

Java Source and Bytecode Formalizations in Isabelle: Bali

Gerwin Klein Tobias Nipkow David von Oheimb Leonor Prensa Nieto
Norbert Schirmer Martin Strecker

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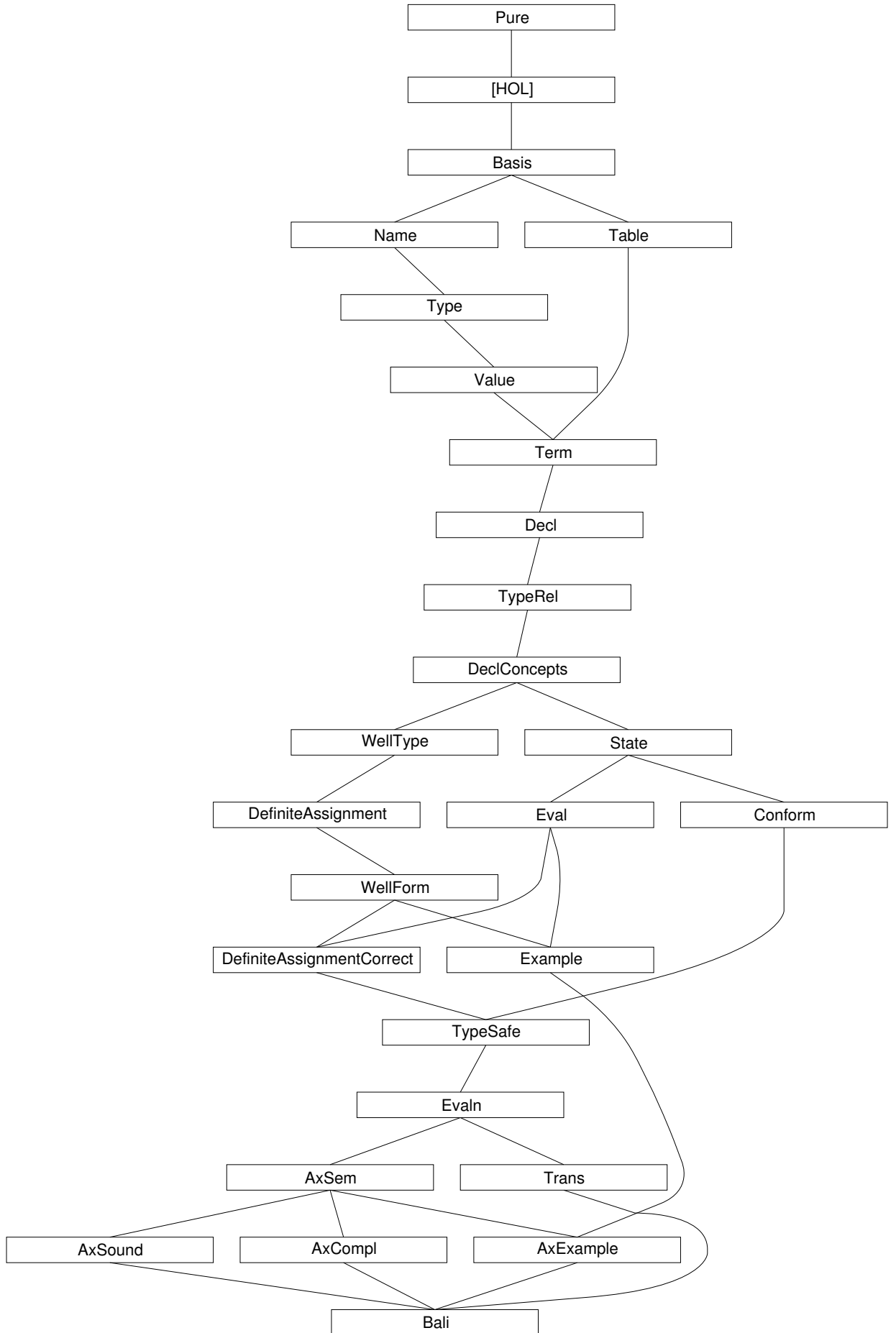
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Chapter 1

Overview

These theories, called Bali, model and analyse different aspects of the JavaCard **source language**. The basis is an abstract model of the JavaCard source language. On it, a type system, an operational semantics and an axiomatic semantics (Hoare logic) are built. The execution of a wellformed program (with respect to the type system) according to the operational semantics is proved to be typesafe. The axiomatic semantics is proved to be sound and relative complete with respect to the operational semantics.

We have modelled large parts of the original JavaCard source language. It models features such as:

- The basic “primitive types” of Java
- Classes and related concepts
- Class fields and methods
- Instance fields and methods
- Interfaces and related concepts
- Arrays
- Static initialisation
- Static overloading of fields and methods
- Inheritance, overriding and hiding of methods, dynamic binding
- All cases of abrupt termination
 - Exception throwing and handling
 - `break`, `continue` and `return`
- Packages
- Access Modifiers (`private`, `protected`, `public`)
- A “definite assignment” check

The following features are missing in Bali wrt. JavaCard:

- Some primitive types (`byte`, `short`)
- Syntactic variants of statements (`do-loop`, `for-loop`)
- Interface fields

- Inner Classes

In addition, features are missing that are not part of the JavaCard language, such as multithreading and garbage collection. No attempt has been made to model peculiarities of JavaCard such as the applet firewall or the transaction mechanism.

Overview of the theories:

Basis Some basic definitions and settings not specific to JavaCard but missing in HOL.

Table Definition and some properties of a lookup table to map various names (like class names or method names) to some content (like classes or methods).

Name Definition of various names (class names, variable names, package names,...)

Value JavaCard expression values (Boolean, Integer, Addresses,...)

Type JavaCard types. Primitive types (Boolean, Integer,...) and reference types (Classes, Interfaces, Arrays,...)

Term JavaCard terms. Variables, expressions and statements.

Decl Class, interface and program declarations. Recursion operators for the class and the interface hierarchy.

TypeRel Various relations on types like the subclass-, subinterface-, widening-, narrowing- and casting-relation.

DeclConcepts Advanced concepts on the class and interface hierarchy like inheritance, overriding, hiding, accessibility of types and members according to the access modifiers, method lookup.

WellType Typesystem on the JavaCard term level.

DefiniteAssignment The definite assignment analysis on the JavaCard term level.

WellForm Typesystem on the JavaCard class, interface and program level.

State The program state (like object store) for the execution of JavaCard. Abrupt completion (exceptions, break, continue, return) is modelled as flag inside the state.

Eval Operational (big step) semantics for JavaCard.

Example An concrete example of a JavaCard program to validate the typesystem and the operational semantics.

Conform Conformance predicate for states. When does an execution state conform to the static types of the program given by the typesystem.

DefiniteAssignmentCorrect Correctness of the definite assignment analysis. If the analysis regards a variable as definitely assigned at a certain program point, the variable will actually be assigned there during execution.

TypeSafe Typesafety proof of the execution of JavaCard. "Welltyped programs don't go wrong" or more technical: The execution of a welltyped JavaCard program preserves the conformance of execution states.

Evaln Copy of the operational semantics given in theory Eval expanded with an annotation for the maximal recursive depth. The semantics is not altered. The annotation is needed for the soundness proof of the axiomatic semantics.

Trans A smallstep operational semantics for JavaCard.

AxSem An axiomatic semantics (Hoare logic) for JavaCard.

AxSound The soundness proof of the axiomatic semantics with respect to the operational semantics.

AxComple The proof of (relative) completeness of the axiomatic semantics with respect to the operational semantics.

AxExample An concrete example of the axiomatic semantics at work, applied to prove some properties of the JavaCard example given in theory Example.

Chapter 2

Basis

1 Definitions extending HOL as logical basis of Bali

theory *Basis* imports *Main* begin

misc

declare *same-fstI* [intro!]

declare *split-if-asm* [split] *option.split* [split] *option.split-asm* [split]
 <ML>

declare *if-weak-cong* [cong del] *option.weak-case-cong* [cong del]

declare *length-Suc-conv* [iff]

lemma *Collect-split-eq*: $\{p. P \ (split \ f \ p)\} = \{(a,b). P \ (f \ a \ b)\}$
 <proof>

lemma *subset-insertD*:

$A \leq insert \ x \ B \implies A \leq B \ \& \ x \sim: A \mid (EX \ B'. A = insert \ x \ B' \ \& \ B' \leq B)$
 <proof>

abbreviation *nat3* :: nat (3) **where** 3 == Suc 2

abbreviation *nat4* :: nat (4) **where** 4 == Suc 3

lemma *range-bool-domain*: $range \ f = \{f \ True, f \ False\}$
 <proof>

lemma *irrefl-tranclI'*: $r^{\wedge}-1 \ Int \ r^{\wedge}+ = \{\} \implies !x. (x, x) \sim: r^{\wedge}+$
 <proof>

lemma *trancl-rtrancl-trancl*:

$\llbracket (x,y) \in r^{\wedge}+; (y,z) \in r^{\wedge}* \rrbracket \implies (x,z) \in r^{\wedge}+$
 <proof>

lemma *rtrancl-into-trancl3*:

$\llbracket (a,b) \in r^{\wedge}*; a \neq b \rrbracket \implies (a,b) \in r^{\wedge}+$
 <proof>

lemma *rtrancl-into-rtrancl2*:

$\llbracket (a, b) \in r; (b, c) \in r^{\wedge}* \rrbracket \implies (a, c) \in r^{\wedge}* \rrbracket$
 <proof>

lemma *triangle-lemma*:

$\llbracket \bigwedge a \ b \ c. \llbracket (a,b) \in r; (a,c) \in r \rrbracket \implies b=c; (a,x) \in r^*; (a,y) \in r^* \rrbracket$
 $\implies (x,y) \in r^* \vee (y,x) \in r^*$
 <proof>

lemma *rtranc1-cases* [consumes 1, case-names *Refl Tranc1*]:

$\llbracket (a,b) \in r^*; a = b \implies P; (a,b) \in r^+ \implies P \rrbracket \implies P$
 $\langle \text{proof} \rangle$

lemma *Ball-weaken*: $\llbracket \text{Ball } s \ P; \bigwedge x. P \ x \longrightarrow Q \ x \rrbracket \implies \text{Ball } s \ Q$

$\langle \text{proof} \rangle$

lemma *finite-SetCompr2*: $\llbracket \text{finite } (\text{Collect } P); !y. P \ y \longrightarrow \text{finite } (\text{range } (f \ y)) \rrbracket \implies$
 $\text{finite } \{f \ y \ x \mid x \ y. P \ y\}$

$\langle \text{proof} \rangle$

lemma *list-all2-trans*: $\forall a \ b \ c. P1 \ a \ b \longrightarrow P2 \ b \ c \longrightarrow P3 \ a \ c \implies$
 $\forall xs2 \ xs3. \text{list-all2 } P1 \ xs1 \ xs2 \longrightarrow \text{list-all2 } P2 \ xs2 \ xs3 \longrightarrow \text{list-all2 } P3 \ xs1 \ xs3$

$\langle \text{proof} \rangle$

pairs

lemma *surjective-pairing5*: $p = (\text{fst } p, \text{fst } (\text{snd } p), \text{fst } (\text{snd } (\text{snd } p)), \text{fst } (\text{snd } (\text{snd } (\text{snd } p))),$
 $\text{snd } (\text{snd } (\text{snd } (\text{snd } p))))$

$\langle \text{proof} \rangle$

lemma *fst-splitE* [elim!]:

$\llbracket \text{fst } s' = x'; !!x \ s. \llbracket s' = (x,s); x = x' \rrbracket \implies Q \rrbracket \implies Q$
 $\langle \text{proof} \rangle$

lemma *fst-in-set-lemma* [rule-format (no-asm)]: $(x, y) : \text{set } l \longrightarrow x : \text{fst } ' \text{set } l$

$\langle \text{proof} \rangle$

quantifiers

lemma *All-Ex-refl-eq2* [simp]:

$(!x. (? \ b. x = f \ b \ \& \ Q \ b) \longrightarrow P \ x) = (!b. Q \ b \longrightarrow P \ (f \ b))$
 $\langle \text{proof} \rangle$

lemma *ex-ex-miniscope1* [simp]:

$(EX \ w \ v. P \ w \ v \ \& \ Q \ v) = (EX \ v. (EX \ w. P \ w \ v) \ \& \ Q \ v)$
 $\langle \text{proof} \rangle$

lemma *ex-miniscope2* [simp]:

$(EX \ v. P \ v \ \& \ Q \ \& \ R \ v) = (Q \ \& \ (EX \ v. P \ v \ \& \ R \ v))$
 $\langle \text{proof} \rangle$

lemma *ex-reorder31*: $(\exists z \ x \ y. P \ x \ y \ z) = (\exists x \ y \ z. P \ x \ y \ z)$

$\langle \text{proof} \rangle$

lemma *All-Ex-refl-eq1* [simp]: $(!x. (? \ b. x = f \ b) \longrightarrow P \ x) = (!b. P \ (f \ b))$

$\langle \text{proof} \rangle$

sums

hide-const *In0 In1*

notation *sum-case* (**infixr** $'(+)'80$)

consts *the-Inl* :: $'a + 'b \Rightarrow 'a$
 the-Inr :: $'a + 'b \Rightarrow 'b$

primrec *the-Inl* (*Inl* *a*) = *a*

primrec *the-Inr* (*Inr* *b*) = *b*

datatype ($'a, 'b, 'c$) *sum3* = *In1* $'a$ | *In2* $'b$ | *In3* $'c$

consts *the-In1* :: $('a, 'b, 'c) \text{ sum3} \Rightarrow 'a$
 the-In2 :: $('a, 'b, 'c) \text{ sum3} \Rightarrow 'b$
 the-In3 :: $('a, 'b, 'c) \text{ sum3} \Rightarrow 'c$

primrec *the-In1* (*In1* *a*) = *a*

primrec *the-In2* (*In2* *b*) = *b*

primrec *the-In3* (*In3* *c*) = *c*

abbreviation *In1l* :: $'al \Rightarrow ('al + 'ar, 'b, 'c) \text{ sum3}$
 where *In1l* *e* == *In1* (*Inl* *e*)

abbreviation *In1r* :: $'ar \Rightarrow ('al + 'ar, 'b, 'c) \text{ sum3}$
 where *In1r* *c* == *In1* (*Inr* *c*)

abbreviation *the-In1l* :: $('al + 'ar, 'b, 'c) \text{ sum3} \Rightarrow 'al$
 where *the-In1l* == *the-Inl* \circ *the-In1*

abbreviation *the-In1r* :: $('al + 'ar, 'b, 'c) \text{ sum3} \Rightarrow 'ar$
 where *the-In1r* == *the-Inr* \circ *the-In1*

$\langle \text{ML} \rangle$

quantifiers for option type

syntax

-*Oall* :: $[pttrn, 'a \text{ option}, \text{bool}] \Rightarrow \text{bool}$ $((3! \text{ :-:} / -) [0,0,10] 10)$

-*Oex* :: $[pttrn, 'a \text{ option}, \text{bool}] \Rightarrow \text{bool}$ $((3? \text{ :-:} / -) [0,0,10] 10)$

syntax (*symbols*)

-*Oall* :: $[pttrn, 'a \text{ option}, \text{bool}] \Rightarrow \text{bool}$ $((3\forall \text{ -}\in\text{:} / -) [0,0,10] 10)$

-*Oex* :: $[pttrn, 'a \text{ option}, \text{bool}] \Rightarrow \text{bool}$ $((3\exists \text{ -}\in\text{:} / -) [0,0,10] 10)$

translations

! $x:A: P$ == ! $x:CONST \text{ Option.set } A. P$

? $x:A: P$ == ? $x:CONST \text{ Option.set } A. P$

Special map update

Deemed too special for theory Map.

definition *chg-map* :: $('b \Rightarrow 'b) \Rightarrow 'a \Rightarrow ('a \rightsquigarrow 'b) \Rightarrow ('a \rightsquigarrow 'b)$ **where**
 chg-map *f* *a* *m* == *case* *m* *a* *of* *None* => *m* | *Some* *b* => *m*(*a*|->*f* *b*)

lemma *chg-map-new[simp]*: *m* *a* = *None* ==> *chg-map* *f* *a* *m* = *m*

$\langle \text{proof} \rangle$

lemma *chg-map-upd* [simp]: $m\ a = \text{Some } b \implies \text{chg-map } f\ a\ m = m(a|->f\ b)$
 <proof>

lemma *chg-map-other* [simp]: $a \neq b \implies \text{chg-map } f\ a\ m\ b = m\ b$
 <proof>

unique association lists

definition *unique* :: $('a \times 'b) \text{ list} \Rightarrow \text{bool}$ **where**
unique $\equiv \text{distinct} \circ \text{map fst}$

lemma *uniqueD* [rule-format (no-asm)]:
 $\text{unique } l \longrightarrow (!x\ y. (x,y):\text{set } l \longrightarrow (!x'\ y'. (x',y'):\text{set } l \longrightarrow x=x' \longrightarrow y=y'))$
 <proof>

lemma *unique-Nil* [simp]: *unique* []
 <proof>

lemma *unique-Cons* [simp]: $\text{unique } ((x,y)\#l) = (\text{unique } l \ \& \ (!y. (x,y) \sim: \text{set } l))$
 <proof>

lemmas *unique-ConsI* = *conjI* [THEN *unique-Cons* [THEN *iffD2*], *standard*]

lemma *unique-single* [simp]: $!!p. \text{unique } [p]$
 <proof>

lemma *unique-ConsD*: $\text{unique } (x\#xs) \implies \text{unique } xs$
 <proof>

lemma *unique-append* [rule-format (no-asm)]: $\text{unique } l' \implies \text{unique } l \longrightarrow$
 $(!(x,y):\text{set } l. !(x',y'):\text{set } l'. x' \sim x) \longrightarrow \text{unique } (l @ l')$
 <proof>

lemma *unique-map-inj* [rule-format (no-asm)]: $\text{unique } l \longrightarrow \text{inj } f \longrightarrow \text{unique } (\text{map } (\%(k,x). (f\ k, g\ k\ x))\ l)$
 <proof>

lemma *map-of-SomeI* [rule-format (no-asm)]: $\text{unique } l \longrightarrow (k, x) : \text{set } l \longrightarrow \text{map-of } l\ k = \text{Some } x$
 <proof>

list patterns

consts
lsplit :: $[['a, 'a \text{ list}] \Rightarrow 'b, 'a \text{ list}] \Rightarrow 'b$

defs
lsplit-def: $\text{lsplit} == \%(f\ l. f\ (\text{hd } l)\ (\text{tl } l))$

syntax

```

-lpttrn    :: [pttrn,pttrn] => pttrn    (-#/- [901,900] 900)
translations
  %y#x#xs. b == CONST lsplit (%y x#xs. b)
  %x#xs . b == CONST lsplit (%x xs . b)

```

```

lemma lsplit [simp]: lsplit c (x#xs) = c x xs
<proof>

```

```

lemma lsplit2 [simp]: lsplit P (x#xs) y z = P x xs y z
<proof>

```

```

end

```


Chapter 3

Table

2 Abstract tables and their implementation as lists

theory *Table* **imports** *Basis* **begin**

design issues:

- definition of table: infinite map vs. list vs. finite set list chosen, because:
 - + a priori finite
 - + lookup is more operational than for finite set
 - not very abstract, but function table converts it to abstract mapping
- coding of lookup result: Some/None vs. value/arbitrary Some/None chosen, because:
 - ++ makes definedness check possible (applies also to finite set), which is important for the type standard, hiding/overriding, etc. (though it may perhaps be possible at least for the operational semantics to treat programs as infinite, i.e. where classes, fields, methods etc. of any name are considered to be defined)
 - sometimes awkward case distinctions, alleviated by operator 'the'

types $('a, 'b)$ *table* — table with key type 'a and contents type 'b
 $= 'a \multimap 'b$
 $('a, 'b)$ *tables* — non-unique table with key 'a and contents 'b
 $= 'a \Rightarrow 'b$ *set*

map of / table of

abbreviation

table-of :: $('a \times 'b)$ *list* $\Rightarrow ('a, 'b)$ *table* — concrete table
where *table-of* \equiv *map-of*

translations

$(type) ('a, 'b)$ *table* $\leq (type) 'a \multimap 'b$

lemma *map-add-find-left*[*simp*]:

$n\ k = None \implies (m\ ++\ n)\ k = m\ k$

<proof>

Conditional Override

definition *cond-override* :: $('b \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a, 'b)$ *table* $\Rightarrow ('a, 'b)$ *table* $\Rightarrow ('a, 'b)$ *table* **where**

— when merging tables old and new, only override an entry of table old when the condition cond holds

cond-override cond old new \equiv

$\lambda k.$

(*case new k of*

None \Rightarrow *old k*

| *Some new-val* \Rightarrow (*case old k of*

None \Rightarrow *Some new-val*

| *Some old-val* \Rightarrow (*if cond new-val old-val*
then Some new-val
else Some old-val)))

lemma *cond-override-empty1*[*simp*]: *cond-override c empty t* = *t*

<proof>

lemma *cond-override-empty2*[simp]: *cond-override c t empty = t*
 ⟨proof⟩

lemma *cond-override-None*[simp]:
old k = None \implies (cond-override c old new) k = new k
 ⟨proof⟩

lemma *cond-override-override*:
 $\llbracket \text{old } k = \text{Some } ov; \text{new } k = \text{Some } nv; C \text{ nv } ov \rrbracket$
 $\implies (\text{cond-override } C \text{ old new}) k = \text{Some } nv$
 ⟨proof⟩

lemma *cond-override-noOverride*:
 $\llbracket \text{old } k = \text{Some } ov; \text{new } k = \text{Some } nv; \neg (C \text{ nv } ov) \rrbracket$
 $\implies (\text{cond-override } C \text{ old new}) k = \text{Some } ov$
 ⟨proof⟩

lemma *dom-cond-override*: *dom (cond-override C s t) \subseteq dom s \cup dom t*
 ⟨proof⟩

lemma *finite-dom-cond-override*:
 $\llbracket \text{finite } (\text{dom } s); \text{finite } (\text{dom } t) \rrbracket \implies \text{finite } (\text{dom } (\text{cond-override } C \text{ s t}))$
 ⟨proof⟩

Filter on Tables

definition *filter-tab* :: $(a \Rightarrow b \Rightarrow \text{bool}) \Rightarrow (a, b) \text{ table} \Rightarrow (a, b) \text{ table}$ **where**
filter-tab c t \equiv $\lambda k.$ (case t k of
 None \Rightarrow None
 | Some x \Rightarrow if c k x then Some x else None)

lemma *filter-tab-empty*[simp]: *filter-tab c empty = empty*
 ⟨proof⟩

lemma *filter-tab-True*[simp]: *filter-tab ($\lambda x y.$ True) t = t*
 ⟨proof⟩

lemma *filter-tab-False*[simp]: *filter-tab ($\lambda x y.$ False) t = empty*
 ⟨proof⟩

lemma *filter-tab-ran-subset*: *ran (filter-tab c t) \subseteq ran t*
 ⟨proof⟩

lemma *filter-tab-range-subset*: *range (filter-tab c t) \subseteq range t \cup {None}*
 ⟨proof⟩

lemma *finite-range-filter-tab*:

finite (*range* *t*) \implies *finite* (*range* (*filter-tab* *c* *t*))

\langle *proof* \rangle

lemma *filter-tab-SomeD[dest!]*:

filter-tab *c* *t* *k* = *Some* *x* \implies (*t* *k* = *Some* *x*) \wedge *c* *k* *x*

\langle *proof* \rangle

lemma *filter-tab-SomeI*: $\llbracket t\ k = \text{Some}\ x; C\ k\ x \rrbracket \implies \text{filter-tab}\ C\ t\ k = \text{Some}\ x$

\langle *proof* \rangle

lemma *filter-tab-all-True*:

$\forall\ k\ y. t\ k = \text{Some}\ y \longrightarrow p\ k\ y \implies \text{filter-tab}\ p\ t = t$

\langle *proof* \rangle

lemma *filter-tab-all-True-Some*:

$\llbracket \forall\ k\ y. t\ k = \text{Some}\ y \longrightarrow p\ k\ y; t\ k = \text{Some}\ v \rrbracket \implies \text{filter-tab}\ p\ t\ k = \text{Some}\ v$

\langle *proof* \rangle

lemma *filter-tab-all-False*:

$\forall\ k\ y. t\ k = \text{Some}\ y \longrightarrow \neg p\ k\ y \implies \text{filter-tab}\ p\ t = \text{empty}$

\langle *proof* \rangle

lemma *filter-tab-None*: $t\ k = \text{None} \implies \text{filter-tab}\ p\ t\ k = \text{None}$

\langle *proof* \rangle

lemma *filter-tab-dom-subset*: $\text{dom}\ (\text{filter-tab}\ C\ t) \subseteq \text{dom}\ t$

\langle *proof* \rangle

lemma *filter-tab-eq*: $\llbracket a=b \rrbracket \implies \text{filter-tab}\ C\ a = \text{filter-tab}\ C\ b$

\langle *proof* \rangle

lemma *finite-dom-filter-tab*:

finite (*dom* *t*) \implies *finite* (*dom* (*filter-tab* *C* *t*))

\langle *proof* \rangle

lemma *filter-tab-weaken*:

$\llbracket \forall\ a \in t\ k. \exists\ b \in s\ k. P\ a\ b; \bigwedge\ k\ x\ y. \llbracket t\ k = \text{Some}\ x; s\ k = \text{Some}\ y \rrbracket \implies \text{cond}\ k\ x \longrightarrow \text{cond}\ k\ y \rrbracket \implies \forall\ a \in \text{filter-tab}\ \text{cond}\ t\ k. \exists\ b \in \text{filter-tab}\ \text{cond}\ s\ k. P\ a\ b$

\langle *proof* \rangle

lemma *cond-override-filter*:

$\llbracket \bigwedge\ k\ \text{old}\ \text{new}. \llbracket s\ k = \text{Some}\ \text{new}; t\ k = \text{Some}\ \text{old} \rrbracket \implies (\neg\ \text{overC}\ \text{new}\ \text{old} \longrightarrow \neg\ \text{filterC}\ k\ \text{new}) \wedge$

$(\text{overC}\ \text{new}\ \text{old} \longrightarrow \text{filterC}\ k\ \text{old} \longrightarrow \text{filterC}\ k\ \text{new})$

$\rrbracket \implies$

$\text{cond-override overC (filter-tab filterC t) (filter-tab filterC s)}$
 $= \text{filter-tab filterC (cond-override overC t s)}$
 $\langle \text{proof} \rangle$

Misc.

lemma *Ball-set-table*: $(\forall (x,y) \in \text{set } l. P x y) \implies \forall x. \forall y \in \text{map-of } l x: P x y$
 $\langle \text{proof} \rangle$

lemma *Ball-set-tableD*:

$\llbracket (\forall (x,y) \in \text{set } l. P x y); x \in \text{Option.set (table-of } l \text{ xa)} \rrbracket \implies P xa x$
 $\langle \text{proof} \rangle$

declare *map-of-SomeD* [elim]

lemma *table-of-Some-in-set*:

$\text{table-of } l \text{ k} = \text{Some } x \implies (k,x) \in \text{set } l$
 $\langle \text{proof} \rangle$

lemma *set-get-eq*:

$\text{unique } l \implies (k, \text{the (table-of } l \text{ k)}) \in \text{set } l = (\text{table-of } l \text{ k} \neq \text{None})$
 $\langle \text{proof} \rangle$

lemma *inj-Pair-const2*: $\text{inj } (\lambda k. (k, C))$

$\langle \text{proof} \rangle$

lemma *table-of-mapconst-SomeI*:

$\llbracket \text{table-of } t \text{ k} = \text{Some } y'; \text{snd } y=y'; \text{fst } y=c \rrbracket \implies$
 $\text{table-of (map } (\lambda(k,x). (k,c,x)) t) \text{ k} = \text{Some } y$
 $\langle \text{proof} \rangle$

lemma *table-of-mapconst-NoneI*:

$\llbracket \text{table-of } t \text{ k} = \text{None} \rrbracket \implies$
 $\text{table-of (map } (\lambda(k,x). (k,c,x)) t) \text{ k} = \text{None}$
 $\langle \text{proof} \rangle$

lemmas *table-of-map2-SomeI* = *inj-Pair-const2* [THEN *map-of-mapk-SomeI*, standard]

lemma *table-of-map-SomeI* [rule-format (no-asm)]: $\text{table-of } t \text{ k} = \text{Some } x \longrightarrow$

$\text{table-of (map } (\lambda(k,x). (k, f x)) t) \text{ k} = \text{Some } (f x)$
 $\langle \text{proof} \rangle$

lemma *table-of-remap-SomeD* [rule-format (no-asm)]:

$\text{table-of (map } (\lambda((k,k'),x). (k,(k',x))) t) \text{ k} = \text{Some } (k',x) \longrightarrow$
 $\text{table-of } t \text{ (k, k')} = \text{Some } x$
 $\langle \text{proof} \rangle$

lemma *table-of-mapf-Some* [rule-format (no-asm)]: $\forall x y. f x = f y \longrightarrow x = y \implies$

$\text{table-of (map } (\lambda(k,x). (k, f x)) t) \text{ k} = \text{Some } (f x) \longrightarrow \text{table-of } t \text{ k} = \text{Some } x$
 $\langle \text{proof} \rangle$

lemma *table-of-mapf-SomeD* [rule-format (no-asm), dest!]:
table-of (map ($\lambda(k,x). (k, f\ x)$) *t*) *k* = *Some z* \longrightarrow ($\exists y \in \text{table-of } t\ k: z = f\ y$)
 <proof>

lemma *table-of-mapf-NoneD* [rule-format (no-asm), dest!]:
table-of (map ($\lambda(k,x). (k, f\ x)$) *t*) *k* = *None* \longrightarrow (*table-of* *t* *k* = *None*)
 <proof>

lemma *table-of-mapkey-SomeD* [rule-format (no-asm), dest!]:
table-of (map ($\lambda(k,x). ((k,C),x)$) *t*) (*k,D*) = *Some x* \longrightarrow *C* = *D* \wedge *table-of* *t* *k* = *Some x*
 <proof>

lemma *table-of-mapkey-SomeD2* [rule-format (no-asm), dest!]:
table-of (map ($\lambda(k,x). ((k,C),x)$) *t*) *ek* = *Some x*
 \longrightarrow *C* = *snd ek* \wedge *table-of* *t* (*fst ek*) = *Some x*
 <proof>

lemma *table-append-Some-iff*: *table-of* (*xs@ys*) *k* = *Some z* =
 (*table-of* *xs* *k* = *Some z* \vee (*table-of* *xs* *k* = *None* \wedge *table-of* *ys* *k* = *Some z*))
 <proof>

lemma *table-of-filter-unique-SomeD* [rule-format (no-asm)]:
table-of (*filter P xs*) *k* = *Some z* \implies *unique xs* \longrightarrow *table-of* *xs* *k* = *Some z*
 <proof>

consts

Un-tables :: ('a, 'b) tables set \Rightarrow ('a, 'b) tables
overrides-t :: ('a, 'b) tables \Rightarrow ('a, 'b) tables \Rightarrow
 ('a, 'b) tables (infixl $\oplus\oplus$ 100)
hidings-entails:: ('a, 'b) tables \Rightarrow ('a, 'c) tables \Rightarrow
 ('b \Rightarrow 'c \Rightarrow bool) \Rightarrow bool (- *hidings - entails* - 20)
 — variant for unique table:
hiding-entails :: ('a, 'b) table \Rightarrow ('a, 'c) table \Rightarrow
 ('b \Rightarrow 'c \Rightarrow bool) \Rightarrow bool (- *hiding - entails* - 20)
 — variant for a unique table and conditional overriding:
cond-hiding-entails :: ('a, 'b) table \Rightarrow ('a, 'c) table
 \Rightarrow ('b \Rightarrow 'c \Rightarrow bool) \Rightarrow ('b \Rightarrow 'c \Rightarrow bool) \Rightarrow bool
 (- *hiding - under - entails* - 20)

defs

Un-tables-def: *Un-tables ts* $\equiv \lambda k. \bigcup t \in ts. t\ k$
overrides-t-def: *s* $\oplus\oplus$ *t* $\equiv \lambda k. \text{if } t\ k = \{\} \text{ then } s\ k \text{ else } t\ k$
hidings-entails-def: *t hidings s entails R* $\equiv \forall k. \forall x \in t\ k. \forall y \in s\ k. R\ x\ y$
hiding-entails-def: *t hiding s entails R* $\equiv \forall k. \forall x \in t\ k: \forall y \in s\ k: R\ x\ y$
cond-hiding-entails-def: *t hiding s under C entails R*
 $\equiv \forall k. \forall x \in t\ k: \forall y \in s\ k: C\ x\ y \longrightarrow R\ x\ y$

Untables

lemma *Un-tablesI* [intro]: $\bigwedge x. \llbracket t \in ts; x \in t\ k \rrbracket \implies x \in \text{Un-tables } ts\ k$
 <proof>

lemma *Un-tablesD* [*dest!*]: $\bigwedge x. x \in \text{Un-tables } ts \ k \implies \exists t. t \in ts \wedge x \in t \ k$
 $\langle \text{proof} \rangle$

lemma *Un-tables-empty* [*simp*]: $\text{Un-tables } \{\} = (\lambda k. \{\})$
 $\langle \text{proof} \rangle$

overrides

lemma *empty-overrides-t* [*simp*]: $(\lambda k. \{\}) \oplus \oplus m = m$
 $\langle \text{proof} \rangle$

lemma *overrides-empty-t* [*simp*]: $m \oplus \oplus (\lambda k. \{\}) = m$
 $\langle \text{proof} \rangle$

lemma *overrides-t-Some-iff*:
 $(x \in (s \oplus \oplus t) \ k) = (x \in t \ k \vee t \ k = \{\} \wedge x \in s \ k)$
 $\langle \text{proof} \rangle$

lemmas *overrides-t-SomeD* = *overrides-t-Some-iff* [*THEN iffD1, dest!*]

lemma *overrides-t-right-empty* [*simp*]: $n \ k = \{\} \implies (m \oplus \oplus n) \ k = m \ k$
 $\langle \text{proof} \rangle$

lemma *overrides-t-find-right* [*simp*]: $n \ k \neq \{\} \implies (m \oplus \oplus n) \ k = n \ k$
 $\langle \text{proof} \rangle$

hiding entails

lemma *hiding-entailsD*:
 $\llbracket t \text{ hiding } s \text{ entails } R; t \ k = \text{Some } x; s \ k = \text{Some } y \rrbracket \implies R \ x \ y$
 $\langle \text{proof} \rangle$

lemma *empty-hiding-entails*: *empty hiding s entails R*
 $\langle \text{proof} \rangle$

lemma *hiding-empty-entails*: *t hiding empty entails R*
 $\langle \text{proof} \rangle$

declare *empty-hiding-entails* [*simp*] *hiding-empty-entails* [*simp*]

cond hiding entails

lemma *cond-hiding-entailsD*:
 $\llbracket t \text{ hiding } s \text{ under } C \text{ entails } R; t \ k = \text{Some } x; s \ k = \text{Some } y; C \ x \ y \rrbracket \implies R \ x \ y$
 $\langle \text{proof} \rangle$

lemma *empty-cond-hiding-entails*[*simp*]: *empty hiding s under C entails R*
 $\langle \text{proof} \rangle$

lemma *cond-hiding-empty-entails*[*simp*]: *t hiding empty under C entails R*
 $\langle \text{proof} \rangle$

lemma *hidings-entailsD*: $\llbracket t \text{ hidings } s \text{ entails } R; x \in t \ k; y \in s \ k \rrbracket \implies R \ x \ y$
 $\langle \text{proof} \rangle$

lemma *hidings-empty-entails*: $t \text{ hidings } (\lambda k. \{\}) \text{ entails } R$
 $\langle \text{proof} \rangle$

lemma *empty-hidings-entails*:
 $(\lambda k. \{\}) \text{ hidings } s \text{ entails } R \langle \text{proof} \rangle$
declare *empty-hidings-entails* [intro!] *hidings-empty-entails* [intro!]

consts
 $\text{atleast-free} :: ('a \rightsquigarrow 'b) \Rightarrow \text{nat} \Rightarrow \text{bool}$
primrec
 $\text{atleast-free } m \ 0 = \text{True}$
 atleast-free-Suc :
 $\text{atleast-free } m \ (\text{Suc } n) = (? \ a. \ m \ a = \text{None} \ \& \ (!b. \ \text{atleast-free } (m(a| \rightarrow b)) \ n))$

lemma *atleast-free-weaken* [rule-format (no-asm)]:
 $!m. \ \text{atleast-free } m \ (\text{Suc } n) \longrightarrow \text{atleast-free } m \ n$
 $\langle \text{proof} \rangle$

lemma *atleast-free-SucI*:
 $[| \ h \ a = \text{None}; !obj. \ \text{atleast-free } (h(a| \rightarrow obj)) \ n |] \implies \text{atleast-free } h \ (\text{Suc } n)$
 $\langle \text{proof} \rangle$

declare *fun-upd-apply* [simp del]

lemma *atleast-free-SucD-lemma* [rule-format (no-asm)]:
 $!m \ a. \ m \ a = \text{None} \longrightarrow (!c. \ \text{atleast-free } (m(a| \rightarrow c)) \ n) \longrightarrow$
 $(!b \ d. \ a \rightsquigarrow b \longrightarrow \text{atleast-free } (m(b| \rightarrow d)) \ n)$
 $\langle \text{proof} \rangle$
declare *fun-upd-apply* [simp]

lemma *atleast-free-SucD* [rule-format (no-asm)]: $\text{atleast-free } h \ (\text{Suc } n) \implies \text{atleast-free } (h(a| \rightarrow b)) \ n$
 $\langle \text{proof} \rangle$

declare *atleast-free-Suc* [simp del]
end

Chapter 4

Name

3 Java names

theory *Name* **imports** *Basis* **begin**

typeddecl *tnam* — ordinary type name, i.e. class or interface name

typeddecl *pname* — package name

typeddecl *mname* — method name

typeddecl *vname* — variable or field name

typeddecl *label* — label as destination of break or continue

datatype *ename* — expression name

= *VNam vname*

| *Res* — special name to model the return value of methods

datatype *lname* — names for local variables and the This pointer

= *EName ename*

| *This*

abbreviation *VName* :: *vname* \Rightarrow *lname*

where *VName* *n* == *EName* (*VNam* *n*)

abbreviation *Result* :: *lname*

where *Result* == *EName* *Res*

datatype *xname* — names of standard exceptions

= *Throwable*

| *NullPointerException* | *OutOfMemory* | *ClassCast*

| *NegArrSize* | *IndOutBound* | *ArrStore*

lemma *xn-cases*:

xn = *Throwable* \vee *xn* = *NullPointerException* \vee

xn = *OutOfMemory* \vee *xn* = *ClassCast* \vee

xn = *NegArrSize* \vee *xn* = *IndOutBound* \vee *xn* = *ArrStore*

\langle proof \rangle

datatype *tname* — type names for standard classes and other type names

= *Object'*

| *SXcpt'* *xname*

| *TName* *tnam*

record *qname* = — qualified tname cf. 6.5.3, 6.5.4

pid :: *pname*

tid :: *tname*

class *has-pname* =

fixes *pname* :: '*a* \Rightarrow *pname*

instantiation *pname* :: *has-pname*

begin

definition

pname-pname-def: *pname* (*p*::*pname*) \equiv *p*

instance \langle proof \rangle

end

```

class has-tname =
  fixes tname :: 'a  $\Rightarrow$  tname

instantiation tname :: has-tname
begin

definition
  tname-tname-def: tname (t::tname)  $\equiv$  t

instance  $\langle$ proof $\rangle$ 

end

definition
  qname-qname-def: qname (q::'a qname-ext-type)  $\equiv$  q

translations
  (type) qname  $\leq$  (type) ( $\llbracket$ pid::pname,tid::tname $\rrbracket$ )
  (type) 'a qname-scheme  $\leq$  (type) ( $\llbracket$ pid::pname,tid::tname,...::'a $\rrbracket$ )

axiomatization java-lang::pname — package java.lang

consts
  Object :: qname
  SXcpt :: xname  $\Rightarrow$  qname
defs
  Object-def: Object  $\equiv$  ( $\llbracket$ pid = java-lang, tid = Object $\rrbracket$ )
  SXcpt-def: SXcpt  $\equiv$   $\lambda x.$  ( $\llbracket$ pid = java-lang, tid = SXcpt ' x $\rrbracket$ )

lemma Object-neq-SXcpt [simp]: Object  $\neq$  SXcpt xn
 $\langle$ proof $\rangle$ 

lemma SXcpt-inject [simp]: (SXcpt xn = SXcpt xm) = (xn = xm)
 $\langle$ proof $\rangle$ 
end

```


Chapter 5

Value

4 Java values

theory *Value* **imports** *Type* **begin**

typedec1 *loc* — locations, i.e. abstract references on objects

datatype *val*

= *Unit* — dummy result value of void methods
 | *Bool bool* — Boolean value
 | *Intg int* — integer value
 | *Null* — null reference
 | *Addr loc* — addresses, i.e. locations of objects

consts *the-Bool* :: *val* \Rightarrow *bool*

primrec *the-Bool* (*Bool b*) = *b*

consts *the-Intg* :: *val* \Rightarrow *int*

primrec *the-Intg* (*Intg i*) = *i*

consts *the-Addr* :: *val* \Rightarrow *loc*

primrec *the-Addr* (*Addr a*) = *a*

types *dyn-ty* = *loc* \Rightarrow *ty option*

consts

typeof :: *dyn-ty* \Rightarrow *val* \Rightarrow *ty option*

defpval :: *prim-ty* \Rightarrow *val* — default value for primitive types

default-val :: *ty* \Rightarrow *val* — default value for all types

primrec *typeof dt Unit* = *Some (PrimT Void)*

typeof dt (Bool b) = *Some (PrimT Boolean)*

typeof dt (Intg i) = *Some (PrimT Integer)*

typeof dt Null = *Some NT*

typeof dt (Addr a) = *dt a*

primrec *defpval Void* = *Unit*

defpval Boolean = *Bool False*

defpval Integer = *Intg 0*

primrec *default-val (PrimT pt)* = *defpval pt*

default-val (RefT r) = *Null*

end

Chapter 6

Type

5 Java types

theory *Type* **imports** *Name* **begin**

simplifications:

- only the most important primitive types
- the null type is regarded as reference type

datatype *prim-ty* — primitive type, cf. 4.2
 = *Void* — result type of void methods
 | *Boolean*
 | *Integer*

datatype *ref-ty* — reference type, cf. 4.3
 = *NullT* — null type, cf. 4.1
 | *IfaceT qtname* — interface type
 | *ClassT qtname* — class type
 | *ArrayT ty* — array type

and *ty* — any type, cf. 4.1
 = *PrimT prim-ty* — primitive type
 | *RefT ref-ty* — reference type

abbreviation *NT* == *RefT NullT*

abbreviation *Iface I* == *RefT (IfaceT I)*

abbreviation *Class C* == *RefT (ClassT C)*

abbreviation *Array* :: *ty* \Rightarrow *ty* *(-.)* [*90*] *90*)
where *T.* == *RefT (ArrayT T)*

definition *the-Class* :: *ty* \Rightarrow *qtname* **where**
the-Class T \equiv *SOME C. T = Class C*

lemma *the-Class-eq* [*simp*]: *the-Class (Class C)* = *C*
 \langle *proof* \rangle

end

Chapter 7

Term

6 Java expressions and statements

theory *Term* **imports** *Value Table* **begin**

design issues:

- invocation frames for local variables could be reduced to special static objects (one per method). This would reduce redundancy, but yield a rather non-standard execution model more difficult to understand.
 - method bodies separated from calls to handle assumptions in axiomat. semantics NB: Body is intended to be in the environment of the called method.
 - class initialization is regarded as (auxiliary) statement (required for AxSem)
 - result expression of method return is handled by a special result variable result variable is treated uniformly with local variables
- + welltypedness and existence of the result/return expression is ensured without extra effort

simplifications:

- expression statement allowed for any expression
- This is modeled as a special non-assignable local variable
- Super is modeled as a general expression with the same value as This
- access to field x in current class via This.x
- NewA creates only one-dimensional arrays; initialization of further subarrays may be simulated with nested NewAs
- The 'Lit' constructor is allowed to contain a reference value. But this is assumed to be prohibited in the input language, which is enforced by the type-checking rules.
- a call of a static method via a type name may be simulated by a dummy variable
- no nested blocks with inner local variables
- no synchronized statements
- no secondary forms of if, while (e.g. no for) (may be easily simulated)
- no switch (may be simulated with if)
- the *try-catch-finally* statement is divided into the *try-catch* statement and a finally statement, which may be considered as try..finally with empty catch
- the *try-catch* statement has exactly one catch clause; multiple ones can be simulated with instanceof
- the compiler is supposed to add the annotations - during type-checking. This transformation is left out as its result is checked by the type rules anyway

types *locals* = (*lname*, *val*) *table* — local variables

datatype *jump*
= *Break label* — break

| *Cont label* — continue
 | *Ret* — return from method

datatype *xcpt* — exception
 = *Loc loc* — location of allocated execption object
 | *Std xname* — intermediate standard exception, see Eval.thy

datatype *error*
 = *AccessViolation* — Access to a member that isn't permitted
 | *CrossMethodJump* — Method exits with a break or continue

datatype *abrupt* — abrupt completion
 = *Xcpt xcpt* — exception
 | *Jump jump* — break, continue, return
 | *Error error* — runtime errors, we wan't to detect and proof absent in welltyped programmss

types
abopt = *abrupt option*

Local variable store and exception. Anticipation of State.thy used by smallstep semantics. For a method call, we save the local variables of the caller in the term Callee to restore them after method return. Also an exception must be restored after the finally statement

translations
 (*type*) *locals* <= (*type*) (*lname, val*) *table*

datatype *inv-mode* — invocation mode for method calls
 = *Static* — static
 | *SuperM* — super
 | *IntVir* — interface or virtual

record *sig* = — signature of a method, cf. 8.4.2
name :: mname — acutally belongs to Decl.thy
parTs :: ty list

translations
 (*type*) *sig* <= (*type*) (*|name::mname,parTs::ty list|*)
 (*type*) *sig* <= (*type*) (*|name::mname,parTs::ty list,...::'a|*)

— function codes for unary operations

datatype *unop* = *UPlus* — + unary plus
 | *UMinus* — - unary minus
 | *UBitNot* — bitwise NOT
 | *UNot* — ! logical complement

— function codes for binary operations

datatype *binop* = *Mul* — * multiplication
 | *Div* — / division
 | *Mod* — % remainder
 | *Plus* — + addition
 | *Minus* — - subtraction
 | *LShift* — << left shift
 | *RShift* — >> signed right shift
 | *RShiftU* — >>> unsigned right shift
 | *Less* — < less than
 | *Le* — <= less than or equal
 | *Greater* — > greater than
 | *Ge* — >= greater than or equal
 | *Eq* — == equal
 | *Neq* — != not equal

```

| BitAnd — & bitwise AND
| And — & boolean AND
| BitXor — ^ bitwise Xor
| Xor — ^ boolean Xor
| BitOr — | bitwise Or
| Or — | boolean Or
| CondAnd — && conditional And
| CondOr — || conditional Or

```

The boolean operators & and | strictly evaluate both of their arguments. The conditional operators && and || only evaluate the second argument if the value of the whole expression isn't already determined by the first argument. e.g.: `false && e e` is not evaluated; `true || e e` is not evaluated;

datatype *var*

```

= LVar lname — local variable (incl. parameters)
| FVar qname qname bool expr vname ({-, -, -}--[10,10,10,85,99]90)
    — class field
    — {accC, statDeclC, stat}e..fn
    — accC: accessing class (static class were
    — the code is declared. Annotation only needed for
    — evaluation to check accessibility)
    — statDeclC: static declaration class of field
    — stat: static or instance field?
    — e: reference to object
    — fn: field name
| AVar expr expr (-.[-][90,10 ]90)
    — array component
    — e1..e2: e1 array reference; e2 index
| InsInitV stmt var
    — insertion of initialization before evaluation
    — of var (technical term for smallstep semantics.)

```

and *expr*

```

= NewC qname — class instance creation
| NewA ty expr (New -.[-][99,10 ]85)
    — array creation
| Cast ty expr — type cast
| Inst expr ref-ty (- InstOf -.[85,99] 85)
    — instanceof
| Lit val — literal value, references not allowed
| UnOp unop expr — unary operation
| BinOp binop expr expr — binary operation

| Super — special Super keyword
| Acc var — variable access
| Ass var expr (-:= - [90,85 ]85)
    — variable assign
| Cond expr expr expr (- ? - : - [85,85,80]80) — conditional
| Call qname ref-ty inv-mode expr mname (ty list) (expr list)
    ({-, -, -}---'({-}'')[10,10,10,85,99,10,10]85)
    — method call
    — {accC, statT, mode}e..mn( {pTs}args) "
    — accC: accessing class (static class were
    — the call code is declared. Annotation only needed for
    — evaluation to check accessibility)
    — statT: static declaration class/interface of
    — method
    — mode: invocation mode
    — e: reference to object

```

— *mn*: field name
 — *pTs*: types of parameters
 — *args*: the actual parameters/arguments
 | *Methd qname sig* — (folded) method (see below)
 | *Body qname stmt* — (unfolded) method body
 | *InsInitE stmt expr*
 — insertion of initialization before
 — evaluation of *expr* (technical term for smallstep sem.)
 | *Callee locals expr* — save callers locals in callee-Frame
 — (technical term for smallstep semantics)
and *stmt*
 = *Skip* — empty statement
 | *Expr expr* — expression statement
 | *Lab jump stmt* (\rightarrow - [99,66]66)
 — labeled statement; handles break
 | *Comp stmt stmt* (\rightarrow ; - [66,65]65)
 | *If' expr stmt stmt* (*If'*(-) - *Else* - [80,79,79]70)
 | *Loop label expr stmt* (\rightarrow *While'*(-) - [99,80,79]70)
 | *Jmp jump* — break, continue, return
 | *Throw expr*
 | *TryC stmt qname vname stmt* (*Try* - *Catch'*(- -) - [79,99,80,79]70)
 — *Try c1 Catch(C vn) c2*
 — *c1*: block where exception may be thrown
 — *C*: exception class to catch
 — *vn*: local name for exception used in *c2*
 — *c2*: block to execute when exception is caught
 | *Fin stmt stmt* (- *Finally* - [79,79]70)
 | *FinA abrupt stmt* — Save abrupt of first statement
 — technical term for smallstep sem.)
 | *Init qname* — class initialization

The expressions *Methd* and *Body* are artificial program constructs, in the sense that they are not used to define a concrete Bali program. In the operational semantics they are "generated on the fly" to decompose the task to define the behaviour of the *Call* expression. They are crucial for the axiomatic semantics to give a syntactic hook to insert some assertions (cf. *AxSem.thy*, *Eval.thy*). The *Init* statement (to initialize a class on its first use) is inserted in various places by the semantics. *Callee*, *InsInitV*, *InsInitE*, *FinA* are only needed as intermediate steps in the smallstep (transition) semantics (cf. *Trans.thy*). *Callee* is used to save the local variables of the caller for method return. So we avoid modelling a frame stack. The *InsInitV/E* terms are only used by the smallstep semantics to model the intermediate steps of class-initialisation.

types *term* = (*expr+stmt,var,expr list*) *sum3*

translations

(*type*) *sig* <= (*type*) *mname* \times *ty list*

(*type*) *term* <= (*type*) (*expr+stmt,var,expr list*) *sum3*

abbreviation *this* :: *expr*

where *this* == *Acc (LVar This)*

abbreviation *LAcc* :: *vname* \Rightarrow *expr* (!!)

where !!*v* == *Acc (LVar (EName (VName v)))*

abbreviation

LAss :: *vname* \Rightarrow *expr* \Rightarrow *stmt* (\rightarrow == - [90,85] 85)

where *v*==*e* == *Expr (Ass (LVar (EName (VName v)))) e*

abbreviation

Return :: *expr* \Rightarrow *stmt*

where *Return e* == *Expr (Ass (LVar (EName Res))) e*; *Jmp Ret* — *Res := e*; *Jmp Ret*

abbreviation

$StatRef :: ref\text{-}ty \Rightarrow expr$
where $StatRef\ rt == Cast\ (RefT\ rt)\ (Lit\ Null)$

definition $is\text{-}stmt :: term \Rightarrow bool$ **where**

$is\text{-}stmt\ t \equiv \exists c. t = In1r\ c$

$\langle ML \rangle$

declare $is\text{-}stmt\text{-}rews\ [simp]$

Here is some syntactic stuff to handle the injections of statements, expressions, variables and expression lists into general terms.

abbreviation $(input)$

$expr\text{-}inj\text{-}term :: expr \Rightarrow term\ (\langle - \rangle_e\ 1000)$
where $\langle e \rangle_e == In1l\ e$

abbreviation $(input)$

$stmt\text{-}inj\text{-}term :: stmt \Rightarrow term\ (\langle - \rangle_s\ 1000)$
where $\langle c \rangle_s == In1r\ c$

abbreviation $(input)$

$var\text{-}inj\text{-}term :: var \Rightarrow term\ (\langle - \rangle_v\ 1000)$
where $\langle v \rangle_v == In2\ v$

abbreviation $(input)$

$lst\text{-}inj\text{-}term :: expr\ list \Rightarrow term\ (\langle - \rangle_l\ 1000)$
where $\langle es \rangle_l == In3\ es$

It seems to be more elegant to have an overloaded injection like the following.

class $inj\text{-}term =$

fixes $inj\text{-}term :: 'a \Rightarrow term\ (\langle - \rangle\ 1000)$

How this overloaded injections work can be seen in the theory *DefiniteAssignment*. Other big inductive relations on terms defined in theories *WellType*, *Eval*, *Evaln* and *AxSem* don't follow this convention right now, but introduce subtle syntactic sugar in the relations themselves to make a distinction on expressions, statements and so on. So unfortunately you will encounter a mixture of dealing with these injections. The abbreviations above are used as bridge between the different conventions.

instantiation $stmt :: inj\text{-}term$

begin

definition

$stmt\text{-}inj\text{-}term\text{-}def: \langle c::stmt \rangle \equiv In1r\ c$

instance $\langle proof \rangle$

end

lemma $stmt\text{-}inj\text{-}term\text{-}simp: \langle c::stmt \rangle = In1r\ c$

$\langle proof \rangle$

lemma $stmt\text{-}inj\text{-}term\ [iff]: \langle x::stmt \rangle = \langle y \rangle \equiv x = y$

$\langle proof \rangle$

instantiation *expr* :: *inj-term*
begin

definition
expr-inj-term-def: $\langle e :: \text{expr} \rangle \equiv \text{In1 } e$

instance $\langle \text{proof} \rangle$

end

lemma *expr-inj-term-simp*: $\langle e :: \text{expr} \rangle = \text{In1 } e$
 $\langle \text{proof} \rangle$

lemma *expr-inj-term [iff]*: $\langle x :: \text{expr} \rangle = \langle y \rangle \equiv x = y$
 $\langle \text{proof} \rangle$

instantiation *var* :: *inj-term*
begin

definition
var-inj-term-def: $\langle v :: \text{var} \rangle \equiv \text{In2 } v$

instance $\langle \text{proof} \rangle$

end

lemma *var-inj-term-simp*: $\langle v :: \text{var} \rangle = \text{In2 } v$
 $\langle \text{proof} \rangle$

lemma *var-inj-term [iff]*: $\langle x :: \text{var} \rangle = \langle y \rangle \equiv x = y$
 $\langle \text{proof} \rangle$

class *expr-of* =
fixes *expr-of* :: 'a \Rightarrow *expr*

instantiation *expr* :: *expr-of*
begin

definition
expr-of = $(\lambda(e :: \text{expr}). e)$

instance $\langle \text{proof} \rangle$

end

instantiation *list* :: (*expr-of*) *inj-term*
begin

definition
 $\langle es :: 'a \text{ list} \rangle \equiv \text{In3 } (\text{map } \text{expr-of } es)$

instance $\langle \text{proof} \rangle$

end

lemma *expr-list-inj-term-def*:

$\langle es::expr\ list \rangle \equiv In3\ es$

$\langle proof \rangle$

lemma *expr-list-inj-term-simp*: $\langle es::expr\ list \rangle = In3\ es$

$\langle proof \rangle$

lemma *expr-list-inj-term* [iff]: $\langle x::expr\ list \rangle = \langle y \rangle \equiv x = y$

$\langle proof \rangle$

lemmas *inj-term-simps* = *stmt-inj-term-simp* *expr-inj-term-simp* *var-inj-term-simp*
expr-list-inj-term-simp

lemmas *inj-term-sym-simps* = *stmt-inj-term-simp* [THEN sym]

expr-inj-term-simp [THEN sym]

var-inj-term-simp [THEN sym]

expr-list-inj-term-simp [THEN sym]

lemma *stmt-expr-inj-term* [iff]: $\langle t::stmt \rangle \neq \langle w::expr \rangle$

$\langle proof \rangle$

lemma *expr-stmt-inj-term* [iff]: $\langle t::expr \rangle \neq \langle w::stmt \rangle$

$\langle proof \rangle$

lemma *stmt-var-inj-term* [iff]: $\langle t::stmt \rangle \neq \langle w::var \rangle$

$\langle proof \rangle$

lemma *var-stmt-inj-term* [iff]: $\langle t::var \rangle \neq \langle w::stmt \rangle$

$\langle proof \rangle$

lemma *stmt-elist-inj-term* [iff]: $\langle t::stmt \rangle \neq \langle w::expr\ list \rangle$

$\langle proof \rangle$

lemma *elist-stmt-inj-term* [iff]: $\langle t::expr\ list \rangle \neq \langle w::stmt \rangle$

$\langle proof \rangle$

lemma *expr-var-inj-term* [iff]: $\langle t::expr \rangle \neq \langle w::var \rangle$

$\langle proof \rangle$

lemma *var-expr-inj-term* [iff]: $\langle t::var \rangle \neq \langle w::expr \rangle$

$\langle proof \rangle$

lemma *expr-elist-inj-term* [iff]: $\langle t::expr \rangle \neq \langle w::expr\ list \rangle$

$\langle proof \rangle$

lemma *elist-expr-inj-term* [iff]: $\langle t::expr\ list \rangle \neq \langle w::expr \rangle$

$\langle proof \rangle$

lemma *var-elist-inj-term* [iff]: $\langle t::var \rangle \neq \langle w::expr\ list \rangle$

$\langle proof \rangle$

lemma *elist-var-inj-term* [iff]: $\langle t::expr\ list \rangle \neq \langle w::var \rangle$

$\langle proof \rangle$

lemma *term-cases*:

$$\llbracket \bigwedge v. P \langle v \rangle_v; \bigwedge e. P \langle e \rangle_e; \bigwedge c. P \langle c \rangle_c; \bigwedge l. P \langle l \rangle_l \rrbracket \\ \implies P t \\ \langle \text{proof} \rangle$$

Evaluation of unary operations

consts *eval-unop* :: *unop* \Rightarrow *val* \Rightarrow *val*

primrec

eval-unop *UPlus* *v* = *Intg* (*the-Intg* *v*)

eval-unop *UMinus* *v* = *Intg* ($-$ (*the-Intg* *v*))

eval-unop *UBitNot* *v* = *Intg* 42 — FIXME: Not yet implemented

eval-unop *UNot* *v* = *Bool* (\neg *the-Bool* *v*)

Evaluation of binary operations

consts *eval-binop* :: *binop* \Rightarrow *val* \Rightarrow *val* \Rightarrow *val*

primrec

eval-binop *Mul* *v1* *v2* = *Intg* ((*the-Intg* *v1*) * (*the-Intg* *v2*))

eval-binop *Div* *v1* *v2* = *Intg* ((*the-Intg* *v1*) div (*the-Intg* *v2*))

eval-binop *Mod* *v1* *v2* = *Intg* ((*the-Intg* *v1*) mod (*the-Intg* *v2*))

eval-binop *Plus* *v1* *v2* = *Intg* ((*the-Intg* *v1*) + (*the-Intg* *v2*))

eval-binop *Minus* *v1* *v2* = *Intg* ((*the-Intg* *v1*) - (*the-Intg* *v2*))

— Be aware of the explicit coercion of the shift distance to nat

eval-binop *LShift* *v1* *v2* = *Intg* ((*the-Intg* *v1*) * ($2^{nat\ (the-Intg\ v2)}$))

eval-binop *RShift* *v1* *v2* = *Intg* ((*the-Intg* *v1*) div ($2^{nat\ (the-Intg\ v2)}$))

eval-binop *RShiftU* *v1* *v2* = *Intg* 42 — FIXME: Not yet implemented

eval-binop *Less* *v1* *v2* = *Bool* ((*the-Intg* *v1*) < (*the-Intg* *v2*))

eval-binop *Le* *v1* *v2* = *Bool* ((*the-Intg* *v1*) \leq (*the-Intg* *v2*))

eval-binop *Greater* *v1* *v2* = *Bool* ((*the-Intg* *v2*) < (*the-Intg* *v1*))

eval-binop *Ge* *v1* *v2* = *Bool* ((*the-Intg* *v2*) \leq (*the-Intg* *v1*))

eval-binop *Eq* *v1* *v2* = *Bool* (*v1*=*v2*)

eval-binop *Neq* *v1* *v2* = *Bool* (*v1* \neq *v2*)

eval-binop *BitAnd* *v1* *v2* = *Intg* 42 — FIXME: Not yet implemented

eval-binop *And* *v1* *v2* = *Bool* ((*the-Bool* *v1*) \wedge (*the-Bool* *v2*))

eval-binop *BitXor* *v1* *v2* = *Intg* 42 — FIXME: Not yet implemented

eval-binop *Xor* *v1* *v2* = *Bool* ((*the-Bool* *v1*) \neq (*the-Bool* *v2*))

eval-binop *BitOr* *v1* *v2* = *Intg* 42 — FIXME: Not yet implemented

eval-binop *Or* *v1* *v2* = *Bool* ((*the-Bool* *v1*) \vee (*the-Bool* *v2*))

eval-binop *CondAnd* *v1* *v2* = *Bool* ((*the-Bool* *v1*) \wedge (*the-Bool* *v2*))

eval-binop *CondOr* *v1* *v2* = *Bool* ((*the-Bool* *v1*) \vee (*the-Bool* *v2*))

definition *need-second-arg* :: *binop* \Rightarrow *val* \Rightarrow *bool* **where**

$$\text{need-second-arg } \text{binop } v1 \equiv \neg ((\text{binop} = \text{CondAnd} \wedge \neg \text{the-Bool } v1) \vee \\ (\text{binop} = \text{CondOr} \wedge \text{the-Bool } v1))$$

CondAnd and *CondOr* only evaluate the second argument if the value isn't already determined by the first argument

lemma *need-second-arg-CondAnd* [simp]: *need-second-arg* *CondAnd* (*Bool* *b*) = *b*

$\langle \text{proof} \rangle$

lemma *need-second-arg-CondOr* [simp]: *need-second-arg* *CondOr* (*Bool* *b*) = (\neg *b*)

$\langle \text{proof} \rangle$

```

lemma need-second-arg-strict[simp]:
   $\llbracket \text{binop} \neq \text{CondAnd}; \text{binop} \neq \text{CondOr} \rrbracket \implies \text{need-second-arg binop } b$ 
   $\langle \text{proof} \rangle$ 
end

```

Chapter 8

Decl

7 Field, method, interface, and class declarations, whole Java programs

theory *Decl*
imports *Term Table*
begin

improvements:

- clarification and correction of some aspects of the package/access concept (Also submitted as bug report to the Java Bug Database: Bug Id: 4485402 and Bug Id: 4493343 <http://developer.java.sun.com/bugreport/details/4485402>)

simplifications:

- the only field and method modifiers are static and the access modifiers
- no constructors, which may be simulated by new + suitable methods
- there is just one global initializer per class, which can simulate all others
- no throws clause
- a void method is replaced by one that returns Unit (of dummy type Void)
- no interface fields
- every class has an explicit superclass (unused for Object)
- the (standard) methods of Object and of standard exceptions are not specified
- no main method

8 Modifier

Access modifier

datatype *acc-modi*
 $= Private \mid Package \mid Protected \mid Public$

We can define a linear order for the access modifiers. With Private yielding the most restrictive access and public the most liberal access policy: Private \leq Package \leq Protected \leq Public

instantiation *acc-modi* :: *linorder*
begin

definition

less-acc-def: $a < b$
 $\longleftrightarrow (case\ a\ of$
 $\quad Private \Rightarrow (b=Package \vee b=Protected \vee b=Public)$
 $\quad | \quad Package \Rightarrow (b=Protected \vee b=Public)$
 $\quad | \quad Protected \Rightarrow (b=Public)$
 $\quad | \quad Public \Rightarrow False)$

definition

le-acc-def: $(a :: acc-modi) \leq b \longleftrightarrow a < b \vee a = b$

instance $\langle proof \rangle$

end

9 Declaration (base "class" for member, interface and class declarations)

record *decl* =
 access :: *acc-modi*

translations

(*type*) *decl* <= (*type*) (\downarrow *access*::*acc-modi*)
 (*type*) *decl* <= (*type*) (\downarrow *access*::*acc-modi*,...::'*a*)

10 Member (field or method)

record *member* = *decl* +
 static :: *stat-modi*

translations

(*type*) *member* <= (*type*) (\downarrow *access*::*acc-modi*, *static*::*bool*)
 (*type*) *member* <= (*type*) (\downarrow *access*::*acc-modi*, *static*::*bool*,...::'*a*)

11 Field

record *field* = *member* +
 type :: *ty*

translations

(*type*) *field* <= (*type*) (\downarrow *access*::*acc-modi*, *static*::*bool*, *type*::*ty*)
 (*type*) *field* <= (*type*) (\downarrow *access*::*acc-modi*, *static*::*bool*, *type*::*ty*,...::'*a*)

types

fdecl
 = *vname* \times *field*

translations

(*type*) *fdecl* <= (*type*) *vname* \times *field*

12 Method

record *mhead* = *member* +
 pars :: *vname list*
 resT :: *ty*

record *mbody* =
 lcls:: (*vname* \times *ty*) *list*
 stmt:: *stmt*

record *methd* = *mhead* +
 mbody::*mbody*

types *mdecl* = *sig* \times *methd*

translations

(*type*) *mhead* <= (*type*) (\downarrow *access*::*acc-modi*, *static*::*bool*,
 pars::*vname list*, *resT*::*ty*)
 (*type*) *mhead* <= (*type*) (\downarrow *access*::*acc-modi*, *static*::*bool*,
 pars::*vname list*, *resT*::*ty*,...::'*a*)
 (*type*) *mbody* <= (*type*) (\downarrow *lcls*::(*vname* \times *ty*) *list*, *stmt*::*stmt*)
 (*type*) *mbody* <= (*type*) (\downarrow *lcls*::(*vname* \times *ty*) *list*, *stmt*::*stmt*,...::'*a*)
 (*type*) *methd* <= (*type*) (\downarrow *access*::*acc-modi*, *static*::*bool*,
 pars::*vname list*, *resT*::*ty*, *mbody*::*mbody*)
 (*type*) *methd* <= (*type*) (\downarrow *access*::*acc-modi*, *static*::*bool*,

$\text{pars}::\text{vname list}, \text{resT}::\text{ty}, \text{mbody}::\text{mbody}, \dots::'a\)$

$(\text{type}) \text{ mdecl} \leq (\text{type}) \text{ sig} \times \text{methd}$

definition $\text{mhead} :: \text{methd} \Rightarrow \text{mhead}$ **where**
 $\text{mhead } m \equiv (\text{access}=\text{access } m, \text{static}=\text{static } m, \text{pars}=\text{pars } m, \text{resT}=\text{resT } m)$

lemma $\text{access-mhead} [\text{simp}]: \text{access } (\text{mhead } m) = \text{access } m$
 $\langle \text{proof} \rangle$

lemma $\text{static-mhead} [\text{simp}]: \text{static } (\text{mhead } m) = \text{static } m$
 $\langle \text{proof} \rangle$

lemma $\text{pars-mhead} [\text{simp}]: \text{pars } (\text{mhead } m) = \text{pars } m$
 $\langle \text{proof} \rangle$

lemma $\text{resT-mhead} [\text{simp}]: \text{resT } (\text{mhead } m) = \text{resT } m$
 $\langle \text{proof} \rangle$

To be able to talk uniformly about field and method declarations we introduce the notion of a member declaration (e.g. useful to define accessibility)

datatype $\text{memberdecl} = \text{fdecl fdecl} \mid \text{mdecl mdecl}$

datatype $\text{memberid} = \text{fid vname} \mid \text{mid sig}$

class $\text{has-memberid} =$
fixes $\text{memberid} :: 'a \Rightarrow \text{memberid}$

instantiation $\text{memberdecl} :: \text{has-memberid}$
begin

definition
 $\text{memberdecl-memberid-def}:$
 $\text{memberid } m \equiv (\text{case } m \text{ of}$
 $\quad \text{fdecl } (vn, f) \Rightarrow \text{fid } vn$
 $\quad \mid \text{mdecl } (sig, m) \Rightarrow \text{mid } sig)$

instance $\langle \text{proof} \rangle$

end

lemma $\text{memberid-fdecl-simp} [\text{simp}]: \text{memberid } (\text{fdecl } (vn, f)) = \text{fid } vn$
 $\langle \text{proof} \rangle$

lemma $\text{memberid-fdecl-simp1}: \text{memberid } (\text{fdecl } f) = \text{fid } (\text{fst } f)$
 $\langle \text{proof} \rangle$

lemma $\text{memberid-mdecl-simp} [\text{simp}]: \text{memberid } (\text{mdecl } (sig, m)) = \text{mid } sig$
 $\langle \text{proof} \rangle$

lemma $\text{memberid-mdecl-simp1}: \text{memberid } (\text{mdecl } m) = \text{mid } (\text{fst } m)$

$\langle \text{proof} \rangle$

instantiation $* :: (\text{type}, \text{has-memberid}) \text{ has-memberid}$
begin

definition

pair-memberid-def:

$\text{memberid } p \equiv \text{memberid } (\text{snd } p)$

instance $\langle \text{proof} \rangle$

end

lemma *memberid-pair-simp*[*simp*]: $\text{memberid } (c, m) = \text{memberid } m$
 $\langle \text{proof} \rangle$

lemma *memberid-pair-simp1*: $\text{memberid } p = \text{memberid } (\text{snd } p)$
 $\langle \text{proof} \rangle$

definition *is-field* :: $q\text{name} \times \text{memberdecl} \Rightarrow \text{bool}$ **where**
is-field $m \equiv \exists \text{ decl } C \text{ f. } m = (\text{decl } C, \text{fdecl } f)$

lemma *is-fieldD*: $\text{is-field } m \Longrightarrow \exists \text{ decl } C \text{ f. } m = (\text{decl } C, \text{fdecl } f)$
 $\langle \text{proof} \rangle$

lemma *is-fieldI*: $\text{is-field } (C, \text{fdecl } f)$
 $\langle \text{proof} \rangle$

definition *is-method* :: $q\text{name} \times \text{memberdecl} \Rightarrow \text{bool}$ **where**
is-method $\text{membr} \equiv \exists \text{ decl } C \text{ m. } \text{membr} = (\text{decl } C, \text{mdecl } m)$

lemma *is-methodD*: $\text{is-method } \text{membr} \Longrightarrow \exists \text{ decl } C \text{ m. } \text{membr} = (\text{decl } C, \text{mdecl } m)$
 $\langle \text{proof} \rangle$

lemma *is-methodI*: $\text{is-method } (C, \text{mdecl } m)$
 $\langle \text{proof} \rangle$

13 Interface

record *ibody* = *decl* + — interface body
imethods :: $(\text{sig} \times \text{mhead}) \text{ list}$ — method heads

record *iface* = *ibody* + — interface
isuperIfs :: $q\text{name list}$ — superinterface list

types
idecl — interface declaration, cf. 9.1
 $= q\text{name} \times \text{iface}$

translations

$(\text{type}) \text{ ibody} \leq (\text{type}) (\downarrow \text{access} :: \text{acc-modi}, \text{imethods} :: (\text{sig} \times \text{mhead}) \text{ list})$
 $(\text{type}) \text{ ibody} \leq (\text{type}) (\downarrow \text{access} :: \text{acc-modi}, \text{imethods} :: (\text{sig} \times \text{mhead}) \text{ list}, \dots :: 'a)$
 $(\text{type}) \text{ iface} \leq (\text{type}) (\downarrow \text{access} :: \text{acc-modi}, \text{imethods} :: (\text{sig} \times \text{mhead}) \text{ list},$
 $\text{isuperIfs} :: q\text{name list})$

$(type) \text{ iface} \leq (type) (\downarrow \text{access}::\text{acc-modi}, \text{imethods}::(\text{sig} \times \text{mhead}) \text{ list},$
 $\text{isuperIfs}::\text{qtname list}, \dots::'a)$
 $(type) \text{ idecl} \leq (type) \text{ qtname} \times \text{iface}$

definition $\text{ibody} :: \text{iface} \Rightarrow \text{ibody}$ **where**
 $\text{ibody } i \equiv (\downarrow \text{access}=\text{access } i, \text{imethods}=\text{imethods } i)$

lemma $\text{access-ibody [simp]}: (\text{access } (\text{ibody } i)) = \text{access } i$
 $\langle \text{proof} \rangle$

lemma $\text{imethods-ibody [simp]}: (\text{imethods } (\text{ibody } i)) = \text{imethods } i$
 $\langle \text{proof} \rangle$

14 Class

record $\text{cbody} = \text{decl} +$ — class body
 $\text{cfields}::\text{fdecl list}$
 $\text{methods}::\text{mdecl list}$
 $\text{init} :: \text{stmt}$ — initializer

record $\text{class} = \text{cbody} +$ — class
 $\text{super} :: \text{qtname}$ — superclass
 $\text{superIfs}::\text{qtname list}$ — implemented interfaces

types
 cdecl — class declaration, cf. 8.1
 $= \text{qtname} \times \text{class}$

translations

$(type) \text{ cbody} \leq (type) (\downarrow \text{access}::\text{acc-modi}, \text{cfields}::\text{fdecl list},$
 $\text{methods}::\text{mdecl list}, \text{init}::\text{stmt})$
 $(type) \text{ cbody} \leq (type) (\downarrow \text{access}::\text{acc-modi}, \text{cfields}::\text{fdecl list},$
 $\text{methods}::\text{mdecl list}, \text{init}::\text{stmt}, \dots::'a)$
 $(type) \text{ class} \leq (type) (\downarrow \text{access}::\text{acc-modi}, \text{cfields}::\text{fdecl list},$
 $\text{methods}::\text{mdecl list}, \text{init}::\text{stmt},$
 $\text{super}::\text{qtname}, \text{superIfs}::\text{qtname list})$
 $(type) \text{ class} \leq (type) (\downarrow \text{access}::\text{acc-modi}, \text{cfields}::\text{fdecl list},$
 $\text{methods}::\text{mdecl list}, \text{init}::\text{stmt},$
 $\text{super}::\text{qtname}, \text{superIfs}::\text{qtname list}, \dots::'a)$
 $(type) \text{ cdecl} \leq (type) \text{ qtname} \times \text{class}$

definition $\text{cbody} :: \text{class} \Rightarrow \text{cbody}$ **where**
 $\text{cbody } c \equiv (\downarrow \text{access}=\text{access } c, \text{cfields}=\text{cfields } c, \text{methods}=\text{methods } c, \text{init}=\text{init } c)$

lemma $\text{access-cbody [simp]}: \text{access } (\text{cbody } c) = \text{access } c$
 $\langle \text{proof} \rangle$

lemma $\text{cfields-cbody [simp]}: \text{cfields } (\text{cbody } c) = \text{cfields } c$
 $\langle \text{proof} \rangle$

lemma $\text{methods-cbody [simp]}: \text{methods } (\text{cbody } c) = \text{methods } c$
 $\langle \text{proof} \rangle$

lemma $\text{init-cbody [simp]}: \text{init } (\text{cbody } c) = \text{init } c$

$\langle proof \rangle$

standard classes

consts

Object-mdecls :: *mdecl list* — methods of Object
SXcpt-mdecls :: *mdecl list* — methods of SXcpts
ObjectC :: *cdecl* — declaration of root class
SXcptC :: *xname* \Rightarrow *cdecl* — declarations of throwable classes

defs

ObjectC-def: *ObjectC* \equiv (*Object*, (\lfloor *access*=*Public*, *cfields*=[], *methods*=*Object-mdecls*,
init=*Skip*, *super*=*undefined*, *superIfs*=[] \rfloor))
SXcptC-def: *SXcptC xn* \equiv (*SXcpt xn*, (\lfloor *access*=*Public*, *cfields*=[], *methods*=*SXcpt-mdecls*,
init=*Skip*,
super=if *xn* = *Throwable* then *Object*
else SXcpt Throwable,
superIfs=[] \rfloor))

lemma *ObjectC-neq-SXcptC* [*simp*]: *ObjectC* \neq *SXcptC xn*
 $\langle proof \rangle$

lemma *SXcptC-inject* [*simp*]: (*SXcptC xn* = *SXcptC xm*) = (*xn* = *xm*)
 $\langle proof \rangle$

definition standard-classes :: *cdecl list* **where**

standard-classes \equiv [*ObjectC*, *SXcptC Throwable*,
SXcptC NullPointerException, *SXcptC OutOfMemory*, *SXcptC ClassCast*,
SXcptC NegArrSize, *SXcptC IndOutBound*, *SXcptC ArrStore*]

programs

record *prog* =
ifaces :: *idecl list*
classes :: *cdecl list*

translations

(*type*) *prog* \leq (*type*) (\lfloor *ifaces*::*idecl list*, *classes*::*cdecl list* \rfloor)
(*type*) *prog* \leq (*type*) (\lfloor *ifaces*::*idecl list*, *classes*::*cdecl list*, ...::'*a*' \rfloor)

abbreviation

iface :: *prog* \Rightarrow (*qname*, *iface*) *table*
where *iface G I* == *table-of* (*ifaces G*) *I*

abbreviation

class :: *prog* \Rightarrow (*qname*, *class*) *table*
where *class G C* == *table-of* (*classes G*) *C*

abbreviation

is-iface :: *prog* \Rightarrow *qname* \Rightarrow *bool*
where *is-iface G I* == *iface G I* \neq *None*

abbreviation

is-class :: *prog* \Rightarrow *qname* \Rightarrow *bool*

where *is-class* $G\ C == \text{class } G\ C \neq \text{None}$

is type

consts

is-type :: $\text{prog} \Rightarrow \text{ty} \Rightarrow \text{bool}$
isrtype :: $\text{prog} \Rightarrow \text{ref-ty} \Rightarrow \text{bool}$

primrec *is-type* $G\ (\text{PrimT } pt) = \text{True}$
is-type $G\ (\text{RefT } rt) = \text{isrtype } G\ rt$
isrtype $G\ (\text{NullT } _) = \text{True}$
isrtype $G\ (\text{IfaceT } tn) = \text{is-iface } G\ tn$
isrtype $G\ (\text{ClassT } tn) = \text{is-class } G\ tn$
isrtype $G\ (\text{ArrayT } T) = \text{is-type } G\ T$

lemma *type-is-iface*: $\text{is-type } G\ (\text{Iface } I) \implies \text{is-iface } G\ I$
 <proof>

lemma *type-is-class*: $\text{is-type } G\ (\text{Class } C) \implies \text{is-class } G\ C$
 <proof>

subinterface and subclass relation, in anticipation of TypeRel.thy

consts

subint1 :: $\text{prog} \Rightarrow (qname \times qname)\ \text{set} \text{ --- direct subinterface}$
subcls1 :: $\text{prog} \Rightarrow (qname \times qname)\ \text{set} \text{ --- direct subclass}$

defs

subint1-def: $\text{subint1 } G \equiv \{(I, J). \exists i \in \text{iface } G\ I: J \in \text{set } (\text{isuperIfs } i)\}$
subcls1-def: $\text{subcls1 } G \equiv \{(C, D). C \neq \text{Object} \wedge (\exists c \in \text{class } G\ C: \text{super } c = D)\}$

abbreviation

subcls1-syntax :: $\text{prog} \Rightarrow [qname, qname] \Rightarrow \text{bool } (-|--<:C1- [71, 71, 71] 70)$
where $G|-C <:C1\ D == (C, D) \in \text{subcls1 } G$

abbreviation

subclseq-syntax :: $\text{prog} \Rightarrow [qname, qname] \Rightarrow \text{bool } (-|--<=:C-[71, 71, 71] 70)$
where $G|-C <=:C\ D == (C, D) \in (\text{subcls1 } G)^*$

abbreviation

subcls-syntax :: $\text{prog} \Rightarrow [qname, qname] \Rightarrow \text{bool } (-|--<:C-[71, 71, 71] 70)$
where $G|-C <:C\ D == (C, D) \in (\text{subcls1 } G)^+$

notation (*xsymbols*)

subcls1-syntax $(+--<_{C1}- [71, 71, 71] 70)$ **and**
subclseq-syntax $(+--\preceq_C - [71, 71, 71] 70)$ **and**
subcls-syntax $(+--<_C - [71, 71, 71] 70)$

lemma *subint1I*: $\llbracket \text{iface } G\ I = \text{Some } i; J \in \text{set } (\text{isuperIfs } i) \rrbracket$
 $\implies (I, J) \in \text{subint1 } G$
 <proof>

lemma *subcls1I*: $\llbracket \text{class } G\ C = \text{Some } c; C \neq \text{Object} \rrbracket \implies (C, (\text{super } c)) \in \text{subcls1 } G$
 <proof>

lemma *subint1D*: $(I,J) \in \text{subint1 } G \implies \exists i \in \text{iface } G \text{ } I: J \in \text{set } (\text{isuperIfs } i)$
 $\langle \text{proof} \rangle$

lemma *subcls1D*:
 $(C,D) \in \text{subcls1 } G \implies C \neq \text{Object} \wedge (\exists c. \text{class } G \text{ } C = \text{Some } c \wedge (\text{super } c = D))$
 $\langle \text{proof} \rangle$

lemma *subint1-def2*:
 $\text{subint1 } G = (\text{SIGMA } I: \{I. \text{is-iface } G \text{ } I\}. \text{set } (\text{isuperIfs } (\text{the } (\text{iface } G \text{ } I))))$
 $\langle \text{proof} \rangle$

lemma *subcls1-def2*:
 $\text{subcls1 } G =$
 $(\text{SIGMA } C: \{C. \text{is-class } G \text{ } C\}. \{D. C \neq \text{Object} \wedge \text{super } (\text{the } (\text{class } G \text{ } C)) = D\})$
 $\langle \text{proof} \rangle$

lemma *subcls-is-class*:
 $\llbracket G \vdash C \prec_C D \rrbracket \implies \exists c. \text{class } G \text{ } C = \text{Some } c$
 $\langle \text{proof} \rangle$

lemma *no-subcls1-Object*: $G \vdash \text{Object} \prec_C D \implies P$
 $\langle \text{proof} \rangle$

lemma *no-subcls-Object*: $G \vdash \text{Object} \prec_C D \implies P$
 $\langle \text{proof} \rangle$

well-structured programs

definition *ws-idecl* :: $\text{prog} \Rightarrow \text{qname} \Rightarrow \text{qname list} \Rightarrow \text{bool}$ **where**
 $\text{ws-idecl } G \text{ } I \text{ } si \equiv \forall J \in \text{set } si. \text{is-iface } G \text{ } J \wedge (J,I) \notin (\text{subint1 } G)^\wedge +$

definition *ws-cdecl* :: $\text{prog} \Rightarrow \text{qname} \Rightarrow \text{qname} \Rightarrow \text{bool}$ **where**
 $\text{ws-cdecl } G \text{ } C \text{ } sc \equiv C \neq \text{Object} \longrightarrow \text{is-class } G \text{ } sc \wedge (sc,C) \notin (\text{subcls1 } G)^\wedge +$

definition *ws-prog* :: $\text{prog} \Rightarrow \text{bool}$ **where**
 $\text{ws-prog } G \equiv (\forall (I,i) \in \text{set } (\text{ifaces } G). \text{ws-idecl } G \text{ } I \text{ } (\text{isuperIfs } i)) \wedge$
 $(\forall (C,c) \in \text{set } (\text{classes } G). \text{ws-cdecl } G \text{ } C \text{ } (\text{super } c))$

lemma *ws-progI*:
 $\llbracket \forall (I,i) \in \text{set } (\text{ifaces } G). \forall J \in \text{set } (\text{isuperIfs } i). \text{is-iface } G \text{ } J \wedge$
 $(J,I) \notin (\text{subint1 } G)^\wedge +;$
 $\forall (C,c) \in \text{set } (\text{classes } G). C \neq \text{Object} \longrightarrow \text{is-class } G \text{ } (\text{super } c) \wedge$
 $((\text{super } c), C) \notin (\text{subcls1 } G)^\wedge +$
 $\rrbracket \implies \text{ws-prog } G$
 $\langle \text{proof} \rangle$

lemma *ws-prog-ideclD*:
 $\llbracket \text{iface } G \text{ } I = \text{Some } i; J \in \text{set } (\text{isuperIfs } i); \text{ws-prog } G \rrbracket \implies$

is-iface $G \ J \wedge (J, I) \notin (\text{subint1 } G)^+ +$
 $\langle \text{proof} \rangle$

lemma *ws-prog-cdeclD*:

$\llbracket \text{class } G \ C = \text{Some } c; C \neq \text{Object}; \text{ws-prog } G \rrbracket \implies$
 $\text{is-class } G \ (\text{super } c) \wedge (\text{super } c, C) \notin (\text{subcls1 } G)^+ +$
 $\langle \text{proof} \rangle$

well-foundedness

lemma *finite-is-iface*: $\text{finite } \{I. \text{is-iface } G \ I\}$
 $\langle \text{proof} \rangle$

lemma *finite-is-class*: $\text{finite } \{C. \text{is-class } G \ C\}$
 $\langle \text{proof} \rangle$

lemma *finite-subint1*: $\text{finite } (\text{subint1 } G)$
 $\langle \text{proof} \rangle$

lemma *finite-subcls1*: $\text{finite } (\text{subcls1 } G)$
 $\langle \text{proof} \rangle$

lemma *subint1-irrefl-lemma1*:
 $\text{ws-prog } G \implies (\text{subint1 } G)^{-1} \cap (\text{subint1 } G)^+ = \{\}$
 $\langle \text{proof} \rangle$

lemma *subcls1-irrefl-lemma1*:
 $\text{ws-prog } G \implies (\text{subcls1 } G)^{-1} \cap (\text{subcls1 } G)^+ = \{\}$
 $\langle \text{proof} \rangle$

lemmas *subint1-irrefl-lemma2* = *subint1-irrefl-lemma1* $\llbracket \text{THEN irrefl-tranclI} \rrbracket$
lemmas *subcls1-irrefl-lemma2* = *subcls1-irrefl-lemma1* $\llbracket \text{THEN irrefl-tranclI} \rrbracket$

lemma *subint1-irrefl*: $\llbracket (x, y) \in \text{subint1 } G; \text{ws-prog } G \rrbracket \implies x \neq y$
 $\langle \text{proof} \rangle$

lemma *subcls1-irrefl*: $\llbracket (x, y) \in \text{subcls1 } G; \text{ws-prog } G \rrbracket \implies x \neq y$
 $\langle \text{proof} \rangle$

lemmas *subint1-acyclic* = *subint1-irrefl-lemma2* $\llbracket \text{THEN acyclicI, standard} \rrbracket$
lemmas *subcls1-acyclic* = *subcls1-irrefl-lemma2* $\llbracket \text{THEN acyclicI, standard} \rrbracket$

lemma *wf-subint1*: $\text{ws-prog } G \implies \text{wf } ((\text{subint1 } G)^{-1})$
 $\langle \text{proof} \rangle$

lemma *wf-subcls1*: $\text{ws-prog } G \implies \text{wf } ((\text{subcls1 } G)^{-1})$
 $\langle \text{proof} \rangle$

lemma *subint1-induct*:

$\llbracket ws\text{-prog } G; \bigwedge x. \forall y. (x, y) \in \text{subint1 } G \longrightarrow P y \Longrightarrow P x \rrbracket \Longrightarrow P a$
 $\langle \text{proof} \rangle$

lemma *subcls1-induct* [*consumes 1*]:

$\llbracket ws\text{-prog } G; \bigwedge x. \forall y. (x, y) \in \text{subcls1 } G \longrightarrow P y \Longrightarrow P x \rrbracket \Longrightarrow P a$
 $\langle \text{proof} \rangle$

lemma *ws-subint1-induct*:

$\llbracket \text{is-iface } G I; ws\text{-prog } G; \bigwedge I i. \llbracket \text{iface } G I = \text{Some } i \wedge$
 $(\forall J \in \text{set } (\text{isuperIfs } i). (I, J) \in \text{subint1 } G \wedge P J \wedge \text{is-iface } G J) \rrbracket \Longrightarrow P I$
 $\rrbracket \Longrightarrow P I$
 $\langle \text{proof} \rangle$

lemma *ws-subcls1-induct*: $\llbracket \text{is-class } G C; ws\text{-prog } G;$

$\bigwedge C c. \llbracket \text{class } G C = \text{Some } c;$
 $(C \neq \text{Object} \longrightarrow (C, (\text{super } c)) \in \text{subcls1 } G \wedge$
 $P (\text{super } c) \wedge \text{is-class } G (\text{super } c)) \rrbracket \Longrightarrow P C$
 $\rrbracket \Longrightarrow P C$
 $\langle \text{proof} \rangle$

lemma *ws-class-induct* [*consumes 2, case-names Object Subcls*]:

$\llbracket \text{class } G C = \text{Some } c; ws\text{-prog } G;$
 $\bigwedge co. \text{class } G \text{ Object} = \text{Some } co \Longrightarrow P \text{ Object};$
 $\bigwedge C c. \llbracket \text{class } G C = \text{Some } c; C \neq \text{Object}; P (\text{super } c) \rrbracket \Longrightarrow P C$
 $\rrbracket \Longrightarrow P C$
 $\langle \text{proof} \rangle$

lemma *ws-class-induct'* [*consumes 2, case-names Object Subcls*]:

$\llbracket \text{is-class } G C; ws\text{-prog } G;$
 $\bigwedge co. \text{class } G \text{ Object} = \text{Some } co \Longrightarrow P \text{ Object};$
 $\bigwedge C c. \llbracket \text{class } G C = \text{Some } c; C \neq \text{Object}; P (\text{super } c) \rrbracket \Longrightarrow P C$
 $\rrbracket \Longrightarrow P C$
 $\langle \text{proof} \rangle$

lemma *ws-class-induct''* [*consumes 2, case-names Object Subcls*]:

$\llbracket \text{class } G C = \text{Some } c; ws\text{-prog } G;$
 $\bigwedge co. \text{class } G \text{ Object} = \text{Some } co \Longrightarrow P \text{ Object } co;$
 $\bigwedge C c sc. \llbracket \text{class } G C = \text{Some } c; \text{class } G (\text{super } c) = \text{Some } sc;$
 $C \neq \text{Object}; P (\text{super } c) sc \rrbracket \Longrightarrow P C c$
 $\rrbracket \Longrightarrow P C c$
 $\langle \text{proof} \rangle$

lemma *ws-interface-induct* [*consumes 2, case-names Step*]:

assumes *is-if-I*: *is-iface* $G I$ **and**

ws: *ws-prog* G **and**

hyp-sub: $\bigwedge I i. \llbracket \text{iface } G I = \text{Some } i;$

$\forall J \in \text{set } (\text{isuperIfs } i).$

$(I, J) \in \text{subint1 } G \wedge P J \wedge \text{is-iface } G J \rrbracket \Longrightarrow P I$

shows $P\ I$
 $\langle proof \rangle$

general recursion operators for the interface and class hierarchies

function
 $iface-rec :: prog \Rightarrow qtname \Rightarrow (qtname \Rightarrow iface \Rightarrow 'a\ set \Rightarrow 'a) \Rightarrow 'a$
where
 $[simp\ del]:\ iface-rec\ G\ I\ f =$
 $(case\ iface\ G\ I\ of$
 $\quad None \Rightarrow undefined$
 $\quad | Some\ i \Rightarrow if\ ws-prog\ G$
 $\quad \quad then\ f\ I\ i$
 $\quad \quad \quad ((\lambda J. iface-rec\ G\ J\ f)'set\ (isuperIfs\ i))$
 $\quad \quad else\ undefined)$
 $\langle proof \rangle$
termination
 $\langle proof \rangle$

lemma $iface-rec$:
 $\llbracket iface\ G\ I = Some\ i; ws-prog\ G \rrbracket \Longrightarrow$
 $iface-rec\ G\ I\ f = f\ I\ i\ ((\lambda J. iface-rec\ G\ J\ f)'set\ (isuperIfs\ i))$
 $\langle proof \rangle$

function
 $class-rec :: prog \Rightarrow qtname \Rightarrow 'a \Rightarrow (qtname \Rightarrow class \Rightarrow 'a \Rightarrow 'a) \Rightarrow 'a$
where
 $[simp\ del]:\ class-rec\ G\ C\ t\ f =$
 $(case\ class\ G\ C\ of$
 $\quad None \Rightarrow undefined$
 $\quad | Some\ c \Rightarrow if\ ws-prog\ G$
 $\quad \quad then\ f\ C\ c$
 $\quad \quad \quad (if\ C = Object\ then\ t$
 $\quad \quad \quad \quad else\ class-rec\ G\ (super\ c)\ t\ f)$
 $\quad \quad else\ undefined)$
 $\langle proof \rangle$
termination
 $\langle proof \rangle$

lemma $class-rec$: $\llbracket class\ G\ C = Some\ c; ws-prog\ G \rrbracket \Longrightarrow$
 $class-rec\ G\ C\ t\ f =$
 $f\ C\ c\ (if\ C = Object\ then\ t\ else\ class-rec\ G\ (super\ c)\ t\ f)$
 $\langle proof \rangle$

definition $imethds :: prog \Rightarrow qtname \Rightarrow (sig, qtname \times mhead)\ tables$ **where**
 — methods of an interface, with overriding and inheritance, cf. 9.2
 $imethds\ G\ I$
 $\equiv iface-rec\ G\ I$
 $\quad (\lambda I\ i\ ts. (Un-tables\ ts) \oplus \oplus$
 $\quad \quad (Option.set \circ table-of\ (map\ (\lambda(s,m). (s,I,m))\ (imethods\ i))))$

end

Chapter 9

TypeRel

15 The relations between Java types

theory *TypeRel* **imports** *Decl* **begin**

simplifications:

