

# ZF

Steven Obua

June 21, 2010

```
theory HOLZF
imports Main
begin
```

```
typedecl ZF
```

```
axiomatization
```

```
  Empty :: ZF and
  Elem :: ZF  $\Rightarrow$  ZF  $\Rightarrow$  bool and
  Sum :: ZF  $\Rightarrow$  ZF and
  Power :: ZF  $\Rightarrow$  ZF and
  Repl :: ZF  $\Rightarrow$  (ZF  $\Rightarrow$  ZF)  $\Rightarrow$  ZF and
  Inf :: ZF
```

```
definition Upair :: ZF  $\Rightarrow$  ZF  $\Rightarrow$  ZF where
```

```
  Upair a b == Repl (Power (Power Empty)) (% x. if x = Empty then a else b)
```

```
definition Singleton:: ZF  $\Rightarrow$  ZF where
```

```
  Singleton x == Upair x x
```

```
definition union :: ZF  $\Rightarrow$  ZF  $\Rightarrow$  ZF where
```

```
  union A B == Sum (Upair A B)
```

```
definition SucNat:: ZF  $\Rightarrow$  ZF where
```

```
  SucNat x == union x (Singleton x)
```

```
definition subset :: ZF  $\Rightarrow$  ZF  $\Rightarrow$  bool where
```

```
  subset A B == ! x. Elem x A  $\longrightarrow$  Elem x B
```

```
axioms
```

```
  Empty: Not (Elem x Empty)
  Ext: (x = y) = (! z. Elem z x = Elem z y)
  Sum: Elem z (Sum x) = (? y. Elem z y & Elem y x)
  Power: Elem y (Power x) = (subset y x)
  Repl: Elem b (Repl A f) = (? a. Elem a A & b = f a)
  Regularity: A  $\neq$  Empty  $\longrightarrow$  (? x. Elem x A & (! y. Elem y x  $\longrightarrow$  Not (Elem y
```

A)))

*Infinity: Elem Empty Inf & (! x. Elem x Inf  $\longrightarrow$  Elem (SucNat x) Inf)*

**definition** *Sep* ::  $ZF \Rightarrow (ZF \Rightarrow \text{bool}) \Rightarrow ZF$  **where**

*Sep A p == (if (!x. Elem x A  $\longrightarrow$  Not (p x)) then Empty else  
(let z = ( $\epsilon$  x. Elem x A & p x) in  
let f = % x. (if p x then x else z) in Repl A f))*

**thm** *Power*[*unfolded subset-def*]

**theorem** *Sep*:  $\text{Elem } b (\text{Sep } A \text{ } p) = (\text{Elem } b \text{ } A \ \& \ p \ b)$

**apply** (*auto simp add: Sep-def Empty*)

**apply** (*auto simp add: Let-def Repl*)

**apply** (*rule someI2, auto*)

**done**

**lemma** *subset-empty*:  $\text{subset Empty } A$

**by** (*simp add: subset-def Empty*)

**theorem** *Upair*:  $\text{Elem } x (\text{Upair } a \text{ } b) = (x = a \mid x = b)$

**apply** (*auto simp add: Upair-def Repl*)

**apply** (*rule exI[where x=Empty]*)

**apply** (*simp add: Power subset-empty*)

**apply** (*rule exI[where x=Power Empty]*)

**apply** (*auto*)

**apply** (*auto simp add: Ext Power subset-def Empty*)

**apply** (*drule spec[where x=Empty], simp add: Empty*)

**done**

**lemma** *Singleton*:  $\text{Elem } x (\text{Singleton } y) = (x = y)$

**by** (*simp add: Singleton-def Upair*)

**definition** *Opair* ::  $ZF \Rightarrow ZF \Rightarrow ZF$  **where**

*Opair a b == Upair (Upair a a) (Upair a b)*

**lemma** *Upair-singleton*:  $(\text{Upair } a \text{ } a = \text{Upair } c \text{ } d) = (a = c \ \& \ a = d)$

**by** (*auto simp add: Ext[where x=Upair a a] Upair*)

**lemma** *Upair-fsteg*:  $(\text{Upair } a \text{ } b = \text{Upair } a \text{ } c) = ((a = b \ \& \ a = c) \mid (b = c))$

**by** (*auto simp add: Ext[where x=Upair a b] Upair*)

**lemma** *Upair-comm*:  $\text{Upair } a \text{ } b = \text{Upair } b \text{ } a$

**by** (*auto simp add: Ext Upair*)

**theorem** *Opair*:  $(\text{Opair } a \text{ } b = \text{Opair } c \text{ } d) = (a = c \ \& \ b = d)$

**proof** –

**have** *fst*:  $(\text{Opair } a \text{ } b = \text{Opair } c \text{ } d) \implies a = c$

**apply** (*simp add: Opair-def*)

**apply** (*simp add: Ext[where x=Upair (Upair a a) (Upair a b)]*)

```

    apply (drule spec[where x=Upair a a])
    apply (auto simp add: Upair Upair-singleton)
  done
show ?thesis
  apply (auto)
  apply (erule fst)
  apply (frule fst)
  apply (auto simp add: Opair-def Upair-fsteq)
  done
qed

definition Replacement :: ZF  $\Rightarrow$  (ZF  $\Rightarrow$  ZF option)  $\Rightarrow$  ZF where
  Replacement A f == Repl (Sep A (% a. f a  $\neq$  None)) (the o f)

theorem Replacement: Elem y (Replacement A f) = (? x. Elem x A & f x = Some
y)
  by (auto simp add: Replacement-def Repl Sep)

definition Fst :: ZF  $\Rightarrow$  ZF where
  Fst q == SOME x. ? y. q = Opair x y

definition Snd :: ZF  $\Rightarrow$  ZF where
  Snd q == SOME y. ? x. q = Opair x y

theorem Fst: Fst (Opair x y) = x
  apply (simp add: Fst-def)
  apply (rule someI2)
  apply (simp-all add: Opair)
  done

theorem Snd: Snd (Opair x y) = y
  apply (simp add: Snd-def)
  apply (rule someI2)
  apply (simp-all add: Opair)
  done

definition isOpair :: ZF  $\Rightarrow$  bool where
  isOpair q == ? x y. q = Opair x y

lemma isOpair: isOpair (Opair x y) = True
  by (auto simp add: isOpair-def)

lemma FstSnd: isOpair x  $\implies$  Opair (Fst x) (Snd x) = x
  by (auto simp add: isOpair-def Fst Snd)

definition CartProd :: ZF  $\Rightarrow$  ZF  $\Rightarrow$  ZF where
  CartProd A B == Sum(Repl A (% a. Repl B (% b. Opair a b)))

lemma CartProd: Elem x (CartProd A B) = (? a b. Elem a A & Elem b B & x

```

```

= (Opair a b))
  apply (auto simp add: CartProd-def Sum Repl)
  apply (rule-tac x=Repl B (Opair a) in exI)
  apply (auto simp add: Repl)
done

```

**definition** *explode* ::  $ZF \Rightarrow ZF$  **where**  
*explode*  $z == \{ x. \text{Elem } x \ z \}$

**lemma** *explode-Empty*:  $(\text{explode } x = \{\}) = (x = \text{Empty})$   
**by** (auto simp add: explode-def Ext Empty)

**lemma** *explode-Elem*:  $(x \in \text{explode } X) = (\text{Elem } x \ X)$   
**by** (simp add: explode-def)

**lemma** *Elem-explode-in*:  $\llbracket \text{Elem } a \ A; \text{explode } A \subseteq B \rrbracket \implies a \in B$   
**by** (auto simp add: explode-def)

**lemma** *explode-CartProd-eq*:  $\text{explode } (\text{CartProd } a \ b) = (\% (x,y). \text{Opair } x \ y) \text{ ` } ((\text{explode } a) \times (\text{explode } b))$   
**by** (simp add: explode-def expand-set-eq CartProd image-def)

**lemma** *explode-Repl-eq*:  $\text{explode } (\text{Repl } A \ f) = \text{image } f \ (\text{explode } A)$   
**by** (simp add: explode-def Repl image-def)

**definition** *Domain* ::  $ZF \Rightarrow ZF$  **where**  
*Domain*  $f == \text{Replacement } f \ (\% p. \text{if isOpair } p \text{ then Some } (Fst \ p) \text{ else None})$

**definition** *Range* ::  $ZF \Rightarrow ZF$  **where**  
*Range*  $f == \text{Replacement } f \ (\% p. \text{if isOpair } p \text{ then Some } (Snd \ p) \text{ else None})$

**theorem** *Domain*:  $\text{Elem } x \ (\text{Domain } f) = (? y. \text{Elem } (\text{Opair } x \ y) \ f)$   
**apply** (auto simp add: Domain-def Replacement)  
**apply** (rule-tac x=Snd x in exI)  
**apply** (simp add: FstSnd)  
**apply** (rule-tac x=Opair x y in exI)  
**apply** (simp add: isOpair Fst)  
**done**

**theorem** *Range*:  $\text{Elem } y \ (\text{Range } f) = (? x. \text{Elem } (\text{Opair } x \ y) \ f)$   
**apply** (auto simp add: Range-def Replacement)  
**apply** (rule-tac x=Fst x in exI)  
**apply** (simp add: FstSnd)  
**apply** (rule-tac x=Opair x y in exI)  
**apply** (simp add: isOpair Snd)  
**done**

**theorem** *union*:  $\text{Elem } x \ (\text{union } A \ B) = (\text{Elem } x \ A \mid \text{Elem } x \ B)$   
**by** (auto simp add: union-def Sum Upair)

```

definition Field ::  $ZF \Rightarrow ZF$  where
  Field A == union (Domain A) (Range A)

definition app ::  $ZF \Rightarrow ZF \Rightarrow ZF$  (infixl ' 90) — function application where
  f ' x == (THE y. Elem (Opair x y) f)

definition isFun ::  $ZF \Rightarrow bool$  where
  isFun f == (! x y1 y2. Elem (Opair x y1) f & Elem (Opair x y2) f  $\longrightarrow$  y1 = y2)

definition Lambda ::  $ZF \Rightarrow (ZF \Rightarrow ZF) \Rightarrow ZF$  where
  Lambda A f == Repl A (% x. Opair x (f x))

lemma Lambda-app:  $Elem\ x\ A \implies (Lambda\ A\ f)\ 'x = f\ x$ 
by (simp add: app-def Lambda-def Repl Opair)

lemma isFun-Lambda: isFun (Lambda A f)
by (auto simp add: isFun-def Lambda-def Repl Opair)

lemma domain-Lambda: Domain (Lambda A f) = A
apply (auto simp add: Domain-def)
apply (subst Ext)
apply (auto simp add: Replacement)
apply (simp add: Lambda-def Repl)
apply (auto simp add: Fst)
apply (simp add: Lambda-def Repl)
apply (rule-tac x=Opair z (f z) in exI)
apply (auto simp add: Fst isOpair-def)
done

lemma Lambda-ext:  $(Lambda\ s\ f = Lambda\ t\ g) = (s = t \ \& \ (!\ x. Elem\ x\ s \longrightarrow f\ x = g\ x))$ 
proof —
  have Lambda s f = Lambda t g  $\implies s = t$ 
    apply (subst domain-Lambda[where A = s and f = f, symmetric])
    apply (subst domain-Lambda[where A = t and f = g, symmetric])
    apply auto
  done
  then show ?thesis
    apply auto
    apply (subst Lambda-app[where f=f, symmetric], simp)
    apply (subst Lambda-app[where f=g, symmetric], simp)
    apply auto
    apply (auto simp add: Lambda-def Repl Ext)
    apply (auto simp add: Ext[symmetric])
  done
qed

```

**definition**  $PFun :: ZF \Rightarrow ZF \Rightarrow ZF$  **where**

$PFun\ A\ B == Sep\ (Power\ (CartProd\ A\ B))\ isFun$

**definition**  $Fun :: ZF \Rightarrow ZF \Rightarrow ZF$  **where**

$Fun\ A\ B == Sep\ (PFun\ A\ B)\ (\lambda\ f.\ Domain\ f = A)$

**lemma** *Fun-Range*:  $Elem\ f\ (Fun\ U\ V) \implies subset\ (Range\ f)\ V$

**apply** (*simp add: Fun-def Sep PFun-def Power subset-def CartProd*)

**apply** (*auto simp add: Domain Range*)

**apply** (*erule-tac x=Opair xa x in allE*)

**apply** (*auto simp add: Opair*)

**done**

**lemma** *Elem-Elem-PFun*:  $Elem\ F\ (PFun\ U\ V) \implies Elem\ p\ F \implies isOpair\ p\ \&\ Elem\ (Fst\ p)\ U\ \&\ Elem\ (Snd\ p)\ V$

**apply** (*simp add: PFun-def Sep Power subset-def, clarify*)

**apply** (*erule-tac x=p in allE*)

**apply** (*auto simp add: CartProd isOpair Fst Snd*)

**done**

**lemma** *Fun-implies-PFun[simp]*:  $Elem\ f\ (Fun\ U\ V) \implies Elem\ f\ (PFun\ U\ V)$

**by** (*simp add: Fun-def Sep*)

**lemma** *Elem-Elem-Fun*:  $Elem\ F\ (Fun\ U\ V) \implies Elem\ p\ F \implies isOpair\ p\ \&\ Elem\ (Fst\ p)\ U\ \&\ Elem\ (Snd\ p)\ V$

**by** (*auto simp add: Elem-Elem-PFun dest: Fun-implies-PFun*)

**lemma** *PFun-inj*:  $Elem\ F\ (PFun\ U\ V) \implies Elem\ x\ F \implies Elem\ y\ F \implies Fst\ x = Fst\ y \implies Snd\ x = Snd\ y$

**apply** (*frule Elem-Elem-PFun[where p=x], simp*)

**apply** (*frule Elem-Elem-PFun[where p=y], simp*)

**apply** (*subgoal-tac isFun F*)

**apply** (*simp add: isFun-def isOpair-def*)

**apply** (*auto simp add: Fst Snd, blast*)

**apply** (*auto simp add: PFun-def Sep*)

**done**

**lemma** *Fun-total*:  $\llbracket Elem\ F\ (Fun\ U\ V); Elem\ a\ U \rrbracket \implies \exists x. Elem\ (Opair\ a\ x)\ F$

**using**  $\llbracket simp-depth-limit = 2 \rrbracket$

**by** (*auto simp add: Fun-def Sep Domain*)

**lemma** *unique-fun-value*:  $\llbracket isFun\ f; Elem\ x\ (Domain\ f) \rrbracket \implies \exists! y. Elem\ (Opair\ x\ y)\ f$

**by** (*auto simp add: Domain isFun-def*)

**lemma** *fun-value-in-range*:  $\llbracket isFun\ f; Elem\ x\ (Domain\ f) \rrbracket \implies Elem\ (f\ 'x)\ (Range\ f)$

**apply** (*auto simp add: Range*)

```

apply (drule unique-fun-value)
apply simp
apply (simp add: app-def)
apply (rule exI[where  $x=x$ ])
apply (auto simp add: the-equality)
done

lemma fun-range-witness:  $\llbracket \text{isFun } f; \text{Elem } y \text{ (Range } f) \rrbracket \implies ?x. \text{Elem } x \text{ (Domain } f) \ \& \ f'x = y$ 
apply (auto simp add: Range)
apply (rule-tac  $x=x$  in exI)
apply (auto simp add: app-def the-equality isFun-def Domain)
done

lemma Elem-Fun-Lambda:  $\text{Elem } F \text{ (Fun } U \ V) \implies ?f. F = \text{Lambda } U \ f$ 
apply (rule exI[where  $x = \% x. (\text{THE } y. \text{Elem } (\text{Opair } x \ y) \ F)$ ])
apply (simp add: Ext Lambda-def Repl Domain)
apply (simp add: Ext[symmetric])
apply auto
apply (frule Elem-Elem-Fun)
apply auto
apply (rule-tac  $x=\text{Fst } z$  in exI)
apply (simp add: isOpair-def)
apply (auto simp add: Fst Snd Opair)
apply (rule the1I2)
apply auto
apply (drule Fun-implies-PFun)
apply (drule-tac  $x=\text{Opair } x \ ya$  and  $y=\text{Opair } x \ yb$  in PFun-inj)
apply (auto simp add: Fst Snd)
apply (drule Fun-implies-PFun)
apply (drule-tac  $x=\text{Opair } x \ y$  and  $y=\text{Opair } x \ ya$  in PFun-inj)
apply (auto simp add: Fst Snd)
apply (rule the1I2)
apply (auto simp add: Fun-total)
apply (drule Fun-implies-PFun)
apply (drule-tac  $x=\text{Opair } a \ x$  and  $y=\text{Opair } a \ y$  in PFun-inj)
apply (auto simp add: Fst Snd)
done

lemma Elem-Lambda-Fun:  $\text{Elem } (\text{Lambda } A \ f) \text{ (Fun } U \ V) = (A = U \ \& \ (!x. \text{Elem } x \ A \longrightarrow \text{Elem } (f \ x) \ V))$ 
proof –
have  $\text{Elem } (\text{Lambda } A \ f) \text{ (Fun } U \ V) \implies A = U$ 
by (simp add: Fun-def Sep domain-Lambda)
then show ?thesis
apply auto
apply (drule Fun-Range)
apply (subgoal-tac  $f \ x = ((\text{Lambda } U \ f) ' x)$ )
prefer 2

```

```

    apply (simp add: Lambda-app)
    apply simp
    apply (subgoal-tac Elem (Lambda U f ' x) (Range (Lambda U f)))
    apply (simp add: subset-def)
    apply (rule fun-value-in-range)
    apply (simp-all add: isFun-Lambda domain-Lambda)
    apply (simp add: Fun-def Sep PFun-def Power domain-Lambda isFun-Lambda)
    apply (auto simp add: subset-def CartProd)
    apply (rule-tac x=Fst x in exI)
    apply (auto simp add: Lambda-def Repl Fst)
  done
qed

```

**definition** *is-Elem-of* :: (ZF \* ZF) set **where**  
*is-Elem-of* == { (a,b) | a b. Elem a b }

**lemma** *cond-wf-Elem*:

```

  assumes hyps:  $\forall x. (\forall y. Elem\ y\ x \longrightarrow Elem\ y\ U \longrightarrow P\ y) \longrightarrow Elem\ x\ U \longrightarrow P\ x$ 
  shows P a
  proof -
    {
      fix P
      fix U
      fix a
      assume P-induct:  $(\forall x. (\forall y. Elem\ y\ x \longrightarrow Elem\ y\ U \longrightarrow P\ y) \longrightarrow (Elem\ x\ U \longrightarrow P\ x))$ 
      assume a-in-U: Elem a U
      have P a
      proof -
        term P
        term Sep
        let ?Z = Sep U (Not o P)
        have ?Z = Empty  $\longrightarrow P\ a$  by (simp add: Ext Sep Empty a-in-U)
        moreover have ?Z  $\neq$  Empty  $\longrightarrow$  False
        proof
          assume not-empty: ?Z  $\neq$  Empty
          note thereis-x = Regularity[where A=?Z, simplified not-empty, simplified]
          then obtain x where x-def: Elem x ?Z & (! y. Elem y x  $\longrightarrow$  Not (Elem y ?Z)) ..
          then have x-induct: ! y. Elem y x  $\longrightarrow$  Elem y U  $\longrightarrow$  P y by (simp add: Sep)
          have Elem x U  $\longrightarrow$  P x
          by (rule impE[OF spec[OF P-induct, where x=x], OF x-induct], assumption)
          moreover have Elem x U & Not(P x)
          apply (insert x-def)
          apply (simp add: Sep)

```



```

      done
      ultimately show False by auto
    qed
    ultimately show P a by auto
  qed
}
with hyps show ?thesis by blast
qed

lemma cond2-wf-Elem:
  assumes
    special-P:  $? U. ! x. \text{Not}(\text{Elem } x \ U) \longrightarrow (P \ x)$ 
    and P-induct:  $\forall x. (\forall y. \text{Elem } y \ x \longrightarrow P \ y) \longrightarrow P \ x$ 
  shows
    P a
  proof -
    have  $? U \ Q. P = (\lambda x. (\text{Elem } x \ U \longrightarrow Q \ x))$ 
    proof -
      from special-P obtain U where  $U: ! x. \text{Not}(\text{Elem } x \ U) \longrightarrow (P \ x) ..$ 
      show ?thesis
        apply (rule-tac exI[where x=U])
        apply (rule exI[where x=P])
        apply (rule ext)
        apply (auto simp add: U)
      done
    qed
    then obtain U where  $? Q. P = (\lambda x. (\text{Elem } x \ U \longrightarrow Q \ x)) ..$ 
    then obtain Q where  $UQ: P = (\lambda x. (\text{Elem } x \ U \longrightarrow Q \ x)) ..$ 
    show ?thesis
      apply (auto simp add: UQ)
      apply (rule cond-wf-Elem)
      apply (rule P-induct[simplified UQ])
      apply simp
    done
  qed

consts
  nat2Nat :: nat  $\Rightarrow$  ZF

primrec
  nat2Nat-0[intro]: nat2Nat 0 = Empty
  nat2Nat-Suc[intro]: nat2Nat (Suc n) = SucNat (nat2Nat n)

definition Nat2nat :: ZF  $\Rightarrow$  nat where
  Nat2nat == inv nat2Nat

lemma Elem-nat2Nat-inf[intro]: Elem (nat2Nat n) Inf
  apply (induct n)
  apply (simp-all add: Infinity)

```

```

done

definition Nat :: ZF
  where Nat == Sep Inf (λ N. ? n. nat2Nat n = N)

lemma Elem-nat2Nat-Nat[intro]: Elem (nat2Nat n) Nat
  by (auto simp add: Nat-def Sep)

lemma Elem-Empty-Nat: Elem Empty Nat
  by (auto simp add: Nat-def Sep Infinity)

lemma Elem-SucNat-Nat: Elem N Nat  $\implies$  Elem (SucNat N) Nat
  by (auto simp add: Nat-def Sep Infinity)

lemma no-infinite-Elem-down-chain:
  Not (? f. isFun f & Domain f = Nat & (! N. Elem N Nat  $\longrightarrow$  Elem (f' (SucNat
N)) (f' N)))
proof -
  {
    fix f
    assume f:isFun f & Domain f = Nat & (! N. Elem N Nat  $\longrightarrow$  Elem (f' (SucNat
N)) (f' N))
    let ?r = Range f
    have ?r  $\neq$  Empty
      apply (auto simp add: Ext Empty)
      apply (rule exI[where x=f' Empty])
      apply (rule fun-value-in-range)
      apply (auto simp add: f Elem-Empty-Nat)
    done
    then have ? x. Elem x ?r & (! y. Elem y x  $\longrightarrow$  Not(Elem y ?r))
      by (simp add: Regularity)
    then obtain x where x: Elem x ?r & (! y. Elem y x  $\longrightarrow$  Not(Elem y ?r)) ..
    then have ? N. Elem N (Domain f) & f' N = x
      apply (rule-tac fun-range-witness)
      apply (simp-all add: f)
    done
    then have ? N. Elem N Nat & f' N = x
      by (simp add: f)
    then obtain N where N: Elem N Nat & f' N = x ..
    from N have N': Elem N Nat by auto
    let ?y = f' (SucNat N)
    have Elem-y-r: Elem ?y ?r
      by (simp-all add: f Elem-SucNat-Nat N fun-value-in-range)
    have Elem ?y (f' N) by (auto simp add: f N')
    then have Elem ?y x by (simp add: N)
    with x have Not (Elem ?y ?r) by auto
    with Elem-y-r have False by auto
  }
then show ?thesis by auto

