants involved and don't do anything unless the current goal contains one of those constants.

lemma *split-split-asm* [no-atp]: $R\ (split\ c\ p) = (\sim (EX\ x\ y. p = (x, y) \ \&\ (\sim R\ (c\ x\ y))))$
by (subst split-split, simp)

split used as a logical connective or set former.

These rules are for use with *blast*; could instead call *simp* using *split* as rewrite.

lemma *splitI2*: $!!p. [\![\![a\ b.\ p = (a, b) \implies c\ a\ b]\!]\implies split\ c\ p$
apply (simp only: split-tupled-all)
apply (simp (no-asm-simp))
done

lemma *splitI2'*: $!!p. [\![\![a\ b.\ (a, b) = p \implies c\ a\ b\ x]\!]\implies split\ c\ p\ x$
apply (simp only: split-tupled-all)

```

apply (simp (no-asm-simp))
done

lemma splitE: split c p ==> (!!x y. p = (x, y) ==> c x y ==> Q) ==> Q
  by (induct p) (auto simp add: split-prod-case)

lemma splitE': split c p z ==> (!!x y. p = (x, y) ==> c x y z ==> Q) ==> Q
  by (induct p) (auto simp add: split-prod-case)

lemma splitE2:
  [| Q (split P z); !!x y. [| z = (x, y); Q (P x y) |] ==> R |] ==> R
proof -
  assume q: Q (split P z)
  assume r: !!x y. [| z = (x, y); Q (P x y) |] ==> R
  show R
    apply (rule r surjective-pairing)+
    apply (rule split-beta [THEN subst], rule q)
  done
qed

lemma splitD': split R (a,b) c ==> R a b c
  by simp

lemma mem-splitI: z: c a b ==> z: split c (a, b)
  by simp

lemma mem-splitI2: !!p. [| !!a b. p = (a, b) ==> z: c a b |] ==> z: split c p
by (simp only: split-tupled-all, simp)

lemma mem-splitE:
  assumes major: z ∈ split c p
  and cases:  $\bigwedge x y. p = (x, y) \implies z \in c x y \implies Q$ 
  shows Q
  by (rule major [unfolded split-prod-case prod-case-unfold] cases surjective-pairing)+

declare mem-splitI2 [intro!] mem-splitI [intro!] splitI2' [intro!] splitI2 [intro!] splitI
[intro!]
declare mem-splitE [elim!] splitE' [elim!] splitE [elim!]

ML ⟨⟨
  local (* filtering with exists-p-split is an essential optimization *)
    fun exists-p-split (Const (@{const-name split},-) $ - $ (Const (@{const-name
Pair},-) $ $-)) = true
      | exists-p-split (t $ u) = exists-p-split t orelse exists-p-split u
      | exists-p-split (Abs (_, -, t)) = exists-p-split t
      | exists-p-split - = false;
    val ss = HOL-basic-ss addsimps @{thms split-conv};
  in
    val split-conv-tac = SUBGOAL (fn (t, i) =>

```

```

    if exists-p-split t then safe-full-simp-tac ss i else no-tac);
end;
>>

```

```

declaration << fn - =>
  Classical.map-cs (fn cs => cs addSbefore (split-conv-tac, split-conv-tac))
>>

```

```

lemma split-eta-SetCompr [simp,no-atp]: (%u. EX x y. u = (x, y) & P (x, y)) =
P
  by (rule ext) fast

```

```

lemma split-eta-SetCompr2 [simp,no-atp]: (%u. EX x y. u = (x, y) & P x y) =
split P
  by (rule ext) fast

```

```

lemma split-part [simp]: (%(a,b). P & Q a b) = (%ab. P & split Q ab)
  — Allows simplifications of nested splits in case of independent predicates.
  by (rule ext) blast

```

```

lemma split-comp-eq:
  fixes f :: 'a => 'b => 'c and g :: 'd => 'a
  shows (%u. f (g (fst u)) (snd u)) = (split (%x. f (g x)))
  by (rule ext) auto

```

```

lemma pair-imageI [intro]: (a, b) : A ==> f a b : (%(a, b). f a b) ‘ A
  apply (rule-tac x = (a, b) in image-eqI)
  apply auto
  done

```

```

lemma The-split-eq [simp]: (THE (x',y'). x = x' & y = y') = (x, y)
  by blast

```

Setup of internal *split-rule*.

```

lemmas prod-caseI = prod.cases [THEN iffD2, standard]

```

```

lemma prod-caseI2: !!p. [| !!a b. p = (a, b) ==> c a b |] ==> prod-case c p
  by auto

```

```

lemma prod-caseI2': !!p. [| !!a b. (a, b) = p ==> c a b x |] ==> prod-case c p x
  by (auto simp: split-tupled-all)

```

```

lemma prod-caseE: prod-case c p ==> (!!x y. p = (x, y) ==> c x y ==> Q)
==> Q
  by (induct p) auto

```

```

lemma prod-caseE': prod-case c p z ==> (!!x y. p = (x, y) ==> c x y z ==>

```

$Q) ==> Q$
by (*induct p*) *auto*

declare *prod-caseI2'* [*intro!*] *prod-caseI2* [*intro!*] *prod-caseI* [*intro!*]
declare *prod-caseE'* [*elim!*] *prod-caseE* [*elim!*]

lemma *prod-case-split*:
prod-case = *split*
by (*auto simp add: expand-fun-eq*)

lemma *prod-case-beta*:
prod-case f p = *f (fst p) (snd p)*
unfolding *prod-case-split split-beta ..*

lemma *prod-cases3* [*cases type*]:
obtains (*fields*) *a b c* **where** *y* = (*a, b, c*)
by (*cases y, case-tac b*) *blast*

lemma *prod-induct3* [*case-names fields, induct type*]:
 (!!*a b c. P (a, b, c)*) ==> *P x*
by (*cases x*) *blast*

lemma *prod-cases4* [*cases type*]:
obtains (*fields*) *a b c d* **where** *y* = (*a, b, c, d*)
by (*cases y, case-tac c*) *blast*

lemma *prod-induct4* [*case-names fields, induct type*]:
 (!!*a b c d. P (a, b, c, d)*) ==> *P x*
by (*cases x*) *blast*

lemma *prod-cases5* [*cases type*]:
obtains (*fields*) *a b c d e* **where** *y* = (*a, b, c, d, e*)
by (*cases y, case-tac d*) *blast*

lemma *prod-induct5* [*case-names fields, induct type*]:
 (!!*a b c d e. P (a, b, c, d, e)*) ==> *P x*
by (*cases x*) *blast*

lemma *prod-cases6* [*cases type*]:
obtains (*fields*) *a b c d e f* **where** *y* = (*a, b, c, d, e, f*)
by (*cases y, case-tac e*) *blast*

lemma *prod-induct6* [*case-names fields, induct type*]:
 (!!*a b c d e f. P (a, b, c, d, e, f)*) ==> *P x*
by (*cases x*) *blast*

lemma *prod-cases7* [*cases type*]:
obtains (*fields*) *a b c d e f g* **where** *y* = (*a, b, c, d, e, f, g*)
by (*cases y, case-tac f*) *blast*

lemma *prod-induct7* [*case-names fields, induct type*]:
 ($!!a\ b\ c\ d\ e\ f\ g. P\ (a, b, c, d, e, f, g)$) $\implies P\ x$
 by (*cases x*) *blast*

lemma *split-def*:
 $split = (\lambda c\ p. c\ (fst\ p)\ (snd\ p))$
 unfolding *split-prod-case prod-case-unfold ..*

definition *internal-split* :: $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow 'a \times 'b \Rightarrow 'c$ **where**
internal-split == *split*

lemma *internal-split-conv*: $internal-split\ c\ (a, b) = c\ a\ b$
 by (*simp only: internal-split-def split-conv*)

use *Tools/split-rule.ML*
setup *Split-Rule.setup*

hide-const *internal-split*

11.3.5 Derived operations

global consts
 $curry :: ('a \times 'b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'c$

local defs
 $curry-def: \quad curry == (\%c\ x\ y. c\ (Pair\ x\ y))$

lemma *curry-conv* [*simp, code*]: $curry\ f\ a\ b = f\ (a, b)$
 by (*simp add: curry-def*)

lemma *curryI* [*intro!*]: $f\ (a, b) \implies curry\ f\ a\ b$
 by (*simp add: curry-def*)

lemma *curryD* [*dest!*]: $curry\ f\ a\ b \implies f\ (a, b)$
 by (*simp add: curry-def*)

lemma *curryE*: $curry\ f\ a\ b \implies (f\ (a, b) \implies Q) \implies Q$
 by (*simp add: curry-def*)

lemma *curry-split* [*simp*]: $curry\ (split\ f) = f$
 by (*simp add: curry-def split-def*)

lemma *split-curry* [*simp*]: $split\ (curry\ f) = f$
 by (*simp add: curry-def split-def*)

The composition-uncurry combinator.

notation *fcomp* (**infixl** *o>* 60)

definition *scomp* :: ($'a \Rightarrow 'b \times 'c$) \Rightarrow ($'b \Rightarrow 'c \Rightarrow 'd$) \Rightarrow $'a \Rightarrow 'd$ (**infixl** $o \rightarrow 60$)
where

$f \ o \rightarrow g = (\lambda x. \text{split } g \ (f \ x))$

lemma *scomp-apply*: $(f \ o \rightarrow g) \ x = \text{split } g \ (f \ x)$
by (*simp add: scomp-def*)

lemma *Pair-scomp*: $\text{Pair } x \ o \rightarrow f = f \ x$
by (*simp add: expand-fun-eq scomp-apply*)

lemma *scomp-Pair*: $x \ o \rightarrow \text{Pair} = x$
by (*simp add: expand-fun-eq scomp-apply*)

lemma *scomp-scomp*: $(f \ o \rightarrow g) \ o \rightarrow h = f \ o \rightarrow (\lambda x. g \ x \ o \rightarrow h)$
by (*simp add: expand-fun-eq split-twice scomp-def*)

lemma *scomp-fcomp*: $(f \ o \rightarrow g) \ o > h = f \ o \rightarrow (\lambda x. g \ x \ o > h)$
by (*simp add: expand-fun-eq scomp-apply fcomp-def split-def*)

lemma *fcomp-scomp*: $(f \ o > g) \ o \rightarrow h = f \ o > (g \ o \rightarrow h)$
by (*simp add: expand-fun-eq scomp-apply fcomp-apply*)

code-const *scomp*
(Eval infixl 3 #->)

no-notation *fcomp* (**infixl** $o > 60$)

no-notation *scomp* (**infixl** $o \rightarrow 60$)

prod-fun — action of the product functor upon functions.

definition *prod-fun* :: ($'a \Rightarrow 'c$) \Rightarrow ($'b \Rightarrow 'd$) \Rightarrow $'a \times 'b \Rightarrow 'c \times 'd$ **where**
[code del]: prod-fun f g = ($\lambda(x, y). (f \ x, g \ y)$)

lemma *prod-fun [simp, code]*: $\text{prod-fun } f \ g \ (a, b) = (f \ a, g \ b)$
by (*simp add: prod-fun-def*)

lemma *fst-prod-fun[simp]*: $\text{fst } (\text{prod-fun } f \ g \ x) = f \ (\text{fst } x)$
by (*cases x, auto*)

lemma *snd-prod-fun[simp]*: $\text{snd } (\text{prod-fun } f \ g \ x) = g \ (\text{snd } x)$
by (*cases x, auto*)

lemma *fst-comp-prod-fun[simp]*: $\text{fst} \circ \text{prod-fun } f \ g = f \circ \text{fst}$
by (*rule ext*) *auto*

lemma *snd-comp-prod-fun[simp]*: $\text{snd} \circ \text{prod-fun } f \ g = g \circ \text{snd}$
by (*rule ext*) *auto*

lemma *prod-fun-compose*:

```

  prod-fun (f1 o f2) (g1 o g2) = (prod-fun f1 g1 o prod-fun f2 g2)
by (rule ext) auto

```

```

lemma prod-fun-ident [simp]: prod-fun (%x. x) (%y. y) = (%z. z)
  by (rule ext) auto

```

```

lemma prod-fun-imageI [intro]: (a, b) : r ==> (f a, g b) : prod-fun f g ‘ r
  apply (rule image-eqI)
  apply (rule prod-fun [symmetric], assumption)
done

```

```

lemma prod-fun-imageE [elim!]:
  assumes major: c: (prod-fun f g) ‘ r
  and cases: !!x y. [| c=(f(x),g(y)); (x,y):r |] ==> P
  shows P
  apply (rule major [THEN imageE])
  apply (case-tac x)
  apply (rule cases)
  apply (blast intro: prod-fun)
  apply blast
done

```

```

definition apfst :: ('a => 'c) => 'a × 'b => 'c × 'b where
  apfst f = prod-fun f id

```

```

definition apsnd :: ('b => 'c) => 'a × 'b => 'a × 'c where
  apsnd f = prod-fun id f

```

```

lemma apfst-conv [simp, code]:
  apfst f (x, y) = (f x, y)
  by (simp add: apfst-def)

```

```

lemma apsnd-conv [simp, code]:
  apsnd f (x, y) = (x, f y)
  by (simp add: apsnd-def)

```

```

lemma fst-apfst [simp]:
  fst (apfst f x) = f (fst x)
  by (cases x) simp

```

```

lemma fst-apsnd [simp]:
  fst (apsnd f x) = fst x
  by (cases x) simp

```

```

lemma snd-apfst [simp]:
  snd (apfst f x) = snd x
  by (cases x) simp

```


lemma *snd-apsnd [simp]*:
 $\text{snd } (\text{apsnd } f \ x) = f \ (\text{snd } x)$
by (*cases x simp*)

lemma *apfst-compose*:
 $\text{apfst } f \ (\text{apfst } g \ x) = \text{apfst } (f \circ g) \ x$
by (*cases x simp*)

lemma *apsnd-compose*:
 $\text{apsnd } f \ (\text{apsnd } g \ x) = \text{apsnd } (f \circ g) \ x$
by (*cases x simp*)

lemma *apfst-apsnd [simp]*:
 $\text{apfst } f \ (\text{apsnd } g \ x) = (f \ (\text{fst } x), g \ (\text{snd } x))$
by (*cases x simp*)

lemma *apsnd-apfst [simp]*:
 $\text{apsnd } f \ (\text{apfst } g \ x) = (g \ (\text{fst } x), f \ (\text{snd } x))$
by (*cases x simp*)

lemma *apfst-id [simp]* :
 $\text{apfst } \text{id} = \text{id}$
by (*simp add: expand-fun-eq*)

lemma *apsnd-id [simp]* :
 $\text{apsnd } \text{id} = \text{id}$
by (*simp add: expand-fun-eq*)

lemma *apfst-eq-conv [simp]*:
 $\text{apfst } f \ x = \text{apfst } g \ x \longleftrightarrow f \ (\text{fst } x) = g \ (\text{fst } x)$
by (*cases x simp*)

lemma *apsnd-eq-conv [simp]*:
 $\text{apsnd } f \ x = \text{apsnd } g \ x \longleftrightarrow f \ (\text{snd } x) = g \ (\text{snd } x)$
by (*cases x simp*)

lemma *apsnd-apfst-commute*:
 $\text{apsnd } f \ (\text{apfst } g \ p) = \text{apfst } g \ (\text{apsnd } f \ p)$
by *simp*

Disjoint union of a family of sets – Sigma.

definition *Sigma* :: [*'a set, 'a => 'b set*] => (*'a × 'b*) *set* **where**
Sigma-def: *Sigma A B == UN x:A. UN y:B x. {Pair x y}*

abbreviation

Times :: [*'a set, 'b set*] => (*'a * 'b*) *set*
 (**infixr** *<*>* 80) **where**
A <> B == Sigma A (%-. B)*

notation (*xsymbols*)
Times (**infixr** $\times 80$)

notation (*HTML output*)
Times (**infixr** $\times 80$)

syntax
 $\text{-Sigma} :: [\text{pttrn}, 'a \text{ set}, 'b \text{ set}] \Rightarrow ('a * 'b) \text{ set} \ ((3\text{SIGMA} \text{ :-./ -}) [0, 0, 10] 10)$

translations
 $\text{SIGMA } x:A. B == \text{CONST Sigma } A \ (\%x. B)$

lemma *SigmaI* [*intro!*]: $[[a:A; b:B(a)]] \Rightarrow (a,b) : \text{Sigma } A B$
by (*unfold Sigma-def*) *blast*

lemma *SigmaE* [*elim!*]:
 $[[c: \text{Sigma } A B;$
 $\quad !!x y. [[x:A; y:B(x); c=(x,y)]] \Rightarrow P$
 $]] \Rightarrow P$
 — The general elimination rule.
by (*unfold Sigma-def*) *blast*

Elimination of $(a, b) \in A \times B$ – introduces no eigenvariables.

lemma *SigmaD1*: $(a, b) : \text{Sigma } A B \Rightarrow a : A$
by *blast*

lemma *SigmaD2*: $(a, b) : \text{Sigma } A B \Rightarrow b : B a$
by *blast*

lemma *SigmaE2*:
 $[[(a, b) : \text{Sigma } A B;$
 $\quad [[a:A; b:B(a)]] \Rightarrow P$
 $]] \Rightarrow P$
by *blast*

lemma *Sigma-cong*:
 $[[A = B; !!x. x \in B \Rightarrow C x = D x]]$
 $\Rightarrow (\text{SIGMA } x: A. C x) = (\text{SIGMA } x: B. D x)$
by *auto*

lemma *Sigma-mono*: $[[A \leq C; !!x. x:A \Rightarrow B x \leq D x]] \Rightarrow \text{Sigma } A B$
 $\leq \text{Sigma } C D$
by *blast*

lemma *Sigma-empty1* [*simp*]: $\text{Sigma } \{\} B = \{\}$
by *blast*

lemma *Sigma-empty2* [*simp*]: $A <*> \{\} = \{\}$
by *blast*

lemma *UNIV-Times-UNIV* [simp]: $UNIV <*> UNIV = UNIV$
by *auto*

lemma *Compl-Times-UNIV1* [simp]: $\neg (UNIV <*> A) = UNIV <*> (\neg A)$
by *auto*

lemma *Compl-Times-UNIV2* [simp]: $\neg (A <*> UNIV) = (\neg A) <*> UNIV$
by *auto*

lemma *mem-Sigma-iff* [iff]: $((a,b): Sigma\ A\ B) = (a:A \ \&\ b:B(a))$
by *blast*

lemma *Times-subset-cancel2*: $x:C \implies (A <*> C \leq B <*> C) = (A \leq B)$
by *blast*

lemma *Times-eq-cancel2*: $x:C \implies (A <*> C = B <*> C) = (A = B)$
by (*blast elim: equalityE*)

lemma *SetCompr-Sigma-eq*:
 $Collect\ (split\ (\%x\ y.\ P\ x\ \&\ Q\ x\ y)) = (SIGMA\ x:Collect\ P.\ Collect\ (Q\ x))$
by *blast*

lemma *Collect-split* [simp]: $\{(a,b).\ P\ a\ \&\ Q\ b\} = Collect\ P\ <*>\ Collect\ Q$
by *blast*

lemma *UN-Times-distrib*:
 $(UN\ (a,b):(A <*> B).\ E\ a <*> F\ b) = (UNION\ A\ E) <*> (UNION\ B\ F)$
— Suggested by Pierre Chartier
by *blast*

lemma *split-paired-Ball-Sigma* [simp,no-atp]:
 $(ALL\ z: Sigma\ A\ B.\ P\ z) = (ALL\ x:A.\ ALL\ y: B\ x.\ P(x,y))$
by *blast*

lemma *split-paired-Bex-Sigma* [simp,no-atp]:
 $(EX\ z: Sigma\ A\ B.\ P\ z) = (EX\ x:A.\ EX\ y: B\ x.\ P(x,y))$
by *blast*

lemma *Sigma-Un-distrib1*: $(SIGMA\ i:I\ Un\ J.\ C(i)) = (SIGMA\ i:I.\ C(i))\ Un$
 $(SIGMA\ j:J.\ C(j))$
by *blast*

lemma *Sigma-Un-distrib2*: $(SIGMA\ i:I.\ A(i)\ Un\ B(i)) = (SIGMA\ i:I.\ A(i))\ Un$
 $(SIGMA\ i:I.\ B(i))$
by *blast*

lemma *Sigma-Int-distrib1*: $(SIGMA\ i:I\ Int\ J.\ C(i)) = (SIGMA\ i:I.\ C(i))\ Int$
 $(SIGMA\ j:J.\ C(j))$
by *blast*

lemma *Sigma-Int-distrib2*: $(\text{SIGMA } i:I. A(i) \text{ Int } B(i)) = (\text{SIGMA } i:I. A(i)) \text{ Int } (\text{SIGMA } i:I. B(i))$
by *blast*

lemma *Sigma-Diff-distrib1*: $(\text{SIGMA } i:I - J. C(i)) = (\text{SIGMA } i:I. C(i)) - (\text{SIGMA } j:J. C(j))$
by *blast*

lemma *Sigma-Diff-distrib2*: $(\text{SIGMA } i:I. A(i) - B(i)) = (\text{SIGMA } i:I. A(i)) - (\text{SIGMA } i:I. B(i))$
by *blast*

lemma *Sigma-Union*: $\text{Sigma } (\text{Union } X) B = (\text{UN } A:X. \text{Sigma } A B)$
by *blast*

Non-dependent versions are needed to avoid the need for higher-order matching, especially when the rules are re-oriented.

lemma *Times-Un-distrib1*: $(A \text{ Un } B) <*> C = (A <*> C) \text{ Un } (B <*> C)$
by *blast*

lemma *Times-Int-distrib1*: $(A \text{ Int } B) <*> C = (A <*> C) \text{ Int } (B <*> C)$
by *blast*

lemma *Times-Diff-distrib1*: $(A - B) <*> C = (A <*> C) - (B <*> C)$
by *blast*

lemma *Times-empty[simp]*: $A \times B = \{\} \longleftrightarrow A = \{\} \vee B = \{\}$
by *auto*

lemma *fst-image-times[simp]*: $\text{fst } ' (A \times B) = (\text{if } B = \{\} \text{ then } \{\} \text{ else } A)$
by *(auto intro!: image-eqI)*

lemma *snd-image-times[simp]*: $\text{snd } ' (A \times B) = (\text{if } A = \{\} \text{ then } \{\} \text{ else } B)$
by *(auto intro!: image-eqI)*

lemma *insert-times-insert[simp]*:
 $\text{insert } a A \times \text{insert } b B =$
 $\text{insert } (a,b) (A \times \text{insert } b B \cup \text{insert } a A \times B)$
by *blast*

lemma *vimage-Times*: $f - ' (A \times B) = ((\text{fst} \circ f) - ' A) \cap ((\text{snd} \circ f) - ' B)$
by *(auto, case-tac f x, auto)*

The following *prod-fun* lemmas are due to Joachim Breitner:

lemma *prod-fun-inj-on*:
assumes *inj-on f A and inj-on g B*
shows *inj-on (prod-fun f g) (A × B)*
proof *(rule inj-onI)*

fix $x :: 'a \times 'c$ **and** $y :: 'a \times 'c$
assume $x \in A \times B$ **hence** $\text{fst } x \in A$ **and** $\text{snd } x \in B$ **by** *auto*
assume $y \in A \times B$ **hence** $\text{fst } y \in A$ **and** $\text{snd } y \in B$ **by** *auto*
assume $\text{prod-fun } f \ g \ x = \text{prod-fun } f \ g \ y$
hence $\text{fst } (\text{prod-fun } f \ g \ x) = \text{fst } (\text{prod-fun } f \ g \ y)$ **by** (*auto*)
hence $f \ (\text{fst } x) = f \ (\text{fst } y)$ **by** (*cases x, cases y, auto*)
with $\langle \text{inj-on } f \ A \rangle$ **and** $\langle \text{fst } x \in A \rangle$ **and** $\langle \text{fst } y \in A \rangle$
have $\text{fst } x = \text{fst } y$ **by** (*auto dest:dest:inj-onD*)
moreover from $\langle \text{prod-fun } f \ g \ x = \text{prod-fun } f \ g \ y \rangle$
have $\text{snd } (\text{prod-fun } f \ g \ x) = \text{snd } (\text{prod-fun } f \ g \ y)$ **by** (*auto*)
hence $g \ (\text{snd } x) = g \ (\text{snd } y)$ **by** (*cases x, cases y, auto*)
with $\langle \text{inj-on } g \ B \rangle$ **and** $\langle \text{snd } x \in B \rangle$ **and** $\langle \text{snd } y \in B \rangle$
have $\text{snd } x = \text{snd } y$ **by** (*auto dest:dest:inj-onD*)
ultimately show $x = y$ **by** (*rule prod-eqI*)
qed

lemma *prod-fun-surj*:
assumes *surj f* **and** *surj g*
shows *surj (prod-fun f g)*
unfolding *surj-def*
proof
fix $y :: 'b \times 'd$
from $\langle \text{surj } f \rangle$ **obtain** a **where** $\text{fst } y = f \ a$ **by** (*auto elim:surjE*)
moreover
from $\langle \text{surj } g \rangle$ **obtain** b **where** $\text{snd } y = g \ b$ **by** (*auto elim:surjE*)
ultimately have $(\text{fst } y, \text{snd } y) = \text{prod-fun } f \ g \ (a, b)$ **by** *auto*
thus $\exists x. y = \text{prod-fun } f \ g \ x$ **by** *auto*
qed

lemma *prod-fun-surj-on*:
assumes $f \ 'A = A'$ **and** $g \ 'B = B'$
shows $\text{prod-fun } f \ g \ ' (A \times B) = A' \times B'$
unfolding *image-def*
proof(*rule set-ext, rule iffI*)
fix $x :: 'a \times 'c$
assume $x \in \{y :: 'a \times 'c. \exists x :: 'b \times 'd \in A \times B. y = \text{prod-fun } f \ g \ x\}$
then obtain y **where** $y \in A \times B$ **and** $x = \text{prod-fun } f \ g \ y$ **by** *blast*
from $\langle \text{image } f \ A = A' \rangle$ **and** $\langle y \in A \times B \rangle$ **have** $f \ (\text{fst } y) \in A'$ **by** *auto*
moreover from $\langle \text{image } g \ B = B' \rangle$ **and** $\langle y \in A \times B \rangle$ **have** $g \ (\text{snd } y) \in B'$ **by** *auto*
ultimately have $(f \ (\text{fst } y), g \ (\text{snd } y)) \in (A' \times B')$ **by** *auto*
with $\langle x = \text{prod-fun } f \ g \ y \rangle$ **show** $x \in A' \times B'$ **by** (*cases y, auto*)
next
fix $x :: 'a \times 'c$
assume $x \in A' \times B'$ **hence** $\text{fst } x \in A'$ **and** $\text{snd } x \in B'$ **by** *auto*
from $\langle \text{image } f \ A = A' \rangle$ **and** $\langle \text{fst } x \in A' \rangle$ **have** $\text{fst } x \in \text{image } f \ A$ **by** *auto*
then obtain a **where** $a \in A$ **and** $\text{fst } x = f \ a$ **by** (*rule imageE*)
moreover from $\langle \text{image } g \ B = B' \rangle$ **and** $\langle \text{snd } x \in B' \rangle$
obtain b **where** $b \in B$ **and** $\text{snd } x = g \ b$ **by** *auto*

ultimately have $(fst\ x, snd\ x) = prod_fun\ f\ g\ (a, b)$ by *auto*
 moreover from $\langle a \in A \rangle$ and $\langle b \in B \rangle$ have $(a, b) \in A \times B$ by *auto*
 ultimately have $\exists y \in A \times B. x = prod_fun\ f\ g\ y$ by *auto*
 thus $x \in \{x. \exists y \in A \times B. x = prod_fun\ f\ g\ y\}$ by *auto*
qed

lemma *swap-inj-on*:
 $inj_on\ (\lambda(i, j). (j, i))\ A$
 by (*auto intro!: inj-onI*)

lemma *swap-product*:
 $(\% (i, j). (j, i))\ ' (A \times B) = B \times A$
 by (*simp add: split-def image-def*) *blast*

lemma *image-split-eq-Sigma*:
 $(\lambda x. (f\ x, g\ x))\ ' A = Sigma\ (f\ ' A)\ (\lambda x. g\ ' (f\ -'\ \{x\} \cap A))$
proof (*safe intro!: imageI vimageI*)
 fix $a\ b$ assume *: $a \in A\ b \in A$ and $eq: f\ a = f\ b$
 show $(f\ b, g\ a) \in (\lambda x. (f\ x, g\ x))\ ' A$
 using * *eq[symmetric]* by *auto*
qed *simp-all*

11.4 Inductively defined sets

use *Tools/inductive-set.ML*
setup *Inductive-Set.setup*

11.5 Legacy theorem bindings and duplicates

lemma *PairE*:
 obtains $x\ y$ where $p = (x, y)$
 by (*fact prod.exhaust*)

lemma *Pair-inject*:
 assumes $(a, b) = (a', b')$
 and $a = a' ==> b = b' ==> R$
 shows R
 using *assms* by *simp*

lemmas *Pair-eq = prod.inject*

lemmas *split = split-conv* — for backwards compatibility

end

12 Sum-Type: The Disjoint Sum of Two Types

theory *Sum-Type*

imports *Typedef Inductive Fun*
begin

12.1 Construction of the sum type and its basic abstract operations

definition *Inl-Rep* :: $'a \Rightarrow 'a \Rightarrow 'b \Rightarrow \text{bool} \Rightarrow \text{bool}$ **where**
 $\text{Inl-Rep } a \ x \ y \ p \longleftrightarrow x = a \wedge p$

definition *Inr-Rep* :: $'b \Rightarrow 'a \Rightarrow 'b \Rightarrow \text{bool} \Rightarrow \text{bool}$ **where**
 $\text{Inr-Rep } b \ x \ y \ p \longleftrightarrow y = b \wedge \neg p$

global

typedef (*Sum*) ($'a, 'b$) + (**infixr** + 10) = $\{f. (\exists a. f = \text{Inl-Rep } (a::'a)) \vee (\exists b. f = \text{Inr-Rep } (b::'b))\}$
by *auto*

local

lemma *Inl-RepI*: $\text{Inl-Rep } a \in \text{Sum}$
by (*auto simp add: Sum-def*)

lemma *Inr-RepI*: $\text{Inr-Rep } b \in \text{Sum}$
by (*auto simp add: Sum-def*)

lemma *inj-on-Abs-Sum*: $A \subseteq \text{Sum} \implies \text{inj-on } \text{Abs-Sum } A$
by (*rule inj-on-inverseI, rule Abs-Sum-inverse*) *auto*

lemma *Inl-Rep-inject*: $\text{inj-on } \text{Inl-Rep } A$
proof (*rule inj-onI*)
show $\bigwedge a \ c. \text{Inl-Rep } a = \text{Inl-Rep } c \implies a = c$
by (*auto simp add: Inl-Rep-def expand-fun-eq*)
qed

lemma *Inr-Rep-inject*: $\text{inj-on } \text{Inr-Rep } A$
proof (*rule inj-onI*)
show $\bigwedge b \ d. \text{Inr-Rep } b = \text{Inr-Rep } d \implies b = d$
by (*auto simp add: Inr-Rep-def expand-fun-eq*)
qed

lemma *Inl-Rep-not-Inr-Rep*: $\text{Inl-Rep } a \neq \text{Inr-Rep } b$
by (*auto simp add: Inl-Rep-def Inr-Rep-def expand-fun-eq*)

definition *Inl* :: $'a \Rightarrow 'a + 'b$ **where**
 $\text{Inl} = \text{Abs-Sum} \circ \text{Inl-Rep}$

definition *Inr* :: $'b \Rightarrow 'a + 'b$ **where**
 $\text{Inr} = \text{Abs-Sum} \circ \text{Inr-Rep}$

lemma *inj-Inl* [*simp*]: *inj-on Inl A*
by (*auto simp add: Inl-def intro!: comp-inj-on Inl-Rep-inject inj-on-Abs-Sum Inl-RepI*)

lemma *Inl-inject*: *Inl x = Inl y \implies x = y*
using *inj-Inl* **by** (*rule injD*)

lemma *inj-Inr* [*simp*]: *inj-on Inr A*
by (*auto simp add: Inr-def intro!: comp-inj-on Inr-Rep-inject inj-on-Abs-Sum Inr-RepI*)

lemma *Inr-inject*: *Inr x = Inr y \implies x = y*
using *inj-Inr* **by** (*rule injD*)

lemma *Inl-not-Inr*: *Inl a \neq Inr b*
proof –
from *Inl-RepI* [*of a*] *Inr-RepI* [*of b*] **have** $\{Inl\text{-}Rep\ a, Inr\text{-}Rep\ b\} \subseteq Sum$ **by**
auto
with *inj-on-Abs-Sum* **have** *inj-on Abs-Sum* $\{Inl\text{-}Rep\ a, Inr\text{-}Rep\ b\}$.
with *Inl-Rep-not-Inr-Rep* [*of a b*] *inj-on-contrad* **have** *Abs-Sum* (*Inl-Rep a*) \neq
Abs-Sum (*Inr-Rep b*) **by** *auto*
then show *?thesis* **by** (*simp add: Inl-def Inr-def*)
qed

lemma *Inr-not-Inl*: *Inr b \neq Inl a*
using *Inl-not-Inr* **by** (*rule not-sym*)

lemma *sumE*:
assumes $\bigwedge x::'a. s = Inl\ x \implies P$
and $\bigwedge y::'b. s = Inr\ y \implies P$
shows *P*
proof (*rule Abs-Sum-cases* [*of s*])
fix *f*
assume *s = Abs-Sum f* **and** *f \in Sum*
with *assms* **show** *P* **by** (*auto simp add: Sum-def Inl-def Inr-def*)
qed

rep-datatype (*sum*) *Inl Inr*
proof –
fix *P*
fix *s :: 'a + 'b*
assume *x: $\bigwedge x::'a. P$ (Inl x)* **and** *y: $\bigwedge y::'b. P$ (Inr y)*
then show *P s* **by** (*auto intro: sumE* [*of s*])
qed (*auto dest: Inl-inject Inr-inject simp add: Inl-not-Inr*)

12.2 Projections

lemma *sum-case-KK* [*simp*]: *sum-case* ($\lambda x. a$) ($\lambda x. a$) = ($\lambda x. a$)
by (*rule ext*) (*simp split: sum.split*)

lemma *surjective-sum*: $\text{sum-case } (\lambda x::'a. f \text{ (Inl } x)) (\lambda y::'b. f \text{ (Inr } y)) = f$

proof

fix $s :: 'a + 'b$

show $(\text{case } s \text{ of Inl } (x::'a) \Rightarrow f \text{ (Inl } x) \mid \text{Inr } (y::'b) \Rightarrow f \text{ (Inr } y)) = f \text{ } s$

by $(\text{cases } s) \text{ simp-all}$

qed

lemma *sum-case-inject*:

assumes a : $\text{sum-case } f1 \text{ } f2 = \text{sum-case } g1 \text{ } g2$

assumes r : $f1 = g1 \Longrightarrow f2 = g2 \Longrightarrow P$

shows P

proof (*rule* r)

show $f1 = g1$ **proof**

fix $x :: 'a$

from a have $\text{sum-case } f1 \text{ } f2 \text{ (Inl } x) = \text{sum-case } g1 \text{ } g2 \text{ (Inl } x)$ **by** *simp*

then show $f1 \text{ } x = g1 \text{ } x$ **by** *simp*

qed

show $f2 = g2$ **proof**

fix $y :: 'b$

from a have $\text{sum-case } f1 \text{ } f2 \text{ (Inr } y) = \text{sum-case } g1 \text{ } g2 \text{ (Inr } y)$ **by** *simp*

then show $f2 \text{ } y = g2 \text{ } y$ **by** *simp*

qed

qed

lemma *sum-case-weak-cong*:

$s = t \Longrightarrow \text{sum-case } f \text{ } g \text{ } s = \text{sum-case } f \text{ } g \text{ } t$

— Prevents simplification of f and g : much faster.

by *simp*

primrec *Projl* :: $'a + 'b \Rightarrow 'a$ **where**

Projl-Inl: $\text{Projl } (\text{Inl } x) = x$

primrec *Projr* :: $'a + 'b \Rightarrow 'b$ **where**

Projr-Inr: $\text{Projr } (\text{Inr } x) = x$

primrec *Suml* :: $('a \Rightarrow 'c) \Rightarrow 'a + 'b \Rightarrow 'c$ **where**

Suml $f \text{ (Inl } x) = f \text{ } x$

primrec *Sumr* :: $('b \Rightarrow 'c) \Rightarrow 'a + 'b \Rightarrow 'c$ **where**

Sumr $f \text{ (Inr } x) = f \text{ } x$

lemma *Suml-inject*:

assumes $\text{Suml } f = \text{Suml } g$ **shows** $f = g$

proof

fix $x :: 'a$

let $?s = \text{Inl } x :: 'a + 'b$

from *assms* have $\text{Suml } f \text{ } ?s = \text{Suml } g \text{ } ?s$ **by** *simp*

then show $f \text{ } x = g \text{ } x$ **by** *simp*

qed

```

lemma Sumr-inject:
  assumes  $\text{Sumr } f = \text{Sumr } g$  shows  $f = g$ 
proof
  fix  $x :: 'b$ 
  let  $?s = \text{Inr } x :: 'a + 'b$ 
  from assms have  $\text{Sumr } f ?s = \text{Sumr } g ?s$  by simp
  then show  $f x = g x$  by simp
qed

```

12.3 The Disjoint Sum of Sets

definition $\text{Plus} :: 'a \text{ set} \Rightarrow 'b \text{ set} \Rightarrow ('a + 'b) \text{ set}$ (**infixr** $<+>$ 65) **where**
 $A <+> B = \text{Inl } ` A \cup \text{Inr } ` B$

lemma *InlI* [*intro!*]: $a \in A \Longrightarrow \text{Inl } a \in A <+> B$
by (*simp add: Plus-def*)

lemma *InrI* [*intro!*]: $b \in B \Longrightarrow \text{Inr } b \in A <+> B$
by (*simp add: Plus-def*)

Exhaustion rule for sums, a degenerate form of induction

lemma *PlusE* [*elim!*]:
 $u \in A <+> B \Longrightarrow (\bigwedge x. x \in A \Longrightarrow u = \text{Inl } x \Longrightarrow P) \Longrightarrow (\bigwedge y. y \in B \Longrightarrow u = \text{Inr } y \Longrightarrow P) \Longrightarrow P$
by (*auto simp add: Plus-def*)

lemma *Plus-eq-empty-conv* [*simp*]: $A <+> B = \{\} \longleftrightarrow A = \{\} \wedge B = \{\}$
by *auto*

lemma *UNIV-Plus-UNIV* [*simp*]: $\text{UNIV} <+> \text{UNIV} = \text{UNIV}$
proof (*rule set-ext*)
fix $u :: 'a + 'b$
show $u \in \text{UNIV} <+> \text{UNIV} \longleftrightarrow u \in \text{UNIV}$ **by** (*cases u*) *auto*
qed

hide-const (**open**) *Suml Sumr Projl Projr*

end

13 Rings: Rings

theory *Rings*
imports *Groups*
begin

class *semiring* = *ab-semigroup-add* + *semigroup-mult* +
assumes *left-distrib*[*algebra-simps*, *field-simps*]: $(a + b) * c = a * c + b * c$

```

assumes right-distrib[algebra-simps, field-simps]:  $a * (b + c) = a * b + a * c$ 
begin

```

For the *combine-numerals* simproc

```

lemma combine-common-factor:
   $a * e + (b * e + c) = (a + b) * e + c$ 
by (simp add: left-distrib add-ac)

```

```

end

```

```

class mult-zero = times + zero +
  assumes mult-zero-left [simp]:  $0 * a = 0$ 
  assumes mult-zero-right [simp]:  $a * 0 = 0$ 

```

```

class semiring-0 = semiring + comm-monoid-add + mult-zero

```

```

class semiring-0-cancel = semiring + cancel-comm-monoid-add
begin

```

```

subclass semiring-0

```

```

proof

```

```

  fix  $a :: 'a$ 
  have  $0 * a + 0 * a = 0 * a + 0$  by (simp add: left-distrib [symmetric])
  thus  $0 * a = 0$  by (simp only: add-left-cancel)

```

```

next

```

```

  fix  $a :: 'a$ 
  have  $a * 0 + a * 0 = a * 0 + 0$  by (simp add: right-distrib [symmetric])
  thus  $a * 0 = 0$  by (simp only: add-left-cancel)

```

```

qed

```

```

end

```

```

class comm-semiring = ab-semigroup-add + ab-semigroup-mult +
  assumes distrib:  $(a + b) * c = a * c + b * c$ 
begin

```

```

subclass semiring

```

```

proof

```

```

  fix  $a\ b\ c :: 'a$ 
  show  $(a + b) * c = a * c + b * c$  by (simp add: distrib)
  have  $a * (b + c) = (b + c) * a$  by (simp add: mult-ac)
  also have  $\dots = b * a + c * a$  by (simp only: distrib)
  also have  $\dots = a * b + a * c$  by (simp add: mult-ac)
  finally show  $a * (b + c) = a * b + a * c$  by blast

```

```

qed

```

```

end

```

```

class comm-semiring-0 = comm-semiring + comm-monoid-add + mult-zero

```

```

begin

subclass semiring-0 ..

end

class comm-semiring-0-cancel = comm-semiring + cancel-comm-monoid-add
begin

subclass semiring-0-cancel ..

subclass comm-semiring-0 ..

end

class zero-neq-one = zero + one +
  assumes zero-neq-one [simp]: 0 ≠ 1
begin

lemma one-neq-zero [simp]: 1 ≠ 0
by (rule not-sym) (rule zero-neq-one)

end

class semiring-1 = zero-neq-one + semiring-0 + monoid-mult

Abstract divisibility

class dvd = times
begin

definition dvd :: 'a ⇒ 'a ⇒ bool (infixl dvd 50) where
  [code del]: b dvd a ⟷ (∃ k. a = b * k)

lemma dvdI [intro?]: a = b * k ⟹ b dvd a
  unfolding dvd-def ..

lemma dvdE [elim?]: b dvd a ⟹ (∧ k. a = b * k ⟹ P) ⟹ P
  unfolding dvd-def by blast

end

class comm-semiring-1 = zero-neq-one + comm-semiring-0 + comm-monoid-mult
+ dvd

begin

subclass semiring-1 ..

lemma dvd-refl[simp]: a dvd a

```

proof
 show $a = a * 1$ **by** *simp*
qed

lemma *dvd-trans*:
 assumes $a \text{ dvd } b$ **and** $b \text{ dvd } c$
 shows $a \text{ dvd } c$
proof –
 from *assms* **obtain** v **where** $b = a * v$ **by** (*auto elim!*: *dvdE*)
 moreover from *assms* **obtain** w **where** $c = b * w$ **by** (*auto elim!*: *dvdE*)
 ultimately have $c = a * (v * w)$ **by** (*simp add*: *mult-assoc*)
 then show *?thesis* ..
qed

lemma *dvd-0-left-iff* [*no-atp, simp*]: $0 \text{ dvd } a \longleftrightarrow a = 0$
by (*auto intro*: *dvd-refl elim!*: *dvdE*)

lemma *dvd-0-right* [*iff*]: $a \text{ dvd } 0$
proof
 show $0 = a * 0$ **by** *simp*
qed

lemma *one-dvd* [*simp*]: $1 \text{ dvd } a$
by (*auto intro!*: *dvdI*)

lemma *dvd-mult*[*simp*]: $a \text{ dvd } c \implies a \text{ dvd } (b * c)$
by (*auto intro!*: *mult-left-commute dvdI elim!*: *dvdE*)

lemma *dvd-mult2*[*simp*]: $a \text{ dvd } b \implies a \text{ dvd } (b * c)$
apply (*subst mult-commute*)
apply (*erule dvd-mult*)
done

lemma *dvd-triv-right* [*simp*]: $a \text{ dvd } b * a$
by (*rule dvd-mult*) (*rule dvd-refl*)

lemma *dvd-triv-left* [*simp*]: $a \text{ dvd } a * b$
by (*rule dvd-mult2*) (*rule dvd-refl*)

lemma *mult-dvd-mono*:
 assumes $a \text{ dvd } b$
 and $c \text{ dvd } d$
 shows $a * c \text{ dvd } b * d$
proof –
 from $\langle a \text{ dvd } b \rangle$ **obtain** b' **where** $b = a * b'$..
 moreover from $\langle c \text{ dvd } d \rangle$ **obtain** d' **where** $d = c * d'$..
 ultimately have $b * d = (a * c) * (b' * d')$ **by** (*simp add*: *mult-ac*)
 then show *?thesis* ..
qed

lemma *dvd-mult-left*: $a * b \text{ dvd } c \implies a \text{ dvd } c$

by (*simp add: dvd-def mult-assoc, blast*)

lemma *dvd-mult-right*: $a * b \text{ dvd } c \implies b \text{ dvd } c$

unfolding *mult-ac* [of *a*] **by** (*rule dvd-mult-left*)

lemma *dvd-0-left*: $0 \text{ dvd } a \implies a = 0$

by *simp*

lemma *dvd-add*[*simp*]:

assumes $a \text{ dvd } b$ **and** $a \text{ dvd } c$ **shows** $a \text{ dvd } (b + c)$

proof –

from $\langle a \text{ dvd } b \rangle$ **obtain** b' **where** $b = a * b' ..$

moreover from $\langle a \text{ dvd } c \rangle$ **obtain** c' **where** $c = a * c' ..$

ultimately have $b + c = a * (b' + c')$ **by** (*simp add: right-distrib*)

then show *?thesis* ..

qed

end

class *no-zero-divisors* = *zero* + *times* +

assumes *no-zero-divisors*: $a \neq 0 \implies b \neq 0 \implies a * b \neq 0$

begin

lemma *divisors-zero*:

assumes $a * b = 0$

shows $a = 0 \vee b = 0$

proof (*rule classical*)

assume $\neg (a = 0 \vee b = 0)$

then have $a \neq 0$ **and** $b \neq 0$ **by** *auto*

with *no-zero-divisors* **have** $a * b \neq 0$ **by** *blast*

with *assms* **show** *?thesis* **by** *simp*

qed

end

class *semiring-1-cancel* = *semiring* + *cancel-comm-monoid-add*

+ *zero-neq-one* + *monoid-mult*

begin

subclass *semiring-0-cancel* ..

subclass *semiring-1* ..

end

class *comm-semiring-1-cancel* = *comm-semiring* + *cancel-comm-monoid-add*

+ *zero-neq-one* + *comm-monoid-mult*

begin

subclass *semiring-1-cancel* ..
subclass *comm-semiring-0-cancel* ..
subclass *comm-semiring-1* ..

end

class *ring* = *semiring* + *ab-group-add*
begin

subclass *semiring-0-cancel* ..

Distribution rules

lemma *minus-mult-left*: $-(a * b) = -a * b$
by (*rule minus-unique*) (*simp add: left-distrib [symmetric]*)

lemma *minus-mult-right*: $-(a * b) = a * -b$
by (*rule minus-unique*) (*simp add: right-distrib [symmetric]*)

Extract signs from products

lemmas *mult-minus-left* [*simp, no-atp*] = *minus-mult-left* [*symmetric*]
lemmas *mult-minus-right* [*simp, no-atp*] = *minus-mult-right* [*symmetric*]

lemma *minus-mult-minus* [*simp*]: $-a * -b = a * b$
by *simp*

lemma *minus-mult-commute*: $-a * b = a * -b$
by *simp*

lemma *right-diff-distrib*[*algebra-simps, field-simps*]: $a * (b - c) = a * b - a * c$
by (*simp add: right-distrib diff-minus*)

lemma *left-diff-distrib*[*algebra-simps, field-simps*]: $(a - b) * c = a * c - b * c$
by (*simp add: left-distrib diff-minus*)

lemmas *ring-distrib*[*no-atp*] =
right-distrib left-distrib left-diff-distrib right-diff-distrib

lemma *eq-add-iff1*:
 $a * e + c = b * e + d \iff (a - b) * e + c = d$
by (*simp add: algebra-simps*)

lemma *eq-add-iff2*:
 $a * e + c = b * e + d \iff c = (b - a) * e + d$
by (*simp add: algebra-simps*)

end

```

lemmas ring-distrib[no-atp] =
  right-distrib left-distrib left-diff-distrib right-diff-distrib

class comm-ring = comm-semiring + ab-group-add
begin

subclass ring ..
subclass comm-semiring-0-cancel ..

end

class ring-1 = ring + zero-neq-one + monoid-mult
begin

subclass semiring-1-cancel ..

end

class comm-ring-1 = comm-ring + zero-neq-one + comm-monoid-mult

begin

subclass ring-1 ..
subclass comm-semiring-1-cancel ..

lemma dvd-minus-iff [simp]:  $x \text{ dvd } - y \longleftrightarrow x \text{ dvd } y$ 
proof
  assume  $x \text{ dvd } - y$ 
  then have  $x \text{ dvd } - 1 * - y$  by (rule dvd-mult)
  then show  $x \text{ dvd } y$  by simp
next
  assume  $x \text{ dvd } y$ 
  then have  $x \text{ dvd } - 1 * y$  by (rule dvd-mult)
  then show  $x \text{ dvd } - y$  by simp
qed

lemma minus-dvd-iff [simp]:  $- x \text{ dvd } y \longleftrightarrow x \text{ dvd } y$ 
proof
  assume  $- x \text{ dvd } y$ 
  then obtain  $k$  where  $y = - x * k$  ..
  then have  $y = x * - k$  by simp
  then show  $x \text{ dvd } y$  ..
next
  assume  $x \text{ dvd } y$ 
  then obtain  $k$  where  $y = x * k$  ..
  then have  $y = - x * - k$  by simp
  then show  $- x \text{ dvd } y$  ..
qed

```


lemma *dvd-diff* [*simp*]: $x \text{ dvd } y \implies x \text{ dvd } z \implies x \text{ dvd } (y - z)$
by (*simp only: diff-minus dvd-add dvd-minus-iff*)

end

class *ring-no-zero-divisors* = *ring* + *no-zero-divisors*
begin

lemma *mult-eq-0-iff* [*simp*]:
shows $a * b = 0 \iff (a = 0 \vee b = 0)$
proof (*cases a = 0 ∨ b = 0*)
case *False* **then have** $a \neq 0$ **and** $b \neq 0$ **by** *auto*
then show *?thesis* **using** *no-zero-divisors* **by** *simp*
next
case *True* **then show** *?thesis* **by** *auto*
qed

Cancellation of equalities with a common factor

lemma *mult-cancel-right* [*simp, no-atp*]:
 $a * c = b * c \iff c = 0 \vee a = b$
proof –
have $(a * c = b * c) = ((a - b) * c = 0)$
by (*simp add: algebra-simps*)
thus *?thesis* **by** (*simp add: disj-commute*)
qed

lemma *mult-cancel-left* [*simp, no-atp*]:
 $c * a = c * b \iff c = 0 \vee a = b$
proof –
have $(c * a = c * b) = (c * (a - b) = 0)$
by (*simp add: algebra-simps*)
thus *?thesis* **by** *simp*
qed

end

class *ring-1-no-zero-divisors* = *ring-1* + *ring-no-zero-divisors*
begin

lemma *square-eq-1-iff*:
 $x * x = 1 \iff x = 1 \vee x = -1$
proof –
have $(x - 1) * (x + 1) = x * x - 1$
by (*simp add: algebra-simps*)
hence $x * x = 1 \iff (x - 1) * (x + 1) = 0$
by *simp*
thus *?thesis*
by (*simp add: eq-neg-iff-add-eq-0*)
qed

```

lemma mult-cancel-right1 [simp]:
   $c = b * c \longleftrightarrow c = 0 \vee b = 1$ 
by (insert mult-cancel-right [of 1 c b], force)

lemma mult-cancel-right2 [simp]:
   $a * c = c \longleftrightarrow c = 0 \vee a = 1$ 
by (insert mult-cancel-right [of a c 1], simp)

lemma mult-cancel-left1 [simp]:
   $c = c * b \longleftrightarrow c = 0 \vee b = 1$ 
by (insert mult-cancel-left [of c 1 b], force)

lemma mult-cancel-left2 [simp]:
   $c * a = c \longleftrightarrow c = 0 \vee a = 1$ 
by (insert mult-cancel-left [of c a 1], simp)

end

class idom = comm-ring-1 + no-zero-divisors
begin

subclass ring-1-no-zero-divisors ..

lemma square-eq-iff:  $a * a = b * b \longleftrightarrow (a = b \vee a = - b)$ 
proof
  assume  $a * a = b * b$ 
  then have  $(a - b) * (a + b) = 0$ 
    by (simp add: algebra-simps)
  then show  $a = b \vee a = - b$ 
    by (simp add: eq-neg-iff-add-eq-0)
next
  assume  $a = b \vee a = - b$ 
  then show  $a * a = b * b$  by auto
qed

lemma dvd-mult-cancel-right [simp]:
   $a * c \text{ dvd } b * c \longleftrightarrow c = 0 \vee a \text{ dvd } b$ 
proof –
  have  $a * c \text{ dvd } b * c \longleftrightarrow (\exists k. b * c = (a * k) * c)$ 
    unfolding dvd-def by (simp add: mult-ac)
  also have  $(\exists k. b * c = (a * k) * c) \longleftrightarrow c = 0 \vee a \text{ dvd } b$ 
    unfolding dvd-def by simp
  finally show ?thesis .
qed

lemma dvd-mult-cancel-left [simp]:
   $c * a \text{ dvd } c * b \longleftrightarrow c = 0 \vee a \text{ dvd } b$ 
proof –

```

```

have  $c * a \text{ dvd } c * b \longleftrightarrow (\exists k. b * c = (a * k) * c)$ 
  unfolding dvd-def by (simp add: mult-ac)
also have  $(\exists k. b * c = (a * k) * c) \longleftrightarrow c = 0 \vee a \text{ dvd } b$ 
  unfolding dvd-def by simp
finally show ?thesis .
qed

```

```
end
```

```

class inverse =
  fixes inverse :: 'a  $\Rightarrow$  'a
  and divide :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl '/' 70)

class division-ring = ring-1 + inverse +
  assumes left-inverse [simp]:  $a \neq 0 \implies \text{inverse } a * a = 1$ 
  assumes right-inverse [simp]:  $a \neq 0 \implies a * \text{inverse } a = 1$ 
  assumes divide-inverse:  $a / b = a * \text{inverse } b$ 
begin

```

```

subclass ring-1-no-zero-divisors
proof
  fix a b :: 'a
  assume a:  $a \neq 0$  and b:  $b \neq 0$ 
  show  $a * b \neq 0$ 
  proof
    assume ab:  $a * b = 0$ 
    hence  $0 = \text{inverse } a * (a * b) * \text{inverse } b$  by simp
    also have  $\dots = (\text{inverse } a * a) * (b * \text{inverse } b)$ 
      by (simp only: mult-assoc)
    also have  $\dots = 1$  using a b by simp
    finally show False by simp
  qed
qed

```

```

lemma nonzero-imp-inverse-nonzero:
   $a \neq 0 \implies \text{inverse } a \neq 0$ 
proof
  assume ianz:  $\text{inverse } a = 0$ 
  assume a  $\neq 0$ 
  hence  $1 = a * \text{inverse } a$  by simp
  also have  $\dots = 0$  by (simp add: ianz)
  finally have  $1 = 0$  .
  thus False by (simp add: eq-commute)
qed

```

```

lemma inverse-zero-imp-zero:
   $\text{inverse } a = 0 \implies a = 0$ 
apply (rule classical)
apply (drule nonzero-imp-inverse-nonzero)

```

apply *auto*
done

lemma *inverse-unique*:
assumes *ab*: $a * b = 1$
shows $\text{inverse } a = b$
proof –
have $a \neq 0$ **using** *ab* **by** (*cases* $a = 0$) *simp-all*
moreover **have** $\text{inverse } a * (a * b) = \text{inverse } a$ **by** (*simp add: ab*)
ultimately show *?thesis* **by** (*simp add: mult-assoc [symmetric]*)
qed

lemma *nonzero-inverse-minus-eq*:
 $a \neq 0 \implies \text{inverse } (-a) = - \text{inverse } a$
by (*rule inverse-unique*) *simp*

lemma *nonzero-inverse-inverse-eq*:
 $a \neq 0 \implies \text{inverse } (\text{inverse } a) = a$
by (*rule inverse-unique*) *simp*

lemma *nonzero-inverse-eq-imp-eq*:
assumes $\text{inverse } a = \text{inverse } b$ **and** $a \neq 0$ **and** $b \neq 0$
shows $a = b$
proof –
from $\langle \text{inverse } a = \text{inverse } b \rangle$
have $\text{inverse } (\text{inverse } a) = \text{inverse } (\text{inverse } b)$ **by** (*rule arg-cong*)
with $\langle a \neq 0 \rangle$ **and** $\langle b \neq 0 \rangle$ **show** $a = b$
by (*simp add: nonzero-inverse-inverse-eq*)
qed

lemma *inverse-1* [*simp*]: $\text{inverse } 1 = 1$
by (*rule inverse-unique*) *simp*

lemma *nonzero-inverse-mult-distrib*:
assumes $a \neq 0$ **and** $b \neq 0$
shows $\text{inverse } (a * b) = \text{inverse } b * \text{inverse } a$
proof –
have $a * (b * \text{inverse } b) * \text{inverse } a = 1$ **using** *assms* **by** *simp*
hence $a * b * (\text{inverse } b * \text{inverse } a) = 1$ **by** (*simp only: mult-assoc*)
thus *?thesis* **by** (*rule inverse-unique*)
qed

lemma *division-ring-inverse-add*:
 $a \neq 0 \implies b \neq 0 \implies \text{inverse } a + \text{inverse } b = \text{inverse } a * (a + b) * \text{inverse } b$
by (*simp add: algebra-simps*)

lemma *division-ring-inverse-diff*:
 $a \neq 0 \implies b \neq 0 \implies \text{inverse } a - \text{inverse } b = \text{inverse } a * (b - a) * \text{inverse } b$
by (*simp add: algebra-simps*)

lemma *right-inverse-eq*: $b \neq 0 \implies a / b = 1 \iff a = b$

proof

assume *neq*: $b \neq 0$
 {
 hence $a = (a / b) * b$ **by** (*simp add: divide-inverse mult-assoc*)
 also assume $a / b = 1$
 finally show $a = b$ **by** *simp*
 next
 assume $a = b$
 with neq show $a / b = 1$ **by** (*simp add: divide-inverse*)
 }
qed

lemma *nonzero-inverse-eq-divide*: $a \neq 0 \implies \text{inverse } a = 1 / a$
by (*simp add: divide-inverse*)

lemma *divide-self* [*simp*]: $a \neq 0 \implies a / a = 1$
by (*simp add: divide-inverse*)

lemma *divide-zero-left* [*simp*]: $0 / a = 0$
by (*simp add: divide-inverse*)

lemma *inverse-eq-divide*: $\text{inverse } a = 1 / a$
by (*simp add: divide-inverse*)

lemma *add-divide-distrib*: $(a+b) / c = a/c + b/c$
by (*simp add: divide-inverse algebra-simps*)

lemma *divide-1* [*simp*]: $a / 1 = a$
by (*simp add: divide-inverse*)

lemma *times-divide-eq-right* [*simp*]: $a * (b / c) = (a * b) / c$
by (*simp add: divide-inverse mult-assoc*)

lemma *minus-divide-left*: $-(a / b) = (-a) / b$
by (*simp add: divide-inverse*)

lemma *nonzero-minus-divide-right*: $b \neq 0 \implies -(a / b) = a / (-b)$
by (*simp add: divide-inverse nonzero-inverse-minus-eq*)

lemma *nonzero-minus-divide-divide*: $b \neq 0 \implies (-a) / (-b) = a / b$
by (*simp add: divide-inverse nonzero-inverse-minus-eq*)

lemma *divide-minus-left* [*simp, no-atp*]: $(-a) / b = -(a / b)$
by (*simp add: divide-inverse*)

lemma *diff-divide-distrib*: $(a - b) / c = a / c - b / c$
by (*simp add: diff-minus add-divide-distrib*)

lemma *nonzero-eq-divide-eq* [*field-simps*]: $c \neq 0 \implies a = b / c \iff a * c = b$

proof –

assume [*simp*]: $c \neq 0$

have $a = b / c \iff a * c = (b / c) * c$ **by** *simp*

also have $\dots \iff a * c = b$ **by** (*simp add: divide-inverse mult-assoc*)

finally show *?thesis* .

qed

lemma *nonzero-divide-eq-eq* [*field-simps*]: $c \neq 0 \implies b / c = a \iff b = a * c$

proof –

assume [*simp*]: $c \neq 0$

have $b / c = a \iff (b / c) * c = a * c$ **by** *simp*

also have $\dots \iff b = a * c$ **by** (*simp add: divide-inverse mult-assoc*)

finally show *?thesis* .

qed

lemma *divide-eq-imp*: $c \neq 0 \implies b = a * c \implies b / c = a$

by (*simp add: divide-inverse mult-assoc*)

lemma *eq-divide-imp*: $c \neq 0 \implies a * c = b \implies a = b / c$

by (*drule sym*) (*simp add: divide-inverse mult-assoc*)

end

class *division-ring-inverse-zero* = *division-ring* +

assumes *inverse-zero* [*simp*]: $\text{inverse } 0 = 0$

begin

lemma *divide-zero* [*simp*]:

$a / 0 = 0$

by (*simp add: divide-inverse*)

lemma *divide-self-if* [*simp*]:

$a / a = (\text{if } a = 0 \text{ then } 0 \text{ else } 1)$

by *simp*

lemma *inverse-nonzero-iff-nonzero* [*simp*]:

$\text{inverse } a = 0 \iff a = 0$

by *rule* (*fact inverse-zero-imp-zero, simp*)

lemma *inverse-minus-eq* [*simp*]:

$\text{inverse } (- a) = - \text{inverse } a$

proof *cases*

assume $a=0$ **thus** *?thesis* **by** *simp*

next

assume $a \neq 0$

thus *?thesis* **by** (*simp add: nonzero-inverse-minus-eq*)

qed

```

lemma inverse-eq-imp-eq:
  inverse a = inverse b  $\implies$  a = b
apply (cases a=0 | b=0)
  apply (force dest!: inverse-zero-imp-zero
    simp add: eq-commute [of 0::'a])
apply (force dest!: nonzero-inverse-eq-imp-eq)
done

lemma inverse-eq-iff-eq [simp]:
  inverse a = inverse b  $\iff$  a = b
  by (force dest!: inverse-eq-imp-eq)

lemma inverse-inverse-eq [simp]:
  inverse (inverse a) = a
proof cases
  assume a=0 thus ?thesis by simp
next
  assume a $\neq$ 0
  thus ?thesis by (simp add: nonzero-inverse-inverse-eq)
qed

end

class mult-mono = times + zero + ord +
  assumes mult-left-mono: a  $\leq$  b  $\implies$  0  $\leq$  c  $\implies$  c * a  $\leq$  c * b
  assumes mult-right-mono: a  $\leq$  b  $\implies$  0  $\leq$  c  $\implies$  a * c  $\leq$  b * c

```

The theory of partially ordered rings is taken from the books:

- *Lattice Theory* by Garret Birkhoff, American Mathematical Society 1979
- *Partially Ordered Algebraic Systems*, Pergamon Press 1963

Most of the used notions can also be looked up in

- <http://www.mathworld.com> by Eric Weisstein et. al.
- *Algebra I* by van der Waerden, Springer.

```

class ordered-semiring = mult-mono + semiring-0 + ordered-ab-semigroup-add
begin

lemma mult-mono:
  a  $\leq$  b  $\implies$  c  $\leq$  d  $\implies$  0  $\leq$  b  $\implies$  0  $\leq$  c
   $\implies$  a * c  $\leq$  b * d
apply (erule mult-right-mono [THEN order-trans], assumption)
apply (erule mult-left-mono, assumption)
done

```

```

lemma mult-mono':
   $a \leq b \implies c \leq d \implies 0 \leq a \implies 0 \leq c$ 
   $\implies a * c \leq b * d$ 
apply (rule mult-mono)
apply (fast intro: order-trans)+
done

end

class ordered-cancel-semiring = mult-mono + ordered-ab-semigroup-add
  + semiring + cancel-comm-monoid-add
begin

subclass semiring-0-cancel ..
subclass ordered-semiring ..

lemma mult-nonneg-nonneg:  $0 \leq a \implies 0 \leq b \implies 0 \leq a * b$ 
using mult-left-mono [of 0 b a] by simp

lemma mult-nonneg-nonpos:  $0 \leq a \implies b \leq 0 \implies a * b \leq 0$ 
using mult-left-mono [of b 0 a] by simp

lemma mult-nonpos-nonneg:  $a \leq 0 \implies 0 \leq b \implies a * b \leq 0$ 
using mult-right-mono [of a 0 b] by simp

Legacy - use mult-nonpos-nonneg

lemma mult-nonneg-nonpos2:  $0 \leq a \implies b \leq 0 \implies b * a \leq 0$ 
by (drule mult-right-mono [of b 0], auto)

lemma split-mult-neg-le:  $(0 \leq a \ \& \ b \leq 0) \mid (a \leq 0 \ \& \ 0 \leq b) \implies a * b \leq 0$ 
by (auto simp add: mult-nonneg-nonpos mult-nonneg-nonpos2)

end

class linordered-semiring = semiring + comm-monoid-add + linordered-cancel-ab-semigroup-add
  + mult-mono
begin

subclass ordered-cancel-semiring ..

subclass ordered-comm-monoid-add ..

lemma mult-left-less-imp-less:
   $c * a < c * b \implies 0 \leq c \implies a < b$ 
by (force simp add: mult-left-mono not-le [symmetric])

lemma mult-right-less-imp-less:
   $a * c < b * c \implies 0 \leq c \implies a < b$ 

```


by (*force simp add: mult-right-mono not-le [symmetric]*)

end

class *linordered-semiring-1* = *linordered-semiring* + *semiring-1*
begin

lemma *convex-bound-le*:

assumes $x \leq a$ $y \leq a$ $0 \leq u$ $0 \leq v$ $u + v = 1$

shows $u * x + v * y \leq a$

proof–

from *assms* **have** $u * x + v * y \leq u * a + v * a$

by (*simp add: add-mono mult-left-mono*)

thus *?thesis* **using** *assms* **unfolding** *left-distrib[symmetric]* **by** *simp*

qed

end

class *linordered-semiring-strict* = *semiring* + *comm-monoid-add* + *linordered-cancel-ab-semigroup-add*
 +

assumes *mult-strict-left-mono*: $a < b \implies 0 < c \implies c * a < c * b$

assumes *mult-strict-right-mono*: $a < b \implies 0 < c \implies a * c < b * c$

begin

subclass *semiring-0-cancel* ..

subclass *linordered-semiring*

proof

fix $a\ b\ c :: 'a$

assume *A*: $a \leq b$ $0 \leq c$

from *A* **show** $c * a \leq c * b$

unfolding *le-less*

using *mult-strict-left-mono* **by** (*cases c = 0*) *auto*

from *A* **show** $a * c \leq b * c$

unfolding *le-less*

using *mult-strict-right-mono* **by** (*cases c = 0*) *auto*

qed

lemma *mult-left-le-imp-le*:

$c * a \leq c * b \implies 0 < c \implies a \leq b$

by (*force simp add: mult-strict-left-mono -not-less [symmetric]*)

lemma *mult-right-le-imp-le*:

$a * c \leq b * c \implies 0 < c \implies a \leq b$

by (*force simp add: mult-strict-right-mono not-less [symmetric]*)

lemma *mult-pos-pos*: $0 < a \implies 0 < b \implies 0 < a * b$

using *mult-strict-left-mono* [*of 0 b a*] **by** *simp*

lemma *mult-pos-neg*: $0 < a \implies b < 0 \implies a * b < 0$
using *mult-strict-left-mono* [of b 0 a] **by** *simp*

lemma *mult-neg-pos*: $a < 0 \implies 0 < b \implies a * b < 0$
using *mult-strict-right-mono* [of a 0 b] **by** *simp*

Legacy - use *mult-neg-pos*

lemma *mult-pos-neg2*: $0 < a \implies b < 0 \implies b * a < 0$
by (*drule mult-strict-right-mono* [of b 0], *auto*)

lemma *zero-less-mult-pos*:
 $0 < a * b \implies 0 < a \implies 0 < b$
apply (*cases* $b \leq 0$)
apply (*auto simp add: le-less not-less*)
apply (*drule-tac mult-pos-neg* [of a b])
apply (*auto dest: less-not-sym*)
done

lemma *zero-less-mult-pos2*:
 $0 < b * a \implies 0 < a \implies 0 < b$
apply (*cases* $b \leq 0$)
apply (*auto simp add: le-less not-less*)
apply (*drule-tac mult-pos-neg2* [of a b])
apply (*auto dest: less-not-sym*)
done

Strict monotonicity in both arguments

lemma *mult-strict-mono*:
assumes $a < b$ **and** $c < d$ **and** $0 < b$ **and** $0 \leq c$
shows $a * c < b * d$
using *assms* **apply** (*cases* $c = 0$)
apply (*simp add: mult-pos-pos*)
apply (*erule mult-strict-right-mono* [THEN *less-trans*])
apply (*force simp add: le-less*)
apply (*erule mult-strict-left-mono, assumption*)
done

This weaker variant has more natural premises

lemma *mult-strict-mono'*:
assumes $a < b$ **and** $c < d$ **and** $0 \leq a$ **and** $0 \leq c$
shows $a * c < b * d$
by (*rule mult-strict-mono*) (*insert assms, auto*)

lemma *mult-less-le-imp-less*:
assumes $a < b$ **and** $c \leq d$ **and** $0 \leq a$ **and** $0 < c$
shows $a * c < b * d$
using *assms* **apply** (*subgoal-tac* $a * c < b * c$)
apply (*erule less-le-trans*)
apply (*erule mult-left-mono*)

```

apply simp
apply (erule mult-strict-right-mono)
apply assumption
done

lemma mult-le-less-imp-less:
  assumes  $a \leq b$  and  $c < d$  and  $0 < a$  and  $0 \leq c$ 
  shows  $a * c < b * d$ 
  using assms apply (subgoal-tac  $a * c \leq b * c$ )
  apply (erule le-less-trans)
  apply (erule mult-strict-left-mono)
  apply simp
  apply (erule mult-right-mono)
  apply simp
  done

lemma mult-less-imp-less-left:
  assumes less:  $c * a < c * b$  and nonneg:  $0 \leq c$ 
  shows  $a < b$ 
proof (rule ccontr)
  assume  $\neg a < b$ 
  hence  $b \leq a$  by (simp add: linorder-not-less)
  hence  $c * b \leq c * a$  using nonneg by (rule mult-left-mono)
  with this and less show False by (simp add: not-less [symmetric])
qed

lemma mult-less-imp-less-right:
  assumes less:  $a * c < b * c$  and nonneg:  $0 \leq c$ 
  shows  $a < b$ 
proof (rule ccontr)
  assume  $\neg a < b$ 
  hence  $b \leq a$  by (simp add: linorder-not-less)
  hence  $b * c \leq a * c$  using nonneg by (rule mult-right-mono)
  with this and less show False by (simp add: not-less [symmetric])
qed

end

class linordered-semiring-1-strict = linordered-semiring-strict + semiring-1
begin

subclass linordered-semiring-1 ..

lemma convex-bound-lt:
  assumes  $x < a$   $y < a$   $0 \leq u$   $0 \leq v$   $u + v = 1$ 
  shows  $u * x + v * y < a$ 
proof –
  from assms have  $u * x + v * y < u * a + v * a$ 
  by (cases  $u = 0$ )

```

```

      (auto intro!: add-less-le-mono mult-strict-left-mono mult-left-mono)
    thus ?thesis using assms unfolding left-distrib[symmetric] by simp
qed

end

class mult-mono1 = times + zero + ord +
  assumes mult-mono1:  $a \leq b \implies 0 \leq c \implies c * a \leq c * b$ 

class ordered-comm-semiring = comm-semiring-0
  + ordered-ab-semigroup-add + mult-mono1
begin

subclass ordered-semiring
proof
  fix a b c :: 'a
  assume  $a \leq b$   $0 \leq c$ 
  thus  $c * a \leq c * b$  by (rule mult-mono1)
  thus  $a * c \leq b * c$  by (simp only: mult-commute)
qed

end

class ordered-cancel-comm-semiring = comm-semiring-0-cancel
  + ordered-ab-semigroup-add + mult-mono1
begin

subclass ordered-comm-semiring ..
subclass ordered-cancel-semiring ..

end

class linordered-comm-semiring-strict = comm-semiring-0 + linordered-cancel-ab-semigroup-add
+
  assumes mult-strict-left-mono-comm:  $a < b \implies 0 < c \implies c * a < c * b$ 
begin

subclass linordered-semiring-strict
proof
  fix a b c :: 'a
  assume  $a < b$   $0 < c$ 
  thus  $c * a < c * b$  by (rule mult-strict-left-mono-comm)
  thus  $a * c < b * c$  by (simp only: mult-commute)
qed

subclass ordered-cancel-comm-semiring
proof
  fix a b c :: 'a
  assume  $a \leq b$   $0 \leq c$ 

```

```

    thus  $c * a \leq c * b$ 
    unfolding le-less
    using mult-strict-left-mono by (cases  $c = 0$ ) auto
qed

end

class ordered-ring = ring + ordered-cancel-semiring
begin

subclass ordered-ab-group-add ..

lemma less-add-iff1:
   $a * e + c < b * e + d \iff (a - b) * e + c < d$ 
by (simp add: algebra-simps)

lemma less-add-iff2:
   $a * e + c < b * e + d \iff c < (b - a) * e + d$ 
by (simp add: algebra-simps)

lemma le-add-iff1:
   $a * e + c \leq b * e + d \iff (a - b) * e + c \leq d$ 
by (simp add: algebra-simps)

lemma le-add-iff2:
   $a * e + c \leq b * e + d \iff c \leq (b - a) * e + d$ 
by (simp add: algebra-simps)

lemma mult-left-mono-neg:
   $b \leq a \implies c \leq 0 \implies c * a \leq c * b$ 
  apply (drule mult-left-mono [of - - - c])
  apply simp-all
  done

lemma mult-right-mono-neg:
   $b \leq a \implies c \leq 0 \implies a * c \leq b * c$ 
  apply (drule mult-right-mono [of - - - c])
  apply simp-all
  done

lemma mult-nonpos-nonpos:  $a \leq 0 \implies b \leq 0 \implies 0 \leq a * b$ 
using mult-right-mono-neg [of  $a$   $0$   $b$ ] by simp

lemma split-mult-pos-le:
   $(0 \leq a \wedge 0 \leq b) \vee (a \leq 0 \wedge b \leq 0) \implies 0 \leq a * b$ 
by (auto simp add: mult-nonneg-nonneg mult-nonpos-nonpos)

end

```

```

class linordered-ring = ring + linordered-semiring + linordered-ab-group-add +
abs-if
begin

subclass ordered-ring ..

subclass ordered-ab-group-add-abs
proof
  fix a b
  show  $|a + b| \leq |a| + |b|$ 
    by (auto simp add: abs-if not-less)
    (auto simp del: minus-add-distrib simp add: minus-add-distrib [symmetric],
      auto intro!: less-imp-le add-neg-neg)
qed (auto simp add: abs-if)

lemma zero-le-square [simp]:  $0 \leq a * a$ 
  using linear [of 0 a]
  by (auto simp add: mult-nonneg-nonneg mult-nonpos-nonpos)

lemma not-square-less-zero [simp]:  $\neg (a * a < 0)$ 
  by (simp add: not-less)

end

class linordered-ring-strict = ring + linordered-semiring-strict
+ ordered-ab-group-add + abs-if
begin

subclass linordered-ring ..

lemma mult-strict-left-mono-neg:  $b < a \implies c < 0 \implies c * a < c * b$ 
using mult-strict-left-mono [of b a - c] by simp

lemma mult-strict-right-mono-neg:  $b < a \implies c < 0 \implies a * c < b * c$ 
using mult-strict-right-mono [of b a - c] by simp

lemma mult-neg-neg:  $a < 0 \implies b < 0 \implies 0 < a * b$ 
using mult-strict-right-mono-neg [of a 0 b] by simp

subclass ring-no-zero-divisors
proof
  fix a b
  assume  $a \neq 0$  then have  $A: a < 0 \vee 0 < a$  by (simp add: neq-iff)
  assume  $b \neq 0$  then have  $B: b < 0 \vee 0 < b$  by (simp add: neq-iff)
  have  $a * b < 0 \vee 0 < a * b$ 
  proof (cases  $a < 0$ )
    case True note  $A' = this$ 
    show ?thesis proof (cases  $b < 0$ )

```

```

    case True with A'
    show ?thesis by (auto dest: mult-neg-neg)
next
    case False with B have 0 < b by auto
    with A' show ?thesis by (auto dest: mult-strict-right-mono)
qed
next
    case False with A have A': 0 < a by auto
    show ?thesis proof (cases b < 0)
      case True with A'
      show ?thesis by (auto dest: mult-strict-right-mono-neg)
    next
      case False with B have 0 < b by auto
      with A' show ?thesis by (auto dest: mult-pos-pos)
    qed
  qed
then show a * b ≠ 0 by (simp add: neq-iff)
qed

lemma zero-less-mult-iff:
  0 < a * b ⟷ 0 < a ∧ 0 < b ∨ a < 0 ∧ b < 0
  apply (auto simp add: mult-pos-pos mult-neg-neg)
  apply (simp-all add: not-less le-less)
  apply (erule disjE) apply assumption defer
  apply (erule disjE) defer apply (drule sym) apply simp
  apply (erule disjE) defer apply (drule sym) apply simp
  apply (erule disjE) apply assumption apply (drule sym) apply simp
  apply (drule sym) apply simp
  apply (blast dest: zero-less-mult-pos)
  apply (blast dest: zero-less-mult-pos2)
done

lemma zero-le-mult-iff:
  0 ≤ a * b ⟷ 0 ≤ a ∧ 0 ≤ b ∨ a ≤ 0 ∧ b ≤ 0
  by (auto simp add: eq-commute [of 0] le-less not-less zero-less-mult-iff)

lemma mult-less-0-iff:
  a * b < 0 ⟷ 0 < a ∧ b < 0 ∨ a < 0 ∧ 0 < b
  apply (insert zero-less-mult-iff [of -a b])
  apply force
done

lemma mult-le-0-iff:
  a * b ≤ 0 ⟷ 0 ≤ a ∧ b ≤ 0 ∨ a ≤ 0 ∧ 0 ≤ b
  apply (insert zero-le-mult-iff [of -a b])
  apply force
done

```

Cancellation laws for $c * a < c * b$ and $a * c < b * c$, also with the relations

\leq and equality.

These “disjunction” versions produce two cases when the comparison is an assumption, but effectively four when the comparison is a goal.

lemma *mult-less-cancel-right-disj*:
 $a * c < b * c \longleftrightarrow 0 < c \wedge a < b \vee c < 0 \wedge b < a$
apply (cases $c = 0$)
apply (auto simp add: neq-iff mult-strict-right-mono
mult-strict-right-mono-neg)
apply (auto simp add: not-less
not-le [symmetric, of $a*c$]
not-le [symmetric, of a])
apply (erule-tac [!] notE)
apply (auto simp add: less-imp-le mult-right-mono
mult-right-mono-neg)
done

lemma *mult-less-cancel-left-disj*:
 $c * a < c * b \longleftrightarrow 0 < c \wedge a < b \vee c < 0 \wedge b < a$
apply (cases $c = 0$)
apply (auto simp add: neq-iff mult-strict-left-mono
mult-strict-left-mono-neg)
apply (auto simp add: not-less
not-le [symmetric, of $c*a$]
not-le [symmetric, of a])
apply (erule-tac [!] notE)
apply (auto simp add: less-imp-le mult-left-mono
mult-left-mono-neg)
done

The “conjunction of implication” lemmas produce two cases when the comparison is a goal, but give four when the comparison is an assumption.

lemma *mult-less-cancel-right*:
 $a * c < b * c \longleftrightarrow (0 \leq c \longrightarrow a < b) \wedge (c \leq 0 \longrightarrow b < a)$
using *mult-less-cancel-right-disj* [of $a\ c\ b$] **by** auto

lemma *mult-less-cancel-left*:
 $c * a < c * b \longleftrightarrow (0 \leq c \longrightarrow a < b) \wedge (c \leq 0 \longrightarrow b < a)$
using *mult-less-cancel-left-disj* [of $c\ a\ b$] **by** auto

lemma *mult-le-cancel-right*:
 $a * c \leq b * c \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$
by (simp add: not-less [symmetric] *mult-less-cancel-right-disj*)

lemma *mult-le-cancel-left*:
 $c * a \leq c * b \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$
by (simp add: not-less [symmetric] *mult-less-cancel-left-disj*)

lemma *mult-le-cancel-left-pos*:

$0 < c \implies c * a \leq c * b \longleftrightarrow a \leq b$
by (*auto simp: mult-le-cancel-left*)

lemma *mult-le-cancel-left-neg*:
 $c < 0 \implies c * a \leq c * b \longleftrightarrow b \leq a$
by (*auto simp: mult-le-cancel-left*)

lemma *mult-less-cancel-left-pos*:
 $0 < c \implies c * a < c * b \longleftrightarrow a < b$
by (*auto simp: mult-less-cancel-left*)

lemma *mult-less-cancel-left-neg*:
 $c < 0 \implies c * a < c * b \longleftrightarrow b < a$
by (*auto simp: mult-less-cancel-left*)

end

lemmas *mult-sign-intros* =
mult-nonneg-nonneg mult-nonneg-nonpos
mult-nonpos-nonneg mult-nonpos-nonpos
mult-pos-pos mult-pos-neg
mult-neg-pos mult-neg-neg

class *ordered-comm-ring* = *comm-ring* + *ordered-comm-semiring*
begin

subclass *ordered-ring* ..
subclass *ordered-cancel-comm-semiring* ..

end

class *linordered-semidom* = *comm-semiring-1-cancel* + *linordered-comm-semiring-strict*
 +

assumes *zero-less-one* [*simp*]: $0 < 1$
begin

lemma *pos-add-strict*:
shows $0 < a \implies b < c \implies b < a + c$
using *add-strict-mono* [*of 0 a b c*] **by** *simp*

lemma *zero-le-one* [*simp*]: $0 \leq 1$
by (*rule zero-less-one* [*THEN less-imp-le*])

lemma *not-one-le-zero* [*simp*]: $\neg 1 \leq 0$
by (*simp add: not-le*)

lemma *not-one-less-zero* [*simp*]: $\neg 1 < 0$
by (*simp add: not-less*)

```

lemma less-1-mult:
  assumes  $1 < m$  and  $1 < n$ 
  shows  $1 < m * n$ 
  using assms mult-strict-mono [of 1 m 1 n]
  by (simp add: less-trans [OF zero-less-one])

end

class linordered-idom = comm-ring-1 +
  linordered-comm-semiring-strict + ordered-ab-group-add +
  abs-if + sgn-if

begin

subclass linordered-semiring-1-strict ..
subclass linordered-ring-strict ..
subclass ordered-comm-ring ..
subclass idom ..

subclass linordered-semidom
proof
  have  $0 \leq 1 * 1$  by (rule zero-le-square)
  thus  $0 < 1$  by (simp add: le-less)
qed

lemma linorder-neqE-linordered-idom:
  assumes  $x \neq y$  obtains  $x < y \mid y < x$ 
  using assms by (rule neqE)

These cancellation simprules also produce two cases when the comparison
is a goal.

lemma mult-le-cancel-right1:
   $c \leq b * c \longleftrightarrow (0 < c \longrightarrow 1 \leq b) \wedge (c < 0 \longrightarrow b \leq 1)$ 
by (insert mult-le-cancel-right [of 1 c b], simp)

lemma mult-le-cancel-right2:
   $a * c \leq c \longleftrightarrow (0 < c \longrightarrow a \leq 1) \wedge (c < 0 \longrightarrow 1 \leq a)$ 
by (insert mult-le-cancel-right [of a c 1], simp)

lemma mult-le-cancel-left1:
   $c \leq c * b \longleftrightarrow (0 < c \longrightarrow 1 \leq b) \wedge (c < 0 \longrightarrow b \leq 1)$ 
by (insert mult-le-cancel-left [of c 1 b], simp)

lemma mult-le-cancel-left2:
   $c * a \leq c \longleftrightarrow (0 < c \longrightarrow a \leq 1) \wedge (c < 0 \longrightarrow 1 \leq a)$ 
by (insert mult-le-cancel-left [of c a 1], simp)

lemma mult-less-cancel-right1:

```

$c < b * c \iff (0 \leq c \implies 1 < b) \wedge (c \leq 0 \implies b < 1)$
by (*insert mult-less-cancel-right [of 1 c b], simp*)

lemma *mult-less-cancel-right2*:
 $a * c < c \iff (0 \leq c \implies a < 1) \wedge (c \leq 0 \implies 1 < a)$
by (*insert mult-less-cancel-right [of a c 1], simp*)

lemma *mult-less-cancel-left1*:
 $c < c * b \iff (0 \leq c \implies 1 < b) \wedge (c \leq 0 \implies b < 1)$
by (*insert mult-less-cancel-left [of c 1 b], simp*)

lemma *mult-less-cancel-left2*:
 $c * a < c \iff (0 \leq c \implies a < 1) \wedge (c \leq 0 \implies 1 < a)$
by (*insert mult-less-cancel-left [of c a 1], simp*)

lemma *sgn-sgn* [*simp*]:
 $\text{sgn} (\text{sgn } a) = \text{sgn } a$
unfolding *sgn-if* **by** *simp*

lemma *sgn-0-0*:
 $\text{sgn } a = 0 \iff a = 0$
unfolding *sgn-if* **by** *simp*

lemma *sgn-1-pos*:
 $\text{sgn } a = 1 \iff a > 0$
unfolding *sgn-if* **by** *simp*

lemma *sgn-1-neg*:
 $\text{sgn } a = -1 \iff a < 0$
unfolding *sgn-if* **by** *auto*

lemma *sgn-pos* [*simp*]:
 $0 < a \implies \text{sgn } a = 1$
unfolding *sgn-1-pos* .

lemma *sgn-neg* [*simp*]:
 $a < 0 \implies \text{sgn } a = -1$
unfolding *sgn-1-neg* .

lemma *sgn-times*:
 $\text{sgn } (a * b) = \text{sgn } a * \text{sgn } b$
by (*auto simp add: sgn-if zero-less-mult-iff*)

lemma *abs-sgn*: $|k| = k * \text{sgn } k$
unfolding *sgn-if abs-if* **by** *auto*

lemma *sgn-greater* [*simp*]:
 $0 < \text{sgn } a \iff 0 < a$
unfolding *sgn-if* **by** *auto*

```

lemma sgn-less [simp]:
  sgn a < 0  $\longleftrightarrow$  a < 0
  unfolding sgn-if by auto

lemma abs-dvd-iff [simp]: |m| dvd k  $\longleftrightarrow$  m dvd k
  by (simp add: abs-if)

lemma dvd-abs-iff [simp]: m dvd |k|  $\longleftrightarrow$  m dvd k
  by (simp add: abs-if)

lemma dvd-if-abs-eq:
  |l| = |k|  $\implies$  l dvd k
by(subst abs-dvd-iff[symmetric]) simp

end

```

Simprules for comparisons where common factors can be cancelled.

```

lemmas mult-compare-simps[no-atp] =
  mult-le-cancel-right mult-le-cancel-left
  mult-le-cancel-right1 mult-le-cancel-right2
  mult-le-cancel-left1 mult-le-cancel-left2
  mult-less-cancel-right mult-less-cancel-left
  mult-less-cancel-right1 mult-less-cancel-right2
  mult-less-cancel-left1 mult-less-cancel-left2
  mult-cancel-right mult-cancel-left
  mult-cancel-right1 mult-cancel-right2
  mult-cancel-left1 mult-cancel-left2

```

Reasoning about inequalities with division

```

context linordered-semidom
begin

lemma less-add-one: a < a + 1
proof –
  have a + 0 < a + 1
    by (blast intro: zero-less-one add-strict-left-mono)
  thus ?thesis by simp
qed

lemma zero-less-two: 0 < 1 + 1
by (blast intro: less-trans zero-less-one less-add-one)

end

context linordered-idom
begin

lemma mult-right-le-one-le:

```

```

 $0 \leq x \implies 0 \leq y \implies y \leq 1 \implies x * y \leq x$ 
by (auto simp add: mult-le-cancel-left2)

lemma mult-left-le-one-le:
 $0 \leq x \implies 0 \leq y \implies y \leq 1 \implies y * x \leq x$ 
by (auto simp add: mult-le-cancel-right2)

end

Absolute Value

context linordered-idom
begin

lemma mult-sgn-abs:
 $\text{sgn } x * |x| = x$ 
unfolding abs-if sgn-if by auto

lemma abs-one [simp]:
 $|1| = 1$ 
by (simp add: abs-if zero-less-one [THEN less-not-sym])

end

class ordered-ring-abs = ordered-ring + ordered-ab-group-add-abs +
assumes abs-eq-mult:
 $(0 \leq a \vee a \leq 0) \wedge (0 \leq b \vee b \leq 0) \implies |a * b| = |a| * |b|$ 

context linordered-idom
begin

subclass ordered-ring-abs proof
qed (auto simp add: abs-if not-less mult-less-0-iff)

lemma abs-mult:
 $|a * b| = |a| * |b|$ 
by (rule abs-eq-mult) auto

lemma abs-mult-self:
 $|a| * |a| = a * a$ 
by (simp add: abs-if)

lemma abs-mult-less:
 $|a| < c \implies |b| < d \implies |a| * |b| < c * d$ 
proof –
assume ac:  $|a| < c$ 
hence cpos:  $0 < c$  by (blast intro: le-less-trans abs-ge-zero)
assume  $|b| < d$ 
thus ?thesis by (simp add: ac cpos mult-strict-mono)
qed

```

```

lemma less-minus-self-iff:
   $a < -a \iff a < 0$ 
  by (simp only: less-le less-eq-neg-nonpos equal-neg-zero)

```

```

lemma abs-less-iff:
   $|a| < b \iff a < b \wedge -a < b$ 
  by (simp add: less-le abs-le-iff) (auto simp add: abs-if)

```

```

lemma abs-mult-pos:
   $0 \leq x \implies |y| * x = |y * x|$ 
  by (simp add: abs-mult)

```

```

end

```

```

code-modulename SML
  Rings Arith

```

```

code-modulename OCaml
  Rings Arith

```

```

code-modulename Haskell
  Rings Arith

```

```

end

```

14 Fields: Fields

```

theory Fields
imports Rings
begin

class field = comm-ring-1 + inverse +
  assumes field-inverse:  $a \neq 0 \implies \text{inverse } a * a = 1$ 
  assumes field-divide-inverse:  $a / b = a * \text{inverse } b$ 
begin

subclass division-ring
proof
  fix  $a :: 'a$ 
  assume  $a \neq 0$ 
  thus  $\text{inverse } a * a = 1$  by (rule field-inverse)
  thus  $a * \text{inverse } a = 1$  by (simp only: mult-commute)
next
  fix  $a b :: 'a$ 
  show  $a / b = a * \text{inverse } b$  by (rule field-divide-inverse)
qed

```

subclass *idom* ..

There is no slick version using division by zero.

lemma *inverse-add*:

$\llbracket a \neq 0; b \neq 0 \rrbracket$

$\implies \text{inverse } a + \text{inverse } b = (a + b) * \text{inverse } a * \text{inverse } b$

by (*simp add: division-ring-inverse-add mult-ac*)

lemma *nonzero-mult-divide-mult-cancel-left* [*simp, no-atp*]:

assumes [*simp*]: $b \neq 0$ **and** [*simp*]: $c \neq 0$ **shows** $(c*a)/(c*b) = a/b$

proof –

have $(c*a)/(c*b) = c * a * (\text{inverse } b * \text{inverse } c)$

by (*simp add: divide-inverse nonzero-inverse-mult-distrib*)

also have $\dots = a * \text{inverse } b * (\text{inverse } c * c)$

by (*simp only: mult-ac*)

also have $\dots = a * \text{inverse } b$ **by** *simp*

finally show *?thesis* **by** (*simp add: divide-inverse*)

qed

lemma *nonzero-mult-divide-mult-cancel-right* [*simp, no-atp*]:

$\llbracket b \neq 0; c \neq 0 \rrbracket \implies (a * c) / (b * c) = a / b$

by (*simp add: mult-commute [of - c]*)

lemma *times-divide-eq-left* [*simp*]: $(b / c) * a = (b * a) / c$

by (*simp add: divide-inverse mult-ac*)

These are later declared as simp rules.

lemmas *times-divide-eq* [*no-atp*] = *times-divide-eq-right times-divide-eq-left*

lemma *add-frac-eq*:

assumes $y \neq 0$ **and** $z \neq 0$

shows $x / y + w / z = (x * z + w * y) / (y * z)$

proof –

have $x / y + w / z = (x * z) / (y * z) + (y * w) / (y * z)$

using *assms* **by** *simp*

also have $\dots = (x * z + y * w) / (y * z)$

by (*simp only: add-divide-distrib*)

finally show *?thesis*

by (*simp only: mult-commute*)

qed

Special Cancellation Simprules for Division

lemma *nonzero-mult-divide-cancel-right* [*simp, no-atp*]:

$b \neq 0 \implies a * b / b = a$

using *nonzero-mult-divide-mult-cancel-right [of 1 b a]* **by** *simp*

lemma *nonzero-mult-divide-cancel-left* [*simp, no-atp*]:

$a \neq 0 \implies a * b / a = b$

using *nonzero-mult-divide-mult-cancel-left [of 1 a b]* **by** *simp*

lemma *nonzero-divide-mult-cancel-right* [*simp, no-atp*]:

$$\llbracket a \neq 0; b \neq 0 \rrbracket \implies b / (a * b) = 1 / a$$

using *nonzero-mult-divide-mult-cancel-right* [*of a b 1*] **by** *simp*

lemma *nonzero-divide-mult-cancel-left* [*simp, no-atp*]:

$$\llbracket a \neq 0; b \neq 0 \rrbracket \implies a / (a * b) = 1 / b$$

using *nonzero-mult-divide-mult-cancel-left* [*of b a 1*] **by** *simp*

lemma *nonzero-mult-divide-mult-cancel-left2* [*simp, no-atp*]:

$$\llbracket b \neq 0; c \neq 0 \rrbracket \implies (c * a) / (b * c) = a / b$$

using *nonzero-mult-divide-mult-cancel-left* [*of b c a*] **by** (*simp add: mult-ac*)

lemma *nonzero-mult-divide-mult-cancel-right2* [*simp, no-atp*]:

$$\llbracket b \neq 0; c \neq 0 \rrbracket \implies (a * c) / (c * b) = a / b$$

using *nonzero-mult-divide-mult-cancel-right* [*of b c a*] **by** (*simp add: mult-ac*)

lemma *add-divide-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x + y / z = (z * x + y) / z$$

by (*simp add: add-divide-distrib*)

lemma *divide-add-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x / z + y = (x + z * y) / z$$

by (*simp add: add-divide-distrib*)

lemma *diff-divide-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x - y / z = (z * x - y) / z$$

by (*simp add: diff-divide-distrib*)

lemma *divide-diff-eq-iff* [*field-simps*]:

$$z \neq 0 \implies x / z - y = (x - z * y) / z$$

by (*simp add: diff-divide-distrib*)

lemma *diff-frac-eq*:

$$y \neq 0 \implies z \neq 0 \implies x / y - w / z = (x * z - w * y) / (y * z)$$

by (*simp add: field-simps*)

lemma *frac-eq-eq*:

$$y \neq 0 \implies z \neq 0 \implies (x / y = w / z) = (x * z = w * y)$$

by (*simp add: field-simps*)

end

class *field-inverse-zero* = *field* +

assumes *field-inverse-zero*: *inverse 0 = 0*

begin

subclass *division-ring-inverse-zero* **proof**

qed (*fact field-inverse-zero*)

This version builds in division by zero while also re-orienting the right-hand side.

```

lemma inverse-mult-distrib [simp]:
  inverse (a * b) = inverse a * inverse b
proof cases
  assume a ≠ 0 & b ≠ 0
  thus ?thesis by (simp add: nonzero-inverse-mult-distrib mult-ac)
next
  assume ~ (a ≠ 0 & b ≠ 0)
  thus ?thesis by force
qed

```

```

lemma inverse-divide [simp]:
  inverse (a / b) = b / a
  by (simp add: divide-inverse mult-commute)

```

Calculations with fractions

There is a whole bunch of simp-rules just for class *field* but none for class *field* and *nonzero-divides* because the latter are covered by a simproc.

```

lemma mult-divide-mult-cancel-left:
  c ≠ 0 ⇒ (c * a) / (c * b) = a / b
apply (cases b = 0)
apply simp-all
done

```

```

lemma mult-divide-mult-cancel-right:
  c ≠ 0 ⇒ (a * c) / (b * c) = a / b
apply (cases b = 0)
apply simp-all
done

```

```

lemma divide-divide-eq-right [simp, no-atp]:
  a / (b / c) = (a * c) / b
  by (simp add: divide-inverse mult-ac)

```

```

lemma divide-divide-eq-left [simp, no-atp]:
  (a / b) / c = a / (b * c)
  by (simp add: divide-inverse mult-assoc)

```

Special Cancellation Simprules for Division

```

lemma mult-divide-mult-cancel-left-if [simp, no-atp]:
  shows (c * a) / (c * b) = (if c = 0 then 0 else a / b)
  by (simp add: mult-divide-mult-cancel-left)

```

Division and Unary Minus

```

lemma minus-divide-right:
  - (a / b) = a / - b

```

```

by (simp add: divide-inverse)

lemma divide-minus-right [simp, no-atp]:
   $a / - b = - (a / b)$ 
by (simp add: divide-inverse)

lemma minus-divide-divide:
   $(- a) / (- b) = a / b$ 
apply (cases b=0, simp)
apply (simp add: nonzero-minus-divide-divide)
done

lemma eq-divide-eq:
   $a = b / c \longleftrightarrow (if\ c \neq 0\ then\ a * c = b\ else\ a = 0)$ 
by (simp add: nonzero-eq-divide-eq)

lemma divide-eq-eq:
   $b / c = a \longleftrightarrow (if\ c \neq 0\ then\ b = a * c\ else\ a = 0)$ 
by (force simp add: nonzero-divide-eq-eq)

lemma inverse-eq-1-iff [simp]:
   $inverse\ x = 1 \longleftrightarrow x = 1$ 
by (insert inverse-eq-iff-eq [of x 1], simp)

lemma divide-eq-0-iff [simp, no-atp]:
   $a / b = 0 \longleftrightarrow a = 0 \vee b = 0$ 
by (simp add: divide-inverse)

lemma divide-cancel-right [simp, no-atp]:
   $a / c = b / c \longleftrightarrow c = 0 \vee a = b$ 
apply (cases c=0, simp)
apply (simp add: divide-inverse)
done

lemma divide-cancel-left [simp, no-atp]:
   $c / a = c / b \longleftrightarrow c = 0 \vee a = b$ 
apply (cases c=0, simp)
apply (simp add: divide-inverse)
done

lemma divide-eq-1-iff [simp, no-atp]:
   $a / b = 1 \longleftrightarrow b \neq 0 \wedge a = b$ 
apply (cases b=0, simp)
apply (simp add: right-inverse-eq)
done

lemma one-eq-divide-iff [simp, no-atp]:
   $1 = a / b \longleftrightarrow b \neq 0 \wedge a = b$ 
by (simp add: eq-commute [of 1])

```

lemma *times-divide-times-eq*:

$(x / y) * (z / w) = (x * z) / (y * w)$
by *simp*

lemma *add-frac-num*:

$y \neq 0 \implies x / y + z = (x + z * y) / y$
by (*simp add: add-divide-distrib*)

lemma *add-num-frac*:

$y \neq 0 \implies z + x / y = (x + z * y) / y$
by (*simp add: add-divide-distrib add.commute*)

end

Ordered Fields

class *linordered-field* = *field* + *linordered-idom*
begin

lemma *positive-imp-inverse-positive*:

assumes *a-gt-0*: $0 < a$
shows $0 < \text{inverse } a$

proof –

have $0 < a * \text{inverse } a$
by (*simp add: a-gt-0 [THEN less-imp-not-eq2]*)
thus $0 < \text{inverse } a$
by (*simp add: a-gt-0 [THEN less-not-sym] zero-less-mult-iff*)

qed

lemma *negative-imp-inverse-negative*:

$a < 0 \implies \text{inverse } a < 0$
by (*insert positive-imp-inverse-positive [of $-a$],*
simp add: nonzero-inverse-minus-eq less-imp-not-eq)

lemma *inverse-le-imp-le*:

assumes *invle*: $\text{inverse } a \leq \text{inverse } b$ **and** *apos*: $0 < a$
shows $b \leq a$

proof (*rule classical*)

assume $\sim b \leq a$
hence $a < b$ **by** (*simp add: linorder-not-le*)
hence *bpos*: $0 < b$ **by** (*blast intro: apos less-trans*)
hence $a * \text{inverse } a \leq a * \text{inverse } b$
by (*simp add: apos invle less-imp-le mult-left-mono*)
hence $(a * \text{inverse } a) * b \leq (a * \text{inverse } b) * b$
by (*simp add: bpos less-imp-le mult-right-mono*)
thus $b \leq a$ **by** (*simp add: mult-assoc apos bpos less-imp-not-eq2*)

qed

lemma *inverse-positive-imp-positive*:

```

    assumes inv-gt-0:  $0 < \text{inverse } a$  and nz:  $a \neq 0$ 
    shows  $0 < a$ 
  proof -
    have  $0 < \text{inverse } (\text{inverse } a)$ 
      using inv-gt-0 by (rule positive-imp-inverse-positive)
    thus  $0 < a$ 
      using nz by (simp add: nonzero-inverse-inverse-eq)
  qed

```

```

lemma inverse-negative-imp-negative:
  assumes inv-less-0:  $\text{inverse } a < 0$  and nz:  $a \neq 0$ 
  shows  $a < 0$ 
  proof -
    have  $\text{inverse } (\text{inverse } a) < 0$ 
      using inv-less-0 by (rule negative-imp-inverse-negative)
    thus  $a < 0$  using nz by (simp add: nonzero-inverse-inverse-eq)
  qed

```

```

lemma linordered-field-no-lb:
   $\forall x. \exists y. y < x$ 
  proof
    fix  $x::'a$ 
    have  $m1: -(1::'a) < 0$  by simp
    from add-strict-right-mono[OF m1, where  $c=x$ ]
    have  $(-1) + x < x$  by simp
    thus  $\exists y. y < x$  by blast
  qed

```

```

lemma linordered-field-no-ub:
   $\forall x. \exists y. y > x$ 
  proof
    fix  $x::'a$ 
    have  $m1: (1::'a) > 0$  by simp
    from add-strict-right-mono[OF m1, where  $c=x$ ]
    have  $1 + x > x$  by simp
    thus  $\exists y. y > x$  by blast
  qed

```

```

lemma less-imp-inverse-less:
  assumes less:  $a < b$  and apos:  $0 < a$ 
  shows  $\text{inverse } b < \text{inverse } a$ 
  proof (rule ccontr)
    assume  $\sim \text{inverse } b < \text{inverse } a$ 
    hence  $\text{inverse } a \leq \text{inverse } b$  by simp
    hence  $\sim (a < b)$ 
      by (simp add: not-less inverse-le-imp-le [OF - apos])
    thus False by (rule notE [OF - less])
  qed

```

lemma *inverse-less-imp-less*:

$inverse\ a < inverse\ b \implies 0 < a \implies b < a$

apply (*simp add: less-le [of inverse a] less-le [of b]*)

apply (*force dest!: inverse-le-imp-le nonzero-inverse-eq-imp-eq*)

done

Both premises are essential. Consider -1 and 1.

lemma *inverse-less-iff-less [simp,no-atp]*:

$0 < a \implies 0 < b \implies inverse\ a < inverse\ b \longleftrightarrow b < a$

by (*blast intro: less-imp-inverse-less dest: inverse-less-imp-less*)

lemma *le-imp-inverse-le*:

$a \leq b \implies 0 < a \implies inverse\ b \leq inverse\ a$

by (*force simp add: le-less less-imp-inverse-less*)

lemma *inverse-le-iff-le [simp,no-atp]*:

$0 < a \implies 0 < b \implies inverse\ a \leq inverse\ b \longleftrightarrow b \leq a$

by (*blast intro: le-imp-inverse-le dest: inverse-le-imp-le*)

These results refer to both operands being negative. The opposite-sign case is trivial, since inverse preserves signs.

lemma *inverse-le-imp-le-neg*:

$inverse\ a \leq inverse\ b \implies b < 0 \implies b \leq a$

apply (*rule classical*)

apply (*subgoal-tac a < 0*)

prefer 2 apply force

apply (*insert inverse-le-imp-le [of -b -a]*)

apply (*simp add: nonzero-inverse-minus-eq*)

done

lemma *less-imp-inverse-less-neg*:

$a < b \implies b < 0 \implies inverse\ b < inverse\ a$

apply (*subgoal-tac a < 0*)

prefer 2 apply (*blast intro: less-trans*)

apply (*insert less-imp-inverse-less [of -b -a]*)

apply (*simp add: nonzero-inverse-minus-eq*)

done

lemma *inverse-less-imp-less-neg*:

$inverse\ a < inverse\ b \implies b < 0 \implies b < a$

apply (*rule classical*)

apply (*subgoal-tac a < 0*)

prefer 2

apply force

apply (*insert inverse-less-imp-less [of -b -a]*)

apply (*simp add: nonzero-inverse-minus-eq*)

done

lemma *inverse-less-iff-less-neg [simp,no-atp]*:

$a < 0 \implies b < 0 \implies \text{inverse } a < \text{inverse } b \longleftrightarrow b < a$
apply (*insert inverse-less-iff-less* [of $-b - a$])
apply (*simp del: inverse-less-iff-less*
add: nonzero-inverse-minus-eq)
done

lemma *le-imp-inverse-le-neg*:
 $a \leq b \implies b < 0 \implies \text{inverse } b \leq \text{inverse } a$
by (*force simp add: le-less less-imp-inverse-less-neg*)

lemma *inverse-le-iff-le-neg* [*simp,no-atp*]:
 $a < 0 \implies b < 0 \implies \text{inverse } a \leq \text{inverse } b \longleftrightarrow b \leq a$
by (*blast intro: le-imp-inverse-le-neg dest: inverse-le-imp-le-neg*)

lemma *one-less-inverse*:
 $0 < a \implies a < 1 \implies 1 < \text{inverse } a$
using *less-imp-inverse-less* [of a 1, *unfolded inverse-1*] .

lemma *one-le-inverse*:
 $0 < a \implies a \leq 1 \implies 1 \leq \text{inverse } a$
using *le-imp-inverse-le* [of a 1, *unfolded inverse-1*] .

lemma *pos-le-divide-eq* [*field-simps*]: $0 < c \implies (a \leq b/c) = (a*c \leq b)$
proof –
assume *less: 0 < c*
hence $(a \leq b/c) = (a*c \leq (b/c)*c)$
by (*simp add: mult-le-cancel-right less-not-sym* [*OF less*] *del: times-divide-eq*)
also have $\dots = (a*c \leq b)$
by (*simp add: less-imp-not-eq2* [*OF less*] *divide-inverse mult-assoc*)
finally show *?thesis* .
qed

lemma *neg-le-divide-eq* [*field-simps*]: $c < 0 \implies (a \leq b/c) = (b \leq a*c)$
proof –
assume *less: c < 0*
hence $(a \leq b/c) = ((b/c)*c \leq a*c)$
by (*simp add: mult-le-cancel-right less-not-sym* [*OF less*] *del: times-divide-eq*)
also have $\dots = (b \leq a*c)$
by (*simp add: less-imp-not-eq* [*OF less*] *divide-inverse mult-assoc*)
finally show *?thesis* .
qed

lemma *pos-less-divide-eq* [*field-simps*]:
 $0 < c \implies (a < b/c) = (a*c < b)$
proof –
assume *less: 0 < c*
hence $(a < b/c) = (a*c < (b/c)*c)$
by (*simp add: mult-less-cancel-right-disj less-not-sym* [*OF less*] *del: times-divide-eq*)
also have $\dots = (a*c < b)$

by (simp add: less-imp-not-eq2 [OF less] divide-inverse mult-assoc)
 finally show ?thesis .
 qed

lemma neg-less-divide-eq [field-simps]:
 $c < 0 \implies (a < b/c) = (b < a*c)$
proof –
 assume less: $c < 0$
 hence $(a < b/c) = ((b/c)*c < a*c)$
 by (simp add: mult-less-cancel-right-disj less-not-sym [OF less] del: times-divide-eq)
 also have $\dots = (b < a*c)$
 by (simp add: less-imp-not-eq [OF less] divide-inverse mult-assoc)
 finally show ?thesis .
 qed

lemma pos-divide-less-eq [field-simps]:
 $0 < c \implies (b/c < a) = (b < a*c)$
proof –
 assume less: $0 < c$
 hence $(b/c < a) = ((b/c)*c < a*c)$
 by (simp add: mult-less-cancel-right-disj less-not-sym [OF less] del: times-divide-eq)
 also have $\dots = (b < a*c)$
 by (simp add: less-imp-not-eq2 [OF less] divide-inverse mult-assoc)
 finally show ?thesis .
 qed

lemma neg-divide-less-eq [field-simps]:
 $c < 0 \implies (b/c < a) = (a*c < b)$
proof –
 assume less: $c < 0$
 hence $(b/c < a) = (a*c < (b/c)*c)$
 by (simp add: mult-less-cancel-right-disj less-not-sym [OF less] del: times-divide-eq)
 also have $\dots = (a*c < b)$
 by (simp add: less-imp-not-eq [OF less] divide-inverse mult-assoc)
 finally show ?thesis .
 qed

lemma pos-divide-le-eq [field-simps]: $0 < c \implies (b/c \leq a) = (b \leq a*c)$
proof –
 assume less: $0 < c$
 hence $(b/c \leq a) = ((b/c)*c \leq a*c)$
 by (simp add: mult-le-cancel-right less-not-sym [OF less] del: times-divide-eq)
 also have $\dots = (b \leq a*c)$
 by (simp add: less-imp-not-eq2 [OF less] divide-inverse mult-assoc)
 finally show ?thesis .
 qed

lemma neg-divide-le-eq [field-simps]: $c < 0 \implies (b/c \leq a) = (a*c \leq b)$
proof –

```

assume less:  $c < 0$ 
hence  $(b/c \leq a) = (a * c \leq (b/c) * c)$ 
  by (simp add: mult-le-cancel-right less-not-sym [OF less] del: times-divide-eq)
also have  $\dots = (a * c \leq b)$ 
  by (simp add: less-imp-not-eq [OF less] divide-inverse mult-assoc)
finally show ?thesis .
qed

```

Lemmas *sign-simps* is a first attempt to automate proofs of positivity/negativity needed for *field-simps*. Have not added *sign-simps* to *field-simps* because the former can lead to case explosions.

```

lemmas sign-simps [no-atp] = algebra-simps
  zero-less-mult-iff mult-less-0-iff

```

```

lemmas (in -) sign-simps [no-atp] = algebra-simps
  zero-less-mult-iff mult-less-0-iff

```

```

lemma divide-pos-pos:
   $0 < x \implies 0 < y \implies 0 < x / y$ 
by (simp add: field-simps)

```

```

lemma divide-nonneg-pos:
   $0 \leq x \implies 0 < y \implies 0 \leq x / y$ 
by (simp add: field-simps)

```

```

lemma divide-neg-pos:
   $x < 0 \implies 0 < y \implies x / y < 0$ 
by (simp add: field-simps)

```

```

lemma divide-nonpos-pos:
   $x \leq 0 \implies 0 < y \implies x / y \leq 0$ 
by (simp add: field-simps)

```

```

lemma divide-pos-neg:
   $0 < x \implies y < 0 \implies x / y < 0$ 
by (simp add: field-simps)

```

```

lemma divide-nonneg-neg:
   $0 \leq x \implies y < 0 \implies x / y \leq 0$ 
by (simp add: field-simps)

```

```

lemma divide-neg-neg:
   $x < 0 \implies y < 0 \implies 0 < x / y$ 
by (simp add: field-simps)

```

```

lemma divide-nonpos-neg:
   $x \leq 0 \implies y < 0 \implies 0 \leq x / y$ 

```


by(*simp add:field-simps*)

lemma *divide-strict-right-mono*:

$[[a < b; 0 < c]] \implies a / c < b / c$

by (*simp add: less-imp-not-eq2 divide-inverse mult-strict-right-mono positive-imp-inverse-positive*)

lemma *divide-strict-right-mono-neg*:

$[[b < a; c < 0]] \implies a / c < b / c$

apply (*drule divide-strict-right-mono [of - -c], simp*)

apply (*simp add: less-imp-not-eq nonzero-minus-divide-right [symmetric]*)

done

The last premise ensures that a and b have the same sign

lemma *divide-strict-left-mono*:

$[[b < a; 0 < c; 0 < a*b]] \implies c / a < c / b$

by(*auto simp: field-simps times-divide-eq zero-less-mult-iff mult-strict-right-mono*)

lemma *divide-left-mono*:

$[[b \leq a; 0 \leq c; 0 < a*b]] \implies c / a \leq c / b$

by(*auto simp: field-simps times-divide-eq zero-less-mult-iff mult-right-mono*)

lemma *divide-strict-left-mono-neg*:

$[[a < b; c < 0; 0 < a*b]] \implies c / a < c / b$

by(*auto simp: field-simps times-divide-eq zero-less-mult-iff mult-strict-right-mono-neg*)

lemma *mult-imp-div-pos-le*: $0 < y \implies x \leq z * y \implies$

$x / y \leq z$

by (*subst pos-divide-le-eq, assumption+*)

lemma *mult-imp-le-div-pos*: $0 < y \implies z * y \leq x \implies$

$z \leq x / y$

by(*simp add:field-simps*)

lemma *mult-imp-div-pos-less*: $0 < y \implies x < z * y \implies$

$x / y < z$

by(*simp add:field-simps*)

lemma *mult-imp-less-div-pos*: $0 < y \implies z * y < x \implies$

$z < x / y$

by(*simp add:field-simps*)

lemma *frac-le*: $0 \leq x \implies$

$x \leq y \implies 0 < w \implies w \leq z \implies x / z \leq y / w$

apply (*rule mult-imp-div-pos-le*)

apply *simp*

apply (*subst times-divide-eq-left*)

apply (*rule mult-imp-le-div-pos, assumption*)

```

  apply (rule mult-mono)
  apply simp-all
done

```

```

lemma frac-less:  $0 \leq x \implies$ 
   $x < y \implies 0 < w \implies w \leq z \implies x / z < y / w$ 
  apply (rule mult-imp-div-pos-less)
  apply simp
  apply (subst times-divide-eq-left)
  apply (rule mult-imp-less-div-pos, assumption)
  apply (erule mult-less-le-imp-less)
  apply simp-all
done

```

```

lemma frac-less2:  $0 < x \implies$ 
   $x \leq y \implies 0 < w \implies w < z \implies x / z < y / w$ 
  apply (rule mult-imp-div-pos-less)
  apply simp-all
  apply (rule mult-imp-less-div-pos, assumption)
  apply (erule mult-le-less-imp-less)
  apply simp-all
done

```

It’s not obvious whether these should be simprules or not. Their effect is to gather terms into one big fraction, like $a*b*c / x*y*z$. The rationale for that is unclear, but many proofs seem to need them.

```

lemma less-half-sum:  $a < b \implies a < (a+b) / (1+1)$ 
by (simp add: field-simps zero-less-two)

```

```

lemma gt-half-sum:  $a < b \implies (a+b)/(1+1) < b$ 
by (simp add: field-simps zero-less-two)

```

```

subclass dense-linorder

```

```

proof

```

```

  fix x y :: 'a

```

```

  from less-add-one show  $\exists y. x < y$  ..

```

```

  from less-add-one have  $x + (-1) < (x + 1) + (-1)$  by (rule add-strict-right-mono)

```

```

  then have  $x - 1 < x + 1 - 1$  by (simp only: diff-minus [symmetric])

```

```

  then have  $x - 1 < x$  by (simp add: algebra-simps)

```

```

  then show  $\exists y. y < x$  ..

```

```

  show  $x < y \implies \exists z > x. z < y$  by (blast intro!: less-half-sum gt-half-sum)

```

```

qed

```

```

lemma nonzero-abs-inverse:

```

```

   $a \neq 0 \implies |inverse\ a| = inverse\ |a|$ 

```

```

apply (auto simp add: neq-iff abs-if nonzero-inverse-minus-eq
  negative-imp-inverse-negative)

```

```

apply (blast intro: positive-imp-inverse-positive elim: less-asm)

```

```

done

```

lemma *nonzero-abs-divide*:

$b \neq 0 \implies |a / b| = |a| / |b|$

by (*simp add: divide-inverse abs-mult nonzero-abs-inverse*)

lemma *field-le-epsilon*:

assumes $e: \bigwedge e. 0 < e \implies x \leq y + e$

shows $x \leq y$

proof (*rule dense-le*)

fix t **assume** $t < x$

hence $0 < x - t$ **by** (*simp add: less-diff-eq*)

from e [*OF this*] **have** $x + 0 \leq x + (y - t)$ **by** (*simp add: algebra-simps*)

then have $0 \leq y - t$ **by** (*simp only: add-le-cancel-left*)

then show $t \leq y$ **by** (*simp add: algebra-simps*)

qed

end

class *linordered-field-inverse-zero* = *linordered-field* + *field-inverse-zero*

begin

lemma *le-divide-eq*:

$(a \leq b/c) =$

$(\text{if } 0 < c \text{ then } a*c \leq b$

$\text{else if } c < 0 \text{ then } b \leq a*c$

$\text{else } a \leq 0)$

apply (*cases c=0, simp*)

apply (*force simp add: pos-le-divide-eq neg-le-divide-eq linorder-neq-iff*)

done

lemma *inverse-positive-iff-positive* [*simp*]:

$(0 < \text{inverse } a) = (0 < a)$

apply (*cases a = 0, simp*)

apply (*blast intro: inverse-positive-imp-positive positive-imp-inverse-positive*)

done

lemma *inverse-negative-iff-negative* [*simp*]:

$(\text{inverse } a < 0) = (a < 0)$

apply (*cases a = 0, simp*)

apply (*blast intro: inverse-negative-imp-negative negative-imp-inverse-negative*)

done

lemma *inverse-nonnegative-iff-nonnegative* [*simp*]:

$0 \leq \text{inverse } a \iff 0 \leq a$

by (*simp add: not-less [symmetric]*)

lemma *inverse-nonpositive-iff-nonpositive* [*simp*]:

$\text{inverse } a \leq 0 \iff a \leq 0$

by (*simp add: not-less [symmetric]*)

lemma *one-less-inverse-iff*:

$$1 < \text{inverse } x \longleftrightarrow 0 < x \wedge x < 1$$

proof *cases*

assume $0 < x$

with *inverse-less-iff-less* [*OF zero-less-one, of x*]

show *?thesis* **by** *simp*

next

assume *notless*: $\sim (0 < x)$

have $\sim (1 < \text{inverse } x)$

proof

assume $1 < \text{inverse } x$

also with *notless* **have** $\dots \leq 0$ **by** *simp*

also have $\dots < 1$ **by** (*rule zero-less-one*)

finally show *False* **by** *auto*

qed

with *notless* **show** *?thesis* **by** *simp*

qed

lemma *one-le-inverse-iff*:

$$1 \leq \text{inverse } x \longleftrightarrow 0 < x \wedge x \leq 1$$

proof (*cases x = 1*)

case *True* **then show** *?thesis* **by** *simp*

next

case *False* **then have** $\text{inverse } x \neq 1$ **by** *simp*

then have $1 \neq \text{inverse } x$ **by** *blast*

then have $1 \leq \text{inverse } x \longleftrightarrow 1 < \text{inverse } x$ **by** (*simp add: le-less*)

with *False* **show** *?thesis* **by** (*auto simp add: one-less-inverse-iff*)

qed

lemma *inverse-less-1-iff*:

$$\text{inverse } x < 1 \longleftrightarrow x \leq 0 \vee 1 < x$$

by (*simp add: not-le [symmetric] one-le-inverse-iff*)

lemma *inverse-le-1-iff*:

$$\text{inverse } x \leq 1 \longleftrightarrow x \leq 0 \vee 1 \leq x$$

by (*simp add: not-less [symmetric] one-less-inverse-iff*)

lemma *divide-le-eq*:

$$(b/c \leq a) =$$

$$(\text{if } 0 < c \text{ then } b \leq a * c$$

$$\text{else if } c < 0 \text{ then } a * c \leq b$$

$$\text{else } 0 \leq a)$$

apply (*cases c=0, simp*)

apply (*force simp add: pos-divide-le-eq neg-divide-le-eq*)

done

lemma *less-divide-eq*:

$$(a < b/c) =$$

```

      (if 0 < c then a*c < b
        else if c < 0 then b < a*c
        else a < 0)
apply (cases c=0, simp)
apply (force simp add: pos-less-divide-eq neg-less-divide-eq)
done

```

```

lemma divide-less-eq:
  (b/c < a) =
    (if 0 < c then b < a*c
     else if c < 0 then a*c < b
     else 0 < a)
apply (cases c=0, simp)
apply (force simp add: pos-divide-less-eq neg-divide-less-eq)
done

```

Division and Signs

```

lemma zero-less-divide-iff:
  (0 < a/b) = (0 < a & 0 < b | a < 0 & b < 0)
by (simp add: divide-inverse zero-less-mult-iff)

```

```

lemma divide-less-0-iff:
  (a/b < 0) =
    (0 < a & b < 0 | a < 0 & 0 < b)
by (simp add: divide-inverse mult-less-0-iff)

```

```

lemma zero-le-divide-iff:
  (0 ≤ a/b) =
    (0 ≤ a & 0 ≤ b | a ≤ 0 & b ≤ 0)
by (simp add: divide-inverse zero-le-mult-iff)

```

```

lemma divide-le-0-iff:
  (a/b ≤ 0) =
    (0 ≤ a & b ≤ 0 | a ≤ 0 & 0 ≤ b)
by (simp add: divide-inverse mult-le-0-iff)

```

Division and the Number One

Simplify expressions equated with 1

```

lemma zero-eq-1-divide-iff [simp,no-atp]:
  (0 = 1/a) = (a = 0)
apply (cases a=0, simp)
apply (auto simp add: nonzero-eq-divide-eq)
done

```

```

lemma one-divide-eq-0-iff [simp,no-atp]:
  (1/a = 0) = (a = 0)
apply (cases a=0, simp)
apply (insert zero-neq-one [THEN not-sym])

```

apply (*auto simp add: nonzero-divide-eq-eq*)
done

Simplify expressions such as $0 < 1/x$ to $0 < x$

lemma *zero-le-divide-1-iff* [*simp, no-atp*]:
 $0 \leq 1 / a \longleftrightarrow 0 \leq a$
by (*simp add: zero-le-divide-iff*)

lemma *zero-less-divide-1-iff* [*simp, no-atp*]:
 $0 < 1 / a \longleftrightarrow 0 < a$
by (*simp add: zero-less-divide-iff*)

lemma *divide-le-0-1-iff* [*simp, no-atp*]:
 $1 / a \leq 0 \longleftrightarrow a \leq 0$
by (*simp add: divide-le-0-iff*)

lemma *divide-less-0-1-iff* [*simp, no-atp*]:
 $1 / a < 0 \longleftrightarrow a < 0$
by (*simp add: divide-less-0-iff*)

lemma *divide-right-mono*:
 $[|a \leq b; 0 \leq c|] \implies a/c \leq b/c$
by (*force simp add: divide-strict-right-mono le-less*)

lemma *divide-right-mono-neg*: $a \leq b$
 $\implies c \leq 0 \implies b / c \leq a / c$
apply (*drule divide-right-mono [of - - - c]*)
apply *auto*
done

lemma *divide-left-mono-neg*: $a \leq b$
 $\implies c \leq 0 \implies 0 < a * b \implies c / a \leq c / b$
apply (*drule divide-left-mono [of - - - c]*)
apply (*auto simp add: mult-commute*)
done

Simplify quotients that are compared with the value 1.

lemma *le-divide-eq-1* [*no-atp*]:
 $(1 \leq b / a) = ((0 < a \ \& \ a \leq b) \mid (a < 0 \ \& \ b \leq a))$
by (*auto simp add: le-divide-eq*)

lemma *divide-le-eq-1* [*no-atp*]:
 $(b / a \leq 1) = ((0 < a \ \& \ b \leq a) \mid (a < 0 \ \& \ a \leq b) \mid a=0)$
by (*auto simp add: divide-le-eq*)

lemma *less-divide-eq-1* [*no-atp*]:
 $(1 < b / a) = ((0 < a \ \& \ a < b) \mid (a < 0 \ \& \ b < a))$
by (*auto simp add: less-divide-eq*)

lemma *divide-less-eq-1* [*no-atp*]:
 $(b / a < 1) = ((0 < a \ \& \ b < a) \mid (a < 0 \ \& \ a < b) \mid a=0)$
by (*auto simp add: divide-less-eq*)

Conditional Simplification Rules: No Case Splits

lemma *le-divide-eq-1-pos* [*simp,no-atp*]:
 $0 < a \implies (1 \leq b/a) = (a \leq b)$
by (*auto simp add: le-divide-eq*)

lemma *le-divide-eq-1-neg* [*simp,no-atp*]:
 $a < 0 \implies (1 \leq b/a) = (b \leq a)$
by (*auto simp add: le-divide-eq*)

lemma *divide-le-eq-1-pos* [*simp,no-atp*]:
 $0 < a \implies (b/a \leq 1) = (b \leq a)$
by (*auto simp add: divide-le-eq*)

lemma *divide-le-eq-1-neg* [*simp,no-atp*]:
 $a < 0 \implies (b/a \leq 1) = (a \leq b)$
by (*auto simp add: divide-le-eq*)

lemma *less-divide-eq-1-pos* [*simp,no-atp*]:
 $0 < a \implies (1 < b/a) = (a < b)$
by (*auto simp add: less-divide-eq*)

lemma *less-divide-eq-1-neg* [*simp,no-atp*]:
 $a < 0 \implies (1 < b/a) = (b < a)$
by (*auto simp add: less-divide-eq*)

lemma *divide-less-eq-1-pos* [*simp,no-atp*]:
 $0 < a \implies (b/a < 1) = (b < a)$
by (*auto simp add: divide-less-eq*)

lemma *divide-less-eq-1-neg* [*simp,no-atp*]:
 $a < 0 \implies b/a < 1 \iff a < b$
by (*auto simp add: divide-less-eq*)

lemma *eq-divide-eq-1* [*simp,no-atp*]:
 $(1 = b/a) = ((a \neq 0 \ \& \ a = b))$
by (*auto simp add: eq-divide-eq*)

lemma *divide-eq-eq-1* [*simp,no-atp*]:
 $(b/a = 1) = ((a \neq 0 \ \& \ a = b))$
by (*auto simp add: divide-eq-eq*)

lemma *abs-inverse* [*simp*]:
 $|inverse \ a| =$
 $inverse \ |a|$
apply (*cases a=0, simp*)

```

apply (simp add: nonzero-abs-inverse)
done

```

```

lemma abs-divide [simp]:
   $|a / b| = |a| / |b|$ 
apply (cases b=0, simp)
apply (simp add: nonzero-abs-divide)
done

```

```

lemma abs-div-pos:  $0 < y \implies$ 
   $|x| / y = |x / y|$ 
apply (subst abs-divide)
apply (simp add: order-less-imp-le)
done

```

```

lemma field-le-mult-one-interval:
  assumes *:  $\bigwedge z. [0 < z ; z < 1] \implies z * x \leq y$ 
  shows  $x \leq y$ 
proof (cases  $0 < x$ )
  assume  $0 < x$ 
  thus ?thesis
    using dense-le-bounded[of 0 1 y/x] *
    unfolding le-divide-eq if-P[OF  $\langle 0 < x \rangle$ ] by simp
next
  assume  $\neg 0 < x$  hence  $x \leq 0$  by simp
  obtain s::'a where  $s: 0 < s \wedge s < 1$  using dense[of 0 1::'a] by auto
  hence  $x \leq s * x$  using mult-le-cancel-right[of 1 x s]  $\langle x \leq 0 \rangle$  by auto
  also note *[OF s]
  finally show ?thesis .
qed

end

```

```

code-modulename SML
  Fields Arith

```

```

code-modulename OCaml
  Fields Arith

```

```

code-modulename Haskell
  Fields Arith

```

```

end

```

15 Nat: Natural numbers

```

theory Nat
imports Inductive Typedef Fun Fields

```


uses

~~/src/Tools/rat.ML
 ~~/src/Provers/Arith/cancel-sums.ML
 Tools/arith-data.ML
 (Tools/nat-arith.ML)
 ~~/src/Provers/Arith/fast-lin-arith.ML
 (Tools/lin-arith.ML)

begin

15.1 Type *ind*

typedecl *ind*

axiomatization

Zero-Rep :: *ind* **and**
Suc-Rep :: *ind* ==> *ind*

where

— the axiom of infinity in 2 parts
Suc-Rep-inject: *Suc-Rep* *x* = *Suc-Rep* *y* ==> *x* = *y* **and**
Suc-Rep-not-Zero-Rep: *Suc-Rep* *x* ≠ *Zero-Rep*

15.2 Type *nat*

Type definition

inductive *Nat* :: *ind* ⇒ *bool*

where

Zero-RepI: *Nat* *Zero-Rep*
 | *Suc-RepI*: *Nat* *i* ⇒ *Nat* (*Suc-Rep* *i*)

global

typedef (**open** *Nat*)

nat = *Nat*

by (*rule* *exI*, *unfold* *mem-def*, *rule* *Nat.Zero-RepI*)

definition *Suc* :: *nat* ==> *nat* **where**

Suc-def: *Suc* == (%*n*. *Abs-Nat* (*Suc-Rep* (*Rep-Nat* *n*)))

local

instantiation *nat* :: *zero*

begin

definition *Zero-nat-def* [*code del*]:

0 = *Abs-Nat* *Zero-Rep*

instance ..

end

```

lemma Suc-not-Zero:  $Suc\ m \neq 0$ 
  by (simp add: Zero-nat-def Suc-def Abs-Nat-inject [unfolded mem-def]
    Rep-Nat [unfolded mem-def] Suc-RepI Zero-RepI Suc-Rep-not-Zero-Rep [unfolded
mem-def])

lemma Zero-not-Suc:  $0 \neq Suc\ m$ 
  by (rule not-sym, rule Suc-not-Zero not-sym)

lemma Suc-Rep-inject':  $Suc-Rep\ x = Suc-Rep\ y \longleftrightarrow x = y$ 
  by (rule iffI, rule Suc-Rep-inject) simp-all

rep-datatype 0 :: nat Suc
  apply (unfold Zero-nat-def Suc-def)
  apply (rule Rep-Nat-inverse [THEN subst]) — types force good instantiation
  apply (erule Rep-Nat [unfolded mem-def, THEN Nat.induct])
  apply (iprover elim: Abs-Nat-inverse [unfolded mem-def, THEN subst])
  apply (simp-all add: Abs-Nat-inject [unfolded mem-def] Rep-Nat [unfolded
mem-def]
    Suc-RepI Zero-RepI Suc-Rep-not-Zero-Rep [unfolded mem-def]
    Suc-Rep-not-Zero-Rep [unfolded mem-def, symmetric]
    Suc-Rep-inject' Rep-Nat-inject)
  done

lemma nat-induct [case-names 0 Suc, induct type: nat]:
  — for backward compatibility – names of variables differ
  fixes n
  assumes P 0
  and  $\bigwedge^n. P\ n \implies P\ (Suc\ n)$ 
  shows P n
  using assms by (rule nat.induct)

declare nat.exhaust [case-names 0 Suc, cases type: nat]

lemmas nat-rec-0 = nat.recs(1)
  and nat-rec-Suc = nat.recs(2)

lemmas nat-case-0 = nat.cases(1)
  and nat-case-Suc = nat.cases(2)

Injectiveness and distinctness lemmas

lemma inj-Suc[simp]: inj-on Suc N
  by (simp add: inj-on-def)

lemma Suc-neq-Zero:  $Suc\ m = 0 \implies R$ 
  by (rule notE, rule Suc-not-Zero)

lemma Zero-neq-Suc:  $0 = Suc\ m \implies R$ 
  by (rule Suc-neq-Zero, erule sym)

```

lemma *Suc-inject*: $Suc\ x = Suc\ y \implies x = y$
by (rule *inj-Suc* [THEN *injD*])

lemma *n-not-Suc-n*: $n \neq Suc\ n$
by (induct *n*) *simp-all*

lemma *Suc-n-not-n*: $Suc\ n \neq n$
by (rule *not-sym*, rule *n-not-Suc-n*)

A special form of induction for reasoning about $m < n$ and $m - n$

lemma *diff-induct*: $(!!x. P\ x\ 0) \implies (!!y. P\ 0\ (Suc\ y)) \implies$
 $(!!x\ y. P\ x\ y \implies P\ (Suc\ x)\ (Suc\ y)) \implies P\ m\ n$
apply (rule-tac $x = m$ **in** *spec*)
apply (induct *n*)
prefer 2
apply (rule *allI*)
apply (induct-tac *x*, *iprover*+)
done

15.3 Arithmetic operators

instantiation *nat* :: {*minus*, *comm-monoid-add*}
begin

primrec *plus-nat*
where

add-0: $0 + n = (n::nat)$
| *add-Suc*: $Suc\ m + n = Suc\ (m + n)$

lemma *add-0-right* [*simp*]: $m + 0 = (m::nat)$
by (induct *m*) *simp-all*

lemma *add-Suc-right* [*simp*]: $m + Suc\ n = Suc\ (m + n)$
by (induct *m*) *simp-all*

declare *add-0* [*code*]

lemma *add-Suc-shift* [*code*]: $Suc\ m + n = m + Suc\ n$
by *simp*

primrec *minus-nat*
where

diff-0: $m - 0 = (m::nat)$
| *diff-Suc*: $m - Suc\ n = (case\ m - n\ of\ 0 \implies 0 \mid Suc\ k \implies k)$

declare *diff-Suc* [*simp del*]
declare *diff-0* [*code*]

lemma *diff-0-eq-0* [*simp*, *code*]: $0 - n = (0::nat)$
by (*induct n*) (*simp-all add: diff-Suc*)

lemma *diff-Suc-Suc* [*simp*, *code*]: $Suc\ m - Suc\ n = m - n$
by (*induct n*) (*simp-all add: diff-Suc*)

instance proof

fix $n\ m\ q :: nat$
show $(n + m) + q = n + (m + q)$ **by** (*induct n*) *simp-all*
show $n + m = m + n$ **by** (*induct n*) *simp-all*
show $0 + n = n$ **by** *simp*
qed

end

hide-fact (**open**) *add-0 add-0-right diff-0*

instantiation $nat :: comm-semiring-1-cancel$
begin

definition

One-nat-def [*simp*]: $1 = Suc\ 0$

primrec *times-nat*

where

mult-0: $0 * n = (0::nat)$
| *mult-Suc*: $Suc\ m * n = n + (m * n)$

lemma *mult-0-right* [*simp*]: $(m::nat) * 0 = 0$
by (*induct m*) *simp-all*

lemma *mult-Suc-right* [*simp*]: $m * Suc\ n = m + (m * n)$
by (*induct m*) (*simp-all add: add-left-commute*)

lemma *add-mult-distrib*: $(m + n) * k = (m * k) + ((n * k)::nat)$
by (*induct m*) (*simp-all add: add-assoc*)

instance proof

fix $n\ m\ q :: nat$
show $0 \neq (1::nat)$ **unfolding** *One-nat-def* **by** *simp*
show $1 * n = n$ **unfolding** *One-nat-def* **by** *simp*
show $n * m = m * n$ **by** (*induct n*) *simp-all*
show $(n * m) * q = n * (m * q)$ **by** (*induct n*) (*simp-all add: add-mult-distrib*)
show $(n + m) * q = n * q + m * q$ **by** (*rule add-mult-distrib*)
assume $n + m = n + q$ **thus** $m = q$ **by** (*induct n*) *simp-all*
qed

end

15.3.1 Addition

lemma *nat-add-assoc*: $(m + n) + k = m + ((n + k)::nat)$
by (*rule add-assoc*)

lemma *nat-add-commute*: $m + n = n + (m::nat)$
by (*rule add-commute*)

lemma *nat-add-left-commute*: $x + (y + z) = y + ((x + z)::nat)$
by (*rule add-left-commute*)

lemma *nat-add-left-cancel* [*simp*]: $(k + m = k + n) = (m = (n::nat))$
by (*rule add-left-cancel*)

lemma *nat-add-right-cancel* [*simp*]: $(m + k = n + k) = (m = (n::nat))$
by (*rule add-right-cancel*)

Reasoning about $m + 0 = 0$, etc.

lemma *add-is-0* [*iff*]:
fixes $m\ n :: nat$
shows $(m + n = 0) = (m = 0 \ \& \ n = 0)$
by (*cases m*) *simp-all*

lemma *add-is-1*:
 $(m + n = Suc\ 0) = (m = Suc\ 0 \ \& \ n = 0 \mid m = 0 \ \& \ n = Suc\ 0)$
by (*cases m*) *simp-all*

lemma *one-is-add*:
 $(Suc\ 0 = m + n) = (m = Suc\ 0 \ \& \ n = 0 \mid m = 0 \ \& \ n = Suc\ 0)$
by (*rule trans*, *rule eq-commute*, *rule add-is-1*)

lemma *add-eq-self-zero*:
fixes $m\ n :: nat$
shows $m + n = m \implies n = 0$
by (*induct m*) *simp-all*

lemma *inj-on-add-nat* [*simp*]: *inj-on* $(\%n::nat. n+k)\ N$
apply (*induct k*)
apply *simp*
apply (*drule comp-inj-on* [*OF* - *inj-Suc*])
apply (*simp add:o-def*)
done

15.3.2 Difference

lemma *diff-self-eq-0* [*simp*]: $(m::nat) - m = 0$
by (*induct m*) *simp-all*

lemma *diff-diff-left*: $(i::nat) - j - k = i - (j + k)$
by (*induct i j rule: diff-induct*) *simp-all*

lemma *Suc-diff-diff* [simp]: $(\text{Suc } m - n) - \text{Suc } k = m - n - k$
by (simp add: diff-diff-left)

lemma *diff-commute*: $(i::\text{nat}) - j - k = i - k - j$
by (simp add: diff-diff-left add-commute)

lemma *diff-add-inverse*: $(n + m) - n = (m::\text{nat})$
by (induct n) simp-all

lemma *diff-add-inverse2*: $(m + n) - n = (m::\text{nat})$
by (simp add: diff-add-inverse add-commute [of m n])

lemma *diff-cancel*: $(k + m) - (k + n) = m - (n::\text{nat})$
by (induct k) simp-all

lemma *diff-cancel2*: $(m + k) - (n + k) = m - (n::\text{nat})$
by (simp add: diff-cancel add-commute)

lemma *diff-add-0*: $n - (n + m) = (0::\text{nat})$
by (induct n) simp-all

lemma *diff-Suc-1* [simp]: $\text{Suc } n - 1 = n$
unfolding One-nat-def **by** simp

Difference distributes over multiplication

lemma *diff-mult-distrib*: $((m::\text{nat}) - n) * k = (m * k) - (n * k)$
by (induct m n rule: diff-induct) (simp-all add: diff-cancel)

lemma *diff-mult-distrib2*: $k * ((m::\text{nat}) - n) = (k * m) - (k * n)$
by (simp add: diff-mult-distrib mult-commute [of k])
 — NOT added as rewrites, since sometimes they are used from right-to-left

15.3.3 Multiplication

lemma *nat-mult-assoc*: $(m * n) * k = m * ((n * k)::\text{nat})$
by (rule mult-assoc)

lemma *nat-mult-commute*: $m * n = n * (m::\text{nat})$
by (rule mult-commute)

lemma *add-mult-distrib2*: $k * (m + n) = (k * m) + ((k * n)::\text{nat})$
by (rule right-distrib)

lemma *mult-is-0* [simp]: $((m::\text{nat}) * n = 0) = (m=0 \mid n=0)$
by (induct m) auto

lemmas *nat-distrib* =
 add-mult-distrib add-mult-distrib2 diff-mult-distrib diff-mult-distrib2

```

lemma mult-eq-1-iff [simp]:  $(m * n = \text{Suc } 0) = (m = \text{Suc } 0 \ \& \ n = \text{Suc } 0)$ 
  apply (induct m)
  apply simp
  apply (induct n)
  apply auto
done

```

```

lemma one-eq-mult-iff [simp, no-atp]:  $(\text{Suc } 0 = m * n) = (m = \text{Suc } 0 \ \& \ n = \text{Suc } 0)$ 
  apply (rule trans)
  apply (rule-tac [2] mult-eq-1-iff, fastsimp)
done

```

```

lemma nat-mult-eq-1-iff [simp]:  $m * n = (1::\text{nat}) \longleftrightarrow m = 1 \ \wedge \ n = 1$ 
  unfolding One-nat-def by (rule mult-eq-1-iff)

```

```

lemma nat-1-eq-mult-iff [simp]:  $(1::\text{nat}) = m * n \longleftrightarrow m = 1 \ \wedge \ n = 1$ 
  unfolding One-nat-def by (rule one-eq-mult-iff)

```

```

lemma mult-cancel1 [simp]:  $(k * m = k * n) = (m = n \mid (k = (0::\text{nat})))$ 
proof –
  have  $k \neq 0 \implies k * m = k * n \implies m = n$ 
  proof (induct n arbitrary: m)
    case 0 then show  $m = 0$  by simp
  next
    case (Suc n) then show  $m = \text{Suc } n$ 
      by (cases m) (simp-all add: eq-commute [of 0])
  qed
  then show ?thesis by auto
qed

```

```

lemma mult-cancel2 [simp]:  $(m * k = n * k) = (m = n \mid (k = (0::\text{nat})))$ 
  by (simp add: mult-commute)

```

```

lemma Suc-mult-cancel1:  $(\text{Suc } k * m = \text{Suc } k * n) = (m = n)$ 
  by (subst mult-cancel1) simp

```

15.4 Orders on nat

15.4.1 Operation definition

```

instantiation nat :: linorder
begin

```

```

primrec less-eq-nat where
   $(0::\text{nat}) \leq n \longleftrightarrow \text{True}$ 
   $\mid \text{Suc } m \leq n \longleftrightarrow (\text{case } n \text{ of } 0 \Rightarrow \text{False} \mid \text{Suc } n \Rightarrow m \leq n)$ 

```

```

declare less-eq-nat.simps [simp del]

```

lemma [code]: $(0::nat) \leq n \longleftrightarrow True$ **by** (simp add: less-eq-nat.simps)

lemma le0 [iff]: $0 \leq (n::nat)$ **by** (simp add: less-eq-nat.simps)

definition less-nat **where**

less-eq-Suc-le: $n < m \longleftrightarrow Suc\ n \leq m$

lemma Suc-le-mono [iff]: $Suc\ n \leq Suc\ m \longleftrightarrow n \leq m$

by (simp add: less-eq-nat.simps(2))

lemma Suc-le-eq [code]: $Suc\ m \leq n \longleftrightarrow m < n$

unfolding less-eq-Suc-le ..

lemma le-0-eq [iff]: $(n::nat) \leq 0 \longleftrightarrow n = 0$

by (induct n) (simp-all add: less-eq-nat.simps(2))

lemma not-less0 [iff]: $\neg n < (0::nat)$

by (simp add: less-eq-Suc-le)

lemma less-nat-zero-code [code]: $n < (0::nat) \longleftrightarrow False$

by simp

lemma Suc-less-eq [iff]: $Suc\ m < Suc\ n \longleftrightarrow m < n$

by (simp add: less-eq-Suc-le)

lemma less-Suc-eq-le [code]: $m < Suc\ n \longleftrightarrow m \leq n$

by (simp add: less-eq-Suc-le)

lemma le-SucI: $m \leq n \implies m \leq Suc\ n$

by (induct m arbitrary: n)

(simp-all add: less-eq-nat.simps(2) split: nat.splits)

lemma Suc-leD: $Suc\ m \leq n \implies m \leq n$

by (cases n) (auto intro: le-SucI)

lemma less-SucI: $m < n \implies m < Suc\ n$

by (simp add: less-eq-Suc-le) (erule Suc-leD)

lemma Suc-lessD: $Suc\ m < n \implies m < n$

by (simp add: less-eq-Suc-le) (erule Suc-leD)

instance

proof

fix n m :: nat

show $n < m \longleftrightarrow n \leq m \wedge \neg m \leq n$

proof (induct n arbitrary: m)

case 0 **then show** ?case **by** (cases m) (simp-all add: less-eq-Suc-le)

next

case (Suc n) **then show** ?case **by** (cases m) (simp-all add: less-eq-Suc-le)

qed


```

next
  fix n :: nat show n ≤ n by (induct n) simp-all
next
  fix n m :: nat assume n ≤ m and m ≤ n
  then show n = m
    by (induct n arbitrary: m)
      (simp-all add: less-eq-nat.simps(2) split: nat.splits)
next
  fix n m q :: nat assume n ≤ m and m ≤ q
  then show n ≤ q
  proof (induct n arbitrary: m q)
    case 0 show ?case by simp
  next
    case (Suc n) then show ?case
      by (simp-all (no-asm-use) add: less-eq-nat.simps(2) split: nat.splits, clarify,
        simp-all (no-asm-use) add: less-eq-nat.simps(2) split: nat.splits, clarify,
        simp-all (no-asm-use) add: less-eq-nat.simps(2) split: nat.splits)
  qed
next
  fix n m :: nat show n ≤ m ∨ m ≤ n
  by (induct n arbitrary: m)
    (simp-all add: less-eq-nat.simps(2) split: nat.splits)
qed

end

instantiation nat :: bot
begin

definition bot-nat :: nat where
  bot-nat = 0

instance proof
qed (simp add: bot-nat-def)

end

```

15.4.2 Introduction properties

```

lemma lessI [iff]: n < Suc n
  by (simp add: less-Suc-eq-le)

```

```

lemma zero-less-Suc [iff]: 0 < Suc n
  by (simp add: less-Suc-eq-le)

```

15.4.3 Elimination properties

```

lemma less-not-refl: ~ n < (n::nat)
  by (rule order-less-irrefl)

```

lemma *less-not-refl2*: $n < m \implies m \neq (n::nat)$
by (*rule not-sym*) (*rule less-imp-neq*)

lemma *less-not-refl3*: $(s::nat) < t \implies s \neq t$
by (*rule less-imp-neq*)

lemma *less-irrefl-nat*: $(n::nat) < n \implies R$
by (*rule notE*, *rule less-not-refl*)

lemma *less-zeroE*: $(n::nat) < 0 \implies R$
by (*rule notE*) (*rule not-less0*)

lemma *less-Suc-eq*: $(m < Suc\ n) = (m < n \mid m = n)$
unfolding *less-Suc-eq-le le-less ..*

lemma *less-Suc0* [*iff*]: $(n < Suc\ 0) = (n = 0)$
by (*simp add: less-Suc-eq*)

lemma *less-one* [*iff*, *no-atp*]: $(n < (1::nat)) = (n = 0)$
unfolding *One-nat-def* **by** (*rule less-Suc0*)

lemma *Suc-mono*: $m < n \implies Suc\ m < Suc\ n$
by *simp*

”Less than” is antisymmetric, sort of

lemma *less-antisym*: $\llbracket \neg n < m; n < Suc\ m \rrbracket \implies m = n$
unfolding *not-less less-Suc-eq-le* **by** (*rule antisym*)

lemma *nat-neq-iff*: $((m::nat) \neq n) = (m < n \mid n < m)$
by (*rule linorder-neq-iff*)

lemma *nat-less-cases*: **assumes** *major*: $(m::nat) < n \implies P\ n\ m$
and *eqCase*: $m = n \implies P\ n\ m$ **and** *lessCase*: $n < m \implies P\ n\ m$
shows $P\ n\ m$
apply (*rule less-linear [THEN disjE]*)
apply (*erule-tac [2] disjE*)
apply (*erule lessCase*)
apply (*erule sym [THEN eqCase]*)
apply (*erule major*)
done

15.4.4 Inductive (?) properties

lemma *Suc-lessI*: $m < n \implies Suc\ m \neq n \implies Suc\ m < n$
unfolding *less-eq-Suc-le [of m] le-less* **by** *simp*

lemma *lessE*:
assumes *major*: $i < k$
and *p1*: $k = Suc\ i \implies P$ **and** *p2*: $!!j. i < j \implies k = Suc\ j \implies P$

shows P
 proof –
 from major have $\exists j. i \leq j \wedge k = \text{Suc } j$
 unfolding less-eq-Suc-le by (induct k) simp-all
 then have $(\exists j. i < j \wedge k = \text{Suc } j) \vee k = \text{Suc } i$
 by (clarsimp simp add: less-le)
 with $p1$ $p2$ show P by auto
 qed

lemma less-SucE: assumes major: $m < \text{Suc } n$
 and less: $m < n \implies P$ and eq: $m = n \implies P$ shows P
 apply (rule major [THEN lessE])
 apply (rule eq, blast)
 apply (rule less, blast)
 done

lemma Suc-lessE: assumes major: $\text{Suc } i < k$
 and minor: $\forall j. i < j \implies k = \text{Suc } j \implies P$ shows P
 apply (rule major [THEN lessE])
 apply (erule lessI [THEN minor])
 apply (erule Suc-lessD [THEN minor], assumption)
 done

lemma Suc-less-SucD: $\text{Suc } m < \text{Suc } n \implies m < n$
 by simp

lemma less-trans-Suc:
 assumes le: $i < j$ shows $j < k \implies \text{Suc } i < k$
 apply (induct k , simp-all)
 apply (insert le)
 apply (simp add: less-Suc-eq)
 apply (blast dest: Suc-lessD)
 done

Can be used with less-Suc-eq to get $n = m \vee n < m$

lemma not-less-eq: $\neg m < n \longleftrightarrow n < \text{Suc } m$
 unfolding not-less less-Suc-eq-le ..

lemma not-less-eq-eq: $\neg m \leq n \longleftrightarrow \text{Suc } n \leq m$
 unfolding not-le Suc-le-eq ..

Properties of “less than or equal”

lemma le-imp-less-Suc: $m \leq n \implies m < \text{Suc } n$
 unfolding less-Suc-eq-le .

lemma Suc-n-not-le-n: $\sim \text{Suc } n \leq n$
 unfolding not-le less-Suc-eq-le ..

lemma le-Suc-eq: $(m \leq \text{Suc } n) = (m \leq n \mid m = \text{Suc } n)$

by (*simp add: less-Suc-eq-le [symmetric] less-Suc-eq*)

lemma *le-SucE*: $m \leq \text{Suc } n \implies (m \leq n \implies R) \implies (m = \text{Suc } n \implies R)$
 $\implies R$
by (*drule le-Suc-eq [THEN iffD1], iprover+*)

lemma *Suc-leI*: $m < n \implies \text{Suc}(m) \leq n$
unfolding *Suc-le-eq* .

Stronger version of *Suc-leD*

lemma *Suc-le-lessD*: $\text{Suc } m \leq n \implies m < n$
unfolding *Suc-le-eq* .

lemma *less-imp-le-nat*: $m < n \implies m \leq (n::\text{nat})$
unfolding *less-eq-Suc-le* **by** (*rule Suc-leD*)

For instance, $(\text{Suc } m < \text{Suc } n) = (\text{Suc } m \leq n) = (m < n)$

lemmas *le-simps* = *less-imp-le-nat less-Suc-eq-le Suc-le-eq*

Equivalence of $m \leq n$ and $m < n \vee m = n$

lemma *less-or-eq-imp-le*: $m < n \mid m = n \implies m \leq (n::\text{nat})$
unfolding *le-less* .

lemma *le-eq-less-or-eq*: $(m \leq (n::\text{nat})) = (m < n \mid m = n)$
by (*rule le-less*)

Useful with *blast*.

lemma *eq-imp-le*: $(m::\text{nat}) = n \implies m \leq n$
by *auto*

lemma *le-refl*: $n \leq (n::\text{nat})$
by *simp*

lemma *le-trans*: $[[i \leq j; j \leq k]] \implies i \leq (k::\text{nat})$
by (*rule order-trans*)

lemma *le-antisym*: $[[m \leq n; n \leq m]] \implies m = (n::\text{nat})$
by (*rule antisym*)

lemma *nat-less-le*: $((m::\text{nat}) < n) = (m \leq n \ \& \ m \neq n)$
by (*rule less-le*)

lemma *le-neq-implies-less*: $(m::\text{nat}) \leq n \implies m \neq n \implies m < n$
unfolding *less-le* ..

lemma *nat-le-linear*: $(m::\text{nat}) \leq n \mid n \leq m$
by (*rule linear*)

lemmas *linorder-neqE-nat* = *linorder-neqE* [**where** 'a = nat]

lemma *le-less-Suc-eq*: $m \leq n \implies (n < \text{Suc } m) = (n = m)$
unfolding *less-Suc-eq-le* **by** *auto*

lemma *not-less-less-Suc-eq*: $\sim n < m \implies (n < \text{Suc } m) = (n = m)$
unfolding *not-less* **by** (rule *le-less-Suc-eq*)

lemmas *not-less-simps* = *not-less-less-Suc-eq le-less-Suc-eq*

These two rules ease the use of primitive recursion. NOTE USE OF ==

lemma *def-nat-rec-0*: $(!!n. f \ n == \text{nat-rec } c \ h \ n) \implies f \ 0 = c$
by *simp*

lemma *def-nat-rec-Suc*: $(!!n. f \ n == \text{nat-rec } c \ h \ n) \implies f \ (\text{Suc } n) = h \ n \ (f \ n)$
by *simp*

lemma *not0-implies-Suc*: $n \neq 0 \implies \exists m. n = \text{Suc } m$
by (cases *n*) *simp-all*

lemma *gr0-implies-Suc*: $n > 0 \implies \exists m. n = \text{Suc } m$
by (cases *n*) *simp-all*

lemma *gr-implies-not0*: **fixes** *n* :: nat **shows** $m < n \implies n \neq 0$
by (cases *n*) *simp-all*

lemma *neq0-conv[iff]*: **fixes** *n* :: nat **shows** $(n \neq 0) = (0 < n)$
by (cases *n*) *simp-all*

This theorem is useful with *blast*

lemma *gr0I*: $((n :: \text{nat}) = 0 \implies \text{False}) \implies 0 < n$
by (rule *neq0-conv[THEN iffD1]*, *iprover*)

lemma *gr0-conv-Suc*: $(0 < n) = (\exists m. n = \text{Suc } m)$
by (fast intro: *not0-implies-Suc*)

lemma *not-gr0 [iff,no-atp]*: $!!n :: \text{nat}. (\sim (0 < n)) = (n = 0)$
using *neq0-conv* **by** *blast*

lemma *Suc-le-D*: $(\text{Suc } n \leq m') \implies (? m. m' = \text{Suc } m)$
by (induct *m'*) *simp-all*

Useful in certain inductive arguments

lemma *less-Suc-eq-0-disj*: $(m < \text{Suc } n) = (m = 0 \mid (\exists j. m = \text{Suc } j \ \& \ j < n))$
by (cases *m*) *simp-all*

15.4.5 min and max

lemma *mono-Suc*: *mono Suc*

by (rule *monoI*) *simp*

lemma *min-0L* [*simp*]: $\min\ 0\ n = (0::nat)$
by (rule *min-leastL*) *simp*

lemma *min-0R* [*simp*]: $\min\ n\ 0 = (0::nat)$
by (rule *min-leastR*) *simp*

lemma *min-Suc-Suc* [*simp*]: $\min\ (Suc\ m)\ (Suc\ n) = Suc\ (\min\ m\ n)$
by (*simp add: mono-Suc min-of-mono*)

lemma *min-Suc1*:
 $\min\ (Suc\ n)\ m = (case\ m\ of\ 0\ ==>\ 0\ |\ Suc\ m'\ ==>\ Suc(\min\ n\ m'))$
by (*simp split: nat.split*)

lemma *min-Suc2*:
 $\min\ m\ (Suc\ n) = (case\ m\ of\ 0\ ==>\ 0\ |\ Suc\ m'\ ==>\ Suc(\min\ m'\ n))$
by (*simp split: nat.split*)

lemma *max-0L* [*simp*]: $\max\ 0\ n = (n::nat)$
by (rule *max-leastL*) *simp*

lemma *max-0R* [*simp*]: $\max\ n\ 0 = (n::nat)$
by (rule *max-leastR*) *simp*

lemma *max-Suc-Suc* [*simp*]: $\max\ (Suc\ m)\ (Suc\ n) = Suc(\max\ m\ n)$
by (*simp add: mono-Suc max-of-mono*)

lemma *max-Suc1*:
 $\max\ (Suc\ n)\ m = (case\ m\ of\ 0\ ==>\ Suc\ n\ |\ Suc\ m'\ ==>\ Suc(\max\ n\ m'))$
by (*simp split: nat.split*)

lemma *max-Suc2*:
 $\max\ m\ (Suc\ n) = (case\ m\ of\ 0\ ==>\ Suc\ n\ |\ Suc\ m'\ ==>\ Suc(\max\ m'\ n))$
by (*simp split: nat.split*)

15.4.6 Monotonicity of Addition

lemma *Suc-pred* [*simp*]: $n > 0 ==> Suc\ (n - Suc\ 0) = n$
by (*simp add: diff-Suc split: nat.split*)

lemma *Suc-diff-1* [*simp*]: $0 < n ==> Suc\ (n - 1) = n$
unfolding *One-nat-def* **by** (rule *Suc-pred*)

lemma *nat-add-left-cancel-le* [*simp*]: $(k + m \leq k + n) = (m \leq (n::nat))$
by (*induct k*) *simp-all*

lemma *nat-add-left-cancel-less* [*simp*]: $(k + m < k + n) = (m < (n::nat))$
by (*induct k*) *simp-all*

lemma *add-gr-0* [*iff*]: $!!m::nat. (m + n > 0) = (m > 0 \mid n > 0)$
by (*auto dest:gr0-implies-Suc*)

strict, in 1st argument

lemma *add-less-mono1*: $i < j \implies i + k < j + (k::nat)$
by (*induct k*) *simp-all*

strict, in both arguments

lemma *add-less-mono*: $[[i < j; k < l]] \implies i + k < j + (l::nat)$
apply (*rule add-less-mono1 [THEN less-trans], assumption+*)
apply (*induct j, simp-all*)
done

Deleted *less-natE*; use *less-imp-Suc-add RS exE*

lemma *less-imp-Suc-add*: $m < n \implies (\exists k. n = \text{Suc } (m + k))$
apply (*induct n*)
apply (*simp-all add: order-le-less*)
apply (*blast elim!: less-SucE*
 intro!: Nat.add-0-right [symmetric] add-Suc-right [symmetric])
done

strict, in 1st argument; proof is by induction on $k > 0$

lemma *mult-less-mono2*: $(i::nat) < j \implies 0 < k \implies k * i < k * j$
apply (*auto simp: gr0-conv-Suc*)
apply (*induct-tac m*)
apply (*simp-all add: add-less-mono*)
done

The naturals form an ordered *comm-semiring-1-cancel*

instance *nat* :: *linordered-semidom*

proof

fix *i j k* :: *nat*
 show $0 < (1::nat)$ **by** *simp*
 show $i \leq j \implies k + i \leq k + j$ **by** *simp*
 show $i < j \implies 0 < k \implies k * i < k * j$ **by** (*simp add: mult-less-mono2*)
qed

instance *nat* :: *no-zero-divisors*

proof

fix *a::nat* **and** *b::nat* **show** $a \sim 0 \implies b \sim 0 \implies a * b \sim 0$ **by** *auto*
qed

lemma *nat-mult-1*: $(1::nat) * n = n$
by *simp*

lemma *nat-mult-1-right*: $n * (1::nat) = n$
by *simp*

15.4.7 Additional theorems about $op \leq$

Complete induction, aka course-of-values induction

```

instance nat :: wellorder proof
  fix P and n :: nat
  assume step:  $\bigwedge n::nat. (\bigwedge m. m < n \implies P\ m) \implies P\ n$ 
  have  $\bigwedge q. q \leq n \implies P\ q$ 
  proof (induct n)
    case (0 n)
    have P 0 by (rule step) auto
    thus ?case using 0 by auto
  next
  case (Suc m n)
  then have  $n \leq m \vee n = \text{Suc } m$  by (simp add: le-Suc-eq)
  thus ?case
  proof
    assume  $n \leq m$  thus P n by (rule Suc(1))
  next
    assume n:  $n = \text{Suc } m$ 
    show P n
    by (rule step) (rule Suc(1), simp add: n le-simps)
  qed
qed
then show P n by auto
qed

```

lemma Least-Suc:

```

  [| P n; ~ P 0 |] ==> (LEAST n. P n) = Suc (LEAST m. P (Suc m))
  apply (case-tac n, auto)
  apply (frule LeastI)
  apply (drule-tac P = %x. P (Suc x) in LeastI)
  apply (subgoal-tac (LEAST x. P x) ≤ Suc (LEAST x. P (Suc x)))
  apply (erule-tac [2] Least-le)
  apply (case-tac LEAST x. P x, auto)
  apply (drule-tac P = %x. P (Suc x) in Least-le)
  apply (blast intro: order-antisym)
done

```

lemma Least-Suc2:

```

  [| P n; Q m; ~ P 0; !k. P (Suc k) = Q k |] ==> Least P = Suc (Least Q)
  apply (erule (1) Least-Suc [THEN ssubst])
  apply simp
done

```

lemma ex-least-nat-le: $\neg P(0) \implies P(n::nat) \implies \exists k \leq n. (\forall i < k. \neg P\ i) \ \& \ P(k)$

```

  apply (cases n)
  apply blast
  apply (rule-tac x=LEAST k. P(k) in exI)
  apply (blast intro: Least-le dest: not-less-Least intro: LeastI-ex)

```


done

lemma *ex-least-nat-less*: $\neg P(0) \implies P(n::nat) \implies \exists k < n. (\forall i \leq k. \neg P i) \ \& \ P(k+1)$
unfolding *One-nat-def*
apply (*cases n*)
apply *blast*
apply (*frule (1) ex-least-nat-le*)
apply (*erule exE*)
apply (*case-tac k*)
apply *simp*
apply (*rename-tac k1*)
apply (*rule-tac x=k1 in exI*)
apply (*auto simp add: less-eq-Suc-le*)
done

lemma *nat-less-induct*:
assumes $!!n. \forall m::nat. m < n \longrightarrow P m \implies P n$ **shows** $P n$
using *assms less-induct* **by** *blast*

lemma *measure-induct-rule* [*case-names less*]:
fixes $f :: 'a \Rightarrow nat$
assumes *step*: $\bigwedge x. (\bigwedge y. f y < f x \implies P y) \implies P x$
shows $P a$
by (*induct m* \equiv *a arbitrary: a rule: less-induct*) (*auto intro: step*)

old style induction rules:

lemma *measure-induct*:
fixes $f :: 'a \Rightarrow nat$
shows $(\bigwedge x. \forall y. f y < f x \longrightarrow P y \implies P x) \implies P a$
by (*rule measure-induct-rule [of f P a]*) *iprover*

lemma *full-nat-induct*:
assumes *step*: $(!!n. (ALL m. Suc m \leq n \longrightarrow P m) \implies P n)$
shows $P n$
by (*rule less-induct*) (*auto intro: step simp:le-simps*)

An induction rule for establishing binary relations

lemma *less-Suc-induct*:
assumes *less*: $i < j$
and *step*: $!!i. P i (Suc i)$
and *trans*: $!!i j k. i < j \implies j < k \implies P i j \implies P j k \implies P i k$
shows $P i j$
proof –
from *less* **obtain** k **where** $j = Suc (i + k)$ **by** (*auto dest: less-imp-Suc-add*)
have $P i (Suc (i + k))$
proof (*induct k*)
case 0
show ?*case* **by** (*simp add: step*)
next

```

case (Suc k)
have 0 + i < Suc k + i by (rule add-less-mono1) simp
hence i < Suc (i + k) by (simp add: add-commute)
from trans[OF this lessI Suc step]
show ?case by simp
qed
thus P i j by (simp add: j)
qed

```

The method of infinite descent, frequently used in number theory. Provided by Roelof Oosterhuis. $P(n)$ is true for all $n \in \mathbb{N}$ if

- case “0”: given $n = 0$ prove $P(n)$,
- case “smaller”: given $n > 0$ and $\neg P(n)$ prove there exists a smaller integer m such that $\neg P(m)$.

A compact version without explicit base case:

```

lemma infinite-descent:
   $\llbracket \text{!!}n::\text{nat}. \neg P\ n \implies \exists m < n. \neg P\ m \rrbracket \implies P\ n$ 
by (induct n rule: less-induct, auto)

```

```

lemma infinite-descent0[case-names 0 smaller]:
   $\llbracket P\ 0; \text{!!}n. n > 0 \implies \neg P\ n \implies (\exists m::\text{nat}. m < n \wedge \neg P\ m) \rrbracket \implies P\ n$ 
by (rule infinite-descent) (case-tac n>0, auto)

```

Infinite descent using a mapping to \mathbb{N} : $P(x)$ is true for all $x \in D$ if there exists a $V : D \rightarrow \mathbb{N}$ and

- case “0”: given $V(x) = 0$ prove $P(x)$,
- case “smaller”: given $V(x) > 0$ and $\neg P(x)$ prove there exists a $y \in D$ such that $V(y) < V(x)$ and $\neg P(y)$.

NB: the proof also shows how to use the previous lemma.

```

corollary infinite-descent0-measure [case-names 0 smaller]:
  assumes A0:  $\text{!!}x. V\ x = (0::\text{nat}) \implies P\ x$ 
  and A1:  $\text{!!}x. V\ x > 0 \implies \neg P\ x \implies (\exists y. V\ y < V\ x \wedge \neg P\ y)$ 
  shows P x
proof –
  obtain n where n = V x by auto
  moreover have  $\bigwedge x. V\ x = n \implies P\ x$ 
  proof (induct n rule: infinite-descent0)
  case 0 — i.e.  $V(x) = 0$ 
  with A0 show P x by auto
  next — now  $n > 0$  and  $P(x)$  does not hold for some  $x$  with  $V(x) = n$ 
  case (smaller n)
  then obtain x where vx n: V x = n and V x > 0  $\wedge \neg P\ x$  by auto

```

```

with A1 obtain y where  $V\ y < V\ x \wedge \neg P\ y$  by auto
with vxn obtain m where  $m = V\ y \wedge m < n \wedge \neg P\ y$  by auto
then show ?case by auto
qed
ultimately show  $P\ x$  by auto
qed

```

Again, without explicit base case:

```

lemma infinite-descent-measure:
assumes  $!!x. \neg P\ x \implies \exists y. (V::'a \Rightarrow nat)\ y < V\ x \wedge \neg P\ y$  shows  $P\ x$ 
proof –
  from assms obtain n where  $n = V\ x$  by auto
  moreover have  $!!x. V\ x = n \implies P\ x$ 
  proof (induct n rule: infinite-descent, auto)
    fix x assume  $\neg P\ x$ 
    with assms show  $\exists m < V\ x. \exists y. V\ y = m \wedge \neg P\ y$  by auto
  qed
ultimately show  $P\ x$  by auto
qed

```

A [clumsy] way of lifting $<$ monotonicity to \leq monotonicity

```

lemma less-mono-imp-le-mono:
   $\llbracket !!i\ j::nat. i < j \implies f\ i < f\ j; i \leq j \rrbracket \implies f\ i \leq ((f\ j)::nat)$ 
by (simp add: order-le-less) (blast)

```

non-strict, in 1st argument

```

lemma add-le-mono1:  $i \leq j \implies i + k \leq j + (k::nat)$ 
by (rule add-right-mono)

```

non-strict, in both arguments

```

lemma add-le-mono:  $\llbracket i \leq j; k \leq l \rrbracket \implies i + k \leq j + (l::nat)$ 
by (rule add-mono)

```

```

lemma le-add2:  $n \leq ((m + n)::nat)$ 
by (insert add-right-mono [of 0 m n], simp)

```

```

lemma le-add1:  $n \leq ((n + m)::nat)$ 
by (simp add: add-commute, rule le-add2)

```

```

lemma less-add-Suc1:  $i < Suc\ (i + m)$ 
by (rule le-less-trans, rule le-add1, rule lessI)

```

```

lemma less-add-Suc2:  $i < Suc\ (m + i)$ 
by (rule le-less-trans, rule le-add2, rule lessI)

```

```

lemma less-iff-Suc-add:  $(m < n) = (\exists k. n = Suc\ (m + k))$ 
by (iprover intro!: less-add-Suc1 less-imp-Suc-add)

```

lemma *trans-le-add1*: $(i::nat) \leq j \implies i \leq j + m$
by (*rule le-trans, assumption, rule le-add1*)

lemma *trans-le-add2*: $(i::nat) \leq j \implies i \leq m + j$
by (*rule le-trans, assumption, rule le-add2*)

lemma *trans-less-add1*: $(i::nat) < j \implies i < j + m$
by (*rule less-le-trans, assumption, rule le-add1*)

lemma *trans-less-add2*: $(i::nat) < j \implies i < m + j$
by (*rule less-le-trans, assumption, rule le-add2*)

lemma *add-lessD1*: $i + j < (k::nat) \implies i < k$
apply (*rule le-less-trans [of - i+j]*)
apply (*simp-all add: le-add1*)
done

lemma *not-add-less1* [*iff*]: $\sim (i + j < (i::nat))$
apply (*rule notI*)
apply (*drule add-lessD1*)
apply (*erule less-irrefl [THEN notE]*)
done

lemma *not-add-less2* [*iff*]: $\sim (j + i < (i::nat))$
by (*simp add: add-commute*)

lemma *add-leD1*: $m + k \leq n \implies m \leq (n::nat)$
apply (*rule order-trans [of - m+k]*)
apply (*simp-all add: le-add1*)
done

lemma *add-leD2*: $m + k \leq n \implies k \leq (n::nat)$
apply (*simp add: add-commute*)
apply (*erule add-leD1*)
done

lemma *add-leE*: $(m::nat) + k \leq n \implies (m \leq n \implies k \leq n \implies R) \implies R$
by (*blast dest: add-leD1 add-leD2*)

needs !!*k* for *add-ac* to work

lemma *less-add-eq-less*: $!!k::nat. k < l \implies m + l = k + n \implies m < n$
by (*force simp del: add-Suc-right*
simp add: less-iff-Suc-add add-Suc-right [symmetric] add-ac)

15.4.8 More results about difference

Addition is the inverse of subtraction: if $n \leq m$ then $n + (m - n) = m$.

lemma *add-diff-inverse*: $\sim m < n \implies n + (m - n) = (m::nat)$
by (*induct m n rule: diff-induct*) *simp-all*

lemma *le-add-diff-inverse* [simp]: $n \leq m \implies n + (m - n) = (m::nat)$
by (simp add: add-diff-inverse linorder-not-less)

lemma *le-add-diff-inverse2* [simp]: $n \leq m \implies (m - n) + n = (m::nat)$
by (simp add: add-commute)

lemma *Suc-diff-le*: $n \leq m \implies \text{Suc } m - n = \text{Suc } (m - n)$
by (induct m n rule: diff-induct) simp-all

lemma *diff-less-Suc*: $m - n < \text{Suc } m$
apply (induct m n rule: diff-induct)
apply (erule-tac [3] less-SucE)
apply (simp-all add: less-Suc-eq)
done

lemma *diff-le-self* [simp]: $m - n \leq (m::nat)$
by (induct m n rule: diff-induct) (simp-all add: le-SucI)

lemma *le-iff-add*: $(m::nat) \leq n = (\exists k. n = m + k)$
by (auto simp: le-add1 dest!: le-add-diff-inverse sym [of - n])

lemma *less-imp-diff-less*: $(j::nat) < k \implies j - n < k$
by (rule le-less-trans, rule diff-le-self)

lemma *diff-Suc-less* [simp]: $0 < n \implies n - \text{Suc } i < n$
by (cases n) (auto simp add: le-simps)

lemma *diff-add-assoc*: $k \leq (j::nat) \implies (i + j) - k = i + (j - k)$
by (induct j k rule: diff-induct) simp-all

lemma *diff-add-assoc2*: $k \leq (j::nat) \implies (j + i) - k = (j - k) + i$
by (simp add: add-commute diff-add-assoc)

lemma *le-imp-diff-is-add*: $i \leq (j::nat) \implies (j - i = k) = (j = k + i)$
by (auto simp add: diff-add-inverse2)

lemma *diff-is-0-eq* [simp]: $((m::nat) - n = 0) = (m \leq n)$
by (induct m n rule: diff-induct) simp-all

lemma *diff-is-0-eq'* [simp]: $m \leq n \implies (m::nat) - n = 0$
by (rule iffD2, rule diff-is-0-eq)

lemma *zero-less-diff* [simp]: $(0 < n - (m::nat)) = (m < n)$
by (induct m n rule: diff-induct) simp-all

lemma *less-imp-add-positive*:
assumes $i < j$
shows $\exists k::nat. 0 < k \ \& \ i + k = j$

proof

from *assms* **show** $0 < j - i \ \& \ i + (j - i) = j$

by (*simp add: order-less-imp-le*)

qed

a nice rewrite for bounded subtraction

lemma *nat-minus-add-max*:

fixes $n \ m :: \text{nat}$

shows $n - m + m = \max \ n \ m$

by (*simp add: max-def not-le order-less-imp-le*)

lemma *nat-diff-split*:

$P(a - b::\text{nat}) = ((a < b \dashrightarrow P \ 0) \ \& \ (\text{ALL } d. a = b + d \dashrightarrow P \ d))$

— elimination of $-$ on *nat*

by (*cases a < b*)

(*auto simp add: diff-is-0-eq [THEN iffD2] diff-add-inverse*
not-less le-less dest!: sym [of a] sym [of b] add-eq-self-zero)

lemma *nat-diff-split-asm*:

$P(a - b::\text{nat}) = (\sim (a < b \ \& \ \sim P \ 0) \mid (\text{EX } d. a = b + d \ \& \ \sim P \ d))$

— elimination of $-$ on *nat* in assumptions

by (*auto split: nat-diff-split*)

15.4.9 Monotonicity of Multiplication

lemma *mult-le-mono1*: $i \leq (j::\text{nat}) \implies i * k \leq j * k$

by (*simp add: mult-right-mono*)

lemma *mult-le-mono2*: $i \leq (j::\text{nat}) \implies k * i \leq k * j$

by (*simp add: mult-left-mono*)

\leq monotonicity, BOTH arguments

lemma *mult-le-mono*: $i \leq (j::\text{nat}) \implies k \leq l \implies i * k \leq j * l$

by (*simp add: mult-mono*)

lemma *mult-less-mono1*: $(i::\text{nat}) < j \implies 0 < k \implies i * k < j * k$

by (*simp add: mult-strict-right-mono*)

Differs from the standard *zero-less-mult-iff* in that there are no negative numbers.

lemma *nat-0-less-mult-iff* [*simp*]: $(0 < (m::\text{nat}) * n) = (0 < m \ \& \ 0 < n)$

apply (*induct m*)

apply *simp*

apply (*case-tac n*)

apply *simp-all*

done

lemma *one-le-mult-iff* [*simp*]: $(\text{Suc } 0 \leq m * n) = (\text{Suc } 0 \leq m \ \& \ \text{Suc } 0 \leq n)$

apply (*induct m*)

```

    apply simp
    apply (case-tac n)
    apply simp-all
    done

lemma mult-less-cancel2 [simp]: ((m::nat) * k < n * k) = (0 < k & m < n)
  apply (safe intro!: mult-less-mono1)
  apply (case-tac k, auto)
  apply (simp del: le-0-eq add: linorder-not-le [symmetric])
  apply (blast intro: mult-le-mono1)
  done

lemma mult-less-cancel1 [simp]: (k * (m::nat) < k * n) = (0 < k & m < n)
  by (simp add: mult-commute [of k])

lemma mult-le-cancel1 [simp]: (k * (m::nat) ≤ k * n) = (0 < k → m ≤ n)
  by (simp add: linorder-not-less [symmetric], auto)

lemma mult-le-cancel2 [simp]: ((m::nat) * k ≤ n * k) = (0 < k → m ≤ n)
  by (simp add: linorder-not-less [symmetric], auto)

lemma Suc-mult-less-cancel1: (Suc k * m < Suc k * n) = (m < n)
  by (subst mult-less-cancel1) simp

lemma Suc-mult-le-cancel1: (Suc k * m ≤ Suc k * n) = (m ≤ n)
  by (subst mult-le-cancel1) simp

lemma le-square: m ≤ m * (m::nat)
  by (cases m) (auto intro: le-add1)

lemma le-cube: (m::nat) ≤ m * (m * m)
  by (cases m) (auto intro: le-add1)

Lemma for gcd

lemma mult-eq-self-implies-10: (m::nat) = m * n ==> n = 1 | m = 0
  apply (drule sym)
  apply (rule disjCI)
  apply (rule nat-less-cases, erule-tac [2] -)
  apply (drule-tac [2] mult-less-mono2)
  apply (auto)
  done

the lattice order on nat

instantiation nat :: distrib-lattice
begin

definition
  (inf :: nat ⇒ nat ⇒ nat) = min

```

definition

$$(sup :: nat \Rightarrow nat \Rightarrow nat) = max$$
instance by *intro-classes*

$$(auto simp add: inf-nat-def sup-nat-def max-def not-le min-def \\ intro: order-less-imp-le antisym elim!: order-trans order-less-trans)$$
end**15.5 Natural operation of natural numbers on functions**

We use the same logical constant for the power operations on functions and relations, in order to share the same syntax.

consts *compow* :: $nat \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$

abbreviation *compower* :: $('a \Rightarrow 'b) \Rightarrow nat \Rightarrow 'a \Rightarrow 'b$ (**infixr** $^{\wedge}$ 80) **where**
 $f \wedge n \equiv compow\ n\ f$

notation (*latex output*)
$$compower\ ((-))\ [1000]\ 1000$$
notation (*HTML output*)
$$compower\ ((-))\ [1000]\ 1000$$

$f \wedge n = f \circ \dots \circ f$, the n -fold composition of f

overloading

$$funpow == compow :: nat \Rightarrow ('a \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a)$$
begin

primrec *funpow* :: $nat \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$ **where**

$$funpow\ 0\ f = id$$

$$| funpow\ (Suc\ n)\ f = f \circ funpow\ n\ f$$
end

for code generation

definition *funpow* :: $nat \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$ **where**

$$funpow\text{-code-def}\ [code\text{-post}]: funpow = compow$$

lemmas $[code\text{-unfold}] = funpow\text{-code-def}\ [symmetric]$

lemma $[code]:$

$$funpow\ 0\ f = id$$

$$funpow\ (Suc\ n)\ f = f \circ funpow\ n\ f$$

unfolding *funpow-code-def* **by** *simp-all*

hide-const (**open**) *funpow*

lemma *funpow-add*:

$f^{m+n} = f^m \circ f^n$

by (*induct m*) *simp-all*

lemma *funpow-swap1*:

$f(f^n x) = (f^n)(f x)$

proof –

have $f(f^n x) = (f^{n+1}) x$ **by** *simp*

also have $\dots = (f^n o f^1) x$ **by** (*simp only: funpow-add*)

also have $\dots = (f^n)(f x)$ **by** *simp*

finally show *?thesis* .

qed

15.6 Embedding of the Naturals into any *semiring-1*: *of-nat*

context *semiring-1*

begin

primrec

of-nat :: *nat* \Rightarrow *'a*

where

of-nat-0: $of\text{-}nat\ 0 = 0$

| *of-nat-Suc*: $of\text{-}nat\ (Suc\ m) = 1 + of\text{-}nat\ m$

lemma *of-nat-1* [*simp*]: $of\text{-}nat\ 1 = 1$

unfolding *One-nat-def* **by** *simp*

lemma *of-nat-add* [*simp*]: $of\text{-}nat\ (m + n) = of\text{-}nat\ m + of\text{-}nat\ n$

by (*induct m*) (*simp-all add: add-ac*)

lemma *of-nat-mult*: $of\text{-}nat\ (m * n) = of\text{-}nat\ m * of\text{-}nat\ n$

by (*induct m*) (*simp-all add: add-ac left-distrib*)

primrec *of-nat-aux* :: (*'a* \Rightarrow *'a*) \Rightarrow *nat* \Rightarrow *'a* \Rightarrow *'a* **where**

of-nat-aux inc 0 i = *i*

| *of-nat-aux inc (Suc n) i* = *of-nat-aux inc n (inc i)* — tail recursive

lemma *of-nat-code*:

$of\text{-}nat\ n = of\text{-}nat\text{-}aux\ (\lambda i. i + 1)\ n\ 0$

proof (*induct n*)

case 0 **then show** *?case* **by** *simp*

next

case (*Suc n*)

have $\bigwedge i. of\text{-}nat\text{-}aux\ (\lambda i. i + 1)\ n\ (i + 1) = of\text{-}nat\text{-}aux\ (\lambda i. i + 1)\ n\ i + 1$

by (*induct n*) *simp-all*

from this [*of 0*] **have** $of\text{-}nat\text{-}aux\ (\lambda i. i + 1)\ n\ 1 = of\text{-}nat\text{-}aux\ (\lambda i. i + 1)\ n\ 0 + 1$

by *simp*

with *Suc* **show** *?case* **by** (*simp add: add-commute*)

qed

end

declare *of-nat-code* [*code*, *code-unfold*, *code-inline del*]

Class for unital semirings with characteristic zero. Includes non-ordered rings like the complex numbers.

class *semiring-char-0* = *semiring-1* +
assumes *of-nat-eq-iff* [*simp*]: *of-nat m = of-nat n* \longleftrightarrow *m = n*
begin

Special cases where either operand is zero

lemma *of-nat-0-eq-iff* [*simp*, *no-atp*]: *0 = of-nat n* \longleftrightarrow *0 = n*
by (*rule of-nat-eq-iff* [*of 0 n*, *unfolded of-nat-0*])

lemma *of-nat-eq-0-iff* [*simp*, *no-atp*]: *of-nat m = 0* \longleftrightarrow *m = 0*
by (*rule of-nat-eq-iff* [*of m 0*, *unfolded of-nat-0*])

lemma *inj-of-nat*: *inj of-nat*
by (*simp add: inj-on-def*)

end

context *linordered-semidom*
begin

lemma *zero-le-imp-of-nat*: *0 ≤ of-nat m*
by (*induct m*) *simp-all*

lemma *less-imp-of-nat-less*: *m < n* \implies *of-nat m < of-nat n*
apply (*induct m n rule: diff-induct, simp-all*)
apply (*rule add-pos-nonneg* [*OF zero-less-one zero-le-imp-of-nat*])
done

lemma *of-nat-less-imp-less*: *of-nat m < of-nat n* \implies *m < n*
apply (*induct m n rule: diff-induct, simp-all*)
apply (*insert zero-le-imp-of-nat*)
apply (*force simp add: not-less* [*symmetric*])
done

lemma *of-nat-less-iff* [*simp*]: *of-nat m < of-nat n* \longleftrightarrow *m < n*
by (*blast intro: of-nat-less-imp-less less-imp-of-nat-less*)

lemma *of-nat-le-iff* [*simp*]: *of-nat m ≤ of-nat n* \longleftrightarrow *m ≤ n*
by (*simp add: not-less* [*symmetric*] *linorder-not-less* [*symmetric*])

Every *linordered-semidom* has characteristic zero.

subclass *semiring-char-0*

proof qed (*simp add: eq-iff order-eq-iff*)

Special cases where either operand is zero

lemma *of-nat-0-le-iff* [*simp*]: $0 \leq \text{of-nat } n$
by (*rule of-nat-le-iff [of 0, simplified]*)

lemma *of-nat-le-0-iff* [*simp, no-atp*]: $\text{of-nat } m \leq 0 \longleftrightarrow m = 0$
by (*rule of-nat-le-iff [of - 0, simplified]*)

lemma *of-nat-0-less-iff* [*simp*]: $0 < \text{of-nat } n \longleftrightarrow 0 < n$
by (*rule of-nat-less-iff [of 0, simplified]*)

lemma *of-nat-less-0-iff* [*simp*]: $\neg \text{of-nat } m < 0$
by (*rule of-nat-less-iff [of - 0, simplified]*)

end

context *ring-1*
begin

lemma *of-nat-diff*: $n \leq m \implies \text{of-nat } (m - n) = \text{of-nat } m - \text{of-nat } n$
by (*simp add: algebra-simps of-nat-add [symmetric]*)

end

context *linordered-idom*
begin

lemma *abs-of-nat* [*simp*]: $|\text{of-nat } n| = \text{of-nat } n$
unfolding *abs-if* **by** *auto*

end

lemma *of-nat-id* [*simp*]: $\text{of-nat } n = n$
by (*induct n*) *simp-all*

lemma *of-nat-eq-id* [*simp*]: $\text{of-nat} = \text{id}$
by (*auto simp add: expand-fun-eq*)

15.7 The Set of Natural Numbers

context *semiring-1*
begin

definition
Nats :: 'a set **where**
 [*code del*]: *Nats* = *range of-nat*

notation (*xsymbols*)

Nats (\mathbb{N})

lemma *of-nat-in-Nats* [*simp*]: *of-nat* $n \in \mathbb{N}$
by (*simp add: Nats-def*)

lemma *Nats-0* [*simp*]: $0 \in \mathbb{N}$
apply (*simp add: Nats-def*)
apply (*rule range-eqI*)
apply (*rule of-nat-0 [symmetric]*)
done

lemma *Nats-1* [*simp*]: $1 \in \mathbb{N}$
apply (*simp add: Nats-def*)
apply (*rule range-eqI*)
apply (*rule of-nat-1 [symmetric]*)
done

lemma *Nats-add* [*simp*]: $a \in \mathbb{N} \implies b \in \mathbb{N} \implies a + b \in \mathbb{N}$
apply (*auto simp add: Nats-def*)
apply (*rule range-eqI*)
apply (*rule of-nat-add [symmetric]*)
done

lemma *Nats-mult* [*simp*]: $a \in \mathbb{N} \implies b \in \mathbb{N} \implies a * b \in \mathbb{N}$
apply (*auto simp add: Nats-def*)
apply (*rule range-eqI*)
apply (*rule of-nat-mult [symmetric]*)
done

lemma *Nats-cases* [*cases set: Nats*]:
assumes $x \in \mathbb{N}$
obtains (*of-nat*) n **where** $x = \text{of-nat } n$
unfolding *Nats-def*
proof –
from $\langle x \in \mathbb{N} \rangle$ **have** $x \in \text{range of-nat}$ **unfolding** *Nats-def* .
then obtain n **where** $x = \text{of-nat } n$..
then show *thesis* ..
qed

lemma *Nats-induct* [*case-names of-nat, induct set: Nats*]:
 $x \in \mathbb{N} \implies (\bigwedge n. P (\text{of-nat } n)) \implies P x$
by (*rule Nats-cases*) *auto*

end

15.8 Further Arithmetic Facts Concerning the Natural Numbers

lemma *subst-equals*:

```

    assumes 1:  $t = s$  and 2:  $u = t$ 
    shows  $u = s$ 
    using 2 1 by (rule trans)

setup Arith-Data.setup

use Tools/nat-arith.ML
declaration  $\ll K \text{ Nat-Arith.setup} \gg$ 

use Tools/lin-arith.ML
setup  $\ll \text{Lin-Arith.global-setup} \gg$ 
declaration  $\ll K \text{ Lin-Arith.setup} \gg$ 

lemmas [arith-split] = nat-diff-split split-min split-max

context order
begin

lemma lift-Suc-mono-le:
  assumes mono:  $!!n. f\ n \leq f(\text{Suc } n)$  and  $n \leq n'$ 
  shows  $f\ n \leq f\ n'$ 
proof (cases  $n < n'$ )
  case True
  thus ?thesis
    by (induct  $n\ n'$  rule: less-Suc-induct[consumes 1]) (auto intro: mono)
qed (insert  $\langle n \leq n' \rangle$ , auto) — trivial for  $n = n'$ 

lemma lift-Suc-mono-less:
  assumes mono:  $!!n. f\ n < f(\text{Suc } n)$  and  $n < n'$ 
  shows  $f\ n < f\ n'$ 
using  $\langle n < n' \rangle$ 
by (induct  $n\ n'$  rule: less-Suc-induct[consumes 1]) (auto intro: mono)

lemma lift-Suc-mono-less-iff:
  ( $!!n. f\ n < f(\text{Suc } n)$ )  $\implies f(n) < f(m) \longleftrightarrow n < m$ 
by (blast intro: less-asym' lift-Suc-mono-less[of f]
    dest: linorder-not-less[THEN iffD1] le-eq-less-or-eq[THEN iffD1])

end

lemma mono-iff-le-Suc:  $\text{mono } f = (\forall n. f\ n \leq f(\text{Suc } n))$ 
unfolding mono-def
by (auto intro: lift-Suc-mono-le[of f])

lemma mono-nat-linear-lb:
  ( $!!m\ n::\text{nat}. m < n \implies f\ m < f\ n$ )  $\implies f(m)+k \leq f(m+k)$ 
apply (induct-tac k)
  apply simp
  apply (erule-tac  $x=m+n$  in meta-alle)

```

apply(*erule-tac* $x = \text{Suc}(m+n)$ **in** *meta-allE*)
apply *simp*
done

Subtraction laws, mostly by Clemens Ballarin

lemma *diff-less-mono*: $[[\ a < (b::nat); \ c \leq a \] \implies a - c < b - c]$
by *arith*

lemma *less-diff-conv*: $(i < j - k) = (i + k < (j::nat))$
by *arith*

lemma *le-diff-conv*: $(j - k \leq (i::nat)) = (j \leq i + k)$
by *arith*

lemma *le-diff-conv2*: $k \leq j \implies (i \leq j - k) = (i + k \leq (j::nat))$
by *arith*

lemma *diff-diff-cancel* [*simp*]: $i \leq (n::nat) \implies n - (n - i) = i$
by *arith*

lemma *le-add-diff*: $k \leq (n::nat) \implies m \leq n + m - k$
by *arith*

lemma *diff-less* [*simp*]: $!!m::nat. \ [\ 0 < n; \ 0 < m \] \implies m - n < m$
by *arith*

Simplification of relational expressions involving subtraction

lemma *diff-diff-eq*: $[[\ k \leq m; \ k \leq (n::nat) \] \implies ((m - k) - (n - k)) = (m - n)]$
by (*simp split add: nat-diff-split*)

hide-fact (**open**) *diff-diff-eq*

lemma *eq-diff-iff*: $[[\ k \leq m; \ k \leq (n::nat) \] \implies (m - k = n - k) = (m = n)]$
by (*auto split add: nat-diff-split*)

lemma *less-diff-iff*: $[[\ k \leq m; \ k \leq (n::nat) \] \implies (m - k < n - k) = (m < n)]$
by (*auto split add: nat-diff-split*)

lemma *le-diff-iff*: $[[\ k \leq m; \ k \leq (n::nat) \] \implies (m - k \leq n - k) = (m \leq n)]$
by (*auto split add: nat-diff-split*)

(Anti)Monotonicity of subtraction – by Stephan Merz

lemma *diff-le-mono*: $m \leq (n::nat) \implies (m - l) \leq (n - l)$
by (*simp split add: nat-diff-split*)

lemma *diff-le-mono2*: $m \leq (n::nat) \implies (l - n) \leq (l - m)$
by (*simp split add: nat-diff-split*)

lemma *diff-less-mono2*: $[[m < (n::nat); m < l]] ==> (l-n) < (l-m)$
by (*simp split add: nat-diff-split*)

lemma *diffs0-imp-equal*: $!!m::nat. [[m-n = 0; n-m = 0]] ==> m=n$
by (*simp split add: nat-diff-split*)

lemma *min-diff*: $\min (m - (i::nat)) (n - i) = \min m n - i$
by *auto*

lemma *inj-on-diff-nat*:
assumes *k-le-n*: $\forall n \in N. k \leq (n::nat)$
shows *inj-on* $(\lambda n. n - k)$ *N*
proof (*rule inj-onI*)
fix *x y*
assume *a*: $x \in N \ y \in N \ x - k = y - k$
with *k-le-n* **have** $x - k + k = y - k + k$ **by** *auto*
with *a k-le-n* **show** $x = y$ **by** *auto*
qed

Rewriting to pull differences out

lemma *diff-diff-right* [*simp*]: $k \leq j \rightarrow i - (j - k) = i + (k::nat) - j$
by *arith*

lemma *diff-Suc-diff-eq1* [*simp*]: $k \leq j \rightarrow m - \text{Suc } (j - k) = m + k - \text{Suc } j$
by *arith*

lemma *diff-Suc-diff-eq2* [*simp*]: $k \leq j \rightarrow \text{Suc } (j - k) - m = \text{Suc } j - (k + m)$
by *arith*

Lemmas for ex/Factorization

lemma *one-less-mult*: $[[\text{Suc } 0 < n; \text{Suc } 0 < m]] ==> \text{Suc } 0 < m*n$
by (*cases m*) *auto*

lemma *n-less-m-mult-n*: $[[\text{Suc } 0 < n; \text{Suc } 0 < m]] ==> n < m*n$
by (*cases m*) *auto*

lemma *n-less-n-mult-m*: $[[\text{Suc } 0 < n; \text{Suc } 0 < m]] ==> n < n*m$
by (*cases m*) *auto*

Specialized induction principles that work ”backwards”:

lemma *inc-induct*[*consumes 1, case-names base step*]:
assumes *less*: $i \leq j$
assumes *base*: $P j$
assumes *step*: $!!i. [[i < j; P (\text{Suc } i)]] ==> P i$
shows $P i$
using *less*
proof (*induct d==j - i arbitrary: i*)
case $(0 \ i)$
hence $i = j$ **by** *simp*

```

  with base show ?case by simp
next
  case (Suc d i)
  hence  $i < j$  P (Suc i)
  by simp-all
  thus P i by (rule step)
qed

```

lemma *strict-inc-induct*[consumes 1, case-names base step]:

```

  assumes less:  $i < j$ 
  assumes base:  $!!i. j = \text{Suc } i \implies P \ i$ 
  assumes step:  $!!i. [i < j; P \ (\text{Suc } i)] \implies P \ i$ 
  shows P i
  using less
proof (induct  $d == j - i - 1$  arbitrary: i)
  case (0 i)
  with  $\langle i < j \rangle$  have  $j = \text{Suc } i$  by simp
  with base show ?case by simp
next
  case (Suc d i)
  hence  $i < j$  P (Suc i)
  by simp-all
  thus P i by (rule step)
qed

```

lemma *zero-induct-lemma*: $P \ k \implies (!!n. P \ (\text{Suc } n) \implies P \ n) \implies P \ (k - i)$
 using *inc-induct*[of $k - i$ k P, simplified] by blast

lemma *zero-induct*: $P \ k \implies (!!n. P \ (\text{Suc } n) \implies P \ n) \implies P \ 0$
 using *inc-induct*[of 0 k P] by blast

```

lemmas add-diff-assoc = diff-add-assoc [symmetric]
lemmas add-diff-assoc2 = diff-add-assoc2[symmetric]
declare diff-diff-left [simp] add-diff-assoc [simp] add-diff-assoc2[simp]

```

At present we prove no analogue of *not-less-Least* or *Least-Suc*, since there appears to be no need.