- subinterface, subclass and widening relation includes identity

improvements over Java Specification 1.0:

- narrowing reference conversion also in cases where the return types of a pair of methods common to both types are in widening (rather identity) relation
- one could add similar constraints also for other cases

design issues:

- the type relations do not require *is-type* for their arguments
- the *subint1* and *subcls1* relations imply *is-iface/is-class* for their first arguments, which is required for their finiteness

consts

implmt1 :: *prog* \Rightarrow (*qname* \times *qname*) *set* — direct implementation

abbreviation

subint1-syntax :: *prog* \Rightarrow [*qname*, *qname*] \Rightarrow *bool* (\neg \neg \neg \neg *I1* - [*71*, *71*, *71*] *70*)
where *G* | \neg *I* \neg *I1* *J* == (*I*, *J*) \in *subint1* *G*

abbreviation

subint-syntax :: *prog* \Rightarrow [*qname*, *qname*] \Rightarrow *bool* (\neg \neg \neg \neg *I* - [*71*, *71*, *71*] *70*)
where *G* | \neg *I* \neg *I* *J* == (*I*, *J*) \in (*subint1* *G*)^{*} — cf. 9.1.3

abbreviation

implmt1-syntax :: *prog* \Rightarrow [*qname*, *qname*] \Rightarrow *bool* (\neg \neg \neg \neg *I* - [*71*, *71*, *71*] *70*)
where *G* | \neg *C* \neg *I* == (*C*, *I*) \in *implmt1* *G*

notation (*xsymbols*)

subint1-syntax (\neg \neg \neg \neg *I1* - [*71*, *71*, *71*] *70*) **and**
subint-syntax (\neg \neg \neg \neg *I* - [*71*, *71*, *71*] *70*) **and**
implmt1-syntax (\neg \neg \neg \neg *I* - [*71*, *71*, *71*] *70*)

subclass and subinterface relations

lemmas *subcls-direct* = *subcls1I* [*THEN* *r-into-rtrancl*, *standard*]

lemma *subcls-direct1*:

$\llbracket \text{class } G \text{ } C = \text{Some } c; C \neq \text{Object}; D = \text{super } c \rrbracket \implies G \vdash C \preceq_C D$
 $\langle \text{proof} \rangle$

lemma *subcls1I1*:

$\llbracket \text{class } G \ C = \text{Some } c; C \neq \text{Object}; D = \text{super } c \rrbracket \implies G \vdash C \prec_C 1 \ D$
 $\langle \text{proof} \rangle$

lemma *subcls-direct2*:

$\llbracket \text{class } G \ C = \text{Some } c; C \neq \text{Object}; D = \text{super } c \rrbracket \implies G \vdash C \prec_C D$
 $\langle \text{proof} \rangle$

lemma *subclseq-trans*: $\llbracket G \vdash A \preceq_C B; G \vdash B \preceq_C C \rrbracket \implies G \vdash A \preceq_C C$
 $\langle \text{proof} \rangle$

lemma *subcls-trans*: $\llbracket G \vdash A \prec_C B; G \vdash B \prec_C C \rrbracket \implies G \vdash A \prec_C C$
 $\langle \text{proof} \rangle$

lemma *SXcpt-subcls-Throwable-lemma*:

$\llbracket \text{class } G \ (SXcpt \ x) = \text{Some } xc;$
 $\text{super } xc = (\text{if } x = \text{Throwable then Object else } SXcpt \ \text{Throwable}) \rrbracket$
 $\implies G \vdash SXcpt \ x \preceq_C SXcpt \ \text{Throwable}$
 $\langle \text{proof} \rangle$

lemma *subcls-ObjectI*: $\llbracket \text{is-class } G \ C; \text{ws-prog } G \rrbracket \implies G \vdash C \preceq_C \text{Object}$
 $\langle \text{proof} \rangle$

lemma *subclseq-ObjectD* $[dest!]$: $G \vdash \text{Object} \preceq_C C \implies C = \text{Object}$
 $\langle \text{proof} \rangle$

lemma *subcls-ObjectD* $[dest!]$: $G \vdash \text{Object} \prec_C C \implies \text{False}$
 $\langle \text{proof} \rangle$

lemma *subcls-ObjectI1* $[intro!]$:

$\llbracket C \neq \text{Object}; \text{is-class } G \ C; \text{ws-prog } G \rrbracket \implies G \vdash C \prec_C \text{Object}$
 $\langle \text{proof} \rangle$

lemma *subcls-is-class*: $(C, D) \in (\text{subcls1 } G)^+ \implies \text{is-class } G \ C$
 $\langle \text{proof} \rangle$

lemma *subcls-is-class2* $[rule-format \ (no-asm)]$:

$G \vdash C \preceq_C D \implies \text{is-class } G \ D \longrightarrow \text{is-class } G \ C$
 $\langle \text{proof} \rangle$

lemma *single-inheritance*:

$\llbracket G \vdash A \prec_C 1 \ B; G \vdash A \prec_C 1 \ C \rrbracket \implies B = C$
 $\langle \text{proof} \rangle$

lemma *subcls-compareable*:

$\llbracket G \vdash A \preceq_C X; G \vdash A \preceq_C Y$
 $\rrbracket \implies G \vdash X \preceq_C Y \vee G \vdash Y \preceq_C X$
 $\langle \text{proof} \rangle$

lemma *subcls1-irrefl*: $\llbracket G \vdash C \prec_C 1 D; \text{ws-prog } G \rrbracket$
 $\implies C \neq D$
 $\langle \text{proof} \rangle$

lemma *no-subcls-Object*: $G \vdash C \prec_C D \implies C \neq \text{Object}$
 $\langle \text{proof} \rangle$

lemma *subcls-acyclic*: $\llbracket G \vdash C \prec_C D; \text{ws-prog } G \rrbracket \implies \neg G \vdash D \prec_C C$
 $\langle \text{proof} \rangle$

lemma *subclseq-cases* [*consumes 1, case-names Eq Subcls*]:
 $\llbracket G \vdash C \preceq_C D; C = D \implies P; G \vdash C \prec_C D \implies P \rrbracket \implies P$
 $\langle \text{proof} \rangle$

lemma *subclseq-acyclic*:
 $\llbracket G \vdash C \preceq_C D; G \vdash D \preceq_C C; \text{ws-prog } G \rrbracket \implies C = D$
 $\langle \text{proof} \rangle$

lemma *subcls-irrefl*: $\llbracket G \vdash C \prec_C D; \text{ws-prog } G \rrbracket$
 $\implies C \neq D$
 $\langle \text{proof} \rangle$

lemma *invert-subclseq*:
 $\llbracket G \vdash C \preceq_C D; \text{ws-prog } G \rrbracket$
 $\implies \neg G \vdash D \prec_C C$
 $\langle \text{proof} \rangle$

lemma *invert-subcls*:
 $\llbracket G \vdash C \prec_C D; \text{ws-prog } G \rrbracket$
 $\implies \neg G \vdash D \preceq_C C$
 $\langle \text{proof} \rangle$

lemma *subcls-superD*:
 $\llbracket G \vdash C \prec_C D; \text{class } G \ C = \text{Some } c \rrbracket \implies G \vdash (\text{super } c) \preceq_C D$
 $\langle \text{proof} \rangle$

lemma *subclseq-superD*:
 $\llbracket G \vdash C \preceq_C D; C \neq D; \text{class } G \ C = \text{Some } c \rrbracket \implies G \vdash (\text{super } c) \preceq_C D$
 $\langle \text{proof} \rangle$

implementation relation

defs

— direct implementation, cf. 8.1.3

implmt1-def:implmt1 $G \equiv \{(C, I). C \neq \text{Object} \wedge (\exists c \in \text{class } G \ C: I \in \text{set } (\text{superIfs } c))\}$

lemma *implmt1D*: $G \vdash C \rightsquigarrow 1I \implies C \neq \text{Object} \wedge (\exists c \in \text{class } G \ C: I \in \text{set } (\text{superIfs } c))$
 <proof>

inductive — implementation, cf. 8.1.4

implmt :: *prog* \Rightarrow *qtname* \Rightarrow *qtname* \Rightarrow *bool* ($\vdash \rightsquigarrow \vdash$ [71,71,71] 70)

for *G* :: *prog*

where

direct: $G \vdash C \rightsquigarrow 1J \implies G \vdash C \rightsquigarrow J$
 | *subint*: $\llbracket G \vdash C \rightsquigarrow 1I; G \vdash I \preceq I \ J \rrbracket \implies G \vdash C \rightsquigarrow J$
 | *subcls1*: $\llbracket G \vdash C \prec_C 1D; G \vdash D \rightsquigarrow J \rrbracket \implies G \vdash C \rightsquigarrow J$

lemma *implmtD*: $G \vdash C \rightsquigarrow J \implies (\exists I. G \vdash C \rightsquigarrow 1I \wedge G \vdash I \preceq I \ J) \vee (\exists D. G \vdash C \prec_C 1D \wedge G \vdash D \rightsquigarrow J)$

<proof>

lemma *implmt-ObjectE* [*elim!*]: $G \vdash \text{Object} \rightsquigarrow I \implies R$

<proof>

lemma *subcls-implmt* [*rule-format* (*no-asm*)]: $G \vdash A \preceq_C B \implies G \vdash B \rightsquigarrow K \longrightarrow G \vdash A \rightsquigarrow K$

<proof>

lemma *implmt-subint2*: $\llbracket G \vdash A \rightsquigarrow J; G \vdash J \preceq I \ K \rrbracket \implies G \vdash A \rightsquigarrow K$

<proof>

lemma *implmt-is-class*: $G \vdash C \rightsquigarrow I \implies \text{is-class } G \ C$

<proof>

widening relation

inductive

— widening, viz. method invocation conversion, cf. 5.3 i.e. kind of syntactic subtyping

widen :: *prog* \Rightarrow *ty* \Rightarrow *ty* \Rightarrow *bool* ($\vdash \preceq \vdash$ [71,71,71] 70)

for *G* :: *prog*

where

refl: $G \vdash T \preceq T$ — identity conversion, cf. 5.1.1
 | *subint*: $G \vdash I \preceq I \ J \implies G \vdash \text{Iface } I \preceq \text{Iface } J$ — wid.ref.conv., cf. 5.1.4
 | *int-obj*: $G \vdash \text{Iface } I \preceq \text{Class } \text{Object}$
 | *subcls*: $G \vdash C \preceq_C D \implies G \vdash \text{Class } C \preceq \text{Class } D$
 | *implmt*: $G \vdash C \rightsquigarrow I \implies G \vdash \text{Class } C \preceq \text{Iface } I$
 | *null*: $G \vdash \text{NT} \preceq \text{RefT } R$
 | *arr-obj*: $G \vdash T.\boxed{} \preceq \text{Class } \text{Object}$
 | *array*: $G \vdash \text{RefT } S \preceq \text{RefT } T \implies G \vdash \text{RefT } S.\boxed{} \preceq \text{RefT } T.\boxed{}$

declare *widen.refl* [*intro!*]

declare *widen.intros* [*simp*]

lemma *widen-PrimT*: $G \vdash \text{PrimT } x \preceq T \implies (\exists y. T = \text{PrimT } y)$

<proof>

lemma *widen-PrimT2*: $G \vdash S \preceq \text{PrimT } x \implies \exists y. S = \text{PrimT } y$

$\langle proof \rangle$

These widening lemmata hold in Bali but are too strong for ordinary Java. They would not work for real Java Integral Types, like short, long, int. These lemmata are just for documentation and are not used.

lemma *widen-PrimT-strong*: $G \vdash PrimT\ x \preceq T \implies T = PrimT\ x$
 $\langle proof \rangle$

lemma *widen-PrimT2-strong*: $G \vdash S \preceq PrimT\ x \implies S = PrimT\ x$
 $\langle proof \rangle$

Specialized versions for booleans also would work for real Java

lemma *widen-Boolean*: $G \vdash PrimT\ Boolean \preceq T \implies T = PrimT\ Boolean$
 $\langle proof \rangle$

lemma *widen-Boolean2*: $G \vdash S \preceq PrimT\ Boolean \implies S = PrimT\ Boolean$
 $\langle proof \rangle$

lemma *widen-RefT*: $G \vdash RefT\ R \preceq T \implies \exists t. T = RefT\ t$
 $\langle proof \rangle$

lemma *widen-RefT2*: $G \vdash S \preceq RefT\ R \implies \exists t. S = RefT\ t$
 $\langle proof \rangle$

lemma *widen-Iface*: $G \vdash Iface\ I \preceq T \implies T = Class\ Object \vee (\exists J. T = Iface\ J)$
 $\langle proof \rangle$

lemma *widen-Iface2*: $G \vdash S \preceq Iface\ J \implies S = NT \vee (\exists I. S = Iface\ I) \vee (\exists D. S = Class\ D)$
 $\langle proof \rangle$

lemma *widen-Iface-Iface*: $G \vdash Iface\ I \preceq Iface\ J \implies G \vdash I \preceq I\ J$
 $\langle proof \rangle$

lemma *widen-Iface-Iface-eq [simp]*: $G \vdash Iface\ I \preceq Iface\ J = G \vdash I \preceq I\ J$
 $\langle proof \rangle$

lemma *widen-Class*: $G \vdash Class\ C \preceq T \implies (\exists D. T = Class\ D) \vee (\exists I. T = Iface\ I)$
 $\langle proof \rangle$

lemma *widen-Class2*: $G \vdash S \preceq Class\ C \implies C = Object \vee S = NT \vee (\exists D. S = Class\ D)$
 $\langle proof \rangle$

lemma *widen-Class-Class*: $G \vdash Class\ C \preceq Class\ cm \implies G \vdash C \preceq_C\ cm$
 $\langle proof \rangle$

lemma *widen-Class-Class-eq [simp]*: $G \vdash Class\ C \preceq Class\ cm = G \vdash C \preceq_C\ cm$

$\langle proof \rangle$

lemma *widen-Class-Iface*: $G \vdash \text{Class } C \preceq \text{Iface } I \implies G \vdash C \rightsquigarrow I$

$\langle proof \rangle$

lemma *widen-Class-Iface-eq [simp]*: $G \vdash \text{Class } C \preceq \text{Iface } I = G \vdash C \rightsquigarrow I$

$\langle proof \rangle$

lemma *widen-Array*: $G \vdash S.\boxed{} \preceq T \implies T = \text{Class Object} \vee (\exists T'. T = T'.\boxed{} \wedge G \vdash S \preceq T')$

$\langle proof \rangle$

lemma *widen-Array2*: $G \vdash S \preceq T.\boxed{} \implies S = NT \vee (\exists S'. S = S'.\boxed{} \wedge G \vdash S' \preceq T)$

$\langle proof \rangle$

lemma *widen-ArrayPrimT*: $G \vdash \text{PrimT } t.\boxed{} \preceq T \implies T = \text{Class Object} \vee T = \text{PrimT } t.\boxed{}$

$\langle proof \rangle$

lemma *widen-ArrayRefT*:

$G \vdash \text{RefT } t.\boxed{} \preceq T \implies T = \text{Class Object} \vee (\exists s. T = \text{RefT } s.\boxed{} \wedge G \vdash \text{RefT } t \preceq \text{RefT } s)$

$\langle proof \rangle$

lemma *widen-ArrayRefT-ArrayRefT-eq [simp]*:

$G \vdash \text{RefT } T.\boxed{} \preceq \text{RefT } T'.\boxed{} = G \vdash \text{RefT } T \preceq \text{RefT } T'$

$\langle proof \rangle$

lemma *widen-Array-Array*: $G \vdash T.\boxed{} \preceq T'.\boxed{} \implies G \vdash T \preceq T'$

$\langle proof \rangle$

lemma *widen-Array-Class*: $G \vdash S.\boxed{} \preceq \text{Class } C \implies C = \text{Object}$

$\langle proof \rangle$

lemma *widen-NT2*: $G \vdash S \preceq NT \implies S = NT$

$\langle proof \rangle$

lemma *widen-Object*: $\llbracket \text{isrtype } G \ T; \text{ws-prog } G \rrbracket \implies G \vdash \text{RefT } T \preceq \text{Class Object}$

$\langle proof \rangle$

lemma *widen-trans-lemma [rule-format (no-asm)]*:

$\llbracket G \vdash S \preceq U; \forall C. \text{is-class } G \ C \longrightarrow G \vdash C \preceq_C \text{Object} \rrbracket \implies \forall T. G \vdash U \preceq T \longrightarrow G \vdash S \preceq T$

$\langle proof \rangle$

lemma *ws-widen-trans*: $\llbracket G \vdash S \preceq U; G \vdash U \preceq T; \text{ws-prog } G \rrbracket \implies G \vdash S \preceq T$

$\langle proof \rangle$

lemma *widen-antisym-lemma* [rule-format (no-asm)]: $\llbracket G \vdash S \preceq T;$
 $\forall I J. G \vdash I \preceq I J \wedge G \vdash J \preceq I I \longrightarrow I = J;$
 $\forall C D. G \vdash C \preceq_C D \wedge G \vdash D \preceq_C C \longrightarrow C = D;$
 $\forall I. G \vdash \text{Object} \rightsquigarrow I \longrightarrow \text{False} \rrbracket \Longrightarrow G \vdash T \preceq S \longrightarrow S = T$
 <proof>

lemmas *subint-antisym* =
 subint1-acyclic [THEN *acyclic-impl-antisym-rtrancl*, *standard*]
lemmas *subcls-antisym* =
 subcls1-acyclic [THEN *acyclic-impl-antisym-rtrancl*, *standard*]

lemma *widen-antisym*: $\llbracket G \vdash S \preceq T; G \vdash T \preceq S; \text{ws-prog } G \rrbracket \Longrightarrow S = T$
 <proof>

lemma *widen-ObjectD* [dest!]: $G \vdash \text{Class } \text{Object} \preceq T \Longrightarrow T = \text{Class } \text{Object}$
 <proof>

definition *widens* :: *prog* \Rightarrow [*ty list*, *ty list*] \Rightarrow *bool* ($\vdash \preceq$)- [71,71,71] 70) **where**
 $G \vdash Ts [\preceq] Ts' \equiv \text{list-all2 } (\lambda T T'. G \vdash T \preceq T') Ts Ts'$

lemma *widens-Nil* [simp]: $G \vdash [] [\preceq] []$
 <proof>

lemma *widens-Cons* [simp]: $G \vdash (S \# Ss) [\preceq] (T \# Ts) = (G \vdash S \preceq T \wedge G \vdash Ss [\preceq] Ts)$
 <proof>

narrowing relation

inductive — narrowing reference conversion, cf. 5.1.5

narrow :: *prog* \Rightarrow *ty* \Rightarrow *ty* \Rightarrow *bool* ($\vdash \succ$)- [71,71,71] 70)

for *G* :: *prog*

where

subcls: $G \vdash C \preceq_C D \Longrightarrow G \vdash \text{Class } D \succ \text{Class } C$
implmt: $\neg G \vdash C \rightsquigarrow I \Longrightarrow G \vdash \text{Class } C \succ \text{Iface } I$
obj-arr: $G \vdash \text{Class } \text{Object} \succ T. []$
int-cls: $G \vdash \text{Iface } I \succ \text{Class } C$
subint: *imethds* *G I* *hidings* *imethds* *G J* *entails*
 $(\lambda (md, mh) (md', mh'). G \vdash \text{mrt } mh \preceq \text{mrt } mh') \Longrightarrow$
 $\neg G \vdash I \preceq I J \Longrightarrow G \vdash \text{Iface } I \succ \text{Iface } J$
array: $G \vdash \text{RefT } S \succ \text{RefT } T \Longrightarrow G \vdash \text{RefT } S. [] \succ \text{RefT } T. []$

lemma *narrow-RefT*: $G \vdash \text{RefT } R \succ T \Longrightarrow \exists t. T = \text{RefT } t$
 <proof>

lemma *narrow-RefT2*: $G \vdash S \succ \text{RefT } R \Longrightarrow \exists t. S = \text{RefT } t$
 <proof>

lemma *narrow-PrimT*: $G \vdash \text{PrimT } pt \succ T \Longrightarrow \exists t. T = \text{PrimT } t$
 <proof>

lemma *narrow-PrimT2*: $G \vdash S \succ \text{PrimT } pt \implies$
 $\exists t. S = \text{PrimT } t \wedge G \vdash \text{PrimT } t \preceq \text{PrimT } pt$
 $\langle \text{proof} \rangle$

These narrowing lemmata hold in Bali but are too strong for ordinary Java. They would not work for real Java Integral Types, like short, long, int. These lemmata are just for documentation and are not used.

lemma *narrow-PrimT-strong*: $G \vdash \text{PrimT } pt \succ T \implies T = \text{PrimT } pt$
 $\langle \text{proof} \rangle$

lemma *narrow-PrimT2-strong*: $G \vdash S \succ \text{PrimT } pt \implies S = \text{PrimT } pt$
 $\langle \text{proof} \rangle$

Specialized versions for booleans also would work for real Java

lemma *narrow-Boolean*: $G \vdash \text{PrimT Boolean} \succ T \implies T = \text{PrimT Boolean}$
 $\langle \text{proof} \rangle$

lemma *narrow-Boolean2*: $G \vdash S \succ \text{PrimT Boolean} \implies S = \text{PrimT Boolean}$
 $\langle \text{proof} \rangle$

casting relation

inductive — casting conversion, cf. 5.5
 $\text{cast} :: \text{prog} \Rightarrow \text{ty} \Rightarrow \text{ty} \Rightarrow \text{bool} \text{ } (-\vdash-\preceq?) \text{ } - [71, 71, 71] \text{ } 70)$
for $G :: \text{prog}$
where
 $\text{widen} : G \vdash S \preceq T \implies G \vdash S \preceq? T$
 $\mid \text{narrow} : G \vdash S \succ T \implies G \vdash S \preceq? T$

lemma *cast-RefT*: $G \vdash \text{RefT } R \preceq? T \implies \exists t. T = \text{RefT } t$
 $\langle \text{proof} \rangle$

lemma *cast-RefT2*: $G \vdash S \preceq? \text{RefT } R \implies \exists t. S = \text{RefT } t$
 $\langle \text{proof} \rangle$

lemma *cast-PrimT*: $G \vdash \text{PrimT } pt \preceq? T \implies \exists t. T = \text{PrimT } t$
 $\langle \text{proof} \rangle$

lemma *cast-PrimT2*: $G \vdash S \preceq? \text{PrimT } pt \implies \exists t. S = \text{PrimT } t \wedge G \vdash \text{PrimT } t \preceq \text{PrimT } pt$
 $\langle \text{proof} \rangle$

lemma *cast-Boolean*:
assumes *bool-cast*: $G \vdash \text{PrimT Boolean} \preceq? T$
shows $T = \text{PrimT Boolean}$
 $\langle \text{proof} \rangle$

lemma *cast-Boolean2*:
 assumes *bool-cast*: $G \vdash S \preceq^? \text{PrimT Boolean}$
 shows $S = \text{PrimT Boolean}$
<proof>
end

Chapter 10

DeclConcepts

16 Advanced concepts on Java declarations like overriding, inheritance, dynamic method lookup

theory *DeclConcepts* imports *TypeRel* begin

access control (cf. 6.6), overriding and hiding (cf. 8.4.6.1)

definition *is-public* :: *prog* \Rightarrow *qname* \Rightarrow *bool* **where**

is-public *G* *qn* \equiv (case class *G* *qn* of
 None \Rightarrow (case iface *G* *qn* of
 None \Rightarrow False
 | Some *i* \Rightarrow access *i* = Public)
 | Some *c* \Rightarrow access *c* = Public)

17 accessibility of types (cf. 6.6.1)

Primitive types are always accessible, interfaces and classes are accessible in their package or if they are defined public, an array type is accessible if its element type is accessible

consts *accessible-in* :: *prog* \Rightarrow *ty* \Rightarrow *pname* \Rightarrow *bool*
 (- \vdash - *accessible'-in* - [61,61,61] 60)
 rt-accessible-in:: *prog* \Rightarrow *ref-ty* \Rightarrow *pname* \Rightarrow *bool*
 (- \vdash - *accessible'-in'* - [61,61,61] 60)

primrec

$G \vdash (\text{PrimT } p) \text{ accessible-in pack} = \text{True}$

accessible-in-RefT-simp:

$G \vdash (\text{RefT } r) \text{ accessible-in pack} = G \vdash r \text{ accessible-in' pack}$

$G \vdash (\text{NullT}) \text{ accessible-in' pack} = \text{True}$

$G \vdash (\text{IfaceT } I) \text{ accessible-in' pack} = ((\text{pid } I = \text{pack}) \vee \text{is-public } G \ I)$

$G \vdash (\text{ClassT } C) \text{ accessible-in' pack} = ((\text{pid } C = \text{pack}) \vee \text{is-public } G \ C)$

$G \vdash (\text{ArrayT } ty) \text{ accessible-in' pack} = G \vdash ty \text{ accessible-in pack}$

declare *accessible-in-RefT-simp* [*simp del*]

definition *is-acc-class* :: *prog* \Rightarrow *pname* \Rightarrow *qname* \Rightarrow *bool* **where**

is-acc-class *G* *P* *C* \equiv *is-class* *G* *C* \wedge $G \vdash (\text{Class } C) \text{ accessible-in } P$

definition *is-acc-iface* :: *prog* \Rightarrow *pname* \Rightarrow *qname* \Rightarrow *bool* **where**

is-acc-iface *G* *P* *I* \equiv *is-iface* *G* *I* \wedge $G \vdash (\text{Iface } I) \text{ accessible-in } P$

definition *is-acc-type* :: *prog* \Rightarrow *pname* \Rightarrow *ty* \Rightarrow *bool* **where**

is-acc-type *G* *P* *T* \equiv *is-type* *G* *T* \wedge $G \vdash T \text{ accessible-in } P$

definition *is-acc-reftype* :: *prog* \Rightarrow *pname* \Rightarrow *ref-ty* \Rightarrow *bool* **where**

is-acc-reftype *G* *P* *T* \equiv *isrtype* *G* *T* \wedge $G \vdash T \text{ accessible-in' } P$

lemma *is-acc-classD*:

is-acc-class *G* *P* *C* \Longrightarrow *is-class* *G* *C* \wedge $G \vdash (\text{Class } C) \text{ accessible-in } P$
 <proof>

lemma *is-acc-class-is-class*: *is-acc-class* *G* *P* *C* \Longrightarrow *is-class* *G* *C*

<proof>

lemma *is-acc-ifaceD*:

is-acc-iface *G* *P* *I* \Longrightarrow *is-iface* *G* *I* \wedge $G \vdash (\text{Iface } I) \text{ accessible-in } P$
 <proof>

lemma *is-acc-typeD*:
is-acc-type $G\ P\ T \implies is-type\ G\ T \ \wedge\ G \vdash T\ accessible-in\ P$
 $\langle proof \rangle$

lemma *is-acc-reftypeD*:
is-acc-reftype $G\ P\ T \implies isrtype\ G\ T \ \wedge\ G \vdash T\ accessible-in'\ P$
 $\langle proof \rangle$

18 accessibility of members

The accessibility of members is more involved as the accessibility of types. We have to distinguish several cases to model the different effects of accessibility during inheritance, overriding and ordinary member access

Various technical conversion and selection functions

overloaded selector *accmodi* to select the access modifier out of various HOL types

class *has-accmodi* =
fixes *accmodi*:: 'a \Rightarrow *acc-modi*

instantiation *acc-modi* :: *has-accmodi*
begin

definition
acc-modi-accmodi-def: *accmodi* ($a::acc-modi$) $\equiv a$

instance $\langle proof \rangle$

end

lemma *acc-modi-accmodi-simp*[*simp*]: *accmodi* ($a::acc-modi$) = a
 $\langle proof \rangle$

instantiation *decl-ext-type*:: (*type*) *has-accmodi*
begin

definition
decl-acc-modi-def: *accmodi* ($d::('a::type)\ decl-scheme$) $\equiv access\ d$

instance $\langle proof \rangle$

end

lemma *decl-acc-modi-simp*[*simp*]: *accmodi* ($d::('a::type)\ decl-scheme$) = $access\ d$
 $\langle proof \rangle$

instantiation * :: (*type*, *has-accmodi*) *has-accmodi*
begin

definition
pair-acc-modi-def: *accmodi* $p \equiv (accmodi\ (snd\ p))$

instance $\langle proof \rangle$

end

lemma *pair-acc-modi-simp*[simp]: $accmodi\ (x,a) = (accmodi\ a)$
 $\langle proof \rangle$

instantiation *memberdecl* :: *has-accmodi*
begin

definition

memberdecl-acc-modi-def: $accmodi\ m \equiv (case\ m\ of$
 $\quad fdecl\ f \Rightarrow accmodi\ f$
 $\quad | mdecl\ m \Rightarrow accmodi\ m)$

instance $\langle proof \rangle$

end

lemma *memberdecl-fdecl-acc-modi-simp*[simp]:
 $accmodi\ (fdecl\ m) = accmodi\ m$
 $\langle proof \rangle$

lemma *memberdecl-mdecl-acc-modi-simp*[simp]:
 $accmodi\ (mdecl\ m) = accmodi\ m$
 $\langle proof \rangle$

overloaded selector *declclass* to select the declaring class out of various HOL types

class *has-declclass* =
fixes *declclass*:: 'a \Rightarrow *qname*

instantiation *qname-ext-type* :: (*type*) *has-declclass*
begin

definition

declclass $q \equiv (\mid pid = pid\ q, tid = tid\ q \mid)$

instance $\langle proof \rangle$

end

lemma *qname-declclass-def*:
 $declclass\ q \equiv (q::qname)$
 $\langle proof \rangle$

lemma *qname-declclass-simp*[simp]: $declclass\ (q::qname) = q$
 $\langle proof \rangle$

instantiation * :: (*has-declclass*, *type*) *has-declclass*
begin

definition

pair-declclass-def: $declclass\ p \equiv declclass\ (fst\ p)$

instance $\langle proof \rangle$

end

lemma *pair-declclass-simp*[simp]: *declclass* (*c*,*x*) = *declclass* *c*
 $\langle proof \rangle$

overloaded selector *is-static* to select the static modifier out of various HOL types

class *has-static* =
fixes *is-static* :: 'a \Rightarrow bool

instantiation *decl-ext-type* :: (*has-static*) *has-static*
begin

instance $\langle proof \rangle$

end

instantiation *member-ext-type* :: (*type*) *has-static*
begin

instance $\langle proof \rangle$

end

axiomatization where

static-field-type-is-static-def: *is-static* (*m*::('a member-scheme)) \equiv *static* *m*

lemma *member-is-static-simp*: *is-static* (*m*::('a member-scheme)) = *static* *m*
 $\langle proof \rangle$

instantiation * :: (*type*, *has-static*) *has-static*
begin

definition

pair-is-static-def: *is-static* *p* \equiv *is-static* (*snd* *p*)

instance $\langle proof \rangle$

end

lemma *pair-is-static-simp* [simp]: *is-static* (*x*,*s*) = *is-static* *s*
 $\langle proof \rangle$

lemma *pair-is-static-simp1*: *is-static* *p* = *is-static* (*snd* *p*)
 $\langle proof \rangle$

instantiation *memberdecl* :: *has-static*
begin

definition

memberdecl-is-static-def:

is-static *m* \equiv (case *m* of
 fdecl *f* \Rightarrow *is-static* *f*
 | *mdecl* *m* \Rightarrow *is-static* *m*)

instance $\langle proof \rangle$

end

lemma *memberdecl-is-static-fdecl-simp*[simp]:
 $is-static (fdecl\ f) = is-static\ f$
 $\langle proof \rangle$

lemma *memberdecl-is-static-mdecl-simp*[simp]:
 $is-static (mdecl\ m) = is-static\ m$
 $\langle proof \rangle$

lemma *mhead-static-simp* [simp]: $is-static (mhead\ m) = is-static\ m$
 $\langle proof \rangle$

constdefs — some mnemotic selectors for various pairs

$decliface:: (qname \times ('a::type)\ decl-scheme) \Rightarrow qname$
 $decliface \equiv fst$ — get the interface component

$mbr:: (qname \times memberdecl) \Rightarrow memberdecl$
 $mbr \equiv snd$ — get the memberdecl component

$mthd:: ('b \times 'a) \Rightarrow 'a$
— also used for mdecl, mhead
 $mthd \equiv snd$ — get the method component

$fld:: ('b \times ('a::type)\ decl-scheme) \Rightarrow ('a::type)\ decl-scheme$
— also used for $((vname \times qname) \times field)$
 $fld \equiv snd$ — get the field component

constdefs — some mnemotic selectors for $(vname \times qname)$
 $fname:: (vname \times 'a) \Rightarrow vname$ — also used for fdecl
 $fname \equiv fst$

$declclassf:: (vname \times qname) \Rightarrow qname$
 $declclassf \equiv snd$

lemma *decliface-simp*[simp]: $decliface\ (I,m) = I$
 $\langle proof \rangle$

lemma *mbr-simp*[simp]: $mbr\ (C,m) = m$
 $\langle proof \rangle$

lemma *access-mbr-simp* [simp]: $accmodi\ (mbr\ m) = accmodi\ m$
 $\langle proof \rangle$

lemma *mthd-simp*[simp]: $mthd\ (C,m) = m$

$\langle proof \rangle$

lemma *fld-simp*[*simp*]: *fld* (*C*,*f*) = *f*

$\langle proof \rangle$

lemma *accmodi-simp*[*simp*]: *accmodi* (*C*,*m*) = *access m*

$\langle proof \rangle$

lemma *access-mthd-simp* [*simp*]: (*access* (*mthd m*)) = *accmodi m*

$\langle proof \rangle$

lemma *access-fld-simp* [*simp*]: (*access* (*fld f*)) = *accmodi f*

$\langle proof \rangle$

lemma *static-mthd-simp*[*simp*]: *static* (*mthd m*) = *is-static m*

$\langle proof \rangle$

lemma *mthd-is-static-simp* [*simp*]: *is-static* (*mthd m*) = *is-static m*

$\langle proof \rangle$

lemma *static-fld-simp*[*simp*]: *static* (*fld f*) = *is-static f*

$\langle proof \rangle$

lemma *ext-field-simp* [*simp*]: (*declclass f*,*fld f*) = *f*

$\langle proof \rangle$

lemma *ext-method-simp* [*simp*]: (*declclass m*,*mthd m*) = *m*

$\langle proof \rangle$

lemma *ext-mbr-simp* [*simp*]: (*declclass m*,*mbr m*) = *m*

$\langle proof \rangle$

lemma *fname-simp*[*simp*]:*fname* (*n*,*c*) = *n*

$\langle proof \rangle$

lemma *declclassf-simp*[*simp*]:*declclassf* (*n*,*c*) = *c*

$\langle proof \rangle$

constdefs — some mnemonic selectors for (*vname* × *qname*)

fldname :: (*vname* × *qname*) ⇒ *vname*

fldname ≡ *fst*

fldclass :: (*vname* × *qname*) ⇒ *qname*

fldclass ≡ *snd*

lemma *fldname-simp*[*simp*]: *fldname* (*n*,*c*) = *n*

$\langle \text{proof} \rangle$

lemma *fldclass-simp[simp]*: *fldclass* (*n*,*c*) = *c*

$\langle \text{proof} \rangle$

lemma *ext-fldname-simp[simp]*: (*fldname* *f*,*fldclass* *f*) = *f*

$\langle \text{proof} \rangle$

Convert a qualified method declaration (qualified with its declaring class) to a qualified member declaration: *methdMembr*

definition *methdMembr* :: (*qtname* \times *mdecl*) \Rightarrow (*qtname* \times *memberdecl*) **where**
methdMembr *m* \equiv (*fst* *m*,*mdecl* (*snd* *m*))

lemma *methdMembr-simp[simp]*: *methdMembr* (*c*,*m*) = (*c*,*mdecl* *m*)

$\langle \text{proof} \rangle$

lemma *accmodi-methdMembr-simp[simp]*: *accmodi* (*methdMembr* *m*) = *accmodi* *m*

$\langle \text{proof} \rangle$

lemma *is-static-methdMembr-simp[simp]*: *is-static* (*methdMembr* *m*) = *is-static* *m*

$\langle \text{proof} \rangle$

lemma *declclass-methdMembr-simp[simp]*: *declclass* (*methdMembr* *m*) = *declclass* *m*

$\langle \text{proof} \rangle$

Convert a qualified method (qualified with its declaring class) to a qualified member declaration: *method*

definition *method* :: *sig* \Rightarrow (*qtname* \times *methd*) \Rightarrow (*qtname* \times *memberdecl*) **where**
method *sig* *m* \equiv (*declclass* *m*, *mdecl* (*sig*, *mthd* *m*))

lemma *method-simp[simp]*: *method* *sig* (*C*,*m*) = (*C*,*mdecl* (*sig*,*m*))

$\langle \text{proof} \rangle$

lemma *accmodi-method-simp[simp]*: *accmodi* (*method* *sig* *m*) = *accmodi* *m*

$\langle \text{proof} \rangle$

lemma *declclass-method-simp[simp]*: *declclass* (*method* *sig* *m*) = *declclass* *m*

$\langle \text{proof} \rangle$

lemma *is-static-method-simp[simp]*: *is-static* (*method* *sig* *m*) = *is-static* *m*

$\langle \text{proof} \rangle$

lemma *mbr-method-simp[simp]*: *mbr* (*method* *sig* *m*) = *mdecl* (*sig*,*mthd* *m*)

$\langle \text{proof} \rangle$

lemma *memberid-method-simp[simp]*: *memberid* (*method* *sig* *m*) = *mid* *sig*

$\langle proof \rangle$

definition $fieldm :: vname \Rightarrow (qname \times field) \Rightarrow (qname \times memberdecl)$ **where**
 $fieldm\ n\ f \equiv (declclass\ f, fdecl\ (n, fld\ f))$

lemma $fieldm\text{-}simp[simp]$: $fieldm\ n\ (C, f) = (C, fdecl\ (n, f))$
 $\langle proof \rangle$

lemma $accmodi\text{-}fieldm\text{-}simp[simp]$: $accmodi\ (fieldm\ n\ f) = accmodi\ f$
 $\langle proof \rangle$

lemma $declclass\text{-}fieldm\text{-}simp[simp]$: $declclass\ (fieldm\ n\ f) = declclass\ f$
 $\langle proof \rangle$

lemma $is\text{-}static\text{-}fieldm\text{-}simp[simp]$: $is\text{-}static\ (fieldm\ n\ f) = is\text{-}static\ f$
 $\langle proof \rangle$

lemma $mbr\text{-}fieldm\text{-}simp[simp]$: $mbr\ (fieldm\ n\ f) = fdecl\ (n, fld\ f)$
 $\langle proof \rangle$

lemma $memberid\text{-}fieldm\text{-}simp[simp]$: $memberid\ (fieldm\ n\ f) = fid\ n$
 $\langle proof \rangle$

Select the signature out of a qualified method declaration: $msig$

definition $msig :: (qname \times mdecl) \Rightarrow sig$ **where**
 $msig\ m \equiv fst\ (snd\ m)$

lemma $msig\text{-}simp[simp]$: $msig\ (c, (s, m)) = s$
 $\langle proof \rangle$

Convert a qualified method (qualified with its declaring class) to a qualified method declaration:
 $qmdecl$

definition $qmdecl :: sig \Rightarrow (qname \times methd) \Rightarrow (qname \times mdecl)$ **where**
 $qmdecl\ sig\ m \equiv (declclass\ m, (sig, mthd\ m))$

lemma $qmdecl\text{-}simp[simp]$: $qmdecl\ sig\ (C, m) = (C, (sig, m))$
 $\langle proof \rangle$

lemma $declclass\text{-}qmdecl\text{-}simp[simp]$: $declclass\ (qmdecl\ sig\ m) = declclass\ m$
 $\langle proof \rangle$

lemma $accmodi\text{-}qmdecl\text{-}simp[simp]$: $accmodi\ (qmdecl\ sig\ m) = accmodi\ m$
 $\langle proof \rangle$

lemma $is\text{-}static\text{-}qmdecl\text{-}simp[simp]$: $is\text{-}static\ (qmdecl\ sig\ m) = is\text{-}static\ m$
 $\langle proof \rangle$

lemma *msig-qmdecl-simp*[simp]: *msig (qmdecl sig m) = sig*
 ⟨proof⟩

lemma *mdecl-qmdecl-simp*[simp]:
mdecl (mthd (qmdecl sig new)) = mdecl (sig, mthd new)
 ⟨proof⟩

lemma *methdMembr-qmdecl-simp* [simp]:
methdMembr (qmdecl sig old) = method sig old
 ⟨proof⟩

overloaded selector *resTy* to select the result type out of various HOL types

class *has-resTy* =
fixes *resTy*:: 'a \Rightarrow ty

instantiation *decl-ext-type* :: (*has-resTy*) *has-resTy*
begin

instance ⟨proof⟩

end

instantiation *member-ext-type* :: (*has-resTy*) *has-resTy*
begin

instance ⟨proof⟩

end

instantiation *mhead-ext-type* :: (*type*) *has-resTy*
begin

instance ⟨proof⟩

end

axiomatization where

mhead-ext-type-resTy-def: *resTy (m::('b mhead-scheme)) \equiv resT m*

lemma *mhead-resTy-simp*: *resTy (m::'a mhead-scheme) = resT m*
 ⟨proof⟩

lemma *resTy-mhead* [simp]: *resTy (mhead m) = resTy m*
 ⟨proof⟩

instantiation * :: (*type, has-resTy*) *has-resTy*
begin

definition

pair-resTy-def: *resTy p \equiv resTy (snd p)*

instance ⟨proof⟩

end

lemma *pair-resTy-simp*[simp]: $\text{resTy } (x, m) = \text{resTy } m$
 ⟨proof⟩

lemma *qmdecl-resTy-simp* [simp]: $\text{resTy } (\text{qmdecl sig } m) = \text{resTy } m$
 ⟨proof⟩

lemma *resTy-mthd* [simp]: $\text{resTy } (\text{mthd } m) = \text{resTy } m$
 ⟨proof⟩

inheritable-in

$G \vdash m$ *inheritable-in* P : m can be inherited by classes in package P if:

- the declaration class of m is accessible in P and
- the member m is declared with protected or public access or if it is declared with default (package) access, the package of the declaration class of m is also P . If the member m is declared with private access it is not accessible for inheritance at all.

definition *inheritable-in* :: $\text{prog} \Rightarrow (\text{qname} \times \text{memberdecl}) \Rightarrow \text{pname} \Rightarrow \text{bool}$ $(- \vdash - \text{inheritable}'\text{-in} - [61,61,61] \ 60)$ **where**

$G \vdash \text{membr } \text{inheritable-in } \text{pack}$
 $\equiv (\text{case } (\text{accmodi } \text{membr}) \text{ of}$
 $\text{Private} \Rightarrow \text{False}$
 $\text{Package} \Rightarrow (\text{pid } (\text{declclass } \text{membr})) = \text{pack}$
 $\text{Protected} \Rightarrow \text{True}$
 $\text{Public} \Rightarrow \text{True})$

abbreviation

Method-inheritable-in-syntax::

$\text{prog} \Rightarrow (\text{qname} \times \text{mdecl}) \Rightarrow \text{pname} \Rightarrow \text{bool}$
 $(- \vdash \text{Method} - \text{inheritable}'\text{-in} - [61,61,61] \ 60)$

where $G \vdash \text{Method } m \text{ inheritable-in } p == G \vdash \text{methdMembr } m \text{ inheritable-in } p$

abbreviation

Methd-inheritable-in::

$\text{prog} \Rightarrow \text{sig} \Rightarrow (\text{qname} \times \text{methd}) \Rightarrow \text{pname} \Rightarrow \text{bool}$
 $(- \vdash \text{Methd} - \text{inheritable}'\text{-in} - [61,61,61,61] \ 60)$

where $G \vdash \text{Methd } s \ m \text{ inheritable-in } p == G \vdash (\text{method } s \ m) \text{ inheritable-in } p$

declared-in/undeclared-in

definition *cdeclaredmethd* :: $\text{prog} \Rightarrow \text{qname} \Rightarrow (\text{sig}, \text{methd}) \text{ table}$ **where**

cdeclaredmethd $G \ C$
 $\equiv (\text{case class } G \ C \text{ of}$
 $\text{None} \Rightarrow \lambda \text{ sig. None}$
 $\text{Some } c \Rightarrow \text{table-of } (\text{methods } c)$
)

definition *cdeclaredfield* :: $\text{prog} \Rightarrow \text{qname} \Rightarrow (\text{vname}, \text{field}) \text{ table}$ **where**

cdeclaredfield $G \ C$
 $\equiv (\text{case class } G \ C \text{ of}$
 $\text{None} \Rightarrow \lambda \text{ sig. None}$
 $\text{Some } c \Rightarrow \text{table-of } (\text{cfields } c)$
)

)

definition *declared-in* :: *prog* \Rightarrow *memberdecl* \Rightarrow *qname* \Rightarrow *bool* (\vdash - *declared'-in* - [61,61,61] 60) **where**
 $G \vdash m$ *declared-in* *C* \equiv (case *m* of
 fdecl (*fn*, *f*) \Rightarrow *cdeclaredfield* *G C fn* = *Some f*
 | *mdecl* (*sig*, *m*) \Rightarrow *cdeclaredmethd* *G C sig* = *Some m*)

abbreviation

method-declared-in :: *prog* \Rightarrow (*qname* \times *mdecl*) \Rightarrow *qname* \Rightarrow *bool*
 (\vdash *Method* - *declared'-in* - [61,61,61] 60)
where $G \vdash \text{Method } m$ *declared-in* *C* == $G \vdash mdecl$ (*mthd* *m*) *declared-in* *C*

abbreviation

methd-declared-in :: *prog* \Rightarrow *sig* \Rightarrow (*qname* \times *methd*) \Rightarrow *qname* \Rightarrow *bool*
 (\vdash *Methd* - - *declared'-in* - [61,61,61,61] 60)
where $G \vdash \text{Methd } s \ m$ *declared-in* *C* == $G \vdash mdecl$ (*s*, *mthd* *m*) *declared-in* *C*

lemma *declared-in-classD*:

$G \vdash m$ *declared-in* *C* \implies *is-class* *G C*
 <proof>

definition *undeclared-in* :: *prog* \Rightarrow *memberid* \Rightarrow *qname* \Rightarrow *bool* (\vdash - *undeclared'-in* - [61,61,61] 60) **where**
 $G \vdash m$ *undeclared-in* *C* \equiv (case *m* of
 fid *fn* \Rightarrow *cdeclaredfield* *G C fn* = *None*
 | *mid* *sig* \Rightarrow *cdeclaredmethd* *G C sig* = *None*)

members

inductive

members :: *prog* \Rightarrow (*qname* \times *memberdecl*) \Rightarrow *qname* \Rightarrow *bool*
 (\vdash - *member'-of* - [61,61,61] 60)
for *G* :: *prog*
where

Immediate: $\llbracket G \vdash mbr \ m \text{ declared-in } C; declclass \ m = C \rrbracket \implies G \vdash m \text{ member-of } C$
 | *Inherited*: $\llbracket G \vdash m \text{ inheritable-in } (pid \ C); G \vdash memberid \ m \text{ undeclared-in } C;$
 $G \vdash C \prec_C 1 \ S; G \vdash (Class \ S) \text{ accessible-in } (pid \ C); G \vdash m \text{ member-of } S$
 $\rrbracket \implies G \vdash m \text{ member-of } C$

Note that in the case of an inherited member only the members of the direct superclass are concerned. If a member of a superclass of the direct superclass isn't inherited in the direct superclass (not member of the direct superclass) than it can't be a member of the class. E.g. If a member of a class A is defined with package access it isn't member of a subclass S if S isn't in the same package as A. Any further subclasses of S will not inherit the member, regardless if they are in the same package as A or not.

abbreviation

method-member-of :: *prog* \Rightarrow (*qname* \times *mdecl*) \Rightarrow *qname* \Rightarrow *bool*
 (\vdash *Method* - *member'-of* - [61,61,61] 60)
where $G \vdash \text{Method } m$ *member-of* *C* == $G \vdash (methdMembr \ m)$ *member-of* *C*

abbreviation

methd-member-of :: *prog* \Rightarrow *sig* \Rightarrow (*qname* \times *methd*) \Rightarrow *qname* \Rightarrow *bool*
 (\vdash *Methd* - - *member'-of* - [61,61,61,61] 60)
where $G \vdash \text{Methd } s \ m$ *member-of* *C* == $G \vdash (method \ s \ m)$ *member-of* *C*

abbreviation

fieldm-member-of :: *prog* \Rightarrow *vname* \Rightarrow (*qname* \times *field*) \Rightarrow *qname* \Rightarrow *bool*

$$(- \vdash \text{Field} - - \text{member}'\text{-of} - [61,61,61] \ 60)$$

where $G \vdash \text{Field } n \text{ f member-of } C == G \vdash \text{fieldm } n \text{ f member-of } C$

definition $\text{inherits} :: \text{prog} \Rightarrow \text{qname} \Rightarrow (\text{qname} \times \text{memberdecl}) \Rightarrow \text{bool} \ (- \vdash - \text{inherits} - [61,61,61] \ 60)$
where

$G \vdash C \text{ inherits } m$

$$\equiv G \vdash m \text{ inheritable-in } (\text{pid } C) \wedge G \vdash \text{memberid } m \text{ undeclared-in } C \wedge \\ (\exists S. G \vdash C \prec_C 1 S \wedge G \vdash (\text{Class } S) \text{ accessible-in } (\text{pid } C) \wedge G \vdash m \text{ member-of } S)$$

lemma $\text{inherits-member}: G \vdash C \text{ inherits } m \implies G \vdash m \text{ member-of } C$

$\langle \text{proof} \rangle$

definition $\text{member-in} :: \text{prog} \Rightarrow (\text{qname} \times \text{memberdecl}) \Rightarrow \text{qname} \Rightarrow \text{bool} \ (- \vdash - \text{member}'\text{-in} - [61,61,61] \ 60)$ **where**

$$G \vdash m \text{ member-in } C \equiv \exists \text{ provC}. G \vdash C \preceq_C \text{ provC} \wedge G \vdash m \text{ member-of } \text{provC}$$

A member is in a class if it is member of the class or a superclass. If a member is in a class we can select this member. This additional notion is necessary since not all members are inherited to subclasses. So such members are not member-of the subclass but member-in the subclass.

abbreviation

$$\text{method-member-in} :: \text{prog} \Rightarrow (\text{qname} \times \text{mdecl}) \Rightarrow \text{qname} \Rightarrow \text{bool} \\ (- \vdash \text{Method} - \text{member}'\text{-in} - [61,61,61] \ 60)$$

where $G \vdash \text{Method } m \text{ member-in } C == G \vdash (\text{methdMembr } m) \text{ member-in } C$

abbreviation

$$\text{methd-member-in} :: \text{prog} \Rightarrow \text{sig} \Rightarrow (\text{qname} \times \text{methd}) \Rightarrow \text{qname} \Rightarrow \text{bool} \\ (- \vdash \text{Methd} - - \text{member}'\text{-in} - [61,61,61,61] \ 60)$$

where $G \vdash \text{Methd } s \text{ m member-in } C == G \vdash (\text{method } s \text{ m}) \text{ member-in } C$

lemma $\text{member-inD}: G \vdash m \text{ member-in } C$

$$\implies \exists \text{ provC}. G \vdash C \preceq_C \text{ provC} \wedge G \vdash m \text{ member-of } \text{provC}$$

$\langle \text{proof} \rangle$

lemma $\text{member-inI}: \llbracket G \vdash m \text{ member-of } \text{provC}; G \vdash C \preceq_C \text{ provC} \rrbracket \implies G \vdash m \text{ member-in } C$

$\langle \text{proof} \rangle$

lemma $\text{member-of-to-member-in}: G \vdash m \text{ member-of } C \implies G \vdash m \text{ member-in } C$

$\langle \text{proof} \rangle$

overriding

Unfortunately the static notion of overriding (used during the typecheck of the compiler) and the dynamic notion of overriding (used during execution in the JVM) are not exactly the same.

Static overriding (used during the typecheck of the compiler)

inductive

$$\text{stat-overridesR} :: \text{prog} \Rightarrow (\text{qname} \times \text{mdecl}) \Rightarrow (\text{qname} \times \text{mdecl}) \Rightarrow \text{bool} \\ (- \vdash - \text{overrides}_S - [61,61,61] \ 60)$$

for $G :: \text{prog}$

where

$$\text{Direct}: \llbracket \neg \text{is-static new}; \text{msig new} = \text{msig old}; \\ G \vdash \text{Method new declared-in } (\text{declclass new});$$

$G \vdash \text{Method } old \text{ declared-in } (declclass \text{ } old);$
 $G \vdash \text{Method } old \text{ inheritable-in } pid \text{ } (declclass \text{ } new);$
 $G \vdash (declclass \text{ } new) \prec_C 1 \text{ } superNew;$
 $G \vdash \text{Method } old \text{ member-of } superNew$
 $\parallel \implies G \vdash new \text{ overrides}_S old$

$| \text{ Indirect: } \parallel G \vdash new \text{ overrides}_S intr; G \vdash intr \text{ overrides}_S old \parallel$
 $\implies G \vdash new \text{ overrides}_S old$

Dynamic overriding (used during the typecheck of the compiler)

inductive

$overridesR :: prog \Rightarrow (qname \times mdecl) \Rightarrow (qname \times mdecl) \Rightarrow bool$
 $(- \vdash - \text{ overrides } - [61,61,61] \text{ } 60)$

for $G :: prog$

where

$Direct: \parallel \neg \text{ is-static } new; \neg \text{ is-static } old; accmodi \text{ } new \neq Private;$
 $msig \text{ } new = msig \text{ } old;$
 $G \vdash (declclass \text{ } new) \prec_C (declclass \text{ } old);$
 $G \vdash \text{Method } new \text{ declared-in } (declclass \text{ } new);$
 $G \vdash \text{Method } old \text{ declared-in } (declclass \text{ } old);$
 $G \vdash \text{Method } old \text{ inheritable-in } pid \text{ } (declclass \text{ } new);$
 $G \vdash resTy \text{ } new \preceq resTy \text{ } old$
 $\parallel \implies G \vdash new \text{ overrides } old$

$| \text{ Indirect: } \parallel G \vdash new \text{ overrides } intr; G \vdash intr \text{ overrides } old \parallel$
 $\implies G \vdash new \text{ overrides } old$

abbreviation (*input*)

$sig\text{-}stat\text{-}overrides::$

$prog \Rightarrow sig \Rightarrow (qname \times methd) \Rightarrow (qname \times methd) \Rightarrow bool$
 $(-, \vdash - \text{ overrides}_S - [61,61,61,61] \text{ } 60)$

where $G, s \vdash new \text{ overrides}_S old == G \vdash (qmdecl \text{ } s \text{ } new) \text{ overrides}_S (qmdecl \text{ } s \text{ } old)$

abbreviation (*input*)

$sig\text{-}overrides:: prog \Rightarrow sig \Rightarrow (qname \times methd) \Rightarrow (qname \times methd) \Rightarrow bool$
 $(-, \vdash - \text{ overrides } - [61,61,61,61] \text{ } 60)$

where $G, s \vdash new \text{ overrides } old == G \vdash (qmdecl \text{ } s \text{ } new) \text{ overrides } (qmdecl \text{ } s \text{ } old)$

Hiding

definition $hides :: prog \Rightarrow (qname \times mdecl) \Rightarrow (qname \times mdecl) \Rightarrow bool$ ($+\text{-} \text{ hides } - [61,61,61] \text{ } 60$)

where

$G \vdash new \text{ hides } old$

$\equiv is\text{-}static \text{ } new \wedge msig \text{ } new = msig \text{ } old \wedge$
 $G \vdash (declclass \text{ } new) \prec_C (declclass \text{ } old) \wedge$
 $G \vdash \text{Method } new \text{ declared-in } (declclass \text{ } new) \wedge$
 $G \vdash \text{Method } old \text{ declared-in } (declclass \text{ } old) \wedge$
 $G \vdash \text{Method } old \text{ inheritable-in } pid \text{ } (declclass \text{ } new)$

abbreviation

$sig\text{-}hides:: prog \Rightarrow sig \Rightarrow (qname \times methd) \Rightarrow (qname \times methd) \Rightarrow bool$
 $(-, \vdash - \text{ hides } - [61,61,61,61] \text{ } 60)$

where $G, s \vdash new \text{ hides } old == G \vdash (qmdecl \text{ } s \text{ } new) \text{ hides } (qmdecl \text{ } s \text{ } old)$

lemma $hidesI$:

$\parallel is\text{-}static \text{ } new; msig \text{ } new = msig \text{ } old;$
 $G \vdash (declclass \text{ } new) \prec_C (declclass \text{ } old);$

$G \vdash \text{Method new declared-in (declclass new)};$
 $G \vdash \text{Method old declared-in (declclass old)};$
 $G \vdash \text{Method old inheritable-in pid (declclass new)}$
 $\llbracket \implies G \vdash \text{new hides old} \rrbracket$
 $\langle \text{proof} \rangle$

lemma *hidesD*:

$\llbracket G \vdash \text{new hides old} \rrbracket \implies$
 $\text{declclass new} \neq \text{Object} \wedge \text{is-static new} \wedge \text{msig new} = \text{msig old} \wedge$
 $G \vdash (\text{declclass new}) \prec_C (\text{declclass old}) \wedge$
 $G \vdash \text{Method new declared-in (declclass new)} \wedge$
 $G \vdash \text{Method old declared-in (declclass old)}$
 $\langle \text{proof} \rangle$

lemma *overrides-commonD*:

$\llbracket G \vdash \text{new overrides old} \rrbracket \implies$
 $\text{declclass new} \neq \text{Object} \wedge \neg \text{is-static new} \wedge \neg \text{is-static old} \wedge$
 $\text{accmodi new} \neq \text{Private} \wedge$
 $\text{msig new} = \text{msig old} \wedge$
 $G \vdash (\text{declclass new}) \prec_C (\text{declclass old}) \wedge$
 $G \vdash \text{Method new declared-in (declclass new)} \wedge$
 $G \vdash \text{Method old declared-in (declclass old)}$
 $\langle \text{proof} \rangle$

lemma *ws-overrides-commonD*:

$\llbracket G \vdash \text{new overrides old}; \text{ws-prog } G \rrbracket \implies$
 $\text{declclass new} \neq \text{Object} \wedge \neg \text{is-static new} \wedge \neg \text{is-static old} \wedge$
 $\text{accmodi new} \neq \text{Private} \wedge G \vdash \text{resTy new} \preceq \text{resTy old} \wedge$
 $\text{msig new} = \text{msig old} \wedge$
 $G \vdash (\text{declclass new}) \prec_C (\text{declclass old}) \wedge$
 $G \vdash \text{Method new declared-in (declclass new)} \wedge$
 $G \vdash \text{Method old declared-in (declclass old)}$
 $\langle \text{proof} \rangle$

lemma *overrides-eq-sigD*:

$\llbracket G \vdash \text{new overrides old} \rrbracket \implies \text{msig old} = \text{msig new}$
 $\langle \text{proof} \rangle$

lemma *hides-eq-sigD*:

$\llbracket G \vdash \text{new hides old} \rrbracket \implies \text{msig old} = \text{msig new}$
 $\langle \text{proof} \rangle$

permits access

definition *permits-acc* :: *prog* \Rightarrow (*qname* \times *memberdecl*) \Rightarrow *qname* \Rightarrow *qname* \Rightarrow *bool* (*-* \vdash *-* *in* *-* *permits'-acc'-from* - [61,61,61,61] 60) **where**

$G \vdash \text{membr in cls permits-acc-from accclass}$
 $\equiv (\text{case (accmodi membr) of}$
 $\quad \text{Private} \Rightarrow (\text{declclass membr} = \text{accclass})$
 $\quad | \text{Package} \Rightarrow (\text{pid (declclass membr)} = \text{pid accclass})$
 $\quad | \text{Protected} \Rightarrow (\text{pid (declclass membr)} = \text{pid accclass})$
 $\quad \vee$
 $\quad (G \vdash \text{accclass} \prec_C \text{declclass membr}$
 $\quad \wedge (G \vdash \text{cls} \preceq_C \text{accclass} \vee \text{is-static membr}))$

| *Public* \Rightarrow *True*)

The subcondition of the *Protected* case: $G \vdash \text{accclass} \prec_C \text{declclass} \text{ membr}$ could also be relaxed to: $G \vdash \text{accclass} \preceq_C \text{declclass} \text{ membr}$ since in case both classes are the same the other condition $\text{pid}(\text{declclass} \text{ membr}) = \text{pid} \text{ accclass}$ holds anyway.

Like in case of overriding, the static and dynamic accessibility of members is not uniform.

- Statically the class/interface of the member must be accessible for the member to be accessible. During runtime this is not necessary. For Example, if a class is accessible and we are allowed to access a member of this class (statically) we expect that we can access this member in an arbitrary subclass (during runtime). It's not intended to restrict the access to accessible subclasses during runtime.
- Statically the member we want to access must be "member of" the class. Dynamically it must only be "member in" the class.

inductive

accessible-fromR :: *prog* \Rightarrow *qname* \Rightarrow (*qname* \times *memberdecl*) \Rightarrow *qname* \Rightarrow *bool*
and *accessible-from* :: *prog* \Rightarrow (*qname* \times *memberdecl*) \Rightarrow *qname* \Rightarrow *qname* \Rightarrow *bool*
 (- \vdash - *of* - *accessible'-from* - [61,61,61,61] 60)
and *method-accessible-from* :: *prog* \Rightarrow (*qname* \times *mdecl*) \Rightarrow *qname* \Rightarrow *qname* \Rightarrow *bool*
 (- \vdash *Method* - *of* - *accessible'-from* - [61,61,61,61] 60)
for *G* :: *prog* **and** *accclass* :: *qname*

where

$G \vdash \text{membr of cls accessible-from accclass} \equiv \text{accessible-fromR } G \text{ accclass membr cls}$

| $G \vdash \text{Method } m \text{ of cls accessible-from accclass} \equiv \text{accessible-fromR } G \text{ accclass (methdMembr } m) \text{ cls}$

| *Immediate*: !!*membr class*.

$\llbracket G \vdash \text{membr member-of class};$
 $G \vdash (\text{Class class}) \text{ accessible-in (pid accclass)};$
 $G \vdash \text{membr in class permits-acc-from accclass}$
 $\rrbracket \Rightarrow G \vdash \text{membr of class accessible-from accclass}$

| *Overriding*: !!*membr class C new old supr*.

$\llbracket G \vdash \text{membr member-of class};$
 $G \vdash (\text{Class class}) \text{ accessible-in (pid accclass)};$
 $\text{membr} = (C, \text{mdecl new});$
 $G \vdash (C, \text{new}) \text{ overrides}_S \text{ old};$
 $G \vdash \text{class} \prec_C \text{ supr};$
 $G \vdash \text{Method old of supr accessible-from accclass}$
 $\rrbracket \Rightarrow G \vdash \text{membr of class accessible-from accclass}$

abbreviation

methd-accessible-from::

prog \Rightarrow *sig* \Rightarrow (*qname* \times *methd*) \Rightarrow *qname* \Rightarrow *qname* \Rightarrow *bool*
 (- \vdash *Methd* - - *of* - *accessible'-from* - [61,61,61,61,61] 60)

where

$G \vdash \text{Method } s \text{ m of cls accessible-from accclass} ==$
 $G \vdash (\text{method } s \text{ m}) \text{ of cls accessible-from accclass}$

abbreviation

field-accessible-from::

prog \Rightarrow *vname* \Rightarrow (*qname* \times *field*) \Rightarrow *qname* \Rightarrow *qname* \Rightarrow *bool*
 (- \vdash *Field* - - *of* - *accessible'-from* - [61,61,61,61,61] 60)

where

$G \vdash \text{Field fn f of C accessible-from accclass} ==$

$G \vdash (\text{fieldm fn } f) \text{ of } C \text{ accessible-from accclass}$

inductive

$\text{dyn-accessible-fromR} :: \text{prog} \Rightarrow \text{qname} \Rightarrow (\text{qname} \times \text{memberdecl}) \Rightarrow \text{qname} \Rightarrow \text{bool}$
and $\text{dyn-accessible-from}' :: \text{prog} \Rightarrow (\text{qname} \times \text{memberdecl}) \Rightarrow \text{qname} \Rightarrow \text{qname} \Rightarrow \text{bool}$
 $(- \vdash - \text{ in } - \text{ dyn}'\text{-accessible}'\text{-from } - [61,61,61,61] \ 60)$
and $\text{method-dyn-accessible-from} :: \text{prog} \Rightarrow (\text{qname} \times \text{mdecl}) \Rightarrow \text{qname} \Rightarrow \text{qname} \Rightarrow \text{bool}$
 $(- \vdash \text{Method } - \text{ in } - \text{ dyn}'\text{-accessible}'\text{-from } - [61,61,61,61] \ 60)$
for $G :: \text{prog}$ **and** $\text{accC} :: \text{qname}$

where

$G \vdash \text{membr in } C \text{ dyn-accessible-from accC} \equiv \text{dyn-accessible-fromR } G \text{ accC membr } C$

| $G \vdash \text{Method } m \text{ in } C \text{ dyn-accessible-from accC} \equiv \text{dyn-accessible-fromR } G \text{ accC (methdMembr } m) \ C$

| *Immediate*: $\llbracket G \vdash \text{membr member-in class};$
 $G \vdash \text{membr in class permits-acc-from accclass}$
 $\rrbracket \implies G \vdash \text{membr in class dyn-accessible-from accclass}$

| *Overriding*: $\llbracket G \vdash \text{membr member-in class};$
 $\text{membr} = (C, \text{mdecl new});$
 $G \vdash (C, \text{new}) \text{ overrides old};$
 $G \vdash \text{class } \prec_C \text{ supr};$
 $G \vdash \text{Method old in supr dyn-accessible-from accclass}$
 $\rrbracket \implies G \vdash \text{membr in class dyn-accessible-from accclass}$

abbreviation

$\text{methd-dyn-accessible-from} ::$

$\text{prog} \Rightarrow \text{sig} \Rightarrow (\text{qname} \times \text{methd}) \Rightarrow \text{qname} \Rightarrow \text{qname} \Rightarrow \text{bool}$
 $(- \vdash \text{Methd } - \text{ in } - \text{ dyn}'\text{-accessible}'\text{-from } - [61,61,61,61,61] \ 60)$

where

$G \vdash \text{Methd } s \ m \text{ in } C \text{ dyn-accessible-from accC} ==$
 $G \vdash (\text{method } s \ m) \text{ in } C \text{ dyn-accessible-from accC}$

abbreviation

$\text{field-dyn-accessible-from} ::$

$\text{prog} \Rightarrow \text{vname} \Rightarrow (\text{qname} \times \text{field}) \Rightarrow \text{qname} \Rightarrow \text{qname} \Rightarrow \text{bool}$
 $(- \vdash \text{Field } - \text{ in } - \text{ dyn}'\text{-accessible}'\text{-from } - [61,61,61,61,61] \ 60)$

where

$G \vdash \text{Field fn } f \text{ in dynC dyn-accessible-from accC} ==$
 $G \vdash (\text{fieldm fn } f) \text{ in dynC dyn-accessible-from accC}$

lemma *accessible-from-commonD*: $G \vdash m \text{ of } C \text{ accessible-from } S$
 $\implies G \vdash m \text{ member-of } C \wedge G \vdash (\text{Class } C) \text{ accessible-in (pid } S)$
 $\langle \text{proof} \rangle$

lemma unique-declaration:

$\llbracket G \vdash m \text{ declared-in } C; \ G \vdash n \text{ declared-in } C; \ \text{memberid } m = \text{memberid } n \rrbracket$
 $\implies m = n$
 $\langle \text{proof} \rangle$

lemma declared-not-undeclared:

$G \vdash m \text{ declared-in } C \implies \neg G \vdash \text{memberid } m \text{ undeclared-in } C$
 $\langle \text{proof} \rangle$

lemma *undeclared-not-declared*:

$G \vdash \text{memberid } m \text{ undeclared-in } C \implies \neg G \vdash m \text{ declared-in } C$
 $\langle \text{proof} \rangle$

lemma *not-undeclared-declared*:

$\neg G \vdash \text{membr-id undeclared-in } C \implies (\exists m. G \vdash m \text{ declared-in } C \wedge$
 $\text{membr-id} = \text{memberid } m)$
 $\langle \text{proof} \rangle$

lemma *unique-declared-in*:

$\llbracket G \vdash m \text{ declared-in } C; G \vdash n \text{ declared-in } C; \text{memberid } m = \text{memberid } n \rrbracket$
 $\implies m = n$
 $\langle \text{proof} \rangle$

lemma *unique-member-of*:

assumes $n: G \vdash n \text{ member-of } C$ **and**
 $m: G \vdash m \text{ member-of } C$ **and**
 $\text{eqid: memberid } n = \text{memberid } m$
shows $n=m$
 $\langle \text{proof} \rangle$

lemma *member-of-is-classD*: $G \vdash m \text{ member-of } C \implies \text{is-class } G \ C$

$\langle \text{proof} \rangle$

lemma *member-of-declC*:

$G \vdash m \text{ member-of } C$
 $\implies G \vdash \text{mbr } m \text{ declared-in } (\text{declclass } m)$
 $\langle \text{proof} \rangle$

lemma *member-of-member-of-declC*:

$G \vdash m \text{ member-of } C$
 $\implies G \vdash m \text{ member-of } (\text{declclass } m)$
 $\langle \text{proof} \rangle$

lemma *member-of-class-relation*:

$G \vdash m \text{ member-of } C \implies G \vdash C \preceq_C \text{declclass } m$
 $\langle \text{proof} \rangle$

lemma *member-in-class-relation*:

$G \vdash m \text{ member-in } C \implies G \vdash C \preceq_C \text{declclass } m$
 $\langle \text{proof} \rangle$

lemma *stat-override-declclasses-relation*:

$\llbracket G \vdash (\text{declclass new}) \prec_C 1 \text{ superNew}; G \vdash \text{Method old member-of superNew} \rrbracket$
 $\implies G \vdash (\text{declclass new}) \prec_C (\text{declclass old})$
 $\langle \text{proof} \rangle$

lemma *stat-overrides-commonD*:

$\llbracket G \vdash \text{new overrides}_S \text{ old} \rrbracket \implies$

$declclass\ new \neq Object \wedge \neg is-static\ new \wedge msig\ new = msig\ old \wedge$
 $G \vdash (declclass\ new) \prec_C (declclass\ old) \wedge$
 $G \vdash Method\ new\ declared-in\ (declclass\ new) \wedge$
 $G \vdash Method\ old\ declared-in\ (declclass\ old)$
 $\langle proof \rangle$

lemma *member-of-Package*:
 $\llbracket G \vdash m\ member-of\ C; accmodi\ m = Package \rrbracket$
 $\implies pid\ (declclass\ m) = pid\ C$
 $\langle proof \rangle$

lemma *member-in-declC*: $G \vdash m\ member-in\ C \implies G \vdash m\ member-in\ (declclass\ m)$
 $\langle proof \rangle$

lemma *dyn-accessible-from-commonD*: $G \vdash m\ in\ C\ dyn-accessible-from\ S$
 $\implies G \vdash m\ member-in\ C$
 $\langle proof \rangle$

lemma *no-Private-stat-override*:
 $\llbracket G \vdash new\ overrides_S\ old \rrbracket \implies accmodi\ old \neq Private$
 $\langle proof \rangle$

lemma *no-Private-override*: $\llbracket G \vdash new\ overrides\ old \rrbracket \implies accmodi\ old \neq Private$
 $\langle proof \rangle$

lemma *permits-acc-inheritance*:
 $\llbracket G \vdash m\ in\ statC\ permits-acc-from\ accC; G \vdash dynC \preceq_C statC \rrbracket$
 $\implies G \vdash m\ in\ dynC\ permits-acc-from\ accC$
 $\langle proof \rangle$

lemma *permits-acc-static-declC*:
 $\llbracket G \vdash m\ in\ C\ permits-acc-from\ accC; G \vdash m\ member-in\ C; is-static\ m \rrbracket$
 $\implies G \vdash m\ in\ (declclass\ m)\ permits-acc-from\ accC$
 $\langle proof \rangle$

lemma *dyn-accessible-from-static-declC*:
assumes $acc-C: G \vdash m\ in\ C\ dyn-accessible-from\ accC$ **and**
 $static: is-static\ m$
shows $G \vdash m\ in\ (declclass\ m)\ dyn-accessible-from\ accC$
 $\langle proof \rangle$

lemma *field-accessible-fromD*:
 $\llbracket G \vdash membr\ of\ C\ accessible-from\ accC; is-field\ membr \rrbracket$
 $\implies G \vdash membr\ member-of\ C \wedge$
 $G \vdash (Class\ C)\ accessible-in\ (pid\ accC) \wedge$
 $G \vdash membr\ in\ C\ permits-acc-from\ accC$
 $\langle proof \rangle$

lemma *field-accessible-from-permits-acc-inheritance*:

$\llbracket G \vdash \text{membr of stat}C \text{ accessible-from } \text{acc}C; \text{ is-field membr}; G \vdash \text{dyn}C \preceq_C \text{stat}C \rrbracket$
 $\implies G \vdash \text{membr in dyn}C \text{ permits-acc-from } \text{acc}C$
 $\langle \text{proof} \rangle$

lemma *accessible-fieldD*:
 $\llbracket G \vdash \text{membr of } C \text{ accessible-from } \text{acc}C; \text{ is-field membr} \rrbracket$
 $\implies G \vdash \text{membr member-of } C \wedge$
 $G \vdash (\text{Class } C) \text{ accessible-in } (\text{pid } \text{acc}C) \wedge$
 $G \vdash \text{membr in } C \text{ permits-acc-from } \text{acc}C$
 $\langle \text{proof} \rangle$

lemma *member-of-Private*:
 $\llbracket G \vdash m \text{ member-of } C; \text{ accmodi } m = \text{Private} \rrbracket \implies \text{declclass } m = C$
 $\langle \text{proof} \rangle$

lemma *member-of-subclseq-declC*:
 $G \vdash m \text{ member-of } C \implies G \vdash C \preceq_C \text{declclass } m$
 $\langle \text{proof} \rangle$

lemma *member-of-inheritance*:
assumes $m: G \vdash m \text{ member-of } D$ **and**
 $\text{subclseq-}D\text{-}C: G \vdash D \preceq_C C$ **and**
 $\text{subclseq-}C\text{-}m: G \vdash C \preceq_C \text{declclass } m$ **and**
 $ws: ws\text{-prog } G$
shows $G \vdash m \text{ member-of } C$
 $\langle \text{proof} \rangle$

lemma *member-of-subcls*:
assumes $\text{old}: G \vdash \text{old member-of } C$ **and**
 $\text{new}: G \vdash \text{new member-of } D$ **and**
 $\text{eqid}: \text{memberid new} = \text{memberid old}$ **and**
 $\text{subclseq-}D\text{-}C: G \vdash D \preceq_C C$ **and**
 $\text{subcls-new-old}: G \vdash \text{declclass new} \prec_C \text{declclass old}$ **and**
 $ws: ws\text{-prog } G$
shows $G \vdash D \prec_C C$
 $\langle \text{proof} \rangle$

corollary *member-of-overrides-subcls*:
 $\llbracket G \vdash \text{Methd sig old member-of } C; G \vdash \text{Methd sig new member-of } D; G \vdash D \preceq_C C; \\ G, \text{sig} \vdash \text{new overrides old}; ws\text{-prog } G \rrbracket$
 $\implies G \vdash D \prec_C C$
 $\langle \text{proof} \rangle$

corollary *member-of-stat-overrides-subcls*:
 $\llbracket G \vdash \text{Methd sig old member-of } C; G \vdash \text{Methd sig new member-of } D; G \vdash D \preceq_C C; \\ G, \text{sig} \vdash \text{new overrides}_S \text{ old}; ws\text{-prog } G \rrbracket$
 $\implies G \vdash D \prec_C C$
 $\langle \text{proof} \rangle$

lemma *inherited-field-access*:

assumes *stat-acc*: $G \vdash \text{membr of } \text{stat}C \text{ accessible-from } \text{acc}C$ **and**
is-field: *is-field* *membr* **and**
subclseq: $G \vdash \text{dyn}C \preceq_C \text{stat}C$
shows $G \vdash \text{membr in } \text{dyn}C \text{ dyn-accessible-from } \text{acc}C$
 ⟨proof⟩

lemma *accessible-inheritance*:

assumes *stat-acc*: $G \vdash m \text{ of } \text{stat}C \text{ accessible-from } \text{acc}C$ **and**
subclseq: $G \vdash \text{dyn}C \preceq_C \text{stat}C$ **and**
member-dynC: $G \vdash m \text{ member-of } \text{dyn}C$ **and**
dynC-acc: $G \vdash (\text{Class } \text{dyn}C) \text{ accessible-in } (\text{pid } \text{acc}C)$
shows $G \vdash m \text{ of } \text{dyn}C \text{ accessible-from } \text{acc}C$
 ⟨proof⟩

fields and methods

types

$f\text{spec} = \text{vname} \times \text{qname}$

translations

$(\text{type}) f\text{spec} \leq (\text{type}) \text{vname} \times \text{qname}$

definition *imethds* :: $\text{prog} \Rightarrow \text{qname} \Rightarrow (\text{sig}, \text{qname} \times \text{mhead}) \text{ tables}$ **where**

imethds G I
 $\equiv \text{iface-rec } G$ I
 $(\lambda I$ i $ts. (Un\text{-}tables$ $ts) \oplus \oplus$
 $(Option.set \circ \text{table-of } (\text{map } (\lambda (s, m). (s, I, m)) (imethds$ $i))))$

methods of an interface, with overriding and inheritance, cf. 9.2

definition *accimethds* :: $\text{prog} \Rightarrow \text{pname} \Rightarrow \text{qname} \Rightarrow (\text{sig}, \text{qname} \times \text{mhead}) \text{ tables}$ **where**

accimethds G $\text{pack } I$
 $\equiv \text{if } G \vdash \text{Iface } I \text{ accessible-in } \text{pack}$
 $\text{then } imethds$ G I
 $\text{else } (\lambda k. \{\})$

only returns imethds if the interface is accessible

definition *methd* :: $\text{prog} \Rightarrow \text{qname} \Rightarrow (\text{sig}, \text{qname} \times \text{methd}) \text{ table}$ **where**

methd G C
 $\equiv \text{class-rec } G$ C *empty*
 $(\lambda C$ c *subcls-mthds*.
 $\text{filter-tab } (\lambda \text{sig } m. G \vdash C \text{ inherits method sig } m)$
 subcls-mthds
 $++$
 $\text{table-of } (\text{map } (\lambda (s, m). (s, C, m)) (\text{methods } c)))$

methd G C : methods of a class C (statically visible from C), with inheritance and hiding cf. 8.4.6; Overriding is captured by *dynmethd*. Every new method with the same signature coalesces the method of a superclass.

definition *accmethd* :: $\text{prog} \Rightarrow \text{qname} \Rightarrow \text{qname} \Rightarrow (\text{sig}, \text{qname} \times \text{methd}) \text{ table}$ **where**

accmethd G S C
 $\equiv \text{filter-tab } (\lambda \text{sig } m. G \vdash \text{method sig } m \text{ of } C \text{ accessible-from } S)$
 $(\text{methd } G$ $C)$

accmethd $G \ S \ C$: only those methods of *methd* $G \ C$, accessible from S

Note the class component in the accessibility filter. The class where method m is declared (*decl* C) isn't necessarily accessible from the current scope S . The method can be made accessible through inheritance, too. So we must test accessibility of method m of class C (not *declclass* m)

definition *dynmethd* $:: prog \Rightarrow qname \Rightarrow qname \Rightarrow (sig, qname \times methd) \text{ table}$ **where**
dynmethd $G \ statC \ dynC$

$\equiv \lambda \ sig.$
 (if $G \vdash dynC \preceq_C \ statC$
 then (case *methd* $G \ statC \ sig$ of
 None \Rightarrow None
 | Some *statM*
 \Rightarrow (class-rec $G \ dynC \ empty$
 ($\lambda C \ c \ subcls\text{-}methds.$
 subcls-methds
 ++
 (filter-tab
 ($\lambda \ dynM. \ G, sig \vdash dynM \text{ overrides } statM \vee dynM = statM$)
 (*methd* $G \ C$))
) *sig*
)
 else None)

dynmethd $G \ statC \ dynC$: dynamic method lookup of a reference with dynamic class *dynC* and static class *statC*

Note some kind of duality between *methd* and *dynmethd* in the *class-rec* arguments. Whereas *methd* filters the subclass methods (to get only the inherited ones), *dynmethd* filters the new methods (to get only those methods which actually override the methods of the static class)

definition *dynimethd* $:: prog \Rightarrow qname \Rightarrow qname \Rightarrow (sig, qname \times methd) \text{ table}$ **where**
dynimethd $G \ I \ dynC$

$\equiv \lambda \ sig.$ if *imethds* $G \ I \ sig \neq \{\}$
 then *methd* $G \ dynC \ sig$
 else *dynmethd* $G \ Object \ dynC \ sig$

dynimethd $G \ I \ dynC$: dynamic method lookup of a reference with dynamic class *dynC* and static interface type I

When calling an interface method, we must distinguish if the method signature was defined in the interface or if it must be an Object method in the other case. If it was an interface method we search the class hierarchy starting at the dynamic class of the object up to Object to find the first matching method (*methd*). Since all interface methods have public access the method can't be coalesced due to some odd visibility effects like in case of *dynmethd*. The method will be inherited or overridden in all classes from the first class implementing the interface down to the actual dynamic class.

definition *dynlookup* $:: prog \Rightarrow ref\text{-}ty \Rightarrow qname \Rightarrow (sig, qname \times methd) \text{ table}$ **where**
dynlookup $G \ statT \ dynC$

\equiv (case *statT* of
 NullT $\Rightarrow empty$
 | IfaceT $I \Rightarrow dynimethd \ G \ I \ dynC$
 | ClassT *statC* $\Rightarrow dynmethd \ G \ statC \ dynC$
 | ArrayT *ty* $\Rightarrow dynmethd \ G \ Object \ dynC$)

dynlookup $G \ statT \ dynC$: dynamic lookup of a method within the static reference type *statT* and the dynamic class *dynC*. In a wellformd context *statT* will not be NullT and in case *statT* is an array type, *dynC*=Object

definition *fields* $:: prog \Rightarrow qname \Rightarrow ((vname \times qname) \times field) \text{ list}$ **where**
fields $G \ C$

$$\equiv \text{class-rec } G \ C \ [] \ (\lambda C \ c \ ts. \ \text{map } (\lambda(n,t). ((n,C),t)) \ (cfields \ c) \ @ \ ts)$$

DeclConcepts.fields $G \ C$ list of fields of a class, including all the fields of the superclasses (private, inherited and hidden ones) not only the accessible ones (an instance of a object allocates all these fields)

definition *accfield* :: $prog \Rightarrow qname \Rightarrow qname \Rightarrow (vname, qname \times field) \text{ table}$ **where**

accfield $G \ S \ C$

$$\equiv \text{let } field\text{-}tab = \text{table-of}((\text{map } (\lambda((n,d),f). (n,(d,f)))) \ (fields \ G \ C))$$

$$\text{in } filter\text{-}tab \ (\lambda n \ (declC, f). \ G \vdash \ (declC, fdecl \ (n, f)) \ \text{of } C \ \text{accessible-from } S)$$

$$field\text{-}tab$$

accfield $G \ C \ S$: fields of a class C which are accessible from scope of class S with inheritance and hiding, cf. 8.3

note the class component in the accessibility filter (see also *methd*). The class declaring field f ($declC$) isn't necessarily accessible from scope S . The field can be made visible through inheritance, too. So we must test accessibility of field f of class C (not *declclass* f)

definition *is-methd* :: $prog \Rightarrow qname \Rightarrow sig \Rightarrow bool$ **where**

is-methd $G \equiv \lambda C \ sig. \ is\text{-}class \ G \ C \wedge \ methd \ G \ C \ sig \neq \text{None}$

definition *efname* :: $((vname \times qname) \times field) \Rightarrow (vname \times qname)$ **where**

efname $\equiv fst$

lemma *efname-simp*[*simp*]: *efname* $(n, f) = n$

$\langle proof \rangle$

19 imethds

lemma *imethds-rec*: $\llbracket iface \ G \ I = \text{Some } i; \ ws\text{-}prog \ G \rrbracket \Longrightarrow$

$$imethds \ G \ I = \text{Un-tables } ((\lambda J. \ imethds \ G \ J) 'set \ (isuperIfs \ i)) \oplus \oplus$$

$$(\text{Option.set} \circ \text{table-of } (\text{map } (\lambda(s, mh). (s, I, mh)) \ (imethods \ i)))$$

$\langle proof \rangle$

lemma *imethds-norec*:

$$\llbracket iface \ G \ md = \text{Some } i; \ ws\text{-}prog \ G; \ \text{table-of } (imethods \ i) \ sig = \text{Some } mh \rrbracket \Longrightarrow$$

$$(md, mh) \in imethds \ G \ md \ sig$$

$\langle proof \rangle$

lemma *imethds-declI*: $\llbracket m \in imethds \ G \ I \ sig; \ ws\text{-}prog \ G; \ is\text{-}iface \ G \ I \rrbracket \Longrightarrow$

$$(\exists i. \ iface \ G \ (decliface \ m) = \text{Some } i \wedge$$

$$\text{table-of } (imethods \ i) \ sig = \text{Some } (methd \ m)) \wedge$$

$$(I, decliface \ m) \in (subint1 \ G) ^* \wedge m \in imethds \ G \ (decliface \ m) \ sig$$

$\langle proof \rangle$

lemma *imethds-cases* [*consumes 3*, *case-names NewMethod InheritedMethod*]:

assumes *im*: $im \in imethds \ G \ I \ sig$ **and**

ifI: $iface \ G \ I = \text{Some } i$ **and**

ws: $ws\text{-}prog \ G$ **and**

hyp-new: $\text{table-of } (\text{map } (\lambda(s, mh). (s, I, mh)) \ (imethods \ i)) \ sig$

$$= \text{Some } im \Longrightarrow P$$
 and

hyp-inh: $\bigwedge J. \llbracket J \in set \ (isuperIfs \ i); \ im \in imethds \ G \ J \ sig \rrbracket \Longrightarrow P$

shows P

$\langle proof \rangle$

20 accimethd

lemma *accimethds-simp* [*simp*]:
 $G \vdash \text{Iface } I \text{ accessible-in pack} \implies \text{accimethds } G \text{ pack } I = \text{imethds } G \text{ } I$
 ⟨proof⟩

lemma *accimethdsD*:
 $im \in \text{accimethds } G \text{ pack } I \text{ sig}$
 $\implies im \in \text{imethds } G \text{ } I \text{ sig} \wedge G \vdash \text{Iface } I \text{ accessible-in pack}$
 ⟨proof⟩

lemma *accimethdsI*:
 $\llbracket im \in \text{imethds } G \text{ } I \text{ sig}; G \vdash \text{Iface } I \text{ accessible-in pack} \rrbracket$
 $\implies im \in \text{accimethds } G \text{ pack } I \text{ sig}$
 ⟨proof⟩

21 methd

lemma *methd-rec*: $\llbracket \text{class } G \text{ } C = \text{Some } c; \text{ws-prog } G \rrbracket \implies$
 $\text{methd } G \text{ } C$
 $= (\text{if } C = \text{Object}$
 then empty
 $\text{else filter-tab } (\lambda \text{sig } m. G \vdash C \text{ inherits method sig } m)$
 $(\text{methd } G \text{ } (\text{super } c)))$
 $++ \text{table-of } (\text{map } (\lambda(s,m). (s, C, m)) (\text{methods } c))$
 ⟨proof⟩

lemma *methd-norec*:
 $\llbracket \text{class } G \text{ declC} = \text{Some } c; \text{ws-prog } G; \text{table-of } (\text{methods } c) \text{ sig} = \text{Some } m \rrbracket$
 $\implies \text{methd } G \text{ declC sig} = \text{Some } (\text{declC}, m)$
 ⟨proof⟩

lemma *methd-declC*:
 $\llbracket \text{methd } G \text{ } C \text{ sig} = \text{Some } m; \text{ws-prog } G; \text{is-class } G \text{ } C \rrbracket \implies$
 $(\exists d. \text{class } G \text{ } (\text{declclass } m) = \text{Some } d \wedge \text{table-of } (\text{methods } d) \text{ sig} = \text{Some } (\text{methd } m)) \wedge$
 $G \vdash C \preceq_C (\text{declclass } m) \wedge \text{methd } G \text{ } (\text{declclass } m) \text{ sig} = \text{Some } m$
 ⟨proof⟩

lemma *methd-inheritedD*:
 $\llbracket \text{class } G \text{ } C = \text{Some } c; \text{ws-prog } G; \text{methd } G \text{ } C \text{ sig} = \text{Some } m \rrbracket$
 $\implies (\text{declclass } m \neq C \longrightarrow G \vdash C \text{ inherits method sig } m)$
 ⟨proof⟩

lemma *methd-diff-cls*:
 $\llbracket \text{ws-prog } G; \text{is-class } G \text{ } C; \text{is-class } G \text{ } D;$
 $\text{methd } G \text{ } C \text{ sig} = m; \text{methd } G \text{ } D \text{ sig} = n; m \neq n$
 $\rrbracket \implies C \neq D$
 ⟨proof⟩

lemma *method-declared-inI*:

$\llbracket \text{table-of (methods } c) \text{ sig} = \text{Some } m; \text{ class } G \text{ } C = \text{Some } c \rrbracket$
 $\implies G \vdash \text{mdecl (sig, } m) \text{ declared-in } C$
 $\langle \text{proof} \rangle$

lemma *methd-declared-in-declclass*:

$\llbracket \text{methd } G \text{ } C \text{ sig} = \text{Some } m; \text{ ws-prog } G; \text{ is-class } G \text{ } C \rrbracket$
 $\implies G \vdash \text{Methd sig } m \text{ declared-in (declclass } m)$
 $\langle \text{proof} \rangle$

lemma *member-methd*:

assumes *member-of*: $G \vdash \text{Methd sig } m \text{ member-of } C$ **and**
 $\text{ws: ws-prog } G$
shows $\text{methd } G \text{ } C \text{ sig} = \text{Some } m$
 $\langle \text{proof} \rangle$

lemma *finite-methd:ws-prog* $G \implies \text{finite } \{ \text{methd } G \text{ } C \text{ sig} \mid \text{sig } C. \text{ is-class } G \text{ } C \}$
 $\langle \text{proof} \rangle$

lemma *finite-dom-methd*:

$\llbracket \text{ws-prog } G; \text{ is-class } G \text{ } C \rrbracket \implies \text{finite (dom (methd } G \text{ } C))$
 $\langle \text{proof} \rangle$

22 accmethd

lemma *accmethd-SomeD*:

$\text{accmethd } G \text{ } S \text{ } C \text{ sig} = \text{Some } m$
 $\implies \text{methd } G \text{ } C \text{ sig} = \text{Some } m \wedge G \vdash \text{method sig } m \text{ of } C \text{ accessible-from } S$
 $\langle \text{proof} \rangle$

lemma *accmethd-SomeI*:

$\llbracket \text{methd } G \text{ } C \text{ sig} = \text{Some } m; G \vdash \text{method sig } m \text{ of } C \text{ accessible-from } S \rrbracket$
 $\implies \text{accmethd } G \text{ } S \text{ } C \text{ sig} = \text{Some } m$
 $\langle \text{proof} \rangle$

lemma *accmethd-declC*:

$\llbracket \text{accmethd } G \text{ } S \text{ } C \text{ sig} = \text{Some } m; \text{ ws-prog } G; \text{ is-class } G \text{ } C \rrbracket \implies$
 $(\exists d. \text{ class } G \text{ (declclass } m) = \text{Some } d \wedge$
 $\text{table-of (methods } d) \text{ sig} = \text{Some (methd } m)) \wedge$
 $G \vdash C \preceq_C (\text{declclass } m) \wedge \text{methd } G \text{ (declclass } m) \text{ sig} = \text{Some } m \wedge$
 $G \vdash \text{method sig } m \text{ of } C \text{ accessible-from } S$
 $\langle \text{proof} \rangle$

lemma *finite-dom-accmethd*:

$\llbracket \text{ws-prog } G; \text{ is-class } G \text{ } C \rrbracket \implies \text{finite (dom (accmethd } G \text{ } S \text{ } C))$
 $\langle \text{proof} \rangle$

23 dynmethd

lemma *dynmethd-rec*:

$\llbracket \text{class } G \text{ dynC} = \text{Some } c; \text{ ws-prog } G \rrbracket \implies$

$$\begin{aligned}
& \text{dynmethd } G \text{ statC sig} \\
&= (\text{if } G \vdash \text{dynC} \preceq_C \text{statC} \\
&\quad \text{then } (\text{case methd } G \text{ statC sig of} \\
&\quad \quad \text{None} \Rightarrow \text{None} \\
&\quad \mid \text{Some statM} \\
&\quad \Rightarrow (\text{case methd } G \text{ dynC sig of} \\
&\quad \quad \text{None} \Rightarrow \text{dynmethd } G \text{ statC (super c) sig} \\
&\quad \mid \text{Some dynM} \Rightarrow \\
&\quad \quad (\text{if } G, \text{sig} \vdash \text{dynM overrides statM} \vee \text{dynM} = \text{statM} \\
&\quad \quad \text{then Some dynM} \\
&\quad \quad \text{else (dynmethd } G \text{ statC (super c) sig)} \\
&\quad \quad)))) \\
&\quad \text{else None}) \\
&(\text{is -} \implies - \implies ?\text{Dynmethd-def dynC sig} = ?\text{Dynmethd-rec dynC c sig}) \\
&\langle \text{proof} \rangle
\end{aligned}$$

lemma *dynmethd-C-C*: $[[is_class\ G\ C; ws_prog\ G]] \Rightarrow dynmethd\ G\ C\ C\ sig = methd\ G\ C\ sig$
 $\langle proof \rangle$

lemma *dynmethdSomeD*:

$$\begin{aligned} & \llbracket \text{dynmethd } G \text{ statC dynC sig} = \text{Some dynM}; \text{is-class } G \text{ dynC}; \text{ws-prog } G \rrbracket \\ & \implies G \vdash \text{dynC} \preceq_C \text{statC} \wedge (\exists \text{ statM}. \text{methd } G \text{ statC sig} = \text{Some statM}) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *dynmethd-Some-cases* [*consumes 3, case-names Static Overrides*]:
assumes $\text{dynM}: \text{dynmethd } G \text{ statC dynC sig} = \text{Some dynM}$ **and**
 $\text{is-clc-dynC}: \text{is-class } G \text{ dynC}$ **and**
 $\text{ws}: \text{ws-prog } G$ **and**
 $\text{hyp-static}: \text{methd } G \text{ statC sig} = \text{Some dynM} \implies P$ **and**
 $\text{hyp-override}: \bigwedge \text{statM}. \llbracket \text{methd } G \text{ statC sig} = \text{Some statM}; \text{dynM} \neq \text{statM} \rrbracket;$
 $G, \text{sig} \vdash \text{dynM overrides statM} \rrbracket \implies P$
shows P
 $\langle \text{proof} \rangle$

lemma *no-override-in-Object*:
assumes $\text{dynM}: \text{dynmethd } G \text{ statC } \text{dynC } \text{sig} = \text{Some } \text{dynM}$ **and**
 $\text{is-clS-dynC}: \text{is-class } G \text{ dynC}$ **and**
 $\text{ws}: \text{ws-prog } G$ **and**
 $\text{statM}: \text{methd } G \text{ statC } \text{sig} = \text{Some } \text{statM}$ **and**
 $\text{neq-dynM-statM}: \text{dynM} \neq \text{statM}$
shows $\text{dynC} \neq \text{Object}$
<proof>

$$\begin{array}{l}
\textbf{lemma } \textit{dynmethd-Some-rec-cases} \text{ [consumes 3,} \\
\qquad \qquad \qquad \textit{case-names Static Override Recursion}]: \\
\textbf{assumes} \qquad \textit{dynM}: \textit{dynmethd } G \textit{ statC dynC sig} = \textit{Some dynM} \textbf{ and} \\
\qquad \textit{clsDynC}: \textit{class } G \textit{ dynC} = \textit{Some c} \textbf{ and} \\
\qquad \textit{ws}: \textit{ws-prog } G \textbf{ and} \\
\qquad \textit{hyp-static}: \textit{methd } G \textit{ statC sig} = \textit{Some dynM} \implies P \textbf{ and} \\
\textit{hyp-override}: \bigwedge \textit{statM}. \llbracket \textit{methd } G \textit{ statC sig} = \textit{Some statM}; \\
\qquad \qquad \qquad \textit{methd } G \textit{ dynC sig} = \textit{Some dynM}; \textit{statM} \neq \textit{dynM}; \\
\qquad \qquad \qquad G, \textit{sig} \vdash \textit{dynM overrides statM} \rrbracket \implies P \textbf{ and}
\end{array}$$

hyp-recursion: $\llbracket \text{dynC} \neq \text{Object}; \text{dynmethd } G \text{ statC } (\text{super } c) \text{ sig} = \text{Some dynM} \rrbracket \implies P$

shows P

$\langle \text{proof} \rangle$

lemma *dynmethd-declC*:

$\llbracket \text{dynmethd } G \text{ statC } \text{dynC sig} = \text{Some } m; \text{is-class } G \text{ statC}; \text{ws-prog } G$

$\rrbracket \implies$

$(\exists d. \text{class } G (\text{declclass } m) = \text{Some } d \wedge \text{table-of } (\text{methods } d) \text{ sig} = \text{Some } (\text{methd } m)) \wedge$

$G \vdash \text{dynC} \preceq_C (\text{declclass } m) \wedge \text{methd } G (\text{declclass } m) \text{ sig} = \text{Some } m$

$\langle \text{proof} \rangle$

lemma *methd-Some-dynmethd-Some*:

assumes $\text{statM}: \text{methd } G \text{ statC sig} = \text{Some statM}$ **and**

$\text{subclseq}: G \vdash \text{dynC} \preceq_C \text{statC}$ **and**

$\text{is-clc-statC}: \text{is-class } G \text{ statC}$ **and**

$\text{ws}: \text{ws-prog } G$

shows $\exists \text{dynM}. \text{dynmethd } G \text{ statC } \text{dynC sig} = \text{Some dynM}$

(**is** $?P \text{ dynC}$)

$\langle \text{proof} \rangle$

lemma *dynmethd-cases* [*consumes 4, case-names Static Overrides*]:

assumes $\text{statM}: \text{methd } G \text{ statC sig} = \text{Some statM}$ **and**

$\text{subclseq}: G \vdash \text{dynC} \preceq_C \text{statC}$ **and**

$\text{is-clc-statC}: \text{is-class } G \text{ statC}$ **and**

$\text{ws}: \text{ws-prog } G$ **and**

$\text{hyp-static}: \text{dynmethd } G \text{ statC } \text{dynC sig} = \text{Some statM} \implies P$ **and**

$\text{hyp-override}: \bigwedge \text{dynM}. \llbracket \text{dynmethd } G \text{ statC } \text{dynC sig} = \text{Some dynM};$

$\text{dynM} \neq \text{statM};$

$G, \text{sig} \vdash \text{dynM overrides statM} \rrbracket \implies P$

shows P

$\langle \text{proof} \rangle$

lemma *ws-dynmethd*:

assumes $\text{statM}: \text{methd } G \text{ statC sig} = \text{Some statM}$ **and**

$\text{subclseq}: G \vdash \text{dynC} \preceq_C \text{statC}$ **and**

$\text{is-clc-statC}: \text{is-class } G \text{ statC}$ **and**

$\text{ws}: \text{ws-prog } G$

shows

$\exists \text{dynM}. \text{dynmethd } G \text{ statC } \text{dynC sig} = \text{Some dynM} \wedge$

$\text{is-static dynM} = \text{is-static statM} \wedge G \vdash \text{resTy dynM} \preceq_{\text{resTy}} \text{statM}$

$\langle \text{proof} \rangle$

24 dynlookup

lemma *dynlookup-cases* [*consumes 1, case-names NullT IfaceT ClassT ArrayT*]:

$\llbracket \text{dynlookup } G \text{ statT } \text{dynC sig} = x;$

$\llbracket \text{statT} = \text{NullT} \quad ; \text{empty sig} = x \rrbracket \implies P;$

$\bigwedge I. \llbracket \text{statT} = \text{IfaceT } I \quad ; \text{dynimethd } G I \quad \text{dynC sig} = x \rrbracket \implies P;$

$\bigwedge \text{statC}. \llbracket \text{statT} = \text{ClassT statC}; \text{dynmethd } G \text{ statC } \text{dynC sig} = x \rrbracket \implies P;$

$\bigwedge \text{ty}. \llbracket \text{statT} = \text{ArrayT ty} \quad ; \text{dynmethd } G \text{ Object } \text{dynC sig} = x \rrbracket \implies P$

$\rrbracket \implies P$

$\langle \text{proof} \rangle$

25 fields

lemma *fields-rec*: $\llbracket \text{class } G \ C = \text{Some } c; \text{ws-prog } G \rrbracket \implies$
 $\text{fields } G \ C = \text{map } (\lambda(fn, ft). ((fn, C), ft)) \ (cfields \ c) \ @$
 $(\text{if } C = \text{Object then } [] \text{ else } \text{fields } G \ (\text{super } c))$
 $\langle \text{proof} \rangle$

lemma *fields-norec*:
 $\llbracket \text{class } G \ fd = \text{Some } c; \text{ws-prog } G; \text{table-of } (cfields \ c) \ fn = \text{Some } f \rrbracket$
 $\implies \text{table-of } (\text{fields } G \ fd) \ (fn, fd) = \text{Some } f$
 $\langle \text{proof} \rangle$

lemma *table-of-fieldsD*:
 $\text{table-of } (\text{map } (\lambda(fn, ft). ((fn, C), ft)) \ (cfields \ c)) \ efn = \text{Some } f$
 $\implies (\text{declclassf } efn) = C \wedge \text{table-of } (cfields \ c) \ (fname \ efn) = \text{Some } f$
 $\langle \text{proof} \rangle$

lemma *fields-declC*:
 $\llbracket \text{table-of } (\text{fields } G \ C) \ efn = \text{Some } f; \text{ws-prog } G; \text{is-class } G \ C \rrbracket \implies$
 $(\exists d. \text{class } G \ (\text{declclassf } efn) = \text{Some } d \wedge$
 $\text{table-of } (cfields \ d) \ (fname \ efn) = \text{Some } f) \wedge$
 $G \vdash C \preceq_C (\text{declclassf } efn) \wedge \text{table-of } (\text{fields } G \ (\text{declclassf } efn)) \ efn = \text{Some } f$
 $\langle \text{proof} \rangle$

lemma *fields-emptyI*: $\bigwedge y. \llbracket \text{ws-prog } G; \text{class } G \ C = \text{Some } c; cfields \ c = [];$
 $C \neq \text{Object} \longrightarrow \text{class } G \ (\text{super } c) = \text{Some } y \wedge \text{fields } G \ (\text{super } c) = [] \rrbracket \implies$
 $\text{fields } G \ C = []$
 $\langle \text{proof} \rangle$

lemma *fields-mono-lemma*:
 $\llbracket x \in \text{set } (\text{fields } G \ C); G \vdash D \preceq_C C; \text{ws-prog } G \rrbracket$
 $\implies x \in \text{set } (\text{fields } G \ D)$
 $\langle \text{proof} \rangle$

lemma *ws-unique-fields-lemma*:
 $\llbracket (efn, fd) \in \text{set } (\text{fields } G \ (\text{super } c)); fc \in \text{set } (cfields \ c); \text{ws-prog } G;$
 $fname \ efn = fname \ fc; \text{declclassf } efn = C;$
 $\text{class } G \ C = \text{Some } c; C \neq \text{Object}; \text{class } G \ (\text{super } c) = \text{Some } d \rrbracket \implies R$
 $\langle \text{proof} \rangle$

lemma *ws-unique-fields*: $\llbracket \text{is-class } G \ C; \text{ws-prog } G;$
 $\bigwedge C \ c. \llbracket \text{class } G \ C = \text{Some } c \rrbracket \implies \text{unique } (cfields \ c) \rrbracket \implies$
 $\text{unique } (\text{fields } G \ C)$
 $\langle \text{proof} \rangle$

26 accfield

lemma *accfield-fields*:

$accfield\ G\ S\ C\ fn = Some\ f$
 $\implies table-of\ (fields\ G\ C)\ (fn, declclass\ f) = Some\ (fld\ f)$
 $\langle proof \rangle$

lemma *accfield-declC-is-class*:
 $\llbracket is-class\ G\ C; accfield\ G\ S\ C\ en = Some\ (fd, f); ws-prog\ G \rrbracket \implies$
 $is-class\ G\ fd$
 $\langle proof \rangle$

lemma *accfield-accessibleD*:
 $accfield\ G\ S\ C\ fn = Some\ f \implies G \vdash Field\ fn\ f\ of\ C\ accessible-from\ S$
 $\langle proof \rangle$

27 is methd

lemma *is-methdI*:
 $\llbracket class\ G\ C = Some\ y; methd\ G\ C\ sig = Some\ b \rrbracket \implies is-methd\ G\ C\ sig$
 $\langle proof \rangle$

lemma *is-methdD*:
 $is-methd\ G\ C\ sig \implies class\ G\ C \neq None \wedge methd\ G\ C\ sig \neq None$
 $\langle proof \rangle$

lemma *finite-is-methd*:
 $ws-prog\ G \implies finite\ (Collect\ (split\ (is-methd\ G)))$
 $\langle proof \rangle$

calculation of the superclasses of a class

definition *superclasses* :: $prog \Rightarrow qtname \Rightarrow qtname\ set$ **where**
 $superclasses\ G\ C \equiv class-rec\ G\ C\ \{\}$
 $(\lambda\ C\ c\ supercls. (if\ C=Object$
 $then\ \{\}$
 $else\ insert\ (super\ c)\ supercls))$

lemma *superclasses-rec*: $\llbracket class\ G\ C = Some\ c; ws-prog\ G \rrbracket \implies$
 $superclasses\ G\ C$
 $= (if\ (C=Object)$
 $then\ \{\}$
 $else\ insert\ (super\ c)\ (superclasses\ G\ (super\ c)))$
 $\langle proof \rangle$

lemma *superclasses-mono*:
assumes *clsrel*: $G \vdash C \prec_C D$
and *ws*: $ws-prog\ G$
and *cls-C*: $class\ G\ C = Some\ c$
and *wf*: $\bigwedge C\ c. \llbracket class\ G\ C = Some\ c; C \neq Object \rrbracket$
 $\implies \exists sc. class\ G\ (super\ c) = Some\ sc$
and *x*: $x \in superclasses\ G\ D$
shows $x \in superclasses\ G\ C$ $\langle proof \rangle$

```

lemma subclsEval:
  assumes clsrel:  $G \vdash C \prec_C D$ 
  and ws: ws-prog  $G$ 
  and cls-C: class  $G$   $C = \text{Some } c$ 
  and wf:  $\bigwedge C \ c. \llbracket \text{class } G \ C = \text{Some } c; \ C \neq \text{Object} \rrbracket$ 
     $\implies \exists \text{sc. } \text{class } G \ (\text{super } c) = \text{Some } \text{sc}$ 
  shows  $D \in \text{superclasses } G \ C$  <proof>

end

```


Chapter 11

WellType

28 Well-typedness of Java programs

theory *WellType*
imports *DeclConcepts*
begin

improvements over Java Specification 1.0:

- methods of Object can be called upon references of interface or array type

simplifications:

- the type rules include all static checks on statements and expressions, e.g. definedness of names (of parameters, locals, fields, methods)

design issues:

- unified type judgment for statements, variables, expressions, expression lists
- statements are typed like expressions with dummy type Void
- the typing rules take an extra argument that is capable of determining the dynamic type of objects. Therefore, they can be used for both checking static types and determining runtime types in transition semantics.

types *lenv*
 $= (lname, ty) \text{ table}$ — local variables, including This and Result

record *env* =
 prg:: prog — program
 cls:: qtname — current package and class name
 lcl:: lenv — local environment

translations

$(type) \text{ lenv} \leq (type) (lname, ty) \text{ table}$
 $(type) \text{ lenv} \leq (type) lname \Rightarrow ty \text{ option}$
 $(type) \text{ env} \leq (type) (\downarrow prg::prog, cls::qtname, lcl::lenv)$
 $(type) \text{ env} \leq (type) (\downarrow prg::prog, cls::qtname, lcl::lenv, \dots : 'a)$

abbreviation

$pkg :: env \Rightarrow pname$ — select the current package from an environment
where $pkg \ e == pid \ (cls \ e)$

Static overloading: maximally specific methods

types

$emhead = ref\text{-}ty \times mhead$

— Some mnemonic selectors for *emhead*

definition $declrefT :: emhead \Rightarrow ref\text{-}ty$ **where**
 $declrefT \equiv fst$

definition $mhd :: emhead \Rightarrow mhead$ **where**
 $mhd \equiv snd$

lemma $declrefT\text{-}simp[simp]: declrefT \ (r, m) = r$
 $\langle proof \rangle$

lemma *mhd-simp*[simp]: *mhd* (*r,m*) = *m*
 ⟨proof⟩

lemma *static-mhd-simp*[simp]: *static* (*mhd m*) = *is-static m*
 ⟨proof⟩

lemma *mhd-resTy-simp* [simp]: *resTy* (*mhd m*) = *resTy m*
 ⟨proof⟩

lemma *mhd-is-static-simp* [simp]: *is-static* (*mhd m*) = *is-static m*
 ⟨proof⟩

lemma *mhd-accmodi-simp* [simp]: *accmodi* (*mhd m*) = *accmodi m*
 ⟨proof⟩

consts

cmheads :: *prog* ⇒ *qtname* ⇒ *qtname* ⇒ *sig* ⇒ *emhead set*
Objectmheads :: *prog* ⇒ *qtname* ⇒ *sig* ⇒ *emhead set*
accObjectmheads:: *prog* ⇒ *qtname* ⇒ *ref-ty* ⇒ *sig* ⇒ *emhead set*
mheads :: *prog* ⇒ *qtname* ⇒ *ref-ty* ⇒ *sig* ⇒ *emhead set*

defs

cmheads-def:
cmheads G S C
 ≡ λ*sig*. (λ(*Cls,mthd*). (*ClassT Cls*,(*mhead mthd*))) ‘ *Option.set* (*accmethd G S C sig*)
Objectmheads-def:
Objectmheads G S
 ≡ λ*sig*. (λ(*Cls,mthd*). (*ClassT Cls*,(*mhead mthd*)))
 ‘ *Option.set* (*filter-tab* (λ*sig m*. *accmodi m* ≠ *Private*) (*accmethd G S Object*) *sig*)
accObjectmheads-def:
accObjectmheads G S T
 ≡ if *G ⊢ RefT T accessible-in* (*pid S*)
 then *Objectmheads G S*
 else (λ*sig*. { })

primrec

mheads G S NullT = (λ*sig*. { })
mheads G S (IfaceT I) = (λ*sig*. (λ(*I,h*).(*IfaceT I,h*))
 ‘ *accimethds G* (*pid S*) *I sig* ∪
accObjectmheads G S (IfaceT I) sig)
mheads G S (ClassT C) = *cmheads G S C*
mheads G S (ArrayT T) = *accObjectmheads G S (ArrayT T)*

constdefs

— applicable methods, cf. 15.11.2.1

appl-methds :: *prog* ⇒ *qtname* ⇒ *ref-ty* ⇒ *sig* ⇒ (*emhead* × *ty list*) *set*
appl-methds G S rt ≡ λ *sig*.
 {(*mh,pTs'*) | *mh pTs'*. *mh* ∈ *mheads G S rt* (|*name=name sig,parTs=pTs'*)} ∧
G ⊢ (parTs sig) [⊆] pTs'}

— more specific methods, cf. 15.11.2.2

more-spec :: *prog* ⇒ *emhead* × *ty list* ⇒ *emhead* × *ty list* ⇒ *bool*
more-spec G ≡ λ(*mh,pTs*). λ(*mh',pTs'*). *G ⊢ pTs [⊆] pTs'*

— maximally specific methods, cf. 15.11.2.2

$max-spec \quad :: prog \Rightarrow qname \Rightarrow ref-ty \Rightarrow sig \Rightarrow (emhead \times ty\ list) \quad set$

$max-spec\ G\ S\ rt\ sig \equiv \{m. m \in appl-methds\ G\ S\ rt\ sig \wedge$
 $(\forall m' \in appl-methds\ G\ S\ rt\ sig. more-spec\ G\ m'\ m \longrightarrow m' = m)\}$

lemma *max-spec2appl-meths*:

$x \in max-spec\ G\ S\ T\ sig \implies x \in appl-methds\ G\ S\ T\ sig$
 $\langle proof \rangle$

lemma *appl-methsD*: $(mh, pTs') \in appl-methds\ G\ S\ T\ (\llbracket name = mn, parTs = pTs \rrbracket) \implies$
 $mh \in mheads\ G\ S\ T\ (\llbracket name = mn, parTs = pTs \rrbracket) \wedge G \vdash pTs[\preceq] pTs'$
 $\langle proof \rangle$

lemma *max-spec2mheads*:

$max-spec\ G\ S\ rt\ (\llbracket name = mn, parTs = pTs \rrbracket) = insert\ (mh, pTs')\ A$
 $\implies mh \in mheads\ G\ S\ rt\ (\llbracket name = mn, parTs = pTs \rrbracket) \wedge G \vdash pTs[\preceq] pTs'$
 $\langle proof \rangle$

definition *empty-dt* :: *dyn-ty* **where**

$empty-dt \equiv \lambda a. None$

definition *invmode* :: $('a::type)member-scheme \Rightarrow expr \Rightarrow inv-mode$ **where**

$invmode\ m\ e \equiv$ if *is-static* *m*
 then *Static*
 else if $e = Super$ then *SuperM* else *IntVir*

lemma *invmode-nonstatic* [simp]:

$invmode\ (\llbracket access = a, static = False, \dots = x \rrbracket)\ (Acc\ (LVar\ e)) = IntVir$
 $\langle proof \rangle$

lemma *invmode-Static-eq* [simp]: $(invmode\ m\ e = Static) = is-static\ m$
 $\langle proof \rangle$

lemma *invmode-IntVir-eq*: $(invmode\ m\ e = IntVir) = (\neg(is-static\ m) \wedge e \neq Super)$
 $\langle proof \rangle$

lemma *Null-staticD*:

$a' = Null \longrightarrow (is-static\ m) \implies invmode\ m\ e = IntVir \longrightarrow a' \neq Null$
 $\langle proof \rangle$

Typing for unary operations

consts *unop-type* :: *unop* \Rightarrow *prim-ty*

primrec

unop-type *UPlus* = *Integer*

unop-type *UMinus* = *Integer*

unop-type *UBitNot* = *Integer*

unop-type UNot = Boolean

consts *wt-unop* :: *unop* \Rightarrow *ty* \Rightarrow bool

primrec

wt-unop UPlus *t* = (*t* = PrimT Integer)

wt-unop UMinus *t* = (*t* = PrimT Integer)

wt-unop UBitNot *t* = (*t* = PrimT Integer)

wt-unop UNot *t* = (*t* = PrimT Boolean)

Typing for binary operations

consts *binop-type* :: *binop* \Rightarrow *prim-ty*

primrec

binop-type Mul = Integer

binop-type Div = Integer

binop-type Mod = Integer

binop-type Plus = Integer

binop-type Minus = Integer

binop-type LShift = Integer

binop-type RShift = Integer

binop-type RShiftU = Integer

binop-type Less = Boolean

binop-type Le = Boolean

binop-type Greater = Boolean

binop-type Ge = Boolean

binop-type Eq = Boolean

binop-type Neq = Boolean

binop-type BitAnd = Integer

binop-type And = Boolean

binop-type BitXor = Integer

binop-type Xor = Boolean

binop-type BitOr = Integer

binop-type Or = Boolean

binop-type CondAnd = Boolean

binop-type CondOr = Boolean

consts *wt-binop* :: *prog* \Rightarrow *binop* \Rightarrow *ty* \Rightarrow *ty* \Rightarrow bool

primrec

wt-binop G Mul *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G Div *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G Mod *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G Plus *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G Minus *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G LShift *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G RShift *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G RShiftU *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G Less *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G Le *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G Greater *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G Ge *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G Eq *t1 t2* = ($G \vdash t1 \preceq t2 \vee G \vdash t2 \preceq t1$)

wt-binop G Neq *t1 t2* = ($G \vdash t1 \preceq t2 \vee G \vdash t2 \preceq t1$)

wt-binop G BitAnd *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G And *t1 t2* = ((*t1* = PrimT Boolean) \wedge (*t2* = PrimT Boolean))

wt-binop G BitXor *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G Xor *t1 t2* = ((*t1* = PrimT Boolean) \wedge (*t2* = PrimT Boolean))

wt-binop G BitOr *t1 t2* = ((*t1* = PrimT Integer) \wedge (*t2* = PrimT Integer))

wt-binop G Or *t1 t2* = ((*t1* = PrimT Boolean) \wedge (*t2* = PrimT Boolean))

wt-binop G CondAnd *t1 t2* = ((*t1* = PrimT Boolean) \wedge (*t2* = PrimT Boolean))

$wt\text{-}binop\ G\ CondOr\ t1\ t2 = ((t1 = PrimT\ Boolean) \wedge (t2 = PrimT\ Boolean))$

Typing for terms

types $tys = ty + ty\ list$

translations

$(type)\ tys \leq (type)\ ty + ty\ list$

inductive

$wt :: env \Rightarrow dyn\text{-}ty \Rightarrow [term, tys] \Rightarrow bool\ (-, \models :: - [51, 51, 51, 51] 50)$
and $wt\text{-}stmt :: env \Rightarrow dyn\text{-}ty \Rightarrow stmt \Rightarrow bool\ (-, \models :: \surd [51, 51, 51] 50)$
and $ty\text{-}expr :: env \Rightarrow dyn\text{-}ty \Rightarrow [expr, ty] \Rightarrow bool\ (-, \models :: - [51, 51, 51, 51] 50)$
and $ty\text{-}var :: env \Rightarrow dyn\text{-}ty \Rightarrow [var, ty] \Rightarrow bool\ (-, \models :: - [51, 51, 51, 51] 50)$
and $ty\text{-}exprs :: env \Rightarrow dyn\text{-}ty \Rightarrow [expr\ list, ty\ list] \Rightarrow bool\ (-, \models :: \div [51, 51, 51, 51] 50)$

where

$E, dt \models s :: \surd \equiv E, dt \models In1r\ s :: Inl\ (PrimT\ Void)$
 $| E, dt \models e :: - T \equiv E, dt \models In1l\ e :: Inl\ T$
 $| E, dt \models e :: T \equiv E, dt \models In2\ e :: Inl\ T$
 $| E, dt \models e :: \div T \equiv E, dt \models In3\ e :: Inr\ T$

— well-typed statements

$| Skip: E, dt \models Skip :: \surd$

$| Expr: \llbracket E, dt \models e :: - T \rrbracket \Longrightarrow E, dt \models Expr\ e :: \surd$

— cf. 14.6

$| Lab: E, dt \models c :: \surd \Longrightarrow E, dt \models l \bullet c :: \surd$

$| Comp: \llbracket E, dt \models c1 :: \surd; E, dt \models c2 :: \surd \rrbracket \Longrightarrow E, dt \models c1 ;; c2 :: \surd$

— cf. 14.8

$| If: \llbracket E, dt \models e :: - PrimT\ Boolean; E, dt \models c1 :: \surd; E, dt \models c2 :: \surd \rrbracket \Longrightarrow E, dt \models If(e)\ c1\ Else\ c2 :: \surd$

— cf. 14.10

$| Loop: \llbracket E, dt \models e :: - PrimT\ Boolean; E, dt \models c :: \surd \rrbracket \Longrightarrow E, dt \models l \bullet While(e)\ c :: \surd$

— cf. 14.13, 14.15, 14.16

$| Jmp: E, dt \models Jmp\ jump :: \surd$

— cf. 14.16

$| Throw: \llbracket E, dt \models e :: - Class\ tn; prg\ E \vdash tn \preceq_C\ SXcpt\ Throwable \rrbracket \Longrightarrow E, dt \models Throw\ e :: \surd$

— cf. 14.18

$| Try: \llbracket E, dt \models c1 :: \surd; prg\ E \vdash tn \preceq_C\ SXcpt\ Throwable; lcl\ E\ (VName\ vn) = None; E\ (lcl := lcl\ E\ (VName\ vn \mapsto Class\ tn)) \rrbracket, dt \models c2 :: \surd \Longrightarrow E, dt \models Try\ c1\ Catch(tn\ vn)\ c2 :: \surd$

— cf. 14.18

$$\begin{array}{l} | \text{Fin: } \llbracket E, dt \models c1 :: \sqrt{}; E, dt \models c2 :: \sqrt{} \rrbracket \implies \\ \quad E, dt \models c1 \text{ Finally } c2 :: \sqrt{} \end{array}$$

$$\begin{array}{l} | \text{Init: } \llbracket \text{is-class } (\text{prg } E) \ C \rrbracket \implies \\ \quad E, dt \models \text{Init } C :: \sqrt{} \end{array}$$

— *Init* is created on the fly during evaluation (see Eval.thy). The class isn't necessarily accessible from the points *Init* is called. Therefor we only demand *is-class* and not *is-acc-class* here.

— well-typed expressions

— cf. 15.8

$$\begin{array}{l} | \text{NewC: } \llbracket \text{is-acc-class } (\text{prg } E) \ (\text{pkg } E) \ C \rrbracket \implies \\ \quad E, dt \models \text{NewC } C :: \text{--Class } C \end{array}$$

— cf. 15.9

$$\begin{array}{l} | \text{NewA: } \llbracket \text{is-acc-type } (\text{prg } E) \ (\text{pkg } E) \ T; \\ \quad E, dt \models i :: \text{--PrimT Integer} \rrbracket \implies \\ \quad E, dt \models \text{New } T[i] :: \text{--T.}[] \end{array}$$

— cf. 15.15

$$\begin{array}{l} | \text{Cast: } \llbracket E, dt \models e :: \text{--T}; \text{is-acc-type } (\text{prg } E) \ (\text{pkg } E) \ T'; \\ \quad \text{prg } E \vdash T \preceq? T' \rrbracket \implies \\ \quad E, dt \models \text{Cast } T' \ e :: \text{--T}' \end{array}$$

— cf. 15.19.2

$$\begin{array}{l} | \text{Inst: } \llbracket E, dt \models e :: \text{--RefT } T; \text{is-acc-type } (\text{prg } E) \ (\text{pkg } E) \ (\text{RefT } T'); \\ \quad \text{prg } E \vdash \text{RefT } T \preceq? \text{RefT } T' \rrbracket \implies \\ \quad E, dt \models e \text{ InstOf } T' :: \text{--PrimT Boolean} \end{array}$$

— cf. 15.7.1

$$\begin{array}{l} | \text{Lit: } \llbracket \text{typeof } dt \ x = \text{Some } T \rrbracket \implies \\ \quad E, dt \models \text{Lit } x :: \text{--T} \end{array}$$

$$\begin{array}{l} | \text{UnOp: } \llbracket E, dt \models e :: \text{--T}e; \text{wt-unop } \text{unop } T; T = \text{PrimT } (\text{unop-type } \text{unop}) \rrbracket \\ \implies \\ \quad E, dt \models \text{UnOp } \text{unop } e :: \text{--T} \end{array}$$

$$\begin{array}{l} | \text{BinOp: } \llbracket E, dt \models e1 :: \text{--T}1; E, dt \models e2 :: \text{--T}2; \text{wt-binop } (\text{prg } E) \ \text{binop } T1 \ T2; \\ \quad T = \text{PrimT } (\text{binop-type } \text{binop}) \rrbracket \\ \implies \\ \quad E, dt \models \text{BinOp } \text{binop } e1 \ e2 :: \text{--T} \end{array}$$

— cf. 15.10.2, 15.11.1

$$\begin{array}{l} | \text{Super: } \llbracket \text{lcl } E \ \text{This} = \text{Some } (\text{Class } C); C \neq \text{Object}; \\ \quad \text{class } (\text{prg } E) \ C = \text{Some } c \rrbracket \implies \\ \quad E, dt \models \text{Super} :: \text{--Class } (\text{super } c) \end{array}$$

— cf. 15.13.1, 15.10.1, 15.12

$$\begin{array}{l} | \text{Acc: } \llbracket E, dt \models va :: \text{--T} \rrbracket \implies \\ \quad E, dt \models \text{Acc } va :: \text{--T} \end{array}$$

— cf. 15.25, 15.25.1

$$\begin{array}{l} | \text{Ass: } \llbracket E, dt \models va :: \text{--T}; va \neq \text{LVar } \text{This}; \\ \quad E, dt \models v :: \text{--T}'; \\ \quad \text{prg } E \vdash T' \preceq T \rrbracket \implies \\ \quad E, dt \models va := v :: \text{--T}' \end{array}$$

— cf. 15.24

| *Cond*: $\llbracket E, dt \models e0 :: - \text{PrimT Boolean};$
 $E, dt \models e1 :: - T1; E, dt \models e2 :: - T2;$
 $\text{prg } E \vdash T1 \preceq T2 \wedge T = T2 \vee \text{prg } E \vdash T2 \preceq T1 \wedge T = T1 \rrbracket \implies$
 $E, dt \models e0 \text{ ? } e1 : e2 :: - T$

— cf. 15.11.1, 15.11.2, 15.11.3

| *Call*: $\llbracket E, dt \models e :: - \text{RefT statT};$
 $E, dt \models ps :: \dot{=} pTs;$
 $\text{max-spec } (\text{prg } E) (\text{cls } E) \text{ statT } (\text{name} = mn, \text{parTs} = pTs) \rrbracket$
 $= \{((\text{statDeclT}, m), pTs')\}$
 $\rrbracket \implies$
 $E, dt \models \{ \text{cls } E, \text{statT}, \text{invmode } m \ e \} e \cdot mn(\{pTs'\}ps) :: - (\text{resTy } m)$

| *Methd*: $\llbracket \text{is-class } (\text{prg } E) \ C;$
 $\text{methd } (\text{prg } E) \ C \ \text{sig} = \text{Some } m;$
 $E, dt \models \text{Body } (\text{declclass } m) (\text{stmt } (\text{mbody } (\text{methd } m))) :: - T \rrbracket \implies$
 $E, dt \models \text{Methd } C \ \text{sig} :: - T$

— The class C is the dynamic class of the method call (cf. Eval.thy). It hasn't got to be directly accessible from the current package $\text{pkg } E$. Only the static class must be accessible (ensured indirectly by *Call*). Note that l is just a dummy value. It is only used in the smallstep semantics. To proof typesafety directly for the smallstep semantics we would have to assume conformance of l here!

| *Body*: $\llbracket \text{is-class } (\text{prg } E) \ D;$
 $E, dt \models \text{blk} :: \checkmark;$
 $(\text{lcl } E) \ \text{Result} = \text{Some } T;$
 $\text{is-type } (\text{prg } E) \ T \rrbracket \implies$
 $E, dt \models \text{Body } D \ \text{blk} :: - T$

— The class D implementing the method must not directly be accessible from the current package $\text{pkg } E$, but can also be indirectly accessible due to inheritance (ensured in *Call*) The result type hasn't got to be accessible in Java! (If it is not accessible you can only assign it to Object). For dummy value l see rule *Methd*.

— well-typed variables

— cf. 15.13.1
| *LVar*: $\llbracket \text{lcl } E \ \text{vn} = \text{Some } T; \text{is-acc-type } (\text{prg } E) (\text{pkg } E) \ T \rrbracket \implies$
 $E, dt \models \text{LVar } \text{vn} :: = T$

— cf. 15.10.1
| *FVar*: $\llbracket E, dt \models e :: - \text{Class } C;$
 $\text{accfield } (\text{prg } E) (\text{cls } E) \ C \ \text{fn} = \text{Some } (\text{statDeclC}, f) \rrbracket \implies$
 $E, dt \models \{ \text{cls } E, \text{statDeclC}, \text{is-static } f \} e \cdot \text{fn} :: = (\text{type } f)$

— cf. 15.12
| *AVar*: $\llbracket E, dt \models e :: - T.[];$
 $E, dt \models i :: - \text{PrimT Integer} \rrbracket \implies$
 $E, dt \models e.[i] :: = T$

— well-typed expression lists

— cf. 15.11.???

| *Nil*: $E, dt \models [] :: \dot{=} []$

— cf. 15.11.???

| *Cons*: $\llbracket E, dt \models e :: - T;$
 $E, dt \models es :: \dot{=} Ts \rrbracket \implies$
 $E, dt \models e \# es :: \dot{=} T \# Ts$

abbreviation

$wt_syntax :: env \Rightarrow [term, tys] \Rightarrow bool \ (-|-::- [51,51,51] 50)$
where $E|-t::T == E, empty_dt \models t::T$

abbreviation

$wt_stmt_syntax :: env \Rightarrow stmt \Rightarrow bool \ (-|-::<> [51,51] 50)$
where $E|-s:<> == E|-In1r s :: Inl (PrimT Void)$

abbreviation

$ty_expr_syntax :: env \Rightarrow [expr, ty] \Rightarrow bool \ (-|-::-- [51,51,51] 50)$
where $E|-e:-T == E|-In1l e :: Inl T$

abbreviation

$ty_var_syntax :: env \Rightarrow [var, ty] \Rightarrow bool \ (-|-::=- [51,51,51] 50)$
where $E|-e:=T == E|-In2 e :: Inl T$

abbreviation

$ty_exprs_syntax :: env \Rightarrow [expr\ list, ty\ list] \Rightarrow bool \ (-|-::\#- [51,51,51] 50)$
where $E|-e:\#T == E|-In3 e :: Inr T$

notation (*xsymbols*)

$wt_syntax \ (-|-::- [51,51,51] 50)$ **and**
 $wt_stmt_syntax \ (-|-::\surd [51,51] 50)$ **and**
 $ty_expr_syntax \ (-|-::-- [51,51,51] 50)$ **and**
 $ty_var_syntax \ (-|-::=- [51,51,51] 50)$ **and**
 $ty_exprs_syntax \ (-|-::\#- [51,51,51] 50)$

declare *not-None-eq* [*simp del*]

declare *split-if* [*split del*] *split-if-asm* [*split del*]

declare *split-paired-All* [*simp del*] *split-paired-Ex* [*simp del*]

$\langle ML \rangle$

inductive-cases *wt-elim-cases* [*cases set*]:

$E, dt \models In2 \ (LVar\ vn) \quad :: T$
 $E, dt \models In2 \ (\{accC, statDeclC, s\}e..fn) :: T$
 $E, dt \models In2 \ (e.[i]) \quad :: T$
 $E, dt \models In1l \ (NewC\ C) \quad :: T$
 $E, dt \models In1l \ (New\ T'[i]) \quad :: T$
 $E, dt \models In1l \ (Cast\ T'\ e) \quad :: T$
 $E, dt \models In1l \ (e\ InstOf\ T') \quad :: T$
 $E, dt \models In1l \ (Lit\ x) \quad :: T$
 $E, dt \models In1l \ (UnOp\ unop\ e) \quad :: T$
 $E, dt \models In1l \ (BinOp\ binop\ e1\ e2) \quad :: T$
 $E, dt \models In1l \ (Super) \quad :: T$
 $E, dt \models In1l \ (Acc\ va) \quad :: T$
 $E, dt \models In1l \ (Ass\ va\ v) \quad :: T$
 $E, dt \models In1l \ (e0\ ?\ e1\ : e2) \quad :: T$
 $E, dt \models In1l \ (\{accC, statT, mode\}e.mn(\{pT'\}p)) :: T$
 $E, dt \models In1l \ (Methd\ C\ sig) \quad :: T$
 $E, dt \models In1l \ (Body\ D\ blk) \quad :: T$
 $E, dt \models In3 \ (\[]) \quad :: Ts$
 $E, dt \models In3 \ (e\#es) \quad :: Ts$
 $E, dt \models In1r \ Skip \quad :: x$
 $E, dt \models In1r \ (Expr\ e) \quad :: x$
 $E, dt \models In1r \ (c1;; c2) \quad :: x$
 $E, dt \models In1r \ (l\cdot c) \quad :: x$
 $E, dt \models In1r \ (If(e)\ c1\ Else\ c2) \quad :: x$
 $E, dt \models In1r \ (l\cdot While(e)\ c) \quad :: x$
 $E, dt \models In1r \ (Jmp\ jump) \quad :: x$

$$\begin{aligned}
& E, dt \models \text{In1r } (\text{Throw } e) \quad ::x \\
& E, dt \models \text{In1r } (\text{Try } c1 \text{ Catch}(tn \text{ } vn) \text{ } c2) ::x \\
& E, dt \models \text{In1r } (c1 \text{ Finally } c2) \quad ::x \\
& E, dt \models \text{In1r } (\text{Init } C) \quad ::x \\
& \text{declare not-None-eq [simp]} \\
& \text{declare split-if [split] split-if-asm [split]} \\
& \text{declare split-paired-All [simp] split-paired-Ex [simp]} \\
& \langle ML \rangle
\end{aligned}$$

lemma *is-acc-class-is-accessible*:
 $\text{is-acc-class } G \ P \ C \implies G \vdash (\text{Class } C) \text{ accessible-in } P$
 $\langle \text{proof} \rangle$

lemma *is-acc-iface-is-iface*: $\text{is-acc-iface } G \ P \ I \implies \text{is-iface } G \ I$
 $\langle \text{proof} \rangle$

lemma *is-acc-iface-Iface-is-accessible*:
 $\text{is-acc-iface } G \ P \ I \implies G \vdash (\text{Iface } I) \text{ accessible-in } P$
 $\langle \text{proof} \rangle$

lemma *is-acc-type-is-type*: $\text{is-acc-type } G \ P \ T \implies \text{is-type } G \ T$
 $\langle \text{proof} \rangle$

lemma *is-acc-iface-is-accessible*:
 $\text{is-acc-type } G \ P \ T \implies G \vdash T \text{ accessible-in } P$
 $\langle \text{proof} \rangle$

lemma *wt-Methd-is-methd*:
 $E \vdash \text{In1l } (\text{Methd } C \text{ sig}) :: T \implies \text{is-methd } (\text{prg } E) \ C \ \text{sig}$
 $\langle \text{proof} \rangle$

Special versions of some typing rules, better suited to pattern match the conclusion (no selectors in the conclusion)

lemma *wt-Call*:

$$\begin{aligned}
& \llbracket E, dt \models e :: - \text{RefT } \text{statT}; E, dt \models ps :: - pTs; \\
& \quad \text{max-spec } (\text{prg } E) \ (\text{cls } E) \ \text{statT} \ (\llbracket \text{name} = mn, \text{parTs} = pTs \rrbracket) \\
& \quad = \{((\text{statDeclC}, m), pTs')\}; rT = (\text{resTy } m); \text{accC} = \text{cls } E; \\
& \quad \text{mode} = \text{invmode } m \ e \rrbracket \implies E, dt \models \{\text{accC}, \text{statT}, \text{mode}\} e \cdot mn(\{pTs'\}ps) :: - rT
\end{aligned}$$
 $\langle \text{proof} \rangle$

lemma *invocationTypeExpr-noClassD*:

$$\begin{aligned}
& \llbracket E \vdash e :: - \text{RefT } \text{statT} \rrbracket \\
& \implies (\forall \text{ statC}. \text{statT} \neq \text{ClassT } \text{statC}) \longrightarrow \text{invmode } m \ e \neq \text{SuperM}
\end{aligned}$$
 $\langle \text{proof} \rangle$

lemma *wt-Super*:

$$\begin{aligned}
& \llbracket \text{lcl } E \text{ This} = \text{Some } (\text{Class } C); C \neq \text{Object}; \text{class } (\text{prg } E) \ C = \text{Some } c; D = \text{super } c \rrbracket \\
& \implies E, dt \models \text{Super} :: - \text{Class } D
\end{aligned}$$
 $\langle \text{proof} \rangle$

lemma *wt-FVar*:

$\llbracket E, dt \models e :: - \text{Class } C; \text{accfield } (\text{prg } E) (\text{cls } E) C \text{ fn} = \text{Some } (\text{statDeclC}, f);$
 $\text{sf} = \text{is-static } f; fT = (\text{type } f); \text{accC} = \text{cls } E \rrbracket$
 $\implies E, dt \models \{ \text{accC}, \text{statDeclC}, \text{sf} \} e.. \text{fn} :: fT$
 $\langle \text{proof} \rangle$

lemma *wt-init* [iff]: $E, dt \models \text{Init } C :: \surd = \text{is-class } (\text{prg } E) C$
 $\langle \text{proof} \rangle$

declare *wt.Skip* [iff]

lemma *wt-StatRef*:

$\text{is-acc-type } (\text{prg } E) (\text{pkg } E) (\text{RefT } rt) \implies E \vdash \text{StatRef } rt :: - \text{RefT } rt$
 $\langle \text{proof} \rangle$

lemma *wt-Inj-elim*:

$\bigwedge E. E, dt \models t :: U \implies \text{case } t \text{ of}$
 $\quad \text{In1 } ec \Rightarrow (\text{case } ec \text{ of}$
 $\quad \quad \text{Inl } e \Rightarrow \exists T. U = \text{Inl } T$
 $\quad \quad | \text{Inr } s \Rightarrow U = \text{Inl } (\text{PrimT } \text{Void}))$
 $\quad | \text{In2 } e \Rightarrow (\exists T. U = \text{Inl } T)$
 $\quad | \text{In3 } e \Rightarrow (\exists T. U = \text{Inr } T)$
 $\langle \text{proof} \rangle$

lemma *wt-expr-eq*: $E, dt \models \text{In1l } t :: U = (\exists T. U = \text{Inl } T \wedge E, dt \models t :: - T)$
 $\langle \text{proof} \rangle$

lemma *wt-var-eq*: $E, dt \models \text{In2 } t :: U = (\exists T. U = \text{Inl } T \wedge E, dt \models t :: T)$
 $\langle \text{proof} \rangle$

lemma *wt-exprs-eq*: $E, dt \models \text{In3 } t :: U = (\exists Ts. U = \text{Inr } Ts \wedge E, dt \models t :: Ts)$
 $\langle \text{proof} \rangle$

lemma *wt-stmt-eq*: $E, dt \models \text{In1r } t :: U = (U = \text{Inl } (\text{PrimT } \text{Void}) \wedge E, dt \models t :: \surd)$
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

lemma *wt-elim-BinOp*:

$\llbracket E, dt \models \text{In1l } (\text{BinOp } \text{binop } e1 e2) :: T;$
 $\bigwedge T1 T2 T3.$
 $\llbracket E, dt \models e1 :: - T1; E, dt \models e2 :: - T2; \text{wt-binop } (\text{prg } E) \text{binop } T1 T2;$
 $E, dt \models (\text{if } b \text{ then } \text{In1l } e2 \text{ else } \text{In1r } \text{Skip}) :: T3;$
 $T = \text{Inl } (\text{PrimT } (\text{binop-type } \text{binop})) \rrbracket$
 $\implies P \rrbracket$
 $\implies P$
 $\langle \text{proof} \rangle$

lemma *Inj-eq-lemma* [*simp*]:

$(\forall T. (\exists T'. T = \text{Inj } T' \wedge P \ T') \longrightarrow Q \ T) = (\forall T'. P \ T' \longrightarrow Q \ (\text{Inj } T'))$
 $\langle \text{proof} \rangle$

lemma *single-valued-tys-lemma* [*rule-format* (*no-asm*)]:

$\forall S \ T. G \vdash S \preceq T \longrightarrow G \vdash T \preceq S \longrightarrow S = T \implies E, dt \models t :: T \implies$
 $G = \text{prg } E \longrightarrow (\forall T'. E, dt \models t :: T' \longrightarrow T = T')$
 $\langle \text{proof} \rangle$

lemma *single-valued-tys*:

$\text{ws-prog } (\text{prg } E) \implies \text{single-valued } \{(t, T). E, dt \models t :: T\}$
 $\langle \text{proof} \rangle$

lemma *typeof-empty-is-type* [*rule-format* (*no-asm*)]:

$\text{typeof } (\lambda a. \text{None}) \ v = \text{Some } T \longrightarrow \text{is-type } G \ T$
 $\langle \text{proof} \rangle$

lemma *typeof-is-type* [*rule-format* (*no-asm*)]:

$(\forall a. v \neq \text{Addr } a) \longrightarrow (\exists T. \text{typeof } dt \ v = \text{Some } T \wedge \text{is-type } G \ T)$
 $\langle \text{proof} \rangle$

end

Chapter 12

DefiniteAssignment

29 Definite Assignment

theory *DefiniteAssignment* **imports** *WellType* **begin**

Definite Assignment Analysis (cf. 16)

The definite assignment analysis approximates the sets of local variables that will be assigned at a certain point of evaluation, and ensures that we will only read variables which previously were assigned. It should conform to the following idea: If the evaluation of a term completes normally (no abrupton (exception, break, continue, return) appeared) , the set of local variables calculated by the analysis is a subset of the variables that were actually assigned during evaluation.

To get more precise information about the sets of assigned variables the analysis includes the following optimisations:

- Inside of a while loop we also take care of the variables assigned before break statements, since the break causes the while loop to continue normally.
- For conditional statements we take care of constant conditions to statically determine the path of evaluation.
- Inside a distinct path of a conditional statements we know to which boolean value the condition has evaluated to, and so can retrieve more information about the variables assigned during evaluation of the boolean condition.

Since in our model of Java the return values of methods are stored in a local variable we also ensure that every path of (normal) evaluation will assign the result variable, or in the sense of real Java every path ends up in and return instruction.

Not covered yet:

- analysis of definite unassigned
- special treatment of final fields

Correct nesting of jump statements

For definite assignment it becomes crucial, that jumps (break, continue, return) are nested correctly i.e. a continue jump is nested in a matching while statement, a break jump is nested in a proper label statement, a class initialiser does not terminate abruptly with a return. With this we can for example ensure that evaluation of an expression will never end up with a jump, since no breaks, continues or returns are allowed in an expression.

consts *jumpNestingOkS* :: *jump set* \Rightarrow *stmt* \Rightarrow *bool*

primrec

jumpNestingOkS jmps (*Skip*) = *True*

jumpNestingOkS jmps (*Expr e*) = *True*

jumpNestingOkS jmps (*j* • *s*) = *jumpNestingOkS* (*{j}* \cup *jmps*) *s*

jumpNestingOkS jmps (*c1*;;*c2*) = (*jumpNestingOkS jmps* *c1* \wedge
jumpNestingOkS jmps *c2*)

jumpNestingOkS jmps (*If*(*e*) *c1* *Else* *c2*) = (*jumpNestingOkS jmps* *c1* \wedge
jumpNestingOkS jmps *c2*)

jumpNestingOkS jmps (*l* • *While*(*e*) *c*) = *jumpNestingOkS* (*{Cont l}* \cup *jmps*) *c*

— The label of the while loop only handles continue jumps. Breaks are only handled by *Lab*

jumpNestingOkS jmps (*Jmp j*) = (*j* \in *jmps*)

jumpNestingOkS jmps (*Throw e*) = *True*

jumpNestingOkS jmps (*Try* *c1* *Catch*(*C vn*) *c2*) = (*jumpNestingOkS jmps* *c1* \wedge
jumpNestingOkS jmps *c2*)

jumpNestingOkS jmps (*c1* *Finally* *c2*) = (*jumpNestingOkS jmps* *c1* \wedge

$jumpNestingOkS\ jmps\ c2)$

$jumpNestingOkS\ jmps\ (Init\ C) = True$
 — wellformedness of the program must ensure that for all initializers $jumpNestingOkS$ holds
 — Dummy analysis for intermediate smallstep term $FinA$
 $jumpNestingOkS\ jmps\ (FinA\ a\ c) = False$

definition $jumpNestingOk :: jump\ set \Rightarrow term \Rightarrow bool$ **where**
 $jumpNestingOk\ jmps\ t \equiv (case\ t\ of$
 $In1\ se \Rightarrow (case\ se\ of$
 $Inl\ e \Rightarrow True$
 $| Inr\ s \Rightarrow jumpNestingOkS\ jmps\ s)$
 $| In2\ v \Rightarrow True$
 $| In3\ es \Rightarrow True)$

lemma $jumpNestingOk\ expr\ simp\ [simp]: jumpNestingOk\ jmps\ (In1l\ e) = True$
 $\langle proof \rangle$

lemma $jumpNestingOk\ expr\ simp1\ [simp]: jumpNestingOk\ jmps\ \langle e :: expr \rangle = True$
 $\langle proof \rangle$

lemma $jumpNestingOk\ stmt\ simp\ [simp]:$
 $jumpNestingOk\ jmps\ (In1r\ s) = jumpNestingOkS\ jmps\ s$
 $\langle proof \rangle$

lemma $jumpNestingOk\ stmt\ simp1\ [simp]:$
 $jumpNestingOk\ jmps\ \langle s :: stmt \rangle = jumpNestingOkS\ jmps\ s$
 $\langle proof \rangle$

lemma $jumpNestingOk\ var\ simp\ [simp]: jumpNestingOk\ jmps\ (In2\ v) = True$
 $\langle proof \rangle$

lemma $jumpNestingOk\ var\ simp1\ [simp]: jumpNestingOk\ jmps\ \langle v :: var \rangle = True$
 $\langle proof \rangle$

lemma $jumpNestingOk\ expr\ list\ simp\ [simp]: jumpNestingOk\ jmps\ (In3\ es) = True$
 $\langle proof \rangle$

lemma $jumpNestingOk\ expr\ list\ simp1\ [simp]:$
 $jumpNestingOk\ jmps\ \langle es :: expr\ list \rangle = True$
 $\langle proof \rangle$

Calculation of assigned variables for boolean expressions

30 Very restricted calculation fallback calculation

consts $theLVarName :: var \Rightarrow lname$
primrec
 $theLVarName\ (LVar\ n) = n$

consts $assignsE :: expr \Rightarrow lname\ set$

$assignsV :: var \Rightarrow lname\ set$
 $assignsEs :: expr\ list \Rightarrow lname\ set$

primrec

$assignsE\ (NewC\ c) = \{\}$
 $assignsE\ (NewA\ t\ e) = assignsE\ e$
 $assignsE\ (Cast\ t\ e) = assignsE\ e$
 $assignsE\ (e\ InstOf\ r) = assignsE\ e$
 $assignsE\ (Lit\ val) = \{\}$
 $assignsE\ (UnOp\ unop\ e) = assignsE\ e$
 $assignsE\ (BinOp\ binop\ e1\ e2) = (if\ binop=CondAnd\ \vee\ binop=CondOr$
 $\quad then\ (assignsE\ e1)$
 $\quad else\ (assignsE\ e1) \cup (assignsE\ e2))$
 $assignsE\ (Super) = \{\}$
 $assignsE\ (Acc\ v) = assignsV\ v$
 $assignsE\ (v:=e)$
 $\quad = (assignsV\ v) \cup (assignsE\ e) \cup$
 $\quad (if\ \exists\ n.\ v=(LVar\ n)\ then\ \{the-LVar-name\ v\}$
 $\quad \quad else\ \{\})$

$assignsE\ (b? e1 : e2) = (assignsE\ b) \cup ((assignsE\ e1) \cap (assignsE\ e2))$
 $assignsE\ (\{accC, statT, mode\}objRef.mn(\{pTs\}args))$
 $\quad = (assignsE\ objRef) \cup (assignsEs\ args)$

— Only dummy analysis for intermediate expressions *Method*, *Body*, *InsInitE* and *Callee*

$assignsE\ (Method\ C\ sig) = \{\}$
 $assignsE\ (Body\ C\ s) = \{\}$
 $assignsE\ (InsInitE\ s\ e) = \{\}$
 $assignsE\ (Callee\ l\ e) = \{\}$

$assignsV\ (LVar\ n) = \{\}$
 $assignsV\ (\{accC, statDeclC, stat\}objRef..fn) = assignsE\ objRef$
 $assignsV\ (e1.[e2]) = assignsE\ e1 \cup assignsE\ e2$

$assignsEs\ [] = \{\}$
 $assignsEs\ (e\#es) = assignsE\ e \cup assignsEs\ es$

definition $assigns :: term \Rightarrow lname\ set$ **where**

$assigns\ t \equiv (case\ t\ of$
 $\quad In1\ se \Rightarrow (case\ se\ of$
 $\quad \quad Inl\ e \Rightarrow assignsE\ e$
 $\quad \quad | Inr\ s \Rightarrow \{\})$
 $\quad | In2\ v \Rightarrow assignsV\ v$
 $\quad | In3\ es \Rightarrow assignsEs\ es)$

lemma *assigns-expr-simp* [simp]: $assigns\ (In1l\ e) = assignsE\ e$
 $\langle proof \rangle$

lemma *assigns-expr-simp1* [simp]: $assigns\ (\langle e \rangle) = assignsE\ e$
 $\langle proof \rangle$

lemma *assigns-stmt-simp* [simp]: $assigns\ (In1r\ s) = \{\}$
 $\langle proof \rangle$

lemma *assigns-stmt-simp1* [simp]: $assigns\ (\langle s::stmt \rangle) = \{\}$
 $\langle proof \rangle$

lemma *assigns-var-simp* [simp]: *assigns (In2 v) = assignsV v*
 ⟨proof⟩

lemma *assigns-var-simp1* [simp]: *assigns (⟨v⟩) = assignsV v*
 ⟨proof⟩

lemma *assigns-expr-list-simp* [simp]: *assigns (In3 es) = assignsEs es*
 ⟨proof⟩

lemma *assigns-expr-list-simp1* [simp]: *assigns (⟨es⟩) = assignsEs es*
 ⟨proof⟩

31 Analysis of constant expressions

consts *constVal* :: *expr* \Rightarrow *val option*

primrec

```

constVal (NewC c)      = None
constVal (NewA t e)    = None
constVal (Cast t e)    = None
constVal (Inst e r)    = None
constVal (Lit val)     = Some val
constVal (UnOp unop e) = (case (constVal e) of
  None  $\Rightarrow$  None
  | Some v  $\Rightarrow$  Some (eval-unop unop v))
constVal (BinOp binop e1 e2) = (case (constVal e1) of
  None  $\Rightarrow$  None
  | Some v1  $\Rightarrow$  (case (constVal e2) of
    None  $\Rightarrow$  None
    | Some v2  $\Rightarrow$  Some (eval-binop binop v1 v2)))
constVal (Super)       = None
constVal (Acc v)       = None
constVal (Ass v e)     = None
constVal (Cond b e1 e2) = (case (constVal b) of
  None  $\Rightarrow$  None
  | Some bv  $\Rightarrow$  (case the-Bool bv of
    True  $\Rightarrow$  (case (constVal e2) of
      None  $\Rightarrow$  None
      | Some v  $\Rightarrow$  constVal e1)
    | False  $\Rightarrow$  (case (constVal e1) of
      None  $\Rightarrow$  None
      | Some v  $\Rightarrow$  constVal e2)))

```

— Note that *constVal (Cond b e1 e2)* is stricter as it could be. It requires that all tree expressions are constant even if we can decide which branch to choose, provided the constant value of *b*

```

constVal (Call accC statT mode objRef mn pTs args) = None
constVal (Methd C sig) = None
constVal (Body C s) = None
constVal (InsInitE s e) = None
constVal (Callee l e) = None

```

lemma *constVal-Some-induct* [consumes 1, case-names *Lit UnOp BinOp CondL CondR*]:

assumes *const*: *constVal e = Some v* **and**

hyp-Lit: $\bigwedge v. P (Lit v)$ **and**

hyp-UnOp: $\bigwedge unop e'. P e' \Longrightarrow P (UnOp unop e')$ **and**

hyp-BinOp: $\bigwedge binop e1 e2. \llbracket P e1; P e2 \rrbracket \Longrightarrow P (BinOp binop e1 e2)$ **and**

$$\text{hyp-CondL: } \bigwedge b \text{ bv } e1 \ e2. \llbracket \text{constVal } b = \text{Some } bv; \text{the-Bool } bv; P \ b; P \ e1 \rrbracket$$

$$\implies P \ (b? \ e1 : e2) \text{ and}$$

$$\text{hyp-CondR: } \bigwedge b \text{ bv } e1 \ e2. \llbracket \text{constVal } b = \text{Some } bv; \neg \text{the-Bool } bv; P \ b; P \ e2 \rrbracket$$

$$\implies P \ (b? \ e1 : e2)$$

shows $P \ e$
 ⟨proof⟩

lemma *assignsE-const-simp*: $\text{constVal } e = \text{Some } v \implies \text{assignsE } e = \{\}$
 ⟨proof⟩

32 Main analysis for boolean expressions

Assigned local variables after evaluating the expression if it evaluates to a specific boolean value. If the expression cannot evaluate to a *Boolean* value UNIV is returned. If we expect true/false the opposite constant false/true will also lead to UNIV.

consts *assigns-if*:: $\text{bool} \Rightarrow \text{expr} \Rightarrow \text{lname set}$

primrec

$$\begin{aligned} \text{assigns-if } b \ (\text{NewC } c) &= \text{UNIV} \text{ — can never evaluate to Boolean} \\ \text{assigns-if } b \ (\text{NewA } t \ e) &= \text{UNIV} \text{ — can never evaluate to Boolean} \\ \text{assigns-if } b \ (\text{Cast } t \ e) &= \text{assigns-if } b \ e \\ \text{assigns-if } b \ (\text{Inst } e \ r) &= \text{assignsE } e \text{ — Inst has type Boolean but } e \text{ is a reference type} \\ \text{assigns-if } b \ (\text{Lit } val) &= (\text{if } val = \text{Bool } b \text{ then } \{\} \text{ else UNIV}) \\ \text{assigns-if } b \ (\text{UnOp } unop \ e) &= (\text{case constVal } (\text{UnOp } unop \ e) \text{ of} \\ &\quad \text{None} \Rightarrow (\text{if } unop = \text{UNot} \\ &\quad \quad \text{then assigns-if } (\neg b) \ e \\ &\quad \quad \text{else UNIV}) \\ &\quad | \text{Some } v \Rightarrow (\text{if } v = \text{Bool } b \\ &\quad \quad \text{then } \{\} \\ &\quad \quad \text{else UNIV})) \end{aligned}$$

$$\begin{aligned} \text{assigns-if } b \ (\text{BinOp } binop \ e1 \ e2) &= (\text{case constVal } (\text{BinOp } binop \ e1 \ e2) \text{ of} \\ &\quad \text{None} \Rightarrow (\text{if } binop = \text{CondAnd} \text{ then} \\ &\quad \quad (\text{case } b \text{ of} \\ &\quad \quad \quad \text{True} \Rightarrow \text{assigns-if True } e1 \cup \text{assigns-if True } e2 \\ &\quad \quad \quad | \text{False} \Rightarrow \text{assigns-if False } e1 \cap \\ &\quad \quad \quad \quad (\text{assigns-if True } e1 \cup \text{assigns-if False } e2)) \\ &\quad \text{else} \\ &\quad (\text{if } binop = \text{CondOr} \text{ then} \\ &\quad \quad (\text{case } b \text{ of} \\ &\quad \quad \quad \text{True} \Rightarrow \text{assigns-if True } e1 \cap \\ &\quad \quad \quad \quad (\text{assigns-if False } e1 \cup \text{assigns-if True } e2) \\ &\quad \quad \quad | \text{False} \Rightarrow \text{assigns-if False } e1 \cup \text{assigns-if False } e2) \\ &\quad \quad \text{else assignsE } e1 \cup \text{assignsE } e2)) \\ &\quad | \text{Some } v \Rightarrow (\text{if } v = \text{Bool } b \text{ then } \{\} \text{ else UNIV})) \end{aligned}$$

$$\begin{aligned} \text{assigns-if } b \ (\text{Super}) &= \text{UNIV} \text{ — can never evaluate to Boolean} \\ \text{assigns-if } b \ (\text{Acc } v) &= (\text{assignsV } v) \\ \text{assigns-if } b \ (v := e) &= (\text{assignsE } (\text{Ass } v \ e)) \\ \text{assigns-if } b \ (c? \ e1 : e2) &= (\text{assignsE } c) \cup \\ &\quad (\text{case } (\text{constVal } c) \text{ of} \\ &\quad \quad \text{None} \Rightarrow (\text{assigns-if } b \ e1) \cap \\ &\quad \quad \quad (\text{assigns-if } b \ e2) \\ &\quad \quad | \text{Some } bv \Rightarrow (\text{case the-Bool } bv \text{ of} \\ &\quad \quad \quad \text{True} \Rightarrow \text{assigns-if } b \ e1 \\ &\quad \quad \quad | \text{False} \Rightarrow \text{assigns-if } b \ e2)) \end{aligned}$$

$$\begin{aligned} \text{assigns-if } b \ (\{\text{accC}, \text{statT}, \text{mode}\} \text{objRef} \cdot \text{mn}(\{pTs\} \text{args})) &= \text{assignsE } (\{\text{accC}, \text{statT}, \text{mode}\} \text{objRef} \cdot \text{mn}(\{pTs\} \text{args})) \end{aligned}$$

— Only dummy analysis for intermediate expressions *Method*, *Body*, *InsInitE* and *Callee*

assigns-if *b* (*Method* *C* *sig*) = {}
assigns-if *b* (*Body* *C* *s*) = {}
assigns-if *b* (*InsInitE* *s* *e*) = {}
assigns-if *b* (*Callee* *l* *e*) = {}

lemma *assigns-if-const-b-simp*:

assumes *boolConst*: *constVal* *e* = *Some* (*Bool* *b*) (**is** ?*Const* *b* *e*)
shows *assigns-if* *b* *e* = {} (**is** ?*Ass* *b* *e*)
 ⟨*proof*⟩

lemma *assigns-if-const-not-b-simp*:

assumes *boolConst*: *constVal* *e* = *Some* (*Bool* *b*) (**is** ?*Const* *b* *e*)
shows *assigns-if* (\neg *b*) *e* = *UNIV* (**is** ?*Ass* *b* *e*)
 ⟨*proof*⟩

33 Lifting set operations to range of tables (map to a set)

definition *union-ts* :: (*'a*,*'b*) *tables* \Rightarrow (*'a*,*'b*) *tables* \Rightarrow (*'a*,*'b*) *tables* ($- \Rightarrow \cup$ - [67,67] 65) **where**
A $\Rightarrow \cup$ *B* $\equiv \lambda k. A\ k \cup B\ k$

definition *intersect-ts* :: (*'a*,*'b*) *tables* \Rightarrow (*'a*,*'b*) *tables* \Rightarrow (*'a*,*'b*) *tables* ($- \Rightarrow \cap$ - [72,72] 71) **where**
A $\Rightarrow \cap$ *B* $\equiv \lambda k. A\ k \cap B\ k$

definition *all-union-ts* :: (*'a*,*'b*) *tables* \Rightarrow *'b* *set* \Rightarrow (*'a*,*'b*) *tables* (**infixl** $\Rightarrow \cup_{\forall}$ 40) **where**
A $\Rightarrow \cup_{\forall}$ *B* $\equiv \lambda k. A\ k \cup B$

Binary union of tables

lemma *union-ts-iff* [*simp*]: $(c \in (A \Rightarrow \cup B)\ k) = (c \in A\ k \vee c \in B\ k)$
 ⟨*proof*⟩

lemma *union-tsI1* [*elim?*]: $c \in A\ k \Longrightarrow c \in (A \Rightarrow \cup B)\ k$
 ⟨*proof*⟩

lemma *union-tsI2* [*elim?*]: $c \in B\ k \Longrightarrow c \in (A \Rightarrow \cup B)\ k$
 ⟨*proof*⟩

lemma *union-tsCI* [*intro!*]: $(c \notin B\ k \Longrightarrow c \in A\ k) \Longrightarrow c \in (A \Rightarrow \cup B)\ k$
 ⟨*proof*⟩

lemma *union-tsE* [*elim!*]:
 $\llbracket c \in (A \Rightarrow \cup B)\ k; (c \in A\ k \Longrightarrow P); (c \in B\ k \Longrightarrow P) \rrbracket \Longrightarrow P$
 ⟨*proof*⟩

Binary intersection of tables

lemma *intersect-ts-iff* [*simp*]: $c \in (A \Rightarrow \cap B)\ k = (c \in A\ k \wedge c \in B\ k)$
 ⟨*proof*⟩

lemma *intersect-tsI* [*intro!*]: $\llbracket c \in A\ k; c \in B\ k \rrbracket \Longrightarrow c \in (A \Rightarrow \cap B)\ k$
 ⟨*proof*⟩

lemma *intersect-tsD1*: $c \in (A \Rightarrow \cap B) \ k \Longrightarrow c \in A \ k$
 $\langle proof \rangle$

lemma *intersect-tsD2*: $c \in (A \Rightarrow \cap B) \ k \Longrightarrow c \in B \ k$
 $\langle proof \rangle$

lemma *intersect-tsE* [*elim!*]:
 $\llbracket c \in (A \Rightarrow \cap B) \ k; \llbracket c \in A \ k; c \in B \ k \rrbracket \Longrightarrow P \rrbracket \Longrightarrow P$
 $\langle proof \rangle$

All-Union of tables and set

lemma *all-union-ts-iff* [*simp*]: $(c \in (A \Rightarrow \cup_{\forall} B) \ k) = (c \in A \ k \vee c \in B)$
 $\langle proof \rangle$

lemma *all-union-tsI1* [*elim?*]: $c \in A \ k \Longrightarrow c \in (A \Rightarrow \cup_{\forall} B) \ k$
 $\langle proof \rangle$

lemma *all-union-tsI2* [*elim?*]: $c \in B \Longrightarrow c \in (A \Rightarrow \cup_{\forall} B) \ k$
 $\langle proof \rangle$

lemma *all-union-tsCI* [*intro!*]: $(c \notin B \Longrightarrow c \in A \ k) \Longrightarrow c \in (A \Rightarrow \cup_{\forall} B) \ k$
 $\langle proof \rangle$

lemma *all-union-tsE* [*elim!*]:
 $\llbracket c \in (A \Rightarrow \cup_{\forall} B) \ k; (c \in A \ k \Longrightarrow P); (c \in B \Longrightarrow P) \rrbracket \Longrightarrow P$
 $\langle proof \rangle$

The rules of definite assignment

types *breakass* = (*label*, *lname*) *tables*

— Mapping from a break label, to the set of variables that will be assigned if the evaluation terminates with this break

record *assigned* =
nrm :: *lname set* — Definetly assigned variables for normal completion
brk :: *breakass* — Definetly assigned variables for abrupt completion with a break

definition *rmlab* :: '*a* \Rightarrow ('*a*, '*b*) *tables* \Rightarrow ('*a*, '*b*) *tables* **where**
rmlab *k A* $\equiv \lambda x. \text{if } x=k \text{ then } UNIV \text{ else } A \ x$

definition *range-inter-ts* :: ('*a*, '*b*) *tables* \Rightarrow '*b set* ($\Rightarrow \bigcap$ - 80) **where**
 $\Rightarrow \bigcap A \equiv \{x \mid x. \forall k. x \in A \ k\}$

In $E \vdash B \gg t \gg A$, B denotes the "assigned" variables before evaluating term t , whereas A denotes the "assigned" variables after evaluating term t . The environment E is only needed for the conditional - ? - : -. The definite assignment rules refer to the typing rules here to distinguish boolean and other expressions.

inductive

$da :: env \Rightarrow lname\ set \Rightarrow term \Rightarrow assigned \Rightarrow bool \ (-+ - \gg -) \in [65,65,65,65] \ 71)$

where

$Skip: Env \vdash B \gg \langle Skip \rangle \gg (\text{norm}=B, brk=\lambda l. UNIV)$

| $Expr: Env \vdash B \gg \langle e \rangle \gg A$
 \implies
 $Env \vdash B \gg \langle Expr\ e \rangle \gg A$

| $Lab: \llbracket Env \vdash B \gg \langle c \rangle \gg C; \text{norm}\ A = \text{norm}\ C \cap (brk\ C)\ l; brk\ A = rmlab\ l\ (brk\ C) \rrbracket$
 \implies
 $Env \vdash B \gg \langle Break\ l.\ c \rangle \gg A$

| $Comp: \llbracket Env \vdash B \gg \langle c1 \rangle \gg C1; Env \vdash \text{norm}\ C1 \gg \langle c2 \rangle \gg C2; \text{norm}\ A = \text{norm}\ C2; brk\ A = (brk\ C1) \Rightarrow \cap (brk\ C2) \rrbracket$
 \implies
 $Env \vdash B \gg \langle c1;; c2 \rangle \gg A$

| $If: \llbracket Env \vdash B \gg \langle e \rangle \gg E; Env \vdash (B \cup assigns\text{-}if\ True\ e) \gg \langle c1 \rangle \gg C1; Env \vdash (B \cup assigns\text{-}if\ False\ e) \gg \langle c2 \rangle \gg C2; \text{norm}\ A = \text{norm}\ C1 \cap \text{norm}\ C2; brk\ A = brk\ C1 \Rightarrow \cap brk\ C2 \rrbracket$
 \implies
 $Env \vdash B \gg \langle If\ (e)\ c1\ Else\ c2 \rangle \gg A$

— Note that E is not further used, because we take the specialized sets that also consider if the expression evaluates to true or false. Inside of e there is no **break** or **finally**, so the break map of E will be the trivial one. So $Env \vdash B \gg \langle e \rangle \gg E$ is just used to ensure the definite assignment in expression e . Notice the implicit analysis of a constant boolean expression e in this rule. For example, if e is constantly *True* then *assigns-if False e* = *UNIV* and therefor $\text{norm}\ C2 = UNIV$. So finally $\text{norm}\ A = \text{norm}\ C1$. For the break maps this trick workd too, because the trival break map will map all labels to *UNIV*. In the example, if no break occurs in $c2$ the break maps will trivially map to *UNIV* and if a break occurs it will map to *UNIV* too, because *assigns-if False e* = *UNIV*. So in the intersection of the break maps the path $c2$ will have no contribution.

| $Loop: \llbracket Env \vdash B \gg \langle e \rangle \gg E; Env \vdash (B \cup assigns\text{-}if\ True\ e) \gg \langle c \rangle \gg C; \text{norm}\ A = \text{norm}\ C \cap (B \cup assigns\text{-}if\ False\ e); brk\ A = brk\ C \rrbracket$
 \implies
 $Env \vdash B \gg \langle l.\ While(e)\ c \rangle \gg A$

— The *Loop* rule resembles some of the ideas of the *If* rule. For the $\text{norm}\ A$ the set $B \cup assigns\text{-}if\ False\ e$ will be *UNIV* if the condition is constantly true. To normally exit the while loop, we must consider the body c to be completed normally ($\text{norm}\ C$) or with a break. But in this model, the label l of the loop only handles continue labels, not break labels. The break label will be handled by an enclosing *Lab* statement. So we don't have to handle the breaks specially.

| $Jmp: \llbracket \text{jump}=Ret \longrightarrow Result \in B; \text{norm}\ A = UNIV; brk\ A = (case\ jump\ of\ Break\ l \Rightarrow \lambda k. if\ k=l\ then\ B\ else\ UNIV\ | Cont\ l \Rightarrow \lambda k. UNIV\ | Ret \Rightarrow \lambda k. UNIV) \rrbracket$
 \implies
 $Env \vdash B \gg \langle Jmp\ jump \rangle \gg A$

— In case of a break to label l the corresponding break set is all variables assigned before the break. The assigned variables for normal completion of the *Jmp* is *UNIV*, because the statement will never complete normally. For continue and return the break map is the trivial one. In case of a return we enshure that the result value is assigned.

| $Throw: \llbracket Env \vdash B \gg \langle e \rangle \gg E; \text{norm}\ A = UNIV; brk\ A = (\lambda l. UNIV) \rrbracket$

$$\implies Env \vdash B \gg \langle Throw\ e \rangle \gg A$$

$$\begin{aligned} | \text{Try: } & \llbracket Env \vdash B \gg \langle c1 \rangle \gg C1; \\ & Env(\llbracket lcl := lcl\ Env(VName\ vn \mapsto Class\ C) \rrbracket) \vdash (B \cup \{VName\ vn\}) \gg \langle c2 \rangle \gg C2; \\ & nrm\ A = nrm\ C1 \cap nrm\ C2; \\ & brk\ A = brk\ C1 \Rightarrow \cap\ brk\ C2 \rrbracket \\ \implies & Env \vdash B \gg \langle Try\ c1\ Catch(C\ vn)\ c2 \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{Fin: } & \llbracket Env \vdash B \gg \langle c1 \rangle \gg C1; \\ & Env \vdash B \gg \langle c2 \rangle \gg C2; \\ & nrm\ A = nrm\ C1 \cup nrm\ C2; \\ & brk\ A = ((brk\ C1) \Rightarrow \cup_{\vee} (nrm\ C2)) \Rightarrow \cap (brk\ C2) \rrbracket \\ \implies & \\ & Env \vdash B \gg \langle c1\ Finally\ c2 \rangle \gg A \end{aligned}$$

— The set of assigned variables before execution $c2$ are the same as before execution $c1$, because $c1$ could throw an exception and so we can't guarantee that any variable will be assigned in $c1$. The *Finally* statement completes normally if both $c1$ and $c2$ complete normally. If $c1$ completes abruptly with a break, then $c2$ also will be executed and may terminate normally or with a break. The overall break map then is the intersection of the maps of both paths. If $c2$ terminates normally we have to extend all break sets in $brk\ C1$ with $nrm\ C2$ ($\Rightarrow \cup_{\vee}$). If $c2$ exits with a break this break will appear in the overall result state. We don't know if $c1$ completed normally or abruptly (maybe with an exception not only a break) so $c1$ has no contribution to the break map following this path.

— Evaluation of expressions and the break sets of definite assignment: Thinking of a Java expression we assume that we can never have a break statement inside of an expression. So for all expressions the break sets could be set to the trivial one: $\lambda l. UNIV$. But we can't trivially proof, that evaluating an expression will never result in a break, although Java expressions already syntactically don't allow nested statements in them. The reason are the nested class initialization statements which are inserted by the evaluation rules. So to proof the absence of a break we need to ensure, that the initialization statements will never end up in a break. In a wellformed initialization statement, of course, where breaks are nested correctly inside of *Lab* or *Loop* statements evaluation of the whole initialization statement will never result in a break, because this break will be handled inside of the statement. But for simplicity we haven't added the analysis of the correct nesting of breaks in the typing judgments right now. So we have decided to adjust the rules of definite assignment to fit to these circumstances. If an initialization is involved during evaluation of the expression (evaluation rules *FVar*, *NewC* and *NewA*

$$| \text{Init: } Env \vdash B \gg \langle Init\ C \rangle \gg (\llbracket nrm=B, brk=\lambda l. UNIV \rrbracket)$$

— Wellformedness of a program will ensure, that every static initialiser is definitely assigned and the jumps are nested correctly. The case here for *Init* is just for convenience, to get a proper precondition for the induction hypothesis in various proofs, so that we don't have to expand the initialisation on every point where it is triggered by the evaluation rules.

$$| \text{NewC: } Env \vdash B \gg \langle NewC\ C \rangle \gg (\llbracket nrm=B, brk=\lambda l. UNIV \rrbracket)$$

$$\begin{aligned} | \text{NewA: } & Env \vdash B \gg \langle e \rangle \gg A \\ \implies & \\ & Env \vdash B \gg \langle New\ T[e] \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{Cast: } & Env \vdash B \gg \langle e \rangle \gg A \\ \implies & \\ & Env \vdash B \gg \langle Cast\ T\ e \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{Inst: } & Env \vdash B \gg \langle e \rangle \gg A \\ \implies & \\ & Env \vdash B \gg \langle e\ InstOf\ T \rangle \gg A \end{aligned}$$

$$| \text{Lit: } Env \vdash B \gg \langle Lit\ v \rangle \gg (\llbracket nrm=B, brk=\lambda l. UNIV \rrbracket)$$

$$\begin{aligned} | \text{UnOp: } & Env \vdash B \gg \langle e \rangle \gg A \\ \implies & \end{aligned}$$

$$Env \vdash B \gg \langle UnOp \ unop \ e \rangle \gg A$$

$$\begin{aligned} | \text{CondAnd: } & \llbracket Env \vdash B \gg \langle e1 \rangle \gg E1; Env \vdash (B \cup \text{assigns-if True } e1) \gg \langle e2 \rangle \gg E2; \\ & \text{nrm } A = B \cup (\text{assigns-if True } (BinOp \text{ CondAnd } e1 \ e2) \cap \\ & \quad \text{assigns-if False } (BinOp \text{ CondAnd } e1 \ e2)); \\ & \text{brk } A = (\lambda l. UNIV) \rrbracket \\ \implies & \\ & Env \vdash B \gg \langle BinOp \text{ CondAnd } e1 \ e2 \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{CondOr: } & \llbracket Env \vdash B \gg \langle e1 \rangle \gg E1; Env \vdash (B \cup \text{assigns-if False } e1) \gg \langle e2 \rangle \gg E2; \\ & \text{nrm } A = B \cup (\text{assigns-if True } (BinOp \text{ CondOr } e1 \ e2) \cap \\ & \quad \text{assigns-if False } (BinOp \text{ CondOr } e1 \ e2)); \\ & \text{brk } A = (\lambda l. UNIV) \rrbracket \\ \implies & \\ & Env \vdash B \gg \langle BinOp \text{ CondOr } e1 \ e2 \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{BinOp: } & \llbracket Env \vdash B \gg \langle e1 \rangle \gg E1; Env \vdash \text{nrm } E1 \gg \langle e2 \rangle \gg A; \\ & \text{binop} \neq \text{CondAnd}; \text{binop} \neq \text{CondOr} \rrbracket \\ \implies & \\ & Env \vdash B \gg \langle BinOp \text{ binop } e1 \ e2 \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{Super: } & \text{This} \in B \\ \implies & \\ & Env \vdash B \gg \langle Super \rangle \gg (\llbracket \text{nrm} = B, \text{brk} = \lambda l. UNIV \rrbracket) \end{aligned}$$

$$\begin{aligned} | \text{AccLVar: } & \llbracket vn \in B; \\ & \text{nrm } A = B; \text{brk } A = (\lambda k. UNIV) \rrbracket \\ \implies & \\ & Env \vdash B \gg \langle Acc \ (LVar \ vn) \rangle \gg A \end{aligned}$$

— To properly access a local variable we have to test the definite assignment here. The variable must occur in the set B

$$\begin{aligned} | \text{Acc: } & \llbracket \forall \ vn. \ v \neq LVar \ vn; \\ & Env \vdash B \gg \langle v \rangle \gg A \rrbracket \\ \implies & \\ & Env \vdash B \gg \langle Acc \ v \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{AssLVar: } & \llbracket Env \vdash B \gg \langle e \rangle \gg E; \text{nrm } A = \text{nrm } E \cup \{vn\}; \text{brk } A = \text{brk } E \rrbracket \\ \implies & \\ & Env \vdash B \gg \langle (LVar \ vn) := e \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{Ass: } & \llbracket \forall \ vn. \ v \neq LVar \ vn; Env \vdash B \gg \langle v \rangle \gg V; Env \vdash \text{nrm } V \gg \langle e \rangle \gg A \rrbracket \\ \implies & \\ & Env \vdash B \gg \langle v := e \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{CondBool: } & \llbracket Env \vdash (c \ ? \ e1 : e2) :: \neg (PrimT \ Boolean); \\ & Env \vdash B \gg \langle c \rangle \gg C; \\ & Env \vdash (B \cup \text{assigns-if True } c) \gg \langle e1 \rangle \gg E1; \\ & Env \vdash (B \cup \text{assigns-if False } c) \gg \langle e2 \rangle \gg E2; \\ & \text{nrm } A = B \cup (\text{assigns-if True } (c \ ? \ e1 : e2) \cap \\ & \quad \text{assigns-if False } (c \ ? \ e1 : e2)); \\ & \text{brk } A = (\lambda l. UNIV) \rrbracket \\ \implies & \\ & Env \vdash B \gg \langle c \ ? \ e1 : e2 \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{Cond: } & \llbracket \neg Env \vdash (c \ ? \ e1 : e2) :: \neg (PrimT \ Boolean); \\ & Env \vdash B \gg \langle c \rangle \gg C; \\ & Env \vdash (B \cup \text{assigns-if True } c) \gg \langle e1 \rangle \gg E1; \\ & Env \vdash (B \cup \text{assigns-if False } c) \gg \langle e2 \rangle \gg E2; \end{aligned}$$

$$\begin{aligned} nrm\ A &= nrm\ E1 \cap nrm\ E2; \text{brk}\ A = (\lambda\ l.\ UNIV) \\ \implies \\ Env \vdash B &\gg \langle c\ ?\ e1 : e2 \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{Call}: & \llbracket Env \vdash B \gg \langle e \rangle \gg E; Env \vdash nrm\ E \gg \langle args \rangle \gg A \rrbracket \\ \implies \\ Env \vdash B &\gg \langle \{accC, statT, mode\} e \cdot mn(\{pTs\} args) \rangle \gg A \end{aligned}$$

— The interplay of *Call*, *Method* and *Body*: Why rules for *Method* and *Body* at all? Note that a Java source program will not include bare *Method* or *Body* terms. These terms are just introduced during evaluation. So definite assignment of *Call* does not consider *Method* or *Body* at all. So for definite assignment alone we could omit the rules for *Method* and *Body*. But since evaluation of the method invocation is split up into three rules we must ensure that we have enough information about the call even in the *Body* term to make sure that we can proof type safety. Also we must be able transport this information from *Call* to *Method* and then further to *Body* during evaluation to establish the definite assignment of *Method* during evaluation of *Call*, and of *Body* during evaluation of *Method*. This is necessary since definite assignment will be a precondition for each induction hypothesis coming out of the evaluation rules, and therefor we have to establish the definite assignment of the sub-evaluation during the type-safety proof. Note that well-typedness is also a precondition for type-safety and so we can omit some assertion that are already ensured by well-typedness.

$$\begin{aligned} | \text{Method}: & \llbracket method\ (prg\ Env)\ D\ sig = Some\ m; \\ & Env \vdash B \gg \langle Body\ (declclass\ m)\ (stmt\ (mbody\ (mthd\ m))) \rangle \gg A \\ & \rrbracket \\ \implies \\ Env \vdash B &\gg \langle Method\ D\ sig \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{Body}: & \llbracket Env \vdash B \gg \langle c \rangle \gg C; jumpNestingOkS\ \{Ret\}\ c; Result \in nrm\ C; \\ & nrm\ A = B; \text{brk}\ A = (\lambda\ l.\ UNIV) \rrbracket \\ \implies \\ Env \vdash B &\gg \langle Body\ D\ c \rangle \gg A \end{aligned}$$

— Note that A is not correlated to C . If the body statement returns abruptly with return, evaluation of *Body* will absorb this return and complete normally. So we cannot trivially get the assigned variables of the body statement since it has not completed normally or with a break. If the body completes normally we guarantee that the result variable is set with this rule. But if the body completes abruptly with a return we can't guarantee that the result variable is set here, since definite assignment only talks about normal completion and breaks. So for a return the *Jump* rule ensures that the result variable is set and then this information must be carried over to the *Body* rule by the conformance predicate of the state.

$$| \text{LVar}: Env \vdash B \gg \langle LVar\ vn \rangle \gg (nrm=B, \text{brk}=\lambda\ l.\ UNIV) |$$

$$\begin{aligned} | \text{FVar}: & Env \vdash B \gg \langle e \rangle \gg A \\ \implies \\ Env \vdash B &\gg \langle \{accC, statDeclC, stat\} e \cdot fn \rangle \gg A \end{aligned}$$

$$\begin{aligned} | \text{AVar}: & \llbracket Env \vdash B \gg \langle e1 \rangle \gg E1; Env \vdash nrm\ E1 \gg \langle e2 \rangle \gg A \rrbracket \\ \implies \\ Env \vdash B &\gg \langle e1.[e2] \rangle \gg A \end{aligned}$$

$$| \text{Nil}: Env \vdash B \gg \langle []::expr\ list \rangle \gg (nrm=B, \text{brk}=\lambda\ l.\ UNIV) |$$

$$\begin{aligned} | \text{Cons}: & \llbracket Env \vdash B \gg \langle e::expr \rangle \gg E; Env \vdash nrm\ E \gg \langle es \rangle \gg A \rrbracket \\ \implies \\ Env \vdash B &\gg \langle e\#es \rangle \gg A \end{aligned}$$

declare *inj-term-sym-simps* [simp]
declare *assigns-if.simps* [simp del]
declare *split-paired-All* [simp del] *split-paired-Ex* [simp del]
 <ML>

inductive-cases *da-elim-cases* [cases set]:

$Env \vdash B \gg \langle \text{Skip} \rangle \gg A$
 $Env \vdash B \gg \text{In1r Skip} \gg A$
 $Env \vdash B \gg \langle \text{Expr } e \rangle \gg A$
 $Env \vdash B \gg \text{In1r } (\text{Expr } e) \gg A$
 $Env \vdash B \gg \langle l \cdot c \rangle \gg A$
 $Env \vdash B \gg \text{In1r } (l \cdot c) \gg A$
 $Env \vdash B \gg \langle c1;; c2 \rangle \gg A$
 $Env \vdash B \gg \text{In1r } (c1;; c2) \gg A$
 $Env \vdash B \gg \langle \text{If}(e) \ c1 \ \text{Else} \ c2 \rangle \gg A$
 $Env \vdash B \gg \text{In1r } (\text{If}(e) \ c1 \ \text{Else} \ c2) \gg A$
 $Env \vdash B \gg \langle l \cdot \text{While}(e) \ c \rangle \gg A$
 $Env \vdash B \gg \text{In1r } (l \cdot \text{While}(e) \ c) \gg A$
 $Env \vdash B \gg \langle \text{Jmp jump} \rangle \gg A$
 $Env \vdash B \gg \text{In1r } (\text{Jmp jump}) \gg A$
 $Env \vdash B \gg \langle \text{Throw } e \rangle \gg A$
 $Env \vdash B \gg \text{In1r } (\text{Throw } e) \gg A$
 $Env \vdash B \gg \langle \text{Try } c1 \ \text{Catch}(C \ vn) \ c2 \rangle \gg A$
 $Env \vdash B \gg \text{In1r } (\text{Try } c1 \ \text{Catch}(C \ vn) \ c2) \gg A$
 $Env \vdash B \gg \langle c1 \ \text{Finally} \ c2 \rangle \gg A$
 $Env \vdash B \gg \text{In1r } (c1 \ \text{Finally} \ c2) \gg A$
 $Env \vdash B \gg \langle \text{Init } C \rangle \gg A$
 $Env \vdash B \gg \text{In1r } (\text{Init } C) \gg A$
 $Env \vdash B \gg \langle \text{NewC } C \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (\text{NewC } C) \gg A$
 $Env \vdash B \gg \langle \text{New } T[e] \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (\text{New } T[e]) \gg A$
 $Env \vdash B \gg \langle \text{Cast } T \ e \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (\text{Cast } T \ e) \gg A$
 $Env \vdash B \gg \langle e \ \text{InstOf } T \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (e \ \text{InstOf } T) \gg A$
 $Env \vdash B \gg \langle \text{Lit } v \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (\text{Lit } v) \gg A$
 $Env \vdash B \gg \langle \text{UnOp unop } e \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (\text{UnOp unop } e) \gg A$
 $Env \vdash B \gg \langle \text{BinOp binop } e1 \ e2 \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (\text{BinOp binop } e1 \ e2) \gg A$
 $Env \vdash B \gg \langle \text{Super} \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (\text{Super}) \gg A$
 $Env \vdash B \gg \langle \text{Acc } v \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (\text{Acc } v) \gg A$
 $Env \vdash B \gg \langle v := e \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (v := e) \gg A$
 $Env \vdash B \gg \langle c \ ? \ e1 : e2 \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (c \ ? \ e1 : e2) \gg A$
 $Env \vdash B \gg \langle \{accC, statT, mode\} e \cdot mn(\{pTs\} args) \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (\{accC, statT, mode\} e \cdot mn(\{pTs\} args)) \gg A$
 $Env \vdash B \gg \langle \text{Methd } C \ sig \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (\text{Methd } C \ sig) \gg A$
 $Env \vdash B \gg \langle \text{Body } D \ c \rangle \gg A$
 $Env \vdash B \gg \text{In1l } (\text{Body } D \ c) \gg A$
 $Env \vdash B \gg \langle \text{LVar } vn \rangle \gg A$
 $Env \vdash B \gg \text{In2 } (\text{LVar } vn) \gg A$
 $Env \vdash B \gg \langle \{accC, statDeclC, stat\} e \cdot fn \rangle \gg A$
 $Env \vdash B \gg \text{In2 } (\{accC, statDeclC, stat\} e \cdot fn) \gg A$
 $Env \vdash B \gg \langle e1.[e2] \rangle \gg A$
 $Env \vdash B \gg \text{In2 } (e1.[e2]) \gg A$
 $Env \vdash B \gg \langle [] :: \text{expr list} \rangle \gg A$
 $Env \vdash B \gg \text{In3 } ([] :: \text{expr list}) \gg A$
 $Env \vdash B \gg \langle e \# es \rangle \gg A$