```

qed

**lemma** *Upair-nonEmpty*:  $Upair\ a\ b \neq Empty$   
**by** (*auto simp add: Ext Empty Upair*)

**lemma** *Singleton-nonEmpty*:  $Singleton\ x \neq Empty$   
**by** (*auto simp add: Singleton-def Upair-nonEmpty*)

**lemma** *notsym-Elem*:  $Not(Elem\ a\ b \ \&\ Elem\ b\ a)$

**proof** –

```
{
  fix a b
  assume ab: Elem a b
  assume ba: Elem b a
  let ?Z = Upair a b
  have ?Z ≠ Empty by (simp add: Upair-nonEmpty)
  then have ? x. Elem x ?Z & (! y. Elem y x ⟶ Not(Elem y ?Z))
    by (simp add: Regularity)
  then obtain x where x:Elem x ?Z & (! y. Elem y x ⟶ Not(Elem y ?Z)) ..
  then have x = a ∨ x = b by (simp add: Upair)
  moreover have x = a ⟶ Not (Elem b ?Z)
    by (auto simp add: x ba)
  moreover have x = b ⟶ Not (Elem a ?Z)
    by (auto simp add: x ab)
  ultimately have False
    by (auto simp add: Upair)
}
```

**then show** *?thesis* **by** *auto*

qed

**lemma** *irreflexiv-Elem*:  $Not(Elem\ a\ a)$   
**by** (*simp add: notsym-Elem[of a a, simplified]*)

**lemma** *antisym-Elem*:  $Elem\ a\ b \implies Not\ (Elem\ b\ a)$   
**apply** (*insert notsym-Elem[of a b]*)  
**apply** *auto*  
**done**

**consts**

*NatInterval* ::  $nat \Rightarrow nat \Rightarrow ZF$

**primrec**

*NatInterval*  $n\ 0 = Singleton\ (nat2Nat\ n)$

*NatInterval*  $n\ (Suc\ m) = union\ (NatInterval\ n\ m)\ (Singleton\ (nat2Nat\ (n+m+1)))$

**lemma** *n-Elem-NatInterval[rule-format]*:  $! q. q \leq m \longrightarrow Elem\ (nat2Nat\ (n+q))$   
 $(NatInterval\ n\ m)$   
**apply** (*induct m*)  
**apply** (*auto simp add: Singleton union*)

```

apply (case-tac q <= m)
apply auto
apply (subgoal-tac q = Suc m)
apply auto
done

lemma NatInterval-not-Empty: NatInterval n m ≠ Empty
  by (auto intro: n-Elem-NatInterval[where q = 0, simplified] simp add: Empty
    Ext)

lemma increasing-nat2Nat[rule-format]: 0 < n → Elem (nat2Nat (n - 1))
  (nat2Nat n)
  apply (case-tac ? m. n = Suc m)
  apply (auto simp add: SucNat-def union Singleton)
  apply (drule spec[where x=n - 1])
  apply arith
  done

lemma represent-NatInterval[rule-format]: Elem x (NatInterval n m) → (? u. n
  ≤ u & u ≤ n+m & nat2Nat u = x)
  apply (induct m)
  apply (auto simp add: Singleton union)
  apply (rule-tac x=Suc (n+m) in exI)
  apply auto
  done

lemma inj-nat2Nat: inj nat2Nat
proof -
  {
    fix n m :: nat
    assume nm: nat2Nat n = nat2Nat (n+m)
    assume mg0: 0 < m
    let ?Z = NatInterval n m
    have ?Z ≠ Empty by (simp add: NatInterval-not-Empty)
    then have ? x. (Elem x ?Z) & (! y. Elem y x → Not (Elem y ?Z))
      by (auto simp add: Regularity)
    then obtain x where x:Elem x ?Z & (! y. Elem y x → Not (Elem y ?Z)) ..
    then have ? u. n ≤ u & u ≤ n+m & nat2Nat u = x
      by (simp add: represent-NatInterval)
    then obtain u where u: n ≤ u & u ≤ n+m & nat2Nat u = x ..
    have n < u → False
    proof
      assume n-less-u: n < u
      let ?y = nat2Nat (u - 1)
      have Elem ?y (nat2Nat u)
        apply (rule increasing-nat2Nat)
        apply (insert n-less-u)
        apply arith
      done

```

```

with u have Elem ?y x by auto
with x have Not (Elem ?y ?Z) by auto
moreover have Elem ?y ?Z
  apply (insert n-Elem-NatInterval[where q = u - n - 1 and n=n and
m=m])
  apply (insert n-less-u)
  apply (insert u)
  apply auto
  done
ultimately show False by auto
qed
moreover have u = n → False
proof
  assume u = n
  with u have nat2Nat n = x by auto
  then have nm-eq-x: nat2Nat (n+m) = x by (simp add: nm)
  let ?y = nat2Nat (n+m - 1)
  have Elem ?y (nat2Nat (n+m))
    apply (rule increasing-nat2Nat)
    apply (insert mg0)
    apply arith
  done
  with nm-eq-x have Elem ?y x by auto
  with x have Not (Elem ?y ?Z) by auto
  moreover have Elem ?y ?Z
    apply (insert n-Elem-NatInterval[where q = m - 1 and n=n and m=m])
    apply (insert mg0)
    apply auto
  done
  ultimately show False by auto
qed
ultimately have False using u by arith
}
note lemma-nat2Nat = this
have th:  $\bigwedge x y. \neg (x < y \wedge (\forall (m::nat). y \neq x + m))$  by presburger
have th':  $\bigwedge x y. \neg (x \neq y \wedge (\neg x < y) \wedge (\forall (m::nat). x \neq y + m))$  by presburger
show ?thesis
  apply (auto simp add: inj-on-def)
  apply (case-tac x = y)
  apply auto
  apply (case-tac x < y)
  apply (case-tac ? m. y = x + m & 0 < m)
  apply (auto intro: lemma-nat2Nat)
  apply (case-tac y < x)
  apply (case-tac ? m. x = y + m & 0 < m)
  apply simp
  apply simp
  using th apply blast
  apply (case-tac ? m. x = y + m)

```

```

    apply (auto intro: lemma-nat2Nat)
    apply (drule sym)
    using lemma-nat2Nat apply blast
    using th' apply blast
  done
qed

lemma Nat2nat-nat2Nat[simp]: Nat2nat (nat2Nat n) = n
  by (simp add: Nat2nat-def inv-f-f[OF inj-nat2Nat])

lemma nat2Nat-Nat2nat[simp]: Elem n Nat  $\implies$  nat2Nat (Nat2nat n) = n
  apply (simp add: Nat2nat-def)
  apply (rule-tac f-inv-into-f)
  apply (auto simp add: image-def Nat-def Sep)
  done

lemma Nat2nat-SucNat: Elem N Nat  $\implies$  Nat2nat (SucNat N) = Suc (Nat2nat N)
  apply (auto simp add: Nat-def Sep Nat2nat-def)
  apply (auto simp add: inv-f-f[OF inj-nat2Nat])
  apply (simp only: nat2Nat.simps[symmetric])
  apply (simp only: inv-f-f[OF inj-nat2Nat])
  done

lemma Elem-Opair-exists: ? z. Elem x z & Elem y z & Elem z (Opair x y)
  apply (rule exI[where x=Upair x y])
  by (simp add: Upair Opair-def)

lemma UNIV-is-not-in-ZF: UNIV  $\neq$  explode R
proof
  let ?Russell = { x. Not(Elem x x) }
  have ?Russell = UNIV by (simp add: irreflexiv-Elem)
  moreover assume UNIV = explode R
  ultimately have russell: ?Russell = explode R by simp
  then show False
  proof(cases Elem R R)
    case True
    then show ?thesis
    by (insert irreflexiv-Elem, auto)
  next
    case False
    then have R  $\in$  ?Russell by auto
    then have Elem R R by (simp add: russell explode-def)
    with False show ?thesis by auto
  qed
qed

```

**definition** *SpecialR* :: (ZF \* ZF) set **where**  
*SpecialR*  $\equiv \{ (x, y) . x \neq \text{Empty} \wedge y = \text{Empty} \}$

**lemma** *wf SpecialR*  
**apply** (*subst wf-def*)  
**apply** (*auto simp add: SpecialR-def*)  
**done**

**definition** *Ext* :: ('a \* 'b) set  $\Rightarrow$  'b  $\Rightarrow$  'a set **where**  
*Ext* *R* *y*  $\equiv \{ x . (x, y) \in R \}$

**lemma** *Ext-Elem*: *Ext is-Elem-of* = *explode*  
**by** (*auto intro: ext simp add: Ext-def is-Elem-of-def explode-def*)

**lemma** *Ext SpecialR Empty*  $\neq$  *explode* *z*  
**proof**  
**have** *Ext SpecialR Empty* = *UNIV* - {*Empty*}  
**by** (*auto simp add: Ext-def SpecialR-def*)  
**moreover assume** *Ext SpecialR Empty* = *explode* *z*  
**ultimately have** *UNIV* = *explode*(*union* *z* (*Singleton Empty*))  
**by** (*auto simp add: explode-def union Singleton*)  
**then show** *False* **by** (*simp add: UNIV-is-not-in-ZF*)  
**qed**

**definition** *implode* :: ZF set  $\Rightarrow$  ZF **where**  
*implode* == *inv explode*

**lemma** *inj-explode*: *inj explode*  
**by** (*auto simp add: inj-on-def explode-def Ext*)

**lemma** *implode-explode[simp]*: *implode* (*explode* *x*) = *x*  
**by** (*simp add: implode-def inj-explode*)

**definition** *regular* :: (ZF \* ZF) set  $\Rightarrow$  bool **where**  
*regular* *R* == ! *A*. *A*  $\neq$  *Empty*  $\longrightarrow$  (? *x*. *Elem* *x* *A* & (! *y*. (*y*, *x*)  $\in$  *R*  $\longrightarrow$  Not (*Elem* *y* *A*)))

**definition** *set-like* :: (ZF \* ZF) set  $\Rightarrow$  bool **where**  
*set-like* *R* == ! *y*. *Ext* *R* *y*  $\in$  *range explode*

**definition** *wfzf* :: (ZF \* ZF) set  $\Rightarrow$  bool **where**  
*wfzf* *R* == *regular* *R* & *set-like* *R*

**lemma** *regular-Elem*: *regular is-Elem-of*  
**by** (*simp add: regular-def is-Elem-of-def Regularity*)

**lemma** *set-like-Elem*: *set-like is-Elem-of*  
**by** (*auto simp add: set-like-def image-def Ext-Elem*)

**lemma** *wfzf-is-Elem-of*: *wfzf is-Elem-of*  
**by** (*auto simp add: wfzf-def regular-Elem set-like-Elem*)

**definition** *SeqSum* ::  $(\text{nat} \Rightarrow \text{ZF}) \Rightarrow \text{ZF}$  **where**  
*SeqSum f* == *Sum (Repl Nat (f o Nat2nat))*

**lemma** *SeqSum*: *Elem x (SeqSum f) = (? n. Elem x (f n))*  
**apply** (*auto simp add: SeqSum-def Sum Repl*)  
**apply** (*rule-tac x = f n in exI*)  
**apply** *auto*  
**done**

**definition** *Ext-ZF* ::  $(\text{ZF} * \text{ZF}) \text{ set} \Rightarrow \text{ZF} \Rightarrow \text{ZF}$  **where**  
*Ext-ZF R s* == *implode (Ext R s)*

**lemma** *Elem-implode*:  $A \in \text{range explode} \implies \text{Elem } x (\text{implode } A) = (x \in A)$   
**apply** (*auto*)  
**apply** (*simp-all add: explode-def*)  
**done**

**lemma** *Elem-Ext-ZF*: *set-like R  $\implies \text{Elem } x (\text{Ext-ZF R s}) = ((x, s) \in R)$*   
**apply** (*simp add: Ext-ZF-def*)  
**apply** (*subst Elem-implode*)  
**apply** (*simp add: set-like-def*)  
**apply** (*simp add: Ext-def*)  
**done**

**consts**  
*Ext-ZF-n* ::  $(\text{ZF} * \text{ZF}) \text{ set} \Rightarrow \text{ZF} \Rightarrow \text{nat} \Rightarrow \text{ZF}$

**primrec**  
*Ext-ZF-n R s 0* = *Ext-ZF R s*  
*Ext-ZF-n R s (Suc n)* = *Sum (Repl (Ext-ZF-n R s n) (Ext-ZF R))*

**definition** *Ext-ZF-hull* ::  $(\text{ZF} * \text{ZF}) \text{ set} \Rightarrow \text{ZF} \Rightarrow \text{ZF}$  **where**  
*Ext-ZF-hull R s* == *SeqSum (Ext-ZF-n R s)*

**lemma** *Elem-Ext-ZF-hull*:  
**assumes** *set-like-R*: *set-like R*  
**shows** *Elem x (Ext-ZF-hull R S) = (? n. Elem x (Ext-ZF-n R S n))*  
**by** (*simp add: Ext-ZF-hull-def SeqSum*)

**lemma** *Elem-Elem-Ext-ZF-hull*:  
**assumes** *set-like-R*: *set-like R*  
**and** *x-hull*: *Elem x (Ext-ZF-hull R S)*  
**and** *y-R-x*:  $(y, x) \in R$   
**shows** *Elem y (Ext-ZF-hull R S)*  
**proof** –



```

from Elem-Ext-ZF-hull[OF set-like-R] x-hull
have ? n. Elem x (Ext-ZF-n R S n) by auto
then obtain n where n:Elem x (Ext-ZF-n R S n) ..
with y-R-x have Elem y (Ext-ZF-n R S (Suc n))
  apply (auto simp add: Repl Sum)
  apply (rule-tac x=Ext-ZF R x in exI)
  apply (auto simp add: Elem-Ext-ZF[OF set-like-R])
  done
with Elem-Ext-ZF-hull[OF set-like-R, where x=y] show ?thesis
  by (auto simp del: Ext-ZF-n.simps)
qed

```

```

lemma wfzf-minimal:
  assumes hyps: wfzf R C  $\neq \{\}$ 
  shows  $\exists x. x \in C \wedge (\forall y. (y, x) \in R \longrightarrow y \notin C)$ 
proof -
  from hyps have  $\exists S. S \in C$  by auto
  then obtain S where S:S  $\in C$  by auto
  let ?T = Sep (Ext-ZF-hull R S) ( $\lambda s. s \in C$ )
  from hyps have set-like-R: set-like R by (simp add: wfzf-def)
  show ?thesis
  proof (cases ?T = Empty)
    case True
    then have  $\forall z. \neg (Elem\ z\ (Sep\ (Ext-ZF\ R\ S)\ (\lambda s. s \in C)))$ 
      apply (auto simp add: Ext Empty Sep Ext-ZF-hull-def SeqSum)
      apply (erule-tac x=z in allE, auto)
      apply (erule-tac x=0 in allE, auto)
      done
    then show ?thesis
      apply (rule-tac exI[where x=S])
      apply (auto simp add: Sep Empty S)
      apply (erule-tac x=y in allE)
      apply (simp add: set-like-R Elem-Ext-ZF)
      done
  next
    case False
    from hyps have regular-R: regular R by (simp add: wfzf-def)
    from
      regular-R[simplified regular-def, rule-format, OF False, simplified Sep]
      Elem-Elem-Ext-ZF-hull[OF set-like-R]
    show ?thesis by blast
  qed
qed

```

```

lemma wfzf-implies-wf: wfzf R  $\implies$  wf R
proof (subst wf-def, rule allI)
  assume wfzf: wfzf R
  fix P :: ZF  $\Rightarrow$  bool
  let ?C = {x. P x}

```

```

{
  assume induct:  $(\forall x. (\forall y. (y, x) \in R \longrightarrow P y) \longrightarrow P x)$ 
  let ?C =  $\{x. \neg (P x)\}$ 
  have ?C = {}
  proof (rule ccontr)
    assume C:  $?C \neq \{\}$ 
    from
      wfzf-minimal[OF wfzf C]
    obtain x where  $x: x \in ?C \wedge (\forall y. (y, x) \in R \longrightarrow y \notin ?C) ..$ 
    then have P x
      apply (rule-tac induct[rule-format])
      apply auto
      done
    with x show False by auto
  qed
  then have ! x. P x by auto
}
then show  $(\forall x. (\forall y. (y, x) \in R \longrightarrow P y) \longrightarrow P x) \longrightarrow (! x. P x)$  by blast
qed

```

**lemma** *wf-is-Elem-of: wf is-Elem-of*  
 by (auto simp add: wfzf-is-Elem-of wfzf-implies-wf)

**lemma** *in-Ext-RTrans-implies-Elem-Ext-ZF-hull:*  
 $set-like R \implies x \in (Ext (R^+)) s \implies Elem x (Ext-ZF-hull R s)$   
 apply (simp add: Ext-def Elem-Ext-ZF-hull)  
 apply (erule converse-trancl-induct[where r=R])  
 apply (rule exI[where x=0])  
 apply (simp add: Elem-Ext-ZF)  
 apply auto  
 apply (rule-tac x=Suc n in exI)  
 apply (simp add: Sum Repl)  
 apply (rule-tac x=Ext-ZF R z in exI)  
 apply (auto simp add: Elem-Ext-ZF)  
 done

**lemma** *implodeable-Ext-trancl: set-like R  $\implies$  set-like  $(R^+)$*   
 apply (subst set-like-def)  
 apply (auto simp add: image-def)  
 apply (rule-tac x=Sep (Ext-ZF-hull R y)  $(\lambda z. z \in (Ext (R^+)) y)$  in exI)  
 apply (auto simp add: explode-def Sep set-ext  
 in-Ext-RTrans-implies-Elem-Ext-ZF-hull)  
 done

**lemma** *Elem-Ext-ZF-hull-implies-in-Ext-RTrans[rule-format]:*  
 $set-like R \implies ! x. Elem x (Ext-ZF-n R s n) \longrightarrow x \in (Ext (R^+)) s$   
 apply (induct-tac n)  
 apply (auto simp add: Elem-Ext-ZF Ext-def Sum Repl)  
 done

```

lemma set-like  $R \implies \text{Ext-ZF } (R^+)_s = \text{Ext-ZF-hull } R \ s$ 
  apply (frule implodeable-Ext-trancl)
  apply (auto simp add: Ext)
  apply (erule in-Ext-RTrans-implies-Elem-Ext-ZF-hull)
  apply (simp add: Elem-Ext-ZF Ext-def)
  apply (auto simp add: Elem-Ext-ZF Elem-Ext-ZF-hull)
  apply (erule Elem-Ext-ZF-hull-implies-in-Ext-RTrans[simplified Ext-def, simplified], assumption)
done

lemma wf-implies-regular:  $\text{wf } R \implies \text{regular } R$ 
proof (simp add: regular-def, rule allI)
  assume  $\text{wf: wf } R$ 
  fix  $A$ 
  show  $A \neq \text{Empty} \longrightarrow (\exists x. \text{Elem } x \ A \wedge (\forall y. (y, x) \in R \longrightarrow \neg \text{Elem } y \ A))$ 
  proof
    assume  $A: A \neq \text{Empty}$ 
    then have  $?x. x \in \text{explode } A$ 
    by (auto simp add: explode-def Ext Empty)
    then obtain  $x$  where  $x: x \in \text{explode } A$  ..
    from iffD1[OF wf-eq-minimal wf, rule-format, where Q=explode A, OF x]
    obtain  $z$  where  $z \in \text{explode } A \wedge (\forall y. (y, z) \in R \longrightarrow y \notin \text{explode } A)$  by auto

    then show  $\exists x. \text{Elem } x \ A \wedge (\forall y. (y, x) \in R \longrightarrow \neg \text{Elem } y \ A)$ 
    apply (rule-tac exI[where x = z])
    apply (simp add: explode-def)
    done
  qed
qed

lemma wf-eq-wfzf:  $(\text{wf } R \wedge \text{set-like } R) = \text{wfzf } R$ 
  apply (auto simp add: wfzf-implies-wf)
  apply (auto simp add: wfzf-def wf-implies-regular)
done

lemma wfzf-trancl:  $\text{wfzf } R \implies \text{wfzf } (R^+)$ 
  by (auto simp add: wf-eq-wfzf[symmetric] implodeable-Ext-trancl wf-trancl)

lemma Ext-subset-mono:  $R \subseteq S \implies \text{Ext } R \ y \subseteq \text{Ext } S \ y$ 
  by (auto simp add: Ext-def)

lemma set-like-subset:  $\text{set-like } R \implies S \subseteq R \implies \text{set-like } S$ 
  apply (auto simp add: set-like-def)
  apply (erule-tac x=y in allE)
  apply (drule-tac y=y in Ext-subset-mono)
  apply (auto simp add: image-def)
  apply (rule-tac x=Sep x (% z. z \in (Ext S y)) in exI)
  apply (auto simp add: explode-def Sep)

```

```

done

lemma wfzf-subset: wfzf S  $\implies$  R  $\subseteq$  S  $\implies$  wfzf R
  by (auto intro: set-like-subset wf-subset simp add: wf-eq-wfzf[symmetric])

end

theory Zet
imports HOLZF
begin

typedef 'a zet = {A :: 'a set | A f z. inj-on f A  $\wedge$  f ' A  $\subseteq$  explode z}
  by blast

definition zin :: 'a  $\Rightarrow$  'a zet  $\Rightarrow$  bool where
  zin x A == x  $\in$  (Rep-zet A)

lemma zet-ext-eq: (A = B) = (! x. zin x A = zin x B)
  by (auto simp add: Rep-zet-inject[symmetric] zin-def)

definition zimage :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a zet  $\Rightarrow$  'b zet where
  zimage f A == Abs-zet (image f (Rep-zet A))

lemma zet-def': zet = {A :: 'a set | A f z. inj-on f A  $\wedge$  f ' A = explode z}
  apply (rule set-ext)
  apply (auto simp add: zet-def)
  apply (rule-tac x=f in exI)
  apply auto
  apply (rule-tac x=Sep z ( $\lambda$  y. y  $\in$  (f ' x)) in exI)
  apply (auto simp add: explode-def Sep)
  done

lemma image-zet-rep: A  $\in$  zet  $\implies$  ? z . g ' A = explode z
  apply (auto simp add: zet-def')
  apply (rule-tac x=Repl z (g o (inv-into A f)) in exI)
  apply (simp add: explode-Repl-eq)
  apply (subgoal-tac explode z = f ' A)
  apply (simp-all add: image-compose)
  done

lemma zet-image-mem:
  assumes Azet: A  $\in$  zet
  shows g ' A  $\in$  zet
proof -
  from Azet have ? (f :: -  $\Rightarrow$  ZF). inj-on f A
  by (auto simp add: zet-def')
  then obtain f where injf: inj-on (f :: -  $\Rightarrow$  ZF) A

```

```

  by auto
let ?w = f o (inv-into A g)
have subset: (inv-into A g) ‘ (g ‘ A) ⊆ A
  by (auto simp add: inv-into-into)
have inj-on (inv-into A g) (g ‘ A) by (simp add: inj-on-inv-into)
then have injw: inj-on ?w (g ‘ A)
  apply (rule comp-inj-on)
  apply (rule subset-inj-on[where B=A])
  apply (auto simp add: subset injf)
done
show ?thesis
  apply (simp add: zet-def' image-compose[symmetric])
  apply (rule exI[where x=?w])
  apply (simp add: injw image-zet-rep Azet)
done
qed

lemma Rep-zimage-eq: Rep-zet (zimage f A) = image f (Rep-zet A)
  apply (simp add: zimage-def)
  apply (subst Abs-zet-inverse)
  apply (simp-all add: Rep-zet zet-image-mem)
done

lemma zimage-iff: zin y (zimage f A) = (? x. zin x A & y = f x)
  by (auto simp add: zin-def Rep-zimage-eq)

definition zimplode :: ZF zet ⇒ ZF where
  zimplode A == implode (Rep-zet A)

definition zexplode :: ZF ⇒ ZF zet where
  zexplode z == Abs-zet (explode z)

lemma Rep-zet-eq-explode: ? z. Rep-zet A = explode z
  by (rule image-zet-rep[where g=λ x. x, OF Rep-zet, simplified])

lemma zexplode-zimplode: zexplode (zimplode A) = A
  apply (simp add: zimplode-def zexplode-def)
  apply (simp add: implode-def)
  apply (subst f-inv-into-f[where y=Rep-zet A])
  apply (auto simp add: Rep-zet-inverse Rep-zet-eq-explode image-def)
done

lemma explode-mem-zet: explode z ∈ zet
  apply (simp add: zet-def')
  apply (rule-tac x=% x. x in exI)
  apply (auto simp add: inj-on-def)
done

lemma zimplode-zexplode: zimplode (zexplode z) = z