15.9 The divides relation on nat

lemma *dvd-1-left* [iff]: $\text{Suc } 0 \text{ dvd } k$
 unfolding *dvd-def* by simp

lemma *dvd-1-iff-1* [simp]: $(m \text{ dvd } \text{Suc } 0) = (m = \text{Suc } 0)$
 by (simp add: *dvd-def*)

lemma *nat-dvd-1-iff-1* [simp]: $m \text{ dvd } (1::\text{nat}) \longleftrightarrow m = 1$
 by (simp add: *dvd-def*)


```

lemma dvd-antisym: [| m dvd n; n dvd m |] ==> m = (n::nat)
  unfolding dvd-def
  by (force dest: mult-eq-self-implies-10 simp add: mult-assoc)

```

op dvd is a partial order

```

interpretation dvd: order op dvd λn m :: nat. n dvd m ∧ ¬ m dvd n
  proof qed (auto intro: dvd-refl dvd-trans dvd-antisym)

```

```

lemma dvd-diff-nat[simp]: [| k dvd m; k dvd n |] ==> k dvd (m-n :: nat)
unfolding dvd-def
by (blast intro: diff-mult-distrib2 [symmetric])

```

```

lemma dvd-diffD: [| k dvd m-n; k dvd n; n ≤ m |] ==> k dvd (m::nat)
  apply (erule linorder-not-less [THEN iffD2, THEN add-diff-inverse, THEN
subst])
  apply (blast intro: dvd-add)
  done

```

```

lemma dvd-diffD1: [| k dvd m-n; k dvd m; n ≤ m |] ==> k dvd (n::nat)
by (drule-tac m = m in dvd-diff-nat, auto)

```

```

lemma dvd-reduce: (k dvd n + k) = (k dvd (n::nat))
  apply (rule iffI)
  apply (erule-tac [2] dvd-add)
  apply (rule-tac [2] dvd-refl)
  apply (subgoal-tac n = (n+k) -k)
  prefer 2 apply simp
  apply (erule ssubst)
  apply (erule dvd-diff-nat)
  apply (rule dvd-refl)
  done

```

```

lemma dvd-mult-cancel: !!k::nat. [| k*m dvd k*n; 0 < k |] ==> m dvd n
  unfolding dvd-def
  apply (erule exE)
  apply (simp add: mult-ac)
  done

```

```

lemma dvd-mult-cancel1: 0 < m ==> (m*n dvd m) = (n = (1::nat))
  apply auto
  apply (subgoal-tac m*n dvd m*1)
  apply (drule dvd-mult-cancel, auto)
  done

```

```

lemma dvd-mult-cancel2: 0 < m ==> (n*m dvd m) = (n = (1::nat))
  apply (subst mult-commute)
  apply (erule dvd-mult-cancel1)
  done

```

```
lemma dvd-imp-le: [| k dvd n; 0 < n |] ==> k ≤ (n::nat)
by (auto elim!: dvdE) (auto simp add: gr0-conv-Suc)
```

```
lemma nat-dvd-not-less:
  fixes m n :: nat
  shows 0 < m ==> m < n ==> ¬ n dvd m
by (auto elim!: dvdE) (auto simp add: gr0-conv-Suc)
```

15.10 size of a datatype value

```
class size =
  fixes size :: 'a ⇒ nat — see further theory Wellfounded
```

15.11 code module namespace

```
code-modulename SML
  Nat Arith
```

```
code-modulename OCaml
  Nat Arith
```

```
code-modulename Haskell
  Nat Arith
```

```
end
```

16 Datatype: Datatype package: constructing datatypes from Cartesian Products and Disjoint Sums

```
theory Datatype
imports Product-Type Sum-Type Nat
uses
  (Tools/Datatype/datatype.ML)
  (Tools/inductive-realizer.ML)
  (Tools/Datatype/datatype-realizer.ML)
begin
```

16.1 The datatype universe

```
typedef (Node)
  ('a,'b) node = {p. EX f x k. p = (f::nat=>'b+nat, x::'a+nat) & f k = Inr 0}
  — it is a subtype of (nat=>'b+nat) * ('a+nat)
by auto
```

Datatypes will be represented by sets of type *node*

```
types 'a item = ('a, unit) node set
```

$$('a, 'b) \text{ dtree} = ('a, 'b) \text{ node set}$$

consts

$$\text{Push} \quad :: [('b + \text{nat}), \text{nat} \Rightarrow ('b + \text{nat})] \Rightarrow (\text{nat} \Rightarrow ('b + \text{nat}))$$

$$\text{Push-Node} \quad :: [('b + \text{nat}), ('a, 'b) \text{ node}] \Rightarrow ('a, 'b) \text{ node}$$

$$\text{ndepth} \quad :: ('a, 'b) \text{ node} \Rightarrow \text{nat}$$

$$\text{Atom} \quad :: ('a + \text{nat}) \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{Leaf} \quad :: 'a \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{Numb} \quad :: \text{nat} \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{Scons} \quad :: [('a, 'b) \text{ dtree}, ('a, 'b) \text{ dtree}] \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{In0} \quad :: ('a, 'b) \text{ dtree} \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{In1} \quad :: ('a, 'b) \text{ dtree} \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{Lim} \quad :: ('b \Rightarrow ('a, 'b) \text{ dtree}) \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{ntrunc} \quad :: [\text{nat}, ('a, 'b) \text{ dtree}] \Rightarrow ('a, 'b) \text{ dtree}$$

$$\text{uprod} \quad :: [('a, 'b) \text{ dtree set}, ('a, 'b) \text{ dtree set}] \Rightarrow ('a, 'b) \text{ dtree set}$$

$$\text{usum} \quad :: [('a, 'b) \text{ dtree set}, ('a, 'b) \text{ dtree set}] \Rightarrow ('a, 'b) \text{ dtree set}$$

$$\text{Split} \quad :: [[('a, 'b) \text{ dtree}, ('a, 'b) \text{ dtree}] \Rightarrow 'c, ('a, 'b) \text{ dtree}] \Rightarrow 'c$$

$$\text{Case} \quad :: [[('a, 'b) \text{ dtree}] \Rightarrow 'c, [('a, 'b) \text{ dtree}] \Rightarrow 'c, ('a, 'b) \text{ dtree}] \Rightarrow 'c$$

$$\text{dprod} \quad :: [((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}, ((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}) \Rightarrow ((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set})$$

$$\text{dsum} \quad :: [((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}, ((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set}) \Rightarrow ((('a, 'b) \text{ dtree} * ('a, 'b) \text{ dtree}) \text{ set})$$

defs

$$\text{Push-Node-def: } \text{Push-Node} == (\%n \ x. \text{Abs-Node} (\text{apfst} (\text{Push} \ n) (\text{Rep-Node} \ x)))$$

$$\text{Push-def: } \text{Push} == (\%b \ h. \text{nat-case} \ b \ h)$$

$$\text{Atom-def: } \text{Atom} == (\%x. \{\text{Abs-Node}((\%k. \text{Inr} \ 0, \ x))\})$$

$$\text{Scons-def: } \text{Scons} \ M \ N == (\text{Push-Node} \ (\text{Inr} \ 1) \ 'M) \ \text{Un} \ (\text{Push-Node} \ (\text{Inr} \ (\text{Suc} \ 1)) \ 'N)$$

$$\text{Leaf-def: } \text{Leaf} == \text{Atom} \ o \ \text{Inl}$$

$$\text{Numb-def: } \text{Numb} == \text{Atom} \ o \ \text{Inr}$$

In0-def: $In0(M) == Scons (Numb\ 0)\ M$

In1-def: $In1(M) == Scons (Numb\ 1)\ M$

Lim-def: $Lim\ f == Union\ \{z.\ ?\ x.\ z = PushNode\ (Inl\ x)\ '\ (f\ x)\}$

ndepth-def: $ndepth(n) == (\%(f,x).\ LEAST\ k.\ f\ k = Inr\ 0)\ (RepNode\ n)$

ntrunc-def: $ntrunc\ k\ N == \{n.\ n:N\ \&\ ndepth(n) < k\}$

uprod-def: $uprod\ A\ B == UN\ x:A.\ UN\ y:B.\ \{Scons\ x\ y\}$

usum-def: $usum\ A\ B == In0'A\ Un\ In1'B$

Split-def: $Split\ c\ M == THE\ u.\ EX\ x\ y.\ M = Scons\ x\ y\ \&\ u = c\ x\ y$

Case-def: $Case\ c\ d\ M == THE\ u.\ (EX\ x.\ M = In0(x)\ \&\ u = c(x))$
 $\quad\quad\quad | (EX\ y.\ M = In1(y)\ \&\ u = d(y))$

dprod-def: $dprod\ r\ s == UN\ (x,x'):r.\ UN\ (y,y'):s.\ \{(Scons\ x\ y,\ Scons\ x'\ y')\}$

dsum-def: $dsum\ r\ s == (UN\ (x,x'):r.\ \{(In0(x),In0(x'))\})\ Un$
 $\quad\quad\quad (UN\ (y,y'):s.\ \{(In1(y),In1(y'))\})$

lemma *apfst-convE:*

$\llbracket q = apfst\ f\ p;\ \! !!x\ y.\ \llbracket p = (x,y);\ q = (f(x),y)\ \rrbracket ==>\ R$
 $\llbracket \rrbracket ==>\ R$

by (*force simp add: apfst-def*)

lemma *Push-inject1:* $Push\ i\ f = Push\ j\ g ==>\ i=j$

apply (*simp add: Push-def expand-fun-eq*)

apply (*drule-tac x=0 in spec, simp*)

done

lemma *Push-inject2:* $Push\ i\ f = Push\ j\ g ==>\ f=g$

apply (*auto simp add: Push-def expand-fun-eq*)

apply (*drule-tac x=Suc x in spec, simp*)

done

lemma *Push-inject:*

$[[\text{Push } i \ f = \text{Push } j \ g; \ [[i=j; \ f=g]] ==> P]] ==> P$
by (*blast dest: Push-inject1 Push-inject2*)

lemma *Push-neq-K0*: $\text{Push } (\text{Inr } (\text{Suc } k)) \ f = (\%z. \text{Inr } 0) ==> P$
by (*auto simp add: Push-def expand-fun-eq split: nat.split-asm*)

lemmas *Abs-Node-inj* = *Abs-Node-inject* [*THEN* [2] *rev-iffD1*, *standard*]

lemma *Node-K0-I*: $(\%k. \text{Inr } 0, \ a) : \text{Node}$
by (*simp add: Node-def*)

lemma *Node-Push-I*: $p : \text{Node} ==> \text{apfst } (\text{Push } i) \ p : \text{Node}$
apply (*simp add: Node-def Push-def*)
apply (*fast intro!: apfst-conv nat-case-Suc [THEN trans]*)
done

16.2 Freeness: Distinctness of Constructors

lemma *Scons-not-Atom* [*iff*]: $\text{Scons } M \ N \neq \text{Atom}(a)$
unfolding *Atom-def Scons-def Push-Node-def One-nat-def*
by (*blast intro: Node-K0-I Rep-Node [THEN Node-Push-I]*
dest!: Abs-Node-inj
elim!: apfst-convE sym [THEN Push-neq-K0])

lemmas *Atom-not-Scons* [*iff*] = *Scons-not-Atom* [*THEN not-sym*, *standard*]

lemma *inj-Atom*: $\text{inj}(\text{Atom})$
apply (*simp add: Atom-def*)
apply (*blast intro!: inj-onI Node-K0-I dest!: Abs-Node-inj*)
done
lemmas *Atom-inject* = *inj-Atom* [*THEN injD*, *standard*]

lemma *Atom-Atom-eq* [*iff*]: $(\text{Atom}(a) = \text{Atom}(b)) = (a = b)$
by (*blast dest!: Atom-inject*)

lemma *inj-Leaf*: $\text{inj}(\text{Leaf})$
apply (*simp add: Leaf-def o-def*)
apply (*rule inj-onI*)
apply (*erule Atom-inject [THEN Inl-inject]*)
done

lemmas *Leaf-inject* [*dest!*] = *inj-Leaf* [*THEN injD, standard*]

lemma *inj-Numb*: *inj(Numb)*
apply (*simp add: Numb-def o-def*)
apply (*rule inj-onI*)
apply (*erule Atom-inject [THEN Inr-inject]*)
done

lemmas *Numb-inject* [*dest!*] = *inj-Numb* [*THEN injD, standard*]

lemma *Push-Node-inject*:

$$[[\text{Push-Node } i \ m = \text{Push-Node } j \ n; \ [i=j; \ m=n]] ==> P]$$

$$]] ==> P$$

apply (*simp add: Push-Node-def*)
apply (*erule Abs-Node-inj [THEN apfst-convE]*)
apply (*rule Rep-Node [THEN Node-Push-I]*)
apply (*erule sym [THEN apfst-convE]*)
apply (*blast intro: Rep-Node-inject [THEN iffD1] trans sym elim!: Push-inject*)
done

lemma *Scons-inject-lemma1*: *Scons M N <= Scons M' N' ==> M <= M'*
unfolding *Scons-def One-nat-def*
by (*blast dest!: Push-Node-inject*)

lemma *Scons-inject-lemma2*: *Scons M N <= Scons M' N' ==> N <= N'*
unfolding *Scons-def One-nat-def*
by (*blast dest!: Push-Node-inject*)

lemma *Scons-inject1*: *Scons M N = Scons M' N' ==> M = M'*
apply (*erule equalityE*)
apply (*iprover intro: equalityI Scons-inject-lemma1*)
done

lemma *Scons-inject2*: *Scons M N = Scons M' N' ==> N = N'*
apply (*erule equalityE*)
apply (*iprover intro: equalityI Scons-inject-lemma2*)
done

lemma *Scons-inject*:

$$[[\text{Scons } M \ N = \text{Scons } M' \ N'; \ [M=M'; \ N=N']] ==> P] ==> P$$

by (*iprover dest: Scons-inject1 Scons-inject2*)

lemma *Scons-Scons-eq [iff]*: $(\text{Scons } M \ N = \text{Scons } M' \ N') = (M=M' \ \& \ N=N')$

by (*blast elim! Scons-inject*)

lemma *Scons-not-Leaf* [iff]: *Scons M N* \neq *Leaf(a)*
unfolding *Leaf-def o-def* **by** (*rule Scons-not-Atom*)

lemmas *Leaf-not-Scons* [iff] = *Scons-not-Leaf* [THEN *not-sym, standard*]

lemma *Scons-not-Numb* [iff]: *Scons M N* \neq *Numb(k)*
unfolding *Numb-def o-def* **by** (*rule Scons-not-Atom*)

lemmas *Numb-not-Scons* [iff] = *Scons-not-Numb* [THEN *not-sym, standard*]

lemma *Leaf-not-Numb* [iff]: *Leaf(a)* \neq *Numb(k)*
by (*simp add: Leaf-def Numb-def*)

lemmas *Numb-not-Leaf* [iff] = *Leaf-not-Numb* [THEN *not-sym, standard*]

lemma *ndepth-K0*: *ndepth (Abs-Node(%k. Inr 0, x))* = 0
by (*simp add: ndepth-def Node-K0-I [THEN Abs-Node-inverse] Least-equality*)

lemma *ndepth-Push-Node-aux*:
nat-case (Inr (Suc i)) f k = Inr 0 --> Suc(LEAST x. f x = Inr 0) <= k
apply (*induct-tac k, auto*)
apply (*erule Least-le*)
done

lemma *ndepth-Push-Node*:
ndepth (Push-Node (Inr (Suc i)) n) = Suc(ndepth(n))
apply (*insert Rep-Node [of n, unfolded Node-def]*)
apply (*auto simp add: ndepth-def Push-Node-def*
Rep-Node [THEN Node-Push-I, THEN Abs-Node-inverse])
apply (*rule Least-equality*)
apply (*auto simp add: Push-def ndepth-Push-Node-aux*)
apply (*erule LeastI*)
done

lemma *ntrunc-0* [*simp*]: $ntrunc\ 0\ M = \{\}$
by (*simp add: ntrunc-def*)

lemma *ntrunc-Atom* [*simp*]: $ntrunc\ (Suc\ k)\ (Atom\ a) = Atom(a)$
by (*auto simp add: Atom-def ntrunc-def ndepth-K0*)

lemma *ntrunc-Leaf* [*simp*]: $ntrunc\ (Suc\ k)\ (Leaf\ a) = Leaf(a)$
unfolding *Leaf-def o-def* **by** (*rule ntrunc-Atom*)

lemma *ntrunc-Numb* [*simp*]: $ntrunc\ (Suc\ k)\ (Numb\ i) = Numb(i)$
unfolding *Numb-def o-def* **by** (*rule ntrunc-Atom*)

lemma *ntrunc-Scons* [*simp*]:
 $ntrunc\ (Suc\ k)\ (Scons\ M\ N) = Scons\ (ntrunc\ k\ M)\ (ntrunc\ k\ N)$
unfolding *Scons-def ntrunc-def One-nat-def*
by (*auto simp add: ndepth-Push-Node*)

lemma *ntrunc-one-In0* [*simp*]: $ntrunc\ (Suc\ 0)\ (In0\ M) = \{\}$
apply (*simp add: In0-def*)
apply (*simp add: Scons-def*)
done

lemma *ntrunc-In0* [*simp*]: $ntrunc\ (Suc(Suc\ k))\ (In0\ M) = In0\ (ntrunc\ (Suc\ k)\ M)$
by (*simp add: In0-def*)

lemma *ntrunc-one-In1* [*simp*]: $ntrunc\ (Suc\ 0)\ (In1\ M) = \{\}$
apply (*simp add: In1-def*)
apply (*simp add: Scons-def*)
done

lemma *ntrunc-In1* [*simp*]: $ntrunc\ (Suc(Suc\ k))\ (In1\ M) = In1\ (ntrunc\ (Suc\ k)\ M)$
by (*simp add: In1-def*)

16.3 Set Constructions

lemma *uprodI* [*intro!*]: $[| M:A; N:B |] ==> Scons\ M\ N : uprod\ A\ B$
by (*simp add: uprod-def*)

lemma *uprodE* [*elim!*]:
 $[| c : uprod\ A\ B;$


```

      !!x y. [| x:A; y:B; c = Scons x y |] ==> P
    [|] ==> P
  by (auto simp add: uprod-def)

```

```

lemma uprodE2: [| Scons M N : uprod A B; [| M:A; N:B |] ==> P |] ==> P
by (auto simp add: uprod-def)

```

```

lemma usum-In0I [intro]: M:A ==> In0(M) : usum A B
by (simp add: usum-def)

```

```

lemma usum-In1I [intro]: N:B ==> In1(N) : usum A B
by (simp add: usum-def)

```

```

lemma usumE [elim!]:
  [| u : usum A B;
    !!x. [| x:A; u=In0(x) |] ==> P;
    !!y. [| y:B; u=In1(y) |] ==> P
  |] ==> P
by (auto simp add: usum-def)

```

```

lemma In0-not-In1 [iff]: In0(M) ≠ In1(N)
unfolding In0-def In1-def One-nat-def by auto

```

```

lemmas In1-not-In0 [iff] = In0-not-In1 [THEN not-sym, standard]

```

```

lemma In0-inject: In0(M) = In0(N) ==> M=N
by (simp add: In0-def)

```

```

lemma In1-inject: In1(M) = In1(N) ==> M=N
by (simp add: In1-def)

```

```

lemma In0-eq [iff]: (In0 M = In0 N) = (M=N)
by (blast dest!: In0-inject)

```

```

lemma In1-eq [iff]: (In1 M = In1 N) = (M=N)
by (blast dest!: In1-inject)

```

```

lemma inj-In0: inj In0
by (blast intro!: inj-onI)

```

```

lemma inj-In1: inj In1

```

by (*blast intro!*: *inj-onI*)

lemma *Lim-inject*: $\text{Lim } f = \text{Lim } g \implies f = g$
apply (*simp add*: *Lim-def*)
apply (*rule ext*)
apply (*blast elim!*: *Push-Node-inject*)
done

lemma *ntrunc-subsetI*: $\text{ntrunc } k \ M \leq M$
by (*auto simp add*: *ntrunc-def*)

lemma *ntrunc-subsetD*: $(!!k. \text{ntrunc } k \ M \leq N) \implies M \leq N$
by (*auto simp add*: *ntrunc-def*)

lemma *ntrunc-equality*: $(!!k. \text{ntrunc } k \ M = \text{ntrunc } k \ N) \implies M = N$
apply (*rule equalityI*)
apply (*rule-tac* [!] *ntrunc-subsetD*)
apply (*rule-tac* [!] *ntrunc-subsetI* [*THEN* [2] *subset-trans*], *auto*)
done

lemma *ntrunc-o-equality*:
 $[!k. (\text{ntrunc}(k) \ o \ h1) = (\text{ntrunc}(k) \ o \ h2)] \implies h1 = h2$
apply (*rule ntrunc-equality* [*THEN ext*])
apply (*simp add*: *expand-fun-eq*)
done

lemma *uprod-mono*: $[! A \leq A'; B \leq B'] \implies \text{uprod } A \ B \leq \text{uprod } A' \ B'$
by (*simp add*: *uprod-def*, *blast*)

lemma *usum-mono*: $[! A \leq A'; B \leq B'] \implies \text{usum } A \ B \leq \text{usum } A' \ B'$
by (*simp add*: *usum-def*, *blast*)

lemma *Scons-mono*: $[! M \leq M'; N \leq N'] \implies \text{Scons } M \ N \leq \text{Scons } M' \ N'$
by (*simp add*: *Scons-def*, *blast*)

lemma *In0-mono*: $M \leq N \implies \text{In0}(M) \leq \text{In0}(N)$
by (*simp add*: *In0-def Scons-mono*)

lemma *In1-mono*: $M \leq N \implies \text{In1}(M) \leq \text{In1}(N)$

by (*simp add: In1-def Scons-mono*)

lemma *Split* [*simp*]: *Split* *c* (*Scons* *M* *N*) = *c* *M* *N*
by (*simp add: Split-def*)

lemma *Case-In0* [*simp*]: *Case* *c* *d* (*In0* *M*) = *c*(*M*)
by (*simp add: Case-def*)

lemma *Case-In1* [*simp*]: *Case* *c* *d* (*In1* *N*) = *d*(*N*)
by (*simp add: Case-def*)

lemma *ntrunc-UN1*: *ntrunc* *k* (*UN* *x*. *f*(*x*)) = (*UN* *x*. *ntrunc* *k* (*f* *x*))
by (*simp add: ntrunc-def, blast*)

lemma *Scons-UN1-x*: *Scons* (*UN* *x*. *f* *x*) *M* = (*UN* *x*. *Scons* (*f* *x*) *M*)
by (*simp add: Scons-def, blast*)

lemma *Scons-UN1-y*: *Scons* *M* (*UN* *x*. *f* *x*) = (*UN* *x*. *Scons* *M* (*f* *x*))
by (*simp add: Scons-def, blast*)

lemma *In0-UN1*: *In0*(*UN* *x*. *f*(*x*)) = (*UN* *x*. *In0*(*f*(*x*)))
by (*simp add: In0-def Scons-UN1-y*)

lemma *In1-UN1*: *In1*(*UN* *x*. *f*(*x*)) = (*UN* *x*. *In1*(*f*(*x*)))
by (*simp add: In1-def Scons-UN1-y*)

lemma *dprodI* [*intro!*]:

$$[[(M, M'):r; (N, N'):s]] ==> (Scons\ M\ N, Scons\ M'\ N') : dprod\ r\ s$$
by (*auto simp add: dprod-def*)

lemma *dprodE* [*elim!*]:

$$[[c : dprod\ r\ s; \\ !!x\ y\ x'\ y'. [[(x, x') : r; (y, y') : s; \\ c = (Scons\ x\ y, Scons\ x'\ y')]] ==> P \\]] ==> P$$
by (*auto simp add: dprod-def*)

lemma *dsum-In0I* [intro]: $(M, M') : r ==> (In0(M), In0(M')) : dsum\ r\ s$
by (*auto simp add: dsum-def*)

lemma *dsum-In1I* [intro]: $(N, N') : s ==> (In1(N), In1(N')) : dsum\ r\ s$
by (*auto simp add: dsum-def*)

lemma *dsumE* [elim!]:

$$\begin{aligned} &[[\ w : dsum\ r\ s; \\ &\quad !!x\ x'.\ [[\ (x, x') : r;\ w = (In0(x), In0(x'))\]\] ==> P; \\ &\quad !!y\ y'.\ [[\ (y, y') : s;\ w = (In1(y), In1(y'))\]\] ==> P \\ &\quad]\] ==> P \end{aligned}$$

by (*auto simp add: dsum-def*)

lemma *dprod-mono*: $[[\ r <= r';\ s <= s'\]\] ==> dprod\ r\ s <= dprod\ r'\ s'$
by *blast*

lemma *dsum-mono*: $[[\ r <= r';\ s <= s'\]\] ==> dsum\ r\ s <= dsum\ r'\ s'$
by *blast*

lemma *dprod-Sigma*: $(dprod\ (A\ <*>\ B)\ (C\ <*>\ D)) <= (uprod\ A\ C)\ <*>\ (uprod\ B\ D)$
by *blast*

lemmas *dprod-subset-Sigma* = *subset-trans* [*OF* *dprod-mono* *dprod-Sigma*, *standard*]

lemma *dprod-subset-Sigma2*:

$$(dprod\ (Sigma\ A\ B)\ (Sigma\ C\ D)) <=$$

$$Sigma\ (uprod\ A\ C)\ (Split\ (\%x\ y.\ uprod\ (B\ x)\ (D\ y)))$$

by *auto*

lemma *dsum-Sigma*: $(dsum\ (A\ <*>\ B)\ (C\ <*>\ D)) <= (usum\ A\ C)\ <*>\ (usum\ B\ D)$
by *blast*

lemmas *dsum-subset-Sigma* = *subset-trans* [*OF* *dsum-mono* *dsum-Sigma*, *standard*]

hides popular names

hide-type (*open*) *node item*

hide-const (**open**) *Push Node Atom Leaf Numb Lim Split Case*

use *Tools/Datatype/datatype.ML*

use *Tools/inductive-realizer.ML*

setup *InductiveRealizer.setup*

use *Tools/Datatype/datatype-realizer.ML*

setup *Datatype-Realizer.setup*

end

17 Record: Extensible records with structural subtyping

theory *Record*

imports *Datatype*

uses (*Tools/record.ML*)

begin

17.1 Introduction

Records are isomorphic to compound tuple types. To implement efficient records, we make this isomorphism explicit. Consider the record access/update simplification $\alpha (beta\text{-update } f \text{ rec}) = \alpha \text{ rec}$ for distinct fields α and β of some record rec with n fields. There are n^2 such theorems, which prohibits storage of all of them for large n . The rules can be proved on the fly by case decomposition and simplification in $O(n)$ time. By creating $O(n)$ isomorphic-tuple types while defining the record, however, we can prove the access/update simplification in $O(\log(n)^2)$ time.

The $O(n)$ cost of case decomposition is not because $O(n)$ steps are taken, but rather because the resulting rule must contain $O(n)$ new variables and an $O(n)$ size concrete record construction. To sidestep this cost, we would like to avoid case decomposition in proving access/update theorems.

Record types are defined as isomorphic to tuple types. For instance, a record type with fields $'a$, $'b$, $'c$ and $'d$ might be introduced as isomorphic to $'a \times ('b \times ('c \times 'd))$. If we balance the tuple tree to $('a \times 'b) \times ('c \times 'd)$ then accessors can be defined by converting to the underlying type then using $O(\log(n))$ *fst* or *snd* operations. Updaters can be defined similarly, if we introduce a *fst-update* and *snd-update* function. Furthermore, we can prove the access/update theorem in $O(\log(n))$ steps by using simple rewrites on *fst*, *snd*, *fst-update* and *snd-update*.

The catch is that, although $O(\log(n))$ steps were taken, the underlying type we converted to is a tuple tree of size $O(n)$. Processing this term type wastes

performance. We avoid this for large n by taking each subtree of size K and defining a new type isomorphic to that tuple subtree. A record can now be defined as isomorphic to a tuple tree of these $O(n/K)$ new types, or, if $n > K * K$, we can repeat the process, until the record can be defined in terms of a tuple tree of complexity less than the constant K .

If we prove the access/update theorem on this type with the analagous steps to the tuple tree, we consume $O(\log(n)^2)$ time as the intermediate terms are $O(\log(n))$ in size and the types needed have size bounded by K . To enable this analagous traversal, we define the functions seen below: *iso-tuple-fst*, *iso-tuple-snd*, *iso-tuple-fst-update* and *iso-tuple-snd-update*. These functions generalise tuple operations by taking a parameter that encapsulates a tuple isomorphism. The rewrites needed on these functions now need an additional assumption which is that the isomorphism works.

These rewrites are typically used in a structured way. They are here presented as the introduction rule *isomorphic-tuple.intros* rather than as a rewrite rule set. The introduction form is an optimisation, as net matching can be performed at one term location for each step rather than the simplifier searching the term for possible pattern matches. The rule set is used as it is viewed outside the locale, with the locale assumption (that the isomorphism is valid) left as a rule assumption. All rules are structured to aid net matching, using either a point-free form or an encapsulating predicate.

17.2 Operators and lemmas for types isomorphic to tuples

datatype ($'a$, $'b$, $'c$) *tuple-isomorphism* =
Tuple-Isomorphism $'a \Rightarrow 'b \times 'c$ $'b \times 'c \Rightarrow 'a$

primrec

repr :: ($'a$, $'b$, $'c$) *tuple-isomorphism* $\Rightarrow 'a \Rightarrow 'b \times 'c$ **where**
repr (*Tuple-Isomorphism* r a) = r

primrec

abst :: ($'a$, $'b$, $'c$) *tuple-isomorphism* $\Rightarrow 'b \times 'c \Rightarrow 'a$ **where**
abst (*Tuple-Isomorphism* r a) = a

definition

iso-tuple-fst :: ($'a$, $'b$, $'c$) *tuple-isomorphism* $\Rightarrow 'a \Rightarrow 'b$ **where**
iso-tuple-fst isom = *fst* \circ *repr isom*

definition

iso-tuple-snd :: ($'a$, $'b$, $'c$) *tuple-isomorphism* $\Rightarrow 'a \Rightarrow 'c$ **where**
iso-tuple-snd isom = *snd* \circ *repr isom*

definition

iso-tuple-fst-update ::
 $('a, 'b, 'c)$ *tuple-isomorphism* $\Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'a)$ **where**

iso-tuple-fst-update isom $f = \text{abst isom} \circ \text{apfst } f \circ \text{repr isom}$

definition

iso-tuple-snd-update ::
 $('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow ('c \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'a) \text{ where}$
iso-tuple-snd-update isom $f = \text{abst isom} \circ \text{apsnd } f \circ \text{repr isom}$

definition

iso-tuple-cons ::
 $('a, 'b, 'c) \text{ tuple-isomorphism} \Rightarrow 'b \Rightarrow 'c \Rightarrow 'a \text{ where}$
iso-tuple-cons isom $= \text{curry } (\text{abst isom})$

17.3 Logical infrastructure for records

definition

iso-tuple-surjective-proof-assist :: $'a \Rightarrow 'b \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$ **where**
iso-tuple-surjective-proof-assist $x \ y \ f \longleftrightarrow f \ x = y$

definition

iso-tuple-update-accessor-cong-assist ::
 $((b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'a)) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$ **where**
iso-tuple-update-accessor-cong-assist $\text{upd } \text{acc} \longleftrightarrow$
 $(\forall f \ v. \text{upd } (\lambda x. f \ (\text{acc } v)) \ v = \text{upd } f \ v) \wedge (\forall v. \text{upd } \text{id } v = v)$

definition

iso-tuple-update-accessor-eq-assist ::
 $((b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'a)) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow \text{bool}$

where

iso-tuple-update-accessor-eq-assist $\text{upd } \text{acc } v \ f \ v' \ x \longleftrightarrow$
 $\text{upd } f \ v = v' \wedge \text{acc } v = x \wedge \text{iso-tuple-update-accessor-cong-assist } \text{upd } \text{acc}$

lemma *update-accessor-congruence-foldE*:

assumes *uac*: *iso-tuple-update-accessor-cong-assist* *upd* *acc*

and *r*: $r = r'$ **and** *v*: $\text{acc } r' = v'$

and *f*: $\bigwedge v. v' = v \implies f \ v = f' \ v$

shows $\text{upd } f \ r = \text{upd } f' \ r'$

using *uac* *r* *v* [symmetric]

apply (*subgoal-tac* $\text{upd } (\lambda x. f \ (\text{acc } r')) \ r' = \text{upd } (\lambda x. f' \ (\text{acc } r')) \ r'$)

apply (*simp* *add*: *iso-tuple-update-accessor-cong-assist-def*)

apply (*simp* *add*: *f*)

done

lemma *update-accessor-congruence-unfoldE*:

iso-tuple-update-accessor-cong-assist *upd* *acc* \implies

$r = r' \implies \text{acc } r' = v' \implies (\bigwedge v. v = v' \implies f \ v = f' \ v) \implies$

$\text{upd } f \ r = \text{upd } f' \ r'$

apply (*erule*(2) *update-accessor-congruence-foldE*)

apply *simp*

done

lemma *iso-tuple-update-accessor-cong-assist-id*:

iso-tuple-update-accessor-cong-assist upd acc \implies upd id = id

by rule (simp add: iso-tuple-update-accessor-cong-assist-def)

lemma *update-accessor-noopE*:

assumes *uac*: *iso-tuple-update-accessor-cong-assist upd acc*

and *acc*: *f (acc x) = acc x*

shows *upd f x = x*

using *uac*

by (simp add: *acc iso-tuple-update-accessor-cong-assist-id* [OF *uac*, unfolded *id-def*])

cong: *update-accessor-congruence-unfoldE* [OF *uac*])

lemma *update-accessor-noop-compE*:

assumes *uac*: *iso-tuple-update-accessor-cong-assist upd acc*

and *acc*: *f (acc x) = acc x*

shows *upd (g \circ f) x = upd g x*

by (simp add: *acc cong*: *update-accessor-congruence-unfoldE*[OF *uac*])

lemma *update-accessor-cong-assist-idI*:

iso-tuple-update-accessor-cong-assist id id

by (simp add: iso-tuple-update-accessor-cong-assist-def)

lemma *update-accessor-cong-assist-triv*:

iso-tuple-update-accessor-cong-assist upd acc \implies

iso-tuple-update-accessor-cong-assist upd acc

by *assumption*

lemma *update-accessor-accessor-eqE*:

iso-tuple-update-accessor-eq-assist upd acc v f v' x \implies acc v = x

by (simp add: iso-tuple-update-accessor-eq-assist-def)

lemma *update-accessor-updator-eqE*:

iso-tuple-update-accessor-eq-assist upd acc v f v' x \implies upd f v = v'

by (simp add: iso-tuple-update-accessor-eq-assist-def)

lemma *iso-tuple-update-accessor-eq-assist-idI*:

v' = f v \implies iso-tuple-update-accessor-eq-assist id id v f v' v

by (simp add: iso-tuple-update-accessor-eq-assist-def *update-accessor-cong-assist-idI*)

lemma *iso-tuple-update-accessor-eq-assist-triv*:

iso-tuple-update-accessor-eq-assist upd acc v f v' x \implies

iso-tuple-update-accessor-eq-assist upd acc v f v' x

by *assumption*

lemma *iso-tuple-update-accessor-cong-from-eq*:

iso-tuple-update-accessor-eq-assist upd acc v f v' x \implies

iso-tuple-update-accessor-cong-assist upd acc

by (*simp add: iso-tuple-update-accessor-eq-assist-def*)

lemma *iso-tuple-surjective-proof-assistI*:
 $f\ x = y \implies \text{iso-tuple-surjective-proof-assist}\ x\ y\ f$
by (*simp add: iso-tuple-surjective-proof-assist-def*)

lemma *iso-tuple-surjective-proof-assist-idE*:
 $\text{iso-tuple-surjective-proof-assist}\ x\ y\ \text{id} \implies x = y$
by (*simp add: iso-tuple-surjective-proof-assist-def*)

locale *isomorphic-tuple* =
fixes *isom* :: ('a, 'b, 'c) *tuple-isomorphism*
assumes *repr-inv*: $\bigwedge x. \text{abst isom} (\text{repr isom } x) = x$
and *abst-inv*: $\bigwedge y. \text{repr isom} (\text{abst isom } y) = y$
begin

lemma *repr-inj*: $\text{repr isom } x = \text{repr isom } y \longleftrightarrow x = y$
by (*auto dest: arg-cong [of repr isom x repr isom y abst isom]*
simp add: repr-inv)

lemma *abst-inj*: $\text{abst isom } x = \text{abst isom } y \longleftrightarrow x = y$
by (*auto dest: arg-cong [of abst isom x abst isom y repr isom]*
simp add: abst-inv)

lemmas *simps* = *Let-def repr-inv abst-inv repr-inj abst-inj*

lemma *iso-tuple-access-update-fst-fst*:
 $f\ o\ h\ g = j\ o\ f \implies$
 $(f\ o\ \text{iso-tuple-fst isom})\ o\ (\text{iso-tuple-fst-update isom } o\ h)\ g =$
 $j\ o\ (f\ o\ \text{iso-tuple-fst isom})$
by (*clarsimp simp: iso-tuple-fst-update-def iso-tuple-fst-def simps*
intro!: ext elim!: o-eq-elim)

lemma *iso-tuple-access-update-snd-snd*:
 $f\ o\ h\ g = j\ o\ f \implies$
 $(f\ o\ \text{iso-tuple-snd isom})\ o\ (\text{iso-tuple-snd-update isom } o\ h)\ g =$
 $j\ o\ (f\ o\ \text{iso-tuple-snd isom})$
by (*clarsimp simp: iso-tuple-snd-update-def iso-tuple-snd-def simps*
intro!: ext elim!: o-eq-elim)

lemma *iso-tuple-access-update-fst-snd*:
 $(f\ o\ \text{iso-tuple-fst isom})\ o\ (\text{iso-tuple-snd-update isom } o\ h)\ g =$
 $\text{id } o\ (f\ o\ \text{iso-tuple-fst isom})$
by (*clarsimp simp: iso-tuple-snd-update-def iso-tuple-fst-def simps*
intro!: ext elim!: o-eq-elim)

lemma *iso-tuple-access-update-snd-fst*:
 $(f\ o\ \text{iso-tuple-snd isom})\ o\ (\text{iso-tuple-fst-update isom } o\ h)\ g =$
 $\text{id } o\ (f\ o\ \text{iso-tuple-snd isom})$

by (*clarsimp simp: iso-tuple-fst-update-def iso-tuple-snd-def_simps*
intro!: ext elim!: o-eq-elim)

lemma *iso-tuple-update-swap-fst-fst:*

$h \circ f \circ j \circ g = j \circ g \circ h \circ f \implies$
 $(\text{iso-tuple-fst-update isom } o \ h) \circ f \circ (\text{iso-tuple-fst-update isom } o \ j) \circ g =$
 $(\text{iso-tuple-fst-update isom } o \ j) \circ g \circ (\text{iso-tuple-fst-update isom } o \ h) \circ f$
by (*clarsimp simp: iso-tuple-fst-update-def_simps apfst-compose intro!: ext*)

lemma *iso-tuple-update-swap-snd-snd:*

$h \circ f \circ j \circ g = j \circ g \circ h \circ f \implies$
 $(\text{iso-tuple-snd-update isom } o \ h) \circ f \circ (\text{iso-tuple-snd-update isom } o \ j) \circ g =$
 $(\text{iso-tuple-snd-update isom } o \ j) \circ g \circ (\text{iso-tuple-snd-update isom } o \ h) \circ f$
by (*clarsimp simp: iso-tuple-snd-update-def_simps apsnd-compose intro!: ext*)

lemma *iso-tuple-update-swap-fst-snd:*

$(\text{iso-tuple-snd-update isom } o \ h) \circ f \circ (\text{iso-tuple-fst-update isom } o \ j) \circ g =$
 $(\text{iso-tuple-fst-update isom } o \ j) \circ g \circ (\text{iso-tuple-snd-update isom } o \ h) \circ f$
by (*clarsimp simp: iso-tuple-fst-update-def iso-tuple-snd-update-def*
simps intro!: ext)

lemma *iso-tuple-update-swap-snd-fst:*

$(\text{iso-tuple-fst-update isom } o \ h) \circ f \circ (\text{iso-tuple-snd-update isom } o \ j) \circ g =$
 $(\text{iso-tuple-snd-update isom } o \ j) \circ g \circ (\text{iso-tuple-fst-update isom } o \ h) \circ f$
by (*clarsimp simp: iso-tuple-fst-update-def iso-tuple-snd-update-def_simps intro!: ext*)

lemma *iso-tuple-update-compose-fst-fst:*

$h \circ f \circ j \circ g = k \circ (f \circ g) \implies$
 $(\text{iso-tuple-fst-update isom } o \ h) \circ f \circ (\text{iso-tuple-fst-update isom } o \ j) \circ g =$
 $(\text{iso-tuple-fst-update isom } o \ k) \circ (f \circ g)$
by (*clarsimp simp: iso-tuple-fst-update-def_simps apfst-compose intro!: ext*)

lemma *iso-tuple-update-compose-snd-snd:*

$h \circ f \circ j \circ g = k \circ (f \circ g) \implies$
 $(\text{iso-tuple-snd-update isom } o \ h) \circ f \circ (\text{iso-tuple-snd-update isom } o \ j) \circ g =$
 $(\text{iso-tuple-snd-update isom } o \ k) \circ (f \circ g)$
by (*clarsimp simp: iso-tuple-snd-update-def_simps apsnd-compose intro!: ext*)

lemma *iso-tuple-surjective-proof-assist-step:*

iso-tuple-surjective-proof-assist v a (iso-tuple-fst isom o f) \implies
iso-tuple-surjective-proof-assist v b (iso-tuple-snd isom o f) \implies
iso-tuple-surjective-proof-assist v (iso-tuple-cons isom a b) f
by (*clarsimp simp: iso-tuple-surjective-proof-assist-def_simps*
iso-tuple-fst-def iso-tuple-snd-def iso-tuple-cons-def)

lemma *iso-tuple-fst-update-accessor-cong-assist:*

assumes *iso-tuple-update-accessor-cong-assist f g*
shows *iso-tuple-update-accessor-cong-assist*

$(iso_tuple_fst_update\ isom\ o\ f)\ (g\ o\ iso_tuple_fst\ isom)$
proof –
 from *assms* have $f\ id = id$
 by (rule *iso-tuple-update-accessor-cong-assist-id*)
 with *assms* show ?thesis
 by (clarsimp simp: *iso-tuple-update-accessor-cong-assist-def* *simps*
 iso-tuple-fst-update-def *iso-tuple-fst-def*)
qed

lemma *iso-tuple-snd-update-accessor-cong-assist*:
 assumes *iso-tuple-update-accessor-cong-assist* $f\ g$
 shows *iso-tuple-update-accessor-cong-assist*
 $(iso_tuple_snd_update\ isom\ o\ f)\ (g\ o\ iso_tuple_snd\ isom)$
proof –
 from *assms* have $f\ id = id$
 by (rule *iso-tuple-update-accessor-cong-assist-id*)
 with *assms* show ?thesis
 by (clarsimp simp: *iso-tuple-update-accessor-cong-assist-def* *simps*
 iso-tuple-snd-update-def *iso-tuple-snd-def*)
qed

lemma *iso-tuple-fst-update-accessor-eq-assist*:
 assumes *iso-tuple-update-accessor-eq-assist* $f\ g\ a\ u\ a'\ v$
 shows *iso-tuple-update-accessor-eq-assist*
 $(iso_tuple_fst_update\ isom\ o\ f)\ (g\ o\ iso_tuple_fst\ isom)$
 $(iso_tuple_cons\ isom\ a\ b)\ u\ (iso_tuple_cons\ isom\ a'\ b)\ v$
proof –
 from *assms* have $f\ id = id$
 by (auto simp add: *iso-tuple-update-accessor-eq-assist-def*
 intro: iso-tuple-update-accessor-cong-assist-id)
 with *assms* show ?thesis
 by (clarsimp simp: *iso-tuple-update-accessor-eq-assist-def*
 iso-tuple-fst-update-def *iso-tuple-fst-def*
 iso-tuple-update-accessor-cong-assist-def *iso-tuple-cons-def* *simps*)
qed

lemma *iso-tuple-snd-update-accessor-eq-assist*:
 assumes *iso-tuple-update-accessor-eq-assist* $f\ g\ b\ u\ b'\ v$
 shows *iso-tuple-update-accessor-eq-assist*
 $(iso_tuple_snd_update\ isom\ o\ f)\ (g\ o\ iso_tuple_snd\ isom)$
 $(iso_tuple_cons\ isom\ a\ b)\ u\ (iso_tuple_cons\ isom\ a\ b')\ v$
proof –
 from *assms* have $f\ id = id$
 by (auto simp add: *iso-tuple-update-accessor-eq-assist-def*
 intro: iso-tuple-update-accessor-cong-assist-id)
 with *assms* show ?thesis
 by (clarsimp simp: *iso-tuple-update-accessor-eq-assist-def*
 iso-tuple-snd-update-def *iso-tuple-snd-def*
 iso-tuple-update-accessor-cong-assist-def *iso-tuple-cons-def* *simps*)

qed

lemma *iso-tuple-cons-conj-eqI*:

$a = c \wedge b = d \wedge P \longleftrightarrow Q \implies$
 $\text{iso-tuple-cons isom } a \ b = \text{iso-tuple-cons isom } c \ d \wedge P \longleftrightarrow Q$
by (*clarsimp simp: iso-tuple-cons-def_simps*)

lemmas *intros* =

iso-tuple-access-update-fst-fst
iso-tuple-access-update-snd-snd
iso-tuple-access-update-fst-snd
iso-tuple-access-update-snd-fst
iso-tuple-update-swap-fst-fst
iso-tuple-update-swap-snd-snd
iso-tuple-update-swap-fst-snd
iso-tuple-update-swap-snd-fst
iso-tuple-update-compose-fst-fst
iso-tuple-update-compose-snd-snd
iso-tuple-surjective-proof-assist-step
iso-tuple-fst-update-accessor-eq-assist
iso-tuple-snd-update-accessor-eq-assist
iso-tuple-fst-update-accessor-cong-assist
iso-tuple-snd-update-accessor-cong-assist
iso-tuple-cons-conj-eqI

end

lemma *isomorphic-tuple-intro*:

fixes *repr abst*
assumes *repr-inj*: $\bigwedge x \ y. \text{repr } x = \text{repr } y \longleftrightarrow x = y$
and *abst-inv*: $\bigwedge z. \text{repr } (\text{abst } z) = z$
and *v*: $v \equiv \text{Tuple-Isomorphism repr abst}$
shows *isomorphic-tuple v*

proof

fix *x* **have** $\text{repr } (\text{abst } (\text{repr } x)) = \text{repr } x$
by (*simp add: abst-inv*)
then show $\text{Record.abst } v \ (\text{Record.repr } v \ x) = x$
by (*simp add: v repr-inj*)

next

fix *y*
show $\text{Record.repr } v \ (\text{Record.abst } v \ y) = y$
by (*simp add: v (fact abst-inv)*)

qed

definition

tuple-iso-tuple $\equiv \text{Tuple-Isomorphism id id}$

lemma *tuple-iso-tuple*:

isomorphic-tuple tuple-iso-tuple

syntax (*xsymbols*)

```

-record-type      :: field-types => type                ((3(|-|)))
-record-type-scheme :: field-types => type => type      ((3(|-,/ (2... ::/ -)|)))
-record          :: fields => 'a                        ((3(|-|)))
-record-scheme    :: fields => 'a => 'a                ((3(|-,/ (2... =/ -)|)))
-record-update    :: 'a => field-updates => 'b          (-/(3(|-|)) [900, 0] 900)

```

17.5 Record package

```

use Tools/record.ML
setup Record.setup

```

```

hide-const (open) Tuple-Isomorphism repr abst iso-tuple-fst iso-tuple-snd
iso-tuple-fst-update iso-tuple-snd-update iso-tuple-cons
iso-tuple-surjective-proof-assist iso-tuple-update-accessor-cong-assist
iso-tuple-update-accessor-eq-assist tuple-iso-tuple

```

```
end
```

18 Power: Exponentiation

```

theory Power
imports Nat
begin

```

18.1 Powers for Arbitrary Monoids

```

class power = one + times
begin

```

```

primrec power :: 'a ⇒ nat ⇒ 'a (infixr ^ 80) where
  power-0: a ^ 0 = 1
| power-Suc: a ^ Suc n = a * a ^ n

```

```

notation (latex output)
power ((-) [1000] 1000)

```

```

notation (HTML output)
power ((-) [1000] 1000)

```

```
end
```

```

context monoid-mult
begin

```

```
subclass power ..
```

```

lemma power-one [simp]:
  1 ^ n = 1

```

```

by (induct n) simp-all

lemma power-one-right [simp]:
   $a ^ 1 = a$ 
by simp

lemma power-commutes:
   $a ^ n * a = a * a ^ n$ 
by (induct n) (simp-all add: mult-assoc)

lemma power-Suc2:
   $a ^ \text{Suc } n = a ^ n * a$ 
by (simp add: power-commutes)

lemma power-add:
   $a ^ (m + n) = a ^ m * a ^ n$ 
by (induct m) (simp-all add: algebra-simps)

lemma power-mult:
   $a ^ (m * n) = (a ^ m) ^ n$ 
by (induct n) (simp-all add: power-add)

end

context comm-monoid-mult
begin

lemma power-mult-distrib:
   $(a * b) ^ n = (a ^ n) * (b ^ n)$ 
by (induct n) (simp-all add: mult-ac)

end

context semiring-1
begin

lemma of-nat-power:
   $\text{of-nat } (m ^ n) = \text{of-nat } m ^ n$ 
by (induct n) (simp-all add: of-nat-mult)

end

context comm-semiring-1
begin

The divides relation

lemma le-imp-power-dvd:
  assumes  $m \leq n$  shows  $a ^ m \text{ dvd } a ^ n$ 
proof

```

```

have  $a ^ n = a ^ (m + (n - m))$ 
  using  $\langle m \leq n \rangle$  by simp
also have  $\dots = a ^ m * a ^ (n - m)$ 
  by (rule power-add)
finally show  $a ^ n = a ^ m * a ^ (n - m)$  .
qed

```

```

lemma power-le-dvd:
 $a ^ n \text{ dvd } b \implies m \leq n \implies a ^ m \text{ dvd } b$ 
  by (rule dvd-trans [OF le-imp-power-dvd])

```

```

lemma dvd-power-same:
 $x \text{ dvd } y \implies x ^ n \text{ dvd } y ^ n$ 
  by (induct n) (auto simp add: mult-dvd-mono)

```

```

lemma dvd-power-le:
 $x \text{ dvd } y \implies m \geq n \implies x ^ n \text{ dvd } y ^ m$ 
  by (rule power-le-dvd [OF dvd-power-same])

```

```

lemma dvd-power [simp]:
  assumes  $n > (0::nat) \vee x = 1$ 
  shows  $x \text{ dvd } (x ^ n)$ 
using assms proof
  assume  $0 < n$ 
  then have  $x ^ n = x ^ \text{Suc } (n - 1)$  by simp
  then show  $x \text{ dvd } (x ^ n)$  by simp
next
  assume  $x = 1$ 
  then show  $x \text{ dvd } (x ^ n)$  by simp
qed

```

end

```

context ring-1
begin

```

```

lemma power-minus:
 $(- a) ^ n = (- 1) ^ n * a ^ n$ 
proof (induct n)
  case 0 show ?case by simp
next
  case (Suc n) then show ?case
    by (simp del: power-Suc add: power-Suc2 mult-assoc)
qed

```

end

```

context linordered-semidom
begin

```


lemma *zero-less-power* [*simp*]:

$0 < a \implies 0 < a ^ n$

by (*induct* *n*) (*simp-all* *add: mult-pos-pos*)

lemma *zero-le-power* [*simp*]:

$0 \leq a \implies 0 \leq a ^ n$

by (*induct* *n*) (*simp-all* *add: mult-nonneg-nonneg*)

lemma *one-le-power*[*simp*]:

$1 \leq a \implies 1 \leq a ^ n$

apply (*induct* *n*)

apply *simp-all*

apply (*rule* *order-trans* [*OF* - *mult-mono* [*of* 1 - 1]])

apply (*simp-all* *add: order-trans* [*OF* *zero-le-one*])

done

lemma *power-gt1-lemma*:

assumes *gt1*: $1 < a$

shows $1 < a * a ^ n$

proof –

from *gt1* **have** $0 \leq a$

by (*fact* *order-trans* [*OF* *zero-le-one less-imp-le*])

have $1 * 1 < a * 1$ **using** *gt1* **by** *simp*

also have $\dots \leq a * a ^ n$ **using** *gt1*

by (*simp* *only: mult-mono* ($0 \leq a$) *one-le-power order-less-imp-le*
zero-le-one order-refl)

finally show *?thesis* **by** *simp*

qed

lemma *power-gt1*:

$1 < a \implies 1 < a ^ \text{Suc } n$

by (*simp* *add: power-gt1-lemma*)

lemma *one-less-power* [*simp*]:

$1 < a \implies 0 < n \implies 1 < a ^ n$

by (*cases* *n*) (*simp-all* *add: power-gt1-lemma*)

lemma *power-le-imp-le-exp*:

assumes *gt1*: $1 < a$

shows $a ^ m \leq a ^ n \implies m \leq n$

proof (*induct* *m* *arbitrary: n*)

case 0

show *?case* **by** *simp*

next

case (*Suc* *m*)

show *?case*

proof (*cases* *n*)

case 0

```

with Suc.prems Suc.hyps have  $a * a^m \leq 1$  by simp
with gt1 show ?thesis
  by (force simp only: power-gt1-lemma
      not-less [symmetric])
next
  case (Suc n)
  with Suc.prems Suc.hyps show ?thesis
    by (force dest: mult-left-le-imp-le
        simp add: less-trans [OF zero-less-one gt1])
qed
qed

```

Surely we can strengthen this? It holds for $0 < a < 1$ too.

```

lemma power-inject-exp [simp]:
   $1 < a \implies a^m = a^n \iff m = n$ 
  by (force simp add: order-antisym power-le-imp-le-exp)

```

Can relax the first premise to $(0::'a) < a$ in the case of the natural numbers.

```

lemma power-less-imp-less-exp:
   $1 < a \implies a^m < a^n \implies m < n$ 
  by (simp add: order-less-le [of m n] less-le [of a^m a^n]
      power-le-imp-le-exp)

```

```

lemma power-mono:
   $a \leq b \implies 0 \leq a \implies a^n \leq b^n$ 
  by (induct n)
  (auto intro: mult-mono order-trans [of 0 a b])

```

```

lemma power-strict-mono [rule-format]:
   $a < b \implies 0 \leq a \implies 0 < n \longrightarrow a^n < b^n$ 
  by (induct n)
  (auto simp add: mult-strict-mono le-less-trans [of 0 a b])

```

Lemma for *power-strict-decreasing*

```

lemma power-Suc-less:
   $0 < a \implies a < 1 \implies a * a^n < a^n$ 
  by (induct n)
  (auto simp add: mult-strict-left-mono)

```

```

lemma power-strict-decreasing [rule-format]:
   $n < N \implies 0 < a \implies a < 1 \longrightarrow a^N < a^n$ 

```

```

proof (induct N)
  case 0 then show ?case by simp
next
  case (Suc N) then show ?case
    apply (auto simp add: power-Suc-less less-Suc-eq)
    apply (subgoal-tac a * a^N < 1 * a^n)
    apply simp
    apply (rule mult-strict-mono) apply auto

```

done
qed

Proof resembles that of *power-strict-decreasing*

lemma *power-decreasing* [rule-format]:
 $n \leq N \implies 0 \leq a \implies a \leq 1 \longrightarrow a \wedge N \leq a \wedge n$
proof (*induct N*)
 case 0 **then show** ?case **by** *simp*
next
 case (*Suc N*) **then show** ?case
 apply (*auto simp add: le-Suc-eq*)
 apply (*subgoal-tac a * a^N ≤ 1 * a^n, simp*)
 apply (*rule mult-mono*) **apply** *auto*
 done
qed

lemma *power-Suc-less-one*:
 $0 < a \implies a < 1 \implies a \wedge \text{Suc } n < 1$
 using *power-strict-decreasing* [*of 0 Suc n a*] **by** *simp*

Proof again resembles that of *power-strict-decreasing*

lemma *power-increasing* [rule-format]:
 $n \leq N \implies 1 \leq a \implies a \wedge n \leq a \wedge N$
proof (*induct N*)
 case 0 **then show** ?case **by** *simp*
next
 case (*Suc N*) **then show** ?case
 apply (*auto simp add: le-Suc-eq*)
 apply (*subgoal-tac 1 * a^n ≤ a * a^N, simp*)
 apply (*rule mult-mono*) **apply** (*auto simp add: order-trans [OF zero-le-one]*)
 done
qed

Lemma for *power-strict-increasing*

lemma *power-less-power-Suc*:
 $1 < a \implies a \wedge n < a * a \wedge n$
 by (*induct n*) (*auto simp add: mult-strict-left-mono less-trans [OF zero-less-one]*)

lemma *power-strict-increasing* [rule-format]:
 $n < N \implies 1 < a \longrightarrow a \wedge n < a \wedge N$
proof (*induct N*)
 case 0 **then show** ?case **by** *simp*
next
 case (*Suc N*) **then show** ?case
 apply (*auto simp add: power-less-power-Suc less-Suc-eq*)
 apply (*subgoal-tac 1 * a^n < a * a^N, simp*)
 apply (*rule mult-strict-mono*) **apply** (*auto simp add: less-trans [OF zero-less-one]*
less-imp-le)
 done

qed

lemma *power-increasing-iff* [simp]:

$$1 < b \implies b \wedge x \leq b \wedge y \iff x \leq y$$

by (blast intro: power-le-imp-le-exp power-increasing less-imp-le)

lemma *power-strict-increasing-iff* [simp]:

$$1 < b \implies b \wedge x < b \wedge y \iff x < y$$

by (blast intro: power-less-imp-less-exp power-strict-increasing)

lemma *power-le-imp-le-base*:

assumes *le*: $a \wedge \text{Suc } n \leq b \wedge \text{Suc } n$

and *ynonneg*: $0 \leq b$

shows $a \leq b$

proof (rule ccontr)

assume $\sim a \leq b$

then have $b < a$ **by** (simp only: linorder-not-le)

then have $b \wedge \text{Suc } n < a \wedge \text{Suc } n$

by (simp only: prems power-strict-mono)

from *le* **and this show** False

by (simp add: linorder-not-less [symmetric])

qed

lemma *power-less-imp-less-base*:

assumes *less*: $a \wedge n < b \wedge n$

assumes *nonneg*: $0 \leq b$

shows $a < b$

proof (rule contrapos-pp [OF less])

assume $\sim a < b$

hence $b \leq a$ **by** (simp only: linorder-not-less)

hence $b \wedge n \leq a \wedge n$ **using** *nonneg* **by** (rule power-mono)

thus $\neg a \wedge n < b \wedge n$ **by** (simp only: linorder-not-less)

qed

lemma *power-inject-base*:

$$a \wedge \text{Suc } n = b \wedge \text{Suc } n \implies 0 \leq a \implies 0 \leq b \implies a = b$$

by (blast intro: power-le-imp-le-base antisym eq-refl sym)

lemma *power-eq-imp-eq-base*:

$$a \wedge n = b \wedge n \implies 0 \leq a \implies 0 \leq b \implies 0 < n \implies a = b$$

by (cases *n*) (simp-all del: power-Suc, rule power-inject-base)

end

context *linordered-idom*

begin

lemma *power-abs*:

$$\text{abs } (a \wedge n) = \text{abs } a \wedge n$$

```

by (induct n) (auto simp add: abs-mult)

lemma abs-power-minus [simp]:
  abs ((-a) ^ n) = abs (a ^ n)
by (simp add: power-abs)

lemma zero-less-power-abs-iff [simp, no-atp]:
  0 < abs a ^ n  $\longleftrightarrow$  a  $\neq$  0  $\vee$  n = 0
proof (induct n)
  case 0 show ?case by simp
next
  case (Suc n) show ?case by (auto simp add: Suc zero-less-mult-iff)
qed

lemma zero-le-power-abs [simp]:
  0  $\leq$  abs a ^ n
by (rule zero-le-power [OF abs-ge-zero])

end

context ring-1-no-zero-divisors
begin

lemma field-power-not-zero:
  a  $\neq$  0  $\implies$  a ^ n  $\neq$  0
by (induct n) auto

end

context division-ring
begin

FIXME reorient or rename to nonzero-inverse-power

lemma nonzero-power-inverse:
  a  $\neq$  0  $\implies$  inverse (a ^ n) = (inverse a) ^ n
by (induct n)
  (simp-all add: nonzero-inverse-mult-distrib power-commutes field-power-not-zero)

end

context field
begin

lemma nonzero-power-divide:
  b  $\neq$  0  $\implies$  (a / b) ^ n = a ^ n / b ^ n
by (simp add: divide-inverse power-mult-distrib nonzero-power-inverse)

end

```

lemma *power-0-Suc* [simp]:
 $(0::'a::\{\text{power}, \text{semiring-0}\}) \wedge \text{Suc } n = 0$
by *simp*

It looks plausible as a simprule, but its effect can be strange.

lemma *power-0-left*:
 $0 \wedge n = (\text{if } n = 0 \text{ then } 1 \text{ else } (0::'a::\{\text{power}, \text{semiring-0}\}))$
by (*induct n*) *simp-all*

lemma *power-eq-0-iff* [simp]:
 $a \wedge n = 0 \iff$
 $a = (0::'a::\{\text{mult-zero}, \text{zero-neq-one}, \text{no-zero-divisors}, \text{power}\}) \wedge n \neq 0$
by (*induct n*)
 (*auto simp add: no-zero-divisors elim: contrapos-pp*)

lemma (*in field*) *power-diff*:
assumes *nz*: $a \neq 0$
shows $n \leq m \implies a \wedge (m - n) = a \wedge m / a \wedge n$
by (*induct m n rule: diff-induct*) (*simp-all add: nz field-power-not-zero*)

Perhaps these should be simprules.

lemma *power-inverse*:
fixes $a :: 'a::\text{division-ring-inverse-zero}$
shows $\text{inverse } (a \wedge n) = \text{inverse } a \wedge n$
apply (*cases a = 0*)
apply (*simp add: power-0-left*)
apply (*simp add: nonzero-power-inverse*)
done

lemma *power-one-over*:
 $1 / (a::'a::\{\text{field-inverse-zero}, \text{power}\}) \wedge n = (1 / a) \wedge n$
by (*simp add: divide-inverse*) (*rule power-inverse*)

lemma *power-divide*:
 $(a / b) \wedge n = (a::'a::\text{field-inverse-zero}) \wedge n / b \wedge n$
apply (*cases b = 0*)
apply (*simp add: power-0-left*)
apply (*rule nonzero-power-divide*)
apply *assumption*
done

18.2 Exponentiation for the Natural Numbers

lemma *nat-one-le-power* [simp]:
 $\text{Suc } 0 \leq i \implies \text{Suc } 0 \leq i \wedge n$
by (*rule one-le-power [of i n, unfolded One-nat-def]*)

lemma *nat-zero-less-power-iff* [simp]:
 $x \wedge n > 0 \iff x > (0::\text{nat}) \vee n = 0$

by (*induct n*) *auto*

lemma *nat-power-eq-Suc-0-iff* [*simp*]:
 $x \wedge m = \text{Suc } 0 \iff m = 0 \vee x = \text{Suc } 0$
by (*induct m*) *auto*

lemma *power-Suc-0* [*simp*]:
 $\text{Suc } 0 \wedge n = \text{Suc } 0$
by *simp*

Valid for the naturals, but what if $0 < i < 1$? Premises cannot be weakened:
 consider the case where $i = (0::'a)$, $m = (1::'a)$ and $n = (0::'a)$.

lemma *nat-power-less-imp-less*:
assumes *nonneg*: $0 < (i::\text{nat})$
assumes *less*: $i \wedge m < i \wedge n$
shows $m < n$
proof (*cases i = 1*)
case *True* **with** *less power-one* [**where** $'a = \text{nat}$] **show** *?thesis* **by** *simp*
next
case *False* **with** *nonneg* **have** $1 < i$ **by** *auto*
from *power-strict-increasing-iff* [*OF this*] *less* **show** *?thesis* **..**
qed

lemma *power-dvd-imp-le*:
 $i \wedge m \text{ dvd } i \wedge n \implies (1::\text{nat}) < i \implies m \leq n$
apply (*rule power-le-imp-le-exp, assumption*)
apply (*erule dvd-imp-le, simp*)
done

18.3 Code generator tweak

lemma *power-power-power* [*code, code-unfold, code-inline del*]:
 $\text{power} = \text{power}.\text{power } (1::'a::\{\text{power}\}) \text{ (op *)}$
unfolding *power-def power.power-def* **..**

declare *power.power.simps* [*code*]

code-modulename *SML*
Power Arith

code-modulename *OCaml*
Power Arith

code-modulename *Haskell*
Power Arith

end

19 Option: Datatype option

```
theory Option
imports Datatype
begin
```

```
datatype 'a option = None | Some 'a
```

```
lemma not-None-eq [iff]:  $(x \sim = \text{None}) = (\text{EX } y. x = \text{Some } y)$ 
  by (induct x) auto
```

```
lemma not-Some-eq [iff]:  $(\text{ALL } y. x \sim = \text{Some } y) = (x = \text{None})$ 
  by (induct x) auto
```

Although it may appear that both of these equalities are helpful only when applied to assumptions, in practice it seems better to give them the uniform iff attribute.

```
lemma inj-Some [simp]: inj-on Some A
by (rule inj-onI) simp
```

```
lemma option-caseE:
  assumes c:  $(\text{case } x \text{ of } \text{None} \Rightarrow P \mid \text{Some } y \Rightarrow Q \ y)$ 
  obtains
     $(\text{None}) \ x = \text{None}$  and  $P$ 
  |  $(\text{Some}) \ y$  where  $x = \text{Some } y$  and  $Q \ y$ 
  using c by (cases x) simp-all
```

```
lemma UNIV-option-conv:  $\text{UNIV} = \text{insert None } (\text{range Some})$ 
by(auto intro: classical)
```

19.0.1 Operations

```
primrec the :: 'a option => 'a where
the (Some x) = x
```

```
primrec set :: 'a option => 'a set where
set None = {} |
set (Some x) = {x}
```

```
lemma ospec [dest]:  $(\text{ALL } x:\text{set } A. P \ x) \implies A = \text{Some } x \implies P \ x$ 
  by simp
```

```
declaration << fn - =>
  Classical.map-cs (fn cs => cs addSD2 (ospec, thm ospec))
>>
```

```
lemma elem-set [iff]:  $(x : \text{set } xo) = (xo = \text{Some } x)$ 
  by (cases xo) auto
```


lemma *set-empty-eq* [*simp*]: (*set* *xo* = {}) = (*xo* = *None*)
by (*cases* *xo*) *auto*

definition *map* :: ('a \Rightarrow 'b) \Rightarrow 'a *option* \Rightarrow 'b *option* **where**
map = (%f y. *case* y of *None* => *None* | *Some* x => *Some* (f x))

lemma *option-map-None* [*simp*, *code*]: *map* f *None* = *None*
by (*simp* *add*: *map-def*)

lemma *option-map-Some* [*simp*, *code*]: *map* f (*Some* x) = *Some* (f x)
by (*simp* *add*: *map-def*)

lemma *option-map-is-None* [*iff*]:
(*map* f *opt* = *None*) = (*opt* = *None*)
by (*simp* *add*: *map-def* *split* *add*: *option.split*)

lemma *option-map-eq-Some* [*iff*]:
(*map* f *xo* = *Some* y) = (*EX* z. *xo* = *Some* z & f z = y)
by (*simp* *add*: *map-def* *split* *add*: *option.split*)

lemma *option-map-comp*:
map f (*map* g *opt*) = *map* (f o g) *opt*
by (*simp* *add*: *map-def* *split* *add*: *option.split*)

lemma *option-map-o-sum-case* [*simp*]:
map f o *sum-case* g h = *sum-case* (*map* f o g) (*map* f o h)
by (*rule* *ext*) (*simp* *split*: *sum.split*)

hide-const (**open**) *set* *map*

19.0.2 Code generator setup

definition *is-none* :: 'a *option* \Rightarrow *bool* **where**
[*code-post*]: *is-none* x \longleftrightarrow x = *None*

lemma *is-none-code* [*code*]:
shows *is-none* *None* \longleftrightarrow *True*
and *is-none* (*Some* x) \longleftrightarrow *False*
unfolding *is-none-def* **by** *simp-all*

lemma *is-none-none*:
is-none x \longleftrightarrow x = *None*
by (*simp* *add*: *is-none-def*)

lemma [*code-unfold*]:
eq-class.eq x *None* \longleftrightarrow *is-none* x
by (*simp* *add*: *eq* *is-none-none*)

```

hide-const (open) is-none

code-type option
  (SML - option)
  (OCaml - option)
  (Haskell Maybe -)
  (Scala !Option[(-)])

code-const None and Some
  (SML NONE and SOME)
  (OCaml None and Some -)
  (Haskell Nothing and Just)
  (Scala None and !Some((-)))

code-instance option :: eq
  (Haskell -)

code-const eq-class.eq :: 'a::eq option ⇒ 'a option ⇒ bool
  (Haskell infixl 4 ==)

code-reserved SML
  option NONE SOME

code-reserved OCaml
  option None Some

code-reserved Scala
  Option None Some

end

```

20 Finite-Set: Finite sets

```

theory Finite-Set
imports Power Option
begin

```

20.1 Predicate for finite sets

```

inductive finite :: 'a set => bool
  where
    emptyI [simp, intro!]: finite {}
    | insertI [simp, intro!]: finite A ==> finite (insert a A)

lemma ex-new-if-finite: — does not depend on def of finite at all
  assumes  $\neg \text{finite } (UNIV :: 'a \text{ set})$  and finite A
  shows  $\exists a::'a. a \notin A$ 
proof —

```

from *assms* have $A \neq \text{UNIV}$ by *blast*
 thus ?thesis by *blast*
 qed

lemma *finite-induct* [case-names empty insert, induct set: *finite*]:

finite F ==>
 $P \{\} ==> (!x F. \text{finite } F ==> x \notin F ==> P F ==> P (\text{insert } x F)) ==>$
 $P F$

— Discharging $x \notin F$ entails extra work.

proof —

assume $P \{\}$ and

insert: $!x F. \text{finite } F ==> x \notin F ==> P F ==> P (\text{insert } x F)$

assume *finite F*

thus $P F$

proof *induct*

show $P \{\}$ by *fact*

fix $x F$ assume $F: \text{finite } F$ and $P: P F$

show $P (\text{insert } x F)$

proof *cases*

assume $x \in F$

hence $\text{insert } x F = F$ by (rule *insert-absorb*)

with P show ?thesis by (simp only:)

next

assume $x \notin F$

from F this P show ?thesis by (rule *insert*)

qed

qed

qed

lemma *finite-ne-induct*[case-names singleton insert, consumes 2]:

assumes *fin*: *finite F* shows $F \neq \{\} \implies$

$\llbracket \bigwedge x. P\{x\};$

$\bigwedge x F. \llbracket \text{finite } F; F \neq \{\}; x \notin F; P F \rrbracket \implies P (\text{insert } x F) \rrbracket$

$\implies P F$

using *fin*

proof *induct*

case *empty* thus ?case by *simp*

next

case (*insert x F*)

show ?case

proof *cases*

assume $F = \{\}$

thus ?thesis using $\langle P \{x\} \rangle$ by *simp*

next

assume $F \neq \{\}$

thus ?thesis using *insert* by *blast*

qed

qed

```

lemma finite-subset-induct [consumes 2, case-names empty insert]:
  assumes finite F and  $F \subseteq A$ 
    and empty:  $P \ \{\}$ 
    and insert:  $!!a \ F. \text{finite } F \implies a \in A \implies a \notin F \implies P \ F \implies P \ (\text{insert } a \ F)$ 
  shows  $P \ F$ 
proof –
  from  $\langle \text{finite } F \rangle$  and  $\langle F \subseteq A \rangle$ 
  show ?thesis
proof induct
  show  $P \ \{\}$  by fact
next
  fix  $x \ F$ 
  assume finite F and  $x \notin F$  and
     $P: F \subseteq A \implies P \ F$  and  $i: \text{insert } x \ F \subseteq A$ 
  show  $P \ (\text{insert } x \ F)$ 
  proof (rule insert)
    from  $i$  show  $x \in A$  by blast
    from  $i$  have  $F \subseteq A$  by blast
    with  $P$  show  $P \ F$  .
    show finite F by fact
    show  $x \notin F$  by fact
  qed
qed
qed

```

A finite choice principle. Does not need the SOME choice operator.

```

lemma finite-set-choice:
   $\text{finite } A \implies \text{ALL } x:A. (\text{EX } y. P \ x \ y) \implies \text{EX } f. \text{ALL } x:A. P \ x \ (f \ x)$ 
proof (induct set: finite)
  case empty thus ?case by simp
next
  case (insert a A)
  then obtain  $f \ b$  where  $f: \text{ALL } x:A. P \ x \ (f \ x)$  and  $ab: P \ a \ b$  by auto
  show ?case (is  $\text{EX } f. ?P \ f$ )
  proof
    show  $?P(\%x. \text{if } x = a \text{ then } b \text{ else } f \ x)$  using  $f \ ab$  by auto
  qed
qed

```

Finite sets are the images of initial segments of natural numbers:

```

lemma finite-imp-nat-seg-image-inj-on:
  assumes fin: finite A
  shows  $\exists \ (n::\text{nat}) \ f. A = f \ ' \ \{i. \ i < n\} \ \& \ \text{inj-on } f \ \{i. \ i < n\}$ 
using fin
proof induct
  case empty
  show ?case
  proof show  $\exists f. \ \{\} = f \ ' \ \{i::\text{nat}. \ i < 0\} \ \& \ \text{inj-on } f \ \{i. \ i < 0\}$  by simp

```

```

qed
next
  case (insert a A)
  have notinA:  $a \notin A$  by fact
  from insert.hyps obtain n f
    where  $A = f \text{ ‘ } \{i::\text{nat. } i < n\}$  inj-on f  $\{i. i < n\}$  by blast
  hence insert a A =  $f(n:=a) \text{ ‘ } \{i. i < \text{Suc } n\}$ 
    inj-on ( $f(n:=a)$ )  $\{i. i < \text{Suc } n\}$  using notinA
  by (auto simp add: image-def Ball-def inj-on-def less-Suc-eq)
  thus ?case by blast
qed

lemma nat-seg-image-imp-finite:
  !!f A.  $A = f \text{ ‘ } \{i::\text{nat. } i < n\} \implies \text{finite } A$ 
proof (induct n)
  case 0 thus ?case by simp
next
  case (Suc n)
  let ?B =  $f \text{ ‘ } \{i. i < n\}$ 
  have finB: finite ?B by (rule Suc.hyps[OF refl])
  show ?case
  proof cases
    assume  $\exists k < n. f n = f k$ 
    hence  $A = ?B$  using Suc.prem by (auto simp: less-Suc-eq)
    thus ?thesis using finB by simp
  next
    assume  $\neg(\exists k < n. f n = f k)$ 
    hence  $A = \text{insert } (f n) \text{ ‘ } ?B$  using Suc.prem by (auto simp: less-Suc-eq)
    thus ?thesis using finB by simp
  qed
qed

lemma finite-conv-nat-seg-image:
  finite A =  $(\exists (n::\text{nat}) f. A = f \text{ ‘ } \{i::\text{nat. } i < n\})$ 
by (blast intro: nat-seg-image-imp-finite dest: finite-imp-nat-seg-image-inj-on)

lemma finite-imp-inj-to-nat-seg:
  assumes finite A
  shows EX f n::nat.  $f \text{ ‘ } A = \{i. i < n\} \ \& \ \text{inj-on } f \ A$ 
proof -
  from finite-imp-nat-seg-image-inj-on[OF (finite A)]
  obtain f and n::nat where bij: bij-betw f  $\{i. i < n\}$  A
  by (auto simp: bij-betw-def)
  let ?f = the-inv-into  $\{i. i < n\}$  f
  have inj-on ?f A & ?f ‘ A =  $\{i. i < n\}$ 
  by (fold bij-betw-def) (rule bij-betw-the-inv-into[OF bij])
  thus ?thesis by blast
qed

```

lemma *finite-Collect-less-nat*[*iff*]: $\text{finite}\{n::\text{nat}. n < k\}$
by(*fastsimp simp: finite-conv-nat-seg-image*)

Finiteness and set theoretic constructions

lemma *finite-UnI*: $\text{finite } F \implies \text{finite } G \implies \text{finite } (F \text{ Un } G)$
by (*induct set: finite*) *simp-all*

lemma *finite-subset*: $A \subseteq B \implies \text{finite } B \implies \text{finite } A$
 — Every subset of a finite set is finite.

proof —

assume *finite B*

thus !!*A*. $A \subseteq B \implies \text{finite } A$

proof *induct*

case *empty*

thus ?*case* **by** *simp*

next

case (*insert x F A*)

have *A*: $A \subseteq \text{insert } x \text{ F}$ **and** *r*: $A - \{x\} \subseteq F \implies \text{finite } (A - \{x\})$ **by** *fact+*

show *finite A*

proof *cases*

assume *x*: $x \in A$

with *A* **have** $A - \{x\} \subseteq F$ **by** (*simp add: subset-insert-iff*)

with *r* **have** *finite* ($A - \{x\}$) .

hence *finite* (*insert x* ($A - \{x\}$)) ..

also **have** *insert x* ($A - \{x\}$) = *A* **using** *x* **by** (*rule insert-Diff*)

finally **show** ?*thesis* .

next

show $A \subseteq F \implies ?\text{thesis}$ **by** *fact*

assume $x \notin A$

with *A* **show** $A \subseteq F$ **by** (*simp add: subset-insert-iff*)

qed

qed

qed

lemma *rev-finite-subset*: $\text{finite } B \implies A \subseteq B \implies \text{finite } A$
by (*rule finite-subset*)

lemma *finite-Un* [*iff*]: $\text{finite } (F \text{ Un } G) = (\text{finite } F \ \& \ \text{finite } G)$
by (*blast intro: finite-subset [of - X Un Y, standard] finite-UnI*)

lemma *finite-Collect-disjI*[*simp*]:
 $\text{finite}\{x. P \ x \mid Q \ x\} = (\text{finite}\{x. P \ x\} \ \& \ \text{finite}\{x. Q \ x\})$
by(*simp add: Collect-disj-eq*)

lemma *finite-Int* [*simp, intro*]: $\text{finite } F \mid \text{finite } G \implies \text{finite } (F \text{ Int } G)$
 — The converse obviously fails.
by (*blast intro: finite-subset*)

lemma *finite-Collect-conjI* [*simp, intro*]:

$finite\{x. P\ x\} \mid finite\{x. Q\ x\} ==> finite\{x. P\ x \ \& \ Q\ x\}$
 — The converse obviously fails.
by(*simp add: Collect-conj-eq*)

lemma *finite-Collect-le-nat*[*iff*]: $finite\{n::nat. n \leq k\}$
by(*simp add: le-eq-less-or-eq*)

lemma *finite-insert* [*simp*]: $finite\ (insert\ a\ A) = finite\ A$
apply (*subst insert-is-Un*)
apply (*simp only: finite-Un, blast*)
done

lemma *finite-Union*[*simp, intro*]:
 $\llbracket finite\ A; \llbracket M. M \in A \implies finite\ M \rrbracket \implies finite\ (\bigcup A)$
by (*induct rule:finite-induct*) *simp-all*

lemma *finite-Inter*[*intro*]: $EX\ A:M. finite(A) \implies finite(Inter\ M)$
by (*blast intro: Inter-lower finite-subset*)

lemma *finite-INT*[*intro*]: $EX\ x:I. finite(A\ x) \implies finite(INT\ x:I. A\ x)$
by (*blast intro: INT-lower finite-subset*)

lemma *finite-empty-induct*:
assumes *finite A*
and *P A*
and $\llbracket a\ A. finite\ A ==> a:A ==> P\ A ==> P\ (A - \{a\})$
shows $P\ \{\}$
proof —
have $P\ (A - A)$
proof —
 $\{$
fix $c\ b :: 'a\ set$
assume $c: finite\ c$ **and** $b: finite\ b$
and $P1: P\ b$ **and** $P2: \llbracket x\ y. finite\ y ==> x \in y ==> P\ y ==> P\ (y - \{x\})$
have $c \subseteq b ==> P\ (b - c)$
using c
proof *induct*
case *empty*
from $P1$ **show** *?case* **by** *simp*
next
case (*insert x F*)
have $P\ (b - F - \{x\})$
proof (*rule P2*)
from b **show** $finite\ (b - F)$ **by** (*rule finite-subset*) *blast*
from *insert* **show** $x \in b - F$ **by** *simp*
from *insert* **show** $P\ (b - F)$ **by** *simp*
qed
also have $b - F - \{x\} = b - insert\ x\ F$ **by** (*rule Diff-insert [symmetric]*)

```

      finally show ?case .
    qed
  }
  then show ?thesis by this (simp-all add: assms)
  qed
  then show ?thesis by simp
  qed

lemma finite-Diff [simp]: finite A ==> finite (A - B)
by (rule Diff-subset [THEN finite-subset])

lemma finite-Diff2 [simp]:
  assumes finite B shows finite (A - B) = finite A
proof -
  have finite A  $\longleftrightarrow$  finite((A-B) Un (A Int B)) by (simp add: Un-Diff-Int)
  also have ...  $\longleftrightarrow$  finite(A-B) using ⟨finite B⟩ by (simp)
  finally show ?thesis ..
  qed

lemma finite-compl[simp]:
  finite(A::'a set)  $\implies$  finite(-A) = finite(UNIV::'a set)
by (simp add: Compl-eq-Diff-UNIV)

lemma finite-Collect-not[simp]:
  finite{x::'a. P x}  $\implies$  finite{x.  $\sim$  P x} = finite(UNIV::'a set)
by (simp add: Collect-neg-eq)

lemma finite-Diff-insert [iff]: finite (A - insert a B) = finite (A - B)
  apply (subst Diff-insert)
  apply (case-tac a : A - B)
  apply (rule finite-insert [symmetric, THEN trans])
  apply (subst insert-Diff, simp-all)
  done

Image and Inverse Image over Finite Sets

lemma finite-imageI[simp]: finite F ==> finite (h ` F)
  — The image of a finite set is finite.
  by (induct set: finite) simp-all

lemma finite-image-set [simp]:
  finite {x. P x}  $\implies$  finite { f x | x. P x }
  by (simp add: image-Collect [symmetric])

lemma finite-surj: finite A ==> B <= f ` A ==> finite B
  apply (frule finite-imageI)
  apply (erule finite-subset, assumption)
  done

lemma finite-range-imageI:

```



```

  finite (range g) ==> finite (range (%x. f (g x)))
  apply (drule finite-imageI, simp add: range-composition)
  done

```

lemma *finite-imageD*: $\text{finite } (f^{\ast}A) \implies \text{inj-on } f \ A \implies \text{finite } A$

proof –

```

  have aux: !!A. finite (A - {}) = finite A by simp
  fix B :: 'a set
  assume finite B
  thus !!A. f^{\ast}A = B ==> inj-on f A ==> finite A
  apply induct
  apply simp
  apply (subgoal-tac EX y:A. f y = x & F = f^{\ast} (A - {y}))
  apply clarify
  apply (simp (no-asm-use) add: inj-on-def)
  apply (blast dest!: aux [THEN iffD1], atomize)
  apply (erule-tac V = ALL A. ?PP (A) in thin-rl)
  apply (frule subsetD [OF equalityD2 insertI1], clarify)
  apply (rule-tac x = xa in bexI)
  apply (simp-all add: inj-on-image-set-diff)
  done
qed (rule refl)

```

lemma *inj-vimage-singleton*: $\text{inj } f \implies f^{-1}\{a\} \subseteq \{THE\ x. f\ x = a\}$

— The inverse image of a singleton under an injective function is included in a singleton.

```

  apply (auto simp add: inj-on-def)
  apply (blast intro: the-equality [symmetric])
  done

```

lemma *finite-vimageI*: $[[\text{finite } F; \text{inj } h] \implies \text{finite } (h^{-1} F)$

— The inverse image of a finite set under an injective function is finite.

```

  apply (induct set: finite)
  apply simp-all
  apply (subst vimage-insert)
  apply (simp add: finite-subset [OF inj-vimage-singleton])
  done

```

lemma *finite-vimageD*:

assumes $\text{fin}: \text{finite } (h^{-1} F)$ and $\text{surj}: \text{surj } h$
 shows $\text{finite } F$

proof –

```

  have finite (h^{\ast} (h^{-1} F)) using fin by (rule finite-imageI)
  also have h^{\ast} (h^{-1} F) = F using surj by (rule surj-image-vimage-eq)
  finally show finite F .

```

qed

lemma *finite-vimage-iff*: $\text{bij } h \implies \text{finite } (h^{-1} F) \longleftrightarrow \text{finite } F$

unfolding *bij-def* **by** (*auto elim: finite-vimageD finite-vimageI*)

The finite UNION of finite sets

lemma *finite-UN-I*: *finite A ==> (!!a. a:A ==> finite (B a)) ==> finite (UN a:A. B a)*
by (*induct set: finite*) *simp-all*

Strengthen RHS to $(\forall x \in A. \text{finite } (B x)) \wedge \text{finite } \{x \in A. B x \neq \{\}\}$?

We’d need to prove $\text{finite } C \implies \forall A B. \text{UNION } A B \subseteq C \longrightarrow \text{finite } \{x \in A. B x \neq \{\}\}$ by induction.

lemma *finite-UN [simp]*:
finite A ==> finite (UNION A B) = (ALL x:A. finite (B x))
by (*blast intro: finite-UN-I finite-subset*)

lemma *finite-Collect-bex[simp]*: *finite A ==>*
finite {x. EX y:A. Q x y} = (ALL y:A. finite {x. Q x y})
apply (*subgoal-tac {x. EX y:A. Q x y} = UNION A (%y. {x. Q x y})*)
apply *auto*
done

lemma *finite-Collect-bounded-ex[simp]*: *finite {y. P y} ==>*
finite {x. EX y. P y & Q x y} = (ALL y. P y ==> finite {x. Q x y})
apply (*subgoal-tac {x. EX y. P y & Q x y} = UNION {y. P y} (%y. {x. Q x y})*)
apply *auto*
done

lemma *finite-Plus*: *[| finite A; finite B |] ==> finite (A <+> B)*
by (*simp add: Plus-def*)

lemma *finite-PlusD*:
fixes *A :: 'a set and B :: 'b set*
assumes *fin: finite (A <+> B)*
shows *finite A finite B*

proof –

have *Inl ‘ A ⊆ A <+> B* **by** *auto*
hence *finite (Inl ‘ A :: ('a + 'b) set)* **using** *fin* **by** (*rule finite-subset*)
thus *finite A* **by** (*rule finite-imageD*) (*auto intro: inj-onI*)

next

have *Inr ‘ B ⊆ A <+> B* **by** *auto*
hence *finite (Inr ‘ B :: ('a + 'b) set)* **using** *fin* **by** (*rule finite-subset*)
thus *finite B* **by** (*rule finite-imageD*) (*auto intro: inj-onI*)

qed

lemma *finite-Plus-iff[simp]*: *finite (A <+> B) ⟷ finite A ∧ finite B*
by (*auto intro: finite-PlusD finite-Plus*)

lemma *finite-Plus-UNIV-iff[simp]*:
finite (UNIV :: ('a + 'b) set) =

```

  (finite (UNIV :: 'a set) & finite (UNIV :: 'b set))
by(subst UNIV-Plus-UNIV[symmetric])(rule finite-Plus-iff)

```

Sigma of finite sets

```

lemma finite-SigmaI [simp]:
  finite A ==> (!a. a:A ==> finite (B a)) ==> finite (SIGMA a:A. B a)
by (unfold Sigma-def) (blast intro!: finite-UN-I)

```

```

lemma finite-cartesian-product: [| finite A; finite B |] ==>
  finite (A <*> B)
by (rule finite-SigmaI)

```

```

lemma finite-Prod-UNIV:
  finite (UNIV::'a set) ==> finite (UNIV::'b set) ==> finite (UNIV::('a * 'b)
set)
  apply (subgoal-tac (UNIV:: ('a * 'b) set) = Sigma UNIV (%x. UNIV))
  apply (erule ssubst)
  apply (erule finite-SigmaI, auto)
done

```

```

lemma finite-cartesian-productD1:
  [| finite (A <*> B); B ≠ {} |] ==> finite A
  apply (auto simp add: finite-conv-nat-seg-image)
  apply (drule-tac x=n in spec)
  apply (drule-tac x=fst o f in spec)
  apply (auto simp add: o-def)
  prefer 2 apply (force dest!: equalityD2)
  apply (drule equalityD1)
  apply (rename-tac y x)
  apply (subgoal-tac ∃ k. k<n & f k = (x,y))
  prefer 2 apply force
  apply clarify
  apply (rule-tac x=k in image-eqI, auto)
done

```

```

lemma finite-cartesian-productD2:
  [| finite (A <*> B); A ≠ {} |] ==> finite B
  apply (auto simp add: finite-conv-nat-seg-image)
  apply (drule-tac x=n in spec)
  apply (drule-tac x=snd o f in spec)
  apply (auto simp add: o-def)
  prefer 2 apply (force dest!: equalityD2)
  apply (drule equalityD1)
  apply (rename-tac x y)
  apply (subgoal-tac ∃ k. k<n & f k = (x,y))
  prefer 2 apply force
  apply clarify
  apply (rule-tac x=k in image-eqI, auto)
done

```

The powerset of a finite set

lemma *finite-Pow-iff* [iff]: *finite (Pow A) = finite A*

proof

assume *finite (Pow A)*

with - have *finite ((%x. {x}) ‘ A)* **by** (*rule finite-subset*) *blast*

thus *finite A* **by** (*rule finite-imageD [unfolded inj-on-def]*) *simp*

next

assume *finite A*

thus *finite (Pow A)*

by *induct (simp-all add: Pow-insert)*

qed

lemma *finite-Collect-subsets*[*simp,intro*]: *finite A \implies finite {B. B \subseteq A}*

by(*simp add: Pow-def[symmetric]*)

lemma *finite-UnionD*: *finite(\bigcup A) \implies finite A*

by(*blast intro: finite-subset[OF subset-Pow-Union]*)

lemma *finite-subset-image*:

assumes *finite B*

shows *B \subseteq f ‘ A $\implies \exists C \subseteq A. \text{finite } C \wedge B = f ‘ C$*

using *assms* **proof**(*induct*)

case empty **thus** ?*case* **by** *simp*

next

case insert **thus** ?*case*

by (*clarsimp simp del: image-insert simp add: image-insert[symmetric]*)
 blast

qed

20.2 Class *finite*

class *finite* =

assumes *finite-UNIV*: *finite (UNIV :: 'a set)*

begin

lemma *finite* [*simp*]: *finite (A :: 'a set)*

by (*rule subset-UNIV finite-UNIV finite-subset*)**+**

end

lemma *UNIV-unit* [*no-atp*]:

$UNIV = \{()\}$ **by** *auto*

instance *unit* :: *finite* **proof**

qed (*simp add: UNIV-unit*)

lemma *UNIV-bool* [*no-atp*]:

```

UNIV = {False, True} by auto

instance bool :: finite proof
qed (simp add: UNIV=bool)

instance * :: (finite, finite) finite proof
qed (simp only: UNIV-Times-UNIV [symmetric] finite-cartesian-product finite)

lemma finite-option-UNIV [simp]:
  finite (UNIV :: 'a option set) = finite (UNIV :: 'a set)
  by (auto simp add: UNIV-option-conv elim: finite-imageD intro: inj-Some)

instance option :: (finite) finite proof
qed (simp add: UNIV-option-conv)

lemma inj-graph: inj (%f. {(x, y). y = f x})
  by (rule inj-onI, auto simp add: expand-set-eq expand-fun-eq)

instance fun :: (finite, finite) finite
proof
  show finite (UNIV :: ('a => 'b) set)
  proof (rule finite-imageD)
    let ?graph = %f::'a => 'b. {(x, y). y = f x}
    have range ?graph ⊆ Pow UNIV by simp
    moreover have finite (Pow (UNIV :: ('a * 'b) set))
      by (simp only: finite-Pow-iff finite)
    ultimately show finite (range ?graph)
      by (rule finite-subset)
    show inj ?graph by (rule inj-graph)
  qed
qed

instance + :: (finite, finite) finite proof
qed (simp only: UNIV-Plus-UNIV [symmetric] finite-Plus finite)

```

20.3 A basic fold functional for finite sets

The intended behaviour is $\text{fold } f \ z \ \{x_1, \dots, x_n\} = f \ x_1 \ (\dots (f \ x_n \ z) \dots)$ if f is “left-commutative”:

```

locale fun-left-comm =
  fixes f :: 'a => 'b => 'b
  assumes fun-left-comm: f x (f y z) = f y (f x z)
begin

```

On a functional level it looks much nicer:

```

lemma fun-comp-comm: f x ∘ f y = f y ∘ f x
by (simp add: fun-left-comm expand-fun-eq)

```

end

inductive *fold-graph* :: ($'a \Rightarrow 'b \Rightarrow 'b$) $\Rightarrow 'b \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow \text{bool}$
for $f :: 'a \Rightarrow 'b \Rightarrow 'b$ **and** $z :: 'b$ **where**
 emptyI [intro]: *fold-graph* $f\ z\ \{\}$ $z \mid$
 insertI [intro]: $x \notin A \Longrightarrow \text{fold-graph } f\ z\ A\ y$
 $\Longrightarrow \text{fold-graph } f\ z\ (\text{insert } x\ A)\ (f\ x\ y)$

inductive-cases *empty-fold-graphE* [elim!]: *fold-graph* $f\ z\ \{\}$ x

definition *fold* :: ($'a \Rightarrow 'b \Rightarrow 'b$) $\Rightarrow 'b \Rightarrow 'a \text{ set} \Rightarrow 'b$ **where**
 [code del]: *fold* $f\ z\ A = (\text{THE } y. \text{fold-graph } f\ z\ A\ y)$

A tempting alternative for the definiens is *if finite A then THE y. fold-graph f z A y else e*. It allows the removal of finiteness assumptions from the theorems *fold-comm*, *fold-reindex* and *fold-distrib*. The proofs become ugly. It is not worth the effort. (???)

lemma *Diff1-fold-graph*:

fold-graph $f\ z\ (A - \{x\})\ y \Longrightarrow x \in A \Longrightarrow \text{fold-graph } f\ z\ A\ (f\ x\ y)$
by (*erule insert-Diff* [THEN *subst*], *rule fold-graph.intros*, *auto*)

lemma *fold-graph-imp-finite*: *fold-graph* $f\ z\ A\ x \Longrightarrow \text{finite } A$
by (*induct set*: *fold-graph*) *auto*

lemma *finite-imp-fold-graph*: *finite* $A \Longrightarrow \exists x. \text{fold-graph } f\ z\ A\ x$
by (*induct set*: *finite*) *auto*

20.3.1 From *fold-graph* to *fold*

context *fun-left-comm*
begin

lemma *fold-graph-insertE-aux*:

fold-graph $f\ z\ A\ y \Longrightarrow a \in A \Longrightarrow \exists y'. y = f\ a\ y' \wedge \text{fold-graph } f\ z\ (A - \{a\})\ y'$
proof (*induct set*: *fold-graph*)

case (*insertI* $x\ A\ y$) **show** ?*case*

proof (*cases* $x = a$)

assume $x = a$ **with** *insertI* **show** ?*case* **by** *auto*

next

assume $x \neq a$

then obtain y' **where** $y = f\ a\ y'$ **and** $y': \text{fold-graph } f\ z\ (A - \{a\})\ y'$

using *insertI* **by** *auto*

have 1: $f\ x\ y = f\ a\ (f\ x\ y')$

unfolding y **by** (*rule fun-left-comm*)

have 2: *fold-graph* $f\ z\ (\text{insert } x\ A - \{a\})\ (f\ x\ y')$

using y' **and** $\langle x \neq a \rangle$ **and** $\langle x \notin A \rangle$

by (*simp add*: *insert-Diff-if fold-graph.insertI*)

from 1 2 **show** ?*case* **by** *fast*

qed

qed *simp*

lemma *fold-graph-insertE*:

assumes *fold-graph* $f\ z\ (insert\ x\ A)\ v$ **and** $x \notin A$
obtains y **where** $v = f\ x\ y$ **and** *fold-graph* $f\ z\ A\ y$
using *assms* **by** (*auto dest: fold-graph-insertE-aux [OF - insertI1]*)

lemma *fold-graph-determ*:

fold-graph $f\ z\ A\ x \implies fold-graph\ f\ z\ A\ y \implies y = x$
proof (*induct arbitrary: y set: fold-graph*)
case (*insertI* $x\ A\ y\ v$)
from $\langle fold-graph\ f\ z\ (insert\ x\ A)\ v \rangle$ **and** $\langle x \notin A \rangle$
obtain y' **where** $v = f\ x\ y'$ **and** *fold-graph* $f\ z\ A\ y'$
by (*rule fold-graph-insertE*)
from $\langle fold-graph\ f\ z\ A\ y' \rangle$ **have** $y' = y$ **by** (*rule insertI*)
with $\langle v = f\ x\ y' \rangle$ **show** $v = f\ x\ y$ **by** *simp*
qed *fast*

lemma *fold-equality*:

fold-graph $f\ z\ A\ y \implies fold\ f\ z\ A = y$
by (*unfold fold-def*) (*blast intro: fold-graph-determ*)

lemma *fold-graph-fold*: *finite* $A \implies fold-graph\ f\ z\ A\ (fold\ f\ z\ A)$

unfolding *fold-def*
apply (*rule theI'*)
apply (*rule ex-ex1I*)
apply (*erule finite-imp-fold-graph*)
apply (*erule (1) fold-graph-determ*)
done

The base case for *fold*:

lemma (*in -*) *fold-empty* [*simp*]: *fold* $f\ z\ \{\} = z$
by (*unfold fold-def*) *blast*

The various recursion equations for *fold*:

lemma *fold-insert* [*simp*]:

finite $A \implies x \notin A \implies fold\ f\ z\ (insert\ x\ A) = f\ x\ (fold\ f\ z\ A)$
apply (*rule fold-equality*)
apply (*erule fold-graph.insertI*)
apply (*erule fold-graph-fold*)
done

lemma *fold-fun-comm*:

finite $A \implies f\ x\ (fold\ f\ z\ A) = fold\ f\ (f\ x\ z)\ A$
proof (*induct rule: finite-induct*)
case *empty* **then show** *?case* **by** *simp*
next
case (*insert* $y\ A$) **then show** *?case*
by (*simp add: fun-left-comm[of x]*)

qed

lemma *fold-insert2*:

finite A $\implies x \notin A \implies \text{fold } f \ z \ (\text{insert } x \ A) = \text{fold } f \ (f \ x \ z) \ A$
by (*simp add: fold-fun-comm*)

lemma *fold-rec*:

assumes *finite A* **and** $x \in A$

shows $\text{fold } f \ z \ A = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$

proof –

have $A = \text{insert } x \ (A - \{x\})$ **using** $\langle x \in A \rangle$ **by** *blast*

then have $\text{fold } f \ z \ A = \text{fold } f \ z \ (\text{insert } x \ (A - \{x\}))$ **by** *simp*

also have $\dots = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$

by (*rule fold-insert*) (*simp add: finite A*) +

finally show *?thesis* .

qed

lemma *fold-insert-remove*:

assumes *finite A*

shows $\text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ (A - \{x\}))$

proof –

from $\langle \text{finite } A \rangle$ **have** *finite (insert x A)* **by** *auto*

moreover have $x \in \text{insert } x \ A$ **by** *auto*

ultimately have $\text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ (\text{insert } x \ A - \{x\}))$

by (*rule fold-rec*)

then show *?thesis* **by** *simp*

qed

end

A simplified version for idempotent functions:

locale *fun-left-comm-idem* = *fun-left-comm* +

assumes *fun-left-idem*: $f \ x \ (f \ x \ z) = f \ x \ z$

begin

The nice version:

lemma *fun-comp-idem* : $f \ x \ o \ f \ x = f \ x$

by (*simp add: fun-left-idem expand-fun-eq*)

lemma *fold-insert-idem*:

assumes *fin*: *finite A*

shows $\text{fold } f \ z \ (\text{insert } x \ A) = f \ x \ (\text{fold } f \ z \ A)$

proof *cases*

assume $x \in A$

then obtain *B* **where** $A = \text{insert } x \ B$ **and** $x \notin B$ **by** (*rule set-insert*)

then show *?thesis* **using** *assms* **by** (*simp add: fun-left-idem*)

next

assume $x \notin A$ **then show** *?thesis* **using** *assms* **by** *simp*

qed

declare *fold-insert*[*simp del*] *fold-insert-idem*[*simp*]

lemma *fold-insert-idem2*:

finite A \implies *fold f z (insert x A) = fold f (f x z) A*
by(*simp add:fold-fun-comm*)

end

20.3.2 Expressing set operations via *fold*

lemma (**in** *fun-left-comm*) *fun-left-comm-apply*:

fun-left-comm ($\lambda x. f (g x)$)

proof

qed (*simp-all add: fun-left-comm*)

lemma (**in** *fun-left-comm-idem*) *fun-left-comm-idem-apply*:

fun-left-comm-idem ($\lambda x. f (g x)$)

by (*rule fun-left-comm-idem.intro, rule fun-left-comm-apply, unfold-locales*)
(*simp-all add: fun-left-idem*)

lemma *fun-left-comm-idem-insert*:

fun-left-comm-idem insert

proof

qed *auto*

lemma *fun-left-comm-idem-remove*:

fun-left-comm-idem ($\lambda x A. A - \{x\}$)

proof

qed *auto*

lemma (**in** *semilattice-inf*) *fun-left-comm-idem-inf*:

fun-left-comm-idem inf

proof

qed (*auto simp add: inf-left-commute*)

lemma (**in** *semilattice-sup*) *fun-left-comm-idem-sup*:

fun-left-comm-idem sup

proof

qed (*auto simp add: sup-left-commute*)

lemma *union-fold-insert*:

assumes *finite A*

shows $A \cup B = \text{fold insert } B A$

proof —

interpret *fun-left-comm-idem insert* **by** (*fact fun-left-comm-idem-insert*)
from (*finite A*) **show** ?thesis **by** (*induct A arbitrary: B*) *simp-all*

qed

lemma *minus-fold-remove*:
 assumes *finite A*
 shows $B - A = \text{fold } (\lambda x A. A - \{x\}) B A$
proof –
 interpret *fun-left-comm-idem* $\lambda x A. A - \{x\}$ **by** (*fact fun-left-comm-idem-remove*)
 from $\langle \text{finite } A \rangle$ **show** *?thesis* **by** (*induct A arbitrary: B*) *auto*
qed

context *complete-lattice*
begin

lemma *inf-Inf-fold-inf*:
 assumes *finite A*
 shows $\text{inf } B (\text{Inf } A) = \text{fold inf } B A$
proof –
 interpret *fun-left-comm-idem inf* **by** (*fact fun-left-comm-idem-inf*)
 from $\langle \text{finite } A \rangle$ **show** *?thesis* **by** (*induct A arbitrary: B*)
 (*simp-all add: Inf-empty Inf-insert inf-commute fold-fun-comm*)
qed

lemma *sup-Sup-fold-sup*:
 assumes *finite A*
 shows $\text{sup } B (\text{Sup } A) = \text{fold sup } B A$
proof –
 interpret *fun-left-comm-idem sup* **by** (*fact fun-left-comm-idem-sup*)
 from $\langle \text{finite } A \rangle$ **show** *?thesis* **by** (*induct A arbitrary: B*)
 (*simp-all add: Sup-empty Sup-insert sup-commute fold-fun-comm*)
qed

lemma *Inf-fold-inf*:
 assumes *finite A*
 shows $\text{Inf } A = \text{fold inf top } A$
 using *assms inf-Inf-fold-inf [of A top]* **by** (*simp add: inf-absorb2*)

lemma *Sup-fold-sup*:
 assumes *finite A*
 shows $\text{Sup } A = \text{fold sup bot } A$
 using *assms sup-Sup-fold-sup [of A bot]* **by** (*simp add: sup-absorb2*)

lemma *inf-INFI-fold-inf*:
 assumes *finite A*
 shows $\text{inf } B (\text{INFI } A f) = \text{fold } (\lambda A. \text{inf } (f A)) B A$ (**is** *?inf = ?fold*)
proof (*rule sym*)
 interpret *fun-left-comm-idem inf* **by** (*fact fun-left-comm-idem-inf*)
 interpret *fun-left-comm-idem* $\lambda A. \text{inf } (f A)$ **by** (*fact fun-left-comm-idem-apply*)
 from $\langle \text{finite } A \rangle$ **show** *?fold = ?inf*
by (*induct A arbitrary: B*)
 (*simp-all add: INFI-def Inf-empty Inf-insert inf-left-commute*)
qed

```

lemma sup-SUPR-fold-sup:
  assumes finite A
  shows  $\text{sup } B \ (\text{SUPR } A \ f) = \text{fold } (\lambda A. \text{sup } (f \ A)) \ B \ A$  (is  $?sup = ?fold$ )
proof (rule sym)
  interpret fun-left-comm-idem sup by (fact fun-left-comm-idem-sup)
  interpret fun-left-comm-idem  $\lambda A. \text{sup } (f \ A)$  by (fact fun-left-comm-idem-apply)
  from  $\langle \text{finite } A \rangle$  show  $?fold = ?sup$ 
  by (induct A arbitrary: B)
    (simp-all add: SUPR-def Sup-empty Sup-insert sup-left-commute)
qed

```

```

lemma INFI-fold-inf:
  assumes finite A
  shows  $\text{INFI } A \ f = \text{fold } (\lambda A. \text{inf } (f \ A)) \ \text{top } A$ 
  using assms inf-INFI-fold-inf [of A top] by simp

```

```

lemma SUPR-fold-sup:
  assumes finite A
  shows  $\text{SUPR } A \ f = \text{fold } (\lambda A. \text{sup } (f \ A)) \ \text{bot } A$ 
  using assms sup-SUPR-fold-sup [of A bot] by simp

```

end

20.4 The derived combinator *fold-image*

```

definition fold-image ::  $('b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b \Rightarrow 'a \text{ set} \Rightarrow 'b$ 
where  $\text{fold-image } f \ g = \text{fold } (\%x \ y. f \ (g \ x) \ y)$ 

```

```

lemma fold-image-empty[simp]:  $\text{fold-image } f \ g \ z \ \{\} = z$ 
by(simp add:fold-image-def)

```

```

context ab-semigroup-mult
begin

```

```

lemma fold-image-insert[simp]:
  assumes finite A and  $a \notin A$ 
  shows  $\text{fold-image times } g \ z \ (\text{insert } a \ A) = g \ a * (\text{fold-image times } g \ z \ A)$ 
proof –
  interpret I: fun-left-comm  $\%x \ y. (g \ x) * y$ 
    by unfold-locales (simp add: mult-ac)
  show ?thesis using assms by(simp add:fold-image-def)
qed

```

```

lemma fold-image-reindex:
  assumes fin: finite A
  shows  $\text{inj-on } h \ A \Longrightarrow \text{fold-image times } g \ z \ (h' A) = \text{fold-image times } (g \circ h) \ z \ A$ 

```

using *fin* by *induct auto*

lemma *fold-image-cong*:

finite A \implies
 (!*x*. *x*:*A* \implies *g x* = *h x*) \implies *fold-image times g z A* = *fold-image times h z A*
apply (*subgoal-tac ALL C. C* <= *A* $\dashv\dashv$ (*ALL x:C. g x* = *h x*) $\dashv\dashv$ *fold-image times g z C* = *fold-image times h z C*)
apply *simp*
apply (*erule finite-induct, simp*)
apply (*simp add: subset-insert-iff, clarify*)
apply (*subgoal-tac finite C*)
prefer 2 apply (*blast dest: finite-subset [COMP swap-prems-rl]*)
apply (*subgoal-tac C* = *insert x (C - {x})*)
prefer 2 apply *blast*
apply (*erule ssubst*)
apply (*drule spec*)
apply (*erule (1) notE impE*)
apply (*simp add: Ball-def del: insert-Diff-single*)
done

end

context *comm-monoid-mult*

begin

lemma *fold-image-1*:

finite S \implies ($\forall x \in S. f x = 1$) \implies *fold-image op * f 1 S* = 1
apply (*induct set: finite*)
apply *simp by auto*

lemma *fold-image-Un-Int*:

finite A \implies *finite B* \implies
fold-image times g 1 A * *fold-image times g 1 B* =
fold-image times g 1 (A Un B) * *fold-image times g 1 (A Int B)*
by (*induct set: finite*)
 (*auto simp add: mult-ac insert-absorb Int-insert-left*)

lemma *fold-image-Un-one*:

assumes *fS*: *finite S* **and** *fT*: *finite T*
and *I0*: $\forall x \in S \cap T. f x = 1$
shows *fold-image (op *) f 1 (S \cup T)* = *fold-image (op *) f 1 S* * *fold-image (op *) f 1 T*
proof –
have *fold-image op * f 1 (S \cap T)* = 1
apply (*rule fold-image-1*)
using *fS fT I0* **by** *auto*
with *fold-image-Un-Int[OF fS fT]* **show** ?*thesis* **by** *simp*

qed

corollary *fold-Un-disjoint:*

$finite\ A ==> finite\ B ==> A\ Int\ B = \{\} ==>$
 $fold-image\ times\ g\ 1\ (A\ Un\ B) =$
 $fold-image\ times\ g\ 1\ A * fold-image\ times\ g\ 1\ B$
by (*simp add: fold-image-Un-Int*)

lemma *fold-image-UN-disjoint:*

$\llbracket finite\ I; ALL\ i:I. finite\ (A\ i);$
 $ALL\ i:I. ALL\ j:I. i \neq j \longrightarrow A\ i\ Int\ A\ j = \{\} \rrbracket$
 $\implies fold-image\ times\ g\ 1\ (UNION\ I\ A) =$
 $fold-image\ times\ (\%i. fold-image\ times\ g\ 1\ (A\ i))\ 1\ I$
apply (*induct set: finite, simp, atomize*)
apply (*subgoal-tac ALL i:F. x \neq i*)
prefer 2 **apply** *blast*
apply (*subgoal-tac A x Int UNION F A = \{\}*)
prefer 2 **apply** *blast*
apply (*simp add: fold-Un-disjoint*)
done

lemma *fold-image-Sigma:* $finite\ A ==> ALL\ x:A. finite\ (B\ x) ==>$

$fold-image\ times\ (\%x. fold-image\ times\ (g\ x)\ 1\ (B\ x))\ 1\ A =$
 $fold-image\ times\ (split\ g)\ 1\ (SIGMA\ x:A. B\ x)$
apply (*subst Sigma-def*)
apply (*subst fold-image-UN-disjoint, assumption, simp*)
apply *blast*
apply (*erule fold-image-cong*)
apply (*subst fold-image-UN-disjoint, simp, simp*)
apply *blast*
apply *simp*
done

lemma *fold-image-distrib:* $finite\ A \implies$

$fold-image\ times\ (\%x. g\ x * h\ x)\ 1\ A =$
 $fold-image\ times\ g\ 1\ A * fold-image\ times\ h\ 1\ A$
by (*erule finite-induct*) (*simp-all add: mult-ac*)

lemma *fold-image-related:*

assumes *Re: R e e*
and *Rop: $\forall x1\ y1\ x2\ y2. R\ x1\ x2 \wedge R\ y1\ y2 \longrightarrow R\ (x1 * y1)\ (x2 * y2)$*
and *fS: finite S and Rfg: $\forall x \in S. R\ (h\ x)\ (g\ x)$*
shows $R\ (fold-image\ (op\ *)\ h\ e\ S)\ (fold-image\ (op\ *)\ g\ e\ S)$
using *fS by (rule finite-subset-induct) (insert assms, auto)*

lemma *fold-image-eq-general:*

assumes *fS: finite S*
and *h: $\forall y \in S'. \exists! x. x \in S \wedge h(x) = y$*
and *f12: $\forall x \in S. h\ x \in S' \wedge f2(h\ x) = f1\ x$*

```

shows fold-image (op *) f1 e S = fold-image (op *) f2 e S'
proof -
  from f12 have hS: h ` S = S' by auto
  {fix x y assume H: x ∈ S y ∈ S h x = h y
   from f12 h H have x = y by auto }
  hence hinj: inj-on h S unfolding inj-on-def Ex1-def by blast
  from f12 have th:  $\bigwedge x. x \in S \implies (f2 \circ h) x = f1 x$  by auto
  from hS have fold-image (op *) f2 e S' = fold-image (op *) f2 e (h ` S) by
simp
  also have ... = fold-image (op *) (f2 o h) e S
    using fold-image-reindex[OF fS hinj, of f2 e] .
  also have ... = fold-image (op *) f1 e S using th fold-image-cong[OF fS, of f2
o h f1 e]
    by blast
  finally show ?thesis ..
qed

```

lemma fold-image-eq-general-inverses:

```

assumes fS: finite S
and kh:  $\bigwedge y. y \in T \implies k y \in S \wedge h (k y) = y$ 
and hk:  $\bigwedge x. x \in S \implies h x \in T \wedge k (h x) = x \wedge g (h x) = f x$ 
shows fold-image (op *) f e S = fold-image (op *) g e T

```

```

apply (rule fold-image-eq-general[OF fS, of T h g f e])
apply (rule ballI)
apply (frule kh)
apply (rule ex1I[])
apply blast
apply clarsimp
apply (drule hk) apply simp
apply (rule sym)
apply (erule conjunct1[OF conjunct2[OF hk]])
apply (rule ballI)
apply (drule hk)
apply blast
done

```

end

20.5 A fold functional for non-empty sets

Does not require start value.

inductive

```

fold1Set :: ('a => 'a => 'a) => 'a set => 'a => bool
for f :: 'a => 'a => 'a

```

where

```

fold1Set-insertI [intro]:
 $\llbracket \text{fold-graph } f \text{ a } A \text{ x; } a \notin A \rrbracket \implies \text{fold1Set } f (\text{insert } a \text{ } A) \text{ x}$ 

```

definition *fold1* :: ('a => 'a => 'a) => 'a set => 'a **where**
fold1 f A == THE x. fold1Set f A x

lemma *fold1Set-nonempty*:
fold1Set f A x \implies A \neq {}
by(erule *fold1Set.cases*, *simp-all*)

inductive-cases *empty-fold1SetE* [elim!]: *fold1Set* f {} x

inductive-cases *insert-fold1SetE* [elim!]: *fold1Set* f (insert a X) x

lemma *fold1Set-sing* [iff]: (*fold1Set* f {a} b) = (a = b)
by (blast elim: *fold-graph.cases*)

lemma *fold1-singleton* [simp]: *fold1* f {a} = a
by (unfold *fold1-def*) blast

lemma *finite-nonempty-imp-fold1Set*:
 $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \exists x. \text{fold1Set } f A x$
apply (induct A rule: *finite-induct*)
apply (auto dest: *finite-imp-fold-graph* [of - f])
done

First, some lemmas about *fold-graph*.

context *ab-semigroup-mult*
begin

lemma *fun-left-comm*: *fun-left-comm*(op *)
by *unfold-locales* (*simp add: mult-ac*)

lemma *fold-graph-insert-swap*:
assumes *fold*: *fold-graph times* (b::'a) A y **and** b \notin A
shows *fold-graph times* z (insert b A) (z * y)
proof —
interpret *fun-left-comm* op *::'a \Rightarrow 'a \Rightarrow 'a **by** (rule *fun-left-comm*)
from *assms* **show** ?thesis
proof (induct rule: *fold-graph.induct*)
case *emptyI* **show** ?case **by** (subst *mult-commute* [of z b], fast)
next
case (*insertI* x A y)
have *fold-graph times* z (insert x (insert b A)) (x * (z * y))
using *insertI* **by** force — how does *id* get unfolded?
thus ?case **by** (*simp add: insert-commute mult-ac*)
qed
qed

lemma *fold-graph-permute-diff*:
assumes *fold*: *fold-graph times* b A x

```

shows !!a.  $\llbracket a \in A; b \notin A \rrbracket \implies \text{fold-graph times } a \text{ (insert } b \text{ (} A - \{a\} \text{)) } x$ 
using fold
proof (induct rule: fold-graph.induct)
  case emptyI thus ?case by simp
next
  case (insertI x A y)
  have  $a = x \vee a \in A$  using insertI by simp
  thus ?case
  proof
    assume  $a = x$ 
    with insertI show ?thesis
    by (simp add: id-def [symmetric], blast intro: fold-graph-insert-swap)
  next
    assume  $a \in A$ 
    hence fold-graph times a (insert x (insert b (A - {a}))) (x * y)
    using insertI by force
    moreover
    have insert x (insert b (A - {a})) = insert b (insert x A - {a})
    using ainA insertI by blast
    ultimately show ?thesis by simp
  qed
qed

```

lemma fold1-eq-fold:

assumes finite A $a \notin A$ **shows** fold1 times (insert a A) = fold times a A

proof –

```

  interpret fun-left-comm op *: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a by (rule fun-left-comm)
  from assms show ?thesis
  apply (simp add: fold1-def fold-def)
  apply (rule the-equality)
  apply (best intro: fold-graph-determ theI dest: finite-imp-fold-graph [of - times])
  apply (rule sym, clarify)
  apply (case-tac Aa=A)
  apply (best intro: fold-graph-determ)
  apply (subgoal-tac fold-graph times a A x)
  apply (best intro: fold-graph-determ)
  apply (subgoal-tac insert aa (Aa - {a}) = A)
  prefer 2 apply (blast elim: equalityE)
  apply (auto dest: fold-graph-permute-diff [where a=a])
  done
qed

```

lemma nonempty-iff: $(A \neq \{\}) = (\exists x B. A = \text{insert } x B \ \& \ x \notin B)$

```

apply safe
apply simp
apply (drule-tac x=x in spec)
apply (drule-tac x=A-{x} in spec, auto)
done

```



```

lemma fold1-insert:
  assumes nonempty:  $A \neq \{\}$  and  $A$ : finite  $A$   $x \notin A$ 
  shows fold1 times (insert  $x$   $A$ ) =  $x * \text{fold1 times } A$ 
proof –
  interpret fun-left-comm  $op$   $:: 'a \Rightarrow 'a \Rightarrow 'a$  by (rule fun-left-comm)
  from nonempty obtain  $a$   $A'$  where  $A = \text{insert } a$   $A'$  &  $a \sim: A'$ 
    by (auto simp add: nonempty-iff)
  with  $A$  show ?thesis
    by (simp add: insert-commute [of x] fold1-eq-fold eq-commute)
qed

end

context ab-semigroup-idem-mult
begin

lemma fun-left-comm-idem: fun-left-comm-idem( $op$   $*$ )
apply unfold-locales
  apply (rule mult-left-commute)
  apply (rule mult-left-idem)
done

lemma fold1-insert-idem [simp]:
  assumes nonempty:  $A \neq \{\}$  and  $A$ : finite  $A$ 
  shows fold1 times (insert  $x$   $A$ ) =  $x * \text{fold1 times } A$ 
proof –
  interpret fun-left-comm-idem  $op$   $:: 'a \Rightarrow 'a \Rightarrow 'a$ 
    by (rule fun-left-comm-idem)
  from nonempty obtain  $a$   $A'$  where  $A': A = \text{insert } a$   $A'$  &  $a \sim: A'$ 
    by (auto simp add: nonempty-iff)
  show ?thesis
  proof cases
    assume  $a = x$ 
    thus ?thesis
    proof cases
      assume  $A' = \{\}$ 
      with prems show ?thesis by simp
    next
      assume  $A' \neq \{\}$ 
      with prems show ?thesis
        by (simp add: fold1-insert mult-assoc [symmetric])
    qed
  next
    assume  $a \neq x$ 
    with prems show ?thesis
      by (simp add: insert-commute fold1-eq-fold)
    qed
  qed

```

```

lemma hom-fold1-commute:
  assumes hom:  $\forall x y. h (x * y) = h x * h y$ 
  and N: finite N  $N \neq \{\}$  shows  $h (fold1\ times\ N) = fold1\ times\ (h\ '\ N)$ 
  using N proof (induct rule: finite-ne-induct)
    case singleton thus ?case by simp
  next
    case (insert n N)
    then have  $h (fold1\ times\ (insert\ n\ N)) = h (n * fold1\ times\ N)$  by simp
    also have  $\dots = h\ n * h (fold1\ times\ N)$  by (rule hom)
    also have  $h (fold1\ times\ N) = fold1\ times\ (h\ '\ N)$  by (rule insert)
    also have  $times\ (h\ n) \dots = fold1\ times\ (insert\ (h\ n)\ (h\ '\ N))$ 
      using insert by (simp)
    also have  $insert\ (h\ n)\ (h\ '\ N) = h\ '\ insert\ n\ N$  by simp
    finally show ?case .
qed

lemma fold1-eq-fold-idem:
  assumes finite A
  shows  $fold1\ times\ (insert\ a\ A) = fold\ times\ a\ A$ 
proof (cases  $a \in A$ )
  case False
    with assms show ?thesis by (simp add: fold1-eq-fold)
  next
    interpret fun-left-comm-idem times by (fact fun-left-comm-idem)
    case True then obtain b B
      where A:  $A = insert\ a\ B$  and  $a \notin B$  by (rule set-insert)
    with assms have finite B by auto
    then have  $fold\ times\ a\ (insert\ a\ B) = fold\ times\ (a * a)\ B$ 
      using  $\langle a \notin B \rangle$  by (rule fold-insert2)
    then show ?thesis
      using  $\langle a \notin B \rangle \langle finite\ B \rangle$  by (simp add: fold1-eq-fold A)
qed

end

```

Now the recursion rules for definitions:

```

lemma fold1-singleton-def:  $g = fold1\ f \implies g\ \{a\} = a$ 
by simp

lemma (in ab-semigroup-mult) fold1-insert-def:
   $\llbracket g = fold1\ times; finite\ A; x \notin A; A \neq \{\} \rrbracket \implies g\ (insert\ x\ A) = x * g\ A$ 
by (simp add: fold1-insert)

lemma (in ab-semigroup-idem-mult) fold1-insert-idem-def:
   $\llbracket g = fold1\ times; finite\ A; A \neq \{\} \rrbracket \implies g\ (insert\ x\ A) = x * g\ A$ 
by simp

```

20.5.1 Determinacy for *fold1Set*

declare

empty-fold-graphE [rule del] *fold-graph.intros* [rule del]
empty-fold1SetE [rule del] *insert-fold1SetE* [rule del]
 — No more proofs involve these relations.

20.5.2 Lemmas about *fold1*

context *ab-semigroup-mult*

begin

lemma *fold1-Un*:

assumes *A*: *finite A* $A \neq \{\}$

shows *finite B* $\implies B \neq \{\} \implies A \text{ Int } B = \{\} \implies$

$\text{fold1 times } (A \text{ Un } B) = \text{fold1 times } A * \text{fold1 times } B$

using *A* **by** (*induct rule: finite-ne-induct*)

(*simp-all add: fold1-insert mult-assoc*)

lemma *fold1-in*:

assumes *A*: *finite (A)* $A \neq \{\}$ **and** *elem*: $\bigwedge x y. x * y \in \{x, y\}$

shows *fold1 times A* $\in A$

using *A*

proof (*induct rule: finite-ne-induct*)

case *singleton* **thus** ?*case* **by** *simp*

next

case *insert* **thus** ?*case* **using** *elem* **by** (*force simp add: fold1-insert*)

qed

end

lemma (**in** *ab-semigroup-idem-mult*) *fold1-Un2*:

assumes *A*: *finite A* $A \neq \{\}$

shows *finite B* $\implies B \neq \{\} \implies$

$\text{fold1 times } (A \text{ Un } B) = \text{fold1 times } A * \text{fold1 times } B$

using *A*

proof (*induct rule: finite-ne-induct*)

case *singleton* **thus** ?*case* **by** *simp*

next

case *insert* **thus** ?*case* **by** (*simp add: mult-assoc*)

qed

20.6 Locales as mini-packages for fold operations

20.6.1 The natural case

locale *folding* =

fixes *f* :: 'a \Rightarrow 'b \Rightarrow 'b

fixes *F* :: 'a *set* \Rightarrow 'b \Rightarrow 'b

assumes *commute-comp*: $f y \circ f x = f x \circ f y$

assumes *eq-fold*: $\text{finite } A \implies F\ A\ s = \text{fold } f\ s\ A$
begin

lemma *empty* [*simp*]:

$F\ \{\} = \text{id}$

by (*simp add: eq-fold expand-fun-eq*)

lemma *insert* [*simp*]:

assumes *finite* A **and** $x \notin A$

shows $F\ (\text{insert } x\ A) = F\ A \circ f\ x$

proof –

interpret *fun-left-comm* f **proof**

qed (*insert commute-comp, simp add: expand-fun-eq*)

from *fold-insert2 assms*

have $\bigwedge s. \text{fold } f\ s\ (\text{insert } x\ A) = \text{fold } f\ (f\ x\ s)\ A$.

with $\langle \text{finite } A \rangle$ **show** *?thesis* **by** (*simp add: eq-fold expand-fun-eq*)

qed

lemma *remove*:

assumes *finite* A **and** $x \in A$

shows $F\ A = F\ (A - \{x\}) \circ f\ x$

proof –

from $\langle x \in A \rangle$ **obtain** B **where** $A = \text{insert } x\ B$ **and** $x \notin B$

by (*auto dest: mk-disjoint-insert*)

moreover from $\langle \text{finite } A \rangle$ **this have** *finite* B **by** *simp*

ultimately show *?thesis* **by** *simp*

qed

lemma *insert-remove*:

assumes *finite* A

shows $F\ (\text{insert } x\ A) = F\ (A - \{x\}) \circ f\ x$

using *assms* **by** (*cases* $x \in A$) (*simp-all add: remove insert-absorb*)

lemma *commute-left-comp*:

$f\ y \circ (f\ x \circ g) = f\ x \circ (f\ y \circ g)$

by (*simp add: o-assoc commute-comp*)

lemma *commute-comp'*:

assumes *finite* A

shows $f\ x \circ F\ A = F\ A \circ f\ x$

using *assms* **by** (*induct* A)

(*simp, simp del: o-apply add: o-assoc, simp del: o-apply add: o-assoc [symmetric]*
commute-comp)

lemma *commute-left-comp'*:

assumes *finite* A

shows $f\ x \circ (F\ A \circ g) = F\ A \circ (f\ x \circ g)$

using *assms* **by** (*simp add: o-assoc commute-comp'*)

lemma *commute-comp''*:

assumes *finite A* **and** *finite B*

shows $F B \circ F A = F A \circ F B$

using *assms* **by** (*induct A*)

(*simp-all add: o-assoc, simp add: o-assoc [symmetric] commute-comp'*)

lemma *commute-left-comp''*:

assumes *finite A* **and** *finite B*

shows $F B \circ (F A \circ g) = F A \circ (F B \circ g)$

using *assms* **by** (*simp add: o-assoc commute-comp''*)

lemmas *commute-comps = o-assoc [symmetric] commute-comp commute-left-comp
commute-comp' commute-left-comp' commute-comp'' commute-left-comp''*

lemma *union-inter*:

assumes *finite A* **and** *finite B*

shows $F (A \cup B) \circ F (A \cap B) = F A \circ F B$

using *assms* **by** (*induct A*)

(*simp-all del: o-apply add: insert-absorb Int-insert-left commute-comps,
simp add: o-assoc*)

lemma *union*:

assumes *finite A* **and** *finite B*

and $A \cap B = \{\}$

shows $F (A \cup B) = F A \circ F B$

proof –

from *union-inter* $\langle \text{finite } A \rangle \langle \text{finite } B \rangle$ **have** $F (A \cup B) \circ F (A \cap B) = F A \circ F B$

B .

with $\langle A \cap B = \{\} \rangle$ **show** *?thesis* **by** *simp*

qed

end

20.6.2 The natural case with idempotency

locale *folding-idem = folding +*

assumes *idem-comp*: $f x \circ f x = f x$

begin

lemma *idem-left-comp*:

$f x \circ (f x \circ g) = f x \circ g$

by (*simp add: o-assoc idem-comp*)

lemma *in-comp-idem*:

assumes *finite A* **and** $x \in A$

shows $F A \circ f x = F A$

using *assms* **by** (*induct A*)

(*auto simp add: commute-comps idem-comp, simp add: commute-left-comp' [symmetric] commute-comp'*)

```

lemma subset-comp-idem:
  assumes finite A and  $B \subseteq A$ 
  shows  $F A \circ F B = F A$ 
proof –
  from assms have finite B by (blast dest: finite-subset)
  then show ?thesis using  $\langle B \subseteq A \rangle$  by (induct B)
    (simp-all add: o-assoc in-comp-idem <finite A>)
qed

declare insert [simp del]

lemma insert-idem [simp]:
  assumes finite A
  shows  $F (\text{insert } x A) = F A \circ f x$ 
  using assms by (cases x ∈ A) (simp-all add: insert in-comp-idem insert-absorb)

lemma union-idem:
  assumes finite A and finite B
  shows  $F (A \cup B) = F A \circ F B$ 
proof –
  from assms have finite (A ∪ B) and  $A \cap B \subseteq A \cup B$  by auto
  then have  $F (A \cup B) \circ F (A \cap B) = F (A \cup B)$  by (rule subset-comp-idem)
  with assms show ?thesis by (simp add: union-inter)
qed

end

20.6.3 The image case with fixed function

no-notation times (infixl * 70)
no-notation Groups.one (1)

locale folding-image-simple = comm-monoid +
  fixes  $g :: 'b \Rightarrow 'a$ 
  fixes  $F :: 'b \text{ set} \Rightarrow 'a$ 
  assumes eq-fold-g:  $\text{finite } A \implies F A = \text{fold-image } f g 1 A$ 
begin

lemma empty [simp]:
   $F \{\} = 1$ 
  by (simp add: eq-fold-g)

lemma insert [simp]:
  assumes finite A and  $x \notin A$ 
  shows  $F (\text{insert } x A) = g x * F A$ 
proof –
  interpret fun-left-comm  $\%x y. (g x) * y$  proof
  qed (simp add: ac-simps)

```

with *assms* have *fold-image* (*op* *) *g* 1 (*insert* *x* *A*) = *g* *x* * *fold-image* (*op* *)
g 1 *A*
 by (*simp* add: *fold-image-def*)
 with ⟨*finite* *A*⟩ show ?*thesis* by (*simp* add: *eq-fold-g*)
 qed

lemma *remove*:
 assumes *finite* *A* and *x* ∈ *A*
 shows *F* *A* = *g* *x* * *F* (*A* − {*x*})
 proof −
 from ⟨*x* ∈ *A*⟩ obtain *B* where *A*: *A* = *insert* *x* *B* and *x* ∉ *B*
 by (*auto* dest: *mk-disjoint-insert*)
 moreover from ⟨*finite* *A*⟩ this have *finite* *B* by *simp*
 ultimately show ?*thesis* by *simp*
 qed

lemma *insert-remove*:
 assumes *finite* *A*
 shows *F* (*insert* *x* *A*) = *g* *x* * *F* (*A* − {*x*})
 using *assms* by (*cases* *x* ∈ *A*) (*simp-all* add: *remove insert-absorb*)

lemma *neutral*:
 assumes *finite* *A* and $\forall x \in A. g\ x = 1$
 shows *F* *A* = 1
 using *assms* by (*induct* *A*) *simp-all*

lemma *union-inter*:
 assumes *finite* *A* and *finite* *B*
 shows *F* (*A* ∪ *B*) * *F* (*A* ∩ *B*) = *F* *A* * *F* *B*
 using *assms* proof (*induct* *A*)
 case *empty* then show ?*case* by *simp*
 next
 case (*insert* *x* *A*) then show ?*case*
 by (*auto* *simp* add: *insert-absorb Int-insert-left commute* [*of* - *g* *x*] *assoc*
left-commute)
 qed

corollary *union-inter-neutral*:
 assumes *finite* *A* and *finite* *B*
 and *I0*: $\forall x \in A \cap B. g\ x = 1$
 shows *F* (*A* ∪ *B*) = *F* *A* * *F* *B*
 using *assms* by (*simp* add: *union-inter* [*symmetric*] *neutral*)

corollary *union-disjoint*:
 assumes *finite* *A* and *finite* *B*
 assumes *A* ∩ *B* = {}
 shows *F* (*A* ∪ *B*) = *F* *A* * *F* *B*
 using *assms* by (*simp* add: *union-inter-neutral*)

end

20.6.4 The image case with flexible function

```

locale folding-image = comm-monoid +
  fixes  $F :: ('b \Rightarrow 'a) \Rightarrow 'b \text{ set} \Rightarrow 'a$ 
  assumes eq-fold:  $\bigwedge g. \text{finite } A \Longrightarrow F\ g\ A = \text{fold-image } f\ g\ 1\ A$ 

sublocale folding-image < folding-image-simple op * 1 g F g proof
qed (fact eq-fold)

context folding-image
begin

lemma reindex:
  assumes finite A and inj-on h A
  shows  $F\ g\ (h\ ` A) = F\ (g \circ h)\ A$ 
  using assms by (induct A) auto

lemma cong:
  assumes finite A and  $\bigwedge x. x \in A \Longrightarrow g\ x = h\ x$ 
  shows  $F\ g\ A = F\ h\ A$ 
proof –
  from assms have  $ALL\ C. C \leq A \longleftrightarrow (ALL\ x:C. g\ x = h\ x) \longleftrightarrow F\ g\ C = F\ h\ C$ 
  apply – apply (erule finite-induct) apply simp
  apply (simp add: subset-insert-iff, clarify)
  apply (subgoal-tac finite C)
  prefer 2 apply (blast dest: finite-subset [COMP swap-prems-rl])
  apply (subgoal-tac C = insert x (C - {x}))
  prefer 2 apply blast
  apply (erule ssubst)
  apply (erule spec)
  apply (erule (1) notE impE)
  apply (simp add: Ball-def del: insert-Diff-single)
  done
  with assms show ?thesis by simp
qed

lemma UNION-disjoint:
  assumes finite I and  $\forall i \in I. \text{finite } (A\ i)$ 
  and  $\forall i \in I. \forall j \in I. i \neq j \longrightarrow A\ i \cap A\ j = \{\}$ 
  shows  $F\ g\ (\text{UNION } I\ A) = F\ (F\ g \circ A)\ I$ 
apply (insert assms)
apply (induct set: finite, simp, atomize)
apply (subgoal-tac  $\forall i \in Fa. x \neq i$ )
  prefer 2 apply blast
apply (subgoal-tac  $A\ x\ Int\ \text{UNION } Fa\ A = \{\}$ )
  prefer 2 apply blast

```


apply (*simp add: union-disjoint*)
done

lemma *distrib*:
assumes *finite A*
shows $F (\lambda x. g\ x * h\ x)\ A = F\ g\ A * F\ h\ A$
using *assms* **by** (*rule finite-induct*) (*simp-all add: assoc commute left-commute*)

lemma *related*:
assumes *Re: R 1 1*
and *Rop: $\forall x1\ y1\ x2\ y2. R\ x1\ x2 \wedge R\ y1\ y2 \longrightarrow R\ (x1 * y1)\ (x2 * y2)$*
and *fS: finite S* **and** *Rfg: $\forall x \in S. R\ (h\ x)\ (g\ x)$*
shows $R\ (F\ h\ S)\ (F\ g\ S)$
using *fS* **by** (*rule finite-subset-induct*) (*insert assms, auto*)

lemma *eq-general*:
assumes *fS: finite S*
and *h: $\forall y \in S'. \exists! x. x \in S \wedge h\ x = y$*
and *f12: $\forall x \in S. h\ x \in S' \wedge f2\ (h\ x) = f1\ x$*
shows $F\ f1\ S = F\ f2\ S'$
proof–
from *h f12* **have** *hS: $h\ ` S = S'$* **by** *blast*
{fix *x y* **assume** *H: $x \in S\ y \in S\ h\ x = h\ y$*
from *f12 h H* **have** $x = y$ **by** *auto* **}**
hence *hinv: inj-on h S* **unfolding** *inj-on-def Ex1-def* **by** *blast*
from *f12* **have** *th: $\bigwedge x. x \in S \implies (f2 \circ h)\ x = f1\ x$* **by** *auto*
from *hS* **have** $F\ f2\ S' = F\ f2\ (h\ ` S)$ **by** *simp*
also **have** $\dots = F\ (f2 \circ h)\ S$ **using** *reindex [OF fS hinv, of f2]* .
also **have** $\dots = F\ f1\ S$ **using** *th cong [OF fS, of f2 o h f1]*
by *blast*
finally **show** *?thesis ..*
qed

lemma *eq-general-inverses*:
assumes *fS: finite S*
and *kh: $\bigwedge y. y \in T \implies k\ y \in S \wedge h\ (k\ y) = y$*
and *hk: $\bigwedge x. x \in S \implies h\ x \in T \wedge k\ (h\ x) = x \wedge g\ (h\ x) = j\ x$*
shows $F\ j\ S = F\ g\ T$

apply (*rule eq-general [OF fS, of T h g j]*)
apply (*rule ballI*)
apply (*frule kh*)
apply (*rule ex1I[]*)
apply *blast*
apply *clarsimp*
apply (*drule hk*) **apply** *simp*
apply (*rule sym*)
apply (*erule conjunct1 [OF conjunct2 [OF hk]]*)
apply (*rule ballI*)

```

    apply (drule hk)
    apply blast
  done

```

```

end

```

20.6.5 The image case with fixed function and idempotency

```

locale folding-image-simple-idem = folding-image-simple +
  assumes idem:  $x * x = x$ 

```

```

sublocale folding-image-simple-idem < semilattice proof
qed (fact idem)

```

```

context folding-image-simple-idem
begin

```

```

lemma in-idem:
  assumes finite A and  $x \in A$ 
  shows  $g\ x * F\ A = F\ A$ 
  using assms by (induct A) (auto simp add: left-commute)

```

```

lemma subset-idem:
  assumes finite A and  $B \subseteq A$ 
  shows  $F\ B * F\ A = F\ A$ 
proof -
  from assms have finite B by (blast dest: finite-subset)
  then show ?thesis using  $\langle B \subseteq A \rangle$  by (induct B)
    (auto simp add: assoc in-idem  $\langle \text{finite } A \rangle$ )
qed

```

```

declare insert [simp del]

```

```

lemma insert-idem [simp]:
  assumes finite A
  shows  $F\ (\text{insert } x\ A) = g\ x * F\ A$ 
  using assms by (cases  $x \in A$ ) (simp-all add: insert in-idem insert-absorb)

```

```

lemma union-idem:
  assumes finite A and finite B
  shows  $F\ (A \cup B) = F\ A * F\ B$ 
proof -
  from assms have finite  $(A \cup B)$  and  $A \cap B \subseteq A \cup B$  by auto
  then have  $F\ (A \cap B) * F\ (A \cup B) = F\ (A \cup B)$  by (rule subset-idem)
  with assms show ?thesis by (simp add: union-inter [of A B, symmetric] com-
    mute)
qed

```

```

end

```

20.6.6 The image case with flexible function and idempotency

locale *folding-image-idem* = *folding-image* +
assumes *idem*: $x * x = x$

sublocale *folding-image-idem* < *folding-image-simple-idem* *op* * 1 *g* *F* *g* **proof**
qed (*fact idem*)

20.6.7 The neutral-less case

locale *folding-one* = *abel-semigroup* +
fixes *F* :: $'a \text{ set} \Rightarrow 'a$
assumes *eq-fold*: $\text{finite } A \Longrightarrow F A = \text{fold1 } f A$
begin

lemma *singleton* [*simp*]:
 $F \{x\} = x$
by (*simp add: eq-fold*)

lemma *eq-fold'*:
assumes *finite A* **and** $x \notin A$
shows $F (\text{insert } x A) = \text{fold } (op *) x A$
proof –
interpret *ab-semigroup-mult* *op* * **proof** **qed** (*simp-all add: ac-simps*)
with *assms* **show** ?thesis **by** (*simp add: eq-fold fold1-eq-fold*)
qed

lemma *insert* [*simp*]:
assumes *finite A* **and** $x \notin A$ **and** $A \neq \{\}$
shows $F (\text{insert } x A) = x * F A$
proof –
from $\langle A \neq \{\} \rangle$ **obtain** *b* **where** $b \in A$ **by** *blast*
then obtain *B* **where** $*: A = \text{insert } b B$ $b \notin B$ **by** (*blast dest: mk-disjoint-insert*)
with $\langle \text{finite } A \rangle$ **have** *finite B* **by** *simp*
interpret *fold*: *folding* *op* * $\lambda a b. \text{fold } (op *) b a$ **proof**
qed (*simp-all add: expand-fun-eq ac-simps*)
thm *fold commute-comp'* [*of B b, simplified expand-fun-eq, simplified*]
from $\langle \text{finite } B \rangle$ *fold commute-comp'* [*of B x*]
have $op * x \circ (\lambda b. \text{fold } op * b B) = (\lambda b. \text{fold } op * b B) \circ op * x$ **by** *simp*
then have $A: x * \text{fold } op * b B = \text{fold } op * (b * x) B$ **by** (*simp add: expand-fun-eq commute*)
from $\langle \text{finite } B \rangle$ * *fold.insert* [*of B b*]
have $(\lambda x. \text{fold } op * x (\text{insert } b B)) = (\lambda x. \text{fold } op * x B) \circ op * b$ **by** *simp*
then have $B: \text{fold } op * x (\text{insert } b B) = \text{fold } op * (b * x) B$ **by** (*simp add: expand-fun-eq*)
from $A B$ *assms* * **show** ?thesis **by** (*simp add: eq-fold' del: fold.insert*)
qed

lemma *remove*:
assumes *finite A* **and** $x \in A$

shows $F A = (\text{if } A - \{x\} = \{\} \text{ then } x \text{ else } x * F (A - \{x\}))$
proof –
 from *assms* obtain B where $A = \text{insert } x B$ and $x \notin B$ by (*blast dest: mk-disjoint-insert*)
 with *assms* show ?thesis by *simp*
qed

lemma *insert-remove*:
 assumes *finite A*
 shows $F (\text{insert } x A) = (\text{if } A - \{x\} = \{\} \text{ then } x \text{ else } x * F (A - \{x\}))$
 using *assms* by (*cases x ∈ A*) (*simp-all add: insert-absorb remove*)

lemma *union-disjoint*:
 assumes *finite A A ≠ {}* and *finite B B ≠ {}* and $A \cap B = \{\}$
 shows $F (A \cup B) = F A * F B$
 using *assms* by (*induct A rule: finite-ne-induct*) (*simp-all add: ac-simps*)

lemma *union-inter*:
 assumes *finite A* and *finite B* and $A \cap B \neq \{\}$
 shows $F (A \cup B) * F (A \cap B) = F A * F B$
proof –
 from *assms* have $A \neq \{\}$ and $B \neq \{\}$ by *auto*
 from $\langle \text{finite } A \rangle \langle A \neq \{\} \rangle \langle A \cap B \neq \{\} \rangle$ show ?thesis **proof** (*induct A rule: finite-ne-induct*)
 case (*singleton x*) then show ?case by (*simp add: insert-absorb ac-simps*)
 next
 case (*insert x A*) show ?case **proof** (*cases x ∈ B*)
 case *True* then have $B \neq \{\}$ by *auto*
 with *insert True* $\langle \text{finite } B \rangle$ show ?thesis by (*cases A ∩ B = {}*)
 (*simp-all add: insert-absorb ac-simps union-disjoint*)
 next
 case *False* with *insert* have $F (A \cup B) * F (A \cap B) = F A * F B$ by *simp*
 moreover from *False* $\langle \text{finite } B \rangle$ *insert* have *finite* $(A \cup B)$ $x \notin A \cup B$ $A \cup B \neq \{\}$
 by *auto*
 ultimately show ?thesis using *False* $\langle \text{finite } A \rangle \langle x \notin A \rangle \langle A \neq \{\} \rangle$ by (*simp add: assoc*)
qed
qed
qed

lemma *closed*:
 assumes *finite A A ≠ {}* and *elem: $\bigwedge x y. x * y \in \{x, y\}$*
 shows $F A \in A$
 using $\langle \text{finite } A \rangle \langle A \neq \{\} \rangle$ **proof** (*induct rule: finite-ne-induct*)
 case *singleton* then show ?case by *simp*
 next
 case *insert* with *elem* show ?case by *force*
qed

end

20.6.8 The neutral-less case with idempotency

locale *folding-one-idem* = *folding-one* +
 assumes *idem*: $x * x = x$

sublocale *folding-one-idem* < *semilattice* **proof**
qed (*fact idem*)

context *folding-one-idem*
 begin

lemma *in-idem*:
 assumes *finite* *A* and $x \in A$
 shows $x * F A = F A$
proof –
 from *assms* have $A \neq \{\}$ by *auto*
 with $\langle \text{finite } A \rangle$ show ?thesis using $\langle x \in A \rangle$ by (induct *A* rule: *finite-ne-induct*)
 (auto simp add: *ac-simps*)
qed

lemma *subset-idem*:
 assumes *finite* *A* $B \neq \{\}$ and $B \subseteq A$
 shows $F B * F A = F A$
proof –
 from *assms* have *finite* *B* by (blast dest: *finite-subset*)
 then show ?thesis using $\langle B \neq \{\} \rangle \langle B \subseteq A \rangle$ by (induct *B* rule: *finite-ne-induct*)
 (simp-all add: *assoc in-idem* $\langle \text{finite } A \rangle$)
qed

lemma *eq-fold-idem'*:
 assumes *finite* *A*
 shows $F (\text{insert } a A) = \text{fold } (op *) a A$
proof –
 interpret *ab-semigroup-idem-mult* *op* * **proof** **qed** (*simp-all* add: *ac-simps*)
 with *assms* show ?thesis by (simp add: *eq-fold fold1-eq-fold-idem*)
qed

lemma *insert-idem* [*simp*]:
 assumes *finite* *A* and $A \neq \{\}$
 shows $F (\text{insert } x A) = x * F A$
proof (*cases* $x \in A$)
 case *False* from $\langle \text{finite } A \rangle \langle x \notin A \rangle \langle A \neq \{\} \rangle$ show ?thesis by (rule *insert*)
 next
 case *True*
 from $\langle \text{finite } A \rangle \langle A \neq \{\} \rangle$ show ?thesis by (simp add: *in-idem insert-absorb True*)
qed

lemma *union-idem*:

assumes *finite A* $A \neq \{\}$ and *finite B* $B \neq \{\}$
 shows $F (A \cup B) = F A * F B$
proof (*cases A ∩ B = {}*)
 case *True* **with** *assms* **show** *?thesis* **by** (*simp add: union-disjoint*)
next
 case *False*
 from *assms* **have** *finite (A ∪ B)* and $A \cap B \subseteq A \cup B$ **by** *auto*
 with *False* **have** $F (A \cap B) * F (A \cup B) = F (A \cup B)$ **by** (*auto intro: subset-idem*)
 with *assms False* **show** *?thesis* **by** (*simp add: union-inter [of A B, symmetric] commute*)
qed

lemma *hom-commute*:

assumes *hom*: $\bigwedge x y. h (x * y) = h x * h y$
 and *N*: *finite N* $N \neq \{\}$ **shows** $h (F N) = F (h ' N)$
using *N* **proof** (*induct rule: finite-ne-induct*)
 case *singleton* **thus** *?case* **by** *simp*
next
 case (*insert n N*)
 then **have** $h (F (insert n N)) = h (n * F N)$ **by** *simp*
 also **have** $\dots = h n * h (F N)$ **by** (*rule hom*)
 also **have** $h (F N) = F (h ' N)$ **by** (*rule insert*)
 also **have** $h n * \dots = F (insert (h n) (h ' N))$
 using *insert* **by** (*simp*)
 also **have** $insert (h n) (h ' N) = h ' insert n N$ **by** *simp*
 finally **show** *?case* .
qed

end

notation *times* (*infixl* * 70)

notation *Groups.one* (1)

20.7 Finite cardinality

This definition, although traditional, is ugly to work with: $card A == LEAST n. EX f. A = \{f i \mid i. i < n\}$. But now that we have *fold-image* things are easy:

definition *card* :: 'a set \Rightarrow nat **where**

$card A = (if\ finite\ A\ then\ fold-image\ (op\ +)\ (\lambda x. 1)\ 0\ A\ else\ 0)$

interpretation *card!*: *folding-image-simple op + 0 λx. 1 card* **proof**

qed (*simp add: card-def*)

lemma *card-infinite* [*simp*]:

$\neg finite A \Longrightarrow card A = 0$

```

by (simp add: card-def)

lemma card-empty:
  card {} = 0
by (fact card.empty)

lemma card-insert-disjoint:
  finite A ==> x ∉ A ==> card (insert x A) = Suc (card A)
by simp

lemma card-insert-if:
  finite A ==> card (insert x A) = (if x ∈ A then card A else Suc (card A))
by auto (simp add: card.insert-remove card.remove)

lemma card-ge-0-finite:
  card A > 0 ==> finite A
by (rule ccontr) simp

lemma card-0-eq [simp, no-atp]:
  finite A ==> card A = 0 ⟷ A = {}
by (auto dest: mk-disjoint-insert)

lemma finite-UNIV-card-ge-0:
  finite (UNIV :: 'a set) ==> card (UNIV :: 'a set) > 0
by (rule ccontr) simp

lemma card-eq-0-iff:
  card A = 0 ⟷ A = {} ∨ ¬ finite A
by auto

lemma card-gt-0-iff:
  0 < card A ⟷ A ≠ {} ∧ finite A
by (simp add: neq0-conv [symmetric] card-eq-0-iff)

lemma card-Suc-Diff1: finite A ==> x: A ==> Suc (card (A - {x})) = card A
apply(rule-tac t = A in insert-Diff [THEN subst], assumption)
apply(simp del:insert-Diff-single)
done

lemma card-Diff-singleton:
  finite A ==> x: A ==> card (A - {x}) = card A - 1
by (simp add: card-Suc-Diff1 [symmetric])

lemma card-Diff-singleton-if:
  finite A ==> card (A - {x}) = (if x : A then card A - 1 else card A)
by (simp add: card-Diff-singleton)

lemma card-Diff-insert[simp]:
assumes finite A and a:A and a ~: B

```

shows $\text{card}(A - \text{insert } a \ B) = \text{card}(A - B) - 1$

proof –

have $A - \text{insert } a \ B = (A - B) - \{a\}$ **using** *assms* **by** *blast*

then show *?thesis* **using** *assms* **by** (*simp add: card-Diff-singleton*)

qed

lemma *card-insert: finite A ==> card (insert x A) = Suc (card (A - {x}))*

by (*simp add: card-insert-if card-Suc-Diff1 del: card-Diff-insert*)

lemma *card-insert-le: finite A ==> card A <= card (insert x A)*

by (*simp add: card-insert-if*)

lemma *card-mono:*

assumes *finite B* **and** $A \subseteq B$

shows $\text{card } A \leq \text{card } B$

proof –

from *assms* **have** *finite A* **by** (*auto intro: finite-subset*)

then show *?thesis* **using** *assms* **proof** (*induct A arbitrary: B*)

case empty **then show** *?case* **by** *simp*

next

case (*insert x A*)

then have $x \in B$ **by** *simp*

from *insert* **have** $A \subseteq B - \{x\}$ **and** *finite (B - {x})* **by** *auto*

with *insert.hyps* **have** $\text{card } A \leq \text{card } (B - \{x\})$ **by** *auto*

with (*finite A*) $x \notin A$ (*finite B*) $x \in B$ **show** *?case* **by** *simp (simp only:*

card.remove)

qed

qed

lemma *card-seteq: finite B ==> (!A. A <= B ==> card B <= card A ==> A = B)*

apply (*induct set: finite, simp, clarify*)

apply (*subgoal-tac finite A & A - {x} <= F*)

prefer 2 **apply** (*blast intro: finite-subset, atomize*)

apply (*drule-tac x = A - {x} in spec*)

apply (*simp add: card-Diff-singleton-if split add: split-if-asm*)

apply (*case-tac card A, auto*)

done

lemma *psubset-card-mono: finite B ==> A < B ==> card A < card B*

apply (*simp add: psubset-eq linorder-not-le [symmetric]*)

apply (*blast dest: card-seteq*)

done

lemma *card-Un-Int: finite A ==> finite B*

==> $\text{card } A + \text{card } B = \text{card } (A \cup B) + \text{card } (A \cap B)$

by (*fact card.union-inter [symmetric]*)

lemma *card-Un-disjoint: finite A ==> finite B*

$\Rightarrow A \text{ Int } B = \{\} \Rightarrow \text{card } (A \text{ Un } B) = \text{card } A + \text{card } B$
by (fact card.union-disjoint)

lemma card-Diff-subset:
 assumes finite B and $B \subseteq A$
 shows $\text{card } (A - B) = \text{card } A - \text{card } B$
proof (cases finite A)
 case False with assms show ?thesis by simp
 next
 case True with assms show ?thesis by (induct B arbitrary: A) simp-all
qed

lemma card-Diff-subset-Int:
 assumes AB : finite $(A \cap B)$ shows $\text{card } (A - B) = \text{card } A - \text{card } (A \cap B)$
proof –
 have $A - B = A - A \cap B$ by auto
 thus ?thesis
 by (simp add: card-Diff-subset AB)
qed

lemma card-Diff1-less: finite $A \Rightarrow x: A \Rightarrow \text{card } (A - \{x\}) < \text{card } A$
apply (rule Suc-less-SucD)
apply (simp add: card-Suc-Diff1 del:card-Diff-insert)
done

lemma card-Diff2-less:
 finite $A \Rightarrow x: A \Rightarrow y: A \Rightarrow \text{card } (A - \{x\} - \{y\}) < \text{card } A$
apply (case-tac $x = y$)
apply (simp add: card-Diff1-less del:card-Diff-insert)
apply (rule less-trans)
prefer 2 **apply** (auto intro!: card-Diff1-less simp del:card-Diff-insert)
done

lemma card-Diff1-le: finite $A \Rightarrow \text{card } (A - \{x\}) \leq \text{card } A$
apply (case-tac $x : A$)
apply (simp-all add: card-Diff1-less less-imp-le)
done

lemma card-psubset: finite $B \Rightarrow A \subseteq B \Rightarrow \text{card } A < \text{card } B \Rightarrow A < B$
by (erule psubsetI, blast)

lemma insert-partition:
 $\llbracket x \notin F; \forall c1 \in \text{insert } x F. \forall c2 \in \text{insert } x F. c1 \neq c2 \longrightarrow c1 \cap c2 = \{\} \rrbracket$
 $\Rightarrow x \cap \bigcup F = \{\}$
by auto

lemma finite-psubset-induct[consumes 1, case-names psubset]:
 assumes fin: finite A
 and major: $\bigwedge A. \text{finite } A \Rightarrow (\bigwedge B. B \subset A \Rightarrow P B) \Rightarrow P A$

```

shows P A
using fin
proof (induct A taking: card rule: measure-induct-rule)
  case (less A)
  have fin: finite A by fact
  have ih:  $\bigwedge B. \llbracket \text{card } B < \text{card } A; \text{finite } B \rrbracket \implies P B$  by fact
  { fix B
    assume asm:  $B \subset A$ 
    from asm have card B < card A using psubset-card-mono fin by blast
    moreover
    from asm have  $B \subseteq A$  by auto
    then have finite B using fin finite-subset by blast
    ultimately
    have P B using ih by simp
  }
  with fin show P A using major by blast
qed

```

main cardinality theorem

```

lemma card-partition [rule-format]:
  finite C ==>
    finite ( $\bigcup C$ ) -->
      ( $\forall c \in C. \text{card } c = k$ ) -->
        ( $\forall c1 \in C. \forall c2 \in C. c1 \neq c2 \implies c1 \cap c2 = \{\}$ ) -->
           $k * \text{card}(C) = \text{card}(\bigcup C)$ 
  apply (erule finite-induct, simp)
  apply (simp add: card-Un-disjoint insert-partition
    finite-subset [of -  $\bigcup (\text{insert } x F)$ ])
done

```

```

lemma card-eq-UNIV-imp-eq-UNIV:
  assumes fin: finite (UNIV :: 'a set)
  and card: card A = card (UNIV :: 'a set)
  shows A = (UNIV :: 'a set)
proof
  show  $A \subseteq \text{UNIV}$  by simp
  show  $\text{UNIV} \subseteq A$ 
  proof
    fix x
    show  $x \in A$ 
    proof (rule ccontr)
      assume  $x \notin A$ 
      then have  $A \subset \text{UNIV}$  by auto
      with fin have card A < card (UNIV :: 'a set) by (fact psubset-card-mono)
      with card show False by simp
    qed
  qed
qed

```

The form of a finite set of given cardinality

```

lemma card-eq-SucD:
assumes card A = Suc k
shows  $\exists b \in B. A = \text{insert } b \ B \ \& \ b \notin B \ \& \ \text{card } B = k \ \& \ (k=0 \longrightarrow B=\{\})$ 
proof –
  have fin: finite A using assms by (auto intro: ccontr)
  moreover have card A  $\neq$  0 using assms by auto
  ultimately obtain b where b: b  $\in$  A by auto
  show ?thesis
  proof (intro exI conjI)
    show A = insert b (A - {b}) using b by blast
    show b  $\notin$  A - {b} by blast
    show card (A - {b}) = k and k = 0  $\longrightarrow$  A - {b} = {}
      using assms b fin by(fastsimp dest:mk-disjoint-insert)+
  qed
qed

```

```

lemma card-Suc-eq:
  (card A = Suc k) =
    ( $\exists b \in B. A = \text{insert } b \ B \ \& \ b \notin B \ \& \ \text{card } B = k \ \& \ (k=0 \longrightarrow B=\{\})$ )
apply(rule iffI)
  apply(erule card-eq-SucD)
apply(auto)
apply(subst card-insert)
apply(auto intro: ccontr)
done

```

```

lemma finite-fun-UNIVD2:
  assumes fin: finite (UNIV :: ('a  $\Rightarrow$  'b) set)
  shows finite (UNIV :: 'b set)
proof –
  from fin have finite (range ( $\lambda f :: 'a \Rightarrow 'b. f$  arbitrary))
    by(rule finite-imageI)
  moreover have UNIV = range ( $\lambda f :: 'a \Rightarrow 'b. f$  arbitrary)
    by(rule UNIV-eq-I) auto
  ultimately show finite (UNIV :: 'b set) by simp
qed

```

```

lemma card-UNIV-unit: card (UNIV :: unit set) = 1
  unfolding UNIV-unit by simp

```

20.7.1 Cardinality of image

```

lemma card-image-le: finite A  $\implies$  card (f ` A)  $\leq$  card A
apply (induct set: finite)
apply simp
apply (simp add: le-SucI card-insert-if)
done

```

```

lemma card-image:

```

```

  assumes inj-on  $f$   $A$ 
  shows  $\text{card } (f \text{ `` } A) = \text{card } A$ 
proof (cases finite  $A$ )
  case True then show ?thesis using assms by (induct  $A$ ) simp-all
next
  case False then have  $\neg \text{finite } (f \text{ `` } A)$  using assms by (auto dest: finite-imageD)
  with False show ?thesis by simp
qed

```

lemma *bij-betw-same-card*: $\text{bij-betw } f \ A \ B \implies \text{card } A = \text{card } B$
 by (auto *simp*: *card-image* *bij-betw-def*)

lemma *endo-inj-surj*: $\text{finite } A \implies f \text{ `` } A \subseteq A \implies \text{inj-on } f \ A \implies f \text{ `` } A = A$
 by (*simp add*: *card-seteq* *card-image*)

lemma *eq-card-imp-inj-on*:
 $[\text{finite } A; \text{card}(f \text{ `` } A) = \text{card } A] \implies \text{inj-on } f \ A$
 apply (induct rule: *finite-induct*)
 apply *simp*
 apply (frule *card-image-le* [where $f = f$])
 apply (*simp add*: *card-insert-if-split-if-splits*)
 done

lemma *inj-on-iff-eq-card*:
 $\text{finite } A \implies \text{inj-on } f \ A = (\text{card}(f \text{ `` } A) = \text{card } A)$
 by (*blast intro*: *card-image* *eq-card-imp-inj-on*)

lemma *card-inj-on-le*:
 $[\text{inj-on } f \ A; f \text{ `` } A \subseteq B; \text{finite } B] \implies \text{card } A \leq \text{card } B$
 apply (*subgoal-tac* *finite* A)
 apply (*force intro*: *card-mono* *simp add*: *card-image* [*symmetric*])
 apply (*blast intro*: *finite-imageD* *dest*: *finite-subset*)
 done

lemma *card-bij-eq*:
 $[\text{inj-on } f \ A; f \text{ `` } A \subseteq B; \text{inj-on } g \ B; g \text{ `` } B \subseteq A; \text{finite } A; \text{finite } B] \implies \text{card } A = \text{card } B$
 by (auto *intro*: *le-antisym* *card-inj-on-le*)

20.7.2 Cardinality of sums

lemma *card-Plus*:
 assumes *finite* A and *finite* B
 shows $\text{card } (A <+> B) = \text{card } A + \text{card } B$
 proof –
 have $\text{Inl `` } A \cap \text{Inr `` } B = \{\}$ by *fast*
 with *assms* show ?thesis
 unfolding *Plus-def*

```

    by (simp add: card-Un-disjoint card-image)
qed

```

```

lemma card-Plus-conv-if:
  card (A <+> B) = (if finite A ∧ finite B then card A + card B else 0)
  by (auto simp add: card-Plus)

```

20.7.3 Cardinality of the Powerset

```

lemma card-Pow: finite A ==> card (Pow A) = Suc (Suc 0) ^ card A
apply (induct set: finite)
  apply (simp-all add: Pow-insert)
  apply (subst card-Un-disjoint, blast)
  apply (blast intro: finite-imageI, blast)
  apply (subgoal-tac inj-on (insert x) (Pow F))
  apply (simp add: card-image Pow-insert)
  apply (unfold inj-on-def)
  apply (blast elim!: equalityE)
done

```

Relates to equivalence classes. Based on a theorem of F. Kamm¹ller.

```

lemma dvd-partition:
  finite (Union C) ==>
    ALL c : C. k dvd card c ==>
      (ALL c1: C. ALL c2: C. c1 ≠ c2 --> c1 Int c2 = {}) ==>
        k dvd card (Union C)
  apply (frule finite-UnionD)
  apply (rotate-tac -1)
  apply (induct set: finite, simp-all, clarify)
  apply (subst card-Un-disjoint)
  apply (auto simp add: disjoint-eq-subset-Compl)
done

```

20.7.4 Relating injectivity and surjectivity

```

lemma finite-surj-inj: finite(A) ==> A <= f'A ==> inj-on f A
apply (rule eq-card-imp-inj-on, assumption)
apply (frule finite-imageI)
apply (drule (1) card-seteq)
  apply (erule card-image-le)
apply simp
done

```

```

lemma finite-UNIV-surj-inj: fixes f :: 'a => 'a
shows finite(UNIV:: 'a set) ==> surj f ==> inj f
by (blast intro: finite-surj-inj subset-UNIV dest:surj-range)

```

```

lemma finite-UNIV-inj-surj: fixes f :: 'a => 'a
shows finite(UNIV:: 'a set) ==> inj f ==> surj f
by (fastsimp simp:surj-def dest!: endo-inj-surj)

```

```

corollary infinite-UNIV-nat[iff]:  $\sim \text{finite}(\text{UNIV}::\text{nat set})$ 
proof
  assume finite(UNIV::nat set)
  with finite-UNIV-inj-surj[of Suc]
  show False by simp (blast dest: Suc-neq-Zero surjD)
qed

```

```

lemma infinite-UNIV-char-0[no-atp]:
   $\neg \text{finite}(\text{UNIV}::'a::\text{semiring-char-0 set})$ 
proof
  assume finite (UNIV::'a set)
  with subset-UNIV have finite (range of-nat::'a set)
    by (rule finite-subset)
  moreover have inj (of-nat::nat  $\Rightarrow$  'a)
    by (simp add: inj-on-def)
  ultimately have finite (UNIV::nat set)
    by (rule finite-imageD)
  then show False
    by simp
qed

end

```

21 Relation: Relations

```

theory Relation
imports Datatype Finite-Set
begin

```

21.1 Definitions

```

definition
  converse :: ('a * 'b set  $\Rightarrow$  'b * 'a set
    ((( $\wedge$  - 1) [1000] 999) where
    r $\wedge$  - 1 ==  $\{(y, x). (x, y) : r\}$ 

```

```

notation (xsymbols)
  converse ((( $\wedge$  - 1) [1000] 999)

```

```

definition
  rel-comp :: ['a * 'b set, 'b * 'c set]  $\Rightarrow$  'a * 'c set
    ((infixr 0 75) where
    r O s ==  $\{(x, z). \exists y. (x, y) : r \ \& \ (y, z) : s\}$ 

```

```

definition
  Image :: ['a * 'b set, 'a set]  $\Rightarrow$  'b set

```

(infixl “90) **where**
 $r \text{ “ } s == \{y. EX x:s. (x,y):r\}$

definition

$Id :: ('a * 'a) \text{ set } \mathbf{where}$ — the identity relation
 $Id == \{p. EX x. p = (x,x)\}$

definition

$Id-on :: 'a \text{ set } ==> ('a * 'a) \text{ set } \mathbf{where}$ — diagonal: identity over a set
 $Id-on A == \bigcup_{x \in A}. \{(x,x)\}$

definition

$Domain :: ('a * 'b) \text{ set } ==> 'a \text{ set } \mathbf{where}$
 $Domain r == \{x. EX y. (x,y):r\}$

definition

$Range :: ('a * 'b) \text{ set } ==> 'b \text{ set } \mathbf{where}$
 $Range r == Domain(r^{-1})$

definition

$Field :: ('a * 'a) \text{ set } ==> 'a \text{ set } \mathbf{where}$
 $Field r == Domain r \cup Range r$

definition

$refl-on :: ['a \text{ set}, ('a * 'a) \text{ set}] ==> bool \mathbf{where}$ — reflexivity over a set
 $refl-on A r == r \subseteq A \times A \ \& \ (ALL x: A. (x,x) : r)$

abbreviation

$refl :: ('a * 'a) \text{ set } ==> bool \mathbf{where}$ — reflexivity over a type
 $refl == refl-on UNIV$

definition

$sym :: ('a * 'a) \text{ set } ==> bool \mathbf{where}$ — symmetry predicate
 $sym r == ALL x y. (x,y):r \longrightarrow (y,x):r$

definition

$antisym :: ('a * 'a) \text{ set } ==> bool \mathbf{where}$ — antisymmetry predicate
 $antisym r == ALL x y. (x,y):r \longrightarrow (y,x):r \longrightarrow x=y$

definition

$trans :: ('a * 'a) \text{ set } ==> bool \mathbf{where}$ — transitivity predicate
 $trans r == (ALL x y z. (x,y):r \longrightarrow (y,z):r \longrightarrow (x,z):r)$

definition

$irrefl :: ('a * 'a) \text{ set } ==> bool \mathbf{where}$
 $irrefl r \equiv \forall x. (x,x) \notin r$

definition

$total-on :: 'a \text{ set } ==> ('a * 'a) \text{ set } ==> bool \mathbf{where}$

total-on A $r \equiv \forall x \in A. \forall y \in A. x \neq y \longrightarrow (x, y) \in r \vee (y, x) \in r$

abbreviation *total* \equiv *total-on UNIV*

definition

single-valued $:: ('a * 'b) \text{ set} \Rightarrow \text{bool}$ **where**
single-valued $r == \text{ALL } x \ y. (x, y) : r \longrightarrow (\text{ALL } z. (x, z) : r \longrightarrow y = z)$

definition

inv-image $:: ('b * 'b) \text{ set} \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a * 'a) \text{ set}$ **where**
inv-image $r \ f == \{(x, y). (f \ x, f \ y) : r\}$

21.2 The identity relation

lemma *IdI* [*intro*]: $(a, a) : \text{Id}$
by (*simp add: Id-def*)

lemma *IdE* [*elim!*]: $p : \text{Id} \Rightarrow (!x. p = (x, x) \Rightarrow P) \Rightarrow P$
by (*unfold Id-def*) (*iprover elim: CollectE*)

lemma *pair-in-Id-conv* [*iff*]: $((a, b) : \text{Id}) = (a = b)$
by (*unfold Id-def*) *blast*

lemma *refl-Id*: *refl Id*
by (*simp add: refl-on-def*)

lemma *antisym-Id*: *antisym Id*
 — A strange result, since *Id* is also symmetric.
by (*simp add: antisym-def*)

lemma *sym-Id*: *sym Id*
by (*simp add: sym-def*)

lemma *trans-Id*: *trans Id*
by (*simp add: trans-def*)

21.3 Diagonal: identity over a set

lemma *Id-on-empty* [*simp*]: $\text{Id-on } \{\} = \{\}$
by (*simp add: Id-on-def*)

lemma *Id-on-eqI*: $a = b \Rightarrow a : A \Rightarrow (a, b) : \text{Id-on } A$
by (*simp add: Id-on-def*)

lemma *Id-onI* [*intro!, no-atp*]: $a : A \Rightarrow (a, a) : \text{Id-on } A$
by (*rule Id-on-eqI*) (*rule refl*)

lemma *Id-onE* [*elim!*]:
 $c : \text{Id-on } A \Rightarrow (!x. x : A \Rightarrow c = (x, x) \Rightarrow P) \Rightarrow P$
 — The general elimination rule.

by (*unfold Id-on-def*) (*iprover elim! UN-E singletonE*)

lemma *Id-on-iff*: $((x, y) : \text{Id-on } A) = (x = y \ \& \ x : A)$
by *blast*

lemma *Id-on-subset-Times*: $\text{Id-on } A \subseteq A \times A$
by *blast*

21.4 Composition of two relations

lemma *rel-compI* [*intro*]:
 $(a, b) : r \implies (b, c) : s \implies (a, c) : r \ O \ s$
by (*unfold rel-comp-def*) *blast*

lemma *rel-compE* [*elim!*]: $xz : r \ O \ s \implies$
 $(!!x \ y \ z. \ xz = (x, z) \implies (x, y) : r \implies (y, z) : s \implies P) \implies P$
by (*unfold rel-comp-def*) (*iprover elim! CollectE splitE exE conjE*)

lemma *rel-compEpair*:
 $(a, c) : r \ O \ s \implies (!y. (a, y) : r \implies (y, c) : s \implies P) \implies P$
by (*iprover elim: rel-compE Pair-inject ssubst*)

lemma *R-O-Id* [*simp*]: $R \ O \ \text{Id} = R$
by *fast*

lemma *Id-O-R* [*simp*]: $\text{Id} \ O \ R = R$
by *fast*

lemma *rel-comp-empty1* [*simp*]: $\{\} \ O \ R = \{\}$
by *blast*

lemma *rel-comp-empty2* [*simp*]: $R \ O \ \{\} = \{\}$
by *blast*

lemma *O-assoc*: $(R \ O \ S) \ O \ T = R \ O \ (S \ O \ T)$
by *blast*

lemma *trans-O-subset*: $\text{trans } r \implies r \ O \ r \subseteq r$
by (*unfold trans-def*) *blast*

lemma *rel-comp-mono*: $r' \subseteq r \implies s' \subseteq s \implies (r' \ O \ s') \subseteq (r \ O \ s)$
by *blast*

lemma *rel-comp-subset-Sigma*:
 $r \subseteq A \times B \implies s \subseteq B \times C \implies (r \ O \ s) \subseteq A \times C$
by *blast*

lemma *rel-comp-distrib* [*simp*]: $R \ O \ (S \cup T) = (R \ O \ S) \cup (R \ O \ T)$
by *auto*

lemma *rel-comp-distrib2[simp]*: $(S \cup T) \ O \ R = (S \ O \ R) \cup (T \ O \ R)$
by *auto*

lemma *rel-comp-UNION-distrib*: $s \ O \ \text{UNION } I \ r = \text{UNION } I \ (\%i. s \ O \ r \ i)$
by *auto*

lemma *rel-comp-UNION-distrib2*: $\text{UNION } I \ r \ O \ s = \text{UNION } I \ (\%i. r \ i \ O \ s)$
by *auto*

21.5 Reflexivity

lemma *refl-onI*: $r \subseteq A \times A \implies (!x. x : A \implies (x, x) : r) \implies \text{refl-on } A \ r$
by (*unfold refl-on-def*) (*iprover intro! ballI*)

lemma *refl-onD*: $\text{refl-on } A \ r \implies a : A \implies (a, a) : r$
by (*unfold refl-on-def*) *blast*

lemma *refl-onD1*: $\text{refl-on } A \ r \implies (x, y) : r \implies x : A$
by (*unfold refl-on-def*) *blast*

lemma *refl-onD2*: $\text{refl-on } A \ r \implies (x, y) : r \implies y : A$
by (*unfold refl-on-def*) *blast*

lemma *refl-on-Int*: $\text{refl-on } A \ r \implies \text{refl-on } B \ s \implies \text{refl-on } (A \cap B) \ (r \cap s)$
by (*unfold refl-on-def*) *blast*

lemma *refl-on-Un*: $\text{refl-on } A \ r \implies \text{refl-on } B \ s \implies \text{refl-on } (A \cup B) \ (r \cup s)$
by (*unfold refl-on-def*) *blast*

lemma *refl-on-INTER*:
 $\text{ALL } x:S. \text{refl-on } (A \ x) \ (r \ x) \implies \text{refl-on } (\text{INTER } S \ A) \ (\text{INTER } S \ r)$
by (*unfold refl-on-def*) *fast*

lemma *refl-on-UNION*:
 $\text{ALL } x:S. \text{refl-on } (A \ x) \ (r \ x) \implies \text{refl-on } (\text{UNION } S \ A) \ (\text{UNION } S \ r)$
by (*unfold refl-on-def*) *blast*

lemma *refl-on-empty[simp]*: $\text{refl-on } \{\} \ \{\}$
by (*simp add:refl-on-def*)

lemma *refl-on-Id-on*: $\text{refl-on } A \ (\text{Id-on } A)$
by (*rule refl-onI [OF Id-on-subset-Times Id-onI]*)

21.6 Antisymmetry

lemma *antisymI*:
 $(!x \ y. (x, y) : r \implies (y, x) : r \implies x=y) \implies \text{antisym } r$
by (*unfold antisym-def*) *iprover*

lemma *antisymD*: $\text{antisym } r \implies (a, b) : r \implies (b, a) : r \implies a = b$
by (*unfold antisym-def*) *iprover*

lemma *antisym-subset*: $r \subseteq s \implies \text{antisym } s \implies \text{antisym } r$
by (*unfold antisym-def*) *blast*

lemma *antisym-empty* [*simp*]: $\text{antisym } \{\}$
by (*unfold antisym-def*) *blast*

lemma *antisym-Id-on* [*simp*]: $\text{antisym } (\text{Id-on } A)$
by (*unfold antisym-def*) *blast*

21.7 Symmetry

lemma *symI*: $(\forall a\ b. (a, b) : r \implies (b, a) : r) \implies \text{sym } r$
by (*unfold sym-def*) *iprover*

lemma *symD*: $\text{sym } r \implies (a, b) : r \implies (b, a) : r$
by (*unfold sym-def*, *blast*)

lemma *sym-Int*: $\text{sym } r \implies \text{sym } s \implies \text{sym } (r \cap s)$
by (*fast intro: symI dest: symD*)

lemma *sym-Un*: $\text{sym } r \implies \text{sym } s \implies \text{sym } (r \cup s)$
by (*fast intro: symI dest: symD*)

lemma *sym-INTER*: $\text{ALL } x:S. \text{sym } (r\ x) \implies \text{sym } (\text{INTER } S\ r)$
by (*fast intro: symI dest: symD*)

lemma *sym-UNION*: $\text{ALL } x:S. \text{sym } (r\ x) \implies \text{sym } (\text{UNION } S\ r)$
by (*fast intro: symI dest: symD*)

lemma *sym-Id-on* [*simp*]: $\text{sym } (\text{Id-on } A)$
by (*rule symI*) *clarify*