$Env \vdash B \gg_{In3} (e \# es) \gg A$
declare *inj-term-sym-simps* [*simp del*]
declare *assigns-if.simps* [*simp*]
declare *split-paired-All* [*simp*] *split-paired-Ex* [*simp*]
 $\langle ML \rangle$

lemma *da-Skip*: $A = \langle nrm=B, brk=\lambda l. UNIV \rangle \implies Env \vdash B \gg \langle Skip \rangle \gg A$
 $\langle proof \rangle$

lemma *da-NewC*: $A = \langle nrm=B, brk=\lambda l. UNIV \rangle \implies Env \vdash B \gg \langle NewC\ C \rangle \gg A$
 $\langle proof \rangle$

lemma *da-Lit*: $A = \langle nrm=B, brk=\lambda l. UNIV \rangle \implies Env \vdash B \gg \langle Lit\ v \rangle \gg A$
 $\langle proof \rangle$

lemma *da-Super*: $\llbracket This \in B; A = \langle nrm=B, brk=\lambda l. UNIV \rangle \rrbracket \implies Env \vdash B \gg \langle Super \rangle \gg A$
 $\langle proof \rangle$

lemma *da-Init*: $A = \langle nrm=B, brk=\lambda l. UNIV \rangle \implies Env \vdash B \gg \langle Init\ C \rangle \gg A$
 $\langle proof \rangle$

lemma *assignsE-subseteq-assigns-ifs*:
assumes *boolEx*: $E \vdash e :: \text{--} PrimT\ Boolean$ (**is** *?Boolean e*)
shows $assignsE\ e \subseteq assigns\text{-if}\ True\ e \cap assigns\text{-if}\ False\ e$ (**is** *?Incl e*)
 $\langle proof \rangle$

lemma *rmlab-same-label* [*simp*]: $(rmlab\ l\ A)\ l = UNIV$
 $\langle proof \rangle$

lemma *rmlab-same-label1* [*simp*]: $l=l' \implies (rmlab\ l\ A)\ l' = UNIV$
 $\langle proof \rangle$

lemma *rmlab-other-label* [*simp*]: $l \neq l' \implies (rmlab\ l\ A)\ l' = A\ l'$
 $\langle proof \rangle$

lemma *range-inter-ts-subseteq* [*intro*]: $\forall k. A\ k \subseteq B\ k \implies \Rightarrow \bigcap A \subseteq \Rightarrow \bigcap B$
 $\langle proof \rangle$

lemma *range-inter-ts-subseteq'*:
 $\llbracket \forall k. A\ k \subseteq B\ k; x \in \Rightarrow \bigcap A \rrbracket \implies x \in \Rightarrow \bigcap B$

$\langle proof \rangle$

lemma *da-monotone*:

assumes $da: Env \vdash B \gg_t A$ **and**

$B \subseteq B'$ **and**

$da': Env \vdash B' \gg_t A'$

shows $(nrm\ A \subseteq nrm\ A') \wedge (\forall\ l. (brk\ A\ l \subseteq brk\ A'\ l))$

$\langle proof \rangle$

lemma *da-weaken*:

assumes $da: Env \vdash B \gg_t A$ **and** $B \subseteq B'$

shows $\exists\ A'. Env \vdash B' \gg_t A'$

$\langle proof \rangle$

corollary *da-weakenE* [*consumes 2*]:

assumes $da: Env \vdash B \gg_t A$ **and**

$B': B \subseteq B'$ **and**

ex-mono: $\bigwedge\ A'. \llbracket Env \vdash B' \gg_t A'; nrm\ A \subseteq nrm\ A';$
 $\bigwedge\ l. brk\ A\ l \subseteq brk\ A'\ l \rrbracket \implies P$

shows P

$\langle proof \rangle$

end

Chapter 13

WellForm

34 Well-formedness of Java programs

theory *WellForm* **imports** *DefiniteAssignment* **begin**

For static checks on expressions and statements, see *WellType.thy*
improvements over Java Specification 1.0 (cf. 8.4.6.3, 8.4.6.4, 9.4.1):

- a method implementing or overwriting another method may have a result type that widens to the result type of the other method (instead of identical type)
- if a method hides another method (both methods have to be static!) there are no restrictions to the result type since the methods have to be static and there is no dynamic binding of static methods
- if an interface inherits more than one method with the same signature, the methods need not have identical return types

simplifications:

- Object and standard exceptions are assumed to be declared like normal classes

well-formed field declarations

well-formed field declaration (common part for classes and interfaces), cf. 8.3 and (9.3)

definition $wf\text{-}fdecl :: prog \Rightarrow pname \Rightarrow fdecl \Rightarrow bool$ **where**
 $wf\text{-}fdecl\ G\ P \equiv \lambda(fn,f). is\text{-}acc\text{-}type\ G\ P\ (type\ f)$

lemma $wf\text{-}fdecl\text{-}def2: \bigwedge fd. wf\text{-}fdecl\ G\ P\ fd = is\text{-}acc\text{-}type\ G\ P\ (type\ (snd\ fd))$
 $\langle proof \rangle$

well-formed method declarations

A method head is wellformed if:

- the signature and the method head agree in the number of parameters
- all types of the parameters are visible
- the result type is visible
- the parameter names are unique

definition $wf\text{-}mhead :: prog \Rightarrow pname \Rightarrow sig \Rightarrow mhead \Rightarrow bool$ **where**
 $wf\text{-}mhead\ G\ P \equiv \lambda\ sig\ mh. length\ (parTs\ sig) = length\ (pars\ mh) \wedge$
 $(\forall T \in set\ (parTs\ sig). is\text{-}acc\text{-}type\ G\ P\ T) \wedge$
 $is\text{-}acc\text{-}type\ G\ P\ (resTy\ mh) \wedge$
 $distinct\ (pars\ mh)$

A method declaration is wellformed if:

- the method head is wellformed
- the names of the local variables are unique
- the types of the local variables must be accessible
- the local variables don't shadow the parameters

- the class of the method is defined
- the body statement is welltyped with respect to the modified environment of local names, were the local variables, the parameters the special result variable (Res) and This are assoziated with there types.

definition *callee-lcl* :: *qname* \Rightarrow *sig* \Rightarrow *methd* \Rightarrow *lenv* **where**

callee-lcl *C sig m*
 $\equiv \lambda k. (case\ k\ of$
 $\quad EName\ e$
 $\quad \Rightarrow (case\ e\ of$
 $\quad \quad VName\ v$
 $\quad \quad \Rightarrow (table-of\ (lcls\ (mbody\ m))((pars\ m)[\mapsto](parTs\ sig)))\ v$
 $\quad \quad | Res \Rightarrow Some\ (resTy\ m))$
 $\quad | This \Rightarrow if\ is-static\ m\ then\ None\ else\ Some\ (Class\ C))$

definition *parameters* :: *methd* \Rightarrow *lname set* **where**

parameters *m* $\equiv set\ (map\ (EName\ o\ VName)\ (pars\ m))$
 $\cup\ (if\ (static\ m)\ then\ \{\}\ else\ \{This\})$

definition *wf-mdecl* :: *prog* \Rightarrow *qname* \Rightarrow *mdecl* \Rightarrow *bool* **where**

wf-mdecl *G C* \equiv
 $\lambda(sig, m).$
 $wf-mhead\ G\ (pid\ C)\ sig\ (mhead\ m) \wedge$
 $unique\ (lcls\ (mbody\ m)) \wedge$
 $(\forall (vn, T) \in set\ (lcls\ (mbody\ m)).\ is-acc-type\ G\ (pid\ C)\ T) \wedge$
 $(\forall pn \in set\ (pars\ m).\ table-of\ (lcls\ (mbody\ m))\ pn = None) \wedge$
 $jumpNestingOkS\ \{Ret\}\ (stmt\ (mbody\ m)) \wedge$
 $is-class\ G\ C \wedge$
 $(\Downarrow prg = G, cls = C, lcl = callee-lcl\ C\ sig\ m) \vdash (stmt\ (mbody\ m)) :: \surd \wedge$
 $(\exists\ A.\ (\Downarrow prg = G, cls = C, lcl = callee-lcl\ C\ sig\ m)$
 $\quad \vdash parameters\ m \gg (stmt\ (mbody\ m)) \gg A$
 $\quad \wedge Result \in nrm\ A)$

lemma *callee-lcl-VName-simp* [*simp*]:

callee-lcl *C sig m* (*EName* (*VName* *v*))
 $= (table-of\ (lcls\ (mbody\ m))((pars\ m)[\mapsto](parTs\ sig)))\ v$
 $\langle proof \rangle$

lemma *callee-lcl-Res-simp* [*simp*]:

callee-lcl *C sig m* (*EName* *Res*) = *Some* (*resTy* *m*)
 $\langle proof \rangle$

lemma *callee-lcl-This-simp* [*simp*]:

callee-lcl *C sig m* (*This*) = (*if is-static* *m* *then* *None* *else* *Some* (*Class* *C*))
 $\langle proof \rangle$

lemma *callee-lcl-This-static-simp*:

is-static *m* $\implies callee-lcl\ C\ sig\ m\ (This) = None$
 $\langle proof \rangle$

lemma *callee-lcl-This-not-static-simp*:

$\neg is-static\ m \implies callee-lcl\ C\ sig\ m\ (This) = Some\ (Class\ C)$
 $\langle proof \rangle$

lemma *wf-mheadI*:

$\llbracket \text{length } (\text{parTs } \text{sig}) = \text{length } (\text{pars } m); \forall T \in \text{set } (\text{parTs } \text{sig}). \text{is-acc-type } G \ P \ T;$
 $\text{is-acc-type } G \ P \ (\text{resTy } m); \text{distinct } (\text{pars } m) \rrbracket \implies$
 $\text{wf-mhead } G \ P \ \text{sig } m$
 $\langle \text{proof} \rangle$

lemma *wf-mdeclI*: \llbracket

$\text{wf-mhead } G \ (\text{pid } C) \ \text{sig } (\text{mhead } m); \text{unique } (\text{lcls } (\text{mbody } m));$
 $(\forall pn \in \text{set } (\text{pars } m). \text{table-of } (\text{lcls } (\text{mbody } m)) \ pn = \text{None});$
 $\forall (vn, T) \in \text{set } (\text{lcls } (\text{mbody } m)). \text{is-acc-type } G \ (\text{pid } C) \ T;$
 $\text{jumpNestingOkS } \{\text{Ret}\} \ (\text{stmt } (\text{mbody } m));$
 $\text{is-class } G \ C;$
 $(\llbracket \text{prg} = G, \text{cls} = C, \text{lcl} = \text{callee-lcl } C \ \text{sig } m \rrbracket \vdash (\text{stmt } (\text{mbody } m)) :: \sqrt{ };$
 $(\exists A. (\llbracket \text{prg} = G, \text{cls} = C, \text{lcl} = \text{callee-lcl } C \ \text{sig } m \rrbracket \vdash \text{parameters } m \gg (\text{stmt } (\text{mbody } m)) \gg A$
 $\wedge \text{Result} \in \text{nrm } A)$
 $\rrbracket \implies$
 $\text{wf-mdecl } G \ C \ (\text{sig}, m)$
 $\langle \text{proof} \rangle$

lemma *wf-mdeclE* [consumes 1]:

$\llbracket \text{wf-mdecl } G \ C \ (\text{sig}, m);$
 $\llbracket \text{wf-mhead } G \ (\text{pid } C) \ \text{sig } (\text{mhead } m); \text{unique } (\text{lcls } (\text{mbody } m));$
 $\forall pn \in \text{set } (\text{pars } m). \text{table-of } (\text{lcls } (\text{mbody } m)) \ pn = \text{None};$
 $\forall (vn, T) \in \text{set } (\text{lcls } (\text{mbody } m)). \text{is-acc-type } G \ (\text{pid } C) \ T;$
 $\text{jumpNestingOkS } \{\text{Ret}\} \ (\text{stmt } (\text{mbody } m));$
 $\text{is-class } G \ C;$
 $(\llbracket \text{prg} = G, \text{cls} = C, \text{lcl} = \text{callee-lcl } C \ \text{sig } m \rrbracket \vdash (\text{stmt } (\text{mbody } m)) :: \sqrt{ };$
 $(\exists A. (\llbracket \text{prg} = G, \text{cls} = C, \text{lcl} = \text{callee-lcl } C \ \text{sig } m \rrbracket \vdash \text{parameters } m \gg (\text{stmt } (\text{mbody } m)) \gg A$
 $\wedge \text{Result} \in \text{nrm } A)$
 $\rrbracket \implies P$
 $\rrbracket \implies P$
 $\langle \text{proof} \rangle$

lemma *wf-mdeclD1*:

$\text{wf-mdecl } G \ C \ (\text{sig}, m) \implies$
 $\text{wf-mhead } G \ (\text{pid } C) \ \text{sig } (\text{mhead } m) \wedge \text{unique } (\text{lcls } (\text{mbody } m)) \wedge$
 $(\forall pn \in \text{set } (\text{pars } m). \text{table-of } (\text{lcls } (\text{mbody } m)) \ pn = \text{None}) \wedge$
 $(\forall (vn, T) \in \text{set } (\text{lcls } (\text{mbody } m)). \text{is-acc-type } G \ (\text{pid } C) \ T)$
 $\langle \text{proof} \rangle$

lemma *wf-mdecl-bodyD*:

$\text{wf-mdecl } G \ C \ (\text{sig}, m) \implies$
 $(\exists T. (\llbracket \text{prg} = G, \text{cls} = C, \text{lcl} = \text{callee-lcl } C \ \text{sig } m \rrbracket \vdash \text{Body } C \ (\text{stmt } (\text{mbody } m)) :: -T \wedge$
 $G \vdash T \preceq (\text{resTy } m))$
 $\langle \text{proof} \rangle$

lemma *rT-is-acc-type*:

$\text{wf-mhead } G \ P \ \text{sig } m \implies \text{is-acc-type } G \ P \ (\text{resTy } m)$

$\langle \text{proof} \rangle$

well-formed interface declarations

A interface declaration is wellformed if:

- the interface hierarchy is wellstructured
- there is no class with the same name
- the method heads are wellformed and not static and have Public access
- the methods are uniquely named
- all superinterfaces are accessible
- the result type of a method overriding a method of Object widens to the result type of the overridden method. Shadowing static methods is forbidden.
- the result type of a method overriding a set of methods defined in the superinterfaces widens to each of the corresponding result types

definition $wf\text{-}idecl :: prog \Rightarrow idecl \Rightarrow bool$ **where**

$wf\text{-}idecl\ G \equiv$
 $\lambda(I,i).$
 $ws\text{-}idecl\ G\ I\ (isuperIfs\ i) \wedge$
 $\neg is\text{-}class\ G\ I \wedge$
 $(\forall (sig,mh) \in set\ (imethods\ i). wf\text{-}mhead\ G\ (pid\ I)\ sig\ mh \wedge$
 $\neg is\text{-}static\ mh \wedge$
 $accmodi\ mh = Public) \wedge$
 $unique\ (imethods\ i) \wedge$
 $(\forall J \in set\ (isuperIfs\ i). is\text{-}acc\text{-}iface\ G\ (pid\ I)\ J) \wedge$
 $(table\text{-}of\ (imethods\ i)$
 $hiding\ (methd\ G\ Object)$
 $under\ (\lambda new\ old. accmodi\ old \neq Private)$
 $entails\ (\lambda new\ old. G \vdash resTy\ new \preceq resTy\ old \wedge$
 $is\text{-}static\ new = is\text{-}static\ old)) \wedge$
 $(Option.set \circ table\text{-}of\ (imethods\ i)$
 $hidings\ Un\text{-}tables((\lambda J. imethds\ G\ J)) 'set\ (isuperIfs\ i))$
 $entails\ (\lambda new\ old. G \vdash resTy\ new \preceq resTy\ old))$

lemma $wf\text{-}idecl\text{-}mhead: \llbracket wf\text{-}idecl\ G\ (I,i); (sig,mh) \in set\ (imethods\ i) \rrbracket \implies$
 $wf\text{-}mhead\ G\ (pid\ I)\ sig\ mh \wedge \neg is\text{-}static\ mh \wedge accmodi\ mh = Public$
 $\langle \text{proof} \rangle$

lemma $wf\text{-}idecl\text{-}hidings:$

$wf\text{-}idecl\ G\ (I, i) \implies$
 $(\lambda s. Option.set\ (table\text{-}of\ (imethods\ i)\ s))$
 $hidings\ Un\text{-}tables\ ((\lambda J. imethds\ G\ J) 'set\ (isuperIfs\ i))$
 $entails\ \lambda new\ old. G \vdash resTy\ new \preceq resTy\ old$
 $\langle \text{proof} \rangle$

lemma $wf\text{-}idecl\text{-}hiding:$

$wf\text{-}idecl\ G\ (I, i) \implies$
 $(table\text{-}of\ (imethods\ i)$
 $hiding\ (methd\ G\ Object)$

$$\text{under } (\lambda \text{ new old. } \text{accmodi old} \neq \text{Private})$$

$$\text{entails } (\lambda \text{ new old. } G \vdash_{\text{resTy}} \text{new} \leq_{\text{resTy}} \text{old} \wedge$$

$$\text{is-static new} = \text{is-static old}))$$

$$\langle \text{proof} \rangle$$

lemma *wf-idecl-supD*:

$$\llbracket \text{wf-idecl } G \ (I, i); J \in \text{set } (\text{isuperIfs } i) \rrbracket$$

$$\implies \text{is-acc-iface } G \ (\text{pid } I) \ J \wedge (J, I) \notin (\text{subint1 } G)^+ +$$

$$\langle \text{proof} \rangle$$

well-formed class declarations

A class declaration is wellformed if:

- there is no interface with the same name
- all superinterfaces are accessible and for all methods implementing an interface method the result type widens to the result type of the interface method, the method is not static and offers at least as much access (this actually means that the method has Public access, since all interface methods have public access)
- all field declarations are wellformed and the field names are unique
- all method declarations are wellformed and the method names are unique
- the initialization statement is welltyped
- the classhierarchy is wellstructured
- Unless the class is Object:
 - the superclass is accessible
 - for all methods overriding another method (of a superclass) the result type widens to the result type of the overridden method, the access modifier of the new method provides at least as much access as the overwritten one.
 - for all methods hiding a method (of a superclass) the hidden method must be static and offer at least as much access rights. Remark: In contrast to the Java Language Specification we don't restrict the result types of the method (as in case of overriding), because there seems to be no reason, since there is no dynamic binding of static methods. (cf. 8.4.6.3 vs. 15.12.1). Stricly speaking the restrictions on the access rights aren't necessary to, since the static type and the access rights together determine which method is to be called statically. But if a class gains more then one static method with the same signature due to inheritance, it is confusing when the method selection depends on the access rights only: e.g. Class C declares static public method foo(). Class D is subclass of C and declares static method foo() with default package access. D.foo() ? if this call is in the same package as D then foo of class D is called, otherwise foo of class C.

definition $\text{entails} :: ('a, 'b) \text{ table} \Rightarrow ('b \Rightarrow \text{bool}) \Rightarrow \text{bool} \ (- \text{ entails } - \ 20)$ **where**
 $t \text{ entails } P \equiv \forall k. \forall x \in t \ k: P \ x$

lemma *entailsD*:

$$\llbracket t \text{ entails } P; t \ k = \text{Some } x \rrbracket \implies P \ x$$

$$\langle \text{proof} \rangle$$

lemma *empty-entails[simp]: empty entails P*
<proof>

definition *wf-cdecl :: prog \Rightarrow cdecl \Rightarrow bool where*

wf-cdecl G \equiv
 $\lambda(C, c).$
 $\neg \text{is-iface } G \ C \wedge$
 $(\forall I \in \text{set } (\text{superIfs } c). \text{is-acc-iface } G \ (\text{pid } C) \ I \wedge$
 $(\forall s. \forall im \in \text{imethds } G \ I \ s.$
 $(\exists cm \in \text{methd } G \ C \ s: G \vdash \text{resTy } cm \preceq \text{resTy } im \wedge$
 $\neg \text{is-static } cm \wedge$
 $\text{accmodi } im \leq \text{accmodi } cm))) \wedge$
 $(\forall f \in \text{set } (\text{cfields } c). \text{wf-fdecl } G \ (\text{pid } C) \ f) \wedge \text{unique } (\text{cfields } c) \wedge$
 $(\forall m \in \text{set } (\text{methods } c). \text{wf-mdecl } G \ C \ m) \wedge \text{unique } (\text{methods } c) \wedge$
 $\text{jumpNestingOkS } \{\} \ (\text{init } c) \wedge$
 $(\exists A. (\text{prg}=G, \text{cls}=C, \text{lcl}=\text{empty}) \vdash \{\} \gg \langle \text{init } c \rangle \gg A) \wedge$
 $(\text{prg}=G, \text{cls}=C, \text{lcl}=\text{empty}) \vdash (\text{init } c) :: \checkmark \wedge \text{ws-cdecl } G \ C \ (\text{super } c) \wedge$
 $(C \neq \text{Object} \longrightarrow$
 $(\text{is-acc-class } G \ (\text{pid } C) \ (\text{super } c) \wedge$
 $(\text{table-of } (\text{map } (\lambda (s, m). (s, C, m)) (\text{methods } c))$
 $\text{entails } (\lambda \text{ new. } \forall \text{ old sig.}$
 $(G, \text{sig} \vdash \text{new overrides}_S \text{ old}$
 $\longrightarrow (G \vdash \text{resTy } \text{new} \preceq \text{resTy } \text{old} \wedge$
 $\text{accmodi } \text{old} \leq \text{accmodi } \text{new} \wedge$
 $\neg \text{is-static } \text{old})) \wedge$
 $(G, \text{sig} \vdash \text{new hides old}$
 $\longrightarrow (\text{accmodi } \text{old} \leq \text{accmodi } \text{new} \wedge$
 $\text{is-static } \text{old}))))$
 $)$

lemma *wf-cdeclE [consumes 1]:*

$\llbracket \text{wf-cdecl } G \ (C, c);$
 $\llbracket \neg \text{is-iface } G \ C;$
 $(\forall I \in \text{set } (\text{superIfs } c). \text{is-acc-iface } G \ (\text{pid } C) \ I \wedge$
 $(\forall s. \forall im \in \text{imethds } G \ I \ s.$
 $(\exists cm \in \text{methd } G \ C \ s: G \vdash \text{resTy } cm \preceq \text{resTy } im \wedge$
 $\neg \text{is-static } cm \wedge$
 $\text{accmodi } im \leq \text{accmodi } cm)))$;
 $\forall f \in \text{set } (\text{cfields } c). \text{wf-fdecl } G \ (\text{pid } C) \ f; \text{unique } (\text{cfields } c);$
 $\forall m \in \text{set } (\text{methods } c). \text{wf-mdecl } G \ C \ m; \text{unique } (\text{methods } c);$
 $\text{jumpNestingOkS } \{\} \ (\text{init } c);$
 $\exists A. (\text{prg}=G, \text{cls}=C, \text{lcl}=\text{empty}) \vdash \{\} \gg \langle \text{init } c \rangle \gg A;$
 $(\text{prg}=G, \text{cls}=C, \text{lcl}=\text{empty}) \vdash (\text{init } c) :: \checkmark;$
 $\text{ws-cdecl } G \ C \ (\text{super } c);$
 $(C \neq \text{Object} \longrightarrow$
 $(\text{is-acc-class } G \ (\text{pid } C) \ (\text{super } c) \wedge$
 $(\text{table-of } (\text{map } (\lambda (s, m). (s, C, m)) (\text{methods } c))$
 $\text{entails } (\lambda \text{ new. } \forall \text{ old sig.}$
 $(G, \text{sig} \vdash \text{new overrides}_S \text{ old}$
 $\longrightarrow (G \vdash \text{resTy } \text{new} \preceq \text{resTy } \text{old} \wedge$
 $\text{accmodi } \text{old} \leq \text{accmodi } \text{new} \wedge$
 $\neg \text{is-static } \text{old})) \wedge$
 $(G, \text{sig} \vdash \text{new hides old}$
 $\longrightarrow (\text{accmodi } \text{old} \leq \text{accmodi } \text{new} \wedge$
 $\text{is-static } \text{old}))))$
 $\rrbracket \rrbracket \implies P$

$\mathbb{I} \implies P$
 $\langle \text{proof} \rangle$

lemma *wf-cdecl-unique*:

$\text{wf-cdecl } G \ (C, c) \implies \text{unique } (\text{cfields } c) \wedge \text{unique } (\text{methods } c)$
 $\langle \text{proof} \rangle$

lemma *wf-cdecl-fdecl*:

$\mathbb{I} \text{wf-cdecl } G \ (C, c); f \in \text{set } (\text{cfields } c) \mathbb{I} \implies \text{wf-fdecl } G \ (\text{pid } C) f$
 $\langle \text{proof} \rangle$

lemma *wf-cdecl-mdecl*:

$\mathbb{I} \text{wf-cdecl } G \ (C, c); m \in \text{set } (\text{methods } c) \mathbb{I} \implies \text{wf-mdecl } G \ C \ m$
 $\langle \text{proof} \rangle$

lemma *wf-cdecl-impD*:

$\mathbb{I} \text{wf-cdecl } G \ (C, c); I \in \text{set } (\text{superIfs } c) \mathbb{I}$
 $\implies \text{is-acc-iface } G \ (\text{pid } C) \ I \wedge$
 $(\forall s. \forall im \in \text{imethds } G \ I \ s.$
 $(\exists cm \in \text{methd } G \ C \ s: G \vdash \text{resTy } cm \preceq \text{resTy } im \wedge \neg \text{is-static } cm \wedge$
 $\text{accmodi } im \leq \text{accmodi } cm))$
 $\langle \text{proof} \rangle$

lemma *wf-cdecl-supD*:

$\mathbb{I} \text{wf-cdecl } G \ (C, c); C \neq \text{Object} \mathbb{I} \implies$
 $\text{is-acc-class } G \ (\text{pid } C) \ (\text{super } c) \wedge (\text{super } c, C) \notin (\text{subcls1 } G)^\wedge + \wedge$
 $(\text{table-of } (\text{map } (\lambda (s, m). (s, C, m)) (\text{methods } c))$
 $\text{entails } (\lambda \text{new}. \forall \text{old sig.}$
 $(G, \text{sig} \vdash \text{new overrides}_S \text{old}$
 $\longrightarrow (G \vdash \text{resTy } \text{new} \preceq \text{resTy } \text{old} \wedge$
 $\text{accmodi } \text{old} \leq \text{accmodi } \text{new} \wedge$
 $\neg \text{is-static } \text{old})) \wedge$
 $(G, \text{sig} \vdash \text{new hides } \text{old}$
 $\longrightarrow (\text{accmodi } \text{old} \leq \text{accmodi } \text{new} \wedge$
 $\text{is-static } \text{old}))))$
 $\langle \text{proof} \rangle$

lemma *wf-cdecl-overrides-SomeD*:

$\mathbb{I} \text{wf-cdecl } G \ (C, c); C \neq \text{Object}; \text{table-of } (\text{methods } c) \text{ sig} = \text{Some } \text{newM};$
 $G, \text{sig} \vdash (C, \text{newM}) \text{ overrides}_S \text{old}$
 $\mathbb{I} \implies G \vdash \text{resTy } \text{newM} \preceq \text{resTy } \text{old} \wedge$
 $\text{accmodi } \text{old} \leq \text{accmodi } \text{newM} \wedge$
 $\neg \text{is-static } \text{old}$
 $\langle \text{proof} \rangle$

lemma *wf-cdecl-hides-SomeD*:

$\mathbb{I} \text{wf-cdecl } G \ (C, c); C \neq \text{Object}; \text{table-of } (\text{methods } c) \text{ sig} = \text{Some } \text{newM};$
 $G, \text{sig} \vdash (C, \text{newM}) \text{ hides } \text{old}$
 $\mathbb{I} \implies \text{accmodi } \text{old} \leq \text{access } \text{newM} \wedge$
 $\text{is-static } \text{old}$
 $\langle \text{proof} \rangle$

lemma *wf-cdecl-wt-init*:

$wf-cdecl\ G\ (C, c) \implies (\llbracket prg=G, cls=C, lcl=empty \rrbracket) \vdash init\ c :: \checkmark$
 $\langle proof \rangle$

well-formed programs

A program declaration is wellformed if:

- the class `ObjectC` of `Object` is defined
- every method of `Object` has an access modifier distinct from `Package`. This is necessary since every interface automatically inherits from `Object`. We must know, that every time a `Object` method is "overridden" by an interface method this is also overridden by the class implementing the the interface (see *implement-dynmethd* and *class-mheadsD*)
- all standard Exceptions are defined
- all defined interfaces are wellformed
- all defined classes are wellformed

definition *wf-prog* :: *prog* \Rightarrow *bool* **where**

$wf-prog\ G \equiv let\ is = ifaces\ G; cs = classes\ G\ in$
 $ObjectC \in set\ cs \wedge$
 $(\forall\ m \in set\ Object-mdecls. accmodi\ m \neq Package) \wedge$
 $(\forall\ xn. SXcptC\ xn \in set\ cs) \wedge$
 $(\forall\ i \in set\ is. wf-idecl\ G\ i) \wedge unique\ is \wedge$
 $(\forall\ c \in set\ cs. wf-cdecl\ G\ c) \wedge unique\ cs$

lemma *wf-prog-idecl*: $\llbracket iface\ G\ I = Some\ i; wf-prog\ G \rrbracket \implies wf-idecl\ G\ (I, i)$

$\langle proof \rangle$

lemma *wf-prog-cdecl*: $\llbracket class\ G\ C = Some\ c; wf-prog\ G \rrbracket \implies wf-cdecl\ G\ (C, c)$

$\langle proof \rangle$

lemma *wf-prog-Object-mdecls*:

$wf-prog\ G \implies (\forall\ m \in set\ Object-mdecls. accmodi\ m \neq Package)$

$\langle proof \rangle$

lemma *wf-prog-acc-superD*:

$\llbracket wf-prog\ G; class\ G\ C = Some\ c; C \neq Object \rrbracket$
 $\implies is-acc-class\ G\ (pid\ C)\ (super\ c)$

$\langle proof \rangle$

lemma *wf-ws-prog* [*elim!*,*simp*]: $wf-prog\ G \implies ws-prog\ G$

$\langle proof \rangle$

lemma *class-Object* [*simp*]:

$wf-prog\ G \implies$
 $class\ G\ Object = Some\ (\llbracket access=Public, cfields=[], methods=Object-mdecls,$
 $init=Skip, super=undefined, superIfs=[] \rrbracket)$

$\langle \text{proof} \rangle$

lemma *methd-Object[simp]*: $\text{wf-prog } G \implies \text{methd } G \text{ Object} =$
 $\text{table-of } (\text{map } (\lambda(s,m). (s, \text{Object}, m)) \text{ Object-mdecls})$
 $\langle \text{proof} \rangle$

lemma *wf-prog-Object-methd*:
 $\llbracket \text{wf-prog } G; \text{methd } G \text{ Object sig} = \text{Some } m \rrbracket \implies \text{accmodi } m \neq \text{Package}$
 $\langle \text{proof} \rangle$

lemma *wf-prog-Object-is-public[intro]*:
 $\text{wf-prog } G \implies \text{is-public } G \text{ Object}$
 $\langle \text{proof} \rangle$

lemma *class-SXcpt [simp]*:
 $\text{wf-prog } G \implies$
 $\text{class } G \text{ (SXcpt } xn) = \text{Some } (\text{access}=\text{Public}, \text{cfields}=[], \text{methods}=\text{SXcpt-mdecls},$
 $\text{init}=\text{Skip},$
 $\text{super}=\text{if } xn = \text{Throwable then Object}$
 $\text{else SXcpt Throwable},$
 $\text{superIfs}=[])$
 $\langle \text{proof} \rangle$

lemma *wf-ObjectC [simp]*:
 $\text{wf-cdecl } G \text{ ObjectC} = (\neg \text{is-iface } G \text{ Object} \wedge \text{Ball } (\text{set } \text{Object-mdecls})$
 $(\text{wf-mdecl } G \text{ Object}) \wedge \text{unique } \text{Object-mdecls})$
 $\langle \text{proof} \rangle$

lemma *Object-is-class [simp,elim!]*: $\text{wf-prog } G \implies \text{is-class } G \text{ Object}$
 $\langle \text{proof} \rangle$

lemma *Object-is-acc-class [simp,elim!]*: $\text{wf-prog } G \implies \text{is-acc-class } G \text{ S Object}$
 $\langle \text{proof} \rangle$

lemma *SXcpt-is-class [simp,elim!]*: $\text{wf-prog } G \implies \text{is-class } G \text{ (SXcpt } xn)$
 $\langle \text{proof} \rangle$

lemma *SXcpt-is-acc-class [simp,elim!]*:
 $\text{wf-prog } G \implies \text{is-acc-class } G \text{ S (SXcpt } xn)$
 $\langle \text{proof} \rangle$

lemma *fields-Object [simp]*: $\text{wf-prog } G \implies \text{DeclConcepts.fields } G \text{ Object} = []$
 $\langle \text{proof} \rangle$

lemma *accfield-Object [simp]*:
 $\text{wf-prog } G \implies \text{accfield } G \text{ S Object} = \text{empty}$
 $\langle \text{proof} \rangle$

lemma *fields-Throwable* [simp]:
 $wf\text{-}prog\ G \implies DeclConcepts.fields\ G\ (SXcpt\ Throwable) = []$
 <proof>

lemma *fields-SXcpt* [simp]: $wf\text{-}prog\ G \implies DeclConcepts.fields\ G\ (SXcpt\ xn) = []$
 <proof>

lemmas *widen-trans* = *ws-widen-trans* [OF - - *wf-ws-prog*, *elim*]

lemma *widen-trans2* [elim]: $\llbracket G \vdash U \preceq T; G \vdash S \preceq U; wf\text{-}prog\ G \rrbracket \implies G \vdash S \preceq T$
 <proof>

lemma *Xcpt-subcls-Throwable* [simp]:
 $wf\text{-}prog\ G \implies G \vdash SXcpt\ xn \preceq_C SXcpt\ Throwable$
 <proof>

lemma *unique-fields*:
 $\llbracket is\text{-}class\ G\ C; wf\text{-}prog\ G \rrbracket \implies unique\ (DeclConcepts.fields\ G\ C)$
 <proof>

lemma *fields-mono*:
 $\llbracket table\text{-}of\ (DeclConcepts.fields\ G\ C)\ fn = Some\ f; G \vdash D \preceq_C C; is\text{-}class\ G\ D; wf\text{-}prog\ G \rrbracket$
 $\implies table\text{-}of\ (DeclConcepts.fields\ G\ D)\ fn = Some\ f$
 <proof>

lemma *fields-is-type* [elim]:
 $\llbracket table\text{-}of\ (DeclConcepts.fields\ G\ C)\ m = Some\ f; wf\text{-}prog\ G; is\text{-}class\ G\ C \rrbracket \implies$
 $is\text{-}type\ G\ (type\ f)$
 <proof>

lemma *imethds-wf-mhead* [rule-format (*no-asm*)]:
 $\llbracket m \in imethds\ G\ I\ sig; wf\text{-}prog\ G; is\text{-}iface\ G\ I \rrbracket \implies$
 $wf\text{-}mhead\ G\ (pid\ (decliface\ m))\ sig\ (mthd\ m) \wedge$
 $\neg is\text{-}static\ m \wedge accmodi\ m = Public$
 <proof>

lemma *methd-wf-mdecl*:
 $\llbracket methd\ G\ C\ sig = Some\ m; wf\text{-}prog\ G; class\ G\ C = Some\ y \rrbracket \implies$
 $G \vdash C \preceq_C (declclass\ m) \wedge is\text{-}class\ G\ (declclass\ m) \wedge$
 $wf\text{-}mdecl\ G\ (declclass\ m)\ (sig, (mthd\ m))$
 <proof>

lemma *methd-rT-is-type*:
 $\llbracket wf\text{-}prog\ G; methd\ G\ C\ sig = Some\ m; class\ G\ C = Some\ y \rrbracket$

$\Rightarrow \text{is-type } G \text{ (resTy } m)$
 $\langle \text{proof} \rangle$

lemma *accmethd-rT-is-type*:
 $\llbracket \text{wf-prog } G; \text{accmethd } G \text{ } S \text{ } C \text{ sig} = \text{Some } m; \\ \text{class } G \text{ } C = \text{Some } y \rrbracket$
 $\Rightarrow \text{is-type } G \text{ (resTy } m)$
 $\langle \text{proof} \rangle$

lemma *methd-Object-SomeD*:
 $\llbracket \text{wf-prog } G; \text{methd } G \text{ Object sig} = \text{Some } m \rrbracket$
 $\Rightarrow \text{declclass } m = \text{Object}$
 $\langle \text{proof} \rangle$

lemma *wf-imethdsD*:
 $\llbracket im \in \text{imethds } G \text{ } I \text{ sig}; \text{wf-prog } G; \text{is-iface } G \text{ } I \rrbracket$
 $\Rightarrow \neg \text{is-static } im \wedge \text{accmodi } im = \text{Public}$
 $\langle \text{proof} \rangle$

lemma *wf-prog-hidesD*:
assumes *hides*: $G \vdash \text{new hides old}$ **and** *wf*: $\text{wf-prog } G$
shows
 $\text{accmodi old} \leq \text{accmodi new} \wedge$
 is-static old
 $\langle \text{proof} \rangle$

Compare this lemma about static overriding $G \vdash \text{new overrides}_S \text{ old}$ with the definition of dynamic overriding $G \vdash \text{new overrides old}$. Conforming result types and restrictions on the access modifiers of the old and the new method are not part of the predicate for static overriding. But they are enshured in a wellfromed program. Dynamic overriding has no restrictions on the access modifiers but enforces confrom result types as precondition. But with some efford we can guarantee the access modifier restriction for dynamic overriding, too. See lemma *wf-prog-dyn-override-prop*.

lemma *wf-prog-stat-overridesD*:
assumes *stat-override*: $G \vdash \text{new overrides}_S \text{ old}$ **and** *wf*: $\text{wf-prog } G$
shows
 $G \vdash \text{resTy new} \preceq \text{resTy old} \wedge$
 $\text{accmodi old} \leq \text{accmodi new} \wedge$
 $\neg \text{is-static old}$
 $\langle \text{proof} \rangle$

lemma *static-to-dynamic-overriding*:
assumes *stat-override*: $G \vdash \text{new overrides}_S \text{ old}$ **and** *wf* : $\text{wf-prog } G$
shows $G \vdash \text{new overrides old}$
 $\langle \text{proof} \rangle$

lemma *non-Package-instance-method-inheritance*:
assumes *old-inheritable*: $G \vdash \text{Method old inheritable-in (pid } C)$ **and**
 $\text{accmodi-old: accmodi old} \neq \text{Package}$ **and**
instance-method: $\neg \text{is-static old}$ **and**
 $\text{subcls: } G \vdash C \prec_C \text{ declclass old}$ **and**
 $\text{old-declared: } G \vdash \text{Method old declared-in (declclass old)}$ **and**
 $\text{wf: wf-prog } G$

shows $G \vdash \text{Method old member-of } C \vee$
 $(\exists \text{ new. } G \vdash \text{new overrides}_S \text{ old} \wedge G \vdash \text{Method new member-of } C)$
 $\langle \text{proof} \rangle$

lemma *non-Package-instance-method-inheritance-cases* [consumes 6,
case-names Inheritance Overriding]:

assumes *old-inheritable*: $G \vdash \text{Method old inheritable-in } (\text{pid } C)$ **and**
accmodi-old: $\text{accmodi old} \neq \text{Package}$ **and**
instance-method: $\neg \text{is-static old}$ **and**
subcls: $G \vdash C \prec_C \text{declclass old}$ **and**
old-declared: $G \vdash \text{Method old declared-in } (\text{declclass old})$ **and**
wf: $\text{wf-prog } G$ **and**
inheritance: $G \vdash \text{Method old member-of } C \implies P$ **and**
overriding: $\bigwedge \text{new.}$
 $\llbracket G \vdash \text{new overrides}_S \text{ old}; G \vdash \text{Method new member-of } C \rrbracket$
 $\implies P$

shows P
 $\langle \text{proof} \rangle$

lemma *dynamic-to-static-overriding*:

assumes *dyn-override*: $G \vdash \text{new overrides old}$ **and**
accmodi-old: $\text{accmodi old} \neq \text{Package}$ **and**
wf: $\text{wf-prog } G$

shows $G \vdash \text{new overrides}_S \text{ old}$
 $\langle \text{proof} \rangle$

lemma *wf-prog-dyn-override-prop*:

assumes *dyn-override*: $G \vdash \text{new overrides old}$ **and**
wf: $\text{wf-prog } G$

shows $\text{accmodi old} \leq \text{accmodi new}$
 $\langle \text{proof} \rangle$

lemma *overrides-Package-old*:

assumes *dyn-override*: $G \vdash \text{new overrides old}$ **and**
accmodi-new: $\text{accmodi new} = \text{Package}$ **and**
wf: $\text{wf-prog } G$

shows $\text{accmodi old} = \text{Package}$
 $\langle \text{proof} \rangle$

lemma *dyn-override-Package*:

assumes *dyn-override*: $G \vdash \text{new overrides old}$ **and**
accmodi-old: $\text{accmodi old} = \text{Package}$ **and**
accmodi-new: $\text{accmodi new} = \text{Package}$ **and**
wf: $\text{wf-prog } G$

shows $\text{pid } (\text{declclass old}) = \text{pid } (\text{declclass new})$
 $\langle \text{proof} \rangle$

lemma *dyn-override-Package-escape*:

assumes *dyn-override*: $G \vdash \text{new overrides old}$ **and**
accmodi-old: $\text{accmodi old} = \text{Package}$ **and**
outside-pack: $\text{pid } (\text{declclass old}) \neq \text{pid } (\text{declclass new})$ **and**
wf: $\text{wf-prog } G$

shows $\exists \text{ inter. } G \vdash \text{new overrides inter} \wedge G \vdash \text{inter overrides old} \wedge$

$pid\ (declclass\ old) = pid\ (declclass\ inter) \wedge$
 $Protected \leq accmodi\ inter$
 <proof>

lemmas *class-rec-induct'* = *class-rec.induct*[of %x y z w. *P x y, standard*]

lemma *declclass-widen*[rule-format]:
 $wf\text{-}prog\ G$
 $\longrightarrow (\forall c\ m. class\ G\ C = Some\ c \longrightarrow methd\ G\ C\ sig = Some\ m$
 $\longrightarrow G \vdash C \preceq_C declclass\ m) \text{ (is ?P G C)}$
 <proof>

lemma *declclass-methd-Object*:
 $\llbracket wf\text{-}prog\ G; methd\ G\ Object\ sig = Some\ m \rrbracket \Longrightarrow declclass\ m = Object$
 <proof>

lemma *methd-declaredD*:
 $\llbracket wf\text{-}prog\ G; is\text{-}class\ G\ C; methd\ G\ C\ sig = Some\ m \rrbracket$
 $\Longrightarrow G \vdash (mdecl\ (sig, methd\ m))\ declared\text{-}in\ (declclass\ m)$
 <proof>

lemma *methd-rec-Some-cases* [consumes 4, case-names *NewMethod InheritedMethod*]:
assumes *methd-C*: $methd\ G\ C\ sig = Some\ m$ **and**
 $ws: ws\text{-}prog\ G$ **and**
 $clsC: class\ G\ C = Some\ c$ **and**
 $neq\text{-}C\text{-}Obj: C \neq Object$
shows
 $\llbracket table\text{-}of\ (map\ (\lambda(s, m). (s, C, m))\ (methods\ c))\ sig = Some\ m \Longrightarrow P;$
 $\llbracket G \vdash C\ inherits\ (method\ sig\ m); methd\ G\ (super\ c)\ sig = Some\ m \rrbracket \Longrightarrow P$
 <proof>

lemma *methd-member-of*:
assumes *wf*: $wf\text{-}prog\ G$
shows
 $\llbracket is\text{-}class\ G\ C; methd\ G\ C\ sig = Some\ m \rrbracket \Longrightarrow G \vdash Methd\ sig\ m\ member\text{-}of\ C$
 $(is\ ?Class\ C \Longrightarrow ?Method\ C \Longrightarrow ?MemberOf\ C)$
 <proof>

lemma *current-methd*:
 $\llbracket table\text{-}of\ (methods\ c)\ sig = Some\ new;$
 $ws\text{-}prog\ G; class\ G\ C = Some\ c; C \neq Object;$
 $methd\ G\ (super\ c)\ sig = Some\ old \rrbracket$
 $\Longrightarrow methd\ G\ C\ sig = Some\ (C, new)$
 <proof>

lemma *wf-prog-staticD*:
assumes $wf: wf\text{-}prog\ G$ **and**
 $clsC: class\ G\ C = Some\ c$ **and**
 $neq\text{-}C\text{-}Obj: C \neq Object$ **and**
 $old: methd\ G\ (super\ c)\ sig = Some\ old$ **and**

accmodi-old: *Protected* \leq *accmodi old* **and**
new: *table-of* (*methods c*) *sig* = *Some new*
shows *is-static new* = *is-static old*
 $\langle \text{proof} \rangle$

lemma *inheritable-instance-methd*:
assumes *subclseq-C-D*: $G \vdash C \preceq_C D$ **and**
is-cls-D: *is-class* $G D$ **and**
wf: *wf-prog* G **and**
old: *methd* $G D \text{ sig}$ = *Some old* **and**
accmodi-old: *Protected* \leq *accmodi old* **and**
not-static-old: \neg *is-static old*
shows
 $\exists \text{new. methd } G C \text{ sig} = \text{Some new} \wedge$
 $(\text{new} = \text{old} \vee G, \text{sig} \vdash \text{new overrides}_S \text{ old})$
(is $(\exists \text{new. } (? \text{Constraint } C \text{ new old})))$
 $\langle \text{proof} \rangle$

lemma *inheritable-instance-methd-cases* [*consumes 6*
, case-names Inheritance Overriding]:
assumes *subclseq-C-D*: $G \vdash C \preceq_C D$ **and**
is-cls-D: *is-class* $G D$ **and**
wf: *wf-prog* G **and**
old: *methd* $G D \text{ sig}$ = *Some old* **and**
accmodi-old: *Protected* \leq *accmodi old* **and**
not-static-old: \neg *is-static old* **and**
inheritance: *methd* $G C \text{ sig}$ = *Some old* $\implies P$ **and**
overriding: $\bigwedge \text{new. } [\text{methd } G C \text{ sig} = \text{Some new};$
 $G, \text{sig} \vdash \text{new overrides}_S \text{ old}] \implies P$
shows P
 $\langle \text{proof} \rangle$

lemma *inheritable-instance-methd-props*:
assumes *subclseq-C-D*: $G \vdash C \preceq_C D$ **and**
is-cls-D: *is-class* $G D$ **and**
wf: *wf-prog* G **and**
old: *methd* $G D \text{ sig}$ = *Some old* **and**
accmodi-old: *Protected* \leq *accmodi old* **and**
not-static-old: \neg *is-static old*
shows
 $\exists \text{new. methd } G C \text{ sig} = \text{Some new} \wedge$
 $\neg \text{is-static new} \wedge G \vdash \text{resTy new} \preceq_{\text{resTy}} \text{old} \wedge \text{accmodi old} \leq \text{accmodi new}$
(is $(\exists \text{new. } (? \text{Constraint } C \text{ new old})))$
 $\langle \text{proof} \rangle$

lemma *beXI'*: $x \in A \implies P x \implies \exists x \in A. P x$ $\langle \text{proof} \rangle$

lemma *ballE'*: $\forall x \in A. P x \implies (x \notin A \implies Q) \implies (P x \implies Q) \implies Q$ $\langle \text{proof} \rangle$

lemma *subint-widen-imethds*:
assumes *irel*: $G \vdash I \preceq I J$
and *wf*: *wf-prog* G

and $is\text{-}iface: is\text{-}iface\ G\ J$
and $jm: jm \in imethds\ G\ J\ sig$
shows $\exists im \in imethds\ G\ I\ sig. is\text{-}static\ im = is\text{-}static\ jm \wedge$
 $accmodi\ im = accmodi\ jm \wedge$
 $G \vdash resTy\ im \preceq resTy\ jm$
 $\langle proof \rangle$

lemma $implmt1\text{-}methd$:
 $\bigwedge sig. \llbracket G \vdash C \rightsquigarrow I; wf\text{-}prog\ G; im \in imethds\ G\ I\ sig \rrbracket \implies$
 $\exists cm \in methd\ G\ C\ sig: \neg is\text{-}static\ cm \wedge \neg is\text{-}static\ im \wedge$
 $G \vdash resTy\ cm \preceq resTy\ im \wedge$
 $accmodi\ im = Public \wedge accmodi\ cm = Public$
 $\langle proof \rangle$

lemma $implmt\text{-}methd\ [rule\text{-}format\ (no\text{-}asm)]$:
 $\llbracket wf\text{-}prog\ G; G \vdash C \rightsquigarrow I \rrbracket \implies is\text{-}iface\ G\ I \longrightarrow$
 $(\forall im \in imethds\ G\ I\ sig.$
 $\exists cm \in methd\ G\ C\ sig: \neg is\text{-}static\ cm \wedge \neg is\text{-}static\ im \wedge$
 $G \vdash resTy\ cm \preceq resTy\ im \wedge$
 $accmodi\ im = Public \wedge accmodi\ cm = Public)$
 $\langle proof \rangle$

lemma $mheadsD\ [rule\text{-}format\ (no\text{-}asm)]$:
 $emh \in mheads\ G\ S\ t\ sig \longrightarrow wf\text{-}prog\ G \longrightarrow$
 $(\exists C\ D\ m. t = ClassT\ C \wedge declrefT\ emh = ClassT\ D \wedge$
 $accmethd\ G\ S\ C\ sig = Some\ m \wedge$
 $(declclass\ m = D) \wedge mhead\ (mthd\ m) = (mhd\ emh)) \vee$
 $(\exists I. t = IfaceT\ I \wedge ((\exists im. im \in accimethds\ G\ (pid\ S)\ I\ sig \wedge$
 $mthd\ im = mhd\ emh) \vee$
 $(\exists m. G \vdash Iface\ I\ accessible\text{-}in\ (pid\ S) \wedge accmethd\ G\ S\ Object\ sig = Some\ m \wedge$
 $accmodi\ m \neq Private \wedge$
 $declrefT\ emh = ClassT\ Object \wedge mhead\ (mthd\ m) = mhd\ emh))) \vee$
 $(\exists T\ m. t = ArrayT\ T \wedge G \vdash Array\ T\ accessible\text{-}in\ (pid\ S) \wedge$
 $accmethd\ G\ S\ Object\ sig = Some\ m \wedge accmodi\ m \neq Private \wedge$
 $declrefT\ emh = ClassT\ Object \wedge mhead\ (mthd\ m) = mhd\ emh)$
 $\langle proof \rangle$

lemma $mheads\text{-}cases\ [consumes\ 2, case\text{-}names\ Class\text{-}methd$
 $Iface\text{-}methd\ Iface\text{-}Object\text{-}methd\ Array\text{-}Object\text{-}methd]$:
 $\llbracket emh \in mheads\ G\ S\ t\ sig; wf\text{-}prog\ G;$
 $\bigwedge C\ D\ m. \llbracket t = ClassT\ C; declrefT\ emh = ClassT\ D; accmethd\ G\ S\ C\ sig = Some\ m;$
 $(declclass\ m = D); mhead\ (mthd\ m) = (mhd\ emh) \rrbracket \implies P\ emh;$
 $\bigwedge I\ im. \llbracket t = IfaceT\ I; im \in accimethds\ G\ (pid\ S)\ I\ sig; mthd\ im = mhd\ emh \rrbracket$
 $\implies P\ emh;$
 $\bigwedge I\ m. \llbracket t = IfaceT\ I; G \vdash Iface\ I\ accessible\text{-}in\ (pid\ S);$
 $accmethd\ G\ S\ Object\ sig = Some\ m; accmodi\ m \neq Private;$
 $declrefT\ emh = ClassT\ Object; mhead\ (mthd\ m) = mhd\ emh \rrbracket \implies P\ emh;$
 $\bigwedge T\ m. \llbracket t = ArrayT\ T; G \vdash Array\ T\ accessible\text{-}in\ (pid\ S);$

$$\begin{aligned} & \text{accmethd } G \text{ } S \text{ } \text{Object } sig = \text{Some } m; \text{ accmodi } m \neq \text{Private}; \\ & \text{declrefT } emh = \text{ClassT } \text{Object}; \text{ mhead } (mthd \ m) = mhd \ emh \parallel \implies P \ emh \\ & \parallel \implies P \ emh \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *declclassD*[*rule-format*]:

$$\begin{aligned} & \llbracket wf\text{-prog } G; \text{class } G \ C = \text{Some } c; \text{methd } G \ C \ sig = \text{Some } m; \\ & \quad \text{class } G \ (\text{declclass } m) = \text{Some } d \rrbracket \\ & \implies \text{table-of } (\text{methods } d) \ sig = \text{Some } (mthd \ m) \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *dynmethd-Object*:
assumes *statM*: *methd* *G* *Object* *sig* = *Some statM* **and**
private: *accmodi* *statM* = *Private* **and**
is-cls-C: *is-class* *G* *C* **and**
wf: *wf-prog* *G*
shows *dynmethd* *G* *Object* *C* *sig* = *Some statM*
 $\langle \text{proof} \rangle$

lemma *wf-imethds-hiding-objmethdsD*:
assumes *old*: *methd* *G* *Object* *sig* = *Some old* **and**
is-if-I: *is-iface* *G* *I* **and**
wf: *wf-prog* *G* **and**
not-private: *accmodi* *old* \neq *Private* **and**
new: *new* \in *imethds* *G* *I* *sig*
shows $G \vdash_{resTy} \text{new} \preceq_{resTy} \text{old} \wedge \text{is-static } \text{new} = \text{is-static } \text{old} \ (\text{is } ?P \ \text{new})$
 $\langle \text{proof} \rangle$

Which dynamic classes are valid to look up a member of a distinct static type? We have to distinct class members (named static members in Java) from instance members. Class members are global to all Objects of a class, instance members are local to a single Object instance. If a member is equipped with the static modifier it is a class member, else it is an instance member. The following table gives an overview of the current framework. We assume to have a reference with static type *statT* and a dynamic class *dynC*. Between both of these types the widening relation holds $G \vdash \text{Class } dynC \preceq statT$. Unfortunately this ordinary widening relation isn't enough to describe the valid lookup classes, since we must cope the special cases of arrays and interfaces, too. If we statically expect an array or interface we may lookup a field or a method in Object which isn't covered in the widening relation.

statT	field	instance	method	static	(class)	method	_____
_____	NullT	/	/	/	Iface	/	dynC Object Class dynC dynC dynC Array / Object Object

In most cases we can lookup the member in the dynamic class. But as an interface can't declare new static methods, nor an array can define new methods at all, we have to lookup methods in the base class Object.

The limitation to classes in the field column is artificial and comes out of the typing rule for the field access (see rule *FVar* in the welltyping relation *wt* in theory WellType). It stems out of the fact, that Object indeed has no non private fields. So interfaces and arrays can actually have no fields at all and a field access would be senseless. (In Java interfaces are allowed to declare new fields but in current Bali not!). So there is no principal reason why we should not allow Objects to declare non private fields. Then we would get the following column:

statT	field	_____	NullT	/	Iface	Object	Class	dynC	Array	Object
-------	-------	-------	-------	---	-------	--------	-------	------	-------	--------

consts *valid-lookup-cls*:: *prog* \Rightarrow *ref-ty* \Rightarrow *qname* \Rightarrow *bool* \Rightarrow *bool*
 $(-, - \vdash - \text{valid}'\text{-lookup}'\text{-cls}'\text{-for} - [61, 61, 61, 61] \ 60)$

primrec

$G, \text{Null}T \vdash \text{dyn}C \text{ valid-lookup-cls-for static-membr} = \text{False}$
 $G, \text{Iface}T I \vdash \text{dyn}C \text{ valid-lookup-cls-for static-membr}$
 $\quad = (\text{if } \text{static-membr}$
 $\quad \quad \text{then } \text{dyn}C = \text{Object}$
 $\quad \quad \text{else } G \vdash \text{Class } \text{dyn}C \preceq \text{Iface } I)$
 $G, \text{Class}T C \vdash \text{dyn}C \text{ valid-lookup-cls-for static-membr} = G \vdash \text{Class } \text{dyn}C \preceq \text{Class } C$
 $G, \text{Array}T T \vdash \text{dyn}C \text{ valid-lookup-cls-for static-membr} = (\text{dyn}C = \text{Object})$

lemma *valid-lookup-cls-is-class*:

assumes $\text{dyn}C: G, \text{stat}T \vdash \text{dyn}C \text{ valid-lookup-cls-for static-membr}$ **and**
 $\text{ty-stat}T: \text{isrtype } G \text{ stat}T$ **and**
 $\text{wf}: \text{wf-prog } G$
shows $\text{is-class } G \text{ dyn}C$

 $\langle \text{proof} \rangle$ **declare** *split-paired-All* [simp del] *split-paired-Ex* [simp del] $\langle \text{ML} \rangle$ **lemma** *dynamic-mheadsD*:

$\llbracket \text{emh} \in \text{mheads } G \text{ S stat}T \text{ sig};$
 $G, \text{stat}T \vdash \text{dyn}C \text{ valid-lookup-cls-for } (\text{is-static } \text{emh});$
 $\text{isrtype } G \text{ stat}T; \text{wf-prog } G$
 $\rrbracket \implies \exists m \in \text{dynlookup } G \text{ stat}T \text{ dyn}C \text{ sig}:$
 $\text{is-static } m = \text{is-static } \text{emh} \wedge G \vdash \text{resTy } m \preceq \text{resTy } \text{emh}$

 $\langle \text{proof} \rangle$ **declare** *split-paired-All* [simp] *split-paired-Ex* [simp] $\langle \text{ML} \rangle$ **lemma** *methd-declclass*:

$\llbracket \text{class } G \text{ C} = \text{Some } c; \text{wf-prog } G; \text{methd } G \text{ C sig} = \text{Some } m \rrbracket$
 $\implies \text{methd } G (\text{declclass } m) \text{ sig} = \text{Some } m$

 $\langle \text{proof} \rangle$ **lemma** *dynmethd-declclass*:

$\llbracket \text{dynmethd } G \text{ stat}C \text{ dyn}C \text{ sig} = \text{Some } m;$
 $\text{wf-prog } G; \text{is-class } G \text{ stat}C$
 $\rrbracket \implies \text{methd } G (\text{declclass } m) \text{ sig} = \text{Some } m$

 $\langle \text{proof} \rangle$ **lemma** *dynlookup-declC*:

$\llbracket \text{dynlookup } G \text{ stat}T \text{ dyn}C \text{ sig} = \text{Some } m; \text{wf-prog } G;$
 $\text{is-class } G \text{ dyn}C; \text{isrtype } G \text{ stat}T$
 $\rrbracket \implies G \vdash \text{dyn}C \preceq_C (\text{declclass } m) \wedge \text{is-class } G (\text{declclass } m)$

 $\langle \text{proof} \rangle$ **lemma** *dynlookup-Array-declclassD* [simp]:

$\llbracket \text{dynlookup } G (\text{Array}T T) \text{ Object sig} = \text{Some } dm; \text{wf-prog } G \rrbracket$
 $\implies \text{declclass } dm = \text{Object}$

$\langle \text{proof} \rangle$

declare *split-paired-All* [simp del] *split-paired-Ex* [simp del]
 $\langle ML \rangle$

lemma *wt-is-type*: $E, dt \models v :: T \implies \text{wf-prog } (\text{prg } E) \longrightarrow$
 $dt = \text{empty-dt} \longrightarrow (\text{case } T \text{ of}$
 $\quad \text{Inl } T \Rightarrow \text{is-type } (\text{prg } E) \ T$
 $\quad | \text{Inr } Ts \Rightarrow \text{Ball } (\text{set } Ts) (\text{is-type } (\text{prg } E)))$

$\langle \text{proof} \rangle$

declare *split-paired-All* [simp] *split-paired-Ex* [simp]
 $\langle ML \rangle$

lemma *ty-expr-is-type*:
 $\llbracket E \vdash e :: -T; \text{wf-prog } (\text{prg } E) \rrbracket \implies \text{is-type } (\text{prg } E) \ T$
 $\langle \text{proof} \rangle$

lemma *ty-var-is-type*:
 $\llbracket E \vdash v :: T; \text{wf-prog } (\text{prg } E) \rrbracket \implies \text{is-type } (\text{prg } E) \ T$
 $\langle \text{proof} \rangle$

lemma *ty-exprs-is-type*:
 $\llbracket E \vdash es :: Ts; \text{wf-prog } (\text{prg } E) \rrbracket \implies \text{Ball } (\text{set } Ts) (\text{is-type } (\text{prg } E))$
 $\langle \text{proof} \rangle$

lemma *static-mheadsD*:
 $\llbracket \text{emh} \in \text{mheads } G \ S \ t \ \text{sig}; \text{wf-prog } G; E \vdash e :: -\text{RefT } t; \text{prg } E = G ;$
 $\quad \text{invmode } (\text{mhd } \text{emh}) \ e \neq \text{IntVir}$
 $\rrbracket \implies \exists m. ((\exists C. t = \text{ClassT } C \wedge \text{accmethd } G \ S \ C \ \text{sig} = \text{Some } m)$
 $\quad \vee (\forall C. t \neq \text{ClassT } C \wedge \text{accmethd } G \ S \ \text{Object} \ \text{sig} = \text{Some } m)) \wedge$
 $\quad \text{declrefT } \text{emh} = \text{ClassT } (\text{declclass } m) \wedge \text{mhead } (\text{mthd } m) = (\text{mhd } \text{emh})$
 $\langle \text{proof} \rangle$

lemma *wt-MethdI*:
 $\llbracket \text{methd } G \ C \ \text{sig} = \text{Some } m; \text{wf-prog } G;$
 $\quad \text{class } G \ C = \text{Some } c \rrbracket \implies$
 $\exists T. (\llbracket \text{prg} = G, \text{cls} = (\text{declclass } m),$
 $\quad \text{lcl} = \text{callee-lcl } (\text{declclass } m) \ \text{sig } (\text{mthd } m) \rrbracket \vdash \text{Methd } C \ \text{sig} :: -T \wedge G \vdash T \preceq_{\text{resTy}} m$
 $\langle \text{proof} \rangle$

35 accessibility concerns

lemma *mheads-type-accessible*:
 $\llbracket \text{emh} \in \text{mheads } G \ S \ T \ \text{sig}; \text{wf-prog } G \rrbracket$
 $\implies G \vdash \text{RefT } T \text{ accessible-in } (\text{pid } S)$
 $\langle \text{proof} \rangle$

lemma *static-to-dynamic-accessible-from-aux*:
 $\llbracket G \vdash m \text{ of } C \text{ accessible-from } \text{accC}; \text{wf-prog } G \rrbracket$
 $\implies G \vdash m \text{ in } C \text{ dyn-accessible-from } \text{accC}$
 $\langle \text{proof} \rangle$

lemma *static-to-dynamic-accessible-from*:

assumes *stat-acc*: $G \vdash m$ of *statC* accessible-from *accC* **and**
subclseq: $G \vdash \text{dyn}C \preceq_C \text{stat}C$ **and**
wf: wf-prog *G*

shows $G \vdash m$ in *dynC* dyn-accessible-from *accC*

<proof>

lemma *static-to-dynamic-accessible-from-static*:

assumes *stat-acc*: $G \vdash m$ of *statC* accessible-from *accC* **and**
static: is-static *m* **and**
wf: wf-prog *G*

shows $G \vdash m$ in (declclass *m*) dyn-accessible-from *accC*

<proof>

lemma *dynmethd-member-in*:

assumes *m*: dynmethd *G statC dynC sig* = *Some m* **and**
iscls-statC: is-class *G statC* **and**
wf: wf-prog *G*

shows $G \vdash \text{Methd sig } m$ member-in *dynC*

<proof>

lemma *dynmethd-access-prop*:

assumes *statM*: methd *G statC sig* = *Some statM* **and**
stat-acc: $G \vdash \text{Methd sig } \text{statM}$ of *statC* accessible-from *accC* **and**
dynM: dynmethd *G statC dynC sig* = *Some dynM* **and**
wf: wf-prog *G*

shows $G \vdash \text{Methd sig } \text{dynM}$ in *dynC* dyn-accessible-from *accC*

<proof>

lemma *implmt-methd-access*:

fixes *accC*::qtname
assumes *iface-methd*: imethds *G I sig* $\neq \{\}$ **and**
implements: $G \vdash \text{dyn}C \rightsquigarrow I$ **and**
isif-I: is-iface *G I* **and**
wf: wf-prog *G*

shows $\exists \text{ dynM. methd } G \text{ dynC sig} = \text{Some dynM} \wedge$
 $G \vdash \text{Methd sig } \text{dynM}$ in *dynC* dyn-accessible-from *accC*

<proof>

corollary *implmt-dynimethd-access*:

fixes *accC*::qtname
assumes *iface-methd*: imethds *G I sig* $\neq \{\}$ **and**
implements: $G \vdash \text{dyn}C \rightsquigarrow I$ **and**
isif-I: is-iface *G I* **and**
wf: wf-prog *G*

shows $\exists \text{ dynM. dynimethd } G \text{ I dynC sig} = \text{Some dynM} \wedge$
 $G \vdash \text{Methd sig } \text{dynM}$ in *dynC* dyn-accessible-from *accC*

<proof>

lemma *dynlookup-access-prop*:

assumes *emh*: *emh* \in mheads *G accC statT sig* **and**
dynM: dynlookup *G statT dynC sig* = *Some dynM* **and**
dynC-prop: $G, \text{statT} \vdash \text{dynC}$ valid-lookup-cls-for is-static *emh* **and**

$isT\text{-}statT: isrtype\ G\ statT$ **and**
 $wf: wf\text{-}prog\ G$
shows $G \vdash Methd\ sig\ dynM\ in\ dynC\ dyn\text{-}accessible\text{-}from\ accC$
 $\langle proof \rangle$

lemma *dynlookup-access*:

assumes $emh: emh \in mheads\ G\ accC\ statT\ sig$ **and**
 $dynC\text{-}prop: G, statT \vdash dynC\ valid\text{-}lookup\text{-}cls\text{-}for\ (is\text{-}static\ emh)$ **and**
 $isT\text{-}statT: isrtype\ G\ statT$ **and**
 $wf: wf\text{-}prog\ G$
shows $\exists\ dynM. dynlookup\ G\ statT\ dynC\ sig = Some\ dynM \wedge$
 $G \vdash Methd\ sig\ dynM\ in\ dynC\ dyn\text{-}accessible\text{-}from\ accC$
 $\langle proof \rangle$

lemma *stat-overrides-Package-old*:

assumes $stat\text{-}override: G \vdash new\ overrides_S\ old$ **and**
 $accmodi\text{-}new: accmodi\ new = Package$ **and**
 $wf: wf\text{-}prog\ G$
shows $accmodi\ old = Package$
 $\langle proof \rangle$

Properties of dynamic accessibility

lemma *dyn-accessible-Private*:

assumes $dyn\text{-}acc: G \vdash m\ in\ C\ dyn\text{-}accessible\text{-}from\ accC$ **and**
 $priv: accmodi\ m = Private$
shows $accC = declclass\ m$
 $\langle proof \rangle$

dyn-accessible-Package only works with the *wf-prog* assumption. Without it, it is easy to leaf the Package!

lemma *dyn-accessible-Package*:

$\llbracket G \vdash m\ in\ C\ dyn\text{-}accessible\text{-}from\ accC; accmodi\ m = Package;$
 $wf\text{-}prog\ G \rrbracket$
 $\implies pid\ accC = pid\ (declclass\ m)$
 $\langle proof \rangle$

For fields we don't need the wellformedness of the program, since there is no overriding

lemma *dyn-accessible-field-Package*:

assumes $dyn\text{-}acc: G \vdash f\ in\ C\ dyn\text{-}accessible\text{-}from\ accC$ **and**
 $pack: accmodi\ f = Package$ **and**
 $field: is\text{-}field\ f$
shows $pid\ accC = pid\ (declclass\ f)$
 $\langle proof \rangle$

dyn-accessible-instance-field-Protected only works for fields since methods can break the package bounds due to overriding

lemma *dyn-accessible-instance-field-Protected*:

assumes $dyn\text{-}acc: G \vdash f\ in\ C\ dyn\text{-}accessible\text{-}from\ accC$ **and**
 $prot: accmodi\ f = Protected$ **and**
 $field: is\text{-}field\ f$ **and**
 $instance\text{-}field: \neg is\text{-}static\ f$ **and**
 $outside: pid\ (declclass\ f) \neq pid\ accC$
shows $G \vdash C \preceq_C accC$
 $\langle proof \rangle$

lemma *dyn-accessible-static-field-Protected*:
assumes *dyn-acc*: $G \vdash f \text{ in } C \text{ dyn-accessible-from } accC$ **and**
prot: $accmodi\ f = Protected$ **and**
field: *is-field* f **and**
static-field: *is-static* f **and**
outside: $pid\ (declclass\ f) \neq pid\ accC$
shows $G \vdash accC \preceq_C declclass\ f \wedge G \vdash C \preceq_C declclass\ f$
 $\langle proof \rangle$
end

Chapter 14

State

36 State for evaluation of Java expressions and statements

theory *State*
imports *DeclConcepts*
begin

design issues:

- all kinds of objects (class instances, arrays, and class objects) are handled via a general object abstraction
- the heap and the map for class objects are combined into a single table (*recall* $(loc, obj) \text{ table} \times (qname, obj) \text{ table} \sim = (loc + qname, obj) \text{ table}$)

objects

datatype *obj-tag* = — tag for generic object
 CInst qname — class instance
 | *Arr ty int* — array with component type and length
 — CStat *qname* the tag is irrelevant for a class object, i.e. the static fields of a class, since its type is given already by the reference to it (see below)

types *vn* = *fspec* + *int* — variable name
record *obj* =
 tag :: obj-tag — generalized object
 values :: (vn, val) table

translations

$(type) \text{ fspec} \leq (type) \text{ vname} \times \text{qname}$
 $(type) \text{ vn} \leq (type) \text{ fspec} + \text{int}$
 $(type) \text{ obj} \leq (type) (\text{tag}::\text{obj-tag}, \text{values}::\text{vn} \Rightarrow \text{val option})$
 $(type) \text{ obj} \leq (type) (\text{tag}::\text{obj-tag}, \text{values}::\text{vn} \Rightarrow \text{val option}, \dots::'a)$

definition *the-Arr* :: *obj option* \Rightarrow *ty* \times *int* \times (*vn, val*) *table* **where**
the-Arr obj \equiv *SOME* (*T,k,t*). *obj* = *Some* (*tag=Arr T k, values=t*)

lemma *the-Arr-Arr* [simp]: *the-Arr* (*Some* (*tag=Arr T k, values=cs*)) = (*T,k,cs*)
 <proof>

lemma *the-Arr-Arr1* [simp,intro,dest]:
 $\llbracket \text{tag } obj = \text{Arr } T \text{ } k \rrbracket \implies \text{the-Arr } (\text{Some } obj) = (T, k, \text{values } obj)$
 <proof>

definition *upd-obj* :: *vn* \Rightarrow *val* \Rightarrow *obj* \Rightarrow *obj* **where**
upd-obj n v \equiv $\lambda \text{ obj} . \text{obj } (\text{values} := (\text{values } obj)(n \mapsto v))$

lemma *upd-obj-def2* [simp]:
 $\text{upd-obj } n \text{ } v \text{ } obj = \text{obj } (\text{values} := (\text{values } obj)(n \mapsto v))$
 <proof>

definition *obj-ty* :: *obj* \Rightarrow *ty* **where**
obj-ty obj \equiv *case tag obj of*
 CInst C \Rightarrow *Class C*
 | *Arr T k* \Rightarrow *T*.[]

lemma *obj-ty-eq* [intro!]: $\text{obj-ty } (\text{tag}=oi, \text{values}=x) = \text{obj-ty } (\text{tag}=oi, \text{values}=y)$
 ⟨proof⟩

lemma *obj-ty-eq1* [intro!, dest]:
 $\text{tag } obj = \text{tag } obj' \implies \text{obj-ty } obj = \text{obj-ty } obj'$
 ⟨proof⟩

lemma *obj-ty-cong* [simp]:
 $\text{obj-ty } (obj \text{ (values:=vs)}) = \text{obj-ty } obj$
 ⟨proof⟩

lemma *obj-ty-CInst* [simp]:
 $\text{obj-ty } (\text{tag}=CInst \ C, \text{values}=vs) = \text{Class } C$
 ⟨proof⟩

lemma *obj-ty-CInst1* [simp, intro!, dest]:
 $\llbracket \text{tag } obj = CInst \ C \rrbracket \implies \text{obj-ty } obj = \text{Class } C$
 ⟨proof⟩

lemma *obj-ty-Arr* [simp]:
 $\text{obj-ty } (\text{tag}=Arr \ T \ i, \text{values}=vs) = T.[]$
 ⟨proof⟩

lemma *obj-ty-Arr1* [simp, intro!, dest]:
 $\llbracket \text{tag } obj = Arr \ T \ i \rrbracket \implies \text{obj-ty } obj = T.[]$
 ⟨proof⟩

lemma *obj-ty-widenD*:
 $G \vdash \text{obj-ty } obj \preceq_{RefT} t \implies (\exists C. \text{tag } obj = CInst \ C) \vee (\exists T \ k. \text{tag } obj = Arr \ T \ k)$
 ⟨proof⟩

definition *obj-class* :: $obj \Rightarrow qname$ **where**
 $\text{obj-class } obj \equiv \text{case tag } obj \text{ of}$
 $\quad CInst \ C \Rightarrow C$
 $\quad | Arr \ T \ k \Rightarrow Object$

lemma *obj-class-CInst* [simp]: $\text{obj-class } (\text{tag}=CInst \ C, \text{values}=vs) = C$
 ⟨proof⟩

lemma *obj-class-CInst1* [simp, intro!, dest]:
 $\text{tag } obj = CInst \ C \implies \text{obj-class } obj = C$
 ⟨proof⟩

lemma *obj-class-Arr* [simp]: $\text{obj-class } (\text{tag}=Arr \ T \ k, \text{values}=vs) = Object$
 ⟨proof⟩

lemma *obj-class-Arr1* [*simp,intro!,dest*]:
tag obj = Arr T k \implies obj-class obj = Object
<proof>

lemma *obj-ty-obj-class*: *G \vdash obj-ty obj \preceq Class statC = G \vdash obj-class obj \preceq_C statC*
<proof>

object references

types *oref* = *loc* + *qname* — generalized object reference

syntax

Heap :: *loc* \Rightarrow *oref*
Stat :: *qname* \Rightarrow *oref*

translations

Heap \Rightarrow *CONST Inl*
Stat \Rightarrow *CONST Inr*
(type) oref \leq *(type) loc* + *qname*

definition *fields-table* :: *prog* \Rightarrow *qname* \Rightarrow (*fspec* \Rightarrow *field* \Rightarrow *bool*) \Rightarrow (*fspec*, *ty*) *table* **where**
fields-table G C P
 \equiv *Option.map type* \circ *table-of* (*filter* (*split P*) (*DeclConcepts.fields G C*))

lemma *fields-table-SomeI*:
 $\llbracket \text{table-of } (\text{DeclConcepts.fields } G \ C) \ n = \text{Some } f; P \ n \ f \rrbracket$
 $\implies \text{fields-table } G \ C \ P \ n = \text{Some } (\text{type } f)$
<proof>

lemma *fields-table-SomeD'*: *fields-table G C P fn = Some T \implies*
 $\exists f. (fn, f) \in \text{set}(\text{DeclConcepts.fields } G \ C) \wedge \text{type } f = T$
<proof>

lemma *fields-table-SomeD*:
 $\llbracket \text{fields-table } G \ C \ P \ fn = \text{Some } T; \text{unique } (\text{DeclConcepts.fields } G \ C) \rrbracket \implies$
 $\exists f. \text{table-of } (\text{DeclConcepts.fields } G \ C) \ fn = \text{Some } f \wedge \text{type } f = T$
<proof>

definition *in-bounds* :: *int* \Rightarrow *int* \Rightarrow *bool* ((-/ *in'-bounds* -) [50, 51] 50) **where**
i in-bounds k $\equiv 0 \leq i \wedge i < k$

definition *arr-comps* :: '*a* \Rightarrow *int* \Rightarrow *int* \Rightarrow '*a* *option* **where**
arr-comps T k $\equiv \lambda i. \text{if } i \text{ in-bounds } k \text{ then } \text{Some } T \text{ else } \text{None}$

definition *var-tys* :: *prog* \Rightarrow *obj-tag* \Rightarrow *oref* \Rightarrow (*vn*, *ty*) *table* **where**
var-tys G oi r
 $\equiv \text{case } r \text{ of}$
 $\text{Heap } a \Rightarrow (\text{case } oi \text{ of}$
 $\text{CInst } C \Rightarrow \text{fields-table } G \ C \ (\lambda n \ f. \neg \text{static } f) \ (+) \ \text{empty}$
 $\mid \text{Arr } T \ k \Rightarrow \text{empty } (+) \ \text{arr-comps } T \ k)$
 $\mid \text{Stat } C \Rightarrow \text{fields-table } G \ C \ (\lambda fn \ f. \text{declclassf } fn = C \wedge \text{static } f)$
 $\quad (+) \ \text{empty}$

lemma *var-tys-Some-eq*:

var-tys G oi r n = *Some* T
 = (case r of
 $Inl\ a \Rightarrow$ (case oi of
 $CInst\ C \Rightarrow (\exists\ nt.\ n = Inl\ nt \wedge fields-table\ G\ C\ (\lambda n\ f.\$
 $\neg static\ f)\ nt = Some\ T)$
 | $Arr\ t\ k \Rightarrow (\exists\ i.\ n = Inr\ i \wedge i\ in-bounds\ k \wedge t = T)$)
 | $Inr\ C \Rightarrow (\exists\ nt.\ n = Inl\ nt \wedge$
 $fields-table\ G\ C\ (\lambda fn\ f.\ declclassf\ fn = C \wedge static\ f)\ nt$
 $= Some\ T))$
 $\langle proof \rangle$

stores

types *globs* — global variables: heap and static variables
 = (*oref* , *obj*) *table*
heap
 = (*loc* , *obj*) *table*

translations

(*type*) *globs* <= (*type*) (*oref* , *obj*) *table*
 (*type*) *heap* <= (*type*) (*loc* , *obj*) *table*

datatype *st* =
st *globs* *locals*

37 access

definition *globs* :: *st* \Rightarrow *globs* **where**
globs $\equiv st-case\ (\lambda g\ l.\ g)$

definition *locals* :: *st* \Rightarrow *locals* **where**
locals $\equiv st-case\ (\lambda g\ l.\ l)$

definition *heap* :: *st* \Rightarrow *heap* **where**
heap $s \equiv globs\ s \circ Heap$

lemma *globs-def2* [*simp*]: *globs* (*st* $g\ l$) = g
 $\langle proof \rangle$

lemma *locals-def2* [*simp*]: *locals* (*st* $g\ l$) = l
 $\langle proof \rangle$

lemma *heap-def2* [*simp*]: *heap* $s\ a = globs\ s\ (Heap\ a)$
 $\langle proof \rangle$

abbreviation *val-this* :: *st* \Rightarrow *val*
where *val-this* $s == the\ (locals\ s\ This)$

abbreviation *lookup-obj* :: *st* \Rightarrow *val* \Rightarrow *obj*
where *lookup-obj* $s\ a' == the\ (heap\ s\ (the-Addr\ a'))$

38 memory allocation

definition *new-Addr* :: *heap* \Rightarrow *loc option* **where**

new-Addr h \equiv if $(\forall a. h\ a \neq \text{None})$ then *None* else *Some (SOME a. h a = None)*

lemma *new-AddrD*: *new-Addr h = Some a \implies h a = None*

<proof>

lemma *new-AddrD2*: *new-Addr h = Some a $\implies \forall b. h\ b \neq \text{None} \longrightarrow b \neq a$*

<proof>

lemma *new-Addr-SomeI*: *h a = None $\implies \exists b. \text{new-Addr } h = \text{Some } b \wedge h\ b = \text{None}$*

<proof>

39 initialization

abbreviation *init-vals* :: (*'a, ty*) *table* \Rightarrow (*'a, val*) *table*

where *init-vals vs* == *Option.map default-val* \circ *vs*

lemma *init-arr-comps-base* [*simp*]: *init-vals (arr-comps T 0) = empty*

<proof>

lemma *init-arr-comps-step* [*simp*]:

0 < j $\implies \text{init-vals (arr-comps T j)} =$
init-vals (arr-comps T (j - 1))(j - 1 \mapsto default-val T)

<proof>

40 update

definition *gupd* :: *oref* \Rightarrow *obj* \Rightarrow *st* \Rightarrow *st* (*gupd'(\mapsto)*[10,10]1000) **where**

gupd r obj \equiv *st-case* ($\lambda g\ l. \text{st } (g(r \mapsto \text{obj}))\ l$)

definition *lupd* :: *lname* \Rightarrow *val* \Rightarrow *st* \Rightarrow *st* (*lupd'(\mapsto)*[10,10]1000) **where**

lupd vn v \equiv *st-case* ($\lambda g\ l. \text{st } g\ (l(vn \mapsto v))$)

definition *upd-gobj* :: *oref* \Rightarrow *vn* \Rightarrow *val* \Rightarrow *st* \Rightarrow *st* **where**

upd-gobj r n v \equiv *st-case* ($\lambda g\ l. \text{st } (\text{chg-map } (\text{upd-obj } n\ v)\ r\ g)\ l$)

definition *set-locals* :: *locals* \Rightarrow *st* \Rightarrow *st* **where**

set-locals l \equiv *st-case* ($\lambda g\ l'. \text{st } g\ l$)

definition *init-obj* :: *prog* \Rightarrow *obj-tag* \Rightarrow *oref* \Rightarrow *st* \Rightarrow *st* **where**

init-obj G oi r \equiv *gupd*($r \mapsto \langle \text{tag} = oi, \text{values} = \text{init-vals } (\text{var-tys } G\ oi\ r) \rangle$)

abbreviation

init-class-obj :: *prog* \Rightarrow *qtname* \Rightarrow *st* \Rightarrow *st*

where *init-class-obj G C* == *init-obj G undefined (Inr C)*

lemma *gupd-def2* [*simp*]: *gupd*($r \mapsto \text{obj}$) (*st g l*) = *st* ($g(r \mapsto \text{obj})$) *l*

<proof>

lemma *lupd-def2* [*simp*]: *lupd*($vn \mapsto v$) (*st g l*) = *st g* ($l(vn \mapsto v)$)

<proof>

lemma *globs-gupd* [simp]: $\text{globs } (\text{gupd}(r \mapsto \text{obj}) s) = \text{globs } s(r \mapsto \text{obj})$
 ⟨proof⟩

lemma *globs-lupd* [simp]: $\text{globs } (\text{lupd}(vn \mapsto v) s) = \text{globs } s$
 ⟨proof⟩

lemma *locals-gupd* [simp]: $\text{locals } (\text{gupd}(r \mapsto \text{obj}) s) = \text{locals } s$
 ⟨proof⟩

lemma *locals-lupd* [simp]: $\text{locals } (\text{lupd}(vn \mapsto v) s) = \text{locals } s(vn \mapsto v)$
 ⟨proof⟩

lemma *globs-upd-gobj-new* [rule-format (no-asm), simp]:
 $\text{globs } s \ r = \text{None} \longrightarrow \text{globs } (\text{upd-gobj } r \ n \ v \ s) = \text{globs } s$
 ⟨proof⟩

lemma *globs-upd-gobj-upd* [rule-format (no-asm), simp]:
 $\text{globs } s \ r = \text{Some } \text{obj} \longrightarrow \text{globs } (\text{upd-gobj } r \ n \ v \ s) = \text{globs } s(r \mapsto \text{upd-obj } n \ v \ \text{obj})$
 ⟨proof⟩

lemma *locals-upd-gobj* [simp]: $\text{locals } (\text{upd-gobj } r \ n \ v \ s) = \text{locals } s$
 ⟨proof⟩

lemma *globs-init-obj* [simp]: $\text{globs } (\text{init-obj } G \ \text{oi} \ r \ s) \ t =$
 $(\text{if } t=r \text{ then } \text{Some } (\text{tag}=\text{oi}, \text{values}=\text{init-vals } (\text{var-tys } G \ \text{oi} \ r)) \text{ else } \text{globs } s \ t)$
 ⟨proof⟩

lemma *locals-init-obj* [simp]: $\text{locals } (\text{init-obj } G \ \text{oi} \ r \ s) = \text{locals } s$
 ⟨proof⟩

lemma *surjective-st* [simp]: $\text{st } (\text{globs } s) (\text{locals } s) = s$
 ⟨proof⟩

lemma *surjective-st-init-obj*:
 $\text{st } (\text{globs } (\text{init-obj } G \ \text{oi} \ r \ s)) (\text{locals } s) = \text{init-obj } G \ \text{oi} \ r \ s$
 ⟨proof⟩

lemma *heap-heap-upd* [simp]:
 $\text{heap } (\text{st } (g(\text{Inl } a \mapsto \text{obj})) \ l) = \text{heap } (\text{st } g \ l)(a \mapsto \text{obj})$
 ⟨proof⟩

lemma *heap-stat-upd* [simp]: $\text{heap } (\text{st } (g(\text{Inr } C \mapsto \text{obj})) \ l) = \text{heap } (\text{st } g \ l)$
 ⟨proof⟩

lemma *heap-local-upd* [simp]: $\text{heap } (\text{st } g \ (l(vn \mapsto v))) = \text{heap } (\text{st } g \ l)$

$\langle \text{proof} \rangle$

lemma *heap-gupd-Heap* [simp]: $\text{heap } (\text{gupd}(\text{Heap } a \mapsto \text{obj}) s) = \text{heap } s(a \mapsto \text{obj})$
 $\langle \text{proof} \rangle$

lemma *heap-gupd-Stat* [simp]: $\text{heap } (\text{gupd}(\text{Stat } C \mapsto \text{obj}) s) = \text{heap } s$
 $\langle \text{proof} \rangle$

lemma *heap-lupd* [simp]: $\text{heap } (\text{lupd}(vn \mapsto v) s) = \text{heap } s$
 $\langle \text{proof} \rangle$

lemma *heap-upd-gobj-Stat* [simp]: $\text{heap } (\text{upd-gobj } (\text{Stat } C) n v s) = \text{heap } s$
 $\langle \text{proof} \rangle$

lemma *set-locals-def2* [simp]: $\text{set-locals } l (st \ g \ l') = st \ g \ l$
 $\langle \text{proof} \rangle$

lemma *set-locals-id* [simp]: $\text{set-locals } (\text{locals } s) s = s$
 $\langle \text{proof} \rangle$

lemma *set-set-locals* [simp]: $\text{set-locals } l (\text{set-locals } l' s) = \text{set-locals } l s$
 $\langle \text{proof} \rangle$

lemma *locals-set-locals* [simp]: $\text{locals } (\text{set-locals } l s) = l$
 $\langle \text{proof} \rangle$

lemma *globs-set-locals* [simp]: $\text{globs } (\text{set-locals } l s) = \text{globs } s$
 $\langle \text{proof} \rangle$

lemma *heap-set-locals* [simp]: $\text{heap } (\text{set-locals } l s) = \text{heap } s$
 $\langle \text{proof} \rangle$

abrupt completion

consts

the-Xcpt :: $\text{abrupt} \Rightarrow \text{xcpt}$
the-Jump :: $\text{abrupt} \Rightarrow \text{jump}$
the-Loc :: $\text{xcpt} \Rightarrow \text{loc}$
the-Std :: $\text{xcpt} \Rightarrow \text{xname}$

primrec *the-Xcpt* (*Xcpt* x) = x
primrec *the-Jump* (*Jump* j) = j
primrec *the-Loc* (*Loc* a) = a
primrec *the-Std* (*Std* x) = x

definition *abrupt-if* :: $\text{bool} \Rightarrow \text{abopt} \Rightarrow \text{abopt} \Rightarrow \text{abopt}$ **where**
abrupt-if $c \ x' \ x \equiv \text{if } c \wedge (x = \text{None}) \text{ then } x' \text{ else } x$

lemma *abrupt-if-True-None* [simp]: *abrupt-if True x None = x*
 ⟨proof⟩

lemma *abrupt-if-True-not-None* [simp]: $x \neq \text{None} \implies \text{abrupt-if True } x \ y \neq \text{None}$
 ⟨proof⟩

lemma *abrupt-if-False* [simp]: *abrupt-if False x y = y*
 ⟨proof⟩

lemma *abrupt-if-Some* [simp]: *abrupt-if c x (Some y) = Some y*
 ⟨proof⟩

lemma *abrupt-if-not-None* [simp]: $y \neq \text{None} \implies \text{abrupt-if } c \ x \ y = y$
 ⟨proof⟩

lemma *split-abrupt-if*:
 $P \ (\text{abrupt-if } c \ x' \ x) =$
 $((c \wedge x = \text{None} \longrightarrow P \ x') \wedge (\neg (c \wedge x = \text{None}) \longrightarrow P \ x))$
 ⟨proof⟩

abbreviation *raise-if* :: $\text{bool} \Rightarrow \text{xname} \Rightarrow \text{abopt} \Rightarrow \text{abopt}$
 where *raise-if* *c xn* == *abrupt-if c (Some (Xcpt (Std xn)))*

abbreviation *np* :: $\text{val} \Rightarrow \text{abopt} \Rightarrow \text{abopt}$
 where *np v* == *raise-if (v = Null) NullPointer*

abbreviation *check-neg* :: $\text{val} \Rightarrow \text{abopt} \Rightarrow \text{abopt}$
 where *check-neg i'* == *raise-if (the-Intg i' < 0) NegArrSize*

abbreviation *error-if* :: $\text{bool} \Rightarrow \text{error} \Rightarrow \text{abopt} \Rightarrow \text{abopt}$
 where *error-if c e* == *abrupt-if c (Some (Error e))*

lemma *raise-if-None* [simp]: $(\text{raise-if } c \ x \ y = \text{None}) = (\neg c \wedge y = \text{None})$
 ⟨proof⟩

declare *raise-if-None* [THEN iffD1, dest!]

lemma *if-raise-if-None* [simp]:
 $((\text{if } b \text{ then } y \text{ else } \text{raise-if } c \ x \ y) = \text{None}) = ((c \longrightarrow b) \wedge y = \text{None})$
 ⟨proof⟩

lemma *raise-if-SomeD* [dest!]:
 $\text{raise-if } c \ x \ y = \text{Some } z \implies c \wedge z = (\text{Xcpt } (\text{Std } x)) \wedge y = \text{None} \vee (y = \text{Some } z)$
 ⟨proof⟩

lemma *error-if-None* [simp]: $(\text{error-if } c \ e \ y = \text{None}) = (\neg c \wedge y = \text{None})$
 ⟨proof⟩

declare *error-if-None* [THEN iffD1, dest!]