```

```

apply (simp add: zimplode-def zexplode-def)
apply (subst Abs-zet-inverse)
apply (auto simp add: explode-mem-zet implode-explode)
done

lemma zin-zexplode-eq: zin x (zexplode A) = Elem x A
apply (simp add: zin-def zexplode-def)
apply (subst Abs-zet-inverse)
apply (simp-all add: explode-Elem explode-mem-zet)
done

lemma comp-zimage-eq: zimage g (zimage f A) = zimage (g o f) A
apply (simp add: zimage-def)
apply (subst Abs-zet-inverse)
apply (simp-all add: image-compose zet-image-mem Rep-zet)
done

definition zunion :: 'a zet  $\Rightarrow$  'a zet  $\Rightarrow$  'a zet where
  zunion a b  $\equiv$  Abs-zet ((Rep-zet a)  $\cup$  (Rep-zet b))

definition zsubset :: 'a zet  $\Rightarrow$  'a zet  $\Rightarrow$  bool where
  zsubset a b  $\equiv$  ! x. zin x a  $\longrightarrow$  zin x b

lemma explode-union: explode (union a b) = (explode a)  $\cup$  (explode b)
apply (rule set-ext)
apply (simp add: explode-def union)
done

lemma Rep-zet-zunion: Rep-zet (zunion a b) = (Rep-zet a)  $\cup$  (Rep-zet b)
proof -
  from Rep-zet[of a] have ?f z. inj-on f (Rep-zet a)  $\wedge$  f ' (Rep-zet a) = explode z
    by (auto simp add: zet-def')
  then obtain fa za where a: inj-on fa (Rep-zet a)  $\wedge$  fa ' (Rep-zet a) = explode
    za
    by blast
  from a have fa: inj-on fa (Rep-zet a) by blast
  from a have za: fa ' (Rep-zet a) = explode za by blast
  from Rep-zet[of b] have ?f z. inj-on f (Rep-zet b)  $\wedge$  f ' (Rep-zet b) = explode z
    by (auto simp add: zet-def')
  then obtain fb zb where b: inj-on fb (Rep-zet b)  $\wedge$  fb ' (Rep-zet b) = explode zb
    by blast
  from b have fb: inj-on fb (Rep-zet b) by blast
  from b have zb: fb ' (Rep-zet b) = explode zb by blast
  let ?f = ( $\lambda$  x. if x  $\in$  (Rep-zet a) then Opair (fa x) (Empty) else Opair (fb x)
    (Singleton Empty))
  let ?z = CartProd (union za zb) (Upair Empty (Singleton Empty))
  have se: Singleton Empty  $\neq$  Empty
    apply (auto simp add: Ext Singleton)
    apply (rule exI[where x=Empty])

```

```

    apply (simp add: Empty)
  done
show ?thesis
  apply (simp add: zunion-def)
  apply (subst Abs-zet-inverse)
  apply (auto simp add: zet-def)
  apply (rule exI[where x = ?f])
  apply (rule conjI)
  apply (auto simp add: inj-on-def Opair inj-onD[OF fa] inj-onD[OF fb] se
se[symmetric])
  apply (rule exI[where x = ?z])
  apply (insert za zb)
  apply (auto simp add: explode-def CartProd union Upair Opair)
  done
qed

lemma zunion: zin x (zunion a b) = ((zin x a) ∨ (zin x b))
  by (auto simp add: zin-def Rep-zet-zunion)

lemma zimage-zexplode-eq: zimage f (zexplode z) = zexplode (Repl z f)
  by (simp add: zet-ext-eq zin-zexplode-eq Repl zimage-iff)

lemma range-explode-eq-zet: range explode = zet
  apply (rule set-ext)
  apply (auto simp add: explode-mem-zet)
  apply (drule image-zet-rep)
  apply (simp add: image-def)
  apply auto
  apply (rule-tac x=z in exI)
  apply auto
  done

lemma Elem-zimplode: (Elem x (zimplode z)) = (zin x z)
  apply (simp add: zimplode-def)
  apply (subst Elem-implode)
  apply (simp-all add: zin-def Rep-zet range-explode-eq-zet)
  done

definition zempty :: 'a zet where
  zempty ≡ Abs-zet {}

lemma zempty[simp]: ¬ (zin x zempty)
  by (auto simp add: zin-def zempty-def Abs-zet-inverse zet-def)

lemma zimage-zempty[simp]: zimage f zempty = zempty
  by (auto simp add: zet-ext-eq zimage-iff)

lemma zunion-zempty-left[simp]: zunion zempty a = a
  by (simp add: zet-ext-eq zunion)

```

```

lemma zunion-zempty-right[simp]: zunion a zempty = a
  by (simp add: zet-ext-eq zunion)

lemma zimage-id[simp]: zimage id A = A
  by (simp add: zet-ext-eq zimage-iff)

lemma zimage-cong[recdef-cong, fundef-cong]:  $\llbracket M = N; !! x. \text{zin } x \ N \implies f \ x = g \ x \rrbracket \implies \text{zimage } f \ M = \text{zimage } g \ N$ 
  by (auto simp add: zet-ext-eq zimage-iff)

end

```

## 1 (Finite) multisets

```

theory Multiset
imports Main
begin

```

### 1.1 The type of multisets

```

typedef 'a multiset = {f :: 'a => nat. finite {x. f x > 0}}
  morphisms count Abs-multiset
proof
  show ( $\lambda x. 0 :: \text{nat}$ )  $\in$  ?multiset by simp
qed

lemmas multiset-typedef = Abs-multiset-inverse count-inverse count

abbreviation Melem :: 'a => 'a multiset => bool ((-/ :# -) [50, 51] 50) where
  a :# M == 0 < count M a

notation (xsymbols)
  Melem (infix  $\in\#$  50)

lemma multiset-ext-iff:
   $M = N \longleftrightarrow (\forall a. \text{count } M \ a = \text{count } N \ a)$ 
  by (simp only: count-inject [symmetric] expand-fun-eq)

lemma multiset-ext:
   $(\bigwedge x. \text{count } A \ x = \text{count } B \ x) \implies A = B$ 
  using multiset-ext-iff by auto

```

Preservation of the representing set *multiset*.

```

lemma const0-in-multiset:
   $(\lambda a. 0) \in \text{multiset}$ 
  by (simp add: multiset-def)

```



**lemma** *only1-in-multiset*:  
 $(\lambda b. \text{if } b = a \text{ then } n \text{ else } 0) \in \text{multiset}$   
**by** (*simp add: multiset-def*)

**lemma** *union-preserves-multiset*:  
 $M \in \text{multiset} \implies N \in \text{multiset} \implies (\lambda a. M\ a + N\ a) \in \text{multiset}$   
**by** (*simp add: multiset-def*)

**lemma** *diff-preserves-multiset*:  
**assumes**  $M \in \text{multiset}$   
**shows**  $(\lambda a. M\ a - N\ a) \in \text{multiset}$   
**proof** –  
**have**  $\{x. N\ x < M\ x\} \subseteq \{x. 0 < M\ x\}$   
**by** *auto*  
**with** *assms* **show** *?thesis*  
**by** (*auto simp add: multiset-def intro: finite-subset*)  
**qed**

**lemma** *MCollect-preserves-multiset*:  
**assumes**  $M \in \text{multiset}$   
**shows**  $(\lambda x. \text{if } P\ x \text{ then } M\ x \text{ else } 0) \in \text{multiset}$   
**proof** –  
**have**  $\{x. (P\ x \longrightarrow 0 < M\ x) \wedge P\ x\} \subseteq \{x. 0 < M\ x\}$   
**by** *auto*  
**with** *assms* **show** *?thesis*  
**by** (*auto simp add: multiset-def intro: finite-subset*)  
**qed**

**lemmas** *in-multiset* = *const0-in-multiset* *only1-in-multiset*  
*union-preserves-multiset* *diff-preserves-multiset* *MCollect-preserves-multiset*

## 1.2 Representing multisets

Multiset comprehension

**definition** *MCollect* :: *'a multiset => ('a => bool) => 'a multiset* **where**  
 $MCollect\ M\ P = Abs\_multiset\ (\lambda x. \text{if } P\ x \text{ then count } M\ x \text{ else } 0)$

**syntax**

$-MCollect :: ptnr \Rightarrow 'a\ multiset \Rightarrow bool \Rightarrow 'a\ multiset \quad ((1\ \{\# - : \# - / - \# \}))$

**translations**

$\{\#x : \# M. P\# \} == CONST\ MCollect\ M\ (\lambda x. P)$

Multiset enumeration

**instantiation** *multiset* :: (*type*) {*zero*, *plus*}  
**begin**

**definition** *Mempty-def*:

$0 = Abs\_multiset\ (\lambda a. 0)$

**abbreviation** *Mempty* :: 'a multiset ( $\{\#\}$ ) **where**

*Mempty*  $\equiv 0$

**definition** *union-def*:

$M + N = \text{Abs-multiset } (\lambda a. \text{count } M \ a + \text{count } N \ a)$

**instance** ..

**end**

**definition** *single* :: 'a  $\Rightarrow$  'a multiset **where**

*single*  $a = \text{Abs-multiset } (\lambda b. \text{if } b = a \text{ then } 1 \text{ else } 0)$

**syntax**

*-multiset* :: args  $\Rightarrow$  'a multiset ( $\{\#(-)\#\}$ )

**translations**

$\{\#x, xs\# \} == \{\#x\# \} + \{\#xs\# \}$

$\{\#x\# \} == \text{CONST } \text{single } x$

**lemma** *count-empty* [*simp*]:  $\text{count } \{\#\} \ a = 0$

**by** (*simp* add: *Mempty-def in-multiset multiset-typedef*)

**lemma** *count-single* [*simp*]:  $\text{count } \{\#b\# \} \ a = (\text{if } b = a \text{ then } 1 \text{ else } 0)$

**by** (*simp* add: *single-def in-multiset multiset-typedef*)

### 1.3 Basic operations

#### 1.3.1 Union

**lemma** *count-union* [*simp*]:  $\text{count } (M + N) \ a = \text{count } M \ a + \text{count } N \ a$

**by** (*simp* add: *union-def in-multiset multiset-typedef*)

**instance** *multiset* :: (type) *cancel-comm-monoid-add* **proof**

**qed** (*simp-all* add: *multiset-ext-iff*)

#### 1.3.2 Difference

**instantiation** *multiset* :: (type) *minus*

**begin**

**definition** *diff-def*:

$M - N = \text{Abs-multiset } (\lambda a. \text{count } M \ a - \text{count } N \ a)$

**instance** ..

**end**

**lemma** *count-diff* [*simp*]:  $\text{count } (M - N) \ a = \text{count } M \ a - \text{count } N \ a$

**by** (*simp* add: *diff-def in-multiset multiset-typedef*)

**lemma** *diff-empty* [*simp*]:  $M - \{\#\} = M \wedge \{\#\} - M = \{\#\}$   
**by** (*simp add: multiset-ext-iff*)

**lemma** *diff-cancel* [*simp*]:  $A - A = \{\#\}$   
**by** (*rule multiset-ext simp*)

**lemma** *diff-union-cancelR* [*simp*]:  $M + N - N = (M::'a \text{ multiset})$   
**by** (*simp add: multiset-ext-iff*)

**lemma** *diff-union-cancelL* [*simp*]:  $N + M - N = (M::'a \text{ multiset})$   
**by** (*simp add: multiset-ext-iff*)

**lemma** *insert-DiffM*:  
 $x \in \# M \implies \{\#x\# \} + (M - \{\#x\# \}) = M$   
**by** (*clarsimp simp: multiset-ext-iff*)

**lemma** *insert-DiffM2* [*simp*]:  
 $x \in \# M \implies M - \{\#x\# \} + \{\#x\# \} = M$   
**by** (*clarsimp simp: multiset-ext-iff*)

**lemma** *diff-right-commute*:  
 $(M::'a \text{ multiset}) - N - Q = M - Q - N$   
**by** (*auto simp add: multiset-ext-iff*)

**lemma** *diff-add*:  
 $(M::'a \text{ multiset}) - (N + Q) = M - N - Q$   
**by** (*simp add: multiset-ext-iff*)

**lemma** *diff-union-swap*:  
 $a \neq b \implies M - \{\#a\# \} + \{\#b\# \} = M + \{\#b\# \} - \{\#a\# \}$   
**by** (*auto simp add: multiset-ext-iff*)

**lemma** *diff-union-single-conv*:  
 $a \in \# J \implies I + J - \{\#a\# \} = I + (J - \{\#a\# \})$   
**by** (*simp add: multiset-ext-iff*)

### 1.3.3 Equality of multisets

**lemma** *single-not-empty* [*simp*]:  $\{\#a\# \} \neq \{\#\} \wedge \{\#\} \neq \{\#a\# \}$   
**by** (*simp add: multiset-ext-iff*)

**lemma** *single-eq-single* [*simp*]:  $\{\#a\# \} = \{\#b\# \} \longleftrightarrow a = b$   
**by** (*auto simp add: multiset-ext-iff*)

**lemma** *union-eq-empty* [*iff*]:  $M + N = \{\#\} \longleftrightarrow M = \{\#\} \wedge N = \{\#\}$   
**by** (*auto simp add: multiset-ext-iff*)

**lemma** *empty-eq-union* [*iff*]:  $\{\#\} = M + N \longleftrightarrow M = \{\#\} \wedge N = \{\#\}$

```

by (auto simp add: multiset-ext-iff)

lemma multi-self-add-other-not-self [simp]:  $M = M + \{\#x\# \} \longleftrightarrow \text{False}$ 
by (auto simp add: multiset-ext-iff)

lemma diff-single-trivial:
 $\neg x \in \# M \implies M - \{\#x\# \} = M$ 
by (auto simp add: multiset-ext-iff)

lemma diff-single-eq-union:
 $x \in \# M \implies M - \{\#x\# \} = N \longleftrightarrow M = N + \{\#x\# \}$ 
by auto

lemma union-single-eq-diff:
 $M + \{\#x\# \} = N \implies M = N - \{\#x\# \}$ 
by (auto dest: sym)

lemma union-single-eq-member:
 $M + \{\#x\# \} = N \implies x \in \# N$ 
by auto

lemma union-is-single:
 $M + N = \{\#a\# \} \longleftrightarrow M = \{\#a\# \} \wedge N = \{\# \} \vee M = \{\# \} \wedge N = \{\#a\# \}$  (is
?lhs = ?rhs) proof
  assume ?rhs then show ?lhs by auto
next
  assume ?lhs thus ?rhs
    by (simp add: multiset-ext-iff split:if-splits) (metis add-is-1)
qed

lemma single-is-union:
 $\{\#a\# \} = M + N \longleftrightarrow \{\#a\# \} = M \wedge N = \{\# \} \vee M = \{\# \} \wedge \{\#a\# \} = N$ 
by (auto simp add: eq-commute [of  $\{\#a\# \}$   $M + N$ ] union-is-single)

lemma add-eq-conv-diff:
 $M + \{\#a\# \} = N + \{\#b\# \} \longleftrightarrow M = N \wedge a = b \vee M = N - \{\#a\# \} + \{\#b\# \} \wedge N = M - \{\#b\# \} + \{\#a\# \}$  (is ?lhs = ?rhs)

proof
  assume ?rhs then show ?lhs
    by (auto simp add: add-assoc add-commute [of  $\{\#b\# \}$ ]
      (drule sym, simp add: add-assoc [symmetric]))
next
  assume ?lhs
  show ?rhs
    proof (cases  $a = b$ )
      case True with <?lhs> show ?thesis by simp
    next
      case False

```

```

    from ⟨?lhs⟩ have  $a \in \# N + \{\#b\}$  by (rule union-single-eq-member)
    with False have  $a \in \# N$  by auto
    moreover from ⟨?lhs⟩ have  $M = N + \{\#b\} - \{\#a\}$  by (rule union-single-eq-diff)
    moreover note False
    ultimately show ?thesis by (auto simp add: diff-right-commute [of -  $\{\#a\}$ ])
diff-union-swap)
qed
qed

```

```

lemma insert-noteq-member:
  assumes BC:  $B + \{\#b\} = C + \{\#c\}$ 
  and bnotc:  $b \neq c$ 
  shows  $c \in \# B$ 
proof -
  have  $c \in \# C + \{\#c\}$  by simp
  have nc:  $\neg c \in \# \{\#b\}$  using bnotc by simp
  then have  $c \in \# B + \{\#b\}$  using BC by simp
  then show  $c \in \# B$  using nc by simp
qed

```

```

lemma add-eq-conv-ex:
  ( $M + \{\#a\} = N + \{\#b\}$ ) =
  ( $M = N \wedge a = b \vee (\exists K. M = K + \{\#b\} \wedge N = K + \{\#a\})$ )
  by (auto simp add: add-eq-conv-diff)

```

### 1.3.4 Pointwise ordering induced by count

```

instantiation multiset :: (type) ordered-ab-semigroup-add-imp-le
begin

```

```

definition less-eq-multiset :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool where
  mset-le-def:  $A \leq B \iff (\forall a. \text{count } A \ a \leq \text{count } B \ a)$ 

```

```

definition less-multiset :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool where
  mset-less-def:  $(A::'a \text{ multiset}) < B \iff A \leq B \wedge A \neq B$ 

```

```

instance proof

```

```

qed (auto simp add: mset-le-def mset-less-def multiset-ext-iff intro: order-trans antisym)

```

```

end

```

```

lemma mset-less-eqI:
  ( $\bigwedge x. \text{count } A \ x \leq \text{count } B \ x$ )  $\implies A \leq B$ 
  by (simp add: mset-le-def)

```

```

lemma mset-le-exists-conv:
   $(A::'a \text{ multiset}) \leq B \iff (\exists C. B = A + C)$ 
apply (unfold mset-le-def, rule iffI, rule-tac  $x = B - A$  in exI)

```

**apply** (*auto intro: multiset-ext-iff [THEN iffD2]*)  
**done**

**lemma** *mset-le-mono-add-right-cancel [simp]*:  
 $(A::'a \text{ multiset}) + C \leq B + C \iff A \leq B$   
**by** (*fact add-le-cancel-right*)

**lemma** *mset-le-mono-add-left-cancel [simp]*:  
 $C + (A::'a \text{ multiset}) \leq C + B \iff A \leq B$   
**by** (*fact add-le-cancel-left*)

**lemma** *mset-le-mono-add*:  
 $(A::'a \text{ multiset}) \leq B \implies C \leq D \implies A + C \leq B + D$   
**by** (*fact add-mono*)

**lemma** *mset-le-add-left [simp]*:  
 $(A::'a \text{ multiset}) \leq A + B$   
**unfolding** *mset-le-def* **by** *auto*

**lemma** *mset-le-add-right [simp]*:  
 $B \leq (A::'a \text{ multiset}) + B$   
**unfolding** *mset-le-def* **by** *auto*

**lemma** *mset-le-single*:  
 $a : \# B \implies \{\#a\# \} \leq B$   
**by** (*simp add: mset-le-def*)

**lemma** *multiset-diff-union-assoc*:  
 $C \leq B \implies (A::'a \text{ multiset}) + B - C = A + (B - C)$   
**by** (*simp add: multiset-ext-iff mset-le-def*)

**lemma** *mset-le-multiset-union-diff-commute*:  
 $B \leq A \implies (A::'a \text{ multiset}) - B + C = A + C - B$   
**by** (*simp add: multiset-ext-iff mset-le-def*)

**lemma** *mset-lessD*:  $A < B \implies x \in \# A \implies x \in \# B$   
**apply** (*clarsimp simp: mset-le-def mset-less-def*)  
**apply** (*erule-tac x=x in allE*)  
**apply** *auto*  
**done**

**lemma** *mset-leD*:  $A \leq B \implies x \in \# A \implies x \in \# B$   
**apply** (*clarsimp simp: mset-le-def mset-less-def*)  
**apply** (*erule-tac x = x in allE*)  
**apply** *auto*  
**done**

**lemma** *mset-less-insertD*:  $(A + \{\#x\# \} < B) \implies (x \in \# B \wedge A < B)$   
**apply** (*rule conjI*)

```

  apply (simp add: mset-lessD)
apply (clarsimp simp: mset-le-def mset-less-def)
apply safe
  apply (erule-tac x = a in allE)
  apply (auto split: split-if-asm)
done

lemma mset-le-insertD:  $(A + \{\#x\} \leq B) \implies (x \in \# B \wedge A \leq B)$ 
apply (rule conjI)
  apply (simp add: mset-leD)
  apply (force simp: mset-le-def mset-less-def split: split-if-asm)
done

lemma mset-less-of-empty[simp]:  $A < \{\#\} \longleftrightarrow \text{False}$ 
  by (auto simp add: mset-less-def mset-le-def multiset-ext-iff)

lemma multi-psub-of-add-self[simp]:  $A < A + \{\#x\}$ 
  by (auto simp: mset-le-def mset-less-def)

lemma multi-psub-self[simp]:  $(A::'a \text{ multiset}) < A = \text{False}$ 
  by simp

lemma mset-less-add-bothsides:
   $T + \{\#x\} < S + \{\#x\} \implies T < S$ 
  by (fact add-less-imp-less-right)

lemma mset-less-empty-nonempty:
   $\{\#\} < S \longleftrightarrow S \neq \{\#\}$ 
  by (auto simp: mset-le-def mset-less-def)

lemma mset-less-diff-self:
   $c \in \# B \implies B - \{\#c\} < B$ 
  by (auto simp: mset-le-def mset-less-def multiset-ext-iff)



### 1.3.5 Intersection



instantiation multiset :: (type) semilattice-inf
begin

definition inf-multiset ::  $'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$  where
  multiset-inter-def:  $\text{inf-multiset } A B = A - (A - B)$ 

instance proof -
  have aux:  $\bigwedge m n q :: \text{nat}. m \leq n \implies m \leq q \implies m \leq n - (n - q)$  by arith
  show OFCLASS( $'a \text{ multiset}$ , semilattice-inf-class) proof
    qed (auto simp add: multiset-inter-def mset-le-def aux)
  qed

end

```

**abbreviation** *multiset-inter* :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset (**infixl**  $\# \cap$  70) **where**  
*multiset-inter*  $\equiv$  *inf*

**lemma** *multiset-inter-count*:  
 $\text{count } (A \# \cap B) \ x = \min (\text{count } A \ x) (\text{count } B \ x)$   
**by** (*simp add: multiset-inter-def multiset-typedef*)

**lemma** *multiset-inter-single*:  $a \neq b \implies \{\#a\} \# \cap \{\#b\} = \{\#\}$   
**by** (*rule multiset-ext*) (*auto simp add: multiset-inter-count*)

**lemma** *multiset-union-diff-commute*:  
**assumes**  $B \# \cap C = \{\#\}$   
**shows**  $A + B - C = A - C + B$   
**proof** (*rule multiset-ext*)  
**fix**  $x$   
**from** *assms* **have**  $\min (\text{count } B \ x) (\text{count } C \ x) = 0$   
**by** (*auto simp add: multiset-inter-count multiset-ext-iff*)  
**then have**  $\text{count } B \ x = 0 \vee \text{count } C \ x = 0$   
**by** *auto*  
**then show**  $\text{count } (A + B - C) \ x = \text{count } (A - C + B) \ x$   
**by** *auto*  
**qed**

### 1.3.6 Comprehension (filter)

**lemma** *count-MCollect [simp]*:  
 $\text{count } \{\# \ x : \#M. P \ x \ \#\} \ a = (\text{if } P \ a \text{ then } \text{count } M \ a \text{ else } 0)$   
**by** (*simp add: MCollect-def in-multiset multiset-typedef*)