21.8 Transitivity

lemma *transI*:
 $(\forall x\ y\ z. (x, y) : r \implies (y, z) : r \implies (x, z) : r) \implies \text{trans } r$
by (*unfold trans-def*) *iprover*

lemma *transD*: $\text{trans } r \implies (a, b) : r \implies (b, c) : r \implies (a, c) : r$
by (*unfold trans-def*) *iprover*

lemma *trans-Int*: $\text{trans } r \implies \text{trans } s \implies \text{trans } (r \cap s)$
by (*fast intro: transI elim: transD*)

lemma *trans-INTER*: $\text{ALL } x:S. \text{trans } (r\ x) \implies \text{trans } (\text{INTER } S\ r)$
by (*fast intro: transI elim: transD*)

lemma *trans-Id-on* [*simp*]: *trans* (*Id-on* *A*)
by (*fast intro: transI elim: transD*)

lemma *trans-diff-Id*: *trans* *r* \implies *antisym* *r* \implies *trans* (*r-Id*)
unfolding *antisym-def trans-def* **by** *blast*

21.9 Irreflexivity

lemma *irrefl-diff-Id* [*simp*]: *irrefl*(*r-Id*)
by(*simp add:irrefl-def*)

21.10 Totality

lemma *total-on-empty* [*simp*]: *total-on* {} *r*
by(*simp add:total-on-def*)

lemma *total-on-diff-Id* [*simp*]: *total-on* *A* (*r-Id*) = *total-on* *A* *r*
by(*simp add: total-on-def*)

21.11 Converse

lemma *converse-iff* [*iff*]: $((a,b): r^{-1}) = ((b,a) : r)$
by (*simp add: converse-def*)

lemma *converseI* [*sym*]: $(a, b) : r \implies (b, a) : r^{-1}$
by (*simp add: converse-def*)

lemma *converseD* [*sym*]: $(a,b) : r^{-1} \implies (b, a) : r$
by (*simp add: converse-def*)

lemma *converseE* [*elim!*]:
 $yx : r^{-1} \implies (!x y. yx = (y, x) \implies (x, y) : r \implies P) \implies P$
— More general than *converseD*, as it “splits” the member of the relation.
by (*unfold converse-def*) (*iprover elim!: CollectE splitE bexE*)

lemma *converse-converse* [*simp*]: $(r^{-1})^{-1} = r$
by (*unfold converse-def*) *blast*

lemma *converse-rel-comp*: $(r \circ s)^{-1} = s^{-1} \circ r^{-1}$
by *blast*

lemma *converse-Int*: $(r \cap s)^{-1} = r^{-1} \cap s^{-1}$
by *blast*

lemma *converse-Un*: $(r \cup s)^{-1} = r^{-1} \cup s^{-1}$
by *blast*

lemma *converse-INTER*: $(\text{INTER } S \ r)^{-1} = (\text{INT } x:S. (r \ x)^{-1})$
by *fast*

lemma *converse-UNION*: $(\text{UNION } S \ r)^{\wedge-1} = (\text{UN } x:S. (r \ x)^{\wedge-1})$
by *blast*

lemma *converse-Id* [*simp*]: $\text{Id}^{\wedge-1} = \text{Id}$
by *blast*

lemma *converse-Id-on* [*simp*]: $(\text{Id-on } A)^{\wedge-1} = \text{Id-on } A$
by *blast*

lemma *refl-on-converse* [*simp*]: $\text{refl-on } A \ (\text{converse } r) = \text{refl-on } A \ r$
by (*unfold refl-on-def*) *auto*

lemma *sym-converse* [*simp*]: $\text{sym} \ (\text{converse } r) = \text{sym } r$
by (*unfold sym-def*) *blast*

lemma *antisym-converse* [*simp*]: $\text{antisym} \ (\text{converse } r) = \text{antisym } r$
by (*unfold antisym-def*) *blast*

lemma *trans-converse* [*simp*]: $\text{trans} \ (\text{converse } r) = \text{trans } r$
by (*unfold trans-def*) *blast*

lemma *sym-conv-converse-eq*: $\text{sym } r = (r^{\wedge-1} = r)$
by (*unfold sym-def*) *fast*

lemma *sym-Un-converse*: $\text{sym} \ (r \cup r^{\wedge-1})$
by (*unfold sym-def*) *blast*

lemma *sym-Int-converse*: $\text{sym} \ (r \cap r^{\wedge-1})$
by (*unfold sym-def*) *blast*

lemma *total-on-converse* [*simp*]: $\text{total-on } A \ (r^{\wedge-1}) = \text{total-on } A \ r$
by (*auto simp: total-on-def*)

21.12 Domain

declare *Domain-def* [*no-atp*]

lemma *Domain-iff*: $(a : \text{Domain } r) = (\text{EX } y. (a, y) : r)$
by (*unfold Domain-def*) *blast*

lemma *DomainI* [*intro*]: $(a, b) : r ==> a : \text{Domain } r$
by (*iprover intro!: iffD2 [OF Domain-iff]*)

lemma *DomainE* [*elim!*]:
 $a : \text{Domain } r ==> (!y. (a, y) : r ==> P) ==> P$
by (*iprover dest!: iffD1 [OF Domain-iff]*)

lemma *Domain-empty* [*simp*]: $\text{Domain } \{\} = \{\}$
by *blast*

lemma *Domain-empty-iff*: $\text{Domain } r = \{\} \longleftrightarrow r = \{\}$
by *auto*

lemma *Domain-insert*: $\text{Domain } (\text{insert } (a, b) \ r) = \text{insert } a \ (\text{Domain } r)$
by *blast*

lemma *Domain-Id* [simp]: $\text{Domain } \text{Id} = \text{UNIV}$
by *blast*

lemma *Domain-Id-on* [simp]: $\text{Domain } (\text{Id-on } A) = A$
by *blast*

lemma *Domain-Un-eq*: $\text{Domain}(A \cup B) = \text{Domain}(A) \cup \text{Domain}(B)$
by *blast*

lemma *Domain-Int-subset*: $\text{Domain}(A \cap B) \subseteq \text{Domain}(A) \cap \text{Domain}(B)$
by *blast*

lemma *Domain-Diff-subset*: $\text{Domain}(A) - \text{Domain}(B) \subseteq \text{Domain}(A - B)$
by *blast*

lemma *Domain-Union*: $\text{Domain } (\text{Union } S) = (\bigcup A \in S. \text{Domain } A)$
by *blast*

lemma *Domain-converse*[simp]: $\text{Domain}(r^{-1}) = \text{Range } r$
by (*auto simp: Range-def*)

lemma *Domain-mono*: $r \subseteq s \implies \text{Domain } r \subseteq \text{Domain } s$
by *blast*

lemma *fst-eq-Domain*: $\text{fst } R = \text{Domain } R$
by (*auto intro!: image-eqI*)

lemma *Domain-dprod* [simp]: $\text{Domain } (\text{dprod } r \ s) = \text{uprod } (\text{Domain } r) (\text{Domain } s)$
by *auto*

lemma *Domain-dsum* [simp]: $\text{Domain } (\text{dsum } r \ s) = \text{usum } (\text{Domain } r) (\text{Domain } s)$
by *auto*

21.13 Range

lemma *Range-iff*: $(a : \text{Range } r) = (\exists y. (y, a) : r)$
by (*simp add: Domain-def Range-def*)

lemma *RangeI* [intro]: $(a, b) : r \implies b : \text{Range } r$
by (*unfold Range-def (iprover intro!: converseI DomainI)*)

lemma *RangeE* [elim!]: $b : \text{Range } r \implies (!x. (x, b) : r \implies P) \implies P$
by (*unfold Range-def*) (*iprover elim! : DomainE dest! : converseD*)

lemma *Range-empty* [simp]: $\text{Range } \{\} = \{\}$
by *blast*

lemma *Range-empty-iff*: $\text{Range } r = \{\} \longleftrightarrow r = \{\}$
by *auto*

lemma *Range-insert*: $\text{Range } (\text{insert } (a, b) r) = \text{insert } b (\text{Range } r)$
by *blast*

lemma *Range-Id* [simp]: $\text{Range } \text{Id} = \text{UNIV}$
by *blast*

lemma *Range-Id-on* [simp]: $\text{Range } (\text{Id-on } A) = A$
by *auto*

lemma *Range-Un-eq*: $\text{Range}(A \cup B) = \text{Range}(A) \cup \text{Range}(B)$
by *blast*

lemma *Range-Int-subset*: $\text{Range}(A \cap B) \subseteq \text{Range}(A) \cap \text{Range}(B)$
by *blast*

lemma *Range-Diff-subset*: $\text{Range}(A) - \text{Range}(B) \subseteq \text{Range}(A - B)$
by *blast*

lemma *Range-Union*: $\text{Range } (\text{Union } S) = (\bigcup A \in S. \text{Range } A)$
by *blast*

lemma *Range-converse*[simp]: $\text{Range}(r^{-1}) = \text{Domain } r$
by *blast*

lemma *snd-eq-Range*: $\text{snd} \circ R = \text{Range } R$
by (*auto intro! : image-eqI*)

21.14 Field

lemma *mono-Field*: $r \subseteq s \implies \text{Field } r \subseteq \text{Field } s$
by (*auto simp : Field-def Domain-def Range-def*)

lemma *Field-empty*[simp]: $\text{Field } \{\} = \{\}$
by (*auto simp : Field-def*)

lemma *Field-insert*[simp]: $\text{Field } (\text{insert } (a, b) r) = \{a, b\} \cup \text{Field } r$
by (*auto simp : Field-def*)

lemma *Field-Un*[simp]: $\text{Field } (r \cup s) = \text{Field } r \cup \text{Field } s$

by (*auto simp:Field-def*)

lemma *Field-Union* [*simp*]: $\text{Field } (\bigcup R) = \bigcup (\text{Field } ` R)$
by (*auto simp:Field-def*)

lemma *Field-converse* [*simp*]: $\text{Field}(r^{-1}) = \text{Field } r$
by (*auto simp:Field-def*)

21.15 Image of a set under a relation

declare *Image-def* [*no-atp*]

lemma *Image-iff*: $(b : r `` A) = (EX x:A. (x, b) : r)$
by (*simp add: Image-def*)

lemma *Image-singleton*: $r `` \{a\} = \{b. (a, b) : r\}$
by (*simp add: Image-def*)

lemma *Image-singleton-iff* [*iff*]: $(b : r `` \{a\}) = ((a, b) : r)$
by (*rule Image-iff [THEN trans]*) *simp*

lemma *ImageI* [*intro,no-atp*]: $(a, b) : r ==> a : A ==> b : r `` A$
by (*unfold Image-def*) *blast*

lemma *ImageE* [*elim!*]:
 $b : r `` A ==> (!x. (x, b) : r ==> x : A ==> P) ==> P$
by (*unfold Image-def*) (*iprover elim!: CollectE bexE*)

lemma *rev-ImageI*: $a : A ==> (a, b) : r ==> b : r `` A$
 — This version’s more effective when we already have the required a
by *blast*

lemma *Image-empty* [*simp*]: $R `` \{\} = \{\}$
by *blast*

lemma *Image-Id* [*simp*]: $\text{Id} `` A = A$
by *blast*

lemma *Image-Id-on* [*simp*]: $\text{Id-on } A `` B = A \cap B$
by *blast*

lemma *Image-Int-subset*: $R `` (A \cap B) \subseteq R `` A \cap R `` B$
by *blast*

lemma *Image-Int-eq*:
 $\text{single-valued } (\text{converse } R) ==> R `` (A \cap B) = R `` A \cap R `` B$
by (*simp add: single-valued-def, blast*)

lemma *Image-Un*: $R `` (A \cup B) = R `` A \cup R `` B$

by *blast*

lemma *Un-Image*: $(R \cup S) \text{ “ } A = R \text{ “ } A \cup S \text{ “ } A$
by *blast*

lemma *Image-subset*: $r \subseteq A \times B \implies r \text{ “ } C \subseteq B$
by (*iprover intro!*: *subsetI elim!*: *ImageE dest!*: *subsetD SigmaD2*)

lemma *Image-eq-UN*: $r \text{ “ } B = (\bigcup y \in B. r \text{ “ } \{y\})$
— NOT suitable for rewriting
by *blast*

lemma *Image-mono*: $r' \subseteq r \implies A' \subseteq A \implies (r' \text{ “ } A') \subseteq (r \text{ “ } A)$
by *blast*

lemma *Image-UN*: $(r \text{ “ } (\text{UNION } A \ B)) = (\bigcup x \in A. r \text{ “ } (B \ x))$
by *blast*

lemma *Image-INT-subset*: $(r \text{ “ } \text{INTER } A \ B) \subseteq (\bigcap x \in A. r \text{ “ } (B \ x))$
by *blast*

Converse inclusion requires some assumptions

lemma *Image-INT-eq*:
 $[[\text{single-valued } (r^{-1}); A \neq \{\}] \implies r \text{ “ } \text{INTER } A \ B = (\bigcap x \in A. r \text{ “ } B \ x)$
apply (*rule equalityI*)
 apply (*rule Image-INT-subset*)
apply (*simp add: single-valued-def, blast*)
done

lemma *Image-subset-eq*: $(r \text{ “ } A \subseteq B) = (A \subseteq - ((r^{-1}) \text{ “ } (-B)))$
by *blast*

21.16 Single valued relations

lemma *single-valuedI*:
 $\text{ALL } x \ y. (x, y) : r \dashrightarrow (\text{ALL } z. (x, z) : r \dashrightarrow y = z) \implies \text{single-valued } r$
by (*unfold single-valued-def*)

lemma *single-valuedD*:
 $\text{single-valued } r \implies (x, y) : r \implies (x, z) : r \implies y = z$
by (*simp add: single-valued-def*)

lemma *single-valued-rel-comp*:
 $\text{single-valued } r \implies \text{single-valued } s \implies \text{single-valued } (r \ O \ s)$
by (*unfold single-valued-def*) *blast*

lemma *single-valued-subset*:
 $r \subseteq s \implies \text{single-valued } s \implies \text{single-valued } r$
by (*unfold single-valued-def*) *blast*

lemma *single-valued-Id* [simp]: *single-valued Id*
by (*unfold single-valued-def*) *blast*

lemma *single-valued-Id-on* [simp]: *single-valued (Id-on A)*
by (*unfold single-valued-def*) *blast*

21.17 Graphs given by *Collect*

lemma *Domain-Collect-split* [simp]: $\text{Domain}\{(x,y). P\ x\ y\} = \{x. \text{EX } y. P\ x\ y\}$
by *auto*

lemma *Range-Collect-split* [simp]: $\text{Range}\{(x,y). P\ x\ y\} = \{y. \text{EX } x. P\ x\ y\}$
by *auto*

lemma *Image-Collect-split* [simp]: $\{(x,y). P\ x\ y\} \text{ “ } A = \{y. \text{EX } x:A. P\ x\ y\}$
by *auto*

21.18 Inverse image

lemma *sym-inv-image*: $\text{sym } r ==> \text{sym } (\text{inv-image } r\ f)$
by (*unfold sym-def inv-image-def*) *blast*

lemma *trans-inv-image*: $\text{trans } r ==> \text{trans } (\text{inv-image } r\ f)$
apply (*unfold trans-def inv-image-def*)
apply (*simp (no-asm)*)
apply *blast*
done

lemma *in-inv-image*[simp]: $((x,y) : \text{inv-image } r\ f) = ((f\ x, f\ y) : r)$
by (*auto simp:inv-image-def*)

lemma *converse-inv-image*[simp]: $(\text{inv-image } R\ f)^{-1} = \text{inv-image } (R^{-1})\ f$
unfolding *inv-image-def converse-def* **by** *auto*

21.19 Finiteness

lemma *finite-converse* [iff]: $\text{finite } (r^{-1}) = \text{finite } r$
apply (*subgoal-tac* $r^{-1} = (\% (x,y). (y,x))'r$)
apply *simp*
apply (*rule iffI*)
apply (*erule finite-imageD* [*unfolded inj-on-def*])
apply (*simp split add: split-split*)
apply (*erule finite-imageI*)
apply (*simp add: converse-def image-def, auto*)
apply (*rule bexI*)
prefer 2 **apply** *assumption*
apply *simp*
done

```

lemma finite-Domain: finite  $r \implies$  finite (Domain  $r$ )
  by (induct set: finite) (auto simp add: Domain-insert)

lemma finite-Range: finite  $r \implies$  finite (Range  $r$ )
  by (induct set: finite) (auto simp add: Range-insert)

lemma finite-Field: finite  $r \implies$  finite (Field  $r$ )
  — A finite relation has a finite field (= domain  $\cup$  range.
  apply (induct set: finite)
  apply (auto simp add: Field-def Domain-insert Range-insert)
  done

```

21.20 Miscellaneous

Version of *lfp-induct* for binary relations

```

lemmas lfp-induct2 =
  lfp-induct-set [of ( $a$ ,  $b$ ), split-format (complete)]

```

Version of *subsetI* for binary relations

```

lemma subrelI:  $(\bigwedge x y. (x, y) \in r \implies (x, y) \in s) \implies r \subseteq s$ 
by auto

```

end

22 Predicate: Predicates as relations and enumerations

```

theory Predicate
imports Inductive Relation
begin

```

```

notation
  inf (infixl  $\sqcap$  70) and
  sup (infixl  $\sqcup$  65) and
  Inf ( $\bigsqcap$  - [900] 900) and
  Sup ( $\bigsqcup$  - [900] 900) and
  top ( $\top$ ) and
  bot ( $\perp$ )

```

22.1 Predicates as (complete) lattices

Handy introduction and elimination rules for \leq on unary and binary predicates

```

lemma predicate1I:
  assumes  $PQ: \bigwedge x. P x \implies Q x$ 
  shows  $P \leq Q$ 

```

```

apply (rule le-funI)
apply (rule le-boolI)
apply (rule PQ)
apply assumption
done

```

```

lemma predicate1D [Pure.dest?, dest?]:
   $P \leq Q \implies P\ x \implies Q\ x$ 
apply (erule le-funE)
apply (erule le-boolE)
apply assumption+
done

```

```

lemma rev-predicate1D:
   $P\ x \implies P \leq Q \implies Q\ x$ 
by (rule predicate1D)

```

```

lemma predicate2I [Pure.intro!, intro!]:
  assumes PQ:  $\bigwedge x\ y. P\ x\ y \implies Q\ x\ y$ 
shows  $P \leq Q$ 
apply (rule le-funI)+
apply (rule le-boolI)
apply (rule PQ)
apply assumption
done

```

```

lemma predicate2D [Pure.dest, dest]:
   $P \leq Q \implies P\ x\ y \implies Q\ x\ y$ 
apply (erule le-funE)+
apply (erule le-boolE)
apply assumption+
done

```

```

lemma rev-predicate2D:
   $P\ x\ y \implies P \leq Q \implies Q\ x\ y$ 
by (rule predicate2D)

```

22.1.1 Equality

```

lemma pred-equals-eq:  $((\lambda x. x \in R) = (\lambda x. x \in S)) = (R = S)$ 
by (simp add: mem-def)

```

```

lemma pred-equals-eq2 [pred-set-conv]:  $((\lambda x\ y. (x, y) \in R) = (\lambda x\ y. (x, y) \in S))$ 
 $= (R = S)$ 
by (simp add: expand-fun-eq mem-def)

```

22.1.2 Order relation

```

lemma pred-subset-eq:  $((\lambda x. x \in R) \leq (\lambda x. x \in S)) = (R \leq S)$ 
by (simp add: mem-def)

```

lemma *pred-subset-eq2* [*pred-set-conv*]: $((\lambda x y. (x, y) \in R) \leq (\lambda x y. (x, y) \in S)) = (R \leq S)$
by *fast*

22.1.3 Top and bottom elements

lemma *top1I* [*intro!*]: $\text{top } x$
by (*simp add: top-fun-eq top-bool-eq*)

lemma *top2I* [*intro!*]: $\text{top } x y$
by (*simp add: top-fun-eq top-bool-eq*)

lemma *bot1E* [*elim!*]: $\text{bot } x \implies P$
by (*simp add: bot-fun-eq bot-bool-eq*)

lemma *bot2E* [*elim!*]: $\text{bot } x y \implies P$
by (*simp add: bot-fun-eq bot-bool-eq*)

lemma *bot-empty-eq*: $\text{bot} = (\lambda x. x \in \{\})$
by (*auto simp add: expand-fun-eq*)

lemma *bot-empty-eq2*: $\text{bot} = (\lambda x y. (x, y) \in \{\})$
by (*auto simp add: expand-fun-eq*)

22.1.4 Binary union

lemma *sup1I1* [*elim?*]: $A x \implies \text{sup } A B x$
by (*simp add: sup-fun-eq sup-bool-eq*)

lemma *sup2I1* [*elim?*]: $A x y \implies \text{sup } A B x y$
by (*simp add: sup-fun-eq sup-bool-eq*)

lemma *sup1I2* [*elim?*]: $B x \implies \text{sup } A B x$
by (*simp add: sup-fun-eq sup-bool-eq*)

lemma *sup2I2* [*elim?*]: $B x y \implies \text{sup } A B x y$
by (*simp add: sup-fun-eq sup-bool-eq*)

lemma *sup1E* [*elim!*]: $\text{sup } A B x \implies (A x \implies P) \implies (B x \implies P) \implies P$
by (*simp add: sup-fun-eq sup-bool-eq*) *iprover*

lemma *sup2E* [*elim!*]: $\text{sup } A B x y \implies (A x y \implies P) \implies (B x y \implies P) \implies P$
by (*simp add: sup-fun-eq sup-bool-eq*) *iprover*

Classical introduction rule: no commitment to A vs B .

lemma *sup1CI* [*intro!*]: $(\sim B x \implies A x) \implies \text{sup } A B x$

by (auto simp add: sup-fun-eq sup-bool-eq)

lemma sup2CI [intro!]: $(\sim B\ x\ y \implies A\ x\ y) \implies \sup A\ B\ x\ y$
 by (auto simp add: sup-fun-eq sup-bool-eq)

lemma sup-Un-eq: $\sup (\lambda x. x \in R) (\lambda x. x \in S) = (\lambda x. x \in R \cup S)$
 by (simp add: sup-fun-eq sup-bool-eq mem-def)

lemma sup-Un-eq2 [pred-set-conv]: $\sup (\lambda x\ y. (x, y) \in R) (\lambda x\ y. (x, y) \in S) =$
 $(\lambda x\ y. (x, y) \in R \cup S)$
 by (simp add: sup-fun-eq sup-bool-eq mem-def)

22.1.5 Binary intersection

lemma inf1I [intro!]: $A\ x \implies B\ x \implies \inf A\ B\ x$
 by (simp add: inf-fun-eq inf-bool-eq)

lemma inf2I [intro!]: $A\ x\ y \implies B\ x\ y \implies \inf A\ B\ x\ y$
 by (simp add: inf-fun-eq inf-bool-eq)

lemma inf1E [elim!]: $\inf A\ B\ x \implies (A\ x \implies B\ x \implies P) \implies P$
 by (simp add: inf-fun-eq inf-bool-eq)

lemma inf2E [elim!]: $\inf A\ B\ x\ y \implies (A\ x\ y \implies B\ x\ y \implies P) \implies P$
 by (simp add: inf-fun-eq inf-bool-eq)

lemma inf1D1: $\inf A\ B\ x \implies A\ x$
 by (simp add: inf-fun-eq inf-bool-eq)

lemma inf2D1: $\inf A\ B\ x\ y \implies A\ x\ y$
 by (simp add: inf-fun-eq inf-bool-eq)

lemma inf1D2: $\inf A\ B\ x \implies B\ x$
 by (simp add: inf-fun-eq inf-bool-eq)

lemma inf2D2: $\inf A\ B\ x\ y \implies B\ x\ y$
 by (simp add: inf-fun-eq inf-bool-eq)

lemma inf-Int-eq: $\inf (\lambda x. x \in R) (\lambda x. x \in S) = (\lambda x. x \in R \cap S)$
 by (simp add: inf-fun-eq inf-bool-eq mem-def)

lemma inf-Int-eq2 [pred-set-conv]: $\inf (\lambda x\ y. (x, y) \in R) (\lambda x\ y. (x, y) \in S) =$
 $(\lambda x\ y. (x, y) \in R \cap S)$
 by (simp add: inf-fun-eq inf-bool-eq mem-def)

22.1.6 Unions of families

lemma SUP1-iff: $(\sup x:A. B\ x)\ b = (\exists x:A. B\ x\ b)$
 by (simp add: SUPR-def Sup-fun-def Sup-bool-def) blast

lemma *SUP2-iff*: $(\text{SUP } x:A. B \ x) \ b \ c = (\text{EX } x:A. B \ x \ b \ c)$
by (*simp add: SUPR-def Sup-fun-def Sup-bool-def*) *blast*

lemma *SUP1-I* [*intro*]: $a : A \implies B \ a \ b \implies (\text{SUP } x:A. B \ x) \ b$
by (*auto simp add: SUP1-iff*)

lemma *SUP2-I* [*intro*]: $a : A \implies B \ a \ b \ c \implies (\text{SUP } x:A. B \ x) \ b \ c$
by (*auto simp add: SUP2-iff*)

lemma *SUP1-E* [*elim*!]: $(\text{SUP } x:A. B \ x) \ b \implies (!x. x : A \implies B \ x \ b \implies R) \implies R$
by (*auto simp add: SUP1-iff*)

lemma *SUP2-E* [*elim*!]: $(\text{SUP } x:A. B \ x) \ b \ c \implies (!x. x : A \implies B \ x \ b \ c \implies R) \implies R$
by (*auto simp add: SUP2-iff*)

lemma *SUP-UN-eq*: $(\text{SUP } i. (\lambda x. x \in r \ i)) = (\lambda x. x \in (\text{UN } i. r \ i))$
by (*simp add: SUP1-iff expand-fun-eq*)

lemma *SUP-UN-eq2*: $(\text{SUP } i. (\lambda x \ y. (x, y) \in r \ i)) = (\lambda x \ y. (x, y) \in (\text{UN } i. r \ i))$
by (*simp add: SUP2-iff expand-fun-eq*)

22.1.7 Intersections of families

lemma *INF1-iff*: $(\text{INF } x:A. B \ x) \ b = (\text{ALL } x:A. B \ x \ b)$
by (*simp add: INF1-def Inf-fun-def Inf-bool-def*) *blast*

lemma *INF2-iff*: $(\text{INF } x:A. B \ x) \ b \ c = (\text{ALL } x:A. B \ x \ b \ c)$
by (*simp add: INF1-def Inf-fun-def Inf-bool-def*) *blast*

lemma *INF1-I* [*intro*!]: $(!x. x : A \implies B \ x \ b) \implies (\text{INF } x:A. B \ x) \ b$
by (*auto simp add: INF1-iff*)

lemma *INF2-I* [*intro*!]: $(!x. x : A \implies B \ x \ b \ c) \implies (\text{INF } x:A. B \ x) \ b \ c$
by (*auto simp add: INF2-iff*)

lemma *INF1-D* [*elim*]: $(\text{INF } x:A. B \ x) \ b \implies a : A \implies B \ a \ b$
by (*auto simp add: INF1-iff*)

lemma *INF2-D* [*elim*]: $(\text{INF } x:A. B \ x) \ b \ c \implies a : A \implies B \ a \ b \ c$
by (*auto simp add: INF2-iff*)

lemma *INF1-E* [*elim*]: $(\text{INF } x:A. B \ x) \ b \implies (B \ a \ b \implies R) \implies (a \sim: A \implies R) \implies R$
by (*auto simp add: INF1-iff*)

lemma *INF2-E* [*elim*]: $(\text{INF } x:A. B \ x) \ b \ c \implies (B \ a \ b \ c \implies R) \implies (a \sim: A \implies R) \implies R$

by (*auto simp add: INF2-iff*)

lemma *INF-INT-eq*: $(\text{INF } i. (\lambda x. x \in r \ i)) = (\lambda x. x \in (\text{INT } i. r \ i))$
by (*simp add: INF1-iff expand-fun-eq*)

lemma *INF-INT-eq2*: $(\text{INF } i. (\lambda x y. (x, y) \in r \ i)) = (\lambda x y. (x, y) \in (\text{INT } i. r \ i))$
by (*simp add: INF2-iff expand-fun-eq*)

22.2 Predicates as relations

22.2.1 Composition

inductive

pred-comp :: $['a \Rightarrow 'b \Rightarrow \text{bool}, 'b \Rightarrow 'c \Rightarrow \text{bool}] \Rightarrow 'a \Rightarrow 'c \Rightarrow \text{bool}$
(infixr OO 75)

for $r :: 'a \Rightarrow 'b \Rightarrow \text{bool}$ **and** $s :: 'b \Rightarrow 'c \Rightarrow \text{bool}$

where

pred-compI [intro]: $r \ a \ b \implies s \ b \ c \implies (r \ OO \ s) \ a \ c$

inductive-cases *pred-compE* [elim!]: $(r \ OO \ s) \ a \ c$

lemma *pred-comp-rel-comp-eq* [pred-set-conv]:

$((\lambda x y. (x, y) \in r) \ OO \ (\lambda x y. (x, y) \in s)) = (\lambda x y. (x, y) \in r \ O \ s)$

by (*auto simp add: expand-fun-eq elim: pred-compE*)

22.2.2 Converse

inductive

conversep :: $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'b \Rightarrow 'a \Rightarrow \text{bool}$
(($\hat{\ } - - 1$) [1000] 1000)

for $r :: 'a \Rightarrow 'b \Rightarrow \text{bool}$

where

conversepI: $r \ a \ b \implies r^{\hat{\ } - - 1} \ b \ a$

notation (*xsymbols*)

conversep $((\hat{\ }^{-1} - 1) [1000] 1000)$

lemma *conversepD*:

assumes $ab: r^{\hat{\ } - - 1} \ a \ b$

shows $r \ b \ a$ **using** ab

by *cases simp*

lemma *conversep-iff* [iff]: $r^{\hat{\ } - - 1} \ a \ b = r \ b \ a$

by (*iprover intro: conversepI dest: conversepD*)

lemma *conversep-converse-eq* [pred-set-conv]:

$(\lambda x y. (x, y) \in r)^{\hat{\ } - - 1} = (\lambda x y. (x, y) \in r^{\hat{\ } - 1})$

by (*auto simp add: expand-fun-eq*)

lemma *conversep-conversep* [simp]: $(r^{\hat{\ } - - 1})^{\hat{\ } - - 1} = r$

by (*iprover intro: order-antisym conversepI dest: conversepD*)

lemma *converse-pred-comp*: $(r \text{ OO } s)^{\hat{---}1} = s^{\hat{---}1} \text{ OO } r^{\hat{---}1}$

by (*iprover intro: order-antisym conversepI pred-compI*
elim: pred-compE dest: conversepD)

lemma *converse-meet*: $(\inf r s)^{\hat{---}1} = \inf r^{\hat{---}1} s^{\hat{---}1}$

by (*simp add: inf-fun-eq inf-bool-eq*
(iprover intro: conversepI ext dest: conversepD))

lemma *converse-join*: $(\sup r s)^{\hat{---}1} = \sup r^{\hat{---}1} s^{\hat{---}1}$

by (*simp add: sup-fun-eq sup-bool-eq*
(iprover intro: conversepI ext dest: conversepD))

lemma *conversep-noteq [simp]*: $(op \sim)^{\hat{---}1} = op \sim$

by (*auto simp add: expand-fun-eq*)

lemma *conversep-eq [simp]*: $(op =)^{\hat{---}1} = op =$

by (*auto simp add: expand-fun-eq*)

22.2.3 Domain

inductive

DomainP :: $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow \text{bool}$

for *r* :: $'a \Rightarrow 'b \Rightarrow \text{bool}$

where

DomainPI [*intro*]: $r \ a \ b \implies \text{DomainP } r \ a$

inductive-cases *DomainPE* [*elim!*]: $\text{DomainP } r \ a$

lemma *DomainP-Domain-eq [pred-set-conv]*: $\text{DomainP } (\lambda x y. (x, y) \in r) = (\lambda x. x \in \text{Domain } r)$

by (*blast intro!: Orderings.order-antisym predicate1I*)

22.2.4 Range

inductive

RangeP :: $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'b \Rightarrow \text{bool}$

for *r* :: $'a \Rightarrow 'b \Rightarrow \text{bool}$

where

RangePI [*intro*]: $r \ a \ b \implies \text{RangeP } r \ b$

inductive-cases *RangePE* [*elim!*]: $\text{RangeP } r \ b$

lemma *RangeP-Range-eq [pred-set-conv]*: $\text{RangeP } (\lambda x y. (x, y) \in r) = (\lambda x. x \in \text{Range } r)$

by (*blast intro!: Orderings.order-antisym predicate1I*)

22.2.5 Inverse image

definition

$inv-imagep :: ('b \Rightarrow 'a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$ **where**
 $inv-imagep\ r\ f == \%x\ y.\ r\ (f\ x)\ (f\ y)$

lemma $[pred-set-conv]: inv-imagep\ (\lambda x\ y.\ (x, y) \in r)\ f = (\lambda x\ y.\ (x, y) \in inv-image\ r\ f)$

by $(simp\ add: inv-image-def\ inv-imagep-def)$

lemma $in-inv-imagep\ [simp]: inv-imagep\ r\ f\ x\ y = r\ (f\ x)\ (f\ y)$

by $(simp\ add: inv-imagep-def)$

22.2.6 Powerset

definition $Powp :: ('a \Rightarrow bool) \Rightarrow 'a\ set \Rightarrow bool$ **where**

$Powp\ A == \lambda B.\ \forall x \in B.\ A\ x$

lemma $Powp-Pow-eq\ [pred-set-conv]: Powp\ (\lambda x.\ x \in A) = (\lambda x.\ x \in Pow\ A)$

by $(auto\ simp\ add: Powp-def\ expand-fun-eq)$

lemmas $Powp-mono\ [mono] = Pow-mono\ [to-pred\ pred-subset-eq]$

22.2.7 Properties of relations

abbreviation $antisymP :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow bool$ **where**

$antisymP\ r == antisym\ \{(x, y).\ r\ x\ y\}$

abbreviation $transP :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow bool$ **where**

$transP\ r == trans\ \{(x, y).\ r\ x\ y\}$

abbreviation $single-valuedP :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow bool$ **where**

$single-valuedP\ r == single-valued\ \{(x, y).\ r\ x\ y\}$

22.3 Predicates as enumerations

22.3.1 The type of predicate enumerations (a monad)

datatype $'a\ pred = Pred\ 'a \Rightarrow bool$

primrec $eval :: 'a\ pred \Rightarrow 'a \Rightarrow bool$ **where**

$eval-pred: eval\ (Pred\ f) = f$

lemma $Pred-eval\ [simp]:$

$Pred\ (eval\ x) = x$

by $(cases\ x)\ simp$

lemma $eval-inject: eval\ x = eval\ y \longleftrightarrow x = y$

by $(cases\ x)\ auto$

definition $single :: 'a \Rightarrow 'a\ pred$ **where**

single $x = \text{Pred } ((op =) x)$

definition $\text{bind} :: 'a \text{ pred} \Rightarrow ('a \Rightarrow 'b \text{ pred}) \Rightarrow 'b \text{ pred}$ (**infixl** $\gg=$ 70) **where**
 $P \gg= f = \text{Pred } (\lambda x. (\exists y. \text{eval } P y \wedge \text{eval } (f y) x))$

instantiation $\text{pred} :: (\text{type}) \{ \text{complete-lattice}, \text{boolean-algebra} \}$
begin

definition

$P \leq Q \longleftrightarrow \text{eval } P \leq \text{eval } Q$

definition

$P < Q \longleftrightarrow \text{eval } P < \text{eval } Q$

definition

$\perp = \text{Pred } \perp$

definition

$\top = \text{Pred } \top$

definition

$P \sqcap Q = \text{Pred } (\text{eval } P \sqcap \text{eval } Q)$

definition

$P \sqcup Q = \text{Pred } (\text{eval } P \sqcup \text{eval } Q)$

definition

[code del]: $\bigcap A = \text{Pred } (\text{INFI } A \text{ eval})$

definition

[code del]: $\bigcup A = \text{Pred } (\text{SUPR } A \text{ eval})$

definition

$- P = \text{Pred } (- \text{eval } P)$

definition

$P - Q = \text{Pred } (\text{eval } P - \text{eval } Q)$

instance proof

qed (*auto simp add: less-eq-pred-def less-pred-def*
inf-pred-def sup-pred-def bot-pred-def top-pred-def
Inf-pred-def Sup-pred-def uminus-pred-def minus-pred-def fun-Compl-def bool-Compl-def,
auto simp add: le-fun-def less-fun-def le-bool-def less-bool-def
eval-inject mem-def)

end

lemma *bind-bind*:

$(P \gg= Q) \gg= R = P \gg= (\lambda x. Q x \gg= R)$

by (*auto simp add: bind-def expand-fun-eq*)

lemma *bind-single*:

$P \gg= \text{single} = P$

by (*simp add: bind-def single-def*)

lemma *single-bind*:

$\text{single } x \gg= P = P \ x$

by (*simp add: bind-def single-def*)

lemma *bottom-bind*:

$\perp \gg= P = \perp$

by (*auto simp add: bot-pred-def bind-def expand-fun-eq*)

lemma *sup-bind*:

$(P \sqcup Q) \gg= R = P \gg= R \sqcup Q \gg= R$

by (*auto simp add: bind-def sup-pred-def expand-fun-eq*)

lemma *Sup-bind*: $(\bigsqcup A \gg= f) = \bigsqcup ((\lambda x. x \gg= f) \text{ ` } A)$

by (*auto simp add: bind-def Sup-pred-def SUP1-iff expand-fun-eq*)

lemma *pred-iffI*:

assumes $\bigwedge x. \text{eval } A \ x \Longrightarrow \text{eval } B \ x$

and $\bigwedge x. \text{eval } B \ x \Longrightarrow \text{eval } A \ x$

shows $A = B$

proof –

from *assms* **have** $\bigwedge x. \text{eval } A \ x \longleftrightarrow \text{eval } B \ x$ **by** *blast*

then show *?thesis* **by** (*cases A, cases B*) (*simp add: expand-fun-eq*)

qed

lemma *singleI*: $\text{eval } (\text{single } x) \ x$

unfolding *single-def* **by** *simp*

lemma *singleI-unit*: $\text{eval } (\text{single } ()) \ x$

by *simp (rule singleI)*

lemma *singleE*: $\text{eval } (\text{single } x) \ y \Longrightarrow (y = x \Longrightarrow P) \Longrightarrow P$

unfolding *single-def* **by** *simp*

lemma *singleE'*: $\text{eval } (\text{single } x) \ y \Longrightarrow (x = y \Longrightarrow P) \Longrightarrow P$

by (*erule singleE*) *simp*

lemma *bindI*: $\text{eval } P \ x \Longrightarrow \text{eval } (Q \ x) \ y \Longrightarrow \text{eval } (P \gg= Q) \ y$

unfolding *bind-def* **by** *auto*

lemma *bindE*: $\text{eval } (R \gg= Q) \ y \Longrightarrow (\bigwedge x. \text{eval } R \ x \Longrightarrow \text{eval } (Q \ x) \ y \Longrightarrow P) \Longrightarrow P$

unfolding *bind-def* **by** *auto*

lemma *botE*: $\text{eval } \perp x \implies P$
unfolding *bot-pred-def* **by** *auto*

lemma *supI1*: $\text{eval } A x \implies \text{eval } (A \sqcup B) x$
unfolding *sup-pred-def* **by** (*simp add: sup-fun-eq sup-bool-eq*)

lemma *supI2*: $\text{eval } B x \implies \text{eval } (A \sqcup B) x$
unfolding *sup-pred-def* **by** (*simp add: sup-fun-eq sup-bool-eq*)

lemma *supE*: $\text{eval } (A \sqcup B) x \implies (\text{eval } A x \implies P) \implies (\text{eval } B x \implies P) \implies P$
unfolding *sup-pred-def* **by** *auto*

lemma *single-not-bot* [*simp*]:
 $\text{single } x \neq \perp$
by (*auto simp add: single-def bot-pred-def expand-fun-eq*)

lemma *not-bot*:
assumes $A \neq \perp$
obtains x **where** $\text{eval } A x$
using *assms* **by** (*cases A*)
(*auto simp add: bot-pred-def, auto simp add: mem-def*)

22.3.2 Emptiness check and definite choice

definition *is-empty* :: $'a \text{ pred} \Rightarrow \text{bool}$ **where**
 $\text{is-empty } A \longleftrightarrow A = \perp$

lemma *is-empty-bot*:
 $\text{is-empty } \perp$
by (*simp add: is-empty-def*)

lemma *not-is-empty-single*:
 $\neg \text{is-empty } (\text{single } x)$
by (*auto simp add: is-empty-def single-def bot-pred-def expand-fun-eq*)

lemma *is-empty-sup*:
 $\text{is-empty } (A \sqcup B) \longleftrightarrow \text{is-empty } A \wedge \text{is-empty } B$
by (*auto simp add: is-empty-def*)

definition *singleton* :: $(\text{unit} \Rightarrow 'a) \Rightarrow 'a \text{ pred} \Rightarrow 'a$ **where**
 $\text{singleton dfault } A = (\text{if } \exists!x. \text{eval } A x \text{ then } \text{THE } x. \text{eval } A x \text{ else dfault } ())$

lemma *singleton-eqI*:
 $\exists!x. \text{eval } A x \implies \text{eval } A x \implies \text{singleton dfault } A = x$
by (*auto simp add: singleton-def*)

lemma *eval-singletonI*:
 $\exists!x. \text{eval } A x \implies \text{eval } A (\text{singleton dfault } A)$

proof –

```

assume assm:  $\exists!x. \text{eval } A \ x$ 
then obtain x where  $\text{eval } A \ x \ ..$ 
moreover with assm have  $\text{singleton dfault } A = x$  by (rule singleton-eqI)
ultimately show ?thesis by simp
qed

```

```

lemma single-singleton:
   $\exists!x. \text{eval } A \ x \implies \text{single } (\text{singleton dfault } A) = A$ 
proof –
  assume assm:  $\exists!x. \text{eval } A \ x$ 
  then have  $\text{eval } A \ (\text{singleton dfault } A)$ 
    by (rule eval-singletonI)
  moreover from assm have  $\bigwedge x. \text{eval } A \ x \implies \text{singleton dfault } A = x$ 
    by (rule singleton-eqI)
  ultimately have  $\text{eval } (\text{single } (\text{singleton dfault } A)) = \text{eval } A$ 
    by (simp (no-asm-use) add: single-def expand-fun-eq) blast
  then show ?thesis by (simp add: eval-inject)
qed

```

```

lemma singleton-undefinedI:
   $\neg (\exists!x. \text{eval } A \ x) \implies \text{singleton dfault } A = \text{dfault } ()$ 
  by (simp add: singleton-def)

```

```

lemma singleton-bot:
   $\text{singleton dfault } \perp = \text{dfault } ()$ 
  by (auto simp add: bot-pred-def intro: singleton-undefinedI)

```

```

lemma singleton-single:
   $\text{singleton dfault } (\text{single } x) = x$ 
  by (auto simp add: intro: singleton-eqI singleI elim: singleE)

```

```

lemma singleton-sup-single-single:
   $\text{singleton dfault } (\text{single } x \sqcup \text{single } y) = (\text{if } x = y \text{ then } x \text{ else dfault } ())$ 
proof (cases x = y)
  case True then show ?thesis by (simp add: singleton-single)
next
  case False
  have  $\text{eval } (\text{single } x \sqcup \text{single } y) \ x$ 
    and  $\text{eval } (\text{single } x \sqcup \text{single } y) \ y$ 
    by (auto intro: supI1 supI2 singleI)
  with False have  $\neg (\exists!z. \text{eval } (\text{single } x \sqcup \text{single } y) \ z)$ 
    by blast
  then have  $\text{singleton dfault } (\text{single } x \sqcup \text{single } y) = \text{dfault } ()$ 
    by (rule singleton-undefinedI)
  with False show ?thesis by simp
qed

```

```

lemma singleton-sup-aux:
   $\text{singleton dfault } (A \sqcup B) = (\text{if } A = \perp \text{ then singleton dfault } B$ 

```

```

    else if  $B = \perp$  then singleton dfault A
    else singleton dfault
      (single (singleton dfault A)  $\sqcup$  single (singleton dfault B)))
proof (cases ( $\exists!x. \text{eval } A \ x$ )  $\wedge$  ( $\exists!y. \text{eval } B \ y$ ))
  case True then show ?thesis by (simp add: single-singleton)
next
case False
from False have A-or-B:
  singleton dfault A = dfault ()  $\vee$  singleton dfault B = dfault ()
  by (auto intro!: singleton-undefinedI)
then have rhs: singleton dfault
  (single (singleton dfault A)  $\sqcup$  single (singleton dfault B)) = dfault ()
  by (auto simp add: singleton-sup-single-single singleton-single)
from False have not-unique:
   $\neg (\exists!x. \text{eval } A \ x) \vee \neg (\exists!y. \text{eval } B \ y)$  by simp
show ?thesis proof (cases  $A \neq \perp \wedge B \neq \perp$ )
  case True
  then obtain a b where a: eval A a and b: eval B b
  by (blast elim: not-bot)
  with True not-unique have  $\neg (\exists!x. \text{eval } (A \sqcup B) \ x)$ 
  by (auto simp add: sup-pred-def bot-pred-def)
  then have singleton dfault (A  $\sqcup$  B) = dfault () by (rule singleton-undefinedI)
  with True rhs show ?thesis by simp
next
case False then show ?thesis by auto
qed
qed

lemma singleton-sup:
  singleton dfault (A  $\sqcup$  B) = (if A =  $\perp$  then singleton dfault B
    else if B =  $\perp$  then singleton dfault A
    else if singleton dfault A = singleton dfault B then singleton dfault A else dfault
  ())
using singleton-sup-aux [of dfault A B] by (simp only: singleton-sup-single-single)

```

22.3.3 Derived operations

definition if-pred :: bool \Rightarrow unit pred **where**
 if-pred-eq: if-pred b = (if b then single () else \perp)

definition holds :: unit pred \Rightarrow bool **where**
 holds-eq: holds P = eval P ()

definition not-pred :: unit pred \Rightarrow unit pred **where**
 not-pred-eq: not-pred P = (if eval P () then \perp else single ())

lemma if-predI: $P \Longrightarrow \text{eval } (\text{if-pred } P) \ ()$
unfolding if-pred-eq **by** (auto intro: singleI)

lemma *if-predE*: *eval* (*if-pred* *b*) *x* \implies (*b* \implies *x* = () \implies *P*) \implies *P*
unfolding *if-pred-eq* **by** (*cases* *b*) (*auto elim*: *botE*)

lemma *not-predI*: \neg *P* \implies *eval* (*not-pred* (*Pred* ($\lambda u.$ *P*))) ()
unfolding *not-pred-eq eval-pred* **by** (*auto intro*: *singleI*)

lemma *not-predI'*: \neg *eval* *P* () \implies *eval* (*not-pred* *P*) ()
unfolding *not-pred-eq* **by** (*auto intro*: *singleI*)

lemma *not-predE*: *eval* (*not-pred* (*Pred* ($\lambda u.$ *P*))) *x* \implies (\neg *P* \implies *thesis*) \implies *thesis*
unfolding *not-pred-eq*
by (*auto split*: *split-if-asm elim*: *botE*)

lemma *not-predE'*: *eval* (*not-pred* *P*) *x* \implies (\neg *eval* *P* *x* \implies *thesis*) \implies *thesis*
unfolding *not-pred-eq*
by (*auto split*: *split-if-asm elim*: *botE*)
lemma *f* () = *False* \vee *f* () = *True*
by *simp*

lemma *closure-of-bool-cases*:
assumes (*f* :: *unit* \Rightarrow *bool*) = (%*u.* *False*) \implies *P* *f*
assumes *f* = (%*u.* *True*) \implies *P* *f*
shows *P* *f*
proof –
 have *f* = (%*u.* *False*) \vee *f* = (%*u.* *True*)
 apply (*cases* *f* ())
 apply (*rule disjI2*)
 apply (*rule ext*)
 apply (*simp add*: *unit-eq*)
 apply (*rule disjI1*)
 apply (*rule ext*)
 apply (*simp add*: *unit-eq*)
 done
from *this* **prems** **show** ?*thesis* **by** *blast*
qed

lemma *unit-pred-cases*:
assumes *P* \perp
assumes *P* (*single* ())
shows *P* *Q*
using *assms*
unfolding *bot-pred-def Collect-def empty-def single-def*
apply (*cases* *Q*)
apply *simp*
apply (*rule-tac* *f=fun* **in** *closure-of-bool-cases*)
apply *auto*
apply (*subgoal-tac* (%*x.* () = *x*) = (%*x.* *True*))
apply *auto*

done

lemma *holds-if-pred*:

holds (if-pred b) = b

unfolding *if-pred-eq holds-eq*

by (*cases b*) (*auto intro: singleI elim: botE*)

lemma *if-pred-holds*:

if-pred (holds P) = P

unfolding *if-pred-eq holds-eq*

by (*rule unit-pred-cases*) (*auto intro: singleI elim: botE*)

lemma *is-empty-holds*:

is-empty P \longleftrightarrow \neg holds P

unfolding *is-empty-def holds-eq*

by (*rule unit-pred-cases*) (*auto elim: botE intro: singleI*)

22.3.4 Implementation

datatype *'a seq* = *Empty* | *Insert 'a 'a pred* | *Join 'a pred 'a seq*

primrec *pred-of-seq* :: *'a seq \Rightarrow 'a pred* **where**

pred-of-seq Empty = \perp

| *pred-of-seq (Insert x P) = single x \sqcup P*

| *pred-of-seq (Join P xq) = P \sqcup pred-of-seq xq*

definition *Seq* :: (*unit \Rightarrow 'a seq*) \Rightarrow *'a pred* **where**

Seq f = pred-of-seq (f ())

code-datatype *Seq*

primrec *member* :: *'a seq \Rightarrow 'a \Rightarrow bool* **where**

member Empty x \longleftrightarrow False

| *member (Insert y P) x \longleftrightarrow x = y \vee eval P x*

| *member (Join P xq) x \longleftrightarrow eval P x \vee member xq x*

lemma *eval-member*:

member xq = eval (pred-of-seq xq)

proof (*induct xq*)

case *Empty* **show** *?case*

by (*auto simp add: expand-fun-eq elim: botE*)

next

case *Insert* **show** *?case*

by (*auto simp add: expand-fun-eq elim: supE singleE intro: supI1 supI2 singleI*)

next

case *Join* **then show** *?case*

by (*auto simp add: expand-fun-eq elim: supE intro: supI1 supI2*)

qed

lemma *eval-code* [code]: *eval* (*Seq* *f*) = *member* (*f* ())
unfolding *Seq-def* **by** (*rule sym*, *rule eval-member*)

lemma *single-code* [code]:
single *x* = *Seq* ($\lambda u. \text{Insert } x \perp$)
unfolding *Seq-def* **by** *simp*

primrec *apply* :: (*'a* \Rightarrow *'b* *Predicate.pred*) \Rightarrow *'a seq* \Rightarrow *'b seq* **where**
apply *f* *Empty* = *Empty*
| *apply* *f* (*Insert* *x* *P*) = *Join* (*f* *x*) (*Join* (*P* \gg *f*) *Empty*)
| *apply* *f* (*Join* *P* *xq*) = *Join* (*P* \gg *f*) (*apply* *f* *xq*)

lemma *apply-bind*:
pred-of-seq (*apply* *f* *xq*) = *pred-of-seq* *xq* \gg *f*
proof (*induct* *xq*)
case *Empty* **show** ?*case*
by (*simp* *add*: *bottom-bind*)
next
case *Insert* **show** ?*case*
by (*simp* *add*: *single-bind* *sup-bind*)
next
case *Join* **then** **show** ?*case*
by (*simp* *add*: *sup-bind*)
qed

lemma *bind-code* [code]:
Seq *g* \gg *f* = *Seq* ($\lambda u. \text{apply } f \text{ (} g \text{ ())}$)
unfolding *Seq-def* **by** (*rule sym*, *rule apply-bind*)

lemma *bot-set-code* [code]:
 \perp = *Seq* ($\lambda u. \text{Empty}$)
unfolding *Seq-def* **by** *simp*

primrec *adjunct* :: *'a pred* \Rightarrow *'a seq* \Rightarrow *'a seq* **where**
adjunct *P* *Empty* = *Join* *P* *Empty*
| *adjunct* *P* (*Insert* *x* *Q*) = *Insert* *x* (*Q* \sqcup *P*)
| *adjunct* *P* (*Join* *Q* *xq*) = *Join* *Q* (*adjunct* *P* *xq*)

lemma *adjunct-sup*:
pred-of-seq (*adjunct* *P* *xq*) = *P* \sqcup *pred-of-seq* *xq*
by (*induct* *xq*) (*simp-all* *add*: *sup-assoc* *sup-commute* *sup-left-commute*)

lemma *sup-code* [code]:
Seq *f* \sqcup *Seq* *g* = *Seq* ($\lambda u. \text{case } f \text{ (}$
of *Empty* \Rightarrow *g* ())
| *Insert* *x* *P* \Rightarrow *Insert* *x* (*P* \sqcup *Seq* *g*)
| *Join* *P* *xq* \Rightarrow *adjunct* (*Seq* *g*) (*Join* *P* *xq*)
proof (*cases* *f* ())
case *Empty*

```

thus ?thesis
  unfolding Seq-def by (simp add: sup-commute [of  $\perp$ ])
next
  case Insert
  thus ?thesis
    unfolding Seq-def by (simp add: sup-assoc)
next
  case Join
  thus ?thesis
    unfolding Seq-def
    by (simp add: adjunct-sup sup-assoc sup-commute sup-left-commute)
qed

```

```

primrec contained :: 'a seq  $\Rightarrow$  'a pred  $\Rightarrow$  bool where
  contained Empty Q  $\longleftrightarrow$  True
| contained (Insert x P) Q  $\longleftrightarrow$  eval Q x  $\wedge$  P  $\leq$  Q
| contained (Join P xq) Q  $\longleftrightarrow$  P  $\leq$  Q  $\wedge$  contained xq Q

```

```

lemma single-less-eq-eval:
  single x  $\leq$  P  $\longleftrightarrow$  eval P x
by (auto simp add: single-def less-eq-pred-def mem-def)

```

```

lemma contained-less-eq:
  contained xq Q  $\longleftrightarrow$  pred-of-seq xq  $\leq$  Q
by (induct xq) (simp-all add: single-less-eq-eval)

```

```

lemma less-eq-pred-code [code]:
  Seq f  $\leq$  Q = (case f ()
  of Empty  $\Rightarrow$  True
  | Insert x P  $\Rightarrow$  eval Q x  $\wedge$  P  $\leq$  Q
  | Join P xq  $\Rightarrow$  P  $\leq$  Q  $\wedge$  contained xq Q)
by (cases f ())
  (simp-all add: Seq-def single-less-eq-eval contained-less-eq)

```

```

lemma eq-pred-code [code]:
  fixes P Q :: 'a pred
  shows eq-class.eq P Q  $\longleftrightarrow$  P  $\leq$  Q  $\wedge$  Q  $\leq$  P
  unfolding eq by auto

```

```

lemma [code]:
  pred-case f P = f (eval P)
by (cases P) simp

```

```

lemma [code]:
  pred-rec f P = f (eval P)
by (cases P) simp

```

```

inductive eq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool where eq x x

```

lemma *eq-is-eq*: $eq\ x\ y \equiv (x = y)$
by (*rule eq-reflection*) (*auto intro: eq.intros elim: eq.cases*)

definition *map* :: $('a \Rightarrow 'b) \Rightarrow 'a\ pred \Rightarrow 'b\ pred$ **where**
map *f* *P* = *P* \gg (*single o f*)

primrec *null* :: $'a\ seq \Rightarrow bool$ **where**
null *Empty* $\longleftrightarrow True$
| *null* (*Insert* *x* *P*) $\longleftrightarrow False$
| *null* (*Join* *P* *xq*) $\longleftrightarrow is_empty\ P \wedge null\ xq$

lemma *null-is-empty*:
null *xq* $\longleftrightarrow is_empty\ (pred_of_seq\ xq)$
by (*induct* *xq*) (*simp* *add: is-empty-bot not-is-empty-single is-empty-sup*)

lemma *is-empty-code* [*code*]:
is-empty (*Seq* *f*) $\longleftrightarrow null\ (f\ ())$
by (*simp* *add: null-is-empty Seq-def*)

primrec *the-only* :: $(unit \Rightarrow 'a) \Rightarrow 'a\ seq \Rightarrow 'a$ **where**
[*code del*]: *the-only* *dfault* *Empty* = *dfault* ()
| *the-only* *dfault* (*Insert* *x* *P*) = (*if is-empty* *P* *then* *x* *else* *let* *y* = *singleton* *dfault* *P* *in* *if* *x* = *y* *then* *x* *else* *dfault* ())
| *the-only* *dfault* (*Join* *P* *xq*) = (*if is-empty* *P* *then* *the-only* *dfault* *xq* *else* *if* *null* *xq* *then* *singleton* *dfault* *P* *else* *let* *x* = *singleton* *dfault* *P*; *y* = *the-only* *dfault* *xq* *in* *if* *x* = *y* *then* *x* *else* *dfault* ())

lemma *the-only-singleton*:
the-only *dfault* *xq* = *singleton* *dfault* (*pred-of-seq* *xq*)
by (*induct* *xq*)
(*auto simp* *add: singleton-bot singleton-single is-empty-def*
null-is-empty Let-def singleton-sup)

lemma *singleton-code* [*code*]:
singleton *dfault* (*Seq* *f*) = (*case* *f* ())
of *Empty* \Rightarrow *dfault* ()
| *Insert* *x* *P* \Rightarrow *if is-empty* *P* *then* *x*
else *let* *y* = *singleton* *dfault* *P* *in*
if *x* = *y* *then* *x* *else* *dfault* ()
| *Join* *P* *xq* \Rightarrow *if is-empty* *P* *then* *the-only* *dfault* *xq*
else *if* *null* *xq* *then* *singleton* *dfault* *P*
else *let* *x* = *singleton* *dfault* *P*; *y* = *the-only* *dfault* *xq* *in*
if *x* = *y* *then* *x* *else* *dfault* ()
by (*cases* *f* ())
(*auto simp* *add: Seq-def the-only-singleton is-empty-def*
null-is-empty singleton-bot singleton-single singleton-sup Let-def)

definition *not-unique* :: $'a\ pred \Rightarrow 'a$

where

[code del]: *not-unique* $A = (THE\ x.\ eval\ A\ x)$

definition *the* :: 'a *pred* => 'a

where

[code del]: *the* $A = (THE\ x.\ eval\ A\ x)$

lemma *the-eq*[code]: *the* $A = singleton\ (\lambda x.\ not_unique\ A)\ A$

by (*auto simp add: the-def singleton-def not-unique-def*)

code-abort *not-unique*

code-reflect *Predicate*

datatypes *pred* = *Seq* **and** *seq* = *Empty* | *Insert* | *Join*

functions *map*

ML <<

signature *PREDICATE* =

sig

datatype 'a *pred* = *Seq* of (*unit* -> 'a *seq*)

and 'a *seq* = *Empty* | *Insert* of 'a * 'a *pred* | *Join* of 'a *pred* * 'a *seq*

val *yield*: 'a *pred* -> ('a * 'a *pred*) *option*

val *yieldn*: int -> 'a *pred* -> 'a list * 'a *pred*

val *map*: ('a -> 'b) -> 'a *pred* -> 'b *pred*

end;

structure *Predicate* : *PREDICATE* =

struct

datatype *pred* = *datatype* *Predicate.pred*

datatype *seq* = *datatype* *Predicate.seq*

fun *map* *f* = *Predicate.map* *f*;

fun *yield* (*Seq* *f*) = *next* (*f* ())

and *next* *Empty* = *NONE*

| *next* (*Insert* (*x*, *P*)) = *SOME* (*x*, *P*)

| *next* (*Join* (*P*, *xq*)) = (case *yield* *P*

of *NONE* => *next* *xq*

| *SOME* (*x*, *Q*) => *SOME* (*x*, *Seq* (*fn* - => *Join* (*Q*, *xq*))));

fun *anamorph* *f* *k* *x* = (if *k* = 0 then ([], *x*)

else case *f* *x*

of *NONE* => ([], *x*)

| *SOME* (*v*, *y*) => let

val (*vs*, *z*) = *anamorph* *f* (*k* - 1) *y*

in (*v* :: *vs*, *z*) *end*);

fun *yieldn* *P* = *anamorph* *yield* *P*;

end;
 >>

no-notation

inf (**infixl** \sqcap 70) **and**
sup (**infixl** \sqcup 65) **and**
Inf (\sqcap - [900] 900) **and**
Sup (\sqcup - [900] 900) **and**
top (\top) **and**
bot (\perp) **and**
bind (**infixl** $\gg=$ 70)

hide-type (**open**) *pred seq*

hide-const (**open**) *Pred eval single bind is-empty singleton if-pred not-pred holds*
Empty Insert Join Seq member pred-of-seq apply adjunct null the-only eq map
not-unique the

end

23 Transitive-Closure: Reflexive and Transitive closure of a relation

theory *Transitive-Closure*

imports *Predicate*

uses $\sim\sim$ /src/Provers/trancl.ML

begin

rtrancl is reflexive/transitive closure, *trancl* is transitive closure, *reflcl* is reflexive closure.

These postfix operators have *maximum priority*, forcing their operands to be atomic.

inductive-set

rtrancl :: (*'a* \times *'a*) *set* \Rightarrow (*'a* \times *'a*) *set* $((-\hat{*})$ [1000] 999)

for *r* :: (*'a* \times *'a*) *set*

where

rtrancl-refl [*intro!*, *Pure.intro!*, *simp*]: (*a*, *a*) : *r* $\hat{*}$

| *rtrancl-into-rtrancl* [*Pure.intro*]: (*a*, *b*) : *r* $\hat{*}$ \Rightarrow (*b*, *c*) : *r* \Rightarrow (*a*, *c*) : *r* $\hat{*}$

inductive-set

trancl :: (*'a* \times *'a*) *set* \Rightarrow (*'a* \times *'a*) *set* $((-\hat{+})$ [1000] 999)

for *r* :: (*'a* \times *'a*) *set*

where

r-into-trancl [*intro*, *Pure.intro*]: (*a*, *b*) : *r* \Rightarrow (*a*, *b*) : *r* $\hat{+}$

| *trancl-into-trancl* [*Pure.intro*]: (*a*, *b*) : *r* $\hat{+}$ \Rightarrow (*b*, *c*) : *r* \Rightarrow (*a*, *c*) : *r* $\hat{+}$

declare *rtrancl-def* [*nitpick-def del*]

rtranclp-def [nitpick-def del]
trancl-def [nitpick-def del]
tranclp-def [nitpick-def del]

notation

rtranclp $((-^{**}) [1000] 1000)$ **and**
tranclp $((-^{++}) [1000] 1000)$

abbreviation

reflclp $:: ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool} \quad ((-^{\hat{=}}) [1000] 1000)$
where
 $r^{\hat{=}} == \text{sup } r \text{ op } =$

abbreviation

reflcl $:: ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set} \quad ((-^{\hat{=}}) [1000] 999)$ **where**
 $r^{\hat{=}} == r \cup \text{Id}$

notation (*xsymbols*)

rtranclp $((-^{**}) [1000] 1000)$ **and**
tranclp $((-^{++}) [1000] 1000)$ **and**
reflclp $((-^{\hat{=}}) [1000] 1000)$ **and**
rtrancl $((-^{*}) [1000] 999)$ **and**
trancl $((-^{+}) [1000] 999)$ **and**
reflcl $((-^{=}) [1000] 999)$

notation (*HTML output*)

rtranclp $((-^{**}) [1000] 1000)$ **and**
tranclp $((-^{++}) [1000] 1000)$ **and**
reflclp $((-^{\hat{=}}) [1000] 1000)$ **and**
rtrancl $((-^{*}) [1000] 999)$ **and**
trancl $((-^{+}) [1000] 999)$ **and**
reflcl $((-^{=}) [1000] 999)$

23.1 Reflexive closure

lemma *refl-reflcl[simp]*: $\text{refl}(r^{\hat{=}})$
by(*simp add:refl-on-def*)

lemma *antisym-reflcl[simp]*: $\text{antisym}(r^{\hat{=}}) = \text{antisym } r$
by(*simp add:antisym-def*)

lemma *trans-reflclI[simp]*: $\text{trans } r \Longrightarrow \text{trans}(r^{\hat{=}})$
unfolding *trans-def* **by** *blast*

23.2 Reflexive-transitive closure

lemma *reflcl-set-eq* [*pred-set-conv*]: $(\text{sup } (\lambda x y. (x, y) \in r) \text{ op } =) = (\lambda x y. (x, y) \in r \cup \text{Id})$
by (*auto simp add: expand-fun-eq*)

lemma *r-into-rtrancl* [intro]: $!!p. p \in r \implies p \in r^*$
 — *rtrancl* of *r* contains *r*
apply (*simp only: split-tupled-all*)
apply (*erule rtrancl-refl [THEN rtrancl-into-rtrancl]*)
done

lemma *r-into-rtranclp* [intro]: $r\ x\ y \implies r^{**}\ x\ y$
 — *rtrancl* of *r* contains *r*
by (*erule rtranclp.rtrancl-refl [THEN rtranclp.rtrancl-into-rtrancl]*)

lemma *rtranclp-mono*: $r \leq s \implies r^{**} \leq s^{**}$
 — monotonicity of *rtrancl*
apply (*rule predicate2I*)
apply (*erule rtranclp.induct*)
apply (*rule-tac [2] rtranclp.rtrancl-into-rtrancl, blast+*)
done

lemmas *rtrancl-mono* = *rtranclp-mono* [to-set]

theorem *rtranclp-induct* [consumes 1, case-names *base step*, induct set: *rtranclp*]:
assumes *a*: $r^{**}\ a\ b$
and cases: $P\ a\ !!y\ z. [\ [r^{**}\ a\ y; r\ y\ z; P\ y\] \implies P\ z$
shows $P\ b$ **using** *a*
by (*induct x \equiv a b*) (*rule cases*)+

lemmas *rtrancl-induct* [induct set: *rtrancl*] = *rtranclp-induct* [to-set]

lemmas *rtranclp-induct2* =
rtranclp-induct[of - (*ax,ay*) (*bx,by*), *split-rule*,
 consumes 1, case-names *refl step*]

lemmas *rtrancl-induct2* =
rtrancl-induct[of (*ax,ay*) (*bx,by*), *split-format* (*complete*),
 consumes 1, case-names *refl step*]

lemma *refl-rtrancl*: *refl* (r^*)
by (*unfold refl-on-def*) *fast*

Transitivity of transitive closure.

lemma *trans-rtrancl*: *trans* (r^*)
proof (*rule transI*)
fix *x y z*
assume $(x, y) \in r^*$
assume $(y, z) \in r^*$
then show $(x, z) \in r^*$
proof *induct*
case *base*
show $(x, y) \in r^*$ **by** *fact*
next


```

    case (step u v)
    from  $\langle (x, u) \in r^* \rangle$  and  $\langle (u, v) \in r \rangle$ 
    show  $\langle (x, v) \in r^* \rangle$  ..
qed
qed

```

lemmas *rtrancl-trans* = *trans-rtrancl* [THEN *transD*, *standard*]

```

lemma rtranclp-trans:
  assumes  $xy: r^{**} x y$ 
  and  $yz: r^{**} y z$ 
  shows  $r^{**} x z$  using yz xy
  by induct iprover+

```

```

lemma rtranclE [cases set: rtrancl]:
  assumes major:  $(a::'a, b) : r^*$ 
  obtains
    (base)  $a = b$ 
  | (step)  $y$  where  $(a, y) : r^*$  and  $(y, b) : r$ 
  — elimination of rtrancl – by induction on a special formula
  apply (subgoal-tac  $(a::'a) = b \mid (EX y. (a,y) : r^* \ \& \ (y,b) : r)$ )
  apply (rule-tac [2] major [THEN rtrancl-induct])
  prefer 2 apply blast
  prefer 2 apply blast
  apply (erule asm-rl exE disjE conjE base step)+
  done

```

```

lemma rtrancl-Int-subset: [ $Id \subseteq s; (r^* \cap s) \subseteq r \subseteq s$ ] ==>  $r^* \subseteq s$ 
  apply (rule subsetI)
  apply (rule-tac p=x in PairE, clarify)
  apply (erule rtrancl-induct, auto)
  done

```

```

lemma converse-rtranclp-into-rtranclp:
   $r a b \implies r^{**} b c \implies r^{**} a c$ 
  by (rule rtranclp-trans) iprover+

```

lemmas *converse-rtrancl-into-rtrancl* = *converse-rtranclp-into-rtranclp* [to-set]

More r^* equations and inclusions.

```

lemma rtranclp-idemp [simp]:  $(r^{**})^{**} = r^{**}$ 
  apply (auto intro!: order-antisym)
  apply (erule rtranclp-induct)
  apply (rule rtranclp.rtrancl-refl)
  apply (blast intro: rtranclp-trans)
  done

```

lemmas *rtrancl-idemp* [*simp*] = *rtranclp-idemp* [to-set]

```

lemma rtrancl-idemp-self-comp [simp]:  $R^{\wedge*} \circ R^{\wedge*} = R^{\wedge*}$ 
  apply (rule set-ext)
  apply (simp only: split-tupled-all)
  apply (blast intro: rtrancl-trans)
  done

```

```

lemma rtrancl-subset-rtrancl:  $r \subseteq s^{\wedge*} \implies r^{\wedge*} \subseteq s^{\wedge*}$ 
  apply (drule rtrancl-mono)
  apply simp
  done

```

```

lemma rtranclp-subset:  $R \leq S \implies S \leq R^{\wedge**} \implies S^{\wedge**} = R^{\wedge**}$ 
  apply (drule rtranclp-mono)
  apply (drule rtranclp-mono)
  apply simp
  done

```

```

lemmas rtrancl-subset = rtranclp-subset [to-set]

```

```

lemma rtranclp-sup-rtranclp:  $(\sup (R^{\wedge**}) (S^{\wedge**}))^{\wedge**} = (\sup R S)^{\wedge**}$ 
  by (blast intro!: rtranclp-subset intro: rtranclp-mono [THEN predicate2D])

```

```

lemmas rtrancl-Un-rtrancl = rtranclp-sup-rtranclp [to-set]

```

```

lemma rtranclp-reflcl [simp]:  $(R^{\wedge==})^{\wedge**} = R^{\wedge**}$ 
  by (blast intro!: rtranclp-subset)

```

```

lemmas rtrancl-reflcl [simp] = rtranclp-reflcl [to-set]

```

```

lemma rtrancl-r-diff-Id:  $(r - Id)^{\wedge*} = r^{\wedge*}$ 
  apply (rule sym)
  apply (rule rtrancl-subset, blast, clarify)
  apply (rename-tac a b)
  apply (case-tac a = b)
  apply blast
  apply (blast intro!: r-into-rtrancl)
  done

```

```

lemma rtranclp-r-diff-Id:  $(\inf r \text{ op } \sim)^{\wedge**} = r^{\wedge**}$ 
  apply (rule sym)
  apply (rule rtranclp-subset)
  apply blast+
  done

```

```

theorem rtranclp-converseD:
  assumes  $r: (r^{\wedge--1})^{\wedge**} x y$ 
  shows  $r^{\wedge**} y x$ 
proof –
  from  $r$  show ?thesis

```

by *induct* (*iprover* *intro*: *rtranclp-trans* *dest*!: *conversepD*) +
qed

lemmas *rtrancl-converseD* = *rtranclp-converseD* [*to-set*]

theorem *rtranclp-converseI*:

assumes $r^{**} y x$

shows $(r^{--1})^{**} x y$

using *assms*

by *induct* (*iprover* *intro*: *rtranclp-trans* *conversepI*) +

lemmas *rtrancl-converseI* = *rtranclp-converseI* [*to-set*]

lemma *rtrancl-converse*: $(r^{--1})^* = (r^*)^{--1}$

by (*fast* *dest*!: *rtrancl-converseD* *intro*!: *rtrancl-converseI*)

lemma *sym-rtrancl*: $\text{sym } r \implies \text{sym } (r^*)$

by (*simp* *only*: *sym-conv-converse-eq* *rtrancl-converse* [*symmetric*])

theorem *converse-rtranclp-induct* [*consumes 1, case-names base step*]:

assumes *major*: $r^{**} a b$

and *cases*: $P b !!y z. [| r y z; r^{**} z b; P z |] \implies P y$

shows $P a$

using *rtranclp-converseI* [*OF major*]

by *induct* (*iprover* *intro*: *cases* *dest*!: *conversepD* *rtranclp-converseD*) +

lemmas *converse-rtrancl-induct* = *converse-rtranclp-induct* [*to-set*]

lemmas *converse-rtranclp-induct2* =

converse-rtranclp-induct [*of* - (*ax,ay*) (*bx,by*), *split-rule*,
consumes 1, case-names refl step]

lemmas *converse-rtrancl-induct2* =

converse-rtrancl-induct [*of* (*ax,ay*) (*bx,by*), *split-format* (*complete*),
consumes 1, case-names refl step]

lemma *converse-rtranclpE* [*consumes 1, case-names base step*]:

assumes *major*: $r^{**} x z$

and *cases*: $x=z \implies P$

!!*y*. [| *r x y*; $r^{**} y z$ |] $\implies P$

shows P

apply (*subgoal-tac* $x = z \mid (EX y. r x y \ \& \ r^{**} y z)$)

apply (*rule-tac* [2] *major* [*THEN* *converse-rtranclp-induct*])

prefer 2 apply *iprover*

prefer 2 apply *iprover*

apply (*erule* *asm-rl* *exE* *disjE* *conjE* *cases*) +

done

lemmas *converse-rtranclE* = *converse-rtranclpE* [*to-set*]

lemmas *converse-rtranclpE2* = *converse-rtranclpE* [*of* - (*xa,xb*) (*za,zb*), *split-rule*]

lemmas *converse-rtranclE2* = *converse-rtranclE* [*of* (*xa,xb*) (*za,zb*), *split-rule*]

lemma *r-comp-rtrancl-eq*: $r \circ r^* = r^* \circ r$

by (*blast elim: rtranclE converse-rtranclE*
intro: rtrancl-into-rtrancl converse-rtrancl-into-rtrancl)

lemma *rtrancl-unfold*: $r^* = Id \cup r^* \circ r$

by (*auto intro: rtrancl-into-rtrancl elim: rtranclE*)

lemma *rtrancl-Un-separatorE*:

(*a,b*) : $(P \cup Q)^* \implies \forall x y. (a,x) : P^* \longrightarrow (x,y) : Q \longrightarrow x=y \implies (a,b) : P^*$
apply (*induct rule:rtrancl.induct*)
apply *blast*
apply (*blast intro:rtrancl-trans*)
done

lemma *rtrancl-Un-separator-converseE*:

(*a,b*) : $(P \cup Q)^* \implies \forall x y. (x,b) : P^* \longrightarrow (y,x) : Q \longrightarrow y=x \implies (a,b) : P^*$
apply (*induct rule:converse-rtrancl-induct*)
apply *blast*
apply (*blast intro:rtrancl-trans*)
done

lemma *Image-closed-trancl*:

assumes $r \text{ “ } X \subseteq X \text{ shows } r^* \text{ “ } X = X$
proof –
from *assms* **have** **: $\{y. \exists x \in X. (x, y) \in r\} \subseteq X$ **by** *auto*
have $\bigwedge x y. (y, x) \in r^* \implies y \in X \implies x \in X$
proof –
fix *x y*
assume *: $y \in X$
assume $(y, x) \in r^*$
then show $x \in X$
proof *induct*
case *base* **show** ?*case* **by** (*fact* *)
next
case *step* **with** ** **show** ?*case* **by** *auto*
qed
qed
then show ?*thesis* **by** *auto*
qed

23.3 Transitive closure

lemma *trancl-mono*: $!!p. p \in r^+ \implies r \subseteq s \implies p \in s^+$

apply (*simp add: split-tupled-all*)

```

apply (erule trancl.induct)
apply (iprover dest: subsetD)+
done

```

```

lemma r-into-trancl': !!p. p : r ==> p : r^+
by (simp only: split-tupled-all) (erule r-into-trancl)

```

Conversions between *trancl* and *rtrancl*.

```

lemma tranclp-into-rtranclp: r^++ a b ==> r^** a b
by (erule tranclp.induct) iprover+

```

```

lemmas trancl-into-rtrancl = tranclp-into-rtranclp [to-set]

```

```

lemma rtranclp-into-tranclp1: assumes r: r^** a b
shows !!c. r b c ==> r^++ a c using r
by induct iprover+

```

```

lemmas rtrancl-into-trancl1 = rtranclp-into-tranclp1 [to-set]

```

```

lemma rtranclp-into-tranclp2: [| r a b; r^** b c |] ==> r^++ a c
  — intro rule from r and rtrancl
apply (erule rtranclp.cases)
apply iprover
apply (rule rtranclp-trans [THEN rtranclp-into-tranclp1])
apply (simp | rule r-into-rtranclp)+
done

```

```

lemmas rtrancl-into-trancl2 = rtranclp-into-tranclp2 [to-set]

```

Nice induction rule for *trancl*

```

lemma tranclp-induct [consumes 1, case-names base step, induct pred: tranclp]:
assumes a: r^++ a b
and cases: !!y. r a y ==> P y
  !!y z. r^++ a y ==> r y z ==> P y ==> P z
shows P b using a
by (induct x≡a b) (iprover intro: cases)+

```

```

lemmas trancl-induct [induct set: trancl] = tranclp-induct [to-set]

```

```

lemmas tranclp-induct2 =
  tranclp-induct [of - (ax,ay) (bx,by), split-rule,
    consumes 1, case-names base step]

```

```

lemmas trancl-induct2 =
  trancl-induct [of (ax,ay) (bx,by), split-format (complete),
    consumes 1, case-names base step]

```

```

lemma tranclp-trans-induct:
assumes major: r^++ x y

```

and cases: $!!x\ y.\ r\ x\ y \implies P\ x\ y$
 $!!x\ y\ z.\ [| \ r^++\ x\ y;\ P\ x\ y;\ r^++\ y\ z;\ P\ y\ z\ |] \implies P\ x\ z$
shows $P\ x\ y$
 — Another induction rule for *tranc1*, incorporating transitivity
by (*iprover intro: major [THEN tranc1p-induct] cases*)

lemmas *tranc1-trans-induct* = *tranc1p-trans-induct* [*to-set*]

lemma *tranc1E* [*cases set: tranc1*]:
assumes $(a, b) : r^+$
obtains
 $(base)\ (a, b) : r$
 $| \ (step)\ c\ \mathbf{where}\ (a, c) : r^+\ \mathbf{and}\ (c, b) : r$
using *assms by cases simp-all*

lemma *tranc1-Int-subset*: $[| \ r \subseteq s;\ (r^+ \cap s) \ O\ r \subseteq s\ |] \implies r^+ \subseteq s$
apply (*rule subsetI*)
apply (*rule-tac p = x in PairE*)
apply *clarify*
apply (*erule tranc1-induct*)
apply *auto*
done

lemma *tranc1-unfold*: $r^+ = r \ Un\ r^+ \ O\ r$
by (*auto intro: tranc1-into-tranc1 elim: tranc1E*)

Transitivity of r^+

lemma *trans-tranc1* [*simp*]: *trans* (r^+)
proof (*rule transI*)
fix $x\ y\ z$
assume $(x, y) \in r^+$
assume $(y, z) \in r^+$
then show $(x, z) \in r^+$
proof *induct*
case (*base u*)
from $\langle (x, y) \in r^+ \rangle$ **and** $\langle (y, u) \in r \rangle$
show $(x, u) \in r^+ \ ..$
next
case (*step u v*)
from $\langle (x, u) \in r^+ \rangle$ **and** $\langle (u, v) \in r \rangle$
show $(x, v) \in r^+ \ ..$
qed
qed

lemmas *tranc1-trans* = *trans-tranc1* [*THEN transD, standard*]

lemma *tranc1p-trans*:
assumes $xy: r^++\ x\ y$
and $yz: r^++\ y\ z$

```

shows  $r^{++} x z$  using  $yz xy$ 
by induct iprover+

lemma trancl-id [simp]:  $\text{trans } r \implies r^+ = r$ 
apply auto
apply (erule trancl-induct)
apply assumption
apply (unfold trans-def)
apply blast
done

lemma rtranclp-tranclp-tranclp:
assumes  $r^{**} x y$ 
shows  $!!z. r^{++} y z \implies r^{++} x z$  using assms
by induct (iprover intro: tranclp-trans)+

lemmas rtrancl-trancl-trancl = rtranclp-tranclp-tranclp [to-set]

lemma tranclp-into-tranclp2:  $r a b \implies r^{++} b c \implies r^{++} a c$ 
by (erule tranclp-trans [OF tranclp.r-into-trancl])

lemmas trancl-into-trancl2 = tranclp-into-tranclp2 [to-set]

lemma trancl-insert:
 $(\text{insert } (y, x) r)^+ = r^+ \cup \{(a, b). (a, y) \in r^* \wedge (x, b) \in r^*\}$ 
— primitive recursion for trancl over finite relations
apply (rule equalityI)
apply (rule subsetI)
apply (simp only: split-tupled-all)
apply (erule trancl-induct, blast)
apply (blast intro: rtrancl-into-trancl1 trancl-into-rtrancl trancl-trans)
apply (rule subsetI)
apply (blast intro: trancl-mono rtrancl-mono
  [THEN [2] rev-subsetD] rtrancl-trancl-trancl rtrancl-into-trancl2)
done

lemma tranclp-converseI:  $(r^{++})^{--1} x y \implies (r^{--1})^{++} x y$ 
apply (drule conversepD)
apply (erule tranclp-induct)
apply (iprover intro: conversepI tranclp-trans)
done

lemmas trancl-converseI = tranclp-converseI [to-set]

lemma tranclp-converseD:  $(r^{--1})^{++} x y \implies (r^{++})^{--1} x y$ 
apply (rule conversepI)
apply (erule tranclp-induct)
apply (iprover dest: conversepD intro: tranclp-trans)
done

```

lemmas *trancl-converseD* = *tranclp-converseD* [to-set]

lemma *tranclp-converse*: $(r^{--1})^{++} = (r^{++})^{--1}$
by (*fastsimp simp add: expand-fun-eq*
intro!: tranclp-converseI dest!: tranclp-converseD)

lemmas *trancl-converse* = *tranclp-converse* [to-set]

lemma *sym-trancl*: $\text{sym } r \implies \text{sym } (r^+)$
by (*simp only: sym-conv-converse-eq trancl-converse [symmetric]*)

lemma *converse-trancl-induct* [consumes 1, case-names base step]:
assumes *major*: $r^{++} a b$
and cases: $\forall y. r y b \implies P(y)$
 $\forall y z. [r y z; r^{++} z b; P(z)] \implies P(y)$
shows $P a$
apply (*rule tranclp-induct [OF tranclp-converseI, OF conversepI, OF major]*)
apply (*rule cases*)
apply (*erule conversepD*)
apply (*blast intro: assms dest!: tranclp-converseD*)
done

lemmas *converse-trancl-induct* = *converse-tranclp-induct* [to-set]

lemma *tranclpD*: $R^{++} x y \implies \exists z. R x z \wedge R^{**} z y$
apply (*erule converse-tranclp-induct*)
apply *auto*
apply (*blast intro: rtranclp-trans*)
done

lemmas *tranclD* = *tranclpD* [to-set]

lemma *converse-tranclpE*:
assumes *major*: *tranclp* $r x z$
assumes *base*: $r x z \implies P$
assumes *step*: $\bigwedge y. [r x y; \text{tranclp } r y z] \implies P$
shows P
proof –
from *tranclpD* [OF *major*]
obtain y **where** $r x y$ **and** *rtranclp* $r y z$ **by** *iprover*
from *this*(2) **show** P
proof (*cases rule: rtranclp.cases*)
case *rtrancl-refl*
with $\langle r x y \rangle$ *base* **show** P **by** *iprover*
next
case *rtrancl-into-rtrancl*
from *this* **have** *tranclp* $r y z$
by (*iprover intro: rtranclp-into-tranclp1*)


```

  with ⟨r x y⟩ step show P by iprover
qed
qed

lemmas converse-tranclE = converse-tranclpE [to-set]

lemma tranclD2:
  (x, y) ∈ R+ ⇒ ∃ z. (x, z) ∈ R* ∧ (z, y) ∈ R
  by (blast elim: tranclE intro: trancl-into-rtrancl)

lemma irrefl-tranclI: r+-1 ∩ r* = {} ==> (x, x) ∉ r+
  by (blast elim: tranclE dest: trancl-into-rtrancl)

lemma irrefl-trancl-rD: !!X. ALL x. (x, x) ∉ r+ ==> (x, y) ∈ r ==> x ≠ y
  by (blast dest: r-into-trancl)

lemma trancl-subset-Sigma-aux:
  (a, b) ∈ r* ==> r ⊆ A × A ==> a = b ∨ a ∈ A
  by (induct rule: rtrancl-induct) auto

lemma trancl-subset-Sigma: r ⊆ A × A ==> r+ ⊆ A × A
  apply (rule subsetI)
  apply (simp only: split-tupled-all)
  apply (erule tranclE)
  apply (blast dest!: trancl-into-rtrancl trancl-subset-Sigma-aux)+
done

lemma reflcl-tranclp [simp]: (r++)+ = r**
  apply (safe intro!: order-antisym)
  apply (erule tranclp-into-rtranclp)
  apply (blast elim: rtranclp.cases dest: rtranclp-into-tranclp1)
done

lemmas reflcl-trancl [simp] = reflcl-tranclp [to-set]

lemma trancl-reflcl [simp]: (r=)+ = r*
  apply safe
  apply (drule trancl-into-rtrancl, simp)
  apply (erule rtranclE, safe)
  apply (rule r-into-trancl, simp)
  apply (rule rtrancl-into-trancl1)
  apply (erule rtrancl-reflcl [THEN equalityD2, THEN subsetD], fast)
done

lemma trancl-empty [simp]: {}+ = {}
  by (auto elim: trancl-induct)

lemma rtrancl-empty [simp]: {}* = Id
  by (rule subst [OF reflcl-trancl]) simp

```

lemma *rtranclpD*: $R^* a b \implies a = b \vee a \neq b \wedge R^{++} a b$
by (*force simp add: reflcl-tranclp [symmetric] simp del: reflcl-tranclp*)

lemmas *rtranclD* = *rtranclpD* [*to-set*]

lemma *rtrancl-eq-or-trancl*:
 $(x, y) \in R^* = (x = y \vee x \neq y \wedge (x, y) \in R^+)$
by (*fast elim: trancl-into-rtrancl dest: rtranclD*)

lemma *trancl-unfold-right*: $r^+ = r^* \circ r$
by (*auto dest: tranclD2 intro: rtrancl-into-trancl1*)

lemma *trancl-unfold-left*: $r^+ = r \circ r^*$
by (*auto dest: tranclD intro: rtrancl-into-trancl2*)

Simplifying nested closures

lemma *rtrancl-trancl-absorb[simp]*: $(R^*)^+ = R^*$
by (*simp add: trans-rtrancl*)

lemma *trancl-rtrancl-absorb[simp]*: $(R^+)^* = R^*$
by (*subst reflcl-trancl[symmetric] simp*)

lemma *rtrancl-reflcl-absorb[simp]*: $(R^*)^+ = R^*$
by *auto*

Domain and Range

lemma *Domain-rtrancl [simp]*: $\text{Domain } (R^*) = \text{UNIV}$
by *blast*

lemma *Range-rtrancl [simp]*: $\text{Range } (R^*) = \text{UNIV}$
by *blast*

lemma *rtrancl-Un-subset*: $(R^* \cup S^*) \subseteq (R \cup S)^*$
by (*rule rtrancl-Un-rtrancl [THEN subst] fast*)

lemma *in-rtrancl-UnI*: $x \in R^* \vee x \in S^* \implies x \in (R \cup S)^*$
by (*blast intro: subsetD [OF rtrancl-Un-subset]*)

lemma *trancl-domain [simp]*: $\text{Domain } (r^+) = \text{Domain } r$
by (*unfold Domain-def (blast dest: tranclD)*)

lemma *trancl-range [simp]*: $\text{Range } (r^+) = \text{Range } r$
unfolding *Range-def* **by** (*simp add: trancl-converse [symmetric]*)

lemma *Not-Domain-rtrancl*:
 $x \sim: \text{Domain } R \implies ((x, y) : R^*) = (x = y)$
apply *auto*
apply (*erule rev-mp*)

```

apply (erule rtrancl-induct)
apply auto
done

```

```

lemma trancl-subset-Field2:  $r^+ \leq \text{Field } r \times \text{Field } r$ 
apply clarify
apply (erule trancl-induct)
apply (auto simp add: Field-def)
done

```

```

lemma finite-trancl:  $\text{finite } (r^+) = \text{finite } r$ 
apply auto
prefer 2
apply (rule trancl-subset-Field2 [THEN finite-subset])
apply (rule finite-SigmaI)
prefer 3
apply (blast intro: r-into-trancl' finite-subset)
apply (auto simp add: finite-Field)
done

```

More about converse *rtrancl* and *trancl*, should be merged with main body.

```

lemma single-valued-confluent:
  [| single-valued  $r$ ;  $(x,y) \in r^*$ ;  $(x,z) \in r^*$  |]
   $\implies (y,z) \in r^* \vee (z,y) \in r^*$ 
apply (erule rtrancl-induct)
apply simp
apply (erule disjE)
apply (blast elim: converse-rtranclE dest: single-valuedD)
apply (blast intro: rtrancl-trans)
done

```

```

lemma r-r-into-trancl:  $(a, b) \in R \implies (b, c) \in R \implies (a, c) \in R^+$ 
by (fast intro: trancl-trans)

```

```

lemma trancl-into-trancl [rule-format]:
   $(a, b) \in r^+ \implies (b, c) \in r \longrightarrow (a, c) \in r^+$ 
apply (erule trancl-induct)
apply (fast intro: r-r-into-trancl)
apply (fast intro: r-r-into-trancl trancl-trans)
done

```

```

lemma tranclp-rtranclp-tranclp:
   $r^{++} a b \implies r^{**} b c \implies r^{++} a c$ 
apply (drule tranclpD)
apply (elim exE conjE)
apply (drule rtranclp-trans, assumption)
apply (drule rtranclp-into-tranclp2, assumption, assumption)
done

```

lemmas *trancl-rtrancl-trancl* = *tranclp-rtranclp-tranclp* [*to-set*]

lemmas *transitive-closure-trans* [*trans*] =
r-r-into-trancl trancl-trans rtrancl-trans
trancl.trancl-into-trancl trancl-into-trancl2
rtrancl.rtrancl-into-rtrancl converse-rtrancl-into-rtrancl
rtrancl-trancl-trancl trancl-rtrancl-trancl

lemmas *transitive-closurep-trans'* [*trans*] =
tranclp-trans rtranclp-trans
tranclp.trancl-into-trancl tranclp-into-tranclp2
rtranclp.rtrancl-into-rtrancl converse-rtranclp-into-rtranclp
rtranclp-tranclp-tranclp tranclp-rtranclp-tranclp

declare *trancl-into-rtrancl* [*elim*]

23.4 The power operation on relations

$R \hat{\ }^n = R \circ \dots \circ R$, the n -fold composition of R

overloading

relpow == *compow* :: $\text{nat} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$
begin

primrec *relpow* :: $\text{nat} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$ **where**
relpow 0 $R = \text{Id}$
| *relpow* (*Suc* n) $R = (R \hat{\ }^n) \circ R$

end

lemma *rel-pow-1* [*simp*]:
fixes $R :: ('a \times 'a) \text{ set}$
shows $R \hat{\ }^1 = R$
by *simp*

lemma *rel-pow-0-I*:
 $(x, x) \in R \hat{\ }^0$
by *simp*

lemma *rel-pow-Suc-I*:
 $(x, y) \in R \hat{\ }^n \implies (y, z) \in R \implies (x, z) \in R \hat{\ }^{Suc\ n}$
by *auto*

lemma *rel-pow-Suc-I2*:
 $(x, y) \in R \implies (y, z) \in R \hat{\ }^n \implies (x, z) \in R \hat{\ }^{Suc\ n}$
by (*induct* n *arbitrary*: z) (*simp*, *fastsimp*)

lemma *rel-pow-0-E*:
 $(x, y) \in R \hat{\ }^0 \implies (x = y \implies P) \implies P$
by *simp*

lemma *rel-pow-Suc-E*:

$(x, z) \in R^{\wedge\wedge} \text{Suc } n \implies (\bigwedge y. (x, y) \in R^{\wedge\wedge} n \implies (y, z) \in R \implies P) \implies P$
by *auto*

lemma *rel-pow-E*:

$(x, z) \in R^{\wedge\wedge} n \implies (n = 0 \implies x = z \implies P)$
 $\implies (\bigwedge y m. n = \text{Suc } m \implies (x, y) \in R^{\wedge\wedge} m \implies (y, z) \in R \implies P)$
 $\implies P$
by (*cases n*) *auto*

lemma *rel-pow-Suc-D2*:

$(x, z) \in R^{\wedge\wedge} \text{Suc } n \implies (\exists y. (x, y) \in R \wedge (y, z) \in R^{\wedge\wedge} n)$
apply (*induct n arbitrary: x z*)
apply (*blast intro: rel-pow-0-I elim: rel-pow-0-E rel-pow-Suc-E*)
apply (*blast intro: rel-pow-Suc-I elim: rel-pow-0-E rel-pow-Suc-E*)
done

lemma *rel-pow-Suc-E2*:

$(x, z) \in R^{\wedge\wedge} \text{Suc } n \implies (\bigwedge y. (x, y) \in R \implies (y, z) \in R^{\wedge\wedge} n \implies P) \implies P$
by (*blast dest: rel-pow-Suc-D2*)

lemma *rel-pow-Suc-D2'*:

$\forall x y z. (x, y) \in R^{\wedge\wedge} n \wedge (y, z) \in R \longrightarrow (\exists w. (x, w) \in R \wedge (w, z) \in R^{\wedge\wedge} n)$
by (*induct n*) (*simp-all, blast*)

lemma *rel-pow-E2*:

$(x, z) \in R^{\wedge\wedge} n \implies (n = 0 \implies x = z \implies P)$
 $\implies (\bigwedge y m. n = \text{Suc } m \implies (x, y) \in R \implies (y, z) \in R^{\wedge\wedge} m \implies P)$
 $\implies P$
apply (*cases n, simp*)
apply (*cut-tac n=nat and R=R in rel-pow-Suc-D2', simp, blast*)
done

lemma *rel-pow-add*: $R^{\wedge\wedge} (m+n) = R^{\wedge\wedge} m \circ R^{\wedge\wedge} n$

by(*induct n*) *auto*

lemma *rel-pow-commute*: $R \circ R^{\wedge\wedge} n = R^{\wedge\wedge} n \circ R$

by (*induct n*) (*simp, simp add: O-assoc [symmetric]*)

lemma *rtrancl-imp-UN-rel-pow*:

assumes $p \in R^{\wedge*}$
shows $p \in (\bigcup n. R^{\wedge\wedge} n)$
proof (*cases p*)
case (*Pair x y*)
with *assms have* $(x, y) \in R^{\wedge*}$ **by** *simp*
then have $(x, y) \in (\bigcup n. R^{\wedge\wedge} n)$ **proof** *induct*
case *base show* ?*case* **by** (*blast intro: rel-pow-0-I*)
next

```

    case step then show ?case by (blast intro: rel-pow-Suc-I)
  qed
  with Pair show ?thesis by simp
qed

```

```

lemma rel-pow-imp-rtranc1:
  assumes  $p \in R^{\wedge n}$ 
  shows  $p \in R^*$ 
proof (cases p)
  case (Pair x y)
  with assms have  $(x, y) \in R^{\wedge n}$  by simp
  then have  $(x, y) \in R^*$  proof (induct n arbitrary: x y)
    case 0 then show ?case by simp
  next
    case Suc then show ?case
      by (blast elim: rel-pow-Suc-E intro: rtranc1-into-rtranc1)
  qed
  with Pair show ?thesis by simp
qed

```

```

lemma rtranc1-is-UN-rel-pow:
   $R^* = (\bigcup n. R^{\wedge n})$ 
  by (blast intro: rtranc1-imp-UN-rel-pow rel-pow-imp-rtranc1)

```

```

lemma rtranc1-power:
   $p \in R^* \longleftrightarrow (\exists n. p \in R^{\wedge n})$ 
  by (simp add: rtranc1-is-UN-rel-pow)

```

```

lemma tranc1-power:
   $p \in R^+ \longleftrightarrow (\exists n > 0. p \in R^{\wedge n})$ 
  apply (cases p)
  apply simp
  apply (rule iffI)
  apply (drule tranc1D2)
  apply (clarsimp simp: rtranc1-is-UN-rel-pow)
  apply (rule-tac x=Suc n in exI)
  apply (clarsimp simp: rel-comp-def)
  apply fastsimp
  apply clarsimp
  apply (case-tac n, simp)
  apply clarsimp
  apply (drule rel-pow-imp-rtranc1)
  apply (drule rtranc1-into-tranc1) apply auto
done

```

```

lemma rtranc1-imp-rel-pow:
   $p \in R^* \implies \exists n. p \in R^{\wedge n}$ 
  by (auto dest: rtranc1-imp-UN-rel-pow)

```

```

lemma single-valued-rel-pow:
  fixes  $R :: ('a * 'a) \text{ set}$ 
  shows  $\text{single-valued } R \implies \text{single-valued } (R \wedge^n)$ 
  apply (induct n arbitrary: R)
  apply simp-all
  apply (rule single-valuedI)
  apply (fast dest: single-valuedD elim: rel-pow-Suc-E)
  done

```

23.5 Setup of transitivity reasoner

ML \ll

```

structure Trancl-Tac = Trancl-Tac
(
  val r-into-trancl = @{thm trancl.r-into-trancl};
  val trancl-trans = @{thm trancl-trans};
  val rtrancl-refl = @{thm rtrancl.rtrancl-refl};
  val r-into-rtrancl = @{thm r-into-rtrancl};
  val trancl-into-rtrancl = @{thm trancl-into-rtrancl};
  val rtrancl-trancl-trancl = @{thm rtrancl-trancl-trancl};
  val trancl-rtrancl-trancl = @{thm trancl-rtrancl-trancl};
  val rtrancl-trans = @{thm rtrancl-trans};

  fun decomp (@{const Trueprop} $ t) =
    let fun dec (Const (op :, -) $ (Const (Pair, -) $ a $ b) $ rel) =
        let fun decr (Const (Transitive-Closure.rtrancl, -) $ r) = (r, r*)
            | decr (Const (Transitive-Closure.trancl, -) $ r) = (r, r+)
            | decr r = (r, r);
        val (rel, r) = decr (Envir.beta-eta-contract rel);
        in SOME (a, b, rel, r) end
      | dec - = NONE
    in dec t end
  | decomp - = NONE;
);

```

```

structure Tranclp-Tac = Trancl-Tac
(
  val r-into-trancl = @{thm tranclp.r-into-trancl};
  val trancl-trans = @{thm tranclp-trans};
  val rtrancl-refl = @{thm rtranclp.rtrancl-refl};
  val r-into-rtrancl = @{thm r-into-rtranclp};
  val trancl-into-rtrancl = @{thm tranclp-into-rtranclp};
  val rtrancl-trancl-trancl = @{thm rtranclp-tranclp-tranclp};
  val trancl-rtrancl-trancl = @{thm tranclp-rtranclp-tranclp};
  val rtrancl-trans = @{thm rtranclp-trans};

```

```

  fun decomp (@{const Trueprop} $ t) =
    let fun dec (rel $ a $ b) =

```

```

    let fun decr (Const (Transitive-Closure.rtranclp, -) $ r) = (r,r*)
      | decr (Const (Transitive-Closure.tranclp, -) $ r) = (r,r+)
      | decr r = (r,r);
    val (rel,r) = decr rel;
    in SOME (a, b, rel, r) end
  | dec - = NONE
in dec t end
| decomp - = NONE;
);
>>

declaration << fn - =>
  Simplifier.map-ss (fn ss => ss
    addSolver (mk-solver' Trancl (Trancl-Tac.trancl-tac o Simplifier.the-context))
    addSolver (mk-solver' Rtrancl (Trancl-Tac.rtrancl-tac o Simplifier.the-context))
    addSolver (mk-solver' Tranclp (Tranclp-Tac.trancl-tac o Simplifier.the-context))
    addSolver (mk-solver' Rtranclp (Tranclp-Tac.rtrancl-tac o Simplifier.the-context)))
  >>

```

Optional methods.

```

method-setup trancl =
  << Scan.succeed (SIMPLE-METHOD' o Trancl-Tac.trancl-tac) >>
  << simple transitivity reasoner >>
method-setup rtrancl =
  << Scan.succeed (SIMPLE-METHOD' o Trancl-Tac.rtrancl-tac) >>
  << simple transitivity reasoner >>
method-setup tranclp =
  << Scan.succeed (SIMPLE-METHOD' o Tranclp-Tac.trancl-tac) >>
  << simple transitivity reasoner (predicate version) >>
method-setup rtranclp =
  << Scan.succeed (SIMPLE-METHOD' o Tranclp-Tac.rtrancl-tac) >>
  << simple transitivity reasoner (predicate version) >>

end

```

24 Wellfounded: Well-founded Recursion

```

theory Wellfounded
imports Transitive-Closure
uses (Tools/Function/size.ML)
begin

```

24.1 Basic Definitions

```

definition wf :: ('a * 'a) set => bool where
  wf(r) == (!P. (!x. (!y. (y,x):r --> P(y)) --> P(x)) --> (!x. P(x)))

```

```

definition wfP :: ('a => 'a => bool) => bool where

```


$wfP\ r == wf\ \{(x, y). r\ x\ y\}$

lemma *wfP-wf-eq* [*pred-set-conv*]: $wfP\ (\lambda x\ y. (x, y) \in r) = wf\ r$
by (*simp add: wfP-def*)

lemma *wfUNIVI*:

$(!!P\ x. (ALL\ y. (y, x) : r \dashrightarrow P(y)) \dashrightarrow P(x)) ==> P(x) ==>$
 $wf(r)$
unfolding *wf-def* **by** *blast*

lemmas *wfPUNIVI* = *wfUNIVI* [*to-pred*]

Restriction to domain A and range B . If r is well-founded over their intersection, then $wf\ r$

lemma *wfI*:

$[| r \subseteq A <*> B;$
 $!!x\ P. [| \forall y. (y, x) : r \dashrightarrow P(y) \dashrightarrow P(x); x : A; x : B |] ==> P\ x |]$
 $==> wf\ r$
unfolding *wf-def* **by** *blast*

lemma *wf-induct*:

$[| wf(r);$
 $!!x. [| ALL\ y. (y, x) : r \dashrightarrow P(y) |] ==> P(x)$
 $|] ==> P(a)$
unfolding *wf-def* **by** *blast*

lemmas *wfP-induct* = *wf-induct* [*to-pred*]

lemmas *wf-induct-rule* = *wf-induct* [*rule-format*, *consumes 1*, *case-names less*,
induct set: wf]

lemmas *wfP-induct-rule* = *wf-induct-rule* [*to-pred*, *induct set: wfP*]

lemma *wf-not-sym*: $wf\ r ==> (a, x) : r ==> (x, a) \sim: r$
by (*induct a arbitrary: x set: wf*) *blast*

lemma *wf-asym*:

assumes $wf\ r\ (a, x) \in r$
obtains $(x, a) \notin r$
by (*drule wf-not-sym[OF assms]*)

lemma *wf-not-refl* [*simp*]: $wf\ r ==> (a, a) \sim: r$
by (*blast elim: wf-asym*)

lemma *wf-irrefl*: **assumes** $wf\ r$ **obtains** $(a, a) \notin r$
by (*drule wf-not-refl[OF assms]*)

lemma *wf-wellorderI*:

assumes $wf: wf\ \{(x::'a::ord, y). x < y\}$

```

assumes lin: OFCLASS('a::ord, linorder-class)
shows OFCLASS('a::ord, wellorder-class)
using lin by (rule wellorder-class.intro)
      (blast intro: class.wellorder-axioms.intro wf-induct-rule [OF wf])

```

```

lemma (in wellorder) wf:
  wf {(x, y). x < y}
unfolding wf-def by (blast intro: less-induct)

```

24.2 Basic Results

Point-free characterization of well-foundedness

```

lemma wfE-pf:
  assumes wf: wf R
  assumes a:  $A \subseteq R$  “ A
  shows  $A = \{\}$ 
proof –
  { fix x
    from wf have  $x \notin A$ 
    proof induct
      fix x assume  $\bigwedge y. (y, x) \in R \implies y \notin A$ 
      then have  $x \notin R$  “ A by blast
      with a show  $x \notin A$  by blast
    qed
  } thus ?thesis by auto
qed

```

```

lemma wfI-pf:
  assumes a:  $\bigwedge A. A \subseteq R$  “  $A \implies A = \{\}$ 
  shows wf R
proof (rule wfUNIVI)
  fix P :: 'a  $\Rightarrow$  bool and x
  let ?A = {x.  $\neg P$  x}
  assume  $\forall x. (\forall y. (y, x) \in R \longrightarrow P$  y)  $\longrightarrow P$  x
  then have ?A  $\subseteq R$  “ ?A by blast
  with a show  $P$  x by blast
qed

```

Minimal-element characterization of well-foundedness

```

lemma wfE-min:
  assumes wf: wf R and Q:  $x \in Q$ 
  obtains z where  $z \in Q \bigwedge y. (y, z) \in R \implies y \notin Q$ 
  using Q wfE-pf[OF wf, of Q] by blast

lemma wfI-min:
  assumes a:  $\bigwedge x Q. x \in Q \implies \exists z \in Q. \forall y. (y, z) \in R \longrightarrow y \notin Q$ 
  shows wf R
proof (rule wfI-pf)
  fix A assume b:  $A \subseteq R$  “ A

```

```

{ fix x assume x ∈ A
  from a[OF this] b have False by blast
}
thus A = {} by blast
qed

```

```

lemma wf-eq-minimal: wf r = (∀ Q x. x ∈ Q --> (∃ z ∈ Q. ∀ y. (y, z) ∈ r -->
y ∉ Q))
apply auto
apply (erule wfE-min, assumption, blast)
apply (rule wfI-min, auto)
done

```

```

lemmas wfP-eq-minimal = wf-eq-minimal [to-pred]

```

Well-foundedness of transitive closure

```

lemma wf-trancl:
  assumes wf r
  shows wf (r^+)
proof -
  {
    fix P and x
    assume induct-step: !!x. (!!y. (y, x) : r^+ ==> P y) ==> P x
    have P x
    proof (rule induct-step)
      fix y assume (y, x) : r^+
      with ⟨wf r⟩ show P y
    proof (induct x arbitrary: y)
      case (less x)
      note hyp = ⟨∧ x' y'. (x', x) : r ==> (y', x') : r^+ ==> P y'⟩
      from ⟨(y, x) : r^+⟩ show P y
    proof cases
      case base
      show P y
    proof (rule induct-step)
      fix y' assume (y', y) : r^+
      with ⟨(y, x) : r⟩ show P y' by (rule hyp [of y y'])
    qed
  }
next
  case step
  then obtain x' where (x', x) : r and (y, x') : r^+ by simp
  then show P y by (rule hyp [of x' y])
qed
qed
qed
} then show ?thesis unfolding wf-def by blast
qed

```

```

lemmas wfP-trancl = wf-trancl [to-pred]

```

```

lemma wf-converse-trancl: wf (r-1) ==> wf ((r+)-1)
  apply (subst trancl-converse [symmetric])
  apply (erule wf-trancl)
  done

```

Well-foundedness of subsets

```

lemma wf-subset: [| wf(r); p<=r |] ==> wf(p)
  apply (simp (no-asm-use) add: wf-eq-minimal)
  apply fast
  done

```

```

lemmas wfP-subset = wf-subset [to-pred]

```

Well-foundedness of the empty relation

```

lemma wf-empty [iff]: wf {}
  by (simp add: wf-def)

```

```

lemma wfP-empty [iff]:
  wfP (λx y. False)
proof -
  have wfP bot by (fact wf-empty [to-pred bot-empty-eq2])
  then show ?thesis by (simp add: bot-fun-eq bot-bool-eq)
qed

```

```

lemma wf-Int1: wf r ==> wf (r Int r')
  apply (erule wf-subset)
  apply (rule Int-lower1)
  done

```

```

lemma wf-Int2: wf r ==> wf (r' Int r)
  apply (erule wf-subset)
  apply (rule Int-lower2)
  done

```

Exponentiation

```

lemma wf-exp:
  assumes wf (R^^ n)
  shows wf R
proof (rule wfI-pf)
  fix A assume A ⊆ R “ A
  then have A ⊆ (R^^ n) “ A by (induct n) force+
  with ⟨wf (R^^ n)⟩
  show A = {} by (rule wfE-pf)
qed

```

Well-foundedness of insert

```

lemma wf-insert [iff]: wf(insert (y,x) r) = (wf(r) & (x,y) ~: r*)

```

```

apply (rule iffI)
  apply (blast elim: wf-trancl [THEN wf-irrefl]
    intro: rtrancl-into-trancl1 wf-subset
      rtrancl-mono [THEN [2] rev-subsetD])
apply (simp add: wf-eq-minimal, safe)
apply (rule allE, assumption, erule impE, blast)
apply (erule bexE)
apply (rename-tac a, case-tac a = x)
  prefer 2
apply blast
apply (case-tac y:Q)
  prefer 2 apply blast
apply (rule-tac x = {z. z:Q & (z,y) : r^*} in allE)
  apply assumption
apply (erule-tac V = ALL Q. (EX x. x : Q) --> ?P Q in thin-rl)
  — essential for speed

```

Blast with new substOccur fails

```

apply (fast intro: converse-rtrancl-into-rtrancl)
done

```

Well-foundedness of image

```

lemma wf-prod-fun-image: [| wf r; inj f |] ==> wf (prod-fun f f ' r)
apply (simp only: wf-eq-minimal, clarify)
apply (case-tac EX p. f p : Q)
apply (erule-tac x = {p. f p : Q} in allE)
apply (fast dest: inj-onD, blast)
done

```

24.3 Well-Foundedness Results for Unions

```

lemma wf-union-compatible:
  assumes wf R wf S
  assumes R O S ⊆ R
  shows wf (R ∪ S)
proof (rule wfI-min)
  fix x :: 'a and Q
  let ?Q' = {x ∈ Q. ∀ y. (y, x) ∈ R ⟶ y ∉ Q}
  assume x ∈ Q
  obtain a where a ∈ ?Q'
    by (rule wfE-min [OF ⟨wf R⟩ ⟨x ∈ Q⟩]) blast
  with ⟨wf S⟩
  obtain z where z ∈ ?Q' and zmin: ∧ y. (y, z) ∈ S ⟹ y ∉ ?Q' by (erule
wfE-min)
  {
    fix y assume (y, z) ∈ S
    then have y ∉ ?Q' by (rule zmin)

    have y ∉ Q
  }

```

```

proof
  assume  $y \in Q$ 
  with  $\langle y \notin ?Q' \rangle$ 
  obtain  $w$  where  $(w, y) \in R$  and  $w \in Q$  by auto
  from  $\langle (w, y) \in R \rangle \langle (y, z) \in S \rangle$  have  $(w, z) \in R \circ S$  by (rule rel-compI)
  with  $\langle R \circ S \subseteq R \rangle$  have  $(w, z) \in R$  ..
  with  $\langle z \in ?Q' \rangle$  have  $w \notin Q$  by blast
  with  $\langle w \in Q \rangle$  show False by contradiction
qed
}
with  $\langle z \in ?Q' \rangle$  show  $\exists z \in Q. \forall y. (y, z) \in R \cup S \longrightarrow y \notin Q$  by blast
qed

```

Well-foundedness of indexed union with disjoint domains and ranges

```

lemma wf-UN: [|  $\text{ALL } i:I. \text{wf}(r\ i);$   

    $\text{ALL } i:I. \text{ALL } j:I. r\ i \sim = r\ j \longrightarrow \text{Domain}(r\ i) \cap \text{Range}(r\ j) = \{\}$   

  |]  $\implies \text{wf}(\text{UN } i:I. r\ i)$ 
apply (simp only: wf-eq-minimal, clarify)
apply (rename-tac A a, case-tac EX i:I. EX a:A. EX b:A. (b,a) : r i)
prefer 2
apply force
apply clarify
apply (drule bspec, assumption)
apply (erule-tac x={a. a:A & (EX b:A. (b,a) : r i) } in allE)
apply (blast elim!: allE)
done

```

```

lemma wfP-SUP:
   $\forall i. \text{wfP}(r\ i) \implies \forall i\ j. r\ i \neq r\ j \longrightarrow \inf(\text{DomainP}(r\ i))(\text{RangeP}(r\ j)) = \text{bot}$ 
 $\implies \text{wfP}(\text{SUPR } \text{UNIV } r)$ 
by (rule wf-UN [where I=UNIV and r= $\lambda i. \{(x, y). r\ i\ x\ y\}$ , to-pred SUP-UN-eq2])
  (simp-all add: Collect-def)

```

```

lemma wf-Union:
  [|  $\text{ALL } r:R. \text{wf } r;$   

    $\text{ALL } r:R. \text{ALL } s:R. r \sim = s \longrightarrow \text{Domain } r \cap \text{Range } s = \{\}$   

  |]  $\implies \text{wf}(\text{Union } R)$ 
apply (simp add: Union-def)
apply (blast intro: wf-UN)
done

```

```

lemma wf-Un:
  [|  $\text{wf } r; \text{wf } s; \text{Domain } r \cap \text{Range } s = \{\}$  |]  $\implies \text{wf}(r \cup s)$ 
using wf-union-compatible[of s r]
by (auto simp: Un-ac)

```

```

lemma wf-union-merge:
   $\text{wf}(R \cup S) = \text{wf}(R \circ R \cup S \circ R \cup S)$  (is  $\text{wf } ?A = \text{wf } ?B$ )

```

```

proof
  assume  $wf\ ?A$ 
  with  $wf\text{-}trancl$  have  $wfT: wf\ (?A\ ^+)$  .
  moreover have  $?B \subseteq ?A\ ^+$ 
    by ( $subst\ trancl\text{-}unfold, subst\ trancl\text{-}unfold$ ) blast
  ultimately show  $wf\ ?B$  by ( $rule\ wf\text{-}subset$ )
next
  assume  $wf\ ?B$ 

  show  $wf\ ?A$ 
  proof ( $rule\ wfI\text{-}min$ )
    fix  $Q :: 'a\ set$  and  $x$ 
    assume  $x \in Q$ 

    with  $\langle wf\ ?B \rangle$ 
    obtain  $z$  where  $z \in Q$  and  $\bigwedge y. (y, z) \in ?B \implies y \notin Q$ 
      by ( $erule\ wfE\text{-}min$ )
    then have  $A1: \bigwedge y. (y, z) \in R\ O\ R \implies y \notin Q$ 
      and  $A2: \bigwedge y. (y, z) \in S\ O\ R \implies y \notin Q$ 
      and  $A3: \bigwedge y. (y, z) \in S \implies y \notin Q$ 
      by auto

    show  $\exists z \in Q. \forall y. (y, z) \in ?A \longrightarrow y \notin Q$ 
    proof ( $cases\ \forall y. (y, z) \in R \longrightarrow y \notin Q$ )
      case True
        with  $\langle z \in Q \rangle\ A3$  show  $?thesis$  by blast
      next
        case False
          then obtain  $z'$  where  $z' \in Q\ (z', z) \in R$  by blast

          have  $\forall y. (y, z') \in ?A \longrightarrow y \notin Q$ 
          proof ( $intro\ allI\ impI$ )
            fix  $y$  assume  $(y, z') \in ?A$ 
            then show  $y \notin Q$ 
            proof
              assume  $(y, z') \in R$ 
              then have  $(y, z) \in R\ O\ R$  using  $\langle (z', z) \in R \rangle$  ..
              with  $A1$  show  $y \notin Q$  .
            next
              assume  $(y, z') \in S$ 
              then have  $(y, z) \in S\ O\ R$  using  $\langle (z', z) \in R \rangle$  ..
              with  $A2$  show  $y \notin Q$  .
            qed
          qed
          with  $\langle z' \in Q \rangle$  show  $?thesis$  ..
        qed
      qed
    qed
  qed

```

lemma *wf-comp-self*: $wf\ R = wf\ (R\ O\ R)$ — special case
by (*rule wf-union-merge* [**where** $S = \{\}$, *simplified*])

24.4 Acyclic relations

definition *acyclic* :: $('a * 'a)\ set \Rightarrow bool$ **where**
 $acyclic\ r == !x.\ (x, x) \sim: r^+ +$

abbreviation *acyclicP* :: $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow bool$ **where**
 $acyclicP\ r == acyclic\ \{(x, y).\ r\ x\ y\}$

lemma *acyclicI*: $ALL\ x.\ (x, x) \sim: r^+ + \Rightarrow acyclic\ r$
by (*simp add: acyclic-def*)

lemma *wf-acyclic*: $wf\ r \Rightarrow acyclic\ r$
apply (*simp add: acyclic-def*)
apply (*blast elim: wf-trancl [THEN wf-irrefl]*)
done

lemmas *wfP-acyclicP* = *wf-acyclic* [*to-pred*]

lemma *acyclic-insert* [*iff*]:
 $acyclic(insert\ (y, x)\ r) = (acyclic\ r \ \&\ (x, y) \sim: r^+)$
apply (*simp add: acyclic-def trancl-insert*)
apply (*blast intro: rtrancl-trans*)
done

lemma *acyclic-converse* [*iff*]: $acyclic(r^+ - 1) = acyclic\ r$
by (*simp add: acyclic-def trancl-converse*)

lemmas *acyclicP-converse* [*iff*] = *acyclic-converse* [*to-pred*]

lemma *acyclic-impl-antisym-rtrancl*: $acyclic\ r \Rightarrow antisym(r^+)$
apply (*simp add: acyclic-def antisym-def*)
apply (*blast elim: rtranclE intro: rtrancl-into-trancl1 rtrancl-trancl-trancl*)
done

lemma *acyclic-subset*: $[| acyclic\ s;\ r \leq s |] \Rightarrow acyclic\ r$
apply (*simp add: acyclic-def*)
apply (*blast intro: trancl-mono*)
done

Wellfoundedness of finite acyclic relations

lemma *finite-acyclic-wf* [*rule-format*]: $finite\ r \Rightarrow acyclic\ r \dashrightarrow wf\ r$
apply (*erule finite-induct, blast*)
apply (*simp (no-asm-simp) only: split-tupled-all*)
apply *simp*

done

lemma *finite-acyclic-wf-converse*: $[|finite\ r;\ acyclic\ r|] \implies wf\ (r^{\wedge}-1)$
apply (*erule* *finite-converse* [*THEN* *iffD2*, *THEN* *finite-acyclic-wf*])
apply (*erule* *acyclic-converse* [*THEN* *iffD2*])
done

lemma *wf-iff-acyclic-if-finite*: $finite\ r \implies wf\ r = acyclic\ r$
by (*blast* *intro*: *finite-acyclic-wf wf-acyclic*)

24.5 nat is well-founded

lemma *less-nat-rel*: $op\ < = (\lambda m\ n.\ n = Suc\ m)^{\wedge}++$
proof (*rule* *ext*, *rule* *ext*, *rule* *iffI*)
 fix *n m* :: *nat*
 assume $m < n$
 then show $(\lambda m\ n.\ n = Suc\ m)^{\wedge}++\ m\ n$
 proof (*induct* *n*)
 case 0 **then show** ?*case* **by** *auto*
 next
 case (*Suc* *n*) **then show** ?*case*
 by (*auto* *simp* *add*: *less-Suc-eq-le* *le-less* *intro*: *tranclp.trancl-into-trancl*)
 qed
next
 fix *n m* :: *nat*
 assume $(\lambda m\ n.\ n = Suc\ m)^{\wedge}++\ m\ n$
 then show $m < n$
 by (*induct* *n*)
 (*simp-all* *add*: *less-Suc-eq-le* *reflexive* *le-less*)
qed

definition

pred-nat :: $(nat * nat)$ **set** **where**
pred-nat = $\{(m, n).\ n = Suc\ m\}$

definition

less-than :: $(nat * nat)$ **set** **where**
less-than = *pred-nat*⁺

lemma *less-eq*: $(m, n) \in pred-nat^{\wedge}+ \iff m < n$
unfolding *less-nat-rel* *pred-nat-def* *trancl-def* **by** *simp*

lemma *pred-nat-trancl-eq-le*:
 $(m, n) \in pred-nat^{\wedge}* \iff m \leq n$
unfolding *less-eq* *rtrancl-eq-or-trancl* **by** *auto*

lemma *wf-pred-nat*: *wf* *pred-nat*
apply (*unfold* *wf-def* *pred-nat-def*, *clarify*)
apply (*induct-tac* *x*, *blast*+))

done

lemma *wf-less-than* [iff]: *wf less-than*
by (*simp add: less-than-def wf-pred-nat [THEN wf-trancl]*)

lemma *trans-less-than* [iff]: *trans less-than*
by (*simp add: less-than-def*)

lemma *less-than-iff* [iff]: $((x,y): \text{less-than}) = (x < y)$
by (*simp add: less-than-def less-eq*)

lemma *wf-less*: *wf* $\{(x, y::\text{nat}). x < y\}$
using *wf-less-than* **by** (*simp add: less-than-def less-eq [symmetric]*)

24.6 Accessible Part

Inductive definition of the accessible part *acc r* of a relation; see also [?].

inductive-set
acc :: $('a * 'a) \text{ set} \Rightarrow 'a \text{ set}$
for *r* :: $('a * 'a) \text{ set}$
where
accI: $(!!y. (y, x) : r \Rightarrow y : \text{acc } r) \Rightarrow x : \text{acc } r$

abbreviation
termip :: $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow \text{bool}$ **where**
termip *r* == *accp* (r^{-1-1})

abbreviation
termi :: $('a * 'a) \text{ set} \Rightarrow 'a \text{ set}$ **where**
termi *r* == *acc* (r^{-1})

lemmas *accpI* = *accp.accI*

Induction rules

theorem *accp-induct*:
assumes *major*: *accp r a*
assumes *hyp*: $!!x. \text{accp } r \ x \Rightarrow \forall y. r \ y \ x \longrightarrow P \ y \Rightarrow P \ x$
shows *P a*
apply (*rule major [THEN accp.induct]*)
apply (*rule hyp*)
apply (*rule accp.accI*)
apply *fast*
apply *fast*
done

theorems *accp-induct-rule* = *accp-induct* [*rule-format*, *induct set: accp*]

theorem *accp-downward*: *accp r b* $\Rightarrow r \ a \ b \Rightarrow \text{accp } r \ a$
apply (*erule accp.cases*)

```

  apply fast
done

lemma not-accp-down:
  assumes na:  $\neg \text{accp } R \ x$ 
  obtains z where  $R \ z \ x$  and  $\neg \text{accp } R \ z$ 
proof -
  assume a:  $\bigwedge z. \llbracket R \ z \ x; \neg \text{accp } R \ z \rrbracket \implies \text{thesis}$ 

  show thesis
  proof (cases  $\forall z. R \ z \ x \longrightarrow \text{accp } R \ z$ )
    case True
    hence  $\bigwedge z. R \ z \ x \implies \text{accp } R \ z$  by auto
    hence  $\text{accp } R \ x$ 
    by (rule accp.accI)
    with na show thesis ..
  next
    case False then obtain z where  $R \ z \ x$  and  $\neg \text{accp } R \ z$ 
    by auto
    with a show thesis .
  qed
qed

lemma accp-downwards-aux:  $r^{**} \ b \ a \implies \text{accp } r \ a \dashrightarrow \text{accp } r \ b$ 
  apply (erule rtranclp-induct)
  apply blast
  apply (blast dest: accp-downward)
done

theorem accp-downwards:  $\text{accp } r \ a \implies r^{**} \ b \ a \implies \text{accp } r \ b$ 
  apply (blast dest: accp-downwards-aux)
done

theorem accp-wfPI:  $\forall x. \text{accp } r \ x \implies \text{wfP } r$ 
  apply (rule wfPUNIVI)
  apply (induct-tac  $P \ x$  rule: accp-induct)
  apply blast
  apply blast
done

theorem accp-wfPD:  $\text{wfP } r \implies \text{accp } r \ x$ 
  apply (erule wfP-induct-rule)
  apply (rule accp.accI)
  apply blast
done

theorem wfP-accp-iff:  $\text{wfP } r = (\forall x. \text{accp } r \ x)$ 
  apply (blast intro: accp-wfPI dest: accp-wfPD)
done

```

Smaller relations have bigger accessible parts:

```

lemma accp-subset:
  assumes sub:  $R1 \leq R2$ 
  shows  $\text{accp } R2 \leq \text{accp } R1$ 
proof (rule predicate1I)
  fix x assume  $\text{accp } R2 \ x$ 
  then show  $\text{accp } R1 \ x$ 
  proof (induct x)
    fix x
    assume ih:  $\bigwedge y. R2 \ y \ x \implies \text{accp } R1 \ y$ 
    with sub show  $\text{accp } R1 \ x$ 
    by (blast intro: accp.accI)
  qed
qed

```

This is a generalized induction theorem that works on subsets of the accessible part.

```

lemma accp-subset-induct:
  assumes subset:  $D \leq \text{accp } R$ 
  and dcl:  $\bigwedge x \ z. \llbracket D \ x; R \ z \ x \rrbracket \implies D \ z$ 
  and D x
  and istep:  $\bigwedge x. \llbracket D \ x; (\bigwedge z. R \ z \ x \implies P \ z) \rrbracket \implies P \ x$ 
  shows  $P \ x$ 
proof –
  from subset and  $\langle D \ x \rangle$ 
  have  $\text{accp } R \ x \ ..$ 
  then show  $P \ x$  using  $\langle D \ x \rangle$ 
  proof (induct x)
    fix x
    assume D x
    and  $\bigwedge y. R \ y \ x \implies D \ y \implies P \ y$ 
    with dcl and istep show  $P \ x$  by blast
  qed
qed

```

Set versions of the above theorems

lemmas *acc-induct* = *accp-induct* [*to-set*]

lemmas *acc-induct-rule* = *acc-induct* [*rule-format*, *induct set: acc*]

lemmas *acc-downward* = *accp-downward* [*to-set*]

lemmas *not-acc-down* = *not-accp-down* [*to-set*]

lemmas *acc-downwards-aux* = *accp-downwards-aux* [*to-set*]

lemmas *acc-downwards* = *accp-downwards* [*to-set*]

lemmas $acc\text{-}wfI = accp\text{-}wfPI$ [*to-set*]

lemmas $acc\text{-}wfD = accp\text{-}wfPD$ [*to-set*]

lemmas $wf\text{-}acc\text{-}iff = wfP\text{-}accp\text{-}iff$ [*to-set*]

lemmas $acc\text{-}subset = accp\text{-}subset$ [*to-set pred-subset-eq*]

lemmas $acc\text{-}subset\text{-}induct = accp\text{-}subset\text{-}induct$ [*to-set pred-subset-eq*]

24.7 Tools for building wellfounded relations

Inverse Image

lemma $wf\text{-}inv\text{-}image$ [*simp,intro!*]: $wf(r) ==> wf(inv\text{-}image\ r\ (f::'a=>'b))$
apply (*simp (no-asm-use) add: inv-image-def wf-eq-minimal*)
apply *clarify*
apply (*subgoal-tac EX (w::'b) . w : {w. EX (x::'a) . x: Q & (f x = w) }*)
prefer 2 **apply** (*blast del: allE*)
apply (*erule allE*)
apply (*erule (1) notE impE*)
apply *blast*
done

Measure functions into *nat*

definition $measure :: ('a ==> nat) ==> ('a * 'a) set$
where $measure == inv\text{-}image\ less\text{-}than$

lemma $in\text{-}measure$ [*simp*]: $((x,y) : measure\ f) = (f\ x < f\ y)$
by (*simp add:measure-def*)

lemma $wf\text{-}measure$ [*iff*]: $wf\ (measure\ f)$
apply (*unfold measure-def*)
apply (*rule wf-less-than [THEN wf-inv-image]*)
done

Lexicographic combinations

definition
 $lex\text{-}prod :: [('a * 'a) set, ('b * 'b) set] ==> (('a * 'b) * ('a * 'b)) set$
 $(\mathbf{infixr} < * lex * > 80)$

where

$ra < * lex * > rb == \{((a,b),(a',b')). (a,a') : ra \mid a=a' \ \& \ (b,b') : rb\}$

lemma $wf\text{-}lex\text{-}prod$ [*intro!*]: $[| wf(ra); wf(rb) |] ==> wf(ra < * lex * > rb)$
apply (*unfold wf-def lex-prod-def*)
apply (*rule allI, rule impI*)
apply (*simp (no-asm-use) only: split-paired-All*)
apply (*drule spec, erule mp*)
apply (*rule allI, rule impI*)

apply (*drule spec, erule mp, blast*)
done

lemma *in-lex-prod*[*simp*]:
 $((a,b),(a',b')): r <_{lex} s = ((a,a'): r \vee (a = a' \wedge (b, b') : s))$
by (*auto simp:lex-prod-def*)

op $<_{lex}$ preserves transitivity

lemma *trans-lex-prod* [*intro!*]:
 $[trans\ R1; trans\ R2] \implies trans\ (R1 <_{lex} R2)$
by (*unfold trans-def lex-prod-def, blast*)

lexicographic combinations with measure functions

definition

$mlex\text{-}prod :: ('a \Rightarrow nat) \Rightarrow ('a \times 'a)\ set \Rightarrow ('a \times 'a)\ set$ (**infixr** $<_{mlex}$ 80)
where
 $f <_{mlex} R = inv\text{-}image\ (less\text{-}than <_{lex} R)\ (\%x.\ (f\ x,\ x))$

lemma *wf-mlex*: $wf\ R \implies wf\ (f <_{mlex} R)$
unfolding *mlex-prod-def*
by *auto*

lemma *mlex-less*: $f\ x < f\ y \implies (x, y) \in f <_{mlex} R$
unfolding *mlex-prod-def* **by** *simp*

lemma *mlex-leq*: $f\ x \leq f\ y \implies (x, y) \in R \implies (x, y) \in f <_{mlex} R$
unfolding *mlex-prod-def* **by** *auto*

proper subset relation on finite sets

definition *finite-psubset* :: $('a\ set * 'a\ set)\ set$
where *finite-psubset* == $\{(A,B).\ A < B \ \&\ finite\ B\}$

lemma *wf-finite-psubset*[*simp*]: $wf(finite\text{-}psubset)$
apply (*unfold finite-psubset-def*)
apply (*rule wf-measure [THEN wf-subset]*)
apply (*simp add: measure-def inv-image-def less-than-def less-eq*)
apply (*fast elim!: psubset-card-mono*)
done

lemma *trans-finite-psubset*: $trans\ finite\text{-}psubset$
by (*simp add: finite-psubset-def less-le trans-def, blast*)

lemma *in-finite-psubset*[*simp*]: $(A, B) \in finite\text{-}psubset = (A < B \ \&\ finite\ B)$
unfolding *finite-psubset-def* **by** *auto*

max- and min-extension of order to finite sets

inductive-set *max-ext* :: $('a \times 'a)\ set \Rightarrow ('a\ set \times 'a\ set)\ set$
for *R* :: $('a \times 'a)\ set$

where

$max-extI[intro]: finite\ X \implies finite\ Y \implies Y \neq \{\} \implies (\bigwedge x. x \in X \implies \exists y \in Y. (x, y) \in R) \implies (X, Y) \in max-ext\ R$

lemma *max-ext-wf*:

assumes *wf*: $wf\ r$

shows $wf\ (max-ext\ r)$

proof (*rule acc-wfI, intro allI*)

fix *M* **show** $M \in acc\ (max-ext\ r)\ (is - \in ?W)$

proof *cases*

assume *finite M*

thus *?thesis*

proof (*induct M*)

show $\{\} \in ?W$

by (*rule accI*) (*auto elim: max-ext.cases*)

next

fix *M a* **assume** $M \in ?W\ finite\ M$

with *wf* **show** $insert\ a\ M \in ?W$

proof (*induct arbitrary: M*)

fix *M a*

assume $M \in ?W$ **and** [*intro*]: *finite M*

assume *hyp*: $\bigwedge b\ M. (b, a) \in r \implies M \in ?W \implies finite\ M \implies insert\ b\ M$

$\in ?W$

{

fix *N M* :: '*a* set

assume *finite N finite M*

then

have $\llbracket M \in ?W ; (\bigwedge y. y \in N \implies (y, a) \in r) \rrbracket \implies N \cup M \in ?W$

by (*induct N arbitrary: M*) (*auto simp: hyp*)

}

note *add-less = this*

show $insert\ a\ M \in ?W$

proof (*rule accI*)

fix *N* **assume** *Nless*: $(N, insert\ a\ M) \in max-ext\ r$

hence *asm1*: $\bigwedge x. x \in N \implies (x, a) \in r \vee (\exists y \in M. (x, y) \in r)$

by (*auto elim!: max-ext.cases*)

let $?N1 = \{ n \in N. (n, a) \in r \}$

let $?N2 = \{ n \in N. (n, a) \notin r \}$

have $N: ?N1 \cup ?N2 = N$ **by** (*rule set-ext*) *auto*

from *Nless* **have** *finite N* **by** (*auto elim: max-ext.cases*)

then **have** *finites*: *finite ?N1 finite ?N2* **by** *auto*

have $?N2 \in ?W$

proof *cases*

assume [*simp*]: $M = \{\}$

have *Mw*: $\{\} \in ?W$ **by** (*rule accI*) (*auto elim: max-ext.cases*)

```

      from asm1 have ?N2 = {} by auto
      with Mw show ?N2 ∈ ?W by (simp only:)
    next
      assume M ≠ {}
      have N2: (?N2, M) ∈ max-ext r
        by (rule max-extI[OF - - ⟨M ≠ {}⟩]) (insert asm1, auto intro: finites)

      with ⟨M ∈ ?W⟩ show ?N2 ∈ ?W by (rule acc-downward)
    qed
  with finites have ?N1 ∪ ?N2 ∈ ?W
    by (rule add-less) simp
  then show N ∈ ?W by (simp only: N)
qed
qed
qed
next
  assume [simp]: ¬ finite M
  show ?thesis
    by (rule accI) (auto elim: max-ext.cases)
qed
qed

```

lemma *max-ext-additive*:
 $(A, B) \in \text{max-ext } R \implies (C, D) \in \text{max-ext } R \implies$
 $(A \cup C, B \cup D) \in \text{max-ext } R$
 by (*force elim*!: *max-ext.cases*)

definition

min-ext :: ('a × 'a) set ⇒ ('a set × 'a set) set
where
 [*code del*]: *min-ext* *r* = {(X, Y) | X Y. X ≠ {} ∧ (∀ y ∈ Y. (∃ x ∈ X. (x, y) ∈ *r*)))}

lemma *min-ext-wf*:

```

  assumes wf r
  shows wf (min-ext r)
proof (rule wfI-min)
  fix Q :: 'a set set
  fix x
  assume nonempty: x ∈ Q
  show ∃ m ∈ Q. (∀ n. (n, m) ∈ min-ext r ⟶ n ∉ Q)
proof cases
  assume Q = {{}} thus ?thesis by (simp add: min-ext-def)
next
  assume Q ≠ {{}}
  with nonempty
  obtain e x where x ∈ Q e ∈ x by force
  then have eU: e ∈ ⋃ Q by auto

```



```

with  $\langle wf\ r \rangle$ 
obtain  $z$  where  $z: z \in \bigcup Q \wedge y. (y, z) \in r \implies y \notin \bigcup Q$ 
  by (erule wfE-min)
from  $z$  obtain  $m$  where  $m \in Q\ z \in m$  by auto
from  $\langle m \in Q \rangle$ 
show ?thesis
proof (rule, intro beXI allI impI)
  fix  $n$ 
  assume smaller:  $(n, m) \in min-ext\ r$ 
  with  $\langle z \in m \rangle$  obtain  $y$  where  $y: y \in n\ (y, z) \in r$  by (auto simp: min-ext-def)
  then show  $n \notin Q$  using  $z(2)$  by auto
qed
qed
qed

```

24.8 Weakly decreasing sequences (w.r.t. some well-founded order) stabilize.

This material does not appear to be used any longer.

lemma *sequence-trans*: $[[\ ALL\ i. (f\ (Suc\ i), f\ i) : r^*]] \implies (f\ (i+k), f\ i) : r^*$
by (*induct k*) (*auto intro: rtrancl-trans*)

lemma *wf-weak-decr-stable*:

assumes *as*: $ALL\ i. (f\ (Suc\ i), f\ i) : r^* \wedge wf\ (r^+)$

shows $EX\ i. ALL\ k. f\ (i+k) = f\ i$

proof –

have *lem*: $!!x. [[\ ALL\ i. (f\ (Suc\ i), f\ i) : r^*; wf\ (r^+)]]$

$\implies ALL\ m. f\ m = x \dashrightarrow (EX\ i. ALL\ k. f\ (m+i+k) = f\ (m+i))$

apply (*erule wf-induct, clarify*)

apply (*case-tac EX j. (f\ (m+j), f\ m) : r^+*)

apply *clarify*

apply (*subgoal-tac EX i. ALL k. f\ ((m+j) + i+k) = f\ ((m+j) + i)*)

apply *clarify*

apply (*rule-tac x = j+i in exI*)

apply (*simp add: add-ac, blast*)

apply (*rule-tac x = 0 in exI, clarsimp*)

apply (*drule-tac i = m and k = k in sequence-trans*)

apply (*blast elim: rtranclE dest: rtrancl-into-trancl1*)

done

from *lem* [*OF as, THEN spec, of 0, simplified*]

show *?thesis* **by** *auto*

qed

lemma *weak-decr-stable*:

$ALL\ i. f\ (Suc\ i) \leq ((f\ i)::nat) \implies EX\ i. ALL\ k. f\ (i+k) = f\ i$

apply (*rule-tac r = pred-nat in wf-weak-decr-stable*)

apply (*simp add: pred-nat-trancl-eq-le*)

```

apply (intro wf-trancl wf-pred-nat)
done

```

24.9 size of a datatype value

```

use Tools/Function/size.ML

```

```

setup Size.setup

```

```

lemma size-bool [code]:
  size (b::bool) = 0 by (cases b) auto

```

```

lemma nat-size [simp, code]: size (n::nat) = n
  by (induct n) simp-all

```

```

declare prod.size [no-atp]

```

```

lemma [code]:
  size (P :: 'a Predicate.pred) = 0 by (cases P) simp

```

```

lemma [code]:
  pred-size f P = 0 by (cases P) simp

```

```

end

```

25 FunDef: Function Definitions and Termination Proofs

```

theory FunDef
imports Wellfounded
uses
  Tools/prop-logic.ML
  Tools/sat-solver.ML
  (Tools/Function/function-lib.ML)
  (Tools/Function/function-common.ML)
  (Tools/Function/context-tree.ML)
  (Tools/Function/function-core.ML)
  (Tools/Function/sum-tree.ML)
  (Tools/Function/mutual.ML)
  (Tools/Function/pattern-split.ML)
  (Tools/Function/function.ML)
  (Tools/Function/relation.ML)
  (Tools/Function/measure-functions.ML)
  (Tools/Function/lexicographic-order.ML)
  (Tools/Function/pat-completeness.ML)
  (Tools/Function/fun.ML)
  (Tools/Function/induction-schema.ML)

```

```

  (Tools/Function/termination.ML)
  (Tools/Function/scnp-solve.ML)
  (Tools/Function/scnp-reconstruct.ML)
begin

```

25.1 Definitions with default value.

definition

```

THE-default :: 'a ⇒ ('a ⇒ bool) ⇒ 'a where
THE-default d P = (if (∃!x. P x) then (THE x. P x) else d)

```

lemma *THE-defaultI'*: $\exists!x. P x \implies P (THE\text{-}default\ d\ P)$
by (*simp add: theI' THE-default-def*)

lemma *THE-default1-equality*:

```

[[∃!x. P x; P a]] ⇒ THE-default d P = a
by (simp add: the1-equality THE-default-def)

```

lemma *THE-default-none*:

```

¬(∃!x. P x) ⇒ THE-default d P = d
by (simp add: THE-default-def)

```

lemma *fundef-ex1-existence*:

```

assumes f-def: f == (λx::'a. THE-default (d x) (λy. G x y))
assumes ex1: ∃!y. G x y
shows G x (f x)
apply (simp only: f-def)
apply (rule THE-defaultI')
apply (rule ex1)
done

```

lemma *fundef-ex1-uniqueness*:

```

assumes f-def: f == (λx::'a. THE-default (d x) (λy. G x y))
assumes ex1: ∃!y. G x y
assumes elm: G x (h x)
shows h x = f x
apply (simp only: f-def)
apply (rule THE-default1-equality [symmetric])
apply (rule ex1)
apply (rule elm)
done

```

lemma *fundef-ex1-iff*:

```

assumes f-def: f == (λx::'a. THE-default (d x) (λy. G x y))
assumes ex1: ∃!y. G x y
shows (G x y) = (f x = y)
apply (auto simp: ex1 f-def THE-default1-equality)
apply (rule THE-defaultI')

```

```

apply (rule ex1)
done

lemma fundef-default-value:
  assumes f-def:  $f == (\lambda x::'a. \text{THE-default } (d \ x) (\lambda y. G \ x \ y))$ 
  assumes graph:  $\bigwedge x \ y. G \ x \ y \implies D \ x$ 
  assumes  $\neg D \ x$ 
  shows  $f \ x = d \ x$ 
proof -
  have  $\neg(\exists y. G \ x \ y)$ 
  proof
    assume  $\exists y. G \ x \ y$ 
    hence  $D \ x$  using graph ..
    with  $\neg D \ x$  show False ..
  qed
  hence  $\neg(\exists! y. G \ x \ y)$  by blast

thus ?thesis
  unfolding f-def
  by (rule THE-default-none)
qed

definition in-rel-def[simp]:
  in-rel  $R \ x \ y == (x, y) \in R$ 

lemma wf-in-rel:
   $wf \ R \implies wfP \ (in-rel \ R)$ 
  by (simp add: wfP-def)

use Tools/Function/function-lib.ML
use Tools/Function/function-common.ML
use Tools/Function/context-tree.ML
use Tools/Function/function-core.ML
use Tools/Function/sum-tree.ML
use Tools/Function/mutual.ML
use Tools/Function/pattern-split.ML
use Tools/Function/relation.ML
use Tools/Function/function.ML
use Tools/Function/pat-completeness.ML
use Tools/Function/fun.ML
use Tools/Function/induction-schema.ML

setup <<
  Function.setup
  #> Pat-Completeness.setup
  #> Function-Fun.setup
  #> Induction-Schema.setup
  >>

```

25.2 Measure Functions

inductive *is-measure* :: ('a \Rightarrow nat) \Rightarrow bool
where *is-measure-trivial*: *is-measure* f

use *Tools/Function/measure-functions.ML*
setup *MeasureFunctions.setup*

lemma *measure-size*[*measure-function*]: *is-measure* size
by (rule *is-measure-trivial*)

lemma *measure-fst*[*measure-function*]: *is-measure* f \Longrightarrow *is-measure* ($\lambda p. f (fst p)$)
by (rule *is-measure-trivial*)

lemma *measure-snd*[*measure-function*]: *is-measure* f \Longrightarrow *is-measure* ($\lambda p. f (snd p)$)
by (rule *is-measure-trivial*)

use *Tools/Function/lexicographic-order.ML*
setup *Lexicographic-Order.setup*

25.3 Congruence Rules

lemma *let-cong* [*fundef-cong*]:
 $M = N \Longrightarrow (\bigwedge x. x = N \Longrightarrow f x = g x) \Longrightarrow Let\ M\ f = Let\ N\ g$
unfolding *Let-def* **by** *blast*

lemmas [*fundef-cong*] =
if-cong image-cong INT-cong UN-cong
bex-cong ball-cong imp-cong

lemma *split-cong* [*fundef-cong*]:
 $(\bigwedge x\ y. (x, y) = q \Longrightarrow f\ x\ y = g\ x\ y) \Longrightarrow p = q$
 $\Longrightarrow split\ f\ p = split\ g\ q$
by (*auto simp: split-def*)

lemma *comp-cong* [*fundef-cong*]:
 $f\ (g\ x) = f'\ (g'\ x') \Longrightarrow (f\ o\ g)\ x = (f'\ o\ g')\ x'$
unfolding *o-apply* .

25.4 Simp rules for termination proofs

lemma *termination-basic-simps*[*termination-simp*]:
 $x < (y::nat) \Longrightarrow x < y + z$
 $x < z \Longrightarrow x < y + z$
 $x \leq y \Longrightarrow x \leq y + (z::nat)$
 $x \leq z \Longrightarrow x \leq y + (z::nat)$
 $x < y \Longrightarrow x \leq (y::nat)$
by *arith+*

declare *le-imp-less-Suc*[*termination-simp*]

lemma *prod-size-simp*[*termination-simp*]:
 $\text{prod-size } f \ g \ p = f \ (\text{fst } p) + g \ (\text{snd } p) + \text{Suc } 0$
by (*induct p*) *auto*

25.5 Decomposition

lemma *less-by-empty*:
 $A = \{\} \implies A \subseteq B$
and *union-comp-emptyL*:
 $\llbracket A \ O \ C = \{\}; B \ O \ C = \{\} \rrbracket \implies (A \cup B) \ O \ C = \{\}$
and *union-comp-emptyR*:
 $\llbracket A \ O \ B = \{\}; A \ O \ C = \{\} \rrbracket \implies A \ O \ (B \cup C) = \{\}$
and *wf-no-loop*:
 $R \ O \ R = \{\} \implies \text{wf } R$
by (*auto simp add: wf-comp-self*[*of R*])

25.6 Reduction Pairs

definition
 $\text{reduction-pair } P = (\text{wf } (\text{fst } P) \wedge \text{fst } P \ O \ \text{snd } P \subseteq \text{fst } P)$

lemma *reduction-pairI*[*intro*]: $\text{wf } R \implies R \ O \ S \subseteq R \implies \text{reduction-pair } (R, S)$
unfolding *reduction-pair-def* **by** *auto*

lemma *reduction-pair-lemma*:
assumes *rp*: *reduction-pair P*
assumes $R \subseteq \text{fst } P$
assumes $S \subseteq \text{snd } P$
assumes *wf S*
shows $\text{wf } (R \cup S)$
proof –
from *rp* $\langle S \subseteq \text{snd } P \rangle$ **have** $\text{wf } (\text{fst } P) \ \text{fst } P \ O \ S \subseteq \text{fst } P$
unfolding *reduction-pair-def* **by** *auto*
with $\langle \text{wf } S \rangle$ **have** $\text{wf } (\text{fst } P \cup S)$
by (*auto intro: wf-union-compatible*)
moreover from $\langle R \subseteq \text{fst } P \rangle$ **have** $R \cup S \subseteq \text{fst } P \cup S$ **by** *auto*
ultimately show *?thesis* **by** (*rule wf-subset*)
qed

definition
 $\text{rp-inv-image} = (\lambda(R,S) \ f. (\text{inv-image } R \ f, \text{inv-image } S \ f))$

lemma *rp-inv-image-rp*:
 $\text{reduction-pair } P \implies \text{reduction-pair } (\text{rp-inv-image } P \ f)$
unfolding *reduction-pair-def rp-inv-image-def split-def*
by *force*

25.7 Concrete orders for SCNP termination proofs

definition *pair-less* = *less-than* $\langle *lex* \rangle$ *less-than*

definition [code del]: *pair-leq* = *pair-less* $\hat{=}$

definition *max-strict* = *max-ext* *pair-less*

definition [code del]: *max-weak* = *max-ext* *pair-leq* $\cup \{(\{\}, \{\})\}$

definition [code del]: *min-strict* = *min-ext* *pair-less*

definition [code del]: *min-weak* = *min-ext* *pair-leq* $\cup \{(\{\}, \{\})\}$

lemma *wf-pair-less*[simp]: *wf* *pair-less*

by (*auto* simp: *pair-less-def*)

Introduction rules for *pair-less*/*pair-leq*

lemma *pair-leqI1*: $a < b \implies ((a, s), (b, t)) \in \text{pair-leq}$

and *pair-leqI2*: $a \leq b \implies s \leq t \implies ((a, s), (b, t)) \in \text{pair-leq}$

and *pair-lessI1*: $a < b \implies ((a, s), (b, t)) \in \text{pair-less}$

and *pair-lessI2*: $a \leq b \implies s < t \implies ((a, s), (b, t)) \in \text{pair-less}$

unfolding *pair-leq-def* *pair-less-def* by *auto*

Introduction rules for *max*

lemma *smax-emptyI*:

finite $Y \implies Y \neq \{\} \implies (\{\}, Y) \in \text{max-strict}$

and *smax-insertI*:

$\llbracket y \in Y; (x, y) \in \text{pair-less}; (X, Y) \in \text{max-strict} \rrbracket \implies (\text{insert } x \ X, Y) \in \text{max-strict}$

and *wmax-emptyI*:

finite $X \implies (\{\}, X) \in \text{max-weak}$

and *wmax-insertI*:

$\llbracket y \in YS; (x, y) \in \text{pair-leq}; (XS, YS) \in \text{max-weak} \rrbracket \implies (\text{insert } x \ XS, YS) \in \text{max-weak}$

unfolding *max-strict-def* *max-weak-def* by (*auto* elim!: *max-ext.cases*)

Introduction rules for *min*

lemma *smin-emptyI*:

$X \neq \{\} \implies (X, \{\}) \in \text{min-strict}$

and *smin-insertI*:

$\llbracket x \in XS; (x, y) \in \text{pair-less}; (XS, YS) \in \text{min-strict} \rrbracket \implies (XS, \text{insert } y \ YS) \in \text{min-strict}$

and *wmin-emptyI*:

$(X, \{\}) \in \text{min-weak}$

and *wmin-insertI*:

$\llbracket x \in XS; (x, y) \in \text{pair-leq}; (XS, YS) \in \text{min-weak} \rrbracket \implies (XS, \text{insert } y \ YS) \in \text{min-weak}$

by (*auto* simp: *min-strict-def* *min-weak-def* *min-ext-def*)

Reduction Pairs

lemma *max-ext-compat*:

assumes $R \ O \ S \subseteq R$

shows $\text{max-ext } R \ O \ (\text{max-ext } S \cup \{(\{\}, \{\})\}) \subseteq \text{max-ext } R$

using *assms*

```

apply auto
apply (elim max-ext.cases)
apply rule
apply auto[3]
apply (drule-tac x=xa in meta-spec)
apply simp
apply (erule bexE)
apply (drule-tac x=xb in meta-spec)
by auto

lemma max-rpair-set: reduction-pair (max-strict, max-weak)
  unfolding max-strict-def max-weak-def
apply (intro reduction-pairI max-ext-wf)
apply simp
apply (rule max-ext-compat)
by (auto simp: pair-less-def pair-leq-def)

lemma min-ext-compat:
  assumes R O S ⊆ R
  shows min-ext R O (min-ext S ∪ {{},{}}) ⊆ min-ext R
using assms
apply (auto simp: min-ext-def)
apply (drule-tac x=ya in bspec, assumption)
apply (erule bexE)
apply (drule-tac x=xc in bspec)
apply assumption
by auto

lemma min-rpair-set: reduction-pair (min-strict, min-weak)
  unfolding min-strict-def min-weak-def
apply (intro reduction-pairI min-ext-wf)
apply simp
apply (rule min-ext-compat)
by (auto simp: pair-less-def pair-leq-def)

```

25.8 Tool setup

```

use Tools/Function/termination.ML
use Tools/Function/scnp-solve.ML
use Tools/Function/scnp-reconstruct.ML

setup  $\ll$  ScnpReconstruct.setup  $\gg$ 

ML-val — setup inactive
 $\ll$ 
  Context.theory-map (Function-Common.set-termination-prover
    (ScnpReconstruct.decomp-scnp-tac [ScnpSolve.MAX, ScnpSolve.MIN, Scnp-
      Solve.MS]))
 $\gg$ 

```


end

26 Extraction: Program extraction for HOL

```
theory Extraction
imports Option
uses Tools/rewrite-hol-proof.ML
begin
```

26.1 Setup

```
setup ⟨⟨
  Extraction.add-types
    [(bool, ([], NONE))] #>
  Extraction.set-preprocessor (fn thy =>
    Proofterm.rewrite-proof-notypes
      ([], RewriteHOLProof.elim-cong :: ProofRewriteRules.rprocs true) o
    Proofterm.rewrite-proof thy
      (RewriteHOLProof.rews,
        ProofRewriteRules.rprocs true @ [ProofRewriteRules.expand-of-class thy]) o
    ProofRewriteRules.elim-vars (curry Const @ {const-name default}))
  ⟩⟩
```

```
lemmas [extraction-expand] =
  meta-spec atomize-eq atomize-all atomize-imp atomize-conj
  allE rev-mp conjE Eq-TrueI Eq-FalseI eqTrueI eqTrueE eq-cong2
  notE' impE' impE iffE imp-cong simp-thms eq-True eq-False
  induct-forall-eq induct-implies-eq induct-equal-eq induct-conj-eq
  induct-atomize induct-atomize' induct-rulify induct-rulify'
  induct-rulify-fallback induct-trueI
  True-implies-equals TrueE
```

```
lemmas [extraction-expand-def] =
  induct-forall-def induct-implies-def induct-equal-def induct-conj-def
  induct-true-def induct-false-def
```

```
datatype sumbool = Left | Right
```

26.2 Type of extracted program

```
extract-type
  typeof (Trueprop P) ≡ typeof P

  typeof P ≡ Type (TYPE(Null)) ⇒ typeof Q ≡ Type (TYPE('Q)) ⇒
    typeof (P ⟶ Q) ≡ Type (TYPE('Q))

  typeof Q ≡ Type (TYPE(Null)) ⇒ typeof (P ⟶ Q) ≡ Type (TYPE(Null))
```

$$\text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \longrightarrow Q) \equiv \text{Type } (\text{TYPE}('P \Rightarrow 'Q))$$

$$(\lambda x. \text{typeof } (P x)) \equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\ \text{typeof } (\forall x. P x) \equiv \text{Type } (\text{TYPE}(\text{Null}))$$

$$(\lambda x. \text{typeof } (P x)) \equiv (\lambda x. \text{Type } (\text{TYPE}('P))) \implies \\ \text{typeof } (\forall x::'a. P x) \equiv \text{Type } (\text{TYPE}('a \Rightarrow 'P))$$

$$(\lambda x. \text{typeof } (P x)) \equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\ \text{typeof } (\exists x::'a. P x) \equiv \text{Type } (\text{TYPE}('a))$$

$$(\lambda x. \text{typeof } (P x)) \equiv (\lambda x. \text{Type } (\text{TYPE}('P))) \implies \\ \text{typeof } (\exists x::'a. P x) \equiv \text{Type } (\text{TYPE}('a \times 'P))$$

$$\text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\ \text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}(\text{sumbool}))$$

$$\text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}('Q \text{ option})))$$

$$\text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\ \text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}('P \text{ option})))$$

$$\text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \vee Q) \equiv \text{Type } (\text{TYPE}('P + 'Q))$$

$$\text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \wedge Q) \equiv \text{Type } (\text{TYPE}('Q))$$

$$\text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\ \text{typeof } (P \wedge Q) \equiv \text{Type } (\text{TYPE}('P))$$

$$\text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \text{typeof } Q \equiv \text{Type } (\text{TYPE}('Q)) \implies \\ \text{typeof } (P \wedge Q) \equiv \text{Type } (\text{TYPE}('P \times 'Q))$$

$$\text{typeof } (P = Q) \equiv \text{typeof } ((P \longrightarrow Q) \wedge (Q \longrightarrow P))$$

$$\text{typeof } (x \in P) \equiv \text{typeof } P$$

26.3 Realizability

realizability

$$(\text{realizes } t \text{ (Trueprop } P)) \equiv (\text{Trueprop } (\text{realizes } t \text{ } P))$$

$$(\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\ (\text{realizes } t \text{ } (P \longrightarrow Q)) \equiv (\text{realizes } \text{Null } P \longrightarrow \text{realizes } t \text{ } Q)$$

$$\begin{aligned}
& (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}('P))) \implies \\
& (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (P \longrightarrow Q)) \equiv (\forall x::'P. \text{realizes } x \ P \longrightarrow \text{realizes } \text{Null } Q) \\
\\
& (\text{realizes } t \ (P \longrightarrow Q)) \equiv (\forall x. \text{realizes } x \ P \longrightarrow \text{realizes } (t \ x) \ Q) \\
\\
& (\lambda x. \text{typeof } (P \ x)) \equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (\forall x. P \ x)) \equiv (\forall x. \text{realizes } \text{Null } (P \ x)) \\
\\
& (\text{realizes } t \ (\forall x. P \ x)) \equiv (\forall x. \text{realizes } (t \ x) \ (P \ x)) \\
\\
& (\lambda x. \text{typeof } (P \ x)) \equiv (\lambda x. \text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (\exists x. P \ x)) \equiv (\text{realizes } \text{Null } (P \ t)) \\
\\
& (\text{realizes } t \ (\exists x. P \ x)) \equiv (\text{realizes } (\text{snd } t) \ (P \ (\text{fst } t))) \\
\\
& (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (P \vee Q)) \equiv \\
& (\text{case } t \text{ of Left } \Rightarrow \text{realizes } \text{Null } P \mid \text{Right } \Rightarrow \text{realizes } \text{Null } Q) \\
\\
& (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (P \vee Q)) \equiv \\
& (\text{case } t \text{ of None } \Rightarrow \text{realizes } \text{Null } P \mid \text{Some } q \Rightarrow \text{realizes } q \ Q) \\
\\
& (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (P \vee Q)) \equiv \\
& (\text{case } t \text{ of None } \Rightarrow \text{realizes } \text{Null } Q \mid \text{Some } p \Rightarrow \text{realizes } p \ P) \\
\\
& (\text{realizes } t \ (P \vee Q)) \equiv \\
& (\text{case } t \text{ of Inl } p \Rightarrow \text{realizes } p \ P \mid \text{Inr } q \Rightarrow \text{realizes } q \ Q) \\
\\
& (\text{typeof } P) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } \text{Null } P \wedge \text{realizes } t \ Q) \\
\\
& (\text{typeof } Q) \equiv (\text{Type } (\text{TYPE}(\text{Null}))) \implies \\
& (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } t \ P \wedge \text{realizes } \text{Null } Q) \\
\\
& (\text{realizes } t \ (P \wedge Q)) \equiv (\text{realizes } (\text{fst } t) \ P \wedge \text{realizes } (\text{snd } t) \ Q) \\
\\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
& \text{realizes } t \ (\neg P) \equiv \neg \text{realizes } \text{Null } P \\
\\
& \text{typeof } P \equiv \text{Type } (\text{TYPE}('P)) \implies \\
& \text{realizes } t \ (\neg P) \equiv (\forall x::'P. \neg \text{realizes } x \ P) \\
\\
& \text{typeof } (P::\text{bool}) \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
& \text{typeof } Q \equiv \text{Type } (\text{TYPE}(\text{Null})) \implies \\
& \text{realizes } t \ (P = Q) \equiv \text{realizes } \text{Null } P = \text{realizes } \text{Null } Q
\end{aligned}$$

$$(\text{realizes } t \ (P = Q)) \equiv (\text{realizes } t \ ((P \longrightarrow Q) \wedge (Q \longrightarrow P)))$$

26.4 Computational content of basic inference rules

theorem *disjE-realizer*:

assumes r : $\text{case } x \text{ of } \text{Inl } p \Rightarrow P \ p \mid \text{Inr } q \Rightarrow Q \ q$
 and $r1$: $\bigwedge p. P \ p \Longrightarrow R \ (f \ p)$ and $r2$: $\bigwedge q. Q \ q \Longrightarrow R \ (g \ q)$
 shows $R \ (\text{case } x \text{ of } \text{Inl } p \Rightarrow f \ p \mid \text{Inr } q \Rightarrow g \ q)$
proof (*cases x*)
 case *Inl*
 with r show ?thesis by simp (rule $r1$)
 next
 case *Inr*
 with r show ?thesis by simp (rule $r2$)
 qed

theorem *disjE-realizer2*:

assumes r : $\text{case } x \text{ of } \text{None} \Rightarrow P \mid \text{Some } q \Rightarrow Q \ q$
 and $r1$: $P \Longrightarrow R \ f$ and $r2$: $\bigwedge q. Q \ q \Longrightarrow R \ (g \ q)$
 shows $R \ (\text{case } x \text{ of } \text{None} \Rightarrow f \mid \text{Some } q \Rightarrow g \ q)$
proof (*cases x*)
 case *None*
 with r show ?thesis by simp (rule $r1$)
 next
 case *Some*
 with r show ?thesis by simp (rule $r2$)
 qed

theorem *disjE-realizer3*:

assumes r : $\text{case } x \text{ of } \text{Left} \Rightarrow P \mid \text{Right} \Rightarrow Q$
 and $r1$: $P \Longrightarrow R \ f$ and $r2$: $Q \Longrightarrow R \ g$
 shows $R \ (\text{case } x \text{ of } \text{Left} \Rightarrow f \mid \text{Right} \Rightarrow g)$
proof (*cases x*)
 case *Left*
 with r show ?thesis by simp (rule $r1$)
 next
 case *Right*
 with r show ?thesis by simp (rule $r2$)
 qed

theorem *conjI-realizer*:

$P \ p \Longrightarrow Q \ q \Longrightarrow P \ (fst \ (p, q)) \wedge Q \ (snd \ (p, q))$
 by simp

theorem *exI-realizer*:

$P \ y \ x \Longrightarrow P \ (snd \ (x, y)) \ (fst \ (x, y))$ by simp

theorem *exE-realizer*: $P \ (snd \ p) \ (fst \ p) \Longrightarrow$

$$(\bigwedge x y. P y x \implies Q (f x y)) \implies Q (let (x, y) = p in f x y)$$

by (cases p) (simp add: Let-def)

theorem *exE-realizer'*: $P (snd p) (fst p) \implies$
 $(\bigwedge x y. P y x \implies Q) \implies Q$ **by** (cases p) simp

realizers

$$impI (P, Q): \lambda pq. pq$$

$$\Lambda (c: -) (d: -) P Q pq (h: -). allI \cdot \cdot \cdot c \cdot (\Lambda x. impI \cdot \cdot \cdot \cdot (h \cdot x))$$

$$impI (P): Null$$

$$\Lambda (c: -) P Q (h: -). allI \cdot \cdot \cdot c \cdot (\Lambda x. impI \cdot \cdot \cdot \cdot (h \cdot x))$$

$$impI (Q): \lambda q. q \Lambda (c: -) P Q q. impI \cdot \cdot \cdot$$

$$impI: Null impI$$

$$mp (P, Q): \lambda pq. pq$$

$$\Lambda (c: -) (d: -) P Q pq (h: -) p. mp \cdot \cdot \cdot \cdot (spec \cdot \cdot \cdot p \cdot c \cdot h)$$

$$mp (P): Null$$

$$\Lambda (c: -) P Q (h: -) p. mp \cdot \cdot \cdot \cdot (spec \cdot \cdot \cdot p \cdot c \cdot h)$$

$$mp (Q): \lambda q. q \Lambda (c: -) P Q q. mp \cdot \cdot \cdot$$

$$mp: Null mp$$

$$allI (P): \lambda p. p \Lambda (c: -) P (d: -) p. allI \cdot \cdot \cdot d$$

$$allI: Null allI$$

$$spec (P): \lambda x p. p x \Lambda (c: -) P x (d: -) p. spec \cdot \cdot \cdot x \cdot d$$

$$spec: Null spec$$

$$exI (P): \lambda x p. (x, p) \Lambda (c: -) P x (d: -) p. exI-realizer \cdot P \cdot p \cdot x \cdot c \cdot d$$

$$exI: \lambda x. x \Lambda P x (c: -) (h: -). h$$

$$exE (P, Q): \lambda p pq. let (x, y) = p in pq x y$$

$$\Lambda (c: -) (d: -) P Q (e: -) p (h: -) pq. exE-realizer \cdot P \cdot p \cdot Q \cdot pq \cdot c \cdot e \cdot d \cdot h$$

$$exE (P): Null$$

$$\Lambda (c: -) P Q (d: -) p. exE-realizer' \cdot \cdot \cdot \cdot \cdot c \cdot d$$

$$exE (Q): \lambda x pq. pq x$$

$$\Lambda (c: -) P Q (d: -) x (h1: -) pq (h2: -). h2 \cdot x \cdot h1$$

$$exE: Null$$

$$\Lambda P Q (c: -) x (h1: -) (h2: -). h2 \cdot x \cdot h1$$

$$\text{conjI} (P, Q): \text{Pair}$$

$$\Lambda (c: -) (d: -) P Q p (h: -) q. \text{conjI-realizer} \cdot P \cdot p \cdot Q \cdot q \cdot c \cdot d \cdot h$$

$$\text{conjI} (P): \lambda p. p$$

$$\Lambda (c: -) P Q p. \text{conjI} \cdot - \cdot - \cdot -$$

$$\text{conjI} (Q): \lambda q. q$$

$$\Lambda (c: -) P Q (h: -) q. \text{conjI} \cdot - \cdot - \cdot - \cdot h$$

$$\text{conjI}: \text{Null conjI}$$

$$\text{conjunct1} (P, Q): \text{fst}$$

$$\Lambda (c: -) (d: -) P Q pq. \text{conjunct1} \cdot - \cdot - \cdot -$$

$$\text{conjunct1} (P): \lambda p. p$$

$$\Lambda (c: -) P Q p. \text{conjunct1} \cdot - \cdot - \cdot -$$

$$\text{conjunct1} (Q): \text{Null}$$

$$\Lambda (c: -) P Q q. \text{conjunct1} \cdot - \cdot - \cdot -$$

$$\text{conjunct1}: \text{Null conjunct1}$$

$$\text{conjunct2} (P, Q): \text{snd}$$

$$\Lambda (c: -) (d: -) P Q pq. \text{conjunct2} \cdot - \cdot - \cdot -$$

$$\text{conjunct2} (P): \text{Null}$$

$$\Lambda (c: -) P Q p. \text{conjunct2} \cdot - \cdot - \cdot -$$

$$\text{conjunct2} (Q): \lambda p. p$$

$$\Lambda (c: -) P Q p. \text{conjunct2} \cdot - \cdot - \cdot -$$

$$\text{conjunct2}: \text{Null conjunct2}$$

$$\text{disjI1} (P, Q): \text{Inl}$$

$$\Lambda (c: -) (d: -) P Q p. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{sum.cases-1} \cdot P \cdot - \cdot p \cdot \text{arity-type-bool} \cdot c \cdot d)$$

$$\text{disjI1} (P): \text{Some}$$

$$\Lambda (c: -) P Q p. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{option.cases-2} \cdot - \cdot - \cdot P \cdot p \cdot \text{arity-type-bool} \cdot c)$$

$$\text{disjI1} (Q): \text{None}$$

$$\Lambda (c: -) P Q. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{option.cases-1} \cdot - \cdot - \cdot - \cdot \text{arity-type-bool} \cdot c)$$

$$\text{disjI1}: \text{Left}$$

$$\Lambda P Q. \text{iffD2} \cdot - \cdot - \cdot - \cdot (\text{sumbool.cases-1} \cdot - \cdot - \cdot - \cdot \text{arity-type-bool})$$

$$\text{disjI2} (P, Q): \text{Inr}$$

$\Lambda (d: -) (c: -) Q P q. \text{iffD2} \cdot \dots \cdot (\text{sum.cases-2} \cdot \dots \cdot Q \cdot q \cdot \text{arity-type-bool} \cdot c \cdot d)$

$\text{disjI2} (P): \text{None}$

$\Lambda (c: -) Q P. \text{iffD2} \cdot \dots \cdot (\text{option.cases-1} \cdot \dots \cdot \text{arity-type-bool} \cdot c)$

$\text{disjI2} (Q): \text{Some}$

$\Lambda (c: -) Q P q. \text{iffD2} \cdot \dots \cdot (\text{option.cases-2} \cdot \dots \cdot Q \cdot q \cdot \text{arity-type-bool} \cdot c)$

$\text{disjI2}: \text{Right}$

$\Lambda Q P. \text{iffD2} \cdot \dots \cdot (\text{sumbool.cases-2} \cdot \dots \cdot \text{arity-type-bool})$

$\text{disjE} (P, Q, R): \lambda pq \text{ pr } qr.$

$(\text{case } pq \text{ of } \text{Inl } p \Rightarrow \text{pr } p \mid \text{Inr } q \Rightarrow \text{qr } q)$

$\Lambda (c: -) (d: -) (e: -) P Q R pq (h1: -) \text{pr} (h2: -) qr.$

$\text{disjE-realizer} \cdot \dots \cdot pq \cdot R \cdot \text{pr} \cdot \text{qr} \cdot c \cdot d \cdot e \cdot h1 \cdot h2$

$\text{disjE} (Q, R): \lambda pq \text{ pr } qr.$

$(\text{case } pq \text{ of } \text{None} \Rightarrow \text{pr} \mid \text{Some } q \Rightarrow \text{qr } q)$

$\Lambda (c: -) (d: -) P Q R pq (h1: -) \text{pr} (h2: -) qr.$

$\text{disjE-realizer2} \cdot \dots \cdot pq \cdot R \cdot \text{pr} \cdot \text{qr} \cdot c \cdot d \cdot h1 \cdot h2$

$\text{disjE} (P, R): \lambda pq \text{ pr } qr.$

$(\text{case } pq \text{ of } \text{None} \Rightarrow \text{qr} \mid \text{Some } p \Rightarrow \text{pr } p)$

$\Lambda (c: -) (d: -) P Q R pq (h1: -) \text{pr} (h2: -) qr (h3: -).$

$\text{disjE-realizer2} \cdot \dots \cdot pq \cdot R \cdot \text{qr} \cdot \text{pr} \cdot c \cdot d \cdot h1 \cdot h3 \cdot h2$

$\text{disjE} (R): \lambda pq \text{ pr } qr.$

$(\text{case } pq \text{ of } \text{Left} \Rightarrow \text{pr} \mid \text{Right} \Rightarrow \text{qr})$

$\Lambda (c: -) P Q R pq (h1: -) \text{pr} (h2: -) qr.$

$\text{disjE-realizer3} \cdot \dots \cdot pq \cdot R \cdot \text{pr} \cdot \text{qr} \cdot c \cdot h1 \cdot h2$

$\text{disjE} (P, Q): \text{Null}$

$\Lambda (c: -) (d: -) P Q R pq. \text{disjE-realizer} \cdot \dots \cdot pq \cdot (\lambda x. R) \cdot \dots \cdot c \cdot d \cdot \text{arity-type-bool}$

$\text{disjE} (Q): \text{Null}$

$\Lambda (c: -) P Q R pq. \text{disjE-realizer2} \cdot \dots \cdot pq \cdot (\lambda x. R) \cdot \dots \cdot c \cdot \text{arity-type-bool}$

$\text{disjE} (P): \text{Null}$

$\Lambda (c: -) P Q R pq (h1: -) (h2: -) (h3: -).$

$\text{disjE-realizer2} \cdot \dots \cdot pq \cdot (\lambda x. R) \cdot \dots \cdot c \cdot \text{arity-type-bool} \cdot h1 \cdot h3 \cdot h2$

$\text{disjE}: \text{Null}$

$\Lambda P Q R pq. \text{disjE-realizer3} \cdot \dots \cdot pq \cdot (\lambda x. R) \cdot \dots \cdot \text{arity-type-bool}$

$\text{FalseE} (P): \text{default}$

$\Lambda (c: -) P. \text{FalseE} \cdot -$

FalseE: *Null FalseE*

notI (*P*): *Null*

$\Lambda (c: -) P (h: -). \text{allI} \cdot - \cdot c \cdot (\Lambda x. \text{notI} \cdot - \cdot (h \cdot x))$

notI: *Null notI*

notE (*P*, *R*): $\lambda p. \text{default}$

$\Lambda (c: -) (d: -) P R (h: -) p. \text{notE} \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot p \cdot c \cdot h)$

notE (*P*): *Null*

$\Lambda (c: -) P R (h: -) p. \text{notE} \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot p \cdot c \cdot h)$

notE (*R*): *default*

$\Lambda (c: -) P R. \text{notE} \cdot - \cdot -$

notE: *Null notE*

subst (*P*): $\lambda s \ t \ ps. ps$

$\Lambda (c: -) s \ t \ P (d: -) (h: -) ps. \text{subst} \cdot s \cdot t \cdot P \ ps \cdot d \cdot h$

subst: *Null subst*

iffD1 (*P*, *Q*): *fst*

$\Lambda (d: -) (c: -) Q P pq (h: -) p. \\ mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot p \cdot d \cdot (\text{conjunct1} \cdot - \cdot - \cdot h))$

iffD1 (*P*): $\lambda p. p$

$\Lambda (c: -) Q P p (h: -). mp \cdot - \cdot - \cdot (\text{conjunct1} \cdot - \cdot - \cdot h)$

iffD1 (*Q*): *Null*

$\Lambda (c: -) Q P q1 (h: -) q2. \\ mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot q2 \cdot c \cdot (\text{conjunct1} \cdot - \cdot - \cdot h))$

iffD1: *Null iffD1*

iffD2 (*P*, *Q*): *snd*

$\Lambda (c: -) (d: -) P Q pq (h: -) q. \\ mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot q \cdot d \cdot (\text{conjunct2} \cdot - \cdot - \cdot h))$

iffD2 (*P*): $\lambda p. p$

$\Lambda (c: -) P Q p (h: -). mp \cdot - \cdot - \cdot (\text{conjunct2} \cdot - \cdot - \cdot h)$

iffD2 (*Q*): *Null*

$\Lambda (c: -) P Q q1 (h: -) q2. \\ mp \cdot - \cdot - \cdot (\text{spec} \cdot - \cdot q2 \cdot c \cdot (\text{conjunct2} \cdot - \cdot - \cdot h))$

iffD2: *Null iffD2*


```

iffI (P, Q): Pair
  Λ (c: -) (d: -) P Q pq (h1 : -) qp (h2 : -). conjI-realizer ·
    (λpq. ∀ x. P x → Q (pq x)) · pq ·
    (λqp. ∀ x. Q x → P (qp x)) · qp ·
    (arity-type-fun · c · d) ·
    (arity-type-fun · d · c) ·
    (allI · - · c · (Λ x. impI · - · - · (h1 · x))) ·
    (allI · - · d · (Λ x. impI · - · - · (h2 · x)))

iffI (P): λp. p
  Λ (c: -) P Q (h1 : -) p (h2 : -). conjI · - · - ·
    (allI · - · c · (Λ x. impI · - · - · (h1 · x))) ·
    (impI · - · - · h2)

iffI (Q): λq. q
  Λ (c: -) P Q q (h1 : -) (h2 : -). conjI · - · - ·
    (impI · - · - · h1) ·
    (allI · - · c · (Λ x. impI · - · - · (h2 · x)))

iffI: Null iffI

end

```

27 Plain: Plain HOL

```

theory Plain
imports Datatype Record FunDef Extraction
begin

```

Plain bootstrap of fundamental HOL tools and packages; does not include *Hilbert-Choice*.

```
ML << path-add ~~/src/HOL/Library >>
```

```
end
```

28 Big-Operators: Big operators and finite (non-empty) sets

```

theory Big-Operators
imports Plain
begin

```

28.1 Generic monoid operation over a set

```
no-notation times (infixl * 70)
```

no-notation *Groups.one* (1)

locale *comm-monoid-big* = *comm-monoid* +
fixes $F :: ('b \Rightarrow 'a) \Rightarrow 'b \text{ set} \Rightarrow 'a$
assumes $F\text{-eq}: F\ g\ A = (\text{if finite } A \text{ then fold-image } (op\ *)\ g\ 1\ A \text{ else } 1)$

sublocale *comm-monoid-big* < *folding-image* **proof**
qed (*simp add: F-eq*)

context *comm-monoid-big*
begin

lemma *infinite* [*simp*]:
 $\neg \text{finite } A \Longrightarrow F\ g\ A = 1$
by (*simp add: F-eq*)

end

for ad-hoc proofs for *fold-image*

lemma (**in** *comm-monoid-add*) *comm-monoid-mult*:
 $\text{class.comm-monoid-mult } (op\ +)\ 0$
proof qed (*auto intro: add-assoc add-commute*)

notation *times* (**infixl** * 70)
notation *Groups.one* (1)

28.2 Generalized summation over a set

definition (**in** *comm-monoid-add*) *setsum* :: $('b \Rightarrow 'a) \Rightarrow 'b \text{ set} \Rightarrow 'a$ **where**
 $\text{setsum } f\ A = (\text{if finite } A \text{ then fold-image } (op\ +)\ f\ 0\ A \text{ else } 0)$

sublocale *comm-monoid-add* < *setsum!*: *comm-monoid-big* $op\ +\ 0$ *setsum* **proof**
qed (*fact setsum-def*)

abbreviation
 $\text{Setsum } (\sum - [1000]\ 999)$ **where**
 $\sum A == \text{setsum } (\%x. x)\ A$

Now: lot's of fancy syntax. First, *setsum* $(\lambda x. e)\ A$ is written $\sum_{x \in A}. e$.

syntax
 $\text{-setsum} :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b::\text{comm-monoid-add} \quad ((\mathfrak{S}SUM\ -::-.)\ [0, 51, 10]\ 10)$
syntax (*xsymbols*)
 $\text{-setsum} :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b::\text{comm-monoid-add} \quad ((\mathfrak{S}\sum\ -\in-.)\ [0, 51, 10]\ 10)$
syntax (*HTML output*)
 $\text{-setsum} :: \text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow 'b \Rightarrow 'b::\text{comm-monoid-add} \quad ((\mathfrak{S}\sum\ -\in-.)\ [0, 51, 10]\ 10)$

translations — Beware of argument permutation!