lemma *if-error-if-None* [simp]:
 $((\text{if } b \text{ then } y \text{ else error-if } c \ e \ y) = \text{None}) = ((c \longrightarrow b) \wedge y = \text{None})$
 ⟨proof⟩

lemma *error-if-SomeD* [dest!]:
 $\text{error-if } c \ e \ y = \text{Some } z \implies c \wedge z = (\text{Error } e) \wedge y = \text{None} \vee (y = \text{Some } z)$
 ⟨proof⟩

definition *absorb* :: $\text{jump} \Rightarrow \text{abopt} \Rightarrow \text{abopt}$ **where**
 $\text{absorb } j \ a \equiv \text{if } a = \text{Some } (\text{Jump } j) \text{ then } \text{None} \text{ else } a$

lemma *absorb-SomeD* [dest!]: $\text{absorb } j \ a = \text{Some } x \implies a = \text{Some } x$
 ⟨proof⟩

lemma *absorb-same* [simp]: $\text{absorb } j \ (\text{Some } (\text{Jump } j)) = \text{None}$
 ⟨proof⟩

lemma *absorb-other* [simp]: $a \neq \text{Some } (\text{Jump } j) \implies \text{absorb } j \ a = a$
 ⟨proof⟩

lemma *absorb-Some-NoneD*: $\text{absorb } j \ (\text{Some } \text{abr}) = \text{None} \implies \text{abr} = \text{Jump } j$
 ⟨proof⟩

lemma *absorb-Some-JumpD*: $\text{absorb } j \ s = \text{Some } (\text{Jump } j') \implies j' \neq j$
 ⟨proof⟩

full program state

types

$\text{state} = \text{abopt} \times \text{st}$ — state including abruption information

translations

$(\text{type}) \ \text{abopt} \leq (\text{type}) \ \text{abrupt option}$
 $(\text{type}) \ \text{state} \leq (\text{type}) \ \text{abopt} \times \text{st}$

abbreviation

$\text{Norm} :: \text{st} \Rightarrow \text{state}$
where $\text{Norm } s == (\text{None}, s)$

abbreviation (input)

$\text{abrupt} :: \text{state} \Rightarrow \text{abopt}$
where $\text{abrupt} == \text{fst}$

abbreviation (input)

$\text{store} :: \text{state} \Rightarrow \text{st}$
where $\text{store} == \text{snd}$

lemma *single-stateE*: $\forall Z. Z = (s :: \text{state}) \implies \text{False}$
 ⟨proof⟩

lemma *state-not-single*: *All (op = (x::state)) $\implies R$*
 $\langle \text{proof} \rangle$

definition *normal* :: *state \Rightarrow bool* **where**
normal $\equiv \lambda s. \text{abrupt } s = \text{None}$

lemma *normal-def2* [*simp*]: *normal s = (abrupt s = None)*
 $\langle \text{proof} \rangle$

definition *heap-free* :: *nat \Rightarrow state \Rightarrow bool* **where**
heap-free $n \equiv \lambda s. \text{atleast-free } (\text{heap } (\text{store } s)) \ n$

lemma *heap-free-def2* [*simp*]: *heap-free n s = atleast-free (heap (store s)) n*
 $\langle \text{proof} \rangle$

41 update

definition *abupd* :: (*abopt \Rightarrow abopt*) \Rightarrow *state \Rightarrow state* **where**
abupd $f \equiv \text{prod-fun } f \ \text{id}$

definition *supd* :: (*st \Rightarrow st*) \Rightarrow *state \Rightarrow state* **where**
supd $\equiv \text{prod-fun } \text{id}$

lemma *abupd-def2* [*simp*]: *abupd f (x,s) = (f x,s)*
 $\langle \text{proof} \rangle$

lemma *abupd-abrupt-if-False* [*simp*]: $\bigwedge s. \text{abupd } (\text{abrupt-if } \text{False } x_0) \ s = s$
 $\langle \text{proof} \rangle$

lemma *supd-def2* [*simp*]: *supd f (x,s) = (x,f s)*
 $\langle \text{proof} \rangle$

lemma *supd-lupd* [*simp*]:
 $\bigwedge s. \text{supd } (\text{lupd } v_1 \ v_2) \ s = (\text{abrupt } s, \text{lupd } v_1 \ v_2 \ (\text{store } s))$
 $\langle \text{proof} \rangle$

lemma *supd-gupd* [*simp*]:
 $\bigwedge s. \text{supd } (\text{gupd } r \ \text{obj}) \ s = (\text{abrupt } s, \text{gupd } r \ \text{obj} \ (\text{store } s))$
 $\langle \text{proof} \rangle$

lemma *supd-init-obj* [*simp*]:
 $\text{supd } (\text{init-obj } G \ \text{oi } r) \ s = (\text{abrupt } s, \text{init-obj } G \ \text{oi } r \ (\text{store } s))$
 $\langle \text{proof} \rangle$

lemma *abupd-store-invariant* [*simp*]: *store (abupd f s) = store s*
 $\langle \text{proof} \rangle$

lemma *supd-abrupt-invariant* [simp]: $\text{abrupt } (\text{supd } f \ s) = \text{abrupt } s$
 ⟨proof⟩

abbreviation *set-lvars* :: $\text{locals} \Rightarrow \text{state} \Rightarrow \text{state}$
where *set-lvars* $l == \text{supd } (\text{set-locals } l)$

abbreviation *restore-lvars* :: $\text{state} \Rightarrow \text{state} \Rightarrow \text{state}$
where *restore-lvars* $s' \ s == \text{set-lvars } (\text{locals } (\text{store } s')) \ s$

lemma *set-set-lvars* [simp]: $\bigwedge s. \text{set-lvars } l \ (\text{set-lvars } l' \ s) = \text{set-lvars } l \ s$
 ⟨proof⟩

lemma *set-lvars-id* [simp]: $\bigwedge s. \text{set-lvars } (\text{locals } (\text{store } s)) \ s = s$
 ⟨proof⟩

initialisation test

definition *initd* :: $\text{qname} \Rightarrow \text{globs} \Rightarrow \text{bool}$ **where**
initd $C \ g \equiv g \ (\text{Stat } C) \neq \text{None}$

definition *initd* :: $\text{qname} \Rightarrow \text{state} \Rightarrow \text{bool}$ **where**
initd $C \equiv \text{initd } C \circ \text{globs} \circ \text{store}$

lemma *not-initd-empty* [simp]: $\neg \text{initd } C \ \text{empty}$
 ⟨proof⟩

lemma *initd-gupdate* [simp]: $\text{initd } C \ (g(r \mapsto \text{obj})) = (\text{initd } C \ g \vee r = \text{Stat } C)$
 ⟨proof⟩

lemma *initd-init-class-obj* [intro!]: $\text{initd } C \ (\text{globs } (\text{init-class-obj } G \ C \ s))$
 ⟨proof⟩

lemma *not-initdD*: $\neg \text{initd } C \ g \implies g \ (\text{Stat } C) = \text{None}$
 ⟨proof⟩

lemma *initdD*: $\text{initd } C \ g \implies \exists \text{ obj. } g \ (\text{Stat } C) = \text{Some } \text{obj}$
 ⟨proof⟩

lemma *initd-def2* [simp]: $\text{initd } C \ s = \text{initd } C \ (\text{globs } (\text{store } s))$
 ⟨proof⟩

error-free

definition *error-free* :: $\text{state} \Rightarrow \text{bool}$ **where**
error-free $s \equiv \neg (\exists \text{ err. } \text{abrupt } s = \text{Some } (\text{Error } \text{err}))$

lemma *error-free-Norm* [simp,intro]: $\text{error-free } (\text{Norm } s)$
 ⟨proof⟩

lemma *error-free-normal* [simp,intro]: *normal s \implies error-free s*
 ⟨proof⟩

lemma *error-free-Xcpt* [simp]: *error-free (Some (Xcpt x),s)*
 ⟨proof⟩

lemma *error-free-Jump* [simp,intro]: *error-free (Some (Jump j),s)*
 ⟨proof⟩

lemma *error-free-Error* [simp]: *error-free (Some (Error e),s) = False*
 ⟨proof⟩

lemma *error-free-Some* [simp,intro]:
 $\neg (\exists \text{ err. } x = \text{Error err}) \implies \text{error-free } ((\text{Some } x),s)$
 ⟨proof⟩

lemma *error-free-abupd-absorb* [simp,intro]:
error-free s \implies error-free (abupd (absorb j) s)
 ⟨proof⟩

lemma *error-free-absorb* [simp,intro]:
error-free (a,s) \implies error-free (absorb j a, s)
 ⟨proof⟩

lemma *error-free-abrupt-if* [simp,intro]:
 $\llbracket \text{error-free } s; \neg (\exists \text{ err. } x = \text{Error err}) \rrbracket$
 $\implies \text{error-free } (\text{abupd } (\text{abrupt-if } p \text{ (Some } x)) \text{ } s)$
 ⟨proof⟩

lemma *error-free-abrupt-if1* [simp,intro]:
 $\llbracket \text{error-free } (a,s); \neg (\exists \text{ err. } x = \text{Error err}) \rrbracket$
 $\implies \text{error-free } (\text{abrupt-if } p \text{ (Some } x) \text{ } a, s)$
 ⟨proof⟩

lemma *error-free-abrupt-if-Xcpt* [simp,intro]:
error-free s
 $\implies \text{error-free } (\text{abupd } (\text{abrupt-if } p \text{ (Some (Xcpt x))}) \text{ } s)$
 ⟨proof⟩

lemma *error-free-abrupt-if-Xcpt1* [simp,intro]:
error-free (a,s)
 $\implies \text{error-free } (\text{abrupt-if } p \text{ (Some (Xcpt x)) } \text{ } a, s)$
 ⟨proof⟩

lemma *error-free-abrupt-if-Jump* [simp,intro]:
error-free s
 $\implies \text{error-free } (\text{abupd } (\text{abrupt-if } p \text{ (Some (Jump j))}) \text{ } s)$
 ⟨proof⟩

lemma *error-free-abrupt-if-Jump1* [*simp,intro*]:
 $\text{error-free } (a,s) \implies \text{error-free } (\text{abrupt-if } p \text{ (Some (Jump } j)) \text{ } a, s)$
 <proof>

lemma *error-free-raise-if* [*simp,intro*]:
 $\text{error-free } s \implies \text{error-free } (\text{abupd } (\text{raise-if } p \text{ } x) \text{ } s)$
 <proof>

lemma *error-free-raise-if1* [*simp,intro*]:
 $\text{error-free } (a,s) \implies \text{error-free } ((\text{raise-if } p \text{ } x \text{ } a), s)$
 <proof>

lemma *error-free-supd* [*simp,intro*]:
 $\text{error-free } s \implies \text{error-free } (\text{supd } f \text{ } s)$
 <proof>

lemma *error-free-supd1* [*simp,intro*]:
 $\text{error-free } (a,s) \implies \text{error-free } (a, f \text{ } s)$
 <proof>

lemma *error-free-set-lvars* [*simp,intro*]:
 $\text{error-free } s \implies \text{error-free } ((\text{set-lvars } l) \text{ } s)$
 <proof>

lemma *error-free-set-locals* [*simp,intro*]:
 $\text{error-free } (x, s) \implies \text{error-free } (x, \text{set-locals } l \text{ } s')$
 <proof>

end

Chapter 15

Eval

42 Operational evaluation (big-step) semantics of Java expressions and statements

theory *Eval* imports *State DeclConcepts* begin

improvements over Java Specification 1.0:

- dynamic method lookup does not need to consider the return type (cf.15.11.4.4)
- throw raises a NullPointerException if a null reference is given, and each throw of a standard exception yield a fresh exception object (was not specified)
- if there is not enough memory even to allocate an OutOfMemory exception, evaluation/execution fails, i.e. simply stops (was not specified)
- array assignment checks lhs (and may throw exceptions) before evaluating rhs
- fixed exact positions of class initializations (immediate at first active use)

design issues:

- evaluation vs. (single-step) transition semantics evaluation semantics chosen, because:
 - ++ less verbose and therefore easier to read (and to handle in proofs)
 - + more abstract
 - + intermediate values (appearing in recursive rules) need not be stored explicitly, e.g. no call body construct or stack of invocation frames containing local variables and return addresses for method calls needed
 - + convenient rule induction for subject reduction theorem
 - no interleaving (for parallelism) can be described
 - stating a property of infinite executions requires the meta-level argument that this property holds for any finite prefixes of it (e.g. stopped using a counter that is decremented to zero and then throwing an exception)
- unified evaluation for variables, expressions, expression lists, statements
- the value entry in statement rules is redundant
- the value entry in rules is irrelevant in case of exceptions, but its full inclusion helps to make the rule structure independent of exception occurrence.
- as irrelevant value entries are ignored, it does not matter if they are unique. For simplicity, (fixed) arbitrary values are preferred over "free" values.
- the rule format is such that the start state may contain an exception.
 - ++ facilitates exception handling
 - + symmetry
- the rules are defined carefully in order to be applicable even in not type-correct situations (yielding undefined values), e.g. *the-Addr (Val (Bool b)) = undefined*.
 - ++ fewer rules
 - less readable because of auxiliary functions like *the-Addr*

Alternative: "defensive" evaluation throwing some InternalError exception in case of (impossible, for correct programs) type mismatches

- there is exactly one rule per syntactic construct
 - + no redundancy in case distinctions
- `hallocc` fails iff there is no free heap address. When there is only one free heap address left, it returns an `OutOfMemory` exception. In this way it is guaranteed that when an `OutOfMemory` exception is thrown for the first time, there is a free location on the heap to allocate it.
- the allocation of objects that represent standard exceptions is deferred until execution of any enclosing catch clause, which is transparent to the program.
 - requires an auxiliary execution relation
 - ++ avoids copies of allocation code and awkward case distinctions (whether there is enough memory to allocate the exception) in evaluation rules
- unfortunately `new-Addr` is not directly executable because of Hilbert operator.

simplifications:

- local variables are initialized with default values (no definite assignment)
- garbage collection not considered, therefore also no finalizers
- stack overflow and memory overflow during class initialization not modelled
- exceptions in initializations not replaced by `ExceptionInInitializerError`

types $vvar = val \times (val \Rightarrow state \Rightarrow state)$
 $vals = (val, vvar, val\ list)\ sum3$

translations

$(type)\ vvar \leq (type)\ val \times (val \Rightarrow state \Rightarrow state)$
 $(type)\ vals \leq (type)\ (val, vvar, val\ list)\ sum3$

To avoid redundancy and to reduce the number of rules, there is only one evaluation rule for each syntactic term. This is also true for variables (e.g. see the rules below for *LVar*, *FVar* and *AVar*). So evaluation of a variable must capture both possible further uses: read (rule *Acc*) or write (rule *Ass*) to the variable. Therefore a variable evaluates to a special value *vvar*, which is a pair, consisting of the current value (for later read access) and an update function (for later write access). Because during assignment to an array variable an exception may occur if the types don't match, the update function is very generic: it transforms the full state. This generic update function causes some technical trouble during some proofs (e.g. type safety, correctness of definite assignment). There we need to prove some additional invariant on this update function to prove the assignment correct, since the update function could potentially alter the whole state in an arbitrary manner. This invariant must be carried around through the whole induction. So for future approaches it may be better not to take such a generic update function, but only to store the address and the kind of variable (array (+ element type), local variable or field) for later assignment.

abbreviation (*xsymbols*)

$dummy-res :: vals\ (\Diamond)$
where $\Diamond == In1\ Unit$

abbreviation (*input*)

$val-inj-vals\ (\lfloor \cdot \rfloor_e\ 1000)$
where $\lfloor e \rfloor_e == In1\ e$

abbreviation (*input*)

$var-inj-vals\ (\lfloor \cdot \rfloor_v\ 1000)$
where $\lfloor v \rfloor_v == In2\ v$

abbreviation (*input*)
lst-inj-vals ($\lfloor _ \rfloor_l$ 1000)
where $\lfloor es \rfloor_l == In3\ es$

definition *undefined3* :: (*'al* + *'ar*, *'b*, *'c*) *sum3* \Rightarrow *vals* **where**
undefined3 \equiv *sum3-case* (*In1* \circ *sum-case* ($\lambda x.$ *undefined*) ($\lambda x.$ *Unit*))
 $(\lambda x.$ *In2 undefined*) ($\lambda x.$ *In3 undefined*)

lemma [*simp*]: *undefined3* (*In1l* *x*) = *In1 undefined*
 $\langle proof \rangle$

lemma [*simp*]: *undefined3* (*In1r* *x*) = \Diamond
 $\langle proof \rangle$

lemma [*simp*]: *undefined3* (*In2* *x*) = *In2 undefined*
 $\langle proof \rangle$

lemma [*simp*]: *undefined3* (*In3* *x*) = *In3 undefined*
 $\langle proof \rangle$

exception throwing and catching

definition *throw* :: *val* \Rightarrow *abopt* \Rightarrow *abopt* **where**
throw *a' x* \equiv *abrupt-if* *True* (*Some* (*Xcpt* (*Loc* (*the-Addr* *a'*)))) (*np* *a' x*)

lemma *throw-def2*:
throw *a' x* = *abrupt-if* *True* (*Some* (*Xcpt* (*Loc* (*the-Addr* *a'*)))) (*np* *a' x*)
 $\langle proof \rangle$

definition *fits* :: *prog* \Rightarrow *st* \Rightarrow *val* \Rightarrow *ty* \Rightarrow *bool* ($_, \vdash _$ *fits* $\text{-}[61,61,61,61]60$) **where**
 $G, s \vdash a' \text{ fits } T \equiv (\exists rt. T = RefT\ rt) \longrightarrow a' = Null \vee G \vdash obj\text{-}ty(lookup\text{-}obj\ s\ a') \preceq T$

lemma *fits-Null* [*simp*]: $G, s \vdash Null \text{ fits } T$
 $\langle proof \rangle$

lemma *fits-Addr-RefT* [*simp*]:
 $G, s \vdash Addr\ a \text{ fits } RefT\ t = G \vdash obj\text{-}ty(\text{the } (heap\ s\ a)) \preceq RefT\ t$
 $\langle proof \rangle$

lemma *fitsD*: $\bigwedge X. G, s \vdash a' \text{ fits } T \implies (\exists pt. T = PrimT\ pt) \vee$
 $(\exists t. T = RefT\ t) \wedge a' = Null \vee$
 $(\exists t. T = RefT\ t) \wedge a' \neq Null \wedge G \vdash obj\text{-}ty(lookup\text{-}obj\ s\ a') \preceq T$
 $\langle proof \rangle$

definition *catch* :: *prog* \Rightarrow *state* \Rightarrow *qname* \Rightarrow *bool* ($_, \vdash _$ *catch* $\text{-}[61,61,61]60$) **where**
 $G, s \vdash catch\ C \equiv \exists xc. abrupt\ s = Some\ (Xcpt\ xc) \wedge$
 $G, store\ s \vdash Addr\ (\text{the-}Loc\ xc) \text{ fits } Class\ C$

lemma *catch-Norm* [simp]: $\neg G, \text{Norm } s \vdash \text{catch } tn$
 ⟨proof⟩

lemma *catch-XcptLoc* [simp]:
 $G, (\text{Some } (\text{Xcpt } (\text{Loc } a)), s) \vdash \text{catch } C = G, s \vdash \text{Addr } a \text{ fits Class } C$
 ⟨proof⟩

lemma *catch-Jump* [simp]: $\neg G, (\text{Some } (\text{Jump } j), s) \vdash \text{catch } tn$
 ⟨proof⟩

lemma *catch-Error* [simp]: $\neg G, (\text{Some } (\text{Error } e), s) \vdash \text{catch } tn$
 ⟨proof⟩

definition *new-xcpt-var* :: $\text{vname} \Rightarrow \text{state} \Rightarrow \text{state}$ **where**
 $\text{new-xcpt-var } vn \equiv$
 $\lambda(x, s). \text{Norm } (\text{lupd}(\text{VName } vn \mapsto \text{Addr } (\text{the-Loc } (\text{the-Xcpt } (\text{the } x)))) s)$

lemma *new-xcpt-var-def2* [simp]:
 $\text{new-xcpt-var } vn (x, s) =$
 $\text{Norm } (\text{lupd}(\text{VName } vn \mapsto \text{Addr } (\text{the-Loc } (\text{the-Xcpt } (\text{the } x)))) s)$
 ⟨proof⟩

misc

definition *assign* :: $(a \Rightarrow \text{state} \Rightarrow \text{state}) \Rightarrow a \Rightarrow \text{state} \Rightarrow \text{state}$ **where**
 $\text{assign } f v \equiv \lambda(x, s). \text{let } (x', s') = (\text{if } x = \text{None} \text{ then } f v \text{ else id}) (x, s)$
 $\text{in } (x', \text{if } x' = \text{None} \text{ then } s' \text{ else } s)$

lemma *assign-Norm-Norm* [simp]:
 $f v (\text{Norm } s) = \text{Norm } s' \implies \text{assign } f v (\text{Norm } s) = \text{Norm } s'$
 ⟨proof⟩

lemma *assign-Norm-Some* [simp]:
 $\llbracket \text{abrupt } (f v (\text{Norm } s)) = \text{Some } y \rrbracket$
 $\implies \text{assign } f v (\text{Norm } s) = (\text{Some } y, s)$
 ⟨proof⟩

lemma *assign-Some* [simp]:
 $\text{assign } f v (\text{Some } x, s) = (\text{Some } x, s)$
 ⟨proof⟩

lemma *assign-Some1* [simp]: $\neg \text{normal } s \implies \text{assign } f v s = s$
 ⟨proof⟩

lemma *assign-supd* [simp]:

assign ($\lambda v. \text{supd } (f v)$) $v \ (x, s)$
 $= (x, \text{if } x = \text{None} \text{ then } f v \ s \text{ else } s)$
 $\langle \text{proof} \rangle$

lemma *assign-raise-if* [simp]:
 $\text{assign } (\lambda v \ (x, s). ((\text{raise-if } (b \ s \ v) \ \text{xcpt}) \ x, f \ v \ s)) \ v \ (x, s) =$
 $(\text{raise-if } (b \ s \ v) \ \text{xcpt} \ x, \text{if } x = \text{None} \wedge \neg b \ s \ v \text{ then } f \ v \ s \text{ else } s)$
 $\langle \text{proof} \rangle$

definition *init-comp-ty* :: $ty \Rightarrow \text{stmt} \Rightarrow \text{where}$
 $\text{init-comp-ty } T \equiv \text{if } (\exists C. T = \text{Class } C) \text{ then } \text{Init } (\text{the-Class } T) \text{ else } \text{Skip}$

lemma *init-comp-ty-PrimT* [simp]: $\text{init-comp-ty } (\text{PrimT } pt) = \text{Skip}$
 $\langle \text{proof} \rangle$

definition *invocation-class* :: $\text{inv-mode} \Rightarrow st \Rightarrow val \Rightarrow \text{ref-ty} \Rightarrow qname \Rightarrow \text{where}$
 $\text{invocation-class } m \ s \ a' \ \text{statT}$
 $\equiv (\text{case } m \text{ of}$
 $\quad \text{Static} \Rightarrow \text{if } (\exists \text{ statC}. \text{statT} = \text{ClassT } \text{statC})$
 $\quad \quad \text{then } \text{the-Class } (\text{RefT } \text{statT})$
 $\quad \quad \text{else } \text{Object}$
 $\quad | \text{SuperM} \Rightarrow \text{the-Class } (\text{RefT } \text{statT})$
 $\quad | \text{IntVir} \Rightarrow \text{obj-class } (\text{lookup-obj } s \ a'))$

definition *invocation-declclass* :: $\text{prog} \Rightarrow \text{inv-mode} \Rightarrow st \Rightarrow val \Rightarrow \text{ref-ty} \Rightarrow sig \Rightarrow qname \Rightarrow \text{where}$
 $\text{invocation-declclass } G \ m \ s \ a' \ \text{statT} \ sig$
 $\equiv \text{declclass } (\text{the } (\text{dynlookup } G \ \text{statT}$
 $\quad (\text{invocation-class } m \ s \ a' \ \text{statT})$
 $\quad sig))$

lemma *invocation-class-IntVir* [simp]:
 $\text{invocation-class } \text{IntVir } s \ a' \ \text{statT} = \text{obj-class } (\text{lookup-obj } s \ a')$
 $\langle \text{proof} \rangle$

lemma *dynclass-SuperM* [simp]:
 $\text{invocation-class } \text{SuperM } s \ a' \ \text{statT} = \text{the-Class } (\text{RefT } \text{statT})$
 $\langle \text{proof} \rangle$

lemma *invocation-class-Static* [simp]:
 $\text{invocation-class } \text{Static } s \ a' \ \text{statT} = (\text{if } (\exists \text{ statC}. \text{statT} = \text{ClassT } \text{statC})$
 $\quad \text{then } \text{the-Class } (\text{RefT } \text{statT})$
 $\quad \text{else } \text{Object})$
 $\langle \text{proof} \rangle$

definition *init-lvars* :: $\text{prog} \Rightarrow qname \Rightarrow sig \Rightarrow \text{inv-mode} \Rightarrow val \Rightarrow \text{val list} \Rightarrow$
 $\text{state} \Rightarrow \text{state} \Rightarrow \text{where}$
 $\text{init-lvars } G \ C \ sig \ \text{mode} \ a' \ pvs$
 $\equiv \lambda \ (x, s).$
 $\quad \text{let } m = \text{methd } (\text{the } (\text{methd } G \ C \ sig));$
 $\quad \quad l = \lambda \ k.$
 $\quad \quad (\text{case } k \text{ of}$

$$\begin{aligned}
& EName\ e \\
& \Rightarrow (case\ e\ of \\
& \quad VName\ v \Rightarrow (empty\ ((pars\ m)[\mapsto]pvs))\ v \\
& \quad | Res \Rightarrow None) \\
& | This \\
& \Rightarrow (if\ mode=Static\ then\ None\ else\ Some\ a') \\
& in\ set-lvars\ l\ (if\ mode = Static\ then\ x\ else\ np\ a'\ x,s)
\end{aligned}$$

lemma *init-lvars-def2*: — better suited for simplification

$$\begin{aligned}
& init-lvars\ G\ C\ sig\ mode\ a'\ pvs\ (x,s) = \\
& \quad set-lvars \\
& \quad (\lambda\ k. \\
& \quad \quad (case\ k\ of \\
& \quad \quad \quad EName\ e \\
& \quad \quad \quad \Rightarrow (case\ e\ of \\
& \quad \quad \quad \quad VName\ v \\
& \quad \quad \quad \quad \Rightarrow (empty\ ((pars\ (mthd\ (the\ (methd\ G\ C\ sig))))[\mapsto]pvs))\ v \\
& \quad \quad \quad \quad | Res \Rightarrow None) \\
& \quad \quad \quad | This \\
& \quad \quad \quad \Rightarrow (if\ mode=Static\ then\ None\ else\ Some\ a')) \\
& \quad \quad (if\ mode = Static\ then\ x\ else\ np\ a'\ x,s) \\
& \langle proof \rangle
\end{aligned}$$

definition *body* :: *prog* \Rightarrow *qname* \Rightarrow *sig* \Rightarrow *expr* **where**

$$\begin{aligned}
& body\ G\ C\ sig \equiv let\ m = the\ (methd\ G\ C\ sig) \\
& \quad in\ Body\ (declclass\ m)\ (stmt\ (mbody\ (mthd\ m)))
\end{aligned}$$

lemma *body-def2*: — better suited for simplification

$$\begin{aligned}
& body\ G\ C\ sig = Body\ (declclass\ (the\ (methd\ G\ C\ sig))) \\
& \quad (stmt\ (mbody\ (mthd\ (the\ (methd\ G\ C\ sig))))) \\
& \langle proof \rangle
\end{aligned}$$

variables

definition *lvar* :: *lname* \Rightarrow *st* \Rightarrow *vvar* **where**

$$lvar\ vn\ s \equiv (the\ (locals\ s\ vn),\ \lambda v. supd\ (lupd(vn \mapsto v)))$$

definition *fvar* :: *qname* \Rightarrow *bool* \Rightarrow *vname* \Rightarrow *val* \Rightarrow *state* \Rightarrow *vvar* \times *state* **where**

$$\begin{aligned}
& fvar\ C\ stat\ fn\ a'\ s \\
& \equiv let\ (oref,xf) = if\ stat\ then\ (Stat\ C,id) \\
& \quad \quad \quad else\ (Heap\ (the-Addr\ a'),np\ a'); \\
& \quad n = Inl\ (fn,C); \\
& \quad f = (\lambda v. supd\ (upd-gobj\ oref\ n\ v)) \\
& in\ ((the\ (values\ (the\ (globs\ (store\ s)\ oref))\ n),f),abupd\ xf\ s)
\end{aligned}$$

definition *avar* :: *prog* \Rightarrow *val* \Rightarrow *val* \Rightarrow *state* \Rightarrow *vvar* \times *state* **where**

$$\begin{aligned}
& avar\ G\ i'\ a'\ s \\
& \equiv let\ oref = Heap\ (the-Addr\ a'); \\
& \quad i = the-Intg\ i'; \\
& \quad n = Inr\ i; \\
& \quad (T,k,cs) = the-Arr\ (globs\ (store\ s)\ oref); \\
& \quad f = (\lambda v\ (x,s). (raise-if\ (\neg G, s \vdash v\ fits\ T) \\
& \quad \quad \quad ArrStore\ x \\
& \quad \quad \quad ,upd-gobj\ oref\ n\ v\ s)) \\
& in\ ((the\ (cs\ n),f)
\end{aligned}$$

,abupd (raise-if ($\neg i$ in-bounds k) IndOutBound \circ np a') s)

lemma fvar-def2: — better suited for simplification

fvar C stat fn $a' s$ =

((the
 (values
 (the (globs (store s) (if stat then Stat C else Heap (the-Addr a'))))
 (Inl (fn, C)))
 ,(λv . supd (upd-gobj (if stat then Stat C else Heap (the-Addr a')
 (Inl (fn, C))
 v))))
 ,abupd (if stat then id else np a') s)

\langle proof \rangle

lemma avar-def2: — better suited for simplification

avar $G i' a' s$ =

((the ((snd(snd(the-Arr (globs (store s) (Heap (the-Addr a'))))))
 (Inr (the-Intg i')))
 ,(λv (x, s'). (raise-if ($\neg G, s \uparrow v$ fits (fst(the-Arr (globs (store s)
 (Heap (the-Addr a'))))))
 ArrStore x
 ,upd-gobj (Heap (the-Addr a')
 (Inr (the-Intg i')) $v s'$)))
 ,abupd (raise-if (\neg (the-Intg i') in-bounds (fst(snd(the-Arr (globs (store s)
 (Heap (the-Addr a')))))) IndOutBound \circ np a')
 s)

\langle proof \rangle

definition check-field-access :: prog \Rightarrow qname \Rightarrow qname \Rightarrow vname \Rightarrow bool \Rightarrow val \Rightarrow state \Rightarrow state **where**
 check-field-access G accC statDeclC fn stat $a' s$

\equiv let oref = if stat then Stat statDeclC
 else Heap (the-Addr a');

dynC = case oref of
 Heap $a \Rightarrow$ obj-class (the (globs (store s) oref))
 | Stat $C \Rightarrow C$;

f = (the (table-of (DeclConcepts.fields G dynC) (fn, statDeclC)))

in abupd
 (error-if ($\neg G \vdash$ Field fn (statDeclC, f) in dynC dyn-accessible-from accC)
 AccessViolation)

s

definition check-method-access :: prog \Rightarrow qname \Rightarrow ref-ty \Rightarrow inv-mode \Rightarrow sig \Rightarrow val \Rightarrow state \Rightarrow state
where

check-method-access G accC statT mode sig $a' s$

\equiv let invC = invocation-class mode (store s) a' statT;

dynM = the (dynlookup G statT invC sig)

in abupd
 (error-if ($\neg G \vdash$ Methd sig dynM in invC dyn-accessible-from accC)
 AccessViolation)

s

evaluation judgments

inductive

halloc :: [prog, state, obj-tag, loc, state] \Rightarrow bool (\vdash - halloc \rightarrow - [61, 61, 61, 61, 61] 60) **for** $G ::$ prog
where — allocating objects on the heap, cf. 12.5

Abrupt:

$G \vdash (\text{Some } x, s) \text{ --halloc } oi \succ \text{undefined} \rightarrow (\text{Some } x, s)$

| *New*: $\llbracket \text{new-Addr } (\text{heap } s) = \text{Some } a;$
 $(x, oi') = (\text{if atleast-free } (\text{heap } s) (\text{Suc } (\text{Suc } 0)) \text{ then } (\text{None}, oi)$
 $\text{else } (\text{Some } (\text{Xcpt } (\text{Loc } a)), \text{CInst } (\text{SXcpt OutOfMemory}))) \rrbracket$
 \implies
 $G \vdash \text{Norm } s \text{ --halloc } oi \succ a \rightarrow (x, \text{init-obj } G \text{ } oi' (\text{Heap } a) \text{ } s)$

inductive *sxalloc* :: $[prog, state, state] \Rightarrow \text{bool } (\vdash \text{ --sxalloc} \rightarrow \text{--[61,61,61]60})$ **for** $G::prog$
where — allocating exception objects for standard exceptions (other than OutOfMemory)

Norm: $G \vdash \text{Norm} \quad s \text{ --sxalloc} \rightarrow \text{Norm} \quad s$

| *Jmp*: $G \vdash (\text{Some } (\text{Jump } j), s) \text{ --sxalloc} \rightarrow (\text{Some } (\text{Jump } j), s)$

| *Error*: $G \vdash (\text{Some } (\text{Error } e), s) \text{ --sxalloc} \rightarrow (\text{Some } (\text{Error } e), s)$

| *XcptL*: $G \vdash (\text{Some } (\text{Xcpt } (\text{Loc } a)), s) \text{ --sxalloc} \rightarrow (\text{Some } (\text{Xcpt } (\text{Loc } a)), s)$

| *SXcpt*: $\llbracket G \vdash \text{Norm } s0 \text{ --halloc } (\text{CInst } (\text{SXcpt } xn)) \succ a \rightarrow (x, s1) \rrbracket \implies$
 $G \vdash (\text{Some } (\text{Xcpt } (\text{Std } xn)), s0) \text{ --sxalloc} \rightarrow (\text{Some } (\text{Xcpt } (\text{Loc } a)), s1)$

inductive

eval :: $[prog, state, term, vals, state] \Rightarrow \text{bool } (\vdash \text{ --} \rightarrow '(-, -)' \text{ [61,61,80,0,0]60})$
and *exec* :: $[prog, state, stmt, state] \Rightarrow \text{bool } (\vdash \text{ --} \rightarrow - \text{ [61,61,65, 61]60})$
and *evar* :: $[prog, state, var, vvar, state] \Rightarrow \text{bool } (\vdash \text{ --} \rightarrow - \text{ [61,61,90,61,61]60})$
and *eval'* :: $[prog, state, expr, val, state] \Rightarrow \text{bool } (\vdash \text{ --} \rightarrow - \text{ [61,61,80,61,61]60})$
and *evals* :: $[prog, state, expr \text{ list }, val \text{ list }, state] \Rightarrow \text{bool } (\vdash \text{ --} \rightarrow - \text{ [61,61,61,61,61]60})$

for $G::prog$

where

$G \vdash s \text{ --c} \rightarrow s' \equiv G \vdash s \text{ --In1r } c \rightarrow (\Diamond, s')$
| $G \vdash s \text{ --e} \rightarrow v \rightarrow s' \equiv G \vdash s \text{ --In1l } e \rightarrow (\text{In1 } v, s')$
| $G \vdash s \text{ --e} \rightarrow vf \rightarrow s' \equiv G \vdash s \text{ --In2 } e \rightarrow (\text{In2 } vf, s')$
| $G \vdash s \text{ --e} \rightarrow v \rightarrow s' \equiv G \vdash s \text{ --In3 } e \rightarrow (\text{In3 } v, s')$

— propagation of abrupt completion

— cf. 14.1, 15.5

| *Abrupt*:

$G \vdash (\text{Some } xc, s) \text{ --t} \rightarrow (\text{undefined3 } t, (\text{Some } xc, s))$

— execution of statements

— cf. 14.5

| *Skip*: $G \vdash \text{Norm } s \text{ --Skip} \rightarrow \text{Norm } s$

— cf. 14.7

| *Expr*: $\llbracket G \vdash \text{Norm } s0 \text{ --e} \rightarrow v \rightarrow s1 \rrbracket \implies$
 $G \vdash \text{Norm } s0 \text{ --Expr } e \rightarrow s1$

| *Lab*: $\llbracket G \vdash \text{Norm } s0 \text{ --c} \rightarrow s1 \rrbracket \implies$

$G \vdash \text{Norm } s0 \text{ --l} \cdot c \rightarrow \text{abupd } (\text{absorb } l) \text{ } s1$

— cf. 14.2

| *Comp*: $\llbracket G \vdash \text{Norm } s0 \text{ } -c1 \rightarrow s1; \\ G \vdash s1 \text{ } -c2 \rightarrow s2 \rrbracket \Longrightarrow \\ G \vdash \text{Norm } s0 \text{ } -c1;; c2 \rightarrow s2$

— cf. 14.8.2

| *If*: $\llbracket G \vdash \text{Norm } s0 \text{ } -e \text{ } \succ b \rightarrow s1; \\ G \vdash s1 \text{ } -(\text{if the-Bool } b \text{ then } c1 \text{ else } c2) \rightarrow s2 \rrbracket \Longrightarrow \\ G \vdash \text{Norm } s0 \text{ } -\text{If}(e) \text{ } c1 \text{ Else } c2 \rightarrow s2$

— cf. 14.10, 14.10.1

— A continue jump from the while body c is handled by this rule. If a continue jump with the proper label was invoked inside c this label (Cont l) is deleted out of the abrupt component of the state before the iterative evaluation of the while statement. A break jump is handled by the Lab Statement *Lab* l (*while*...).

| *Loop*: $\llbracket G \vdash \text{Norm } s0 \text{ } -e \text{ } \succ b \rightarrow s1; \\ \text{if the-Bool } b \\ \text{then } (G \vdash s1 \text{ } -c \rightarrow s2 \wedge \\ G \vdash (\text{abupd } (\text{absorb } (\text{Cont } l)) \text{ } s2) \text{ } -l \cdot \text{While}(e) \text{ } c \rightarrow s3) \\ \text{else } s3 = s1 \rrbracket \Longrightarrow \\ G \vdash \text{Norm } s0 \text{ } -l \cdot \text{While}(e) \text{ } c \rightarrow s3$

| *Jmp*: $G \vdash \text{Norm } s \text{ } -\text{Jmp } j \rightarrow (\text{Some } (\text{Jump } j), s)$

— cf. 14.16

| *Throw*: $\llbracket G \vdash \text{Norm } s0 \text{ } -e \text{ } \succ a' \rightarrow s1 \rrbracket \Longrightarrow \\ G \vdash \text{Norm } s0 \text{ } -\text{Throw } e \rightarrow \text{abupd } (\text{throw } a') \text{ } s1$

— cf. 14.18.1

| *Try*: $\llbracket G \vdash \text{Norm } s0 \text{ } -c1 \rightarrow s1; G \vdash s1 \text{ } -x\text{alloc} \rightarrow s2; \\ \text{if } G, s2 \vdash \text{catch } C \text{ then } G \vdash \text{new-xcpt-var } vn \text{ } s2 \text{ } -c2 \rightarrow s3 \text{ else } s3 = s2 \rrbracket \Longrightarrow \\ G \vdash \text{Norm } s0 \text{ } -\text{Try } c1 \text{ Catch}(C \text{ } vn) \text{ } c2 \rightarrow s3$

— cf. 14.18.2

| *Fin*: $\llbracket G \vdash \text{Norm } s0 \text{ } -c1 \rightarrow (x1, s1); \\ G \vdash \text{Norm } s1 \text{ } -c2 \rightarrow s2; \\ s3 = (\text{if } (\exists \text{ err. } x1 = \text{Some } (\text{Error } \text{err})) \\ \text{then } (x1, s1) \\ \text{else } \text{abupd } (\text{abrupt-if } (x1 \neq \text{None}) \text{ } x1) \text{ } s2) \rrbracket \\ \Longrightarrow \\ G \vdash \text{Norm } s0 \text{ } -c1 \text{ Finally } c2 \rightarrow s3$

— cf. 12.4.2, 8.5

| *Init*: $\llbracket \text{the } (\text{class } G \text{ } C) = c; \\ \text{if inited } C \text{ (globs } s0) \text{ then } s3 = \text{Norm } s0 \\ \text{else } (G \vdash \text{Norm } (\text{init-class-obj } G \text{ } C \text{ } s0) \\ -(\text{if } C = \text{Object then Skip else Init } (\text{super } c)) \rightarrow s1 \wedge \\ G \vdash \text{set-lvars empty } s1 \text{ } -\text{init } c \rightarrow s2 \wedge s3 = \text{restore-lvars } s1 \text{ } s2) \rrbracket \\ \Longrightarrow \\ G \vdash \text{Norm } s0 \text{ } -\text{Init } C \rightarrow s3$

— This class initialisation rule is a little bit inaccurate. Look at the exact sequence: (1) The current class object (the static fields) are initialised (*init-class-obj*), (2) the superclasses are initialised, (3) the static initialiser of the current class is invoked. More precisely we should expect another ordering, namely 2 1 3. But we can't just naively toggle 1 and 2. By calling *init-class-obj* before initialising the superclasses, we also implicitly record that we have started to initialise the current class (by setting an value for the class object). This becomes crucial for the completeness proof of the axiomatic semantics *AxCompl.thy*. Static initialisation requires an induction on the number of classes not yet initialised (or to be more precise, classes where the initialisation has not yet begun). So we could first assign a dummy value to the class before superclass initialisation and afterwards set the correct values. But as long as we don't take memory overflow into account when allocating class objects, we can leave things as they are for convenience.

— evaluation of expressions

— cf. 15.8.1, 12.4.1

$$\begin{array}{l} | \text{NewC: } \llbracket G \vdash \text{Norm } s0 \text{ -Init } C \rightarrow s1; \\ \quad G \vdash \quad s1 \text{ -halloc } (C \text{Inst } C) \succ a \rightarrow s2 \rrbracket \implies \\ \quad G \vdash \text{Norm } s0 \text{ -NewC } C \text{ -} \succ \text{Addr } a \rightarrow s2 \end{array}$$

— cf. 15.9.1, 12.4.1

$$\begin{array}{l} | \text{NewA: } \llbracket G \vdash \text{Norm } s0 \text{ -init-comp-ty } T \rightarrow s1; G \vdash s1 \text{ -e-} \succ i' \rightarrow s2; \\ \quad G \vdash \text{abupd } (\text{check-neg } i') s2 \text{ -halloc } (\text{Arr } T (\text{the-Intg } i')) \succ a \rightarrow s3 \rrbracket \implies \\ \quad G \vdash \text{Norm } s0 \text{ -New } T[e] \text{ -} \succ \text{Addr } a \rightarrow s3 \end{array}$$

— cf. 15.15

$$\begin{array}{l} | \text{Cast: } \llbracket G \vdash \text{Norm } s0 \text{ -e-} \succ v \rightarrow s1; \\ \quad s2 = \text{abupd } (\text{raise-if } (\neg G, \text{store } s1 \vdash v \text{ fits } T) \text{ ClassCast}) s1 \rrbracket \implies \\ \quad G \vdash \text{Norm } s0 \text{ -Cast } T \text{ e-} \succ v \rightarrow s2 \end{array}$$

— cf. 15.19.2

$$\begin{array}{l} | \text{Inst: } \llbracket G \vdash \text{Norm } s0 \text{ -e-} \succ v \rightarrow s1; \\ \quad b = (v \neq \text{Null} \wedge G, \text{store } s1 \vdash v \text{ fits } \text{RefT } T) \rrbracket \implies \\ \quad G \vdash \text{Norm } s0 \text{ -e InstOf } T \text{ -} \succ \text{Bool } b \rightarrow s1 \end{array}$$

— cf. 15.7.1

$$| \text{Lit: } G \vdash \text{Norm } s \text{ -Lit } v \text{ -} \succ v \rightarrow \text{Norm } s$$

$$\begin{array}{l} | \text{UnOp: } \llbracket G \vdash \text{Norm } s0 \text{ -e-} \succ v \rightarrow s1 \rrbracket \\ \implies G \vdash \text{Norm } s0 \text{ -UnOp } \text{unop } e \text{ -} \succ (\text{eval-unop } \text{unop } v) \rightarrow s1 \end{array}$$

$$\begin{array}{l} | \text{BinOp: } \llbracket G \vdash \text{Norm } s0 \text{ -e1-} \succ v1 \rightarrow s1; \\ \quad G \vdash s1 \text{ -(if need-second-arg binop } v1 \text{ then (In1l } e2) \text{ else (In1r Skip))} \\ \quad \quad \succ \rightarrow (\text{In1 } v2, s2) \\ \quad \rrbracket \\ \implies G \vdash \text{Norm } s0 \text{ -BinOp } \text{binop } e1 \text{ e2-} \succ (\text{eval-binop } \text{binop } v1 \text{ } v2) \rightarrow s2 \end{array}$$

— cf. 15.10.2

$$| \text{Super: } G \vdash \text{Norm } s \text{ -Super-} \succ \text{val-this } s \rightarrow \text{Norm } s$$

— cf. 15.2

$$\begin{array}{l} | \text{Acc: } \llbracket G \vdash \text{Norm } s0 \text{ -va=} \succ (v, f) \rightarrow s1 \rrbracket \implies \\ \quad G \vdash \text{Norm } s0 \text{ -Acc } va \text{ -} \succ v \rightarrow s1 \end{array}$$

— cf. 15.25.1

$$\begin{array}{l} | \text{Ass: } \llbracket G \vdash \text{Norm } s0 \text{ -va=} \succ (w, f) \rightarrow s1; \\ \quad G \vdash \quad s1 \text{ -e-} \succ v \rightarrow s2 \rrbracket \implies \\ \quad G \vdash \text{Norm } s0 \text{ -va:=e-} \succ v \rightarrow \text{assign } f \text{ } v \text{ } s2 \end{array}$$

— cf. 15.24

$$\begin{array}{l} | \text{Cond: } \llbracket G \vdash \text{Norm } s0 \text{ -e0-} \succ b \rightarrow s1; \\ \quad G \vdash \quad s1 \text{ -(if the-Bool } b \text{ then } e1 \text{ else } e2) \text{ -} \succ v \rightarrow s2 \rrbracket \implies \\ \quad G \vdash \text{Norm } s0 \text{ -e0 ? } e1 : e2 \text{ -} \succ v \rightarrow s2 \end{array}$$

— The interplay of *Call*, *Method* and *Body*: Method invocation is split up into these three rules:

Call Calculates the target address and evaluates the arguments of the method, and then performs dynamic or static lookup of the method, corresponding to the call mode. Then the *Method* rule is evaluated on the calculated declaration class of the method invocation.

Method A syntactic bridge for the folded method body. It is used by the axiomatic semantics to add the proper hypothesis for recursive calls of the method.

Body An extra syntactic entity for the unfolded method body was introduced to properly trigger class ini-

tialisation. Without class initialisation we could just evaluate the body statement.

— cf. 15.11.4.1, 15.11.4.2, 15.11.4.4, 15.11.4.5

| *Call*:

$$\begin{aligned} & \llbracket G \vdash \text{Norm } s0 - e \rightarrow a' \rightarrow s1; G \vdash s1 - \text{args} \dot{=} \rightarrow vs \rightarrow s2; \\ & \quad D = \text{invocation-declclass } G \text{ mode } (store\ s2) \ a' \ \text{statT } (\llbracket name=mn, parTs=pTs \rrbracket); \\ & \quad s3 = \text{init-lvars } G \ D \ (\llbracket name=mn, parTs=pTs \rrbracket) \ \text{mode } a' \ vs \ s2; \\ & \quad s3' = \text{check-method-access } G \ accC \ \text{statT } \text{mode } (\llbracket name=mn, parTs=pTs \rrbracket) \ a' \ s3; \\ & \quad G \vdash s3' - \text{Methd } D \ (\llbracket name=mn, parTs=pTs \rrbracket) \rightarrow v \rightarrow s4 \rrbracket \\ & \implies \\ & \quad G \vdash \text{Norm } s0 - \{accC, statT, mode\} e.mn(\{pTs\} args) \rightarrow v \rightarrow (\text{restore-lvars } s2 \ s4) \end{aligned}$$

— The accessibility check is after *init-lvars*, to keep it simple. *init-lvars* already tests for the absence of a null-pointer reference in case of an instance method invocation.

$$\begin{aligned} | \text{Methd:} \quad & \llbracket G \vdash \text{Norm } s0 - \text{body } G \ D \ \text{sig} \rightarrow v \rightarrow s1 \rrbracket \implies \\ & G \vdash \text{Norm } s0 - \text{Methd } D \ \text{sig} \rightarrow v \rightarrow s1 \end{aligned}$$

$$\begin{aligned} | \text{Body:} \quad & \llbracket G \vdash \text{Norm } s0 - \text{Init } D \rightarrow s1; G \vdash s1 - c \rightarrow s2; \\ & \quad s3 = (\text{if } (\exists \ l. \ \text{abrupt } s2 = \text{Some } (\text{Jump } (\text{Break } l))) \vee \\ & \quad \quad \text{abrupt } s2 = \text{Some } (\text{Jump } (\text{Cont } l))) \\ & \quad \text{then } \text{abupd } (\lambda \ x. \ \text{Some } (\text{Error CrossMethodJump})) \ s2 \\ & \quad \text{else } s2 \rrbracket \implies \\ & \quad G \vdash \text{Norm } s0 - \text{Body } D \ c \rightarrow \text{the } (\text{locals } (store\ s2) \ \text{Result}) \\ & \quad \rightarrow \text{abupd } (\text{absorb } \text{Ret}) \ s3 \end{aligned}$$

— cf. 14.15, 12.4.1

— We filter out a break/continue in *s2*, so that we can proof definite assignment correct, without the need of conformance of the state. By this the different parts of the typesafety proof can be disentangled a little.

— evaluation of variables

— cf. 15.13.1, 15.7.2

$$| \text{LVar: } G \vdash \text{Norm } s - \text{LVar } vn \dot{=} \rightarrow lvar \ vn \ s \rightarrow \text{Norm } s$$

— cf. 15.10.1, 12.4.1

$$\begin{aligned} | \text{FVar:} \quad & \llbracket G \vdash \text{Norm } s0 - \text{Init } \text{statDeclC} \rightarrow s1; G \vdash s1 - e \rightarrow a \rightarrow s2; \\ & \quad (v, s2') = \text{fvar } \text{statDeclC} \ \text{stat } fn \ a \ s2; \\ & \quad s3 = \text{check-field-access } G \ accC \ \text{statDeclC} \ fn \ \text{stat } a \ s2' \rrbracket \implies \\ & \quad G \vdash \text{Norm } s0 - \{accC, \text{statDeclC}, \text{stat}\} e..fn \dot{=} \rightarrow v \rightarrow s3 \end{aligned}$$

— The accessibility check is after *fvar*, to keep it simple. *fvar* already tests for the absence of a null-pointer reference in case of an instance field

— cf. 15.12.1, 15.25.1

$$\begin{aligned} | \text{AVar:} \quad & \llbracket G \vdash \text{Norm } s0 - e1 \rightarrow a \rightarrow s1; G \vdash s1 - e2 \rightarrow i \rightarrow s2; \\ & \quad (v, s2') = \text{avar } G \ i \ a \ s2 \rrbracket \implies \\ & \quad G \vdash \text{Norm } s0 - e1.[e2] \dot{=} \rightarrow v \rightarrow s2' \end{aligned}$$

— evaluation of expression lists

— cf. 15.11.4.2

| *Nil*:

$$G \vdash \text{Norm } s0 - [] \dot{=} \rightarrow [] \rightarrow \text{Norm } s0$$

— cf. 15.6.4

$$\begin{aligned} | \text{Cons:} \quad & \llbracket G \vdash \text{Norm } s0 - e \rightarrow v \rightarrow s1; \\ & \quad G \vdash \quad s1 - es \dot{=} \rightarrow vs \rightarrow s2 \rrbracket \implies \\ & \quad G \vdash \text{Norm } s0 - e \# es \dot{=} \rightarrow v \# vs \rightarrow s2 \end{aligned}$$

$\langle ML \rangle$

lemmas *eval-induct* = *eval-induct-* [*split-format* and and and and and and and and
and and and and and and *s1* and and *s2* and and and and
and and
s2 and and *s2*]

declare *split-if* [*split del*] *split-if-asm* [*split del*]
option.split [*split del*] *option.split-asm* [*split del*]

inductive-cases *halloc-elim-cases*:

$G \vdash (\text{Some } xc, s) -\text{halloc } oi \succ a \rightarrow s'$

$G \vdash (\text{Norm } s) -\text{halloc } oi \succ a \rightarrow s'$

inductive-cases *sxalloc-elim-cases*:

$G \vdash \text{Norm } s -\text{sxalloc} \rightarrow s'$

$G \vdash (\text{Some } (\text{Jump } j), s) -\text{sxalloc} \rightarrow s'$

$G \vdash (\text{Some } (\text{Error } e), s) -\text{sxalloc} \rightarrow s'$

$G \vdash (\text{Some } (\text{Xcpt } (\text{Loc } a)), s) -\text{sxalloc} \rightarrow s'$

$G \vdash (\text{Some } (\text{Xcpt } (\text{Std } xn)), s) -\text{sxalloc} \rightarrow s'$

inductive-cases *sxalloc-cases*: $G \vdash s -\text{sxalloc} \rightarrow s'$

lemma *sxalloc-elim-cases2*: $\llbracket G \vdash s -\text{sxalloc} \rightarrow s' \rrbracket$;

$\bigwedge s. \llbracket s' = \text{Norm } s \rrbracket \implies P$;

$\bigwedge j s. \llbracket s' = (\text{Some } (\text{Jump } j), s) \rrbracket \implies P$;

$\bigwedge e s. \llbracket s' = (\text{Some } (\text{Error } e), s) \rrbracket \implies P$;

$\bigwedge a s. \llbracket s' = (\text{Some } (\text{Xcpt } (\text{Loc } a)), s) \rrbracket \implies P$

$\rrbracket \implies P$

$\langle \text{proof} \rangle$

declare *not-None-eq* [*simp del*]

declare *split-paired-All* [*simp del*] *split-paired-Ex* [*simp del*]

$\langle ML \rangle$

inductive-cases *eval-cases*: $G \vdash s -t \succ \rightarrow (v, s')$

inductive-cases *eval-elim-cases* [*cases set*]:

$G \vdash (\text{Some } xc, s) -t \succ \rightarrow (v, s')$

$G \vdash \text{Norm } s -\text{In1r Skip} \succ \rightarrow (x, s')$

$G \vdash \text{Norm } s -\text{In1r } (\text{Jmp } j) \succ \rightarrow (x, s')$

$G \vdash \text{Norm } s -\text{In1r } (\text{L } c) \succ \rightarrow (x, s')$

$G \vdash \text{Norm } s -\text{In3 } (\text{[]}) \succ \rightarrow (v, s')$

$G \vdash \text{Norm } s -\text{In3 } (e \# es) \succ \rightarrow (v, s')$

$G \vdash \text{Norm } s -\text{In1l } (\text{Lit } w) \succ \rightarrow (v, s')$

$G \vdash \text{Norm } s -\text{In1l } (\text{UnOp unop } e) \succ \rightarrow (v, s')$

$G \vdash \text{Norm } s -\text{In1l } (\text{BinOp binop } e1 e2) \succ \rightarrow (v, s')$

$G \vdash \text{Norm } s -\text{In2 } (\text{LVar } vn) \succ \rightarrow (v, s')$

$G \vdash \text{Norm } s -\text{In1l } (\text{Cast } T e) \succ \rightarrow (v, s')$

$G \vdash \text{Norm } s -\text{In1l } (e \text{ InstOf } T) \succ \rightarrow (v, s')$

$G \vdash \text{Norm } s -\text{In1l } (\text{Super}) \succ \rightarrow (v, s')$

$G \vdash \text{Norm } s -\text{In1l } (\text{Acc } va) \succ \rightarrow (v, s')$

$G \vdash \text{Norm } s -\text{In1r } (\text{Expr } e) \succ \rightarrow (x, s')$

$G \vdash \text{Norm } s -\text{In1r } (c1 ;; c2) \succ \rightarrow (x, s')$

$G \vdash \text{Norm } s -\text{In1l } (\text{Methd } C \text{ sig}) \succ \rightarrow (x, s')$

$G \vdash \text{Norm } s -\text{In1l } (\text{Body } D c) \succ \rightarrow (x, s')$

$G \vdash \text{Norm } s -\text{In1l } (e0 ? e1 : e2) \succ \rightarrow (v, s')$

$$\begin{array}{ll}
G \vdash \text{Norm } s - \text{In1r } (\text{If}(e) \ c1 \ \text{Else } c2) & \succ \rightarrow (x, s') \\
G \vdash \text{Norm } s - \text{In1r } (l \bullet \text{While}(e) \ c) & \succ \rightarrow (x, s') \\
G \vdash \text{Norm } s - \text{In1r } (c1 \ \text{Finally } c2) & \succ \rightarrow (x, s') \\
G \vdash \text{Norm } s - \text{In1r } (\text{Throw } e) & \succ \rightarrow (x, s') \\
G \vdash \text{Norm } s - \text{In1l } (\text{NewC } C) & \succ \rightarrow (v, s') \\
G \vdash \text{Norm } s - \text{In1l } (\text{New } T[e]) & \succ \rightarrow (v, s') \\
G \vdash \text{Norm } s - \text{In1l } (\text{Ass } va \ e) & \succ \rightarrow (v, s') \\
G \vdash \text{Norm } s - \text{In1r } (\text{Try } c1 \ \text{Catch}(tn \ vn) \ c2) & \succ \rightarrow (x, s') \\
G \vdash \text{Norm } s - \text{In2 } (\{accC, statDeclC, stat\}e..fn) & \succ \rightarrow (v, s') \\
G \vdash \text{Norm } s - \text{In2 } (e1.[e2]) & \succ \rightarrow (v, s') \\
G \vdash \text{Norm } s - \text{In1l } (\{accC, statT, mode\}e.mn(\{pT\}p)) & \succ \rightarrow (v, s') \\
G \vdash \text{Norm } s - \text{In1r } (\text{Init } C) & \succ \rightarrow (x, s')
\end{array}$$

declare *not-None-eq* [simp]

declare *split-paired-All* [simp] *split-paired-Ex* [simp]

$\langle ML \rangle$

declare *split-if* [split] *split-if-asm* [split]
option.split [split] *option.split-asm* [split]

lemma *eval-Inj-elim*:

$$\begin{array}{l}
G \vdash s - t \succ \rightarrow (w, s') \\
\implies \text{case } t \text{ of} \\
\quad \text{In1 } ec \Rightarrow (\text{case } ec \text{ of} \\
\quad \quad \text{Inl } e \Rightarrow (\exists v. w = \text{In1 } v) \\
\quad \quad \mid \text{Inr } c \Rightarrow w = \Diamond) \\
\quad \mid \text{In2 } e \Rightarrow (\exists v. w = \text{In2 } v) \\
\quad \mid \text{In3 } e \Rightarrow (\exists v. w = \text{In3 } v) \\
\langle \text{proof} \rangle
\end{array}$$

The following simplification procedures set up the proper injections of terms and their corresponding values in the evaluation relation: E.g. an expression (injection *In1l* into terms) always evaluates to ordinary values (injection *In1* into generalised values *vals*).

lemma *eval-expr-eq*: $G \vdash s - \text{In1l } t \succ \rightarrow (w, s') = (\exists v. w = \text{In1 } v \wedge G \vdash s - t \rightarrow v \rightarrow s')$
 $\langle \text{proof} \rangle$

lemma *eval-var-eq*: $G \vdash s - \text{In2 } t \succ \rightarrow (w, s') = (\exists vf. w = \text{In2 } vf \wedge G \vdash s - t = \succ vf \rightarrow s')$
 $\langle \text{proof} \rangle$

lemma *eval-exprs-eq*: $G \vdash s - \text{In3 } t \succ \rightarrow (w, s') = (\exists vs. w = \text{In3 } vs \wedge G \vdash s - t \dot{=} \succ vs \rightarrow s')$
 $\langle \text{proof} \rangle$

lemma *eval-stmt-eq*: $G \vdash s - \text{In1r } t \succ \rightarrow (w, s') = (w = \Diamond \wedge G \vdash s - t \rightarrow s')$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

declare *halloc.Abrupt* [intro!] *eval.Abrupt* [intro!] *AbruptIs* [intro!]

Callee, *InsInitE*, *InsInitV*, *FinA* are only used in smallstep semantics, not in the bigstep semantics. So there is no valid evaluation of these terms

lemma *eval-Callee*: $G \vdash \text{Norm } s - \text{Callee } l \ e \rightarrow v \rightarrow s' = \text{False}$
 $\langle \text{proof} \rangle$

lemma *eval-InsInitE*: $G \vdash \text{Norm } s - \text{InsInitE } c \ e - \succ v \rightarrow s' = \text{False}$
 $\langle \text{proof} \rangle$

lemma *eval-InsInitV*: $G \vdash \text{Norm } s - \text{InsInitV } c \ w = \succ v \rightarrow s' = \text{False}$
 $\langle \text{proof} \rangle$

lemma *eval-FinA*: $G \vdash \text{Norm } s - \text{FinA } a \ c \rightarrow s' = \text{False}$
 $\langle \text{proof} \rangle$

lemma *eval-no-abrupt-lemma*:
 $\bigwedge s \ s'. G \vdash s - t \succ \rightarrow (w, s') \implies \text{normal } s' \longrightarrow \text{normal } s$
 $\langle \text{proof} \rangle$

lemma *eval-no-abrupt*:
 $G \vdash (x, s) - t \succ \rightarrow (w, \text{Norm } s') =$
 $(x = \text{None} \wedge G \vdash \text{Norm } s - t \succ \rightarrow (w, \text{Norm } s'))$
 $\langle \text{proof} \rangle$
 $\langle \text{ML} \rangle$

lemma *eval-abrupt-lemma*:
 $G \vdash s - t \succ \rightarrow (v, s') \implies \text{abrupt } s = \text{Some } xc \longrightarrow s' = s \wedge v = \text{undefined3 } t$
 $\langle \text{proof} \rangle$

lemma *eval-abrupt*:
 $G \vdash (\text{Some } xc, s) - t \succ \rightarrow (w, s') =$
 $(s' = (\text{Some } xc, s) \wedge w = \text{undefined3 } t \wedge$
 $G \vdash (\text{Some } xc, s) - t \succ \rightarrow (\text{undefined3 } t, (\text{Some } xc, s)))$
 $\langle \text{proof} \rangle$
 $\langle \text{ML} \rangle$

lemma *LitI*: $G \vdash s - \text{Lit } v - \succ (\text{if normal } s \text{ then } v \text{ else undefined}) \rightarrow s$
 $\langle \text{proof} \rangle$

lemma *SkipI* [intro!]: $G \vdash s - \text{Skip} \rightarrow s$
 $\langle \text{proof} \rangle$

lemma *ExprI*: $G \vdash s - e - \succ v \rightarrow s' \implies G \vdash s - \text{Expr } e \rightarrow s'$
 $\langle \text{proof} \rangle$

lemma *CompI*: $\llbracket G \vdash s - c1 \rightarrow s1; G \vdash s1 - c2 \rightarrow s2 \rrbracket \implies G \vdash s - c1;; c2 \rightarrow s2$
 $\langle \text{proof} \rangle$

lemma *CondI*:
 $\bigwedge s1. \llbracket G \vdash s - e - \succ b \rightarrow s1; G \vdash s1 - (\text{if the-Bool } b \text{ then } e1 \text{ else } e2) - \succ v \rightarrow s2 \rrbracket \implies$
 $G \vdash s - e \ ? \ e1 : e2 - \succ (\text{if normal } s1 \text{ then } v \text{ else undefined}) \rightarrow s2$

$\langle \text{proof} \rangle$

lemma IfI: $\llbracket G \vdash s \text{ --} e \text{ --} \succ v \rightarrow s1; G \vdash s1 \text{ --} (\text{if the-Bool } v \text{ then } c1 \text{ else } c2) \rightarrow s2 \rrbracket$
 $\implies G \vdash s \text{ --} \text{If}(e) \ c1 \ \text{Else } c2 \rightarrow s2$

$\langle \text{proof} \rangle$

lemma MethdI: $G \vdash s \text{ --} \text{body } G \ C \ \text{sig} \text{ --} \succ v \rightarrow s'$
 $\implies G \vdash s \text{ --} \text{Methd } C \ \text{sig} \text{ --} \succ v \rightarrow s'$

$\langle \text{proof} \rangle$

lemma eval-Call:

$\llbracket G \vdash \text{Norm } s0 \text{ --} e \text{ --} \succ a' \rightarrow s1; G \vdash s1 \text{ --} ps \dot{=} \succ pvs \rightarrow s2;$
 $D = \text{invocation-declclass } G \ \text{mode } (\text{store } s2) \ a' \ \text{statT } (\llbracket \text{name}=\text{mn}, \text{parTs}=pTs \rrbracket);$
 $s3 = \text{init-lvars } G \ D \ (\llbracket \text{name}=\text{mn}, \text{parTs}=pTs \rrbracket) \ \text{mode } a' \ pvs \ s2;$
 $s3' = \text{check-method-access } G \ \text{accC} \ \text{statT} \ \text{mode } (\llbracket \text{name}=\text{mn}, \text{parTs}=pTs \rrbracket) \ a' \ s3;$
 $G \vdash s3' \text{ --} \text{Methd } D \ (\llbracket \text{name}=\text{mn}, \text{parTs}=pTs \rrbracket) \text{ --} \succ v \rightarrow s4;$
 $s4' = \text{restore-lvars } s2 \ s4 \rrbracket \implies$
 $G \vdash \text{Norm } s0 \text{ --} \{\text{accC}, \text{statT}, \text{mode}\} e \cdot \text{mn}(\{pTs\}ps) \text{ --} \succ v \rightarrow s4'$

$\langle \text{proof} \rangle$

lemma eval-Init:

$\llbracket \text{if initd } C \ (\text{globs } s0) \text{ then } s3 = \text{Norm } s0$
 $\text{else } G \vdash \text{Norm } (\text{init-class-obj } G \ C \ s0)$
 $\text{--} (\text{if } C = \text{Object} \text{ then } \text{Skip} \text{ else } \text{Init } (\text{super } (\text{the } (\text{class } G \ C)))) \rightarrow s1 \wedge$
 $G \vdash \text{set-lvars empty } s1 \text{ --} (\text{init } (\text{the } (\text{class } G \ C))) \rightarrow s2 \wedge$
 $s3 = \text{restore-lvars } s1 \ s2 \rrbracket \implies$
 $G \vdash \text{Norm } s0 \text{ --} \text{Init } C \rightarrow s3$

$\langle \text{proof} \rangle$

lemma init-done: $\text{initd } C \ s \implies G \vdash s \text{ --} \text{Init } C \rightarrow s$

$\langle \text{proof} \rangle$

lemma eval-StatRef:

$G \vdash s \text{ --} \text{StatRef } rt \text{ --} \succ (\text{if abrupt } s = \text{None} \text{ then } \text{Null} \text{ else } \text{undefined}) \rightarrow s$

$\langle \text{proof} \rangle$

lemma SkipD [dest!]: $G \vdash s \text{ --} \text{Skip} \rightarrow s' \implies s' = s$

$\langle \text{proof} \rangle$

lemma Skip-eq [simp]: $G \vdash s \text{ --} \text{Skip} \rightarrow s' = (s = s')$

$\langle \text{proof} \rangle$

lemma init-retains-locals [rule-format (no-asm)]: $G \vdash s \text{ --} t \rightarrow (w, s') \implies$

$(\forall C. t = \text{In1r } (\text{Init } C) \longrightarrow \text{locals } (\text{store } s) = \text{locals } (\text{store } s'))$

$\langle \text{proof} \rangle$

lemma halloc-xcpt [dest!]:

$\bigwedge s'. G \vdash (\text{Some } xc, s) -\text{halloc } oi \succ a \rightarrow s' \implies s' = (\text{Some } xc, s)$
 $\langle \text{proof} \rangle$

lemma *eval-Methd*:

$G \vdash s -\text{In1}(\text{body } G \ C \ \text{sig}) \succ \rightarrow (w, s')$
 $\implies G \vdash s -\text{In1}(\text{Methd } C \ \text{sig}) \succ \rightarrow (w, s')$
 $\langle \text{proof} \rangle$

lemma *eval-Body*: $\llbracket G \vdash \text{Norm } s0 -\text{Init } D \rightarrow s1; G \vdash s1 -c \rightarrow s2;$
 $\text{res} = \text{the } (\text{locals } (\text{store } s2) \ \text{Result});$
 $s3 = (\text{if } (\exists l. \text{abrupt } s2 = \text{Some } (\text{Jump } (\text{Break } l))) \vee$
 $\text{abrupt } s2 = \text{Some } (\text{Jump } (\text{Cont } l)))$
 $\text{then } \text{abupd } (\lambda x. \text{Some } (\text{Error CrossMethodJump})) \ s2$
 $\text{else } s2);$
 $s4 = \text{abupd } (\text{absorb Ret}) \ s3 \rrbracket \implies$
 $G \vdash \text{Norm } s0 -\text{Body } D \ c -\succ \text{res} \rightarrow s4$
 $\langle \text{proof} \rangle$

lemma *eval-binop-arg2-indep*:

$\neg \text{need-second-arg binop } v1 \implies \text{eval-binop binop } v1 \ x = \text{eval-binop binop } v1 \ y$
 $\langle \text{proof} \rangle$

lemma *eval-BinOp-arg2-indepI*:

assumes *eval-e1*: $G \vdash \text{Norm } s0 -e1 -\succ v1 \rightarrow s1$ **and**
 $\text{no-need: } \neg \text{need-second-arg binop } v1$
shows $G \vdash \text{Norm } s0 -\text{BinOp binop } e1 \ e2 -\succ (\text{eval-binop binop } v1 \ v2) \rightarrow s1$
 $(\text{is } ?\text{EvalBinOp } v2)$
 $\langle \text{proof} \rangle$

single valued

lemma *unique-halloc* [rule-format (no-asm)]:

$G \vdash s -\text{halloc } oi \succ a \rightarrow s' \implies G \vdash s -\text{halloc } oi \succ a' \rightarrow s'' \implies a' = a \wedge s'' = s'$
 $\langle \text{proof} \rangle$

lemma *single-valued-halloc*:

$\text{single-valued } \{((s, oi), (a, s')). G \vdash s -\text{halloc } oi \succ a \rightarrow s'\}$
 $\langle \text{proof} \rangle$

lemma *unique-sxalloc* [rule-format (no-asm)]:

$G \vdash s -\text{sxalloc} \rightarrow s' \implies G \vdash s -\text{sxalloc} \rightarrow s'' \implies s'' = s'$
 $\langle \text{proof} \rangle$

lemma *single-valued-sxalloc*: $\text{single-valued } \{(s, s'). G \vdash s -\text{sxalloc} \rightarrow s'\}$

$\langle \text{proof} \rangle$

lemma *split-pairD*: $(x,y) = p \implies x = \text{fst } p \ \& \ y = \text{snd } p$
 $\langle \text{proof} \rangle$

lemma *unique-eval* [*rule-format* (*no-asm*)]:
 $G \vdash s \text{ --} t \text{ --} \rightarrow (w, s') \implies (\forall w' s''. G \vdash s \text{ --} t \text{ --} \rightarrow (w', s'') \longrightarrow w' = w \wedge s'' = s')$
 $\langle \text{proof} \rangle$

lemma *single-valued-eval*:
 $\text{single-valued } \{((s, t), (v, s')). G \vdash s \text{ --} t \text{ --} \rightarrow (v, s')\}$
 $\langle \text{proof} \rangle$

end

Chapter 16

Example

43 Example Bali program

```
theory Example
imports Eval WellForm
begin
```

The following example Bali program includes:

- class and interface declarations with inheritance, hiding of fields, overriding of methods (with refined result type), array type,
- method call (with dynamic binding), parameter access, return expressions,
- expression statements, sequential composition, literal values, local assignment, local access, field assignment, type cast,
- exception generation and propagation, try and catch statement, throw statement
- instance creation and (default) static initialization

```
package java_lang

public interface HasFoo {
  public Base foo(Base z);
}

public class Base implements HasFoo {
  static boolean arr[] = new boolean[2];
  public HasFoo vee;
  public Base foo(Base z) {
    return z;
  }
}

public class Ext extends Base {
  public int vee;
  public Ext foo(Base z) {
    ((Ext)z).vee = 1;
    return null;
  }
}

public class Main {
  public static void main(String args[]) throws Throwable {
    Base e = new Ext();
    try {e.foo(null); }
    catch(NullPointerException z) {
      while(Ext.arr[2]) ;
    }
  }
}

declare widen.null [intro]
```

lemma *wf-fdecl-def2*: $\bigwedge fd. wf-fdecl\ G\ P\ fd = is-acc-type\ G\ P\ (type\ (snd\ fd))$
<proof>

declare *wf-fdecl-def2* [iff]

type and expression names

datatype *tnam'* = *HasFoo'* | *Base'* | *Ext'* | *Main'*
datatype *vnam'* = *arr'* | *vee'* | *z'* | *e'*
datatype *label'* = *lab1'*

consts

tnam' :: *tnam'* \Rightarrow *tnam*
vnam' :: *vnam'* \Rightarrow *vname*
label' :: *label'* \Rightarrow *label*

axioms

inj-tnam' [simp]: (*tnam'* *x* = *tnam'* *y*) = (*x* = *y*)
inj-vnam' [simp]: (*vnam'* *x* = *vnam'* *y*) = (*x* = *y*)
inj-label' [simp]: (*label'* *x* = *label'* *y*) = (*x* = *y*)

surj-tnam': $\exists m. n = tnam'\ m$
surj-vnam': $\exists m. n = vnam'\ m$
surj-label': $\exists m. n = label'\ m$

abbreviation

HasFoo :: *qname* **where**
HasFoo == ($\langle pid=java-lang, tid=TName\ (tnam'\ HasFoo') \rangle$)

abbreviation

Base :: *qname* **where**
Base == ($\langle pid=java-lang, tid=TName\ (tnam'\ Base') \rangle$)

abbreviation

Ext :: *qname* **where**
Ext == ($\langle pid=java-lang, tid=TName\ (tnam'\ Ext') \rangle$)

abbreviation

Main :: *qname* **where**
Main == ($\langle pid=java-lang, tid=TName\ (tnam'\ Main') \rangle$)

abbreviation

arr :: *vname* **where**
arr == (*vnam'* *arr'*)

abbreviation

vee :: *vname* **where**
vee == (*vnam'* *vee'*)

abbreviation

z :: *vname* **where**
z == (*vnam'* *z'*)

abbreviation

e :: *vname* **where**
e == (*vnam'* *e'*)

abbreviation

lab1:: *label* **where**
lab1 == *label'* *lab1'*

lemma *neq-Base-Object* [*simp*]: *Base*≠*Object*
 ⟨*proof*⟩

lemma *neq-Ext-Object* [*simp*]: *Ext*≠*Object*
 ⟨*proof*⟩

lemma *neq-Main-Object* [*simp*]: *Main*≠*Object*
 ⟨*proof*⟩

lemma *neq-Base-SXcpt* [*simp*]: *Base*≠*SXcpt* *xn*
 ⟨*proof*⟩

lemma *neq-Ext-SXcpt* [*simp*]: *Ext*≠*SXcpt* *xn*
 ⟨*proof*⟩

lemma *neq-Main-SXcpt* [*simp*]: *Main*≠*SXcpt* *xn*
 ⟨*proof*⟩

classes and interfaces**defs**

Object-mdecls-def: *Object-mdecls* ≡ []
SXcpt-mdecls-def: *SXcpt-mdecls* ≡ []

consts

foo :: *mname*

definition *foo-sig* :: *sig*
where *foo-sig* ≡ (|*name*=*foo*,*parTs*=[*Class Base*]|)

definition *foo-mhead* :: *mhead*
where *foo-mhead* ≡ (|*access*=*Public*,*static*=*False*,*pars*=[*z*],*resT*=*Class Base*|)

definition *Base-foo* :: *mdecl*
where *Base-foo* ≡ (*foo-sig*, (|*access*=*Public*,*static*=*False*,*pars*=[*z*],*resT*=*Class Base*,
mbody=(|*lcls*=[],*stmt*=*Return* (!!*z*)|)|))

definition *Ext-foo* :: *mdecl*
where *Ext-foo* ≡ (*foo-sig*,
 (|*access*=*Public*,*static*=*False*,*pars*=[*z*],*resT*=*Class Ext*,
mbody=(|*lcls*=[]
 ,*stmt*=*Expr* ({*Ext*,*Ext*,*False*} *Cast* (*Class Ext*) (!!*z*)..*vee* :=
 Lit (*Intg* 1)) ;;
Return (*Lit* *Null*)|)|
 |))

definition *arr-viewed-from* :: *qname* \Rightarrow *qname* \Rightarrow *var* **where**
arr-viewed-from *accC* *C* \equiv {*accC*,*Base*,*True*}*StatRef* (*ClassT* *C*)..*arr*

definition *BaseCl* :: *class* **where**
BaseCl \equiv (*access*=*Public*,
cfields=[(*arr*, (*access*=*Public*,*static*=*True*,*type*=*PrimT Boolean*.[\emptyset]),
(*vee*, (*access*=*Public*,*static*=*False*,*type*=*Iface HasFoo* [\emptyset)]),
methods=[*Base-foo*],
init=*Expr*(*arr-viewed-from* *Base* *Base*
:=*New* (*PrimT Boolean*)[*Lit* (*Intg 2*)]),
super=*Object*,
superIfs=[*HasFoo*] \emptyset)

definition *ExtCl* :: *class* **where**
ExtCl \equiv (*access*=*Public*,
cfields=[(*vee*, (*access*=*Public*,*static*=*False*,*type*=*PrimT Integer*) \emptyset)],
methods=[*Ext-foo*],
init=*Skip*,
super=*Base*,
superIfs= \emptyset)

definition *MainCl* :: *class* **where**
MainCl \equiv (*access*=*Public*,
cfields= \emptyset ,
methods= \emptyset ,
init=*Skip*,
super=*Object*,
superIfs= \emptyset)

definition *HasFooInt* :: *iface*
where *HasFooInt* \equiv (*access*=*Public*,*imethods*=[(*foo-sig*, *foo-mhead*)],*isuperIfs*= \emptyset)

definition *Ifaces* :: *idecl list*
where *Ifaces* \equiv [(*HasFoo*,*HasFooInt*)]

definition *Classes* :: *cdecl list*
where *Classes* \equiv [(*Base*,*BaseCl*),(*Ext*,*ExtCl*),(*Main*,*MainCl*)]@*standard-classes*

lemmas *table-classes-defs* =
Classes-def standard-classes-def ObjectC-def SXcptC-def

lemma *table-ifaces* [*simp*]: *table-of Ifaces* = *empty*(*HasFoo* \mapsto *HasFooInt*)
 \langle *proof* \rangle

lemma *table-classes-Object* [*simp*]:
table-of Classes *Object* = *Some* (*access*=*Public*,*cfields*= \emptyset
, *methods*=*Object-mdecls*
, *init*=*Skip*,*super*=*undefined*,*superIfs*= \emptyset)
 \langle *proof* \rangle

lemma *table-classes-SXcpt* [*simp*]:
table-of Classes (*SXcpt xn*)
= *Some* (*access*=*Public*,*cfields*= \emptyset ,*methods*=*SXcpt-mdecls*,
init=*Skip*,
super=*if xn = Throwable then Object else SXcpt Throwable*,

$\langle \text{proof} \rangle$ $\text{superIfs} = []$

lemma *table-classes-HasFoo* [simp]: *table-of Classes HasFoo = None*
 $\langle \text{proof} \rangle$

lemma *table-classes-Base* [simp]: *table-of Classes Base = Some BaseCl*
 $\langle \text{proof} \rangle$

lemma *table-classes-Ext* [simp]: *table-of Classes Ext = Some ExtCl*
 $\langle \text{proof} \rangle$

lemma *table-classes-Main* [simp]: *table-of Classes Main = Some MainCl*
 $\langle \text{proof} \rangle$

program

abbreviation

$\text{tprg} :: \text{prog where}$
 $\text{tprg} == (\text{ifaces} = \text{Ifaces}, \text{classes} = \text{Classes})$

definition

test :: (ty)list \Rightarrow stmt **where**

$\text{test } pTs \equiv e ::= \text{NewC } \text{Ext};;$
 $\text{Try Expr}(\{ \text{Main}, \text{ClassT } \text{Base}, \text{IntVir} \} !! e \cdot \text{foo}(\{ pTs \} [\text{Lit } \text{Null}]))$
 $\text{Catch}((\text{SXcpt } \text{NullPointer}) \ z)$
 $(\text{lab1} \bullet \text{While}(\text{Acc}$
 $\quad (\text{Acc } (\text{arr-viewed-from } \text{Main } \text{Ext}).[\text{Lit } (\text{Intg } 2)])) \text{Skip})$

well-structuredness

lemma *not-Object-subcls-any* [elim!]: $(\text{Object}, C) \in (\text{subcls1 } \text{tprg})^+ \Longrightarrow R$
 $\langle \text{proof} \rangle$

lemma *not-Throwable-subcls-SXcpt* [elim!]:
 $(\text{SXcpt } \text{Throwable}, \text{SXcpt } xn) \in (\text{subcls1 } \text{tprg})^+ \Longrightarrow R$
 $\langle \text{proof} \rangle$

lemma *not-SXcpt-n-subcls-SXcpt-n* [elim!]:
 $(\text{SXcpt } xn, \text{SXcpt } xn) \in (\text{subcls1 } \text{tprg})^+ \Longrightarrow R$
 $\langle \text{proof} \rangle$

lemma *not-Base-subcls-Ext* [elim!]: $(\text{Base}, \text{Ext}) \in (\text{subcls1 } \text{tprg})^+ \Longrightarrow R$
 $\langle \text{proof} \rangle$

lemma *not-TName-n-subcls-TName-n* [rule-format (no-asm), elim!]:
 $(\langle \text{pid} = \text{java-lang}, \text{tid} = \text{TName } tn \rangle, \langle \text{pid} = \text{java-lang}, \text{tid} = \text{TName } tn \rangle)$
 $\in (\text{subcls1 } \text{tprg})^+ \longrightarrow R$
 $\langle \text{proof} \rangle$

lemma *ws-idecl-HasFoo*: *ws-idecl tprg HasFoo* []
 ⟨proof⟩

lemma *ws-cdecl-Object*: *ws-cdecl tprg Object any*
 ⟨proof⟩

lemma *ws-cdecl-Throwable*: *ws-cdecl tprg (SXcpt Throwable) Object*
 ⟨proof⟩

lemma *ws-cdecl-SXcpt*: *ws-cdecl tprg (SXcpt xn) (SXcpt Throwable)*
 ⟨proof⟩

lemma *ws-cdecl-Base*: *ws-cdecl tprg Base Object*
 ⟨proof⟩

lemma *ws-cdecl-Ext*: *ws-cdecl tprg Ext Base*
 ⟨proof⟩

lemma *ws-cdecl-Main*: *ws-cdecl tprg Main Object*
 ⟨proof⟩

lemmas *ws-cdecls* = *ws-cdecl-SXcpt ws-cdecl-Object ws-cdecl-Throwable*
ws-cdecl-Base ws-cdecl-Ext ws-cdecl-Main

declare *not-Object-subcls-any* [rule del]
not-Throwable-subcls-SXcpt [rule del]
not-SXcpt-n-subcls-SXcpt-n [rule del]
not-Base-subcls-Ext [rule del] *not-TName-n-subcls-TName-n* [rule del]

lemma *ws-idecl-all*:
 $G = \text{tprg} \implies (\forall (I, i) \in \text{set Ifaces}. \text{ws-idecl } G \ I \ (\text{isuperIfs } i))$
 ⟨proof⟩

lemma *ws-cdecl-all*: $G = \text{tprg} \implies (\forall (C, c) \in \text{set Classes}. \text{ws-cdecl } G \ C \ (\text{super } c))$
 ⟨proof⟩

lemma *ws-tprg*: *ws-prog tprg*
 ⟨proof⟩

misc program properties (independent of well-structuredness)

lemma *single-iface* [simp]: *is-iface tprg I* = (*I* = *HasFoo*)
 ⟨proof⟩

lemma *empty-subint1* [simp]: *subint1 tprg* = {}
 ⟨proof⟩

lemma *unique-ifaces*: *unique Ifaces*

$\langle \text{proof} \rangle$

lemma *unique-classes: unique Classes*

$\langle \text{proof} \rangle$

lemma *SXcpt-subcls-Throwable* [simp]: $\text{tprg} \vdash \text{SXcpt } xn \preceq_C \text{ SXcpt Throwable}$

$\langle \text{proof} \rangle$

lemma *Ext-subclseq-Base* [simp]: $\text{tprg} \vdash \text{Ext} \preceq_C \text{ Base}$

$\langle \text{proof} \rangle$

lemma *Ext-subcls-Base* [simp]: $\text{tprg} \vdash \text{Ext} \prec_C \text{ Base}$

$\langle \text{proof} \rangle$

fields and method lookup

lemma *fields-tprg-Object* [simp]: $\text{DeclConcepts.fields tprg Object} = []$

$\langle \text{proof} \rangle$

lemma *fields-tprg-Throwable* [simp]:

$\text{DeclConcepts.fields tprg (SXcpt Throwable)} = []$

$\langle \text{proof} \rangle$

lemma *fields-tprg-SXcpt* [simp]: $\text{DeclConcepts.fields tprg (SXcpt } xn) = []$

$\langle \text{proof} \rangle$

lemmas $\text{fields-rec}' = \text{fields-rec} \text{ [OF - ws-tprg]}$

lemma *fields-Base* [simp]:

$\text{DeclConcepts.fields tprg Base}$

$= [((\text{arr}, \text{Base}), (\text{access} = \text{Public}, \text{static} = \text{True}, \text{type} = \text{PrimT Boolean.} [])),$
 $((\text{vee}, \text{Base}), (\text{access} = \text{Public}, \text{static} = \text{False}, \text{type} = \text{Iface HasFoo } []))]$

$\langle \text{proof} \rangle$

lemma *fields-Ext* [simp]:

$\text{DeclConcepts.fields tprg Ext}$

$= [((\text{vee}, \text{Ext}), (\text{access} = \text{Public}, \text{static} = \text{False}, \text{type} = \text{PrimT Integer}))]$
 $@ \text{DeclConcepts.fields tprg Base}$

$\langle \text{proof} \rangle$

lemmas $\text{imethds-rec}' = \text{imethds-rec} \text{ [OF - ws-tprg]}$

lemmas $\text{methd-rec}' = \text{methd-rec} \text{ [OF - ws-tprg]}$

lemma *imethds-HasFoo* [simp]:

$\text{imethds tprg HasFoo} = \text{Option.set} \circ \text{empty}(\text{foo-sig} \mapsto (\text{HasFoo}, \text{foo-mhead}))$

$\langle \text{proof} \rangle$

lemma *methd-tprg-Object* [simp]: $\text{methd tprg Object} = \text{empty}$

$\langle \text{proof} \rangle$

lemma *methd-Base* [simp]:
 $\text{methd tprg Base} = \text{table-of } [(\lambda(s,m). (s, \text{Base}, m)) \text{ Base-foo}]$
 ⟨proof⟩

lemma *memberid-Base-foo-simp* [simp]:
 $\text{memberid (mdecl Base-foo)} = \text{mid foo-sig}$
 ⟨proof⟩

lemma *memberid-Ext-foo-simp* [simp]:
 $\text{memberid (mdecl Ext-foo)} = \text{mid foo-sig}$
 ⟨proof⟩

lemma *Base-declares-foo*:
 $\text{tprg} \vdash \text{mdecl Base-foo declared-in Base}$
 ⟨proof⟩

lemma *foo-sig-not-undeclared-in-Base*:
 $\neg \text{tprg} \vdash \text{mid foo-sig undeclared-in Base}$
 ⟨proof⟩

lemma *Ext-declares-foo*:
 $\text{tprg} \vdash \text{mdecl Ext-foo declared-in Ext}$
 ⟨proof⟩

lemma *foo-sig-not-undeclared-in-Ext*:
 $\neg \text{tprg} \vdash \text{mid foo-sig undeclared-in Ext}$
 ⟨proof⟩

lemma *Base-foo-not-inherited-in-Ext*:
 $\neg \text{tprg} \vdash \text{Ext inherits (Base, mdecl Base-foo)}$
 ⟨proof⟩

lemma *Ext-method-inheritance*:
 $\text{filter-tab } (\lambda \text{sig } m. \text{tprg} \vdash \text{Ext inherits method sig } m)$
 $(\text{empty}(\text{fst } ((\lambda(s, m). (s, \text{Base}, m)) \text{ Base-foo})) \mapsto$
 $\text{snd } ((\lambda(s, m). (s, \text{Base}, m)) \text{ Base-foo})))$
 $= \text{empty}$
 ⟨proof⟩

lemma *methd-Ext* [simp]: $\text{methd tprg Ext} =$
 $\text{table-of } [(\lambda(s,m). (s, \text{Ext}, m)) \text{ Ext-foo}]$
 ⟨proof⟩

accessibility

lemma *classesDefined*:
 $\llbracket \text{class tprg } C = \text{Some } c; C \neq \text{Object} \rrbracket \implies \exists \text{ sc. class tprg (super } c) = \text{Some } sc$

$\langle proof \rangle$

lemma *superclassesBase* [simp]: *superclasses tprg Base* = { *Object* }
 $\langle proof \rangle$

lemma *superclassesExt* [simp]: *superclasses tprg Ext* = { *Base, Object* }
 $\langle proof \rangle$

lemma *superclassesMain* [simp]: *superclasses tprg Main* = { *Object* }
 $\langle proof \rangle$

lemma *HasFoo-accessible* [simp]: *tprg* \vdash (*Iface HasFoo*) *accessible-in P*
 $\langle proof \rangle$

lemma *HasFoo-is-acc-iface* [simp]: *is-acc-iface tprg P HasFoo*
 $\langle proof \rangle$

lemma *HasFoo-is-acc-type* [simp]: *is-acc-type tprg P (Iface HasFoo)*
 $\langle proof \rangle$

lemma *Base-accessible* [simp]: *tprg* \vdash (*Class Base*) *accessible-in P*
 $\langle proof \rangle$

lemma *Base-is-acc-class* [simp]: *is-acc-class tprg P Base*
 $\langle proof \rangle$

lemma *Base-is-acc-type* [simp]: *is-acc-type tprg P (Class Base)*
 $\langle proof \rangle$

lemma *Ext-accessible* [simp]: *tprg* \vdash (*Class Ext*) *accessible-in P*
 $\langle proof \rangle$

lemma *Ext-is-acc-class* [simp]: *is-acc-class tprg P Ext*
 $\langle proof \rangle$

lemma *Ext-is-acc-type* [simp]: *is-acc-type tprg P (Class Ext)*
 $\langle proof \rangle$

lemma *accmethd-tprg-Object* [simp]: *accmethd tprg S Object* = *empty*
 $\langle proof \rangle$

lemma *snd-special-simp*: *snd* (($\lambda(s, m). (s, a, m)$) *x*) = (*a, snd x*)
 $\langle proof \rangle$

lemma *fst-special-simp*: $\text{fst } ((\lambda(s, m). (s, a, m)) x) = \text{fst } x$
 ⟨proof⟩

lemma *foo-sig-undeclared-in-Object*:
 $\text{tpg} \vdash \text{mid } \text{foo-sig undeclared-in Object}$
 ⟨proof⟩

lemma *unique-sig-Base-foo*:
 $\text{tpg} \vdash \text{mdecl } (\text{sig, snd Base-foo}) \text{ declared-in Base} \implies \text{sig} = \text{foo-sig}$
 ⟨proof⟩

lemma *Base-foo-no-override*:
 $\text{tpg, sig} \vdash (\text{Base}, (\text{snd Base-foo})) \text{ overrides old} \implies P$
 ⟨proof⟩

lemma *Base-foo-no-stat-override*:
 $\text{tpg, sig} \vdash (\text{Base}, (\text{snd Base-foo})) \text{ overrides}_S \text{ old} \implies P$
 ⟨proof⟩

lemma *Base-foo-no-hide*:
 $\text{tpg, sig} \vdash (\text{Base}, (\text{snd Base-foo})) \text{ hides old} \implies P$
 ⟨proof⟩

lemma *Ext-foo-no-hide*:
 $\text{tpg, sig} \vdash (\text{Ext}, (\text{snd Ext-foo})) \text{ hides old} \implies P$
 ⟨proof⟩

lemma *unique-sig-Ext-foo*:
 $\text{tpg} \vdash \text{mdecl } (\text{sig, snd Ext-foo}) \text{ declared-in Ext} \implies \text{sig} = \text{foo-sig}$
 ⟨proof⟩

lemma *Ext-foo-override*:
 $\text{tpg, sig} \vdash (\text{Ext}, (\text{snd Ext-foo})) \text{ overrides old}$
 $\implies \text{old} = (\text{Base}, (\text{snd Base-foo}))$
 ⟨proof⟩

lemma *Ext-foo-stat-override*:
 $\text{tpg, sig} \vdash (\text{Ext}, (\text{snd Ext-foo})) \text{ overrides}_S \text{ old}$
 $\implies \text{old} = (\text{Base}, (\text{snd Base-foo}))$
 ⟨proof⟩

lemma *Base-foo-member-of-Base*:
 $\text{tpg} \vdash (\text{Base}, \text{mdecl Base-foo}) \text{ member-of Base}$
 ⟨proof⟩

lemma *Base-foo-member-in-Base*:
 $\text{tpg} \vdash (\text{Base}, \text{mdecl Base-foo}) \text{ member-in Base}$