**lemma** *MCollect-empty [simp]*:  $MCollect \ \{\#\} \ P = \{\#\}$   
**by** (*rule multiset-ext*) *simp*

**lemma** *MCollect-single [simp]*:  
 $MCollect \ \{\#x\} \ P = (\text{if } P \ x \text{ then } \{\#x\} \text{ else } \{\#\})$   
**by** (*rule multiset-ext*) *simp*

**lemma** *MCollect-union [simp]*:  
 $MCollect \ (M + N) \ f = MCollect \ M \ f + MCollect \ N \ f$   
**by** (*rule multiset-ext*) *simp*

### 1.3.7 Set of elements

**definition** *set-of* :: 'a multiset  $\Rightarrow$  'a set **where**  
*set-of*  $M = \{x. x : \# M\}$

**lemma** *set-of-empty [simp]*:  $\text{set-of } \{\#\} = \{\}$   
**by** (*simp add: set-of-def*)



**lemma** *set-of-single* [simp]:  $\text{set-of } \{\#b\# \} = \{b\}$   
**by** (*simp add: set-of-def*)

**lemma** *set-of-union* [simp]:  $\text{set-of } (M + N) = \text{set-of } M \cup \text{set-of } N$   
**by** (*auto simp add: set-of-def*)

**lemma** *set-of-eq-empty-iff* [simp]:  $(\text{set-of } M = \{\}) = (M = \{\# \})$   
**by** (*auto simp add: set-of-def multiset-ext-iff*)

**lemma** *mem-set-of-iff* [simp]:  $(x \in \text{set-of } M) = (x : \# M)$   
**by** (*auto simp add: set-of-def*)

**lemma** *set-of-MCollect* [simp]:  $\text{set-of } \{\# x : \# M. P x \# \} = \text{set-of } M \cap \{x. P x\}$   
**by** (*auto simp add: set-of-def*)

**lemma** *finite-set-of* [iff]:  $\text{finite } (\text{set-of } M)$   
**using** *count* [of *M*] **by** (*simp add: multiset-def set-of-def*)

### 1.3.8 Size

**instantiation** *multiset* :: (*type*) *size*  
**begin**

**definition** *size-def*:  
 $\text{size } M = \text{setsum } (\text{count } M) (\text{set-of } M)$

**instance** ..

**end**

**lemma** *size-empty* [simp]:  $\text{size } \{\# \} = 0$   
**by** (*simp add: size-def*)

**lemma** *size-single* [simp]:  $\text{size } \{\#b\# \} = 1$   
**by** (*simp add: size-def*)

**lemma** *setsum-count-Int*:  
 $\text{finite } A ==> \text{setsum } (\text{count } N) (A \cap \text{set-of } N) = \text{setsum } (\text{count } N) A$   
**apply** (*induct rule: finite-induct*)  
**apply** *simp*  
**apply** (*simp add: Int-insert-left set-of-def*)  
**done**

**lemma** *size-union* [simp]:  $\text{size } (M + N :: 'a \text{ multiset}) = \text{size } M + \text{size } N$   
**apply** (*unfold size-def*)  
**apply** (*subgoal-tac count*  $(M + N) = (\lambda a. \text{count } M a + \text{count } N a)$ )  
**prefer** 2  
**apply** (*rule ext, simp*)  
**apply** (*simp (no-asm-simp) add: setsum-Un-nat setsum-addf setsum-count-Int*)

```

apply (subst Int-commute)
apply (simp (no-asm-simp) add: setsum-count-Int)
done

lemma size-eq-0-iff-empty [iff]: (size M = 0) = (M = {#})
by (auto simp add: size-def multiset-ext-iff)

lemma nonempty-has-size: (S ≠ {#}) = (0 < size S)
by (metis gr0I gr-implies-not0 size-empty size-eq-0-iff-empty)

lemma size-eq-Suc-imp-elem: size M = Suc n ==> ∃ a. a :# M
apply (unfold size-def)
apply (drule setsum-SucD)
apply auto
done

lemma size-eq-Suc-imp-eq-union:
  assumes size M = Suc n
  shows  $\exists a\ N. M = N + \{ \#a \# \}$ 
proof –
  from assms obtain a where a ∈# M
  by (erule size-eq-Suc-imp-elem [THEN exE])
  then have  $M = M - \{ \#a \# \} + \{ \#a \# \}$  by simp
  then show ?thesis by blast
qed

```

## 1.4 Induction and case splits

```

lemma setsum-decr:
  finite F ==> (0::nat) < f a ==>
    setsum (f (a := f a - 1)) F = (if a∈F then setsum f F - 1 else setsum f F)
apply (induct rule: finite-induct)
apply auto
apply (drule-tac a = a in mk-disjoint-insert, auto)
done

lemma rep-multiset-induct-aux:
assumes 1: P (λa. (0::nat))
  and 2: !!f b. f ∈ multiset ==> P f ==> P (f (b := f b + 1))
shows  $\forall f. f \in \text{multiset} \longrightarrow \text{setsum } f \{x. f\ x \neq 0\} = n \longrightarrow P\ f$ 
apply (unfold multiset-def)
apply (induct-tac n, simp, clarify)
apply (subgoal-tac f = (λa. 0))
apply simp
apply (rule 1)
apply (rule ext, force, clarify)
apply (frule setsum-SucD, clarify)
apply (rename-tac a)
apply (subgoal-tac finite {x. (f (a := f a - 1)) x > 0})

```

```

prefer 2
apply (rule finite-subset)
prefer 2
apply assumption
apply simp
apply blast
apply (subgoal-tac f = (f (a := f a - 1))(a := (f (a := f a - 1)) a + 1))
prefer 2
apply (rule ext)
apply (simp (no-asm-simp))
apply (erule ssubst, rule 2 [unfolded multiset-def], blast)
apply (erule allE, erule impE, erule-tac [2] mp, blast)
apply (simp (no-asm-simp) add: setsum-decr del: fun-upd-apply One-nat-def)
apply (subgoal-tac {x. x ≠ a --> f x ≠ 0} = {x. f x ≠ 0})
prefer 2
apply blast
apply (subgoal-tac {x. x ≠ a ∧ f x ≠ 0} = {x. f x ≠ 0} - {a})
prefer 2
apply blast
apply (simp add: le-imp-diff-is-add setsum-diff1-nat cong: conj-cong)
done

```

```

theorem rep-multiset-induct:
  f ∈ multiset ==> P (λa. 0) ==>
    (!!f b. f ∈ multiset ==> P f ==> P (f (b := f b + 1))) ==> P f
using rep-multiset-induct-aux by blast

```

```

theorem multiset-induct [case-names empty add, induct type: multiset]:
  assumes empty: P {#}
  and add: !!M x. P M ==> P (M + {#x#})
  shows P M
  proof -
    note defns = union-def single-def Mempty-def
    note add' = add [unfolded defns, simplified]
    have aux: ∧a::'a. count (Abs-multiset (λb. if b = a then 1 else 0)) =
      (λb. if b = a then 1 else 0) by (simp add: Abs-multiset-inverse in-multiset)
    show ?thesis
      apply (rule count-inverse [THEN subst])
      apply (rule count [THEN rep-multiset-induct])
      apply (rule empty [unfolded defns])
      apply (subgoal-tac f(b := f b + 1) = (λa. f a + (if a=b then 1 else 0)))
      prefer 2
      apply (simp add: expand-fun-eq)
      apply (erule ssubst)
      apply (erule Abs-multiset-inverse [THEN subst])
      apply (drule add')
      apply (simp add: aux)
    done
  qed

```

**lemma** *multi-nonempty-split*:  $M \neq \{\#\} \implies \exists A\ a. M = A + \{\#a\#\}$   
**by** (*induct M*) *auto*

**lemma** *multiset-cases* [*cases type, case-names empty add*]:  
**assumes** *em*:  $M = \{\#\} \implies P$   
**assumes** *add*:  $\bigwedge N\ x. M = N + \{\#x\#\} \implies P$   
**shows**  $P$   
**proof** (*cases M = \{\#\}*)  
  **assume**  $M = \{\#\}$  **then show** *?thesis* **using** *em* **by** *simp*  
**next**  
  **assume**  $M \neq \{\#\}$   
  **then obtain**  $M'\ m$  **where**  $M = M' + \{\#m\#\}$   
  **by** (*blast dest: multi-nonempty-split*)  
  **then show** *?thesis* **using** *add* **by** *simp*  
**qed**

**lemma** *multi-member-split*:  $x \in\# M \implies \exists A. M = A + \{\#x\#\}$   
**apply** (*cases M*)  
  **apply** *simp*  
**apply** (*rule-tac x=M - \{\#x\#\}* **in** *exI, simp*)  
**done**

**lemma** *multi-drop-mem-not-eq*:  $c \in\# B \implies B - \{\#c\#\} \neq B$   
**by** (*cases B = \{\#\}*) (*auto dest: multi-member-split*)

**lemma** *multiset-partition*:  $M = \{\# x:\#M. P\ x\ \#\} + \{\# x:\#M. \neg P\ x\ \#\}$   
**apply** (*subst multiset-ext-iff*)  
**apply** *auto*  
**done**

**lemma** *mset-less-size*:  $(A::'a\ multiset) < B \implies size\ A < size\ B$   
**proof** (*induct A arbitrary: B*)  
  **case** (*empty M*)  
  **then have**  $M \neq \{\#\}$  **by** (*simp add: mset-less-empty-nonempty*)  
  **then obtain**  $M'\ x$  **where**  $M = M' + \{\#x\#\}$   
  **by** (*blast dest: multi-nonempty-split*)  
  **then show** *?case* **by** *simp*  
**next**  
  **case** (*add S x T*)  
  **have**  $IH: \bigwedge B. S < B \implies size\ S < size\ B$  **by** *fact*  
  **have**  $SxsubT: S + \{\#x\#\} < T$  **by** *fact*  
  **then have**  $x \in\# T$  **and**  $S < T$  **by** (*auto dest: mset-less-insertD*)  
  **then obtain**  $T'$  **where**  $T: T = T' + \{\#x\#\}$   
  **by** (*blast dest: multi-member-split*)  
  **then have**  $S < T'$  **using**  $SxsubT$   
  **by** (*blast intro: mset-less-add-bothsides*)  
  **then have**  $size\ S < size\ T'$  **using**  $IH$  **by** *simp*  
  **then show** *?case* **using**  $T$  **by** *simp*

qed

#### 1.4.1 Strong induction and subset induction for multisets

Well-foundedness of proper subset operator:

proper multiset subset

**definition**

$mset-less-rel :: ('a multiset * 'a multiset) set$  **where**  
 $mset-less-rel = \{(A,B). A < B\}$

**lemma** *multiset-add-sub-el-shuffle*:

**assumes**  $c \in \# B$  **and**  $b \neq c$   
**shows**  $B - \{\#c\} + \{\#b\} = B + \{\#b\} - \{\#c\}$   
**proof** –  
**from**  $\langle c \in \# B \rangle$  **obtain**  $A$  **where**  $B: B = A + \{\#c\}$   
**by** (*blast dest: multi-member-split*)  
**have**  $A + \{\#b\} = A + \{\#b\} + \{\#c\} - \{\#c\}$  **by** *simp*  
**then have**  $A + \{\#b\} = A + \{\#c\} + \{\#b\} - \{\#c\}$   
**by** (*simp add: add-ac*)  
**then show** *?thesis* **using**  $B$  **by** *simp*

qed

**lemma** *wf-mset-less-rel*: *wf mset-less-rel*

**apply** (*unfold mset-less-rel-def*)  
**apply** (*rule wf-measure [THEN wf-subset, where f1=size]*)  
**apply** (*clarsimp simp: measure-def inv-image-def mset-less-size*)  
**done**

The induction rules:

**lemma** *full-multiset-induct* [*case-names less*]:  
**assumes** *ih*:  $\bigwedge B. \forall (A::'a multiset). A < B \longrightarrow P A \Longrightarrow P B$   
**shows**  $P B$   
**apply** (*rule wf-mset-less-rel [THEN wf-induct]*)  
**apply** (*rule ih, auto simp: mset-less-rel-def*)  
**done**

**lemma** *multi-subset-induct* [*consumes 2, case-names empty add*]:

**assumes**  $F \leq A$   
**and** *empty*:  $P \{\#\}$   
**and** *insert*:  $\bigwedge a F. a \in \# A \Longrightarrow P F \Longrightarrow P (F + \{\#a\})$   
**shows**  $P F$   
**proof** –  
**from**  $\langle F \leq A \rangle$   
**show** *?thesis*  
**proof** (*induct F*)  
**show**  $P \{\#\}$  **by** *fact*  
**next**  
**fix**  $x F$

```

    assume  $P: F \leq A \implies P\ F$  and  $i: F + \{\#x\# \} \leq A$ 
    show  $P\ (F + \{\#x\# \})$ 
    proof (rule insert)
      from  $i$  show  $x \in\# A$  by (auto dest: mset-le-insertD)
      from  $i$  have  $F \leq A$  by (auto dest: mset-le-insertD)
      with  $P$  show  $P\ F$  .
    qed
  qed
qed

```

## 1.5 Alternative representations

### 1.5.1 Lists

**primrec** *multiset-of* :: 'a list  $\Rightarrow$  'a multiset **where**

```

  multiset-of [] = {#} |
  multiset-of (a # x) = multiset-of x + {# a #}

```

**lemma** *in-multiset-in-set*:

```

   $x \in\# \text{multiset-of } xs \iff x \in \text{set } xs$ 
  by (induct xs) simp-all

```

**lemma** *count-multiset-of*:

```

  count (multiset-of xs) x = length (filter ( $\lambda y. x = y$ ) xs)
  by (induct xs) simp-all

```

**lemma** *multiset-of-zero-iff[simp]*:  $(\text{multiset-of } x = \{\#\}) = (x = [])$

**by** (induct x) auto

**lemma** *multiset-of-zero-iff-right[simp]*:  $(\{\#\} = \text{multiset-of } x) = (x = [])$

**by** (induct x) auto

**lemma** *set-of-multiset-of[simp]*:  $\text{set-of}(\text{multiset-of } x) = \text{set } x$

**by** (induct x) auto

**lemma** *mem-set-multiset-eq*:  $x \in \text{set } xs = (x :\# \text{multiset-of } xs)$

**by** (induct xs) auto

**lemma** *multiset-of-append [simp]*:

```

  multiset-of (xs @ ys) = multiset-of xs + multiset-of ys
  by (induct xs arbitrary: ys) (auto simp: add-ac)

```

**lemma** *surj-multiset-of*: *surj multiset-of*

**apply** (unfold surj-def)

**apply** (rule allI)

**apply** (rule-tac  $M = y$  in multiset-induct)

**apply** auto

**apply** (rule-tac  $x = x \# xa$  in exI)

**apply** auto

**done**

```

lemma set-count-greater-0:  $\text{set } x = \{a. \text{count } (\text{multiset-of } x) \ a > 0\}$ 
by (induct x) auto

lemma distinct-count-atmost-1:
   $\text{distinct } x = (! \ a. \text{count } (\text{multiset-of } x) \ a = (\text{if } a \in \text{set } x \text{ then } 1 \text{ else } 0))$ 
apply (induct x, simp, rule iffI, simp-all)
apply (rule conjI)
apply (simp-all add: set-of-multiset-of [THEN sym] del: set-of-multiset-of)
apply (erule-tac x = a in allE, simp, clarify)
apply (erule-tac x = aa in allE, simp)
done

lemma multiset-of-eq-setD:
   $\text{multiset-of } xs = \text{multiset-of } ys \implies \text{set } xs = \text{set } ys$ 
by (rule) (auto simp add: multiset-ext-iff set-count-greater-0)

lemma set-eq-iff-multiset-of-eq-distinct:
   $\text{distinct } x \implies \text{distinct } y \implies$ 
   $(\text{set } x = \text{set } y) = (\text{multiset-of } x = \text{multiset-of } y)$ 
by (auto simp: multiset-ext-iff distinct-count-atmost-1)

lemma set-eq-iff-multiset-of-remdups-eq:
   $(\text{set } x = \text{set } y) = (\text{multiset-of } (\text{remdups } x) = \text{multiset-of } (\text{remdups } y))$ 
apply (rule iffI)
apply (simp add: set-eq-iff-multiset-of-eq-distinct [THEN iffD1])
apply (drule distinct-remdups [THEN distinct-remdups
   $[\text{THEN set-eq-iff-multiset-of-eq-distinct } [\text{THEN iffD2}]]]$ )
apply simp
done

lemma multiset-of-compl-union [simp]:
   $\text{multiset-of } [x \leftarrow xs. P \ x] + \text{multiset-of } [x \leftarrow xs. \neg P \ x] = \text{multiset-of } xs$ 
by (induct xs) (auto simp: add-ac)

lemma count-filter:
   $\text{count } (\text{multiset-of } xs) \ x = \text{length } [y \leftarrow xs. y = x]$ 
by (induct xs) auto

lemma nth-mem-multiset-of:  $i < \text{length } ls \implies (ls ! i) : \# \text{multiset-of } ls$ 
apply (induct ls arbitrary: i)
apply simp
apply (case-tac i)
apply auto
done

lemma multiset-of-remove1 [simp]:
   $\text{multiset-of } (\text{remove1 } a \ xs) = \text{multiset-of } xs - \{\#a\# \}$ 
by (induct xs) (auto simp add: multiset-ext-iff)

```

```

lemma multiset-of-eq-length:
  assumes multiset-of xs = multiset-of ys
  shows length xs = length ys
using assms proof (induct xs arbitrary: ys)
  case Nil then show ?case by simp
next
  case (Cons x xs)
  then have  $x \in \# \text{ multiset-of } ys$  by (simp add: union-single-eq-member)
  then have  $x \in \text{set } ys$  by (simp add: in-multiset-in-set)
  from Cons.prems [symmetric] have multiset-of xs = multiset-of (remove1 x ys)
    by simp
  with Cons.hyps have length xs = length (remove1 x ys) .
  with  $\langle x \in \text{set } ys \rangle$  show ?case
    by (auto simp add: length-remove1 dest: length-pos-if-in-set)
qed

```

```

lemma (in linorder) multiset-of-insort [simp]:
  multiset-of (insort x xs) = \#x\# + multiset-of xs
  by (induct xs) (simp-all add: ac-simps)

```

```

lemma (in linorder) multiset-of-sort [simp]:
  multiset-of (sort xs) = multiset-of xs
  by (induct xs) (simp-all add: ac-simps)

```

This lemma shows which properties suffice to show that a function  $f$  with  $f\ xs = ys$  behaves like sort.

```

lemma (in linorder) properties-for-sort:
  multiset-of ys = multiset-of xs  $\implies$  sorted ys  $\implies$  sort xs = ys
proof (induct xs arbitrary: ys)
  case Nil then show ?case by simp
next
  case (Cons x xs)
  then have  $x \in \text{set } ys$ 
    by (auto simp add: mem-set-multiset-eq intro!: ccontr)
  with Cons.prems Cons.hyps [of remove1 x ys] show ?case
    by (simp add: sorted-remove1 multiset-of-remove1 insort-remove1)
qed

```

```

lemma multiset-of-remdups-le: multiset-of (remdups xs)  $\leq$  multiset-of xs
  by (induct xs) (auto intro: order-trans)

```

```

lemma multiset-of-update:
   $i < \text{length } ls \implies \text{multiset-of } (ls[i := v]) = \text{multiset-of } ls - \{\#ls ! i\# \} + \{\#v\# \}$ 
proof (induct ls arbitrary: i)
  case Nil then show ?case by simp
next
  case (Cons x xs)
  show ?case

```



```

proof (cases i)
  case 0 then show ?thesis by simp
next
  case (Suc i')
  with Cons show ?thesis
    apply simp
    apply (subst add-assoc)
    apply (subst add-commute [of {#v#} {#x#}])
    apply (subst add-assoc [symmetric])
    apply simp
    apply (rule mset-le-multiset-union-diff-commute)
    apply (simp add: mset-le-single nth-mem-multiset-of)
  done
qed
qed

```

**lemma** *multiset-of-swap*:

$$i < \text{length } ls \implies j < \text{length } ls \implies$$

$$\text{multiset-of } (ls[j := ls ! i, i := ls ! j]) = \text{multiset-of } ls$$

**by** (cases i = j) (simp-all add: multiset-of-update nth-mem-multiset-of)

### 1.5.2 Association lists – including rudimentary code generation

**definition** *count-of* :: ('a × nat) list ⇒ 'a ⇒ nat **where**

$$\text{count-of } xs \ x = (\text{case map-of } xs \ x \text{ of None} \Rightarrow 0 \mid \text{Some } n \Rightarrow n)$$

**lemma** *count-of-multiset*:

$$\text{count-of } xs \in \text{multiset}$$

**proof** –

```

let ?A = {x::'a. 0 < (case map-of xs x of None ⇒ 0::nat | Some (n::nat) ⇒ n)}
have ?A ⊆ dom (map-of xs)
proof
  fix x
  assume x ∈ ?A
  then have 0 < (case map-of xs x of None ⇒ 0::nat | Some (n::nat) ⇒ n) by
simp
  then have map-of xs x ≠ None by (cases map-of xs x) auto
  then show x ∈ dom (map-of xs) by auto
qed
with finite-dom-map-of [of xs] have finite ?A
  by (auto intro: finite-subset)
then show ?thesis
  by (simp add: count-of-def expand-fun-eq multiset-def)
qed

```

**lemma** *count-simps* [simp]:

$$\text{count-of } [] = (\lambda-. 0)$$

$$\text{count-of } ((x, n) \# xs) = (\lambda y. \text{if } x = y \text{ then } n \text{ else count-of } xs \ y)$$

**by** (simp-all add: count-of-def expand-fun-eq)

```

lemma count-of-empty:
   $x \notin \text{fst } \text{'set } xs \implies \text{count-of } xs \ x = 0$ 
  by (induct xs) (simp-all add: count-of-def)

lemma count-of-filter:
   $\text{count-of } (\text{filter } (P \circ \text{fst}) \ xs) \ x = (\text{if } P \ x \text{ then } \text{count-of } xs \ x \text{ else } 0)$ 
  by (induct xs) auto

definition Bag :: ('a × nat) list ⇒ 'a multiset where
  Bag xs = Abs-multiset (count-of xs)

code-datatype Bag

lemma count-Bag [simp, code]:
   $\text{count } (\text{Bag } xs) = \text{count-of } xs$ 
  by (simp add: Bag-def count-of-multiset Abs-multiset-inverse)

lemma Mempty-Bag [code]:
   $\{\#\} = \text{Bag } []$ 
  by (simp add: multiset-ext-iff)

lemma single-Bag [code]:
   $\{\#x\# \} = \text{Bag } [(x, 1)]$ 
  by (simp add: multiset-ext-iff)

lemma MCollect-Bag [code]:
   $MCollect (\text{Bag } xs) \ P = \text{Bag } (\text{filter } (P \circ \text{fst}) \ xs)$ 
  by (simp add: multiset-ext-iff count-of-filter)

lemma mset-less-eq-Bag [code]:
   $\text{Bag } xs \leq A \iff (\forall (x, n) \in \text{set } xs. \text{count-of } xs \ x \leq \text{count } A \ x)$ 
  (is ?lhs  $\iff$  ?rhs)
proof
  assume ?lhs then show ?rhs
    by (auto simp add: mset-le-def count-Bag)
next
  assume ?rhs
  show ?lhs
  proof (rule mset-less-eqI)
    fix x
    from  $\langle ?rhs \rangle$  have  $\text{count-of } xs \ x \leq \text{count } A \ x$ 
    by (cases x ∈ fst 'set xs) (auto simp add: count-of-empty)
    then show  $\text{count } (\text{Bag } xs) \ x \leq \text{count } A \ x$ 
    by (simp add: mset-le-def count-Bag)
  qed
qed

instantiation multiset :: (eq) eq