$SUM\ i:A. b == CONST\ setsum\ (\%i. b)\ A$

$\sum\ i \in A. b == CONST\ setsum\ (\%i. b)\ A$

Instead of $\sum\ x \in \{x. P\}. e$ we introduce the shorter $\sum\ x|P. e$.

syntax

$-qsetsum :: pttrn \Rightarrow bool \Rightarrow 'a \Rightarrow 'a\ ((3SUM - | / - / -)\ [0,0,10]\ 10)$

syntax (*xsymbols*)

$-qsetsum :: pttrn \Rightarrow bool \Rightarrow 'a \Rightarrow 'a\ ((3\sum - | (-) / -)\ [0,0,10]\ 10)$

syntax (*HTML output*)

$-qsetsum :: pttrn \Rightarrow bool \Rightarrow 'a \Rightarrow 'a\ ((3\sum - | (-) / -)\ [0,0,10]\ 10)$

translations

$SUM\ x|P. t \Rightarrow CONST\ setsum\ (\%x. t)\ \{x. P\}$

$\sum\ x|P. t \Rightarrow CONST\ setsum\ (\%x. t)\ \{x. P\}$

print-translation \ll

let

fun setsum-tr' [Abs (x, Tx, t), Const (@{const-syntax Collect}, -) \$ Abs (y, Ty, P)] =

if x <> y then raise Match

else

let

val x' = Syntax.mark-bound x;

val t' = subst-bound (x', t);

val P' = subst-bound (x', P);

in Syntax.const @ {syntax-const -qsetsum} \$ Syntax.mark-bound x \$ P' \$

t' end

| setsum-tr' - = raise Match;

in [(@ {const-syntax setsum}, setsum-tr')] end

\gg

lemma *setsum-empty:*

setsum f {} = 0

by (*fact setsum.empty*)

lemma *setsum-insert:*

finite F ==> a \notin F ==> setsum f (insert a F) = f a + setsum f F

by (*fact setsum.insert*)

lemma *setsum-infinite:*

\sim finite A ==> setsum f A = 0

by (*fact setsum.infinite*)

lemma (*in comm-monoid-add*) *setsum-reindex:*

assumes *inj-on f B* **shows** *setsum h (f ` B) = setsum (h \circ f) B*

proof —

interpret *comm-monoid-mult op + 0* **by** (*fact comm-monoid-mult*)

from *assms* **show** *?thesis* **by** (*auto simp add: setsum-def fold-image-reindex*)

dest!:(finite-imageD)
qed

lemma (in *comm-monoid-add*) *setsum-reindex-id*:
inj-on f B ==> setsum f B = setsum id (f ‘ B)
by (*simp add: setsum-reindex*)

lemma (in *comm-monoid-add*) *setsum-reindex-nonzero*:
assumes *fS: finite S*
and *nz: $\bigwedge x y. x \in S \implies y \in S \implies x \neq y \implies f x = f y \implies h (f x) = 0$*
shows *setsum h (f ‘ S) = setsum (h o f) S*
using *nz*
proof(*induct rule: finite-induct[OF fS]*)
case 1 thus ?case by simp
next
case (*2 x F*)
{assume *fxF: $f x \in f ‘ F$ hence $\exists y \in F. f y = f x$ by auto*
then obtain *y where $y: y \in F f x = f y$ by auto*
from *2.hyps y have $xy: x \neq y$ by auto*

from *2.prem[of x y] 2.hyps xy y have h0: $h (f x) = 0$ by simp*
have *setsum h (f ‘ insert x F) = setsum h (f ‘ F) using fxF by auto*
also have *... = setsum (h o f) (insert x F)*
unfolding *setsum.insert[OF $\langle \text{finite } F \rangle \langle x \notin F \rangle$]*
using *h0*
apply *simp*
apply (*rule 2.hyps(3)*)
apply (*rule-tac y=y in 2.prem*)
apply *simp-all*
done
finally have ?case .}
moreover
{assume *fxF: $f x \notin f ‘ F$*
have *setsum h (f ‘ insert x F) = h (f x) + setsum h (f ‘ F)*
using *fxF 2.hyps by simp*
also have *... = setsum (h o f) (insert x F)*
unfolding *setsum.insert[OF $\langle \text{finite } F \rangle \langle x \notin F \rangle$]*
apply *simp*
apply (*rule cong [OF refl [of op + (h (f x))]]*)
apply (*rule 2.hyps(3)*)
apply (*rule-tac y=y in 2.prem*)
apply *simp-all*
done
finally have ?case .}
ultimately show ?case by blast
qed

lemma (in *comm-monoid-add*) *setsum-cong*:
A = B ==> ($\llbracket x. x:B ==> f x = g x \rrbracket ==> \text{setsum } f A = \text{setsum } g B$)

```

by (cases finite A) (auto intro: setsum.cong)

lemma (in comm-monoid-add) strong-setsum-cong [cong]:
  A = B ==> (!!x. x:B =simp=> f x = g x)
  ==> setsum (%x. f x) A = setsum (%x. g x) B
by (rule setsum-cong) (simp-all add: simp-implies-def)

lemma (in comm-monoid-add) setsum-cong2: [!x. x ∈ A ==> f x = g x] ==>
  setsum f A = setsum g A
by (auto intro: setsum-cong)

lemma (in comm-monoid-add) setsum-reindex-cong:
  [[inj-on f A; B = f ' A; !!a. a:A ==> g a = h (f a)]]
  ==> setsum h B = setsum g A
by (simp add: setsum-reindex cong: setsum-cong)

lemma (in comm-monoid-add) setsum-0[simp]: setsum (%i. 0) A = 0
by (cases finite A) (erule finite-induct, auto)

lemma (in comm-monoid-add) setsum-0': ALL a:A. f a = 0 ==> setsum f A =
0
by (simp add: setsum-cong)

lemma (in comm-monoid-add) setsum-Un-Int: finite A ==> finite B ==>
  setsum g (A Un B) + setsum g (A Int B) = setsum g A + setsum g B
— The reversed orientation looks more natural, but LOOPS as a simp rule!
by (fact setsum.union-inter)

lemma (in comm-monoid-add) setsum-Un-disjoint: finite A ==> finite B
==> A Int B = {} ==> setsum g (A Un B) = setsum g A + setsum g B
by (fact setsum.union-disjoint)

lemma setsum-mono-zero-left:
  assumes fT: finite T and ST: S ⊆ T
  and z: ∀ i ∈ T - S. f i = 0
  shows setsum f S = setsum f T
proof—
  have eq: T = S ∪ (T - S) using ST by blast
  have d: S ∩ (T - S) = {} using ST by blast
  from fT ST have f: finite S finite (T - S) by (auto intro: finite-subset)
  show ?thesis
  by (simp add: setsum-Un-disjoint[OF f d, unfolded eq[symmetric]] setsum-0'[OF
z])
qed

lemma setsum-mono-zero-right:
  finite T ==> S ⊆ T ==> ∀ i ∈ T - S. f i = 0 ==> setsum f T = setsum f S
by (blast intro!: setsum-mono-zero-left[symmetric])

```

lemma *setsum-mono-zero-cong-left*:
 assumes fT : *finite* T and ST : $S \subseteq T$
 and z : $\forall i \in T - S. g\ i = 0$
 and fg : $\bigwedge x. x \in S \implies f\ x = g\ x$
 shows $\text{setsum } f\ S = \text{setsum } g\ T$
proof–
 have eq : $T = S \cup (T - S)$ **using** ST **by** *blast*
 have d : $S \cap (T - S) = \{\}$ **using** ST **by** *blast*
 from $fT\ ST$ have f : *finite* S *finite* $(T - S)$ **by** (*auto intro: finite-subset*)
 show *?thesis*
 using fg **by** (*simp add: setsum-Un-disjoint[OF f d, unfolded eq[symmetric]]*)
setsum-0[$OF\ z$])
qed

lemma *setsum-mono-zero-cong-right*:
 assumes fT : *finite* T and ST : $S \subseteq T$
 and z : $\forall i \in T - S. f\ i = 0$
 and fg : $\bigwedge x. x \in S \implies f\ x = g\ x$
 shows $\text{setsum } f\ T = \text{setsum } g\ S$
using *setsum-mono-zero-cong-left*[$OF\ fT\ ST\ z$] fg [*symmetric*] **by** *auto*

lemma *setsum-delta*:
 assumes fS : *finite* S
 shows $\text{setsum } (\lambda k. \text{if } k=a \text{ then } b\ k \text{ else } 0)\ S = (\text{if } a \in S \text{ then } b\ a \text{ else } 0)$
proof–
 let $?f = (\lambda k. \text{if } k=a \text{ then } b\ k \text{ else } 0)$
 {**assume** a : $a \notin S$
 hence $\forall k \in S. ?f\ k = 0$ **by** *simp*
 hence *?thesis* **using** a **by** *simp*}

moreover
 {**assume** a : $a \in S$
 let $?A = S - \{a\}$
 let $?B = \{a\}$
 have eq : $S = ?A \cup ?B$ **using** a **by** *blast*
 have dj : $?A \cap ?B = \{\}$ **by** *simp*
 from fS have fAB : *finite* $?A$ *finite* $?B$ **by** *auto*
 have $\text{setsum } ?f\ S = \text{setsum } ?f\ ?A + \text{setsum } ?f\ ?B$
 using *setsum-Un-disjoint*[$OF\ fAB\ dj$, *of* $?f$, *unfolded eq[symmetric]*]
 by *simp*
 then have *?thesis* **using** a **by** *simp*}

ultimately show *?thesis* **by** *blast*
qed

lemma *setsum-delta'*:
 assumes fS : *finite* S **shows**
 $\text{setsum } (\lambda k. \text{if } a = k \text{ then } b\ k \text{ else } 0)\ S =$
 $(\text{if } a \in S \text{ then } b\ a \text{ else } 0)$
using *setsum-delta*[$OF\ fS$, *of* $a\ b$, *symmetric*]
by (*auto intro: setsum-cong*)

```

lemma setsum-restrict-set:
  assumes fA: finite A
  shows setsum f (A ∩ B) = setsum (λx. if x ∈ B then f x else 0) A
proof –
  from fA have fab: finite (A ∩ B) by auto
  have aba: A ∩ B ⊆ A by blast
  let ?g = λx. if x ∈ A ∩ B then f x else 0
  from setsum-mono-zero-left[OF fA aba, of ?g]
  show ?thesis by simp
qed

```

```

lemma setsum-cases:
  assumes fA: finite A
  shows setsum (λx. if P x then f x else g x) A =
    setsum f (A ∩ {x. P x}) + setsum g (A ∩ -{x. P x})
proof –
  have a: A = A ∩ {x. P x} ∪ A ∩ -{x. P x}
    (A ∩ {x. P x}) ∩ (A ∩ -{x. P x}) = {}
    by blast+
  from fA
  have f: finite (A ∩ {x. P x}) finite (A ∩ -{x. P x}) by auto
  let ?g = λx. if P x then f x else g x
  from setsum-Un-disjoint[OF f a(2), of ?g] a(1)
  show ?thesis by simp
qed

```

```

lemma (in comm-monoid-add) setsum-UN-disjoint:
  assumes finite I and ALL i:I. finite (A i)
  and ALL i:I. ALL j:I. i ≠ j --> A i Int A j = {}
  shows setsum f (UNION I A) = (∑ i∈I. setsum f (A i))
proof –
  interpret comm-monoid-mult op + 0 by (fact comm-monoid-mult)
  from assms show ?thesis by (simp add: setsum-def fold-image-UN-disjoint cong: setsum-cong)
qed

```

No need to assume that C is finite. If infinite, the rhs is directly 0, and $\bigcup C$ is also infinite, hence the lhs is also 0.

```

lemma setsum-Union-disjoint:
  [| (ALL A:C. finite A);
    (ALL A:C. ALL B:C. A ≠ B --> A Int B = {}) |]
  ==> setsum f (Union C) = setsum (setsum f) C
apply (cases finite C)
prefer 2 apply (force dest: finite-UnionD simp add: setsum-def)
apply (frule setsum-UN-disjoint [of C id f])
apply (unfold Union-def id-def, assumption+)
done

```

```

lemma (in comm-monoid-add) setsum-Sigma:
  assumes finite A and ALL x:A. finite (B x)
  shows  $(\sum x \in A. (\sum y \in B x. f x y)) = (\sum (x,y) \in (\text{SIGMA } x:A. B x). f x y)$ 
proof –
  interpret comm-monoid-mult op + 0 by (fact comm-monoid-mult)
  from assms show ?thesis by (simp add: setsum-def fold-image-Sigma split-def
  cong: setsum-cong)
qed

```

Here we can eliminate the finiteness assumptions, by cases.

```

lemma setsum-cartesian-product:
   $(\sum x \in A. (\sum y \in B. f x y)) = (\sum (x,y) \in A <*> B. f x y)$ 
apply (cases finite A)
apply (cases finite B)
apply (simp add: setsum-Sigma)
apply (cases A={}, simp)
apply (simp)
apply (auto simp add: setsum-def
  dest: finite-cartesian-productD1 finite-cartesian-productD2)
done

```

```

lemma (in comm-monoid-add) setsum-addf: setsum (%x. f x + g x) A = (setsum
f A + setsum g A)
  by (cases finite A) (simp-all add: setsum.distrib)

```

28.2.1 Properties in more restricted classes of structures

```

lemma setsum-SucD: setsum f A = Suc n ==> EX a:A. 0 < f a
apply (case-tac finite A)
prefer 2 apply (simp add: setsum-def)
apply (erule rev-mp)
apply (erule finite-induct, auto)
done

```

```

lemma setsum-eq-0-iff [simp]:
  finite F ==> (setsum f F = 0) = (ALL a:F. f a = (0::nat))
by (induct set: finite) auto

```

```

lemma setsum-eq-Suc0-iff: finite A ==>
  (setsum f A = Suc 0) = (EX a:A. f a = Suc 0 & (ALL b:A. a ≠ b → f b = 0))
apply (erule finite-induct)
apply (auto simp add: add-is-1)
done

```

```

lemmas setsum-eq-1-iff = setsum-eq-Suc0-iff[simplified One-nat-def[symmetric]]

```

```

lemma setsum-Un-nat: finite A ==> finite B ==>

```


($\text{setsum } f \ (A \ \text{Un } B) :: \text{nat}$) = $\text{setsum } f \ A + \text{setsum } f \ B - \text{setsum } f \ (A \ \text{Int } B)$
 — For the natural numbers, we have subtraction.

by (*subst setsum-Un-Int [symmetric], auto simp add: algebra-simps*)

lemma *setsum-Un: finite A ==> finite B ==>*

($\text{setsum } f \ (A \ \text{Un } B) :: 'a :: \text{ab-group-add}$) =
 $\text{setsum } f \ A + \text{setsum } f \ B - \text{setsum } f \ (A \ \text{Int } B)$

by (*subst setsum-Un-Int [symmetric], auto simp add: algebra-simps*)

lemma (*in comm-monoid-add*) *setsum-eq-general-reverses:*

assumes *fS: finite S and fT: finite T*

and *kh: $\bigwedge y. y \in T \implies k \ y \in S \wedge h \ (k \ y) = y$*

and *hk: $\bigwedge x. x \in S \implies h \ x \in T \wedge k \ (h \ x) = x \wedge g \ (h \ x) = f \ x$*

shows $\text{setsum } f \ S = \text{setsum } g \ T$

proof —

interpret *comm-monoid-mult op + 0 by (fact comm-monoid-mult)*

show *?thesis*

apply (*simp add: setsum-def fS fT*)

apply (*rule fold-image-eq-general-inverses*)

apply (*rule fS*)

apply (*erule kh*)

apply (*erule hk*)

done

qed

lemma (*in comm-monoid-add*) *setsum-Un-zero:*

assumes *fS: finite S and fT: finite T*

and *I0: $\forall x \in S \cap T. f \ x = 0$*

shows $\text{setsum } f \ (S \cup T) = \text{setsum } f \ S + \text{setsum } f \ T$

proof —

interpret *comm-monoid-mult op + 0 by (fact comm-monoid-mult)*

show *?thesis*

using *fS fT*

apply (*simp add: setsum-def*)

apply (*rule fold-image-Un-one*)

using *I0 by auto*

qed

lemma *setsum-UNION-zero:*

assumes *fS: finite S and fSS: $\forall T \in S. \text{finite } T$*

and *f0: $\bigwedge T1 \ T2 \ x. T1 \in S \implies T2 \in S \implies T1 \neq T2 \implies x \in T1 \implies x \in T2 \implies f \ x = 0$*

shows $\text{setsum } f \ (\bigcup S) = \text{setsum } (\lambda T. \text{setsum } f \ T) \ S$

using *fSS f0*

proof (*induct rule: finite-induct[OF fS]*)

case 1 **thus** *?case by simp*

next

case (2 *T F*)

then have *fTF: finite T $\forall T \in F. \text{finite } T$ finite F and TF: $T \notin F$*

and H : $\text{setsum } f (\bigcup F) = \text{setsum } (\text{setsum } f) F$ **by** *auto*
from fTF **have** fUF : $\text{finite } (\bigcup F)$ **by** *auto*
from $2.\text{prems } TF fTF$
show $?case$
by (*auto simp add: H[symmetric] intro: setsum-Un-zero[OF fTF(1) fUF, of f]*)
qed

lemma *setsum-diff1-nat*: $(\text{setsum } f (A - \{a\}) :: \text{nat}) =$
 $(\text{if } a:A \text{ then } \text{setsum } f A - f a \text{ else } \text{setsum } f A)$
apply (*case-tac finite A*)
prefer 2 **apply** (*simp add: setsum-def*)
apply (*erule finite-induct*)
apply (*auto simp add: insert-Diff-if*)
apply (*drule-tac a = a in mk-disjoint-insert, auto*)
done

lemma *setsum-diff1*: $\text{finite } A \implies$
 $(\text{setsum } f (A - \{a\}) :: ('a::\text{ab-group-add})) =$
 $(\text{if } a:A \text{ then } \text{setsum } f A - f a \text{ else } \text{setsum } f A)$
by (*erule finite-induct (auto simp add: insert-Diff-if)*)

lemma *setsum-diff1 '[rule-format]*:
 $\text{finite } A \implies a \in A \longrightarrow (\sum x \in A. f x) = f a + (\sum x \in (A - \{a\}). f x)$
apply (*erule finite-induct[where F=A and P=% A. (a \in A \longrightarrow (\sum x \in A. f x) = f a + (\sum x \in (A - \{a\}). f x))]*)
apply (*auto simp add: insert-Diff-if add-ac*)
done

lemma *setsum-diff1-ring*: **assumes** $\text{finite } A \ a \in A$
shows $\text{setsum } f (A - \{a\}) = \text{setsum } f A - (f a :: 'a::\text{ring})$
unfolding *setsum-diff1 '[OF assms]* **by** *auto*

lemma *setsum-diff-nat*:
assumes $\text{finite } B \text{ and } B \subseteq A$
shows $(\text{setsum } f (A - B) :: \text{nat}) = (\text{setsum } f A) - (\text{setsum } f B)$
using *assms*
proof *induct*
show $\text{setsum } f (A - \{\}) = (\text{setsum } f A) - (\text{setsum } f \{\})$ **by** *simp*
next
fix $F x$ **assume** finF : $\text{finite } F$ **and** $x\text{notinF}$: $x \notin F$
and $x\text{FinA}$: $\text{insert } x F \subseteq A$
and IH : $F \subseteq A \implies \text{setsum } f (A - F) = \text{setsum } f A - \text{setsum } f F$
from $x\text{notinF } x\text{FinA}$ **have** $x\text{inAF}$: $x \in (A - F)$ **by** *simp*
from $x\text{inAF}$ **have** A : $\text{setsum } f ((A - F) - \{x\}) = \text{setsum } f (A - F) - f x$
by (*simp add: setsum-diff1-nat*)
from $x\text{FinA}$ **have** $F \subseteq A$ **by** *simp*

```

with  $IH$  have  $\text{setsum } f (A - F) = \text{setsum } f A - \text{setsum } f F$  by simp
with  $A$  have  $B$ :  $\text{setsum } f ((A - F) - \{x\}) = \text{setsum } f A - \text{setsum } f F - f x$ 
  by simp
from  $xnotinF$  have  $A - \text{insert } x F = (A - F) - \{x\}$  by auto
with  $B$  have  $C$ :  $\text{setsum } f (A - \text{insert } x F) = \text{setsum } f A - \text{setsum } f F - f x$ 
  by simp
from  $finF$   $xnotinF$  have  $\text{setsum } f (\text{insert } x F) = \text{setsum } f F + f x$  by simp
with  $C$  have  $\text{setsum } f (A - \text{insert } x F) = \text{setsum } f A - \text{setsum } f (\text{insert } x F)$ 
  by simp
thus  $\text{setsum } f (A - \text{insert } x F) = \text{setsum } f A - \text{setsum } f (\text{insert } x F)$  by simp
qed

```

lemma *setsum-diff*:

```

assumes  $le$ :  $\text{finite } A \ B \subseteq A$ 
shows  $\text{setsum } f (A - B) = \text{setsum } f A - ((\text{setsum } f B)::('a::\text{ab-group-add}))$ 
proof -
  from  $le$  have  $\text{finite} B$ :  $\text{finite } B$  using finite-subset by auto
  show  $?thesis$  using  $\text{finite} B$   $le$ 
  proof induct
    case empty
    thus  $?case$  by auto
  next
    case  $(\text{insert } x F)$ 
    thus  $?case$  using  $le$   $\text{finite} B$ 
    by (simp add: Diff-insert[where  $a=x$  and  $B=F$ ] setsum-diff1 insert-absorb)
  qed
qed

```

lemma *setsum-mono*:

```

assumes  $le$ :  $\bigwedge i. i \in K \implies f(i::'a) \leq ((g\ i)::('b::\{\text{comm-monoid-add}, \text{ordered-ab-semigroup-add}\}))$ 
shows  $(\sum i \in K. f\ i) \leq (\sum i \in K. g\ i)$ 
proof (cases finite K)
  case True
  thus  $?thesis$  using  $le$ 
  proof induct
    case empty
    thus  $?case$  by simp
  next
    case insert
    thus  $?case$  using add-mono by fastsimp
  qed
next
  case False
  thus  $?thesis$ 
  by (simp add: setsum-def)
qed

```

lemma *setsum-strict-mono*:

```

fixes  $f :: 'a \Rightarrow 'b::\{\text{ordered-cancel-ab-semigroup-add}, \text{comm-monoid-add}\}$ 

```

```

    assumes finite A A ≠ {}
    and !!x. x:A ⇒ f x < g x
    shows setsum f A < setsum g A
    using prems
  proof (induct rule: finite-ne-induct)
    case singleton thus ?case by simp
  next
    case insert thus ?case by (auto simp: add-strict-mono)
  qed

lemma setsum-negf:
  setsum (%x. - (f x)::'a::ab-group-add) A = - setsum f A
proof (cases finite A)
  case True thus ?thesis by (induct set: finite) auto
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-subtractf:
  setsum (%x. ((f x)::'a::ab-group-add) - g x) A =
    setsum f A - setsum g A
proof (cases finite A)
  case True thus ?thesis by (simp add: diff-minus setsum-addf setsum-negf)
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-nonneg:
  assumes nn: ∀ x∈A. (0::'a::{ordered-ab-semigroup-add,comm-monoid-add}) ≤ f
  x
  shows 0 ≤ setsum f A
proof (cases finite A)
  case True thus ?thesis using nn
  proof induct
    case empty then show ?case by simp
  next
    case (insert x F)
    then have 0 + 0 ≤ f x + setsum f F by (blast intro: add-mono)
    with insert show ?case by simp
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-nonpos:
  assumes np: ∀ x∈A. f x ≤ (0::'a::{ordered-ab-semigroup-add,comm-monoid-add})
  shows setsum f A ≤ 0
proof (cases finite A)
  case True thus ?thesis using np

```

```

proof induct
  case empty then show ?case by simp
next
  case (insert x F)
  then have  $f\ x + \text{setsum } f\ F \leq 0 + 0$  by (blast intro: add-mono)
  with insert show ?case by simp
qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma setsum-nonneg-leq-bound:
  fixes  $f :: 'a \Rightarrow 'b::\{\text{ordered-ab-group-add}\}$ 
  assumes  $\text{finite } s \wedge i. i \in s \implies f\ i \geq 0$  ( $\sum i \in s. f\ i = B$   $i \in s$ )
  shows  $f\ i \leq B$ 
proof –
  have  $0 \leq (\sum i \in s - \{i\}. f\ i)$  and  $0 \leq f\ i$ 
    using assms by (auto intro!: setsum-nonneg)
  moreover
  have  $(\sum i \in s - \{i\}. f\ i) + f\ i = B$ 
    using assms by (simp add: setsum-diff1)
  ultimately show ?thesis by auto
qed

```

```

lemma setsum-nonneg-0:
  fixes  $f :: 'a \Rightarrow 'b::\{\text{ordered-ab-group-add}\}$ 
  assumes  $\text{finite } s$  and  $\text{pos: } \bigwedge i. i \in s \implies f\ i \geq 0$ 
  and  $(\sum i \in s. f\ i) = 0$  and  $i: i \in s$ 
  shows  $f\ i = 0$ 
  using setsum-nonneg-leq-bound [OF assms] pos [OF i] by auto

```

```

lemma setsum-mono2:
  fixes  $f :: 'a \Rightarrow 'b :: \text{ordered-comm-monoid-add}$ 
  assumes fin:  $\text{finite } B$  and sub:  $A \subseteq B$  and nn:  $\bigwedge b. b \in B - A \implies 0 \leq f\ b$ 
  shows  $\text{setsum } f\ A \leq \text{setsum } f\ B$ 
proof –
  have  $\text{setsum } f\ A \leq \text{setsum } f\ A + \text{setsum } f\ (B - A)$ 
    by (simp add: add-increasing2 [OF setsum-nonneg] nn Ball-def)
  also have  $\dots = \text{setsum } f\ (A \cup (B - A))$  using fin finite-subset [OF sub fin]
    by (simp add: setsum-Un-disjoint del: Un-Diff-cancel)
  also have  $A \cup (B - A) = B$  using sub by blast
  finally show ?thesis .
qed

```

```

lemma setsum-mono3:  $\text{finite } B \implies A \leq B \implies$ 
   $\text{ALL } x: B - A.$ 
   $0 \leq ((f\ x)::'a::\{\text{comm-monoid-add, ordered-ab-semigroup-add}\}) \implies$ 
   $\text{setsum } f\ A \leq \text{setsum } f\ B$ 
  apply (subgoal-tac  $\text{setsum } f\ B = \text{setsum } f\ A + \text{setsum } f\ (B - A)$ )

```

```

apply (erule ssubst)
apply (subgoal-tac setsum f A + 0 <= setsum f A + setsum f (B - A))
apply simp
apply (rule add-left-mono)
apply (erule setsum-nonneg)
apply (subst setsum-Un-disjoint [THEN sym])
apply (erule finite-subset, assumption)
apply (rule finite-subset)
prefer 2
apply assumption
apply (auto simp add: sup-absorb2)
done

```

```

lemma setsum-right-distrib:
  fixes f :: 'a => ('b::semiring-0)
  shows r * setsum f A = setsum (%n. r * f n) A
proof (cases finite A)
  case True
  thus ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert x A) thus ?case by (simp add: right-distrib)
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma setsum-left-distrib:
  setsum f A * (r::'a::semiring-0) = (∑ n∈A. f n * r)
proof (cases finite A)
  case True
  then show ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert x A) thus ?case by (simp add: left-distrib)
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

```

```

lemma setsum-divide-distrib:
  setsum f A / (r::'a::field) = (∑ n∈A. f n / r)
proof (cases finite A)
  case True
  then show ?thesis
  proof induct
    case empty thus ?case by simp

```

```

next
  case (insert x A) thus ?case by (simp add: add-divide-distrib)
qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-abs[iff]:
  fixes f :: 'a => ('b::ordered-ab-group-add-abs)
  shows abs (setsum f A) ≤ setsum (%i. abs(f i)) A
proof (cases finite A)
  case True
  thus ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert x A)
    thus ?case by (auto intro: abs-triangle-ineq order-trans)
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-abs-ge-zero[iff]:
  fixes f :: 'a => ('b::ordered-ab-group-add-abs)
  shows 0 ≤ setsum (%i. abs(f i)) A
proof (cases finite A)
  case True
  thus ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert x A) thus ?case by auto
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma abs-setsum-abs[simp]:
  fixes f :: 'a => ('b::ordered-ab-group-add-abs)
  shows abs (∑ a∈A. abs(f a)) = (∑ a∈A. abs(f a))
proof (cases finite A)
  case True
  thus ?thesis
  proof induct
    case empty thus ?case by simp
  next
    case (insert a A)
    hence |∑ a∈insert a A. |f a|| = ||f a| + (∑ a∈A. |f a|)| by simp

```

```

    also have ... = ||f a| + | $\sum_{a \in A} f a$ || using insert by simp
    also have ... = |f a| + | $\sum_{a \in A} f a$ |
      by (simp del: abs-of-nonneg)
    also have ... = ( $\sum_{a \in \text{insert } a \ A} |f a|$ ) using insert by simp
    finally show ?case .
  qed
next
  case False thus ?thesis by (simp add: setsum-def)
qed

lemma setsum-Plus:
  fixes A :: 'a set and B :: 'b set
  assumes fin: finite A finite B
  shows setsum f (A <+> B) = setsum (f o Inl) A + setsum (f o Inr) B
proof -
  have A <+> B = Inl ' A  $\cup$  Inr ' B by auto
  moreover from fin have finite (Inl ' A :: ('a + 'b) set) finite (Inr ' B :: ('a + 'b) set)
  by (auto intro: finite-imageI)
  moreover have Inl ' A  $\cap$  Inr ' B = ({}) :: ('a + 'b) set by auto
  moreover have inj-on (Inl :: 'a  $\Rightarrow$  'a + 'b) A inj-on (Inr :: 'b  $\Rightarrow$  'a + 'b) B
  by (auto intro: inj-onI)
  ultimately show ?thesis using fin by (simp add: setsum-Un-disjoint setsum-reindex)
qed

```

Commuting outer and inner summation

```

lemma setsum-commute:
  ( $\sum_{i \in A} \sum_{j \in B} f i j$ ) = ( $\sum_{j \in B} \sum_{i \in A} f i j$ )
proof (simp add: setsum-cartesian-product)
  have ( $\sum_{(x,y) \in A <*> B} f x y$ ) =
    ( $\sum_{(y,x) \in (\% (i,j). (j, i)) ' (A \times B)} f x y$ )
    (is ?s = -)
  apply (simp add: setsum-reindex [where f =  $\% (i,j). (j, i)$ ] swap-inj-on)
  apply (simp add: split-def)
  done
  also have ... = ( $\sum_{(y,x) \in B \times A} f x y$ )
    (is - = ?t)
  apply (simp add: swap-product)
  done
  finally show ?s = ?t .
qed

```

```

lemma setsum-product:
  fixes f :: 'a => ('b::semiring-0)
  shows setsum f A * setsum g B = ( $\sum_{i \in A} \sum_{j \in B} f i * g j$ )
  by (simp add: setsum-right-distrib setsum-left-distrib) (rule setsum-commute)

```

```

lemma setsum-mult-setsum-if-inj:
  fixes f :: 'a => ('b::semiring-0)

```


shows *inj-on* ($\%(a,b). f\ a * g\ b$) ($A \times B$) $==>$
 $setsum\ f\ A * setsum\ g\ B = setsum\ id\ \{f\ a * g\ b \mid a\ b. a:A \ \&\ b:B\}$
by (*auto simp: setsum-product setsum-cartesian-product*
intro!: setsum-reindex-cong[symmetric])

lemma *setsum-constant* [*simp*]: $(\sum x \in A. y) = of_nat(card\ A) * y$
apply (*cases finite A*)
apply (*erule finite-induct*)
apply (*auto simp add: algebra-simps*)
done

lemma *setsum-bounded*:
assumes *le*: $\bigwedge i. i \in A \implies f\ i \leq (K :: 'a :: \{semiring-1, ordered-ab-semigroup-add\})$
shows $setsum\ f\ A \leq of_nat(card\ A) * K$
proof (*cases finite A*)
case *True*
thus *?thesis* **using** *le* *setsum-mono* [**where** $K=A$ **and** $g = \%x. K$] **by** *simp*
next
case *False* **thus** *?thesis* **by** (*simp add: setsum-def*)
qed

28.2.2 Cardinality as special case of *setsum*

lemma *card-eq-setsum*:
 $card\ A = setsum\ (\lambda x. 1)\ A$
by (*simp only: card-def setsum-def*)

lemma *card-UN-disjoint*:
 $finite\ I \implies (ALL\ i:I. finite\ (A\ i)) \implies$
 $(ALL\ i:I. ALL\ j:I. i \neq j \longrightarrow A\ i\ Int\ A\ j = \{\})$
 $\implies card\ (UNION\ I\ A) = (\sum i \in I. card\ (A\ i))$
apply (*simp add: card-eq-setsum del: setsum-constant*)
apply (*subgoal-tac*
 $setsum\ (\%i. card\ (A\ i))\ I = setsum\ (\%i. (setsum\ (\%x. 1)\ (A\ i)))\ I$)
apply (*simp add: setsum-UN-disjoint del: setsum-constant*)
apply (*simp cong: setsum-cong*)
done

lemma *card-Union-disjoint*:
 $finite\ C \implies (ALL\ A:C. finite\ A) \implies$
 $(ALL\ A:C. ALL\ B:C. A \neq B \longrightarrow A\ Int\ B = \{\})$
 $\implies card\ (Union\ C) = setsum\ card\ C$
apply (*frule card-UN-disjoint [of C id]*)
apply (*unfold Union-def id-def, assumption+*)
done

The image of a finite set can be expressed using *fold-image*.

lemma *image-eq-fold-image*:
 $finite\ A \implies f\ ` A = fold_image\ (op\ Un)\ (\%x. \{f\ x\})\ \{\}\ A$

```

proof (induct rule: finite-induct)
  case empty then show ?case by simp
next
  interpret ab-semigroup-mult op Un
  proof qed auto
  case insert
  then show ?case by simp
qed

```

28.2.3 Cardinality of products

```

lemma card-SigmaI [simp]:
  [| finite A; ALL a:A. finite (B a) |]
  ==> card (SIGMA x: A. B x) = (SUM a∈A. card (B a))
by(simp add: card-eq-setsum setsum-Sigma del:setsum-constant)

```

```

lemma card-cartesian-product: card (A <*> B) = card(A) * card(B)
by (cases finite A ∧ finite B)
  (auto simp add: card-eq-0-iff dest: finite-cartesian-productD1 finite-cartesian-productD2)

```

```

lemma card-cartesian-product-singleton: card({x} <*> A) = card(A)
by (simp add: card-cartesian-product)

```

28.3 Generalized product over a set

```

definition (in comm-monoid-mult) setprod :: ('b => 'a) => 'b set => 'a where
  setprod f A = (if finite A then fold-image (op *) f 1 A else 1)

```

```

sublocale comm-monoid-mult < setprod!: comm-monoid-big op * 1 setprod proof
qed (fact setprod-def)

```

abbreviation

```

Setprod (Π - [1000] 999) where
  Π A == setprod (%x. x) A

```

syntax

```

-setprod :: pttrn => 'a set => 'b => 'b::comm-monoid-mult ((3PROD -:-. -)
[0, 51, 10] 10)

```

syntax (xsymbols)

```

-setprod :: pttrn => 'a set => 'b => 'b::comm-monoid-mult ((3Π -∈-. -) [0,
51, 10] 10)

```

syntax (HTML output)

```

-setprod :: pttrn => 'a set => 'b => 'b::comm-monoid-mult ((3Π -∈-. -) [0,
51, 10] 10)

```

translations — Beware of argument permutation!

```

PROD i:A. b == CONST setprod (%i. b) A
Π i∈A. b == CONST setprod (%i. b) A

```

Instead of $\prod x \in \{x. P\}. e$ we introduce the shorter $\prod x | P. e$.

syntax

$-qsetprod :: pttrn \Rightarrow bool \Rightarrow 'a \Rightarrow 'a \ ((\exists PROD - | / - / -) [0,0,10] 10)$

syntax (*xsymbols*)

$-qsetprod :: pttrn \Rightarrow bool \Rightarrow 'a \Rightarrow 'a \ ((\exists \prod - | (-) / -) [0,0,10] 10)$

syntax (*HTML output*)

$-qsetprod :: pttrn \Rightarrow bool \Rightarrow 'a \Rightarrow 'a \ ((\exists \prod - | (-) / -) [0,0,10] 10)$

translations

$PROD\ x | P. t \Rightarrow CONST\ setprod\ (\%x. t)\ \{x. P\}$

$\prod x | P. t \Rightarrow CONST\ setprod\ (\%x. t)\ \{x. P\}$

lemma *setprod-empty*: $setprod\ f\ \{\} = 1$

by (*fact setprod.empty*)

lemma *setprod-insert*: $[| finite\ A; a \notin A |] \Rightarrow$

$setprod\ f\ (insert\ a\ A) = f\ a * setprod\ f\ A$

by (*fact setprod.insert*)

lemma *setprod-infinite*: $\sim finite\ A \Rightarrow setprod\ f\ A = 1$

by (*fact setprod.infinite*)

lemma *setprod-reindex*:

$inj-on\ f\ B \Rightarrow setprod\ h\ (f\ ' B) = setprod\ (h \circ f)\ B$

by (*auto simp: setprod-def fold-image-reindex dest!: finite-imageD*)

lemma *setprod-reindex-id*: $inj-on\ f\ B \Rightarrow setprod\ f\ B = setprod\ id\ (f\ ' B)$

by (*auto simp add: setprod-reindex*)

lemma *setprod-cong*:

$A = B \Rightarrow (!x. x:B \Rightarrow f\ x = g\ x) \Rightarrow setprod\ f\ A = setprod\ g\ B$

by (*fastsimp simp: setprod-def intro: fold-image-cong*)

lemma *strong-setprod-cong*[*cong*]:

$A = B \Rightarrow (!x. x:B \Rightarrow f\ x = g\ x) \Rightarrow setprod\ f\ A = setprod\ g\ B$

by (*fastsimp simp: simp-implies-def setprod-def intro: fold-image-cong*)

lemma *setprod-reindex-cong*: $inj-on\ f\ A \Rightarrow$

$B = f\ ' A \Rightarrow g = h \circ f \Rightarrow setprod\ h\ B = setprod\ g\ A$

by (*frule setprod-reindex, simp*)

lemma *strong-setprod-reindex-cong*: **assumes** $i: inj-on\ f\ A$

and $B: B = f\ ' A$ **and** $eq: \bigwedge x. x \in A \Rightarrow g\ x = (h \circ f)\ x$

shows $setprod\ h\ B = setprod\ g\ A$

proof –

have $setprod\ h\ B = setprod\ (h \circ f)\ A$

by (*simp add: B setprod-reindex[OF i, of h]*)

then show *?thesis* **apply** *simp*

apply (*rule setprod-cong*)

```

    apply simp
    by (simp add: eq)
qed

```

```

lemma setprod-Un-one:
  assumes fS: finite S and fT: finite T
  and I0:  $\forall x \in S \cap T. f x = 1$ 
  shows setprod f (S  $\cup$  T) = setprod f S * setprod f T
  using fS fT
  apply (simp add: setprod-def)
  apply (rule fold-image-Un-one)
  using I0 by auto

```

```

lemma setprod-1: setprod (%i. 1) A = 1
  apply (case-tac finite A)
  apply (erule finite-induct, auto simp add: mult-ac)
  done

```

```

lemma setprod-1': ALL a:F. f a = 1 ==> setprod f F = 1
  apply (subgoal-tac setprod f F = setprod (%x. 1) F)
  apply (erule ssubst, rule setprod-1)
  apply (rule setprod-cong, auto)
  done

```

```

lemma setprod-Un-Int: finite A ==> finite B
  ==> setprod g (A Un B) * setprod g (A Int B) = setprod g A * setprod g B
  by (simp add: setprod-def fold-image-Un-Int[symmetric])

```

```

lemma setprod-Un-disjoint: finite A ==> finite B
  ==> A Int B = {} ==> setprod g (A Un B) = setprod g A * setprod g B
  by (subst setprod-Un-Int [symmetric], auto)

```

```

lemma setprod-mono-one-left:
  assumes fT: finite T and ST: S  $\subseteq$  T
  and z:  $\forall i \in T - S. f i = 1$ 
  shows setprod f S = setprod f T
proof-
  have eq: T = S  $\cup$  (T - S) using ST by blast
  have d: S  $\cap$  (T - S) = {} using ST by blast
  from fT ST have f: finite S finite (T - S) by (auto intro: finite-subset)
  show ?thesis
  by (simp add: setprod-Un-disjoint[OF f d, unfolded eq[symmetric]] setprod-1'[OF z])
qed

```

```

lemmas setprod-mono-one-right = setprod-mono-one-left [THEN sym]

```

```

lemma setprod-delta:

```

assumes fS : *finite* S
shows $\text{setprod } (\lambda k. \text{ if } k=a \text{ then } b \ k \text{ else } 1) \ S = (\text{if } a \in S \text{ then } b \ a \text{ else } 1)$
proof –
let $?f = (\lambda k. \text{ if } k=a \text{ then } b \ k \text{ else } 1)$
{assume a : $a \notin S$
hence $\forall k \in S. ?f \ k = 1$ **by** *simp*
hence $?thesis$ **using** a **by** (*simp add: setprod-1 cong add: setprod-cong*) **}**
moreover
{assume a : $a \in S$
let $?A = S - \{a\}$
let $?B = \{a\}$
have eq : $S = ?A \cup ?B$ **using** a **by** *blast*
have dj : $?A \cap ?B = \{\}$ **by** *simp*
from fS **have** fAB : *finite* $?A$ *finite* $?B$ **by** *auto*
have $fA1$: $\text{setprod } ?f \ ?A = 1$ **apply** (*rule setprod-1'*) **by** *auto*
have $\text{setprod } ?f \ ?A * \text{setprod } ?f \ ?B = \text{setprod } ?f \ S$
using *setprod-Un-disjoint*[*OF* $fAB \ dj$, *of* $?f$, *unfolded eq[symmetric]*]
by *simp*
then have $?thesis$ **using** a **by** (*simp add: fA1 cong add: setprod-cong cong*
del: if-weak-cong)**}**
ultimately show $?thesis$ **by** *blast*
qed

lemma *setprod-delta'*:
assumes fS : *finite* S **shows**
 $\text{setprod } (\lambda k. \text{ if } a = k \text{ then } b \ k \text{ else } 1) \ S =$
 $(\text{if } a \in S \text{ then } b \ a \text{ else } 1)$
using *setprod-delta*[*OF* fS , *of* $a \ b$, *symmetric*]
by (*auto intro: setprod-cong*)

lemma *setprod-UN-disjoint*:
 $\text{finite } I ==> (\text{ALL } i:I. \text{finite } (A \ i)) ==>$
 $(\text{ALL } i:I. \text{ALL } j:I. i \neq j \ --> A \ i \ \text{Int } A \ j = \{\}) ==>$
 $\text{setprod } f \ (\text{UNION } I \ A) = \text{setprod } (\%i. \text{setprod } f \ (A \ i)) \ I$
by(*simp add: setprod-def fold-image-UN-disjoint cong: setprod-cong*)

lemma *setprod-Union-disjoint*:
 $[\text{ALL } A:C. \text{finite } A];$
 $(\text{ALL } A:C. \text{ALL } B:C. A \neq B \ --> A \ \text{Int } B = \{\}) \ [\text{ALL } A:C. \text{finite } A];$
 $==> \text{setprod } f \ (\text{Union } C) = \text{setprod } (\text{setprod } f) \ C$
apply (*cases finite C*)
prefer 2 **apply** (*force dest: finite-UnionD simp add: setprod-def*)
apply (*frule setprod-UN-disjoint [of C id f]*)
apply (*unfold Union-def id-def, assumption+*)
done

lemma *setprod-Sigma*: $\text{finite } A ==> \text{ALL } x:A. \text{finite } (B \ x) ==>$
 $(\prod x \in A. (\prod y \in B \ x. f \ x \ y)) =$

$(\prod (x,y) \in (\text{SIGMA } x:A. B \ x). f \ x \ y)$
by (*simp add:setprod-def fold-image-Sigma split-def cong:setprod-cong*)

Here we can eliminate the finiteness assumptions, by cases.

lemma *setprod-cartesian-product*:
 $(\prod x \in A. (\prod y \in B. f \ x \ y)) = (\prod (x,y) \in (A \ltimes B). f \ x \ y)$
apply (*cases finite A*)
apply (*cases finite B*)
apply (*simp add: setprod-Sigma*)
apply (*cases A={}, simp*)
apply (*simp add: setprod-1*)
apply (*auto simp add: setprod-def*
dest: finite-cartesian-productD1 finite-cartesian-productD2)
done

lemma *setprod-timesf*:
 $\text{setprod } (\%x. f \ x \ * \ g \ x) \ A = (\text{setprod } f \ A \ * \ \text{setprod } g \ A)$
by (*simp add:setprod-def fold-image-distrib*)

28.3.1 Properties in more restricted classes of structures

lemma *setprod-eq-1-iff* [*simp*]:
 $\text{finite } F \implies (\text{setprod } f \ F = 1) = (\text{ALL } a:F. f \ a = (1::\text{nat}))$
by (*induct set: finite*) *auto*

lemma *setprod-zero*:
 $\text{finite } A \implies \text{EX } x: A. f \ x = (0::'a::\text{comm-semiring-1}) \implies \text{setprod } f \ A = 0$
apply (*induct set: finite, force, clarsimp*)
apply (*erule disjE, auto*)
done

lemma *setprod-nonneg* [*rule-format*]:
 $(\text{ALL } x: A. (0::'a::\text{linordered-semidom}) \leq f \ x) \implies 0 \leq \text{setprod } f \ A$
by (*cases finite A, induct set: finite, simp-all add: mult-nonneg-nonneg*)

lemma *setprod-pos* [*rule-format*]: $(\text{ALL } x: A. (0::'a::\text{linordered-semidom}) < f \ x) \implies 0 < \text{setprod } f \ A$
by (*cases finite A, induct set: finite, simp-all add: mult-pos-pos*)

lemma *setprod-zero-iff* [*simp*]: $\text{finite } A \implies$
 $(\text{setprod } f \ A = (0::'a::\{\text{comm-semiring-1}, \text{no-zero-divisors}\})) =$
 $(\text{EX } x: A. f \ x = 0)$
by (*erule finite-induct, auto simp:no-zero-divisors*)

lemma *setprod-pos-nat*:
 $\text{finite } S \implies (\text{ALL } x: S. f \ x > (0::\text{nat})) \implies \text{setprod } f \ S > 0$
using *setprod-zero-iff* **by** (*simp del:neq0-conv add:neq0-conv[symmetric]*)

lemma *setprod-pos-nat-iff* [*simp*]:

$finite\ S \implies (setprod\ f\ S > 0) = (ALL\ x : S. f\ x > (0::nat))$
using *setprod-zero-iff* **by** (*simp del:neq0-conv add:neq0-conv[symmetric]*)

lemma *setprod-Un*: $finite\ A \implies finite\ B \implies (ALL\ x: A\ Int\ B. f\ x \neq 0) \implies$
 $(setprod\ f\ (A\ Un\ B) :: 'a :: \{field\})$
 $= setprod\ f\ A * setprod\ f\ B / setprod\ f\ (A\ Int\ B)$
by (*subst setprod-Un-Int [symmetric]*, *auto*)

lemma *setprod-diff1*: $finite\ A \implies f\ a \neq 0 \implies$
 $(setprod\ f\ (A - \{a\}) :: 'a :: \{field\}) =$
 $(if\ a:A\ then\ setprod\ f\ A / f\ a\ else\ setprod\ f\ A)$
by (*erule finite-induct*) (*auto simp add: insert-Diff-if*)

lemma *setprod-inversef*:
fixes $f :: 'b \Rightarrow 'a :: field-inverse-zero$
shows $finite\ A \implies setprod\ (inverse \circ f)\ A = inverse\ (setprod\ f\ A)$
by (*erule finite-induct*) *auto*

lemma *setprod-dividef*:
fixes $f :: 'b \Rightarrow 'a :: field-inverse-zero$
shows $finite\ A$
 $\implies setprod\ (\%x. f\ x / g\ x)\ A = setprod\ f\ A / setprod\ g\ A$
apply (*subgoal-tac*
 $setprod\ (\%x. f\ x / g\ x)\ A = setprod\ (\%x. f\ x * (inverse \circ g)\ x)\ A$)
apply (*erule ssubst*)
apply (*subst divide-inverse*)
apply (*subst setprod-timesf*)
apply (*subst setprod-inversef, assumption+, rule refl*)
apply (*rule setprod-cong, rule refl*)
apply (*subst divide-inverse, auto*)
done

lemma *setprod-dvd-setprod* [*rule-format*]:
 $(ALL\ x : A. f\ x\ dvd\ g\ x) \longrightarrow setprod\ f\ A\ dvd\ setprod\ g\ A$
apply (*cases finite A*)
apply (*induct set: finite*)
apply (*auto simp add: dvd-def*)
apply (*rule-tac x = k * ka in exI*)
apply (*simp add: algebra-simps*)
done

lemma *setprod-dvd-setprod-subset*:
 $finite\ B \implies A \leq B \implies setprod\ f\ A\ dvd\ setprod\ f\ B$
apply (*subgoal-tac setprod\ f\ B = setprod\ f\ A * setprod\ f\ (B - A)*)
apply (*unfold dvd-def, blast*)
apply (*subst setprod-Un-disjoint [symmetric]*)
apply (*auto elim: finite-subset intro: setprod-cong*)
done

```

lemma setprod-dvd-setprod-subset2:
  finite B  $\implies$  A  $\leq$  B  $\implies$  ALL x : A. (f x :: 'a :: comm-semiring-1) dvd g x  $\implies$ 
    setprod f A dvd setprod g B
  apply (rule dvd-trans)
  apply (rule setprod-dvd-setprod, erule (1) bspec)
  apply (erule (1) setprod-dvd-setprod-subset)
done

lemma dvd-setprod: finite A  $\implies$  i:A  $\implies$ 
  (f i :: 'a :: comm-semiring-1) dvd setprod f A
by (induct set: finite) (auto intro: dvd-mult)

lemma dvd-setsum [rule-format]: (ALL i : A. d dvd f i)  $\longrightarrow$ 
  (d :: 'a :: comm-semiring-1) dvd (SUM x : A. f x)
  apply (cases finite A)
  apply (induct set: finite)
  apply auto
done

lemma setprod-mono:
  fixes f :: 'a  $\Rightarrow$  'b :: linordered-semidom
  assumes  $\forall i \in A. 0 \leq f i \wedge f i \leq g i$ 
  shows setprod f A  $\leq$  setprod g A
proof (cases finite A)
  case True
  hence ?thesis setprod f A  $\geq$  0 using subset-refl[of A]
  proof (induct A rule: finite-subset-induct)
  case (insert a F)
  thus setprod f (insert a F)  $\leq$  setprod g (insert a F) 0  $\leq$  setprod f (insert a F)
    unfolding setprod-insert[OF insert(1,3)]
    using assms[rule-format, OF insert(2)] insert
    by (auto intro: mult-mono mult-nonneg-nonneg)
  qed auto
  thus ?thesis by simp
qed auto

lemma abs-setprod:
  fixes f :: 'a  $\Rightarrow$  'b :: {linordered-field, abs}
  shows abs (setprod f A) = setprod ( $\lambda x. \text{abs } (f x)$ ) A
proof (cases finite A)
  case True thus ?thesis
    by induct (auto simp add: field-simps abs-mult)
qed auto

lemma setprod-constant: finite A  $\implies$  ( $\prod x \in A. (y :: 'a :: \{\text{comm-monoid-mult}\})$ )
  = y^(card A)
apply (erule finite-induct)
apply auto
done

```



```

lemma setprod-gen-delta:
  assumes fS: finite S
  shows setprod ( $\lambda k. \text{if } k=a \text{ then } b \text{ } k \text{ else } c$ ) S = ( $\text{if } a \in S \text{ then } (b \text{ } a :: 'a :: \{\text{comm-monoid-mult}\})$ 
    *  $c^{\wedge} (\text{card } S - 1) \text{ else } c^{\wedge} \text{card } S$ )
  proof –
    let ?f = ( $\lambda k. \text{if } k=a \text{ then } b \text{ } k \text{ else } c$ )
    {assume a:  $a \notin S$ 
      hence  $\forall k \in S. ?f \text{ } k = c$  by simp
      hence ?thesis using a setprod-constant[OF fS, of c] by (simp add: setprod-1
        cong add: setprod-cong) }
    moreover
    {assume a:  $a \in S$ 
      let ?A =  $S - \{a\}$ 
      let ?B =  $\{a\}$ 
      have eq:  $S = ?A \cup ?B$  using a by blast
      have dj:  $?A \cap ?B = \{\}$  by simp
      from fS have fAB: finite ?A finite ?B by auto
      have fA0: setprod ?f ?A = setprod ( $\lambda i. c$ ) ?A
      apply (rule setprod-cong) by auto
      have cA:  $\text{card } ?A = \text{card } S - 1$  using fS a by auto
      have fA1: setprod ?f ?A =  $c^{\wedge} \text{card } ?A$  unfolding fA0 apply (rule setprod-constant)
    using fS by auto
      have setprod ?f ?A * setprod ?f ?B = setprod ?f S
      using setprod-Un-disjoint[OF fAB dj, of ?f, unfolded eq[symmetric]]
      by simp
      then have ?thesis using a cA
      by (simp add: fA1 field-simps cong add: setprod-cong cong del: if-weak-cong)}
    ultimately show ?thesis by blast
  qed

```

28.4 Versions of *inf* and *sup* on non-empty sets

no-notation times (infixl * 70)

no-notation Groups.one (1)

locale semilattice-big = semilattice +

fixes F :: 'a set \Rightarrow 'a

assumes F-eq: finite A \Longrightarrow F A = fold1 (op *) A

sublocale semilattice-big < folding-one-idem **proof**

qed (simp-all add: F-eq)

notation times (infixl * 70)

notation Groups.one (1)

context lattice

begin

definition $\text{Inf-fin} :: 'a \text{ set} \Rightarrow 'a \ (\prod_{fin} [900] \ 900)$ **where**
 $\text{Inf-fin} = \text{fold1 inf}$

definition $\text{Sup-fin} :: 'a \text{ set} \Rightarrow 'a \ (\sqcup_{fin} [900] \ 900)$ **where**
 $\text{Sup-fin} = \text{fold1 sup}$

end

sublocale $\text{lattice} < \text{Inf-fin}!$: *semilattice-big inf Inf-fin* **proof**
qed (*simp add: Inf-fin-def*)

sublocale $\text{lattice} < \text{Sup-fin}!$: *semilattice-big sup Sup-fin* **proof**
qed (*simp add: Sup-fin-def*)

context *semilattice-inf*
begin

lemma *ab-semigroup-idem-mult-inf*:
class.ab-semigroup-idem-mult inf
proof **qed** (*rule inf-assoc inf-commute inf-idem*)**+**

lemma *fold-inf-insert[simp]*: $\text{finite } A \implies \text{fold inf } b \ (\text{insert } a \ A) = \text{inf } a \ (\text{fold inf } b \ A)$
by (*rule fun-left-comm-idem.fold-insert-idem[OF ab-semigroup-idem-mult.fun-left-comm-idem[OF ab-semigroup-idem-mult-inf]]*)

lemma *inf-le-fold-inf*: $\text{finite } A \implies \text{ALL } a:A. b \leq a \implies \text{inf } b \ c \leq \text{fold inf } c \ A$
by (*induct pred: finite*) (*auto intro: le-infI1*)

lemma *fold-inf-le-inf*: $\text{finite } A \implies a \in A \implies \text{fold inf } b \ A \leq \text{inf } a \ b$
proof (*induct arbitrary: a pred:finite*)

case empty **thus** *?case* **by** *simp*
next
case (*insert x A*)
show *?case*
proof *cases*
assume $A = \{\}$ **thus** *?thesis* **using** *insert* **by** *simp*
next
assume $A \neq \{\}$ **thus** *?thesis* **using** *insert* **by** (*auto intro: le-infI2*)
qed
qed

lemma *below-fold1-iff*:
assumes $\text{finite } A \ A \neq \{\}$
shows $x \leq \text{fold1 inf } A \longleftrightarrow (\forall a \in A. x \leq a)$
proof **–**
interpret *ab-semigroup-idem-mult inf*
by (*rule ab-semigroup-idem-mult-inf*)
show *?thesis* **using** *assms* **by** (*induct rule: finite-ne-induct*) *simp-all*

qed

lemma *fold1-belowI*:

assumes *finite A*

and $a \in A$

shows $\text{fold1 inf } A \leq a$

proof –

from *assms* have $A \neq \{\}$ **by** *auto*

from $\langle \text{finite } A \rangle \langle A \neq \{\} \rangle \langle a \in A \rangle$ **show** *?thesis*

proof (*induct rule: finite-ne-induct*)

case *singleton* **thus** *?case* **by** *simp*

next

interpret *ab-semigroup-idem-mult inf*

by (*rule ab-semigroup-idem-mult-inf*)

case (*insert x F*)

from *insert(5)* have $a = x \vee a \in F$ **by** *simp*

thus *?case*

proof

assume $a = x$ **thus** *?thesis* **using** *insert*

by (*simp add: mult-ac*)

next

assume $a \in F$

hence *bel*: $\text{fold1 inf } F \leq a$ **by** (*rule insert*)

have $\text{inf } (\text{fold1 inf } (\text{insert } x F)) a = \text{inf } x (\text{inf } (\text{fold1 inf } F) a)$

using *insert* **by** (*simp add: mult-ac*)

also have $\text{inf } (\text{fold1 inf } F) a = \text{fold1 inf } F$

using *bel* **by** (*auto intro: antisym*)

also have $\text{inf } x \dots = \text{fold1 inf } (\text{insert } x F)$

using *insert* **by** (*simp add: mult-ac*)

finally have *aux*: $\text{inf } (\text{fold1 inf } (\text{insert } x F)) a = \text{fold1 inf } (\text{insert } x F) .$

moreover have $\text{inf } (\text{fold1 inf } (\text{insert } x F)) a \leq a$ **by** *simp*

ultimately **show** *?thesis* **by** *simp*

qed

qed

qed

end

context *semilattice-sup*

begin

lemma *ab-semigroup-idem-mult-sup*: *class.ab-semigroup-idem-mult sup*

by (*rule semilattice-inf.ab-semigroup-idem-mult-inf*)(*rule dual-semilattice*)

lemma *fold-sup-insert[simp]*: $\text{finite } A \implies \text{fold sup } b (\text{insert } a A) = \text{sup } a (\text{fold sup } b A)$

by(*rule semilattice-inf.fold-inf-insert*)(*rule dual-semilattice*)

lemma *fold-sup-le-sup*: $\text{finite } A \implies \text{ALL } a:A. a \leq b \implies \text{fold sup } c A \leq \text{sup } b c$

by(*rule semilattice-inf.fold1-le-fold1-inf*)(*rule dual-semilattice*)

lemma *sup-le-fold1-sup*: *finite A* $\implies a \in A \implies \sup a \leq \text{fold1 } \sup \text{ } A$
by(*rule semilattice-inf.fold1-le-fold1-inf*)(*rule dual-semilattice*)

end

context *lattice*
begin

lemma *Inf-le-Sup* [*simp*]: $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \bigcap_{fin} A \leq \bigcup_{fin} A$
apply(*unfold Sup-fin-def Inf-fin-def*)
apply(*subgoal-tac EX a. a:A*)
prefer 2 **apply** *blast*
apply(*erule exE*)
apply(*rule order-trans*)
apply(*erule (1) fold1-belowI*)
apply(*erule (1) semilattice-inf.fold1-belowI [OF dual-semilattice]*)
done

lemma *sup-Inf-absorb* [*simp*]:
finite A $\implies a \in A \implies \sup a (\bigcap_{fin} A) = a$
apply(*subst sup-commute*)
apply(*simp add: Inf-fin-def sup-absorb2 fold1-belowI*)
done

lemma *inf-Sup-absorb* [*simp*]:
finite A $\implies a \in A \implies \inf a (\bigcup_{fin} A) = a$
by (*simp add: Sup-fin-def inf-absorb1*
semilattice-inf.fold1-belowI [OF dual-semilattice])

end

context *distrib-lattice*
begin

lemma *sup-Inf1-distrib*:
assumes *finite A*
and $A \neq \{\}$
shows $\sup x (\bigcap_{fin} A) = \bigcap_{fin} \{\sup x a \mid a. a \in A\}$
proof –
interpret *ab-semigroup-idem-mult inf*
by (*rule ab-semigroup-idem-mult-inf*)
from *assms* **show** ?thesis
by (*simp add: Inf-fin-def image-def*
hom-fold1-commute [where h=sup x, OF sup-inf-distrib1])
(rule arg-cong [where f=fold1 inf], blast)
qed

lemma *sup-Inf2-distrib*:

assumes *A*: *finite A* *A* $\neq \{\}$ **and** *B*: *finite B* *B* $\neq \{\}$
shows $\sup (\bigcap_{fin} A) (\bigcap_{fin} B) = \bigcap_{fin} \{\sup a \ b \mid a \in A \wedge b \in B\}$
using *A* **proof** (*induct rule: finite-ne-induct*)
case *singleton* **thus** ?*case*
by (*simp add: sup-Inf1-distrib [OF B] fold1-singleton-def [OF Inf-fin-def]*)
next
interpret *ab-semigroup-idem-mult inf*
by (*rule ab-semigroup-idem-mult-inf*)
case (*insert x A*)
have *finB*: *finite* $\{\sup x \ b \mid b \in B\}$
by(*rule finite-surj[where f = sup x, OF B(1)], auto*)
have *finAB*: *finite* $\{\sup a \ b \mid a \in A \wedge b \in B\}$
proof –
have $\{\sup a \ b \mid a \in A \wedge b \in B\} = (UN \ a:A. UN \ b:B. \{\sup a \ b\})$
by *blast*
thus ?*thesis* **by**(*simp add: insert(1) B(1)*)
qed
have *ne*: $\{\sup a \ b \mid a \in A \wedge b \in B\} \neq \{\}$ **using** *insert B* **by** *blast*
have $\sup (\bigcap_{fin} (\text{insert } x \ A)) (\bigcap_{fin} B) = \sup (\inf x (\bigcap_{fin} A)) (\bigcap_{fin} B)$
using *insert* **by** (*simp add: fold1-insert-idem-def [OF Inf-fin-def]*)
also have $\dots = \inf (\sup x (\bigcap_{fin} B)) (\sup (\bigcap_{fin} A) (\bigcap_{fin} B))$ **by**(*rule sup-inf-distrib2*)
also have $\dots = \inf (\bigcap_{fin} \{\sup x \ b \mid b \in B\}) (\bigcap_{fin} \{\sup a \ b \mid a \in A \wedge b \in B\})$
using *insert* **by**(*simp add: sup-Inf1-distrib[OF B]*)
also have $\dots = \bigcap_{fin} (\{\sup x \ b \mid b \in B\} \cup \{\sup a \ b \mid a \in A \wedge b \in B\})$
(is - = $\bigcap_{fin} ?M$ **)**
using *B insert*
by (*simp add: Inf-fin-def fold1-Un2 [OF finB - finAB ne]*)
also have $?M = \{\sup a \ b \mid a \in \text{insert } x \ A \wedge b \in B\}$
by *blast*
finally show ?*case* .
qed

lemma *inf-Sup1-distrib*:

assumes *finite A* **and** *A* $\neq \{\}$
shows $\inf x (\bigcup_{fin} A) = \bigcup_{fin} \{\inf x \ a \mid a \in A\}$
proof –
interpret *ab-semigroup-idem-mult sup*
by (*rule ab-semigroup-idem-mult-sup*)
from *assms* **show** ?*thesis*
by (*simp add: Sup-fin-def image-def hom-fold1-commute [where h=inf x, OF inf-sup-distrib1]*)
(rule arg-cong [where f=fold1 sup], blast)
qed

lemma *inf-Sup2-distrib*:

assumes *A*: *finite A* *A* $\neq \{\}$ **and** *B*: *finite B* *B* $\neq \{\}$
shows $\inf (\bigcup_{fin} A) (\bigcup_{fin} B) = \bigcup_{fin} \{\inf a \ b \mid a \in A \wedge b \in B\}$

```

using  $A$  proof (induct rule: finite-ne-induct)
  case singleton thus ?case
    by(simp add: inf-Sup1-distrib [OF B] fold1-singleton-def [OF Sup-fin-def])
next
  case (insert x A)
  have finB: finite {inf x b | b. b ∈ B}
    by(rule finite-surj[where f = %b. inf x b, OF B(1)], auto)
  have finAB: finite {inf a b | a b. a ∈ A ∧ b ∈ B}
  proof –
    have {inf a b | a b. a ∈ A ∧ b ∈ B} = (UN a:A. UN b:B. {inf a b})
      by blast
    thus ?thesis by(simp add: insert(1) B(1))
  qed
  have ne: {inf a b | a b. a ∈ A ∧ b ∈ B} ≠ {} using insert B by blast
  interpret ab-semigroup-idem-mult sup
    by (rule ab-semigroup-idem-mult-sup)
  have inf ( $\bigsqcup_{fin}(\text{insert } x \ A)$ ) ( $\bigsqcup_{fin} B$ ) = inf (sup x ( $\bigsqcup_{fin} A$ )) ( $\bigsqcup_{fin} B$ )
    using insert by (simp add: fold1-insert-idem-def [OF Sup-fin-def])
  also have ... = sup (inf x ( $\bigsqcup_{fin} B$ )) (inf ( $\bigsqcup_{fin} A$ ) ( $\bigsqcup_{fin} B$ )) by(rule inf-sup-distrib2)
  also have ... = sup ( $\bigsqcup_{fin}\{\text{inf } x \ b | b. b \in B\}$ ) ( $\bigsqcup_{fin}\{\text{inf } a \ b | a \ b. a \in A \wedge b \in B\}$ )
  using insert by(simp add: inf-Sup1-distrib[OF B])
  also have ... =  $\bigsqcup_{fin}(\{\text{inf } x \ b | b. b \in B\} \cup \{\text{inf } a \ b | a \ b. a \in A \wedge b \in B\})$ 
    (is - =  $\bigsqcup_{fin} ?M$ )
    using B insert
    by (simp add: Sup-fin-def fold1-Un2 [OF finB - finAB ne])
  also have ?M = {inf a b | a b. a ∈ insert x A ∧ b ∈ B}
    by blast
  finally show ?case .
qed

end

context complete-lattice
begin

lemma Inf-fin-Inf:
  assumes finite A and  $A \neq \{\}$ 
  shows  $\bigsqcap_{fin} A = \text{Inf } A$ 
proof –
  interpret ab-semigroup-idem-mult inf
    by (rule ab-semigroup-idem-mult-inf)
  from  $\langle A \neq \{\} \rangle$  obtain b B where  $A = \text{insert } b \ B$  by auto
  moreover with  $\langle \text{finite } A \rangle$  have finite B by simp
  ultimately show ?thesis
    by (simp add: Inf-fin-def fold1-eq-fold-idem inf-Inf-fold-inf [symmetric])
    (simp add: Inf-fold-inf)
qed

```

lemma *Sup-fin-Sup*:
assumes *finite A* **and** $A \neq \{\}$
shows $\bigsqcup_{fin} A = Sup\ A$
proof –
interpret *ab-semigroup-idem-mult sup*
by (*rule ab-semigroup-idem-mult-sup*)
from $\langle A \neq \{\} \rangle$ **obtain** $b\ B$ **where** $A = insert\ b\ B$ **by** *auto*
moreover with $\langle finite\ A \rangle$ **have** *finite B* **by** *simp*
ultimately show *?thesis*
by (*simp add: Sup-fin-def fold1-eq-fold-idem sup-Sup-fold-sup [symmetric]*)
(simp add: Sup-fold-sup)
qed
end

28.5 Versions of *min* and *max* on non-empty sets

definition (*in linorder*) *Min* :: $'a\ set \Rightarrow 'a$ **where**
 $Min = fold1\ min$

definition (*in linorder*) *Max* :: $'a\ set \Rightarrow 'a$ **where**
 $Max = fold1\ max$

sublocale *linorder* < *Min*!: *semilattice-big min Min* **proof**
qed (*simp add: Min-def*)

sublocale *linorder* < *Max*!: *semilattice-big max Max* **proof**
qed (*simp add: Max-def*)

context *linorder*
begin

lemmas *Min-singleton* = *Min.singleton*
lemmas *Max-singleton* = *Max.singleton*

lemma *Min-insert*:
assumes *finite A* **and** $A \neq \{\}$
shows $Min\ (insert\ x\ A) = min\ x\ (Min\ A)$
using *assms* **by** *simp*

lemma *Max-insert*:
assumes *finite A* **and** $A \neq \{\}$
shows $Max\ (insert\ x\ A) = max\ x\ (Max\ A)$
using *assms* **by** *simp*

lemma *Min-Un*:
assumes *finite A* **and** $A \neq \{\}$ **and** *finite B* **and** $B \neq \{\}$
shows $Min\ (A \cup B) = min\ (Min\ A)\ (Min\ B)$
using *assms* **by** (*rule Min.union-idem*)

lemma *Max-Un*:

assumes *finite A* and $A \neq \{\}$ and *finite B* and $B \neq \{\}$
 shows $\text{Max } (A \cup B) = \max (\text{Max } A) (\text{Max } B)$
 using *assms* by (rule *Max.union-idem*)

lemma *hom-Min-commute*:

assumes $\bigwedge x y. h (\min x y) = \min (h x) (h y)$
 and *finite N* and $N \neq \{\}$
 shows $h (\text{Min } N) = \text{Min } (h ` N)$
 using *assms* by (rule *Min.hom-commute*)

lemma *hom-Max-commute*:

assumes $\bigwedge x y. h (\max x y) = \max (h x) (h y)$
 and *finite N* and $N \neq \{\}$
 shows $h (\text{Max } N) = \text{Max } (h ` N)$
 using *assms* by (rule *Max.hom-commute*)

lemma *ab-semigroup-idem-mult-min*:

class.ab-semigroup-idem-mult min
proof qed (auto simp add: *min-def*)

lemma *ab-semigroup-idem-mult-max*:

class.ab-semigroup-idem-mult max
proof qed (auto simp add: *max-def*)

lemma *max-lattice*:

class.semilattice-inf (op \geq) (op $>$) max
by (fact *min-max.dual-semilattice*)

lemma *dual-max*:

ord.max (op \geq) = min
by (auto simp add: *ord.max-def-raw min-def expand-fun-eq*)

lemma *dual-min*:

ord.min (op \geq) = max
by (auto simp add: *ord.min-def-raw max-def expand-fun-eq*)

lemma *strict-below-fold1-iff*:

assumes *finite A* and $A \neq \{\}$
 shows $x < \text{fold1 min } A \longleftrightarrow (\forall a \in A. x < a)$

proof –

interpret *ab-semigroup-idem-mult min*
by (rule *ab-semigroup-idem-mult-min*)
from *assms* **show** ?thesis
by (induct rule: *finite-ne-induct*)
 (*simp-all* add: *fold1-insert*)

qed


```

lemma fold1-below-iff:
  assumes finite A and  $A \neq \{\}$ 
  shows  $\text{fold1 min } A \leq x \iff (\exists a \in A. a \leq x)$ 
proof –
  interpret ab-semigroup-idem-mult min
    by (rule ab-semigroup-idem-mult-min)
  from assms show ?thesis
  by (induct rule: finite-ne-induct)
    (simp-all add: fold1-insert min-le-iff-disj)
qed

lemma fold1-strict-below-iff:
  assumes finite A and  $A \neq \{\}$ 
  shows  $\text{fold1 min } A < x \iff (\exists a \in A. a < x)$ 
proof –
  interpret ab-semigroup-idem-mult min
    by (rule ab-semigroup-idem-mult-min)
  from assms show ?thesis
  by (induct rule: finite-ne-induct)
    (simp-all add: fold1-insert min-less-iff-disj)
qed

lemma fold1-antimono:
  assumes  $A \neq \{\}$  and  $A \subseteq B$  and finite B
  shows  $\text{fold1 min } B \leq \text{fold1 min } A$ 
proof cases
  assume  $A = B$  thus ?thesis by simp
next
  interpret ab-semigroup-idem-mult min
    by (rule ab-semigroup-idem-mult-min)
  assume  $A \neq B$ 
  have  $B: B = A \cup (B - A)$  using  $\langle A \subseteq B \rangle$  by blast
  have  $\text{fold1 min } B = \text{fold1 min } (A \cup (B - A))$  by (subst B) (rule refl)
  also have  $\dots = \min (\text{fold1 min } A) (\text{fold1 min } (B - A))$ 
  proof –
    have finite A by (rule finite-subset [OF  $\langle A \subseteq B \rangle$   $\langle \text{finite } B \rangle$ ])
    moreover have finite (B - A) by (rule finite-Diff [OF  $\langle \text{finite } B \rangle$ ])
    moreover have  $(B - A) \neq \{\}$  using prems by blast
    moreover have  $A \text{ Int } (B - A) = \{\}$  using prems by blast
    ultimately show ?thesis using  $\langle A \neq \{\} \rangle$  by (rule-tac fold1-Un)
  qed
  also have  $\dots \leq \text{fold1 min } A$  by (simp add: min-le-iff-disj)
  finally show ?thesis .
qed

lemma Min-in [simp]:
  assumes finite A and  $A \neq \{\}$ 
  shows  $\text{Min } A \in A$ 
proof –

```

```

interpret ab-semigroup-idem-mult min
  by (rule ab-semigroup-idem-mult-min)
from assms fold1-in show ?thesis by (fastsimp simp: Min-def min-def)
qed

```

```

lemma Max-in [simp]:
  assumes finite A and A ≠ {}
  shows Max A ∈ A
proof -
  interpret ab-semigroup-idem-mult max
    by (rule ab-semigroup-idem-mult-max)
  from assms fold1-in [of A] show ?thesis by (fastsimp simp: Max-def max-def)
qed

```

```

lemma Min-le [simp]:
  assumes finite A and x ∈ A
  shows Min A ≤ x
  using assms by (simp add: Min-def min-max.fold1-belowI)

```

```

lemma Max-ge [simp]:
  assumes finite A and x ∈ A
  shows x ≤ Max A
proof -
  interpret semilattice-inf op ≥ op > max
    by (rule max-lattice)
  from assms show ?thesis by (simp add: Max-def fold1-belowI)
qed

```

```

lemma Min-ge-iff [simp, no-atp]:
  assumes finite A and A ≠ {}
  shows x ≤ Min A ⟷ (∀ a ∈ A. x ≤ a)
  using assms by (simp add: Min-def min-max.below-fold1-iff)

```

```

lemma Max-le-iff [simp, no-atp]:
  assumes finite A and A ≠ {}
  shows Max A ≤ x ⟷ (∀ a ∈ A. a ≤ x)
proof -
  interpret semilattice-inf op ≥ op > max
    by (rule max-lattice)
  from assms show ?thesis by (simp add: Max-def below-fold1-iff)
qed

```

```

lemma Min-gr-iff [simp, no-atp]:
  assumes finite A and A ≠ {}
  shows x < Min A ⟷ (∀ a ∈ A. x < a)
  using assms by (simp add: Min-def strict-below-fold1-iff)

```

```

lemma Max-less-iff [simp, no-atp]:
  assumes finite A and A ≠ {}

```

```

  shows  $\text{Max } A < x \longleftrightarrow (\forall a \in A. a < x)$ 
proof -
  interpret dual: linorder op  $\geq$  op  $>$ 
  by (rule dual-linorder)
  from assms show ?thesis
  by (simp add: Max-def dual.strict-below-fold1-iff [folded dual.dual-max])
qed

```

```

lemma Min-le-iff [no-atp]:
  assumes finite A and  $A \neq \{\}$ 
  shows  $\text{Min } A \leq x \longleftrightarrow (\exists a \in A. a \leq x)$ 
  using assms by (simp add: Min-def fold1-below-iff)

```

```

lemma Max-ge-iff [no-atp]:
  assumes finite A and  $A \neq \{\}$ 
  shows  $x \leq \text{Max } A \longleftrightarrow (\exists a \in A. x \leq a)$ 
proof -
  interpret dual: linorder op  $\geq$  op  $>$ 
  by (rule dual-linorder)
  from assms show ?thesis
  by (simp add: Max-def dual.fold1-below-iff [folded dual.dual-max])
qed

```

```

lemma Min-less-iff [no-atp]:
  assumes finite A and  $A \neq \{\}$ 
  shows  $\text{Min } A < x \longleftrightarrow (\exists a \in A. a < x)$ 
  using assms by (simp add: Min-def fold1-strict-below-iff)

```

```

lemma Max-gr-iff [no-atp]:
  assumes finite A and  $A \neq \{\}$ 
  shows  $x < \text{Max } A \longleftrightarrow (\exists a \in A. x < a)$ 
proof -
  interpret dual: linorder op  $\geq$  op  $>$ 
  by (rule dual-linorder)
  from assms show ?thesis
  by (simp add: Max-def dual.fold1-strict-below-iff [folded dual.dual-max])
qed

```

```

lemma Min-eqI:
  assumes finite A
  assumes  $\bigwedge y. y \in A \implies y \geq x$ 
  and  $x \in A$ 
  shows  $\text{Min } A = x$ 
proof (rule antisym)
  from  $\langle x \in A \rangle$  have  $A \neq \{\}$  by auto
  with assms show  $\text{Min } A \geq x$  by simp
next
  from assms show  $x \geq \text{Min } A$  by simp
qed

```

```

lemma Max-eqI:
  assumes finite A
  assumes  $\bigwedge y. y \in A \implies y \leq x$ 
  and  $x \in A$ 
  shows  $\text{Max } A = x$ 
proof (rule antisym)
  from  $\langle x \in A \rangle$  have  $A \neq \{\}$  by auto
  with assms show  $\text{Max } A \leq x$  by simp
next
  from assms show  $x \leq \text{Max } A$  by simp
qed

```

```

lemma Min-antimono:
  assumes  $M \subseteq N$  and  $M \neq \{\}$  and finite N
  shows  $\text{Min } N \leq \text{Min } M$ 
  using assms by (simp add: Min-def fold1-antimono)

```

```

lemma Max-mono:
  assumes  $M \subseteq N$  and  $M \neq \{\}$  and finite N
  shows  $\text{Max } M \leq \text{Max } N$ 
proof -
  interpret dual: linorder op  $\geq$  op  $>$ 
  by (rule dual-linorder)
  from assms show ?thesis
  by (simp add: Max-def dual.fold1-antimono [folded dual.dual-max])
qed

```

```

lemma finite-linorder-max-induct[consumes 1, case-names empty insert]:
  assumes fin: finite A
  and empty:  $P \ \{\}$ 
  and insert:  $(!!b \ A. \text{finite } A \implies \text{ALL } a:A. a < b \implies P \ A \implies P(\text{insert } b \ A))$ 
  shows  $P \ A$ 
using fin empty insert
proof (induct rule: finite-psubset-induct)
  case (psubset A)
  have IH:  $\bigwedge B. \llbracket B < A; P \ \{\}; (\bigwedge A \ b. \llbracket \text{finite } A; \forall a \in A. a < b; P \ A \rrbracket \implies P(\text{insert } b \ A)) \rrbracket \implies P \ B$  by fact
  have fin: finite A by fact
  have empty:  $P \ \{\}$  by fact
  have step:  $\bigwedge b \ A. \llbracket \text{finite } A; \forall a \in A. a < b; P \ A \rrbracket \implies P(\text{insert } b \ A)$  by fact
  show  $P \ A$ 
  proof (cases  $A = \{\}$ )
    assume  $A = \{\}$ 
    then show  $P \ A$  using  $\langle P \ \{\} \rangle$  by simp
  next
    let ?B =  $A - \{\text{Max } A\}$ 
    let ?A =  $\text{insert } (\text{Max } A) \ ?B$ 
    have finite ?B using  $\langle \text{finite } A \rangle$  by simp

```

```

    assume  $A \neq \{\}$ 
    with  $\langle \text{finite } A \rangle$  have  $\text{Max } A : A$  by auto
    then have  $A : ?A = A$  using insert-Diff-single insert-absorb by auto
    then have  $P \ ?B$  using  $\langle P \ \{\} \rangle$  step IH[of ?B] by blast
    moreover
    have  $\forall a \in ?B. a < \text{Max } A$  using Max-ge [OF  $\langle \text{finite } A \rangle$ ] by fastsimp
    ultimately show  $P \ A$  using  $A$  insert-Diff-single step[OF  $\langle \text{finite } ?B \rangle$ ] by
fastsimp
  qed
qed

```

lemma *finite-linorder-min-induct*[consumes 1, case-names empty insert]:
 $\llbracket \text{finite } A; P \ \{\}; \bigwedge b \ A. \llbracket \text{finite } A; \forall a \in A. b < a; P \ A \rrbracket \implies P \ (\text{insert } b \ A) \rrbracket \implies P \ A$
 by (rule linorder.finite-linorder-max-induct[OF dual-linorder])

end

context *linordered-ab-semigroup-add*
begin

lemma *add-Min-commute*:
 fixes k
 assumes *finite* N and $N \neq \{\}$
 shows $k + \text{Min } N = \text{Min } \{k + m \mid m. m \in N\}$
proof –
 have $\bigwedge x \ y. k + \min x \ y = \min (k + x) (k + y)$
 by (simp add: min-def not-le)
 (blast intro: antisym less-imp-le add-left-mono)
 with *assms* show ?thesis
 using hom-Min-commute [of plus $k \ N$]
 by simp (blast intro: arg-cong [where $f = \text{Min}$])
qed

lemma *add-Max-commute*:
 fixes k
 assumes *finite* N and $N \neq \{\}$
 shows $k + \text{Max } N = \text{Max } \{k + m \mid m. m \in N\}$
proof –
 have $\bigwedge x \ y. k + \max x \ y = \max (k + x) (k + y)$
 by (simp add: max-def not-le)
 (blast intro: antisym less-imp-le add-left-mono)
 with *assms* show ?thesis
 using hom-Max-commute [of plus $k \ N$]
 by simp (blast intro: arg-cong [where $f = \text{Max}$])
qed

end

context *linordered-ab-group-add*

begin

lemma *minus-Max-eq-Min* [simp]:

$finite\ S \implies S \neq \{\} \implies - (Max\ S) = Min\ (uminus\ 'S)$

by (induct *S* rule: *finite-ne-induct*) (simp-all add: *minus-max-eq-min*)

lemma *minus-Min-eq-Max* [simp]:

$finite\ S \implies S \neq \{\} \implies - (Min\ S) = Max\ (uminus\ 'S)$

by (induct *S* rule: *finite-ne-induct*) (simp-all add: *minus-min-eq-max*)

end

end

29 Equiv-Relations: Equivalence Relations in Higher-Order Set Theory

theory *Equiv-Relations*

imports *Big-Operators Relation Plain*

begin

29.1 Equivalence relations

locale *equiv* =

fixes *A* **and** *r*

assumes *refl-on*: *refl-on A r*

and *sym*: *sym r*

and *trans*: *trans r*

Suppes, Theorem 70: *r* is an equiv relation iff $r^{-1} \circ r = r$.

First half: $equiv\ A\ r \implies r^{-1} \circ r = r$.

lemma *sym-trans-comp-subset*:

$sym\ r \implies trans\ r \implies r^{-1} \circ r \subseteq r$

by (unfold *trans-def sym-def converse-def*) *blast*

lemma *refl-on-comp-subset*: $refl-on\ A\ r \implies r \subseteq r^{-1} \circ r$

by (unfold *refl-on-def*) *blast*

lemma *equiv-comp-eq*: $equiv\ A\ r \implies r^{-1} \circ r = r$

apply (unfold *equiv-def*)

apply *clarify*

apply (rule *equalityI*)

apply (iprover intro: *sym-trans-comp-subset refl-on-comp-subset*)

done

Second half.

lemma *comp-equivI*:

```

 $r^{-1} \circ r = r \implies \text{Domain } r = A \implies \text{equiv } A \ r$ 
apply (unfold equiv-def refl-on-def sym-def trans-def)
apply (erule equalityE)
apply (subgoal-tac  $\forall x y. (x, y) \in r \longrightarrow (y, x) \in r$ )
apply fast
apply fast
done

```

29.2 Equivalence classes

lemma *equiv-class-subset*:

```

 $\text{equiv } A \ r \implies (a, b) \in r \implies r^{\{\{a\}\}} \subseteq r^{\{\{b\}\}}$ 
— lemma for the next result
by (unfold equiv-def trans-def sym-def) blast

```

theorem *equiv-class-eq*: $\text{equiv } A \ r \implies (a, b) \in r \implies r^{\{\{a\}\}} = r^{\{\{b\}\}}$

```

apply (assumption | rule equalityI equiv-class-subset)+
apply (unfold equiv-def sym-def)
apply blast
done

```

lemma *equiv-class-self*: $\text{equiv } A \ r \implies a \in A \implies a \in r^{\{\{a\}\}}$

```

by (unfold equiv-def refl-on-def) blast

```

lemma *subset-equiv-class*:

```

 $\text{equiv } A \ r \implies r^{\{\{b\}\}} \subseteq r^{\{\{a\}\}} \implies b \in A \implies (a, b) \in r$ 
— lemma for the next result
by (unfold equiv-def refl-on-def) blast

```

lemma *eq-equiv-class*:

```

 $r^{\{\{a\}\}} = r^{\{\{b\}\}} \implies \text{equiv } A \ r \implies b \in A \implies (a, b) \in r$ 
by (iprover intro: equalityD2 subset-equiv-class)

```

lemma *equiv-class-nondisjoint*:

```

 $\text{equiv } A \ r \implies x \in (r^{\{\{a\}\}} \cap r^{\{\{b\}\}}) \implies (a, b) \in r$ 
by (unfold equiv-def trans-def sym-def) blast

```

lemma *equiv-type*: $\text{equiv } A \ r \implies r \subseteq A \times A$

```

by (unfold equiv-def refl-on-def) blast

```

theorem *equiv-class-eq-iff*:

```

 $\text{equiv } A \ r \implies ((x, y) \in r) = (r^{\{\{x\}\}} = r^{\{\{y\}\}} \ \& \ x \in A \ \& \ y \in A)$ 
by (blast intro!: equiv-class-eq dest: eq-equiv-class equiv-type)

```

theorem *eq-equiv-class-iff*:

```

 $\text{equiv } A \ r \implies x \in A \implies y \in A \implies (r^{\{\{x\}\}} = r^{\{\{y\}\}}) = ((x, y) \in r)$ 
by (blast intro!: equiv-class-eq dest: eq-equiv-class equiv-type)

```

29.3 Quotients

definition *quotient* :: 'a set \Rightarrow ('a \times 'a) set \Rightarrow 'a set set (infixl '/' 90) where
 [code del]: $A//r = (\bigcup x \in A. \{r''\{x\}\})$ — set of equiv classes

lemma *quotientI*: $x \in A \implies r''\{x\} \in A//r$
 by (unfold quotient-def) blast

lemma *quotientE*:
 $X \in A//r \implies (!x. X = r''\{x\} \implies x \in A \implies P) \implies P$
 by (unfold quotient-def) blast

lemma *Union-quotient*: $\text{equiv } A \ r \implies \text{Union } (A//r) = A$
 by (unfold equiv-def refl-on-def quotient-def) blast

lemma *quotient-disj*:
 $\text{equiv } A \ r \implies X \in A//r \implies Y \in A//r \implies X = Y \mid (X \cap Y = \{\})$
 apply (unfold quotient-def)
 apply clarify
 apply (rule equiv-class-eq)
 apply assumption
 apply (unfold equiv-def trans-def sym-def)
 apply blast
 done

lemma *quotient-eqI*:
 $[\text{equiv } A \ r; X \in A//r; Y \in A//r; x \in X; y \in Y; (x,y) \in r] \implies X = Y$
 apply (clarify elim!: quotientE)
 apply (rule equiv-class-eq, assumption)
 apply (unfold equiv-def sym-def trans-def, blast)
 done

lemma *quotient-eq-iff*:
 $[\text{equiv } A \ r; X \in A//r; Y \in A//r; x \in X; y \in Y] \implies (X = Y) = ((x,y) \in r)$
 apply (rule iffI)
 prefer 2 apply (blast del: equalityI intro: quotient-eqI)
 apply (clarify elim!: quotientE)
 apply (unfold equiv-def sym-def trans-def, blast)
 done

lemma *eq-equiv-class-iff2*:
 $[\text{equiv } A \ r; x \in A; y \in A] \implies (\{x\}/r = \{y\}/r) = ((x,y) : r)$
 by (simp add: quotient-def eq-equiv-class-iff)

lemma *quotient-empty* [simp]: $\{\}/r = \{\}$
 by (simp add: quotient-def)

lemma *quotient-is-empty* [iff]: $(A//r = \{\}) = (A = \{\})$

by(*simp add: quotient-def*)

lemma *quotient-is-empty2* [*iff*]: $(\{\} = A//r) = (A = \{\})$
by(*simp add: quotient-def*)

lemma *singleton-quotient*: $\{x\} // r = \{r \text{ `` } \{x\}\}$
by(*simp add: quotient-def*)

lemma *quotient-diff1*:
 $\llbracket \text{inj-on } (\%a. \{a\} // r) \ A; \ a \in A \rrbracket \implies (A - \{a\}) // r = A // r - \{a\} // r$
apply(*simp add: quotient-def inj-on-def*)
apply *blast*
done

29.4 Defining unary operations upon equivalence classes

A congruence-preserving function

locale *congruent* =
fixes *r* **and** *f*
assumes *congruent*: $(y, z) \in r \implies f\ y = f\ z$

abbreviation
 $RESPECTS :: ('a \implies 'b) \implies ('a * 'a) \text{ set} \implies \text{bool}$
 $(\text{infixr } respects\ 80) \text{ where}$
 $f\ respects\ r == \text{congruent } r\ f$

lemma *UN-constant-eq*: $a \in A \implies \forall y \in A. f\ y = c \implies (\bigcup y \in A. f(y)) = c$
— lemma required to prove *UN-equiv-class*
by *auto*

lemma *UN-equiv-class*:
 $\text{equiv } A\ r \implies f\ respects\ r \implies a \in A$
 $\implies (\bigcup x \in r \text{ `` } \{a\}. f\ x) = f\ a$
— Conversion rule
apply (*rule equiv-class-self [THEN UN-constant-eq], assumption+*)
apply (*unfold equiv-def congruent-def sym-def*)
apply (*blast del: equalityI*)
done

lemma *UN-equiv-class-type*:
 $\text{equiv } A\ r \implies f\ respects\ r \implies X \in A // r \implies$
 $(!!x. x \in A \implies f\ x \in B) \implies (\bigcup x \in X. f\ x) \in B$
apply (*unfold quotient-def*)
apply *clarify*
apply (*subst UN-equiv-class*)
apply *auto*
done

Sufficient conditions for injectiveness. Could weaken premises! major premise could be an inclusion; bcong could be $!!y. y \in A \implies f y \in B$.

lemma *UN-equiv-class-inject*:

```
equiv A r ==> f respects r ==>
  (⋃ x ∈ X. f x) = (⋃ y ∈ Y. f y) ==> X ∈ A//r ==> Y ∈ A//r
  ==> (!!x y. x ∈ A ==> y ∈ A ==> f x = f y ==> (x, y) ∈ r)
  ==> X = Y
```

apply (*unfold quotient-def*)

apply *clarify*

apply (*rule equiv-class-eq*)

apply *assumption*

apply (*subgoal-tac f x = f xa*)

apply *blast*

apply (*erule box-equals*)

apply (*assumption | rule UN-equiv-class*) +

done

29.5 Defining binary operations upon equivalence classes

A congruence-preserving function of two arguments

locale *congruent2* =

fixes *r1 and r2 and f*

assumes *congruent2*:

$(y1, z1) \in r1 \implies (y2, z2) \in r2 \implies f y1 y2 = f z1 z2$

Abbreviation for the common case where the relations are identical

abbreviation

RESPECTS2:: $['a \Rightarrow 'a \Rightarrow 'b, ('a * 'a) \text{ set}] \Rightarrow \text{bool}$

(**infixr** *respects2* 80) **where**

f respects2 r == congruent2 r r f

lemma *congruent2-implies-congruent*:

$\text{equiv } A \text{ } r1 \implies \text{congruent2 } r1 \text{ } r2 \text{ } f \implies a \in A \implies \text{congruent } r2 \text{ } (f a)$

by (*unfold congruent-def congruent2-def equiv-def refl-on-def*) *blast*

lemma *congruent2-implies-congruent-UN*:

$\text{equiv } A1 \text{ } r1 \implies \text{equiv } A2 \text{ } r2 \implies \text{congruent2 } r1 \text{ } r2 \text{ } f \implies a \in A2 \implies$

$\text{congruent } r1 \text{ } (\lambda x1. \bigcup x2 \in r2^{\{a\}}. f x1 x2)$

apply (*unfold congruent-def*)

apply *clarify*

apply (*rule equiv-type [THEN subsetD, THEN SigmaE2], assumption+*)

apply (*simp add: UN-equiv-class congruent2-implies-congruent*)

apply (*unfold congruent2-def equiv-def refl-on-def*)

apply (*blast del: equalityI*)

done

lemma *UN-equiv-class2*:

```

equiv A1 r1 ==> equiv A2 r2 ==> congruent2 r1 r2 f ==> a1 ∈ A1 ==> a2
∈ A2
==> (⋃ x1 ∈ r1“{a1}. ⋃ x2 ∈ r2“{a2}. f x1 x2) = f a1 a2
by (simp add: UN-equiv-class congruent2-implies-congruent
congruent2-implies-congruent-UN)

```

lemma *UN-equiv-class-type2*:

```

equiv A1 r1 ==> equiv A2 r2 ==> congruent2 r1 r2 f
==> X1 ∈ A1//r1 ==> X2 ∈ A2//r2
==> (!!x1 x2. x1 ∈ A1 ==> x2 ∈ A2 ==> f x1 x2 ∈ B)
==> (⋃ x1 ∈ X1. ⋃ x2 ∈ X2. f x1 x2) ∈ B
apply (unfold quotient-def)
apply clarify
apply (blast intro: UN-equiv-class-type congruent2-implies-congruent-UN
congruent2-implies-congruent quotientI)
done

```

lemma *UN-UN-split-split-eq*:

```

(⋃ (x1, x2) ∈ X. ⋃ (y1, y2) ∈ Y. A x1 x2 y1 y2) =
(⋃ x ∈ X. ⋃ y ∈ Y. (λ(x1, x2). (λ(y1, y2). A x1 x2 y1 y2) y) x)
— Allows a natural expression of binary operators,
— without explicit calls to split
by auto

```

lemma *congruent2I*:

```

equiv A1 r1 ==> equiv A2 r2
==> (!!y z w. w ∈ A2 ==> (y,z) ∈ r1 ==> f y w = f z w)
==> (!!y z w. w ∈ A1 ==> (y,z) ∈ r2 ==> f w y = f w z)
==> congruent2 r1 r2 f
— Suggested by John Harrison – the two subproofs may be
— much simpler than the direct proof.
apply (unfold congruent2-def equiv-def refl-on-def)
apply clarify
apply (blast intro: trans)
done

```

lemma *congruent2-commuteI*:

```

assumes equivA: equiv A r
and commute: !!y z. y ∈ A ==> z ∈ A ==> f y z = f z y
and cong: !!y z w. w ∈ A ==> (y,z) ∈ r ==> f w y = f w z
shows f respects2 r
apply (rule congruent2I [OF equivA equivA])
apply (rule commute [THEN trans])
apply (rule-tac [3] commute [THEN trans, symmetric])
apply (rule-tac [5] sym)
apply (rule cong | assumption |
erule equivA [THEN equiv-type, THEN subsetD, THEN SigmaE2])
done

```

29.6 Quotients and finiteness

Suggested by Florian Kammüller

```

lemma finite-quotient: finite  $A \implies r \subseteq A \times A \implies \text{finite } (A/r)$ 
  — recall equiv  $?A \ ?r \implies ?r \subseteq ?A \times ?A$ 
  apply (rule finite-subset)
    apply (erule-tac [2] finite-Pow-iff [THEN iffD2])
  apply (unfold quotient-def)
  apply blast
done

lemma finite-equiv-class:
  finite  $A \implies r \subseteq A \times A \implies X \in A/r \implies \text{finite } X$ 
  apply (unfold quotient-def)
  apply (rule finite-subset)
  prefer 2 apply assumption
  apply blast
done

lemma equiv-imp-dvd-card:
  finite  $A \implies \text{equiv } A \ r \implies \forall X \in A/r. k \text{ dvd card } X$ 
   $\implies k \text{ dvd card } A$ 
  apply (rule Union-quotient [THEN subst [where  $P = \lambda A. k \text{ dvd card } A$ ]])
  apply assumption
  apply (rule dvd-partition)
  prefer 3 apply (blast dest: quotient-disj)
  apply (simp-all add: Union-quotient equiv-type)
done

lemma card-quotient-disjoint:
   $\llbracket \text{finite } A; \text{inj-on } (\lambda x. \{x\} // r) \ A \rrbracket \implies \text{card}(A/r) = \text{card } A$ 
  apply(simp add:quotient-def)
  apply(subst card-UN-disjoint)
  apply assumption
  apply simp
  apply(fastsimp simp add:inj-on-def)
  apply simp
done

end

```

30 Int: The Integers as Equivalence Classes over Pairs of Natural Numbers

```

theory Int
imports Equiv-Relations Nat Wellfounded
uses

```

```

(Tools/numeral.ML)
(Tools/numeral-syntax.ML)
(Tools/int-arith.ML)
begin

```

30.1 The equivalence relation underlying the integers

definition *intrel* :: $((\text{nat} \times \text{nat}) \times (\text{nat} \times \text{nat})) \text{ set}$ **where**
 $\text{[code del]: } \text{intrel} = \{(x, y), (u, v) \mid x \text{ y } u \text{ v. } x + v = u + y \}$

typedef (*Integ*)
int = *UNIV* // *intrel*
by (*auto simp add: quotient-def*)

instantiation *int* :: $\{\text{zero}, \text{one}, \text{plus}, \text{minus}, \text{uminus}, \text{times}, \text{ord}, \text{abs}, \text{sgn}\}$
begin

definition
Zero-int-def $\text{[code del]: } 0 = \text{Abs-Integ } (\text{intrel } “ \{(0, 0)\})$

definition
One-int-def $\text{[code del]: } 1 = \text{Abs-Integ } (\text{intrel } “ \{(1, 0)\})$

definition
add-int-def $\text{[code del]: } z + w = \text{Abs-Integ}$
 $(\bigcup (x, y) \in \text{Rep-Integ } z. \bigcup (u, v) \in \text{Rep-Integ } w.$
 $\text{intrel } “ \{(x + u, y + v)\})$

definition
minus-int-def [code del]:
 $- z = \text{Abs-Integ } (\bigcup (x, y) \in \text{Rep-Integ } z. \text{intrel } “ \{(y, x)\})$

definition
diff-int-def $\text{[code del]: } z - w = z + (-w :: \text{int})$

definition
mult-int-def $\text{[code del]: } z * w = \text{Abs-Integ}$
 $(\bigcup (x, y) \in \text{Rep-Integ } z. \bigcup (u, v) \in \text{Rep-Integ } w.$
 $\text{intrel } “ \{(x*u + y*v, x*v + y*u)\})$

definition
le-int-def [code del]:
 $z \leq w \longleftrightarrow (\exists x \text{ y } u \text{ v. } x + v \leq u + y \wedge (x, y) \in \text{Rep-Integ } z \wedge (u, v) \in \text{Rep-Integ } w)$

definition
less-int-def $\text{[code del]: } (z :: \text{int}) < w \longleftrightarrow z \leq w \wedge z \neq w$

definition

zabs-def: $|i::int| = (\text{if } i < 0 \text{ then } -i \text{ else } i)$

definition

zsgn-def: $\text{sgn } (i::int) = (\text{if } i=0 \text{ then } 0 \text{ else if } 0 < i \text{ then } 1 \text{ else } -1)$

instance ..

end

30.2 Construction of the Integers

lemma *intrel-iff* [*simp*]: $((x,y),(u,v)) \in \text{intrel} = (x+v = u+y)$
by (*simp add: intrel-def*)

lemma *equiv-intrel*: *equiv UNIV intrel*

by (*simp add: intrel-def equiv-def refl-on-def sym-def trans-def*)

Reduces equality of equivalence classes to the *intrel* relation: $(\text{intrel } \{x\} = \text{intrel } \{y\}) = ((x, y) \in \text{intrel})$

lemmas *equiv-intrel-iff* [*simp*] = *eq-equiv-class-iff* [*OF equiv-intrel UNIV-I UNIV-I*]

All equivalence classes belong to set of representatives

lemma [*simp*]: $\text{intrel } \{(x,y)\} \in \text{Integ}$

by (*auto simp add: Integ-def intrel-def quotient-def*)

Reduces equality on abstractions to equality on representatives: $\llbracket x \in \text{Integ}; y \in \text{Integ} \rrbracket \implies (\text{Abs-Integ } x = \text{Abs-Integ } y) = (x = y)$

declare *Abs-Integ-inject* [*simp,no-atp*] *Abs-Integ-inverse* [*simp,no-atp*]

Case analysis on the representation of an integer as an equivalence class of pairs of naturals.

lemma *eq-Abs-Integ* [*case-names Abs-Integ, cases type: int*]:

$(!!x \ y. z = \text{Abs-Integ}(\text{intrel } \{(x,y)\}) \implies P) \implies P$

apply (*rule Abs-Integ-cases [of z]*)

apply (*auto simp add: Integ-def quotient-def*)

done

30.3 Arithmetic Operations

lemma *minus*: $-\text{Abs-Integ}(\text{intrel } \{(x,y)\}) = \text{Abs-Integ}(\text{intrel } \{(y,x)\})$

proof –

have $(\lambda(x,y). \text{intrel } \{(y,x)\}) \text{ respects intrel}$

by (*simp add: congruent-def*)

thus *?thesis*

by (*simp add: minus-int-def UN-equiv-class [OF equiv-intrel]*)

qed

lemma *add*:

```

    Abs-Integ (intrel“(x,y)”) + Abs-Integ (intrel“(u,v)”) =
    Abs-Integ (intrel“(x+u, y+v)”)
proof –
  have (λz w. (λ(x,y). (λ(u,v). intrel“(x+u, y+v)”) w) z)
    respects2 intrel
  by (simp add: congruent2-def)
  thus ?thesis
  by (simp add: add-int-def UN-UN-split-split-eq
    UN-equiv-class2 [OF equiv-intrel equiv-intrel])
qed

```

Congruence property for multiplication

```

lemma mult-congruent2:
  (%p1 p2. (%(x,y). (%(u,v). intrel“(x*u + y*v, x*v + y*u)”) p2) p1)
  respects2 intrel
apply (rule equiv-intrel [THEN congruent2-commuteI])
apply (force simp add: mult-ac, clarify)
apply (simp add: congruent-def mult-ac)
apply (rename-tac u v w x y z)
apply (subgoal-tac u*y + x*y = w*y + v*y & u*z + x*z = w*z + v*z)
apply (simp add: mult-ac)
apply (simp add: add-mult-distrib [symmetric])
done

```

```

lemma mult:
  Abs-Integ((intrel“(x,y)”)) * Abs-Integ((intrel“(u,v)”)) =
  Abs-Integ(intrel“(x*u + y*v, x*v + y*u)”)
by (simp add: mult-int-def UN-UN-split-split-eq mult-congruent2
  UN-equiv-class2 [OF equiv-intrel equiv-intrel])

```

The integers form a *comm-ring-1*

```

instance int :: comm-ring-1
proof
  fix i j k :: int
  show (i + j) + k = i + (j + k)
    by (cases i, cases j, cases k) (simp add: add-add-assoc)
  show i + j = j + i
    by (cases i, cases j) (simp add: add-ac add)
  show 0 + i = i
    by (cases i) (simp add: Zero-int-def add)
  show - i + i = 0
    by (cases i) (simp add: Zero-int-def minus add)
  show i - j = i + - j
    by (simp add: diff-int-def)
  show (i * j) * k = i * (j * k)
    by (cases i, cases j, cases k) (simp add: mult-algebra-simps)
  show i * j = j * i
    by (cases i, cases j) (simp add: mult-algebra-simps)
  show 1 * i = i

```

```

    by (cases i) (simp add: One-int-def mult)
  show (i + j) * k = i * k + j * k
    by (cases i, cases j, cases k) (simp add: add mult algebra-simps)
  show 0 ≠ (1::int)
    by (simp add: Zero-int-def One-int-def)
qed

```

```

lemma int-def: of-nat m = Abs-Integ (intrel “ {(m, 0)}”)
by (induct m, simp-all add: Zero-int-def One-int-def add)

```

30.4 The \leq Ordering

```

lemma le:
  (Abs-Integ(intrel“{(x,y)}”) ≤ Abs-Integ(intrel“{(u,v)}”)) = (x+v ≤ u+y)
by (force simp add: le-int-def)

```

```

lemma less:
  (Abs-Integ(intrel“{(x,y)}”) < Abs-Integ(intrel“{(u,v)}”)) = (x+v < u+y)
by (simp add: less-int-def le order-less-le)

```

```

instance int :: linorder

```

```

proof
  fix i j k :: int
  show antisym: i ≤ j ⟹ j ≤ i ⟹ i = j
    by (cases i, cases j) (simp add: le)
  show (i < j) = (i ≤ j ∧ ¬ j ≤ i)
    by (auto simp add: less-int-def dest: antisym)
  show i ≤ i
    by (cases i) (simp add: le)
  show i ≤ j ⟹ j ≤ k ⟹ i ≤ k
    by (cases i, cases j, cases k) (simp add: le)
  show i ≤ j ∨ j ≤ i
    by (cases i, cases j) (simp add: le linorder-linear)
qed

```

```

instantiation int :: distrib-lattice
begin

```

```

definition
  (inf :: int ⇒ int ⇒ int) = min

```

```

definition
  (sup :: int ⇒ int ⇒ int) = max

```

```

instance
  by intro-classes
  (auto simp add: inf-int-def sup-int-def min-max.sup-inf-distrib1)

```

```

end

```



```

instance int :: ordered-cancel-ab-semigroup-add
proof
  fix i j k :: int
  show i ≤ j ==> k + i ≤ k + j
    by (cases i, cases j, cases k) (simp add: le add)
qed

```

Strict Monotonicity of Multiplication

strict, in 1st argument; proof is by induction on $k \geq 0$

```

lemma zmult-zless-mono2-lemma:
  (i::int) < j ==> 0 < k ==> of-nat k * i < of-nat k * j
apply (induct k, simp)
apply (simp add: left-distrib)
apply (case-tac k=0)
apply (simp-all add: add-strict-mono)
done

```

```

lemma zero-le-imp-eq-int: (0::int) ≤ k ==> ∃ n. k = of-nat n
apply (cases k)
apply (auto simp add: le add int-def Zero-int-def)
apply (rule-tac x=x-y in exI, simp)
done

```

```

lemma zero-less-imp-eq-int: (0::int) < k ==> ∃ n>0. k = of-nat n
apply (cases k)
apply (simp add: less int-def Zero-int-def)
apply (rule-tac x=x-y in exI, simp)
done

```

```

lemma zmult-zless-mono2: [| i < j; (0::int) < k |] ==> k*i < k*j
apply (drule zero-less-imp-eq-int)
apply (auto simp add: zmult-zless-mono2-lemma)
done

```

The integers form an ordered integral domain

```

instance int :: linordered-idom
proof
  fix i j k :: int
  show i < j ==> 0 < k ==> k * i < k * j
    by (rule zmult-zless-mono2)
  show |i| = (if i < 0 then -i else i)
    by (simp only: zabs-def)
  show sgn (i::int) = (if i=0 then 0 else if 0<i then 1 else - 1)
    by (simp only: zsgn-def)
qed

```

```

lemma zless-imp-add1-zle: w < z ==> w + (1::int) ≤ z

```

```

apply (cases w, cases z)
apply (simp add: less le add One-int-def)
done

```

```

lemma zless-iff-Suc-zadd:
  (w :: int) < z  $\longleftrightarrow$  ( $\exists n. z = w + \text{of-nat } (\text{Suc } n)$ )
apply (cases z, cases w)
apply (auto simp add: less add int-def)
apply (rename-tac a b c d)
apply (rule-tac x=a+d - Suc(c+b) in exI)
apply arith
done

```

```

lemmas int-distrib =
  left-distrib [of z1::int z2 w, standard]
  right-distrib [of w::int z1 z2, standard]
  left-diff-distrib [of z1::int z2 w, standard]
  right-diff-distrib [of w::int z1 z2, standard]

```

30.5 Embedding of the Integers into any *ring-1*: *of-int*

```

context ring-1
begin

```

```

definition of-int :: int  $\Rightarrow$  'a where
  [code del]: of-int z = contents ( $\bigcup (i, j) \in \text{Rep-Integ } z. \{ \text{of-nat } i - \text{of-nat } j \}$ )

```

```

lemma of-int: of-int (Abs-Integ (intrel “ {(i,j)} ”)) = of-int i - of-int j

```

```

proof -
  have ( $\lambda(i,j). \{ \text{of-nat } i - (\text{of-nat } j :: 'a) \}$ ) respects intrel
  by (simp add: congruent-def algebra-simps of-nat-add [symmetric]
    del: of-nat-add)
  thus ?thesis
  by (simp add: of-int-def UN-equiv-class [OF equiv-intrel])
qed

```

```

lemma of-int-0 [simp]: of-int 0 = 0
by (simp add: of-int Zero-int-def)

```

```

lemma of-int-1 [simp]: of-int 1 = 1
by (simp add: of-int One-int-def)

```

```

lemma of-int-add [simp]: of-int (w+z) = of-int w + of-int z
by (cases w, cases z, simp add: algebra-simps of-int add)

```

```

lemma of-int-minus [simp]: of-int (-z) = - (of-int z)
by (cases z, simp add: algebra-simps of-int minus)

```

```

lemma of-int-diff [simp]: of-int (w - z) = of-int w - of-int z

```

by (*simp add: diff-minus Groups.diff-minus*)

lemma *of-int-mult* [*simp*]: $\text{of-int } (w * z) = \text{of-int } w * \text{of-int } z$
apply (*cases w, cases z*)
apply (*simp add: algebra-simps of-int mult of-nat-mult*)
done

Collapse nested embeddings

lemma *of-int-of-nat-eq* [*simp*]: $\text{of-int } (\text{of-nat } n) = \text{of-nat } n$
by (*induct n*) *auto*

lemma *of-int-power*:
 $\text{of-int } (z ^ n) = \text{of-int } z ^ n$
by (*induct n*) *simp-all*

end

Class for unital rings with characteristic zero. Includes non-ordered rings like the complex numbers.

class *ring-char-0* = *ring-1* + *semiring-char-0*
begin

lemma *of-int-eq-iff* [*simp*]:
 $\text{of-int } w = \text{of-int } z \longleftrightarrow w = z$
apply (*cases w, cases z, simp add: of-int*)
apply (*simp only: diff-eq-eq diff-add-eq eq-diff-eq*)
apply (*simp only: of-nat-add [symmetric] of-nat-eq-iff*)
done

Special cases where either operand is zero

lemma *of-int-eq-0-iff* [*simp*]:
 $\text{of-int } z = 0 \longleftrightarrow z = 0$
using *of-int-eq-iff [of z 0]* **by** *simp*

lemma *of-int-0-eq-iff* [*simp*]:
 $0 = \text{of-int } z \longleftrightarrow z = 0$
using *of-int-eq-iff [of 0 z]* **by** *simp*

end

context *linordered-idom*
begin

Every *linordered-idom* has characteristic zero.

subclass *ring-char-0* ..

lemma *of-int-le-iff* [*simp*]:
 $\text{of-int } w \leq \text{of-int } z \longleftrightarrow w \leq z$

by (*cases w, cases z, simp add: of-int le minus algebra-simps of-nat-add [symmetric]*
del: of-nat-add)

lemma *of-int-less-iff [simp]:*
 $of-int\ w < of-int\ z \iff w < z$
by (*simp add: less-le order-less-le*)

lemma *of-int-0-le-iff [simp]:*
 $0 \leq of-int\ z \iff 0 \leq z$
using *of-int-le-iff [of 0 z]* **by** *simp*

lemma *of-int-le-0-iff [simp]:*
 $of-int\ z \leq 0 \iff z \leq 0$
using *of-int-le-iff [of z 0]* **by** *simp*

lemma *of-int-0-less-iff [simp]:*
 $0 < of-int\ z \iff 0 < z$
using *of-int-less-iff [of 0 z]* **by** *simp*

lemma *of-int-less-0-iff [simp]:*
 $of-int\ z < 0 \iff z < 0$
using *of-int-less-iff [of z 0]* **by** *simp*

end

lemma *of-int-eq-id [simp]: of-int = id*
proof
fix *z* **show** $of-int\ z = id\ z$
by (*cases z*) (*simp add: of-int add minus int-def diff-minus*)
qed

30.6 Magnitude of an Integer, as a Natural Number: *nat*

definition

$nat :: int \Rightarrow nat$

where

[*code del*]: $nat\ z = contents\ (\bigcup (x, y) \in Rep-Integ\ z. \{x-y\})$

lemma *nat: nat (Abs-Integ (intrel“{(x,y)})) = x-y*

proof –

have $(\lambda(x,y). \{x-y\})$ *respects intrel*

by (*simp add: congruent-def*) *arith*

thus *?thesis*

by (*simp add: nat-def UN-equiv-class [OF equiv-intrel]*)

qed

lemma *nat-int [simp]: nat (of-nat n) = n*
by (*simp add: nat int-def*)

lemma *nat-zero* [*simp*]: $\text{nat } 0 = 0$
by (*simp add: Zero-int-def nat*)

lemma *int-nat-eq* [*simp*]: $\text{of-nat } (\text{nat } z) = (\text{if } 0 \leq z \text{ then } z \text{ else } 0)$
by (*cases z, simp add: nat le int-def Zero-int-def*)

corollary *nat-0-le*: $0 \leq z \implies \text{of-nat } (\text{nat } z) = z$
by *simp*

lemma *nat-le-0* [*simp*]: $z \leq 0 \implies \text{nat } z = 0$
by (*cases z, simp add: nat le Zero-int-def*)

lemma *nat-le-eq-zle*: $0 < w \mid 0 \leq z \implies (\text{nat } w \leq \text{nat } z) = (w \leq z)$
apply (*cases w, cases z*)
apply (*simp add: nat le linorder-not-le [symmetric] Zero-int-def, arith*)
done

An alternative condition is $(0::'a) \leq w$

corollary *nat-mono-iff*: $0 < z \implies (\text{nat } w < \text{nat } z) = (w < z)$
by (*simp add: nat-le-eq-zle linorder-not-le [symmetric]*)

corollary *nat-less-eq-zless*: $0 \leq w \implies (\text{nat } w < \text{nat } z) = (w < z)$
by (*simp add: nat-le-eq-zle linorder-not-le [symmetric]*)

lemma *zless-nat-conj* [*simp*]: $(\text{nat } w < \text{nat } z) = (0 < z \ \& \ w < z)$
apply (*cases w, cases z*)
apply (*simp add: nat le Zero-int-def linorder-not-le [symmetric], arith*)
done

lemma *nonneg-eq-int*:
fixes $z :: \text{int}$
assumes $0 \leq z$ **and** $\bigwedge m. z = \text{of-nat } m \implies P$
shows P
using *assms* **by** (*blast dest: nat-0-le sym*)

lemma *nat-eq-iff*: $(\text{nat } w = m) = (\text{if } 0 \leq w \text{ then } w = \text{of-nat } m \text{ else } m=0)$
by (*cases w, simp add: nat le int-def Zero-int-def, arith*)

corollary *nat-eq-iff2*: $(m = \text{nat } w) = (\text{if } 0 \leq w \text{ then } w = \text{of-nat } m \text{ else } m=0)$
by (*simp only: eq-commute [of m] nat-eq-iff*)

lemma *nat-less-iff*: $0 \leq w \implies (\text{nat } w < m) = (w < \text{of-nat } m)$
apply (*cases w*)
apply (*simp add: nat le int-def Zero-int-def linorder-not-le[symmetric], arith*)
done

lemma *nat-0-iff* [*simp*]: $\text{nat}(i::\text{int}) = 0 \iff i \leq 0$
by(*simp add: nat-eq-iff*) *arith*

lemma *int-eq-iff*: $(\text{of-nat } m = z) = (m = \text{nat } z \ \& \ 0 \leq z)$
by (*auto simp add: nat-eq-iff2*)

lemma *zero-less-nat-eq* [*simp*]: $(0 < \text{nat } z) = (0 < z)$
by (*insert zless-nat-conj [of 0], auto*)

lemma *nat-add-distrib*:
 $[(0 :: \text{int}) \leq z; \ 0 \leq z'] \implies \text{nat } (z + z') = \text{nat } z + \text{nat } z'$
by (*cases z, cases z', simp add: nat add le Zero-int-def*)

lemma *nat-diff-distrib*:
 $[(0 :: \text{int}) \leq z'; \ z' \leq z] \implies \text{nat } (z - z') = \text{nat } z - \text{nat } z'$
by (*cases z, cases z', simp add: nat add minus diff-minus le Zero-int-def*)

lemma *nat-zminus-int* [*simp*]: $\text{nat } (- (\text{of-nat } n)) = 0$
by (*simp add: int-def minus nat Zero-int-def*)

lemma *zless-nat-eq-int-zless*: $(m < \text{nat } z) = (\text{of-nat } m < z)$
by (*cases z, simp add: nat less int-def, arith*)

context *ring-1*
begin

lemma *of-nat-nat*: $0 \leq z \implies \text{of-nat } (\text{nat } z) = \text{of-int } z$
by (*cases z rule: eq-Abs-Integ*)
(simp add: nat le of-int Zero-int-def of-nat-diff)

end

For termination proofs:

lemma *measure-function-int*[*measure-function*]: *is-measure* (*nat o abs*) ..

30.7 Lemmas about the Function *of-nat* and Orderings

lemma *negative-zless-0*: $-(\text{of-nat } (\text{Suc } n)) < (0 :: \text{int})$
by (*simp add: order-less-le del: of-nat-Suc*)

lemma *negative-zless* [*iff*]: $-(\text{of-nat } (\text{Suc } n)) < (\text{of-nat } m :: \text{int})$
by (*rule negative-zless-0 [THEN order-less-le-trans], simp*)

lemma *negative-zle-0*: $-\text{of-nat } n \leq (0 :: \text{int})$
by (*simp add: minus-le-iff*)

lemma *negative-zle* [*iff*]: $-\text{of-nat } n \leq (\text{of-nat } m :: \text{int})$
by (*rule order-trans [OF negative-zle-0 of-nat-0-le-iff]*)

lemma *not-zle-0-negative* [*simp*]: $\sim (0 \leq -(\text{of-nat } (\text{Suc } n) :: \text{int}))$

by (*subst le-minus-iff*, *simp del: of-nat-Suc*)

lemma *int-zle-neg*: $((\text{of-nat } n :: \text{int}) \leq - \text{of-nat } m) = (n = 0 \ \& \ m = 0)$
by (*simp add: int-def le minus Zero-int-def*)

lemma *not-int-zless-negative* [*simp*]: $\sim ((\text{of-nat } n :: \text{int}) < - \text{of-nat } m)$
by (*simp add: linorder-not-less*)

lemma *negative-eq-positive* [*simp*]: $((- \text{of-nat } n :: \text{int}) = \text{of-nat } m) = (n = 0 \ \& \ m = 0)$
by (*force simp add: order-eq-iff [of - of-nat n] int-zle-neg*)

lemma *zle-iff-zadd*: $(w :: \text{int}) \leq z \iff (\exists n. z = w + \text{of-nat } n)$
proof –

have $(w \leq z) = (0 \leq z - w)$
 by (*simp only: le-diff-eq add-0-left*)
 also have $\dots = (\exists n. z - w = \text{of-nat } n)$
 by (*auto elim: zero-le-imp-eq-int*)
 also have $\dots = (\exists n. z = w + \text{of-nat } n)$
 by (*simp only: algebra-simps*)
 finally show ?thesis .

qed

lemma *zadd-int-left*: $\text{of-nat } m + (\text{of-nat } n + z) = \text{of-nat } (m + n) + (z :: \text{int})$
by *simp*

lemma *int-Suc0-eq-1*: $\text{of-nat } (\text{Suc } 0) = (1 :: \text{int})$
by *simp*

This version is proved for all ordered rings, not just integers! It is proved here because attribute *arith-split* is not available in theory *Rings*. But is it really better than just rewriting with *abs-if*?

lemma *abs-split* [*arith-split, no-atp*]:
 $P(\text{abs}(a :: 'a :: \text{linordered-idom})) = ((0 \leq a \implies P \ a) \ \& \ (a < 0 \implies P(-a)))$
by (*force dest: order-less-le-trans simp add: abs-if linorder-not-less*)

lemma *negD*: $(x :: \text{int}) < 0 \implies \exists n. x = - (\text{of-nat } (\text{Suc } n))$
apply (*cases x*)
apply (*auto simp add: le minus Zero-int-def int-def order-less-le*)
apply (*rule-tac x=y - Suc x in exI, arith*)
done

30.8 Cases and induction

Now we replace the case analysis rule by a more conventional one: whether an integer is negative or not.

theorem *int-cases* [*cases type: int, case-names nonneg neg*]:

```

  [|!! n. (z :: int) = of-nat n ==> P; !! n. z = - (of-nat (Suc n)) ==> P |]
==> P
apply (cases z < 0, blast dest!: negD)
apply (simp add: linorder-not-less del: of-nat-Suc)
apply auto
apply (blast dest: nat-0-le [THEN sym])
done

```

```

theorem int-of-nat-induct [induct type: int, case-names nonneg neg]:
  [|!! n. P (of-nat n :: int); !!n. P (- (of-nat (Suc n))) |] ==> P z
  by (cases z rule: int-cases) auto

```

Contributed by Brian Huffman

```

theorem int-diff-cases:
  obtains (diff) m n where (z::int) = of-nat m - of-nat n
apply (cases z rule: eq-Abs-Integ)
apply (rule-tac m=x and n=y in diff)
apply (simp add: int-def diff-def minus add)
done

```

30.9 Binary representation

This formalization defines binary arithmetic in terms of the integers rather than using a datatype. This avoids multiple representations (leading zeroes, etc.) See *ZF/Tools/twos-compl.ML*, function *int-of-binary*, for the numerical interpretation.

The representation expects that $(m \bmod 2)$ is 0 or 1, even if m is negative; For instance, $-5 \operatorname{div} 2 = -3$ and $-5 \bmod 2 = 1$; thus $-5 = (-3)*2 + 1$.

This two’s complement binary representation derives from the paper “An Efficient Representation of Arithmetic for Term Rewriting” by Dave Cohen and Phil Watson, *Rewriting Techniques and Applications*, Springer LNCS 488 (240-251), 1991.

30.9.1 The constructors *Bit0*, *Bit1*, *Pls* and *Min*

definition

```

  Pls :: int where
  [code del]: Pls = 0

```

definition

```

  Min :: int where
  [code del]: Min = - 1

```

definition

```

  Bit0 :: int => int where
  [code del]: Bit0 k = k + k

```


definition

$Bit1 :: int \Rightarrow int$ **where**
 $[code\ del]: Bit1\ k = 1 + k + k$

class *number* = — for numeric types: nat, int, real, ...

fixes *number-of* :: $int \Rightarrow 'a$

use *Tools/numeral.ML*

syntax

$-Numeral :: num-const \Rightarrow 'a \quad (-)$

use *Tools/numeral-syntax.ML*

setup *Numerals-Syntax.setup*

abbreviation

$Numerals0 \equiv number-of\ Pls$

abbreviation

$Numerals1 \equiv number-of\ (Bit1\ Pls)$

lemma *Let-number-of [simp]: Let (number-of v) f = f (number-of v)*

— Unfold all *lets* involving constants

unfolding *Let-def ..*

definition

$succ :: int \Rightarrow int$ **where**
 $[code\ del]: succ\ k = k + 1$

definition

$pred :: int \Rightarrow int$ **where**
 $[code\ del]: pred\ k = k - 1$

lemmas

$max-number-of\ [simp] = max-def$
 $[of\ number-of\ u\ number-of\ v,\ standard]$

and

$min-number-of\ [simp] = min-def$
 $[of\ number-of\ u\ number-of\ v,\ standard]$
 — unfolding *minx* and *max* on numerals

lemmas *numeral-simps =*

succ-def pred-def Pls-def Min-def Bit0-def Bit1-def

Removal of leading zeroes

lemma *Bit0-Pls [simp, code-post]:*

$Bit0\ Pls = Pls$

unfolding *numeral-simps by simp*

lemma *Bit1-Min* [*simp*, *code-post*]:
 Bit1 Min = Min
unfolding *numeral-simps* **by** *simp*

lemmas *normalize-bin-simps* =
 Bit0-Pls Bit1-Min

30.9.2 Successor and predecessor functions

Successor

lemma *succ-Pls*:
 succ Pls = Bit1 Pls
unfolding *numeral-simps* **by** *simp*

lemma *succ-Min*:
 succ Min = Pls
unfolding *numeral-simps* **by** *simp*

lemma *succ-Bit0*:
 succ (Bit0 k) = Bit1 k
unfolding *numeral-simps* **by** *simp*

lemma *succ-Bit1*:
 succ (Bit1 k) = Bit0 (succ k)
unfolding *numeral-simps* **by** *simp*

lemmas *succ-bin-simps* [*simp*] =
 succ-Pls succ-Min succ-Bit0 succ-Bit1

Predecessor

lemma *pred-Pls*:
 pred Pls = Min
unfolding *numeral-simps* **by** *simp*

lemma *pred-Min*:
 pred Min = Bit0 Min
unfolding *numeral-simps* **by** *simp*

lemma *pred-Bit0*:
 pred (Bit0 k) = Bit1 (pred k)
unfolding *numeral-simps* **by** *simp*

lemma *pred-Bit1*:
 pred (Bit1 k) = Bit0 k
unfolding *numeral-simps* **by** *simp*

lemmas *pred-bin-simps* [*simp*] =
 pred-Pls pred-Min pred-Bit0 pred-Bit1

30.9.3 Binary arithmetic

Addition

lemma *add-Pls*:

$$Pls + k = k$$

unfolding *numeral-simps* **by** *simp*

lemma *add-Min*:

$$Min + k = pred\ k$$

unfolding *numeral-simps* **by** *simp*

lemma *add-Bit0-Bit0*:

$$(Bit0\ k) + (Bit0\ l) = Bit0\ (k + l)$$

unfolding *numeral-simps* **by** *simp*

lemma *add-Bit0-Bit1*:

$$(Bit0\ k) + (Bit1\ l) = Bit1\ (k + l)$$

unfolding *numeral-simps* **by** *simp*

lemma *add-Bit1-Bit0*:

$$(Bit1\ k) + (Bit0\ l) = Bit1\ (k + l)$$

unfolding *numeral-simps* **by** *simp*

lemma *add-Bit1-Bit1*:

$$(Bit1\ k) + (Bit1\ l) = Bit0\ (k + succ\ l)$$

unfolding *numeral-simps* **by** *simp*

lemma *add-Pls-right*:

$$k + Pls = k$$

unfolding *numeral-simps* **by** *simp*

lemma *add-Min-right*:

$$k + Min = pred\ k$$

unfolding *numeral-simps* **by** *simp*

lemmas *add-bin-simps* [*simp*] =

add-Pls add-Min add-Pls-right add-Min-right

add-Bit0-Bit0 add-Bit0-Bit1 add-Bit1-Bit0 add-Bit1-Bit1

Negation

lemma *minus-Pls*:

$$- Pls = Pls$$

unfolding *numeral-simps* **by** *simp*

lemma *minus-Min*:

$$- Min = Bit1\ Pls$$

unfolding *numeral-simps* **by** *simp*

lemma *minus-Bit0*:

– $(\text{Bit0 } k) = \text{Bit0 } (-k)$
unfolding numeral-simps by simp

lemma minus-Bit1:
 – $(\text{Bit1 } k) = \text{Bit1 } (\text{pred } (-k))$
unfolding numeral-simps by simp

lemmas minus-bin-simps [simp] =
 minus-Pls minus-Min minus-Bit0 minus-Bit1

Subtraction

lemma diff-bin-simps [simp]:
 $k - \text{Pls} = k$
 $k - \text{Min} = \text{succ } k$
 $\text{Pls} - (\text{Bit0 } l) = \text{Bit0 } (\text{Pls} - l)$
 $\text{Pls} - (\text{Bit1 } l) = \text{Bit1 } (\text{Min} - l)$
 $\text{Min} - (\text{Bit0 } l) = \text{Bit1 } (\text{Min} - l)$
 $\text{Min} - (\text{Bit1 } l) = \text{Bit0 } (\text{Min} - l)$
 $(\text{Bit0 } k) - (\text{Bit0 } l) = \text{Bit0 } (k - l)$
 $(\text{Bit0 } k) - (\text{Bit1 } l) = \text{Bit1 } (\text{pred } k - l)$
 $(\text{Bit1 } k) - (\text{Bit0 } l) = \text{Bit1 } (k - l)$
 $(\text{Bit1 } k) - (\text{Bit1 } l) = \text{Bit0 } (k - l)$
unfolding numeral-simps by simp-all

Multiplication

lemma mult-Pls:
 $\text{Pls} * w = \text{Pls}$
unfolding numeral-simps by simp

lemma mult-Min:
 $\text{Min} * k = -k$
unfolding numeral-simps by simp

lemma mult-Bit0:
 $(\text{Bit0 } k) * l = \text{Bit0 } (k * l)$
unfolding numeral-simps int-distrib by simp

lemma mult-Bit1:
 $(\text{Bit1 } k) * l = (\text{Bit0 } (k * l)) + l$
unfolding numeral-simps int-distrib by simp

lemmas mult-bin-simps [simp] =
 mult-Pls mult-Min mult-Bit0 mult-Bit1

30.9.4 Binary comparisons

Preliminaries

lemma even-less-0-iff:

```

  a + a < 0  $\longleftrightarrow$  a < (0::'a::linordered-idom)
proof -
  have a + a < 0  $\longleftrightarrow$  (1+1)*a < 0 by (simp add: left-distrib)
  also have (1+1)*a < 0  $\longleftrightarrow$  a < 0
    by (simp add: mult-less-0-iff zero-less-two
              order-less-not-sym [OF zero-less-two])
  finally show ?thesis .
qed

lemma le-imp-0-less:
  assumes le: 0  $\leq$  z
  shows (0::int) < 1 + z
proof -
  have 0  $\leq$  z by fact
  also have ... < z + 1 by (rule less-add-one)
  also have ... = 1 + z by (simp add: add-ac)
  finally show 0 < 1 + z .
qed

lemma odd-less-0-iff:
  (1 + z + z < 0) = (z < (0::int))
proof (cases z rule: int-cases)
  case (nonneg n)
  thus ?thesis by (simp add: linorder-not-less add-assoc add-increasing
                          le-imp-0-less [THEN order-less-imp-le])
next
  case (neg n)
  thus ?thesis by (simp del: of-nat-Suc of-nat-add of-nat-1
                    add: algebra-simps of-nat-1 [where 'a=int, symmetric] of-nat-add [symmetric])
qed

lemma bin-less-0-simps:
  Pls < 0  $\longleftrightarrow$  False
  Min < 0  $\longleftrightarrow$  True
  Bit0 w < 0  $\longleftrightarrow$  w < 0
  Bit1 w < 0  $\longleftrightarrow$  w < 0
  unfolding numeral-simps
  by (simp-all add: even-less-0-iff odd-less-0-iff)

lemma less-bin-lemma: k < l  $\longleftrightarrow$  k - l < (0::int)
  by simp

lemma le-iff-pred-less: k  $\leq$  l  $\longleftrightarrow$  pred k < l
  unfolding numeral-simps
  proof
    have k - 1 < k by simp
    also assume k  $\leq$  l
    finally show k - 1 < l .
  next

```

assume $k - 1 < l$
hence $(k - 1) + 1 \leq l$ **by** (rule *zless-imp-add1-zle*)
thus $k \leq l$ **by** *simp*
qed

lemma *succ-pred*: $\text{succ} (\text{pred } x) = x$
unfolding *numeral-simps* **by** *simp*

Less-than

lemma *less-bin-simps* [*simp*]:
 $\text{Pls} < \text{Pls} \longleftrightarrow \text{False}$
 $\text{Pls} < \text{Min} \longleftrightarrow \text{False}$
 $\text{Pls} < \text{Bit0 } k \longleftrightarrow \text{Pls} < k$
 $\text{Pls} < \text{Bit1 } k \longleftrightarrow \text{Pls} \leq k$
 $\text{Min} < \text{Pls} \longleftrightarrow \text{True}$
 $\text{Min} < \text{Min} \longleftrightarrow \text{False}$
 $\text{Min} < \text{Bit0 } k \longleftrightarrow \text{Min} < k$
 $\text{Min} < \text{Bit1 } k \longleftrightarrow \text{Min} < k$
 $\text{Bit0 } k < \text{Pls} \longleftrightarrow k < \text{Pls}$
 $\text{Bit0 } k < \text{Min} \longleftrightarrow k \leq \text{Min}$
 $\text{Bit1 } k < \text{Pls} \longleftrightarrow k < \text{Pls}$
 $\text{Bit1 } k < \text{Min} \longleftrightarrow k < \text{Min}$
 $\text{Bit0 } k < \text{Bit0 } l \longleftrightarrow k < l$
 $\text{Bit0 } k < \text{Bit1 } l \longleftrightarrow k \leq l$
 $\text{Bit1 } k < \text{Bit0 } l \longleftrightarrow k < l$
 $\text{Bit1 } k < \text{Bit1 } l \longleftrightarrow k < l$
unfolding *le-iff-pred-less*
less-bin-lemma [*of Pls*]
less-bin-lemma [*of Min*]
less-bin-lemma [*of k*]
less-bin-lemma [*of Bit0 k*]
less-bin-lemma [*of Bit1 k*]
less-bin-lemma [*of pred Pls*]
less-bin-lemma [*of pred k*]
by (*simp-all add: bin-less-0-simps succ-pred*)

Less-than-or-equal

lemma *le-bin-simps* [*simp*]:
 $\text{Pls} \leq \text{Pls} \longleftrightarrow \text{True}$
 $\text{Pls} \leq \text{Min} \longleftrightarrow \text{False}$
 $\text{Pls} \leq \text{Bit0 } k \longleftrightarrow \text{Pls} \leq k$
 $\text{Pls} \leq \text{Bit1 } k \longleftrightarrow \text{Pls} \leq k$
 $\text{Min} \leq \text{Pls} \longleftrightarrow \text{True}$
 $\text{Min} \leq \text{Min} \longleftrightarrow \text{True}$
 $\text{Min} \leq \text{Bit0 } k \longleftrightarrow \text{Min} < k$
 $\text{Min} \leq \text{Bit1 } k \longleftrightarrow \text{Min} \leq k$
 $\text{Bit0 } k \leq \text{Pls} \longleftrightarrow k \leq \text{Pls}$
 $\text{Bit0 } k \leq \text{Min} \longleftrightarrow k \leq \text{Min}$
 $\text{Bit1 } k \leq \text{Pls} \longleftrightarrow k < \text{Pls}$

```

    Bit1 k ≤ Min ↔ k ≤ Min
    Bit0 k ≤ Bit0 l ↔ k ≤ l
    Bit0 k ≤ Bit1 l ↔ k ≤ l
    Bit1 k ≤ Bit0 l ↔ k < l
    Bit1 k ≤ Bit1 l ↔ k ≤ l
unfolding not-less [symmetric]
by (simp-all add: not-le)

```

Equality

lemma *eq-bin-simps* [simp]:

```

    Pls = Pls ↔ True
    Pls = Min ↔ False
    Pls = Bit0 l ↔ Pls = l
    Pls = Bit1 l ↔ False
    Min = Pls ↔ False
    Min = Min ↔ True
    Min = Bit0 l ↔ False
    Min = Bit1 l ↔ Min = l
    Bit0 k = Pls ↔ k = Pls
    Bit0 k = Min ↔ False
    Bit1 k = Pls ↔ False
    Bit1 k = Min ↔ k = Min
    Bit0 k = Bit0 l ↔ k = l
    Bit0 k = Bit1 l ↔ False
    Bit1 k = Bit0 l ↔ False
    Bit1 k = Bit1 l ↔ k = l
unfolding order-eq-iff [where 'a=int]
by (simp-all add: not-less)

```

30.10 Converting Numerals to Rings: *number-of*

```

class number-ring = number + comm-ring-1 +
  assumes number-of-eq: number-of k = of-int k

```

self-embedding of the integers

```

instantiation int :: number-ring
begin

```

```

definition int-number-of-def [code del]:
  number-of w = (of-int w :: int)

```

```

instance proof
qed (simp only: int-number-of-def)

```

end

```

lemma number-of-is-id:
  number-of (k::int) = k
unfolding int-number-of-def by simp

```

lemma *number-of-succ*:

number-of (*succ* *k*) = (*1* + *number-of* *k* :: 'a::number-ring)

unfolding *number-of-eq* *numeral-simps* **by** *simp*

lemma *number-of-pred*:

number-of (*pred* *w*) = (*- 1* + *number-of* *w* :: 'a::number-ring)

unfolding *number-of-eq* *numeral-simps* **by** *simp*

lemma *number-of-minus*:

number-of (*uminus* *w*) = (*-* (*number-of* *w*) :: 'a::number-ring)

unfolding *number-of-eq* **by** (*rule of-int-minus*)

lemma *number-of-add*:

number-of (*v* + *w*) = (*number-of* *v* + *number-of* *w* :: 'a::number-ring)

unfolding *number-of-eq* **by** (*rule of-int-add*)

lemma *number-of-diff*:

number-of (*v* - *w*) = (*number-of* *v* - *number-of* *w* :: 'a::number-ring)

unfolding *number-of-eq* **by** (*rule of-int-diff*)

lemma *number-of-mult*:

number-of (*v* * *w*) = (*number-of* *v* * *number-of* *w* :: 'a::number-ring)

unfolding *number-of-eq* **by** (*rule of-int-mult*)

The correctness of shifting. But it doesn't seem to give a measurable speed-up.

lemma *double-number-of-Bit0*:

(*1* + *1*) * *number-of* *w* = (*number-of* (*Bit0* *w*) :: 'a::number-ring)

unfolding *number-of-eq* *numeral-simps* *left-distrib* **by** *simp*

Converting numerals 0 and 1 to their abstract versions.

lemma *numeral-0-eq-0* [*simp*, *code-post*]:

Numeral0 = (*0* :: 'a::number-ring)

unfolding *number-of-eq* *numeral-simps* **by** *simp*

lemma *numeral-1-eq-1* [*simp*, *code-post*]:

Numeral1 = (*1* :: 'a::number-ring)

unfolding *number-of-eq* *numeral-simps* **by** *simp*

Special-case simplification for small constants.

Unary minus for the abstract constant 1. Cannot be inserted as a simp rule until later: it is *number-of-Min* re-oriented!

lemma *numeral-m1-eq-minus-1*:

(*-1* :: 'a::number-ring) = *- 1*

unfolding *number-of-eq* *numeral-simps* **by** *simp*

lemma *mult-minus1* [*simp*]:

$-1 * z = -(z :: 'a :: \text{number-ring})$
unfolding *number-of-eq numeral-simps* **by** *simp*

lemma *mult-minus1-right* [*simp*]:
 $z * -1 = -(z :: 'a :: \text{number-ring})$
unfolding *number-of-eq numeral-simps* **by** *simp*

lemma *minus-number-of-mult* [*simp*]:
 $-(\text{number-of } w) * z = \text{number-of } (\text{uminus } w) * (z :: 'a :: \text{number-ring})$
unfolding *number-of-eq* **by** *simp*

Subtraction

lemma *diff-number-of-eq*:
 $\text{number-of } v - \text{number-of } w =$
 $(\text{number-of } (v + \text{uminus } w) :: 'a :: \text{number-ring})$
unfolding *number-of-eq* **by** *simp*

lemma *number-of-Pls*:
 $\text{number-of } \text{Pls} = (0 :: 'a :: \text{number-ring})$
unfolding *number-of-eq numeral-simps* **by** *simp*

lemma *number-of-Min*:
 $\text{number-of } \text{Min} = (-1 :: 'a :: \text{number-ring})$
unfolding *number-of-eq numeral-simps* **by** *simp*

lemma *number-of-Bit0*:
 $\text{number-of } (\text{Bit0 } w) = (0 :: 'a :: \text{number-ring}) + (\text{number-of } w) + (\text{number-of } w)$
unfolding *number-of-eq numeral-simps* **by** *simp*

lemma *number-of-Bit1*:
 $\text{number-of } (\text{Bit1 } w) = (1 :: 'a :: \text{number-ring}) + (\text{number-of } w) + (\text{number-of } w)$
unfolding *number-of-eq numeral-simps* **by** *simp*

30.10.1 Equality of Binary Numbers

First version by Norbert Voelker

definition *iszero* :: $'a :: \text{semiring-1} \Rightarrow \text{bool}$ **where**
 $\text{iszero } z \longleftrightarrow z = 0$

lemma *iszero-0*: *iszero 0*
by (*simp add: iszero-def*)

lemma *iszero-Numeral0*: *iszero (Numeral0 :: 'a :: number-ring)*
by (*simp add: iszero-0*)

lemma *not-iszero-1*: $\neg \text{iszero } 1$
by (*simp add: iszero-def*)

lemma *not-iszero-Numeral1*: $\neg \text{iszero } (\text{Numeral1} :: 'a::\text{number-ring})$
by (*simp add: not-iszero-1*)

lemma *eq-number-of-eq [simp]*:
 $((\text{number-of } x :: 'a::\text{number-ring}) = \text{number-of } y) =$
 $\text{iszero } (\text{number-of } (x + \text{uminus } y) :: 'a)$
unfolding *iszero-def number-of-add number-of-minus*
by (*simp add: algebra-simps*)

lemma *iszero-number-of-Pls*:
 $\text{iszero } ((\text{number-of } \text{Pls}) :: 'a::\text{number-ring})$
unfolding *iszero-def numeral-0-eq-0 ..*

lemma *nonzero-number-of-Min*:
 $\sim \text{iszero } ((\text{number-of } \text{Min}) :: 'a::\text{number-ring})$
unfolding *iszero-def numeral-m1-eq-minus-1* **by** *simp*

30.10.2 Comparisons, for Ordered Rings

lemmas *double-eq-0-iff = double-zero*

lemma *odd-nonzero*:
 $1 + z + z \neq (0::\text{int})$
proof (*cases z rule: int-cases*)
case (*nonneg n*)
have $le: 0 \leq z + z$ **by** (*simp add: nonneg add-increasing*)
thus *?thesis* **using** *le-imp-0-less [OF le]*
by (*auto simp add: add-assoc*)
next
case (*neg n*)
show *?thesis*
proof
assume *eq*: $1 + z + z = 0$
have $(0::\text{int}) < 1 + (\text{of-nat } n + \text{of-nat } n)$
by (*simp add: le-imp-0-less add-increasing*)
also have $\dots = -(1 + z + z)$
by (*simp add: neg add-assoc [symmetric]*)
also have $\dots = 0$ **by** (*simp add: eq*)
finally have $0 < 0$ **..**
thus *False* **by** *blast*
qed
qed

lemma *iszero-number-of-Bit0*:
 $\text{iszero } (\text{number-of } (\text{Bit0 } w) :: 'a) =$
 $\text{iszero } (\text{number-of } w :: 'a::\{\text{ring-char-0}, \text{number-ring}\})$
proof –
have $(\text{of-int } w + \text{of-int } w = (0::'a)) \implies (w = 0)$
proof –

```

    assume eq: of-int w + of-int w = (0::'a)
    then have of-int (w + w) = (of-int 0 :: 'a) by simp
    then have w + w = 0 by (simp only: of-int-eq-iff)
    then show w = 0 by (simp only: double-eq-0-iff)
  qed
  thus ?thesis
    by (auto simp add: iszero-def number-of-eq numeral-simps)
qed

```

```

lemma iszero-number-of-Bit1:
  ~ iszero (number-of (Bit1 w)::'a::{ring-char-0,number-ring})
proof -
  have 1 + of-int w + of-int w ≠ (0::'a)
  proof
    assume eq: 1 + of-int w + of-int w = (0::'a)
    hence of-int (1 + w + w) = (of-int 0 :: 'a) by simp
    hence 1 + w + w = 0 by (simp only: of-int-eq-iff)
    with odd-nonzero show False by blast
  qed
  thus ?thesis
    by (auto simp add: iszero-def number-of-eq numeral-simps)
qed

```

```

lemmas iszero-simps [simp] =
  iszero-0 not-iszero-1
  iszero-number-of-Pls nonzero-number-of-Min
  iszero-number-of-Bit0 iszero-number-of-Bit1

```

30.10.3 The Less-Than Relation

```

lemma double-less-0-iff:
  (a + a < 0) = (a < (0::'a::{linordered-idom}))
proof -
  have (a + a < 0) = ((1+1)*a < 0) by (simp add: left-distrib)
  also have ... = (a < 0)
    by (simp add: mult-less-0-iff zero-less-two
      order-less-not-sym [OF zero-less-two])
  finally show ?thesis .
qed

```

```

lemma odd-less-0:
  (1 + z + z < 0) = (z < (0::int))
proof (cases z rule: int-cases)
  case (nonneg n)
  thus ?thesis by (simp add: linorder-not-less add-assoc add-increasing
    le-imp-0-less [THEN order-less-imp-le])
next
  case (neg n)
  thus ?thesis by (simp del: of-nat-Suc of-nat-add of-nat-1

```

add: algebra-simps of-nat-1 [where 'a=int, symmetric] of-nat-add [symmetric])
qed

Less-Than or Equals

Reduces $a \leq b$ to $\neg b < a$ for ALL numerals.

lemmas *le-number-of-eq-not-less* =
linorder-not-less [of number-of w number-of v, symmetric,
standard]

Absolute value (*abs*)

lemma *abs-number-of*:
 $\text{abs}(\text{number-of } x :: 'a :: \{\text{linordered-idom}, \text{number-ring}\}) =$
 $(\text{if number-of } x < (0 :: 'a) \text{ then } -\text{number-of } x \text{ else number-of } x)$
by (*simp add: abs-if*)

Re-orientation of the equation $\text{nnn} = x$

lemma *number-of-reorient*:
 $(\text{number-of } w = x) = (x = \text{number-of } w)$
by *auto*

30.10.4 Simplification of arithmetic operations on integer constants.

lemmas *arith-extra-simps* [*standard, simp*] =
number-of-add [symmetric]
number-of-minus [symmetric]
numeral-m1-eq-minus-1 [symmetric]
number-of-mult [symmetric]
diff-number-of-eq abs-number-of

For making a minimal simpset, one must include these default simprules.
 Also include *simp-thms*.

lemmas *arith-simps* =
normalize-bin-simps pred-bin-simps succ-bin-simps
add-bin-simps minus-bin-simps mult-bin-simps
abs-zero abs-one arith-extra-simps

Simplification of relational operations

lemma *less-number-of* [*simp*]:
 $(\text{number-of } x :: 'a :: \{\text{linordered-idom}, \text{number-ring}\}) < \text{number-of } y \longleftrightarrow x < y$
unfolding *number-of-eq* **by** (*rule of-int-less-iff*)

lemma *le-number-of* [*simp*]:
 $(\text{number-of } x :: 'a :: \{\text{linordered-idom}, \text{number-ring}\}) \leq \text{number-of } y \longleftrightarrow x \leq y$
unfolding *number-of-eq* **by** (*rule of-int-le-iff*)

lemma *eq-number-of* [*simp*]:

$(\text{number-of } x :: 'a :: \{\text{ring-char-0}, \text{number-ring}\}) = \text{number-of } y \longleftrightarrow x = y$
unfolding *number-of-eq* **by** (*rule of-int-eq-iff*)

lemmas *rel-simps* =
less-number-of less-bin-simps
le-number-of le-bin-simps
eq-number-of-eq eq-bin-simps
iszero-simps

30.10.5 Simplification of arithmetic when nested to the right.

lemma *add-number-of-left* [*simp*]:
 $\text{number-of } v + (\text{number-of } w + z) =$
 $(\text{number-of } (v + w) + z :: 'a :: \text{number-ring})$
by (*simp add: add-assoc [symmetric]*)

lemma *mult-number-of-left* [*simp*]:
 $\text{number-of } v * (\text{number-of } w * z) =$
 $(\text{number-of } (v * w) * z :: 'a :: \text{number-ring})$
by (*simp add: mult-assoc [symmetric]*)

lemma *add-number-of-diff1*:
 $\text{number-of } v + (\text{number-of } w - c) =$
 $\text{number-of } (v + w) - (c :: 'a :: \text{number-ring})$
by (*simp add: diff-minus*)

lemma *add-number-of-diff2* [*simp*]:
 $\text{number-of } v + (c - \text{number-of } w) =$
 $\text{number-of } (v + \text{uminus } w) + (c :: 'a :: \text{number-ring})$
by (*simp add: algebra-simps diff-number-of-eq [symmetric]*)

30.11 The Set of Integers

context *ring-1*
begin

definition *Ints* :: 'a set **where**
 $[\text{code del}]: \text{Ints} = \text{range of-int}$

notation (*xsymbols*)
Ints (\mathbb{Z})

lemma *Ints-of-int* [*simp*]: *of-int* $z \in \mathbb{Z}$
by (*simp add: Ints-def*)

lemma *Ints-of-nat* [*simp*]: *of-nat* $n \in \mathbb{Z}$
apply (*simp add: Ints-def*)
apply (*rule range-eqI*)
apply (*rule of-int-of-nat-eq [symmetric]*)
done

```

lemma Ints-0 [simp]:  $0 \in \mathbb{Z}$ 
apply (simp add: Ints-def)
apply (rule range-eqI)
apply (rule of-int-0 [symmetric])
done

```

```

lemma Ints-1 [simp]:  $1 \in \mathbb{Z}$ 
apply (simp add: Ints-def)
apply (rule range-eqI)
apply (rule of-int-1 [symmetric])
done

```

```

lemma Ints-add [simp]:  $a \in \mathbb{Z} \implies b \in \mathbb{Z} \implies a + b \in \mathbb{Z}$ 
apply (auto simp add: Ints-def)
apply (rule range-eqI)
apply (rule of-int-add [symmetric])
done

```

```

lemma Ints-minus [simp]:  $a \in \mathbb{Z} \implies -a \in \mathbb{Z}$ 
apply (auto simp add: Ints-def)
apply (rule range-eqI)
apply (rule of-int-minus [symmetric])
done

```

```

lemma Ints-diff [simp]:  $a \in \mathbb{Z} \implies b \in \mathbb{Z} \implies a - b \in \mathbb{Z}$ 
apply (auto simp add: Ints-def)
apply (rule range-eqI)
apply (rule of-int-diff [symmetric])
done

```

```

lemma Ints-mult [simp]:  $a \in \mathbb{Z} \implies b \in \mathbb{Z} \implies a * b \in \mathbb{Z}$ 
apply (auto simp add: Ints-def)
apply (rule range-eqI)
apply (rule of-int-mult [symmetric])
done

```

```

lemma Ints-power [simp]:  $a \in \mathbb{Z} \implies a ^ n \in \mathbb{Z}$ 
by (induct n) simp-all

```

```

lemma Ints-cases [cases set: Ints]:
  assumes  $q \in \mathbb{Z}$ 
  obtains (of-int)  $z$  where  $q = \text{of-int } z$ 
  unfolding Ints-def
proof –
  from  $\langle q \in \mathbb{Z} \rangle$  have  $q \in \text{range of-int}$  unfolding Ints-def .
  then obtain  $z$  where  $q = \text{of-int } z$  ..
  then show thesis ..
qed

```

lemma *Ints-induct* [case-names of-int, induct set: Ints]:

$q \in \mathbb{Z} \implies (\bigwedge z. P \text{ (of-int } z)) \implies P \ q$
by (rule Ints-cases) auto

end

The premise involving \mathbb{Z} prevents $a = (1::'a) / (2::'a)$.

lemma *Ints-double-eq-0-iff*:

assumes *in-Ints*: $a \in \text{Ints}$

shows $(a + a = 0) = (a = (0::'a::\text{ring-char-0}))$

proof –

from *in-Ints* **have** $a \in \text{range of-int}$ **unfolding** *Ints-def* [symmetric] .

then obtain z **where** $a = \text{of-int } z$..

show ?thesis

proof

assume $a = 0$

thus $a + a = 0$ **by** simp

next

assume $eq: a + a = 0$

hence $\text{of-int } (z + z) = (\text{of-int } 0 :: 'a)$ **by** (simp add: a)

hence $z + z = 0$ **by** (simp only: of-int-eq-iff)

hence $z = 0$ **by** (simp only: double-eq-0-iff)

thus $a = 0$ **by** (simp add: a)

qed

qed

lemma *Ints-odd-nonzero*:

assumes *in-Ints*: $a \in \text{Ints}$

shows $1 + a + a \neq (0::'a::\text{ring-char-0})$

proof –

from *in-Ints* **have** $a \in \text{range of-int}$ **unfolding** *Ints-def* [symmetric] .

then obtain z **where** $a = \text{of-int } z$..

show ?thesis

proof

assume $eq: 1 + a + a = 0$

hence $\text{of-int } (1 + z + z) = (\text{of-int } 0 :: 'a)$ **by** (simp add: a)

hence $1 + z + z = 0$ **by** (simp only: of-int-eq-iff)

with odd-nonzero **show** False **by** blast

qed

qed

lemma *Ints-number-of* [simp]:

$(\text{number-of } w :: 'a::\text{number-ring}) \in \text{Ints}$

unfolding number-of-eq *Ints-def* **by** simp

lemma *Nats-number-of* [simp]:

$\text{Int.Pls} \leq w \implies (\text{number-of } w :: 'a::\text{number-ring}) \in \text{Nats}$

unfolding *Int.Pls-def* number-of-eq

by (simp only: of-nat-nat [symmetric] of-nat-in-Nats)

lemma *Ints-odd-less-0*:

assumes *in-Ints*: $a \in \text{Ints}$

shows $(1 + a + a < 0) = (a < (0 :: 'a :: \text{linordered-idom}))$

proof –

from *in-Ints* have $a \in \text{range of-int}$ **unfolding** *Ints-def* [symmetric] .

then obtain z where $a = \text{of-int } z$..

hence $((1 :: 'a) + a + a < 0) = (\text{of-int } (1 + z + z) < (\text{of-int } 0 :: 'a))$

by (simp add: a)

also have $\dots = (z < 0)$ by (simp only: of-int-less-iff odd-less-0)

also have $\dots = (a < 0)$ by (simp add: a)

finally show ?thesis .

qed

30.12 setsum and setprod

lemma *of-nat-setsum*: $\text{of-nat } (\text{setsum } f \ A) = (\sum_{x \in A}. \text{of-nat}(f \ x))$

apply (cases finite A)

apply (erule finite-induct, auto)

done

lemma *of-int-setsum*: $\text{of-int } (\text{setsum } f \ A) = (\sum_{x \in A}. \text{of-int}(f \ x))$

apply (cases finite A)

apply (erule finite-induct, auto)

done

lemma *of-nat-setprod*: $\text{of-nat } (\text{setprod } f \ A) = (\prod_{x \in A}. \text{of-nat}(f \ x))$

apply (cases finite A)

apply (erule finite-induct, auto simp add: of-nat-mult)

done

lemma *of-int-setprod*: $\text{of-int } (\text{setprod } f \ A) = (\prod_{x \in A}. \text{of-int}(f \ x))$

apply (cases finite A)

apply (erule finite-induct, auto)

done

lemmas *int-setsum* = *of-nat-setsum* [where 'a=int]

lemmas *int-setprod* = *of-nat-setprod* [where 'a=int]

30.13 Inequality Reasoning for the Arithmetic Simproc

lemma *add-numeral-0*: $\text{Numeral0} + a = (a :: 'a :: \text{number-ring})$

by simp

lemma *add-numeral-0-right*: $a + \text{Numeral0} = (a :: 'a :: \text{number-ring})$

by simp

lemma *mult-numeral-1*: $\text{Numeral1} * a = (a :: 'a :: \text{number-ring})$

by simp

lemma *mult-numeral-1-right*: $a * \text{Numeral1} = (a::'a::\text{number-ring})$
by *simp*

lemma *divide-numeral-1*: $a / \text{Numeral1} = (a::'a::\{\text{number-ring}, \text{field}\})$
by *simp*

lemma *inverse-numeral-1*:
 $\text{inverse } \text{Numeral1} = (\text{Numeral1}::'a::\{\text{number-ring}, \text{field}\})$
by *simp*

Theorem lists for the cancellation simprocs. The use of binary numerals for 0 and 1 reduces the number of special cases.

lemmas *add-0s* = *add-numeral-0 add-numeral-0-right*
lemmas *mult-1s* = *mult-numeral-1 mult-numeral-1-right*
mult-minus1 mult-minus1-right

30.14 Special Arithmetic Rules for Abstract 0 and 1

Arithmetic computations are defined for binary literals, which leaves 0 and 1 as special cases. Addition already has rules for 0, but not 1. Multiplication and unary minus already have rules for both 0 and 1.

lemma *binop-eq*: $[[f\ x\ y = g\ x\ y; x = x'; y = y']] ==> f\ x'\ y' = g\ x'\ y'$
by *simp*

lemmas *add-number-of-eq* = *number-of-add [symmetric]*

Allow 1 on either or both sides

lemma *one-add-one-is-two*: $1 + 1 = (2::'a::\text{number-ring})$
by (*simp del: numeral-1-eq-1 add: numeral-1-eq-1 [symmetric]*)

lemmas *add-special* =
one-add-one-is-two
binop-eq [of op +, OF add-number-of-eq numeral-1-eq-1 refl, standard]
binop-eq [of op +, OF add-number-of-eq refl numeral-1-eq-1, standard]

Allow 1 on either or both sides (1-1 already simplifies to 0)

lemmas *diff-special* =
binop-eq [of op -, OF diff-number-of-eq numeral-1-eq-1 refl, standard]
binop-eq [of op -, OF diff-number-of-eq refl numeral-1-eq-1, standard]

Allow 0 or 1 on either side with a binary numeral on the other

lemmas *eq-special* =
binop-eq [of op =, OF eq-number-of-eq numeral-0-eq-0 refl, standard]
binop-eq [of op =, OF eq-number-of-eq numeral-1-eq-1 refl, standard]
binop-eq [of op =, OF eq-number-of-eq refl numeral-0-eq-0, standard]

binop-eq [of op =, OF eq-number-of-eq refl numeral-1-eq-1, standard]

Allow 0 or 1 on either side with a binary numeral on the other

lemmas *less-special* =

binop-eq [of op <, OF less-number-of numeral-0-eq-0 refl, standard]
binop-eq [of op <, OF less-number-of numeral-1-eq-1 refl, standard]
binop-eq [of op <, OF less-number-of refl numeral-0-eq-0, standard]
binop-eq [of op <, OF less-number-of refl numeral-1-eq-1, standard]

Allow 0 or 1 on either side with a binary numeral on the other

lemmas *le-special* =

binop-eq [of op ≤, OF le-number-of numeral-0-eq-0 refl, standard]
binop-eq [of op ≤, OF le-number-of numeral-1-eq-1 refl, standard]
binop-eq [of op ≤, OF le-number-of refl numeral-0-eq-0, standard]
binop-eq [of op ≤, OF le-number-of refl numeral-1-eq-1, standard]

lemmas *arith-special*[simp] =

add-special diff-special eq-special less-special le-special

Legacy theorems

lemmas *zle-int* = *of-nat-le-iff* [where 'a=int]

lemmas *int-int-eq* = *of-nat-eq-iff* [where 'a=int]

30.15 Setting up simplification procedures

lemmas *int-arith-rules* =

neg-le-iff-le numeral-0-eq-0 numeral-1-eq-1
minus-zero diff-minus left-minus right-minus
mult-zero-left mult-zero-right mult-Bit1 mult-1-left mult-1-right
mult-minus-left mult-minus-right
minus-add-distrib minus-minus mult-assoc
of-nat-0 of-nat-1 of-nat-Suc of-nat-add of-nat-mult
of-int-0 of-int-1 of-int-add of-int-mult

use *Tools/int-arith.ML*

setup *« Int-Arith.global-setup »*

declaration *« K Int-Arith.setup »*

setup *«*

Reorient-Proc.add

(fn Const (@{const-name number-of}, -) \$ - => true | - => false)

»

simproc-setup *reorient-numeral (number-of w = x) = Reorient-Proc.proc*

30.16 Lemmas About Small Numerals

lemma *of-int-m1* [simp]: *of-int -1 = (-1 :: 'a :: number-ring)*

proof —

```

have (of-int -1 :: 'a) = of-int (- 1) by simp
also have ... = - of-int 1 by (simp only: of-int-minus)
also have ... = -1 by simp
finally show ?thesis .
qed

```

```

lemma abs-minus-one [simp]: abs (-1) = (1::'a::{linordered-idom,number-ring})
by (simp add: abs-if)

```

```

lemma abs-power-minus-one [simp]:
  abs(-1 ^ n) = (1::'a::{linordered-idom,number-ring})
by (simp add: power-abs)

```

```

lemma of-int-number-of-eq [simp]:
  of-int (number-of v) = (number-of v :: 'a :: number-ring)
by (simp add: number-of-eq)

```

Lemmas for specialist use, NOT as default simprules

```

lemma mult-2: 2 * z = (z+z::'a::number-ring)
unfolding one-add-one-is-two [symmetric] left-distrib by simp

```

```

lemma mult-2-right: z * 2 = (z+z::'a::number-ring)
by (subst mult-commute, rule mult-2)

```

30.17 More Inequality Reasoning

```

lemma zless-add1-eq: (w < z + (1::int)) = (w < z | w = z)
by arith

```

```

lemma add1-zle-eq: (w + (1::int) ≤ z) = (w < z)
by arith

```

```

lemma zle-diff1-eq [simp]: (w ≤ z - (1::int)) = (w < z)
by arith

```

```

lemma zle-add1-eq-le [simp]: (w < z + (1::int)) = (w ≤ z)
by arith

```

```

lemma int-one-le-iff-zero-less: ((1::int) ≤ z) = (0 < z)
by arith

```

30.18 The functions *nat* and *int*

Simplify the terms *int* (*0*::'a), *int* (*Suc 0*) and *w* + - *z*

```

declare Zero-int-def [symmetric, simp]
declare One-int-def [symmetric, simp]

```

```

lemmas diff-int-def-symmetric = diff-int-def [symmetric, simp]

```

```
lemma nat-0: nat 0 = 0
by (simp add: nat-eq-iff)
```

```
lemma nat-1: nat 1 = Suc 0
by (subst nat-eq-iff, simp)
```

```
lemma nat-2: nat 2 = Suc (Suc 0)
by (subst nat-eq-iff, simp)
```

```
lemma one-less-nat-eq [simp]: (Suc 0 < nat z) = (1 < z)
apply (insert zless-nat-conj [of 1 z])
apply (auto simp add: nat-1)
done
```

This simplifies expressions of the form $\text{int } n = z$ where z is an integer literal.

```
lemmas int-eq-iff-number-of [simp] = int-eq-iff [of - number-of v, standard]
```

```
lemma split-nat [arith-split]:
  P(nat(i::int)) = (( $\forall n. i = \text{of-nat } n \longrightarrow P n$ ) & ( $i < 0 \longrightarrow P 0$ ))
  (is ?P = (?L & ?R))
proof (cases i < 0)
  case True thus ?thesis by auto
next
  case False
  have ?P = ?L
  proof
    assume ?P thus ?L using False by clarsimp
  next
    assume ?L thus ?P using False by simp
  qed
  with False show ?thesis by simp
qed
```

```
context ring-1
begin
```

```
lemma of-int-of-nat [nitpick-simp]:
  of-int k = (if k < 0 then - of-nat (nat (- k)) else of-nat (nat k))
proof (cases k < 0)
  case True then have  $0 \leq - k$  by simp
  then have of-nat (nat (- k)) = of-int (- k) by (rule of-nat-nat)
  with True show ?thesis by simp
next
  case False then show ?thesis by (simp add: not-less of-nat-nat)
qed

end
```

```

lemma nat-mult-distrib:
  fixes  $z\ z' :: \text{int}$ 
  assumes  $0 \leq z$ 
  shows  $\text{nat } (z * z') = \text{nat } z * \text{nat } z'$ 
proof (cases  $0 \leq z'$ )
  case False with assms have  $z * z' \leq 0$ 
    by (simp add: not-le mult-le-0-iff)
  then have  $\text{nat } (z * z') = 0$  by simp
  moreover from False have  $\text{nat } z' = 0$  by simp
  ultimately show ?thesis by simp
next
  case True with assms have ge-0:  $z * z' \geq 0$  by (simp add: zero-le-mult-iff)
  show ?thesis
    by (rule injD [of of-nat :: nat  $\Rightarrow$  int, OF inj-of-nat])
      (simp only: of-nat-mult of-nat-nat [OF True])
      (of-nat-nat [OF assms] of-nat-nat [OF ge-0], simp)
qed

lemma nat-mult-distrib-neg:  $z \leq (0::\text{int}) \implies \text{nat}(z * z') = \text{nat}(-z) * \text{nat}(-z')$ 
apply (rule trans)
apply (rule-tac [2] nat-mult-distrib, auto)
done

lemma nat-abs-mult-distrib:  $\text{nat } (\text{abs } (w * z)) = \text{nat } (\text{abs } w) * \text{nat } (\text{abs } z)$ 
apply (cases  $z=0 \mid w=0$ )
apply (auto simp add: abs-if nat-mult-distrib [symmetric])
      (nat-mult-distrib-neg [symmetric] mult-less-0-iff)
done

```

30.19 Induction principles for int

Well-founded segments of the integers

definition

int-ge-less-than :: $\text{int} \Rightarrow (\text{int} * \text{int}) \text{ set}$

where

int-ge-less-than $d = \{(z', z). d \leq z' \ \& \ z' < z\}$

theorem *wf-int-ge-less-than*: $\text{wf } (\text{int-ge-less-than } d)$

proof –

have *int-ge-less-than* $d \subseteq \text{measure } (\%z. \text{nat } (z - d))$

by (*auto simp add: int-ge-less-than-def*)

thus *?thesis*

by (*rule wf-subset [OF wf-measure]*)

qed

This variant looks odd, but is typical of the relations suggested by Rank-Finder.

definition

$int\text{-}ge\text{-}less\text{-}than2 :: int \Rightarrow (int * int) \text{ set}$
where
 $int\text{-}ge\text{-}less\text{-}than2\ d = \{(z', z). d \leq z \ \& \ z' < z\}$
theorem $wf\text{-}int\text{-}ge\text{-}less\text{-}than2$: $wf\ (int\text{-}ge\text{-}less\text{-}than2\ d)$
proof –
 have $int\text{-}ge\text{-}less\text{-}than2\ d \subseteq measure\ (\%z. nat\ (1 + z - d))$
 by $(auto\ simp\ add: int\text{-}ge\text{-}less\text{-}than2\text{-}def)$
 thus $?thesis$
 by $(rule\ wf\text{-}subset\ [OF\ wf\text{-}measure])$
qed

abbreviation

$int :: nat \Rightarrow int$
where
 $int \equiv of\text{-}nat$

theorem $int\text{-}ge\text{-}induct\ [case\text{-}names\ base\ step, induct\ set: int]$:
 fixes $i :: int$
 assumes $ge: k \leq i$ **and**
 $base: P\ k$ **and**
 $step: \bigwedge i. k \leq i \implies P\ i \implies P\ (i + 1)$
 shows $P\ i$
proof –
 { fix n have $\bigwedge i::int. n = nat(i - k) \implies k \leq i \implies P\ i$
 proof $(induct\ n)$
 case 0
 hence $i = k$ **by** $arith$
 thus $P\ i$ **using** $base$ **by** $simp$
 next
 case $(Suc\ n)$
 then have $n = nat((i - 1) - k)$ **by** $arith$
 moreover
 have $ki1: k \leq i - 1$ **using** $Suc.prem$ s **by** $arith$
 ultimately
 have $P(i - 1)$ **by** $(rule\ Suc.hyps)$
 from $step[OF\ ki1\ this]$ **show** $?case$ **by** $simp$
 qed
 }
 with ge **show** $?thesis$ **by** $fast$
qed

theorem $int\text{-}gr\text{-}induct\ [case\text{-}names\ base\ step, induct\ set: int]$:
 assumes $gr: k < (i::int)$ **and**
 $base: P(k + 1)$ **and**
 $step: \bigwedge i. \llbracket k < i; P\ i \rrbracket \implies P(i + 1)$
 shows $P\ i$

```

apply(rule int-ge-induct[of  $k + 1$ ])
  using gr apply arith
  apply(rule base)
apply (rule step, simp+)
done

```

```

theorem int-le-induct[consumes 1, case-names base step]:
  assumes le:  $i \leq (k :: \text{int})$  and
    base:  $P(k)$  and
    step:  $\bigwedge i. \llbracket i \leq k; P\ i \rrbracket \implies P(i - 1)$ 
  shows  $P\ i$ 
proof –
  { fix n have  $\bigwedge i :: \text{int}. n = \text{nat}(k - i) \implies i \leq k \implies P\ i$ 
    proof (induct n)
      case 0
      hence  $i = k$  by arith
      thus  $P\ i$  using base by simp
    next
      case (Suc n)
      hence  $n = \text{nat}(k - (i + 1))$  by arith
      moreover
      have  $ki1: i + 1 \leq k$  using Suc.prems by arith
      ultimately
      have  $P(i + 1)$  by (rule Suc.hyps)
      from step[OF ki1 this] show ?case by simp
    qed
  }
  with le show ?thesis by fast
qed

```

```

theorem int-less-induct [consumes 1, case-names base step]:
  assumes less:  $(i :: \text{int}) < k$  and
    base:  $P(k - 1)$  and
    step:  $\bigwedge i. \llbracket i < k; P\ i \rrbracket \implies P(i - 1)$ 
  shows  $P\ i$ 
apply(rule int-le-induct[of  $k - 1$ ])
  using less apply arith
  apply(rule base)
apply (rule step, simp+)
done

```

```

theorem int-induct [case-names base step1 step2]:
  fixes  $k :: \text{int}$ 
  assumes base:  $P\ k$ 
    and step1:  $\bigwedge i. k \leq i \implies P\ i \implies P\ (i + 1)$ 
    and step2:  $\bigwedge i. k \geq i \implies P\ i \implies P\ (i - 1)$ 
  shows  $P\ i$ 
proof –
  have  $i \leq k \vee i \geq k$  by arith

```

```

then show ?thesis proof
  assume  $i \geq k$  then show ?thesis using base
    by (rule int-ge-induct) (fact step1)
  next
    assume  $i \leq k$  then show ?thesis using base
      by (rule int-le-induct) (fact step2)
qed
qed

```

30.20 Intermediate value theorems

```

lemma int-val-lemma:
  ( $\forall i < n::nat. \text{abs}(f(i+1) - f i) \leq 1$ )  $\longrightarrow$ 
   $f 0 \leq k \longrightarrow k \leq f n \longrightarrow (\exists i \leq n. f i = (k::int))$ 
unfolding One-nat-def
apply (induct n, simp)
apply (intro strip)
apply (erule impE, simp)
apply (erule-tac  $x = n$  in allE, simp)
apply (case-tac  $k = f (Suc n)$ )
apply force
apply (erule impE)
  apply (simp add: abs-if split add: split-if-asm)
  apply (blast intro: le-SucI)
done

```

lemmas nat0-intermed-int-val = int-val-lemma [rule-format (no-asm)]

```

lemma nat-intermed-int-val:
  [|  $\forall i. m \leq i \ \& \ i < n \longrightarrow \text{abs}(f(i + 1::nat) - f i) \leq 1$ ;  $m < n$ ;
     $f m \leq k$ ;  $k \leq f n$  |]  $\implies ? i. m \leq i \ \& \ i \leq n \ \& \ f i = (k::int)$ 
apply (cut-tac  $n = n - m$  and  $f = \%i. f (i+m)$  and  $k = k$ 
  in int-val-lemma)
unfolding One-nat-def
apply simp
apply (erule exE)
apply (rule-tac  $x = i+m$  in exI, arith)
done

```

30.21 Products and 1, by T. M. Rasmussen

```

lemma zabs-less-one-iff [simp]: ( $|z| < 1$ ) = ( $z = (0::int)$ )
by arith

```

```

lemma abs-zmult-eq-1:
  assumes  $mn: |m * n| = 1$ 
  shows  $|m| = (1::int)$ 
proof -
  have  $0: m \neq 0 \ \& \ n \neq 0$  using mn
  by auto

```



```

have ~ (2 ≤ |m|)
proof
  assume 2 ≤ |m|
  hence 2*|n| ≤ |m|*|n|
    by (simp add: mult-mono 0)
  also have ... = |m*n|
    by (simp add: abs-mult)
  also have ... = 1
    by (simp add: mn)
  finally have 2*|n| ≤ 1 .
  thus False using 0
    by auto
qed
thus ?thesis using 0
  by auto
qed

lemma pos-zmult-eq-1-iff-lemma: (m * n = 1) ==> m = (1::int) | m = -1
by (insert abs-zmult-eq-1 [of m n], arith)

lemma pos-zmult-eq-1-iff:
  assumes 0 < (m::int) shows (m * n = 1) = (m = 1 & n = 1)
proof -
  from assms have m * n = 1 ==> m = 1 by (auto dest: pos-zmult-eq-1-iff-lemma)
  thus ?thesis by (auto dest: pos-zmult-eq-1-iff-lemma)
qed

lemma zmult-eq-1-iff: (m*n = (1::int)) = ((m = 1 & n = 1) | (m = -1 & n =
-1))
apply (rule iffI)
apply (frule pos-zmult-eq-1-iff-lemma)
apply (simp add: mult-commute [of m])
apply (frule pos-zmult-eq-1-iff-lemma, auto)
done

lemma infinite-UNIV-int: ¬ finite (UNIV::int set)
proof
  assume finite (UNIV::int set)
  moreover have inj (λi::int. 2 * i)
    by (rule injI) simp
  ultimately have surj (λi::int. 2 * i)
    by (rule finite-UNIV-inj-surj)
  then obtain i :: int where 1 = 2 * i by (rule surjE)
  then show False by (simp add: pos-zmult-eq-1-iff)
qed

```

30.22 Further theorems on numerals

30.22.1 Special Simplification for Constants

These distributive laws move literals inside sums and differences.

lemmas *left-distrib-number-of [simp] =
left-distrib [of - - number-of v, standard]*

lemmas *right-distrib-number-of [simp] =
right-distrib [of number-of v, standard]*

lemmas *left-diff-distrib-number-of [simp] =
left-diff-distrib [of - - number-of v, standard]*

lemmas *right-diff-distrib-number-of [simp] =
right-diff-distrib [of number-of v, standard]*

These are actually for fields, like real: but where else to put them?

lemmas *zero-less-divide-iff-number-of [simp, no-atp] =
zero-less-divide-iff [of number-of w, standard]*

lemmas *divide-less-0-iff-number-of [simp, no-atp] =
divide-less-0-iff [of number-of w, standard]*

lemmas *zero-le-divide-iff-number-of [simp, no-atp] =
zero-le-divide-iff [of number-of w, standard]*

lemmas *divide-le-0-iff-number-of [simp, no-atp] =
divide-le-0-iff [of number-of w, standard]*

Replaces *inverse #nn* by $1/\#nn$. It looks strange, but then other simprocs simplify the quotient.

lemmas *inverse-eq-divide-number-of [simp] =
inverse-eq-divide [of number-of w, standard]*

These laws simplify inequalities, moving unary minus from a term into the literal.

lemmas *less-minus-iff-number-of [simp, no-atp] =
less-minus-iff [of number-of v, standard]*

lemmas *le-minus-iff-number-of [simp, no-atp] =
le-minus-iff [of number-of v, standard]*

lemmas *equation-minus-iff-number-of [simp, no-atp] =
equation-minus-iff [of number-of v, standard]*

lemmas *minus-less-iff-number-of [simp, no-atp] =
minus-less-iff [of - number-of v, standard]*

lemmas *minus-le-iff-number-of* [*simp*, *no-atp*] =
minus-le-iff [*of* - *number-of* *v*, *standard*]

lemmas *minus-equation-iff-number-of* [*simp*, *no-atp*] =
minus-equation-iff [*of* - *number-of* *v*, *standard*]

To Simplify Inequalities Where One Side is the Constant 1

lemma *less-minus-iff-1* [*simp*,*no-atp*]:
fixes *b::'b::{\linordered-idom,number-ring}*
shows $(1 < - b) = (b < -1)$
by *auto*

lemma *le-minus-iff-1* [*simp*,*no-atp*]:
fixes *b::'b::{\linordered-idom,number-ring}*
shows $(1 \leq - b) = (b \leq -1)$
by *auto*

lemma *equation-minus-iff-1* [*simp*,*no-atp*]:
fixes *b::'b::number-ring*
shows $(1 = - b) = (b = -1)$
by (*subst equation-minus-iff*, *auto*)

lemma *minus-less-iff-1* [*simp*,*no-atp*]:
fixes *a::'a::{\linordered-idom,number-ring}*
shows $(- a < 1) = (-1 < a)$
by *auto*

lemma *minus-le-iff-1* [*simp*,*no-atp*]:
fixes *a::'a::{\linordered-idom,number-ring}*
shows $(- a \leq 1) = (-1 \leq a)$
by *auto*

lemma *minus-equation-iff-1* [*simp*,*no-atp*]:
fixes *a::'a::number-ring*
shows $(- a = 1) = (a = -1)$
by (*subst minus-equation-iff*, *auto*)

Cancellation of constant factors in comparisons ($<$ and \leq)

lemmas *mult-less-cancel-left-number-of* [*simp*, *no-atp*] =
mult-less-cancel-left [*of* *number-of* *v*, *standard*]

lemmas *mult-less-cancel-right-number-of* [*simp*, *no-atp*] =
mult-less-cancel-right [*of* - *number-of* *v*, *standard*]

lemmas *mult-le-cancel-left-number-of* [*simp*, *no-atp*] =
mult-le-cancel-left [*of* *number-of* *v*, *standard*]

lemmas *mult-le-cancel-right-number-of* [*simp*, *no-atp*] =

mult-le-cancel-right [of - number-of v , standard]

Multiplying out constant divisors in comparisons ($<$, \leq and $=$)

lemmas *le-divide-eq-number-of1* [simp] = *le-divide-eq* [of - - number-of w , standard]

lemmas *divide-le-eq-number-of1* [simp] = *divide-le-eq* [of - - number-of w , standard]

lemmas *less-divide-eq-number-of1* [simp] = *less-divide-eq* [of - - number-of w , standard]

lemmas *divide-less-eq-number-of1* [simp] = *divide-less-eq* [of - - number-of w , standard]

lemmas *eq-divide-eq-number-of1* [simp] = *eq-divide-eq* [of - - number-of w , standard]

lemmas *divide-eq-eq-number-of1* [simp] = *divide-eq-eq* [of - - number-of w , standard]

30.22.2 Optional Simplification Rules Involving Constants

Simplify quotients that are compared with a literal constant.

lemmas *le-divide-eq-number-of* = *le-divide-eq* [of number-of w , standard]

lemmas *divide-le-eq-number-of* = *divide-le-eq* [of - - number-of w , standard]

lemmas *less-divide-eq-number-of* = *less-divide-eq* [of number-of w , standard]

lemmas *divide-less-eq-number-of* = *divide-less-eq* [of - - number-of w , standard]

lemmas *eq-divide-eq-number-of* = *eq-divide-eq* [of number-of w , standard]

lemmas *divide-eq-eq-number-of* = *divide-eq-eq* [of - - number-of w , standard]

Not good as automatic simprules because they cause case splits.

lemmas *divide-const-simps* =

le-divide-eq-number-of divide-le-eq-number-of less-divide-eq-number-of
divide-less-eq-number-of eq-divide-eq-number-of divide-eq-eq-number-of
le-divide-eq-1 divide-le-eq-1 less-divide-eq-1 divide-less-eq-1

Division By -1

lemma *divide-minus1* [simp]:

$x / -1 = -(x :: 'a :: \{\text{field-inverse-zero, number-ring}\})$

by *simp*

lemma *minus1-divide* [simp]:

$-1 / (x :: 'a :: \{\text{field-inverse-zero, number-ring}\}) = -(1/x)$

by (*simp add: divide-inverse*)

lemma *half-gt-zero-iff*:

$(0 < r/2) = (0 < (r :: 'a :: \{\text{linordered-field-inverse-zero, number-ring}\}))$

by *auto*

lemmas *half-gt-zero* [simp] = *half-gt-zero-iff* [THEN *iffD2*, standard]

lemma *divide-Numeral1*:

$(x :: 'a :: \{\text{field, number-ring}\}) / \text{Numeral1} = x$

by *simp*

```

lemma divide-Numeral0:
  (x::'a::{field-inverse-zero, number-ring}) / Numeral0 = 0
by simp

```

30.23 The divides relation

```

lemma zdvd-antisym-nonneg:
  0 <= m ==> 0 <= n ==> m dvd n ==> n dvd m ==> m = (n::int)
apply (simp add: dvd-def, auto)
apply (auto simp add: mult-assoc zero-le-mult-iff zmult-eq-1-iff)
done

```

```

lemma zdvd-antisym-abs: assumes (a::int) dvd b and b dvd a
shows |a| = |b|
proof cases
  assume a = 0 with assms show ?thesis by simp
next
  assume a ≠ 0
  from ⟨a dvd b⟩ obtain k where k:b = a*k unfolding dvd-def by blast
  from ⟨b dvd a⟩ obtain k' where k':a = b*k' unfolding dvd-def by blast
  from k k' have a = a*k*k' by simp
  with mult-cancel-left1[where c=a and b=k*k']
  have k*k':k*k' = 1 using ⟨a≠0⟩ by (simp add: mult-assoc)
  hence k = 1 ∧ k' = 1 ∨ k = -1 ∧ k' = -1 by (simp add: zmult-eq-1-iff)
  thus ?thesis using k k' by auto
qed

```

```

lemma zdvd-zdiffD: k dvd m - n ==> k dvd n ==> k dvd (m::int)
apply (subgoal-tac m = n + (m - n))
apply (erule ssubst)
apply (blast intro: dvd-add, simp)
done

```

```

lemma zdvd-reduce: (k dvd n + k * m) = (k dvd (n::int))
apply (rule iffI)
apply (erule-tac [2] dvd-add)
apply (subgoal-tac n = (n + k * m) - k * m)
apply (erule ssubst)
apply (erule dvd-diff)
apply (simp-all)
done

```

```

lemma dvd-imp-le-int:
  fixes d i :: int
  assumes i ≠ 0 and d dvd i
  shows |d| ≤ |i|
proof -
  from ⟨d dvd i⟩ obtain k where i = d * k ..

```

with $\langle i \neq 0 \rangle$ have $k \neq 0$ by *auto*
 then have $1 \leq |k|$ and $0 \leq |d|$ by *auto*
 then have $|d| * 1 \leq |d| * |k|$ by (rule *mult-left-mono*)
 with $\langle i = d * k \rangle$ show *?thesis* by (simp add: *abs-mult*)
 qed

lemma *zdvd-not-zless*:

fixes $m\ n :: \text{int}$
 assumes $0 < m$ and $m < n$
 shows $\neg n \text{ dvd } m$
 proof
 from *assms* have $0 < n$ by *auto*
 assume $n \text{ dvd } m$ then obtain k where $k: m = n * k$..
 with $\langle 0 < m \rangle$ have $0 < n * k$ by *auto*
 with $\langle 0 < n \rangle$ have $0 < k$ by (simp add: *zero-less-mult-iff*)
 with $k \langle 0 < n \rangle \langle m < n \rangle$ have $n * k < n * 1$ by *simp*
 with $\langle 0 < n \rangle \langle 0 < k \rangle$ show *False* unfolding *mult-less-cancel-left* by *auto*
 qed

lemma *zdvd-mult-cancel*: assumes $d:k * m \text{ dvd } k * n$ and $kz:k \neq (0::\text{int})$
 shows $m \text{ dvd } n$

proof –
 from d obtain h where $h: k * n = k * m * h$ unfolding *dvd-def* by *blast*
 {assume $n \neq m * h$ hence $k * n \neq k * (m * h)$ using kz by *simp*
 with h have *False* by (simp add: *mult-assoc*)}
 hence $n = m * h$ by *blast*
 thus *?thesis* by *simp*
 qed

theorem *zdvd-int*: $(x \text{ dvd } y) = (\text{int } x \text{ dvd int } y)$

proof –
 have $\bigwedge k. \text{int } y = \text{int } x * k \implies x \text{ dvd } y$
 proof –
 fix k
 assume $A: \text{int } y = \text{int } x * k$
 then show $x \text{ dvd } y$ proof (cases k)
 case (1 n) with A have $y = x * n$ by (simp add: *of-nat-mult [symmetric]*)
 then show *?thesis* ..
 next
 case (2 n) with A have $\text{int } y = \text{int } x * (- \text{int } (\text{Suc } n))$ by *simp*
 also have $\dots = - (\text{int } x * \text{int } (\text{Suc } n))$ by (simp only: *mult-minus-right*)
 also have $\dots = - \text{int } (x * \text{Suc } n)$ by (simp only: *of-nat-mult [symmetric]*)
 finally have $- \text{int } (x * \text{Suc } n) = \text{int } y$..
 then show *?thesis* by (simp only: *negative-eq-positive*) *auto*
 qed
 qed
 then show *?thesis* by (auto elim!: *dvdE* simp only: *dvd-triv-left of-nat-mult*)
 qed

```

lemma zdvd1-eq[simp]: (x::int) dvd 1 = (|x| = 1)
proof
  assume d: x dvd 1 hence int (nat |x|) dvd int (nat 1) by simp
  hence nat |x| dvd 1 by (simp add: zdvd-int)
  hence nat |x| = 1 by simp
  thus |x| = 1 by (cases x < 0, auto)
next
  assume |x|=1
  then have x = 1  $\vee$  x = -1 by auto
  then show x dvd 1 by (auto intro: dvdI)
qed

lemma zdvd-mult-cancel1:
  assumes mp:m  $\neq$  (0::int) shows (m * n dvd m) = (|n| = 1)
proof
  assume n1: |n| = 1 thus m * n dvd m
    by (cases n > 0, auto simp add: minus-equation-iff)
next
  assume H: m * n dvd m hence H2: m * n dvd m * 1 by simp
  from zdvd-mult-cancel[OF H2 mp] show |n| = 1 by (simp only: zdvd1-eq)
qed

lemma int-dvd-iff: (int m dvd z) = (m dvd nat (abs z))
  unfolding zdvd-int by (cases z  $\geq$  0) simp-all

lemma dvd-int-iff: (z dvd int m) = (nat (abs z) dvd m)
  unfolding zdvd-int by (cases z  $\geq$  0) simp-all

lemma nat-dvd-iff: (nat z dvd m) = (if 0  $\leq$  z then (z dvd int m) else m = 0)
  by (auto simp add: dvd-int-iff)

lemma eq-nat-nat-iff:
  0  $\leq$  z  $\implies$  0  $\leq$  z'  $\implies$  nat z = nat z'  $\longleftrightarrow$  z = z'
  by (auto elim!: nonneg-eq-int)

lemma nat-power-eq:
  0  $\leq$  z  $\implies$  nat (z ^ n) = nat z ^ n
  by (induct n) (simp-all add: nat-mult-distrib)

lemma zdvd-imp-le: [| z dvd n; 0 < n |] ==> z  $\leq$  (n::int)
  apply (rule-tac z=n in int-cases)
  apply (auto simp add: dvd-int-iff)
  apply (rule-tac z=z in int-cases)
  apply (auto simp add: dvd-imp-le)
  done

lemma zdvd-period:
  fixes a d :: int
  assumes a dvd d

```

```

shows a dvd (x + t)  $\longleftrightarrow$  a dvd ((x + c * d) + t)
proof -
  from assms obtain k where d = a * k by (rule dvdE)
  show ?thesis proof
    assume a dvd (x + t)
    then obtain l where x + t = a * l by (rule dvdE)
    then have x = a * l - t by simp
    with ⟨d = a * k⟩ show a dvd x + c * d + t by simp
  next
    assume a dvd x + c * d + t
    then obtain l where x + c * d + t = a * l by (rule dvdE)
    then have x = a * l - c * d - t by simp
    with ⟨d = a * k⟩ show a dvd (x + t) by simp
  qed
qed

```

30.24 Configuration of the code generator

code-datatype *Pls Min Bit0 Bit1 number-of* :: *int* \Rightarrow *int*

lemmas *pred-succ-numeral-code* [code] =
pred-bin-simps succ-bin-simps

lemmas *plus-numeral-code* [code] =
add-bin-simps
arith-extra-simps(1) [where 'a = int]

lemmas *minus-numeral-code* [code] =
minus-bin-simps
arith-extra-simps(2) [where 'a = int]
arith-extra-simps(5) [where 'a = int]

lemmas *times-numeral-code* [code] =
mult-bin-simps
arith-extra-simps(4) [where 'a = int]

instantiation *int* :: *eq*
begin

definition [code del]: *eq-class.eq* k l \longleftrightarrow k - l = (0::int)

instance by default (*simp add: eq-int-def*)

end

lemma *eq-number-of-int-code* [code]:
eq-class.eq (number-of k :: int) (number-of l) \longleftrightarrow *eq-class.eq* k l
unfolding *eq-int-def number-of-is-id* ..

lemma *eq-int-code* [code]:
 $eq_class.eq\ Int.Pl\ Int.Pl \longleftrightarrow True$
 $eq_class.eq\ Int.Pl\ Int.Min \longleftrightarrow False$
 $eq_class.eq\ Int.Pl\ (Int.Bit0\ k2) \longleftrightarrow eq_class.eq\ Int.Pl\ k2$
 $eq_class.eq\ Int.Pl\ (Int.Bit1\ k2) \longleftrightarrow False$
 $eq_class.eq\ Int.Min\ Int.Pl \longleftrightarrow False$
 $eq_class.eq\ Int.Min\ Int.Min \longleftrightarrow True$
 $eq_class.eq\ Int.Min\ (Int.Bit0\ k2) \longleftrightarrow False$
 $eq_class.eq\ Int.Min\ (Int.Bit1\ k2) \longleftrightarrow eq_class.eq\ Int.Min\ k2$
 $eq_class.eq\ (Int.Bit0\ k1)\ Int.Pl \longleftrightarrow eq_class.eq\ k1\ Int.Pl$
 $eq_class.eq\ (Int.Bit1\ k1)\ Int.Pl \longleftrightarrow False$
 $eq_class.eq\ (Int.Bit0\ k1)\ Int.Min \longleftrightarrow False$
 $eq_class.eq\ (Int.Bit1\ k1)\ Int.Min \longleftrightarrow eq_class.eq\ k1\ Int.Min$
 $eq_class.eq\ (Int.Bit0\ k1)\ (Int.Bit0\ k2) \longleftrightarrow eq_class.eq\ k1\ k2$
 $eq_class.eq\ (Int.Bit0\ k1)\ (Int.Bit1\ k2) \longleftrightarrow False$
 $eq_class.eq\ (Int.Bit1\ k1)\ (Int.Bit0\ k2) \longleftrightarrow False$
 $eq_class.eq\ (Int.Bit1\ k1)\ (Int.Bit1\ k2) \longleftrightarrow eq_class.eq\ k1\ k2$
unfolding *eq-equals* **by** *simp-all*

lemma *eq-int-refl* [code nbe]:
 $eq_class.eq\ (k::int)\ k \longleftrightarrow True$
by (rule *HOL.eq-refl*)

lemma *less-eq-number-of-int-code* [code]:
 $(number-of\ k :: int) \leq number-of\ l \longleftrightarrow k \leq l$
unfolding *number-of-is-id* ..

lemma *less-eq-int-code* [code]:
 $Int.Pl \leq Int.Pl \longleftrightarrow True$
 $Int.Pl \leq Int.Min \longleftrightarrow False$
 $Int.Pl \leq Int.Bit0\ k \longleftrightarrow Int.Pl \leq k$
 $Int.Pl \leq Int.Bit1\ k \longleftrightarrow Int.Pl \leq k$
 $Int.Min \leq Int.Pl \longleftrightarrow True$
 $Int.Min \leq Int.Min \longleftrightarrow True$
 $Int.Min \leq Int.Bit0\ k \longleftrightarrow Int.Min < k$
 $Int.Min \leq Int.Bit1\ k \longleftrightarrow Int.Min \leq k$
 $Int.Bit0\ k \leq Int.Pl \longleftrightarrow k \leq Int.Pl$
 $Int.Bit1\ k \leq Int.Pl \longleftrightarrow k < Int.Pl$
 $Int.Bit0\ k \leq Int.Min \longleftrightarrow k \leq Int.Min$
 $Int.Bit1\ k \leq Int.Min \longleftrightarrow k \leq Int.Min$
 $Int.Bit0\ k1 \leq Int.Bit0\ k2 \longleftrightarrow k1 \leq k2$
 $Int.Bit0\ k1 \leq Int.Bit1\ k2 \longleftrightarrow k1 \leq k2$
 $Int.Bit1\ k1 \leq Int.Bit0\ k2 \longleftrightarrow k1 < k2$
 $Int.Bit1\ k1 \leq Int.Bit1\ k2 \longleftrightarrow k1 \leq k2$
by *simp-all*

lemma *less-number-of-int-code* [code]:
 $(number-of\ k :: int) < number-of\ l \longleftrightarrow k < l$
unfolding *number-of-is-id* ..

lemma *less-int-code* [code]:
 $Int.Pls < Int.Pls \longleftrightarrow False$
 $Int.Pls < Int.Min \longleftrightarrow False$
 $Int.Pls < Int.Bit0\ k \longleftrightarrow Int.Pls < k$
 $Int.Pls < Int.Bit1\ k \longleftrightarrow Int.Pls \leq k$
 $Int.Min < Int.Pls \longleftrightarrow True$
 $Int.Min < Int.Min \longleftrightarrow False$
 $Int.Min < Int.Bit0\ k \longleftrightarrow Int.Min < k$
 $Int.Min < Int.Bit1\ k \longleftrightarrow Int.Min < k$
 $Int.Bit0\ k < Int.Pls \longleftrightarrow k < Int.Pls$
 $Int.Bit1\ k < Int.Pls \longleftrightarrow k < Int.Pls$
 $Int.Bit0\ k < Int.Min \longleftrightarrow k \leq Int.Min$
 $Int.Bit1\ k < Int.Min \longleftrightarrow k < Int.Min$
 $Int.Bit0\ k1 < Int.Bit0\ k2 \longleftrightarrow k1 < k2$
 $Int.Bit0\ k1 < Int.Bit1\ k2 \longleftrightarrow k1 \leq k2$
 $Int.Bit1\ k1 < Int.Bit0\ k2 \longleftrightarrow k1 < k2$
 $Int.Bit1\ k1 < Int.Bit1\ k2 \longleftrightarrow k1 < k2$
by *simp-all*

definition

$nat_aux :: int \Rightarrow nat \Rightarrow nat$ **where**
 $nat_aux\ i\ n = nat\ i + n$

lemma [code]:

$nat_aux\ i\ n = (if\ i \leq 0\ then\ n\ else\ nat_aux\ (i - 1)\ (Suc\ n))$ — tail recursive
by (*auto simp add: nat-aux-def nat-eq-iff linorder-not-le order-less-imp-le*
dest: zless-imp-add1-zle)

lemma [code]: $nat\ i = nat_aux\ i\ 0$
by (*simp add: nat-aux-def*)

hide-const (**open**) *nat-aux*

lemma *zero-is-num-zero* [code, code-unfold-post]:
 $(0::int) = Numeral0$
by *simp*

lemma *one-is-num-one* [code, code-unfold-post]:
 $(1::int) = Numeral1$
by *simp*

code-modulename *SML*

Int Arith

code-modulename *OCaml*

Int Arith

code-modulename *Haskell*

Int Arith

types-code

```

  int (int)
attach (term-of) ⟨⟨
  val term-of-int = HLogic.mk-number HLogic.intT;
  ⟩⟩
attach (test) ⟨⟨
  fun gen-int i =
    let val j = one-of [~1, 1] * random-range 0 i
    in (j, fn () => term-of-int j) end;
  ⟩⟩

```

setup ⟨⟨

let

```

  fun strip-number-of (@{term Int.number-of :: int => int} $ t) = t
    | strip-number-of t = t;

```

```

  fun numeral-codegen thy defs dep module b t gr =
    let val i = HLogic.dest-numeral (strip-number-of t)
    in
      SOME (Codegen.str (string-of-int i),
        snd (Codegen.invoke-tycodegen thy defs dep module false HLogic.intT gr))
    end handle TERM - => NONE;

```

in

Codegen.add-codegen numeral-codegen numeral-codegen

end

⟩⟩

consts-code

```

  number-of :: int => int    ((-))
  0 :: int                (0)
  1 :: int                (1)
  uminus :: int => int      (~)
  op + :: int => int => int  ((- +/ -))
  op * :: int => int => int  ((- */ -))
  op ≤ :: int => int => bool ((- <=/ -))
  op < :: int => int => bool ((- </ -))

```

quickcheck-params [default-type = int]

hide-const (open) Pls Min Bit0 Bit1 succ pred

30.25 Legacy theorems

lemmas $zminus-zminus = minus-minus$ [of $z::int$, standard]
lemmas $zminus-0 = minus-zero$ [where $'a=int$]
lemmas $zminus-zadd-distrib = minus-add-distrib$ [of $z::int$ w , standard]
lemmas $zadd-commute = add-commute$ [of $z::int$ w , standard]
lemmas $zadd-assoc = add-assoc$ [of $z1::int$ $z2$ $z3$, standard]
lemmas $zadd-left-commute = add-left-commute$ [of $x::int$ y z , standard]
lemmas $zadd-ac = zadd-assoc$ $zadd-commute$ $zadd-left-commute$
lemmas $zmult-ac = mult-ac$
lemmas $zadd-0 = add-0-left$ [of $z::int$, standard]
lemmas $zadd-0-right = add-0-right$ [of $z::int$, standard]
lemmas $zadd-zminus-inverse2 = left-minus$ [of $z::int$, standard]
lemmas $zmult-zminus = mult-minus-left$ [of $z::int$ w , standard]
lemmas $zmult-commute = mult-commute$ [of $z::int$ w , standard]
lemmas $zmult-assoc = mult-assoc$ [of $z1::int$ $z2$ $z3$, standard]
lemmas $zadd-zmult-distrib = left-distrib$ [of $z1::int$ $z2$ w , standard]
lemmas $zadd-zmult-distrib2 = right-distrib$ [of $w::int$ $z1$ $z2$, standard]
lemmas $zdiff-zmult-distrib = left-diff-distrib$ [of $z1::int$ $z2$ w , standard]
lemmas $zdiff-zmult-distrib2 = right-diff-distrib$ [of $w::int$ $z1$ $z2$, standard]

lemmas $zmult-1 = mult-1-left$ [of $z::int$, standard]
lemmas $zmult-1-right = mult-1-right$ [of $z::int$, standard]

lemmas $zle-refl = order-refl$ [of $w::int$, standard]
lemmas $zle-trans = order-trans$ [where $'a=int$ and $x=i$ and $y=j$ and $z=k$, standard]
lemmas $zle-antisym = order-antisym$ [of $z::int$ w , standard]
lemmas $zle-linear = linorder-linear$ [of $z::int$ w , standard]
lemmas $zless-linear = linorder-less-linear$ [where $'a = int$]

lemmas $zadd-left-mono = add-left-mono$ [of $i::int$ j k , standard]
lemmas $zadd-strict-right-mono = add-strict-right-mono$ [of $i::int$ j k , standard]
lemmas $zadd-zless-mono = add-less-le-mono$ [of $w::int$ w z' z , standard]

lemmas $int-0-less-1 = zero-less-one$ [where $'a=int$]
lemmas $int-0-neq-1 = zero-neq-one$ [where $'a=int$]

lemmas $inj-int = inj-of-nat$ [where $'a=int$]
lemmas $zadd-int = of-nat-add$ [where $'a=int$, symmetric]
lemmas $int-mult = of-nat-mult$ [where $'a=int$]
lemmas $zmult-int = of-nat-mult$ [where $'a=int$, symmetric]
lemmas $int-eq-0-conv = of-nat-eq-0-iff$ [where $'a=int$ and $m=n$, standard]
lemmas $zless-int = of-nat-less-iff$ [where $'a=int$]
lemmas $int-less-0-conv = of-nat-less-0-iff$ [where $'a=int$ and $m=k$, standard]
lemmas $zero-less-int-conv = of-nat-0-less-iff$ [where $'a=int$]
lemmas $zero-zle-int = of-nat-0-le-iff$ [where $'a=int$]
lemmas $int-le-0-conv = of-nat-le-0-iff$ [where $'a=int$ and $m=n$, standard]
lemmas $int-0 = of-nat-0$ [where $'a=int$]
lemmas $int-1 = of-nat-1$ [where $'a=int$]

```

lemmas int-Suc = of-nat-Suc [where 'a=int]
lemmas abs-int-eq = abs-of-nat [where 'a=int and n=m, standard]
lemmas of-int-int-eq = of-int-of-nat-eq [where 'a=int]
lemmas zdifff-int = of-nat-diff [where 'a=int, symmetric]
lemmas zless-le = less-int-def
lemmas int-eq-of-nat = TrueI

```

```

lemma zpower-zadd-distrib:
   $x \wedge (y + z) = ((x \wedge y) * (x \wedge z))::int$ 
by (rule power-add)

```

```

lemma zero-less-zpower-abs-iff:
   $(0 < \text{abs } x \wedge n) \longleftrightarrow (x \neq (0::int) \mid n = 0)$ 
by (rule zero-less-power-abs-iff)

```

```

lemma zero-le-zpower-abs:  $(0::int) \leq \text{abs } x \wedge n$ 
by (rule zero-le-power-abs)

```

```

lemma zpower-zpower:
   $(x \wedge y) \wedge z = (x \wedge (y * z))::int$ 
by (rule power-mult [symmetric])

```

```

lemma int-power:
   $\text{int } (m \wedge n) = \text{int } m \wedge n$ 
by (rule of-nat-power)

```

```

lemmas zpower-int = int-power [symmetric]

```

```

end

```

31 Nat-Numeral: Binary numerals for the natural numbers

```

theory Nat-Numeral
imports Int
begin

```

31.1 Numerals for natural numbers

Arithmetic for naturals is reduced to that for the non-negative integers.

```

instantiation nat :: number
begin

```

```

definition
  nat-number-of-def [code-unfold, code del]: number-of v = nat (number-of v)

```

```

instance ..