$\langle \text{proof} \rangle$

lemma *Ext-foo-member-of-Ext*:

$\text{tprg} \vdash (\text{Ext}, \text{mdecl } \text{Ext-foo}) \text{ member-of } \text{Ext}$
 $\langle \text{proof} \rangle$

lemma *Ext-foo-member-in-Ext*:

$\text{tprg} \vdash (\text{Ext}, \text{mdecl } \text{Ext-foo}) \text{ member-in } \text{Ext}$
 $\langle \text{proof} \rangle$

lemma *Base-foo-permits-acc*:

$\text{tprg} \vdash (\text{Base}, \text{mdecl } \text{Base-foo}) \text{ in } \text{Base} \text{ permits-acc-from } S$
 $\langle \text{proof} \rangle$

lemma *Base-foo-accessible [simp]*:

$\text{tprg} \vdash (\text{Base}, \text{mdecl } \text{Base-foo}) \text{ of } \text{Base} \text{ accessible-from } S$
 $\langle \text{proof} \rangle$

lemma *Base-foo-dyn-accessible [simp]*:

$\text{tprg} \vdash (\text{Base}, \text{mdecl } \text{Base-foo}) \text{ in } \text{Base} \text{ dyn-accessible-from } S$
 $\langle \text{proof} \rangle$

lemma *accmethd-Base [simp]*:

$\text{accmethd } \text{tprg } S \text{ Base} = \text{methd } \text{tprg } \text{Base}$
 $\langle \text{proof} \rangle$

lemma *Ext-foo-permits-acc*:

$\text{tprg} \vdash (\text{Ext}, \text{mdecl } \text{Ext-foo}) \text{ in } \text{Ext} \text{ permits-acc-from } S$
 $\langle \text{proof} \rangle$

lemma *Ext-foo-accessible [simp]*:

$\text{tprg} \vdash (\text{Ext}, \text{mdecl } \text{Ext-foo}) \text{ of } \text{Ext} \text{ accessible-from } S$
 $\langle \text{proof} \rangle$

lemma *Ext-foo-dyn-accessible [simp]*:

$\text{tprg} \vdash (\text{Ext}, \text{mdecl } \text{Ext-foo}) \text{ in } \text{Ext} \text{ dyn-accessible-from } S$
 $\langle \text{proof} \rangle$

lemma *Ext-foo-overrides-Base-foo*:

$\text{tprg} \vdash (\text{Ext}, \text{Ext-foo}) \text{ overrides } (\text{Base}, \text{Base-foo})$
 $\langle \text{proof} \rangle$

lemma *accmethd-Ext [simp]*:

$\text{accmethd } \text{tprg } S \text{ Ext} = \text{methd } \text{tprg } \text{Ext}$
 $\langle \text{proof} \rangle$

lemma *cls-Ext*: $\text{class } \text{tprg } \text{Ext} = \text{Some } \text{ExtCl}$

⟨proof⟩

lemma *dynmethd-Ext-foo*:
dynmethd tprg Base Ext (⟦name = foo, parTs = [Class Base]⟧)
 = *Some (Ext,snd Ext-foo)*
 ⟨proof⟩

lemma *Base-fields-accessible[simp]*:
accfield tprg S Base
 = *table-of*((map (λ((n,d),f).(n,(d,f)))) (DeclConcepts.fields tprg Base))
 ⟨proof⟩

lemma *arr-member-of-Base*:
tprg⊢(*Base*, *fdecl* (*arr*,
 (⟦access = Public, static = True, type = PrimT Boolean.[]⟧))
member-of Base)
 ⟨proof⟩

lemma *arr-member-in-Base*:
tprg⊢(*Base*, *fdecl* (*arr*,
 (⟦access = Public, static = True, type = PrimT Boolean.[]⟧))
member-in Base)
 ⟨proof⟩

lemma *arr-member-of-Ext*:
tprg⊢(*Base*, *fdecl* (*arr*,
 (⟦access = Public, static = True, type = PrimT Boolean.[]⟧))
member-of Ext)
 ⟨proof⟩

lemma *arr-member-in-Ext*:
tprg⊢(*Base*, *fdecl* (*arr*,
 (⟦access = Public, static = True, type = PrimT Boolean.[]⟧))
member-in Ext)
 ⟨proof⟩

lemma *Ext-fields-accessible[simp]*:
accfield tprg S Ext
 = *table-of*((map (λ((n,d),f).(n,(d,f)))) (DeclConcepts.fields tprg Ext))
 ⟨proof⟩

lemma *arr-Base-dyn-accessible [simp]*:
tprg⊢(*Base*, *fdecl* (*arr*, (⟦access=Public,static=True ,type=PrimT Boolean.[]⟧))
in Base dyn-accessible-from S)
 ⟨proof⟩

lemma *arr-Ext-dyn-accessible[simp]*:
tprg⊢(*Base*, *fdecl* (*arr*, (⟦access=Public,static=True ,type=PrimT Boolean.[]⟧))
in Ext dyn-accessible-from S)
 ⟨proof⟩

lemma *array-of-PrimT-acc* [simp]:
is-acc-type tprg java-lang (PrimT t.[])
 ⟨proof⟩

lemma *PrimT-acc* [simp]:
is-acc-type tprg java-lang (PrimT t)
 ⟨proof⟩

lemma *Object-acc* [simp]:
is-acc-class tprg java-lang Object
 ⟨proof⟩

well-formedness

lemma *wf-HasFoo*: *wf-idecl tprg (HasFoo, HasFooInt)*
 ⟨proof⟩

declare *member-is-static-simp* [simp]
declare *wt.Skip* [rule del] *wt.Init* [rule del]
 ⟨ML⟩
lemmas *wtIs* = *wt-Call wt-Super wt-FVar wt-StatRef wt-intros*
lemmas *daIs* = *assigned.select-convs da-Skip da-NewC da-Lit da-Super da.intros*

lemmas *Base-foo-defs* = *Base-foo-def foo-sig-def foo-mhead-def*
lemmas *Ext-foo-defs* = *Ext-foo-def foo-sig-def*

lemma *wf-Base-foo*: *wf-mdecl tprg Base Base-foo*
 ⟨proof⟩

lemma *wf-Ext-foo*: *wf-mdecl tprg Ext Ext-foo*
 ⟨proof⟩

declare *mhead-resTy-simp* [simp add]
declare *member-is-static-simp* [simp add]

lemma *wf-BaseC*: *wf-cdecl tprg (Base, BaseCl)*
 ⟨proof⟩

lemma *wf-ExtC*: *wf-cdecl tprg (Ext, ExtCl)*
 ⟨proof⟩

lemma *wf-MainC*: *wf-cdecl tprg (Main, MainCl)*
 ⟨proof⟩

lemma *wf-idecl-all*: $p = \text{tprg} \implies \text{Ball } (\text{set } \text{Ifaces}) (\text{wf-idecl } p)$
 $\langle \text{proof} \rangle$

lemma *wf-cdecl-all-standard-classes*:
 $\text{Ball } (\text{set } \text{standard-classes}) (\text{wf-cdecl } \text{tprg})$
 $\langle \text{proof} \rangle$

lemma *wf-cdecl-all*: $p = \text{tprg} \implies \text{Ball } (\text{set } \text{Classes}) (\text{wf-cdecl } p)$
 $\langle \text{proof} \rangle$

theorem *wf-tprg*: $\text{wf-prog } \text{tprg}$
 $\langle \text{proof} \rangle$

max spec

lemma *appl-methds-Base-foo*:
 $\text{appl-methds } \text{tprg } S \text{ (ClassT Base) } (\text{!name=foo, parTs=[NT]}) =$
 $\{((\text{ClassT Base}, (\text{!access=Public, static=False, pars=[z], resT=Class Base})),$
 $\text{[Class Base]})\}$
 $\langle \text{proof} \rangle$

lemma *max-spec-Base-foo*: $\text{max-spec } \text{tprg } S \text{ (ClassT Base) } (\text{!name=foo, parTs=[NT]}) =$
 $\{((\text{ClassT Base}, (\text{!access=Public, static=False, pars=[z], resT=Class Base})),$
 $\text{[Class Base]})\}$
 $\langle \text{proof} \rangle$

well-typedness

schematic-lemma *wt-test*: $(\text{!prg=tprg, cls=Main, lcl=empty} (VName \ e \mapsto \text{Class Base})) \vdash \text{test } ?pTs :: \checkmark$
 $\langle \text{proof} \rangle$

definite assignment

schematic-lemma *da-test*: $(\text{!prg=tprg, cls=Main, lcl=empty} (VName \ e \mapsto \text{Class Base}))$
 $\vdash \{\} \gg \langle \text{test } ?pTs \rangle \gg (\text{!nrm} = \{ VName \ e \}, \text{brk} = \lambda \ l. \text{UNIV})$
 $\langle \text{proof} \rangle$

execution

lemma *alloc-one*: $\bigwedge a \text{ obj. } \llbracket \text{the } (\text{new-Addr } h) = a; \text{atleast-free } h \text{ (Suc } n) \rrbracket \implies$
 $\text{new-Addr } h = \text{Some } a \wedge \text{atleast-free } (h(a \mapsto \text{obj})) \ n$
 $\langle \text{proof} \rangle$

declare *fvar-def2* [simp] *avar-def2* [simp] *init-lvars-def2* [simp]
declare *init-obj-def* [simp] *var-tys-def* [simp] *fields-table-def* [simp]
declare *BaseCl-def* [simp] *ExtCl-def* [simp] *Ext-foo-def* [simp]
 Base-foo-defs [simp]

$\langle \text{ML} \rangle$

lemmas *eval-Is* = *eval-Init eval-StatRef AbruptIs eval-intros*

consts

$a :: \text{loc}$
 $b :: \text{loc}$
 $c :: \text{loc}$

abbreviation *one* == *Suc 0*
abbreviation *two* == *Suc one*
abbreviation *three* == *Suc two*
abbreviation *four* == *Suc three*

abbreviation
obj-a == ($\lambda tag = \text{Arr } (\text{PrimT } \text{Boolean}) \ 2$
 $\ , values = \text{empty}(\text{Inr } 0 \mapsto \text{Bool } \text{False})(\text{Inr } 1 \mapsto \text{Bool } \text{False})$)

abbreviation
obj-b == ($\lambda tag = \text{CInst } \text{Ext}$
 $\ , values = (\text{empty}(\text{Inl } (\text{vee}, \text{Base}) \mapsto \text{Null } \)$
 $\ (\text{Inl } (\text{vee}, \text{Ext}) \mapsto \text{Intg } 0))$)

abbreviation
obj-c == ($\lambda tag = \text{CInst } (\text{SXcpt } \text{NullPointer}), values = \text{CONST } \text{empty}$)

abbreviation *arr-N* == $\text{empty}(\text{Inl } (\text{arr}, \text{Base}) \mapsto \text{Null})$
abbreviation *arr-a* == $\text{empty}(\text{Inl } (\text{arr}, \text{Base}) \mapsto \text{Addr } a)$

abbreviation
globs1 == $\text{empty}(\text{Inr } \text{Ext} \mapsto (\lambda tag = \text{undefined}, values = \text{empty}))$
 $\ (\text{Inr } \text{Base} \mapsto (\lambda tag = \text{undefined}, values = \text{arr-N}))$
 $\ (\text{Inr } \text{Object} \mapsto (\lambda tag = \text{undefined}, values = \text{empty}))$

abbreviation
globs2 == $\text{empty}(\text{Inr } \text{Ext} \mapsto (\lambda tag = \text{undefined}, values = \text{empty}))$
 $\ (\text{Inr } \text{Object} \mapsto (\lambda tag = \text{undefined}, values = \text{empty}))$
 $\ (\text{Inl } a \mapsto \text{obj-a})$
 $\ (\text{Inr } \text{Base} \mapsto (\lambda tag = \text{undefined}, values = \text{arr-a}))$

abbreviation *globs3* == $\text{globs2}(\text{Inl } b \mapsto \text{obj-b})$
abbreviation *globs8* == $\text{globs3}(\text{Inl } c \mapsto \text{obj-c})$
abbreviation *locs3* == $\text{empty}(\text{VName } e \mapsto \text{Addr } b)$
abbreviation *locs8* == $\text{locs3}(\text{VName } z \mapsto \text{Addr } c)$

abbreviation *s0* == *st empty empty*
abbreviation *s0'* == *Norm s0*
abbreviation *s1* == *st globs1 empty*
abbreviation *s1'* == *Norm s1*
abbreviation *s2* == *st globs2 empty*
abbreviation *s2'* == *Norm s2*
abbreviation *s3* == *st globs3 locs3*
abbreviation *s3'* == *Norm s3*
abbreviation *s7'* == *(Some (Xcpt (Std NullPointer)), s3)*
abbreviation *s8* == *st globs8 locs8*
abbreviation *s8'* == *Norm s8*
abbreviation *s9'* == *(Some (Xcpt (Std IndOutBound)), s8)*

declare *Pair-eq* [*simp del*]
schematic-lemma *exec-test*:
 $\llbracket \text{the } (\text{new-Addr } (\text{heap } s1)) = a;$
 $\text{the } (\text{new-Addr } (\text{heap } ?s2)) = b;$
 $\text{the } (\text{new-Addr } (\text{heap } ?s3)) = c \rrbracket \implies$
 $\text{atleast-free } (\text{heap } s0) \text{ four} \implies$
 $\text{tpg} \vdash s0' - \text{test } [\text{Class } \text{Base}] \rightarrow ?s9'$
 $\langle \text{proof} \rangle$
declare *Pair-eq* [*simp*]

end

Chapter 17

Conform

44 Conformance notions for the type soundness proof for Java

theory *Conform* **imports** *State* **begin**

design issues:

- lconf allows for (arbitrary) inaccessible values
- "conforms" does not directly imply that the dynamic types of all objects on the heap are indeed existing classes. Yet this can be inferred for all referenced objs.

types $env' = prog \times (lname, ty) \text{ table}$

extension of global store

definition $gext :: st \Rightarrow st \Rightarrow bool$ ($- \leq | -$ [71,71] 70) **where**
 $s \leq | s' \equiv \forall r. \forall obj \in globs\ s\ r: \exists obj' \in globs\ s'\ r: tag\ obj' = tag\ obj$

For the the proof of type soundness we will need the property that during execution, objects are not lost and moreover retain the values of their tags. So the object store grows conservatively. Note that if we considered garbage collection, we would have to restrict this property to accessible objects.

lemma *gext-objD*:

$\llbracket s \leq | s'; globs\ s\ r = Some\ obj \rrbracket$
 $\implies \exists obj'. globs\ s'\ r = Some\ obj' \wedge tag\ obj' = tag\ obj$
 $\langle proof \rangle$

lemma *rev-gext-objD*:

$\llbracket globs\ s\ r = Some\ obj; s \leq | s' \rrbracket$
 $\implies \exists obj'. globs\ s'\ r = Some\ obj' \wedge tag\ obj' = tag\ obj$
 $\langle proof \rangle$

lemma *init-class-obj-inited*:

$init-class-obj\ G\ C\ s1 \leq | s2 \implies inited\ C\ (globs\ s2)$
 $\langle proof \rangle$

lemma *gext-refl* [*intro!*, *simp*]: $s \leq | s$

$\langle proof \rangle$

lemma *gext-gupd* [*simp*, *elim!*]: $\bigwedge s. globs\ s\ r = None \implies s \leq | gupd(r \mapsto x)s$

$\langle proof \rangle$

lemma *gext-new* [*simp*, *elim!*]: $\bigwedge s. globs\ s\ r = None \implies s \leq | init-obj\ G\ oi\ r\ s$

$\langle proof \rangle$

lemma *gext-trans* [*elim*]: $\bigwedge X. \llbracket s \leq | s'; s' \leq | s'' \rrbracket \implies s \leq | s''$

$\langle proof \rangle$

lemma *gext-upd-gobj* [*intro!*]: $s \leq | upd-gobj\ r\ n\ v\ s$

$\langle proof \rangle$

lemma *gext-cong1* [*simp*]: $set-locals\ l\ s1 \leq | s2 = s1 \leq | s2$

$\langle \text{proof} \rangle$

lemma *gext-cong2* [simp]: $s1 \leq | \text{set-locals } l \ s2 = s1 \leq | s2$
 $\langle \text{proof} \rangle$

lemma *gext-lupd1* [simp]: $\text{lupd}(vn \mapsto v) s1 \leq | s2 = s1 \leq | s2$
 $\langle \text{proof} \rangle$

lemma *gext-lupd2* [simp]: $s1 \leq | \text{lupd}(vn \mapsto v) s2 = s1 \leq | s2$
 $\langle \text{proof} \rangle$

lemma *inited-gext*: $\llbracket \text{inited } C \ (\text{globs } s); s \leq | s' \rrbracket \implies \text{inited } C \ (\text{globs } s')$
 $\langle \text{proof} \rangle$

value conformance

definition *conf* :: $\text{prog} \Rightarrow \text{st} \Rightarrow \text{val} \Rightarrow \text{ty} \Rightarrow \text{bool}$ $(-, \vdash, \preceq, \preceq - \ [71, 71, 71, 71] \ 70)$ **where**
 $G, s \vdash v :: \preceq T \equiv \exists T' \in \text{typeof} \ (\lambda a. \text{Option.map obj-ty} \ (\text{heap } s \ a)) \ v : G \vdash T' \preceq T$

lemma *conf-cong* [simp]: $G, \text{set-locals } l \ s \vdash v :: \preceq T = G, s \vdash v :: \preceq T$
 $\langle \text{proof} \rangle$

lemma *conf-lupd* [simp]: $G, \text{lupd}(vn \mapsto va) s \vdash v :: \preceq T = G, s \vdash v :: \preceq T$
 $\langle \text{proof} \rangle$

lemma *conf-PrimT* [simp]: $\forall dt. \text{typeof } dt \ v = \text{Some} \ (\text{PrimT } t) \implies G, s \vdash v :: \preceq \text{PrimT } t$
 $\langle \text{proof} \rangle$

lemma *conf-Boolean*: $G, s \vdash v :: \preceq \text{PrimT Boolean} \implies \exists b. v = \text{Bool } b$
 $\langle \text{proof} \rangle$

lemma *conf-litval* [rule-format (no-asm)]:
 $\text{typeof} \ (\lambda a. \text{None}) \ v = \text{Some } T \longrightarrow G, s \vdash v :: \preceq T$
 $\langle \text{proof} \rangle$

lemma *conf-Null* [simp]: $G, s \vdash \text{Null} :: \preceq T = G \vdash NT \preceq T$
 $\langle \text{proof} \rangle$

lemma *conf-Addr*:
 $G, s \vdash \text{Addr } a :: \preceq T = (\exists \text{obj}. \text{heap } s \ a = \text{Some obj} \wedge G \vdash \text{obj-ty obj} \preceq T)$
 $\langle \text{proof} \rangle$

lemma *conf-AddrI*: $\llbracket \text{heap } s \ a = \text{Some obj}; G \vdash \text{obj-ty obj} \preceq T \rrbracket \implies G, s \vdash \text{Addr } a :: \preceq T$
 $\langle \text{proof} \rangle$

lemma *defval-conf* [*rule-format* (*no-asm*), *elim*]:
 $is\text{-}type\ G\ T \longrightarrow G, s \vdash default\text{-}val\ T :: \preceq T$
 $\langle proof \rangle$

lemma *conf-widen* [*rule-format* (*no-asm*), *elim*]:
 $G \vdash T \preceq T' \implies G, s \vdash x :: \preceq T \longrightarrow ws\text{-}prog\ G \longrightarrow G, s \vdash x :: \preceq T'$
 $\langle proof \rangle$

lemma *conf-gext* [*rule-format* (*no-asm*), *elim*]:
 $G, s \vdash v :: \preceq T \longrightarrow s \leq |s' \longrightarrow G, s \vdash v :: \preceq T$
 $\langle proof \rangle$

lemma *conf-list-widen* [*rule-format* (*no-asm*)]:
 $ws\text{-}prog\ G \implies$
 $\forall Ts\ Ts'.\ list\text{-}all2\ (conf\ G\ s)\ vs\ Ts$
 $\longrightarrow G \vdash Ts[\preceq]\ Ts' \longrightarrow list\text{-}all2\ (conf\ G\ s)\ vs\ Ts'$
 $\langle proof \rangle$

lemma *conf-RefTD* [*rule-format* (*no-asm*)]:
 $G, s \vdash a' :: \preceq RefT\ T$
 $\longrightarrow a' = Null \vee (\exists a\ obj\ T'.\ a' = Addr\ a \wedge heap\ s\ a = Some\ obj \wedge$
 $obj\text{-}ty\ obj = T' \wedge G \vdash T' \preceq RefT\ T)$
 $\langle proof \rangle$

value list conformance

definition *lconf* :: $prog \Rightarrow st \Rightarrow ('a, val)\ table \Rightarrow ('a, ty)\ table \Rightarrow bool\ (\neg, \vdash, -[::\preceq]) - [71, 71, 71, 71]\ 70)$ **where**
 $G, s \vdash vs[::\preceq]\ Ts \equiv \forall n.\ \forall T \in Ts\ n:\ \exists v \in vs\ n:\ G, s \vdash v :: \preceq T$

lemma *lconfD*: $\llbracket G, s \vdash vs[::\preceq]\ Ts; Ts\ n = Some\ T \rrbracket \implies G, s \vdash (the\ (vs\ n)) :: \preceq T$
 $\langle proof \rangle$

lemma *lconf-cong* [*simp*]: $\bigwedge s.\ G, set\text{-}locals\ x\ s \vdash l[::\preceq]\ L = G, s \vdash l[::\preceq]\ L$
 $\langle proof \rangle$

lemma *lconf-lupd* [*simp*]: $G, lupd(vn \mapsto v) s \vdash l[::\preceq]\ L = G, s \vdash l[::\preceq]\ L$
 $\langle proof \rangle$

lemma *lconf-new*: $\llbracket L\ vn = None; G, s \vdash l[::\preceq]\ L \rrbracket \implies G, s \vdash l(vn \mapsto v)[::\preceq]\ L$
 $\langle proof \rangle$

lemma *lconf-upd*: $\llbracket G, s \vdash l[::\preceq]\ L; G, s \vdash v :: \preceq T; L\ vn = Some\ T \rrbracket \implies$
 $G, s \vdash l(vn \mapsto v)[::\preceq]\ L$
 $\langle proof \rangle$

lemma *lconf-ext*: $\llbracket G, s \vdash l :: \preceq \rrbracket L; G, s \vdash v :: \preceq T \rrbracket \implies G, s \vdash l(vn \mapsto v) :: \preceq L(vn \mapsto T)$
 $\langle \text{proof} \rangle$

lemma *lconf-map-sum* [*simp*]:
 $G, s \vdash l1 (+) l2 :: \preceq L1 (+) L2 = (G, s \vdash l1 :: \preceq L1 \wedge G, s \vdash l2 :: \preceq L2)$
 $\langle \text{proof} \rangle$

lemma *lconf-ext-list* [*rule-format* (*no-asm*)]:
 $\bigwedge X. \llbracket G, s \vdash l :: \preceq \rrbracket L \implies$
 $\forall vs Ts. \text{distinct } vns \longrightarrow \text{length } Ts = \text{length } vns$
 $\longrightarrow \text{list-all2 } (\text{conf } G s) vs Ts \longrightarrow G, s \vdash l(vns \mapsto vs) :: \preceq L(vns \mapsto Ts)$
 $\langle \text{proof} \rangle$

lemma *lconf-deallocL*: $\llbracket G, s \vdash l :: \preceq \rrbracket L(vn \mapsto T); L \text{ } vn = \text{None} \rrbracket \implies G, s \vdash l :: \preceq L$
 $\langle \text{proof} \rangle$

lemma *lconf-gext* [*elim*]: $\llbracket G, s \vdash l :: \preceq \rrbracket L; s \leq |s| \rrbracket \implies G, s \vdash l :: \preceq L$
 $\langle \text{proof} \rangle$

lemma *lconf-empty* [*simp*, *intro!*]: $G, s \vdash vs :: \preceq \text{empty}$
 $\langle \text{proof} \rangle$

lemma *lconf-init-vals* [*intro!*]:
 $\forall n. \forall T \in fs \text{ } n \text{ is-type } G T \implies G, s \vdash \text{init-vals } fs :: \preceq fs$
 $\langle \text{proof} \rangle$

weak value list conformance

Only if the value is defined it has to conform to its type. This is the contribution of the definite assignment analysis to the notion of conformance. The definite assignment analysis ensures that the program only attempts to access local variables that actually have a defined value in the state. So conformance must only ensure that the defined values are of the right type, and not also that the value is defined.

definition *wlconf* :: $\text{prog} \Rightarrow \text{st} \Rightarrow ('a, \text{val}) \text{ table} \Rightarrow ('a, \text{ty}) \text{ table} \Rightarrow \text{bool}$ ($\neg, \vdash, \sim :: \preceq$) - [71,71,71,71] 70)
where

$$G, s \vdash vs [\sim :: \preceq] Ts \equiv \forall n. \forall T \in Ts \text{ } n: \forall v \in vs \text{ } n: G, s \vdash v :: \preceq T$$

lemma *wlconfD*: $\llbracket G, s \vdash vs [\sim :: \preceq] Ts; Ts \text{ } n = \text{Some } T; vs \text{ } n = \text{Some } v \rrbracket \implies G, s \vdash v :: \preceq T$
 $\langle \text{proof} \rangle$

lemma *wlconf-cong* [*simp*]: $\bigwedge s. G, \text{set-locals } x \text{ } s \vdash l [\sim :: \preceq] L = G, s \vdash l [\sim :: \preceq] L$
 $\langle \text{proof} \rangle$

lemma *wlconf-lupd* [*simp*]: $G, \text{lupd}(vn \mapsto v) s \vdash l [\sim :: \preceq] L = G, s \vdash l [\sim :: \preceq] L$
 $\langle \text{proof} \rangle$

lemma *wlconf-upd*: $\llbracket G, s \vdash l[\sim::\preceq]L; G, s \vdash v::\preceq T; L \text{ vn} = \text{Some } T \rrbracket \implies$
 $G, s \vdash l(\text{vn} \mapsto v)[\sim::\preceq]L$
 $\langle \text{proof} \rangle$

lemma *wlconf-ext*: $\llbracket G, s \vdash l[\sim::\preceq]L; G, s \vdash v::\preceq T \rrbracket \implies G, s \vdash l(\text{vn} \mapsto v)[\sim::\preceq]L(\text{vn} \mapsto T)$
 $\langle \text{proof} \rangle$

lemma *wlconf-map-sum* [*simp*]:
 $G, s \vdash l1 (+) l2[\sim::\preceq]L1 (+) L2 = (G, s \vdash l1[\sim::\preceq]L1 \wedge G, s \vdash l2[\sim::\preceq]L2)$
 $\langle \text{proof} \rangle$

lemma *wlconf-ext-list* [*rule-format (no-asm)*]:
 $\bigwedge X. \llbracket G, s \vdash l[\sim::\preceq]L \rrbracket \implies$
 $\forall \text{vs } Ts. \text{distinct vns} \longrightarrow \text{length } Ts = \text{length } \text{vns}$
 $\longrightarrow \text{list-all2 } (\text{conf } G \text{ s}) \text{ vs } Ts \longrightarrow G, s \vdash l(\text{vns}[\mapsto] \text{vs})[\sim::\preceq]L(\text{vns}[\mapsto] Ts)$
 $\langle \text{proof} \rangle$

lemma *wlconf-deallocL*: $\llbracket G, s \vdash l[\sim::\preceq]L(\text{vn} \mapsto T); L \text{ vn} = \text{None} \rrbracket \implies G, s \vdash l[\sim::\preceq]L$
 $\langle \text{proof} \rangle$

lemma *wlconf-geat* [*elim*]: $\llbracket G, s \vdash l[\sim::\preceq]L; s \leq s' \rrbracket \implies G, s' \vdash l[\sim::\preceq]L$
 $\langle \text{proof} \rangle$

lemma *wlconf-empty* [*simp, intro!*]: $G, s \vdash \text{vs}[\sim::\preceq]\text{empty}$
 $\langle \text{proof} \rangle$

lemma *wlconf-empty-vals*: $G, s \vdash \text{empty}[\sim::\preceq]ts$
 $\langle \text{proof} \rangle$

lemma *wlconf-init-vals* [*intro!*]:
 $\forall n. \forall T \in fs \text{ n:is-type } G \text{ T} \implies G, s \vdash \text{init-vals } fs[\sim::\preceq]fs$
 $\langle \text{proof} \rangle$

lemma *lconf-wlconf*:
 $G, s \vdash l[\sim::\preceq]L \implies G, s \vdash l[\sim::\preceq]L$
 $\langle \text{proof} \rangle$

object conformance

definition *oconf* :: *prog* \Rightarrow *st* \Rightarrow *obj* \Rightarrow *oref* \Rightarrow *bool* ($\sim, \vdash, \sim::\preceq, \sqrt{-}$ [71, 71, 71, 71] 70) **where**
 $G, s \vdash \text{obj}::\preceq \sqrt{r} \equiv G, s \vdash \text{values } \text{obj}[\sim::\preceq] \text{var-tys } G \text{ (tag obj) } r \wedge$
 $(\text{case } r \text{ of}$
 $\quad \text{Heap } a \Rightarrow \text{is-type } G \text{ (obj-ty obj)}$
 $\quad | \text{Stat } C \Rightarrow \text{True})$

lemma *oconf-is-type*: $G, s \vdash \text{obj} :: \preceq \sqrt{r} \text{Heap } a \implies \text{is-type } G \text{ (obj-ty obj)}$
 ⟨proof⟩

lemma *oconf-lconf*: $G, s \vdash \text{obj} :: \preceq \sqrt{r} \implies G, s \vdash \text{values obj} [:: \preceq] \text{var-tys } G \text{ (tag obj) } r$
 ⟨proof⟩

lemma *oconf-cong [simp]*: $G, \text{set-locals } l \vdash \text{obj} :: \preceq \sqrt{r} = G, s \vdash \text{obj} :: \preceq \sqrt{r}$
 ⟨proof⟩

lemma *oconf-init-obj-lemma*:

$$\begin{aligned} & \llbracket \bigwedge C \text{ c. class } G \text{ C} = \text{Some } c \implies \text{unique (DeclConcepts.fields } G \text{ C)}; \\ & \quad \bigwedge C \text{ c f fld. } \llbracket \text{class } G \text{ C} = \text{Some } c; \\ & \quad \quad \text{table-of (DeclConcepts.fields } G \text{ C) f} = \text{Some fld} \rrbracket \\ & \implies \text{is-type } G \text{ (type fld)}; \\ & \text{(case } r \text{ of} \\ & \quad \text{Heap } a \Rightarrow \text{is-type } G \text{ (obj-ty obj)} \\ & \quad | \text{Stat } C \Rightarrow \text{is-class } G \text{ C)} \\ & \rrbracket \implies G, s \vdash \text{obj} \llbracket \text{values} := \text{init-vals (var-tys } G \text{ (tag obj) } r) \rrbracket :: \preceq \sqrt{r} \end{aligned}$$

 ⟨proof⟩

state conformance

definition *conforms* :: $\text{state} \Rightarrow \text{env}' \Rightarrow \text{bool}$ ($-\preceq-$ [71,71] 70) **where**

$$\begin{aligned} xs :: \preceq E &\equiv \text{let } (G, L) = E; s = \text{snd } xs; l = \text{locals } s \text{ in} \\ &(\forall r. \forall \text{obj} \in \text{globs } s \text{ r:} \quad G, s \vdash \text{obj} :: \preceq \sqrt{r}) \wedge \\ &\quad G, s \vdash l \llbracket \sim :: \preceq \rrbracket L \wedge \\ &(\forall a. \text{fst } xs = \text{Some (Xcpt (Loc } a)) \longrightarrow G, s \vdash \text{Addr } a :: \preceq \text{Class (SXcpt Throwable)}) \wedge \\ &(\text{fst } xs = \text{Some (Jump Ret)} \longrightarrow l \text{ Result} \neq \text{None}) \end{aligned}$$

conforms

lemma *conforms-globsD*:
 $\llbracket (x, s) :: \preceq (G, L); \text{globs } s \text{ r} = \text{Some obj} \rrbracket \implies G, s \vdash \text{obj} :: \preceq \sqrt{r}$
 ⟨proof⟩

lemma *conforms-localD*: $(x, s) :: \preceq (G, L) \implies G, s \vdash \text{locals } s \llbracket \sim :: \preceq \rrbracket L$
 ⟨proof⟩

lemma *conforms-XcptLocD*: $\llbracket (x, s) :: \preceq (G, L); x = \text{Some (Xcpt (Loc } a)) \rrbracket \implies$
 $G, s \vdash \text{Addr } a :: \preceq \text{Class (SXcpt Throwable)}$
 ⟨proof⟩

lemma *conforms-RetD*: $\llbracket (x, s) :: \preceq (G, L); x = \text{Some (Jump Ret)} \rrbracket \implies$
 $(\text{locals } s) \text{ Result} \neq \text{None}$
 ⟨proof⟩

lemma *conforms-RefTD*:

$$\begin{aligned} & \llbracket G, s \vdash a' :: \preceq \text{RefT } t; a' \neq \text{Null}; (x, s) :: \preceq (G, L) \rrbracket \implies \\ & \quad \exists a \text{ obj. } a' = \text{Addr } a \wedge \text{globs } s \text{ (Inl } a) = \text{Some obj} \wedge \\ & \quad G \vdash \text{obj-ty obj} \preceq \text{RefT } t \wedge \text{is-type } G \text{ (obj-ty obj)} \end{aligned}$$

$\langle \text{proof} \rangle$

lemma *conforms-Jump* [iff]:

$j = \text{Ret} \longrightarrow \text{locals } s \text{ Result} \neq \text{None}$

$\implies ((\text{Some } (\text{Jump } j), s) :: \preceq(G, L)) = (\text{Norm } s :: \preceq(G, L))$

$\langle \text{proof} \rangle$

lemma *conforms-StdXcpt* [iff]:

$((\text{Some } (\text{Xcpt } (\text{Std } xn)), s) :: \preceq(G, L)) = (\text{Norm } s :: \preceq(G, L))$

$\langle \text{proof} \rangle$

lemma *conforms-Err* [iff]:

$((\text{Some } (\text{Error } e), s) :: \preceq(G, L)) = (\text{Norm } s :: \preceq(G, L))$

$\langle \text{proof} \rangle$

lemma *conforms-raise-if* [iff]:

$((\text{raise-if } c \text{ } xn \text{ } x, s) :: \preceq(G, L)) = ((x, s) :: \preceq(G, L))$

$\langle \text{proof} \rangle$

lemma *conforms-error-if* [iff]:

$((\text{error-if } c \text{ } err \text{ } x, s) :: \preceq(G, L)) = ((x, s) :: \preceq(G, L))$

$\langle \text{proof} \rangle$

lemma *conforms-NormI*: $(x, s) :: \preceq(G, L) \implies \text{Norm } s :: \preceq(G, L)$

$\langle \text{proof} \rangle$

lemma *conforms-absorb* [rule-format]:

$(a, b) :: \preceq(G, L) \longrightarrow (\text{absorb } j \text{ } a, b) :: \preceq(G, L)$

$\langle \text{proof} \rangle$

lemma *conformsI*: $\llbracket \forall r. \forall obj \in \text{globs } s \text{ } r: G, s \vdash obj :: \preceq \sqrt{r};$

$G, s \vdash \text{locals } s [\sim :: \preceq] L;$

$\forall a. x = \text{Some } (\text{Xcpt } (\text{Loc } a)) \longrightarrow G, s \vdash \text{Addr } a :: \preceq \text{Class } (\text{SXcpt } \text{Throwable});$

$x = \text{Some } (\text{Jump } \text{Ret}) \longrightarrow \text{locals } s \text{ Result} \neq \text{None} \rrbracket \implies$

$(x, s) :: \preceq(G, L)$

$\langle \text{proof} \rangle$

lemma *conforms-xconf*: $\llbracket (x, s) :: \preceq(G, L);$

$\forall a. x' = \text{Some } (\text{Xcpt } (\text{Loc } a)) \longrightarrow G, s \vdash \text{Addr } a :: \preceq \text{Class } (\text{SXcpt } \text{Throwable});$

$x' = \text{Some } (\text{Jump } \text{Ret}) \longrightarrow \text{locals } s \text{ Result} \neq \text{None} \rrbracket \implies$

$(x', s) :: \preceq(G, L)$

$\langle \text{proof} \rangle$

lemma *conforms-lupd*:

$\llbracket (x, s) :: \preceq(G, L); L \text{ } vn = \text{Some } T; G, s \vdash v :: \preceq T \rrbracket \implies (x, \text{lupd}(vn \mapsto v) s) :: \preceq(G, L)$

$\langle \text{proof} \rangle$

lemmas *conforms-allocL-aux* = *conforms-localD* [THEN *wlconf-ext*]

lemma *conforms-allocL*:

$\llbracket (x, s) :: \preceq (G, L); G, s \vdash v :: \preceq T \rrbracket \implies (x, \text{lupd}(vn \mapsto v)s) :: \preceq (G, L(vn \mapsto T))$
 $\langle \text{proof} \rangle$

lemmas *conforms-deallocL-aux* = *conforms-localD* [THEN *wlconf-deallocL*]

lemma *conforms-deallocL*: $\bigwedge s. \llbracket s :: \preceq (G, L(vn \mapsto T)); L\ vn = \text{None} \rrbracket \implies s :: \preceq (G, L)$
 $\langle \text{proof} \rangle$

lemma *conforms-gext*: $\llbracket (x, s) :: \preceq (G, L); s \leq | s' ;$
 $\forall r. \forall \text{obj} \in \text{globs } s' \ r: G, s \vdash \text{obj} :: \preceq \sqrt{r};$
 $\text{locals } s' = \text{locals } s \rrbracket \implies (x, s') :: \preceq (G, L)$
 $\langle \text{proof} \rangle$

lemma *conforms-xgext*:

$\llbracket (x, s) :: \preceq (G, L); (x', s') :: \preceq (G, L); s' \leq | s; \text{dom } (\text{locals } s') \subseteq \text{dom } (\text{locals } s) \rrbracket$
 $\implies (x', s) :: \preceq (G, L)$
 $\langle \text{proof} \rangle$

lemma *conforms-gupd*: $\bigwedge \text{obj}. \llbracket (x, s) :: \preceq (G, L); G, s \vdash \text{obj} :: \preceq \sqrt{r}; s \leq | \text{gupd}(r \mapsto \text{obj})s \rrbracket$
 $\implies (x, \text{gupd}(r \mapsto \text{obj})s) :: \preceq (G, L)$
 $\langle \text{proof} \rangle$

lemma *conforms-upd-gobj*: $\llbracket (x, s) :: \preceq (G, L); \text{globs } s \ r = \text{Some } \text{obj};$
 $\text{var-tys } G \ (\text{tag } \text{obj}) \ r \ n = \text{Some } T; G, s \vdash v :: \preceq T \rrbracket \implies (x, \text{upd-gobj } r \ n \ v \ s) :: \preceq (G, L)$
 $\langle \text{proof} \rangle$

lemma *conforms-set-locals*:

$\llbracket (x, s) :: \preceq (G, L); G, s \vdash l [\sim :: \preceq] L; x = \text{Some } (\text{Jump Ret}) \longrightarrow l \ \text{Result} \neq \text{None} \rrbracket$
 $\implies (x, \text{set-locals } l \ s) :: \preceq (G, L)$
 $\langle \text{proof} \rangle$

lemma *conforms-locals*:

$\llbracket (a, b) :: \preceq (G, L); L \ x = \text{Some } T; \text{locals } b \ x \neq \text{None} \rrbracket$
 $\implies G, b \vdash \text{the } (\text{locals } b \ x) :: \preceq T$
 $\langle \text{proof} \rangle$

lemma *conforms-return*:

$\bigwedge s'. \llbracket (x, s) :: \preceq (G, L); (x', s') :: \preceq (G, L'); s \leq | s'; x' \neq \text{Some } (\text{Jump Ret}) \rrbracket \implies$
 $(x', \text{set-locals } (\text{locals } s) \ s') :: \preceq (G, L)$
 $\langle \text{proof} \rangle$

end

Chapter 18

DefiniteAssignmentCorrect

45 Correctness of Definite Assignment

theory *DefiniteAssignmentCorrect* **imports** *WellForm Eval begin*

declare $[[simproc\ del:\ wt\text{-}expr\ wt\text{-}var\ wt\text{-}exprs\ wt\text{-}stmt]]$

lemma *sxalloc-no-jump*:

assumes *sxalloc*: $G \vdash s0 \text{ --sxalloc--> } s1$ **and**
no-jmp: $abrupt\ s0 \neq Some\ (Jump\ j)$
shows $abrupt\ s1 \neq Some\ (Jump\ j)$

$\langle proof \rangle$

lemma *sxalloc-no-jump'*:

assumes *sxalloc*: $G \vdash s0 \text{ --sxalloc--> } s1$ **and**
jump: $abrupt\ s1 = Some\ (Jump\ j)$
shows $abrupt\ s0 = Some\ (Jump\ j)$

$\langle proof \rangle$

lemma *halloc-no-jump*:

assumes *halloc*: $G \vdash s0 \text{ --halloc } oi \succ a \rightarrow s1$ **and**
no-jmp: $abrupt\ s0 \neq Some\ (Jump\ j)$
shows $abrupt\ s1 \neq Some\ (Jump\ j)$

$\langle proof \rangle$

lemma *halloc-no-jump'*:

assumes *halloc*: $G \vdash s0 \text{ --halloc } oi \succ a \rightarrow s1$ **and**
jump: $abrupt\ s1 = Some\ (Jump\ j)$
shows $abrupt\ s0 = Some\ (Jump\ j)$

$\langle proof \rangle$

lemma *Body-no-jump*:

assumes *eval*: $G \vdash s0 \text{ --Body } D\ c \text{ --> } v \rightarrow s1$ **and**
jump: $abrupt\ s0 \neq Some\ (Jump\ j)$
shows $abrupt\ s1 \neq Some\ (Jump\ j)$

$\langle proof \rangle$

lemma *Methd-no-jump*:

assumes *eval*: $G \vdash s0 \text{ --Methd } D\ sig \text{ --> } v \rightarrow s1$ **and**
jump: $abrupt\ s0 \neq Some\ (Jump\ j)$
shows $abrupt\ s1 \neq Some\ (Jump\ j)$

$\langle proof \rangle$

lemma *jumpNestingOkS-mono*:

assumes *jumpNestingOk-l'*: $jumpNestingOkS\ jmps'\ c$
and *subset*: $jmps' \subseteq jmps$

shows $jumpNestingOkS\ jmps\ c$

$\langle proof \rangle$

corollary *jumpNestingOk-mono*:

assumes *jmpOk*: $jumpNestingOk\ jmps'\ t$
and *subset*: $jmps' \subseteq jmps$
shows $jumpNestingOk\ jmps\ t$

$\langle \text{proof} \rangle$

lemma *assign-abrupt-propagation*:

assumes *f-ok*: $\text{abrupt } (f \ n \ s) \neq x$
and *ass*: $\text{abrupt } (\text{assign } f \ n \ s) = x$
shows $\text{abrupt } s = x$

$\langle \text{proof} \rangle$

lemma *wt-init-comp-ty'*:

is-acc-type (*prg Env*) (*pid* (*cls Env*)) $T \implies \text{Env} \vdash \text{init-comp-ty } T :: \checkmark$

$\langle \text{proof} \rangle$

lemma *fvar-upd-no-jump*:

assumes *upd*: $\text{upd} = \text{snd } (\text{fst } (\text{fvar } \text{statDeclC } \text{stat } \text{fn } a \ s'))$
and *noJmp*: $\text{abrupt } s \neq \text{Some } (\text{Jump } j)$
shows $\text{abrupt } (\text{upd } \text{val } s) \neq \text{Some } (\text{Jump } j)$

$\langle \text{proof} \rangle$

lemma *avar-state-no-jump*:

assumes *jmp*: $\text{abrupt } (\text{snd } (\text{avar } G \ i \ a \ s)) = \text{Some } (\text{Jump } j)$
shows $\text{abrupt } s = \text{Some } (\text{Jump } j)$

$\langle \text{proof} \rangle$

lemma *avar-upd-no-jump*:

assumes *upd*: $\text{upd} = \text{snd } (\text{fst } (\text{avar } G \ i \ a \ s'))$
and *noJmp*: $\text{abrupt } s \neq \text{Some } (\text{Jump } j)$
shows $\text{abrupt } (\text{upd } \text{val } s) \neq \text{Some } (\text{Jump } j)$

$\langle \text{proof} \rangle$

The next theorem expresses: If jumps (breaks, continues, returns) are nested correctly, we won't find an unexpected jump in the result state of the evaluation. For example, a break can't leave its enclosing loop, an return can't leave its enclosing method. To prove this, the method call is critical. Although the wellformedness of the whole program guarantees that the jumps (breaks, continues and returns) are nested correctly in all method bodies, the call rule alone does not guarantee that I will call a method or even a class that is part of the program due to dynamic binding! To be able to ensure this we need a kind of conformance of the state, like in the typesafety proof. But then we will redo the typesafety proof here. It would be nice if we could find an easy precondition that will guarantee that all calls will actually call classes and methods of the current program, which can be instantiated in the typesafety proof later on. To fix this problem, I have instrumented the semantic definition of a call to filter out any breaks in the state and to throw an error instead.

To get an induction hypothesis which is strong enough to perform the proof, we can't just assume *jumpNestingOk* for the empty set and conclude, that no jump at all will be in the resulting state, because the set is altered by the statements *Lab* and *While*.

The wellformedness of the program is used to ensure that for all class initialisations and methods the nesting of jumps is wellformed, too.

theorem *jumpNestingOk-eval*:

assumes *eval*: $G \vdash s0 \multimap \rightarrow (v, s1)$
and *jmpOk*: $\text{jumpNestingOk } \text{jmps } t$
and *wt*: $\text{Env} \vdash t :: T$
and *wf*: $\text{wf-prog } G$

and $G: \text{prg Env} = G$
and $\text{no-jmp}: \forall j. \text{abrupt } s0 = \text{Some } (\text{Jump } j) \longrightarrow j \in \text{jmps}$
 $(\text{is } ?\text{Jmp } \text{jmps } s0)$
shows $(\forall j. \text{fst } s1 = \text{Some } (\text{Jump } j) \longrightarrow j \in \text{jmps}) \wedge$
 $(\text{normal } s1 \longrightarrow$
 $(\forall w \text{ upd}. v = \text{In2 } (w, \text{upd})$
 $\longrightarrow (\forall s \text{ j val}.$
 $\text{abrupt } s \neq \text{Some } (\text{Jump } j) \longrightarrow$
 $\text{abrupt } (\text{upd val } s) \neq \text{Some } (\text{Jump } j))))$
 $(\text{is } ?\text{Jmp } \text{jmps } s1 \wedge ?\text{Upd } v \text{ } s1)$
 $\langle \text{proof} \rangle$

lemmas $\text{jumpNestingOk-evalE} = \text{jumpNestingOk-eval } [\text{THEN } \text{conjE}, \text{rule-format}]$

lemma $\text{jumpNestingOk-eval-no-jump}$:
assumes $\text{eval}: \text{prg Env} \vdash s0 \dashv\rightarrow (v, s1)$ **and**
 $\text{jmpOk}: \text{jumpNestingOk } \{\} \text{ } t$ **and**
 $\text{no-jmp}: \text{abrupt } s0 \neq \text{Some } (\text{Jump } j)$ **and**
 $\text{wt}: \text{Env} \vdash t::T$ **and**
 $\text{wf}: \text{wf-prog } (\text{prg Env})$
shows $\text{abrupt } s1 \neq \text{Some } (\text{Jump } j) \wedge$
 $(\text{normal } s1 \longrightarrow v = \text{In2 } (w, \text{upd})$
 $\longrightarrow \text{abrupt } s \neq \text{Some } (\text{Jump } j')$
 $\longrightarrow \text{abrupt } (\text{upd val } s) \neq \text{Some } (\text{Jump } j'))$
 $\langle \text{proof} \rangle$

lemmas $\text{jumpNestingOk-eval-no-jumpE}$
 $= \text{jumpNestingOk-eval-no-jump } [\text{THEN } \text{conjE}, \text{rule-format}]$

corollary $\text{eval-expression-no-jump}$:
assumes $\text{eval}: \text{prg Env} \vdash s0 \dashv\rightarrow v \longrightarrow s1$ **and**
 $\text{no-jmp}: \text{abrupt } s0 \neq \text{Some } (\text{Jump } j)$ **and**
 $\text{wt}: \text{Env} \vdash e::\neg T$ **and**
 $\text{wf}: \text{wf-prog } (\text{prg Env})$
shows $\text{abrupt } s1 \neq \text{Some } (\text{Jump } j)$
 $\langle \text{proof} \rangle$

corollary eval-var-no-jump :
assumes $\text{eval}: \text{prg Env} \vdash s0 \dashv\rightarrow (w, \text{upd}) \longrightarrow s1$ **and**
 $\text{no-jmp}: \text{abrupt } s0 \neq \text{Some } (\text{Jump } j)$ **and**
 $\text{wt}: \text{Env} \vdash \text{var}::T$ **and**
 $\text{wf}: \text{wf-prog } (\text{prg Env})$
shows $\text{abrupt } s1 \neq \text{Some } (\text{Jump } j) \wedge$
 $(\text{normal } s1 \longrightarrow$
 $(\text{abrupt } s \neq \text{Some } (\text{Jump } j')$
 $\longrightarrow \text{abrupt } (\text{upd val } s) \neq \text{Some } (\text{Jump } j'))$
 $\langle \text{proof} \rangle$

lemmas $\text{eval-var-no-jumpE} = \text{eval-var-no-jump } [\text{THEN } \text{conjE}, \text{rule-format}]$

corollary $\text{eval-statement-no-jump}$:
assumes $\text{eval}: \text{prg Env} \vdash s0 \dashv\rightarrow c \longrightarrow s1$ **and**
 $\text{jmpOk}: \text{jumpNestingOkS } \{\} \text{ } c$ **and**
 $\text{no-jmp}: \text{abrupt } s0 \neq \text{Some } (\text{Jump } j)$ **and**
 $\text{wt}: \text{Env} \vdash c::\sqrt{}$ **and**
 $\text{wf}: \text{wf-prog } (\text{prg Env})$
shows $\text{abrupt } s1 \neq \text{Some } (\text{Jump } j)$

$\langle \text{proof} \rangle$

corollary *eval-expression-list-no-jump*:

assumes *eval*: $\text{prg } Env \vdash s0 \text{ } \text{--es} \dot{=} \text{>} v \rightarrow s1$ **and**
no-jmp: $\text{abrupt } s0 \neq \text{Some } (\text{Jump } j)$ **and**
wt: $Env \vdash \text{es} :: \dot{=} T$ **and**
wf: $\text{wf-prog } (\text{prg } Env)$
shows $\text{abrupt } s1 \neq \text{Some } (\text{Jump } j)$

$\langle \text{proof} \rangle$

lemma *union-subseteq-elim* [elim]: $\llbracket A \cup B \subseteq C; \llbracket A \subseteq C; B \subseteq C \rrbracket \implies P \rrbracket \implies P$

$\langle \text{proof} \rangle$

lemma *dom-locals-halloc-mono*:

assumes *halloc*: $G \vdash s0 \text{--halloc } oi \text{>} a \rightarrow s1$
shows $\text{dom } (\text{locals } (\text{store } s0)) \subseteq \text{dom } (\text{locals } (\text{store } s1))$

$\langle \text{proof} \rangle$

lemma *dom-locals-sxalloc-mono*:

assumes *sxalloc*: $G \vdash s0 \text{--sxalloc} \rightarrow s1$
shows $\text{dom } (\text{locals } (\text{store } s0)) \subseteq \text{dom } (\text{locals } (\text{store } s1))$

$\langle \text{proof} \rangle$

lemma *dom-locals-assign-mono*:

assumes *f-ok*: $\text{dom } (\text{locals } (\text{store } s)) \subseteq \text{dom } (\text{locals } (\text{store } (f \ n \ s)))$
shows $\text{dom } (\text{locals } (\text{store } s)) \subseteq \text{dom } (\text{locals } (\text{store } (\text{assign } f \ n \ s)))$

$\langle \text{proof} \rangle$

lemma *dom-locals-lvar-mono*:

$\text{dom } (\text{locals } (\text{store } s)) \subseteq \text{dom } (\text{locals } (\text{store } (\text{snd } (\text{lvar } vn \ s') \ \text{val } s)))$

$\langle \text{proof} \rangle$

lemma *dom-locals-fvar-vvar-mono*:

$\text{dom } (\text{locals } (\text{store } s))$
 $\subseteq \text{dom } (\text{locals } (\text{store } (\text{snd } (\text{fst } (\text{fvar } \text{statDeclC } \text{stat } fn \ a \ s') \ \text{val } s))))$

$\langle \text{proof} \rangle$

lemma *dom-locals-fvar-mono*:

$\text{dom } (\text{locals } (\text{store } s))$
 $\subseteq \text{dom } (\text{locals } (\text{store } (\text{snd } (\text{fvar } \text{statDeclC } \text{stat } fn \ a \ s))))$

$\langle \text{proof} \rangle$

lemma *dom-locals-avar-vvar-mono*:

$\text{dom } (\text{locals } (\text{store } s))$
 $\subseteq \text{dom } (\text{locals } (\text{store } (\text{snd } (\text{fst } (\text{avar } G \ i \ a \ s') \ \text{val } s))))$

$\langle \text{proof} \rangle$

lemma *dom-locals-avar-mono*:

$\text{dom } (\text{locals } (\text{store } s))$
 $\subseteq \text{dom } (\text{locals } (\text{store } (\text{snd } (\text{avar } G \ i \ a \ s))))$
 $\langle \text{proof} \rangle$

Since assignments are modelled as functions from states to states, we must take into account these functions. They appear only in the assignment rule and as result from evaluating a variable. That's why we need the complicated second part of the conjunction in the goal. The reason for the very generic way to treat assignments was the aim to omit redundancy. There is only one evaluation rule for each kind of variable (locals, fields, arrays). These rules are used for both accessing variables and updating variables. That's why the evaluation rules for variables result in a pair consisting of a value and an update function. Of course we could also think of a pair of a value and a reference in the store, instead of the generic update function. But as only array updates can cause a special exception (if the types mismatch) and not array reads we then have to introduce two different rules to handle array reads and updates

lemma *dom-locals-eval-mono*:

assumes $\text{eval}: G \vdash s0 \rightarrow t \rightarrow (v, s1)$
shows $\text{dom } (\text{locals } (\text{store } s0)) \subseteq \text{dom } (\text{locals } (\text{store } s1)) \wedge$
 $(\forall \text{ vv}. v = \text{In2 } vv \wedge \text{normal } s1$
 $\rightarrow (\forall s \text{ val}. \text{dom } (\text{locals } (\text{store } s))$
 $\subseteq \text{dom } (\text{locals } (\text{store } ((\text{snd } vv) \text{ val } s))))$

$\langle \text{proof} \rangle$

lemma *dom-locals-eval-mono-elim*:

assumes $\text{eval}: G \vdash s0 \rightarrow t \rightarrow (v, s1)$
obtains $\text{dom } (\text{locals } (\text{store } s0)) \subseteq \text{dom } (\text{locals } (\text{store } s1))$ **and**
 $\bigwedge \text{ vv } s \text{ val}. \llbracket v = \text{In2 } vv; \text{normal } s1 \rrbracket$
 $\implies \text{dom } (\text{locals } (\text{store } s))$
 $\subseteq \text{dom } (\text{locals } (\text{store } ((\text{snd } vv) \text{ val } s)))$

$\langle \text{proof} \rangle$

lemma *halloc-no-abrupt*:

assumes $\text{halloc}: G \vdash s0 \rightarrow \text{halloc } oi \rightarrow a \rightarrow s1$ **and**
 $\text{normal}: \text{normal } s1$

shows $\text{normal } s0$

$\langle \text{proof} \rangle$

lemma *sxalloc-mono-no-abrupt*:

assumes $\text{sxalloc}: G \vdash s0 \rightarrow \text{sxalloc} \rightarrow s1$ **and**
 $\text{normal}: \text{normal } s1$

shows $\text{normal } s0$

$\langle \text{proof} \rangle$

lemma *union-subseteqI*: $\llbracket A \cup B \subseteq C; A' \subseteq A; B' \subseteq B \rrbracket \implies A' \cup B' \subseteq C$

$\langle \text{proof} \rangle$

lemma *union-subseteqII*: $\llbracket A \cup B \subseteq C; A' \subseteq A \rrbracket \implies A' \cup B \subseteq C$

$\langle \text{proof} \rangle$

lemma *union-subseteqIr*: $\llbracket A \cup B \subseteq C; B' \subseteq B \rrbracket \implies A \cup B' \subseteq C$
 ⟨proof⟩

lemma *subseteq-union-transl* [trans]: $\llbracket A \subseteq B; B \cup C \subseteq D \rrbracket \implies A \cup C \subseteq D$
 ⟨proof⟩

lemma *subseteq-union-transr* [trans]: $\llbracket A \subseteq B; C \cup B \subseteq D \rrbracket \implies A \cup C \subseteq D$
 ⟨proof⟩

lemma *union-subseteq-weaken*: $\llbracket A \cup B \subseteq C; \llbracket A \subseteq C; B \subseteq C \rrbracket \implies P \rrbracket \implies P$
 ⟨proof⟩

lemma *assigns-good-approx*:
assumes
 eval: $G \vdash s0 \dashv t \succ \rightarrow (v, s1)$ **and**
 normal: *normal* *s1*
shows $\text{assigns } t \subseteq \text{dom } (\text{locals } (\text{store } s1))$
 ⟨proof⟩

corollary *assignsE-good-approx*:
assumes
 eval: $\text{prg } Env \vdash s0 \dashv e \dashv v \rightarrow s1$ **and**
 normal: *normal* *s1*
shows $\text{assignsE } e \subseteq \text{dom } (\text{locals } (\text{store } s1))$
 ⟨proof⟩

corollary *assignsV-good-approx*:
assumes
 eval: $\text{prg } Env \vdash s0 \dashv v = \succ vf \rightarrow s1$ **and**
 normal: *normal* *s1*
shows $\text{assignsV } v \subseteq \text{dom } (\text{locals } (\text{store } s1))$
 ⟨proof⟩

corollary *assignsEs-good-approx*:
assumes
 eval: $\text{prg } Env \vdash s0 \dashv es \doteq \succ vs \rightarrow s1$ **and**
 normal: *normal* *s1*
shows $\text{assignsEs } es \subseteq \text{dom } (\text{locals } (\text{store } s1))$
 ⟨proof⟩

lemma *constVal-eval*:
assumes *const*: *constVal* *e* = *Some* *c* **and**
 eval: $G \vdash \text{Norm } s0 \dashv e \dashv v \rightarrow s$
shows $v = c \wedge \text{normal } s$
 ⟨proof⟩

lemmas *constVal-eval-elim* = *constVal-eval* [THEN *conjE*]

lemma *eval-unop-type*:
typeof *dt* (*eval-unop unop v*) = *Some* (*PrimT* (*unop-type unop*))
 ⟨proof⟩

lemma *eval-binop-type*:

typeof *dt* (*eval-binop binop v1 v2*) = *Some (PrimT (binop-type binop))*
 ⟨*proof*⟩

lemma *constVal-Boolean*:

assumes *const*: *constVal e = Some c* **and**
wt: *Env ⊢ e :: -PrimT Boolean*
shows *typeof empty-dt c = Some (PrimT Boolean)*
 ⟨*proof*⟩

lemma *assigns-if-good-approx*:

assumes
eval: *prg Env ⊢ s0 -e-> b → s1* **and**
normal: *normal s1* **and**
bool: *Env ⊢ e :: -PrimT Boolean*
shows *assigns-if (the-Bool b) e ⊆ dom (locals (store s1))*
 ⟨*proof*⟩

lemma *assigns-if-good-approx'*:

assumes *eval*: *G ⊢ s0 -e-> b → s1*
and *normal*: *normal s1*
and *bool*: *([prg=G, cls=C, lcl=L]) ⊢ e :: - (PrimT Boolean)*
shows *assigns-if (the-Bool b) e ⊆ dom (locals (store s1))*
 ⟨*proof*⟩

lemma *subset-Intl*: *A ⊆ C ⇒ A ∩ B ⊆ C*

⟨*proof*⟩

lemma *subset-Intr*: *B ⊆ C ⇒ A ∩ B ⊆ C*

⟨*proof*⟩

lemma *da-good-approx*:

assumes *eval*: *prg Env ⊢ s0 -t>→ (v, s1)* **and**
wt: *Env ⊢ t :: T* (**is** *?Wt Env t T*) **and**
da: *Env ⊢ dom (locals (store s0)) >t> A* (**is** *?Da Env s0 t A*) **and**
wf: *wf-prog (prg Env)*
shows (*normal s1 ⇒ (nrm A ⊆ dom (locals (store s1)))*) ∧
 (∀ *l*. *abrupt s1 = Some (Jump (Break l)) ∧ normal s0*
 \longrightarrow (*brk A l ⊆ dom (locals (store s1))*)) ∧
 (*abrupt s1 = Some (Jump Ret) ∧ normal s0*
 \longrightarrow *Result ∈ dom (locals (store s1))*)
 (**is** *?NormalAssigned s1 A ∧ ?BreakAssigned s0 s1 A ∧ ?ResAssigned s0 s1*)
 ⟨*proof*⟩

lemma *da-good-approxE*:

assumes
prg Env ⊢ s0 -t>→ (v, s1) **and** *Env ⊢ t :: T* **and**
Env ⊢ dom (locals (store s0)) >t> A **and** *wf-prog (prg Env)*
obtains
normal s1 ⇒ nrm A ⊆ dom (locals (store s1)) **and**
 ∧ *l*. [*abrupt s1 = Some (Jump (Break l)); normal s0*]

$\implies \text{brk } A \ l \subseteq \text{dom } (\text{locals } (\text{store } s1))$ **and**
 $\llbracket \text{abrupt } s1 = \text{Some } (\text{Jump Ret}); \text{normal } s0 \rrbracket \implies \text{Result} \in \text{dom } (\text{locals } (\text{store } s1))$
 $\langle \text{proof} \rangle$

lemma *da-good-approxE'*:

assumes *eval*: $G \vdash s0 \dashv t \succ \rightarrow (v, s1)$
and *wt*: $\langle \text{prg} = G, \text{cls} = C, \text{lcl} = L \rangle \vdash t :: T$
and *da*: $\langle \text{prg} = G, \text{cls} = C, \text{lcl} = L \rangle \vdash \text{dom } (\text{locals } (\text{store } s0)) \gg t \gg A$
and *wf*: *wf-prog* *G*

obtains *normal* *s1* $\implies \text{norm } A \subseteq \text{dom } (\text{locals } (\text{store } s1))$ **and**

$\bigwedge l. \llbracket \text{abrupt } s1 = \text{Some } (\text{Jump } (\text{Break } l)); \text{normal } s0 \rrbracket$
 $\implies \text{brk } A \ l \subseteq \text{dom } (\text{locals } (\text{store } s1))$ **and**

$\llbracket \text{abrupt } s1 = \text{Some } (\text{Jump Ret}); \text{normal } s0 \rrbracket$

$\implies \text{Result} \in \text{dom } (\text{locals } (\text{store } s1))$

$\langle \text{proof} \rangle$

declare $\llbracket \text{simproc } \text{add}: \text{wt-expr wt-var wt-exprs wt-stmt} \rrbracket$

end

Chapter 19

TypeSafe

46 The type soundness proof for Java

theory *TypeSafe*
imports *DefiniteAssignmentCorrect Conform*
begin

error free

lemma *error-free-halloc*:
assumes *halloc*: $G \vdash s0 \text{ --halloc } oi \succ a \rightarrow s1$ **and**
 $error\text{-}free\text{-}s0$: *error-free* $s0$
shows *error-free* $s1$
 $\langle proof \rangle$

lemma *error-free-sxalloc*:
assumes *sxalloc*: $G \vdash s0 \text{ --sxalloc } \rightarrow s1$ **and** *error-free-s0*: *error-free* $s0$
shows *error-free* $s1$
 $\langle proof \rangle$

lemma *error-free-check-field-access-eq*:
 $error\text{-}free (check\text{-}field\text{-}access\ G\ accC\ statDeclC\ fn\ stat\ a\ s)$
 $\implies (check\text{-}field\text{-}access\ G\ accC\ statDeclC\ fn\ stat\ a\ s) = s$
 $\langle proof \rangle$

lemma *error-free-check-method-access-eq*:
 $error\text{-}free (check\text{-}method\text{-}access\ G\ accC\ statT\ mode\ sig\ a'\ s)$
 $\implies (check\text{-}method\text{-}access\ G\ accC\ statT\ mode\ sig\ a'\ s) = s$
 $\langle proof \rangle$

lemma *error-free-FVar-lemma*:
 $error\text{-}free\ s$
 $\implies error\text{-}free (abupd (if\ stat\ then\ id\ else\ np\ a)\ s)$
 $\langle proof \rangle$

lemma *error-free-init-lvars* [*simp,intro*]:
 $error\text{-}free\ s \implies$
 $error\text{-}free (init\text{-}lvars\ G\ C\ sig\ mode\ a\ pvs\ s)$
 $\langle proof \rangle$

lemma *error-free-LVar-lemma*:
 $error\text{-}free\ s \implies error\text{-}free (assign\ (\lambda v. supd\ lupd(vn \mapsto v))\ w\ s)$
 $\langle proof \rangle$

lemma *error-free-throw* [*simp,intro*]:
 $error\text{-}free\ s \implies error\text{-}free (abupd (throw\ x)\ s)$
 $\langle proof \rangle$

result conformance

definition *assign-conforms* :: $st \Rightarrow (val \Rightarrow state \Rightarrow state) \Rightarrow ty \Rightarrow env' \Rightarrow bool$ ($-\leq | -\preceq :: \preceq -$ [*71,71,71,71*]
70) **where**
 $s \leq | f \preceq T :: \preceq E \equiv$
 $(\forall s' w. Norm\ s' :: \preceq E \longrightarrow fst\ E, s \uparrow w :: \preceq T \longrightarrow s \leq | s' \longrightarrow assign\ f\ w\ (Norm\ s') :: \preceq E) \wedge$

$$(\forall s' w. \text{error-free } s' \longrightarrow (\text{error-free } (\text{assign } f \ w \ s')))$$

definition $rconf :: \text{prog} \Rightarrow \text{lenv} \Rightarrow \text{st} \Rightarrow \text{term} \Rightarrow \text{vals} \Rightarrow \text{tys} \Rightarrow \text{bool}$ $(-, -, +, \succ, \preceq :: \preceq - [71, 71, 71, 71, 71, 71] \ 70)$
where

$$\begin{aligned} G, L, s \vdash t \succ v :: \preceq T \\ \equiv \text{case } T \text{ of} \\ \quad \text{Inl } T \Rightarrow \text{if } (\exists \text{ var. } t = \text{In2 var}) \\ \quad \quad \text{then } (\forall n. (\text{the-In2 } t) = \text{LVar } n \\ \quad \quad \quad \longrightarrow (\text{fst } (\text{the-In2 } v) = \text{the } (\text{locals } s \ n)) \wedge \\ \quad \quad \quad (\text{locals } s \ n \neq \text{None} \longrightarrow G, s \vdash \text{fst } (\text{the-In2 } v) :: \preceq T)) \wedge \\ \quad \quad \quad (\neg (\exists n. \text{the-In2 } t = \text{LVar } n) \longrightarrow (G, s \vdash \text{fst } (\text{the-In2 } v) :: \preceq T)) \wedge \\ \quad \quad \quad (s \leq | \text{snd } (\text{the-In2 } v) \preceq T :: \preceq (G, L)) \\ \quad \quad \text{else } G, s \vdash \text{the-In1 } v :: \preceq T \\ | \text{Inr } Ts \Rightarrow \text{list-all2 } (\text{conf } G \ s) (\text{the-In3 } v) \ Ts \end{aligned}$$

With $rconf$ we describe the conformance of the result value of a term. This definition gets rather complicated because of the relations between the injections of the different terms, types and values. The main case distinction is between single values and value lists. In case of value lists, every value has to conform to its type. For single values we have to do a further case distinction, between values of variables $\exists \text{ var. } t = \text{In2 var}$ and ordinary values. Values of variables are modelled as pairs consisting of the current value and an update function which will perform an assignment to the variable. This stems from the decision, that we only have one evaluation rule for each kind of variable. The decision if we read or write to the variable is made by syntactic enclosing rules. So conformance of variable-values must ensure that both the current value and an update will conform to the type. With the introduction of definite assignment of local variables we have to do another case distinction. For the notion of conformance local variables are allowed to be *None*, since the definedness is not ensured by conformance but by definite assignment. Field and array variables must contain a value.

lemma $rconf\text{-In1}$ [simp]:

$$G, L, s \vdash \text{In1 } ec \succ \text{In1 } v :: \preceq \text{Inl } T = G, s \vdash v :: \preceq T$$

⟨proof⟩

lemma $rconf\text{-In2-no-LVar}$ [simp]:

$$\forall n. va \neq \text{LVar } n \implies G, L, s \vdash \text{In2 } va \succ \text{In2 } vf :: \preceq \text{Inl } T = (G, s \vdash \text{fst } vf :: \preceq T \wedge s \leq | \text{snd } vf \preceq T :: \preceq (G, L))$$

⟨proof⟩

lemma $rconf\text{-In2-LVar}$ [simp]:

$$\begin{aligned} va = \text{LVar } n \implies \\ G, L, s \vdash \text{In2 } va \succ \text{In2 } vf :: \preceq \text{Inl } T \\ = ((\text{fst } vf = \text{the } (\text{locals } s \ n)) \wedge \\ (\text{locals } s \ n \neq \text{None} \longrightarrow G, s \vdash \text{fst } vf :: \preceq T) \wedge s \leq | \text{snd } vf \preceq T :: \preceq (G, L)) \end{aligned}$$

⟨proof⟩

lemma $rconf\text{-In3}$ [simp]:

$$G, L, s \vdash \text{In3 } es \succ \text{In3 } vs :: \preceq \text{Inr } Ts = \text{list-all2 } (\lambda v \ T. G, s \vdash v :: \preceq T) \ vs \ Ts$$

⟨proof⟩

fits and conf

lemma conf-fits : $G, s \vdash v :: \preceq T \implies G, s \vdash v \text{ fits } T$

⟨proof⟩

lemma *fits-conf*:

$\llbracket G, s \vdash v :: \preceq T; G \vdash T \preceq? T'; G, s \vdash v \text{ fits } T'; \text{ws-prog } G \rrbracket \implies G, s \vdash v :: \preceq T'$
 $\langle \text{proof} \rangle$

lemma *fits-Array*:

$\llbracket G, s \vdash v :: \preceq T; G \vdash T'.[] \preceq T.[]; G, s \vdash v \text{ fits } T'; \text{ws-prog } G \rrbracket \implies G, s \vdash v :: \preceq T'$
 $\langle \text{proof} \rangle$

gext

lemma *halloc-gext*: $\bigwedge s1\ s2. G \vdash s1 \text{ --halloc } oi \rightarrow s2 \implies \text{snd } s1 \leq | \text{snd } s2$
 $\langle \text{proof} \rangle$

lemma *sxalloc-gext*: $\bigwedge s1\ s2. G \vdash s1 \text{ --sxalloc } \rightarrow s2 \implies \text{snd } s1 \leq | \text{snd } s2$
 $\langle \text{proof} \rangle$

lemma *eval-gext-lemma* [rule-format (no-asm)]:

$G \vdash s \text{ --t} \rightarrow (w, s') \implies \text{snd } s \leq | \text{snd } s' \wedge (\text{case } w \text{ of}$
 $\quad \text{In1 } v \Rightarrow \text{True}$
 $\quad | \text{In2 } vf \Rightarrow \text{normal } s \longrightarrow (\forall v\ x\ s. s \leq | \text{snd } (\text{assign } (\text{snd } vf)\ v\ (x, s)))$
 $\quad | \text{In3 } vs \Rightarrow \text{True})$
 $\langle \text{proof} \rangle$

lemma *evar-gext-f*:

$G \vdash \text{Norm } s1 \text{ --e} \rightarrow vf \rightarrow s2 \implies s \leq | \text{snd } (\text{assign } (\text{snd } vf)\ v\ (x, s))$
 $\langle \text{proof} \rangle$

lemmas *eval-gext* = *eval-gext-lemma* [THEN conjunct1]

lemma *eval-gext'*: $G \vdash (x1, s1) \text{ --t} \rightarrow (w, (x2, s2)) \implies s1 \leq | s2$
 $\langle \text{proof} \rangle$

lemma *init-yields-initd*: $G \vdash \text{Norm } s1 \text{ --Init } C \rightarrow s2 \implies \text{initd } C\ s2$
 $\langle \text{proof} \rangle$

Lemmas

lemma *obj-ty-obj-class1*:

$\llbracket \text{wf-prog } G; \text{is-type } G\ (\text{obj-ty } \text{obj}) \rrbracket \implies \text{is-class } G\ (\text{obj-class } \text{obj})$
 $\langle \text{proof} \rangle$

lemma *oconf-init-obj*:

$\llbracket \text{wf-prog } G;$
 $\quad (\text{case } r \text{ of Heap } a \Rightarrow \text{is-type } G\ (\text{obj-ty } \text{obj}) \mid \text{Stat } C \Rightarrow \text{is-class } G\ C)$
 $\rrbracket \implies G, s \vdash \text{obj } (\text{values} := \text{init-vals } (\text{var-tys } G\ (\text{tag } \text{obj})\ r)) :: \preceq \sqrt{r}$
 $\langle \text{proof} \rangle$

lemma *conforms-newG*: $\llbracket \text{globs } s\ \text{oref} = \text{None}; (x, s) :: \preceq (G, L);$

$\text{wf-prog } G; \text{case } \text{oref} \text{ of Heap } a \Rightarrow \text{is-type } G\ (\text{obj-ty } (\text{tag} = \text{oi}, \text{values} = \text{vs}))$
 $\mid \text{Stat } C \Rightarrow \text{is-class } G\ C \rrbracket \implies$

$(x, \text{init-obj } G \text{ oi } \text{oref } s) :: \preceq (G, L)$
 $\langle \text{proof} \rangle$

lemma *conforms-init-class-obj*:

$\llbracket (x, s) :: \preceq (G, L); \text{wf-prog } G; \text{class } G \text{ } C = \text{Some } y; \neg \text{init-ed } C \text{ (globs } s) \rrbracket \implies$
 $(x, \text{init-class-obj } G \text{ } C \text{ } s) :: \preceq (G, L)$
 $\langle \text{proof} \rangle$

lemma *fst-init-lvars[simp]*:

$\text{fst } (\text{init-lvars } G \text{ } C \text{ sig } (\text{invmode } m \text{ } e) \text{ } a' \text{ pvs } (x, s)) =$
 $(\text{if is-static } m \text{ then } x \text{ else } (\text{np } a') \text{ } x)$
 $\langle \text{proof} \rangle$

lemma *halloc-conforms*: $\bigwedge s1. \llbracket G \vdash s1 \text{ -halloc } \text{oi} \succ a \rightarrow s2; \text{wf-prog } G; s1 :: \preceq (G, L);$
 $\text{is-type } G \text{ (obj-ty } (\text{tag}=\text{oi}, \text{values}=\text{fs})) \rrbracket \implies s2 :: \preceq (G, L)$
 $\langle \text{proof} \rangle$

lemma *halloc-type-sound*:

$\bigwedge s1. \llbracket G \vdash s1 \text{ -halloc } \text{oi} \succ a \rightarrow (x, s); \text{wf-prog } G; s1 :: \preceq (G, L);$
 $T = \text{obj-ty } (\text{tag}=\text{oi}, \text{values}=\text{fs}); \text{is-type } G \text{ } T \rrbracket \implies$
 $(x, s) :: \preceq (G, L) \wedge (x = \text{None} \longrightarrow G, s \vdash \text{Addr } a :: \preceq T)$
 $\langle \text{proof} \rangle$

lemma *sxalloc-type-sound*:

$\bigwedge s1 \text{ } s2. \llbracket G \vdash s1 \text{ -sxalloc} \rightarrow s2; \text{wf-prog } G \rrbracket \implies$
 $\text{case fst } s1 \text{ of}$
 $\quad \text{None} \Rightarrow s2 = s1$
 $\quad | \text{Some } \text{abr} \Rightarrow (\text{case } \text{abr} \text{ of}$
 $\quad \quad \text{Xcpt } x \Rightarrow (\exists a. \text{fst } s2 = \text{Some}(\text{Xcpt } (\text{Loc } a)) \wedge$
 $\quad \quad \quad (\forall L. s1 :: \preceq (G, L) \longrightarrow s2 :: \preceq (G, L)))$
 $\quad \quad | \text{Jump } j \Rightarrow s2 = s1$
 $\quad \quad | \text{Error } e \Rightarrow s2 = s1)$
 $\langle \text{proof} \rangle$

lemma *wt-init-comp-ty*:

$\text{is-acc-type } G \text{ (pid } C) \text{ } T \implies (\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash \text{init-comp-ty } T :: \checkmark$
 $\langle \text{proof} \rangle$

declare *fun-upd-same* [simp]

declare *fun-upd-apply* [simp del]

definition *DynT-prop* :: $[\text{prog}, \text{inv-mode}, \text{qtname}, \text{ref-ty}] \Rightarrow \text{bool}$ $(\vdash \longrightarrow \preceq \text{--} [\text{?1}, \text{?1}, \text{?1}, \text{?1}] \text{ } \text{?0})$ **where**
 $G \vdash \text{mode} \rightarrow D \preceq t \equiv \text{mode} = \text{IntVir} \longrightarrow \text{is-class } G \text{ } D \wedge$
 $(\text{if } (\exists T. t = \text{ArrayT } T) \text{ then } D = \text{Object} \text{ else } G \vdash \text{Class } D \preceq \text{RefT } t)$

lemma *DynT-propI*:

$\llbracket (x, s) :: \preceq (G, L); G, s \vdash a' :: \preceq \text{RefT } \text{statT}; \text{wf-prog } G; \text{mode} = \text{IntVir} \longrightarrow a' \neq \text{Null} \rrbracket$
 $\implies G \vdash \text{mode} \rightarrow \text{invocation-class mode } s \text{ } a' \text{ statT} \preceq \text{statT}$

$\langle \text{proof} \rangle$

lemma *invocation-methd*:

$\llbracket \text{wf-prog } G; \text{statT} \neq \text{NullT};$
 $(\forall \text{ statC}. \text{statT} = \text{ClassT statC} \longrightarrow \text{is-class } G \text{ statC});$
 $(\forall I. \text{statT} = \text{IfaceT } I \longrightarrow \text{is-iface } G \text{ } I \wedge \text{mode} \neq \text{SuperM});$
 $(\forall T. \text{statT} = \text{ArrayT } T \longrightarrow \text{mode} \neq \text{SuperM});$
 $G \vdash \text{mode} \rightarrow \text{invocation-class mode } s \text{ } a' \text{ statT} \preceq \text{statT};$
 $\text{dynlookup } G \text{ statT } (\text{invocation-class mode } s \text{ } a' \text{ statT}) \text{ sig} = \text{Some } m \rrbracket$
 $\implies \text{methd } G \text{ (invocation-declclass } G \text{ mode } s \text{ } a' \text{ statT sig) sig} = \text{Some } m$
 $\langle \text{proof} \rangle$

lemma *DynT-mheadsD*:

$\llbracket G \vdash \text{invmode } sm \text{ } e \rightarrow \text{invC} \preceq \text{statT};$
 $\text{wf-prog } G; (\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash e :: -\text{RefT statT};$
 $(\text{statDeclT}, sm) \in \text{mheads } G \text{ } C \text{ statT sig};$
 $\text{invC} = \text{invocation-class (invmode } sm \text{ } e) \text{ } s \text{ } a' \text{ statT};$
 $\text{declC} = \text{invocation-declclass } G \text{ (invmode } sm \text{ } e) \text{ } s \text{ } a' \text{ statT sig}$
 $\rrbracket \implies$
 $\exists dm.$
 $\text{methd } G \text{ declC sig} = \text{Some } dm \wedge \text{dynlookup } G \text{ statT invC sig} = \text{Some } dm \wedge$
 $G \vdash \text{resTy (methd } dm) \preceq \text{resTy } sm \wedge$
 $\text{wf-mdecl } G \text{ declC (sig, methd } dm) \wedge$
 $\text{declC} = \text{declclass } dm \wedge$
 $\text{is-static } dm = \text{is-static } sm \wedge$
 $\text{is-class } G \text{ invC} \wedge \text{is-class } G \text{ declC} \wedge G \vdash \text{invC} \preceq_C \text{ declC} \wedge$
 $(\text{if invmode } sm \text{ } e = \text{IntVir}$
 $\text{ then } (\forall \text{ statC}. \text{statT} = \text{ClassT statC} \longrightarrow G \vdash \text{invC} \preceq_C \text{ statC})$
 $\text{ else } ((\exists \text{ statC}. \text{statT} = \text{ClassT statC} \wedge G \vdash \text{statC} \preceq_C \text{ declC})$
 $\quad \vee (\forall \text{ statC}. \text{statT} \neq \text{ClassT statC} \wedge \text{declC} = \text{Object})) \wedge$
 $\text{ statDeclT} = \text{ClassT (declclass } dm))$
 $\langle \text{proof} \rangle$

corollary *DynT-mheadsE* [consumes 7]:

— Same as *DynT-mheadsD* but better suited for application in typesafety proof

assumes *invC-compatible*: $G \vdash \text{mode} \rightarrow \text{invC} \preceq \text{statT}$

and *wf*: $\text{wf-prog } G$

and *wt-e*: $(\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash e :: -\text{RefT statT}$

and *mheads*: $(\text{statDeclT}, sm) \in \text{mheads } G \text{ } C \text{ statT sig}$

and *mode*: $\text{mode} = \text{invmode } sm \text{ } e$

and *invC*: $\text{invC} = \text{invocation-class mode } s \text{ } a' \text{ statT}$

and *declC*: $\text{declC} = \text{invocation-declclass } G \text{ mode } s \text{ } a' \text{ statT sig}$

and *dm*: $\bigwedge dm. \llbracket \text{methd } G \text{ declC sig} = \text{Some } dm;$

$\text{dynlookup } G \text{ statT invC sig} = \text{Some } dm;$

$G \vdash \text{resTy (methd } dm) \preceq \text{resTy } sm;$

$\text{wf-mdecl } G \text{ declC (sig, methd } dm);$

$\text{declC} = \text{declclass } dm;$

$\text{is-static } dm = \text{is-static } sm;$

$\text{is-class } G \text{ invC}; \text{is-class } G \text{ declC}; G \vdash \text{invC} \preceq_C \text{ declC};$

$(\text{if invmode } sm \text{ } e = \text{IntVir}$

$\text{ then } (\forall \text{ statC}. \text{statT} = \text{ClassT statC} \longrightarrow G \vdash \text{invC} \preceq_C \text{ statC})$

$\text{ else } ((\exists \text{ statC}. \text{statT} = \text{ClassT statC} \wedge G \vdash \text{statC} \preceq_C \text{ declC})$

$\quad \vee (\forall \text{ statC}. \text{statT} \neq \text{ClassT statC} \wedge \text{declC} = \text{Object})) \wedge$

$\text{ statDeclT} = \text{ClassT (declclass } dm)) \rrbracket \implies P$

shows *P*

$\langle \text{proof} \rangle$

lemma *DynT-conf*: $\llbracket G \vdash \text{invocation-class mode } s \text{ } a' \text{ } \text{statT} \preceq_C \text{ declC}; \text{wf-prog } G;$
 $\text{isrtype } G \text{ } (\text{statT});$
 $G, s \vdash a' :: \preceq \text{RefT } \text{statT}; \text{ mode } = \text{IntVir} \longrightarrow a' \neq \text{Null};$
 $\text{mode} \neq \text{IntVir} \longrightarrow (\exists \text{ statC}. \text{statT} = \text{ClassT } \text{statC} \wedge G \vdash \text{statC} \preceq_C \text{ declC})$
 $\vee (\forall \text{ statC}. \text{statT} \neq \text{ClassT } \text{statC} \wedge \text{declC} = \text{Object}) \rrbracket$
 $\implies G, s \vdash a' :: \preceq \text{Class } \text{declC}$
 $\langle \text{proof} \rangle$

lemma *Ass-lemma*:
 $\llbracket G \vdash \text{Norm } s0 \text{ } -\text{var} \Rightarrow (w, f) \rightarrow \text{Norm } s1; G \vdash \text{Norm } s1 \text{ } -e \Rightarrow v \rightarrow \text{Norm } s2;$
 $G, s2 \vdash v :: \preceq eT; s1 \leq s2 \longrightarrow \text{assign } f \text{ } v \text{ } (\text{Norm } s2) :: \preceq (G, L) \rrbracket$
 $\implies \text{assign } f \text{ } v \text{ } (\text{Norm } s2) :: \preceq (G, L) \wedge$
 $(\text{normal } (\text{assign } f \text{ } v \text{ } (\text{Norm } s2))) \longrightarrow G, \text{store } (\text{assign } f \text{ } v \text{ } (\text{Norm } s2)) \vdash v :: \preceq eT$
 $\langle \text{proof} \rangle$

lemma *Throw-lemma*: $\llbracket G \vdash \text{tn} \preceq_C \text{SXcpt } \text{Throwable}; \text{wf-prog } G; (x1, s1) :: \preceq (G, L);$
 $x1 = \text{None} \longrightarrow G, s1 \vdash a' :: \preceq \text{Class } \text{tn} \rrbracket \implies (\text{throw } a' \text{ } x1, s1) :: \preceq (G, L)$
 $\langle \text{proof} \rangle$

lemma *Try-lemma*: $\llbracket G \vdash \text{obj-ty } (\text{the } (\text{globs } s1' \text{ } (\text{Heap } a))) \preceq \text{Class } \text{tn};$
 $(\text{Some } (\text{Xcpt } (\text{Loc } a)), s1') :: \preceq (G, L); \text{wf-prog } G \rrbracket$
 $\implies \text{Norm } (\text{lupd}(vn \mapsto \text{Addr } a) \text{ } s1') :: \preceq (G, L(vn \mapsto \text{Class } \text{tn}))$
 $\langle \text{proof} \rangle$

lemma *Fin-lemma*:
 $\llbracket G \vdash \text{Norm } s1 \text{ } -c2 \rightarrow (x2, s2); \text{wf-prog } G; (\text{Some } a, s1) :: \preceq (G, L); (x2, s2) :: \preceq (G, L);$
 $\text{dom } (\text{locals } s1) \subseteq \text{dom } (\text{locals } s2) \rrbracket$
 $\implies (\text{abrupt-if } \text{True } (\text{Some } a) \text{ } x2, s2) :: \preceq (G, L)$
 $\langle \text{proof} \rangle$

lemma *FVar-lemma1*:
 $\llbracket \text{table-of } (\text{DeclConcepts.fields } G \text{ } \text{statC}) \text{ } (\text{fn}, \text{statDeclC}) = \text{Some } f ;$
 $x2 = \text{None} \longrightarrow G, s2 \vdash a :: \preceq \text{Class } \text{statC}; \text{wf-prog } G; G \vdash \text{statC} \preceq_C \text{statDeclC};$
 $\text{statDeclC} \neq \text{Object};$
 $\text{class } G \text{ } \text{statDeclC} = \text{Some } y; (x2, s2) :: \preceq (G, L); s1 \leq s2;$
 $\text{initd } \text{statDeclC } (\text{globs } s1);$
 $(\text{if static } f \text{ then id else np } a) \text{ } x2 = \text{None} \rrbracket$
 \implies
 $\exists \text{ obj. } \text{globs } s2 \text{ } (\text{if static } f \text{ then Inr } \text{statDeclC} \text{ else Inl } (\text{the-Addr } a))$
 $= \text{Some obj} \wedge$
 $\text{var-tys } G \text{ } (\text{tag obj}) \text{ } (\text{if static } f \text{ then Inr } \text{statDeclC} \text{ else Inl } (\text{the-Addr } a))$
 $(\text{Inl}(\text{fn}, \text{statDeclC})) = \text{Some } (\text{type } f)$
 $\langle \text{proof} \rangle$

lemma *FVar-lemma2*: *error-free state*
 $\implies \text{error-free}$
 $(\text{assign}$
 $(\lambda v. \text{supd}$
 $(\text{upd-gobj}$
 $(\text{if static field then Inr } \text{statDeclC}$
 $\text{else Inl } (\text{the-Addr } a))$

(*Inl* (*fn*, *statDeclC*)) *v*)
w state)
 ⟨*proof*⟩

declare *split-paired-All* [*simp del*] *split-paired-Ex* [*simp del*]
declare *split-if* [*split del*] *split-if-asm* [*split del*]
 option.split [*split del*] *option.split-asm* [*split del*]
 ⟨*ML*⟩

lemma *FVar-lemma*:

[[(*v*, *f*), *Norm s2'*] = *fvar statDeclC* (*static field*) *fn a* (*x2*, *s2*);
 G ⊢ *statC* ≤_{*C*} *statDeclC*;
 table-of (*DeclConcepts.fields G statC*) (*fn*, *statDeclC*) = *Some field*;
 wf-prog G;
 x2 = *None* ⟶ *G, s2* ⊢ *a* :: ≤ *Class statC*;
 statDeclC ≠ *Object*; *class G statDeclC* = *Some y*;
 (*x2*, *s2*) :: ≤ (*G*, *L*); *s1* ≤ |*s2*; *inited statDeclC* (*globs s1*)]] ⟹
 G, s2 ⊢ *v* :: ≤ *type field* ∧ *s2'* ≤ |*f* ≤ *type field* :: ≤ (*G*, *L*)
 ⟨*proof*⟩
declare *split-paired-All* [*simp*] *split-paired-Ex* [*simp*]
declare *split-if* [*split*] *split-if-asm* [*split*]
 option.split [*split*] *option.split-asm* [*split*]
 ⟨*ML*⟩

lemma *AVar-lemma1*: [[*globs s* (*Inl a*) = *Some obj*; *tag obj* = *Arr ty i*;
 the-Intg i' *in-bounds i*; *wf-prog G*; *G* ⊢ *ty*.[] ≤ *Tb*.[]; *Norm s* :: ≤ (*G*, *L*)
]] ⟹ *G, s* ⊢ *the* ((*values obj*) (*Inr* (*the-Intg i'*))) :: ≤ *Tb*
 ⟨*proof*⟩

lemma *obj-split*: ∃ *t vs. obj* = (|*tag=t, values=vs*|)
 ⟨*proof*⟩

lemma *AVar-lemma2*: *error-free state*

⟹ *error-free*
 (*assign*
 (*λv* (*x*, *s*[^]).
 ((*raise-if* (¬ *G, s*[^] ⊢ *v fits T*) *ArrStore*) *x*,
 upd-gobj (*Inl a*) (*Inr* (*the-Intg i*)) *v s*[^])
 w state)
 ⟨*proof*⟩

lemma *AVar-lemma*: [[*wf-prog G*; *G* ⊢ (*x1*, *s1*) −*e2* −> *i* → (*x2*, *s2*);
 (*v*, *f*), *Norm s2'*] = *avar G i a* (*x2*, *s2*); *x1* = *None* ⟶ *G, s1* ⊢ *a* :: ≤ *Ta*.[];
 (*x2*, *s2*) :: ≤ (*G*, *L*); *s1* ≤ |*s2*]] ⟹ *G, s2* ⊢ *v* :: ≤ *Ta* ∧ *s2'* ≤ |*f* ≤ *Ta* :: ≤ (*G*, *L*)
 ⟨*proof*⟩

Call

lemma *conforms-init-lvars-lemma*: [[*wf-prog G*;
 wf-mhead G P sig mh;
 list-all2 (*conf G s*) *pvs pTsa*; *G* ⊢ *pTsa* [≤] (*parTs sig*)] ⟹
 G, s ⊢ *empty* (*pars mh* [↦] *pvs*)
 [~:: ≤] *table-of lvars* (*pars mh* [↦] *parTs sig*)