```

**begin**

**definition**

$HOL.eq\ A\ B \longleftrightarrow (A::'a\ multiset) \leq B \wedge B \leq A$

**instance proof**

**qed** (*simp add: eq-multiset-def eq-iff*)

**end**

**definition** (*in term-syntax*)

$bagify :: ('a::typerep \times nat)\ list \times (unit \Rightarrow Code-Evaluation.term)$   
 $\Rightarrow 'a\ multiset \times (unit \Rightarrow Code-Evaluation.term)$  **where**  
[*code-unfold*]:  $bagify\ xs = Code-Evaluation.valtermify\ Bag\ \{\cdot\}\ xs$

**notation** *fcomp* (**infixl** *o> 60*)

**notation** *scomp* (**infixl** *o→ 60*)

**instantiation** *multiset* :: (*random*) *random*

**begin**

**definition**

$Quickcheck.random\ i = Quickcheck.random\ i\ o\rightarrow (\lambda xs. Pair\ (bagify\ xs))$

**instance** ..

**end**

**no-notation** *fcomp* (**infixl** *o> 60*)

**no-notation** *scomp* (**infixl** *o→ 60*)

**hide-const** (**open**) *bagify*

## 1.6 The multiset order

### 1.6.1 Well-foundedness

**definition** *mult1* :: ( $'a \times 'a$ ) *set*  $\Rightarrow ('a\ multiset \times 'a\ multiset)\ set$  **where**

[*code del*]:  $mult1\ r = \{(N, M). \exists a\ M0\ K. M = M0 + \{\#a\#\} \wedge N = M0 + K$   
 $\wedge$   
 $(\forall b. b :\# K \longrightarrow (b, a) \in r)\}$

**definition** *mult* :: ( $'a \times 'a$ ) *set*  $\Rightarrow ('a\ multiset \times 'a\ multiset)\ set$  **where**

[*code del*]:  $mult\ r = (mult1\ r)^+$

**lemma** *not-less-empty* [*iff*]:  $(M, \{\#\}) \notin mult1\ r$

**by** (*simp add: mult1-def*)

**lemma** *less-add*:  $(N, M0 + \{\#a\#\}) \in mult1\ r \Rightarrow$

$(\exists M. (M, M0) \in mult1\ r \wedge N = M + \{\#a\#\}) \vee$

```

  (∃ K. (∀ b. b :# K --> (b, a) ∈ r) ∧ N = M0 + K)
  (is - ==> ?case1 (mult1 r) ∨ ?case2)
proof (unfold mult1-def)
  let ?r = λK a. ∀ b. b :# K --> (b, a) ∈ r
  let ?R = λN M. ∃ a M0 K. M = M0 + {#a#} ∧ N = M0 + K ∧ ?r K a
  let ?case1 = ?case1 {(N, M). ?R N M}

  assume (N, M0 + {#a#}) ∈ {(N, M). ?R N M}
  then have ∃ a' M0' K.
    M0 + {#a#} = M0' + {#a'#} ∧ N = M0' + K ∧ ?r K a' by simp
  then show ?case1 ∨ ?case2
  proof (elim exE conjE)
    fix a' M0' K
    assume N: N = M0' + K and r: ?r K a'
    assume M0 + {#a#} = M0' + {#a'#}
    then have M0 = M0' ∧ a = a' ∨
      (∃ K'. M0 = K' + {#a'#} ∧ M0' = K' + {#a#})
      by (simp only: add-eq-conv-ex)
    then show ?thesis
  proof (elim disjE conjE exE)
    assume M0 = M0' a = a'
    with N r have ?r K a ∧ N = M0 + K by simp
    then have ?case2 .. then show ?thesis ..
  next
    fix K'
    assume M0' = K' + {#a#}
    with N have n: N = K' + K + {#a#} by (simp add: add-ac)

    assume M0 = K' + {#a'#}
    with r have ?R (K' + K) M0 by blast
    with n have ?case1 by simp then show ?thesis ..
  qed
qed
qed

lemma all-accessible: wf r ==> ∀ M. M ∈ acc (mult1 r)
proof
  let ?R = mult1 r
  let ?W = acc ?R
  {
    fix M M0 a
    assume M0: M0 ∈ ?W
    and wf-hyp: !!b. (b, a) ∈ r ==> (∀ M ∈ ?W. M + {#b#} ∈ ?W)
    and acc-hyp: ∀ M. (M, M0) ∈ ?R --> M + {#a#} ∈ ?W
    have M0 + {#a#} ∈ ?W
    proof (rule accI [of M0 + {#a#}])
      fix N
      assume (N, M0 + {#a#}) ∈ ?R
      then have ((∃ M. (M, M0) ∈ ?R ∧ N = M + {#a#}) ∨

```

```

    ( $\exists K. (\forall b. b : \# K \rightarrow (b, a) \in r) \wedge N = M0 + K$ )
  by (rule less-add)
then show  $N \in ?W$ 
proof (elim exE disjE conjE)
  fix  $M$  assume  $(M, M0) \in ?R$  and  $N: N = M + \{\#a\#$ 
  from acc-hyp have  $(M, M0) \in ?R \rightarrow M + \{\#a\# \in ?W$  ..
  from this and  $\langle (M, M0) \in ?R \rangle$  have  $M + \{\#a\# \in ?W$  ..
  then show  $N \in ?W$  by (simp only: N)
next
fix  $K$ 
assume  $N: N = M0 + K$ 
assume  $\forall b. b : \# K \rightarrow (b, a) \in r$ 
then have  $M0 + K \in ?W$ 
proof (induct K)
  case empty
  from  $M0$  show  $M0 + \{\#\} \in ?W$  by simp
next
case (add K x)
from add.prem have  $(x, a) \in r$  by simp
with wf-hyp have  $\forall M \in ?W. M + \{\#x\# \in ?W$  by blast
moreover from add have  $M0 + K \in ?W$  by simp
ultimately have  $(M0 + K) + \{\#x\# \in ?W$  ..
then show  $M0 + (K + \{\#x\#) \in ?W$  by (simp only: add-assoc)
qed
then show  $N \in ?W$  by (simp only: N)
qed
qed
} note tedious-reasoning = this

assume wf: wf r
fix  $M$ 
show  $M \in ?W$ 
proof (induct M)
  show  $\{\#\} \in ?W$ 
  proof (rule accI)
    fix  $b$  assume  $(b, \{\#\}) \in ?R$ 
    with not-less-empty show  $b \in ?W$  by contradiction
  qed
qed

fix  $M$  a assume  $M \in ?W$ 
from wf have  $\forall M \in ?W. M + \{\#a\# \in ?W$ 
proof induct
  fix  $a$ 
  assume  $r: !!b. (b, a) \in r \Rightarrow (\forall M \in ?W. M + \{\#b\# \in ?W)$ 
  show  $\forall M \in ?W. M + \{\#a\# \in ?W$ 
  proof
    fix  $M$  assume  $M \in ?W$ 
    then show  $M + \{\#a\# \in ?W$ 
    by (rule acc-induct) (rule tedious-reasoning [OF - r])
  qed

```

```

      qed
    qed
  from this and  $\langle M \in ?W \rangle$  show  $M + \{\#a\# \} \in ?W ..$ 
  qed
qed

```

```

theorem wf-mult1: wf r ==> wf (mult1 r)
by (rule acc-wfI) (rule all-accessible)

```

```

theorem wf-mult: wf r ==> wf (mult r)
unfolding mult-def by (rule wf-trancl) (rule wf-mult1)

```

### 1.6.2 Closure-free presentation

One direction.

```

lemma mult-implies-one-step:
  trans r ==> (M, N) ∈ mult r ==>
    ∃ I J K. N = I + J ∧ M = I + K ∧ J ≠ {#} ∧
      (∀ k ∈ set-of K. ∃ j ∈ set-of J. (k, j) ∈ r)
  apply (unfold mult-def mult1-def set-of-def)
  apply (erule converse-trancl-induct, clarify)
  apply (rule-tac x = M0 in exI, simp, clarify)
  apply (case-tac a :# K)
  apply (rule-tac x = I in exI)
  apply (simp (no-asm))
  apply (rule-tac x = (K - {#a#}) + Ka in exI)
  apply (simp (no-asm-simp) add: add-assoc [symmetric])
  apply (drule-tac f = λM. M - {#a#} in arg-cong)
  apply (simp add: diff-union-single-conv)
  apply (simp (no-asm-use) add: trans-def)
  apply blast
  apply (subgoal-tac a :# I)
  apply (rule-tac x = I - {#a#} in exI)
  apply (rule-tac x = J + {#a#} in exI)
  apply (rule-tac x = K + Ka in exI)
  apply (rule conjI)
  apply (simp add: multiset-ext-iff split: nat-diff-split)
  apply (rule conjI)
  apply (drule-tac f = λM. M - {#a#} in arg-cong, simp)
  apply (simp add: multiset-ext-iff split: nat-diff-split)
  apply (simp (no-asm-use) add: trans-def)
  apply blast
  apply (subgoal-tac a :# (M0 + {#a#}))
  apply simp
  apply (simp (no-asm))
done

```

```

lemma one-step-implies-mult-aux:
  trans r ==>

```

```

       $\forall I J K. (size\ J = n \wedge J \neq \{\#\} \wedge (\forall k \in set-of\ K. \exists j \in set-of\ J. (k, j) \in r))$ 
       $\longrightarrow (I + K, I + J) \in mult\ r$ 
    apply (induct-tac n, auto)
    apply (frule size-eq-Suc-imp-eq-union, clarify)
    apply (rename-tac J', simp)
    apply (erule notE, auto)
    apply (case-tac J' = {\#})
      apply (simp add: mult-def)
      apply (rule r-into-trancl)
      apply (simp add: mult1-def set-of-def, blast)

```

Now we know  $J' \neq \{\#\}$ .

```

    apply (cut-tac M = K and P =  $\lambda x. (x, a) \in r$  in multiset-partition)
    apply (erule-tac P =  $\forall k \in set-of\ K. ?P\ k$  in rev-mp)
    apply (erule ssubst)
    apply (simp add: Ball-def, auto)
    apply (subgoal-tac
      ((I + {\# x :# K. (x, a) \in r \#}) + {\# x :# K. (x, a) \notin r \#},
      (I + {\# x :# K. (x, a) \in r \#}) + J') \in mult\ r)
    prefer 2
    apply force
    apply (simp (no-asm-use) add: add-assoc [symmetric] mult-def)
    apply (erule trancl-trans)
    apply (rule r-into-trancl)
    apply (simp add: mult1-def set-of-def)
    apply (rule-tac x = a in exI)
    apply (rule-tac x = I + J' in exI)
    apply (simp add: add-ac)
  done

```

**lemma** *one-step-implies-mult*:

```

  trans r ==> J \neq {\#} ==>  $\forall k \in set-of\ K. \exists j \in set-of\ J. (k, j) \in r$ 
  ==> (I + K, I + J) \in mult\ r
using one-step-implies-mult-aux by blast

```

### 1.6.3 Partial-order properties

**definition** *less-multiset* :: 'a::order multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool (**infix** <# 50)

where

$$M' <_{\#} M \longleftrightarrow (M', M) \in mult\ \{(x', x). x' < x\}$$

**definition** *le-multiset* :: 'a::order multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool (**infix** <=# 50)

where

$$M' <=_{\#} M \longleftrightarrow M' <_{\#} M \vee M' = M$$

**notation** (xsymbols) *less-multiset* (**infix**  $\subset_{\#}$  50)

**notation** (xsymbols) *le-multiset* (**infix**  $\subseteq_{\#}$  50)

**interpretation** *multiset-order*: order le-multiset less-multiset

**proof** –

```

have irrefl:  $\bigwedge M :: 'a \text{ multiset}. \neg M \subset\# M$ 
proof
  fix M :: 'a multiset
  assume M  $\subset\#$  M
  then have MM:  $(M, M) \in \text{mult } \{(x, y). x < y\}$  by (simp add: less-multiset-def)
  have trans  $\{(x'::'a, x). x' < x\}$ 
    by (rule transI) simp
  moreover note MM
  ultimately have  $\exists I J K. M = I + J \wedge M = I + K$ 
     $\wedge J \neq \{\#\} \wedge (\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in \{(x, y). x < y\})$ 
    by (rule mult-implies-one-step)
  then obtain I J K where  $M = I + J$  and  $M = I + K$ 
    and  $J \neq \{\#\}$  and  $(\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in \{(x, y). x < y\})$  by
blast
  then have aux1:  $K \neq \{\#\}$  and aux2:  $\forall k \in \text{set-of } K. \exists j \in \text{set-of } K. k < j$  by
auto
  have finite (set-of K) by simp
  moreover note aux2
  ultimately have set-of K =  $\{\}$ 
    by (induct rule: finite-induct) (auto intro: order-less-trans)
  with aux1 show False by simp
qed
have trans:  $\bigwedge K M N :: 'a \text{ multiset}. K \subset\# M \implies M \subset\# N \implies K \subset\# N$ 
  unfolding less-multiset-def mult-def by (blast intro: trancl-trans)
show class.order (le-multiset :: 'a multiset  $\Rightarrow$  -) less-multiset proof
  qed (auto simp add: le-multiset-def irrefl dest: trans)
qed

lemma mult-less-irrefl [elim!]:
  M  $\subset\#$  (M::'a::order multiset) ==> R
  by (simp add: multiset-order.less-irrefl)

```

#### 1.6.4 Monotonicity of multiset union

```

lemma mult1-union:
  (B, D)  $\in \text{mult1 } r \implies \text{trans } r \implies (C + B, C + D) \in \text{mult1 } r$ 
  apply (unfold mult1-def)
  apply auto
  apply (rule-tac x = a in exI)
  apply (rule-tac x = C + M0 in exI)
  apply (simp add: add-assoc)
  done

lemma union-less-mono2: B  $\subset\#$  D ==> C + B  $\subset\#$  C + (D::'a::order multiset)
  apply (unfold less-multiset-def mult-def)
  apply (erule trancl-induct)
  apply (blast intro: mult1-union transI order-less-trans r-into-trancl)
  apply (blast intro: mult1-union transI order-less-trans r-into-trancl trancl-trans)
  done

```



```

lemma union-less-mono1:  $B \subset\# D \implies B + C \subset\# D + (C::'a::\text{order multiset})$ 
apply (subst add-commute [of B C])
apply (subst add-commute [of D C])
apply (erule union-less-mono2)
done

```

```

lemma union-less-mono:
   $A \subset\# C \implies B \subset\# D \implies A + B \subset\# C + (D::'a::\text{order multiset})$ 
  by (blast intro!: union-less-mono1 union-less-mono2 multiset-order.less-trans)

```

```

interpretation multiset-order: ordered-ab-semigroup-add plus le-multiset less-multiset
proof
qed (auto simp add: le-multiset-def intro: union-less-mono2)

```

## 1.7 The fold combinator

The intended behaviour is  $\text{fold-mset } f \ z \ \{\#x_1, \dots, x_n\} = f \ x_1 \ (\dots (f \ x_n \ z) \dots)$  if  $f$  is associative-commutative.

The graph of  $\text{fold-mset}$ ,  $z$ : the start element,  $f$ : folding function,  $A$ : the multiset,  $y$ : the result.

```

inductive
  fold-msetG :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'b  $\Rightarrow$  'a multiset  $\Rightarrow$  'b  $\Rightarrow$  bool
  for f :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b
  and z :: 'b
where
  emptyI [intro]: fold-msetG f z {#} z
  | insertI [intro]: fold-msetG f z A y  $\implies$  fold-msetG f z (A + {#x#}) (f x y)

```

```

inductive-cases empty-fold-msetGE [elim!]: fold-msetG f z {#} x
inductive-cases insert-fold-msetGE: fold-msetG f z (A + {#}) y

```

```

definition
  fold-mset :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'b  $\Rightarrow$  'a multiset  $\Rightarrow$  'b where
  fold-mset f z A = (THE x. fold-msetG f z A x)

```

```

lemma Diff1-fold-msetG:
  fold-msetG f z (A - {#x#}) y  $\implies x \in\# A \implies$  fold-msetG f z A (f x y)
apply (frule-tac x = x in fold-msetG.insertI)
apply auto
done

```

```

lemma fold-msetG-nonempty:  $\exists x. \text{fold-msetG } f \ z \ A \ x$ 
apply (induct A)
  apply blast
apply clarsimp
apply (drule-tac x = x in fold-msetG.insertI)
apply auto

```

done

**lemma** *fold-mset-empty[simp]*: *fold-mset f z {#} = z*  
**unfolding** *fold-mset-def* **by** *blast*

**context** *fun-left-comm*  
**begin**

**lemma** *fold-msetG-determ*:

*fold-msetG f z A x  $\implies$  fold-msetG f z A y  $\implies$  y = x*

**proof** (*induct arbitrary: x y z rule: full-multiset-induct*)

**case** (*less M x<sub>1</sub> x<sub>2</sub> Z*)

**have** *IH*:  $\forall A. A < M \longrightarrow$

$(\forall x x' x''. \text{fold-msetG } f x'' A x \longrightarrow \text{fold-msetG } f x'' A x' \longrightarrow x' = x)$  **by** *fact*

**have** *Mfoldx<sub>1</sub>*: *fold-msetG f Z M x<sub>1</sub>* **and** *Mfoldx<sub>2</sub>*: *fold-msetG f Z M x<sub>2</sub>* **by** *fact+*  
**show** *?case*

**proof** (*rule fold-msetG.cases [OF Mfoldx<sub>1</sub>]*)

**assume** *M = {#}* **and** *x<sub>1</sub> = Z*

**then show** *?case* **using** *Mfoldx<sub>2</sub>* **by** *auto*

**next**

**fix** *B b u*

**assume** *M = B + {#b#}* **and** *x<sub>1</sub> = f b u* **and** *Bu*: *fold-msetG f Z B u*

**then have** *MBb*: *M = B + {#b#}* **and** *x<sub>1</sub>*: *x<sub>1</sub> = f b u* **by** *auto*

**show** *?case*

**proof** (*rule fold-msetG.cases [OF Mfoldx<sub>2</sub>]*)

**assume** *M = {#}* *x<sub>2</sub> = Z*

**then show** *?case* **using** *Mfoldx<sub>1</sub>* **by** *auto*

**next**

**fix** *C c v*

**assume** *M = C + {#c#}* **and** *x<sub>2</sub> = f c v* **and** *Cv*: *fold-msetG f Z C v*

**then have** *MCc*: *M = C + {#c#}* **and** *x<sub>2</sub>*: *x<sub>2</sub> = f c v* **by** *auto*

**then have** *CsubM*: *C < M* **by** *simp*

**from** *MBb* **have** *BsubM*: *B < M* **by** *simp*

**show** *?case*

**proof** *cases*

**assume** *b=c*

**then moreover have** *B = C* **using** *MBb MCc* **by** *auto*

**ultimately show** *?thesis* **using** *Bu Cv x<sub>1</sub> x<sub>2</sub> CsubM IH* **by** *auto*

**next**

**assume** *diff*: *b  $\neq$  c*

**let** *?D = B - {#c#}*

**have** *cinB*: *c  $\in$  # B* **and** *binC*: *b  $\in$  # C* **using** *MBb MCc diff*

**by** (*auto intro: insert-noteq-member dest: sym*)

**have** *B - {#c#} < B* **using** *cinB* **by** (*rule mset-less-diff-self*)

**then have** *DsubM*: *?D < M* **using** *BsubM* **by** (*blast intro: order-less-trans*)

**from** *MBb MCc* **have** *B + {#b#} = C + {#c#}* **by** *blast*

**then have** [*simp*]: *B + {#b#} - {#c#} = C*

**using** *MBb MCc binC cinB* **by** *auto*

```

have B:  $B = ?D + \{\#c\# \}$  and C:  $C = ?D + \{\#b\# \}$ 
  using MBb MCc diff binC cinB
  by (auto simp: multiset-add-sub-el-shuffle)
then obtain d where Dfoldd: fold-msetG f Z ?D d
  using fold-msetG-nonempty by iprover
then have fold-msetG f Z B (f c d) using cinB
  by (rule Diff1-fold-msetG)
then have f c d = u using IH BsubM Bu by blast
moreover
have fold-msetG f Z C (f b d) using binC cinB diff Dfoldd
  by (auto simp: multiset-add-sub-el-shuffle
    dest: fold-msetG.insertI [where x=b])
then have f b d = v using IH CsubM Cv by blast
ultimately show ?thesis using x1 x2
  by (auto simp: fun-left-comm)
qed
qed
qed
qed

lemma fold-mset-insert-aux:
  (fold-msetG f z (A +  $\{\#x\# \}$ ) v) =
  ( $\exists y. \text{fold-msetG f z A y} \wedge v = f x y$ )
apply (rule iffI)
prefer 2
apply blast
apply (rule-tac A=A and f=f in fold-msetG-nonempty [THEN exE, standard])
apply (blast intro: fold-msetG-determ)
done

lemma fold-mset-equality: fold-msetG f z A y  $\implies$  fold-mset f z A = y
unfolding fold-mset-def by (blast intro: fold-msetG-determ)

lemma fold-mset-insert:
  fold-mset f z (A +  $\{\#x\# \}$ ) = f x (fold-mset f z A)
apply (simp add: fold-mset-def fold-mset-insert-aux add-commute)
apply (rule the-equality)
apply (auto cong add: conj-cong
  simp add: fold-mset-def [symmetric] fold-mset-equality fold-msetG-nonempty)
done

lemma fold-mset-insert-idem:
  fold-mset f z (A +  $\{\#a\# \}$ ) = f a (fold-mset f z A)
apply (simp add: fold-mset-def fold-mset-insert-aux)
apply (rule the-equality)
apply (auto cong add: conj-cong
  simp add: fold-mset-def [symmetric] fold-mset-equality fold-msetG-nonempty)
done

```

**lemma** *fold-mset-commute*:  $f\ x\ (fold-mset\ f\ z\ A) = fold-mset\ f\ (f\ x\ z)\ A$   
**by** (*induct*  $A$ ) (*auto simp: fold-mset-insert fun-left-comm [of x]*)

**lemma** *fold-mset-single* [*simp*]:  $fold-mset\ f\ z\ \{\#x\# \} = f\ x\ z$   
**using** *fold-mset-insert [of z {#}]* **by** *simp*

**lemma** *fold-mset-union* [*simp*]:  
 $fold-mset\ f\ z\ (A+B) = fold-mset\ f\ (fold-mset\ f\ z\ A)\ B$   
**proof** (*induct*  $A$ )  
  **case** *empty* **then show** ?*case* **by** *simp*  
**next**  
  **case** (*add*  $A\ x$ )  
  **have**  $A + \{\#x\# \} + B = (A+B) + \{\#x\# \}$  **by** (*simp add: add-ac*)  
  **then have**  $fold-mset\ f\ z\ (A + \{\#x\# \} + B) = f\ x\ (fold-mset\ f\ z\ (A + B))$   
  **by** (*simp add: fold-mset-insert*)  
  **also have**  $\dots = fold-mset\ f\ (fold-mset\ f\ z\ (A + \{\#x\# \}))\ B$   
  **by** (*simp add: fold-mset-commute[of x,symmetric] add fold-mset-insert*)  
  **finally show** ?*case* .  
**qed**

**lemma** *fold-mset-fusion*:  
  **assumes** *fun-left-comm*  $g$   
  **shows**  $(\bigwedge x\ y. h\ (g\ x\ y) = f\ x\ (h\ y)) \implies h\ (fold-mset\ g\ w\ A) = fold-mset\ f\ (h\ w)\ A$  (*is PROP ?P*)  
**proof** –  
  **interpret** *fun-left-comm*  $g$  **by** (*fact assms*)  
  **show** *PROP ?P* **by** (*induct*  $A$ ) *auto*  
**qed**

**lemma** *fold-mset-rec*:  
  **assumes**  $a \in \# A$   
  **shows**  $fold-mset\ f\ z\ A = f\ a\ (fold-mset\ f\ z\ (A - \{\#a\# \}))$   
**proof** –  
  **from** *assms* **obtain**  $A'$  **where**  $A = A' + \{\#a\# \}$   
  **by** (*blast dest: multi-member-split*)  
  **then show** ?*thesis* **by** *simp*  
**qed**

**end**

A note on code generation: When defining some function containing a sub-term *fold-mset*  $F$ , code generation is not automatic. When interpreting locale *left-commutative* with  $F$ , the would be code thms for *fold-mset* become thms like  $fold-mset\ F\ z\ \{\# \} = z$  where  $F$  is not a pattern but contains defined symbols, i.e. is not a code thm. Hence a separate constant with its own code thms needs to be introduced for  $F$ . See the image operator below.