```

end

lemma *[code-post]*:
 $\text{nat } (\text{number-of } v) = \text{number-of } v$
unfolding *nat-number-of-def* ..

31.2 Special case: squares and cubes

lemma *numeral-2-eq-2*: $2 = \text{Suc } (\text{Suc } 0)$
by (*simp add: nat-number-of-def*)

lemma *numeral-3-eq-3*: $3 = \text{Suc } (\text{Suc } (\text{Suc } 0))$
by (*simp add: nat-number-of-def*)

context *power*
begin

abbreviation (*xsymbols*)
 $\text{power2} :: 'a \Rightarrow 'a \ ((-^2) [1000] 999)$ **where**
 $x^2 \equiv x \wedge 2$

notation (*latex output*)
 $\text{power2} \ ((-^2) [1000] 999)$

notation (*HTML output*)
 $\text{power2} \ ((-^2) [1000] 999)$

end

context *monoid-mult*
begin

lemma *power2-eq-square*: $a^2 = a * a$
by (*simp add: numeral-2-eq-2*)

lemma *power3-eq-cube*: $a \wedge 3 = a * a * a$
by (*simp add: numeral-3-eq-3 mult-assoc*)

lemma *power-even-eq*:
 $a \wedge (2*n) = (a \wedge n) \wedge 2$
by (*subst mult-commute*) (*simp add: power-mult*)

lemma *power-odd-eq*:
 $a \wedge \text{Suc } (2*n) = a * (a \wedge n) \wedge 2$
by (*simp add: power-even-eq*)

end

context *semiring-1*
begin

lemma *zero-power2* [*simp*]: $0^2 = 0$
by (*simp add: power2-eq-square*)

lemma *one-power2* [*simp*]: $1^2 = 1$
by (*simp add: power2-eq-square*)

end

context *ring-1*
begin

lemma *power2-minus* [*simp*]:
 $(- a)^2 = a^2$
by (*simp add: power2-eq-square*)

We cannot prove general results about the numeral $-1::'b$, so we have to use $-(1::'a)$ instead.

lemma *power-minus1-even* [*simp*]:
 $(- 1) ^ (2*n) = 1$
proof (*induct n*)
case 0 show ?case by simp
next
case (Suc n) then show ?case by (simp add: power-add)
qed

lemma *power-minus1-odd*:
 $(- 1) ^ Suc (2*n) = - 1$
by simp

lemma *power-minus-even* [*simp*]:
 $(-a) ^ (2*n) = a ^ (2*n)$
by (*simp add: power-minus [of a]*)

end

context *ring-1-no-zero-divisors*
begin

lemma *zero-eq-power2* [*simp*]:
 $a^2 = 0 \longleftrightarrow a = 0$
unfolding *power2-eq-square* **by** *simp*

lemma *power2-eq-1-iff*:
 $a^2 = 1 \longleftrightarrow a = 1 \vee a = - 1$
unfolding *power2-eq-square* **by** (*rule square-eq-1-iff*)

end

context *linordered-ring*
begin

lemma *sum-squares-ge-zero*:
 $0 \leq x * x + y * y$
by (*intro add-nonneg-nonneg zero-le-square*)

lemma *not-sum-squares-lt-zero*:
 $\neg x * x + y * y < 0$
by (*simp add: not-less sum-squares-ge-zero*)

end

context *linordered-ring-strict*
begin

lemma *sum-squares-eq-zero-iff*:
 $x * x + y * y = 0 \longleftrightarrow x = 0 \wedge y = 0$
by (*simp add: add-nonneg-eq-0-iff*)

lemma *sum-squares-le-zero-iff*:
 $x * x + y * y \leq 0 \longleftrightarrow x = 0 \wedge y = 0$
by (*simp add: le-less not-sum-squares-lt-zero sum-squares-eq-zero-iff*)

lemma *sum-squares-gt-zero-iff*:
 $0 < x * x + y * y \longleftrightarrow x \neq 0 \vee y \neq 0$
by (*simp add: not-le [symmetric] sum-squares-le-zero-iff*)

end

context *linordered-semidom*
begin

lemma *power2-le-imp-le*:
 $x^2 \leq y^2 \Longrightarrow 0 \leq y \Longrightarrow x \leq y$
unfolding *numeral-2-eq-2* **by** (*rule power-le-imp-le-base*)

lemma *power2-less-imp-less*:
 $x^2 < y^2 \Longrightarrow 0 \leq y \Longrightarrow x < y$
by (*rule power-less-imp-less-base*)

lemma *power2-eq-imp-eq*:
 $x^2 = y^2 \Longrightarrow 0 \leq x \Longrightarrow 0 \leq y \Longrightarrow x = y$
unfolding *numeral-2-eq-2* **by** (*erule (2) power-eq-imp-eq-base*) *simp*

end

context *linordered-idom*
begin

lemma *zero-le-power2* [*simp*]:
 $0 \leq a^2$
by (*simp add: power2-eq-square*)

lemma *zero-less-power2* [*simp*]:
 $0 < a^2 \iff a \neq 0$
by (*force simp add: power2-eq-square zero-less-mult-iff linorder-neq-iff*)

lemma *power2-less-0* [*simp*]:
 $\neg a^2 < 0$
by (*force simp add: power2-eq-square mult-less-0-iff*)

lemma *abs-power2* [*simp*]:
 $\text{abs } (a^2) = a^2$
by (*simp add: power2-eq-square abs-mult abs-mult-self*)

lemma *power2-abs* [*simp*]:
 $(\text{abs } a)^2 = a^2$
by (*simp add: power2-eq-square abs-mult-self*)

lemma *odd-power-less-zero*:
 $a < 0 \implies a \wedge \text{Suc } (2*n) < 0$
proof (*induct n*)
case 0
then show ?*case* **by** *simp*
next
case (*Suc n*)
have $a \wedge \text{Suc } (2 * \text{Suc } n) = (a*a) * a \wedge \text{Suc } (2*n)$
by (*simp add: mult-ac power-add power2-eq-square*)
thus ?*case*
by (*simp del: power-Suc add: Suc mult-less-0-iff mult-neg-neg*)
qed

lemma *odd-0-le-power-imp-0-le*:
 $0 \leq a \wedge \text{Suc } (2*n) \implies 0 \leq a$
using *odd-power-less-zero* [*of a n*]
by (*force simp add: linorder-not-less [symmetric]*)

lemma *zero-le-even-power'* [*simp*]:
 $0 \leq a \wedge (2*n)$
proof (*induct n*)
case 0
show ?*case* **by** *simp*
next
case (*Suc n*)
have $a \wedge (2 * \text{Suc } n) = (a*a) * a \wedge (2*n)$

```

    by (simp add: mult-ac power-add power2-eq-square)
  thus ?case
    by (simp add: Suc zero-le-mult-iff)
qed

```

```

lemma sum-power2-ge-zero:
   $0 \leq x^2 + y^2$ 
  unfolding power2-eq-square by (rule sum-squares-ge-zero)

```

```

lemma not-sum-power2-lt-zero:
   $\neg x^2 + y^2 < 0$ 
  unfolding power2-eq-square by (rule not-sum-squares-lt-zero)

```

```

lemma sum-power2-eq-zero-iff:
   $x^2 + y^2 = 0 \iff x = 0 \wedge y = 0$ 
  unfolding power2-eq-square by (rule sum-squares-eq-zero-iff)

```

```

lemma sum-power2-le-zero-iff:
   $x^2 + y^2 \leq 0 \iff x = 0 \wedge y = 0$ 
  unfolding power2-eq-square by (rule sum-squares-le-zero-iff)

```

```

lemma sum-power2-gt-zero-iff:
   $0 < x^2 + y^2 \iff x \neq 0 \vee y \neq 0$ 
  unfolding power2-eq-square by (rule sum-squares-gt-zero-iff)

```

end

```

lemma power2-sum:
  fixes x y :: 'a::number-ring
  shows  $(x + y)^2 = x^2 + y^2 + 2 * x * y$ 
  by (simp add: ring-distrib power2-eq-square mult-2) (rule mult-commute)

```

```

lemma power2-diff:
  fixes x y :: 'a::number-ring
  shows  $(x - y)^2 = x^2 + y^2 - 2 * x * y$ 
  by (simp add: ring-distrib power2-eq-square mult-2) (rule mult-commute)

```

31.3 Predicate for negative binary numbers

```

definition neg :: int  $\Rightarrow$  bool where
  neg Z  $\iff$  Z < 0

```

```

lemma not-neg-int [simp]:  $\sim$  neg (of-nat n)
by (simp add: neg-def)

```

```

lemma neg-zminus-int [simp]: neg ( $-$  (of-nat (Suc n)))
by (simp add: neg-def del: of-nat-Suc)

```

```

lemmas neg-eq-less-0 = neg-def

```

lemma *not-neg-eq-ge-0*: $(\sim \text{neg } x) = (0 \leq x)$
by (*simp add: neg-def linorder-not-less*)

To simplify inequalities when *Numeral1* can get simplified to 1

lemma *not-neg-0*: $\sim \text{neg } 0$
by (*simp add: One-int-def neg-def*)

lemma *not-neg-1*: $\sim \text{neg } 1$
by (*simp add: neg-def linorder-not-less*)

lemma *neg-nat*: $\text{neg } z ==> \text{nat } z = 0$
by (*simp add: neg-def order-less-imp-le*)

lemma *not-neg-nat*: $\sim \text{neg } z ==> \text{of-nat } (\text{nat } z) = z$
by (*simp add: linorder-not-less neg-def*)

If *Numeral0* is rewritten to 0 then this rule can’t be applied: *Numeral0* IS
number-of Pls

lemma *not-neg-number-of-Pls*: $\sim \text{neg } (\text{number-of Int.Pl})$
by (*simp add: neg-def*)

lemma *neg-number-of-Min*: $\text{neg } (\text{number-of Int.Min})$
by (*simp add: neg-def*)

lemma *neg-number-of-Bit0*:
 $\text{neg } (\text{number-of } (\text{Int.Bit0 } w)) = \text{neg } (\text{number-of } w)$
by (*simp add: neg-def*)

lemma *neg-number-of-Bit1*:
 $\text{neg } (\text{number-of } (\text{Int.Bit1 } w)) = \text{neg } (\text{number-of } w)$
by (*simp add: neg-def*)

lemmas *neg-simps* [*simp*] =
not-neg-0 not-neg-1
not-neg-number-of-Pls neg-number-of-Min
neg-number-of-Bit0 neg-number-of-Bit1

31.4 Function *nat*: Coercion from Type *int* to *nat*

declare *nat-1* [*simp*]

lemma *nat-number-of* [*simp*]: $\text{nat } (\text{number-of } w) = \text{number-of } w$
by (*simp add: nat-number-of-def*)

lemma *nat-numeral-0-eq-0* [*simp*, *code-post*]: *Numeral0* = $(0::\text{nat})$
by (*simp add: nat-number-of-def*)

lemma *nat-numeral-1-eq-1* [*simp*]: *Numeral1* = $(1::\text{nat})$

by (simp add: nat-number-of-def)

lemma *Numeral1-eq1-nat*:
 (1::nat) = Numeral1
 by simp

lemma *numeral-1-eq-Suc-0* [code-post]: Numeral1 = Suc 0
 by (simp only: nat-numeral-1-eq-1 One-nat-def)

31.5 Function *int*: Coercion from Type *nat* to *int*

lemma *int-nat-number-of* [simp]:
 int (number-of v) =
 (if neg (number-of v :: int) then 0
 else (number-of v :: int))
unfolding nat-number-of-def number-of-is-id neg-def
 by simp

31.5.1 Successor

lemma *Suc-nat-eq-nat-zadd1*: (0::int) <= z ==> Suc (nat z) = nat (1 + z)
apply (rule sym)
apply (simp add: nat-eq-iff int-Suc)
done

lemma *Suc-nat-number-of-add*:
 Suc (number-of v + n) =
 (if neg (number-of v :: int) then 1+n else number-of (Int.succ v) + n)
unfolding nat-number-of-def number-of-is-id neg-def numeral-simps
 by (simp add: Suc-nat-eq-nat-zadd1 add-ac)

lemma *Suc-nat-number-of* [simp]:
 Suc (number-of v) =
 (if neg (number-of v :: int) then 1 else number-of (Int.succ v))
apply (cut-tac n = 0 in Suc-nat-number-of-add)
apply (simp cong del: if-weak-cong)
done

31.5.2 Addition

lemma *add-nat-number-of* [simp]:
 (number-of v :: nat) + number-of v' =
 (if v < Int.Plus then number-of v'
 else if v' < Int.Plus then number-of v
 else number-of (v + v'))
unfolding nat-number-of-def number-of-is-id numeral-simps
 by (simp add: nat-add-distrib)

lemma *nat-number-of-add-1* [simp]:
 number-of v + (1::nat) =

(if $v < \text{Int.Pls}$ then 1 else number-of ($\text{Int.succ } v$))
unfolding nat-number-of-def number-of-is-id numeral-simps
by (simp add: nat-add-distrib)

lemma nat-1-add-number-of [simp]:
 $(1::\text{nat}) + \text{number-of } v =$
 (if $v < \text{Int.Pls}$ then 1 else number-of ($\text{Int.succ } v$))
unfolding nat-number-of-def number-of-is-id numeral-simps
by (simp add: nat-add-distrib)

lemma nat-1-add-1 [simp]: $1 + 1 = (2::\text{nat})$
by (rule int-int-eq [THEN iffD1]) simp

31.5.3 Subtraction

lemma diff-nat-eq-if:
 $\text{nat } z - \text{nat } z' =$
 (if $\text{neg } z'$ then $\text{nat } z$
 else let $d = z - z'$ in
 if $\text{neg } d$ then 0 else $\text{nat } d$)
by (simp add: Let-def nat-diff-distrib [symmetric] neg-eq-less-0 not-neg-eq-ge-0)

lemma diff-nat-number-of [simp]:
 $(\text{number-of } v :: \text{nat}) - \text{number-of } v' =$
 (if $v' < \text{Int.Pls}$ then $\text{number-of } v$
 else let $d = \text{number-of } (v + \text{uminus } v')$ in
 if $\text{neg } d$ then 0 else $\text{nat } d$)
unfolding nat-number-of-def number-of-is-id numeral-simps neg-def
by auto

lemma nat-number-of-diff-1 [simp]:
 $\text{number-of } v - (1::\text{nat}) =$
 (if $v \leq \text{Int.Pls}$ then 0 else $\text{number-of } (\text{Int.pred } v)$)
unfolding nat-number-of-def number-of-is-id numeral-simps
by auto

31.5.4 Multiplication

lemma mult-nat-number-of [simp]:
 $(\text{number-of } v :: \text{nat}) * \text{number-of } v' =$
 (if $v < \text{Int.Pls}$ then 0 else $\text{number-of } (v * v')$)
unfolding nat-number-of-def number-of-is-id numeral-simps
by (simp add: nat-mult-distrib)

31.6 Comparisons

31.6.1 Equals (=)

lemma eq-nat-number-of [simp]:

```

((number-of v :: nat) = number-of v') =
  (if neg (number-of v :: int) then (number-of v' :: int) ≤ 0
   else if neg (number-of v' :: int) then (number-of v :: int) = 0
   else v = v')
unfolding nat-number-of-def number-of-is-id neg-def
by auto

```

31.6.2 Less-than (i)

```

lemma less-nat-number-of [simp]:
  (number-of v :: nat) < number-of v'  $\longleftrightarrow$ 
  (if v < v' then Int.Pls < v' else False)
unfolding nat-number-of-def number-of-is-id numeral-simps
by auto

```

31.6.3 Less-than-or-equal

```

lemma le-nat-number-of [simp]:
  (number-of v :: nat) ≤ number-of v'  $\longleftrightarrow$ 
  (if v ≤ v' then True else v ≤ Int.Pls)
unfolding nat-number-of-def number-of-is-id numeral-simps
by auto

```

lemmas numerals = nat-numeral-0-eq-0 nat-numeral-1-eq-1 numeral-2-eq-2

31.7 Powers with Numeric Exponents

Squares of literal numerals will be evaluated.

```

lemmas power2-eq-square-number-of [simp] =
  power2-eq-square [of number-of w, standard]

```

Simprules for comparisons where common factors can be cancelled.

```

lemmas zero-compare-simps =
  add-strict-increasing add-strict-increasing2 add-increasing
  zero-le-mult-iff zero-le-divide-iff
  zero-less-mult-iff zero-less-divide-iff
  mult-le-0-iff divide-le-0-iff
  mult-less-0-iff divide-less-0-iff
  zero-le-power2 power2-less-0

```

31.7.1 Nat

```

lemma Suc-pred': 0 < n ==> n = Suc(n - 1)
by simp

```

lemmas expand-Suc = Suc-pred' [of number-of v, standard]

31.7.2 Arith

lemma *Suc-eq-plus1*: $Suc\ n = n + 1$
unfolding *One-nat-def* **by** *simp*

lemma *Suc-eq-plus1-left*: $Suc\ n = 1 + n$
unfolding *One-nat-def* **by** *simp*

lemma *add-eq-if*: $(m::nat) + n = (if\ m=0\ then\ n\ else\ Suc\ ((m - 1) + n))$
unfolding *One-nat-def* **by** *(cases m) simp-all*

lemma *mult-eq-if*: $(m::nat) * n = (if\ m=0\ then\ 0\ else\ n + ((m - 1) * n))$
unfolding *One-nat-def* **by** *(cases m) simp-all*

lemma *power-eq-if*: $(p \wedge m :: nat) = (if\ m=0\ then\ 1\ else\ p * (p \wedge (m - 1)))$
unfolding *One-nat-def* **by** *(cases m) simp-all*

31.8 Comparisons involving (0::nat)

Simplification already does $n < (0::'a)$, $n \leq (0::'a)$ and $(0::'a) \leq n$.

lemma *eq-number-of-0* [*simp*]:
 $number-of\ v = (0::nat) \longleftrightarrow v \leq Int.Pls$
unfolding *nat-number-of-def number-of-is-id numeral-simps*
by *auto*

lemma *eq-0-number-of* [*simp*]:
 $(0::nat) = number-of\ v \longleftrightarrow v \leq Int.Pls$
by *(rule trans [OF eq-sym-conv eq-number-of-0])*

lemma *less-0-number-of* [*simp*]:
 $(0::nat) < number-of\ v \longleftrightarrow Int.Pls < v$
unfolding *nat-number-of-def number-of-is-id numeral-simps*
by *simp*

lemma *neg-imp-number-of-eq-0*: $neg\ (number-of\ v :: int) ==> number-of\ v = (0::nat)$
by *(simp del: nat-numeral-0-eq-0 add: nat-numeral-0-eq-0 [symmetric])*

31.9 Comparisons involving Suc

lemma *eq-number-of-Suc* [*simp*]:
 $(number-of\ v = Suc\ n) =$
 $(let\ pv = number-of\ (Int.pred\ v)\ in$
 $if\ neg\ pv\ then\ False\ else\ nat\ pv = n)$
apply *(simp only: simp-thms Let-def neg-eq-less-0 linorder-not-less*
 $number-of-pred\ nat-number-of-def$
 $split\ add: split-if)$

```

apply (rule-tac  $x = \text{number-of } v$  in spec)
apply (auto simp add: nat-eq-iff)
done

```

```

lemma Suc-eq-number-of [simp]:
  (Suc  $n = \text{number-of } v$ ) =
    (let  $pv = \text{number-of } (\text{Int.pred } v)$  in
      if neg  $pv$  then False else nat  $pv = n$ )
by (rule trans [OF eq-sym-conv eq-number-of-Suc])

```

```

lemma less-number-of-Suc [simp]:
  (number-of  $v < \text{Suc } n$ ) =
    (let  $pv = \text{number-of } (\text{Int.pred } v)$  in
      if neg  $pv$  then True else nat  $pv < n$ )
apply (simp only: simp-thms Let-def neg-eq-less-0 linorder-not-less
  number-of-pred nat-number-of-def
  split add: split-if)
apply (rule-tac  $x = \text{number-of } v$  in spec)
apply (auto simp add: nat-less-iff)
done

```

```

lemma less-Suc-number-of [simp]:
  (Suc  $n < \text{number-of } v$ ) =
    (let  $pv = \text{number-of } (\text{Int.pred } v)$  in
      if neg  $pv$  then False else  $n < \text{nat } pv$ )
apply (simp only: simp-thms Let-def neg-eq-less-0 linorder-not-less
  number-of-pred nat-number-of-def
  split add: split-if)
apply (rule-tac  $x = \text{number-of } v$  in spec)
apply (auto simp add: zless-nat-eq-int-zless)
done

```

```

lemma le-number-of-Suc [simp]:
  (number-of  $v \leq \text{Suc } n$ ) =
    (let  $pv = \text{number-of } (\text{Int.pred } v)$  in
      if neg  $pv$  then True else nat  $pv \leq n$ )
by (simp add: Let-def linorder-not-less [symmetric])

```

```

lemma le-Suc-number-of [simp]:
  (Suc  $n \leq \text{number-of } v$ ) =
    (let  $pv = \text{number-of } (\text{Int.pred } v)$  in
      if neg  $pv$  then False else  $n \leq \text{nat } pv$ )
by (simp add: Let-def linorder-not-less [symmetric])

```

```

lemma eq-number-of-Pls-Min: (Numeral0 :: int)  $\sim = \text{number-of Int.Min}$ 
by auto

```


31.10 Max and Min Combined with *Suc*

```

lemma max-number-of-Suc [simp]:
  max (Suc n) (number-of v) =
    (let pv = number-of (Int.pred v) in
     if neg pv then Suc n else Suc(max n (nat pv)))
apply (simp only: Let-def neg-eq-less-0 number-of-pred nat-number-of-def
  split add: split-if nat.split)
apply (rule-tac x = number-of v in spec)
apply auto
done

```

```

lemma max-Suc-number-of [simp]:
  max (number-of v) (Suc n) =
    (let pv = number-of (Int.pred v) in
     if neg pv then Suc n else Suc(max (nat pv) n))
apply (simp only: Let-def neg-eq-less-0 number-of-pred nat-number-of-def
  split add: split-if nat.split)
apply (rule-tac x = number-of v in spec)
apply auto
done

```

```

lemma min-number-of-Suc [simp]:
  min (Suc n) (number-of v) =
    (let pv = number-of (Int.pred v) in
     if neg pv then 0 else Suc(min n (nat pv)))
apply (simp only: Let-def neg-eq-less-0 number-of-pred nat-number-of-def
  split add: split-if nat.split)
apply (rule-tac x = number-of v in spec)
apply auto
done

```

```

lemma min-Suc-number-of [simp]:
  min (number-of v) (Suc n) =
    (let pv = number-of (Int.pred v) in
     if neg pv then 0 else Suc(min (nat pv) n))
apply (simp only: Let-def neg-eq-less-0 number-of-pred nat-number-of-def
  split add: split-if nat.split)
apply (rule-tac x = number-of v in spec)
apply auto
done

```

31.11 Literal arithmetic involving powers

```

lemma power-nat-number-of:
  (number-of v :: nat) ^ n =
    (if neg (number-of v :: int) then 0^n else nat ((number-of v :: int) ^ n))
by (simp only: simp-thms neg-nat not-neg-eq-ge-0 nat-number-of-def nat-power-eq
  split add: split-if cong: imp-cong)

```

```

lemmas power-nat-number-of-number-of = power-nat-number-of [of - number-of
w, standard]
declare power-nat-number-of-number-of [simp]

```

For arbitrary rings

```

lemma power-number-of-even:
  fixes z :: 'a::number-ring
  shows z ^ number-of (Int.Bit0 w) = (let w = z ^ (number-of w) in w * w)
by (cases w ≥ 0) (auto simp add: Let-def Bit0-def nat-number-of-def number-of-is-id
nat-add-distrib power-add simp del: nat-number-of)

```

```

lemma power-number-of-odd:
  fixes z :: 'a::number-ring
  shows z ^ number-of (Int.Bit1 w) = (if (0::int) ≤ number-of w
then (let w = z ^ (number-of w) in z * w * w) else 1)
unfolding Let-def Bit1-def nat-number-of-def number-of-is-id
apply (cases 0 ≤ w)
apply (simp only: mult-assoc nat-add-distrib power-add, simp)
apply (simp add: not-le mult-2 [symmetric] add-assoc)
done

```

```

lemmas zpower-number-of-even = power-number-of-even [where 'a=int]
lemmas zpower-number-of-odd = power-number-of-odd [where 'a=int]

```

```

lemmas power-number-of-even-number-of [simp] =
power-number-of-even [of number-of v, standard]

```

```

lemmas power-number-of-odd-number-of [simp] =
power-number-of-odd [of number-of v, standard]

```

```

lemma nat-number-of-Pls: Numeral0 = (0::nat)
by (simp add: nat-number-of-def)

```

```

lemma nat-number-of-Min: number-of Int.Min = (0::nat)
apply (simp only: number-of-Min nat-number-of-def nat-zminus-int)
done

```

```

lemma nat-number-of-Bit0:
  number-of (Int.Bit0 w) = (let n::nat = number-of w in n + n)
by (cases w ≥ 0) (auto simp add: Let-def Bit0-def nat-number-of-def number-of-is-id
nat-add-distrib simp del: nat-number-of)

```

```

lemma nat-number-of-Bit1:
  number-of (Int.Bit1 w) =
    (if neg (number-of w :: int) then 0
else let n = number-of w in Suc (n + n))
unfolding Let-def Bit1-def nat-number-of-def number-of-is-id neg-def
apply (cases w < 0)

```

```

apply (simp add: mult-2 [symmetric] add-assoc)
apply (simp only: nat-add-distrib, simp)
done

```

```

lemmas nat-number =
  nat-number-of-Pls nat-number-of-Min
  nat-number-of-Bit0 nat-number-of-Bit1

```

```

lemmas nat-number' =
  nat-number-of-Bit0 nat-number-of-Bit1

```

```

lemmas nat-arith =
  add-nat-number-of
  diff-nat-number-of
  mult-nat-number-of
  eq-nat-number-of
  less-nat-number-of

```

```

lemmas semiring-norm =
  Let-def arith-simps nat-arith rel-simps neg-simps if-False
  if-True add-0 add-Suc add-number-of-left mult-number-of-left
  numeral-1-eq-1 [symmetric] Suc-eq-plus1
  numeral-0-eq-0 [symmetric] numerals [symmetric]
  not-iszero-Numeral1

```

```

lemma Let-Suc [simp]: Let (Suc n) f == f (Suc n)
by (fact Let-def)

```

```

lemma power-m1-even: (-1) ^ (2*n) = (1::'a::{number-ring})
by (simp only: number-of-Min power-minus1-even)

```

```

lemma power-m1-odd: (-1) ^ Suc(2*n) = (-1::'a::{number-ring})
by (simp only: number-of-Min power-minus1-odd)

```

```

lemma nat-number-of-add-left:
  number-of v + (number-of v' + (k::nat)) =
    (if neg (number-of v :: int) then number-of v' + k
     else if neg (number-of v' :: int) then number-of v + k
     else number-of (v + v') + k)
by (auto simp add: neg-def)

```

```

lemma nat-number-of-mult-left:
  number-of v * (number-of v' * (k::nat)) =
    (if v < Int.Pls then 0
     else number-of (v * v') * k)
by (auto simp add: not-less Pls-def nat-number-of-def number-of-is-id
      nat-mult-distrib simp del: nat-number-of)

```

31.12 Literal arithmetic and *of-nat*

lemma *of-nat-double*:

$$0 \leq x \implies \text{of-nat } (\text{nat } (2 * x)) = \text{of-nat } (\text{nat } x) + \text{of-nat } (\text{nat } x)$$

by (*simp only: mult-2 nat-add-distrib of-nat-add*)

lemma *nat-numeral-m1-eq-0*: $-1 = (0::\text{nat})$

by (*simp only: nat-number-of-def*)

lemma *of-nat-number-of-lemma*:

$$\begin{aligned} \text{of-nat } (\text{number-of } v :: \text{nat}) = \\ \text{(if } 0 \leq (\text{number-of } v :: \text{int}) \\ \text{then } (\text{number-of } v :: 'a :: \text{number-ring}) \\ \text{else } 0) \end{aligned}$$

by (*simp add: int-number-of-def nat-number-of-def number-of-eq of-nat-nat*)

lemma *of-nat-number-of-eq [simp]*:

$$\begin{aligned} \text{of-nat } (\text{number-of } v :: \text{nat}) = \\ \text{(if neg } (\text{number-of } v :: \text{int}) \text{ then } 0 \\ \text{else } (\text{number-of } v :: 'a :: \text{number-ring})) \end{aligned}$$

by (*simp only: of-nat-number-of-lemma neg-def, simp*)

31.12.1 For simplifying $\text{Suc } m - K$ and $K - \text{Suc } m$

Where K above is a literal

lemma *Suc-diff-eq-diff-pred*: $\text{Numeral0} < n \implies \text{Suc } m - n = m - (n - \text{Numeral1})$

by (*simp split: nat-diff-split*)

Now just instantiating n to *number-of* v does the right simplification, but with some redundant inequality tests.

lemma *neg-number-of-pred-iff-0*:

$$\text{neg } (\text{number-of } (\text{Int.pred } v)::\text{int}) = (\text{number-of } v = (0::\text{nat}))$$

apply (*subgoal-tac neg (number-of (Int.pred v)) = (number-of v < Suc 0)*)

apply (*simp only: less-Suc-eq-le le-0-eq*)

apply (*subst less-number-of-Suc, simp*)

done

No longer required as a simprule because of the *inverse-fold* *simproc*

lemma *Suc-diff-number-of*:

$$\text{Int.Pl } < v \implies$$

$$\text{Suc } m - (\text{number-of } v) = m - (\text{number-of } (\text{Int.pred } v))$$

apply (*subst Suc-diff-eq-diff-pred*)

apply *simp*

apply (*simp del: nat-numeral-1-eq-1*)

apply (*auto simp only: diff-nat-number-of less-0-number-of [symmetric] neg-number-of-pred-iff-0*)

done

lemma *diff-Suc-eq-diff-pred*: $m - \text{Suc } n = (m - 1) - n$
by (*simp split: nat-diff-split*)

31.12.2 For *nat-case* and *nat-rec*

lemma *nat-case-number-of* [*simp*]:
 $\text{nat-case } a \ f \ (\text{number-of } v) =$
 $(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$
 $\text{if neg } pv \text{ then } a \text{ else } f \ (\text{nat } pv))$
by (*simp split add: nat.split add: Let-def neg-number-of-pred-iff-0*)

lemma *nat-case-add-eq-if* [*simp*]:
 $\text{nat-case } a \ f \ ((\text{number-of } v) + n) =$
 $(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$
 $\text{if neg } pv \text{ then nat-case } a \ f \ n \text{ else } f \ (\text{nat } pv + n))$
apply (*subst add-eq-if*)
apply (*simp split add: nat.split*
 $\text{del: nat-numeral-1-eq-1}$
 $\text{add: nat-numeral-1-eq-1 [symmetric]}$
 $\text{numeral-1-eq-Suc-0 [symmetric]}$
 $\text{neg-number-of-pred-iff-0}$)
done

lemma *nat-rec-number-of* [*simp*]:
 $\text{nat-rec } a \ f \ (\text{number-of } v) =$
 $(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$
 $\text{if neg } pv \text{ then } a \text{ else } f \ (\text{nat } pv) \ (\text{nat-rec } a \ f \ (\text{nat } pv)))$
apply (*case-tac (number-of v)::nat*)
apply (*simp-all (no-asm-simp) add: Let-def neg-number-of-pred-iff-0*)
apply (*simp split add: split-if-asm*)
done

lemma *nat-rec-add-eq-if* [*simp*]:
 $\text{nat-rec } a \ f \ (\text{number-of } v + n) =$
 $(\text{let } pv = \text{number-of } (\text{Int.pred } v) \text{ in}$
 $\text{if neg } pv \text{ then nat-rec } a \ f \ n$
 $\text{else } f \ (\text{nat } pv + n) \ (\text{nat-rec } a \ f \ (\text{nat } pv + n)))$
apply (*subst add-eq-if*)
apply (*simp split add: nat.split*
 $\text{del: nat-numeral-1-eq-1}$
 $\text{add: nat-numeral-1-eq-1 [symmetric]}$
 $\text{numeral-1-eq-Suc-0 [symmetric]}$
 $\text{neg-number-of-pred-iff-0}$)
done

31.12.3 Various Other Lemmas

lemma *card-UNIV-bool*[*simp*]: $\text{card } (\text{UNIV} :: \text{bool set}) = 2$
by(*simp add: UNIV-bool*)

Evens and Odds, for Mutilated Chess Board

Lemmas for specialist use, NOT as default simprules

lemma *nat-mult-2*: $2 * z = (z + z :: \text{nat})$

unfolding *nat-1-add-1* [*symmetric*] *left-distrib* **by** *simp*

lemma *nat-mult-2-right*: $z * 2 = (z + z :: \text{nat})$

by (*subst mult-commute*, *rule nat-mult-2*)

Case analysis on $n < (2 :: 'a)$

lemma *less-2-cases*: $(n :: \text{nat}) < 2 ==> n = 0 \mid n = \text{Suc } 0$

by (*auto simp add: nat-1-add-1* [*symmetric*])

Removal of Small Numerals: 0, 1 and (in additive positions) 2

lemma *add-2-eq-Suc* [*simp*]: $2 + n = \text{Suc } (\text{Suc } n)$

by *simp*

lemma *add-2-eq-Suc'* [*simp*]: $n + 2 = \text{Suc } (\text{Suc } n)$

by *simp*

Can be used to eliminate long strings of Sucs, but not by default

lemma *Suc3-eq-add-3*: $\text{Suc } (\text{Suc } (\text{Suc } n)) = 3 + n$

by *simp*

end

32 Nat-Transfer: Generic transfer machinery; specific transfer from nats to ints and back.

theory *Nat-Transfer*

imports *Nat-Numeral*

uses (*Tools/transfer.ML*)

begin

32.1 Generic transfer machinery

definition *transfer-morphism*:: $('b \Rightarrow 'a) \Rightarrow ('b \Rightarrow \text{bool}) \Rightarrow \text{bool}$

where *transfer-morphism* $f A \longleftrightarrow (\forall P. (\forall x. P x) \longrightarrow (\forall y. A y \longrightarrow P (f y)))$

lemma *transfer-morphismI*:

assumes $\bigwedge P y. (\bigwedge x. P x) \Longrightarrow A y \Longrightarrow P (f y)$

shows *transfer-morphism* $f A$

using *assms* **by** (*auto simp add: transfer-morphism-def*)

use *Tools/transfer.ML*

setup *Transfer.setup*

32.2 Set up transfer from nat to int

set up transfer direction

lemma *transfer-morphism-nat-int*: *transfer-morphism nat (op <= (0::int))*
by (*rule transfer-morphismI*) *simp*

declare *transfer-morphism-nat-int* [*transfer add*
mode: manual
return: nat-0-le
labels: nat-int
]

basic functions and relations

lemma *transfer-nat-int-numerals* [*transfer key: transfer-morphism-nat-int*]:
 (*0::nat*) = *nat 0*
 (*1::nat*) = *nat 1*
 (*2::nat*) = *nat 2*
 (*3::nat*) = *nat 3*
by *auto*

definition

tsub :: int \Rightarrow int \Rightarrow int

where

tsub x y = (if x >= y then x - y else 0)

lemma *tsub-eq*: *x >= y \implies tsub x y = x - y*
by (*simp add: tsub-def*)

lemma *transfer-nat-int-functions* [*transfer key: transfer-morphism-nat-int*]:
 (*x::int*) >= 0 \implies *y >= 0 \implies (nat x) + (nat y) = nat (x + y)*
 (*x::int*) >= 0 \implies *y >= 0 \implies (nat x) * (nat y) = nat (x * y)*
 (*x::int*) >= 0 \implies *y >= 0 \implies (nat x) - (nat y) = nat (tsub x y)*
 (*x::int*) >= 0 \implies *(nat x) ^ n = nat (x ^ n)*
by (*auto simp add: eq-nat-nat-iff nat-mult-distrib*
nat-power-eq tsub-def)

lemma *transfer-nat-int-function-closures* [*transfer key: transfer-morphism-nat-int*]:
 (*x::int*) >= 0 \implies *y >= 0 \implies x + y >= 0*
 (*x::int*) >= 0 \implies *y >= 0 \implies x * y >= 0*
 (*x::int*) >= 0 \implies *y >= 0 \implies tsub x y >= 0*
 (*x::int*) >= 0 \implies *x ^ n >= 0*
 (*0::int*) >= 0
 (*1::int*) >= 0
 (*2::int*) >= 0
 (*3::int*) >= 0
int z >= 0
by (*auto simp add: zero-le-mult-iff tsub-def*)

lemma *transfer-nat-int-relations* [*transfer key: transfer-morphism-nat-int*]:

```

 $x \geq 0 \implies y \geq 0 \implies$ 
   $(\text{nat } (x::\text{int}) = \text{nat } y) = (x = y)$ 
 $x \geq 0 \implies y \geq 0 \implies$ 
   $(\text{nat } (x::\text{int}) < \text{nat } y) = (x < y)$ 
 $x \geq 0 \implies y \geq 0 \implies$ 
   $(\text{nat } (x::\text{int}) \leq \text{nat } y) = (x \leq y)$ 
 $x \geq 0 \implies y \geq 0 \implies$ 
   $(\text{nat } (x::\text{int}) \text{ dvd } \text{nat } y) = (x \text{ dvd } y)$ 
by (auto simp add: zdvd-int)

```

first-order quantifiers

```

lemma all-nat:  $(\forall x. P\ x) \longleftrightarrow (\forall x \geq 0. P\ (\text{nat } x))$ 
by (simp split add: split-nat)

```

```

lemma ex-nat:  $(\exists x. P\ x) \longleftrightarrow (\exists x. 0 \leq x \wedge P\ (\text{nat } x))$ 

```

proof

```

  assume  $\exists x. P\ x$ 
  then obtain  $x$  where  $P\ x$  ..
  then have  $\text{int } x \geq 0 \wedge P\ (\text{nat } (\text{int } x))$  by simp
  then show  $\exists x \geq 0. P\ (\text{nat } x)$  ..

```

next

```

  assume  $\exists x \geq 0. P\ (\text{nat } x)$ 
  then show  $\exists x. P\ x$  by auto

```

qed

```

lemma transfer-nat-int-quantifiers [transfer key: transfer-morphism-nat-int]:

```

```

   $(\text{ALL } (x::\text{nat}). P\ x) = (\text{ALL } (x::\text{int}). x \geq 0 \longrightarrow P\ (\text{nat } x))$ 
   $(\text{EX } (x::\text{nat}). P\ x) = (\text{EX } (x::\text{int}). x \geq 0 \ \&\ P\ (\text{nat } x))$ 

```

```

by (rule all-nat, rule ex-nat)

```

```

lemma all-cong:  $(\bigwedge x. Q\ x \implies P\ x = P'\ x) \implies$ 
   $(\text{ALL } x. Q\ x \longrightarrow P\ x) = (\text{ALL } x. Q\ x \longrightarrow P'\ x)$ 
by auto

```

```

lemma ex-cong:  $(\bigwedge x. Q\ x \implies P\ x = P'\ x) \implies$ 
   $(\text{EX } x. Q\ x \wedge P\ x) = (\text{EX } x. Q\ x \wedge P'\ x)$ 
by auto

```

```

declare transfer-morphism-nat-int [transfer add
  cong: all-cong ex-cong]

```

if

```

lemma nat-if-cong [transfer key: transfer-morphism-nat-int]:
   $(\text{if } P \text{ then } (\text{nat } x) \text{ else } (\text{nat } y)) = \text{nat } (\text{if } P \text{ then } x \text{ else } y)$ 
by auto

```

operations with sets

definition


```

nat-set :: int set ⇒ bool
where
  nat-set S = (ALL x:S. x >= 0)

lemma transfer-nat-int-set-functions:
  card A = card (int ‘ A)
  {} = nat ‘ ({}::int set)
  A Un B = nat ‘ (int ‘ A Un int ‘ B)
  A Int B = nat ‘ (int ‘ A Int int ‘ B)
  {x. P x} = nat ‘ {x. x >= 0 & P(nat x)}
apply (rule card-image [symmetric])
apply (auto simp add: inj-on-def image-def)
apply (rule-tac x = int x in bexI)
apply auto
apply (rule-tac x = int x in bexI)
apply auto
apply (rule-tac x = int x in bexI)
apply auto
apply (rule-tac x = int x in exI)
apply auto
done

lemma transfer-nat-int-set-function-closures:
  nat-set {}
  nat-set A ⇒ nat-set B ⇒ nat-set (A Un B)
  nat-set A ⇒ nat-set B ⇒ nat-set (A Int B)
  nat-set {x. x >= 0 & P x}
  nat-set (int ‘ C)
  nat-set A ⇒ x : A ⇒ x >= 0
  unfolding nat-set-def apply auto
done

lemma transfer-nat-int-set-relations:
  (finite A) = (finite (int ‘ A))
  (x : A) = (int x : int ‘ A)
  (A = B) = (int ‘ A = int ‘ B)
  (A < B) = (int ‘ A < int ‘ B)
  (A <= B) = (int ‘ A <= int ‘ B)
apply (rule iffI)
apply (erule finite-imageI)
apply (erule finite-imageD)
apply (auto simp add: image-def expand-set-eq inj-on-def)
apply (drule-tac x = int x in spec, auto)
apply (drule-tac x = int x in spec, auto)
apply (drule-tac x = int x in spec, auto)
done

lemma transfer-nat-int-set-return-embed: nat-set A ⇒
  (int ‘ nat ‘ A = A)

```

```

by (auto simp add: nat-set-def image-def)

lemma transfer-nat-int-set-cong: (!x. x >= 0 ==> P x = P' x) ==>
  {(x::int). x >= 0 & P x} = {x. x >= 0 & P' x}
by auto

declare transfer-morphism-nat-int [transfer add
  return: transfer-nat-int-set-functions
  transfer-nat-int-set-function-closures
  transfer-nat-int-set-relations
  transfer-nat-int-set-return-embed
  cong: transfer-nat-int-set-cong
]

setsum and setprod

lemma transfer-nat-int-sum-prod:
  setsum f A = setsum (%x. f (nat x)) (int ' A)
  setprod f A = setprod (%x. f (nat x)) (int ' A)
apply (subst setsum-reindex)
apply (unfold inj-on-def, auto)
apply (subst setprod-reindex)
apply (unfold inj-on-def o-def, auto)
done

lemma transfer-nat-int-sum-prod2:
  setsum f A = nat(setsum (%x. int (f x)) A)
  setprod f A = nat(setprod (%x. int (f x)) A)
apply (subst int-setsum [symmetric])
apply auto
apply (subst int-setprod [symmetric])
apply auto
done

lemma transfer-nat-int-sum-prod-closure:
  nat-set A ==> (!x. x >= 0 ==> f x >= (0::int)) ==> setsum f A >= 0
  nat-set A ==> (!x. x >= 0 ==> f x >= (0::int)) ==> setprod f A >= 0
unfolding nat-set-def
apply (rule setsum-nonneg)
apply auto
apply (rule setprod-nonneg)
apply auto
done

lemma transfer-nat-int-sum-prod-cong:

```

```

A = B  $\implies$  nat-set B  $\implies$  (!x. x >= 0  $\implies$  f x = g x)  $\implies$ 
  setsum f A = setsum g B
A = B  $\implies$  nat-set B  $\implies$  (!x. x >= 0  $\implies$  f x = g x)  $\implies$ 
  setprod f A = setprod g B
unfolding nat-set-def
apply (subst setsum-cong, assumption)
apply auto [2]
apply (subst setprod-cong, assumption, auto)
done

```

```

declare transfer-morphism-nat-int [transfer add
  return: transfer-nat-int-sum-prod transfer-nat-int-sum-prod2
  transfer-nat-int-sum-prod-closure
  cong: transfer-nat-int-sum-prod-cong]

```

32.3 Set up transfer from int to nat

set up transfer direction

```

lemma transfer-morphism-int-nat: transfer-morphism int ( $\lambda n.$  True)
by (rule transfer-morphismI) simp

```

```

declare transfer-morphism-int-nat [transfer add
  mode: manual
  return: nat-int
  labels: int-nat
]

```

basic functions and relations

definition

is-nat :: int \Rightarrow bool

where

is-nat x = (x >= 0)

lemma transfer-int-nat-numerals:

0 = int 0

1 = int 1

2 = int 2

3 = int 3

by auto

lemma transfer-int-nat-functions:

(int x) + (int y) = int (x + y)

(int x) * (int y) = int (x * y)

tsub (int x) (int y) = int (x - y)

(int x) ^ n = int (x ^ n)

by (auto simp add: int-mult tsub-def int-power)

lemma transfer-int-nat-function-closures:

is-nat x \implies *is-nat* y \implies *is-nat* (x + y)

```

is-nat x  $\implies$  is-nat y  $\implies$  is-nat (x * y)
is-nat x  $\implies$  is-nat y  $\implies$  is-nat (tsub x y)
is-nat x  $\implies$  is-nat (x^n)
is-nat 0
is-nat 1
is-nat 2
is-nat 3
is-nat (int z)
by (simp-all only: is-nat-def transfer-nat-int-function-closures)

```

lemma transfer-int-nat-relations:

```

(int x = int y) = (x = y)
(int x < int y) = (x < y)
(int x <= int y) = (x <= y)
(int x dvd int y) = (x dvd y)
by (auto simp add: zdvd-int)

```

declare transfer-morphism-int-nat [transfer add return:

```

transfer-int-nat-numerals
transfer-int-nat-functions
transfer-int-nat-function-closures
transfer-int-nat-relations

```

]

first-order quantifiers

lemma transfer-int-nat-quantifiers:

```

(ALL (x::int) >= 0. P x) = (ALL (x::nat). P (int x))
(EX (x::int) >= 0. P x) = (EX (x::nat). P (int x))
apply (subst all-nat)
apply auto [1]
apply (subst ex-nat)
apply auto
done

```

declare transfer-morphism-int-nat [transfer add
return: transfer-int-nat-quantifiers]

if

lemma int-if-cong: (if P then (int x) else (int y)) =
int (if P then x else y)
by auto

declare transfer-morphism-int-nat [transfer add return: int-if-cong]

operations with sets

lemma transfer-int-nat-set-functions:

```

nat-set A  $\implies$  card A = card (nat ‘ A)
{} = int ‘ ({}::nat set)
nat-set A  $\implies$  nat-set B  $\implies$  A Un B = int ‘ (nat ‘ A Un nat ‘ B)

```

$$\text{nat-set } A \implies \text{nat-set } B \implies A \text{ Int } B = \text{int } ' (\text{nat } ' A \text{ Int } \text{nat } ' B)$$

$$\{x. x \geq 0 \ \& \ P \ x\} = \text{int } ' \{x. P(\text{int } x)\}$$

by (*simp-all only: is-nat-def transfer-nat-int-set-functions*
transfer-nat-int-set-function-closures
transfer-nat-int-set-return-embed nat-0-le
cong: transfer-nat-int-set-cong)

lemma *transfer-int-nat-set-function-closures:*

$$\text{nat-set } \{\}$$

$$\text{nat-set } A \implies \text{nat-set } B \implies \text{nat-set } (A \text{ Un } B)$$

$$\text{nat-set } A \implies \text{nat-set } B \implies \text{nat-set } (A \text{ Int } B)$$

$$\text{nat-set } \{x. x \geq 0 \ \& \ P \ x\}$$

$$\text{nat-set } (\text{int } ' C)$$

$$\text{nat-set } A \implies x : A \implies \text{is-nat } x$$

by (*simp-all only: transfer-nat-int-set-function-closures is-nat-def*)

lemma *transfer-int-nat-set-relations:*

$$\text{nat-set } A \implies \text{finite } A = \text{finite } (\text{nat } ' A)$$

$$\text{is-nat } x \implies \text{nat-set } A \implies (x : A) = (\text{nat } x : \text{nat } ' A)$$

$$\text{nat-set } A \implies \text{nat-set } B \implies (A = B) = (\text{nat } ' A = \text{nat } ' B)$$

$$\text{nat-set } A \implies \text{nat-set } B \implies (A < B) = (\text{nat } ' A < \text{nat } ' B)$$

$$\text{nat-set } A \implies \text{nat-set } B \implies (A \leq B) = (\text{nat } ' A \leq \text{nat } ' B)$$

by (*simp-all only: is-nat-def transfer-nat-int-set-relations*
transfer-nat-int-set-return-embed nat-0-le)

lemma *transfer-int-nat-set-return-embed: nat ' int ' A = A*

by (*simp only: transfer-nat-int-set-relations*
transfer-nat-int-set-function-closures
transfer-nat-int-set-return-embed nat-0-le)

lemma *transfer-int-nat-set-cong: (!x. P x = P' x) \implies*

$$\{(x::\text{nat}). P \ x\} = \{(x. P' \ x)\}$$

by *auto*

declare *transfer-morphism-int-nat* [*transfer add*

return: transfer-int-nat-set-functions
transfer-int-nat-set-function-closures
transfer-int-nat-set-relations
transfer-int-nat-set-return-embed
cong: transfer-int-nat-set-cong

]

setsum and setprod

lemma *transfer-int-nat-sum-prod:*

$$\text{nat-set } A \implies \text{setsum } f \ A = \text{setsum } (\%x. f \ (\text{int } x)) \ (\text{nat } ' A)$$

$$\text{nat-set } A \implies \text{setprod } f \ A = \text{setprod } (\%x. f \ (\text{int } x)) \ (\text{nat } ' A)$$

apply (*subst setsum-reindex*)

apply (*unfold inj-on-def nat-set-def, auto simp add: eq-nat-nat-iff*)

```

apply (subst setprod-reindex)
apply (unfold inj-on-def nat-set-def o-def, auto simp add: eq-nat-nat-iff
        cong: setprod-cong)
done

lemma transfer-int-nat-sum-prod2:
  (!!x. x:A  $\implies$  is-nat (f x))  $\implies$  setsum f A = int(setsum (%x. nat (f x)) A)
  (!!x. x:A  $\implies$  is-nat (f x))  $\implies$ 
    setprod f A = int(setprod (%x. nat (f x)) A)
  unfolding is-nat-def
  apply (subst int-setsum, auto)
  apply (subst int-setprod, auto simp add: cong: setprod-cong)
done

declare transfer-morphism-int-nat [transfer add
  return: transfer-int-nat-sum-prod transfer-int-nat-sum-prod2
  cong: setsum-cong setprod-cong]

end

```

33 Divides: The division operators div and mod

```

theory Divides
imports Nat-Numeral Nat-Transfer
uses ~~/src/Provers/Arith/cancel-div-mod.ML
begin

```

33.1 Syntactic division operations

```

class div = dvd +
  fixes div :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl div 70)
  and mod :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixl mod 70)

```

33.2 Abstract division in commutative semirings.

```

class semiring-div = comm-semiring-1-cancel + no-zero-divisors + div +
  assumes mod-div-equality: a div b * b + a mod b = a
  and div-by-0 [simp]: a div 0 = 0
  and div-0 [simp]: 0 div a = 0
  and div-mult-self1 [simp]: b  $\neq$  0  $\implies$  (a + c * b) div b = c + a div b
  and div-mult-mult1 [simp]: c  $\neq$  0  $\implies$  (c * a) div (c * b) = a div b
begin

```

op div and op mod

```

lemma mod-div-equality2: b * (a div b) + a mod b = a
  unfolding mult-commute [of b]
  by (rule mod-div-equality)

```

```

lemma mod-div-equality':  $a \bmod b + a \operatorname{div} b * b = a$ 
  using mod-div-equality [of a b]
  by (simp only: add-ac)

lemma div-mod-equality:  $((a \operatorname{div} b) * b + a \bmod b) + c = a + c$ 
  by (simp add: mod-div-equality)

lemma div-mod-equality2:  $(b * (a \operatorname{div} b) + a \bmod b) + c = a + c$ 
  by (simp add: mod-div-equality2)

lemma mod-by-0 [simp]:  $a \bmod 0 = a$ 
  using mod-div-equality [of a zero] by simp

lemma mod-0 [simp]:  $0 \bmod a = 0$ 
  using mod-div-equality [of zero a] div-0 by simp

lemma div-mult-self2 [simp]:
  assumes  $b \neq 0$ 
  shows  $(a + b * c) \operatorname{div} b = c + a \operatorname{div} b$ 
  using assms div-mult-self1 [of b a c] by (simp add: mult-commute)

lemma mod-mult-self1 [simp]:  $(a + c * b) \bmod b = a \bmod b$ 
proof (cases b = 0)
  case True then show ?thesis by simp
next
  case False
  have  $a + c * b = (a + c * b) \operatorname{div} b * b + (a + c * b) \bmod b$ 
    by (simp add: mod-div-equality)
  also from False div-mult-self1 [of b a c] have
     $\dots = (c + a \operatorname{div} b) * b + (a + c * b) \bmod b$ 
    by (simp add: algebra-simps)
  finally have  $a = a \operatorname{div} b * b + (a + c * b) \bmod b$ 
    by (simp add: add-commute [of a] add-assoc left-distrib)
  then have  $a \operatorname{div} b * b + (a + c * b) \bmod b = a \operatorname{div} b * b + a \bmod b$ 
    by (simp add: mod-div-equality)
  then show ?thesis by simp
qed

lemma mod-mult-self2 [simp]:  $(a + b * c) \bmod b = a \bmod b$ 
  by (simp add: mult-commute [of b])

lemma div-mult-self1-is-id [simp]:  $b \neq 0 \implies b * a \operatorname{div} b = a$ 
  using div-mult-self2 [of b 0 a] by simp

lemma div-mult-self2-is-id [simp]:  $b \neq 0 \implies a * b \operatorname{div} b = a$ 
  using div-mult-self1 [of b 0 a] by simp

lemma mod-mult-self1-is-0 [simp]:  $b * a \bmod b = 0$ 

```

```

using mod-mult-self2 [of 0 b a] by simp

lemma mod-mult-self2-is-0 [simp]:  $a * b \bmod b = 0$ 
  using mod-mult-self1 [of 0 a b] by simp

lemma div-by-1 [simp]:  $a \div 1 = a$ 
  using div-mult-self2-is-id [of 1 a] zero-neq-one by simp

lemma mod-by-1 [simp]:  $a \bmod 1 = 0$ 
proof –
  from mod-div-equality [of a one] div-by-1 have  $a + a \bmod 1 = a$  by simp
  then have  $a + a \bmod 1 = a + 0$  by simp
  then show ?thesis by (rule add-left-imp-eq)
qed

lemma mod-self [simp]:  $a \bmod a = 0$ 
  using mod-mult-self2-is-0 [of 1] by simp

lemma div-self [simp]:  $a \neq 0 \implies a \div a = 1$ 
  using div-mult-self2-is-id [of - 1] by simp

lemma div-add-self1 [simp]:
  assumes  $b \neq 0$ 
  shows  $(b + a) \div b = a \div b + 1$ 
  using assms div-mult-self1 [of b a 1] by (simp add: add-commute)

lemma div-add-self2 [simp]:
  assumes  $b \neq 0$ 
  shows  $(a + b) \div b = a \div b + 1$ 
  using assms div-add-self1 [of b a] by (simp add: add-commute)

lemma mod-add-self1 [simp]:
   $(b + a) \bmod b = a \bmod b$ 
  using mod-mult-self1 [of a 1 b] by (simp add: add-commute)

lemma mod-add-self2 [simp]:
   $(a + b) \bmod b = a \bmod b$ 
  using mod-mult-self1 [of a 1 b] by simp

lemma mod-div-decomp:
  fixes  $a\ b$ 
  obtains  $q\ r$  where  $q = a \div b$  and  $r = a \bmod b$ 
  and  $a = q * b + r$ 
proof –
  from mod-div-equality have  $a = a \div b * b + a \bmod b$  by simp
  moreover have  $a \div b = a \div b$  ..
  moreover have  $a \bmod b = a \bmod b$  ..
  note that ultimately show thesis by blast
qed

```


lemma *dvd-eq-mod-eq-0* [*code*, *code-unfold*, *code-inline del*]: $a \text{ dvd } b \iff b \bmod a = 0$

proof

assume $b \bmod a = 0$

with *mod-div-equality* [*of b a*] **have** $b \text{ div } a * a = b$ **by** *simp*

then have $b = a * (b \text{ div } a)$ **unfolding** *mult-commute* ..

then have $\exists c. b = a * c$..

then show $a \text{ dvd } b$ **unfolding** *dvd-def* .

next

assume $a \text{ dvd } b$

then have $\exists c. b = a * c$ **unfolding** *dvd-def* .

then obtain c **where** $b = a * c$..

then have $b \bmod a = a * c \bmod a$ **by** *simp*

then have $b \bmod a = c * a \bmod a$ **by** (*simp add: mult-commute*)

then show $b \bmod a = 0$ **by** *simp*

qed

lemma *mod-div-trivial* [*simp*]: $a \bmod b \text{ div } b = 0$

proof (*cases b = 0*)

assume $b = 0$

thus *?thesis* **by** *simp*

next

assume $b \neq 0$

hence $a \text{ div } b + a \bmod b \text{ div } b = (a \bmod b + a \text{ div } b * b) \text{ div } b$
 by (*rule div-mult-self1* [*symmetric*])

also have $\dots = a \text{ div } b$

by (*simp only: mod-div-equality'*)

also have $\dots = a \text{ div } b + 0$

by *simp*

finally show *?thesis*

by (*rule add-left-imp-eq*)

qed

lemma *mod-mod-trivial* [*simp*]: $a \bmod b \bmod b = a \bmod b$

proof –

have $a \bmod b \bmod b = (a \bmod b + a \text{ div } b * b) \bmod b$

by (*simp only: mod-mult-self1*)

also have $\dots = a \bmod b$

by (*simp only: mod-div-equality'*)

finally show *?thesis* .

qed

lemma *dvd-imp-mod-0*: $a \text{ dvd } b \implies b \bmod a = 0$

by (*rule dvd-eq-mod-eq-0* [*THEN iffD1*])

lemma *dvd-div-mult-self*: $a \text{ dvd } b \implies (b \text{ div } a) * a = b$

by (*subst* (2) *mod-div-equality* [*of b a*, *symmetric*]) (*simp add: dvd-imp-mod-0*)

lemma *dvd-mult-div-cancel*: $a \text{ dvd } b \implies a * (b \text{ div } a) = b$
by (*drule* *dvd-div-mult-self*) (*simp* *add*: *mult-commute*)

lemma *dvd-div-mult*: $a \text{ dvd } b \implies (b \text{ div } a) * c = b * c \text{ div } a$
apply (*cases* $a = 0$)
apply *simp*
apply (*auto* *simp*: *dvd-def* *mult-assoc*)
done

lemma *div-dvd-div*[*simp*]:
 $a \text{ dvd } b \implies a \text{ dvd } c \implies (b \text{ div } a \text{ dvd } c \text{ div } a) = (b \text{ dvd } c)$
apply (*cases* $a = 0$)
apply *simp*
apply (*unfold* *dvd-def*)
apply *auto*
apply (*blast* *intro*:*mult-assoc*[*symmetric*])
apply (*fastsimp* *simp* *add*: *mult-assoc*)
done

lemma *dvd-mod-imp-dvd*: $[k \text{ dvd } m \text{ mod } n; k \text{ dvd } n] \implies k \text{ dvd } m$
apply (*subgoal-tac* $k \text{ dvd } (m \text{ div } n) * n + m \text{ mod } n$)
apply (*simp* *add*: *mod-div-equality*)
apply (*simp* *only*: *dvd-add* *dvd-mult*)
done

Addition respects modular equivalence.

lemma *mod-add-left-eq*: $(a + b) \text{ mod } c = (a \text{ mod } c + b) \text{ mod } c$
proof –
have $(a + b) \text{ mod } c = (a \text{ div } c * c + a \text{ mod } c + b) \text{ mod } c$
by (*simp* *only*: *mod-div-equality*)
also have $\dots = (a \text{ mod } c + b + a \text{ div } c * c) \text{ mod } c$
by (*simp* *only*: *add-ac*)
also have $\dots = (a \text{ mod } c + b) \text{ mod } c$
by (*rule* *mod-mult-self1*)
finally show *?thesis* .
qed

lemma *mod-add-right-eq*: $(a + b) \text{ mod } c = (a + b \text{ mod } c) \text{ mod } c$
proof –
have $(a + b) \text{ mod } c = (a + (b \text{ div } c * c + b \text{ mod } c)) \text{ mod } c$
by (*simp* *only*: *mod-div-equality*)
also have $\dots = (a + b \text{ mod } c + b \text{ div } c * c) \text{ mod } c$
by (*simp* *only*: *add-ac*)
also have $\dots = (a + b \text{ mod } c) \text{ mod } c$
by (*rule* *mod-mult-self1*)
finally show *?thesis* .
qed

lemma *mod-add-eq*: $(a + b) \text{ mod } c = (a \text{ mod } c + b \text{ mod } c) \text{ mod } c$

by (rule trans [OF mod-add-left-eq mod-add-right-eq])

lemma *mod-add-cong*:

assumes $a \bmod c = a' \bmod c$

assumes $b \bmod c = b' \bmod c$

shows $(a + b) \bmod c = (a' + b') \bmod c$

proof –

have $(a \bmod c + b \bmod c) \bmod c = (a' \bmod c + b' \bmod c) \bmod c$

unfolding *assms* ..

thus ?thesis

by (simp only: mod-add-eq [symmetric])

qed

lemma *div-add* [simp]: $z \text{ dvd } x \implies z \text{ dvd } y$

$\implies (x + y) \text{ div } z = x \text{ div } z + y \text{ div } z$

by (cases $z = 0$, simp, unfold dvd-def, auto simp add: algebra-simps)

Multiplication respects modular equivalence.

lemma *mod-mult-left-eq*: $(a * b) \bmod c = ((a \bmod c) * b) \bmod c$

proof –

have $(a * b) \bmod c = ((a \text{ div } c * c + a \bmod c) * b) \bmod c$

by (simp only: mod-div-equality)

also have $\dots = (a \bmod c * b + a \text{ div } c * b * c) \bmod c$

by (simp only: algebra-simps)

also have $\dots = (a \bmod c * b) \bmod c$

by (rule mod-mult-self1)

finally show ?thesis .

qed

lemma *mod-mult-right-eq*: $(a * b) \bmod c = (a * (b \bmod c)) \bmod c$

proof –

have $(a * b) \bmod c = (a * (b \text{ div } c * c + b \bmod c)) \bmod c$

by (simp only: mod-div-equality)

also have $\dots = (a * (b \bmod c) + a * (b \text{ div } c) * c) \bmod c$

by (simp only: algebra-simps)

also have $\dots = (a * (b \bmod c)) \bmod c$

by (rule mod-mult-self1)

finally show ?thesis .

qed

lemma *mod-mult-eq*: $(a * b) \bmod c = ((a \bmod c) * (b \bmod c)) \bmod c$

by (rule trans [OF mod-mult-left-eq mod-mult-right-eq])

lemma *mod-mult-cong*:

assumes $a \bmod c = a' \bmod c$

assumes $b \bmod c = b' \bmod c$

shows $(a * b) \bmod c = (a' * b') \bmod c$

proof –

have $(a \bmod c * (b \bmod c)) \bmod c = (a' \bmod c * (b' \bmod c)) \bmod c$

```

    unfolding assms ..
  thus ?thesis
    by (simp only: mod-mult-eq [symmetric])
qed

```

lemma *mod-mod-cancel*:

```

  assumes  $c \text{ dvd } b$ 
  shows  $a \bmod b \bmod c = a \bmod c$ 
proof -
  from  $\langle c \text{ dvd } b \rangle$  obtain  $k$  where  $b = c * k$ 
  by (rule dvdE)
  have  $a \bmod b \bmod c = a \bmod (c * k) \bmod c$ 
  by (simp only:  $\langle b = c * k \rangle$ )
  also have  $\dots = (a \bmod (c * k) + a \text{ div } (c * k) * k * c) \bmod c$ 
  by (simp only: mod-mult-self1)
  also have  $\dots = (a \text{ div } (c * k) * (c * k) + a \bmod (c * k)) \bmod c$ 
  by (simp only: add-ac mult-ac)
  also have  $\dots = a \bmod c$ 
  by (simp only: mod-div-equality)
  finally show ?thesis .
qed

```

lemma *div-mult-div-if-dvd*:

```

 $y \text{ dvd } x \implies z \text{ dvd } w \implies (x \text{ div } y) * (w \text{ div } z) = (x * w) \text{ div } (y * z)$ 
apply (cases  $y = 0$ , simp)
apply (cases  $z = 0$ , simp)
apply (auto elim!: dvdE simp add: algebra-simps)
apply (subst mult-assoc [symmetric])
apply (simp add: no-zero-divisors)
done

```

lemma *div-mult-swap*:

```

  assumes  $c \text{ dvd } b$ 
  shows  $a * (b \text{ div } c) = (a * b) \text{ div } c$ 
proof -
  from assms have  $b \text{ div } c * (a \text{ div } 1) = b * a \text{ div } (c * 1)$ 
  by (simp only: div-mult-div-if-dvd one-dvd)
  then show ?thesis by (simp add: mult-commute)
qed

```

lemma *div-mult-mult2* [simp]:

```

 $c \neq 0 \implies (a * c) \text{ div } (b * c) = a \text{ div } b$ 
by (drule div-mult-mult1) (simp add: mult-commute)

```

lemma *div-mult-mult1-if* [simp]:

```

 $(c * a) \text{ div } (c * b) = (\text{if } c = 0 \text{ then } 0 \text{ else } a \text{ div } b)$ 
by simp-all

```

lemma *mod-mult-mult1*:

$(c * a) \bmod (c * b) = c * (a \bmod b)$
proof (cases $c = 0$)
 case *True* **then show** ?thesis **by** *simp*
next
 case *False*
 from *mod-div-equality*
 have $((c * a) \operatorname{div} (c * b)) * (c * b) + (c * a) \bmod (c * b) = c * a$.
 with *False* **have** $c * ((a \operatorname{div} b) * b + a \bmod b) + (c * a) \bmod (c * b)$
 $= c * a + c * (a \bmod b)$ **by** (*simp add: algebra-simps*)
 with *mod-div-equality* **show** ?thesis **by** *simp*
qed

lemma *mod-mult-mult2*:
 $(a * c) \bmod (b * c) = (a \bmod b) * c$
using *mod-mult-mult1* [of c a b] **by** (*simp add: mult-commute*)

lemma *dvd-mod*: $k \operatorname{dvd} m \implies k \operatorname{dvd} n \implies k \operatorname{dvd} (m \bmod n)$
unfolding *dvd-def* **by** (*auto simp add: mod-mult-mult1*)

lemma *dvd-mod-iff*: $k \operatorname{dvd} n \implies k \operatorname{dvd} (m \bmod n) \longleftrightarrow k \operatorname{dvd} m$
by (*blast intro: dvd-mod-imp-dvd dvd-mod*)

lemma *div-power*:
 $y \operatorname{dvd} x \implies (x \operatorname{div} y) ^ n = x ^ n \operatorname{div} y ^ n$
apply (*induct n*)
apply *simp*
apply (*simp add: div-mult-div-if-dvd dvd-power-same*)
done

lemma *dvd-div-eq-mult*:
assumes $a \neq 0$ **and** $a \operatorname{dvd} b$
shows $b \operatorname{div} a = c \longleftrightarrow b = c * a$
proof
assume $b = c * a$
then show $b \operatorname{div} a = c$ **by** (*simp add: assms*)
next
assume $b \operatorname{div} a = c$
then have $b \operatorname{div} a * a = c * a$ **by** *simp*
moreover from $\langle a \operatorname{dvd} b \rangle$ **have** $b \operatorname{div} a * a = b$ **by** (*simp add: dvd-div-mult-self*)
ultimately show $b = c * a$ **by** *simp*
qed

lemma *dvd-div-div-eq-mult*:
assumes $a \neq 0$ $c \neq 0$ **and** $a \operatorname{dvd} b$ $c \operatorname{dvd} d$
shows $b \operatorname{div} a = d \operatorname{div} c \longleftrightarrow b * c = a * d$
using *assms* **by** (*auto simp add: mult-commute [of - a] dvd-div-mult-self dvd-div-eq-mult div-mult-swap intro: sym*)

end

```
class ring-div = semiring-div + comm-ring-1
begin
```

```
subclass ring-1-no-zero-divisors ..
```

Negation respects modular equivalence.

```
lemma mod-minus-eq:  $(- a) \bmod b = (- (a \bmod b)) \bmod b$ 
proof -
  have  $(- a) \bmod b = (- (a \operatorname{div} b * b + a \bmod b)) \bmod b$ 
    by (simp only: mod-div-equality)
  also have  $\dots = (- (a \bmod b) + - (a \operatorname{div} b) * b) \bmod b$ 
    by (simp only: minus-add-distrib minus-mult-left add-ac)
  also have  $\dots = (- (a \bmod b)) \bmod b$ 
    by (rule mod-mult-self1)
  finally show ?thesis .
qed
```

```
lemma mod-minus-cong:
  assumes  $a \bmod b = a' \bmod b$ 
  shows  $(- a) \bmod b = (- a') \bmod b$ 
proof -
  have  $(- (a \bmod b)) \bmod b = (- (a' \bmod b)) \bmod b$ 
    unfolding assms ..
  thus ?thesis
    by (simp only: mod-minus-eq [symmetric])
qed
```

Subtraction respects modular equivalence.

```
lemma mod-diff-left-eq:  $(a - b) \bmod c = (a \bmod c - b) \bmod c$ 
  unfolding diff-minus
  by (intro mod-add-cong mod-minus-cong) simp-all
```

```
lemma mod-diff-right-eq:  $(a - b) \bmod c = (a - b \bmod c) \bmod c$ 
  unfolding diff-minus
  by (intro mod-add-cong mod-minus-cong) simp-all
```

```
lemma mod-diff-eq:  $(a - b) \bmod c = (a \bmod c - b \bmod c) \bmod c$ 
  unfolding diff-minus
  by (intro mod-add-cong mod-minus-cong) simp-all
```

```
lemma mod-diff-cong:
  assumes  $a \bmod c = a' \bmod c$ 
  assumes  $b \bmod c = b' \bmod c$ 
  shows  $(a - b) \bmod c = (a' - b') \bmod c$ 
  unfolding diff-minus using assms
  by (intro mod-add-cong mod-minus-cong)
```

```
lemma dvd-neg-div:  $y \operatorname{dvd} x \implies -x \operatorname{div} y = - (x \operatorname{div} y)$ 
```

```

apply (case-tac  $y = 0$ ) apply simp
apply (auto simp add: dvd-def)
apply (subgoal-tac  $-(y * k) = y * -k$ )
  apply (erule ssubst)
  apply (erule div-mult-self1-is-id)
apply simp
done

```

```

lemma dvd-div-neg:  $y \text{ dvd } x \implies x \text{ div } -y = - (x \text{ div } y)$ 
apply (case-tac  $y = 0$ ) apply simp
apply (auto simp add: dvd-def)
apply (subgoal-tac  $y * k = -y * -k$ )
  apply (erule ssubst)
  apply (rule div-mult-self1-is-id)
apply simp
apply simp
done

```

end

33.3 Division on *nat*

We define *op div* and *op mod* on *nat* by means of a characteristic relation with two input arguments *m*, *n* and two output arguments *q*(uotient) and *r*(emainder).

definition *divmod-nat-rel* :: *nat* \Rightarrow *nat* \Rightarrow *nat* \times *nat* \Rightarrow *bool* **where**
 $\text{divmod-nat-rel } m \ n \ qr \longleftrightarrow$
 $m = \text{fst } qr * n + \text{snd } qr \wedge$
 $(\text{if } n = 0 \text{ then } \text{fst } qr = 0 \text{ else if } n > 0 \text{ then } 0 \leq \text{snd } qr \wedge \text{snd } qr < n \text{ else } n < \text{snd } qr \wedge \text{snd } qr \leq 0)$

divmod-nat-rel is total:

```

lemma divmod-nat-rel-ex:
  obtains  $q \ r$  where divmod-nat-rel  $m \ n \ (q, r)$ 
proof (cases  $n = 0$ )
  case True with that show thesis
    by (auto simp add: divmod-nat-rel-def)
next
  case False
  have  $\exists q \ r. m = q * n + r \wedge r < n$ 
  proof (induct  $m$ )
    case 0 with  $\langle n \neq 0 \rangle$ 
    have  $(0::nat) = 0 * n + 0 \wedge 0 < n$  by simp
    then show ?case by blast
  next
  case (Suc  $m$ ) then obtain  $q' \ r'$ 
    where  $m = q' * n + r' \text{ and } n: r' < n$  by auto
  then show ?case proof (cases Suc  $r' < n$ )

```

```

    case True
    from m n have Suc m = q' * n + Suc r' by simp
    with True show ?thesis by blast
  next
    case False then have n ≤ Suc r' by auto
    moreover from n have Suc r' ≤ n by auto
    ultimately have n = Suc r' by auto
    with m have Suc m = Suc q' * n + 0 by simp
    with ⟨n ≠ 0⟩ show ?thesis by blast
  qed
qed
with that show thesis
  using ⟨n ≠ 0⟩ by (auto simp add: divmod-nat-rel-def)
qed

divmod-nat-rel is injective:
lemma divmod-nat-rel-unique:
  assumes divmod-nat-rel m n qr
    and divmod-nat-rel m n qr'
  shows qr = qr'
proof (cases n = 0)
  case True with assms show ?thesis
    by (cases qr, cases qr')
      (simp add: divmod-nat-rel-def)
next
  case False
  have aux:  $\bigwedge q r q' r'. q' * n + r' = q * n + r \implies r < n \implies q' \leq (q::nat)$ 
  apply (rule leI)
  apply (subst less-iff-Suc-add)
  apply (auto simp add: add-mult-distrib)
  done
  from ⟨n ≠ 0⟩ assms have fst qr = fst qr'
    by (auto simp add: divmod-nat-rel-def intro: order-antisym dest: aux sym)
  moreover from this assms have snd qr = snd qr'
    by (simp add: divmod-nat-rel-def)
  ultimately show ?thesis by (cases qr, cases qr') simp
qed

```

We instantiate divisibility on the natural numbers by means of *divmod-nat-rel*:

```

instantiation nat :: semiring-div
begin

```

```

definition divmod-nat :: nat ⇒ nat ⇒ nat × nat where
  [code del]: divmod-nat m n = (THE qr. divmod-nat-rel m n qr)

```

```

lemma divmod-nat-rel-divmod-nat:
  divmod-nat-rel m n (divmod-nat m n)
proof -
  from divmod-nat-rel-ex

```


obtain *qr* **where** *rel: divmod-nat-rel m n qr* .
then show *?thesis*
by (*auto simp add: divmod-nat-def intro: theI elim: divmod-nat-rel-unique*)
qed

lemma *divmod-nat-eq*:
assumes *divmod-nat-rel m n qr*
shows *divmod-nat m n = qr*
using *assms* **by** (*auto intro: divmod-nat-rel-unique divmod-nat-rel-divmod-nat*)

definition *div-nat* **where**
 $m \text{ div } n = \text{fst } (\text{divmod-nat } m \ n)$

definition *mod-nat* **where**
 $m \text{ mod } n = \text{snd } (\text{divmod-nat } m \ n)$

lemma *divmod-nat-div-mod*:
 $\text{divmod-nat } m \ n = (m \text{ div } n, m \text{ mod } n)$
unfolding *div-nat-def mod-nat-def* **by** *simp*

lemma *div-eq*:
assumes *divmod-nat-rel m n (q, r)*
shows $m \text{ div } n = q$
using *assms* **by** (*auto dest: divmod-nat-eq simp add: divmod-nat-div-mod*)

lemma *mod-eq*:
assumes *divmod-nat-rel m n (q, r)*
shows $m \text{ mod } n = r$
using *assms* **by** (*auto dest: divmod-nat-eq simp add: divmod-nat-div-mod*)

lemma *divmod-nat-rel*: *divmod-nat-rel m n (m div n, m mod n)*
by (*simp add: div-nat-def mod-nat-def divmod-nat-rel-divmod-nat*)

lemma *divmod-nat-zero*:
 $\text{divmod-nat } m \ 0 = (0, m)$
proof –
from *divmod-nat-rel [of m 0]* **show** *?thesis*
unfolding *divmod-nat-div-mod divmod-nat-rel-def* **by** *simp*
qed

lemma *divmod-nat-base*:
assumes $m < n$
shows $\text{divmod-nat } m \ n = (0, m)$
proof –
from *divmod-nat-rel [of m n]* **show** *?thesis*
unfolding *divmod-nat-div-mod divmod-nat-rel-def*
using *assms* **by** (*cases m div n = 0*)
(auto simp add: gr0-conv-Suc [of m div n])
qed

lemma *divmod-nat-step*:
assumes $0 < n$ **and** $n \leq m$
shows $\text{divmod-nat } m \ n = (\text{Suc } ((m - n) \text{ div } n), (m - n) \text{ mod } n)$
proof –
from *divmod-nat-rel* **have** *divmod-nat-m-n*: $\text{divmod-nat-rel } m \ n \ (m \text{ div } n, m \text{ mod } n)$.
with *assms* **have** *m-div-n*: $m \text{ div } n \geq 1$
by (*cases* $m \text{ div } n$) (*auto simp add: divmod-nat-rel-def*)
have $\text{divmod-nat-rel } (m - n) \ n \ (m \text{ div } n - \text{Suc } 0, m \text{ mod } n)$
proof –
from *assms* **have**
 $n \neq 0$
 $\bigwedge k. m = \text{Suc } k * n + m \text{ mod } n \implies m - n = (\text{Suc } k - \text{Suc } 0) * n + m \text{ mod } n$
by *simp-all*
then show *?thesis* **using** *assms divmod-nat-m-n*
by (*cases* $m \text{ div } n$)
(simp-all only: divmod-nat-rel-def fst-conv snd-conv, simp-all)
qed
with *divmod-nat-eq* **have** $\text{divmod-nat } (m - n) \ n = (m \text{ div } n - \text{Suc } 0, m \text{ mod } n)$ **by** *simp*
moreover from *divmod-nat-div-mod* **have** $\text{divmod-nat } (m - n) \ n = ((m - n) \text{ div } n, (m - n) \text{ mod } n)$.
ultimately have $m \text{ div } n = \text{Suc } ((m - n) \text{ div } n)$
and $m \text{ mod } n = (m - n) \text{ mod } n$ **using** *m-div-n* **by** *simp-all*
then show *?thesis* **using** *divmod-nat-div-mod* **by** *simp*
qed

The “recursion” equations for *op div* and *op mod*

lemma *div-less [simp]*:
fixes $m \ n :: \text{nat}$
assumes $m < n$
shows $m \text{ div } n = 0$
using *assms divmod-nat-base divmod-nat-div-mod* **by** *simp*

lemma *le-div-geq*:
fixes $m \ n :: \text{nat}$
assumes $0 < n$ **and** $n \leq m$
shows $m \text{ div } n = \text{Suc } ((m - n) \text{ div } n)$
using *assms divmod-nat-step divmod-nat-div-mod* **by** *simp*

lemma *mod-less [simp]*:
fixes $m \ n :: \text{nat}$
assumes $m < n$
shows $m \text{ mod } n = m$
using *assms divmod-nat-base divmod-nat-div-mod* **by** *simp*

lemma *le-mod-geq*:

```

fixes  $m\ n :: \text{nat}$ 
assumes  $n \leq m$ 
shows  $m \bmod n = (m - n) \bmod n$ 
using assms divmod-nat-step divmod-nat-div-mod by (cases  $n = 0$ ) simp-all

instance proof –
  have [simp]:  $\bigwedge n :: \text{nat}. n \text{ div } 0 = 0$ 
    by (simp add: div-nat-def divmod-nat-zero)
  have [simp]:  $\bigwedge n :: \text{nat}. 0 \text{ div } n = 0$ 
  proof –
    fix  $n :: \text{nat}$ 
    show  $0 \text{ div } n = 0$ 
    by (cases  $n = 0$ ) simp-all
  qed
show OFCLASS(nat, semiring-div-class) proof
  fix  $m\ n :: \text{nat}$ 
  show  $m \text{ div } n * n + m \bmod n = m$ 
    using divmod-nat-rel [of m n] by (simp add: divmod-nat-rel-def)
next
  fix  $m\ n\ q :: \text{nat}$ 
  assume  $n \neq 0$ 
  then show  $(q + m * n) \text{ div } n = m + q \text{ div } n$ 
    by (induct m) (simp-all add: le-div-geq)
next
  fix  $m\ n\ q :: \text{nat}$ 
  assume  $m \neq 0$ 
  then show  $(m * n) \text{ div } (m * q) = n \text{ div } q$ 
  proof (cases  $n \neq 0 \wedge q \neq 0$ )
    case False then show ?thesis by auto
  next
    case True with  $\langle m \neq 0 \rangle$ 
      have  $m > 0$  and  $n > 0$  and  $q > 0$  by auto
      then have  $\bigwedge a\ b. \text{divmod-nat-rel } n\ q\ (a, b) \implies \text{divmod-nat-rel } (m * n)\ (m * q)\ (a, m * b)$ 
        by (auto simp add: divmod-nat-rel-def) (simp-all add: algebra-simps)
      moreover from divmod-nat-rel have divmod-nat-rel  $n\ q\ (n \text{ div } q, n \bmod q)$  .
      ultimately have divmod-nat-rel  $(m * n)\ (m * q)\ (n \text{ div } q, m * (n \bmod q))$  .
      then show ?thesis by (simp add: div-eq)
    qed
  qed simp-all
qed

end

lemma divmod-nat-if [code]: divmod-nat  $m\ n = (\text{if } n = 0 \vee m < n \text{ then } (0, m)$ 
  else
    let  $(q, r) = \text{divmod-nat } (m - n)\ n \text{ in } (\text{Suc } q, r))$ 
by (simp add: divmod-nat-zero divmod-nat-base divmod-nat-step)
  (simp add: divmod-nat-div-mod)

```

Simproc for cancelling *op div* and *op mod*

ML \ll
local

```
structure CancelDivMod = CancelDivModFun(struct

  val div-name = @{const-name div};
  val mod-name = @{const-name mod};
  val mk-binop = HOLogic.mk-binop;
  val mk-sum = Nat-Arith.mk-sum;
  val dest-sum = Nat-Arith.dest-sum;

  val div-mod-egs = map mk-meta-eq [ @{thm div-mod-equality}, @{thm div-mod-equality2} ];

  val trans = trans;

  val prove-eq-sums = Arith-Data.prove-conv2 all-tac (Arith-Data.simp-all-tac
    (@{thm add-0-left} :: @{thm add-0-right} :: @{thms add-ac}))

end)

in

val cancel-div-mod-nat-proc = Simplifier.simproc @{theory}
  cancel-div-mod [(m::nat) + n] (K CancelDivMod.proc);

val - = Addsimprocs [cancel-div-mod-nat-proc];

end
 $\gg$ 
```

33.3.1 Quotient

lemma *div-geq*: $0 < n \implies \neg m < n \implies m \text{ div } n = \text{Suc } ((m - n) \text{ div } n)$
by (*simp add: le-div-geq linorder-not-less*)

lemma *div-if*: $0 < n \implies m \text{ div } n = (\text{if } m < n \text{ then } 0 \text{ else } \text{Suc } ((m - n) \text{ div } n))$
by (*simp add: div-geq*)

lemma *div-mult-self-is-m* [*simp*]: $0 < n \implies (m * n) \text{ div } n = (m :: \text{nat})$
by *simp*

lemma *div-mult-self1-is-m* [*simp*]: $0 < n \implies (n * m) \text{ div } n = (m :: \text{nat})$
by *simp*

33.3.2 Remainder

lemma *mod-less-divisor* [*simp*]:
fixes $m \ n :: \text{nat}$

```

assumes  $n > 0$ 
shows  $m \bmod n < (n::nat)$ 
using assms divmod-nat-rel [of  $m\ n$ ] unfolding divmod-nat-rel-def by auto

```

```

lemma mod-less-eq-dividend [simp]:
  fixes  $m\ n :: nat$ 
  shows  $m \bmod n \leq m$ 
proof (rule add-leD2)
  from mod-div-equality have  $m \operatorname{div} n * n + m \bmod n = m$  .
  then show  $m \operatorname{div} n * n + m \bmod n \leq m$  by auto
qed

```

```

lemma mod-geq:  $\neg m < (n::nat) \implies m \bmod n = (m - n) \bmod n$ 
by (simp add: le-mod-geq linorder-not-less)

```

```

lemma mod-if:  $m \bmod (n::nat) = (\text{if } m < n \text{ then } m \text{ else } (m - n) \bmod n)$ 
by (simp add: le-mod-geq)

```

```

lemma mod-1 [simp]:  $m \bmod \operatorname{Suc} 0 = 0$ 
by (induct m) (simp-all add: mod-geq)

```

```

lemma mod-mult-distrib:  $(m \bmod n) * (k::nat) = (m * k) \bmod (n * k)$ 
  apply (cases  $n = 0$ , simp)
  apply (cases  $k = 0$ , simp)
  apply (induct m rule: nat-less-induct)
  apply (subst mod-if, simp)
  apply (simp add: mod-geq diff-mult-distrib)
done

```

```

lemma mod-mult-distrib2:  $(k::nat) * (m \bmod n) = (k*m) \bmod (k*n)$ 
by (simp add: mult-commute [of  $k$ ] mod-mult-distrib)

```

```

lemma mult-div-cancel:  $(n::nat) * (m \operatorname{div} n) = m - (m \bmod n)$ 
by (cut-tac  $a = m$  and  $b = n$  in mod-div-equality2, arith)

```

```

lemma mod-le-divisor[simp]:  $0 < n \implies m \bmod n \leq (n::nat)$ 
  apply (drule mod-less-divisor [where  $m = m$ ])
  apply simp
done

```

33.3.3 Quotient and Remainder

```

lemma divmod-nat-rel-mult1-eq:
   $\operatorname{divmod-nat-rel} b\ c\ (q, r) \implies c > 0$ 
   $\implies \operatorname{divmod-nat-rel} (a * b)\ c\ (a * q + a * r \operatorname{div} c, a * r \bmod c)$ 
by (auto simp add: split-ifs divmod-nat-rel-def algebra-simps)

```

```

lemma div-mult1-eq:

```

```

  (a * b) div c = a * (b div c) + a * (b mod c) div (c::nat)
apply (cases c = 0, simp)
apply (blast intro: divmod-nat-rel [THEN divmod-nat-rel-mult1-eq, THEN div-eq])
done

```

lemma *divmod-nat-rel-add1-eq*:

```

  divmod-nat-rel a c (aq, ar) ==> divmod-nat-rel b c (bq, br) ==> c > 0
  ==> divmod-nat-rel (a + b) c (aq + bq + (ar + br) div c, (ar + br) mod c)
by (auto simp add: split-ifs divmod-nat-rel-def algebra-simps)

```

lemma *div-add1-eq*:

```

  (a+b) div (c::nat) = a div c + b div c + ((a mod c + b mod c) div c)
apply (cases c = 0, simp)
apply (blast intro: divmod-nat-rel-add1-eq [THEN div-eq] divmod-nat-rel)
done

```

lemma *mod-lemma*: $[(0::nat) < c; r < b] \implies b * (q \bmod c) + r < b * c$

```

apply (cut-tac m = q and n = c in mod-less-divisor)
apply (drule-tac [2] m = q mod c in less-imp-Suc-add, auto)
apply (erule-tac P = %x. ?lhs < ?rhs x in ssubst)
apply (simp add: add-mult-distrib2)
done

```

lemma *divmod-nat-rel-mult2-eq*:

```

  divmod-nat-rel a b (q, r) ==> 0 < b ==> 0 < c
  ==> divmod-nat-rel a (b * c) (q div c, b *(q mod c) + r)
by (auto simp add: mult-ac divmod-nat-rel-def add-mult-distrib2 [symmetric] mod-lemma)

```

lemma *div-mult2-eq*: $a \text{ div } (b*c) = (a \text{ div } b) \text{ div } (c::nat)$

```

apply (cases b = 0, simp)
apply (cases c = 0, simp)
apply (force simp add: divmod-nat-rel [THEN divmod-nat-rel-mult2-eq, THEN
div-eq])
done

```

lemma *mod-mult2-eq*: $a \bmod (b*c) = b*(a \text{ div } b \bmod c) + a \bmod (b::nat)$

```

apply (cases b = 0, simp)
apply (cases c = 0, simp)
apply (auto simp add: mult-commute divmod-nat-rel [THEN divmod-nat-rel-mult2-eq,
THEN mod-eq])
done

```

33.3.4 Further Facts about Quotient and Remainder

lemma *div-1* [simp]: $m \text{ div } \text{Suc } 0 = m$

by (induct m) (simp-all add: div-geq)

```

lemma div-le-mono [rule-format (no-asm)]:
   $\forall m::nat. m \leq n \longrightarrow (m \text{ div } k) \leq (n \text{ div } k)$ 
apply (case-tac  $k=0$ , simp)
apply (induct n rule: nat-less-induct, clarify)
apply (case-tac  $n < k$ )

```

```

apply simp

```

```

apply (case-tac  $m < k$ )

```

```

apply simp

```

```

apply (simp add: div-geq diff-le-mono)
done

```

```

lemma div-le-mono2:  $!!m::nat. [| 0 < m; m \leq n |] \Longrightarrow (k \text{ div } n) \leq (k \text{ div } m)$ 
apply (subgoal-tac  $0 < n$ )
  prefer 2 apply simp
apply (induct-tac k rule: nat-less-induct)
apply (rename-tac k)
apply (case-tac  $k < n$ , simp)
apply (subgoal-tac  $\sim (k < m)$ )
  prefer 2 apply simp
apply (simp add: div-geq)
apply (subgoal-tac  $(k-n) \text{ div } n \leq (k-m) \text{ div } n$ )
  prefer 2
    apply (blast intro: div-le-mono diff-le-mono2)
apply (rule le-trans, simp)
apply (simp)
done

```

```

lemma div-le-dividend [simp]:  $m \text{ div } n \leq (m::nat)$ 
apply (case-tac  $n=0$ , simp)
apply (subgoal-tac  $m \text{ div } n \leq m \text{ div } 1$ , simp)
apply (rule div-le-mono2)
apply (simp-all (no-asm-simp))
done

```

```

lemma div-less-dividend [rule-format]:
   $!!n::nat. 1 < n \Longrightarrow 0 < m \longrightarrow m \text{ div } n < m$ 
apply (induct-tac m rule: nat-less-induct)
apply (rename-tac m)
apply (case-tac  $m < n$ , simp)
apply (subgoal-tac  $0 < n$ )
  prefer 2 apply simp
apply (simp add: div-geq)

```

```

apply (case-tac  $n < m$ )
  apply (subgoal-tac  $(m - n) \text{ div } n < (m - n)$ )
    apply (rule impI less-trans-Suc)+
apply assumption
  apply (simp-all)
done

```

```

declare div-less-dividend [simp]

```

A fact for the mutilated chess board

```

lemma mod-Suc:  $\text{Suc}(m) \bmod n = (\text{if } \text{Suc}(m \bmod n) = n \text{ then } 0 \text{ else } \text{Suc}(m \bmod n))$ 
apply (case-tac  $n = 0$ , simp)
apply (induct m rule: nat-less-induct)
apply (case-tac  $\text{Suc } (na) < n$ )

```

```

apply (frule lessI [THEN less-trans], simp add: less-not-refl3)

```

```

apply (simp add: linorder-not-less le-Suc-eq mod-geq)
apply (auto simp add: Suc-diff-le le-mod-geq)
done

```

```

lemma mod-eq-0-iff:  $(m \bmod d = 0) = (\exists q :: \text{nat}. m = d * q)$ 
by (auto simp add: dvd-eq-mod-eq-0 [symmetric] dvd-def)

```

```

lemmas mod-eq-0D [dest!] = mod-eq-0-iff [THEN iffD1]

```

```

lemma mod-eqD:  $(m \bmod d = r) ==> \exists q :: \text{nat}. m = r + q * d$ 
apply (cut-tac  $a = m$  in mod-div-equality)
apply (simp only: add-ac)
apply (blast intro: sym)
done

```

```

lemma split-div:

```

```

   $P(n \text{ div } k :: \text{nat}) =$ 
   $((k = 0 \longrightarrow P\ 0) \wedge (k \neq 0 \longrightarrow (!i. !j < k. n = k * i + j \longrightarrow P\ i)))$ 
   $(\text{is } ?P = ?Q \text{ is } - = (- \wedge (- \longrightarrow ?R)))$ 

```

```

proof

```

```

  assume P: ?P

```

```

  show ?Q

```

```

  proof (cases)

```

```

    assume  $k = 0$ 

```

```

    with P show ?Q by simp

```

```

  next

```

```

    assume not0:  $k \neq 0$ 

```

```

    thus ?Q

```

```

    proof (simp, intro allI impI)

```

```

      fix i j

```



```

    assume n: n = k*i + j and j: j < k
    show P i
    proof (cases)
      assume i = 0
      with n j P show P i by simp
    next
      assume i ≠ 0
      with not0 n j P show P i by (simp add: add-ac)
    qed
  qed
next
  assume Q: ?Q
  show ?P
  proof (cases)
    assume k = 0
    with Q show ?P by simp
  next
    assume not0: k ≠ 0
    with Q have R: ?R by simp
    from not0 R [THEN spec, of n div k, THEN spec, of n mod k]
    show ?P by simp
  qed
qed

lemma split-div-lemma:
  assumes 0 < n
  shows n * q ≤ m ∧ m < n * Suc q ⟷ q = ((m::nat) div n) (is ?lhs ⟷ ?rhs)
proof
  assume ?rhs
  with mult-div-cancel have nq: n * q = m - (m mod n) by simp
  then have A: n * q ≤ m by simp
  have n - (m mod n) > 0 using mod-less-divisor assms by auto
  then have m < m + (n - (m mod n)) by simp
  then have m < n + (m - (m mod n)) by simp
  with nq have m < n + n * q by simp
  then have B: m < n * Suc q by simp
  from A B show ?lhs ..
next
  assume P: ?lhs
  then have divmod-nat-rel m n (q, m - n * q)
    unfolding divmod-nat-rel-def by (auto simp add: mult-ac)
  with divmod-nat-rel-unique divmod-nat-rel [of m n]
  have (q, m - n * q) = (m div n, m mod n) by auto
  then show ?rhs by simp
qed

theorem split-div':
  P ((m::nat) div n) = ((n = 0 ∧ P 0) ∨

```

```

  (∃ q. (n * q ≤ m ∧ m < n * (Suc q)) ∧ P q))
apply (case-tac 0 < n)
apply (simp only: add: split-div-lemma)
apply simp-all
done

lemma split-mod:
  P(n mod k :: nat) =
  ((k = 0 → P n) ∧ (k ≠ 0 → (!i. !j < k. n = k*i + j → P j)))
  (is ?P = ?Q is - = (- ∧ (- → ?R)))
proof
  assume P: ?P
  show ?Q
  proof (cases)
    assume k = 0
    with P show ?Q by simp
  next
    assume not0: k ≠ 0
    thus ?Q
    proof (simp, intro allI impI)
      fix i j
      assume n = k*i + j j < k
      thus P j using not0 P by (simp add: add-ac mult-ac)
    qed
  qed
next
  assume Q: ?Q
  show ?P
  proof (cases)
    assume k = 0
    with Q show ?P by simp
  next
    assume not0: k ≠ 0
    with Q have R: ?R by simp
    from not0 R [THEN spec, of n div k, THEN spec, of n mod k]
    show ?P by simp
  qed
qed

theorem mod-div-equality': (m::nat) mod n = m - (m div n) * n
apply (rule-tac P=%x. m mod n = x - (m div n) * n in
  subst [OF mod-div-equality [of - n]])
apply arith
done

lemma div-mod-equality':
  fixes m n :: nat
  shows m div n * n = m - m mod n
proof -

```

```

have  $m \bmod n \leq m \bmod n$  ..
from div-mod-equality have
   $m \operatorname{div} n * n + m \bmod n - m \bmod n = m - m \bmod n$  by simp
with diff-add-assoc [OF  $\langle m \bmod n \leq m \bmod n \rangle$ , of  $m \operatorname{div} n * n$ ] have
   $m \operatorname{div} n * n + (m \bmod n - m \bmod n) = m - m \bmod n$ 
  by simp
then show ?thesis by simp
qed

```

33.3.5 An “induction” law for modulus arithmetic.

```

lemma mod-induct-0:
  assumes step:  $\forall i < p. P\ i \longrightarrow P\ ((\operatorname{Suc}\ i) \bmod p)$ 
  and base:  $P\ i$  and  $i: i < p$ 
  shows  $P\ 0$ 
proof (rule ccontr)
  assume contra:  $\neg(P\ 0)$ 
  from  $i$  have  $p: 0 < p$  by simp
  have  $\forall k. 0 < k \longrightarrow \neg P\ (p-k)$  (is  $\forall k. ?A\ k$ )
  proof
    fix  $k$ 
    show  $?A\ k$ 
    proof (induct k)
      show  $?A\ 0$  by simp — by contradiction
    next
      fix  $n$ 
      assume ih:  $?A\ n$ 
      show  $?A\ (\operatorname{Suc}\ n)$ 
      proof (clarsimp)
        assume  $y: P\ (p - \operatorname{Suc}\ n)$ 
        have  $n: \operatorname{Suc}\ n < p$ 
        proof (rule ccontr)
          assume  $\neg(\operatorname{Suc}\ n < p)$ 
          hence  $p - \operatorname{Suc}\ n = 0$ 
            by simp
          with  $y$  contra show False
            by simp
        qed
        hence  $n2: \operatorname{Suc}\ (p - \operatorname{Suc}\ n) = p - n$  by arith
        from  $p$  have  $p - \operatorname{Suc}\ n < p$  by arith
        with  $y$  step have  $z: P\ ((\operatorname{Suc}\ (p - \operatorname{Suc}\ n)) \bmod p)$ 
          by blast
        show False
      proof (cases n=0)
        case True
          with  $z\ n2$  contra show ?thesis by simp
      next
        case False
          with  $p$  have  $p - n < p$  by arith

```

```

      with  $z \text{ n2 } False \text{ ih}$  show  $?thesis$  by simp
    qed
  qed
  qed
  qed
  moreover
  from  $i$  obtain  $k$  where  $0 < k \wedge i + k = p$ 
    by (blast dest: less-imp-add-positive)
  hence  $0 < k \wedge i = p - k$  by auto
  moreover
  note base
  ultimately
  show  $False$  by blast
qed

```

```

lemma mod-induct:
  assumes step:  $\forall i < p. P \ i \longrightarrow P \ (Suc \ i \ mod \ p)$ 
  and base:  $P \ i$  and  $i: i < p$  and  $j: j < p$ 
  shows  $P \ j$ 
proof -
  have  $\forall j < p. P \ j$ 
proof
  fix  $j$ 
  show  $j < p \longrightarrow P \ j$  (is  $?A \ j$ )
proof (induct  $j$ )
  from step base  $i$  show  $?A \ 0$ 
    by (auto elim: mod-induct-0)
next
  fix  $k$ 
  assume ih:  $?A \ k$ 
  show  $?A \ (Suc \ k)$ 
proof
  assume suc:  $Suc \ k < p$ 
  hence  $k: k < p$  by simp
  with ih have  $P \ k$  ..
  with step  $k$  have  $P \ (Suc \ k \ mod \ p)$ 
    by blast
  moreover
  from suc have  $Suc \ k \ mod \ p = Suc \ k$ 
    by simp
  ultimately
  show  $P \ (Suc \ k)$  by simp
qed
qed
qed
with  $j$  show  $?thesis$  by blast
qed

```

```

lemma div2-Suc-Suc [simp]:  $Suc \ (Suc \ m) \ div \ 2 = Suc \ (m \ div \ 2)$ 

```

by (*auto simp add: numeral-2-eq-2 le-div-geq*)

lemma *add-self-div-2* [*simp*]: $(m + m) \text{ div } 2 = (m :: \text{nat})$
by (*simp add: nat-mult-2 [symmetric]*)

lemma *mod2-Suc-Suc* [*simp*]: $\text{Suc}(\text{Suc}(m)) \text{ mod } 2 = m \text{ mod } 2$
apply (*subgoal-tac m mod 2 < 2*)
apply (*erule less-2-cases [THEN disjE]*)
apply (*simp-all (no-asm-simp) add: Let-def mod-Suc*)
done

lemma *mod2-gr-0* [*simp*]: $0 < (m :: \text{nat}) \text{ mod } 2 \longleftrightarrow m \text{ mod } 2 = 1$
proof –
 { **fix** $n :: \text{nat}$ **have** $(n :: \text{nat}) < 2 \implies n = 0 \vee n = 1$ **by** (*cases n*) *simp-all* }
moreover **have** $m \text{ mod } 2 < 2$ **by** *simp*
ultimately **have** $m \text{ mod } 2 = 0 \vee m \text{ mod } 2 = 1$.
then show *?thesis* **by** *auto*
qed

These lemmas collapse some needless occurrences of *Suc*: at least three *Suc*s, since two and fewer are rewritten back to *Suc* again! We already have some rules to simplify operands smaller than 3.

lemma *div-Suc-eq-div-add3* [*simp*]: $m \text{ div } (\text{Suc } (\text{Suc } (\text{Suc } n))) = m \text{ div } (3+n)$
by (*simp add: Suc3-eq-add-3*)

lemma *mod-Suc-eq-mod-add3* [*simp*]: $m \text{ mod } (\text{Suc } (\text{Suc } (\text{Suc } n))) = m \text{ mod } (3+n)$
by (*simp add: Suc3-eq-add-3*)

lemma *Suc-div-eq-add3-div*: $(\text{Suc } (\text{Suc } (\text{Suc } m))) \text{ div } n = (3+m) \text{ div } n$
by (*simp add: Suc3-eq-add-3*)

lemma *Suc-mod-eq-add3-mod*: $(\text{Suc } (\text{Suc } (\text{Suc } m))) \text{ mod } n = (3+m) \text{ mod } n$
by (*simp add: Suc3-eq-add-3*)

lemmas *Suc-div-eq-add3-div-number-of* =
 Suc-div-eq-add3-div [*of - number-of v, standard*]
declare *Suc-div-eq-add3-div-number-of* [*simp*]

lemmas *Suc-mod-eq-add3-mod-number-of* =
 Suc-mod-eq-add3-mod [*of - number-of v, standard*]
declare *Suc-mod-eq-add3-mod-number-of* [*simp*]

lemma *Suc-times-mod-eq*: $1 < k \implies \text{Suc } (k * m) \text{ mod } k = 1$
apply (*induct m*)
apply (*simp-all add: mod-Suc*)
done

declare *Suc-times-mod-eq* [*of number-of w, standard, simp*]

lemma [simp]: $n \text{ div } k \leq (\text{Suc } n) \text{ div } k$
by (simp add: div-le-mono)

lemma Suc-n-div-2-gt-zero [simp]: $(0::\text{nat}) < n \implies 0 < (n + 1) \text{ div } 2$
by (cases n) simp-all

lemma div-2-gt-zero [simp]: **assumes** $A: (1::\text{nat}) < n$ **shows** $0 < n \text{ div } 2$
proof –
from A **have** $B: 0 < n - 1$ **and** $C: n - 1 + 1 = n$ **by** simp-all
from Suc-n-div-2-gt-zero [OF B] C **show** ?thesis **by** simp
qed

lemma mod-mult-self3 [simp]: $(k*n + m) \text{ mod } n = m \text{ mod } (n::\text{nat})$
by (simp add: mult-ac add-ac)

lemma mod-mult-self4 [simp]: $\text{Suc } (k*n + m) \text{ mod } n = \text{Suc } m \text{ mod } n$
proof –
have $\text{Suc } (k * n + m) \text{ mod } n = (k * n + \text{Suc } m) \text{ mod } n$ **by** simp
also have $\dots = \text{Suc } m \text{ mod } n$ **by** (rule mod-mult-self3)
finally show ?thesis .
qed

lemma mod-Suc-eq-Suc-mod: $\text{Suc } m \text{ mod } n = \text{Suc } (m \text{ mod } n) \text{ mod } n$
apply (subst mod-Suc [of m])
apply (subst mod-Suc [of $m \text{ mod } n$], simp)
done

33.4 Division on *int*

definition divmod-int-rel :: $\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \times \text{int} \Rightarrow \text{bool}$ **where**
 — definition of quotient and remainder
 [code]: $\text{divmod-int-rel } a \ b = (\lambda(q, r). a = b * q + r \wedge$
 $(\text{if } 0 < b \text{ then } 0 \leq r \wedge r < b \text{ else } b < r \wedge r \leq 0))$

definition adjust :: $\text{int} \Rightarrow \text{int} \times \text{int} \Rightarrow \text{int} \times \text{int}$ **where**
 — for the division algorithm
 [code]: $\text{adjust } b = (\lambda(q, r). \text{if } 0 \leq r - b \text{ then } (2 * q + 1, r - b)$
 $\text{else } (2 * q, r))$

algorithm for the case $a \geq 0, b > 0$

function posDivAlg :: $\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \times \text{int}$ **where**
 $\text{posDivAlg } a \ b = (\text{if } a < b \vee b \leq 0 \text{ then } (0, a)$
 $\text{else adjust } b (\text{posDivAlg } a \ (2 * b)))$
by auto
termination by (relation measure $(\lambda(a, b). \text{nat } (a - b + 1)))$
 $(\text{auto simp add: mult-2})$

algorithm for the case $a < 0, b > 0$

function *negDivAlg* :: *int* \Rightarrow *int* \Rightarrow *int* \times *int* **where**
 negDivAlg *a* *b* = (if $0 \leq a + b \vee b \leq 0$ then $(-1, a + b)$
 else *adjust* *b* (*negDivAlg* *a* ($2 * b$)))
by *auto*
termination by (*relation measure* ($\lambda(a, b). \text{nat } (-a - b)$))
 (*auto simp add: mult-2*)

algorithm for the general case $b \neq (0::'a)$

definition *negateSnd* :: *int* \times *int* \Rightarrow *int* \times *int* **where**
 [*code-unfold*]: *negateSnd* = *apsnd* *uminus*

definition *divmod-int* :: *int* \Rightarrow *int* \Rightarrow *int* \times *int* **where**
 — The full division algorithm considers all possible signs for *a*, *b* including the
 special case $a=0, b<0$ because *negDivAlg* requires $a < (0::'a)$.
 divmod-int *a* *b* = (if $0 \leq a$ then if $0 \leq b$ then *posDivAlg* *a* *b*
 else if $a = 0$ then $(0, 0)$
 else *negateSnd* (*negDivAlg* $(-a)$ $(-b)$)
 else
 if $0 < b$ then *negDivAlg* *a* *b*
 else *negateSnd* (*posDivAlg* $(-a)$ $(-b)$))

instantiation *int* :: *Divides.div*
begin

definition
 a div b = *fst* (*divmod-int* *a* *b*)

definition
 a mod b = *snd* (*divmod-int* *a* *b*)

instance ..

end

lemma *divmod-int-mod-div*:
 divmod-int *p* *q* = (*p div q*, *p mod q*)
by (*auto simp add: div-int-def mod-int-def*)

Here is the division algorithm in ML:

```
fun posDivAlg (a,b) =
  if a<b then (0,a)
  else let val (q,r) = posDivAlg(a, 2*b)
        in if 0<le>r-b then (2*q+1, r-b) else (2*q, r)
        end

fun negDivAlg (a,b) =
  if 0<le>a+b then (~1,a+b)
```

```

    else let val (q,r) = negDivAlg(a, 2*b)
          in  if 0<le>r-b then (2*q+1, r-b) else (2*q, r)
          end;

fun negateSnd (q,r:int) = (q,~r);

fun divmod (a,b) = if 0<le>a then
  if b>0 then posDivAlg (a,b)
  else if a=0 then (0,0)
  else negateSnd (negDivAlg (~a,~b))
else
  if 0<b then negDivAlg (a,b)
  else negateSnd (posDivAlg (~a,~b));

```

33.4.1 Uniqueness and Monotonicity of Quotients and Remainders

lemma *unique-quotient-lemma*:

$[| b*q' + r' \leq b*q + r; \ 0 \leq r'; \ r' < b; \ r < b |]$
 $\implies q' \leq (q::int)$

apply (*subgoal-tac* $r' + b * (q' - q) \leq r$)
prefer 2 **apply** (*simp add: right-diff-distrib*)
apply (*subgoal-tac* $0 < b * (1 + q - q')$)
apply (*erule-tac* [2] *order-le-less-trans*)
prefer 2 **apply** (*simp add: right-diff-distrib right-distrib*)
apply (*subgoal-tac* $b * q' < b * (1 + q)$)
prefer 2 **apply** (*simp add: right-diff-distrib right-distrib*)
apply (*simp add: mult-less-cancel-left*)
done

lemma *unique-quotient-lemma-neg*:

$[| b*q' + r' \leq b*q + r; \ r \leq 0; \ b < r; \ b < r' |]$
 $\implies q \leq (q'::int)$

by (*rule-tac* $b = -b$ **and** $r = -r'$ **and** $r' = -r$ **in** *unique-quotient-lemma*,
auto)

lemma *unique-quotient*:

$[| \text{divmod-int-rel } a \ b \ (q, r); \ \text{divmod-int-rel } a \ b \ (q', r'); \ b \neq 0 |]$
 $\implies q = q'$

apply (*simp add: divmod-int-rel-def linorder-neq-iff split: split-if-asm*)
apply (*blast intro: order-antisym*
dest: order-eq-refl [THEN unique-quotient-lemma]
order-eq-refl [THEN unique-quotient-lemma-neg] sym)
done

lemma *unique-remainder*:


```

    [| divmod-int-rel a b (q, r); divmod-int-rel a b (q', r'); b ≠ 0 |]
    ==> r = r'
  apply (subgoal-tac q = q')
  apply (simp add: divmod-int-rel-def)
  apply (blast intro: unique-quotient)
done

```

33.4.2 Correctness of *posDivAlg*, the Algorithm for Non-Negative Dividends

And positive divisors

```

lemma adjust-eq [simp]:
  adjust b (q,r) =
    (let diff = r-b in
     if 0 ≤ diff then (2*q + 1, diff)
     else (2*q, r))
by (simp add: Let-def adjust-def)

declare posDivAlg.simps [simp del]

use with a simproc to avoid repeatedly proving the premise

lemma posDivAlg-eqn:
  0 < b ==>
    posDivAlg a b = (if a < b then (0,a) else adjust b (posDivAlg a (2*b)))
by (rule posDivAlg.simps [THEN trans], simp)

```

Correctness of *posDivAlg*: it computes quotients correctly

```

theorem posDivAlg-correct:
  assumes 0 ≤ a and 0 < b
  shows divmod-int-rel a b (posDivAlg a b)
using prems apply (induct a b rule: posDivAlg.induct)
apply auto
apply (simp add: divmod-int-rel-def)
apply (subst posDivAlg-eqn, simp add: right-distrib)
apply (case-tac a < b)
apply simp-all
apply (erule splitE)
apply (auto simp add: right-distrib Let-def mult-ac mult-2-right)
done

```

33.4.3 Correctness of *negDivAlg*, the Algorithm for Negative Dividends

And positive divisors

```

declare negDivAlg.simps [simp del]

use with a simproc to avoid repeatedly proving the premise

```

lemma *negDivAlg-eqn*:
 $0 < b \implies$
 $\text{negDivAlg } a \ b =$
 $(\text{if } 0 \leq a+b \text{ then } (-1, a+b) \text{ else adjust } b (\text{negDivAlg } a \ (2*b)))$
by (rule *negDivAlg.simps* [THEN trans], simp)

lemma *negDivAlg-correct*:
assumes $a < 0$ **and** $b > 0$
shows $\text{divmod-int-rel } a \ b \ (\text{negDivAlg } a \ b)$
using *prems* **apply** (induct $a \ b$ rule: *negDivAlg.induct*)
apply (auto simp add: *linorder-not-le*)
apply (simp add: *divmod-int-rel-def*)
apply (subst *negDivAlg-eqn*, assumption)
apply (case-tac $a + b < (0::\text{int})$)
apply *simp-all*
apply (erule *splitE*)
apply (auto simp add: *right-distrib Let-def mult-ac mult-2-right*)
done

33.4.4 Existence Shown by Proving the Division Algorithm to be Correct

lemma *divmod-int-rel-0*: $b \neq 0 \implies \text{divmod-int-rel } 0 \ b \ (0, 0)$
by (auto simp add: *divmod-int-rel-def linorder-neq-iff*)

lemma *posDivAlg-0* [simp]: $\text{posDivAlg } 0 \ b = (0, 0)$
by (subst *posDivAlg.simps*, auto)

lemma *negDivAlg-minus1* [simp]: $\text{negDivAlg } -1 \ b = (-1, b - 1)$
by (subst *negDivAlg.simps*, auto)

lemma *negateSnd-eq* [simp]: $\text{negateSnd}(q, r) = (q, -r)$
by (simp add: *negateSnd-def*)

lemma *divmod-int-rel-neg*: $\text{divmod-int-rel } (-a) \ (-b) \ qr \implies \text{divmod-int-rel } a \ b \ (\text{negateSnd } qr)$
by (auto simp add: *split-ifs divmod-int-rel-def*)

lemma *divmod-int-correct*: $b \neq 0 \implies \text{divmod-int-rel } a \ b \ (\text{divmod-int } a \ b)$
by (force simp add: *linorder-neq-iff divmod-int-rel-0 divmod-int-def divmod-int-rel-neg posDivAlg-correct negDivAlg-correct*)

Arbitrary definitions for division by zero. Useful to simplify certain equations.

lemma *DIVISION-BY-ZERO* [simp]: $a \text{ div } (0::\text{int}) = 0 \ \& \ a \text{ mod } (0::\text{int}) = a$
by (simp add: *div-int-def mod-int-def divmod-int-def posDivAlg.simps*)

Basic laws about division and remainder

```

lemma zmod-zdiv-equality: (a::int) = b * (a div b) + (a mod b)
apply (case-tac b = 0, simp)
apply (cut-tac a = a and b = b in divmod-int-correct)
apply (auto simp add: divmod-int-rel-def div-int-def mod-int-def)
done

```

```

lemma zdiv-zmod-equality: (b * (a div b) + (a mod b)) + k = (a::int)+k
by(simp add: zmod-zdiv-equality[symmetric])

```

```

lemma zdiv-zmod-equality2: ((a div b) * b + (a mod b)) + k = (a::int)+k
by(simp add: mult-commute zmod-zdiv-equality[symmetric])

```

Tool setup

```

ML <<
local

```

```

structure CancelDivMod = CancelDivModFun(struct

  val div-name = @{const-name div};
  val mod-name = @{const-name mod};
  val mk-binop = HOLogic.mk-binop;
  val mk-sum = Arith-Data.mk-sum HOLogic.intT;
  val dest-sum = Arith-Data.dest-sum;

  val div-mod-egs = map mk-meta-eq [@{thm zdiv-zmod-equality}, @{thm zdiv-zmod-equality2}];

  val trans = trans;

  val prove-eq-sums = Arith-Data.prove-conv2 all-tac (Arith-Data.simp-all-tac
    (@{thm diff-minus} :: @{thms add-0s} @ @{thms add-ac}))

end)

in

val cancel-div-mod-int-proc = Simplifier.simproc @{theory}
  cancel-zdiv-zmod [(k::int) + l] (K CancelDivMod.proc);

val - = Addsimprocs [cancel-div-mod-int-proc];

end
>>

```

```

lemma pos-mod-conj : (0::int) < b ==> 0 ≤ a mod b & a mod b < b
apply (cut-tac a = a and b = b in divmod-int-correct)
apply (auto simp add: divmod-int-rel-def mod-int-def)
done

```

```

lemmas pos-mod-sign [simp] = pos-mod-conj [THEN conjunct1, standard]

```

and *pos-mod-bound* [*simp*] = *pos-mod-conj* [*THEN conjunct2, standard*]

lemma *neg-mod-conj* : $b < (0::int) \implies a \bmod b \leq 0 \ \& \ b < a \bmod b$
apply (*cut-tac* $a = a$ **and** $b = b$ **in** *divmod-int-correct*)
apply (*auto simp add: divmod-int-rel-def div-int-def mod-int-def*)
done

lemmas *neg-mod-sign* [*simp*] = *neg-mod-conj* [*THEN conjunct1, standard*]
and *neg-mod-bound* [*simp*] = *neg-mod-conj* [*THEN conjunct2, standard*]

33.4.5 General Properties of div and mod

lemma *divmod-int-rel-div-mod*: $b \neq 0 \implies \text{divmod-int-rel } a \ b \ (a \ \text{div} \ b, \ a \ \text{mod} \ b)$
apply (*cut-tac* $a = a$ **and** $b = b$ **in** *zmod-zdiv-equality*)
apply (*force simp add: divmod-int-rel-def linorder-neg-iff*)
done

lemma *divmod-int-rel-div*: $[\text{divmod-int-rel } a \ b \ (q, \ r); \ b \neq 0] \implies a \ \text{div} \ b = q$
by (*simp add: divmod-int-rel-div-mod [THEN unique-quotient]*)

lemma *divmod-int-rel-mod*: $[\text{divmod-int-rel } a \ b \ (q, \ r); \ b \neq 0] \implies a \ \text{mod} \ b = r$
by (*simp add: divmod-int-rel-div-mod [THEN unique-remainder]*)

lemma *div-pos-pos-trivial*: $[(0::int) \leq a; \ a < b] \implies a \ \text{div} \ b = 0$
apply (*rule divmod-int-rel-div*)
apply (*auto simp add: divmod-int-rel-def*)
done

lemma *div-neg-neg-trivial*: $[a \leq (0::int); \ b < a] \implies a \ \text{div} \ b = 0$
apply (*rule divmod-int-rel-div*)
apply (*auto simp add: divmod-int-rel-def*)
done

lemma *div-pos-neg-trivial*: $[(0::int) < a; \ a+b \leq 0] \implies a \ \text{div} \ b = -1$
apply (*rule divmod-int-rel-div*)
apply (*auto simp add: divmod-int-rel-def*)
done

lemma *mod-pos-pos-trivial*: $[(0::int) \leq a; \ a < b] \implies a \ \text{mod} \ b = a$
apply (*rule-tac* $q = 0$ **in** *divmod-int-rel-mod*)
apply (*auto simp add: divmod-int-rel-def*)
done

lemma *mod-neg-neg-trivial*: $[a \leq (0::int); \ b < a] \implies a \ \text{mod} \ b = a$
apply (*rule-tac* $q = 0$ **in** *divmod-int-rel-mod*)
apply (*auto simp add: divmod-int-rel-def*)

done

lemma *mod-pos-neg-trivial*: $[(0::int) < a; a+b \leq 0] \implies a \bmod b = a+b$
apply (*rule-tac* $q = -1$ **in** *divmod-int-rel-mod*)
apply (*auto simp add: divmod-int-rel-def*)
done

There is no *mod-neg-pos-trivial*.

lemma *zdiv-zminus-zminus* [*simp*]: $(-a) \operatorname{div} (-b) = a \operatorname{div} (b::int)$
apply (*case-tac* $b = 0$, *simp*)
apply (*simp add: divmod-int-rel-div-mod [THEN divmod-int-rel-neg, simplified,*
THEN divmod-int-rel-div, THEN sym])

done

lemma *zmod-zminus-zminus* [*simp*]: $(-a) \bmod (-b) = -(a \bmod (b::int))$
apply (*case-tac* $b = 0$, *simp*)
apply (*subst divmod-int-rel-div-mod [THEN divmod-int-rel-neg, simplified, THEN*
divmod-int-rel-mod],
auto)
done

33.4.6 Laws for div and mod with Unary Minus

lemma *zminus1-lemma*:
 $\operatorname{divmod-int-rel} a b (q, r)$
 $\implies \operatorname{divmod-int-rel} (-a) b$ (*if* $r=0$ *then* $-q$ *else* $-q - 1$,
if $r=0$ *then* 0 *else* $b-r$)
by (*force simp add: split-ifs divmod-int-rel-def linorder-neq-iff right-diff-distrib*)

lemma *zdiv-zminus1-eq-if*:
 $b \neq (0::int)$
 $\implies (-a) \operatorname{div} b =$
 $(\text{if } a \bmod b = 0 \text{ then } -(a \operatorname{div} b) \text{ else } -(a \operatorname{div} b) - 1)$
by (*blast intro: divmod-int-rel-div-mod [THEN zminus1-lemma, THEN divmod-int-rel-div]*)

lemma *zmod-zminus1-eq-if*:
 $(-a::int) \bmod b = (\text{if } a \bmod b = 0 \text{ then } 0 \text{ else } b - (a \bmod b))$
apply (*case-tac* $b = 0$, *simp*)
apply (*blast intro: divmod-int-rel-div-mod [THEN zminus1-lemma, THEN divmod-int-rel-mod]*)
done

lemma *zmod-zminus1-not-zero*:
fixes $k l :: int$
shows $-k \bmod l \neq 0 \implies k \bmod l \neq 0$
unfolding *zmod-zminus1-eq-if* **by** *auto*

lemma *zdiv-zminus2*: $a \text{ div } (-b) = (-a::\text{int}) \text{ div } b$
by (*cut-tac* $a = -a$ **in** *zdiv-zminus-zminus*, *auto*)

lemma *zmod-zminus2*: $a \text{ mod } (-b) = -((-a::\text{int}) \text{ mod } b)$
by (*cut-tac* $a = -a$ **and** $b = b$ **in** *zmod-zminus-zminus*, *auto*)

lemma *zdiv-zminus2-eq-if*:
 $b \neq (0::\text{int})$
 $\implies a \text{ div } (-b) =$
 $(\text{if } a \text{ mod } b = 0 \text{ then } -(a \text{ div } b) \text{ else } -(a \text{ div } b) - 1)$
by (*simp add*: *zdiv-zminus1-eq-if* *zdiv-zminus2*)

lemma *zmod-zminus2-eq-if*:
 $a \text{ mod } (-b::\text{int}) = (\text{if } a \text{ mod } b = 0 \text{ then } 0 \text{ else } (a \text{ mod } b) - b)$
by (*simp add*: *zmod-zminus1-eq-if* *zmod-zminus2*)

lemma *zmod-zminus2-not-zero*:
fixes $k \ l :: \text{int}$
shows $k \text{ mod } -l \neq 0 \implies k \text{ mod } l \neq 0$
unfolding *zmod-zminus2-eq-if* **by** *auto*

33.4.7 Division of a Number by Itself

lemma *self-quotient-aux1*: $[(0::\text{int}) < a; a = r + a*q; r < a] \implies 1 \leq q$
apply (*subgoal-tac* $0 < a*q$)
apply (*simp add*: *zero-less-mult-iff*, *arith*)
done

lemma *self-quotient-aux2*: $[(0::\text{int}) < a; a = r + a*q; 0 \leq r] \implies q \leq 1$
apply (*subgoal-tac* $0 \leq a*(1-q)$)
apply (*simp add*: *zero-le-mult-iff*)
apply (*simp add*: *right-diff-distrib*)
done

lemma *self-quotient*: $[\text{divmod-int-rel } a \ a \ (q, r); a \neq (0::\text{int})] \implies q = 1$
apply (*simp add*: *split-ifs* *divmod-int-rel-def* *linorder-neq-iff*)
apply (*rule* *order-antisym*, *safe*, *simp-all*)
apply (*rule-tac* [3] $a = -a$ **and** $r = -r$ **in** *self-quotient-aux1*)
apply (*rule-tac* $a = -a$ **and** $r = -r$ **in** *self-quotient-aux2*)
apply (*force intro*: *self-quotient-aux1* *self-quotient-aux2* *simp add*: *add-commute*)
done

lemma *self-remainder*: $[\text{divmod-int-rel } a \ a \ (q, r); a \neq (0::\text{int})] \implies r = 0$
apply (*frule* *self-quotient*, *assumption*)
apply (*simp add*: *divmod-int-rel-def*)
done

lemma *zdiv-self* [*simp*]: $a \neq 0 \implies a \text{ div } a = (1::\text{int})$
by (*simp add*: *divmod-int-rel-div-mod* [*THEN* *self-quotient*])

```

lemma zmod-self [simp]:  $a \bmod a = (0::int)$ 
apply (case-tac  $a = 0$ , simp)
apply (simp add: divmod-int-rel-div-mod [THEN self-remainder])
done

```

33.4.8 Computation of Division and Remainder

```

lemma zdiv-zero [simp]:  $(0::int) \div b = 0$ 
by (simp add: div-int-def divmod-int-def)

```

```

lemma div-eq-minus1:  $(0::int) < b \implies -1 \div b = -1$ 
by (simp add: div-int-def divmod-int-def)

```

```

lemma zmod-zero [simp]:  $(0::int) \bmod b = 0$ 
by (simp add: mod-int-def divmod-int-def)

```

```

lemma zmod-minus1:  $(0::int) < b \implies -1 \bmod b = b - 1$ 
by (simp add: mod-int-def divmod-int-def)

```

a positive, b positive

```

lemma div-pos-pos:  $[| 0 < a; 0 \leq b |] \implies a \div b = \text{fst } (\text{posDivAlg } a \ b)$ 
by (simp add: div-int-def divmod-int-def)

```

```

lemma mod-pos-pos:  $[| 0 < a; 0 \leq b |] \implies a \bmod b = \text{snd } (\text{posDivAlg } a \ b)$ 
by (simp add: mod-int-def divmod-int-def)

```

a negative, b positive

```

lemma div-neg-pos:  $[| a < 0; 0 < b |] \implies a \div b = \text{fst } (\text{negDivAlg } a \ b)$ 
by (simp add: div-int-def divmod-int-def)

```

```

lemma mod-neg-pos:  $[| a < 0; 0 < b |] \implies a \bmod b = \text{snd } (\text{negDivAlg } a \ b)$ 
by (simp add: mod-int-def divmod-int-def)

```

a positive, b negative

```

lemma div-pos-neg:
   $[| 0 < a; b < 0 |] \implies a \div b = \text{fst } (\text{negateSnd } (\text{negDivAlg } (-a) \ (-b)))$ 
by (simp add: div-int-def divmod-int-def)

```

```

lemma mod-pos-neg:
   $[| 0 < a; b < 0 |] \implies a \bmod b = \text{snd } (\text{negateSnd } (\text{negDivAlg } (-a) \ (-b)))$ 
by (simp add: mod-int-def divmod-int-def)

```

a negative, b negative

```

lemma div-neg-neg:
   $[| a < 0; b \leq 0 |] \implies a \div b = \text{fst } (\text{negateSnd } (\text{posDivAlg } (-a) \ (-b)))$ 
by (simp add: div-int-def divmod-int-def)

```

lemma *mod-neg-neg*:

$\llbracket a < 0; \ b \leq 0 \rrbracket \implies a \bmod b = \text{snd } (\text{negateSnd } (\text{posDivAlg } (-a) (-b)))$
by (*simp add: mod-int-def divmod-int-def*)

Simplify expresions in which div and mod combine numerical constants

lemma *divmod-int-relI*:

$\llbracket a == b * q + r; \text{ if } 0 < b \text{ then } 0 \leq r \wedge r < b \text{ else } b < r \wedge r \leq 0 \rrbracket$
 $\implies \text{divmod-int-rel } a \ b \ (q, r)$

unfolding *divmod-int-rel-def* **by** *simp*

lemmas *divmod-int-rel-div-eq = divmod-int-relI* [*THEN divmod-int-rel-div, THEN eq-reflection*]

lemmas *divmod-int-rel-mod-eq = divmod-int-relI* [*THEN divmod-int-rel-mod, THEN eq-reflection*]

lemmas *arithmetic-simps =*

arith-simps
add-special
add-0-left
add-0-right
mult-zero-left
mult-zero-right
mult-1-left
mult-1-right

ML \ll

local

val mk-number = HOLogic.mk-number HOLogic.intT;
fun mk-cert u k l = @{term plus :: int \Rightarrow int \Rightarrow int} \$
(@{term times :: int \Rightarrow int \Rightarrow int} \$ u \$ mk-number k) \$
mk-number l;
fun prove ctxt prop = Goal.prove ctxt [] [] prop
(K (ALLGOALS (full-simp-tac (HOL-basic-ss addsimps @{thms arithmetic-simps})))));
fun binary-proc proc ss ct =
(case Thm.term-of ct of
- \$ t \$ u =>
(case try (pairself ('(snd o HOLogic.dest-number))) (t, u) of
SOME args => proc (Simplifier.the-context ss) args
| NONE => NONE)
| - => NONE);

in

fun divmod-proc rule = binary-proc (fn ctxt => fn ((m, t), (n, u)) =>
if n = 0 then NONE
else let val (k, l) = Integer.div-mod m n;
in SOME (rule OF [prove ctxt (Logic.mk-equals (t, mk-cert u k l))] end);
end
 \gg

simproc-setup *binary-int-div (number-of m div number-of n :: int) =*

⟨⟨ *K* (*divmod-proc* (@{*thm divmod-int-rel-div-eq*})) ⟩⟩

simproc-setup *binary-int-mod* (*number-of m mod number-of n :: int*) =
 ⟨⟨ *K* (*divmod-proc* (@{*thm divmod-int-rel-mod-eq*})) ⟩⟩

lemmas *posDivAlg-eqn-number-of* [*simp*] =
posDivAlg-eqn [*of number-of v number-of w, standard*]

lemmas *negDivAlg-eqn-number-of* [*simp*] =
negDivAlg-eqn [*of number-of v number-of w, standard*]

Special-case simplification

lemma *zmod-minus1-right* [*simp*]: *a mod (-1::int) = 0*
apply (*cut-tac a = a and b = -1 in neg-mod-sign*)
apply (*cut-tac [2] a = a and b = -1 in neg-mod-bound*)
apply (*auto simp del: neg-mod-sign neg-mod-bound*)
done

lemma *zdiv-minus1-right* [*simp*]: *a div (-1::int) = -a*
by (*cut-tac a = a and b = -1 in zmod-zdiv-equality, auto*)

lemmas *div-pos-pos-1-number-of* [*simp*] =
div-pos-pos [*OF int-0-less-1, of number-of w, standard*]

lemmas *div-pos-neg-1-number-of* [*simp*] =
div-pos-neg [*OF int-0-less-1, of number-of w, standard*]

lemmas *mod-pos-pos-1-number-of* [*simp*] =
mod-pos-pos [*OF int-0-less-1, of number-of w, standard*]

lemmas *mod-pos-neg-1-number-of* [*simp*] =
mod-pos-neg [*OF int-0-less-1, of number-of w, standard*]

lemmas *posDivAlg-eqn-1-number-of* [*simp*] =
posDivAlg-eqn [*of concl: 1 number-of w, standard*]

lemmas *negDivAlg-eqn-1-number-of* [*simp*] =
negDivAlg-eqn [*of concl: 1 number-of w, standard*]

33.4.9 Monotonicity in the First Argument (Dividend)

lemma *zdiv-mono1*: [| *a* ≤ *a'*; 0 < (*b::int*) |] ==> *a div b* ≤ *a' div b*
apply (*cut-tac a = a and b = b in zmod-zdiv-equality*)
apply (*cut-tac a = a' and b = b in zmod-zdiv-equality*)
apply (*rule unique-quotient-lemma*)
apply (*erule subst*)

```

apply (erule subst, simp-all)
done

```

```

lemma zdiv-mono1-neg: [| a ≤ a'; (b::int) < 0 |] ==> a' div b ≤ a div b
apply (cut-tac a = a and b = b in zmod-zdiv-equality)
apply (cut-tac a = a' and b = b in zmod-zdiv-equality)
apply (rule unique-quotient-lemma-neg)
apply (erule subst)
apply (erule subst, simp-all)
done

```

33.4.10 Monotonicity in the Second Argument (Divisor)

```

lemma q-pos-lemma:
  [| 0 ≤ b'*q' + r'; r' < b'; 0 < b' |] ==> 0 ≤ (q'::int)
apply (subgoal-tac 0 < b'* (q' + 1) )
  apply (simp add: zero-less-mult-iff)
apply (simp add: right-distrib)
done

```

```

lemma zdiv-mono2-lemma:
  [| b*q + r = b'*q' + r'; 0 ≤ b'*q' + r';
    r' < b'; 0 ≤ r; 0 < b'; b' ≤ b |]
  ==> q ≤ (q'::int)
apply (frule q-pos-lemma, assumption+)
apply (subgoal-tac b*q < b* (q' + 1) )
  apply (simp add: mult-less-cancel-left)
apply (subgoal-tac b*q = r' - r + b'*q')
  prefer 2 apply simp
apply (simp (no-asm-simp) add: right-distrib)
apply (subst add-commute, rule zadd-zless-mono, arith)
apply (rule mult-right-mono, auto)
done

```

```

lemma zdiv-mono2:
  [| (0::int) ≤ a; 0 < b'; b' ≤ b |] ==> a div b ≤ a div b'
apply (subgoal-tac b ≠ 0)
  prefer 2 apply arith
apply (cut-tac a = a and b = b in zmod-zdiv-equality)
apply (cut-tac a = a and b = b' in zmod-zdiv-equality)
apply (rule zdiv-mono2-lemma)
apply (erule subst)
apply (erule subst, simp-all)
done

```

```

lemma q-neg-lemma:
  [| b'*q' + r' < 0; 0 ≤ r'; 0 < b' |] ==> q' ≤ (0::int)
apply (subgoal-tac b'*q' < 0)
  apply (simp add: mult-less-0-iff, arith)

```

done

lemma *zdiv-mono2-neg-lemma*:

$[[\ b*q + r = b'*q' + r';\ b'*q' + r' < 0;$
 $\quad r < b;\ 0 \leq r';\ 0 < b';\ b' \leq b\]]$
 $\implies q' \leq (q::int)$

apply (*frule* *q-neg-lemma*, *assumption+*)

apply (*subgoal-tac* $b*q' < b*(q + 1)$)

apply (*simp* *add*: *mult-less-cancel-left*)

apply (*simp* *add*: *right-distrib*)

apply (*subgoal-tac* $b*q' \leq b'*q'$)

prefer 2 **apply** (*simp* *add*: *mult-right-mono-neg*, *arith*)

done

lemma *zdiv-mono2-neg*:

$[[\ a < (0::int);\ 0 < b';\ b' \leq b\]] \implies a \text{ div } b' \leq a \text{ div } b$

apply (*cut-tac* $a = a$ **and** $b = b'$ **in** *zmod-zdiv-equality*)

apply (*cut-tac* $a = a$ **and** $b = b'$ **in** *zmod-zdiv-equality*)

apply (*rule* *zdiv-mono2-neg-lemma*)

apply (*erule* *subst*)

apply (*erule* *subst*, *simp-all*)

done

33.4.11 More Algebraic Laws for div and mod

proving $(a*b) \text{ div } c = a * (b \text{ div } c) + a * (b \text{ mod } c)$

lemma *zmult1-lemma*:

$[[\ \text{divmod-int-rel } b\ c\ (q, r);\ c \neq 0\]]$
 $\implies \text{divmod-int-rel } (a * b)\ c\ (a*q + a*r \text{ div } c, a*r \text{ mod } c)$

by (*auto* *simp* *add*: *split-ifs* *divmod-int-rel-def* *linorder-neg-iff* *right-distrib* *mult-ac*)

lemma *zdiv-zmult1-eq*: $(a*b) \text{ div } c = a*(b \text{ div } c) + a*(b \text{ mod } c) \text{ div } (c::int)$

apply (*case-tac* $c = 0$, *simp*)

apply (*blast* *intro*: *divmod-int-rel-div-mod* [*THEN* *zmult1-lemma*, *THEN* *divmod-int-rel-div*])

done

lemma *zmod-zmult1-eq*: $(a*b) \text{ mod } c = a*(b \text{ mod } c) \text{ mod } (c::int)$

apply (*case-tac* $c = 0$, *simp*)

apply (*blast* *intro*: *divmod-int-rel-div-mod* [*THEN* *zmult1-lemma*, *THEN* *divmod-int-rel-mod*])

done

lemma *zmod-zdiv-trivial*: $(a \text{ mod } b) \text{ div } b = (0::int)$

apply (*case-tac* $b = 0$, *simp*)

apply (*auto* *simp* *add*: *linorder-neg-iff* *div-pos-pos-trivial* *div-neg-neg-trivial*)

done

proving $(a+b) \text{ div } c = a \text{ div } c + b \text{ div } c + ((a \text{ mod } c + b \text{ mod } c) \text{ div } c)$

lemma *zadd1-lemma*:

$[[\ \text{divmod-int-rel } a\ c\ (aq, ar);\ \text{divmod-int-rel } b\ c\ (bq, br);\ c \neq 0\]]$

$\Rightarrow \text{divmod-int-rel } (a+b) \ c \ (aq + bq + (ar+br) \text{ div } c, (ar+br) \text{ mod } c)$
by (*force simp add: split-ifs divmod-int-rel-def linorder-neq-iff right-distrib*)

lemma *zdiv-zadd1-eq*:

$(a+b) \text{ div } (c::\text{int}) = a \text{ div } c + b \text{ div } c + ((a \text{ mod } c + b \text{ mod } c) \text{ div } c)$

apply (*case-tac c = 0, simp*)

apply (*blast intro: zadd1-lemma [OF divmod-int-rel-div-mod divmod-int-rel-div-mod] divmod-int-rel-div*)

done

instance *int :: ring-div*

proof

fix *a b c :: int*

assume *not0: b ≠ 0*

show $(a + c * b) \text{ div } b = c + a \text{ div } b$

unfolding *zdiv-zadd1-eq [of a c * b] using not0*

by (*simp add: zmod-zmult1-eq zmod-zdiv-trivial zdiv-zmult1-eq*)

next

fix *a b c :: int*

assume *a ≠ 0*

then show $(a * b) \text{ div } (a * c) = b \text{ div } c$

proof (*cases b ≠ 0 ∧ c ≠ 0*)

case False then show ?thesis by auto

next

case True then have *b ≠ 0 and c ≠ 0 by auto*

with *⟨a ≠ 0⟩*

have $\bigwedge q \ r. \text{divmod-int-rel } b \ c \ (q, r) \Rightarrow \text{divmod-int-rel } (a * b) \ (a * c) \ (q, a * r)$

r)

apply (*auto simp add: divmod-int-rel-def*)

apply (*auto simp add: algebra-simps*)

apply (*auto simp add: zero-less-mult-iff zero-le-mult-iff mult-le-0-iff mult-commute [of a] mult-less-cancel-right*)

done

moreover with *⟨c ≠ 0⟩ divmod-int-rel-div-mod* **have** *divmod-int-rel b c (b div c, b mod c) by auto*

ultimately have $\text{divmod-int-rel } (a * b) \ (a * c) \ (b \text{ div } c, a * (b \text{ mod } c))$.

moreover from *⟨a ≠ 0⟩ ⟨c ≠ 0⟩* **have** *a * c ≠ 0 by simp*

ultimately show *?thesis by (rule divmod-int-rel-div)*

qed

qed *auto*

lemma *posDivAlg-div-mod*:

assumes $k \geq 0$

and $l \geq 0$

shows $\text{posDivAlg } k \ l = (k \text{ div } l, k \text{ mod } l)$

proof (*cases l = 0*)

case True then show *?thesis by (simp add: posDivAlg.simps)*

next

```

case False with assms posDivAlg-correct
  have divmod-int-rel k l (fst (posDivAlg k l), snd (posDivAlg k l))
  by simp
  from divmod-int-rel-div [OF this  $\langle l \neq 0 \rangle$ ] divmod-int-rel-mod [OF this  $\langle l \neq 0 \rangle$ ]
  show ?thesis by simp
qed

```

```

lemma negDivAlg-div-mod:
  assumes  $k < 0$ 
  and  $l > 0$ 
  shows negDivAlg k l = (k div l, k mod l)
proof –
  from assms have  $l \neq 0$  by simp
  from assms negDivAlg-correct
    have divmod-int-rel k l (fst (negDivAlg k l), snd (negDivAlg k l))
    by simp
  from divmod-int-rel-div [OF this  $\langle l \neq 0 \rangle$ ] divmod-int-rel-mod [OF this  $\langle l \neq 0 \rangle$ ]
  show ?thesis by simp
qed

```

```

lemma zmod-eq-0-iff: ( $m \bmod d = 0$ ) = ( $\exists q::\text{int}. m = d * q$ )
by (simp add: dvd-eq-mod-eq-0 [symmetric] dvd-def)

```

```

lemmas zmod-eq-0D [dest!] = zmod-eq-0-iff [THEN iffD1]

```

33.4.12 Proving $a \text{ div } (b * c) = a \text{ div } b \text{ div } c$

first, four lemmas to bound the remainder for the cases $b \nmid 0$ and $b \mid 0$

```

lemma zmult2-lemma-aux1: [ $(0::\text{int}) < c; \ b < r; \ r \leq 0$ ] ==>  $b * c < b * (q \bmod c) + r$ 
apply (subgoal-tac  $b * (c - q \bmod c) < r * 1$ )
apply (simp add: algebra-simps)
apply (rule order-le-less-trans)
apply (erule-tac [2] mult-strict-right-mono)
apply (rule mult-left-mono-neg)
using add1-zle-eq[of  $q \bmod c$ ]apply(simp add: algebra-simps)
apply (simp)
apply (simp)
done

```

```

lemma zmult2-lemma-aux2:
  [ $(0::\text{int}) < c; \ b < r; \ r \leq 0$ ] ==>  $b * (q \bmod c) + r \leq 0$ 
apply (subgoal-tac  $b * (q \bmod c) \leq 0$ )
apply arith
apply (simp add: mult-le-0-iff)
done

```

```

lemma zmult2-lemma-aux3: [ $(0::\text{int}) < c; \ 0 \leq r; \ r < b$ ] ==>  $0 \leq b * (q$ 

```

```

mod c) + r
apply (subgoal-tac  $0 \leq b * (q \text{ mod } c)$  )
apply arith
apply (simp add: zero-le-mult-iff)
done

```

```

lemma zmult2-lemma-aux4: [ $(0::\text{int}) < c; 0 \leq r; r < b$ ]  $\implies b * (q \text{ mod } c)$ 
+  $r < b * c$ 
apply (subgoal-tac  $r * 1 < b * (c - q \text{ mod } c)$  )
apply (simp add: right-diff-distrib)
apply (rule order-less-le-trans)
apply (erule mult-strict-right-mono)
apply (rule-tac [2] mult-left-mono)
apply simp
using add1-zle-eq[of  $q \text{ mod } c$ ] apply (simp add: algebra-simps)
apply simp
done

```

```

lemma zmult2-lemma: [ $\text{divmod-int-rel } a \ b \ (q, r); b \neq 0; 0 < c$ ]
 $\implies \text{divmod-int-rel } a \ (b * c) \ (q \text{ div } c, b*(q \text{ mod } c) + r)$ 
by (auto simp add: mult-ac divmod-int-rel-def linorder-neq-iff
zero-less-mult-iff right-distrib [symmetric]
zmult2-lemma-aux1 zmult2-lemma-aux2 zmult2-lemma-aux3
zmult2-lemma-aux4)

```

```

lemma zdiv-zmult2-eq:  $(0::\text{int}) < c \implies a \text{ div } (b*c) = (a \text{ div } b) \text{ div } c$ 
apply (case-tac  $b = 0$ , simp)
apply (force simp add: divmod-int-rel-div-mod [THEN zmult2-lemma, THEN divmod-int-rel-div])
done

```

```

lemma zmod-zmult2-eq:
 $(0::\text{int}) < c \implies a \text{ mod } (b*c) = b*(a \text{ div } b \text{ mod } c) + a \text{ mod } b$ 
apply (case-tac  $b = 0$ , simp)
apply (force simp add: divmod-int-rel-div-mod [THEN zmult2-lemma, THEN divmod-int-rel-mod])
done

```

33.4.13 Splitting Rules for div and mod

The proofs of the two lemmas below are essentially identical

```

lemma split-pos-lemma:
 $0 < k \implies$ 
 $P(n \text{ div } k :: \text{int})(n \text{ mod } k) = (\forall i \ j. 0 \leq j \ \& \ j < k \ \& \ n = k*i + j \longrightarrow P \ i \ j)$ 
apply (rule iffI, clarify)
apply (erule-tac  $P = P \ ?x \ ?y$  in rev-mp)
apply (subst mod-add-eq)
apply (subst zdiv-zadd1-eq)
apply (simp add: div-pos-pos-trivial mod-pos-pos-trivial)

```

converse direction

```

apply (drule-tac  $x = n \text{ div } k$  in spec)
apply (drule-tac  $x = n \text{ mod } k$  in spec, simp)
done

```

lemma *split-neg-lemma*:

```

 $k < 0 ==>$ 
 $P(n \text{ div } k :: \text{int})(n \text{ mod } k) = (\forall i j. k < j \ \& \ j \leq 0 \ \& \ n = k*i + j \longrightarrow P \ i \ j)$ 
apply (rule iffI, clarify)
apply (erule-tac  $P=P \ ?x \ ?y$  in rev-mp)
apply (subst mod-add-eq)
apply (subst zdiv-zadd1-eq)
apply (simp add: div-neg-neg-trivial mod-neg-neg-trivial)

```

converse direction

```

apply (drule-tac  $x = n \text{ div } k$  in spec)
apply (drule-tac  $x = n \text{ mod } k$  in spec, simp)
done

```

lemma *split-zdiv*:

```

 $P(n \text{ div } k :: \text{int}) =$ 
 $((k = 0 \longrightarrow P \ 0) \ \&$ 
 $(0 < k \longrightarrow (\forall i j. 0 \leq j \ \& \ j < k \ \& \ n = k*i + j \longrightarrow P \ i)) \ \&$ 
 $(k < 0 \longrightarrow (\forall i j. k < j \ \& \ j \leq 0 \ \& \ n = k*i + j \longrightarrow P \ i)))$ 
apply (case-tac  $k=0$ , simp)
apply (simp only: linorder-neq-iff)
apply (erule disjE)
apply (simp-all add: split-pos-lemma [of concl:  $\%x \ y. P \ x$ ]
 $\text{split-neg-lemma [of concl:  $\%x \ y. P \ x$ ]$ )
done

```

lemma *split-zmod*:

```

 $P(n \text{ mod } k :: \text{int}) =$ 
 $((k = 0 \longrightarrow P \ n) \ \&$ 
 $(0 < k \longrightarrow (\forall i j. 0 \leq j \ \& \ j < k \ \& \ n = k*i + j \longrightarrow P \ j)) \ \&$ 
 $(k < 0 \longrightarrow (\forall i j. k < j \ \& \ j \leq 0 \ \& \ n = k*i + j \longrightarrow P \ j)))$ 
apply (case-tac  $k=0$ , simp)
apply (simp only: linorder-neq-iff)
apply (erule disjE)
apply (simp-all add: split-pos-lemma [of concl:  $\%x \ y. P \ y$ ]
 $\text{split-neg-lemma [of concl:  $\%x \ y. P \ y$ ]$ )
done

```

Enable (lin)arith to deal with *op div* and *op mod* when these are applied to some constant that is of the form *number-of k*:

```

declare split-zdiv [of - - number-of k, standard, arith-split]
declare split-zmod [of - - number-of k, standard, arith-split]

```

33.4.14 Speeding up the Division Algorithm with Shifting

computing div by shifting

lemma *pos-zdiv-mult-2*: $(0::int) \leq a \implies (1 + 2*b) \text{ div } (2*a) = b \text{ div } a$

proof *cases*

assume $a=0$

thus *?thesis* **by** *simp*

next

assume $a \neq 0$ **and** $le\text{-}a: 0 \leq a$

hence $a\text{-pos}: 1 \leq a$ **by** *arith*

hence $one\text{-less}\text{-}a2: 1 < 2 * a$ **by** *arith*

hence $le\text{-}2a: 2 * (1 + b \text{ mod } a) \leq 2 * a$

unfolding *mult-le-cancel-left*

by (*simp add: add1-zle-eq add-commute [of 1]*)

with $a\text{-pos}$ **have** $0 \leq b \text{ mod } a$ **by** *simp*

hence $le\text{-}addm: 0 \leq 1 \text{ mod } (2*a) + 2*(b \text{ mod } a)$

by (*simp add: mod-pos-pos-trivial one-less-a2*)

with $le\text{-}2a$

have $(1 \text{ mod } (2*a) + 2*(b \text{ mod } a)) \text{ div } (2*a) = 0$

by (*simp add: div-pos-pos-trivial le-addm mod-pos-pos-trivial one-less-a2*
 right-distrib)

thus *?thesis*

by (*subst zdiv-zadd1-eq,*

simp add: mod-mult-mult1 one-less-a2

div-pos-pos-trivial)

qed

lemma *neg-zdiv-mult-2*:

assumes $A: a \leq (0::int)$ **shows** $(1 + 2*b) \text{ div } (2*a) = (b+1) \text{ div } a$

proof *–*

have $R: 1 + -(2 * (b + 1)) = -(1 + 2 * b)$ **by** *simp*

have $(1 + 2 * (-b - 1)) \text{ div } (2 * (-a)) = (-b - 1) \text{ div } (-a)$

by (*rule pos-zdiv-mult-2, simp add: A*)

thus *?thesis*

by (*simp only: R zdiv-zminus-zminus diff-minus*

minus-add-distrib [symmetric] mult-minus-right)

qed

lemma *zdiv-number-of-Bit0* [*simp*]:

$\text{number-of } (Int.Bit0\ v) \text{ div } \text{number-of } (Int.Bit0\ w) =$

$\text{number-of } v \text{ div } (\text{number-of } w :: int)$

by (*simp only: number-of-eq numeral-simps (simp add: mult-2 [symmetric])*)

lemma *zdiv-number-of-Bit1* [*simp*]:

$\text{number-of } (Int.Bit1\ v) \text{ div } \text{number-of } (Int.Bit0\ w) =$

(if $(0::int) \leq \text{number-of } w$

then $\text{number-of } v \text{ div } (\text{number-of } w)$

else $(\text{number-of } v + (1::int)) \text{ div } (\text{number-of } w))$

apply (*simp only: number-of-eq numeral-simps UNIV-I split: split-if*)

apply (*simp add: pos-zdiv-mult-2 neg-zdiv-mult-2 add-ac mult-2 [symmetric]*)
done

33.4.15 Computing mod by Shifting (proofs resemble those for div)

lemma *pos-zmod-mult-2*:
 fixes $a\ b :: \text{int}$
 assumes $0 \leq a$
 shows $(1 + 2 * b) \bmod (2 * a) = 1 + 2 * (b \bmod a)$
proof (*cases* $0 < a$)
 case *False* with *assms* **show** *?thesis* **by** *simp*
next
 case *True*
 then have $b \bmod a < a$ **by** (*rule pos-mod-bound*)
 then have $1 + b \bmod a \leq a$ **by** *simp*
 then have $A: 2 * (1 + b \bmod a) \leq 2 * a$ **by** *simp*
 from $\langle 0 < a \rangle$ have $0 \leq b \bmod a$ **by** (*rule pos-mod-sign*)
 then have $B: 0 \leq 1 + 2 * (b \bmod a)$ **by** *simp*
 have $((1::\text{int}) \bmod ((2::\text{int}) * a) + (2::\text{int}) * b \bmod ((2::\text{int}) * a)) \bmod ((2::\text{int}) * a) = (1::\text{int}) + (2::\text{int}) * (b \bmod a)$
 using $\langle 0 < a \rangle$ and A
 by (*auto simp add: mod-mult-mult1 mod-pos-pos-trivial ring-distrib intro! mod-pos-pos-trivial B*)
 then **show** *?thesis* **by** (*subst mod-add-eq*)
qed

lemma *neg-zmod-mult-2*:
 fixes $a\ b :: \text{int}$
 assumes $a \leq 0$
 shows $(1 + 2 * b) \bmod (2 * a) = 2 * ((b + 1) \bmod a) - 1$
proof –
 from *assms* have $0 \leq -a$ **by** *auto*
 then have $(1 + 2 * (-b - 1)) \bmod (2 * (-a)) = 1 + 2 * ((-b - 1) \bmod (-a))$
 by (*rule pos-zmod-mult-2*)
 then **show** *?thesis* **by** (*simp add: zmod-zminus2 algebra-simps*)
 (*simp add: diff-minus add-ac*)
qed

lemma *zmod-number-of-Bit0* [*simp*]:
 $\text{number-of } (\text{Int.Bit0 } v) \bmod \text{number-of } (\text{Int.Bit0 } w) =$
 $(2::\text{int}) * (\text{number-of } v \bmod \text{number-of } w)$
apply (*simp only: number-of-eq numeral-simps*)
apply (*simp add: mod-mult-mult1 pos-zmod-mult-2*
neg-zmod-mult-2 add-ac mult-2 [symmetric])
done

lemma *zmod-number-of-Bit1* [*simp*]:

```

    number-of (Int.Bit1 v) mod number-of (Int.Bit0 w) =
      (if (0::int) ≤ number-of w
        then 2 * (number-of v mod number-of w) + 1
        else 2 * ((number-of v + (1::int)) mod number-of w) - 1)
  apply (simp only: number-of-eq numeral-simps)
  apply (simp add: mod-mult-mult1 pos-zmod-mult-2
    neg-zmod-mult-2 add-ac mult-2 [symmetric])
done

```

33.4.16 Quotients of Signs

```

lemma div-neg-pos-less0: [| a < (0::int); 0 < b |] ==> a div b < 0
  apply (subgoal-tac a div b ≤ -1, force)
  apply (rule order-trans)
  apply (rule-tac a' = -1 in zdiv-mono1)
  apply (auto simp add: div-eq-minus1)
done

```

```

lemma div-nonneg-neg-le0: [| (0::int) ≤ a; b < 0 |] ==> a div b ≤ 0
  by (drule zdiv-mono1-neg, auto)

```

```

lemma div-nonpos-pos-le0: [| (a::int) ≤ 0; b > 0 |] ==> a div b ≤ 0
  by (drule zdiv-mono1, auto)

```

Now for some equivalences of the form $a \text{ div } b \geq 0 \iff \dots$ conditional upon the sign of a or b . There are many more. They should all be simp rules unless that causes too much search.

```

lemma pos-imp-zdiv-nonneg-iff: (0::int) < b ==> (0 ≤ a div b) = (0 ≤ a)
  apply auto
  apply (drule-tac [2] zdiv-mono1)
  apply (auto simp add: linorder-neg-iff)
  apply (simp (no-asm-use) add: linorder-not-less [symmetric])
  apply (blast intro: div-neg-pos-less0)
done

```

```

lemma neg-imp-zdiv-nonneg-iff:
  b < (0::int) ==> (0 ≤ a div b) = (a ≤ (0::int))
  apply (subst zdiv-zminus-zminus [symmetric])
  apply (subst pos-imp-zdiv-nonneg-iff, auto)
done

```

```

lemma pos-imp-zdiv-neg-iff: (0::int) < b ==> (a div b < 0) = (a < 0)
  by (simp add: linorder-not-le [symmetric] pos-imp-zdiv-nonneg-iff)

```

```

lemma neg-imp-zdiv-neg-iff: b < (0::int) ==> (a div b < 0) = (0 < a)
  by (simp add: linorder-not-le [symmetric] neg-imp-zdiv-nonneg-iff)

```

```

lemma nonneg1-imp-zdiv-pos-iff:
  (0::int) <= a ==> (a div b > 0) = (a >= b & b>0)
apply rule
apply rule
  using div-pos-pos-trivial[of a b]apply arith
apply(cases b=0)apply simp
  using div-nonneg-neg-le0[of a b]apply arith
using int-one-le-iff-zero-less[of a div b] zdiv-mono1[of b a b]apply simp
done

```

33.4.17 The Divides Relation

```

lemmas zdvd-iff-zmod-eq-0-number-of [simp] =
  dvd-eq-mod-eq-0 [of number-of x::int number-of y::int, standard]

```

```

lemma zdvd-zmod: f dvd m ==> f dvd (n::int) ==> f dvd m mod n
  by (rule dvd-mod)

```

```

lemma zdvd-zmod-imp-zdvd: k dvd m mod n ==> k dvd n ==> k dvd (m::int)
  by (rule dvd-mod-imp-dvd)

```

```

lemma zmult-div-cancel: (n::int) * (m div n) = m - (m mod n)
  using zmod-zdiv-equality[where a=m and b=n]
  by (simp add: algebra-simps)

```

```

lemma zpower-zmod: ((x::int) mod m) ^ y mod m = x ^ y mod m
apply (induct y, auto)
apply (rule zmod-zmult1-eq [THEN trans])
apply (simp (no-asm-simp))
apply (rule mod-mult-eq [symmetric])
done

```

```

lemma zdiv-int: int (a div b) = (int a) div (int b)
apply (subst split-div, auto)
apply (subst split-zdiv, auto)
apply (rule-tac a=int (b * i) + int j and b=int b and r=int j and r'=ja in
  unique-quotient)
apply (auto simp add: divmod-int-rel-def of-nat-mult)
done

```

```

lemma zmod-int: int (a mod b) = (int a) mod (int b)
apply (subst split-mod, auto)
apply (subst split-zmod, auto)
apply (rule-tac a=int (b * i) + int j and b=int b and q=int i and q'=ia
  in unique-remainder)
apply (auto simp add: divmod-int-rel-def of-nat-mult)
done

```

```

lemma abs-div: (y::int) dvd x ==> abs (x div y) = abs x div abs y

```

by (unfold dvd-def, cases y=0, auto simp add: abs-mult)

lemma zdvd-mult-div-cancel: $(n::int) \text{ dvd } m \implies n * (m \text{ div } n) = m$
apply (subgoal-tac $m \text{ mod } n = 0$)
apply (simp add: zmult-div-cancel)
apply (simp only: dvd-eq-mod-eq-0)
done

Suggested by Matthias Daum

lemma int-power-div-base:
 $\llbracket 0 < m; 0 < k \rrbracket \implies k ^ m \text{ div } k = (k::int) ^ (m - \text{Suc } 0)$
apply (subgoal-tac $k ^ m = k ^ ((m - \text{Suc } 0) + \text{Suc } 0)$)
apply (erule ssubst)
apply (simp only: power-add)
apply simp-all
done

by Brian Huffman

lemma zminus-zmod: $-(x::int) \text{ mod } m \text{ mod } m = -x \text{ mod } m$
by (rule mod-minus-eq [symmetric])

lemma zdiff-zmod-left: $(x \text{ mod } m - y) \text{ mod } m = (x - y) \text{ mod } (m::int)$
by (rule mod-diff-left-eq [symmetric])

lemma zdiff-zmod-right: $(x - y \text{ mod } m) \text{ mod } m = (x - y) \text{ mod } (m::int)$
by (rule mod-diff-right-eq [symmetric])

lemmas zmod-simps =
 mod-add-left-eq [symmetric]
 mod-add-right-eq [symmetric]
 zmod-zmult1-eq [symmetric]
 mod-mult-left-eq [symmetric]
 zpower-zmod
 zminus-zmod zdiff-zmod-left zdiff-zmod-right

Distributive laws for function *nat*.

lemma nat-div-distrib: $0 \leq x \implies \text{nat } (x \text{ div } y) = \text{nat } x \text{ div } \text{nat } y$
apply (rule linorder-cases [of y 0])
apply (simp add: div-nonneg-neg-le0)
apply simp
apply (simp add: nat-eq-iff pos-imp-zdiv-nonneg-iff zdiv-int)
done

lemma nat-mod-distrib:
 $\llbracket 0 \leq x; 0 \leq y \rrbracket \implies \text{nat } (x \text{ mod } y) = \text{nat } x \text{ mod } \text{nat } y$
apply (case-tac y = 0, simp)
apply (simp add: nat-eq-iff zmod-int)
done

transfer setup

lemma *transfer-nat-int-functions:*

$(x::int) \geq 0 \implies y \geq 0 \implies (nat\ x) \div (nat\ y) = nat\ (x \div y)$
 $(x::int) \geq 0 \implies y \geq 0 \implies (nat\ x) \bmod (nat\ y) = nat\ (x \bmod y)$
by (*auto simp add: nat-div-distrib nat-mod-distrib*)

lemma *transfer-nat-int-function-closures:*

$(x::int) \geq 0 \implies y \geq 0 \implies x \div y \geq 0$
 $(x::int) \geq 0 \implies y \geq 0 \implies x \bmod y \geq 0$
apply (*cases y = 0*)
apply (*auto simp add: pos-imp-zdiv-nonneg-iff*)
apply (*cases y = 0*)
apply *auto*

done

declare *transfer-morphism-nat-int* [*transfer add return:*

transfer-nat-int-functions
transfer-nat-int-function-closures

]

lemma *transfer-int-nat-functions:*

$(int\ x) \div (int\ y) = int\ (x \div y)$
 $(int\ x) \bmod (int\ y) = int\ (x \bmod y)$
by (*auto simp add: zdiv-int zmod-int*)

lemma *transfer-int-nat-function-closures:*

$is_nat\ x \implies is_nat\ y \implies is_nat\ (x \div y)$
 $is_nat\ x \implies is_nat\ y \implies is_nat\ (x \bmod y)$
by (*simp-all only: is-nat-def transfer-nat-int-function-closures*)

declare *transfer-morphism-int-nat* [*transfer add return:*

transfer-int-nat-functions
transfer-int-nat-function-closures

]

Suggested by Matthias Daum

lemma *int-div-less-self:* $\llbracket 0 < x; 1 < k \rrbracket \implies x \div k < (x::int)$

apply (*subgoal-tac nat x div nat k < nat x*)
apply (*simp add: nat-div-distrib [symmetric]*)
apply (*rule Divides.div-less-dividend, simp-all*)
done

lemma *zmod-eq-dvd-iff:* $(x::int) \bmod n = y \bmod n \iff n \text{ dvd } x - y$

proof

assume $H: x \bmod n = y \bmod n$
hence $x \bmod n - y \bmod n = 0$ **by** *simp*
hence $(x \bmod n - y \bmod n) \bmod n = 0$ **by** *simp*
hence $(x - y) \bmod n = 0$ **by** (*simp add: mod-diff-eq[symmetric]*)
thus $n \text{ dvd } x - y$ **by** (*simp add: dvd-eq-mod-eq-0*)

next

assume $H: n \text{ dvd } x - y$
 then obtain k **where** $k: x - y = n * k$ **unfolding** dvd-def **by** blast
 hence $x = n * k + y$ **by** simp
 hence $x \text{ mod } n = (n * k + y) \text{ mod } n$ **by** simp
 thus $x \text{ mod } n = y \text{ mod } n$ **by** $(\text{simp add: mod-add-left-eq})$
qed

lemma nat-mod-eq-lemma : **assumes** $xyn: (x::\text{nat}) \text{ mod } n = y \text{ mod } n$ **and** $xy:y \leq x$
shows $\exists q. x = y + n * q$
proof –
 from xy **have** $th: \text{int } x - \text{int } y = \text{int } (x - y)$ **by** simp
 from xyn **have** $\text{int } x \text{ mod } \text{int } n = \text{int } y \text{ mod } \text{int } n$
 by $(\text{simp add: zmod-int[symmetric]})$
 hence $\text{int } n \text{ dvd } \text{int } x - \text{int } y$ **by** $(\text{simp only: zmod-eq-dvd-iff[symmetric]})$
 hence $n \text{ dvd } x - y$ **by** $(\text{simp add: th zdvd-int})$
 then show $?thesis$ **using** xy **unfolding** dvd-def **apply** clarsimp **apply** $(\text{rule-tac } x=k \text{ in } exI)$ **by** arith
qed

lemma nat-mod-eq-iff : $(x::\text{nat}) \text{ mod } n = y \text{ mod } n \longleftrightarrow (\exists q1 \ q2. x + n * q1 = y + n * q2)$
(is $?lhs = ?rhs$ **)**
proof
 assume $H: x \text{ mod } n = y \text{ mod } n$
 {assume $xy: x \leq y$
 from H **have** $th: y \text{ mod } n = x \text{ mod } n$ **by** simp
 from $\text{nat-mod-eq-lemma}[OF \ th \ xy]$ **have** $?rhs$
 apply clarify **apply** $(\text{rule-tac } x=q \text{ in } exI)$ **by** $(\text{rule } exI[\text{where } x=0], \text{ simp})$
 moreover
 {assume $xy: y \leq x$
 from $\text{nat-mod-eq-lemma}[OF \ H \ xy]$ **have** $?rhs$
 apply clarify **apply** $(\text{rule-tac } x=0 \text{ in } exI)$ **by** $(\text{rule-tac } x=q \text{ in } exI, \text{ simp})$
 ultimately show $?rhs$ **using** $\text{linear}[of \ x \ y]$ **by** blast
next
 assume $?rhs$ **then obtain** $q1 \ q2$ **where** $q12: x + n * q1 = y + n * q2$ **by** blast
 hence $(x + n * q1) \text{ mod } n = (y + n * q2) \text{ mod } n$ **by** simp
 thus $?lhs$ **by** simp
qed

lemma div-nat-number-of $[simp]$:
 $(\text{number-of } v :: \text{nat}) \text{ div } \text{number-of } v' =$
 $(\text{if } \text{neg } (\text{number-of } v :: \text{int}) \text{ then } 0$
 $\text{else } \text{nat } (\text{number-of } v \text{ div } \text{number-of } v'))$
 unfolding nat-number-of-def number-of-is-id neg-def
 by $(\text{simp add: nat-div-distrib})$

lemma $\text{one-div-nat-number-of}$ $[simp]$:

$Suc\ 0\ div\ number-of\ v' = nat\ (1\ div\ number-of\ v')$
by (*simp* del: nat-numeral-1-eq-1 add: numeral-1-eq-Suc-0 [symmetric])

lemma *mod-nat-number-of* [*simp*]:
 $(number-of\ v :: nat)\ mod\ number-of\ v' =$
 $(if\ neg\ (number-of\ v :: int)\ then\ 0$
 $\quad else\ if\ neg\ (number-of\ v' :: int)\ then\ number-of\ v$
 $\quad else\ nat\ (number-of\ v\ mod\ number-of\ v'))$
unfolding *nat-number-of-def number-of-is-id neg-def*
by (*simp* add: nat-mod-distrib)

lemma *one-mod-nat-number-of* [*simp*]:
 $Suc\ 0\ mod\ number-of\ v' =$
 $(if\ neg\ (number-of\ v' :: int)\ then\ Suc\ 0$
 $\quad else\ nat\ (1\ mod\ number-of\ v'))$
by (*simp* del: nat-numeral-1-eq-1 add: numeral-1-eq-Suc-0 [symmetric])

lemmas *dvd-eq-mod-eq-0-number-of* =
 $dvd-eq-mod-eq-0\ [of\ number-of\ x\ number-of\ y,\ standard]$

declare *dvd-eq-mod-eq-0-number-of* [*simp*]

33.4.18 Nitpick

lemma *zmod-zdiv-equality'*:
 $(m :: int)\ mod\ n = m - (m\ div\ n) * n$
by (*rule-tac* $P = \%x.\ m\ mod\ n = x - (m\ div\ n) * n$
in *subst* [*OF* *mod-div-equality* [*of* - *n*]])
arith

lemmas [*nitpick-def*] = *dvd-eq-mod-eq-0* [*THEN* *eq-reflection*]
 $mod-div-equality'\ [THEN\ eq-reflection]$
 $zmod-zdiv-equality'\ [THEN\ eq-reflection]$

33.4.19 Code generation

definition *pdivmod* :: $int \Rightarrow int \Rightarrow int \times int$ **where**
 $pdivmod\ k\ l = (|k|\ div\ |l|,\ |k|\ mod\ |l|)$

lemma *pdivmod-posDivAlg* [*code*]:
 $pdivmod\ k\ l = (if\ l = 0\ then\ (0,\ |k|)\ else\ posDivAlg\ |k|\ |l|)$
by (*subst* *posDivAlg-div-mod*) (*simp-all* add: *pdivmod-def*)

lemma *divmod-int-pdivmod*: $divmod-int\ k\ l = (if\ k = 0\ then\ (0,\ 0)\ else\ if\ l = 0$
 $then\ (0,\ k)\ else$
 $\quad apsnd\ ((op\ *)\ (sgn\ l))\ (if\ 0 < l \wedge 0 \leq k \vee l < 0 \wedge k < 0$
 $\quad then\ pdivmod\ k\ l$
 $\quad else\ (let\ (r,\ s) = pdivmod\ k\ l\ in$
 $\quad if\ s = 0\ then\ (-\ r,\ 0)\ else\ (-\ r - 1,\ |l| - s))))$

proof –

```

have aux:  $\bigwedge q::\text{int}. -k = l * q \longleftrightarrow k = l * -q$  by auto
show ?thesis
  by (simp add: divmod-int-mod-div pdivmod-def)
    (auto simp add: aux not-less not-le zdiv-zminus1-eq-if
      zmod-zminus1-eq-if zdiv-zminus2-eq-if zmod-zminus2-eq-if)
qed

```

```

lemma divmod-int-code [code]: divmod-int k l = (if k = 0 then (0, 0) else if l =
0 then (0, k) else
  apsnd ((op *) (sgn l)) (if sgn k = sgn l
    then pdivmod k l
    else (let (r, s) = pdivmod k l in
      if s = 0 then (- r, 0) else (- r - 1, |l| - s))))
proof -
  have  $k \neq 0 \implies l \neq 0 \implies 0 < l \wedge 0 \leq k \vee l < 0 \wedge k < 0 \longleftrightarrow \text{sgn } k = \text{sgn } l$ 
  by (auto simp add: not-less sgn-if)
  then show ?thesis by (simp add: divmod-int-pdivmod)
qed

```

```

context ring-1
begin

```

```

lemma of-int-num [code]:
  of-int k = (if k = 0 then 0 else if k < 0 then
    - of-int (- k) else let
      (l, m) = divmod-int k 2;
      l' = of-int l
      in if m = 0 then l' + l' else l' + l' + 1)
proof -
  have aux1:  $k \bmod (2::\text{int}) \neq (0::\text{int}) \implies$ 
    of-int k = of-int (k div 2 * 2 + 1)
  proof -
    have  $k \bmod 2 < 2$  by (auto intro: pos-mod-bound)
    moreover have  $0 \leq k \bmod 2$  by (auto intro: pos-mod-sign)
    moreover assume  $k \bmod 2 \neq 0$ 
    ultimately have  $k \bmod 2 = 1$  by arith
    moreover have of-int k = of-int (k div 2 * 2 + k mod 2) by simp
    ultimately show ?thesis by auto
  qed
  have aux2:  $\bigwedge x. \text{of-int } 2 * x = x + x$ 
  proof -
    fix x
    have int2:  $(2::\text{int}) = 1 + 1$  by arith
    show of-int 2 * x = x + x
    unfolding int2 of-int-add left-distrib by simp
  qed
  have aux3:  $\bigwedge x. x * \text{of-int } 2 = x + x$ 
  proof -
    fix x

```



```

    have int2: (2::int) = 1 + 1 by arith
    show x * of-int 2 = x + x
    unfolding int2 of-int-add right-distrib by simp
  qed
  from aux1 show ?thesis by (auto simp add: divmod-int-mod-div Let-def aux2
aux3)
qed
end

code-modulename SML
  Divides Arith

code-modulename OCaml
  Divides Arith

code-modulename Haskell
  Divides Arith

end

```

34 Code-Numeral: Type of target language numerals

```

theory Code-Numeral
imports Nat-Numeral Nat-Transfer Divides
begin

```

Code numerals are isomorphic to HOL *nat* but mapped to target-language builtin numerals.