$\langle \text{proof} \rangle$

lemma *lconf-map-lname* [simp]:
 $G, s \vdash (\text{lname-case } l1 \ l2)[::\preceq](\text{lname-case } L1 \ L2)$
 $=$
 $(G, s \vdash l1[::\preceq] L1 \wedge G, s \vdash (\lambda x::\text{unit} . l2)[::\preceq](\lambda x::\text{unit} . L2))$
 $\langle \text{proof} \rangle$

lemma *wlconf-map-lname* [simp]:
 $G, s \vdash (\text{lname-case } l1 \ l2)[\sim::\preceq](\text{lname-case } L1 \ L2)$
 $=$
 $(G, s \vdash l1[\sim::\preceq] L1 \wedge G, s \vdash (\lambda x::\text{unit} . l2)[\sim::\preceq](\lambda x::\text{unit} . L2))$
 $\langle \text{proof} \rangle$

lemma *lconf-map-ename* [simp]:
 $G, s \vdash (\text{ename-case } l1 \ l2)[::\preceq](\text{ename-case } L1 \ L2)$
 $=$
 $(G, s \vdash l1[::\preceq] L1 \wedge G, s \vdash (\lambda x::\text{unit} . l2)[::\preceq](\lambda x::\text{unit} . L2))$
 $\langle \text{proof} \rangle$

lemma *wlconf-map-ename* [simp]:
 $G, s \vdash (\text{ename-case } l1 \ l2)[\sim::\preceq](\text{ename-case } L1 \ L2)$
 $=$
 $(G, s \vdash l1[\sim::\preceq] L1 \wedge G, s \vdash (\lambda x::\text{unit} . l2)[\sim::\preceq](\lambda x::\text{unit} . L2))$
 $\langle \text{proof} \rangle$

lemma *defval-conf1* [rule-format (no-asm), elim]:
 $\text{is-type } G \ T \longrightarrow (\exists v \in \text{Some } (\text{default-val } T): G, s \vdash v::\preceq T)$
 $\langle \text{proof} \rangle$

lemma *np-no-jump*: $x \neq \text{Some } (\text{Jump } j) \implies (\text{np } a') \ x \neq \text{Some } (\text{Jump } j)$
 $\langle \text{proof} \rangle$

declare *split-paired-All* [simp del] *split-paired-Ex* [simp del]
declare *split-if* [split del] *split-if-asm* [split del]
 option.split [split del] option.split-asm [split del]
 $\langle \text{ML} \rangle$

lemma *conforms-init-lvars*:
 $\llbracket \text{wf-mhead } G \ (\text{pid declC}) \ \text{sig} \ (\text{mhead } (\text{mthd } dm)); \text{wf-prog } G;$
 $\text{list-all2 } (\text{conf } G \ s) \ \text{pvs } pTsa; \ G \vdash pTsa[\preceq](\text{parTs } \text{sig});$
 $(x, s)::\preceq(G, L);$
 $\text{methd } G \ \text{declC} \ \text{sig} = \text{Some } dm;$
 $\text{isrtype } G \ \text{statT};$
 $G \vdash \text{invC} \preceq_C \ \text{declC};$
 $G, s \vdash a'::\preceq \text{RefT } \text{statT};$
 $\text{invmode } (\text{mhd } sm) \ e = \text{IntVir} \longrightarrow a' \neq \text{Null};$
 $\text{invmode } (\text{mhd } sm) \ e \neq \text{IntVir} \longrightarrow$
 $(\exists \ \text{statC}. \ \text{statT} = \text{ClassT } \text{statC} \wedge G \vdash \text{statC} \preceq_C \ \text{declC})$

$\vee (\forall \text{ statC}. \text{statT} \neq \text{ClassT statC} \wedge \text{declC} = \text{Object});$
 $\text{invC} = \text{invocation-class} (\text{invmode} (\text{mhd sm}) e) s a' \text{statT};$
 $\text{declC} = \text{invocation-declclass} G (\text{invmode} (\text{mhd sm}) e) s a' \text{statT sig};$
 $x \neq \text{Some} (\text{Jump Ret})$
 $\parallel \Rightarrow$
 $\text{init-lvars } G \text{ declC sig} (\text{invmode} (\text{mhd sm}) e) a'$
 $\text{pvs } (x, s) :: \preceq (G, \lambda k.$
 $\quad (\text{case } k \text{ of}$
 $\quad \quad \text{ENam } e \Rightarrow (\text{case } e \text{ of}$
 $\quad \quad \quad \text{VNam } v$
 $\quad \quad \quad \Rightarrow (\text{table-of } (\text{lcls } (\text{mbody } (\text{mthd dm})))$
 $\quad \quad \quad (\text{pars } (\text{mthd dm}) [\mapsto] \text{parTs sig})) v$
 $\quad \quad | \text{Res} \Rightarrow \text{Some } (\text{resTy } (\text{mthd dm})))$
 $\quad | \text{This} \Rightarrow \text{if } (\text{is-static } (\text{mthd sm}))$
 $\quad \quad \text{then None else Some } (\text{Class declC})))$
 $\langle \text{proof} \rangle$
declare *split-paired-All* [simp] *split-paired-Ex* [simp]
declare *split-if* [split] *split-if-asm* [split]
 $\text{option.split [split] option.split-asm [split]}$
 $\langle \text{ML} \rangle$

47 accessibility

theorem *dynamic-field-access-ok*:

assumes *wf*: *wf-prog* *G* **and**
 $\text{not-Null}: \neg \text{stat} \longrightarrow a \neq \text{Null}$ **and**
 $\text{conform-a}: G, (\text{store } s) \vdash a :: \preceq \text{Class statC}$ **and**
 $\text{conform-s}: s :: \preceq (G, L)$ **and**
 $\text{normal-s}: \text{normal } s$ **and**
 $\text{wt-e}: (\text{prg} = G, \text{cls} = \text{accC}, \text{lcl} = L) \vdash e :: \neg \text{Class statC}$ **and**
 $f: \text{accfield } G \text{ accC statC fn} = \text{Some } f$ **and**
 $\text{dynC}: \text{if stat then dynC} = \text{declclass } f$
 $\quad \text{else dynC} = \text{obj-class } (\text{lookup-obj } (\text{store } s) a)$ **and**
 $\text{stat}: \text{if stat then } (\text{is-static } f) \text{ else } (\neg \text{is-static } f)$
shows $\text{table-of } (\text{DeclConcepts.fields } G \text{ dynC}) (\text{fn, declclass } f) = \text{Some } (\text{fld } f) \wedge$
 $G \vdash \text{Field fn } f \text{ in dynC dyn-accessible-from accC}$
 $\langle \text{proof} \rangle$

lemma *error-free-field-access*:

assumes $\text{accfield}: \text{accfield } G \text{ accC statC fn} = \text{Some } (\text{statDeclC}, f)$ **and**
 $\text{wt-e}: (\text{prg} = G, \text{cls} = \text{accC}, \text{lcl} = L) \vdash e :: \neg \text{Class statC}$ **and**
 $\text{eval-init}: G \vdash \text{Norm } s0 \neg \text{Init statDeclC} \rightarrow s1$ **and**
 $\text{eval-e}: G \vdash s1 \neg e \rightarrow a \rightarrow s2$ **and**
 $\text{conf-s2}: s2 :: \preceq (G, L)$ **and**
 $\text{conf-a}: \text{normal } s2 \implies G, \text{store } s2 \vdash a :: \preceq \text{Class statC}$ **and**
 $\text{fvar}: (v, s2') = \text{fvar statDeclC } (\text{is-static } f) \text{ fn } a s2$ **and**
 $\text{wf}: \text{wf-prog } G$
shows $\text{check-field-access } G \text{ accC statDeclC fn } (\text{is-static } f) a s2' = s2'$
 $\langle \text{proof} \rangle$

lemma *call-access-ok*:

assumes $\text{invC-prop}: G \vdash \text{invmode statM } e \rightarrow \text{invC} \preceq \text{statT}$
and $\text{wf}: \text{wf-prog } G$
and $\text{wt-e}: (\text{prg} = G, \text{cls} = C, \text{lcl} = L) \vdash e :: \neg \text{RefT statT}$
and $\text{statM}: (\text{statDeclT}, \text{statM}) \in \text{mheads } G \text{ accC statT sig}$
and $\text{invC}: \text{invC} = \text{invocation-class } (\text{invmode statM } e) s a \text{statT}$
shows $\exists \text{ dynM}. \text{dynlookup } G \text{ statT invC sig} = \text{Some dynM} \wedge$

$G \vdash \text{Methd sig dynM in invC dyn-accessible-from accC}$
 $\langle \text{proof} \rangle$

lemma *error-free-call-access*:

assumes

eval-args: $G \vdash s1 \multimap \text{args} \dot{=} vs \rightarrow s2$ **and**

wt-e: $(\text{prg} = G, \text{cls} = \text{accC}, \text{lcl} = L) \vdash e :: \neg(\text{RefT statT})$ **and**

statM: $\text{max-spec } G \text{ accC statT } (\text{name} = mn, \text{parTs} = pTs)$
 $= \{((\text{statDeclT}, \text{statM}), pTs')\}$ **and**

conf-s2: $s2 :: \preceq(G, L)$ **and**

conf-a: $\text{normal } s1 \implies G, \text{store } s1 \vdash a :: \preceq \text{RefT statT}$ **and**

invProp: $\text{normal } s3 \implies$

$G \vdash \text{invmode statM } e \rightarrow \text{invC} \preceq \text{statT}$ **and**

s3: $s3 = \text{init-lvars } G \text{ invDeclC } (\text{name} = mn, \text{parTs} = pTs')$
 $(\text{invmode statM } e) \text{ a vs } s2$ **and**

invC: $\text{invC} = \text{invocation-class } (\text{invmode statM } e) (\text{store } s2) \text{ a statT}$ **and**

invDeclC: $\text{invDeclC} = \text{invocation-declclass } G (\text{invmode statM } e) (\text{store } s2)$
 $\text{a statT } (\text{name} = mn, \text{parTs} = pTs')$ **and**

wf: $\text{wf-prog } G$

shows $\text{check-method-access } G \text{ accC statT } (\text{invmode statM } e) (\text{name} = mn, \text{parTs} = pTs') \text{ a } s3$
 $= s3$

$\langle \text{proof} \rangle$

lemma *map-upds-eq-length-append-simp*:

$\bigwedge \text{tab } qs. \text{length } ps = \text{length } qs \implies \text{tab}(ps[\mapsto]qs @ zs) = \text{tab}(ps[\mapsto]qs)$

$\langle \text{proof} \rangle$

lemma *map-upds-upd-eq-length-simp*:

$\bigwedge \text{tab } qs \ x \ y. \text{length } ps = \text{length } qs$

$\implies \text{tab}(ps[\mapsto]qs)(x \mapsto y) = \text{tab}(ps @ [x][\mapsto]qs @ [y])$

$\langle \text{proof} \rangle$

lemma *map-upd-cong*: $\text{tab} = \text{tab}' \implies \text{tab}(x \mapsto y) = \text{tab}'(x \mapsto y)$

$\langle \text{proof} \rangle$

lemma *map-upd-cong-ext*: $\text{tab } z = \text{tab}' \ z \implies (\text{tab}(x \mapsto y)) \ z = (\text{tab}'(x \mapsto y)) \ z$

$\langle \text{proof} \rangle$

lemma *map-upds-cong*: $\text{tab} = \text{tab}' \implies \text{tab}(xs[\mapsto]ys) = \text{tab}'(xs[\mapsto]ys)$

$\langle \text{proof} \rangle$

lemma *map-upds-cong-ext*:

$\bigwedge \text{tab } \text{tab}' \ ys. \text{tab } z = \text{tab}' \ z \implies (\text{tab}(xs[\mapsto]ys)) \ z = (\text{tab}'(xs[\mapsto]ys)) \ z$

$\langle \text{proof} \rangle$

lemma *map-upd-override*: $(\text{tab}(x \mapsto y)) \ x = (\text{tab}'(x \mapsto y)) \ x$

$\langle \text{proof} \rangle$

lemma *map-upds-eq-length-suffix*: $\bigwedge \text{tab } qs.$

$length\ ps = length\ qs \implies tab(ps@xs[\mapsto]qs) = tab(ps[\mapsto]qs)(xs[\mapsto][])$
 $\langle proof \rangle$

lemma *map-upds-upds-eq-length-prefix-simp*:
 $\bigwedge\ tab\ qs.\ length\ ps = length\ qs$
 $\implies tab(ps[\mapsto]qs)(xs[\mapsto]ys) = tab(ps@xs[\mapsto]qs@ys)$
 $\langle proof \rangle$

lemma *map-upd-cut-irrelevant*:
 $\llbracket (tab(x\mapsto y))\ vn = Some\ el;\ (tab'(x\mapsto y))\ vn = None \rrbracket$
 $\implies tab\ vn = Some\ el$
 $\langle proof \rangle$

lemma *map-upd-Some-expand*:
 $\llbracket tab\ vn = Some\ z \rrbracket$
 $\implies \exists\ z.\ (tab(x\mapsto y))\ vn = Some\ z$
 $\langle proof \rangle$

lemma *map-upds-Some-expand*:
 $\bigwedge\ tab\ ys\ z.\ \llbracket tab\ vn = Some\ z \rrbracket$
 $\implies \exists\ z.\ (tab(xs[\mapsto]ys))\ vn = Some\ z$
 $\langle proof \rangle$

lemma *map-upd-Some-swap*:
 $(tab(r\mapsto w)(u\mapsto v))\ vn = Some\ z \implies \exists\ z.\ (tab(u\mapsto v)(r\mapsto w))\ vn = Some\ z$
 $\langle proof \rangle$

lemma *map-upd-None-swap*:
 $(tab(r\mapsto w)(u\mapsto v))\ vn = None \implies (tab(u\mapsto v)(r\mapsto w))\ vn = None$
 $\langle proof \rangle$

lemma *map-eq-upd-eq*: $tab\ vn = tab'\ vn \implies (tab(x\mapsto y))\ vn = (tab'(x\mapsto y))\ vn$
 $\langle proof \rangle$

lemma *map-upd-in-expansion-map-swap*:
 $\llbracket (tab(x\mapsto y))\ vn = Some\ z; tab\ vn \neq Some\ z \rrbracket$
 $\implies (tab'(x\mapsto y))\ vn = Some\ z$
 $\langle proof \rangle$

lemma *map-upds-in-expansion-map-swap*:
 $\bigwedge\ tab\ tab'\ ys\ z.\ \llbracket (tab(xs[\mapsto]ys))\ vn = Some\ z; tab\ vn \neq Some\ z \rrbracket$
 $\implies (tab'(xs[\mapsto]ys))\ vn = Some\ z$
 $\langle proof \rangle$

lemma *map-upds-Some-swap*:
assumes $r\text{-}u$: $(tab(r\mapsto w)(u\mapsto v)(xs[\mapsto]ys))\ vn = Some\ z$

shows $\exists z. (tab(u \mapsto v)(r \mapsto w)(xs[\mapsto]ys)) \text{ vn} = \text{Some } z$
 $\langle \text{proof} \rangle$

lemma *map-upds-Some-insert*:

assumes $z: (tab(xs[\mapsto]ys)) \text{ vn} = \text{Some } z$
shows $\exists z. (tab(u \mapsto v)(xs[\mapsto]ys)) \text{ vn} = \text{Some } z$
 $\langle \text{proof} \rangle$

lemma *map-upds-None-cut*:

assumes *expand-None*: $(tab(xs[\mapsto]ys)) \text{ vn} = \text{None}$
shows $tab \text{ vn} = \text{None}$
 $\langle \text{proof} \rangle$

lemma *map-upds-cut-irrelevant*:

$\bigwedge tab \text{ tab}' \text{ ys}. \llbracket (tab(xs[\mapsto]ys)) \text{ vn} = \text{Some } el; (tab'(xs[\mapsto]ys)) \text{ vn} = \text{None} \rrbracket$
 $\implies tab \text{ vn} = \text{Some } el$
 $\langle \text{proof} \rangle$

lemma *dom-vname-split*:

$dom (lname\text{-}case (ename\text{-}case (tab(x \mapsto y)(xs[\mapsto]ys)) a) b)$
 $= dom (lname\text{-}case (ename\text{-}case (tab(x \mapsto y)) a) b) \cup$
 $dom (lname\text{-}case (ename\text{-}case (tab(xs[\mapsto]ys)) a) b)$
 $(is \text{ ?List } x \text{ xs } y \text{ ys} = \text{?Hd } x \text{ y} \cup \text{?Tl } xs \text{ ys})$
 $\langle \text{proof} \rangle$

lemma *dom-map-upd*: $\bigwedge tab. dom (tab(x \mapsto y)) = dom \text{ tab} \cup \{x\}$
 $\langle \text{proof} \rangle$

lemma *dom-map-upds*: $\bigwedge tab \text{ ys}. length \text{ xs} = length \text{ ys}$
 $\implies dom (tab(xs[\mapsto]ys)) = dom \text{ tab} \cup set \text{ xs}$
 $\langle \text{proof} \rangle$

lemma *dom-ename-case-None-simp*:

$dom (ename\text{-}case \text{ vname-tab } None) = VName \text{ ' } (dom \text{ vname-tab})$
 $\langle \text{proof} \rangle$

lemma *dom-ename-case-Some-simp*:

$dom (ename\text{-}case \text{ vname-tab } (Some \text{ a})) = VName \text{ ' } (dom \text{ vname-tab}) \cup \{Res\}$
 $\langle \text{proof} \rangle$

lemma *dom-lname-case-None-simp*:

$dom (lname\text{-}case \text{ ename-tab } None) = EName \text{ ' } (dom \text{ ename-tab})$
 $\langle \text{proof} \rangle$

lemma *dom-lname-case-Some-simp*:

$dom (lname\text{-}case \text{ ename-tab } (Some \text{ a})) = EName \text{ ' } (dom \text{ ename-tab}) \cup \{This\}$
 $\langle \text{proof} \rangle$

lemmas *dom-lname-ename-case-simps* =
 dom-ename-case-None-simp dom-ename-case-Some-simp
 dom-lname-case-None-simp dom-lname-case-Some-simp

lemma *image-comp*:
 $f \circ g \circ A = (f \circ g) \circ A$
 ⟨proof⟩

lemma *dom-locals-init-lvars*:
 assumes *m*: $m = (\text{methd } (\text{the } (\text{methd } G \ C \ sig)))$
 assumes *len*: $\text{length } (\text{pars } m) = \text{length } pvs$
 shows *dom* (*locals* (*store* (*init-lvars* *G C sig* (*invmode m e*) *a pvs s*)))
 = *parameters m*
 ⟨proof⟩

lemma *da-e2-BinOp*:
 assumes *da*: $(\text{prg} = G, \text{cls} = \text{acc } C, \text{lcl} = L) \vdash \text{dom } (\text{locals } (\text{store } s0)) \gg \langle \text{BinOp } \text{binop } e1 \ e2 \rangle_e \gg A$
 and *wt-e1*: $(\text{prg} = G, \text{cls} = \text{acc } C, \text{lcl} = L) \vdash e1 :: -e1T$
 and *wt-e2*: $(\text{prg} = G, \text{cls} = \text{acc } C, \text{lcl} = L) \vdash e2 :: -e2T$
 and *wt-binop*: $\text{wt-binop } G \ \text{binop } e1T \ e2T$
 and *conf-s0*: $s0 :: \preceq (G, L)$
 and *normal-s1*: *normal s1*
 and *eval-e1*: $G \vdash s0 \ -e1 \rightarrow v1 \rightarrow s1$
 and *conf-v1*: $G, \text{store } s1 \vdash v1 :: \preceq e1T$
 and *wf*: *wf-prog G*
 shows $\exists \ E2. (\text{prg} = G, \text{cls} = \text{acc } C, \text{lcl} = L) \vdash \text{dom } (\text{locals } (\text{store } s1))$
 $\gg (\text{if need-second-arg binop } v1 \text{ then } \langle e2 \rangle_e \text{ else } \langle \text{Skip} \rangle_s) \gg E2$
 ⟨proof⟩

main proof of type safety

lemma *eval-type-sound*:
 assumes *eval*: $G \vdash s0 \ -t \rightarrow (v, s1)$
 and *wt*: $(\text{prg} = G, \text{cls} = \text{acc } C, \text{lcl} = L) \vdash t :: T$
 and *da*: $(\text{prg} = G, \text{cls} = \text{acc } C, \text{lcl} = L) \vdash \text{dom } (\text{locals } (\text{store } s0)) \gg t \gg A$
 and *wf*: *wf-prog G*
 and *conf-s0*: $s0 :: \preceq (G, L)$
 shows $s1 :: \preceq (G, L) \wedge (\text{normal } s1 \longrightarrow G, L, \text{store } s1 \vdash t \rightarrow v :: \preceq T) \wedge$
 $(\text{error-free } s0 = \text{error-free } s1)$
 ⟨proof⟩

corollary *eval-type-soundE* [*consumes 5*]:
 assumes *eval*: $G \vdash s0 \ -t \rightarrow (v, s1)$
 and *conf*: $s0 :: \preceq (G, L)$
 and *wt*: $(\text{prg} = G, \text{cls} = \text{acc } C, \text{lcl} = L) \vdash t :: T$
 and *da*: $(\text{prg} = G, \text{cls} = \text{acc } C, \text{lcl} = L) \vdash \text{dom } (\text{locals } (\text{snd } s0)) \gg t \gg A$
 and *wf*: *wf-prog G*
 and *elim*: $\llbracket s1 :: \preceq (G, L); \text{normal } s1 \implies G, L, \text{snd } s1 \vdash t \rightarrow v :: \preceq T; \text{error-free } s0 = \text{error-free } s1 \rrbracket \implies P$
 shows *P*
 ⟨proof⟩

corollary *eval-ts*:
$$\begin{aligned} & \llbracket G \vdash s - e \rightarrow v \rightarrow s'; \text{wf-prog } G; s::\preceq(G, L); (\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash e::-T; \\ & \quad (\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash_{\text{dom}} (\text{locals } (\text{store } s)) \gg \text{In1l } e \gg A \rrbracket \\ \implies & \quad s'::\preceq(G, L) \wedge (\text{normal } s' \longrightarrow G, \text{store } s \uparrow v::\preceq T) \wedge \\ & \quad (\text{error-free } s = \text{error-free } s') \\ & \langle \text{proof} \rangle \end{aligned}$$
corollary *evals-ts*:
$$\begin{aligned} & \llbracket G \vdash s - es \rightarrow vs \rightarrow s'; \text{wf-prog } G; s::\preceq(G, L); (\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash es::Ts; \\ & \quad (\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash_{\text{dom}} (\text{locals } (\text{store } s)) \gg \text{In3 } es \gg A \rrbracket \\ \implies & \quad s'::\preceq(G, L) \wedge (\text{normal } s' \longrightarrow \text{list-all2 } (\text{conf } G (\text{store } s')) \text{ vs } Ts) \wedge \\ & \quad (\text{error-free } s = \text{error-free } s') \\ & \langle \text{proof} \rangle \end{aligned}$$
corollary *evar-ts*:
$$\begin{aligned} & \llbracket G \vdash s - v \rightarrow vf \rightarrow s'; \text{wf-prog } G; s::\preceq(G, L); (\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash v::=T; \\ & \quad (\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash_{\text{dom}} (\text{locals } (\text{store } s)) \gg \text{In2 } v \gg A \rrbracket \implies \\ & \quad s'::\preceq(G, L) \wedge (\text{normal } s' \longrightarrow G, L, (\text{store } s') \vdash \text{In2 } v \gg \text{In2 } vf::\preceq \text{Inl } T) \wedge \\ & \quad (\text{error-free } s = \text{error-free } s') \\ & \langle \text{proof} \rangle \end{aligned}$$
theorem *exec-ts*:
$$\begin{aligned} & \llbracket G \vdash s - c \rightarrow s'; \text{wf-prog } G; s::\preceq(G, L); (\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash c::\sqrt{}; \\ & \quad (\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash_{\text{dom}} (\text{locals } (\text{store } s)) \gg \text{In1r } c \gg A \rrbracket \\ \implies & \quad s'::\preceq(G, L) \wedge (\text{error-free } s \longrightarrow \text{error-free } s') \\ & \langle \text{proof} \rangle \end{aligned}$$
lemma *wf-eval-Fin*:

assumes *wf*: *wf-prog* *G*
and *wt-c1*: $(\text{prg} = G, \text{cls} = C, \text{lcl} = L) \vdash \text{In1r } c1::\text{Inl } (\text{PrimT } \text{Void})$
and *da-c1*: $(\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash_{\text{dom}} (\text{locals } (\text{store } (\text{Norm } s0))) \gg \text{In1r } c1 \gg A$
and *conf-s0*: $\text{Norm } s0::\preceq(G, L)$
and *eval-c1*: $G \vdash \text{Norm } s0 - c1 \rightarrow (x1, s1)$
and *eval-c2*: $G \vdash \text{Norm } s1 - c2 \rightarrow s2$
and *s3*: $s3 = \text{abupd } (\text{abrupt-if } (x1 \neq \text{None}) \ x1) \ s2$
shows $G \vdash \text{Norm } s0 - c1 \text{ Finally } c2 \rightarrow s3$
 $\langle \text{proof} \rangle$

48 Ideas for the future

In the type soundness proof and the correctness proof of definite assignment we perform induction on the evaluation relation with the further preconditions that the term is welltyped and definitely assigned. During the proofs we have to establish the welltypedness and definite assignment of the subterms to be able to apply the induction hypothesis. So large parts of both proofs are the same work in propagating welltypedness and definite assignment. So we can derive a new induction rule for induction on the evaluation of a wellformed term, were these propagations is already done, once and forever. Then we can do the proofs with this rule and can enjoy the time we have saved. Here is a first and incomplete sketch of such a rule.

theorem *wellformed-eval-induct* [*consumes 4, case-names Abrupt Skip Expr Lab Comp If*]:

assumes *eval*: $G \vdash s0 - t \rightarrow (v, s1)$
and *wt*: $(\text{prg}=G, \text{cls}=\text{acc } C, \text{lcl}=L) \vdash t::T$
and *da*: $(\text{prg}=G, \text{cls}=\text{acc } C, \text{lcl}=L) \vdash_{\text{dom}} (\text{locals } (\text{store } s0)) \gg t \gg A$
and *wf*: *wf-prog* *G*
and *abrupt*: $\bigwedge s \ t \ \text{abr } L \ \text{acc } C \ T \ A.$

$$\begin{aligned}
& \llbracket (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \rrbracket \vdash t :: T; \\
& \llbracket (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \rrbracket \vdash \text{dom} (\text{locals} (\text{store} (\text{Some } \text{abr}, s))) \gg t \gg A \\
& \rrbracket \implies P L \text{ acc}C (\text{Some } \text{abr}, s) t (\text{undefined3 } t) (\text{Some } \text{abr}, s) \\
\text{and } & \text{skip: } \bigwedge s L \text{ acc}C. P L \text{ acc}C (\text{Norm } s) \langle \text{Skip} \rangle_s \diamond (\text{Norm } s) \\
\text{and } & \text{expr: } \bigwedge e s0 s1 v L \text{ acc}C eT E. \\
& \llbracket (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \rrbracket \vdash e :: -eT; \\
& \llbracket (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \rrbracket \\
& \quad \vdash \text{dom} (\text{locals} (\text{store} ((\text{Norm } s0)::\text{state}))) \gg \langle e \rangle_e \gg E; \\
& \quad P L \text{ acc}C (\text{Norm } s0) \langle e \rangle_e [v]_e s1 \rrbracket \\
& \implies P L \text{ acc}C (\text{Norm } s0) \langle \text{Expr } e \rangle_s \diamond s1 \\
\text{and } & \text{lab: } \bigwedge c l s0 s1 L \text{ acc}C C. \\
& \llbracket (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \rrbracket \vdash c :: \checkmark; \\
& \llbracket (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \rrbracket \\
& \quad \vdash \text{dom} (\text{locals} (\text{store} ((\text{Norm } s0)::\text{state}))) \gg \langle c \rangle_s \gg C; \\
& \quad P L \text{ acc}C (\text{Norm } s0) \langle c \rangle_s \diamond s1 \rrbracket \\
& \implies P L \text{ acc}C (\text{Norm } s0) \langle l \cdot c \rangle_s \diamond (\text{abupd } (\text{absorb } l) s1) \\
\text{and } & \text{comp: } \bigwedge c1 c2 s0 s1 s2 L \text{ acc}C C1. \\
& \llbracket G \vdash \text{Norm } s0 -c1 \rightarrow s1; G \vdash s1 -c2 \rightarrow s2; \\
& \quad (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash c1 :: \checkmark; \\
& \quad (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash c2 :: \checkmark; \\
& \quad (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash \\
& \quad \quad \text{dom} (\text{locals} (\text{store} ((\text{Norm } s0)::\text{state}))) \gg \langle c1 \rangle_s \gg C1; \\
& \quad P L \text{ acc}C (\text{Norm } s0) \langle c1 \rangle_s \diamond s1; \\
& \quad \bigwedge Q. \llbracket \text{normal } s1; \\
& \quad \quad \bigwedge C2. \llbracket (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \rrbracket \\
& \quad \quad \quad \vdash \text{dom} (\text{locals} (\text{store } s1)) \gg \langle c2 \rangle_s \gg C2; \\
& \quad \quad P L \text{ acc}C s1 \langle c2 \rangle_s \diamond s2 \rrbracket \implies Q \\
& \rrbracket \implies Q \\
& \rrbracket \implies P L \text{ acc}C (\text{Norm } s0) \langle c1;; c2 \rangle_s \diamond s2 \\
\text{and } & \text{if: } \bigwedge b c1 c2 e s0 s1 s2 L \text{ acc}C E. \\
& \llbracket G \vdash \text{Norm } s0 -e \rightarrow b \rightarrow s1; \\
& \quad G \vdash s1 -(\text{if the-Bool } b \text{ then } c1 \text{ else } c2) \rightarrow s2; \\
& \quad (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash e :: -\text{PrimT Boolean}; \\
& \quad (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash (\text{if the-Bool } b \text{ then } c1 \text{ else } c2) :: \checkmark; \\
& \quad (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash \\
& \quad \quad \text{dom} (\text{locals} (\text{store} ((\text{Norm } s0)::\text{state}))) \gg \langle e \rangle_e \gg E; \\
& \quad P L \text{ acc}C (\text{Norm } s0) \langle e \rangle_e [b]_e s1; \\
& \quad \bigwedge Q. \llbracket \text{normal } s1; \\
& \quad \quad \bigwedge C. \llbracket (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \rrbracket \vdash (\text{dom} (\text{locals} (\text{store } s1))) \\
& \quad \quad \quad \gg (\text{if the-Bool } b \text{ then } c1 \text{ else } c2)_s \gg C; \\
& \quad \quad P L \text{ acc}C s1 \langle \text{if the-Bool } b \text{ then } c1 \text{ else } c2 \rangle_s \diamond s2 \\
& \quad \rrbracket \implies Q \\
& \rrbracket \implies Q \\
& \rrbracket \implies P L \text{ acc}C (\text{Norm } s0) \langle \text{If}(e) c1 \text{ Else } c2 \rangle_s \diamond s2 \\
& \text{shows } P L \text{ acc}C s0 t v s1 \\
& \langle \text{proof} \rangle \\
& \text{end}
\end{aligned}$$

Chapter 20

Evaln

49 Operational evaluation (big-step) semantics of Java expressions and statements

theory *Evaln* imports *TypeSafe* begin

Variant of *eval* relation with counter for bounded recursive depth. In principal *evaln* could replace *eval*.

Validity of the axiomatic semantics builds on *evaln*. For recursive method calls the axiomatic semantics rule assumes the method ok to derive a proof for the body. To prove the method rule sound we need to perform induction on the recursion depth. For the completeness proof of the axiomatic semantics the notion of the most general formula is used. The most general formula right now builds on the ordinary evaluation relation *eval*. So sometimes we have to switch between *evaln* and *eval* and vice versa. To make this switch easy *evaln* also does all the technical accessibility tests *check-field-access* and *check-method-access* like *eval*. If it would omit them *evaln* and *eval* would only be equivalent for welltyped, and definitely assigned terms.

inductive

```

evaln :: [prog, state, term, nat, vals, state] ⇒ bool
  (+- -->--> '(-, -') [61,61,80,61,0,0] 60)
and evaln :: [prog, state, var, vvar, nat, state] ⇒ bool
  (+- -->--> - [61,61,90,61,61,61] 60)
and evaln :: [prog, state, expr, val, nat, state] ⇒ bool
  (+- --->--> - [61,61,80,61,61,61] 60)
and evaln :: [prog, state, expr list, val list, nat, state] ⇒ bool
  (+- -->--> - [61,61,61,61,61,61] 60)
and execn :: [prog, state, stmt, nat, state] ⇒ bool
  (+- ----> - [61,61,65, 61,61] 60)
for G :: prog

```

where

```

G⊢s -c -n→ s' ≡ G⊢s -In1r c>-n→ (◇ , s')
| G⊢s -e>v -n→ s' ≡ G⊢s -In1l e>-n→ (In1 v , s')
| G⊢s -e>vf -n→ s' ≡ G⊢s -In2 e>-n→ (In2 vf , s')
| G⊢s -e>v -n→ s' ≡ G⊢s -In3 e>-n→ (In3 v , s')

```

— propagation of abrupt completion

```

| Abrupt: G⊢(Some xc,s) -t>-n→ (undefined3 t,(Some xc,s))

```

— evaluation of variables

```

| LVar: G⊢Norm s -LVar vn=>lvar vn s-n→ Norm s

| FVar: [G⊢Norm s0 -Init statDeclC-n→ s1; G⊢s1 -e>a-n→ s2;
  (v,s2') = fvar statDeclC stat fn a s2;
  s3 = check-field-access G accC statDeclC fn stat a s2'] ⇒
  G⊢Norm s0 -{accC,statDeclC,stat}e..fn=>v-n→ s3

| AVar: [G⊢Norm s0 -e1>a-n→ s1 ; G⊢s1 -e2>i-n→ s2;
  (v,s2') = avar G i a s2'] ⇒
  G⊢Norm s0 -e1.[e2]=>v-n→ s2'

```

— evaluation of expressions

```

| NewC: [G⊢Norm s0 -Init C-n→ s1;

```

- $$\begin{array}{l}
G \vdash \quad s1 \text{ --halloc } (CInst \ C) \succ a \rightarrow s2 \parallel \implies \\
\quad G \vdash Norm \ s0 \text{ --NewC } C \text{ --}\succ Addr \ a \text{ --}n \rightarrow s2 \\
| \text{ NewA: } \parallel G \vdash Norm \ s0 \text{ --init-comp-ty } T \text{ --}n \rightarrow s1; \ G \vdash s1 \text{ --e--}\succ i' \text{ --}n \rightarrow s2; \\
\quad G \vdash abupd \ (check\text{-neg } i') \ s2 \text{ --halloc } (Arr \ T \ (the\text{-Intg } i')) \succ a \rightarrow s3 \parallel \implies \\
\quad G \vdash Norm \ s0 \text{ --New } T[e] \text{ --}\succ Addr \ a \text{ --}n \rightarrow s3 \\
| \text{ Cast: } \parallel G \vdash Norm \ s0 \text{ --e--}\succ v \text{ --}n \rightarrow s1; \\
\quad s2 = abupd \ (raise\text{-if } (\neg G, snd \ s1 \vdash v \text{ fits } T) \ ClassCast) \ s1 \parallel \implies \\
\quad G \vdash Norm \ s0 \text{ --Cast } T \ e \text{ --}\succ v \text{ --}n \rightarrow s2 \\
| \text{ Inst: } \parallel G \vdash Norm \ s0 \text{ --e--}\succ v \text{ --}n \rightarrow s1; \\
\quad b = (v \neq Null \wedge G, store \ s1 \vdash v \text{ fits } RefT \ T) \parallel \implies \\
\quad G \vdash Norm \ s0 \text{ --e } InstOf \ T \text{ --}\succ Bool \ b \text{ --}n \rightarrow s1 \\
| \text{ Lit:} \quad G \vdash Norm \ s \text{ --Lit } v \text{ --}\succ v \text{ --}n \rightarrow Norm \ s \\
| \text{ UnOp: } \parallel G \vdash Norm \ s0 \text{ --e--}\succ v \text{ --}n \rightarrow s1 \parallel \\
\implies G \vdash Norm \ s0 \text{ --UnOp } unop \ e \text{ --}\succ (eval\text{-unop } unop \ v) \text{ --}n \rightarrow s1 \\
| \text{ BinOp: } \parallel G \vdash Norm \ s0 \text{ --e1--}\succ v1 \text{ --}n \rightarrow s1; \\
\quad G \vdash s1 \text{ --(if need-second-arg binop v1 then (In1l e2) else (In1r Skip))} \\
\quad \text{ --}\succ \text{ --}n \rightarrow (In1 \ v2, s2) \parallel \\
\implies G \vdash Norm \ s0 \text{ --BinOp } binop \ e1 \ e2 \text{ --}\succ (eval\text{-binop } binop \ v1 \ v2) \text{ --}n \rightarrow s2 \\
| \text{ Super:} \quad G \vdash Norm \ s \text{ --Super--}\succ val\text{-this } s \text{ --}n \rightarrow Norm \ s \\
| \text{ Acc: } \parallel G \vdash Norm \ s0 \text{ --va=}\succ (v, f) \text{ --}n \rightarrow s1 \parallel \implies \\
\quad G \vdash Norm \ s0 \text{ --Acc } va \text{ --}\succ v \text{ --}n \rightarrow s1 \\
| \text{ Ass: } \parallel G \vdash Norm \ s0 \text{ --va=}\succ (w, f) \text{ --}n \rightarrow s1; \\
\quad G \vdash \quad s1 \text{ --e--}\succ v \quad \text{ --}n \rightarrow s2 \parallel \implies \\
\quad G \vdash Norm \ s0 \text{ --va:=e--}\succ v \text{ --}n \rightarrow assign \ f \ v \ s2 \\
| \text{ Cond: } \parallel G \vdash Norm \ s0 \text{ --e0--}\succ b \text{ --}n \rightarrow s1; \\
\quad G \vdash \quad s1 \text{ --(if the-Bool b then e1 else e2)--}\succ v \text{ --}n \rightarrow s2 \parallel \implies \\
\quad G \vdash Norm \ s0 \text{ --e0 ? e1 : e2--}\succ v \text{ --}n \rightarrow s2 \\
| \text{ Call:} \\
\parallel G \vdash Norm \ s0 \text{ --e--}\succ a' \text{ --}n \rightarrow s1; \ G \vdash s1 \text{ --args=}\succ vs \text{ --}n \rightarrow s2; \\
\quad D = invocation\text{-declclass } G \ mode \ (store \ s2) \ a' \ statT \ (\langle name=mn, parTs=pTs \rangle); \\
\quad s3 = init\text{-lvars } G \ D \ (\langle name=mn, parTs=pTs \rangle) \ mode \ a' \ vs \ s2; \\
\quad s3' = check\text{-method-access } G \ accC \ statT \ mode \ (\langle name=mn, parTs=pTs \rangle) \ a' \ s3; \\
\quad G \vdash s3' \text{ --Methd } D \ (\langle name=mn, parTs=pTs \rangle) \text{ --}\succ v \text{ --}n \rightarrow s4 \\
\parallel \\
\implies \\
\quad G \vdash Norm \ s0 \text{ --}\{accC, statT, mode\}e.mn(\{pTs\}args)\text{ --}\succ v \text{ --}n \rightarrow (restore\text{-lvars } s2 \ s4) \\
| \text{ Methd: } \parallel G \vdash Norm \ s0 \text{ --body } G \ D \ sig \text{ --}\succ v \text{ --}n \rightarrow s1 \parallel \implies \\
\quad G \vdash Norm \ s0 \text{ --Methd } D \ sig \text{ --}\succ v \text{ --}n \rightarrow Suc \ n \rightarrow s1 \\
| \text{ Body: } \parallel G \vdash Norm \ s0 \text{ --Init } D \text{ --}n \rightarrow s1; \ G \vdash s1 \text{ --c--}n \rightarrow s2; \\
\quad s3 = (if \ (\exists \ l. abrupt \ s2 = Some \ (Jump \ (Break \ l))) \vee \\
\quad \quad abrupt \ s2 = Some \ (Jump \ (Cont \ l))) \\
\quad \quad then \ abupd \ (\lambda \ x. Some \ (Error \ CrossMethodJump)) \ s2 \\
\quad \quad else \ s2) \parallel \implies \\
\quad G \vdash Norm \ s0 \text{ --Body } D \ c \\
\quad \text{ --}\succ the \ (locals \ (store \ s2) \ Result) \text{ --}n \rightarrow abupd \ (absorb \ Ret) \ s3
\end{array}$$

— evaluation of expression lists

| *Nil*:

$$G \vdash \text{Norm } s0 \text{ --} [\dot{=} \dot{\succ}] \text{ --} n \rightarrow \text{Norm } s0$$

| *Cons*: $\llbracket G \vdash \text{Norm } s0 \text{ --} e \dot{\succ} v \text{ --} n \rightarrow s1;$

$$G \vdash s1 \text{ --} es \dot{=} \dot{\succ} vs \text{ --} n \rightarrow s2 \rrbracket \implies G \vdash \text{Norm } s0 \text{ --} e \# es \dot{=} \dot{\succ} v \# vs \text{ --} n \rightarrow s2$$

— execution of statements

| *Skip*:

$$G \vdash \text{Norm } s \text{ --} \text{Skip} \text{ --} n \rightarrow \text{Norm } s$$

| *Expr*: $\llbracket G \vdash \text{Norm } s0 \text{ --} e \dot{\succ} v \text{ --} n \rightarrow s1 \rrbracket \implies$

$$G \vdash \text{Norm } s0 \text{ --} \text{Expr } e \text{ --} n \rightarrow s1$$

| *Lab*: $\llbracket G \vdash \text{Norm } s0 \text{ --} c \text{ --} n \rightarrow s1 \rrbracket \implies$

$$G \vdash \text{Norm } s0 \text{ --} l \cdot c \text{ --} n \rightarrow \text{abupd } (\text{absorb } l) s1$$

| *Comp*: $\llbracket G \vdash \text{Norm } s0 \text{ --} c1 \text{ --} n \rightarrow s1;$

$$G \vdash s1 \text{ --} c2 \text{ --} n \rightarrow s2 \rrbracket \implies G \vdash \text{Norm } s0 \text{ --} c1;; c2 \text{ --} n \rightarrow s2$$

| *If*: $\llbracket G \vdash \text{Norm } s0 \text{ --} e \dot{\succ} b \text{ --} n \rightarrow s1;$

$$G \vdash s1 \text{ --} (\text{if the-Bool } b \text{ then } c1 \text{ else } c2) \text{ --} n \rightarrow s2 \rrbracket \implies G \vdash \text{Norm } s0 \text{ --} \text{If}(e) c1 \text{ Else } c2 \text{ --} n \rightarrow s2$$

| *Loop*: $\llbracket G \vdash \text{Norm } s0 \text{ --} e \dot{\succ} b \text{ --} n \rightarrow s1;$

$$\begin{aligned} & \text{if the-Bool } b \\ & \text{then } (G \vdash s1 \text{ --} c \text{ --} n \rightarrow s2 \wedge \\ & \quad G \vdash (\text{abupd } (\text{absorb } (\text{Cont } l)) s2) \text{ --} l \cdot \text{While}(e) c \text{ --} n \rightarrow s3) \\ & \text{else } s3 = s1 \rrbracket \implies G \vdash \text{Norm } s0 \text{ --} l \cdot \text{While}(e) c \text{ --} n \rightarrow s3 \end{aligned}$$

| *Jmp*: $G \vdash \text{Norm } s \text{ --} \text{Jmp } j \text{ --} n \rightarrow (\text{Some } (\text{Jump } j), s)$

| *Throw*: $\llbracket G \vdash \text{Norm } s0 \text{ --} e \dot{\succ} a' \text{ --} n \rightarrow s1 \rrbracket \implies$

$$G \vdash \text{Norm } s0 \text{ --} \text{Throw } e \text{ --} n \rightarrow \text{abupd } (\text{throw } a') s1$$

| *Try*: $\llbracket G \vdash \text{Norm } s0 \text{ --} c1 \text{ --} n \rightarrow s1; G \vdash s1 \text{ --} \text{salloc} \rightarrow s2;$

$$\begin{aligned} & \text{if } G, s2 \vdash \text{catch } tn \text{ then } G \vdash \text{new-xcpt-var } vn \text{ } s2 \text{ --} c2 \text{ --} n \rightarrow s3 \text{ else } s3 = s2 \rrbracket \\ & \implies G \vdash \text{Norm } s0 \text{ --} \text{Try } c1 \text{ Catch}(tn \text{ } vn) \text{ } c2 \text{ --} n \rightarrow s3 \end{aligned}$$

| *Fin*: $\llbracket G \vdash \text{Norm } s0 \text{ --} c1 \text{ --} n \rightarrow (x1, s1);$

$$\begin{aligned} & G \vdash \text{Norm } s1 \text{ --} c2 \text{ --} n \rightarrow s2; \\ & s3 = (\text{if } (\exists \text{ err. } x1 = \text{Some } (\text{Error } \text{err})) \\ & \quad \text{then } (x1, s1) \\ & \quad \text{else } \text{abupd } (\text{abrupt-if } (x1 \neq \text{None}) x1) s2) \rrbracket \implies \\ & G \vdash \text{Norm } s0 \text{ --} c1 \text{ Finally } c2 \text{ --} n \rightarrow s3 \end{aligned}$$

| *Init*: $\llbracket \text{the } (\text{class } G \text{ } C) = c;$

$$\begin{aligned} & \text{if init-ed } C \text{ (globs } s0) \text{ then } s3 = \text{Norm } s0 \\ & \text{else } (G \vdash \text{Norm } (\text{init-class-obj } G \text{ } C \text{ } s0) \\ & \quad \text{--} (\text{if } C = \text{Object then Skip else Init } (\text{super } c)) \text{ --} n \rightarrow s1 \wedge \\ & \quad G \vdash \text{set-lvars empty } s1 \text{ --} \text{init } c \text{ --} n \rightarrow s2 \wedge \\ & \quad s3 = \text{restore-lvars } s1 \text{ } s2) \rrbracket \\ & \implies \end{aligned}$$

$$G \vdash \text{Norm } s0 \text{ --Init } C \text{ --}n \rightarrow s3$$

monos

if-bool-eq-conj

declare *split-if* [*split del*] *split-if-asm* [*split del*]
option.split [*split del*] *option.split-asm* [*split del*]
not-None-eq [*simp del*]
split-paired-All [*simp del*] *split-paired-Ex* [*simp del*]
 $\langle ML \rangle$

inductive-cases *evaln-cases*: $G \vdash s \text{ --}t \text{ --}n \rightarrow (v, s')$

inductive-cases *evaln-elim-cases*:

$G \vdash (\text{Some } xc, s) \text{ --}t$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1r Skip}$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In1r (Jmp } j)$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In1r (l. } c)$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In3 } ([\])$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In3 } (e \# es)$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1l (Lit } w)$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1l (UnOp unop } e)$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1l (BinOp binop } e1 \ e2)$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In2 (LVar } vn)$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1l (Cast } T \ e)$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1l (e InstOf } T)$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1l (Super)}$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1l (Acc } va)$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1r (Expr } e)$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In1r (c1;; c2)}$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In1l (Methd } C \ \text{sig})$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In1l (Body } D \ c)$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In1l (e0 ? e1 : e2)}$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1r (If(e) c1 Else c2)}$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In1r (l. While(e) c)}$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In1r (c1 Finally c2)}$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In1r (Throw } e)$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In1l (NewC } C)$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1l (New } T[e])$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1l (Ass } va \ e)$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1r (Try c1 Catch(tn vn) c2)}$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In2 } (\{accC, statDeclC, stat\}e..fn)$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In2 } (e1.[e2])$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1l } (\{accC, statT, mode\}e.mn(\{pT\}p))$	$\succ \text{--}n \rightarrow (v, s')$
$G \vdash \text{Norm } s \text{ --In1r (Init } C)$	$\succ \text{--}n \rightarrow (x, s')$
$G \vdash \text{Norm } s \text{ --In1r (Init } C)$	$\succ \text{--}n \rightarrow (x, s')$

declare *split-if* [*split*] *split-if-asm* [*split*]
option.split [*split*] *option.split-asm* [*split*]
not-None-eq [*simp*]
split-paired-All [*simp*] *split-paired-Ex* [*simp*]
 $\langle ML \rangle$

lemma *evaln-Inj-elim*: $G \vdash s \text{ --}t \text{ --}n \rightarrow (w, s') \implies \text{case } t \text{ of In1 } ec \Rightarrow$
 $(\text{case } ec \text{ of Inl } e \Rightarrow (\exists v. w = \text{In1 } v) \mid \text{Inr } c \Rightarrow w = \Diamond)$
 $\mid \text{In2 } e \Rightarrow (\exists v. w = \text{In2 } v) \mid \text{In3 } e \Rightarrow (\exists v. w = \text{In3 } v)$
 $\langle \text{proof} \rangle$

The following simplification procedures set up the proper injections of terms and their corresponding values in the evaluation relation: E.g. an expression (injection *In1l* into terms) always evaluates to ordinary values (injection *In1* into generalised values *vals*).

lemma *evaln-expr-eq*: $G \vdash s - \text{In1l } t \succ - n \rightarrow (w, s') = (\exists v. w = \text{In1 } v \wedge G \vdash s - t \succ v - n \rightarrow s')$
 $\langle \text{proof} \rangle$

lemma *evaln-var-eq*: $G \vdash s - \text{In2 } t \succ - n \rightarrow (w, s') = (\exists vf. w = \text{In2 } vf \wedge G \vdash s - t = \succ vf - n \rightarrow s')$
 $\langle \text{proof} \rangle$

lemma *evaln-exprs-eq*: $G \vdash s - \text{In3 } t \succ - n \rightarrow (w, s') = (\exists vs. w = \text{In3 } vs \wedge G \vdash s - t \dot{=} \succ vs - n \rightarrow s')$
 $\langle \text{proof} \rangle$

lemma *evaln-stmt-eq*: $G \vdash s - \text{In1r } t \succ - n \rightarrow (w, s') = (w = \Diamond \wedge G \vdash s - t - n \rightarrow s')$
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

declare *evaln-AbruptIs* [intro!]

lemma *evaln-Callee*: $G \vdash \text{Norm } s - \text{In1l } (\text{Callee } l \ e) \succ - n \rightarrow (v, s') = \text{False}$
 $\langle \text{proof} \rangle$

lemma *evaln-InsInitE*: $G \vdash \text{Norm } s - \text{In1l } (\text{InsInitE } c \ e) \succ - n \rightarrow (v, s') = \text{False}$
 $\langle \text{proof} \rangle$

lemma *evaln-InsInitV*: $G \vdash \text{Norm } s - \text{In2 } (\text{InsInitV } c \ w) \succ - n \rightarrow (v, s') = \text{False}$
 $\langle \text{proof} \rangle$

lemma *evaln-FinA*: $G \vdash \text{Norm } s - \text{In1r } (\text{FinA } a \ c) \succ - n \rightarrow (v, s') = \text{False}$
 $\langle \text{proof} \rangle$

lemma *evaln-abrupt-lemma*: $G \vdash s - e \succ - n \rightarrow (v, s') \implies$
 $\text{fst } s = \text{Some } xc \longrightarrow s' = s \wedge v = \text{undefined3 } e$
 $\langle \text{proof} \rangle$

lemma *evaln-abrupt*:
 $\bigwedge s'. G \vdash (\text{Some } xc, s) - e \succ - n \rightarrow (w, s') = (s' = (\text{Some } xc, s) \wedge$
 $w = \text{undefined3 } e \wedge G \vdash (\text{Some } xc, s) - e \succ - n \rightarrow (\text{undefined3 } e, (\text{Some } xc, s)))$
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

lemma *evaln-LitI*: $G \vdash s - \text{Lit } v \succ - (\text{if normal } s \text{ then } v \text{ else undefined}) - n \rightarrow s$
 $\langle \text{proof} \rangle$

lemma *CondI*:
 $\bigwedge s1. \llbracket G \vdash s - e \succ b - n \rightarrow s1; G \vdash s1 - (\text{if the-Bool } b \text{ then } e1 \text{ else } e2) - \succ v - n \rightarrow s2 \rrbracket \implies$
 $G \vdash s - e \ ? \ e1 : e2 \succ - (\text{if normal } s1 \text{ then } v \text{ else undefined}) - n \rightarrow s2$

$\langle \text{proof} \rangle$

lemma *evaln-SkipI* [intro!]: $G \vdash s - \text{Skip} - n \rightarrow s$

$\langle \text{proof} \rangle$

lemma *evaln-ExprI*: $G \vdash s - e - \succ v - n \rightarrow s' \implies G \vdash s - \text{Expr } e - n \rightarrow s'$

$\langle \text{proof} \rangle$

lemma *evaln-CompI*: $\llbracket G \vdash s - c1 - n \rightarrow s1; G \vdash s1 - c2 - n \rightarrow s2 \rrbracket \implies G \vdash s - c1;; c2 - n \rightarrow s2$

$\langle \text{proof} \rangle$

lemma *evaln-IfI*:

$\llbracket G \vdash s - e - \succ v - n \rightarrow s1; G \vdash s1 - (\text{if the-Bool } v \text{ then } c1 \text{ else } c2) - n \rightarrow s2 \rrbracket \implies$
 $G \vdash s - \text{If}(e) \ c1 \ \text{Else } c2 - n \rightarrow s2$

$\langle \text{proof} \rangle$

lemma *evaln-SkipD* [dest!]: $G \vdash s - \text{Skip} - n \rightarrow s' \implies s' = s$

$\langle \text{proof} \rangle$

lemma *evaln-Skip-eq* [simp]: $G \vdash s - \text{Skip} - n \rightarrow s' = (s = s')$

$\langle \text{proof} \rangle$

evaln implies eval

lemma *evaln-eval*:

assumes *evaln*: $G \vdash s0 - t \succ - n \rightarrow (v, s1)$

shows $G \vdash s0 - t \succ \rightarrow (v, s1)$

$\langle \text{proof} \rangle$

lemma *Suc-le-D-lemma*: $\llbracket \text{Suc } n \leq m'; (\bigwedge m. n \leq m \implies P (\text{Suc } m)) \rrbracket \implies P m'$

$\langle \text{proof} \rangle$

lemma *evaln-nonstrict* [rule-format (no-asm), elim]:

$G \vdash s - t \succ - n \rightarrow (w, s') \implies \forall m. n \leq m \longrightarrow G \vdash s - t \succ - m \rightarrow (w, s')$

$\langle \text{proof} \rangle$

lemmas *evaln-nonstrict-Suc* = *evaln-nonstrict* [OF - le-refl [THEN le-SucI]]

lemma *evaln-max2*: $\llbracket G \vdash s1 - t1 \succ - n1 \rightarrow (w1, s1'); G \vdash s2 - t2 \succ - n2 \rightarrow (w2, s2') \rrbracket \implies$

$G \vdash s1 - t1 \succ - \max n1 \ n2 \rightarrow (w1, s1') \wedge G \vdash s2 - t2 \succ - \max n1 \ n2 \rightarrow (w2, s2')$

$\langle \text{proof} \rangle$

corollary *evaln-max2E* [consumes 2]:

$\llbracket G \vdash s1 - t1 \succ - n1 \rightarrow (w1, s1'); G \vdash s2 - t2 \succ - n2 \rightarrow (w2, s2') \rrbracket$

$\llbracket G \vdash s1 - t1 \succ - \max n1 \ n2 \rightarrow (w1, s1'); G \vdash s2 - t2 \succ - \max n1 \ n2 \rightarrow (w2, s2') \rrbracket \implies P \rrbracket \implies P$

$\langle \text{proof} \rangle$

lemma *evaln-max3*:

$$\begin{aligned} & \llbracket G \vdash s1 -t1 \succ -n1 \rightarrow (w1, s1'); G \vdash s2 -t2 \succ -n2 \rightarrow (w2, s2'); G \vdash s3 -t3 \succ -n3 \rightarrow (w3, s3') \rrbracket \implies \\ & G \vdash s1 -t1 \succ -\max (\max n1 n2) n3 \rightarrow (w1, s1') \wedge \\ & G \vdash s2 -t2 \succ -\max (\max n1 n2) n3 \rightarrow (w2, s2') \wedge \\ & G \vdash s3 -t3 \succ -\max (\max n1 n2) n3 \rightarrow (w3, s3') \\ & \langle \text{proof} \rangle \end{aligned}$$

corollary *evaln-max3E*:

$$\begin{aligned} & \llbracket G \vdash s1 -t1 \succ -n1 \rightarrow (w1, s1'); G \vdash s2 -t2 \succ -n2 \rightarrow (w2, s2'); G \vdash s3 -t3 \succ -n3 \rightarrow (w3, s3'); \\ & \quad \llbracket G \vdash s1 -t1 \succ -\max (\max n1 n2) n3 \rightarrow (w1, s1'); \\ & \quad G \vdash s2 -t2 \succ -\max (\max n1 n2) n3 \rightarrow (w2, s2'); \\ & \quad G \vdash s3 -t3 \succ -\max (\max n1 n2) n3 \rightarrow (w3, s3') \rrbracket \\ & \quad \rrbracket \implies P \\ & \quad \rrbracket \implies P \\ & \langle \text{proof} \rangle \end{aligned}$$

lemma *le-max3I1*: $(n2::nat) \leq \max n1 (\max n2 n3)$
 $\langle \text{proof} \rangle$

lemma *le-max3I2*: $(n3::nat) \leq \max n1 (\max n2 n3)$
 $\langle \text{proof} \rangle$

declare $[[\text{simproc del: wt-expr wt-var wt-exprs wt-stmt}]]$

eval implies evaln

lemma *eval-evaln*:

assumes *eval*: $G \vdash s0 -t \succ \rightarrow (v, s1)$
shows $\exists n. G \vdash s0 -t \succ -n \rightarrow (v, s1)$
 $\langle \text{proof} \rangle$

end

Chapter 21

Trans

theory *Trans* **imports** *Evaln* **begin**

definition *groundVar* :: *var* \Rightarrow *bool* **where**

groundVar *v* \equiv (case *v* of
 LVar *ln* \Rightarrow *True*
 | {*accC*,*statDeclC*,*stat*}*e*..*fn* \Rightarrow \exists *a*. *e*=*Lit* *a*
 | *e1*..*e2* \Rightarrow \exists *a* *i*. *e1* = *Lit* *a* \wedge *e2* = *Lit* *i*
 | *InsInitV* *c* *v* \Rightarrow *False*)

lemma *groundVar-cases* [consumes 1, case-names *LVar FVar AVar*]:

assumes *ground*: *groundVar* *v* **and**

LVar: \bigwedge *ln*. $\llbracket v = \text{LVar } ln \rrbracket \Longrightarrow P$ **and**

FVar: \bigwedge *accC statDeclC stat a fn*.

$\llbracket v = \{accC, statDeclC, stat\} (Lit\ a) .. fn \rrbracket \Longrightarrow P$ **and**

AVar: \bigwedge *a i*. $\llbracket v = (Lit\ a) . [Lit\ i] \rrbracket \Longrightarrow P$

shows *P*

$\langle proof \rangle$

definition *groundExprs* :: *expr list* \Rightarrow *bool* **where**

groundExprs *es* \equiv *list-all* (λ *e*. \exists *v*. *e*=*Lit* *v*) *es*

consts *the-val*:: *expr* \Rightarrow *val*

primrec

the-val (*Lit* *v*) = *v*

consts *the-var*:: *prog* \Rightarrow *state* \Rightarrow *var* \Rightarrow (*vvar* \times *state*)

primrec

the-var *G* *s* (*LVar* *ln*) $=$ (*lvar* *ln* (*store* *s*), *s*)

the-var-FVar-def:

the-var *G* *s* ({*accC*,*statDeclC*,*stat*}*a*..*fn*) = *fvar* *statDeclC* *stat* *fn* (*the-val* *a*) *s*

the-var-AVar-def:

the-var *G* *s* (*a*..*i*) $=$ *avar* *G* (*the-val* *i*) (*the-val* *a*) *s*

lemma *the-var-FVar-simp*[*simp*]:

the-var *G* *s* ({*accC*,*statDeclC*,*stat*}(*Lit* *a*)..*fn*) = *fvar* *statDeclC* *stat* *fn* *a* *s*

$\langle proof \rangle$

declare *the-var-FVar-def* [*simp del*]

lemma *the-var-AVar-simp*:

the-var $G\ s\ ((Lit\ a).[Lit\ i]) = avar\ G\ i\ a\ s$
 $\langle proof \rangle$

declare *the-var-AVar-def* [*simp del*]

abbreviation

$Ref :: loc \Rightarrow expr$

where $Ref\ a == Lit\ (Addr\ a)$

abbreviation

$SKIP :: expr$

where $SKIP == Lit\ Unit$

inductive

$step :: [prog, term \times state, term \times state] \Rightarrow bool\ (\vdash \mapsto 1\ [61, 82, 82]\ 81)$

for $G :: prog$

where

Abrupt:
 $\llbracket \forall v. t \neq \langle Lit\ v \rangle;$
 $\forall t. t \neq \langle l \cdot Skip \rangle;$
 $\forall C\ vn\ c. t \neq \langle Try\ Skip\ Catch(C\ vn)\ c \rangle;$
 $\forall x\ c. t \neq \langle Skip\ Finally\ c \rangle \wedge xc \neq Xcpt\ x;$
 $\forall a\ c. t \neq \langle FinA\ a\ c \rangle \rrbracket$
 \implies
 $G \vdash (t, Some\ xc, s) \mapsto 1\ (\langle Lit\ undefined \rangle, Some\ xc, s)$

| *InsInitE*: $\llbracket G \vdash (\langle c \rangle, Norm\ s) \mapsto 1\ (\langle c^\wedge, s' \rangle) \rrbracket$
 \implies
 $G \vdash (\langle InsInitE\ c\ e \rangle, Norm\ s) \mapsto 1\ (\langle InsInitE\ c' e \rangle, s')$

| *NewC*: $G \vdash (\langle NewC\ C \rangle, Norm\ s) \mapsto 1\ (\langle InsInitE\ (Init\ C)\ (NewC\ C) \rangle, Norm\ s)$
| *NewCInitd*: $\llbracket G \vdash Norm\ s -halloc\ (CInst\ C) \succ a \rightarrow s' \rrbracket$
 \implies
 $G \vdash (\langle InsInitE\ Skip\ (NewC\ C) \rangle, Norm\ s) \mapsto 1\ (\langle Ref\ a \rangle, s')$

| *NewA*:
 $G \vdash (\langle New\ T[e] \rangle, Norm\ s) \mapsto 1\ (\langle InsInitE\ (init-comp-ty\ T)\ (New\ T[e]) \rangle, Norm\ s)$
| *InsInitNewAIdx*:
 $\llbracket G \vdash (\langle e \rangle, Norm\ s) \mapsto 1\ (\langle e^\wedge, s' \rangle) \rrbracket$
 \implies
 $G \vdash (\langle InsInitE\ Skip\ (New\ T[e]) \rangle, Norm\ s) \mapsto 1\ (\langle InsInitE\ Skip\ (New\ T[e^\wedge]) \rangle, s')$
| *InsInitNewA*:
 $\llbracket G \vdash abupd\ (check-neg\ i)\ (Norm\ s) -halloc\ (Arr\ T\ (the-Intg\ i)) \succ a \rightarrow s' \rrbracket$
 \implies
 $G \vdash (\langle InsInitE\ Skip\ (New\ T[Lit\ i]) \rangle, Norm\ s) \mapsto 1\ (\langle Ref\ a \rangle, s')$

| *CastE*:
 $\llbracket G \vdash (\langle e \rangle, Norm\ s) \mapsto 1\ (\langle e^\wedge, s' \rangle) \rrbracket$
 \implies

$$\begin{array}{l}
G \vdash (\langle \text{Cast } T \ e \rangle, \text{None}, s) \mapsto 1 \ (\langle \text{Cast } T \ e \rangle, s') \\
| \text{Cast:} \quad \llbracket s' = \text{abupd } (\text{raise-if } (\neg G, s \vdash v \text{ fits } T) \ \text{ClassCast}) \ (\text{Norm } s) \rrbracket \\
\quad \implies \\
G \vdash (\langle \text{Cast } T \ (\text{Lit } v) \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Lit } v \rangle, s') \\
\\
| \text{InstE:} \quad \llbracket G \vdash (\langle e \rangle, \text{Norm } s) \mapsto 1 \ (\langle e'::\text{expr} \rangle, s') \rrbracket \\
\quad \implies \\
G \vdash (\langle e \ \text{InstOf } T \rangle, \text{Norm } s) \mapsto 1 \ (\langle e \rangle, s') \\
| \text{Inst:} \quad \llbracket b = (v \neq \text{Null} \wedge G, s \vdash v \text{ fits RefT } T) \rrbracket \\
\quad \implies \\
G \vdash (\langle (\text{Lit } v) \ \text{InstOf } T \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Lit } (\text{Bool } b) \rangle, s') \\
\\
| \text{UnOpE:} \quad \llbracket G \vdash (\langle e \rangle, \text{Norm } s) \mapsto 1 \ (\langle e \rangle, s') \rrbracket \\
\quad \implies \\
G \vdash (\langle \text{UnOp unop } e \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{UnOp unop } e \rangle, s') \\
| \text{UnOp:} \quad G \vdash (\langle \text{UnOp unop } (\text{Lit } v) \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Lit } (\text{eval-unop unop } v) \rangle, \text{Norm } s) \\
\\
| \text{BinOpE1:} \quad \llbracket G \vdash (\langle e1 \rangle, \text{Norm } s) \mapsto 1 \ (\langle e1 \rangle, s') \rrbracket \\
\quad \implies \\
G \vdash (\langle \text{BinOp binop } e1 \ e2 \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{BinOp binop } e1' \ e2 \rangle, s') \\
| \text{BinOpE2:} \quad \llbracket \text{need-second-arg binop } v1; \ G \vdash (\langle e2 \rangle, \text{Norm } s) \mapsto 1 \ (\langle e2 \rangle, s') \rrbracket \\
\quad \implies \\
G \vdash (\langle \text{BinOp binop } (\text{Lit } v1) \ e2 \rangle, \text{Norm } s) \\
\quad \mapsto 1 \ (\langle \text{BinOp binop } (\text{Lit } v1) \ e2 \rangle, s') \\
| \text{BinOpTerm:} \quad \llbracket \neg \text{need-second-arg binop } v1 \rrbracket \\
\quad \implies \\
G \vdash (\langle \text{BinOp binop } (\text{Lit } v1) \ e2 \rangle, \text{Norm } s) \\
\quad \mapsto 1 \ (\langle \text{Lit } v1 \rangle, \text{Norm } s) \\
| \text{BinOp:} \quad G \vdash (\langle \text{BinOp binop } (\text{Lit } v1) \ (\text{Lit } v2) \rangle, \text{Norm } s) \\
\quad \mapsto 1 \ (\langle \text{Lit } (\text{eval-binop binop } v1 \ v2) \rangle, \text{Norm } s) \\
\\
| \text{Super:} \quad G \vdash (\langle \text{Super} \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Lit } (\text{val-this } s) \rangle, \text{Norm } s) \\
\\
| \text{AccVA:} \quad \llbracket G \vdash (\langle va \rangle, \text{Norm } s) \mapsto 1 \ (\langle va \rangle, s') \rrbracket \\
\quad \implies \\
G \vdash (\langle \text{Acc } va \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Acc } va \rangle, s') \\
| \text{Acc:} \quad \llbracket \text{groundVar } va; \ ((v, vf), s') = \text{the-var } G \ (\text{Norm } s) \ va \rrbracket \\
\quad \implies \\
G \vdash (\langle \text{Acc } va \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Lit } v \rangle, s') \\
\\
| \text{AssVA:} \quad \llbracket G \vdash (\langle va \rangle, \text{Norm } s) \mapsto 1 \ (\langle va \rangle, s') \rrbracket \\
\quad \implies \\
G \vdash (\langle va := e \rangle, \text{Norm } s) \mapsto 1 \ (\langle va' := e \rangle, s') \\
| \text{AssE:} \quad \llbracket \text{groundVar } va; \ G \vdash (\langle e \rangle, \text{Norm } s) \mapsto 1 \ (\langle e \rangle, s') \rrbracket \\
\quad \implies \\
G \vdash (\langle va := e \rangle, \text{Norm } s) \mapsto 1 \ (\langle va := e \rangle, s') \\
| \text{Ass:} \quad \llbracket \text{groundVar } va; \ ((w, f), s') = \text{the-var } G \ (\text{Norm } s) \ va \rrbracket \\
\quad \implies \\
G \vdash (\langle va := (\text{Lit } v) \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Lit } v \rangle, \text{assign } f \ v \ s') \\
\\
| \text{CondC:} \quad \llbracket G \vdash (\langle e0 \rangle, \text{Norm } s) \mapsto 1 \ (\langle e0 \rangle, s') \rrbracket
\end{array}$$

	$\begin{aligned} &\Rightarrow \\ &G \vdash (\langle e0? e1:e2 \rangle, Norm\ s) \mapsto 1 (\langle e0'? e1:e2 \rangle, s') \\ \text{Cond: } &G \vdash (\langle Lit\ b? e1:e2 \rangle, Norm\ s) \mapsto 1 (\langle if\ the\text{-}Bool\ b\ then\ e1\ else\ e2 \rangle, Norm\ s) \end{aligned}$
<i>CallTarget</i> :	$\begin{aligned} &\llbracket G \vdash (\langle e \rangle, Norm\ s) \mapsto 1 (\langle e' \rangle, s') \rrbracket \\ &\Rightarrow \\ &G \vdash (\langle \{accC, statT, mode\} e \cdot mn(\{pTs\} args) \rangle, Norm\ s) \\ &\mapsto 1 (\langle \{accC, statT, mode\} e' \cdot mn(\{pTs\} args) \rangle, s') \end{aligned}$
<i>CallArgs</i> :	$\begin{aligned} &\llbracket G \vdash (\langle args \rangle, Norm\ s) \mapsto 1 (\langle args' \rangle, s') \rrbracket \\ &\Rightarrow \\ &G \vdash (\langle \{accC, statT, mode\} Lit\ a \cdot mn(\{pTs\} args) \rangle, Norm\ s) \\ &\mapsto 1 (\langle \{accC, statT, mode\} Lit\ a \cdot mn(\{pTs\} args') \rangle, s') \end{aligned}$
<i>Call</i> :	$\begin{aligned} &\llbracket groundExprs\ args; vs = map\ the\text{-}val\ args; \\ &D = invocation\text{-}declclass\ G\ mode\ s\ a\ statT\ (\llbracket name=mn, parTs=pTs \rrbracket); \\ &s' = init\text{-}lvars\ G\ D\ (\llbracket name=mn, parTs=pTs \rrbracket)\ mode\ a'\ vs\ (Norm\ s) \rrbracket \\ &\Rightarrow \\ &G \vdash (\langle \{accC, statT, mode\} Lit\ a \cdot mn(\{pTs\} args) \rangle, Norm\ s) \\ &\mapsto 1 (\langle Callee\ (locals\ s)\ (Methd\ D\ (\llbracket name=mn, parTs=pTs \rrbracket)) \rangle, s') \end{aligned}$
<i>Callee</i> :	$\begin{aligned} &\llbracket G \vdash (\langle e \rangle, Norm\ s) \mapsto 1 (\langle e'::expr \rangle, s') \rrbracket \\ &\Rightarrow \\ &G \vdash (\langle Callee\ lcls\text{-}caller\ e \rangle, Norm\ s) \mapsto 1 (\langle e' \rangle, s') \end{aligned}$
<i>CalleeRet</i> :	$\begin{aligned} &G \vdash (\langle Callee\ lcls\text{-}caller\ (Lit\ v) \rangle, Norm\ s) \\ &\mapsto 1 (\langle Lit\ v \rangle, (set\text{-}lvars\ lcls\text{-}caller\ (Norm\ s))) \end{aligned}$
<i>Methd</i> :	$G \vdash (\langle Methd\ D\ sig \rangle, Norm\ s) \mapsto 1 (\langle body\ G\ D\ sig \rangle, Norm\ s)$
<i>Body</i> :	$G \vdash (\langle Body\ D\ c \rangle, Norm\ s) \mapsto 1 (\langle InsInitE\ (Init\ D)\ (Body\ D\ c) \rangle, Norm\ s)$
<i>InsInitBody</i> :	$\begin{aligned} &\llbracket G \vdash (\langle c \rangle, Norm\ s) \mapsto 1 (\langle c' \rangle, s') \rrbracket \\ &\Rightarrow \\ &G \vdash (\langle InsInitE\ Skip\ (Body\ D\ c) \rangle, Norm\ s) \mapsto 1 (\langle InsInitE\ Skip\ (Body\ D\ c') \rangle, s') \end{aligned}$
<i>InsInitBodyRet</i> :	$\begin{aligned} &G \vdash (\langle InsInitE\ Skip\ (Body\ D\ Skip) \rangle, Norm\ s) \\ &\mapsto 1 (\langle Lit\ (the\ ((locals\ s)\ Result)) \rangle, abupd\ (absorb\ Ret)\ (Norm\ s)) \end{aligned}$
<i>FVar</i> :	$\begin{aligned} &\llbracket \neg\ initied\ statDeclC\ (globs\ s) \rrbracket \\ &\Rightarrow \\ &G \vdash (\langle \{accC, statDeclC, stat\} e \cdot fn \rangle, Norm\ s) \\ &\mapsto 1 (\langle InsInitV\ (Init\ statDeclC)\ (\{accC, statDeclC, stat\} e \cdot fn) \rangle, Norm\ s) \end{aligned}$
<i>InsInitFVarE</i> :	$\begin{aligned} &\llbracket G \vdash (\langle e \rangle, Norm\ s) \mapsto 1 (\langle e' \rangle, s') \rrbracket \\ &\Rightarrow \\ &G \vdash (\langle InsInitV\ Skip\ (\{accC, statDeclC, stat\} e \cdot fn) \rangle, Norm\ s) \\ &\mapsto 1 (\langle InsInitV\ Skip\ (\{accC, statDeclC, stat\} e' \cdot fn) \rangle, s') \end{aligned}$
<i>InsInitFVar</i> :	$\begin{aligned} &G \vdash (\langle InsInitV\ Skip\ (\{accC, statDeclC, stat\} Lit\ a \cdot fn) \rangle, Norm\ s) \\ &\mapsto 1 (\langle \{accC, statDeclC, stat\} Lit\ a \cdot fn \rangle, Norm\ s) \end{aligned}$

— Notice, that we do not have literal values for *vars*. The rules for accessing variables (*Acc*) and assigning to variables (*Ass*), test this with the predicate *groundVar*. After initialisation is done and the *FVar* is evaluated, we can't just throw away the *InsInitFVar* term and return a literal value, as in the cases of *New* or *NewC*. Instead we just return the evaluated *FVar* and test for initialisation in the rule *FVar*.

$$\begin{aligned}
| \text{ AVarE1: } & \llbracket G \vdash (\langle e1 \rangle, \text{Norm } s) \mapsto 1 \ (\langle e1' \rangle, s') \rrbracket \\
& \implies \\
& G \vdash (\langle e1.[e2] \rangle, \text{Norm } s) \mapsto 1 \ (\langle e1'.[e2] \rangle, s')
\end{aligned}$$

$$\begin{aligned}
| \text{ AVarE2: } & G \vdash (\langle e2 \rangle, \text{Norm } s) \mapsto 1 \ (\langle e2' \rangle, s') \\
& \implies \\
& G \vdash (\langle \text{Lit } a.[e2] \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Lit } a.[e2'] \rangle, s')
\end{aligned}$$

— *Nil* is fully evaluated

$$\begin{aligned}
| \text{ ConsHd: } & \llbracket G \vdash (\langle e::\text{expr} \rangle, \text{Norm } s) \mapsto 1 \ (\langle e'::\text{expr} \rangle, s') \rrbracket \\
& \implies \\
& G \vdash (\langle e\#es \rangle, \text{Norm } s) \mapsto 1 \ (\langle e'\#es \rangle, s')
\end{aligned}$$

$$\begin{aligned}
| \text{ ConsTl: } & \llbracket G \vdash (\langle es \rangle, \text{Norm } s) \mapsto 1 \ (\langle es' \rangle, s') \rrbracket \\
& \implies \\
& G \vdash (\langle (\text{Lit } v)\#es \rangle, \text{Norm } s) \mapsto 1 \ (\langle (\text{Lit } v)\#es' \rangle, s')
\end{aligned}$$

$$| \text{ Skip: } G \vdash (\langle \text{Skip} \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{SKIP} \rangle, \text{Norm } s)$$

$$\begin{aligned}
| \text{ ExprE: } & \llbracket G \vdash (\langle e \rangle, \text{Norm } s) \mapsto 1 \ (\langle e' \rangle, s') \rrbracket \\
& \implies \\
& G \vdash (\langle \text{Expr } e \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Expr } e' \rangle, s') \\
| \text{ Expr: } & G \vdash (\langle \text{Expr } (\text{Lit } v) \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Skip} \rangle, \text{Norm } s)
\end{aligned}$$

$$\begin{aligned}
| \text{ LabC: } & \llbracket G \vdash (\langle c \rangle, \text{Norm } s) \mapsto 1 \ (\langle c' \rangle, s') \rrbracket \\
& \implies \\
& G \vdash (\langle l \cdot c \rangle, \text{Norm } s) \mapsto 1 \ (\langle l \cdot c' \rangle, s') \\
| \text{ Lab: } & G \vdash (\langle l \cdot \text{Skip} \rangle, s) \mapsto 1 \ (\langle \text{Skip} \rangle, \text{abupd } (\text{absorb } l) \ s)
\end{aligned}$$

$$\begin{aligned}
| \text{ CompC1: } & \llbracket G \vdash (\langle c1 \rangle, \text{Norm } s) \mapsto 1 \ (\langle c1' \rangle, s') \rrbracket \\
& \implies \\
& G \vdash (\langle c1;; c2 \rangle, \text{Norm } s) \mapsto 1 \ (\langle c1';; c2 \rangle, s')
\end{aligned}$$

$$| \text{ Comp: } G \vdash (\langle \text{Skip};; c2 \rangle, \text{Norm } s) \mapsto 1 \ (\langle c2 \rangle, \text{Norm } s)$$

$$\begin{aligned}
| \text{ IfE: } & \llbracket G \vdash (\langle e \rangle, \text{Norm } s) \mapsto 1 \ (\langle e' \rangle, s') \rrbracket \\
& \implies \\
& G \vdash (\langle \text{If}(e) \ s1 \ \text{Else } s2 \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{If}(e') \ s1 \ \text{Else } s2 \rangle, s') \\
| \text{ If: } & G \vdash (\langle \text{If}(\text{Lit } v) \ s1 \ \text{Else } s2 \rangle, \text{Norm } s) \\
& \mapsto 1 \ (\langle \text{if the-Bool } v \ \text{then } s1 \ \text{else } s2 \rangle, \text{Norm } s)
\end{aligned}$$

$$\begin{aligned}
| \text{ Loop: } & G \vdash (\langle l \cdot \text{While}(e) \ c \rangle, \text{Norm } s) \\
& \mapsto 1 \ (\langle \text{If}(e) \ (\text{Cont } l \cdot c;; l \cdot \text{While}(e) \ c) \ \text{Else } \text{Skip} \rangle, \text{Norm } s)
\end{aligned}$$

$$| \text{ Jmp: } G \vdash (\langle \text{Jmp } j \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Skip} \rangle, (\text{Some } (\text{Jump } j), s))$$

$$| \text{ ThrowE: } \llbracket G \vdash (\langle e \rangle, \text{Norm } s) \mapsto 1 \ (\langle e' \rangle, s') \rrbracket$$

$$\begin{array}{l}
\Rightarrow \\
G \vdash (\langle \text{Throw } e \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Throw } e' \rangle, s') \\
| \text{ Throw: } G \vdash (\langle \text{Throw } (\text{Lit } a) \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Skip} \rangle, \text{abupd } (\text{throw } a) (\text{Norm } s)) \\
| \text{ TryC1: } \llbracket G \vdash (\langle c1 \rangle, \text{Norm } s) \mapsto 1 \ (\langle c1' \rangle, s') \rrbracket \\
\Rightarrow \\
G \vdash (\langle \text{Try } c1 \text{ Catch } (C \text{ vn}) \ c2 \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Try } c1' \text{ Catch } (C \text{ vn}) \ c2 \rangle, s') \\
| \text{ Try: } \llbracket G \vdash s \text{ --salloc--> } s' \rrbracket \\
\Rightarrow \\
G \vdash (\langle \text{Try Skip Catch } (C \text{ vn}) \ c2 \rangle, s) \\
\mapsto 1 \ (\text{if } G, s \vdash \text{catch } C \text{ then } (\langle c2 \rangle, \text{new-xcpt-var } \text{vn } s') \\
\text{else } (\langle \text{Skip} \rangle, s')) \\
| \text{ FinC1: } \llbracket G \vdash (\langle c1 \rangle, \text{Norm } s) \mapsto 1 \ (\langle c1' \rangle, s') \rrbracket \\
\Rightarrow \\
G \vdash (\langle c1 \text{ Finally } c2 \rangle, \text{Norm } s) \mapsto 1 \ (\langle c1' \text{ Finally } c2 \rangle, s') \\
| \text{ Fin: } G \vdash (\langle \text{Skip Finally } c2 \rangle, (a, s)) \mapsto 1 \ (\langle \text{FinA } a \ c2 \rangle, \text{Norm } s) \\
| \text{ FinAC: } \llbracket G \vdash (\langle c \rangle, s) \mapsto 1 \ (\langle c' \rangle, s') \rrbracket \\
\Rightarrow \\
G \vdash (\langle \text{FinA } a \ c \rangle, s) \mapsto 1 \ (\langle \text{FinA } a \ c' \rangle, s') \\
| \text{ FinA: } G \vdash (\langle \text{FinA } a \text{ Skip} \rangle, s) \mapsto 1 \ (\langle \text{Skip} \rangle, \text{abupd } (\text{abrupt-if } (a \neq \text{None}) \ a) \ s) \\
\\
| \text{ InitI: } \llbracket \text{inited } C \text{ (globs } s) \rrbracket \\
\Rightarrow \\
G \vdash (\langle \text{Init } C \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{Skip} \rangle, \text{Norm } s) \\
| \text{ Init: } \llbracket \text{the } (\text{class } G \ C) = c; \neg \text{inited } C \text{ (globs } s) \rrbracket \\
\Rightarrow \\
G \vdash (\langle \text{Init } C \rangle, \text{Norm } s) \\
\mapsto 1 \ ((\text{if } C = \text{Object then Skip else } (\text{Init } (\text{super } c))) ; \\
\text{Expr } (\text{Callee } (\text{locals } s) (\text{InsInitE } (\text{init } c) \text{ SKIP}))) \\
, \text{Norm } (\text{init-class-obj } G \ C \ s)) \\
\text{--- InsInitE is just used as trick to embed the statement } \text{init } c \text{ into an expression} \\
| \text{ InsInitESKIP: } \\
G \vdash (\langle \text{InsInitE Skip SKIP} \rangle, \text{Norm } s) \mapsto 1 \ (\langle \text{SKIP} \rangle, \text{Norm } s)
\end{array}$$
abbreviation

stepn:: $[prog, term \times state, nat, term \times state] \Rightarrow bool \ (\vdash - \mapsto - [61, 82, 82] \ 81)$
where $G \vdash p \mapsto_n p' \equiv (p, p') \in \{(x, y). \text{step } G \ x \ y\}^{\wedge n}$

abbreviation

stept:: $[prog, term \times state, term \times state] \Rightarrow bool \ (\vdash - \mapsto^* - [61, 82, 82] \ 81)$
where $G \vdash p \mapsto^* p' \equiv (p, p') \in \{(x, y). \text{step } G \ x \ y\}^*$

end

Chapter 22

AxSem

50 Axiomatic semantics of Java expressions and statements (see also Eval.thy)

theory *AxSem* **imports** *Evaln TypeSafe* **begin**

design issues:

- a strong version of validity for triples with premises, namely one that takes the recursive depth needed to complete execution, enables correctness proof
- auxiliary variables are handled first-class (-i Thomas Kleymann)
- expressions not flattened to elementary assignments (as usual for axiomatic semantics) but treated first-class =i explicit result value handling
- intermediate values not on triple, but on assertion level (with result entry)
- multiple results with semantical substitution mechanism not requiring a stack
- because of dynamic method binding, terms need to be dependent on state. this is also useful for conditional expressions and statements
- result values in triples exactly as in eval relation (also for xcpt states)
- validity: additional assumption of state conformance and well-typedness, which is required for soundness and thus rule hazard required of completeness

restrictions:

- all triples in a derivation are of the same type (due to weak polymorphism)

types *res = vals* — result entry

abbreviation (*input*)
Val where Val x == In1 x

abbreviation (*input*)
Var where Var x == In2 x

abbreviation (*input*)
Vals where Vals x == In3 x

syntax

-*Val* :: [*pttrn*] => *pttrn* (Val:- [951] 950)
 -*Var* :: [*pttrn*] => *pttrn* (Var:- [951] 950)
 -*Vals* :: [*pttrn*] => *pttrn* (Vals:- [951] 950)

translations

$\lambda Val:v . b == (\lambda v. b) \circ CONST\ the-In1$
 $\lambda Var:v . b == (\lambda v. b) \circ CONST\ the-In2$
 $\lambda Vals:v. b == (\lambda v. b) \circ CONST\ the-In3$

— relation on result values, state and auxiliary variables

types '*a assn = res* \Rightarrow *state* \Rightarrow '*a* \Rightarrow *bool*

translations

(*type*) '*a assn* \leq (*type*) *vals* \Rightarrow *state* \Rightarrow '*a* \Rightarrow *bool*

definition *assn-imp* :: '*a assn* \Rightarrow '*a assn* \Rightarrow *bool* (**infixr** \Rightarrow 25) **where**
 $P \Rightarrow Q \equiv \forall Y\ s\ Z. P\ Y\ s\ Z \longrightarrow Q\ Y\ s\ Z$

lemma *assn-imp-def2* [iff]: $(P \Rightarrow Q) = (\forall Y\ s\ Z. P\ Y\ s\ Z \longrightarrow Q\ Y\ s\ Z)$
 <proof>

assertion transformers

51 peek-and

definition *peek-and* :: 'a assn \Rightarrow (state \Rightarrow bool) \Rightarrow 'a assn (**infixl** \wedge . 13) **where**
 $P \wedge. p \equiv \lambda Y s Z. P Y s Z \wedge p s$

lemma *peek-and-def2* [simp]: *peek-and* $P p Y s = (\lambda Z. (P Y s Z \wedge p s))$
 <proof>

lemma *peek-and-Not* [simp]: $(P \wedge. (\lambda s. \neg f s)) = (P \wedge. \text{Not} \circ f)$
 <proof>

lemma *peek-and-and* [simp]: *peek-and* (*peek-and* $P p$) $p = \text{peek-and } P p$
 <proof>

lemma *peek-and-commut*: $(P \wedge. p \wedge. q) = (P \wedge. q \wedge. p)$
 <proof>

abbreviation

Normal :: 'a assn \Rightarrow 'a assn
where *Normal* $P == P \wedge. \text{normal}$

lemma *peek-and-Normal* [simp]: *peek-and* (*Normal* P) $p = \text{Normal } (\text{peek-and } P p)$
 <proof>

52 assn-supd

definition *assn-supd* :: 'a assn \Rightarrow (state \Rightarrow state) \Rightarrow 'a assn (**infixl** $;$. 13) **where**
 $P ;. f \equiv \lambda Y s' Z. \exists s. P Y s Z \wedge s' = f s$

lemma *assn-supd-def2* [simp]: *assn-supd* $P f Y s' Z = (\exists s. P Y s Z \wedge s' = f s)$
 <proof>

53 supd-assn

definition *supd-assn* :: (state \Rightarrow state) \Rightarrow 'a assn \Rightarrow 'a assn (**infixr** $;$. 13) **where**
 $f ;. P \equiv \lambda Y s. P Y (f s)$

lemma *supd-assn-def2* [simp]: $(f ;. P) Y s = P Y (f s)$
 <proof>

lemma *supd-assn-supdD* [elim]: $((f ;. Q) ;. f) Y s Z \Longrightarrow Q Y s Z$
 <proof>

lemma *supd-assn-supdI* [elim]: $Q Y s Z \Longrightarrow (f ;. (Q ;. f)) Y s Z$
 <proof>

54 subst-res

definition *subst-res* :: 'a assn \Rightarrow res \Rightarrow 'a assn (\leftarrow - [60,61] 60) **where**
 $P \leftarrow w \equiv \lambda Y. P\ w$

lemma *subst-res-def2* [simp]: $(P \leftarrow w)\ Y = P\ w$
 $\langle proof \rangle$

lemma *subst-subst-res* [simp]: $P \leftarrow w \leftarrow v = P \leftarrow w$
 $\langle proof \rangle$

lemma *peek-and-subst-res* [simp]: $(P \wedge. p) \leftarrow w = (P \leftarrow w \wedge. p)$
 $\langle proof \rangle$

55 subst-Bool

definition *subst-Bool* :: 'a assn \Rightarrow bool \Rightarrow 'a assn (\leftarrow =- [60,61] 60) **where**
 $P \leftarrow = b \equiv \lambda Y\ s\ Z. \exists v. P\ (Val\ v)\ s\ Z \wedge (normal\ s \longrightarrow the-Bool\ v = b)$

lemma *subst-Bool-def2* [simp]:
 $(P \leftarrow = b)\ Y\ s\ Z = (\exists v. P\ (Val\ v)\ s\ Z \wedge (normal\ s \longrightarrow the-Bool\ v = b))$
 $\langle proof \rangle$

lemma *subst-Bool-the-BoolI*: $P\ (Val\ b)\ s\ Z \Longrightarrow (P \leftarrow = the-Bool\ b)\ Y\ s\ Z$
 $\langle proof \rangle$

56 peek-res

definition *peek-res* :: (res \Rightarrow 'a assn) \Rightarrow 'a assn **where**
 $peek-res\ Pf \equiv \lambda Y. Pf\ Y\ Y$

syntax

$\text{-peek-res} :: ptttn \Rightarrow 'a\ assn \Rightarrow 'a\ assn \quad (\lambda \text{-}:: - [0,3]\ 3)$

translations

$\lambda w:: P \quad ==\ CONST\ peek-res\ (\lambda w. P)$

lemma *peek-res-def2* [simp]: $peek-res\ P\ Y = P\ Y\ Y$
 $\langle proof \rangle$

lemma *peek-res-subst-res* [simp]: $peek-res\ P \leftarrow w = P\ w \leftarrow w$
 $\langle proof \rangle$

lemma *peek-subst-res-allI*:
 $(\bigwedge a. T\ a\ (P\ (f\ a) \leftarrow f\ a)) \Longrightarrow \forall a. T\ a\ (peek-res\ P \leftarrow f\ a)$
 $\langle proof \rangle$

57 ign-res

definition *ign-res* :: 'a assn \Rightarrow 'a assn (\downarrow [1000] 1000) **where**
 $P \downarrow \equiv \lambda Y\ s\ Z. \exists Y. P\ Y\ s\ Z$

lemma *ign-res-def2* [simp]: $P \downarrow Y s Z = (\exists Y. P Y s Z)$
 $\langle proof \rangle$

lemma *ign-ign-res* [simp]: $P \downarrow \downarrow = P \downarrow$
 $\langle proof \rangle$

lemma *ign-subst-res* [simp]: $P \downarrow \leftarrow w = P \downarrow$
 $\langle proof \rangle$

lemma *peek-and-ign-res* [simp]: $(P \wedge. p) \downarrow = (P \downarrow \wedge. p)$
 $\langle proof \rangle$

58 peek-st

definition *peek-st* :: $(st \Rightarrow 'a \text{ assn}) \Rightarrow 'a \text{ assn}$ **where**
 $peek-st P \equiv \lambda Y s. P (store s) Y s$

syntax
 $-peek-st \quad :: \quad pttrn \Rightarrow 'a \text{ assn} \Rightarrow 'a \text{ assn} \quad (\lambda .. - [0,3] 3)$
translations
 $\lambda s.. P \quad == \quad CONST peek-st (\lambda s. P)$

lemma *peek-st-def2* [simp]: $(\lambda s.. Pf s) Y s = Pf (store s) Y s$
 $\langle proof \rangle$

lemma *peek-st-triv* [simp]: $(\lambda s.. P) = P$
 $\langle proof \rangle$

lemma *peek-st-st* [simp]: $(\lambda s.. \lambda s'.. P s s') = (\lambda s.. P s s)$
 $\langle proof \rangle$

lemma *peek-st-split* [simp]: $(\lambda s.. \lambda Y s'. P s Y s') = (\lambda Y s. P (store s) Y s)$
 $\langle proof \rangle$

lemma *peek-st-subst-res* [simp]: $(\lambda s.. P s) \leftarrow w = (\lambda s.. P s \leftarrow w)$
 $\langle proof \rangle$

lemma *peek-st-Normal* [simp]: $(\lambda s.. (Normal (P s))) = Normal (\lambda s.. P s)$
 $\langle proof \rangle$