## 1.8 Image

**definition** *image-mset* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a multiset  $\Rightarrow$  'b multiset **where**  
*image-mset* *f* = *fold-mset* (*op* + *o* *single* *o* *f*) {#}

**interpretation** *image-left-comm*: *fun-left-comm* *op* + *o* *single* *o* *f*

**proof** **qed** (*simp* *add*: *add-ac*)

**lemma** *image-mset-empty* [*simp*]: *image-mset* *f* {#} = {#}  
**by** (*simp* *add*: *image-mset-def*)

**lemma** *image-mset-single* [*simp*]: *image-mset* *f* {#*x*#} = {#*f* *x*#}  
**by** (*simp* *add*: *image-mset-def*)

**lemma** *image-mset-insert*:  
*image-mset* *f* (*M* + {#*a*#}) = *image-mset* *f* *M* + {#*f* *a*#}  
**by** (*simp* *add*: *image-mset-def* *add-ac*)

**lemma** *image-mset-union* [*simp*]:  
*image-mset* *f* (*M* + *N*) = *image-mset* *f* *M* + *image-mset* *f* *N*  
**apply** (*induct* *N*)  
**apply** *simp*  
**apply** (*simp* *add*: *add-assoc* [*symmetric*] *image-mset-insert*)  
**done**

**lemma** *size-image-mset* [*simp*]: *size* (*image-mset* *f* *M*) = *size* *M*  
**by** (*induct* *M*) *simp-all*

**lemma** *image-mset-is-empty-iff* [*simp*]: *image-mset* *f* *M* = {#}  $\longleftrightarrow$  *M* = {#}  
**by** (*cases* *M*) *auto*

**syntax**

-*comprehension1-mset* :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b multiset  $\Rightarrow$  'a multiset  
 (({#-/. - :# -#}))

**translations**

{#*e*. *x*:#*M*#} == *CONST* *image-mset* (%*x*. *e*) *M*

**syntax**

-*comprehension2-mset* :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b multiset  $\Rightarrow$  bool  $\Rightarrow$  'a multiset  
 (({#-/. | - :# -/. -#}))

**translations**

{#*e* | *x*:#*M*. *P*#} => {#*e*. *x*:# {#*x*:#*M*. *P*#}#}

This allows to write not just filters like {#*x*:#*M*. *x* < *c*#} but also images like {#*x* + *x*. *x*:#*M*#} and {#*x*+*x*|*x*:#*M*. *x*<*c*#}, where the latter is currently displayed as {#*x* + *x*. *x*:# {#*x*:#*M*. *x* < *c*#}#}.

## 1.9 Termination proofs with multiset orders

**lemma** *multi-member-skip*: *x*  $\in$  # *XS*  $\implies$  *x*  $\in$  # {#*y* #} + *XS*

**and** *multi-member-this*:  $x \in \# \{ \# x \# \} + XS$   
**and** *multi-member-last*:  $x \in \# \{ \# x \# \}$   
**by** *auto*

**definition** *ms-strict* = *mult pair-less*  
**definition** [*code del*]: *ms-weak* = *ms-strict*  $\cup$  *Id*

**lemma** *ms-reduction-pair*: *reduction-pair* (*ms-strict*, *ms-weak*)  
**unfolding** *reduction-pair-def ms-strict-def ms-weak-def pair-less-def*  
**by** (*auto intro: wf-mult1 wf-trancl simp: mult-def*)

**lemma** *smsI*:  
 $(\text{set-of } A, \text{set-of } B) \in \text{max-strict} \implies (Z + A, Z + B) \in \text{ms-strict}$   
**unfolding** *ms-strict-def*  
**by** (*rule one-step-implies-mult*) (*auto simp add: max-strict-def pair-less-def elim!: max-ext.cases*)

**lemma** *wmsI*:  
 $(\text{set-of } A, \text{set-of } B) \in \text{max-strict} \vee A = \{ \# \} \wedge B = \{ \# \}$   
 $\implies (Z + A, Z + B) \in \text{ms-weak}$   
**unfolding** *ms-weak-def ms-strict-def*  
**by** (*auto simp add: pair-less-def max-strict-def elim!: max-ext.cases intro: one-step-implies-mult*)

**inductive** *pw-leq*  
**where**  
*pw-leq-empty*: *pw-leq*  $\{ \# \} \{ \# \}$   
| *pw-leq-step*:  $\llbracket (x, y) \in \text{pair-leq}; \text{pw-leq } X \ Y \rrbracket \implies \text{pw-leq } (\{ \# x \# \} + X) (\{ \# y \# \} + Y)$

**lemma** *pw-leq-lstep*:  
 $(x, y) \in \text{pair-leq} \implies \text{pw-leq } \{ \# x \# \} \{ \# y \# \}$   
**by** (*drule pw-leq-step*) (*rule pw-leq-empty, simp*)

**lemma** *pw-leq-split*:  
**assumes** *pw-leq*  $X \ Y$   
**shows**  $\exists A \ B \ Z. X = A + Z \wedge Y = B + Z \wedge ((\text{set-of } A, \text{set-of } B) \in \text{max-strict} \vee (B = \{ \# \} \wedge A = \{ \# \}))$   
**using** *assms*  
**proof** (*induct*)  
**case** *pw-leq-empty* **thus** ?*case* **by** *auto*  
**next**  
**case** (*pw-leq-step*  $x \ y \ X \ Y$ )  
**then obtain**  $A \ B \ Z$  **where**  
 $[simp]: X = A + Z \ Y = B + Z$   
**and**  $1[simp]: (\text{set-of } A, \text{set-of } B) \in \text{max-strict} \vee (B = \{ \# \} \wedge A = \{ \# \})$   
**by** *auto*  
**from** *pw-leq-step* **have**  $x = y \vee (x, y) \in \text{pair-less}$   
**unfolding** *pair-leq-def* **by** *auto*  
**thus** ?*case*  
**proof**

```

assume [simp]:  $x = y$ 
have
   $\{\#x\# \} + X = A + (\{\#y\# \} + Z)$ 
   $\wedge \{\#y\# \} + Y = B + (\{\#y\# \} + Z)$ 
   $\wedge ((\text{set-of } A, \text{set-of } B) \in \text{max-strict} \vee (B = \{\#\} \wedge A = \{\#\}))$ 
  by (auto simp: add-ac)
thus ?case by (intro exI)
next
assume  $A: (x, y) \in \text{pair-less}$ 
let ?A' =  $\{\#x\# \} + A$  and ?B' =  $\{\#y\# \} + B$ 
have  $\{\#x\# \} + X = ?A' + Z$ 
   $\{\#y\# \} + Y = ?B' + Z$ 
  by (auto simp add: add-ac)
moreover have
   $(\text{set-of } ?A', \text{set-of } ?B') \in \text{max-strict}$ 
  using 1 A unfolding max-strict-def
  by (auto elim!: max-ext.cases)
ultimately show ?thesis by blast
qed
qed

lemma
  assumes pwleq: pw-leq  $Z Z'$ 
  shows ms-strictI:  $(\text{set-of } A, \text{set-of } B) \in \text{max-strict} \implies (Z + A, Z' + B) \in \text{ms-strict}$ 
  and ms-weakI1:  $(\text{set-of } A, \text{set-of } B) \in \text{max-strict} \implies (Z + A, Z' + B) \in \text{ms-weak}$ 
  and ms-weakI2:  $(Z + \{\#\}, Z' + \{\#\}) \in \text{ms-weak}$ 
proof –
  from pwleq-split[OF pwleq]
  obtain A' B' Z''
    where [simp]:  $Z = A' + Z''$   $Z' = B' + Z''$ 
    and mx-or-empty:  $(\text{set-of } A', \text{set-of } B') \in \text{max-strict} \vee (A' = \{\#\} \wedge B' = \{\#\})$ 
    by blast
  {
    assume max:  $(\text{set-of } A, \text{set-of } B) \in \text{max-strict}$ 
    from mx-or-empty
    have  $(Z'' + (A + A'), Z'' + (B + B')) \in \text{ms-strict}$ 
    proof
      assume max':  $(\text{set-of } A', \text{set-of } B') \in \text{max-strict}$ 
      with max have  $(\text{set-of } (A + A'), \text{set-of } (B + B')) \in \text{max-strict}$ 
      by (auto simp: max-strict-def intro: max-ext-additive)
      thus ?thesis by (rule smsI)
    next
      assume [simp]:  $A' = \{\#\} \wedge B' = \{\#\}$ 
      show ?thesis by (rule smsI) (auto intro: max)
    qed
    thus  $(Z + A, Z' + B) \in \text{ms-strict}$  by (simp add: add-ac)
    thus  $(Z + A, Z' + B) \in \text{ms-weak}$  by (simp add: ms-weak-def)
  }

```

```

}
from mx-or-empty
have  $(Z'' + A', Z'' + B') \in \text{ms-weak}$  by (rule wmsI)
thus  $(Z + \{\#\}, Z' + \{\#\}) \in \text{ms-weak}$  by (simp add:add-ac)
qed

```

```

lemma empty-idemp:  $\{\#\} + x = x$   $x + \{\#\} = x$ 
and nonempty-plus:  $\{\# x \#\} + rs \neq \{\#\}$ 
and nonempty-single:  $\{\# x \#\} \neq \{\#\}$ 
by auto

```

```

setup <<

```

```

let

```

```

  fun msetT T = Type (@{type-name multiset}, [T]);

```

```

  fun mk-mset T [] = Const (@{const-abbrev Mempty}, msetT T)
    | mk-mset T [x] = Const (@{const-name single}, T --> msetT T) $ x
    | mk-mset T (x :: xs) =
      Const (@{const-name plus}, msetT T --> msetT T --> msetT T) $
        mk-mset T [x] $ mk-mset T xs

```

```

  fun mset-member-tac m i =
    (if m <= 0 then
      rtac @{thm multi-member-this} i ORELSE rtac @{thm multi-member-last}
    else
      rtac @{thm multi-member-skip} i THEN mset-member-tac (m - 1) i)

```

```

  val mset-nonempty-tac =
    rtac @{thm nonempty-plus} ORELSE' rtac @{thm nonempty-single}

```

```

  val regroup-munion-conv =
    Function-Lib.regroup-conv @{const-abbrev Mempty} @{const-name plus}
    (map (fn t => t RS eq-reflection) (@{thms add-ac} @ @{thms empty-idemp}))

```

```

  fun unfold-pwleq-tac i =
    (rtac @{thm pw-leq-step} i THEN (fn st => unfold-pwleq-tac (i + 1) st))
    ORELSE (rtac @{thm pw-leq-lstep} i)
    ORELSE (rtac @{thm pw-leq-empty} i)

```

```

  val set-of-simps = [@{thm set-of-empty}, @{thm set-of-single}, @{thm set-of-union},
    @{thm Un-insert-left}, @{thm Un-empty-left}]

```

```

in

```

```

  ScnpReconstruct.multiset-setup (ScnpReconstruct.Multiset
  {
    msetT=msetT, mk-mset=mk-mset, mset-regroup-conv=regroup-munion-conv,
    mset-member-tac=mset-member-tac, mset-nonempty-tac=mset-nonempty-tac,
    mset-pwleq-tac=unfold-pwleq-tac, set-of-simps=set-of-simps,
    smsI'= @{thm ms-strictI}, wmsI2''= @{thm ms-weakI2}, wmsI1= @{thm

```



```

ms-weakI1},
  reduction-pair= @{thm ms-reduction-pair}
})
end
>>

```

## 1.10 Legacy theorem bindings

**lemmas** *multi-count-eq = multiset-ext-iff [symmetric]*

**lemma** *union-commute*:  $M + N = N + (M::'a \text{ multiset})$   
**by** (*fact add-commute*)

**lemma** *union-assoc*:  $(M + N) + K = M + (N + (K::'a \text{ multiset}))$   
**by** (*fact add-assoc*)

**lemma** *union-lcomm*:  $M + (N + K) = N + (M + (K::'a \text{ multiset}))$   
**by** (*fact add-left-commute*)

**lemmas** *union-ac = union-assoc union-commute union-lcomm*

**lemma** *union-right-cancel*:  $M + K = N + K \longleftrightarrow M = (N::'a \text{ multiset})$   
**by** (*fact add-right-cancel*)

**lemma** *union-left-cancel*:  $K + M = K + N \longleftrightarrow M = (N::'a \text{ multiset})$   
**by** (*fact add-left-cancel*)

**lemma** *multi-union-self-other-eq*:  $(A::'a \text{ multiset}) + X = A + Y \Longrightarrow X = Y$   
**by** (*fact add-imp-eq*)

**lemma** *mset-less-trans*:  $(M::'a \text{ multiset}) < K \Longrightarrow K < N \Longrightarrow M < N$   
**by** (*fact order-less-trans*)

**lemma** *multiset-inter-commute*:  $A \# \cap B = B \# \cap A$   
**by** (*fact inf.commute*)

**lemma** *multiset-inter-assoc*:  $A \# \cap (B \# \cap C) = A \# \cap B \# \cap C$   
**by** (*fact inf.assoc [symmetric]*)

**lemma** *multiset-inter-left-commute*:  $A \# \cap (B \# \cap C) = B \# \cap (A \# \cap C)$   
**by** (*fact inf.left-commute*)

**lemmas** *multiset-inter-ac =*  
*multiset-inter-commute*  
*multiset-inter-assoc*  
*multiset-inter-left-commute*

**lemma** *mult-less-not-refl*:  
 $\neg M \subset \# (M::'a::\text{order multiset})$

```

    by (fact multiset-order.less-irrefl)

lemma mult-less-trans:
  K ⊂# M ==> M ⊂# N ==> K ⊂# (N::'a::order multiset)
  by (fact multiset-order.less-trans)

lemma mult-less-not-sym:
  M ⊂# N ==> ¬ N ⊂# (M::'a::order multiset)
  by (fact multiset-order.less-not-sym)

lemma mult-less-asym:
  M ⊂# N ==> (¬ P ==> N ⊂# (M::'a::order multiset)) ==> P
  by (fact multiset-order.less-asym)

ML <<
fun multiset-postproc - maybe-name all-values (T as Type (-, [elem-T]))
  (Const - $ t') =
  let
    val (maybe-opt, ps) =
      Nitpick-Model.dest-plain-fun t' ||> op ~~
      ||> map (apsnd (snd o HOLogic.dest-number))
    fun elems-for t =
      case AList.lookup (op =) ps t of
        SOME n => replicate n t
      | NONE => [Const (maybe-name, elem-T --> elem-T) $ t]
  in
    case maps elems-for (all-values elem-T) @
      (if maybe-opt then [Const (Nitpick-Model.unrep (), elem-T)]
      else []) of
      [] => Const (@{const-name zero-class.zero}, T)
    | ts => foldl1 (fn (t1, t2) =>
      Const (@{const-name plus-class.plus}, T --> T --> T)
      $ t1 $ t2)
      (map (curry (op $)) (Const (@{const-name single},
        elem-T --> T))) ts)
  end
  | multiset-postproc - - - t = t
  >>

setup <<
Nitpick.register-term-postprocessor @{typ 'a multiset} multiset-postproc
>>

end

theory LProd
imports Multiset

```

**begin**

**inductive-set**

$lprod :: ('a * 'a) \text{ set} \Rightarrow ('a \text{ list} * 'a \text{ list}) \text{ set}$

**for**  $R :: ('a * 'a) \text{ set}$

**where**

$lprod\text{-}single[intro!]: (a, b) \in R \Longrightarrow ([a], [b]) \in lprod\ R$

$| lprod\text{-}list[intro!]: (ah@at, bh@bt) \in lprod\ R \Longrightarrow (a,b) \in R \vee a = b \Longrightarrow (ah@a\#at, bh@b\#bt) \in lprod\ R$

**lemma**  $(as, bs) \in lprod\ R \Longrightarrow length\ as = length\ bs$

**apply**  $(induct\ as\ bs\ rule: lprod.induct)$

**apply** *auto*

**done**

**lemma**  $(as, bs) \in lprod\ R \Longrightarrow 1 \leq length\ as \wedge 1 \leq length\ bs$

**apply**  $(induct\ as\ bs\ rule: lprod.induct)$

**apply** *auto*

**done**

**lemma**  $lprod\text{-}subset\text{-}elem: (as, bs) \in lprod\ S \Longrightarrow S \subseteq R \Longrightarrow (as, bs) \in lprod\ R$

**apply**  $(induct\ as\ bs\ rule: lprod.induct)$

**apply** *auto*

**done**

**lemma**  $lprod\text{-}subset: S \subseteq R \Longrightarrow lprod\ S \subseteq lprod\ R$

**by**  $(auto\ intro: lprod\text{-}subset\text{-}elem)$

**lemma**  $lprod\text{-}implies\text{-}mult: (as, bs) \in lprod\ R \Longrightarrow trans\ R \Longrightarrow (multiset\text{-}of\ as, multiset\text{-}of\ bs) \in mult\ R$

**proof**  $(induct\ as\ bs\ rule: lprod.induct)$

**case**  $(lprod\text{-}single\ a\ b)$

**note**  $step = one\text{-}step\text{-}implies\text{-}mult[$

**where**  $r=R$  **and**  $I=\{\#\}$  **and**  $K=\{\#a\# \}$  **and**  $J=\{\#b\# \}$ , *simplified*

**show**  $?case$  **by**  $(auto\ intro: lprod\text{-}single\ step)$

**next**

**case**  $(lprod\text{-}list\ ah\ at\ bh\ bt\ a\ b)$

**from** *prems* **have**  $transR: trans\ R$  **by** *auto*

**have**  $as: multiset\text{-}of\ (ah\ @\ a\ \# \ at) = multiset\text{-}of\ (ah\ @\ at) + \{\#a\# \}$  **(is - =**  $?ma + -)$

**by**  $(simp\ add: algebra\text{-}simps)$

**have**  $bs: multiset\text{-}of\ (bh\ @\ b\ \# \ bt) = multiset\text{-}of\ (bh\ @\ bt) + \{\#b\# \}$  **(is - =**  $?mb + -)$

**by**  $(simp\ add: algebra\text{-}simps)$

**from** *prems* **have**  $(?ma, ?mb) \in mult\ R$

**by** *auto*

**with**  $mult\text{-}implies\text{-}one\text{-}step[OF\ transR]$  **have**

$\exists I\ J\ K. ?mb = I + J \wedge ?ma = I + K \wedge J \neq \{\#\} \wedge (\forall k \in set\text{-}of\ K. \exists j \in set\text{-}of\ J. (k, j) \in R)$

```

    by blast
  then obtain  $I\ J\ K$  where
    decomposed:  $?mb = I + J \wedge ?ma = I + K \wedge J \neq \{\#\} \wedge (\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in R)$ 
    by blast
  show ?case
  proof (cases  $a = b$ )
    case True
    have  $((I + \{\#b\}) + K, (I + \{\#b\}) + J) \in \text{mult } R$ 
    apply (rule one-step-implies-mult[OF transR])
    apply (auto simp add: decomposed)
    done
  then show ?thesis
    apply (simp only: as bs)
    apply (simp only: decomposed True)
    apply (simp add: algebra-simps)
    done
  next
  case False
  from False lprod-list have False:  $(a, b) \in R$  by blast
  have  $(I + (K + \{\#a\}), I + (J + \{\#b\})) \in \text{mult } R$ 
  apply (rule one-step-implies-mult[OF transR])
  apply (auto simp add: False decomposed)
  done
  then show ?thesis
    apply (simp only: as bs)
    apply (simp only: decomposed)
    apply (simp add: algebra-simps)
    done
qed
qed

lemma wf-lprod[recdef-wf,simp,intro]:
  assumes wf-R: wf R
  shows wf (lprod R)
proof -
  have subset:  $\text{lprod } (R^+) \subseteq \text{inv-image } (\text{mult } (R^+)) \text{ multiset-of}$ 
  by (auto simp add: lprod-implies-mult trans-trancl)
  note lprodtrancl = wf-subset[OF wf-inv-image[where  $r = \text{mult } (R^+)$  and  $f = \text{multiset-of}$ ,
    OF wf-mult[OF wf-trancl[OF wf-R]]], OF subset]
  note lprod = wf-subset[OF lprodtrancl, where  $p = \text{lprod } R$ , OF lprod-subset, simplified]
  show ?thesis by (auto intro: lprod)
qed

definition gprod-2-2 ::  $('a * 'a) \text{ set} \Rightarrow (('a * 'a) * ('a * 'a)) \text{ set}$  where
  gprod-2-2  $R \equiv \{ ((a,b), (c,d)) . (a = c \wedge (b,d) \in R) \vee (b = d \wedge (a,c) \in R) \}$ 

```

**definition** *gprod-2-1* :: ('a \* 'a) set  $\Rightarrow$  (('a \* 'a) \* ('a \* 'a)) set **where**  
*gprod-2-1* R  $\equiv$  { ((a,b), (c,d)) . (a = d  $\wedge$  (b,c)  $\in$  R)  $\vee$  (b = c  $\wedge$  (a,d)  $\in$  R) }

**lemma** *lprod-2-3*: (a, b)  $\in$  R  $\implies$  ([a, c], [b, c])  $\in$  *lprod* R  
**by** (auto intro: *lprod-list*[**where** a=c **and** b=c **and**  
ah = [a] **and** at = [] **and** bh=[b] **and** bt=[], *simplified*])

**lemma** *lprod-2-4*: (a, b)  $\in$  R  $\implies$  ([c, a], [c, b])  $\in$  *lprod* R  
**by** (auto intro: *lprod-list*[**where** a=c **and** b=c **and**  
ah = [] **and** at = [a] **and** bh=[] **and** bt=[b], *simplified*])

**lemma** *lprod-2-1*: (a, b)  $\in$  R  $\implies$  ([c, a], [b, c])  $\in$  *lprod* R  
**by** (auto intro: *lprod-list*[**where** a=c **and** b=c **and**  
ah = [] **and** at = [a] **and** bh=[b] **and** bt=[], *simplified*])

**lemma** *lprod-2-2*: (a, b)  $\in$  R  $\implies$  ([a, c], [c, b])  $\in$  *lprod* R  
**by** (auto intro: *lprod-list*[**where** a=c **and** b=c **and**  
ah = [a] **and** at = [] **and** bh=[] **and** bt=[b], *simplified*])

**lemma** [*recdef-wf*, *simp*, *intro*]:  
**assumes** *wfR*: *wf* R **shows** *wf* (*gprod-2-1* R)  
**proof** –  
**have** *gprod-2-1* R  $\subseteq$  *inv-image* (*lprod* R) ( $\lambda$  (a,b). [a,b])  
**by** (auto *simp* add: *gprod-2-1-def* *lprod-2-1* *lprod-2-2*)  
**with** *wfR* **show** ?thesis  
**by** (*rule-tac* *wf-subset*, *auto*)  
**qed**

**lemma** [*recdef-wf*, *simp*, *intro*]:  
**assumes** *wfR*: *wf* R **shows** *wf* (*gprod-2-2* R)  
**proof** –  
**have** *gprod-2-2* R  $\subseteq$  *inv-image* (*lprod* R) ( $\lambda$  (a,b). [a,b])  
**by** (auto *simp* add: *gprod-2-2-def* *lprod-2-3* *lprod-2-4*)  
**with** *wfR* **show** ?thesis  
**by** (*rule-tac* *wf-subset*, *auto*)  
**qed**

**lemma** *lprod-3-1*: **assumes** (x', x)  $\in$  R **shows** ([y, z, x'], [x, y, z])  $\in$  *lprod* R  
**apply** (*rule* *lprod-list*[**where** a=y **and** b=y **and** ah=[] **and** at=[z,x'] **and** bh=[x]  
**and** bt=[z], *simplified*])  
**apply** (auto *simp* add: *lprod-2-1* *prems*)  
**done**