34.1 Datatype of target language numerals

```

typedef (open) code-numeral = UNIV :: nat set
morphisms nat-of of-nat by rule

```

```

lemma of-nat-nat-of [simp]:
  of-nat (nat-of k) = k
  by (rule nat-of-inverse)

```

```

lemma nat-of-of-nat [simp]:
  nat-of (of-nat n) = n
  by (rule of-nat-inverse) (rule UNIV-I)

```

```

lemma [measure-function]:
  is-measure nat-of by (rule is-measure-trivial)

```

lemma *code-numeral*:

$(\bigwedge n :: \text{code-numeral}. \text{PROP } P \ n) \equiv (\bigwedge n :: \text{nat}. \text{PROP } P \ (\text{of-nat } n))$

proof

fix $n :: \text{nat}$

assume $\bigwedge n :: \text{code-numeral}. \text{PROP } P \ n$

then show $\text{PROP } P \ (\text{of-nat } n)$.

next

fix $n :: \text{code-numeral}$

assume $\bigwedge n :: \text{nat}. \text{PROP } P \ (\text{of-nat } n)$

then have $\text{PROP } P \ (\text{of-nat } (\text{nat-of } n))$.

then show $\text{PROP } P \ n$ **by** *simp*

qed

lemma *code-numeral-case*:

assumes $\bigwedge n. k = \text{of-nat } n \implies P$

shows P

by (*rule assms [of nat-of k]*) *simp*

lemma *code-numeral-induct-raw*:

assumes $\bigwedge n. P \ (\text{of-nat } n)$

shows $P \ k$

proof –

from *assms* **have** $P \ (\text{of-nat } (\text{nat-of } k))$.

then show *?thesis* **by** *simp*

qed

lemma *nat-of-inject* [*simp*]:

$\text{nat-of } k = \text{nat-of } l \longleftrightarrow k = l$

by (*rule nat-of-inject*)

lemma *of-nat-inject* [*simp*]:

$\text{of-nat } n = \text{of-nat } m \longleftrightarrow n = m$

by (*rule of-nat-inject*) (*rule UNIV-I*)+

instantiation *code-numeral* :: *zero*

begin

definition [*simp*, *code del*]:

$0 = \text{of-nat } 0$

instance ..

end

definition [*simp*]:

$\text{Suc-code-numeral } k = \text{of-nat } (\text{Suc } (\text{nat-of } k))$

rep-datatype $0 :: \text{code-numeral}$ *Suc-code-numeral*

proof –

```

fix  $P :: \text{code-numeral} \Rightarrow \text{bool}$ 
fix  $k :: \text{code-numeral}$ 
assume  $P\ 0$  then have  $\text{init}: P\ (\text{of-nat}\ 0)$  by  $\text{simp}$ 
assume  $\bigwedge k. P\ k \implies P\ (\text{Suc-code-numeral}\ k)$ 
  then have  $\bigwedge n. P\ (\text{of-nat}\ n) \implies P\ (\text{Suc-code-numeral}\ (\text{of-nat}\ n))$  .
  then have  $\text{step}: \bigwedge n. P\ (\text{of-nat}\ n) \implies P\ (\text{of-nat}\ (\text{Suc}\ n))$  by  $\text{simp}$ 
from  $\text{init step}$  have  $P\ (\text{of-nat}\ (\text{nat-of}\ k))$ 
  by  $(\text{induct}\ (\text{nat-of}\ k))\ \text{simp-all}$ 
then show  $P\ k$  by  $\text{simp}$ 
qed  $\text{simp-all}$ 

declare  $\text{code-numeral-case}$   $[\text{case-names}\ \text{nat},\ \text{cases}\ \text{type}: \text{code-numeral}]$ 
declare  $\text{code-numeral.induct}$   $[\text{case-names}\ \text{nat},\ \text{induct}\ \text{type}: \text{code-numeral}]$ 

lemma  $\text{code-numeral-decr}$   $[\text{termination-simp}]$ :
   $k \neq \text{of-nat}\ 0 \implies \text{nat-of}\ k - \text{Suc}\ 0 < \text{nat-of}\ k$ 
  by  $(\text{cases}\ k)\ \text{simp}$ 

lemma  $[\text{simp},\ \text{code}]$ :
   $\text{code-numeral-size} = \text{nat-of}$ 
proof  $(\text{rule}\ \text{ext})$ 
  fix  $k$ 
  have  $\text{code-numeral-size}\ k = \text{nat-size}\ (\text{nat-of}\ k)$ 
    by  $(\text{induct}\ k\ \text{rule}: \text{code-numeral.induct})\ (\text{simp-all}\ \text{del}: \text{zero-code-numeral-def}\ \text{Suc-code-numeral-def},\ \text{simp-all})$ 
  also have  $\text{nat-size}\ (\text{nat-of}\ k) = \text{nat-of}\ k$  by  $(\text{induct}\ (\text{nat-of}\ k))\ \text{simp-all}$ 
  finally show  $\text{code-numeral-size}\ k = \text{nat-of}\ k$  .
qed

lemma  $[\text{simp},\ \text{code}]$ :
   $\text{size} = \text{nat-of}$ 
proof  $(\text{rule}\ \text{ext})$ 
  fix  $k$ 
  show  $\text{size}\ k = \text{nat-of}\ k$ 
  by  $(\text{induct}\ k)\ (\text{simp-all}\ \text{del}: \text{zero-code-numeral-def}\ \text{Suc-code-numeral-def},\ \text{simp-all})$ 
qed

lemmas  $[\text{code}\ \text{del}] = \text{code-numeral.recs}\ \text{code-numeral.cases}$ 

lemma  $[\text{code}]$ :
   $\text{eq-class.eq}\ k\ l \longleftrightarrow \text{eq-class.eq}\ (\text{nat-of}\ k)\ (\text{nat-of}\ l)$ 
  by  $(\text{cases}\ k,\ \text{cases}\ l)\ (\text{simp}\ \text{add}: \text{eq})$ 

lemma  $[\text{code}\ \text{nbe}]$ :
   $\text{eq-class.eq}\ (k::\text{code-numeral})\ k \longleftrightarrow \text{True}$ 
  by  $(\text{rule}\ \text{HOL.eq-refl})$ 

```

34.2 Indices as datatype of ints

instantiation *code-numeral* :: *number*
begin

definition

number-of = *of-nat* o *nat*

instance ..

end

lemma *nat-of-number* [*simp*]:

nat-of (*number-of* *k*) = *number-of* *k*

by (*simp* *add*: *number-of-code-numeral-def* *nat-number-of-def* *number-of-is-id*)

code-datatype *number-of* :: *int* \Rightarrow *code-numeral*

34.3 Basic arithmetic

instantiation *code-numeral* :: {*minus*, *linordered-semidom*, *semiring-div*, *linorder*}
begin

definition [*simp*, *code del*]:

(*1*::*code-numeral*) = *of-nat* 1

definition [*simp*, *code del*]:

n + *m* = *of-nat* (*nat-of* *n* + *nat-of* *m*)

definition [*simp*, *code del*]:

n - *m* = *of-nat* (*nat-of* *n* - *nat-of* *m*)

definition [*simp*, *code del*]:

n * *m* = *of-nat* (*nat-of* *n* * *nat-of* *m*)

definition [*simp*, *code del*]:

n div *m* = *of-nat* (*nat-of* *n* div *nat-of* *m*)

definition [*simp*, *code del*]:

n mod *m* = *of-nat* (*nat-of* *n* mod *nat-of* *m*)

definition [*simp*, *code del*]:

n \leq *m* \longleftrightarrow *nat-of* *n* \leq *nat-of* *m*

definition [*simp*, *code del*]:

n < *m* \longleftrightarrow *nat-of* *n* < *nat-of* *m*

instance proof

qed (*auto* *simp* *add*: *code-numeral* *left-distrib* *intro*: *mult-commute*)

end

lemma *zero-code-numeral-code* [*code*, *code-unfold*]:
 $(0::\text{code-numeral}) = \text{Numeral0}$
by (*simp add: number-of-code-numeral-def Pls-def*)
lemma [*code-post*]: $\text{Numeral0} = (0::\text{code-numeral})$
using *zero-code-numeral-code ..*

lemma *one-code-numeral-code* [*code*, *code-unfold*]:
 $(1::\text{code-numeral}) = \text{Numeral1}$
by (*simp add: number-of-code-numeral-def Pls-def Bit1-def*)
lemma [*code-post*]: $\text{Numeral1} = (1::\text{code-numeral})$
using *one-code-numeral-code ..*

lemma *plus-code-numeral-code* [*code nbe*]:
 $\text{of-nat } n + \text{of-nat } m = \text{of-nat } (n + m)$
by *simp*

definition *subtract-code-numeral* :: *code-numeral* \Rightarrow *code-numeral* \Rightarrow *code-numeral*
where
 $[\text{simp}, \text{code del}]: \text{subtract-code-numeral} = \text{op } -$

lemma *subtract-code-numeral-code* [*code nbe*]:
 $\text{subtract-code-numeral } (\text{of-nat } n) (\text{of-nat } m) = \text{of-nat } (n - m)$
by *simp*

lemma *minus-code-numeral-code* [*code*]:
 $n - m = \text{subtract-code-numeral } n m$
by *simp*

lemma *times-code-numeral-code* [*code nbe*]:
 $\text{of-nat } n * \text{of-nat } m = \text{of-nat } (n * m)$
by *simp*

lemma *less-eq-code-numeral-code* [*code nbe*]:
 $\text{of-nat } n \leq \text{of-nat } m \longleftrightarrow n \leq m$
by *simp*

lemma *less-code-numeral-code* [*code nbe*]:
 $\text{of-nat } n < \text{of-nat } m \longleftrightarrow n < m$
by *simp*

lemma *code-numeral-zero-minus-one*:
 $(0::\text{code-numeral}) - 1 = 0$
by *simp*

lemma *Suc-code-numeral-minus-one*:
 $\text{Suc-code-numeral } n - 1 = n$
by *simp*

lemma *of-nat-code* [code]:

of-nat = *Nat.of-nat*

proof

fix *n* :: *nat*

have *Nat.of-nat n* = *of-nat n*

by (*induct n*) *simp-all*

then show *of-nat n* = *Nat.of-nat n*

by (*rule sym*)

qed

lemma *code-numeral-not-eq-zero*: $i \neq \text{of-nat } 0 \longleftrightarrow i \geq 1$

by (*cases i*) *auto*

definition *nat-of-aux* :: *code-numeral* \Rightarrow *nat* \Rightarrow *nat* **where**

nat-of-aux i n = *nat-of i + n*

lemma *nat-of-aux-code* [code]:

nat-of-aux i n = (*if i* = 0 *then n* *else nat-of-aux (i - 1) (Suc n)*)

by (*auto simp add: nat-of-aux-def code-numeral-not-eq-zero*)

lemma *nat-of-code* [code]:

nat-of i = *nat-of-aux i 0*

by (*simp add: nat-of-aux-def*)

definition *div-mod-code-numeral* :: *code-numeral* \Rightarrow *code-numeral* \Rightarrow *code-numeral* \times *code-numeral* **where**

[*code del*]: *div-mod-code-numeral n m* = (*n div m*, *n mod m*)

lemma [code]:

div-mod-code-numeral n m = (*if m* = 0 *then (0, n)* *else (n div m, n mod m)*)

unfolding *div-mod-code-numeral-def* **by** *auto*

lemma [code]:

n div m = *fst (div-mod-code-numeral n m)*

unfolding *div-mod-code-numeral-def* **by** *simp*

lemma [code]:

n mod m = *snd (div-mod-code-numeral n m)*

unfolding *div-mod-code-numeral-def* **by** *simp*

definition *int-of* :: *code-numeral* \Rightarrow *int* **where**

int-of = *Nat.of-nat o nat-of*

lemma *int-of-code* [code]:

int-of k = (*if k* = 0 *then 0*

else (if k mod 2 = 0 *then 2 * int-of (k div 2)* *else 2 * int-of (k div 2) + 1)*)

proof –

have (*nat-of k div 2*) * 2 + *nat-of k mod 2* = *nat-of k*

```

    by (rule mod-div-equality)
  then have int ((nat-of k div 2) * 2 + nat-of k mod 2) = int (nat-of k)
    by simp
  then have int (nat-of k) = int (nat-of k div 2) * 2 + int (nat-of k mod 2)
    unfolding int-mult zadd-int [symmetric] by simp
  then show ?thesis by (auto simp add: int-of-def mult-ac)
qed

```

```
hide-const (open) of-nat nat-of int-of
```

34.3.1 Lazy Evaluation of an indexed function

```

function iterate-upto :: (code-numeral => 'a) => code-numeral => code-numeral
=> 'a Predicate.pred
where
  iterate-upto f n m = Predicate.Seq (%u. if n > m then Predicate.Empty else
    Predicate.Insert (f n) (iterate-upto f (n + 1) m))
by pat-completeness auto

```

```

termination by (relation measure (%(f, n, m). Code-Numeral.nat-of (m + 1 -
n))) auto

```

```
hide-const (open) iterate-upto
```

34.4 Code generator setup

Implementation of indices by bounded integers

```

code-type code-numeral
  (SML int)
  (OCaml Big'-int.big'-int)
  (Haskell Int)
  (Scala Int)

```

```

code-instance code-numeral :: eq
  (Haskell -)

```

```

setup <<
  fold (Numeral.add-code @{const-name number-code-numeral-inst.number-of-code-numeral}
    false Code-Printer.literal-naive-numeral) [SML, Haskell]
  #> Numeral.add-code @{const-name number-code-numeral-inst.number-of-code-numeral}
    false Code-Printer.literal-numeral OCaml
  #> Numeral.add-code @{const-name number-code-numeral-inst.number-of-code-numeral}
    false Code-Printer.literal-naive-numeral Scala
  >>

```

```

code-reserved SML Int int
code-reserved Scala Int

```

```

code-const op + :: code-numeral => code-numeral => code-numeral

```

```

(SML Int.+ / ((-), / (-)))
(OCaml Big'-int.add'-big'-int)
(Haskell infixl 6 +)
(Scala infixl 7 +)

code-const subtract-code-numeral :: code-numeral ⇒ code-numeral ⇒ code-numeral
(SML Int.max / (- / - / -, / 0 : int))
(OCaml Big'-int.max'-big'-int / (Big'-int.sub'-big'-int / - / -) / Big'-int.zero'-big'-int)
(Haskell max / (- / - / -) / (0 :: Int))
(Scala !(- / - / -).max(0))

code-const op * :: code-numeral ⇒ code-numeral ⇒ code-numeral
(SML Int.* / ((-), / (-)))
(OCaml Big'-int.mult'-big'-int)
(Haskell infixl 7 *)
(Scala infixl 8 *)

code-const div-mod-code-numeral
(SML !(fn n => fn m => / if m = 0 / then (0, n) else / (n div m, n mod m)))
(OCaml Big'-int.quomod'-big'-int / (Big'-int.abs'-big'-int -) / (Big'-int.abs'-big'-int -))
(Haskell divMod)
(Scala !((n: Int) => (m: Int) => / if (m == 0) / (0, n) else / (n ' / m, n % m)))

code-const eq-class.eq :: code-numeral ⇒ code-numeral ⇒ bool
(SML !((- : Int.int) = -))
(OCaml Big'-int.eq'-big'-int)
(Haskell infixl 4 ==)
(Scala infixl 5 ==)

code-const op ≤ :: code-numeral ⇒ code-numeral ⇒ bool
(SML Int.<= / ((-), / (-)))
(OCaml Big'-int.le'-big'-int)
(Haskell infix 4 <=)
(Scala infixl 4 <=)

code-const op < :: code-numeral ⇒ code-numeral ⇒ bool
(SML Int.< / ((-), / (-)))
(OCaml Big'-int.lt'-big'-int)
(Haskell infix 4 <)
(Scala infixl 4 <)

end

```


35 Numeral-Simprocs: Combination and Cancellation Simprocs for Numeral Expressions

theory *Numeral-Simprocs*

imports *Divides*

uses

~~/src/Provers/Arith/assoc-fold.ML
 ~~/src/Provers/Arith/cancel-numerals.ML
 ~~/src/Provers/Arith/combine-numerals.ML
 ~~/src/Provers/Arith/cancel-numeral-factor.ML
 ~~/src/Provers/Arith/extract-common-term.ML
 (Tools/numeral-simprocs.ML)
 (Tools/nat-numeral-simprocs.ML)

begin

declare *split-div* [of - - number-of *k*, standard, arith-split]

declare *split-mod* [of - - number-of *k*, standard, arith-split]

For *combine-numerals*

lemma *left-add-mult-distrib*: $i * u + (j * u + k) = (i + j) * u + (k :: nat)$

by (*simp add: add-mult-distrib*)

For *cancel-numerals*

lemma *nat-diff-add-eq1*:

$j \leq (i :: nat) \implies ((i * u + m) - (j * u + n)) = (((i - j) * u + m) - n)$

by (*simp split add: nat-diff-split add: add-mult-distrib*)

lemma *nat-diff-add-eq2*:

$i \leq (j :: nat) \implies ((i * u + m) - (j * u + n)) = (m - ((j - i) * u + n))$

by (*simp split add: nat-diff-split add: add-mult-distrib*)

lemma *nat-eq-add-iff1*:

$j \leq (i :: nat) \implies (i * u + m = j * u + n) = ((i - j) * u + m = n)$

by (*auto split add: nat-diff-split simp add: add-mult-distrib*)

lemma *nat-eq-add-iff2*:

$i \leq (j :: nat) \implies (i * u + m = j * u + n) = (m = (j - i) * u + n)$

by (*auto split add: nat-diff-split simp add: add-mult-distrib*)

lemma *nat-less-add-iff1*:

$j \leq (i :: nat) \implies (i * u + m < j * u + n) = ((i - j) * u + m < n)$

by (*auto split add: nat-diff-split simp add: add-mult-distrib*)

lemma *nat-less-add-iff2*:

$i \leq (j :: nat) \implies (i * u + m < j * u + n) = (m < (j - i) * u + n)$

by (*auto split add: nat-diff-split simp add: add-mult-distrib*)

lemma *nat-le-add-iff1*:

$j \leq (i :: nat) \implies (i * u + m \leq j * u + n) = ((i - j) * u + m \leq n)$

by (*auto split add: nat-diff-split simp add: add-mult-distrib*)

lemma *nat-le-add-iff2*:

$i \leq (j::nat) \implies (i*u + m \leq j*u + n) = (m \leq (j-i)*u + n)$

by (*auto split add: nat-diff-split simp add: add-mult-distrib*)

For *cancel-numeral-factors*

lemma *nat-mult-le-cancel1*: $(0::nat) < k \implies (k*m \leq k*n) = (m \leq n)$

by *auto*

lemma *nat-mult-less-cancel1*: $(0::nat) < k \implies (k*m < k*n) = (m < n)$

by *auto*

lemma *nat-mult-eq-cancel1*: $(0::nat) < k \implies (k*m = k*n) = (m = n)$

by *auto*

lemma *nat-mult-div-cancel1*: $(0::nat) < k \implies (k*m) \text{ div } (k*n) = (m \text{ div } n)$

by *auto*

lemma *nat-mult-dvd-cancel-disj[simp]*:

$(k*m) \text{ dvd } (k*n) = (k=0 \mid m \text{ dvd } (n::nat))$

by(*auto simp: dvd-eq-mod-eq-0 mod-mult-distrib2[symmetric]*)

lemma *nat-mult-dvd-cancel1*: $0 < k \implies (k*m) \text{ dvd } (k*n::nat) = (m \text{ dvd } n)$

by(*auto*)

For *cancel-factor*

lemma *nat-mult-le-cancel-disj*: $(k*m \leq k*n) = ((0::nat) < k \implies m \leq n)$

by *auto*

lemma *nat-mult-less-cancel-disj*: $(k*m < k*n) = ((0::nat) < k \ \& \ m < n)$

by *auto*

lemma *nat-mult-eq-cancel-disj*: $(k*m = k*n) = (k = (0::nat) \mid m = n)$

by *auto*

lemma *nat-mult-div-cancel-disj[simp]*:

$(k*m) \text{ div } (k*n) = (\text{if } k = (0::nat) \text{ then } 0 \text{ else } m \text{ div } n)$

by (*simp add: nat-mult-div-cancel1*)

use *Tools/numeral-simprocs.ML*

use *Tools/nat-numeral-simprocs.ML*

declaration \ll

$K \text{ (} \textit{Lin-Arith.add-simps} \text{ (} @\{\textit{thms neg-simps}\} \text{ @ } [@\{\textit{thm Suc-nat-number-of}\}, @\{\textit{thm int-nat-number-of}\}])$

$\#> \textit{Lin-Arith.add-simps} \text{ (} @\{\textit{thms ring-distrib}\} \text{ @ } [@\{\textit{thm Let-number-of}\}, @\{\textit{thm}$

```

Let-0}, @{thm Let-1},
  @{thm nat-0}, @{thm nat-1},
  @{thm add-nat-number-of}, @{thm diff-nat-number-of}, @{thm mult-nat-number-of},
  @{thm eq-nat-number-of}, @{thm less-nat-number-of}, @{thm le-number-of-eq-not-less},
  @{thm le-Suc-number-of}, @{thm le-number-of-Suc},
  @{thm less-Suc-number-of}, @{thm less-number-of-Suc},
  @{thm Suc-eq-number-of}, @{thm eq-number-of-Suc},
  @{thm mult-Suc}, @{thm mult-Suc-right},
  @{thm add-Suc}, @{thm add-Suc-right},
  @{thm eq-number-of-0}, @{thm eq-0-number-of}, @{thm less-0-number-of},
  @{thm of-int-number-of-eq}, @{thm of-nat-number-of-eq}, @{thm nat-number-of},
  @{thm if-True}, @{thm if-False}])
#> Lin-Arith.add-simprocs (Numeral-Simprocs.assoc-fold-simproc
  :: Numeral-Simprocs.combine-numerals
  :: Numeral-Simprocs.cancel-numerals)
#> Lin-Arith.add-simprocs (Nat-Numeral-Simprocs.combine-numerals :: Nat-Numeral-Simprocs.cancel-numerals)
>>

end

```

36 Semiring-Normalization: Semiring normalization

```

theory Semiring-Normalization
imports Numeral-Simprocs Nat-Transfer
uses
  Tools/semiring-normalizer.ML
begin

Prelude

class comm-semiring-1-cancel-crossproduct = comm-semiring-1-cancel +
  assumes crossproduct-eq:  $w * y + x * z = w * z + x * y \longleftrightarrow w = x \vee y = z$ 
begin

lemma crossproduct-noteq:
   $a \neq b \wedge c \neq d \longleftrightarrow a * c + b * d \neq a * d + b * c$ 
  by (simp add: crossproduct-eq)

lemma add-scale-eq-noteq:
   $r \neq 0 \implies a = b \wedge c \neq d \implies a + r * c \neq b + r * d$ 
proof (rule notI)
  assume nz:  $r \neq 0$  and cnd:  $a = b \wedge c \neq d$ 
  and eq:  $a + (r * c) = b + (r * d)$ 
  have  $(0 * d) + (r * c) = (0 * c) + (r * d)$ 
  using add-imp-eq eq mult-zero-left by (simp add: cnd)
  then show False using crossproduct-eq [of 0 d] nz cnd by simp
qed

```

```

lemma add-0-iff:
   $b = b + a \longleftrightarrow a = 0$ 
  using add-imp-eq [of b a 0] by auto

end

sublocale idom < comm-semiring-1-cancel-crossproduct
proof
  fix w x y z
  show  $w * y + x * z = w * z + x * y \longleftrightarrow w = x \vee y = z$ 
  proof
    assume  $w * y + x * z = w * z + x * y$ 
    then have  $w * y + x * z - w * z - x * y = 0$  by (simp add: algebra-simps)
    then have  $w * (y - z) - x * (y - z) = 0$  by (simp add: algebra-simps)
    then have  $(y - z) * (w - x) = 0$  by (simp add: algebra-simps)
    then have  $y - z = 0 \vee w - x = 0$  by (rule divisors-zero)
    then show  $w = x \vee y = z$  by auto
  qed (auto simp add: add-ac)
qed

instance nat :: comm-semiring-1-cancel-crossproduct
proof
  fix w x y z :: nat
  have aux:  $\bigwedge y z. y < z \implies w * y + x * z = w * z + x * y \implies w = x$ 
  proof –
    fix y z :: nat
    assume  $y < z$  then have  $\exists k. z = y + k \wedge k \neq 0$  by (intro exI [of - z - y])
  auto
  then obtain k where  $z = y + k$  and  $k \neq 0$  by blast
  assume  $w * y + x * z = w * z + x * y$ 
  then have  $(w * y + x * y) + x * k = (w * y + x * y) + w * k$  by (simp
add: <z = y + k> algebra-simps)
  then have  $x * k = w * k$  by simp
  then show  $w = x$  using  $\langle k \neq 0 \rangle$  by simp
  qed
  show  $w * y + x * z = w * z + x * y \longleftrightarrow w = x \vee y = z$ 
  by (auto simp add: neq-iff dest!: aux)
qed

Semiring normalization proper

setup Semiring-Normalizer.setup

context comm-semiring-1
begin

lemma normalizing-semiring-ops:
  shows TERM ( $x + y$ ) and TERM ( $x * y$ ) and TERM ( $x \wedge n$ )
  and TERM 0 and TERM 1 .

```

lemma *normalizing-semiring-rules*:

$$\begin{aligned}
 (a * m) + (b * m) &= (a + b) * m \\
 (a * m) + m &= (a + 1) * m \\
 m + (a * m) &= (a + 1) * m \\
 m + m &= (1 + 1) * m \\
 0 + a &= a \\
 a + 0 &= a \\
 a * b &= b * a \\
 (a + b) * c &= (a * c) + (b * c) \\
 0 * a &= 0 \\
 a * 0 &= 0 \\
 1 * a &= a \\
 a * 1 &= a \\
 (lx * ly) * (rx * ry) &= (lx * rx) * (ly * ry) \\
 (lx * ly) * (rx * ry) &= lx * (ly * (rx * ry)) \\
 (lx * ly) * (rx * ry) &= rx * ((lx * ly) * ry) \\
 (lx * ly) * rx &= (lx * rx) * ly \\
 (lx * ly) * rx &= lx * (ly * rx) \\
 lx * (rx * ry) &= (lx * rx) * ry \\
 lx * (rx * ry) &= rx * (lx * ry) \\
 (a + b) + (c + d) &= (a + c) + (b + d) \\
 (a + b) + c &= a + (b + c) \\
 a + (c + d) &= c + (a + d) \\
 (a + b) + c &= (a + c) + b \\
 a + c &= c + a \\
 a + (c + d) &= (a + c) + d \\
 (x \hat{ } p) * (x \hat{ } q) &= x \hat{ } (p + q) \\
 x * (x \hat{ } q) &= x \hat{ } (Suc\ q) \\
 (x \hat{ } q) * x &= x \hat{ } (Suc\ q) \\
 x * x &= x \hat{ } 2 \\
 (x * y) \hat{ } q &= (x \hat{ } q) * (y \hat{ } q) \\
 (x \hat{ } p) \hat{ } q &= x \hat{ } (p * q) \\
 x \hat{ } 0 &= 1 \\
 x \hat{ } 1 &= x \\
 x * (y + z) &= (x * y) + (x * z) \\
 x \hat{ } (Suc\ q) &= x * (x \hat{ } q) \\
 x \hat{ } (2*n) &= (x \hat{ } n) * (x \hat{ } n) \\
 x \hat{ } (Suc\ (2*n)) &= x * ((x \hat{ } n) * (x \hat{ } n)) \\
 \text{by (simp-all add: algebra-simps power-add power2-eq-square power-mult-distrib power-mult)}
 \end{aligned}$$

lemmas *normalizing-comm-semiring-1-axioms* =

comm-semiring-1-axioms [normalizer
 semiring ops: normalizing-semiring-ops
 semiring rules: normalizing-semiring-rules]

declaration

⟨⟨ *Semiring-Normalizer.semiring-funs* @{thm *normalizing-comm-semiring-1-axioms*}
 ⟩⟩

end

context *comm-ring-1*
begin

lemma *normalizing-ring-ops*: **shows** $TERM\ (x - y)$ **and** $TERM\ (-\ x)$.

lemma *normalizing-ring-rules*:

$-\ x = (-\ 1) * x$
 $x - y = x + (-\ y)$
by (*simp-all add: diff-minus*)

lemmas *normalizing-comm-ring-1-axioms* =
comm-ring-1-axioms [*normalizer*
semiring ops: normalizing-semiring-ops
semiring rules: normalizing-semiring-rules
ring ops: normalizing-ring-ops
ring rules: normalizing-ring-rules]

declaration

⟨⟨ *Semiring-Normalizer.semiring-funs* @{*thm normalizing-comm-ring-1-axioms*}
 ⟩⟩

end

context *comm-semiring-1-cancel-crossproduct*
begin

declare
normalizing-comm-semiring-1-axioms [*normalizer del*]

lemmas
normalizing-comm-semiring-1-cancel-crossproduct-axioms =
comm-semiring-1-cancel-crossproduct-axioms [*normalizer*
semiring ops: normalizing-semiring-ops
semiring rules: normalizing-semiring-rules
idom rules: crossproduct-noteq add-scale-eq-noteq]

declaration

⟨⟨ *Semiring-Normalizer.semiring-funs* @{*thm normalizing-comm-semiring-1-cancel-crossproduct-axioms*}
 ⟩⟩

end

context *idom*
begin

declare *normalizing-comm-ring-1-axioms* [*normalizer del*]

lemmas *normalizing-idom-axioms* = *idom-axioms* [*normalizer*
semiring ops: normalizing-semiring-ops
semiring rules: normalizing-semiring-rules
ring ops: normalizing-ring-ops
ring rules: normalizing-ring-rules
idom rules: crossproduct-noteq add-scale-eq-noteq
ideal rules: right-minus-eq add-0-iff]

declaration

⟨⟨ *Semiring-Normalizer.semiring-funs* @{*thm normalizing-idom-axioms*} ⟩⟩

end

context *field*

begin

lemma *normalizing-field-ops:*

shows *TERM* (*x* / *y*) **and** *TERM* (*inverse* *x*) .

lemmas *normalizing-field-rules* = *divide-inverse inverse-eq-divide*

lemmas *normalizing-field-axioms* =

field-axioms [*normalizer*
semiring ops: normalizing-semiring-ops
semiring rules: normalizing-semiring-rules
ring ops: normalizing-ring-ops
ring rules: normalizing-ring-rules
field ops: normalizing-field-ops
field rules: normalizing-field-rules
idom rules: crossproduct-noteq add-scale-eq-noteq
ideal rules: right-minus-eq add-0-iff]

declaration

⟨⟨ *Semiring-Normalizer.field-funs* @{*thm normalizing-field-axioms*} ⟩⟩

end

hide-fact (**open**) *normalizing-comm-semiring-1-axioms*

normalizing-comm-semiring-1-cancel-crossproduct-axioms normalizing-semiring-ops
normalizing-semiring-rules

hide-fact (**open**) *normalizing-comm-ring-1-axioms*

normalizing-idom-axioms normalizing-ring-ops normalizing-ring-rules

hide-fact (**open**) *normalizing-field-axioms normalizing-field-ops normalizing-field-rules*

end

37 Groebner-Basis: Groebner bases

```

theory Groebner-Basis
imports Semiring-Normalization
uses
  (Tools/groebner.ML)
begin

```

37.1 Groebner Bases

```

lemmas bool-simps = simp-thms(1-34)

```

```

lemma dnf:
   $(P \ \& \ (Q \ | \ R)) = ((P \& Q) \ | \ (P \& R)) \ ((Q \ | \ R) \ \& \ P) = ((Q \& P) \ | \ (R \& P))$ 
   $(P \ \wedge \ Q) = (Q \ \wedge \ P) \ (P \ \vee \ Q) = (Q \ \vee \ P)$ 
by blast+

```

```

lemmas weak-dnf-simps = dnf bool-simps

```

```

lemma nnf-simps:
   $(\neg(P \ \wedge \ Q)) = (\neg P \ \vee \ \neg Q) \ (\neg(P \ \vee \ Q)) = (\neg P \ \wedge \ \neg Q) \ (P \longrightarrow Q) = (\neg P \ \vee \ Q)$ 
   $(P = Q) = ((P \ \wedge \ Q) \ \vee \ (\neg P \ \wedge \ \neg Q)) \ (\neg \neg(P)) = P$ 
by blast+

```

```

lemma PFalse:
   $P \equiv \text{False} \implies \neg P$ 
   $\neg P \implies (P \equiv \text{False})$ 
by auto

```

```

ML <<
  structure Algebra-Simplification = Named-Thms(
    val name = algebra
    val description = pre-simplification rules for algebraic methods
  )
  >>

```

```

setup Algebra-Simplification.setup

```

```

use Tools/groebner.ML

```

```

method-setup algebra = Groebner.algebra-method
  solve polynomial equations over (semi)rings and ideal membership problems using
  Groebner bases

```

```

declare dvd-def[algebra]
declare dvd-eq-mod-eq-0[symmetric, algebra]
declare mod-div-trivial[algebra]
declare mod-mod-trivial[algebra]
declare conjunct1[OF DIVISION-BY-ZERO, algebra]
declare conjunct2[OF DIVISION-BY-ZERO, algebra]

```



```

declare zmod-zdiv-equality [symmetric, algebra]
declare zdiv-zmod-equality [symmetric, algebra]
declare zdiv-zminus-zminus [algebra]
declare zmod-zminus-zminus [algebra]
declare zdiv-zminus2 [algebra]
declare zmod-zminus2 [algebra]
declare zdiv-zero [algebra]
declare zmod-zero [algebra]
declare mod-by-1 [algebra]
declare div-by-1 [algebra]
declare zmod-minus1-right [algebra]
declare zdiv-minus1-right [algebra]
declare mod-div-trivial [algebra]
declare mod-mod-trivial [algebra]
declare mod-mult-self2-is-0 [algebra]
declare mod-mult-self1-is-0 [algebra]
declare zmod-eq-0-iff [algebra]
declare dvd-0-left-iff [algebra]
declare zdvd1-eq [algebra]
declare zmod-eq-dvd-iff [algebra]
declare nat-mod-eq-iff [algebra]

```

```

end

```

38 SetInterval: Set intervals

```

theory SetInterval
imports Int Nat-Transfer
begin

```

```

context ord

```

```

begin

```

```

definition

```

```

  lessThan    :: 'a => 'a set ((1{.. $<$ ..})) where
  {.. $<$ u} == {x. x < u}

```

```

definition

```

```

  atMost      :: 'a => 'a set ((1{.. $\leq$ ..})) where
  {.. $\leq$ u} == {x. x ≤ u}

```

```

definition

```

```

  greaterThan :: 'a => 'a set ((1{.. $<$ .. $\leq$ ..})) where
  {.. $<$ u.. $\leq$ ..} == {x. x < u.. $\leq$ ..}

```

```

definition

```

```

  atLeast     :: 'a => 'a set ((1{.. $\leq$ .. $<$ .. $\leq$ ..})) where
  {.. $\leq$ u.. $<$ .. $\leq$ ..} == {x. x ≤ u.. $<$ .. $\leq$ ..}

```

definition

greaterThanLessThan :: 'a => 'a => 'a set ((1{-<..<>-})) **where**
 {l<..} == {l<..} Int {..}

definition

atLeastLessThan :: 'a => 'a => 'a set ((1{-..<>-})) **where**
 {l..} == {l..} Int {..}

definition

greaterThanAtMost :: 'a => 'a => 'a set ((1{-<..<>-})) **where**
 {l<..} == {l<..} Int {..}

definition

atLeastAtMost :: 'a => 'a => 'a set ((1{-..<>-})) **where**
 {l..} == {l..} Int {..}

end

A note of warning when using {..n} on type *nat*: it is equivalent to {0..n} but some lemmas involving {m..n} may not exist in {..n}-form as well.

syntax

-UNION-le :: 'a => 'a => 'b set => 'b set ((3UN -<=.. / -) [0, 0, 10] 10)
 -UNION-less :: 'a => 'a => 'b set => 'b set ((3UN -<.. / -) [0, 0, 10] 10)
 -INTER-le :: 'a => 'a => 'b set => 'b set ((3INT -<=.. / -) [0, 0, 10] 10)
 -INTER-less :: 'a => 'a => 'b set => 'b set ((3INT -<.. / -) [0, 0, 10] 10)

syntax (*xsymbols*)

-UNION-le :: 'a => 'a => 'b set => 'b set ((3UN -<=.. / -) [0, 0, 10] 10)
 -UNION-less :: 'a => 'a => 'b set => 'b set ((3UN -<.. / -) [0, 0, 10] 10)
 -INTER-le :: 'a => 'a => 'b set => 'b set ((3INT -<=.. / -) [0, 0, 10] 10)
 -INTER-less :: 'a => 'a => 'b set => 'b set ((3INT -<.. / -) [0, 0, 10] 10)

syntax (*latex output*)

-UNION-le :: 'a => 'a => 'b set => 'b set ((3UN (00- ≤ -) / -) [0, 0, 10] 10)
 -UNION-less :: 'a => 'a => 'b set => 'b set ((3UN (00- < -) / -) [0, 0, 10] 10)
 -INTER-le :: 'a => 'a => 'b set => 'b set ((3INT (00- ≤ -) / -) [0, 0, 10] 10)
 -INTER-less :: 'a => 'a => 'b set => 'b set ((3INT (00- < -) / -) [0, 0, 10] 10)

translations

UN *i* ≤ *n*. *A* == UN *i*:{..*n*}. *A*
 UN *i* < *n*. *A* == UN *i*:{..*n*}. *A*
 INT *i* ≤ *n*. *A* == INT *i*:{..*n*}. *A*
 INT *i* < *n*. *A* == INT *i*:{..*n*}. *A*

38.1 Various equivalences

lemma (in ord) *lessThan-iff* [iff]: $(i: \text{lessThan } k) = (i < k)$
by (simp add: lessThan-def)

lemma Compl-lessThan [simp]:
 $!!k:: 'a::\text{linorder}. \neg \text{lessThan } k = \text{atLeast } k$
apply (auto simp add: lessThan-def atLeast-def)
done

lemma single-Diff-lessThan [simp]: $!!k:: 'a::\text{order}. \{k\} - \text{lessThan } k = \{k\}$
by auto

lemma (in ord) *greaterThan-iff* [iff]: $(i: \text{greaterThan } k) = (k < i)$
by (simp add: greaterThan-def)

lemma Compl-greaterThan [simp]:
 $!!k:: 'a::\text{linorder}. \neg \text{greaterThan } k = \text{atMost } k$
by (auto simp add: greaterThan-def atMost-def)

lemma Compl-atMost [simp]: $!!k:: 'a::\text{linorder}. \neg \text{atMost } k = \text{greaterThan } k$
apply (subst Compl-greaterThan [symmetric])
apply (rule double-complement)
done

lemma (in ord) *atLeast-iff* [iff]: $(i: \text{atLeast } k) = (k \leq i)$
by (simp add: atLeast-def)

lemma Compl-atLeast [simp]:
 $!!k:: 'a::\text{linorder}. \neg \text{atLeast } k = \text{lessThan } k$
by (auto simp add: lessThan-def atLeast-def)

lemma (in ord) *atMost-iff* [iff]: $(i: \text{atMost } k) = (i \leq k)$
by (simp add: atMost-def)

lemma atMost-Int-atLeast: $!!n:: 'a::\text{order}. \text{atMost } n \text{ Int } \text{atLeast } n = \{n\}$
by (blast intro: order-antisym)

38.2 Logical Equivalences for Set Inclusion and Equality

lemma atLeast-subset-iff [iff]:
 $(\text{atLeast } x \subseteq \text{atLeast } y) = (y \leq (x::'a::\text{order}))$
by (blast intro: order-trans)

lemma atLeast-eq-iff [iff]:
 $(\text{atLeast } x = \text{atLeast } y) = (x = (y::'a::\text{linorder}))$
by (blast intro: order-antisym order-trans)

lemma greaterThan-subset-iff [iff]:
 $(\text{greaterThan } x \subseteq \text{greaterThan } y) = (y \leq (x::'a::\text{linorder}))$

```

apply (auto simp add: greaterThan-def)
apply (subst linorder-not-less [symmetric], blast)
done

```

```

lemma greaterThan-eq-iff [iff]:
  (greaterThan x = greaterThan y) = (x = (y::'a::linorder))
apply (rule iffI)
apply (erule equalityE)
apply simp-all
done

```

```

lemma atMost-subset-iff [iff]: (atMost x  $\subseteq$  atMost y) = (x  $\leq$  (y::'a::order))
by (blast intro: order-trans)

```

```

lemma atMost-eq-iff [iff]: (atMost x = atMost y) = (x = (y::'a::linorder))
by (blast intro: order-antisym order-trans)

```

```

lemma lessThan-subset-iff [iff]:
  (lessThan x  $\subseteq$  lessThan y) = (x  $\leq$  (y::'a::linorder))
apply (auto simp add: lessThan-def)
apply (subst linorder-not-less [symmetric], blast)
done

```

```

lemma lessThan-eq-iff [iff]:
  (lessThan x = lessThan y) = (x = (y::'a::linorder))
apply (rule iffI)
apply (erule equalityE)
apply simp-all
done

```

38.3 Two-sided intervals

```

context ord
begin

```

```

lemma greaterThanLessThan-iff [simp,no-atp]:
  (i : {l<..}) = (l < i & i < u)
by (simp add: greaterThanLessThan-def)

```

```

lemma atLeastLessThan-iff [simp,no-atp]:
  (i : {l..}) = (l <= i & i < u)
by (simp add: atLeastLessThan-def)

```

```

lemma greaterThanAtMost-iff [simp,no-atp]:
  (i : {l<..}) = (l < i & i <= u)
by (simp add: greaterThanAtMost-def)

```

```

lemma atLeastAtMost-iff [simp,no-atp]:
  (i : {l..}) = (l <= i & i <= u)

```

by (*simp add: atLeastAtMost-def*)

The above four lemmas could be declared as *iffs*. Unfortunately this breaks many proofs. Since it only helps *blast*, it is better to leave well alone

end

38.3.1 Emptiness, singletons, subset

context *order*

begin

lemma *atLeastatMost-empty[*simp*]*:

$b < a \implies \{a..b\} = \{\}$

by(*auto simp: atLeastAtMost-def atLeast-def atMost-def*)

lemma *atLeastatMost-empty-iff[*simp*]*:

$\{a..b\} = \{\} \longleftrightarrow (\sim a \leq b)$

by *auto* (*blast intro: order-trans*)

lemma *atLeastatMost-empty-iff2[*simp*]*:

$\{\} = \{a..b\} \longleftrightarrow (\sim a \leq b)$

by *auto* (*blast intro: order-trans*)

lemma *atLeastLessThan-empty[*simp*]*:

$b \leq a \implies \{a..<b\} = \{\}$

by(*auto simp: atLeastLessThan-def*)

lemma *atLeastLessThan-empty-iff[*simp*]*:

$\{a..<b\} = \{\} \longleftrightarrow (\sim a < b)$

by *auto* (*blast intro: le-less-trans*)

lemma *atLeastLessThan-empty-iff2[*simp*]*:

$\{\} = \{a..<b\} \longleftrightarrow (\sim a < b)$

by *auto* (*blast intro: le-less-trans*)

lemma *greaterThanAtMost-empty[*simp*]*: $l \leq k \implies \{k<..l\} = \{\}$

by(*auto simp: greaterThanAtMost-def greaterThan-def atMost-def*)

lemma *greaterThanAtMost-empty-iff[*simp*]*: $\{k<..l\} = \{\} \longleftrightarrow \sim k < l$

by *auto* (*blast intro: less-le-trans*)

lemma *greaterThanAtMost-empty-iff2[*simp*]*: $\{\} = \{k<..l\} \longleftrightarrow \sim k < l$

by *auto* (*blast intro: less-le-trans*)

lemma *greaterThanLessThan-empty[*simp*]*: $l \leq k \implies \{k<..$

by(*auto simp: greaterThanLessThan-def greaterThan-def lessThan-def*)

lemma *atLeastAtMost-singleton [*simp*]*: $\{a..a\} = \{a\}$

by (*auto simp add: atLeastAtMost-def atMost-def atLeast-def*)

lemma *atLeastAtMost-singleton'*: $a = b \implies \{a \dots b\} = \{a\}$ **by** *simp*

lemma *atLeastatMost-subset-iff*[*simp*]:

$\{a \dots b\} \leq \{c \dots d\} \iff (\sim a \leq b) \mid c \leq a \ \& \ b \leq d$

unfolding *atLeastAtMost-def atLeast-def atMost-def*

by (*blast intro: order-trans*)

lemma *atLeastatMost-psubset-iff*:

$\{a \dots b\} < \{c \dots d\} \iff$

$((\sim a \leq b) \mid c \leq a \ \& \ b \leq d \ \& \ (c < a \mid b < d)) \ \& \ c \leq d$

by(*simp add: psubset-eq expand-set-eq less-le-not-le*)(*blast intro: order-trans*)

lemma *atLeastAtMost-singleton-iff*[*simp*]:

$\{a \dots b\} = \{c\} \iff a = b \wedge b = c$

proof

assume $\{a \dots b\} = \{c\}$

hence $\neg (\neg a \leq b)$ **unfolding** *atLeastatMost-empty-iff*[*symmetric*] **by** *simp*

moreover with $\langle \{a \dots b\} = \{c\} \rangle$ **have** $c \leq a \wedge b \leq c$ **by** *auto*

ultimately show $a = b \wedge b = c$ **by** *auto*

qed *simp*

end

lemma (**in** *linorder*) *atLeastLessThan-subset-iff*:

$\{a \dots < b\} \leq \{c \dots < d\} \implies b \leq a \mid c \leq a \ \& \ b \leq d$

apply (*auto simp: subset-eq Ball-def*)

apply(*frule-tac x=a in spec*)

apply(*erule-tac x=d in allE*)

apply (*simp add: less-imp-le*)

done

38.3.2 Intersection

context *linorder*

begin

lemma *Int-atLeastAtMost*[*simp*]: $\{a \dots b\} \text{ Int } \{c \dots d\} = \{\max a \ c \dots \min b \ d\}$

by *auto*

lemma *Int-atLeastAtMostR1*[*simp*]: $\{..b\} \text{ Int } \{c \dots d\} = \{c \dots \min b \ d\}$

by *auto*

lemma *Int-atLeastAtMostR2*[*simp*]: $\{a \dots\} \text{ Int } \{c \dots d\} = \{\max a \ c \dots d\}$

by *auto*

lemma *Int-atLeastAtMostL1*[*simp*]: $\{a \dots b\} \text{ Int } \{..d\} = \{a \dots \min b \ d\}$

by *auto*

lemma *Int-atLeastAtMostL2*[simp]: $\{a..b\} \text{ Int } \{c..\} = \{\max a \ c \ .. \ b\}$
by *auto*

lemma *Int-atLeastLessThan*[simp]: $\{a..<b\} \text{ Int } \{c..<d\} = \{\max a \ c \ ..< \min b \ d\}$
by *auto*

lemma *Int-greaterThanAtMost*[simp]: $\{a<..b\} \text{ Int } \{c<..d\} = \{\max a \ c \ <.. \min b \ d\}$
by *auto*

lemma *Int-greaterThanLessThan*[simp]: $\{a<..**b\} \text{ Int } \{c<..**d\} = \{\max a \ c \ <..**\min b \ d\}******$
by *auto*

end

38.4 Intervals of natural numbers

38.4.1 The Constant *lessThan*

lemma *lessThan-0* [simp]: $\text{lessThan } (0::\text{nat}) = \{\}$
by (*simp add: lessThan-def*)

lemma *lessThan-Suc*: $\text{lessThan } (\text{Suc } k) = \text{insert } k \ (\text{lessThan } k)$
by (*simp add: lessThan-def less-Suc-eq, blast*)

lemma *lessThan-Suc-atMost*: $\text{lessThan } (\text{Suc } k) = \text{atMost } k$
by (*simp add: lessThan-def atMost-def less-Suc-eq-le*)

lemma *UN-lessThan-UNIV*: $(\text{UN } m::\text{nat}. \text{lessThan } m) = \text{UNIV}$
by *blast*

38.4.2 The Constant *greaterThan*

lemma *greaterThan-0* [simp]: $\text{greaterThan } 0 = \text{range } \text{Suc}$
apply (*simp add: greaterThan-def*)
apply (*blast dest: gr0-conv-Suc [THEN iffD1]*)
done

lemma *greaterThan-Suc*: $\text{greaterThan } (\text{Suc } k) = \text{greaterThan } k - \{\text{Suc } k\}$
apply (*simp add: greaterThan-def*)
apply (*auto elim: linorder-neqE*)
done

lemma *INT-greaterThan-UNIV*: $(\text{INT } m::\text{nat}. \text{greaterThan } m) = \{\}$
by *blast*

38.4.3 The Constant *atLeast*

lemma *atLeast-0* [simp]: $\text{atLeast } (0::\text{nat}) = \text{UNIV}$

by (unfold atLeast-def UNIV-def, simp)

lemma atLeast-Suc: atLeast (Suc k) = atLeast k - {k}
 apply (simp add: atLeast-def)
 apply (simp add: Suc-le-eq)
 apply (simp add: order-le-less, blast)
 done

lemma atLeast-Suc-greaterThan: atLeast (Suc k) = greaterThan k
 by (auto simp add: greaterThan-def atLeast-def less-Suc-eq-le)

lemma UN-atLeast-UNIV: (UN m::nat. atLeast m) = UNIV
 by blast

38.4.4 The Constant atMost

lemma atMost-0 [simp]: atMost (0::nat) = {0}
 by (simp add: atMost-def)

lemma atMost-Suc: atMost (Suc k) = insert (Suc k) (atMost k)
 apply (simp add: atMost-def)
 apply (simp add: less-Suc-eq order-le-less, blast)
 done

lemma UN-atMost-UNIV: (UN m::nat. atMost m) = UNIV
 by blast

38.4.5 The Constant atLeastLessThan

The orientation of the following 2 rules is tricky. The lhs is defined in terms of the rhs. Hence the chosen orientation makes sense in this theory — the reverse orientation complicates proofs (eg nontermination). But outside, when the definition of the lhs is rarely used, the opposite orientation seems preferable because it reduces a specific concept to a more general one.

lemma atLeast0LessThan: {0::nat.. n } = {.. n }
 by (simp add: lessThan-def atLeastLessThan-def)

lemma atLeast0AtMost: {0.. n ::nat} = {.. n }
 by (simp add: atMost-def atLeastAtMost-def)

declare atLeast0LessThan[symmetric, code-unfold]
 atLeast0AtMost[symmetric, code-unfold]

lemma atLeastLessThan0: { m .. 0 ::nat} = {}
 by (simp add: atLeastLessThan-def)

38.4.6 Intervals of nats with *Suc*

Not a simprule because the RHS is too messy.

lemma *atLeastLessThanSuc*:

$\{m..<Suc\ n\} = (if\ m \leq n\ then\ insert\ n\ \{m..<n\}\ else\ \{\})$

by (*auto simp add: atLeastLessThan-def*)

lemma *atLeastLessThan-singleton [simp]*: $\{m..<Suc\ m\} = \{m\}$

by (*auto simp add: atLeastLessThan-def*)

lemma *atLeastLessThanSuc-atLeastAtMost*: $\{l..<Suc\ u\} = \{l..u\}$

by (*simp add: lessThan-Suc-atMost atLeastAtMost-def atLeastLessThan-def*)

lemma *atLeastSucAtMost-greaterThanAtMost*: $\{Suc\ l..u\} = \{l<..u\}$

by (*simp add: atLeast-Suc-greaterThan atLeastAtMost-def
greaterThanAtMost-def*)

lemma *atLeastSucLessThan-greaterThanLessThan*: $\{Suc\ l..<u\} = \{l<..<u\}$

by (*simp add: atLeast-Suc-greaterThan atLeastLessThan-def
greaterThanLessThan-def*)

lemma *atLeastAtMostSuc-conv*: $m \leq Suc\ n \implies \{m..Suc\ n\} = insert\ (Suc\ n)\ \{m..n\}$

by (*auto simp add: atLeastAtMost-def*)

lemma *atLeastLessThan-add-Un*: $i \leq j \implies \{i..<j+k\} = \{i..<j\} \cup \{j..<j+k::nat\}$

apply (*induct k*)

apply (*simp-all add: atLeastLessThanSuc*)

done

38.4.7 Image

lemma *image-add-atLeastAtMost*:

$(\%n::nat. n+k) \text{ ‘ } \{i..j\} = \{i+k..j+k\} \text{ (is } ?A = ?B)$

proof

show $?A \subseteq ?B$ **by** *auto*

next

show $?B \subseteq ?A$

proof

fix n **assume** $a: n : ?B$

hence $n - k : \{i..j\}$ **by** *auto*

moreover **have** $n = (n - k) + k$ **using** a **by** *auto*

ultimately **show** $n : ?A$ **by** *blast*

qed

qed

lemma *image-add-atLeastLessThan*:

$(\%n::nat. n+k) \text{ ‘ } \{i..<j\} = \{i+k..<j+k\} \text{ (is } ?A = ?B)$

proof

```

  show ?A  $\subseteq$  ?B by auto
next
  show ?B  $\subseteq$  ?A
proof
  fix n assume a: n : ?B
  hence n - k : {i.. $j$ } by auto
  moreover have n = (n - k) + k using a by auto
  ultimately show n : ?A by blast
qed
qed

```

corollary *image-Suc-atLeastAtMost*[simp]:
 $\text{Suc } \{i..j\} = \{\text{Suc } i.. \text{Suc } j\}$
 using *image-add-atLeastAtMost*[where $k=\text{Suc } 0$] by simp

corollary *image-Suc-atLeastLessThan*[simp]:
 $\text{Suc } \{i..<j\} = \{\text{Suc } i..<\text{Suc } j\}$
 using *image-add-atLeastLessThan*[where $k=\text{Suc } 0$] by simp

lemma *image-add-int-atLeastLessThan*:
 ($\%x. x + (l::\text{int})$) ‘ $\{0..<u-l\} = \{l..<u\}$
 apply (auto simp add: *image-def*)
 apply (rule-tac $x = x - l$ in *bexI*)
 apply auto
 done

context *ordered-ab-group-add*
begin

lemma
 fixes $x :: 'a$
 shows *image-uminus-greaterThan*[simp]: $\text{uminus } \{x<..\} = \{..<-x\}$
 and *image-uminus-atLeast*[simp]: $\text{uminus } \{x..\} = \{..-x\}$
proof safe
 fix y assume $y < -x$
 hence *: $x < -y$ using *neg-less-iff-less*[of $-y$ x] by simp
 have $-(-y) \in \text{uminus } \{x<..\}$
 by (rule *imageI*) (simp add: *)
 thus $y \in \text{uminus } \{x<..\}$ by simp
next
 fix y assume $y \leq -x$
 have $-(-y) \in \text{uminus } \{x..\}$
 by (rule *imageI*) (insert $\langle y \leq -x \rangle$ [THEN *le-imp-neg-le*], simp)
 thus $y \in \text{uminus } \{x..\}$ by simp
qed *simp-all*

lemma
 fixes $x :: 'a$
 shows *image-uminus-lessThan*[simp]: $\text{uminus } \{..<x\} = \{-x<..\}$

```

    and image-uminus-atMost[simp]: uminus ‘ {.. $x$ } = {.. $-x$ ..}
  proof -
    have uminus ‘ {.. $x$ } = uminus ‘ uminus ‘ {.. $-x$ ..}
      and uminus ‘ {.. $x$ } = uminus ‘ uminus ‘ {.. $-x$ ..} by simp-all
    thus uminus ‘ {.. $x$ } = {.. $-x$ ..} and uminus ‘ {.. $x$ } = {.. $-x$ ..}
      by (simp-all add: image-image
        del: image-uminus-greaterThan image-uminus-atLeast)
  qed

```

```

lemma
  fixes  $x :: 'a$ 
  shows image-uminus-atLeastAtMost[simp]: uminus ‘ { $x..y$ } = {.. $-y$ .. $-x$ }
  and image-uminus-greaterThanAtMost[simp]: uminus ‘ { $x<..y$ } = {.. $-y$ .. $-x$ }
  and image-uminus-atLeastLessThan[simp]: uminus ‘ { $x..<y$ } = {.. $-y$ .. $-x$ }
  and image-uminus-greaterThanLessThan[simp]: uminus ‘ { $x<.. $y$ } = {.. $-y$ .. $-x$ }
  by (simp-all add: atLeastAtMost-def greaterThanAtMost-def atLeastLessThan-def
    greaterThanLessThan-def image-Int[OF inj-uminus] Int-commute)
end$ 
```

38.4.8 Finiteness

```

lemma finite-lessThan [iff]: fixes  $k :: nat$  shows finite {.. $k$ }
  by (induct  $k$ ) (simp-all add: lessThan-Suc)

```

```

lemma finite-atMost [iff]: fixes  $k :: nat$  shows finite {.. $k$ }
  by (induct  $k$ ) (simp-all add: atMost-Suc)

```

```

lemma finite-greaterThanLessThan [iff]:
  fixes  $l :: nat$  shows finite { $l<.. $u$ }
  by (simp add: greaterThanLessThan-def)$ 
```

```

lemma finite-atLeastLessThan [iff]:
  fixes  $l :: nat$  shows finite { $l..<u$ }
  by (simp add: atLeastLessThan-def)

```

```

lemma finite-greaterThanAtMost [iff]:
  fixes  $l :: nat$  shows finite { $l<.. $u$ }
  by (simp add: greaterThanAtMost-def)$ 
```

```

lemma finite-atLeastAtMost [iff]:
  fixes  $l :: nat$  shows finite { $l..u$ }
  by (simp add: atLeastAtMost-def)

```

A bounded set of natural numbers is finite.

```

lemma bounded-nat-set-is-finite:
  (ALL  $i:N. i < (n::nat)$ ) ==> finite  $N$ 
  apply (rule finite-subset)
  apply (rule-tac [2] finite-lessThan, auto)
  done

```

A set of natural numbers is finite iff it is bounded.

lemma *finite-nat-set-iff-bounded*:

$finite(N::nat\ set) = (EX\ m.\ ALL\ n:N.\ n < m) \text{ (is } ?F = ?B)$

proof

assume $f::?F$ show $?B$

using *Max-ge*[*OF* $\langle ?F \rangle$, *simplified less-Suc-eq-le*[*symmetric*]] by *blast*

next

assume $?B$ show $?F$ using $\langle ?B \rangle$ by (*blast intro:bounded-nat-set-is-finite*)

qed

lemma *finite-nat-set-iff-bounded-le*:

$finite(N::nat\ set) = (EX\ m.\ ALL\ n:N.\ n \leq m)$

apply(*simp add:finite-nat-set-iff-bounded*)

apply(*blast dest:less-imp-le-nat le-imp-less-Suc*)

done

lemma *finite-less-ub*:

$!!f::nat \Rightarrow nat.\ (!n.\ n \leq f\ n) \Rightarrow finite\ \{n.\ f\ n \leq u\}$

by (*rule-tac B={..u}* in *finite-subset*, *auto intro: order-trans*)

Any subset of an interval of natural numbers the size of the subset is exactly that interval.

lemma *subset-card-intvl-is-intvl*:

$A \leq \{k..<k+card\ A\} \Rightarrow A = \{k..<k+card\ A\} \text{ (is } PROP\ ?P)$

proof *cases*

assume *finite A*

thus *PROP ?P*

proof(*induct A rule:finite-linorder-max-induct*)

case *empty* thus *?case* by *auto*

next

case (*insert b A*)

moreover hence $b \sim: A$ by *auto*

moreover have $A \leq \{k..<k+card\ A\}$ and $b = k+card\ A$

using $\langle b \sim: A \rangle$ *insert* by *fastsimp+*

ultimately show *?case* by *auto*

qed

next

assume $\sim finite\ A$ thus *PROP ?P* by *simp*

qed

38.4.9 Proving Inclusions and Equalities between Unions

lemma *UN-le-eq-UN0*:

$(\bigcup i \leq n::nat.\ M\ i) = (\bigcup i \in \{1..n\}.\ M\ i) \cup M\ 0 \text{ (is } ?A = ?B)$

proof

show $?A \leq ?B$

proof

fix x assume $x : ?A$

then obtain i where $i: i \leq n\ x : M\ i$ by *auto*

```

  show  $x : ?B$ 
  proof (cases  $i$ )
    case 0 with  $i$  show  $?thesis$  by simp
  next
    case (Suc  $j$ ) with  $i$  show  $?thesis$  by auto
  qed
qed
next
  show  $?B \leq ?A$  by auto
qed

```

```

lemma UN-le-add-shift:
   $(\bigcup_{i \leq n :: nat}. M(i+k)) = (\bigcup_{i \in \{k..n+k\}}. M\ i)$  (is  $?A = ?B$ )
proof
  show  $?A \leq ?B$  by fastsimp
next
  show  $?B \leq ?A$ 
  proof
    fix  $x$  assume  $x : ?B$ 
    then obtain  $i$  where  $i : i \in \{k..n+k\}$   $x : M(i)$  by auto
    hence  $i-k \leq n$  &  $x : M((i-k)+k)$  by auto
    thus  $x : ?A$  by blast
  qed
qed

```

```

lemma UN-UN-finite-eq:  $(\bigcup_{n :: nat}. \bigcup_{i \in \{0..<n\}}. A\ i) = (\bigcup_{n. A\ n})$ 
  by (auto simp add: atLeast0LessThan)

```

```

lemma UN-finite-subset:  $(!!n :: nat. (\bigcup_{i \in \{0..<n\}}. A\ i) \subseteq C) \implies (\bigcup_{n. A\ n}) \subseteq C$ 
  by (subst UN-UN-finite-eq [symmetric]) blast

```

```

lemma UN-finite2-subset:
   $(!!n :: nat. (\bigcup_{i \in \{0..<n\}}. A\ i) \subseteq (\bigcup_{i \in \{0..<n+k\}}. B\ i)) \implies (\bigcup_{n. A\ n}) \subseteq$ 
 $(\bigcup_{n. B\ n})$ 
  apply (rule UN-finite-subset)
  apply (subst UN-UN-finite-eq [symmetric, of B])
  apply blast
done

```

```

lemma UN-finite2-eq:
   $(!!n :: nat. (\bigcup_{i \in \{0..<n\}}. A\ i) = (\bigcup_{i \in \{0..<n+k\}}. B\ i)) \implies (\bigcup_{n. A\ n}) = (\bigcup_{n. B\ n})$ 
  apply (rule subset-antisym)
  apply (rule UN-finite2-subset, blast)
  apply (rule UN-finite2-subset [where  $k=k$ ])
  apply (force simp add: atLeastLessThan-add-Un [of 0])
done

```

38.4.10 Cardinality

lemma *card-lessThan* [simp]: $\text{card } \{..\} = u$
by (*induct* u , *simp-all* *add: lessThan-Suc*)

lemma *card-atMost* [simp]: $\text{card } \{..u\} = \text{Suc } u$
by (*simp* *add: lessThan-Suc-atMost [THEN sym]*)

lemma *card-atLeastLessThan* [simp]: $\text{card } \{l..\} = u - l$
apply (*subgoal-tac* $\text{card } \{l..\} = \text{card } \{..)
apply (*erule* *ssubst*, *rule* *card-lessThan*)
apply (*subgoal-tac* $(\%x. x + l) \cdot \{..\}$)
apply (*erule* *subst*)
apply (*rule* *card-image*)
apply (*simp* *add: inj-on-def*)
apply (*auto* *simp* *add: image-def atLeastLessThan-def lessThan-def*)
apply (*rule-tac* $x = x - l$ **in** *exI*)
apply *arith*
done$

lemma *card-atLeastAtMost* [simp]: $\text{card } \{l..u\} = \text{Suc } u - l$
by (*subst* *atLeastLessThanSuc-atLeastAtMost [THEN sym]*, *simp*)

lemma *card-greaterThanAtMost* [simp]: $\text{card } \{l<..u\} = u - l$
by (*subst* *atLeastSucAtMost-greaterThanAtMost [THEN sym]*, *simp*)

lemma *card-greaterThanLessThan* [simp]: $\text{card } \{l<..\} = u - \text{Suc } l$
by (*subst* *atLeastSucLessThan-greaterThanLessThan [THEN sym]*, *simp*)

lemma *ex-bij-betw-nat-finite*:
 $\text{finite } M \implies \exists h. \text{bij-betw } h \{0..
apply (*drule* *finite-imp-nat-seg-image-inj-on*)
apply (*auto* *simp: atLeast0LessThan[symmetric] lessThan-def[symmetric] card-image*
bij-betw-def)
done$

lemma *ex-bij-betw-finite-nat*:
 $\text{finite } M \implies \exists h. \text{bij-betw } h M \{0..
by (*blast* *dest: ex-bij-betw-nat-finite bij-betw-inv*)$

lemma *finite-same-card-bij*:
 $\text{finite } A \implies \text{finite } B \implies \text{card } A = \text{card } B \implies \text{EX } h. \text{bij-betw } h A B$
apply (*drule* *ex-bij-betw-finite-nat*)
apply (*drule* *ex-bij-betw-nat-finite*)
apply (*auto* *intro!:bij-betw-trans*)
done

lemma *ex-bij-betw-nat-finite-1*:
 $\text{finite } M \implies \exists h. \text{bij-betw } h \{1 .. \text{card } M\} M$
by (*rule* *finite-same-card-bij*) *auto*

38.5 Intervals of integers

lemma *atLeastLessThanPlusOne-atLeastAtMost-int*: $\{l..<u+1\} = \{l..(u::int)\}$
by (*auto simp add: atLeastAtMost-def atLeastLessThan-def*)

lemma *atLeastPlusOneAtMost-greaterThanAtMost-int*: $\{l+1..u\} = \{l<..(u::int)\}$
by (*auto simp add: atLeastAtMost-def greaterThanAtMost-def*)

lemma *atLeastPlusOneLessThan-greaterThanLessThan-int*:
 $\{l+1..<u\} = \{l<..<u::int\}$
by (*auto simp add: atLeastLessThan-def greaterThanLessThan-def*)

38.5.1 Finiteness

lemma *image-atLeastZeroLessThan-int*: $0 \leq u \implies$
 $\{(0::int)..<u\} = \text{int} \text{ ‘ } \{..<\text{nat } u\}$
apply (*unfold image-def lessThan-def*)
apply *auto*
apply (*rule-tac x = nat x in exI*)
apply (*auto simp add: zless-nat-eq-int-zless [THEN sym]*)
done

lemma *finite-atLeastZeroLessThan-int*: *finite* $\{(0::int)..<u\}$
apply (*case-tac 0 ≤ u*)
apply (*subst image-atLeastZeroLessThan-int, assumption*)
apply (*rule finite-imageI*)
apply *auto*
done

lemma *finite-atLeastLessThan-int [iff]*: *finite* $\{l..<u::int\}$
apply (*subgoal-tac (%x. x + 1) ‘ {0..<u-l} = {l..<u}*)
apply (*erule subst*)
apply (*rule finite-imageI*)
apply (*rule finite-atLeastZeroLessThan-int*)
apply (*rule image-add-int-atLeastLessThan*)
done

lemma *finite-atLeastAtMost-int [iff]*: *finite* $\{l..(u::int)\}$
by (*subst atLeastLessThanPlusOne-atLeastAtMost-int [THEN sym], simp*)

lemma *finite-greaterThanAtMost-int [iff]*: *finite* $\{l<..(u::int)\}$
by (*subst atLeastPlusOneAtMost-greaterThanAtMost-int [THEN sym], simp*)

lemma *finite-greaterThanLessThan-int [iff]*: *finite* $\{l<..<u::int\}$
by (*subst atLeastPlusOneLessThan-greaterThanLessThan-int [THEN sym], simp*)

38.5.2 Cardinality

lemma *card-atLeastZeroLessThan-int*: $\text{card } \{(0::int)..<u\} = \text{nat } u$
apply (*case-tac 0 ≤ u*)

```

apply (subst image-atLeastZeroLessThan-int, assumption)
apply (subst card-image)
apply (auto simp add: inj-on-def)
done

```

```

lemma card-atLeastLessThan-int [simp]: card {l..} = nat (u - l)
apply (subgoal-tac card {l..} = card {0..-l})
apply (erule ssubst, rule card-atLeastZeroLessThan-int)
apply (subgoal-tac (%x. x + l) ‘ {0..-l} = {l..})
apply (erule ssubst)
apply (rule card-image)
apply (simp add: inj-on-def)
apply (rule image-add-int-atLeastLessThan)
done

```

```

lemma card-atLeastAtMost-int [simp]: card {l..u} = nat (u - l + 1)
apply (subst atLeastLessThanPlusOne-atLeastAtMost-int [THEN sym])
apply (auto simp add: algebra-simps)
done

```

```

lemma card-greaterThanAtMost-int [simp]: card {l<..u} = nat (u - l)
by (subst atLeastPlusOneAtMost-greaterThanAtMost-int [THEN sym], simp)

```

```

lemma card-greaterThanLessThan-int [simp]: card {l<..} = nat (u - (l + 1))
by (subst atLeastPlusOneLessThan-greaterThanLessThan-int [THEN sym], simp)

```

```

lemma finite-M-bounded-by-nat: finite {k. P k ∧ k < (i::nat)}
proof -
  have {k. P k ∧ k < i} ⊆ {..} by auto
  with finite-lessThan[of i] show ?thesis by (simp add: finite-subset)
qed

```

```

lemma card-less:
assumes zero-in-M: 0 ∈ M
shows card {k ∈ M. k < Suc i} ≠ 0
proof -
  from zero-in-M have {k ∈ M. k < Suc i} ≠ {} by auto
  with finite-M-bounded-by-nat show ?thesis by (auto simp add: card-eq-0-iff)
qed

```

```

lemma card-less-Suc2: 0 ∉ M ⇒ card {k. Suc k ∈ M ∧ k < i} = card {k ∈
M. k < Suc i}
apply (rule card-bij-eq [of Suc - - λx. x - Suc 0])
apply simp
apply fastsimp
apply auto
apply (rule inj-on-diff-nat)
apply auto
apply (case-tac x)

```



```

apply auto
apply (case-tac xa)
apply auto
apply (case-tac xa)
apply auto
done

```

lemma *card-less-Suc*:

```

assumes zero-in-M:  $0 \in M$ 
shows Suc ( $\text{card } \{k. \text{Suc } k \in M \wedge k < i\}$ ) =  $\text{card } \{k \in M. k < \text{Suc } i\}$ 
proof –
from assms have  $a: 0 \in \{k \in M. k < \text{Suc } i\}$  by simp
hence  $c: \{k \in M. k < \text{Suc } i\} = \text{insert } 0 (\{k \in M. k < \text{Suc } i\} - \{0\})$ 
by (auto simp only: insert-Diff)
have  $b: \{k \in M. k < \text{Suc } i\} - \{0\} = \{k \in M - \{0\}. k < \text{Suc } i\}$  by auto
from finite-M-bounded-by-nat[of  $\lambda x. x \in M \text{Suc } i$ ] have Suc ( $\text{card } \{k. \text{Suc } k \in M \wedge k < i\}$ ) =  $\text{card } (\text{insert } 0 (\{k \in M. k < \text{Suc } i\} - \{0\}))$ 
apply (subst card-insert)
apply simp-all
apply (subst b)
apply (subst card-less-Suc2[symmetric])
apply simp-all
done
with  $c$  show ?thesis by simp
qed

```

38.6 Lemmas useful with the summation operator *setsum*

For examples, see `Algebra/poly/UnivPoly2.thy`

38.6.1 Disjoint Unions

Singletons and open intervals

lemma *ivl-disj-un-singleton*:

```

 $\{l::'a::\text{linorder}\} \text{Un } \{l<..\} = \{l..\}$ 
 $\{..\} \text{Un } \{u::'a::\text{linorder}\} = \{..u\}$ 
 $(l::'a::\text{linorder}) < u \implies \{l\} \text{Un } \{l<..\} = \{l..\}$ 
 $(l::'a::\text{linorder}) < u \implies \{l<..\} \text{Un } \{u\} = \{l<..u\}$ 
 $(l::'a::\text{linorder}) \leq u \implies \{l\} \text{Un } \{l<..u\} = \{l..u\}$ 
 $(l::'a::\text{linorder}) \leq u \implies \{l..\} \text{Un } \{u\} = \{l..u\}$ 
by auto

```

One- and two-sided intervals

lemma *ivl-disj-un-one*:

```

 $(l::'a::\text{linorder}) < u \implies \{..l\} \text{Un } \{l<..\} = \{..\}$ 
 $(l::'a::\text{linorder}) \leq u \implies \{..\} \text{Un } \{l..\} = \{..\}$ 
 $(l::'a::\text{linorder}) \leq u \implies \{..l\} \text{Un } \{l<..u\} = \{..u\}$ 
 $(l::'a::\text{linorder}) \leq u \implies \{..\} \text{Un } \{l..u\} = \{..u\}$ 

```

$(l::'a::linorder) \leq u \implies \{l <..u\} \text{ Un } \{u <..\} = \{l <..\}$
 $(l::'a::linorder) < u \implies \{l <..<u\} \text{ Un } \{u..\} = \{l <..\}$
 $(l::'a::linorder) \leq u \implies \{l..u\} \text{ Un } \{u <..\} = \{l..\}$
 $(l::'a::linorder) \leq u \implies \{l..<u\} \text{ Un } \{u..\} = \{l..\}$
by *auto*

Two- and two-sided intervals

lemma *ivl-disj-un-two*:

$\llbracket (l::'a::linorder) < m; m \leq u \rrbracket \implies \{l <..<m\} \text{ Un } \{m..<u\} = \{l <..<u\}$
 $\llbracket (l::'a::linorder) \leq m; m < u \rrbracket \implies \{l <..m\} \text{ Un } \{m <..<u\} = \{l <..<u\}$
 $\llbracket (l::'a::linorder) \leq m; m \leq u \rrbracket \implies \{l..<m\} \text{ Un } \{m..<u\} = \{l..<u\}$
 $\llbracket (l::'a::linorder) \leq m; m < u \rrbracket \implies \{l..m\} \text{ Un } \{m <..<u\} = \{l..<u\}$
 $\llbracket (l::'a::linorder) < m; m \leq u \rrbracket \implies \{l <..<m\} \text{ Un } \{m..u\} = \{l <..u\}$
 $\llbracket (l::'a::linorder) \leq m; m \leq u \rrbracket \implies \{l <..m\} \text{ Un } \{m <..u\} = \{l <..u\}$
 $\llbracket (l::'a::linorder) \leq m; m < u \rrbracket \implies \{l..<m\} \text{ Un } \{m..u\} = \{l..u\}$
 $\llbracket (l::'a::linorder) \leq m; m \leq u \rrbracket \implies \{l..m\} \text{ Un } \{m <..u\} = \{l..u\}$
by *auto*

lemmas *ivl-disj-un* = *ivl-disj-un-singleton* *ivl-disj-un-one* *ivl-disj-un-two*

38.6.2 Disjoint Intersections

One- and two-sided intervals

lemma *ivl-disj-int-one*:

$\{..l::'a::order\} \text{ Int } \{l <..<u\} = \{\}$
 $\{..<l\} \text{ Int } \{l..<u\} = \{\}$
 $\{..l\} \text{ Int } \{l <..u\} = \{\}$
 $\{..<l\} \text{ Int } \{l..u\} = \{\}$
 $\{l <..u\} \text{ Int } \{u <..\} = \{\}$
 $\{l <..<u\} \text{ Int } \{u..\} = \{\}$
 $\{l..u\} \text{ Int } \{u <..\} = \{\}$
 $\{l..<u\} \text{ Int } \{u..\} = \{\}$
by *auto*

Two- and two-sided intervals

lemma *ivl-disj-int-two*:

$\{l::'a::order <..<m\} \text{ Int } \{m..<u\} = \{\}$
 $\{l <..m\} \text{ Int } \{m <..<u\} = \{\}$
 $\{l..<m\} \text{ Int } \{m..<u\} = \{\}$
 $\{l..m\} \text{ Int } \{m <..<u\} = \{\}$
 $\{l <..<m\} \text{ Int } \{m..u\} = \{\}$
 $\{l <..m\} \text{ Int } \{m <..u\} = \{\}$
 $\{l..<m\} \text{ Int } \{m..u\} = \{\}$
 $\{l..m\} \text{ Int } \{m <..u\} = \{\}$
by *auto*

lemmas *ivl-disj-int* = *ivl-disj-int-one* *ivl-disj-int-two*

38.6.3 Some Differences

lemma *ivl-diff* [*simp*]:
 $i \leq n \implies \{i..<m\} - \{i..<n\} = \{n..<(m::'a::linorder)\}$
by(*auto*)

38.6.4 Some Subset Conditions

lemma *ivl-subset* [*simp, no-atp*]:
 $(\{i..<j\} \subseteq \{m..<n\}) = (j \leq i \mid m \leq i \ \& \ j \leq (n::'a::linorder))$
apply(*auto simp: linorder-not-le*)
apply(*rule ccontr*)
apply(*insert linorder-le-less-linear* [of *i n*])
apply(*clarsimp simp: linorder-not-le*)
apply(*fastsimp*)
done

38.7 Summation indexed over intervals

syntax

-*from-to-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b* ((*SUM* - = -..*./* -) [*0,0,0,10*] 10)
 -*from-upto-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b* ((*SUM* - = -..*<./* -) [*0,0,0,10*] 10)
 -*upt-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b* ((*SUM* -<..*./* -) [*0,0,10*] 10)
 -*upto-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b* ((*SUM* -<=..*./* -) [*0,0,10*] 10)

syntax (*xsymbols*)

-*from-to-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b* ((\sum - = -..*./* -) [*0,0,0,10*] 10)
 -*from-upto-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b* ((\sum - = -..*<./* -) [*0,0,0,10*] 10)
 -*upt-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b* ((\sum -<..*./* -) [*0,0,10*] 10)
 -*upto-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b* ((\sum -<=..*./* -) [*0,0,10*] 10)

syntax (*HTML output*)

-*from-to-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b* ((\sum - = -..*./* -) [*0,0,0,10*] 10)
 -*from-upto-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b* ((\sum - = -..*<./* -) [*0,0,0,10*] 10)
 -*upt-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b* ((\sum -<..*./* -) [*0,0,10*] 10)
 -*upto-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b* ((\sum -<=..*./* -) [*0,0,10*] 10)

syntax (*latex-sum output*)

-*from-to-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b*
 ((\sum - = -) [*0,0,0,10*] 10)
 -*from-upto-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b*
 ((\sum -<= -) [*0,0,0,10*] 10)
 -*upt-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b*
 ((\sum -< -) [*0,0,10*] 10)
 -*upto-setsum* :: *idt* \Rightarrow '*a* \Rightarrow '*b* \Rightarrow '*b*
 ((\sum - \leq -) [*0,0,10*] 10)

translations

$\sum_{x=a..b} t == \text{CONST setsum } (\%x. t) \{a..b\}$
 $\sum_{x=a..<b} t == \text{CONST setsum } (\%x. t) \{a..<b\}$

$$\begin{aligned} \sum_{i \leq n}. t &== \text{CONST setsum } (\lambda i. t) \{..n\} \\ \sum_{i < n}. t &== \text{CONST setsum } (\lambda i. t) \{..$$

The above introduces some pretty alternative syntaxes for summation over intervals:

Old	New	L ^A T _E X
$\sum_{x \in \{a..b\}}. e$	$\sum x = a..b. e$	$\sum_{x=a}^b e$
$\sum_{x \in \{a..$	$\sum x = a..$	$\sum_{x=a}^{<b} e$
$\sum_{x \in \{..b\}}. e$	$\sum x \leq b. e$	$\sum_{x \leq b} e$
$\sum_{x \in \{..$	$\sum x < b. e$	$\sum_{x < b} e$

The left column shows the term before introduction of the new syntax, the middle column shows the new (default) syntax, and the right column shows a special syntax. The latter is only meaningful for latex output and has to be activated explicitly by setting the print mode to *latex-sum* (e.g. via *mode = latex-sum* in antiquotations). It is not the default L^AT_EX output because it only works well with italic-style formulae, not tt-style.

Note that for uniformity on *nat* it is better to use $\sum x = 0.. rather than $\sum x < n. e$: *setsum* may not provide all lemmas available for $\{m.. also in the special form for $\{...$$$

This congruence rule should be used for sums over intervals as the standard theorem *setsum-cong* does not work well with the simplifier who adds the unsimplified premise $x \in B$ to the context.

lemma *setsum-ivl-cong*:

$$\llbracket a = c; b = d; !!x. \llbracket c \leq x; x < d \rrbracket \implies f x = g x \rrbracket \implies \text{setsum } f \{a..$$

by (*rule setsum-cong, simp-all*)

lemma *setsum-atMost-Suc[simp]*: $(\sum i \leq \text{Suc } n. f i) = (\sum i \leq n. f i) + f(\text{Suc } n)$
by (*simp add:atMost-Suc add-ac*)

lemma *setsum-lessThan-Suc[simp]*: $(\sum i < \text{Suc } n. f i) = (\sum i < n. f i) + f n$
by (*simp add:lessThan-Suc add-ac*)

lemma *setsum-cl-ivl-Suc[simp]*:

$$\text{setsum } f \{m..\text{Suc } n\} = (\text{if } \text{Suc } n < m \text{ then } 0 \text{ else } \text{setsum } f \{m..n\} + f(\text{Suc } n))$$

by (*auto simp:add-ac atLeastAtMostSuc-conv*)

lemma *setsum-op-ivl-Suc[simp]*:

$$\text{setsum } f \{m..$$

by (*auto simp:add-ac atLeastLessThanSuc*)

```

lemma setsum-head:
  fixes n :: nat
  assumes mn: m ≤ n
  shows (∑ x∈{m..n}. P x) = P m + (∑ x∈{m<..n}. P x) (is ?lhs = ?rhs)
proof -
  from mn
  have {m..n} = {m} ∪ {m<..n}
    by (auto intro: ivl-disj-un-singleton)
  hence ?lhs = (∑ x∈{m} ∪ {m<..n}. P x)
    by (simp add: atLeast0LessThan)
  also have ... = ?rhs by simp
  finally show ?thesis .
qed

lemma setsum-head-Suc:
  m ≤ n ⇒ setsum f {m..n} = f m + setsum f {Suc m..n}
by (simp add: setsum-head atLeastSucAtMost-greaterThanAtMost)

lemma setsum-head-upt-Suc:
  m < n ⇒ setsum f {m..apply (insert setsum-head-Suc[of m n - Suc 0 f])
apply (simp add: atLeastLessThanSuc-atLeastAtMost[symmetric] algebra-simps)
done

lemma setsum-ub-add-nat: assumes (m::nat) ≤ n + 1
  shows setsum f {m..n + p} = setsum f {m..n} + setsum f {n + 1..n + p}
proof -
  have {m .. n+p} = {m..n} ∪ {n+1..n+p} using ⟨m ≤ n+1⟩ by auto
  thus ?thesis by (auto simp: ivl-disj-int setsum-Un-disjoint
    atLeastSucAtMost-greaterThanAtMost)
qed

lemma setsum-add-nat-ivl: [ m ≤ n; n ≤ p ] ⇒
  setsum f {m..by (simp add: setsum-Un-disjoint[symmetric] ivl-disj-int ivl-disj-un)

lemma setsum-diff-nat-ivl:
  fixes f :: nat ⇒ 'a::ab-group-add
  shows [ m ≤ n; n ≤ p ] ⇒
    setsum f {m..using setsum-add-nat-ivl [of m n p f, symmetric]
apply (simp add: add-ac)
done

lemma setsum-natinterval-diff:
  fixes f :: nat ⇒ ('a::ab-group-add)
  shows setsum (λk. f k - f(k + 1)) {(m::nat) .. n} =
    (if m ≤ n then f m - f(n + 1) else 0)
by (induct n, auto simp add: algebra-simps not-le le-Suc-eq)

```

lemmas *setsum-restrict-set'* = *setsum-restrict-set*[*unfolded Int-def*]

lemma *setsum-setsum-restrict*:

finite S \implies *finite T* \implies *setsum* ($\lambda x. \text{setsum } (\lambda y. f\ x\ y) \{y. y \in T \wedge R\ x\ y\}$) *S*
 = *setsum* ($\lambda y. \text{setsum } (\lambda x. f\ x\ y) \{x. x \in S \wedge R\ x\ y\}$) *T*
by (*simp add: setsum-restrict-set'*[*unfolded mem-def*] *mem-def*)
 (*rule setsum-commute*)

lemma *setsum-image-gen*: **assumes** *fS: finite S*

shows *setsum g S* = *setsum* ($\lambda y. \text{setsum } g \{x. x \in S \wedge f\ x = y\}$) (*f* ‘ *S*)

proof –

{ fix x assume x \in S then have {y. y \in f‘S \wedge f x = y} = {f x} by auto }

hence setsum g S = setsum ($\lambda x. \text{setsum } (\lambda y. g\ x) \{y. y \in f'S \wedge f\ x = y\}$) *S*

by simp

also have ... = setsum ($\lambda y. \text{setsum } g \{x. x \in S \wedge f\ x = y\}$) (*f* ‘ *S*)

by (*rule setsum-setsum-restrict*[*OF fS finite-imageI*[*OF fS*]])

finally show ?thesis .

qed

lemma *setsum-le-included*:

fixes *f* :: 'a \Rightarrow 'b::ordered-comm-monoid-add

assumes *finite s finite t*

and $\forall y \in t. 0 \leq g\ y \ (\forall x \in s. \exists y \in t. i\ y = x \wedge f\ x \leq g\ y)$

shows *setsum f s* \leq *setsum g t*

proof –

have *setsum f s* \leq *setsum* ($\lambda y. \text{setsum } g \{x. x \in t \wedge i\ x = y\}$) *s*

proof (*rule setsum-mono*)

fix y assume y \in s

with assms obtain z where z: z \in t y = i z f y \leq g z by auto

with assms show f y \leq setsum g {x \in t. i x = y} (is ?A y \leq ?B y)

using order-trans[*of* ?A (*i z*) *setsum g {z} ?B (i z), intro*]

by (*auto intro!: setsum-mono2*)

qed

also have ... \leq setsum ($\lambda y. \text{setsum } g \{x. x \in t \wedge i\ x = y\}$) (*i* ‘ *t*)

using assms(2–4) **by** (*auto intro!: setsum-mono2 setsum-nonneg*)

also have ... \leq setsum g t

using assms by (*auto simp: setsum-image-gen[symmetric]*)

finally show ?thesis .

qed

lemma *setsum-multicount-gen*:

assumes *finite s finite t* $\forall j \in t. (\text{card } \{i \in s. R\ i\ j\} = k\ j)$

shows *setsum* ($\lambda i. (\text{card } \{j \in t. R\ i\ j\})$) *s* = *setsum k t* (**is** ?l = ?r)

proof –

have ?l = *setsum* ($\lambda i. \text{setsum } (\lambda x. 1) \{j \in t. R\ i\ j\}$) *s* **by auto**

also have ... = ?r unfolding *setsum-setsum-restrict*[*OF assms*(1–2)]

using assms(3) **by auto**

finally show ?thesis .

qed

lemma *setsum-multicount*:

assumes *finite S finite T* $\forall j \in T. (\text{card } \{i \in S. R \ i \ j\} = k)$

shows $\text{setsum } (\lambda i. \text{card } \{j \in T. R \ i \ j\}) \ S = k * \text{card } T$ (**is** ?l = ?r)

proof –

have ?l = $\text{setsum } (\lambda i. k) \ T$ **by** (rule *setsum-multicount-gen*) (auto simp: *assms*)

also have ... = ?r **by** (simp add: *mult-commute*)

finally show ?thesis **by** auto

qed

38.8 Shifting bounds

lemma *setsum-shift-bounds-nat-ivl*:

$\text{setsum } f \ \{m+k..<n+k\} = \text{setsum } (\%i. f(i+k)) \ \{m..<n::\text{nat}\}$

by (induct *n*, auto simp: *atLeastLessThanSuc*)

lemma *setsum-shift-bounds-cl-nat-ivl*:

$\text{setsum } f \ \{m+k..n+k\} = \text{setsum } (\%i. f(i+k)) \ \{m..n::\text{nat}\}$

apply (*insert setsum-reindex[OF inj-on-add-nat, where h=f and B = {m..n}])*)

apply (*simp add: image-add-atLeastAtMost o-def*)

done

corollary *setsum-shift-bounds-cl-Suc-ivl*:

$\text{setsum } f \ \{\text{Suc } m..\text{Suc } n\} = \text{setsum } (\%i. f(\text{Suc } i)) \ \{m..n\}$

by (*simp add: setsum-shift-bounds-cl-nat-ivl[where k=Suc 0, simplified]*)

corollary *setsum-shift-bounds-Suc-ivl*:

$\text{setsum } f \ \{\text{Suc } m..<\text{Suc } n\} = \text{setsum } (\%i. f(\text{Suc } i)) \ \{m..<n\}$

by (*simp add: setsum-shift-bounds-nat-ivl[where k=Suc 0, simplified]*)

lemma *setsum-shift-lb-Suc0-0*:

$f(0::\text{nat}) = (0::\text{nat}) \implies \text{setsum } f \ \{\text{Suc } 0..k\} = \text{setsum } f \ \{0..k\}$

by (*simp add: setsum-head-Suc*)

lemma *setsum-shift-lb-Suc0-0-upt*:

$f(0::\text{nat}) = 0 \implies \text{setsum } f \ \{\text{Suc } 0..<k\} = \text{setsum } f \ \{0..<k\}$

apply (*cases k*) **apply** *simp*

apply (*simp add: setsum-head-upt-Suc*)

done

38.9 The formula for geometric sums

lemma *geometric-sum*:

assumes $x \neq 1$

shows $(\sum_{i=0..<n.} x^i) = (x^n - 1) / (x - 1::'a::\text{field})$

proof –

from *assms* **obtain** *y* **where** $y = x - 1$ **and** $y \neq 0$ **by** *simp-all*

moreover have $(\sum_{i=0..<n.} (y+1)^i) = ((y+1)^n - 1) / y$

proof (*induct n*)

```

    case 0 then show ?case by simp
  next
    case (Suc n)
    moreover with ⟨y ≠ 0⟩ have (1 + y) ^ n = (y * inverse y) * (1 + y) ^ n
  by simp
    ultimately show ?case by (simp add: field-simps divide-inverse)
  qed
  ultimately show ?thesis by simp
qed

```

38.10 The formula for arithmetic sums

lemma *gauss-sum*:

$$((1::'a::\text{comm-semiring-1}) + 1) * (\sum i \in \{1..n\}. \text{of-nat } i) = \text{of-nat } n * ((\text{of-nat } n) + 1)$$

proof (*induct n*)

```

  case 0
  show ?case by simp
next
  case (Suc n)
  then show ?case by (simp add: algebra-simps)
qed

```

theorem *arith-series-general*:

$$((1::'a::\text{comm-semiring-1}) + 1) * (\sum i \in \{..<n\}. a + \text{of-nat } i * d) = \text{of-nat } n * (a + (a + \text{of-nat } (n - 1) * d))$$

proof *cases*

```

  assume ngt1: n > 1
  let ?I = λi. of-nat i and ?n = of-nat n
  have
    (∑ i ∈ {..<n}. a + ?I i * d) =
      ((∑ i ∈ {..<n}. a) + (∑ i ∈ {..<n}. ?I i * d))
  by (rule setsum-addf)
  also from ngt1 have ... = ?n * a + (∑ i ∈ {..<n}. ?I i * d) by simp
  also from ngt1 have ... = (?n * a + d * (∑ i ∈ {1..<n}. ?I i))
    unfolding One-nat-def
  by (simp add: setsum-right-distrib atLeast0LessThan[symmetric] setsum-shift-lb-Suc0-0-upt
    mult-ac)
  also have (1+1)*... = (1+1)*?n*a + d*(1+1)*(∑ i ∈ {1..<n}. ?I i)
    by (simp add: left-distrib right-distrib)
  also from ngt1 have {1..<n} = {1..n - 1}
    by (cases n) (auto simp: atLeastLessThanSuc-atLeastAtMost)
  also from ngt1
  have (1+1)*?n*a + d*(1+1)*(∑ i ∈ {1..n - 1}. ?I i) = ((1+1)*?n*a + d*?I
    (n - 1)*?I n)
    by (simp only: mult-ac gauss-sum [of n - 1], unfold One-nat-def)
    (simp add: mult-ac trans [OF add-commute of-nat-Suc [symmetric]])
  finally show ?thesis by (simp add: algebra-simps)
next

```



```

assume  $\neg(n > 1)$ 
hence  $n = 1 \vee n = 0$  by auto
thus ?thesis by (auto simp: algebra-simps)
qed

```

lemma *arith-series-nat*:

```

   $Suc (Suc\ 0) * (\sum i \in \{..<n\}. a + i * d) = n * (a + (a + (n - 1) * d))$ 
proof –
  have
     $((1::nat) + 1) * (\sum i \in \{..<n::nat\}. a + of\_nat(i) * d) =$ 
     $of\_nat(n) * (a + (a + of\_nat(n - 1) * d))$ 
    by (rule arith-series-general)
  thus ?thesis
    unfolding One-nat-def by auto
qed

```

lemma *arith-series-int*:

```

   $(2::int) * (\sum i \in \{..<n\}. a + of\_nat\ i * d) =$ 
   $of\_nat\ n * (a + (a + of\_nat(n - 1) * d))$ 
proof –
  have
     $((1::int) + 1) * (\sum i \in \{..<n\}. a + of\_nat\ i * d) =$ 
     $of\_nat(n) * (a + (a + of\_nat(n - 1) * d))$ 
    by (rule arith-series-general)
  thus ?thesis by simp
qed

```

lemma *sum-diff-distrib*:

```

  fixes  $P::nat \Rightarrow nat$ 
  shows
     $\forall x. Q\ x \leq P\ x \implies$ 
     $(\sum x < n. P\ x) - (\sum x < n. Q\ x) = (\sum x < n. P\ x - Q\ x)$ 
proof (induct n)
  case 0 show ?case by simp
next
  case (Suc n)

```

```

  let ?lhs =  $(\sum x < n. P\ x) - (\sum x < n. Q\ x)$ 
  let ?rhs =  $\sum x < n. P\ x - Q\ x$ 

```

```

from Suc have ?lhs = ?rhs by simp

```

moreover

```

from Suc have ?lhs +  $P\ n - Q\ n = ?rhs + (P\ n - Q\ n)$  by simp

```

moreover

from *Suc* **have**

```

   $(\sum x < n. P\ x) + P\ n - ((\sum x < n. Q\ x) + Q\ n) = ?rhs + (P\ n - Q\ n)$ 
  by (subst diff-diff-left[symmetric],
    subst diff-add-assoc2)
  (auto simp: diff-add-assoc2 intro: setsum-mono)

```

```

ultimately
show ?case by simp
qed

```

38.11 Products indexed over intervals

syntax

```

-from-to-setprod :: idt ⇒ 'a ⇒ 'a ⇒ 'b ⇒ 'b ((PROD - = ..-/ -) [0,0,0,10] 10)
-from-upto-setprod :: idt ⇒ 'a ⇒ 'a ⇒ 'b ⇒ 'b ((PROD - = ..<-/ -) [0,0,0,10]
10)
-upt-setprod :: idt ⇒ 'a ⇒ 'b ⇒ 'b ((PROD -<-/ -) [0,0,10] 10)
-upto-setprod :: idt ⇒ 'a ⇒ 'b ⇒ 'b ((PROD -<= -/ -) [0,0,10] 10)

```

syntax (xsymbols)

```

-from-to-setprod :: idt ⇒ 'a ⇒ 'a ⇒ 'b ⇒ 'b ((∏ - = ..-/ -) [0,0,0,10] 10)
-from-upto-setprod :: idt ⇒ 'a ⇒ 'a ⇒ 'b ⇒ 'b ((∏ - = ..<-/ -) [0,0,0,10]
10)
-upt-setprod :: idt ⇒ 'a ⇒ 'b ⇒ 'b ((∏ -<-/ -) [0,0,10] 10)
-upto-setprod :: idt ⇒ 'a ⇒ 'b ⇒ 'b ((∏ -<= -/ -) [0,0,10] 10)

```

syntax (HTML output)

```

-from-to-setprod :: idt ⇒ 'a ⇒ 'a ⇒ 'b ⇒ 'b ((∏ - = ..-/ -) [0,0,0,10] 10)
-from-upto-setprod :: idt ⇒ 'a ⇒ 'a ⇒ 'b ⇒ 'b ((∏ - = ..<-/ -) [0,0,0,10]
10)
-upt-setprod :: idt ⇒ 'a ⇒ 'b ⇒ 'b ((∏ -<-/ -) [0,0,10] 10)
-upto-setprod :: idt ⇒ 'a ⇒ 'b ⇒ 'b ((∏ -<= -/ -) [0,0,10] 10)

```

syntax (latex-prod output)

```

-from-to-setprod :: idt ⇒ 'a ⇒ 'a ⇒ 'b ⇒ 'b
((∏ - = -) [0,0,0,10] 10)
-from-upto-setprod :: idt ⇒ 'a ⇒ 'a ⇒ 'b ⇒ 'b
((∏ - < -) [0,0,0,10] 10)
-upt-setprod :: idt ⇒ 'a ⇒ 'b ⇒ 'b
((∏ - < -) [0,0,10] 10)
-upto-setprod :: idt ⇒ 'a ⇒ 'b ⇒ 'b
((∏ - ≤ -) [0,0,10] 10)

```

translations

```

∏ x=a..b. t == CONST setprod (%x. t) {a..b}
∏ x=a..<b. t == CONST setprod (%x. t) {a..<b}
∏ i≤n. t == CONST setprod (λi. t) {..n}
∏ i<n. t == CONST setprod (λi. t) {..<n}

```

38.12 Transfer setup

lemma transfer-nat-int-set-functions:

```

{..n} = nat ‘ {0..int n}
{m..n} = nat ‘ {int m..int n}
apply (auto simp add: image-def)
apply (rule-tac x = int x in bexI)
apply auto
apply (rule-tac x = int x in bexI)
apply auto

```

done

lemma *transfer-nat-int-set-function-closures*:

$x \geq 0 \implies \text{nat-set } \{x..y\}$

by (*simp add: nat-set-def*)

declare *transfer-morphism-nat-int*[*transfer add*

return: transfer-nat-int-set-functions

transfer-nat-int-set-function-closures

]

lemma *transfer-int-nat-set-functions*:

$\text{is-nat } m \implies \text{is-nat } n \implies \{m..n\} = \text{int } ' \{ \text{nat } m.. \text{nat } n \}$

by (*simp only: is-nat-def transfer-nat-int-set-functions*

transfer-nat-int-set-function-closures

transfer-nat-int-set-return-embed nat-0-le

cong: transfer-nat-int-set-cong)

lemma *transfer-int-nat-set-function-closures*:

$\text{is-nat } x \implies \text{nat-set } \{x..y\}$

by (*simp only: transfer-nat-int-set-function-closures is-nat-def*)

declare *transfer-morphism-int-nat*[*transfer add*

return: transfer-int-nat-set-functions

transfer-int-nat-set-function-closures

]

end

39 Presburger: Decision Procedure for Presburger Arithmetic

theory *Presburger*

imports *Groebner-Basis SetInterval*

uses

Tools/Qelim/qelim.ML

Tools/Qelim/cooper-procedure.ML

(Tools/Qelim/cooper.ML)

begin

39.1 The $-\infty$ and $+\infty$ Properties

lemma *minf*:

$\llbracket \exists (z :: 'a::\text{linorder}). \forall x < z. P\ x = P'\ x; \exists z. \forall x < z. Q\ x = Q'\ x \rrbracket$

$\implies \exists z. \forall x < z. (P\ x \wedge Q\ x) = (P'\ x \wedge Q'\ x)$

$\llbracket \exists (z :: 'a::\text{linorder}). \forall x < z. P\ x = P'\ x; \exists z. \forall x < z. Q\ x = Q'\ x \rrbracket$

$\implies \exists z. \forall x < z. (P\ x \vee Q\ x) = (P'\ x \vee Q'\ x)$

$\exists (z :: 'a::\{\text{linorder}\}). \forall x < z. (x = t) = \text{False}$
 $\exists (z :: 'a::\{\text{linorder}\}). \forall x < z. (x \neq t) = \text{True}$
 $\exists (z :: 'a::\{\text{linorder}\}). \forall x < z. (x < t) = \text{True}$
 $\exists (z :: 'a::\{\text{linorder}\}). \forall x < z. (x \leq t) = \text{True}$
 $\exists (z :: 'a::\{\text{linorder}\}). \forall x < z. (x > t) = \text{False}$
 $\exists (z :: 'a::\{\text{linorder}\}). \forall x < z. (x \geq t) = \text{False}$
 $\exists z. \forall (x :: 'a::\{\text{linorder}, \text{plus}, \text{Rings.dvd}\}). x < z. (d \text{ dvd } x + s) = (d \text{ dvd } x + s)$
 $\exists z. \forall (x :: 'a::\{\text{linorder}, \text{plus}, \text{Rings.dvd}\}). x < z. (\neg d \text{ dvd } x + s) = (\neg d \text{ dvd } x + s)$
 $\exists z. \forall x < z. F = F$
by ((erule exE, erule exE, rule-tac x=min z za in exI, simp)+, (rule-tac x=t in exI, fastsimp)+) simp-all

lemma pinf:

$\llbracket \exists (z :: 'a::\{\text{linorder}\}). \forall x > z. P \ x = P' \ x; \exists z. \forall x > z. Q \ x = Q' \ x \rrbracket$
 $\implies \exists z. \forall x > z. (P \ x \wedge Q \ x) = (P' \ x \wedge Q' \ x)$
 $\llbracket \exists (z :: 'a::\{\text{linorder}\}). \forall x > z. P \ x = P' \ x; \exists z. \forall x > z. Q \ x = Q' \ x \rrbracket$
 $\implies \exists z. \forall x > z. (P \ x \vee Q \ x) = (P' \ x \vee Q' \ x)$
 $\exists (z :: 'a::\{\text{linorder}\}). \forall x > z. (x = t) = \text{False}$
 $\exists (z :: 'a::\{\text{linorder}\}). \forall x > z. (x \neq t) = \text{True}$
 $\exists (z :: 'a::\{\text{linorder}\}). \forall x > z. (x < t) = \text{False}$
 $\exists (z :: 'a::\{\text{linorder}\}). \forall x > z. (x \leq t) = \text{False}$
 $\exists (z :: 'a::\{\text{linorder}\}). \forall x > z. (x > t) = \text{True}$
 $\exists (z :: 'a::\{\text{linorder}\}). \forall x > z. (x \geq t) = \text{True}$
 $\exists z. \forall (x :: 'a::\{\text{linorder}, \text{plus}, \text{Rings.dvd}\}). x > z. (d \text{ dvd } x + s) = (d \text{ dvd } x + s)$
 $\exists z. \forall (x :: 'a::\{\text{linorder}, \text{plus}, \text{Rings.dvd}\}). x > z. (\neg d \text{ dvd } x + s) = (\neg d \text{ dvd } x + s)$
 $\exists z. \forall x > z. F = F$
by ((erule exE, erule exE, rule-tac x=max z za in exI, simp)+, (rule-tac x=t in exI, fastsimp)+) simp-all

lemma inf-period:

$\llbracket \forall x \ k. P \ x = P \ (x - k * D); \forall x \ k. Q \ x = Q \ (x - k * D) \rrbracket$
 $\implies \forall x \ k. (P \ x \wedge Q \ x) = (P \ (x - k * D) \wedge Q \ (x - k * D))$
 $\llbracket \forall x \ k. P \ x = P \ (x - k * D); \forall x \ k. Q \ x = Q \ (x - k * D) \rrbracket$
 $\implies \forall x \ k. (P \ x \vee Q \ x) = (P \ (x - k * D) \vee Q \ (x - k * D))$
 $(d :: 'a::\{\text{comm-ring}, \text{Rings.dvd}\}) \text{ dvd } D \implies \forall x \ k. (d \text{ dvd } x + t) = (d \text{ dvd } (x - k * D) + t)$
 $(d :: 'a::\{\text{comm-ring}, \text{Rings.dvd}\}) \text{ dvd } D \implies \forall x \ k. (\neg d \text{ dvd } x + t) = (\neg d \text{ dvd } (x - k * D) + t)$
 $\forall x \ k. F = F$

apply (auto elim!: dvdE simp add: algebra-simps)

unfolding mult-assoc [symmetric] left-distrib [symmetric] left-diff-distrib [symmetric]

unfolding dvd-def mult-commute [of d]

by auto

39.2 The A and B sets

lemma bset:

$\llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow P \ x \longrightarrow P(x - D) ;$
 $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow Q \ x \longrightarrow Q(x - D) \rrbracket \implies$

$$\begin{aligned}
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (P x \wedge Q x) \longrightarrow (P(x - D) \wedge Q(x - D)) \\
& \llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow P x \longrightarrow P(x - D) ; \\
& \quad \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow Q x \longrightarrow Q(x - D) \rrbracket \Longrightarrow \\
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (P x \vee Q x) \longrightarrow (P(x - D) \vee Q(x - D)) \\
& \llbracket D > 0 ; t - 1 \in B \rrbracket \Longrightarrow (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x = t) \longrightarrow (x - D = t)) \\
& \llbracket D > 0 ; t \in B \rrbracket \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \neq t) \longrightarrow (x - D \neq t)) \\
& D > 0 \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x < t) \longrightarrow (x - D < t)) \\
& D > 0 \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \leq t) \longrightarrow (x - D \leq t)) \\
& \llbracket D > 0 ; t \in B \rrbracket \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x > t) \longrightarrow (x - D > t)) \\
& \llbracket D > 0 ; t - 1 \in B \rrbracket \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \geq t) \longrightarrow (x - D \geq t)) \\
& d \text{ dvd } D \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (d \text{ dvd } x + t) \longrightarrow (d \text{ dvd } (x - D) + t)) \\
& d \text{ dvd } D \Longrightarrow (\forall (x :: \text{int}). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (\neg d \text{ dvd } x + t) \longrightarrow (\neg d \text{ dvd } (x - D) + t)) \\
& \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow F \longrightarrow F \\
\text{proof (blast, blast)} \\
& \text{assume } dp: D > 0 \text{ and } tB: t - 1 \in B \\
& \text{show } (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x = t) \longrightarrow (x - D = t)) \\
& \text{apply (rule allI, rule impI, erule ballE[where x=1], erule ballE[where x=t-1])} \\
& \text{apply algebra using dp tB by simp-all} \\
\text{next} \\
& \text{assume } dp: D > 0 \text{ and } tB: t \in B \\
& \text{show } (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \neq t) \longrightarrow (x - D \neq t)) \\
& \text{apply (rule allI, rule impI, erule ballE[where x=D], erule ballE[where x=t])} \\
& \text{apply algebra} \\
& \text{using dp tB by simp-all} \\
\text{next} \\
& \text{assume } dp: D > 0 \text{ thus } (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x < t) \longrightarrow (x - D < t)) \text{ by arith} \\
\text{next} \\
& \text{assume } dp: D > 0 \text{ thus } \forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \leq t) \longrightarrow (x - D \leq t) \text{ by arith} \\
\text{next} \\
& \text{assume } dp: D > 0 \text{ and } tB: t \in B \\
& \{\text{fix } x \text{ assume nob: } \forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j \text{ and } g: x > t \text{ and } ng: \neg (x - D) > t \\
& \text{hence } x - t \leq D \text{ and } 1 \leq x - t \text{ by simp+} \\
& \text{hence } \exists j \in \{1 \dots D\}. x - t = j \text{ by auto} \\
& \text{hence } \exists j \in \{1 \dots D\}. x = t + j \text{ by (simp add: algebra-simps)} \\
& \text{with nob tB have False by simp}\}
\end{aligned}$$

thus $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x > t) \longrightarrow (x - D > t)$ **by** *blast*
next
assume $dp: D > 0$ **and** $tB: t - 1 \in B$
{fix x **assume** $nob: \forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j$ **and** $g: x \geq t$ **and** $ng: \neg (x - D) \geq t$
hence $x - (t - 1) \leq D$ **and** $1 \leq x - (t - 1)$ **by** *simp+*
hence $\exists j \in \{1 \dots D\}. x - (t - 1) = j$ **by** *auto*
hence $\exists j \in \{1 \dots D\}. x = (t - 1) + j$ **by** (*simp add: algebra-simps*)
with nob tB **have** *False* **by** *simp*
thus $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (x \geq t) \longrightarrow (x - D \geq t)$ **by** *blast*
next
assume $d: d \text{ dvd } D$
{fix x **assume** $H: d \text{ dvd } x + t$ **with** d **have** $d \text{ dvd } (x - D) + t$ **by** *algebra*
thus $\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (d \text{ dvd } x + t) \longrightarrow (d \text{ dvd } (x - D) + t)$ **by** *simp*
next
assume $d: d \text{ dvd } D$
{fix x **assume** $H: \neg (d \text{ dvd } x + t)$ **with** d **have** $\neg d \text{ dvd } (x - D) + t$
by (*clarsimp simp add: dvd-def,erule-tac x = ka + k in allE,simp add: algebra-simps*)
thus $\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in B. x \neq b + j) \longrightarrow (\neg d \text{ dvd } x + t) \longrightarrow (\neg d \text{ dvd } (x - D) + t)$ **by** *auto*
qed *blast*

lemma *aset:*

$\llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow P x \longrightarrow P(x + D) ;$
 $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow Q x \longrightarrow Q(x + D) \rrbracket \Longrightarrow$
 $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (P x \wedge Q x) \longrightarrow (P(x + D) \wedge Q(x + D))$
 $\llbracket \forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow P x \longrightarrow P(x + D) ;$
 $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow Q x \longrightarrow Q(x + D) \rrbracket \Longrightarrow$
 $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (P x \vee Q x) \longrightarrow (P(x + D) \vee Q(x + D))$
 $\llbracket D > 0; t + 1 \in A \rrbracket \Longrightarrow (\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x = t) \longrightarrow (x + D = t))$
 $\llbracket D > 0; t \in A \rrbracket \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \neq t) \longrightarrow (x + D \neq t))$
 $\llbracket D > 0; t \in A \rrbracket \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x < t) \longrightarrow (x + D < t))$
 $\llbracket D > 0; t + 1 \in A \rrbracket \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \leq t) \longrightarrow (x + D \leq t))$
 $D > 0 \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x > t) \longrightarrow (x + D > t))$
 $D > 0 \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \geq t) \longrightarrow (x + D \geq t))$
 $d \text{ dvd } D \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (d \text{ dvd } x + t) \longrightarrow (d \text{ dvd } (x + D) + t))$
 $d \text{ dvd } D \Longrightarrow (\forall (x::int). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (\neg d \text{ dvd } x + t) \longrightarrow (\neg d \text{ dvd } (x + D) + t))$

$\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow F \longrightarrow F$
proof (*blast*, *blast*)
 assume *dp*: $D > 0$ and *tA*: $t + 1 \in A$
 show $(\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x = t) \longrightarrow (x + D = t))$
 apply (*rule allI*, *rule impI*, *erule ballE*[**where** $x=1$], *erule ballE*[**where** $x=t + 1$])
 using *dp tA* by *simp-all*
next
 assume *dp*: $D > 0$ and *tA*: $t \in A$
 show $(\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \neq t) \longrightarrow (x + D \neq t))$
 apply (*rule allI*, *rule impI*, *erule ballE*[**where** $x=D$], *erule ballE*[**where** $x=t$])
 using *dp tA* by *simp-all*
next
 assume *dp*: $D > 0$ **thus** $(\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x > t) \longrightarrow (x + D > t))$ by *arith*
next
 assume *dp*: $D > 0$ **thus** $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \geq t) \longrightarrow (x + D \geq t)$ by *arith*
next
 assume *dp*: $D > 0$ and *tA*: $t \in A$
 {**fix** *x* **assume** *nob*: $\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j$ and *g*: $x < t$ and *ng*: $\neg (x + D) < t$
 hence $t - x \leq D$ and $1 \leq t - x$ by *simp+*
 hence $\exists j \in \{1 \dots D\}. t - x = j$ by *auto*
 hence $\exists j \in \{1 \dots D\}. x = t - j$ by (*auto simp add: algebra-simps*)
 with *nob tA* have *False* by *simp*}
thus $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x < t) \longrightarrow (x + D < t)$ by *blast*
next
 assume *dp*: $D > 0$ and *tA*: $t + 1 \in A$
 {**fix** *x* **assume** *nob*: $\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j$ and *g*: $x \leq t$ and *ng*: $\neg (x + D) \leq t$
 hence $(t + 1) - x \leq D$ and $1 \leq (t + 1) - x$ by (*simp-all add: algebra-simps*)
 hence $\exists j \in \{1 \dots D\}. (t + 1) - x = j$ by *auto*
 hence $\exists j \in \{1 \dots D\}. x = (t + 1) - j$ by (*auto simp add: algebra-simps*)
 with *nob tA* have *False* by *simp*}
thus $\forall x. (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (x \leq t) \longrightarrow (x + D \leq t)$ by *blast*
next
 assume *d*: $d \text{ dvd } D$
 {**fix** *x* **assume** *H*: $d \text{ dvd } x + t$ **with** *d* **have** $d \text{ dvd } (x + D) + t$
 by (*clarsimp simp add: dvd-def, rule-tac* $x = ka + k$ **in** *exI, simp add: algebra-simps*)}
thus $\forall (x::\text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (d \text{ dvd } x + t) \longrightarrow (d \text{ dvd } (x + D) + t)$ by *simp*
next
 assume *d*: $d \text{ dvd } D$
 {**fix** *x* **assume** *H*: $\neg (d \text{ dvd } x + t)$ **with** *d* **have** $\neg d \text{ dvd } (x + D) + t$
 by (*clarsimp simp add: dvd-def, erule-tac* $x = ka - k$ **in** *allE, simp add: algebra-simps*)}
thus $\forall (x::\text{int}). (\forall j \in \{1 \dots D\}. \forall b \in A. x \neq b - j) \longrightarrow (\neg d \text{ dvd } x + t) \longrightarrow (\neg d \text{ dvd } (x + D) + t)$

$(x + D) + t$ **by** *auto*
qed *blast*

39.3 Cooper’s Theorem $-\infty$ and $+\infty$ Version

39.3.1 First some trivial facts about periodic sets or predicates

lemma *periodic-finite-ex*:

assumes *dpos*: $(0::int) < d$ **and** *modd*: $ALL\ x\ k.\ P\ x = P(x - k*d)$

shows $(EX\ x.\ P\ x) = (EX\ j : \{1..d\}.\ P\ j)$

(**is** *?LHS* = *?RHS*)

proof

assume *?LHS*

then obtain *x* **where** $P\ x$..

have $x\ mod\ d = x - (x\ div\ d)*d$ **by** (*simp add:zmod-zdiv-equality mult-ac eq-diff-eq*)

hence *Pmod*: $P\ x = P(x\ mod\ d)$ **using** *modd* **by** *simp*

show *?RHS*

proof (*cases*)

assume $x\ mod\ d = 0$

hence $P\ 0$ **using** *P Pmod* **by** *simp*

moreover have $P\ 0 = P(0 - (-1)*d)$ **using** *modd* **by** *blast*

ultimately have $P\ d$ **by** *simp*

moreover have $d : \{1..d\}$ **using** *dpos* **by** *simp*

ultimately show *?RHS* ..

next

assume *not0*: $x\ mod\ d \neq 0$

have $P(x\ mod\ d)$ **using** *dpos P Pmod* **by** *simp*

moreover have $x\ mod\ d : \{1..d\}$

proof –

from *dpos* **have** $0 \leq x\ mod\ d$ **by** (*rule pos-mod-sign*)

moreover from *dpos* **have** $x\ mod\ d < d$ **by** (*rule pos-mod-bound*)

ultimately show *?thesis* **using** *not0* **by** *simp*

qed

ultimately show *?RHS* ..

qed

qed *auto*

39.3.2 The $-\infty$ Version

lemma *decr-lemma*: $0 < (d::int) \implies x - (abs(x-z)+1) * d < z$
by (*induct rule: int-gr-induct, simp-all add:int-distrib*)

lemma *incr-lemma*: $0 < (d::int) \implies z < x + (abs(x-z)+1) * d$
by (*induct rule: int-gr-induct, simp-all add:int-distrib*)

lemma *decr-mult-lemma*:

assumes *dpos*: $(0::int) < d$ **and** *minus*: $\forall x.\ P\ x \longrightarrow P(x - d)$ **and** *knneg*: $0 \leq k$

shows $ALL\ x.\ P\ x \longrightarrow P(x - k*d)$

using *knneg*


```

proof (induct rule:int-ge-induct)
  case base thus ?case by simp
next
  case (step i)
  {fix x
   have  $P\ x \longrightarrow P\ (x - i * d)$  using step.hyps by blast
   also have  $\dots \longrightarrow P(x - (i + 1) * d)$  using minus[THEN spec, of  $x - i * d$ ]
   by (simp add: algebra-simps)
   ultimately have  $P\ x \longrightarrow P(x - (i + 1) * d)$  by blast}
  thus ?case ..
qed

```

```

lemma minusinfinity:
  assumes dpos:  $0 < d$  and
    P1eqP1:  $\text{ALL } x\ k. P1\ x = P1(x - k*d)$  and ePeqP1:  $\text{EX } z::\text{int}. \text{ALL } x. x < z \longrightarrow (P\ x = P1\ x)$ 
  shows  $(\text{EX } x. P1\ x) \longrightarrow (\text{EX } x. P\ x)$ 
proof
  assume eP1:  $\text{EX } x. P1\ x$ 
  then obtain x where P1:  $P1\ x ..$ 
  from ePeqP1 obtain z where P1eqP:  $\text{ALL } x. x < z \longrightarrow (P\ x = P1\ x) ..$ 
  let ?w =  $x - (\text{abs}(x-z)+1) * d$ 
  from dpos have w:  $?w < z$  by (rule decr-lemma)
  have  $P1\ x = P1\ ?w$  using P1eqP1 by blast
  also have  $\dots = P(?w)$  using w P1eqP by blast
  finally have  $P\ ?w$  using P1 by blast
  thus  $\text{EX } x. P\ x ..$ 
qed

```

```

lemma cpmi:
  assumes dp:  $0 < D$  and p1: $\exists z. \forall x < z. P\ x = P'\ x$ 
  and nb: $\forall x. (\forall j \in \{1..D\}. \forall (b::\text{int}) \in B. x \neq b+j) \longrightarrow P\ (x) \longrightarrow P\ (x - D)$ 
  and pd: $\forall x\ k. P'\ x = P'\ (x - k*D)$ 
  shows  $(\exists x. P\ x) = ((\exists j \in \{1..D\}. P'\ j) \mid (\exists j \in \{1..D\}. \exists b \in B. P\ (b+j)))$ 
  (is ?L = (?R1  $\vee$  ?R2))
proof–
  {assume ?R2 hence ?L by blast}
  moreover
  {assume H: ?R1 hence ?L using minusinfinity[OF dp pd p1] periodic-finite-ex[OF dp pd] by simp}
  moreover
  { fix x
    assume P:  $P\ x$  and H:  $\neg ?R2$ 
    {fix y assume  $\neg (\exists j \in \{1..D\}. \exists b \in B. P\ (b + j))$  and P:  $P\ y$ 
     hence  $\sim (\text{EX } (j::\text{int}) : \{1..D\}. \text{EX } (b::\text{int}) : B. y = b+j)$  by auto
     with nb P have  $P\ (y - D)$  by auto }
    hence  $\text{ALL } x. \sim (\text{EX } (j::\text{int}) : \{1..D\}. \text{EX } (b::\text{int}) : B. P(b+j)) \longrightarrow P\ (x) \longrightarrow P\ (x - D)$  by blast
  }

```

```

with  $H\ P$  have  $th: \forall x. P\ x \longrightarrow P\ (x - D)$  by auto
from  $p1$  obtain  $z$  where  $z: ALL\ x. x < z \dashv\dashv (P\ x = P'\ x)$  by blast
let  $?y = x - (|x - z| + 1) * D$ 
have  $zp: 0 \leq (|x - z| + 1)$  by arith
from  $dp$  have  $yz: ?y < z$  using decr-lemma[OF dp] by simp
from  $z$ [rule-format, OF yz] decr-mult-lemma[OF dp th zp, rule-format, OF P]
have  $th2: P'\ ?y$  by auto
  with periodic-finite-ex[OF dp pd]
  have  $?R1$  by blast
ultimately show  $?thesis$  by blast
qed

```

39.3.3 The $+\infty$ Version

lemma *plusinfinity*:

```

assumes  $dpos: (0::int) < d$  and
   $P1eqP1: \forall x\ k. P'\ x = P'(x - k*d)$  and  $ePeqP1: \exists z. \forall x > z. P\ x = P'\ x$ 
shows  $(\exists x. P'\ x) \longrightarrow (\exists x. P\ x)$ 
proof
  assume  $eP1: EX\ x. P'\ x$ 
  then obtain  $x$  where  $P1: P'\ x ..$ 
  from  $ePeqP1$  obtain  $z$  where  $P1eqP: \forall x > z. P\ x = P'\ x ..$ 
  let  $?w' = x + (abs(x-z)+1) * d$ 
  let  $?w = x - (-(abs(x-z) + 1)) * d$ 
  have  $ww'[simp]: ?w = ?w'$  by (simp add: algebra-simps)
  from  $dpos$  have  $w: ?w > z$  by (simp only: ww' incr-lemma)
  hence  $P'\ x = P'\ ?w$  using  $P1eqP1$  by blast
  also have  $\dots = P(?w)$  using  $w\ P1eqP$  by blast
  finally have  $P\ ?w$  using  $P1$  by blast
  thus  $EX\ x. P\ x ..$ 
qed

```

lemma *incr-mult-lemma*:

```

assumes  $dpos: (0::int) < d$  and  $plus: ALL\ x::int. P\ x \longrightarrow P(x + d)$  and  $knneg: 0 \leq k$ 
shows  $ALL\ x. P\ x \longrightarrow P(x + k*d)$ 
using  $knneg$ 
proof (induct rule:int-ge-induct)
  case base thus  $?case$  by simp
next
  case (step i)
  {fix  $x$ 
   have  $P\ x \longrightarrow P(x + i * d)$  using step.hyps by blast
   also have  $\dots \longrightarrow P(x + (i + 1) * d)$  using plus[THEN spec, of x + i * d]
     by (simp add:int-distrib zadd-ac)
   ultimately have  $P\ x \longrightarrow P(x + (i + 1) * d)$  by blast}
  thus  $?case ..$ 
qed

```

```

lemma cppi:
  assumes dp:  $0 < D$  and p1:  $\exists z. \forall x > z. P\ x = P'\ x$ 
  and nb:  $\forall x. (\forall j \in \{1..D\}. \forall (b::int) \in A. x \neq b - j) \longrightarrow P\ (x) \longrightarrow P\ (x + D)$ 
  and pd:  $\forall x\ k. P'\ x = P'\ (x - k * D)$ 
  shows  $(\exists x. P\ x) = ((\exists j \in \{1..D\}. P'\ j) \mid (\exists j \in \{1..D\}. \exists b \in A. P\ (b - j)))$ 
(is ?L = (?R1  $\vee$  ?R2))
proof –
  {assume ?R2 hence ?L by blast}
moreover
  {assume H: ?R1 hence ?L using plusinfinity[OF dp pd p1] periodic-finite-ex[OF dp pd] by simp}
moreover
  {fix x
   assume P:  $P\ x$  and H:  $\neg ?R2$ 
   {fix y assume  $\neg (\exists j \in \{1..D\}. \exists b \in A. P\ (b - j))$  and P:  $P\ y$ 
    hence  $\sim (EX\ (j::int) : \{1..D\}. EX\ (b::int) : A. y = b - j)$  by auto
    with nb P have  $P\ (y + D)$  by auto }
    hence  $ALL\ x. \sim (EX\ (j::int) : \{1..D\}. EX\ (b::int) : A. P\ (b - j)) \longrightarrow P\ (x) \longrightarrow P\ (x + D)$  by blast
    with H P have th:  $\forall x. P\ x \longrightarrow P\ (x + D)$  by auto
    from p1 obtain z where  $z: ALL\ x. x > z \longrightarrow (P\ x = P'\ x)$  by blast
    let ?y =  $x + (|x - z| + 1) * D$ 
    have zp:  $0 \leq (|x - z| + 1)$  by arith
    from dp have yz: ?y > z using incr-lemma[OF dp] by simp
    from z[rule-format, OF yz] incr-mult-lemma[OF dp th zp, rule-format, OF P]
  }
have th2:  $P'\ ?y$  by auto
  with periodic-finite-ex[OF dp pd]
  have ?R1 by blast}
ultimately show ?thesis by blast
qed

```

```

lemma simp-from-to:  $\{i..j::int\} = (\text{if } j < i \text{ then } \{\} \text{ else insert } i\ \{i+1..j\})$ 
apply (simp add: atLeastAtMost-def atLeast-def atMost-def)
apply (fastsimp)
done

```

```

theorem unity-coeff-ex:  $(\exists (x::'a::\{\text{semiring-0}, \text{Rings.dvd}\}). P\ (l * x)) \equiv (\exists x. l \text{ dvd } (x + 0) \wedge P\ x)$ 
  apply (rule eq-reflection [symmetric])
  apply (rule iffI)
  defer
  apply (erule exE)
  apply (rule-tac  $x = l * x$  in exI)
  apply (simp add: dvd-def)
  apply (rule-tac  $x = x$  in exI, simp)
  apply (erule exE)
  apply (erule conjE)
  apply simp

```

```

apply (erule dvdE)
apply (rule-tac  $x = k$  in exI)
apply simp
done

```

```

lemma zdvd-mono: assumes not0:  $(k::int) \neq 0$ 
shows  $((m::int) \text{ dvd } t) \equiv (k*m \text{ dvd } k*t)$ 
using not0 by (simp add: dvd-def)

```

```

lemma uminus-dvd-conv:  $(d \text{ dvd } (t::int)) \equiv (-d \text{ dvd } t) \ (d \text{ dvd } (t::int)) \equiv (d \text{ dvd } -t)$ 
by simp-all

```

Theorems for transforming predicates on nat to predicates on *int*

```

lemma zdiff-int-split:  $P \ (int \ (x - y)) =$ 
 $((y \leq x \longrightarrow P \ (int \ x - int \ y)) \wedge (x < y \longrightarrow P \ 0))$ 
by (cases  $y \leq x$ ) (simp-all add: zdiff-int)

```

```

lemma number-of1:  $(0::int) \leq number-of \ n \implies (0::int) \leq number-of \ (Int.Bit0 \ n) \wedge (0::int) \leq number-of \ (Int.Bit1 \ n)$ 
by simp

```

```

lemma number-of2:  $(0::int) \leq Numeral0$  by simp

```

Specific instances of congruence rules, to prevent simplifier from looping.

```

theorem imp-le-cong:  $(0 \leq x \implies P = P') \implies (0 \leq (x::int) \longrightarrow P) = (0 \leq x \longrightarrow P')$  by simp

```

```

theorem conj-le-cong:  $(0 \leq x \implies P = P') \implies (0 \leq (x::int) \wedge P) = (0 \leq x \wedge P')$ 
by (simp cong: conj-cong)

```

```

use Tools/Qelim/cooper.ML

```

```

setup Cooper.setup

```

```

method-setup presburger = Cooper.method Cooper's algorithm for Presburger arithmetic

```

```

declare dvd-eq-mod-eq-0[symmetric, presburger]
declare mod-1[presburger]
declare mod-0[presburger]
declare mod-by-1[presburger]
declare zmod-zero[presburger]
declare zmod-self[presburger]
declare mod-self[presburger]
declare mod-by-0[presburger]
declare mod-div-trivial[presburger]

```

```

declare div-mod-equality2[presburger]
declare div-mod-equality[presburger]
declare mod-div-equality2[presburger]
declare mod-div-equality[presburger]
declare mod-mult-self1[presburger]
declare mod-mult-self2[presburger]
declare zdiv-zmod-equality2[presburger]
declare zdiv-zmod-equality[presburger]
declare mod2-Suc-Suc[presburger]
lemma [presburger]: (a::int) div 0 = 0 and [presburger]: a mod 0 = a
by simp-all

lemma [presburger, algebra]: m mod 2 = (1::nat)  $\longleftrightarrow$   $\neg$  2 dvd m by presburger
lemma [presburger, algebra]: m mod 2 = Suc 0  $\longleftrightarrow$   $\neg$  2 dvd m by presburger
lemma [presburger, algebra]: m mod (Suc (Suc 0)) = (1::nat)  $\longleftrightarrow$   $\neg$  2 dvd m by
presburger
lemma [presburger, algebra]: m mod (Suc (Suc 0)) = Suc 0  $\longleftrightarrow$   $\neg$  2 dvd m by
presburger
lemma [presburger, algebra]: m mod 2 = (1::int)  $\longleftrightarrow$   $\neg$  2 dvd m by presburger

end

```

40 Hilbert-Choice: Hilbert’s Epsilon-Operator and the Axiom of Choice

```

theory Hilbert-Choice
imports Nat Wellfounded Plain
uses (Tools/meson.ML) (Tools/choice-specification.ML)
begin

```

40.1 Hilbert’s epsilon

```

axiomatization Eps :: ('a => bool) => 'a where
  someI: P x ==> P (Eps P)

syntax (epsilon)
  -Eps      :: [pttrn, bool] => 'a    (( $\exists \epsilon$  -./ -) [0, 10] 10)
syntax (HOL)
  -Eps      :: [pttrn, bool] => 'a    (( $\exists @$  -./ -) [0, 10] 10)
syntax
  -Eps      :: [pttrn, bool] => 'a    (( $\exists \text{SOME}$  -./ -) [0, 10] 10)
translations
  SOME x. P == CONST Eps (%x. P)

print-translation <<
  [(@{const-syntax Eps}, fn [Abs abs] =>
    let val (x, t) = atomic-abs-tr' abs
```

in Syntax.const @{syntax-const -Eps} \$ x \$ t end)]
 >> — to avoid eta-contraction of body

definition *inv-into* :: 'a set => ('a => 'b) => ('b => 'a) **where**
inv-into A f == %x. SOME y. y : A & f y = x

abbreviation *inv* :: ('a => 'b) => ('b => 'a) **where**
inv == *inv-into* UNIV

40.2 Hilbert’s Epsilon-operator

Easier to apply than *someI* if the witness comes from an existential formula

lemma *someI-ex* [*elim?*]: $\exists x. P\ x \implies P\ (SOME\ x.\ P\ x)$
apply (*erule exE*)
apply (*erule someI*)
done

Easier to apply than *someI* because the conclusion has only one occurrence of *P*.

lemma *someI2*: $[P\ a;\ !x. P\ x \implies Q\ x] \implies Q\ (SOME\ x.\ P\ x)$
by (*blast intro: someI*)

Easier to apply than *someI2* if the witness comes from an existential formula

lemma *someI2-ex*: $[P\ a;\ !x. P\ x \implies Q\ x] \implies Q\ (SOME\ x.\ P\ x)$
by (*blast intro: someI2*)

lemma *some-equality* [*intro*]:
 $[P\ a;\ !x. P\ x \implies x=a] \implies (SOME\ x.\ P\ x) = a$
by (*blast intro: someI2*)

lemma *some1-equality*: $[EX!x. P\ x;\ P\ a] \implies (SOME\ x.\ P\ x) = a$
by *blast*

lemma *some-eq-ex*: $P\ (SOME\ x.\ P\ x) = (\exists x. P\ x)$
by (*blast intro: someI*)

lemma *some-eq-trivial* [*simp*]: $(SOME\ y.\ y=x) = x$
apply (*rule some-equality*)
apply (*rule refl, assumption*)
done

lemma *some-sym-eq-trivial* [*simp*]: $(SOME\ y.\ x=y) = x$
apply (*rule some-equality*)
apply (*rule refl*)
apply (*erule sym*)
done

40.3 Axiom of Choice, Proved Using the Description Operator

Used in *Tools/meson.ML*

lemma *choice*: $\forall x. \exists y. Q\ x\ y \implies \exists f. \forall x. Q\ x\ (f\ x)$
by (*fast elim: someI*)

lemma *bchoice*: $\forall x \in S. \exists y. Q\ x\ y \implies \exists f. \forall x \in S. Q\ x\ (f\ x)$
by (*fast elim: someI*)

40.4 Function Inverse

lemma *inv-def*: $inv\ f = (\%y. SOME\ x. f\ x = y)$
by (*simp add: inv-into-def*)

lemma *inv-into-into*: $x : f\ 'A \implies inv\ into\ A\ f\ x : A$
apply (*simp add: inv-into-def*)
apply (*fast intro: someI2*)
done

lemma *inv-id* [*simp*]: $inv\ id = id$
by (*simp add: inv-into-def id-def*)

lemma *inv-into-f-f* [*simp*]:
 $[| inj\ on\ f\ A; x : A |] \implies inv\ into\ A\ f\ (f\ x) = x$
apply (*simp add: inv-into-def inj-on-def*)
apply (*blast intro: someI2*)
done

lemma *inv-f-f*: $inj\ f \implies inv\ f\ (f\ x) = x$
by *simp*

lemma *f-inv-into-f*: $y : f\ 'A \implies f\ (inv\ into\ A\ f\ y) = y$
apply (*simp add: inv-into-def*)
apply (*fast intro: someI2*)
done

lemma *inv-into-f-eq*: $[| inj\ on\ f\ A; x : A; f\ x = y |] \implies inv\ into\ A\ f\ y = x$
apply (*erule subst*)
apply (*fast intro: inv-into-f-f*)
done

lemma *inv-f-eq*: $[| inj\ f; f\ x = y |] \implies inv\ f\ y = x$
by (*simp add: inv-into-f-eq*)

lemma *inj-imp-inv-eq*: $[| inj\ f; ALL\ x. f\ (g\ x) = x |] \implies inv\ f = g$
by (*blast intro: ext inv-into-f-eq*)

But is it useful?

lemma *inj-transfer*:

assumes *injf*: $\text{inj } f$ **and** *minor*: $\forall y. y \in \text{range}(f) \implies P(\text{inv } f \ y)$

shows $P \ x$

proof –

have $f \ x \in \text{range } f$ **by** *auto*

hence $P(\text{inv } f \ (f \ x))$ **by** (*rule minor*)

thus $P \ x$ **by** (*simp add: inv-into-f-f [OF injf]*)

qed

lemma *inj-iff*: $(\text{inj } f) = (\text{inv } f \ o \ f = \text{id})$

apply (*simp add: o-def expand-fun-eq*)

apply (*blast intro: inj-on-inverseI inv-into-f-f*)

done

lemma *inv-o-cancel[simp]*: $\text{inj } f \implies \text{inv } f \ o \ f = \text{id}$

by (*simp add: inj-iff*)

lemma *o-inv-o-cancel[simp]*: $\text{inj } f \implies g \ o \ \text{inv } f \ o \ f = g$

by (*simp add: o-assoc[symmetric]*)

lemma *inv-into-image-cancel[simp]*:

inj-on $f \ A \implies S \leq A \implies \text{inv-into } A \ f \ ' \ f \ ' \ S = S$

by(*fastsimp simp: image-def*)

lemma *inj-imp-surj-inv*: $\text{inj } f \implies \text{surj } (\text{inv } f)$

by (*blast intro: surjI inv-into-f-f*)

lemma *surj-f-inv-f*: $\text{surj } f \implies f(\text{inv } f \ y) = y$

by (*simp add: f-inv-into-f surj-range*)

lemma *inv-into-injective*:

assumes *eq*: $\text{inv-into } A \ f \ x = \text{inv-into } A \ f \ y$

and $x: x: f'A$

and $y: y: f'A$

shows $x=y$

proof –

have $f \ (\text{inv-into } A \ f \ x) = f \ (\text{inv-into } A \ f \ y)$ **using** *eq* **by** *simp*

thus *?thesis* **by** (*simp add: f-inv-into-f x y*)

qed

lemma *inj-on-inv-into*: $B \leq f'A \implies \text{inj-on } (\text{inv-into } A \ f) \ B$

by (*blast intro: inj-onI dest: inv-into-injective injD*)

lemma *bij-betw-inv-into*: $\text{bij-betw } f \ A \ B \implies \text{bij-betw } (\text{inv-into } A \ f) \ B \ A$

by (*auto simp add: bij-betw-def inj-on-inv-into*)

lemma *surj-imp-inj-inv*: $\text{surj } f \implies \text{inj } (\text{inv } f)$

by (*simp add: inj-on-inv-into surj-range*)


```

lemma surj-iff: (surj f) = (f o inv f = id)
apply (simp add: o-def expand-fun-eq)
apply (blast intro: surjI surj-f-inv-f)
done

```

```

lemma surj-imp-inv-eq: [| surj f;  $\forall x. g(f\ x) = x$  |] ==> inv f = g
apply (rule ext)
apply (drule-tac x = inv f x in spec)
apply (simp add: surj-f-inv-f)
done

```

```

lemma bij-imp-bij-inv: bij f ==> bij (inv f)
by (simp add: bij-def inj-imp-surj-inv surj-imp-inj-inv)

```

```

lemma inv-equality: [|  $\forall x. g(f\ x) = x$ ;  $\forall y. f(g\ y) = y$  |] ==> inv f = g
apply (rule ext)
apply (auto simp add: inv-into-def)
done

```

```

lemma inv-inv-eq: bij f ==> inv (inv f) = f
apply (rule inv-equality)
apply (auto simp add: bij-def surj-f-inv-f)
done

```

```

lemma inv-into-comp:
  [| inj-on f (g ‘ A); inj-on g A; x : f ‘ g ‘ A |] ==>
    inv-into A (f o g) x = inv-into A g o inv-into (g ‘ A) f x
apply (rule inv-into-f-eq)
  apply (fast intro: comp-inj-on)
  apply (simp add: inv-into-into)
apply (simp add: f-inv-into-f inv-into-into)
done

```

```

lemma o-inv-distrib: [| bij f; bij g |] ==> inv (f o g) = inv g o inv f
apply (rule inv-equality)
apply (auto simp add: bij-def surj-f-inv-f)
done

```

```

lemma image-surj-f-inv-f: surj f ==> f ‘ (inv f ‘ A) = A
by (simp add: image-eq-UN surj-f-inv-f)

```

```

lemma image-inv-f-f: inj f ==> (inv f) ‘ (f ‘ A) = A
by (simp add: image-eq-UN)

```

```

lemma inv-image-comp: inj f ==> inv f ‘ (f‘X) = X
by (auto simp add: image-def)

```

```

lemma bij-image-Collect-eq:  $\text{bij } f \implies f \text{ ` Collect } P = \{y. P (\text{inv } f y)\}$ 
apply auto
apply (force simp add: bij-is-inj)
apply (blast intro: bij-is-surj [THEN surj-f-inv-f, symmetric])
done

```

```

lemma bij-vimage-eq-inv-image:  $\text{bij } f \implies f \text{ ` } A = \text{inv } f \text{ ` } A$ 
apply (auto simp add: bij-is-surj [THEN surj-f-inv-f])
apply (blast intro: bij-is-inj [THEN inv-into-f-f, symmetric])
done

```

```

lemma finite-fun-UNIVD1:
  assumes fin: finite (UNIV :: ('a  $\Rightarrow$  'b) set)
  and card: card (UNIV :: 'b set)  $\neq$  Suc 0
  shows finite (UNIV :: 'a set)
proof –
  from fin have finb: finite (UNIV :: 'b set) by (rule finite-fun-UNIVD2)
  with card have card (UNIV :: 'b set)  $\geq$  Suc (Suc 0)
    by (cases card (UNIV :: 'b set)) (auto simp add: card-eq-0-iff)
  then obtain n where card (UNIV :: 'b set) = Suc (Suc n) n = card (UNIV ::
    'b set) – Suc (Suc 0) by auto
  then obtain b1 b2 where b1b2: (b1 :: 'b)  $\neq$  (b2 :: 'b) by (auto simp add:
card-Suc-eq)
  from fin have finite (range ( $\lambda f :: 'a \Rightarrow 'b. \text{inv } f b1$ )) by (rule finite-imageI)
  moreover have UNIV = range ( $\lambda f :: 'a \Rightarrow 'b. \text{inv } f b1$ )
  proof (rule UNIV-eq-I)
    fix x :: 'a
    from b1b2 have x = inv ( $\lambda y. \text{if } y = x \text{ then } b1 \text{ else } b2$ ) b1 by (simp add:
inv-into-def)
    thus x  $\in$  range ( $\lambda f :: 'a \Rightarrow 'b. \text{inv } f b1$ ) by blast
  qed
  ultimately show finite (UNIV :: 'a set) by simp
qed

```

40.5 Other Consequences of Hilbert’s Epsilon

Hilbert’s Epsilon and the *split* Operator

Looping simprule

```

lemma split-paired-Eps:  $(\text{SOME } x. P x) = (\text{SOME } (a,b). P(a,b))$ 
by simp

```

```

lemma Eps-split:  $\text{Eps } (\text{split } P) = (\text{SOME } xy. P (\text{fst } xy) (\text{snd } xy))$ 
by (simp add: split-def)

```

```

lemma Eps-split-eq [simp]:  $(@ (x',y'). x = x' \ \& \ y = y') = (x,y)$ 
by blast

```

A relation is wellfounded iff it has no infinite descending chain

```

lemma wf-iff-no-infinite-down-chain:
  wf r = ( $\sim(\exists f. \forall i. (f(Suc\ i), f\ i) \in r)$ )
apply (simp only: wf-eq-minimal)
apply (rule iffI)
apply (rule notI)
apply (erule exE)
apply (erule tac x = {w.  $\exists i. w=f\ i$ } in allE, blast)
apply (erule contrapos-np, simp, clarify)
apply (subgoal-tac  $\forall n. \text{nat-rec } x (\%i\ y. @z. z:Q \ \& \ (z,y) :r) \ n \in Q$ )
apply (rule-tac x = nat-rec x ( $\%i\ y. @z. z:Q \ \& \ (z,y) :r$ ) in exI)
apply (rule allI, simp)
apply (rule someI2-ex, blast, blast)
apply (rule allI)
apply (induct-tac n, simp-all)
apply (rule someI2-ex, blast+)
done

```

```

lemma wf-no-infinite-down-chainE:
  assumes wf r obtains k where (f (Suc k), f k)  $\notin$  r
using (wf r) wf-iff-no-infinite-down-chain[of r] by blast

```

A dynamically-scoped fact for TFL

```

lemma tfl-some:  $\forall P\ x. P\ x \dashrightarrow P\ (Eps\ P)$ 
by (blast intro: someI)

```

40.6 Least value operator

definition

```

LeastM :: [ $'a \Rightarrow 'b::ord, 'a \Rightarrow bool$ ]  $\Rightarrow 'a$  where
LeastM m P == SOME x. P x & ( $\forall y. P\ y \dashrightarrow m\ x \leq m\ y$ )

```

syntax

```

-LeastM :: [pttrn,  $'a \Rightarrow 'b::ord, bool$ ]  $\Rightarrow 'a$  (LEAST - WRT -. - [0, 4, 10]
10)

```

translations

```

LEAST x WRT m. P == CONST LeastM m ( $\%x. P$ )

```

lemma LeastMI2:

```

P x ==> (!y. P y ==> m x <= m y)
==> (!x. P x ==>  $\forall y. P\ y \dashrightarrow m\ x \leq m\ y ==> Q\ x$ )
==> Q (LeastM m P)
apply (simp add: LeastM-def)
apply (rule someI2-ex, blast, blast)
done

```

lemma LeastM-equality:

```

P k ==> (!x. P x ==> m k <= m x)
==> m (LEAST x WRT m. P x) = (m k :: 'a::order)
apply (rule LeastMI2, assumption, blast)

```

apply (*blast intro!*: *order-antisym*)
done

lemma *wf-linord-ex-has-least*:

$wf\ r \implies \forall x\ y. ((x,y):r^+)=((y,x):\sim r^*) \implies P\ k$
 $\implies \exists x. P\ x \ \& \ (!y. P\ y \longrightarrow (m\ x, m\ y):r^*)$
apply (*drule wf-trancl [THEN wf-eq-minimal [THEN iffD1]]*)
apply (*drule-tac x = m‘Collect P in spec, force*)
done

lemma *ex-has-least-nat*:

$P\ k \implies \exists x. P\ x \ \& \ (\forall y. P\ y \longrightarrow m\ x \leq (m\ y::nat))$
apply (*simp only: pred-nat-trancl-eq-le [symmetric]*)
apply (*rule wf-pred-nat [THEN wf-linord-ex-has-least]*)
apply (*simp add: less-eq linorder-not-le pred-nat-trancl-eq-le, assumption*)
done

lemma *LeastM-nat-lemma*:

$P\ k \implies P\ (LeastM\ m\ P) \ \& \ (\forall y. P\ y \longrightarrow m\ (LeastM\ m\ P) \leq (m\ y::nat))$
apply (*simp add: LeastM-def*)
apply (*rule someI-ex*)
apply (*erule ex-has-least-nat*)
done

lemmas *LeastM-natI = LeastM-nat-lemma [THEN conjunct1, standard]*

lemma *LeastM-nat-le*: $P\ x \implies m\ (LeastM\ m\ P) \leq (m\ x::nat)$

by (*rule LeastM-nat-lemma [THEN conjunct2, THEN spec, THEN mp], assumption, assumption*)

40.7 Greatest value operator

definition

$GreatestM :: ['a \Rightarrow 'b::ord, 'a \Rightarrow bool] \Rightarrow 'a$ **where**
 $GreatestM\ m\ P == SOME\ x. P\ x \ \& \ (\forall y. P\ y \longrightarrow m\ y \leq m\ x)$

definition

$Greatest :: ('a::ord \Rightarrow bool) \Rightarrow 'a$ (**binder** *GREATEST* 10) **where**
 $Greatest == GreatestM\ (\%x. x)$

syntax

$-GreatestM :: [pttrn, 'a \Rightarrow 'b::ord, bool] \Rightarrow 'a$
 $(GREATEST - WRT -. - [0, 4, 10] 10)$

translations

$GREATEST\ x\ WRT\ m. P == CONST\ GreatestM\ m\ (\%x. P)$

lemma *GreatestMI2*:

$P\ x \implies (!y. P\ y \implies m\ y \leq m\ x)$
 $\implies (!x. P\ x \implies \forall y. P\ y \longrightarrow m\ y \leq m\ x \implies Q\ x)$

```

==> Q (GreatestM m P)
apply (simp add: GreatestM-def)
apply (rule someI2-ex, blast, blast)
done

```

lemma *GreatestM-equality*:

```

P k ==> (!!x. P x ==> m x <= m k)
==> m (GREATEST x WRT m. P x) = (m k::'a::order)
apply (rule-tac m = m in GreatestMI2, assumption, blast)
apply (blast intro!: order-antisym)
done

```

lemma *Greatest-equality*:

```

P (k::'a::order) ==> (!!x. P x ==> x <= k) ==> (GREATEST x. P x) = k
apply (simp add: Greatest-def)
apply (erule GreatestM-equality, blast)
done

```

lemma *ex-has-greatest-nat-lemma*:

```

P k ==> ∀ x. P x --> (∃ y. P y & ~ ((m y::nat) <= m x))
==> ∃ y. P y & ~ (m y < m k + n)
apply (induct n, force)
apply (force simp add: le-Suc-eq)
done

```

lemma *ex-has-greatest-nat*:

```

P k ==> ∀ y. P y --> m y < b
==> ∃ x. P x & (∀ y. P y --> (m y::nat) <= m x)
apply (rule ccontr)
apply (cut-tac P = P and n = b - m k in ex-has-greatest-nat-lemma)
apply (subgoal-tac [3] m k <= b, auto)
done

```

lemma *GreatestM-nat-lemma*:

```

P k ==> ∀ y. P y --> m y < b
==> P (GreatestM m P) & (∀ y. P y --> (m y::nat) <= m (GreatestM m
P))
apply (simp add: GreatestM-def)
apply (rule someI-ex)
apply (erule ex-has-greatest-nat, assumption)
done

```

lemmas *GreatestM-natI* = *GreatestM-nat-lemma* [THEN conjunct1, standard]

lemma *GreatestM-nat-le*:

```

P x ==> ∀ y. P y --> m y < b
==> (m x::nat) <= m (GreatestM m P)
apply (blast dest: GreatestM-nat-lemma [THEN conjunct2, THEN spec, of P])
done

```

Specialization to *GREATEST*.

```
lemma GreatestI:  $P \ (k::nat) \implies \forall y. P \ y \longrightarrow y < b \implies P \ (GREATEST \ x. P \ x)$ 
apply (simp add: Greatest-def)
apply (rule GreatestM-natI, auto)
done
```

lemma *Greatest-le*:

```
 $P \ x \implies \forall y. P \ y \longrightarrow y < b \implies (x::nat) \leq (GREATEST \ x. P \ x)$ 
apply (simp add: Greatest-def)
apply (rule GreatestM-nat-le, auto)
done
```

40.8 The Meson proof procedure

40.8.1 Negation Normal Form

de Morgan laws

```
lemma meson-not-conjD:  $\sim(P \& Q) \implies \sim P \mid \sim Q$ 
and meson-not-disjD:  $\sim(P \mid Q) \implies \sim P \& \sim Q$ 
and meson-not-notD:  $\sim\sim P \implies P$ 
and meson-not-allD:  $!!P. \sim(\forall x. P(x)) \implies \exists x. \sim P(x)$ 
and meson-not-exD:  $!!P. \sim(\exists x. P(x)) \implies \forall x. \sim P(x)$ 
by fast+
```

Removal of \longrightarrow and \longleftrightarrow (positive and negative occurrences)

```
lemma meson-imp-to-disjD:  $P \longrightarrow Q \implies \sim P \mid Q$ 
and meson-not-impD:  $\sim(P \longrightarrow Q) \implies P \& \sim Q$ 
and meson-iff-to-disjD:  $P = Q \implies (\sim P \mid Q) \& (\sim Q \mid P)$ 
and meson-not-iffD:  $\sim(P = Q) \implies (P \mid Q) \& (\sim P \mid \sim Q)$ 
— Much more efficient than  $P \wedge \neg Q \vee Q \wedge \neg P$  for computing CNF
and meson-not-refl-disj-D:  $x \sim = x \mid P \implies P$ 
by fast+
```

40.8.2 Pulling out the existential quantifiers

Conjunction

```
lemma meson-conj-exD1:  $!!P \ Q. (\exists x. P(x)) \& Q \implies \exists x. P(x) \& Q$ 
and meson-conj-exD2:  $!!P \ Q. P \& (\exists x. Q(x)) \implies \exists x. P \& Q(x)$ 
by fast+
```

Disjunction

```
lemma meson-disj-exD:  $!!P \ Q. (\exists x. P(x)) \mid (\exists x. Q(x)) \implies \exists x. P(x) \mid Q(x)$ 
— DO NOT USE with forall-Skolemization: makes fewer schematic variables!!
— With ex-Skolemization, makes fewer Skolem constants
and meson-disj-exD1:  $!!P \ Q. (\exists x. P(x)) \mid Q \implies \exists x. P(x) \mid Q$ 
and meson-disj-exD2:  $!!P \ Q. P \mid (\exists x. Q(x)) \implies \exists x. P \mid Q(x)$ 
by fast+
```

40.8.3 Generating clauses for the Meson Proof Procedure

Disjunctions

lemma *meson-disj-assoc*: $(P|Q)|R \implies P|(Q|R)$
and *meson-disj-comm*: $P|Q \implies Q|P$
and *meson-disj-FalseD1*: $\text{False}|P \implies P$
and *meson-disj-FalseD2*: $P|\text{False} \implies P$
by *fast+*

40.9 Lemmas for Meson, the Model Elimination Procedure

Generation of contrapositives

Inserts negated disjunct after removing the negation; P is a literal. Model elimination requires assuming the negation of every attempted subgoal, hence the negated disjuncts.

lemma *make-neg-rule*: $\sim P|Q \implies ((\sim P \implies P) \implies Q)$
by *blast*

Version for Plaisted’s “Positive refinement” of the Meson procedure

lemma *make-refined-neg-rule*: $\sim P|Q \implies (P \implies Q)$
by *blast*

P should be a literal

lemma *make-pos-rule*: $P|Q \implies ((P \implies \sim P) \implies Q)$
by *blast*

Versions of *make-neg-rule* and *make-pos-rule* that don’t insert new assumptions, for ordinary resolution.

lemmas *make-neg-rule'* = *make-refined-neg-rule*

lemma *make-pos-rule'*: $[|P|Q; \sim P|] \implies Q$
by *blast*

Generation of a goal clause – put away the final literal

lemma *make-neg-goal*: $\sim P \implies ((\sim P \implies P) \implies \text{False})$
by *blast*

lemma *make-pos-goal*: $P \implies ((P \implies \sim P) \implies \text{False})$
by *blast*

40.9.1 Lemmas for Forward Proof

There is a similarity to congruence rules

lemma *conj-forward*: $[|P' \& Q'; P' \implies P; Q' \implies Q|] \implies P \& Q$
by *blast*

lemma *disj-forward*: $[[P' \mid Q'; P' \implies P; Q' \implies Q]] \implies P \mid Q$
by *blast*

lemma *disj-forward2*:
 $[[P' \mid Q'; P' \implies P; [[Q'; P \implies \text{False}]] \implies Q]] \implies P \mid Q$
apply *blast*
done

lemma *all-forward*: $[[\forall x. P'(x); !!x. P'(x) \implies P(x)]] \implies \forall x. P(x)$
by *blast*

lemma *ex-forward*: $[[\exists x. P'(x); !!x. P'(x) \implies P(x)]] \implies \exists x. P(x)$
by *blast*

40.10 Meson package

use *Tools/meson.ML*

setup *Meson.setup*

40.11 Specification package – Hilbertized version

lemma *exE-some*: $[[Ex P ; c == Eps P]] \implies P c$
by (*simp only: someI-ex*)

use *Tools/choice-specification.ML*

end

41 Sledgehammer: Sledgehammer: Isabelle–ATP Linkup

theory *Sledgehammer*

imports *Plain Hilbert-Choice*

uses

~~/src/Tools/Metis/metis.ML
Tools/Sledgehammer/sledgehammer-util.ML
(Tools/Sledgehammer/sledgehammer-fol-clause.ML)
(Tools/Sledgehammer/sledgehammer-fact-preprocessor.ML)
(Tools/Sledgehammer/sledgehammer-hol-clause.ML)
(Tools/Sledgehammer/sledgehammer-proof-reconstruct.ML)
(Tools/Sledgehammer/sledgehammer-fact-filter.ML)
(Tools/ATP-Manager/atp-manager.ML)
(Tools/ATP-Manager/atp-systems.ML)
(Tools/Sledgehammer/sledgehammer-fact-minimizer.ML)


```

(Tools/Sledgehammer/sledgehammer-isar.ML)
(Tools/Sledgehammer/meson-tactic.ML)
(Tools/Sledgehammer/metis-tactics.ML)
begin

```

```

definition COMBI :: 'a  $\Rightarrow$  'a where
[no-atp]: COMBI P  $\equiv$  P

```

```

definition COMBK :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'a where
[no-atp]: COMBK P Q  $\equiv$  P

```

```

definition COMBB :: ('b  $\Rightarrow$  'c)  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'c where [no-atp]:
COMBB P Q R  $\equiv$  P (Q R)

```

```

definition COMBC :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'c)  $\Rightarrow$  'b  $\Rightarrow$  'a  $\Rightarrow$  'c where
[no-atp]: COMBC P Q R  $\equiv$  P R Q

```

```

definition COMBS :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'c)  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'c where
[no-atp]: COMBS P Q R  $\equiv$  P R (Q R)

```

```

definition fequal :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool where [no-atp]:
fequal X Y  $\equiv$  (X = Y)

```

```

lemma fequal-imp-equal [no-atp]: fequal X Y  $\Longrightarrow$  X = Y
by (simp add: fequal-def)

```

```

lemma equal-imp-fequal [no-atp]: X = Y  $\Longrightarrow$  fequal X Y
by (simp add: fequal-def)

```

These two represent the equivalence between Boolean equality and iff. They can't be converted to clauses automatically, as the iff would be expanded...

```

lemma iff-positive: P  $\vee$  Q  $\vee$  P = Q
by blast

```

```

lemma iff-negative:  $\neg$  P  $\vee$   $\neg$  Q  $\vee$  P = Q
by blast

```

Theorems for translation to combinators

```

lemma abs-S [no-atp]:  $\lambda x. (f\ x)\ (g\ x) \equiv$  COMBS f g
apply (rule eq-reflection)
apply (rule ext)
apply (simp add: COMBS-def)
done

```

```

lemma abs-I [no-atp]:  $\lambda x. x \equiv$  COMBI
apply (rule eq-reflection)
apply (rule ext)
apply (simp add: COMBI-def)
done

```

```

lemma abs-K [no-atp]:  $\lambda x. y \equiv \text{COMBK } y$ 
apply (rule eq-reflection)
apply (rule ext)
apply (simp add: COMBK-def)
done

```

```

lemma abs-B [no-atp]:  $\lambda x. a (g x) \equiv \text{COMBB } a g$ 
apply (rule eq-reflection)
apply (rule ext)
apply (simp add: COMBB-def)
done

```

```

lemma abs-C [no-atp]:  $\lambda x. (f x) b \equiv \text{COMBC } f b$ 
apply (rule eq-reflection)
apply (rule ext)
apply (simp add: COMBC-def)
done

```

41.1 Setup of external ATPs

```

use Tools/Sledgehammer/sledgehammer-fol-clause.ML
use Tools/Sledgehammer/sledgehammer-fact-preprocessor.ML
setup Sledgehammer-Fact-Preprocessor.setup
use Tools/Sledgehammer/sledgehammer-hol-clause.ML
use Tools/Sledgehammer/sledgehammer-proof-reconstruct.ML
use Tools/Sledgehammer/sledgehammer-fact-filter.ML
use Tools/ATP-Manager/atp-manager.ML
use Tools/ATP-Manager/atp-systems.ML
setup ATP-Systems.setup
use Tools/Sledgehammer/sledgehammer-fact-minimizer.ML
use Tools/Sledgehammer/sledgehammer-isar.ML
setup Sledgehammer-Isar.setup

```

41.2 The MESON prover

```

use Tools/Sledgehammer/meson-tactic.ML
setup Meson-Tactic.setup

```

41.3 The Metis prover

```

use Tools/Sledgehammer/metis-tactics.ML
setup Metis-Tactics.setup

```

```

end

```

42 Recdef: TFL: recursive function definitions

```

theory Recdef
imports FunDef Plain
uses
  (Tools/TFL/casesplit.ML)
  (Tools/TFL/utis.ML)
  (Tools/TFL/usyntax.ML)
  (Tools/TFL/dcterm.ML)
  (Tools/TFL/thms.ML)
  (Tools/TFL/rules.ML)
  (Tools/TFL/thry.ML)
  (Tools/TFL/tfl.ML)
  (Tools/TFL/post.ML)
  (Tools/recdef.ML)
begin

inductive
  wfrec-rel :: ('a * 'a) set => (('a => 'b) => 'a => 'b) => 'a => 'b => bool
  for R :: ('a * 'a) set
  and F :: ('a => 'b) => 'a => 'b
where
  wfrecI: ALL z. (z, x) : R --> wfrec-rel R F z (g z) ==>
    wfrec-rel R F x (F g x)

definition
  cut :: ('a => 'b) => ('a * 'a) set => 'a => 'a => 'b where
  cut f r x == (%y. if (y,x):r then f y else undefined)

definition
  adm-wf :: ('a * 'a) set => (('a => 'b) => 'a => 'b) => bool where
  adm-wf R F == ALL f g x.
    (ALL z. (z, x) : R --> f z = g z) --> F f x = F g x

definition
  wfrec :: ('a * 'a) set => (('a => 'b) => 'a => 'b) => 'a => 'b where
  [code del]: wfrec R F == %x. THE y. wfrec-rel R (%f x. F (cut f R x) x) x y

```

42.1 Well-Founded Recursion

cut

lemma cuts-eq: (cut f r x = cut g r x) = (ALL y. (y,x):r --> f(y)=g(y))
by (simp add: expand-fun-eq cut-def)

lemma cut-apply: (x,a):r ==> (cut f r a)(x) = f(x)
by (simp add: cut-def)

Inductive characterization of wfrec combinator; for details see: John Harrison, "Inductive definitions: automation and application"

```

lemma wfrec-unique: [| adm-wf R F; wf R |] ==> EX! y. wfrec-rel R F x y
apply (simp add: adm-wf-def)
apply (erule-tac a=x in wf-induct)
apply (rule ex1I)
apply (rule-tac g = %x. THE y. wfrec-rel R F x y in wfrec-rel.wfrecI)
apply (fast dest!: theI')
apply (erule wfrec-rel.cases, simp)
apply (erule allE, erule allE, erule allE, erule mp)
apply (fast intro: the-equality [symmetric])
done

```

```

lemma adm-lemma: adm-wf R (%f x. F (cut f R x) x)
apply (simp add: adm-wf-def)
apply (intro strip)
apply (rule cuts-eq [THEN iffD2, THEN subst], assumption)
apply (rule refl)
done

```

```

lemma wfrec: wf(r) ==> wfrec r H a = H (cut (wfrec r H) r a) a
apply (simp add: wfrec-def)
apply (rule adm-lemma [THEN wfrec-unique, THEN the1-equality], assumption)
apply (rule wfrec-rel.wfrecI)
apply (intro strip)
apply (erule adm-lemma [THEN wfrec-unique, THEN theI'])
done

```

* This form avoids giant explosions in proofs. NOTE USE OF ==

```

lemma def-wfrec: [| f==wfrec r H; wf(r) |] ==> f(a) = H (cut f r a) a
apply auto
apply (blast intro: wfrec)
done

```

```

lemma tfl-wf-induct: ALL R. wf R -->
  (ALL P. (ALL x. (ALL y. (y,x):R --> P y) --> P x) --> (ALL x. P
  x))
apply clarify
apply (rule-tac r = R and P = P and a = x in wf-induct, assumption, blast)
done

```

```

lemma tfl-cut-apply: ALL f R. (x,a):R --> (cut f R a)(x) = f(x)
apply clarify
apply (rule cut-apply, assumption)
done

```

```

lemma tfl-wfrec:
  ALL M R f. (f==wfrec R M) --> wf R --> (ALL x. f x = M (cut f R x) x)
apply clarify
apply (erule wfrec)

```

done

lemma *tfl-eq-True*: $(x = \text{True}) \dashrightarrow x$
by *blast*

lemma *tfl-rev-eq-mp*: $(x = y) \dashrightarrow y \dashrightarrow x$
by *blast*

lemma *tfl-simp-thm*: $(x \dashrightarrow y) \dashrightarrow (x = x') \dashrightarrow (x' \dashrightarrow y)$
by *blast*

lemma *tfl-P-imp-P-iff-True*: $P \implies P = \text{True}$
by *blast*

lemma *tfl-imp-trans*: $(A \dashrightarrow B) \implies (B \dashrightarrow C) \implies (A \dashrightarrow C)$
by *blast*

lemma *tfl-disj-assoc*: $(a \vee b) \vee c == a \vee (b \vee c)$
by *simp*

lemma *tfl-disjE*: $P \vee Q \implies P \dashrightarrow R \implies Q \dashrightarrow R \implies R$
by *blast*

lemma *tfl-exE*: $\exists x. P x \implies \forall x. P x \dashrightarrow Q \implies Q$
by *blast*

use *Tools/TFL/casesplit.ML*
use *Tools/TFL/utis.ML*
use *Tools/TFL/usyntax.ML*
use *Tools/TFL/dcterm.ML*
use *Tools/TFL/thms.ML*
use *Tools/TFL/rules.ML*
use *Tools/TFL/thry.ML*
use *Tools/TFL/tfl.ML*
use *Tools/TFL/post.ML*
use *Tools/recdef.ML*
setup *Recdef.setup*

Wellfoundedness of *same-fst*

definition

same-fst :: $('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow ('b * 'b)\text{set}) \Rightarrow (('a * 'b) * ('a * 'b))\text{set}$

where

same-fst $P R == \{((x', y'), (x, y)) . x' = x \ \& \ P x \ \& \ (y', y) : R x\}$

— For *rec-def* declarations where the first n parameters stay unchanged in the recursive call.

lemma *same-fstI* [*intro!*]:

$[| P x; (y', y) : R x |] \implies ((x, y'), (x, y)) : \text{same-fst } P R$

by (*simp add: same-fst-def*)

```

lemma wf-same-fst:
  assumes prem: ( $\forall x. P\ x \implies wf\ (R\ x)$ )
  shows  $wf\ (same\_fst\ P\ R)$ 
apply (simp cong del: imp-cong add: wf-def same-fst-def)
apply (intro strip)
apply (rename-tac a b)
apply (case-tac wf (R a))
  apply (erule-tac a = b in wf-induct, blast)
apply (blast intro: prem)
done

```

Rule setup

```

lemmas [recdef-simp] =
  inv-image-def
  measure-def
  lex-prod-def
  same-fst-def
  less-Suc-eq [THEN iffD2]

lemmas [recdef-cong] =
  if-cong let-cong image-cong INT-cong UN-cong bex-cong ball-cong imp-cong

lemmas [recdef-wf] =
  wf-trancl
  wf-less-than
  wf-lex-prod
  wf-inv-image
  wf-measure
  wf-pred-nat
  wf-same-fst
  wf-empty

end

```

43 List: The datatype of finite lists

```

theory List
imports Plain Presburger Sledgehammer Recdef
uses (Tools/list-code.ML)
begin

datatype 'a list =
  Nil    ( $[]$ )
  | Cons 'a 'a list  (infixr # 65)

syntax
  — list Enumeration

```

$-list :: args \Rightarrow 'a\ list \quad ([(-)])$

translations

$[x, xs] == x \# [xs]$
 $[x] == x \# []$

43.1 Basic list processing functions

primrec

$hd :: 'a\ list \Rightarrow 'a\ \mathbf{where}$
 $hd\ (x \# xs) = x$

primrec

$tl :: 'a\ list \Rightarrow 'a\ list\ \mathbf{where}$
 $tl\ [] = []$
 $|\ tl\ (x \# xs) = xs$

primrec

$last :: 'a\ list \Rightarrow 'a\ \mathbf{where}$
 $last\ (x \# xs) = (if\ xs = []\ then\ x\ else\ last\ xs)$

primrec

$butlast :: 'a\ list \Rightarrow 'a\ list\ \mathbf{where}$
 $butlast\ [] = []$
 $| \ butlast\ (x \# xs) = (if\ xs = []\ then\ []\ else\ x \# butlast\ xs)$

primrec

$set :: 'a\ list \Rightarrow 'a\ set\ \mathbf{where}$
 $set\ [] = \{\}$
 $| \ set\ (x \# xs) = insert\ x\ (set\ xs)$

primrec

$map :: ('a \Rightarrow 'b) \Rightarrow 'a\ list \Rightarrow 'b\ list\ \mathbf{where}$
 $map\ f\ [] = []$
 $| \ map\ f\ (x \# xs) = f\ x \# map\ f\ xs$

primrec

$append :: 'a\ list \Rightarrow 'a\ list \Rightarrow 'a\ list\ (\mathbf{infixr}\ @\ 65)\ \mathbf{where}$
 $append\ Nil:[]\ @\ ys = ys$
 $| \ append\ Cons: (x \# xs)\ @\ ys = x \# xs\ @\ ys$

primrec

$rev :: 'a\ list \Rightarrow 'a\ list\ \mathbf{where}$
 $rev\ [] = []$
 $| \ rev\ (x \# xs) = rev\ xs\ @\ [x]$

primrec

$filter :: ('a \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'a\ list\ \mathbf{where}$
 $filter\ P\ [] = []$

| $\text{filter } P \ (x \# \ xs) = (\text{if } P \ x \text{ then } x \# \ \text{filter } P \ xs \text{ else } \text{filter } P \ xs)$

syntax

— Special syntax for filter

$\text{-filter} :: [\text{pttrn}, 'a \ \text{list}, \text{bool}] \Rightarrow 'a \ \text{list} \quad ((1[-<--./-]))$

translations

$[x < -xs \ . \ P] == \text{CONST filter } (\%x. \ P) \ xs$

syntax (*xsymbols*)

$\text{-filter} :: [\text{pttrn}, 'a \ \text{list}, \text{bool}] \Rightarrow 'a \ \text{list} \quad ((1[\leftarrow-./-]))$

syntax (*HTML output*)

$\text{-filter} :: [\text{pttrn}, 'a \ \text{list}, \text{bool}] \Rightarrow 'a \ \text{list} \quad ((1[\leftarrow-./-]))$

primrec

$\text{foldl} :: ('b \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'b \Rightarrow 'a \ \text{list} \Rightarrow 'b \ \mathbf{where}$

$\text{foldl-Nil: foldl } f \ a \ [] = a$

| $\text{foldl-Cons: foldl } f \ a \ (x \# \ xs) = \text{foldl } f \ (f \ a \ x) \ xs$

primrec

$\text{foldr} :: ('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a \ \text{list} \Rightarrow 'b \Rightarrow 'b \ \mathbf{where}$

$\text{foldr } f \ [] \ a = a$

| $\text{foldr } f \ (x \# \ xs) \ a = f \ x \ (\text{foldr } f \ xs \ a)$

primrec

$\text{concat} :: 'a \ \text{list} \ \text{list} \Rightarrow 'a \ \text{list} \ \mathbf{where}$

$\text{concat } [] = []$

| $\text{concat } (x \# \ xs) = x \ @ \ \text{concat } xs$

primrec (*in monoid-add*)

$\text{listsum} :: 'a \ \text{list} \Rightarrow 'a \ \mathbf{where}$

$\text{listsum } [] = 0$

| $\text{listsum } (x \# \ xs) = x + \text{listsum } xs$

primrec

$\text{drop} :: \text{nat} \Rightarrow 'a \ \text{list} \Rightarrow 'a \ \text{list} \ \mathbf{where}$

$\text{drop-Nil: drop } n \ [] = []$

| $\text{drop-Cons: drop } n \ (x \# \ xs) = (\text{case } n \text{ of } 0 \Rightarrow x \# \ xs \mid \text{Suc } m \Rightarrow \text{drop } m \ xs)$

— Warning: simpset does not contain this definition, but separate theorems for $n = 0$ and $n = \text{Suc } k$

primrec

$\text{take} :: \text{nat} \Rightarrow 'a \ \text{list} \Rightarrow 'a \ \text{list} \ \mathbf{where}$

$\text{take-Nil: take } n \ [] = []$

| $\text{take-Cons: take } n \ (x \# \ xs) = (\text{case } n \text{ of } 0 \Rightarrow [] \mid \text{Suc } m \Rightarrow x \# \ \text{take } m \ xs)$

— Warning: simpset does not contain this definition, but separate theorems for $n = 0$ and $n = \text{Suc } k$

primrec

$nth :: 'a \text{ list} \Rightarrow nat \Rightarrow 'a$ (**infixl** ! 100) **where**
 $nth\text{-Cons}: (x \# xs) ! n = (case\ n\ of\ 0 \Rightarrow x \mid Suc\ k \Rightarrow xs ! k)$
 — Warning: simpset does not contain this definition, but separate theorems for $n = 0$ and $n = Suc\ k$

primrec

$list\text{-update} :: 'a \text{ list} \Rightarrow nat \Rightarrow 'a \Rightarrow 'a \text{ list}$ **where**
 $list\text{-update}\ []\ i\ v = []$
 $\mid list\text{-update}\ (x \# xs)\ i\ v = (case\ i\ of\ 0 \Rightarrow v \# xs \mid Suc\ j \Rightarrow x \# list\text{-update}\ xs\ j\ v)$

nonterminals $lupdbinds\ lupdbind$

syntax

$-lupdbind :: ['a, 'a] \Rightarrow lupdbind$ $((2\text{-} := / \text{-}))$
 $:: lupdbind \Rightarrow lupdbinds$ $(-)$
 $-lupdbinds :: [lupdbind, lupdbinds] \Rightarrow lupdbinds$ $(-, / \text{-})$
 $-LUpdate :: ['a, lupdbinds] \Rightarrow 'a$ $(-/[(-)]\ [900,0]\ 900)$

translations

$-LUpdate\ xs\ (-lupdbinds\ b\ bs) == -LUpdate\ (-LUpdate\ xs\ b)\ bs$
 $xs[i:=x] == CONST\ list\text{-update}\ xs\ i\ x$

primrec

$takeWhile :: ('a \Rightarrow bool) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $takeWhile\ P\ [] = []$
 $\mid takeWhile\ P\ (x \# xs) = (if\ P\ x\ then\ x \# takeWhile\ P\ xs\ else\ [])$

primrec

$dropWhile :: ('a \Rightarrow bool) \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $dropWhile\ P\ [] = []$
 $\mid dropWhile\ P\ (x \# xs) = (if\ P\ x\ then\ dropWhile\ P\ xs\ else\ x \# xs)$

primrec

$zip :: 'a \text{ list} \Rightarrow 'b \text{ list} \Rightarrow ('a \times 'b) \text{ list}$ **where**
 $zip\ xs\ [] = []$
 $\mid zip\text{-Cons}: zip\ xs\ (y \# ys) = (case\ xs\ of\ [] \Rightarrow [] \mid z \# zs \Rightarrow (z, y) \# zip\ zs\ ys)$
 — Warning: simpset does not contain this definition, but separate theorems for $xs = []$ and $xs = z \# zs$

primrec

$upt :: nat \Rightarrow nat \Rightarrow nat \text{ list}$ $((1[-..</-]))$ **where**
 $upt\ 0: [i..<0] = []$
 $\mid upt\text{-Suc}: [i..<(Suc\ j)] = (if\ i\ <= j\ then\ [i..<j]\ @\ [j]\ else\ [])$

primrec

$distinct :: 'a \text{ list} \Rightarrow bool$ **where**
 $distinct\ [] \longleftrightarrow True$

$$| \text{distinct } (x \# xs) \longleftrightarrow x \notin \text{set } xs \wedge \text{distinct } xs$$
primrec

$\text{remdups} :: 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $\text{remdups } [] = []$
 $| \text{remdups } (x \# xs) = (\text{if } x \in \text{set } xs \text{ then } \text{remdups } xs \text{ else } x \# \text{remdups } xs)$

definition

$\text{insert} :: 'a \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $\text{insert } x \text{ xs} = (\text{if } x \in \text{set } xs \text{ then } xs \text{ else } x \# xs)$

hide-const (open) insert**hide-fact (open) insert-def****primrec**

$\text{remove1} :: 'a \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $\text{remove1 } x [] = []$
 $| \text{remove1 } x (y \# xs) = (\text{if } x = y \text{ then } xs \text{ else } y \# \text{remove1 } x \text{ xs})$

primrec

$\text{removeAll} :: 'a \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $\text{removeAll } x [] = []$
 $| \text{removeAll } x (y \# xs) = (\text{if } x = y \text{ then } \text{removeAll } x \text{ xs} \text{ else } y \# \text{removeAll } x \text{ xs})$

primrec

$\text{replicate} :: \text{nat} \Rightarrow 'a \Rightarrow 'a \text{ list}$ **where**
 $\text{replicate-0: replicate } 0 \text{ } x = []$
 $| \text{replicate-Suc: replicate } (\text{Suc } n) \text{ } x = x \# \text{replicate } n \text{ } x$

Function *size* is overloaded for all datatypes. Users may refer to the list version as *length*.

abbreviation

$\text{length} :: 'a \text{ list} \Rightarrow \text{nat}$ **where**
 $\text{length} \equiv \text{size}$

definition

$\text{rotate1} :: 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $\text{rotate1 } xs = (\text{case } xs \text{ of } [] \Rightarrow [] \mid x \# xs \Rightarrow xs @ [x])$

definition

$\text{rotate} :: \text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**
 $\text{rotate } n = \text{rotate1} \text{ } ^{\wedge} n$

definition

$\text{list-all2} :: ('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list} \Rightarrow \text{bool}$ **where**
 $[\text{code del}]: \text{list-all2 } P \text{ xs ys} =$
 $(\text{length } xs = \text{length } ys \wedge (\forall (x, y) \in \text{set } (\text{zip } xs \text{ ys}). P \text{ } x \text{ } y))$

definition

```

sublist :: 'a list => nat set => 'a list where
sublist xs A = map fst (filter (λp. snd p ∈ A) (zip xs [0..

```

primrec

```

splice :: 'a list ⇒ 'a list ⇒ 'a list where
splice [] ys = ys
| splice (x # xs) ys = (if ys = [] then x # xs else x # hd ys # splice xs (tl ys))
— Warning: simpset does not contain the second eqn but a derived one.

```

Figure 1 shows characteristic examples that should give an intuitive understanding of the above functions.

The following simple sort functions are intended for proofs, not for efficient implementations.

context *linorder*
begin

```

fun sorted :: 'a list ⇒ bool where
sorted [] ⟷ True |
sorted [x] ⟷ True |
sorted (x#y#zs) ⟷ x ≤ y ∧ sorted (y#zs)

```

```

primrec insort-key :: ('b ⇒ 'a) ⇒ 'b ⇒ 'b list ⇒ 'b list where
insort-key f x [] = [x] |
insort-key f x (y#ys) = (if f x ≤ f y then (x#y#ys) else y#(insort-key f x ys))

```

```

definition sort-key :: ('b ⇒ 'a) ⇒ 'b list ⇒ 'b list where
sort-key f xs = foldr (insort-key f) xs []

```

abbreviation *sort* ≡ *sort-key* (λx. x)
abbreviation *insort* ≡ *insort-key* (λx. x)

```

definition insort-insert :: 'a ⇒ 'a list ⇒ 'a list where
insort-insert x xs = (if x ∈ set xs then xs else insort x xs)

```

end

43.1.1 List comprehension

Input syntax for Haskell-like list comprehension notation. Typical example: $[(x,y). x \leftarrow xs, y \leftarrow ys, x \neq y]$, the list of all pairs of distinct elements from xs and ys . The syntax is as in Haskell, except that $|$ becomes a dot (like in Isabelle’s set comprehension): $[e. x \leftarrow xs, \dots]$ rather than $[e \mid x \leftarrow xs, \dots]$.

The qualifiers after the dot are

generators $p \leftarrow xs$, where p is a pattern and xs an expression of list type,
or

```

[a, b] @ [c, d] = [a, b, c, d]
length [a, b, c] = 3
set [a, b, c] = {a, b, c}
map f [a, b, c] = [f a, f b, f c]
rev [a, b, c] = [c, b, a]
hd [a, b, c, d] = a
tl [a, b, c, d] = [b, c, d]
last [a, b, c, d] = d
butlast [a, b, c, d] = [a, b, c]
filter (λn::nat. n<2) [0,2,1] = [0,1]
concat [[a, b], [c, d, e], [], [f]] = [a, b, c, d, e, f]
foldl f x [a, b, c] = f (f (f x a) b) c
foldr f [a, b, c] x = f a (f b (f c x))
zip [a, b, c] [x, y, z] = [(a, x), (b, y), (c, z)]
zip [a, b] [x, y, z] = [(a, x), (b, y)]
splice [a, b, c] [x, y, z] = [a, x, b, y, c, z]
splice [a, b, c, d] [x, y] = [a, x, b, y, c, d]
take 2 [a, b, c, d] = [a, b]
take 6 [a, b, c, d] = [a, b, c, d]
drop 2 [a, b, c, d] = [c, d]
drop 6 [a, b, c, d] = []
takeWhile (λn. n < 3) [1, 2, 3, 0] = [1, 2]
dropWhile (λn. n < 3) [1, 2, 3, 0] = [3, 0]
distinct [2, 0, 1]
remdups [2, 0, 2, 1, 2] = [0, 1, 2]
List.insert 2 [0, 1, 2] = [0, 1, 2]
List.insert 3 [0, 1, 2] = [3, 0, 1, 2]
remove1 2 [2, 0, 2, 1, 2] = [0, 2, 1, 2]
removeAll 2 [2, 0, 2, 1, 2] = [0, 1]
[a, b, c, d] ! 2 = c
[a, b, c, d][2 := x] = [a, b, x, d]
sublist [a, b, c, d, e] {0, 2, 3} = [a, c, d]
rotate1 [a, b, c, d] = [b, c, d, a]
rotate 3 [a, b, c, d] = [d, a, b, c]
replicate 4 a = [a, a, a, a]
[2..<5] = [2, 3, 4]
listsum [1, 2, 3] = 6

```

Figure 1: Characteristic examples

guards b , where b is a boolean expression.

Just like in Haskell, list comprehension is just a shorthand. To avoid misunderstandings, the translation into desugared form is not reversed upon output. Note that the translation of $[e. x \leftarrow xs]$ is optimized to $\text{map } (\lambda x. e) xs$.