59 ign-res-eq

definition *ign-res-eq* :: $'a \text{ assn} \Rightarrow res \Rightarrow 'a \text{ assn}$ $(-\downarrow=- [60,61] 60)$ **where**
 $P \downarrow = w \quad \equiv \quad \lambda Y.. P \downarrow \wedge. (\lambda s. Y = w)$

lemma *ign-res-eq-def2* [simp]: $(P \downarrow = w) Y s Z = ((\exists Y. P Y s Z) \wedge Y = w)$
 $\langle proof \rangle$

lemma *ign-ign-res-eq* [simp]: $(P \downarrow = w) \downarrow = P \downarrow$
 ⟨proof⟩

lemma *ign-res-eq-subst-res*: $P \downarrow = w \leftarrow w = P \downarrow$
 ⟨proof⟩

lemma *subst-Bool-ign-res-eq*: $((P \leftarrow b) \downarrow = x) \ Y \ s \ Z = ((P \leftarrow b) \ Y \ s \ Z \ \wedge \ Y = x)$
 ⟨proof⟩

60 RefVar

definition *RefVar* :: $(state \Rightarrow vvar \times state) \Rightarrow 'a \text{ assn} \Rightarrow 'a \text{ assn}$ (**infixr** *..*; 13) **where**
 $vf \ ..; P \equiv \lambda Y \ s. \text{let } (v, s') = vf \ s \text{ in } P \ (Var \ v) \ s'$

lemma *RefVar-def2* [simp]: $(vf \ ..; P) \ Y \ s =$
 $P \ (Var \ (fst \ (vf \ s))) \ (snd \ (vf \ s))$
 ⟨proof⟩

61 allocation

definition *Alloc* :: $prog \Rightarrow obj\text{-}tag \Rightarrow 'a \text{ assn} \Rightarrow 'a \text{ assn}$ **where**
 $Alloc \ G \ otag \ P \equiv \lambda Y \ s \ Z. \forall s' \ a. G \vdash s \text{ --halloc } otag \succ a \rightarrow s' \longrightarrow P \ (Val \ (Addr \ a)) \ s' \ Z$

definition *SXAlloc* :: $prog \Rightarrow 'a \text{ assn} \Rightarrow 'a \text{ assn}$ **where**
 $SXAlloc \ G \ P \equiv \lambda Y \ s \ Z. \forall s'. G \vdash s \text{ --salloc } \rightarrow s' \longrightarrow P \ Y \ s' \ Z$

lemma *Alloc-def2* [simp]: $Alloc \ G \ otag \ P \ Y \ s \ Z =$
 $(\forall s' \ a. G \vdash s \text{ --halloc } otag \succ a \rightarrow s' \longrightarrow P \ (Val \ (Addr \ a)) \ s' \ Z)$
 ⟨proof⟩

lemma *SXAlloc-def2* [simp]:
 $SXAlloc \ G \ P \ Y \ s \ Z = (\forall s'. G \vdash s \text{ --salloc } \rightarrow s' \longrightarrow P \ Y \ s' \ Z)$
 ⟨proof⟩

validity

definition *type-ok* :: $prog \Rightarrow term \Rightarrow state \Rightarrow bool$ **where**
 $type\text{-}ok \ G \ t \ s \equiv$
 $\exists L \ T \ C \ A. (normal \ s \longrightarrow (\llbracket prg=G, cls=C, lcl=L \rrbracket \vdash t :: T \wedge$
 $\llbracket prg=G, cls=C, lcl=L \rrbracket \vdash dom \ (locals \ (store \ s)) \gg t \gg A) \wedge s :: \preceq (G, L)$

datatype $'a \text{ triple} = triple \ ('a \text{ assn}) \ term \ ('a \text{ assn})$
 $(\{(1-)\} / \text{-->} / \{(1-)\}) \quad [3, 65, 3] \ 75$

types $'a \text{ triples} = 'a \text{ triple set}$

abbreviation

$var\text{-triple} \ :: \ ['a \text{ assn}, var \quad , 'a \text{ assn}] \Rightarrow 'a \text{ triple}$
 $(\{(1-)\} / \text{-->} / \{(1-)\}) \quad [3, 80, 3] \ 75$

where $\{P\} e \Rightarrow \{Q\} == \{P\} \text{ In2 } e > \{Q\}$

abbreviation

$\text{expr-triple} :: ['a \text{ assn}, \text{expr} \quad , 'a \text{ assn}] \Rightarrow 'a \text{ triple}$
 $(\{(1-)\} / \text{-->} / \{(1-)\} \quad [3,80,3] \ 75)$

where $\{P\} e \text{-->} \{Q\} == \{P\} \text{ In1l } e > \{Q\}$

abbreviation

$\text{exprs-triple} :: ['a \text{ assn}, \text{expr list} \quad , 'a \text{ assn}] \Rightarrow 'a \text{ triple}$
 $(\{(1-)\} / \text{--\#>} / \{(1-)\} \quad [3,65,3] \ 75)$

where $\{P\} e \text{--\#>} \{Q\} == \{P\} \text{ In3 } e > \{Q\}$

abbreviation

$\text{stmt-triple} :: ['a \text{ assn}, \text{stmt}, \quad 'a \text{ assn}] \Rightarrow 'a \text{ triple}$
 $(\{(1-)\} / \text{.-.}/ \{(1-)\} \quad [3,65,3] \ 75)$

where $\{P\} .c. \{Q\} == \{P\} \text{ In1r } c > \{Q\}$

notation (*xsymbols*)

$\text{triple } (\{(1-)\} / \text{-->} / \{(1-)\} \quad [3,65,3] \ 75) \text{ and}$
 $\text{var-triple } (\{(1-)\} / \text{--\#>} / \{(1-)\} \quad [3,80,3] \ 75) \text{ and}$
 $\text{expr-triple } (\{(1-)\} / \text{-->} / \{(1-)\} \quad [3,80,3] \ 75) \text{ and}$
 $\text{exprs-triple } (\{(1-)\} / \text{--\#>} / \{(1-)\} \quad [3,65,3] \ 75)$

lemma *inj-triple*: $\text{inj } (\lambda(P,t,Q). \{P\} t \succ \{Q\})$

<proof>

lemma *triple-inj-eq*: $(\{P\} t \succ \{Q\} = \{P'\} t' \succ \{Q'\}) = (P=P' \wedge t=t' \wedge Q=Q')$

<proof>

definition *mtriples* :: $('c \Rightarrow 'a \text{ sig} \Rightarrow 'a \text{ assn}) \Rightarrow ('c \Rightarrow 'a \text{ sig} \Rightarrow \text{expr}) \Rightarrow$

$('c \Rightarrow 'a \text{ sig} \Rightarrow 'a \text{ assn}) \Rightarrow ('c \times 'a \text{ sig}) \text{ set} \Rightarrow 'a \text{ triples } (\{(1-)\} / \text{-->} / \{(1-)\} \mid -) [3,65,3,65] \ 75)$

where

$\{\{P\} \text{ tf--\#>} \{Q\} \mid ms\} \equiv (\lambda(C, \text{sig}). \{ \text{Normal}(P \ C \ \text{sig}) \} \text{ tf } C \ \text{sig--\#>} \{Q \ C \ \text{sig}\}) 'ms$

consts

$\text{triple-valid} :: \text{prog} \Rightarrow \text{nat} \Rightarrow \quad 'a \text{ triple} \Rightarrow \text{bool}$
 $(\quad - \models \text{--} \text{--} [61,0, \ 58] \ 57)$

$\text{ax-valids} :: \text{prog} \Rightarrow 'b \text{ triples} \Rightarrow 'a \text{ triples} \Rightarrow \text{bool}$
 $(\quad -, \models \text{--} \text{--} [61,58,58] \ 57)$

abbreviation

$\text{triples-valid} :: \text{prog} \Rightarrow \text{nat} \Rightarrow \quad 'a \text{ triples} \Rightarrow \text{bool}$
 $(\quad - \models \text{--} \text{--} [61,0, \ 58] \ 57)$

where $G \models n:ts == \text{Ball } ts \ (\text{triple-valid } G \ n)$

abbreviation

$\text{ax-valid} :: \text{prog} \Rightarrow 'b \text{ triples} \Rightarrow 'a \text{ triple} \Rightarrow \text{bool}$
 $(\quad -, \models \text{--} \text{--} [61,58,58] \ 57)$

where $G, A \models t == G, A \models \{t\}$

notation (*xsymbols*)

$\text{triples-valid } (- \models \text{--} \text{--} [61,0, \ 58] \ 57) \text{ and}$
 $\text{ax-valid } (-, \models \text{--} \text{--} [61,58,58] \ 57)$

defs *triple-valid-def*: $G \models n:t \equiv \text{case } t \text{ of } \{P\} t \succ \{Q\} \Rightarrow$
 $\forall Y \ s \ Z. P \ Y \ s \ Z \longrightarrow \text{type-ok } G \ t \ s \longrightarrow$

$$(\forall Y' s'. G \vdash s - t \succ - n \rightarrow (Y', s') \longrightarrow Q Y' s' Z)$$

defs *ax-valids-def*: $G, A \models ts \equiv \forall n. G \models n:A \longrightarrow G \models n:ts$

lemma *triple-valid-def2*: $G \models n:\{P\} t \succ \{Q\} =$
 $(\forall Y s Z. P Y s Z$
 $\longrightarrow (\exists L. (normal\ s \longrightarrow (\exists C T A. (\llbracket prg=G, cls=C, lcl=L \rrbracket \vdash t::T \wedge$
 $\llbracket prg=G, cls=C, lcl=L \rrbracket \vdash_{dom} (locals\ (store\ s)) \gg t \gg A)) \wedge$
 $s::\leq(G, L))$
 $\longrightarrow (\forall Y' s'. G \vdash s - t \succ - n \rightarrow (Y', s') \longrightarrow Q Y' s' Z))$
 $\langle proof \rangle$

declare *split-paired-All* [*simp del*] *split-paired-Ex* [*simp del*]
declare *split-if* [*split del*] *split-if-asm* [*split del*]
option.split [*split del*] *option.split-asm* [*split del*]
 $\langle ML \rangle$

inductive

ax-derivs :: *prog* \Rightarrow 'a *triples* \Rightarrow 'a *triples* \Rightarrow bool (-, |- [61,58,58] 57)
and *ax-deriv* :: *prog* \Rightarrow 'a *triples* \Rightarrow 'a *triple* \Rightarrow bool (-, +- [61,58,58] 57)
for *G* :: *prog*

where

$$G, A \vdash t \equiv G, A \vdash \{t\}$$

$$\begin{aligned} &| \text{empty: } G, A \vdash \{\} \\ &| \text{insert: } \llbracket G, A \vdash t; G, A \vdash ts \rrbracket \Longrightarrow \\ &\quad G, A \vdash \text{insert } t \text{ } ts \end{aligned}$$

$$| \text{asm: } ts \subseteq A \Longrightarrow G, A \vdash ts$$

$$| \text{weaken: } \llbracket G, A \vdash ts'; ts \subseteq ts' \rrbracket \Longrightarrow G, A \vdash ts$$

$$\begin{aligned} &| \text{conseq: } \forall Y s Z. P Y s Z \longrightarrow (\exists P' Q'. G, A \vdash \{P'\} t \succ \{Q'\} \wedge (\forall Y' s' Z'. P' Y' s' Z' \longrightarrow \\ &\quad Q Y' s' Z')) \\ &\quad \Longrightarrow G, A \vdash \{P\} t \succ \{Q\} \end{aligned}$$

$$| \text{hazard: } G, A \vdash \{P \wedge. Not \circ type-ok\ G\ t\} t \succ \{Q\}$$

$$| \text{Abrupt: } G, A \vdash \{P \leftarrow (undefined3\ t) \wedge. Not \circ normal\} t \succ \{P\}$$

— variables

$$| \text{LVar: } G, A \vdash \{Normal\ (\lambda s.. P \leftarrow Var\ (lvar\ vn\ s))\} LVar\ vn \Rightarrow \{P\}$$

$$\begin{aligned} &| \text{FVar: } \llbracket G, A \vdash \{Normal\ P\} .Init\ C. \{Q\}; \\ &\quad G, A \vdash \{Q\} e \multimap \{\lambda Val:a.. fvar\ C\ stat\ fn\ a\ ..; R\} \rrbracket \Longrightarrow \\ &\quad G, A \vdash \{Normal\ P\} \{acc\ C, C, stat\} e..fn \Rightarrow \{R\} \end{aligned}$$

$$\begin{aligned} &| \text{AVar: } \llbracket G, A \vdash \{Normal\ P\} e1 \multimap \{Q\}; \\ &\quad \forall a. G, A \vdash \{Q \leftarrow Val\ a\} e2 \multimap \{\lambda Val:i.. avar\ G\ i\ a\ ..; R\} \rrbracket \Longrightarrow \\ &\quad G, A \vdash \{Normal\ P\} e1.[e2] \Rightarrow \{R\} \end{aligned}$$

— expressions

$$\begin{aligned} &| \text{NewC: } \llbracket G, A \vdash \{Normal\ P\} .Init\ C. \{Alloc\ G\ (CInst\ C)\ Q\} \rrbracket \Longrightarrow \\ &\quad G, A \vdash \{Normal\ P\} NewC\ C \multimap \{Q\} \end{aligned}$$

- | *NewA*: $\llbracket G, A \vdash \{ \text{Normal } P \} . \text{init-comp-ty } T. \{ Q \}; \quad G, A \vdash \{ Q \} \quad e \multimap \{ \lambda \text{Val}:i.. \text{abupd } (\text{check-neg } i) .; \text{Alloc } G \text{ (Arr } T \text{ (the-Intg } i)) \text{ } R \} \rrbracket \implies$
 $G, A \vdash \{ \text{Normal } P \} \text{ New } T[e] \multimap \{ R \}$
- | *Cast*: $\llbracket G, A \vdash \{ \text{Normal } P \} \quad e \multimap \{ \lambda \text{Val}:v.. \lambda s..$
 $\text{abupd } (\text{raise-if } (\neg G, s \vdash v \text{ fits } T) \text{ ClassCast}) .; Q \leftarrow \text{Val } v \} \rrbracket \implies$
 $G, A \vdash \{ \text{Normal } P \} \text{ Cast } T \quad e \multimap \{ Q \}$
- | *Inst*: $\llbracket G, A \vdash \{ \text{Normal } P \} \quad e \multimap \{ \lambda \text{Val}:v.. \lambda s..$
 $Q \leftarrow \text{Val } (\text{Bool } (v \neq \text{Null} \wedge G, s \vdash v \text{ fits RefT } T)) \} \rrbracket \implies$
 $G, A \vdash \{ \text{Normal } P \} \quad e \text{ InstOf } T \multimap \{ Q \}$
- | *Lit*: $G, A \vdash \{ \text{Normal } (P \leftarrow \text{Val } v) \} \text{ Lit } v \multimap \{ P \}$
- | *UnOp*: $\llbracket G, A \vdash \{ \text{Normal } P \} \quad e \multimap \{ \lambda \text{Val}:v.. Q \leftarrow \text{Val } (\text{eval-unop unop } v) \} \rrbracket$
 \implies
 $G, A \vdash \{ \text{Normal } P \} \text{ UnOp unop } e \multimap \{ Q \}$
- | *BinOp*:
 $\llbracket G, A \vdash \{ \text{Normal } P \} \quad e1 \multimap \{ Q \};$
 $\forall v1. G, A \vdash \{ Q \leftarrow \text{Val } v1 \}$
 $(\text{if need-second-arg binop } v1 \text{ then (In1l } e2) \text{ else (In1r Skip)}) \multimap$
 $\{ \lambda \text{Val}:v2.. R \leftarrow \text{Val } (\text{eval-binop binop } v1 \text{ } v2) \} \rrbracket$
 \implies
 $G, A \vdash \{ \text{Normal } P \} \text{ BinOp binop } e1 \text{ } e2 \multimap \{ R \}$
- | *Super*: $G, A \vdash \{ \text{Normal } (\lambda s.. P \leftarrow \text{Val } (\text{val-this } s)) \} \text{ Super} \multimap \{ P \}$
- | *Acc*: $\llbracket G, A \vdash \{ \text{Normal } P \} \quad va \multimap \{ \lambda \text{Var}:(v,f).. Q \leftarrow \text{Val } v \} \rrbracket \implies$
 $G, A \vdash \{ \text{Normal } P \} \text{ Acc } va \multimap \{ Q \}$
- | *Ass*: $\llbracket G, A \vdash \{ \text{Normal } P \} \quad va \multimap \{ Q \};$
 $\forall vf. G, A \vdash \{ Q \leftarrow \text{Var } vf \} \quad e \multimap \{ \lambda \text{Val}:v.. \text{assign } (\text{snd } vf) \text{ } v .; R \} \rrbracket \implies$
 $G, A \vdash \{ \text{Normal } P \} \quad va := e \multimap \{ R \}$
- | *Cond*: $\llbracket G, A \vdash \{ \text{Normal } P \} \quad e0 \multimap \{ P' \};$
 $\forall b. G, A \vdash \{ P' \leftarrow b \} \quad (\text{if } b \text{ then } e1 \text{ else } e2) \multimap \{ Q \} \rrbracket \implies$
 $G, A \vdash \{ \text{Normal } P \} \quad e0 \text{ ? } e1 : e2 \multimap \{ Q \}$
- | *Call*:
 $\llbracket G, A \vdash \{ \text{Normal } P \} \quad e \multimap \{ Q \}; \forall a. G, A \vdash \{ Q \leftarrow \text{Val } a \} \quad \text{args} \multimap \{ R \text{ } a \};$
 $\forall a \text{ vs invC declC } l. G, A \vdash \{ (R \text{ } a \leftarrow \text{Vals } \text{vs} \wedge$
 $(\lambda s. \text{declC} = \text{invocation-declclass } G \text{ mode (store } s) \text{ } a \text{ statT } (\text{name} = \text{mn}, \text{parTs} = \text{pTs})) \wedge$
 $\text{invC} = \text{invocation-class mode (store } s) \text{ } a \text{ statT } \wedge$
 $l = \text{locals (store } s)) .;$
 $\text{init-lvars } G \text{ declC } (\text{name} = \text{mn}, \text{parTs} = \text{pTs}) \text{ mode } a \text{ vs} \} \wedge.$
 $(\lambda s. \text{normal } s \longrightarrow G \vdash \text{mode} \rightarrow \text{invC} \preceq \text{statT}) \}$
 $\text{Methd declC } (\text{name} = \text{mn}, \text{parTs} = \text{pTs}) \multimap \{ \text{set-lvars } l .; S \} \rrbracket \implies$
 $G, A \vdash \{ \text{Normal } P \} \{ \text{accC}, \text{statT}, \text{mode} \} \cdot \text{mn}(\{ \text{pTs} \} \text{args}) \multimap \{ S \}$
- | *Methd*: $\llbracket G, A \cup \{ \{ P \} \text{ Methd} \multimap \{ Q \} \mid \text{ms} \} \vdash \{ \{ P \} \text{ body } G \multimap \{ Q \} \mid \text{ms} \} \rrbracket \implies$
 $G, A \vdash \{ \{ P \} \text{ Methd} \multimap \{ Q \} \mid \text{ms} \}$
- | *Body*: $\llbracket G, A \vdash \{ \text{Normal } P \} . \text{Init } D. \{ Q \};$
 $G, A \vdash \{ Q \} .c. \{ \lambda s.. \text{abupd } (\text{absorb Ret}) .; R \leftarrow (\text{In1 (the (locals } s \text{ Result))}) \} \rrbracket$
 \implies
 $G, A \vdash \{ \text{Normal } P \} \text{ Body } D \text{ } c \multimap \{ R \}$

— expression lists

$$\begin{aligned}
 | \text{Nil}: & \quad G, A \vdash \{ \text{Normal } (P \leftarrow \text{Vals } []) \} [] \dot{=} \succ \{P\} \\
 | \text{Cons}: & \quad \llbracket G, A \vdash \{ \text{Normal } P \} e \dot{=} \succ \{Q\}; \\
 & \quad \forall v. G, A \vdash \{ Q \leftarrow \text{Val } v \} es \dot{=} \succ \{ \lambda \text{Vals:vs}.. R \leftarrow \text{Vals } (v \# vs) \} \rrbracket \implies \\
 & \quad G, A \vdash \{ \text{Normal } P \} e \# es \dot{=} \succ \{R\}
 \end{aligned}$$

— statements

$$\begin{aligned}
 | \text{Skip}: & \quad G, A \vdash \{ \text{Normal } (P \leftarrow \Diamond) \} . \text{Skip}. \{P\} \\
 | \text{Expr}: & \quad \llbracket G, A \vdash \{ \text{Normal } P \} e \dot{=} \succ \{Q \leftarrow \Diamond\} \rrbracket \implies \\
 & \quad G, A \vdash \{ \text{Normal } P \} . \text{Expr } e. \{Q\} \\
 | \text{Lab}: & \quad \llbracket G, A \vdash \{ \text{Normal } P \} .c. \{ \text{abupd } (\text{absorb } l) .; Q \} \rrbracket \implies \\
 & \quad G, A \vdash \{ \text{Normal } P \} .l. c. \{Q\} \\
 | \text{Comp}: & \quad \llbracket G, A \vdash \{ \text{Normal } P \} .c1. \{Q\}; \\
 & \quad G, A \vdash \{Q\} .c2. \{R\} \rrbracket \implies \\
 & \quad G, A \vdash \{ \text{Normal } P \} .c1;;c2. \{R\} \\
 | \text{If}: & \quad \llbracket G, A \vdash \{ \text{Normal } P \} e \dot{=} \succ \{P'\}; \\
 & \quad \forall b. G, A \vdash \{ P' \leftarrow b \} .(\text{if } b \text{ then } c1 \text{ else } c2). \{Q\} \rrbracket \implies \\
 & \quad G, A \vdash \{ \text{Normal } P \} .\text{If}(e) \ c1 \ \text{Else } c2. \{Q\} \\
 | \text{Loop}: & \quad \llbracket G, A \vdash \{P\} e \dot{=} \succ \{P'\}; \\
 & \quad G, A \vdash \{ \text{Normal } (P' \leftarrow \text{True}) \} .c. \{ \text{abupd } (\text{absorb } (\text{Cont } l)) .; P \} \rrbracket \implies \\
 & \quad G, A \vdash \{P\} .l. \text{While}(e) \ c. \{ (P' \leftarrow \text{False}) \downarrow = \Diamond \} \\
 | \text{Jmp}: & \quad G, A \vdash \{ \text{Normal } (\text{abupd } (\lambda a. (\text{Some } (\text{Jump } j))) .; P \leftarrow \Diamond) \} .\text{Jmp } j. \{P\} \\
 | \text{Throw}: & \quad \llbracket G, A \vdash \{ \text{Normal } P \} e \dot{=} \succ \{ \lambda \text{Val:a}.. \text{abupd } (\text{throw } a) .; Q \leftarrow \Diamond \} \rrbracket \implies \\
 & \quad G, A \vdash \{ \text{Normal } P \} .\text{Throw } e. \{Q\} \\
 | \text{Try}: & \quad \llbracket G, A \vdash \{ \text{Normal } P \} .c1. \{ \text{SXAlloc } G \ Q \}; \\
 & \quad G, A \vdash \{ Q \wedge (\lambda s. G, s \vdash \text{catch } C) ;. \text{new-xcpt-var } vn \} .c2. \{R\}; \\
 & \quad (Q \wedge (\lambda s. \neg G, s \vdash \text{catch } C)) \Rightarrow R \rrbracket \implies \\
 & \quad G, A \vdash \{ \text{Normal } P \} .\text{Try } c1 \ \text{Catch}(C \ vn) \ c2. \{R\} \\
 | \text{Fin}: & \quad \llbracket G, A \vdash \{ \text{Normal } P \} .c1. \{Q\}; \\
 & \quad \forall x. G, A \vdash \{ Q \wedge (\lambda s. x = \text{fst } s) ;. \text{abupd } (\lambda x. \text{None}) \} \\
 & \quad .c2. \{ \text{abupd } (\text{abrupt-if } (x \neq \text{None}) \ x) .; R \} \rrbracket \implies \\
 & \quad G, A \vdash \{ \text{Normal } P \} .c1 \ \text{Finally } c2. \{R\} \\
 | \text{Done}: & \quad G, A \vdash \{ \text{Normal } (P \leftarrow \Diamond \wedge \text{initd } C) \} .\text{Init } C. \{P\} \\
 | \text{Init}: & \quad \llbracket \text{the } (\text{class } G \ C) = c; \\
 & \quad G, A \vdash \{ \text{Normal } ((P \wedge \text{Not } \circ \text{initd } C) ;. \text{supd } (\text{init-class-obj } G \ C)) \} \\
 & \quad .(\text{if } C = \text{Object then Skip else Init } (\text{super } c)). \{Q\}; \\
 & \quad \forall l. G, A \vdash \{ Q \wedge (\lambda s. l = \text{locals } (\text{store } s)) ;. \text{set-lvars empty} \} \\
 & \quad .\text{init } c. \{ \text{set-lvars } l .; R \} \rrbracket \implies \\
 & \quad G, A \vdash \{ \text{Normal } (P \wedge \text{Not } \circ \text{initd } C) \} .\text{Init } C. \{R\}
 \end{aligned}$$

— Some dummy rules for the intermediate terms *Callee*, *InsInitE*, *InsInitV*, *FinA* only used by the smallstep semantics.

$$\begin{aligned}
 | \text{InsInitV}: & \quad G, A \vdash \{ \text{Normal } P \} \text{InsInitV } c \ v \dot{=} \succ \{Q\} \\
 | \text{InsInitE}: & \quad G, A \vdash \{ \text{Normal } P \} \text{InsInitE } c \ e \dot{=} \succ \{Q\} \\
 | \text{Callee}: & \quad G, A \vdash \{ \text{Normal } P \} \text{Callee } l \ e \dot{=} \succ \{Q\}
 \end{aligned}$$

| *FinA*: $G, A \vdash \{ \text{Normal } P \} . \text{FinA } a \text{ c. } \{ Q \}$

definition *adapt-pre* :: 'a assn \Rightarrow 'a assn \Rightarrow 'a assn \Rightarrow 'a assn **where**
adapt-pre $P \ Q \ Q' \equiv \lambda Y \ s \ Z. \forall Y' \ s'. \exists Z'. P \ Y \ s \ Z' \wedge (Q \ Y' \ s' \ Z' \longrightarrow Q' \ Y' \ s' \ Z)$

rules derived by induction

lemma *cut-valid*: $\llbracket G, A' \rrbracket \models ts; G, A \rrbracket \models A \rrbracket \implies G, A \rrbracket \models ts$
 <proof>

lemma *ax-thin* [*rule-format* (*no-asm*)]:
 $G, (A :: 'a \text{ triple set}) \vdash (ts :: 'a \text{ triple set}) \implies \forall A. A' \subseteq A \longrightarrow G, A \vdash ts$
 <proof>

lemma *ax-thin-insert*: $G, (A :: 'a \text{ triple set}) \vdash (t :: 'a \text{ triple}) \implies G, \text{insert } x \ A \vdash t$
 <proof>

lemma *subset-mtriples-iff*:
 $ts \subseteq \{ \{ P \} \text{ mb-} \succ \{ Q \} \mid ms \} = (\exists ms'. ms' \subseteq ms \wedge ts = \{ \{ P \} \text{ mb-} \succ \{ Q \} \mid ms' \})$
 <proof>

lemma *weaken*:
 $G, (A :: 'a \text{ triple set}) \vdash (ts :: 'a \text{ triple set}) \implies !ts. ts \subseteq ts' \longrightarrow G, A \vdash ts$
 <proof>

rules derived from conseq

In the following rules we often have to give some type annotations like: $G, A \vdash \{ P \} \text{ t} \succ \{ Q \}$. Given only the term above without annotations, Isabelle would infer a more general type were we could have different types of auxiliary variables in the assumption set (A) and in the triple itself (P and Q). But *ax-derivs.Methd* enforces the same type in the inductive definition of the derivation. So we have to restrict the types to be able to apply the rules.

lemma *conseq12*: $\llbracket G, (A :: 'a \text{ triple set}) \vdash \{ P' :: 'a \text{ assn} \} \text{ t} \succ \{ Q' \};$
 $\forall Y \ s \ Z. P \ Y \ s \ Z \longrightarrow (\forall Y' \ s'. (\forall Y \ Z'. P' \ Y \ s \ Z' \longrightarrow Q' \ Y' \ s' \ Z') \longrightarrow$
 $Q \ Y' \ s' \ Z) \rrbracket$
 $\implies G, A \vdash \{ P :: 'a \text{ assn} \} \text{ t} \succ \{ Q \}$
 <proof>

lemma *conseq12'*: $\llbracket G, (A :: 'a \text{ triple set}) \vdash \{ P' :: 'a \text{ assn} \} \text{ t} \succ \{ Q' \}; \forall s \ Y' \ s'.$
 $(\forall Y \ Z. P' \ Y \ s \ Z \longrightarrow Q' \ Y' \ s' \ Z) \longrightarrow$
 $(\forall Y \ Z. P \ Y \ s \ Z \longrightarrow Q \ Y' \ s' \ Z) \rrbracket$
 $\implies G, A \vdash \{ P :: 'a \text{ assn} \} \text{ t} \succ \{ Q \}$
 <proof>

lemma *conseq12-from-conseq12'*: $\llbracket G, (A :: 'a \text{ triple set}) \vdash \{ P' :: 'a \text{ assn} \} \text{ t} \succ \{ Q' \};$
 $\forall Y \ s \ Z. P \ Y \ s \ Z \longrightarrow (\forall Y' \ s'. (\forall Y \ Z'. P' \ Y \ s \ Z' \longrightarrow Q' \ Y' \ s' \ Z') \longrightarrow$
 $Q \ Y' \ s' \ Z) \rrbracket$
 $\implies G, A \vdash \{ P :: 'a \text{ assn} \} \text{ t} \succ \{ Q \}$
 <proof>

lemma *conseq1*: $\llbracket G, (A::'a \text{ triple set}) \vdash \{P::'a \text{ assn}\} \text{ } t \succ \{Q\}; P \Rightarrow P' \rrbracket$
 $\implies G, A \vdash \{P::'a \text{ assn}\} \text{ } t \succ \{Q\}$
 $\langle \text{proof} \rangle$

lemma *conseq2*: $\llbracket G, (A::'a \text{ triple set}) \vdash \{P::'a \text{ assn}\} \text{ } t \succ \{Q'\}; Q' \Rightarrow Q \rrbracket$
 $\implies G, A \vdash \{P::'a \text{ assn}\} \text{ } t \succ \{Q\}$
 $\langle \text{proof} \rangle$

lemma *ax-escape*:
 $\llbracket \forall Y \text{ } s \text{ } Z. P \text{ } Y \text{ } s \text{ } Z$
 $\longrightarrow G, (A::'a \text{ triple set}) \vdash \{\lambda Y' \text{ } s' (Z'::'a). (Y', s') = (Y, s)\}$
 $\text{ } t \succ$
 $\{\lambda Y \text{ } s \text{ } Z'. Q \text{ } Y \text{ } s \text{ } Z\}$
 $\rrbracket \implies G, A \vdash \{P::'a \text{ assn}\} \text{ } t \succ \{Q::'a \text{ assn}\}$
 $\langle \text{proof} \rangle$

lemma *ax-constant*: $\llbracket C \implies G, (A::'a \text{ triple set}) \vdash \{P::'a \text{ assn}\} \text{ } t \succ \{Q\} \rrbracket$
 $\implies G, A \vdash \{\lambda Y \text{ } s \text{ } Z. C \wedge P \text{ } Y \text{ } s \text{ } Z\} \text{ } t \succ \{Q\}$
 $\langle \text{proof} \rangle$

lemma *ax-impossible* [intro]:
 $G, (A::'a \text{ triple set}) \vdash \{\lambda Y \text{ } s \text{ } Z. \text{False}\} \text{ } t \succ \{Q::'a \text{ assn}\}$
 $\langle \text{proof} \rangle$

lemma *ax-nochange-lemma*: $\llbracket P \text{ } Y \text{ } s; \text{All } (op = w) \rrbracket \implies P \text{ } w \text{ } s$
 $\langle \text{proof} \rangle$

lemma *ax-nochange*:
 $G, (A::(\text{res} \times \text{state}) \text{ triple set}) \vdash \{\lambda Y \text{ } s \text{ } Z. (Y, s) = Z\} \text{ } t \succ \{\lambda Y \text{ } s \text{ } Z. (Y, s) = Z\}$
 $\implies G, A \vdash \{P::(\text{res} \times \text{state}) \text{ assn}\} \text{ } t \succ \{P\}$
 $\langle \text{proof} \rangle$

lemma *ax-trivial*: $G, (A::'a \text{ triple set}) \vdash \{P::'a \text{ assn}\} \text{ } t \succ \{\lambda Y \text{ } s \text{ } Z. \text{True}\}$
 $\langle \text{proof} \rangle$

lemma *ax-disj*:
 $\llbracket G, (A::'a \text{ triple set}) \vdash \{P1::'a \text{ assn}\} \text{ } t \succ \{Q1\}; G, A \vdash \{P2::'a \text{ assn}\} \text{ } t \succ \{Q2\} \rrbracket$
 $\implies G, A \vdash \{\lambda Y \text{ } s \text{ } Z. P1 \text{ } Y \text{ } s \text{ } Z \vee P2 \text{ } Y \text{ } s \text{ } Z\} \text{ } t \succ \{\lambda Y \text{ } s \text{ } Z. Q1 \text{ } Y \text{ } s \text{ } Z \vee Q2 \text{ } Y \text{ } s \text{ } Z\}$
 $\langle \text{proof} \rangle$

lemma *ax-supd-shuffle*:
 $(\exists Q. G, (A::'a \text{ triple set}) \vdash \{P::'a \text{ assn}\} \text{ } .c1. \{Q\} \wedge G, A \vdash \{Q \text{ } ;. f\} \text{ } .c2. \{R\}) =$
 $(\exists Q'. G, A \vdash \{P\} \text{ } .c1. \{f \text{ } ;. Q'\} \wedge G, A \vdash \{Q'\} \text{ } .c2. \{R\})$

$\langle proof \rangle$

lemma *ax-cases*:

$\llbracket G, (A::'a \text{ triple set}) \vdash \{P \wedge. C\} t \succ \{Q::'a \text{ assn}\};$
 $G, A \vdash \{P \wedge. \text{Not} \circ C\} t \succ \{Q\} \rrbracket \implies G, A \vdash \{P\} t \succ \{Q\}$
 $\langle proof \rangle$

lemma *ax-adapt*: $G, (A::'a \text{ triple set}) \vdash \{P::'a \text{ assn}\} t \succ \{Q\}$
 $\implies G, A \vdash \{\text{adapt-pre } P \ Q \ Q'\} t \succ \{Q'\}$
 $\langle proof \rangle$

lemma *adapt-pre-adapts*: $G, (A::'a \text{ triple set}) \models \{P::'a \text{ assn}\} t \succ \{Q\}$
 $\longrightarrow G, A \models \{\text{adapt-pre } P \ Q \ Q'\} t \succ \{Q'\}$
 $\langle proof \rangle$

lemma *adapt-pre-weakest*:
 $\forall G \ (A::'a \text{ triple set}) \ t. \ G, A \models \{P\} t \succ \{Q\} \longrightarrow G, A \models \{P'\} t \succ \{Q'\} \implies$
 $P' \Rightarrow \text{adapt-pre } P \ Q \ (Q'::'a \text{ assn})$
 $\langle proof \rangle$

lemma *peek-and-forget1-Normal*:
 $G, (A::'a \text{ triple set}) \vdash \{\text{Normal } P\} t \succ \{Q::'a \text{ assn}\}$
 $\implies G, A \vdash \{\text{Normal } (P \wedge. p)\} t \succ \{Q\}$
 $\langle proof \rangle$

lemma *peek-and-forget1*:
 $G, (A::'a \text{ triple set}) \vdash \{P::'a \text{ assn}\} t \succ \{Q\}$
 $\implies G, A \vdash \{P \wedge. p\} t \succ \{Q\}$
 $\langle proof \rangle$

lemmas *ax-NormalD* = *peek-and-forget1* [of - - - - normal]

lemma *peek-and-forget2*:
 $G, (A::'a \text{ triple set}) \vdash \{P::'a \text{ assn}\} t \succ \{Q \wedge. p\}$
 $\implies G, A \vdash \{P\} t \succ \{Q\}$
 $\langle proof \rangle$

lemma *ax-subst-Val-allI*:
 $\forall v. \ G, (A::'a \text{ triple set}) \vdash \{(P' \quad v) \leftarrow \text{Val } v\} t \succ \{(Q \ v)::'a \text{ assn}\}$
 $\implies \forall v. \ G, A \vdash \{(\lambda w. \ P' \ (\text{the-In1 } w)) \leftarrow \text{Val } v\} t \succ \{Q \ v\}$
 $\langle proof \rangle$

lemma *ax-subst-Var-allI*:
 $\forall v. \ G, (A::'a \text{ triple set}) \vdash \{(P' \quad v) \leftarrow \text{Var } v\} t \succ \{(Q \ v)::'a \text{ assn}\}$
 $\implies \forall v. \ G, A \vdash \{(\lambda w. \ P' \ (\text{the-In2 } w)) \leftarrow \text{Var } v\} t \succ \{Q \ v\}$
 $\langle proof \rangle$

lemma *ax-subst-Vals-allI*:

$(\forall v. G, (A::'a \text{ triple set}) \vdash \{ (P' \quad v) \leftarrow \text{Vals } v \} \text{ } t \succ \{ (Q \ v)::'a \text{ assn} \})$
 $\implies \forall v. G, A \vdash \{ (\lambda w. P' (\text{the-In3 } w)) \leftarrow \text{Vals } v \} \text{ } t \succ \{ Q \ v \}$
 $\langle \text{proof} \rangle$

alternative axioms

lemma *ax-Lit2*:

$G, (A::'a \text{ triple set}) \vdash \{ \text{Normal } P::'a \text{ assn} \} \text{ Lit } v \multimap \{ \text{Normal } (P \downarrow = \text{Val } v) \}$
 $\langle \text{proof} \rangle$

lemma *ax-Lit2-test-complete*:

$G, (A::'a \text{ triple set}) \vdash \{ \text{Normal } (P \leftarrow \text{Val } v)::'a \text{ assn} \} \text{ Lit } v \multimap \{ P \}$
 $\langle \text{proof} \rangle$

lemma *ax-LVar2*: $G, (A::'a \text{ triple set}) \vdash \{ \text{Normal } P::'a \text{ assn} \} \text{ LVar } vn \multimap \{ \text{Normal } (\lambda s. P \downarrow = \text{Var } (\text{lvar } vn \ s))) \}$

$\langle \text{proof} \rangle$

lemma *ax-Super2*: $G, (A::'a \text{ triple set}) \vdash$

$\{ \text{Normal } P::'a \text{ assn} \} \text{ Super } \multimap \{ \text{Normal } (\lambda s. P \downarrow = \text{Val } (\text{val-this } s))) \}$
 $\langle \text{proof} \rangle$

lemma *ax-Nil2*:

$G, (A::'a \text{ triple set}) \vdash \{ \text{Normal } P::'a \text{ assn} \} \text{ [] } \multimap \{ \text{Normal } (P \downarrow = \text{Vals []}) \}$
 $\langle \text{proof} \rangle$

misc derived structural rules

lemma *ax-finite-mtriples-lemma*: $\llbracket F \subseteq ms; \text{finite } ms; \forall (C, sig) \in ms.$

$G, (A::'a \text{ triple set}) \vdash \{ \text{Normal } (P \ C \ sig)::'a \text{ assn} \} \text{ mb } C \ sig \multimap \{ Q \ C \ sig \} \rrbracket \implies$
 $G, A \vdash \{ \{ P \} \text{ mb } \multimap \{ Q \} \mid F \}$

$\langle \text{proof} \rangle$

lemmas *ax-finite-mtriples* = *ax-finite-mtriples-lemma* [OF subset-refl]

lemma *ax-derivs-insertD*:

$G, (A::'a \text{ triple set}) \vdash \text{insert } (t::'a \text{ triple}) \ ts \implies G, A \vdash t \wedge G, A \vdash ts$
 $\langle \text{proof} \rangle$

lemma *ax-methods-spec*:

$\llbracket G, (A::'a \text{ triple set}) \vdash \text{split } f \ ' \ ms; (C, sig) \in ms \rrbracket \implies G, A \vdash ((f \ C \ sig)::'a \text{ triple})$
 $\langle \text{proof} \rangle$

lemma *ax-finite-pointwise-lemma* [rule-format]: $\llbracket F \subseteq ms; \text{finite } ms \rrbracket \implies$

$((\forall (C, sig) \in F. G, (A::'a \text{ triple set}) \vdash (f \ C \ sig)::'a \text{ triple})) \longrightarrow (\forall (C, sig) \in ms. G, A \vdash (g \ C \ sig)::'a \text{ triple})) \longrightarrow$
 $G, A \vdash \text{split } f \ ' \ F \longrightarrow G, A \vdash \text{split } g \ ' \ F$

$\langle \text{proof} \rangle$

lemmas *ax-finite-pointwise* = *ax-finite-pointwise-lemma* [OF subset-refl]

lemma *ax-no-hazard*:

$G, (A::'a \text{ triple set}) \vdash \{ P \ \wedge. \text{type-ok } G \ t \} \text{ } t \succ \{ Q::'a \text{ assn} \} \implies G, A \vdash \{ P \} \text{ } t \succ \{ Q \}$

$\langle proof \rangle$

lemma *ax-free-wt*:

$(\exists T L C. (\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash t :: T)$
 $\longrightarrow G, (A :: 'a \text{ triple set}) \vdash \{ \text{Normal } P \} \ t \succ \{ Q :: 'a \text{ assn} \} \implies$
 $G, A \vdash \{ \text{Normal } P \} \ t \succ \{ Q \}$
 $\langle proof \rangle$

$\langle ML \rangle$

declare *ax-Abrupts* [intro!]

lemmas *ax-Normal-cases* = *ax-cases* [of - - normal]

lemma *ax-Skip* [intro!]: $G, (A :: 'a \text{ triple set}) \vdash \{ P \leftarrow \Diamond \} . \text{Skip}. \{ P :: 'a \text{ assn} \}$

$\langle proof \rangle$

lemmas *ax-SkipI* = *ax-Skip* [THEN *conseq1*, *standard*]

derived rules for methd call

lemma *ax-Call-known-DynT*:

$\llbracket G \vdash \text{IntVir} \rightarrow C \preceq \text{statT};$
 $\forall a \text{ vs } l. G, A \vdash \{ (R \leftarrow \text{Vals } \text{vs} \wedge. (\lambda s. l = \text{locals } (\text{store } s)) ;$
 $\text{init-lvars } G \ C \ (\text{name}=\text{mn}, \text{parTs}=\text{pTs}) \ \text{IntVir } a \ \text{vs}) \}$
 $\text{Methd } C \ (\text{name}=\text{mn}, \text{parTs}=\text{pTs}) \dashv \succ \{ \text{set-lvars } l \ .; S \};$
 $\forall a. G, A \vdash \{ Q \leftarrow \text{Val } a \} \ \text{args} \doteq \succ$
 $\{ R \ a \wedge. (\lambda s. C = \text{obj-class } (\text{the } (\text{heap } (\text{store } s)) (\text{the-Addr } a))) \wedge$
 $C = \text{invocation-declclass}$
 $G \ \text{IntVir } (\text{store } s) \ a \ \text{statT } (\text{name}=\text{mn}, \text{parTs}=\text{pTs}) \ \};$
 $G, (A :: 'a \text{ triple set}) \vdash \{ \text{Normal } P \} \ e \dashv \succ \{ Q :: 'a \text{ assn} \} \rrbracket$
 $\implies G, A \vdash \{ \text{Normal } P \} \ \{ \text{accC}, \text{statT}, \text{IntVir} \} e \cdot \text{mn}(\{ \text{pTs} \} \text{args}) \dashv \succ \{ S \}$
 $\langle proof \rangle$

lemma *ax-Call-Static*:

$\llbracket \forall a \text{ vs } l. G, A \vdash \{ R \leftarrow \text{Vals } \text{vs} \wedge. (\lambda s. l = \text{locals } (\text{store } s)) ;$
 $\text{init-lvars } G \ C \ (\text{name}=\text{mn}, \text{parTs}=\text{pTs}) \ \text{Static } \text{any-Addr } \text{vs} \}$
 $\text{Methd } C \ (\text{name}=\text{mn}, \text{parTs}=\text{pTs}) \dashv \succ \{ \text{set-lvars } l \ .; S \};$
 $G, A \vdash \{ \text{Normal } P \} \ e \dashv \succ \{ Q \};$
 $\forall a. G, (A :: 'a \text{ triple set}) \vdash \{ Q \leftarrow \text{Val } a \} \ \text{args} \doteq \succ \{ (R :: \text{val} \Rightarrow 'a \text{ assn}) \ a$
 $\wedge. (\lambda s. C = \text{invocation-declclass}$
 $G \ \text{Static } (\text{store } s) \ a \ \text{statT } (\text{name}=\text{mn}, \text{parTs}=\text{pTs}) \ \}$
 $\rrbracket \implies G, A \vdash \{ \text{Normal } P \} \ \{ \text{accC}, \text{statT}, \text{Static} \} e \cdot \text{mn}(\{ \text{pTs} \} \text{args}) \dashv \succ \{ S \}$
 $\langle proof \rangle$

lemma *ax-Methd1*:

$\llbracket G, A \cup \{ \{ P \} \ \text{Methd} \dashv \succ \{ Q \} \mid \text{ms} \} \vdash \{ \{ P \} \ \text{body } G \dashv \succ \{ Q \} \mid \text{ms} \}; (C, \text{sig}) \in \text{ms} \rrbracket \implies$
 $G, A \vdash \{ \text{Normal } (P \ C \ \text{sig}) \} \ \text{Methd } C \ \text{sig} \dashv \succ \{ Q \ C \ \text{sig} \}$
 $\langle proof \rangle$

lemma *ax-MethdN*:

$G, \text{insert}(\{ \text{Normal } P \} \ \text{Methd } C \ \text{sig} \dashv \succ \{ Q \}) \ A \vdash$
 $\{ \text{Normal } P \} \ \text{body } G \ C \ \text{sig} \dashv \succ \{ Q \} \implies$
 $G, A \vdash \{ \text{Normal } P \} \ \text{Methd } C \ \text{sig} \dashv \succ \{ Q \}$
 $\langle proof \rangle$

lemma *ax-StatRef*:

$G, (A::'a \text{ triple set}) \vdash \{ \text{Normal } (P \leftarrow \text{Val Null}) \} \text{StatRef } rt \multimap \{ P::'a \text{ assn} \}$
 $\langle \text{proof} \rangle$

rules derived from Init and Done

lemma *ax-InitS*: $\llbracket \text{the } (\text{class } G \ C) = c; C \neq \text{Object};$

$\forall l. G, A \vdash \{ Q \wedge. (\lambda s. l = \text{locals } (\text{store } s)) \ ;. \text{set-lvars empty} \}$
 $\text{.init } c. \{ \text{set-lvars } l \ ;. R \};$
 $G, A \vdash \{ \text{Normal } ((P \wedge. \text{Not } \circ \text{initd } C) \ ;. \text{supd } (\text{init-class-obj } G \ C)) \}$
 $\text{.Init } (\text{super } c). \{ Q \} \rrbracket \implies$
 $G, (A::'a \text{ triple set}) \vdash \{ \text{Normal } (P \wedge. \text{Not } \circ \text{initd } C) \} \text{.Init } C. \{ R::'a \text{ assn} \}$
 $\langle \text{proof} \rangle$

lemma *ax-Init-Skip-lemma*:

$\forall l. G, (A::'a \text{ triple set}) \vdash \{ P \leftarrow \Diamond \wedge. (\lambda s. l = \text{locals } (\text{store } s)) \ ;. \text{set-lvars } l' \}$
 $\text{.Skip. } \{ (\text{set-lvars } l \ ;. P) ::'a \text{ assn} \}$
 $\langle \text{proof} \rangle$

lemma *ax-triv-InitS*: $\llbracket \text{the } (\text{class } G \ C) = c; \text{init } c = \text{Skip}; C \neq \text{Object};$

$P \leftarrow \Diamond \implies (\text{supd } (\text{init-class-obj } G \ C) \ ;. P);$
 $G, A \vdash \{ \text{Normal } (P \wedge. \text{initd } C) \} \text{.Init } (\text{super } c). \{ (P \wedge. \text{initd } C) \leftarrow \Diamond \} \rrbracket \implies$
 $G, (A::'a \text{ triple set}) \vdash \{ \text{Normal } P \leftarrow \Diamond \} \text{.Init } C. \{ (P \wedge. \text{initd } C) ::'a \text{ assn} \}$
 $\langle \text{proof} \rangle$

lemma *ax-Init-Object*: $\text{wf-prog } G \implies G, (A::'a \text{ triple set}) \vdash$

$\{ \text{Normal } ((\text{supd } (\text{init-class-obj } G \ \text{Object}) \ ;. P \leftarrow \Diamond) \wedge. \text{Not } \circ \text{initd } \text{Object}) \}$
 $\text{.Init } \text{Object}. \{ (P \wedge. \text{initd } \text{Object}) ::'a \text{ assn} \}$
 $\langle \text{proof} \rangle$

lemma *ax-triv-Init-Object*: $\llbracket \text{wf-prog } G;$

$(P::'a \text{ assn}) \implies (\text{supd } (\text{init-class-obj } G \ \text{Object}) \ ;. P) \rrbracket \implies$
 $G, (A::'a \text{ triple set}) \vdash \{ \text{Normal } P \leftarrow \Diamond \} \text{.Init } \text{Object}. \{ P \wedge. \text{initd } \text{Object} \}$
 $\langle \text{proof} \rangle$

introduction rules for Alloc and SXAlloc

lemma *ax-SXAlloc-Normal*:

$G, (A::'a \text{ triple set}) \vdash \{ P::'a \text{ assn} \} \text{.c. } \{ \text{Normal } Q \}$
 $\implies G, A \vdash \{ P \} \text{.c. } \{ \text{SXAlloc } G \ Q \}$
 $\langle \text{proof} \rangle$

lemma *ax-Alloc*:

$G, (A::'a \text{ triple set}) \vdash \{ P::'a \text{ assn} \} \text{ } t \multimap$
 $\{ \text{Normal } (\lambda Y \ (x, s) \ Z. (\forall a. \text{new-Addr } (\text{heap } s) = \text{Some } a \longrightarrow$
 $Q \ (\text{Val } (\text{Addr } a)) \ (\text{Norm}(\text{init-obj } G \ (\text{CInst } C) \ (\text{Heap } a) \ s)) \ Z)) \wedge.$
 $\text{heap-free } (\text{Suc } (\text{Suc } 0))) \}$
 $\implies G, A \vdash \{ P \} \text{ } t \multimap \{ \text{Alloc } G \ (\text{CInst } C) \ Q \}$
 $\langle \text{proof} \rangle$

lemma *ax-Alloc-Arr*:

$$\begin{aligned}
 & G, (A :: 'a \text{ triple set}) \vdash \{P :: 'a \text{ assn}\} \text{ } t \succ \\
 & \{ \lambda \text{Val} : i :: \text{Normal } (\lambda Y \ (x, s) \ Z. \neg \text{the-Intg } i < 0 \wedge \\
 & \quad (\forall a. \text{new-Addr } (\text{heap } s) = \text{Some } a \longrightarrow \\
 & \quad Q \ (\text{Val } (\text{Addr } a)) \ (\text{Norm } (\text{init-obj } G \ (\text{Arr } T \ (\text{the-Intg } i)) \ (\text{Heap } a) \ s)) \ Z)) \wedge. \\
 & \quad \text{heap-free } (\text{Suc } (\text{Suc } 0)) \} \\
 & \implies \\
 & G, A \vdash \{P\} \text{ } t \succ \{ \lambda \text{Val} : i :: \text{abupd } (\text{check-neg } i) \ .; \text{Alloc } G \ (\text{Arr } T \ (\text{the-Intg } i)) \ Q \} \\
 & \langle \text{proof} \rangle
 \end{aligned}$$

lemma *ax-SXAlloc-catch-SXcpt*:

$$\begin{aligned}
 & \llbracket G, (A :: 'a \text{ triple set}) \vdash \{P :: 'a \text{ assn}\} \text{ } t \succ \\
 & \{ (\lambda Y \ (x, s) \ Z. x = \text{Some } (\text{Xcpt } (\text{Std } xn)) \wedge \\
 & \quad (\forall a. \text{new-Addr } (\text{heap } s) = \text{Some } a \longrightarrow \\
 & \quad Q \ Y \ (\text{Some } (\text{Xcpt } (\text{Loc } a)), \text{init-obj } G \ (\text{CInst } (\text{SXcpt } xn)) \ (\text{Heap } a) \ s) \ Z)) \\
 & \quad \wedge. \text{heap-free } (\text{Suc } (\text{Suc } 0)) \} \rrbracket \\
 & \implies \\
 & G, A \vdash \{P\} \text{ } t \succ \{ \text{SXAlloc } G \ (\lambda Y \ s \ Z. Q \ Y \ s \ Z \wedge G, s \vdash \text{catch } \text{SXcpt } xn) \} \\
 & \langle \text{proof} \rangle
 \end{aligned}$$

end

Chapter 23

AxSound

62 Soundness proof for Axiomatic semantics of Java expressions and statements

theory *AxSound* imports *AxSem* begin

validity

consts

triple-valid2:: *prog* \Rightarrow *nat* \Rightarrow *'a triple* \Rightarrow *bool*
 (*-* \models ::- [61,0, 58] 57)
ax-valids2:: *prog* \Rightarrow *'a triples* \Rightarrow *'a triples* \Rightarrow *bool*
 (*-* \models ::- [61,58,58] 57)

defs *triple-valid2-def*: $G \models n::t \equiv \text{case } t \text{ of } \{P\} \triangleright \{Q\} \Rightarrow$
 $\forall Y \ s \ Z. \ P \ Y \ s \ Z \longrightarrow (\forall L. \ s::\preceq(G,L)$
 $\longrightarrow (\forall T \ C \ A. \ (\text{normal } s \longrightarrow ((\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash t::T \wedge$
 $(\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash \text{dom } (\text{locals } (\text{store } s)) \gg t \gg A)) \longrightarrow$
 $(\forall Y' \ s'. \ G \vdash s - t \triangleright - n \rightarrow (Y', s') \longrightarrow Q \ Y' \ s' \ Z \wedge s'::\preceq(G,L))))$

This definition differs from the ordinary *triple-valid-def* manly in the conclusion: We also ensures conformance of the result state. So we don't have to apply the type soundness lemma all the time during induction. This definition is only introduced for the soundness proof of the axiomatic semantics, in the end we will conclude to the ordinary definition.

defs *ax-valids2-def*: $G, A \models ts \equiv \forall n. (\forall t \in A. G \models n::t) \longrightarrow (\forall t \in ts. G \models n::t)$

lemma *triple-valid2-def2*: $G \models n::\{P\} \triangleright \{Q\} =$
 $(\forall Y \ s \ Z. \ P \ Y \ s \ Z \longrightarrow (\forall Y' \ s'. \ G \vdash s - t \triangleright - n \rightarrow (Y', s') \longrightarrow$
 $(\forall L. \ s::\preceq(G,L) \longrightarrow (\forall T \ C \ A. \ (\text{normal } s \longrightarrow ((\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash t::T \wedge$
 $(\text{prg}=G, \text{cls}=C, \text{lcl}=L) \vdash \text{dom } (\text{locals } (\text{store } s)) \gg t \gg A)) \longrightarrow$
 $Q \ Y' \ s' \ Z \wedge s'::\preceq(G,L))))$
 <proof>

lemma *triple-valid2-eq* [rule-format (no-asm)]:
 $\text{wf-prog } G \implies \text{triple-valid2 } G = \text{triple-valid } G$
 <proof>

lemma *ax-valids2-eq*: $\text{wf-prog } G \implies G, A \models ts = G, A \models ts$
 <proof>

lemma *triple-valid2-Suc* [rule-format (no-asm)]: $G \models \text{Suc } n::t \longrightarrow G \models n::t$
 <proof>

lemma *Methd-triple-valid2-0*: $G \models 0::\{\text{Normal } P\} \text{ Methd } C \text{ sig} \triangleright \{Q\}$
 <proof>

lemma *Methd-triple-valid2-SucI*:
 $\llbracket G \models n::\{\text{Normal } P\} \text{ body } G \ C \text{ sig} \triangleright \{Q\} \rrbracket$
 $\implies G \models \text{Suc } n::\{\text{Normal } P\} \text{ Methd } C \text{ sig} \triangleright \{Q\}$
 <proof>

lemma *triples-valid2-Suc*:

Ball ts (triple-valid2 G (Suc n)) \implies Ball ts (triple-valid2 G n)
 <proof>

lemma $G \models n::\text{insert } t \ A = (G \models n:t \wedge G \models n:A)$
 <proof>

soundness

lemma *Method-sound*:

assumes *recursive*: $G, A \cup \{\{P\} \text{ Method} \multimap \{Q\} \mid ms\} \models \{\{P\} \text{ body } G \multimap \{Q\} \mid ms\}$
shows $G, A \models \{\{P\} \text{ Method} \multimap \{Q\} \mid ms\}$
 <proof>

lemma *valids2-inductI*: $\forall s \ t \ n \ Y' \ s'. \ G \vdash s \multimap t \multimap n \rightarrow (Y', s') \longrightarrow t = c \longrightarrow$
 $\text{Ball } A \ (\text{triple-valid2 } G \ n) \longrightarrow (\forall Y \ Z. \ P \ Y \ s \ Z \longrightarrow$
 $(\forall L. \ s::\preceq(G, L) \longrightarrow$
 $(\forall T \ C \ A. \ (\text{normal } s \longrightarrow (\llbracket \text{prg} = G, \text{cls} = C, \text{lcl} = L \rrbracket \vdash t::T) \wedge$
 $\llbracket \text{prg} = G, \text{cls} = C, \text{lcl} = L \rrbracket \vdash \text{dom } (\text{locals } (\text{store } s)) \gg t \gg A) \longrightarrow$
 $Q \ Y' \ s' \ Z \wedge s'::\preceq(G, L))) \implies$
 $G, A \models \{\{P\} \ c \multimap \{Q\}\}$
 <proof>

lemma *da-good-approx-evalnE* [consumes 4]:

assumes *evaln*: $G \vdash s0 \multimap t \multimap n \rightarrow (v, s1)$
and *wt*: $\llbracket \text{prg} = G, \text{cls} = C, \text{lcl} = L \rrbracket \vdash t::T$
and *da*: $\llbracket \text{prg} = G, \text{cls} = C, \text{lcl} = L \rrbracket \vdash \text{dom } (\text{locals } (\text{store } s0)) \gg t \gg A$
and *wf*: *wf-prog* G
and *elim*: $\llbracket \text{normal } s1 \implies \text{nrm } A \subseteq \text{dom } (\text{locals } (\text{store } s1));$
 $\wedge l. \llbracket \text{abrupt } s1 = \text{Some } (\text{Jump } (\text{Break } l)); \text{normal } s0 \rrbracket$
 $\implies \text{brk } A \ l \subseteq \text{dom } (\text{locals } (\text{store } s1));$
 $\llbracket \text{abrupt } s1 = \text{Some } (\text{Jump } \text{Ret}); \text{normal } s0 \rrbracket$
 $\implies \text{Result} \in \text{dom } (\text{locals } (\text{store } s1))$
 $\rrbracket \implies P$
shows P
 <proof>

lemma *validI*:

assumes $I: \bigwedge n \ s0 \ L \ \text{accC} \ T \ C \ v \ s1 \ Y \ Z.$
 $\llbracket \forall t \in A. \ G \models n::t; \ s0::\preceq(G, L);$
 $\text{normal } s0 \implies \llbracket \text{prg} = G, \text{cls} = \text{accC}, \text{lcl} = L \rrbracket \vdash t::T;$
 $\text{normal } s0 \implies \llbracket \text{prg} = G, \text{cls} = \text{accC}, \text{lcl} = L \rrbracket \vdash \text{dom } (\text{locals } (\text{store } s0)) \gg t \gg C;$
 $G \vdash s0 \multimap t \multimap n \rightarrow (v, s1); \ P \ Y \ s0 \ Z \rrbracket \implies Q \ v \ s1 \ Z \wedge s1::\preceq(G, L)$
shows $G, A \models \{\{P\} \ t \multimap \{Q\}\}$
 <proof>

declare $[[\text{simproc } \text{add}: \text{wt-expr } \text{wt-var } \text{wt-exprs } \text{wt-stmt}]]$

lemma *valid-stmtI*:

assumes $I: \bigwedge n \ s0 \ L \ \text{accC} \ C \ s1 \ Y \ Z.$
 $\llbracket \forall t \in A. \ G \models n::t; \ s0::\preceq(G, L);$
 $\text{normal } s0 \implies \llbracket \text{prg} = G, \text{cls} = \text{accC}, \text{lcl} = L \rrbracket \vdash c::\checkmark;$
 $\text{normal } s0 \implies \llbracket \text{prg} = G, \text{cls} = \text{accC}, \text{lcl} = L \rrbracket \vdash \text{dom } (\text{locals } (\text{store } s0)) \gg \langle c \rangle_s \gg C;$

$G \vdash s0 -c-n \rightarrow s1; P \ Y \ s0 \ Z \Longrightarrow Q \Diamond s1 \ Z \wedge s1::\preceq(G,L)$
shows $G, A \models::\{ \{P\} \langle c \rangle_s \succ \{Q\} \}$
 $\langle proof \rangle$

lemma *valid-stmt-NormalI*:

assumes $I: \bigwedge n \ s0 \ L \ accC \ C \ s1 \ Y \ Z.$
 $\llbracket \forall t \in A. \ G \models n::t; \ s0::\preceq(G,L); \ normal \ s0; \ (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash c::\surd;$
 $(\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash_{dom} (\text{locals} \ (\text{store} \ s0)) \gg \langle c \rangle_s \gg C;$
 $G \vdash s0 -c-n \rightarrow s1; (Normal \ P) \ Y \ s0 \ Z \Longrightarrow Q \Diamond s1 \ Z \wedge s1::\preceq(G,L)$
shows $G, A \models::\{ \{Normal \ P\} \langle c \rangle_s \succ \{Q\} \}$
 $\langle proof \rangle$

lemma *valid-var-NormalI*:

assumes $I: \bigwedge n \ s0 \ L \ accC \ T \ C \ vf \ s1 \ Y \ Z.$
 $\llbracket \forall t \in A. \ G \models n::t; \ s0::\preceq(G,L); \ normal \ s0;$
 $(\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash t::=T;$
 $(\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash_{dom} (\text{locals} \ (\text{store} \ s0)) \gg \langle t \rangle_v \gg C;$
 $G \vdash s0 -t=\succ vf -n \rightarrow s1; (Normal \ P) \ Y \ s0 \ Z \Longrightarrow Q \ (In2 \ vf) \ s1 \ Z \wedge s1::\preceq(G,L)$
shows $G, A \models::\{ \{Normal \ P\} \langle t \rangle_v \succ \{Q\} \}$
 $\langle proof \rangle$

lemma *valid-expr-NormalI*:

assumes $I: \bigwedge n \ s0 \ L \ accC \ T \ C \ v \ s1 \ Y \ Z.$
 $\llbracket \forall t \in A. \ G \models n::t; \ s0::\preceq(G,L); \ normal \ s0;$
 $(\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash t::-T;$
 $(\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash_{dom} (\text{locals} \ (\text{store} \ s0)) \gg \langle t \rangle_e \gg C;$
 $G \vdash s0 -t-\succ v -n \rightarrow s1; (Normal \ P) \ Y \ s0 \ Z \Longrightarrow Q \ (In1 \ v) \ s1 \ Z \wedge s1::\preceq(G,L)$
shows $G, A \models::\{ \{Normal \ P\} \langle t \rangle_e \succ \{Q\} \}$
 $\langle proof \rangle$

lemma *valid-expr-list-NormalI*:

assumes $I: \bigwedge n \ s0 \ L \ accC \ T \ C \ vs \ s1 \ Y \ Z.$
 $\llbracket \forall t \in A. \ G \models n::t; \ s0::\preceq(G,L); \ normal \ s0;$
 $(\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash t::\doteq T;$
 $(\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash_{dom} (\text{locals} \ (\text{store} \ s0)) \gg \langle t \rangle_l \gg C;$
 $G \vdash s0 -t\doteq\succ vs -n \rightarrow s1; (Normal \ P) \ Y \ s0 \ Z \Longrightarrow Q \ (In3 \ vs) \ s1 \ Z \wedge s1::\preceq(G,L)$
shows $G, A \models::\{ \{Normal \ P\} \langle t \rangle_l \succ \{Q\} \}$
 $\langle proof \rangle$

lemma *validE [consumes 5]*:

assumes *valid*: $G, A \models::\{ \{P\} \ t \succ \{Q\} \}$
and $P: P \ Y \ s0 \ Z$
and *valid-A*: $\forall t \in A. \ G \models n::t$
and *conf*: $s0::\preceq(G,L)$
and *eval*: $G \vdash s0 -t=\succ -n \rightarrow (v, s1)$
and *wt*: $normal \ s0 \Longrightarrow (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash t::T$
and *da*: $normal \ s0 \Longrightarrow (\text{prg}=G, \text{cls}=\text{acc}C, \text{lcl}=L) \vdash_{dom} (\text{locals} \ (\text{store} \ s0)) \gg t \gg C$
and *elim*: $\llbracket Q \ v \ s1 \ Z; \ s1::\preceq(G,L) \rrbracket \Longrightarrow \text{concl}$
shows *concl*
 $\langle proof \rangle$

lemma *all-empty*: $(!x. P) = P$
 ⟨proof⟩

corollary *evaln-type-sound*:

assumes *evaln*: $G \vdash s0 \rightarrow -t \rightarrow -n \rightarrow (v, s1)$ **and**
 wt: $(\text{prg} = G, \text{cls} = \text{acc}C, \text{lcl} = L) \vdash t :: T$ **and**
 da: $(\text{prg} = G, \text{cls} = \text{acc}C, \text{lcl} = L) \vdash \text{dom} (\text{locals} (\text{store } s0)) \gg t \gg A$ **and**
 conf-s0: $s0 :: \preceq (G, L)$ **and**
 wf: *wf-prog* G
shows $s1 :: \preceq (G, L) \wedge (\text{normal } s1 \longrightarrow G, L, \text{store } s1 \vdash t \rightarrow v :: \preceq T) \wedge$
 $(\text{error-free } s0 = \text{error-free } s1)$
 ⟨proof⟩

corollary *dom-locals-evaln-mono-elim* [*consumes 1*]:

assumes
 evaln: $G \vdash s0 \rightarrow -t \rightarrow -n \rightarrow (v, s1)$ **and**
 hyps: $\llbracket \text{dom} (\text{locals} (\text{store } s0)) \subseteq \text{dom} (\text{locals} (\text{store } s1)) \rrbracket$
 $\bigwedge v \ s \ \text{val}. \llbracket v = \text{In2 } vv; \text{normal } s1 \rrbracket$
 $\implies \text{dom} (\text{locals} (\text{store } s))$
 $\subseteq \text{dom} (\text{locals} (\text{store } ((\text{snd } vv) \ \text{val } s))) \rrbracket \implies P$
shows P
 ⟨proof⟩

lemma *evaln-no-abrupt*:

$\bigwedge s \ s'. \llbracket G \vdash s \rightarrow -t \rightarrow -n \rightarrow (w, s') ; \text{normal } s' \rrbracket \implies \text{normal } s$
 ⟨proof⟩

declare *inj-term-simps* [*simp*]

lemma *ax-sound2*:

assumes *wf*: *wf-prog* G
 and *deriv*: $G, A \vdash ts$
shows $G, A \models ts$
 ⟨proof⟩

declare *inj-term-simps* [*simp del*]

theorem *ax-sound*:

wf-prog $G \implies G, (A :: 'a \text{ triple set}) \vdash (ts :: 'a \text{ triple set}) \implies G, A \models ts$
 ⟨proof⟩

lemma *sound-valid2-lemma*:

$\llbracket \forall v \ n. \text{Ball } A (\text{triple-valid2 } G \ n) \longrightarrow P \ v \ n ; \text{Ball } A (\text{triple-valid2 } G \ n) \rrbracket$
 $\implies P \ v \ n$
 ⟨proof⟩

end

Chapter 24

AxCompl

63 Completeness proof for Axiomatic semantics of Java expressions and statements

theory *AxCompl* imports *AxSem* begin

design issues:

- proof structured by Most General Formulas (-) Thomas Kleymann)

set of not yet initialized classes

definition *nyinitcls* :: *prog* \Rightarrow *state* \Rightarrow *qname set* **where**
nyinitcls *G s* $\equiv \{C. \text{is-class } G \ C \wedge \neg \text{initd } C \ s\}$

lemma *nyinitcls-subset-class*: *nyinitcls* *G s* $\subseteq \{C. \text{is-class } G \ C\}$
 $\langle \text{proof} \rangle$

lemmas *finite-nyinitcls* [*simp*] =
finite-is-class [*THEN nyinitcls-subset-class* [*THEN finite-subset*], *standard*]

lemma *card-nyinitcls-bound*: *card* (*nyinitcls* *G s*) $\leq \text{card } \{C. \text{is-class } G \ C\}$
 $\langle \text{proof} \rangle$

lemma *nyinitcls-set-locals-cong* [*simp*]:
nyinitcls *G* (*x*, *set-locals l s*) = *nyinitcls* *G* (*x*, *s*)
 $\langle \text{proof} \rangle$

lemma *nyinitcls-abrupt-cong* [*simp*]: *nyinitcls* *G* (*f x*, *y*) = *nyinitcls* *G* (*x*, *y*)
 $\langle \text{proof} \rangle$

lemma *nyinitcls-abupd-cong* [*simp*]:!!*s*. *nyinitcls* *G* (*abupd f s*) = *nyinitcls* *G s*
 $\langle \text{proof} \rangle$

lemma *card-nyinitcls-abrupt-congE* [*elim!*]:
 $\text{card } (\text{nyinitcls } G \ (x, s)) \leq n \implies \text{card } (\text{nyinitcls } G \ (y, s)) \leq n$
 $\langle \text{proof} \rangle$

lemma *nyinitcls-new-xcpt-var* [*simp*]:
nyinitcls *G* (*new-xcpt-var vn s*) = *nyinitcls* *G s*
 $\langle \text{proof} \rangle$

lemma *nyinitcls-init-lvars* [*simp*]:
nyinitcls *G* ((*init-lvars* *G C sig mode a' pvs*) *s*) = *nyinitcls* *G s*
 $\langle \text{proof} \rangle$

lemma *nyinitcls-emptyD*: $\llbracket \text{nyinitcls } G \ s = \{\}; \text{is-class } G \ C \rrbracket \implies \text{initd } C \ s$
 $\langle \text{proof} \rangle$

lemma *card-Suc-lemma*:

$\llbracket \text{card } (\text{insert } a \ A) \leq \text{Suc } n; a \notin A; \text{finite } A \rrbracket \implies \text{card } A \leq n$
 $\langle \text{proof} \rangle$

lemma *nyinitcls-le-SucD*:

$\llbracket \text{card } (\text{nyinitcls } G \ (x,s)) \leq \text{Suc } n; \neg \text{initd } C \ (\text{globs } s); \text{class } G \ C = \text{Some } y \rrbracket \implies$
 $\text{card } (\text{nyinitcls } G \ (x, \text{init-class-obj } G \ C \ s)) \leq n$
 $\langle \text{proof} \rangle$

lemma *initd-gext'*: $\llbracket s \leq |s'|; \text{initd } C \ (\text{globs } s) \rrbracket \implies \text{initd } C \ (\text{globs } s')$
 $\langle \text{proof} \rangle$

lemma *nyinitcls-gext*: $\text{snd } s \leq | \text{snd } s' \implies \text{nyinitcls } G \ s' \subseteq \text{nyinitcls } G \ s$
 $\langle \text{proof} \rangle$

lemma *card-nyinitcls-gext*:

$\llbracket \text{snd } s \leq | \text{snd } s'; \text{card } (\text{nyinitcls } G \ s) \leq n \rrbracket \implies \text{card } (\text{nyinitcls } G \ s') \leq n$
 $\langle \text{proof} \rangle$

init-le

definition *init-le* :: *prog* \Rightarrow *nat* \Rightarrow *state* \Rightarrow *bool* ($\vdash \text{init} \leq$ - [51,51] 50) **where**
 $G \vdash \text{init} \leq n \equiv \lambda s. \text{card } (\text{nyinitcls } G \ s) \leq n$

lemma *init-le-def2* [*simp*]: $(G \vdash \text{init} \leq n) \ s = (\text{card } (\text{nyinitcls } G \ s) \leq n)$
 $\langle \text{proof} \rangle$

lemma *All-init-leD*:

$\forall n::\text{nat}. G, (A::'a \text{ triple set}) \vdash \{P \ \wedge. \ G \vdash \text{init} \leq n\} \ t \succ \ \{Q::'a \text{ assn}\}$
 $\implies G, A \vdash \{P\} \ t \succ \ \{Q\}$
 $\langle \text{proof} \rangle$

Most General Triples and Formulas

definition *remember-init-state* :: *state assn* (\doteq) **where**
 $\doteq \equiv \lambda Y \ s \ Z. \ s = Z$

lemma *remember-init-state-def2* [*simp*]: $\doteq \ Y = \text{op} =$
 $\langle \text{proof} \rangle$

consts

MGF :: [*state assn*, *term*, *prog*] \Rightarrow *state triple* ($\{-\} \dashv \rightarrow \{-\} [3,65,3] 62$)
MGFn :: [*nat* , *term*, *prog*] \Rightarrow *state triple* ($\{=-\} \dashv \rightarrow \{-\} [3,65,3] 62$)

defs

MGF-def:
 $\{P\} \ t \succ \ \{G \rightarrow\} \equiv \{P\} \ t \succ \ \{\lambda Y \ s' \ s. \ G \vdash s - t \succ \rightarrow (Y, s')\}$

MGFn-def:
 $\{=-\} \ t \succ \ \{G \rightarrow\} \equiv \{\doteq \ \wedge. \ G \vdash \text{init} \leq n\} \ t \succ \ \{G \rightarrow\}$

lemma *MGF-valid: wf-prog* $G \implies G, \{\} \models \{\dot{=}\} \ t \succ \{G \rightarrow\}$
 $\langle \text{proof} \rangle$

lemma *MGF-res-eq-lemma [simp]*:
 $(\forall Y' Y s. Y = Y' \wedge P s \longrightarrow Q s) = (\forall s. P s \longrightarrow Q s)$
 $\langle \text{proof} \rangle$

lemma *MGFn-def2*:
 $G, A \vdash \{=:n\} \ t \succ \{G \rightarrow\} = G, A \vdash \{\dot{=}\} \wedge. G \vdash \text{init} \leq n$
 $\quad \quad \quad t \succ \{\lambda Y s' s. G \vdash s - t \succ \rightarrow (Y, s')\}$
 $\langle \text{proof} \rangle$

lemma *MGF-MGFn-iff*:
 $G, (A::\text{state triple set}) \vdash \{\dot{=}\} \ t \succ \{G \rightarrow\} = (\forall n. G, A \vdash \{=:n\} \ t \succ \{G \rightarrow\})$
 $\langle \text{proof} \rangle$

lemma *MGFnD*:
 $G, (A::\text{state triple set}) \vdash \{=:n\} \ t \succ \{G \rightarrow\} \implies$
 $G, A \vdash \{(\lambda Y' s' s. s' = s \quad \quad \quad \wedge P s) \wedge. G \vdash \text{init} \leq n\}$
 $\quad \quad \quad t \succ \{(\lambda Y' s' s. G \vdash s - t \succ \rightarrow (Y', s') \wedge P s) \wedge. G \vdash \text{init} \leq n\}$
 $\langle \text{proof} \rangle$

lemmas $MGFnD' = MGFnD$ [of - - - $\lambda x. \text{True}$]

To derive the most general formula, we can always assume a normal state in the precondition, since abrupt cases can be handled uniformly by the abrupt rule.

lemma *MGFNormalI*: $G, A \vdash \{\text{Normal} \dot{=}\} \ t \succ \{G \rightarrow\} \implies$
 $G, (A::\text{state triple set}) \vdash \{\dot{=}\} \ t \succ \{G \rightarrow\}$
 $\langle \text{proof} \rangle$

lemma *MGFNormalD*:
 $G, (A::\text{state triple set}) \vdash \{\dot{=}\} \ t \succ \{G \rightarrow\} \implies G, A \vdash \{\text{Normal} \dot{=}\} \ t \succ \{G \rightarrow\}$
 $\langle \text{proof} \rangle$

Additionally to *MGFNormalI*, we also expand the definition of the most general formula here

lemma *MGFn-NormalI*:
 $G, (A::\text{state triple set}) \vdash \{\text{Normal}((\lambda Y' s' s. s' = s \wedge \text{normal } s) \wedge. G \vdash \text{init} \leq n)\} \ t \succ$
 $\{\lambda Y s' s. G \vdash s - t \succ \rightarrow (Y, s')\} \implies G, A \vdash \{=:n\} \ t \succ \{G \rightarrow\}$
 $\langle \text{proof} \rangle$

To derive the most general formula, we can restrict ourselves to welltyped terms, since all others can be uniformly handled by the hazard rule.

lemma *MGFn-free-wt*:
 $(\exists T L C. (\text{prg} = G, \text{cls} = C, \text{lcl} = L) \vdash t::T)$
 $\implies G, (A::\text{state triple set}) \vdash \{=:n\} \ t \succ \{G \rightarrow\}$
 $\implies G, A \vdash \{=:n\} \ t \succ \{G \rightarrow\}$
 $\langle \text{proof} \rangle$

To derive the most general formula, we can restrict ourselves to welltyped terms and assume that the state in the precondition conforms to the environment. All type violations can be uniformly handled by the hazard rule.

lemma *MGFn-free-wt-NormalConformI*:

$(\forall T L C . \langle \text{prg} = G, \text{cls} = C, \text{lcl} = L \rangle \vdash t :: T$
 $\longrightarrow G, (A :: \text{state triple set})$
 $\vdash \{ \text{Normal}((\lambda Y' s' s. s' = s \wedge \text{normal } s) \wedge. G \vdash \text{init} \leq n) \wedge. (\lambda s. s :: \preceq(G, L)) \}$
 $t \succ$
 $\{ \lambda Y s' s. G \vdash s - t \succ \rightarrow (Y, s') \}$
 $\implies G, A \vdash \{ =: n \} t \succ \{ G \rightarrow \}$
 $\langle \text{proof} \rangle$

To derive the most general formula, we can restrict ourselves to welltyped terms and assume that the state in the precondition conforms to the environment and that the term is definitely assigned with respect to this state. All type violations can be uniformly handled by the hazard rule.

lemma *MGFn-free-wt-da-NormalConformI*:

$(\forall T L C B. \langle \text{prg} = G, \text{cls} = C, \text{lcl} = L \rangle \vdash t :: T$
 $\longrightarrow G, (A :: \text{state triple set})$
 $\vdash \{ \text{Normal}((\lambda Y' s' s. s' = s \wedge \text{normal } s) \wedge. G \vdash \text{init} \leq n) \wedge. (\lambda s. s :: \preceq(G, L))$
 $\wedge. (\lambda s. \langle \text{prg} = G, \text{cls} = C, \text{lcl} = L \rangle \vdash \text{dom } (\text{locals } (\text{store } s)) \gg t \gg B) \}$
 $t \succ$
 $\{ \lambda Y s' s. G \vdash s - t \succ \rightarrow (Y, s') \}$
 $\implies G, A \vdash \{ =: n \} t \succ \{ G \rightarrow \}$
 $\langle \text{proof} \rangle$

main lemmas

lemma *MGFn-Init*:

assumes *mgf-hyp*: $\forall m. \text{Suc } m \leq n \longrightarrow (\forall t. G, A \vdash \{ =: m \} t \succ \{ G \rightarrow \})$
shows $G, (A :: \text{state triple set}) \vdash \{ =: n \} \langle \text{Init } C \rangle_s \succ \{ G \rightarrow \}$
 $\langle \text{proof} \rangle$

lemmas *MGFn-InitD* = *MGFn-Init* [*THEN* *MGFnD*, *THEN* *ax-NormalD*]

lemma *MGFn-Call*:

assumes *mgf-methds*:
 $\forall C \text{ sig}. G, (A :: \text{state triple set}) \vdash \{ =: n \} \langle (\text{Methd } C \text{ sig}) \rangle_e \succ \{ G \rightarrow \}$
and *mgf-e*: $G, A \vdash \{ =: n \} \langle e \rangle_e \succ \{ G \rightarrow \}$
and *mgf-ps*: $G, A \vdash \{ =: n \} \langle ps \rangle_l \succ \{ G \rightarrow \}$
and *wf*: *wf-prog* *G*
shows $G, A \vdash \{ =: n \} \langle \{ \text{acc } C, \text{stat } T, \text{mode} \} \cdot \text{mn}(\{ pTs' \} ps) \rangle_e \succ \{ G \rightarrow \}$
 $\langle \text{proof} \rangle$

lemma *eval-expression-no-jump'*:

assumes *eval*: $G \vdash s0 - e \succ v \rightarrow s1$
and *no-jmp*: $\text{abrupt } s0 \neq \text{Some } (\text{Jump } j)$
and *wt*: $\langle \text{prg} = G, \text{cls} = C, \text{lcl} = L \rangle \vdash e :: -T$
and *wf*: *wf-prog* *G*
shows $\text{abrupt } s1 \neq \text{Some } (\text{Jump } j)$
 $\langle \text{proof} \rangle$

To derive the most general formula for the loop statement, we need to come up with a proper loop invariant, which intuitively states that we are currently inside the evaluation of the loop. To define such an invariant, we unroll the loop in iterated evaluations of the expression and evaluations of the loop body.

definition *unroll* :: *prog* \Rightarrow *label* \Rightarrow *expr* \Rightarrow *stmt* \Rightarrow (*state* \times *state*) *set* **where**

$\text{unroll } G \text{ l e c} \equiv \{ (s, t). \exists v s1 s2.$
 $G \vdash s - e \succ v \rightarrow s1 \wedge \text{the-Bool } v \wedge \text{normal } s1 \wedge$
 $G \vdash s1 - c \rightarrow s2 \wedge t = (\text{abupd } (\text{absorb } (\text{Cont } l)) s2) \}$

lemma *unroll-while*:

assumes *unroll*: $(s, t) \in (\text{unroll } G \text{ l } e \text{ c})^*$
and *eval-e*: $G \vdash t \rightarrow e \rightarrow v \rightarrow s'$
and *normal-termination*: $\text{normal } s' \longrightarrow \neg \text{the-Bool } v$
and *wt*: $(\text{prg} = G, \text{cls} = C, \text{lcl} = L) \vdash e :: -T$
and *wf*: *wf-prog* G
shows $G \vdash s \rightarrow l \cdot \text{While}(e) \text{ c} \rightarrow s'$

<proof>

lemma *MGFn-Loop*:

assumes *mfg-e*: $G, (A :: \text{state triple set}) \vdash \{=:n\} \langle e \rangle_e \succ \{G \rightarrow\}$
and *mfg-c*: $G, A \vdash \{=:n\} \langle c \rangle_s \succ \{G \rightarrow\}$
and *wf*: *wf-prog* G
shows $G, A \vdash \{=:n\} \langle l \cdot \text{While}(e) \text{ c} \rangle_s \succ \{G \rightarrow\}$

<proof>

lemma *MGFn-FVar*:

fixes $A :: \text{state triple set}$
assumes *mfg-init*: $G, A \vdash \{=:n\} \langle \text{Init statDeclC} \rangle_s \succ \{G \rightarrow\}$
and *mfg-e*: $G, A \vdash \{=:n\} \langle e \rangle_e \succ \{G \rightarrow\}$
and *wf*: *wf-prog* G
shows $G, A \vdash \{=:n\} \langle \{ \text{accC}, \text{statDeclC}, \text{stat} \} e..fn \rangle_v \succ \{G \rightarrow\}$

<proof>

lemma *MGFn-Fin*:

assumes *wf*: *wf-prog* G
and *mfg-c1*: $G, A \vdash \{=:n\} \langle c1 \rangle_s \succ \{G \rightarrow\}$
and *mfg-c2*: $G, A \vdash \{=:n\} \langle c2 \rangle_s \succ \{G \rightarrow\}$
shows $G, (A :: \text{state triple set}) \vdash \{=:n\} \langle c1 \text{ Finally } c2 \rangle_s \succ \{G \rightarrow\}$

<proof>

lemma *Body-no-break*:

assumes *eval-init*: $G \vdash \text{Norm } s0 \rightarrow \text{Init } D \rightarrow s1$
and *eval-c*: $G \vdash s1 \rightarrow c \rightarrow s2$
and *jmpOk*: $\text{jumpNestingOkS } \{\text{Ret}\} \text{ c}$
and *wt-c*: $(\text{prg} = G, \text{cls} = C, \text{lcl} = L) \vdash c :: \checkmark$
and *clsD*: $\text{class } G \text{ D} = \text{Some } d$
and *wf*: *wf-prog* G
shows $\forall l. \text{abrupt } s2 \neq \text{Some } (\text{Jump } (\text{Break } l)) \wedge$
 $\text{abrupt } s2 \neq \text{Some } (\text{Jump } (\text{Cont } l))$

<proof>

lemma *MGFn-Body*:

assumes *wf*: *wf-prog* G
and *mfg-init*: $G, A \vdash \{=:n\} \langle \text{Init } D \rangle_s \succ \{G \rightarrow\}$
and *mfg-c*: $G, A \vdash \{=:n\} \langle c \rangle_s \succ \{G \rightarrow\}$
shows $G, (A :: \text{state triple set}) \vdash \{=:n\} \langle \text{Body } D \text{ c} \rangle_e \succ \{G \rightarrow\}$

<proof>

lemma *MGFn-lemma*:

assumes *mgf-methods*:

$\bigwedge n. \forall C \text{ sig}. G, (A::\text{state triple set}) \vdash \{=:n\} \langle \text{Methd } C \text{ sig} \rangle_e \succ \{G \rightarrow\}$

and *wf*: *wf-prog* *G*

shows $\bigwedge t. G, A \vdash \{=:n\} t \succ \{G \rightarrow\}$

<proof>

lemma *MGF-asm*:

$\llbracket \forall C \text{ sig}. \text{is-methd } G \ C \ \text{sig} \longrightarrow G, A \vdash \{=\} \text{In1l } (\text{Methd } C \ \text{sig}) \succ \{G \rightarrow\}; \text{wf-prog } G \rrbracket$

$\implies G, (A::\text{state triple set}) \vdash \{=\} t \succ \{G \rightarrow\}$

<proof>

nested version

lemma *nesting-lemma'* [*rule-format* (*no-asm*)]:

assumes *ax-derivs-asm*: $\bigwedge A \ ts. ts \subseteq A \implies P \ A \ ts$

and *MGF-nested-Methd*: $\bigwedge A \ pn. \forall b \in \text{bdy } pn. P \ (\text{insert } (\text{mgf-call } pn) \ A) \ \{\text{mgf } b\}$
 $\implies P \ A \ \{\text{mgf-call } pn\}$

and *MGF-asm*: $\bigwedge A \ t. \forall pn \in U. P \ A \ \{\text{mgf-call } pn\} \implies P \ A \ \{\text{mgf } t\}$

and *finU*: *finite* *U*

and *uA*: *uA* = *mgf-call* ' *U*

shows $\forall A. A \subseteq uA \longrightarrow n \leq \text{card } uA \longrightarrow \text{card } A = \text{card } uA - n$
 $\longrightarrow (\forall t. P \ A \ \{\text{mgf } t\})$

<proof>

lemma *nesting-lemma* [*rule-format* (*no-asm*)]:

assumes *ax-derivs-asm*: $\bigwedge A \ ts. ts \subseteq A \implies P \ A \ ts$

and *MGF-nested-Methd*: $\bigwedge A \ pn. \forall b \in \text{bdy } pn. P \ (\text{insert } (\text{mgf } (f \ pn)) \ A) \ \{\text{mgf } b\}$
 $\implies P \ A \ \{\text{mgf } (f \ pn)\}$

and *MGF-asm*: $\bigwedge A \ t. \forall pn \in U. P \ A \ \{\text{mgf } (f \ pn)\} \implies P \ A \ \{\text{mgf } t\}$

and *finU*: *finite* *U*

shows $P \ \{\} \ \{\text{mgf } t\}$

<proof>

lemma *MGF-nested-Methd*: \llbracket

$G, \text{insert } (\{\text{Normal } =\} \langle \text{Methd } C \ \text{sig} \rangle_e \succ \{G \rightarrow\}) \ A$

$\vdash \{\text{Normal } =\} \langle \text{body } G \ C \ \text{sig} \rangle_e \succ \{G \rightarrow\}$

$\rrbracket \implies G, A \vdash \{\text{Normal } =\} \langle \text{Methd } C \ \text{sig} \rangle_e \succ \{G \rightarrow\}$

<proof>

lemma *MGF-deriv*: *wf-prog* *G* $\implies G, (\{\}::\text{state triple set}) \vdash \{=\} t \succ \{G \rightarrow\}$

<proof>

simultaneous version

lemma *MGF-simult-Methd-lemma*: *finite* *ms* \implies

$G, A \cup (\lambda(C, \text{sig}). \{\text{Normal } =\} \langle \text{Methd } C \ \text{sig} \rangle_e \succ \{G \rightarrow\}) \ ' \ ms$

$\vdash (\lambda(C, \text{sig}). \{\text{Normal } =\} \langle \text{body } G \ C \ \text{sig} \rangle_e \succ \{G \rightarrow\}) \ ' \ ms \implies$

$G, A \vdash (\lambda(C, \text{sig}). \{\text{Normal } =\} \langle \text{Methd } C \ \text{sig} \rangle_e \succ \{G \rightarrow\}) \ ' \ ms$

<proof>

lemma *MGF-simult-Methd*: *wf-prog* *G* \implies

Chapter 25

AxExample

64 Example of a proof based on the Bali axiomatic semantics

```
theory AxExample
imports AxSem Example
begin
```

definition *arr-inv* :: *st* \Rightarrow *bool* **where**

$$\begin{aligned} \text{arr-inv} \equiv \lambda s. \exists \text{obj } a \ T \text{ el. } & \text{globs } s \ (\text{Stat } \text{Base}) = \text{Some } \text{obj} \wedge \\ & \text{values } \text{obj} \ (\text{Inl } (\text{arr}, \text{Base})) = \text{Some } (\text{Addr } a) \wedge \\ & \text{heap } s \ a = \text{Some } (\text{tag}=\text{Arr } T \ 2, \text{values}=\text{el}) \end{aligned}$$

lemma *arr-inv-new-obj*:

$$\bigwedge a. \llbracket \text{arr-inv } s; \text{new-Addr } (\text{heap } s) = \text{Some } a \rrbracket \Longrightarrow \text{arr-inv } (\text{gupd}(\text{Inl } a \mapsto x) \ s)$$

<proof>

lemma *arr-inv-set-locals* [*simp*]: *arr-inv* (*set-locals* *l s*) = *arr-inv s*

<proof>

lemma *arr-inv-gupd-Stat* [*simp*]:

$$\text{Base} \neq C \Longrightarrow \text{arr-inv } (\text{gupd}(\text{Stat } C \mapsto \text{obj}) \ s) = \text{arr-inv } s$$

<proof>

lemma *ax-inv-lupd* [*simp*]: *arr-inv* (*lupd*(*x* \mapsto *y*) *s*) = *arr-inv s*

<proof>

declare *split-if-asm* [*split del*]

declare *lvar-def* [*simp*]

<ML>

theorem *ax-test*: *tprg*,({*s* :: 'a triple set}) \vdash

$$\{ \text{Normal } (\lambda Y \ s \ Z :: 'a. \text{heap-free four } s \wedge \neg \text{initd } \text{Base } s \wedge \neg \text{initd } \text{Ext } s) \}$$

.test [*Class Base*].

$$\{ \lambda Y \ s \ Z. \text{abrupt } s = \text{Some } (\text{Xcpt } (\text{Std } \text{IndOutBound})) \}$$

<proof>

lemma *Loop-Xcpt-benchmark*:

$$Q = (\lambda Y \ (x, s) \ Z. x \neq \text{None} \longrightarrow \text{the-Bool } (\text{the } (\text{locals } s \ i))) \Longrightarrow$$

$$G, (\{s :: 'a \text{ triple set} \}) \vdash \{ \text{Normal } (\lambda Y \ s \ Z :: 'a. \text{True}) \}$$

$$\text{.lab1} \cdot \text{While}(\text{Lit } (\text{Bool } \text{True})) \ (\text{If}(\text{Acc } (\text{LVar } i)) \ (\text{Throw } (\text{Acc } (\text{LVar } \text{xcpt})))) \ \text{Else}$$

$$(\text{Expr } (\text{Ass } (\text{LVar } i) \ (\text{Acc } (\text{LVar } j)))). \{Q\}$$

<proof>

end