**lemma** *lprod-3-2*: **assumes** (z', z)  $\in$  R **shows** ([z', x, y], [x,y,z])  $\in$  *lprod* R  
**apply** (*rule* *lprod-list*[**where** a=y **and** b=y **and** ah=[z',x] **and** at=[] **and** bh=[x]  
**and** bt=[z], *simplified*])  
**apply** (auto *simp* add: *lprod-2-2* *prems*)  
**done**

**lemma** *lprod-3-3*: **assumes** *xr*:  $(xr, x) \in R$  **shows**  $([xr, y, z], [x, y, z]) \in \text{lprod } R$   
**apply** (*rule lprod-list*[**where**  $a=y$  **and**  $b=y$  **and**  $ah=[xr]$  **and**  $at=[z]$  **and**  $bh=[x]$   
**and**  $bt=[z]$ , *simplified*])  
**apply** (*simp add*: *xr lprod-2-3*)  
**done**

**lemma** *lprod-3-4*: **assumes** *yr*:  $(yr, y) \in R$  **shows**  $([x, yr, z], [x, y, z]) \in \text{lprod } R$   
**apply** (*rule lprod-list*[**where**  $a=x$  **and**  $b=x$  **and**  $ah=[]$  **and**  $at=[yr, z]$  **and**  $bh=[]$   
**and**  $bt=[y, z]$ , *simplified*])  
**apply** (*simp add*: *yr lprod-2-3*)  
**done**

**lemma** *lprod-3-5*: **assumes** *zr*:  $(zr, z) \in R$  **shows**  $([x, y, zr], [x, y, z]) \in \text{lprod } R$   
**apply** (*rule lprod-list*[**where**  $a=x$  **and**  $b=x$  **and**  $ah=[]$  **and**  $at=[y, zr]$  **and**  $bh=[]$   
**and**  $bt=[y, z]$ , *simplified*])  
**apply** (*simp add*: *zr lprod-2-4*)  
**done**

**lemma** *lprod-3-6*: **assumes** *y'*:  $(y', y) \in R$  **shows**  $([x, z, y'], [x, y, z]) \in \text{lprod } R$   
**apply** (*rule lprod-list*[**where**  $a=z$  **and**  $b=z$  **and**  $ah=[x]$  **and**  $at=[y']$  **and**  $bh=[x, y]$   
**and**  $bt=[],$  *simplified*])  
**apply** (*simp add*: *y' lprod-2-4*)  
**done**

**lemma** *lprod-3-7*: **assumes** *z'*:  $(z', z) \in R$  **shows**  $([x, z', y], [x, y, z]) \in \text{lprod } R$   
**apply** (*rule lprod-list*[**where**  $a=y$  **and**  $b=y$  **and**  $ah=[x, z']$  **and**  $at=[]$  **and**  
 $bh=[x]$  **and**  $bt=[z]$ , *simplified*])  
**apply** (*simp add*: *z' lprod-2-4*)  
**done**

**definition** *perm* ::  $('a \Rightarrow 'a) \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$  **where**  
*perm* *f* *A*  $\equiv \text{inj-on } f \text{ } A \wedge f \text{ ` } A = A$

**lemma**  $((as, bs) \in \text{lprod } R) =$   
 $(\exists f. \text{perm } f \{0 ..< (\text{length } as)\} \wedge$   
 $(\forall j. j < \text{length } as \longrightarrow ((\text{nth } as \ j, \text{nth } bs \ (f \ j)) \in R \vee (\text{nth } as \ j = \text{nth } bs \ (f \ j))))$   
 $\wedge$   
 $(\exists i. i < \text{length } as \wedge (\text{nth } as \ i, \text{nth } bs \ (f \ i)) \in R))$   
**oops**

**lemma**  $\text{trans } R \Longrightarrow (ah@a\#at, bh@b\#bt) \in \text{lprod } R \Longrightarrow (b, a) \in R \vee a = b \Longrightarrow$   
 $(ah@at, bh@bt) \in \text{lprod } R$   
**oops**

**end**

**theory** *MainZF*

```

imports Zet LProd
begin

```

```

end

```

```

theory Games
imports MainZF
begin

```

```

definition fixgames :: ZF set  $\Rightarrow$  ZF set where
  fixgames A  $\equiv \{ \text{Opair } l \ r \mid l \ r. \text{ explode } l \subseteq A \ \& \ \text{explode } r \subseteq A \}$ 

```

```

definition games-lfp :: ZF set where
  games-lfp  $\equiv \text{lfp fixgames}$ 

```

```

definition games-gfp :: ZF set where
  games-gfp  $\equiv \text{gfp fixgames}$ 

```

```

lemma mono-fixgames: mono (fixgames)
apply (auto simp add: mono-def fixgames-def)
apply (rule-tac x=l in exI)
apply (rule-tac x=r in exI)
apply auto
done

```

```

lemma games-lfp-unfold: games-lfp = fixgames games-lfp
by (auto simp add: def-lfp-unfold games-lfp-def mono-fixgames)

```

```

lemma games-gfp-unfold: games-gfp = fixgames games-gfp
by (auto simp add: def-gfp-unfold games-gfp-def mono-fixgames)

```

```

lemma games-lfp-nonempty: Opair Empty Empty  $\in$  games-lfp
proof –
  have fixgames {}  $\subseteq$  games-lfp
  apply (subst games-lfp-unfold)
  apply (simp add: mono-fixgames[simplified mono-def, rule-format])
  done
  moreover have fixgames {} = {Opair Empty Empty}
  by (simp add: fixgames-def explode-Empty)
  finally show ?thesis
  by auto
qed

```

```

definition left-option :: ZF  $\Rightarrow$  ZF  $\Rightarrow$  bool where
  left-option g opt  $\equiv (\text{Elem opt } (\text{Fst } g))$ 

```

```

definition right-option :: ZF  $\Rightarrow$  ZF  $\Rightarrow$  bool where

```

$right-option\ g\ opt \equiv (Elem\ opt\ (Snd\ g))$

**definition**  $is-option-of :: (ZF * ZF)\ set$  **where**

$is-option-of \equiv \{ (opt, g) \mid opt\ g.\ g \in games-gfp \wedge (left-option\ g\ opt \vee right-option\ g\ opt) \}$

**lemma**  $games-lfp-subset-gfp$ :  $games-lfp \subseteq games-gfp$

**proof** –

**have**  $games-lfp \subseteq fixgames\ games-lfp$

**by** ( $simp\ add$ :  $games-lfp-unfold[symmetric]$ )

**then show**  $?thesis$

**by** ( $simp\ add$ :  $games-gfp-def\ gfp-upperbound$ )

**qed**

**lemma**  $games-option-stable$ :

**assumes**  $fixgames$ :  $games = fixgames\ games$

**and**  $g$ :  $g \in games$

**and**  $opt$ :  $left-option\ g\ opt \vee right-option\ g\ opt$

**shows**  $opt \in games$

**proof** –

**from**  $g\ fixgames$  **have**  $g \in fixgames\ games$  **by**  $auto$

**then have**  $\exists\ l\ r.\ g = Opair\ l\ r \wedge explode\ l \subseteq games \wedge explode\ r \subseteq games$

**by** ( $simp\ add$ :  $fixgames-def$ )

**then obtain**  $l$  **where**  $\exists\ r.\ g = Opair\ l\ r \wedge explode\ l \subseteq games \wedge explode\ r \subseteq games$  **..**

**then obtain**  $r$  **where**  $lr$ :  $g = Opair\ l\ r \wedge explode\ l \subseteq games \wedge explode\ r \subseteq games$  **..**

**with**  $opt$  **show**  $?thesis$

**by** ( $auto\ intro$ :  $Elem-explode-in\ simp\ add$ :  $left-option-def\ right-option-def\ Fst\ Snd$ )

**qed**

**lemma**  $option2elem$ :  $(opt, g) \in is-option-of \implies \exists\ u\ v.\ Elem\ opt\ u \wedge Elem\ u\ v \wedge Elem\ v\ g$

**apply** ( $simp\ add$ :  $is-option-of-def$ )

**apply** ( $subgoal-tac\ (g \in games-gfp) = (g \in (fixgames\ games-gfp))$ )

**prefer** 2

**apply** ( $simp\ add$ :  $games-gfp-unfold[symmetric]$ )

**apply** ( $auto\ simp\ add$ :  $fixgames-def\ left-option-def\ right-option-def\ Fst\ Snd$ )

**apply** ( $rule-tac\ x=l\ in\ exI$ ,  $insert\ Elem-Opair-exists$ ,  $blast$ )

**apply** ( $rule-tac\ x=r\ in\ exI$ ,  $insert\ Elem-Opair-exists$ ,  $blast$ )

**done**

**lemma**  $is-option-of-subset-is-Elem-of$ :  $is-option-of \subseteq (is-Elem-of^+)$

**proof** –

{

**fix**  $opt$

**fix**  $g$

**assume**  $(opt, g) \in is-option-of$



```

    then have  $\exists u v. (opt, u) \in (is-Elem-of^+ ) \wedge (u, v) \in (is-Elem-of^+ ) \wedge (v, g)$ 
    ∈ (is-Elem-of^+ )
    apply -
    apply (drule option2elem)
    apply (auto simp add: r-into-trancl' is-Elem-of-def)
    done
    then have  $(opt, g) \in (is-Elem-of^+ )$ 
    by (blast intro: trancl-into-rtrancl trancl-rtrancl-trancl)
  }
  then show ?thesis by auto
qed

```

```

lemma wfzf-is-option-of: wfzf is-option-of
proof -
  have wfzf (is-Elem-of^+ ) by (simp add: wfzf-trancl wfzf-is-Elem-of)
  then show ?thesis
    apply (rule wfzf-subset)
    apply (rule is-option-of-subset-is-Elem-of)
    done
qed

```

```

lemma games-gfp-imp-lfp:  $g \in \text{games-gfp} \longrightarrow g \in \text{games-lfp}$ 
proof -
  have unfold-gfp:  $\bigwedge x. x \in \text{games-gfp} \implies x \in (\text{fixgames games-gfp})$ 
    by (simp add: games-gfp-unfold[symmetric])
  have unfold-lfp:  $\bigwedge x. (x \in \text{games-lfp}) = (x \in (\text{fixgames games-lfp}))$ 
    by (simp add: games-lfp-unfold[symmetric])
  show ?thesis
    apply (rule wf-induct[OF wfzf-implies-wf[OF wfzf-is-option-of]])
    apply (auto simp add: is-option-of-def)
    apply (drule-tac unfold-gfp)
    apply (simp add: fixgames-def)
    apply (auto simp add: left-option-def Fst right-option-def Snd)
    apply (subgoal-tac explode l  $\subseteq$  games-lfp)
    apply (subgoal-tac explode r  $\subseteq$  games-lfp)
    apply (subst unfold-lfp)
    apply (auto simp add: fixgames-def)
    apply (simp-all add: explode-Elem Elem-explode-in)
    done
qed

```

```

theorem games-lfp-eq-gfp:  $\text{games-lfp} = \text{games-gfp}$ 
  apply (auto simp add: games-gfp-imp-lfp)
  apply (insert games-lfp-subset-gfp)
  apply auto
  done

```

```

theorem unique-games:  $(g = \text{fixgames } g) = (g = \text{games-lfp})$ 
proof -

```

```

{
  fix g
  assume g: g = fixgames g
  from g have fixgames g  $\subseteq$  g by auto
  then have l:games-lfp  $\subseteq$  g
    by (simp add: games-lfp-def lfp-lowerbound)
  from g have g  $\subseteq$  fixgames g by auto
  then have u:g  $\subseteq$  games-gfp
    by (simp add: games-gfp-def gfp-upperbound)
  from l u games-lfp-eq-gfp[symmetric] have g = games-lfp
    by auto
}
note games = this
show ?thesis
  apply (rule iff[rule-format])
  apply (erule games)
  apply (simp add: games-lfp-unfold[symmetric])
  done
qed

```

```

lemma games-lfp-option-stable:
  assumes g: g  $\in$  games-lfp
  and opt: left-option g opt  $\vee$  right-option g opt
  shows opt  $\in$  games-lfp
  apply (rule games-option-stable[where g=g])
  apply (simp add: games-lfp-unfold[symmetric])
  apply (simp-all add: prems)
  done

```

```

lemma is-option-of-imp-games:
  assumes hyp: (opt, g)  $\in$  is-option-of
  shows opt  $\in$  games-lfp  $\wedge$  g  $\in$  games-lfp
proof -
  from hyp have g-game: g  $\in$  games-lfp
    by (simp add: is-option-of-def games-lfp-eq-gfp)
  from hyp have left-option g opt  $\vee$  right-option g opt
    by (auto simp add: is-option-of-def)
  with g-game games-lfp-option-stable[OF g-game, OF this] show ?thesis
    by auto
qed

```

```

lemma games-lfp-represent: x  $\in$  games-lfp  $\implies \exists l r. x = \text{Opair } l r$ 
  apply (rule exI[where x=Fst x])
  apply (rule exI[where x=Snd x])
  apply (subgoal-tac x  $\in$  (fixgames games-lfp))
  apply (simp add: fixgames-def)
  apply (auto simp add: Fst Snd)
  apply (simp add: games-lfp-unfold[symmetric])
  done

```

```

typedef game = games-lfp
  by (blast intro: games-lfp-nonempty)

definition left-options :: game  $\Rightarrow$  game zet where
  left-options g  $\equiv$  zimage Abs-game (zexplode (Fst (Rep-game g)))

definition right-options :: game  $\Rightarrow$  game zet where
  right-options g  $\equiv$  zimage Abs-game (zexplode (Snd (Rep-game g)))

definition options :: game  $\Rightarrow$  game zet where
  options g  $\equiv$  zunion (left-options g) (right-options g)

definition Game :: game zet  $\Rightarrow$  game zet  $\Rightarrow$  game where
  Game L R  $\equiv$  Abs-game (Opair (zimplode (zimage Rep-game L)) (zimplode (zimage Rep-game R)))

lemma Repl-Rep-game-Abs-game:  $\forall e. \text{Elem } e \ z \longrightarrow e \in \text{games-lfp} \implies \text{Repl } z$ 
  (Rep-game o Abs-game) = z
  apply (subst Ext)
  apply (simp add: Repl)
  apply auto
  apply (subst Abs-game-inverse, simp-all add: game-def)
  apply (rule-tac x=za in exI)
  apply (subst Abs-game-inverse, simp-all add: game-def)
  done

lemma game-split: g = Game (left-options g) (right-options g)
proof –
  have  $\exists l \ r. \text{Rep-game } g = \text{Opair } l \ r$ 
  apply (insert Rep-game[of g])
  apply (simp add: game-def games-lfp-represent)
  done
  then obtain l r where lr: Rep-game g = Opair l r by auto
  have partizan-g: Rep-game g  $\in$  games-lfp
  apply (insert Rep-game[of g])
  apply (simp add: game-def)
  done
  have  $\forall e. \text{Elem } e \ l \longrightarrow \text{left-option } (\text{Rep-game } g) \ e$ 
  by (simp add: lr left-option-def Fst)
  then have partizan-l:  $\forall e. \text{Elem } e \ l \longrightarrow e \in \text{games-lfp}$ 
  apply auto
  apply (rule games-lfp-option-stable[where g=Rep-game g, OF partizan-g])
  apply auto
  done
  have  $\forall e. \text{Elem } e \ r \longrightarrow \text{right-option } (\text{Rep-game } g) \ e$ 
  by (simp add: lr right-option-def Snd)
  then have partizan-r:  $\forall e. \text{Elem } e \ r \longrightarrow e \in \text{games-lfp}$ 
  apply auto

```

```

    apply (rule games-lfp-option-stable[where g=Rep-game g, OF partizan-g])
  apply auto
done
let ?L = zimage (Abs-game) (zexplode l)
let ?R = zimage (Abs-game) (zexplode r)
have L:?L = left-options g
  by (simp add: left-options-def lr Fst)
have R:?R = right-options g
  by (simp add: right-options-def lr Snd)
have g = Game ?L ?R
  apply (simp add: Game-def Rep-game-inject[symmetric] comp-zimage-eq zimage-zexplode-eq
    zimplode-zexplode)
  apply (simp add: Repl-Rep-game-Abs-game partizan-l partizan-r)
  apply (subst Abs-game-inverse)
  apply (simp-all add: lr[symmetric] Rep-game)
done
then show ?thesis
  by (simp add: L R)
qed

```

```

lemma Opair-in-games-lfp:
  assumes l: explode l  $\subseteq$  games-lfp
  and r: explode r  $\subseteq$  games-lfp
  shows Opair l r  $\in$  games-lfp
proof -
  note f = unique-games[of games-lfp, simplified]
  show ?thesis
    apply (subst f)
    apply (simp add: fixgames-def)
    apply (rule exI[where x=l])
    apply (rule exI[where x=r])
    apply (auto simp add: l r)
  done
qed

```

```

lemma left-options[simp]: left-options (Game l r) = l
  apply (simp add: left-options-def Game-def)
  apply (subst Abs-game-inverse)
  apply (simp add: game-def)
  apply (rule Opair-in-games-lfp)
  apply (auto simp add: explode-Elem Elem-zimplode zimage-iff Rep-game[simplified
    game-def])
  apply (simp add: Fst zexplode-zimplode comp-zimage-eq)
  apply (simp add: zet-ext-eq zimage-iff Rep-game-inverse)
done

```

```

lemma right-options[simp]: right-options (Game l r) = r
  apply (simp add: right-options-def Game-def)
  apply (subst Abs-game-inverse)

```

```

apply (simp add: game-def)
apply (rule Opair-in-games-lfp)
apply (auto simp add: explode-Elem Elem-zimplode zimage-iff Rep-game[simplified
game-def])
apply (simp add: Snd zexplode-zimplode comp-zimage-eq)
apply (simp add: zet-ext-eq zimage-iff Rep-game-inverse)
done

```

```

lemma Game-ext: (Game l1 r1 = Game l2 r2) = ((l1 = l2) ∧ (r1 = r2))
apply auto
apply (subst left-options[where l=l1 and r=r1,symmetric])
apply (subst left-options[where l=l2 and r=r2,symmetric])
apply simp
apply (subst right-options[where l=l1 and r=r1,symmetric])
apply (subst right-options[where l=l2 and r=r2,symmetric])
apply simp
done

```

**definition** option-of :: (game \* game) set **where**  
option-of ≡ image (λ (option, g). (Abs-game option, Abs-game g)) is-option-of

```

lemma option-to-is-option-of: ((option, g) ∈ option-of) = ((Rep-game option,
Rep-game g) ∈ is-option-of)
apply (auto simp add: option-of-def)
apply (subst Abs-game-inverse)
apply (simp add: is-option-of-imp-games game-def)
apply (subst Abs-game-inverse)
apply (simp add: is-option-of-imp-games game-def)
apply simp
apply (auto simp add: Bex-def image-def)
apply (rule exI[where x=Rep-game option])
apply (rule exI[where x=Rep-game g])
apply (simp add: Rep-game-inverse)
done

```

```

lemma wf-is-option-of: wf is-option-of
apply (rule wfzf-imply-wf)
apply (simp add: wfzf-is-option-of)
done

```

```

lemma wf-option-of[recdef-wf, simp, intro]: wf option-of
proof –
  have option-of: option-of = inv-image is-option-of Rep-game
    apply (rule set-ext)
    apply (case-tac x)
    by (simp add: option-to-is-option-of)
  show ?thesis
    apply (simp add: option-of)
    apply (auto intro: wf-inv-image wf-is-option-of)

```

```

    done
qed

lemma right-option-is-option[simp, intro]: zin x (right-options g)  $\implies$  zin x (options
g)
  by (simp add: options-def zunion)

lemma left-option-is-option[simp, intro]: zin x (left-options g)  $\implies$  zin x (options
g)
  by (simp add: options-def zunion)

lemma zin-options[simp, intro]: zin x (options g)  $\implies$  (x, g)  $\in$  option-of
  apply (simp add: options-def zunion left-options-def right-options-def option-of-def

    image-def is-option-of-def zimage-iff zin-zexplode-eq)
  apply (cases g)
  apply (cases x)
  apply (auto simp add: Abs-game-inverse games-lfp-eq-gfp[symmetric] game-def
    right-option-def[symmetric] left-option-def[symmetric])
  done

function
  neg-game :: game  $\Rightarrow$  game
where
  [simp del]: neg-game g = Game (zimage neg-game (right-options g)) (zimage
neg-game (left-options g))
  by auto
termination by (relation option-of) auto

lemma neg-game (neg-game g) = g
  apply (induct g rule: neg-game.induct)
  apply (subst neg-game.simps)+
  apply (simp add: right-options left-options comp-zimage-eq)
  apply (subgoal-tac zimage (neg-game o neg-game) (left-options g) = left-options
g)
  apply (subgoal-tac zimage (neg-game o neg-game) (right-options g) = right-options
g)
  apply (auto simp add: game-split[symmetric])
  apply (auto simp add: zet-ext-eq zimage-iff)
  done

function
  ge-game :: (game * game)  $\Rightarrow$  bool
where
  [simp del]: ge-game (G, H) = ( $\forall$  x. if zin x (right-options G) then (
    if zin x (left-options H) then  $\neg$  (ge-game (H, x))  $\vee$  (ge-game
(x, G))
    else  $\neg$  (ge-game (H, x)))
    else (if zin x (left-options H) then  $\neg$  (ge-game (x, G)) else

```

```

True))
by auto
termination by (relation (gprod-2-1 option-of))
(simp, auto simp: gprod-2-1-def)

lemma ge-game-eq: ge-game (G, H) = (∀ x. (zin x (right-options G) → ¬
ge-game (H, x)) ∧ (zin x (left-options H) → ¬ ge-game (x, G)))
  apply (subst ge-game.simps[where G=G and H=H])
  apply (auto)
done

lemma ge-game-leftright-refl[rule-format]:
  ∀ y. (zin y (right-options x) → ¬ ge-game (x, y)) ∧ (zin y (left-options x) →
¬ (ge-game (y, x))) ∧ ge-game (x, x)
proof (induct x rule: wf-induct[OF wf-option-of])
  case (1 g)
  {
    fix y
    assume y: zin y (right-options g)
    have ¬ ge-game (g, y)
    proof -
      have (y, g) ∈ option-of by (auto intro: y)
      with 1 have ge-game (y, y) by auto
      with y show ?thesis by (subst ge-game-eq, auto)
    qed
  }
  note right = this
  {
    fix y
    assume y: zin y (left-options g)
    have ¬ ge-game (y, g)
    proof -
      have (y, g) ∈ option-of by (auto intro: y)
      with 1 have ge-game (y, y) by auto
      with y show ?thesis by (subst ge-game-eq, auto)
    qed
  }
  note left = this
  from left right show ?case
  by (auto, subst ge-game-eq, auto)
qed

lemma ge-game-refl: ge-game (x,x) by (simp add: ge-game-leftright-refl)

lemma ∀ y. (zin y (right-options x) → ¬ ge-game (x, y)) ∧ (zin y (left-options
x) → ¬ (ge-game (y, x))) ∧ ge-game (x, x)
proof (induct x rule: wf-induct[OF wf-option-of])
  case (1 g)
  show ?case

```

```

proof (auto)
  {case (goal1 y)
    from goal1 have  $(y, g) \in \text{option-of}$  by (auto)
    with 1 have ge-game (y, y) by auto
    with goal1 have  $\neg \text{ge-game } (g, y)$ 
      by (subst ge-game-eq, auto)
    with goal1 show ?case by auto}
```

**note** *right = this*

```

  {case (goal2 y)
    from goal2 have  $(y, g) \in \text{option-of}$  by (auto)
    with 1 have ge-game (y, y) by auto
    with goal2 have  $\neg \text{ge-game } (y, g)$ 
      by (subst ge-game-eq, auto)
    with goal2 show ?case by auto}
```