It is easy to write short list comprehensions which stand for complex expressions. During proofs, they may become unreadable (and mangled). In such cases it can be advisable to introduce separate definitions for the list comprehensions in question.

nonterminals $lc\text{-}qual$ $lc\text{-}quals$

syntax

```
-listcompr :: 'a  $\Rightarrow$  lc-qual  $\Rightarrow$  lc-quals  $\Rightarrow$  'a list  ([ - . --)
-lc-gen :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  lc-qual (- <- -)
-lc-test :: bool  $\Rightarrow$  lc-qual (-)

-lc-end :: lc-quals ()
-lc-quals :: lc-qual  $\Rightarrow$  lc-quals  $\Rightarrow$  lc-quals (, --)
-lc-abs :: 'a  $\Rightarrow$  'b list  $\Rightarrow$  'b list
```

syntax ($xsymbols$)

```
-lc-gen :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  lc-qual (-  $\leftarrow$  -)
```

syntax (*HTML output*)

```
-lc-gen :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  lc-qual (-  $\leftarrow$  -)
```

parse-translation (**advanced**) \ll

let

```
val NilC = Syntax.const @{const-syntax Nil};
val ConsC = Syntax.const @{const-syntax Cons};
val mapC = Syntax.const @{const-syntax map};
val concatC = Syntax.const @{const-syntax concat};
val IfC = Syntax.const @{const-syntax If};
```

```
fun singl x = ConsC $ x $ NilC;
```

```
fun pat-tr ctxt p e opti = (* %x. case x of p => e | - => [] *)
```

let

```
val x = Free (Name.variant (fold Term.add-free-names [p, e] []) x, dummyT);
val e = if opti then singl e else e;
val case1 = Syntax.const @{syntax-const -case1} $ p $ e;
val case2 =
  Syntax.const @{syntax-const -case1} $
    Syntax.const @{const-syntax dummy-pattern} $ NilC;
val cs = Syntax.const @{syntax-const -case2} $ case1 $ case2;
val ft = Datatype-Case.case-tr false Datatype.info-of-constr ctxt [x, cs];
```

```

in lambda x ft end;

fun abs-tr ctxt (p as Free (s, T)) e opti =
  let
    val thy = ProofContext.theory-of ctxt;
    val s' = Sign.intern-const thy s;
  in
    if Sign.declared-const thy s'
    then (pat-tr ctxt p e opti, false)
    else (lambda p e, true)
  end
| abs-tr ctxt p e opti = (pat-tr ctxt p e opti, false);

fun lc-tr ctxt [e, Const (@{syntax-const -lc-test}, -) $ b, qs] =
  let
    val res =
      (case qs of
        Const (@{syntax-const -lc-end}, -) => singl e
      | Const (@{syntax-const -lc-quals}, -) $ q $ qs => lc-tr ctxt [e, q, qs]);
    in IfC $ b $ res $ NilC end
  | lc-tr ctxt
    [e, Const (@{syntax-const -lc-gen}, -) $ p $ es,
     Const(@{syntax-const -lc-end}, -)] =
    (case abs-tr ctxt p e true of
      (f, true) => mapC $ f $ es
    | (f, false) => concatC $ (mapC $ f $ es))
  | lc-tr ctxt
    [e, Const (@{syntax-const -lc-gen}, -) $ p $ es,
     Const (@{syntax-const -lc-quals}, -) $ q $ qs] =
    let val e' = lc-tr ctxt [e, q, qs];
    in concatC $ (mapC $ (fst (abs-tr ctxt p e' false)) $ es) end;

in [(@{syntax-const -listcompr}, lc-tr)] end
>>

term [(x,y,z). b]
term [(x,y,z). x←xs]
term [e x y. x←xs, y←ys]
term [(x,y,z). x<a, x>b]
term [(x,y,z). x←xs, x>b]
term [(x,y,z). x<a, x←xs]
term [(x,y). Cons True x ← xs]
term [(x,y,z). Cons x [] ← xs]
term [(x,y,z). x<a, x>b, x=d]
term [(x,y,z). x<a, x>b, y←ys]
term [(x,y,z). x<a, x←xs, y>b]
term [(x,y,z). x<a, x←xs, y←ys]
term [(x,y,z). x←xs, x>b, y<a]
term [(x,y,z). x←xs, x>b, y←ys]

```

term $[(x,y,z). x \leftarrow xs, y \leftarrow ys, y > x]$
term $[(x,y,z). x \leftarrow xs, y \leftarrow ys, z \leftarrow zs]$

43.1.2 $[]$ and $op \#$

lemma *not-Cons-self* [simp]:

$xs \neq x \# xs$

by (*induct xs*) *auto*

lemmas *not-Cons-self2* [simp] = *not-Cons-self* [*symmetric*]

lemma *neq-Nil-conv*: $(xs \neq []) = (\exists y ys. xs = y \# ys)$

by (*induct xs*) *auto*

lemma *length-induct*:

$(\bigwedge xs. \forall ys. \text{length } ys < \text{length } xs \longrightarrow P \text{ } ys \Longrightarrow P \text{ } xs) \Longrightarrow P \text{ } xs$

by (*rule measure-induct [of length]*) *iprover*

lemma *list-nonempty-induct* [*consumes 1*, *case-names single cons*]:

assumes $xs \neq []$

assumes *single*: $\bigwedge x. P \text{ } [x]$

assumes *cons*: $\bigwedge x xs. xs \neq [] \Longrightarrow P \text{ } xs \Longrightarrow P \text{ } (x \# xs)$

shows $P \text{ } xs$

using $\langle xs \neq [] \rangle$ **proof** (*induct xs*)

case *Nil* **then show** *?case* **by** *simp*

next

case (*Cons x xs*) **show** *?case* **proof** (*cases xs*)

case *Nil* **with** *single* **show** *?thesis* **by** *simp*

next

case *Cons* **then have** $xs \neq []$ **by** *simp*

moreover with *Cons.hyps* **have** $P \text{ } xs$.

ultimately show *?thesis* **by** (*rule cons*)

qed

qed

43.1.3 *length*

Needs to come before @ because of theorem *append-eq-append-conv*.

lemma *length-append* [simp]: $\text{length } (xs @ ys) = \text{length } xs + \text{length } ys$

by (*induct xs*) *auto*

lemma *length-map* [simp]: $\text{length } (\text{map } f \text{ } xs) = \text{length } xs$

by (*induct xs*) *auto*

lemma *length-rev* [simp]: $\text{length } (\text{rev } xs) = \text{length } xs$

by (*induct xs*) *auto*

lemma *length-tl* [simp]: $\text{length } (\text{tl } xs) = \text{length } xs - 1$

by (*cases xs*) *auto*

lemma *length-0-conv* [iff]: $(\text{length } xs = 0) = (xs = [])$
by (induct xs) auto

lemma *length-greater-0-conv* [iff]: $(0 < \text{length } xs) = (xs \neq [])$
by (induct xs) auto

lemma *length-pos-if-in-set*: $x : \text{set } xs \implies \text{length } xs > 0$
by auto

lemma *length-Suc-conv*:
 $(\text{length } xs = \text{Suc } n) = (\exists y \text{ } ys. xs = y \# ys \wedge \text{length } ys = n)$
by (induct xs) auto

lemma *Suc-length-conv*:
 $(\text{Suc } n = \text{length } xs) = (\exists y \text{ } ys. xs = y \# ys \wedge \text{length } ys = n)$
apply (induct xs, simp, simp)
apply blast
done

lemma *impossible-Cons*: $\text{length } xs <= \text{length } ys \implies xs = x \# ys = \text{False}$
by (induct xs) auto

lemma *list-induct2* [consumes 1, case-names Nil Cons]:
 $\text{length } xs = \text{length } ys \implies P [] [] \implies$
 $(\bigwedge x \text{ } xs \text{ } y \text{ } ys. \text{length } xs = \text{length } ys \implies P \text{ } xs \text{ } ys \implies P (x \# xs) (y \# ys))$
 $\implies P \text{ } xs \text{ } ys$
proof (induct xs arbitrary: ys)
case Nil **then show** ?case **by** simp
next
case (Cons x xs ys) **then show** ?case **by** (cases ys) simp-all
qed

lemma *list-induct3* [consumes 2, case-names Nil Cons]:
 $\text{length } xs = \text{length } ys \implies \text{length } ys = \text{length } zs \implies P [] [] [] \implies$
 $(\bigwedge x \text{ } xs \text{ } y \text{ } ys \text{ } z \text{ } zs. \text{length } xs = \text{length } ys \implies \text{length } ys = \text{length } zs \implies P \text{ } xs \text{ } ys \text{ } zs$
 $\implies P (x \# xs) (y \# ys) (z \# zs))$
 $\implies P \text{ } xs \text{ } ys \text{ } zs$
proof (induct xs arbitrary: ys zs)
case Nil **then show** ?case **by** simp
next
case (Cons x xs ys zs) **then show** ?case **by** (cases ys, simp-all)
(cases zs, simp-all)
qed

lemma *list-induct4* [consumes 3, case-names Nil Cons]:
 $\text{length } xs = \text{length } ys \implies \text{length } ys = \text{length } zs \implies \text{length } zs = \text{length } ws \implies$
 $P [] [] [] [] \implies (\bigwedge x \text{ } xs \text{ } y \text{ } ys \text{ } z \text{ } zs \text{ } w \text{ } ws. \text{length } xs = \text{length } ys \implies$
 $\text{length } ys = \text{length } zs \implies \text{length } zs = \text{length } ws \implies P \text{ } xs \text{ } ys \text{ } zs \text{ } ws \implies$


```

    P (x#xs) (y#ys) (z#zs) (w#ws) ==> P xs ys zs ws
proof (induct xs arbitrary: ys zs ws)
  case Nil then show ?case by simp
next
  case (Cons x xs ys zs ws) then show ?case by ((cases ys, simp-all), (cases
zs, simp-all)) (cases ws, simp-all)
qed

```

```

lemma list-induct2':
  [| P [] |];
  |>x xs. P (x#xs) [|];
  |>y ys. P [|] (y#ys);
  |>x xs y ys. P xs ys ==> P (x#xs) (y#ys) |]
  ==> P xs ys
by (induct xs arbitrary: ys) (case-tac x, auto)+

```

```

lemma neq-if-length-neq: length xs ≠ length ys ==> (xs = ys) == False
by (rule Eq-FalseI) auto

```

```

simproc-setup list-neq ((xs::'a list) = ys) = <<
  (*)
  Reduces xs=ys to False if xs and ys cannot be of the same length.
  This is the case if the atomic sublists of one are a submultiset
  of those of the other list and there are fewer Cons's in one than the other.
  *)

```

```

let

```

```

fun len (Const(@{const-name Nil},-)) acc = acc
| len (Const(@{const-name Cons},-) $ - $ xs) (ts,n) = len xs (ts,n+1)
| len (Const(@{const-name append},-) $ xs $ ys) acc = len xs (len ys acc)
| len (Const(@{const-name rev},-) $ xs) acc = len xs acc
| len (Const(@{const-name map},-) $ - $ xs) acc = len xs acc
| len t (ts,n) = (t::ts,n);

```

```

fun list-neq - ss ct =
  let
    val (Const(-,eqT) $ lhs $ rhs) = Thm.term-of ct;
    val (ls,m) = len lhs ([],0) and (rs,n) = len rhs ([],0);
    fun prove-neq() =
      let
        val Type(-,listT::-) = eqT;
        val size = HOLogic.size-const listT;
        val eq-len = HOLogic.mk-eq (size $ lhs, size $ rhs);
        val neq-len = HOLogic.mk-Trueprop (HOLogic.Not $ eq-len);
        val thm = Goal.prove (Simplifier.the-context ss) [|] [|] neq-len
          (K (simp-tac (Simplifier.inherit-context ss @ {simpset}) 1));
        in SOME (thm RS @ {thm neq-if-length-neq}) end
      in

```

```

    if  $m < n$  andalso submultiset (op aconv) (ls,rs) orelse
       $n < m$  andalso submultiset (op aconv) (rs,ls)
    then prove-neq() else NONE
  end;
in list-neq end;
>>

```

43.1.4 @ – append

lemma *append-assoc* [simp]: $(xs @ ys) @ zs = xs @ (ys @ zs)$
by (induct xs) auto

lemma *append-Nil2* [simp]: $xs @ [] = xs$
by (induct xs) auto

lemma *append-is-Nil-conv* [iff]: $(xs @ ys = []) = (xs = [] \wedge ys = [])$
by (induct xs) auto

lemma *Nil-is-append-conv* [iff]: $([] = xs @ ys) = (xs = [] \wedge ys = [])$
by (induct xs) auto

lemma *append-self-conv* [iff]: $(xs @ ys = xs) = (ys = [])$
by (induct xs) auto

lemma *self-append-conv* [iff]: $(xs = xs @ ys) = (ys = [])$
by (induct xs) auto

lemma *append-eq-append-conv* [simp, no-atp]:
 $\text{length } xs = \text{length } ys \vee \text{length } us = \text{length } vs$
 $\implies (xs @ us = ys @ vs) = (xs = ys \wedge us = vs)$
apply (induct xs arbitrary: ys)
apply (case-tac ys, simp, force)
apply (case-tac ys, force, simp)
done

lemma *append-eq-append-conv2*: $(xs @ ys = zs @ ts) =$
 $(\exists us. xs = zs @ us \ \& \ us @ ys = ts \mid xs @ us = zs \ \& \ ys = us @ ts)$
apply (induct xs arbitrary: ys zs ts)
apply fastsimp
apply (case-tac zs)
apply simp
apply fastsimp
done

lemma *same-append-eq* [iff, induct-simp]: $(xs @ ys = xs @ zs) = (ys = zs)$
by simp

lemma *append1-eq-conv* [iff]: $(xs @ [x] = ys @ [y]) = (xs = ys \wedge x = y)$
by simp

lemma *append-same-eq* [*iff*, *induct-simp*]: $(ys @ xs = zs @ xs) = (ys = zs)$
by *simp*

lemma *append-self-conv2* [*iff*]: $(xs @ ys = ys) = (xs = [])$
using *append-same-eq* [*of* - - []] **by** *auto*

lemma *self-append-conv2* [*iff*]: $(ys = xs @ ys) = (xs = [])$
using *append-same-eq* [*of* []] **by** *auto*

lemma *hd-Cons-tl* [*simp*, *no-atp*]: $xs \neq [] \implies hd\ xs \# tl\ xs = xs$
by (*induct xs*) *auto*

lemma *hd-append*: $hd\ (xs @ ys) = (if\ xs = []\ then\ hd\ ys\ else\ hd\ xs)$
by (*induct xs*) *auto*

lemma *hd-append2* [*simp*]: $xs \neq [] \implies hd\ (xs @ ys) = hd\ xs$
by (*simp add: hd-append split: list.split*)

lemma *tl-append*: $tl\ (xs @ ys) = (case\ xs\ of\ [] \implies tl\ ys \mid z \# zs \implies zs @ ys)$
by (*simp split: list.split*)

lemma *tl-append2* [*simp*]: $xs \neq [] \implies tl\ (xs @ ys) = tl\ xs @ ys$
by (*simp add: tl-append split: list.split*)

lemma *Cons-eq-append-conv*: $x \# xs = ys @ zs =$
 $(ys = [] \ \& \ x \# xs = zs \mid (EX\ ys'.\ x \# ys' = ys \ \& \ xs = ys' @ zs))$
by(*cases ys*) *auto*

lemma *append-eq-Cons-conv*: $(ys @ zs = x \# xs) =$
 $(ys = [] \ \& \ zs = x \# xs \mid (EX\ ys'.\ ys = x \# ys' \ \& \ ys' @ zs = xs))$
by(*cases ys*) *auto*

Trivial rules for solving @-equations automatically.

lemma *eq-Nil-appendI*: $xs = ys \implies xs = [] @ ys$
by *simp*

lemma *Cons-eq-appendI*:
 $[| x \# xs1 = ys; xs = xs1 @ zs |] \implies x \# xs = ys @ zs$
by (*drule sym*) *simp*

lemma *append-eq-appendI*:
 $[| xs @ xs1 = zs; ys = xs1 @ us |] \implies xs @ ys = zs @ us$
by (*drule sym*) *simp*

Simplification procedure for all list equalities. Currently only tries to rearrange @ to see if - both lists end in a singleton list, - or both lists end in the same list.

```

ML <<
  local

    fun last (cons as Const(@{const-name Cons},-) $ - $ xs) =
      (case xs of Const(@{const-name Nil},-) => cons | - => last xs)
      | last (Const(@{const-name append},-) $ - $ ys) = last ys
      | last t = t;

    fun list1 (Const(@{const-name Cons},-) $ - $ Const(@{const-name Nil},-)) = true
      | list1 - = false;

    fun butlast ((cons as Const(@{const-name Cons},-) $ x) $ xs) =
      (case xs of Const(@{const-name Nil},-) => xs | - => cons $ butlast xs)
      | butlast ((app as Const(@{const-name append},-) $ xs) $ ys) = app $ butlast ys
      | butlast xs = Const(@{const-name Nil},fastype-of xs);

    val rearr-ss = HOL-basic-ss addsimps [@{thm append-assoc},
      @{thm append-Nil}, @{thm append-Cons}];

    fun list-eq ss (F as (eq as Const(-,eqT)) $ lhs $ rhs) =
      let
        val lastl = last lhs and lastr = last rhs;
        fun rearr conv =
          let
            val lhs1 = butlast lhs and rhs1 = butlast rhs;
            val Type(-,listT::-) = eqT
            val appT = [listT,listT] ----> listT
            val app = Const(@{const-name append},appT)
            val F2 = eq $ (app$lhs1$lastl) $ (app$rhs1$lastr)
            val eq = HOLogic.mk-Trueprop (HOLogic.mk-eq (F,F2));
            val thm = Goal.prove (Simplifier.the-context ss) [] [] eq
              (K (simp-tac (Simplifier.inherit-context ss rearr-ss) 1));
            in SOME ((conv RS (thm RS trans)) RS eq-reflection) end;

          in
            if list1 lastl andalso list1 lastr then rearr @{thm append1-eq-conv}
            else if lastl aconv lastr then rearr @{thm append-same-eq}
            else NONE
          end;

        in
          val list-eq-simproc =
            Simplifier.simproc @{theory} list-eq [(xs::'a list) = ys] (K list-eq);

          end;

        Addsimprocs [list-eq-simproc];
      >>

```

43.1.5 *map*

lemma *map-ext*: $(\lambda x. x : \text{set } xs \longrightarrow f x = g x) \implies \text{map } f xs = \text{map } g xs$
by (*induct xs*) *simp-all*

lemma *map-ident* [*simp*]: $\text{map } (\lambda x. x) = (\lambda xs. xs)$
by (*rule ext, induct-tac xs*) *auto*

lemma *map-append* [*simp*]: $\text{map } f (xs @ ys) = \text{map } f xs @ \text{map } f ys$
by (*induct xs*) *auto*

lemma *map-map* [*simp*]: $\text{map } f (\text{map } g xs) = \text{map } (f \circ g) xs$
by (*induct xs*) *auto*

lemma *map-comp-map* [*simp*]: $((\text{map } f) \circ (\text{map } g)) = \text{map}(f \circ g)$
apply(*rule ext*)
apply(*simp*)
done

lemma *rev-map*: $\text{rev } (\text{map } f xs) = \text{map } f (\text{rev } xs)$
by (*induct xs*) *auto*

lemma *map-eq-conv* [*simp*]: $(\text{map } f xs = \text{map } g xs) = (\lambda x : \text{set } xs. f x = g x)$
by (*induct xs*) *auto*

lemma *map-cong* [*fundef-cong, recdef-cong*]:
 $xs = ys \implies (\lambda x. x : \text{set } ys \implies f x = g x) \implies \text{map } f xs = \text{map } g ys$
— a congruence rule for *map*
by *simp*

lemma *map-is-Nil-conv* [*iff*]: $(\text{map } f xs = []) = (xs = [])$
by (*cases xs*) *auto*

lemma *Nil-is-map-conv* [*iff*]: $([] = \text{map } f xs) = (xs = [])$
by (*cases xs*) *auto*

lemma *map-eq-Cons-conv*:
 $(\text{map } f xs = y \# ys) = (\exists z zs. xs = z \# zs \wedge f z = y \wedge \text{map } f zs = ys)$
by (*cases xs*) *auto*

lemma *Cons-eq-map-conv*:
 $(x \# xs = \text{map } f ys) = (\exists z zs. ys = z \# zs \wedge x = f z \wedge xs = \text{map } f zs)$
by (*cases ys*) *auto*

lemmas *map-eq-Cons-D* = *map-eq-Cons-conv* [*THEN iffD1*]
lemmas *Cons-eq-map-D* = *Cons-eq-map-conv* [*THEN iffD1*]
declare *map-eq-Cons-D* [*dest!*] *Cons-eq-map-D* [*dest!*]

lemma *ex-map-conv*:
 $(\text{EX } xs. ys = \text{map } f xs) = (\text{ALL } y : \text{set } ys. \text{EX } x. y = f x)$

by(*induct ys, auto simp add: Cons-eq-map-conv*)

lemma *map-eq-imp-length-eq*:

assumes *map f xs = map g ys*

shows *length xs = length ys*

using *assms* **proof** (*induct ys arbitrary: xs*)

case *Nil* **then show** *?case* **by** *simp*

next

case (*Cons y ys*) **then obtain** *z zs* **where** *xs = z # zs* **by** *auto*

from *Cons xs* **have** *map f zs = map g ys* **by** *simp*

moreover with *Cons* **have** *length zs = length ys* **by** *blast*

with *xs* **show** *?case* **by** *simp*

qed

lemma *map-inj-on*:

$[[\text{map } f \text{ } xs = \text{map } f \text{ } ys; \text{inj-on } f \text{ } (\text{set } xs \text{ } Un \text{ } \text{set } ys)]]$

$\implies xs = ys$

apply(*frule map-eq-imp-length-eq*)

apply(*rotate-tac -1*)

apply(*induct rule:list-induct2*)

apply *simp*

apply(*simp*)

apply (*blast intro:sym*)

done

lemma *inj-on-map-eq-map*:

$\text{inj-on } f \text{ } (\text{set } xs \text{ } Un \text{ } \text{set } ys) \implies (\text{map } f \text{ } xs = \text{map } f \text{ } ys) = (xs = ys)$

by(*blast dest:map-inj-on*)

lemma *map-injective*:

$\text{map } f \text{ } xs = \text{map } f \text{ } ys \implies \text{inj } f \implies xs = ys$

by (*induct ys arbitrary: xs*) (*auto dest!:injD*)

lemma *inj-map-eq-map[simp]*: $\text{inj } f \implies (\text{map } f \text{ } xs = \text{map } f \text{ } ys) = (xs = ys)$

by(*blast dest:map-injective*)

lemma *inj-mapI*: $\text{inj } f \implies \text{inj } (\text{map } f)$

by (*iprover dest: map-injective injD intro: inj-onI*)

lemma *inj-mapD*: $\text{inj } (\text{map } f) \implies \text{inj } f$

apply (*unfold inj-on-def, clarify*)

apply (*erule-tac x = [x] in ballE*)

apply (*erule-tac x = [y] in ballE, simp, blast*)

apply *blast*

done

lemma *inj-map[iff]*: $\text{inj } (\text{map } f) = \text{inj } f$

by (*blast dest: inj-mapD intro: inj-mapI*)

```

lemma inj-on-mapI: inj-on f ( $\bigcup$  (set ‘ A))  $\implies$  inj-on (map f) A
apply (rule inj-onI)
apply (erule map-inj-on)
apply (blast intro:inj-onI dest:inj-onD)
done

```

```

lemma map-idI: ( $\bigwedge x. x \in \text{set } xs \implies f\ x = x$ )  $\implies$  map f xs = xs
by (induct xs, auto)

```

```

lemma map-fun-upd [simp]:  $y \notin \text{set } xs \implies \text{map } (f(y:=v))\ xs = \text{map } f\ xs$ 
by (induct xs) auto

```

```

lemma map-fst-zip [simp]:
  length xs = length ys  $\implies$  map fst (zip xs ys) = xs
by (induct rule:list-induct2, simp-all)

```

```

lemma map-snd-zip [simp]:
  length xs = length ys  $\implies$  map snd (zip xs ys) = ys
by (induct rule:list-induct2, simp-all)

```

43.1.6 *rev*

```

lemma rev-append [simp]: rev (xs @ ys) = rev ys @ rev xs
by (induct xs) auto

```

```

lemma rev-rev-ident [simp]: rev (rev xs) = xs
by (induct xs) auto

```

```

lemma rev-swap: (rev xs = ys) = (xs = rev ys)
by auto

```

```

lemma rev-is-Nil-conv [iff]: (rev xs = []) = (xs = [])
by (induct xs) auto

```

```

lemma Nil-is-rev-conv [iff]: ([ ] = rev xs) = (xs = [ ])
by (induct xs) auto

```

```

lemma rev-singleton-conv [simp]: (rev xs = [x]) = (xs = [x])
by (cases xs) auto

```

```

lemma singleton-rev-conv [simp]: ([x] = rev xs) = (xs = [x])
by (cases xs) auto

```

```

lemma rev-is-rev-conv [iff]: (rev xs = rev ys) = (xs = ys)
apply (induct xs arbitrary: ys, force)
apply (case-tac ys, simp, force)
done

```

```

lemma inj-on-rev [iff]: inj-on rev A

```

by(*simp add:inj-on-def*)

lemma *rev-induct* [*case-names Nil snoc*]:

$$[\![P \]\!]; \text{!!}x\ xs. P\ xs \implies P\ (xs\ @\ [x])\]\implies P\ xs$$

apply(*simplesubst rev-rev-ident[symmetric]*)
apply(*rule-tac list = rev xs in list.induct, simp-all*)
done

lemma *rev-exhaust* [*case-names Nil snoc*]:

$$(xs = [] \implies P) \implies (\text{!!}ys\ y. xs = ys\ @\ [y] \implies P) \implies P$$

by (*induct xs rule: rev-induct*) *auto*

lemmas *rev-cases = rev-exhaust*

lemma *rev-eq-Cons-iff*[*iff*]: $(rev\ xs = y\ \#ys) = (xs = rev\ ys\ @\ [y])$
by(*rule rev-cases[of xs]*) *auto*

43.1.7 set

lemma *finite-set* [*iff*]: *finite* (*set xs*)
by (*induct xs*) *auto*

lemma *set-append* [*simp*]: $set\ (xs\ @\ ys) = (set\ xs \cup set\ ys)$
by (*induct xs*) *auto*

lemma *hd-in-set*[*simp*]: $xs \neq [] \implies hd\ xs : set\ xs$
by(*cases xs*) *auto*

lemma *set-subset-Cons*: $set\ xs \subseteq set\ (x\ \# xs)$
by *auto*

lemma *set-ConsD*: $y \in set\ (x\ \# xs) \implies y=x \vee y \in set\ xs$
by *auto*

lemma *set-empty* [*iff*]: $(set\ xs = \{\}) = (xs = [])$
by (*induct xs*) *auto*

lemma *set-empty2*[*iff*]: $(\{\} = set\ xs) = (xs = [])$
by(*induct xs*) *auto*

lemma *set-rev* [*simp*]: $set\ (rev\ xs) = set\ xs$
by (*induct xs*) *auto*

lemma *set-map* [*simp*]: $set\ (map\ f\ xs) = f\cdot(set\ xs)$
by (*induct xs*) *auto*

lemma *set-filter* [*simp*]: $set\ (filter\ P\ xs) = \{x. x : set\ xs \wedge P\ x\}$
by (*induct xs*) *auto*

lemma *set-upt* [*simp*]: $\text{set}[i..<j] = \{i..<j\}$
by (*induct j*) (*simp-all add: atLeastLessThanSuc*)

lemma *split-list*: $x : \text{set } xs \implies \exists ys\ zs. xs = ys @ x \# zs$
proof (*induct xs*)
case *Nil* **thus** ?*case* **by** *simp*
next
case *Cons* **thus** ?*case* **by** (*auto intro: Cons-eq-appendI*)
qed

lemma *in-set-conv-decomp*: $x \in \text{set } xs \longleftrightarrow (\exists ys\ zs. xs = ys @ x \# zs)$
by (*auto elim: split-list*)

lemma *split-list-first*: $x : \text{set } xs \implies \exists ys\ zs. xs = ys @ x \# zs \wedge x \notin \text{set } ys$
proof (*induct xs*)
case *Nil* **thus** ?*case* **by** *simp*
next
case (*Cons a xs*)
show ?*case*
proof *cases*
assume $x = a$ **thus** ?*case* **using** *Cons* **by** *fastsimp*
next
assume $x \neq a$ **thus** ?*case* **using** *Cons* **by** (*fastsimp intro!: Cons-eq-appendI*)
qed
qed

lemma *in-set-conv-decomp-first*:
 $(x : \text{set } xs) = (\exists ys\ zs. xs = ys @ x \# zs \wedge x \notin \text{set } ys)$
by (*auto dest!: split-list-first*)

lemma *split-list-last*: $x : \text{set } xs \implies \exists ys\ zs. xs = ys @ x \# zs \wedge x \notin \text{set } zs$
proof (*induct xs rule: rev-induct*)
case *Nil* **thus** ?*case* **by** *simp*
next
case (*snoc a xs*)
show ?*case*
proof *cases*
assume $x = a$ **thus** ?*case* **using** *snoc* **by** *simp* (*metis ex-in-conv set-empty2*)
next
assume $x \neq a$ **thus** ?*case* **using** *snoc* **by** *fastsimp*
qed
qed

lemma *in-set-conv-decomp-last*:
 $(x : \text{set } xs) = (\exists ys\ zs. xs = ys @ x \# zs \wedge x \notin \text{set } zs)$
by (*auto dest!: split-list-last*)

lemma *split-list-prop*: $\exists x \in \text{set } xs. P\ x \implies \exists ys\ x\ zs. xs = ys @ x \# zs \ \& \ P\ x$

```

proof (induct xs)
  case Nil thus ?case by simp
next
  case Cons thus ?case
    by(simp add:Bex-def)(metis append-Cons append.simps(1))
qed

```

```

lemma split-list-propE:
  assumes  $\exists x \in \text{set } xs. P x$ 
  obtains  $ys\ x\ zs$  where  $xs = ys @ x \# zs$  and  $P x$ 
using split-list-prop [OF assms] by blast

```

```

lemma split-list-first-prop:
   $\exists x \in \text{set } xs. P x \implies$ 
   $\exists ys\ x\ zs. xs = ys @ x \# zs \wedge P x \wedge (\forall y \in \text{set } ys. \neg P y)$ 
proof (induct xs)
  case Nil thus ?case by simp
next
  case (Cons x xs)
  show ?case
  proof cases
    assume  $P x$ 
    thus ?thesis by simp
    (metis Un-upper1 contra-subsetD in-set-conv-decomp-first self-append-conv2
    set-append)
  next
    assume  $\neg P x$ 
    hence  $\exists x \in \text{set } xs. P x$  using Cons(2) by simp
    thus ?thesis using  $\langle \neg P x \rangle$  Cons(1) by (metis append-Cons set-ConsD)
  qed
qed

```

```

lemma split-list-first-propE:
  assumes  $\exists x \in \text{set } xs. P x$ 
  obtains  $ys\ x\ zs$  where  $xs = ys @ x \# zs$  and  $P x$  and  $\forall y \in \text{set } ys. \neg P y$ 
using split-list-first-prop [OF assms] by blast

```

```

lemma split-list-first-prop-iff:
   $(\exists x \in \text{set } xs. P x) \longleftrightarrow$ 
   $(\exists ys\ x\ zs. xs = ys @ x \# zs \wedge P x \wedge (\forall y \in \text{set } ys. \neg P y))$ 
by (rule, erule split-list-first-prop) auto

```

```

lemma split-list-last-prop:
   $\exists x \in \text{set } xs. P x \implies$ 
   $\exists ys\ x\ zs. xs = ys @ x \# zs \wedge P x \wedge (\forall z \in \text{set } zs. \neg P z)$ 
proof(induct xs rule:rev-induct)
  case Nil thus ?case by simp
next
  case (snoc x xs)

```

```

show ?case
proof cases
  assume  $P\ x$  thus ?thesis by (metis emptyE set-empty)
next
  assume  $\neg P\ x$ 
  hence  $\exists x \in \text{set } xs. P\ x$  using snoc(2) by simp
  thus ?thesis using  $\langle \neg P\ x \rangle$  snoc(1) by fastsimp
qed
qed

```

```

lemma split-list-last-propE:
  assumes  $\exists x \in \text{set } xs. P\ x$ 
  obtains  $ys\ x\ zs$  where  $xs = ys @ x \# zs$  and  $P\ x$  and  $\forall z \in \text{set } zs. \neg P\ z$ 
using split-list-last-prop [OF assms] by blast

```

```

lemma split-list-last-prop-iff:
   $(\exists x \in \text{set } xs. P\ x) \longleftrightarrow$ 
   $(\exists ys\ x\ zs. xs = ys @ x \# zs \wedge P\ x \wedge (\forall z \in \text{set } zs. \neg P\ z))$ 
by (metis split-list-last-prop [where P=P] in-set-conv-decomp)

```

```

lemma finite-list: finite  $A \implies \exists xs. \text{set } xs = A$ 
by (erule finite-induct)
  (auto simp add: set.simps(2) [symmetric] simp del: set.simps(2))

```

```

lemma card-length: card (set  $xs$ )  $\leq$  length  $xs$ 
by (induct  $xs$ ) (auto simp add: card-insert-if)

```

```

lemma set-minus-filter-out:
   $\text{set } xs - \{y\} = \text{set } (\text{filter } (\lambda x. \neg (x = y))\ xs)$ 
by (induct  $xs$ ) auto

```

43.1.8 filter

```

lemma filter-append [simp]: filter  $P\ (xs @ ys) = \text{filter } P\ xs @ \text{filter } P\ ys$ 
by (induct  $xs$ ) auto

```

```

lemma rev-filter: rev (filter  $P\ xs$ ) = filter  $P\ (\text{rev } xs)$ 
by (induct  $xs$ ) simp-all

```

```

lemma filter-filter [simp]: filter  $P\ (\text{filter } Q\ xs) = \text{filter } (\lambda x. Q\ x \wedge P\ x)\ xs$ 
by (induct  $xs$ ) auto

```

```

lemma length-filter-le [simp]: length (filter  $P\ xs$ )  $\leq$  length  $xs$ 
by (induct  $xs$ ) (auto simp add: le-SucI)

```

```

lemma sum-length-filter-compl:
  length (filter  $P\ xs$ ) + length (filter ( $\%x. \sim P\ x$ )  $xs$ ) = length  $xs$ 
by (induct  $xs$ ) simp-all

```

lemma *filter-True* [simp]: $\forall x \in \text{set } xs. P\ x ==> \text{filter } P\ xs = xs$
by (induct xs) auto

lemma *filter-False* [simp]: $\forall x \in \text{set } xs. \neg P\ x ==> \text{filter } P\ xs = []$
by (induct xs) auto

lemma *filter-empty-conv*: $(\text{filter } P\ xs = []) = (\forall x \in \text{set } xs. \neg P\ x)$
by (induct xs) simp-all

lemma *filter-id-conv*: $(\text{filter } P\ xs = xs) = (\forall x \in \text{set } xs. P\ x)$
apply (induct xs)
apply auto
apply (cut-tac $P=P$ and $xs=xs$ in *length-filter-le*)
apply simp
done

lemma *filter-map*:
 $\text{filter } P\ (\text{map } f\ xs) = \text{map } f\ (\text{filter } (P \circ f)\ xs)$
by (induct xs) simp-all

lemma *length-filter-map*[simp]:
 $\text{length } (\text{filter } P\ (\text{map } f\ xs)) = \text{length } (\text{filter } (P \circ f)\ xs)$
by (simp add: *filter-map*)

lemma *filter-is-subset* [simp]: $\text{set } (\text{filter } P\ xs) \leq \text{set } xs$
by auto

lemma *length-filter-less*:
 $\llbracket x : \text{set } xs; \sim P\ x \rrbracket \implies \text{length } (\text{filter } P\ xs) < \text{length } xs$
proof (induct xs)
case Nil **thus** ?case **by** simp
next
case (Cons x xs) **thus** ?case
apply (auto split: split-if-asm)
using *length-filter-le*[of P xs] **apply** arith
done
qed

lemma *length-filter-conv-card*:
 $\text{length } (\text{filter } p\ xs) = \text{card}\{i. i < \text{length } xs \ \& \ p(xs!i)\}$
proof (induct xs)
case Nil **thus** ?case **by** simp
next
case (Cons x xs)
let ?S = $\{i. i < \text{length } xs \ \& \ p(xs!i)\}$
have fin: finite ?S **by** (fast intro: bounded-nat-set-is-finite)
show ?case **(is** ?l = card ?S')
proof (cases)
assume p x

```

hence eq: ?S' = insert 0 (Suc ' ?S)
  by(auto simp: image-def split:nat.split dest:gr0-implies-Suc)
have length (filter p (x # xs)) = Suc(card ?S)
  using Cons ⟨p x⟩ by simp
also have ... = Suc(card(Suc ' ?S)) using fin
  by (simp add: card-image inj-Suc)
also have ... = card ?S' using eq fin
  by (simp add: card-insert-if) (simp add: image-def)
finally show ?thesis .
next
  assume ¬ p x
  hence eq: ?S' = Suc ' ?S
    by(auto simp add: image-def split:nat.split elim:lessE)
  have length (filter p (x # xs)) = card ?S
    using Cons ⟨¬ p x⟩ by simp
  also have ... = card(Suc ' ?S) using fin
    by (simp add: card-image inj-Suc)
  also have ... = card ?S' using eq fin
    by (simp add: card-insert-if)
  finally show ?thesis .
qed
qed

lemma Cons-eq-filterD:
  x#xs = filter P ys ⟹
  ∃ us vs. ys = us @ x # vs ∧ (∀ u∈set us. ¬ P u) ∧ P x ∧ xs = filter P vs
  (is - ⟹ ∃ us vs. ?P ys us vs)
proof(induct ys)
  case Nil thus ?case by simp
next
  case (Cons y ys)
  show ?case (is ∃ x. ?Q x)
  proof cases
    assume Py: P y
    show ?thesis
    proof cases
      assume x = y
      with Py Cons.prem1 have ?Q [] by simp
      then show ?thesis ..
    next
      assume x ≠ y
      with Py Cons.prem1 show ?thesis by simp
    qed
  qed
next
  assume ¬ P y
  with Cons obtain us vs where ?P (y#ys) (y#us) vs by fastsimp
  then have ?Q (y#us) by simp
  then show ?thesis ..
qed

```

qed

lemma *filter-eq-ConsD*:

filter P ys = x # xs \implies
 $\exists us\ vs.\ ys = us @ x \# vs \wedge (\forall u \in \text{set } us.\ \neg P\ u) \wedge P\ x \wedge xs = \text{filter } P\ vs$
by(*rule Cons-eq-filterD*) *simp*

lemma *filter-eq-Cons-iff*:

(filter P ys = x # xs) =
 $(\exists us\ vs.\ ys = us @ x \# vs \wedge (\forall u \in \text{set } us.\ \neg P\ u) \wedge P\ x \wedge xs = \text{filter } P\ vs)$
by(*auto dest:filter-eq-ConsD*)

lemma *Cons-eq-filter-iff*:

(x # xs = filter P ys) =
 $(\exists us\ vs.\ ys = us @ x \# vs \wedge (\forall u \in \text{set } us.\ \neg P\ u) \wedge P\ x \wedge xs = \text{filter } P\ vs)$
by(*auto dest:Cons-eq-filterD*)

lemma *filter-cong[fundef-cong, recdef-cong]*:

$xs = ys \implies (\bigwedge x.\ x \in \text{set } ys \implies P\ x = Q\ x) \implies \text{filter } P\ xs = \text{filter } Q\ ys$
apply *simp*
apply(*erule thin-rl*)
by (*induct ys*) *simp-all*

43.1.9 List partitioning

primrec *partition* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a\ \text{list} \Rightarrow 'a\ \text{list} \times 'a\ \text{list}$ **where**

partition P [] = ([], [])
 $| \text{partition } P\ (x \# xs) =$
 $(\text{let } (yes, no) = \text{partition } P\ xs$
 $\text{in if } P\ x \text{ then } (x \# yes, no) \text{ else } (yes, x \# no))$

lemma *partition-filter1*:

$\text{fst } (\text{partition } P\ xs) = \text{filter } P\ xs$
by (*induct xs*) (*auto simp add: Let-def split-def*)

lemma *partition-filter2*:

$\text{snd } (\text{partition } P\ xs) = \text{filter } (\text{Not } o\ P)\ xs$
by (*induct xs*) (*auto simp add: Let-def split-def*)

lemma *partition-P*:

assumes $\text{partition } P\ xs = (yes, no)$
shows $(\forall p \in \text{set } yes.\ P\ p) \wedge (\forall p \in \text{set } no.\ \neg P\ p)$
proof –
from *assms* **have** $yes = \text{fst } (\text{partition } P\ xs)$ **and** $no = \text{snd } (\text{partition } P\ xs)$
by *simp-all*
then show *?thesis* **by** (*simp-all add: partition-filter1 partition-filter2*)
qed

lemma *partition-set*:

```

assumes partition  $P$   $xs = (yes, no)$ 
shows  $set\ yes \cup set\ no = set\ xs$ 
proof –
  from  $assms$  have  $yes = fst\ (partition\ P\ xs)$  and  $no = snd\ (partition\ P\ xs)$ 
  by  $simp-all$ 
  then show  $?thesis$  by  $(auto\ simp\ add:\ partition-filter1\ partition-filter2)$ 
qed

```

```

lemma partition-filter-conv[ $simp$ ]:
   $partition\ f\ xs = (filter\ f\ xs, filter\ (Not\ o\ f)\ xs)$ 
unfolding partition-filter2[ $symmetric$ ]
unfolding partition-filter1[ $symmetric$ ] by  $simp$ 

```

```

declare partition.simps[ $simp\ del$ ]

```

43.1.10 concat

```

lemma concat-append [ $simp$ ]:  $concat\ (xs\ @\ ys) = concat\ xs\ @\ concat\ ys$ 
by  $(induct\ xs)\ auto$ 

```

```

lemma concat-eq-Nil-conv [ $simp$ ]:  $(concat\ xss = []) = (\forall\ xs \in set\ xss.\ xs = [])$ 
by  $(induct\ xss)\ auto$ 

```

```

lemma Nil-eq-concat-conv [ $simp$ ]:  $([] = concat\ xss) = (\forall\ xs \in set\ xss.\ xs = [])$ 
by  $(induct\ xss)\ auto$ 

```

```

lemma set-concat [ $simp$ ]:  $set\ (concat\ xs) = (UN\ x:set\ xs.\ set\ x)$ 
by  $(induct\ xs)\ auto$ 

```

```

lemma concat-map-singleton[ $simp$ ]:  $concat(map\ (\%x.\ [f\ x])\ xs) = map\ f\ xs$ 
by  $(induct\ xs)\ auto$ 

```

```

lemma map-concat:  $map\ f\ (concat\ xs) = concat\ (map\ (map\ f)\ xs)$ 
by  $(induct\ xs)\ auto$ 

```

```

lemma filter-concat:  $filter\ p\ (concat\ xs) = concat\ (map\ (filter\ p)\ xs)$ 
by  $(induct\ xs)\ auto$ 

```

```

lemma rev-concat:  $rev\ (concat\ xs) = concat\ (map\ rev\ (rev\ xs))$ 
by  $(induct\ xs)\ auto$ 

```

43.1.11 nth

```

lemma nth-Cons-0 [ $simp, code$ ]:  $(x\ \# \ xs)!0 = x$ 
by  $auto$ 

```

```

lemma nth-Cons-Suc [ $simp, code$ ]:  $(x\ \# \ xs)!(Suc\ n) = xs!n$ 
by  $auto$ 

```

```

declare nth.simps [ $simp\ del$ ]

```

lemma *nth-append*:

($xs @ ys$)! n = (if $n < \text{length } xs$ then $xs!n$ else $ys!(n - \text{length } xs)$)
apply (*induct* xs arbitrary: n , *simp*)
apply (*case-tac* n , *auto*)
done

lemma *nth-append-length* [*simp*]: ($xs @ x \# ys$) ! $\text{length } xs = x$
by (*induct* xs) *auto*

lemma *nth-append-length-plus* [*simp*]: ($xs @ ys$) ! ($\text{length } xs + n$) = $ys ! n$
by (*induct* xs) *auto*

lemma *nth-map* [*simp*]: $n < \text{length } xs \implies (\text{map } f \text{ } xs)!n = f(xs!n)$
apply (*induct* xs arbitrary: n , *simp*)
apply (*case-tac* n , *auto*)
done

lemma *hd-conv-nth*: $xs \neq [] \implies \text{hd } xs = xs!0$
by(*cases* xs) *simp-all*

lemma *list-eq-iff-nth-eq*:

($xs = ys$) = ($\text{length } xs = \text{length } ys \wedge (\text{ALL } i < \text{length } xs. xs!i = ys!i)$)
apply(*induct* xs arbitrary: ys)
apply *force*
apply(*case-tac* ys)
apply *simp*
apply(*simp* *add:nth-Cons* *split:nat.split*)**apply** *blast*
done

lemma *set-conv-nth*: $\text{set } xs = \{xs!i \mid i. i < \text{length } xs\}$
apply (*induct* xs , *simp*, *simp*)
apply *safe*
apply (*metis* *nat-case-0* *nth.simps* *zero-less-Suc*)
apply (*metis* *less-Suc-eq-0-disj* *nth-Cons-Suc*)
apply (*case-tac* i , *simp*)
apply (*metis* *diff-Suc-Suc* *nat-case-Suc* *nth.simps* *zero-less-diff*)
done

lemma *in-set-conv-nth*: ($x \in \text{set } xs$) = ($\exists i < \text{length } xs. xs!i = x$)
by(*auto* *simp:set-conv-nth*)

lemma *list-ball-nth*: [$n < \text{length } xs; !x : \text{set } xs. P \ x$] $\implies P(xs!n)$
by (*auto* *simp* *add:set-conv-nth*)

lemma *nth-mem* [*simp*]: $n < \text{length } xs \implies xs!n : \text{set } xs$
by (*auto* *simp* *add:set-conv-nth*)

lemma *all-nth-imp-all-set*:
 $[[!i < \text{length } xs. P(xs!i); x : \text{set } xs]] ==> P x$
by (*auto simp add: set-conv-nth*)

lemma *all-set-conv-all-nth*:
 $(\forall x \in \text{set } xs. P x) = (\forall i. i < \text{length } xs \longrightarrow P (xs ! i))$
by (*auto simp add: set-conv-nth*)

lemma *rev-nth*:
 $n < \text{size } xs \implies \text{rev } xs ! n = xs ! (\text{length } xs - \text{Suc } n)$
proof (*induct xs arbitrary: n*)
 case Nil **thus** ?case **by** *simp*
next
 case (Cons x xs)
 hence $n < \text{Suc } (\text{length } xs)$ **by** *simp*
 moreover
 { **assume** $n < \text{length } xs$
 with n **obtain** n' **where** $\text{length } xs - n = \text{Suc } n'$
 by (*cases length xs - n, auto*)
 moreover
 then have $\text{length } xs - \text{Suc } n = n'$ **by** *simp*
 ultimately
 have $xs ! (\text{length } xs - \text{Suc } n) = (x \# xs) ! (\text{length } xs - n)$ **by** *simp*
 }
ultimately
show ?case **by** (*clarsimp simp add: Cons nth-append*)
qed

lemma *Skolem-list-nth*:
 $(\text{ALL } i < k. \text{EX } x. P i x) = (\text{EX } xs. \text{size } xs = k \ \& \ (\text{ALL } i < k. P i (xs!i)))$
 $(\text{is } - = (\text{EX } xs. ?P k xs))$
proof (*induct k*)
 case 0 **show** ?case **by** *simp*
next
 case (Suc k)
show ?case **(is ?L = ?R is - = (EX xs. ?P' xs))**
proof
assume ?R **thus** ?L **using** *Suc* **by** *auto*
next
assume ?L
with *Suc* **obtain** x xs **where** $?P k xs \ \& \ P k x$ **by** (*metis less-Suc-eq*)
 hence $?P'(xs@[x])$ **by** (*simp add: nth-append less-Suc-eq*)
thus ?R ..
qed
qed

43.1.12 list-update

lemma *length-list-update* [*simp*]: $\text{length}(xs[i:=x]) = \text{length } xs$

by (induct xs arbitrary: i) (auto split: nat.split)

lemma nth-list-update:

$i < \text{length } xs \implies (xs[i:=x])!j = (\text{if } i = j \text{ then } x \text{ else } xs!j)$

by (induct xs arbitrary: i j) (auto simp add: nth-Cons split: nat.split)

lemma nth-list-update-eq [simp]: $i < \text{length } xs \implies (xs[i:=x])!i = x$

by (simp add: nth-list-update)

lemma nth-list-update-neq [simp]: $i \neq j \implies xs[i:=x]!j = xs!j$

by (induct xs arbitrary: i j) (auto simp add: nth-Cons split: nat.split)

lemma list-update-id[simp]: $xs[i := xs!i] = xs$

by (induct xs arbitrary: i) (simp-all split:nat.splits)

lemma list-update-beyond[simp]: $\text{length } xs \leq i \implies xs[i:=x] = xs$

apply (induct xs arbitrary: i)

apply simp

apply (case-tac i)

apply simp-all

done

lemma list-update-nonempty[simp]: $xs[k:=x] = [] \longleftrightarrow xs=[]$

by(metis length-0-conv length-list-update)

lemma list-update-same-conv:

$i < \text{length } xs \implies (xs[i := x] = xs) = (xs!i = x)$

by (induct xs arbitrary: i) (auto split: nat.split)

lemma list-update-append1:

$i < \text{size } xs \implies (xs @ ys)[i:=x] = xs[i:=x] @ ys$

apply (induct xs arbitrary: i, simp)

apply(simp split:nat.split)

done

lemma list-update-append:

$(xs @ ys)[n:=x] =$

$(\text{if } n < \text{length } xs \text{ then } xs[n:=x] @ ys \text{ else } xs @ (ys[n-\text{length } xs:=x]))$

by (induct xs arbitrary: n) (auto split:nat.splits)

lemma list-update-length [simp]:

$(xs @ x \# ys)[\text{length } xs := y] = (xs @ y \# ys)$

by (induct xs, auto)

lemma map-update: $\text{map } f (xs[k:=y]) = (\text{map } f xs)[k := f y]$

by(induct xs arbitrary: k)(auto split:nat.splits)

lemma rev-update:

$k < \text{length } xs \implies \text{rev } (xs[k:=y]) = (\text{rev } xs)[\text{length } xs - k - 1 := y]$

by (*induct xs arbitrary: k*) (*auto simp: list-update-append split:nat.splits*)

lemma *update-zip*:

(*zip xs ys*)[*i:=xy*] = *zip (xs[i:=fst xy]) (ys[i:=snd xy])*

by (*induct ys arbitrary: i xy xs*) (*auto, case-tac xs, auto split: nat.split*)

lemma *set-update-subset-insert*: *set(xs[i:=x]) <= insert x (set xs)*

by (*induct xs arbitrary: i*) (*auto split: nat.split*)

lemma *set-update-subsetI*: [*set xs <= A; x:A*] ==> *set(xs[i := x]) <= A*

by (*blast dest!: set-update-subset-insert [THEN subsetD]*)

lemma *set-update-memI*: *n < length xs ==> x ∈ set (xs[n := x])*

by (*induct xs arbitrary: n*) (*auto split:nat.splits*)

lemma *list-update-overwrite*[*simp*]:

xs [i := x, i := y] = xs [i := y]

apply (*induct xs arbitrary: i*) **apply** *simp*

apply (*case-tac i, simp-all*)

done

lemma *list-update-swap*:

i ≠ i' ==> xs [i := x, i' := x'] = xs [i' := x', i := x]

apply (*induct xs arbitrary: i i'*)

apply *simp*

apply (*case-tac i, case-tac i'*)

apply *auto*

apply (*case-tac i'*)

apply *auto*

done

lemma *list-update-code* [*code*]:

[*i := y*] = []

(*x # xs*)[*0 := y*] = *y # xs*

(*x # xs*)[*Suc i := y*] = *x # xs[i := y]*

by *simp-all*

43.1.13 last and butlast

lemma *last-snoc* [*simp*]: *last (xs @ [x]) = x*

by (*induct xs*) *auto*

lemma *butlast-snoc* [*simp*]: *butlast (xs @ [x]) = xs*

by (*induct xs*) *auto*

lemma *last-ConsL*: *xs = [] ==> last(x#xs) = x*

by(*simp add:last.simps*)

lemma *last-ConsR*: *xs ≠ [] ==> last(x#xs) = last xs*

by(*simp add:last.simps*)

lemma *last-append*: $\text{last}(xs \text{ @ } ys) = (\text{if } ys = [] \text{ then last } xs \text{ else last } ys)$
by (*induct xs*) (*auto*)

lemma *last-appendL[simp]*: $ys = [] \implies \text{last}(xs \text{ @ } ys) = \text{last } xs$
by(*simp add:last-append*)

lemma *last-appendR[simp]*: $ys \neq [] \implies \text{last}(xs \text{ @ } ys) = \text{last } ys$
by(*simp add:last-append*)

lemma *hd-rev*: $xs \neq [] \implies \text{hd}(\text{rev } xs) = \text{last } xs$
by(*rule rev-exhaust[of xs]*) *simp-all*

lemma *last-rev*: $xs \neq [] \implies \text{last}(\text{rev } xs) = \text{hd } xs$
by(*cases xs*) *simp-all*

lemma *last-in-set[simp]*: $as \neq [] \implies \text{last } as \in \text{set } as$
by (*induct as*) *auto*

lemma *length-butlast [simp]*: $\text{length } (\text{butlast } xs) = \text{length } xs - 1$
by (*induct xs rule: rev-induct*) *auto*

lemma *butlast-append*:
 $\text{butlast } (xs \text{ @ } ys) = (\text{if } ys = [] \text{ then butlast } xs \text{ else } xs \text{ @ butlast } ys)$
by (*induct xs arbitrary: ys*) *auto*

lemma *append-butlast-last-id [simp]*:
 $xs \neq [] \implies \text{butlast } xs \text{ @ } [\text{last } xs] = xs$
by (*induct xs*) *auto*

lemma *in-set-butlastD*: $x : \text{set } (\text{butlast } xs) \implies x : \text{set } xs$
by (*induct xs*) (*auto split: split-if-asm*)

lemma *in-set-butlast-appendI*:
 $x : \text{set } (\text{butlast } xs) \mid x : \text{set } (\text{butlast } ys) \implies x : \text{set } (\text{butlast } (xs \text{ @ } ys))$
by (*auto dest: in-set-butlastD simp add: butlast-append*)

lemma *last-drop[simp]*: $n < \text{length } xs \implies \text{last } (\text{drop } n \text{ } xs) = \text{last } xs$
apply (*induct xs arbitrary: n*)
apply *simp*
apply (*auto split:nat.split*)
done

lemma *last-conv-nth*: $xs \neq [] \implies \text{last } xs = xs!(\text{length } xs - 1)$
by(*induct xs*)(*auto simp:neq-Nil-conv*)

lemma *butlast-conv-take*: $\text{butlast } xs = \text{take } (\text{length } xs - 1) \text{ } xs$
by (*induct xs, simp, case-tac xs, simp-all*)

lemma *last-list-update*:

$xs \neq [] \implies \text{last}(xs[k:=x]) = (\text{if } k = \text{size } xs - 1 \text{ then } x \text{ else } \text{last } xs)$
by (*auto simp: last-conv-nth*)

lemma *butlast-list-update*:

$\text{butlast}(xs[k:=x]) =$
 $(\text{if } k = \text{size } xs - 1 \text{ then } \text{butlast } xs \text{ else } (\text{butlast } xs)[k:=x])$
apply(*cases xs rule: rev-cases*)
apply *simp*
apply(*simp add: list-update-append split: nat.splits*)
done

lemma *last-map*:

$xs \neq [] \implies \text{last } (\text{map } f \text{ } xs) = f \text{ } (\text{last } xs)$
by (*cases xs rule: rev-cases simp-all*)

lemma *map-butlast*:

$\text{map } f \text{ } (\text{butlast } xs) = \text{butlast } (\text{map } f \text{ } xs)$
by (*induct xs simp-all*)

43.1.14 *take and drop*

lemma *take-0* [*simp*]: $\text{take } 0 \text{ } xs = []$
by (*induct xs auto*)

lemma *drop-0* [*simp*]: $\text{drop } 0 \text{ } xs = xs$
by (*induct xs auto*)

lemma *take-Suc-Cons* [*simp*]: $\text{take } (\text{Suc } n) \text{ } (x \# xs) = x \# \text{take } n \text{ } xs$
by *simp*

lemma *drop-Suc-Cons* [*simp*]: $\text{drop } (\text{Suc } n) \text{ } (x \# xs) = \text{drop } n \text{ } xs$
by *simp*

declare *take-Cons* [*simp del*] **and** *drop-Cons* [*simp del*]

lemma *take-1-Cons* [*simp*]: $\text{take } 1 \text{ } (x \# xs) = [x]$
unfolding *One-nat-def* **by** *simp*

lemma *drop-1-Cons* [*simp*]: $\text{drop } 1 \text{ } (x \# xs) = xs$
unfolding *One-nat-def* **by** *simp*

lemma *take-Suc*: $xs \sim [] \implies \text{take } (\text{Suc } n) \text{ } xs = \text{hd } xs \# \text{take } n \text{ } (\text{tl } xs)$
by(*clarsimp simp add: neq-Nil-conv*)

lemma *drop-Suc*: $\text{drop } (\text{Suc } n) \text{ } xs = \text{drop } n \text{ } (\text{tl } xs)$
by(*cases xs, simp-all*)

lemma *take-tl*: $\text{take } n \text{ (tl } xs) = \text{tl (take (Suc } n) xs)$
by (*induct xs arbitrary: n, simp-all*)

lemma *drop-tl*: $\text{drop } n \text{ (tl } xs) = \text{tl (drop } n \text{ xs)}$
by(*induct xs arbitrary: n, simp-all add:drop-Cons drop-Suc split:nat.split*)

lemma *tl-take*: $\text{tl (take } n \text{ xs)} = \text{take (n - 1) (tl xs)}$
by (*cases n, simp, cases xs, auto*)

lemma *tl-drop*: $\text{tl (drop } n \text{ xs)} = \text{drop } n \text{ (tl xs)}$
by (*simp only: drop-tl*)

lemma *nth-via-drop*: $\text{drop } n \text{ xs} = y \# ys \implies xs!n = y$
apply (*induct xs arbitrary: n, simp*)
apply(*simp add:drop-Cons nth-Cons split:nat.splits*)
done

lemma *take-Suc-conv-app-nth*:
 $i < \text{length } xs \implies \text{take (Suc } i) \text{ xs} = \text{take } i \text{ xs} @ [xs!i]$
apply (*induct xs arbitrary: i, simp*)
apply (*case-tac i, auto*)
done

lemma *drop-Suc-conv-tl*:
 $i < \text{length } xs \implies (xs!i) \# (\text{drop (Suc } i) \text{ xs}) = \text{drop } i \text{ xs}$
apply (*induct xs arbitrary: i, simp*)
apply (*case-tac i, auto*)
done

lemma *length-take* [*simp*]: $\text{length (take } n \text{ xs)} = \min (\text{length } xs) \text{ } n$
by (*induct n arbitrary: xs*) (*auto, case-tac xs, auto*)

lemma *length-drop* [*simp*]: $\text{length (drop } n \text{ xs)} = (\text{length } xs - n)$
by (*induct n arbitrary: xs*) (*auto, case-tac xs, auto*)

lemma *take-all* [*simp*]: $\text{length } xs \leq n \implies \text{take } n \text{ xs} = xs$
by (*induct n arbitrary: xs*) (*auto, case-tac xs, auto*)

lemma *drop-all* [*simp*]: $\text{length } xs \leq n \implies \text{drop } n \text{ xs} = []$
by (*induct n arbitrary: xs*) (*auto, case-tac xs, auto*)

lemma *take-append* [*simp*]:
 $\text{take } n \text{ (xs @ ys)} = (\text{take } n \text{ xs} @ \text{take (n - length xs) ys})$
by (*induct n arbitrary: xs*) (*auto, case-tac xs, auto*)

lemma *drop-append* [*simp*]:
 $\text{drop } n \text{ (xs @ ys)} = \text{drop } n \text{ xs} @ \text{drop (n - length xs) ys}$
by (*induct n arbitrary: xs*) (*auto, case-tac xs, auto*)

```

lemma take-take [simp]: take n (take m xs) = take (min n m) xs
apply (induct m arbitrary: xs n, auto)
apply (case-tac xs, auto)
apply (case-tac n, auto)
done

```

```

lemma drop-drop [simp]: drop n (drop m xs) = drop (n + m) xs
apply (induct m arbitrary: xs, auto)
apply (case-tac xs, auto)
done

```

```

lemma take-drop: take n (drop m xs) = drop m (take (n + m) xs)
apply (induct m arbitrary: xs n, auto)
apply (case-tac xs, auto)
done

```

```

lemma drop-take: drop n (take m xs) = take (m - n) (drop n xs)
apply (induct xs arbitrary: m n)
  apply simp
apply (simp add: take-Cons drop-Cons split:nat.split)
done

```

```

lemma append-take-drop-id [simp]: take n xs @ drop n xs = xs
apply (induct n arbitrary: xs, auto)
apply (case-tac xs, auto)
done

```

```

lemma take-eq-Nil[simp]: (take n xs = []) = (n = 0  $\vee$  xs = [])
apply (induct xs arbitrary: n)
  apply simp
apply (simp add: take-Cons split:nat.split)
done

```

```

lemma drop-eq-Nil[simp]: (drop n xs = []) = (length xs <= n)
apply (induct xs arbitrary: n)
apply simp
apply (simp add: drop-Cons split:nat.split)
done

```

```

lemma take-map: take n (map f xs) = map f (take n xs)
apply (induct n arbitrary: xs, auto)
apply (case-tac xs, auto)
done

```

```

lemma drop-map: drop n (map f xs) = map f (drop n xs)
apply (induct n arbitrary: xs, auto)
apply (case-tac xs, auto)
done

```

lemma *rev-take*: $\text{rev } (\text{take } i \text{ } xs) = \text{drop } (\text{length } xs - i) (\text{rev } xs)$
apply (*induct* *xs* *arbitrary*: *i*, *auto*)
apply (*case-tac* *i*, *auto*)
done

lemma *rev-drop*: $\text{rev } (\text{drop } i \text{ } xs) = \text{take } (\text{length } xs - i) (\text{rev } xs)$
apply (*induct* *xs* *arbitrary*: *i*, *auto*)
apply (*case-tac* *i*, *auto*)
done

lemma *nth-take* [*simp*]: $i < n \implies (\text{take } n \text{ } xs)!i = xs!i$
apply (*induct* *xs* *arbitrary*: *i* *n*, *auto*)
apply (*case-tac* *n*, *blast*)
apply (*case-tac* *i*, *auto*)
done

lemma *nth-drop* [*simp*]:
 $n + i \leq \text{length } xs \implies (\text{drop } n \text{ } xs)!i = xs!(n + i)$
apply (*induct* *n* *arbitrary*: *xs* *i*, *auto*)
apply (*case-tac* *xs*, *auto*)
done

lemma *butlast-take*:
 $n \leq \text{length } xs \implies \text{butlast } (\text{take } n \text{ } xs) = \text{take } (n - 1) \text{ } xs$
by (*simp* *add*: *butlast-conv-take* *min-max.inf-absorb1* *min-max.inf-absorb2*)

lemma *butlast-drop*: $\text{butlast } (\text{drop } n \text{ } xs) = \text{drop } n (\text{butlast } xs)$
by (*simp* *add*: *butlast-conv-take* *drop-take* *add-ac*)

lemma *take-butlast*: $n < \text{length } xs \implies \text{take } n (\text{butlast } xs) = \text{take } n \text{ } xs$
by (*simp* *add*: *butlast-conv-take* *min-max.inf-absorb1*)

lemma *drop-butlast*: $\text{drop } n (\text{butlast } xs) = \text{butlast } (\text{drop } n \text{ } xs)$
by (*simp* *add*: *butlast-conv-take* *drop-take* *add-ac*)

lemma *hd-drop-conv-nth*: $\llbracket xs \neq []; n < \text{length } xs \rrbracket \implies \text{hd}(\text{drop } n \text{ } xs) = xs!n$
by(*simp* *add*: *hd-conv-nth*)

lemma *set-take-subset-set-take*:
 $m \leq n \implies \text{set}(\text{take } m \text{ } xs) \subseteq \text{set}(\text{take } n \text{ } xs)$
by(*induct* *xs* *arbitrary*: *m* *n*)(*auto* *simp*:*take-Cons* *split*:*nat.split*)

lemma *set-take-subset*: $\text{set}(\text{take } n \text{ } xs) \subseteq \text{set } xs$
by(*induct* *xs* *arbitrary*: *n*)(*auto* *simp*:*take-Cons* *split*:*nat.split*)

lemma *set-drop-subset*: $\text{set}(\text{drop } n \text{ } xs) \subseteq \text{set } xs$
by(*induct* *xs* *arbitrary*: *n*)(*auto* *simp*:*drop-Cons* *split*:*nat.split*)

lemma *set-drop-subset-set-drop*:


```

  m >= n ==> set(drop m xs) <= set(drop n xs)
apply(induct xs arbitrary: m n)
apply(auto simp:drop-Cons split:nat.split)
apply (metis set-drop-subset subset-iff)
done

```

```

lemma in-set-takeD:  $x : \text{set}(\text{take } n \text{ } xs) \implies x : \text{set } xs$ 
using set-take-subset by fast

```

```

lemma in-set-dropD:  $x : \text{set}(\text{drop } n \text{ } xs) \implies x : \text{set } xs$ 
using set-drop-subset by fast

```

```

lemma append-eq-conv-conj:
   $(xs @ ys = zs) = (xs = \text{take } (\text{length } xs) \text{ } zs \wedge ys = \text{drop } (\text{length } xs) \text{ } zs)$ 
apply (induct xs arbitrary: zs, simp, clarsimp)
apply (case-tac zs, auto)
done

```

```

lemma take-add:
   $i+j \leq \text{length}(xs) \implies \text{take } (i+j) \text{ } xs = \text{take } i \text{ } xs @ \text{take } j \text{ } (\text{drop } i \text{ } xs)$ 
apply (induct xs arbitrary: i, auto)
apply (case-tac i, simp-all)
done

```

```

lemma append-eq-append-conv-if:
   $(xs_1 @ xs_2 = ys_1 @ ys_2) =$ 
  (if  $\text{size } xs_1 \leq \text{size } ys_1$ 
    then  $xs_1 = \text{take } (\text{size } xs_1) \text{ } ys_1 \wedge xs_2 = \text{drop } (\text{size } xs_1) \text{ } ys_1 @ ys_2$ 
    else  $\text{take } (\text{size } ys_1) \text{ } xs_1 = ys_1 \wedge \text{drop } (\text{size } ys_1) \text{ } xs_1 @ xs_2 = ys_2$ )
apply(induct xs1 arbitrary: ys1)
apply simp
apply(case-tac ys1)
apply simp-all
done

```

```

lemma take-hd-drop:
   $n < \text{length } xs \implies \text{take } n \text{ } xs @ [\text{hd } (\text{drop } n \text{ } xs)] = \text{take } (\text{Suc } n) \text{ } xs$ 
apply(induct xs arbitrary: n)
apply simp
apply(simp add:drop-Cons split:nat.split)
done

```

```

lemma id-take-nth-drop:
   $i < \text{length } xs \implies xs = \text{take } i \text{ } xs @ xs[i] \# \text{drop } (\text{Suc } i) \text{ } xs$ 
proof –
  assume si:  $i < \text{length } xs$ 
  hence  $xs = \text{take } (\text{Suc } i) \text{ } xs @ \text{drop } (\text{Suc } i) \text{ } xs$  by auto
  moreover
  from si have  $\text{take } (\text{Suc } i) \text{ } xs = \text{take } i \text{ } xs @ [xs[i]]$ 

```

apply (*rule-tac take-Suc-conv-app-nth*) **by** *arith*
ultimately show *?thesis* **by** *auto*
qed

lemma *upd-conv-take-nth-drop*:
 $i < \text{length } xs \implies xs[i:=a] = \text{take } i \text{ } xs @ a \# \text{drop } (\text{Suc } i) \text{ } xs$
proof –
assume $i: i < \text{length } xs$
have $xs[i:=a] = (\text{take } i \text{ } xs @ xs!i \# \text{drop } (\text{Suc } i) \text{ } xs)[i:=a]$
by(*rule arg-cong[OF id-take-nth-drop[OF i]]*)
also have $\dots = \text{take } i \text{ } xs @ a \# \text{drop } (\text{Suc } i) \text{ } xs$
using i **by** (*simp add: list-update-append*)
finally show *?thesis* .
qed

lemma *nth-drop'*:
 $i < \text{length } xs \implies xs ! i \# \text{drop } (\text{Suc } i) \text{ } xs = \text{drop } i \text{ } xs$
apply (*induct i arbitrary: xs*)
apply (*simp add: neq-Nil-conv*)
apply (*erule exE*)
apply *simp*
apply (*case-tac xs*)
apply *simp-all*
done

43.1.15 *takeWhile* and *dropWhile*

lemma *length-takeWhile-le*: $\text{length } (\text{takeWhile } P \text{ } xs) \leq \text{length } xs$
by (*induct xs*) *auto*

lemma *takeWhile-dropWhile-id* [*simp*]: $\text{takeWhile } P \text{ } xs @ \text{dropWhile } P \text{ } xs = xs$
by (*induct xs*) *auto*

lemma *takeWhile-append1* [*simp*]:
 $[| x:\text{set } xs; \sim P(x)|] \implies \text{takeWhile } P \text{ } (xs @ ys) = \text{takeWhile } P \text{ } xs$
by (*induct xs*) *auto*

lemma *takeWhile-append2* [*simp*]:
 $(!!x. x : \text{set } xs \implies P x) \implies \text{takeWhile } P \text{ } (xs @ ys) = xs @ \text{takeWhile } P \text{ } ys$
by (*induct xs*) *auto*

lemma *takeWhile-tail*: $\neg P x \implies \text{takeWhile } P \text{ } (xs @ (x\#l)) = \text{takeWhile } P \text{ } xs$
by (*induct xs*) *auto*

lemma *takeWhile-nth*: $j < \text{length } (\text{takeWhile } P \text{ } xs) \implies \text{takeWhile } P \text{ } xs ! j = xs ! j$
apply (*subst* ($\lambda j. \text{takeWhile-dropWhile-id}[\text{symmetric}]$)) **unfolding** *nth-append* **by** *auto*

lemma *dropWhile-nth*: $j < \text{length } (\text{dropWhile } P \text{ } xs) \implies \text{dropWhile } P \text{ } xs ! j = xs ! (j + \text{length } (\text{takeWhile } P \text{ } xs))$

apply (*subst* (\exists) *takeWhile-dropWhile-id*[*symmetric*]) **unfolding** *nth-append* **by** *auto*

lemma *length-dropWhile-le*: $\text{length } (\text{dropWhile } P \text{ } xs) \leq \text{length } xs$
by (*induct xs*) *auto*

lemma *dropWhile-append1* [*simp*]:
 $[[x : \text{set } xs; \sim P(x)]] \implies \text{dropWhile } P \text{ } (xs @ ys) = (\text{dropWhile } P \text{ } xs) @ ys$
by (*induct xs*) *auto*

lemma *dropWhile-append2* [*simp*]:
 $(!!x. x : \text{set } xs \implies P(x)) \implies \text{dropWhile } P \text{ } (xs @ ys) = \text{dropWhile } P \text{ } ys$
by (*induct xs*) *auto*

lemma *set-takeWhileD*: $x : \text{set } (\text{takeWhile } P \text{ } xs) \implies x : \text{set } xs \wedge P \text{ } x$
by (*induct xs*) (*auto split: split-if-asm*)

lemma *takeWhile-eq-all-conv*[*simp*]:
 $(\text{takeWhile } P \text{ } xs = xs) = (\forall x \in \text{set } xs. P \text{ } x)$
by(*induct xs, auto*)

lemma *dropWhile-eq-Nil-conv*[*simp*]:
 $(\text{dropWhile } P \text{ } xs = []) = (\forall x \in \text{set } xs. P \text{ } x)$
by(*induct xs, auto*)

lemma *dropWhile-eq-Cons-conv*:
 $(\text{dropWhile } P \text{ } xs = y \# ys) = (xs = \text{takeWhile } P \text{ } xs @ y \# ys \ \& \ \neg P \text{ } y)$
by(*induct xs, auto*)

lemma *distinct-takeWhile*[*simp*]: $\text{distinct } xs \implies \text{distinct } (\text{takeWhile } P \text{ } xs)$
by (*induct xs*) (*auto dest: set-takeWhileD*)

lemma *distinct-dropWhile*[*simp*]: $\text{distinct } xs \implies \text{distinct } (\text{dropWhile } P \text{ } xs)$
by (*induct xs*) *auto*

lemma *takeWhile-map*: $\text{takeWhile } P \text{ } (\text{map } f \text{ } xs) = \text{map } f \text{ } (\text{takeWhile } (P \circ f) \text{ } xs)$
by (*induct xs*) *auto*

lemma *dropWhile-map*: $\text{dropWhile } P \text{ } (\text{map } f \text{ } xs) = \text{map } f \text{ } (\text{dropWhile } (P \circ f) \text{ } xs)$
by (*induct xs*) *auto*

lemma *takeWhile-eq-take*: $\text{takeWhile } P \text{ } xs = \text{take } (\text{length } (\text{takeWhile } P \text{ } xs)) \text{ } xs$
by (*induct xs*) *auto*

lemma *dropWhile-eq-drop*: $\text{dropWhile } P \text{ } xs = \text{drop } (\text{length } (\text{takeWhile } P \text{ } xs)) \text{ } xs$
by (*induct xs*) *auto*

lemma *hd-dropWhile*:

dropWhile P xs $\neq [] \implies \neg P$ (*hd* (*dropWhile P xs*))
using *assms* **by** (*induct xs*) *auto*

lemma *takeWhile-eq-filter*:

assumes $\bigwedge x. x \in \text{set } (\text{dropWhile } P \text{ } xs) \implies \neg P \text{ } x$
shows *takeWhile P xs* = *filter P xs*
proof –
have *A*: *filter P xs* = *filter P (takeWhile P xs @ dropWhile P xs)*
by *simp*
have *B*: *filter P (dropWhile P xs)* = []
unfolding *filter-empty-conv* **using** *assms* **by** *blast*
have *filter P xs* = *takeWhile P xs*
unfolding *A filter-append B*
by (*auto simp add: filter-id-conv dest: set-takeWhileD*)
thus *?thesis* ..
qed

lemma *takeWhile-eq-take-P-nth*:

$\llbracket \bigwedge i. \llbracket i < n ; i < \text{length } xs \rrbracket \implies P (xs ! i) ; n < \text{length } xs \implies \neg P (xs ! n) \rrbracket \implies$
takeWhile P xs = *take n xs*
proof (*induct xs arbitrary: n*)
case (*Cons x xs*)
thus *?case*
proof (*cases n*)
case (*Suc n'*) **note** *this[simp]*
have *P x* **using** *Cons.prem1[of 0]* **by** *simp*
moreover **have** *takeWhile P xs* = *take n' xs*
proof (*rule Cons.hyps*)
case goal1 **thus** *P (xs ! i)* **using** *Cons.prem1[of Suc i]* **by** *simp*
next case goal2 **thus** *?case* **using** *Cons* **by** *auto*
qed
ultimately show *?thesis* **by** *simp*
qed *simp*
qed *simp*

lemma *nth-length-takeWhile*:

length (takeWhile P xs) < *length xs* $\implies \neg P (xs ! \text{length } (\text{takeWhile } P \text{ } xs))$
by (*induct xs*) *auto*

lemma *length-takeWhile-less-P-nth*:

assumes *all*: $\bigwedge i. i < j \implies P (xs ! i)$ **and** $j \leq \text{length } xs$
shows $j \leq \text{length } (\text{takeWhile } P \text{ } xs)$
proof (*rule classical*)
assume $\neg ?thesis$
hence *length (takeWhile P xs)* < *length xs* **using** *assms* **by** *simp*
thus *?thesis* **using** *all* $\langle \neg ?thesis \rangle$ *nth-length-takeWhile[of P xs]* **by** *auto*
qed

The following two lemmas could be generalized to an arbitrary property.

lemma *takeWhile-neq-rev*: $\llbracket \text{distinct } xs; x \in \text{set } xs \rrbracket \implies$
 $\text{takeWhile } (\lambda y. y \neq x) (\text{rev } xs) = \text{rev } (\text{tl } (\text{dropWhile } (\lambda y. y \neq x) xs))$
by (*induct xs*) (*auto simp: takeWhile-tail* [**where** $l = []$])

lemma *dropWhile-neq-rev*: $\llbracket \text{distinct } xs; x \in \text{set } xs \rrbracket \implies$
 $\text{dropWhile } (\lambda y. y \neq x) (\text{rev } xs) = x \# \text{rev } (\text{takeWhile } (\lambda y. y \neq x) xs)$
apply (*induct xs*)
apply *simp*
apply *auto*
apply (*subst dropWhile-append2*)
apply *auto*
done

lemma *takeWhile-not-last*:
 $\llbracket xs \neq []; \text{distinct } xs \rrbracket \implies \text{takeWhile } (\lambda y. y \neq \text{last } xs) xs = \text{butlast } xs$
apply (*induct xs*)
apply *simp*
apply (*case-tac xs*)
apply (*auto*)
done

lemma *takeWhile-cong* [*fundef-cong, recdef-cong*]:
 $\llbracket l = k; \forall x. x : \text{set } l \implies P x = Q x \rrbracket$
 $\implies \text{takeWhile } P l = \text{takeWhile } Q k$
by (*induct k arbitrary: l*) (*simp-all*)

lemma *dropWhile-cong* [*fundef-cong, recdef-cong*]:
 $\llbracket l = k; \forall x. x : \text{set } l \implies P x = Q x \rrbracket$
 $\implies \text{dropWhile } P l = \text{dropWhile } Q k$
by (*induct k arbitrary: l, simp-all*)

43.1.16 *zip*

lemma *zip-Nil* [*simp*]: $\text{zip } [] ys = []$
by (*induct ys*) *auto*

lemma *zip-Cons-Cons* [*simp*]: $\text{zip } (x \# xs) (y \# ys) = (x, y) \# \text{zip } xs ys$
by *simp*

declare *zip-Cons* [*simp del*]

lemma [*code*]:
 $\text{zip } [] ys = []$
 $\text{zip } xs [] = []$
 $\text{zip } (x \# xs) (y \# ys) = (x, y) \# \text{zip } xs ys$
by (*fact zip-Nil zip.simps(1) zip-Cons-Cons*) +

lemma *zip-Cons1*:

$zip\ (x\#xs)\ ys = (case\ ys\ of\ [] \Rightarrow [] \mid y\#ys \Rightarrow (x,y)\#zip\ xs\ ys)$
by(*auto split:list.split*)

lemma *length-zip [simp]*:
 $length\ (zip\ xs\ ys) = \min\ (length\ xs)\ (length\ ys)$
by (*induct xs ys rule:list-induct2'*) *auto*

lemma *zip-obtain-same-length*:
assumes $\bigwedge zs\ ws\ n.\ length\ zs = length\ ws \Longrightarrow n = \min\ (length\ xs)\ (length\ ys)$
 $\Longrightarrow zs = take\ n\ xs \Longrightarrow ws = take\ n\ ys \Longrightarrow P\ (zip\ zs\ ws)$
shows $P\ (zip\ xs\ ys)$
proof –
let $?n = \min\ (length\ xs)\ (length\ ys)$
have $P\ (zip\ (take\ ?n\ xs)\ (take\ ?n\ ys))$
by (*rule assms*) *simp-all*
moreover **have** $zip\ xs\ ys = zip\ (take\ ?n\ xs)\ (take\ ?n\ ys)$
proof (*induct xs arbitrary: ys*)
case *Nil* **then show** $?case$ **by** *simp*
next
case (*Cons x xs*) **then show** $?case$ **by** (*cases ys*) *simp-all*
qed
ultimately show $?thesis$ **by** *simp*
qed

lemma *zip-append1*:
 $zip\ (xs\ @\ ys)\ zs =$
 $zip\ xs\ (take\ (length\ xs)\ zs)\ @\ zip\ ys\ (drop\ (length\ xs)\ zs)$
by (*induct xs zs rule:list-induct2'*) *auto*

lemma *zip-append2*:
 $zip\ xs\ (ys\ @\ zs) =$
 $zip\ (take\ (length\ ys)\ xs)\ ys\ @\ zip\ (drop\ (length\ ys)\ xs)\ zs$
by (*induct xs ys rule:list-induct2'*) *auto*

lemma *zip-append [simp]*:
 $[[]\ length\ xs = length\ us;\ length\ ys = length\ vs\ []] ==>$
 $zip\ (xs@ys)\ (us@vs) = zip\ xs\ us\ @\ zip\ ys\ vs$
by (*simp add: zip-append1*)

lemma *zip-rev*:
 $length\ xs = length\ ys ==> zip\ (rev\ xs)\ (rev\ ys) = rev\ (zip\ xs\ ys)$
by (*induct rule:list-induct2, simp-all*)

lemma *zip-map-map*:
 $zip\ (map\ f\ xs)\ (map\ g\ ys) = map\ (\lambda\ (x,\ y).\ (f\ x,\ g\ y))\ (zip\ xs\ ys)$
proof (*induct xs arbitrary: ys*)
case (*Cons x xs*) **note** $Cons\ x\ xs = Cons.hyps$
show $?case$
proof (*cases ys*)

```

    case (Cons y ys')
    show ?thesis unfolding Cons using Cons-x-xs by simp
  qed simp
qed simp

```

```

lemma zip-map1:
  zip (map f xs) ys = map (λ(x, y). (f x, y)) (zip xs ys)
using zip-map-map[of f xs λx. x ys] by simp

```

```

lemma zip-map2:
  zip xs (map f ys) = map (λ(x, y). (x, f y)) (zip xs ys)
using zip-map-map[of λx. x xs f ys] by simp

```

```

lemma map-zip-map:
  map f (zip (map g xs) ys) = map (λ(x,y). f(g x, y)) (zip xs ys)
unfolding zip-map1 by auto

```

```

lemma map-zip-map2:
  map f (zip xs (map g ys)) = map (λ(x,y). f(x, g y)) (zip xs ys)
unfolding zip-map2 by auto

```

Courtesy of Andreas Lochbihler:

```

lemma zip-same-conv-map: zip xs xs = map (λx. (x, x)) xs
by(induct xs) auto

```

```

lemma nth-zip [simp]:
  [| i < length xs; i < length ys |] ==> (zip xs ys)!i = (xs!i, ys!i)
apply (induct ys arbitrary: i xs, simp)
apply (case-tac xs)
apply (simp-all add: nth.simps split: nat.split)
done

```

```

lemma set-zip:
  set (zip xs ys) = {(xs!i, ys!i) | i. i < min (length xs) (length ys)}
by(simp add: set-conv-nth cong: rev-conj-cong)

```

```

lemma zip-same: ((a,b) ∈ set (zip xs xs)) = (a ∈ set xs ∧ a = b)
by(induct xs) auto

```

```

lemma zip-update:
  zip (xs[i:=x]) (ys[i:=y]) = (zip xs ys)[i:=(x,y)]
by(rule sym, simp add: update-zip)

```

```

lemma zip-replicate [simp]:
  zip (replicate i x) (replicate j y) = replicate (min i j) (x,y)
apply (induct i arbitrary: j, auto)
apply (case-tac j, auto)
done

```

lemma *take-zip*:

take *n* (*zip* *xs* *ys*) = *zip* (*take* *n* *xs*) (*take* *n* *ys*)
apply (*induct* *n* *arbitrary*: *xs* *ys*)
apply *simp*
apply (*case-tac* *xs*, *simp*)
apply (*case-tac* *ys*, *simp-all*)
done

lemma *drop-zip*:

drop *n* (*zip* *xs* *ys*) = *zip* (*drop* *n* *xs*) (*drop* *n* *ys*)
apply (*induct* *n* *arbitrary*: *xs* *ys*)
apply *simp*
apply (*case-tac* *xs*, *simp*)
apply (*case-tac* *ys*, *simp-all*)
done

lemma *zip-takeWhile-fst*: *zip* (*takeWhile* *P* *xs*) *ys* = *takeWhile* (*P* \circ *fst*) (*zip* *xs* *ys*)
proof (*induct* *xs* *arbitrary*: *ys*)
case (*Cons* *x* *xs*) **thus** ?*case* **by** (*cases* *ys*) *auto*
qed *simp*

lemma *zip-takeWhile-snd*: *zip* *xs* (*takeWhile* *P* *ys*) = *takeWhile* (*P* \circ *snd*) (*zip* *xs* *ys*)
proof (*induct* *xs* *arbitrary*: *ys*)
case (*Cons* *x* *xs*) **thus** ?*case* **by** (*cases* *ys*) *auto*
qed *simp*

lemma *set-zip-leftD*:

(*x*, *y*) \in *set* (*zip* *xs* *ys*) \implies *x* \in *set* *xs*
by (*induct* *xs* *ys* *rule*:*list-induct2'*) *auto*

lemma *set-zip-rightD*:

(*x*, *y*) \in *set* (*zip* *xs* *ys*) \implies *y* \in *set* *ys*
by (*induct* *xs* *ys* *rule*:*list-induct2'*) *auto*

lemma *in-set-zipE*:

(*x*, *y*) : *set* (*zip* *xs* *ys*) \implies (\llbracket *x* : *set* *xs*; *y* : *set* *ys* $\rrbracket \implies$ *R*) \implies *R*
by (*blast* *dest*: *set-zip-leftD* *set-zip-rightD*)

lemma *zip-map-fst-snd*:

zip (*map* *fst* *zs*) (*map* *snd* *zs*) = *zs*
by (*induct* *zs*) *simp-all*

lemma *zip-eq-conv*:

length *xs* = *length* *ys* \implies *zip* *xs* *ys* = *zs* \longleftrightarrow *map* *fst* *zs* = *xs* \wedge *map* *snd* *zs* = *ys*
by (*auto* *simp* *add*: *zip-map-fst-snd*)

lemma *distinct-zipI1*:


```

distinct xs  $\implies$  distinct (zip xs ys)
proof (induct xs arbitrary: ys)
  case (Cons x xs)
  show ?case
  proof (cases ys)
    case (Cons y ys')
    have (x, y)  $\notin$  set (zip xs ys')
    using Cons.prem by (auto simp: set-zip)
    thus ?thesis
    unfolding Cons zip-Cons-Cons distinct.simps
    using Cons.hyps Cons.prem by simp
  qed simp
qed simp

```

```

lemma distinct-zipI2:
  distinct xs  $\implies$  distinct (zip xs ys)
proof (induct xs arbitrary: ys)
  case (Cons x xs)
  show ?case
  proof (cases ys)
    case (Cons y ys')
    have (x, y)  $\notin$  set (zip xs ys')
    using Cons.prem by (auto simp: set-zip)
    thus ?thesis
    unfolding Cons zip-Cons-Cons distinct.simps
    using Cons.hyps Cons.prem by simp
  qed simp
qed simp

```

43.1.17 list-all2

```

lemma list-all2-lengthD [intro?]:
  list-all2 P xs ys  $\implies$  length xs = length ys
by (simp add: list-all2-def)

```

```

lemma list-all2-Nil [iff, code]: list-all2 P [] ys = (ys = [])
by (simp add: list-all2-def)

```

```

lemma list-all2-Nil2 [iff, code]: list-all2 P xs [] = (xs = [])
by (simp add: list-all2-def)

```

```

lemma list-all2-Cons [iff, code]:
  list-all2 P (x # xs) (y # ys) = (P x y  $\wedge$  list-all2 P xs ys)
by (auto simp add: list-all2-def)

```

```

lemma list-all2-Cons1:
  list-all2 P (x # xs) ys = ( $\exists$  z zs. ys = z # zs  $\wedge$  P x z  $\wedge$  list-all2 P xs zs)
by (cases ys) auto

```

lemma *list-all2-Cons2*:

list-all2 P xs (y # ys) = ($\exists z zs. xs = z \# zs \wedge P z y \wedge list-all2 P zs ys$)

by (*cases xs*) *auto*

lemma *list-all2-rev [iff]*:

list-all2 P (rev xs) (rev ys) = list-all2 P xs ys

by (*simp add: list-all2-def zip-rev cong: conj-cong*)

lemma *list-all2-rev1*:

list-all2 P (rev xs) ys = list-all2 P xs (rev ys)

by (*subst list-all2-rev [symmetric]*) *simp*

lemma *list-all2-append1*:

list-all2 P (xs @ ys) zs =

(EX us vs. zs = us @ vs \wedge length us = length xs \wedge length vs = length ys \wedge

list-all2 P xs us \wedge list-all2 P ys vs)

apply (*simp add: list-all2-def zip-append1*)

apply (*rule iffI*)

apply (*rule-tac x = take (length xs) zs in exI*)

apply (*rule-tac x = drop (length xs) zs in exI*)

apply (*force split: nat-diff-split simp add: min-def, clarify*)

apply (*simp add: ball-Un*)

done

lemma *list-all2-append2*:

list-all2 P xs (ys @ zs) =

(EX us vs. xs = us @ vs \wedge length us = length ys \wedge length vs = length zs \wedge

list-all2 P us ys \wedge list-all2 P vs zs)

apply (*simp add: list-all2-def zip-append2*)

apply (*rule iffI*)

apply (*rule-tac x = take (length ys) xs in exI*)

apply (*rule-tac x = drop (length ys) xs in exI*)

apply (*force split: nat-diff-split simp add: min-def, clarify*)

apply (*simp add: ball-Un*)

done

lemma *list-all2-append*:

length xs = length ys \implies

list-all2 P (xs@us) (ys@vs) = (list-all2 P xs ys \wedge list-all2 P us vs)

by (*induct rule:list-induct2, simp-all*)

lemma *list-all2-appendI [intro?, trans]*:

$\llbracket list-all2 P a b; list-all2 P c d \rrbracket \implies list-all2 P (a@c) (b@d)$

by (*simp add: list-all2-append list-all2-lengthD*)

lemma *list-all2-conv-all-nth*:

list-all2 P xs ys =

(length xs = length ys \wedge ($\forall i < length xs. P (xs!i) (ys!i)$))

by (*force simp add: list-all2-def set-zip*)

lemma *list-all2-trans*:

assumes *tr*: $!!a\ b\ c. P1\ a\ b \implies P2\ b\ c \implies P3\ a\ c$

shows $!!bs\ cs. list_all2\ P1\ as\ bs \implies list_all2\ P2\ bs\ cs \implies list_all2\ P3\ as\ cs$
 $(is\ !!bs\ cs. PROP\ ?Q\ as\ bs\ cs)$

proof (*induct as*)

fix *x xs bs* **assume** *I1*: $!!bs\ cs. PROP\ ?Q\ xs\ bs\ cs$

show $!!cs. PROP\ ?Q\ (x\ \#\ xs)\ bs\ cs$

proof (*induct bs*)

fix *y ys cs* **assume** *I2*: $!!cs. PROP\ ?Q\ (x\ \#\ xs)\ ys\ cs$

show $PROP\ ?Q\ (x\ \#\ xs)\ (y\ \#\ ys)\ cs$

by (*induct cs*) (*auto intro: tr I1 I2*)

qed *simp*

qed *simp*

lemma *list-all2-all-nthI* [*intro?*]:

$length\ a = length\ b \implies (\bigwedge n. n < length\ a \implies P\ (a!n)\ (b!n)) \implies list_all2\ P\ a\ b$

by (*simp add: list-all2-conv-all-nth*)

lemma *list-all2I*:

$\forall x \in set\ (zip\ a\ b). split\ P\ x \implies length\ a = length\ b \implies list_all2\ P\ a\ b$

by (*simp add: list-all2-def*)

lemma *list-all2-nthD*:

$\llbracket list_all2\ P\ xs\ ys; p < size\ xs \rrbracket \implies P\ (xs!p)\ (ys!p)$

by (*simp add: list-all2-conv-all-nth*)

lemma *list-all2-nthD2*:

$\llbracket list_all2\ P\ xs\ ys; p < size\ ys \rrbracket \implies P\ (xs!p)\ (ys!p)$

by (*frule list-all2-lengthD*) (*auto intro: list-all2-nthD*)

lemma *list-all2-map1*:

$list_all2\ P\ (map\ f\ as)\ bs = list_all2\ (\lambda x\ y. P\ (f\ x)\ y)\ as\ bs$

by (*simp add: list-all2-conv-all-nth*)

lemma *list-all2-map2*:

$list_all2\ P\ as\ (map\ f\ bs) = list_all2\ (\lambda x\ y. P\ x\ (f\ y))\ as\ bs$

by (*auto simp add: list-all2-conv-all-nth*)

lemma *list-all2-refl* [*intro?*]:

$(\bigwedge x. P\ x\ x) \implies list_all2\ P\ xs\ xs$

by (*simp add: list-all2-conv-all-nth*)

lemma *list-all2-update-cong*:

$\llbracket i < size\ xs; list_all2\ P\ xs\ ys; P\ x\ y \rrbracket \implies list_all2\ P\ (xs[i:=x])\ (ys[i:=y])$

by (*simp add: list-all2-conv-all-nth nth-list-update*)

lemma *list-all2-update-cong2*:

$\llbracket list_all2\ P\ xs\ ys; P\ x\ y; i < length\ ys \rrbracket \implies list_all2\ P\ (xs[i:=x])\ (ys[i:=y])$

by (*simp add: list-all2-lengthD list-all2-update-cong*)

lemma *list-all2-takeI* [*simp,intro?*]:

$list-all2\ P\ xs\ ys \implies list-all2\ P\ (take\ n\ xs)\ (take\ n\ ys)$

apply (*induct xs arbitrary: n ys*)

apply *simp*

apply (*clarsimp simp add: list-all2-Cons1*)

apply (*case-tac n*)

apply *auto*

done

lemma *list-all2-dropI* [*simp,intro?*]:

$list-all2\ P\ as\ bs \implies list-all2\ P\ (drop\ n\ as)\ (drop\ n\ bs)$

apply (*induct as arbitrary: n bs, simp*)

apply (*clarsimp simp add: list-all2-Cons1*)

apply (*case-tac n, simp, simp*)

done

lemma *list-all2-mono* [*intro?*]:

$list-all2\ P\ xs\ ys \implies (\bigwedge xs\ ys. P\ xs\ ys \implies Q\ xs\ ys) \implies list-all2\ Q\ xs\ ys$

apply (*induct xs arbitrary: ys, simp*)

apply (*case-tac ys, auto*)

done

lemma *list-all2-eq*:

$xs = ys \iff list-all2\ (op =)\ xs\ ys$

by (*induct xs ys rule: list-induct2'*) *auto*

43.1.18 foldl and foldr

lemma *foldl-append* [*simp*]:

$foldl\ f\ a\ (xs\ @\ ys) = foldl\ f\ (foldl\ f\ a\ xs)\ ys$

by (*induct xs arbitrary: a*) *auto*

lemma *foldr-append*[*simp*]: $foldr\ f\ (xs\ @\ ys)\ a = foldr\ f\ xs\ (foldr\ f\ ys\ a)$

by (*induct xs*) *auto*

lemma *foldr-map*: $foldr\ g\ (map\ f\ xs)\ a = foldr\ (g\ o\ f)\ xs\ a$

by(*induct xs*) *simp-all*

For efficient code generation: avoid intermediate list.

lemma *foldl-map*[*code-unfold*]:

$foldl\ g\ a\ (map\ f\ xs) = foldl\ (\%a\ x. g\ a\ (f\ x))\ a\ xs$

by(*induct xs arbitrary:a*) *simp-all*

lemma *foldl-apply*:

assumes $\bigwedge x. x \in set\ xs \implies f\ x\ o\ h = h\ o\ g\ x$

shows $foldl\ (\lambda s\ x. f\ x\ s)\ (h\ s)\ xs = h\ (foldl\ (\lambda s\ x. g\ x\ s)\ s\ xs)$

by (*rule sym, insert assms, induct xs arbitrary: s*) (*simp-all add: expand-fun-eq*)

lemma *foldl-cong* [*fundef-cong*, *recdef-cong*]:

$$[| a = b; l = k; !!a\ x.\ x : \text{set } l \implies f\ a\ x = g\ a\ x |]$$

$$\implies \text{foldl } f\ a\ l = \text{foldl } g\ b\ k$$
by (*induct* *k* *arbitrary*: *a* *b* *l*) *simp-all*

lemma *foldr-cong* [*fundef-cong*, *recdef-cong*]:

$$[| a = b; l = k; !!a\ x.\ x : \text{set } l \implies f\ x\ a = g\ x\ a |]$$

$$\implies \text{foldr } f\ l\ a = \text{foldr } g\ k\ b$$
by (*induct* *k* *arbitrary*: *a* *b* *l*) *simp-all*

lemma *foldl-fun-comm*:
assumes $\bigwedge x\ y\ s.\ f\ (f\ s\ x)\ y = f\ (f\ s\ y)\ x$
shows $f\ (\text{foldl } f\ s\ xs)\ x = \text{foldl } f\ (f\ s\ x)\ xs$
by (*induct* *xs* *arbitrary*: *s*)
(simp-all add: assms)

lemma (*in* *semigroup-add*) *foldl-assoc*:
shows $\text{foldl } op\ +\ (x+y)\ zs = x + (\text{foldl } op\ +\ y\ zs)$
by (*induct* *zs* *arbitrary*: *y*) (*simp-all add:add-assoc*)

lemma (*in* *monoid-add*) *foldl-absorb0*:
shows $x + (\text{foldl } op\ +\ 0\ zs) = \text{foldl } op\ +\ x\ zs$
by (*induct* *zs*) (*simp-all add:foldl-assoc*)

lemma *foldl-rev*:
assumes $\bigwedge x\ y\ s.\ f\ (f\ s\ x)\ y = f\ (f\ s\ y)\ x$
shows $\text{foldl } f\ s\ (\text{rev } xs) = \text{foldl } f\ s\ xs$
proof (*induct* *xs* *arbitrary*: *s*)
case *Nil* **then show** ?*case* **by** *simp*
next
case (*Cons* *x* *xs*) **with** *assms* **show** ?*case* **by** (*simp add: foldl-fun-comm*)
qed

The “First Duality Theorem” in Bird & Wadler:

lemma *foldl-foldr1-lemma*:

$$\text{foldl } op\ +\ a\ xs = a + \text{foldr } op\ +\ xs\ (0::'a::\text{monoid-add})$$
by (*induct* *xs* *arbitrary*: *a*) (*auto simp:add-assoc*)

corollary *foldl-foldr1*:

$$\text{foldl } op\ +\ 0\ xs = \text{foldr } op\ +\ xs\ (0::'a::\text{monoid-add})$$
by (*simp add:foldl-foldr1-lemma*)

The “Third Duality Theorem” in Bird & Wadler:

lemma *foldr-foldl*: $\text{foldr } f\ xs\ a = \text{foldl } (\%x\ y.\ f\ y\ x)\ a\ (\text{rev } xs)$
by (*induct* *xs*) *auto*

lemma *foldl-foldr*: $\text{foldl } f\ a\ xs = \text{foldr } (\%x\ y.\ f\ y\ x)\ (\text{rev } xs)\ a$
by (*simp add: foldr-foldl* [*of* $\%x\ y.\ f\ y\ x\ \text{rev } xs$])

lemma (in *ab-semigroup-add*) *foldr-conv-foldl*: $\text{foldr } op + xs \ a = \text{foldl } op + a \ xs$
by (induct *xs*, auto simp add: *foldl-assoc add-commute*)

Note: $n \leq \text{foldl } (op +) \ n \ ns$ looks simpler, but is more difficult to use because it requires an additional transitivity step.

lemma *start-le-sum*: $(m::nat) \leq n \implies m \leq \text{foldl } (op +) \ n \ ns$
by (induct *ns* arbitrary: *n*) auto

lemma *elem-le-sum*: $(n::nat) : \text{set } ns \implies n \leq \text{foldl } (op +) \ 0 \ ns$
by (force intro: *start-le-sum* simp add: *in-set-conv-decomp*)

lemma *sum-eq-0-conv* [iff]:
 $(\text{foldl } (op +) \ (m::nat) \ ns = 0) = (m = 0 \wedge (\forall n \in \text{set } ns. \ n = 0))$
by (induct *ns* arbitrary: *m*) auto

lemma *foldr-invariant*:
 $\llbracket Q \ x ; \forall x \in \text{set } xs. \ P \ x ; \forall x \ y. \ P \ x \wedge Q \ y \longrightarrow Q \ (f \ x \ y) \rrbracket \implies Q \ (\text{foldr } f \ xs \ x)$
by (induct *xs*, simp-all)

lemma *foldl-invariant*:
 $\llbracket Q \ x ; \forall x \in \text{set } xs. \ P \ x ; \forall x \ y. \ P \ x \wedge Q \ y \longrightarrow Q \ (f \ y \ x) \rrbracket \implies Q \ (\text{foldl } f \ x \ xs)$
by (induct *xs* arbitrary: *x*, simp-all)

lemma *foldl-weak-invariant*:
assumes $P \ s$
and $\bigwedge s \ x. \ x \in \text{set } xs \implies P \ s \implies P \ (f \ s \ x)$
shows $P \ (\text{foldl } f \ s \ xs)$
using *assms* **by** (induct *xs* arbitrary: *s*) simp-all

foldl and *concat*

lemma *foldl-conv-concat*:
 $\text{foldl } (op \ @) \ xs \ xss = xs \ @ \ \text{concat } xss$
proof (induct *xss* arbitrary: *xs*)
case *Nil* **show** ?case **by** simp
next
interpret *monoid-add* $op \ @$ **proof** qed simp-all
case *Cons* **then show** ?case **by** (simp add: *foldl-absorb0*)
qed

lemma *concat-conv-foldl*: $\text{concat } xss = \text{foldl } (op \ @) \ [] \ xss$
by (simp add: *foldl-conv-concat*)

fold and *foldl*

lemma (in *fun-left-comm*) *fold-set-remdups*:
 $\text{fold } f \ y \ (\text{set } xs) = \text{foldl } (\lambda y \ x. \ f \ x \ y) \ y \ (\text{remdups } xs)$
by (rule *sym*, induct *xs* arbitrary: *y*) (simp-all add: *fold-fun-comm insert-absorb*)

lemma (in *fun-left-comm-idem*) *fold-set*:

$fold\ f\ y\ (set\ xs) = foldl\ (\lambda y\ x.\ f\ x\ y)\ y\ xs$
by (*rule sym, induct xs arbitrary: y*) (*simp-all add: fold-fun-comm*)

lemma (**in** *ab-semigroup-idem-mult*) *fold1-set*:
assumes $xs \neq []$
shows $fold1\ times\ (set\ xs) = foldl\ times\ (hd\ xs)\ (tl\ xs)$
proof –
interpret *fun-left-comm-idem times* **by** (*fact fun-left-comm-idem*)
from *assms* **obtain** $y\ ys$ **where** $xs: xs = y \# ys$
by (*cases xs*) *auto*
show *?thesis*
proof (*cases set ys = {}*)
case *True* **with** xs **show** *?thesis* **by** *simp*
next
case *False*
then have $fold1\ times\ (insert\ y\ (set\ ys)) = fold\ times\ y\ (set\ ys)$
by (*simp only: finite-set fold1-eq-fold-idem*)
with xs **show** *?thesis* **by** (*simp add: fold-set mult-commute*)
qed
qed

lemma (**in** *lattice*) *Inf-fin-set-fold* [*code-unfold*]:
 $Inf-fin\ (set\ (x \# xs)) = foldl\ inf\ x\ xs$
proof –
interpret *ab-semigroup-idem-mult inf* $:: 'a \Rightarrow 'a \Rightarrow 'a$
by (*fact ab-semigroup-idem-mult-inf*)
show *?thesis*
by (*simp add: Inf-fin-def fold1-set del: set.simps*)
qed

lemma (**in** *lattice*) *Sup-fin-set-fold* [*code-unfold*]:
 $Sup-fin\ (set\ (x \# xs)) = foldl\ sup\ x\ xs$
proof –
interpret *ab-semigroup-idem-mult sup* $:: 'a \Rightarrow 'a \Rightarrow 'a$
by (*fact ab-semigroup-idem-mult-sup*)
show *?thesis*
by (*simp add: Sup-fin-def fold1-set del: set.simps*)
qed

lemma (**in** *linorder*) *Min-fin-set-fold* [*code-unfold*]:
 $Min\ (set\ (x \# xs)) = foldl\ min\ x\ xs$
proof –
interpret *ab-semigroup-idem-mult min* $:: 'a \Rightarrow 'a \Rightarrow 'a$
by (*fact ab-semigroup-idem-mult-min*)
show *?thesis*
by (*simp add: Min-def fold1-set del: set.simps*)
qed

lemma (**in** *linorder*) *Max-fin-set-fold* [*code-unfold*]:

```

Max (set (x # xs)) = foldl max x xs
proof -
  interpret ab-semigroup-idem-mult max :: 'a ⇒ 'a ⇒ 'a
  by (fact ab-semigroup-idem-mult-max)
  show ?thesis
  by (simp add: Max-def fold1-set del: set.simps)
qed

```

```

lemma (in complete-lattice) Inf-set-fold [code-unfold]:
  Inf (set xs) = foldl inf top xs
proof -
  interpret fun-left-comm-idem inf :: 'a ⇒ 'a ⇒ 'a
  by (fact fun-left-comm-idem-inf)
  show ?thesis by (simp add: Inf-fold-inf fold-set inf-commute)
qed

```

```

lemma (in complete-lattice) Sup-set-fold [code-unfold]:
  Sup (set xs) = foldl sup bot xs
proof -
  interpret fun-left-comm-idem sup :: 'a ⇒ 'a ⇒ 'a
  by (fact fun-left-comm-idem-sup)
  show ?thesis by (simp add: Sup-fold-sup fold-set sup-commute)
qed

```

```

lemma (in complete-lattice) INFI-set-fold:
  INFI (set xs) f = foldl (λy x. inf (f x) y) top xs
  unfolding INFI-def set-map [symmetric] Inf-set-fold foldl-map
  by (simp add: inf-commute)

```

```

lemma (in complete-lattice) SUPR-set-fold:
  SUPR (set xs) f = foldl (λy x. sup (f x) y) bot xs
  unfolding SUPR-def set-map [symmetric] Sup-set-fold foldl-map
  by (simp add: sup-commute)

```

43.1.19 List summation: *listsum* and \sum

```

lemma listsum-append [simp]: listsum (xs @ ys) = listsum xs + listsum ys
by (induct xs) (simp-all add: add-assoc)

```

```

lemma listsum-rev [simp]:
  fixes xs :: 'a::comm-monoid-add list
  shows listsum (rev xs) = listsum xs
by (induct xs) (simp-all add: add-ac)

```

```

lemma listsum-map-remove1:
  fixes f :: 'a ⇒ ('b::comm-monoid-add)
  shows x : set xs ⇒ listsum (map f xs) = f x + listsum (map f (remove1 x xs))
by (induct xs) (auto simp add: add-ac)

```


lemma *list-size-conv-listsum*:

list-size f $xs = \text{listsum } (\text{map } f \text{ } xs) + \text{size } xs$

by (*induct* xs) *auto*

lemma *listsum-foldr*: $\text{listsum } xs = \text{foldr } (op \ +) \ xs \ 0$

by (*induct* xs) *auto*

lemma *length-concat*: $\text{length } (\text{concat } xss) = \text{listsum } (\text{map } \text{length } xss)$

by (*induct* xss) *simp-all*

lemma *listsum-map-filter*:

fixes $f :: 'a \Rightarrow 'b :: \text{comm-monoid-add}$

assumes $\bigwedge x. \llbracket x \in \text{set } xs ; \neg P \ x \rrbracket \Longrightarrow f \ x = 0$

shows $\text{listsum } (\text{map } f \ (\text{filter } P \ xs)) = \text{listsum } (\text{map } f \ xs)$

using *assms* **by** (*induct* xs) *auto*

For efficient code generation — *listsum* is not tail recursive but *foldl* is.

lemma *listsum[code-unfold]*: $\text{listsum } xs = \text{foldl } (op \ +) \ 0 \ xs$

by (*simp* *add:listsum-foldr foldl-foldr1*)

lemma *distinct-listsum-conv-Setsum*:

distinct $xs \Longrightarrow \text{listsum } xs = \text{Setsum}(\text{set } xs)$

by (*induct* xs) *simp-all*

lemma *listsum-eq-0-nat-iff-nat*[*simp*]:

$\text{listsum } ns = (0::\text{nat}) \longleftrightarrow (\forall \ n \in \text{set } ns. \ n = 0)$

by (*simp* *add:listsum*)

lemma *elem-le-listsum-nat*: $k < \text{size } ns \Longrightarrow ns!k \leq \text{listsum}(ns::\text{nat list})$

apply (*induct* ns *arbitrary:k*)

apply *simp*

apply (*fastsimp* *simp* *add:nth-Cons split: nat.split*)

done

lemma *listsum-update-nat*: $k < \text{size } ns \Longrightarrow$

$\text{listsum } (ns[k := (n::\text{nat})]) = \text{listsum } ns + n - ns!k$

apply (*induct* ns *arbitrary:k*)

apply (*auto* *split:nat.split*)

apply (*drule* *elem-le-listsum-nat*)

apply *arith*

done

Some syntactic sugar for summing a function over a list:

syntax

-listsum $:: p\text{trn} \Rightarrow 'a \text{ list} \Rightarrow 'b \Rightarrow 'b \quad ((\mathcal{S}UM \ -<--. \ -) \ [0, \ 51, \ 10] \ 10)$

syntax (*xsymbols*)

-listsum $:: p\text{trn} \Rightarrow 'a \text{ list} \Rightarrow 'b \Rightarrow 'b \quad ((\mathcal{S}\sum \ -<--. \ -) \ [0, \ 51, \ 10] \ 10)$

syntax (*HTML output*)

-listsum $:: p\text{trn} \Rightarrow 'a \text{ list} \Rightarrow 'b \Rightarrow 'b \quad ((\mathcal{S}\sum \ -<--. \ -) \ [0, \ 51, \ 10] \ 10)$

translations — Beware of argument permutation!

$SUM\ x \leftarrow xs.\ b == CONST\ listsum\ (CONST\ map\ (\%x.\ b)\ xs)$
 $\sum x \leftarrow xs.\ b == CONST\ listsum\ (CONST\ map\ (\%x.\ b)\ xs)$

lemma *listsum-triv*: $(\sum x \leftarrow xs.\ r) = of_nat\ (length\ xs) * r$
by (*induct xs*) (*simp-all add: left-distrib*)

lemma *listsum-0* [*simp*]: $(\sum x \leftarrow xs.\ 0) = 0$
by (*induct xs*) (*simp-all add: left-distrib*)

For non-Abelian groups *xs* needs to be reversed on one side:

lemma *uminus-listsum-map*:
fixes $f :: 'a \Rightarrow 'b::ab_group_add$
shows — $listsum\ (map\ f\ xs) = (listsum\ (map\ (uminus\ o\ f)\ xs))$
by (*induct xs*) *simp-all*

lemma *listsum-addf*:
fixes $f\ g :: 'a \Rightarrow 'b::comm_monoid_add$
shows $(\sum x \leftarrow xs.\ f\ x + g\ x) = listsum\ (map\ f\ xs) + listsum\ (map\ g\ xs)$
by (*induct xs*) (*simp-all add: algebra-simps*)

lemma *listsum-subtractf*:
fixes $f\ g :: 'a \Rightarrow 'b::ab_group_add$
shows $(\sum x \leftarrow xs.\ f\ x - g\ x) = listsum\ (map\ f\ xs) - listsum\ (map\ g\ xs)$
by (*induct xs*) *simp-all*

lemma *listsum-const-mult*:
fixes $f :: 'a \Rightarrow 'b::semiring_0$
shows $(\sum x \leftarrow xs.\ c * f\ x) = c * (\sum x \leftarrow xs.\ f\ x)$
by (*induct xs, simp-all add: algebra-simps*)

lemma *listsum-mult-const*:
fixes $f :: 'a \Rightarrow 'b::semiring_0$
shows $(\sum x \leftarrow xs.\ f\ x * c) = (\sum x \leftarrow xs.\ f\ x) * c$
by (*induct xs, simp-all add: algebra-simps*)

lemma *listsum-abs*:
fixes $xs :: 'a::ordered_ab_group_add_abs\ list$
shows $|listsum\ xs| \leq listsum\ (map\ abs\ xs)$
by (*induct xs, simp, simp add: order-trans [OF abs-triangle-ineq]*)

lemma *listsum-mono*:
fixes $f\ g :: 'a \Rightarrow 'b::\{comm_monoid_add, ordered_ab_semigroup_add\}$
shows $(\bigwedge x.\ x \in set\ xs \implies f\ x \leq g\ x) \implies (\sum x \leftarrow xs.\ f\ x) \leq (\sum x \leftarrow xs.\ g\ x)$
by (*induct xs, simp, simp add: add-mono*)

43.1.20 *upt*

lemma *upt-rec*[code]: $[i..<j] = (\text{if } i < j \text{ then } i \# [Suc\ i..<j] \text{ else } [])$

— simp does not terminate!

by (*induct j*) *auto*

lemmas *upt-rec-number-of*[simp] = *upt-rec*[of *number-of m number-of n, standard*]

lemma *upt-conv-Nil* [simp]: $j \leq i \implies [i..<j] = []$

by (*subst upt-rec*) *simp*

lemma *upt-eq-Nil-conv*[simp]: $([i..<j] = []) = (j = 0 \vee j \leq i)$

by(*induct j*)*simp-all*

lemma *upt-eq-Cons-conv*:

$([i..<j] = x \# xs) = (i < j \ \& \ i = x \ \& \ [i+1..<j] = xs)$

apply(*induct j arbitrary: x xs*)

apply *simp*

apply(*clarsimp simp add: append-eq-Cons-conv*)

apply *arith*

done

lemma *upt-Suc-append*: $i \leq j \implies [i..<(Suc\ j)] = [i..<j]@[j]$

— Only needed if *upt-Suc* is deleted from the simpset.

by *simp*

lemma *upt-conv-Cons*: $i < j \implies [i..<j] = i \# [Suc\ i..<j]$

by (*simp add: upt-rec*)

lemma *upt-add-eq-append*: $i \leq j \implies [i..<j+k] = [i..<j]@[j..<j+k]$

— LOOPS as a simprule, since $j \leq j$.

by (*induct k*) *auto*

lemma *length-upt* [simp]: $\text{length } [i..<j] = j - i$

by (*induct j*) (*auto simp add: Suc-diff-le*)

lemma *nth-upt* [simp]: $i + k < j \implies [i..<j] ! k = i + k$

apply (*induct j*)

apply (*auto simp add: less-Suc-eq nth-append split: nat-diff-split*)

done

lemma *hd-upt*[simp]: $i < j \implies \text{hd}[i..<j] = i$

by(*simp add:upt-conv-Cons*)

lemma *last-upt*[simp]: $i < j \implies \text{last}[i..<j] = j - 1$

apply(*cases j*)

apply *simp*

by(*simp add:upt-Suc-append*)

```

lemma take-upt [simp]:  $i+m \leq n \implies \text{take } m [i..<n] = [i..<i+m]$ 
apply (induct m arbitrary: i, simp)
apply (subst upt-rec)
apply (rule sym)
apply (subst upt-rec)
apply (simp del: upt.simps)
done

```

```

lemma drop-upt[simp]:  $\text{drop } m [i..<j] = [i+m..<j]$ 
apply (induct j)
apply auto
done

```

```

lemma map-Suc-upt:  $\text{map } \text{Suc } [m..<n] = [\text{Suc } m..<\text{Suc } n]$ 
by (induct n) auto

```

```

lemma nth-map-upt:  $i < n-m \implies (\text{map } f [m..<n]) ! i = f(m+i)$ 
apply (induct n m arbitrary: i rule: diff-induct)
prefer 3 apply (subst map-Suc-upt[symmetric])
apply (auto simp add: less-diff-conv nth-upt)
done

```

```

lemma nth-take-lemma:
   $k \leq \text{length } xs \implies k \leq \text{length } ys \implies$ 
   $(!!i. i < k \longrightarrow xs!i = ys!i) \implies \text{take } k xs = \text{take } k ys$ 
apply (atomize, induct k arbitrary: xs ys)
apply (simp-all add: less-Suc-eq-0-disj all-conj-distrib, clarify)

```

Both lists must be non-empty

```

apply (case-tac xs, simp)
apply (case-tac ys, clarify)
apply (simp (no-asm-use))
apply clarify

```

prenexing's needed, not miniscoping

```

apply (simp (no-asm-use) add: all-simps [symmetric] del: all-simps)
apply blast
done

```

```

lemma nth-equalityI:
   $[| \text{length } xs = \text{length } ys; \text{ALL } i < \text{length } xs. xs!i = ys!i |] \implies xs = ys$ 
apply (frule nth-take-lemma [OF le-refl eq-imp-le])
apply (simp-all add: take-all)
done

```

```

lemma map-nth:
   $\text{map } (\lambda i. xs ! i) [0..<\text{length } xs] = xs$ 
by (rule nth-equalityI, auto)

```

lemma *list-all2-antisym*:

```

  [ (∧ x y. [P x y; Q y x] ==> x = y); list-all2 P xs ys; list-all2 Q ys xs ]
  ==> xs = ys
  apply (simp add: list-all2-conv-all-nth)
  apply (rule nth-equalityI, blast, simp)
  done

```

lemma *take-equalityI*: $(\forall i. \text{take } i \text{ xs} = \text{take } i \text{ ys}) ==> \text{xs} = \text{ys}$

— The famous take-lemma.

```

  apply (drule-tac x = max (length xs) (length ys) in spec)
  apply (simp add: le-max-iff-disj take-all)
  done

```

lemma *take-Cons'*:

```

  take n (x # xs) = (if n = 0 then [] else x # take (n - 1) xs)
  by (cases n) simp-all

```

lemma *drop-Cons'*:

```

  drop n (x # xs) = (if n = 0 then x # xs else drop (n - 1) xs)
  by (cases n) simp-all

```

lemma *nth-Cons'*: $(x \# xs)!n = (\text{if } n = 0 \text{ then } x \text{ else } xs!(n - 1))$

```

  by (cases n) simp-all

```

lemmas *take-Cons-number-of* = *take-Cons'*[of number-of v, standard]

lemmas *drop-Cons-number-of* = *drop-Cons'*[of number-of v, standard]

lemmas *nth-Cons-number-of* = *nth-Cons'*[of - - number-of v, standard]

declare *take-Cons-number-of* [simp]

drop-Cons-number-of [simp]

nth-Cons-number-of [simp]

43.1.21 upto: interval-list on int

function *upto* :: $\text{int} \Rightarrow \text{int} \Rightarrow \text{int list}$ ($(1[-..-])$) **where**

upto $i \ j = (\text{if } i \leq j \text{ then } i \# [i+1..j] \text{ else } [])$

by *auto*

termination

by(*relation measure*($\%(i::\text{int}, j). \text{nat}(j - i + 1)$)) *auto*

declare *upto.simps*[code, simp del]

lemmas *upto-rec-number-of*[simp] =

upto.simps[of number-of m number-of n, standard]

lemma *upto-empty*[simp]: $j < i \implies [i..j] = []$

by(*simp add: upto.simps*)

```

lemma set-upto[simp]:  $set[i..j] = \{i..j\}$ 
apply(induct i j rule:upto.induct)
apply(simp add: upto.simps simp-from-to)
done

```

43.1.22 *distinct and remdups*

```

lemma distinct-append [simp]:
 $distinct\ (xs\ @\ ys) = (distinct\ xs \wedge distinct\ ys \wedge set\ xs \cap set\ ys = \{\})$ 
by (induct xs) auto

```

```

lemma distinct-rev[simp]:  $distinct(rev\ xs) = distinct\ xs$ 
by(induct xs) auto

```

```

lemma set-remdups [simp]:  $set\ (remdups\ xs) = set\ xs$ 
by (induct xs) (auto simp add: insert-absorb)

```

```

lemma distinct-remdups [iff]:  $distinct\ (remdups\ xs)$ 
by (induct xs) auto

```

```

lemma distinct-remdups-id:  $distinct\ xs ==> remdups\ xs = xs$ 
by (induct xs, auto)

```

```

lemma remdups-id-iff-distinct [simp]:  $remdups\ xs = xs \longleftrightarrow distinct\ xs$ 
by (metis distinct-remdups distinct-remdups-id)

```

```

lemma finite-distinct-list:  $finite\ A \implies \exists x\ xs.\ set\ xs = A \ \&\ distinct\ xs$ 
by (metis distinct-remdups finite-list set-remdups)

```

```

lemma remdups-eq-nil-iff [simp]:  $(remdups\ x = []) = (x = [])$ 
by (induct x, auto)

```

```

lemma remdups-eq-nil-right-iff [simp]:  $([] = remdups\ x) = (x = [])$ 
by (induct x, auto)

```

```

lemma length-remdups-leq[iff]:  $length(remdups\ xs) \leq length\ xs$ 
by (induct xs) auto

```

```

lemma length-remdups-eq[iff]:
 $(length\ (remdups\ xs) = length\ xs) = (remdups\ xs = xs)$ 
apply(induct xs)
apply auto
apply(subgoal-tac length (remdups xs) <= length xs)
apply arith
apply(rule length-remdups-leq)
done

```

```

lemma remdups-filter:  $remdups(filter\ P\ xs) = filter\ P\ (remdups\ xs)$ 

```

```

apply(induct xs)
apply auto
done

```

```

lemma distinct-map:
  distinct(map f xs) = (distinct xs & inj-on f (set xs))
by (induct xs) auto

```

```

lemma distinct-filter [simp]: distinct xs ==> distinct (filter P xs)
by (induct xs) auto

```

```

lemma distinct-upt[simp]: distinct[i..<j]
by (induct j) auto

```

```

lemma distinct-upto[simp]: distinct[i..j]
apply(induct i j rule:upto.induct)
apply(subst upto.simps)
apply(simp)
done

```

```

lemma distinct-take[simp]: distinct xs ==> distinct (take i xs)
apply(induct xs arbitrary: i)
  apply simp
apply (case-tac i)
  apply simp-all
apply(blast dest:in-set-takeD)
done

```

```

lemma distinct-drop[simp]: distinct xs ==> distinct (drop i xs)
apply(induct xs arbitrary: i)
  apply simp
apply (case-tac i)
  apply simp-all
done

```

```

lemma distinct-list-update:
assumes d: distinct xs and a: a ∉ set xs - {xs!i}
shows distinct (xs[i:=a])
proof (cases i < length xs)
  case True
    with a have a ∉ set (take i xs @ xs ! i # drop (Suc i) xs) - {xs!i}
    apply (drule-tac id-take-nth-drop) by simp
    with d True show ?thesis
    apply (simp add: upd-conv-take-nth-drop)
    apply (drule subst [OF id-take-nth-drop]) apply assumption
    apply simp apply (cases a = xs!i) apply simp by blast
  next
    case False with d show ?thesis by auto

```

qed

lemma *distinct-concat*:

assumes *distinct xs*

and $\bigwedge ys. ys \in \text{set } xs \implies \text{distinct } ys$

and $\bigwedge ys\ zs. \llbracket ys \in \text{set } xs ; zs \in \text{set } xs ; ys \neq zs \rrbracket \implies \text{set } ys \cap \text{set } zs = \{\}$

shows *distinct (concat xs)*

using *assms* **by** (*induct xs*) *auto*

It is best to avoid this indexed version of *distinct*, but sometimes it is useful.

lemma *distinct-conv-nth*:

distinct xs = ($\forall i < \text{size } xs. \forall j < \text{size } xs. i \neq j \longrightarrow xs!i \neq xs!j$)

apply (*induct xs, simp, simp*)

apply (*rule iffI, clarsimp*)

apply (*case-tac i*)

apply (*case-tac j, simp*)

apply (*simp add: set-conv-nth*)

apply (*case-tac j*)

apply (*clarsimp simp add: set-conv-nth, simp*)

apply (*rule conjI*)

apply (*clarsimp simp add: set-conv-nth*)

apply (*erule-tac x = 0 in allE, simp*)

apply (*erule-tac x = Suc i in allE, simp, clarsimp*)

apply (*erule-tac x = Suc i in allE, simp*)

apply (*erule-tac x = Suc j in allE, simp*)

done

lemma *nth-eq-iff-index-eq*:

$\llbracket \text{distinct } xs ; i < \text{length } xs ; j < \text{length } xs \rrbracket \implies (xs!i = xs!j) = (i = j)$

by(*auto simp: distinct-conv-nth*)

lemma *distinct-card*: *distinct xs ==> card (set xs) = size xs*

by (*induct xs*) *auto*

lemma *card-distinct*: *card (set xs) = size xs ==> distinct xs*

proof (*induct xs*)

case *Nil* **thus** ?*case* **by** *simp*

next

case (*Cons x xs*)

show ?*case*

proof (*cases x ∈ set xs*)

case *False* **with** *Cons* **show** ?*thesis* **by** *simp*

next

case *True* **with** *Cons.prem*s

have *card (set xs) = Suc (length xs)*

by (*simp add: card-insert-if split: split-if-asm*)

moreover **have** *card (set xs) ≤ length xs* **by** (*rule card-length*)

ultimately have *False* by *simp*
 thus ?thesis ..
 qed
 qed

lemma *not-distinct-decomp*: $\sim \text{distinct } ws \implies \exists x\ y\ z\ y. ws = xs @ [y] @ ys @ [y] @ zs$
apply (induct $n == \text{length } ws$ arbitrary:ws) **apply** *simp*
apply (case-tac ws) **apply** *simp*
apply (simp split:split-if-asm)
apply (metis Cons-eq-appendI eq-Nil-appendI split-list)
done

lemma *length-remdups-concat*:
 $\text{length}(\text{remdups}(\text{concat } xss)) = \text{card}(\bigcup xs \in \text{set } xss. \text{set } xs)$
by (simp add: set-concat distinct-card[symmetric])

lemma *length-remdups-card-conv*: $\text{length}(\text{remdups } xs) = \text{card}(\text{set } xs)$
proof –
 have $xs: \text{concat}[xs] = xs$ **by** *simp*
 from *length-remdups-concat* [of [xs]] **show** ?thesis **unfolding** xs **by** *simp*
qed

lemma *remdups-remdups*:
 $\text{remdups}(\text{remdups } xs) = \text{remdups } xs$
by (induct xs) *simp-all*

lemma *distinct-butlast*:
 assumes $xs \neq []$ and *distinct* xs
 shows *distinct* (butlast xs)
proof –
 from $\langle xs \neq [] \rangle$ **obtain** ys y **where** $xs = ys @ [y]$ **by** (cases xs rule: rev-cases)
 auto
 with $\langle \text{distinct } xs \rangle$ **show** ?thesis **by** *simp*
qed

43.1.23 insert

lemma *in-set-insert* [simp]:
 $x \in \text{set } xs \implies \text{List.insert } x\ xs = xs$
by (simp add: List.insert-def)

lemma *not-in-set-insert* [simp]:
 $x \notin \text{set } xs \implies \text{List.insert } x\ xs = x \# xs$
by (simp add: List.insert-def)

lemma *insert-Nil* [simp]:
 $\text{List.insert } x\ [] = [x]$
by *simp*

lemma *set-insert* [simp]:

set (List.insert x xs) = insert x (set xs)

by (auto simp add: List.insert-def)

lemma *distinct-insert* [simp]:

distinct xs \implies distinct (List.insert x xs)

by (simp add: List.insert-def)

lemma *insert-remdups*:

List.insert x (remdups xs) = remdups (List.insert x xs)

by (simp add: List.insert-def)

lemma *distinct-induct* [consumes 1, case-names Nil insert]:

assumes *distinct xs*

assumes *P []*

assumes *insert: $\bigwedge x xs. \text{distinct } xs \implies x \notin \text{set } xs$*

$\implies P xs \implies P (\text{List.insert } x xs)$

shows *P xs*

using *distinct xs* **proof** (induct xs)

case Nil **from** *P []* **show** ?case .

next

case (Cons x xs)

then have *distinct xs* **and** *x \notin set xs* **and** *P xs* **by** *simp-all*

with insert **have** *P (List.insert x xs)* .

with *x \notin set xs* **show** ?case **by** *simp*

qed

43.1.24 remove1

lemma *remove1-append*:

remove1 x (xs @ ys) =

(if x \in set xs then remove1 x xs @ ys else xs @ remove1 x ys)

by (induct xs) auto

lemma *remove1-commute*: *remove1 x (remove1 y zs) = remove1 y (remove1 x zs)*

by (induct zs) auto

lemma *in-set-remove1*[simp]:

a \neq b \implies a : set(remove1 b xs) = (a : set xs)

apply (induct xs)

apply auto

done

lemma *set-remove1-subset*: *set(remove1 x xs) \leq set xs*

apply(induct xs)

apply *simp*

apply *simp*

apply *blast*

done

```

lemma set-remove1-eq [simp]: distinct xs ==> set(remove1 x xs) = set xs - {x}
apply (induct xs)
  apply simp
apply simp
apply blast
done

```

```

lemma length-remove1:
  length(remove1 x xs) = (if x : set xs then length xs - 1 else length xs)
apply (induct xs)
  apply (auto dest!:length-pos-if-in-set)
done

```

```

lemma remove1-filter-not [simp]:
   $\neg P\ x \implies \text{remove1 } x\ (\text{filter } P\ xs) = \text{filter } P\ xs$ 
by (induct xs) auto

```

```

lemma notin-set-remove1 [simp]:  $x \notin \text{set } xs \implies x \notin \text{set}(\text{remove1 } y\ xs)$ 
apply (insert set-remove1-subset)
apply fast
done

```

```

lemma distinct-remove1 [simp]: distinct xs ==> distinct(remove1 x xs)
by (induct xs) simp-all

```

```

lemma remove1-remdups:
  distinct xs ==> remove1 x (remdups xs) = remdups (remove1 x xs)
by (induct xs) simp-all

```

```

lemma remove1-idem:
  assumes  $x \notin \text{set } xs$ 
  shows remove1 x xs = xs
  using assms by (induct xs) simp-all

```

43.1.25 *removeAll*

```

lemma removeAll-filter-not-eq:
  removeAll x = filter ( $\lambda y. x \neq y$ )
proof
  fix xs
  show removeAll x xs = filter ( $\lambda y. x \neq y$ ) xs
  by (induct xs) auto
qed

```

```

lemma removeAll-append [simp]:
  removeAll x (xs @ ys) = removeAll x xs @ removeAll x ys
by (induct xs) auto

```

lemma *set-removeAll[simp]*: $\text{set}(\text{removeAll } x \text{ } xs) = \text{set } xs - \{x\}$
by (*induct xs*) *auto*

lemma *removeAll-id[simp]*: $x \notin \text{set } xs \implies \text{removeAll } x \text{ } xs = xs$
by (*induct xs*) *auto*

lemma *removeAll-filter-not[simp]*:
 $\neg P \ x \implies \text{removeAll } x \ (\text{filter } P \ xs) = \text{filter } P \ xs$
by(*induct xs*) *auto*

lemma *distinct-removeAll*:
 $\text{distinct } xs \implies \text{distinct } (\text{removeAll } x \text{ } xs)$
by (*simp add: removeAll-filter-not-eq*)

lemma *distinct-remove1-removeAll*:
 $\text{distinct } xs \implies \text{remove1 } x \text{ } xs = \text{removeAll } x \text{ } xs$
by (*induct xs*) *simp-all*

lemma *map-removeAll-inj-on*: $\text{inj-on } f \ (\text{insert } x \ (\text{set } xs)) \implies$
 $\text{map } f \ (\text{removeAll } x \text{ } xs) = \text{removeAll } (f \ x) \ (\text{map } f \ xs)$
by (*induct xs*) (*simp-all add: inj-on-def*)

lemma *map-removeAll-inj*: $\text{inj } f \implies$
 $\text{map } f \ (\text{removeAll } x \text{ } xs) = \text{removeAll } (f \ x) \ (\text{map } f \ xs)$
by(*metis map-removeAll-inj-on subset-inj-on subset-UNIV*)

43.1.26 replicate

lemma *length-replicate [simp]*: $\text{length } (\text{replicate } n \ x) = n$
by (*induct n*) *auto*

lemma *Ex-list-of-length*: $\exists xs. \text{length } xs = n$
by (*rule exI[of - replicate n undefined]*) *simp*

lemma *map-replicate [simp]*: $\text{map } f \ (\text{replicate } n \ x) = \text{replicate } n \ (f \ x)$
by (*induct n*) *auto*

lemma *map-replicate-const*:
 $\text{map } (\lambda x. k) \text{ } lst = \text{replicate } (\text{length } lst) \ k$
by (*induct lst*) *auto*

lemma *replicate-app-Cons-same*:
 $(\text{replicate } n \ x) @ (x \# xs) = x \# \text{replicate } n \ x @ xs$
by (*induct n*) *auto*

lemma *rev-replicate [simp]*: $\text{rev } (\text{replicate } n \ x) = \text{replicate } n \ x$
apply (*induct n, simp*)

```

apply (simp add: replicate-app-Cons-same)
done

```

```

lemma replicate-add: replicate (n + m) x = replicate n x @ replicate m x
by (induct n) auto

```

Courtesy of Matthias Daum:

```

lemma append-replicate-commute:
  replicate n x @ replicate k x = replicate k x @ replicate n x
apply (simp add: replicate-add [THEN sym])
apply (simp add: add-commute)
done

```

Courtesy of Andreas Lochbihler:

```

lemma filter-replicate:
  filter P (replicate n x) = (if P x then replicate n x else [])
by(induct n) auto

```

```

lemma hd-replicate [simp]: n ≠ 0 ==> hd (replicate n x) = x
by (induct n) auto

```

```

lemma tl-replicate [simp]: n ≠ 0 ==> tl (replicate n x) = replicate (n - 1) x
by (induct n) auto

```

```

lemma last-replicate [simp]: n ≠ 0 ==> last (replicate n x) = x
by (atomize (full), induct n) auto

```

```

lemma nth-replicate[simp]: i < n ==> (replicate n x)!i = x
apply (induct n arbitrary: i, simp)
apply (simp add: nth-Cons split: nat.split)
done

```

Courtesy of Matthias Daum (2 lemmas):

```

lemma take-replicate[simp]: take i (replicate k x) = replicate (min i k) x
apply (case-tac k ≤ i)
  apply (simp add: min-def)
apply (drule not-leE)
apply (simp add: min-def)
apply (subgoal-tac replicate k x = replicate i x @ replicate (k - i) x)
  apply simp
apply (simp add: replicate-add [symmetric])
done

```

```

lemma drop-replicate[simp]: drop i (replicate k x) = replicate (k-i) x
apply (induct k arbitrary: i)
  apply simp
apply clarsimp
apply (case-tac i)
  apply simp

```

apply *clarsimp*
done

lemma *set-replicate-Suc*: $\text{set } (\text{replicate } (\text{Suc } n) \ x) = \{x\}$
by (*induct n*) *auto*

lemma *set-replicate [simp]*: $n \neq 0 \implies \text{set } (\text{replicate } n \ x) = \{x\}$
by (*fast dest!*; *not0-implies-Suc intro!*; *set-replicate-Suc*)

lemma *set-replicate-conv-if*: $\text{set } (\text{replicate } n \ x) = (\text{if } n = 0 \text{ then } \{\} \text{ else } \{x\})$
by *auto*

lemma *in-set-replicateD*: $x : \text{set } (\text{replicate } n \ y) \implies x = y$
by (*simp add: set-replicate-conv-if split: split-if-asm*)

lemma *replicate-append-same*:
 $\text{replicate } i \ x \ @ \ [x] = x \ \# \ \text{replicate } i \ x$
by (*induct i*) *simp-all*

lemma *map-replicate-trivial*:
 $\text{map } (\lambda i. \ x) \ [0..<i] = \text{replicate } i \ x$
by (*induct i*) (*simp-all add: replicate-append-same*)

lemma *concat-replicate-trivial[simp]*:
 $\text{concat } (\text{replicate } i \ []) = []$
by (*induct i*) (*auto simp add: map-replicate-const*)

lemma *replicate-empty[simp]*: $(\text{replicate } n \ x = []) \longleftrightarrow n=0$
by (*induct n*) *auto*

lemma *empty-replicate[simp]*: $([] = \text{replicate } n \ x) \longleftrightarrow n=0$
by (*induct n*) *auto*

lemma *replicate-eq-replicate[simp]*:
 $(\text{replicate } m \ x = \text{replicate } n \ y) \longleftrightarrow (m=n \ \& \ (m \neq 0 \longrightarrow x=y))$
apply (*induct m arbitrary: n*)
apply *simp*
apply (*induct-tac n*)
apply *auto*
done

43.1.27 *rotate1* and *rotate*

lemma *rotate-simps[simp]*: $\text{rotate1 } [] = [] \ \wedge \ \text{rotate1 } (x \ \# \ xs) = xs \ @ \ [x]$
by (*simp add: rotate1-def*)

lemma *rotate0[simp]*: $\text{rotate } 0 = \text{id}$
by (*simp add: rotate-def*)

lemma *rotate-Suc*[simp]: $\text{rotate } (\text{Suc } n) \text{ } xs = \text{rotate1 } (\text{rotate } n \text{ } xs)$
by(simp add:rotate-def)

lemma *rotate-add*:
 $\text{rotate } (m+n) = \text{rotate } m \text{ o } \text{rotate } n$
by(simp add:rotate-def funpow-add)

lemma *rotate-rotate*: $\text{rotate } m \text{ } (\text{rotate } n \text{ } xs) = \text{rotate } (m+n) \text{ } xs$
by(simp add:rotate-add)

lemma *rotate1-rotate-swap*: $\text{rotate1 } (\text{rotate } n \text{ } xs) = \text{rotate } n \text{ } (\text{rotate1 } xs)$
by(simp add:rotate-def funpow-swap1)

lemma *rotate1-length01*[simp]: $\text{length } xs \leq 1 \implies \text{rotate1 } xs = xs$
by(cases xs) simp-all

lemma *rotate-length01*[simp]: $\text{length } xs \leq 1 \implies \text{rotate } n \text{ } xs = xs$
apply(induct n)
apply simp
apply (simp add:rotate-def)
done

lemma *rotate1-hd-tl*: $xs \neq [] \implies \text{rotate1 } xs = \text{tl } xs @ [\text{hd } xs]$
by(simp add:rotate1-def split:list.split)

lemma *rotate-drop-take*:
 $\text{rotate } n \text{ } xs = \text{drop } (n \bmod \text{length } xs) \text{ } xs @ \text{take } (n \bmod \text{length } xs) \text{ } xs$
apply(induct n)
apply simp
apply(simp add:rotate-def)
apply(cases xs = [])
apply (simp)
apply(case-tac n mod length xs = 0)
apply(simp add:mod-Suc)
apply(simp add: rotate1-hd-tl drop-Suc take-Suc)
apply(simp add:mod-Suc rotate1-hd-tl drop-Suc[symmetric] drop-tl[symmetric]
take-hd-drop linorder-not-le)
done

lemma *rotate-conv-mod*: $\text{rotate } n \text{ } xs = \text{rotate } (n \bmod \text{length } xs) \text{ } xs$
by(simp add:rotate-drop-take)

lemma *rotate-id*[simp]: $n \bmod \text{length } xs = 0 \implies \text{rotate } n \text{ } xs = xs$
by(simp add:rotate-drop-take)

lemma *length-rotate1*[simp]: $\text{length}(\text{rotate1 } xs) = \text{length } xs$
by(simp add:rotate1-def split:list.split)

lemma *length-rotate*[simp]: $\text{length}(\text{rotate } n \text{ } xs) = \text{length } xs$
by (*induct* *n* *arbitrary*: *xs*) (*simp-all* *add:rotate-def*)

lemma *distinct1-rotate*[simp]: $\text{distinct}(\text{rotate1 } xs) = \text{distinct } xs$
by(*simp* *add:rotate1-def* *split:list.split*) *blast*

lemma *distinct-rotate*[simp]: $\text{distinct}(\text{rotate } n \text{ } xs) = \text{distinct } xs$
by (*induct* *n*) (*simp-all* *add:rotate-def*)

lemma *rotate-map*: $\text{rotate } n \text{ } (\text{map } f \text{ } xs) = \text{map } f \text{ } (\text{rotate } n \text{ } xs)$
by(*simp* *add:rotate-drop-take* *take-map* *drop-map*)

lemma *set-rotate1*[simp]: $\text{set}(\text{rotate1 } xs) = \text{set } xs$
by(*simp* *add:rotate1-def* *split:list.split*)

lemma *set-rotate*[simp]: $\text{set}(\text{rotate } n \text{ } xs) = \text{set } xs$
by (*induct* *n*) (*simp-all* *add:rotate-def*)

lemma *rotate1-is-Nil-conv*[simp]: $(\text{rotate1 } xs = []) = (xs = [])$
by(*simp* *add:rotate1-def* *split:list.split*)

lemma *rotate-is-Nil-conv*[simp]: $(\text{rotate } n \text{ } xs = []) = (xs = [])$
by (*induct* *n*) (*simp-all* *add:rotate-def*)

lemma *rotate-rev*:
 $\text{rotate } n \text{ } (\text{rev } xs) = \text{rev}(\text{rotate } (\text{length } xs - (n \bmod \text{length } xs)) \text{ } xs)$
apply(*simp* *add:rotate-drop-take* *rev-drop* *rev-take*)
apply(*cases* $\text{length } xs = 0$)
apply *simp*
apply(*cases* $n \bmod \text{length } xs = 0$)
apply *simp*
apply(*simp* *add:rotate-drop-take* *rev-drop* *rev-take*)
done

lemma *hd-rotate-conv-nth*: $xs \neq [] \implies \text{hd}(\text{rotate } n \text{ } xs) = xs!(n \bmod \text{length } xs)$
apply(*simp* *add:rotate-drop-take* *hd-append* *hd-drop-conv-nth* *hd-conv-nth*)
apply(*subgoal-tac* $\text{length } xs \neq 0$)
prefer 2 **apply** *simp*
using *mod-less-divisor*[*of* $\text{length } xs \text{ } n$] **by** *arith*

43.1.28 *sublist* — a generalization of *nth* to sets

lemma *sublist-empty* [simp]: $\text{sublist } xs \text{ } \{\} = []$
by (*auto* *simp* *add: sublist-def*)

lemma *sublist-nil* [simp]: $\text{sublist } [] \text{ } A = []$
by (*auto* *simp* *add: sublist-def*)

lemma *length-sublist*:

$\text{length}(\text{sublist } xs \ I) = \text{card}\{i. i < \text{length } xs \wedge i : I\}$
by(*simp add: sublist-def length-filter-conv-card cong:conj-cong*)

lemma *sublist-shift-lemma-Suc*:
 $\text{map fst } (\text{filter } (\%p. P(\text{Suc}(\text{snd } p))) (\text{zip } xs \ is)) =$
 $\text{map fst } (\text{filter } (\%p. P(\text{snd } p)) (\text{zip } xs \ (\text{map } \text{Suc } is)))$
apply(*induct xs arbitrary: is*)
apply *simp*
apply (*case-tac is*)
apply *simp*
apply *simp*
done

lemma *sublist-shift-lemma*:
 $\text{map fst } [p < - \text{zip } xs \ [i..<i + \text{length } xs] . \text{snd } p : A] =$
 $\text{map fst } [p < - \text{zip } xs \ [0..<\text{length } xs] . \text{snd } p + i : A]$
by (*induct xs rule: rev-induct*) (*simp-all add: add-commute*)

lemma *sublist-append*:
 $\text{sublist } (l \ @ \ l') \ A = \text{sublist } l \ A \ @ \ \text{sublist } l' \ \{j. j + \text{length } l : A\}$
apply (*unfold sublist-def*)
apply (*induct l' rule: rev-induct, simp*)
apply (*simp add: upt-add-eq-append[of 0] zip-append sublist-shift-lemma*)
apply (*simp add: add-commute*)
done

lemma *sublist-Cons*:
 $\text{sublist } (x \ \# \ l) \ A = (\text{if } 0:A \text{ then } [x] \text{ else } []) \ @ \ \text{sublist } l \ \{j. \text{Suc } j : A\}$
apply (*induct l rule: rev-induct*)
apply (*simp add: sublist-def*)
apply (*simp del: append-Cons add: append-Cons[symmetric] sublist-append*)
done

lemma *set-sublist*: $\text{set}(\text{sublist } xs \ I) = \{xs!i \mid i < \text{size } xs \wedge i \in I\}$
apply(*induct xs arbitrary: I*)
apply(*auto simp: sublist-Cons nth-Cons split:nat.split dest!: gr0-implies-Suc*)
done

lemma *set-sublist-subset*: $\text{set}(\text{sublist } xs \ I) \subseteq \text{set } xs$
by(*auto simp add: set-sublist*)

lemma *notin-set-sublistI*[*simp*]: $x \notin \text{set } xs \implies x \notin \text{set}(\text{sublist } xs \ I)$
by(*auto simp add: set-sublist*)

lemma *in-set-sublistD*: $x \in \text{set}(\text{sublist } xs \ I) \implies x \in \text{set } xs$
by(*auto simp add: set-sublist*)

lemma *sublist-singleton* [*simp*]: $\text{sublist } [x] \ A = (\text{if } 0 : A \text{ then } [x] \text{ else } [])$
by (*simp add: sublist-Cons*)

```

lemma distinct-sublistI[simp]: distinct xs  $\implies$  distinct(sublist xs I)
apply(induct xs arbitrary: I)
  apply simp
apply(auto simp add:sublist-Cons)
done

```

```

lemma sublist-upt-eq-take [simp]: sublist l {..n} = take n l
apply (induct l rule: rev-induct, simp)
apply (simp split: nat-diff-split add: sublist-append)
done

```

```

lemma filter-in-sublist:
  distinct xs  $\implies$  filter (%x. x  $\in$  set(sublist xs s)) xs = sublist xs s
proof (induct xs arbitrary: s)
  case Nil thus ?case by simp
next
  case (Cons a xs)
  moreover hence !x. x: set xs  $\longrightarrow$  x  $\neq$  a by auto
  ultimately show ?case by(simp add: sublist-Cons cong:filter-cong)
qed

```

43.1.29 splice

```

lemma splice-Nil2 [simp, code]:
  splice xs [] = xs
by (cases xs) simp-all

```

```

lemma splice-Cons-Cons [simp, code]:
  splice (x#xs) (y#ys) = x # y # splice xs ys
by simp

```

```

declare splice.simps(2) [simp del, code del]

```

```

lemma length-splice[simp]: length(splice xs ys) = length xs + length ys
apply(induct xs arbitrary: ys) apply simp
apply(case-tac ys)
  apply auto
done

```

43.1.30 Transpose

```

function transpose where
  transpose [] = [] |
  transpose ([_] # xss) = transpose xss |
  transpose ((x#xs) # xss) =
    (x # [h. (h#t)  $\leftarrow$  xss]) # transpose (xs # [t. (h#t)  $\leftarrow$  xss])
by pat-completeness auto

```

lemma *transpose-aux-filter-head*:

concat (*map* (*list-case* [] ($\lambda h t. [h]$)) *xss*) =
map ($\lambda xs. \text{hd } xs$) [*ys* ← *xss* . *ys* ≠ []]
by (*induct xss*) (*auto split: list.split*)

lemma *transpose-aux-filter-tail*:

concat (*map* (*list-case* [] ($\lambda h t. [t]$)) *xss*) =
map ($\lambda xs. \text{tl } xs$) [*ys* ← *xss* . *ys* ≠ []]
by (*induct xss*) (*auto split: list.split*)

lemma *transpose-aux-max*:

max (*Suc* (*length xs*)) (*foldr* ($\lambda xs. \text{max } (\text{length } xs)$) *xss* 0) =
Suc (*max* (*length xs*) (*foldr* ($\lambda x. \text{max } (\text{length } x - \text{Suc } 0)$) [*ys* ← *xss* . *ys* ≠ []] 0))
(is *max* - ?*foldB* = *Suc* (*max* - ?*foldA*))

proof (*cases* [*ys* ← *xss* . *ys* ≠ []] = [])

case *True*

hence *foldr* ($\lambda xs. \text{max } (\text{length } xs)$) *xss* 0 = 0

proof (*induct xss*)

case (*Cons x xs*)

moreover **hence** *x* = [] **by** (*cases x*) *auto*

ultimately show ?*case* **by** *auto*

qed *simp*

thus ?*thesis* **using** *True* **by** *simp*

next

case *False*

have *foldA*: ?*foldA* = *foldr* ($\lambda x. \text{max } (\text{length } x)$) [*ys* ← *xss* . *ys* ≠ []] 0 - 1

by (*induct xss*) *auto*

have *foldB*: ?*foldB* = *foldr* ($\lambda x. \text{max } (\text{length } x)$) [*ys* ← *xss* . *ys* ≠ []] 0

by (*induct xss*) *auto*

have 0 < ?*foldB*

proof -

from *False*

obtain *z zs* **where** *zs*: [*ys* ← *xss* . *ys* ≠ []] = *z* # *zs* **by** (*auto simp: neg-Nil-conv*)

hence *z* ∈ *set* ([*ys* ← *xss* . *ys* ≠ []]) **by** *auto*

hence *z* ≠ [] **by** *auto*

thus ?*thesis*

unfolding *foldB zs*

by (*auto simp: max-def intro: less-le-trans*)

qed

thus ?*thesis*

unfolding *foldA foldB max-Suc-Suc[symmetric]*

by *simp*

qed

termination *transpose*

by (*relation measure* ($\lambda xs. \text{foldr } (\lambda xs. \text{max } (\text{length } xs)) xs 0 + \text{length } xs$))

(*auto simp: transpose-aux-filter-tail foldr-map comp-def transpose-aux-max less-Suc-eq-le*)

lemma *transpose-empty*: $(\text{transpose } xs = []) \longleftrightarrow (\forall x \in \text{set } xs. x = [])$
by (*induct rule: transpose.induct simp-all*)

lemma *length-transpose*:
fixes $xs :: 'a \text{ list list}$
shows $\text{length } (\text{transpose } xs) = \text{foldr } (\lambda xs. \max (\text{length } xs)) \text{ } xs \ 0$
by (*induct rule: transpose.induct*)
(*auto simp: transpose-aux-filter-tail foldr-map comp-def transpose-aux-max max-Suc-Suc[symmetric] simp del: max-Suc-Suc*)

lemma *nth-transpose*:
fixes $xs :: 'a \text{ list list}$
assumes $i < \text{length } (\text{transpose } xs)$
shows $\text{transpose } xs ! i = \text{map } (\lambda xs. xs ! i) [ys \leftarrow xs. i < \text{length } ys]$
using *assms proof* (*induct arbitrary: i rule: transpose.induct*)
case ($\exists x \ xs \ xss$)
def $XS == (x \# xs) \# xss$
hence [*simp*]: $XS \neq []$ **by** *auto*
thus ?*case*
proof (*cases i*)
case 0
thus ?*thesis* **by** (*simp add: transpose-aux-filter-head hd-conv-nth*)
next
case (*Suc j*)
have *: $\bigwedge xss. xs \# \text{map } \text{tl } xss = \text{map } \text{tl } ((x \# xs) \# xss)$ **by** *simp*
have **: $\bigwedge xss. (x \# xs) \# \text{filter } (\lambda ys. ys \neq []) \ xss = \text{filter } (\lambda ys. ys \neq []) ((x \# xs) \# xss)$ **by** *simp*
{ **fix** x **have** $\text{Suc } j < \text{length } x \longleftrightarrow x \neq [] \wedge j < \text{length } x - \text{Suc } 0$
by (*cases x simp-all*)
} **note** *** = *this*

have *j-less*: $j < \text{length } (\text{transpose } (xs \# \text{concat } (\text{map } (\text{list-case } [] (\lambda h \ t. [t]))) \ xss)))$
using \exists .*prems* **by** (*simp add: transpose-aux-filter-tail length-transpose Suc*)

show ?*thesis*
unfolding *transpose.simps* $\langle i = \text{Suc } j \rangle$ *nth-Cons-Suc* \exists .*hyps*[*OF j-less*]
apply (*auto simp: transpose-aux-filter-tail filter-map comp-def length-transpose*
* ** *** *XS-def[symmetric]*)
apply (*rule-tac y=x in list.exhaust*)
by *auto*
qed
qed *simp-all*

lemma *transpose-map-map*:
 $\text{transpose } (\text{map } (\text{map } f) \ xs) = \text{map } (\text{map } f) (\text{transpose } xs)$

```

proof (rule nth-equalityI, safe)
  have [simp]: length (transpose (map (map f) xs)) = length (transpose xs)
    by (simp add: length-transpose foldr-map comp-def)
  show length (transpose (map (map f) xs)) = length (map (map f) (transpose
xs)) by simp

  fix i assume i < length (transpose (map (map f) xs))
  thus transpose (map (map f) xs) ! i = map (map f) (transpose xs) ! i
    by (simp add: nth-transpose filter-map comp-def)
qed

```

43.1.31 (In)finiteness

```

lemma finite-maxlen:
  finite (M::'a list set) ==> EX n. ALL s:M. size s < n
proof (induct rule: finite.induct)
  case emptyI show ?case by simp
next
  case (insertI M xs)
  then obtain n where  $\forall s \in M. \text{length } s < n$  by blast
  hence ALL s:insert xs M. size s < max n (size xs) + 1 by auto
  thus ?case ..
qed

```

```

lemma finite-lists-length-eq:
assumes finite A
shows finite {xs. set xs  $\subseteq$  A  $\wedge$  length xs = n} (is finite (?S n))
proof (induct n)
  case 0 show ?case by simp
next
  case (Suc n)
  have ?S (Suc n) = ( $\bigcup x \in A. (\lambda xs. x \# xs)$  ‘ ?S n)
    by (auto simp: length-Suc-conv)
  then show ?case using (finite A)
    by (auto intro: finite-imageI Suc)
qed

```

```

lemma finite-lists-length-le:
assumes finite A shows finite {xs. set xs  $\subseteq$  A  $\wedge$  length xs  $\leq$  n}
(is finite ?S)
proof –
  have ?S = ( $\bigcup n \in \{0..n\}. \{xs. \text{set } xs \subseteq A \wedge \text{length } xs = n\}$ ) by auto
  thus ?thesis by (auto intro: finite-lists-length-eq[OF (finite A)])
qed

```

```

lemma infinite-UNIV-listI:  $\sim$  finite (UNIV::'a list set)
apply (rule notI)
apply (drule finite-maxlen)
apply (metis UNIV-I length-replicate less-not-refl)

```

done

43.2 Sorting

Currently it is not shown that *sort* returns a permutation of its input because the nicest proof is via multisets, which are not yet available. Alternatively one could define a function that counts the number of occurrences of an element in a list and use that instead of multisets to state the correctness property.

context *linorder*
begin

lemma *length-insert[simp]* : *length (insort-key f x xs) = Suc (length xs)*
by (*induct xs, auto*)

lemma *insort-left-comm*:
insort x (insort y xs) = insort y (insort x xs)
by (*induct xs auto*)

lemma *fun-left-comm-insort*:
fun-left-comm insort
proof
qed (*fact insort-left-comm*)

lemma *sort-key-simps [simp]*:
sort-key f [] = []
sort-key f (x#xs) = insort-key f x (sort-key f xs)
by (*simp-all add: sort-key-def*)

lemma *sort-foldl-insort*:
sort xs = foldl ($\lambda y x. \text{insort } x \ y$) [] xs
by (*simp add: sort-key-def foldr-foldl foldl-rev insort-left-comm*)

lemma *length-sort[simp]*: *length (sort-key f xs) = length xs*
by (*induct xs, auto*)

lemma *sorted-Cons*: *sorted (x#xs) = (sorted xs & (ALL y:set xs. x <= y))*
apply(*induct xs arbitrary: x*) **apply** *simp*
by *simp (blast intro: order-trans)*

lemma *sorted-append*:
sorted (xs@ys) = (sorted xs & sorted ys & ($\forall x \in \text{set } xs. \forall y \in \text{set } ys. x \leq y$))
by (*induct xs (auto simp add:sorted-Cons)*)

lemma *sorted-nth-mono*:
sorted xs $\implies i \leq j \implies j < \text{length } xs \implies xs!i \leq xs!j$
by (*induct xs arbitrary: i j (auto simp:nth-Cons' sorted-Cons)*)

lemma *sorted-rev-nth-mono*:

sorted (*rev xs*) $\implies i \leq j \implies j < \text{length } xs \implies xs!j \leq xs!i$
using *sorted-nth-mono*[*of rev xs length xs - j - 1 length xs - i - 1*]
rev-nth[*of length xs - i - 1 xs*] *rev-nth*[*of length xs - j - 1 xs*]
by *auto*

lemma *sorted-nth-monoI*:

$(\bigwedge i j. \llbracket i \leq j ; j < \text{length } xs \rrbracket \implies xs ! i \leq xs ! j) \implies \text{sorted } xs$
proof (*induct xs*)
case (*Cons x xs*)
have *sorted xs*
proof (*rule Cons.hyps*)
fix *i j* **assume** $i \leq j$ **and** $j < \text{length } xs$
with *Cons.prem*s[*of Suc i Suc j*]
show $xs ! i \leq xs ! j$ **by** *auto*
qed
moreover
{
fix *y* **assume** $y \in \text{set } xs$
then obtain *j* **where** $j < \text{length } xs$ **and** $xs ! j = y$
unfolding *in-set-conv-nth* **by** *blast*
with *Cons.prem*s[*of 0 Suc j*]
have $x \leq y$
by *auto*
}
ultimately
show *?case*
unfolding *sorted-Cons* **by** *auto*
qed *simp*

lemma *sorted-equals-nth-mono*:

sorted xs = $(\forall j < \text{length } xs. \forall i \leq j. xs ! i \leq xs ! j)$
by (*auto intro: sorted-nth-monoI sorted-nth-mono*)

lemma *set-insort*: *set*(*insort-key f x xs*) = *insert x* (*set xs*)
by (*induct xs*) *auto*

lemma *set-sort*[*simp*]: *set*(*sort-key f xs*) = *set xs*
by (*induct xs*) (*simp-all add:set-insort*)

lemma *distinct-insort*: *distinct* (*insort-key f x xs*) = $(x \notin \text{set } xs \wedge \text{distinct } xs)$
by(*induct xs*)(*auto simp:set-insort*)

lemma *distinct-sort*[*simp*]: *distinct* (*sort-key f xs*) = *distinct xs*
by(*induct xs*)(*simp-all add:distinct-insort set-sort*)

lemma *sorted-insort-key*: *sorted* (*map f* (*insort-key f x xs*)) = *sorted* (*map f xs*)
by(*induct xs*)(*auto simp:sorted-Cons set-insort*)

lemma *sorted-insort*: $\text{sorted } (\text{insort } x \text{ } xs) = \text{sorted } xs$
using *sorted-insort-key* [where $f = \lambda x. x$] **by** *simp*

theorem *sorted-sort-key* [*simp*]: $\text{sorted } (\text{map } f \text{ } (\text{sort-key } f \text{ } xs))$
by (*induct xs*) (*auto simp: sorted-insort-key*)

theorem *sorted-sort* [*simp*]: $\text{sorted } (\text{sort } xs)$
by (*induct xs*) (*auto simp: sorted-insort*)

lemma *sorted-butlast*:
assumes $xs \neq []$ **and** *sorted xs*
shows *sorted (butlast xs)*
proof –
from $\langle xs \neq [] \rangle$ **obtain** $ys \ y$ **where** $xs = ys @ [y]$ **by** (*cases xs rule: rev-cases*)
auto
with $\langle \text{sorted } xs \rangle$ **show** ?thesis **by** (*simp add: sorted-append*)
qed

lemma *insort-not-Nil* [*simp*]:
 $\text{insort-key } f \ a \ xs \neq []$
by (*induct xs*) *simp-all*

lemma *insort-is-Cons*: $\forall x \in \text{set } xs. f \ a \leq f \ x \implies \text{insort-key } f \ a \ xs = a \# xs$
by (*cases xs*) *auto*

lemma *sorted-remove1*: $\text{sorted } xs \implies \text{sorted } (\text{remove1 } a \text{ } xs)$
by (*induct xs*) (*auto simp add: sorted-Cons*)

lemma *insort-key-remove1*: $\llbracket a \in \text{set } xs; \text{sorted } (\text{map } f \text{ } xs) ; \text{inj-on } f \text{ } (\text{set } xs) \rrbracket$
 $\implies \text{insort-key } f \ a \ (\text{remove1 } a \text{ } xs) = xs$
proof (*induct xs*)
case (*Cons x xs*)
thus ?case
proof (*cases x = a*)
case *False*
hence $f \ x \neq f \ a$ **using** *Cons.prem*s **by** *auto*
hence $f \ x < f \ a$ **using** *Cons.prem*s **by** (*auto simp: sorted-Cons*)
thus ?thesis **using** *Cons* **by** (*auto simp: sorted-Cons insort-is-Cons*)
qed (*auto simp: sorted-Cons insort-is-Cons*)
qed *simp*

lemma *insort-remove1*: $\llbracket a \in \text{set } xs; \text{sorted } xs \rrbracket \implies \text{insort } a \ (\text{remove1 } a \text{ } xs) = xs$
using *insort-key-remove1* [where $f = \lambda x. x$] **by** *simp*

lemma *sorted-remdups* [*simp*]:
 $\text{sorted } l \implies \text{sorted } (\text{remdups } l)$
by (*induct l*) (*auto simp: sorted-Cons*)

lemma *sorted-distinct-set-unique*:


```

assumes sorted xs distinct xs sorted ys distinct ys set xs = set ys
shows xs = ys
proof –
  from assms have 1: length xs = length ys by (auto dest!: distinct-card)
  from assms show ?thesis
  proof(induct rule:list-induct2[OF 1])
    case 1 show ?case by simp
  next
    case 2 thus ?case by (simp add:sorted-Cons)
      (metis Diff-insert-absorb antisym insertE insert-iff)
  qed
qed

```

```

lemma map-sorted-distinct-set-unique:
  assumes inj-on f (set xs ∪ set ys)
  assumes sorted (map f xs) distinct (map f xs)
    sorted (map f ys) distinct (map f ys)
  assumes set xs = set ys
  shows xs = ys
proof –
  from assms have map f xs = map f ys
    by (simp add: sorted-distinct-set-unique)
  moreover with (inj-on f (set xs ∪ set ys)) show xs = ys
    by (blast intro: map-inj-on)
qed

```

```

lemma finite-sorted-distinct-unique:
shows finite A ⟹ EX! xs. set xs = A & sorted xs & distinct xs
apply(drule finite-distinct-list)
apply clarify
apply(rule-tac a=sort xs in ex1I)
apply (auto simp: sorted-distinct-set-unique)
done

```

```

lemma sorted-take:
  sorted xs ⟹ sorted (take n xs)
proof (induct xs arbitrary: n rule: sorted.induct)
  case 1 show ?case by simp
next
  case 2 show ?case by (cases n) simp-all
next
  case (3 x y xs)
  then have x ≤ y by simp
  show ?case proof (cases n)
    case 0 then show ?thesis by simp
  next
  case (Suc m)
  with 3 have sorted (take m (y # xs)) by simp
  with Suc ⟨x ≤ y⟩ show ?thesis by (cases m) simp-all

```

qed
qed

lemma *sorted-drop*:
 $sorted\ xs \implies sorted\ (drop\ n\ xs)$
proof (*induct xs arbitrary: n rule: sorted.induct*)
 case 1 **show** ?case **by** *simp*
next
 case 2 **show** ?case **by** (*cases n*) *simp-all*
next
 case 3 **then show** ?case **by** (*cases n*) *simp-all*
qed

lemma *sorted-dropWhile*: $sorted\ xs \implies sorted\ (dropWhile\ P\ xs)$
unfolding *dropWhile-eq-drop* **by** (*rule sorted-drop*)

lemma *sorted-takeWhile*: $sorted\ xs \implies sorted\ (takeWhile\ P\ xs)$
apply (*subst takeWhile-eq-take*) **by** (*rule sorted-take*)

lemma *sorted-filter*:
 $sorted\ (map\ f\ xs) \implies sorted\ (map\ f\ (filter\ P\ xs))$
by (*induct xs*) (*simp-all add: sorted-Cons*)

lemma *foldr-max-sorted*:
assumes *sorted (rev xs)*
shows $foldr\ max\ xs\ y = (if\ xs = []\ then\ y\ else\ max\ (xs\ !\ 0)\ y)$
using *assms* **proof** (*induct xs*)
 case (*Cons x xs*)
moreover **hence** *sorted (rev xs)* **using** *sorted-append* **by** *auto*
ultimately show ?case
by (*cases xs, auto simp add: sorted-append max-def*)
qed *simp*

lemma *filter-equals-takeWhile-sorted-rev*:
assumes *sorted: sorted (rev (map f xs))*
shows $[x \leftarrow xs.\ t < f\ x] = takeWhile\ (\lambda\ x.\ t < f\ x)\ xs$
 (*is filter ?P xs = ?tW*)
proof (*rule takeWhile-eq-filter[symmetric]*)
let ?dW = *dropWhile ?P xs*
fix x **assume** $x \in set\ ?dW$
then obtain i **where** $i < length\ ?dW$ **and** $nth\ i:\ x = ?dW\ !\ i$
unfolding *in-set-conv-nth* **by** *auto*
hence $length\ ?tW + i < length\ (?tW\ @\ ?dW)$
unfolding *length-append* **by** *simp*
hence $i':\ length\ (map\ f\ ?tW) + i < length\ (map\ f\ xs)$ **by** *simp*
have $(map\ f\ ?tW\ @\ map\ f\ ?dW)\ !\ (length\ (map\ f\ ?tW) + i) \leq$
 $(map\ f\ ?tW\ @\ map\ f\ ?dW)\ !\ (length\ (map\ f\ ?tW) + 0)$
using *sorted-rev-nth-mono[OF sorted - i', of length ?tW]*
unfolding *map-append[symmetric]* **by** *simp*

hence $f x \leq f (?dW ! 0)$
 unfolding *nth-append-length-plus nth-i*
 using *i preorder-class.le-less-trans [OF le0 i]* by *simp*
 also have $\dots \leq t$
 using *hd-dropWhile [of ?P xs] le0 [THEN preorder-class.le-less-trans, OF i]*
 using *hd-conv-nth [of ?dW]* by *simp*
 finally show $\neg t < f x$ by *simp*
 qed

lemma *set-insort-insert*:
 $set (insort-insert x xs) = insert x (set xs)$
 by (*auto simp add: insort-insert-def set-insort*)

lemma *distinct-insort-insert*:
 assumes *distinct xs*
 shows *distinct (insort-insert x xs)*
 using *assms* by (*induct xs*) (*auto simp add: insort-insert-def set-insort*)

lemma *sorted-insort-insert*:
 assumes *sorted xs*
 shows *sorted (insort-insert x xs)*
 using *assms* by (*simp add: insort-insert-def sorted-insort*)

lemma *filter-insort-key-triv*:
 $\neg P x \implies filter P (insort-key f x xs) = filter P xs$
 by (*induct xs*) *simp-all*

lemma *filter-insort-key*:
 $sorted (map f xs) \implies P x \implies filter P (insort-key f x xs) = insort-key f x (filter P xs)$
 using *assms* by (*induct xs*)
 (*auto simp add: sorted-Cons, subst insort-is-Cons, auto*)

lemma *filter-sort-key*:
 $filter P (sort-key f xs) = sort-key f (filter P xs)$
 by (*induct xs*) (*simp-all add: filter-insort-key-triv filter-insort-key*)

lemma *sorted-same [simp]*:
 $sorted [x \leftarrow xs. x = f xs]$
proof (*induct xs arbitrary: f*)
 case *Nil* then show *?case* by *simp*
next
 case (*Cons x xs*)
 then have $sorted [y \leftarrow xs. y = (\lambda xs. x) xs]$.
 moreover from *Cons* have $sorted [y \leftarrow xs. y = (f \circ Cons x) xs]$.
 ultimately show *?case* by (*simp-all add: sorted-Cons*)
 qed

lemma *remove1-insort [simp]*:

```

  remove1 x (insort x xs) = xs
  by (induct xs) simp-all

```

```

end

```

```

lemma sorted-upt[simp]: sorted[i..<j]
by (induct j) (simp-all add:sorted-append)

```

```

lemma sorted-upto[simp]: sorted[i..j]
apply(induct i j rule:upto.induct)
apply(subst upto.simps)
apply(simp add:sorted-Cons)
done

```

43.2.1 transpose on sorted lists

```

lemma sorted-transpose[simp]:
  shows sorted (rev (map length (transpose xs)))
  by (auto simp: sorted-equals-nth-mono rev-nth nth-transpose
    length-filter-conv-card intro: card-mono)

```

```

lemma transpose-max-length:
  foldr (λxs. max (length xs)) (transpose xs) 0 = length [x ← xs. x ≠ []]
  (is ?L = ?R)
proof (cases transpose xs = [])
case False
  have ?L = foldr max (map length (transpose xs)) 0
    by (simp add: foldr-map comp-def)
  also have ... = length (transpose xs ! 0)
    using False sorted-transpose by (simp add: foldr-max-sorted)
  finally show ?thesis
    using False by (simp add: nth-transpose)
next
case True
  hence [x ← xs. x ≠ []] = []
    by (auto intro!: filter-False simp: transpose-empty)
  thus ?thesis by (simp add: transpose-empty True)
qed

```

```

lemma length-transpose-sorted:
  fixes xs :: 'a list list
  assumes sorted: sorted (rev (map length xs))
  shows length (transpose xs) = (if xs = [] then 0 else length (xs ! 0))
proof (cases xs = [])
case False
  thus ?thesis
    using foldr-max-sorted[OF sorted] False
    unfolding length-transpose foldr-map comp-def
    by simp

```

qed *simp*

lemma *nth-nth-transpose-sorted*[*simp*]:

fixes *xs* :: 'a list list
 assumes *sorted*: *sorted* (rev (map length *xs*))
 and *i*: *i* < length (transpose *xs*)
 and *j*: *j* < length [ys ← *xs*. *i* < length *ys*]
 shows *transpose xs* ! *i* ! *j* = *xs* ! *j* ! *i*
 using *j* *filter-equals-takeWhile-sorted-rev*[*OF sorted*, of *i*]
 nth-transpose[*OF i*] *nth-map*[*OF j*]
 by (*simp add: takeWhile-nth*)

lemma *transpose-column-length*:

fixes *xs* :: 'a list list
 assumes *sorted*: *sorted* (rev (map length *xs*)) and *i* < length *xs*
 shows length (filter (λys. *i* < length *ys*) (transpose *xs*)) = length (*xs* ! *i*)

proof –

have *xs* ≠ [] using ⟨*i* < length *xs*⟩ by auto
 note *filter-equals-takeWhile-sorted-rev*[*OF sorted*, *simp*]
 { fix *j* assume *j* ≤ *i*
 note *sorted-rev-nth-mono*[*OF sorted*, of *j i*, *simplified*, *OF this* ⟨*i* < length *xs*⟩]
 } note *sortedE* = *this*[*consumes 1*]

have {*j*. *j* < length (transpose *xs*) ∧ *i* < length (transpose *xs* ! *j*)}
 = {..*length* (*xs* ! *i*)}

proof safe

fix *j*
 assume *j* < length (transpose *xs*) and *i* < length (transpose *xs* ! *j*)
 with *this*(2) *nth-transpose*[*OF this*(1)]
 have *i* < length (takeWhile (λys. *j* < length *ys*) *xs*) by *simp*
 from *nth-mem*[*OF this*] *takeWhile-nth*[*OF this*]
 show *j* < length (*xs* ! *i*) by (auto dest: *set-takeWhileD*)

next

fix *j* assume *j* < length (*xs* ! *i*)
 thus *j* < length (transpose *xs*)
 using *foldr-max-sorted*[*OF sorted*] ⟨*xs* ≠ []⟩ *sortedE*[*OF le0*]
 by (auto *simp: length-transpose comp-def foldr-map*)

have *Suc i* ≤ length (takeWhile (λys. *j* < length *ys*) *xs*)
 using ⟨*i* < length *xs*⟩ ⟨*j* < length (*xs* ! *i*)⟩ *less-Suc-eq-le*
 by (auto intro!: *length-takeWhile-less-P-nth* dest!: *sortedE*)
 with *nth-transpose*[*OF* ⟨*j* < length (transpose *xs*)⟩]
 show *i* < length (transpose *xs* ! *j*) by *simp*

qed

thus ?*thesis* by (*simp add: length-filter-conv-card*)

qed

lemma *transpose-column*:

fixes *xs* :: 'a list list

```

assumes sorted: sorted (rev (map length xs)) and  $i < \text{length } xs$ 
shows map ( $\lambda ys. ys ! i$ ) (filter ( $\lambda ys. i < \text{length } ys$ ) (transpose xs))
  = xs ! i (is ?R = -)
proof (rule nth-equalityI, safe)
  show length: length ?R = length (xs ! i)
    using transpose-column-length[OF assms] by simp

  fix j assume j:  $j < \text{length } ?R$ 
  note * = less-le-trans[OF this, unfolded length-map, OF length-filter-le]
  from j have j-less:  $j < \text{length } (xs ! i)$  using length by simp
  have i-less-tW:  $\text{Suc } i \leq \text{length } (\text{takeWhile } (\lambda ys. \text{Suc } j \leq \text{length } ys) xs)$ 
  proof (rule length-takeWhile-less-P-nth)
    show  $\text{Suc } i \leq \text{length } xs$  using  $\langle i < \text{length } xs \rangle$  by simp
    fix k assume k < Suc i
    hence  $k \leq i$  by auto
    with sorted-rev-nth-mono[OF sorted this]  $\langle i < \text{length } xs \rangle$ 
    have length (xs ! i)  $\leq$  length (xs ! k) by simp
    thus  $\text{Suc } j \leq \text{length } (xs ! k)$  using j-less by simp
  qed
  have i-less-filter:  $i < \text{length } [ys \leftarrow xs \mid j < \text{length } ys]$ 
    unfolding filter-equals-takeWhile-sorted-rev[OF sorted, of j]
    using i-less-tW by (simp-all add: Suc-le-eq)
  from j show ?R ! j = xs ! i ! j
    unfolding filter-equals-takeWhile-sorted-rev[OF sorted-transpose, of i]
    by (simp add: takeWhile-nth nth-nth-transpose-sorted[OF sorted * i-less-filter])
  qed

lemma transpose-transpose:
  fixes xs :: 'a list list
  assumes sorted: sorted (rev (map length xs))
  shows transpose (transpose xs) = takeWhile ( $\lambda x. x \neq []$ ) xs (is ?L = ?R)
proof -
  have len: length ?L = length ?R
    unfolding length-transpose transpose-max-length
    using filter-equals-takeWhile-sorted-rev[OF sorted, of 0]
    by simp

  { fix i assume  $i < \text{length } ?R$ 
    with less-le-trans[OF - length-takeWhile-le[of - xs]]
    have  $i < \text{length } xs$  by simp
  } note * = this
  show ?thesis
    by (rule nth-equalityI)
    (simp-all add: len nth-transpose transpose-column[OF sorted] * takeWhile-nth)
  qed

theorem transpose-rectangle:
  assumes xs = []  $\implies n = 0$ 
  assumes rect:  $\bigwedge i. i < \text{length } xs \implies \text{length } (xs ! i) = n$ 

```

```

shows transpose xs = map (λ i. map (λ j. xs ! j ! i) [0..is ?trans = ?map)
proof (rule nth-equalityI)
  have sorted (rev (map length xs))
    by (auto simp: rev-nth rect intro!: sorted-nth-monoI)
  from foldr-max-sorted[OF this] assms
  show len: length ?trans = length ?map
    by (simp-all add: length-transpose foldr-map comp-def)
  moreover
  { fix i assume i < n hence [ys←xs . i < length ys] = xs
    using rect by (auto simp: in-set-conv-nth intro!: filter-True) }
  ultimately show ∀ i < length ?trans. ?trans ! i = ?map ! i
    by (auto simp: nth-transpose intro: nth-equalityI)
qed

```

43.2.2 sorted-list-of-set

This function maps (finite) linearly ordered sets to sorted lists. Warning: in most cases it is not a good idea to convert from sets to lists but one should convert in the other direction (via *set*).

```

context linorder
begin

```

```

definition sorted-list-of-set :: 'a set ⇒ 'a list where
  sorted-list-of-set = Finite-Set.fold insort []

```

```

lemma sorted-list-of-set-empty [simp]:
  sorted-list-of-set {} = []
by (simp add: sorted-list-of-set-def)

```

```

lemma sorted-list-of-set-insert [simp]:
  assumes finite A
  shows sorted-list-of-set (insert x A) = insort x (sorted-list-of-set (A - {x}))
proof -
  interpret fun-left-comm insort by (fact fun-left-comm-insort)
  with assms show ?thesis by (simp add: sorted-list-of-set-def fold-insert-remove)
qed

```

```

lemma sorted-list-of-set [simp]:
  finite A ⇒ set (sorted-list-of-set A) = A ∧ sorted (sorted-list-of-set A)
  ∧ distinct (sorted-list-of-set A)
by (induct A rule: finite-induct) (simp-all add: set-insort sorted-insort distinct-insort)

```

```

lemma sorted-list-of-set-sort-remdups:
  sorted-list-of-set (set xs) = sort (remdups xs)
proof -
  interpret fun-left-comm insort by (fact fun-left-comm-insort)
  show ?thesis by (simp add: sort-foldl-insort sorted-list-of-set-def fold-set-remdups)
qed

```

```

lemma sorted-list-of-set-remove:
  assumes finite A
  shows sorted-list-of-set (A - {x}) = remove1 x (sorted-list-of-set A)
proof (cases x ∈ A)
  case False with assms have x ∉ set (sorted-list-of-set A) by simp
  with False show ?thesis by (simp add: remove1-idem)
next
  case True then obtain B where A = insert x B by (rule Set.set-insert)
  with assms show ?thesis by simp
qed

end

```

```

lemma sorted-list-of-set-range [simp]:
  sorted-list-of-set {m..n} = [m..n]
  by (rule sorted-distinct-set-unique) simp-all

```

43.2.3 lists: the list-forming operator over sets

```

inductive-set
  lists :: 'a set => 'a list set
  for A :: 'a set
where
  Nil [intro!]: []: lists A
  | Cons [intro!,no-atp]: [| a: A; l: lists A|] ==> a#l : lists A

```

```

inductive-cases listsE [elim!,no-atp]: x#l : lists A
inductive-cases listspE [elim!,no-atp]: listsp A (x # l)

```

```

lemma listsp-mono [mono]: A ≤ B ==> listsp A ≤ listsp B
by (rule predicate1I, erule listsp.induct, (blast dest: predicate1D)+)

```

```

lemmas lists-mono = listsp-mono [to-set pred-subset-eq]

```

```

lemma listsp-infI:
  assumes l: listsp A l shows listsp B l ==> listsp (inf A B) l using l
by induct blast+

```

```

lemmas lists-IntI = listsp-infI [to-set]

```

```

lemma listsp-inf-eq [simp]: listsp (inf A B) = inf (listsp A) (listsp B)
proof (rule mono-inf [where f=listsp, THEN order-antisym])
  show mono listsp by (simp add: mono-def listsp-mono)
  show inf (listsp A) (listsp B) ≤ listsp (inf A B) by (blast intro!: listsp-infI predicate1I)
qed

```

```

lemmas listsp-conj-eq [simp] = listsp-inf-eq [simplified inf-fun-eq inf-bool-eq]

```


lemmas *lists-Int-eq* [*simp*] = *listsp-inf-eq* [*to-set pred-equals-eq*]

lemma *append-in-listsp-conv* [*iff*]:
 $(\text{listsp } A \ (xs \ @ \ ys)) = (\text{listsp } A \ xs \wedge \text{listsp } A \ ys)$
by (*induct xs*) *auto*

lemmas *append-in-lists-conv* [*iff*] = *append-in-listsp-conv* [*to-set*]

lemma *in-listsp-conv-set*: $(\text{listsp } A \ xs) = (\forall x \in \text{set } xs. A \ x)$
— eliminate *listsp* in favour of *set*
by (*induct xs*) *auto*

lemmas *in-lists-conv-set* = *in-listsp-conv-set* [*to-set*]

lemma *in-listspD* [*dest!,no-atp*]: $\text{listsp } A \ xs \implies \forall x \in \text{set } xs. A \ x$
by (*rule in-listsp-conv-set* [*THEN iffD1*])

lemmas *in-listsD* [*dest!,no-atp*] = *in-listspD* [*to-set*]

lemma *in-listspI* [*intro!,no-atp*]: $\forall x \in \text{set } xs. A \ x \implies \text{listsp } A \ xs$
by (*rule in-listsp-conv-set* [*THEN iffD2*])

lemmas *in-listsI* [*intro!,no-atp*] = *in-listspI* [*to-set*]

lemma *lists-UNIV* [*simp*]: $\text{lists } UNIV = UNIV$
by *auto*

43.2.4 Inductive definition for membership

inductive *ListMem* :: 'a \Rightarrow 'a list \Rightarrow bool

where

elem: $\text{ListMem } x \ (x \ \# \ xs)$
| *insert*: $\text{ListMem } x \ xs \implies \text{ListMem } x \ (y \ \# \ xs)$

lemma *ListMem-iff*: $(\text{ListMem } x \ xs) = (x \in \text{set } xs)$

apply (*rule iffI*)

apply (*induct set: ListMem*)

apply *auto*

apply (*induct xs*)

apply (*auto intro: ListMem.intros*)

done

43.2.5 Lists as Cartesian products

set-Cons *A* *Xs*: the set of lists with head drawn from *A* and tail drawn from *Xs*.

definition

set-Cons :: 'a set \Rightarrow 'a list set \Rightarrow 'a list set **where**

[code del]: $\text{set-Cons } A \text{ } XS = \{z. \exists x \text{ } xs. z = x \# xs \wedge x \in A \wedge xs \in XS\}$

lemma *set-Cons-sing-Nil* [simp]: $\text{set-Cons } A \ \{\} = (\%x. [x]) 'A$
by (*auto simp add: set-Cons-def*)

Yields the set of lists, all of the same length as the argument and with elements drawn from the corresponding element of the argument.

primrec

listset :: 'a set list \Rightarrow 'a list set **where**
listset $\{\}$ = $\{\}$
| *listset* ($A \# As$) = $\text{set-Cons } A \ (\text{listset } As)$

43.3 Relations on Lists

43.3.1 Length Lexicographic Ordering

These orderings preserve well-foundedness: shorter lists precede longer lists. These ordering are not used in dictionaries.

primrec — The lexicographic ordering for lists of the specified length

lexn :: ('a \times 'a) set \Rightarrow nat \Rightarrow ('a list \times 'a list) set **where**
lexn $r \ 0 = \{\}$
| *lexn* $r \ (\text{Suc } n) = (\text{prod-fun } (\%(x, xs). x \# xs) \ (\%(x, xs). x \# xs)) \ ' (r < *lex* > \text{lexn } r \ n)) \ \text{Int}$
 $\{(xs, ys). \text{length } xs = \text{Suc } n \wedge \text{length } ys = \text{Suc } n\}$

definition

lex :: ('a \times 'a) set \Rightarrow ('a list \times 'a list) set **where**
[code del]: $\text{lex } r = (\bigcup n. \text{lexn } r \ n)$ — Holds only between lists of the same length

definition

lenlex :: ('a \times 'a) set \Rightarrow ('a list \times 'a list) set **where**
[code del]: $\text{lenlex } r = \text{inv-image } (\text{less-than } < *lex* > \text{lex } r) \ (\lambda xs. (\text{length } xs, xs))$
— Compares lists by their length and then lexicographically

lemma *wf-lexn*: $\text{wf } r \implies \text{wf } (\text{lexn } r \ n)$

apply (*induct n, simp, simp*)

apply (*rule wf-subset*)

prefer 2 apply (*rule Int-lower1*)

apply (*rule wf-prod-fun-image*)

prefer 2 apply (*rule inj-onI, auto*)

done

lemma *lexn-length*:

$(xs, ys) : \text{lexn } r \ n \implies \text{length } xs = n \wedge \text{length } ys = n$

by (*induct n arbitrary: xs ys*) *auto*

lemma *wf-lex* [intro!]: $\text{wf } r \implies \text{wf } (\text{lex } r)$

apply (*unfold lex-def*)

apply (*rule wf-UN*)

```

apply (blast intro: wf-lexn, clarify)
apply (rename-tac m n)
apply (subgoal-tac m  $\neq$  n)
  prefer 2 apply blast
apply (blast dest: lexn-length not-sym)
done

```

lemma *lexn-conv*:

```

lexn r n =
  {(xs,ys). length xs = n  $\wedge$  length ys = n  $\wedge$ 
    ( $\exists$  xys x y xs' ys'. xs = xys @ x # xs'  $\wedge$  ys = xys @ y # ys'  $\wedge$  (x, y):r)}
apply (induct n, simp)
apply (simp add: image-Collect lex-prod-def, safe, blast)
  apply (rule-tac x = ab # xys in exI, simp)
apply (case-tac xys, simp-all, blast)
done

```

lemma *lex-conv*:

```

lex r =
  {(xs,ys). length xs = length ys  $\wedge$ 
    ( $\exists$  xys x y xs' ys'. xs = xys @ x # xs'  $\wedge$  ys = xys @ y # ys'  $\wedge$  (x, y):r)}
by (force simp add: lex-def lexn-conv)

```

lemma *wf-lenlex* [intro!]: wf r \implies wf (lenlex r)
by (unfold lenlex-def) blast

lemma *lenlex-conv*:

```

lenlex r = {(xs,ys). length xs < length ys |
  length xs = length ys  $\wedge$  (xs, ys) : lex r}
by (simp add: lenlex-def Id-on-def lex-prod-def inv-image-def)

```

lemma *Nil-notin-lex* [iff]: (\square , ys) \notin lex r
by (simp add: lex-conv)

lemma *Nil2-notin-lex* [iff]: (xs, \square) \notin lex r
by (simp add: lex-conv)

lemma *Cons-in-lex* [simp]:

```

((x # xs, y # ys) : lex r) =
  ((x, y) : r  $\wedge$  length xs = length ys | x = y  $\wedge$  (xs, ys) : lex r)
apply (simp add: lex-conv)
apply (rule iffI)
  prefer 2 apply (blast intro: Cons-eq-appendI, clarify)
apply (case-tac xys, simp, simp)
apply blast
done

```

43.3.2 Lexicographic Ordering

Classical lexicographic ordering on lists, ie. ”a” \leq ”ab” \leq ”b”. This ordering does *not* preserve well-foundedness. Author: N. Voelker, March 2005.

definition

$lexord :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ list} \times 'a \text{ list}) \text{ set}$ **where**
 $[code\ del]: lexord\ r = \{(x,y) . \exists\ a\ v. y = x @ a \# v \vee$
 $(\exists\ u\ a\ b\ v\ w. (a,b) \in r \wedge x = u @ (a \# v) \wedge y = u @ (b \# w))\}$

lemma $lexord\text{-}Nil\text{-}left[simp]: (\[],y) \in lexord\ r = (\exists\ a\ x. y = a \# x)$
by ($unfold\ lexord\text{-}def, induct\text{-}tac\ y, auto$)

lemma $lexord\text{-}Nil\text{-}right[simp]: (x,\[]) \notin lexord\ r$
by ($unfold\ lexord\text{-}def, induct\text{-}tac\ x, auto$)

lemma $lexord\text{-}cons\text{-}cons[simp]:$
 $((a \# x, b \# y) \in lexord\ r) = ((a,b) \in r \mid (a = b \ \& \ (x,y) \in lexord\ r))$
apply ($unfold\ lexord\text{-}def, safe, simp\text{-}all$)
apply ($case\text{-}tac\ u, simp, simp$)
apply ($case\text{-}tac\ u, simp, clarsimp, blast, blast, clarsimp$)
apply ($erule\text{-}tac\ x=b \# u\ in\ allE$)
by $force$

lemmas $lexord\text{-}simps = lexord\text{-}Nil\text{-}left\ lexord\text{-}Nil\text{-}right\ lexord\text{-}cons\text{-}cons$

lemma $lexord\text{-}append\text{-}rightI: \exists\ b\ z. y = b \# z \implies (x, x @ y) \in lexord\ r$
by ($induct\text{-}tac\ x, auto$)

lemma $lexord\text{-}append\text{-}left\text{-}rightI:$
 $(a,b) \in r \implies (u @ a \# x, u @ b \# y) \in lexord\ r$
by ($induct\text{-}tac\ u, auto$)

lemma $lexord\text{-}append\text{-}leftI: (u,v) \in lexord\ r \implies (x @ u, x @ v) \in lexord\ r$
by ($induct\ x, auto$)

lemma $lexord\text{-}append\text{-}leftD:$
 $\llbracket (x @ u, x @ v) \in lexord\ r; (!\ a. (a,a) \notin r) \rrbracket \implies (u,v) \in lexord\ r$
by ($erule\ rev\text{-}mp, induct\text{-}tac\ x, auto$)

lemma $lexord\text{-}take\text{-}index\text{-}conv:$
 $((x,y) : lexord\ r) =$
 $((length\ x < length\ y \wedge take\ (length\ x)\ y = x) \vee$
 $(\exists\ i. i < \min(length\ x)(length\ y) \ \& \ take\ i\ x = take\ i\ y \ \& \ (x!i,y!i) \in r))$
apply ($unfold\ lexord\text{-}def\ Let\text{-}def, clarsimp$)
apply ($rule\text{-}tac\ f = (\% a\ b. a \vee b)\ in\ arg\text{-}cong2$)
apply $auto$
apply ($rule\text{-}tac\ x=hd\ (drop\ (length\ x)\ y)\ in\ exI$)
apply ($rule\text{-}tac\ x=tl\ (drop\ (length\ x)\ y)\ in\ exI$)
apply ($erule\ subst, simp\ add: min\text{-}def$)

```

apply (rule-tac x=length u in exI, simp)
apply (rule-tac x=take i x in exI)
apply (rule-tac x=x ! i in exI)
apply (rule-tac x=y ! i in exI, safe)
apply (rule-tac x=drop (Suc i) x in exI)
apply (drule sym, simp add: drop-Suc-conv-tl)
apply (rule-tac x=drop (Suc i) y in exI)
by (simp add: drop-Suc-conv-tl)

```

— lexord is extension of partial ordering List.lex

```

lemma lexord-lex: (x,y) ∈ lex r = ((x,y) ∈ lexord r ∧ length x = length y)
apply (rule-tac x = y in spec)
apply (induct-tac x, clarsimp)
by (clarify, case-tac x, simp, force)

```

```

lemma lexord-irreflexive: (! x. (x,x) ∉ r) ⇒ (y,y) ∉ lexord r
by (induct y, auto)

```

lemma lexord-trans:

```

  [| (x, y) ∈ lexord r; (y, z) ∈ lexord r; trans r |] ⇒ (x, z) ∈ lexord r
apply (erule rev-mp)+
apply (rule-tac x = x in spec)
apply (rule-tac x = z in spec)
apply (induct-tac y, simp, clarify)
apply (case-tac xa, erule ssubst)
apply (erule allE, erule allE) — avoid simp recursion
apply (case-tac x, simp, simp)
apply (case-tac x, erule allE, erule allE, simp)
apply (erule-tac x = listb in allE)
apply (erule-tac x = lista in allE, simp)
apply (unfold trans-def)
by blast

```

```

lemma lexord-transI: trans r ⇒ trans (lexord r)
by (rule transI, drule lexord-trans, blast)

```

```

lemma lexord-linear: (! a b. (a,b) ∈ r | a = b | (b,a) ∈ r) ⇒ (x,y) : lexord r | x
= y | (y,x) : lexord r
apply (rule-tac x = y in spec)
apply (induct-tac x, rule allI)
apply (case-tac x, simp, simp)
apply (rule allI, case-tac x, simp, simp)
by blast

```

43.4 Lexicographic combination of measure functions

These are useful for termination proofs

definition

```

measures fs = inv-image (lex less-than) (%a. map (%f. f a) fs)

```

lemma *wf-measures*[*recdef-wf*, *simp*]: *wf* (*measures fs*)
unfolding *measures-def*
by *blast*

lemma *in-measures*[*simp*]:
 $(x, y) \in \text{measures } [] = \text{False}$
 $(x, y) \in \text{measures } (f \# fs)$
 $= (f x < f y \vee (f x = f y \wedge (x, y) \in \text{measures } fs))$
unfolding *measures-def*
by *auto*

lemma *measures-less*: $f x < f y \implies (x, y) \in \text{measures } (f \# fs)$
by *simp*

lemma *measures-lesseq*: $f x \leq f y \implies (x, y) \in \text{measures } fs \implies (x, y) \in \text{measures } (f \# fs)$
by *auto*

43.4.1 Lifting a Relation on List Elements to the Lists

inductive-set
 $\text{listrel} :: ('a * 'a)\text{set} \Rightarrow ('a \text{ list} * 'a \text{ list})\text{set}$
for $r :: ('a * 'a)\text{set}$
where
 $\text{Nil}: ([], []) \in \text{listrel } r$
 $| \text{Cons}: [(x, y) \in r; (xs, ys) \in \text{listrel } r] \implies (x \# xs, y \# ys) \in \text{listrel } r$

inductive-cases *listrel-Nil1* [*elim!*]: $([], xs) \in \text{listrel } r$
inductive-cases *listrel-Nil2* [*elim!*]: $(xs, []) \in \text{listrel } r$
inductive-cases *listrel-Cons1* [*elim!*]: $(y \# ys, xs) \in \text{listrel } r$
inductive-cases *listrel-Cons2* [*elim!*]: $(xs, y \# ys) \in \text{listrel } r$

lemma *listrel-mono*: $r \subseteq s \implies \text{listrel } r \subseteq \text{listrel } s$
apply *clarify*
apply (*erule listrel.induct*)
apply (*blast intro: listrel.intros*)
done

lemma *listrel-subset*: $r \subseteq A \times A \implies \text{listrel } r \subseteq \text{lists } A \times \text{lists } A$
apply *clarify*
apply (*erule listrel.induct, auto*)
done

lemma *listrel-refl-on*: $\text{refl-on } A \ r \implies \text{refl-on } (\text{lists } A) \ (\text{listrel } r)$
apply (*simp add: refl-on-def listrel-subset Ball-def*)
apply (*rule allI*)
apply (*induct-tac x*)

apply (*auto intro: listrel.intros*)
done

lemma *listrel-sym*: $\text{sym } r \implies \text{sym } (\text{listrel } r)$
apply (*auto simp add: sym-def*)
apply (*erule listrel.induct*)
apply (*blast intro: listrel.intros*)
done

lemma *listrel-trans*: $\text{trans } r \implies \text{trans } (\text{listrel } r)$
apply (*simp add: trans-def*)
apply (*intro allI*)
apply (*rule impI*)
apply (*erule listrel.induct*)
apply (*blast intro: listrel.intros*)
done

theorem *equiv-listrel*: $\text{equiv } A \ r \implies \text{equiv } (\text{lists } A) \ (\text{listrel } r)$
by (*simp add: equiv-def listrel-refl-on listrel-sym listrel-trans*)

lemma *listrel-Nil* [*simp*]: $\text{listrel } r \text{ “ } \{\} = \{\}$
by (*blast intro: listrel.intros*)

lemma *listrel-Cons*:
 $\text{listrel } r \text{ “ } \{x\#xs\} = \text{set-Cons } (r\text{ “ } \{x\}) \ (\text{listrel } r \text{ “ } \{xs\})$
by (*auto simp add: set-Cons-def intro: listrel.intros*)

43.5 Size function

lemma [*measure-function*]: $\text{is-measure } f \implies \text{is-measure } (\text{list-size } f)$
by (*rule is-measure-trivial*)

lemma [*measure-function*]: $\text{is-measure } f \implies \text{is-measure } (\text{option-size } f)$
by (*rule is-measure-trivial*)

lemma *list-size-estimation*[*termination-simp*]:
 $x \in \text{set } xs \implies y < f \ x \implies y < \text{list-size } f \ xs$
by (*induct xs*) *auto*

lemma *list-size-estimation'*[*termination-simp*]:
 $x \in \text{set } xs \implies y \leq f \ x \implies y \leq \text{list-size } f \ xs$
by (*induct xs*) *auto*

lemma *list-size-map*[*simp*]: $\text{list-size } f \ (\text{map } g \ xs) = \text{list-size } (f \circ g) \ xs$
by (*induct xs*) *auto*

lemma *list-size-pointwise*[*termination-simp*]:
 $(\bigwedge x. x \in \text{set } xs \implies f \ x < g \ x) \implies \text{list-size } f \ xs \leq \text{list-size } g \ xs$
by (*induct xs*) *force*+

43.6 Transfer

definition

$embed\text{-}list :: nat\ list \Rightarrow int\ list$

where

$embed\text{-}list\ l = map\ int\ l$

definition

$nat\text{-}list :: int\ list \Rightarrow bool$

where

$nat\text{-}list\ l = nat\text{-}set\ (set\ l)$

definition

$return\text{-}list :: int\ list \Rightarrow nat\ list$

where

$return\text{-}list\ l = map\ nat\ l$

lemma $transfer\text{-}nat\text{-}int\text{-}list\text{-}return\text{-}embed: nat\text{-}list\ l \longrightarrow$

$embed\text{-}list\ (return\text{-}list\ l) = l$

unfolding $embed\text{-}list\text{-}def\ return\text{-}list\text{-}def\ nat\text{-}list\text{-}def\ nat\text{-}set\text{-}def$

apply $(induct\ l)$

apply $auto$

done

lemma $transfer\text{-}nat\text{-}int\text{-}list\text{-}functions:$

$l\ @\ m = return\text{-}list\ (embed\text{-}list\ l\ @\ embed\text{-}list\ m)$

$[] = return\text{-}list\ []$

unfolding $return\text{-}list\text{-}def\ embed\text{-}list\text{-}def$

apply $auto$

apply $(induct\ l,\ auto)$

apply $(induct\ m,\ auto)$

done

43.7 Code generator

43.7.1 Setup

use $Tools/list\text{-}code.ML$

code-type $list$

$(SML\ -\ list)$

$(OCaml\ -\ list)$

$(Haskell\ ![(\ -)])$

$(Scala\ List[(\ -)])$

code-const Nil

$(SML\ [])$

$(OCaml\ [])$

$(Haskell\ [])$

$(Scala\ Nil)$


```
code-instance list :: eq
  (Haskell -)
```

```
code-const eq-class.eq :: 'a::eq list ⇒ 'a list ⇒ bool
  (Haskell infixl 4 ==)
```

```
code-reserved SML
  list
```

```
code-reserved OCaml
  list
```

```
types-code
  list (- list)
attach (term-of) ⟨⟨
  fun term-of-list f T = HOLogic.mk-list T o map f;
  ⟩⟩
attach (test) ⟨⟨
  fun gen-list' aG aT i j = frequency
    [(i, fn () =>
      let
        val (x, t) = aG j;
        val (xs, ts) = gen-list' aG aT (i-1) j
        in (x :: xs, fn () => HOLogic.cons-const aT $ t () $ ts ()) end),
      (1, fn () => ([], fn () => HOLogic.nil-const aT)))] ()
  and gen-list aG aT i = gen-list' aG aT i i;
  ⟩⟩
```

```
consts-code Cons ((- ::/ -))
```

```
setup ⟨⟨
  let
    fun list-codegen thy defs dep thynname b t gr =
      let
        val ts = HOLogic.dest-list t;
        val (-, gr') = Codegen.invoke-tycodegen thy defs dep thynname false
          (fastype-of t) gr;
        val (ps, gr'') = fold-map
          (Codegen.invoke-codegen thy defs dep thynname false) ts gr'
          in SOME (Pretty.list [] ps, gr'') end handle TERM - => NONE;
      in
        fold (List-Code.add-literal-list) [SML, OCaml, Haskell, Scala]
          #> Codegen.add-codegen list-codegen list-codegen
      end
  ⟩⟩
```

43.7.2 Generation of efficient code

definition $member :: 'a\ list \Rightarrow 'a \Rightarrow bool$ **where**
 $mem\text{-}iff\ [code\text{-}post]: member\ xs\ x \longleftrightarrow x \in set\ xs$

primrec

$null :: 'a\ list \Rightarrow bool$

where

$null\ [] = True$

$| null\ (x\#\!xs) = False$

primrec

$list\text{-}inter :: 'a\ list \Rightarrow 'a\ list \Rightarrow 'a\ list$

where

$list\text{-}inter\ []\ bs = []$

$| list\text{-}inter\ (a\#\!as)\ bs =$

$(if\ a \in set\ bs\ then\ a\ \#\ list\text{-}inter\ as\ bs\ else\ list\text{-}inter\ as\ bs)$

primrec

$list\text{-}all :: ('a \Rightarrow bool) \Rightarrow ('a\ list \Rightarrow bool)$

where

$list\text{-}all\ P\ [] = True$

$| list\text{-}all\ P\ (x\#\!xs) = (P\ x \wedge list\text{-}all\ P\ xs)$

primrec

$list\text{-}ex :: ('a \Rightarrow bool) \Rightarrow ('a\ list \Rightarrow bool)$

where

$list\text{-}ex\ P\ [] = False$

$| list\text{-}ex\ P\ (x\#\!xs) = (P\ x \vee list\text{-}ex\ P\ xs)$

primrec

$filtermap :: ('a \Rightarrow 'b\ option) \Rightarrow 'a\ list \Rightarrow 'b\ list$

where

$filtermap\ f\ [] = []$

$| filtermap\ f\ (x\#\!xs) =$

$(case\ f\ x\ of\ None \Rightarrow filtermap\ f\ xs$

$| Some\ y \Rightarrow y\ \#\ filtermap\ f\ xs)$

primrec

$map\text{-}filter :: ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'b\ list$

where

$map\text{-}filter\ f\ P\ [] = []$

$| map\text{-}filter\ f\ P\ (x\#\!xs) =$

$(if\ P\ x\ then\ f\ x\ \#\ map\text{-}filter\ f\ P\ xs\ else\ map\text{-}filter\ f\ P\ xs)$

primrec

$length\text{-}unique :: 'a\ list \Rightarrow nat$

where

$length\text{-}unique\ [] = 0$

$| length\text{-}unique\ (x\#\!xs) =$

(if $x \in \text{set } xs$ then $\text{length-unique } xs$ else $\text{Suc } (\text{length-unique } xs)$)

primrec

$\text{concat-map} :: ('a \Rightarrow 'b \text{ list}) \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list}$

where

$\text{concat-map } f \ [] = []$

$| \text{concat-map } f (x \# xs) = f x @ \text{concat-map } f xs$

Only use *member* for generating executable code. Otherwise use $x \in \text{set } xs$ instead — it is much easier to reason about. The same is true for *list-all* and *list-ex*: write $\forall x \in \text{set } xs$ and $\exists x \in \text{set } xs$ instead because the HOL quantifiers are already known to the automatic provers. In fact, the declarations in the code subsection make sure that \in , $\forall x \in \text{set } xs$ and $\exists x \in \text{set } xs$ are implemented efficiently.