**note** *left = this*

```

  {case goal3
    from left right show ?case
      by (subst ge-game-eq, auto)
  }
qed
qed
```

**definition** *eq-game* :: *game*  $\Rightarrow$  *game*  $\Rightarrow$  *bool* **where**  
*eq-game* *G H*  $\equiv$  *ge-game* (*G, H*)  $\wedge$  *ge-game* (*H, G*)

**lemma** *eq-game-sym*: (*eq-game* *G H*) = (*eq-game* *H G*)  
**by** (*auto simp add: eq-game-def*)

**lemma** *eq-game-refl*: *eq-game* *G G*  
**by** (*simp add: ge-game-refl eq-game-def*)

**lemma** *induct-game*:  $(\bigwedge x. \forall y. (y, x) \in \text{lprod option-of} \longrightarrow P y \Longrightarrow P x) \Longrightarrow P$   
 $a$   
**by** (*erule wf-induct[OF wf-lprod[OF wf-option-of]]*)

**lemma** *ge-game-trans*:  
**assumes** *ge-game* (*x, y*) *ge-game* (*y, z*)  
**shows** *ge-game* (*x, z*)  
**proof** –  
 {  
**fix** *a*  
**have**  $\forall x y z. a = [x, y, z] \longrightarrow \text{ge-game } (x, y) \longrightarrow \text{ge-game } (y, z) \longrightarrow \text{ge-game } (x, z)$   
**proof** (*induct a rule: induct-game*)  
**case** (1 *a*)  
**show** ?*case*  
**proof** (*rule allI | rule impI*) +  
**case** (*goal1 x y z*)  
**show** ?*case*



```

proof -
  { fix xr
    assume xr:zin xr (right-options x)
    assume ge-game (z, xr)
    have ge-game (y, xr)
    apply (rule 1[rule-format, where y=[y,z,xr]])
    apply (auto intro: xr lprod-3-1 simp add: prems)
    done
    moreover from xr have  $\neg$  ge-game (y, xr)
    by (simp add: goal1(2)[simplified ge-game-eq[of x y], rule-format, of
xr, simplified xr])
    ultimately have False by auto
  }
  note xr = this
  { fix zl
    assume zl:zin zl (left-options z)
    assume ge-game (zl, x)
    have ge-game (zl, y)
    apply (rule 1[rule-format, where y=[zl,x,y]])
    apply (auto intro: zl lprod-3-2 simp add: prems)
    done
    moreover from zl have  $\neg$  ge-game (zl, y)
    by (simp add: goal1(3)[simplified ge-game-eq[of y z], rule-format, of
zl, simplified zl])
    ultimately have False by auto
  }
  note zl = this
  show ?thesis
  by (auto simp add: ge-game-eq[of x z] intro: xr zl)
  qed
qed
qed
}
note trans = this[of [x, y, z], simplified, rule-format]
with prems show ?thesis by blast
qed

```

**lemma** *eq-game-trans: eq-game a b  $\implies$  eq-game b c  $\implies$  eq-game a c*  
**by** (*auto simp add: eq-game-def intro: ge-game-trans*)

**definition** *zero-game :: game*  
**where** *zero-game  $\equiv$  Game zempty zempty*

**function**  
*plus-game :: game  $\Rightarrow$  game  $\Rightarrow$  game*  
**where**  
*[simp del]: plus-game G H = Game (zunion (zimage ( $\lambda$  g. plus-game g H)*  
*(left-options G))*  
*(zimage ( $\lambda$  h. plus-game G h) (left-options H)))*

```

      (zunion (zimage (λ g. plus-game g H) (right-options G))
        (zimage (λ h. plus-game G h) (right-options H)))
by auto
termination by (relation gprod-2-2 option-of)
  (simp, auto simp: gprod-2-2-def)

lemma plus-game-comm: plus-game G H = plus-game H G
proof (induct G H rule: plus-game.induct)
  case (1 G H)
  show ?case
  by (auto simp add:
    plus-game.simps[where G=G and H=H]
    plus-game.simps[where G=H and H=G]
    Game-ext zet-ext-eq zunion zimage-iff prems)
qed

lemma game-ext-eq: (G = H) = (left-options G = left-options H ∧ right-options
G = right-options H)
proof -
  have (G = H) = (Game (left-options G) (right-options G) = Game (left-options
H) (right-options H))
  by (simp add: game-split[symmetric])
  then show ?thesis by auto
qed

lemma left-zero-game[simp]: left-options (zero-game) = zempty
  by (simp add: zero-game-def)

lemma right-zero-game[simp]: right-options (zero-game) = zempty
  by (simp add: zero-game-def)

lemma plus-game-zero-right[simp]: plus-game G zero-game = G
proof -
  {
    fix G H
    have H = zero-game ⟶ plus-game G H = G
    proof (induct G H rule: plus-game.induct, rule impI)
      case (goal1 G H)
      note induct-hyp = prems[simplified goal1, simplified] and prems
      show ?case
      apply (simp only: plus-game.simps[where G=G and H=H])
      apply (simp add: game-ext-eq prems)
      apply (auto simp add:
        zimage-cong[where f = λ g. plus-game g zero-game and g = id]
        induct-hyp)
      done
    qed
  }
  then show ?thesis by auto

```

**qed**

**lemma** *plus-game-zero-left*: *plus-game zero-game*  $G = G$   
**by** (*simp add: plus-game-comm*)

**lemma** *left-imp-options*[*simp*]: *zin opt (left-options g)  $\implies$  zin opt (options g)*  
**by** (*simp add: options-def zunion*)

**lemma** *right-imp-options*[*simp*]: *zin opt (right-options g)  $\implies$  zin opt (options g)*  
**by** (*simp add: options-def zunion*)

**lemma** *left-options-plus*:  
*left-options (plus-game u v) = zunion (zimage ( $\lambda g$ . plus-game g v) (left-options u)) (zimage ( $\lambda h$ . plus-game u h) (left-options v))*  
**by** (*subst plus-game.simps, simp*)

**lemma** *right-options-plus*:  
*right-options (plus-game u v) = zunion (zimage ( $\lambda g$ . plus-game g v) (right-options u)) (zimage ( $\lambda h$ . plus-game u h) (right-options v))*  
**by** (*subst plus-game.simps, simp*)

**lemma** *left-options-neg*: *left-options (neg-game u) = zimage neg-game (right-options u)*  
**by** (*subst neg-game.simps, simp*)

**lemma** *right-options-neg*: *right-options (neg-game u) = zimage neg-game (left-options u)*  
**by** (*subst neg-game.simps, simp*)

**lemma** *plus-game-assoc*: *plus-game (plus-game F G) H = plus-game F (plus-game G H)*

**proof** –

{  
**fix** *a*  
**have**  $\forall F G H. a = [F, G, H] \longrightarrow \text{plus-game (plus-game F G) H} = \text{plus-game F (plus-game G H)}$   
**proof** (*induct a rule: induct-game, (rule impI | rule allI)+*)  
**case** (*goal1 x F G H*)  
**let**  $?L = \text{plus-game (plus-game F G) H}$   
**let**  $?R = \text{plus-game F (plus-game G H)}$   
**note** *options-plus = left-options-plus right-options-plus*  
{  
**fix** *opt*  
**note** *hyp = goal1(1)[simplified goal1(2), rule-format]*  
**have**  $F: \text{zin opt (options F)} \implies \text{plus-game (plus-game opt G) H} = \text{plus-game opt (plus-game G H)}$   
**by** (*blast intro: hyp lprod-3-3*)  
**have**  $G: \text{zin opt (options G)} \implies \text{plus-game (plus-game F opt) H} = \text{plus-game F (plus-game opt H)}$

```

      by (blast intro: hyp lprod-3-4)
    have H: zin opt (options H)  $\implies$  plus-game (plus-game F G) opt = plus-game
F (plus-game G opt)
      by (blast intro: hyp lprod-3-5)
    note F and G and H
  }
  note induct-hyp = this
  have left-options ?L = left-options ?R  $\wedge$  right-options ?L = right-options ?R
  by (auto simp add:
    plus-game.simps[where G=plus-game F G and H=H]
    plus-game.simps[where G=F and H=plus-game G H]
    zet-ext-eq zunion zimage-iff options-plus
    induct-hyp left-imp-options right-imp-options)
  then show ?case
  by (simp add: game-ext-eq)
qed
}
then show ?thesis by auto
qed

```

**lemma** *neg-plus-game*: *neg-game (plus-game G H) = plus-game (neg-game G) (neg-game H)*

**proof** (*induct G H rule: plus-game.induct*)

case (1 G H)

note *opt-ops* =

left-options-plus right-options-plus

left-options-neg right-options-neg

show ?case

by (auto simp add: *opt-ops*

neg-game.simps[of plus-game G H]

plus-game.simps[of neg-game G neg-game H]

Game-ext zet-ext-eq zunion zimage-iff prems)

qed

**lemma** *eq-game-plus-inverse*: *eq-game (plus-game x (neg-game x)) zero-game*

**proof** (*induct x rule: wf-induct[OF wf-option-of]*)

case (*goal1 x*)

{ fix y

assume *zin y (options x)*

then have *eq-game (plus-game y (neg-game y)) zero-game*

by (auto simp add: prems)

}

note *ihyp* = this

{

fix y

assume *y: zin y (right-options x)*

have  $\neg$  (*ge-game (zero-game, plus-game y (neg-game x))*)

apply (subst *ge-game.simps*, *simp*)

apply (rule *exI*[where *x*=plus-game y (neg-game y)])

```

    apply (auto simp add: ihyp[of y, simplified y right-imp-options eq-game-def])
    apply (auto simp add: left-options-plus left-options-neg zunion zimage-iff intro:
prems)
  done
}
note case1 = this
{
  fix y
  assume y: zin y (left-options x)
  have ¬ (ge-game (zero-game, plus-game x (neg-game y)))
  apply (subst ge-game.simps, simp)
  apply (rule exI[where x=plus-game y (neg-game y)])
  apply (auto simp add: ihyp[of y, simplified y left-imp-options eq-game-def])
  apply (auto simp add: left-options-plus zunion zimage-iff intro: prems)
  done
}
note case2 = this
{
  fix y
  assume y: zin y (left-options x)
  have ¬ (ge-game (plus-game y (neg-game x), zero-game))
  apply (subst ge-game.simps, simp)
  apply (rule exI[where x=plus-game y (neg-game y)])
  apply (auto simp add: ihyp[of y, simplified y left-imp-options eq-game-def])
  apply (auto simp add: right-options-plus right-options-neg zunion zimage-iff
intro: prems)
  done
}
note case3 = this
{
  fix y
  assume y: zin y (right-options x)
  have ¬ (ge-game (plus-game x (neg-game y), zero-game))
  apply (subst ge-game.simps, simp)
  apply (rule exI[where x=plus-game y (neg-game y)])
  apply (auto simp add: ihyp[of y, simplified y right-imp-options eq-game-def])
  apply (auto simp add: right-options-plus zunion zimage-iff intro: prems)
  done
}
note case4 = this
show ?case
  apply (simp add: eq-game-def)
  apply (simp add: ge-game.simps[of plus-game x (neg-game x) zero-game])
  apply (simp add: ge-game.simps[of zero-game plus-game x (neg-game x)])
  apply (simp add: right-options-plus left-options-plus right-options-neg left-options-neg
zunion zimage-iff)
  apply (auto simp add: case1 case2 case3 case4)
  done
qed

```

```

lemma ge-plus-game-left: ge-game (y,z) = ge-game (plus-game x y, plus-game x
z)
proof –
  { fix a
    have  $\forall x y z. a = [x,y,z] \longrightarrow \text{ge-game } (y,z) = \text{ge-game } (\text{plus-game } x y, \text{plus-game } x z)$ 
  }
proof (induct a rule: induct-game, (rule impI | rule allI)+)
  case (goal1 a x y z)
  note induct-hyp = goal1 (1)[rule-format, simplified goal1 (2)]
  {
    assume hyp: ge-game(plus-game x y, plus-game x z)
    have ge-game (y, z)
    proof –
      { fix yr
        assume yr: zin yr (right-options y)
        from hyp have  $\neg (\text{ge-game } (\text{plus-game } x z, \text{plus-game } x yr))$ 
        by (auto simp add: ge-game-eq[of plus-game x y plus-game x z]
          right-options-plus zunion zimage-iff intro: yr)
        then have  $\neg (\text{ge-game } (z, yr))$ 
        apply (subst induct-hyp[where y=[x, z, yr], of x z yr])
        apply (simp-all add: yr lprod-3-6)
        done
      }
    }
    note yr = this
    { fix zl
      assume zl: zin zl (left-options z)
      from hyp have  $\neg (\text{ge-game } (\text{plus-game } x zl, \text{plus-game } x y))$ 
      by (auto simp add: ge-game-eq[of plus-game x y plus-game x z]
        left-options-plus zunion zimage-iff intro: zl)
      then have  $\neg (\text{ge-game } (zl, y))$ 
      apply (subst goal1 (1)[rule-format, where y=[x, zl, y], of x zl y])
      apply (simp-all add: goal1 (2) zl lprod-3-7)
      done
    }
  }
    note zl = this
    show ge-game (y, z)
    apply (subst ge-game-eq)
    apply (auto simp add: yr zl)
    done
  }
qed
}
note right-imp-left = this
{
  assume yz: ge-game (y, z)
  {
    fix x'
    assume x': zin x' (right-options x)
    assume hyp: ge-game (plus-game x z, plus-game x' y)
  }
}

```

```

then have n: ¬ (ge-game (plus-game x' y, plus-game x' z))
  by (auto simp add: ge-game-eq[of plus-game x z plus-game x' y]
      right-options-plus zunion zimage-iff intro: x')
have t: ge-game (plus-game x' y, plus-game x' z)
  apply (subst induct-hyp[symmetric])
  apply (auto intro: lprod-3-3 x' yz)
  done
from n t have False by blast
}
note case1 = this
{
  fix x'
  assume x': zin x' (left-options x)
  assume hyp: ge-game (plus-game x' z, plus-game x y)
  then have n: ¬ (ge-game (plus-game x' y, plus-game x' z))
    by (auto simp add: ge-game-eq[of plus-game x' z plus-game x y]
        left-options-plus zunion zimage-iff intro: x')
  have t: ge-game (plus-game x' y, plus-game x' z)
    apply (subst induct-hyp[symmetric])
    apply (auto intro: lprod-3-3 x' yz)
    done
  from n t have False by blast
}
note case3 = this
{
  fix y'
  assume y': zin y' (right-options y)
  assume hyp: ge-game (plus-game x z, plus-game x y')
  then have ge-game(z, y')
    apply (subst induct-hyp[of [x, z, y'] x z y'])
    apply (auto simp add: hyp lprod-3-6 y')
    done
  with yz have ge-game (y, y')
    by (blast intro: ge-game-trans)
  with y' have False by (auto simp add: ge-game-leftright-refl)
}
note case2 = this
{
  fix z'
  assume z': zin z' (left-options z)
  assume hyp: ge-game (plus-game x z', plus-game x y)
  then have ge-game(z', y)
    apply (subst induct-hyp[of [x, z', y] x z' y])
    apply (auto simp add: hyp lprod-3-7 z')
    done
  with yz have ge-game (z', z)
    by (blast intro: ge-game-trans)
  with z' have False by (auto simp add: ge-game-leftright-refl)
}

```

```

    note case4 = this
    have ge-game(plus-game x y, plus-game x z)
      apply (subst ge-game-eq)
    apply (auto simp add: right-options-plus left-options-plus zunion zimage-iff)
      apply (auto intro: case1 case2 case3 case4)
    done
  }
  note left-imp-right = this
  show ?case by (auto intro: right-imp-left left-imp-right)
qed
}
note a = this[of [x, y, z]]
then show ?thesis by blast
qed

lemma ge-plus-game-right: ge-game (y,z) = ge-game(plus-game y x, plus-game z
x)
  by (simp add: ge-plus-game-left plus-game-comm)

lemma ge-neg-game: ge-game (neg-game x, neg-game y) = ge-game (y, x)
proof -
  { fix a
    have  $\forall x y. a = [x, y] \longrightarrow ge-game (neg-game x, neg-game y) = ge-game (y, x)$ 
  }
proof (induct a rule: induct-game, (rule impI | rule allI)+)
  case (goal1 a x y)
  note ihyp = goal1(1)[rule-format, simplified goal1(2)]
  { fix xl
    assume xl: zin xl (left-options x)
    have ge-game (neg-game y, neg-game xl) = ge-game (xl, y)
      apply (subst ihyp)
      apply (auto simp add: lprod-2-1 xl)
    done
  }
  note xl = this
  { fix yr
    assume yr: zin yr (right-options y)
    have ge-game (neg-game yr, neg-game x) = ge-game (x, yr)
      apply (subst ihyp)
      apply (auto simp add: lprod-2-2 yr)
    done
  }
  note yr = this
  show ?case
    by (auto simp add: ge-game-eq[of neg-game x neg-game y] ge-game-eq[of y
x]
      right-options-neg left-options-neg zimage-iff xl yr)
  qed
}

```



**note**  $a = \text{this}[of\ [x,y]]$   
**then show** *?thesis* **by** *blast*  
**qed**

**definition**  $eq\text{-}game\text{-}rel :: (game * game) \text{ set}$  **where**  
 $eq\text{-}game\text{-}rel \equiv \{ (p, q) . eq\text{-}game\ p\ q \}$

**typedef**  $Pg = UNIV // eq\text{-}game\text{-}rel$   
**by** (*auto simp add: quotient-def*)

**lemma**  $equiv\text{-}eq\text{-}game[simp]: equiv\ UNIV\ eq\text{-}game\text{-}rel$   
**by** (*auto simp add: equiv-def refl-on-def sym-def trans-def eq-game-rel-def*  
 $eq\text{-}game\text{-}sym\ intro: eq\text{-}game\text{-}refl\ eq\text{-}game\text{-}trans$ )

**instantiation**  $Pg :: \{ord, zero, plus, minus, uminus\}$   
**begin**

**definition**  
 $Pg\text{-}zero\text{-}def: 0 = Abs\text{-}Pg\ (eq\text{-}game\text{-}rel\ \text{``}\ \{zero\text{-}game\})$

**definition**  
 $Pg\text{-}le\text{-}def: G \leq H \longleftrightarrow (\exists\ g\ h. g \in Rep\text{-}Pg\ G \wedge h \in Rep\text{-}Pg\ H \wedge ge\text{-}game\ (h, g))$

**definition**  
 $Pg\text{-}less\text{-}def: G < H \longleftrightarrow G \leq H \wedge G \neq (H::Pg)$

**definition**  
 $Pg\text{-}minus\text{-}def: -\ G = contents\ (\bigcup\ g \in Rep\text{-}Pg\ G. \{Abs\text{-}Pg\ (eq\text{-}game\text{-}rel\ \text{``}\ \{neg\text{-}game\ g\})\})$

**definition**  
 $Pg\text{-}plus\text{-}def: G + H = contents\ (\bigcup\ g \in Rep\text{-}Pg\ G. \bigcup\ h \in Rep\text{-}Pg\ H. \{Abs\text{-}Pg\ (eq\text{-}game\text{-}rel\ \text{``}\ \{plus\text{-}game\ g\ h\})\})$

**definition**  
 $Pg\text{-}diff\text{-}def: G - H = G + (-\ (H::Pg))$

**instance** ..

**end**

**lemma**  $Rep\text{-}Abs\text{-}eq\text{-}Pg[simp]: Rep\text{-}Pg\ (Abs\text{-}Pg\ (eq\text{-}game\text{-}rel\ \text{``}\ \{g\})) = eq\text{-}game\text{-}rel\ \text{``}\ \{g\}$   
**apply** (*subst Abs-Pg-inverse*)  
**apply** (*auto simp add: Pg-def quotient-def*)  
**done**

**lemma**  $char\text{-}Pg\text{-}le[simp]: (Abs\text{-}Pg\ (eq\text{-}game\text{-}rel\ \text{``}\ \{g\})) \leq Abs\text{-}Pg\ (eq\text{-}game\text{-}rel\ \text{``}\ \{g\})$

```

{h})) = (ge-game (h, g))
  apply (simp add: Pg-le-def)
  apply (auto simp add: eq-game-rel-def eq-game-def intro: ge-game-trans ge-game-refl)
done

```

```

lemma char-Pg-eq[simp]: (Abs-Pg (eq-game-rel “ {g} ) = Abs-Pg (eq-game-rel “
{h})) = (eq-game g h)
  apply (simp add: Rep-Pg-inject [symmetric])
  apply (subst eq-equiv-class-iff[of UNIV])
  apply (simp-all)
  apply (simp add: eq-game-rel-def)
done

```

```

lemma char-Pg-plus[simp]: Abs-Pg (eq-game-rel “ {g} ) + Abs-Pg (eq-game-rel “
{h} ) = Abs-Pg (eq-game-rel “ {plus-game g h} )
proof -
  have (λ g h. {Abs-Pg (eq-game-rel “ {plus-game g h} )}) respects2 eq-game-rel
    apply (simp add: congruent2-def)
    apply (auto simp add: eq-game-rel-def eq-game-def)
    apply (rule-tac y=plus-game y1 z2 in ge-game-trans)
    apply (simp add: ge-plus-game-left[symmetric] ge-plus-game-right[symmetric])+
    apply (rule-tac y=plus-game z1 y2 in ge-game-trans)
    apply (simp add: ge-plus-game-left[symmetric] ge-plus-game-right[symmetric])+
    done
  then show ?thesis
    by (simp add: Pg-plus-def UN-equiv-class2[OF equiv-eq-game equiv-eq-game])
qed

```

```

lemma char-Pg-minus[simp]: - Abs-Pg (eq-game-rel “ {g} ) = Abs-Pg (eq-game-rel
“ {neg-game g} )
proof -
  have (λ g. {Abs-Pg (eq-game-rel “ {neg-game g} )}) respects eq-game-rel
    apply (simp add: congruent-def)
    apply (auto simp add: eq-game-rel-def eq-game-def ge-neg-game)
    done
  then show ?thesis
    by (simp add: Pg-minus-def UN-equiv-class[OF equiv-eq-game])
qed

```

```

lemma eq-Abs-Pg[rule-format, cases type: Pg]: (∀ g. z = Abs-Pg (eq-game-rel “
{g} ) → P) → P
  apply (cases z, simp)
  apply (simp add: Rep-Pg-inject[symmetric])
  apply (subst Abs-Pg-inverse, simp)
  apply (auto simp add: Pg-def quotient-def)
done

```

```

instance Pg :: ordered-ab-group-add
proof

```

```

fix a b c :: Pg
show a - b = a + (- b) by (simp add: Pg-diff-def)
{
  assume ab: a ≤ b
  assume ba: b ≤ a
  from ab ba show a = b
  apply (cases a, cases b)
  apply (simp add: eq-game-def)
  done
}
then show (a < b) = (a ≤ b ∧ ¬ b ≤ a) by (auto simp add: Pg-less-def)
show a + b = b + a
  apply (cases a, cases b)
  apply (simp add: eq-game-def plus-game-comm)
  done
show a + b + c = a + (b + c)
  apply (cases a, cases b, cases c)
  apply (simp add: eq-game-def plus-game-assoc)
  done
show 0 + a = a
  apply (cases a)
  apply (simp add: Pg-zero-def plus-game-zero-left)
  done
show - a + a = 0
  apply (cases a)
  apply (simp add: Pg-zero-def eq-game-plus-inverse plus-game-comm)
  done
show a ≤ a
  apply (cases a)
  apply (simp add: ge-game-refl)
  done
{
  assume ab: a ≤ b
  assume bc: b ≤ c
  from ab bc show a ≤ c
  apply (cases a, cases b, cases c)
  apply (auto intro: ge-game-trans)
  done
}
{
  assume ab: a ≤ b
  from ab show c + a ≤ c + b
  apply (cases a, cases b, cases c)
  apply (simp add: ge-plus-game-left[symmetric])
  done
}
qed
end

```