Efficient emptiness check is implemented by *null*.

The functions *filtermap* and *map-filter* are just there to generate efficient code. Do not use them for modelling and proving.

lemma *rev-foldl-cons* [code]:

$\text{rev } xs = \text{foldl } (\lambda xs x. x \# xs) [] xs$

proof (induct xs)

case *Nil* **then show** ?case **by** *simp*

next

case *Cons*

{

fix $x \ xs \ ys$

have $\text{foldl } (\lambda xs x. x \# xs) \ ys \ xs @ [x]$

$= \text{foldl } (\lambda xs x. x \# xs) \ (ys @ [x]) \ xs$

by (induct xs arbitrary: ys) *auto*

}

note $aux = this$

show ?case **by** (induct xs) (auto simp add: *Cons aux*)

qed

lemmas *in-set-code* [code-unfold] = *mem-iff* [*symmetric*]

lemma *member-simps* [*simp*, *code*]:

$\text{member } (x \# xs) \ y \longleftrightarrow x = y \vee \text{member } xs \ y$

$\text{member } [] \ y \longleftrightarrow \text{False}$

by (auto simp add: *mem-iff*)

lemma *member-set*:

$\text{member} = \text{set}$

by (simp add: *expand-fun-eq mem-iff mem-def*)

abbreviation (input) *mem* :: $'a \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$ (**infixl** *mem* 55) **where**

$x \ \text{mem} \ xs \equiv \text{member } xs \ x$ — for backward compatibility

lemma *empty-null*:

$xs = [] \longleftrightarrow \text{null } xs$
by (*cases xs simp-all*)

lemma [*code-unfold*]:
 $\text{eq-class.eq } xs [] \longleftrightarrow \text{null } xs$
by (*simp add: eq empty-null*)

lemmas *null-empty* [*code-post*] =
empty-null [*symmetric*]

lemma *list-inter-conv*:
 $\text{set } (\text{list-inter } xs \ ys) = \text{set } xs \cap \text{set } ys$
by (*induct xs auto*)

lemma *list-all-iff* [*code-post*]:
 $\text{list-all } P \ xs \longleftrightarrow (\forall x \in \text{set } xs. P \ x)$
by (*induct xs auto*)

lemmas *list-ball-code* [*code-unfold*] = *list-all-iff* [*symmetric*]

lemma *list-all-append* [*simp*]:
 $\text{list-all } P \ (xs \ @ \ ys) \longleftrightarrow (\text{list-all } P \ xs \wedge \text{list-all } P \ ys)$
by (*induct xs auto*)

lemma *list-all-rev* [*simp*]:
 $\text{list-all } P \ (\text{rev } xs) \longleftrightarrow \text{list-all } P \ xs$
by (*simp add: list-all-iff*)

lemma *list-all-length*:
 $\text{list-all } P \ xs \longleftrightarrow (\forall n < \text{length } xs. P \ (xs \ ! \ n))$
unfolding *list-all-iff* **by** (*auto intro: all-nth-imp-all-set*)

lemma *list-ex-iff* [*code-post*]:
 $\text{list-ex } P \ xs \longleftrightarrow (\exists x \in \text{set } xs. P \ x)$
by (*induct xs simp-all*)

lemmas *list-bex-code* [*code-unfold*] =
list-ex-iff [*symmetric*]

lemma *list-ex-length*:
 $\text{list-ex } P \ xs \longleftrightarrow (\exists n < \text{length } xs. P \ (xs \ ! \ n))$
unfolding *list-ex-iff* *set-conv-nth* **by** *auto*

lemma *filtermap-conv*:
 $\text{filtermap } f \ xs = \text{map } (\lambda x. \text{the } (f \ x)) \ (\text{filter } (\lambda x. f \ x \neq \text{None}) \ xs)$
by (*induct xs*) (*simp-all split: option.split*)

lemma *map-filter-conv* [*simp*]:
 $\text{map-filter } f \ P \ xs = \text{map } f \ (\text{filter } P \ xs)$

by (*induct xs*) *auto*

lemma *length-remdups-length-unique* [*code-unfold*]:
 $\text{length } (\text{remdups } xs) = \text{length-unique } xs$
by (*induct xs*) *simp-all*

lemma *concat-map-code* [*code-unfold*]:
 $\text{concat}(\text{map } f \text{ } xs) = \text{concat-map } f \text{ } xs$
by (*induct xs*) *simp-all*

declare *INFI-def* [*code-unfold*]
declare *SUPR-def* [*code-unfold*]

declare *set-map* [*symmetric, code-unfold*]

hide-const (**open**) *length-unique*

Code for bounded quantification and summation over nats.

lemma *atMost-upto* [*code-unfold*]:
 $\{..n\} = \text{set } [0..<\text{Suc } n]$
by *auto*

lemma *atLeast-upt* [*code-unfold*]:
 $\{..<n\} = \text{set } [0..<n]$
by *auto*

lemma *greaterThanLessThan-upt* [*code-unfold*]:
 $\{n<..
by *auto*$

lemmas *atLeastLessThan-upt* [*code-unfold*] = *set-upt* [*symmetric*]

lemma *greaterThanAtMost-upt* [*code-unfold*]:
 $\{n<..
by *auto*$

lemma *atLeastAtMost-upt* [*code-unfold*]:
 $\{n..
by *auto*$

lemma *all-nat-less-eq* [*code-unfold*]:
 $(\forall m<n::\text{nat}. P \text{ } m) \longleftrightarrow (\forall m \in \{0..
by *auto*$

lemma *ex-nat-less-eq* [*code-unfold*]:
 $(\exists m<n::\text{nat}. P \text{ } m) \longleftrightarrow (\exists m \in \{0..
by *auto*$

lemma *all-nat-less* [*code-unfold*]:

$(\forall m \leq n :: \text{nat}. P\ m) \longleftrightarrow (\forall m \in \{0..n\}. P\ m)$
by *auto*

lemma *ex-nat-less* [code-unfold]:
 $(\exists m \leq n :: \text{nat}. P\ m) \longleftrightarrow (\exists m \in \{0..n\}. P\ m)$
by *auto*

lemma *setsum-set-distinct-conv-listsum*:
 $\text{distinct}\ xs \implies \text{setsum}\ f\ (\text{set}\ xs) = \text{listsum}\ (\text{map}\ f\ xs)$
by (*induct xs*) *simp-all*

lemma *setsum-set-upt-conv-listsum* [code-unfold]:
 $\text{setsum}\ f\ (\text{set}\ [m..<n]) = \text{listsum}\ (\text{map}\ f\ [m..<n])$
by (*rule setsum-set-distinct-conv-listsum*) *simp*

General equivalence between *listsum* and *setsum*

lemma *listsum-setsum-nth*:
 $\text{listsum}\ xs = (\sum\ i = 0 ..< \text{length}\ xs. xs\ !\ i)$
using *setsum-set-upt-conv-listsum*[*of op ! xs 0 length xs*]
by (*simp add: map-nth*)

Code for summation over ints.

lemma *greaterThanLessThan-upto* [code-unfold]:
 $\{i < ..< j :: \text{int}\} = \text{set}\ [i+1..j - 1]$
by *auto*

lemma *atLeastLessThan-upto* [code-unfold]:
 $\{i ..< j :: \text{int}\} = \text{set}\ [i..j - 1]$
by *auto*

lemma *greaterThanAtMost-upto* [code-unfold]:
 $\{i < ..j :: \text{int}\} = \text{set}\ [i+1..j]$
by *auto*

lemmas *atLeastAtMost-upto* [code-unfold] = *set-upto*[*symmetric*]

lemma *setsum-set-upto-conv-listsum* [code-unfold]:
 $\text{setsum}\ f\ (\text{set}\ [i..j :: \text{int}]) = \text{listsum}\ (\text{map}\ f\ [i..j])$
by (*rule setsum-set-distinct-conv-listsum*) *simp*

Optimized code for $\forall i \in \{a..b :: \text{int}\}$ and $\forall n : \{a..<b :: \text{nat}\}$ and similiarly for \exists .

function *all-from-to-nat* :: $(\text{nat} \Rightarrow \text{bool}) \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$ **where**
all-from-to-nat $P\ i\ j =$
 (*if* $i < j$ *then* *if* $P\ i$ *then* *all-from-to-nat* $P\ (i+1)\ j$ *else* *False*
 else *True*)
by *auto*
termination
by (*relation measure*($\%(P,i,j). j - i$)) *auto*

declare *all-from-to-nat.simps*[*simp del*]

lemma *all-from-to-nat-iff-ball*:

all-from-to-nat P i j = (ALL n : {i ..< j}. P n)

proof(*induct P i j rule:all-from-to-nat.induct*)

case (*1 P i j*)

let *?yes = i < j & P i*

show *?case*

proof (*cases*)

assume *?yes*

hence *all-from-to-nat P i j = (P i & all-from-to-nat P (i+1) j)*

by(*simp add: all-from-to-nat.simps*)

also have ... = (*P i & (ALL n : {i+1 ..< j}. P n)*) **using** (*?yes*) *1* **by** *simp*

also have ... = (*ALL n : {i ..< j}. P n*) (**is** *?L = ?R*)

proof

assume *L: ?L*

show *?R*

proof *clarify*

fix *n* **assume** *n: n : {i..<j}*

show *P n*

proof *cases*

assume *n = i* **thus** *P n* **using** *L* **by** *simp*

next

assume *n ~ = i*

hence *i+1 <= n* **using** *n* **by** *auto*

thus *P n* **using** *L n* **by** *simp*

qed

qed

next

assume *R: ?R* **thus** *?L* **using** (*?yes*) *1* **by** *auto*

qed

finally show *?thesis* .

next

assume *~ ?yes* **thus** *?thesis* **by**(*auto simp add: all-from-to-nat.simps*)

qed

qed

lemma *list-all-iff-all-from-to-nat[code-unfold]*:

list-all P [i..<j] = all-from-to-nat P i j

by(*simp add: all-from-to-nat-iff-ball list-all-iff*)

lemma *list-ex-iff-not-all-from-to-not-nat[code-unfold]*:

list-ex P [i..<j] = (~ all-from-to-nat (%x. ~ P x) i j)

by(*simp add: all-from-to-nat-iff-ball list-ex-iff*)

function *all-from-to-int* :: (*int* \Rightarrow *bool*) \Rightarrow *int* \Rightarrow *int* \Rightarrow *bool* **where**

```

all-from-to-int P i j =
  (if i <= j then if P i then all-from-to-int P (i+1) j else False
   else True)
by auto
termination
by (relation measure(?(P,i,j). nat(j - i + 1))) auto

declare all-from-to-int.simps[simp del]

lemma all-from-to-int-iff-ball:
  all-from-to-int P i j = (ALL n : {i .. j}. P n)
proof(induct P i j rule:all-from-to-int.induct)
  case (1 P i j)
  let ?yes = i <= j & P i
  show ?case
  proof (cases)
    assume ?yes
    hence all-from-to-int P i j = (P i & all-from-to-int P (i+1) j)
    by(simp add: all-from-to-int.simps)
    also have ... = (P i & (ALL n : {i+1 .. j}. P n)) using ⟨?yes⟩ 1 by simp
    also have ... = (ALL n : {i .. j}. P n) (is ?L = ?R)
    proof
      assume L: ?L
      show ?R
      proof clarify
        fix n assume n: n : {i..j}
        show P n
        proof cases
          assume n = i thus P n using L by simp
        next
          assume n ~ = i
          hence i+1 <= n using n by auto
          thus P n using L n by simp
        qed
      qed
    next
      assume R: ?R thus ?L using ⟨?yes⟩ 1 by auto
    qed
  finally show ?thesis .
next
  assume ~?yes thus ?thesis by(auto simp add: all-from-to-int.simps)
qed
qed

lemma list-all-iff-all-from-to-int[code-unfold]:
  list-all P [i..j] = all-from-to-int P i j
by(simp add: all-from-to-int-iff-ball list-all-iff)

lemma list-ex-iff-not-all-from-to-not-int[code-unfold]:

```



```

    list-ex P [i..j] = ( $\sim$  all-from-to-int ( $\%x.$   $\sim P$  x) i j)
  by(simp add: all-from-to-int-iff-ball list-ex-iff)

end

```

44 Random: A HOL random engine

```

theory Random
imports Code-Numeral List
begin

```

```

notation fcomp (infixl o> 60)
notation scomp (infixl o→ 60)

```

44.1 Auxiliary functions

```

fun log :: code-numeral  $\Rightarrow$  code-numeral  $\Rightarrow$  code-numeral where
  log b i = (if b  $\leq$  1  $\vee$  i < b then 1 else 1 + log b (i div b))

```

```

definition inc-shift :: code-numeral  $\Rightarrow$  code-numeral  $\Rightarrow$  code-numeral where
  inc-shift v k = (if v = k then 1 else k + 1)

```

```

definition minus-shift :: code-numeral  $\Rightarrow$  code-numeral  $\Rightarrow$  code-numeral  $\Rightarrow$  code-numeral
where
  minus-shift r k l = (if k < l then r + k - l else k - l)

```

44.2 Random seeds

```

types seed = code-numeral  $\times$  code-numeral

```

```

primrec next :: seed  $\Rightarrow$  code-numeral  $\times$  seed where
  next (v, w) = (let
    k = v div 53668;
    v' = minus-shift 2147483563 ((v mod 53668) * 40014) (k * 12211);
    l = w div 52774;
    w' = minus-shift 2147483399 ((w mod 52774) * 40692) (l * 3791);
    z = minus-shift 2147483562 v' (w' + 1) + 1
  in (z, (v', w')))

```

```

definition split-seed :: seed  $\Rightarrow$  seed  $\times$  seed where
  split-seed s = (let
    (v, w) = s;
    (v', w') = snd (next s);
    v'' = inc-shift 2147483562 v;
    w'' = inc-shift 2147483398 w
  in ((v'', w'), (v', w')))

```

44.3 Base selectors

fun *iterate* :: *code-numeral* \Rightarrow (*'b* \Rightarrow *'a* \Rightarrow *'b* \times *'a*) \Rightarrow *'b* \Rightarrow *'a* \Rightarrow *'b* \times *'a* **where**
iterate *k* *f* *x* = (if *k* = 0 then *Pair* *x* else *f* *x* $\circ\rightarrow$ *iterate* (*k* - 1) *f*)

definition *range* :: *code-numeral* \Rightarrow *seed* \Rightarrow *code-numeral* \times *seed* **where**
range *k* = *iterate* (*log* 2147483561 *k*)
 $(\lambda l. \text{next } \circ\rightarrow (\lambda v. \text{Pair } (v + l * 2147483561))) 1$
 $\circ\rightarrow (\lambda v. \text{Pair } (v \bmod k))$

lemma *range*:
 $k > 0 \implies \text{fst } (\text{range } k \ s) < k$
by (*simp* *add*: *range-def scomp-apply split-def del*: *log.simps iterate.simps*)

definition *select* :: *'a* *list* \Rightarrow *seed* \Rightarrow *'a* \times *seed* **where**
select *xs* = *range* (*Code-Numeral.of-nat* (*length* *xs*))
 $\circ\rightarrow (\lambda k. \text{Pair } (\text{nth } xs \ (\text{Code-Numeral.nat-of } k)))$

lemma *select*:
assumes *xs* $\neq []$
shows *fst* (*select* *xs* *s*) \in *set* *xs*

proof –
from *assms* **have** *Code-Numeral.of-nat* (*length* *xs*) > 0 **by** *simp*
with *range* **have**
 $\text{fst } (\text{range } (\text{Code-Numeral.of-nat } (\text{length } xs)) \ s) < \text{Code-Numeral.of-nat } (\text{length } xs)$ **by** *best*
then have
 $\text{Code-Numeral.nat-of } (\text{fst } (\text{range } (\text{Code-Numeral.of-nat } (\text{length } xs)) \ s)) < \text{length } xs$ **by** *simp*
then show *?thesis*
by (*simp* *add*: *scomp-apply split-beta select-def*)
qed

primrec *pick* :: (*code-numeral* \times *'a*) *list* \Rightarrow *code-numeral* \Rightarrow *'a* **where**
pick (*x* # *xs*) *i* = (if *i* < *fst* *x* then *snd* *x* else *pick* *xs* (*i* - *fst* *x*))

lemma *pick-member*:
 $i < \text{listsum } (\text{map } \text{fst } xs) \implies \text{pick } xs \ i \in \text{set } (\text{map } \text{snd } xs)$
by (*induct* *xs* *arbitrary*: *i*) *simp-all*

lemma *pick-drop-zero*:
 $\text{pick } (\text{filter } (\lambda(k, -). \ k > 0) \ xs) = \text{pick } xs$
by (*induct* *xs*) (*auto* *simp* *add*: *expand-fun-eq*)

lemma *pick-same*:
 $l < \text{length } xs \implies \text{Random.pick } (\text{map } (\text{Pair } 1) \ xs) \ (\text{Code-Numeral.of-nat } l) = \text{nth } xs \ l$
proof (*induct* *xs* *arbitrary*: *l*)
case *Nil* **then show** *?case* **by** *simp*
next

case (*Cons* *x xs*) **then show** ?*case* **by** (*cases* *l*) *simp-all*
qed

definition *select-weight* :: (*code-numeral* × 'a) *list* ⇒ *seed* ⇒ 'a × *seed* **where**
select-weight xs = range (listsum (map fst xs))
o → (λk. Pair (pick xs k))

lemma *select-weight-member*:
assumes $0 < \text{listsum } (\text{map } \text{fst } xs)$
shows $\text{fst } (\text{select-weight } xs \ s) \in \text{set } (\text{map } \text{snd } xs)$
proof –
from *range assms*
have $\text{fst } (\text{range } (\text{listsum } (\text{map } \text{fst } xs)) \ s) < \text{listsum } (\text{map } \text{fst } xs)$.
with *pick-member*
have $\text{pick } xs \ (\text{fst } (\text{range } (\text{listsum } (\text{map } \text{fst } xs)) \ s)) \in \text{set } (\text{map } \text{snd } xs)$.
then show ?*thesis* **by** (*simp add: select-weight-def scomp-def split-def*)
qed

lemma *select-weight-cons-zero*:
select-weight ((0, *x*) # *xs*) = *select-weight xs*
by (*simp add: select-weight-def*)

lemma *select-weight-drop-zero*:
select-weight (*filter* (λ(*k*, -). $k > 0$) *xs*) = *select-weight xs*
proof –
have $\text{listsum } (\text{map } \text{fst } [(k, -) \leftarrow xs \ . \ 0 < k]) = \text{listsum } (\text{map } \text{fst } xs)$
by (*induct xs*) *auto*
then show ?*thesis* **by** (*simp only: select-weight-def pick-drop-zero*)
qed

lemma *select-weight-select*:
assumes $xs \neq []$
shows *select-weight* (*map* (*Pair* 1) *xs*) = *select xs*
proof –
have *less*: $\bigwedge s. \text{fst } (\text{range } (\text{Code-Numeral.of-nat } (\text{length } xs)) \ s) < \text{Code-Numeral.of-nat } (\text{length } xs)$
using *assms* **by** (*intro range*) *simp*
moreover **have** $\text{listsum } (\text{map } \text{fst } (\text{map } (\text{Pair } 1) \ xs)) = \text{Code-Numeral.of-nat } (\text{length } xs)$
by (*induct xs*) *simp-all*
ultimately show ?*thesis*
by (*auto simp add: select-weight-def select-def scomp-def split-def*
expand-fun-eq pick-same [symmetric])
qed

44.4 ML interface

code-reflect *Random-Engine*
functions *range select select-weight*

```

ML ⟨⟨
  structure Random-Engine =
  struct

    open Random-Engine;

    type seed = int * int;

    local

      val seed = Unsynchronized.ref
        (let
          val now = Time.toMilliseconds (Time.now ());
          val (q, s1) = IntInf.divMod (now, 2147483562);
          val s2 = q mod 2147483398;
          in (s1 + 1, s2 + 1) end);

      in

        fun next-seed () =
          let
            val (seed1, seed') = @{code split-seed} (! seed)
            val - = seed := seed'
          in
            seed1
          end

        fun run f =
          let
            val (x, seed') = f (! seed);
            val - = seed := seed'
          in x end;

        end;

        end;

        ⟩⟩

    hide-type (open) seed
    hide-const (open) inc-shift minus-shift log next split-seed
      iterate range select pick select-weight
    hide-fact (open) range-def

    no-notation fcomp (infixl o> 60)
    no-notation scomp (infixl o→ 60)

  end

```

45 String: Character and string types

```

theory String
imports List
uses
  (Tools/string-syntax.ML)
  (Tools/string-code.ML)
begin

```

45.1 Characters

```

datatype nibble =
  Nibble0 | Nibble1 | Nibble2 | Nibble3 | Nibble4 | Nibble5 | Nibble6 | Nibble7
  | Nibble8 | Nibble9 | NibbleA | NibbleB | NibbleC | NibbleD | NibbleE | NibbleF

```

lemma *UNIV-nibble*:

$UNIV = \{Nibble0, Nibble1, Nibble2, Nibble3, Nibble4, Nibble5, Nibble6, Nibble7, Nibble8, Nibble9, NibbleA, NibbleB, NibbleC, NibbleD, NibbleE, NibbleF\}$ (is -
= ?A)

proof (*rule UNIV-eq-I*)

fix x **show** $x \in ?A$ **by** (*cases x simp-all*)
qed

instance *nibble* :: *finite*

by *default (simp add: UNIV-nibble)*

datatype *char* = *Char nibble nibble*

— Note: canonical order of character encoding coincides with standard term ordering

lemma *UNIV-char*:

$UNIV = \text{image } (\text{split } \text{Char}) (UNIV \times UNIV)$

proof (*rule UNIV-eq-I*)

fix x **show** $x \in \text{image } (\text{split } \text{Char}) (UNIV \times UNIV)$ **by** (*cases x auto*)
qed

instance *char* :: *finite*

by *default (simp add: UNIV-char)*

lemma *size-char* [*code, simp*]:

$\text{size } (c::\text{char}) = 0$ **by** (*cases c simp*)

lemma *char-size* [*code, simp*]:

$\text{char-size } (c::\text{char}) = 0$ **by** (*cases c simp*)

primrec *nibble-pair-of-char* :: *char* \Rightarrow *nibble* \times *nibble* **where**

nibble-pair-of-char (*Char n m*) = (n, m)

```

setup <<
  let
    val nibbles = map-range (Thm.ctrm-of @{theory} o HOLogic.mk-nibble) 16;
    val thms = map-product
      (fn n => fn m => Drule.instantiate' [] [SOME n, SOME m] @{thm nibble-pair-of-char.simps})
      nibbles nibbles;
  in
    PureThy.note-thmss Thm.definitionK [((Binding.name nibble-pair-of-char.simps,
      []), [(thms, [])])]
    #-> (fn [(-, thms)] => fold-rev Code.add-eqn thms)
  end
>>

```

```

lemma char-case-nibble-pair [code, code-unfold]:
  char-case f = split f o nibble-pair-of-char
  by (simp add: expand-fun-eq split: char.split)

```

```

lemma char-rec-nibble-pair [code, code-unfold]:
  char-rec f = split f o nibble-pair-of-char
  unfolding char-case-nibble-pair [symmetric]
  by (simp add: expand-fun-eq split: char.split)

```

```

syntax
  -Char :: xstr => char    (CHR -)

```

45.2 Strings

```

types string = char list

```

```

syntax
  -String :: xstr => string    (-)

```

```

use Tools/string-syntax.ML
setup String-Syntax.setup

```

```

definition chars :: string where
  chars = [Char Nibble0 Nibble0, Char Nibble0 Nibble1, Char Nibble0 Nibble2,
    Char Nibble0 Nibble3, Char Nibble0 Nibble4, Char Nibble0 Nibble5,
    Char Nibble0 Nibble6, Char Nibble0 Nibble7, Char Nibble0 Nibble8,
    Char Nibble0 Nibble9, Char Nibble0 NibbleA, Char Nibble0 NibbleB,
    Char Nibble0 NibbleC, Char Nibble0 NibbleD, Char Nibble0 NibbleE,
    Char Nibble0 NibbleF, Char Nibble1 Nibble0, Char Nibble1 Nibble1,
    Char Nibble1 Nibble2, Char Nibble1 Nibble3, Char Nibble1 Nibble4,
    Char Nibble1 Nibble5, Char Nibble1 Nibble6, Char Nibble1 Nibble7,
    Char Nibble1 Nibble8, Char Nibble1 Nibble9, Char Nibble1 NibbleA,
    Char Nibble1 NibbleB, Char Nibble1 NibbleC, Char Nibble1 NibbleD,
    Char Nibble1 NibbleE, Char Nibble1 NibbleF, CHR " ", CHR "!",
    Char Nibble2 Nibble2, CHR "#", CHR "$", CHR "%", CHR "&",

```

Char Nibble2 Nibble7, CHR "(" , CHR ")" , CHR "*" , CHR "+" , CHR ";" ,
 CHR "-" , CHR ":" , CHR "/" , CHR "0" , CHR "1" , CHR "2" , CHR "3" ,
 CHR "4" , CHR "5" , CHR "6" , CHR "7" , CHR "8" , CHR "9" , CHR ":" ,
 CHR ";" , CHR "<" , CHR "=" , CHR ">" , CHR "?" , CHR "@" , CHR "A" ,
 CHR "B" , CHR "C" , CHR "D" , CHR "E" , CHR "F" , CHR "G" , CHR "H" ,
 CHR "I" , CHR "J" , CHR "K" , CHR "L" , CHR "M" , CHR "N" , CHR "O" ,
 CHR "P" , CHR "Q" , CHR "R" , CHR "S" , CHR "T" , CHR "U" , CHR "V" ,
 CHR "W" , CHR "X" , CHR "Y" , CHR "Z" , CHR "[" , Char Nibble5 NibbleC ,
 CHR "]" , CHR "^" , CHR "_" , Char Nibble6 Nibble0 , CHR "a" , CHR "b" ,
 CHR "c" , CHR "d" , CHR "e" , CHR "f" , CHR "g" , CHR "h" , CHR "i" ,
 CHR "j" , CHR "k" , CHR "l" , CHR "m" , CHR "n" , CHR "o" , CHR "p" ,
 CHR "q" , CHR "r" , CHR "s" , CHR "t" , CHR "u" , CHR "v" , CHR "w" ,
 CHR "x" , CHR "y" , CHR "z" , CHR "{" , CHR "|" , CHR "}" , CHR "~" ,
 Char Nibble7 NibbleF , Char Nibble8 Nibble0 , Char Nibble8 Nibble1 ,
 Char Nibble8 Nibble2 , Char Nibble8 Nibble3 , Char Nibble8 Nibble4 ,
 Char Nibble8 Nibble5 , Char Nibble8 Nibble6 , Char Nibble8 Nibble7 ,
 Char Nibble8 Nibble8 , Char Nibble8 Nibble9 , Char Nibble8 NibbleA ,
 Char Nibble8 NibbleB , Char Nibble8 NibbleC , Char Nibble8 NibbleD ,
 Char Nibble8 NibbleE , Char Nibble8 NibbleF , Char Nibble9 Nibble0 ,
 Char Nibble9 Nibble1 , Char Nibble9 Nibble2 , Char Nibble9 Nibble3 ,
 Char Nibble9 Nibble4 , Char Nibble9 Nibble5 , Char Nibble9 Nibble6 ,
 Char Nibble9 Nibble7 , Char Nibble9 Nibble8 , Char Nibble9 Nibble9 ,
 Char Nibble9 NibbleA , Char Nibble9 NibbleB , Char Nibble9 NibbleC ,
 Char Nibble9 NibbleD , Char Nibble9 NibbleE , Char Nibble9 NibbleF ,
 Char NibbleA Nibble0 , Char NibbleA Nibble1 , Char NibbleA Nibble2 ,
 Char NibbleA Nibble3 , Char NibbleA Nibble4 , Char NibbleA Nibble5 ,
 Char NibbleA Nibble6 , Char NibbleA Nibble7 , Char NibbleA Nibble8 ,
 Char NibbleA Nibble9 , Char NibbleA NibbleA , Char NibbleA NibbleB ,
 Char NibbleA NibbleC , Char NibbleA NibbleD , Char NibbleA NibbleE ,
 Char NibbleA NibbleF , Char NibbleB Nibble0 , Char NibbleB Nibble1 ,
 Char NibbleB Nibble2 , Char NibbleB Nibble3 , Char NibbleB Nibble4 ,
 Char NibbleB Nibble5 , Char NibbleB Nibble6 , Char NibbleB Nibble7 ,
 Char NibbleB Nibble8 , Char NibbleB Nibble9 , Char NibbleB NibbleA ,
 Char NibbleB NibbleB , Char NibbleB NibbleC , Char NibbleB NibbleD ,
 Char NibbleB NibbleE , Char NibbleB NibbleF , Char NibbleC Nibble0 ,
 Char NibbleC Nibble1 , Char NibbleC Nibble2 , Char NibbleC Nibble3 ,
 Char NibbleC Nibble4 , Char NibbleC Nibble5 , Char NibbleC Nibble6 ,
 Char NibbleC Nibble7 , Char NibbleC Nibble8 , Char NibbleC Nibble9 ,
 Char NibbleC NibbleA , Char NibbleC NibbleB , Char NibbleC NibbleC ,
 Char NibbleC NibbleD , Char NibbleC NibbleE , Char NibbleC NibbleF ,
 Char NibbleD Nibble0 , Char NibbleD Nibble1 , Char NibbleD Nibble2 ,
 Char NibbleD Nibble3 , Char NibbleD Nibble4 , Char NibbleD Nibble5 ,
 Char NibbleD Nibble6 , Char NibbleD Nibble7 , Char NibbleD Nibble8 ,
 Char NibbleD Nibble9 , Char NibbleD NibbleA , Char NibbleD NibbleB ,
 Char NibbleD NibbleC , Char NibbleD NibbleD , Char NibbleD NibbleE ,
 Char NibbleD NibbleF , Char NibbleE Nibble0 , Char NibbleE Nibble1 ,
 Char NibbleE Nibble2 , Char NibbleE Nibble3 , Char NibbleE Nibble4 ,
 Char NibbleE Nibble5 , Char NibbleE Nibble6 , Char NibbleE Nibble7 ,
 Char NibbleE Nibble8 , Char NibbleE Nibble9 , Char NibbleE NibbleA ,

```

Char NibbleE NibbleB, Char NibbleE NibbleC, Char NibbleE NibbleD,
Char NibbleE NibbleE, Char NibbleE NibbleF, Char NibbleF Nibble0,
Char NibbleF Nibble1, Char NibbleF Nibble2, Char NibbleF Nibble3,
Char NibbleF Nibble4, Char NibbleF Nibble5, Char NibbleF Nibble6,
Char NibbleF Nibble7, Char NibbleF Nibble8, Char NibbleF Nibble9,
Char NibbleF NibbleA, Char NibbleF NibbleB, Char NibbleF NibbleC,
Char NibbleF NibbleD, Char NibbleF NibbleE, Char NibbleF NibbleF]

```

45.3 Strings as dedicated datatype

datatype *literal* = *STR string*

declare *literal.cases* [*code del*] *literal.recs* [*code del*]

lemma [*code*]: *size (s::literal) = 0*
by (*cases s*) *simp-all*

lemma [*code*]: *literal-size (s::literal) = 0*
by (*cases s*) *simp-all*

45.4 Code generator

use *Tools/string-code.ML*

code-reserved *SML string*

code-reserved *OCaml string*

code-reserved *Scala string*

code-type *literal*
(SML string)
(OCaml string)
(Haskell String)
(Scala String)

setup ⟨⟨
fold String-Code.add-literal-string [SML, OCaml, Haskell, Scala]
 ⟩⟩

code-instance *literal* :: *eq*
(Haskell −)

code-const *eq-class.eq* :: *literal* ⇒ *literal* ⇒ *bool*
(SML !((- : string) = -))
(OCaml !((- : string) = -))
(Haskell infixl 4 ==)
(Scala infixl 5 ==)

types-code

char (string)

attach (*term-of*) ⟨⟨


```

val term-of-char = HOLogic.mk-char o ord;
>>
attach (test) <<
fun gen-char i =
  let val j = random-range (ord a) (Int.min (ord a + i, ord z))
  in (chr j, fn () => HOLogic.mk-char j) end;
>>

setup <<
let

fun char-codegen thy defs dep thynome b t gr =
  let
    val i = HOLogic.dest-char t;
    val (-, gr') = Codegen.invoke-tycodegen thy defs dep thynome false
      (fastype-of t) gr;
  in SOME (Codegen.str (ML-Syntax.print-string (chr i)), gr')
  end handle TERM - => NONE;

in Codegen.add-codegen char-codegen char-codegen end
>>

hide-type (open) literal

end

```

46 Typerep: Reflecting Pure types into HOL

```

theory Typerep
imports Plain String
begin

datatype typerep = Typerep String.literal typerep list

class typerep =
  fixes typerep :: 'a itself => typerep
begin

definition typerep-of :: 'a => typerep where
  [simp]: typerep-of x = typerep TYPE('a)

end

syntax
  -TYPEREP :: type => logic ((1TYPEREP/(1'(-))))

parse-translation <<
let
  fun typerep-tr (*-TYPEREP*) [ty] =

```

```

    Syntax.const @{const-syntax typerep} $
      (Syntax.const @{syntax-const -constrain} $ Syntax.const @{const-syntax
TYPE} $
      (Syntax.const @{type-syntax itself} $ ty))
    | typerep-tr (*-TYPEREP*) ts = raise TERM (typerep-tr, ts);
in [(@{syntax-const -TYPEREP}, typerep-tr)] end
>>

```

typed-print-translation <<

```

let
  fun typerep-tr' show-sorts (*typerep*)
    (Type (@{type-name fun}, [Type (@{type-name itself}, [T]), -]))
    (Const (@{const-syntax TYPE}, -) :: ts) =
    Term.list-comb
      (Syntax.const @{syntax-const -TYPEREP} $ Syntax.term-of-typ show-sorts
T, ts)
    | typerep-tr' - T ts = raise Match;
in [(@{const-syntax typerep}, typerep-tr')] end
>>

```

setup <<

```

let
  fun add-typerep tyco thy =
    let
      val sorts = replicate (Sign.arity-number thy tyco) @{sort typerep};
      val vs = Name.names Name.context 'a sorts;
      val ty = Type (tyco, map TFree vs);
      val lhs = Const (@{const-name typerep}, Term.itselfT ty --> @{typ typerep})
        $ Free (T, Term.itselfT ty);
      val rhs = @{term Typerep} $ HOLogic.mk-literal tyco
        $ HOLogic.mk-list @{typ typerep} (map (HOLogic.mk-typerep o TFree) vs);
      val eq = HOLogic.mk-Trueprop (HOLogic.mk-eq (lhs, rhs));
    in
      thy
      |> Theory-Target.instantiation ([tyco], vs, @{sort typerep})
      |> '(fn lthy => Syntax.check-term lthy eq)
      |-> (fn eq => Specification.definition (NONE, (Attrib.empty-binding, eq)))
      |> snd
      |> Class.prove-instantiation-exit (K (Class.intro-classes-tac []))
    end;

  fun ensure-typerep tyco thy = if not (can (Sorts.mg-domain (Sign.classes-of thy)
tyco) @{sort typerep})
    andalso can (Sorts.mg-domain (Sign.classes-of thy) tyco) @{sort type}
    then add-typerep tyco thy else thy;

```

in

```

add-typerrep @{type-name fun}
#> Typedef.interpretation ensure-typerrep
#> Code.datatype-interpretation (ensure-typerrep o fst)
#> Code.abstype-interpretation (ensure-typerrep o fst)

end
>>

lemma [code]:
  eq-class.eq (Typerrep tyco1 tys1) (Typerrep tyco2 tys2)  $\longleftrightarrow$  eq-class.eq tyco1 tyco2
   $\wedge$  list-all2 eq-class.eq tys1 tys2
  by (auto simp add: equals-eq [symmetric] list-all2-eq [symmetric])

code-type typerrep
  (Eval Term.typ)

code-const typerrep
  (Eval Term.Type / (-, -))

code-reserved Eval Term

hide-const (open) typerrep typerrep

end

```

47 Code-Evaluation: Term evaluation using the generic code generator

```

theory Code-Evaluation
imports Plain typerrep Code-Numeral
begin

```

47.1 Term representation

47.1.1 Terms and class *term-of*

```
datatype term = dummy-term
```

```
definition Const :: String.literal  $\Rightarrow$  typerrep  $\Rightarrow$  term where
  Const - - = dummy-term
```

```
definition App :: term  $\Rightarrow$  term  $\Rightarrow$  term where
  App - - = dummy-term
```

```
code-datatype Const App
```

```
class term-of = typerrep +
  fixes term-of :: 'a  $\Rightarrow$  term
```

lemma *term-of-anything*: $\text{term-of } x \equiv t$
by (*rule eq-reflection*) (*cases term-of x, cases t, simp*)

definition *valapp* :: $('a \Rightarrow 'b) \times (\text{unit} \Rightarrow \text{term})$
 $\Rightarrow 'a \times (\text{unit} \Rightarrow \text{term}) \Rightarrow 'b \times (\text{unit} \Rightarrow \text{term})$ **where**
valapp *f* *x* = (*fst* *f* (*fst* *x*), $\lambda u. \text{App } (\text{snd } f \ ()) (\text{snd } x \ ())$)

lemma *valapp-code* [*code, code-unfold*]:
valapp (*f*, *tf*) (*x*, *tx*) = (*f* *x*, $\lambda u. \text{App } (\text{tf } ()) (\text{tx } ())$)
by (*simp only: valapp-def fst-conv snd-conv*)

47.1.2 *term-of* instances

instantiation *fun* :: (*typerep, typerep*) *term-of*
begin

definition
 $\text{term-of } (f :: 'a \Rightarrow 'b) = \text{Const } (\text{STR } \text{"dummy-pattern"}) (\text{Typerep.Typerep } (\text{STR } \text{"fun"}))$
 $[\text{Typerep.typerep } \text{TYPE('a)}, \text{Typerep.typerep } \text{TYPE('b)}]]$

instance ..

end

setup \ll
let
fun *add-term-of* *tyco* *raw-vs* *thy* =
let
 $\text{val } vs = \text{map } (\text{fn } (v, -) \Rightarrow (v, @\{\text{sort } \text{typerep}\})) \text{ raw-vs};$
 $\text{val } ty = \text{Type } (\text{tyco}, \text{map } T\text{Free } vs);$
 $\text{val } lhs = \text{Const } (@\{\text{const-name } \text{term-of}\}, ty \longrightarrow @\{\text{typ } \text{term}\})$
 $\quad \$ \text{Free } (x, ty);$
 $\text{val } rhs = @\{\text{term } \text{undefined} :: \text{term}\};$
 $\text{val } eq = \text{HOLogic.mk-Trueprop } (\text{HOLogic.mk-eq } (lhs, rhs));$
 $\text{fun } \text{triv-name-of } t = (\text{fst } o \text{dest-Free } o \text{fst } o \text{strip-comb } o \text{fst}$
 $\quad o \text{HOLogic.dest-eq } o \text{HOLogic.dest-Trueprop}) t \wedge \text{-triv};$
in
 thy
 $|> \text{Theory-Target.instantiation } ([\text{tyco}], vs, @\{\text{sort } \text{term-of}\})$
 $|> '(\text{fn } lthy \Rightarrow \text{Syntax.check-term } lthy \text{ eq})$
 $|> (\text{fn } eq \Rightarrow \text{Specification.definition } (\text{NONE}, ((\text{Binding.name } (\text{triv-name-of}$
 $\text{eq}), []), \text{eq})))$
 $|> \text{snd}$
 $|> \text{Class.prove-instantiation-exit } (K (\text{Class.intro-classes-tac } []))$
end;
fun *ensure-term-of* (*tyco*, (*raw-vs*, -)) *thy* =
let

```

    val need-inst = not (can (Sorts.mg-domain (Sign.classes-of thy) tyco) @{\sort
term-of})
    andalso can (Sorts.mg-domain (Sign.classes-of thy) tyco) @{\sort typerep};
    in if need-inst then add-term-of tyco raw-vs thy else thy end;
in
  Code.datatype-interpretation ensure-term-of
  #> Code.abstype-interpretation ensure-term-of
end
>>

setup <<
let
  fun mk-term-of-eq thy ty vs tyco (c, tys) =
    let
      val t = list-comb (Const (c, tys ----> ty),
        map Free (Name.names Name.context a tys));
      val (arg, rhs) =
        pairself (Thm.cterm-of thy o map-types Logic.unvarifyT-global o Logic.varify-global)
          (t, (map-aterms (fn t as Free (v, ty) => HOLogic.mk-term-of ty t | t =>
t) o HOLogic.reflect-term) t)
      val cty = Thm.ctyp-of thy ty;
    in
      @{\thm term-of-anything}
      |> Drule.instantiate' [SOME cty] [SOME arg, SOME rhs]
      |> Thm.varifyT-global
    end;
  fun add-term-of-code tyco raw-vs raw-cs thy =
    let
      val algebra = Sign.classes-of thy;
      val vs = map (fn (v, sort) =>
        (v, curry (Sorts.inter-sort algebra) @{\sort typerep} sort)) raw-vs;
      val ty = Type (tyco, map TFree vs);
      val cs = (map o apsnd o map o map-atyps)
        (fn TFree (v, -) => TFree (v, (the o AList.lookup (op =) vs) v)) raw-cs;
      val const = AxCClass.param-of-inst thy (@{\const-name term-of}, tyco);
      val eqs = map (mk-term-of-eq thy ty vs tyco) cs;
    in
      thy
      |> Code.del-eqns const
      |> fold Code.add-eqn eqs
    end;
  fun ensure-term-of-code (tyco, (raw-vs, cs)) thy =
    let
      val has-inst = can (Sorts.mg-domain (Sign.classes-of thy) tyco) @{\sort
term-of};
      in if has-inst then add-term-of-code tyco raw-vs cs thy else thy end;
    in
      Code.datatype-interpretation ensure-term-of-code
    end
end

```

```

>>

setup <<
  let
    fun mk-term-of-eq thy ty vs tyco abs ty-rep proj =
      let
        val arg = Var ((x, 0), ty);
        val rhs = Abs (y, @{typ term}, HOLogic.reflect-term (Const (abs, ty-rep
--> ty) $ Bound 0)) $
          (HOLogic.mk-term-of ty-rep (Const (proj, ty --> ty-rep) $ arg))
          |> Thm.ctrm-of thy;
        val cty = Thm.ctyp-of thy ty;
      in
        @{thm term-of-anything}
        |> Drule.instantiate' [SOME cty] [SOME (Thm.ctrm-of thy arg), SOME rhs]
        |> Thm.varifyT-global
      end;
    fun add-term-of-code tyco raw-vs abs raw-ty-rep proj thy =
      let
        val algebra = Sign.classes-of thy;
        val vs = map (fn (v, sort) =>
          (v, curry (Sorts.inter-sort algebra) @{sort typerep} sort)) raw-vs;
        val ty = Type (tyco, map TFree vs);
        val ty-rep = map-atyps
          (fn TFree (v, -) => TFree (v, (the o AList.lookup (op =) vs) v)) raw-ty-rep;
        val const = AxClass.param-of-inst thy (@{const-name term-of}, tyco);
        val eq = mk-term-of-eq thy ty vs tyco abs ty-rep proj;
      in
        thy
        |> Code.del-eqns const
        |> Code.add-eqn eq
      end;
    fun ensure-term-of-code (tyco, (raw-vs, ((abs, ty), (proj, -)))) thy =
      let
        val has-inst = can (Sorts.mg-domain (Sign.classes-of thy) tyco) @{sort
term-of};
        in if has-inst then add-term-of-code tyco raw-vs abs ty proj thy else thy end;
      in
        Code.abstype-interpretation ensure-term-of-code
      end
    >>

```

47.1.3 Code generator setup

```

lemmas [code del] = term.recs term.cases term.size
lemma [code, code del]: eq-class.eq (t1::term) t2 ⟷ eq-class.eq t1 t2 ..

lemma [code, code del]: (term-of :: typerep ⇒ term) = term-of ..
lemma [code, code del]: (term-of :: term ⇒ term) = term-of ..

```

```

lemma [code, code del]: (term-of :: String.literal  $\Rightarrow$  term) = term-of ..
lemma [code, code del]:
  (Code-Evaluation.term-of :: 'a::{type, term-of} Predicate.pred  $\Rightarrow$  Code-Evaluation.term)
= Code-Evaluation.term-of ..
lemma [code, code del]:
  (Code-Evaluation.term-of :: 'a::{type, term-of} Predicate.seq  $\Rightarrow$  Code-Evaluation.term)
= Code-Evaluation.term-of ..

```

```

lemma term-of-char [unfolded typerep-fun-def typerep-char-def typerep-nibble-def,
code]: Code-Evaluation.term-of c =
  (let (n, m) = nibble-pair-of-char c
   in Code-Evaluation.App (Code-Evaluation.App (Code-Evaluation.Const (STR
"String.char.Char")) (TYPEREP(nibble  $\Rightarrow$  nibble  $\Rightarrow$  char)))
  (Code-Evaluation.term-of n)) (Code-Evaluation.term-of m))
by (subst term-of-anything) rule

```

```

code-type term
(Eval Term.term)

```

```

code-const Const and App
(Eval Term.Const/ ((-), (-)) and Term.$/ ((-), (-)))

```

```

code-const term-of :: String.literal  $\Rightarrow$  term
(Eval HOLogic.mk'-literal)

```

```

code-reserved Eval HOLogic

```

47.1.4 Syntax

```

definition termify :: 'a  $\Rightarrow$  term where
[code del]: termify x = dummy-term

```

```

abbreviation valtermify :: 'a  $\Rightarrow$  'a  $\times$  (unit  $\Rightarrow$  term) where
valtermify x  $\equiv$  (x,  $\lambda u.$  termify x)

```

```

setup <<
let
  fun map-default f xs =
    let val ys = map f xs
    in if exists is-some ys
      then SOME (map2 the-default xs ys)
      else NONE
    end;
  fun subst-termify-app (Const (@{const-name termify}, T), [t]) =
    if not (Term.has-abs t)
    then if fold-aterms (fn Const - => I | - => K false) t true
      then SOME (HOLogic.reflect-term t)
      else error Cannot termify expression containing variables
    else error Cannot termify expression containing abstraction

```

```

| subst-termify-app (t, ts) = case map-default subst-termify ts
  of SOME ts' => SOME (list-comb (t, ts'))
  | NONE => NONE
and subst-termify (Abs (v, T, t)) = (case subst-termify t
  of SOME t' => SOME (Abs (v, T, t'))
  | NONE => NONE)
| subst-termify t = subst-termify-app (strip-comb t)
fun check-termify ts ctxt = map-default subst-termify ts
  |> Option.map (rpair ctxt)
in
  Context.theory-map (Syntax.add-term-check 0 termify check-termify)
end;
>>

```

locale *term-syntax*
begin

notation *App* (infixl $<\cdot>$ 70)
 and *valapp* (infixl $\{\cdot\}$ 70)

end

interpretation *term-syntax* .

no-notation *App* (infixl $<\cdot>$ 70)
 and *valapp* (infixl $\{\cdot\}$ 70)

47.2 Numeric types

definition *term-of-num* :: 'a::{semiring-div} \Rightarrow 'a::{semiring-div} \Rightarrow *term* **where**
term-of-num two = (λ -. *dummy-term*)

lemma (in *term-syntax*) *term-of-num-code* [code]:
term-of-num two *k* = (if *k* = 0 then *termify Int.Pl*
 else (if *k* mod two = 0
 then *termify Int.Bit0* $<\cdot>$ *term-of-num two* (*k* div two)
 else *termify Int.Bit1* $<\cdot>$ *term-of-num two* (*k* div two)))
by (auto simp add: *term-of-anything Const-def App-def term-of-num-def Let-def*)

lemma (in *term-syntax*) *term-of-nat-code* [code]:
term-of (*n*::nat) = *termify* (*number-of* :: int \Rightarrow nat) $<\cdot>$ *term-of-num* (2::nat)
n
by (*simp only: term-of-anything*)

lemma (in *term-syntax*) *term-of-int-code* [code]:
term-of (*k*::int) = (if *k* = 0 then *termify* (0 :: int)
 else if *k* > 0 then *termify* (*number-of* :: int \Rightarrow int) $<\cdot>$ *term-of-num* (2::int) *k*
 else *termify* (*uminus* :: int \Rightarrow int) $<\cdot>$ (*termify* (*number-of* :: int \Rightarrow int)
 $<\cdot>$ *term-of-num* (2::int) ($-$ *k*)))

by (*simp only: term-of-anything*)

lemma (**in** *term-syntax*) *term-of-code-numeral-code* [*code*]:

term-of (*k*::*code-numeral*) = *termify* (*number-of* :: *int* \Rightarrow *code-numeral*) *<·>*

term-of-num (*2*::*code-numeral*) *k*

by (*simp only: term-of-anything*)

47.3 Obfuscate

print-translation $\langle\langle$

let

val term = *Const* (*<TERM>*, *dummyT*);

fun tr1' [*-*, *-*] = *term*;

fun tr2' [] = *term*;

in

[(*@{const-syntax Const}*, *tr1'*),

(*@{const-syntax App}*, *tr1'*),

(*@{const-syntax dummy-term}*, *tr2'*)]

end

$\rangle\rangle$

hide-const *dummy-term App valapp*

hide-const (**open**) *Const termify valtermify term-of term-of-num*

47.4 Tracing of generated and evaluated code

definition *tracing* :: *String.literal* \Rightarrow *'a* \Rightarrow *'a*

where

[*code del*]: *tracing s x* = *x*

ML $\langle\langle$

structure Code-Evaluation =

struct

fun tracing s x = (*Output.tracing s*; *x*)

end

$\rangle\rangle$

code-const *tracing* :: *String.literal* \Rightarrow *'a* \Rightarrow *'a*

(*Eval Code'-Evaluation.tracing*)

hide-const (**open**) *tracing*

code-reserved *Eval Code-Evaluation*

47.5 Evaluation setup

ML $\langle\langle$

signature EVAL =

sig

```

    val eval-ref: (unit -> term) option Unsynchronized.ref
    val eval-term: theory -> term -> term
end;

structure Eval : EVAL =
struct

    val eval-ref = Unsynchronized.ref (NONE : (unit -> term) option);

    fun eval-term thy t =
      Code-Eval.eval NONE (Eval.eval-ref, eval-ref) I thy (HOLogic.mk-term-of (fastype-of
t) t) [];

end;
>>

setup <<
  Value.add-evaluator (code, Eval.eval-term o ProofContext.theory-of)
>>

end

```

48 Quickcheck: A simple counterexample generator

```

theory Quickcheck
imports Random Code-Evaluation
uses (Tools/quickcheck-generators.ML)
begin

```

```

notation fcomp (infixl o> 60)
notation scomp (infixl o→ 60)

```

48.1 The *random* class

```

class random = typerep +
  fixes random :: code-numeral ⇒ Random.seed ⇒ ('a × (unit ⇒ term)) × Random.seed

```

48.2 Fundamental and numeric types

```

instantiation bool :: random
begin

```

```

definition
  random i = Random.range 2 o→
    (λk. Pair (if k = 0 then Code-Evaluation.valtermify False else Code-Evaluation.valtermify
True))

```

instance ..

end

instantiation *itself* :: (*typerep*) *random*
begin

definition *random-itself* :: *code-numeral* \Rightarrow *Random.seed* \Rightarrow (*'a itself* \times (*unit* \Rightarrow *term*)) \times *Random.seed* **where**
random-itself - = *Pair* (*Code-Evaluation.valtermify* *TYPE('a)*)

instance ..

end

instantiation *char* :: *random*
begin

definition
random - = *Random.select chars* $\circ \rightarrow$ ($\lambda c.$ *Pair* (*c*, $\lambda u.$ *Code-Evaluation.term-of* *c*))

instance ..

end

instantiation *String.literal* :: *random*
begin

definition
random - = *Pair* (*STR ""*, $\lambda u.$ *Code-Evaluation.term-of* (*STR ""*))

instance ..

end

instantiation *nat* :: *random*
begin

definition *random-nat* :: *code-numeral* \Rightarrow *Random.seed* \Rightarrow (*nat* \times (*unit* \Rightarrow *Code-Evaluation.term*)) \times *Random.seed* **where**
random-nat *i* = *Random.range* (*i* + 1) $\circ \rightarrow$ ($\lambda k.$ *Pair* (
let *n* = *Code-Numeral.nat-of* *k*
in (*n*, $\lambda -.$ *Code-Evaluation.term-of* *n*)))

instance ..

end

instantiation *int* :: *random*
begin

definition
random i = *Random.range* ($2 * i + 1$) *o*→ ($\lambda k.$ *Pair* (
 let j = (*if* $k \geq i$ *then* *Code-Numeral.int-of* ($k - i$) *else* $- \text{Code-Numeral.int-of}$
 ($i - k$))
 in (*j*, $\lambda-. \text{Code-Evaluation.term-of } j$)))

instance ..

end

48.3 Complex generators

Towards $'a \Rightarrow 'b$

axiomatization *random-fun-aux* :: *typerep* \Rightarrow *typerep* \Rightarrow ($'a \Rightarrow 'a \Rightarrow \text{bool}$) \Rightarrow ($'a$
 $\Rightarrow \text{term}$)
 \Rightarrow (*Random.seed* \Rightarrow ($'b \times (\text{unit} \Rightarrow \text{term})$) \times *Random.seed*) \Rightarrow (*Random.seed* \Rightarrow
Random.seed \times *Random.seed*)
 \Rightarrow *Random.seed* \Rightarrow ($(('a \Rightarrow 'b) \times (\text{unit} \Rightarrow \text{term})) \times \text{Random.seed}$

definition *random-fun-lift* :: (*Random.seed* \Rightarrow ($'b \times (\text{unit} \Rightarrow \text{term})$) \times *Random.seed*)
 \Rightarrow *Random.seed* \Rightarrow ($(('a::\text{term-of} \Rightarrow 'b::\text{typerep}) \times (\text{unit} \Rightarrow \text{term})) \times \text{Random.seed}$
where
random-fun-lift f = *random-fun-aux* *TYPEREP*('a) *TYPEREP*('b) (*op* =) *Code-Evaluation.term-of*
f *Random.split-seed*

instantiation *fun* :: ($\{\text{eq}, \text{term-of}\}$, *random*) *random*
begin

definition *random-fun* :: *code-numeral* \Rightarrow *Random.seed* \Rightarrow ($(('a \Rightarrow 'b) \times (\text{unit} \Rightarrow$
 $\text{term})) \times \text{Random.seed}$ **where**
random i = *random-fun-lift* (*random i*)

instance ..

end

Towards type copies and datatypes

definition *collapse* :: ($'a \Rightarrow ('a \Rightarrow 'b \times 'a) \times 'a$) \Rightarrow $'a \Rightarrow 'b \times 'a$ **where**
collapse f = (*f o*→ *id*)

definition *beyond* :: *code-numeral* \Rightarrow *code-numeral* \Rightarrow *code-numeral* **where**
beyond k l = (*if* $l > k$ *then* *l* *else* 0)

lemma *beyond-zero*:

```
beyond k 0 = 0
by (simp add: beyond-def)
```

```
lemma random-aux-rec:
  fixes random-aux :: code-numeral  $\Rightarrow$  'a
  assumes random-aux 0 = rhs 0
    and  $\bigwedge k. \text{random-aux } (\text{Suc-code-numeral } k) = \text{rhs } (\text{Suc-code-numeral } k)$ 
  shows random-aux k = rhs k
  using assms by (rule code-numeral.induct)
```

```
use Tools/quickcheck-generators.ML
setup Quickcheck-Generators.setup
```

48.4 Code setup

code-const *random-fun-aux* (*Quickcheck Quickcheck'-Generators.random'-fun*)
 — With enough criminal energy this can be abused to derive *False*; for this reason we use a distinguished target *Quickcheck* not spoiling the regular trusted code generation

code-reserved *Quickcheck Quickcheck-Generators*

```
no-notation fcomp (infixl o> 60)
no-notation scomp (infixl o $\rightarrow$  60)
```

48.5 The Random-Predicate Monad

```
fun iter' ::
  'a itself  $\Rightarrow$  code-numeral  $\Rightarrow$  code-numeral  $\Rightarrow$  code-numeral * code-numeral
 $\Rightarrow$  ('a::random) Predicate.pred
where
  iter' T nrandom sz seed = (if nrandom = 0 then bot-class.bot else
    let ((x, -), seed') = random sz seed
    in Predicate.Seq (%u. Predicate.Insert x (iter' T (nrandom - 1) sz seed')))
```

definition *iter* :: code-numeral \Rightarrow code-numeral \Rightarrow code-numeral * code-numeral
 \Rightarrow ('a::random) Predicate.pred

where
iter nrandom sz seed = *iter'* (TYPE('a)) nrandom sz seed

```
lemma [code]:
  iter nrandom sz seed = (if nrandom = 0 then bot-class.bot else
    let ((x, -), seed') = random sz seed
    in Predicate.Seq (%u. Predicate.Insert x (iter (nrandom - 1) sz seed'))
unfolding iter-def iter'.simps[of - nrandom] ..
```

types 'a randompred = Random.seed \Rightarrow ('a Predicate.pred \times Random.seed)

definition *empty* :: 'a randompred
where *empty* = Pair (bot-class.bot)

definition *single* :: 'a => 'a randompred
where *single* x = Pair (Predicate.single x)

definition *bind* :: 'a randompred => ('a => 'b randompred) => 'b randompred
where
bind R f = (λ s. let
(P, s') = R s;
(s1, s2) = Random.split-seed s'
in (Predicate.bind P (%a. fst (f a s1)), s2))

definition *union* :: 'a randompred => 'a randompred => 'a randompred
where
union R1 R2 = (λ s. let
(P1, s') = R1 s; (P2, s'') = R2 s'
in (semilattice-sup-class.sup P1 P2, s''))

definition *if-randompred* :: bool => unit randompred
where
if-randompred b = (if b then single () else empty)

definition *iterate-upto* :: (code-numeral => 'a) => code-numeral => code-numeral
=> 'a randompred
where
iterate-upto f n m = Pair (Code-Numeral.iterate-upto f n m)

definition *not-randompred* :: unit randompred => unit randompred
where
not-randompred P = (λ s. let
(P', s') = P s
in if Predicate.eval P' () then (Orderings.bot, s') else (Predicate.single (), s'))

definition *Random* :: (Random.seed => ('a \times (unit => term)) \times Random.seed) =>
'a randompred
where *Random* g = scomp g (Pair o (Predicate.single o fst))

definition *map* :: ('a => 'b) => ('a randompred => 'b randompred)
where *map* f P = bind P (single o f)

hide-fact (**open**) *iter'.simps iter-def empty-def single-def bind-def union-def if-randompred-def
iterate-upto-def not-randompred-def Random-def map-def*

hide-type (**open**) *randompred*

hide-const (**open**) *random collapse beyond random-fun-aux random-fun-lift
iter' iter empty single bind union if-randompred iterate-upto not-randompred Ran-
dom map*

end

49 Lazy-Sequence: Lazy sequences

```

theory Lazy-Sequence
imports List Code-Numeral
begin

datatype 'a lazy-sequence = Empty | Insert 'a 'a lazy-sequence

definition Lazy-Sequence :: (unit => ('a * 'a lazy-sequence) option) => 'a lazy-sequence
where
  Lazy-Sequence f = (case f () of None => Empty | Some (x, xq) => Insert x xq)

code-datatype Lazy-Sequence

primrec yield :: 'a lazy-sequence => ('a * 'a lazy-sequence) option
where
  yield Empty = None
| yield (Insert x xq) = Some (x, xq)

lemma [simp]: yield xq = Some (x, xq') ==> size xq' < size xq
by (cases xq) auto

lemma yield-Seq [code]:
  yield (Lazy-Sequence f) = f ()
unfolding Lazy-Sequence-def by (cases f ()) auto

lemma Seq-yield:
  Lazy-Sequence (%u. yield f) = f
unfolding Lazy-Sequence-def by (cases f) auto

lemma lazy-sequence-size-code [code]:
  lazy-sequence-size s xq = (case yield xq of None => 0 | Some (x, xq') => s x +
    lazy-sequence-size s xq' + 1)
by (cases xq) auto

lemma size-code [code]:
  size xq = (case yield xq of None => 0 | Some (x, xq') => size xq' + 1)
by (cases xq) auto

lemma [code]: eq-class.eq xq yq = (case (yield xq, yield yq) of
  (None, None) => True | (Some (x, xq'), Some (y, yq')) => (HOL.eq x y) ∧
  (eq-class.eq xq yq) | - => False)
apply (cases xq) apply (cases yq) apply (auto simp add: eq-class.eq-equals)
apply (cases yq) apply (auto simp add: eq-class.eq-equals) done

lemma seq-case [code]:
  lazy-sequence-case f g xq = (case (yield xq) of None => f | Some (x, xq') => g
    x xq')
by (cases xq) auto

```

lemma *[code]*: *lazy-sequence-rec* $f\ g\ xq = (\text{case } (\text{yield } xq) \text{ of } \text{None} \Rightarrow f \mid \text{Some } (x, xq') \Rightarrow g\ x\ xq' (\text{lazy-sequence-rec } f\ g\ xq'))$
by (*cases* xq) *auto*

definition *empty* :: *'a lazy-sequence*
where
[code]: *empty* = *Lazy-Sequence* (%*u.* *None*)

definition *single* :: *'a => 'a lazy-sequence*
where
[code]: *single* $x = \text{Lazy-Sequence } (\%u. \text{Some } (x, \text{empty}))$

primrec *append* :: *'a lazy-sequence => 'a lazy-sequence => 'a lazy-sequence*
where
append *Empty* $yq = yq$
 $\mid \text{append } (\text{Insert } x\ xq)\ yq = \text{Insert } x\ (\text{append } xq\ yq)$

lemma *[code]*:
append $xq\ yq = \text{Lazy-Sequence } (\%u. \text{case } \text{yield } xq \text{ of } \text{None} \Rightarrow \text{yield } yq \mid \text{Some } (x, xq') \Rightarrow \text{Some } (x, \text{append } xq'\ yq))$
unfolding *Lazy-Sequence-def*
apply (*cases* xq)
apply *auto*
apply (*cases* yq)
apply *auto*
done

primrec *flat* :: *'a lazy-sequence lazy-sequence => 'a lazy-sequence*
where
flat *Empty* = *Empty*
 $\mid \text{flat } (\text{Insert } xq\ xqq) = \text{append } xq\ (\text{flat } xqq)$

lemma *[code]*:
flat $xqq = \text{Lazy-Sequence } (\%u. \text{case } \text{yield } xqq \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } (xq, xqq') \Rightarrow \text{yield } (\text{append } xq\ (\text{flat } xqq')))$
apply (*cases* xqq)
apply (*auto simp add: Seq-yield*)
unfolding *Lazy-Sequence-def*
by *auto*

primrec *map* :: (*'a => 'b*) => *'a lazy-sequence => 'b lazy-sequence*
where
map $f\ \text{Empty} = \text{Empty}$
 $\mid \text{map } f\ (\text{Insert } x\ xq) = \text{Insert } (f\ x)\ (\text{map } f\ xq)$

lemma *[code]*:


```

    map f xq = Lazy-Sequence (%u. Option.map (%(x, xq'). (f x, map f xq')) (yield
xq))
  apply (cases xq)
  apply (auto simp add: Seq-yield)
  unfolding Lazy-Sequence-def
  apply auto
  done

```

definition *bind* :: 'a lazy-sequence => ('a => 'b lazy-sequence) => 'b lazy-sequence
where
 [code]: *bind* xq f = flat (map f xq)

definition *if-seq* :: bool => unit lazy-sequence
where
if-seq b = (if b then single () else empty)

function *iterate-upto* :: (code-numeral => 'a) => code-numeral => code-numeral
=> 'a Lazy-Sequence.lazy-sequence
where
iterate-upto f n m = Lazy-Sequence.Lazy-Sequence (%u. if n > m then None else
Some (f n, *iterate-upto* f (n + 1) m))
by pat-completeness auto

termination by (relation measure (%(f, n, m). Code-Numeral.nat-of (m + 1 -
n))) auto

definition *not-seq* :: unit lazy-sequence => unit lazy-sequence
where
not-seq xq = (case yield xq of None => single () | Some ((), xq) => empty)

49.1 Code setup

fun *anamorph* :: ('a => ('b × 'a) option) => code-numeral => 'a => 'b list × 'a
where
anamorph f k x = (if k = 0 then ([], x)
else case f x of None => ([], x) | Some (v, y) =>
let (vs, z) = *anamorph* f (k - 1) y
in (v # vs, z))

definition *yieldn* :: code-numeral => 'a lazy-sequence => 'a list × 'a lazy-sequence
where
yieldn = *anamorph* yield

code-reflect *Lazy-Sequence*
datatypes *lazy-sequence* = *Lazy-Sequence*
functions *map* *yield* *yieldn*

49.2 With Hit Bound Value

assuming in negative context

types *'a hit-bound-lazy-sequence* = *'a option lazy-sequence*

definition *hit-bound* :: *'a hit-bound-lazy-sequence*

where

[code]: *hit-bound* = *Lazy-Sequence* (%u. *Some (None, empty)*)

definition *hb-single* :: *'a => 'a hit-bound-lazy-sequence*

where

[code]: *hb-single* *x* = *Lazy-Sequence* (%u. *Some (Some x, empty)*)

primrec *hb-flat* :: *'a hit-bound-lazy-sequence hit-bound-lazy-sequence => 'a hit-bound-lazy-sequence*

where

hb-flat Empty = *Empty*
| *hb-flat (Insert xq xqq)* = *append* (*case xq of None => hit-bound* | *Some xq => xq*) (*hb-flat xqq*)

lemma [code]:

hb-flat xqq = *Lazy-Sequence* (%u. *case yield xqq of*
None => None
| Some (xq, xqq') => yield (append (case xq of None => hit-bound | *Some xq*
=> xq) (hb-flat xqq')))

apply (*cases xqq*)

apply (*auto simp add: Seq-yield*)

unfolding *Lazy-Sequence-def*

by *auto*

primrec *hb-map* :: *('a => 'b) => 'a hit-bound-lazy-sequence => 'b hit-bound-lazy-sequence*

where

hb-map f Empty = *Empty*
| *hb-map f (Insert x xq)* = *Insert (Option.map f x) (hb-map f xq)*

lemma [code]:

hb-map f xq = *Lazy-Sequence* (%u. *Option.map* (%(*x, xq'*). (*Option.map f x,*
hb-map f xq')) (*yield xq*))

apply (*cases xq*)

apply (*auto simp add: Seq-yield*)

unfolding *Lazy-Sequence-def*

apply *auto*

done

definition *hb-bind* :: *'a hit-bound-lazy-sequence => ('a => 'b hit-bound-lazy-sequence)*
=> 'b hit-bound-lazy-sequence

where

[code]: *hb-bind* *xq f* = *hb-flat (hb-map f xq)*

definition *hb-if-seq* :: *bool* => *unit hit-bound-lazy-sequence*

where

hb-if-seq *b* = (if *b* then *hb-single* () else *empty*)

definition *hb-not-seq* :: *unit hit-bound-lazy-sequence* => *unit lazy-sequence*

where

hb-not-seq *xq* = (case *yield* *xq* of *None* => *single* () | *Some* (*x*, *xq*) => *empty*)

hide-type (open) *lazy-sequence*

hide-const (open) *Empty Insert Lazy-Sequence yield empty single append flat map*

bind if-seq iterate-upto not-seq

hide-fact *yield.simps empty-def single-def append.simps flat.simps map.simps bind-def*

iterate-upto.simps if-seq-def not-seq-def

end

50 DSequence: Depth-Limited Sequences with failure element

theory *DSequence*

imports *Lazy-Sequence Code-Numeral*

begin

types '*a* *dseq* = *code-numeral* => *bool* => '*a* *Lazy-Sequence.lazy-sequence option*

definition *empty* :: '*a* *dseq*

where

empty = (%*i* *pol*. *Some Lazy-Sequence.empty*)

definition *single* :: '*a* => '*a* *dseq*

where

single *x* = (%*i* *pol*. *Some (Lazy-Sequence.single x)*)

fun *eval* :: '*a* *dseq* => *code-numeral* => *bool* => '*a* *Lazy-Sequence.lazy-sequence option*

where

eval *f* *i* *pol* = *f* *i* *pol*

definition *yield* :: '*a* *dseq* => *code-numeral* => *bool* => ('*a* * '*a* *dseq*) *option*

where

yield *dseq* *i* *pol* = (case *eval* *dseq* *i* *pol* of
None => *None*
| *Some* *s* => *Option.map* (*apsnd* (%*r* *i* *pol*. *Some* *r*)) (*Lazy-Sequence.yield* *s*))

fun *map-seq* :: ('*a* => '*b* *dseq*) => '*a* *Lazy-Sequence.lazy-sequence* => '*b* *dseq*

where

map-seq *f* *xq* *i* *pol* = (case *Lazy-Sequence.yield* *xq* of

```

None => Some Lazy-Sequence.empty
| Some (x, xq') => (case eval (f x) i pol of
  None => None
| Some yq => (case map-seq f xq' i pol of
  None => None
| Some zq => Some (Lazy-Sequence.append yq zq))))

```

definition *bind* :: 'a dseq => ('a => 'b dseq) => 'b dseq
where

```

bind x f = (%i pol.
  if i = 0 then
    (if pol then Some Lazy-Sequence.empty else None)
  else
    (case x (i - 1) pol of
      None => None
    | Some xq => map-seq f xq i pol))

```

definition *union* :: 'a dseq => 'a dseq => 'a dseq
where

```

union x y = (%i pol. case (x i pol, y i pol) of
  (Some xq, Some yq) => Some (Lazy-Sequence.append xq yq)
| - => None)

```

definition *if-seq* :: bool => unit dseq
where

```

if-seq b = (if b then single () else empty)

```

definition *not-seq* :: unit dseq => unit dseq
where

```

not-seq x = (%i pol. case x i (¬pol) of
  None => Some Lazy-Sequence.empty
| Some xq => (case Lazy-Sequence.yield xq of
  None => Some (Lazy-Sequence.single ())
| Some - => Some (Lazy-Sequence.empty)))

```

definition *map* :: ('a => 'b) => 'a dseq => 'b dseq
where

```

map f g = (%i pol. case g i pol of
  None => None
| Some xq => Some (Lazy-Sequence.map f xq))

```

ML <<

signature DSEQUENCE =

sig

```

type 'a dseq = int -> bool -> 'a Lazy-Sequence.lazy-sequence option
val yield : 'a dseq -> int -> bool -> ('a * 'a dseq) option
val yieldn : int -> 'a dseq -> int -> bool -> 'a list * 'a dseq
val map : ('a -> 'b) -> 'a dseq -> 'b dseq

```

end;

```

structure DSequence : DSEQUENCE =
struct

type 'a dseq = int -> bool -> 'a Lazy-Sequence.lazy-sequence option

val yield = @{code yield}
fun yieldn n s d pol = case s d pol of
  NONE => ([], fn d => fn pol => NONE)
  | SOME s' => let val (xs, s'') = Lazy-Sequence.yieldn n s in (xs, fn d => fn pol
=> SOME s'') end

val map = @{code map}

end;
>>

code-reserved Eval DSequence

hide-const (open) empty single eval map-seq bind union if-seq not-seq map
hide-fact (open) empty-def single-def eval.simps map-seq.simps bind-def union-def
  if-seq-def not-seq-def map-def

end

theory Random-Sequence
imports Quickcheck DSequence
begin

types 'a random-dseq = code-numeral => code-numeral => Random.seed => ('a
DSequence.dseq × Random.seed)

definition empty :: 'a random-dseq
where
  empty = (%nrandom size. Pair (DSequence.empty))

definition single :: 'a => 'a random-dseq
where
  single x = (%nrandom size. Pair (DSequence.single x))

definition bind :: 'a random-dseq => ('a => 'b random-dseq) => 'b random-dseq
where
  bind R f = (λnrandom size s. let
    (P, s') = R nrandom size s;
    (s1, s2) = Random.split-seed s'
  in (DSequence.bind P (%a. fst (f a nrandom size s1)), s2))

```

definition *union* :: 'a random-dseq => 'a random-dseq => 'a random-dseq

where

union *R1 R2* = (λn random size *s*. let
 (*S1*, *s'*) = *R1* nrandom size *s*; (*S2*, *s''*) = *R2* nrandom size *s'*
 in (*DSequence.union* *S1 S2*, *s''*))

definition *if-random-dseq* :: bool => unit random-dseq

where

if-random-dseq *b* = (if *b* then single () else empty)

definition *not-random-dseq* :: unit random-dseq => unit random-dseq

where

not-random-dseq *R* = (λn random size *s*. let
 (*S*, *s'*) = *R* nrandom size *s*
 in (*DSequence.not-seq* *S*, *s'*))

fun *Random* :: (code-numeral => *Random.seed* => (('a × (unit => term)) × *Random.seed*)) => 'a random-dseq

where

Random *g* nrandom = (%size. if nrandom <= 0 then (Pair *DSequence.empty*)
 else
 (scomp (*g* size) (%r. scomp (*Random* *g* (nrandom - 1) size) (%rs. Pair
 (*DSequence.union* (*DSequence.single* (fst *r*)) *rs*))))))

definition *map* :: ('a => 'b) => 'a random-dseq => 'b random-dseq

where

map *f* *P* = bind *P* (single o *f*)

hide-const (**open**) empty single bind union if-random-dseq not-random-dseq *Random* map

hide-type *DSequence.dseq* random-dseq

hide-fact (**open**) empty-def single-def bind-def union-def if-random-dseq-def not-random-dseq-def
Random.simps map-def

end

51 New-DSequence: Depth-Limited Sequences with failure element

theory *New-DSequence*

imports *Random-Sequence*

begin

51.1 Positive Depth-Limited Sequence

types $'a \text{ pos-dseq} = \text{code-numeral} \Rightarrow 'a \text{ Lazy-Sequence.lazy-sequence}$

definition $\text{pos-empty} :: 'a \text{ pos-dseq}$

where

$\text{pos-empty} = (\%i. \text{Lazy-Sequence.empty})$

definition $\text{pos-single} :: 'a \Rightarrow 'a \text{ pos-dseq}$

where

$\text{pos-single } x = (\%i. \text{Lazy-Sequence.single } x)$

definition $\text{pos-bind} :: 'a \text{ pos-dseq} \Rightarrow ('a \Rightarrow 'b \text{ pos-dseq}) \Rightarrow 'b \text{ pos-dseq}$

where

$\text{pos-bind } x f = (\%i. \text{if } i = 0 \text{ then } \text{Lazy-Sequence.empty} \\ \text{else } \text{Lazy-Sequence.bind } (x \ (i - 1)) \ (\%a. f \ a \ i))$

definition $\text{pos-union} :: 'a \text{ pos-dseq} \Rightarrow 'a \text{ pos-dseq} \Rightarrow 'a \text{ pos-dseq}$

where

$\text{pos-union } xq \ yq = (\%i. \text{Lazy-Sequence.append } (xq \ i) \ (yq \ i))$

definition $\text{pos-if-seq} :: \text{bool} \Rightarrow \text{unit pos-dseq}$

where

$\text{pos-if-seq } b = (\text{if } b \text{ then } \text{pos-single } () \text{ else } \text{pos-empty})$

definition $\text{pos-iterate-upto} :: (\text{code-numeral} \Rightarrow 'a) \Rightarrow \text{code-numeral} \Rightarrow \text{code-numeral} \Rightarrow 'a \text{ pos-dseq}$

where

$\text{pos-iterate-upto } f \ n \ m = (\%i. \text{Lazy-Sequence.iterate-upto } f \ n \ m)$

definition $\text{pos-map} :: ('a \Rightarrow 'b) \Rightarrow 'a \text{ pos-dseq} \Rightarrow 'b \text{ pos-dseq}$

where

$\text{pos-map } f \ xq = (\%i. \text{Lazy-Sequence.map } f \ (xq \ i))$

51.2 Negative Depth-Limited Sequence

types $'a \text{ neg-dseq} = \text{code-numeral} \Rightarrow 'a \text{ Lazy-Sequence.hit-bound-lazy-sequence}$

definition $\text{neg-empty} :: 'a \text{ neg-dseq}$

where

$\text{neg-empty} = (\%i. \text{Lazy-Sequence.empty})$

definition $\text{neg-single} :: 'a \Rightarrow 'a \text{ neg-dseq}$

where

$\text{neg-single } x = (\%i. \text{Lazy-Sequence.hb-single } x)$

definition $\text{neg-bind} :: 'a \text{ neg-dseq} \Rightarrow ('a \Rightarrow 'b \text{ neg-dseq}) \Rightarrow 'b \text{ neg-dseq}$

where

```
neg-bind x f = (%i.
  if i = 0 then
    Lazy-Sequence.hit-bound
  else
    hb-bind (x (i - 1)) (%a. f a i))
```

definition *neg-union* :: 'a neg-dseq => 'a neg-dseq => 'a neg-dseq

where

```
neg-union x y = (%i. Lazy-Sequence.append (x i) (y i))
```

definition *neg-if-seq* :: bool => unit neg-dseq

where

```
neg-if-seq b = (if b then neg-single () else neg-empty)
```

definition *neg-iterate-upto*

where

```
neg-iterate-upto f n m = (%i. Lazy-Sequence.iterate-upto (%i. Some (f i)) n m)
```

definition *neg-map* :: ('a => 'b) => 'a neg-dseq => 'b neg-dseq

where

```
neg-map f xq = (%i. Lazy-Sequence.hb-map f (xq i))
```

51.3 Negation

definition *pos-not-seq* :: unit neg-dseq => unit pos-dseq

where

```
pos-not-seq xq = (%i. Lazy-Sequence.hb-not-seq (xq i))
```

definition *neg-not-seq* :: unit pos-dseq => unit neg-dseq

where

```
neg-not-seq x = (%i. case Lazy-Sequence.yield (x i) of
  None => Lazy-Sequence.hb-single ()
| Some ((), xq) => Lazy-Sequence.empty)
```

hide-type (open) *pos-dseq neg-dseq*

hide-const (open)

```
pos-empty pos-single pos-bind pos-union pos-if-seq pos-iterate-upto pos-not-seq
pos-map
```

```
neg-empty neg-single neg-bind neg-union neg-if-seq neg-iterate-upto neg-not-seq
neg-map
```

hide-fact (open)

```
pos-empty-def pos-single-def pos-bind-def pos-union-def pos-if-seq-def pos-iterate-upto-def
pos-not-seq-def pos-map-def
```

```
neg-empty-def neg-single-def neg-bind-def neg-union-def neg-if-seq-def neg-iterate-upto-def
neg-not-seq-def neg-map-def
```

end


```

theory New-Random-Sequence
imports Quickcheck New-DSequence
begin

```

```

types 'a pos-random-dseq = code-numeral => code-numeral => Random.seed => 'a
  New-DSequence.pos-dseq
types 'a neg-random-dseq = code-numeral => code-numeral => Random.seed => 'a
  New-DSequence.neg-dseq

```

```

definition pos-empty :: 'a pos-random-dseq
where
  pos-empty = (%nrandom size seed. New-DSequence.pos-empty)

```

```

definition pos-single :: 'a => 'a pos-random-dseq
where
  pos-single x = (%nrandom size seed. New-DSequence.pos-single x)

```

```

definition pos-bind :: 'a pos-random-dseq => ('a => 'b pos-random-dseq) => 'b
  pos-random-dseq
where
  pos-bind R f = (%nrandom size seed. New-DSequence.pos-bind (R nrandom size
  seed) (%a. f a nrandom size seed))

```

```

definition pos-union :: 'a pos-random-dseq => 'a pos-random-dseq => 'a pos-random-dseq
where
  pos-union R1 R2 = (%nrandom size seed. New-DSequence.pos-union (R1 nrandom
  size seed) (R2 nrandom size seed))

```

```

definition pos-if-random-dseq :: bool => unit pos-random-dseq
where
  pos-if-random-dseq b = (if b then pos-single () else pos-empty)

```

```

definition pos-iterate-upto :: (code-numeral => 'a) => code-numeral => code-numeral
  => 'a pos-random-dseq
where
  pos-iterate-upto f n m = (%nrandom size seed. New-DSequence.pos-iterate-upto f
  n m)

```

```

definition pos-not-random-dseq :: unit neg-random-dseq => unit pos-random-dseq
where
  pos-not-random-dseq R = (%nrandom size seed. New-DSequence.pos-not-seq (R
  nrandom size seed))

```

```

fun iter :: (code-numeral * code-numeral => ('a * (unit => term)) * code-numeral
  * code-numeral) => code-numeral => code-numeral * code-numeral => 'a Lazy-Sequence.lazy-sequence

```

where

iter random nrandom seed =
(if nrandom = 0 then Lazy-Sequence.empty else Lazy-Sequence.Lazy-Sequence
(%u. let ((x, -), seed') = random seed in Some (x, iter random (nrandom - 1)
seed'))))

definition *Random* :: (code-numeral \Rightarrow *Random.seed* \Rightarrow (('a \times (unit \Rightarrow term))
 \times *Random.seed*)) \Rightarrow 'a pos-random-dseq

where

Random g = (%nrandom size seed depth. iter (g size) nrandom seed)

definition *pos-map* :: ('a \Rightarrow 'b) \Rightarrow 'a pos-random-dseq \Rightarrow 'b pos-random-dseq

where

pos-map f P = pos-bind P (pos-single o f)

definition *neg-empty* :: 'a neg-random-dseq

where

neg-empty = (%nrandom size seed. New-DSequence.neg-empty)

definition *neg-single* :: 'a \Rightarrow 'a neg-random-dseq

where

neg-single x = (%nrandom size seed. New-DSequence.neg-single x)

definition *neg-bind* :: 'a neg-random-dseq \Rightarrow ('a \Rightarrow 'b neg-random-dseq) \Rightarrow 'b
 neg-random-dseq

where

*neg-bind R f = (λ nrandom size seed. New-DSequence.neg-bind (R nrandom size
 seed) (%a. f a nrandom size seed))*

definition *neg-union* :: 'a neg-random-dseq \Rightarrow 'a neg-random-dseq \Rightarrow 'a neg-random-dseq

where

*neg-union R1 R2 = (λ nrandom size seed. New-DSequence.neg-union (R1 nran-
 dom size seed) (R2 nrandom size seed))*

definition *neg-if-random-dseq* :: bool \Rightarrow unit neg-random-dseq

where

neg-if-random-dseq b = (if b then neg-single () else neg-empty)

definition *neg-iterate-upto* :: (code-numeral \Rightarrow 'a) \Rightarrow code-numeral \Rightarrow code-numeral
 \Rightarrow 'a neg-random-dseq

where

*neg-iterate-upto f n m = (λ nrandom size seed. New-DSequence.neg-iterate-upto f
 n m)*

definition *neg-not-random-dseq* :: unit pos-random-dseq \Rightarrow unit neg-random-dseq

where

*neg-not-random-dseq R = (λ nrandom size seed. New-DSequence.neg-not-seq (R
 nrandom size seed))*

definition *neg-map* :: (*'a* => *'b*) => *'a* *neg-random-dseq* => *'b* *neg-random-dseq*

where

neg-map *f* *P* = *neg-bind* *P* (*neg-single* *o* *f*)

hide-const (**open**)

pos-empty pos-single pos-bind pos-union pos-if-random-dseq pos-iterate-upto pos-not-random-dseq
iter Random pos-map

neg-empty neg-single neg-bind neg-union neg-if-random-dseq neg-iterate-upto neg-not-random-dseq
neg-map

hide-type *New-DSequence.pos-dseq New-DSequence.neg-dseq pos-random-dseq neg-random-dseq*

end

52 Predicate-Compile: A compiler for predicates defined by introduction rules

theory *Predicate-Compile*

imports *New-Random-Sequence*

uses

Tools/Predicate-Compile/predicate-compile-aux.ML
Tools/Predicate-Compile/predicate-compile-compilations.ML
Tools/Predicate-Compile/predicate-compile-core.ML
Tools/Predicate-Compile/predicate-compile-data.ML
Tools/Predicate-Compile/predicate-compile-fun.ML
Tools/Predicate-Compile/predicate-compile-pred.ML
Tools/Predicate-Compile/predicate-compile-specialisation.ML
Tools/Predicate-Compile/predicate-compile.ML

begin

setup *Predicate-Compile.setup*

end

53 Map: Maps

theory *Map*

imports *List*

begin

types (*'a, 'b*) *map* = *'a* => *'b option* (**infixr** $\sim=>$ 0)

translations (*type*) *'a* $\sim=>$ *'b* <= (*type*) *'a* => *'b option*

type-notation (*xsymbols*)

map (**infixr** $\rightarrow 0$)

abbreviation

empty :: 'a \leadsto 'b **where**
empty == %x. None

definition

map-comp :: ('b \leadsto 'c) \Rightarrow ('a \leadsto 'b) \Rightarrow ('a \leadsto 'c) (**infixl** o'-m 55)
where
f o-*m* *g* = (λk . case *g* *k* of None \Rightarrow None | Some *v* \Rightarrow *f* *v*)

notation (*xsymbols*)

map-comp (**infixl** \circ_m 55)

definition

map-add :: ('a \leadsto 'b) \Rightarrow ('a \leadsto 'b) \Rightarrow ('a \leadsto 'b) (**infixl** ++ 100)
where
m1 ++ *m2* = (λx . case *m2* *x* of None \Rightarrow *m1* *x* | Some *y* \Rightarrow Some *y*)

definition

restrict-map :: ('a \leadsto 'b) \Rightarrow 'a set \Rightarrow ('a \leadsto 'b) (**infixl** |' 110) **where**
m | 'A = (λx . if *x* : A then *m* *x* else None)

notation (*latex output*)

restrict-map (-|-. [111,110] 110)

definition

dom :: ('a \leadsto 'b) \Rightarrow 'a set **where**
dom *m* = {*a*. *m* *a* \leadsto None}

definition

ran :: ('a \leadsto 'b) \Rightarrow 'b set **where**
ran *m* = {*b*. EX *a*. *m* *a* = Some *b*}

definition

map-le :: ('a \leadsto 'b) \Rightarrow ('a \leadsto 'b) \Rightarrow bool (**infix** \subseteq_m 50) **where**
(*m*₁ \subseteq_m *m*₂) = ($\forall a \in \text{dom } m_1. m_1 a = m_2 a$)

nonterminals

maplets *maplet*

syntax

-*maplet* :: ['a, 'a] \Rightarrow *maplet* (- /|->/ -)
-*maplets* :: ['a, 'a] \Rightarrow *maplet* (- /|[->]/ -)
:: *maplet* \Rightarrow *maplets* (-)
-*Maplets* :: [*maplet*, *maplets*] \Rightarrow *maplets* (-, / -)
-*MapUpd* :: ['a \leadsto 'b, *maplets*] \Rightarrow 'a \leadsto 'b (-/'(-) [900,0]900)
-*Map* :: *maplets* \Rightarrow 'a \leadsto 'b ((1[-]))

syntax (*xsymbols*)

-maplet :: [*'a*, *'a*] => *maplet* (- / \mapsto / -)
-maplets :: [*'a*, *'a*] => *maplet* (- /[\mapsto]/ -)

translations

-MapUpd m (-Maplets xy ms) == *-MapUpd (-MapUpd m xy) ms*
-MapUpd m (-maplet x y) == *m(x := CONST Some y)*
-Map ms == *-MapUpd (CONST empty) ms*
-Map (-Maplets ms1 ms2) <= *-MapUpd (-Map ms1) ms2*
-Maplets ms1 (-Maplets ms2 ms3) <= *-Maplets (-Maplets ms1 ms2) ms3*

primrec

map-of :: (*'a* \times *'b*) *list* \Rightarrow *'a* \rightarrow *'b* **where**
map-of [] = *empty*
| *map-of* (*p* # *ps*) = (*map-of ps*)(*fst p* \mapsto *snd p*)

definition

map-upds :: (*'a* \rightarrow *'b*) \Rightarrow *'a list* \Rightarrow *'b list* \Rightarrow *'a* \rightarrow *'b* **where**
map-upds m xs ys = *m ++ map-of (rev (zip xs ys))*

translations

-MapUpd m (-maplets x y) == *CONST map-upds m x y*

lemma *map-of-Cons-code* [*code*]:

map-of [] *k* = *None*
map-of ((*l*, *v*) # *ps*) *k* = (if *l* = *k* then *Some v* else *map-of ps k*)
by *simp-all*

53.1 *empty*

lemma *empty-upd-none* [*simp*]: *empty(x := None)* = *empty*

by (*rule ext*) *simp*

53.2 *map-upd*

lemma *map-upd-triv*: *t k = Some x* ==> *t(k|->x)* = *t*

by (*rule ext*) *simp*

lemma *map-upd-nonempty* [*simp*]: *t(k|->x)* \sim *empty*

proof

assume *t(k \mapsto x)* = *empty*
then have (*t(k \mapsto x)*) *k* = *None* **by** *simp*
then show *False* **by** *simp*

qed

lemma *map-upd-eqD1*:

assumes *m(a \mapsto x)* = *n(a \mapsto y)*
shows *x* = *y*

proof –

from *prems* **have** (*m(a \mapsto x)*) *a* = (*n(a \mapsto y)*) *a* **by** *simp*

then show *?thesis* **by** *simp*
qed

lemma *map-upd-Some-unfold*:
 $((m(a|->b))\ x = \text{Some } y) = (x = a \wedge b = y \vee x \neq a \wedge m\ x = \text{Some } y)$
by *auto*

lemma *image-map-upd [simp]*: $x \notin A \implies m(x \mapsto y) \text{ ` } A = m \text{ ` } A$
by *auto*

lemma *finite-range-updI*: $\text{finite } (\text{range } f) \implies \text{finite } (\text{range } (f(a|->b)))$
unfolding *image-def*
apply (*simp (no-asm-use) add:full-SetCompr-eq*)
apply (*rule finite-subset*)
prefer 2 **apply** *assumption*
apply (*auto*)
done

53.3 map-of

lemma *map-of-eq-None-iff*:
 $(\text{map-of } xys\ x = \text{None}) = (x \notin \text{fst ` } (\text{set } xys))$
by (*induct xys*) *simp-all*

lemma *map-of-is-SomeD*: $\text{map-of } xys\ x = \text{Some } y \implies (x,y) \in \text{set } xys$
apply (*induct xys*)
apply *simp*
apply (*clarsimp split: if-splits*)
done

lemma *map-of-eq-Some-iff [simp]*:
 $\text{distinct}(\text{map fst } xys) \implies (\text{map-of } xys\ x = \text{Some } y) = ((x,y) \in \text{set } xys)$
apply (*induct xys*)
apply *simp*
apply (*auto simp: map-of-eq-None-iff [symmetric]*)
done

lemma *Some-eq-map-of-iff [simp]*:
 $\text{distinct}(\text{map fst } xys) \implies (\text{Some } y = \text{map-of } xys\ x) = ((x,y) \in \text{set } xys)$
by (*auto simp del:map-of-eq-Some-iff simp add: map-of-eq-Some-iff [symmetric]*)

lemma *map-of-is-SomeI [simp]*: $\llbracket \text{distinct}(\text{map fst } xys); (x,y) \in \text{set } xys \rrbracket$
 $\implies \text{map-of } xys\ x = \text{Some } y$
apply (*induct xys*)
apply *simp*
apply *force*
done

lemma *map-of-zip-is-None [simp]*:

$length\ xs = length\ ys \implies (map-of\ (zip\ xs\ ys)\ x = None) = (x \notin set\ xs)$
by (induct rule: list-induct2) simp-all

lemma map-of-zip-is-Some:

assumes $length\ xs = length\ ys$

shows $x \in set\ xs \iff (\exists y. map-of\ (zip\ xs\ ys)\ x = Some\ y)$

using *assms* **by** (induct rule: list-induct2) simp-all

lemma map-of-zip-upd:

fixes $x :: 'a$ **and** $xs :: 'a\ list$ **and** $ys\ zs :: 'b\ list$

assumes $length\ ys = length\ xs$

and $length\ zs = length\ xs$

and $x \notin set\ xs$

and $map-of\ (zip\ xs\ ys)(x \mapsto y) = map-of\ (zip\ xs\ zs)(x \mapsto z)$

shows $map-of\ (zip\ xs\ ys) = map-of\ (zip\ xs\ zs)$

proof

fix $x' :: 'a$

show $map-of\ (zip\ xs\ ys)\ x' = map-of\ (zip\ xs\ zs)\ x'$

proof (cases $x = x'$)

case *True*

from *assms* *True* map-of-zip-is-None [of $xs\ ys\ x'$]

have $map-of\ (zip\ xs\ ys)\ x' = None$ **by** *simp*

moreover from *assms* *True* map-of-zip-is-None [of $xs\ zs\ x'$]

have $map-of\ (zip\ xs\ zs)\ x' = None$ **by** *simp*

ultimately show *?thesis* **by** *simp*

next

case *False* **from** *assms*

have $(map-of\ (zip\ xs\ ys)(x \mapsto y))\ x' = (map-of\ (zip\ xs\ zs)(x \mapsto z))\ x'$ **by**

auto

with *False* **show** *?thesis* **by** *simp*

qed

qed

lemma map-of-zip-inject:

assumes $length\ ys = length\ xs$

and $length\ zs = length\ xs$

and *dist: distinct xs*

and $map-of: map-of\ (zip\ xs\ ys) = map-of\ (zip\ xs\ zs)$

shows $ys = zs$

using *assms*(1) *assms*(2)[*symmetric*] **using** *dist* *map-of* **proof** (induct $ys\ xs\ zs$ rule: list-induct3)

case *Nil* **show** *?case* **by** *simp*

next

case (*Cons* $y\ ys\ x\ xs\ z\ zs$)

from $\langle map-of\ (zip\ (x\#\!xs)\ (y\#\!ys)) = map-of\ (zip\ (x\#\!xs)\ (z\#\!zs)) \rangle$

have $map-of: map-of\ (zip\ xs\ ys)(x \mapsto y) = map-of\ (zip\ xs\ zs)(x \mapsto z)$ **by** *simp*

from *Cons* **have** $length\ ys = length\ xs$ **and** $length\ zs = length\ xs$

and $x \notin set\ xs$ **by** *simp-all*

then have $map-of\ (zip\ xs\ ys) = map-of\ (zip\ xs\ zs)$ **using** *map-of* **by** (rule

```

map-of-zip-upd)
  with Cons.hyps ⟨distinct (x # xs)⟩ have ys = zs by simp
  moreover from map-of have y = z by (rule map-upd-eqD1)
  ultimately show ?case by simp
qed

```

```

lemma map-of-zip-map:
  map-of (zip xs (map f xs)) = (λx. if x ∈ set xs then Some (f x) else None)
  by (induct xs) (simp-all add: expand-fun-eq)

```

```

lemma finite-range-map-of: finite (range (map-of xys))
  apply (induct xys)
  apply (simp-all add: image-constant)
  apply (rule finite-subset)
  prefer 2 apply assumption
  apply auto
  done

```

```

lemma map-of-SomeD: map-of xs k = Some y ⟹ (k, y) ∈ set xs
  by (induct xs) (simp, atomize (full), auto)

```

```

lemma map-of-mapk-SomeI:
  inj f ⟹ map-of t k = Some x ⟹
  map-of (map (split (%k. Pair (f k))) t) (f k) = Some x
  by (induct t) (auto simp add: inj-eq)

```

```

lemma weak-map-of-SomeI: (k, x) : set l ⟹ ∃ x. map-of l k = Some x
  by (induct l) auto

```

```

lemma map-of-filter-in:
  map-of xs k = Some z ⟹ P k z ⟹ map-of (filter (split P) xs) k = Some z
  by (induct xs) auto

```

```

lemma map-of-map:
  map-of (map (λ(k, v). (k, f v)) xs) = Option.map f ∘ map-of xs
  by (induct xs) (auto simp add: expand-fun-eq)

```

```

lemma dom-option-map:
  dom (λk. Option.map (f k) (m k)) = dom m
  by (simp add: dom-def)

```

53.4 Option.map related

```

lemma option-map-o-empty [simp]: Option.map f o empty = empty
  by (rule ext) simp

```

```

lemma option-map-o-map-upd [simp]:
  Option.map f o m(a|→b) = (Option.map f o m)(a|→f b)
  by (rule ext) simp

```


53.5 *map-comp* related**lemma** *map-comp-empty* [simp]:

$$m \circ_m \text{empty} = \text{empty}$$

$$\text{empty} \circ_m m = \text{empty}$$

by (auto simp add: map-comp-def intro: ext split: option.splits)**lemma** *map-comp-simps* [simp]:

$$m2\ k = \text{None} \implies (m1 \circ_m m2)\ k = \text{None}$$

$$m2\ k = \text{Some } k' \implies (m1 \circ_m m2)\ k = m1\ k'$$

by (auto simp add: map-comp-def)**lemma** *map-comp-Some-iff*:

$$((m1 \circ_m m2)\ k = \text{Some } v) = (\exists k'. m2\ k = \text{Some } k' \wedge m1\ k' = \text{Some } v)$$

by (auto simp add: map-comp-def split: option.splits)**lemma** *map-comp-None-iff*:

$$((m1 \circ_m m2)\ k = \text{None}) = (m2\ k = \text{None} \vee (\exists k'. m2\ k = \text{Some } k' \wedge m1\ k' = \text{None}))$$

by (auto simp add: map-comp-def split: option.splits)**53.6** ++**lemma** *map-add-empty*[simp]: $m ++ \text{empty} = m$ **by**(simp add: map-add-def)**lemma** *empty-map-add*[simp]: $\text{empty} ++ m = m$ **by** (rule ext) (simp add: map-add-def split: option.split)**lemma** *map-add-assoc*[simp]: $m1 ++ (m2 ++ m3) = (m1 ++ m2) ++ m3$ **by** (rule ext) (simp add: map-add-def split: option.split)**lemma** *map-add-Some-iff*:

$$((m ++ n)\ k = \text{Some } x) = (n\ k = \text{Some } x \mid n\ k = \text{None} \ \& \ m\ k = \text{Some } x)$$

by (simp add: map-add-def split: option.split)**lemma** *map-add-SomeD* [dest!]:

$$(m ++ n)\ k = \text{Some } x \implies n\ k = \text{Some } x \vee n\ k = \text{None} \wedge m\ k = \text{Some } x$$

by (rule map-add-Some-iff [THEN iffD1])**lemma** *map-add-find-right* [simp]: $!!x. n\ k = \text{Some } x \implies (m ++ n)\ k = \text{Some } x$ **by** (subst map-add-Some-iff) fast**lemma** *map-add-None* [iff]: $((m ++ n)\ k = \text{None}) = (n\ k = \text{None} \ \& \ m\ k = \text{None})$ **by** (simp add: map-add-def split: option.split)**lemma** *map-add-upd*[simp]: $f ++ g(x|->y) = (f ++ g)(x|->y)$ **by** (rule ext) (simp add: map-add-def)

lemma *map-add-upds*[simp]: $m1 \ ++ \ (m2(xs[\mapsto]ys)) = (m1 \ ++ \ m2)(xs[\mapsto]ys)$
by (*simp add: map-upds-def*)

lemma *map-add-upd-left*: $m \notin \text{dom } e2 \implies e1(m \mapsto u1) \ ++ \ e2 = (e1 \ ++ \ e2)(m \mapsto u1)$
by (*rule ext*) (*auto simp: map-add-def dom-def split: option.split*)

lemma *map-of-append*[simp]: $\text{map-of } (xs \ @ \ ys) = \text{map-of } ys \ ++ \ \text{map-of } xs$
unfolding *map-add-def*
apply (*induct xs*)
apply *simp*
apply (*rule ext*)
apply (*simp split add: option.split*)
done

lemma *finite-range-map-of-map-add*:
 $\text{finite } (\text{range } f) \implies \text{finite } (\text{range } (f \ ++ \ \text{map-of } l))$
apply (*induct l*)
apply (*auto simp del: fun-upd-apply*)
apply (*erule finite-range-updI*)
done

lemma *inj-on-map-add-dom* [iff]:
 $\text{inj-on } (m \ ++ \ m') \ (\text{dom } m') = \text{inj-on } m' \ (\text{dom } m')$
by (*fastsimp simp: map-add-def dom-def inj-on-def split: option.splits*)

lemma *map-upds-fold-map-upd*:
 $m(ks[\mapsto]vs) = \text{foldl } (\lambda m \ (k, v). m(k \mapsto v)) \ m \ (\text{zip } ks \ vs)$
unfolding *map-upds-def* **proof** (*rule sym, rule zip-obtain-same-length*)
fix $ks :: 'a \ \text{list}$ **and** $vs :: 'b \ \text{list}$
assume $\text{length } ks = \text{length } vs$
then show $\text{foldl } (\lambda m \ (k, v). m(k \mapsto v)) \ m \ (\text{zip } ks \ vs) = m \ ++ \ \text{map-of } (\text{rev } (\text{zip } ks \ vs))$
by (*induct arbitrary: m rule: list-induct2*) *simp-all*
qed

lemma *map-add-map-of-foldr*:
 $m \ ++ \ \text{map-of } ps = \text{foldr } (\lambda(k, v) \ m. m(k \mapsto v)) \ ps \ m$
by (*induct ps*) (*auto simp add: expand-fun-eq map-add-def*)

53.7 restrict-map

lemma *restrict-map-to-empty* [simp]: $m|'\{\} = \text{empty}$
by (*simp add: restrict-map-def*)

lemma *restrict-map-insert*: $f|'(\text{insert } a \ A) = (f|'A)(a := f \ a)$
by (*auto simp add: restrict-map-def intro: ext*)

lemma *restrict-map-empty* [simp]: $\text{empty} \upharpoonright D = \text{empty}$
by (simp add: restrict-map-def)

lemma *restrict-in* [simp]: $x \in A \implies (m \upharpoonright A) x = m x$
by (simp add: restrict-map-def)

lemma *restrict-out* [simp]: $x \notin A \implies (m \upharpoonright A) x = \text{None}$
by (simp add: restrict-map-def)

lemma *ran-restrictD*: $y \in \text{ran } (m \upharpoonright A) \implies \exists x \in A. m x = \text{Some } y$
by (auto simp: restrict-map-def ran-def split: split-if-asm)

lemma *dom-restrict* [simp]: $\text{dom } (m \upharpoonright A) = \text{dom } m \cap A$
by (auto simp: restrict-map-def dom-def split: split-if-asm)

lemma *restrict-upd-same* [simp]: $m(x \mapsto y) \upharpoonright (-\{x\}) = m \upharpoonright (-\{x\})$
by (rule ext) (auto simp: restrict-map-def)

lemma *restrict-restrict* [simp]: $m \upharpoonright A \upharpoonright B = m \upharpoonright (A \cap B)$
by (rule ext) (auto simp: restrict-map-def)

lemma *restrict-fun-upd* [simp]:
 $m(x := y) \upharpoonright D = (\text{if } x \in D \text{ then } (m \upharpoonright (D - \{x\}))(x := y) \text{ else } m \upharpoonright D)$
by (simp add: restrict-map-def expand-fun-eq)

lemma *fun-upd-None-restrict* [simp]:
 $(m \upharpoonright D)(x := \text{None}) = (\text{if } x : D \text{ then } m \upharpoonright (D - \{x\}) \text{ else } m \upharpoonright D)$
by (simp add: restrict-map-def expand-fun-eq)

lemma *fun-upd-restrict*: $(m \upharpoonright D)(x := y) = (m \upharpoonright (D - \{x\}))(x := y)$
by (simp add: restrict-map-def expand-fun-eq)

lemma *fun-upd-restrict-conv* [simp]:
 $x \in D \implies (m \upharpoonright D)(x := y) = (m \upharpoonright (D - \{x\}))(x := y)$
by (simp add: restrict-map-def expand-fun-eq)

lemma *map-of-map-restrict*:
 $\text{map-of } (\text{map } (\lambda k. (k, f k)) ks) = (\text{Some } \circ f) \upharpoonright \text{set } ks$
by (induct ks) (simp-all add: expand-fun-eq restrict-map-insert)

lemma *restrict-complement-singleton-eq*:
 $f \upharpoonright (-\{x\}) = f(x := \text{None})$
by (simp add: restrict-map-def expand-fun-eq)

53.8 map-upds

lemma *map-upds-Nil1* [simp]: $m(\llbracket \mid \mid - \rangle \rrbracket bs) = m$
by (simp add: map-upds-def)

lemma *map-upds-Nil2* [*simp*]: $m(as \llbracket -> \rrbracket []) = m$
by (*simp add:map-upds-def*)

lemma *map-upds-Cons* [*simp*]: $m(a\#as \llbracket -> \rrbracket b\#bs) = (m(a \llbracket -> \rrbracket b))(as \llbracket -> \rrbracket bs)$
by (*simp add:map-upds-def*)

lemma *map-upds-append1* [*simp*]: $\bigwedge ys\ m.\ size\ xs < size\ ys \implies$
 $m(xs@[x] \llbracket \mapsto \rrbracket ys) = m(xs \llbracket \mapsto \rrbracket ys)(x \mapsto ys!size\ xs)$
apply (*induct xs*)
apply (*clarsimp simp add: neq-Nil-conv*)
apply (*case-tac ys*)
apply *simp*
apply *simp*
done

lemma *map-upds-list-update2-drop* [*simp*]:
 $\llbracket size\ xs \leq i; i < size\ ys \rrbracket$
 $\implies m(xs \llbracket \mapsto \rrbracket ys[i:=y]) = m(xs \llbracket \mapsto \rrbracket ys)$
apply (*induct xs arbitrary: m ys i*)
apply *simp*
apply (*case-tac ys*)
apply *simp*
apply (*simp split: nat.split*)
done

lemma *map-upd-upds-conv-if*:
 $(f(x \llbracket -> \rrbracket y))(xs \llbracket -> \rrbracket ys) =$
 $(if\ x : set\ (take\ (length\ ys)\ xs)\ then\ f(xs \llbracket -> \rrbracket ys)$
 $else\ (f(xs \llbracket -> \rrbracket ys))(x \llbracket -> \rrbracket y))$
apply (*induct xs arbitrary: x y ys f*)
apply *simp*
apply (*case-tac ys*)
apply (*auto split: split-if simp: fun-upd-twist*)
done

lemma *map-upds-twist* [*simp*]:
 $a \sim : set\ as \implies m(a \llbracket -> \rrbracket b)(as \llbracket -> \rrbracket bs) = m(as \llbracket -> \rrbracket bs)(a \llbracket -> \rrbracket b)$
using *set-take-subset* **by** (*fastsimp simp add: map-upd-upds-conv-if*)

lemma *map-upds-apply-nontin* [*simp*]:
 $x \sim : set\ xs \implies (f(xs \llbracket -> \rrbracket ys))\ x = f\ x$
apply (*induct xs arbitrary: ys*)
apply *simp*
apply (*case-tac ys*)
apply (*auto simp: map-upd-upds-conv-if*)
done

lemma *fun-upds-append-drop* [*simp*]:
 $size\ xs = size\ ys \implies m(xs@zs \llbracket \mapsto \rrbracket ys) = m(xs \llbracket \mapsto \rrbracket ys)$

```

apply (induct xs arbitrary: m ys)
  apply simp
apply (case-tac ys)
  apply simp-all
done

```

```

lemma fun-upds-append2-drop [simp]:
   $\text{size } xs = \text{size } ys \implies m(xs[\mapsto]ys @ zs) = m(xs[\mapsto]ys)$ 
apply (induct xs arbitrary: m ys)
  apply simp
apply (case-tac ys)
  apply simp-all
done

```

```

lemma restrict-map-upds [simp]:
   $\llbracket \text{length } xs = \text{length } ys; \text{set } xs \subseteq D \rrbracket$ 
   $\implies m(xs[\mapsto]ys) \upharpoonright D = (m \upharpoonright (D - \text{set } xs))(xs[\mapsto]ys)$ 
apply (induct xs arbitrary: m ys)
  apply simp
apply (case-tac ys)
  apply simp
apply (simp add: Diff-insert [symmetric] insert-absorb)
apply (simp add: map-upd-upds-conv-if)
done

```

53.9 *dom*

```

lemma dom-eq-empty-conv [simp]:  $\text{dom } f = \{\} \longleftrightarrow f = \text{empty}$ 
by(auto intro!: ext simp: dom-def)

```

```

lemma domI:  $m \ a = \text{Some } b \implies a : \text{dom } m$ 
by(simp add: dom-def)

```

```

lemma domD:  $a : \text{dom } m \implies \exists b. m \ a = \text{Some } b$ 
by (cases m a) (auto simp add: dom-def)

```

```

lemma domIff [iff, simp del]:  $(a : \text{dom } m) = (m \ a \sim \text{None})$ 
by(simp add: dom-def)

```

```

lemma dom-empty [simp]:  $\text{dom } \text{empty} = \{\}$ 
by(simp add: dom-def)

```

```

lemma dom-fun-upd [simp]:
   $\text{dom}(f(x := y)) = (\text{if } y = \text{None} \text{ then } \text{dom } f - \{x\} \text{ else } \text{insert } x (\text{dom } f))$ 
by(auto simp add: dom-def)

```

```

lemma dom-if:

```

$\text{dom } (\lambda x. \text{ if } P \ x \text{ then } f \ x \text{ else } g \ x) = \text{dom } f \cap \{x. P \ x\} \cup \text{dom } g \cap \{x. \neg P \ x\}$
by (*auto split: if-splits*)

lemma *dom-map-of-conv-image-fst*:
 $\text{dom } (\text{map-of } xys) = \text{fst } \text{' set } xys$
by (*induct xys*) (*auto simp add: dom-if*)

lemma *dom-map-of-zip* [*simp*]: $[\text{length } xs = \text{length } ys; \text{distinct } xs] \implies$
 $\text{dom}(\text{map-of}(\text{zip } xs \ ys)) = \text{set } xs$
by (*induct rule: list-induct2*) *simp-all*

lemma *finite-dom-map-of*: $\text{finite } (\text{dom } (\text{map-of } l))$
by (*induct l*) (*auto simp add: dom-def insert-Collect [symmetric]*)

lemma *dom-map-upds* [*simp*]:
 $\text{dom}(m(xs[->]ys)) = \text{set}(\text{take } (\text{length } ys) \ xs) \cup \text{dom } m$
apply (*induct xs arbitrary: m ys*)
apply *simp*
apply (*case-tac ys*)
apply *auto*
done

lemma *dom-map-add* [*simp*]: $\text{dom}(m++n) = \text{dom } n \cup \text{dom } m$
by(*auto simp: dom-def*)

lemma *dom-override-on* [*simp*]:
 $\text{dom}(\text{override-on } f \ g \ A) =$
 $(\text{dom } f - \{a. a : A - \text{dom } g\}) \cup \{a. a : A \text{ Int } \text{dom } g\}$
by(*auto simp: dom-def override-on-def*)

lemma *map-add-comm*: $\text{dom } m1 \cap \text{dom } m2 = \{\} \implies m1++m2 = m2++m1$
by (*rule ext*) (*force simp: map-add-def dom-def split: option.split*)

lemma *map-add-dom-app-simps*:
 $[\text{m} \in \text{dom } l2] \implies (l1++l2) \ m = l2 \ m$
 $[\text{m} \notin \text{dom } l1] \implies (l1++l2) \ m = l2 \ m$
 $[\text{m} \notin \text{dom } l2] \implies (l1++l2) \ m = l1 \ m$
by (*auto simp add: map-add-def split: option.split-asm*)

lemma *dom-const* [*simp*]:
 $\text{dom } (\lambda x. \text{Some } (f \ x)) = \text{UNIV}$
by *auto*

lemma *finite-map-freshness*:
 $\text{finite } (\text{dom } (f :: 'a \rightarrow 'b)) \implies \neg \text{finite } (\text{UNIV} :: 'a \text{ set}) \implies$
 $\exists x. f \ x = \text{None}$
by(*bestsimp dest: ex-new-if-finite*)

lemma *dom-minus*:

$f\ x = \text{None} \implies \text{dom } f - \text{insert } x\ A = \text{dom } f - A$

unfolding *dom-def* **by** *simp*

lemma *insert-dom*:

$f\ x = \text{Some } y \implies \text{insert } x\ (\text{dom } f) = \text{dom } f$

unfolding *dom-def* **by** *auto*

lemma *map-of-map-keys*:

$\text{set } xs = \text{dom } m \implies \text{map-of } (\text{map } (\lambda k. (k, \text{the } (m\ k))))\ xs = m$

by (*rule ext*) (*auto simp add: map-of-map-restrict restrict-map-def*)

53.10 *ran*

lemma *ranI*: $m\ a = \text{Some } b \implies b : \text{ran } m$

by(*auto simp: ran-def*)

lemma *ran-empty* [*simp*]: $\text{ran } \text{empty} = \{\}$

by(*auto simp: ran-def*)

lemma *ran-map-upd* [*simp*]: $m\ a = \text{None} \implies \text{ran}(m(a|->b)) = \text{insert } b\ (\text{ran } m)$

unfolding *ran-def*

apply *auto*

apply (*subgoal-tac aa ~ = a*)

apply *auto*

done

lemma *ran-distinct*:

assumes *dist: distinct* ($\text{map } \text{fst } al$)

shows $\text{ran } (\text{map-of } al) = \text{snd } \text{'set } al$

using *assms* **proof** (*induct al*)

case *Nil* **then show** *?case* **by** *simp*

next

case ($\text{Cons } kv\ al$)

then have $\text{ran } (\text{map-of } al) = \text{snd } \text{'set } al$ **by** *simp*

moreover from *Cons.prem*s **have** $\text{map-of } al\ (\text{fst } kv) = \text{None}$

by (*simp add: map-of-eq-None-iff*)

ultimately show *?case* **by** (*simp only: map-of.simps ran-map-upd*) *simp*

qed

53.11 *map-le*

lemma *map-le-empty* [*simp*]: $\text{empty} \subseteq_m g$

by (*simp add: map-le-def*)

lemma *upd-None-map-le* [*simp*]: $f(x := \text{None}) \subseteq_m f$

by (*force simp add: map-le-def*)

lemma *map-le-upd[simp]*: $f \subseteq_m g \implies f(a := b) \subseteq_m g(a := b)$
by (*fastsimp simp add: map-le-def*)

lemma *map-le-imp-upd-le [simp]*: $m1 \subseteq_m m2 \implies m1(x := \text{None}) \subseteq_m m2(x \mapsto y)$
by (*force simp add: map-le-def*)

lemma *map-le-upds [simp]*:
 $f \subseteq_m g \implies f(as \llbracket - \> \rrbracket bs) \subseteq_m g(as \llbracket - \> \rrbracket bs)$
apply (*induct as arbitrary: f g bs*)
apply *simp*
apply (*case-tac bs*)
apply *auto*
done

lemma *map-le-implies-dom-le*: $(f \subseteq_m g) \implies (\text{dom } f \subseteq \text{dom } g)$
by (*fastsimp simp add: map-le-def dom-def*)

lemma *map-le-refl [simp]*: $f \subseteq_m f$
by (*simp add: map-le-def*)

lemma *map-le-trans[trans]*: $\llbracket m1 \subseteq_m m2; m2 \subseteq_m m3 \rrbracket \implies m1 \subseteq_m m3$
by (*auto simp add: map-le-def dom-def*)

lemma *map-le-antisym*: $\llbracket f \subseteq_m g; g \subseteq_m f \rrbracket \implies f = g$
unfolding *map-le-def*
apply (*rule ext*)
apply (*case-tac x \in dom f, simp*)
apply (*case-tac x \in dom g, simp, fastsimp*)
done

lemma *map-le-map-add [simp]*: $f \subseteq_m (g ++ f)$
by (*fastsimp simp add: map-le-def*)

lemma *map-le-iff-map-add-commute*: $(f \subseteq_m f ++ g) = (f ++ g = g ++ f)$
by (*fastsimp simp: map-add-def map-le-def expand-fun-eq split: option.splits*)

lemma *map-add-le-mapE*: $f ++ g \subseteq_m h \implies g \subseteq_m h$
by (*fastsimp simp add: map-le-def map-add-def dom-def*)

lemma *map-add-le-mapI*: $\llbracket f \subseteq_m h; g \subseteq_m h; f \subseteq_m f ++ g \rrbracket \implies f ++ g \subseteq_m h$
by (*clarsimp simp add: map-le-def map-add-def dom-def split: option.splits*)

lemma *dom-eq-singleton-conv*: $\text{dom } f = \{x\} \longleftrightarrow (\exists v. f = [x \mapsto v])$
proof (*rule iffI*)
assume $\exists v. f = [x \mapsto v]$
thus $\text{dom } f = \{x\}$ **by** (*auto split: split-if-asm*)
next
assume $\text{dom } f = \{x\}$

then obtain v where $f\ x = \text{Some } v$ by *auto*
 hence $[x \mapsto v] \subseteq_m f$ by (*auto simp add: map-le-def*)
 moreover have $f \subseteq_m [x \mapsto v]$ using $\langle \text{dom } f = \{x\} \rangle \langle f\ x = \text{Some } v \rangle$
 by (*auto simp add: map-le-def*)
 ultimately have $f = [x \mapsto v]$ by- (*rule map-le-antisym*)
 thus $\exists v. f = [x \mapsto v]$ by *blast*
 qed

53.12 Various

lemma *set-map-of-compr*:
 assumes *distinct*: *distinct* (*map fst xs*)
 shows *set xs* = $\{(k, v). \text{map-of } xs\ k = \text{Some } v\}$
 using *assms* **proof** (*induct xs*)
 case *Nil* then show ?*case* by *simp*
 next
 case (*Cons x xs*)
 obtain $k\ v$ where $x = (k, v)$ by (*cases x*) *blast*
 with *Cons.prem*s have $k \notin \text{dom } (\text{map-of } xs)$
 by (*simp add: dom-map-of-conv-image-fst*)
 then have *: $\text{insert } (k, v) \{(k, v). \text{map-of } xs\ k = \text{Some } v\} =$
 $\{(k', v'). (\text{map-of } xs(k \mapsto v))\ k' = \text{Some } v'\}$
 by (*auto split: if-splits*)
 from *Cons* have *set xs* = $\{(k, v). \text{map-of } xs\ k = \text{Some } v\}$ by *simp*
 with * $\langle x = (k, v) \rangle$ show ?*case* by *simp*
 qed

lemma *map-of-inject-set*:
 assumes *distinct*: *distinct* (*map fst xs*) *distinct* (*map fst ys*)
 shows *map-of xs* = *map-of ys* \longleftrightarrow *set xs* = *set ys* (**is** ?*lhs* \longleftrightarrow ?*rhs*)
proof
 assume ?*lhs*
 moreover from $\langle \text{distinct } (\text{map fst } xs) \rangle$ have *set xs* = $\{(k, v). \text{map-of } xs\ k = \text{Some } v\}$
 by (*rule set-map-of-compr*)
 moreover from $\langle \text{distinct } (\text{map fst } ys) \rangle$ have *set ys* = $\{(k, v). \text{map-of } ys\ k = \text{Some } v\}$
 by (*rule set-map-of-compr*)
 ultimately show ?*rhs* by *simp*
 next
 assume ?*rhs* show ?*lhs* **proof**
 fix k
 show *map-of xs* $k = \text{map-of } ys\ k$ **proof** (*cases map-of xs k*)
 case *None*
 moreover with $\langle ?rhs \rangle$ have *map-of ys* $k = \text{None}$
 by (*simp add: map-of-eq-None-iff*)
 ultimately show ?*thesis* by *simp*
 next
 case (*Some v*)

```

    moreover with distinct  $\langle ?rhs \rangle$  have map-of  $ys\ k = Some\ v$ 
      by simp
    ultimately show ?thesis by simp
  qed
qed
qed
end

```

54 Quotient: Definition of Quotient Types

```

theory Quotient
imports Plain Sledgehammer
uses
  (~~/src/HOL/Tools/Quotient/quotient-info.ML)
  (~~/src/HOL/Tools/Quotient/quotient-typ.ML)
  (~~/src/HOL/Tools/Quotient/quotient-def.ML)
  (~~/src/HOL/Tools/Quotient/quotient-term.ML)
  (~~/src/HOL/Tools/Quotient/quotient-tacs.ML)
begin

```

Basic definition for equivalence relations that are represented by predicates.

definition

$$equivp\ E \equiv \forall x\ y. E\ x\ y = (E\ x = E\ y)$$

definition

$$reflp\ E \equiv \forall x. E\ x\ x$$

definition

$$symp\ E \equiv \forall x\ y. E\ x\ y \longrightarrow E\ y\ x$$

definition

$$transp\ E \equiv \forall x\ y\ z. E\ x\ y \wedge E\ y\ z \longrightarrow E\ x\ z$$

lemma *equivp-reflp-symp-transp*:

shows $equivp\ E = (reflp\ E \wedge symp\ E \wedge transp\ E)$

unfolding *equivp-def reflip-def symp-def transp-def expand-fun-eq*

by *blast*

lemma *equivp-reflp*:

shows $equivp\ E \implies E\ x\ x$

by (*simp only: equivp-reflp-symp-transp reflip-def*)

lemma *equivp-symp*:

shows $equivp\ E \implies E\ x\ y \implies E\ y\ x$

by (*metis equivp-reflp-symp-transp symp-def*)

lemma *equivp-transp*:

shows $\text{equivp } E \implies E \ x \ y \implies E \ y \ z \implies E \ x \ z$
by (*metis equivp-reflp-symp-transp transp-def*)

lemma *equivpI*:
assumes *reflp R symp R transp R*
shows *equivp R*
using *assms* **by** (*simp add: equivp-reflp-symp-transp*)

lemma *identity-equivp*:
shows *equivp (op =)*
unfolding *equivp-def*
by *auto*

Partial equivalences: not yet used anywhere

definition
 $\text{part-equivp } E \equiv (\exists x. E \ x \ x) \wedge (\forall x \ y. E \ x \ y = (E \ x \ x \wedge E \ y \ y \wedge (E \ x = E \ y)))$

lemma *equivp-implies-part-equivp*:
assumes *a: equivp E*
shows *part-equivp E*
using *a*
unfolding *equivp-def part-equivp-def*
by *auto*

Composition of Relations

abbreviation
 $\text{rel-conj (infixr } \text{OOO } 75)$
where
 $r1 \ \text{OOO} \ r2 \equiv r1 \ \text{OO} \ r2 \ \text{OO} \ r1$

lemma *eq-comp-r*:
shows $((\text{op } =) \ \text{OOO} \ R) = R$
by (*auto simp add: expand-fun-eq*)

54.1 Respects predicate

definition
 Respects
where
 $\text{Respects } R \ x \equiv R \ x \ x$

lemma *in-respects*:
shows $(x \in \text{Respects } R) = R \ x \ x$
unfolding *mem-def Respects-def*
by *simp*

54.2 Function map and function relation

definition

fun-map (**infixr** $--->$ 55)

where

[*simp*]: *fun-map* *f g h x* = *g* (*h* (*f x*))

definition

fun-rel (**infixr** $===>$ 55)

where

[*simp*]: *fun-rel* *E1 E2 f g* = $(\forall x y. E1\ x\ y \longrightarrow E2\ (f\ x)\ (g\ y))$

lemma *fun-relI* [*intro*]:

assumes $\bigwedge a\ b. P\ a\ b \implies Q\ (x\ a)\ (y\ b)$

shows $(P\ ===>\ Q)\ x\ y$

using *assms* **by** (*simp add: fun-rel-def*)

lemma *fun-map-id*:

shows $(id\ --->\ id) = id$

by (*simp add: expand-fun-eq id-def*)

lemma *fun-rel-eq*:

shows $((op\ =)\ ===>\ (op\ =)) = (op\ =)$

by (*simp add: expand-fun-eq*)

lemma *fun-rel-id*:

assumes $a: \bigwedge x\ y. R1\ x\ y \implies R2\ (f\ x)\ (g\ y)$

shows $(R1\ ===>\ R2)\ f\ g$

using *a* **by** *simp*

lemma *fun-rel-id-asm*:

assumes $a: \bigwedge x\ y. R1\ x\ y \implies (A \longrightarrow R2\ (f\ x)\ (g\ y))$

shows $A \longrightarrow (R1\ ===>\ R2)\ f\ g$

using *a* **by** *auto*

54.3 Quotient Predicate

definition

Quotient *E Abs Rep* \equiv

$(\forall a. Abs\ (Rep\ a) = a) \wedge (\forall a. E\ (Rep\ a)\ (Rep\ a)) \wedge$

$(\forall r\ s. E\ r\ s = (E\ r\ r \wedge E\ s\ s \wedge (Abs\ r = Abs\ s)))$

lemma *Quotient-abs-rep*:

assumes $a: Quotient\ E\ Abs\ Rep$

shows $Abs\ (Rep\ a) = a$

using *a*

unfolding *Quotient-def*

by *simp*

lemma *Quotient-rep-refl*:

assumes $a: Quotient\ E\ Abs\ Rep$

shows $E\ (Rep\ a)\ (Rep\ a)$

using *a*
 unfolding *Quotient-def*
 by *blast*

lemma *Quotient-rel*:
 assumes *a*: *Quotient E Abs Rep*
 shows $E\ r\ s = (E\ r\ r \wedge E\ s\ s \wedge (Abs\ r = Abs\ s))$
 using *a*
 unfolding *Quotient-def*
 by *blast*

lemma *Quotient-rel-rep*:
 assumes *a*: *Quotient R Abs Rep*
 shows $R\ (Rep\ a)\ (Rep\ b) = (a = b)$
 using *a*
 unfolding *Quotient-def*
 by *metis*

lemma *Quotient-rep-abs*:
 assumes *a*: *Quotient R Abs Rep*
 shows $R\ r\ r \implies R\ (Rep\ (Abs\ r))\ r$
 using *a* unfolding *Quotient-def*
 by *blast*

lemma *Quotient-rel-abs*:
 assumes *a*: *Quotient E Abs Rep*
 shows $E\ r\ s \implies Abs\ r = Abs\ s$
 using *a* unfolding *Quotient-def*
 by *blast*

lemma *Quotient-symp*:
 assumes *a*: *Quotient E Abs Rep*
 shows *symp E*
 using *a* unfolding *Quotient-def symp-def*
 by *metis*

lemma *Quotient-transp*:
 assumes *a*: *Quotient E Abs Rep*
 shows *transp E*
 using *a* unfolding *Quotient-def transp-def*
 by *metis*

lemma *identity-quotient*:
 shows *Quotient (op =) id id*
 unfolding *Quotient-def id-def*
 by *blast*

lemma *fun-quotient*:
 assumes *q1*: *Quotient R1 abs1 rep1*

```

and    q2: Quotient R2 abs2 rep2
shows Quotient (R1 ===> R2) (rep1 ----> abs2) (abs1 ----> rep2)
proof -
  have  $\forall a. (rep1 ----> abs2) ((abs1 ----> rep2) a) = a$ 
    using q1 q2
    unfolding Quotient-def
    unfolding expand-fun-eq
    by simp
  moreover
  have  $\forall a. (R1 ===> R2) ((abs1 ----> rep2) a) ((abs1 ----> rep2) a)$ 
    using q1 q2
    unfolding Quotient-def
    by (simp (no-asm)) (metis)
  moreover
  have  $\forall r s. (R1 ===> R2) r s = ((R1 ===> R2) r r \wedge (R1 ===> R2) s s$ 
 $\wedge$ 
   $(rep1 ----> abs2) r = (rep1 ----> abs2) s)$ 
    unfolding expand-fun-eq
    apply(auto)
    using q1 q2 unfolding Quotient-def
    apply(metis)
    using q1 q2 unfolding Quotient-def
    apply(metis)
    using q1 q2 unfolding Quotient-def
    apply(metis)
    using q1 q2 unfolding Quotient-def
    apply(metis)
    done
  ultimately
  show Quotient (R1 ===> R2) (rep1 ----> abs2) (abs1 ----> rep2)
    unfolding Quotient-def by blast
qed

```

lemma *abs-o-rep*:

```

assumes a: Quotient R Abs Rep
shows Abs o Rep = id
unfolding expand-fun-eq
by (simp add: Quotient-abs-rep[OF a])

```

lemma *equals-rsp*:

```

assumes q: Quotient R Abs Rep
and    a: R xa xb R ya yb
shows R xa ya = R xb yb
using a Quotient-symp[OF q] Quotient-transp[OF q]
unfolding symp-def transp-def
by blast

```

lemma *lambda-prs*:

```

assumes q1: Quotient R1 Abs1 Rep1

```

and $q2: \text{Quotient } R2 \text{ Abs2 } Rep2$
shows $(Rep1 \dashrightarrow Abs2) (\lambda x. Rep2 (f (Abs1 x))) = (\lambda x. f x)$
unfolding *expand-fun-eq*
using *Quotient-abs-rep*[OF $q1$] *Quotient-abs-rep*[OF $q2$]
by *simp*

lemma *lambda-prs1*:
assumes $q1: \text{Quotient } R1 \text{ Abs1 } Rep1$
and $q2: \text{Quotient } R2 \text{ Abs2 } Rep2$
shows $(Rep1 \dashrightarrow Abs2) (\lambda x. (Abs1 \dashrightarrow Rep2) f x) = (\lambda x. f x)$
unfolding *expand-fun-eq*
using *Quotient-abs-rep*[OF $q1$] *Quotient-abs-rep*[OF $q2$]
by *simp*

lemma *rep-abs-rsp*:
assumes $q: \text{Quotient } R \text{ Abs } Rep$
and $a: R \ x1 \ x2$
shows $R \ x1 \ (Rep (Abs \ x2))$
using a *Quotient-rel*[OF q] *Quotient-abs-rep*[OF q] *Quotient-rep-reflp*[OF q]
by *metis*

lemma *rep-abs-rsp-left*:
assumes $q: \text{Quotient } R \text{ Abs } Rep$
and $a: R \ x1 \ x2$
shows $R \ (Rep (Abs \ x1)) \ x2$
using a *Quotient-rel*[OF q] *Quotient-abs-rep*[OF q] *Quotient-rep-reflp*[OF q]
by *metis*

In the following theorem $R1$ can be instantiated with anything, but we know some of the types of the Rep and Abs functions; so by solving Quotient assumptions we can get a unique $R1$ that will be provable; which is why we need to use *apply-rsp* and not the primed version

lemma *apply-rsp*:
fixes $f g :: 'a \Rightarrow 'c$
assumes $q: \text{Quotient } R1 \text{ Abs1 } Rep1$
and $a: (R1 \implies R2) f g \ R1 \ x \ y$
shows $R2 \ (f \ x) \ (g \ y)$
using a **by** *simp*

lemma *apply-rsp'*:
assumes $a: (R1 \implies R2) f g \ R1 \ x \ y$
shows $R2 \ (f \ x) \ (g \ y)$
using a **by** *simp*

54.4 lemmas for regularisation of ball and bex

lemma *ball-reg-eqv*:
fixes $P :: 'a \Rightarrow \text{bool}$
assumes $a: \text{equivp } R$

shows $Ball\ (Respects\ R)\ P = (All\ P)$
 using a
 unfolding $equivp-def$
 by $(auto\ simp\ add:\ in-respects)$

lemma $bex-reg-equiv$:
 fixes $P :: 'a \Rightarrow bool$
 assumes $a: equivp\ R$
 shows $Bex\ (Respects\ R)\ P = (Ex\ P)$
 using a
 unfolding $equivp-def$
 by $(auto\ simp\ add:\ in-respects)$

lemma $ball-reg-right$:
 assumes $a: \bigwedge x. R\ x \Longrightarrow P\ x \longrightarrow Q\ x$
 shows $All\ P \longrightarrow Ball\ R\ Q$
 using a by $(metis\ COMBC-def\ Collect-def\ Collect-mem-eq)$

lemma $bex-reg-left$:
 assumes $a: \bigwedge x. R\ x \Longrightarrow Q\ x \longrightarrow P\ x$
 shows $Bex\ R\ Q \longrightarrow Ex\ P$
 using a by $(metis\ COMBC-def\ Collect-def\ Collect-mem-eq)$

lemma $ball-reg-left$:
 assumes $a: equivp\ R$
 shows $(\bigwedge x. (Q\ x \longrightarrow P\ x)) \Longrightarrow Ball\ (Respects\ R)\ Q \longrightarrow All\ P$
 using a by $(metis\ equivp-reflp\ in-respects)$

lemma $bex-reg-right$:
 assumes $a: equivp\ R$
 shows $(\bigwedge x. (Q\ x \longrightarrow P\ x)) \Longrightarrow Ex\ Q \longrightarrow Bex\ (Respects\ R)\ P$
 using a by $(metis\ equivp-reflp\ in-respects)$

lemma $ball-reg-equiv-range$:
 fixes $P :: 'a \Rightarrow bool$
 and $x :: 'a$
 assumes $a: equivp\ R2$
 shows $(Ball\ (Respects\ (R1 ==> R2))\ (\lambda f. P\ (f\ x))) = All\ (\lambda f. P\ (f\ x))$
 apply $(rule\ iffI)$
 apply $(rule\ allI)$
 apply $(drule-tac\ x=\lambda y. f\ x\ in\ bspec)$
 apply $(simp\ add:\ in-respects)$
 apply $(rule\ impI)$
 using $a\ equivp-reflp-symp-transp[of\ R2]$
 apply $(simp\ add:\ reflp-def)$
 apply $(simp)$
 apply $(simp)$
 done


```

lemma bex-reg-equiv-range:
  assumes a: equivp R2
  shows (Bex (Respects (R1  $\implies$  R2)) ( $\lambda f. P (f x)$ ) = Ex ( $\lambda f. P (f x)$ ))
  apply(auto)
  apply(rule-tac x= $\lambda y. f x$  in bexI)
  apply(simp)
  apply(simp add: Respects-def in-respects)
  apply(rule impI)
  using a equivp-reflp-symp-transp[of R2]
  apply(simp add: reflp-def)
  done

```

```

lemma all-reg:
  assumes a:  $\!x :: 'a. (P x \longrightarrow Q x)$ 
  and b: All P
  shows All Q
  using a b by (metis)

```

```

lemma ex-reg:
  assumes a:  $\!x :: 'a. (P x \longrightarrow Q x)$ 
  and b: Ex P
  shows Ex Q
  using a b by metis

```

```

lemma ball-reg:
  assumes a:  $\!x :: 'a. (R x \longrightarrow P x \longrightarrow Q x)$ 
  and b: Ball R P
  shows Ball R Q
  using a b by (metis COMBC-def Collect-def Collect-mem-eq)

```

```

lemma bex-reg:
  assumes a:  $\!x :: 'a. (R x \longrightarrow P x \longrightarrow Q x)$ 
  and b: Bex R P
  shows Bex R Q
  using a b by (metis COMBC-def Collect-def Collect-mem-eq)

```

```

lemma ball-all-comm:
  assumes  $\bigwedge y. (\forall x \in P. A x y) \longrightarrow (\forall x. B x y)$ 
  shows  $(\forall x \in P. \forall y. A x y) \longrightarrow (\forall x. \forall y. B x y)$ 
  using assms by auto

```

```

lemma bex-ex-comm:
  assumes  $(\exists y. \exists x. A x y) \longrightarrow (\exists y. \exists x \in P. B x y)$ 
  shows  $(\exists x. \exists y. A x y) \longrightarrow (\exists x \in P. \exists y. B x y)$ 
  using assms by auto

```

54.5 Bounded abstraction

definition

$Babs :: ('a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$

where

$x \in p \Longrightarrow Babs\ p\ m\ x = m\ x$

lemma *babs-rsp*:

assumes q : *Quotient* $R1$ $Abs1$ $Rep1$

and a : $(R1 \Longrightarrow R2) f\ g$

shows $(R1 \Longrightarrow R2) (Babs\ (Respects\ R1)\ f) (Babs\ (Respects\ R1)\ g)$

apply (*auto simp add: Babs-def in-respects*)

apply (*subgoal-tac* $x \in Respects\ R1 \wedge y \in Respects\ R1$)

using a **apply** (*simp add: Babs-def*)

apply (*simp add: in-respects*)

using *Quotient-rel*[*OF* q]

by *metis*

lemma *babs-prs*:

assumes $q1$: *Quotient* $R1$ $Abs1$ $Rep1$

and $q2$: *Quotient* $R2$ $Abs2$ $Rep2$

shows $((Rep1 \dashrightarrow Abs2) (Babs\ (Respects\ R1) ((Abs1 \dashrightarrow Rep2)\ f))) = f$

apply (*rule ext*)

apply (*simp*)

apply (*subgoal-tac* $Rep1\ x \in Respects\ R1$)

apply (*simp add: Babs-def Quotient-abs-rep*[*OF* $q1$] *Quotient-abs-rep*[*OF* $q2$])

apply (*simp add: in-respects Quotient-rel-rep*[*OF* $q1$])

done

lemma *babs-simp*:

assumes q : *Quotient* $R1$ Abs Rep

shows $((R1 \Longrightarrow R2) (Babs\ (Respects\ R1)\ f) (Babs\ (Respects\ R1)\ g)) = ((R1 \Longrightarrow R2)\ f\ g)$

apply(*rule iffI*)

apply(*simp-all only: babs-rsp*[*OF* q])

apply(*auto simp add: Babs-def*)

apply (*subgoal-tac* $x \in Respects\ R1 \wedge y \in Respects\ R1$)

apply(*metis Babs-def*)

apply (*simp add: in-respects*)

using *Quotient-rel*[*OF* q]

by *metis*

lemma *babs-reg-eqv*:

shows *equivp* $R \Longrightarrow Babs\ (Respects\ R)\ P = P$

by (*simp add: expand-fun-eq Babs-def in-respects equivp-reflp*)

lemma *ball-rsp*:

assumes a : $(R == => (op =)) f g$
shows $Ball (Respects R) f = Ball (Respects R) g$
using a **by** (*simp add: Ball-def in-respects*)

lemma *bex-rsp*:

assumes a : $(R == => (op =)) f g$
shows $(Bex (Respects R) f = Bex (Respects R) g)$
using a **by** (*simp add: Bex-def in-respects*)

lemma *bex1-rsp*:

assumes a : $(R == => (op =)) f g$
shows $Ex1 (\lambda x. x \in Respects R \wedge f x) = Ex1 (\lambda x. x \in Respects R \wedge g x)$
using a
by (*simp add: Ex1-def in-respects*) *auto*

lemma *all-prs*:

assumes a : *Quotient* R *absf repf*
shows $Ball (Respects R) ((absf ---> id) f) = All f$
using a **unfolding** *Quotient-def Ball-def in-respects fun-map-def id-apply*
by *metis*

lemma *ex-prs*:

assumes a : *Quotient* R *absf repf*
shows $Bex (Respects R) ((absf ---> id) f) = Ex f$
using a **unfolding** *Quotient-def Bex-def in-respects fun-map-def id-apply*
by *metis*

54.6 *Bex1-rel* quantifier

definition

$Bex1-rel :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow ('a \Rightarrow bool) \Rightarrow bool$

where

$Bex1-rel R P \longleftrightarrow (\exists x \in Respects R. P x) \wedge (\forall x \in Respects R. \forall y \in Respects R. ((P x \wedge P y) \longrightarrow (R x y)))$

lemma *bex1-rel-aux*:

$\llbracket \forall xa ya. R xa ya \longrightarrow x xa = y ya; Bex1-rel R x \rrbracket \Longrightarrow Bex1-rel R y$
unfolding *Bex1-rel-def*
apply (*erule conjE*)
apply (*erule bexE*)
apply *rule*
apply (*rule-tac x=xa in bexI*)
apply *metis*
apply *metis*
apply *rule*
apply (*erule-tac x=xaa in ballE*)
prefer 2

```

apply (metis)
apply (erule-tac x=ya in ballE)
prefer 2
apply (metis)
apply (metis in-respects)
done

```

lemma *bex1-rel-aux2*:

```

 $\llbracket \forall xa ya. R xa ya \longrightarrow x xa = y ya; Bex1-rel R y \rrbracket \Longrightarrow Bex1-rel R x$ 
unfolding Bex1-rel-def
apply (erule conjE)+
apply (erule bexE)
apply rule
apply (rule-tac x=xa in bexI)
apply metis
apply metis
apply rule+
apply (erule-tac x=xaa in ballE)
prefer 2
apply (metis)
apply (erule-tac x=ya in ballE)
prefer 2
apply (metis)
apply (metis in-respects)
done

```

lemma *bex1-rel-rsp*:

```

assumes a: Quotient R absf repf
shows ((R ==> op =) ==> op =) (Bex1-rel R) (Bex1-rel R)
apply simp
apply clarify
apply rule
apply (simp-all add: bex1-rel-aux bex1-rel-aux2)
apply (erule bex1-rel-aux2)
apply assumption
done

```

lemma *ex1-prs*:

```

assumes a: Quotient R absf repf
shows ((absf ---> id) ---> id) (Bex1-rel R) f = Ex1 f
apply simp
apply (subst Bex1-rel-def)
apply (subst Bex-def)
apply (subst Ex1-def)
apply simp
apply rule
apply (erule conjE)+
apply (erule-tac exE)

```

```

apply (erule conjE)
apply (subgoal-tac  $\forall y. R\ y\ y \longrightarrow f\ (absf\ y) \longrightarrow R\ x\ y$ )
apply (rule-tac  $x=absf\ x$  in exI)
apply (simp)
apply rule+
using a unfolding Quotient-def
apply metis
apply rule+
apply (erule-tac  $x=x$  in ballE)
apply (erule-tac  $x=y$  in ballE)
apply simp
apply (simp add: in-respects)
apply (simp add: in-respects)
apply (erule-tac exE)
apply rule
apply (rule-tac  $x=repf\ x$  in exI)
apply (simp only: in-respects)
apply rule
apply (metis Quotient-rel-rep[OF a])
using a unfolding Quotient-def apply (simp)
apply rule+
using a unfolding Quotient-def in-respects
apply metis
done

```

```

lemma bex1-bexeq-reg:  $(\exists !x \in Respects\ R. P\ x) \longrightarrow (Bex1-rel\ R\ (\lambda x. P\ x))$ 
apply (simp add: Ex1-def Bex1-rel-def in-respects)
apply clarify
apply auto
apply (rule bexI)
apply assumption
apply (simp add: in-respects)
apply (simp add: in-respects)
apply auto
done

```

54.7 Various respects and preserve lemmas

```

lemma quot-rel-rsp:
  assumes a: Quotient R Abs Rep
  shows  $(R\ ==> R\ ==> op\ =)\ R\ R$ 
  apply (rule fun-rel-id)+
  apply (rule equals-rsp[OF a])
  apply (assumption)+
  done

```

```

lemma o-prs:
  assumes q1: Quotient R1 Abs1 Rep1
  and q2: Quotient R2 Abs2 Rep2

```

```

and    q3: Quotient R3 Abs3 Rep3
shows ((Abs2 ----> Rep3) ----> (Abs1 ----> Rep2) ----> (Rep1 ---->
Abs3)) op ◦ = op ◦
and    (id ----> (Abs1 ----> id) ----> Rep1 ----> id) op ◦ = op ◦
using Quotient-abs-rep[OF q1] Quotient-abs-rep[OF q2] Quotient-abs-rep[OF q3]
unfolding o-def expand-fun-eq by simp-all

```

lemma *o-rsp*:

```

((R2 ===> R3) ===> (R1 ===> R2) ===> (R1 ===> R3)) op ◦ op ◦
(op ===> (R1 ===> op =) ===> R1 ===> op =) op ◦ op ◦
unfolding fun-rel-def o-def expand-fun-eq by auto

```

lemma *cond-prs*:

```

assumes a: Quotient R absf repf
shows absf (if a then repf b else repf c) = (if a then b else c)
using a unfolding Quotient-def by auto

```

lemma *if-prs*:

```

assumes q: Quotient R Abs Rep
shows (id ----> Rep ----> Rep ----> Abs) If = If
using Quotient-abs-rep[OF q]
by (auto simp add: expand-fun-eq)

```

lemma *if-rsp*:

```

assumes q: Quotient R Abs Rep
shows (op ===> R ===> R ===> R) If If
by auto

```

lemma *let-prs*:

```

assumes q1: Quotient R1 Abs1 Rep1
and    q2: Quotient R2 Abs2 Rep2
shows (Rep2 ----> (Abs2 ----> Rep1) ----> Abs1) Let = Let
using Quotient-abs-rep[OF q1] Quotient-abs-rep[OF q2]
by (auto simp add: expand-fun-eq)

```

lemma *let-rsp*:

```

shows (R1 ===> (R1 ===> R2) ===> R2) Let Let
by auto

```

locale *quot-type* =

```

fixes R :: 'a ⇒ 'a ⇒ bool
and    Abs :: ('a ⇒ bool) ⇒ 'b
and    Rep :: 'b ⇒ ('a ⇒ bool)
assumes equivp: equivp R
and    rep-prop: ⋀y. ∃x. Rep y = R x
and    rep-inverse: ⋀x. Abs (Rep x) = x
and    abs-inverse: ⋀x. (Rep (Abs (R x))) = (R x)
and    rep-inject: ⋀x y. (Rep x = Rep y) = (x = y)
begin

```

definition

$abs::'a \Rightarrow 'b$

where

$abs\ x \equiv Abs\ (R\ x)$

definition

$rep::'b \Rightarrow 'a$

where

$rep\ a = Eps\ (Rep\ a)$

lemma *homeier-lem9*:

shows $R\ (Eps\ (R\ x)) = R\ x$

proof –

have $a: R\ x\ x$ **using** *equivp* **by** (*simp add: equivp-reflp-symp-transp reflp-def*)

then have $R\ x\ (Eps\ (R\ x))$ **by** (*rule someI*)

then show $R\ (Eps\ (R\ x)) = R\ x$

using *equivp unfolding equivp-def* **by** *simp*

qed

theorem *homeier-thm10*:

shows $abs\ (rep\ a) = a$

unfolding *abs-def rep-def*

proof –

from *rep-prop*

obtain x **where** $eq: Rep\ a = R\ x$ **by** *auto*

have $Abs\ (R\ (Eps\ (Rep\ a))) = Abs\ (R\ (Eps\ (R\ x)))$ **using** *eq* **by** *simp*

also have $\dots = Abs\ (R\ x)$ **using** *homeier-lem9* **by** *simp*

also have $\dots = Abs\ (Rep\ a)$ **using** *eq* **by** *simp*

also have $\dots = a$ **using** *rep-inverse* **by** *simp*

finally

show $Abs\ (R\ (Eps\ (Rep\ a))) = a$ **by** *simp*

qed

lemma *homeier-lem7*:

shows $(R\ x = R\ y) = (Abs\ (R\ x) = Abs\ (R\ y))$ (**is** *?LHS = ?RHS*)

proof –

have *?RHS* $= (Rep\ (Abs\ (R\ x)) = Rep\ (Abs\ (R\ y)))$ **by** (*simp add: rep-inject*)

also have $\dots = ?LHS$ **by** (*simp add: abs-inverse*)

finally show *?LHS = ?RHS* **by** *simp*

qed

theorem *homeier-thm11*:

shows $R\ r\ r' = (abs\ r = abs\ r')$

unfolding *abs-def*

by (*simp only: equivp[simplified equivp-def] homeier-lem7*)

lemma *rep-refl*:

shows $R\ (rep\ a)\ (rep\ a)$

```

unfolding rep-def
by (simp add: equivp[simplified equivp-def])

lemma rep-abs-rsp:
  shows  $R\ f\ (rep\ (abs\ g)) = R\ f\ g$ 
  and  $R\ (rep\ (abs\ g))\ f = R\ g\ f$ 
  by (simp-all add: homeier-thm10 homeier-thm11)

lemma Quotient:
  shows Quotient  $R\ abs\ rep$ 
  unfolding Quotient-def
  apply (simp add: homeier-thm10)
  apply (simp add: rep-refl)
  apply (subst homeier-thm11[symmetric])
  apply (simp add: equivp[simplified equivp-def])
  done

end

```

54.8 ML setup

Auxiliary data for the quotient package

```
use ~/src/HOL/Tools/Quotient/quotient-info.ML
```

```
declare [[map fun = (fun-map, fun-rel)]]
```

```

lemmas [quot-thm] = fun-quotient
lemmas [quot-respect] = quot-rel-rsp if-rsp o-rsp let-rsp
lemmas [quot-preserve] = if-prs o-prs let-prs
lemmas [quot-equiv] = identity-equivp

```

Lemmas about simplifying id’s.

```

lemmas [id-simps] =
  id-def[symmetric]
  fun-map-id
  id-apply
  id-o
  o-id
  eq-comp-r

```

Translation functions for the lifting process.

```
use ~/src/HOL/Tools/Quotient/quotient-term.ML
```

Definitions of the quotient types.

```
use ~/src/HOL/Tools/Quotient/quotient-typ.ML
```

Definitions for quotient constants.

use `~~/src/HOL/Tools/Quotient/quotient-def.ML`

An auxiliary constant for recording some information about the lifted theorem in a tactic.

definition

$Quot-True\ (x :: 'a) \equiv True$

lemma

shows $QT-all: Quot-True\ (All\ P) \implies Quot-True\ P$
and $QT-ex: Quot-True\ (Ex\ P) \implies Quot-True\ P$
and $QT-ex1: Quot-True\ (Ex1\ P) \implies Quot-True\ P$
and $QT-lam: Quot-True\ (\lambda x. P\ x) \implies (\bigwedge x. Quot-True\ (P\ x))$
and $QT-ext: (\bigwedge x. Quot-True\ (a\ x) \implies f\ x = g\ x) \implies (Quot-True\ a \implies f = g)$
by $(simp-all\ add: Quot-True-def\ ext)$

lemma $QT-imp: Quot-True\ a \equiv Quot-True\ b$

by $(simp\ add: Quot-True-def)$

Tactics for proving the lifted theorems

use `~~/src/HOL/Tools/Quotient/quotient-tacs.ML`

54.9 Methods / Interface

method-setup *lifting* =

$\ll\ \text{Attrib.thms} \gg (\text{fn thms} \Rightarrow \text{fn ctxt} \Rightarrow \text{SIMPLE-METHOD}\ (\text{HEADGOAL}\ (\text{Quotient-Tacs.lift-tac}\ \text{ctxt}\ \text{thms}))) \gg$
 $\ll\ \text{lifts theorems to quotient types} \gg$

method-setup *lifting-setup* =

$\ll\ \text{Attrib.thm} \gg (\text{fn thms} \Rightarrow \text{fn ctxt} \Rightarrow \text{SIMPLE-METHOD}\ (\text{HEADGOAL}\ (\text{Quotient-Tacs.procedure-tac}\ \text{ctxt}\ \text{thms}))) \gg$
 $\ll\ \text{sets up the three goals for the quotient lifting procedure} \gg$

method-setup *regularize* =

$\ll\ \text{Scan.succeed}\ (\text{fn ctxt} \Rightarrow \text{SIMPLE-METHOD}\ (\text{HEADGOAL}\ (\text{Quotient-Tacs.regularize-tac}\ \text{ctxt}))) \gg$
 $\ll\ \text{proves the regularization goals from the quotient lifting procedure} \gg$

method-setup *injection* =

$\ll\ \text{Scan.succeed}\ (\text{fn ctxt} \Rightarrow \text{SIMPLE-METHOD}\ (\text{HEADGOAL}\ (\text{Quotient-Tacs.all-injection-tac}\ \text{ctxt}))) \gg$
 $\ll\ \text{proves the rep/abs injection goals from the quotient lifting procedure} \gg$

method-setup *cleaning* =

$\ll\ \text{Scan.succeed}\ (\text{fn ctxt} \Rightarrow \text{SIMPLE-METHOD}\ (\text{HEADGOAL}\ (\text{Quotient-Tacs.clean-tac}\ \text{ctxt}))) \gg$
 $\ll\ \text{proves the cleaning goals from the quotient lifting procedure} \gg$

```

attribute-setup quot-lifted =
  << Scan.succeed Quotient-Tacs.lifted-attrib >>
  << lifts theorems to quotient types >>

no-notation
  rel-conj (infixr OOO 75) and
  fun-map (infixr ---> 55) and
  fun-rel (infixr ===> 55)

end

```

55 Refute: Refute

```

theory Refute
imports Hilbert-Choice List
uses
  Tools/refute.ML
  Tools/refute-isar.ML
begin

setup Refute.setup

```

```

(* ----- *)
(* REFUTE                                         *)
(* ----- *)
(* We use a SAT solver to search for a (finite) model that refutes a given *)
(* HOL formula.                                  *)
(* ----- *)

(* ----- *)
(* NOTE                                           *)
(* ----- *)
(* I strongly recommend that you install a stand-alone SAT solver if you *)
(* want to use 'refute'. For details see 'HOL/Tools/sat_solver.ML'. If you *)
(* have installed (a supported version of) zChaff, simply set 'ZCHAFF_HOME' *)
(* in 'etc/settings'.                             *)
(* ----- *)

(* ----- *)
(* USAGE                                          *)
(* ----- *)
(* See the file 'HOL/ex/Refute_Examples.thy' for examples. The supported *)
(* parameters are explained below.                *)
(* ----- *)

(* ----- *)

```

```

(* CURRENT LIMITATIONS *)
(*
(* 'refute' currently accepts formulas of higher-order predicate logic (with
(* equality), including free/bound/schematic variables, lambda abstractions,
(* sets and set membership, "arbitrary", "The", "Eps", records and
(* inductively defined sets. Constants are unfolded automatically, and sort
(* axioms are added as well. Other, user-asserted axioms however are
(* ignored. Inductive datatypes and recursive functions are supported, but
(* may lead to spurious countermodels.
(*
(* The (space) complexity of the algorithm is non-elementary.
(*
(* Schematic type variables are not supported.
(* ----- *)

(* ----- *)
(* PARAMETERS *)
(*
(* The following global parameters are currently supported (and required,
(* except for "expect"):
(*
(* Name          Type      Description
(*
(* "minsize"      int       Only search for models with size at least
(*                          'minsize'.
(*
(* "maxsize"      int       If >0, only search for models with size at most
(*                          'maxsize'.
(*
(* "maxvars"      int       If >0, use at most 'maxvars' boolean variables
(*                          when transforming the term into a propositional
(*                          formula.
(*
(* "maxtime"      int       If >0, terminate after at most 'maxtime' seconds.
(*                          This value is ignored under some ML compilers.
(*
(* "satsolver"    string    Name of the SAT solver to be used.
(*
(* "no_assms"     bool      If "true", assumptions in structured proofs are
(*                          not considered.
(*
(* "expect"       string    Expected result ("genuine", "potential", "none", or
(*                          "unknown").
(*
(* See 'HOL/SAT.thy' for default values.
(*
(* The size of particular types can be specified in the form type=size
(* (where 'type' is a string, and 'size' is an int). Examples:
(* "'a'=1
(* "List.list=2
(* ----- *)

(* ----- *)
(* FILES
(*

```

```

(* HOL/Tools/prop_logic.ML      Propositional logic      *)
(* HOL/Tools/sat_solver.ML      SAT solvers                *)
(* HOL/Tools/refute.ML          Translation HOL -> propositional logic and *)
(*                               Boolean assignment -> HOL model      *)
(* HOL/Tools/refute_isar.ML      Adds 'refute'/'refute_params' to Isabelle's *)
(*                               syntax                                *)
(* HOL/Refute.thy                This file: loads the ML files, basic setup, *)
(*                               documentation                        *)
(* HOL/SAT.thy                  Sets default parameters        *)
(* HOL/ex/RefuteExamples.thy     Examples                    *)
(* ----- *)

```

end

56 SAT: Reconstructing external resolution proofs for propositional logic

```

theory SAT
imports Refute
uses
  Tools/cnf-funcs.ML
  Tools/sat-funcs.ML
begin

```

Late package setup: default values for refute, see also theory *Refute*.

refute-params

```

[itself=1,
 minsize=1,
 maxsize=8,
 maxvars=10000,
 maxtime=60,
 satsolver=auto,
 no-assms=false]

```

ML \ll *structure* *sat* = *SATFunc*(*cnf*) \gg

method-setup *sat* = \ll *Scan.succeed* (*SIMPLE-METHOD'* *o* *sat.sat-tac*) \gg
SAT solver

method-setup *satx* = \ll *Scan.succeed* (*SIMPLE-METHOD'* *o* *sat.satx-tac*) \gg
SAT solver (with definitional CNF)

end

57 Nitpick: Nitpick: Yet Another Counterexample Generator for Isabelle/HOL

```

theory Nitpick
imports Map Quotient SAT
uses (Tools/Nitpick/kodkod.ML)
      (Tools/Nitpick/kodkod-sat.ML)
      (Tools/Nitpick/nitpick-util.ML)
      (Tools/Nitpick/nitpick-hol.ML)
      (Tools/Nitpick/nitpick-preproc.ML)
      (Tools/Nitpick/nitpick-mono.ML)
      (Tools/Nitpick/nitpick-scope.ML)
      (Tools/Nitpick/nitpick-peephole.ML)
      (Tools/Nitpick/nitpick-rep.ML)
      (Tools/Nitpick/nitpick-nut.ML)
      (Tools/Nitpick/nitpick-kodkod.ML)
      (Tools/Nitpick/nitpick-model.ML)
      (Tools/Nitpick/nitpick.ML)
      (Tools/Nitpick/nitpick-isar.ML)
      (Tools/Nitpick/nitpick-tests.ML)
      (Tools/Nitpick/minipick.ML)
begin

typedecl bisim-iterator

axiomatization unknown :: 'a
  and is-unknown :: 'a  $\Rightarrow$  bool
  and undefined-fast-The :: 'a
  and undefined-fast-Eps :: 'a
  and bisim :: bisim-iterator  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  bool
  and bisim-iterator-max :: bisim-iterator
  and Quot :: 'a  $\Rightarrow$  'b
  and safe-The :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a
  and safe-Eps :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a

datatype ('a, 'b) fin-fun = FinFun ('a  $\Rightarrow$  'b)
datatype ('a, 'b) fun-box = FunBox ('a  $\Rightarrow$  'b)
datatype ('a, 'b) pair-box = PairBox 'a 'b

typedecl unsigned-bit
typedecl signed-bit

datatype 'a word = Word ('a set)

Alternative definitions.

lemma If-def [nitpick-def, no-atp]:
  (if P then Q else R)  $\equiv$  (P  $\longrightarrow$  Q)  $\wedge$  ( $\neg$  P  $\longrightarrow$  R)
by (rule eq-reflection) (rule if-bool-eq-conj)

```

lemma *Ex1-def* [*nitpick-def*, *no-atp*]:

Ex1 $P \equiv \exists x. P = \{x\}$

apply (*rule eq-reflection*)

apply (*simp add: Ex1-def expand-set-eq*)

apply (*rule iffI*)

apply (*erule exE*)

apply (*erule conjE*)

apply (*rule-tac* $x = x$ **in** *exI*)

apply (*rule allI*)

apply (*rename-tac* *y*)

apply (*erule-tac* $x = y$ **in** *allE*)

by (*auto simp: mem-def*)

lemma *split-def* [*nitpick-def*]: *split* $f = (\lambda p. f (fst\ p) (snd\ p))$

by (*simp add: prod-case-unfold split-def*)

lemma *rtrancl-def* [*nitpick-def*, *no-atp*]: $r^* \equiv (r^+)^=$

by *simp*

lemma *rtranclp-def* [*nitpick-def*, *no-atp*]:

rtranclp $r\ a\ b \equiv (a = b \vee \text{trancplp}\ r\ a\ b)$

by (*rule eq-reflection*) (*auto dest: rtranclpD*)

lemma *trancplp-def* [*nitpick-def*, *no-atp*]:

trancplp $r\ a\ b \equiv \text{trancpl}\ (\text{split}\ r)\ (a, b)$

by (*simp add: trancpl-def Collect-def mem-def*)

definition *refl'* :: $('a \times 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$ **where**

refl' $r \equiv \forall x. (x, x) \in r$

definition *wf'* :: $('a \times 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$ **where**

wf' $r \equiv \text{acyclic}\ r \wedge (\text{finite}\ r \vee \text{unknown})$

axiomatization *wf-wfrec* :: $('a \times 'a \Rightarrow \text{bool}) \Rightarrow ((a \Rightarrow b) \Rightarrow a \Rightarrow b) \Rightarrow a \Rightarrow b$

definition *wf-wfrec'* :: $('a \times 'a \Rightarrow \text{bool}) \Rightarrow ((a \Rightarrow b) \Rightarrow a \Rightarrow b) \Rightarrow a \Rightarrow b$ **where**

[*nitpick-simp*]: *wf-wfrec'* $R\ F\ x = F\ (\text{Recdef.cut}\ (\text{wf-wfrec}\ R\ F)\ R\ x)\ x$

definition *wfrec'* :: $('a \times 'a \Rightarrow \text{bool}) \Rightarrow ((a \Rightarrow b) \Rightarrow a \Rightarrow b) \Rightarrow a \Rightarrow b$ **where**

wfrec' $R\ F\ x \equiv \text{if}\ \text{wf}\ R\ \text{then}\ \text{wf-wfrec}'\ R\ F\ x$

else THE y. wfrec-rel R (%f x. F (Recdef.cut f R x) x) x y

definition *card'* :: $('a \Rightarrow \text{bool}) \Rightarrow \text{nat}$ **where**

card' $A \equiv \text{if}\ \text{finite}\ A\ \text{then}\ \text{length}\ (\text{safe-Eps}\ (\lambda xs. \text{set}\ xs = A \wedge \text{distinct}\ xs))\ \text{else}\ 0$

definition *setsum'* :: $('a \Rightarrow b::\text{comm-monoid-add}) \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow b$ **where**

setsum' $f\ A \equiv \text{if}\ \text{finite}\ A\ \text{then}\ \text{listsum}\ (\text{map}\ f\ (\text{safe-Eps}\ (\lambda xs. \text{set}\ xs = A \wedge \text{distinct}$

$xs)))$ else 0

inductive *fold-graph'* :: ('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow ('a \Rightarrow bool) \Rightarrow 'b \Rightarrow bool **where**
fold-graph' f z {} z |
 $\llbracket x \in A; \text{fold-graph}' f z (A - \{x\}) y \rrbracket \Longrightarrow \text{fold-graph}' f z A (f x y)$

The following lemmas are not strictly necessary but they help the *special_level* optimization.

lemma *The-psimp* [*nitpick-psimp*, *no-atp*]:
 $P = \{x\} \Longrightarrow \text{The } P = x$
by (*subgoal-tac* { x } = ($\lambda y. y = x$)) (*auto simp: mem-def*)

lemma *Eps-psimp* [*nitpick-psimp*, *no-atp*]:
 $\llbracket P x; \neg P y; \text{Eps } P = y \rrbracket \Longrightarrow \text{Eps } P = x$
apply (*case-tac* P (*Eps* P))
apply *auto*
apply (*erule contrapos-np*)
by (*rule someI*)

lemma *unit-case-def* [*nitpick-def*, *no-atp*]:
 $\text{unit-case } x u \equiv x$
apply (*subgoal-tac* u = ())
apply (*simp only: unit.cases*)
by *simp*

declare *unit.cases* [*nitpick-simp del*]

lemma *nat-case-def* [*nitpick-def*, *no-atp*]:
 $\text{nat-case } x f n \equiv \text{if } n = 0 \text{ then } x \text{ else } f (n - 1)$
apply (*rule eq-reflection*)
by (*case-tac* n) *auto*

declare *nat.cases* [*nitpick-simp del*]

lemma *list-size-simp* [*nitpick-simp*, *no-atp*]:
 $\text{list-size } f xs = (\text{if } xs = [] \text{ then } 0$
 $\quad \text{else } \text{Suc } (f (\text{hd } xs) + \text{list-size } f (\text{tl } xs)))$
 $\text{size } xs = (\text{if } xs = [] \text{ then } 0 \text{ else } \text{Suc } (\text{size } (\text{tl } xs)))$
by (*case-tac* xs) *auto*

Auxiliary definitions used to provide an alternative representation for *rat* and *real*.

function *nat-gcd* :: nat \Rightarrow nat \Rightarrow nat **where**
[*simp del*]: $\text{nat-gcd } x y = (\text{if } y = 0 \text{ then } x \text{ else } \text{nat-gcd } y (x \bmod y))$
by *auto*
termination
apply (*relation measure* ($\lambda(x, y). x + y + (\text{if } y > x \text{ then } 1 \text{ else } 0)$))
apply *auto*
apply (*metis mod-less-divisor xt1*(9))

by (*metis mod-mod-trivial mod-self nat-neq-iff xt1 (10)*)

definition *nat-lcm* :: *nat* \Rightarrow *nat* \Rightarrow *nat* **where**
nat-lcm *x y* = *x* * *y* div (*nat-gcd* *x y*)

definition *int-gcd* :: *int* \Rightarrow *int* \Rightarrow *int* **where**
int-gcd *x y* = *int* (*nat-gcd* (*nat* (*abs* *x*)) (*nat* (*abs* *y*)))

definition *int-lcm* :: *int* \Rightarrow *int* \Rightarrow *int* **where**
int-lcm *x y* = *int* (*nat-lcm* (*nat* (*abs* *x*)) (*nat* (*abs* *y*)))

definition *Frac* :: *int* \times *int* \Rightarrow *bool* **where**
Frac $\equiv \lambda(a, b). b > 0 \wedge \text{int-gcd } a \ b = 1$

axiomatization *Abs-Frac* :: *int* \times *int* \Rightarrow '*a*
and *Rep-Frac* :: '*a* \Rightarrow *int* \times *int*

definition *zero-frac* :: '*a* **where**
zero-frac $\equiv \text{Abs-Frac } (0, 1)$

definition *one-frac* :: '*a* **where**
one-frac $\equiv \text{Abs-Frac } (1, 1)$

definition *num* :: '*a* \Rightarrow *int* **where**
num $\equiv \text{fst } o \ \text{Rep-Frac}$

definition *denom* :: '*a* \Rightarrow *int* **where**
denom $\equiv \text{snd } o \ \text{Rep-Frac}$

function *norm-frac* :: *int* \Rightarrow *int* \Rightarrow *int* \times *int* **where**
 $[\text{simp del}]: \text{norm-frac } a \ b = (\text{if } b < 0 \text{ then } \text{norm-frac } (-a) \ (-b) \\ \text{else if } a = 0 \vee b = 0 \text{ then } (0, 1) \\ \text{else let } c = \text{int-gcd } a \ b \text{ in } (a \ \text{div } c, b \ \text{div } c))$

by *pat-completeness auto*

termination by (*relation measure* ($\lambda(-, b). \text{if } b < 0 \text{ then } 1 \text{ else } 0$)) *auto*

definition *frac* :: *int* \Rightarrow *int* \Rightarrow '*a* **where**
frac *a b* $\equiv \text{Abs-Frac } (\text{norm-frac } a \ b)$

definition *plus-frac* :: '*a* \Rightarrow '*a* \Rightarrow '*a* **where**
 $[\text{nitpick-simp}]:$
plus-frac *q r* = (let *d* = *int-lcm* (*denom* *q*) (*denom* *r*) in
frac (*num* *q* * (*d* div *denom* *q*) + *num* *r* * (*d* div *denom* *r*)) *d*)

definition *times-frac* :: '*a* \Rightarrow '*a* \Rightarrow '*a* **where**
 $[\text{nitpick-simp}]:$
times-frac *q r* = *frac* (*num* *q* * *num* *r*) (*denom* *q* * *denom* *r*)

definition *uminus-frac* :: '*a* \Rightarrow '*a* **where**

$uminus\text{-}frac\ q \equiv Abs\text{-}Frac\ (-\ num\ q,\ denom\ q)$

definition $number\text{-}of\text{-}frac :: int \Rightarrow 'a$ **where**
 $number\text{-}of\text{-}frac\ n \equiv Abs\text{-}Frac\ (n,\ 1)$

definition $inverse\text{-}frac :: 'a \Rightarrow 'a$ **where**
 $inverse\text{-}frac\ q \equiv frac\ (denom\ q)\ (num\ q)$

definition $less\text{-}eq\text{-}frac :: 'a \Rightarrow 'a \Rightarrow bool$ **where**
 $[nitpick\text{-}simp]:$
 $less\text{-}eq\text{-}frac\ q\ r \longleftrightarrow num\ (plus\text{-}frac\ q\ (uminus\text{-}frac\ r)) \leq 0$

definition $of\text{-}frac :: 'a \Rightarrow 'b::\{inverse,ring-1\}$ **where**
 $of\text{-}frac\ q \equiv of\text{-}int\ (num\ q)\ /\ of\text{-}int\ (denom\ q)$

use *Tools/Nitpick/kodkod.ML*
use *Tools/Nitpick/kodkod-sat.ML*
use *Tools/Nitpick/nitpick-util.ML*
use *Tools/Nitpick/nitpick-hol.ML*
use *Tools/Nitpick/nitpick-mono.ML*
use *Tools/Nitpick/nitpick-preproc.ML*
use *Tools/Nitpick/nitpick-scope.ML*
use *Tools/Nitpick/nitpick-peephole.ML*
use *Tools/Nitpick/nitpick-rep.ML*
use *Tools/Nitpick/nitpick-nut.ML*
use *Tools/Nitpick/nitpick-kodkod.ML*
use *Tools/Nitpick/nitpick-model.ML*
use *Tools/Nitpick/nitpick.ML*
use *Tools/Nitpick/nitpick-isar.ML*
use *Tools/Nitpick/nitpick-tests.ML*
use *Tools/Nitpick/minipick.ML*

setup $\ll Nitpick\text{-}Isar.setup \gg$

hide-const (**open**) *unknown is-unknown undefined-fast-The undefined-fast-Eps bisim*

bisim-iterator-max Quot safe-The safe-Eps FinFun FunBox PairBox Word refl'
wf' wf-wfrec wf-wfrec' wfrec' card' setsum' fold-graph' nat-gcd nat-lcm
int-gcd int-lcm Frac Abs-Frac Rep-Frac zero-frac one-frac num denom
norm-frac frac plus-frac times-frac uminus-frac number-of-frac inverse-frac
less-eq-frac of-frac

hide-type (**open**) *bisim-iterator fin-fun fun-box pair-box unsigned-bit signed-bit word*

hide-fact (**open**) *If-def Ex1-def split-def rtrancl-def rtranclp-def tranclp-def*
refl'-def wf'-def wf-wfrec'-def wfrec'-def card'-def setsum'-def
fold-graph'-def The-psimp Eps-psimp unit-case-def nat-case-def
list-size-simp nat-gcd-def nat-lcm-def int-gcd-def int-lcm-def Frac-def
zero-frac-def one-frac-def num-def denom-def norm-frac-def frac-def
plus-frac-def times-frac-def uminus-frac-def number-of-frac-def

inverse-frac-def less-eq-frac-def of-frac-def

end

58 SMT: Bindings to Satisfiability Modulo Theories (SMT) solvers

```
theory SMT
imports List
uses
  ~~/src/Tools/cache-io.ML
  (Tools/SMT/smt-monomorph.ML)
  (Tools/SMT/smt-normalize.ML)
  (Tools/SMT/smt-translate.ML)
  (Tools/SMT/smt-solver.ML)
  (Tools/SMT/smtlib-interface.ML)
  (Tools/SMT/z3-proof-parser.ML)
  (Tools/SMT/z3-proof-tools.ML)
  (Tools/SMT/z3-proof-literals.ML)
  (Tools/SMT/z3-proof-reconstruction.ML)
  (Tools/SMT/z3-model.ML)
  (Tools/SMT/z3-interface.ML)
  (Tools/SMT/z3-solver.ML)
  (Tools/SMT/cvc3-solver.ML)
  (Tools/SMT/yices-solver.ML)
begin
```

58.1 Triggers for quantifier instantiation

Some SMT solvers support triggers for quantifier instantiation. Each trigger consists of one or more patterns. A pattern may either be a list of positive subterms (each being tagged by "pat"), or a list of negative subterms (each being tagged by "nopat").

When an SMT solver finds a term matching a positive pattern (a pattern with positive subterms only), it instantiates the corresponding quantifier accordingly. Negative patterns inhibit quantifier instantiations. Each pattern should mention all preceding bound variables.

```
datatype pattern = Pattern
```

```
definition pat :: 'a ⇒ pattern where pat - = Pattern
```

```
definition nopat :: 'a ⇒ pattern where nopat - = Pattern
```

```
definition trigger :: pattern list list ⇒ bool ⇒ bool
where trigger - P = P
```

58.2 Higher-order encoding

Application is made explicit for constants occurring with varying numbers of arguments. This is achieved by the introduction of the following constant.

definition *fun-app* **where** *fun-app* $f\ x = f\ x$

Some solvers support a theory of arrays which can be used to encode higher-order functions. The following set of lemmas specifies the properties of such (extensional) arrays.

lemmas *array-rules* = *ext fun-upd-apply fun-upd-same fun-upd-other fun-upd-upd fun-app-def*

58.3 First-order logic

Some SMT solvers require a strict separation between formulas and terms. When translating higher-order into first-order problems, all uninterpreted constants (those not builtin in the target solver) are treated as function symbols in the first-order sense. Their occurrences as head symbols in atoms (i.e., as predicate symbols) is turned into terms by equating such atoms with *True* using the following term-level equation symbol.

definition *term-eq* :: *bool* \Rightarrow *bool* \Rightarrow *bool* **where** *term-eq* $x\ y = (x = y)$

58.4 Integer division and modulo for Z3

definition *z3div* :: *int* \Rightarrow *int* \Rightarrow *int* **where**
z3div $k\ l = (\text{if } 0 \leq l \text{ then } k \text{ div } l \text{ else } -(k \text{ div } (-l)))$

definition *z3mod* :: *int* \Rightarrow *int* \Rightarrow *int* **where**
z3mod $k\ l = (\text{if } 0 \leq l \text{ then } k \text{ mod } l \text{ else } k \text{ mod } (-l))$

lemma *div-by-z3div*: $k \text{ div } l = ($
 if $k = 0 \vee l = 0$ *then* 0
 else if $(0 < k \wedge 0 < l) \vee (k < 0 \wedge 0 < l)$ *then* $z3div\ k\ l$
 else $z3div\ (-k)\ (-l))$
by (*auto simp add: z3div-def*)

lemma *mod-by-z3mod*: $k \text{ mod } l = ($
 if $l = 0$ *then* k
 else if $k = 0$ *then* 0
 else if $(0 < k \wedge 0 < l) \vee (k < 0 \wedge 0 < l)$ *then* $z3mod\ k\ l$
 else $-z3mod\ (-k)\ (-l))$
by (*auto simp add: z3mod-def*)

58.5 Setup

use *Tools/SMT/smt-monomorph.ML*
use *Tools/SMT/smt-normalize.ML*

```

use Tools/SMT/smt-translate.ML
use Tools/SMT/smt-solver.ML
use Tools/SMT/smtlib-interface.ML
use Tools/SMT/z3-interface.ML
use Tools/SMT/z3-proof-parser.ML
use Tools/SMT/z3-proof-tools.ML
use Tools/SMT/z3-proof-literals.ML
use Tools/SMT/z3-proof-reconstruction.ML
use Tools/SMT/z3-model.ML
use Tools/SMT/z3-solver.ML
use Tools/SMT/cvc3-solver.ML
use Tools/SMT/yices-solver.ML

setup ⟨⟨
  SMT-Solver.setup #>
  Z3-Proof-Reconstruction.setup #>
  Z3-Solver.setup #>
  CVC3-Solver.setup #>
  Yices-Solver.setup
⟩⟩

```

58.6 Configuration

The current configuration can be printed by the command *smt-status*, which shows the values of most options.

58.7 General configuration options

The option *smt-solver* can be used to change the target SMT solver. The possible values are *cvc3*, *yices*, and *z3*. It is advisable to locally install the selected solver, although this is not necessary for *cvc3* and *z3*, which can also be used over an Internet-based service.

When using local SMT solvers, the path to their binaries should be declared by setting the following environment variables: *CVC3-SOLVER*, *YICES-SOLVER*, and *Z3-SOLVER*.

```
declare [[ smt-solver = z3 ]]
```

Since SMT solvers are potentially non-terminating, there is a timeout (given in seconds) to restrict their runtime. A value greater than 120 (seconds) is in most cases not advisable.

```
declare [[ smt-timeout = 20 ]]
```

58.8 Certificates

By setting the option *smt-certificates* to the name of a file, all following applications of an SMT solver are cached in that file. Any further application

of the same SMT solver (using the very same configuration) re-uses the cached certificate instead of invoking the solver. An empty string disables caching certificates.

The filename should be given as an explicit path. It is good practice to use the name of the current theory (with ending *.certs* instead of *.thy*) as the certificates file.

```
declare [[ smt-certificates =  ]]
```

The option *smt-fixed* controls whether only stored certificates are should be used or invocation of an SMT solver is allowed. When set to *true*, no SMT solver will ever be invoked and only the existing certificates found in the configured cache are used; when set to *false* and there is no cached certificate for some proposition, then the configured SMT solver is invoked.

```
declare [[ smt-fixed = false ]]
```

58.9 Tracing

For tracing the generated problem file given to the SMT solver as well as the returned result of the solver, the option *smt-trace* should be set to *true*.

```
declare [[ smt-trace = false ]]
```

58.10 Z3-specific options

Z3 is the only SMT solver whose proofs are checked (or reconstructed) in Isabelle (all other solvers are implemented as oracles). Enabling or disabling proof reconstruction for Z3 is controlled by the option *z3-proofs*.

```
declare [[ z3-proofs = true ]]
```

From the set of assumptions given to Z3, those assumptions used in the proof are traced when the option *z3-trace-assms* is set to *true*.

```
declare [[ z3-trace-assms = false ]]
```

Z3 provides several commandline options to tweak its behaviour. They can be configured by writing them literally as value for the option *z3-options*.

```
declare [[ z3-options =  ]]
```

58.11 Schematic rules for Z3 proof reconstruction

Several proof rules of Z3 are not very well documented. There are two lemma groups which can turn failing Z3 proof reconstruction attempts into succeeding ones: the facts in *z3-rule* are tried prior to any implemented reconstruction procedure for all uncertain Z3 proof rules; the facts in *z3-simp* are only fed to invocations of the simplifier when reconstructing theory-specific proof steps.

lemmas [z3-rule] =
refl eq-commute conj-commute disj-commute simp-thms nnf-simps
ring-distrib field-simps times-divide-eq-right times-divide-eq-left
if-True if-False not-not

lemma [z3-rule]:
 $(P \longrightarrow Q) = (Q \vee \neg P)$
 $(\neg P \longrightarrow Q) = (P \vee Q)$
 $(\neg P \longrightarrow Q) = (Q \vee P)$
by *auto*

lemma [z3-rule]:
 $((P = Q) \longrightarrow R) = (R \mid (Q = (\neg P)))$
by *auto*

lemma [z3-rule]:
 $((\neg P) = P) = \text{False}$
 $(P = (\neg P)) = \text{False}$
 $(P \neq Q) = (Q = (\neg P))$
 $(P = Q) = ((\neg P \vee Q) \wedge (P \vee \neg Q))$
 $(P \neq Q) = ((\neg P \vee \neg Q) \wedge (P \vee Q))$
by *auto*

lemma [z3-rule]:
 $(\text{if } P \text{ then } P \text{ else } \neg P) = \text{True}$
 $(\text{if } \neg P \text{ then } \neg P \text{ else } P) = \text{True}$
 $(\text{if } P \text{ then True else False}) = P$
 $(\text{if } P \text{ then False else True}) = (\neg P)$
 $(\text{if } \neg P \text{ then } x \text{ else } y) = (\text{if } P \text{ then } y \text{ else } x)$
by *auto*

lemma [z3-rule]:
 $P = Q \vee P \vee Q$
 $P = Q \vee \neg P \vee \neg Q$
 $(\neg P) = Q \vee \neg P \vee Q$
 $(\neg P) = Q \vee P \vee \neg Q$
 $P = (\neg Q) \vee \neg P \vee Q$
 $P = (\neg Q) \vee P \vee \neg Q$
 $P \neq Q \vee P \vee \neg Q$
 $P \neq Q \vee \neg P \vee Q$
 $P \neq (\neg Q) \vee P \vee Q$
 $(\neg P) \neq Q \vee P \vee Q$
 $P \vee Q \vee P \neq (\neg Q)$
 $P \vee Q \vee (\neg P) \neq Q$
 $P \vee \neg Q \vee P \neq Q$
 $\neg P \vee Q \vee P \neq Q$
by *auto*

lemma [z3-rule]:

```

0 + (x::int) = x
x + 0 = x
0 * x = 0
1 * x = x
x + y = y + x
by auto

```

```

hide-type (open) pattern
hide-const Pattern term-eq
hide-const (open) trigger pat nopat fun-app z3div z3mod

end

```

59 Main: Main HOL

```

theory Main
imports Plain Predicate-Compile Nitpick SMT
begin

```

Classical Higher-order Logic – only “Main”, excluding real and complex numbers etc.

See further [1]

```

end

```

References

- [1] T. Nipkow, L. C. Paulson, and M. Wenzel. *Isabelle/HOL — A Proof Assistant for Higher-Order Logic*, volume 2283 of *LNCS*. Springer-Verlag, 2002.